



Adaptive Greenhouse with Thermochromic Material: Performance Evaluation in Cold Regions

Jianying Hu¹ and Xiong Yu, M.ASCE²

Abstract: The intensive energy requirement is a major challenge for greenhouse producers, especially those located in cold regions. An adaptive greenhouse with thermochromic (TC) material has the potential to intelligently regulate solar radiation gain of the greenhouse in response to the outdoor environment, therefore abating energy demand of the greenhouse. This study evaluates the energy performance of an adaptive greenhouse with covering materials (TC glazing, TC film, TC film–TC glazing). The simulation results reveal that compared with normal glass, energy savings reaches up to 5%, 6%, and 13% with the application of TC glazing, TC film, and TC film–TC glazing to the greenhouse, respectively; the energy cost is correspondingly reduced by 7%, 17%, and 22% respectively; and the CO₂ emission is reduced by 6%, 14%, and 20%, respectively. In comparison with low-emissivity glass, the total energy saving of the greenhouse reaches up to 3%, 3%, and 11% by employing TC glazing, TC film, and TC film–TC glazing, respectively. Moreover, the increase in envelope thickness increases energy efficiency of the adaptive greenhouse to 17%. TC film–TC glazing is recommended for use in heating energy–dominated scenarios. This research framework allows designers to optimize overall energy performance of the proposed adaptive greenhouse for different climates. **DOI: 10.1061/(ASCE)EY.1943-7897.0000685.** © 2020 American Society of Civil Engineers.

Author keywords: Adaptive greenhouse; Thermochromic material; Energy saving; Energy cost; CO₂ emission.

Introduction

In 2003, Canada was the largest export market for US fresh vegetables, absorbing more than 80% of US exports in this product category (Cook and Calvin 2005). In recent years, the growth of the greenhouse industry in Canada has changed net trade flows of fresh vegetables between Canada and the United States. Canada produces and exports fresh vegetables, such as peppers, tomatoes, lettuce, and cucumbers, by operating sophisticated greenhouses. Currently, large, new greenhouses are operating in cold climate regions of the United States, such as Michigan and Ohio. Greenhouses provide a protected growing environment for plants in cold regions and have become a promising approach to increase food production. However, greenhouses consume large amounts of energy by creating a controlled environment where temperature, lighting, ventilation, and humidity are regulated so that plants can grow out of season. Of the total energy consumed, the heating energy demand represents 70%-80% of total greenhouse energy demand (Cuce et al. 2016), and even up to 85% for northern climates owing to the long winter season (Runkle and Both 2011). Heating energy is mostly provided by the combustion of fossil fuels and therefore contributes to global warming and environmental degradation. Thus, the green-house sector faces major challenges to reduce its energy consumption while maintaining product quality and quantity.

Note. This manuscript was submitted on August 31, 2019; approved on March 13, 2020; published online on May 31, 2020. Discussion period open until October 31, 2020; separate discussions must be submitted for individual papers. This paper is part of the *Journal of Energy Engineering*, © ASCE, ISSN 0733-9402.

Many efforts have been made to address energy-related challenges by tailoring thermo-optical properties of greenhouse covering materials or introducing new, innovative materials and designs. These efforts are categorized into three themes: (1) modulating thermal behaviors of covering materials, e.g., by using polycarbonate with advanced thermal insulation characteristics (Fabrizio 2012) and heat insulation solar glass (Cuce et al. 2015); (2) controlling optical properties of covering materials, e.g., with antireflective coating for transmission (Rosencrantz et al. 2005), low-emissivity coatings (Halleux et al. 1985), near-infrared (NIR) reflecting films with inorganic particles (e.g., TiO₂, SiO₂) (Liu et al. 2018; Emekli et al. 2016; Kavga et al. 2018; Kempkes and Hemming 2012), photoselective films (Kittas et al. 1999; Li et al. 2000; Oyaert et al. 1999; Cerny et al. 2003), or ultraviolet (UV)-blocking films (Kittas et al. 2006; Tsormpatsidis et al. 2008); and (3) integrating with alternative energy sources to improve energy efficiency of the greenhouse (Hassanien et al. 2018; Yildirim and Bilir 2017; Sonneveld et al. 2010). Despite their promise, alternative energy sources are not infallible and there are some obstacles preventing the full implementation of alternative energy sources, especially related to policy (Paço and Varejão 2010). Other greenhouses with improved thermal and optical properties are based on static designs that cannot adapt or react to changes in their surroundings. Thus, development of an adaptive greenhouse with responsive thermo-optical properties is critical to lessen greenhouse energy demand.

The adaptive greenhouse represents a new concept to improve the performance of greenhouses. It is capable of varying its thermo-optical properties in response to external stimuli (Favoino et al. 2015). This technology can be achieved by employing a chromogenic, i.e., thermochromic (TC) material. TC material is a type of smart material that is capable of reversibly varying its colors and optical properties in response to temperature variation. The greenhouse integrated with TC material enables the modulation of solar heat gains according to the outdoor environment, i.e., the higher the incident solar radiation, the higher the temperature of TC, and thus the higher the solar reflectance of the adaptive greenhouse, and vice versa (Bamfield and Hutchings 2010). Karlessi et al. (2009) have

¹Associate Professor, School of Transportation, Southeast Univ., Southeast University Rd. No. 2, Nanjing 211189, China (corresponding author). ORCID: https://orcid.org/0000-0003-1699-783X. Email: jianyinghu@seu.edu.cn

²Professor, Dept. of Civil Engineering, Case Western Reserve Univ., 2104 Adelbert Rd., Bingham 206, Cleveland, OH 44106-7201. Email: xxx/21@case.edu

developed 11 TC coatings by incorporating organic TC pigment into polymer matrix, achieving a maximum solar reflectance of 43% at the colorless phase. Experimental evaluation showed that TC coatings are 6°C-10°C cooler than the conventional colored coatings in summer. The authors proposed to apply TC coating into the roof system and found that energy saving of the building is up to 41% and CO₂ emission is reduced by up to 47% compared to traditional building (Hu and Yu 2019). An innovative window system, namely TC glazing, is designed by depositing TC thin film onto conventional glazing. Studies have revealed that TC glazing has the potential to reduce overall energy demand in buildings by allowing visible light for day lighting, reducing undesired solar gain during the cooling season, while allowing useful solar gain during the heating season (Hoffmann et al. 2014; Warwick et al. 2014; Saeli et al. 2010b; Liang et al. 2018). Ye et al. (2013) reported that the use of TC glazing in an office leads to a reduction in cooling load by 10%-20% compared with traditional clear glazing. TC glazing was also found to reduce building energy consumption in warmer climates more than in cooler regions (Saeli et al. 2010a, b). However, limited study has been devoted to energy performance of adaptive greenhouses with both thermochromic coating and thermochromic glazing in cold regions.

This study aims to investigate energy performance of adaptive greenhouses with TC film/TC glazing through building energy simulation, compared with with normal glass and low-emissivity (low-E) glass. A special focus of the analysis compares energy performance of greenhouses in Canada (Ottawa, Ontario) and in the United States (Cleveland, Ohio). TC film is first fabricated and characterized using an optical spectrophotometer at different temperatures. Based on the measured optical reflectance, energy analysis of the greenhouse is implemented in EnergyPlus, evaluating annual heating and cooling loads. Then, energy-associated cost and CO₂ emission of adaptive greenhouse have been analyzed. Finally, the influence of thickness of covering materials on energy performance of the adaptive greenhouse is also assessed. The analysis is expected to provide a reference for the feasibility and design of an adaptive greenhouse with thermochromic material.

Methodology

Fabrication of Thermochromic Film

TC film in this study is fabricated by incorporating TC pigment powder into PVC through hot-pressing technique. TC pigment exhibits dark blue color below the transition temperature of around 31°C, and light blue color above the transition temperature. The chemical and physical properties of blue thermochromic pigment are described in previous studies (Hu and Yu 2013; Hu et al. 2015). The typical process to formulate TC film is illustrated in Fig. 1(a). As shown in Fig. 1(a), PVC powder was first mixed with 5% thermochromic pigment powders thoroughly in a ball mill for approximate 5 min. Next, the uniform distributed powder mixture was placed between two substrates and sandwiched between two hot parallel plates. The plates were preheated to 200°C before loading the samples. Then, the stack was kept at 200°C for 10 min and subsequently pressed under 5 MPa for 5 min. Finally, the film was formed and slowly cooled to ambient temperature. Pure PVC film without functional additives was prepared as the control sample.

Design of Adaptive Greenhouse

The adaptive greenhouse is built with TC film or TC glazing. With the prepared TC film shown in Fig. 1(b), the reflectance spectra in the wavelength of 300–1,800 nm are characterized by a Cary 6000i

UV-Vis-IR spectrophotometer (Cary 6000i, Aiglent Technologies, Santa Clara, California) with a diffuse reflectance accessory (DRA) integrating sphere. Fig. 1(c) displays the reflectance spectra of TC film at different temperatures. It is observed that TC film presents high solar reflectance, especially in the infrared range of the spectrum. The reflectance spectrum is changed in the visible range, which is ascribed to the color change of the TC material. Based on the spectral reflectance data, solar reflectance of each sample is calculated using weighted-averaging method (ASTM 2000). It is found that as temperature rises, the total reflectance of TC film increases from 15% to 20% and the reflectance in the visible portion of the spectrum increases from 4% to 16%. The input data, solar absorptance, is calculated by one minus solar reflectance and the expression of solar absorptance as a function of temperature is obtained by linear fitting method, summarized in Table 1.

To further improve energy efficiency of the building, TC glazing is also implemented. The optical data of TC glazing is directly taken from EnergyPlus, as shown in Fig. 2. As shown in this figure, as temperature rises, solar reflectance of TC glazing gradually drops, while solar transmittance of TC glazing decreases drastically. Taking the temperatures at 25°C and 85°C, for example, solar reflectance and transmittance of TC glazing at 25°C is respectively 6.5%, and 63%; while at 85°C, the corresponding values are 5.5% and 37%, respectively. In contrast to the TC roof, TC glazing mainly regulates solar transmittance.

The use of both TC roof and TC glazing is proposed for the greenhouse, which is shown in Fig. 3. In theory, with the characteristics of thermochromic material, both TC roof and TC glazing intelligently regulate the solar energy when triggered by environmental temperature: during the winter season, TC film is less infrared-reflective, and simultaneously TC glazing transmits more solar radiation; during the summer season, TC film reflects more solar energy and TC glazing prevents transmission of sunlight. The ability to modulate solar radiation in response to the outdoor environment suggests the potential for TC film and TC glazing to have excellent energy performance.

Building Energy Simulation

Energy simulations of greenhouses are performed using Energy-Plus 8.9, which is a capable and validated whole-building energy simulation program for modeling thermal and electrical loads of buildings. EnergyPlus models are defined by building geometry, envelope characteristics, mechanical system characteristics, lighting system, occupancy, and HVAC setpoint schedules. The program has been extensively validated with analytical, comparative, and empirical tests available on the US DOE website (ANSI/ASHRAE 2002).

A single slope greenhouse with dimensions of $40 \times 10 \times 6$ m (400-m^2 floor area) is designed, as shown in Fig. 4. For this analysis, five types of greenhouse covering materials have been considered: TC film, TC glazing, TC film–TC glazing, low-E glass, and normal glass, in which TC film–TC glazing consists of TC film as the roof and TC glazing as the wall. The thermal characteristics of used covering materials are given in Table 2. Table 3 illustrates optical properties of normal glass and low-E glass. The temperature-dependent optical data of TC film, as illustrated in Table 1, are input through Energy Management System (EMS) in EnergyPlus.

Internal loads and schedules are set up as follows. The lighting load in the building is taken to be $105~\rm W/m^2$, which is scheduled for the whole day. The HVAC system consists of natural gas for heating and central electric air-conditioning unit for cooling. The suggested temperatures for controlling the heating mode and cooling mode are 18° C and 25° C, respectively, and the value for relative humidity is set to 80% (Both 2004). All cooling and heating

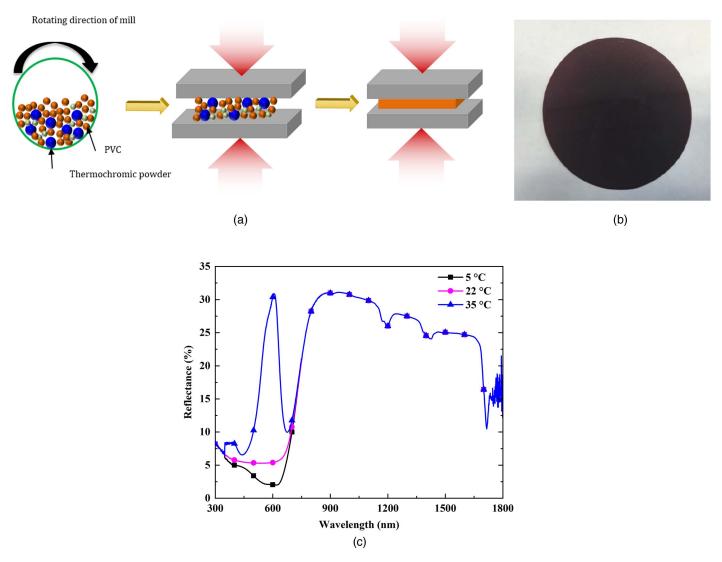


Fig. 1. TC film: (a) schematic illustration of hot-pressing technique; (b) photo of the sample; and (c) reflectance spectra at different temperatures.

Table 1. Optical properties of TC film in this study

Façade material	Solar absorptance	Visible absorptance
TC film	$\begin{cases} 0.82, & T \le 0^{\circ}C; \\ 0.8449 - 0.00274T, & 0^{\circ}C < T < 40^{\circ}C \\ 0.74, & T \ge 40^{\circ}C \end{cases}$	$\begin{cases} 0.94, & T \le 0^{\circ}C; \\ 0.9927 - 0.0062T, & 0^{\circ}C < T < 40^{\circ}C \\ 0.75, & T \ge 40^{\circ}C \end{cases}$

Note: T = temperature of TC film.

capacities are autosized to design day conditions corresponding with American Society of Heating, Refrigerating and Air-Conditioning Engineers (ASHRAE) climate zones. Time step of the simulation is set to 10 min. All simulations are run for 1 year.

Climate Conditions

Energy simulation analysis is performed for two cities located in cold climate zones: Cleveland, Ohio and Ottawa, Ontario. The standard typical meteorological year (TMY) weather files of both cities taken from EnergyPlus weather data are used for the simulation. Fig. 5 shows the monthly statistics for the evolution of the dry bulb temperatures in Cleveland and Ottawa. It is obvious that in Cleveland, the warmest month on average is July, with an average temperature of 24.0°C and maximum temperature of 28.3°C, and the

coldest month on average is February, with an average temperature of -3.5° C and the minimum temperature of -5.5° C. In Ottawa, the warmest month is July, with an average temperature of 20.3°C and maximum temperature of 24.3°C, and the coldest month on average is January, with an average temperature of -11.5° C and the minimum temperature of -13.4° C. Compared with Cleveland, Ottawa has lower temperature and longer winter season.

Results and Discussion

Annual Heating and Cooling Energy Consumptions

Fig. 6 illustrates the cumulative heating and cooling loads in 1 year with different covering materials of greenhouses. Fig. 6(a) summarizes the annual cooling loads for the greenhouse with five types of

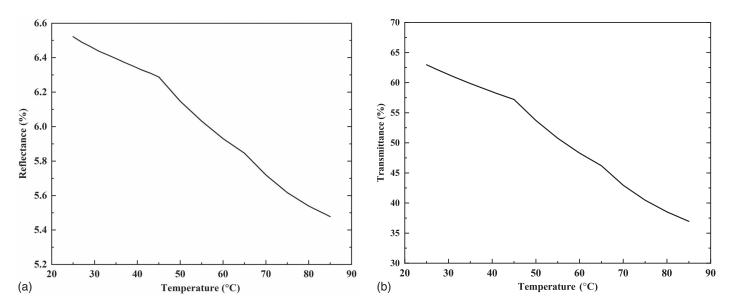


Fig. 2. Temperature-dependent optical data of TC glazing: (a) solar reflectance; and (b) solar transmittance.

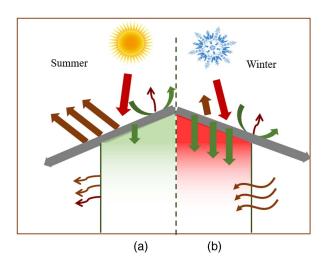


Fig. 3. Schematic principle of the greenhouse with TC film and TC glazing: (a) during summer or cooling season; and (b) during winter or heating season.



Fig. 4. Greenhouse configuration considered in the modeling.

Table 2. Properties of greenhouse covering materials used for energy simulation

Covering material	Thickness (mm)	Conductivity $(W/m \cdot K)$	Density (kg/m³)	Specific heat (J/kg · K)	Emissivity
Normal glass	3 or 10	0.90	_	_	0.84
Low-E glass	3 or 10	0.90	_	_	0.10
TC glazing	3 or 10	0.90	_	_	0.84
TC film	3 or 10	0.19	950	1,460	0.90

Table 3. Optical properties of investigated glazing

	Normal	Low-E
Window property	glass	glass
Solar transmittance at normal incidence	0.85	0.63
Front side solar reflectance at normal incidence	0.08	0.19
Back side solar reflectance at normal incidence	0.04	0.22
Visible transmittance at normal incidence	0.88	0.85
Front side visible reflectance at normal incidence	0.085	0.056
Back side visible reflectance at normal incidence	0.045	0.079

covering materials in two sites. Compared with normal glass, low-E glass increases cooling energy demand by 3.9–4.4 kWh/m² with percentage of 4%–5%; TC glazing decreases cooling load by 6.6–7.7 kWh/m² (8%); TC film decreases cooling load by 31.5–32.4 kWh/m² (33%–37%); and TC film–TC glazing decreases cooling load by 28.8–31.9 kWh/m² with percentage of 32%–34%) for the greenhouse in two cities. Compared with low-E glass, the adoption of TC glazing, TC film, and TC film-TC glazing lowers the cooling load of the greenhouse by 11.0–11.6 kWh/m² (11%–12%), 35.9–36.3 kWh/m² (35%–41%), and 33.2–35.8 kWh/m² (35%–37%), respectively. The results reveal that TC film has the best performance in terms of cooling energy consumption, followed by TC film–TC glazing and TC glazing, while low-E glass has an adverse effect on cooling load of the greenhouse.

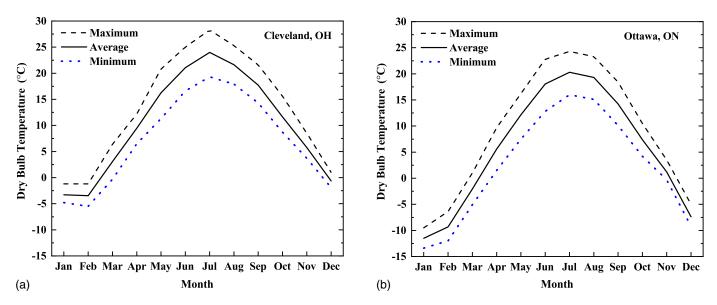


Fig. 5. Monthly statistics for the evolution of the dry bulb temperatures in: (a) Cleveland, Ohio; and (b) Ottawa, Ontario.

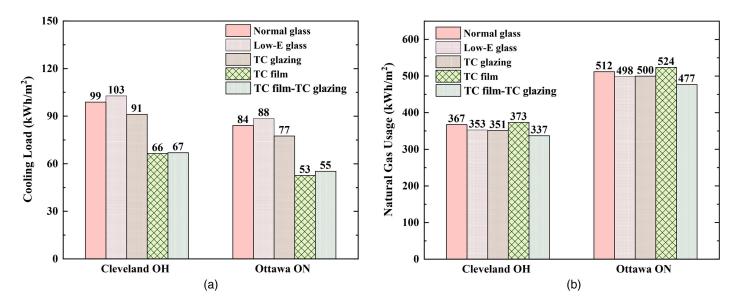


Fig. 6. Annual cooling load: (a) and heating load; and (b) for the greenhouse with various covering materials in two cities.

Fig. 6(b) displays the annual heating savings in kWh/m² for the greenhouse in Cleveland versus the greenhouse in Ottawa. It can be seen that compared with normal glass, the heating saving is $13.6-14.4 \text{ kWh/m}^2$ (3%-4%), $12.3-16.0 \text{ kWh/m}^2$ (2%-4%), and 30.4-35.2 kWh/m² (7%-8%) for the application of low-E glass, TC glazing, and TC film-TC glazing, respectively; while TC film causes slight heating energy penalty of 6.1–11.8 kWh/m² (2%). Compared with low-E glass, the use of TC glazing has negligible impact on heating load of the greenhouse, while the utilization of TC film increases heating load by 20.5–25.4 kWh/m² (5%– 6%) and the application of TC film-TC glazing reduces heating load by $16.0-21.6 \text{ kWh/m}^2$ (4%–5%). Thus, in terms of heating energy consumption, TC film-TC glazing has the best performance, followed by TC glazing and low-E glass; while TC film causes insignificant heating load penalty. This might be ascribed to relatively low solar absorptance of TC film at low temperature and this is an area for further study.

Overall, low-E glass has a positive effect on heating energy load but a negative effect on cooling energy consumption of the greenhouse in cold regions. In contrast, TC film is effective in modulating cooling load but causes slight heating penalty. Both TC glazing and TC film-TC glazing show heating and cooling energy savings but TC film-TC glazing performs the best among various types of covering materials.

Monthly Energy Consumption

Fig. 7 presents the monthly heating and cooling energy loads of the greenhouses with various covering materials in Cleveland. It is shown that the heating energy demand is mainly from October to April with a peak heating load in January while the cooling energy demand is typically from May to September, with a peak cooling load in July. Comparing Figs. 7(a and b), it is noticed that the use of low-E glass results in lower heating load by up to

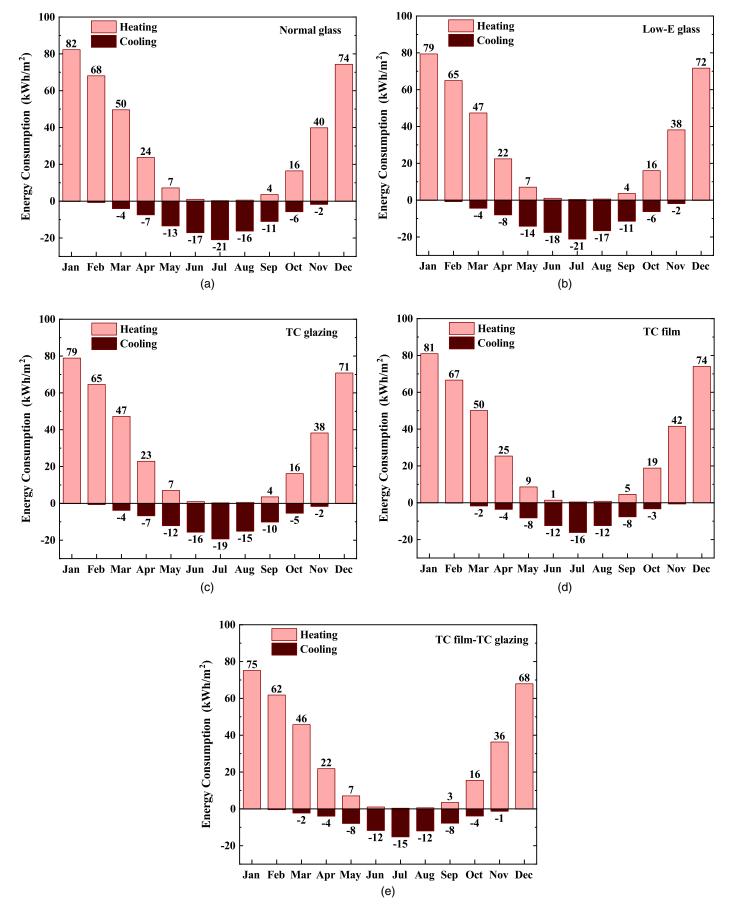


Fig. 7. Monthly heating and cooling requirements for greenhouses in Cleveland, Ohio: (a) normal glass; (b) low-E glass; (c) TC glazing; (d) TC film; and (e) TC film–TC glazing.

Table 4. Average electricity and natural gas prices in two cities

City	Electricity (\$/kWh)	Natural gas (\$/m³)
Cleveland, Ohio	0.0996	0.2186
Ottawa, Ontario	0.0724	0.1082

3 kWh/m² during heating season. Fig. 7(c) demonstrates that TC glazing reduces both heating and cooling energy demands by up to 3 kWh/m² during extreme hot and cold period. As seen in Fig. 7(d), TC film exhibits considerable cooling energy savings of up to 5 kWh/m² during the cooling season. Fig. 7(e) shows that a monthly energy savings of up to 7 kWh/m² is achieved using the TC film–TC glazing system. The monthly energy consumption shows that the adaptive greenhouse with TC glazing and TC film–TC glazing exhibits a seasonal response in energy performance.

Energy-Associated Cost and Equivalent Carbon Emission

The updated utility rates for electricity and natural gas were obtained from the US Energy Information Administration (EIA) and Ontario Energy Board for different locations, as summarized in Table 4. The energy-associated cost is the sum of electricity and natural gas expenditures. Energy-associated carbon dioxide emission is calculated by multiplying the CO₂ equivalent (CO_{2eq}) emission factors with electricity and natural gas loads. The taken CO₂ equivalent emission factors are 0.758 and 0.232 kg CO_{2eq}/kWh for electricity and natural gas, respectively (ANSI/ASHRAE/USGBC/IES 2010).

Fig. 8(a) presents the calculated energy costs per floor area of the greenhouse with all covering materials in two cities. It is apparent from the figure that similar to energy consumption, normal glass consumes maximum energy cost, followed by TC glazing, TC film, and TC film–TC glazing. Compared with normal glass, the energy-associated cost is negligibly affected by the use of low-E glass, while the energy cost is reduced by 0.9–1.1, 2.9–3.1, and 3.6–3.8 \$/m²

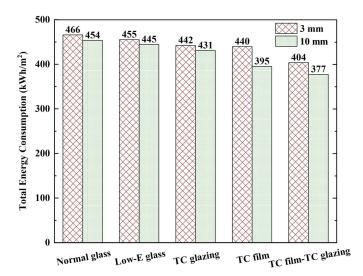


Fig. 9. Impact of envelope thickness on total energy loads of greenhouses with various covering materials in Cleveland, Ohio.

when employing low-E glass, TC glazing, TC film, and TC film-TC glazing in the greenhouse in two sites, respectively. Compared with low-E glass, the application of TC glazing, TC film, and TC film-TC glazing lowers the energy cost by approximately 1.2, 3.2, and 3.9 \$/m², respectively. The results verify that the adaptive greenhouse requires lower energy cost than a conventional greenhouse. Furthermore, it is found that the greenhouse in Ottawa needs 1.6–1.8 \$/m² greater energy cost.

Fig. 8(b) displays that carbon dioxide equivalent emission from the heating and cooling energy uses of greenhouses. Compared with normal glass, the $\rm CO_2$ emission is reduced by 7–9, 21–23, and 30–31 kg/m² when using TC glazing, TC film, and TC film–TC glazing, respectively. Because both normal glass and low-E glass lead to similar carbon emission, the effect of the adaptive greenhouse on carbon emission stays the same compared with low-E glass. The $\rm CO_2$ emission is highly related to energy demands, thus the lower energy use by TC film–TC glazing results in lower carbon emissions than other covering materials.

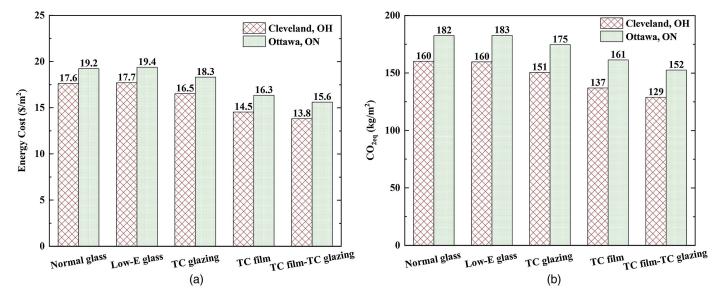


Fig. 8. Greenhouses with various covering materials in two cities: (a) energy-associated cost; and (b) equivalent carbon emission.

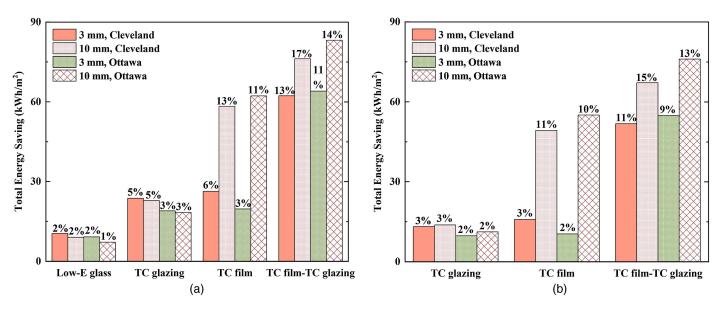


Fig. 10. Total energy savings with associated percentages: (a) compared with normal glass; and (b) compared with low-E glass.

Impact of Envelope Thickness

In buildings, the envelope thickness has a negative correlation with the heat losses. Fig. 9 illustrates how thickness of covering materials affects total energy use of the greenhouse located in Cleveland. It can be seen that the increase of envelope thickness results in reduction of total energy consumption by 12 kWh/m² (3%), 10 kWh/m² (2%), 11 kWh/m² (3%), 45 kWh/m² (10%), and 37 kWh/m² (9%) for normal glass, low-E glass, TC glazing, TC film, and TC film-TC glazing, respectively. Therefore, the increase of envelope thickness has a positive influence on energy efficiency of the greenhouse, especially when using TC film.

Discussion

A series of simulations was conducted to investigate energy performance of the greenhouse with various covering materials in cold regions. Fig. 10 summarizes the total energy savings of the adaptive greenhouse with various envelope thicknesses in two cities compared with normal glass and low-E glass. It is evident that all adaptive greenhouses show better energy efficiency in cold regions. As seen in Fig. 10(a), compared with normal glass, the total energy consumption of the greenhouse is reduced by 9.2–10.5 kWh/m² (2%), 19.0–23.4 kWh/m² (3%–5%), 19.7–26.3 kWh/m² (3%–6%), and 62.3–64.0 kWh/m² (11%–13%) when using 3-mm low-E glass, TC glazing, TC film, and TC film–TC glazing, respectively, in the two cities. The increase of envelope thickness is beneficial for TC film and TC film–TC glazing, which increases energy savings up to 58.3–62.2 kWh/m² (11%–13%) and 64.0–76.2 kWh/m² (14%–17%), respectively.

Fig. 10(b) shows the energy savings of adaptive greenhouses compared with low-E glass. It is evident that the total energy is decreased by 9.8–13.1 kWh/m² (2%–3%), 10.5–15.8 kWh/m² (2%–3%), and 54.8–51.8 kWh/m² (9%–11%) when using 3-mm TC glazing, TC film, and TC film–TC glazing, respectively, in the two cities. With the increasing envelope thickness, the energy savings of 11.2–13.8 kWh/m² (2%–3%), 49.3–55.0 kWh/m² (10%–11%), and 54.8–67.2 kWh/m² (13%–15%) is achieved by 10-mm TC glazing, TC film, and TC film–TC glazing, respectively. Overall, in terms of total energy use, TC film–TC glazing performs the best, which is more prominent in Ottawa than in Cleveland.

Furthermore, the increase in thickness of greenhouse envelope leads to greater energy savings in the greenhouse.

Energy performance of the greenhouse depends on thermal and optical properties of covering materials as well as climate conditions. To enhance energy efficiency of the greenhouse with TC film, the following strategies might be further considered: (1) tailoring transition temperature and temperature-dependent optical properties of TC materials for various climate conditions, (2) improving thermal properties and thickness of TC film, and (3) integrating TC film with renewable energy technologies, such as thermal energy storage systems using phase change materials, ground-source heat pumps, and solar energy using photovoltaics.

Conclusions

Greenhouses are large energy consumers. The present study analyzed the feasibility of adaptive greenhouse thermochromic material for energy efficiency improvement, particularly focusing on cold climates. The energy, environmental, and economic performance of the greenhouse with three types of covering materials (TC glazing, TC film, and TC film–TC glazing) is computationally investigated using EnergyPlus in cold regions and compared with that of normal glass and low-E glass. Moreover, the effect of envelope thickness on energy performance of greenhouses was also assessed. The main findings drawn from the analysis include:

- 1. For the greenhouse in cold regions, the application of TC glazing is effective in reducing cooling load by up to 8%, heating load by up to 4%, total energy consumption by up to 5%, energy-associated cost by up to 6% and CO₂ emission by up to 6% when compared with normal glass. When compared with low-E glass, the use of TC glazing results in cooling energy saving of 12%, total energy saving of 7% and reduction in CO₂ emission by 6%.
- 2. The application of TC film yields cooling energy saving of the greenhouse by 37%, heating energy penalty of 2%, total energy saving of 6%, cost saving of 17%, and reduction in CO₂ emission by 14% when compared with normal glass. In comparison with low-E glass, TC films yields a cooling energy saving of 40%, heating energy penalty of 5%, total energy saving of 3%, cost saving of 18%, and reduction in CO₂ emission by 12%.

- 3. The adoption of TC film–TC glazing leads to 34% cooling energy savings, 8% heating energy savings, 13% total energy savings, 22% cost saving, and 20% less CO₂ emission when compared with normal glass. When compared with low-E glass, the application of TC film–TC glazing reduces cooling load by 37%, heating load by 4%, total energy use by 11%, cost by 22%, and CO₂ emission by 19%.
- 4. As envelope thickness increases from 10 to 30 mm, TC film and TC film–TC glazing increase energy efficiency of the greenhouse by up to 13% and 17%, respectively when compared with normal glass. With respect to low-E glass, the energy efficiency by 10-mm TC film and TC film–TC glazing is promoted to 11% and 15%, respectively.

In summary, the combined TC film—TC glazing shows the best performance compared with other greenhouse covering materials. It is verified that adaptive greenhouses with TC film—TC glazing has seasonal control over energy demands during both heating and cooling seasons, which is a distinct advantage over traditional greenhouses. Further work will focus on energy efficiency improvement of the adaptive greenhouse by tailoring transition temperature and temperature-dependent optical and thermal properties of thermochromic materials, as well as designing a hybrid system with an alternative energy source.

Data Availability Statement

The data sets generated or analyzed in the current study are available from the corresponding author on reasonable request, including the model for energy analysis, the annual and monthly energy consumption, energy-associated cost, and equivalent carbon emission.

Acknowledgments

This work was supported by the National Science Foundation (No. 1537289).

References

- ANSI and ASHRAE (American National Standards Institute and American Society of Heating, Refrigerating and Air-Conditioning Engineers). 2002. *Measurement of energy and demand savings*. ASHRAE Guideline 14. Atlanta: ASHRAE.
- ANSI, ASHRAE, USGBC, and IES (American National Standards Institute, American Society of Heating, Refrigerating and Air-Conditioning Engineers, US Green Building Council, and Illuminating Engineering Society). 2010. Standard for the design of high-performance green buildings. ASHRAE 189.1. Atlanta: ASHRAE.
- ASTM. 2000. Standard solar constant and zero air mass solar spectral irradiance tables. ASTM E490. West Conshohocken, PA: ASTM.
- Bamfield, P., and M. G. Hutchings. 2020. Chromic phenomenatechnological applications of colour chemistry. 2nd ed., 47–58. Cambridge, UK: Royal Society of Chemistry.
- Both, A. J. 2004. "Greenhouse temperature management: Temperature and scheduling." *Greenhouse Manage. Prod.* (Apr): 38–42.
- Cerny, T., J. Faust, D. Layne, and N. Rajapakse. 2003. "Influence of photo-selective films and growing season on stem growth and flowering of six plant species." J. Am. Soc. Hortic. Sci. 128 (4): 486–491. https://doi.org/10.21273/JASHS.128.4.0486.
- Cook, R., and L. Calvin. 2005. "Greenhouse tomatoes change the dynamics of the North American fresh tomato industry." Accessed May 13, 2011. https://www.ers.usda.gov/webdocs/publications/45465/15302_err2c_1_.pdf?v=41879.
- Cuce, E., D. Harjunowibowo, and P. Cuce. 2016. "Renewable and sustainable energy saving strategies for greenhouse systems: A comprehensive

- review." Renewable Sustainable Energy Rev. 64 (Oct): 34–59. https://doi.org/10.1016/j.rser.2016.05.077.
- Cuce, E., C.-H. Young, and S. Riffat. 2015. "Thermal performance investigation of heat insulation solar glass: A comparative experimental study." *Energy Build*. 86 (Jan): 595–600. https://doi.org/10.1016/j.enbuild.2014.10.063.
- Emekli, N., K. Büyüktaş, and A. Başçetinçelik. 2016. "Changes of the light transmittance of the LDPE films during the service life for greenhouse application." *J. Build. Eng.* 6 (Jun): 126–132. https://doi.org/10.1016/j .jobe.2016.02.013.
- Fabrizio, E. 2012. "Energy reduction measures in agricultural greenhouses heating: Envelope, systems and solar energy collection." *Energy Build*. 53 (Oct): 57–63. https://doi.org/10.1016/j.enbuild.2012.07.003.
- Favoino, F., M. Overend, and Q. Jin. 2015. "The optimal thermo-optical properties and energy saving potential of adaptive glazing technologies." Appl. Energy 156 (Oct): 1–15. https://doi.org/10.1016/j .apenergy.2015.05.065.
- Halleux, D., J. Deltour, J. Nijskens, A. Nisen, and S. Coutisse. 1985. "Dynamic simulation of heat fluxes and temperature in horticultural and low emissivity glass-covered greenhouse." *Acta Hortic.* 170 (9): 91–96. https://doi.org/10.17660/ActaHortic.1985.170.9.
- Hassanien, R., M. Li, and F. Yin. 2018. "The integration of semi-transparent photovoltaics on greenhouse roof for energy and plant production." *Renewable Energy* 121 (Jun): 377–388. https://doi.org/10.1016/j.renene.2018.01.044.
- Hoffmann, S., E. Lee, and C. Clavero. 2014. "Examination of the technical potential of near-infrared switching thermochromic windows for commercial building applications." Sol. Energy Mater. Sol. Cells 123 (Apr): 65–80. https://doi.org/10.1016/j.solmat.2013.12.017.
- Hu, J. Y., Q. Goa, and X. Yu. 2015. "Characterization of the optical and mechanical properties of innovative multifunctional thermochromic asphalt binders." *J. Mater. Civ. Eng.* 27 (5): 04014171. https://doi.org/10 .1061/(ASCE)MT.1943-5533.0001132.
- Hu, J. Y., and X. Yu. 2013. "Experimental study of sustainable asphalt binder: Influence of thermochromic materials." *Transp. Res. Rec.* 2372 (1): 108–115. https://doi.org/10.3141/2372-12.
- Hu, J. Y., and X. Yu. 2019. "Adaptive thermochromic roof system: Assessment of performance under different climates." *Energy Build.* 192 (Jun): 1–14. https://doi.org/10.1016/j.enbuild.2019.02.040.
- Karlessi, T., M. Santamouris, K. Apostolakis, A. Synnefa, and I. Livada. 2009. "Development and testing of thermochromic coatings for buildings and urban structures." Sol. Energy 83 (4): 538–551. https://doi.org /10.1016/j.solener.2008.10.005.
- Kavga, A., M. Souliotis, E. Koumoulos, P. Fokaides, and C. Charitidis. 2018. "Environmental and nanomechanical testing of an alternative polymer nanocomposite greenhouse covering material." Sol. Energy 159 (Jan): 1–9. https://doi.org/10.1016/j.solener.2017.10.073.
- Kempkes, F. L. K., and S. Hemming. 2012. "Calculation of NIR effect on greenhouse climate in various conditions." *Acta Hortic*. 927 (66): 543–550. https://doi.org/10.17660/ActaHortic.2012.927.66.
- Kittas, C., A. Baille, and P. Giaglaras. 1999. "Influence of covering material and shading on the spectral distribution of light in greenhouses." *J. Agric. Eng. Res.* 73 (4): 341–351. https://doi.org/10.1006/jaer.1999.0420.
- Kittas, C., M. Tchamitchian, N. Katsoulas, P. Karaiskou, and C. Papaioannou. 2006. "Effect of two UV-absorbing greenhouse-covering films on growth and yield of an eggplant soilless crop." Sci. Hortic. 110 (1): 30–37. https://doi.org/10.1016/j.scienta.2006.06.018.
- Li, S., N. C. Rajapakse, R. E. Young, and R. Oi. 2000. "Growth responses of chrysanthemum and bell pepper transplants to photoselective plastic films." *Sci. Hortic.* 84 (3–4): 215–225. https://doi.org/10.1016/S0304 -4238(99)00136-3.
- Liang, R., Y. Sun, M. Aburas, R. Wilson, and Y. Wu. 2018. "Evaluation of the thermal and optical performance of thermochromic windows for office buildings in China." *Energy Build*. 176 (Oct): 216–231. https://doi .org/10.1016/j.enbuild.2018.07.009.
- Liu, C.-H., C. Ay, J.-C. Kan, and M.-T. Lee. 2018. "The effect of radiative cooling on reducing the temperature of greenhouses." *Materials* 11 (7): 1166. https://doi.org/10.3390/ma11071166.
- Oyaert, E., E. Volckaert, and P. Debergh. 1999. "Growth of chrysanthemum under coloured plastic films with different light qualities and

- quantities." *Sci. Hortic.* 79 (3–4): 195–205. https://doi.org/10.1016/S0304-4238(98)00207-6.
- Paço, A. D., and L. Varejão. 2010. "Factors affecting energy saving behaviour: A prospective research." J. Environ. Plann. Manage. 53 (8): 963–976. https://doi.org/10.1080/09640568.2010.495489.
- Rosencrantz, T., H. Bülow-Hübe, B. Karlsson, and A. Roos. 2005. "Increased solar energy and daylight utilisation using anti-reflective coatings in energy-efficient windows." Sol. Energy Mater. Sol. Cells 89 (2–3): 249–260. https://doi.org/10.1016/j.solmat.2004.12.007.
- Runkle, E., and A. Both. 2011. *Greenhouse energy conservation strategies*. Extension Bulletin 3160. East Lansing, MI: Michigan State Univ.
- Saeli, M., C. Piccirillo, I. Parkin, R. Binions, and I. Ridley. 2010a. "Energy modelling studies of thermochromic glazing." *Energy Build*. 42 (10): 1666–1673. https://doi.org/10.1016/j.enbuild.2010.04.010.
- Saeli, M., C. Piccirillo, I. Parkin, I. Ridley, and R. Binions. 2010b. "Nano-composite thermochromic thin films and their application in energy-efficient glazing." Sol. Energy Mater. Sol. Cells 94 (2): 141–151. https://doi.org/10.1016/j.solmat.2009.08.010.
- Sonneveld, P. J., G. L. A. M. Swinkels, J. Campen, B. A. J. Tuijl, H. J. J. Janssen, and G. P. A. Bot. 2010. "Performance results of a solar

- greenhouse combining electrical and thermal energy production." *Biosyst. Eng.* 106 (1): 48–57. https://doi.org/10.1016/j.biosystemseng.2010.02.003.
- Tsormpatsidis, E., R. G. C. Henbest, F. J. Davis, N. H. Battey, P. Hadley, and A. Wagstaffe. 2008. "UV irradiance as a major influence on growth, development and secondary products of commercial importance in Lollo Rosso lettuce 'Revolution' grown under polyethylene films." Environ. Exp. Bot. 63 (1–3): 232–239. https://doi.org/10.1016/j.envexpbot.2007.12.002.
- Warwick, M., I. Ridley, and R. Binions. 2014. "The effect of transition gradient in thermochromic glazing systems." *Energy Build*. 77 (Jul): 80–90. https://doi.org/10.1016/j.enbuild.2014.03.044.
- Ye, H., L. Long, H. Zhang, B. Xu, Y. Gao, L. Kang, and Z. Chen. 2013. "The demonstration and simulation of the application performance of the vanadium dioxide single glazing." Sol. Energy Mater. Sol. Cells 117 (Oct): 168–173. https://doi.org/10.1016/j.solmat.2013 .05.061.
- Yildirim, N., and L. Bilir. 2017. "Evaluation of a hybrid system for a nearly zero energy greenhouse." *Energy Convers. Manage*. 148 (Sep): 1278–1290. https://doi.org/10.1016/j.enconman.2017.06.068.