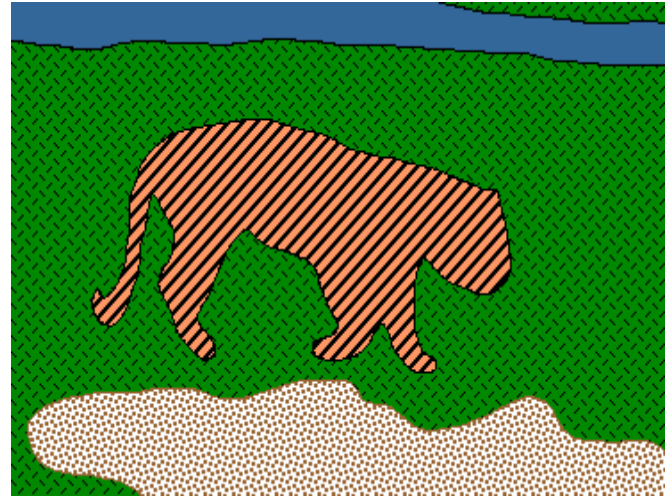


Segmentation and Clustering Methods



From [Sandlot Science](#)

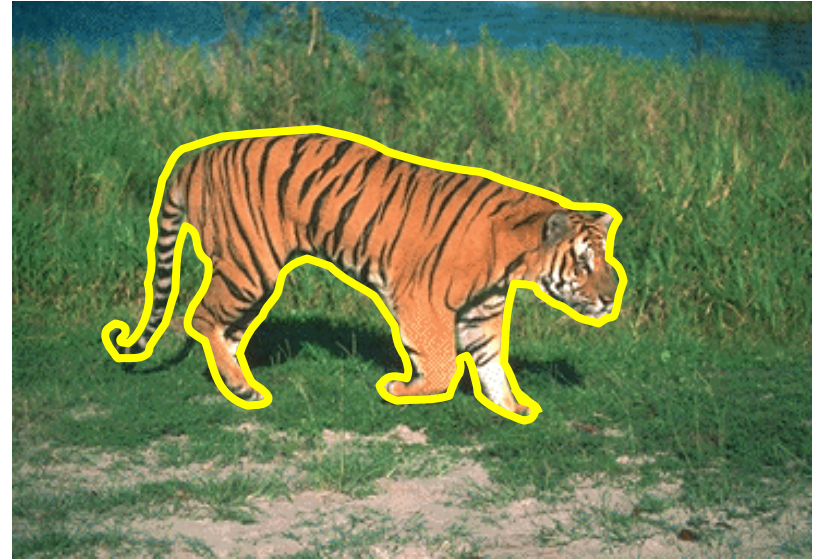
From images to objects



What Defines an Object?

- Subjective problem, but has been well-studied
- Gestalt Laws seek to formalize this
 - proximity, similarity, continuation, closure, common fate
 - see [notes](#) by Steve Joordens, U. Toronto

Extracting objects



How could this be done?

Image Segmentation

Many approaches proposed

- cues: color, regions, contours
- automatic vs. user-guided
- no clear winner
- we'll consider several approaches today

Intelligent Scissors (demo)

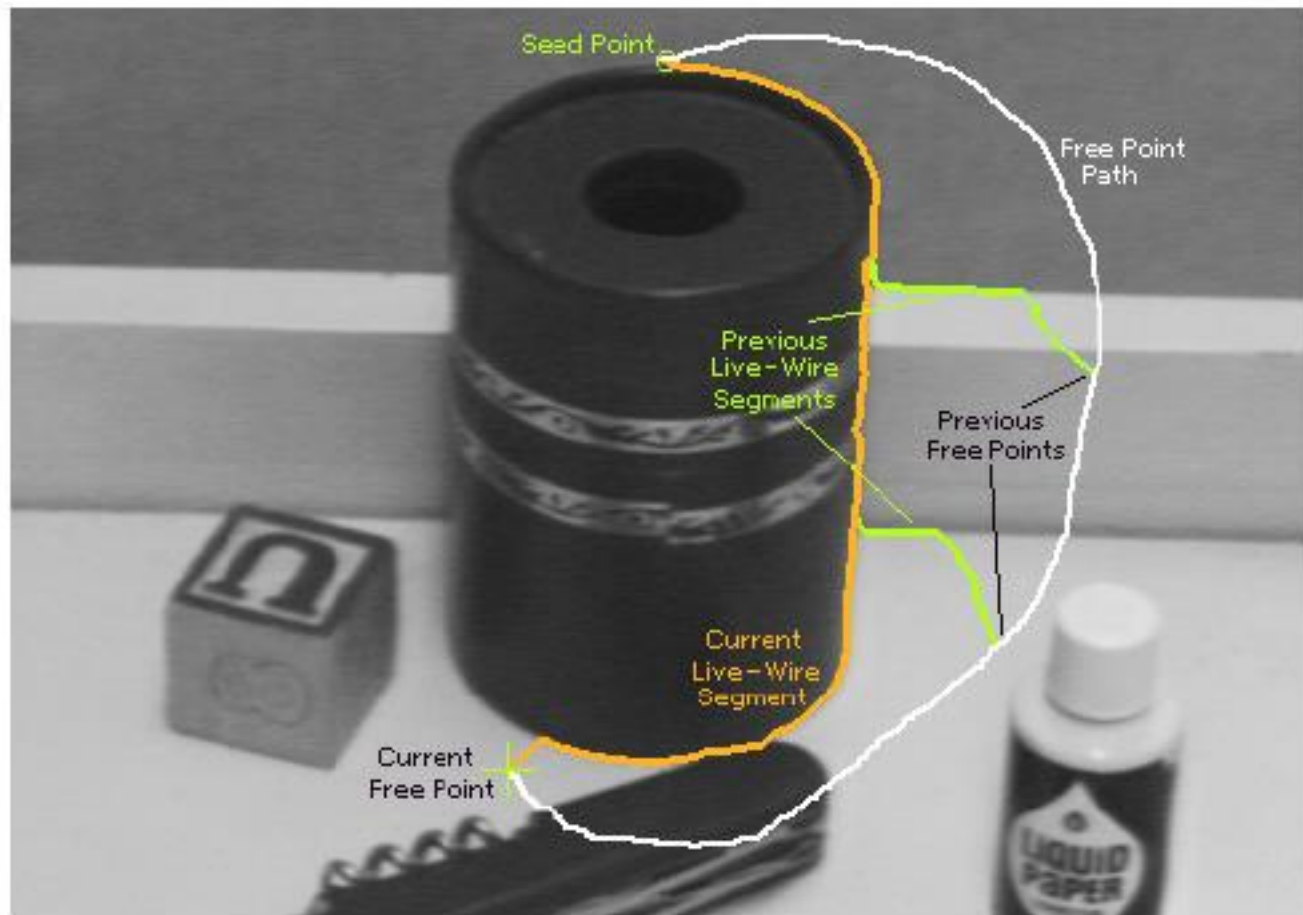


Figure 2: Image demonstrating how the live-wire segment adapts and snaps to an object boundary as the free point moves (via cursor movement). The path of the free point is shown in white. Live-wire segments from previous free point positions (t_0 , t_1 , and t_2) are shown in green.

Intelligent Scissors [Mortensen 95]

Approach answers a basic question

- Q: how to find a path from seed to mouse that follows object boundary as closely as possible?

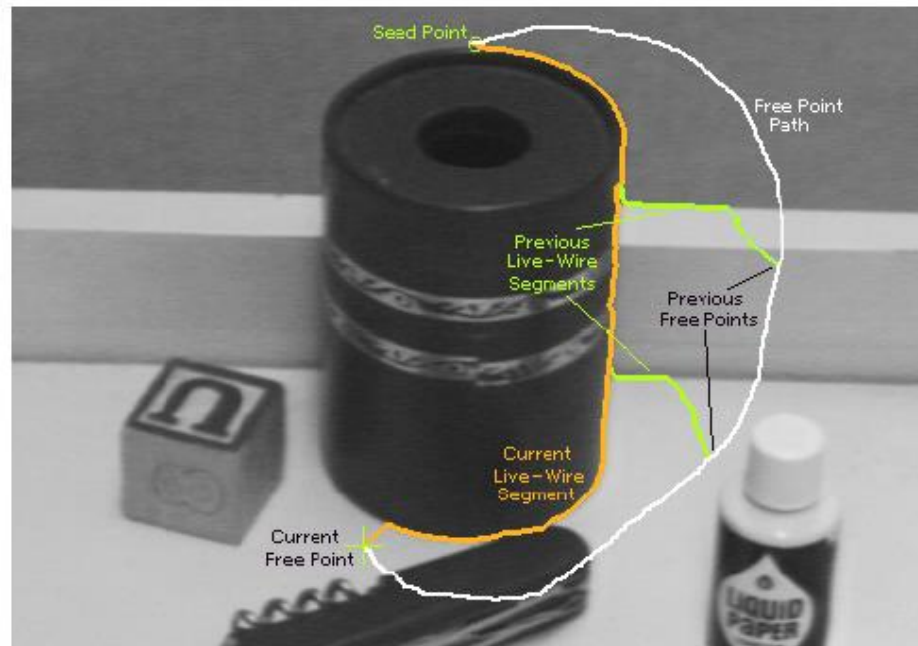
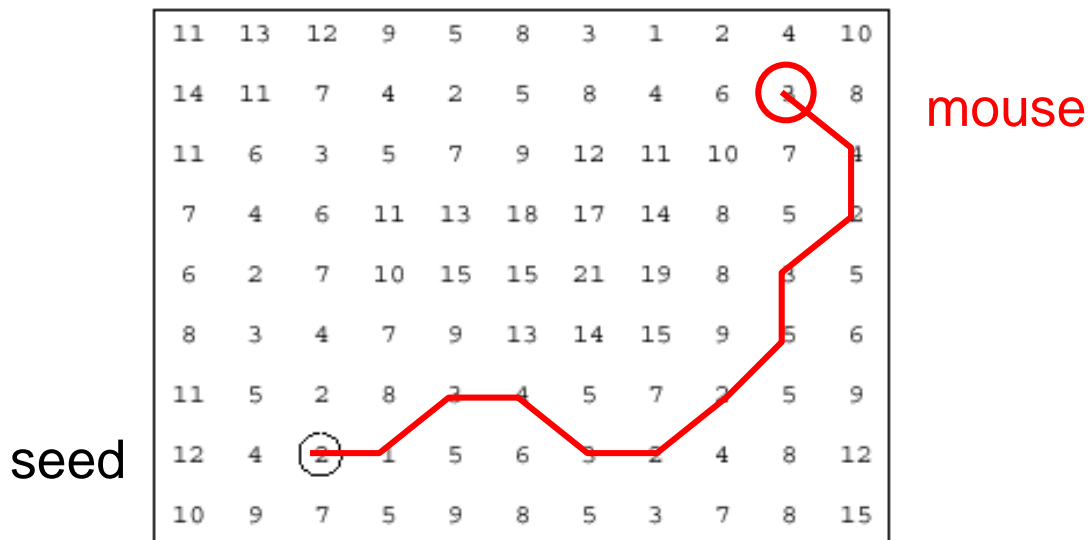


Figure 2: Image demonstrating how the live-wire segment adapts and snaps to an object boundary as the free point moves (via cursor movement). The path of the free point is shown in white. Live-wire segments from previous free point positions (t_0 , t_1 , and t_2) are shown in green.

Intelligent Scissors

Basic Idea

- Define edge score for each pixel
 - edge pixels have low cost
- Find lowest cost path from seed to mouse



Questions

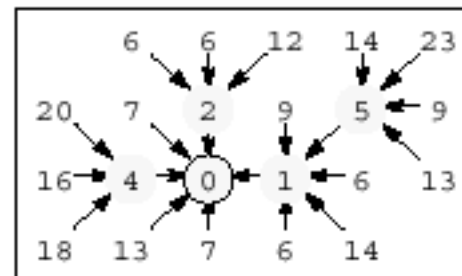
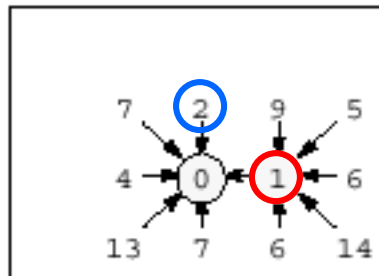
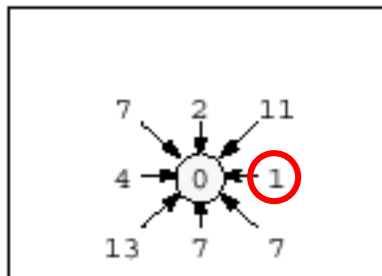
- How to define costs?
- How to find the path?

Path Search (basic idea)

Graph Search Algorithm

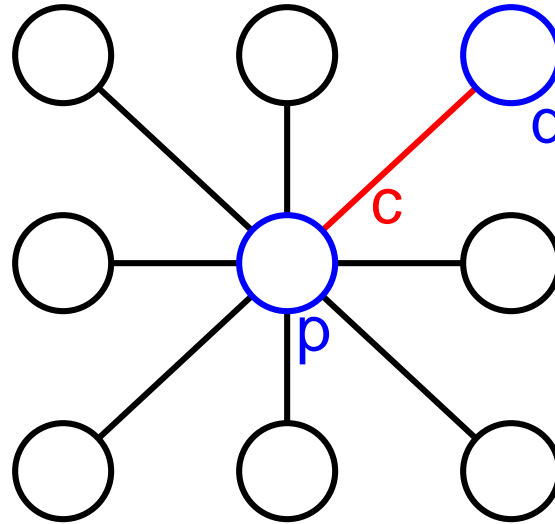
- Computes minimum cost path from seed to *all other pixels*

| | | | | | | | | | | |
|----|----|----|----|----|----|----|----|----|---|----|
| 11 | 13 | 12 | 9 | 5 | 8 | 3 | 1 | 2 | 4 | 10 |
| 14 | 11 | 7 | 4 | 2 | 5 | 8 | 4 | 6 | 3 | 8 |
| 11 | 6 | 3 | 5 | 7 | 9 | 12 | 11 | 10 | 7 | 4 |
| 7 | 4 | 6 | 11 | 13 | 18 | 17 | 14 | 8 | 5 | 2 |
| 6 | 2 | 7 | 10 | 15 | 15 | 21 | 19 | 8 | 3 | 5 |
| 8 | 3 | 4 | 7 | 9 | 13 | 14 | 15 | 9 | 5 | 6 |
| 11 | 5 | 2 | 8 | 3 | 4 | 5 | 7 | 2 | 5 | 9 |
| 12 | 4 | 2 | 1 | 5 | 6 | 3 | 2 | 4 | 8 | 12 |
| 10 | 9 | 7 | 5 | 9 | 8 | 5 | 3 | 7 | 8 | 15 |



How does this really work?

Treat the image as a graph



Graph

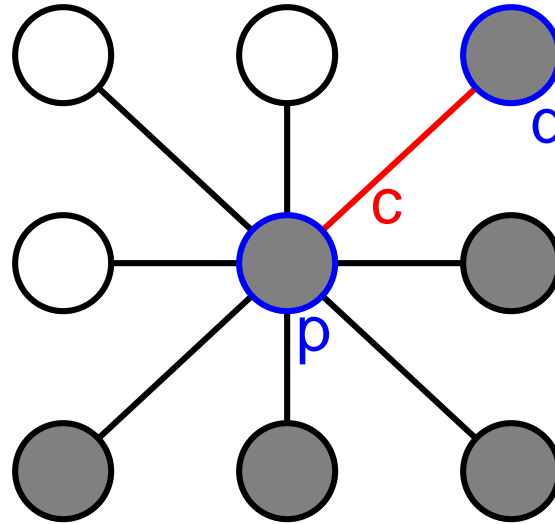
- node for every pixel p
- link between every adjacent pair of pixels, p, q
- cost c for each link

Note: each *link* has a cost

- this is a little different than the figure before where each pixel had a cost

Defining the costs

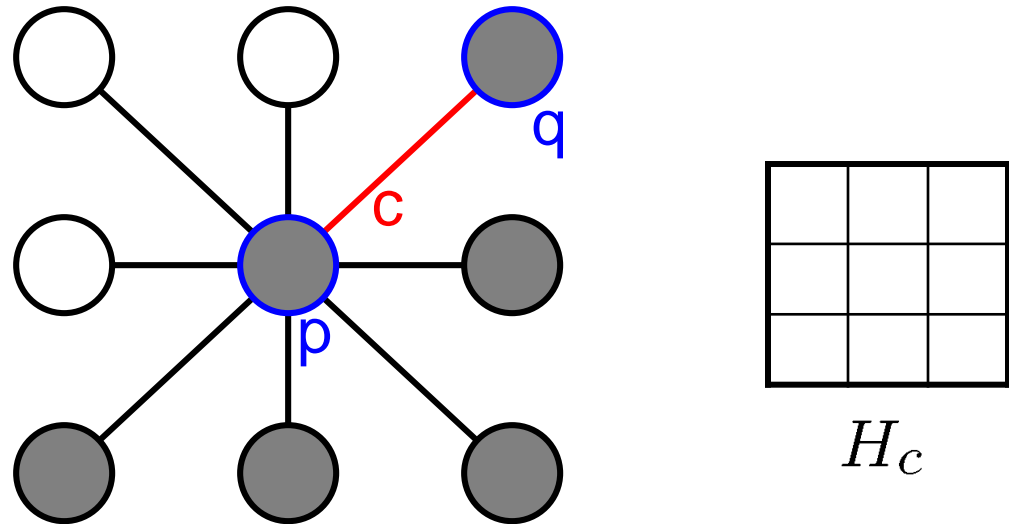
Treat the image as a graph



Want to hug image edges: how to define cost of a link?

- the link should follow the intensity edge
 - want intensity to change rapidly \perp to the link
- $c \approx - |\text{difference of intensity } \perp \text{ to link}|$

Defining the costs



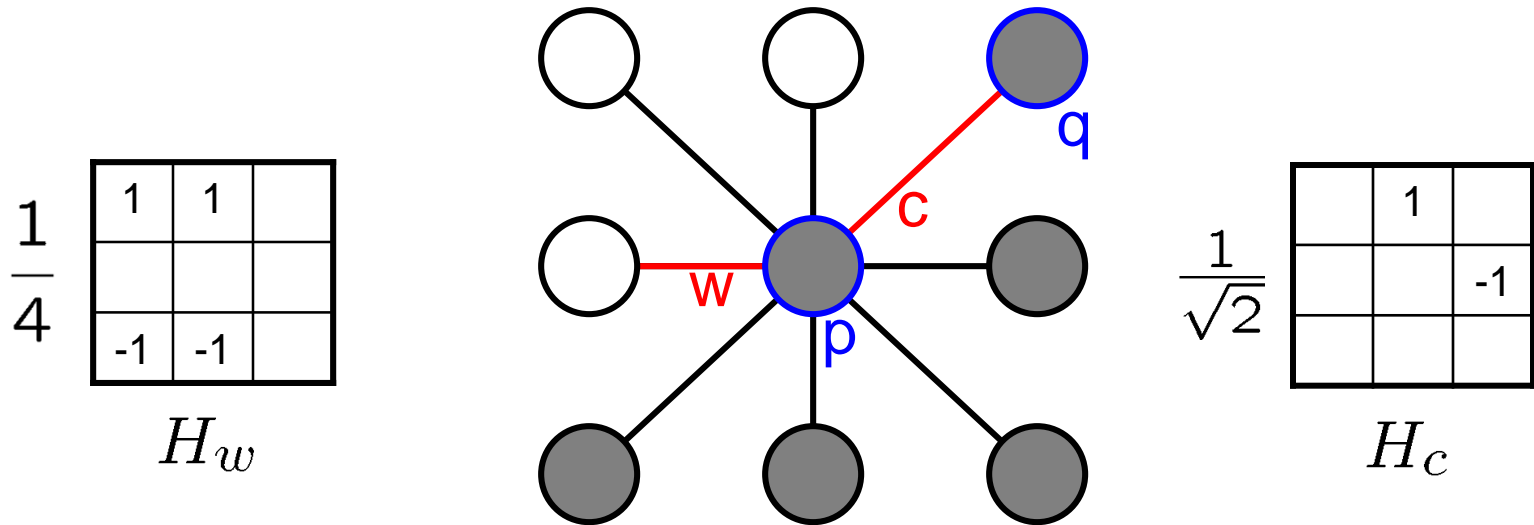
c can be computed using a cross-correlation filter

- assume it is centered at p

Also typically scale c by its length

- set $c = (\max - |\text{filter response}|)$
 - where \max = maximum $|\text{filter response}|$ over all pixels in the image

Defining the costs



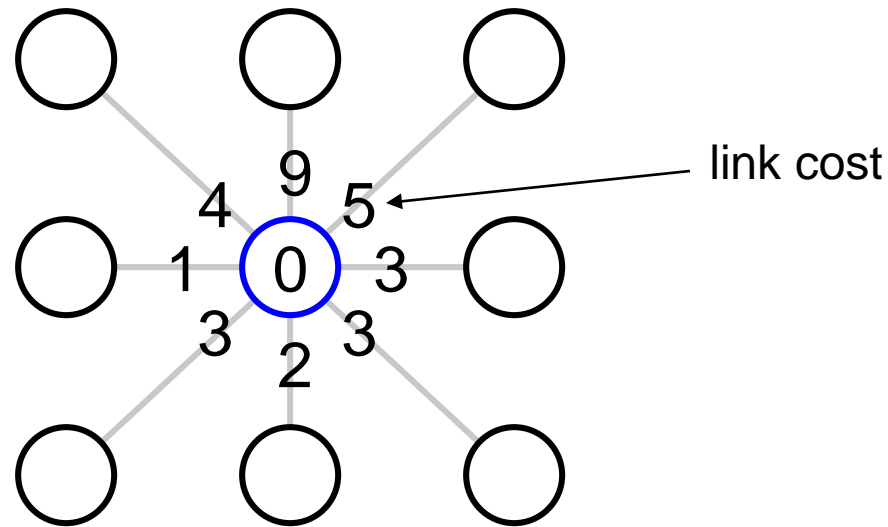
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- set $c = (\max|\text{filter response}|)$
 - where max = maximum $|\text{filter response}|$ over all pixels in the image

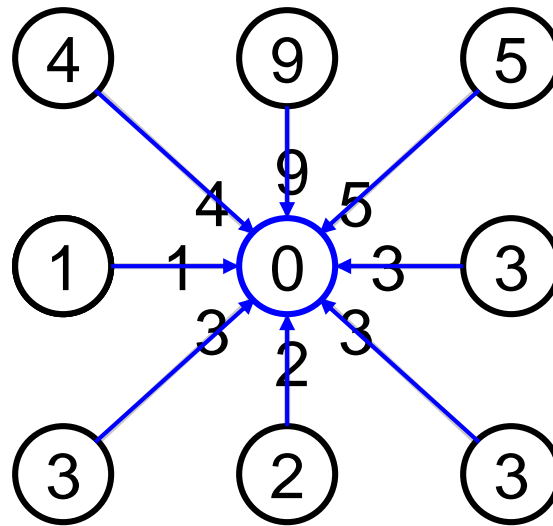
Dijkstra's shortest path algorithm



Algorithm

1. init node costs to ∞ , set p = seed point, $\text{cost}(p) = 0$
2. expand p as follows:
 - for each of p 's neighbors q that are not expanded
 - » set $\text{cost}(q) = \min(\text{cost}(p) + c_{pq}, \text{cost}(q))$

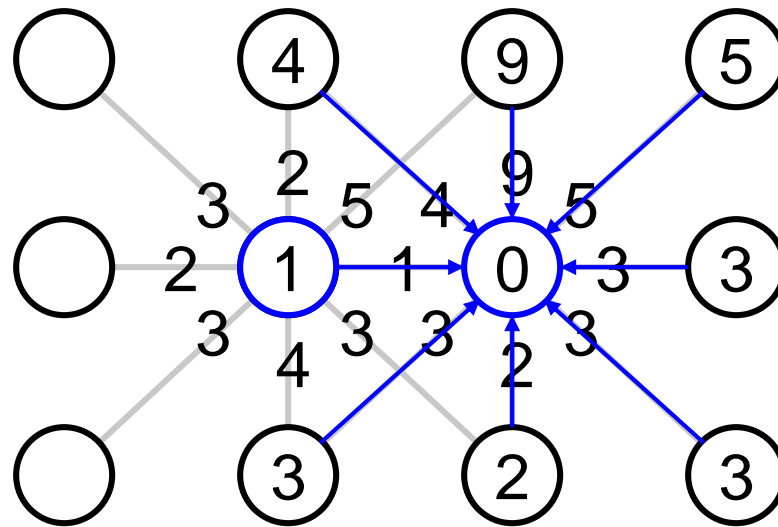
Dijkstra's shortest path algorithm



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 - » if q 's cost changed, make q point back to p
 - » put q on the ACTIVE list (if not already there)

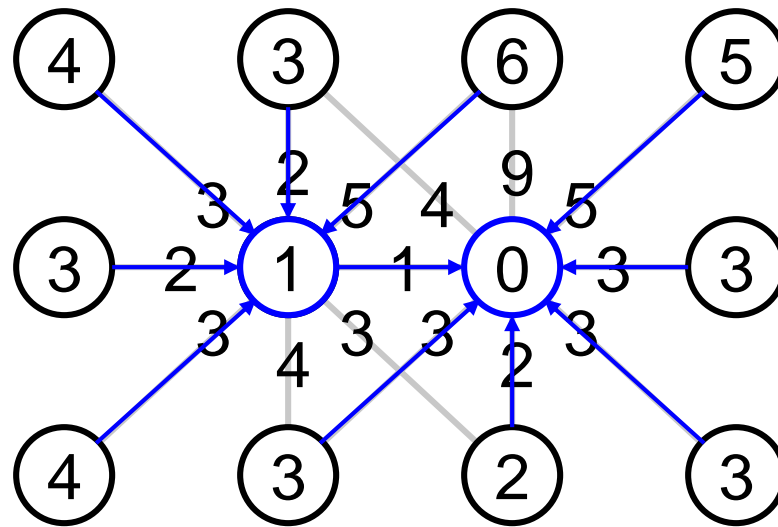
Dijkstra's shortest path algorithm



Algorithm

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2. expand p as follows:
 - for each of p 's neighbors q that are not expanded
 - » set $\text{cost}(q) = \min(\text{cost}(p) + c_{pq}, \text{cost}(q))$
 - » if q 's cost changed, make q point back to p
 - » put q on the ACTIVE list (if not already there)
3. set r = node with minimum cost on the ACTIVE list
4. repeat Step 2 for $p = r$

Dijkstra's shortest path algorithm



Algorithm

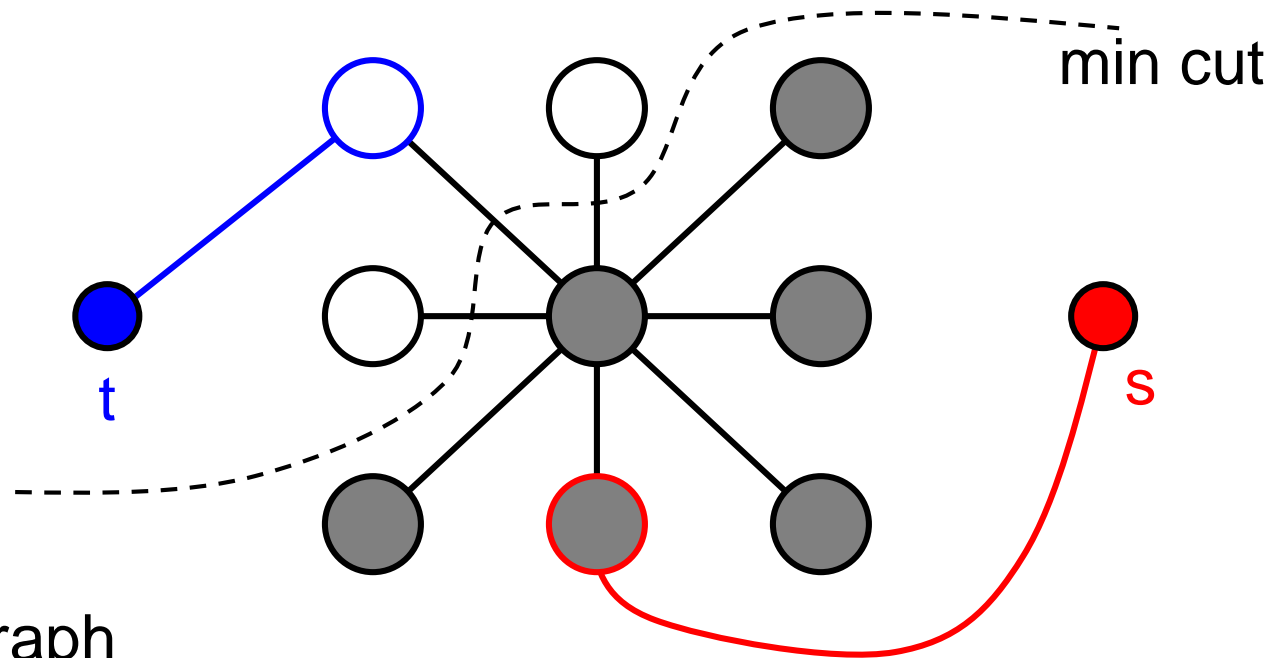
1. init node costs to ∞ , set p = seed point, $\text{cost}(p) = 0$
2. expand p as follows:
 - for each of p 's neighbors q that are not expanded
 - » set $\text{cost}(q) = \min(\text{cost}(p) + c_{pq}, \text{cost}(q))$
 - » if q 's cost changed, make q point back to p
 - » put q on the ACTIVE list (if not already there)
3. set r = node with minimum cost on the ACTIVE list
4. repeat Step 2 for $p = r$

Dijkstra's shortest path algorithm

Properties

- It computes the minimum cost path from the seed to every node in the graph. This set of minimum paths is represented as a *tree*
- Running time, with N pixels:
 - $O(N^2)$ time if you use an active list
 - $O(N \log N)$ if you use an active priority queue (heap)
 - takes fraction of a second for a typical (640x480) image
- Once this tree is computed once, we can extract the optimal path from any point to the seed in $O(N)$ time.
 - it runs in real time as the mouse moves
- What happens when the user specifies a new seed?

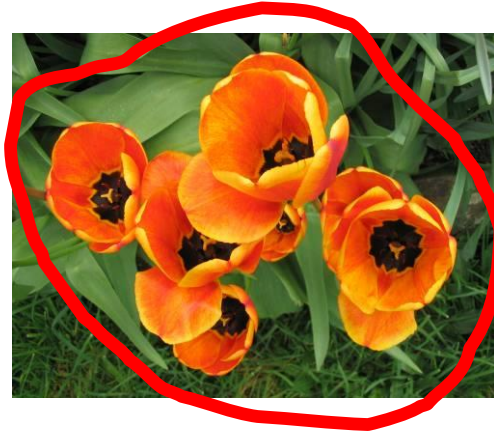
Segmentation by min (s-t) cut [Boykov 2001]



Graph

- node for each pixel, link between pixels
- specify a few pixels as foreground and background
 - create an infinite cost link from each bg pixel to the “t” node
 - create an infinite cost link from each fg pixel to the “s” node
- compute min cut that separates s from t
- how to define link cost between neighboring pixels?

Grabcut [\[Rother et al., SIGGRAPH 2004\]](#)



Is user-input required?

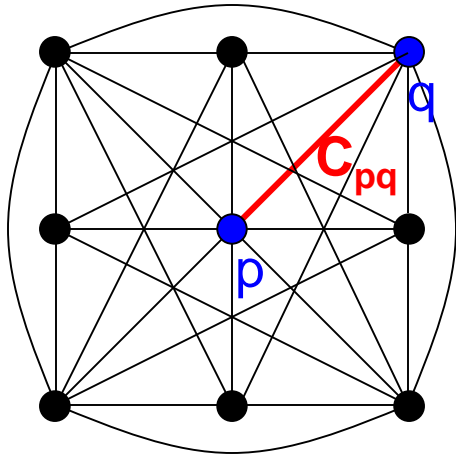
Our visual system is proof that automatic methods are possible

- classical image segmentation methods are automatic

Argument for user-directed methods?

- only user knows desired scale/object of interest

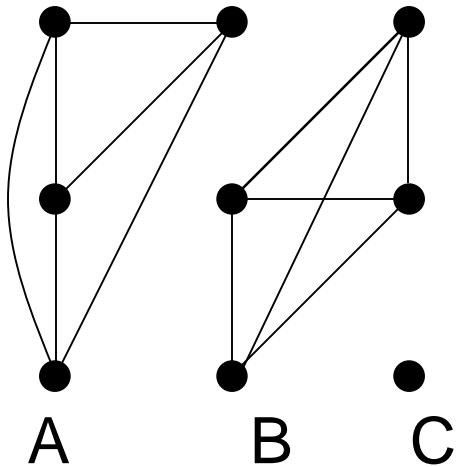
Automatic graph cut [Shi & Malik]



Fully-connected graph

- node for every pixel
- link between every pair of pixels, p, q
- cost C_{pq} for each link
 - C_{pq} measures *similarity*
 - » similarity is *inversely proportional* to difference in color and position

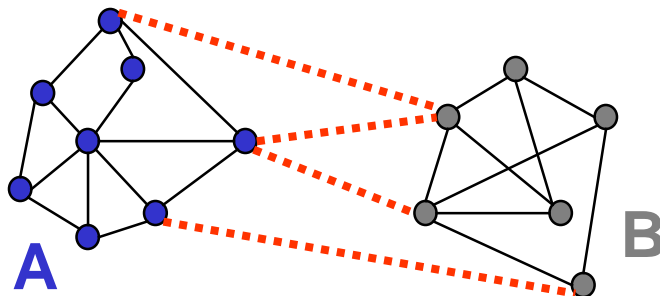
Segmentation by Graph Cuts



Break Graph into Segments

- Delete links that cross between segments
- Easiest to break links that have low cost (similarity)
 - similar pixels should be in the same segments
 - dissimilar pixels should be in different segments

Cuts in a graph



Link Cut

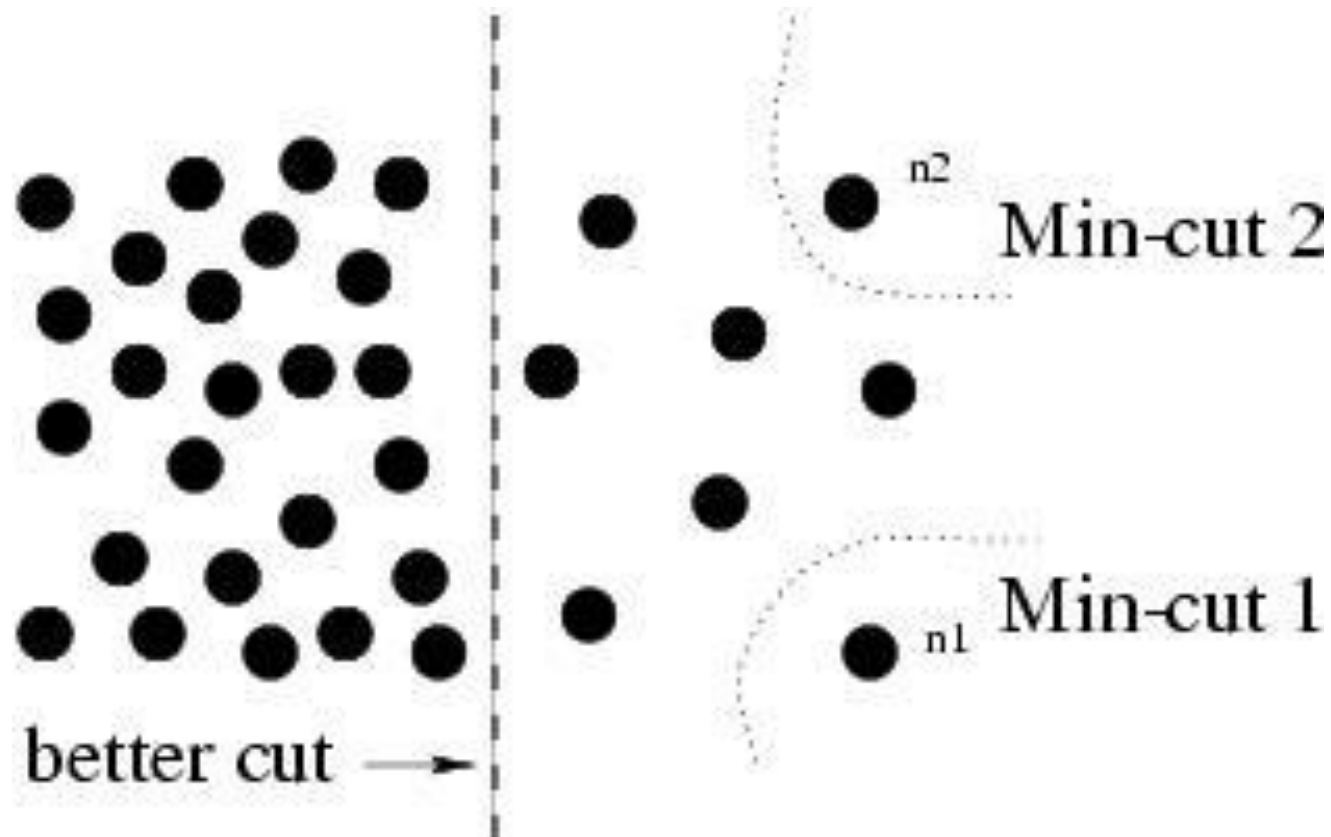
- set of links whose removal makes a graph disconnected
- cost of a cut:

$$cut(A, B) = \sum_{p \in A, q \in B} c_{p,q}$$

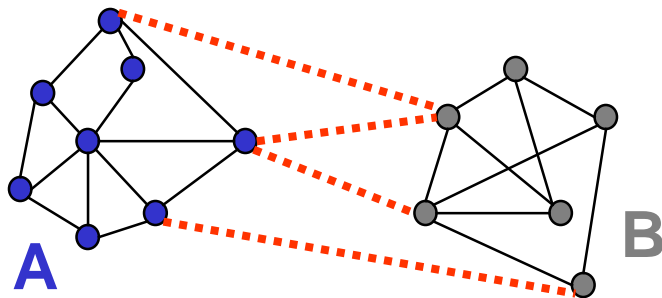
Find minimum cut

- gives you a segmentation

But min cut is not always the best cut...



Cuts in a graph



Normalized Cut

- a cut penalizes large segments
- fix by normalizing for size of segments

$$Ncut(A, B) = \frac{cut(A, B)}{volume(A)} + \frac{cut(A, B)}{volume(B)}$$

- $volume(A)$ = sum of costs of all edges that touch A

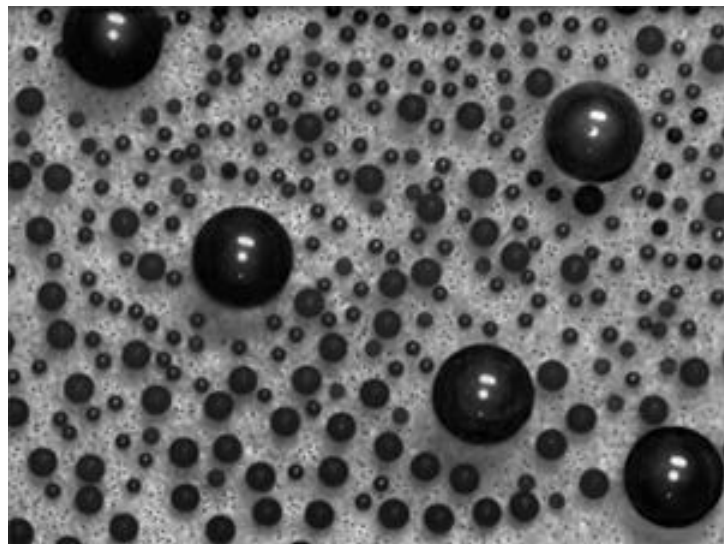
Color Image Segmentation



Histogram-based segmentation

Goal

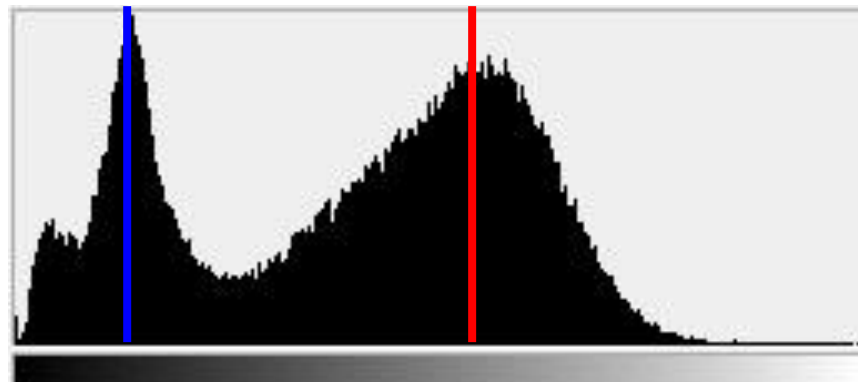
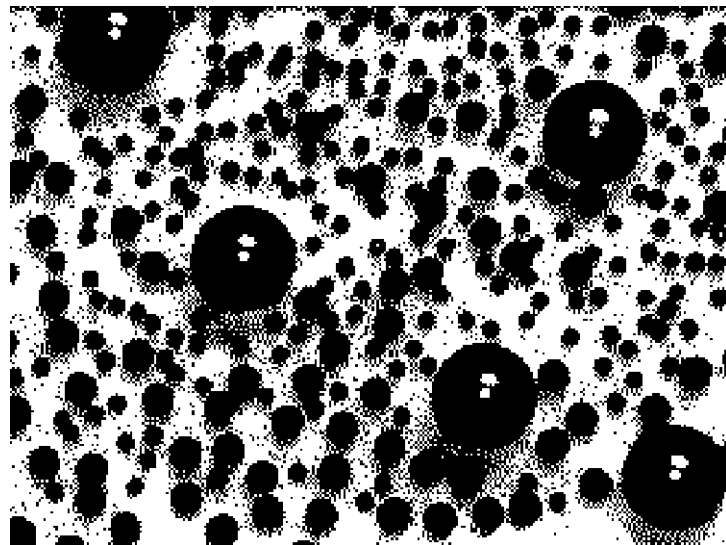
- Break the image into K regions (segments)
- Solve this by reducing the number of colors to K and mapping each pixel to the closest color



Histogram-based segmentation

Goal

- Break the image into K regions (segments)
- Solve this by reducing the number of colors to K and mapping each pixel to the closest color

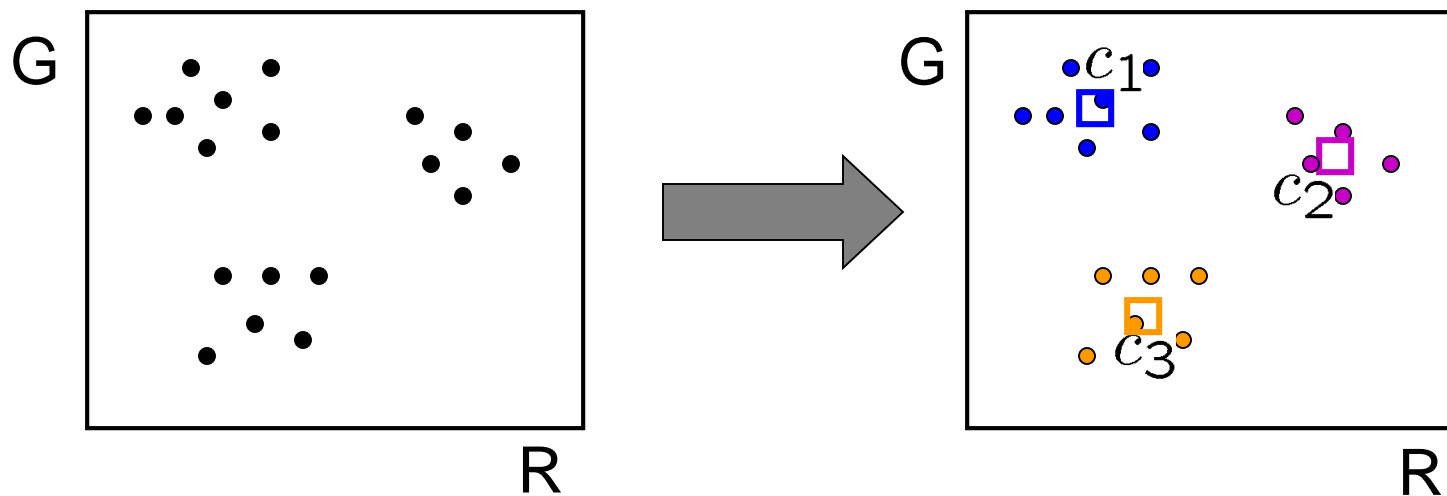


Here's what it looks like if we use two colors

Clustering

How to choose the representative colors?

- This is a clustering problem!



Objective

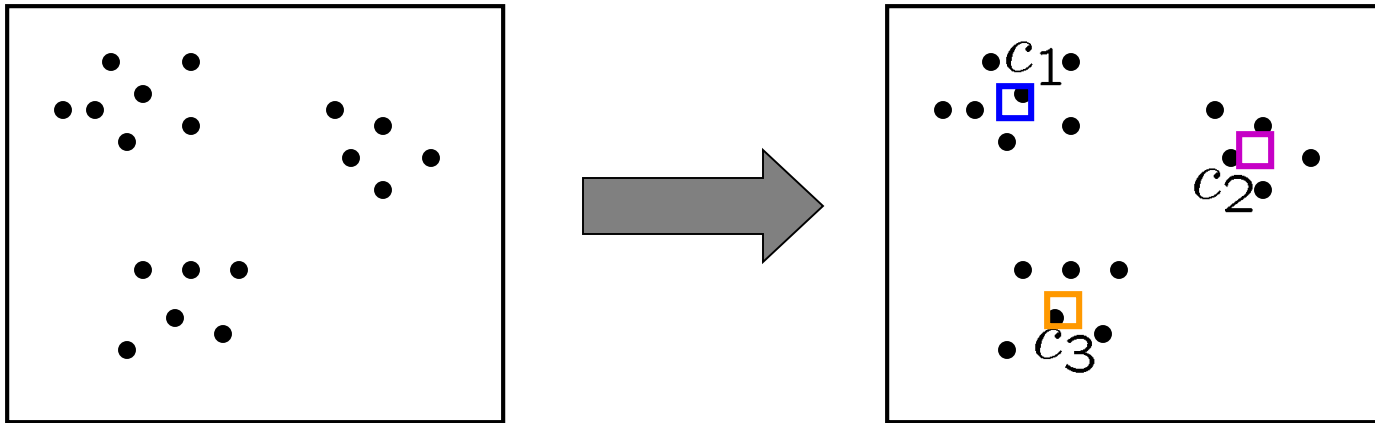
- Each point should be as close as possible to a cluster center
 - Minimize sum squared distance of each point to closest center

$$\sum_{\text{clusters } i} \sum_{\text{points } p \text{ in cluster } i} \|p - c_i\|^2$$

Break it down into subproblems

Suppose I tell you the cluster centers c_i

- Q: how to determine which points to associate with each c_i ?
- A: for each point p , choose closest c_i



Suppose I tell you the points in each cluster

- Q: how to determine the cluster centers?
- A: choose c_i to be the mean of all points in the cluster

K-means clustering

K-means clustering algorithm

1. Randomly initialize the cluster centers, c_1, \dots, c_K
2. Given cluster centers, determine points in each cluster
 - For each point p , find the closest c_i . Put p into cluster i
3. Given points in each cluster, solve for c_i
 - Set c_i to be the mean of points in cluster i
4. If c_i have changed, repeat Step 2

Java demo: http://home.dei.polimi.it/matteucc/Clustering/tutorial_html/AppletKM.html

Properties

- Will always converge to *some* solution
- Can be a “local minimum”
 - does not always find the global minimum of objective function:

$$\sum_{\text{clusters } i} \sum_{\text{points } p \text{ in cluster } i} \|p - c_i\|^2$$

K-Means++

Can we prevent arbitrarily bad local minima?

1. Randomly choose first center.
2. Pick new center with prob. proportional to: $\|p - c_i\|^2$
(contribution of p to total error)
3. Repeat until k centers.

expected error = $O(\log k)$ * optimal

[Arthur & Vassilvitskii 2007](#)

Probabilistic clustering

Basic questions

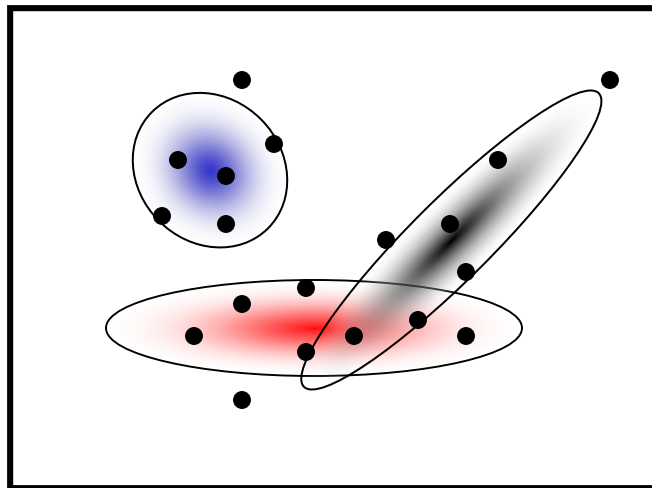
- what's the probability that a point \mathbf{x} is in cluster m ?
- what's the shape of each cluster?

K-means doesn't answer these questions

Basic idea

- instead of treating the data as a bunch of points, assume that they are all generated by sampling a continuous function
- This function is called a **generative model**
 - defined by a vector of parameters θ

Mixture of Gaussians



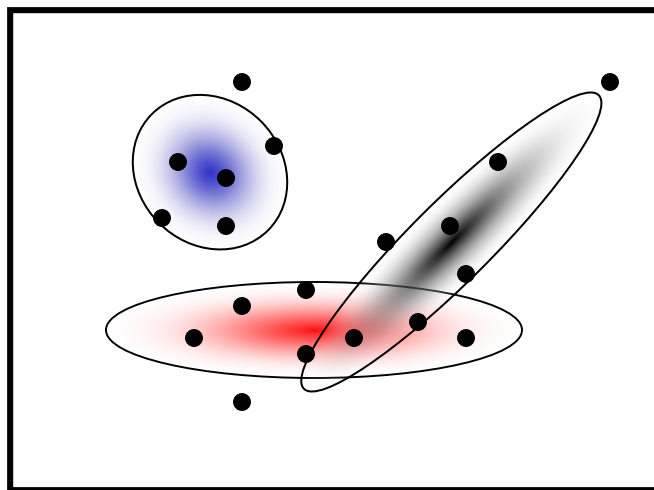
One generative model is a mixture of Gaussians (MOG)

- K Gaussian blobs with means μ_b covariance matrices V_b , dimension d
 - blob b defined by:
$$P(x|\mu_b, V_b) = \frac{1}{\sqrt{(2\pi)^d |V_b|}} e^{-\frac{1}{2}(x-\mu_b)^T V_b^{-1} (x-\mu_b)}$$
- blob b is selected with probability α_b
- the likelihood of observing \mathbf{x} is a weighted mixture of Gaussians

$$P(x|\theta) = \sum_{b=1}^K \alpha_b P(x|\theta_b)$$

- where $\theta = [\mu_1, \dots, \mu_n, V_1, \dots, V_n]$

Expectation maximization (EM)



Goal

- find blob parameters θ that maximize the likelihood function:

$$P(data|\theta) = \prod_x P(x|\theta)$$

Approach:

1. E step: given current guess of blobs, compute ownership of each point
2. M step: given ownership probabilities, update blobs to maximize likelihood function
3. repeat until convergence

EM details

E-step

- compute probability that point \mathbf{x} is in blob i , given current guess of $\boldsymbol{\theta}$

$$P(b|x, \mu_b, V_b) = \frac{\alpha_b P(x|\mu_b, V_b)}{\sum_{i=1}^K \alpha_i P(x|\mu_i, V_i)}$$

M-step

- compute probability that blob b is selected

$$\alpha_b^{new} = \frac{1}{N} \sum_{i=1}^N P(b|x_i, \mu_b, V_b) \quad \text{N data points}$$

- mean of blob b

$$\mu_b^{new} = \frac{\sum_{i=1}^N x_i P(b|x_i, \mu_b, V_b)}{\sum_{i=1}^N P(b|x_i, \mu_b, V_b)}$$

- covariance of blob b

$$V_b^{new} = \frac{\sum_{i=1}^N (x_i - \mu_b^{new})(x_i - \mu_b^{new})^T P(b|x_i, \mu_b, V_b)}{\sum_{i=1}^N P(b|x_i, \mu_b, V_b)}$$

Applications of EM

Turns out this is useful for all sorts of problems

- any clustering problem
- any model estimation problem
- missing data problems
- finding outliers
- segmentation problems
 - segmentation based on color
 - segmentation based on motion
 - foreground/background separation
- ...

Problems with EM

1. Local minima

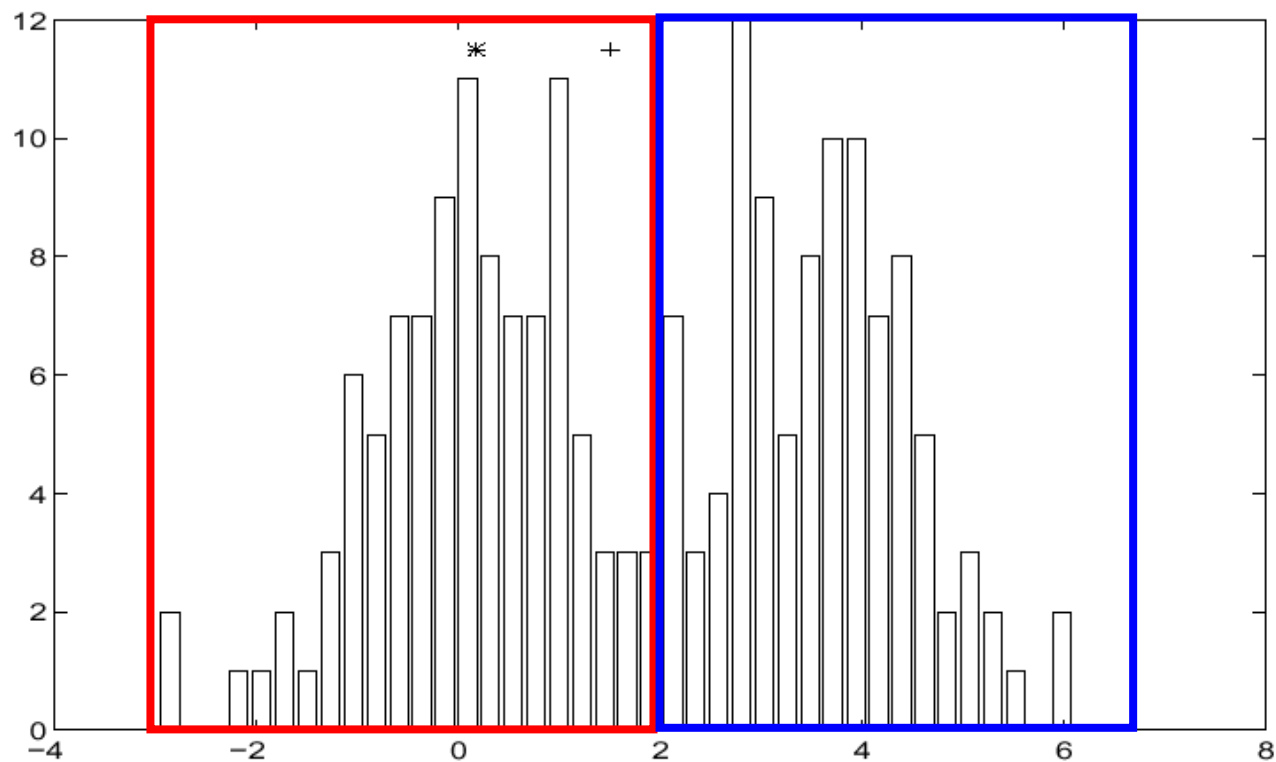
k-means is NP-hard even with $k=2$

2. Need to know number of segments

solutions: AIC, BIC, Dirichlet process mixture

3. Need to choose generative model

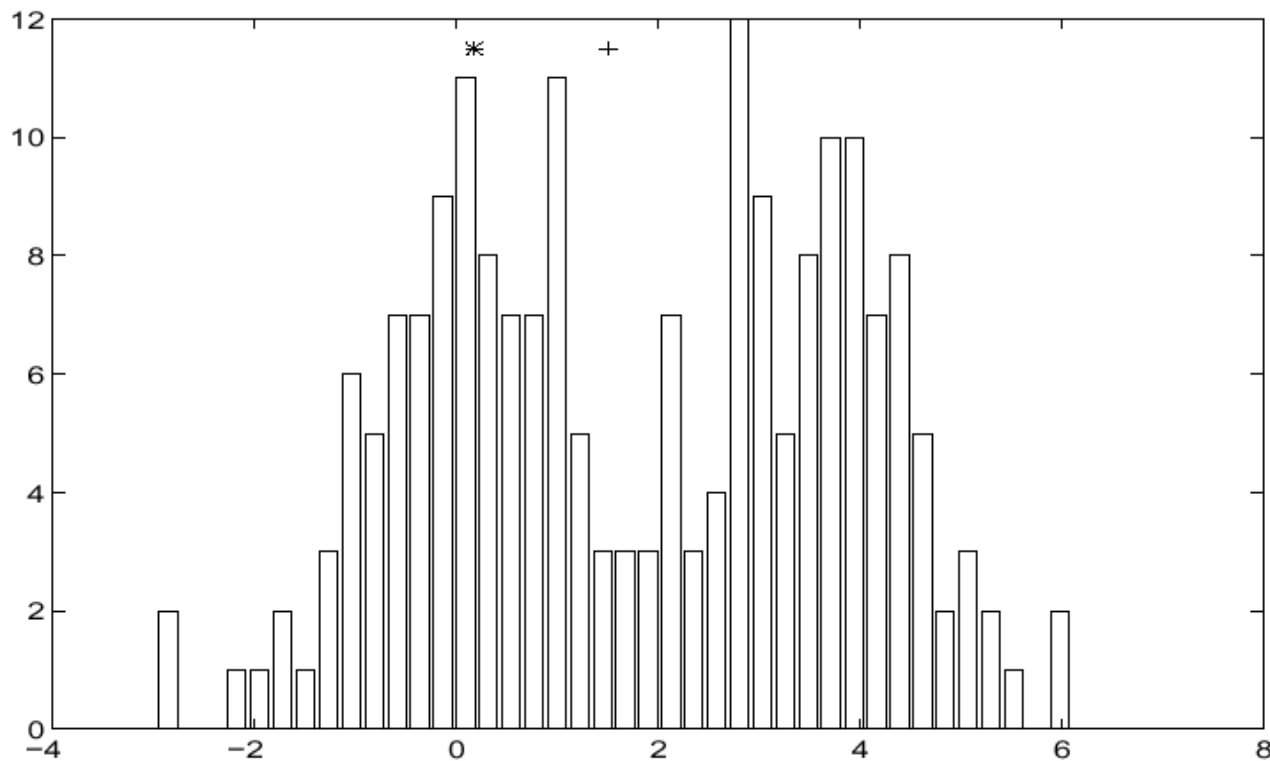
Finding Modes in a Histogram



How Many Modes Are There?

- Easy to see, hard to compute

Mean Shift [Comaniciu & Meer]



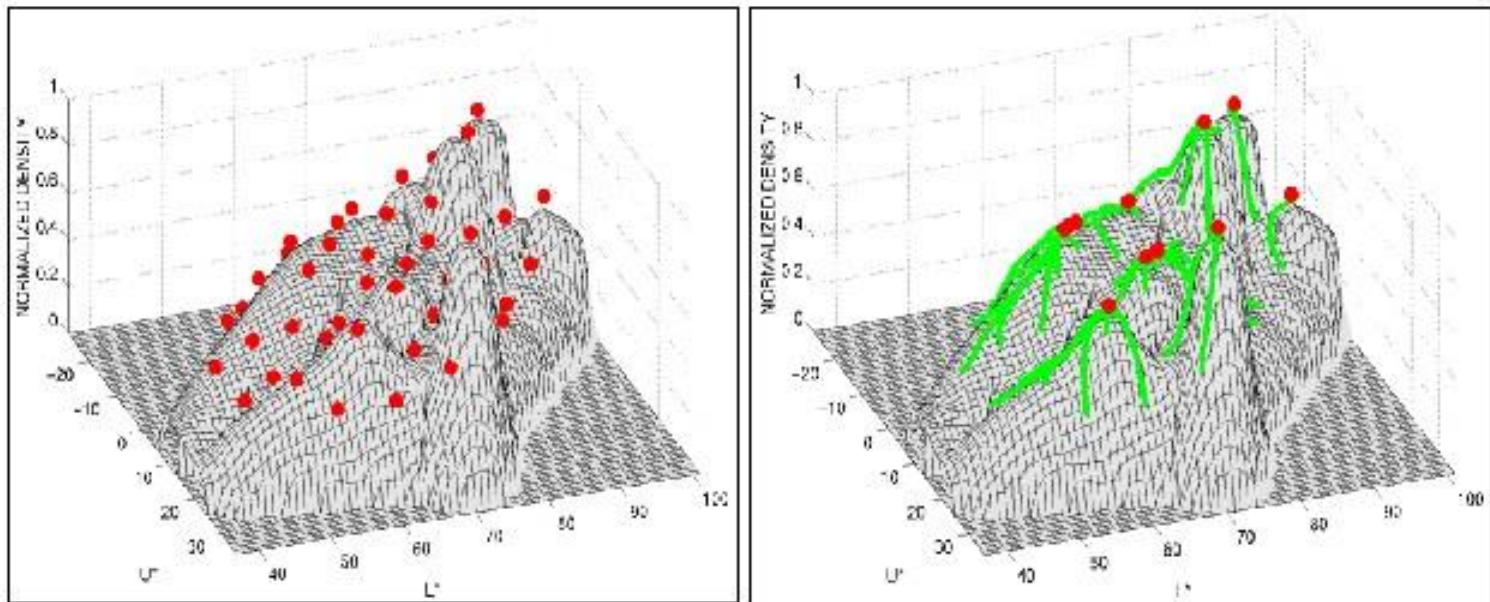
Iterative Mode Search

1. Initialize random seed, and window W
2. Calculate center of gravity (the “mean”) of W : $\sum_{x \in W} xH(x)$
3. Translate the search window to the mean
4. Repeat Step 2 until convergence

Mean-Shift

Approach

- Initialize a window around each point
- See where it shifts—this determines which segment it's in
- Multiple points will shift to the same segment



Mean shift trajectories

Mean-shift for image segmentation

Useful to take into account spatial information

- instead of (R, G, B) , run in (R, G, B, x, y) space
- D. Comaniciu, P. Meer, Mean shift analysis and applications, *7th International Conference on Computer Vision*, Kerkyra, Greece, September 1999, 1197-1203.
 - <http://www.caip.rutgers.edu/riul/research/papers/pdf/spatmsft.pdf>



More Examples: http://www.caip.rutgers.edu/~comanici/segm_images.html

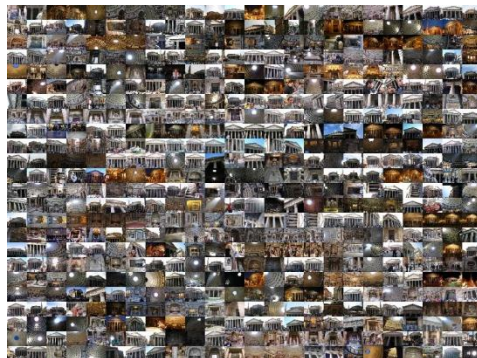
Choosing Exemplars (Medoids)

like k-means, but means must be data points

Algorithms:

- greedy k-means
- affinity propagation (Frey & Dueck 2007)
- medoid shift (Sheikh et al. 2007)

Scene Summarization



Taxonomy of Segmentation Methods

- Graph Based vs. Point-Based (bag of pixels)
- User-Directed vs. Automatic
- Partitional vs. Hierarchical

K-Means:

point-based, automatic, partitional

Graph Cut:

graph-based, user-directed, partitional

References

- Mortensen and Barrett, “[Intelligent Scissors for Image Composition](#),” Proc. *SIGGRAPH* 1995.
- Boykov and Jolly, “[Interactive Graph Cuts for Optimal Boundary & Region Segmentation of Objects in N-D images](#),” Proc. ICCV, 2001.
- Shi and Malik, “[Normalized Cuts and Image Segmentation](#),” Proc. CVPR 1997.
- Comaniciu and Meer, “[Mean shift analysis and applications](#),” Proc. *ICCV* 1999.