

Earth System Modeling Framework

ESMF Reference Manual for C

Version 8.9.0 beta snapshot

ESMF Joint Specification Team: V. Balaji, Byron Boville, Samson Cheung, Tom Clune, Nancy Collins, Tony Craig, Carlos Cruz, Arlindo da Silva, Cecelia DeLuca, Rosalinda de Fainchtein, Rocky Dunlap, Brian Eaton, Steve Goldhaber, Bob Hallberg, Tom Henderson, Chris Hill, Mark Iredell, Joseph Jacob, Rob Jacob, Phil Jones, Brian Kauffman, Erik Kluzek, Ben Koziol, Jay Larson, Peggy Li, Fei Liu, John Michalakes, Raffaele Montuoro, Sylvia Murphy, David Neckels, Ryan O Kuinghttons, Bob Oehmke, Chuck Panaccione, Daniel Rosen, Jim Rosinski, Mathew Rothstein, Bill Sacks, Kathy Saint, Will Sawyer, Earl Schwab, Shepard Smithline, Walter Spector, Don Stark, Max Suarez, Spencer Swift, Gerhard Theurich, Atanas Trayanov, Silverio Vasquez, Jon Wolfe, Weiyu Yang, Mike Young, Leonid Zaslavsky

January 16, 2025

Acknowledgements

The ESMF software is based on the contributions of a broad community. Below are the software packages that are included in ESMF or strongly influenced our design. We'd like to express our gratitude to the developers of these codes for access to their software as well as their ideas and advice.

- Parallel I/O (PIO) developers at NCAR and DOE Laboratories for their excellent work on this package and their help in making it work with ESMF
- The Spherical Coordinate Remapping and Interpolation Package (SCRIP) from Los Alamos, which informed the design of our regridding functionality
- The Model Coupling Toolkit (MCT) from Argonne National Laboratory, on which we based our sparse matrix multiply approach to general regridding
- The Inpack configuration attributes package from NASA Goddard, which was adapted for use in ESMF by members of NASA Global Modeling and Assimilation group
- The Flexible Modeling System (FMS) package from GFDL and the Goddard Earth Modeling System (GEMS) from NASA Goddard, both of which provided inspiration for the overall ESMF architecture
- The Common Component Architecture (CCA) effort within the Department of Energy, from which we drew many ideas about how to design components
- The Vector Signal Image Processing Library (VSIPL) and its predecessors, which informed many aspects of our design, and the radar system software design group at Lincoln Laboratory
- The Portable, Extensible Toolkit for Scientific Computation (PETSc) package from Argonne National Laboratories, on which we based our initial makefile system
- The Community Climate System Model (CCSM) and Weather Research and Forecasting (WRF) modeling groups at NCAR, who have provided valuable feedback on the design and implementation of the framework

Contents

I	ESMF Overview	7
1	What is the Earth System Modeling Framework?	8
2	The ESMF Reference Manual for C	8
3	How to Contact User Support and Find Additional Information	9
4	How to Submit Comments, Bug Reports, and Feature Requests	9
5	The ESMF Application Programming Interface	10
5.1	Standard Methods and Interface Rules	10
5.2	Deep and Shallow Classes	10
5.3	Aliases	11
5.4	Special Methods	11
5.5	The ESMF Data Hierarchy	12
5.6	ESMF Spatial Classes	12
5.7	ESMF Maps	13
5.8	ESMF Specification Classes	13
5.9	ESMF Utility Classes	13
6	Integrating ESMF into Applications	14
6.1	Using the ESMF Superstructure	14
6.2	Constants	15
7	Overall Rules and Behavior	15
7.1	Local and Global Views and Associated Conventions	15
7.2	Allocation Rules	15
7.3	Assignment, Equality, Copying and Comparing Objects	16
8	Overall Design and Implementation Notes	16
II	Command Line Tools	17
III	Superstructure	18
9	Overview of Superstructure	19
9.1	Superstructure Classes	19
9.2	Hierarchical Creation of Components	20
9.3	Sequential and Concurrent Execution of Components	21
9.4	Intra-Component Communication	22
9.5	Data Distribution and Scoping in Components	22
9.6	Performance	22
9.7	Object Model	26
10	Application Driver and Required ESMF Methods	26
10.1	Description	26
10.2	Required ESMF Methods	27

11 GridComp Class	27
11.1 Description	27
11.2 Class API	28
12 CplComp Class	28
12.1 Description	28
12.2 Class API	29
13 SciComp Class	29
13.1 Description	29
13.2 Class API	29
14 State Class	29
14.1 Description	29
14.2 Restrictions and Future Work	30
14.3 Class API	30
IV Infrastructure: Fields and Grids	31
15 Overview of Infrastructure Data Handling	32
15.1 Infrastructure Data Classes	32
15.2 Design and Implementation Notes	33
16 Field Class	34
16.1 Description	34
16.2 Constants	34
16.2.1 ESMC_REGRIDMETHOD	34
16.3 Use and Examples	35
16.3.1 Field create and destroy	35
16.4 Class API	35
17 Array Class	35
17.1 Description	35
17.2 Class API	36
18 ArraySpec Class	36
18.1 Description	36
18.2 Class API	36
19 Grid Class	36
19.1 Description	36
19.1.1 Grid Representation in ESMF	37
19.1.2 Supported Grids	37
19.1.3 Grid Topologies and Periodicity	38
19.1.4 Grid Distribution	38
19.1.5 Grid Coordinates	39
19.1.6 Coordinate Specification and Generation	40
19.1.7 Staggering	40
19.1.8 Masking	40
19.2 Constants	41
19.2.1 ESMC_COORDSYS	41

19.2.2	ESMC_GRIDITEM	41
19.2.3	ESMC_GRIDSTATUS	42
19.2.4	ESMC_POLEKIND	42
19.2.5	ESMC_STAGGERLOC	42
19.2.6	ESMC_FILEFORMAT	44
19.3	Restrictions and Future Work	44
19.4	Design and Implementation Notes	45
19.4.1	Grid Topology	45
19.5	Class API: General Grid Methods	45
20	Mesh Class	45
20.1	Description	45
20.1.1	Mesh Representation in ESMF	45
20.1.2	Supported Meshes	46
20.2	Constants	46
20.2.1	ESMC_MESHELEMENTTYPE	46
20.2.2	ESMF_FILEFORMAT	47
20.3	Class API	48
21	XGrid Class	48
21.1	Description	48
21.2	Restrictions and Future Work	48
21.2.1	Restrictions and Future Work	48
21.3	Design and Implementation Notes	48
21.4	Class API	49
22	DistGrid Class	49
22.1	Description	49
22.2	Class API	49
23	RouteHandle Class	49
23.1	Description	49
23.2	Use and Examples	49
23.3	Restrictions and Future Work	50
23.4	Design and Implementation Notes	50
23.5	Class API	50
V	Infrastructure: Utilities	51
24	Overview of Infrastructure Utility Classes	52
25	Time Manager Utility	53
25.1	Time Manager Classes	53
25.2	Calendar	53
25.3	Time Instants and TimeIntervals	54
25.4	Clocks	54
26	Calendar Class	55
26.1	Description	55
26.2	Constants	55
26.2.1	ESMC_CALKIND	55

26.3 Class API	56
27 Time Class	57
27.1 Description	57
27.2 Class API	57
28 TimeInterval Class	58
28.1 Description	58
28.2 Class API	58
29 Clock Class	59
29.1 Description	59
29.2 Class API	59
30 Config Class	59
30.1 Description	59
30.1.1 Package history	59
30.2 Class API	59
31 Log Class	59
31.1 Description	59
31.2 Constants	60
31.2.1 ESMC_LOGKIND	60
31.2.2 ESMC_LOGMSG	60
31.3 Class API	60
32 VM Class	60
32.1 Description	60
32.2 Class API	61
33 Profiling and Tracing	61
33.1 Description	61
33.1.1 Profiling	61
33.1.2 Tracing	61
33.2 Restrictions and Future Work	62
33.3 Class API	62
VI References	63
VII Appendices	64
34 Appendix A: Master List of Constants	64
34.1 ESMC_CALKIND	64
34.2 ESMC_COORDSYS	64
34.3 ESMC_DECOMP	64
34.4 ESMC_FILEFORMAT	65
34.5 ESMC_GRIDITEM	65
34.6 ESMC_GRIDSTATUS	65
34.7 ESMC_INDEX	65
34.8 ESMC_LINETYPE	66

34.9	ESMC_LOGKIND	66
34.10	ESMC_LOGMSG	66
34.11	ESMC_MESHELEMENTTYPE	66
34.12	ESMF_METHOD	66
34.13	ESMC_POLEKIND	67
34.14	ESMC_REDUCE	67
34.15	ESMC_REGION	67
34.16	ESMC_REGRIDMETHOD	67
34.17	ESMC_STAGGERLOC	67
34.18	ESMC_TYPEKIND	68
34.19	ESMC_UNMAPPEDACTION	68
35	Appendix B: A Brief Introduction to UML	68
36	Appendix C: ESMF Error Return Codes	69

Part I

ESMF Overview

1 What is the Earth System Modeling Framework?

The Earth System Modeling Framework (ESMF) is a suite of software tools for developing high-performance, multi-component Earth science modeling applications. Such applications may include a few or dozens of components representing atmospheric, oceanic, terrestrial, or other physical domains, and their constituent processes (dynamical, chemical, biological, etc.). Often these components are developed by different groups independently, and must be “coupled” together using software that transfers and transforms data among the components in order to form functional simulations.

ESMF supports the development of these complex applications in a number of ways. It introduces a set of simple, consistent component interfaces that apply to all types of components, including couplers themselves. These interfaces expose in an obvious way the inputs and outputs of each component. It offers a variety of data structures for transferring data between components, and libraries for regridding, time advancement, and other common modeling functions. Finally, it provides a growing set of tools for using metadata to describe components and their input and output fields. This capability is important because components that are self-describing can be integrated more easily into automated workflows, model and dataset distribution and analysis portals, and other emerging “semantically enabled” computational environments.

ESMF is not a single Earth system model into which all components must fit, and its distribution doesn’t contain any scientific code. Rather it provides a way of structuring components so that they can be used in many different user-written applications and contexts with minimal code modification, and so they can be coupled together in new configurations with relative ease. The idea is to create many components across a broad community, and so to encourage new collaborations and combinations.

ESMF offers the flexibility needed by this diverse user base. It is tested nightly on more than two dozen platform/compiler combinations; can be run on one processor or thousands; supports shared and distributed memory programming models and a hybrid model; can run components sequentially (on all the same processors) or concurrently (on mutually exclusive processors); and supports single executable or multiple executable modes.

ESMF’s generality and breadth of function can make it daunting for the novice user. To help users navigate the software, we try to apply consistent names and behavior throughout and to provide many examples. The large-scale structure of the software is straightforward. The utilities and data structures for building modeling components are called the ESMF *infrastructure*. The coupling interfaces and drivers are called the *superstructure*. User code sits between these two layers, making calls to the infrastructure libraries underneath and being scheduled and synchronized by the superstructure above. The configuration resembles a sandwich, as shown in Figure 1.

ESMF users may choose to extensively rewrite their codes to take advantage of the ESMF infrastructure, or they may decide to simply wrap their components in the ESMF superstructure in order to utilize framework coupling services. Either way, we encourage users to contact our support team if questions arise about how to best use the software, or how to structure their application. ESMF is more than software; it’s a group of people dedicated to realizing the vision of a collaborative model development community that spans institutional and national bounds.

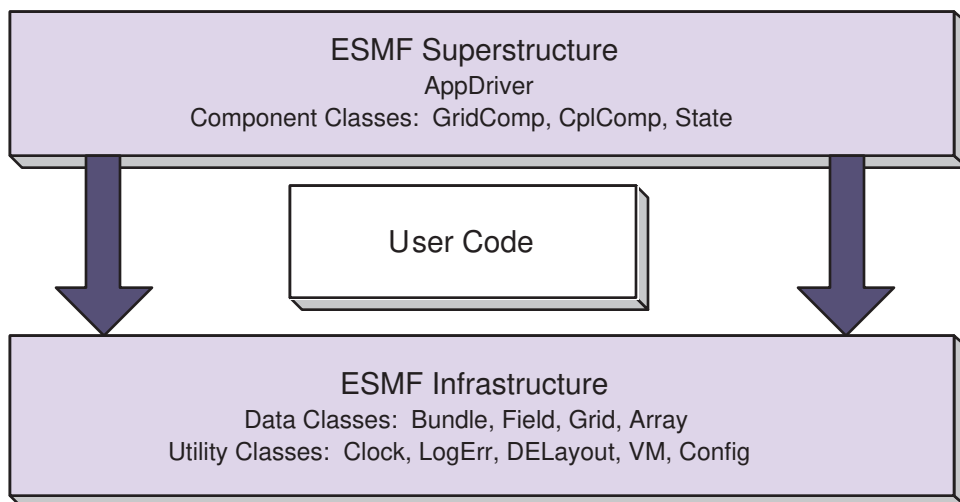
2 The ESMF Reference Manual for C

ESMF has a complete set of Fortran interfaces and some C interfaces. This *ESMF Reference Manual* is a listing of ESMF interfaces for C.

Interfaces are grouped by class. A class is comprised of the data and methods for a specific concept like a physical field. Superstructure classes are listed first in this *Manual*, followed by infrastructure classes.

The major classes in the ESMF superstructure are Components, which usually represent large pieces of functionality such as atmosphere and ocean models, and States, which are the data structures used to transfer data between

Figure 1: Schematic of the ESMF “sandwich” architecture. The framework consists of two parts, an upper level **superstructure** layer and a lower level **infrastructure** layer. User code is sandwiched between these two layers.



Components. There are both data structures and utilities in the ESMF infrastructure. Data structures include multi-dimensional Arrays, Fields that are comprised of an Array and a Grid, and collections of Arrays and Fields called ArrayBundles and FieldBundles, respectively. There are utility libraries for data decomposition and communications, time management, logging and error handling, and application configuration.

3 How to Contact User Support and Find Additional Information

The ESMF team can answer questions about the interfaces presented in this document. For user support, please contact esmf_support@ucar.edu.

The website, <http://www.earthsystemmodeling.org>, provide more information of the ESMF project as a whole. The website includes release notes and known bugs for each version of the framework, supported platforms, project history, values, and metrics, related projects, the ESMF management structure, and more. The *ESMF User’s Guide* contains build and installation instructions, an overview of the ESMF system and a description of how its classes interrelate (this version of the document corresponds to the last public version of the framework). Also available on the ESMF website is the *ESMF Developer’s Guide* that details ESMF procedures and conventions.

4 How to Submit Comments, Bug Reports, and Feature Requests

We welcome input on any aspect of the ESMF project. Send questions and comments to esmf_support@ucar.edu.

5 The ESMF Application Programming Interface

The ESMF Application Programming Interface (API) is based on the object-oriented programming concept of a **class**. A class is a software construct that is used for grouping a set of related variables together with the subroutines and functions that operate on them. We use classes in ESMF because they help to organize the code, and often make it easier to maintain and understand. A particular instance of a class is called an **object**. For example, `Field` is an ESMF class. An actual `Field` called `temperature` is an object. That is about as far as we will go into software engineering terminology.

The C interface is implemented so that the variables associated with a class are stored in a C structure. For example, an `ESMC_Field` structure stores the data array, grid information, and metadata associated with a physical field. The structure for each class is defined in a C header file. The operations associated with each class are also defined in the header files.

The header files for ESMF are bundled together and can be accessed with a single `include` statement, `#include "ESMC.h"`. By convention, the C entry points are named using “ESMC” as a prefix.

5.1 Standard Methods and Interface Rules

ESMF defines a set of standard methods and interface rules that hold across the entire API. These are:

- `ESMC_<Class>Create()` and `ESMC_<Class>Destroy()`, for creating and destroying objects of ESMF classes that require internal memory management (- called ESMF deep classes). The `ESMC_<Class>Create()` method allocates memory for the object itself and for internal variables, and initializes variables where appropriate. It is always written as a function that returns a derived type instance of the class, i.e. an object.
- `ESMC_<Class>Set()` and `ESMC_<Class>Get()`, for setting and retrieving a particular item or flag. In general, these methods are overloaded for all cases where the item can be manipulated as a name/value pair. If identifying the item requires more than a name, or if the class is of sufficient complexity that overloading in this way would result in an overwhelming number of options, we define specific `ESMC_<Class>Set<Something>()` and `ESMC_<Class>Get<Something>()` interfaces.
- `ESMC_<Class>Add()`, `ESMC_<Class>AddReplace()`, `ESMC_<Class>Remove()`, and `ESMC_<Class>Replace()`, for manipulating objects of ESMF container classes - such as `ESMC_State` and `ESMC_FieldBundle`. For example, the `ESMC_FieldBundleAdd()` method adds another `Field` to an existing `FieldBundle` object.
- `ESMC_<Class>Print()`, for printing the contents of an object to standard out. This method is mainly intended for debugging.
- `ESMC_<Class>ReadRestart()` and `ESMC_<Class>WriteRestart()`, for saving the contents of a class and restoring it exactly. Read and write restart methods have not yet been implemented for most ESMF classes, so where necessary the user needs to write restart values themselves.
- `ESMC_<Class>Validate()`, for determining whether a class is internally consistent. For example, `ESMC_FieldValidate()` validates the internal consistency of a `Field` object.

5.2 Deep and Shallow Classes

ESMF contains two types of classes.

Deep classes require `ESMC_<Class>Create()` and `ESMC_<Class>Destroy()` calls. They involve memory allocation, take significant time to set up (due to memory management) and should not be created in a time-critical portion of code. Deep objects persist even after the method in which they were created has returned. Most classes in ESMF, including `GridComp`, `CplComp`, `State`, `Fields`, `FieldBundles`, `Arrays`, `ArrayBundles`, `Grids`, and `Clocks`, fall into this category.

Shallow classes do not possess `ESMC_<Class>Create()` and `ESMC_<Class>Destroy()` calls. They are simply declared and their values set using an `ESMC_<Class>Set()` call. Examples of shallow classes are `Time`, `TimeInterval`, and `ArraySpec`. Shallow classes do not take long to set up and can be declared and set within a time-critical code segment. Shallow objects stop existing when execution goes out of the declaring scope.

An exception to this is when a shallow object, such as a `Time`, is stored in a deep object such as a `Clock`. The deep `Clock` object then becomes the declaring scope of the `Time` object, persisting in memory. The `Time` object is deallocated with the `ESMC_ClockDestroy()` call.

See Section 8, Overall Design and Implementation Notes, for a brief discussion of deep and shallow classes from an implementation perspective. For an in-depth look at the design and inter-language issues related to deep and shallow classes, see the *ESMF Implementation Report*.

5.3 Aliases

Deep objects, i.e. instances of ESMF deep classes created by the appropriate `ESMC_<Class>Create()`, can be used with the standard assignment (`=`) operator.

The assignment

```
deep2 = deep1
```

makes `deep2` an **alias** of `deep1`, meaning that both variables reference the same deep allocation in memory. Many aliases of the same deep object can be created.

All the aliases of a deep object are equivalent. In particular, there is no distinction between the variable on the left hand side of the actual `ESMC_<Class>Create()` call, and any aliases created from it. All actions taken on any of the aliases of a deep object affect the deep object, and thus all other aliases.

5.4 Special Methods

The following are special methods which, in one case, are required by any application using ESMF, and in the other case must be called by any application that is using ESMF Components.

- `ESMC_Initialize()` and `ESMC_Finalize()` are required methods that must bracket the use of ESMF within an application. They manage the resources required to run ESMF and shut it down gracefully. ESMF does not support restarts in the same executable, i.e. `ESMC_Initialize()` should not be called after `ESMC_Finalize()`.
- `ESMC_<Type>CompInitialize()`, `ESMC_<Type>CompRun()`, and `ESMC_<Type>CompFinalize()` are component methods that are used at the highest level within ESMF. `<Type>` may be `<Grid>`, for Gridded Components such as oceans or atmospheres, or `<Cpl>`, for Coupler Components that are used to connect them. The content of these methods is not part of the ESMF. Instead the methods call into associated subroutines within user code.

5.5 The ESMF Data Hierarchy

The ESMF API is organized around a hierarchy of classes that contain model data. The operations that are performed on model data, such as regridding, redistribution, and halo updates, are methods of these classes.

The main data classes offered by the ESMF C API, in order of increasing complexity, are:

- **Array** An ESMF Array is a distributed, multi-dimensional array that can carry information such as its type, kind, rank, and associated halo widths. It contains a reference to a native language array.
- **Field** A Field represents a physical scalar or vector field. It contains a reference to an Array along with grid information and metadata.
- **State** A State represents the collection of data that a Component either requires to run (an Import State) or can make available to other Components (an Export State). States may contain references to Arrays, ArrayBundles, Fields, FieldBundles, or other States.
- **Component** A Component is a piece of software with a distinct function. ESMF currently recognizes two types of Components. Components that represent a physical domain or process, such as an atmospheric model, are called Gridded Components since they are usually discretized on an underlying grid. The Components responsible for regridding and transferring data between Gridded Components are called Coupler Components. Each Component is associated with an Import and an Export State. Components can be nested so that simpler Components are contained within more complex ones.

Underlying these data classes are native language arrays. ESMF Arrays and Fields can be queried for the C pointer to the actual data. You can perform communication operations either on the ESMF data objects or directly on C arrays through the VM class, which serves as a unifying wrapper for distributed and shared memory communication libraries.

5.6 ESMF Spatial Classes

Like the hierarchy of model data classes, ranging from the simple to the complex, ESMF is organized around a hierarchy of classes that represent different spaces associated with a computation. Each of these spaces can be manipulated, in order to give the user control over how a computation is executed. For Earth system models, this hierarchy starts with the address space associated with the computer and extends to the physical region described by the application. The main spatial classes in ESMF, from those closest to the machine to those closest to the application, are:

- The **Virtual Machine**, or **VM** The ESMF VM is an abstraction of a parallel computing environment that encompasses both shared and distributed memory, single and multi-core systems. Its primary purpose is resource allocation and management. Each Component runs in its own VM, using the resources it defines. The elements of a VM are **Persistent Execution Threads**, or **PETs**, that are executing in **Virtual Address Spaces**, or **VASs**. A simple case is one in which every PET is associated with a single MPI process. In this case every PET is executing in its own private VAS. If Components are nested, the parent Component allocates a subset of its PETs to its children. The children have some flexibility, subject to the constraints of the computing environment, to decide how they want to use the resources associated with the PETs they've received.
- **DELayout** A DELayout represents a data decomposition (we also refer to this as a distribution). Its basic elements are **Decomposition Elements**, or **DEs**. A DELayout associates a set of DEs with the PETs in a VM. DEs are not necessarily one-to-one with PETs. For cache blocking, or user-managed multi-threading, more DEs than PETs may be defined. Fewer DEs than PETs may also be defined if an application requires it.

The current ESMF C API does not provide user access to the DELayout class.

- **DistGrid** A DistGrid represents the index space associated with a grid. It is a useful abstraction because often a full specification of grid coordinates is not necessary to define data communication patterns. The DistGrid contains information about the sequence and connectivity of data points, which is sufficient information for many operations. Arrays are defined on DistGrids.
- **Array** An Array defines how the index space described in the DistGrid is associated with the VAS of each PET. This association considers the type, kind and rank of the indexed data. Fields are defined on Arrays.
- **Grid** A Grid is an abstraction for a logically rectangular region in physical space. It associates a coordinate system, a set of coordinates, and a topology to a collection of grid cells. Grids in ESMF are comprised of DistGrids plus additional coordinate information.
- **Mesh** A Mesh provides an abstraction for an unstructured grid. Coordinate information is set in nodes, which represent vertices or corners. Together the nodes establish the boundaries of mesh elements or cells.
- **LocStream** A LocStream is an abstraction for a set of unstructured data points without any topological relationship to each other.
- **Field** A Field may contain more dimensions than the Grid that it is discretized on. For example, for convenience during integration, a user may want to define a single Field object that holds snapshots of data at multiple times. Fields also keep track of the stagger location of a Field data point within its associated Grid cell.

5.7 ESMF Maps

In order to define how the index spaces of the spatial classes relate to each other, we require either implicit rules (in which case the relationship between spaces is defined by default), or special Map arrays that allow the user to specify the desired association. The form of the specification is usually that the position of the array element carries information about the first object, and the value of the array element carries information about the second object. ESMF includes a `distGridToArrayMap`, a `gridToFieldMap`, a `distGridToGridMap`, and others.

5.8 ESMF Specification Classes

It can be useful to make small packets of descriptive parameters. ESMF has one of these:

- **ArraySpec**, for storing the specifics, such as type/kind/rank, of an array.

5.9 ESMF Utility Classes

There are a number of utilities in ESMF that can be used independently. These are:

- **Attributes**, for storing metadata about Fields, FieldBundles, States, and other classes. (Not currently available through the ESMF C API.)
- **TimeMgr**, for calendar, time, clock and alarm functions.
- **LogErr**, for logging and error handling.
- **Config**, for creating resource files that can replace namelists as a consistent way of setting configuration parameters.

6 Integrating ESMF into Applications

Depending on the requirements of the application, the user may want to begin integrating ESMF in either a top-down or bottom-up manner. In the top-down approach, tools at the superstructure level are used to help reorganize and structure the interactions among large-scale components in the application. It is appropriate when interoperability is a primary concern; for example, when several different versions or implementations of components are going to be swapped in, or a particular component is going to be used in multiple contexts. Another reason for deciding on a top-down approach is that the application contains legacy code that for some reason (e.g., intertwined functions, very large, highly performance-tuned, resource limitations) there is little motivation to fully restructure. The superstructure can usually be incorporated into such applications in a way that is non-intrusive.

In the bottom-up approach, the user selects desired utilities (data communications, calendar management, performance profiling, logging and error handling, etc.) from the ESMF infrastructure and either writes new code using them, introduces them into existing code, or replaces the functionality in existing code with them. This makes sense when maximizing code reuse and minimizing maintenance costs is a goal. There may be a specific need for functionality or the component writer may be starting from scratch. The calendar management utility is a popular place to start.

6.1 Using the ESMF Superstructure

The following is a typical set of steps involved in adopting the ESMF superstructure. The first two tasks, which occur before an ESMF call is ever made, have the potential to be the most difficult and time-consuming. They are the work of splitting an application into components and ensuring that each component has well-defined stages of execution. ESMF aside, this sort of code structure helps to promote application clarity and maintainability, and the effort put into it is likely to be a good investment.

1. Decide how to organize the application as discrete Gridded and Coupler Components. This might involve reorganizing code so that individual components are cleanly separated and their interactions consist of a minimal number of data exchanges.
2. Divide the code for each component into initialize, run, and finalize methods. These methods can be multi-phase, e.g., `init_1`, `init_2`.
3. Pack any data that will be transferred between components into ESMF Import and Export State data structures. This is done by first wrapping model data in either ESMF Arrays or Fields. Arrays are simpler to create and use than Fields, but carry less information and have a more limited range of operations. These Arrays and Fields are then added to Import and Export States. They may be packed into `ArrayBundles` or `FieldBundles` first, for more efficient communications. Metadata describing the model data can also be added. At the end of this step, the data to be transferred between components will be in a compact and largely self-describing form.
4. Pack time information into ESMF time management data structures.
5. Using code templates provided in the ESMF distribution, create ESMF Gridded and Coupler Components to represent each component in the user code.
6. Write a set services routine that sets ESMF entry points for each user component's initialize, run, and finalize methods.
7. Run the application using an ESMF Application Driver.

6.2 Constants

Named constants are used throughout ESMF to specify the values of many arguments with multiple well defined values in a consistent way. These constants are defined by a derived type that follows this pattern:

```
ESMF_<CONSTANT_NAME>_Flag
```

The values of the constant are then specified by this pattern:

```
ESMF_<CONSTANT_NAME>_<VALUE1>  
ESMF_<CONSTANT_NAME>_<VALUE2>  
ESMF_<CONSTANT_NAME>_<VALUE3>  
...
```

A master list of all available constants can be found in section 34.

7 Overall Rules and Behavior

7.1 Local and Global Views and Associated Conventions

ESMF data objects such as Fields are distributed over DEs, with each DE getting a portion of the data. Depending on the task, a local or global view of the object may be preferable. In a local view, data indices start with the first element on the DE and end with the last element on the same DE. In a global view, there is an assumed or specified order to the set of DEs over which the object is distributed. Data indices start with the first element on the first DE, and continue across all the elements in the sequence of DEs. The last data index represents the number of elements in the entire object. The DistGrid provides the mapping between local and global data indices.

The convention in ESMF is that entities with a global view have no prefix. Entities with a DE-local (and in some cases, PET-local) view have the prefix “local.”

Just as data is distributed over DEs, DEs themselves can be distributed over PETs. This is an advanced feature for users who would like to create multiple local chunks of data, for algorithmic or performance reasons. Local DEs are those DEs that are located on the local PET. Local DE labeling always starts at 0 and goes to localDeCount-1, where localDeCount is the number of DEs on the local PET. Global DE numbers also start at 0 and go to deCount-1. The DELayout class provides the mapping between local and global DE numbers.

7.2 Allocation Rules

The basic rule of allocation and deallocation for the ESMF is: whoever allocates it is responsible for deallocating it.

ESMF methods that allocate their own space for data will deallocate that space when the object is destroyed. Methods which accept a user-allocated buffer, for example `ESMC_FieldCreate()` with the `ESMF_DATACOPY_REFERENCE` flag, will not deallocate that buffer at the time the object is destroyed. The user must deallocate the buffer when all use of it is complete.

Classes such as Fields, FieldBundles, and States may have Arrays, Fields, Grids and FieldBundles created externally and associated with them. These associated items are not destroyed along with the rest of the data object since it is possible for the items to be added to more than one data object at a time (e.g. the same Grid could be part of many Fields). It is the user’s responsibility to delete these items when the last use of them is done.

7.3 Assignment, Equality, Copying and Comparing Objects

The equal sign assignment has not been overloaded in ESMF, thus resulting in the standard C behavior. This behavior has been documented as the first entry in the API documentation section for each ESMF class. For deep ESMF objects the assignment results in setting an alias the the same ESMF object in memory. For shallow ESMF objects the assignment is essentially a equivalent to a copy of the object. For deep classes the equality operators have been overloaded to test for the alias condition as a counter part to the assignment behavior. This and the not equal operator are documented following the assignment in the class API documentation sections.

Deep object copies are implemented as a special variant of the `ESMC_<Class>Create()` methods. It takes an existing deep object as one of the required arguments. At this point not all deep classes have `ESMC_<Class>Create()` methods that allow object copy.

Due to the complexity of deep classes there are many aspects when comparing two objects of the same class. ESMF provide `ESMC_<Class>Match()` methods, which are functions that return a class specific match flag. At this point not all deep classes have `ESMC_<Class>Match()` methods that allow deep object comparison.

8 Overall Design and Implementation Notes

1. **Deep and shallow classes.** The deep and shallow classes described in Section 5.2 differ in how and where they are allocated within a multi-language implementation environment. We distinguish between the implementation language, which is the language a method is written in, and the calling language, which is the language that the user application is written in. Deep classes are allocated off the process heap by the implementation language. Shallow classes are allocated off the stack by the calling language.
2. **Base class.** All ESMF classes are built upon a Base class, which holds a small set of system-wide capabilities.

Part II

Command Line Tools

The main product delivered by ESMF is the ESMF library that allows application developers to write programs based on the ESMF API. In addition to the programming library, ESMF distributions come with a small set of command line tools (CLT) that are of general interest to the community. These CLTs utilize the ESMF library to implement features such as printing general information about the ESMF installation, or generating regrid weight files. The provided ESMF CLTs are intended to be used as standard command line tools.

The bundled ESMF CLTs are built and installed during the usual ESMF installation process, which is described in detail in the ESMF User's Guide section "Building and Installing the ESMF". After installation, the CLTs will be located in the `ESMF_APPSDIR` directory, which can be found as a Makefile variable in the `esmf.mk` file. The `esmf.mk` file can be found in the `ESMF_INSTALL_LIBDIR` directory after a successful installation. The ESMF User's Guide discusses the `esmf.mk` mechanism to access the bundled CLTs in more detail in section "Using Bundled ESMF Command Line Tools".

The following sections provide in-depth documentation of the bundled ESMF CLTs. In addition, each tool supports the standard `--help` command line argument, providing a brief description of how to invoke the program.

Part III

Superstructure

9 Overview of Superstructure

ESMF superstructure classes define an architecture for assembling Earth system applications from modeling **components**. A component may be defined in terms of the physical domain that it represents, such as an atmosphere or sea ice model. It may also be defined in terms of a computational function, such as a data assimilation system. Earth system research often requires that such components be **coupled** together to create an application. By coupling we mean the data transformations and, on parallel computing systems, data transfers, that are necessary to allow data from one component to be utilized by another. ESMF offers regridding methods and other tools to simplify the organization and execution of inter-component data exchanges.

In addition to components defined at the level of major physical domains and computational functions, components may be defined that represent smaller computational functions within larger components, such as the transformation of data between the physics and dynamics in a spectral atmosphere model, or the creation of nested higher resolution regions within a coarser grid. The objective is to couple components at varying scales both flexibly and efficiently. ESMF encourages a hierarchical application structure, in which large components branch into smaller sub-components (see Figure 2). ESMF also makes it easier for the same component to be used in multiple contexts without changes to its source code.

Key Features

Modular, component-based architecture.

Hierarchical assembly of components into applications.

Use of components in multiple contexts without modification.

Sequential or concurrent component execution.

Single program, multiple datastream (SPMD) applications for maximum portability and reconfigurability.

Multiple program, multiple datastream (MPMD) option for flexibility.

9.1 Superstructure Classes

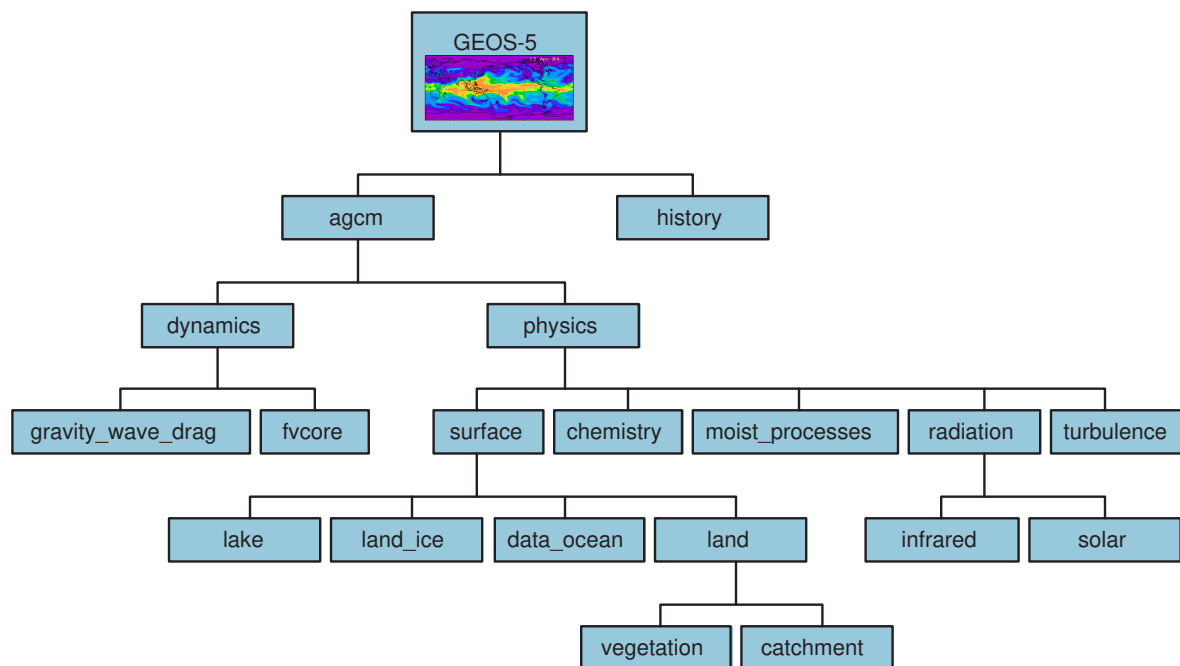
There are a small number of classes in the ESMF superstructure:

- **Component** An ESMF component has two parts, one that is supplied by ESMF and one that is supplied by the user. The part that is supplied by the framework is an ESMF derived type that is either a Gridded Component (**GridComp**) or a Coupler Component (**CplComp**). A Gridded Component typically represents a physical domain in which data is associated with one or more grids - for example, a sea ice model. A Coupler Component arranges and executes data transformations and transfers between one or more Gridded Components. Gridded Components and Coupler Components have standard methods, which include initialize, run, and finalize. These methods can be multi-phase.

The second part of an ESMF Component is user code, such as a model or data assimilation system. Users set entry points within their code so that it is callable by the framework. In practice, setting entry points means that within user code there are calls to ESMF methods that associate the name of a Fortran subroutine with a corresponding standard ESMF operation. For example, a user-written initialization routine called `myOceanInit` might be associated with the standard initialize routine of an ESMF Gridded Component named “myOcean” that represents an ocean model.

- **State** ESMF Components exchange information with other Components only through States. A State is an ESMF derived type that can contain Fields, FieldBundles, Arrays, ArrayBundles, and other States. A Component is associated with two States, an **Import State** and an **Export State**. Its Import State holds the data that it receives from other Components. Its Export State contains data that it makes available to other Components.

Figure 2: ESMF enables applications such as the atmospheric general circulation model GEOS-5 to be structured hierarchically, and reconfigured and extended easily. Each box in this diagram is an ESMF Gridded Component.



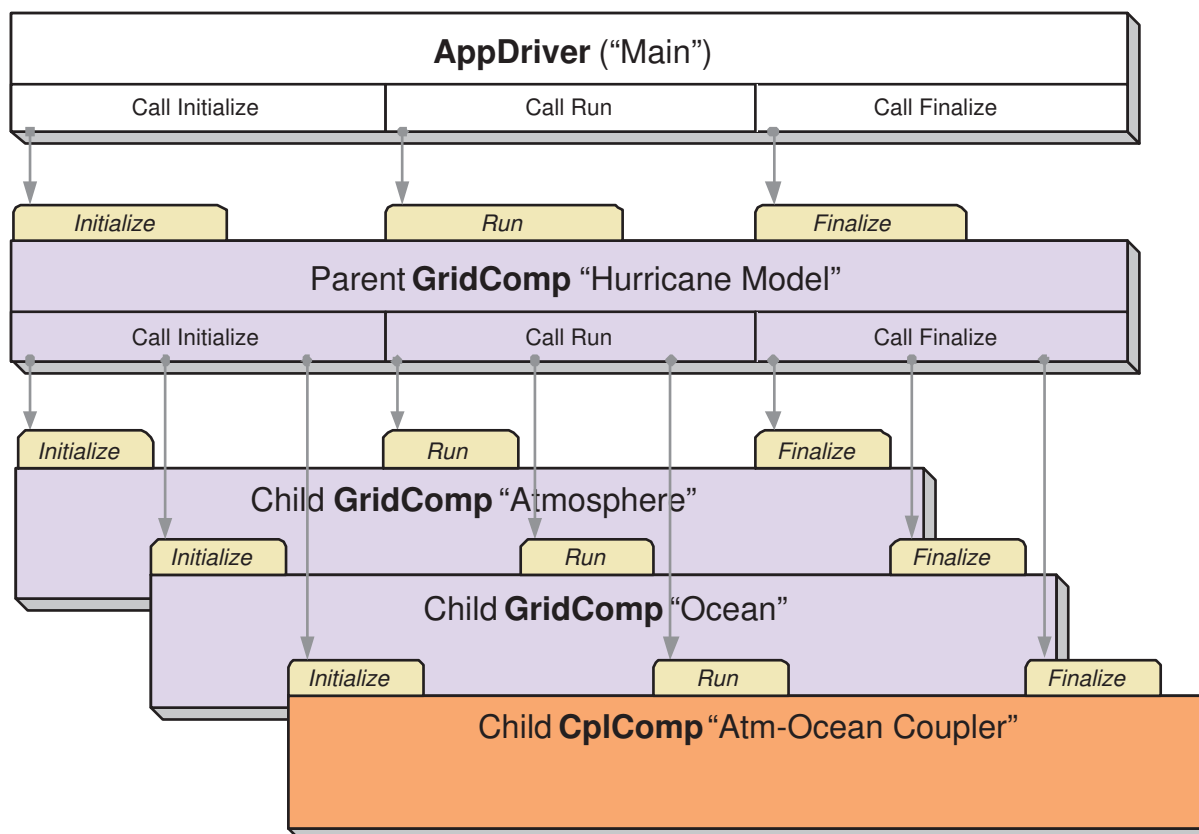
An ESMF coupled application typically involves a parent Gridded Component, two or more child Gridded Components and one or more Coupler Components.

The parent Gridded Component is responsible for creating the child Gridded Components that are exchanging data, for creating the Coupler, for creating the necessary Import and Export States, and for setting up the desired sequencing. The application's "main" routine calls the parent Gridded Component's initialize, run, and finalize methods in order to execute the application. For each of these standard methods, the parent Gridded Component in turn calls the corresponding methods in the child Gridded Components and the Coupler Component. For example, consider a simple coupled ocean/atmosphere simulation. When the initialize method of the parent Gridded Component is called by the application, it in turn calls the initialize methods of its child atmosphere and ocean Gridded Components, and the initialize method of an ocean-to-atmosphere Coupler Component. Figure 3 shows this schematically.

9.2 Hierarchical Creation of Components

Components are allocated computational resources in the form of **Persistent Execution Threads**, or **PETs**. A list of a Component's PETs is contained in a structure called a **Virtual Machine**, or **VM**. The VM also contains information about the topology and characteristics of the underlying computer. Components are created hierarchically, with parent Components creating child Components and allocating some or all of their PETs to each one. By default ESMF creates a new VM for each child Component, which allows Components to tailor their VM resources to match their needs. In some cases, a child may want to share its parent's VM - ESMF supports this, too.

Figure 3: A call to a standard ESMF initialize (run, finalize) method by a parent component triggers calls to initialize (run, finalize) all of its child components.



A Gridded Component may exist across all the PETs in an application. A Gridded Component may also reside on a subset of PETs in an application. These PETs may wholly coincide with, be wholly contained within, or wholly contain another Component.

9.3 Sequential and Concurrent Execution of Components

When a set of Gridded Components and a Coupler runs in sequence on the same set of PETs the application is executing in a **sequential** mode. When Gridded Components are created and run on mutually exclusive sets of PETs, and are coupled by a Coupler Component that extends over the union of these sets, the mode of execution is **concurrent**.

Figure 4 illustrates a typical configuration for a simple coupled sequential application, and Figure 5 shows a possible configuration for the same application running in a concurrent mode.

Parent Components can select if and when to wait for concurrently executing child Components, synchronizing only when required.

It is possible for ESMF applications to contain some Component sets that are executing sequentially and others that are executing concurrently. We might have, for example, atmosphere and land Components created on the same subset of PETs, ocean and sea ice Components created on the remainder of PETs, and a Coupler created across all the PETs in the application.

9.4 Intra-Component Communication

All data transfers within an ESMF application occur *within* a component. For example, a Gridded Component may contain halo updates. Another example is that a Coupler Component may redistribute data between two Gridded Components. As a result, the architecture of ESMF does not depend on any particular data communication mechanism, and new communication schemes can be introduced without affecting the overall structure of the application.

Since all data communication happens within a component, a Coupler Component must be created on the union of the PETs of all the Gridded Components that it couples.

9.5 Data Distribution and Scoping in Components

The scope of distributed objects is the VM of the currently executing Component. For this reason, all PETs in the current VM must make the same distributed object creation calls. When a Coupler Component running on a superset of a Gridded Component's PETs needs to make communication calls involving objects created by the Gridded Component, an ESMF-supplied function called `ESMF_StateReconcile()` creates proxy objects for those PETs that had no previous information about the distributed objects. Proxy objects contain no local data but can be used in communication calls (such as `regrid` or `redistribute`) to describe the remote source for data being moved to the current PET, or to describe the remote destination for data being moved from the local PET. Figure 6 is a simple schematic that shows the sequence of events in a reconcile call.

9.6 Performance

The ESMF design enables the user to configure ESMF applications so that data is transferred directly from one component to another, without requiring that it be copied or sent to a different data buffer as an interim step. This is likely to be the most efficient way of performing inter-component coupling. However, if desired, an application can also be configured so that data from a source component is sent to a distinct set of Coupler Component PETs for processing before being sent to its destination.

The ability to overlap computation with communication is essential for performance. When running with ESMF the user can initiate data sends during Gridded Component execution, as soon as the data is ready. Computations can then proceed simultaneously with the data transfer.

Figure 4: Schematic of the run method of a coupled application, with an “Atmosphere” and an “Ocean” Gridded Component running sequentially with an “Atm-Ocean Coupler.” The top-level “Hurricane Model” Gridded Component contains the sequencing information and time advancement loop. The application driver, Coupler, and all Gridded Components are distributed over nine PETs.

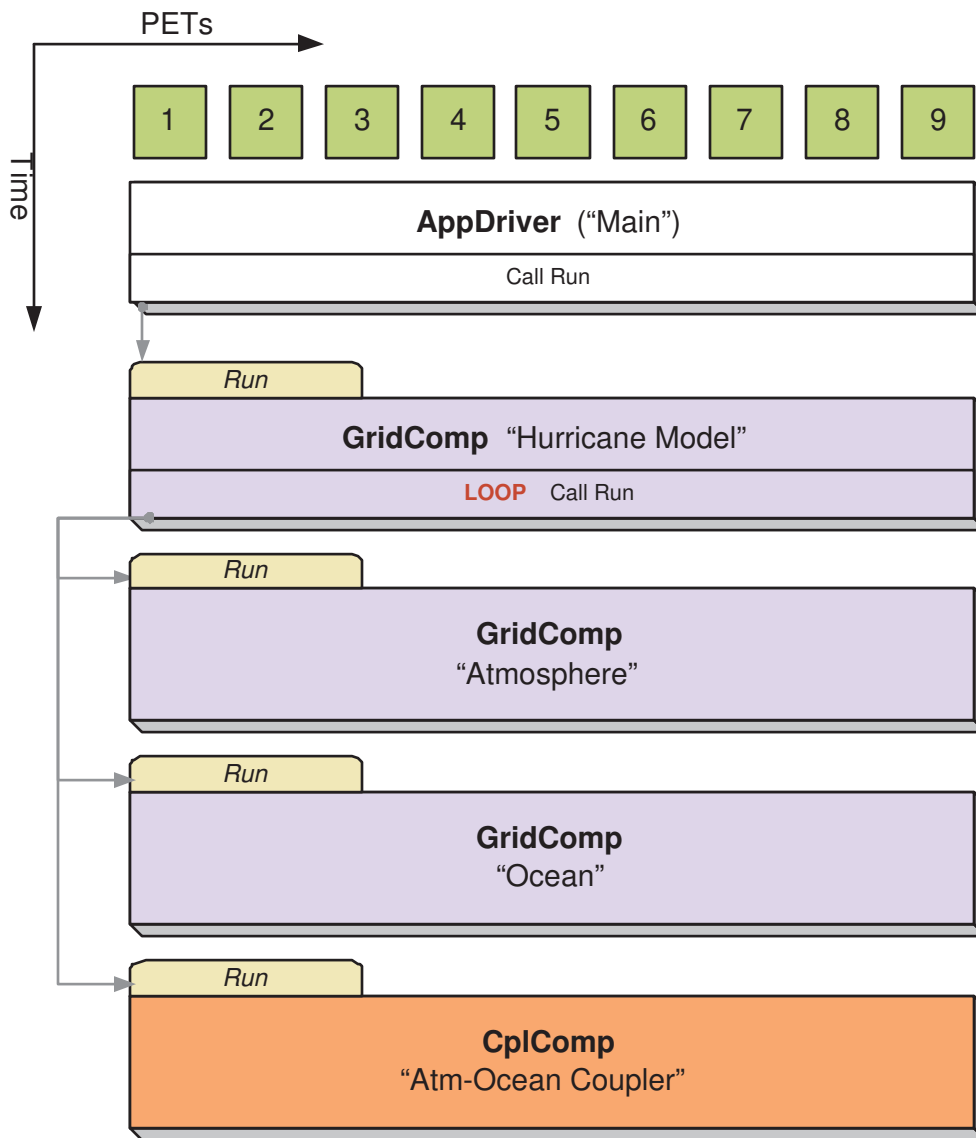


Figure 5: Schematic of the run method of a coupled application, with an “Atmosphere” and an “Ocean” Gridded Component running concurrently with an “Atm-Ocean Coupler.” The top-level “Hurricane Model” Gridded Component contains the sequencing information and time advancement loop. The application driver, Coupler, and top-level “Hurricane Model” Gridded Component are distributed over nine PETs. The “Atmosphere” Gridded Component is distributed over three PETs and the “Ocean” Gridded Component is distributed over six PETs.

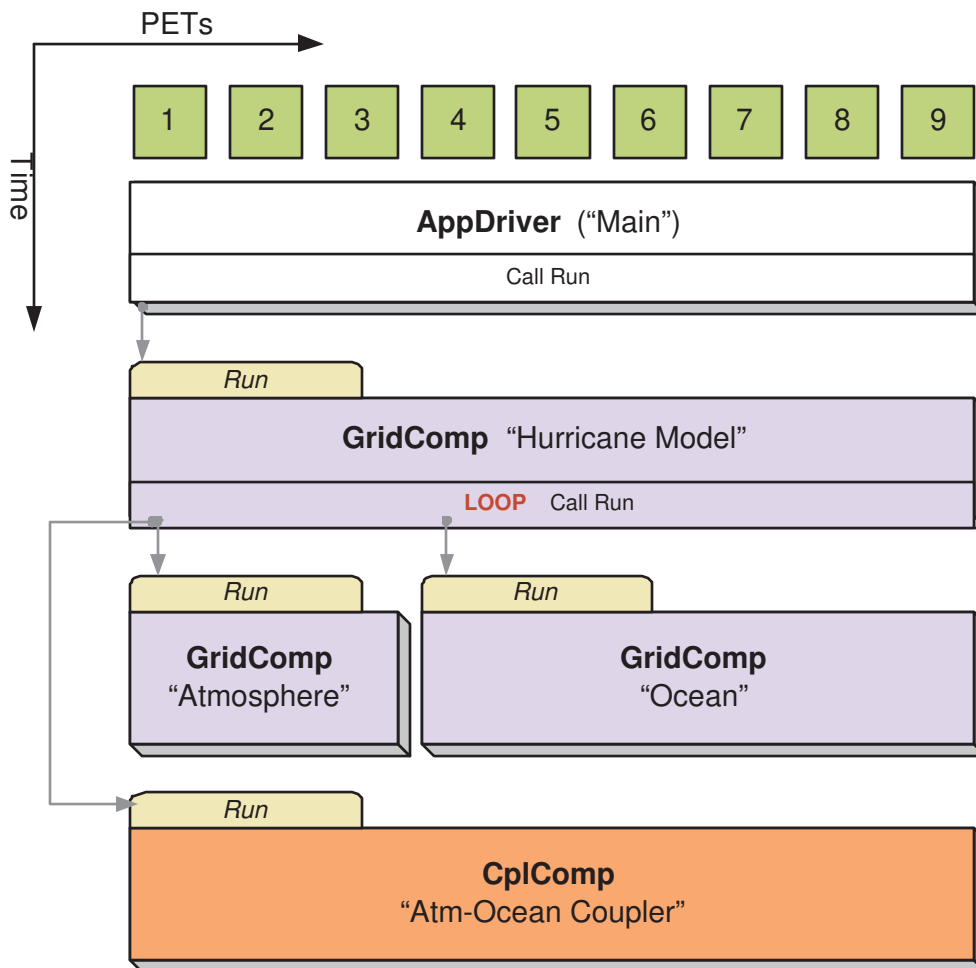
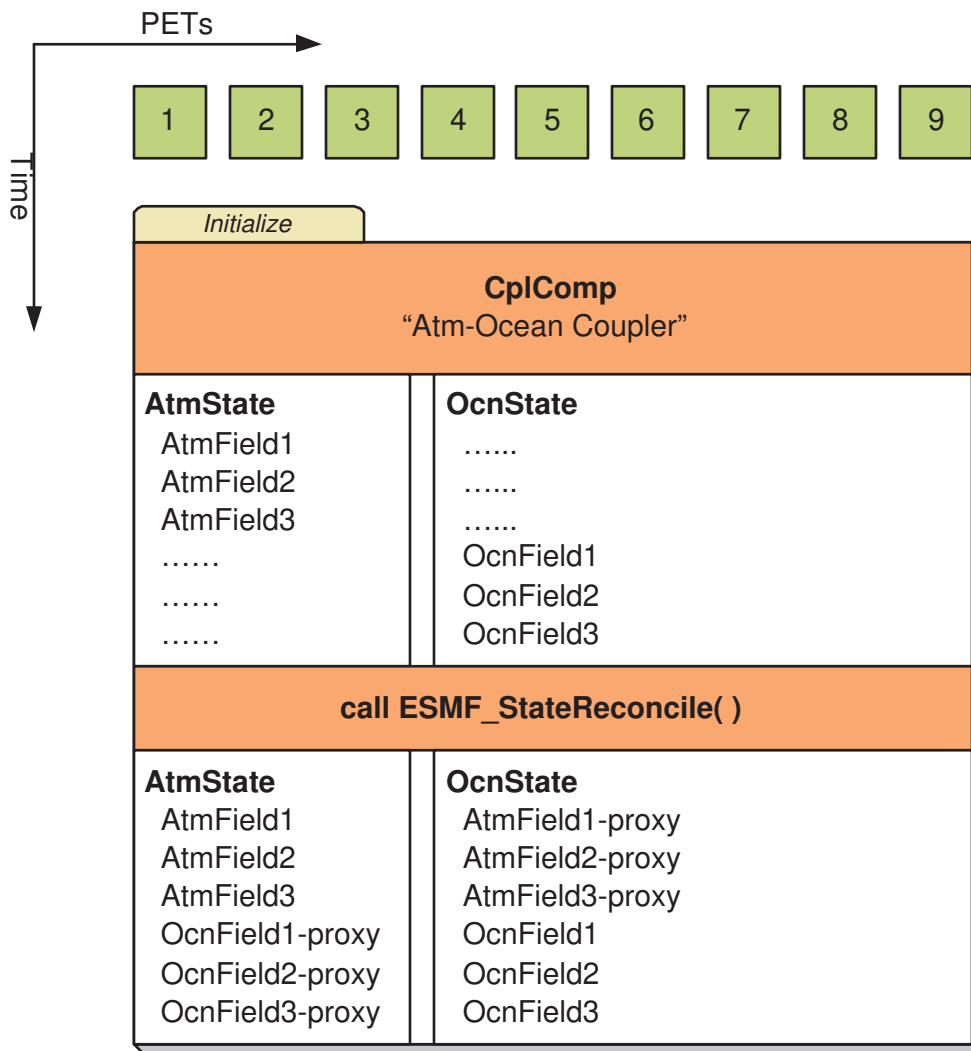
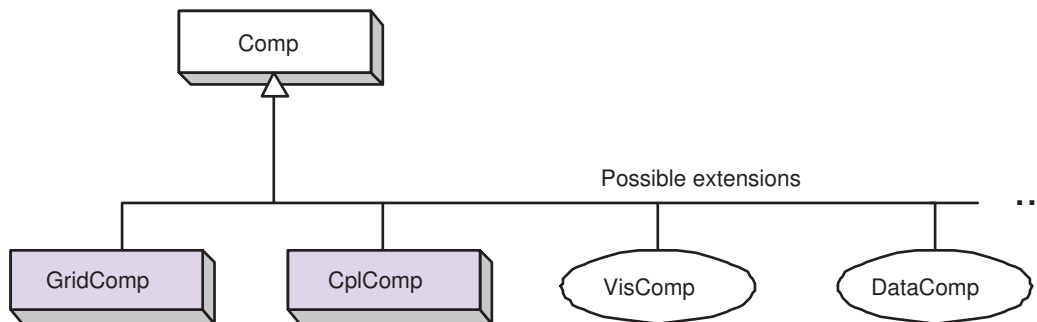


Figure 6: An `ESMF_StateReconcile()` call creates proxy objects for use in subsequent communication calls. The reconcile call would normally be made during Coupler initialization.



9.7 Object Model

The following is a simplified Unified Modeling Language (UML) diagram showing the relationships among ESMF superstructure classes. See Appendix A, *A Brief Introduction to UML*, for a translation table that lists the symbols in the diagram and their meaning.



10 Application Driver and Required ESMF Methods

10.1 Description

Every ESMF application needs a driver code. Typically the driver layer is implemented as the "main" of the application, although this is not strictly an ESMF requirement. For most ESMF applications the task of the application driver will be very generic: Initialize ESMF, create a top-level Component and call its Initialize, Run and Finalize methods, before destroying the top-level Component again and calling ESMF Finalize.

ESMF provides a number of different application driver templates in the `$ESMF_DIR/src/Superstructure/AppDriver` directory. An appropriate one can be chosen depending on how the application is to be structured:

Sequential vs. Concurrent Execution In a sequential execution model, every Component executes on all PETs, with each Component completing execution before the next Component begins. This has the appeal of simplicity of data consumption and production: when a Gridded Component starts, all required data is available for use, and when a Gridded Component finishes, all data produced is ready for consumption by the next Gridded Component. This approach also has the possibility of less data movement if the grid and data decomposition is done such that each processor's memory contains the data needed by the next Component.

In a concurrent execution model, subgroups of PETs run Gridded Components and multiple Gridded Components are active at the same time. Data exchange must be coordinated between Gridded Components so that data deadlock does not occur. This strategy has the advantage of allowing coupling to other Gridded Components at any time during the computational process, including not having to return to the calling level of code before making data available.

Pairwise vs. Hub and Spoke Coupler Components are responsible for taking data from one Gridded Component and putting it into the form expected by another Gridded Component. This might include regridding, change of units, averaging, or binning.

Coupler Components can be written for *pairwise* data exchange: the Coupler Component takes data from a single Component and transforms it for use by another single Gridded Component. This simplifies the structure of the Coupler Component code.

Couplers can also be written using a *hub and spoke* model where a single Coupler accepts data from all other Components, can do data merging or splitting, and formats data for all other Components.

Multiple Couplers, using either of the above two models or some mixture of these approaches, are also possible.

Implementation Language The ESMF framework currently has Fortran interfaces for all public functions. Some functions also have C interfaces, and the number of these is expected to increase over time.

Number of Executables The simplest way to run an application is to run the same executable program on all PETs. Different Components can still be run on mutually exclusive PETs by using branching (e.g., if this is PET 1, 2, or 3, run Component A, if it is PET 4, 5, or 6 run Component B). This is a **SPMD** model, Single Program Multiple Data.

The alternative is to start a different executable program on different PETs. This is a **MPMD** model, Multiple Program Multiple Data. There are complications with many job control systems on multiprocessor machines in getting the different executables started, and getting inter-process communications established. ESMF currently has some support for MPMD: different Components can run as separate executables, but the Coupler that transfers data between the Components must still run on the union of their PETs. This means that the Coupler Component must be linked into all of the executables.

10.2 Required ESMF Methods

There are a few methods that every ESMF application must contain. First, `ESMC_Initialize()` and `ESMC_Finalize()` are in complete analogy to `MPI_Init()` and `MPI_Finalize()` known from MPI. All ESMF programs, serial or parallel, must initialize the ESMF system at the beginning, and finalize it at the end of execution. The behavior of calling any ESMF method before `ESMC_Initialize()`, or after `ESMC_Finalize()` is undefined.

Second, every ESMF Component that is accessed by an ESMF application requires that its set services routine is called through `ESMC_<Grid/Cpl>CompSetServices()`. The Component must implement one public entry point, its set services routine, that can be called through the `ESMC_<Grid/Cpl>CompSetServices()` library routine. The Component set services routine is responsible for setting entry points for the standard ESMF Component methods Initialize, Run, and Finalize.

Finally, the Component can optionally call `ESMC_<Grid/Cpl>CompSetVM()` *before* calling `ESMC_<Grid/Cpl>CompSetServices()`. Similar to `ESMC_<Grid/Cpl>CompSetServices()`, the `ESMC_<Grid/Cpl>CompSetVM()` call requires a public entry point into the Component. It allows the Component to adjust certain aspects of its execution environment, i.e. its own VM, before it is started up.

The following sections discuss the above mentioned aspects in more detail.

11 GridComp Class

11.1 Description

In Earth system modeling, the most natural way to think about an ESMF Gridded Component, or `ESMC_GridComp`, is as a piece of code representing a particular physical domain, such as an atmospheric model or an ocean model.

Gridded Components may also represent individual processes, such as radiation or chemistry. It's up to the application writer to decide how deeply to "componentize."

Earth system software components tend to share a number of basic features. Most ingest and produce a variety of physical fields, refer to a (possibly noncontiguous) spatial region and a grid that is partitioned across a set of computational resources, and require a clock for things like stepping a governing set of PDEs forward in time. Most can also be divided into distinct initialize, run, and finalize computational phases. These common characteristics are used within ESMF to define a Gridded Component data structure that is tailored for Earth system modeling and yet is still flexible enough to represent a variety of domains.

A well designed Gridded Component does not store information internally about how it couples to other Gridded Components. That allows it to be used in different contexts without changes to source code. The idea here is to avoid situations in which slightly different versions of the same model source are maintained for use in different contexts - standalone vs. coupled versions, for example. Data is passed in and out of Gridded Components using an ESMF State, this is described in Section 14.1.

An ESMF Gridded Component has two parts, one which is user-written and another which is part of the framework. The user-written part is software that represents a physical domain or performs some other computational function. It forms the body of the Gridded Component. It may be a piece of legacy code, or it may be developed expressly for use with ESMF. It must contain routines with standard ESMF interfaces that can be called to initialize, run, and finalize the Gridded Component. These routines can have separate callable phases, such as distinct first and second initialization steps.

ESMF provides the Gridded Component derived type, `ESMC_GridComp`. An `ESMC_GridComp` must be created for every portion of the application that will be represented as a separate component. For example, in a climate model, there may be Gridded Components representing the land, ocean, sea ice, and atmosphere. If the application contains an ensemble of identical Gridded Components, every one has its own associated `ESMC_GridComp`. Each Gridded Component has its own name and is allocated a set of computational resources, in the form of an ESMF Virtual Machine, or VM.

The user-written part of a Gridded Component is associated with an `ESMC_GridComp` derived type through a routine called `ESMC_SetServices()`. This is a routine that the user must write, and declare public. Inside the `SetServices` routine the user must call `ESMC_SetEntryPoint()` methods that associate a standard ESMF operation with the name of the corresponding Fortran subroutine in their user code.

11.2 Class API

12 CplComp Class

12.1 Description

In a large, multi-component application such as a weather forecasting or climate prediction system running within ESMF, physical domains and major system functions are represented as Gridded Components (see Section 11.1). A Coupler Component, or `ESMC_CplComp`, arranges and executes the data transformations between the Gridded Components. Ideally, Coupler Components should contain all the information about inter-component communication for an application. This enables the Gridded Components in the application to be used in multiple contexts; that is, used in different coupled configurations without changes to their source code. For example, the same atmosphere might in one case be coupled to an ocean in a hurricane prediction model, and to a data assimilation system for numerical weather prediction in another. A single Coupler Component can couple two or more Gridded Components.

Like Gridded Components, Coupler Components have two parts, one that is provided by the user and another that is

part of the framework. The user-written portion of the software is the coupling code necessary for a particular exchange between Gridded Components. This portion of the Coupler Component code must be divided into separately callable initialize, run, and finalize methods. The interfaces for these methods are prescribed by ESMF.

The term “user-written” is somewhat misleading here, since within a Coupler Component the user can leverage ESMF infrastructure software for regridding, redistribution, lower-level communications, calendar management, and other functions. However, ESMF is unlikely to offer all the software necessary to customize a data transfer between Gridded Components. For instance, ESMF does not currently offer tools for unit transformations or time averaging operations, so users must manage those operations themselves.

The second part of a Coupler Component is the `ESMC_CplComp` derived type within ESMF. The user must create one of these types to represent a specific coupling function, such as the regular transfer of data between a data assimilation system and an atmospheric model.¹

The user-written part of a Coupler Component is associated with an `ESMC_CplComp` derived type through a routine called `ESMC_SetServices()`. This is a routine that the user must write and declare public. Inside the `ESMC_SetServices()` routine the user must call `ESMC_SetEntryPoint()` methods that associate a standard ESMF operation with the name of the corresponding Fortran subroutine in their user code. For example, a user routine called “couplerInit” might be associated with the standard initialize routine in a Coupler Component.

12.2 Class API

13 SciComp Class

13.1 Description

In Earth system modeling, a particular piece of code representing a physical domain, such as an atmospheric model or an ocean model, is typically implemented as an ESMF Gridded Component, or `ESMC_GridComp`. However, there are times when physical domains, or realms, need to be represented, but aren’t actual pieces of code, or software. These domains can be implemented as ESMF Science Components, or `ESMC_SciComp`.

Unlike Gridded and Coupler Components, Science Components are not associated with software; they don’t include execution routines such as initialize, run and finalize.

13.2 Class API

14 State Class

14.1 Description

A State contains the data and metadata to be transferred between ESMF Components. It is an important class, because it defines a standard for how data is represented in data transfers between Earth science components. The State construct is a rational compromise between a fully prescribed interface - one that would dictate what specific fields should be transferred between components - and an interface in which data structures are completely ad hoc.

There are two types of States, import and export. An import State contains data that is necessary for a Gridded Component or Coupler Component to execute, and an export State contains the data that a Gridded Component or

¹It is not necessary to create a Coupler Component for each individual data *transfer*.

Coupler Component can make available.

States can contain Arrays, ArrayBundles, Fields, FieldBundles, and other States. However, the current C API only provides State access to Arrays, Fields and nested States. States cannot directly contain native language arrays (i.e. Fortran or C style arrays). Objects in a State must span the VM on which they are running. For sequentially executing components which run on the same set of PETs this happens by calling the object create methods on each PET, creating the object in unison. For concurrently executing components which are running on subsets of PETs, an additional method, called `ESMF_StateReconcile()`, is provided by ESMF to broadcast information about objects which were created in sub-components. Currently this method is only available through the ESMF Fortran API. Hence the Coupler Component responsible for reconciling States from Component that execute on subsets of PETs must be written in Fortran.

State methods include creation and deletion, adding and retrieving data items, and performing queries.

14.2 Restrictions and Future Work

1. **No synchronization of object IDs at object create time.** Object IDs are used during the reconcile process to identify objects which are unknown to some subset of the PETs in the currently running VM. Object IDs are assigned in sequential order at object create time.

One important request by the user community during the ESMF object design was that there be no communication overhead or synchronization when creating distributed ESMF objects. As a consequence it is required to create these objects in **unison** across all PETs in order to keep the ESMF object identification in sync.

14.3 Class API

Part IV

Infrastructure: Fields and Grids

15 Overview of Infrastructure Data Handling

The ESMF infrastructure data classes are part of the framework's hierarchy of structures for handling Earth system model data and metadata on parallel platforms. The hierarchy is in complexity; the simplest data class in the infrastructure represents a distributed data array and the most complex data class represents a bundle of physical fields that are discretized on the same grid. However, the current C API does not support bundled data structures yet. Array and Field are the two data classes offered by the ESMF C language binding. Data class methods are called both from user-written code and from other classes internal to the framework.

Data classes are distributed over **DEs**, or **Decomposition Elements**. A DE represents a piece of a decomposition. A DELayout is a collection of DEs with some associated connectivity that describes a specific distribution. For example, the distribution of a grid divided into four segments in the x-dimension would be expressed in ESMF as a DELayout with four DEs lying along an x-axis. This abstract concept enables a data decomposition to be defined in terms of threads, MPI processes, virtual decomposition elements, or combinations of these without changes to user code. This is a primary strategy for ensuring optimal performance and portability for codes using the ESMF for communications.

ESMF data classes provide a standard, convenient way for developers to collect together information related to model or observational data. The information assembled in a data class includes a data pointer, a set of attributes (e.g. units, although attributes can also be user-defined), and a description of an associated grid. The same set of information within an ESMF data object can be used by the framework to arrange intercomponent data transfers, to perform I/O, for communications such as gathers and scatters, for simplification of interfaces within user code, for debugging, and for other functions. This unifies and organizes codes overall so that the user need not define different representations of metadata for the same field for I/O and for component coupling.

Since it is critical that users be able to introduce ESMF into their codes easily and incrementally, ESMF data classes can be created based on native Fortran pointers. Likewise, there are methods for retrieving native Fortran pointers from within ESMF data objects. This allows the user to perform allocations using ESMF, and to retrieve Fortran arrays later for optimized model calculations. The ESMF data classes do not have associated differential operators or other mathematical methods.

For flexibility, it is not necessary to build an ESMF data object all at once. For example, it's possible to create a field but to defer allocation of the associated field data until a later time.

Key Features

Hierarchy of data structures designed specifically for the Earth system domain and high performance, parallel computing.

Multi-use ESMF structures simplify user code overall.

Data objects support incremental construction and deferred allocation.

Native Fortran arrays can be associated with or retrieved from ESMF data objects, for ease of adoption, convenience, and performance.

15.1 Infrastructure Data Classes

The main classes that are used for model and observational data manipulation are as follows:

- **Array** An ESMF Array contains a data pointer, information about its associated datatype, precision, and dimension.

Data elements in Arrays are partitioned into categories defined by the role the data element plays in distributed halo operations. Haloing - sometimes called ghosting - is the practice of copying portions of array data to multiple memory locations to ensure that data dependencies can be satisfied quickly when performing a calculation.

ESMF Arrays contain an **exclusive** domain, which contains data elements updated exclusively and definitively by a given DE; a **computational** domain, which contains all data elements with values that are updated by the DE in computations; and a **total** domain, which includes both the computational domain and data elements from other DEs which may be read but are not updated in computations.

- **Field** A Field holds model and/or observational data together with its underlying grid or set of spatial locations. It provides methods for configuration, initialization, setting and retrieving data values, data I/O, data regridding, and manipulation of attributes.

15.2 Design and Implementation Notes

1. In communication methods such as Regrid, Redist, Scatter, etc. the Field code cascades down through the Array code, so that the actual implementation exist in only one place in the source.

16 Field Class

16.1 Description

An ESMF Field represents a physical field, such as temperature. The motivation for including Fields in ESMF is that bundles of Fields are the entities that are normally exchanged when coupling Components.

The ESMF Field class contains distributed and discretized field data, a reference to its associated grid, and metadata. The Field class stores the grid *staggering* for that physical field. This is the relationship of how the data array of a field maps onto a grid (e.g. one item per cell located at the cell center, one item per cell located at the NW corner, one item per cell vertex, etc.). This means that different Fields which are on the same underlying ESMF Grid but have different staggerings can share the same Grid object without needing to replicate it multiple times.

Fields can be added to States for use in inter-Component data communications.

Field communication capabilities include: data redistribution, regridding, scatter, gather, sparse-matrix multiplication, and halo update. These are discussed in more detail in the documentation for the specific method calls. ESMF does not currently support vector fields, so the components of a vector field must be stored as separate Field objects.

16.2 Constants

16.2.1 ESMC_REGRIDMETHOD

DESCRIPTION:

Specify which interpolation method to use during regridding.

The type of this flag is:

type (ESMC_RegridMethod_Flag)

The valid values are:

ESMC_REGRIDMETHOD_BILINEAR Bilinear interpolation. Destination value is a linear combination of the source values in the cell which contains the destination point. The weights for the linear combination are based on the distance of destination point from each source value.

ESMC_REGRIDMETHOD_PATCH Higher-order patch recovery interpolation. Destination value is a weighted average of 2D polynomial patches constructed from cells surrounding the source cell which contains the destination point. This method typically results in better approximations to values and derivatives than bilinear. However, because of its larger stencil, it also results in a much larger interpolation matrix (and thus routeHandle) than the bilinear.

ESMC_REGRIDMETHOD_NEAREST_STOD In this version of nearest neighbor interpolation each destination point is mapped to the closest source point. A given source point may go to multiple destination points, but no destination point will receive input from more than one source point.

ESMC_REGRIDMETHOD_NEAREST_DTOS In this version of nearest neighbor interpolation each source point is mapped to the closest destination point. A given destination point may receive input from multiple source points, but no source point will go to more than one destination point.

ESMC_REGRIDMETHOD_CONSERVE First-order conservative interpolation. The main purpose of this method is to preserve the integral of the field between the source and destination. Will typically give a less accurate approximation to the individual field values than the bilinear or patch methods. The value of a destination cell

is calculated as the weighted sum of the values of the source cells that it overlaps. The weights are determined by the amount the source cell overlaps the destination cell. Needs corner coordinate values to be provided in the Grid. Currently only works for Fields created on the Grid center stagger or the Mesh element location.

ESMC_REGRIDMETHOD_CONSERVE_2ND Second-order conservative interpolation. As with first-order, preserves the integral of the value between the source and destination. However, typically produces a smoother more accurate result than first-order. Also like first-order, the value of a destination cell is calculated as the weighted sum of the values of the source cells that it overlaps. However, second-order also includes additional terms to take into account the gradient of the field across the source cell. Needs corner coordinate values to be provided in the Grid. Currently only works for Fields created on the Grid center stagger or the Mesh element location.

16.3 Use and Examples

A Field serves as an annotator of data, since it carries a description of the grid it is associated with and metadata such as name and units. Fields can be used in this capacity alone, as convenient, descriptive containers into which arrays can be placed and retrieved. However, for most codes the primary use of Fields is in the context of import and export States, which are the objects that carry coupling information between Components. Fields enable data to be self-describing, and a State holding ESMF Fields contains data in a standard format that can be queried and manipulated.

The sections below go into more detail about Field usage.

16.3.1 Field create and destroy

Fields can be created and destroyed at any time during application execution. However, these Field methods require some time to complete. We do not recommend that the user create or destroy Fields inside performance-critical computational loops.

All versions of the `ESMC_FieldCreate()` routines require a Mesh object as input. The Mesh contains the information needed to know which Decomposition Elements (DEs) are participating in the processing of this Field, and which subsets of the data are local to a particular DE.

The details of how the create process happens depend on which of the variants of the `ESMC_FieldCreate()` call is used.

When finished with an `ESMC_Field`, the `ESMC_FieldDestroy` method removes it. However, the objects inside the `ESMC_Field` created externally should be destroyed separately, since objects can be added to more than one `ESMC_Field`. For example, the same `ESMF_Mesh` can be referenced by multiple `ESMC_Fields`. In this case the internal Mesh is not deleted by the `ESMC_FieldDestroy` call.

16.4 Class API

17 Array Class

17.1 Description

The Array class is an alternative to the Field class for representing distributed, structured data. Unlike Fields, which are built to carry grid coordinate information, Arrays can only carry information about the *indices* associated with

grid cells. Since they do not have coordinate information, Arrays cannot be used to calculate interpolation weights. However, if the user can supply interpolation weights, the Array sparse matrix multiply operation can be used to apply the weights and transfer data to the new grid. Arrays can also perform redistribution, scatter, and gather communication operations.

Like Fields, Arrays can be added to a State and used in inter-Component data communications.

From a technical standpoint, the ESMF Array class is an index space based, distributed data storage class. It provides DE-local memory allocations within DE-centric index regions and defines the relationship to the index space described by the ESMF DistGrid. The Array class offers common communication patterns within the index space formalism.

17.2 Class API

18 ArraySpec Class

18.1 Description

An ArraySpec is a very simple class that contains type, kind, and rank information about an Array. This information is stored in two parameters. **TypeKind** describes the data type of the elements in the Array and their precision. **Rank** is the number of dimensions in the Array.

The only methods that are associated with the ArraySpec class are those that allow you to set and retrieve this information.

18.2 Class API

19 Grid Class

19.1 Description

The ESMF Grid class is used to describe the geometry and discretization of logically rectangular physical grids. It also contains the description of the grid's underlying topology and the decomposition of the physical grid across the available computational resources. The most frequent use of the Grid class is to describe physical grids in user code so that sufficient information is available to perform ESMF methods such as regridding.

Key Features

Representation of grids formed by logically rectangular regions, including uniform and rectilinear grids (e.g. lat-lon grids), curvilinear grids (e.g. displaced pole grids), and grids formed by connected logically rectangular regions (e.g. cubed sphere grids).

Support for 1D, 2D, 3D, and higher dimension grids.

Distribution of grids across computational resources for parallel operations - users set which grid dimensions are distributed.

Grids can be created already distributed, so that no single resource needs global information during the creation process.

Options to define periodicity and other edge connectivities either explicitly or implicitly via shape shortcuts.

Options for users to define grid coordinates themselves or call prefabricated coordinate generation routines for standard grids [NO GENERATION ROUTINES YET].

Options for incremental construction of grids.

Options for using a set of pre-defined stagger locations or for setting custom stagger locations.

19.1.1 Grid Representation in ESMF

ESMF Grids are based on the concepts described in *A Standard Description of Grids Used in Earth System Models* [Balaji 2006]. In this document Balaji introduces the mosaic concept as a means of describing a wide variety of Earth system model grids. A **mosaic** is composed of grid tiles connected at their edges. Mosaic grids includes simple, single tile grids as a special case.

The ESMF Grid class is a representation of a mosaic grid. Each ESMF Grid is constructed of one or more logically rectangular **Tiles**. A Tile will usually have some physical significance (e.g. the region of the world covered by one face of a cubed sphere grid).

The piece of a Tile that resides on one DE (for simple cases, a DE can be thought of as a processor - see section on the DELayout) is called a **LocalTile**. For example, the six faces of a cubed sphere grid are each Tiles, and each Tile can be divided into many LocalTiles.

Every ESMF Grid contains a DistGrid object, which defines the Grid's index space, topology, distribution, and connectivities. It enables the user to define the complex edge relationships of tripole and other grids. The DistGrid can be created explicitly and passed into a Grid creation routine, or it can be created implicitly if the user takes a Grid creation shortcut. The DistGrid used in Grid creation describes the properties of the Grid cells. In addition to this one, the Grid internally creates DistGrids for each stagger location. These stagger DistGrids are related to the original DistGrid, but may contain extra padding to represent the extent of the index space of the stagger. These DistGrids are what are used when a Field is created on a Grid.

19.1.2 Supported Grids

The range of supported grids in ESMF can be defined by:

- Types of topologies and shapes supported. ESMF supports one or more logically rectangular grid Tiles with connectivities specified between cells. For more details see section 19.1.3.
- Types of distributions supported. ESMF supports regular, irregular, or arbitrary distributions of data. For more details see section 19.1.4.
- Types of coordinates supported. ESMF supports uniform, rectilinear, and curvilinear coordinates. For more details see section 19.1.5.

19.1.3 Grid Topologies and Periodicity

ESMF has shortcuts for the creation of standard Grid topologies or **shapes** up to 3D. In many cases, these enable the user to bypass the step of creating a DistGrid before creating the Grid. There are two sets of methods which allow the user to do this. These two sets of methods cover the same set of topologies, but allow the user to specify them in different ways.

The first set of these are a group of overloaded calls broken up by the number of periodic dimensions they specify. With these the user can pick the method which creates a Grid with the number of periodic dimensions they need, and then specify other connectivity options via arguments to the method. The following is a description of these methods:

ESMF_GridCreateNoPeriDim() Allows the user to create a Grid with no edge connections, for example, a regional Grid with closed boundaries.

ESMF_GridCreate1PeriDim() Allows the user to create a Grid with 1 periodic dimension and supports a range of options for what to do at the pole (see Section 19.2.4. Some examples of Grids which can be created here are tripole spheres, bipole spheres, cylinders with open poles.

ESMF_GridCreate2PeriDim() Allows the user to create a Grid with 2 periodic dimensions, for example a torus, or a regional Grid with doubly periodic boundaries.

More detailed information can be found in the API description of each.

The second set of shortcut methods is a set of methods overloaded under the name `ESMF_GridCreate()`. These methods allow the user to specify the connectivities at the end of each dimension, by using the `ESMF_GridConn_Flag` flag. The table below shows the `ESMF_GridConn_Flag` settings used to create standard shapes in 2D using the `ESMF_GridCreate()` call. Two values are specified for each dimension, one for the low end and one for the high end of the dimension's index values.

2D Shape	connflagDim1(1)	connflagDim1(2)	connflagDim2(1)	connflagDim2(2)
Rectangle	NONE	NONE	NONE	NONE
Bipole Sphere	POLE	POLE	PERIODIC	PERIODIC
Tripole Sphere	POLE	BIPOLE	PERIODIC	PERIODIC
Cylinder	NONE	NONE	PERIODIC	PERIODIC
Torus	PERIODIC	PERIODIC	PERIODIC	PERIODIC

If the user's grid shape is too complex for an ESMF shortcut routine, or involves more than three dimensions, a DistGrid can be created to specify the shape in detail. This DistGrid is then passed into a Grid create call.

19.1.4 Grid Distribution

ESMF Grids have several options for data distribution (also referred to as decomposition). As ESMF Grids are cell based, these options are all specified in terms of how the cells in the Grid are broken up between DEs.

The main distribution options are regular, irregular, and arbitrary. A **regular** distribution is one in which the same number of contiguous grid cells are assigned to each DE in the distributed dimension. An **irregular** distribution is one in which unequal numbers of contiguous grid cells are assigned to each DE in the distributed dimension. An **arbitrary** distribution is one in which any grid cell can be assigned to any DE. Any of these distribution options can be applied to any of the grid shapes (i.e., rectangle) or types (i.e., rectilinear). Support for arbitrary distribution is limited in the current version of ESMF.

<table><tr><td>a_{11}</td><td>a_{12}</td><td>a_{13}</td></tr><tr><td>a_{21}</td><td>a_{22}</td><td>a_{23}</td></tr><tr><td>a_{31}</td><td>a_{32}</td><td>a_{33}</td></tr><tr><td>a_{41}</td><td>a_{42}</td><td>a_{43}</td></tr><tr><td>a_{51}</td><td>a_{52}</td><td>a_{53}</td></tr><tr><td>a_{61}</td><td>a_{62}</td><td>a_{63}</td></tr></table>	a_{11}	a_{12}	a_{13}	a_{21}	a_{22}	a_{23}	a_{31}	a_{32}	a_{33}	a_{41}	a_{42}	a_{43}	a_{51}	a_{52}	a_{53}	a_{61}	a_{62}	a_{63}	<table><tr><td>a_{14}</td><td>a_{15}</td><td>a_{16}</td></tr><tr><td>a_{24}</td><td>a_{22}</td><td>a_{23}</td></tr><tr><td>a_{34}</td><td>a_{35}</td><td>a_{36}</td></tr><tr><td>a_{44}</td><td>a_{45}</td><td>a_{46}</td></tr><tr><td>a_{54}</td><td>a_{55}</td><td>a_{56}</td></tr><tr><td>a_{64}</td><td>a_{65}</td><td>a_{66}</td></tr></table>	a_{14}	a_{15}	a_{16}	a_{24}	a_{22}	a_{23}	a_{34}	a_{35}	a_{36}	a_{44}	a_{45}	a_{46}	a_{54}	a_{55}	a_{56}	a_{64}	a_{65}	a_{66}
a_{11}	a_{12}	a_{13}																																			
a_{21}	a_{22}	a_{23}																																			
a_{31}	a_{32}	a_{33}																																			
a_{41}	a_{42}	a_{43}																																			
a_{51}	a_{52}	a_{53}																																			
a_{61}	a_{62}	a_{63}																																			
a_{14}	a_{15}	a_{16}																																			
a_{24}	a_{22}	a_{23}																																			
a_{34}	a_{35}	a_{36}																																			
a_{44}	a_{45}	a_{46}																																			
a_{54}	a_{55}	a_{56}																																			
a_{64}	a_{65}	a_{66}																																			
Regular distribution																																					

<table><tr><td>a_{11}</td><td>a_{12}</td><td>a_{13}</td><td>a_{14}</td><td>a_{15}</td><td>a_{16}</td></tr><tr><td>a_{21}</td><td>a_{22}</td><td>a_{23}</td><td>a_{24}</td><td>a_{22}</td><td>a_{23}</td></tr><tr><td>a_{31}</td><td>a_{32}</td><td>a_{33}</td><td>a_{34}</td><td>a_{35}</td><td>a_{36}</td></tr><tr><td>a_{41}</td><td>a_{42}</td><td>a_{43}</td><td>a_{44}</td><td>a_{45}</td><td>a_{46}</td></tr><tr><td>a_{51}</td><td>a_{52}</td><td>a_{53}</td><td>a_{54}</td><td>a_{55}</td><td>a_{56}</td></tr><tr><td>a_{61}</td><td>a_{62}</td><td>a_{63}</td><td>a_{64}</td><td>a_{65}</td><td>a_{66}</td></tr></table>	a_{11}	a_{12}	a_{13}	a_{14}	a_{15}	a_{16}	a_{21}	a_{22}	a_{23}	a_{24}	a_{22}	a_{23}	a_{31}	a_{32}	a_{33}	a_{34}	a_{35}	a_{36}	a_{41}	a_{42}	a_{43}	a_{44}	a_{45}	a_{46}	a_{51}	a_{52}	a_{53}	a_{54}	a_{55}	a_{56}	a_{61}	a_{62}	a_{63}	a_{64}	a_{65}	a_{66}	
a_{11}	a_{12}	a_{13}	a_{14}	a_{15}	a_{16}																																
a_{21}	a_{22}	a_{23}	a_{24}	a_{22}	a_{23}																																
a_{31}	a_{32}	a_{33}	a_{34}	a_{35}	a_{36}																																
a_{41}	a_{42}	a_{43}	a_{44}	a_{45}	a_{46}																																
a_{51}	a_{52}	a_{53}	a_{54}	a_{55}	a_{56}																																
a_{61}	a_{62}	a_{63}	a_{64}	a_{65}	a_{66}																																
Irregular distribution																																					

<table><tr><td>b_{33}</td><td>b_{51}</td></tr><tr><td>b_{61}</td><td>b_{62}</td><td>b_{63}</td></tr><tr><td>b_{41}</td><td>b_{42}</td><td>b_{43}</td><td>b_{52}</td><td>b_{53}</td></tr><tr><td>b_{11}</td></tr><tr><td>b_{21}</td><td>b_{22}</td><td>b_{31}</td><td>b_{32}</td></tr><tr><td>b_{12}</td><td>b_{13}</td><td>b_{23}</td></tr></table>	b_{33}	b_{51}	b_{61}	b_{62}	b_{63}	b_{41}	b_{42}	b_{43}	b_{52}	b_{53}	b_{11}	b_{21}	b_{22}	b_{31}	b_{32}	b_{12}	b_{13}	b_{23}	
b_{33}	b_{51}																		
b_{61}	b_{62}	b_{63}																	
b_{41}	b_{42}	b_{43}	b_{52}	b_{53}															
b_{11}																			
b_{21}	b_{22}	b_{31}	b_{32}																
b_{12}	b_{13}	b_{23}																	
Arbitrary distribution																			

Figure 7: Examples of regular and irregular decomposition of a grid **a** that is 6x6, and an arbitrary decomposition of a grid **b** that is 6x3.

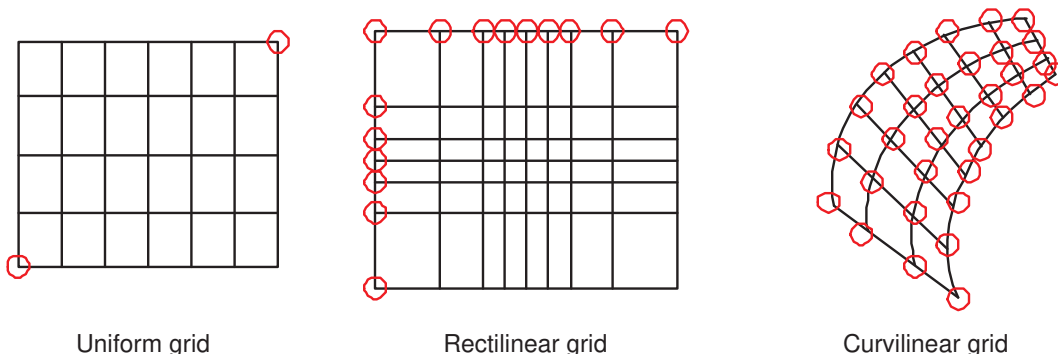


Figure 8: Types of logically rectangular grid tiles. Red circles show the values needed to specify grid coordinates for each type.

Figure 7 illustrates options for distribution.

A distribution can also be specified using the DistGrid, by passing object into a Grid create call.

19.1.5 Grid Coordinates

Grid Tiles can have uniform, rectilinear, or curvilinear coordinates. The coordinates of **uniform** grids are equally spaced along their axes, and can be fully specified by the coordinates of the two opposing points that define the grid's physical span. The coordinates of **rectilinear** grids are unequally spaced along their axes, and can be fully specified by giving the spacing of grid points along each axis. The coordinates of **curvilinear grids** must be specified by giving the explicit set of coordinates for each grid point. Curvilinear grids are often uniform or rectilinear grids that have been warped; for example, to place a pole over a land mass so that it does not affect the computations performed on an ocean model grid. Figure 8 shows examples of each type of grid.

Each of these coordinate types can be set for each of the standard grid shapes described in section 19.1.3.

The table below shows how examples of common single Tile grids fall into this shape and coordinate taxonomy. Note that any of the grids in the table can have a regular or arbitrary distribution.

	Uniform	Rectilinear	Curvilinear
Sphere	Global uniform lat-lon grid	Gaussian grid	Displaced pole grid
Rectangle	Regional uniform lat-lon grid	Gaussian grid section	Polar stereographic grid section

19.1.6 Coordinate Specification and Generation

There are two ways of specifying coordinates in ESMF. The first way is for the user to **set** the coordinates. The second way is to take a shortcut and have the framework **generate** the coordinates.

No ESMF generation routines are currently available.

19.1.7 Staggering

Staggering is a finite difference technique in which the values of different physical quantities are placed at different locations within a grid cell.

The ESMF Grid class supports a variety of stagger locations, including cell centers, corners, and edge centers. The default stagger location in ESMF is the cell center, and cell counts in Grid are based on this assumption. Combinations of the 2D ESMF stagger locations are sufficient to specify any of the Arakawa staggers. ESMF also supports staggering in 3D and higher dimensions. There are shortcuts for standard staggers, and interfaces through which users can create custom staggers.

As a default the ESMF Grid class provides symmetric staggering, so that cell centers are enclosed by cell perimeter (e.g. corner) stagger locations. This means the coordinate arrays for stagger locations other than the center will have an additional element of padding in order to enclose the cell center locations. However, to achieve other types of staggering, the user may alter or eliminate this padding by using the appropriate options when adding coordinates to a Grid.

19.1.8 Masking

Masking is the process whereby parts of a grid can be marked to be ignored during an operation, such as regridding. Masking can be used on a source grid to indicate that certain portions of the grid should not be used to generate regridded data. This is useful, for example, if a portion of the source grid contains unusable values. Masking can also be used on a destination grid to indicate that the portion of the field built on that part of the Grid should not receive regridded data. This is useful, for example, when part of the grid isn't being used (e.g. the land portion of an ocean grid).

ESMF regrid currently supports masking for Fields built on structured Grids and element masking for Fields built on unstructured Meshes. The user may mask out points in the source Field or destination Field or both. To do masking the user sets mask information in the Grid or Mesh upon which the Fields passed into the `ESMF_FieldRegridStore()` call are built. The `srcMaskValues` and `dstMaskValues` arguments to that call can then be used to specify which values in that mask information indicate that a location should be masked out. For example, if `dstMaskValues` is set to `(/1,2/)`, then any location that has a value of 1 or 2 in the mask information of the Grid or Mesh upon which the destination Field is built will be masked out.

Masking behavior differs slightly between regridding methods. For non-conservative regridding methods (e.g. bi-linear or high-order patch), masking is done on points. For these methods, masking a destination point means that that point won't participate in regridding (e.g. won't be interpolated to). For these methods, masking a source point means that the entire source cell using that point is masked out. In other words, if any corner point making up

a source cell is masked then the cell is masked. For conservative regridding methods (e.g. first-order conservative) masking is done on cells. Masking a destination cell means that the cell won't participate in regridding (e.g. won't be interpolated to). Similarly, masking a source cell means that the cell won't participate in regridding (e.g. won't be interpolated from). For any type of interpolation method (conservative or non-conservative) the masking is set on the location upon which the Fields passed into the regridding call are built. For example, if Fields built on `ESMC_STAGGERLOC_CENTER` are passed into the `ESMC_FieldRegridStore()` call then the masking should also be set on `ESMC_STAGGERLOC_CENTER`.

19.2 Constants

19.2.1 ESMC_COORDSYS

DESCRIPTION:

A set of values which indicates in which system the coordinates in the Grid are. This value is useful both to indicate to other users the type of the coordinates, but also to control how the coordinates are interpreted in regridding methods (e.g. `ESMC_FieldRegridStore()`).

The type of this flag is:

```
type(ESMC_CoordSys_Flag)
```

The valid values are:

ESMC_COORDSYS_CART Cartesian coordinate system. In this system, the cartesian coordinates are mapped to the Grid coordinate dimensions in the following order: x,y,z. (E.g. using `coordDim=2` in `ESMC_GridGetCoord()` references the y dimension)

ESMC_COORDSYS_SPH_DEG Spherical coordinates in degrees. In this system, the spherical coordinates are mapped to the Grid coordinate dimensions in the following order: longitude, latitude, radius. (E.g. using `coordDim=2` in `ESMC_GridGetCoord()` references the latitude dimension) Note, however, that `ESMC_FieldRegridStore()` currently just supports longitude and latitude (i.e. with this system, only Grids of dimension 2 are supported in the regridding).

ESMC_COORDSYS_SPH_RAD Spherical coordinates in radians. In this system, the spherical coordinates are mapped to the Grid coordinate dimensions in the following order: longitude, latitude, radius. (E.g. using `coordDim=2` in `ESMC_GridGetCoord()` references the latitude dimension) Note, however, that `ESMC_FieldRegridStore()` currently just supports longitude and latitude (i.e. with this system, only Grids of dimension 2 are supported in the regridding).

19.2.2 ESMC_GRIDITEM

DESCRIPTION:

The ESMC Grid can contain other kinds of data besides coordinates. This data is referred to as Grid "items". Some items may be used by ESMC for calculations involving the Grid. The following are the valid values of `ESMC_GridItem_Flag`.

The type of this flag is:

```
type(ESMC_GridItem_Flag)
```

The valid values are:

Item Label	Type Restriction	Type Default	ESMC Uses	Controls
ESMC_GRIDITEM_MASK	ESMC_TYPEKIND_I4	ESMC_TYPEKIND_I4	YES	Masking in Regrid
ESMC_GRIDITEM_AREA	NONE	ESMC_TYPEKIND_R8	YES	Conservation in Regrid

19.2.3 ESMC_GRIDSTATUS

DESCRIPTION:

The ESMC Grid class can exist in two states. These states are present so that the library code can detect if a Grid has been appropriately setup for the task at hand. The following are the valid values of ESMC_GRIDSTATUS.

The type of this flag is:

```
type (ESMC_GridStatus_Flag)
```

The valid values are:

ESMC_GRIDSTATUS_EMPTY: Status after a Grid has been created with `ESMC_GridEmptyCreate`. A Grid object container is allocated but space for internal objects is not. Topology information and coordinate information is incomplete. This object can be used in `ESMC_GridEmptyComplete()` methods in which additional information is added to the Grid.

ESMC_GRIDSTATUS_COMPLETE: The Grid has a specific topology and distribution, but incomplete coordinate arrays. The Grid can be used as the basis for allocating a Field, and coordinates can be added via `ESMC_GridCoordAdd()` to allow other functionality.

19.2.4 ESMC_POLEKIND

DESCRIPTION:

This type describes the type of connection that occurs at the pole when a Grid is created with `ESMC_GridCreate1PeriodicDim()`.

The type of this flag is:

```
type (ESMC_PoleKind_Flag)
```

The valid values are:

ESMC_POLEKIND_NONE No connection at pole.

ESMC_POLEKIND_MONOPOLE This edge is connected to itself. Given that the edge is n elements long, then element i is connected to element $i+n/2$.

ESMC_POLEKIND_BIPOLE This edge is connected to itself. Given that the edge is n elements long, element i is connected to element $n-i+1$.

19.2.5 ESMC_STAGGERLOC

DESCRIPTION:

In the ESMC Grid class, data can be located at different positions in a Grid cell. When setting or retrieving coordinate data the stagger location is specified to tell the Grid method from where in the cell to get the data. Although the user may define their own custom stagger locations, ESMC provides a set of predefined locations for ease of use. The following are the valid predefined stagger locations.

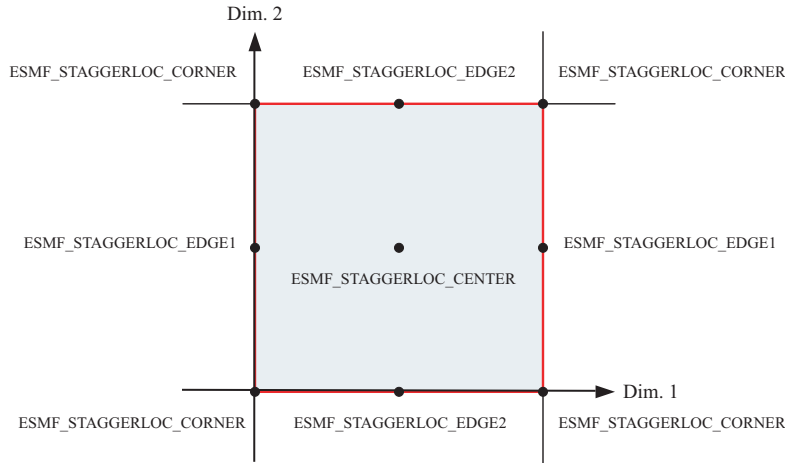


Figure 9: 2D Predefined Stagger Locations

The 2D predefined stagger locations (illustrated in figure 9) are:

ESMC_STAGGERLOC_CENTER: The center of the cell.

ESMC_STAGGERLOC_CORNER: The corners of the cell.

ESMC_STAGGERLOC_EDGE1: The edges offset from the center in the 1st dimension.

ESMC_STAGGERLOC_EDGE2: The edges offset from the center in the 2nd dimension.

The 3D predefined stagger locations (illustrated in figure 10) are:

ESMC_STAGGERLOC_CENTER_VCENTER: The center of the 3D cell.

ESMC_STAGGERLOC_CORNER_VCENTER: Half way up the vertical edges of the cell.

ESMC_STAGGERLOC_EDGE1_VCENTER: The center of the face bounded by edge 1 and the vertical dimension.

ESMC_STAGGERLOC_EDGE2_VCENTER: The center of the face bounded by edge 2 and the vertical dimension.

ESMC_STAGGERLOC_CORNER_VFACE: The corners of the 3D cell.

ESMC_STAGGERLOC_EDGE1_VFACE: The center of the edges of the 3D cell parallel offset from the center in the 1st dimension.

ESMC_STAGGERLOC_EDGE2_VFACE: The center of the edges of the 3D cell parallel offset from the center in the 2nd dimension.

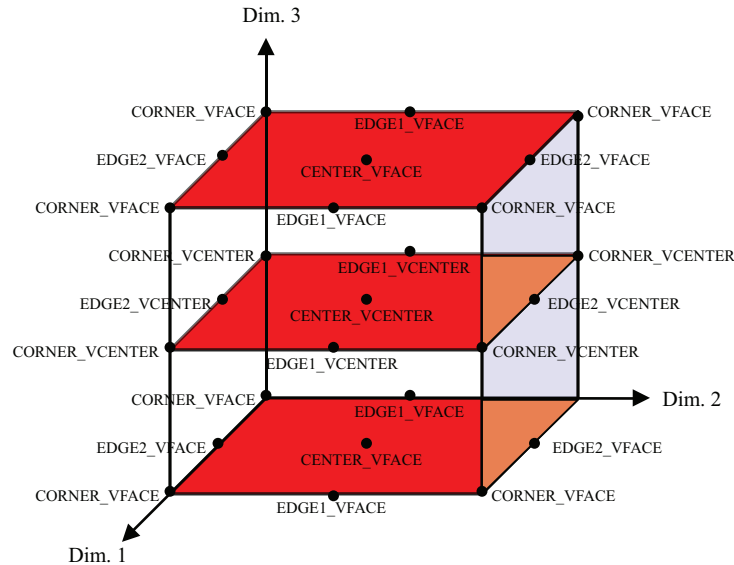


Figure 10: 3D Predefined Stagger Locations

ESMC_STAGGERLOC_CENTER_VFACE: The center of the top and bottom face. The face bounded by the 1st and 2nd dimensions.

19.2.6 ESMC_FILEFORMAT

DESCRIPTION:

This option is used by `ESMC_GridCreateFromFile` to specify the type of the input grid file.

The type of this flag is:

`type (ESMC_FileFormat_Flag)`

The valid values are:

ESMC_FILEFORMAT_SCRIP SCRIP format grid file. The SCRIP format is the format accepted by the SCRIP regridding tool [1]. For Grid creation, files of this type only work when the `grid_rank` in the file is equal to 2.

ESMC_FILEFORMAT_GRIDSPEC a single tile grid file conforming with the proposed CF-GRIDSPEC conventions.

19.3 Restrictions and Future Work

- **Grids with factorized coordinates can only be redisted when they are 2D.** Using the `ESMF_GridCreate()` interface that allows the user to create a copy of an existing Grid with a new distribution will give incorrect

results when used on a Grid with 3 or more dimensions and whose coordinate arrays are less than the full dimension of the Grid (i.e. it contains factorized coordinates).

- **7D limit.** Only grids up to 7D will be supported.
- **Future adaptation.** Currently Grids are created and then remain unchanged. In the future, it would be useful to provide support for the various forms of grid adaptation. This would allow the grids to dynamically change their resolution to more closely match what is needed at a particular time and position during a computation for front tracking or adaptive meshes.
- **Future Grid generation.** This class for now only contains the basic functionality for operating on the grid. In the future methods will be added to enable the automatic generation of various types of grids.

19.4 Design and Implementation Notes

19.4.1 Grid Topology

The `ESMF_Grid` class depends upon the `ESMF_DistGrid` class for the specification of its topology. That is, when creating a Grid, first an `ESMF_DistGrid` is created to describe the appropriate index space topology. This decision was made because it seemed redundant to have a system for doing this in both classes. It also seems most appropriate for the machinery for topology creation to be located at the lowest level possible so that it can be used by other classes (e.g. the `ESMF_Array` class). Because of this, however, the authors recommend that as a natural part of the implementation of subroutines to generate standard grid shapes (e.g. `ESMF_GridGenSphere`) a set of standard topology generation subroutines be implemented (e.g. `ESMF_DistGridGenSphere`) for users who want to create a standard topology, but a custom geometry.

19.5 Class API: General Grid Methods

20 Mesh Class

20.1 Description

Unstructured grids are commonly used in the computational solution of Partial Differential equations. These are especially useful for problems that involve complex geometry, where using the less flexible structured grids can result in grid representation of regions where no computation is needed. Finite element and finite volume methods map naturally to unstructured grids and are used commonly in hydrology, ocean modeling, and many other applications.

In order to provide support for application codes using unstructured grids, the ESMF library provides a class for representing unstructured grids called the **Mesh**. Fields can be created on a Mesh to hold data. In Fortran, Fields created on a Mesh can also be used as either the source or destination or both of an interpolation (i.e. an `ESMF_FieldRegridStore()` call). This capability is currently not supported with the C interface, however, if the C Field is passed via a State to a component written in Fortran then the regridding can be performed there. The rest of this section describes the Mesh class and how to create and use them in ESMF.

20.1.1 Mesh Representation in ESMF

A Mesh in ESMF is described in terms of **nodes** and **elements**. A node is a point in space which represents where the coordinate information in a Mesh is located. An element is a higher dimensional shape constructed of nodes. Elements

give a Mesh its shape and define the relationship of the nodes to one another. Field data may be located on a Mesh's nodes.

20.1.2 Supported Meshes

The range of Meshes supported by ESMF are defined by several factors: dimension, element types, and distribution.

ESMF currently only supports Meshes whose number of coordinate dimensions (spatial dimension) is 2 or 3. The dimension of the elements in a Mesh (parametric dimension) must be less than or equal to the spatial dimension, but also must be either 2 or 3. This means that an ESMF mesh may be either 2D elements in 2D space, 3D elements in 3D space, or a manifold constructed of 2D elements embedded in 3D space.

ESMF currently supports two types of elements for each Mesh parametric dimension. For a parametric dimension of 2 the supported element types are triangles or quadrilaterals. For a parametric dimension of 3 the supported element types are tetrahedrons and hexahedrons. See Section 20.2.1 for diagrams of these. The Mesh supports any combination of element types within a particular dimension, but types from different dimensions may not be mixed, for example, a Mesh cannot be constructed of both quadrilaterals and tetrahedra.

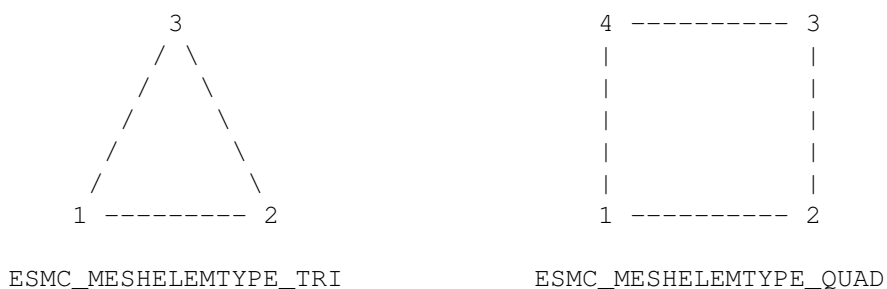
ESMF currently only supports distributions where every node on a PET must be a part of an element on that PET. In other words, there must not be nodes without an element on a PET.

20.2 Constants

20.2.1 ESMC_MESHELEMENTTYPE

DESCRIPTION:

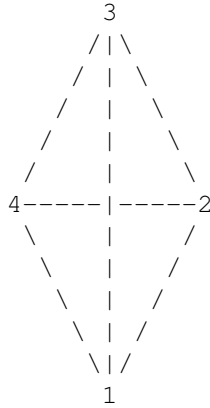
An ESMF Mesh can be constructed from a combination of different elements. The type of elements that can be used in a Mesh depends on the Mesh's parametric dimension, which is set during Mesh creation. The following are the valid Mesh element types for each valid Mesh parametric dimension (2D or 3D) .



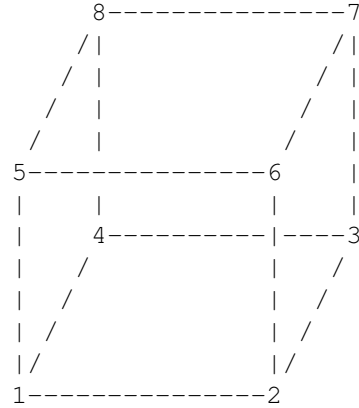
2D element types (numbers are the order for elementConn during Mesh create)

For a Mesh with parametric dimension of 2 the valid element types (illustrated above) are:

Element Type	Number of Nodes	Description
<code>ESMC_MESHELEMENTTYPE_TRI</code>	3	A triangle
<code>ESMC_MESHELEMENTTYPE_QUAD</code>	4	A quadrilateral (e.g. a rectangle)



ESMC_MESHELEMENTTYPE_TETRA



ESMC_MESHELEMENTTYPE_HEX

3D element types (numbers are the order for elementConn during Mesh create)

For a Mesh with parametric dimension of 3 the valid element types (illustrated above) are:

Element Type	Number of Nodes	Description
ESMC_MESHELEMENTTYPE_TETRA	4	A tetrahedron (CAN'T BE USED IN REGRID)
ESMC_MESHELEMENTTYPE_HEX	8	A hexahedron (e.g. a cube)

20.2.2 ESMF_FILEFORMAT

DESCRIPTION:

This option is used by `ESMF_MeshCreate` to specify the type of the input grid file.

The type of this flag is:

`type(ESMF_FileFormat_Flag)`

The valid values are:

ESMF_FILEFORMAT_SCRIP SCRIP format grid file. The SCRIP format is the format accepted by the SCRIP regridding tool [1]. For Mesh creation, files of this type only work when the `grid_rank` in the file is equal to 1.

ESMF_FILEFORMAT_ESMFMESH ESMF unstructured grid file format. This format was developed by the ESMF team to match the capabilities of the Mesh class and to be efficient to convert to that class.

ESMF_FILEFORMAT_UGRID CF-convention unstructured grid file format. This format is a proposed extension to the CF-conventions for unstructured grid data model. Currently, only the 2D flexible mesh topology is supported in ESMF.

20.3 Class API

21 XGrid Class

21.1 Description

An exchange grid represents the 2D boundary layer usually between the atmosphere on one side and ocean and land on the other in an Earth system model. There are dynamical and thermodynamical processes on either side of the boundary layer and on the boundary layer itself. The boundary layer exchanges fluxes from either side and adjusts boundary conditions for the model components involved. For climate modeling, it is critical that the fluxes transferred by the boundary layer are conservative.

The ESMF exchange grid is implemented as the `ESMC_XGrid` class. Internally it's represented by a collection of the intersected cells between atmosphere and ocean/land[2] grids. These polygonal cells can have irregular shapes and can be broken down into triangles facilitating a finite element approach.

Through the C API there is one way to create an `ESMC_XGrid` object from user supplied information. The `ESMC_XGrid` takes two lists of `ESMC_Grid` or `ESMC_Mesh` that represent the model component grids on either side of the exchange grid. From the two lists of `ESMC_Grid` or `ESMC_Mesh`, information required for flux exchange calculation between any pair of the model components from either side of the exchange grid is computed. In addition, the internal representation of the `ESMC_XGrid` is computed and can be optionally stored as an `ESMC_Mesh`. This internal representation is the collection of the intersected polygonal cells as a result of merged `ESMC_Meshes` from both sides of the exchange grid. `ESMC_Field` can be created on the `ESMC_XGrid` and used for weight generation and regridding as the internal representation in the `ESMC_XGrid` has a complete geometrical description of the exchange grid.

Once an `ESMC_XGrid` has been created, information describing it (e.g. cell areas, mesh representation, etc.) can be retrieved from the object using a set of get calls (e.g. `ESMC_XGridGetElementArea()`). The full extent of these can be found in the API section below.

21.2 Restrictions and Future Work

21.2.1 Restrictions and Future Work

1. **CAUTION:** Any Grid or Mesh pair picked from the A side and B side of the XGrid cannot point to the same Grid or Mesh in memory on a local PET. This prevents Regrid from selecting the right source and destination grid automatically to calculate the regridding routehandle. It's okay for the Grid and Mesh to have identical topological and geographical properties as long as they are stored in different memory.

21.3 Design and Implementation Notes

1. The XGrid class is implemented in Fortran, and as such is defined inside the framework by a XGrid derived type and a set of subprograms (functions and subroutines) which operate on that derived type. The XGrid class contains information needed to create Grid, Field, and communication routehandle.
2. XGrid follows the framework-wide convention of the *unison* creation and operation rule: All PETs which are part of the currently executing VM must create the same XGrids at the same point in their execution. In addition to the unison rule, XGrid creation also performs inter-PET communication within the current executing VM.

21.4 Class API

22 DistGrid Class

22.1 Description

The ESMF DistGrid class sits on top of the DELayout class (not currently directly accessible through the ESMF C API) and holds domain information in index space. A DistGrid object captures the index space topology and describes its decomposition in terms of DEs. Combined with DELayout and VM the DistGrid defines the data distribution of a domain decomposition across the computational resources of an ESMF Component.

The global domain is defined as the union of logically rectangular (LR) sub-domains or *tiles*. The DistGrid create methods allow the specification of such a multi-tile global domain and its decomposition into exclusive, DE-local LR regions according to various degrees of user specified constraints. Complex index space topologies can be constructed by specifying connection relationships between tiles during creation.

The DistGrid class holds domain information for all DEs. Each DE is associated with a local LR region. No overlap of the regions is allowed. The DistGrid offers query methods that allow DE-local topology information to be extracted, e.g. for the construction of halos by higher classes.

A DistGrid object only contains decomposable dimensions. The minimum rank for a DistGrid object is 1. A maximum rank does not exist for DistGrid objects, however, ranks greater than 7 may lead to difficulties with respect to the Fortran API of higher classes based on DistGrid. The rank of a DELayout object contained within a DistGrid object must be equal to the DistGrid rank. Higher class objects that use the DistGrid, such as an Array object, may be of different rank than the associated DistGrid object. The higher class object will hold the mapping information between its dimensions and the DistGrid dimensions.

22.2 Class API

23 RouteHandle Class

23.1 Description

The ESMF RouteHandle class provides a unified interface for all route-based communication methods across the Field, FieldBundle, Array, and ArrayBundle classes. All route-based communication methods implement a pre-computation step, returning a RouteHandle, an execution step, and a release step. Typically the pre-computation, or Store() step will be a lot more expensive (both in memory and time) than the execution step. The idea is that once precomputed, a RouteHandle will be executed many times over during a model run, making the execution time a very performance critical piece of code. In ESMF, Regridding, Redisting, and Haloing are implemented as route-based communication methods. The following sections discuss the RouteHandle concepts that apply uniformly to all route-based communication methods, across all of the above mentioned classes.

23.2 Use and Examples

The user interacts with the RouteHandle class through the route-based communication methods of Field, FieldBundle, Array, and ArrayBundle. The usage of these methods are described in detail under their respective class documentation section. The following examples focus on the RouteHandle aspects common across classes and methods.

23.3 Restrictions and Future Work

- **Non-blocking** communication via the `routesyncflag` option is implemented for Fields and Arrays. It is *not* available for FieldBundles and ArrayBundles. The user is advised to use the VMEpoch approach for all cases to achieve asynchronicity.
- The **dynamic masking** feature currently has the following limitations:
 - Only available for `ESMF_TYPEKIND_R8` and `ESMF_TYPEKIND_R4` Fields and Arrays.
 - Only available through the `ESMF_FieldRegrid()` and `ESMF_ArraySMM()` methods.
 - Destination objects that have undistributed dimensions *after* any distributed dimension are not supported.
 - No check is implemented that ensure the user-provided RouteHandle object is suitable for dynamic masking.

23.4 Design and Implementation Notes

Internally all route-based communication calls are implemented as sparse matrix multiplications. The precompute step for all of the supported communication methods can be broken up into three steps:

1. Construction of the sparse matrix for the specific communication method.
2. Generation of the communication pattern according to the sparse matrix.
3. Encoding of the communication pattern for each participating PET in form of an XXE stream.

23.5 Class API

Part V

Infrastructure: Utilities

24 Overview of Infrastructure Utility Classes

The ESMF utilities are a set of tools for quickly assembling modeling applications.

The Time Management Library provides utilities for time and time interval representation, as well as a higher-level utility, a clock, that controls model time stepping.

The ESMF Config class provides configuration management based on NASA DAO's Inpak package, a collection of methods for accessing files containing input parameters stored in an ASCII format.

The ESMF LogErr class consists of a method for writing error, warning, and informational messages to a default Log file that is created during ESMF initialization.

The ESMF VM (Virtual Machine) class provides methods for querying information about a VM. A VM is a generic representation of hardware and system software resources. There is exactly one VM object per ESMF Component, providing the execution environment for the Component code. The VM class handles all resource management tasks for the Component class and provides a description of the underlying configuration of the compute resources used by a Component. In addition to resource description and management, the VM class offers the lowest level of ESMF communication methods.

25 Time Manager Utility

The ESMF Time Manager utility includes software for time and time interval representation, as well as model time advancement. Since multi-component geophysical applications often require synchronization across the time management schemes of the individual components, the Time Manager's standard calendars and consistent time representation promote component interoperability.

Key Features

Drift-free timekeeping through an integer-based internal time representation. Both integers and reals can be specified at the interface.

Support for many calendar kinds.

Support for both concurrent and sequential modes of component execution.

25.1 Time Manager Classes

There are four ESMF classes that represent time concepts:

- **Calendar** A Calendar can be used to keep track of the date as an ESMF Gridded Component advances in time. Standard calendars (such as Gregorian and 360-day) are supported.
- **Time** A Time represents a time instant in a particular calendar, such as November 28, 1964, at 7:00pm EST in the Gregorian calendar. The Time class can be used to represent the start and stop time of a time integration.
- **TimeInterval** TimeIntervals represent a period of time, such as 3 hours. Time steps can be represented using TimeIntervals.
- **Clock** Clocks collect the parameters and methods used for model time advancement into a convenient package. A Clock can be queried for quantities such as current simulation time and time step. Clock methods include incrementing the current time, and printing the its contents.

25.2 Calendar

The set of supported calendars includes:

Gregorian The standard Gregorian calendar.

no-leap The Gregorian calendar with no leap years.

Julian The standard Julian date calendar.

Julian Day The standard Julian days calendar.

Modified Julian Day The Modified Julian days calendar.

360-day A 30-day-per-month, 12-month-per-year calendar.

no calendar Tracks only elapsed model time in hours, minutes, seconds.

See Section 26.1 for more details on supported standard calendars, and how to create a customized ESMF Calendar.

25.3 Time Instants and TimeIntervals

TimeIntervals and Time instants (simply called Times) are the computational building blocks of the Time Manager utility. Times support different queries for values of individual Time components such as year and hour. See Sections 27.1 and 28.1, respectively, for use of Times and TimeIntervals.

25.4 Clocks

It is useful to identify a higher-level concept to repeatedly step a Time forward by a TimeInterval. We refer to this capability as a Clock, and include in its required features the ability to store the start and stop times of a model run, and to query the value of quantities such as the current time and the number of time steps taken. Applications may contain temporary or multiple Clocks. Section 29.1 describes the use of Clocks in detail.

26 Calendar Class

26.1 Description

The Calendar class represents the standard calendars used in geophysical modeling: Gregorian, Julian, Julian Day, Modified Julian Day, no-leap, 360-day, and no-calendar. Brief descriptions are provided for each calendar below.

26.2 Constants

26.2.1 ESMC_CALKIND

DESCRIPTION:

Supported calendar kinds.

The type of this flag is:

```
type(ESMF_CalKind_Flag)
```

The valid values are:

ESMC_CALKIND_360DAY *Valid range: machine limits*

In the 360-day calendar, there are 12 months, each of which has 30 days. Like the no-leap calendar, this is a simple approximation to the Gregorian calendar sometimes used by modelers.

ESMC_CALKIND_GREGORIAN *Valid range: 3/1/4801 BC to 10/29/292,277,019,914*

The Gregorian calendar is the calendar currently in use throughout Western countries. Named after Pope Gregory XIII, it is a minor correction to the older Julian calendar. In the Gregorian calendar every fourth year is a leap year in which February has 29 and not 28 days; however, years divisible by 100 are not leap years unless they are also divisible by 400. As in the Julian calendar, days begin at midnight.

ESMC_CALKIND_JULIAN *Valid range: 3/1/4713 BC to 4/24/292,271,018,333*

The Julian calendar was introduced by Julius Caesar in 46 B.C., and reached its final form in 4 A.D. The Julian calendar differs from the Gregorian only in the determination of leap years, lacking the correction for years divisible by 100 and 400 in the Gregorian calendar. In the Julian calendar, any year is a leap year if divisible by 4. Days are considered to begin at midnight.

ESMC_CALKIND_JULIANDAY *Valid range: +/- 1x10¹⁴*

Julian days simply enumerate the days and fraction of a day which have elapsed since the start of the Julian era, defined as beginning at noon on Monday, 1st January of year 4713 B.C. in the Julian calendar. Julian days, unlike the dates in the Julian and Gregorian calendars, begin at noon.

ESMC_CALKIND_MODJULIANDAY *Valid range: +/- 1x10¹⁴*

The Modified Julian Day (MJD) was introduced by space scientists in the late 1950's. It is defined as an offset from the Julian Day (JD):

$$\text{MJD} = \text{JD} - 2400000.5$$

The half day is subtracted so that the day starts at midnight.

ESMC_CALKIND_NOCALENDAR *Valid range: machine limits*

The no-calendar option simply tracks the elapsed model time in seconds.

ESMC_CALKIND_NOLEAP *Valid range: machine limits*

The no-leap calendar is the Gregorian calendar with no leap years - February is always assumed to have 28 days. Modelers sometimes use this calendar as a simple, close approximation to the Gregorian calendar.

26.3 Class API

27 Time Class

27.1 Description

A Time represents a specific point in time.

There are Time methods defined for setting and getting a Time.

A Time that is specified in hours does not need to be associated with a standard calendar; use ESMC_CALKIND_NOCALENDAR. A Time whose specification includes time units of a year must be associated with a standard calendar. The ESMF representation of a calendar, the Calendar class, is described in Section 26.1. The ESMC_TimeSet method is used to initialize a Time as well as associate it with a Calendar. If a Time method is invoked in which a Calendar is necessary and one has not been set, the ESMF method will return an error condition.

In the ESMF the TimeInterval class is used to represent time periods. This class is frequently used in combination with the Time class. The Clock class, for example, advances model time by incrementing a Time with a TimeInterval.

27.2 Class API

28 TimeInterval Class

28.1 Description

A TimeInterval represents a period between time instants. It can be either positive or negative.

There are TimeInterval methods defined for setting and getting a TimeInterval, for printing the contents of a TimeInterval.

The class used to represent time instants in ESMF is Time, and this class is frequently used in operations along with TimeIntervals. The Clock class, for example, advances model time by incrementing a Time with a TimeInterval.

TimeIntervals are used by other parts of the ESMF timekeeping system, such as Clocks; see Section 29.1.

28.2 Class API

29 Clock Class

29.1 Description

The Clock class advances model time and tracks its associated date on a specified Calendar. It stores start time, stop time, current time, and a time step.

There are methods for setting and getting the Times associated with a Clock. Methods are defined for advancing the Clock's current time and printing a Clock's contents.

29.2 Class API

30 Config Class

30.1 Description

ESMF Configuration Management is based on NASA DAO's Inpak 90 package, a Fortran 90 collection of routines/functions for accessing *Resource Files* in ASCII format. The package is optimized for minimizing formatted I/O, performing all of its string operations in memory using Fortran intrinsic functions.

30.1.1 Package history

The ESMF Configuration Management Package was evolved by Leonid Zaslavsky and Arlindo da Silva from Ipack90 package created by Arlindo da Silva at NASA DAO.

Back in the 70's Eli Isaacson wrote IOPACK in Fortran 66. In June of 1987 Arlindo da Silva wrote Inpak77 using Fortran 77 string functions; Inpak 77 is a vastly simplified IOPACK, but has its own goodies not found in IOPACK. Inpak 90 removes some obsolete functionality in Inpak77, and parses the whole resource file in memory for performance.

30.2 Class API

31 Log Class

31.1 Description

The Log class consists of a variety of methods for writing error, warning, and informational messages to files. A default Log is created at ESMF initialization.

When ESMF is started with `ESMC_Initialize()`, multiple Log files will be created by PET number. The PET number (in the format `PETx.`) will be prepended to each file name where `x` is the PET number. The `ESMC_LogWrite()` call is used to issue messages to the log. As part of the call, a message can be tagged as either an informational, warning, or error message.

The messages may be buffered within ESMF before appearing in the log. All messages will be properly flushed to the log files when `ESMC_Finalize()` is called.

31.2 Constants

31.2.1 ESMC_LOGKIND

DESCRIPTION:

Specifies a single log file, multiple log files (one per PET), or no log files.

The valid values are:

ESMC_LOGKIND_SINGLE Use a single log file, combining messages from all of the PETs. Not supported on some platforms.

ESMC_LOGKIND_MULTI Use multiple log files — one per PET.

ESMC_LOGKIND_NONE Do not issue messages to a log file.

31.2.2 ESMC_LOGMSG

DESCRIPTION:

Specifies a message level.

The valid values are:

ESMC_LOGMSG_INFO Informational messages

ESMC_LOGMSG_WARNING Warning messages

ESMC_LOGMSG_ERROR Error messages

ESMC_LOGMSG_TRACE Trace messages

ESMC_LOGMSG_DEBUG Debug messages

ESMC_LOGMSG_JSON JSON format messages

31.3 Class API

32 VM Class

32.1 Description

The ESMF VM (Virtual Machine) class is a generic representation of hardware and system software resources. There is exactly one VM object per ESMF Component, providing the execution environment for the Component code. The VM class handles all resource management tasks for the Component class and provides a description of the underlying configuration of the compute resources used by a Component.

In addition to resource description and management, the VM class offers the lowest level of ESMF communication methods. The VM communication calls are very similar to MPI. Data references in VM communication calls must be provided as raw, language-specific, one-dimensional, contiguous data arrays. The similarity between VM and

MPI communication calls is striking and there are many equivalent point-to-point and collective communication calls. However, unlike MPI, the VM communication calls support communication between threaded PETs in a completely transparent fashion.

Many ESMF applications do not interact with the VM class directly very much. The resource management aspect is wrapped completely transparent into the ESMF Component concept. Often the only reason that user code queries a Component object for the associated VM object is to inquire about resource information, such as the `localPet` or the `petCount`. Further, for most applications the use of higher level communication APIs, such as provided by Array and Field, are much more convenient than using the low level VM communication calls.

The basic elements of a VM are called PETs, which stands for Persistent Execution Threads. These are equivalent to OS threads with a lifetime of at least that of the associated component. All VM functionality is expressed in terms of PETs. In the simplest, and most common case, a PET is equivalent to an MPI process. However, ESMF also supports multi-threading, where multiple PETs run as Pthreads inside the same virtual address space (VAS).

32.2 Class API

33 Profiling and Tracing

33.1 Description

33.1.1 Profiling

ESMF's built in *profiling* capability collects runtime statistics of an executing ESMF application through both automatic and manual code instrumentation. Timing information for all phases of all ESMF components executing in an application can be automatically collected using the `ESMF_RUNTIME_PROFILE` environment variable (see below for settings). Additionally, arbitrary user-defined code regions can be timed by manually instrumenting code with special API calls. Timing profiles of component phases and user-defined regions can be output in several different formats:

- in text at the end of ESMF Log files
- in separate text file, one per PET (if the ESMF Logs are turned off)
- in a single summary text file that aggregates timings over multiple PETs
- in a binary format for import into the `esmf-profiler` for profile visualization

The following table lists important environment variables that control aspects of ESMF profiling.

Environment Variable	Description	Example Values
<code>ESMF_RUNTIME_PROFILE</code>	Enable/disables all profiling functions	ON or OFF
<code>ESMF_RUNTIME_PROFILE_PETLIST</code>	Limits profiling to an explicit list of PETs	"0-9 50 99"
<code>ESMF_RUNTIME_PROFILE_OUTPUT</code>	Controls output format of profiles; multiple can be specified in a space separated list	TEXT, SUMMARY, BINARY

33.1.2 Tracing

Whereas profiling collects summary information from an application, *tracing* records a more detailed set of events for later analysis. Trace analysis can be used to understand what happened during a program's execution and is often used

for diagnosing problems, debugging, and performance analysis.

ESMF has a built-in tracing capability that records events into special binary log files. Unlike log files written by the `ESMF_Log` class, which are primarily for human consumption (see Section 31.1), the trace output files are recorded in a compact binary representation and are processed by tools to produce various analyses. ESMF event streams are recorded in the Common Trace Format (CTF). CTF traces include one or more event streams, as well as a metadata file describing the events in the streams.

Several tools are available for reading in the CTF traces output by ESMF. Of the tools listed below, the first one is designed specifically for analyzing ESMF applications and the second two are general purpose tools for working with all CTF traces.

- `esmf-profiler` is a tool that ingests traces from an ESMF application and generates performance profile plots.
- `TraceCompass` is a general purpose tool for reading, analyzing, and visualizing traces.
- `Babeltrace` is a command-line tool and library for trace conversion that can read and write CTF traces. Python bindings are available to open CTF traces and iterate through events.

Events that can be captured by the ESMF tracer include the following. Events are recorded with a high-precision timestamp to allow timing analyses.

phase_enter indicates entry into an initialize, run, or finalize ESMF component routine

phase_exit indicates exit from an initialize, run, or finalize ESMF component routine

region_enter indicates entry into a user-defined code region

region_exit indicates exit from a user-defined code region

The following table lists important environment variables that control aspects of ESMF tracing.

Environment Variable	Description	Example Values
<code>ESMF_RUNTIME_TRACE</code>	Enable/disables all tracing functions	ON or OFF
<code>ESMF_RUNTIME_TRACE_CLOCK</code>	Sets the type of clock for timestamping events (see Section ??).	REALTIME or MONOTONIC_SYNC
<code>ESMF_RUNTIME_TRACE_PETLIST</code>	Limits tracing to an explicit list of PETs	“0-9 50 99”
<code>ESMF_RUNTIME_TRACE_COMPONENT</code>	Enables/disable tracing of Component <code>phase_enter</code> and <code>phase_exit</code> events	ON or OFF
<code>ESMF_RUNTIME_TRACE_FLUSH</code>	Controls frequency of event stream flushing to file	DEFAULT or EAGER

33.2 Restrictions and Future Work

1. **Limited types of trace events.** Currently only a few trace event types are available. The tracer may be extended in the future to record additional types of events.
2. **MPI call profiling not available for statically linked executables on Darwin.** Currently the linker on Darwin systems does not support the wrapping of symbols during static linking. In order to access MPI call profiling on Darwin, executables should be linked dynamically in combination with the procedure described in section ??.

33.3 Class API

Part VI

References

References

- [1] SCRIP: A Spherical Coordinate Remapping and Interpolation Package. <http://oceans11.lanl.gov/trac/SCRIP>, last accessed on Dec 4, 2015. Los Alamos Software Release LACC 98-45.
- [2] V. Balaji, Jeff Anderson, Isaac Held, Michael Winton, Jeff Durachta, Sergey Malyshev, and Ronald J. Stouffer. The exchange grid: a mechanism for data exchange between earth system components on independent grids. *Parallel Computational Fluid Dynamics: Theory and Applications, Proceedings of the 2005 International Conference on Parallel Computational Fluid Dynamics*, 2006.
- [3] J. Rumbaugh, I. Jacobson, and G. Booch. *The Unified Modeling Language Reference Manual*. Addison-Wesley, 1999.

Part VII

Appendices

34 Appendix A: Master List of Constants

34.1 ESMC_CALKIND

This flag is documented in section 26.2.1.

34.2 ESMC_COORDSYS

This flag is documented in section 19.2.1.

34.3 ESMC_DECOMP

DESCRIPTION:

Indicates how DistGrid elements are decomposed over DEs.

The type of this flag is:

`type (ESMC_Decomp_Flag)`

The valid values are:

ESMC_DECOMP_BALANCED Decompose elements as balanced as possible across DEs. The maximum difference in number of elements per DE is 1, with the extra elements on the lower DEs.

ESMC_DECOMP_CYCLIC Decompose elements cyclically across DEs.

ESMC_DECOMP_RESTFIRST Divide elements over DEs. Assign the rest of this division to the first DE.

ESMC_DECOMP_RESTLAST Divide elements over DEs. Assign the rest of this division to the last DE.

ESMC_DECOMP_SYMMEDGEMAX Decompose elements across the DEs in a symmetric fashion. Start with the maximum number of elements at the two edge DEs, and assign a decending number of elements to the DEs as the center of the decomposition is approached from both sides.

subsection (ESMC_ExtrapMethod_Flag) DESCRIPTION:

Specify which extrapolation method to use on unmapped destination points after regridding.

The type of this flag is:

`type (ESMC_ExtrapMethod_Flag)`

The valid values are:

ESMC_EXTRAPMETHOD_NONE Indicates that no extrapolation should be done.

ESMC_EXTRAPMETHOD_NEAREST_STOD Inverse distance weighted average. Here the value of a destination point is the weighted average of the closest N source points. The weight is the reciprocal of the distance of the source point from the destination point raised to a power P. All the weights contributing to one destination point are normalized so that they sum to 1.0. The user can choose N and P when using this method, but defaults are also provided.

ESMC_EXTRAPMETHOD_NEAREST_IDAVG Nearest source to destination. Here each destination point is mapped to the closest source point. A given source point may go to multiple destination points, but no destination point will receive input from more than one source point.

34.4 ESMC_FILEFORMAT

This flag is documented in section 19.2.6.

`subsection (ESMC_FileMode_Flag)` **DESCRIPTION:**
Specify which mode to use when writing a weight file.

The type of this flag is:

`type (ESMC_FileMode_Flag)`

The valid values are:

ESMC_FILEMODE_BASIC Indicates that only the factorList and factorIndexList should be written.

ESMC_FILEMODE_WITHAUX Indicates that grid center coordinates should also be written.

34.5 ESMC_GRIDITEM

This flag is documented in section 19.2.2.

34.6 ESMC_GRIDSTATUS

This flag is documented in section 19.2.3.

34.7 ESMC_INDEX

DESCRIPTION:

Indicates whether index is local (per DE) or global (per object).

The type of this flag is:

`type (ESMC_IndexFlag)`

The valid values are:

ESMC_INDEX_DELOCAL Indicates that DE-local index space starts at lower bound 1 for each DE.

ESMC_INDEX_GLOBAL Indicates that global indices are used. This means that DE-local index space starts at the global lower bound for each DE.

ESMC_INDEX_USER Indicates that the DE-local index bounds are explicitly set by the user.

34.8 ESMC_LINETYPE

DESCRIPTION:

This argument allows the user to select the path of the line which connects two points on the surface of a sphere. This in turn controls the path along which distances are calculated and the shape of the edges that make up a cell.

The type of this flag is:

`type (ESMC_LineType_Flag)`

The valid values are:

ESMC_LINETYPE_CART Cartesian line. When this option is specified distances are calculated in a straight line through the 3D Cartesian space in which the sphere is embedded. Cells are approximated by 3D planes bounded by 3D Cartesian lines between their corner vertices. When calculating regrid weights, this line type is currently the default for the following regrid methods: ESMC_REGRIDMETHOD_BILINEAR, ESMC_REGRIDMETHOD_PATCH, ESMC_REGRIDMETHOD_NEAREST_STOD, and ESMC_REGRIDMETHOD_NEAREST_DTOS.

ESMC_LINETYPE_GREAT_CIRCLE Great circle line. When this option is specified distances are calculated along a great circle path (the shortest distance between two points on a sphere surface). Cells are bounded by great circle paths between their corner vertices. When calculating regrid weights, this line type is currently the default for the following regrid method: ESMC_REGRIDMETHOD_CONSERVE.

34.9 ESMC_LOGKIND

This flag is documented in section 31.2.1.

34.10 ESMC_LOGMSG

This flag is documented in section 31.2.2.

34.11 ESMC_MESHELEMENTTYPE

This flag is documented in section 20.2.1.

34.12 ESMF_METHOD

DESCRIPTION:

Specify standard ESMF Component method.

The type of this flag is:

`type (ESMF_Method_Flag)`

The valid values are:

ESMF_METHOD_FINALIZE Finalize method.

ESMF_METHOD_INITIALIZE Initialize method.

ESMF_METHOD_READRESTART ReadRestart method.

ESMF_METHOD_RUN Run method.

ESMF_METHOD_WRITERESTART WriteRestart method.

34.13 ESMC_POLEKIND

This flag is documented in section 19.2.4.

34.14 ESMC_REDUCE

DESCRIPTION:

Indicates reduce operation.

The type of this flag is:

`type(ESMC_Reduce_Flag)`

The valid values are:

ESMC_REDUCE_SUM Use arithmetic sum to add all data elements.

ESMC_REDUCE_MIN Determine the minimum of all data elements.

ESMC_REDUCE_MAX Determine the maximum of all data elements.

34.15 ESMC_REGION

DESCRIPTION:

Specifies various regions in the data layout of an Array or Field object.

The type of this flag is:

`type(ESMC_Region_Flag)`

The valid values are:

ESMC_REGION_TOTAL Total allocated memory.

ESMC_REGION_SELECT Region of operation-specific elements.

ESMC_REGION_EMPTY The empty region contains no elements.

34.16 ESMC_REGRIDMETHOD

This flag is documented in section 16.2.1.

34.17 ESMC_STAGGERLOC

This flag is documented in section 19.2.5.

34.18 ESMC_TYPEKIND

DESCRIPTION:

Named constants used to indicate type and kind combinations supported by the overloaded ESMC interfaces. The corresponding Fortran kind-parameter constants are described in the ESMF_TYPEKIND section of Appendices of the ESMF Fortran reference manual.

The type of these named constants is:

```
type (ESMC_TypeKind_Flag)
```

The named constants are:

ESMC_TYPEKIND_I1 Indicates 1 byte integer.

(Only available if ESMF was built with `ESMF_NO_INTEGER_1_BYTE = FALSE`. This is *not* the default.)

ESMC_TYPEKIND_I2 Indicates 2 byte integer.

(Only available if ESMF was built with `ESMF_NO_INTEGER_2_BYTE = FALSE`. This is *not* the default.)

ESMC_TYPEKIND_I4 Indicates 4 byte integer.

ESMC_TYPEKIND_I8 Indicates 8 byte integer.

ESMC_TYPEKIND_R4 Indicates 4 byte real.

ESMC_TYPEKIND_R8 Indicates 8 byte real.

34.19 ESMC_UNMAPPEDACTION

DESCRIPTION:

Indicates what action to take with respect to unmapped destination points and the entries of the sparse matrix that correspond to these points.

The type of this flag is:

```
type (ESMC_UnmappedAction_Flag)
```

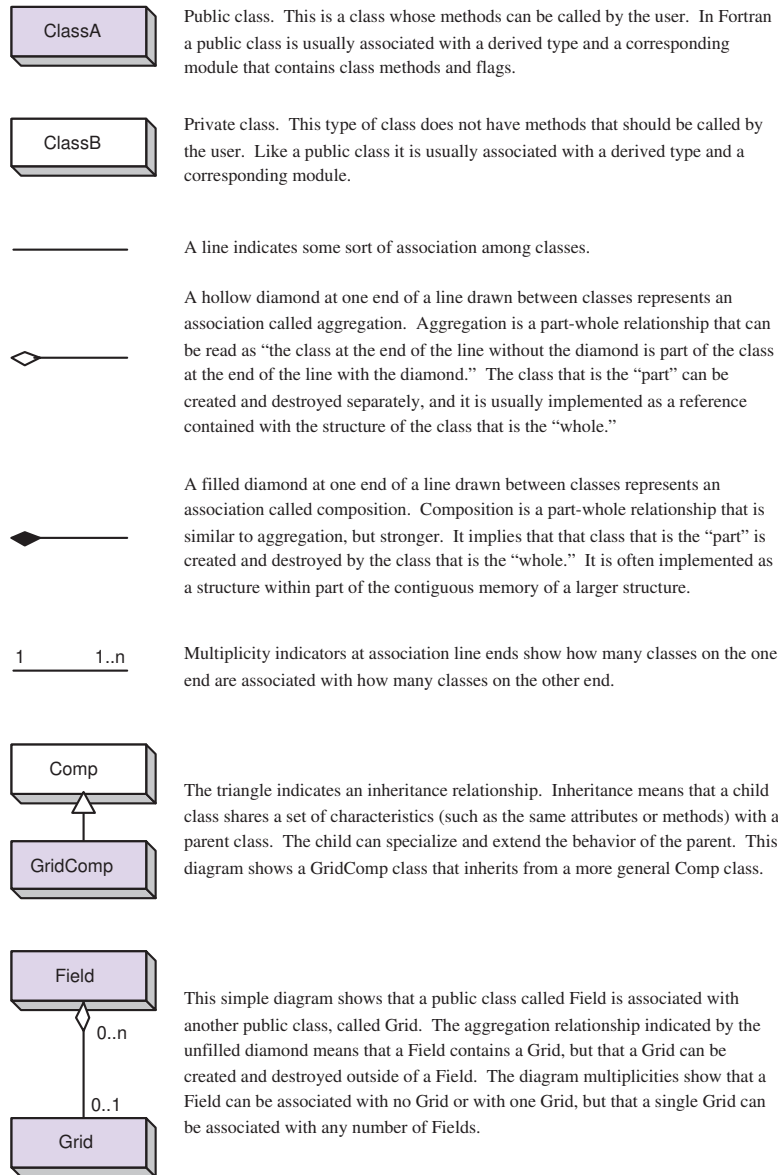
The valid values are:

ESMC_UNMAPPEDACTION_ERROR An error is issued when there exist destination points in a regridding operation that are not mapped by corresponding source points.

ESMC_UNMAPPEDACTION_IGNORE Destination points which do not have corresponding source points are ignored and zeros are used for the entries of the sparse matrix that is generated.

35 Appendix B: A Brief Introduction to UML

The schematic below shows the Unified Modeling Language (UML) notation for the class diagrams presented in this *Reference Manual*. For more on UML, see references such as *The Unified Modeling Language Reference Manual*, Rumbaugh et al, [3].



36 Appendix C: ESMF Error Return Codes

The tables below show the possible error return codes for Fortran and C methods.