Improved Upper Bound for the Size of a Trifferent Code

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Abstract

A subset $\mathcal{C} \subseteq \{0,1,2\}^n$ is said to be a *trifferent* code (of block length n) if for every three distinct codewords $x,y,z\in\mathcal{C}$, there is a coordinate $i\in\{1,2,\ldots,n\}$ where they all differ, that is, $\{x(i),y(i),z(i)\}$ is same as $\{0,1,2\}$. Let T(n) denote the size of the largest trifferent code of block length n. Understanding the asymptotic behavior of T(n) is closely related to determining the zero-error capacity of the (3/2)-channel defined by Elias [Eli88], and is a long-standing open problem in the area. Elias had shown that $T(n) \leq 2 \times (3/2)^n$ and prior to our work the best upper bound was $T(n) \leq 0.6937 \times (3/2)^n$ due to Kurz [Kur23]. We improve this bound to $T(n) \leq c \times n^{-2/5} \times (3/2)^n$ where c is an absolute constant.

1 Introduction

Let q be a positive integer and let $\Sigma = \{0, 1, 2, ..., q - 1\}$ be a finite alphabet. We use the notation [n] to denote the set $\{1, 2, ..., n\}$ when n is a positive integer.

Definition 1.1 (*q*-perfect hash codes & Trifferent codes). For positive integers $q \ge 2$ and n, a code $C \subseteq \Sigma^n$ is said to be a *q*-perfect hash code of block length n if for any q distinct codewords x_1, x_2, \ldots, x_q in C we have a coordinate $i \in [n]$ such that $\{x_j(i) \mid 1 \le j \le q\} = \Sigma$, where x(i) denotes the ith coordinate of x. When q = 3, a q-perfect hash code is also referred to as a **trifferent** code.

We will write T(q, n) to denote the maximum size a q-perfect hash code of block length n attains.

Understanding the asymptotics of T(q,n) as n increases is an important question, both in information theory and computer science. In this paper we will focus solely on the case of q=3. As mentioned in Definition 1.1, in this case a q-perfect hash code is popularly referred to as a 'trifferent' code and examining the growth of T(3,n) is referred to as the 'trifference problem', a long-standing open problem that has garnered considerable attention. (See for instance the 2014 Shannon Lecture: 'On The Mathematics of Distinguishable Difference' by János Körner.) Since we concern ourselves only with the case of q=3 in the remainder of the paper, we refer to T(3,n) as T(n). In a seminal work Elias [Eli88] showed that $T(n) \leq 2 \times (3/2)^n$. Prior to our work the best upper bound on T(n) was $T(n) \leq 0.6937 \times (3/2)^n$ for $n \geq 10$, due to Kurz [Kur23]. We improve this bound for sufficiently large n in the following result.

Theorem 1.1 (Main theorem). There exists a universal constant c with the following property. Let $C \subseteq \{0,1,2\}^n$ be a trifferent code of block length n as defined in Definition 1.1. Then, $|C| \le c \times n^{-2/5} \times (3/2)^n$. Thus, $T(n) \le c \times n^{-2/5} \times (3/2)^n$.

Before delving into the trifference problem, we elucidate how q-perfect hash codes are connected to perfect hashing and how they simultaneously serve as error-correcting codes for a classical channel in information theory. Subsequently, we highlight notable findings in the estimation of T(q, n) for the cases when q > 3.

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General *q*-perfect Hash Codes

A q-perfect hash code $\mathcal{C} = \{x_1, x_2, \dots, x_s\}$ of block length n and size s is readily seen to be a family $\{h_1, \dots, h_n\}$ of n hash functions from [s] to Σ where the i^{th} hash function h_i maps $j \in [s]$ to $x_j(i)$, i.e., the i^{th} coordinate of the codeword x_j . The family of hash functions $\{h_i\}$ has the property that any subset Q of size q of the domain [s] is perfectly hashed by at least one of the hash functions h_i , i.e., $\{h_i(j): j \in Q\} = \Sigma$. Alternatively, a q-perfect hash code, say \mathcal{C} as described above, can also be cast as a cover of the q-uniform complete hypergraph on the vertex set [s], say $K_s(q)$, using n hypergraphs which are q-uniform and q-partite. Specifically, we think of each hash function h_i as a hypergraph H_i whose vertex set is [s] and the edge set is $\{Q \subseteq [s]: |Q| = q \land \{h_i(j) \mid j \in Q\} = \Sigma\}$. See the excellent survey of Radhakrishnan [Rad01] for more details.

A q-perfect hash code also serves as an error-correcting code for a classical channel studied in zero-error information theory: the q/(q-1) channel. The input and output alphabets of this channel are a set of q symbols, namely Σ ; when the channel receives the symbol $i \in \Sigma$ as input, the output symbol can be anything other than i itself. For the q/(q-1) channel it is impossible to recover the message without error if the code has at least two codewords: in fact, no matter how large the block length, for every set of up to q-1 input codewords, one can construct an output word that is compatible with all of them. However, there exist codes with positive rate where on receiving an output word from the channel, one can narrow down the possibilities for the input message to a set of size at most q-1, that is, we can list-decode with lists of size q-1. Such codes are called (q-1)-list-decoding codes for the q/(q-1) channel. It is well known that a q-perfect hash code $\mathcal C$ of block length n and size s is equivalent to a (q-1)-list-decoding code for the q/(q-1) channel with block length n (for instance see the introduction of Bhandari and Radhakrishnan [BR22]).

Definition 1.2 (Rate & Capacity). For positive integers $q \ge 2$ and n, let \mathcal{C} be a q-perfect hash code of block length n. Following Elias [Eli88], we define the **rate** of \mathcal{C} as $R_{\mathcal{C}} := \frac{1}{n} \log_2(|\mathcal{C}|/(q-1))$. We define the q-capacity as

$$\operatorname{cap}(q) = \limsup_{n \to \infty} \frac{1}{n} \log_2 \frac{T(q,n)}{q-1}.$$

Remark 1.2. It is not known if 'lim sup' can be replaced by 'lim' in the definition of capacity; see [Ari94, Footnote 1].

Many significant improvements have been made in understanding $\operatorname{cap}(q)$ and related quantities for q>3. We list some of them below and refer the reader to the work of Bhandari and Radhakrishnan [BR22] for a more detailed survey. Fredman and Komlós' seminal work [FK84] established $\operatorname{cap}(q) \leq \exp(-B_1q)$ for a constant $B_1>0$, independent of q. Guruswami and Riazanov [GR19] demonstrated the non-optimality of the Fredman-Komlós upper bound for $q\geq 4$ and provided explicit improvements for q=5,6. Costa and Dalai [CD20] resolved a conjecture by Guruswami and Riazanov, completing the explicit computation for improving the Fredman-Komlós bound across all q, and introduced an alternative method yielding substantial enhancements for q=5,6. For q=4, Dalai, Guruswami, and Radhakrishnan [DGR20] improved the upper bound to $\operatorname{cap}(4) \leq 6/19 \approx 0.3158$, surpassing Arikan's previous bound of 0.3512 [Ari94], while Körner and Marton [KM88, eq (1.2)] established a lower bound of $\operatorname{cap}(4) \geq (1/3) \lg(32/29) \approx 0.0473$. Additionally, Xing and Yuan [XY19] extended Körner and Marton's concatenation technique, demonstrating improved lower bounds on capacity for q=4,8, all odd integers greater than 3 and less than 25, and sufficiently large q not congruent to 2 (mod 4).

The Trifference Problem

Despite receiving considerable attention, progress for the trifference problem has been relatively modest when compared to the situation for q>3. Elias [Eli88] showed that $0.08\approx\lg(3)-1.5\leq \text{cap}(3)\leq \lg(3)-1\approx0.58$; Körner and Marton [KM88] improved the lower bound above to $0.212\approx(1/4)\lg(9/5)\leq \text{cap}(3)$ via code concatenation. Under the further assumption of linearity, i.e., if we think of Σ as \mathbb{F}_3 and assume that the trifferent code $\mathcal{C}\subseteq\mathbb{F}_3^n$ is a linear subspace of \mathbb{F}_3^n , some improvements have been obtained in the upper bound on cap(3). Pohoata and Zakharov [PZ22] obtained linear-cap(3) $\leq (1/4-\epsilon) \times \log_3(2) \approx 0.3962 - \epsilon$ for some absolute constant $\epsilon>0$ following which Bishnoi, D'haeseleer, Gijswijt and Potukuchi [BDGP23] obtained linear-cap(3) $\leq (1/4.55) \times \log_3(2) \approx 0.3483$.

Notwithstanding the above results, the current best upper bound on cap(3) for general trifferent codes remains the one given by Elias [Eli88], up to a constant factor. As such, there has been an impetus to view T(n), the largest size of a trifferent code of block length n, with a more refined lens. Elias' upper bound and Körner and Marton's lower bound can be recast in terms of T(n) as $T(n) \le 2 \times (3/2)^n$ and $T(n) \ge (9/5)^{n/4}$ respectively. Recently, via a computer search for a large trifferent code of block length up to $n \le 6$, combined with a number theoretic argument, Fiore, Gnutti and Polak [FGP22] showed that $T(n) \le 1.09 \times (3/2)^n$ for $n \ge 12$. Even more recently Kurz [Kur23] extended the computer search for trifferent codes of block lengths up to $n \le 7$ and obtained $T(n) \le 0.6937 \times (3/2)^n$ for $n \ge 10$.

What makes studying T(n) intriguing is the fact that the upper bound of $2 \times (3/2)^n$ is obtained via a relatively simple pruning argument (described below) which has proved difficult to improve. (See for instance the work of Costa and Dalai [CD21] as to the limits of the 'slice rank' method for the trifference problem, which, however was successful in bounding the largest size of a 3-AP free set in \mathbb{F}_3^n). Additionally, the lower bound of $(9/5)^{n/4}$ is obtained not via a purely random construction, but via concatenating a random outer code and an algebraic inner code (known as the Tetra code). The pruning argument for the upper bound of $2 \times (3/2)^n$ is as follows: let \mathcal{C} be a trifferent code of block length n and let $a_1 \in \{0,1,2\}$ be a least occurring symbol in the first coordinate of all the codewords. Then, let C_1 be the code obtained by deleting those codewords from C that have a_1 in the first coordinate. Observe that $|\mathcal{C}_1| \geq (2/3)|\mathcal{C}|$. Now since \mathcal{C} was trifferent, same is true for \mathcal{C}_1 . But any three distinct strings from C_1 cannot exhibit the trifference property in the first coordinate and hence for any three distinct codewords x, y, z in C_1 there must exist a coordinate i > 1 such that $\{x(i), y(i), z(i)\} = \{0, 1, 2\}$. Proceeding iteratively in this manner we let a_2 be a least occurring symbol in the second coordinate of codewords in C_1 , and then obtain C_2 from C_1 by deleting those codewords which have a_2 in their second coordinate, and so on, till we obtain C_n . Thus, $|C_n| \ge (2/3)^n \times |C|$. But observe that C_n is a trifferent code where three distinct strings cannot exhibit the trifference property in any coordinate. Therefore $2 \ge |\mathcal{C}_n|$, which leads to $|C| \le 2 \times (3/2)^n$.

We restate our main result below, which is an improved upper bound on T(n).

Theorem 1.1 (Main theorem). There exists a universal constant c with the following property. Let $C \subseteq \{0,1,2\}^n$ be a trifferent code of block length n as defined in Definition 1.1. Then, $|C| \le c \times n^{-2/5} \times (3/2)^n$. Thus, $T(n) \le c \times n^{-2/5} \times (3/2)^n$.

To prove Theorem 1.1 we first introduce a close variant of trifferent codes which we call 'bounded trifferent' codes.

Definition 1.3 (*r*-bounded trifferent codes). Let $C \subseteq \{0,1,2\}^n$ be a trifferent code of block length n. For an integer $r \ge 0$, we call C an r-bounded trifferent code if for all codewords $x \in C$ we have that the number of 2's in x is r, i.e., $|\{i \in [n]: x(i) = 2\}| = r$. Further, for $n \ge r$ let $T_b(n,r)$ denote the maximum size an r-bounded trifferent code of block length n attains.

In the remainder of the paper, when we talk about $T_b(n,r)$ it is to be understood that $n \geq r$ as an r-bounded trifferent code of block length n < r has size 0. Note that $T_b(n,r) \leq 2 \times \binom{n}{r}$ as for a given subset of coordinates $S \subseteq [n]$, in any trifferent code of block length n there can be at most two codewords, say x and y, such that S is precisely the location of 2's for both x and y, i.e., $S = \{i \in [n] \mid x(i) = 2\} = \{i \in [n] \mid y(i) = 2\}$. If there were three, they would be a counter-example to the trifference property. Next, we prove a simple lemma relating T(n) and $T_b(n,r)$, thus, highlighting the importance of studying r-bounded trifferent codes.

Lemma 1.3 (Size of trifferent codes in terms of *r*-bounded trifferent codes).

$$T(n) \le 2^{(r-n)} imes rac{T_b(n,r)}{\binom{n}{r}} imes 3^n$$

Proof. TOPROVE 0

Remark 1.4. Even more generally, the above lemma shows that for any $S \subseteq \{0,1,2\}^n$ if $T_b(S)$ denotes that largest size of a trifferent code contained in S, then we have $T(n) \le \frac{T_b(S)}{|S|} \times 3^n$. Hence, it might also be interesting to look at sets S which are not of the form $\{x \in \{0,1,2\}^n : \#2's \text{ in } x = r\}$ for some integer r.

In light of the above lemma it is natural to define the notion of an *r*-bounded density.

Definition 1.4 (*r*-bounded density). Recall that $T_b(n,r)$ denotes the maximum size an *r*-bounded trifferent code of block length *n* attains. The *r*-bounded density at block length *n*, denoted as $\rho_b(n,r)$, is defined as

$$\rho_b(n,r) = 2^{(r-n)} \times \frac{T_b(n,r)}{\binom{n}{r}}$$

Hence, Lemma 1.3 can be cast as $T(n) \le \rho_b(n,r) \times 3^n$ for all $r \ge 0$. The bound obtained using the pruning argument, that is $T(n) \le 2 \times (3/2)^n$, can be obtained as an instantiation of Lemma 1.3 with r=0. In this case, it is clear that $T_b(n,r)=2$ (there can be at most two codewords in a trifferent code if the symbol 2 does not appear in any codeword) and hence $\rho_b(n,r)=2^{1-n}$. It turns out that $\rho_b(n,1)=2^{2-n}$ as we show that $T_b(n,1)=2n$ (see Lemma 3.1). This bound is worse than what we obtained on $\rho_b(n,0)$. However, the situation improves when we consider $r \ge 2$. Our main contribution is showing that for r=3, $\rho_b(n,r) \le c \times n^{-2/5} \times 2^{-n}$ for some absolute constant c. More precisely, we have the following result.

Theorem 1.5 (Bounding *r*-bounded density). Let $T_b(n,r)$ and $\rho_b(n,r)$ be as defined in Definitions 1.3 and 1.4 respectively. Then, we have constants c' and c such that

a)
$$T_b(n,2) \le c' \times n^{5/3}$$
 and hence $\rho_b(n,2) \le c \times n^{-1/3} \times 2^{-n}$ and

b)
$$T_b(n,3) \le c' \times n^{13/5}$$
 and hence $\rho_b(n,3) \le c \times n^{-2/5} \times 2^{-n}$.

We describe the proof idea of Theorem 1.5 for the case when r=2. We proceed via constructing a graph related to an r-bounded trifferent code, say \mathcal{C}_b , with block length n. This graph has roughly as many edges as $|\mathcal{C}_b|$. The crucial observation is that certain bipartite structures are forbidden in this graph. Then, an application of the famous Kővári–Sós–Turán (KST) theorem yields a bound on the number of edges in this graph which also serves as a bound on the size of \mathcal{C}_b . We give the details below.

Recall that each codeword in C_b has exactly two 2's. Now, consider the graph G_{C_b} on the vertex set [n] where for each codeword $x \in C_b$ an edge $\{i,j\}$ with $i \neq j$ is added to G_{C_b} if x(i) = x(j) = 2, i.e., i and j are the locations of 2's in x. Note that an edge $\{i,j\}$ can be added by at most 2 codewords in C_b . Hence, G_{C_b} has at least half as many edges as $|C_b|$. Next, we show via the PHP and trifference property of C_b that $K_{3,9}$ —the complete bipartite graph with the partite sets having sizes 3 and 9 respectively—is forbidden in G_{C_b} . Applying the KST theorem yields an upper bound on the number of edges in G_{C_b} as $c'' \times n^{5/3}$ for some constant c''. Hence, we obtain our desired bound on $|C_b|$ and $T_b(n,2)$.

The proof for when r = 3 proceeds along similar lines but we define the graph G_{C_b} more prudently. The detailed proof of Theorem 1.5 appears in Section 2.

Armed with Theorem 1.5 and Lemma 1.3 we easily obtain the proof of Theorem 1.1.

From the above discussion it is tempting to analyze $\rho_b(n,r)$ when both r and n are growing with n growing much faster than r. As such, we define a notion of r-bounded deficit and sup-bounded deficit which serve to get a sense of the speed at which $\rho_b(n,r)$ decays.

Definition 1.5 (r-bounded deficit & sup-bounded deficit). For an integer $r \geq 1$, let $T_b(n,r)$ denote the maximum size an r-bounded trifferent code of block length n attains. Let $\Delta_r(n) = r - \frac{\log T_b(n,r)}{\log n}$. Then, the r-bounded deficit is defined as

$$\Delta_r := \limsup_{n \to \infty} \left(r - \frac{\log T_b(n, r)}{\log n} \right)$$
$$= \limsup_{n \to \infty} \Delta_r(n).$$

and the sup-bounded deficit is defined as

$$\Delta_{\infty} := \lim_{r \to \infty} \Delta_r.$$

Remark 1.6. 1. To be more precise we should have defined Δ_{∞} as $\limsup_{r\to\infty} \Delta_r$. However, Corollary 1.6.1 shows that Δ_r is increasing in r.

2. If we unpack the above definition in terms of $T_b(n,r)$, then Lemma 1.3 yields that

$$T(n) \le c_r \times n^{-\Delta_r(n)} \times (3/2)^n$$

where c_r is a constant depending only on r. Hence, studying Δ_r as r grows is helpful in proving better upper bounds on T(n).

Since, $T_b(n,r)$ is at most $2 \times \binom{n}{r}$, the r-bounded deficit, Δ_r , is always non-negative. In fact, by Theorem 1.5 we have shown that $\Delta_2 \ge 1/3$ and $\Delta_3 \ge 2/5$. Via a simple application of the PHP we show that Δ_r is increasing in r.

Corollary 1.6.1. $\Delta_{r+1} \geq \Delta_r$ for any integer $r \geq 1$. Hence, for all integers $r \geq 3$, there are constants c_r and c_r' such that we have: $T_b(n,r) \leq c_r' \times n^{r-2/5}$ and hence $\rho_b(n,r) \leq c_r \times n^{2/5} \times 2^{-n}$.

Next, we turn our attention to establish upper bounds on Δ_r , i.e., prove lower bounds on $T_b(n,r)$. Our constructions are based on thinking about the codewords as point-line incidences in an appropriate finite-dimensional vector space over a finite field. See Section 3 for the detailed constructions.

Theorem 1.7 (Upper bounds on Δ_r). $T_b(n,1) = 2n$ and hence $\Delta_1 = 0$. Also, $\Delta_3 \leq 3/2$, i.e., $T_b(n,3) \geq c_1 \times n^{3/2}$ for some constant $c_1 > 0$: further, for every positive integer r, a power of s, we have $s_r \leq r - r^{\alpha}$ where $s_r = 1 - \log_3(2) \approx 0.369$.

Further Questions

A few interesting questions which arise are as follows. Is $\Delta_{\infty} = \infty$? If yes, this immediately shows that for any constant d we have $T(n) \leq n^{-d} \times (3/2)^n$, i.e., the size of a family of trifferent codes of growing block lengths, say $n \to \infty$, decays faster than $(3/2)^n$ divided by any polynomial factor. A more nuanced understanding in terms of $\rho_b(n,r)$ with growing r might also lead to an improved upper bound on cap(3), hence making progress on the long-standing open problem. Further, it is also interesting to understand Δ_r more precisely for small values of r such as 2 and 3: is $\Delta_2 = 1/2$?

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2 Upper bounds on the size of r-bounded trifferent codes

In this section we prove Theorem 1.5. We restate the theorem below for convenience.

Theorem 1.5 (Bounding *r*-bounded density). Let $T_b(n,r)$ and $\rho_b(n,r)$ be as defined in Definitions 1.3 and 1.4 respectively. Then, we have constants c' and c such that

a)
$$T_b(n,2) \le c' \times n^{5/3}$$
 and hence $\rho_b(n,2) \le c \times n^{-1/3} \times 2^{-n}$ and

b)
$$T_h(n,3) < c' \times n^{13/5}$$
 and hence $\rho_h(n,3) < c \times n^{-2/5} \times 2^{-n}$.

To prove Theorem 1.5 we will need to apply the famous result of Kővári, Sós and Turán, known popularly as the KST theorem, or rather a version of it due to Hyltén-Cavallius.

Theorem 2.1 (KST theorem due to Hyltén-Cavallius [HC58]). The Zarankiewicz function z(u, v; s, t) denotes the maximum possible number of edges in a bipartite graph $G = (U \cup V, E)$ for which |U| = u and |V| = v, but which does not contain a subgraph of the form $K_{s,t}$ where s vertices come from U and t from V (here $K_{s,t}$ denotes the complete bipartite graph with s and t vertices in the two partite sets). Then,

$$z(u,v;s,t) < (t-1)^{\frac{1}{s}}(u-s+1)v^{1-\frac{1}{s}} + (s-1)v.$$

Proof. TOPROVE 3

Remark 2.2. We can improve the (huge) constant 2^{21} appearing in the above proof to some extent by following a strategy similar to the one employed in the case when r = 2. However, it is easier to work with the current numbers and this doesn't seem to hurt the bounds of Theorem 2.1 beyond a constant factor.

3 Lower Bounds on the size of *r*-bounded trifferent codes

In this section we will prove Theorem 1.7 which we restate below for convenience.

Theorem 1.7 (Upper bounds on Δ_r). $T_b(n,1) = 2n$ and hence $\Delta_1 = 0$. Also, $\Delta_3 \leq 3/2$, i.e., $T_b(n,3) \geq c_1 \times n^{3/2}$ for some constant $c_1 > 0$: further, for every positive integer r, a power of s, we have $s \leq r - r^{\alpha}$ where $s = 1 - \log_3(2) \approx 0.369$.

We will first focus on the case of r = 1.

Lemma 3.1 (Maximum size of trifferent codes where each codeword has one 2). *For each integer* $n \ge 1$ *we have* $T_b(n,1) = 2n$.

Proof. TOPROVE 4

Now, we turn to the general case when r is a power of 3.

Lemma 3.2 (Maximum size of trifferent codes where each codeword has 3^t many 2's). Let $t \ge 0$ be an integer and let $r = 3^t$. Suppose that for all positive integers $n \ge r$ we have $T_b(n,r) \ge c_t \times n^{(3/2)^t}$ for some constant $c_t > 0$ depending only on t. Then, for all positive integers $n \ge 3r$ we have $T_b(n,3r) = c_{t+1} \times n^{(3/2)^{t+1}}$ for some constant $c_{t+1} > 0$ depending only on t + 1.

Proof. TOPROVE 5

□
Proof. TOPROVE 6

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