Crypto Forum Research Group

Internet-Draft

Intended status: Informational

Expires: July 11, 2019

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Hash-Based Signatures draft-mcgrew-hash-sigs-15

#### Abstract

This note describes a digital signature system based on cryptographic hash functions, following the seminal work in this area of Lamport, Diffie, Winternitz, and Merkle, as adapted by Leighton and Micali in 1995. It specifies a one-time signature scheme and a general signature scheme. These systems provide asymmetric authentication without using large integer mathematics and can achieve a high security level. They are suitable for compact implementations, are relatively simple to implement, and naturally resist side-channel attacks. Unlike most other signature systems, hash-based signatures would still be secure even if it proves feasible for an attacker to build a quantum computer.

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## 1. Introduction

One-time signature systems, and general purpose signature systems built out of one-time signature systems, have been known since 1979 [Merkle79], were well studied in the 1990s [USPT05432852], and have benefited from renewed attention in the last decade. The characteristics of these signature systems are small private and public keys and fast signature generation and verification, but large signatures and moderately slow key generation (in comparison with RSA and ECDSA). Private keys can be made very small by appropriate key generation, for example, as described in Appendix A. In recent years there has been interest in these systems because of their post-quantum security and their suitability for compact verifier implementations.

This note describes the Leighton and Micali adaptation [USPTO5432852] of the original Lamport-Diffie-Winternitz-Merkle one-time signature system [Merkle79] [C:Merkle87][C:Merkle89a][C:Merkle89b] and general signature system [Merkle79] with enough specificity to ensure interoperability between implementations.

A signature system provides asymmetric message authentication. The key generation algorithm produces a public/private key pair. A message is signed by a private key, producing a signature, and a message/signature pair can be verified by a public key. A One-Time

Signature (OTS) system can be used to sign one message securely, but will become insecure if more than one is signed with the same public/private key pair. An N-time signature system can be used to sign N or fewer messages securely. A Merkle tree signature scheme is an N-time signature system that uses an OTS system as a component.

In the Merkle scheme, a binary tree of height h is used to hold 2^h OTS key pairs. Each interior node of the tree holds a value which is the hash of the values of its two children nodes. The public key of the tree is the value of the root node (a recursive hash of the OTS public keys), while the private key of the tree is the collection of all the OTS private keys, together with the index of the next OTS private key to sign the next message with.

In this note we describe the Leighton-Micali Signature (LMS) system, which is a variant of the Merkle scheme, and a Hierarchical Signature System (HSS) built on top of it that can efficiently scale to larger numbers of signatures. In order to support signing a large number of messages on resource constrained systems, the Merkle tree can be subdivided into a number of smaller trees. Only the bottom-most tree is used to sign messages, while trees above that are used to sign the public keys of their children. For example, in the simplest case with 2 levels with both levels consisting of height h trees, the root tree is used to sign 2<sup>h</sup> trees with 2<sup>h</sup> OTS key pairs, and each second level tree has  $2^h$  OTS key pairs, for a total of  $2^(2h)$  bottom level key pairs, and so can sign 2^(2h) messages. The advantage of this scheme is that only the active trees need to be instantiated, which saves both time (for key generation) and space (for key storage). On the other hand, using a multilevel signature scheme inceases the size of the signature, as well as the signature verification time.

This note is structured as follows. Notes on postquantum cryptography are discussed in Section 1.1. Intellectual Property issues are discussed in Section 1.2. The notation used within this note is defined in Section 3, and the public formats are described in Section 3.3. The LM-OTS signature system is described in Section 4, and the LMS and HSS N-time signature systems are described in Section 5 and Section 6, respectively. Sufficient detail is provided to ensure interoperability. The rationale for the design decisions is given in Section 7. The IANA registry for these signature systems is described in Section 8. Security considerations are presented in Section 9. Comparison with another hash based signature algorithm (XMSS) is in Section 10.

This document represents the rough consensus of the CFRG.

### 1.1. CFRG Note on Post-Quantum Cryptography

All post-quantum algorithms documented by the Crypto Forum Research Group (CFRG) are today considered ready for experimentation and further engineering development (e.g., to establish the impact of performance and sizes on IETF protocols). However, at the time of writing, we do not have significant deployment experience with such algorithms.

Many of these algorithms come with specific restrictions, e.g., change of classical interface or less cryptanalysis of proposed parameters than established schemes. CFRG has consensus that all documents describing post-quantum technologies include the above paragraph and a clear additional warning about any specific restrictions, especially as those might affect use or deployment of the specific scheme. That guidance may be changed over time via document updates.

### Additionally, for LMS:

CFRG consensus is that we are confident in the cryptographic security of the signature schemes described in this document against quantum computers, given the current state of the research community's knowledge about quantum algorithms. Indeed, we are confident that the security of a significant part of the Internet could be made dependent on the signature schemes defined in this document, if developers take care of the following.

In contrast to traditional signature schemes, the signature schemes described in this document are stateful, meaning the secret key changes over time. If a secret key state is used twice, no cryptographic security guarantees remain. In consequence, it becomes feasible to forge a signature on a new message. This is a new property that most developers will not be familiar with and requires careful handling of secret keys. Developers should not use the schemes described here except in systems that prevent the reuse of secret key states.

Note that the fact that the schemes described in this document are stateful also implies that classical APIs for digital signatures cannot be used without modification. The API MUST be able to handle a secret key state; in particular, this means that the API MUST allow to return an updated secret key state.

### 1.2. Intellectual Property

This draft is based on U.S. patent 5,432,852, which was issued over twenty years ago and is thus expired.

#### 1.2.1. Disclaimer

This document is not intended as legal advice. Readers are advised to consult with their own legal advisers if they would like a legal interpretation of their rights.

The IETF policies and processes regarding intellectual property and patents are outlined in [RFC3979] and [RFC4879] and at https://datatracker.ietf.org/ipr/about.

#### 1.3. Conventions Used In This Document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

#### 2. Interface

The LMS signing algorithm is stateful; it modifies and updates the private key as a side effect of generating a signature. Once a particular value of the private key is used to sign one message, it MUST NOT be used to sign another.

The key generation algorithm takes as input an indication of the parameters for the signature system. If it is successful, it returns both a private key and a public key. Otherwise, it returns an indication of failure.

The signing algorithm takes as input the message to be signed and the current value of the private key. If successful, it returns a signature and the next value of the private key, if there is such a value. After the private key of an N-time signature system has signed N messages, the signing algorithm returns the signature and an indication that there is no next value of the private key that can be used for signing. If unsuccessful, it returns an indication of failure.

The verification algorithm takes as input the public key, a message, and a signature, and returns an indication of whether or not the signature and message pair is valid.

A message/signature pair is valid if the signature was returned by the signing algorithm upon input of the message and the private key corresponding to the public key; otherwise, the signature and message pair is not valid with probability very close to one.

#### 3. Notation

# 3.1. Data Types

Bytes and byte strings are the fundamental data types. A single byte is denoted as a pair of hexadecimal digits with a leading "0x". A byte string is an ordered sequence of zero or more bytes and is denoted as an ordered sequence of hexadecimal characters with a leading "0x". For example, 0xe534f0 is a byte string with a length of three. An array of byte strings is an ordered set, indexed starting at zero, in which all strings have the same length.

Unsigned integers are converted into byte strings by representing them in network byte order. To make the number of bytes in the representation explicit, we define the functions u8str(X), u16str(X), and u32str(X), which take a non-negative integer X as input and return one, two, and four byte strings, respectively. We also make use of the function strTou32(S), which takes a four-byte string S as input and returns a non-negative integer; the identity u32str(strTou32(S)) = S holds for any four-byte string S.

### 3.1.1. Operators

When a and b are real numbers, mathematical operators are defined as follows:

- ^ : a ^ b denotes the result of a raised to the power of b
- \* : a \* b denotes the product of a multiplied by b
- % : a % b denotes the remainder of the integer division of a by b
  (with a and b being restricted to integers in this case)
- + : a + b denotes the sum of a and b
- : a b denotes the difference of a and b

AND: a AND b denotes the bitwise AND of the two nonnegative integers a and b (represented in binary notation)

The standard order of operations is used when evaluating arithmetic expressions.

When B is a byte and i is an integer, then B >> i denotes the logical right-shift operation by i bit positions. Similarly, B << i denotes the logical left-shift operation.

If S and T are byte strings, then S  $\mid\mid$  T denotes the concatenation of S and T. If S and T are equal length byte strings, then S AND T denotes the bitwise logical and operation.

The i-th element in an array A is denoted as A[i].

## 3.1.2. Functions

If r is a non-negative real number, then we define the following functions:

```
\operatorname{ceil}(r): returns the smallest integer greater than or equal to r
```

floor(r): returns the largest integer less than or equal to r

lg(r): returns the base-2 logarithm of r

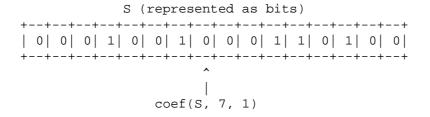
### 3.1.3. Strings of w-bit elements

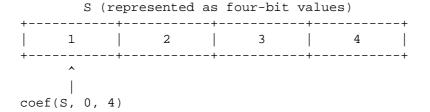
If S is a byte string, then byte(S, i) denotes its i-th byte, where the index starts at 0 at the left. Hence, byte(S, 0) is the leftmost byte of S, byte(S, 1) is the second byte at the left and (assuming S is n bytes long) byte(S, n-1) is the rightmost byte of S. In addition, bytes(S, i, j) denotes the range of bytes from the i-th to the j-th byte, inclusive. For example, if S = 0x02040608, then byte(S, 0) is 0x02 and bytes(S, 1, 2) is 0x0406.

A byte string can be considered to be a string of w-bit unsigned integers; the correspondence is defined by the function coef(S, i, w) as follows:

If S is a string, i is a positive integer, and w is a member of the set  $\{ 1, 2, 4, 8 \}$ , then coef(S, i, w) is the i-th, w-bit value, if S is interpreted as a sequence of w-bit values. That is,

For example, if S is the string 0x1234, then coef(S, 7, 1) is 0 and coef(S, 0, 4) is 1.





The return value of coef is an unsigned integer. If i is larger than the number of w-bit values in S, then coef(S, i, w) is undefined, and an attempt to compute that value MUST raise an error.

## 3.2. Typecodes

A typecode is an unsigned integer that is associated with a particular data format. The format of the LM-OTS, LMS, and HSS signatures and public keys all begin with a typecode that indicates the precise details used in that format. These typecodes are represented as four-byte unsigned integers in network byte order; equivalently, they are XDR enumerations (see Section 3.3).

#### 3.3. Notation and Formats

The signature and public key formats are formally defined using the External Data Representation (XDR) [RFC4506] in order to provide an unambiguous, machine readable definition. For clarity, we also include a private key format as well, though consistency is not needed for interoperability and an implementation MAY use any private key format. Though XDR is used, these formats are simple and easy to parse without any special tools. An illustration of the layout of data in these objects is provided below. The definitions are as follows:

/\* one-time signatures \*/

```
enum lmots_algorithm_type {
  lmots_reserved = 0,
  lmots_sha256_n32_w1 = 1,
  lmots sha256 n32 w2 = 2,
 lmots_sha256_n32_w4 = 3,
  lmots_sha256_n32_w8 = 4
};
typedef opaque bytestring32[32];
struct lmots_signature_n32_p265 {
 bytestring32 C;
 bytestring32 y[265];
};
struct lmots signature n32 p133 {
 bytestring32 C;
 bytestring32 y[133];
};
struct lmots_signature_n32_p67 {
 bytestring32 C;
 bytestring32 y[67];
};
struct lmots_signature_n32_p34 {
 bytestring32 C;
 bytestring32 y[34];
};
union lmots_signature switch (lmots_algorithm_type type) {
 case lmots_sha256_n32_w1:
   lmots_signature_n32_p265 sig_n32_p265;
 case lmots_sha256_n32_w2:
  lmots_signature_n32_p133 sig_n32_p133;
 case lmots_sha256_n32_w4:
  lmots_signature_n32_p67 sig_n32_p67;
 case lmots_sha256_n32_w8:
   lmots_signature_n32_p34 sig_n32_p34;
 default:
   void; /* error condition */
};
/* hash based signatures (hbs) */
enum lms_algorithm_type {
  lms_reserved
                 = 0,
```

```
lms_sha256_n32_h5 = 5,
  lms_sha256_n32_h10 = 6,
  lms_sha256_n32_h15 = 7,
 lms sha256 n32 h20 = 8,
 lms_sha256_n32_h25 = 9,
};
/* leighton-micali signatures (lms) */
union lms_path switch (lms_algorithm_type type) {
 case lms_sha256_n32_h5:
  bytestring32 path_n32_h5[5];
 case lms_sha256_n32_h10:
  bytestring32 path_n32_h10[10];
 case lms_sha256_n32_h15:
  bytestring32 path n32 h15[15];
 case lms_sha256_n32_h20:
  bytestring32 path_n32_h20[20];
 case lms_sha256_n32_h25:
  bytestring32 path_n32_h25[25];
default:
  void;
           /* error condition */
};
struct lms_signature {
 unsigned int q;
 lmots_signature lmots_sig;
 lms_path nodes;
};
struct lms_key_n32 {
 lmots_algorithm_type ots_alg_type;
 opaque I[16];
 opaque K[32];
};
union lms_public_key switch (lms_algorithm_type type) {
case lms_sha256_n32_h5:
case lms_sha256_n32_h10:
case lms_sha256_n32_h15:
case lms_sha256_n32_h20:
 case lms_sha256_n32_h25:
      lms_key_n32 z_n32;
default:
  void;
           /* error condition */
};
/* hierarchical signature system (hss) */
```

```
struct hss_public_key {
  unsigned int L;
  lms_public_key pub;
};

struct signed_public_key {
  lms_signature sig;
  lms_public_key pub;
}

struct hss_signature {
  signed_public_key signed_keys<7>;
  lms_signature sig_of_message;
};
```

#### 4. LM-OTS One-Time Signatures

This section defines LM-OTS signatures. The signature is used to validate the authenticity of a message by associating a secret private key with a shared public key. These are one-time signatures; each private key MUST be used at most one time to sign any given message.

As part of the signing process, a digest of the original message is computed using the cryptographic hash function H (see Section 4.1), and the resulting digest is signed.

In order to facilitate its use in an N-time signature system, the LM-OTS key generation, signing, and verification algorithms all take as input parameters I and q. The parameter I is a 16 byte string, which indicates which Merkle tree this LM-OTS is used with. The parameter q is a 32 bit integer which indicates the leaf of the Merkle tree where the OTS public key appears. These parameters are used as part of the security string, as listed in Section 7.1. When the LM-OTS signature system is used outside of an N-time signature system, the value I MAY be used to differentiate this one time signatures from others; however the value q MUST be set to the all-zero value.

# 4.1. Parameters

The signature system uses the parameters n and w, which are both positive integers. The algorithm description also makes use of the internal parameters p and ls, which are dependent on n and w. These parameters are summarized as follows:

n : the number of bytes of the output of the hash function

- w: the width (in bits) of the Winternitz coefficients; that is, the number of bits from the hash or checksum that is used with a single Winternitz chain. It is a member of the set  $\{\ 1,\ 2,\ 4,\ 8\ \}$
- p: the number of n-byte string elements that make up the LM-OTS signature. This is a function of n and w; the values for the defined parameter sets are lited in Table 1; it can also be computed by the algorithm given in Appendix B.
- ls : the number of left-shift bits used in the checksum function  ${\tt Cksm}$  (defined in Section 4.4)
- ${\tt H}$  : a second-preimage-resistant cryptographic hash function that accepts byte strings of any length, and returns an n-byte string

For more background on the cryptographic security requirements on H, see the Section 9.

The value of n is determined by the hash function selected for use as part of the LM-OTS algorithm; the choice of this value has a strong effect on the security of the system. The parameter w determines the length of the Winternitz chains computed as a part of the OTS signature (which involve 2^w-1 invocations of the hash function); it has little effect on security. Increasing w will shorten the signature, but at a cost of a larger computation to generate and verify a signature. The values of p and ls are dependent on the choices of the parameters n and w, as described in Appendix B. A table illustrating various combinations of n, w, p and ls, along with the resulting signature length, is provided in Table 1.

The value of w describes a space/time trade-off; increasing the value of w will cause the signature to shrink (by decreasing the value of p) while increasing the amount of time needed to perform operations with it (generate the public key, generate and verify the signature); in general, the LM-OTS signature is 4+n\*(p+1) bytes long, and public key generation will take  $p*(2^w-1)+1$  hash computations (and signature generation and verification will take approximately half that on average).

Parameter Set Name	+   Н	+   n	+   w	p	ls	+   sig_len
LMOTS_SHA256_N32_W1	SHA256	32 	   1 	265	7	8516
   LMOTS_SHA256_N32_W2	SHA256	   32	   2	133	6	4292
   LMOTS_SHA256_N32_W4	SHA256	   32	   4	67	4	2180
   LMOTS_SHA256_N32_W8	SHA256	32	   8	34	0	1124

Table 1

Here SHA256 denotes the SHA-256 hash fucntion defined in NIST standard [FIPS180].

### 4.2. Private Key

The format of the LM-OTS private key is an internal matter to the implementation, and this document does not attempt to define it. One possibility is that the private key may consist of a typecode indicating the particular LM-OTS algorithm, an array x[] containing p n-byte strings, and the 16-byte string I and the 4 byte string q. This private key MUST be used to sign (at most) one message. The following algorithm shows pseudocode for generating a private key.

### Algorithm 0: Generating a Private Key

- 1. retrieve the values of q and I (the 16-byte identifier of the LMS public/private keypair) from the LMS tree that this LM-OTS private key will be used with
- 2. set type to the typecode of the algorithm
- 3. set n and p according to the typecode and Table 1
- 4. compute the array x as follows:
   for ( i = 0; i < p; i = i + 1 ) {
   set x[i] to a uniformly random n-byte string
  }</pre>
- 5. return u32str(type) || I || u32str(q) || x[0] || x[1] || ... || x[p-1]

An implementation MAY use a pseudorandom method to compute x[i], as suggested in [Merkle79], page 46. The details of the pseudorandom method do not affect interoperability, but the cryptographic strength

MUST match that of the LM-OTS algorithm. Appendix A provides an example of a pseudorandom method for computing the LM-OTS private key.

### 4.3. Public Key

The LM-OTS public key is generated from the private key by iteratively applying the function H to each individual element of x, for  $2^w - 1$  iterations, then hashing all of the resulting values.

The public key is generated from the private key using the following algorithm, or any equivalent process.

Algorithm 1: Generating a One Time Signature Public Key From a Private Key

- 1. set type to the typecode of the algorithm
- 2. set the integers n, p, and w according to the typecode and Table 1
- 3. determine x, I and q from the private key

```
4. compute the string K as follows:
    for ( i = 0; i < p; i = i + 1 ) {
        tmp = x[i]
        for ( j = 0; j < 2^w - 1; j = j + 1 ) {
            tmp = H(I || u32str(q) || u16str(i) || u8str(j) || tmp)
        }
        y[i] = tmp
    }
    K = H(I || u32str(q) || u16str(D_PBLC) || y[0] || ... || y[p-1])</pre>
```

5. return u32str(type) || I || u32str(q) || K

where D\_PBLC is the fixed two byte value 0x8080, which is used to distinguish the last hash from every other hash in this system.

The public key is the value returned by Algorithm 1.

#### 4.4. Checksum

A checksum is used to ensure that any forgery attempt that manipulates the elements of an existing signature will be detected. This checksum is needed because an attacker can freely advance any of the Winternitz chains. That is, if this checksum were not present, then an attacker who could find a hash that has every digit larger than the valid hash could replace it (and adjust the Winternitz chains). The security property that it provides is detailed in

Section 9. The checksum function Cksm is defined as follows, where S denotes the n-byte string that is input to that function, and the value sum is a 16-bit unsigned integer:

Algorithm 2: Checksum Calculation

```
sum = 0
for ( i = 0; i < (n*8/w); i = i + 1 ) {
  sum = sum + (2^w - 1) - coef(S, i, w)
}
return (sum << ls)</pre>
```

ls is the parameter that shifts the significant bits of the checksum into the positions that will actually be used by the coef function when encoding the digits of the checksum. The actual ls parameter is a function of the n and w parameters; the values for the currently defined parameter sets is shown in table 1. It is calculated by the algorithm given in Appendix B.

Because of the left-shift operation, the rightmost bits of the result of Cksm will often be zeros. Due to the value of p, these bits will not be used during signature generation or verification.

### 4.5. Signature Generation

The LM-OTS signature of a message is generated by first prepending the LMS key identifier I, the LMS leaf identifier q, the value D\_MESG (0x8181) and the randomizer C to the message, then computing the hash, and then concatenating the checksum of the hash to the hash itself, then considering the resulting value as a sequence of w-bit values, and using each of the w-bit values to determine the number of times to apply the function H to the corresponding element of the private key. The outputs of the function H are concatenated together and returned as the signature. The pseudocode for this procedure is shown below.

Algorithm 3: Generating a One Time Signature From a Private Key and a Message

- 1. set type to the typecode of the algorithm
- 2. set n, p, and w according to the typecode and Table 1
- 3. determine x, I and q from the private key
- 4. set C to a uniformly random n-byte string

```
5. compute the array y as follows:
    Q = H(I || u32str(q) || u16str(D_MESG) || C || message)
    for ( i = 0; i < p; i = i + 1 ) {
        a = coef(Q || Cksm(Q), i, w)
        tmp = x[i]
        for ( j = 0; j < a; j = j + 1 ) {
            tmp = H(I || u32str(q) || u16str(i) || u8str(j) || tmp)
        }
        y[i] = tmp
    }</pre>
```

6. return u32str(type) || C || y[0] || ... || y[p-1]

Note that this algorithm results in a signature whose elements are intermediate values of the elements computed by the public key algorithm in Section 4.3.

The signature is the string returned by Algorithm 3. Section 3.3 specifies the typecode and more formally defines the encoding and decoding of the string.

### 4.6. Signature Verification

In order to verify a message with its signature (an array of n-byte strings, denoted as y), the receiver must "complete" the chain of iterations of H using the w-bit coefficients of the string resulting from the concatenation of the message hash and its checksum. This computation should result in a value that matches the provided public key.

Algorithm 4a: Verifying a Signature and Message Using a Public Key

- if the public key is not at least four bytes long, return INVALID
- 2. parse pubtype, I, q, and K from the public key as follows:
  - a. pubtype = strTou32(first 4 bytes of public key)
  - b. set n according to the pubkey and Table 1; if the public key is not exactly 24 + n bytes long, return INVALID
  - c. I = next 16 bytes of public key
  - d. q = strTou32(next 4 bytes of public key)
  - e. K = next n bytes of public key
- 3. compute the public key candidate Kc from the signature, message, pubtype and the identifiers I and q obtained from the public key, using Algorithm 4b. If Algorithm 4b returns INVALID, then return INVALID.
- 4. if Kc is equal to K, return VALID; otherwise, return INVALID

Algorithm 4b: Computing a Public Key Candidate Kc from a Signature, Message, Signature Typecode pubtype, and identifiers I, q

- 1. if the signature is not at least four bytes long, return INVALID
- 2. parse sigtype, C, and y from the signature as follows:
   a. sigtype = strTou32(first 4 bytes of signature)
  - b. if sigtype is not equal to pubtype, return INVALID
  - c. set n and p according to the pubtype and Table 1; if the signature is not exactly 4 + n \* (p+1) bytes long, return INVALID
  - d. C = next n bytes of signature
  - e. y[0] = next n bytes of signature
     y[1] = next n bytes of signature
     ...
     y[p-1] = next n bytes of signature
- 3. compute the string Kc as follows
   Q = H(I || u32str(q) || u16str(D\_MESG) || C || message)
   for ( i = 0; i < p; i = i + 1 ) {
   a = coef(Q || Cksm(Q), i, w)
   tmp = y[i]
   for ( j = a; j < 2^w 1; j = j + 1 ) {
   tmp = H(I || u32str(q) || u16str(i) || u8str(j) || tmp)
   }
   z[i] = tmp
  }
  Kc = H(I || u32str(q) || u16str(D\_PBLC) ||
   z[0] || z[1] || ... || z[p-1])</pre>
- 4. return Kc
- 5. Leighton-Micali Signatures

The Leighton-Micali Signature (LMS) method can sign a potentially large but fixed number of messages. An LMS system uses two cryptographic components: a one-time signature method and a hash function. Each LMS public/private key pair is associated with a perfect binary tree, each node of which contains an m-byte value, where m is the output length of the hash function. Each leaf of the tree contains the value of the public key of an LM-OTS public/private key pair. The value contained by the root of the tree is the LMS public key. Each interior node is computed by applying the hash function to the concatenation of the values of its children nodes.

Each node of the tree is associated with a node number, an unsigned integer that is denoted as node\_num in the algorithms below, which is computed as follows. The root node has node number 1; for each node with node number N <  $2^h$  (where h is the height of the tree), its left child has node number  $2^h$ , while its right child has node number  $2^h$ . The result of this is that each node within the tree will have a unique node number, and the leaves will have node numbers  $2^h$ ,  $(2^h)^1$ ,  $(2^h)^1$ ,  $(2^h)^1$ . In general, the j-th node at level i has node number  $2^i$ , The node number can conveniently be computed when it is needed in the LMS algorithms, as described in those algorithms.

### 5.1. Parameters

An LMS system has the following parameters:

h: the height of the tree, and

m : the number of bytes associated with each node.

H: a second-preimage-resistant cryptographic hash function that accepts byte strings of any length, and returns an m-byte string.

There are 2<sup>h</sup> leaves in the tree.

The overall strength of the LMS signatures is governed by the weaker of the hash function used within the LM-OTS and the hash function used within the LMS system. In order to minimize the risk, these two hash functions SHOULD be the same (so that an attacker could not take advantage of the weaker hash function choice).

+
h
2   5
2   10
2   15
2   20
2   25

Table 2

### 5.2. LMS Private Key

The format of the LMS private key is an internal matter to the implementation, and this document does not attempt to define it. One possibility is that it may consist of an array OTS\_PRIV[] of 2^h LM-OTS private keys, and the leaf number q of the next LM-OTS private key that has not yet been used. The q-th element of OTS\_PRIV[] is generated using Algorithm 0 with the identifiers I, q. The leaf number q is initialized to zero when the LMS private key is created. The process is as follows:

Algorithm 5: Computing an LMS Private Key.

- 1. determine h and m from the typecode and Table 2.
- 2. set I to a uniformly random 16-byte string
- 3. compute the array OTS\_PRIV[] as follows:
   for ( q = 0; q < 2^h; q = q + 1) {
   OTS\_PRIV[q] = LM-OTS private key with identifiers I, q
  }</pre>
- 4. q = 0

An LMS private key MAY be generated pseudorandomly from a secret value, in which case the secret value MUST be at least m bytes long, be uniformly random, and MUST NOT be used for any other purpose than the generation of the LMS private key. The details of how this process is done do not affect interoperability; that is, the public key verification operation is independent of these details.

Appendix A provides an example of a pseudorandom method for computing an LMS private key.

The signature generation logic uses q as the next leaf to use, hence step 4 starts it off at the left-most one. Because the signature proces increments q after the signature operation, the first signature will have q=0.

# 5.3. LMS Public Key

An LMS public key is defined as follows, where we denote the public key final hash value (namely, the K value computed in Algorithm 1) associated with the i-th LM-OTS private key as OTS\_PUB\_HASH[i], with i ranging from 0 to  $(2^h)-1$ . Each instance of an LMS public/private key pair is associated with a balanced binary tree, and the nodes of that tree are indexed from 1 to  $2^h+1$ . Each node is associated with an m-byte string, and the string for the r-th node is denoted as T[r] and is defined as

```
if r >= 2^h:
        H(I||u32str(r)||u16str(D_LEAF)||OTS_PUB_HASH[r-2^h])
else
        H(I||u32str(r)||u16str(D_INTR)||T[2*r]||T[2*r+1])
```

where D\_LEAF is the fixed two byte value 0x8282, and D\_INTR is the fixed two byte value 0x8383, both of which are used to distinguish this hash from every other hash in this system.

When we have  $r \ge 2^h$ , then we are processing a leaf node (and thus hashing only a single LM-OTS public key). When we have  $r < 2^h$ , then we are processing an internal node, that is, a node with two child nodes that we need to combine.

The LMS public key is the string

```
u32str(type) || u32str(otstype) || I || T[1]
```

Section 3.3 specifies the format of the type variable. The value otstype is the parameter set for the LM-OTS public/private keypairs used. The value I is the private key identifier, and is the value used for all computations for the same LMS tree. The value T[1] can be computed via recursive application of the above equation, or by any equivalent method. An iterative procedure is outlined in Appendix C.

# 5.4. LMS Signature

An LMS signature consists of

the number  ${\bf q}$  of the leaf associated with the LM-OTS signature, as a four-byte unsigned integer in network byte order,

```
an LM-OTS signature,
```

a typecode indicating the particular LMS algorithm,

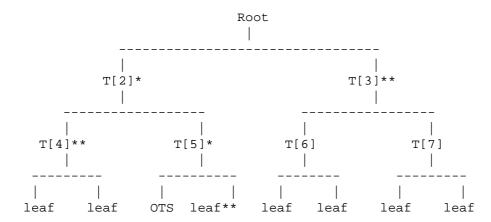
an array of h m-byte values that is associated with the path through the tree from the leaf associated with the LM-OTS signature to the root.

Symbolically, the signature can be represented as

Section 3.3 specifies the typecode and more formally defines the format. The array for a tree with height h will have h values and

contains the values of the siblings of (that is, is adjacent to) the nodes on the path from the leaf to the root, where the sibling to node A is the other node which shares node A's parent. In the signature, 0 is counted from the bottom level of the tree, and so path[0] is the value of the node adjacent to leaf node q; path[1] is the second level node that is adjacent to leaf node q's parent, and so up the tree until we get to path[h-1], which is the value of the next-to-the-top level node that leaf node q does not reside in.

Below is a simple example of the authentication path for h=3 and q=2. The leaf marked OTS is the one time signature which is used to sign the actual message. The nodes on the path from the OTS public key to the root are marked with a \*, while the nodes that are used within the path array are marked with a \*\*. The values in the path array are those nodes which are siblings of the nodes on the path; path[0] is the leaf\*\* node that is adjacent to the OTS public key (which is the start of the path); path[1] is the T[4]\*\* node which is the sibling of the second node T[5]\* on the path, and path[2] is the T[3]\*\* node which is the sibling of the third node T[2]\* on the path.



The idea behind this authentication path is that it allows us to validate the OTS hash with using h path array values and hash computations. What the verifier does is recompute the hashes up the path; first, he hashes the given OTS and path[0] value, giving a tentative T[5]' value. Then, he hashes his path[1] and tentative T[5]' value to get a tentative T[2]' value. Then, he hashes that and the path[2] value to get a tentative T[2]' value. If that value is the known public key of the Merkle tree, then we can assume that the value T[2]' he got was the correct T[2] value in the original tree, and so the T[5]' value he got was the correct T[5] value in the original tree, and so the OTS public key is the same as in the original, and hence is correct.

### 5.4.1. LMS Signature Generation

To compute the LMS signature of a message with an LMS private key, the signer first computes the LM-OTS signature of the message using the leaf number of the next unused LM-OTS private key. The leaf number q in the signature is set to the leaf number of the LMS private key that was used in the signature. Before releasing the signature, the leaf number q in the LMS private key MUST be incremented, to prevent the LM-OTS private key from being used again. If the LMS private key is maintained in nonvolatile memory, then the implementation MUST ensure that the incremented value has been stored before releasing the signature. The issue this tries to prevent is a scenario where a) we generate a signature, using one LM-OTS private key, and release it to the application, b) before we update the nonvolatile memory, we crash, and c) we reboot, and generate a second signature using the same LM-OTS private key; with two different signatures using the same LM-OTS private key, someone could potentially generate a forged signature of a third message.

The array of node values in the signature MAY be computed in any way. There are many potential time/storage tradeoffs that can be applied. The fastest alternative is to store all of the nodes of the tree and set the array in the signature by copying them; pseudocode to do so appears in Appendix D. The least storage intensive alternative is to recompute all of the nodes for each signature. Note that the details of this procedure are not important for interoperability; it is not necessary to know any of these details in order to perform the signature verification operation. The internal nodes of the tree need not be kept secret, and thus a node-caching scheme that stores only internal nodes can sidestep the need for strong protections.

Several useful time/storage tradeoffs are described in the 'Small-Memory LM Schemes' section of [USPT05432852].

## 5.4.2. LMS Signature Verification

An LMS signature is verified by first using the LM-OTS signature verification algorithm (Algorithm 4b) to compute the LM-OTS public key from the LM-OTS signature and the message. The value of that public key is then assigned to the associated leaf of the LMS tree, then the root of the tree is computed from the leaf value and the array path[] as described in Algorithm 6 below. If the root value matches the public key, then the signature is valid; otherwise, the signature fails.

Algorithm 6: LMS Signature Verification

- if the public key is not at least eight bytes long, return INVALID
- 2. parse pubtype, I, and T[1] from the public key as follows:
  - a. pubtype = strTou32(first 4 bytes of public key)
  - b. ots\_typecode = strTou32(next 4 bytes of public key)
  - c. set m according to pubtype, based on Table 2
  - d. if the public key is not exactly 24 + m bytes
    long, return INVALID
  - e. I = next 16 bytes of the public key
  - f. T[1] = next m bytes of the public key
- 3. compute the LMS Public Key Candidate Tc from the signature, message, identifier, pubtype and ots\_typecode using Algorithm 6a.
- 4. if Tc is equal to T[1], return VALID; otherwise, return INVALID

Algorithm 6a: Computing an LMS Public Key Candidate from a Signature, Message, Identifier, and algorithm typecode

- 1. if the signature is not at least eight bytes long, return INVALID
- 2. parse sigtype, q, lmots\_signature, and path from the signature as
   follows:
  - a. q = strTou32(first 4 bytes of signature)
  - b. otssigtype = strTou32(next 4 bytes of signature)
  - c. if otssigtype is not the OTS typecode from the public key, return  ${\tt INVALID}$
  - d. set n, p according to otssigtype and Table 1; if the signature is not at least 12 + n \* (p + 1) bytes long, return INVALID
  - e. lmots\_signature = bytes 4 through 7 + n \* (p + 1)
     of signature
  - f. sigtype = strTou32(bytes 8 + n \* (p + 1)) through

```
11 + n * (p + 1) of signature)
 f. if sigtype is not the LM typecode from the public key,
        return INVALID
 g. set m, h according to sigtype and Table 2
 h. if q \ge 2^h or the signature is not exactly
     12 + n * (p + 1) + m * h bytes long,
        return INVALID
  i. set path as follows:
       path[0] = next m bytes of signature
       path[1] = next m bytes of signature
       path[h-1] = next m bytes of signature
3. Kc = candidate public key computed by applying Algorithm 4b
  to the signature lmots_signature, the message, and the
   identifiers I, q
4. compute the candidate LMS root value Tc as follows:
  node_num = 2^h + q
  tmp = H(I || u32str(node_num) || u16str(D_LEAF) || Kc)
  i = 0
  while (node_num > 1) {
     if (node_num is odd):
      tmp = H(I||u32str(node_num/2)||u16str(D_INTR)||path[i]||tmp)
      tmp = H(I||u32str(node_num/2)||u16str(D_INTR)||tmp||path[i])
    node_num = node_num/2
    i = i + 1
  Tc = tmp
```

### 6. Hierarchical signatures

5. return Tc

In scenarios where it is necessary to minimize the time taken by the public key generation process, a Hierarchical N-time Signature System (HSS) can be used. This hierarchical scheme, which we describe in this section, uses the LMS scheme as a component. In HSS, we have a sequence of L LMS trees, where the public key for the first LMS tree is included in the public key of the HSS system, and where each LMS private key signs the next LMS public key, and where the last LMS private key signs the actual message. For example, if we have a three level hierarchy (L=3), then to sign a message, we would have:

The first LMS private key (level 0) signs a level 1 LMS public key.

The second LMS private key (level 1) signs a level 2 LMS public key.

The third LMS private key (level 2) signs the message.

The root of the level 0 LMS tree is contained in the HSS public key.

To verify the LMS signature, we would verify all the signatures:

We would verify that the level 1 LMS public key is correctly signed by the level 0 signature.

We would verify that the level 2 LMS public key is correctly signed by the level 1 signature.

We would verify that the message is correctly signed by the level 2 signature.

We would accept the HSS signature only if all the signatures validated.

During the signature generation process, we sign messages with the lowest (level L-1) LMS tree. Once we have used all the leafs in that tree to sign messages, we would discard it, generate a fresh LMS tree, and sign it with the next (level L-2) LMS tree (and when that is used up, recursively generate and sign a fresh level L-2 LMS tree).

HSS, in essence, utilizes a tree of LMS trees. There is a single LMS tree at level 0 (the root). Each LMS tree (actually, the private key corresponding to the LMS tree) at level i is used to sign  $2^h$  objects (where h is the height of trees at level i). If i < L-1, then each object will be another LMS tree (actually, the public key) at level i+1; if i = L-1, we've reached the bottom of the HSS tree, and so each object is a message from the application. The HSS public key contains the public key of the LMS tree at the root, and an HSS signature is associated with a path from the root of the HSS tree to the leaf.

Compared to LMS, HSS has a much reduced public key generation time, as only the root tree needs to be generated prior to the distribution of the HSS public key. For example, a L=3 tree (with h=10 at each level) would have 1 level 0 LMS tree, 2^10 level 1 LMS trees (with each such level 1 public key signed by one of the 1024 level 0 OTS public keys), and 2^20 level 2 LMS trees. Only 1024 OTS public keys

need to be computed to generate the HSS public key (as you need to compute only the level 0 LMS tree to compute that value; you can, of course, decide to compute the initial level 1 and level 2 LMS trees). And, the 2^20 level 2 LMS trees can jointly sign a total of over a billion messages. In contrast, a single LMS tree that could sign a billion messages would require a billion OTS public keys to be computed first (even if h=30 were allowed in a supported parameter set).

Each LMS tree within the hierarchy is associated with a distinct LMS public key, private key, signature, and identifier. The number of levels is denoted L, and is between one and eight, inclusive. The following notation is used, where i is an integer between 0 and L-1 inclusive, and the root of the hierarchy is level 0:

prv[i] is the current LMS private key of the i-th level.

pub[i] is the current LMS public key of the i-th level, as
described in Section 5.3.

sig[i] is the LMS signature of public key pub[i+1] generated using
the private key prv[i].

It is expected that the above arrays are maintained for the course of the HSS key. The contents of the prv[] array MUST be kept private; the pub[] and sig[] array may be revealed, should the implementation find that convienent.

In this section, we say that an N-time private key is exhausted when it has generated N signatures, and thus it can no longer be used for signing.

For i > 0, the values prv[i], pub[i] and (for all values of i) sig[i] will be updated over time, as private keys are exhausted, and replaced by newer keys.

When these keys pairs are updated (or initially generated before the first message is signed), then the LMS key generation processes outlined in sections Section 5.2 and Section 5.3 are performed. If the generated key pairs are for level i of the HSS hierarchy, then we store the public key in  $\operatorname{pub}[i]$  and the private key in  $\operatorname{prv}[i]$ . In addition, if i > 0, then we sign the generated  $\operatorname{public}$  key with the LMS private key at level i-1, placing the signature into  $\operatorname{sig}[i-1]$ . When the LMS key pair are generated, the key pair and the corresponding identifier MUST be generated indepently of all other keypairs.

HSS allows L=1, in which case the HSS public key and signature formats are essentially the LMS public key and signature formats, prepended by a fixed field. Since HSS with L=1 has very little overhead compared to LMS, all implementations MUST support HSS in order to maximize interoperability.

We specifically allow different LMS levels to use different parameter sets. For example, the 0-th LMS public key (the root) may use the LMS\_SHA256\_M32\_H15 parameter set, while the 1-th public key may use LMS\_SHA256\_M32\_H10. There are practical reasons to allow this; for one, the signer may decide to store parts of the 0-th LMS tree (that it needs to construct while computing the public key) to accelerate later operations. As the 0-th tree is never updated, these internal nodes will never need to be recomputed. In addition, during the signature generation operation, almost all the operations involved with updating the authentication path occurs with the bottom (L-1th) LMS public key; hence it may be useful to make the tree that implements that to be shorter.

A close reading of the HSS verification pseudocode would show that it would allow the parameters of the non-top LMS public keys to change over time; for example, the signer might initially have the 1-th LMS public key to use LMS\_SHA256\_M32\_H10, but when that tree is exhausted, the signer might replace it with LMS\_SHA256\_M32\_H15 LMS public key. While this would work with the example verification pseudocode, the signer MUST NOT change the parameter sets for a specific level. This prohibition is to support verifiers that may keep state over the course of several signature verifications.

## 6.1. Key Generation

The public key of the HSS scheme consists of the number of levels L, followed by pub[0], the public key of the top level.

The HSS private key consists of prv[0], ..., prv[L-1], along with the associated pub[0], ..., pub[L-1] and sig[0], ..., sig[L-2] values. As stated earlier, the values of the pub[] and sig[] arrays need not be kept secret, but may be revealed. The value of pub[0] does not change (and, except for the index q, the value of prv[0] need not change), though the values of pub[i] and prv[i] are dynamic for i > 0, and are changed by the signature generation algorithm.

During the key generation, the public and private keys are initialized. Here is some pseudocode that explains the key generation logic

### Algorithm 7: Generating an HSS keypair

- generate an LMS key pair, as specified in sections 5.2 and 5.3, placing the private key into priv[0], and the public key into pub[0]
- 2. for i = 1 to L-1 do {
   generate an LMS key pair, placing the private key into priv[i]
   and the public key into pub[i]

```
sig[i-1] = lms_signature( pub[i], priv[i-1] )
}
```

3. return u32str(L)  $\mid \mid$  pub[0] as the public key, and the priv[], pub[] and sig[] arrays as the private key

In the above algorithm, each LMS public/private keypair generated MUST be generated indepedently.

Note that the value of the public key does not depend on the execution of step 2. As a result, an implementation may decide to delay step 2 until later, for example, during the initial signature generation operation.

## 6.2. Signature Generation

To sign a message using an HSS keypair, the following steps are performed:

If prv[L-1] is exhausted, then determine the smallest integer d such that all of the private keys prv[d], prv[d+1], ..., prv[L-1] are exhausted. If d is equal to zero, then the HSS key pair is exhausted, and it MUST NOT generate any more signatures.

Otherwise, the key pairs for levels d through L-1 must be regenerated during the signature generation process, as follows. For i from d to L-1, a new LMS public and private key pair with a new identifier is generated, pub[i] and prv[i] are set to those values, then the public key pub[i] is signed with prv[i-1], and sig[i-1] is set to the resulting value.

The message is signed with prv[L-1], and the value sig[L-1] is set to that result.

The value of the HSS signature is set as follows. We let signed\_pub\_key denote an array of octet strings, where signed\_pub\_key[i] = sig[i] || pub[i+1], for i between 0 and Nspk-1, inclusive, where Nspk = L-1 denotes the number of signed public

```
keys. Then the HSS signature is u32str(Nspk) ||
signed_pub_key[0] || ... || signed_pub_key[Nspk-1] || sig[Nspk].
```

Note that the number of signed\_pub\_key elements in the signature is indicated by the value Nspk that appears in the initial four bytes of the signature.

Here is some pseudocode of the above logic

Algorithm 8: Generating an HSS signature

 If the message-signing key prv[L-1] is exhausted, regenerate that key pair, together with any parent key pairs that might be necessary.

If the root key pair is exhausted, then the HSS key pair is exhausted and it MUST NOT generate any more signatures.

```
d = L
   while (prv[d-1].q == 2^(prv[d-1].h)) {
       d = d - 1
       if (d == 0)
           return FAILURE
   while (d < L) {
       create lms keypair pub[d], prv[d]
       sig[d-1] = lms_signature( pub[d], prv[d-1] )
       d = d + 1
    }
 2. sign the message
   sig[L-1] = lms_signature( msg, prv[L-1] )
 3. Create the list of signed public keys
   i = 0;
   while (i < L-1) {
       signed_pub_key[i] = sig[i] || pub[i+1]
       i = i + 1
    }
 4. return u32str(L-1) || signed_pub_key[0] || ...
                             || signed_pub_key[L-2] || sig[L-1]
In the specific case of L=1, the format of an HSS signature is
  u32str(0) || sig[0]
```

In the general case, the format of an HSS signature is

### 6.3. Signature Verification

To verify a signature S and message using the public key pub, the following steps are performed:

```
The signature S is parsed into its components as follows:
Nspk = strTou32(first four bytes of S)
if Nspk+1 is not equal to the number of levels L in pub:
  return INVALID
for (i = 0; i < Nspk; i = i + 1) {
   siglist[i] = next LMS signature parsed from S
  publist[i] = next LMS public key parsed from S
siglist[Nspk] = next LMS signature parsed from S
key = pub
for (i = 0; i < Nspk; i = i + 1) {
  sig = siglist[i]
   msq = publist[i]
   if (lms_verify(msg, key, sig) != VALID):
      return INVALID
  key = msg
return lms_verify(message, key, siglist[Nspk])
```

Since the length of an LMS signature cannot be known without parsing it, the HSS signature verification algorithm makes use of an LMS signature parsing routine that takes as input a string consisting of an LMS signature with an arbitrary string appended to it, and returns both the LMS signature and the appended string. The latter is passed on for further processing.

# 6.4. Parameter Set Recommendations

As for guidance as to the number of LMS level, and the size of each, any discussion of performance is implementation specific. In general, the sole drawback for a single LMS tree is the time it takes

to generate the public key; as every LM-OTS public key needs to be generated, the time this takes can be substantial. For a two level tree, only the top level LMS tree and the initial bottom level LMS tree needs to be generated initially (before the first signature is generated); this will in general be significantly quicker.

To give a general idea on the trade-offs available, we include some measurements taken with the github.com/cisco/hash-sigs LMS implementation, taken on a 3.3 GHz Xeon processor, with threading enabled. We tried various parameter sets, all with W=8 (which minimizes signature size, while increasing time). These are here to give a guideline as to what's possible; for the computational time, your mileage may vary, depending on the computing resources you have. The machine these tests were performed on does not have the SHA-256 extensions; you could possibly do significantly better.

ParmSet	KeyGenTime	SigSize	KeyLifetime
15	6 sec	1616	30 seconds
20	   3 min	1776	16 minutes
25	   1.5 hour	1936	9 hours
15/10	   6 sec	3172	9 hours
15/15	   6 sec	3332	12 days
20/10	   3 min	3332	12 days
20/15	   3 min	3492	1 year
25/10	   1.5 hour	3492	1 year
25/15	   1.5 hour	3652	   34 years

Table 3

ParmSet: this is the height of the Merkle tree(s); parameter sets listed as a single integer have L=1, and consist a single Merkle tree of that height; parameter sets with L=2 are listed as x/y, with x being the height of the top level Merkle tree, and y being the bottom level.

KeyGenTime: the measured key generation time; that is, the time needed to generate the public private key pair.

SigSize: the size of a signature (in bytes)

KeyLifetime: the lifetime of a key, assuming we generated 1000 signatures per second. In practice, we're not likely to get anywhere close to 1000 signatures per second sustained; if you have a more appropriate figure for your scenario, this column is pretty easy to recompute.

As for signature generation or verification times, those are moderately insensitive to the above parameter settings (except for the Winternitz setting, and the number of Merkle trees for verification). Tests on the same machine (without multithreading) gave approximately 4msec to sign a short message, 2.6msec to verify; these tests used a two level ParmSet; a single level would approximately halve the verification time. All times can be significantly improved (by perhaps a factor of 8) by using a parameter set with W=4; however that also about doubles the signature size.

#### 7. Rationale

The goal of this note is to describe the LM-OTS, LMS and HSS algorithms following the original references and present the modern security analysis of those algorithms. Other signature methods are out of scope and may be interesting follow-on work.

We adopt the techniques described by Leighton and Micali to mitigate attacks that amortize their work over multiple invocations of the hash function.

The values taken by the identifier I across different LMS public/private key pairs are chosen randomly in order to improve security. The analysis of this method in [Fluhrer17] shows that we do not need uniqueness to ensure security; we do need to ensure that we don't have a large number of private keys that use the same I value. By randomly selecting 16 byte I values, the chance that, out of 2^64 private keys, 4 or more of them will use the same I value is negligible (that is, has probability less than 2^-128).

The reason 16 bytes I values were selected was to optimize the Winternitz hash chain operation. With the current settings, the value being hashed is exactly 55 bytes long (for a 32 byte hash function), which SHA-256 can hash in a single hash compression operation. Other hash functions may be used in future specifications; all the ones that we will be likely to support (SHA-512/256 and the various SHA-3 hashes) would work well with a 16-byte I value.

The signature and public key formats are designed so that they are relatively easy to parse. Each format starts with a 32-bit enumeration value that indicates the details of the signature algorithm and provides all of the information that is needed in order to parse the format.

The Checksum Section 4.4 is calculated using a non-negative integer "sum", whose width was chosen to be an integer number of w-bit fields such that it is capable of holding the difference of the total possible number of applications of the function H as defined in the signing algorithm of Section 4.5 and the total actual number. In the case that the number of times H is applied is 0, the sum is  $(2^w - 1)$  (8\*n/w). Thus for the purposes of this document, which describes signature methods based on H = SHA256 (n = 32 bytes) and w = { 1, 2, 4, 8 }, the sum variable is a 16-bit non-negative integer for all combinations of n and w. The calculation uses the parameter 1s defined in Section 4.1 and calculated in Appendix B, which indicates the number of bits used in the left-shift operation.

#### 7.1. Security String

To improve security against attacks that amortize their effort against multiple invocations of the hash function, Leighton and Micali introduce a "security string" that is distinct for each invocation of that function. Whenever this process computes a hash, the string being hashed will start with a string formed from the below fields. These fields will appear in fixed locations in the value we compute the hash of, and so we list where in the hash these fields would be present. These fields that make up this string are:

- I a 16-byte identifier for the LMS public/private key pair. It MUST be chosen uniformly at random, or via a pseudorandom process, at the time that a key pair is generated, in order to minimize the probability that any specific value of I be used for a large number of different LMS private keys. This is always bytes 0-15 of the value being hashed.
- r in the LMS N-time signature scheme, the node number r associated with a particular node of a hash tree is used as an input to the hash used to compute that node. This value is represented as a 32-bit (four byte) unsigned integer in network byte order. Either r or q (depending on the domain separation parameter) will be bytes 16-19 of the value being hashed.
- ${\tt q}$  in the LMS N-time signature scheme, each LM-OTS signature is associated with the leaf of a hash tree, and  ${\tt q}$  is set to the leaf number. This ensures that a distinct value of  ${\tt q}$  is used for each distinct LM-OTS public/private key pair. This value is

represented as a 32-bit (four byte) unsigned integer in network byte order. Either r or q (depending on the domain separation parameter) will be bytes 16-19 of the value being hashed.

D - a domain separation parameter, which is a two byte identifier that takes on different values in the different contexts in which the hash function is invoked. D occurs in bytes 20, 21 of the value being hashed and takes on the following values:

 $D_{PBLC} = 0x8080$  when computing the hash of all of the iterates in the LM-OTS algorithm

 $\ensuremath{\mathsf{D}}\xspace_{-}\xspace$  = 0x8181 when computing the hash of the message in the LM-OTS algorithms

 $D\_LEAF = 0x8282$  when computing the hash of the leaf of an LMS tree

 $D_{\_INTR} = 0x8383$  when computing the hash of an interior node of an LMS tree

- i a value between 0 and 264; this is used in the LM-OTS scheme, when either computing the iterations of the Winternitz chain, or when using the suggested LM-OTS private key generation process. It is represented as a 16-bit (two-byte) unsigned integer in network byte order. If present, it occurs at bytes 20, 21 of the value being hashed.
- j in the LM-OTS scheme, j is the iteration number used when the private key element is being iteratively hashed. It is represented as an 8-bit (one byte) unsigned integer and is present if i is a value between 0 and 264. If present, it occurs at bytes 22 to 21+n of the value being hashed.
- C an n-byte randomizer that is included with the message whenever it is being hashed to improve security. C MUST be chosen uniformly at random, or via a pseudorandom process. It is present if  $D=D\_MESG$ , and it occurs at bytes 22 to 21+n of the value being hashed.

## 8. IANA Considerations

The Internet Assigned Numbers Authority (IANA) is requested to create two registries: one for OTS signatures, which includes all of the LM-OTS signatures as defined in Section 4, and one for Leighton-Micali Signatures, as defined in Section 5.

Additions to these registries require that a specification be documented in an RFC or another permanent and readily available reference in sufficient detail that interoperability between independent implementations is possible. IANA MUST verify that all applications for additions to these registries hve first been reviewed by the IRTF Crypto Forum Research Group (CFRG).

Each entry in the registry contains the following elements:

- a short name, such as "LMS\_SHA256\_M32\_H10",
- a positive number, and
- a reference to a specification that completely defines the signature method test cases that can be used to verify the correctness of an implementation.

The numbers between 0xDDDDDDDD (decimal 3,722,304,989) and 0xFFFFFFFF (decimal 4,294,967,295) inclusive, will not be assigned by IANA, and are reserved for private use; no attempt will be made to prevent multiple sites from using the same value in different (and incompatible) ways [RFC2434].

	The	LM-OTS	registry	is	as	follows.
--	-----	--------	----------	----	----	----------

Name	Reference	Numeric Identifier
Reserved		0x00000000
LMOTS_SHA256_N32_W1	   Section 4	0x0000001
LMOTS_SHA256_N32_W2	   Section 4	0x0000002
LMOTS_SHA256_N32_W4	   Section 4	0x0000003
   LMOTS_SHA256_N32_W8	Section 4	   0x0000004

Table 4

The LMS registry is as follows.

+	+	++
Name	Reference	Numeric Identifier
Reserved	+   	0x0 - 0x4
LMS_SHA256_M32_H5	   Section 5	0x0000005
LMS_SHA256_M32_H10	   Section 5 	0x0000006
LMS_SHA256_M32_H15	   Section 5	   0x0000007   
LMS_SHA256_M32_H20	   Section 5 	   0x0000008   
LMS_SHA256_M32_H25	Section 5	   0x0000009   

Table 5

An IANA registration of a signature system does not constitute an endorsement of that system or its security.

The LM-OTS and the LMS registries currently occupy a disjoint set of values. This coincidence is a historical accident; the correctness of the system does not depend on this. IANA is not required to maintain this situation.

# 9. Security Considerations

The hash function H MUST have second preimage resistance: it must be computationally infeasible for an attacker that is given one message M to be able to find a second message M' such that H(M) = H(M').

The security goal of a signature system is to prevent forgeries. A successful forgery occurs when an attacker who does not know the private key associated with a public key can find a message (distinct from all previously signed ones) and signature that is valid with that public key (that is, the Signature Verification algorithm applied to that signature and message and public key will return VALID). Such an attacker, in the strongest case, may have the ability to forge valid signatures for an arbitrary number of other messages.

LMS is provably secure in the random oracle model, where the hash compression function is considered the random oracle, as shown by [Fluhrer17]. Corollary 1 of that paper states:

If we have no more than  $2^64$  randomly chosen LMS private keys, allow the attacker access to a signing oracle and a SHA-256 hash

compression oracle, and allow a maximum of  $2^120$  hash compression computations, then the probability of an attacker being able to generate a single forgery against any of those LMS keys is less than  $2^129$ .

Many of the objects within the public key and the signature start with a typecode. A verifier MUST check each of these typecodes, and a verification operation on a signature with an unknown type, or a type that does not correspond to the type within the public key MUST return INVALID. The expected length of a variable-length object can be determined from its typecode, and if an object has a different length, then any signature computed from the object is INVALID.

## 9.1. Hash Formats

The format of the inputs to the hash function H have the property that each invocation of that function has an input that is repeated by a small bounded number of other inputs (due to potential repeats of the I value), and in particular, will vary somewhere in the first 23 bytes of the value being hashed. This property is important for a proof of security in the random oracle model.

The formats used during key generation and signing (including the recommended pseudorandom key generation procedure in Appendix A):

```
I || u32str(q) || u16str(i) || u8str(j) || tmp
I || u32str(q) || u16str(D_PBLC) || y[0] || ... || y[p-1]
I || u32str(q) || u16str(D_MESG) || C || message
I || u32str(r) || u16str(D_LEAF) || OTS_PUB_HASH[r-2^h]
I || u32str(r) || u16str(D_INTR) || T[2*r] || T[2*r+1]
I || u32str(q) || u16str(i) || u8str(0xff) || SEED
```

Each hash type listed is distinct; at locations 20, 21 of the value being hashed, there exists either a fixed value D\_PBLC, D\_MESG, D\_LEAF, D\_INTR, or a 16 bit value i. These fixed values are distinct from each other, and are large (over 32768), while the 16 bit values of i are small (currently no more than 265; possibly being slightly larger if larger hash functions are supported); hence the range of possible values of i will not collide any of the D\_PBLC, D\_MESG, D\_LEAF, D\_INTR identifiers. The only other collision possibility is the Winternitz chain hash colliding with the recommended pseudorandom key generation process; here, at location 22 of the value being hashed, the Winternitz chain function has the value u8str(j), where j is a value between 0 and 254, while location 22 of the recommended pseudorandom key generation process has value 255.

For the Winternitz chaining function,  $D_PBLC$ , and  $D_MESG$ , the value of I  $\mid \mid$  u32str(q) is distinct for each LMS leaf (or equivalently, for

each q value). For the Winternitz chaining function, the value of  $u16str(i) \mid \mid u8str(j)$  is distinct for each invocation of H for a given leaf. For D\_PBLC and D\_MESG, the input format is used only once for each value of q, and thus distinctness is assured. The formats for D\_INTR and D\_LEAF are used exactly once for each value of r, which ensures their distinctness. For the recommended pseudorandom key generation process, for a given value of I, q and j are distinct for each invocation of H.

The value of I is chosen uniformly at random from the set of all 128 bit strings. If 2^64 public keys are generated (and hence 2^64 random I values), there is a nontrivial probability of a duplicate (which would imply duplicate prefixes). However, there will be an extremely high probability there will not be a four-way collision (that is, any I value used for four distinct LMS keys; probability < 2^-132), and hence the number of repeats for any specific prefix will be limited to at most 3. This is shown (in [Fluhrer17]) to have only a limited effect on the security of the system.

#### 9.2. Stateful signature algorithm

The LMS signature system, like all N-time signature systems, requires that the signer maintain state across different invocations of the signing algorithm, to ensure that none of the component one-time signature systems are used more than once. This section calls out some important practical considerations around this statefulness. These issues are discussed in greater detail in [STMGMT].

In a typical computing environment, a private key will be stored in non-volatile media such as on a hard drive. Before it is used to sign a message, it will be read into an application's Random Access Memory (RAM). After a signature is generated, the value of the private key will need to be updated by writing the new value of the private key into non-volatile storage. It is essential for security that the application ensure that this value is actually written into that storage, yet there may be one or more memory caches between it and the application. Memory caching is commonly done in the file system, and in a physical memory unit on the hard disk that is dedicated to that purpose. To ensure that the updated value is written to physical media, the application may need to take several special steps. In a POSIX environment, for instance, the O\_SYNC flag (for the open() system call) will cause invocations of the write() system call to block the calling process until the data has been written to the underlying hardware. However, if that hardware has its own memory cache, it must be separately dealt with using an operating system or device specific tool such as hdparm to flush the on-drive cache, or turn off write caching for that drive. Because these details vary across different operating systems and devices,

this note does not attempt to provide complete guidance; instead, we call the implementer's attention to these issues.

When hierarchical signatures are used, an easy way to minimize the private key synchronization issues is to have the private key for the second level resident in RAM only, and never write that value into non-volatile memory. A new second level public/private key pair will be generated whenever the application (re)starts; thus, failures such as a power outage or application crash are automatically accommodated. Implementations SHOULD use this approach wherever possible.

## 9.3. Security of LM-OTS Checksum

To show the security of LM-OTS checksum, we consider the signature y of a message with a private key x and let h = H(message) and c = Cksm(H(message)) (see Section 4.5). To attempt a forgery, an attacker may try to change the values of h and c. Let h' and c' denote the values used in the forgery attempt. If for some integer j in the range 0 to u, where u = ceil(8\*n/w) is the size of the range that the checksum value can cover, inclusive,

```
a' = coef(h', j, w),
a = coef(h, j, w), and
a' > a
```

then the attacker can compute  $F^a(x[j])$  from  $F^a(x[j]) = y[j]$  by iteratively applying function F to the j-th term of the signature an additional (a'-a) times. However, as a result of the increased number of hashing iterations, the checksum value c' will decrease from its original value of c. Thus a valid signature's checksum will have, for some number k in the range u to (p-1), inclusive,

```
b' = coef(c', k, w),
b = coef(c, k, w), and
b' < b</pre>
```

Due to the one-way property of F, the attacker cannot easily compute  $F^b'(x[k])$  from  $F^b(x[k]) = y[k]$ .

## 10. Comparison with other work

The eXtended Merkle Signature Scheme (XMSS) [XMSS], [RFC8391] is similar to HSS in several ways. Both are stateful hash based signature schemes, and both use a hierarchical approach, with a Merkle tree at each level of the hierarchy. XMSS signatures are slightly shorter than HSS signatures, for equivalent security and an equal number of signatures.

HSS has several advantages over XMSS. HSS operations are roughly four times faster than the comparable XMSS ones, when SHA256 is used as the underlying hash. This occurs because the hash operation done as a part of the Winternitz iterations dominates performance, and XMSS performs four compression function invocations (two for the PRF, two for the F function) where HSS needs only perform one. Additionally, HSS is somewhat simpler (as each hash invocation is just a prefix followed by the data being hashed).

## 11. Acknowledgements

Thanks are due to Chirag Shroff, Andreas Huelsing, Burt Kaliski, Eric Osterweil, Ahmed Kosba, Russ Housley, Philip Lafrance, Alexander Truskovsky, Mark Peruzel for constructive suggestions and valuable detailed review. We especially acknowledge Jerry Solinas, Laurie Law, and Kevin Igoe, who pointed out the security benefits of the approach of Leighton and Micali [USPTO5432852] and Jonathan Katz, who gave us security guidance, and Bruno Couillard and Jim Goodman for an especially thorough review.

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## Appendix A. Pseudorandom Key Generation

An implementation MAY use the following pseudorandom process for generating an LMS private key.

SEED is an m-byte value that is generated uniformly at random at the start of the process,

- I is LMS key pair identifier,
- q denotes the LMS leaf number of an LM-OTS private key,
- $x_q$  denotes the x array of private elements in the LM-OTS private key with leaf number q,
- i is the index of the private key element, and
- H is the hash function used in LM-OTS.

The elements of the LM-OTS private keys are computed as:

```
x_q[i] = H(I \mid | u32str(q) \mid | u16str(i) \mid | u8str(0xff) \mid | SEED).
```

This process stretches the m-byte random value SEED into a (much larger) set of pseudorandom values, using a unique counter in each invocation of H. The format of the inputs to H are chosen so that they are distinct from all other uses of H in LMS and LM-OTS. A careful reader will note that this is similar to the hash we perform

when iterating through the Winternitz chain; however in that chain, the iteration index will vary between 0 and 254 maximum (for W=8), while the corresponding value in this formula is 255. This algorithm is included in the proof of security in [Fluhrer17] and hence this method is safe when used within the LMS system; however any other cryptographical secure method of generating private keys would also be safe.

## Appendix B. LM-OTS Parameter Options

The LM-OTS one time signature method uses several internal parameters, which are a function of the selected parameter set. These internal parameters set:

p - This is the number of independent Winternitz chains used in the signature; it will be the number of w-bit digits needed to hold the n-bit hash (u in the below equations), along with the number of digits needed to hold the checksum (v in the below equations)

ls - This is the size of the shift needed to move the checksum so that it appears in the checksum digits

ls is needed because, while we express the checksum internally as a 16 bit value, we don't always express all 16 bits in the signature; for example, if w=4, we might use only the top 12 bits. Because we read the checksum in network order, this means that, without the shift, we'll use the higher order bits (which may be always 0), and omit the lower order bits (where the checksum value actually resides). This shift is here to ensure that the parts of the checksum we need to express (for security) actually contribute to the signature; when multiple such shifts are possible, we take the minimal value.

The parameters ls, and p are computed as follows:

```
u = ceil(8*n/w)
v = ceil((floor(lg((2^w - 1) * u)) + 1) / w)
ls = 16 - (v * w)
p = u + v
```

Here u and v represent the number of w-bit fields required to contain the hash of the message and the checksum byte strings, respectively. And as the value of p is the number of w-bit elements of ( H(message) || Cksm(H(message))), it is also equivalently the number of byte strings that form the private key and the number of byte strings in the signature. The value 16 in the ls computation of ls corresponds to the 16 bits value used for the sum variable in Algorithm 2 in Section 4.4

A table illustrating various combinations of n and w with the associated values of u, v, ls, and p is provided in Table 6.

Hash Length in Bytes (n)	Winternitz   Parameter   (w)	w-bit   Elements   in Hash   (u)	w-bit Elements in Checksum (v)	Left Shift (ls)	Total     Number of     w-bit     Elements     (p)
32	1	256	9	   7	265
32	   2	   128	5	   6	133
32	   4	   64	3	   4	
32	8	32	2	0	34

Table 6

Appendix C. An iterative algorithm for computing an LMS public key

The LMS public key can be computed using the following algorithm or any equivalent method. The algorithm uses a stack of hashes for data. It also makes use of a hash function with the typical init/update/final interface to hash functions; the result of the invocations hash\_init(), hash\_update(N[1]), hash\_update(N[2]), ..., hash\_update(N[n]), v = hash\_final(), in that order, is identical to that of the invocation of  $H(N[1] \mid \mid N[2] \mid \mid ... \mid \mid N[n])$ .

Generating an LMS Public Key from an LMS Private Key

```
for ( i = 0; i < 2^h; i = i + 1 ) {
    r = i + num_lmots_keys;
    temp = H(I || u32str(r) || u16str(D_LEAF) || OTS_PUB_HASH[i])
    j = i;
    while (j % 2 == 1) {
        r = (r - 1)/2;
        j = (j-1) / 2;
        left_side = pop(data stack);
        temp = H(I || u32str(r) || u16str(D_INTR) || left_side || temp)
    }
    push temp onto the data stack
}
public_key = pop(data stack)</pre>
```

Note that this pseudocode expects that all  $2^h$  leaves of the tree have equal depth; that is, num\_lmots\_keys to be a power of 2. The maximum depth of the stack will be h-1 elements, that is, a total of  $(h-1)^n$  bytes; for the currently defined parameter sets, this will never be more than 768 bytes of data.

Appendix D. Method for deriving authentication path for a signature

The LMS signature consists of u32str(q) || lmots\_signature || u32str(type) || path[0] || path[1] || ... || path[h-1]. This appendix shows one method of constructing this signature, assuming that the implementation has stored the T[] array that was used to construct the public key. Note that this is not the only possible method; other methods exist which don't assume that you have the entire T[] array in memory. To construct a signature, you perform the following algorithm:

Generating an LMS Signature

- 1. set type to the typecode of the LMS algorithm
- 2. extract h from the typecode according to table 2
- 3. create the LM-OTS signature for the message:
   ots\_signature = lmots\_sign(message, LMS\_PRIV[q])

```
4. compute the array path as follows:
    i = 0
    r = 2^h + q
    while (i < h) {
        temp = (r / 2^i) xor 1
        path[i] = T[temp]
        i = i + 1
}</pre>
```

- 5. S = u32str(q) || ots\_signature || u32str(type) || path[0] || path[1] || ... || path[h-1]
- 6. q = q + 1
- 7. return S

where 'xor' is the bitwise exclusive-or operation, and / is integer division (that is, rounded down to an integer value)

## Appendix E. Example Implementation

An example implementation can be found online at https://github.com/cisco/hash-sigs.

## Appendix F. Test Cases

This section provides test cases that can be used to verify or debug an implementation. This data is formatted with the name of the elements on the left, and the value of the elements on the right, in hexadecimal. The concatenation of all of the values within a public key or signature produces that public key or signature, and values that do not fit within a single line are listed across successive lines.

Test Case 1	Public Key	
HSS public :	key 00000002	
LMS type LMOTS type I K	00000005 00000004 61a5d57d37f5e46bfb7520806b07a1b8 50650e3b31fe4a773ea29a07f09cf2ea 30e579f0df58ef8e298da0434cb2b878	# LM_SHA256_M32_H5 # LMOTS_SHA256_N32_W8
Test Case 1	Message	
Message	54686520706f77657273206e6f742064 656c65676174656420746f2074686520 556e6974656420537461746573206279 2074686520436f6e737469747574696f 6e2c206e6f722070726f686962697465 6420627920697420746f207468652053 74617465732c20617265207265736572 76656420746f20746865205374617465 7320726573706563746976656c792c20 6f7220746f207468652070656f706c65 2e0a	The powers not d elegated to the United States by the Constitution, nor prohibited by it to the S tates, are reserved to the States respectively, or to the people
Test Case 1	Signature	
HSS signatu Nspk sig[0]:	re 0000001	
LMS signatu	re 0000005	
LMOTS signa LMOTS type C y[0]	ture 00000004 d32b56671d7eb98833c49b433c272586 bc4a1c8a8970528ffa04b966f9426eb9 965a25bfd37f196b9073f3d4a232feb6 9128ec45146f86292f9dff9610a7bf95	# LMOTS_SHA256_N32_W8

y[1]

a64c7f60f6261a62043f86c70324b770 7f5b4a8a6e19c114c7be866d488778a0

y[2]	e05fd5c6509a6e61d559cf1a77a970de
y[3]	927d60c70d3de31a7fa0100994e162a2 582e8ff1b10cd99d4e8e413ef469559f
λſЭl	7d7ed12c838342f9b9c96b83a4943d16
y[4]	81d84b15357ff48ca579f19f5e71f184
y L I I	66f2bbef4bf660c2518eb20de2f66e3b
y[5]	14784269d7d876f5d35d3fbfc7039a46
y [ 3 ]	2c716bb9f6891a7f41ad133e9e1f6d95
y[6]	60b960e7777c52f060492f2d7c660e14
<i>y</i> [ 0 ]	71e07e72655562035abc9a701b473ecb
y[7]	c3943c6b9c4f2405a3cb8bf8a691ca51
71,1	d3f6ad2f428bab6f3a30f55dd9625563
y[8]	f0a75ee390e385e3ae0b906961ecf41a
λίο]	e073a0590c2eb6204f44831c26dd768c
y[9]	35b167b28ce8dc988a3748255230cef9
λινι	9ebf14e730632f27414489808afab1d1
y[10]	e783ed04516de012498682212b078105
λίτοl	79b250365941bcc98142da13609e9768
y[11]	aaf65de7620dabec29eb82a17fde35af
λίττι	15ad238c73f81bdb8dec2fc0e7f93270
y[12]	1099762b37f43c4a3c20010a3d72e2f6
λίτς]	06be108d310e639f09ce7286800d9ef8
y[13]	ala40281cc5a7ea98d2adc7c7400c2fe
λίτοl	5a101552df4e3cccfd0cbf2ddf5dc677
y[14]	9cbbc68fee0c3efe4ec22b83a2caa3e4
λίτ <del>τ</del> ]	8e0809a0a750b73ccdcf3c79e6580c15
y[15]	4f8a58f7f24335eec5c5eb5e0cf01dcf
Y L T D J	4439424095fceb077f66ded5bec73b27
y[16]	c5b9f64a2a9af2f07c05e99e5cf80f00
Y L T O J	252e39db32f6c19674f190c9fbc506d8
y[17]	26857713afd2ca6bb85cd8c107347552
γ L ± 7 J	f30575a5417816ab4db3f603f2df56fb
y[18]	c413e7d0acd8bdd81352b2471fc1bc4f
Y L T O J	lef296fea1220403466blafe78b94f7e
y[19]	cf7cc62fb92be14f18c2192384ebceaf
λιτοι	8801afdf947f698ce9c6ceb696ed70e9
y[20]	e87b0144417e8d7baf25eb5f70f09f01
7:201	6fc925b4db048ab8d8cb2a661ce3b57a
y[21]	da67571f5dd546fc22cb1f97e0ebd1a6
y [ Z I ]	5926b1234fd04f171cf469c76b884cf3
y[22]	115cce6f792cc84e36da58960c5f1d76
7 [ 22 ]	0f32c12faef477e94c92eb75625b6a37
y[23]	lefc72d60ca5e908b3a7dd69fef02491
7 0 .	50e3eebdfed39cbdc3ce9704882a2072
y[24]	c75e13527b7a581a556168783dc1e975
7 1	45e31865ddc46b3c957835da252bb732
y[25]	8d3ee2062445dfb85ef8c35f8e1f3371
7 0 1	af34023cef626e0af1e0bc017351aae2
	als 102500102000al100b0017551aac2

Hash-Based	Cianaturaa	January	2010
nasii-paseu	STAHALITES	ualiual v	ムロエラ

y[26]	ab8f5c612ead0b729a1d059d02bfe18e fa971b7300e882360a93b025ff97e9e0		
y[27]	eec0f3f3f13039a17f88b0cf808f4884 31606cb13f9241f40f44e537d302c64a		
y[28]	4f1f4ab949b9feefadcb71ab50ef27d6 d6ca8510f150c85fb525bf25703df720		
y[29]	9b6066f09c37280d59128d2f0f637c7d 7d7fad4ed1c1ea04e628d221e3d8db77		
y[30]	b7c878c9411cafc5071a34a00f4cf077 38912753dfce48f07576f0d4f94f42c6		
y[31]	d76f7ce973e9367095ba7e9a3649b7f4 61d9f9ac1332a4d1044c96aefee67676		
y[32]	401b64457c54d65fef6500c59cdfb69a f7b6dddfcb0f086278dd8ad0686078df		
y[33]	b0f3f79cd893d314168648499898fbc0 ced5f95b74e8ff14d735cdea968bee74		
LMS type	0000005	# LM_SHA256_M32_H5	
path[0]	d8b8112f9200a5e50c4a262165bd342c d800b8496810bc716277435ac376728d		
path[1]	129ac6eda839a6f357b5a04387c5ce97 382a78f2a4372917eefcbf93f63bb591		
path[2]	12f5dbe400bd49e4501e859f885bf073 6e90a509b30a26bfac8c17b5991c157e		
path[3]	b5971115aa39efd8d564a6b90282c316 8af2d30ef89d51bf14654510a12b8a14		
path[4]	4cca1848cf7da59cc2b3d9d0692dd2a2 0ba3863480e25b1b85ee860c62bf5136		
LMS public	kev		
LMS type	0000005	# LM_SHA256_M32_H5	
LMOTS type	00000004	# LMOTS_SHA256_N32_W8	
I K	d2f14ff6346af964569f7d6cb880alb6 6c5004917da6eafe4d9ef6c6407b3db0		
	e5485b122d9ebe15cda93cfec582d7ab		
final_signa	ture:		
LMS signatu	re		
d d	0000000a		
LMOTS signa	ture		
LMOTS type	00000004	# LMOTS_SHA256_N32_W8	
С	0703c491e7558b35011ece3592eaa5da 4d918786771233e8353bc4f62323185c		
y[0]	95cae05b899e35dffd71705470620998		
-	8ebfdf6e37960bb5c38d7657e8bffeef		
y[1]	9bc042da4b4525650485c66d0ce19b31		

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	7587c6ba4bffcc428e25d08931e72dfb
y[2]	6a120c5612344258b85efdb7db1db9e1
_	865a73caf96557eb39ed3e3f426933ac
y[3]	9eeddb03a1d2374af7bf771855774562
•	37f9de2d60113c23f846df26fa942008
y[4]	a698994c0827d90e86d43e0df7f4bfcd
•	b09b86a373b98288b7094ad81a0185ac
y[5]	100e4f2c5fc38c003c1ab6fea479eb2f
•	5ebe48f584d7159b8ada03586e65ad9c
y[6]	969f6aecbfe44cf356888a7b15a3ff07
•	4f771760b26f9c04884ee1faa329fbf4
y[7]	e61af23aee7fa5d4d9a5dfcf43c4c26c
1	e8aea2ce8a2990d7ba7b57108b47dabf
y[8]	beadb2b25b3cacclac0cef346cbb90fb
1	044beee4fac2603a442bdf7e507243b7
y[9]	319c9944b1586e899d431c7f91bcccc8
1 1 - 1	690dbf59b28386b2315f3d36ef2eaa3c
y[10]	f30b2b51f48b71b003dfb08249484201
2 2 2 2	043f65f5a3ef6bbd61ddfee81aca9ce6
y[11]	0081262a00000480dcbc9a3da6fbef5c
1	1c0a55e48a0e729f9184fcb1407c3152
y[12]	9db268f6fe50032a363c9801306837fa
1	fabdf957fd97eafc80dbd165e435d0e2
y[13]	dfd836a28b354023924b6fb7e48bc0b3
1	ed95eea64c2d402f4d734c8dc26f3ac5
y[14]	91825daef01eae3c38e3328d00a77dc6
1	57034f287ccb0f0e1c9a7cbdc828f627
y[15]	205e4737b84b58376551d44c12c3c215
1	c812a0970789c83de51d6ad787271963
y[16]	327f0a5fbb6b5907dec02c9a90934af5
	alc63b72c82653605d1dcce51596b3c2
y[17]	b45696689f2eb382007497557692caac
•	4d57b5de9f5569bc2ad0137fd47fb47e
y[18]	664fcb6db4971f5b3e07aceda9ac130e
	9f38182de994cff192ec0e82fd6d4cb7
y[19]	f3fe00812589b7a7ce51544045643301
•	6b84a59bec6619a1c6c0b37dd1450ed4
y[20]	f2d8b584410ceda8025f5d2d8dd0d217
•	6fc1cf2cc06fa8c82bed4d944e71339e
y[21]	ce780fd025bd41ec34ebff9d4270a322
•	4e019fcb444474d482fd2dbe75efb203
y[22]	89cc10cd600abb54c47ede93e08c114e
•	db04117d714dc1d525e11bed8756192f
y[23]	929d15462b939ff3f52f2252da2ed64d
	8fae88818b1efa2c7b08c8794fb1b214
y[24]	aa233db3162833141ea4383f1a6f120b
<u> </u>	eldb82ce3630b3429114463157a64e91
y[25]	234d475e2f79cbf05e4db6a9407d72c6
<del>-</del>	

	bff7d1198b5c4d6aad2831db61274993					
y[26]	715a0182c7dc8089e32c8531deed4f74					
	31c07c02195eba2ef91efb5613c37af7					
y[27]	ae0c066babc69369700e1dd26eddc0d2					
	16c781d56e4ce47e3303fa73007ff7b9					
y[28]	49ef23be2aa4dbf25206fe45c20dd888					
	395b2526391a724996a44156beac8082					
y[29]	12858792bf8e74cba49dee5e8812e019					
	da87454bff9e847ed83db07af3137430					
y[30]	82f880a278f682c2bd0ad6887cb59f65					
	2e155987d61bbf6a88d36ee93b6072e6					
y[31]	656d9ccbaae3d655852e38deb3a2dcf8					
	058dc9fb6f2ab3d3b3539eb77b248a66					
y[32]	1091d05eb6e2f297774fe6053598457c					
	c61908318de4b826f0fc86d4bb117d33					
y[33]	e865aa805009cc2918d9c2f840c4da43					
	a703ad9f5b5806163d7161696b5a0adc					
LMS type	0000005	#	T.M	_SHA25	6 M32	ᄪᄃ
path[0]	d5c0d1bebb06048ed6fe2ef2c6cef305	#	1111	_SIIAZ J	0_14152_	_113
pacif o j	b3ed633941ebc8b3bec9738754cddd60					
path[1]	e1920ada52f43d055b5031cee6192520					
pacifi	d6a5115514851ce7fd448d4a39fae2ab					
path[2]	2335b525f484e9b40d6a4a969394843b					
pacif 21	dcf6d14c48e8015e08ab92662c05c6e9					
path[3]	f90b65a7a6201689999f32bfd368e5e3					
Parolito 1	ec9cb70ac7b8399003f175c40885081a					
path[4]						
Porotti 1	(19ab3()34911te125631()51dt()4()8b394					
	09ab3034911fe125631051df0408b394 6b0bde790911e8978ba07dd56c73e7ee					
	09ab3034911fe125631051df0408b394 6b0bde790911e8978ba07dd56c73e7ee					
Test Case 2						
Test Case 2	6b0bde790911e8978ba07dd56c73e7ee					
	6b0bde790911e8978ba07dd56c73e7ee Private Key					
	6b0bde790911e8978ba07dd56c73e7ee  Private Key edure in Appendix A is used)					
(note: prod	6b0bde790911e8978ba07dd56c73e7ee Private Key					
(note: prod	6b0bde790911e8978ba07dd56c73e7ee  Private Key  edure in Appendix A is used) 000102030405060708090a0b0c0d0e0f					
(note: proc	6b0bde790911e8978ba07dd56c73e7ee  Private Key  edure in Appendix A is used) 000102030405060708090a0b0c0d0e0f 101112131415161718191a1b1c1d1e1f					

Test Case 2 Public Key -----HSS public key levels 00000002 \_\_\_\_\_\_ LMS type 00000006 # LM\_SHA256\_M32\_H10 LMOTS type 00000003 # LMOTS SHA256 N32 W4 d08fabd4a2091ff0a8cb4ed834e74534 I K 32a58885cd9ba0431235466bff9651c6 c92124404d45fa53cf161c28f1ad5a8e \_\_\_\_\_ Test Case 2 Message 54686520656e756d65726174696f6e20 The enumeration Message 696e2074686520436f6e737469747574 in the Constitut 696f6e2c206f66206365727461696e20 ion, of certain 7269676874732c207368616c6c206e6f rights, shall no 7420626520636f6e7374727565642074 t be construed t 6f2064656e79206f7220646973706172 o deny or dispar 616765206f7468657273207265746169 age others retai 6e6564206279207468652070656f706c ned by the peopl 652e0a e.. \_\_\_\_\_ Test Case 2 Signature -----HSS signature Nspk 0000001 siq[0]: \_\_\_\_\_ LMS signature q 00000003 LMOTS signature LMOTS type 00000003 # LMOTS\_SHA256\_N32\_W4 C 3d46bee8660f8f215d3f96408a7a64cf 1c4da02b63a55f62c666ef5707a914ce

y[0]

y[1]

y[2]

0674e8cb7a55f0c48d484f31f3aa4af9 719a74f22cf823b94431d01c926e2a76

bb71226d279700ec81c9e95fb11a0d10 d065279a5796e265ae17737c44eb8c59

4508e126a9a7870bf4360820bdeb9a01 d9693779e416828e75bddd7d8c70d50a

у[3]	0ac8ba39810909d445f44cb5bb58de73
5.4.3	7e60cb4345302786ef2c6b14af212ca1
y[4]	9edeaa3bfcfe8baa6621ce88480df237
[ - ]	1dd37add732c9de4ea2ce0dffa53c926
y[5]	49a18d39a50788f4652987f226a1d481
[ ( )	68205df6ae7c58e049a25d4907edc1aa
у[б]	90da8aa5e5f7671773e941d805536021 5c6b60dd35463cf2240a9c06d694e9cb
[7]	54e7b1e1bf494d0d1a28c0d31acc7516
y[7]	1f4f485dfd3cb9578e836ec2dc722f37
y[8]	ed30872e07f2b8bd0374eb57d22c614e
λίοl	09150f6c0d8774a39a6e168211035dc5
y[9]	2988ab46eaca9ec597fb18b4936e66ef
λιγι	2f0df26e8d1e34da28cbb3af75231372
y[10]	0c7b345434f72d65314328bbb030d0f0
71201	f6d5e47b28ea91008fb11b05017705a8
y[11]	be3b2adb83c60a54f9d1d1b2f476f9e3
71221	93eb5695203d2ba6ad815e6a111ea293
y[12]	dcc21033f9453d49c8e5a6387f588b1e
2 3	a4f706217c151e05f55a6eb7997be09d
y[13]	56a326a32f9cba1fbe1c07bb49fa04ce
	cf9df1a1b815483c75d7a27cc88ad1b1
y[14]	238e5ea986b53e087045723ce16187ed
_	a22e33b2c70709e53251025abde89396
y[15]	45fc8c0693e97763928f00b2e3c75af3
	942d8ddaee81b59a6f1f67efda0ef81d
y[16]	11873b59137f67800b35e81b01563d18
	7c4a1575a1acb92d087b517a8833383f
y[17]	05d357ef4678de0c57ff9f1b2da61dfd
	e5d88318bcdde4d9061cc75c2de3cd47
y[18]	40dd7739ca3ef66f1930026f47d9ebaa
	713b07176f76f953e1c2e7f8f271a6ca
y[19]	375dbfb83d719b1635a7d8a138919579
	44b1c29bb101913e166e11bd5f34186f
y[20]	a6c0a555c9026b256a6860f4866bd6d0
	b5bf90627086c6149133f8282ce6c9b3
y[21]	622442443d5eca959d6c14ca8389d12c
	4068b503e4e3c39b635bea245d9d05a2
y[22]	558f249c9661c0427d2e489ca5b5dde2
	20a90333f4862aec793223c781997da9
y[23]	8266c12c50ea28b2c438e7a379eb106e
[24]	ca0c7fd6006e9bf612f3ea0a454ba3bd
y[24]	b76e8027992e60de01e9094fddeb3349
[0[]	883914fb17a9621ab929d970d101e45f
y[25]	8278c14b032bcab02bd15692d21b6c5c
37[26]	204abbf077d465553bd6eda645e6c306 5d33b10d518a61e15ed0f092c3222628
y[26]	1a29c8a0f50cde0a8c66236e29c2f310
	1027C000130C0C000C00230C23C21310

y[27]	a375cebda1dc6bb9a1a01dae6c7aba8e
y[28]	bedc6371a7d52aacb955f83bd6e4f84d 2949dcc198fb77c7e5cdf6040b0f84fa
y [ 20 ]	f82808bf985577f0a2acf2ec7ed7c0b0
1.001	ae8a270e951743ff23e0b2dd12e9c3c8
y[29]	28fb5598a22461af94d568f29240ba28
1201	
у[30]	20c4591f71c088f96e095dd98beae456
[21]	579ebbba36f6d9ca2613d1c26eee4d8c
y[31]	73217ac5962b5f3147b492e8831597fd 89b64aa7fde82e1974d2f6779504dc21
y[32]	435eb3109350756b9fdabe1c6f368081
λ[2 <u>7</u> ]	bd40b27ebcb9819a75d7df8bb07bb05d
y[33]	blbab705a4b7e37125186339464ad8fa
λ[22]	aa4f052cc1272919fde3e025bb64aa8e
y[34]	0eb1fcbfcc25acb5f718ce4f7c2182fb
λ[2 <del>4</del> ]	
[25]	393a1814b0e942490e52d3bca817b2b2
y[35]	6e90d4c9b0cc38608a6cef5eb153af08 58acc867c9922aed43bb67d7b33acc51
[ 26 ]	9313d28d41a5c6fe6cf3595dd5ee63f0
y[36]	a4c4065a083590b275788bee7ad875a7
[27]	f88dd73720708c6c6c0ecf1f43bbaada
y[37]	
1001	e6f208557fdc07bd4ed91f88ce4c0de8
у[38]	42761c70c186bfdafafc444834bd3418
[20]	be4253a71eaf41d718753ad07754ca3e
у[39]	ffd5960b0336981795721426803599ed
[40]	5b2b7516920efcbe32ada4bcf6c73bd2
y[40]	9e3fa152d9adeca36020fdeeee1b7395
[41]	21d3ea8c0da497003df1513897b0f547
y[41]	94a873670b8d93bcca2ae47e64424b74
[ 40 ]	23e1f078d9554bb5232cc6de8aae9b83
y[42]	fa5b9510beb39ccf4b4e1d9c0f19d5e1
	7f58e5b8705d9a6837a7d9bf99cd1338
y[43]	7af256a8491671f1f2f22af253bcff54
	b673199bdb7d05d81064ef05f80f0153
y[44]	d0be7919684b23da8d42ff3effdb7ca0
	985033f389181f47659138003d712b5e
y[45]	c0a614d31cc7487f52de8664916af79c
	98456b2c94a8038083db55391e347586
y[46]	2250274a1de2584fec975fb09536792c
	fbfcf6192856cc76eb5b13dc4709e2f7
y[47]	301ddff26ec1b23de2d188c999166c74
	ele14bbc15f457cf4e471ae13dcbdd9c
y[48]	50f4d646fc6278e8fe7eb6cb5c94100f
	a870187380b777ed19d7868fd8ca7ceb
y[49]	7fa7d5cc861c5bdac98e7495eb0a2cee
	c1924ae979f44c5390ebedddc65d6ec1
у[50]	1287d978b8df064219bc5679f7d7b264
	a76ff272b2ac9f2f7cfc9fdcfb6a5142

1 - 1	-1.	_	
Hash-Based	Signatures	January	2019

LMS type path[0]	00000006 b326493313053ced3876db9d23714818	#	LM_
1.001	956598848bda678615fec28afd5da61a		
y[66]	294546454fa5388a23a22e805a5ca35f		
λ [ Ω Ͻ ]	1ba16203c959c91829ba7497cffcbb4b		
y[65]	c05518a7efd35d89d8577c990a5e1996		
λιοπι	98a470a0bceca191fce476f9370021cb		
y[64]	d4a8b6f04d95c581279a139be09fcf6e		
1 [ 0 2 ]	df0136b1bc614ab575c59a16d089917b		
y[63]	b1c78725c1f8f922f6009787b1964247		
Y [ ∪ 2 ]	c018a65f3dc2b87ff619a633c41b4fad		
y[62]	46a24bf77e383c7aacab1ab692b29ed8		
λιοτι	12897abf0685288dcc5c4982f8260268		
y[61]	8bfc3d20a2148861b2afc14562ddd27f		
λ[00]	322dba8f69931cf42fad3f3bce6ded5b		
y[60]	057fa3419b5bb0e25d30981e41cb1361		
λ[23]	ec82e06034c44699b5f0c44a8787752e		
y[59]	cc5a8a335d3619d781e7454826df720e		
λ[20]	2f65043f2d744eeb935ba7f4ef23cf80		
y[58]	05ff5453ec99897b56bc55dd49b99114		
λ[2/]	686acf6e3ee48f423766e0fc466810a9		
y[57]	29c2f4dcd153a2742574126e5eaccc77		
λ[20]	b2dbccbf96fa6dbaf7321fb0e701f4d4		
y[56]	4a662ecae37ede27e9d6eadfdeb8f8b2		
λſɔɔl	bc2852f62eb5d39bb9fbeef75916efe4		
y[55]	beeecaa04dccea9f97786001475e0294		
y[54]	9ee612c83d0f5fd56f7cd071930e2946		
**[E/I]	bc85a3ff51efeea3bc2cf27e1658f178		
λ[33]	940355fb54d3dfdd03633ae108f3de3e		
y[53]	da93d9f5f6fa6f6c0f03ce43362b8414		
λ[27]	1572538ec6bc131034ca2894eb736b3b		
y[52]	d839f851f98f67840b964ebe73f8cec4		
λ[2T]	0ed70f87299dd798d68f4fadd3da6c51		
y[51]	8240027afd9d52a79b647c90c2709e06		

M\_SHA256\_M32\_H10

LMS type	0000006
path[0]	b326493313053ced3876db9d23714818
	1b7173bc7d042cefb4dbe94d2e58cd21
path[1]	a769db4657a103279ba8ef3a629ca84e
	e836172a9c50e51f45581741cf808315
path[2]	0b491cb4ecbbabec128e7c81a46e62a6
	7b57640a0a78be1cbf7dd9d419a10cd8
path[3]	686d16621a80816bfdb5bdc56211d72c
	a70b81f1117d129529a7570cf79cf52a
path[4]	7028a48538ecdd3b38d3d5d62d262465
	95c4fb73a525a5ed2c30524ebb1d8cc8
path[5]	2e0c19bc4977c6898ff95fd3d310b0ba
	e71696cef93c6a552456bf96e9d075e3
path[6]	83bb7543c675842bafbfc7cdb88483b3
	276c29d4f0a341c2d406e40d4653b7e4

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path[7]	d045851acf6a0a0ea9c710b805cced46		
	35ee8c107362f0fc8d80c14d0ac49c51		
path[8]	6703d26d14752f34c1c0d2c4247581c1		
	8c2cf4de48e9ce949be7c888e9caebe4		
path[9]	a415e291fd107d21dc1f084b11582082		
	49f28f4f7c7e931ba7b3bd0d824a4570		
LMS public	kev		
	0000005	#	LM_SHA256_M32_H5
LMOTS type			LMOTS_SHA256_N32_W8
I	215f83b7ccb9acbcd08db97b0d04dc2b	"	
K	a1cd035833e0e90059603f26e07ad2aa		
10	d152338e7a5e5984bcd5f7bb4eba40b7		
final_signa	ture:		
LMS signatu	are		
q	0000004		
LMOTS signa			
LMOTS type		#	LMOTS_SHA256_N32_W8
С	0eb1ed54a2460d512388cad533138d24		
	0534e97b1e82d33bd927d201dfc24ebb		
y[0]	11b3649023696f85150b189e50c00e98		
	850ac343a77b3638319c347d7310269d		
y[1]	3b7714fa406b8c35b021d54d4fdada7b		
	9ce5d4ba5b06719e72aaf58c5aae7aca		
y[2]	057aa0e2e74e7dcfd17a0823429db629		
	65b7d563c57b4cec942cc865e29c1dad		
y[3]	83cac8b4d61aacc457f336e6a10b6632		
	3f5887bf3523dfcadee158503bfaa89d		
y[4]	c6bf59daa82afd2b5ebb2a9ca6572a60		
	67cee7c327e9039b3b6ea6a1edc7fdc3		
y[5]	df927aade10c1c9f2d5ff446450d2a39		
	98d0f9f6202b5e07c3f97d2458c69d3c		
y[6]	8190643978d7a7f4d64e97e3f1c4a08a		
_	7c5bc03fd55682c017e2907eab07e5bb		
y[7]	2f190143475a6043d5e6d5263471f4ee		
= -	cf6e2575fbc6ff37edfa249d6cda1a09		
y[8]	f797fd5a3cd53a066700f45863f04b6c		
<del></del>	8a58cfd341241e002d0d2c0217472bf1		
y[9]	8b636ae547c1771368d9f317835c9b0e		
7 1	f430b3df4034f6af00d0da44f4af7800		
y[10]	bc7a5cf8a5abdb12dc718b559b74cab9		
\ r \ T \ O \ ]	090e33cc58a955300981c420c4da8ffd		
y[11]	67df540890a062fe40dba8b2c1c548ce		
λ [ Τ Τ ]	d22473219c534911d48ccaabfb71bc71		
vr[10]	862f4a24ebd376d288fd4e6fb06ed870		
y[12]	00214a24eb03/00288104e01b0608/0		

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	5787c5fedc813cd2697e5b1aac1ced45
y[13]	767b14ce88409eaebb601a93559aae89
	3e143d1c395bc326da821d79a9ed41dc
y[14]	fbe549147f71c092f4f3ac522b5cc572
	90706650487bae9bb5671ecc9ccc2ce5
y[15]	1ead87ac01985268521222fb9057df7e
	d41810b5ef0d4f7cc67368c90f573b1a
y[16]	c2ce956c365ed38e893ce7b2fae15d36
	85a3df2fa3d4cc098fa57dd60d2c9754
y[17]	a8ade980ad0f93f6787075c3f680a2ba
	1936a8c61d1af52ab7e21f416be09d2a
y[18]	8d64c3d3d8582968c2839902229f85ae
	e297e717c094c8df4a23bb5db658dd37
y[19]	7bf0f4ff3ffd8fba5e383a48574802ed
	545bbe7a6b4753533353d73706067640
y[20]	135a7ce517279cd683039747d218647c
_	86e097b0daa2872d54b8f3e508598762
y[21]	9547b830d8118161b65079fe7bc59a99
_	e9c3c7380e3e70b7138fe5d9be255150
y[22]	2b698d09ae193972f27d40f38dea264a
<b>1</b>	0126e637d74ae4c92a6249fa103436d3
y[23]	eb0d4029ac712bfc7a5eacbdd7518d6d
<b>1</b>	4fe903a5ae65527cd65bb0d4e9925ca2
y[24]	4fd7214dc617c150544e423f450c99ce
7	51ac8005d33acd74f1bed3b17b7266a4
y[25]	a3bb86da7eba80b101e15cb79de9a207
7 3	852cf91249ef480619ff2af8cabca831
y[26]	25d1faa94cbb0a03a906f683b3f47a97
7.201	c871fd513e510a7a25f283b196075778
y[27]	496152a91c2bf9da76ebe089f4654877
7.271	f2d586ae7149c406e663eadeb2b5c7e8
y[28]	2429b9e8cb4834c83464f079995332e4
71201	b3c8f5a72bb4b8c6f74b0d45dc6c1f79
y[29]	952c0b7420df525e37c15377b5f09843
y [ 20 ]	19c3993921e5ccd97e097592064530d3
y[30]	3de3afad5733cbe7703c5296263f7734
À[20]	2efbf5a04755b0b3c997c4328463e84c
y[31]	aa2de3ffdcd297baaaacd7ae646e44b5
λίρτι	c0f16044df38fabd296a47b3a838a913
y[32]	982fb2e370c078edb042c84db34ce36b
λ[2 <u>7</u> ]	46ccb76460a690cc86c302457dd1cde1
**[ 3 2 ]	97ec8075e82b393d542075134e2a17ee
y[33]	70a5e187075d03ae3c853cff60729ba4
	/vajeio/v/juvjaejuoj3CIIOV/2yDa4
T.MQ tamo	00000005 # LM SHA256 M32 H5
LMS type path[0]	4delf6965bdabc676c5a4dc7c35f97f8
Pacii[0]	2cb0e31c68d04f1dad96314ff09e6b3d
na+h[1]	e96aeee300d1f68bf1bca9fc58e40323
path[1]	E30aEEE300UII100DIIDCa31C30E40323

	36cd819aaf578744e50d1357a0e42867
path[2]	04d341aa0a337b19fe4bc43c2e79964d
	4f351089f2e0e41c7c43ae0d49e7f404
path[3]	b0f75be80ea3af098c9752420a8ac0ea
	2bbb1f4eeba05238aef0d8ce63f0c6e5
path[4]	e4041d95398a6f7f3e0ee97cc1591849
	d4ed236338b147abde9f51ef9fd4e1c1

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