

# Handling of the cluster 3D Fortran90-code

Instructions and status reprot

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# 1 Installation and usage

## 1.1 Installation

The following steps assume that you have successfully unpacked the code and that you are now in the sub-directory 'source\_f90'.

Before compilation, one should update some settings (for detailed explanations of the parameters see section 2):

- Edit 'define.h' to choose the wanted code options.
- Edit 'params.F90' if you need to change some limiting values (rarely required).
- Edit 'makefile' and insert your compiler with its appropriate options. Some lines for that are provided and presently commented out. Fill the lines and remove the comments if needed.
- Finally execute 'make'. The executable will be copied to the working directory which is one level below the sub-directory 'source\_f90'. Go back to the working directory. The last file called 'essai\*' is the new executable.

## 1.2 Basic input structure

The cluster 3D code has six entries for options:

<i>compile time</i>	
define.h	variants of the code
<i>run time</i>	
for005.<name>	general input for settings, static and dynamics
for005ion.<name>	ionic configuration of cluster
for005surf.<name>	atomic configuration of substrate (optional)
for005	defines the qualifier <name> for the other for005... files

The first two entries have to be set before compilation. The other four are read in for an actual run and can be varied from run to run. The input structure for these files is summarized in section 2.

## 1.3 Some practical advices

Important compile-time settings:

You have to chose the wnated options in 'define.h'.

Save and restart:

The parameters 'isave', 'istat', and 'irest' allow to switch saving wavefunctions and restarting from them.

For `ismax>0` and `isave>1`, the static wavefunctions are saved on `rsave` after the static iterations. These can be used in two ways. Setting `istat=1` and `ismax>0` continues static iteration from `rsave`. Setting `ismax=0`, `istat=1`, `irest=0`, and, of course, `itmax>0` starts a dynamical run at time zero with the static wavefunctions from `rsave`.

Dynamical configurations are saved on `save.<name>` after every `isave` time steps. Setting `irest=1` will continue the dynamical calculation from the stage saved in `save.<name>`.

Diagonalization amongst occupied states:

The run time option `ifhamdiag=1` activates the diagonalization of the mean-field Hamiltonian amongst the active wavefunctions in each static iteration step. This option can accelerate the convergence of the static solution significantly. *However:* At present, this method works safely only if the number of active states `nstate` equals the actual number of electrons. This has to be checked by the user. It may work in other cases, but may also induce oscillating iteration which never converges.

## 2 Input files

Compile time settings in <code>define.h</code>	
<u>version control:</u>	
<code>IVERSION</code>	define your own version number
<u>grid representation of kinetic energy:</u>	
<code>gridfft</code>	FFT
<code>findiff</code>	finite differences 3. order (yet unsafe)
<code>numerv</code>	finite differences 5. order (yet unsafe)
<u>Variants of the Coulomb solver (for <code>gridfft=1</code>):</u>	
<code>coufou</code>	FALR (standard)
<code>coudoub</code>	exact boundary conditions
<u>parallele version:</u>	
<code>parayes</code>	use parallelization for wavefunctions
<code>parano</code>	produce serial code
<code>simpara</code>	pseudo-parallel code, runs different inputs simultaneously
<u>functional and handling of electrons:</u>	
<code>gunnar</code>	Gunnarson & Lundquist
<code>exonly</code>	only exchange in LDA (requires <code>gunnar=1</code> )
<code>pw92</code>	Perdew & Wang (mostly used standard)
<code>fullspin</code>	with or without explicit electron spin
<u>versions of SIC for electrons:</u>	
<code>kli</code>	enables KLI (incompatible with <code>exchange</code> and <code>fullsic</code> )
<code>directenergy</code>	direct computation of energy (neede for <code>kli</code> )
<code>exchange</code>	exact exchange (incompatible with <code>kli</code> and <code>fullsic</code> )
<code>fullsic</code>	old full SIC (incompatible with <code>exchange</code> and <code>kli</code> )
<code>symmcond</code>	old full SIC with double set technique
<code>twostsic</code>	new full SIC from PhD Messud
Compile time settings in <code>define.h</code> – part 2	
<u>options for substrate:</u>	
<code>raregas</code>	enables substrates

Namelist GLOBAL		in for005.<name>
choice of system		
kxbox	nr. of grid points in $x$ direction	
kybox	nr. of grid points in $y$ direction	
kzbox	nr. of grid points in $z$ direction	
	box sizes must fulfill $\mathbf{kxbox} \geq \mathbf{kybox} \geq \mathbf{kzbox}$	
kstate	maximum nr. of s.p. states	
nclust	number of QM electrons	
nion	number of cluster ions	
nspdw	number of spin down electrons	
nion2	selects type of ionic background	
	0 $\rightarrow$ jellium background	
	1 $\rightarrow$ background from ionic pseudo-potentials	
	2 $\rightarrow$ background read in from <code>pot.ion.dat</code>	
radjel	Wigner-Seitz radius of jellium background	
surjel	surface thickness of jellium background	
bbeta	quadrupole deformation of jellium background	
gamma	triaxiality of jellium background	
dx,dy,dz,	grid spacing (in Bohr) for the 3D numerical grid	
	the grid size is defined before compilation in <code>params.F90</code>	
imob	global switch to allow ionic motion (if set to 1)	
isurf	switch for Ar or MgO surface (isurf=1 activates surface	
nc	number of O cores in MgO(001)	
nk	number of Mg cations in MgO(001)	
rotclustx,y,z	vector fo angle of initial rotation of ions	
initialization of wave functions		
b2occ	deformation for initial harmonic oscillator wf's	
gamocc	triaxiality for initial harmonic oscillator wf's	
deocc	shift of inital Fermi energy (determines nr. of states)	
shiftWFx	shift of initial wavefunctions in x direction	
ishiftCMtoOrigin	switch to shift center of mass of cluster to origin	
ispinsep	initialize wavefunctiosn with some spin asymmetry	
init_lcao	switches the basis functions to start from	
	=0 $\implies$ harmonic oscillator functions (center can be moved by <code>shiftWFx</code> )	
	=1 $\implies$ atomic orbitals = WFs centered at ionic sites	
convergence issues		
e0dmp	damping paramter for static solution of Kohn-Shahm equations	
	(typically about the energy of the lowest bound state)	
epswf	step size for static solution of Kohn-Shahm equations	
	(of order of 0.5)	
epsoro	required variance to terminate static iteration	
	(order of $10^{-5}$ )	

Namelist DYNAMIC		in for005.<name>
<i>numerical and physical parameters for statics and dynamics</i>		
<b>dt1</b>	time step for propagating electronic wavefunctions	
<b>ismax</b>	maximum number of static iterations	
<b>idyniter</b>	switch to s.p. energy as E0DMP for 'iter>idyniter'	
<b>ifhamdiag</b>	diagonalization of m.f. Hamiltonian in static step (presently limited to fully occupied configurations)	
<b>itmax</b>	number of time steps for electronic propagation	
<b>ifexpevol</b>	exponential evolution 4. order instead of TV splitting	
<b>iffastpropag</b>	accelerated time step in TV splitting (for pure electron dynamics, interplay with absorbing b.c. ??)	
<b>irest</b>	switch to restart dynamics from file 'save'	
<b>istat</b>	switch to read wavefunctions from file 'rsave' it continues static iteration for 'ismax>0' it starts dynamics from these wf's for 'ismax=0'	
<b>isave</b>	saves results after every 'isave' steps on file 'rsave' in and after static iteration on file 'save' in dynamic propagation	
<b>ipseudo</b>	switch for using pseudo-densities to represent substrate atoms	
<b>ifsicp</b>	selects type of self-interaction correction 0 = pure LDA, 1 = SIC-GAM, 2 = ADSIC; 3 = SIC-Slater; 4 = SIC-KLI; 5 = exact exchange; 6 = old SIC (?); 7 = GSlat; 8 = full SIC. for activation see switches <b>kli</b> , <b>exchange</b> , <b>fullsic</b> , <b>twostsic</b> .	
<b>icooltyp</b>	type of cooling (0=none, 1=pseudo-dynamics, 2=steepest descent, 3=Monte Carlo)	
<b>ifredmas</b>	switch to use reduced mass for ions in dynamics	
<b>ionmdtyp</b>	ionic propagation (0=none, 1=leap-frog, 2=velocity Verlet)	
<b>ntref</b>	nr. time step after which absorbing bounds are deactivated	
<b>nabsorb</b>	number of absorbing points on boundary (0 switches off)	
<b>powabso</b>	power of absorbing boundary conditions	
<b>isphefabso</b>	switch to spherical mask in absorbing bounds	

Namelist DYNAMIC		in for005.<name>
<i>way of excitation</i>		
centfx	initial boost of electronic wavefunctions in x-direction	
centfy	initial boost of electronic wavefunctions in y-direction	
centfz	initial boost of electronic wavefunctions in z-direction	
tempion	initial temperature of cluster ions	
ekmat	initial kinetic energy of substrate atom (boost in $x$ , in eV)	
itft	choice of shape of laser pulse	
	1 = ramp laser pulse, sine switching on/off	
	2 = gaussian laser pulse	
	3 = $\cos^2$ pulse	
tnode	time (in fs) at which pulse computation starts	
deltat	length of ramp pulse ( $itft = 1$ ), in fs	
tpeak	time (in fs, relative to <b>tnode</b> ) at which peak is reached (for $itft = 1$ and 2, pulse length becomes $2*tpeak$ )	
omega	laser frequency (in Ry)	
e0	laser field strength in Ry/Bohr	
e1x,e1y,e1z	orientation of pulse	
iexcit	modus of excitation (0=shifts, 1=rotation)	
iangmo	switch to compute angular momentum	
irotat	axis of rotation for excitation (x=1,y=2,z=3,xyz=4)	
phirot	angle of rotation for excitation (in units of degree)	
phangle	angle of “rotation” into a $1ph$ state	
phphase	phase of “rotation” into a $1ph$ state	
nhstate,npstate	nr. of hole and particle state for $1ph$ excitation this $1ph$ option can only be run from <b>istat=1</b>	
eprojb	energy of incoming projectile (= last ion in the list)	
vpx,vpy,vpz	direction of the incoming projectile	
taccel	time span over which the projectile is accelerated to <b>eprojb</b> for <b>taccel=0</b> one has to use <b>init_lcao=1</b>	

Namelist DYNAMIC		in for005.<name>
<i>flags for observables</i>		
iemomsRel	calculates multipole momentes of electron density relative to origin (0) or c.m. of cluster (1)	
istinf	modulus for printing information in static iteration	
ifspemoms	switch to compute and print spatial s.p. moments	
iftransme	switch to compute and print transition m.elements	
ifrhoimt_time	switch to slices of integrated densities for all times	
jstinf	modulus for printing information in dynamic	
jinfo	modulus for printing dynamical information on infosp.<name>	
jdip	modulus for printing dipole moments on pdip.<name>	
jquad	modulus for printing quadrupole moments on pquad.<name>	
jesc	modulus for printing ionization pescel.<name>	
jenergy	modulus for printing energy information on penergies.<name>	
iflocaliz	activates computation of Becke's localization	
jelf	modulus for anaylzing and printing electron localization in dynamics various files are written of the form pelf*.<name>	
iflocaliz	modulus for anaylzing and printing electron localization in statics	
jstinf	modulus for printing s.p. energies and variances	
jpos	modulus for printing ionic positions on pposion.<name>	
jvel	modulus for printing ionic velocities on pvelion.<name>	
jstateoverlap	switch to compute overlap of static state with the state directly after dynamical initialization	



	Namelist <b>SURFACE</b>	in <b>for005.&lt;name&gt;</b>
<b>ivdw</b>	handling of Van-der-Waals with substrate atoms 0 $\implies$ no VdW 1 $\implies$ enables full computation of VdW 2 $\implies$ enables effective VdW through PsP parameters	
<b>ifadiadip</b>	switch to adiabatic treatment of substrate dipoles	
<b>shiftx</b>	global shift in $x$ for all substrate atoms	
<b>shifty,shiftz</b>	as <b>shiftx</b> for $y$ and $z$ direction	
<b>mion</b>	mass of surface anion (16 for O in MgO(001))	
<b>mkat</b>	mass of surface kation (24.3 for Mg in MgO(001))	
<b>me</b>	mass of valence shell	
<b>cspr</b>	spring constant for interaction between core and valence shell	
<b>chgc0</b>	charge of (anion) core	
<b>chge0</b>	charge of valence shell	
<b>chgk0</b>	charge of cation	
<b>sigmak</b>	gauss width of cation	
<b>sigmac</b>	gauss width of core	
<b>sigmav</b>	gauss width of valence shell	
<b>iUseCell</b>	switch for reading/building lattice of substrate atoms 0 $\implies$ lattice atoms are read in from input file 'for005surf.*' 1 $\implies$ lattice is built from replicating unit cell and lattice parameters <b>rlattvec</b> ... are read in (see <b>md.F</b> )	
<b>iPotFixed</b>	switch for Madelung summation of substrate atoms read/write electrostatic potential from particles with imob=0, so that their run-time calculation can be skipped 0 $\implies$ do not read; calculate full potential at each iteration 1 $\implies$ read in potFixedIon() from previously prepared file -1 $\implies$ calculate potFixedIon() write result to a file which can be later read in by option 1, stop after that 2 $\implies$ calculate potFixedIon() at the beginning, do not write	
<b>ifmdshort</b>	includes short range interaction electron-substrate	
<b>isrtyp(i,j)</b>	type of interaction between the different kinds of particles 0 $\rightarrow$ no short range interaction 1 $\rightarrow$ GSM core 2 $\rightarrow$ GSM valence shell =1 $\implies$ Born-Mayer type 3 $\rightarrow$ GSM kation =2 $\implies$ Argon case 4 $\rightarrow$ Na core 5 $\rightarrow$ DFT electron	
<b>unfixCLateralRadx</b>	radius of cylinder with mobile cores	
<b>unfixELateralRadx</b>	radius of cylinder with mobile valence electrons	
<b>fixCBelowx</b>	fixes cores which lay below given x value	
<b>iDielec</b>	switch to dielectric support	
<b>xDielec</b>	x below which dielectric zone is activated	
<b>epsDi</b>	dielectric constant in the dielectric zone	

	Namelist PERIO	in for005.<name>
ch	effective charge of ion	
amu	mass of ion in units of hydrogen mass	
dr1,dr2	radii of soft local PsP	
prho1,prho2	strenghts of soft local PsP	
crloc	radius for local part of Goedecker PsP	
cc1,cc2	strengths for local part of Goedecker PsP	
r0g,r1g,r2g	radii for non-local parts of Goedecker PsP	
h0_11g,h0_22g,h0_33g	strenghts for non-local parts of Goedecker PsP	
h1_11g,h1_22g,h2_11g	strenghts for non-local parts of Goedecker PsP	
radiong	carrier radius for projecteor in non-local Goedecker PsP	
nrow	“row” of element → defines level of projectors	

	Namelist FSIC	in for005.<name>
step	step size in iteration of localizing or symmetry condition	
precis	precision in iteration of localizing or symmetry condition	
SymUtBegin	nr. iteration where symmetry condition starts	
	for pure localizing step set SymUtBegin; ismax	
radmaxsym	limiting value in radius division for actual step	

## A Open ends and to-be-dones

### Status of Fortran90 code development:

- All `common` blocks have been replaced by modules and corresponding `USE` command. The then appearing dependences are mapped in the `makefile`.
- All code is now genuinely double precision and can be compiled without the `autodouble` option. Only exception if the FFT package `fftpack.F90` which still requires the `autodouble`, as handled explicitly in the `Makefile`.  
Note that the precision is set at the header of `params.F90` and used as a `KIND` parameter in typical Fortran90 fashion.
- The somewhat dangerous practice of reusing workspace has been abandoned. Workspace is now associated dynamically with the `ALLOCATE/DEALLOCATE` mechanisms.
- The compiled code works now for all box sizes and number of s.p. states as long as memory allows. The box size and maximum number of states is now entered in `for005.<name>` in namelist `GLOBAL`.

### Next in Fortran90 code development:

- Remove numbered labels and `GOTO` in favour of `CYCLE` or `EXIT` switches.
- Exploit compact vector operations to simplify long (and nested) `DO` loops.
- The access `USE kinetic` has been given too generously. Confine that to routines which really need it.
- The module `params.F90` collects practically all global variables. It should be disentangled to more specific modules with restricted access.
- There are still problems with running substrates. This case has to be tested.
- Full SIC has not yet been checked.
- The code should be slowly moved to `IMPLICIT NONE`.

## Open problems of general nature:

- The implementation of GSlat and full SIC needs to be checked and updated if necessary.
- Check PES and PAD for the option `parayes`.
- Option `iaddCluster` is presently questionable. It may be extended to allow for initialization of cluster collisions.
- The computation of pseudo-potentials from the substrates valence electrons should be separated from the slower atomic (ionic) parts. This concerns routine `calcpseudo`.
- The setting for the valence-electron mass in 'vstep' may be wrong for the case of MgO.
- Check proper setting of 'time' in outputs.
- Exponential propagation should yet be certified to cooperate with ionic motion.
- Subgrids for Gaussian pseudo-densities have fixed grid size of  $\pm 7$  points. This should be made more flexible to accommodate mesh size in relation for PsP radius.
- Although not necessary for performance, one may replace DO loops by the Fortran 95 SUM construct. This will make the code more transparent.
- Present parallel version still needs to specify the number of nodes at compile time. This should be changed to allow dynamical adjustment of number of nodes.