

APT SECURITIES & FUNDS LTD

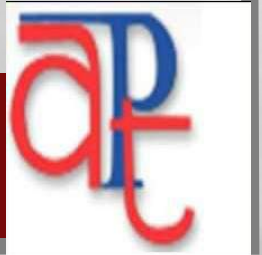
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OUTLINE OF CONTENTS

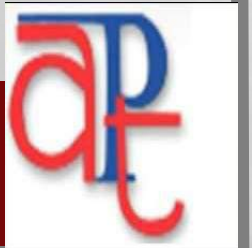
- **APT EVOLUTION**
- **BOARD OF DIRECTORS**
- **MANAGEMENT TEAM**
- **CORPORATE VISION**
- **CORPORATE MISSION**
- **OUR TRUST**
- **OUR SERVICES**
- **OUR CONTACTS**
- **THE FUTURE**



APT EVOLUTION

- **APT securities and funds limited is a wholly owned Nigerian company incorporated as a limited liability company on June 10, 1991. The current owners acquired the company in 2001 and commenced operations in February 2003. The company is licensed by the securities and exchange commission as an issuing house and also a dealing member of the Nigerian stock exchange. The company has 8 (eight) branches across the nation. The company is engaged in the business of financial advisory, securities trading, and investment management services.**
- **Our clientele base cuts across individuals, financial and corporate institutions. Our reputation rests on the display of the highest standard of professionalism and technology drives in providing innovative solution to our clientele needs coupled with diligent commitment to quality services. Our corporate culture and staff attitude to work guarantees easy access to information and effective response to clientele needs.**

Our shareholders fund is in excess of N2.4 billion over and above the minimum amount required by the securities & exchange commission (SEC).



BOARD OF DIRECTORS

Ado Yakubu Wanka - The Chairman

Ado Yakubu Wanka is an alumnus of Harvard business school, Boston, united states of America and institute of management development, Lausanne, Switzerland. He holds a B.Sc. (Second class upper) in chemical engineering from Ahmad Bello University, Zaria, and an MBA from the same University.

His work experience span over engineering to banking and investment. He started his career as a process engineer in Ashaka cement company plc. Between the periods, 1978-1985. He later joined the new Nigeria development company limited (1985 - 1988) where he served in various capacities of senior investment executive and head of divestiture department. He had a brief stint with Nigeria international bank limited as deputy manager and later moved to Nigerian national petroleum corporation (NNPC, 1988-1989) where he served in various capacities such as head of strategic planning section, among other post held. His outstanding management style further took him to FSB international bank plc (1991-1997) as group head, institutional banking from where he rose to executive director of various departments such as business development, operations and corporate strategic marketing.

From there he proceeded to then Gamji bank of Nigeria plc where he was the managing Director/CEO (1997-1998). A Y Wanka finally joined the services of First bank of Nigeria in 1998 till date where he held the position of executive director (corporate/investment banking) and also internal nominee director to subsidiaries such as first registrars, first trustees and Vnetworks (Vmobile).



BOARD OF DIRECTORS

- **Mohammed Kabir Musa - Director**

Mohammed Kabir Musa is a product of west Bromwich college of Eynesbury west of Midland, United kingdom, with a B.Sc. (Business administration). He also holds a post -graduate diploma and MBA from polytechnic of stock-on-trent, United kingdom, and Aston university, Birmingham respectively, including professional diploma in marketing and purchasing and supply from united kingdom. He is a fellow of chartered institute of bankers of Nigeria (FCIB).

- **He worked with ministry of health (principal health superintendent), high court of justice (assistant registrar) and ministry of commerce & industry, Kaduna (senior commercial officer). His span of experience also covered the banking industry where he rose to the position of deputy general manager before retiring to his private business. He is a member of the board of directors of many companies.**



BOARD OF DIRECTORS

Al-Mujtaba Abubakar Gumi - Director

Al- Mujtaba Abubakar was born in 1951, attended Leeds polytechnic in United kingdom. He is a fellow of the Association of Chartered Certified Accountants (ACCA). He is highly experienced and knowledgeable in the financial sector of the economy; He was one time an Executive Director in Afribank plc and later the Managing Director of Assurance bank. Alhaji Al-Mujtaba is presently the Managing partner of the northern region of Akintola Williams Deloitte & Co. (One of the leading audit firm in Nigeria). He is presently the Chairman of the board of APT Securities & Funds and Abdul son Nigeria Limited. Other companies of which he was a member of their board includes Afribank Merchant Bank, IBWA Finance Company, Afribank Insurance Brokers and Kaduna Textiles Limited. He later joined African Petroleum plc and moved to New Africa Merchant Bank Plc where he was deployed to Newdevco Finance Services Company Limited where he acquired diverse experience in both the money market and capital market. He left Newdevco to Head the Management team of APT Securities and Funds Limited as a Director.

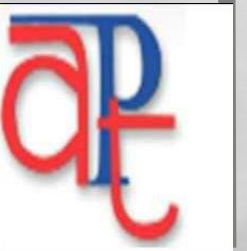


BOARD OF DIRECTORS

Jubril Muhammad Hassan - Director

He attended Lagos state university where had a Bachelor in history and international relations and Masters in international relations and strategic studies. He attended several seminars and courses both local and international in modern criminal investigation of organized crimes and human rights and effects of advance fee fraud to a developing nation. He is a member of Chartered institute of logistics and transport. He is highly experienced and knowledgeable in developing analytical competence for managing operations and achieving operational excellence.

He started his career with adjutant 2 provost battalion Nigeria Army Military Police Mokola, Ibadan, He then proceeded to United nations interim force in Lebanon (UNIFIL) as commanding military police unit, after that he worked as ADC to military governor Bauchi state. Also, He was nominated as Chairman presidential task force on financial crimes, worked as General Manager Security – Dangote group before he was promoted to General Manager logistics and distribution Dangote group.

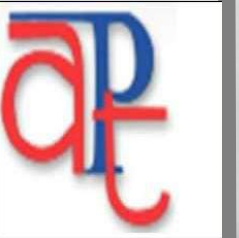


BOARD OF DIRECTORS

- **Mohammed Balarabe - Director**

He holds a B.Sc. (Accounting) and Masters Business Administration.

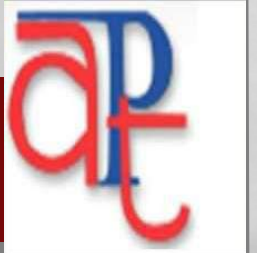
He started his career with New Africa Merchant Bank and later moved to Newdevco Finance and Services as Managing Director/Chief Executive Officer before He joined United Bank of Africa. Thereafter, He became ED Oceanic Bank and then proceeded to Fidelity Bank as Executive Director where he rose to Deputy Managing Director Fidelity and retired December 2019



BOARD OF DIRECTORS

Idris Mohammed Mahdi - Director

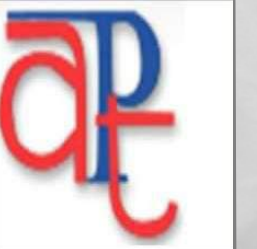
He attended University of West London, Brunel. He has a Master's Degree in Building Services Engineering. He is a Fellow of the Nigeria Institute of Building (FNIOB), Member, Institute of Corporate Executives of Nigeria (MICEN), Member, Council of Registered Builders of Nigeria (MCORBON) ,He is highly experienced and knowledgeable in building engineering; he was one time an Executive Director in Estate and Works, National Assembly, held Gombe State Investment and Properties Development and later the Honorable Commissioner of Water Resources, Gombe State Government.



BOARD OF DIRECTORS

Kasimu Garba Kurfi - Managing Director/Chief Executive Officer

Kasimu Garba Kurfi, holds a B.Sc. (Accounting), 2nd class upper, from Bayero University, Kano, in 1987, and M.Sc. (Accounting) from the University of Lagos. He is a council Member of the Nigerian Stock Exchange, an Associate Member of the Institute of Chartered Accountants of Nigeria (ACA) and Chartered Institute of Stockbrokers (ACS) respectively. He is also an authorized dealing clerk of the exchange. He worked with the Ministry of Finance and Deployed to the Ministry of Education in Katsina State as an Accountant, other charges.



MANAGEMENT TEAM

Kasimu Garba Kurfi - Managing Director / Chief Executive Officer

Kasimu holds a B.Sc. (Accounting), 2nd class upper, from Bayero University, Kano, in 1987, and M.Sc. (Accounting) from University of Lagos. He was a council Member of the Nigerian Stock Exchange, a fellow Member of the Institute of Chartered Accountants of Nigeria (FCA) and a fellow of Chartered Institute of Stockbrokers (FCS). He is also an authorized dealing clerk of the Nigerian Stock Exchange. His working experience spans over twenty years (20) covering the public sector, oil and gas, financial services / stockbroking industry.

He started his career as an Accountant with the Ministry of Finance, Katsina, from where he was deployed to the State Ministry of Education. Later he joined African Petroleum Plc as an Internal auditor and later moved to New Africa Merchant Bank Plc from where he was seconded to Newdevco Finance Services Company Limited. In the course of his career he was exposed to various aspects of management, accounting, the money market and capital market.

He left Newdevco to Head the Management team of APT Securities and Funds Limited as Managing Director/Chief Executive. He is also the chairman of MTI Plc and MIMAC Resources Limited and also a Director in Urban Development Bank of Nigeria Plc, APT Pension Funds Managers Limited, Clayberg Limited and APT Bureau de Change Limited, among other companies



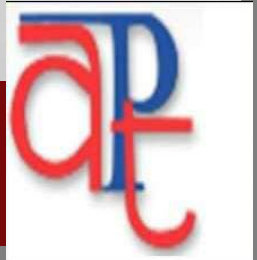
MANAGEMENT TEAM

Huda Kassim Head Finance and Accounts

Huda holds a Bsc Degree in Accountancy from University of Maiduguri and a Master in Business Administration (MBA) from University of Lagos. In addition, she is a Fellow Chartered Accountant (FCA) with the Institute of Chartered Accountants of Nigeria (ICAN).

With more than Fifteen (15) years working experience, she currently heads our Finance and Account department . Prior to this appointment she has worked as Chief Compliance Officer of APT Securities and Funds Limited , worked as the Acting Head of Internal Control & Compliance department of Leadcapital Plc. She has also worked at Maaji & Co (Chartered Accountants).

In the course of her career and training she was exposed to various aspects of Auditing, Accounting, Stock Broking, Risk Management, Tax Management and Compliance



MANAGEMENT TEAM

Uche Gift Egbu

Mr. Uche Gift holds an HND degree in Telecommunications Electrical Electronics Engineering from the Federal Polytechnic Nekede, Imo State and Computer Engineering Technology in Federal Polytechnic Nekede. He holds other certificates in various international technology institutes which include schools, java, html, c+, fortran cisco and regenesys.

Mr. Gift has over (6) years of work experience spans across stock broking, software and hardware building from scratch, consultancy and technology services with rigid strategic planning, website building/hosting business process management and automation, operations management, project management, and organizational restructuring. Prior to joining APT Securities and Funds Limited as Head, Information Technology and Communication, he was formerly ICT Analyst in Information Technology & Communication in Resort Securities and Trust Limited, where he later became the Head of Information Technology in the same firm.

Mr. Gift has singlehandedly built a 2D computer system, which brought an outstanding achievement in the history of computer engineering in the federal polytechnic nekede in imo state. A guru in the making who focuses on computer hardware, repair and installation, mobile repairs, electrical wirings, programming, website building, corel draw and software solutions to the least microscopic element in a computer system. However, he was once a computer teacher and was privileged to work as the computer lab attendant whilst schooling due to his outstanding performance in information technology.



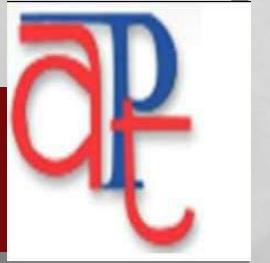
MANAGEMENT TEAM

Muhammad Jamiu Kayode - Head Capital Market

Jamiu holds MBA with specialization in Banking and Finance Management from Kwara State University, Malete. He an Associate Chartered Stockbroker, an authorized dealing clerk of the Nigerian Stock Exchange (NSE) and also a registered sponsored individual of Securities and Exchange Commission (SEC).

He is a finance and investment professional with hands-on experience in investment analysis, portfolio management, equity trading and structured finance. In his decade experience in capital market, he has displayed high sense of professionalism in successful handling of both primary and secondary market issues.

A renowned market/investment analyst who has distinguished himself on media, both print and electronic media. Jamiu has attended training courses by both local and international organizations.



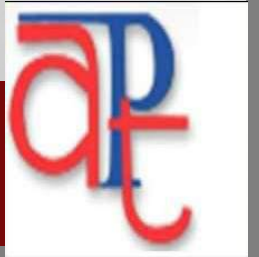
MANAGEMENT TEAM

Musa Ilyasu Isah - head Trading

Iliyasu holds a Masters in Business Administration (MBA) and Bachelors of science degree (honors) in Business Administration, both from Ahmadu Bello University, Zaria. He is an Associate Chartered Stockbroker, an authorized dealing clerk of the Nigerian Exchange Limited, a candidate member of the Chartered Financial Analyst (CFA) as well as a registered sponsored individual with the Securities and Exchange Commission (SEC).

Musa Iliyasu Isah has attended several courses, seminars and conferences on investment fund strategy, financial analysis for investment advice, portfolio management and personal effectiveness and performance enhancement, has over fourteen {14} years of work experience during which he handled some big-ticket transactions in strategic acquisition and portfolio management.

Prior to joining apt securities and funds ltd where he is currently the Chief dealer, Musa worked as a marketing officer with Oando Plc (Jos area sales office). He is a man of professionalism and goal getter, married and blessed with children.



MANAGEMENT TEAM

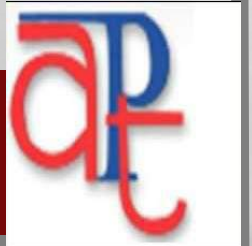
Labinjo Raluchukwu Celestina - Head Compliance

Raluchukwu has over fourteen (14) years working experience in capital market and currently heads the compliance unit of APT Securities and Funds Limited.

Prior to this appointment, she worked as Head internal audit/control and risk officer before this position she was account officer and front desk officer of apt securities and funds limited,

She holds a Bachelor of science degree (honors) in Accounting from Enugu State University of science and Technology, Enugu, Enugu state.

She has attended various seminar and trainings which includes training on customer care, auditing, accounting, risk management and compliance etc.

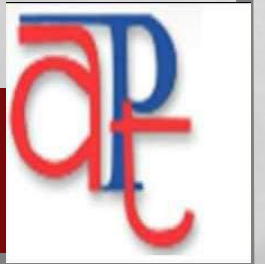


MANAGEMENT TEAM

Tina Udoh Frank - Head, Marketing/Portfolio Management

Tina an infectious communicator and strategist Heads our Marketing/Portfolio Management Department. Prior to joining APT Securities & Funds Limited, She served as Head of operations/marketing at Kinley Securities Ltd where she was responsible for driving the company's core values of excellent customer service.

She holds a B.A in English from the university of Calabar , Cross river state and an MBA from the same institution and has attended numerous workshops and seminars on equities and fixed income, planning and executing marketing and sales strategies, selling skills on financial products. She has extensive experience in capital market activities and special interest in portfolio management strategy.



MANAGEMENT TEAM

Oyelade Oluwashina Abdulfatai - Head, Risk, Human Resources & Admin

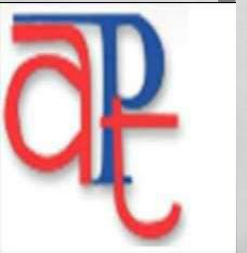
He holds HND Accounting from Federal polytechnic Offa, Offa, Kwara State. He is an Associate Member of the Certified Pension Institute of Nigeria (ACIPN). He has over fifteen (15) years of experience in the stock-broking industry.

Excellent reputation for resolving problems, improving customer satisfaction, and driving overall operational improvements.

Consistently saved costs while increasing profits. Multi-talented jobs assigned and consistently rewarded for success in planning and operational improvements.

Experience in policy development and staff management procedures, positively impacting overall morale and productivity.

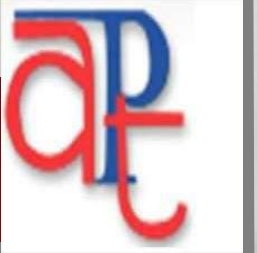
Understanding of office operations with good risk management skills. Skilled in high probability prospecting for high efficiency selling.



MANAGEMENT TEAM

Akinleye Oluwafemi Francis - Head Internal Audit

Oluwafemi holds a B.Sc (hons) degree in Management and Accounting from Obafemi Awolowo University, Ile-ife (OAU) with second class upper division (2.1). He is an Associate Chartered Accountant (ACA) of the Institute of Chartered Accountants of Nigeria (ICAN). He is very skilled in tax management, accounting and audit. Prior to his appointment he has worked for more than four (4) years as Head Account and Finance of Jaagee Nigeria limited. Oluwafemi a fast learner possesses analytical, problem solving and computer skills.



MANAGEMENT TEAM

Bala yahaya – Marketing & Business Development

He holds Higher National Diploma (upper credit) in Secretarial Administration (now Office Technology Management) from Kaduna polytechnic (2002). He also holds a post graduate diploma in international relations and diplomacy (upper credit) from same institution (2004). He obtained his master's degree in Public Administration (MPA) from Ahmadu Bello University Zaria (2015). He also attended several courses on Capital Market Operations, processes, trends, analysis and regulations, marketing and Business development, Corporate and Administrative Procedures.

He was in the services of the Personnel Administration Department of United Nigerian Textiles plc. Kaduna before joining the services of apt securities & funds limited as one of the pioneer staff of Abuja office in 2005. His experience cuts across various aspects of the business ranging from Marketing & Business Development, operations, relationship management, branch control and administration, fund management services, processing of listing of companies on the Nigerian Stock Exchange, General Customer Service, liaising with sec on regulatory matters, liaising with various registrars on operational issues, processing of primary market activities, supervising of assigned company subsidiaries, etc.

MANAGEMENT TEAM

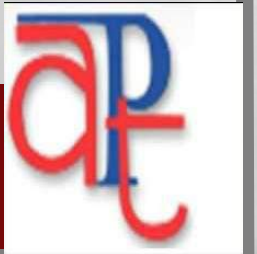
LUKMAN NUHU - HEAD, KANO BRANCH

Hold a Nigerian certificate in Education from Federal College of Education zaria , B.Sc Business Administration (with Specialization in Banking and Finance) from Ahmadu Bello University zaria and a Masters in Islamic banking and finance from Bayero University Kano. he is currently a student of Chartered Institute of Stockbrokers (cis). he stated his career in October 2008 with apt securities and funds ltd rising through the ranks. he has attended trainings in Compliance, Marketing and Risk management.

MANAGEMENT TEAM

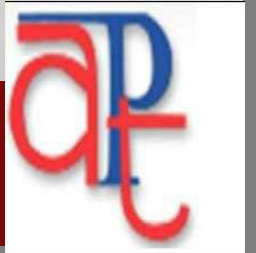
N. Anyimah & Associates - Company Secretary/Legal Adviser

N. Anyimah & Associates (NAA) is a corporate and commercial law firm located in the commercial city of Lagos, Nigeria, offering services that reflect the highest global standard of quality.



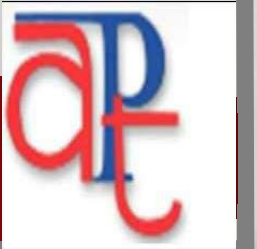
CORPORATE VISION

- To be among the leading stock-broking firms with high value added to all stakeholders.



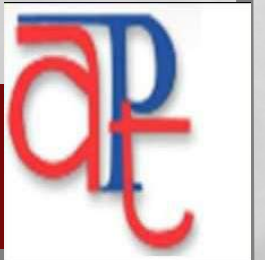
CORPORATE MISSION

- **Our mission is to provide our clients with excellent services through hard-work, innovative stock-broking services and total commitment towards satisfying client's needs, applying modern technology and a team of highly skilled professionals, combined with standard ethical practice in a stimulating and motivating work environment.**



OUR TRUST

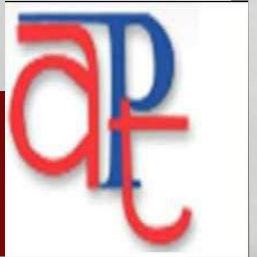
- **Our trust is strictly on customer confidentiality. We ensure that we will not reveal our customers strategy or trade against their interest and always work to help them get the best possible price and bargain.**



OUR SERVICES

➤ Brokerage service

- As a dealing member firm of the nigerian stock exchange, the company engages in the execution of mandates for clients with regards to the following securities:
- Ordinary shares
- Gilt-edge/ government development stocks
- Corporate debt
- Treasury bills and certificates
- Over the counter trading (OTC-NASD)
- Private equity



OUR SERVICES

- **Financial advisory services**
- **The company offers financial advisory services to corporate organizations in the following areas**
- **Capital issue/raising**
- **Broker to issue**
- **Capital restructuring**
- **Mergers and acquisitions**
- **Debt swaps/equity conversion**
- **Feasibility reports**
- **Dividend policy**
- **Collection of outstanding share certificates, bonuses and dividend**



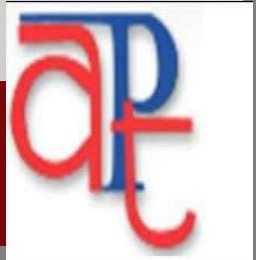
OUR SERVICES

➤ Nominee/custodian services

- The company serves as custodian to banks/and non-bank financial institutions that use their stock position as collateral for loans. The company takes necessary steps that protect the interest of both parties.
- The nominee service facilitates the management of a client's holding under a nominee name. This transaction must however conform to the ethics of corporate governance and transparency. A periodic report on the performance of the account will be communicated to the client.

➤ Share certificate verification

- The company offers clients the opportunity to dematerialize their share certificates in the CSCS system. The advantages include safety against theft, loss, fire, accident while facilitating easy transfer. Clients can also take advantage of share price appreciation as well as ease of use as collateral.



TRANSACTION HIGHLIGHTS

**BROKER TO ISSUE N307 BILLION
FOR SPECIAL PLACING**



**JOINT ISSUING HOUSE / BOOK-
RUNNER OF N1.7 TRILLION**



**BROKER TO ISSUE N24 BILLION
RIGHT ISSUE**



TRANSACTION HIGHLIGHTS

**LISTED OF BUA CEMENT PLC
WORTH N1.86 TRILLION.**



**LISTED OF BUA FOODS BY
INTRODUCTION OF BUA FOOD PLC
WORTH N700BN.**



**LISTING OF FAMILY HOME SUKUK
ISSUANCE N20BN.**



TRANSACTION HIGHLIGHTS

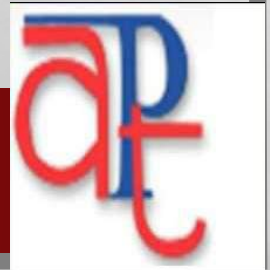
**CORE INVESTOR N4.5 BILLION
ORDINARY SHARES.**



**BROKER TO ISSUE OFFER FOR
SALE OF N53 BILLION.**



**BROKER TO ISSUE HYBRID OFFER
FOR SALE OF N10.6 BILLION OFFER
FOR SUBSCRIPTION**



TRANSACTION HIGHLIGHTS

**BROKER TO ISSUE OFFER FOR
SUBSCRIPTION OF N50.6 BILLION.**



**CORE INVESTOR N45 BILLION BPE
OFFER.**



**BROKER TO THE ISSUE N70
BILLION OFFER FOR**



TRANSACTION HIGHLIGHTS

**FUND MANAGER UNIVERSAL
SERVICE PROVISION FUND.**



**LISTED OF AFRICA INTRA PLUS
FUND WORTH N20BN AND LOTS
MORE.**

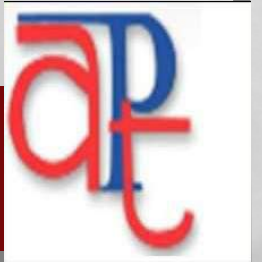


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