

# 5-Day Gen AI Intensive Course with Google 2025

## Whitepaper Companion Podcast (Notes): Embeddings and Vector Stores

### Introduction to Embeddings and Vector Stores

- Embeddings are low-dimensional numerical representations of data, designed to capture underlying meaning and relationships<sup>[1]</sup>. They transform heterogeneous data into a unified vector representation<sup>[1]</sup>.
- They represent data like text, images, and audio as compact vectors, preserving essential semantic information and making it easier to process and compare large amounts of data<sup>[1]</sup>.
- **Analogy:** Embeddings map complex data into a simpler space, similar to how latitude and longitude pinpoint locations on Earth<sup>[1]</sup>.

### Importance of Embeddings

- **Efficiency:** Embeddings represent various data types (text, audio, images, video, structured data) efficiently<sup>[1]</sup>.
- **Pattern Recognition:** They facilitate finding patterns and relationships in data that would be difficult to spot otherwise<sup>[1]</sup>.
- **Semantic Relationships:** Embeddings capture how similar or different data pieces are. For example, in an embedding space, "king" is closer to "queen" than to "bicycle"<sup>[1]</sup>.

### Applications of Embeddings

- **Retrieval:** Used in search systems like Google. Web pages are pre-computed into embeddings. A search query is converted into an embedding, and the system finds web pages with the nearest neighbor embeddings<sup>[1]</sup>.

- **Recommendation Systems:** Items or content with similar embeddings to a user's past interactions are recommended<sup>[1]</sup>.
- **Joint Embeddings:** Handle multimodal data (text and images) by mapping different data types into a common embedding space<sup>[1]</sup>.

## Evaluating Embedding Effectiveness

- **Relevance:** Assessed by how well embeddings retrieve relevant items and filter out irrelevant ones<sup>[1]</sup>.
- **Metrics:**
  - o **Precision:** Measures how many of the retrieved items are relevant<sup>[1]</sup>.
  - o **Recall:** Measures the proportion of all relevant items that are retrieved<sup>[1]</sup>.
  - o **Precision at K & Recall at K:** Focus on the top K results<sup>[1]</sup>.
  - o **Normalized Discounted Cumulative Gain (NDCG):** Gives higher scores when the most relevant items are at the top of the results list<sup>[1]</sup>.
- **Benchmarks:** Standardized collections of datasets and tasks to evaluate and compare different embedding models (e.g., BEIR, MTEB)<sup>[1]</sup>.
- **Libraries:** Established libraries like TEREVAL, TRank, or PyTerrier can be used for evaluation<sup>[1]</sup>.
- **Practical Considerations:** Model size, embedding dimensionality, latency, and cost<sup>[1]</sup>.

## Retrieval Augmented Generation (RAG)

- Embeddings enhance language models by finding relevant information from a knowledge base to boost prompts<sup>[1]</sup>.
- **Process:**
  - a. **Index Creation:** Documents are broken into chunks, embeddings are generated for each chunk using a document encoder, and embeddings are stored in a vector database<sup>[1]</sup>.

b. **Query Processing:** A user's question is converted into an embedding using a query encoder, and a similarity search is performed in the vector database to find the closest chunks<sup>[1]</sup>.

- **Importance of Speed:** Efficient vector databases are crucial for quick query processing<sup>[1]</sup>.
- **Progress in Embedding Models:** Significant improvements have been made, such as Google's embeddings, where the average BEIR score jumped from 10.6 to 55.7<sup>[1]</sup>.
- **Code Snippet:** The white paper includes a code example using the NF Corpus dataset<sup>[1]</sup>.

## Types of Embeddings

- Categorized based on the type of data<sup>[1]</sup>.
  - o **Text Embeddings:** Represent words, sentences, paragraphs, or entire documents as numerical vectors<sup>[1]</sup>.
    - **Tokenization:** Breaking down text into smaller units (tokens)<sup>[1]</sup>.
    - **One-Hot Encoding:** Representing token IDs as binary vectors<sup>[1]</sup>.
    - **Word Embeddings:** Capture the meaning of individual words and their semantic relationships<sup>[1]</sup>.
      - ♣ **Word2Vec:** Core principle is that a word is known by the company it keeps (context)<sup>[1]</sup>.
        - **CBOW (Continuous Bag of Words):** Predicts a target word based on context words<sup>[1]</sup>.
        - **Skip-gram:** Uses a target word to predict surrounding words<sup>[1]</sup>.
      - ♣ **FastText:** Extension of Word2Vec that considers the internal structure of words at the subword level<sup>[1]</sup>.
      - ♣ **GloVe:** Captures global information about how words co-occur in the entire corpus<sup>[1]</sup>.
      - ♣ **swivel:** Uses a co-occurrence matrix and is efficient for large datasets with distributed processing<sup>[1]</sup>.

- **Document Embeddings:** Represent the meaning of larger text chunks (paragraphs or whole documents)<sup>[1]</sup>.

- ♣ **Bag of Words Models:**

- **LSA (Latent Semantic Analysis):** Uses a matrix of word counts and documents and applies dimensionality reduction techniques<sup>[1]</sup>.
- **LDA (Latent Dirichlet Allocation):** Models each document as a mixture of topics<sup>[1]</sup>.

- ♣ **TF-IDF Based Models:** Weigh words based on their frequency in a document compared to the entire corpus; BM25 is a strong baseline<sup>[1]</sup>.

- ♣ **Doc2Vec:** Extends Word2Vec by adding a paragraph vector to the model<sup>[1]</sup>.

- ♣ **Deep Pre-trained Large Language Models:**

- **BERT:** Uses the Transformer architecture and is trained on large datasets<sup>[1]</sup>.
- **Sentence-BERT, SimCSE, and E5:** Designed to produce good sentence embeddings<sup>[1]</sup>.
- **T5, PaLM, Gemini, GPT, and Llama:** Larger language models leading to better embedding models like GTR and SentenceT5<sup>[1]</sup>.
- **Matryx embeddings:** Allow you to choose the dimensionality of the embeddings<sup>[1]</sup>.
- **Multi-vector embeddings:** Deal with documents that contain both text and images (e.g., ColBERT, XTR, and Kpali)<sup>[1]</sup>.

- o **Image Embeddings:** Obtained by training convolutional neural networks (CNNs) or Vision Transformers on large image datasets<sup>[1]</sup>.
- o **Multimodal Embeddings:** Combine image embeddings with other types of embeddings (e.g., text embeddings) to create joint representations<sup>[1]</sup>.
- o **Structured Data Embeddings:** More application-specific due to the data's dependency on the schema and context<sup>[1]</sup>.

- **Techniques:** Dimensionality reduction techniques like PCA<sup>[1]</sup>.
- **User and Item Data:** Map users and items into the same embedding space for recommendation systems<sup>[1]</sup>.
- o **Graph Embeddings:** Represent objects and their relationships within a network<sup>[1]</sup>.
  - **Algorithms:** DeepWalk, Node2Vec, LINE, and GraphSage<sup>[1]</sup>.

## Training Embedding Models

- **Dual Encoder Architecture:** Uses an encoder for the query and an encoder for the documents/images<sup>[1]</sup>.
- **Contrastive Loss:** Pulls embeddings of similar data points closer together while pushing dissimilar ones farther apart<sup>[1]</sup>.
- **Training Stages:**
  - o **Pre-training:** Training the model on a massive dataset to learn general representations<sup>[1]</sup>.
  - o **Fine-tuning:** Tuning the model on a smaller, task-specific dataset<sup>[1]</sup>.
- **Fine-tuning Data Sets:** Created through manual labeling, synthetic data generation, model distillation, or hard negative mining<sup>[1]</sup>.
- **Downstream Tasks:** Trained embeddings can be used for various tasks, such as classification<sup>[1]</sup>.

## Vector Search

- **Efficient Searching:** Finding items based on their meaning, not just keywords<sup>[1]</sup>.
- **Process:** Compute embeddings for all data, store them in a vector database, embed the query into the same space, and find data items with the closest embeddings<sup>[1]</sup>.
- **Approximate Nearest Neighbor (ANN) Search:** Used to speed up search in large datasets<sup>[1]</sup>.
- **ANN Techniques:**

- o **Locality Sensitive Hashing (LSH):** Maps similar items to the same bucket using hash functions<sup>[1]</sup>.
- o **Tree-based Methods:** KD trees and ball trees partition the data space recursively<sup>[1]</sup>.
- o **Combining Hashing and Tree-based Approaches:** e.g., FAI with HNSW and Scan<sup>[1]</sup>.
- o **HNSW (Hierarchical Navigable Small World):** Builds a hierarchical proximity graph<sup>[1]</sup>.
- o **Scan (Scalable Approximate Nearest Neighbor):** Used in Google products and available through Vertex AI Vector Search<sup>[1]</sup>.

## Vector Databases

- **Specialized Systems:** Designed for high-dimensional data and similarity-based queries<sup>[1]</sup>.
- **Hybrid Search:** Traditional databases are starting to add vector search capabilities<sup>[1]</sup>.
- **Workflow:** Embed data, index vectors, embed query, and search for similar items<sup>[1]</sup>.
- **Options:** Vertex Vector Search, AlloyDB, Cloud SQL for PostgreSQL, Pinecone, Weaviate, ChromaDB<sup>[1]</sup>.
- **Operational Considerations:** Scalability, availability, consistency, updates, backups, and security<sup>[1]</sup>.

## Applications of Embeddings and Vector Stores

- **Applications:** Information retrieval, recommendation systems, semantic text similarity, classification, clustering, and reranking<sup>[1]</sup>.
- **Combining with ANN Search:** Enables powerful applications like RAG, large-scale search engines, personalized recommendation systems, anomaly detection, and few-shot classification<sup>[1]</sup>.
- **Ranking:** Embeddings are often used in the first stage of ranking for large-scale applications<sup>[1]</sup>.
- **RAG:** Enhances language model accuracy<sup>[1]</sup>.

- **Importance of Sources:** Providing sources for retrieved information to enhance user trust<sup>[1]</sup>.