

# Studying the flow rate on a multi-lane using a cellular automata model

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December 25, 2022

## Introduction

### Background

When planning traffic infrastructure achieving efficient and safe traffic flow is highly important. A possible way of measuring how well traffic is flowing on a road is by studying the flow rate. The flow rate is defined as the sum of the velocities of the cars on the road divided by the length of the road. In real life situations maximizing the flow rate could thus often be of interest. Another interesting metric to study is the fluctuations of the flow rate. Low fluctuations in the flow rate could indicate that the traffic flow is behaving in a stable and predictable way, which could imply easier driving conditions and a reduced risk of accidents.

In this report we will investigate how the flow rate behavior depends on the amount of lanes and the car density by simulating a highway using a cellular automata model.

### Model description

The model of the highway was implemented as a cellular automata. The road was given some integer distance  $L$  where each car on the road occupied 1 length unit of the road. Periodic boundary conditions were used, meaning that the road did not have an end nor a beginning. The distance between the cars therefore also had to be calculated as periodic distances. In order to make the dynamics of the simulation more realistic all cars were given personal maximum velocities  $v_{i,max}$ . The values of  $v_{i,max}$  were randomly generated from a Gaussian distribution around some mean value  $\mu$  and standard deviation  $\sigma$ , and then rounded to the nearest integer.

The rules for the cellular automata were performed in two different parts at each time step. First, rules determining what lane changes are going to be performed are implemented. This was done by first calculating the desired lane change of each car and then performing the desired lane change only if the lane change can be performed safely. Secondly, rules determining how the various cars are going to change their velocity are implemented. Each rule was implemented simultaneously on each car before moving on to the next rule. This means that the ordering of the rules is very important, as latter rules can overrule previous decisions. In order to make the rules more compact we also introduce the following notation

- $x_i$  is the position of the  $i$ :th car

- $v_i$  is the velocity of the  $i$ :th car
- $v_{i,max}$  is the maximum velocity of the  $i$ :th car.
- $v_{back}$  is the velocity to the next car backward in the **new lane** we would like to change to.
- $d_{forward}$  is the distance forward to the next car in the same lane we are currently in.
- $d_{forward,left}$  is the distance forward to the next car in the lane to the left.
- $d_{forward,right}$  is the distance forward to the next car in the lane to the right.
- $d_{backward}$  is the distance backward to next car in the **new lane** we would like to change to.

Using the above notation the rules of the cellular automata were implemented as follows:

### Lane changes

1. If  $v_i < v_{i,max}$  and  $d_{forward,left} \geq d_{forward}$  and there exists a lane to the left, desire a lane change from right to left
2. If  $d_{forward} > v_{i,max}$  and  $d_{forward,right} > v_{i,max}$  and there exists a lane to the right, desire a lane change from left to right
3. If  $d_{forward,right} > d_{forward}$  and no previous lane change desire exists, desire a lane change from left to right with 5% probability
4. If  $d_{backward} > v_{back}$  perform desired lane change (car behind should not need to brake because of lane change)

### Velocity changes

5. If  $v_i < v_{i,max}$  increase velocity as  $v_i \rightarrow v_i + 1$  (try to accelerate to maximum velocity)
6. If  $v \geq d_{forward}$  decrease velocity as  $v = d_{forward} - 1$  (avoid collisions)
7. With some probability  $p$  decrease velocity as  $v_i = v_i - 1$  (cars might brake randomly)
8. Update position as  $x_i(t+1) = x_i(t) + v_i$

The rules above result in a behavior of the cars that appears natural. Rule (3) might however need some clarification. Without rule (3) mostly the left lane becomes occupied for higher car densities. In order to solve this, rule (3) creates a bias towards changing to the right lane which solves the problem. An example of a lane changing scenario from left to right is seen in figure 1. An example of a lane changing scenario from right to left is seen in figure 2

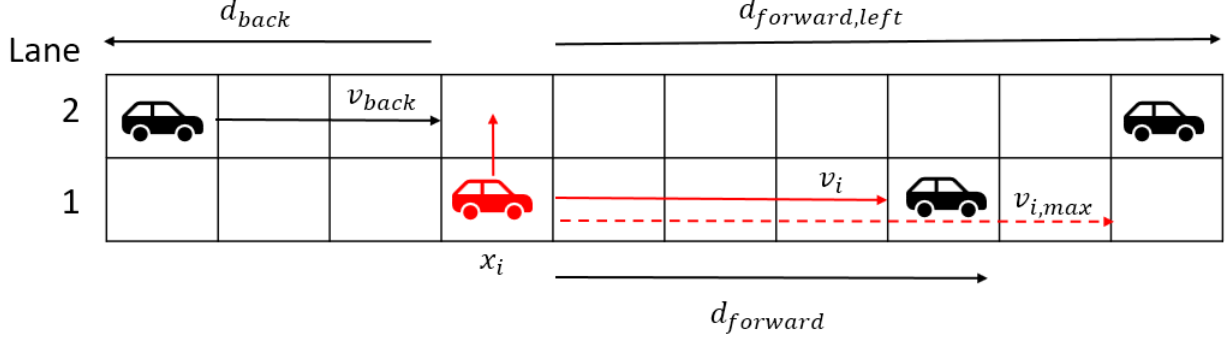


Figure 1: Example of distance calculations for the  $i$ :th car marked in red. The car has a desired lane change from right to left since  $v_i < v_{i,max}$  and  $d_{forward,left} > d_{forward}$ . The desired lane change is allowed since  $d_{back} > v_{back}$ .

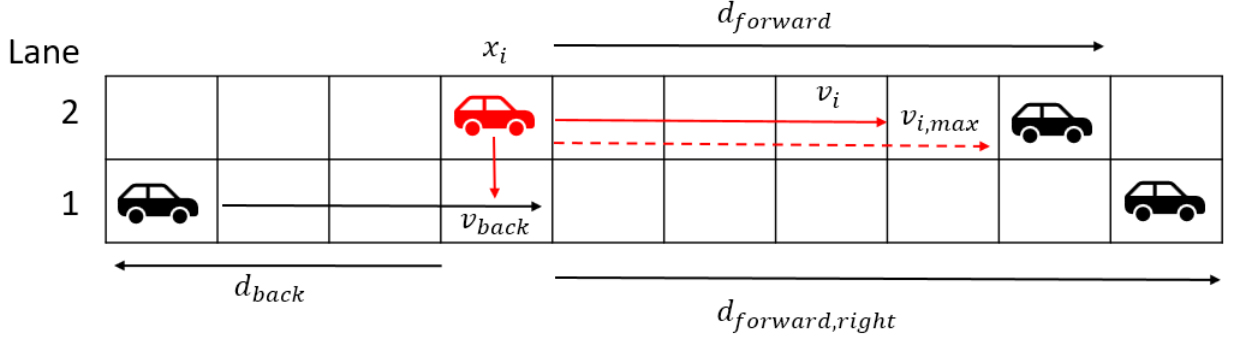


Figure 2: Example of distance calculations for the  $i$ :th car marked in red. The car has a desired lane change from left to right since  $v_i < v_{i,max}$  and  $d_{forward,right} > d_{forward}$ . The desired lane change is not allowed since  $d_{back} \leq v_{back}$ .

## Problem description

The purpose of this report is to simulate a multi-lane highway and compare how the flow rate is affected by varying the number of lanes at different car densities. To do a statistical analysis of the result we would also like to examine the standard error of the flow rate and investigate whether the standard error of the flow rate varies depending on the car density and the number of lanes.

## Method

### Setting the system size

Initially we must choose a system size to run the simulations on where finite size effects can be considered negligible. This is done plotting fundamental diagrams of the system while iteratively increasing the road length  $L$ . When generating the fundamental diagrams the car density is increased by increasing the number of cars and keeping the road length  $L$  constant. This is done separately using 1, 2 and 3 lanes, while keeping remaining system parameters constant. At a certain road length  $L$  the fundamental diagrams will not change as the road length continues to increase, meaning that the

system behavior has become independent of its size. When a road length  $L$  is found such that the fundamental diagrams do not continue to change as  $L$  increases the road length will be set to that value for the following simulations.

## Flow rate

To get an understanding of the flow rate behavior and the time necessary to reach equilibrium, the flow rate is plotted against time using a few different car densities and the road length  $L$  found in the previous section. Fundamental diagrams are also generated for using 1, 2 and 3 lanes. Just like previously, the road length  $L$  is always kept constant while generating the fundamental diagrams and only the number of cars is increased.

## Statistical analysis

In order to examine the statistical accuracy of the results we will study the standard error of the average flow rate after running the simulation multiple times. The average flow rate is calculated as an average over 100 time steps after the system has reached an equilibrium. Initially, we will examine how the standard error depends on the number of simulation using a few different car densities. To get a better understanding of behavior of the standard error the standard error will be calculated at different car densities to get a diagram of how the standard error depends on the car density. This is all done using 1, 2 and 3 lanes separately.

## Results and analysis

### Setting the system size

The values of  $v_{i,max}$  were sampled from a Gaussian distribution with mean  $\mu = 10$  and standard deviation  $\sigma = 1$ . The braking probability was set to  $p = 0.2$ . The cars were initially placed one unit length ahead of each other evenly distributed in the different lanes according the pattern seen in figure 3 below.

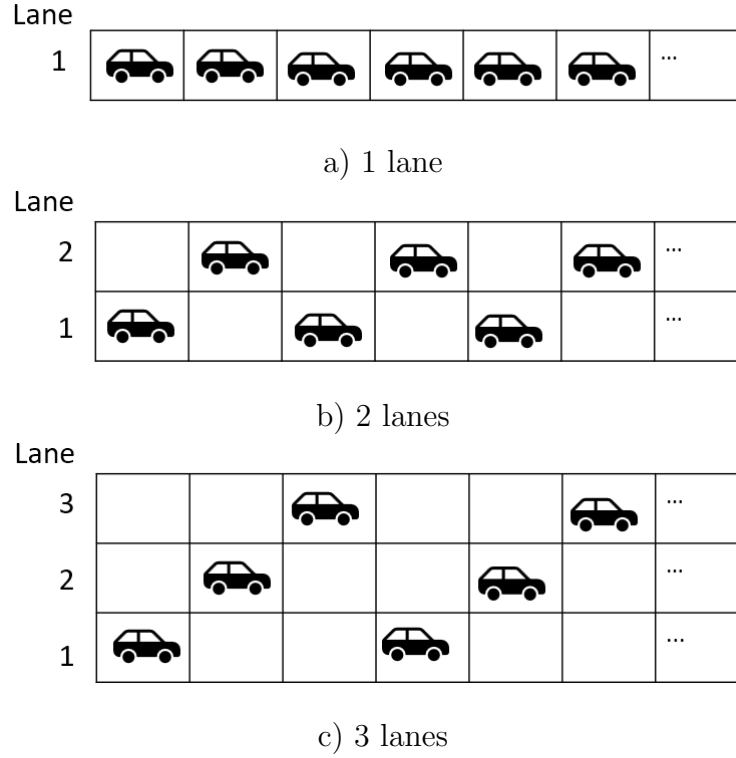


Figure 3: Initial condition of car positions using 1, 2 and 3 lanes.

Note that these simulation parameters and initial conditions are going to remain unchanged during all following simulations.

Fundamental diagrams were generated by increasing the number of cars  $n_{cars}$  so that the car density varied between 0 and 1. The simulation was run for 200 time steps and the flow rate was calculated as an average over the last 100 time steps. This was done using the different values of the road length  $L = 20, 40, 60, 80, 100, 120, 140$ . In order to reduce random noise in the fundamental diagrams 10 fundamental diagrams were generated for each value of  $L$  and a final fundamental diagram was calculated as an average over these 10 fundamental diagrams. This procedure was done separately using 1, 2 and 3 lanes. The results can be seen below in figure 4.

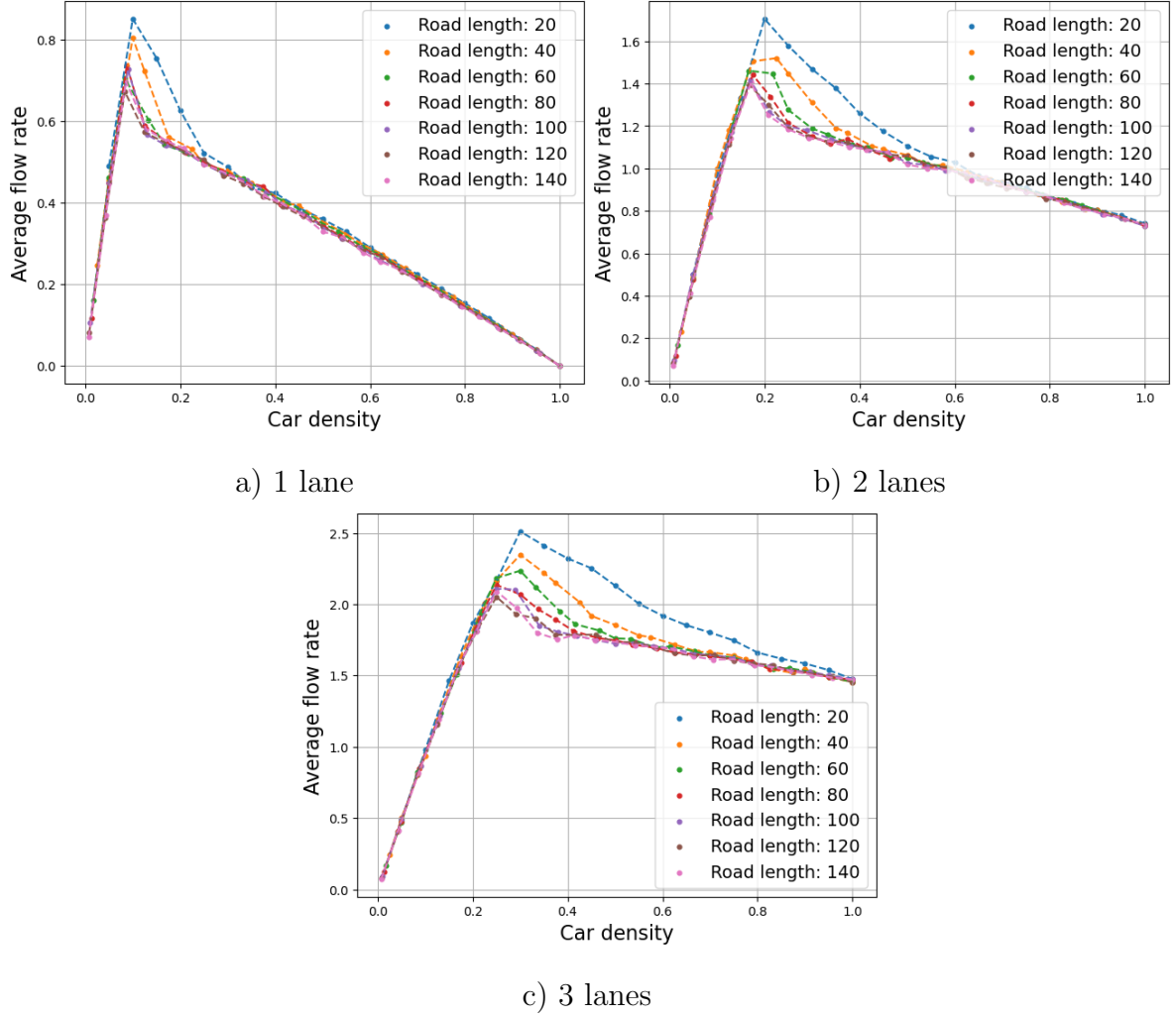


Figure 4: Fundamental diagrams using different road lengths  $L$  using 1, 2 and 3 lanes.

In figure 4 we observe that the largest changes in the fundamental diagrams when increasing  $L$  occur around the peak of the fundamental diagrams when the average flow rate is maximal. In figure 4 a) when using only 1 lane it appears as if the system becomes independent of its size at approximately  $L = 60$ , as we can no longer see a change in the fundamental diagrams when increasing  $L$  beyond this value. When increasing the number of lanes a larger value of  $L$  appears to be necessary to make the system independent of its size. In figure 4 a) it appears as if  $L = 100$  before the fundamental diagram stops changing when using 2 lanes. When increasing the number of lanes to 3 it appears as if  $L = 120$  is necessary before the fundamental diagrams stop changing, as can be seen in figure 4 c). We thus conclude that a road length  $L = 120$  appears to be necessary in order to mitigate finite size effect, and therefore  $L = 120$  will be used in following simulations.

## Flow rate

We plot the flow rate over time while using road length  $L = 120$ , and setting the number of cars to  $n_{cars} = 10, 30, 50, 70$ . This is done using 1, 2 and 3 lanes. The resulting plots of the flow rate against time can be seen below in figure 5

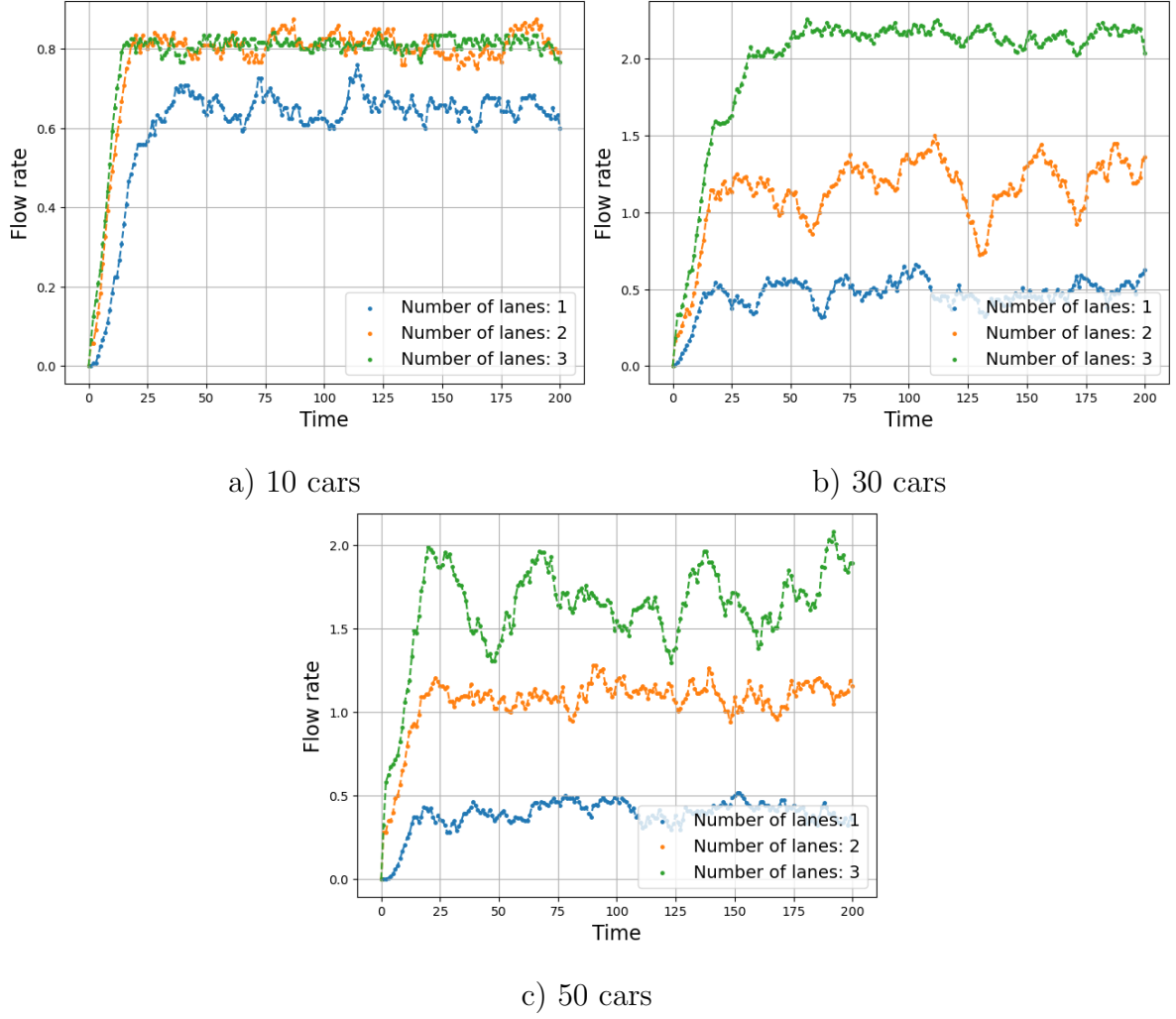


Figure 5: Flowrate plotted against time using different number of cars.

In figure 5 we notice multiple interesting things with the flow rate behavior. When  $n_{cars} = 10$  there is a large gain in the flowrate when increasing the number of lanes from 1 to 2, while the difference between 2 and 3 lanes is small. The fluctuations of the flow rate for the road with 1 lane also appears to be much larger than when using 2 or 3 lanes. When setting  $n_{cars} = 20, 30$  we start to see a large gain in the average flow rate when using 3 lanes instead 2. Interestingly we also notice that the fluctuations seems to have decreased when using 1 lane and increased when using 2 and 3 lanes. Similar results are seen with  $n_{cars} = 40$  as we are getting large differences in the average flow rate using different lanes, with increasing fluctuations of the flow rate as the number of lanes increase. It thus appears as if the car density has a large effect on both the average flow rate and the fluctuations of the flow rate.

To get a better understanding of the system dynamics fundamental diagrams are plotted. The average flow rate is calculated as an average over the last 100 time steps when running the simulation for 200 time steps. The road length  $L = 100$  is held constant and  $n_{cars}$  is iteratively increased in order to increase the car density between 0 and 1. The results are seen below in figure 6.

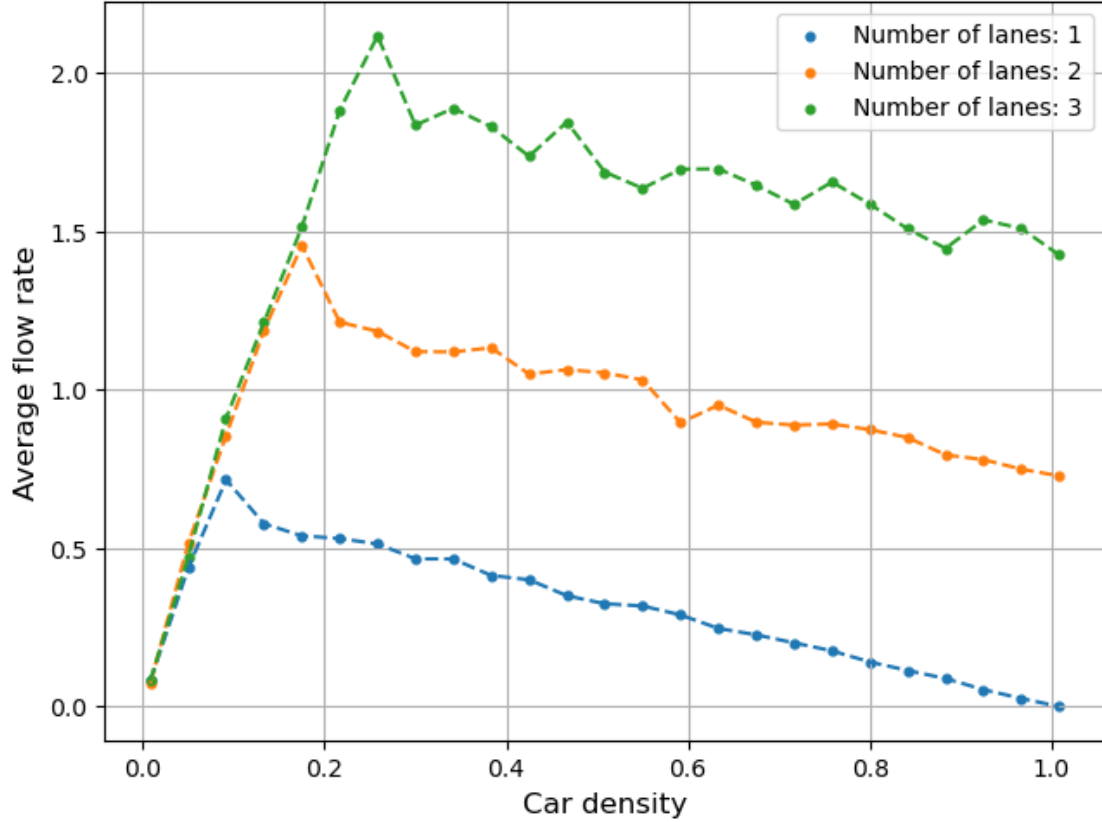


Figure 6: Average flow rate plotted against car density for different number of lanes.

In 5 we see that the flow rate appears to grow linearly until a clear maximum value is reached. Increasing the car density beyond that value the flow rate appears to decrease, also somewhat linearly. For low car densities the average flow rate appears independent of the number of lanes. This is reasonable since we should not be expecting a gain in the flow rate by having a large amount of lanes when very few cars are on the road. For car densities larger than approximately 0.3 we see a large gain in the average flow rate when increasing the number of lanes. Interestingly, the gain in the flow rate when increasing the number of lanes from 1 to 2 appears to be exactly the same as the gain acquired when increasing the number of lanes of 2 to 3. Overall, the results appear logical and serve as a good confirmation that the model appears to behave realistically.

## Statistical accuracy

We will now examine the statistical accuracy by calculating the standard error. Just like in the previous section we initially run the simulation using  $n_{cars} = 10, 20, 30, 40$ . Simulations are run for 200 time steps and the flow rate is calculated as an average over the last 100 time steps. We run the simulations a number of times and calculate the standard error of the flow rate values using 1, 2 and 3 lanes. Diagrams showing the standard error of the flow rate plotted against the number of simulations run are seen below in figure 7



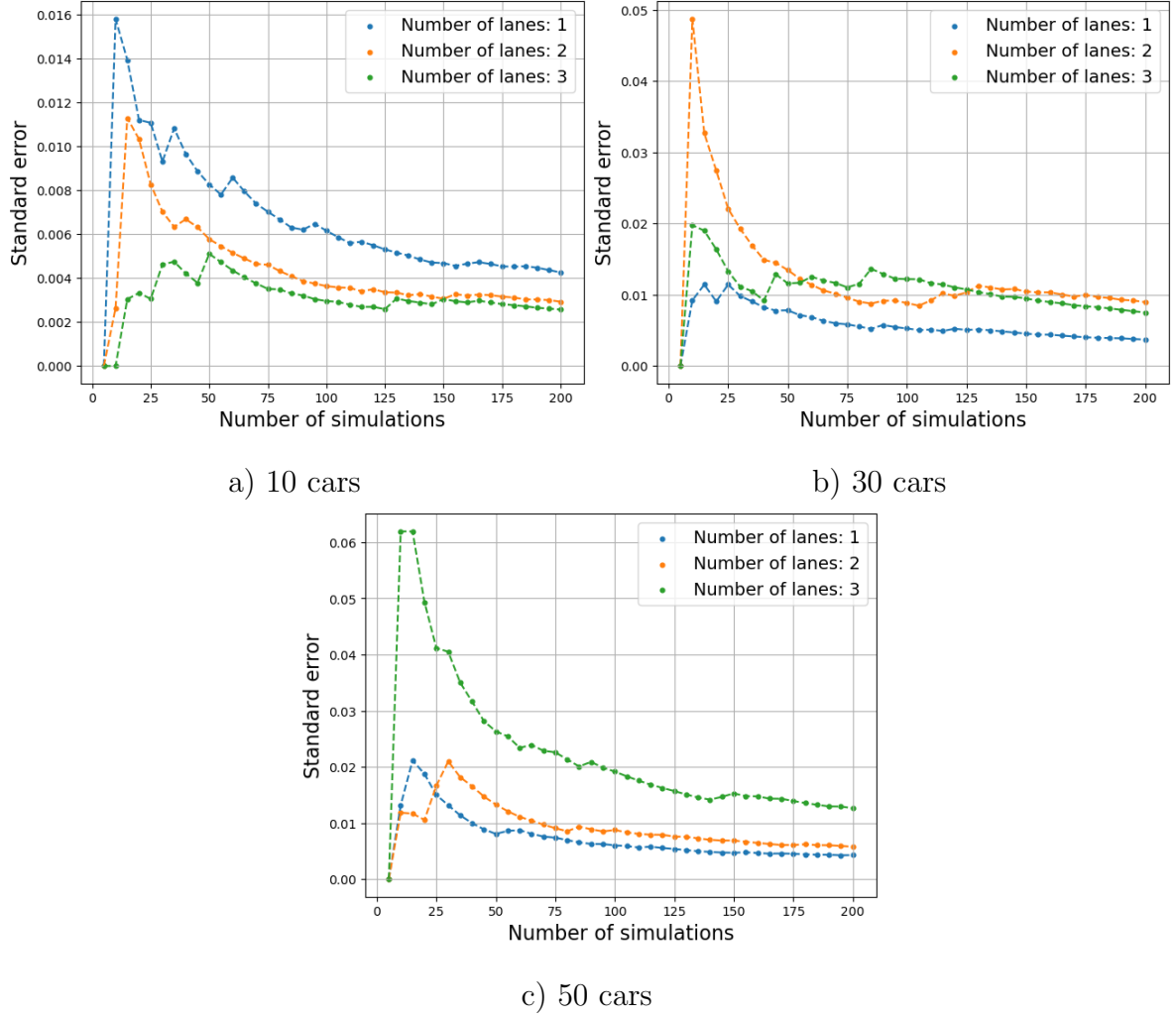


Figure 7: Standard error of average flow rate plotted against amount of simulations using different number of cars.

When using  $n_{cars} = 10$  it is clear that the standard error of the flow rate is much larger when using 1 lane compared to 2 or 3 lanes. When  $n_{cars} = 20$  the standard error is largest when using 2 lanes and the standard error when using 1 lane appears to have decreased. Setting the number of cars to  $n_{cars} = 20, 30$  we instead see the highest standard error when using 3 lanes and lower standard error than before when using 1 or 2 lanes. These large differences in the standard error depending on the number of lanes and the car density appear to be consistent with the results seen previously in figure 5.

To get a better understanding of the standard error dependence on the car density we calculate the standard error for varying car densities. The road length remained fixed at  $L = 100$  and  $n_{cars}$  varies in order to vary the car density between 0 and 1. For each car density 100 simulation are run for 200 time steps where the flow rate is calculated as an average over the last 100 time steps. The standard error of these values is then calculated. This is done using 1, 2 and 3 lanes. The results can be seen below in figure 8

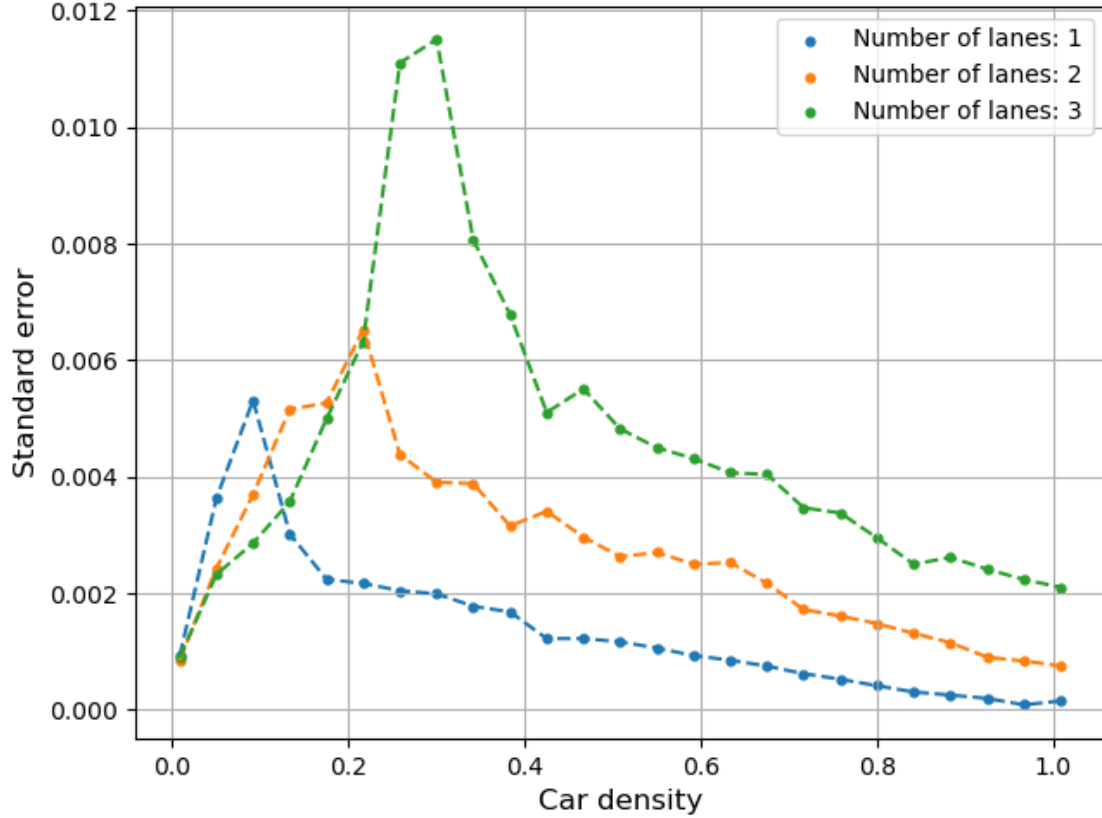


Figure 8: Standard error of average flow rate plotted against car density for different number of lanes.

In figure 8 we notice that the plots of the standard error against the car density are somewhat similar to the fundamental diagrams in figure 6. For all number of lanes, we initially see a clear increase of the standard error as the car density increases until a clear peak value is reached. Increasing the car density further makes the standard error decrease. When comparing the different number of lanes we primarily see two main differences. Firstly, we notice that the car density for which the standard error reaches its maximum value is larger when increasing the number of lanes. Secondly, we also notice that the value of the maximum standard error increases when increasing the number of lanes. If we compare the fundamental diagrams in figure 6 and figure 8 it appears as if the car densities for which the flow rate reaches its maximum value is very similar to the car densities for which the standard error reaches its maximum value. These results will be analyzed further in the discussion.

## Discussion

### Results discussion

According to the results seen in figure 6 and figure 8 the car density for which the flow rate reaches its maximum value appears to be the same as the car density for which the flow rate standard error reach their maximum value. In the model it thus appears to be impossible to maximize the flow rate while still achieving a low standard error. These results appear quite reasonable since when the flow rate is maximal it is logical that the

system would be more sensitive to small perturbations since there is a lot of activity on the road. If the model is correct, there would thus be a clear trade off between having a high flow rate and low fluctuations of the flow rate when planning road infrastructure. One would have to be especially careful when increasing the number of lanes as we could see in figure 8 that increasing the number of lanes generally appeared to increase the fluctuations of the flow rate.

In order to determine if these results actually hold in real life traffic flow real life traffic data would have to be analyzed and compared to the model results.

## **Model discussion**

In order to construct the above model several assumptions have been made. For example, the drivers have been assumed to be skilled since a car will never perform a lane change if that means that a car behind will have to brake. In order to better model the varying driving skill of real life drivers, the model could possibly be modified in order to allow some lane changes even when that means other cars will have to slow down. Furthermore, we have assumed the distribution of driver preferred maximum speed to be Gaussian distributed, regardless of car density and maximum allowed speed. The model could possibly be improved by developing a more sophisticated model of the distribution of desired maximum speed.

Another important aspect to mention are the possible consequences of using the period boundary conditions. When using period boundary conditions there is a risk that a car might detect itself as the car in front. The car would then calculate the period distance to itself and adjust its speed accordingly. This is, of course, highly unrealistic and something we must be aware of when using the period boundary conditions. Finite effects such as these are most likely mitigated by simply investigating when the system becomes independent of its size.

## **Summarizing conclusions**

The simulation results indicate that increasing the number of lanes give no effect on flow rate for low car densities. For high car densities increasing the number of lanes appears to increase the flow rate by a constant amount for each additional lane. Additionally, the results indicate that there is a strong correlation between flow rate and the fluctuations of the flow rate. According to the simulation results increasing the flow rate inevitably increases the fluctuations of the flow rate, resulting in a trade-off between high flow rate and low fluctuations of the flow rate. In order to determine whether this correlation between the flow rate and the fluctuations of the flow rate appears in real life traffic, the model would have to be compared to real life traffic data.