

**M.S. Ramaiah Institute of Technology**  
**(Autonomous Institute, Affiliated to VTU)**  
**Department of Computer Science and Engineering**

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# 3 Normal Forms Based on Primary Keys

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Normalization of Relations

Practical Use of Normal Forms

Definitions of Keys and Attributes Participating in Keys

First Normal Form

Second Normal Form

Third Normal Form

# Definitions of Keys and Attributes Participating in Keys

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A **superkey** of a relation schema  $R = \{A_1, A_2, \dots, A_n\}$  is a set of attributes  $S$  *subset-of*  $R$  with the property that no two tuples  $t_1$  and  $t_2$  in any legal relation state  $r$  of  $R$  will have  $t_1[S] = t_2[S]$

A **key**  $K$  is a **superkey** with the *additional property* that removal of any attribute from  $K$  will cause  $K$  not to be a superkey any more.

# Definitions of Keys and Attributes Participating in Keys

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If a relation schema has more than one key, each is called a **candidate** key.

- One of the candidate keys is *arbitrarily* designated to be the **primary key**, and the others are called **secondary keys**.

A **Prime attribute** must be a member of *some* candidate key

A **Nonprime attribute** is not a prime attribute—that is, it is not a member of any candidate key.

# Finding Candidate Keys and Super Keys of a Relation using FD set

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The **set of attributes** whose attribute closure is set of all attributes of relation is called super key of relation.

Consider the following FD set. **{E-ID→E-NAME, E-ID→E-CITY, E-ID→E-STATE, E-CITY→E-STATE}**

Let us calculate attribute closure of different set of attributes: **EMPLOYEE(E-ID, E-NAME, E-CITY, E-STATE)**

$(E-ID)^+ = \{E-ID, E-NAME, E-CITY, E-STATE\}$

$(E-ID, E-NAME)^+ = \{E-ID, E-NAME, E-CITY, E-STATE\}$

$(E-ID, E-CITY)^+ = \{E-ID, E-NAME, E-CITY, E-STATE\}$

$(E-ID, E-STATE)^+ = \{E-ID, E-NAME, E-CITY, E-STATE\}$

$(E-ID, E-CITY, E-STATE)^+ = \{E-ID, E-NAME, E-CITY, E-STATE\}$

$(E-NAME)^+ = \{E-NAME\}$

$(E-CITY)^+ = \{E-CITY, E-STATE\}$

# Normalization of Relations

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## Normalization:

- The process of decomposing unsatisfactory "bad" relations by breaking up their attributes into smaller relations.
- It is important that a database is **normalized to minimize redundancy (duplicate data) and to ensure only related data is stored in each table.**
- Normalization makes sure that all of your data looks and reads the same way across all records.

The benefits of normalization include: **Searching, sorting, and creating indexes is faster**

## Normal form:

- Condition using keys and FDs of a relation to certify whether a relation schema is in a particular normal form

# Normalization of Relations

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2NF, 3NF, BCNF

- based on keys and FDs of a relation schema

4NF

- based on keys, multi-valued dependencies : MVDs;

5NF

- based on keys, join dependencies : JDs

Additional properties may be needed to ensure a good relational design (lossless join, dependency preservation)

# Practical Use of Normal Forms

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**Normalization** is carried out in practice so that the resulting designs are of high quality and meet the desirable properties

The practical utility of these normal forms becomes questionable when the constraints on which they are based are *hard to understand* or to *detect*

The database designers *need not* normalize to the highest possible normal form

- (usually up to 3NF and BCNF. 4NF rarely used in practice.)

## **Denormalization:**

- The process of storing the join of higher normal form relations as a base relation—which is in a lower normal form



# First Normal Form

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Disallows

- composite attributes
- multivalued attributes
- **nested relations**; attributes whose values for an *individual tuple* are non-atomic

Considered to be part of the definition of relation .

Most RDBMSs allow only those relations to be defined that are in First Normal Form

# Normalization into 1NF

(a)

**DEPARTMENT**

Dname	<u>Dnumber</u>	Dmgr_ssn	Dlocations

(b)

**DEPARTMENT**

Dname	<u>Dnumber</u>	Dmgr_ssn	Dlocations
Research	5	333445555	{Bellaire, Sugarland, Houston}
Administration	4	987654321	{Stafford}
Headquarters	1	888665555	{Houston}

(c)

**DEPARTMENT**

Dname	<u>Dnumber</u>	Dmgr_ssn	<u>Dlocation</u>
Research	5	333445555	Bellaire
Research	5	333445555	Sugarland
Research	5	333445555	Houston
Administration	4	987654321	Stafford
Headquarters	1	888665555	Houston

**Figure 10.8**

Normalization into 1NF.

(a) A relation schema that is not in 1NF. (b) Example state of relation DEPARTMENT. (c) 1NF version of the same relation with redundancy.

# Normalization nested relations into 1NF

(a)

EMP\_PROJ

		Projs	
Ssn	Ename	Pnumber	Hours

(b)

EMP\_PROJ

Ssn	Ename	Pnumber	Hours
123456789	Smith, John B.	1	32.5
		2	7.5
666884444	Narayan, Ramesh K.	3	40.0
453453453	English, Joyce A.	1	20.0
		2	20.0
333445555	Wong, Franklin T.	2	10.0
		3	10.0
		10	10.0
		20	10.0
999887777	Zelaya, AliciaJ.	30	30.0
		10	10.0
987987987	Jabbar, Ahmad V.	10	35.0
		30	5.0
987654321	Wallace, Jennifer S.	30	20.0
		20	15.0
888665555	Borg, James E.	20	NULL

(c)

EMP\_PROJ1

<u>Ssn</u>	Ename
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EMP\_PROJ2

<u>Ssn</u>	<u>Pnumber</u>	Hours
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**Figure 10.9**

Normalizing nested relations into 1NF. (a) Schema of the EMP\_PROJ relation with a *nested relation* attribute PROJS. (b) Example extension of the EMP\_PROJ relation showing nested relations within each tuple. (c) Decomposition of EMP\_PROJ into relations EMP\_PROJ1 and EMP\_PROJ2 by propagating the primary key.

# Second Normal Form

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Second normal form (2NF) is based on the concept of *full functional dependency*. A functional dependency  $X \twoheadrightarrow Y$  is a **full functional dependency** if removal of any attribute  $A$  from  $X$  means that the dependency does not hold any more.

Functional dependency  $X \twoheadrightarrow Y$  is a **partial dependency** if some attribute  $A \in X$  can be removed from  $X$  and the dependency still holds.

**Definition.** A relation schema  $R$  is in **2NF** if every nonprime attribute  $A$  in  $R$  is *fully functionally dependent* on the primary key of  $R$ .

# Second Normal Form contd.....

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A relation schema R is in **second normal form (2NF)** if every non-prime attribute A in R is fully functionally dependent on the primary key

R can be decomposed into 2NF relations via the process of 2NF normalization or “second normalization”

# 2NF Example

Key: SSN, PNUMBER

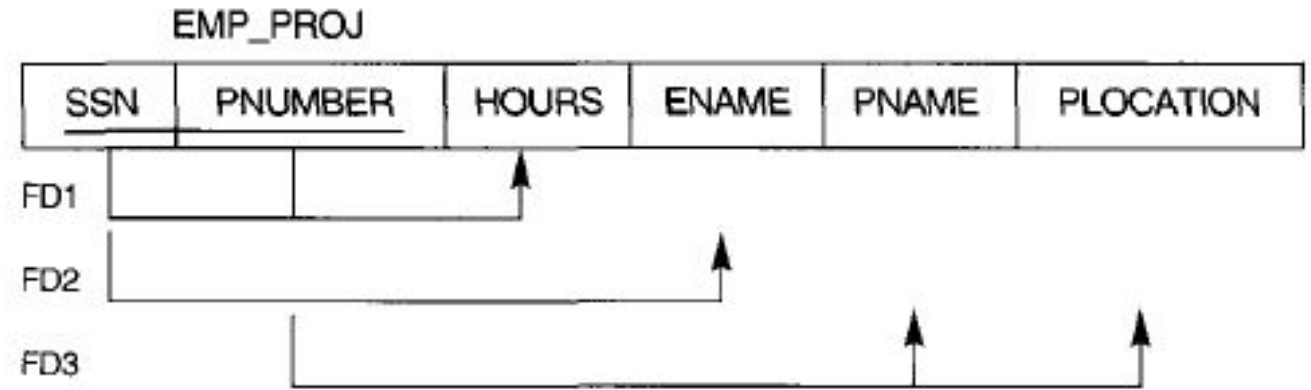
FDs:

SSN, PNUMBER → HOURS

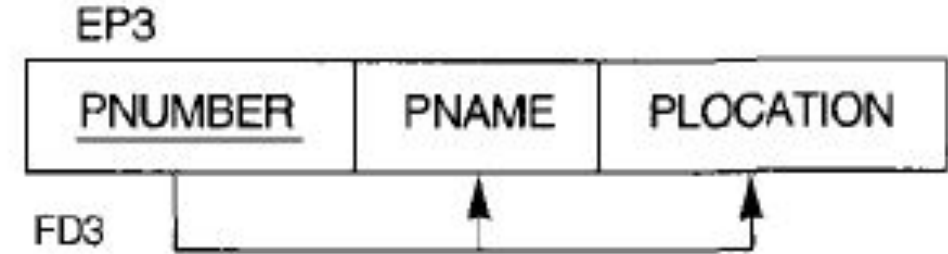
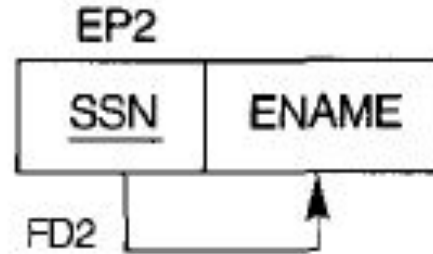
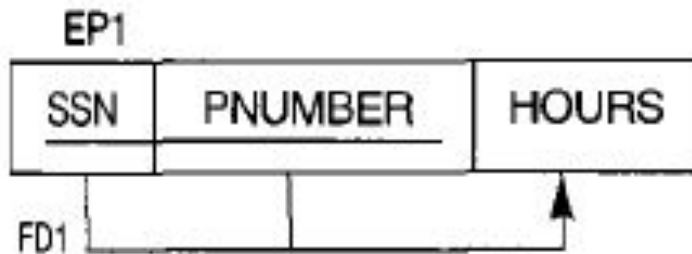
SSN → ENAME

PNUMBER → PNAME

PNUMBER → PLOCATION



2NF NORMALIZATION



# Tutorial 2: Decompose a non-2NF relation to a 2NF relation

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Assume a relation R (A, B, C, D, E) with the following set of functional dependencies;

$$F = \{AB \rightarrow C, B \rightarrow D, E \rightarrow D\}$$

The key for this relation is ABE. Then, all three given FDs are partial dependencies, viz.,  $AB \rightarrow C$ ,  $B \rightarrow D$ , and  $E \rightarrow D$ .  
Step 1: separate tables for partial dependencies; hence, R1 (ABC), R2 (BD) and R3 (ED).

Step 2: remove RHS of these two partial FDs from R; hence, R4(A, B, E).

Thus, we have four tables ***R1 (ABC), R2 (BD), R3 (ED)*** and ***R4 (ABE)***.

**Find the candidate keys of a relation, How to find the candidate keys, Which is the key for the given table, concept of candidate key in dbms, candidate key examples**

**Question:**

Consider the relation  $R = \{A, B, C, D, E, F, G, H, I, J\}$  and the set of functional dependencies  $F = \{AB \rightarrow C, A \rightarrow DE, B \rightarrow F, F \rightarrow GH, D \rightarrow IJ\}$ . Find the key of relation R.

Let  $R = (A, B, C, D, E, F)$  be a relation scheme with the following dependencies-

$$C \rightarrow F$$

$$E \rightarrow A$$

$$EC \rightarrow D$$

$$A \rightarrow B$$

Which of the following is a key for R?



# Tutorial 4: Compute Minimal Cover

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1. Find the minimal cover of the set of functional dependencies given;

$\{A \rightarrow C, AB \rightarrow C, C \rightarrow D, CD \rightarrow I, EC \rightarrow AB, EI \rightarrow C\}$

2.  $F = \{AB \rightarrow C, C \rightarrow A, BC \rightarrow D, ACD \rightarrow B, D \rightarrow E, D \rightarrow G, BE \rightarrow C, CG \rightarrow B, CG \rightarrow D, CE \rightarrow A, CE \rightarrow G\}$

**Solution:**

**Q1: MC =  $\{A \rightarrow C, C \rightarrow D, C \rightarrow I, EC \rightarrow A, EC \rightarrow B, EI \rightarrow C\}$**

**Q2:**

**Minimal Cover 1:  $\{AB \rightarrow C, C \rightarrow A, BC \rightarrow D, CD \rightarrow B, D \rightarrow E, D \rightarrow G, BE \rightarrow C, CG \rightarrow D, CE \rightarrow G\}$**

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**Minimal Cover 2 :  $\{AB \rightarrow C, C \rightarrow A, BC \rightarrow D, D \rightarrow E, D \rightarrow G, BE \rightarrow C, CG \rightarrow B, CE \rightarrow G\}$**

Thank you