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MODULE *UpdateCluster*

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EXTENDS *Integers, FiniteSets*

CONSTANTS

*\_Requests*, the requests sent by the user  
*\_Workers*, the pool of workers  
*NULL*

VARIABLES

*lastVOK*, last successfully applied version  
*toApply*, the version to apply (last request that passed the initial tests)  
*cluster*, cluster state  
*requests*, the state of all requests  
*workers*, the state of all workers  
*clusterUpdating* damn, I used a lock...

VARIABLES

these variables are *tla* + details  
*confOK*, are we able to get a valid conf?  
*reqCounter* just to keep track of the order of submissions

vars  $\triangleq \langle \textit{confOK}, \textit{reqCounter}, \textit{lastVOK}, \textit{toApply}, \textit{cluster}, \textit{requests}, \textit{workers}, \textit{clusterUpdating} \rangle$

TypeInvariants  $\triangleq$

$\wedge \textit{confOK} \in \text{BOOLEAN}$  won't change for a specific behavior  
 $\wedge \textit{clusterUpdating} \in \text{BOOLEAN}$   
 $\wedge \textit{cluster.st} \in \{$   
     "idle",  
     "starting",  
     "partial",  
     "failed"  
   }  
 $\wedge \forall r \in \textit{\_Requests} : \textit{requests}[r].\textit{st} \in \{$   
     "waiting", the request (req) hasn't been submitted yet  
     "submitted", req has been submitted  
     "rejected", req has been rejected (auth problem)  
     "valid" auth etc passed  
   }  
 $\wedge \forall w \in \textit{\_Workers} : \textit{workers}[w].\textit{st} \in \{$   
     "waiting",  
     "starting",  
     "working"  
   }

Initial State

$Init \triangleq$   
 $\wedge requests = [r \in \_Requests \mapsto [st \mapsto \text{"waiting"}, v \mapsto NULL]]$   
 $\wedge workers = [w \in \_Workers \mapsto [st \mapsto \text{"waiting"}, v \mapsto NULL]]$   
 $\wedge cluster = [v \mapsto 0, st \mapsto \text{"idle"}]$   
 $\wedge lastVOK = 0$   
 $\wedge reqCounter = 0$   
 $\wedge toApply = 0$   
 $\wedge confOK \in \text{BOOLEAN}$   
 $\wedge clusterUpdating = \text{FALSE}$

#### Actions

$Submit(r) \triangleq$  update request received from the user  
 $\text{LET } newV \triangleq reqCounter + 1 \text{ IN}$   
 $\wedge requests[r].st = \text{"waiting"}$   
 $\wedge reqCounter' = newV$   
 $\wedge requests' = [requests \text{ EXCEPT } ![r].st = \text{"submitted"}, ![r].v = newV]$   
 $\wedge \text{UNCHANGED } \langle confOK, lastVOK, toApply, cluster, workers, clusterUpdating \rangle$

$PushToPending(r) \triangleq$  the request is pushed to queue  
 $\wedge requests[r].st = \text{"submitted"}$   
 $\wedge \text{IF } toApply < requests[r].v$   
 $\quad \text{THEN } \wedge requests' = [requests \text{ EXCEPT } ![r].st = \text{"valid"}]$   
 $\quad \wedge toApply' = requests[r].v$   
 $\quad \wedge \text{UNCHANGED } \langle confOK, reqCounter, lastVOK, cluster, workers, clusterUpdating \rangle$   
 $\quad \text{ELSE } \wedge requests' = [requests \text{ EXCEPT } ![r].st = \text{"rejected"}]$   
 $\quad \wedge \text{UNCHANGED } \langle confOK, reqCounter, lastVOK, toApply, cluster, workers, clusterUpdating \rangle$

$SpawnWorker(w) \triangleq$  spawns a new worker  
 $\wedge workers[w].st = \text{"waiting"}$   
 $\wedge toApply \neq lastVOK$   
 $\wedge clusterUpdating = \text{FALSE}$   
 $\wedge \vee cluster.st = \text{"idle"}$   
 $\quad \vee cluster.st = \text{"failed"}$   
 $\wedge workers' = [workers \text{ EXCEPT } ![w].v = toApply, ![w].st = \text{"starting"}]$   
 $\wedge clusterUpdating' = \text{TRUE}$   
 $\wedge \text{UNCHANGED } \langle confOK, reqCounter, lastVOK, toApply, requests, cluster \rangle$

$ApplyStart(w) \triangleq$  the cluster starts to be modified  
 $\wedge workers[w].st = \text{"starting"}$   
 $\wedge \text{IF } workers[w].v \geq toApply$   
 $\quad \text{THEN}$   
 $\quad \quad \text{IF } confOK$

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THEN
   $\wedge cluster' = [v \mapsto workers[w].v, st \mapsto \text{"partial"}]$ 
   $\wedge workers' = [workers \text{ EXCEPT } ![w].st = \text{"working"}]$ 
   $\wedge \text{UNCHANGED } \langle confOK, reqCounter, lastVOK, toApply, requests, clusterUpdating \rangle$ 
ELSE
   $\wedge clusterUpdating' = \text{FALSE}$ 
   $\wedge workers' = [workers \text{ EXCEPT } ![w].st = \text{"waiting"}, ![w].v = \text{NULL}]$ 
   $\wedge \text{UNCHANGED } \langle confOK, reqCounter, lastVOK, toApply, cluster, requests \rangle$ 
ELSE  $\text{a new version has been submitted, no need to apply this one}$ 
   $\wedge workers' = [workers \text{ EXCEPT } ![w].st = \text{"waiting"}, ![w].v = \text{NULL}]$ 
   $\wedge clusterUpdating' = \text{FALSE}$ 
   $\wedge \text{UNCHANGED } \langle confOK, reqCounter, lastVOK, toApply, cluster, requests \rangle$ 

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$RollbackVersion \triangleq$

$\text{to differentiate it from the original last VOK (in realworld, could be } conf + \text{ timestamp)}$   
 $lastVOK + 10$

$ApplyFinish(w) \triangleq$

$\text{the cluster update finishes}$

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 $\wedge workers[w].st = \text{"working"}$ 
 $\wedge clusterUpdating' = \text{FALSE}$ 
 $\wedge \exists ok \in \text{BOOLEAN} :$ 
  IF  $ok \vee workers[w].v = RollbackVersion$   $\text{rollback always works}$ 
  THEN
     $\wedge cluster' = [cluster \text{ EXCEPT } !.st = \text{"idle"}]$ 
     $\wedge lastVOK' = workers[w].v$ 
     $\wedge workers' = [workers \text{ EXCEPT } ![w].st = \text{"waiting"}, ![w].v = \text{NULL}]$ 
     $\wedge \text{UNCHANGED } \langle confOK, reqCounter, toApply, requests \rangle$ 
  ELSE
     $\wedge cluster' = [cluster \text{ EXCEPT } !.st = \text{"failed"}]$ 
     $\wedge workers' = [workers \text{ EXCEPT } ![w].st = \text{"waiting"}, ![w].v = \text{NULL}]$ 
     $\wedge \text{IF } workers[w].v < toApply$ 
      THEN  $\text{a newer version has been submitted}$ 
         $\wedge \text{UNCHANGED } \langle confOK, reqCounter, lastVOK, toApply, requests \rangle$ 
      ELSE  $\text{let's trigger a rollback}$ 
         $\wedge toApply' = RollbackVersion$ 
         $\wedge \text{UNCHANGED } \langle confOK, reqCounter, lastVOK, requests \rangle$ 

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Requirements

$NoConcurrentUpdate \triangleq$

$\square(\text{Cardinality}(\{r \in \text{DOMAIN } requests : requests[r].st = \text{"working"}\}) < 2)$

$NoPartialUpdateTermination \triangleq$

$\diamond \square(cluster.st = \text{"idle"})$   $\text{we don't want the cluster to end up in a partially update } st$

$$\begin{aligned}
\textit{EveryReqIsProcessed} &\triangleq \\
&\Diamond \Box (\neg \exists r \in \_Requests : \textit{requests}[r].st = \text{"waiting"})
\end{aligned}$$

**Spec**

$$\begin{aligned}
\textit{Next} &\triangleq \\
&\vee \exists r \in \_Requests : \\
&\quad \vee \textit{Submit}(r) \\
&\quad \vee \textit{PushToPending}(r) \\
&\vee \exists w \in \_Workers : \\
&\quad \vee \textit{SpawnWorker}(w) \\
&\quad \vee \textit{ApplyStart}(w) \\
&\quad \vee \textit{ApplyFinish}(w)
\end{aligned}$$

$$\begin{aligned}
\textit{Fairness} &\triangleq \forall r \in \_Requests, w \in \_Workers : \\
&\quad \wedge \text{WF}_{vars}(\textit{Submit}(r)) \\
&\quad \wedge \text{WF}_{vars}(\textit{PushToPending}(r)) \\
&\quad \wedge \text{WF}_{vars}(\textit{SpawnWorker}(w)) \\
&\quad \wedge \text{WF}_{vars}(\textit{ApplyStart}(w)) \\
&\quad \wedge \text{WF}_{vars}(\textit{ApplyFinish}(w))
\end{aligned}$$

$$\begin{aligned}
\textit{Spec} &\triangleq \\
&\wedge \textit{Init} \\
&\wedge \Box [\textit{Next}]_{vars} \\
&\wedge \textit{Fairness}
\end{aligned}$$

THEOREM  $\textit{Spec} \Rightarrow \Box(\textit{TypeInvariants})$

THEOREM  $\textit{Spec} \Rightarrow \textit{NoPartialUpdateTermination}$