

Handling of the cluster 3D Fortran90-code

Instructions and status reprot

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1 Installation and usage

1.1 Installation

The following steps assume that you have successfully unpacked the code and that you are now in the sub-directory 'source_f90'.

Before compilation, one should update some settings (for detailed explanations of the parameters see section 2):

- Edit 'define.h' to choose the wanted code options.
- Edit 'params.F90' if you need to change some limiting values (rarely required).
- Edit 'makefile' and insert your compiler with its appropriate options. Some lines for that are provided and presently commented out. Fill the lines and remove the comments if needed.
- Finally execute 'make'. The executable will be copied to the working directory which is one level below the sub-directory 'source_f90'. Go back to the working directory. The last file called 'tdks*' is the new executable.

1.2 Basic input structure

The cluster 3D code has six entries for options:

| <i>compile time</i> | |
|---------------------|--|
| define.h | variants of the code |
| <i>run time</i> | |
| for005.<name> | general input for settings, static and dynamics |
| for005ion.<name> | ionic configuration of cluster |
| for005surf.<name> | atomic configuration of substrate (optional) |
| for005 | defines the qualifier <name> for the other for005... files |

The first two entries have to be set before compilation. The other four are read in for an actual run and can be varied from run to run. The input structure for these files is summarized in section 2.

1.3 Some practical advices

Important compile-time settings:

You have to chose the wnated options in 'define.h'.

Save and restart:

The parameters 'isave', 'istat', and 'irest' allow to switch saving wavefunctions and restarting from them.

For `ismax>0` and `isave>1`, the static wavefunctions are saved on `rsave` after the static iterations. These can be used in two ways. Setting `istat=1` and `ismax>0` continues static iteration from `rsave`. Setting `ismax=0`, `istat=1`, `irest=0`, and, of course, `itmax>0` starts a dynamical run at time zero with the static wavefunctions from `rsave`.

Dynamical configurations are saved on `save.<name>` after every `isave` time steps. Setting `irest=1` will continue the dynamical calculation from the stage saved in `save.<name>`.

Diagonalization amongst occupied states:

The run time option `ifhamdiag=1` activates the diagonalization of the mean-field Hamiltonian amongst the active wavefunctions in each static iteration step. This option can accelerate the convergence of the static solution significantly. *However:* At present, this method works safely only if the number of active states `nstate` equals the actual number of electrons. This has to be checked by the user. It may work in other cases, but may also induce oscillating iteration which never converges.

2 Input files

Compile time settings in `define.h`

version control:

`IVERSION` define your own version number

grid representation of kinetic energy:

`gridfft` FFT

`findiff` finite differences 3. order (yet unsafe)

`numerov` finite differences 5. order (yet unsafe)

Variants of the Coulomb solver (for `gridfft=1`):

`coufou` FALR (standard)

`coudoub` exact boundary conditions

parallele version:

`parayes` use parallelization for wavefunctions

`parano` produce serial code

`simpara` pseudo-parallel code, runs different inputs simultaneously

versions of SIC for electrons:

`fullsic` old full SIC

`symmcond` old full SIC with double set technique

`twostsic` new full SIC from PhD Messud (obsolete)

Compile time settings in `define.h` – part 2

options for substrate:

`raregas` enables substrates

| Namelist GLOBAL | | in for005.<name> |
|----------------------------------|--|------------------|
| choice of system | | |
| kxbox | nr. of grid points in x direction | |
| kybox | nr. of grid points in y direction | |
| kzbox | nr. of grid points in z direction | |
| | box sizes must fulfill $\mathbf{kxbox} \geq \mathbf{kybox} \geq \mathbf{kzbox}$ | |
| numspin | number of spin components (2=full spin treatment) (1=spin averaged, possible problem for ADSIC) | |
| kstate | maximum nr. of s.p. states | |
| nclust | number of QM electrons | |
| nion | number of cluster ions | |
| nspdw | number of spin down electrons | |
| nion2 | selects type of ionic background 0 \rightarrow jellium background 1 \rightarrow background from ionic pseudo-potentials 2 \rightarrow background read in from <code>portion.dat</code> | |
| radjel | Wigner-Seitz radius of jellium background | |
| surjel | surface thickness of jellium background | |
| bbeta | quadrupole deformation of jellium background | |
| gamma | triaxiality of jellium background | |
| dx,dy,dz, | grid spacing (in Bohr) for the 3D numerical grid the grid size is defined before compilation in <code>params.F90</code> | |
| imob | global switch to allow ionic motion (if set to 1) | |
| isurf | switch for Ar or MgO surface (isurf=1 activates surface | |
| nc | number of O cores in MgO(001) | |
| nk | number of Mg cations in MgO(001) | |
| rotclustx,y,z | vector fo angle of initial rotation of ions | |
| initialization of wave functions | | |
| b2occ | deformation for initial harmonic oscillator wf's | |
| gamocc | triaxiality for initial harmonic oscillator wf's | |
| deocc | shift of inital Fermi energy (determines nr. of states) | |
| shiftWFx | shift of initial wavefunctions in x direction | |
| ishiftCMtoOrigin | switch to shift center of mass of cluster to origin | |
| ispinsep | initialize wavefunctiosn with some spin asymmetry | |
| init_lcao | switches the basis functions to start from =0 \implies harmonic oscillator functions (center can be moved by <code>shiftWFx</code>) =1 \implies atomic orbitals = WFs centered at ionic sites | |
| convergence issues | | |
| e0dmp | damping paramter for static solution of Kohn-Shahm equations (typically about the energy of the lowest bound state) | |
| epswf | step size for static solution of Kohn-Shahm equations (of order of 0.5) | |
| epsoro | required variance to terminate static iteration (order of 10^{-5}) | |

| | Namelist DYNAMIC | in for005.<name> |
|---|--|------------------|
| <i>numerical and physical parameters for statics and dynamics</i> | | |
| dt1 | time step for propagating electronic wavefunctions | |
| ismax | maximum number of static iterations | |
| idyniter | switch to s.p. energy as E0DMP for 'iter>idyniter' | |
| ifhamdiag | diagonalization of m.f. Hamiltonian in static step (presently limited to fully occupied configurations) | |
| itmax | number of time steps for electronic propagation | |
| ifexpevol | exponential evolution 4. order instead of TV splitting | |
| iffastpropag | accelerated time step in TV splitting (for pure electron dynamics, interplay with absorbing b.c. ??) | |
| irest | switch to restart dynamics from file 'save' | |
| istat | switch to read wavefunctions from file 'rsave' it continues static iteration for 'ismax>0' it starts dynamics from these wf's for 'ismax=0' | |
| idenfunc | choice of density functional for LDA 1 → Perdew & Wang 1992 (default setting) 2 → Gunnarson & Lundquist 3 → only exchange in LDA | |
| isave | saves results after every 'isave' steps on file 'rsave' in and after static iteration on file 'save' in dynamic propagation | |
| ipseudo | switch for using pseudo-densities to represent substrate atoms | |
| ipsptype | type of pseudopotentials: 0 = soft local (erf); 1 = full Goedecker; 2 = local Goedecker | |
| directenergy | .true. = direct computation of energy (only for LDA, Slater, KLI) | |
| ifsicp | selects type of self-interaction correction 0 = pure LDA, 1 = SIC-GAM, 2 = ADSIC; 3 = SIC-Slater; 4 = SIC-KLI; 5 = exact exchange; 6 = old SIC (?); 7 = GSlat; 8 = full SIC. for activation see switches kli, exchange, fullsic, twostsic. | |
| icooltyp | type of cooling (0=none, 1=pseudo-dynamics, 2=steepest descent, 3=Monte Carlo) | |
| ifredmas | switch to use reduced mass for ions in dynamics | |
| ionmdtyp | ionic propagation (0=none, 1=leap-frog, 2=velocity Verlet) | |
| ntref | nr. time step after which absorbing bounds are deactivated | |
| nabsorb | number of absorbing points on boundary (0 switches off) | |
| powabso | power of absorbing boundary conditions | |
| ispherabso | switch to spherical mask in absorbing bounds | |

| Namelist DYNAMIC | | in for005.<name> |
|--------------------------|--|------------------|
| <i>way of excitation</i> | | |
| centfx | initial boost of electronic wavefunctions in x-direction | |
| centfy | initial boost of electronic wavefunctions in y-direction | |
| centfz | initial boost of electronic wavefunctions in z-direction | |
| tempion | initial temperature of cluster ions | |
| ekmat | initial kinetic energy of substrate atom (boost in x , in eV) | |
| itft | choice of shape of laser pulse | |
| | 1 = ramp laser pulse, sine switching on/off | |
| | 2 = gaussian laser pulse | |
| | 3 = \cos^2 pulse | |
| tnode | time (in fs) at which pulse computation starts | |
| deltat | length of ramp pulse ($itft = 1$), in fs | |
| tpeak | time (in fs, relative to $tnode$) at which peak is reached (for $itft = 1$ and 2, pulse length becomes $2*tpeak$) | |
| omega | laser frequency (in Ry) | |
| e0 | laser field strength in Ry/Bohr | |
| e1x,e1y,e1z | orientation of pulse | |
| iexcit | modus of excitation (0=shifts, 1=rotation) | |
| iangmo | switch to compute angular momentum | |
| irotat | axis of rotation for excitation ($x=1,y=2,z=3,xyz=4$) | |
| phirot | angle of rotation for excitation (in units of degree) | |
| phangle | angle of “rotation” into a $1ph$ state | |
| phphase | phase of “rotation” into a $1ph$ state | |
| nhstate,npstate | nr. of hole and particle state for $1ph$ excitation this $1ph$ option can only be run from $istat=1$ | |
| eprojb | energy of incoming projectile (= last ion in the list) | |
| vpx,vpy,vpz | direction of the incoming projectile | |
| taccel | time span over which the projectile is accelerated to $eprojb$ for $taccel=0$ one has to use $init.lcao=1$ | |

| Namelist DYNAMIC | | in for005.<name> |
|------------------------------|--|------------------|
| <i>flags for observables</i> | | |
| iemomsRel | calculates multipole momentes of electron density relative to origin (0) or c.m. of cluster (1) | |
| istinf | modulus for printing information in static iteration | |
| ifspemoms | switch to compute and print spatial s.p. moments | |
| iftransme | switch to compute and print transition m.elements | |
| ifrhoimt_time | switch to slices of integrated densities for all times | |
| jstinf | modulus for printing information in dynamic | |
| jinfo | modulus for printing dynamical information on infosp.<name> | |
| jdip | modulus for printing dipole moments on pdip.<name> | |
| jquad | modulus for printing quadrupole moments on pquad.<name> | |
| jesc | modulus for printing ionization pescel.<name> | |
| jenergy | modulus for printing energy information on penergies.<name> | |
| iflocaliz | activates computation of Becke's localization | |
| jelf | modulus for anaylzing and printing electron localization in dynamics various files are written of the form pelf*.<name> | |
| iflocaliz | modulus for anaylzing and printing electron localization in statics | |
| jstinf | modulus for printing s.p. energies and variances | |
| jpos | modulus for printing ionic positions on pposion.<name> | |
| jvel | modulus for printing ionic velocities on pvelion.<name> | |
| jstateoverlap | switch to compute overlap of static state with the state directly after dynamical initialization | |

| | Namelist SURFACE | in for005.<name> |
|--------------------------|---|-------------------------------|
| ivdw | handling of Van-der-Waals with substrate atoms 0 \implies no VdW 1 \implies enables full computation of VdW 2 \implies enables effective VdW through PsP parameters | |
| ifadiadip | switch to adiabatic treatment of substrate dipoles | |
| shiftx | global shift in x for all substrate atoms | |
| shifty,shiftz | as shiftx for y and z direction | |
| mion | mass of surface anion (16 for O in MgO(001)) | |
| mkat | mass of surface kation (24.3 for Mg in MgO(001)) | |
| me | mass of valence shell | |
| cspr | spring constant for interaction between core and valence shell | |
| chgc0 | charge of (anion) core | |
| chge0 | charge of valence shell | |
| chgk0 | charge of cation | |
| sigmak | gauss width of cation | |
| sigmac | gauss width of core | |
| sigmav | gauss width of valence shell | |
| iUseCell | switch for reading/building lattice of substrate atoms 0 \implies lattice atoms are read in from input file 'for005surf.*' 1 \implies lattice is built from replicating unit cell and lattice parameters rlattvec ... are read in (see md.F) | |
| iPotFixed | switch for Madelung summation of substrate atoms read/write electrostatic potential from particles with imob=0, so that their run-time calculation can be skipped 0 \implies do not read; calculate full potential at each iteration 1 \implies read in potFixedIon() from previously prepared file -1 \implies calculate potFixedIon() write result to a file which can be later read in by option 1, stop after that 2 \implies calculate potFixedIon() at the beginning, do not write | |
| ifmdshort | includes short range interaction electron-substrate | |
| isrtyp(i,j) | type of interaction between the different kinds of particles 0 \rightarrow no short range interaction 1 \rightarrow GSM core 2 \rightarrow GSM valence shell =1 \implies Born-Mayer type 3 \rightarrow GSM kation =2 \implies Argon case 4 \rightarrow Na core 5 \rightarrow DFT electron | |
| unfixCLateralRadx | radius of cylinder with mobile cores | |
| unfixELateralRadx | radius of cylinder with mobile valence electrons | |
| fixCBelowx | fixes cores which lay below given x value | |
| iDielec | switch to dielectric support | |
| xDielec | x below which dielectric zone is activated | |
| epsDi | dielectric constant in the dielectric zone | |

| | Namelist PERIO | in for005.<name> |
|----------------------|--|------------------|
| ch | effective charge of ion | |
| amu | mass of ion in units of hydrogen mass | |
| dr1,dr2 | radii of soft local PsP | |
| prho1,prho2 | strenghts of soft local PsP | |
| crloc | radius for local part of Goedecker PsP | |
| cc1,cc2 | strengths for local part of Goedecker PsP | |
| r0g,r1g,r2g | radii for non-local parts of Goedecker PsP | |
| h0_11g,h0_22g,h0_33g | strenghts for non-local parts of Goedecker PsP | |
| h1_11g,h1_22g,h2_11g | strenghts for non-local parts of Goedecker PsP | |
| radiong | carrier radius for projecteur in non-local Goedecker PsP | |

| | Namelist FSIC | in for005.<name> |
|------------|---|------------------|
| step | step size in iteration of localizing or symmetry condition | |
| precis | precision in iteration of localizing or symmetry condition | |
| SymUtBegin | nr. iteration where symmetry condition starts for pure localizing step set SymUtBegin, ismax | |
| radmaxsym | limiting value in radius division for actual step | |

| Ionic structure and e^- -initialization in for005ion.<name> | |
|--|--|
| This initialization does not use NAMELIST but reads input in fixed order. Each line stands for one ion. Each column has a definite meaning. | |
| Col. 1 | x -coordinate |
| Col. 2 | y -coordinate |
| Col. 3 | z -coordinate |
| Col. 4 | number of element in periodic system (e.g.: Na \leftrightarrow 11) |
| Col. 5 | only init_lcao=1: ordering of nodes in repeated initialization at this ion |
| Col. 6 | only init_lcao=1: radius of initial Gaussian at this ion |
| Col. 7 | only init_lcao=1: starting spin for initalization at this ion |

The handling of the initialization of electronic wavefunctions is rather involved. A more detailed explanation is given in appendix A.

A On the initialization of the electronic wavefunctions

The basic switch is `init_lcao`. The case `init_lcao=0` is the simpler option. This initializes harmonic oscillator wavefunctions about one common center. This center is usually the origin of the coordinate-space grid. It can be moved deliberately by `shiftWFx`, `shiftWFy`, and `shiftWFz`. The initial oscillator may be deformed. Its deformation is given by the dimensionless quadrupole `b2occ` and triaxiality `gamocc` (in degree). The oscillator states are filled in order of increasing oscillator energies. A spin asymmetry can be enforced with `ispinsep=1`. This option is useful when dealing with odd electron number. The upper end of initialization is determined by `deocc`. A `deocc` ≈ 0 typically initializes just as many states as are occupied. If more is required, enhance `deocc`.

The case `init_lcao=1` initializes wavefunctions which are localized at the ions. This option is richer and a bit hard to handle. In a first step, the total number of wavefunctions is estimated and it is computed how many wavefunctions have to be initialized then for each ion. At one given ion, initialization starts with the $1s$ oscillator state. The first choice of spin is taken from column 7 of `tt for005ion.jnamei`. If more than one state is to be occupied, the next is then the $1s$ state with opposite spin. Next comes the $1p_i$ state with first spin where i is the direction given as first entry in column 5. For example if column 5 selects '`yzx`', the $1p_y$ comes here. Occupation continues in order given by column 5 and 7 until the wanted number of orbitals at this ion site is reached. Column 6 sets the oscillator radius for the initialization at this ion (which allows to deal efficiently with systems consisting of very different ions). Column 7 becomes important for ions associated with an odd number of electrons as, e.g., hydrogen. One ought to distribute an equal collection of `+1` and `-1` entries to avoid unnaturally polarized molecules.

B Open ends and to-be-dones

Status of Fortran90 code development:

- All `common` blocks have been replaced by modules and corresponding `USE` command. The then appearing dependences are mapped in the `makefile`.
- All code is now genuinely double precision and can be compiled without the `autodouble` option. Only exception if the FFT package `fftpack.F90` in connection with `NETLIB` which still requires the `autodouble`, as handled explicitly in the `Makefile`. Note that the precision is set at the header of `params.F90` and used as a `KIND` parameter in typical Fortran90 fashion. The name is set to `DP`.
- The somewhat dangerous practice of reusing workspace has been abandoned. Workspace is now associated dynamically with the `ALLOCATE/DEALLOCATE` mechanisms.
- The compiled code works now for all box sizes and number of s.p. states as long as memory allows. The box size and maximum number of states is now entered in `for005.<name>` in namelist `GLOBAL`.

Next in Fortran90 code development:

- Remove numbered labels and `GOTO` in favour of `CYCLE` or `EXIT` switches.
- Exploit compact vector operations to simplify long (and nested) `DO` loops.
- The access `USE kinetic` has been given too generously. Confine that to routines which really need it.
- The module `params.F90` collects practically all global variables. It should be disentangled to more specific modules with restricted access.
- There are still problems with running substrates. For example the leap-frog switch does not propagate the substrate electrons. This case has to be tested. In future, it may be that the whole substrate part is treated in a separate program connected to the electronic part by a master routine written in `ttt python`.
- Full SIC has yet to be implemented.
- The code should be successively moved to `IMPLICIT NONE`.

Open problems of general nature:

- The implementation of GSlat and full SIC needs to be checked and updated if necessary.
- Check PES and PAD for the option `parayes`.
- Option `iaddCluster` is presently questionable. It may be extended to allow for initialization of cluster collisions.
- The computation of pseudo-potentials from the substrates valence electrons should be separated from the slower atomic (ionic) parts. This concerns routine `calcpseudo`.
- The setting for the valence-electron mass in 'vstep' may be wrong for the case of MgO.
- Check proper setting of 'time' in outputs.
- Exponential propagation should yet be certified to cooperate with ionic motion.
- Subgrids for Gaussian pseudo-densities have fixed grid size of ± 7 points. This should be made more flexible to accommodate mesh size in relation for PsP radius.
- Although not necessary for performance, one may replace DO loops by the Fortran 95 SUM construct. This will make the code more transparent. This also holds for other compact Fortran 95 constructs.
- Present parallel version still needs to specify the number of nodes at compile time. This should be changed to allow dynamical adjustment of number of nodes.
- Spin-averaged code (`numspin=1`) does not reproduce the results from full spin calculation in case of ADSIC. Check.