

# Phase Shift Keying (PSK)

→ We will start by studying 4 types:

1) General BPSK and then a special case where  $P=1$  called PRK.

2) MPSK and then a special case where  $M=4$  called QPSK

## \* MPSK:

→ M symbols each will be assigned a phase  $\psi \in \{0, \frac{2\pi}{M}, \frac{4\pi}{M}, \frac{6\pi}{M}, \dots, (M-1)\frac{2\pi}{M}\}$ ,

→ Amplitude is constant for all symbols.

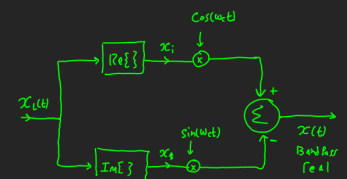
→  $2\pi$  is divided into M angles

$$x(t) = A \cos(\omega_c t + \theta_c + \frac{2\pi(m-1)}{M}) \quad , \quad m=1, 2, 3, \dots, M$$

↳ Above expression can be written as:  $\cos(A+B) = \cos(A)\cos(B) - \sin(A)\sin(B)$

$$x(t) = \underbrace{A \cos(\frac{2\pi(m-1)}{M})}_{\text{In-Phase Comp.}} \cos(\omega_c t + \theta_c) - \underbrace{A \sin(\frac{2\pi(m-1)}{M})}_{\text{Quadrature Comp.}} \sin(\omega_c t + \theta_c) \quad \textcircled{I}$$

• Above expression means that we can use the inphase & quadrature components implementation at Tx & Rx instead of directly changing the oscillator phase for each symbol "Simplifies design complexity"



$$\textcircled{I} \text{ Can also be written as: } x(t) = A \cos(\frac{2\pi(m-1)}{M}) + j \sin(\frac{2\pi(m-1)}{M})$$

## \* Constellation diagram:

→ orthogonal basis functions:

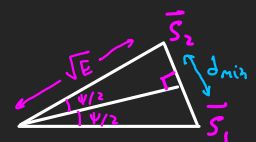
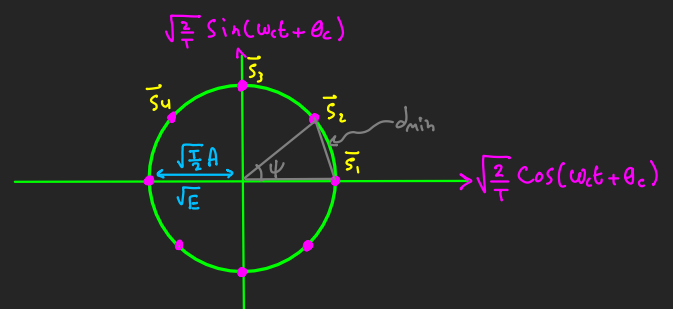
$$\phi_1 = \sqrt{\frac{2}{T}} \cos(\omega_c t + \theta_c) \quad 0 \leq t \leq T$$

$$\phi_2 = \sqrt{\frac{2}{T}} \sin(\omega_c t + \theta_c) \quad 0 \leq t \leq T$$

→ each vector  $(\bar{s}_1, \bar{s}_2, \dots, \bar{s}_M)$  has a length of  $\sqrt{\frac{T}{2}} A$  and energy  $A^2 \frac{T}{2}$

$$E_m = A^2 \frac{T}{2} \quad , \quad \rho_{ij} = \cos(\frac{2\pi(m_i - m_j)}{M})$$

$$d_{min} = 2\sqrt{E} \sin(\frac{\pi}{M}) \approx \frac{2\pi\sqrt{E}}{M} \quad \text{when } M \gg$$



## \* Probability of error:

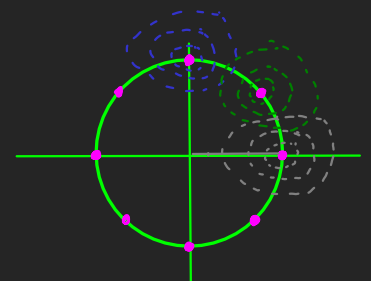
→ Assume that error can occur between only adjacent symbols:

$$\therefore P_e \approx 2 P_e \{ \text{Falls in one of its adjacent} \}$$

$$\therefore \approx 2 Q\left(\frac{d_{min}}{\sqrt{2N_0}}\right)$$

$$\approx 2 Q\left(\frac{2\sqrt{E}}{\sqrt{2N_0}} \sin\left(\frac{\pi}{M}\right)\right)$$

$$\therefore P_e \approx 2 Q\left(\sqrt{\frac{E_b}{N_0}} 2 \sin\left(\frac{\pi}{M}\right)\right) \approx 2 Q\left(\sqrt{\frac{E_b \cdot K}{N_0}} 2 \sin\left(\frac{\pi}{M}\right)\right) \approx 2 Q\left(\sqrt{\frac{E_b \cdot K}{N_0}} 2 \left(\frac{\pi}{M}\right)^2\right) \neq$$



## General BPSK

$b = 1 : S_1(t) = A \cos(\omega_c t + \theta_c)$

$b = -1 : S_2(t) = A \cos(\omega_c t + \theta_c + \Psi)$

$\rho_{12} = \frac{1}{\sqrt{E_1 E_2}} \int_0^{T_b} S_1(t) S_2(t) dt = \cos(\Psi)$

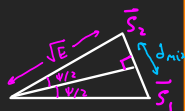
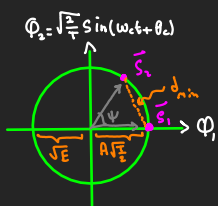
$E_m, \rho_{12}, d_{min} :$

$E_1 = E_2 = A^2 \frac{T}{2}$

$E_{avg} = \frac{1}{2} (E_1 + E_2) = A^2 \frac{T}{2}$

$\rho_{12} = \cos(\Psi)$

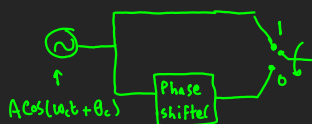
$d_{min} = 2\sqrt{E} \sin(\frac{\Psi}{2})$



$P_e :$

$P_e = Q\left(\frac{d_{min}}{\sqrt{2N_0}}\right) = Q\left(\frac{2\sqrt{E_b}}{\sqrt{2N_0}} \sin\left(\frac{\Psi}{2}\right)\right)$   
 $= Q\left(\sqrt{\frac{2E_b}{N_0}} \sin\left(\frac{\Psi}{2}\right)\right) \quad \neq$

$T_x :$



## PRK

Same as BPSK but  $\Psi = \pi \rightarrow \rho_{12} = -1$  "anti-podal"

$b = 1 : S_1(t) = A \cos(\omega_c t + \theta_c)$

$b = -1 : S_2(t) = A \cos(\omega_c t + \theta_c + \pi)$   
 $= -A \cos(\omega_c t + \theta_c)$

$x(t) = Ab \cos(\omega_c t + \theta_c)$

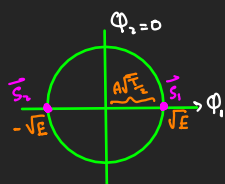
$E_m, \rho_{12}, d_{min} :$

$E_1 = E_2 = A^2 \frac{T}{2}$

$E_{avg} = A^2 \frac{T}{2}$

$\rho_{12} = \cos(180) = -1$

$d_{min} = 2\sqrt{E} = 2A\sqrt{\frac{T}{2}}$



$\vec{S}_1 = \sqrt{E}$

$\vec{S}_2 = -\sqrt{E}$

$P_e :$

$P_e = Q\left(\frac{d_{min}}{\sqrt{2N_0}}\right) = Q\left(\frac{2\sqrt{E_b}}{\sqrt{2N_0}}\right)$   
 $= Q\left(\sqrt{\frac{2E_b}{N_0}}\right) \quad \neq \quad P_{OOK} = Q\left(\sqrt{\frac{E_b}{N_0}}\right)$

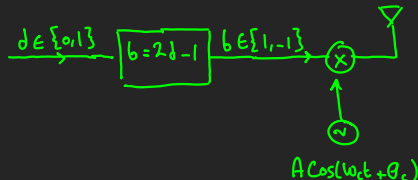
$\gamma_p = \min \frac{E_b}{N_0} = \frac{1}{2} [\varphi^{-1}(10^{-5})]^2$

$\gamma_p = 9.58$

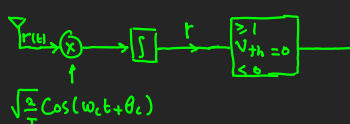
$\gamma_{OOK} = 12.59$

better "same data, less power"

$T_x :$



$R_x :$



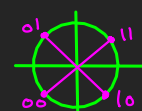
## QPSK

Special case of MPSK "M=4,  $\Psi_{ij} = \frac{\pi}{4}$ , Gray Coded"

$x(t) = \frac{A}{\sqrt{2}} b_E \cos(\omega_c t + \theta_c) - \frac{A}{\sqrt{2}} b_O \sin(\omega_c t + \theta_c)$

We could've used equation (1) but this is more efficient.

$x(t) = \frac{A}{\sqrt{2}} b_E + j \frac{A}{\sqrt{2}} b_O \in \frac{A}{\sqrt{2}} \{1+j, 1-j, -1+j, -1-j\}$   
 Gray  $\{11, 10, 01, 00\}$



$\Psi = \tan^{-1}(\frac{b_O}{b_E}) \in \{\frac{\pi}{4}, \frac{3\pi}{4}, \frac{5\pi}{4}, \frac{7\pi}{4}\}$

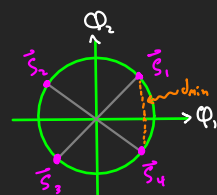
$E_m, \rho_{12}, d_{min} :$

$\vec{S}_m = \langle \pm \frac{A}{\sqrt{2}} \sqrt{\frac{T}{2}}, \pm \frac{A}{\sqrt{2}} \sqrt{\frac{T}{2}} \rangle$

$E_m = \frac{A^2}{2} \frac{T}{2} + \frac{A^2}{2} \frac{T}{2} = A^2 \frac{T}{2} = E_{avg}$

$\rho_{ij} = \begin{cases} 0 \\ 1 \end{cases}$

$d_{min} = \vec{S}_1 - \vec{S}_4 = \langle 0, 2 \frac{A}{\sqrt{2}} \sqrt{\frac{T}{2}} \rangle \rightarrow d_{min} = A\sqrt{T} = \sqrt{2E}$



$P_e :$

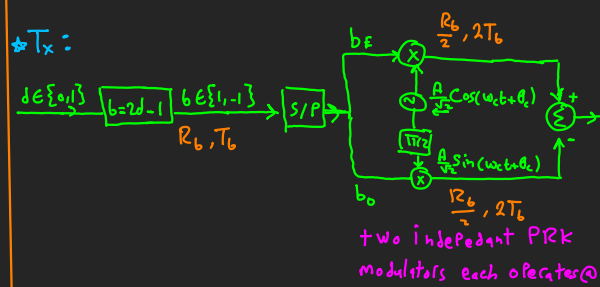
Assume that error can occur between only adjacent symbols:

$P_e \approx 2 P\{\text{falls in one of its adjacent}\}$

$\approx 2 P_{ePRK}$  "each two adjacent symbols are PRK"

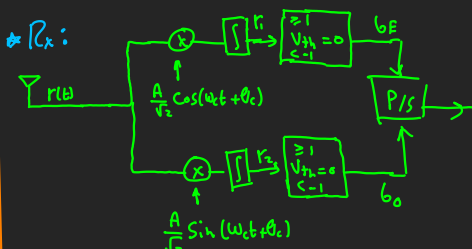
$\approx 2 Q\left(\sqrt{\frac{2E_b}{N_0}}\right)$

$T_x :$

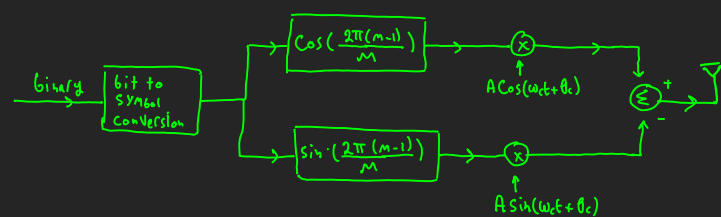


+ two independent PRK modulators each operating @  $\frac{R_b}{2}$

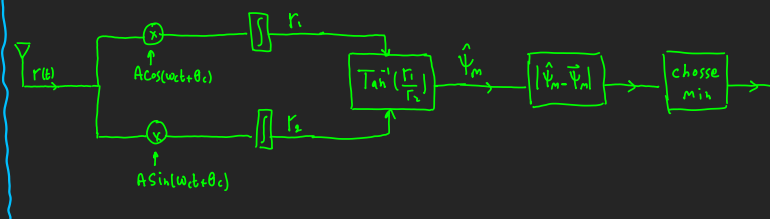
$R_x :$



\*  $T_x$  for MPSK:



\*  $R_x$  for MPSK:



## other Variants of PSK

- Non-Coherent detection Can't be used with ordinary PSK because we put info in the Phase.
- Also a Phase shift of  $180^\circ$  can happen in ordinary PSK which makes the sidelobe in the spectrum bigger causing interference.
- So a number of Variants was developed to overcome these Problems.

### DPSPK

- Differential encoding prior to PSK facilitates NC detection, message is in Phase difference between two successive symbols.

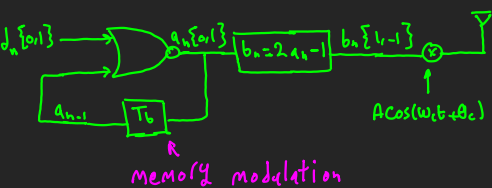
$$x(t) = A \cos(\omega_c t + \theta_c + \psi_n) \quad \psi_n = \psi_{n-1} + \Delta\psi \quad \text{when binary}$$

### DBPSK:

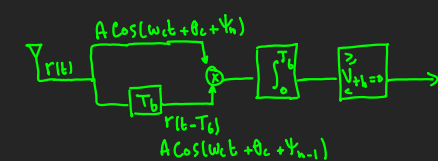
encode binary seq  $\{d_n\}$  using differential encoding

$$a_n = d_n \oplus a_{n-1}$$

### $T_x$ :



- $R_x$ : detection of Absence of noise, and assume  $\theta_c$  is slowly Varying between 2 successive symbols.



$$\text{ex: } b \rightarrow \cos(a, b)$$

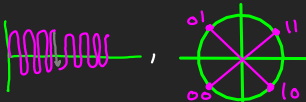
n	0	1	2	3	4	5	6	7
$d_n$		1	0	0	1	0	1	1
$a_n$	1	1	0	1	1	0	0	0
$b_n$	1	1	-1	1	1	-1	-1	-1
$\psi_n$	0	0	$\pi$	0	0	$\pi$	$\pi$	$\pi$
	NC	NC	toggle	toggle	NC	toggle	NC	NC

↑  
initial condition

### QPSK

- offset QPSK solves the Problem of  $180^\circ$  Phase difference when both even & odd bits toggle

$$00 \rightarrow 11, 01 \rightarrow 10$$



- Prevents odd & even bits from flipping together by shifting the odd stream one bit (half symbol)

### $T_x$ :



→  $R_x$ : Compare QPSK & OQPSK Phase  $I_n = 0011001$

$I_n$	0	0	1	1	0	1	0	1
$b_E$			1	1	1	1		
$b_O$							1	1
$b_{delay}$					1	1		
QPSK	$\frac{5\pi}{4}$	$\frac{5\pi}{4}$	$\frac{\pi}{4}$	$\frac{\pi}{4}$	$\frac{7\pi}{4}$	$\frac{7\pi}{4}$	$\frac{3\pi}{4}$	$\frac{3\pi}{4}$
OQPSK	$\frac{5\pi}{4}$	$\frac{7\pi}{4}$	$\frac{\pi}{4}$	$\frac{\pi}{4}$	$\frac{7\pi}{4}$	$\frac{5\pi}{4}$	$\frac{3\pi}{4}$	$\frac{3\pi}{4}$

$$2 \text{ bits } \{11, 10, 01, 00\}$$

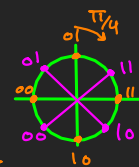
$$\psi = \tan^{-1}\left(\frac{b_O}{b_E}\right) \in \left\{ \frac{\pi}{4}, \frac{3\pi}{4}, \frac{5\pi}{4}, \frac{7\pi}{4} \right\}$$

- by shifting  $b_O$ , we ensure that one bit at most

$$\text{is changing} \rightarrow \Delta\psi_{max} = \pm \frac{\pi}{2}$$

### $\frac{\pi}{4}$ QPSK

- Limit Phase difference to  $\pm 135^\circ$
- better Performance in Fading channel
- non-Coherent receiver.
- take Symbol from  $\times$  and next Symbol from  $+$  and so on ....



$$\text{ex: } I_n = 11 \quad 00 \quad 01 \quad 10$$

$$\quad \quad \frac{\pi}{4} \quad \frac{3\pi}{4} \quad \frac{5\pi}{4} \quad \frac{7\pi}{4}$$