# Homework #2 Automata and Computation Theory Fall 2018

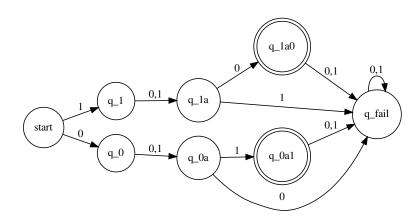
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# 1 Problem 1

Give the state diagram of a finite automaton recognizing the following language. The alphabet is  $\{0, 1\}$ .

 $\{w \mid w \text{ has length exactly 3 and its last symbol is different from its first symbol}\}$  Answer:

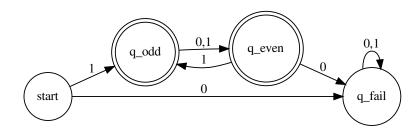


# 2 Problem 2

Give a finite automaton (both a state diagram and a formal description) recognizing the following language. The alphabet is  $\{0, 1\}$ .

 $\{w \mid w \text{ is not the empty string and every odd position of } w \text{ is a } 1\}$ 

Answer: M =



# Formal Definiton:

$$M = \{\{start, q\_odd, q\_even, q\_fail\}, \{0,1\}, \delta, start, \{q\_even, q\_odd\}\}$$

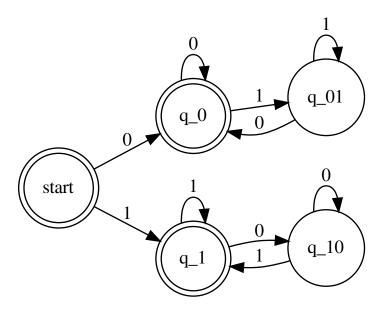
	0	1
start	q_fail	q_odd
q_odd	q_even	q_even
q_even	q_fail	q_odd
q_fail	q_fail	q_fail
	q_odd q_even	q_odd q_even q_fail

# 3 Problem 3

Show that the following language is regular, where the alphabet is  $\{0, 1\}$ .  $\{w \mid w \text{ contains an equal number of occurrences of the substrings } 01 \text{ and } 10\}$ 

#### Answer:

A simpler way to write out the language is to observe that everytime the string returns to its starting character, there are an equal number of substrings of 01 and 10. I will prove why this is in the proof section of the answer. For a language to be regular, it needs to be the language for a finite automata. I claim and will prove that the given language, A, is the equal to the language, L(M), for the following automata:



# Claim 1

I will prove  $\{w \mid w \text{ contains an equal number of occurrences of the substrings } 01 \text{ and } 10\} = \{w \mid w \text{ starts and ends on the same symbol}\}$ 

#### **First Direction**

Prove  $\{w \mid w \text{ contains an equal number of occurrences of the substrings } 01 \text{ and } 10\} \subset \{w \mid w \text{ starts and ends on the same symbol}\}$ 

let  $a \in A$ ,

So a has an equal number of occurrences of the substrings 01 and 10

WLOG let a start with 0

Every time the substring 01 appears in a, the current character is a 1.

Since there are an equal number of 01 and 10 substrings in a and since to hava 01 substring, the current symbol must be a 0, the next occurance of one of those two substrings must be 10.

So every time 01 appears, a 10 must appear before another version of 01.

Since there are an equal number of substrings, every 01 substring is followed by a 10 substring. Otherwise there couldn't be an equal amount since either substring needs the other one between iterations.

So the last substring to appear is a 10 substring.

So a ends in a 0 and starts in a 0

So  $a \in \{w \mid w \text{ starts and ends on the same symbol}\}\$ 

So  $\{w \mid w \text{ contains an equal number of occurrences of the substrings } 01 \text{ and } 10\} \subset \{w \mid w \text{ starts and ends on the same symbol}\}$ 

#### **Other Direction**

Prove  $\{w \mid w \text{ starts and ends on the same symbol}\} \subset \{w \mid w \text{ contains an equal number of occurrences of the substrings } 01 \text{ and } 10\}$ 

Let  $a \in \{w \mid w \text{ starts and ends on the same symbol}\}$ 

So a starts and ends with the last symbol.

WLOG let a start and end in 0

Either there are no 1 in a, in which case there are 0 appearances of both 10 and 01 so  $a \in A$ , or there is at least a 1 in a

Since a starts and ends on the same symbol, for every appearance of the substring 01, when the current character becomes a 1 from a 0, there must eventually be an occurance of 10, otherwise a couldn't end in 0.

Since for every appearance of 01 there is a 10 associated with it, there are an equal number of 01 and 10 substrings in a

So  $a \in A$ 

So  $\{w \mid w \text{ starts and ends on the same symbol}\} \subset \{w \mid w \text{ contains an equal number of occurrences of the substrings } 01 \text{ and } 10\}$ 

Since both directions hold up,  $\{w \mid w \text{ starts and ends on the same symbol}\} = \{w \mid w \text{ contains an equal number of occurrences of the substrings } 01 \text{ and } 10\}$ 

#### **Proof**

Prove that L(M) = A.

Let  $B = \{w \mid w \text{ starts and ends on the same symbol}\}$ 

#### **First Direction**

Prove that  $L(M) \subset A$ 

FSOC let  $L(M) \not\subset A$ 

So  $\exists b \in L(M)$  such that  $b \notin A$ 

Since  $b \notin A$ ,  $b \notin B$  by Claim 1

Since  $b \notin B$ , b must start and end with different symbols.

WLOG let b start with 0 and end with 1

Since b starts with 0, after the 0 is passed, the current state would be  $q_{-}0$ .

Since the current state is  $q_-0$ , everytime a 1 is encountered the state becomes  $q_-01$ .

Since b ends with 1, the last state is  $q_-01$ .

This contradicts the fact that q\_01 is not an accept state but  $b\in L(M)$  from the assumption.

Since there is a contradiction when assumed false,  $L(M) \subset A$ .

Since there is a finite automata M for which A is the recognized language, A is a regular language.

#### Other direction

Prove  $A \subset L(M)$ 

FOSC let  $A \not\subset L(M)$ 

So  $\exists b \in A \text{ such that } b \notin L(M)$ 

Since  $b \notin L(M)$ , after it is fed through M, the ending state is either q\_01 or q\_10.

WLOG let the ending state be q\_01

Since the ending state is q\_01, the first symbol in b must be 0 and the last one must be 1.

Since the first symbol in b is 0 and the last one is 1, the first and last symbols of b are not equal.

So  $b \notin B$ .

This contradicts Claim 1 since  $b \in A$  but  $b \notin B$  and by Claim 1, A = B

Since there is a contradiction when assumed false,  $A \subset L(M)$ 

Since  $A \subset L(M)$  and  $L(M) \subset A$ , L(M) = A.

#### 4 Problem 4

For any string  $w=w_1w_2w_n$ , the reverse of w, written as  $w^R$ , is the string w in reverse order,  $w_n-w_2w_1$ . For any language A, let  $A^R=\{w^R|w\in A\}$ . Show that if A is regular, so is  $A^R$ 

Proof:

#### Claim 1

If A is regular and it has only 1 accept state, then  $A^R$  is regular.

PROOF by construction: Since A is regular, it has a FA,  $M = \{Q_1, \mathcal{E}, \delta, q_1, F_1\}$ , that recognizes it, so L(M) = A

Since there is only one accept state,  $|F_1|=1$ . Let  $f_1$  be the only value in  $F_1$  To construct a FA that recognizes  $A^R$ , we modify M into a NFA  $M^R=\{Q_1,\mathcal{E},\delta',f_1,\{q_1\}\}$  where  $\delta'$  contains the flipped version of every transition. So if there was a transition from  $q_a$  to  $q_b$  in  $\delta$ , there is a transition with the same requirement fom  $q_b$  to  $q_a$  in  $\delta'$ .

Now we just need to show that  $L(M^R) = A^R$ .

Let the sequence of states M visits for input w be  $p_1,p_2,...,p_{n+1}$   $w^R \in A^R <==>$   $w \in A <==>$ 

 $w \in L(M^R) <==> p_{n+1} \in F_1 and p_1 = q_1 <==>$ 

 $p_{n+1} = f_1 <==>$ 

 $p_{n+1}...p_2, p_1$  is a valid sequence in  $M^R <==> w \in L(M^R)$ 

So  $A^R = L(M^R)$ .

Since an NFA recognizes the language,  $A^R$  is a regular language.

#### **General Proof**

I will prove that if A is regular, then  ${\cal A}^R$  is regular.

I will prove so using induction on the number of accepted states in the finite automata M that recognizes A.

#### **Base Case**

n = 1, this was proven in claim 1

#### **Induction Step**

IH:  $\exists k \in \mathcal{N}$  such that for all recognized languages A with a finite automata M that recognizes it and has k accepted states,  $A^R$  is a recognized language.

Lets look at when M that has k + 1 accepted states.

let  $F = \{a_1, a_2, \dots, a_{n+1}\}$  where  $a_i$  is the ith accepted state.

So 
$$M = \{Q, \mathcal{E}, \delta, q_0, F\}$$

Lets divide this into two languages C and D where C is the language recognized by  $M_1 = \{Q, \mathcal{E}, \delta, q_0, F \{a_{n+1}\}\}$  and D is the language recognized by  $M_2 = \{Q, \mathcal{E}, \delta, q_0, \{a_{N+1}\}\}$ .

So  $C \cup D = A$  because D contains all strings that end on  $a_{n+1}$  when put through M, and C contains all strings that end in anything besides  $a_{n+1}$  that is accepted. The actual proof is shown below.

Let 
$$a \in C \cup D$$
, so  $a \in C$  or  $a \in D$ .

If  $a \in C$  then a, when run through M, ends on an accept state, So  $a \in A$ 

If  $a \in D$  then a, when un through M, ends on  $a_{n+1}$  which is an accept state, so  $a \in A$ 

Now let  $b \in A$ , so when run through M, the last state is a state in the set of accept states.

If the last state, when b was run through M is  $a_{n+1}$ , then  $b \in D$  so  $b \in C \cup D$ If the last state, when b was run through M is not  $a_{n+1}$ , then  $b \in C$  since b had to land on an accept state, so  $b \in C \cup D$ 

Since  $C \cup D = A$  ,  $C^R \cup D^R = A^R$ . This is proven below:

Let  $a^R \in C^R \cup D^R$  be an arbitrary element.

so 
$$a^R \in C^R$$
 or  $a \in D^R$ 

If  $a^R \in C^R$ ,  $a \in C$  so  $a \in C \cup D$  so  $a \in A$  since  $C \cup D = A$  so  $a^R \in A^R$ If  $a^R \in D^R$ ,  $a \in D$  so  $a \in C \cup D$  so  $a \in A$  since  $C \cup D = A$  so  $a^R \in A^R$ so either way,  $a^R \in A^R$ , so  $C^R \cup D^R \subset A^R$ 

Now let  $a^R \in A^R$  be an arbitrary element.

Since  $a^R \in A^R$ ,  $a \in A$ 

So  $a \in C \cup D$  since  $C \cup D = A$ 

So  $a \in C$  or  $a \in D$ 

If  $a \in C$  then  $a \in C^R$  so  $a \in C^R \cup D^R$ 

If  $a \in D$  then  $a \in D^R$  so  $a \in C^R \cup D^R$ 

so 
$$A^R \subset C^R \cup D^R$$

Since 
$$A^R \subset C^R \cup D^R$$
 and  $C^R \cup D^R \subset A^R$ ,  $C^R \cup D^R = A^R$ 

Since C and D are defined of be the languages recognized by automata, they are regular languages.

Since C is a regular language and the number of accept states in  $M_1$  is n, by IH  $C^R$  is a regular language.

Since D is a regular language and the number of accept states in  $M_2$  is 1, by claim 1  $D^R$  is a regular language.

Since  $C^R$  and  $D^R$  are regular languages,  $C^R \cup D^R = A^R$  and regular languages are closed under union,  $A^R$  is a regular language.

So by induction for every regular language A whose finite automata has n>=1 accept states,  $A^R$  is a regular language.