


P-time algorithms for typical #EO problems

Boning Meng¹ ✉ 

Key Laboratory of System Software (Chinese Academy of Sciences) and State Key Laboratory of Computer Science, Institute of Software, Chinese Academy of Sciences; University of Chinese Academy of Sciences, Beijing, China

Juqiu Wang¹ ✉ 

Key Laboratory of System Software (Chinese Academy of Sciences) and State Key Laboratory of Computer Science, Institute of Software, Chinese Academy of Sciences; University of Chinese Academy of Sciences, Beijing, China

Mingji Xia¹ ✉ 

Key Laboratory of System Software (Chinese Academy of Sciences) and State Key Laboratory of Computer Science, Institute of Software, Chinese Academy of Sciences; University of Chinese Academy of Sciences, Beijing, China

Abstract

In this article, we study the computational complexity of counting weighted Eulerian orientations, denoted as #EO. This problem is considered a pivotal scenario in the complexity classification for Holant, a counting framework of great significance. Our results consist of three parts. First, we prove a complexity dichotomy theorem for #EO defined by a set of binary and quaternary signatures, which generalizes the previous dichotomy for the six-vertex model. Second, we prove a dichotomy for #EO defined by a set of so-called pure signatures, which possess the closure property under gadget construction. Finally, we present a polynomial-time algorithm for #EO defined by specific rebalancing signatures, which extends the algorithm for pure signatures to a broader range of problems, including #EO defined by non-pure signatures such as f_{40} . We also construct a signature f_{56} that is not rebalancing, and whether #EO(f_{56}) is computable in polynomial time remains open.

2012 ACM Subject Classification Theory of computation → Problems, reductions and completeness

Keywords and phrases Counting complexity, Eulerian orientation, Holant, #P-hardness, Dichotomy theorem

Funding All authors are supported by National Key R&D Program of China (2023YFA1009500), NSFC 61932002 and NSFC 62272448.

Acknowledgements We sincerely thank Yicheng Pan for providing a simplified proof of Lemma 36.

1 Introduction

In fields such as statistical physics, economics, machine learning, and combinatorics, the role of counting problems is becoming increasingly significant. Three well-founded frameworks have been put forth for the study of the complexity of counting problems: #GH, #CSP, and Holant. These frameworks are capable of expressing a wide range of natural counting problems and are of great significance in counting complexity. For example, counting vertex covers in a graph can be expressed in #GH, while counting perfect matchings is a Holant problem. The definitions of these frameworks are as follows; see [9, Section 1.2] and [16, section 2.1] for details. In this paper, we always restrict the variables in these counting problems to the Boolean domain, where each variable can only take values in $\{0, 1\}$, by default.

¹ First authors.

We begin by introducing some basic concepts. A *Boolean variable* takes values from a Boolean domain consisting of two symbols $\{0, 1\}$. Sometimes we treat it as \mathbb{F}_2 , the finite field of size 2, to characterize special tractable classes.

A *signature* f with r variables is a mapping from $\{0, 1\}^r$ to \mathbb{C} . The value of f on an input α is denoted as f_α or $f(\alpha)$. The set of all variables of f is denoted by $\text{Var}(f)$, and its size by $\text{arity}(f)$.

► **Definition 1 (#GH).** A counting weighted graph homomorphisms problem $\#GH(\mathcal{F})$ parameterized by a binary signature f is as the following: The input is a directed graph² $G = (V, E)$. The output is

$$Z_G = \sum_{\sigma: V \rightarrow \{0,1\}} \prod_{(u,v) \in E} f(\sigma(u), \sigma(v))$$

► **Definition 2 (#CSP).** A counting constraint satisfaction problem $\#CSP(\mathcal{F})$ parameterized by a set \mathcal{F} is as the following: The input is an instance of $\#CSP(\mathcal{F})$, which consists of a finite set of variables $\{x_1, x_2, \dots, x_n\}$ and a finite set C of clauses. Each clause in C contains a signature $f \in \mathcal{F}$ of arity k and a sequence of variables of length k $(x_{i_1}, x_{i_2}, \dots, x_{i_k})$ from $\{x_1, x_2, \dots, x_n\}$ ³. The output is

$$\sum_{x_1, x_2, \dots, x_n \in \{0,1\}} \prod_{(f, x_{i_1}, x_{i_2}, \dots, x_{i_k}) \in C} f(x_{i_1}, x_{i_2}, \dots, x_{i_k})$$

► **Definition 3 (Holant).** A Holant problem $\text{Holant}(\mathcal{F})$ parameterized by a set \mathcal{F} is as the following: The input is an signature grid $\Omega(G, \pi)$ over \mathcal{F} , denoted by I . Here, G is a graph and $\pi: V \rightarrow \mathcal{F} \times S(E(v))$ assigns a signature $f_v \in \mathcal{F}$ of arity $|E(v)|$ to v and a linear order to $E(v)$ for each $v \in V(G)$, where $E(v)$ are the edges adjacent to v . The output is the partition function of Ω ,

$$Z(I) = \text{Holant}_\Omega = \sum_{\sigma: E(G) \rightarrow \{0,1\}} \prod_{v \in V(G)} f_v(\sigma|_{E(v)})$$

The bipartite Holant problem $\text{Holant}(\mathcal{F} \mid \mathcal{G})$ is a Holant problem over the signature grid (G, π) , where $G = (U, V, E)$ is a bipartite graph, and π assigns signatures from \mathcal{F} to vertices in U and signatures from \mathcal{G} to vertices in V .

The framework of Holant is capable of expressing counting weighted graph homomorphisms (#GH) and counting constraint satisfaction problems (#CSP). Consequently, Holant is widely regarded as one of the most general and significant frameworks in counting complexity.

Significant progress has been made in the study of #GH [38, 35, 29, 6, 28, 33, 12, 11], #CSP [6, 8, 27, 5, 22, 31, 34, 4, 7, 30, 25, 13, 10], #EO [19, 16, 42], and Holant [22, 23, 24, 21, 1, 26, 2, 37, 17, 41]. Moreover, the computational complexity of #GH and #CSP has been fully characterized by dichotomy theorems [12, 25]. In contrast, the complexity classification for Holant remains unresolved.

There have been several constructive attempts on Holant. A signature is said to be symmetric if all its values for inputs with the same number of 1's are identical. A complete

² In this article, graphs refer to multigraphs. It is always permissible for self-loops and parallel edges to be present.

³ A variable can appear in the sequence more than one time.

dichotomy is established when all signatures are symmetric [21]. When signatures are not restricted to be symmetric, a complete dichotomy has been proven for nonnegative-weighted signatures [37] and real-weighted signatures [41]. Additionally, dichotomies exist for several special forms of complex-weighted **Holant**, such as **Holant**^{*} [24], **Holant**⁺ [1], and **Holant**^c [2], with some given auxiliary signatures.

Recently, counting weighted Eulerian orientation problems (**#EO**) have also attracted researchers' attention, as real-weighted **Holant** can express **#EO** problems defined by signatures with the ARS property [16] under a holographic transformation by $Z = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ i & -i \end{pmatrix}$, while complex-weighted **Holant** can express **#EO** problems under the same transformation. The complexity classification for complex-weighted **#EO** problems remains open, and therefore this article seeks a more generalized characterization of **#EO** complexity.

1.1 Counting weighted Eulerian orientation

We first introduce the concept of **#EO**. We use $\text{HW}^<$ to denote the set of strings with fewer 1's than 0's. For example, $00110 \in \text{HW}^<$ since the number of 1's (which is 2) is strictly less than the number of 0's (which is 3). We similarly define $\text{HW}^=$, HW^{\leq} , $\text{HW}^>$, and HW^{\geq} . The *support* of a signature f , denoted $\text{supp}(f)$, is the set of all inputs for which f is non-zero. If $\text{supp}(f) \subseteq \text{HW}^=$, we call f an **EO** signature.

For any Eulerian graph G , let $\text{EO}(G)$ denote all Eulerian orientations of G . For a given orientation in $\text{EO}(G)$, we assign 0 to the head and 1 to the tail of each edge. Therefore, a Eulerian orientation corresponds to an assignment to both ends of every edge, where for each vertex, the number of adjacent ends assigned 0 equals those assigned 1.

► **Definition 4 (#EO).** A **#EO** problem $\text{\#EO}(\mathcal{F})$ parameterized by a signature set \mathcal{F} of **EO** signatures is as the following: The input is an **EO**-signature grid $\Omega(G, \pi)$ over \mathcal{F} , denoted by I . Here, G is a Eulerian graph and $\pi : V \rightarrow \mathcal{F} \times S(E(v))$ assigns a signature $f_v \in \mathcal{F}$ of arity $|E(v)|$ to v and a linear order to $E(v)$ for each $v \in V(G)$, where $E(v)$ are the edges adjacent to v . The output is the partition function of Ω ,

$$Z(I) = \text{\#EO}_{\Omega} = \sum_{\sigma \in \text{EO}(G)} \prod_{v \in V} f_v(\sigma|_{E(v)}).$$

Several complexity results have been established for **#EO**. In [19], a complexity dichotomy was proved for a single complex-weighted quaternary signature, known as the six-vertex model dichotomy. This result was later extended to planar graphs in [18]. Furthermore, [16] established a complexity dichotomy for signatures satisfying the arrow reversal symmetry (ARS) property, a setting commonly assumed in physics.

There are two motivations for studying **#EO** problems. First, specific forms of **#EO** problems appear in many areas such as statistical physics and combinatorics. In statistical physics, both the ice-type model and the six-vertex model [40] are special cases of **#EO** problems. The latter model, in particular, has emerged as a prominent focus within statistical physics and corresponds exactly to **#EO** defined on 4-regular graphs. In combinatorics, the resolution of the Alternating Sign Matrix conjecture [3] and the evaluation of the Tutte polynomial at (3,3) [36] both relate to **#EO** problems defined on specific graphs with particular signatures.

Second, we conjecture that resolving **#EO** problems is essential for establishing the complete dichotomy for complex-weighted **Holant** problems. The significance of **EO**-signatures

was first recognized in [21] during the study of vanishing signatures. Furthermore, [37] suggests that classifying #EO problems may complement the tensor decomposition lemma for complex-weighted signatures. Most directly, [41] demonstrates that research on corresponding #EO problems (defined by signatures with ARS property), initially conducted in [16], constitutes a crucial component of the proof for the real-weighted Holant dichotomy. Additionally, the eight-vertex model dichotomy presented in [15], where the problem is defined by a single quaternary signature whose support confined to $\text{HW}^\equiv \cup \{0000, 1111\}$, builds upon the six-vertex model dichotomy in [19]. We believe that some fundamental obstacles to establishing complete complexity classifications for complex-weighted Holant problems lie hidden within complex-weighted #EO problems.

1.2 Our results

All results in this paper target and hold for complex-weighted #EO by default. The study begins with analyzing low-arity signatures, a prevalent research focus in counting problems. This approach is justified because an EO signature f with arity greater than 4 can generate various quaternary and binary signatures through gadget construction. Our first result is stated below, with its detailed version presented in Theorem 20.

► **Theorem 5.** *Suppose \mathcal{F} is a finite set of EO signatures with arity less or equal than 4. Then $\#EO(\mathcal{F})$ is either polynomial time computable or #P-hard. The classification criterion is explicit.*

To establish a complete complexity classification for #EO problems, we build upon Theorem 5 as a foundation. We note that the tractable cases exhibit a more complex structure compared to those in the six-vertex model dichotomy.

Our second result concerns pure signatures. For a set S of 01-strings with length k , the *affine span* of S is defined as the minimal affine subspace containing S . Given a signature f , we denote by $\text{Span}(f)$ the affine span of its support $\text{supp}(f)$. Given the affine span of a signature f 's support, we call f a pure-up (resp. pure-down) signature if it is contained in HW^\geq (resp. HW^\leq).

Our investigation originates from a quaternary signature f with $\text{supp}(f) = \{1100, 1010, 1001\}$. Its affine span $\{1100, 1010, 1001, 1111\} \subseteq \text{HW}^\geq$ motivates modifying f to f' by assigning a nonzero value at input 1111. Building on [21]'s result that $\text{Holant}(\neq_2 | [0, 0, a, b, c]) \equiv_T \text{Holant}(\neq_2 | [0, 0, a, 0, 0])$ (since HW^\geq strings do not contribute to the partition function), we establish $\#EO(f') \equiv_T \#EO(f)$. This support augmentation technique fundamentally motivates our study of pure signatures. Notably, Theorem 6's tractable cases generalize those in Theorem 5.

► **Theorem 6.** *Suppose \mathcal{F} is a finite set of pure-up (pure-down) EO signatures. Then $\#EO(\mathcal{F})$ is either polynomial time computable or #P-hard. The classification criterion is explicit.*

The detailed statement of Theorem 6 appear in Theorem 23. To achieve tractability, a condition called the *type condition* must be satisfied in Theorem 6. This condition also plays a crucial role in the subsequent algorithm. Additionally, we define a property called *rebalancing*. Intuitively, a signature f is 0-rebalancing (or 1-rebalancing) if fixing some of its variables to 0 (respectively 1) naturally forces an equal number of variables to be fixed to 1 (respectively 0). When both the type condition and rebalancing condition are satisfied, a polynomial-time algorithm becomes applicable. We emphasize that this algorithm represents the most significant algorithmic contribution of this paper. Our third result is stated below, with a formal version in Theorem 25.

► **Theorem 7.** *$\#EO(\mathcal{F})$ is polynomial time computable, if every $f \in \mathcal{F}$ is 0-rebalancing (or 1-rebalancing), and satisfies the type condition.*

We note that very recently, and independently of our work, [42] proposed a polynomial-time algorithm for 01-weighted $\#EO$ parameterized by \mathcal{F} consisting of δ_1 -affine (resp. δ_0 -affine) signatures and affine signatures, termed the chain reaction algorithm. Moreover, [42] establishes a novel connection between the base level of δ_1 -affine and δ_0 -affine signatures (the δ_1 -affine and δ_0 -affine kernels) and the Hadamard code, while proving $\#P$ -hardness when δ_1 -affine and δ_0 -affine signatures are mixed. Although these results do not constitute a complete dichotomy and primarily apply to 0-1 weighted signatures, they capture some of the significant components of our dichotomy and prove highly valuable, as demonstrated in this work.

Furthermore, building upon this article and utilizing our dichotomy for pure signatures [39], a complete dichotomy for complex-weighted $\#EO$ has been established. However, this dichotomy is an FP^{NP} versus $\#P$ dichotomy, indicating that $\#EO$ problems are either in FP^{NP} or $\#P$ -hard. While this dichotomy provides valuable insights and partially clarifies the complexity classification, it does not yield new polynomial-time algorithms for $\#EO$ and consequently does not include our algorithm for rebalancing signatures.

1.3 Our methods

As presented in Section 1.2, this article contains three parts. In each part, our main objective is to characterize different classes of signature sets. Indeed, the principal difficulty lies in providing descriptions of tractable cases that are sufficiently precise to capture all tractable scenarios. Following this characterization, we separately prove both tractability and $\#P$ -hardness results.

In each part, we perform detailed characterizations of signature sets, including comprehensive classifications and rigorous examinations of their properties. Specifically, we focus on three key aspects: the closure property, the properties of the affine span, and signature reducibility.

We further summarize that each tractable case in this work must satisfy two requirements: the support requirement and the type requirement. The support requirement specifies that each signature's support must possess a particular form. This specific form enables a receiving-sending mechanism that is necessary for implementing the corresponding algorithm. The type requirement states that after applying the receiving-sending mechanism to an instance, all resulting signatures must form a tractable case in $\#CSP$. A detailed explanation appears in Section 3.

For the hardness results, we prove $\#P$ -hardness based on the dichotomies of both the six-vertex model and $\#CSP$, primarily using the gadget construction method introduced in Section 2.3.

1.4 Organization

In Section 2, we introduce preliminaries needed in our proof. We present the detailed version of our main theorem in Section 3. In Sections 4, 5 and 6, we give the proofs of Theorem 5, 6 and 7 respectively. We conclude our result in Section 7.

2 Preliminaries

2.1 Definitions and notations

For a 01-string α , we use $\bar{\alpha}$ to denote the *dual string* of α , which is obtained by flipping every bit of α . For $s \in \{0, 1\}$, we use $\#_s(\alpha)$ to denote the number of s 's in α . Under this notation we have:

$$HW^= = \{\alpha \mid \#_1(\alpha) = \#_0(\alpha)\}, \quad HW^{\geq} = \{\alpha \mid \#_1(\alpha) \geq \#_0(\alpha)\}.$$

A signature f is called a *HW⁼ signature* (precisely an EO signature) if $\text{supp}(f) \subseteq HW^=$. The term "EO signature" was originally introduced in [16] as shorthand for *Eulerian Orientation signature*.

If $\text{supp}(f) \subseteq HW^{\geq}$, we call f an *HW[≥] signature*. Other similar notations are defined analogously by replacing " \geq " with " \leq ", " $<$ ", or " $>$ " in both names and defining formulae.

We use $[f_0, f_1, \dots, f_r]$ to denote a *symmetric signature* f of arity r , where f_i gives the evaluation for all input strings with Hamming weight i .

By exchanging the values of the symbols in the Boolean domain, we obtain the *dual signature* $\tilde{f} = [\tilde{f}_0, \tilde{f}_1, \dots, \tilde{f}_r] = [f_r, f_{r-1}, \dots, f_0]$. A signature f is called *self-dual* if $f = \tilde{f}$. The concepts of "dual" and "self-dual" can be naturally generalized to asymmetric signatures, sets of signatures, Boolean domain counting problems, and tractable classes in dichotomy theorems.

We use $[\varphi]$ to denote the truth value of a logical statement φ . It takes values from $\{\text{True}, \text{False}\}$, or equivalently the isomorphic integer set $\{1, 0\}$. For example, $[x \neq y]$ equals True (or 1) when $x \neq y$, and False (or 0) otherwise. Thus both $[x \neq y]$ and $\neq_2(x, y)$ represent the value of the binary function $[0, 1, 0]$ evaluated at (x, y) .

Several sets of frequently used signatures are defined as follows. Δ_0 represents the unary signature $[1, 0]$, with its dual $\Delta_1 = [0, 1]$. The binary disequality signature $[0, 1, 0]$ is denoted by \neq_2 and serves as an example of self-dual signatures. We use \mathcal{EQ} to denote the set of equality signatures where $\mathcal{EQ} = \{=_1, =_2, \dots, =_r, \dots\}$, with $=_r$ denoting an arity- r signature $[1, 0, \dots, 0, 1]$. In other words, $=_r$ evaluates to 1 when the input is all 0s or all 1s, and 0 otherwise.

A *disequality signature* of arity $2d$, denoted by \neq_{2d} , evaluates to 1 when $x_1 = x_2 = \dots = x_d \neq x_{d+1} = x_{d+2} = \dots = x_{2d}$, and 0 otherwise. We define $\mathcal{DEQ} = \{\neq_2, \neq_4, \dots, \neq_{2n}, \dots\}$ as the set of all disequality signatures. Moreover, \mathcal{DEQ} is closed under variable permutation. That is, for any $\pi \in S_{2d}$, a signature f that evaluates to 1 when $x_{\pi(1)} = x_{\pi(2)} = \dots = x_{\pi(d)} \neq x_{\pi(d+1)} = x_{\pi(d+2)} = \dots = x_{\pi(2d)}$ (and 0 otherwise) is also considered a disequality signature.

An alternative definition states that a signature f is a disequality signature if: (1) it is an EO signature of arity $2d$, (2) there exists $\alpha \in HW^=$ such that $\text{supp}(f) \subseteq \{\alpha, \bar{\alpha}\}$, and (3) $f(\alpha) = f(\bar{\alpha}) = 1$. The equivalence of these definitions can be readily verified. Similarly, a signature f is called a *generalized disequality signature* (denoted by $\neq_{2d}^{a,b}$) if the signature satisfies (1), (2) and (3') $f(\alpha) = a$, $f(\bar{\alpha}) = b$.

We use \otimes to denote tensor multiplication. When no ambiguity arises, we sometimes use f to denote the signature set $\{f\}$. We use \leq_T and \equiv_T to respectively denote polynomial-time Turing reductions and equivalences.

2.2 Relationships between counting problems

We say a framework A is more “general” than B , denoted as $B \preceq A$, if each problem in B can be transformed into a problem in A in polynomial time. The relationship between the counting frameworks are concluded in the following lemma.

► **Lemma 8.**

$$\#GH \preceq \#CSP \preceq \#EO \preceq \text{Holant}$$

Furthermore, Holant constitutes a strictly more expressive framework than $\#GH$, as evidenced by the fact that while counting perfect matchings cannot be represented as a $\#GH$ problem [20], it can be formulated as a Holant problem.

Lemma 8 follows directly from Lemmas 9–12.

► **Lemma 9.** $\#GH(f) \equiv_T \#CSP(\{f\})$.

► **Lemma 10** ([16]). $\#EO(\mathcal{F}) \equiv_T \text{Holant}(\neq_2 | \mathcal{F})$.

► **Lemma 11** ([41]). $\text{Holant}(\neq_2 | \mathcal{F}) \equiv_T \text{Holant}(Z^{-1}\mathcal{F})$

► **Lemma 12** ([16, Theorem 6.1]). $\#CSP(\mathcal{F}) \equiv_T \#EO(\pi(\mathcal{F}))$.

We now clarify the notation used in the preceding lemmas, which will also appear throughout this article. Here, $Z^{-1} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & -i \\ 1 & i \end{pmatrix}$, and $Z^{-1}\mathcal{F}$ denotes the signature set derived from \mathcal{F} via the holographic transformation defined by Z^{-1} [43, 14].

While we omit both the formal definition of holographic transformations and the proof of this lemma since they are not central to our discussion, we strongly recommend readers consult [9][Section 1.3.2] for deeper understanding, as holographic transformations constitute a powerful technique in counting complexity theory.

Let f be an EO signature of arity $2d$ with variable set $\text{Var}(f) = \{x_1, x_2, \dots, x_{2d}\}$. For any pairing $P = \{\{x_{i_1}, x_{i_2}\}, \{x_{i_3}, x_{i_4}\}, \dots, \{x_{i_{2d-1}}, x_{i_{2d}}\}\}$ of $\text{Var}(f)$, we define EO^P as the subset of $\{0, 1\}^{2d}$ corresponding to P :

$$\text{EO}^P = \{\alpha \mid \alpha \in \{0, 1\}^{2d}, \alpha_{i_1} \neq \alpha_{i_2}, \dots, \alpha_{i_{2d-1}} \neq \alpha_{i_{2d}}\},$$

equivalently expressed as $\text{EO}^P = \text{supp}([x_{i_1} \neq x_{i_2}] \cdot [x_{i_3} \neq x_{i_4}] \cdots [x_{i_{2d-1}} \neq x_{i_{2d}}])$.

When $\text{supp}(f) \subseteq \text{EO}^P$, we call f an EO^P signature relative to P . If such a pairing P exists, we call f a *pairwise opposite signature* (identical to Definition 5.5 in [16]), or simply an EO^M signature.

For an EO^P signature f with $\text{EO}^P = \text{supp}([x_{i_1} \neq x_{i_2}] \cdot [x_{i_3} \neq x_{i_4}] \cdots [x_{i_{2d-1}} \neq x_{i_{2d}}])$, we define τ_f (or $\tau(f)$) as the set of arity- d signatures satisfying:

$$\tau_f = \{g \mid g(x_{j_1}, x_{j_3}, \dots, x_{j_{2d-1}}) = f(x_{i_1}, 1 - x_{i_1}, x_{i_3}, 1 - x_{i_3}, \dots, x_{i_{2d-1}}, 1 - x_{i_{2d-1}}),$$

$$x_{j_1} \in \{x_{i_1}, x_{i_2}\}, \dots, x_{j_{2d-1}} \in \{x_{i_{2d-1}}, x_{i_{2d}}\}\}.$$

Since P allows swapping variables within pairs, we have $|\tau_f| \leq 2^d$.

The inverse mapping π is defined for any arity- d signature g as:

$$\pi_g(x_1, y_1, x_2, y_2, \dots, x_d, y_d) = g(x_1, x_2, \dots, x_d) \cdot [x_1 \neq y_1] \cdots [x_d \neq y_d],$$

where π_g (or $\pi(g)$) is clearly an EO^P signature with $P = \{\{x_1, y_1\}, \{x_2, y_2\}, \dots, \{x_d, y_d\}\}$. Extending this to signature sets, we define $\pi(\mathcal{F}) = \{\pi(f) \mid f \in \mathcal{F}\}$.

The set $\tau(\pi(g))$ contains g and all signatures obtainable from g by variable flips using binary disequality. This establishes an equivalence between #EO and #CSP with free binary disequality. As established in [16], Lemma 12 comes from this observation and the complexity equivalence between #CSP and #CSP with free binary disequality.

2.3 Gadget construction and signature matrix

This subsection introduces two key concepts: gadget construction and signature matrices. Gadget construction serves as a fundamental reduction technique in complexity classification, while signature matrices offer an intuitive representation of signatures and reveal connections between gadget construction and matrix multiplication.

Given a signature set \mathcal{F} , an \mathcal{F} -gate resembles a signature grid $\Omega(G, \pi)$ from Definition 3, but with $G = (V, E, D)$ where V represents vertices, E denotes internal edges (each connecting two vertices) and D denotes dangling edges (each connecting one vertex). An \mathcal{F} -gate essentially defines a signature whose variables correspond to edges in D . For $|E| = n$ and $|D| = m$, with edges in E representing variables $\{x_1, \dots, x_n\}$ and edges in D representing $\{y_1, \dots, y_m\}$, the \mathcal{F} -gate's signature f satisfies:

$$f(y_1, \dots, y_m) = \sum_{\sigma: E \rightarrow \{0,1\}} \prod_{v \in V} f_v(\hat{\sigma}|_{E(v) \cup D(v)})$$

where $(y_1, \dots, y_m) \in \{0,1\}^m$ assigns values to dangling edge variables, $\hat{\sigma}$ extends σ with these assignments and f_v denotes the signature at vertex v .

We say f is realizable from \mathcal{F} via gadget construction when f is an \mathcal{F} -gate's signature. Crucially, when f is realizable from \mathcal{F} , [9, Lemma 1.3] establishes:

$$\text{Holant}(\mathcal{F}) \equiv_T \text{Holant}(\mathcal{F} \cup \{f\})$$

For #EO problems, \mathcal{F} -gates have a modified definition. Since Lemma 10 shows $\#EO(\mathcal{F}) \equiv_T \text{Holant}(\neq_2 | \mathcal{F})$, we add \neq_2 vertices to internal edges and treat the gadget as a standard Holant gate. Throughout this paper, \mathcal{F} -gates in #EO contexts follow this definition unless stated otherwise.

Next, we introduce the matrix form of signatures, which provides an orderly way to enumerate all possible values of a signature. Let f be an arbitrary signature mapping from $\{0,1\}^r$ to \mathbb{C} . First we fix an ordering of the variables as x_1, x_2, \dots, x_r . The matrix form of f (or signature matrix of f [9]) with parameter l is a $2^l \times 2^{r-l}$ matrix for some integer $0 \leq l \leq r$, where the values of x_1, x_2, \dots, x_l determine the row index and the values of $x_{l+1}, x_{l+2}, \dots, x_r$ determine the column index. This matrix is denoted by $M(f)_{x_1 x_2 \dots x_l, x_{l+1} x_{l+2} \dots x_r}$. For convenience, we sometimes omit (f) and write simply $M_{x_1 \dots x_l, x_{l+1} \dots x_r}$, or use the abbreviated form M_f when no ambiguity arises. For signatures with even arity, we typically choose $l = \frac{r}{2}$.

► **Example 13** (Signature matrix). If f is a binary signature and $f = (f_{00}, f_{01}, f_{10}, f_{11})$, then

$$M_f = M_{x_1, x_2} = \begin{pmatrix} f_{00} & f_{01} \\ f_{10} & f_{11} \end{pmatrix}.$$

If g is a quaternary signature, then

$$M_g = M_{x_1 x_2, x_3 x_4} = \begin{pmatrix} g_{0000} & g_{0001} & g_{0010} & g_{0011} \\ g_{0100} & g_{0101} & g_{0110} & g_{0111} \\ g_{1000} & g_{1001} & g_{1010} & g_{1011} \\ g_{1100} & g_{1101} & g_{1110} & g_{1111} \end{pmatrix}.$$

We now establish the connection between matrix multiplication and gadget construction. Consider two \mathcal{F} -gates f and g with arities n and m respectively, where $\text{Var}(f) = \{x_1, x_2, \dots, x_n\}$ and $\text{Var}(g) = \{y_1, y_2, \dots, y_m\}$. By connecting a subset of their dangling edges - specifically pairing $\{x_{n-l+1}, \dots, x_n\}$ with $\{y_1, y_2, \dots, y_l\}$ for some positive integer l , we construct a new \mathcal{F} -gate h .

The resulting signature h has variables $\text{Var}(h) = \{x_1, \dots, x_{n-l}, y_{l+1}, \dots, y_m\}$. Through direct comparison of gadget computation and matrix multiplication, we obtain:

$$M_h = M_{x_1 \dots x_{n-l}, y_{l+1} \dots y_m} = M_{x_1 \dots x_{n-l}, x_{n-l+1} \dots x_n} \cdot M_{y_1 \dots y_l, y_{l+1} \dots y_m} = M_f \cdot M_g.$$

For $\#EO$ problems, the only modification is that edges now represent \neq_2 instead of $=_2$, yielding:

$$M_h = M_f \cdot \neq_2^{\otimes l} \cdot M_g.$$

This relationship will be frequently employed in subsequent discussions without further explanation.

We highlight two special forms of gadget construction: self-loop and pinning. In $\#EO$ problems, adding a self-loop to signature f involves selecting two variables x_1 and x_2 and connecting them with an internal edge, yielding new signature $f^{x_1 \neq x_2}$. For $\text{Var}(f) = \{x_1, x_2, \dots, x_n\}$, since the internal edge represents \neq_2 , we obtain:

$$f^{x_1 \neq x_2}(x_3, \dots, x_n) = f(0, 1, x_3, \dots, x_n) + f(1, 0, x_3, \dots, x_n).$$

This operation can be generalized using $\neq_2^{a,b}$. Instead of directly connecting x_1 and x_2 , we introduce a new vertex assigned $\neq_2^{a,b}$ and connect x_1 and x_2 to its dangling edges. The two possible connection orders yield either: $f'(x_3, \dots, x_n) = af(0, 1, x_3, \dots, x_n) + bf(1, 0, x_3, \dots, x_n)$ or $f'(x_3, \dots, x_n) = bf(0, 1, x_3, \dots, x_n) + af(1, 0, x_3, \dots, x_n)$.

Pinning represents the second key operation. While standard Holant and $\#CSP$ problems use $\Delta_0 = (1, 0)$ or $\Delta_1 = (0, 1)$ to fix variables, $\#EO$ problems employ $\neq_2^{1,0}$ self-loops. For $x, y \in \text{Var}(f)$, pinning simultaneously fixes $x = 1$ and $y = 0$, preserving the EO property. The resulting signature is denoted $f^{x=1, y=0}$. Throughout this paper, "pinning signature" refers unambiguously to $\neq_2^{1,0}$.

2.4 Two known dichotomies for $\#CSP$ and Six-vertex model

In this section, we introduce the dichotomies for $\#CSP$ and six-vertex model. We start from defining tractable classes \mathcal{A} and \mathcal{P} . Let X be a $(d+1)$ -dimensional column vector $X = (x_1, x_2, \dots, x_d, 1)^T$ over \mathbb{F}_2 . Suppose A is a matrix over \mathbb{F}_2 . The function χ_{AX} takes value 1 when $AX = \mathbf{0}$, otherwise 0. In other words, χ_{AX} describe an affine relation on variables x_1, x_2, \dots, x_d .

► **Definition 14** ([25]). We denote by \mathcal{A} the set of signatures which have the form $\lambda \cdot \chi_{AX} \cdot \mathbf{i}^{L_1(X)+L_2(X)+\dots+L_n(X)}$, where $\mathbf{i} = \sqrt{-1}$, $\lambda \in \mathbb{C}$, $n \in \mathbb{Z}_+$, each L_j is a 0-1 indicator function of the form $\langle \alpha_j, X \rangle$, where α_j is a $(d+1)$ -dimensional vector over \mathbb{F}_2 , and the dot product $\langle \cdot, \cdot \rangle$ is computed over \mathbb{F}_2 .

► **Definition 15** ([25]). We denote by \mathcal{P} the set of all signatures which can be expressed a product of unary signatures, binary equality signatures ($=_2$) and binary disequality signatures (\neq_2) (on not necessarily disjoint subsets of variables).

As in [9, chapter 3], \mathcal{A} and \mathcal{P} are closed under gadget construction. That is, if $\mathcal{F} \subseteq \mathcal{A}$ or $\mathcal{F} \subseteq \mathcal{P}$, then all \mathcal{F} -gates are respectively in \mathcal{A} or \mathcal{P} . Now we state the dichotomy for #CSP from [25, Theorem 3.1].

► **Theorem 16** ([25]). *Suppose \mathcal{F} is a finite set of signatures mapping Boolean inputs to complex numbers. If $\mathcal{F} \subseteq \mathcal{A}$ or $\mathcal{F} \subseteq \mathcal{P}$, then $\#CSP(\mathcal{F})$ is computable in polynomial time. Otherwise, $\#CSP(\mathcal{F})$ is #P-hard.*

For EO signatures belonging to \mathcal{A} or \mathcal{P} , they satisfy the following properties.

► **Lemma 17** ([16, Lemma 5.7]). *If an EO signature f has affine support, then f is a pairwise opposite signature (EO^M signature).*

► **Lemma 18** ([16]). *$f \in \mathcal{A}$ (resp. \mathcal{P}) if and only if $\tau(f) \subseteq \mathcal{A}$ (resp. \mathcal{P}).*

Now we introduce some definitions for the dichotomy for six-vertex model. \mathcal{M} is the set of all ternary signatures whose supports only contain strings of Hamming weight exactly 1. In other words, a quaternary EO signature $f \in \mathcal{M} \otimes \Delta_1$, if and only if $\text{supp}(f) \subseteq \{1\} \times \{100, 010, 001\} = \{1100, 1010, 1001\}$. $\widetilde{\mathcal{M}}$ is defined similarly, except that the Hamming weight of each support string is exactly 2. The dichotomy for six-vertex model is as follows.

► **Theorem 19** ([19]). *Let f be a quaternary EO signature, then $\text{Holant}(\neq_2 | f)$ is #P-hard except for the following cases:*

- a. $f \in \mathcal{P}$ (equivalently, $f \in EO^M$ and $\tau(f) \subseteq \mathcal{P}$);
- b. $f \in \mathcal{A}$ (equivalently, $f \in EO^M$ and $\tau(f) \subseteq \mathcal{A}$);
- c. $f \in \mathcal{M} \otimes \Delta_1$;
- d. $f \in \widetilde{\mathcal{M}} \otimes \Delta_0$;

in which cases $\text{Holant}(\neq_2 | f)$ is computable in polynomial time.

2.5 Insights from tractable cases

Starting from this section, this article presents a number of insights pertaining to the tractable cases within each dichotomy. The objective is to generalize these tractable cases at a high level of abstraction, although some statements may be informal. It is our hope that these generalized characteristics, derived from the tractable cases, will prove a valuable point of reference for future research.

In the dichotomy of #CSP, both \mathcal{A} and \mathcal{P} are self-dual signature sets. All signatures in \mathcal{A} and \mathcal{P} have affine supports, so having affine support is a necessary condition for tractability here, and we consider this condition as a support requirement. Informally speaking, there are two ways to reach tractability based on the support requirement, namely \mathcal{A} type and \mathcal{P} type requirements. The two steps explanation for the tractability part in Theorem 16, will be used as an important template in explaining the following results. We hope to exhibit an informal evolution process from the known tractable cases to the new tractable cases in this way.

In the dichotomy for six-vertex model, the support requirement is an union of two parts. The first part is that the support must be affine. Regardless of variable permutations, it is exactly an affine subspace of $Q = \{0101, 1001, 0110, 1010\}$. This corresponds to the tractable cases a and b in Theorem 19.

The second part is that the support must be an subset of $Q_1 = \{1100, 1010, 1001\}$ or its dual, $Q_0 = \{0011, 0101, 0110\}$. This requirement itself is enough for tractability. Noticing that if each signature f in the instance satisfies that $\text{supp}(f) = Q_1$, then one of its variables

is fixed to 1, say x_1 . Transmitted by \neq_2 , as well as the general binary disequality signatures in the instance, another edge x_2 of a signature g will be fixed to 0. Then the valid part of $\text{supp}(g)$, the set of strings that can appear in an assignment with non-zero evaluation, is of size at most two and meets the affine support requirement. We denote this process as the *receiving-sending mechanism*. This mechanism directly corresponds to the chain reaction algorithm in [42]. This process may repeat many rounds, until each signature collapses to EO^M . At each round, an opposite pair of variables is somehow fixed by this mechanism. And the process continues until the rest signatures in the whole instance are in EO^M . Hence, this more general support requirement, can force a signature in an instance to work exactly like some EO^M signature. The analysis of the dual case Q_0 is similar.

3 New notations and our results

There are three parts of results in this paper, which are introduced in Subsection 3.1, 3.2, 3.3 respectively. In Subsection 3.4, we introduce two strange signatures, which serve as a motivation for some of our study. Finally in Subsection 3.5 we introduce the relations between these results.

3.1 A set of binary or quaternary signatures

The first part of our results generalizes six-vertex model to $\#EO$ problems defined by a set of EO signatures of arity no more than 4. We define

$$\mathcal{M}_{\mathcal{A}} = \{f \in \mathcal{M} \mid \text{the quotient of any two non-zero values of } f \text{ belongs to } \{\pm 1, \pm i\}\}.$$

$\mathcal{M}_{\mathcal{A}} \otimes \Delta_1$ can be seen as $\mathcal{M} \otimes \Delta_1$ with the \mathcal{A} type requirement. Similarly, we define

$$\widetilde{\mathcal{M}}_{\mathcal{A}} = \{f \in \widetilde{\mathcal{M}} \mid \text{the quotient of any two non-zero values of } f \text{ belongs to } \{\pm 1, \pm i\}\}.$$

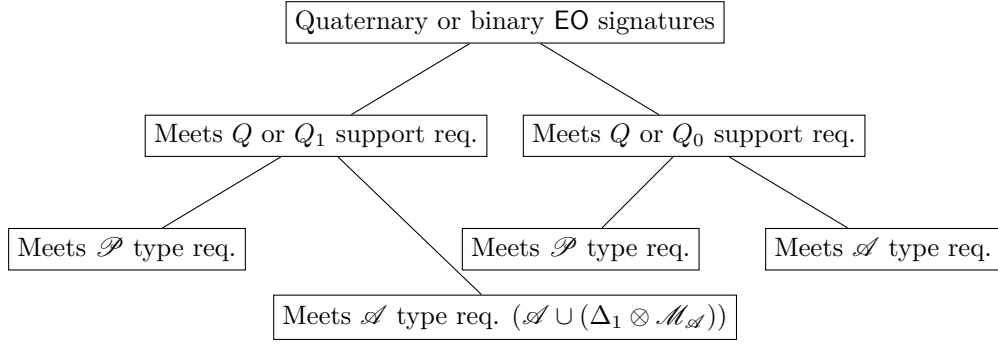
The detailed version of Theorem 5 is as follows.

► **Theorem 20.** *Suppose \mathcal{F} is a set of EO signatures with arity less than or equal to 4. Then $\text{Holant}(\neq_2 \mid \mathcal{F})$ is $\#P$ -hard unless one of the following conditions holds:*

- a. $\mathcal{F} \subseteq \mathcal{P} \cup (\mathcal{M} \otimes \Delta_1)$;
- b. $\mathcal{F} \subseteq \mathcal{A} \cup (\mathcal{M}_{\mathcal{A}} \otimes \Delta_1)$;
- c. $\mathcal{F} \subseteq \mathcal{P} \cup (\widetilde{\mathcal{M}} \otimes \Delta_0)$;
- d. $\mathcal{F} \subseteq \mathcal{A} \cup (\widetilde{\mathcal{M}}_{\mathcal{A}} \otimes \Delta_0)$,

in which cases the problem can be computed in polynomial time.

We summarize current notations as the following tree. A specific example of the \mathcal{A} type requirement is also presented. When a set of signatures can meet all requirements on a path from the root to the four nodes at the bottom, the corresponding $\#EO$ problem is tractable; otherwise the problem is $\#P$ -hard.



3.1.1 Insights from tractable cases

This dichotomy exhibits two dual support requirements represented by $\{Q, Q_1\}^4$ and $\{Q, Q_0\}$. Tractability requires satisfying either support requirement along with either an \mathcal{A} -type or \mathcal{P} -type requirement.

A key distinction between Theorems 19 and 20 lies in the emergence of $\mathcal{M}_{\mathcal{A}}$ in the latter. It can be verified that:

- For Q signatures, \mathcal{A} -type and \mathcal{P} -type requirements produce the first two tractable classes in Theorem 19;
- Q_1 signatures under \mathcal{A} -type requirements automatically satisfy \mathcal{P} -type conditions, explaining the third tractable case;
- The dual Q_0 case similarly generates the fourth tractable case.

Theorem 20 demonstrates the crucial divergence between \mathcal{A} -type and \mathcal{P} -type requirements when handling mixed signature sets.

3.1.2 Proof outline of hardness result

We say two signature sets A, B mix (in \mathcal{F}) if there exists $f, g \in \mathcal{F}$ such that $f \in A - B$ and $g \in B - A$. We first prove 3 no-mixing lemmas, mainly through signature classification on support size and gadget construction, showing the following cases are #P-hard:

1. $\mathcal{M} \otimes \Delta_1$ and $\widetilde{\mathcal{M}} \otimes \Delta_0$ mix;
2. \mathcal{A} and \mathcal{P} mix;
3. $\mathcal{A} - \mathcal{P}$ and $(\mathcal{M} \otimes \Delta_1) - (\mathcal{M}_{\mathcal{A}} \otimes \Delta_1)$ mix;
4. $\mathcal{A} - \mathcal{P}$ and $(\widetilde{\mathcal{M}} \otimes \Delta_0) - (\widetilde{\mathcal{M}}_{\mathcal{A}} \otimes \Delta_0)$ mix.

Suppose \mathcal{F} is a set of binary and quaternary EO signatures. Suppose the problem is not #P-hard. By Theorem 19, we can assume that $\mathcal{F} \subseteq \mathcal{A} \cup \mathcal{P} \cup (\mathcal{M} \otimes \Delta_1) \cup (\widetilde{\mathcal{M}} \otimes \Delta_0)$.

As $\mathcal{M} \otimes \Delta_1$ and $\widetilde{\mathcal{M}} \otimes \Delta_0$ do not mix, either $\mathcal{F} \subseteq \mathcal{A} \cup \mathcal{P} \cup (\mathcal{M} \otimes \Delta_1)$ or $\mathcal{F} \subseteq \mathcal{A} \cup \mathcal{P} \cup (\widetilde{\mathcal{M}} \otimes \Delta_0)$. Due to the dual property, it is sufficient to focus on the former case.

As \mathcal{A} and \mathcal{P} do not mix, either $\mathcal{F} \subseteq \mathcal{P} \cup (\mathcal{M} \otimes \Delta_1)$ or $\mathcal{F} \subseteq \mathcal{A} \cup (\mathcal{M} \otimes \Delta_1)$. The former case is exactly tractable case a in Theorem 20. We focus on the latter case.

As $\mathcal{A} - \mathcal{P}$ and $(\mathcal{M} \otimes \Delta_1) - (\mathcal{M}_{\mathcal{A}} \otimes \Delta_1)$ do not mix, either $\mathcal{F} \subseteq \mathcal{A} \cup (\mathcal{M}_{\mathcal{A}} \otimes \Delta_1)$ or $\mathcal{F} \subseteq (\mathcal{A} \cap \mathcal{P}) \cup (\mathcal{M} \otimes \Delta_1)$. The former case is exactly tractable case b in Theorem 20, and the latter case is subsumed by tractable case a.

⁴ Each signature's support must be a subset of either Q or Q_1 .

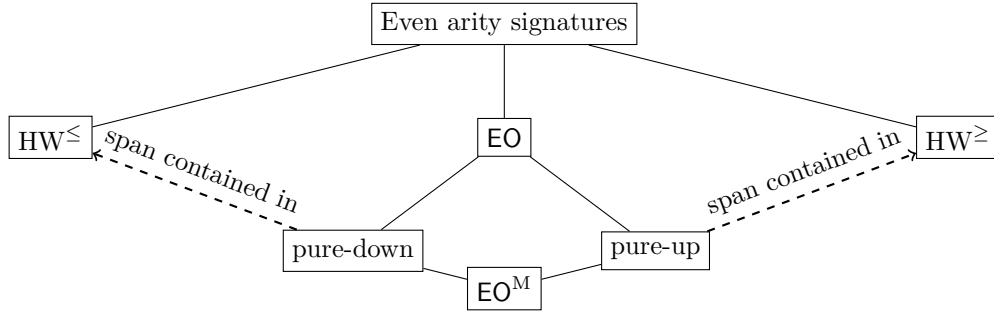
3.2 Pure signatures

In this section we focus on pure signatures, which can be seen as a generalization of the quaternary signatures in $\mathcal{M} \otimes \Delta_1$ and $\widehat{\mathcal{M}} \otimes \Delta_0$.

► **Definition 21.** An EO signature f is pure-up, if $\text{Span}(f) \subseteq HW^{\geq}$. A signature set \mathcal{F} is pure-up, if each signature in it is pure-up. Similarly, An EO signature f is pure-down, if $\text{Span}(f) \subseteq HW^{\leq}$. A signature set \mathcal{F} is pure-down, if each signature in it is pure-down.

These two categories are collectively termed pure signatures.

We summarize current notations as the following tree.



In the following, we present the dichotomy of pure signatures and the corresponding definitions. It is worth noticing that in this section, pure-up signatures and pure-down signatures never mix in \mathcal{F} .

► **Definition 22.** Suppose f is an arity $2d$ EO signature and $S \subseteq HW^{\leq}$. $f|_S$ is the restriction of f to S , which means when $\alpha \in S$, $f|_S(\alpha) = f(\alpha)$, otherwise $f|_S(\alpha) = 0$.

If for any pairing P of $\text{Var}(f)$, $f|_{EO^P} \in \mathcal{A}$, then we say that f is $EO^{\mathcal{A}}$.

Similarly, if for any pairing P of $\text{Var}(f)$, $f|_{EO^P} \in \mathcal{P}$, then f is $EO^{\mathcal{P}}$.

► **Theorem 23** (The dichotomy for pure-up EO signatures). Suppose \mathcal{F} is a set of pure-up EO signatures. Then $\#EO(\mathcal{F})$ is $\#P$ -hard unless all signatures in \mathcal{F} are $EO^{\mathcal{A}}$ or all of them are $EO^{\mathcal{P}}$, in which cases the problem can be computed in polynomial time.

3.2.1 Insights from tractable cases

We primarily analyze pure-up signatures, noting that pure-down signatures admit dual analysis through symmetric arguments. Key properties of pure-up signatures reveal that each either belongs to EO^M , or has at least one variable fixed to 1. These properties enable application of the active receiving-sending mechanism. Pure signatures thus induce a generalized support requirement with two dual cases (intersecting at the self-dual EO^M requirement), where tractability emerges through additional $EO^{\mathcal{A}}$ type or $EO^{\mathcal{P}}$ type requirements.

3.2.2 Proof outline of hardness result

For a pure signature f of arity $2d$, it can be proved that for an arbitrary pairing P of $\text{Var}(f)$, $f|_{EO^P} = f' \otimes \Delta_0^{2d-2k} \otimes \Delta_1^{2d-2k}$ where f' is an EO^M signature of arity $2k$. Such f' can be realized by adding $2d - 2k$ self-loops, and $f' \in \mathcal{A}$ (or \mathcal{P}) if and only if $f|_{EO^P} \in \mathcal{A}$ (or \mathcal{P}).

Consequently, if \mathcal{F} is not a subset of $EO^{\mathcal{A}}$ or $EO^{\mathcal{P}}$, then there exist EO^M signatures $f' \notin \mathcal{A}$ and $g' \notin \mathcal{P}$ can be realized. By Lemma 12, $\#EO(\{f', g'\})$ is equivalent to a $\#CSP(\{f'', g''\})$ problem, where $f'' \notin \mathcal{A}$ and $g'' \notin \mathcal{P}$. By Theorem 16 $\#CSP(\{f'', g''\})$ is $\#P$ -hard, and consequently $\#EO(\mathcal{F})$ is $\#P$ -hard.

3.3 Rebalancing signatures

In this section, we define a property named *rebalancing* for EO signatures.

► **Definition 24** (Rebalancing). *An EO signature f of arity $2d$, is called 0-rebalancing(1-rebalancing respectively), when the following recursive conditions are met.*

- $d = 0$: No restriction.
- $d \geq 1$: For any variable x in $X = \text{Var}(f)$, there exists a variable $y = \psi(x)$ different from x , such that for any $\alpha \in \{0, 1\}^X$, if $\alpha_x = \alpha_y = 0$ ($\alpha_x = \alpha_y = 1$ respectively) then $f(\alpha) = 0$. Besides, the arity $2d - 2$ signature $f^{x=0, y=1}$ is 0-rebalancing($f^{x=1, y=0}$ is 1-rebalancing respectively).

For completeness we view all nontrivial signatures of arity 0, which is a non-zero constant, as 0-rebalancing(1-rebalancing) signatures. For the $d \geq 1$ case, ψ is said to be the first level mapping of f .

Tractability can also be obtained based on 0-rebalancing/1-rebalancing property.

► **Theorem 25.** *If \mathcal{F} is composed of 0-rebalancing(1-rebalancing) $\text{EO}^{\mathcal{A}}$ signatures, or \mathcal{F} is composed of 0-rebalancing(1-rebalancing) $\text{EO}^{\mathcal{P}}$ signatures, then $\#\text{EO}(\mathcal{F})$ is polynomial-time computable.*

► **Remark 26.** It can be verified that every EO^{M} signature satisfies both 0-rebalancing and 1-rebalancing conditions. All pure-up signatures are 0-rebalancing due to the following arguments. When a pure-up signature f satisfies $\text{supp}(f) \cap \text{HW}^> \neq \emptyset$, it necessarily contains a $\Delta_1(x)$ factor. This factor induces a first-level mapping ψ where all variables except x map to x , while $\psi(x)$ can be any variable other than itself. This mapping process can be recursively applied until the signature f is transformed into an EO^{M} signature, which remains 0-rebalancing. Through dual reasoning, all pure-down signatures satisfy 1-rebalancing.

3.3.1 Insights from the algorithm

This section extends the receiving-sending mechanism to rebalancing signatures. While the active receiving-sending mechanism for pure signatures requires initial Δ_1 (Δ_0) triggers, rebalancing signatures may lack such initial conditions. Nevertheless, by assuming fixed values for certain variables, the mechanism still functions through what we term the passive receiving-sending mechanism. Building on this modified mechanism, we develop a polynomial-time algorithm for #EO problems defined by 0-rebalancing or 1-rebalancing signatures meeting \mathcal{A} -type or \mathcal{P} -type requirements.

Definition 24 for $d \geq 1$ specifies two necessary conditions: the existence of mapping ψ (first-level condition) and the recursive condition. Crucially, the first-level condition remains unconditional - the relationship between x and $\psi(x)$ persists even when other variables are fixed. This property enables identification of loops (rather than Δ_0/Δ_1 -initiated paths) in the passive mechanism, while the recursive condition ensures the mechanism's iterative applicability.

3.4 Two strange signatures

In this subsection we present the definition of f_{40} and f_{56} , which are considered as two important examples in our study. Some of our results are motivated by these signatures. We first introduce some notations to describe signatures with large arity and a sparse support.

For matrices $A_{s \times p}$ and $B_{s \times q}$, we use $C_{s \times (p+q)} = [A \ B]$ to denote the matrix C satisfying

$$C(i, j) = \begin{cases} A(i, j), & 1 \leq j \leq p; \\ B(i, j - p), & p + 1 \leq j \leq p + q. \end{cases} \quad (1)$$

Informally speaking, C is a concatenation of A, B . We use $A^{\rightarrow k}$ to denote the matrix $[A \ A \ \dots \ A]$, which is a concatenation of k copies of matrix A . We also define the following matrices.

$$H_0 = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}, H_2 = \begin{pmatrix} 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 1 & 1 \end{pmatrix}, H_4 = \begin{pmatrix} 0 & 1 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 & 1 \\ 1 & 1 & 0 & 1 & 1 \\ 1 & 1 & 1 & 0 & 1 \\ 1 & 1 & 1 & 1 & 0 \end{pmatrix}$$

For a signature f of arity k , we use the support matrix $R_{s \times k}$ to describe its support. A string $\alpha \in \{0, 1\}^k$ is a support string of f if and only if α equals a row in $R_{s \times k}$. f_{40} has the support matrix $R_{5 \times 40} = [H_2^{\rightarrow 3} \ H_4^{\rightarrow 2}]$, f_{56} has the support matrix $R_{5 \times 56} = [H_0 \ H_2^{\rightarrow 4} \ H_4^{\rightarrow 3}]$ and both of them are 0-1 weighted. Though the supports of them are sparse, they are not able to be captured by a number of existing algorithms and hardness results.

3.5 Relations between results

The first two parts collectively establish complete dichotomies for #EO problems, incorporating both tractability and hardness results: the first for binary and quaternary signature sets, the second for pure-up/pure-down signature sets. The hardness results from the first part form the foundational basis for the hardness classification in complex-weighted #EO, while those from the second part are equally pivotal for establishing the full #EO dichotomy.

The third part focuses on defining the rebalancing property and presenting a polynomial-time algorithm for 0-rebalancing/1-rebalancing signatures with type requirements. Notably, this algorithm subsumes both previous algorithms through its innovative use of support requirements to emulate the EO^M property's effects. The case of f_{40} (defined in Section 3.4) exemplifies this generalization - although not a pure signature, its satisfaction of 0-rebalancing demonstrates our algorithm's strictly broader applicability compared to the pure signatures' algorithm.

We observe that although the results in [42] are restricted to 0-1 weighted #EO problems, they nevertheless capture essential support requirements for signatures. Specifically, the pure property inherently implies the δ -affine property, and their chain reaction algorithm implements what we term the active receiving-sending mechanism.

The complex-weighted #EO dichotomy in [39] adopts the notation $\forall k \uparrow$ for pure-up signatures and $\forall k \downarrow$ for pure-down signatures, where our Theorem 23 directly resolves "Case 3a" and "Case 4a". Notably, the signatures f_{40} and f_{56} introduced in our work, whose non-trivial nature is evident from our analysis, provide concrete examples for "Case 3c".

4 Generalization of the six-vertex model to a set of signatures

In this section, we prove Theorem 20. Firstly, we introduce some special notations used in this section.

We use $[0, (a, b, c), 0, 0]$ to denote a ternary signature f satisfying $\text{supp}(f) = \{001, 010, 100\}$ and

$$f(001) = a, f(010) = b, f(100) = c.$$

Similarly, $[0, 0, (a, b, c), 0]$ denotes a signature f satisfying $\text{supp}(f) = \{011, 101, 110\}$ and

$$f(011) = a, f(101) = b, f(110) = c.$$

We denote all signatures with the same size of support by adding a subscript to the original signature set. For example, $\mathcal{A}_1 = \{f \in \mathcal{A} \mid |\text{supp}(f)| = 1\}$. We can notice that since all signatures in \mathcal{P} and \mathcal{A} have affine supports, \mathcal{A}_3 and \mathcal{P}_3 are both empty.

In Section 4.1, we give characterizations of signatures in the tractable cases of Theorem 19. In Sections 4.2 and 4.3, we prove the tractability and hardness result in Theorem 20 respectively.

4.1 Characterizations of specific EO signatures

In this section, we build a detailed description of quaternary EO signatures in \mathcal{P} , \mathcal{A} , $\mathcal{M} \otimes \Delta_1$ and $\widetilde{\mathcal{M}} \otimes \Delta_0$. All signatures in \mathcal{A} and \mathcal{P} have affine support. By Lemma 17, all EO signatures with affine supports are in EO^M . For a signature f , we use $\text{Span}(f)$ to denote the affine span of $\text{supp}(f)$. We state a slightly stronger lemma in the following, which can be proved by the same approach in [16, Lemma 5.7] as well.

► **Lemma 27.** [16] *Suppose f is an EO signature with arity $2d$. If $\text{Span}(f)$ is a linear affine subspace of $\{0, 1\}^{2d}$, then f is in EO^M .*

Now we characterize the quaternary signatures in \mathcal{P} , \mathcal{A} , $\mathcal{M} \otimes \Delta_1$ and $\widetilde{\mathcal{M}} \otimes \Delta_0$.

► **Lemma 28.** *Suppose $f \in \mathcal{P}$ is a non-trivial EO signature of arity 4. Then one of the following statements holds up to variable reordering:*

- i. $f \in \mathcal{P}_1$, and $f = \lambda \Delta_0^{\otimes 2} \otimes \Delta_1^{\otimes 2}, \lambda \neq 0$.
- ii. $f \in \mathcal{P}_2$, and either (1) or (2) holds.
 - (1) $f = \Delta_0 \otimes \Delta_1 \otimes \neq_2^{a,b}, ab \neq 0$.
 - (2) $f = (\neq_4^{a,b}), ab \neq 0$.
- iii. $f \in \mathcal{P}_4$, and $f = (\neq_2^{a,b} \otimes \neq_2^{c,d}), abcd \neq 0$.

Proof. TOPROVE 0 ◀

► **Lemma 29.** *Suppose $f \in \mathcal{A}$ is a non-trivial EO signature of arity 4. Then one of the following statements holds up to variable reordering:*

- i. $f \in \mathcal{A}_1$, and $f = \lambda \Delta_0^{\otimes 2} \otimes \Delta_1^{\otimes 2}, \lambda \neq 0$.
- ii. $f \in \mathcal{A}_2$, and either (1) or (2) holds.
 - (1) $f = \Delta_0 \otimes \Delta_1 \otimes \neq_2^{a,b}, ab \neq 0, a/b \in \{\pm 1, \pm i\}$.
 - (2) $f = (\neq_4^{a,b}), ab \neq 0, a/b \in \{\pm 1, \pm i\}$.
- iii. $f \in \mathcal{A}_4$, and there exists distinct α, β such that $\text{supp}(f) = \{\alpha, \bar{\alpha}, \beta, \bar{\beta}\}$. Furthermore, if $x, y \in \text{supp}(f)$, then $f(x)/f(y) \in \{\pm 1, \pm i\}$. In addition, $f(\alpha)f(\bar{\alpha}) = \pm f(\beta)f(\bar{\beta})$.

Proof. TOPROVE 1 ◀

► **Lemma 30.** *Suppose $f \in \mathcal{M} \otimes \Delta_1$ is a non-trivial EO signature of arity 4. Then one of the following statements holds up to variable reordering:*

- i. $f \in \mathcal{M}_1 \otimes \Delta_1$, and $f = \lambda \Delta_0^{\otimes 2} \otimes \Delta_1^{\otimes 2}, \lambda \neq 0$.
- ii. $f \in \mathcal{M}_2 \otimes \Delta_1$, and $f = \Delta_0 \otimes \Delta_1 \otimes \neq_2^{a,b}, ab \neq 0$.
- iii. $f \in \mathcal{M}_3 \otimes \Delta_1$.

Signatures in $\widetilde{\mathcal{M}} \otimes \Delta_0$ can be classified similarly.

► **Remark 31.** It's noticeable that $\mathcal{A}_1 = \mathcal{P}_1$ and $\mathcal{A}_2 \subseteq \mathcal{P}_2$, while \mathcal{A}_4 and \mathcal{P}_4 do not contain each other. If $f \in \mathcal{A}_4 - \mathcal{P}_4$, then $f(\alpha)f(\bar{\alpha}) = -f(\beta)f(\bar{\beta})$, where the symbols are the same as those used in the proof of Lemma 29.

► **Remark 32.** It's easy to verify that $\mathcal{M}_1 \otimes \Delta_1 = \widetilde{\mathcal{M}}_1 \otimes \Delta_0$ and $\mathcal{M}_2 \otimes \Delta_1 = \widetilde{\mathcal{M}}_2 \otimes \Delta_0$. Besides, signatures in \mathcal{M}_3 and $\widetilde{\mathcal{M}}_3$ are irreducible, and consequently $\mathcal{M}_3 \otimes \Delta_1 \cap \widetilde{\mathcal{M}}_3 \otimes \Delta_0 = \emptyset$.

4.2 Proof of tractability

First we will give the proof of the tractability part in Theorem 20.

Proof. TOPROVE 2 ◀

4.3 Proof of hardness

Firstly, we introduce several no-mixing lemmas.

► **Lemma 33** (\mathcal{A}, \mathcal{P} no-mixing). Suppose f and g are both EO signatures with arity less than or equal to 4. Suppose $f \in \mathcal{A} - \mathcal{P}$ and $g \in \mathcal{P} - \mathcal{A}$, then $\text{Hोलant}(\neq_2 | \{f, g\})$ is #P-hard.

Proof. TOPROVE 3 ◀

► **Lemma 34** ($\mathcal{M} \otimes \Delta_1, \widetilde{\mathcal{M}} \otimes \Delta_0$ no-mixing). Suppose f and g are both quaternary EO signatures. Suppose $f \in \mathcal{M} \otimes \Delta_1 - \widetilde{\mathcal{M}} \otimes \Delta_0$ and $g \in \widetilde{\mathcal{M}} \otimes \Delta_0 - \mathcal{M} \otimes \Delta_1$, then $\text{Hोलant}(\neq_2 | \{f, g\})$ is #P-hard.

Proof. TOPROVE 4 ◀

► **Lemma 35** ($\mathcal{A}, \mathcal{M} \otimes \Delta_1 / \mathcal{A}, \widetilde{\mathcal{M}} \otimes \Delta_0$ no-mixing). Suppose f and g are both EO signatures with arity less than or equal to 4. Suppose $f \in \mathcal{A} - \mathcal{P}$ and $g \in \mathcal{M} \otimes \Delta_1 - \mathcal{M}_{\mathcal{A}} \otimes \Delta_1$, then $\text{Hोलant}(\neq_2 | \{f, g\})$ is #P-hard.

Similarly, if $f \in \mathcal{A} - \mathcal{P}$ and $g \in \widetilde{\mathcal{M}} \otimes \Delta_0 - \widetilde{\mathcal{M}}_{\mathcal{A}} \otimes \Delta_0$, then $\text{Hोलant}(\neq_2 | \{f, g\})$ is #P-hard.

Proof. TOPROVE 5 ◀

With the no-mixing lemmas, we give the complete proof of the hardness for Theorem 20.

Proof. TOPROVE 6 ◀

5 A dichotomy for pure EO signatures

In this section, we prove Theorem 23. Firstly, we present a crucial property of pure-up signatures.

► **Lemma 36.** Suppose the size of X is $2d$. If an affine subspace L of $\{0, 1\}^X$ is contained in HW^{\geq} , and $L \cap HW^>$ is not empty, then there exists $x \in X$, such that for all $\alpha \in L$, $\alpha_x = 1$.

Proof. TOPROVE 7 ◀

Pure-up signatures also have good closure property under specific operations, as stated in the lemma below.

► **Lemma 37.** Pure-up signatures are closed under the operation of adding a self-loop by the pinning signature $\neq_2^{0,1}$, which is also denoted as Δ .

Proof. TOPROVE 8 ◀

There are two cases of a pure-up signature f . The first case is that there exists a string in $\text{Span}(f)$ having strictly more 1's than 0's. By Lemma 36 we know that there is at least one variable of f fixed to 1. The second case is that all strings in $\text{Span}(f)$ are in HW^- . By Lemma 27 we know that f is EO^M .

Given this intuition, we introduce an polynomial time approach to transform $\#EO(\mathcal{F})$ to a #CSP problem, where \mathcal{F} contains only pure-up signatures. We use the solution space of a signature grid Ω , to denote the set of assignments on variables that give non-zero evaluations in the instance.

► **Lemma 38.** *Suppose \mathcal{F} is composed of pure-up signatures. Then for any instance $\Omega(G(U, V, E), \pi)$ of $\text{Holant}(\neq_2 | \mathcal{F})$, in polynomial time we can obtain another instance $\Omega'(G(U, V, E), \pi')$ of $\text{Holant}(\neq_2 | \mathcal{F}')$ such that $\text{Holant}_{\Omega'} = \text{Holant}_{\Omega}$, where $\mathcal{F}' = \{f|_{\text{EO}^P} \mid f \in \mathcal{F}, P \text{ is a pairing of } \text{Var}(f)\}$.*

Proof. TOPROVE 9 ◀

Along with Theorem 16 and Lemma 12, we prove the tractability part of Theorem 23.

Proof. TOPROVE 10 ◀

Next we prove the #P-hardness part of Theorem 23. Given an pure-up signature f , we use $\mathcal{G}(f)$ to denote the set of EO^M signatures that f may generate. If f is EO^M , then $\mathcal{G}(f) = \{f\}$. Otherwise, f has at least one variable fixed to be 1. We add a self-loop on this variable and another arbitrary variable by \neq_2 to get f' . If f' is EO^M , then $f' \in \mathcal{G}(f)$, otherwise we repeat this process on f' . $\mathcal{G}(f)$ is defined by this recursive process.

► **Lemma 39.** *Suppose \mathcal{F} is a finite set of signatures composed of pure-up signatures. If $\bigcup_{f \in \mathcal{F}} \mathcal{G}(f)$ is not a subset of \mathcal{A} or \mathcal{P} , then $\#EO(\mathcal{F})$ is #P-hard.*

Proof. TOPROVE 11 ◀

Proof. TOPROVE 12 ◀

6 Polynomial time algorithm for specific 0-rebalancing and 1-rebalancing signatures

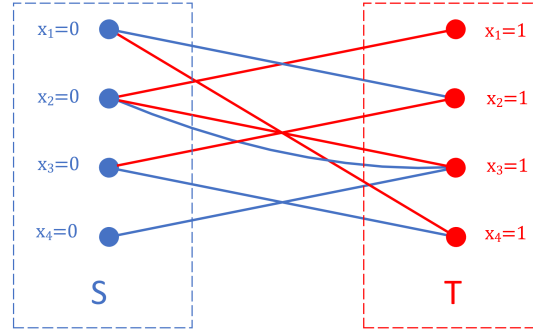
In this section, we prove Theorem 25. The mapping ψ in Definition 24 particularly denotes the correspondence in the first level of the recursive definition, and we call it the first level mapping of f . Because the arity $2d - 2$ signature $f^{x=0, \psi(x)=1}$ is also 0-rebalancing, we can apply the definition again to $f^{x=0, \psi(x)=1}$, and get the first level mapping of $f^{x=0, \psi(x)=1}$. From the perspective of f , this mapping is a second level mapping, and we denote it by $\psi_2^{x=0, \psi(x)=1}$. Since 0-rebalancing is defined in an adaptive recursive way, the second level mappings depend on which x is fixed to 0. This rebalancing process can continue until the arity comes to 0, which implies that f can always, sends out a 1 after receiving a 0.

We now prove that the set of 0-rebalancing signatures is closed under gadget construction.

► **Lemma 40.** *If f and g are 0-rebalancing, then $f \otimes g$ is also 0-rebalancing.*

Proof. TOPROVE 13 ◀

► **Lemma 41.** *Suppose f is a 0-rebalancing signature, g is obtained from f by adding an arbitrary self-loop by \neq_2 . Then g is also 0-rebalancing.*



■ **Figure 1** An example of G_X . Blue edges refer to directed edges in B , heading from S to T . Red edges refer to directed edges in R , heading from T to S .

Proof. TOPROVE 14 ◀

► **Lemma 42.** *Given any EO gadget composed of 0-rebalancing signatures, the signature of this gadget is also 0-rebalancing.*

Proof. TOPROVE 15 ◀

It is interesting that when we observe the local signature from a global perspective of the whole instance, it shows an opposite property which is denoted as outside-1-rebalancing.

► **Lemma 43 (outside-1-rebalancing).** *Suppose \mathcal{F} is composed of 0-rebalancing signatures, and there is an instance $G(U, V, E)$ of $\text{Holant}(\neq_2 | \mathcal{F})$. Fix an arbitrary vertex $s \in V$ assigned f_s , and let $E(s) = X = \{x_1, x_2, \dots, x_{2d}\}$. We delete the vertex s from G to get a gadget H whose dangling edges are associated to X . Then the signature f_H of H is 1-rebalancing, and we denote this property as the outside-1-rebalancing property of s on X deduced by the instance G .*

Proof. TOPROVE 16 ◀

We denote the signature of f_H in Lemma 43 as \hat{f}_s , or simply \hat{f} without causing ambiguity. We remark that for a given vertex s assigned f , both the 0-rebalancing property of f and the 1-rebalancing property of \hat{f} are associated with $E(s)$.

With the two property, we again introduce an polynomial time approach to transform $\#\text{EO}(\mathcal{F})$ to a $\#\text{CSP}$ problem, where \mathcal{F} contains only 0-rebalancing signatures.

► **Lemma 44.** *Suppose \mathcal{F} is composed of 0-rebalancing signatures. Then for any instance $\Omega(G(U, V, E), \pi)$ of $\text{Holant}(\neq_2 | \mathcal{F})$, in polynomial time we can obtain another instance $\Omega'(G(U, V, E), \pi')$ of $\text{Holant}(\neq_2 | \mathcal{F}')$ such that $\text{Holant}_{\Omega'} = \text{Holant}_{\Omega}$, where $\mathcal{F}' = \{f|_{\text{EO}^P} \mid f \in \mathcal{F}, P \text{ is a pairing of } \text{Var}(f)\}$.*

Proof. TOPROVE 17 ◀

Along with Theorem 16 and Lemma 12, we present the algorithm in Theorem 25.

Proof. TOPROVE 18 ◀

7 Conclusion

In this article, we prove two dichotomies for $\#EO(\mathcal{F})$: one with \mathcal{F} restricted to binary and quaternary signatures, and another with \mathcal{F} restricted to pure signatures. We also present an algorithm for rebalancing signature sets satisfying $EO^{\mathcal{A}}$ or $EO^{\mathcal{P}}$. A more detailed characterization of pure signatures and rebalancing signatures would be valuable, similar to what has been achieved for δ -affine kernels in [42]. Indeed, pure signatures clearly exhibit close connections to δ -affine signatures.

The pursuit of a complete dichotomy for $\#EO$ remains an important research direction. Notably, the signature f_{56} defined in Section 3.4 is neither pure nor rebalancing. The complexity of $\#EO(\{f_{56}\})$ remains unresolved and presents significant interest. While some progress has been made, substantial challenges persist in addressing this problem.

Recent work [39] has established an FP^{NP} versus $\#P$ dichotomy for complex-valued $\#EO$, which includes an interesting polynomial-time algorithm with a specific NP oracle for solving $\#EO(f_{56})$. This development has also prompted renewed investigation of Boolean constraint satisfaction problems [32]. Nevertheless, the polynomial-time computability of $\#EO(f_{56})$ remains an open question, and the most general known polynomial-time algorithm for $\#EO$ is still our rebalancing signature algorithm presented in this work.

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