

# Algorithms

## Growth of functions

Emanuele Rodolà

[rodola@di.uniroma1.it](mailto:rodola@di.uniroma1.it)



SAPIENZA  
UNIVERSITÀ DI ROMA

## $\Theta$ -notation

Given a function  $g(n)$ , define the **set of functions**:

$$\Theta(g(n)) = \{f(n) : 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}$$

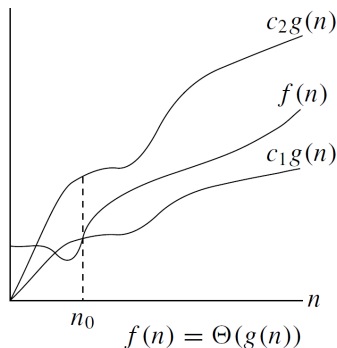
where  $c_1, c_2, n_0$  are positive constants.

# $\Theta$ -notation

Given a function  $g(n)$ , define the **set of functions**:

$$\Theta(g(n)) = \{f(n) : 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}$$

where  $c_1, c_2, n_0$  are positive constants.



## $\Theta$ -notation: Example

**Intuition:** Ignore the **lower-order** terms and the **coefficient** for the higher-order term.

## $\Theta$ -notation: Example

**Intuition:** Ignore the **lower-order** terms and the **coefficient** for the higher-order term.

**Example:**

$$\frac{1}{2}n^2 - 3n \in \Theta(n^2)$$

## $\Theta$ -notation: Example

**Intuition:** Ignore the **lower-order** terms and the **coefficient** for the higher-order term.

**Example:**

$$\frac{1}{2}n^2 - 3n \in \Theta(n^2)$$

If this is true, then we can find positive constants  $c_1, c_2, n_0$  such that:

$$c_1 n^2 \leq \frac{1}{2}n^2 - 3n \leq c_2 n^2 \quad \text{for all } n \geq n_0$$

## $\Theta$ -notation: Example

**Intuition:** Ignore the **lower-order** terms and the **coefficient** for the higher-order term.

**Example:**

$$\frac{1}{2}n^2 - 3n \in \Theta(n^2)$$

If this is true, then we can find positive constants  $c_1, c_2, n_0$  such that:

$$c_1 \leq \frac{1}{2} - \frac{3}{n} \leq c_2 \quad \text{for all } n \geq n_0$$

## $\Theta$ -notation: Example

**Intuition:** Ignore the **lower-order** terms and the **coefficient** for the higher-order term.

**Example:**

$$\frac{1}{2}n^2 - 3n \in \Theta(n^2)$$

If this is true, then we can find positive constants  $c_1, c_2, n_0$  such that:

$$c_1 \leq \frac{1}{2} - \frac{3}{n} \leq c_2 \quad \text{for all } n \geq n_0$$

This holds, for instance, with  $c_1 = \frac{1}{14}, c_2 = \frac{1}{2}, n_0 = 7$ .



## $\Theta$ -notation: Example

**Intuition:** Ignore the **lower-order** terms and the **coefficient** for the higher-order term.

**Example:**

$$\frac{1}{2}n^2 - 3n \in \Theta(n^2)$$

If this is true, then we can find positive constants  $c_1, c_2, n_0$  such that:

$$c_1 \leq \frac{1}{2} - \frac{3}{n} \leq c_2 \quad \text{for all } n \geq n_0$$

This holds, for instance, with  $c_1 = \frac{1}{14}, c_2 = \frac{1}{2}, n_0 = 7$ .

Does it meet the intuition?

- A different coefficient in  $\frac{1}{2}n^2$  would just change  $c_1, c_2$ .

## $\Theta$ -notation: Example

**Intuition:** Ignore the **lower-order** terms and the **coefficient** for the higher-order term.

**Example:**

$$\frac{1}{2}n^2 - 3n \in \Theta(n^2)$$

If this is true, then we can find positive constants  $c_1, c_2, n_0$  such that:

$$c_1 \leq \frac{1}{2} - \frac{3}{n} \leq c_2 \quad \text{for all } n \geq n_0$$

This holds, for instance, with  $c_1 = \frac{1}{14}, c_2 = \frac{1}{2}, n_0 = 7$ .

Does it meet the intuition?

- A different coefficient in  $\frac{1}{2}n^2$  would just change  $c_1, c_2$ .
- Just the presence of  $\frac{1}{2}$  allows us to find  $c_1, c_2$ , so we can always **dominate** the linear term.

## $\Theta$ -notation: Example

**Intuition:** Ignore the lower-order terms and the coefficient for the higher-order term.

**Example:**

$$an^2 + bn + c \in \Theta(n^2) \quad \text{with } a > 0$$

## $\Theta$ -notation: Example

**Intuition:** Ignore the **lower-order** terms and the **coefficient** for the higher-order term.

**Example:**

$$an^2 + bn + c \in \Theta(n^2) \quad \text{with } a > 0$$

Or any polynomial with degree  $d$ :

$$\sum_{i=0}^d a_i n^i \in \Theta(n^d) \quad \text{with } a_d > 0$$

## $\Theta$ -notation: Example

**Intuition:** Ignore the **lower-order** terms and the **coefficient** for the higher-order term.

**Example:**

$$an^2 + bn + c \in \Theta(n^2) \quad \text{with } a > 0$$

Or any polynomial with degree  $d$ :

$$\sum_{i=0}^d a_i n^i \in \Theta(n^d) \quad \text{with } a_d > 0$$

Which includes constant functions:

$$an^0 \in \Theta(n^0) \quad \text{with } a > 0$$

## $\Theta$ -notation: Example

**Intuition:** Ignore the **lower-order** terms and the **coefficient** for the higher-order term.

**Example:**

$$an^2 + bn + c \in \Theta(n^2) \quad \text{with } a > 0$$

Or any polynomial with degree  $d$ :

$$\sum_{i=0}^d a_i n^i \in \Theta(n^d) \quad \text{with } a_d > 0$$

Which includes constant functions:

$$a \in \Theta(1) \quad \text{with } a > 0$$

# $O$ -notation

Given a function  $g(n)$ , define the **set of functions**:

$$O(g(n)) = \{f(n) : 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}$$

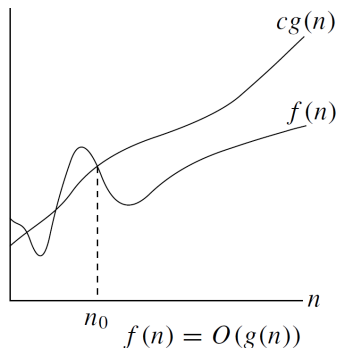
where  $c, n_0$  are positive constants.

# $O$ -notation

Given a function  $g(n)$ , define the **set of functions**:

$$O(g(n)) = \{f(n) : 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}$$

where  $c, n_0$  are positive constants.





## $O$ -notation: Example

**Intuition:** Start from the observation that:

$$f(n) \in \Theta(g(n)) \text{ implies } f(n) \in O(g(n))$$

Thus, lower-order terms are also allowed now.

## $O$ -notation: Example

**Intuition:** Start from the observation that:

$$f(n) \in \Theta(g(n)) \text{ implies } f(n) \in O(g(n))$$

Thus, lower-order terms are also allowed now.

**Example:**

$$6n + 3 \in O(n^2)$$

## $O$ -notation: Example

**Intuition:** Start from the observation that:

$$f(n) \in \Theta(g(n)) \text{ implies } f(n) \in O(g(n))$$

Thus, **lower-order** terms are also allowed now.

**Example:**

$$6n + 3 \in O(n^2)$$

If this is true, then we can find positive constants  $c, n_0$  such that:

$$6n + 3 \leq cn^2 \quad \text{for all } n \geq n_0$$

## $O$ -notation: Example

**Intuition:** Start from the observation that:

$$f(n) \in \Theta(g(n)) \text{ implies } f(n) \in O(g(n))$$

Thus, **lower-order** terms are also allowed now.

**Example:**

$$6n + 3 \in O(n^2)$$

If this is true, then we can find positive constants  $c, n_0$  such that:

$$6n + 3 \leq cn^2 \quad \text{for all } n \geq n_0$$

This holds, for instance, with  $c = 6 + 3 = 9$  and  $n_0 = 1$ .

## $O$ -notation: Example

**Intuition:** Start from the observation that:

$$f(n) \in \Theta(g(n)) \text{ implies } f(n) \in O(g(n))$$

Thus, **lower-order** terms are also allowed now.

**Example:**

$$6n + 3 \in O(n^2)$$

If this is true, then we can find positive constants  $c, n_0$  such that:

$$6n + 3 \leq cn^2 \quad \text{for all } n \geq n_0$$

This holds, for instance, with  $c = 6 + 3 = 9$  and  $n_0 = 1$ .

- Useful to write (asymptotic) **upper bounds**.

## $O$ -notation: Example

**Intuition:** Start from the observation that:

$$f(n) \in \Theta(g(n)) \text{ implies } f(n) \in O(g(n))$$

Thus, **lower-order** terms are also allowed now.

**Example:**

$$6n + 3 \in O(n^2)$$

If this is true, then we can find positive constants  $c, n_0$  such that:

$$6n + 3 \leq cn^2 \quad \text{for all } n \geq n_0$$

This holds, for instance, with  $c = 6 + 3 = 9$  and  $n_0 = 1$ .

- Useful to write (asymptotic) **upper bounds**.
- Includes the **worst case** as well as performance for **any input**.

# $\Omega$ -notation

Given a function  $g(n)$ , define the **set of functions**:

$$\Omega(g(n)) = \{f(n) : 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\}$$

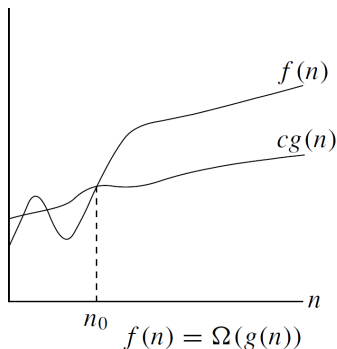
where  $c, n_0$  are positive constants.

# $\Omega$ -notation

Given a function  $g(n)$ , define the **set of functions**:

$$\Omega(g(n)) = \{f(n) : 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\}$$

where  $c, n_0$  are positive constants.





## $\Omega$ -notation: Example

**Intuition:** Again, start from the observation that:

$$f(n) \in \Theta(g(n)) \text{ implies } f(n) \in \Omega(g(n))$$

Thus, **higher-order** terms are allowed now.

## $\Omega$ -notation: Example

**Intuition:** Again, start from the observation that:

$$f(n) \in \Theta(g(n)) \text{ implies } f(n) \in \Omega(g(n))$$

Thus, **higher-order** terms are allowed now.

**Example:**

$$7n^2 - 2n + 14 \in \Omega(n)$$

## $\Omega$ -notation: Example

**Intuition:** Again, start from the observation that:

$$f(n) \in \Theta(g(n)) \text{ implies } f(n) \in \Omega(g(n))$$

Thus, **higher-order** terms are allowed now.

**Example:**

$$7n^2 - 2n + 14 \in \Omega(n)$$

If this is true, then we can find positive constants  $c, n_0$  such that:

$$7n^2 - 2n + 14 \geq cn \quad \text{for all } n \geq n_0$$

## $\Omega$ -notation: Example

**Intuition:** Again, start from the observation that:

$$f(n) \in \Theta(g(n)) \text{ implies } f(n) \in \Omega(g(n))$$

Thus, **higher-order** terms are allowed now.

**Example:**

$$7n^2 - 2n + 14 \in \Omega(n)$$

If this is true, then we can find positive constants  $c, n_0$  such that:

$$7n^2 - 2n + 14 \geq cn \quad \text{for all } n \geq n_0$$

This holds, for instance, with  $c = 1$  and  $n_0 = 1$ .

## $\Omega$ -notation: Example

**Intuition:** Again, start from the observation that:

$$f(n) \in \Theta(g(n)) \text{ implies } f(n) \in \Omega(g(n))$$

Thus, **higher-order** terms are allowed now.

**Example:**

$$7n^2 - 2n + 14 \in \Omega(n)$$

If this is true, then we can find positive constants  $c, n_0$  such that:

$$7n^2 - 2n + 14 \geq cn \quad \text{for all } n \geq n_0$$

This holds, for instance, with  $c = 1$  and  $n_0 = 1$ .

- Useful to write (asymptotic) **lower bounds**.

## $\Omega$ -notation: Example

**Intuition:** Again, start from the observation that:

$$f(n) \in \Theta(g(n)) \text{ implies } f(n) \in \Omega(g(n))$$

Thus, **higher-order** terms are allowed now.

**Example:**

$$7n^2 - 2n + 14 \in \Omega(n)$$

If this is true, then we can find positive constants  $c, n_0$  such that:

$$7n^2 - 2n + 14 \geq cn \quad \text{for all } n \geq n_0$$

This holds, for instance, with  $c = 1$  and  $n_0 = 1$ .

- Useful to write (asymptotic) **lower bounds**.
- We use it to bound the **best case** as well as **any input**.

# Properties

Not too difficult to prove:

$$f(n) \in \Theta(g(n)) \Leftrightarrow f(n) \in O(g(n)) \text{ and } f(n) \in \Omega(g(n))$$

# Properties

Not too difficult to prove:

$$f(n) \in \Theta(g(n)) \Leftrightarrow f(n) \in O(g(n)) \text{ and } f(n) \in \Omega(g(n))$$

Transitivity:

$$f(n) = \Theta(g(n)) \text{ and } g(n) = \Theta(h(n)) \Rightarrow f(n) = \Theta(h(n))$$

$$f(n) = O(g(n)) \text{ and } g(n) = O(h(n)) \Rightarrow f(n) = O(h(n))$$

$$f(n) = \Omega(g(n)) \text{ and } g(n) = \Omega(h(n)) \Rightarrow f(n) = \Omega(h(n))$$



# Properties

Not too difficult to prove:

$$f(n) \in \Theta(g(n)) \Leftrightarrow f(n) \in O(g(n)) \text{ and } f(n) \in \Omega(g(n))$$

Transitivity:

$$f(n) = \Theta(g(n)) \text{ and } g(n) = \Theta(h(n)) \Rightarrow f(n) = \Theta(h(n))$$

$$f(n) = O(g(n)) \text{ and } g(n) = O(h(n)) \Rightarrow f(n) = O(h(n))$$

$$f(n) = \Omega(g(n)) \text{ and } g(n) = \Omega(h(n)) \Rightarrow f(n) = \Omega(h(n))$$

Reflexivity:

$$f(n) = \Theta(f(n))$$

$$f(n) = O(f(n))$$

$$f(n) = \Omega(f(n))$$

# Properties

Not too difficult to prove:

$$f(n) \in \Theta(g(n)) \Leftrightarrow f(n) \in O(g(n)) \text{ and } f(n) \in \Omega(g(n))$$

Transitivity:

$$f(n) = \Theta(g(n)) \text{ and } g(n) = \Theta(h(n)) \Rightarrow f(n) = \Theta(h(n))$$

$$f(n) = O(g(n)) \text{ and } g(n) = O(h(n)) \Rightarrow f(n) = O(h(n))$$

$$f(n) = \Omega(g(n)) \text{ and } g(n) = \Omega(h(n)) \Rightarrow f(n) = \Omega(h(n))$$

Reflexivity:

$$f(n) = \Theta(f(n))$$

$$f(n) = O(f(n))$$

$$f(n) = \Omega(f(n))$$

Symmetry:  $f(n) = \Theta(g(n)) \Leftrightarrow g(n) = \Theta(f(n))$

# Properties

Not too difficult to prove:

$$f(n) \in \Theta(g(n)) \Leftrightarrow f(n) \in O(g(n)) \text{ and } f(n) \in \Omega(g(n))$$

Transitivity:

$$f(n) = \Theta(g(n)) \text{ and } g(n) = \Theta(h(n)) \Rightarrow f(n) = \Theta(h(n))$$

$$f(n) = O(g(n)) \text{ and } g(n) = O(h(n)) \Rightarrow f(n) = O(h(n))$$

$$f(n) = \Omega(g(n)) \text{ and } g(n) = \Omega(h(n)) \Rightarrow f(n) = \Omega(h(n))$$

Reflexivity:

$$f(n) = \Theta(f(n))$$

$$f(n) = O(f(n))$$

$$f(n) = \Omega(f(n))$$

Symmetry:  $f(n) = \Theta(g(n)) \Leftrightarrow g(n) = \Theta(f(n))$

Transpose symmetry:  $f(n) = O(g(n)) \Leftrightarrow g(n) = \Omega(f(n))$

# Intuition and counter

The following analogy helps as a mnemonic:

$$f(n) = O(g(n)) \approx a \leq b$$

$$f(n) = \Omega(g(n)) \approx a \geq b$$

$$f(n) = \Theta(g(n)) \approx a = b$$

# Intuition and counter

The following analogy helps as a mnemonic:

$$f(n) = O(g(n)) \approx a \leq b$$

$$f(n) = \Omega(g(n)) \approx a \geq b$$

$$f(n) = \Theta(g(n)) \approx a = b$$

But two functions are not necessarily **asymptotically comparable**.

$$f(n) = n \qquad g(n) = n^{1+\sin n}$$

# Intuition and counter

The following analogy helps as a mnemonic:

$$f(n) = O(g(n)) \approx a \leq b$$

$$f(n) = \Omega(g(n)) \approx a \geq b$$

$$f(n) = \Theta(g(n)) \approx a = b$$

But two functions are not necessarily **asymptotically comparable**.

$$f(n) = n \quad g(n) = n^{1+\sin n}$$

For these  $f$  and  $g$ , we have:

$$f(n) \notin O(g(n)) \quad \text{and} \quad f(n) \notin \Omega(g(n))$$

# Suggested reading

Chapter 3.1 of:

“Introduction to Algorithms – 2nd Ed.”, Cormen et al.

Skip the  $o$ -**notation** and  $\omega$ -**notation** paragraphs.