# Numerical Simulation and Scientific Computing I

# Lecture 9: Algorithm Analysis and Data Structures



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 Q1: Consider a 32-bit LCG. How many random numbers can you generate before the sequence repeats?

32-bit 
$$\rightarrow$$
 m=  $2^{32}$ :  
Periodicity =  $2^{32} \rightarrow \sim 10^9$  random numbers

Q2: What are the consequences of entropy pool depletion?
 How would you handle it?

The entropy pool is unable to provide more random bits. I could wait until it is replenished.

 Q3: What are the requirements to initialize correctly one independent RNG per thread?

Each thread must initialize its own RNG with a different seed

- Q4: Which STL containers are usually implemented using hashes? What is the advantage of doing so? Later.
- Q5: How are elements accessed on a std::list? (What is the consequence of this for an OpenMP parallel for?)
   Later.

#### **Outline**

- Algorithm Analysis
  - "Big O" Notation
- Data Structures
  - Arrays
  - Lists
  - Trees
  - Hashes
  - C++ STL

#### **Main References**

- Basic Concepts in Data Structures
  - Author: Shmuel T. Klein
  - https://catalogplus.tuwien.at/permalink/f/8agg25/TN\_cdi\_askewsholts\_ vlebooks\_9781316883716
  - eBook available: <a href="https://www.cambridge.org/core/books/basic-concepts-in-data-structures/658E935CC9790488B4B4BF797EFC2101">https://www.cambridge.org/core/books/basic-concepts-in-data-structures/658E935CC9790488B4B4BF797EFC2101</a>
- C++ STL Containers library
  - https://en.cppreference.com/w/cpp/container

#### **Additional References**

- C++ plus Data Structures
  - Authors: N. Dale, C. Weems, T. Richards
  - eBook available: <a href="https://catalogplus.tuwien.at/permalink/f/8agg25/TN\_cdi\_proquest\_ebookcentral\_EBC4714314">https://catalogplus.tuwien.at/permalink/f/8agg25/TN\_cdi\_proquest\_ebookcentral\_EBC4714314</a>
- Data Structures & Algorithms in C++
  - Authors: M. T. Goodrich, R. Tamassia, D. Mount
  - eBook available: <a href="https://catalogplus.tuwien.at/permalink/f/8agg25/TN">https://catalogplus.tuwien.at/permalink/f/8agg25/TN</a> cdi safari books 9780470383278

## **Take-home Message**

- "Big O" analysis is a useful tool for comparing algorithms
  - Scale: O(1)  $O(\log n)$  O(n)  $O(n \log n)$   $O(n^2)$   $O(2^n)$  O(n!)
- Unless your problems require ordering, hashes are usually more efficient
  - Calculating is usually better than comparing
- Always consider how your containers are distributed in memory

## **Algorithms & Data Structures**

Definitions [Goodrich et al.]:

 Algorithms are step-by step procedure for performing some task in a finite amount of time

 Data Structures are a systematic way of organizing and accessing data

# Algorithm Analysis – "Big O"

- Experimental studies are not always straightforward
- Asymptotic analysis of the (pseudo-)code: How much does it grow with problem size?
- Counting how many primitive operations as a function of the input size n
- Definition: f(n) is O(g(n)) if for c>0  $f(n) \leq cg(n), \text{ for } n>n_0$

# **Primitive Operations [Goodrich et al.]:**

- Assigning a value to a variable
- Calling a function
- Performing an arithmetic operation
- Comparing two numbers
- Indexing into an array
- Following an object reference
- Returning from a function

## "Big O" – Example 1

- Total number of primitive operations:  $8n-2 \rightarrow O(n)$
- "Scale": O(1)  $O(\log n)$  O(n)  $O(n \log n)$   $O(n^2)$   $O(2^n)$
- Things to watch out:
  - O is the same notation in FD...
  - Depending on the constant, sometimes the "scale" doesn't hold up
  - Also: not all "primitive operations" are created equal

## "Big O" – Example 2 – Poll 9

```
prefixAverages(X) {
                   //Output, A[i] is the average of
                   // X[0],...,X[i]
                   for i in [1,n)
                          a = 0
                           for j in [0,i)
                                 a += X[j]
                          A[i] = a/(i + 1)
                   return A
• A) O(\log n)
```

- B) O(n)
- $\circ$  C)  $O(n \log n)$
- D)  $O(n^2)$
- E) O(n!)

## "Big O" – Example 2 – Poll 9

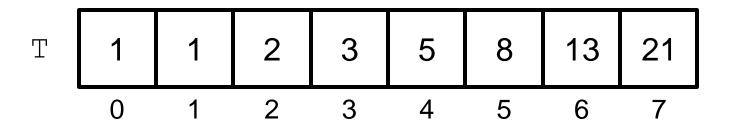
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#### **Data Structures**

- Arrays
- Lists
- Trees
- Hashes
- C++ STL

## **Arrays**

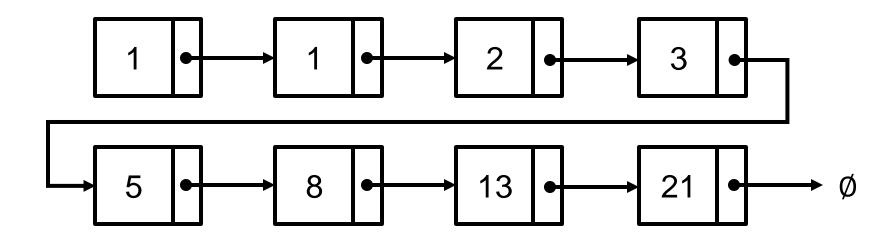


- A collection of elements of the same type
- Usually:
  - Accessible by square brackets and an integer index: T[6] == 13
  - Contiguous in memory
- Simple random access
  - However: inserting can be challenging

### Quiz - Q4

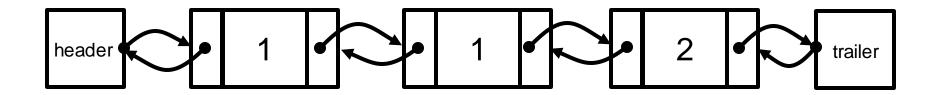
• How are elements accessed on a std::list?

#### Lists



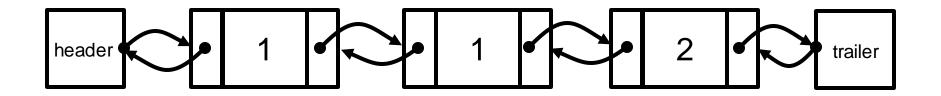
- A (singly-linked) list is a collection of nodes, each storing its element and a pointer to the next node
- Advantage: very simple to insert
- Disadvantage: scattered in memory

## **Doubly-Linked Lists**



• What is the advantage vs. singly linked list?

## **Doubly-Linked Lists**



- What is the advantage vs. singly linked list?
  - Iterate forward and backward

#### Quiz - Q4

 How are elements accessed on a std::list? What is the consequence of this for an OpenMP parallel for?

#### **Quiz – Q4 – Poll 10**

 How are elements accessed on a std::list? Is it possible to use OpenMP parallel for to iterate it?

- A) Yes
- B) No

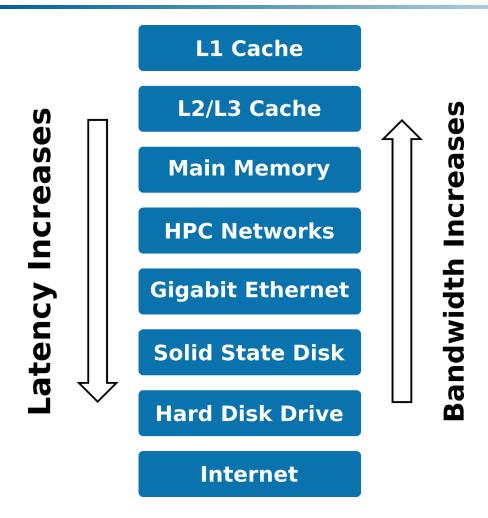
#### **Quiz – Q4 – Poll 10**

- How are elements accessed on a std::list? Is it possible to use OpenMP parallel for to iterate it?
  - A) Yes
  - B) No

## **Sequence Containers in the STL**

- std::array: static contiguous array
  - Random access: O(1), Insertion: N/A
- std::vector: dynamic contiguous array
  - Random access: O(1), Insertion: O(n), but usually O(1) at the end
- std::forward list: singly-linked list
  - Random access: N/A, Insertion: O(1)
- std::list: doubly-linked list
  - Random access: N/A, Insertion: O(1)
- std::deque: double-ended queue
  - Random access: O(1), Insertion: O(1) at ends and O(n) elsewhere

## **Sidenote: Memory Hierarchy**

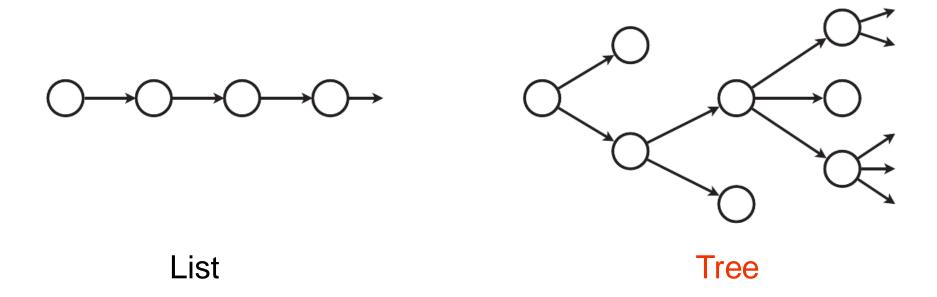


- We always want to maximize temporal and spatial locality
- "Efficiency with Algorithms, Performance with Data Structures"
  - https://youtu.be/fHNmRkzxHWs

#### **STL Iterators**

- Iterators abstract the process of scanning a container
- The container offers: begin () and end ()
- An iterator it is "like a pointer": \*it and ++it
- Some types of iterators in C++
  - Input: \*it and ++it (single pass)
  - Forward: ++it (multiple passes)
  - Bidirectional: --it
  - Random Access: supports it+i and it-i

#### **Trees**



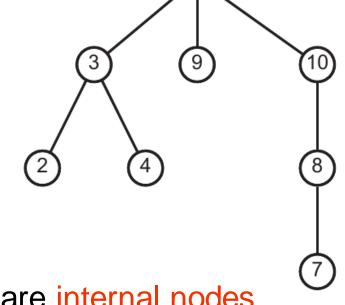
Source: Klein: Basic Concepts in Data Structures, 1st ed., 2016, CUP

#### **Nomenclature**

5 is the root

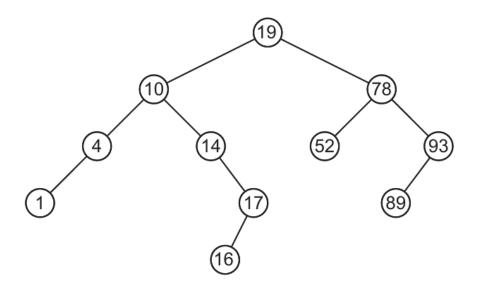
- 3 is the parent of 4
  - 4 is a child of 3

2 and 4 are siblings



• 2, 4 and 7 are leaves, the remaining are internal nodes

# **Binary Search Trees (BST)**



- Binary: each node has at most a left child and a right child
- Search: for a given node, values on the left subtree are smaller and, on the right, larger

## **Key-Value Pairs**

Given a complicated value, assign a key (sometimes unique)

- For example:
  - Information about MSc students (name, email, grades...): value
  - Unique ID (Matrikelnr.): key
- Keys must be sortable
  - This means we can put any type of data on a BST!

#### **Associative Containers in the STL**

- All of them are usually implemented as BSTs (commonly redblack trees)
  - They all are  $O(\log n)$  for search, insertion and removal
- std::map: key-value pairs, sorted by unique keys
  - Has the convenient [] operator!
  - How is an std::map in memory?
- std::set: sorted unique keys
- std::multimap: key-value pairs, sorted by keys
- std::multiset: sorted keys

#### Quiz - Q5

 Which STL containers are usually implemented using hashes? What is the advantage of doing so?

#### **Problem**

- We don't always need sorted keys
  - Additional computational cost!

#### **Hashes**

- Insight: calculate instead of compare
- Hash function h(X) maps from all possible keys to [0, M)
  - Where *M* is the number of entries
- The resulting table is called a hash table
- Collisions (h(X) = h(Y)) are unavoidable -> rehashing
- What do we gain and lose wrt. sorted containers?

#### **Hashes**

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- Collisions (h(X) = h(Y)) are unavoidable -> rehashing
- What do we gain and lose wrt. sorted containers?
  - Lose: order, worst-case performance
  - Win: (some) memory locality, average performance

#### **Unordered Associative Containers in the STL**

- On average, they are all O(1) for search, insertion and removal.
  - But can be O(n) on the worst case. Why?
- std::unordered\_map: key-value pairs, hashed by unique keys
- std::unorderd set: hashed, unique keys
- std::unordered\_multimap: key-value pairs, hashed by keys
- std::unordered\_multiset: hashed keys

# Recap – Contiguous in memory – Poll 11

Which set have ALL elements contiguous in memory?

```
• A) std::array, std::map, std::unordered_map
```

- B) std::list, std::map, std::set
- C) std::vector, std:unordered map, std:array
- D) std::array, std::unordered set, std::list

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- B) std::list, std::map, std::set
- C) std::vector, std:unordered\_map, std:array
- D) std::array, std::unordered set, std::list

## **Take-home Message – Version 2**

- "Big O" analysis is a useful tool for comparing algorithms
  - Scale: O(1)  $O(\log n)$  O(n)  $O(n \log n)$   $O(n^2)$   $O(2^n)$  O(n!)
  - But remember that the constants still matter...
- Unless your problems require ordering, hashes are usually more efficient
  - Calculating is usually better than comparing
- Always consider how your containers are distributed in memory
  - Performance comes from exploiting temporal and spatial locality
- std::vector is your friend

- Q1: What is the "Big O" for the symmetric CCS-vector product from Exercise 3?
- Q2: What is the difference between inserting an element in the middle of an array and in the middle of a linked list?
- Q3: What are disadvantages of hash tables compared to BSTs?
- Q4: What is the difference between a process and a thread?
   Which resources can be shared among them?
- Q5: What does the directive #pragma omp atomic do?

## **Next stop**

- Shared memory parallel optimization
- OpenMP

