

We will prove that quantum channel capacity is given by coherent information.

Coherent Information

$$I_c(\mathcal{N}, \rho) = \text{max} H(\mathcal{N}(\rho)) - H(I \otimes \mathcal{N}(\Phi_\rho))$$

ρ density matrix, $\mathcal{N}(\rho) = \sum_k A_k \rho A_k^\dagger$, $\sum_k A_k^\dagger A_k = I$
is a noisy quantum channel

Quantum Channel Capacity

$$Q(\mathcal{N}) = \lim_{n \rightarrow \infty} \sup \frac{\log d}{n} \quad \{d, n \text{ such that}$$

\exists d -dimensional subspace $S \subseteq \mathcal{H}_{\text{input}}^{\otimes n}$

$$\int_{|v\rangle \in S} \langle v | \mathcal{N}(|v\rangle\langle v|) | v \rangle d|v\rangle > 1 - \epsilon$$

This is the average fidelity criterion.
Equivalent to several other fidelity criteria (see Barnum, Knill, Nielsen)

①

Coherent information for a channel

$$I_c(\mathcal{N}) = \max_{\rho} I_c(\mathcal{N}, \rho) = \max_{\rho} H(\mathcal{N}(\rho)) - H(I \otimes \mathcal{N}(\mathbb{E}_{\rho}))$$

This is not additive. For a channel \mathcal{N} which is nearly too noisy to carry quantum information, (qubit depolarizing)

$$\frac{1}{3} I_c(\mathcal{N}^{\otimes 3}) > I_c(\mathcal{N})$$

Easy: $I_c(\mathcal{N} \otimes \mathcal{M}) \geq I_c(\mathcal{N}) + I_c(\mathcal{M})$

Thus, to get maximum quantum channel capacity, we need to take limits

Conjecture (Schumacher, 1995)

$$\lim_{n \rightarrow \infty} \frac{1}{n} I_c(\mathcal{N}^{\otimes n}) = Q(\mathcal{N})$$

One direction (\leq) proved by Barnum, Nielsen, Schumacher

We prove the coherent information is achievable

Proof strategy

First, do case $\rho = \mathbb{1}/d$ (maximally mixed state)
~~Second~~ $\mathcal{N}(\rho) = \mathbb{1}/d_{\text{out}}$ "

②

Second, generalize to all ρ .

Lemma, (essentially Choi's theorem)

$$\text{Let } \Phi_+ = \frac{1}{\sqrt{d}} \sum_{i=1}^d |e_i\rangle |e_i\rangle$$

Given a channel \mathcal{N} , with

$$H(I \otimes \mathcal{N}(\Phi_+)) = \sum_{i=1}^{d^2} -\lambda_i \log \lambda_i$$

λ_i : eigenvalues

Then, ~~if~~ if $\rho = \frac{1}{d} \mathbb{1}$ (so Φ_+ is a purification of ρ)

There exist A_k , $\sum A_k^\dagger A_k = \mathbb{1}$

$$\mathcal{N}(\rho) = \sum_k A_k \rho A_k^\dagger$$

and $\text{Tr } A_k \rho A_k^\dagger = \lambda_k$

$$\text{Tr } A_k \rho A_j^\dagger = 0, \quad j \neq k$$

for $\rho = \frac{1}{d} \mathbb{1}$

Proof of lemma

$$\text{Let } I \otimes \mathcal{N}(|\Phi_+\rangle\langle\Phi_+|) = \sum_k \lambda_k |v_k\rangle\langle v_k|$$

so $|v_k\rangle$ are eigenvectors of $I \otimes \mathcal{N}(\dots)$

$$\text{Let } |v_k\rangle = \sum_{ij} \alpha_{ijk} |e_i\rangle |e_j\rangle$$

basis expansion of $|v_k\rangle$

$$\text{Let } A_k = \sqrt{d} \sqrt{\lambda_k} \sum_{ij} d_{ijk} |e_j\rangle\langle e_i|$$

$$\text{Then } I \otimes A_k |\Phi_+\rangle =$$

$$\frac{1}{\sqrt{d}} I \otimes A_k \sum_e |e_e\rangle |e_e\rangle =$$

$$\sqrt{\lambda_k} \sum_e |e_e\rangle \left(\sum_{ij} d_{ijk} |e_i\rangle\langle e_j| \right) |e_e\rangle$$

$$= \sqrt{\lambda_k} \sum_{ij} |e_e\rangle d_{ijk} |e_j\rangle = \sqrt{\lambda_k} |v_k\rangle$$

$$\text{Tr } A_k \left(\frac{1}{d} \mathbb{1} \right) A_k^\dagger$$

$$= \text{Tr} \frac{1}{d} d \lambda_k \sum_{ij} d_{ijk} |e_j\rangle\langle e_i| \sum_{i'j'k'} d_{i'j'k}^* |e_i'\rangle\langle e_j'|$$

$$= \lambda_k \sum_{ij} d_{ijk} d_{ijk}^* = \lambda_k$$

$$\textcircled{4} \text{ and } \text{Tr } A_k \left(\frac{1}{d} \mathbb{1} \right) A_{k'}^\dagger = \sqrt{\lambda_k} \sqrt{\lambda_{k'}} \sum_{ij} d_{ijk} d_{ijk'}^* = 0$$

AED

We now prove, for $\rho = \frac{1}{d} \mathbb{1}$,
that we can achieve capacity

$$-\log \lambda_{\max} \mathcal{N}(\rho) - I_0 \mathcal{N}(\Phi_+)$$

Here $\lambda_{\max} \mathcal{N}(\rho)$ is largest eigenvalue.

This gives Theorem (coherent inf capacity)
directly when $\rho = \frac{1}{d} \mathbb{1}$ and $\mathcal{N}(\rho) = \frac{1}{d_{\text{out}}} \mathbb{1}$

$$\left(\text{since } -\log \lambda_{\max} \frac{1}{d_{\text{out}}} \mathbb{1} = H\left(\frac{1}{d_{\text{out}}} \mathbb{1}\right) \right)$$

The coherent capacity theorem for general ρ can be derived from this

Method of proof

- 1) choose random subspace
- 2) show there is a decoding operation that recovers a random state in this subspace w.h.p.

Random subspace S

Choose $|v_1\rangle, |v_2\rangle, \dots$ randomly
with $\langle v_i | v_j \rangle = \delta_{ij}$, basis vectors
for random subspace S

$$\mathcal{N}^{\otimes n}(\sigma) = \sum_K A_K(\sigma) A_K^\dagger$$

$$\text{for } A_K = A_{K_1} \otimes A_{K_2} \otimes \dots \otimes A_{K_n}$$

Kronecker elements tensor products
of individual A_{K_i}

Definition

A_K is typical if
 A_t appears approximately $\lambda_t n$
times in A_K , $1 \leq t \leq d^2$

Consider the set $\{A_K | v_i\rangle\}$,
 A_K typical, $|v_i\rangle$ basis vector of S
we show

- 1) There is a POVM which identifies
a random element of this set w. h. p.
- 2) $A_K |v_i\rangle$ and $A_K |v_j\rangle$ have nearly
the same length, for fixed A_K and
random $|v_i\rangle, |v_j\rangle$

(6)

~~First~~ First, we show that we can identify $A_K |v\rangle$ w. h. p. for typical K , random v .

let $|v\rangle = \sum \alpha_i |v_i\rangle$ be a state in random subspace (random state)

$$A_K |v\rangle \rightarrow \sum \alpha_i A_K |v_i\rangle$$

Since we can identify A_K w. h. p., there is a measurement which ~~has high fidelity with $A_K |v\rangle$ and~~ identifies K and does not disturb $A_K |v\rangle$ much (only works if K typical, but A_K typical w. h. p.)

We thus now have a state which has high fidelity with $A_K |v\rangle$. Thus, we need only show we can restore $A_K |v\rangle$ to $|v\rangle$ with high fidelity.

Might be able to use random matrix theorems for this part.

Alternatively, there is a transformation taking $A_K |v_i\rangle$ to $|v_i^v\rangle$ with high fidelity, since in $A_K |v_i\rangle$, i can be identified w. h. p.

(7)

Need to show can go from $A_K |v\rangle$ back to $|v\rangle$

We have $|v\rangle = \sum_i \alpha_i |v_i\rangle$, $|v_i\rangle$ are our random basis vectors of subspace.

We have square root recovery measurement $\{|m_i\rangle\langle m_i|\}$ which identifies q_i from $A_K |v_i\rangle$ with high probability

Consider recovery operator

$$R = \sum_i |v_i\rangle\langle m_i|$$

Know $\langle m_i | A_K |v_i\rangle \geq (1-\epsilon) |A_K |v_i\rangle|$

$$R A_K |v\rangle = \sum_i \alpha_i |v_i\rangle \langle m_i | A_K |v_i\rangle + \sum_{i \neq j} \alpha_i |v_j\rangle \langle m_j | A_K |v_i\rangle$$

~~Hi~~ recovery operator thus gives state with high fidelity

to

$$\sum_i \alpha_i |v_i\rangle \langle m_i | A_K |v_i\rangle \approx \sum_i \alpha_i |v_i\rangle |A_K |v_i\rangle|$$

this term is small because α_i have random phases.

Need $|A_K |v_i\rangle|$ is very close for nearly all $|v_i\rangle$

(5)

At this point, we need to use Barnum, Knill, Nielsen.

They show that to correct the subspace generated by $|v_1\rangle, \dots, |v_{d_{min}}\rangle$ it is enough to show that the correction works well on two ensembles, on average (i.e., has high fidelity on these ensembles.)

The first is the ensemble $\{|v_i\rangle\}$ of basis vectors.

The second is the ensemble $\left\{ \frac{1}{\sqrt{d_{min}}} (e^{i\theta_1}|v_1\rangle + e^{i\theta_2}|v_2\rangle + \dots + e^{i\theta_{d_{min}}}|v_{d_{min}}\rangle) \right\}$ of superpositions of basis vectors with random phases.

These two conditions imply that entanglement fidelity (i.e., fidelity with maximally entangled states) is high.

From this, it follows there is a smaller subspace with high fidelity on all vectors.

We can prove these ensembles work in our case

(8/2)

Gaussian random variables

We use complex Gaussian random vars.

$$g_I = g_{I_x} + i g_{I_y}$$

$$\bar{E} g_I = 0, \quad \bar{E} g_I g_I^* = 1, \quad \bar{E} g_I^2 g_I^{*2} = 2$$

Now, a random vector can be obtained by choosing

$$|v\rangle = \frac{\sum g_I |e_I\rangle}{\sqrt{\sum g_I g_I^*}} \approx \frac{1}{d^{n/2}} \sum g_I |e_I\rangle$$

Sum over all basis vectors $|e_I\rangle$

The rest of the proof involves manipulation of Gaussian random variables

First, we compute $E |A_K |v_i\rangle|^2$

Approximating $|v\rangle \approx \frac{1}{d^{n/2}} \sum g_I |e_I\rangle$

gives a very slightly non-normalized state, so does not significantly change the result.

$$E \langle v | A_K^\dagger A_K | v \rangle =$$

$$\frac{1}{d^n} E \sum_{I, I'} \langle e_I | A_K^\dagger A_K | e_{I'} \rangle g_I g_{I'}^*$$

This expectation is 0 if $I \neq I'$, since $E g_I g_{I'}^* = 0$. Also $E g_I g_I^* = 1$.

$$= \frac{1}{d^n} \sum_{I, I'} \langle e_I | A_K^\dagger A_K | e_{I'} \rangle$$

$$= \frac{1}{d^n} \text{Tr} A_K \sum_I |e_I\rangle \langle e_I| A_K^\dagger$$

$$= \text{Tr} A_K \rho^{(n)} A_K^\dagger \quad (\rho = \frac{1}{d} \mathbb{1})$$

$$= \prod_{i=1}^n \text{Tr} A_{K_i} \rho A_{K_i}^\dagger = \prod_{i=1}^n \lambda_{K_i}$$

(10)

$$\log \prod_{i=1}^n \lambda_{K_i} \approx n H(I \circ \mathcal{N}(\Phi_+)) + \epsilon n$$

for A_K typical.

We will also need to compute

$$\mathbb{E} \langle v_i | A_K^\dagger A_L | v_i \rangle^2$$

$$\mathbb{E} \frac{1}{d^{2n}} \sum_{\substack{i_1, i_2 \\ i_3, i_4}} g_{i_1} g_{i_2}^* g_{i_3} g_{i_4}^* \langle e_{i_1} | A_K^\dagger A_L | e_{i_2} \rangle \langle e_{i_3} | A_L^\dagger A_K | e_{i_4} \rangle$$

This expectation vanishes unless

$$i_1 = i_2 \text{ and } i_3 = i_4$$

$$\text{or } i_1 = i_4 \text{ and } i_2 = i_3$$

(if $i_1 = i_2 = i_3 = i_4$, $\mathbb{E} g_i g_i^* g_i g_i^* = 2$,
so we can count it in both cases)

$$= \mathbb{E} \frac{1}{d^{2n}} \sum_{i_1, i_3} g_{i_1} g_{i_1}^* g_{i_3} g_{i_3}^* \langle e_{i_1} | A_K^\dagger A_L | e_{i_1} \rangle \langle e_{i_3} | A_L^\dagger A_K | e_{i_3} \rangle$$

$$+ \mathbb{E} \frac{1}{d^{2n}} \sum_{i_1, i_2} g_{i_1} g_{i_2}^* g_{i_2} g_{i_1}^* \langle e_{i_1} | A_K^\dagger A_L | e_{i_2} \rangle \langle e_{i_2} | A_L^\dagger A_K | e_{i_1} \rangle$$

The first term is

$$\frac{1}{d^{2n}} \sum_{e_{i_1}} \langle e_{i_1} | A_K^\dagger A_L | e_{i_1} \rangle \sum_{e_{i_3}} \langle e_{i_3} | A_L^\dagger A_K | e_{i_3} \rangle$$

$$\textcircled{11} = \text{Tr } A_L \rho A_K^\dagger \text{Tr } A_K \rho A_L^\dagger$$

$$= 0 \text{ if } L \neq K$$

[NOTE: This first term is where we really need $\rho = \frac{1}{d^n} \mathbb{1}$]

The second term is

$$E_i \langle v_i | A_H^\dagger A_L \rho^{(n)} A_L^\dagger A_K | v_i \rangle$$

summing over all L , we get

$$E_i \langle v_i | A_H^\dagger \mathcal{N}(\rho^{(n)}) A_K | v_i \rangle$$

summing over all typical L will give us something smaller.

If we have $|v_i\rangle \neq |v_j\rangle$, then similar computation shows

$$E \langle v_i | A_H^\dagger A_L | v_j \rangle^2 \approx \langle v_i | A_H^\dagger A_L \rho^{(n)} A_L^\dagger A_K | v_j \rangle$$

We have to show that choosing randomly with $\langle v_i | v_j \rangle = 0$ (i.e., random perpendicular vectors) doesn't change the value by much, as opposed to two random vectors.

(12)

Now, we can sketch the argument showing that we can identify K, i in $A_K |i\rangle$ with high probability.

$$\text{Let } |u_{K,i}\rangle = \frac{A_K |v_i\rangle}{|A_K |v_i\rangle|}$$

Use HJSWW criterion that square root measurement (pretty good measurement) works well.

[Haussladen, Jozsa, Schumacher, Westmoreland, Wootters]

HJSWW: $|u_{K,i}\rangle$ can be recovered w.h.p.

$$\text{if } \sum_{K',i'} |\langle u_{K,i} | u_{K',i'} \rangle|^2 < \epsilon.$$

$$|u_{K,i}\rangle = \frac{A_K |v_i\rangle}{|A_K |v_i\rangle|}$$

$$E \sum_{K',i'} |\langle u_{K,i} | u_{K',i'} \rangle|^2 =$$

$$E \sum_{K',i'} \frac{\langle u_{K,i} | A_{K'} |v_{i'}\rangle \langle v_{i'} | A_K^\dagger |u_{K,i}\rangle}{\langle v_{i'} | A_{K'}^\dagger A_{K'} |v_{i'}\rangle}$$

$$\leq \frac{\langle u_{K,i} | (\sum_{K',i'} A_{K'} |v_{i'}\rangle \langle v_{i'} | A_K) |u_{K,i}\rangle}{2^{-H(I \otimes \mathcal{N}(\Phi_+)) n + \epsilon n}}$$

$$\leq \dim S \frac{\langle u_{K,i} | \mathcal{N}(\rho)^{\otimes n} |u_{K,i}\rangle}{2^{-H(I \otimes \mathcal{N}(\Phi_+)) n + \epsilon n}}$$

(3)

$\dim S = \text{Number of } |v_i\rangle\text{'s.}$

Want $\dim S \frac{\langle u_{k,i} | \mathcal{N}(\rho)^{\otimes n} | u_{k,i} \rangle}{2^{-H(I \otimes \mathcal{N}(\Phi_+))n + \epsilon n}}$ small.

But $\langle u_{k,i} | \mathcal{N}(\rho)^{\otimes n} | u_{k,i} \rangle \leq \lambda_{\max}[\mathcal{N}(\rho)]^n$

So we need

$$\frac{\dim S \lambda_{\max}[\mathcal{N}(\rho)]^n}{2^{-H(I \otimes \mathcal{N}(\Phi_+))n + \epsilon n}} < 2^{-\epsilon n}$$

Taking logs, we have

$$\frac{1}{n} \log \dim S < -\log \lambda_{\max}[\mathcal{N}(\rho)] - \cancel{\log \lambda_{\max}[\mathcal{N}(\rho)]} - H(I \otimes \mathcal{N}(\Phi_+)) - \epsilon.$$

We still need to compute

$$E \langle v_i | A_K^\dagger A_K | v_i \rangle^2 - (E \langle v_i | A_K^\dagger A_K | v_i \rangle)^2$$

This will show that $|A_K | v_i \rangle|^2$ is strongly concentrated around its mean.

$$E \langle v_i | A_K^\dagger A_K | v_i \rangle^2 =$$

$$\frac{1}{d^{2n}} E \sum_{\substack{I_1, I_2 \\ I_3, I_4}} g_{I_1} g_{I_2}^* g_{I_3} g_{I_4}^* \langle e_{I_1} | A_K^\dagger A_K | e_{I_2} \rangle \langle e_{I_3} | A_K^\dagger A_K | e_{I_4} \rangle$$

For terms contributing non-zero,
either $I_1 = I_2, I_3 = I_4$ or $I_1 = I_4, I_2 = I_3$

$$= \frac{1}{d^{2n}} \sum_{I_1, I_3} \langle e_{I_1} | A_K^\dagger A_K | e_{I_1} \rangle \langle e_{I_3} | A_K^\dagger A_K | e_{I_3} \rangle$$

$$= \frac{1}{d^{2n}} \sum_{I_1, I_2} \langle e_{I_1} | A_K^\dagger A_K | e_{I_2} \rangle \langle e_{I_2} | A_K^\dagger A_K | e_{I_1} \rangle$$

The first term is $(E \langle v_i | A_K^\dagger A_K | v_i \rangle)^2$.

The second term is

$$\text{Tr} A_K \rho^{on} A_K^\dagger A_K \rho^{on} A_K^\dagger$$

$$\text{Let } M = A_K \rho^{on} A_K^\dagger$$

$$E \langle v_i | A_K^\dagger A_K | v_i \rangle = \text{Tr } M$$

$$\text{Variance} = \text{Tr } M^2$$

$$\text{But } \text{Tr } M^2 \leq (\text{Tr } M)^2,$$

equal only if M is rank 1,
which corresponds to a channel that cannot
carry quantum information.

(15)

We still need to prove the theorem for general ρ

We have a capacity of
$$-\log \lambda_{\max} \mathcal{N}(\rho) - H((I \otimes \mathcal{N}) \phi_+)$$

for $\rho = \frac{1}{2} \uparrow$

We want a capacity of
$$H(\mathcal{N}(\rho)) - H((I \otimes \mathcal{N}) \phi_\rho)$$

for arbitrary ρ .

Look at typical subspace T of $\rho^{\otimes n}$.
Let π_T be density matrix projection onto T .

Consider

$$\frac{1}{n} \left[H(\mathcal{N}^{\otimes n}(\pi_T)) - H((I \otimes \mathcal{N})^{\otimes n}(\Phi_{\pi_T})) \right]$$

This has $\rho = \pi_T$, a maximally mixed input state, and goes to the desired capacity [see papers on entanglement-assisted capacity Holevo, BSS T].

(16)

For first term, ~~the~~ however, have
$$H(\mathcal{N}^{\otimes n}(\pi_T))$$

instead of
$$-\log \lambda_{\max} \mathcal{N}^{\otimes n}(\pi_T).$$

How to get $-\log \lambda_{\max}(\mathcal{N}^{\otimes n}(\Pi_T))$?

We can let Bob perform post processing on the channel. This cannot decrease channel capacity.

If Bob projects onto the typical subspace of $\mathcal{N}(\rho)^{\otimes n}$, he gets rid of all the large eigenvalues.

We need to specify what Bob does when the projection fails, in a way that reduces $\lambda_{\max} \mathcal{N}^{\otimes n}(\Pi_T)$.

We can't let this increase $H(\mathbb{I} \otimes \mathcal{N}^{\otimes n}(\Phi_{\Pi_T}))$ too much.

Answer: if projection fails, create a mixed state with eigenvalues of size $\lambda_{\max}(\mathcal{N}^{\otimes n}(\Pi_T))$.

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