## Playing Atari games with an Interpretable Agent

Erwan Lecarpentier, Dennis G. Wilson, Sylvain Cussat-Blanc, Hervé Luga, Guillaume Jubelin, and, Lionel Cordesses

November 9, 2021











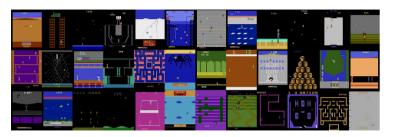
1. [Context] Interpretability in Atari

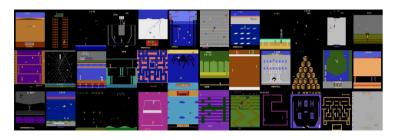
- 1. [Context] Interpretability in Atari
- 2. [Method] Cartesian Genetic Programming

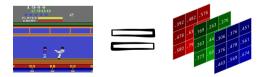
- 1. [Context] Interpretability in Atari
- 2. [Method] Cartesian Genetic Programming
- 3. [Experiments] Results on Atari

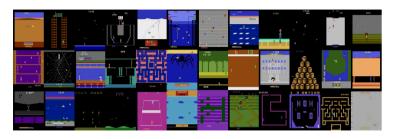
- 1. [Context] Interpretability in Atari
- 2. [Method] Cartesian Genetic Programming
- 3. [Experiments] Results on Atari
- 4. [Conclusion] Next steps

- 1. [Context] Interpretability in Atari
- 2. [Method] Cartesian Genetic Programming
- 3. [Experiments] Results on Atari
- 4. [Conclusion] Next steps

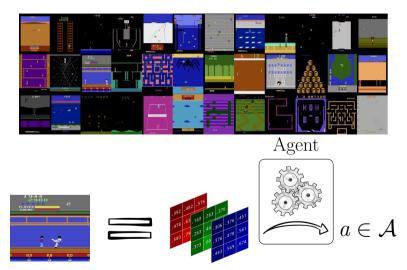












# [Context] Interpretability

## [Context] Interpretability

Interpretability is the degree to which a human can understand the cause of a decision<sup>1</sup>.

 $<sup>^1\</sup>mathrm{Miller},$  Tim. "Explanation in artificial intelligence: Insights from the social sciences." Artificial intelligence 267 (2019): 1-38

Interpretability

"Techniques for Interpretable Machine Learning", Du, Liu, and Hu 2019

Interpretability	Inherent Self-explained model	Post-hoc Explain model with another model
------------------	-------------------------------	---

"Techniques for Interpretable Machine Learning", Du, Liu, and Hu2019

Interpretability	Inherent Self-explained model	$egin{aligned} \mathbf{Post-hoc} \ Explain \ model \ with \ another \ model \ \dots \end{aligned}$
Global		
$\dots \ for \ ALL$		
decisions		
Local		
$\dots$ for $SOME$		
decisions		

"Techniques for Interpretable Machine Learning", Du, Liu, and Hu2019

Interpretability	Inherent Self-explained model	$egin{aligned} \mathbf{Post-hoc} \ Explain \ model \ with \ another \ model \ \dots \end{aligned}$
$\begin{array}{c} \textbf{Global} \\ \dots \ for \ ALL \\ decisions \end{array}$	Linear model	
Local for SOME decisions		

<sup>&</sup>quot;Techniques for Interpretable Machine Learning", Du, Liu, and Hu2019

Interpretability	Inherent Self-explained model	$egin{aligned} \mathbf{Post} ext{-}\mathbf{hoc} \ Explain \ model \ with \ another \ model \ \ldots \end{aligned}$
$\begin{array}{c} \textbf{Global} \\ \dots \ for \ ALL \\ decisions \end{array}$	Linear model	Learn activating input images in CNN
Local for SOME decisions		

<sup>&</sup>quot;Techniques for Interpretable Machine Learning", Du, Liu, and Hu2019

Interpretability	Inherent Self-explained model	$egin{array}{c} \mathbf{Post-hoc} \ Explain \ model \ with \ another \ model \ \dots \end{array}$
$\begin{array}{c} \textbf{Global} \\ \dots \ for \ ALL \\ decisions \end{array}$	Linear model	Learn activating input images in CNN
Local for SOME decisions	Attention mechanisms	

<sup>&</sup>quot;Techniques for Interpretable Machine Learning", Du, Liu, and Hu2019

Interpretability	Inherent Self-explained model	Post-hoc Explain model with another model
$\begin{array}{c} \textbf{Global} \\ \dots \ for \ ALL \\ decisions \end{array}$	Linear model	Learn activating input images in CNN
$\begin{array}{c} \textbf{Local} \\ \dots \ \textit{for SOME} \\ \textit{decisions} \end{array}$	Attention mechanisms	Local approximation with white-box model

<sup>&</sup>quot;Techniques for Interpretable Machine Learning", Du, Liu, and Hu2019

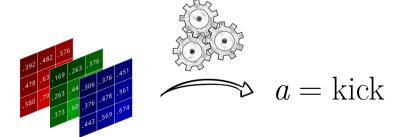


Why did you take the action "kick"?



Why did you take the action "kick"?

#### Because:





Why did you take the action "kick"?

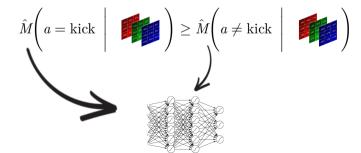
Because:

$$\hat{M}\left(a=\mathrm{kick}\;\left|\;\;\hat{M}\left(a\neq\mathrm{kick}\;\right|\;\;\;\right)$$



Why did you take the action "kick"?

Because:





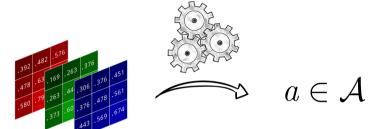
Why did you take the action "kick"?

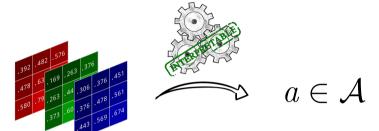
#### Because:

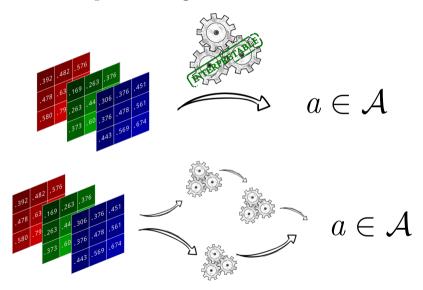
 $\sigma$  (0.403  $\times$  0.635  $\pm$  0.472  $\times$  0.687  $\pm$  0.281  $\times$  0.53  $\pm$  0.866  $\times$  0.931  $\pm$  0.182  $\times$  0.427 + 0.834  $\times$  0.913 +  $\sigma$  (0.986  $\times$  0.993 + 0.169  $\times$  0.412) + 0.755  $\times$  $0.869 \pm 0.352 \times 0.593 \pm 0.366 \times 0.605) \pm \sigma$  (0.662 × 0.813 ± 0.639 × 0.8  $\pm 0.281 \times 0.53 \pm 0.516 \times 0.718 \pm 0.187 \times 0.432) \pm \sigma (0.867 \times 0.931 \pm$ 0.017 × 0.058 ± 0.703 × 0.80 ± 0.303 × 0.637 ± 0.381 × 0.531 ± 0.5 × 0.707 + 0.772 × 0.870) + \(\sigma\) (0.854 × 0.024 + 0.411 × 0.641 + 0.052 × 0.228 + 67 (0.712 × 0.844 + 0.050 × 0.070) + 0.107 × 0.444 + 0.456 × 0.675 ± 0.785 × 0.886) ±  $\sigma$  (0.72 × 0.849 ± 0.998 × 0.999 ± 0.216 × 0.465 + 0.034 × 0.184 + 0.003 × 0.058 + 0.55 × 0.741 + 0.949 × 0.974 + 0.815  $\times$  0.903)  $\pm \sigma$  (0.768  $\times$  0.876  $\pm$  0.494  $\times$  0.703  $\pm$  0.838  $\times$  0.915)  $\pm \sigma$  (0.153 X 0.301 ± 0.103 X 0.322 ± 0.344 X 0.587 ± 0.136 X 0.369 ± 0.115 X 0.339 10205 X 0542 + 0656 X 081 + 004 X 021 + 07 (0402 X 0625 + 0472 X 0.007 + 0.201 X 0.52 + 0.000 X 0.021 + 0.102 X 0.427 + 0.024 X 0.012 + σ (0.986 × 0.993 + 0.169 × 0.412) + 0.755 × 0.869 + 0.352 × 0.593 + 0.266 × 0.665 +  $\sigma$  (0.662 × 0.612 + 0.626 × 0.6 + 0.261 × 0.62 + 0.616  $\times$  0.718  $\pm$  0.187  $\times$  0.432)  $\pm$   $\sigma$  (0.867  $\times$  0.931  $\pm$  0.917  $\times$  0.958  $\pm$  0.793  $\times$ 0.89 ± 0.393 × 0.627 ± 0.281 × 0.531 ± 0.5 × 0.707 ± 0.772 × 0.879) ±  $\sigma$  $(0.854 \times 0.924 + 0.411 \times 0.641 + 0.052 \times 0.228 + \sigma (0.712 \times 0.844 +$ 0.959 × 0.979) ± 0.197 × 0.444 ± 0.456 × 0.675 ± 0.785 × 0.886) ±  $\sigma$  (0.72 X 0.849 + 0.998 X 0.999 + 0.216 X 0.465 + 0.034 X 0.184 + 0.003 X 0.058 1 0 55 X 0 741 1 0 040 X 0 074 1 0 045 X 0 000 1 7 70 700 X 0 070 1  $0.494 \times 0.703 + 0.838 \times 0.915) + \sigma (0.153 \times 0.391 + 0.103 \times 0.322 +$ 

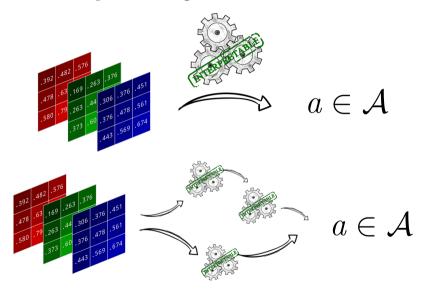
 $0.432) + \sigma$  (0.867  $\times$  0.931 + 0.917  $\times$  0.958 + 0.793  $\times$  0.89 + 0.393  $\times$  0.627  $+0.281 \times 0.531 + 0.5 \times 0.707 + 0.772 \times 0.879) + \sigma (0.854 \times 0.924 + 0.411$  $\times$  0.641 ± 0.052  $\times$  0.228 ±  $\sigma$  (0.712  $\times$  0.844 ± 0.959  $\times$  0.979) ± 0.197  $\times$ 0.444 + 0.456 × 0.675 + 0.765 × 0.860 + 0.072 × 0.840 + 0.006 × 0.000 + 0.216 × 0.465 + 0.024 × 0.184 + 0.002 × 0.058 + 0.55 × 0.741 + 0.040 X 0.074 + 0.815 X 0.003) + \(\sigma\) (0.768 X 0.876 + 0.404 X 0.703 + 0.838 X 0.915) + \(\sigma\) (0.403 \(\times\) 0.635 + 0.472 \(\times\) 0.687 + 0.281 \(\times\) 0.53 + 0.866 \(\times\) 0.931  $\pm 0.182 \times 0.427 \pm 0.834 \times 0.913 \pm \sigma (0.986 \times 0.993 \pm 0.169 \times 0.412) \pm$ 0.755 × 0.869 ± 0.352 × 0.593 ± 0.366 × 0.605) ±  $\sigma$  (0.153 × 0.391 ± 0.103 × 0.322 ± 0.344 × 0.587 ± 0.136 × 0.369 ± 0.115 × 0.339 ± 0.295 × 0.542 ± 0.656 × 0.61 ± 0.04 × 0.21 ± 0.067 × 0.021 ± 0.017 × 0.056 ± 0.702 × 0.80 ± 0.202 × 0.627 ± 0.201 × 0.521 ± 0.5 × 0.707 ± 0.772 × 0.879) + \(\sigma\) (0.854 \(\times\) 0.924 + 0.411 \(\times\) 0.641 + 0.052 \(\times\) 0.228 + \(\sigma\) (0.712 \(\times\) 0.044 + 0.050 × 0.070 + 0.107 × 0.444 + 0.456 × 0.675 + 0.765 × 0.666) +  $\sigma$  (0.72  $\times$  0.849  $\pm$  0.998  $\times$  0.999  $\pm$  0.216  $\times$  0.465  $\pm$  0.034  $\times$  0.184  $\pm$  0.003  $\times$  0.058  $\pm$  0.55  $\times$  0.741  $\pm$  0.949  $\times$  0.974  $\pm$  0.815  $\times$  0.903)  $\pm$   $\sigma$  70.768  $\times$  $0.876 + 0.494 \times 0.703 + 0.838 \times 0.915) + \sigma (0.403 \times 0.635 + 0.472 \times 0.838 \times 0.915) + \sigma (0.403 \times 0.635 + 0.472 \times 0.838 \times 0.915) + \sigma (0.403 \times 0.635 + 0.472 \times 0.838 \times 0.915) + \sigma (0.403 \times 0.635 + 0.472 \times 0.838 \times 0.915) + \sigma (0.403 \times 0.635 + 0.472 \times 0.838 \times 0.915) + \sigma (0.403 \times 0.635 + 0.472 \times 0.838 \times 0.915) + \sigma (0.403 \times 0.635 + 0.472 \times 0.838 \times 0.915) + \sigma (0.403 \times 0.635 + 0.472 \times 0.838 \times 0.915) + \sigma (0.403 \times 0.635 + 0.472 \times 0.838 \times 0.915) + \sigma (0.403 \times 0.635 + 0.472 \times 0.838 \times 0.915) + \sigma (0.403 \times 0.635 + 0.472 \times 0.838 \times 0.915) + \sigma (0.403 \times 0.635 + 0.472 \times 0.838 \times 0.915) + \sigma (0.403 \times 0.635 + 0.472 \times 0.838 \times 0.915) + \sigma (0.403 \times 0.635 + 0.472 \times 0.838 \times 0.915) + \sigma (0.403 \times 0.635 + 0.472 \times 0.838 \times 0.915) + \sigma (0.403 \times 0.635 + 0.472 \times 0.838 \times 0.915) + \sigma (0.403 \times 0.635 + 0.472 \times 0.838 \times 0.915) + \sigma (0.403 \times 0.635 + 0.472 \times 0.838 \times 0.915) + \sigma (0.403 \times 0.838 + 0.472 \times 0.838 \times 0.915) + \sigma (0.403 \times 0.838 + 0.472 \times 0.838 \times 0.915) + \sigma (0.403 \times 0.838 + 0.472 \times 0.838 \times 0.915) + \sigma (0.403 \times 0.838 + 0.472 \times 0.838 \times 0.915) + \sigma (0.403 \times 0.838 + 0.472 \times 0.838 \times 0.915) + \sigma (0.403 \times 0.838 + 0.472 \times 0.838 + 0.472 \times 0.838 \times 0.912 \times 0.912 + 0.472 \times 0.838 \times 0.912 \times 0.912 + 0.002 \times 0.912 \times 0.91$ 0.687 ± 0.281 × 0.53 ± 0.866 × 0.931 ± 0.182 × 0.427 ± 0.834 × 0.913 ±  $\sigma$ (0.000 × 0.000 + 0.100 × 0.410) + 0.755 × 0.000 + 0.253 × 0.502 + 0.200 × 0.605 ) + σ /0.152 × 0.201 + 0.102 × 0.222 + 0.244 × 0.527 + 0.126 × 0.369 + 0.115 X 0.339 + 0.295 X 0.543 + 0.656 X 0.81 + 0.04 X 0.2)

 $\sigma$  (0.662  $\times$  0.813  $\pm$  0.639  $\times$  0.8  $\pm$  0.281  $\times$  0.53  $\pm$  0.516  $\times$  0.718  $\pm$  0.187  $\times$ 









- 1. [Context] Interpretability in Atari
- 2. [Method] Cartesian Genetic Programming
- 3. [Experiments] Results on Atari
- 4. [Conclusion] Next steps

[Method] Approach: Interpretable Encoder – Controller

# [Method] Approach: Interpretable Encoder – Controller

#### Atari Image







#### Actions



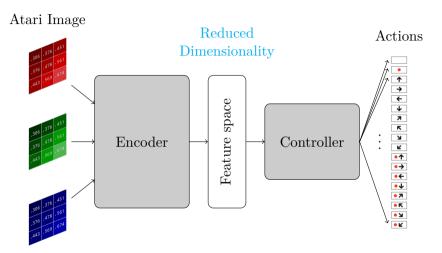


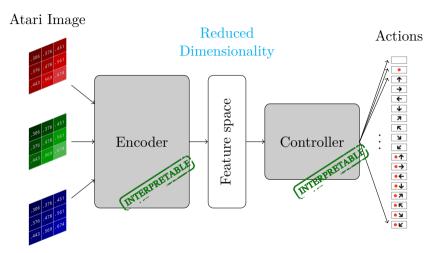


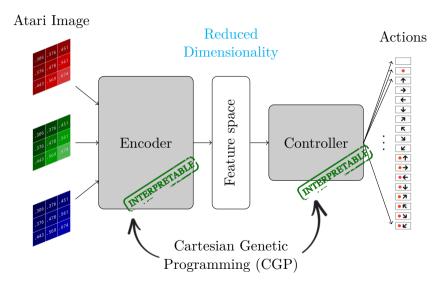


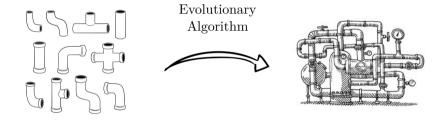


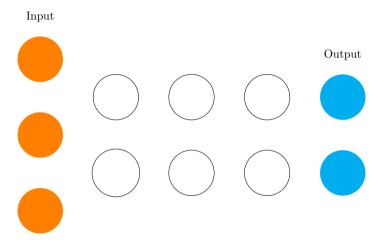


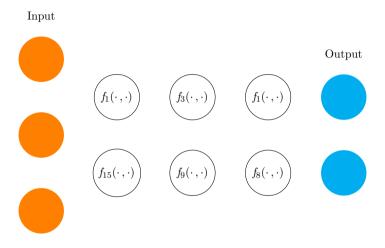




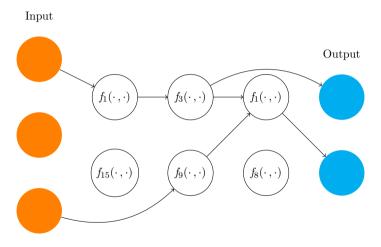




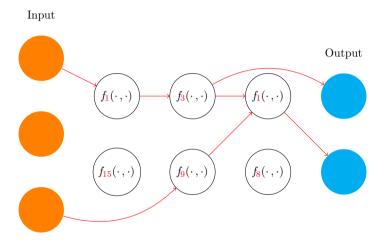




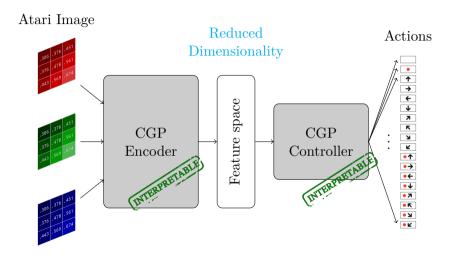
Function pool:  $\{f_i: \mathcal{X}^2 \to \mathcal{X}\}_i$ 

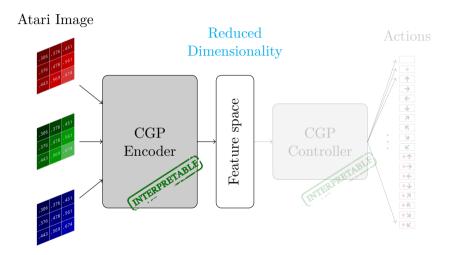


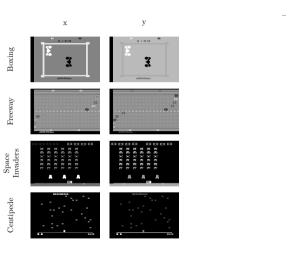
Function pool:  $\{f_i: \mathcal{X}^2 \to \mathcal{X}\}_i$ 

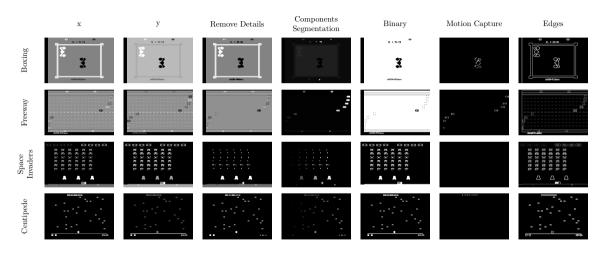


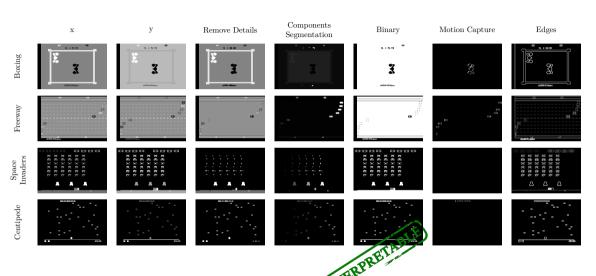
Function pool:  $\{f_i: \mathcal{X}^2 \to \mathcal{X}\}_i$ Genotype:  $[1, 1, 2, 13, 1, \dots, 3] \in \mathbb{N}^{3 \times \text{number of nodes} + \text{number of outputs}}$ 









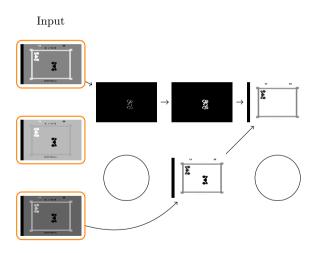


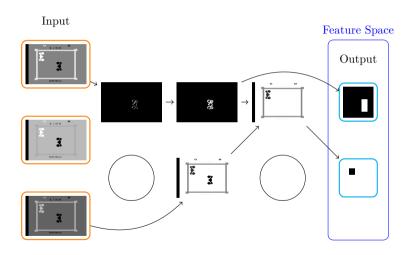
Input

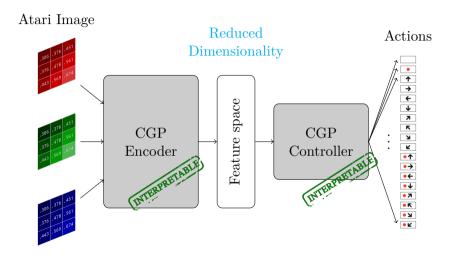


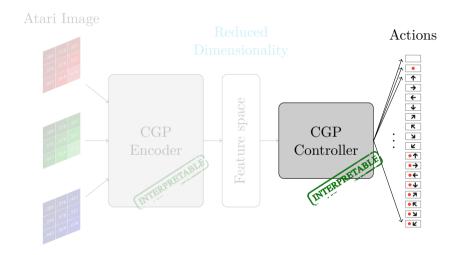








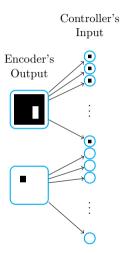


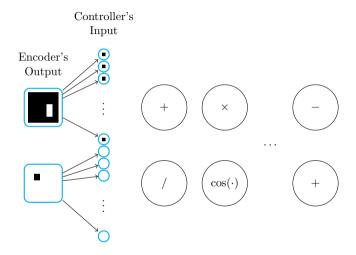


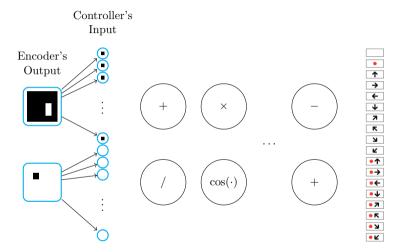
 $\begin{array}{c} {\rm Encoder's} \\ {\rm Output} \end{array}$ 

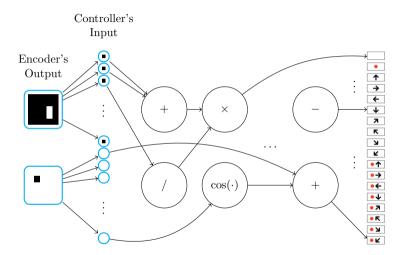


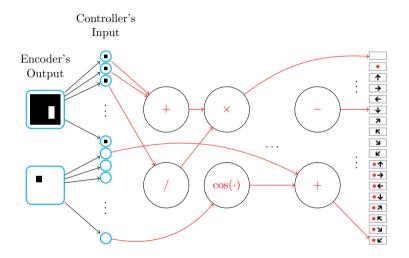




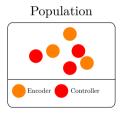


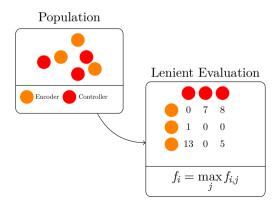


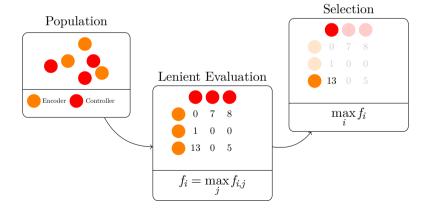


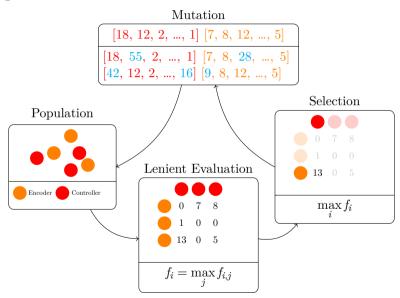


Genotype:  $[1,1,2,13,1,\ldots,3] \in \mathbb{N}^{3 \times number \ of \ nodes \ + \ number \ of \ outputs}$ 









#### Content

- 1. [Context] Interpretability in Atari
- 2. [Method] Cartesian Genetic Programming
- 3. [Experiments] Results on Atari
- 4. [Conclusion] Next steps

## [Experiments] Settings

### [Experiments] Settings

► Encoder input: 1 gray-level down-scaled image

# [Experiments] Settings

- ► Encoder input: 1 gray-level down-scaled image
- ► Stochasticity: repeat\_action\_probability = 0.25

# [Experiments] Settings

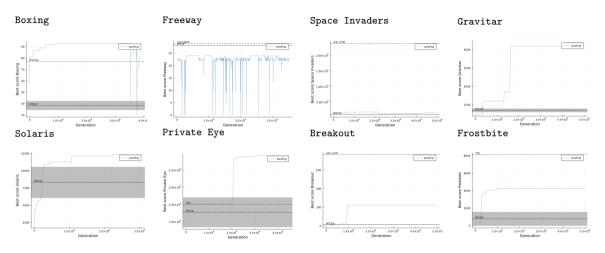
- ► Encoder input: 1 gray-level down-scaled image
- ► Stochasticity: repeat\_action\_probability = 0.25
- ▶ Fitness evaluation: score obtained after 1 roll-out

# [Experiments] Settings

- ► Encoder input: 1 gray-level down-scaled image
- ► Stochasticity: repeat\_action\_probability = 0.25
- ▶ Fitness evaluation: score obtained after 1 roll-out
- ► **Seed:** same seed for all evaluations

[Experiments] Results: performance

## [Experiments] Results: performance



https://github.com/erwanlecarpentier/ICGP-results

[Experiments] Results: interpretability

## [Experiments] Results: interpretability

#### Videos:

Freeway



Space Invaders

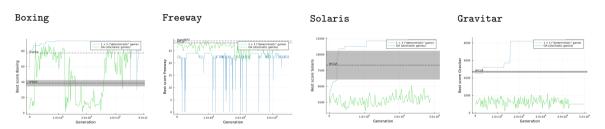


Boxing



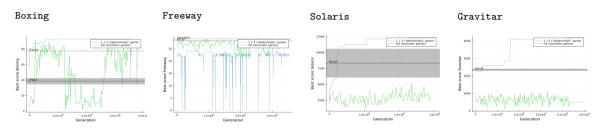
Same setting with a different seed for each generation

Same setting with a different seed for each generation



https://github.com/erwanlecarpentier/ICGP-results

Same setting with a different seed for each generation



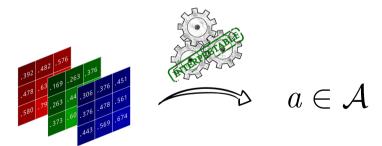
- ► Boxing: collapse
- ► Freeway Solaris Gravitar: no learning progress

https://github.com/erwanlecarpentier/ICGP-results

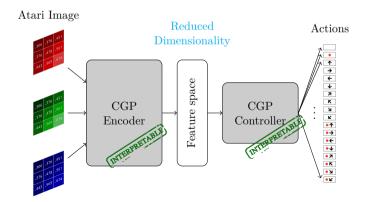
#### Content

- 1. [Context] Interpretability in Atari
- 2. [Method] Cartesian Genetic Programming
- 3. [Experiments] Results on Atari
- 4. [Conclusion] Next steps

► Goal: interpretable agent in pixel-based Atari games



- ► Goal: interpretable agent in pixel-based Atari games
- ▶ Method: CGP co-evolution in an encoder-controller scheme



- ► Goal: interpretable agent in pixel-based Atari games
- ▶ Method: CGP co-evolution in an encoder-controller scheme
- ► Encoder: interpretable image processing functions



- ► Goal: interpretable agent in pixel-based Atari games
- ▶ Method: CGP co-evolution in an encoder-controller scheme
- ► Encoder: interpretable image processing functions
- ► Controller: interpretable scalar functions

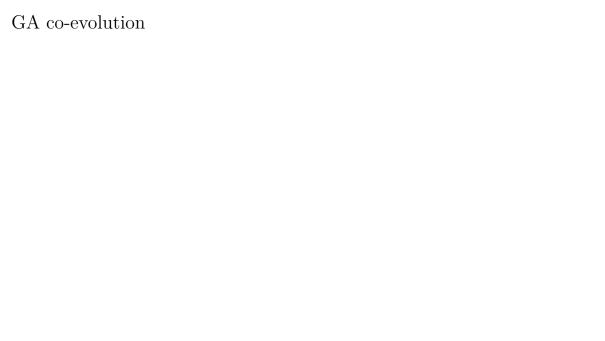


- ► Goal: interpretable agent in pixel-based Atari games
- ▶ Method: CGP co-evolution in an encoder-controller scheme
- ► Encoder: interpretable image processing functions
- ► Controller: interpretable scalar functions
- ► Experiments:

	Performance	Interpretability
Atari deterministic	OK	OK
Atari stochastic	NOT YET	OK



Images: pixabay.com and Wilson, Dennis G., et al. "Evolving simple programs for playing Atari games." GECCO 2018



# Population Encoder Controller

