Suche nach Elektroweakinos mit dem ATLAS Detektor

Ludwig-Maximilians-Universität München
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Dissertation

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March 2021

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Notes

Part I

Fundamental concepts

Part II

The 1-lepton analysis

Chapter 8

Results

This chapter discusses the results of the different fit configurations and hypothesis tests performed in the analysis. After the background estimation obtained through a background-only fit in the control regions (CRs) is validated in the validation regions (VRs), the signal regions (SRs) are unblinded and the observed data is compared to the Standard Model of Particle Physics (SM) background expectation.

8.1 Background-only fit results

8.1.1 Results in the control regions

As all CRs are mutually exclusive, a background-only fit simultaneously using information from all CRs can be run. Only the terms for the CRs enter the likelihood as channels and any signal contamination present in the CRs is neglected. This allows to fit the dominant backgrounds to data, and thus by construction leads to a good agreement between observed data and the total fitted background estimate in all CRs. The free normalisation parameters for $t\bar{t}$ (μ_{T}), single top (μ_{ST}) and $W+\mathrm{jets}$ (μ_{W}) are fitted to be

$$\begin{aligned} \mu_{\mathrm{T}} &= 1.02^{+0.07}_{-0.09}, \\ \mu_{\mathrm{ST}} &= 0.6^{+0.5}_{-0.25}, \\ \mu_{\mathrm{W}} &= 1.22^{+0.26}_{-0.24}. \end{aligned} \tag{8.1}$$

While the dominant $t\bar{t}$ background stays roughly at its nominal expectation with respect to MC simulation, $W+\mathrm{jets}$ processes are scaled up. The single top expectation, on the other hand, is scaled down. The high uncertainty on μ_{ST} can be attributed to the relatively low MC statistics as well as the comparably low purity of single top events in STR.

Table 8.1 summarises the fitted background estimate including all uncertainties for all control regions. As discussed in chapter 6, $t\bar{t}$ is the most dominant in all control regions except WR where $W+\mathrm{jets}$ is the largest background, followed by single top and $W+\mathrm{jets}$ processes. Small contributions come from diboson, multiboson as well as other backgrounds like $t\bar{t}+V$, $t\bar{t}+h$ and $V+h$. All processes estimated directly from MC simulation cumulatively account for only 10%,

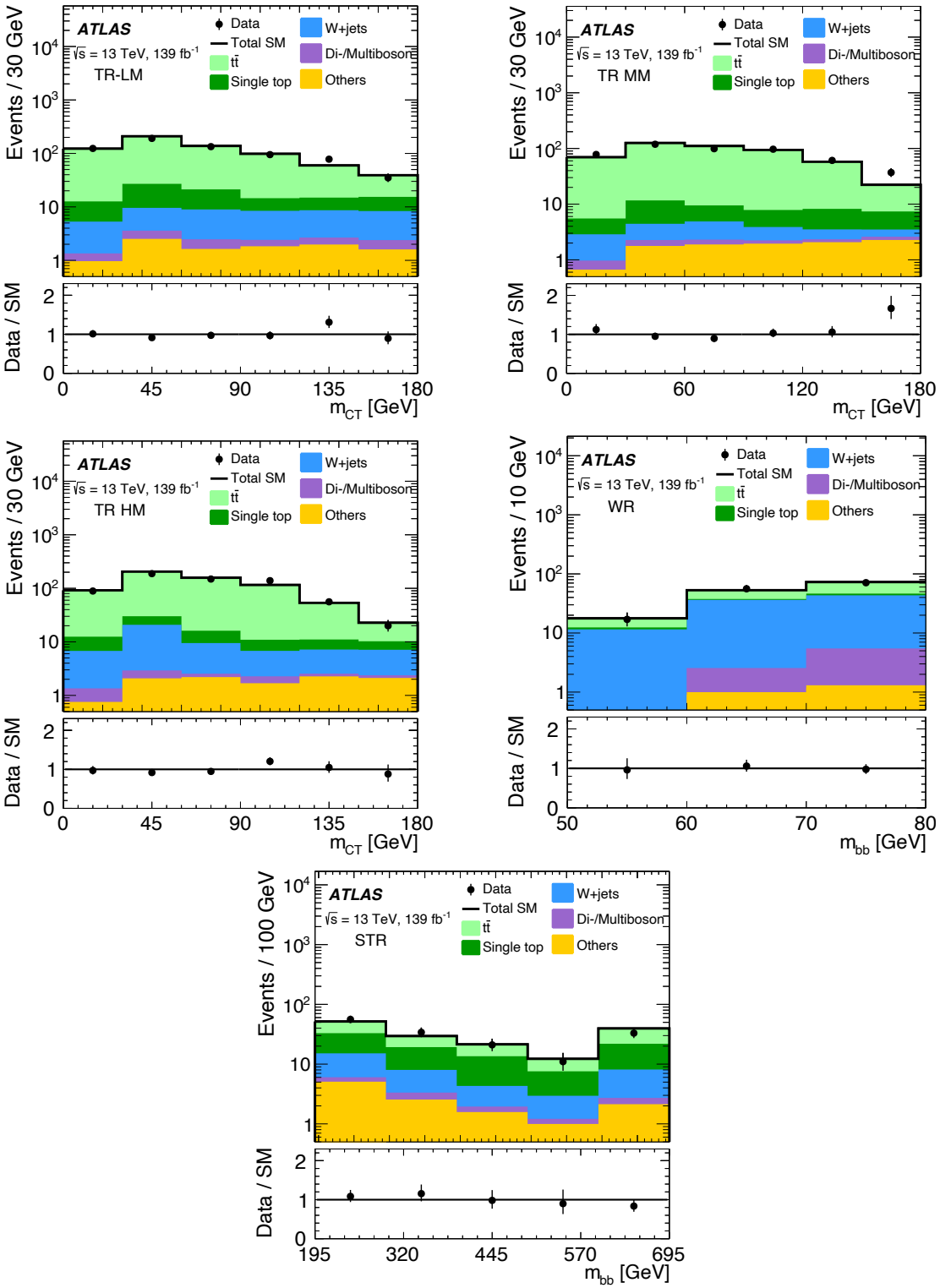

Figure 8.1: Exemplary distribution shown in each control region after the background-only fit. The shaded region includes all systematic uncertainties (including correlations) as well as Monte Carlo (MC) statistical uncertainty. The $t\bar{t}$, single top and W + jets are normalised simultaneously in all CRs. A good agreement between MC expectation and data is observed in all CRs.

Table 8.1

Region	TRLM	TRMM	TRHM	WR	STCR
Observed events	657	491	641	144	155
Fitted SM events	666 ± 25	480 ± 21	645 ± 26	143 ± 12	154 ± 15
$t\bar{t}$	560 ± 40	430 ± 33	550 ± 40	47 ± 9	59 ± 12
Single top	60 ± 40	27 ± 23	33 ± 27	5 ± 4	57 ± 22
W + jets	34 ± 8	10.5 ± 2.8	44 ± 11	83 ± 16	23 ± 6
Di-/Multiboson	4.3 ± 1.2	2.0 ± 0.5	2.8 ± 0.5	5.7 ± 1.0	2.8 ± 0.9
Other	10.5 ± 1.3	10.6 ± 1.4	11.1 ± 1.4	2.4 ± 0.4	12.3 ± 1.5
MC exp. SM events	720 ± 80	474 ± 33	680 ± 50	130 ± 13	180 ± 50
$t\bar{t}$	570 ± 70	407 ± 30	570 ± 40	46 ± 10	52 ± 10
Single top	102 ± 18	46 ± 13	58 ± 16	9 ± 6	90 ± 40
W + jets	29 ± 4	8.4 ± 1.2	36.1 ± 3.1	67 ± 5	19.0 ± 2.0
Di-/Multiboson	4.1 ± 1.1	2.0 ± 0.5	2.8 ± 0.5	5.6 ± 1.0	2.8 ± 0.9
Other	10.6 ± 1.3	10.6 ± 1.4	11.2 ± 1.4	2.5 ± 0.4	12.4 ± 1.5

5.5% and a maximum of 2.6% in the single top, W + jets and $t\bar{t}$ control regions, respectively. Exemplary distributions in the CRs after the background-only fit are shown in fig. 8.1, revealing a good agreement between observed data and the SM background estimate throughout the distributions shown.

8.1.2 Results in the validation regions

In order to validate the extrapolations from the CRs to the SRs, the results of the background-only fit in are extrapolated into the VRs. Table 8.2 details the observed data and background estimation before and after the fit in the different VR bins.

In the on-peak VRs, $t\bar{t}$ is by far the dominant background. Contributions from single top and W + jets each amount to only 1–5%, depending on validation region bin. Diboson, multiboson and other SM processes result in minor contributions of the level of not more than 3% of the total background estimate. As the total uncertainties on the background estimate in the on-peak regions are dominated by the $t\bar{t}$ uncertainties, the large uncertainties on the W + jets and single top estimate due to relatively limited MC statistics do not have a significant impact. In the off-peak VRs, $t\bar{t}$ is the dominant process in the low mass regime, while contribution from single top and W + jets are subdominant. In the medium and high mass regimes, $t\bar{t}$, single top and W + jets all result in similar contributions. Diboson, multiboson and other SM processes are only minor backgrounds in all off-peak regions, cumulatively amounting to only 10–14% of the total background estimate depending on the mass regime. Exemplary N-1 distributions in the validation regions after the results from the background-only fit are extrapolated are shown in fig. 8.2.

The agreement between data and the background estimate is summarised in **??**. The background estimates agree within 1.5σ with the observed data in all validation regions, except for the VR-onMM where the agreement is within 1.9σ. Thus, the overall agreement in the validation regions

quote exact numbers

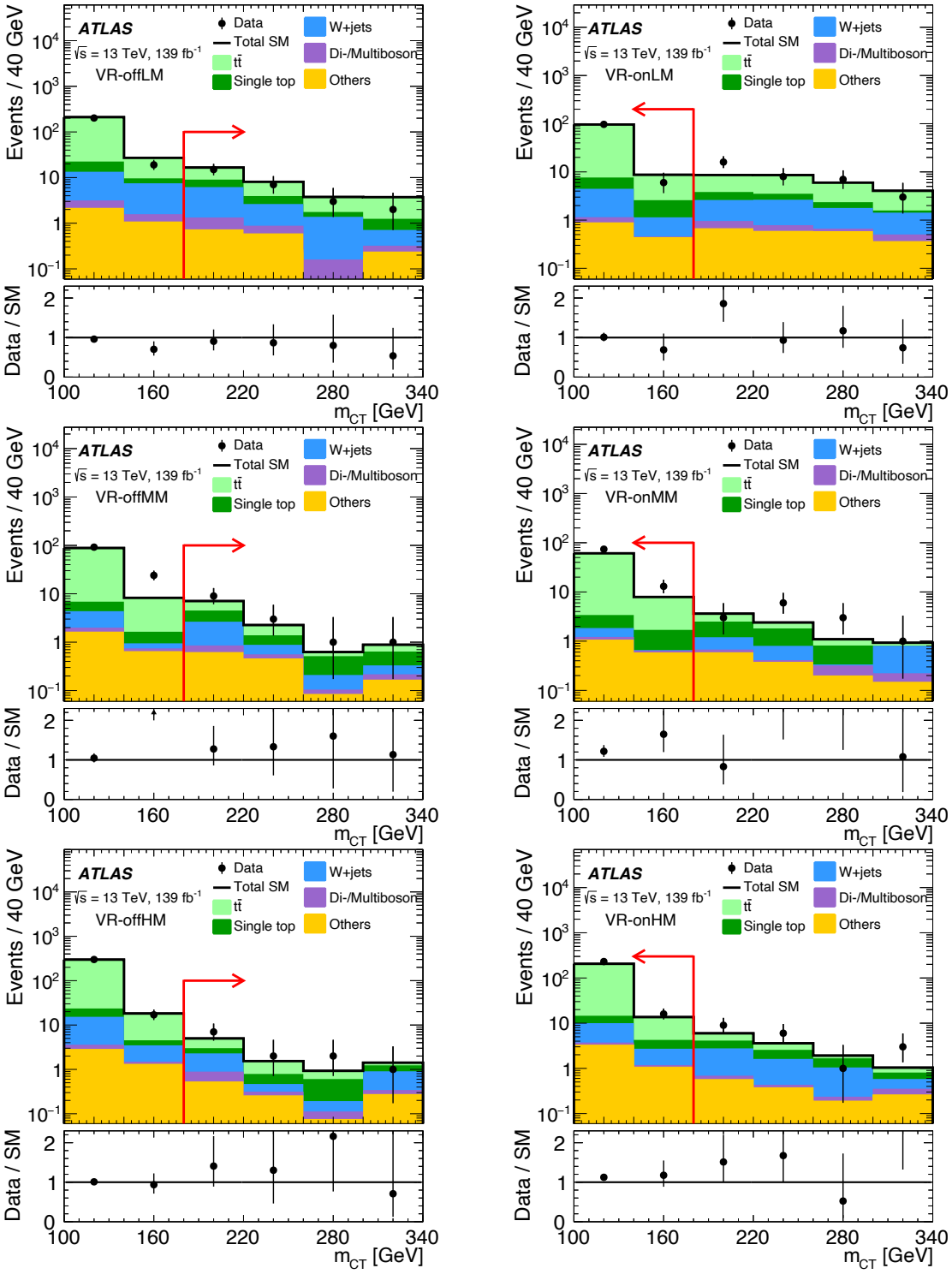

Figure 8.2: Exemplary distributions shown in each validation region after the background-only fit with subsequent extrapolation to the VRs. All selection cuts except for the requirement on m_{CT} (indicated using the red arrow) are applied. The shaded region includes all systematic uncertainties as well as MC statistical uncertainty.

Table 8.2

Region	VR-onLM	VR-onMM	VR-onHM	VR-offLM	VR-offMM	VR-offHM
Observed events	103	87	247	27	14	12
Fitted SM events	100 ± 19	64 ± 9	215 ± 18	34 ± 6	9.5 ± 2.7	7.5 ± 2.6
$t\bar{t}$	90 ± 19	59 ± 9	196 ± 19	18 ± 4	2.4 ± 1.4	1.8 ± 1.8
Single top	5^{+5}_{-5}	$2.6^{+2.9}_{-2.6}$	6 ± 6	5 ± 4	3.0 ± 1.8	1.8 ± 1.5
W + jets	4 ± 4	0.6 ± 0.5	7.9 ± 2.1	8.2 ± 2.6	2.3 ± 0.8	2.2 ± 0.6
Di-/Multiboson	0.24 ± 0.08	0.19 ± 0.08	0.54 ± 0.19	1.07 ± 0.27	0.39 ± 0.11	0.51 ± 0.14
Other	1.34 ± 0.22	1.67 ± 0.28	4.4 ± 2.0	1.6 ± 0.5	1.34 ± 0.25	1.15 ± 0.24
MC exp. SM events	110 ± 40	69 ± 17	218 ± 22	34 ± 7	12.8 ± 3.4	9.7 ± 3.3
$t\bar{t}$	92 ± 35	62 ± 17	196 ± 21	16 ± 5	3.8 ± 2.2	3.1 ± 1.9
Single top	8 ± 5	4.5 ± 3.4	11 ± 6	9 ± 4	5.3 ± 2.2	3.1 ± 2.5
W + jets	2.8 ± 2.3	0.5 ± 0.5	6.5 ± 1.2	6.5 ± 1.6	2.0 ± 0.5	1.80 ± 0.34
Di-/Multiboson	0.24 ± 0.07	0.19 ± 0.08	0.50 ± 0.17	1.07 ± 0.28	0.37 ± 0.10	0.50 ± 0.15
Other	1.35 ± 0.23	1.70 ± 0.28	4.4 ± 0.9	1.6 ± 0.5	1.36 ± 0.25	1.16 ± 0.24

is considered to be acceptable, paving the way for further extrapolation of the background estimate into the SRs.

8.1.3 Results in the signal regions

By extrapolating the results from the background-only fit in the control regions, the background estimate in the signal regions can be obtained. Table 8.3 compares the background estimate with the observed data for all discovery signal regions. In the low mass discovery signal region, $t\bar{t}$ is the dominant background, followed by W + jets and single top. In the medium mass discovery signal region, all three main backgrounds contribute at roughly equal parts. In the high mass signal region, W + jets is the largest SM background, followed by single top and $t\bar{t}$. In all discovery signal regions, diboson, multiboson and other SM backgrounds yield only minor contributions. The results in the exclusion signal regions are shown in table 8.4. As for the discovery signal regions, $t\bar{t}$ is the dominant background in the low mass signal region bins, while W + jets slightly dominates in the high mass signal region bins. The m_{CT} distribution in all three exclusion SRs are shown in **??**.

None of the exclusion or discovery signal regions reveal a significant deviation from the SM background estimate in data, meaning that all observations are compatible with the SM. Consequently, the signal regions will be used in the following to derive model-dependent as well as model-independent limits. A slight overfluctuation of data in the discovery SRs (that are not mutually exclusive) is quantified to be within 2σ, resulting in weaker model-independent limits than expected. Some of the exclusion signal region bins also exhibit slight overfluctuations in data, all well within 2σ of the SM background estimate. Thus, the observed model-dependent exclusion limit derived in section 8.2 is slightly weaker than expected. Figure 8.4 summarises for all regions the observed data, SM background estimate as well as the significances of any deviations.

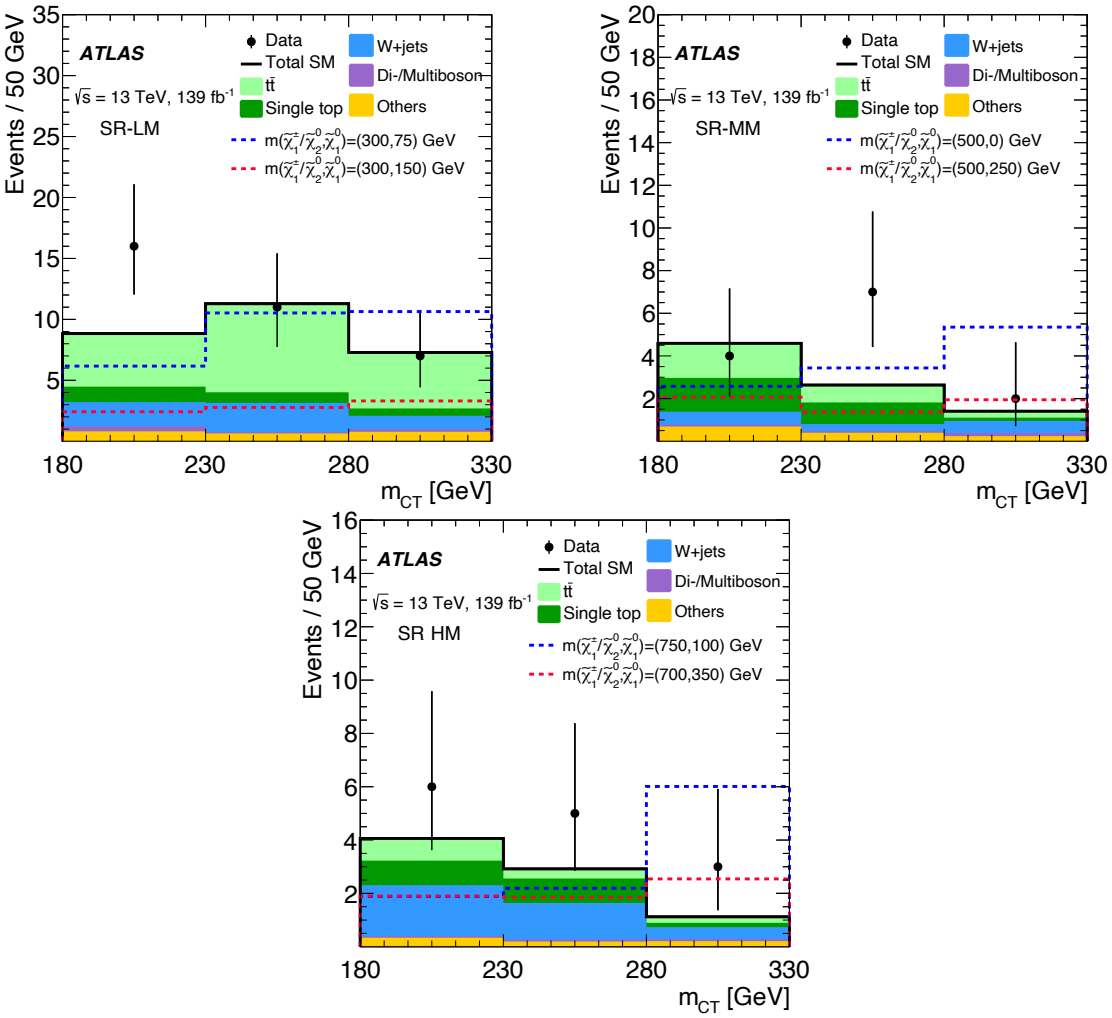

Figure 8.3: Exemplary distribution shown in each exclusion signal region after the background-only fit. The shaded region includes all systematic uncertainties (including correlations) as well as MC statistical uncertainty.

Table 8.3

Region	SR-LM (disc.)	SR-MM (disc.)	SR-HM (disc.)
Observed events	66	32	14
Fitted SM events	47 ± 6	21 ± 5	8.6 ± 2.8
Fitted ttbar events	22 ± 4	5.9 ± 1.9	1.9 ± 0.7
Fitted singletop events	9 ± 6	6 ± 5	$2.0^{+2.4}_{-2.0}$
Fitted wjets events	11.1 ± 2.9	5.6 ± 1.4	3.7 ± 1.0
Fitted diboson events	1.23 ± 0.24	0.56 ± 0.11	0.21 ± 0.06
Fitted Z+jets events	4.8 ± 0.5	2.6 ± 0.4	0.74 ± 0.16
MC exp. SM events	50 ± 7	22 ± 5	8 ± 4
MC exp. ttbar events	21 ± 5	4.9 ± 1.6	1.2 ± 0.6
MC exp. singletop events	14 ± 4	9 ± 5	$2.9^{+3.5}_{-2.9}$
MC exp. wjets events	9.1 ± 1.3	4.5 ± 0.7	3.0 ± 0.6
MC exp. diboson events	1.20 ± 0.23	0.56 ± 0.11	0.21 ± 0.06
MC exp. Z+jets events	4.8 ± 0.5	2.6 ± 0.4	0.74 ± 0.16

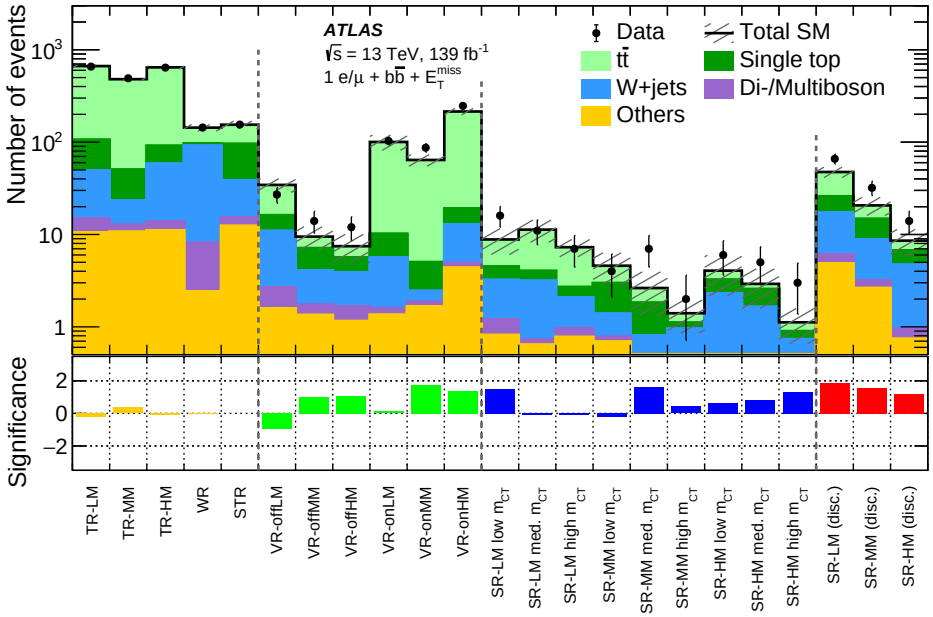

Figure 8.4

Table 8.4: Background fit results for the exclusion SR regions. The errors shown are the statistical plus systematic uncertainties. Uncertainties in the fitted yields are symmetric by construction, except where the negative error is truncated at an event yield of zero.

SR-LM	All m_{CT} bins	Low m_{CT}	Medium m_{CT}	High m_{CT}
Observed	34	16	11	7
Expected	27 ± 4	8.8 ± 2.8	11.3 ± 3.1	7.3 ± 1.5
$t\bar{t}$	16.2 ± 3.4	4.4 ± 2.2	7.3 ± 2.5	4.6 ± 1.2
Single top	2.7 ± 1.8	1.3 ± 1.1	$0.9^{+1.0}_{-0.9}$	0.6 ± 0.6
W+jets	5.5 ± 2.0	2.0 ± 0.9	2.4 ± 1.3	1.1 ± 0.5
Di-/Multiboson	0.67 ± 0.19	0.39 ± 0.13	$0.09^{+0.11}_{-0.09}$	0.18 ± 0.04
Others	2.23 ± 0.29	0.81 ± 0.25	0.64 ± 0.15	0.77 ± 0.12
SR-MM	All m_{CT} bins	Low m_{CT}	Medium m_{CT}	High m_{CT}
Observed	13	4	7	2
Expected	8.6 ± 2.2	4.6 ± 1.7	2.6 ± 1.3	1.4 ± 0.6
$t\bar{t}$	2.7 ± 1.4	1.6 ± 0.9	0.8 ± 0.7	0.30 ± 0.24
Single top	2.7 ± 1.9	1.6 ± 1.5	$1.0^{+1.1}_{-1.0}$	$0.15^{+0.19}_{-0.15}$
W+jets	1.5 ± 0.7	0.6 ± 0.4	$0.3^{+0.4}_{-0.3}$	0.57 ± 0.26
Di-/Multiboson	0.29 ± 0.08	0.09 ± 0.04	0.065 ± 0.028	0.14 ± 0.06
Others	1.33 ± 0.27	0.69 ± 0.20	0.40 ± 0.13	0.24 ± 0.09
SR-HM	All m_{CT} bins	Low m_{CT}	Medium m_{CT}	High m_{CT}
Observed	14	6	5	3
Expected	8.1 ± 2.7	4.1 ± 1.9	2.9 ± 1.3	1.1 ± 0.5
$t\bar{t}$	1.4 ± 0.5	0.8 ± 0.4	0.36 ± 0.25	0.22 ± 0.15
Single top	$2.0^{+2.4}_{-2.0}$	$0.9^{+1.5}_{-0.9}$	0.9 ± 0.9	$0.16^{+0.26}_{-0.16}$
W+jets	3.7 ± 1.0	1.9 ± 0.8	1.4 ± 0.8	0.45 ± 0.19
Di-/Multiboson	0.21 ± 0.06	0.057 ± 0.025	0.075 ± 0.027	0.08 ± 0.04
Others	0.74 ± 0.16	0.34 ± 0.09	0.19 ± 0.08	0.21 ± 0.08

8.2 Interpretation

Table 8.5: Left to right: 95% CL upper limits on the visible cross-section ($\langle\epsilon\sigma\rangle^{95}_{\text{obs}}$) and on the number of signal events (S^{95}_{obs}). The third column (S^{95}_{exp}) shows the expected 95% CL upper limit (and its $\pm1\sigma$ excursions) on the number of signal events if no BSM signal is present. The last three columns indicate the CL_B value, i.e. the confidence level observed for the background-only hypothesis, the discovery p-value (p_0) and the significance Z [**?**].

Signal Region	$\langle\epsilon\sigma\rangle^{95}_{\text{obs}}$[fb]	S^{95}_{obs}	S^{95}_{exp}	CL_B	p_0	Z
SR-LM (disc.)	0.26	36.8	$20.0^{+8.0}_{-5.4}$	0.97	0.03	1.88
SR-MM (disc.)	0.18	24.8	$15.3^{+6.2}_{-4.6}$	0.94	0.06	1.54
SR-HM (disc.)	0.11	14.7	$9.7^{+3.3}_{-2.7}$	0.89	0.10	1.30

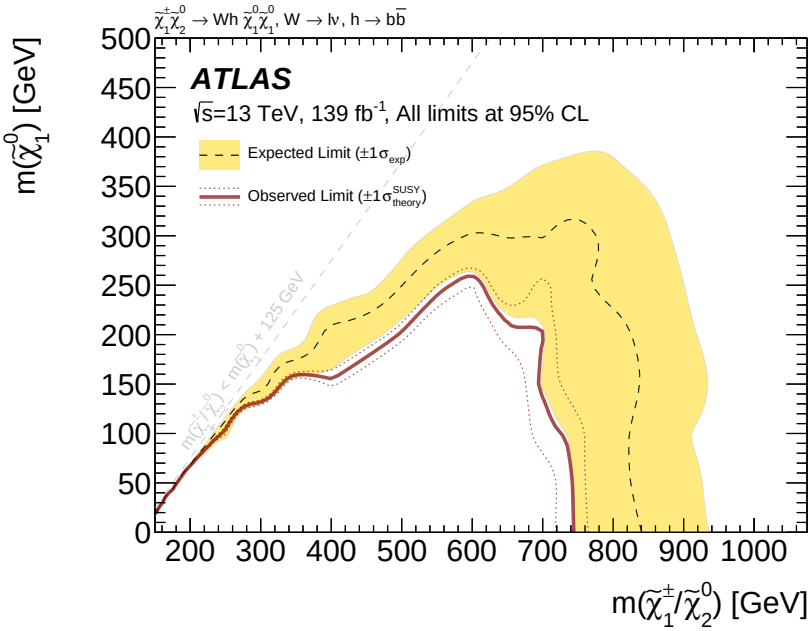
$\tilde{\chi}_1^{\pm}\tilde{\chi}_2^0 \rightarrow Wh\,\tilde{\chi}_1^0\tilde{\chi}_1^0$, $W \rightarrow l\nu$, $h \rightarrow b\bar{b}$
ATLAS
$\sqrt{s}$=13 TeV, 139 fb^{-1}, All limits at 95% CL
Expected Limit ($\pm 1\sigma_{exp}$)
Observed Limit ($\pm 1\sigma^{SUSY}_{theory}$)
$m(\tilde{\chi}_1^0) < m(\tilde{\chi}_1^{\pm}/\tilde{\chi}_2^0) + 125$ GeV
$m(\tilde{\chi}_1^0)$ [GeV]
$m(\tilde{\chi}_1^{\pm}/\tilde{\chi}_2^0)$ [GeV]
0
50
100
150
200
250
300
350
400
450
500
200
300
400
500
600
700
800
900
1000

Figure 8.5

Part III

Reinterpretation

Part IV

Summary and Outlook

Part V

Appendix

Abbreviations

CR control region. 111–113

MC Monte Carlo. 111–114, 116

SM Standard Model of Particle Physics. 111, 113, 115

SR signal region. 111, 113, 115

VR validation region. 111, 113, 114

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