

# Typing the Y Combinator

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# Why have recursive types?

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# The Y combinator

$$\lambda f . (\lambda x . f (x x)) (\lambda x . f (x x))$$

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What if we want static types?

## Omega: a smaller example

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1.  $x$  must be a function
2.  $x :: a \rightarrow b$
3.  $a$  must be the type of  $x$ , so  $a = a \rightarrow b$
4.  $a \rightarrow b = (a \rightarrow b) \rightarrow b = ((a \rightarrow b) \rightarrow b) \rightarrow b = \dots$

# Simple types are insufficient

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Solution: recursive types

## Examples of recursive types

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## Recursive types are ubiquitous

```
data Nat = Zero | Succ Nat
data IntList = Nil | Cons Int IntList
data StringTree = Leaf String | Node StringTree StringTree
```

# Recursive types in type theory

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- Recursive types have the form  $\mu a.F\ a$
- $\mu$  is the type-level **fixpoint operator**
- Adding  $\mu$  to our type system allows us to type any term from the untyped lambda calculus

## Example: Nat

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```
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## Example: Variadic Functions

A *variadic function* accepts any number of arguments. For example:

```
sumAllInts 1
```

```
--> 1
```

```
sumAllInts 1 2 3
```

```
--> 6
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```
1. sumAllInts :: Int -> ???
```

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```

1. `sumAllInts :: Int -> ???`
2. `sumAllInts :: Int -> (Int + ???)`
3. `sumAllInts ::  $\mu$  a . Int -> (Int + a)`

# Fixpoints

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Fixed point  $x$

$$x = f\ x = f\ (f\ x) = \dots$$

Fixpoint combinator **fix**

$$\text{fix}\ f = x$$

# Fixpoint combinators

- The Y Combinator is **fix** on the **term** level
- $\mu$  is **fix** on the **type** level

- Given a recursive type

$\mu a. F a$

## Defining $\mu$

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- Given a recursive type

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- Since  $\mu$  is a fixpoint combinator, let  $\mu F = x$
- The fixed point is  $x$  such that  $x = F x$
- Substituting for  $x$ ,

$$\mu F = F (\mu F)$$

# Defining $\mu$

$\mu$  is defined as

$$\mu F = F (\mu F)$$

To give a concrete example,

$$\text{Nat} = \mu a . 1 + a = 1 + (\mu a . 1 + a) = \dots$$

## Typing the Y combinator

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4.  $x :: \mu a . a \rightarrow b$

$$\lambda f . (\lambda x . f (x x)) (\lambda x . f (x x))$$



# Typing the Y combinator

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3.  $c = c \rightarrow a$ , so  $c = \mu c . c \rightarrow a$

# Typing the Y combinator

$$\lambda f . (\lambda x . f (x x)) (\lambda x . f (x x))$$

1.  $f :: a \rightarrow b$
2.  $x :: c \rightarrow a$
3.  $c = c \rightarrow a$ , so  $c = \mu c . c \rightarrow a$
4. The Y combinator has type  $(a \rightarrow b) \rightarrow b$ , for fixed  $a$  and  $b$

## Equi vs. iso-recursive types

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# Equi-recursive types

- In our examples so far, we've implicitly used

$$\mu F = F (\mu F)$$

- **equi-recursive** approach, where a recursive type is interchangeable with its expansion
- Easy to add to a type system
- Difficult to implement in a typechecker

- In the **iso-recursive** approach,

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- A recursive type and its expansion are *isomorphic*
- The functions `roll` and `unroll` witness the isomorphism

Let  $S = \mu a . T$ , where  $T = F a$

$$\text{unroll}[S] :: S \rightarrow [a \mapsto S] T$$

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$$\begin{aligned}\text{unroll}[S] &:: S \rightarrow [a \mapsto S] T \\ \text{roll}[S] &:: [a \mapsto S] T \rightarrow S\end{aligned}$$

Roll and unroll are inverses

$$\text{unroll}[S] (\text{roll}[T] (e)) \rightarrow e$$

- Haskell and OCaml use iso-recursive types



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- Haskell and OCaml use iso-recursive types
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# Recursive types in Haskell

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Haskell has recursion, so no need to use the Y combinator

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fix f = f (fix f)
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If this feature didn't exist, would Haskell still be Turing-complete?

# Naive implementation of the Y combinator

```
Prelude> y = \f -> (\x -> f (x x)) (\x -> f (x x))
```

```
<interactive>:7:23: error:
```

- Occurs check: cannot construct the infinite type:

$t0 \sim t0 \rightarrow t$

Expected type:  $t0 \rightarrow t$

Actual type:  $(t0 \rightarrow t) \rightarrow t$

- In the first argument of 'x', namely 'x'

In the first argument of 'f', namely '(x x)'

In the expression:  $f (x x)$

- Relevant bindings include

$x :: (t0 \rightarrow t) \rightarrow t$  (bound at <interactive>:7:13)

$f :: t \rightarrow t$  (bound at <interactive>:7:6)

$y :: (t \rightarrow t) \rightarrow t$  (bound at <interactive>:7:1)

# Implementing the Y combinator

Define  $\mu a.F a$

```
newtype Mu f = Mu (f (Mu f))
unroll (Mu f) = f
roll = Mu
```



## Remember the types

$\lambda f . (\lambda x . f (x x)) (\lambda x . f (x x))$

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2.  $x :: \mu c . c \rightarrow a$
3. We need to be able to write the type of  $x$  in terms of  $\mu a.F a$
4.  $x :: \mu c . F(c)$ , where  $F(c) = c \rightarrow a$  for some fixed  $a$

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```

```
y f = (\x -> f (unroll' x x))
      $ roll' (\x -> f (unroll' x x))
```

`Mu` is the same as `Fix` from the *recursion-schemes* library!

```
newtype Fix f = Fix (f (Fix f))
```

```
unfix (Fix f) = f
```

```
fix = Fix
```

## Some additional theory

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  - **Head** and **Tail** allow us to get an element and a new stream, given a stream Use coinduction for proofs

- Recall that  $\text{IntList} = \mu a . 1 + \text{Int} * a$

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<sup>1</sup>See the Knaster-Tarski Theorem for a rigorous definition

# Least fixed points

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- Recall that  $\text{IntList} = \mu a . 1 + \text{Int} * a$
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- The least fixed point is the least set  $X$  for which  $X = F X$ <sup>1</sup>

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- The least fixed point is the least set  $X$  for which  $X = F X$ <sup>1</sup>
- All elements of  $X$  can be generated by  $F$

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# Greatest fixed points

•  $\text{IntStream} = \mu a . \text{Int} * a$

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# Greatest fixed points

- `IntStream =  $\mu$  a . Int * a`
- `F a = Int * a`
- The type of integer streams is the **greatest fixed point** of `F`
- The greatest fixed point is the greatest set  $X$  for which  $X = F X^2$

---

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- The typechecking algorithm for equi-recursive types works by determining whether a type is a member of a recursive type's least/greatest fixed point

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- For category theorists, data is an initial  $F$ -algebra, codata is a terminal  $F$ -coalgebra

## Conclusion

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# Conclusion

- The type operator  $\mu$  allows us to type recursive data and terms
- $\mu$  is defined as a type-level fixpoint combinator:  $\mu a.F a$
- The equi-recursive approach treats a recursive type as *equal* to its expansion
- The iso-recursive approach treats a recursive type as *isomorphic* to its expansion

## Further reading

1. *Types and Programming Languages* by Benjamin Pierce
2. “Recursive types for free!” by Philip Wadler
3. Recursion schemes
4. Fixpoints and iso-recursive types
5. Data and codata

## Questions?

