

Algorithms

Graphs, breadth- and depth-first search

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Python

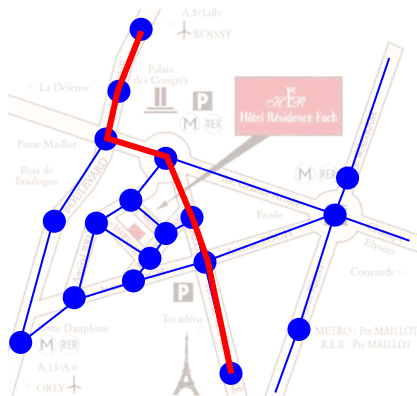
For the coding exercises given in the previous lecture, you should make use of [classes](#) in Python.

See the description and examples here:

<https://docs.python.org/3/tutorial/classes.html>



Graphs



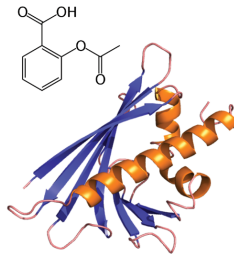
A **graph** $G = (V, E)$ is made of **nodes** V and **edges** E .

Graphs are used pervasively in data sciences.

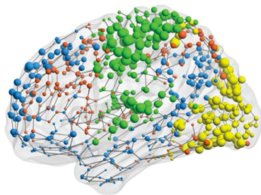
Graphs



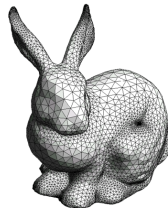
Social networks



Molecules

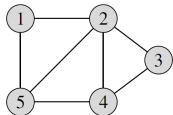


Functional networks



3D shapes

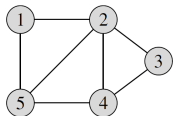
Representation



(a)

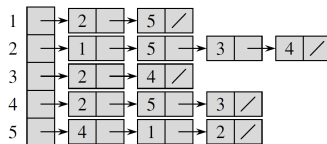
undirected graph

Representation



(a)

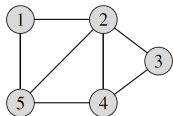
undirected graph



(b)

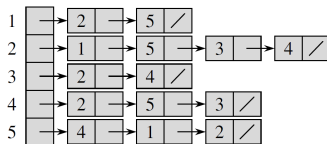
adjacency list

Representation



(a)

undirected graph



(b)

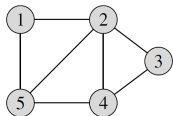
adjacency list

	1	2	3	4	5
1	0	1	0	0	1
2	1	0	1	1	1
3	0	1	0	1	0
4	0	1	1	0	1
5	1	1	0	1	0

(c)

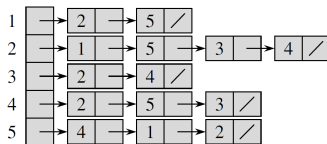
adjacency matrix

Representation



(a)

undirected graph



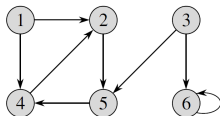
(b)

adjacency list

	1	2	3	4	5
1	0	1	0	0	1
2	1	0	1	1	1
3	0	1	0	1	0
4	0	1	1	0	1
5	1	1	0	1	0

(c)

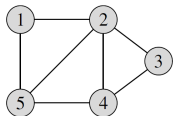
adjacency matrix



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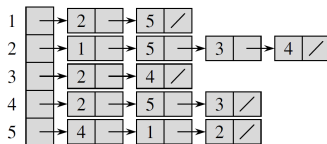
directed graph

Representation



(a)

undirected graph



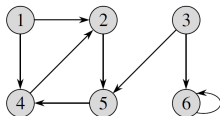
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5	1	1	0	1	0

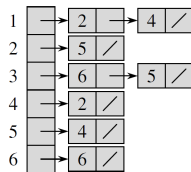
(c)

adjacency matrix



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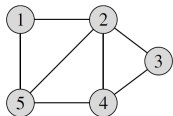
directed graph



(b)

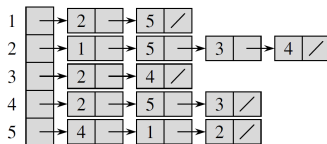
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Representation



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undirected graph



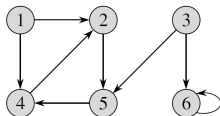
(b)

adjacency list

	1	2	3	4	5
1	0	1	0	0	1
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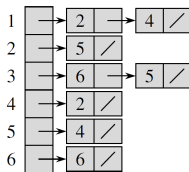
(c)

adjacency matrix



(a)

directed graph



(b)

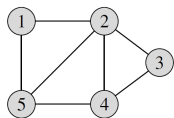
adjacency list

	1	2	3	4	5	6
1	0	1	0	1	0	0
2	0	0	0	0	1	0
3	0	0	0	0	1	1
4	0	1	0	0	0	0
5	0	0	0	1	0	0
6	0	0	0	0	0	1

(c)

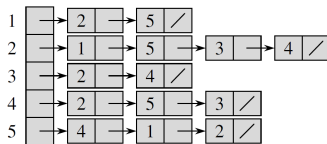
adjacency matrix

Representation efficiency



(a)

undirected graph



(b)

adjacency list

memory: $\Theta(|V| + |E|)$

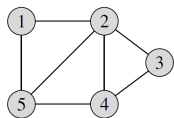
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(c)

adjacency matrix

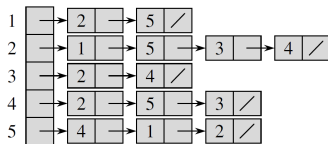
memory: $\Theta(|V|^2)$

Representation efficiency



(a)

undirected graph



(b)

adjacency list

memory: $\Theta(|V| + |E|)$

	1	2	3	4	5
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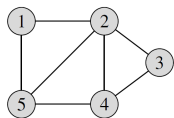
adjacency matrix

memory: $\Theta(|V|^2)$

For **undirected** graphs, the adjacency matrix is **symmetric**.

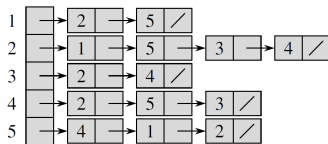
⇒ requires half of the memory.

Representation efficiency



(a)

undirected graph



(b)

adjacency list

memory: $\Theta(|V| + |E|)$

	1	2	3	4	5
1	0	1	0	0	1
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3	0	1	0	1	0
4	0	1	1	0	1
5	1	1	0	1	0

(c)

adjacency matrix

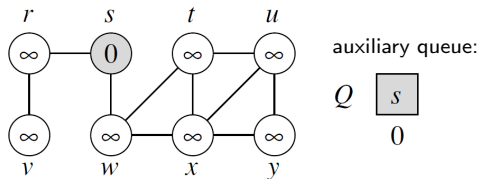
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For **undirected** graphs, the adjacency matrix is **symmetric**.

⇒ requires half of the memory.

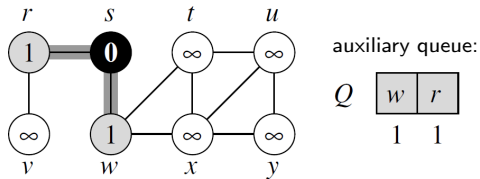
With adjacency matrices, most algorithms are lower bounded as $\Omega(|V|^2)$.

Breadth-first search (BFS)



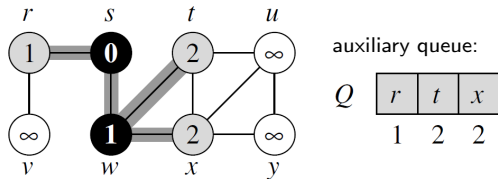
We start from a **source** vertex s , and discover all the reachable vertices.

Breadth-first search (BFS)



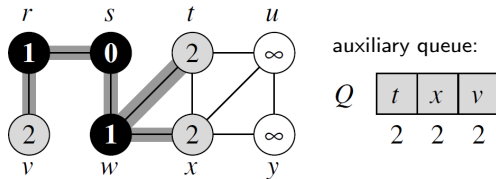
We start from a **source** vertex s , and discover all the reachable vertices. Each discovered vertex has its **distance** to s (# edges) computed.

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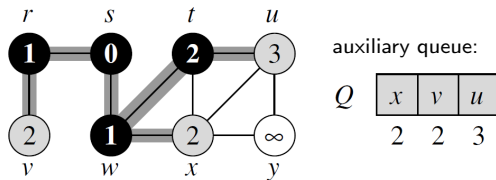
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Breadth-first: nodes at distance k explored before those at distance $k + 1$.

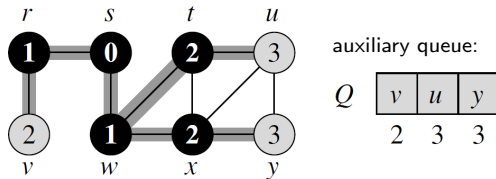
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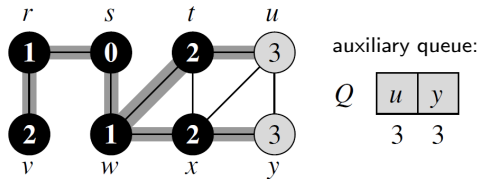
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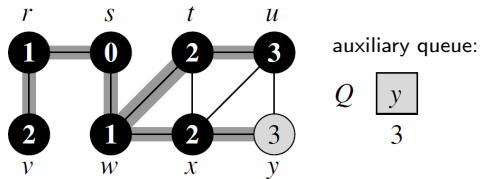
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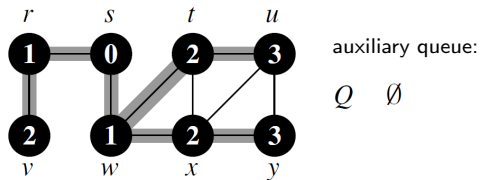
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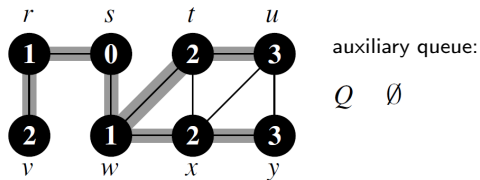


We start from a **source** vertex s , and discover all the reachable vertices. Each discovered vertex has its **distance** to s (# edges) computed.

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A **breadth-first tree** is obtained as a side-product (**shaded edges**).

Breadth-first search (BFS)



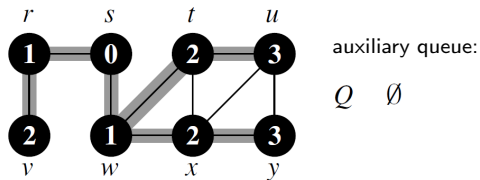
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A **breadth-first tree** is obtained as a side-product (**shaded edges**).

- The tree changes if we change the source s (which is the root).

Breadth-first search (BFS)



We start from a **source** vertex s , and discover all the reachable vertices.
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A **breadth-first tree** is obtained as a side-product (**shaded edges**).

- The tree changes if we change the source s (which is the root).
- The tree changes if we explore vertex x before vertex t .

Breadth-first search (BFS)

$\text{BFS}(G, s)$

```
1  for each vertex  $u \in V[G] - \{s\}$   
2      do  $\text{color}[u] \leftarrow \text{WHITE}$   
3       $d[u] \leftarrow \infty$   
4       $\pi[u] \leftarrow \text{NIL}$ 
```

- * initialize all distances $d = \infty$
- * the parent π of every vertex is NIL; parents constitute the **tree**
- * WHITE vertices are undiscovered

Breadth-first search (BFS)

BFS(G, s)

```
1  for each vertex  $u \in V[G] - \{s\}$ 
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5   $color[s] \leftarrow \text{GRAY}$ 
6   $d[s] \leftarrow 0$ 
7   $\pi[s] \leftarrow \text{NIL}$ 
8   $Q \leftarrow \emptyset$ 
9  ENQUEUE( $Q, s$ )
```

* GRAY vertices are discovered, but not yet explored

Breadth-first search (BFS)

BFS(G, s)

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8   $Q \leftarrow \emptyset$ 
9  ENQUEUE( $Q, s$ )
10 while  $Q \neq \emptyset$ 
11     do  $u \leftarrow \text{DEQUEUE}(Q)$ 
12         for each  $v \in Adj[u]$ 
13             do if  $color[v] = \text{WHITE}$ 
14                 then  $color[v] \leftarrow \text{GRAY}$ 
15                      $d[v] \leftarrow d[u] + 1$ 
16                      $\pi[v] \leftarrow u$ 
17                     ENQUEUE( $Q, v$ )
18      $color[u] \leftarrow \text{BLACK}$ 
```

Breadth-first search (BFS)

BFS(G, s)

$\Theta(V)$	1	for each vertex $u \in V[G] - \{s\}$
	2	do $color[u] \leftarrow \text{WHITE}$
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	4	$\pi[u] \leftarrow \text{NIL}$
$\Theta(1)$	5	$color[s] \leftarrow \text{GRAY}$
	6	$d[s] \leftarrow 0$
	7	$\pi[s] \leftarrow \text{NIL}$
	8	$Q \leftarrow \emptyset$
	9	ENQUEUE(Q, s)
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Breadth-first search (BFS)

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Breadth-first search (BFS)

BFS(G, s)

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$O(V) \rightarrow$	10	while $Q \neq \emptyset$
	11	do $u \leftarrow \text{DEQUEUE}(Q)$
$O(E) \rightarrow$	12	for each $v \in \text{Adj}[u]$
	13	do if $color[v] = \text{WHITE}$
	14	then $color[v] \leftarrow \text{GRAY}$
	15	$d[v] \leftarrow d[u] + 1$
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Breadth-first search (BFS)

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```

$O(|V| + |E|)$

Exploring a path recursively

Assume a breadth-first tree has already been constructed.

PRINT-PATH(G, s, v)

```
1  if  $v = s$ 
2      then print  $s$ 
3      else if  $\pi[v] = \text{NIL}$ 
4          then print “no path from”  $s$  “to”  $v$  “exists”
5          else PRINT-PATH( $G, s, \pi[v]$ )
6          print  $v$ 
```


Exploring a path recursively

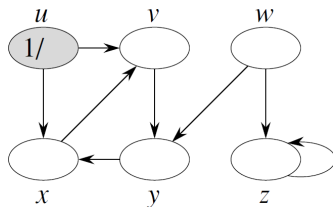
Assume a breadth-first tree has already been constructed.

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6          print  $v$ 
```

Despite this being **recursive**, the total cost is $O(|V|)$.

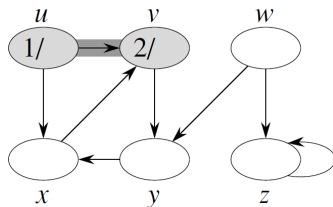
Line (5) is called on a path that is one edge shorter each time.

Depth-first search (DFS)



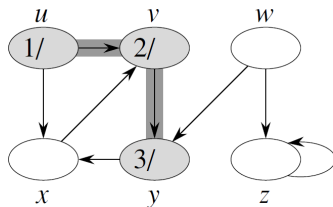
Inside each vertex, we write **discovery** time / **finishing** time.

Depth-first search (DFS)



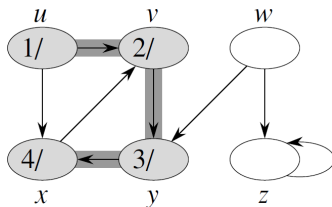
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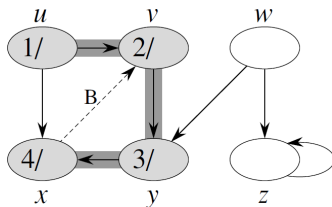
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Depth-first search (DFS)



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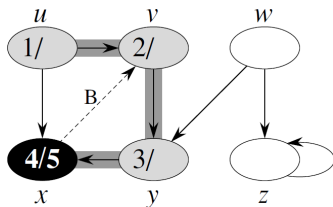
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Inside each vertex, we write **discovery** time / **finishing** time.

Back edge to an already discovered vertex.

Depth-first search (DFS)

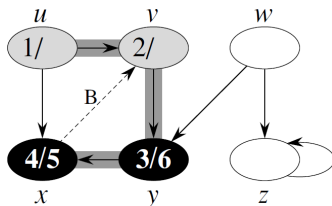


Inside each vertex, we write **discovery** time / **finishing** time.

Back edge to an already discovered vertex.

Backtrack to the next available move.

Depth-first search (DFS)

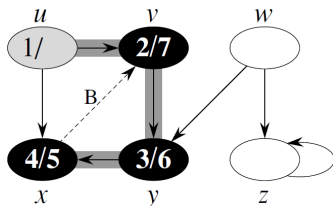


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Backtrack to the next available move.

Depth-first search (DFS)

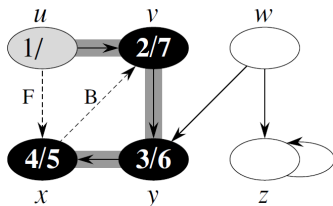


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Depth-first search (DFS)



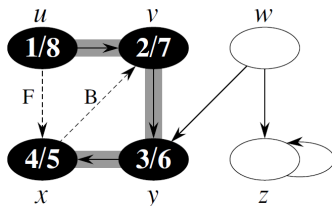
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Depth-first search (DFS)



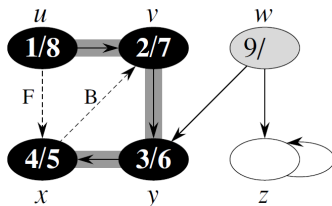
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Inside each vertex, we write **discovery** time / **finishing** time.

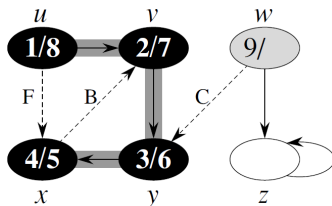
Back edge to an already discovered vertex.

Backtrack to the next available move.

Forward edge to an already discovered vertex.

Select a **n**ew source.

Depth-first search (DFS)



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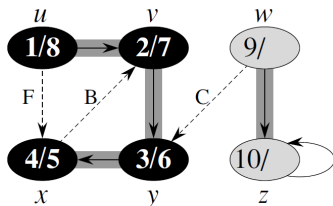
Backtrack to the next available move.

Forward edge to an already discovered vertex.

Select a **new source**.

Cross edge across two separate trees.

Depth-first search (DFS)



Inside each vertex, we write **discovery** time / **finishing** time.

Back edge to an already discovered vertex.

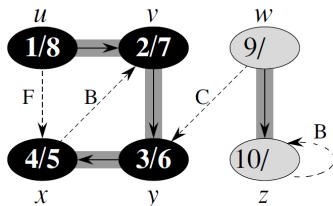
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Cross edge across two separate trees.

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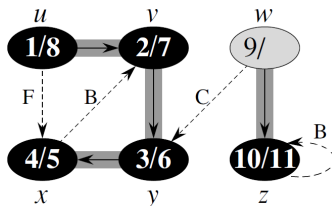
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Select a **new source**.

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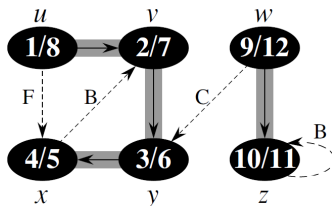
Backtrack to the next available move.

Forward edge to an already discovered vertex.

Select a **new source**.

Cross edge across two separate trees.

Depth-first search (DFS)



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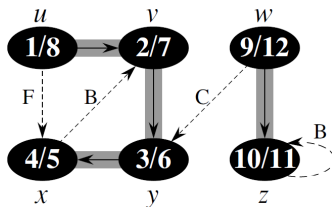
Backtrack to the next available move.

Forward edge to an already discovered vertex.

Select a **new source**.

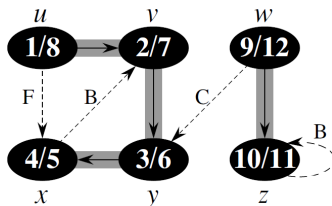
Cross edge across two separate trees.

Depth-first search (DFS)



Unlike BFS, we might have many disjoint trees (**shaded edges**), thus obtaining a **depth-first forest**.

Depth-first search (DFS)



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Like BFS, the specific forest changes if the order of exploration changes.

Depth-first search (DFS)

DFS(G)

```
1  for each vertex  $u \in V[G]$ 
2      do  $color[u] \leftarrow \text{WHITE}$ 
3       $\pi[u] \leftarrow \text{NIL}$ 
4   $time \leftarrow 0$ 
5  for each vertex  $u \in V[G]$ 
6      do if  $color[u] = \text{WHITE}$ 
7          then DFS-VISIT( $u$ )
```

Depth-first search (DFS)

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Depth-first search (DFS)

DFS(G)

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```

DFS-VISIT(u)

```
1   $color[u] \leftarrow \text{GRAY}$      $\triangleright$  White vertex  $u$  has just been discovered.
2   $time \leftarrow time + 1$ 
3   $d[u] \leftarrow time$ 
4  for each  $v \in Adj[u]$      $\triangleright$  Explore edge  $(u, v)$ .
5      do if  $color[v] = \text{WHITE}$ 
6          then  $\pi[v] \leftarrow u$ 
7              DFS-VISIT( $v$ )
8   $color[u] \leftarrow \text{BLACK}$      $\triangleright$  Blacken  $u$ ; it is finished.
9   $f[u] \leftarrow time \leftarrow time + 1$ 
```

Depth-first search (DFS)

DFS(G)

$\Theta(|V|)$ $\left\| \begin{array}{ll} 1 & \textbf{for each vertex } u \in V[G] \\ 2 & \quad \textbf{do } color[u] \leftarrow \text{WHITE} \\ 3 & \quad \pi[u] \leftarrow \text{NIL} \\ 4 & \textit{time} \leftarrow 0 \\ 5 & \textbf{for each vertex } u \in V[G] \\ 6 & \quad \textbf{do if } color[u] = \text{WHITE} \\ 7 & \quad \quad \textbf{then DFS-VISIT}(u) \end{array} \right.$ (u will be the root of a **new tree** in the forest)

DFS-VISIT(u)

$\begin{array}{ll} 1 & color[u] \leftarrow \text{GRAY} \quad \triangleright \text{White vertex } u \text{ has just been discovered.} \\ 2 & \textit{time} \leftarrow \textit{time} + 1 \\ 3 & d[u] \leftarrow \textit{time} \\ 4 & \textbf{for each } v \in Adj[u] \quad \triangleright \text{Explore edge } (u, v). \\ 5 & \quad \textbf{do if } color[v] = \text{WHITE} \\ 6 & \quad \quad \textbf{then } \pi[v] \leftarrow u \\ 7 & \quad \quad \text{DFS-VISIT}(v) \\ 8 & color[u] \leftarrow \text{BLACK} \quad \triangleright \text{Blacken } u; \text{ it is finished.} \\ 9 & f[u] \leftarrow \textit{time} \leftarrow \textit{time} + 1 \end{array}$

Depth-first search (DFS)

DFS(G)

$\Theta(|V|)$ $\left\| \begin{array}{ll} 1 & \textbf{for each vertex } u \in V[G] \\ 2 & \quad \textbf{do } color[u] \leftarrow \text{WHITE} \\ 3 & \quad \pi[u] \leftarrow \text{NIL} \\ 4 & \quad time \leftarrow 0 \\ 5 & \textbf{for each vertex } u \in V[G] \\ 6 & \quad \textbf{do if } color[u] = \text{WHITE} \\ 7 & \quad \quad \textbf{then DFS-VISIT}(u) \end{array} \right.$ (u will be the root of a **new tree** in the forest)

DFS-VISIT(u)

$\Theta(|E|)$ $\left\| \begin{array}{ll} 1 & color[u] \leftarrow \text{GRAY} \quad \triangleright \text{White vertex } u \text{ has just been discovered.} \\ 2 & time \leftarrow time + 1 \\ 3 & d[u] \leftarrow time \\ 4 & \textbf{for each } v \in Adj[u] \quad \triangleright \text{Explore edge } (u, v). \\ 5 & \quad \textbf{do if } color[v] = \text{WHITE} \\ 6 & \quad \quad \textbf{then } \pi[v] \leftarrow u \\ 7 & \quad \quad \text{DFS-VISIT}(v) \\ 8 & color[u] \leftarrow \text{BLACK} \quad \triangleright \text{Blacken } u; \text{ it is finished.} \\ 9 & f[u] \leftarrow time \leftarrow time + 1 \end{array} \right.$

Suggested reading

Chapters 22.1, 22.2 (skip the “Shortest Paths” paragraph), and 22.3 (skip the “Properties of depth-first search” paragraph) of:

“Introduction to Algorithms – 2nd Ed.”, Cormen et al.