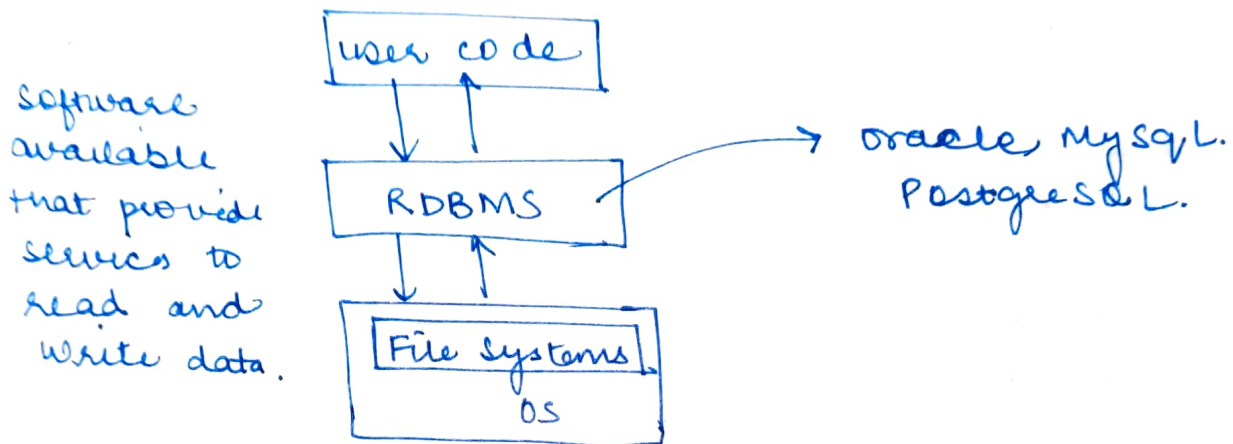


Database management systems

↳ software for providing a quick way to access and modify data

RDBMS

↳ Data is stored with the help of relations & tables.



Schema of table → basically the header of each column.

- logical definition of a table
 - defines name of table, name & type of each column
- (Blueprint)

Student

- st id
- name
- address
- class

RDBMS provides SQL (Structured query language)

↓
fourth gen. programming language.

↓
allows user code to access the data in convenient way.

Relational Database Management system

↓
also have administrative panels to define user role

→ codes to handle concurrency

RDBMS vs File system

- Focus only on business logic.
- possibility of redundancy
- concurrency issues
- Data inconsistent
- security issues

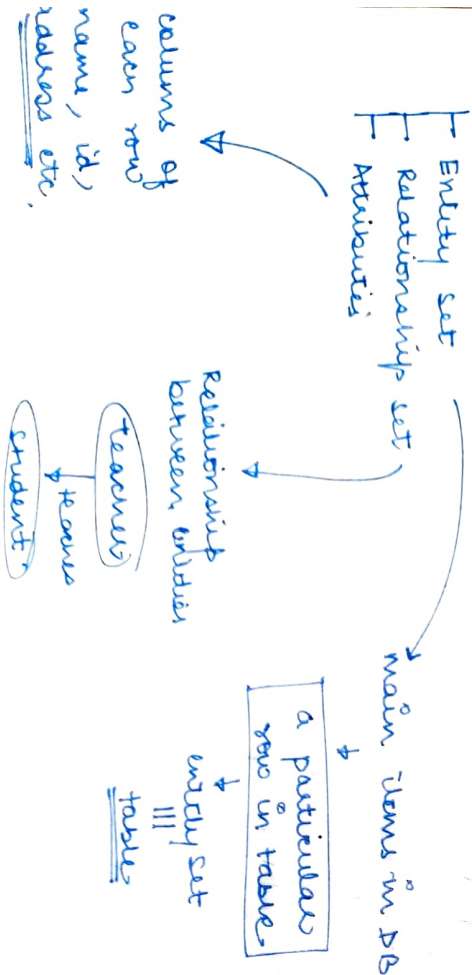
Disadvantages of RDBMS

- Has to be in proper structural form | data has to follow the schema.
- Scalability issues
- can be handled by
 - MySQL
 - MongoDB
 - DynamoDB
 - Cassandra.

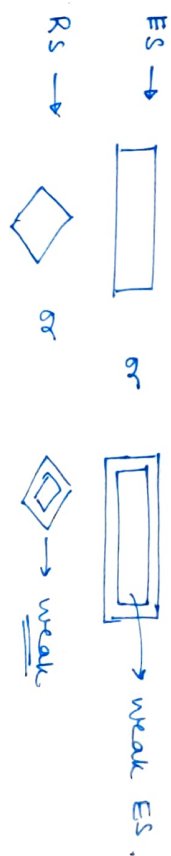
Entity Relationship Model

Design DB before implementation

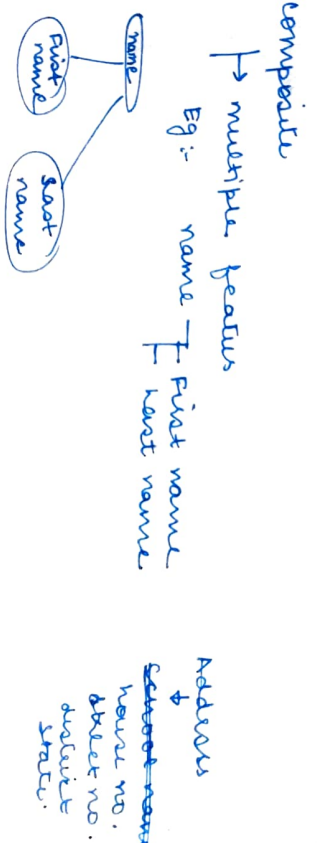
ER model



How to represent that



Attributes



Multiple values

multiple values

Eg:- Phone number

Derived attribute

can be derived with the help of existing attribute.



Eg:- age with the help of DOB

Key attribute

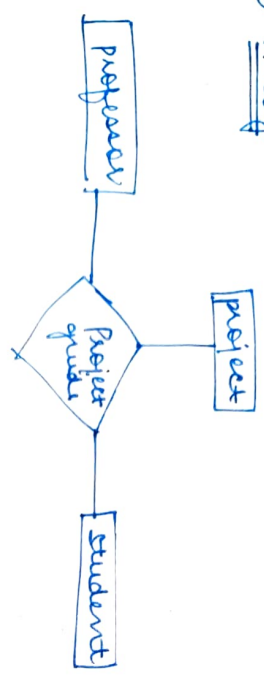
that define a particular entity set



Relationships

Degree of Relationship

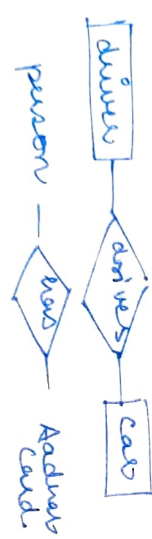
- 1) Unary → 1 entity set related to itself
- 2) Binary →
 - student attends course
 - supplier supplies items
 - prof. teaches subject
- 3) N-ary



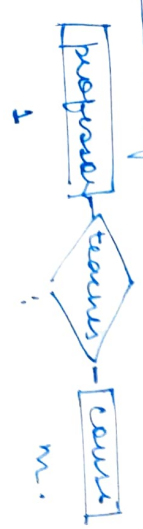
Cardinality

→ how many entities of 1 side can participate in a relationship

one to one



one to many

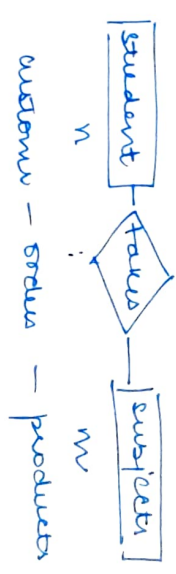


many to one



student is principal of student
 employee is managed by employee
 person is married to person.

Many to many



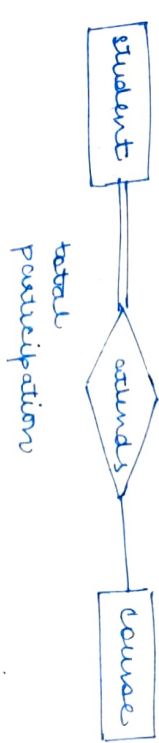
ER diagram

Participation

entity set → set of entities

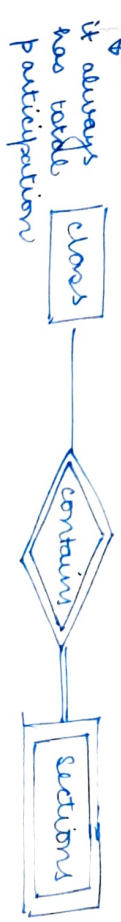
Participation of entities

total → every entity of one side participate in the relationship



Weak entity set

→ do not have their own primary key.



Key → attribute or set of attributes that define a unique entity uniquely.

Candidate Key

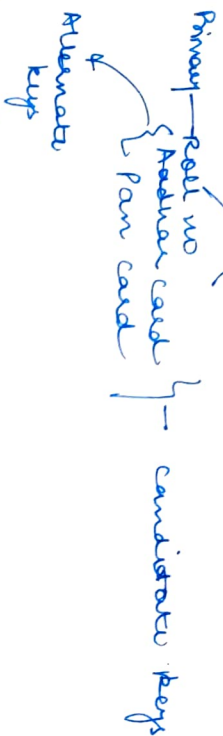
→ minimum set of attributes of identifying uniquely.

primary key is one of candidate key.

super key > candidate key

Candidate keys — Primary keys + Alternate keys

Ex: student



→ candidate key has to be minimal.

Super key → any combination of keys is not a super key can be uniquely identified

Armstrong axioms

- Reflexing (A derives A)
- Transitivity (A → C, C → D ⇒ A → D)
- Augmentation (X → Y, XZ → YZ)

1) R1 (A, B, C, D)

A → B, A → C
C → D

candidate key → A derives everything

2) R2 (A, B, C, D)

AB → CD

→ candidate key — AB

3) R3 (A, B, C, D)

B → AC, C → D

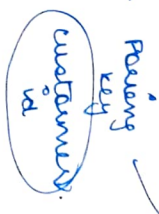
→ candidate key — B

Foreign key

→ Data has to be stored into different tables to reduce redundancy.

Order table

customer table



Referential Integrity

→ Foreign key must not point to NULL set.
→ caused by removing data from 1 table

Normalization

- To reduce data redundancy (having same data at multiple data)
- data integrity
- NO excessive data

Objective of good database design

- NO updation, deletion, insertion anomaly.
- Easy Extensible
- Good performance for all query sets.
- more informative

(a) update anomaly

→ some database is update while other didn't because of cover clash/other failure leading to inconsistent data.

(b) insertion anomaly

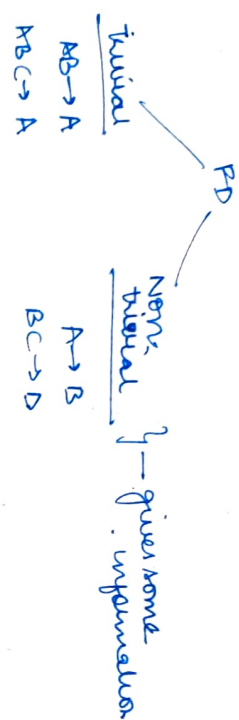
no able to insert data because of some required fields → can be resolved by splitting tables.

(c) deletion anomaly

→ other data is also deleted with the row del. which needs to be preserved.

Functional dependency

$A \rightarrow B$ B is functionally dependent on A
 if we know A, we can definitely know B.



First Normal Form

every attribute contains only single values.

cust ID	Names	Phone no
101	ABC	991, 923
102	XYZ	456, 178, 989

many values

create different columns for multiple values.

cust ID	name	Ph-1	Ph-2	Ph-3
101	ABC	991	923	-
102	567	456	178	989

creating different entity for names

cust ID	name	Ph
101	ABC	999
101	ABC	923
101	ABC	954
102		

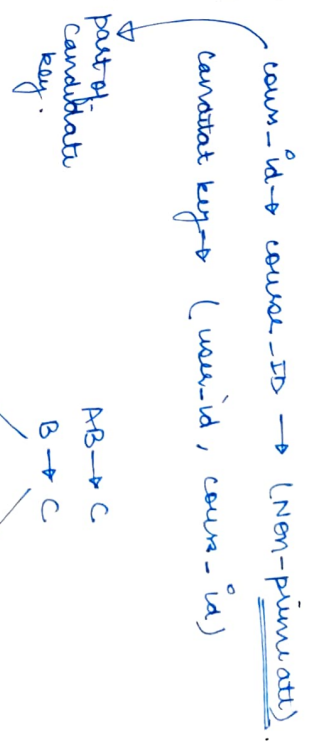
lot of null values

or can create a separate table

cust ID	name
cust ID	phone no

Second normal form

uniquely course-id(s)	course-fee(s)
1 CS101	5000
2 CS102	2000
1 CS102	2000
3 CS101	5000
4 CS102	2000
2 CS103	7000

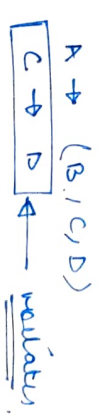


non-prime attribute should depend on partial candidate key.

Third normal form

non-prime \rightarrow non-prime (Not allowed)

$R(A, B, C, D)$



BCNF

Prime / non prime \rightarrow prime attribute

Indexing in Database

→ to reduce lookup time

Clustered index

data is stored in increasing order on the basis of primary key.

- Extra cost in deleting & insertion

prime index → primary key is used as index.

Non clustered indexing

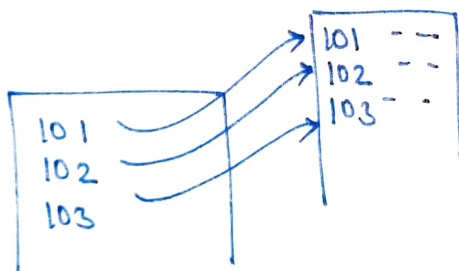
secondary indexing

data is not ordered on the basis of key in HDD

clustered Index

search key	Pointer
------------	---------

stores references to disk block containing given key.



Ordered Index file
Haship file org

Dense

every key has a entry in index table

sparse

some keys are skip

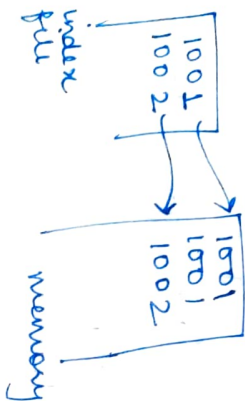
101
101
121

} → search a key 106

↓
go to 101 and move linearly

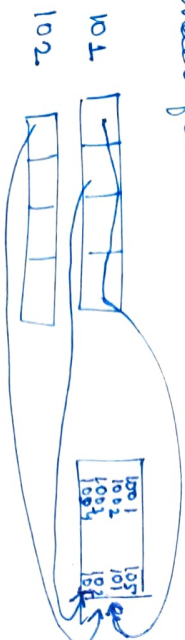
Bence → Every entry has to be present exactly once, not every row

order-ID	order date	cost	cust-id
101	-	-	1001
102	-	-	1002
103	-	-	1001



Non-clustered index.

index file

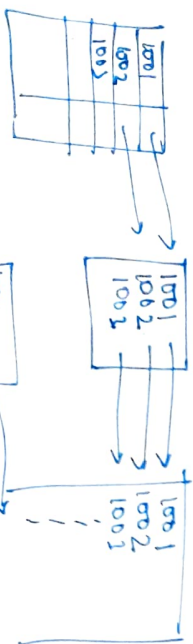


All the attributes are stored in increasing order in index file ~~but~~.
Space is not possible.

there are many fields ~~on the basis of~~ corresponding to single index.

Multilevel indexing

→ we have big index file, then we create one more index file for the index file.



we use B-trees for improving scalability.
→ Balanced binary search trees which grow & shrink accordingly

B and B+ trees

→ n-ary search trees

→ If branching factor is b , then every node has $\lfloor b/2 \rfloor$ to b children.

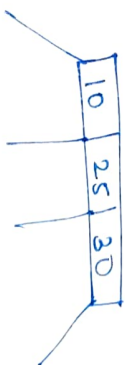
→ In B+ tree leaves contain all the data present in internal nodes so that sequential access to data is possible.

$b = 4$

min = 2 children

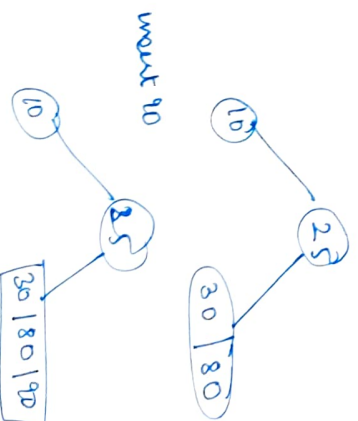
max = 4 "

key → children

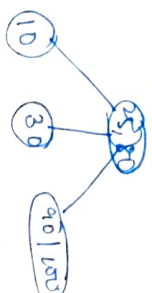


if we want to insert 80 → not possible as it will have 5 children

have 5 children



want 100

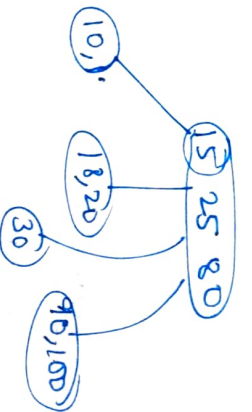
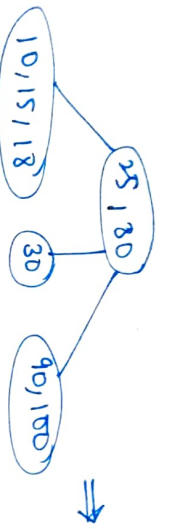


split by center

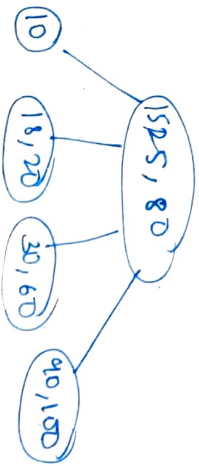
B-trees has data in internal nodes also B+ trees has data on leaves only

height never goes beyond \log_n if there are n cells

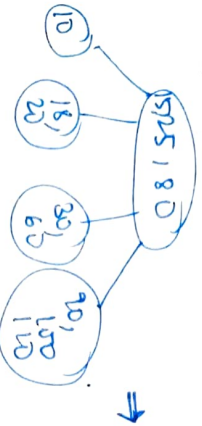
insert 15, 18



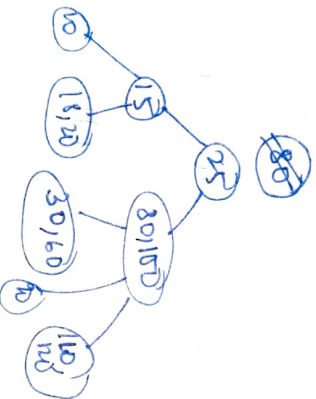
insert 60



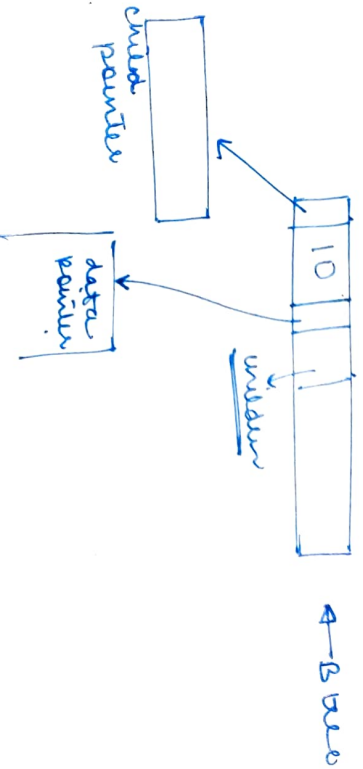
insert 110



insert 120

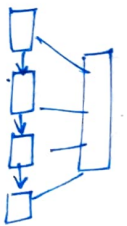


in deletion
→ shrink



B+ tree → only leaf nodes contain data

pointer and leaf nodes are connected



where internal nodes has pointer to index blocks, leaf nodes has pointer to data blocks.

→ every node has only one pointer & sequential access

Transaction & concurrency control

↓
set of instructions that has to be executed together

Atomicity → either whole transaction is completed or every instruction executed must be reverted

Consistency → Database should be in consistent after a transaction happened.

Isolation → no transaction must not be interfered by other transaction

Durability → changes should be permanent

Conflict Serializability

Serializability

we have an interleaved schedule then check if it is view equivalent of $T_1 T_2$ or $T_2 T_1$

Suppose if we have 6 transactions then we have to check if our trans. is view equivalent to any of 6! permutations

Let's do $T_1 T_2$

data item X
→ initial read is done by T_1

data item Y

if initial read is done by T_2 because in $T_1 T_2$ Y must be read by T_1 first
X

check for $T_2 T_1$

data item X
→ T_2 is next reader X must precede
data item Y
→ T_2 reads first

check if transactions scheduler is serializable. A serializable schedule is one that always leaves the DB in consistent state.
it is required only when integrity is done.

we need to check these 2 rules for every item

Initial Read
Update Read
Final write

T_1
Read(X)
 $X = X - 10$
write(X)

T_2
Read(Y)
 $Y = Y - 10$
write(Y)

Read(Y)
 $Y = Y + 10$
write(Y)

Read(Z)
 $Z = Z + 10$
write(Z)

② update read

sequence of read write should be same in both schedule.

③ Final write → final write reads on an item should be same

conflict serializable

conflict operations

Two operations from two different transaction on same data with one of them being "write"

check if given schedule is conflict equivalent to any of the schedules $T_1 T_2$ or $T_2 T_1$

T_1	T_2	T_1	T_2
Read(A) Write(A)	Read(A) Write(A)	Read(A) Write(A) Read(B) Write(B)	Read(A) Write(A) Read(B) Write(B)
Read(B) Write(B)	Read(A) Write(A)	Read(A) Write(A) Read(B) Write(B)	Read(A) Write(A) Read(B) Write(B)

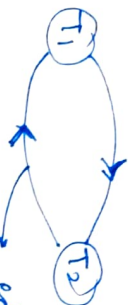
we can swap operations and get a serial schedule
→ hence conflict serializable.

T_1	T_2
Read(A)	Read(A) Write(A)
Write(A) Read(B) Write(B)	Read(B) Write(B)

we can't swap these operations as there are conflict
Reading & writing A

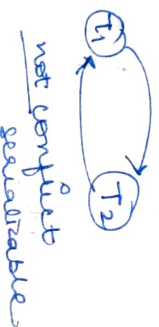
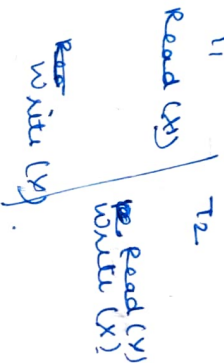
Simple graph based methods (Precedence graph)

no of transaction \rightarrow no of nodes

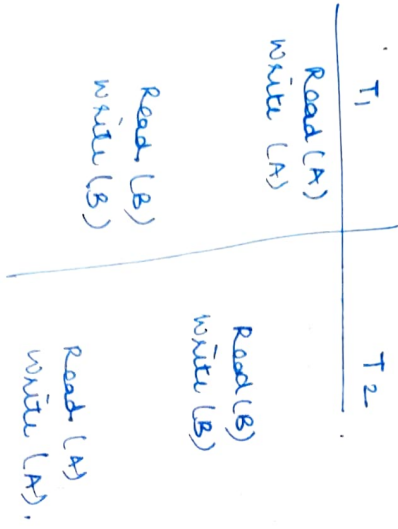


cycles shows that not conflict serializable

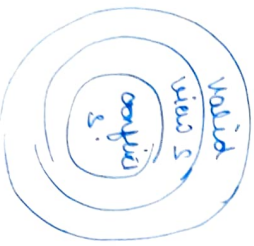
edge when there is a read after followed by write



not conflict serializable



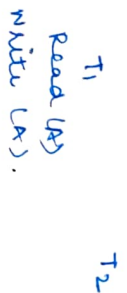
conflict serializability is more strict



Recoverable, cascades and strict.

problem \rightarrow suppose a transaction is rolled back in middle then, the changes done by it is its execution is

used by another transaction that was committed already.



Read (A)
Write (A)

commit

rolled back
failure

It is rolled back and but T2 has already committed which leads to inconsistent DB

Rules to ensure recoverable schedule

* One problem is dirty read \rightarrow reading the value changed by the transaction that was not committed yet.

If there is a dirty read then the transaction dirty dirty read must commit after the transaction from which it's reading.

Cascades

\rightarrow one rollback is causing other rolls back

to ensure cascades

\rightarrow we make some rules

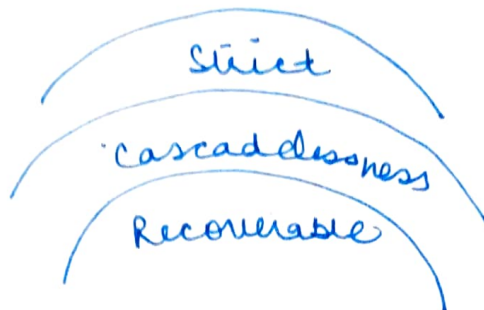
\rightarrow dirty reads will wait until the transaction committed already

Strict schedule

→ B handles blind writes

→ write without read

even write
operations
should wait
for commit



Two phase locking protocols

two types of locks

- shared
- Exclusive

two phases

growing
shrinking



all locks are
acquired
here only

all locks are
released here
only.

lock point → where all locks are
acquired and no more
locks are left.

	S	E
S	✓	X
E	X	X

— deadlock
— cascade