GRT Seminar Sp24 Notes

February 22, 2024

Abstract

This semester, we will discuss *Sheaves of categories and the notion of 1-affineness* by Dennis Gaitsgory, *Tannaka duality and 1-affineness* by Germán Stefanich, and related papers. The material this semester is logically independent of that from last semester.

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1 1/25 (David Nadler) – Introduction

1.1 Logistics and announcements

There will be a more basic discussion in the hour after the usual seminar time (starting with Borel-Weil-Bott). The Lie Groups course this semester will also be discussing GRT – we will not attempt to compete with this. There will be no seminar next week, but we are seeking volunteers to talk in the following weeks.

1.2 Overview

The papers we will study show (in various instantiations) that for "reasonable" algebraic varieties or stacks X, there is an equivalence between the 2-category of "quasicoherent sheaves of categories¹ on X" (i.e. quasicoherent modules over the sheaf of categories QC_X) and module categories for the tensor category QC(X).

 $^{^{1}}$ This being the GRT seminar, "category" means ∞-category or DG-category.

This equivalence is given by "taking global sections" / "evaluating on X." In effect, we are saying that in "reasonable" cases, working locally and working globally are equivalent, so long as we are asking questions about the whole category QC(X).

Of course, the analogous statement rarely holds for the category of quasicoherent sheaves of \mathcal{O}_X -modules and the category of $\mathcal{O}(X)$ -modules. Said analogue would force X to be (0-)affine (at least for X quasiseparated). There are many more 1-affine objects than 0-affine objects. The 1-affineness statement is interesting even for simple varieties like \mathbb{P}^n .

Note that there are other important distinctions between plain quasicoherent sheaves and quasicoherent sheaves of categories. For example, the former are "stable" (admitting cones, shifts, etc.) while the latter are not.

We will (most likely) work over $k = \mathbb{C}$ throughout. When we mention 0-modules, we will usually implicitly mean "quasicoherent."

1.3 Key Examples

There are many important (and perhaps surprising) classes of 1-affine varieties:

- 1. Projective varieties $X \subset \mathbb{P}^n$.
- 2. Stacky quotients [Y/G] for Y a reasonable (e.g. projective) variety and G an affine algebraic group. (We need affineness in the denominator non-affine G lead to pathologies.)
- 3. Many more...

Let's consider some concrete examples.

Example 1.1. Let $X = \operatorname{Spec} k$ be a point. Then $\mathcal{O}(X) = k$, so $\mathcal{O}(X)\operatorname{-Mod} \simeq \operatorname{Vect}_k$. Similarly (since the only nonempty open of X is all of X), we get an equivalence $\mathcal{O}_X\operatorname{-Mod} \simeq \operatorname{Vect}_k$ via $\mathcal{M} \mapsto \mathcal{M}(X)$. Thus X is 0-affine. For 1-affineness, note that $\operatorname{QC}(X) \simeq \operatorname{Vect}_k$. Thus $\operatorname{QC}(X)\operatorname{-Mod}$ is the category of k-linear categories tensored over Vect (i.e. for $V \in \operatorname{Vect}$ and $c \in \mathcal{C}$, there is a natural object $V \otimes c \in \mathcal{C}$). In particular, if $Z \to \operatorname{Spec} k$ is a variety, then we get an action $\operatorname{QC}(X) \curvearrowright \operatorname{QC}(Z)$. We can understand this concretely for categories with quiver presentations (e.g. $\operatorname{QC}(\mathbb{P}^1)$ viewed as the category of representations of the Kronecker quiver). Because QC_X is determined by its value on the nonempty open, we can identify $\operatorname{QC}_X\operatorname{-Mod} \simeq \operatorname{QC}(X)\operatorname{-Mod}$ as before.

Example 1.2. Let $X = \mathbb{P}^1$. Then $\mathcal{O}(\mathbb{P}^1) = k$, so $\mathcal{O}(\mathbb{P}^1)$ -Mod $\simeq \mathsf{Vect}_k$. But there are many more interesting $\mathcal{O}_{\mathbb{P}^1}$ -modules, e.g. $\mathcal{O}_{\mathbb{P}^1}(1)$. This comes from the fact that $\mathcal{O}_{\mathbb{P}^1}$ has much more data than just its global sections. One categorical level up, we note that $\mathsf{QC}(\mathbb{P}^1)$ -modules are categories in which we can "tensor with $\mathcal{O}(\mathfrak{n})$ " (while satisfying various compatibility conditions). Its counterpart, $\mathsf{QC}_{\mathbb{P}^1}$ -Mod, consists of sheaves which assign, to each open $\mathsf{U} \subset \mathbb{P}^1$, a $\mathsf{QC}(\mathsf{U})$ -module $\mathcal{M}(\mathsf{U})$ (in a "sheafy" manner). The theorem tells us that such an \mathcal{M} is determined by $\mathcal{M}(\mathbb{P}^1)$ with its $\mathsf{QC}(\mathbb{P}^1)$ -module structure.

2 2/8 (Peter Haine) – Monoidal Categories

A monoidal category is a monoid (or associative algebra) in categories. What does this mean?

2.1 Monoids

Definition 2.1 (1-categorical monoids). Let C be a category with finite products (including a terminal object *). A *monoid* in C is an object $A \in C$ equipped with maps $m : A \times A \to A$ (multiplication) and $u : * \to A$ (unit) satisfying associativity and unitality, i.e. certain expected diagrams commute.

Example 2.2. Let A be a commutative ring and C = R-Mod (more generally this works for X a scheme and C = QC(X)). Then

$$\otimes_{\mathsf{A}} : \mathsf{R}\text{-}\mathsf{Mod} \times \mathsf{R}\text{-}\mathsf{Mod} \to \mathsf{R}\text{-}\mathsf{Mod}$$

should give a monoidal structure on R-Mod. However, this is a little bit looser than the above definition: associativity holds only up to coherent isomorphism. That is, $(L \otimes_R M) \otimes_R N$ and $L \otimes_R (M \otimes_R N)$ are not equal, but only naturally isomorphic (with the isomorphisms satisfying certain compatibilities).

So to define a monoidal *category*, we need to provide compatible natural isomorphisms. The compatibility conditions can be spelled out using pentagon axioms etc. It's perfectly doable, but a bit non-obvious / non-homotopical. We'll give a better definition later.

2.2 Modules

We also want to discuss monoid actions.

Definition 2.3 (1-categorical modules). Let C be a category with finite products, and let (A, m, u) be a monoid in C. An A-module, or object with A-action, is an object $M \in C$ and a map $\alpha : A \times M \to M$ which is associative and unital. These conditions can be described using commutative diagrams as before.

Example 2.4. Let $f: X \to Y$ be a morphism of schemes. Then there should be an action of QC(Y) on QC(X) given by $(\mathcal{F}, \mathcal{G}) \mapsto f^*\mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{G}$. Associativity means

$$f^*(\mathfrak{F} \otimes \mathfrak{F}') \otimes \mathfrak{G} \cong f^*\mathfrak{F} \otimes (f^*\mathfrak{F}' \otimes \mathfrak{G})$$

and unitality means

$$f^* \mathfrak{O}_Y \otimes \mathfrak{G} \cong \mathfrak{G}.$$

Again, these conditions only hold up to coherent isomorphism, which we can characterize in terms of certain commutative diagrams.

The above shows the following important principle: categories of quasicoherent sheaves often have actions that don't come from actions on the underlying schemes. There are, of course, a few actions that do come from actions on the underlying schemes:

Example 2.5. Let G be a group scheme, and $a: G \times X \to X$ an action. This induces an action of QC(G) on QC(X) via $(\mathcal{F}, \mathcal{F}') \mapsto a_*(\pi_1^*\mathcal{F} \otimes_{\mathcal{O}_{G \times X}} \pi_2^*\mathcal{F}')$. The unit object here is the skyscraper sheaf at the identity of G. As above, everything holds up to coherent isomorphism.

2.3 Better definitions

How can we give a more intuitive definition of monoids?

Suppose we have a monoid object (A, m, u) in a 1-category. We can encapsulate this data via the truncation of the bar resolution. This is a simplicial set (which is easy to write on the board but hard to TeX up, at least at this moment). Let's remind ourselves how this works.

Definition 2.6. The simplex category Δ is the category of nonempty linearly ordered finite sets. We write $[n] = \{0 < \dots < n\}$ for standard representatives of the isomorphism classes of Δ . A simplicial object in a category C is a functor $X : \Delta^{\mathrm{op}} \to C$. We call $X_n = X([n])$ the object of n-simplices of X.

Definition 2.7. For a category C, we define the *nerve* $N(C) \in sSet := Fun(\Delta^{op}, Set)$ by setting $N(C)_n = Fun([n], C)$, the collection of sequences of n composable arrows in C. (Here we are viewing [n] as a poset category.)

Note in particular that:

- $N(C)_0$ is the set of objects of C.
- $N(C)_1$ is the set of all morphisms in C.

Theorem 2.8 (Grothendieck). The nerve functor $N: \mathsf{Cat} \to \mathsf{sSet}$ is fully faithful with essential image consisting of those simplicial sets X_\bullet satisfying the Segal condition: For all $n \geqslant 1$ and $i \in [n]$, the square

$$X([n]) \longrightarrow X(\{i < \dots < n\})$$

$$\downarrow \qquad \qquad \downarrow$$

$$X(\{0 < \dots < i\}) \longrightarrow X(\{i\})$$

is a pullback. Equivalently, for all $n \ge 1$, the map $X([n]) \to X(\{0 < 1\}) \times_{X(\{1\})} X(\{1 < 2\}) \times_{X(\{2\})} \cdots \times_{X(\{n-1\})} X(\{n-1,n\})$ is an equivalence.²

One can reconstruct the composition in C from N(C) by using the inverse of the equivalence in the Segal condition.

Consider the functor Monoid \rightarrow Cat given by sending a monoid A to the category with one object * and $\operatorname{Hom}(*,*) = A$.

Corollary 2.9 (Milnor). The composite functor Bar: Monoid \rightarrow Cat $\stackrel{\mathbb{N}}{\rightarrow}$ sSet is fully faithful with essential image consisting of simplicial sets X satisfying the Segal condition together with the requirement $X_0 = \{*\}$.

This gives a higher categorical definition of monoid objects.

Definition 2.10. A monoid in a higher category C is a simplicial object X in C satisfying the Segal condition and such that X_0 is terminal.

3 2/15 (Peter Haine) – Continued

3.1 Monoidal ∞-categories

Recall our two issues from last time:

- We wanted to nicely package the data of a monoid. This was accomplished using simplicial objects.
- We wanted to handle higher coherences of isomorphisms. We solve this using ∞-categories / dg-categories / other homotopical settings. When we use simplicial objects as above, this gives the correct notions (because asking that certain diagrams commute is now requiring *structure* rather than just *properties*).

Definition 3.1. Let C be an ∞ -category with finite products. A *monoid* (or *algebra*) in C is a simplicial object $A_{\bullet}: \Delta^{\mathrm{op}} \to C$ satisfying:

- 1. $A_0 \simeq *$
- 2. (Segal condition) The squares

are Cartesian.

The underlying object of A_{\bullet} is A_1 .

Definition 3.2. A monoidal ∞ -category is a monoid in Cat_{∞} , the ∞ -category of ∞ -categories.

Here are some key examples.

²Sometimes people write the codomain as $X_1 \times_{X_0} X_1 \times_{X_0} \cdots \times_{X_0} X_1$, but this is ambiguous notation! In particular, if we required $X([2]) \xrightarrow{\sim} X(\{0 < 2\}) \times_{X(\{0\})} X(\{0 < 1\})$, this would force the category to be a groupoid.

Example 3.3. Let X be a scheme, and let QC(X) be the ∞ -category / derived category of quasicoherent sheaves. This is a monoidal ∞ -category with $\otimes_{\mathcal{O}_X}$ and $\mathbb{1} = \mathcal{O}_X$. Setting this up according to the above formalism is nontrivial and relies on some unintuitive straightening / unstraightening arguments.

Example 3.4. Let G be a group scheme. Then QC(G) acquires an additional monoidal structure $*_G$ (convolution) from the group structure on G. Informally, this is given by $\mathscr{F}*_G\mathscr{G}=\mathfrak{m}_*(\pi_1^*\mathscr{F}\otimes\pi_2^*\mathscr{G})$, where $\pi_i:G\times G\to G$ is projection onto the ith factor.

Note that the former example should be "commutative" – this would be additional structure (rather than a property). The role of Δ above is to encode the order of multiplication. If we wanted to describe commutative monoid objects, we would use the category of finite pointed sets (with no orders in sight) rather than Δ .

Remark 3.5. Let $F: C \to D$ be a functor between categories with finite products.

- If F preserves finite limits, then F induces a functor $Alg(C) \to Alg(D)$.
- More generally, if F has a "lax structure" $F(X) \times F(Y) \to F(X \times Y)$, then F still induces a functor $F: Alg(C) \to Alg(D)$, where the multiplication on F(A) is defined via

$$F(A) \times F(A) \to F(A \times A) \xrightarrow{F(\mathfrak{m})} F(A).$$

This can be used to construct the convolution structure on QC(G).

The following gives a practical application of this viewpoint:

Example 3.6. The work of Nadler-Yun on automorphic gluing gives a presentation of the affine Hecke category \mathcal{H} in terms of bubbling. That is, they construct a monoidal category \mathcal{H}^{bub} using bubbling and prove an equivalence of monoidal categories $\mathcal{H} \simeq \mathcal{H}^{bub}$. The simplicial objects here are constructed using geometry.

3.2 Digression on loop spaces

In topology, the based loop space $\Omega_x X$ can be defined as a pullback

$$\Omega_{\mathbf{x}} \mathbf{X} = \mathbf{P} \mathbf{X} \times_{\mathbf{X}} \{ \mathbf{x} \}$$

where PX is the space of paths $\gamma:[0,1]\to X$ with $\gamma(0)=x$, and PX $\to X$ is evaluation at 1. Note that PX is contractible and PX $\to X$ is a fibration, so $\Omega_x X$ can be viewed as the homotopy pullback pt \times_X pt.

Definition 3.7. Let C be an ∞ -category with finite limits, and let $pt \to X$ be a pointed object. The *loop object* $\Omega_x X$ is the pullback $\Omega_x X = pt \times_X pt$.

The loop object $\Omega_x X$ has a natural monoid structure coming from the Čech nerve construction (applied to pt $\to X$):

Definition 3.8. If $W \to X$ is a morphism, the *Čech nerve* is the simplicial object C_{\bullet} with $C_0 = W$, $C_1 = W \times_X W$, $C_2 = W \times_X W \times_X W$, etc. where all morphisms come from projections / diagonals.

Similarly, this gives a monoid structure on the free loop space $\mathcal{L}X = X \times_{X \times X} X$. (In the context of algebraic stacks, this recovers the inertia stack.)

3.3 Actions

Definition 3.9. Let C be an ∞ -category with finite products and $A:\Delta^{\mathrm{op}}\to C$ a monoid. A (left) action of A on an object M (equivalently, a left A-module) is a simplicial object $M_{\bullet}:\Delta^{\mathrm{op}}\to C$ together with a map of simplicial objects $\mathfrak{p}:M_{\bullet}\to A$ such that

1.
$$M_0 \simeq M$$

2. For all n, the maps $\mathfrak{p}([n]): M([n]) \to A([n])$ and $M([n]) \to M(\{n\})$ exhibit M([n]) as the product $A([n]) \times M(\{n\})$.

The category of left A-modules LMod_A is the full $\mathsf{sub}\text{-}\infty\text{-}\mathsf{category}$ of $\mathsf{Fun}(\Delta^\mathsf{op},\mathsf{C})_{/A}$ spanned by such objects.

Informally, M_{\bullet} has $M_0 \simeq M$, $M_1 \simeq A_1 \times M_0$ (with $M_1 \to M_0$ encoding the naïve action), and more generally $M_n \simeq A_1^{\times n} \times M_0$, etc. with higher morphisms encoding coherences.

The quotient M/A is the colimit of the diagram $M_{\bullet}: \Delta^{\mathrm{op}} \to C$. For example, we can obtain the stack BG as pt/G.

Example 3.10. Let $f: X \to Y$ be a morphism of schemes. Then $(QC(Y), \otimes_{\mathcal{O}_Y})$ acts on QC(X) via $(\mathcal{F}, \mathcal{G}) \mapsto f^*\mathcal{F} \otimes \mathcal{G}$.

Example 3.11. If G is a group scheme and $G \cap X$ is an action, then $(QC(G), *_G)$ acts on QC(X).

$4 \quad 2/22$ (Peter Haine) – Continued

Last time: Let C be a (implicitly ∞ -)category with finite products. Then we can construct a category of algebras $Alg(C) \subset Fun(\Delta^{\operatorname{op}}, C)$. In particular, the category of monoidal categories is $\mathsf{MonCat}_{\infty} = \mathsf{Alg}(\mathsf{Cat}_{\infty})$. Given an algebra A, we can construct an category of modules $\mathsf{LMod}_A(C) \subset \mathsf{Fun}(\Delta^{\operatorname{op}}, C)_{/A}$.

The forgetful functors $Alg(C) \to C$ and $LMod_A(C) \to C$ (both given by $X_{\bullet} \mapsto X_1$) preserve all limits that C admits. In particular, $MonCat_{\infty} \to Cat_{\infty}$ preserves limits.

4.1 Commutative algebras

Definition 4.1. Let C be a category with finite products. A *commutative algebra* in C is a functor A : $Set_*^{fin} \to C$ such that:

- 1. $A(*) \simeq *$
- 2. (Analogue of Segal condition) For all finite pointed sets S and T, the natural diagram

$$A(S \lor T) \longrightarrow A(T)$$

$$\downarrow \qquad \qquad \downarrow$$

$$A(S) \longrightarrow A(*)$$

is a pullback diagram.

We write $\mathsf{CAlg}(\mathsf{C}) \subset \mathsf{Fun}(\mathsf{Set}^{\mathrm{fin}}_*,\mathsf{C})$ for the category of commutative algebras in C .

Write $S_+ = S \sqcup \{*\}$ for the pointed set obtained by freely adjoining a point to a set S. The *underlying object* of a commutative algebra A is $A(\{1\}_+)$.

Remark 4.2. Given the first condition, the Segal-type condition is equivalent to the condition that, for all $n \ge 1$, the collapse maps $\{1, \ldots, n\}_+ \to \{i\}_+$, defined by $i \mapsto i$ and $j \mapsto *$ for $j \ne i$, induce an equivalence

$$A(\{1,\dots,n\}_+)\stackrel{\sim}{\to} \prod_{i=1}^n A(\{i\}_+).$$

Example 4.3. A symmetric monoidal category is a commutative algebra in Cat_{∞} .

³If we used $M(\{0\})$ throughout in place of $M(\{n\})$, we would obtain a right action.

4.2 Eckmann-Hilton and \mathbb{E}_n -algebras

In some (highly connected) cases, commutativity is equivalent to the existence of compatible algebra structures.

Lemma 4.4 (Eckmann-Hilton). Let X be a set with operations \cdot and \star which are unital and satisfy

$$(a \cdot b) \star (c \cdot d) = (a \star c) \cdot (b \star d).$$

Then $\cdot = \star$, both operations are associative and commutative, and $1 \cdot = 1_{\star}$.

This has some useful consequences.

Corollary 4.5. For (X, x_0) a pointed topological space, the groups $\pi_n(X, x_0)$ are abelian for $n \ge 2$.

Corollary 4.6. If C is an ordinary category with finite products, then $Alg(Alg(C)) \simeq CAlg(C)$.

Note that this is not true for ∞ -categories. Instead we define:

Definition 4.7. The category of \mathbb{E}_n -algebras in an ∞ -category C is $\mathsf{Alg}_{\mathbb{E}_n}(C) := \mathsf{Alg}^n(C) = \mathsf{Alg}(\ldots \mathsf{Alg}(C) \ldots)$. We also write $\mathsf{Alg}_{\mathbb{E}_n}(C)$ for $\mathsf{CAlg}(C)$.

The equivalence of this with the operadic definition of \mathbb{E}_n -algebras is the "Dunn-Lurie additivity theorem."

Theorem 4.8.

$$\mathsf{CAlg}(\mathsf{C}) = \lim_{\mathfrak{n}} \mathsf{Alg}_{\mathbb{E}_{\mathfrak{n}}}(\mathsf{C})$$

where the limit is taken along the forgetful maps $Alg_{\mathbb{E}_{n+1}}(C) \to Alg_{\mathbb{E}_n}(C)$.

Theorem 4.9. If C is an n-category, then $CAlg(C) \simeq Alg_{\mathbb{E}_{n+1}}(C)$.

In particular, \mathbb{E}_3 -algebras in the 2-category of ordinary categories are symmetric monoidal (ordinary) categories. We call \mathbb{E}_2 -algebras in this 2-category "braided monoidal (ordinary) categories."

4.3 Comparison with associative algebras

To obtain an associative algebra from a commutative algebra, we need a functor $\Delta^{\mathrm{op}} \to \mathsf{Set}^{\mathrm{fin}}_*$ which sends [n] to $\{1,\ldots,n\}_+$. This will be constructed using the following observation of Joyal.

Let Int be the category of linearly ordered finite sets of cardinality at least two and order preserving maps that preserve minimum and maximum elements. (The notation Int is meant to suggest intervals.)

Lemma 4.10. The functor $\Delta^1 = \operatorname{Hom}_{\Delta}(-,[1]) : \Delta^{\operatorname{op}} \to \operatorname{Int}$ is an equivalence of categories with inverse $\operatorname{Hom}_{\operatorname{Int}}(-,\{\bot<\top\}) : \operatorname{Int}^{\operatorname{op}} \to \Delta$.

The desired functor is the composite

$$\operatorname{cut}: \Delta^{\operatorname{op}} \xrightarrow{\sim} \operatorname{Int} \to \operatorname{\mathsf{Set}}^{\operatorname{fin}}_*,$$

where $Int \to Set_*^{fin}$ is given by identifying the maximum and minimum elements with each other (and setting the equivalence class to be the distinguished point). One can think of cut as wrapping an interval around a circle. Note that cut factors through Connes' cyclic category Λ_* .

We can use $(-) \circ \text{cut to map } \mathsf{CAlg}(\mathsf{C})$ to $\mathsf{Alg}(\mathsf{C})$.

4.4 Tensor product of modules

Let C be a category, $A \in Alg(C)$, $M \in RMod_A(C)$, and $N \in LMod_A(C)$. We can form a bar complex / simplicial object with terms $Bar(M,N)_i = M \times A^i \times N$ and face maps given by the action of A (with degeneracy maps given by the algebra structure on A). We define

$$M \otimes_A N = \operatorname{colim}_{\Delta^{\operatorname{op}}} \operatorname{Bar}(M, N).$$