

Algorithms (CS-204)

Recursion

What is recursion?

- Sometimes, the best way to solve a problem is by solving a **smaller version** of the exact same problem first
- Recursion is a technique that solves a problem by solving a **smaller problem** of the same type

Problems defined recursively

- There are many problems whose solution can be defined recursively

Example: *n factorial*

$$n! = \begin{cases} 1 & \text{if } n = 0 \\ (n-1)! * n & \text{if } n > 0 \end{cases} \quad (\text{recursive solution})$$

$$n! = \begin{cases} 1 * 2 * 3 * \dots * (n-1) * n & \text{if } n > 0 \end{cases} \quad (\text{closed form solution})$$

Recursion vs. iteration

- Recursive solutions are often less efficient, in terms of both *time* and *space*, than iterative solutions
- Recursion can simplify the solution of a problem, often resulting in *shorter*, more easily understood source code

Three Things to remember

1. Base Condition:

Is there a non-recursive way out of the function, and does the routine work correctly for this "base" case?

2. Progress towards Base condition and eventually meet base condition:

Does each recursive call to the function involve a smaller case of the original problem, leading inescapably to the base case?

3. Correctness:

Assuming that the recursive call(s) work correctly, does the whole function work correctly?

How is recursion implemented?

- What happens when a function gets called?

```
int f1(int x)
{
    return 2*x;
}
```

```
int f2(int x)
{
    int z,y;
    ..... // other statements
    z = f1(x) + y;
    return z;
}
```

```
int main(){
    int x=5;
    print f2(5);
    return 0;
}
```

What happens when a function is called?

- An **activation** record is stored into a stack (**run-time stack**)
 - 1) The computer has to stop executing function **f2** and starts executing function **f1**
 - 2) Since it needs to come back to function **f2** later, it needs to store everything about function **f2** that is going to need (**x**, **y**, **z**, and the place to start executing upon return)
 - 3) Then, **x** from **f2** is bounded to **x** of **f1**
 - 4) Control is transferred to function **f1**

Factorial

- function fact(x)
{
 if (x == 1) {
 return 1;
 }
 else {
 return x * fact(x-1);
 }
}

CODE

CALL STACK

fact(3)

FACT
X 3

FIRST CALL TO fact.
X is 3.

if x==1:

FACT
X 3

else:

FACT
X 3

A RECURSIVE CALL!

return x * fact(x-1)

FACT
X 2
FACT
X 3

NOW WE ARE IN
THE SECOND CALL
TO fact. X is 2

if x==1:

FACT
X 2
FACT
X 3

THE TOPMOST FUNCTION
CALL IS THE CALL WE
ARE CURRENTLY IN

else:

FACT
X 2
FACT
X 3

NOTE: BOTH FUNCTION CALLS
HAVE A VARIABLE NAMED X
AND THE VALUE OF X
IS DIFFERENT IN BOTH

return x * fact(x-1)

FACT
X 1
FACT
X 2
FACT
X 3

YOU CAN'T ACCESS
THIS CALL'S X
FROM THIS CALL
AND VICE VERSA

if x==1:

FACT
X 1
FACT
X 2
FACT
X 3

WOW, WE MADE
THREE CALLS TO
fact, BUT WE
HAD NOT FINISHED
A SINGLE CALL UNTIL
NOW!

return 1

FACT
X 1
FACT
X 2
FACT
X 3

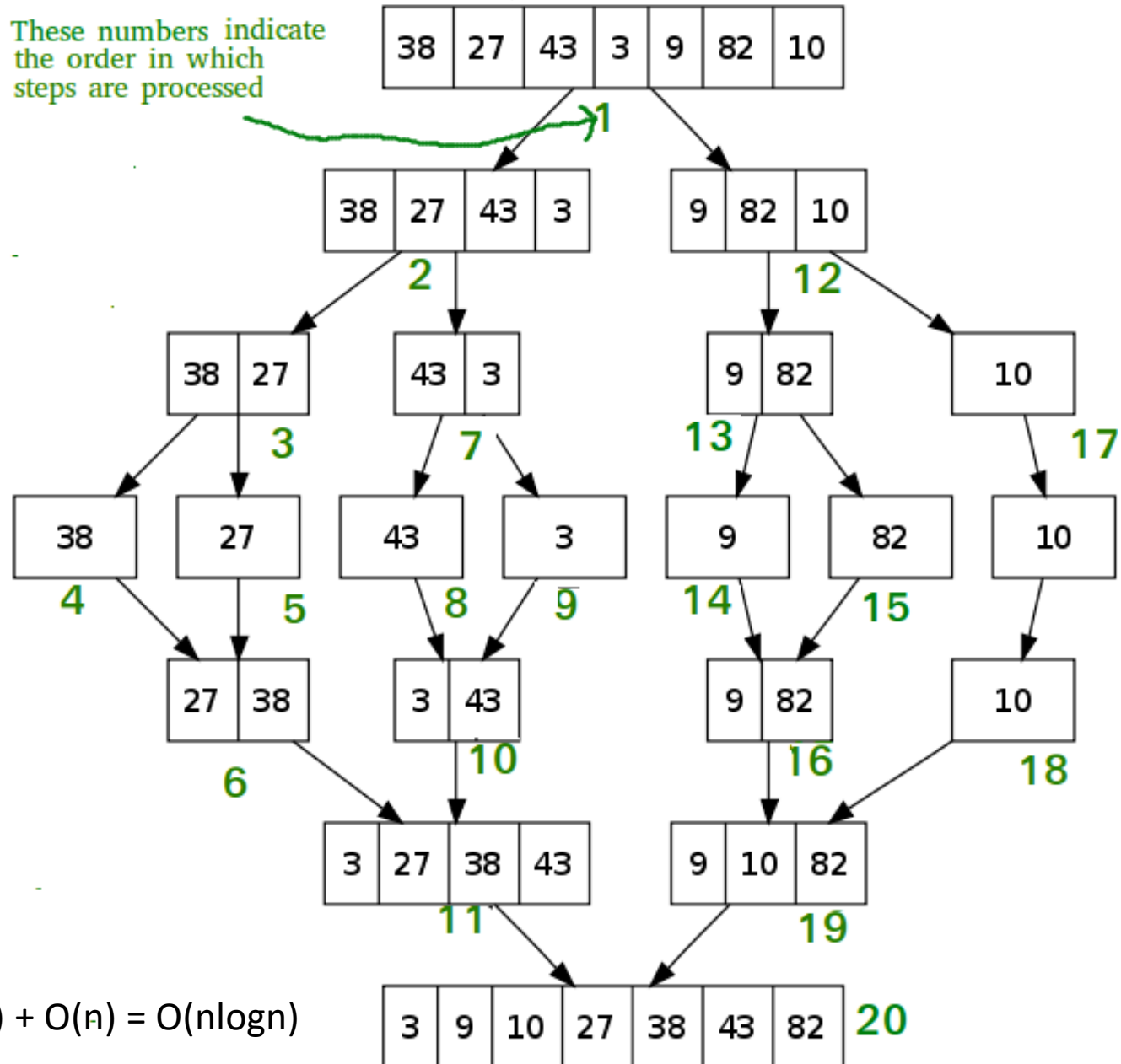
THIS IS THE FIRST BOX
TO GET POPPED OFF THE
STACK, WHICH MEANS
IT'S THE FIRST CALL WE
RETURN FROM

RETURNS 1

Few More Examples

Merge Sort

These numbers indicate the order in which steps are processed



$$T(n) = 2T(n/2) + O(n) = O(n \log n)$$

Merge Sort

- **Input:** Given an unordered list of integers $L=[l_1, l_2, l_3 \dots l_n]$ where $l_i \in \text{Integers}$,
- **Output** $L1=[m_1, m_2, \dots, m_n]$ and $m_i \leq m_{i+1}$ for all $1 \leq i < n-1$ and $m_i = l_j$ for $1 \leq i, j \leq n$ and $\text{set}(L) = \text{set}(L1)$

- **MergeSort(arr[], l, r)**

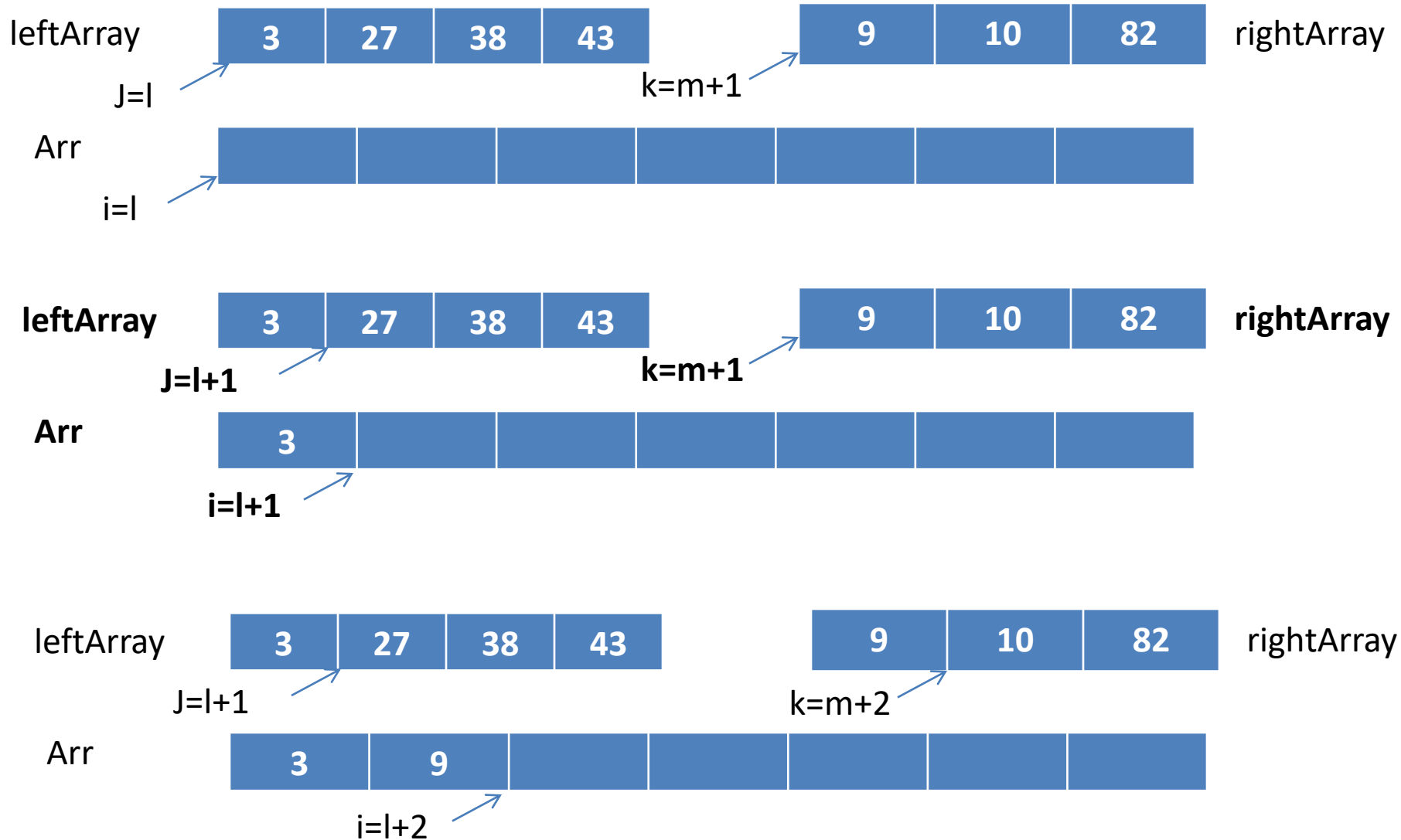
If $r > l$

1. Find the middle point to divide the array into two halves: $\text{middle } m = (l+r)/2$
2. Call mergeSort for first half: Call $\text{mergeSort}(\text{arr}, l, m)$
3. Call mergeSort for second half: Call $\text{mergeSort}(\text{arr}, m+1, r)$
4. Merge the two halves sorted in step 2 and 3: Call $\text{merge}(\text{arr}, l, m, r)$

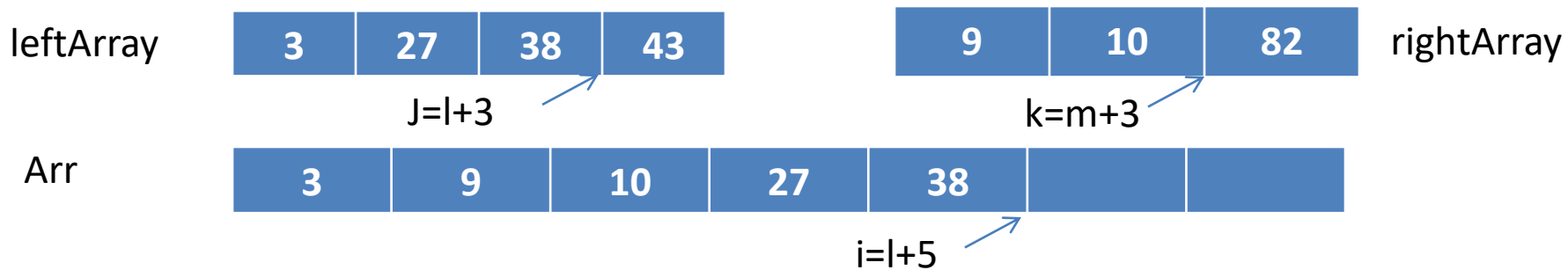
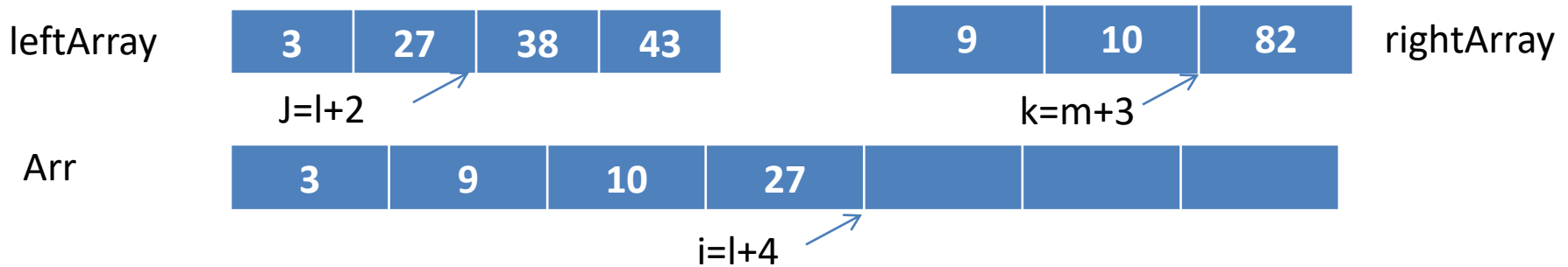
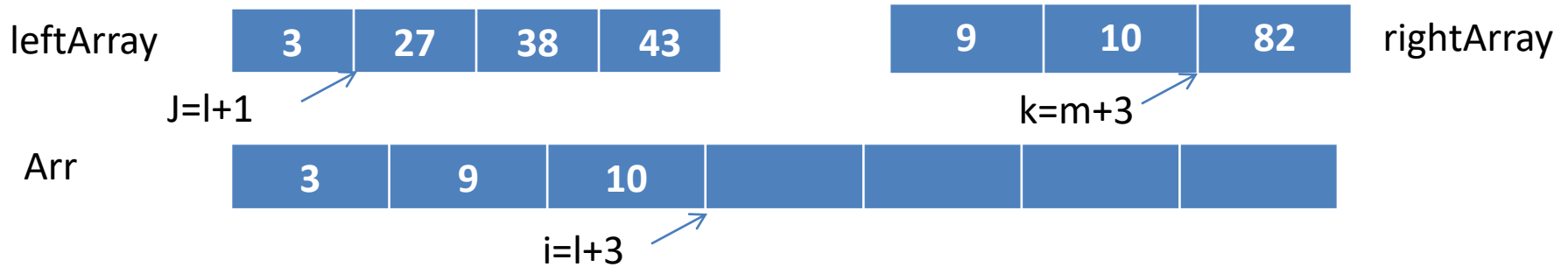
```
merge(arr, l, m, r) {  
    int leftArray[m-l+1],rightArray[r-m];  
    for i:l to m  
        – leftArray[i-l]=arr[i]  
    for i:m+1 to r  
        – rightArray[i-m-1]=arr[i]  
    i=l,j=l,k=m+1;  
    While(j<=m and k<=r)  
        – If(leftArray[j]<=rightArray[k])  
            • Arr[i++]=leftArray[j++];
```

- else
 - `Arr[i++]=rightArray[k++];`
- `While(i<=r)`
 - `if(j<=m)`
 - `Arr[i++]=leftArray[j++]`
 - else
 - `Arr[i++]=rightArray[k++]`

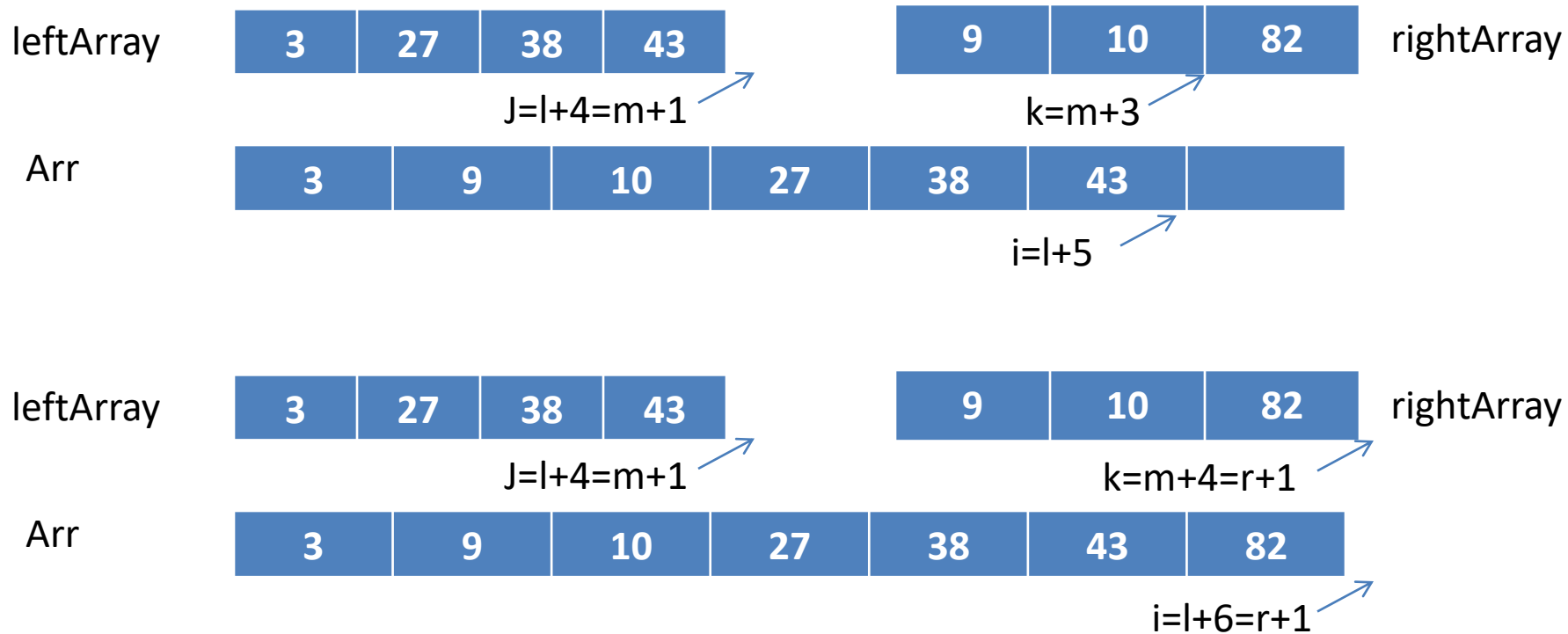
Merge Example



Merge Example



Merge Example



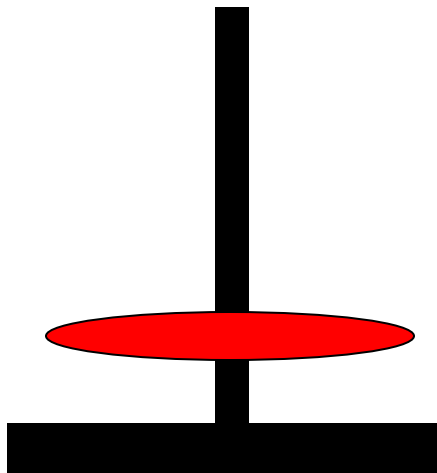
Homework

- Try to develop a recursive version for merging two sorted arrays.

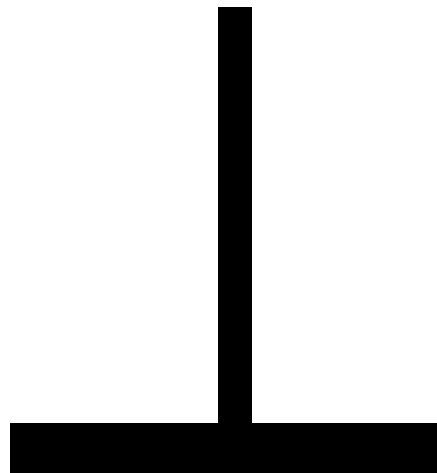
Tower of Hanoi

- There are three towers A, B, C
- There are n disks, with decreasing sizes (largest disk is placed at the bottom of the tower and on the top smallest size disk is placed), on the first tower A
- You need to move all of the disks from A to B following few rules
 - You can move only one disk at a time
 - You can move only that disk which is currently at the top of any stack
 - Larger disks can not be placed on top of a smaller disk
 - Tower C can be used to temporarily hold disks

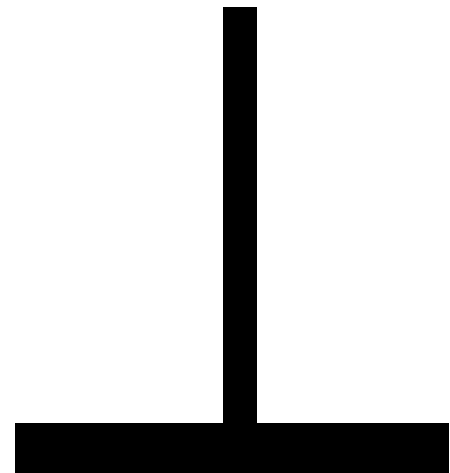
Base Case



A

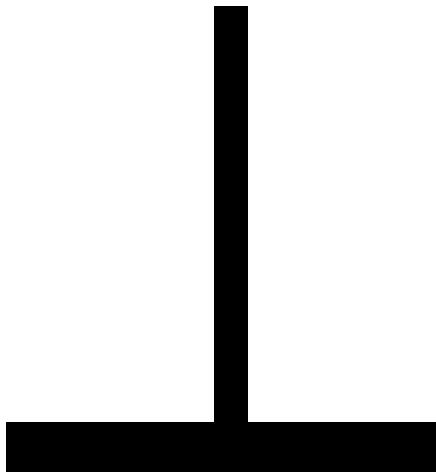


B

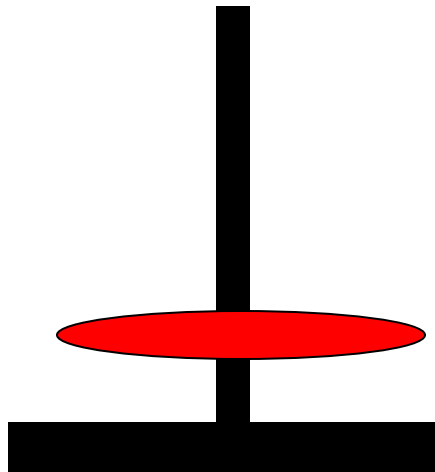


C

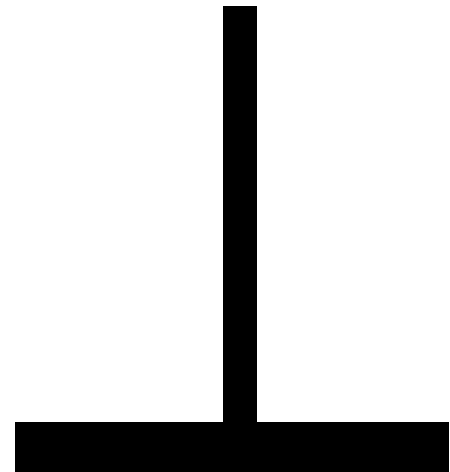
Base Case



A

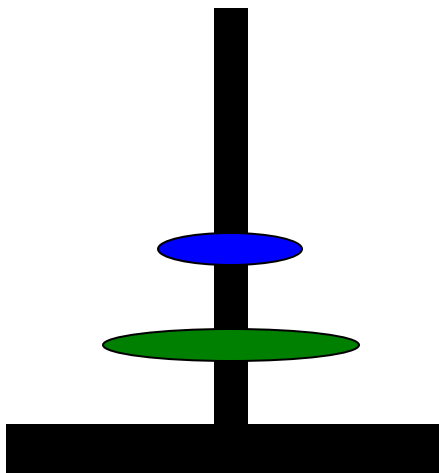


B

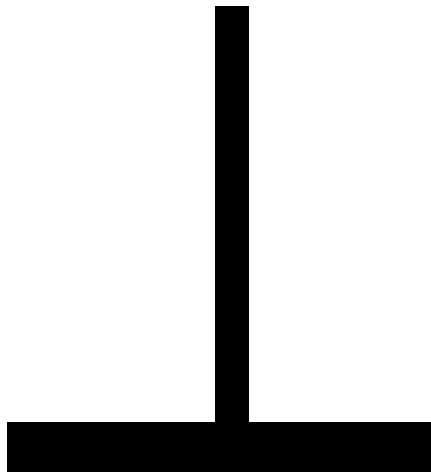


C

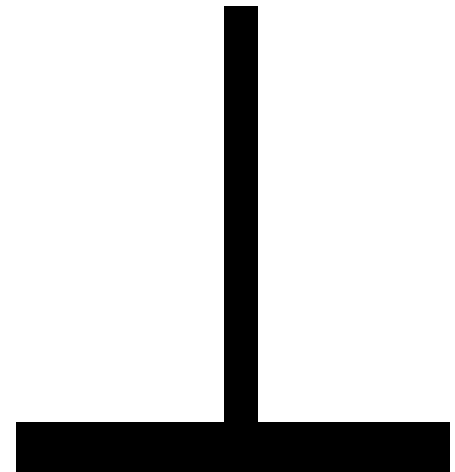
Using Base case to solve problem with 2 disks



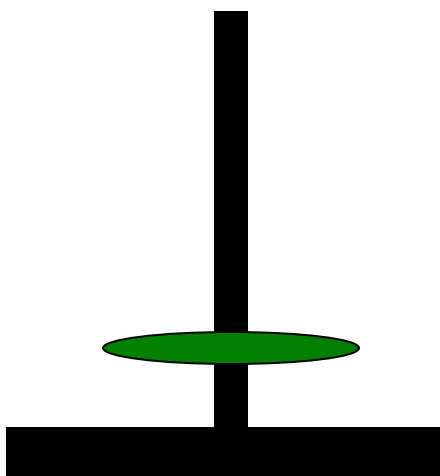
A



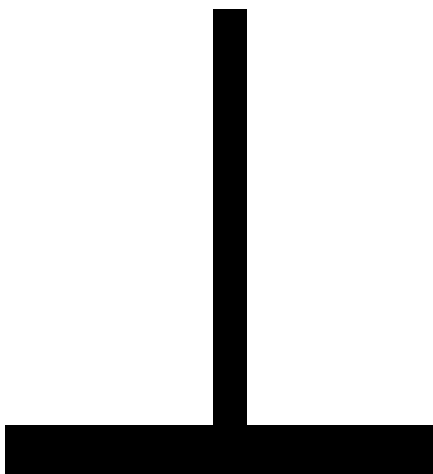
B



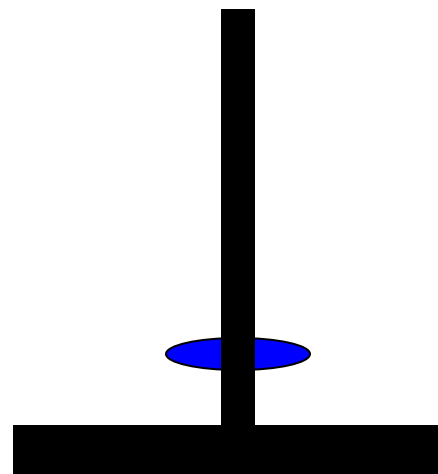
C



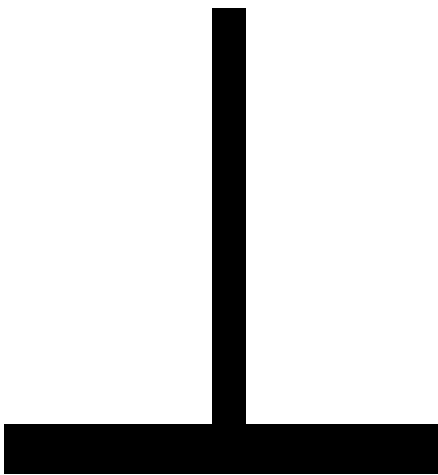
A



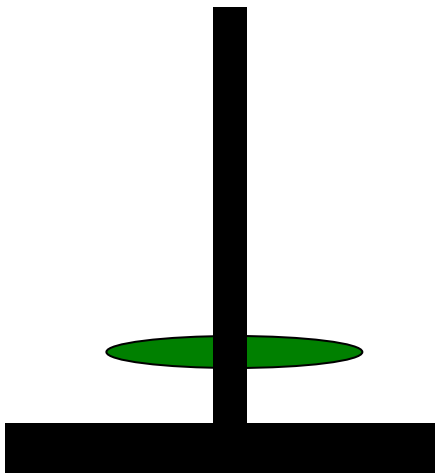
B



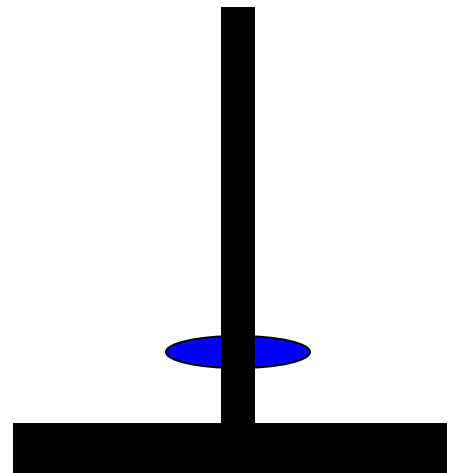
C



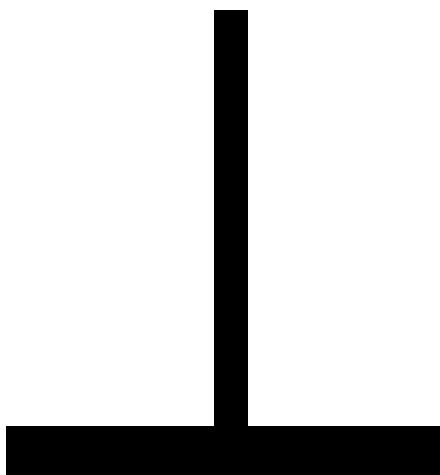
A



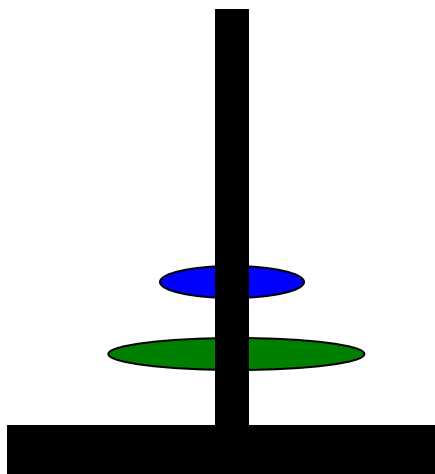
B



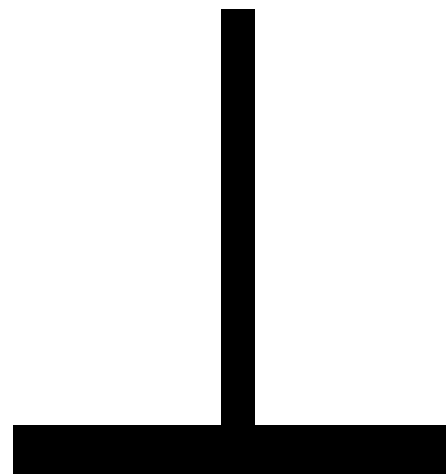
C



A

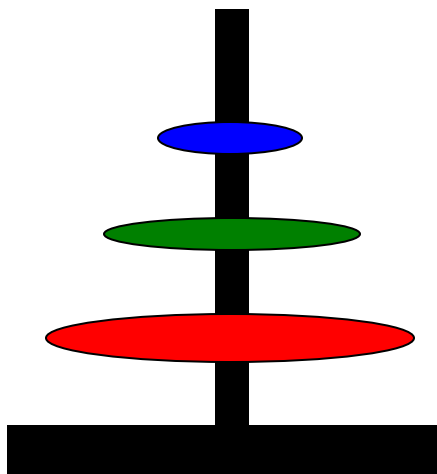


B

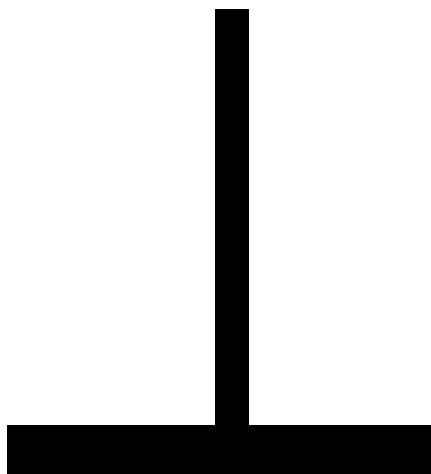


C

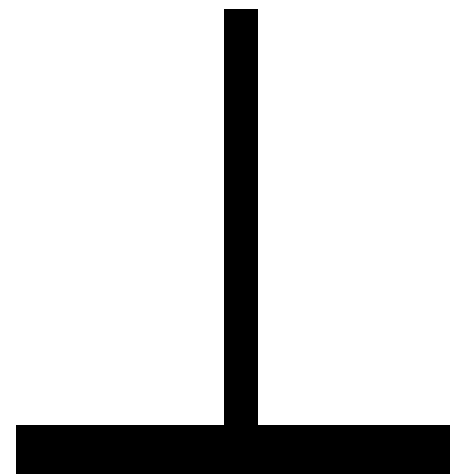
Using solution of 2 disks to solve
problem with 3 disks



A

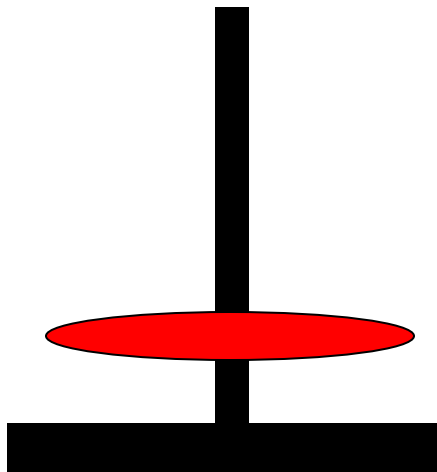


B

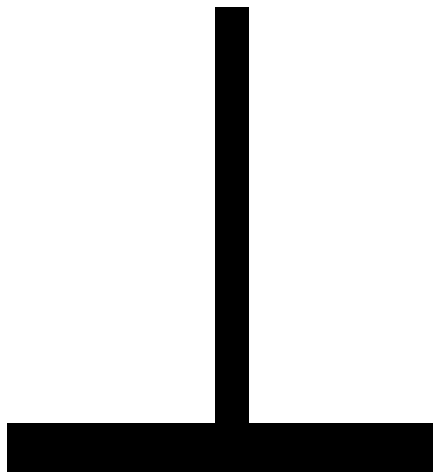


C

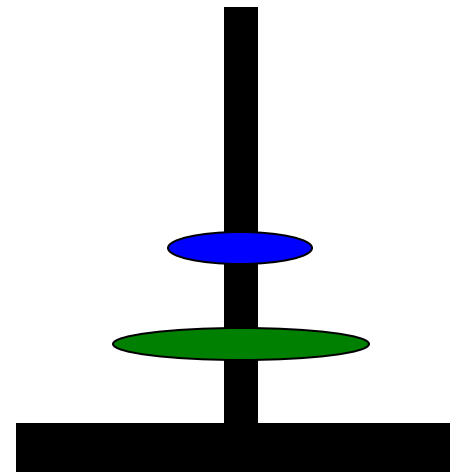
Recursive Solution



A

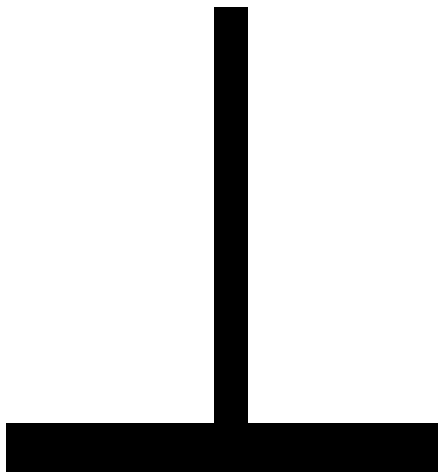


B

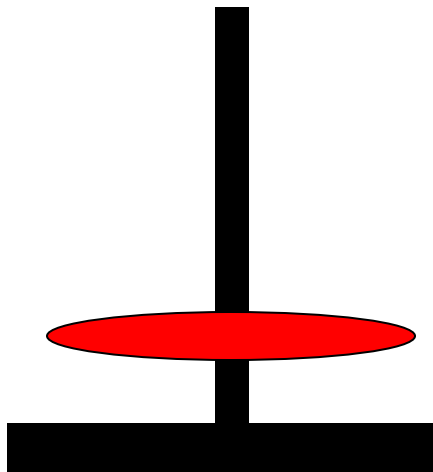


C

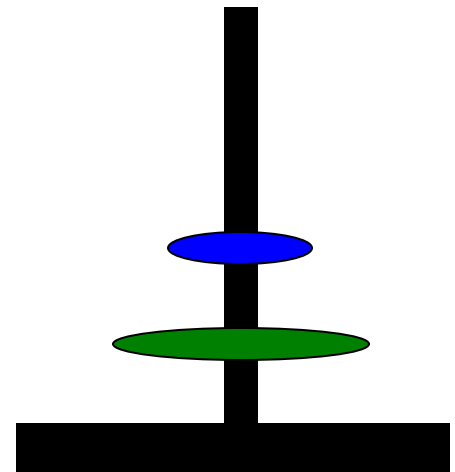
Recursive Solution



A

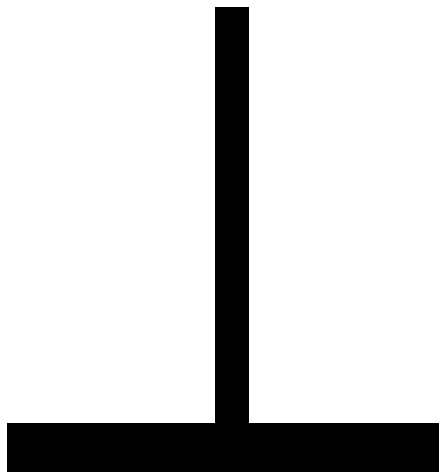


B

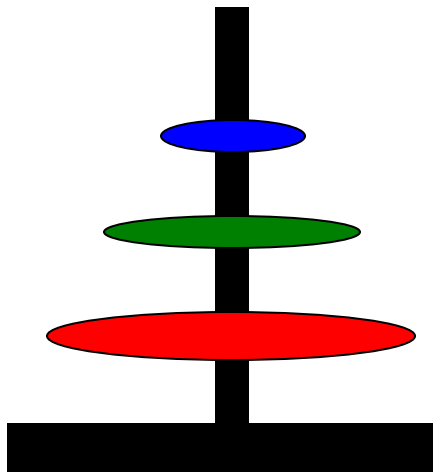


C

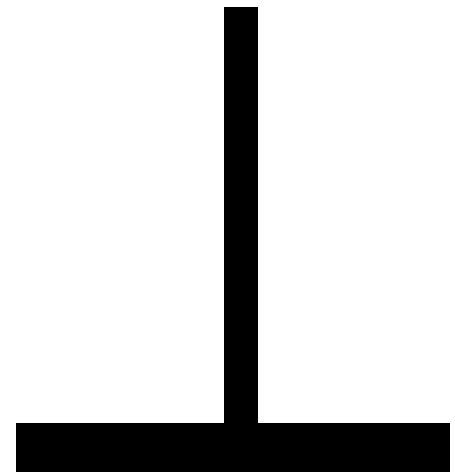
Recursive Solution



A

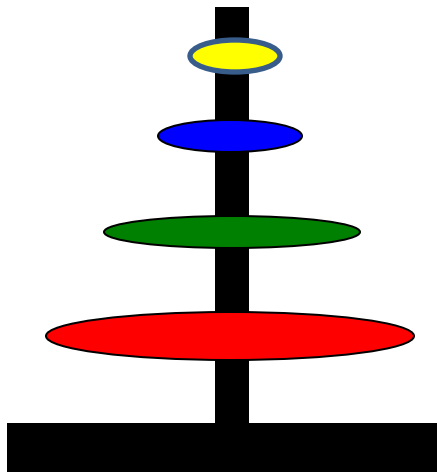


B

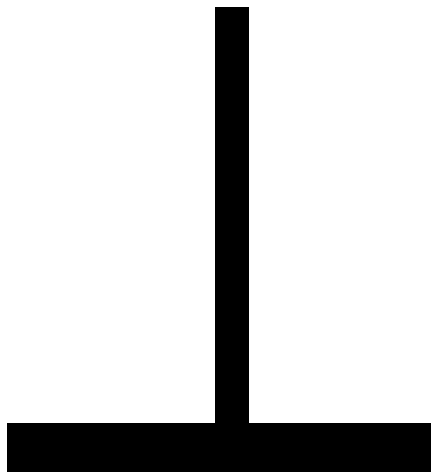


C

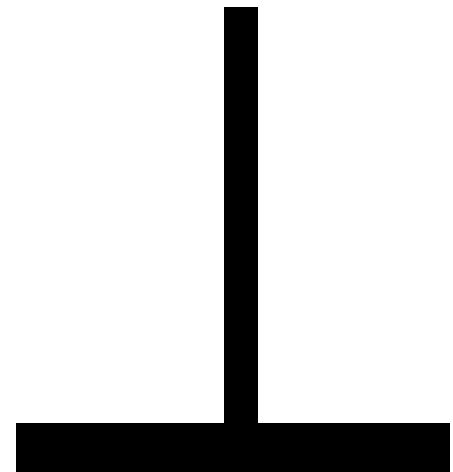
Using solution of 3 disks to solve
problem with 4 disks



A

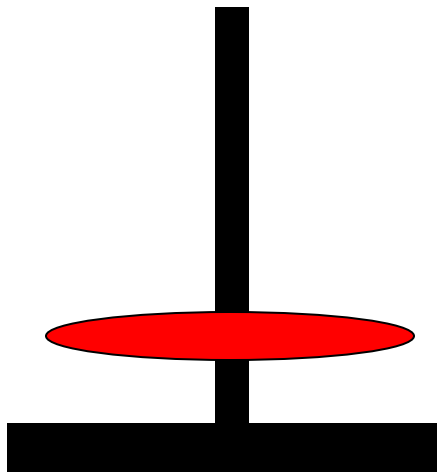


B

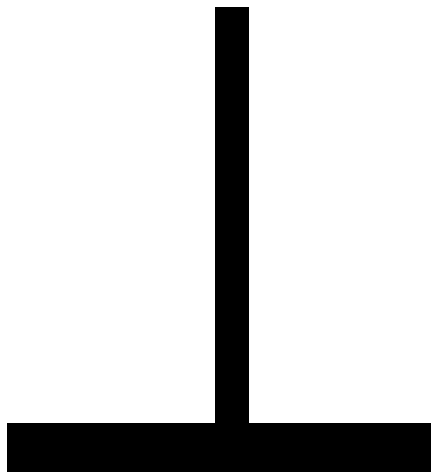


C

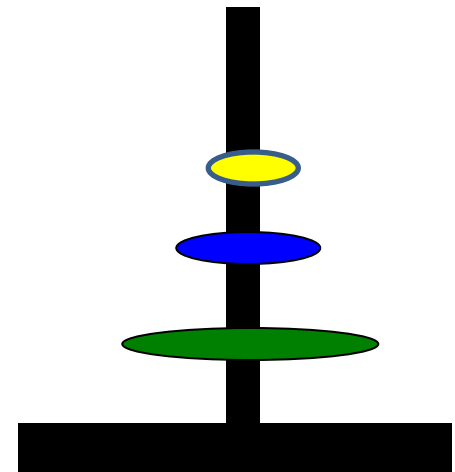
Using solution of 3 disks to solve problem with 4 disks



A

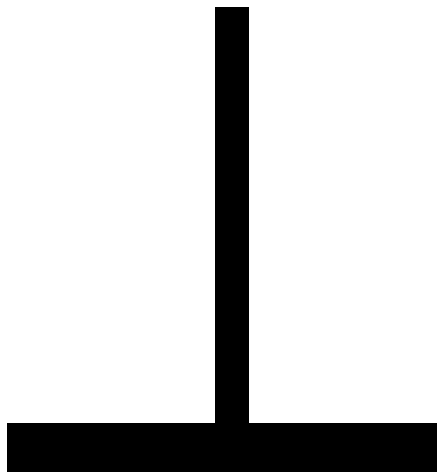


B

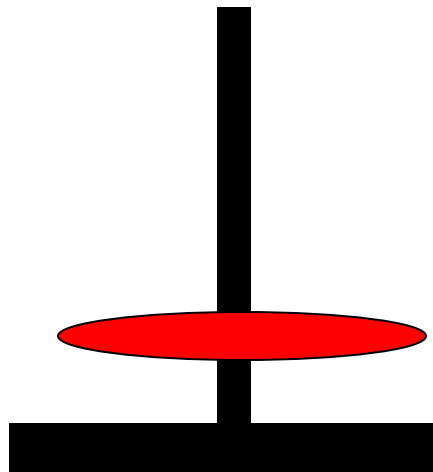


C

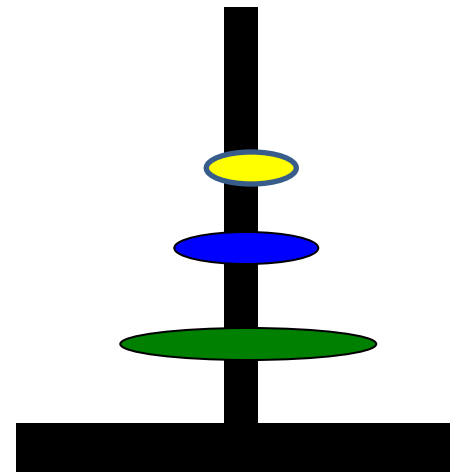
Using solution of 3 disks to solve problem with 4 disks



A

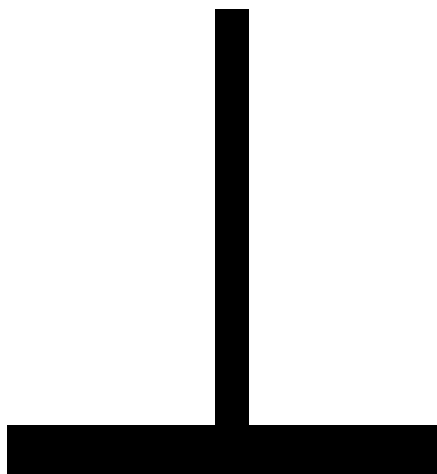


B

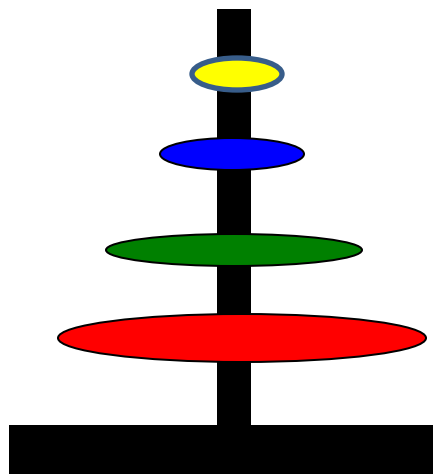


C

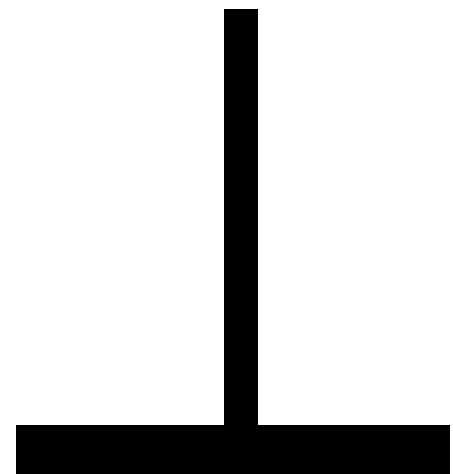
Using solution of 3 disks to solve
problem with 4 disks



A



B



C

Recursive Algorithm

```
void Hanoi(int n, string A, string B, string C)
{
    if (n == 1) /* base case */
        Move(A,B);
    else { /* recursion */
        Hanoi(n-1,A,C,B);
        Move(A,B);
        Hanoi(n-1,C,B,A);
    }
}
```

Induction

- To prove a statement $S(n)$ for positive integers n
 - Prove $S(1)$
 - Prove that if $S(n)$ is true [inductive hypothesis] then $S(n+1)$ is true.
- This implies that $S(n)$ is true for $n=1,2,3,\dots$

Cost

- The number of moves $M(n)$ required by the algorithm to solve the n -disk problem satisfies the recurrence relation
 - $M(n) = 2M(n-1) + 1$
 - $M(1) = 1$

Guess and prove

- Calculate $M(n)$ for small n and look for a pattern.
- Guess the result and prove your guess correct using induction.

n	$M(n)$
1	1
2	3
3	7
4	15
5	31

Substitution Method

- Unwind recurrence, by repeatedly replacing $M(n)$ by the r.h.s. of the recurrence until the base case is encountered.

$$M(n) = 2M(n-1) + 1$$

$$= 2 * [2 * M(n-2) + 1] + 1 = 2^2 * M(n-2) + 1 + 2$$

$$= 2^2 * [2 * M(n-3) + 1] + 1 + 2$$

$$= 2^3 * M(n-3) + 1 + 2 + 2^2$$

Geometric Series

- After k steps

$$M(n) = 2^k * M(n-k) + 1+2 + 2^2 + \dots + 2^{n-k-1}$$

- Base case encountered when $k = n-1$

$$M(n) = 2^{n-1} * M(1) + 1+2 + 2^2 + \dots + 2^{n-2}$$

$$= 1 + 2 + \dots + 2^{n-1} = \sum_{i=0}^{n-1} 2^i$$

Max Sub Array Sum

- You are given a one dimensional array that may contain both positive and negative integers, find the sum of contiguous subarray of numbers which has the largest sum.
- For example, if the given array is {-2, -5, **6**, **-2**, **3**, **1**, **5**, -6}, then the maximum subarray sum is 7 (see highlighted elements).

- Iterative method with two loops can solve the problem
- Cost of the solution in terms of number of addition:
- $(n-1)+(n-2)+\dots+1=(n-1)(n-2)/2 = (n^2 - 3n + 2)/2 = O(n^2)$

Solution with Divide and Conquer

- MaxSubSum(list L)
 - Divide the list into L1 and L2
 - x_1 = Find the MaxSubSum of L1 in recursive manner
 - x_2 = Find the MaxSubSum of L2 in recursive manner
 - x_3 = Find the MaxSubSum of list which includes last element of L1 and first element of L2
 - Return max of (x_1, x_2, x_3)

- Base Condition: when there is single element in the list return it.
- How to get x3?
- Start from last element of left list and find max sum and in similar way start with first element of right list and find max sum then add

- $T(n) = 2T(n/2) + \text{cost of conquer} = 2T(n/2) + n$
 $= 2(2T(n/4) + n/2) + n = 4T(n/4) + 2n$
 $= 4(2T(n/8) + n/4) + 2n = 8T(n/8) + 3n$
 $= 2^i T(n/2^i) + i * n = n \log_2 n$

Sum of maximum of all subarrays

- **Input** : $\text{arr}[] = \{1, 3, 1, 7\}$
- **Output** : 42
- Max of all sub-arrays:
- $\{1\} - 1$
- $\{1, 3\} - 3$
- $\{1, 3, 1\} - 3$
- $\{1, 3, 1, 7\} - 7$
- $\{3\} - 3$
- $\{3, 1\} - 3$
- $\{3, 1, 7\} - 7$
- $\{1\} - 1$
- $\{1, 7\} - 7$
- $\{7\} - 7$
- $\text{Total} = 1 + 3 + 3 + 7 + 3 + 3 + 7 + 1 + 7 + 7 = 42$

- When array contains following **a b max c d**
- How much max will contribute?
- max X number of groups in which max is maximum element.
- Max will be maximum element of following subgroups
- max
- b max
- a b max
- max c
- b max c
- a b max c
- max c d
- b max c d
- a b max c d
- Can we come up with a formula?

- Lets say (l, r) is a range in which max is the maximum element
- Lets also say that index of max is i
- In left of max, number of elements is $i-l$ and in right of max number of elements is $r-i$.
- From left side we can choose sub-array in $i-l+1$ way where max is included
- Like a b max
- b max
- max
- We can choose in 3 ways where max is included
- Similarly for each sub-array chosen from left side we can choose right-sub array in $(r-i+1)$ ways
- So number of sub-array where $arr[i]$ will contribute is $(i-l+1)*(r-i+1)$

```
maxSumSubarray(arr, l, r) {  
    if(l==r)  
        return arr[l];  
    i=index_of_max(arr,l,r)  
    return (arr[i]*(r-i+1)*(i-l+1) +  
        maxSumSubarray(arr, l, i-1) +  
        maxSumSubarray(arr, i+1, r))  
}
```


HomeWork

- Develop a recursive code for trinary search. A search would be called trinary when input list is divided in three sub-lists of size $n/3$, $(n-n/3)/2$, $n-(n-n/3)/2 - n/3$ and search is performed in appropriate sub-list.
- Make a comparison of worst case cost for binary search and trinary search for $n=10-100$ and find if you can conclude which one is better.
- Input : A list of sorted integer in increasing order and an element to be searched
- Output: position of the element in the list if it is found otherwise -1.