

Sec 4.1

#3(g)

sol

8 pts

$$V = \text{span}\{e^x, xe^x, e^{2x}, xe^{2x}\} \quad T(f) = f''$$

So  $\alpha = \{e^x, xe^x, e^{2x}, xe^{2x}\}$  is a basis for  $V$ .

$$T(e^x) = (e^x)'' = e^x$$

$$T(xe^x) = (xe^x)'' = (e^x + xe^x)' = e^x + e^x + xe^x = 2e^x + xe^x$$

$$T(e^{2x}) = (e^{2x})'' = (2e^{2x})' = 4e^{2x}$$

$$T(xe^{2x}) = (xe^{2x})'' = (e^{2x} + 2xe^{2x})' = 2e^{2x} + 2e^{2x} + 4xe^{2x} = 4e^{2x} + 4xe^{2x}$$

$$[T]_{\alpha}^{\alpha} = \begin{bmatrix} [T(e^x)]_{\alpha} & [T(xe^x)]_{\alpha} & [T(e^{2x})]_{\alpha} & [T(xe^{2x})]_{\alpha} \end{bmatrix} = \begin{bmatrix} 1 & 2 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 4 & 4 \\ 0 & 0 & 0 & 4 \end{bmatrix} \quad (1)$$

$$\det([T]_{\alpha}^{\alpha} - \lambda I) = (1-\lambda)^2(4-\lambda)^2 \stackrel{!}{=} 0 \quad \text{Then } \lambda = 1, 4$$

$$\text{When } \lambda = 1, [T]_{\alpha}^{\alpha} - I = \begin{bmatrix} 0 & 2 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 3 & 4 \\ 0 & 0 & 0 & 3 \end{bmatrix} \sim \begin{bmatrix} 0 & 2 & 0 & 0 \\ 0 & 0 & 3 & 4 \\ 0 & 0 & 0 & 3 \\ 0 & 0 & 0 & 0 \end{bmatrix} \quad \begin{array}{l} \text{Let } x_1 = t \\ 3x_4 = 0 \Rightarrow x_4 = 0 \\ 3x_3 + 4x_4 = 0 \Rightarrow x_3 = 0 \\ 2x_2 = 0 \Rightarrow x_2 = 0 \end{array}$$

$$\begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{bmatrix} = \begin{bmatrix} t \\ 0 \\ 0 \\ 0 \end{bmatrix} = t \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix} \quad E_{\lambda=1} = \text{span}\{e^x\} \quad \uparrow \text{a basis}$$

$$\text{When } \lambda = 4, [T]_{\alpha}^{\alpha} - 4I = \begin{bmatrix} -3 & 2 & 0 & 0 \\ 0 & -3 & 0 & 0 \\ 0 & 0 & 0 & 4 \\ 0 & 0 & 0 & 0 \end{bmatrix} \quad \begin{array}{l} \text{Let } x_3 = t \\ 4x_4 = 0 \Rightarrow x_4 = 0 \\ -3x_2 = 0 \Rightarrow x_2 = 0 \\ -3x_1 + 2x_2 = 0 \Rightarrow x_1 = 0 \end{array}$$

$$\begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ t \\ 0 \end{bmatrix} = t \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix} \quad E_{\lambda=4} = \text{span}\{e^{2x}\} \quad \uparrow \text{a basis}$$

#13 (a)  $Ax = \lambda x \quad x \neq 0$ .

$$\text{sol} \quad A^2x = A(Ax) = A(\lambda x) = \lambda Ax = \lambda^2 x$$

$$\text{Suppose } A^k x = \lambda^k x.$$

$$\text{Then } A^{k+1}x = A(A^k x) = A(\lambda^k x) = \lambda^k Ax = \lambda^k(\lambda x) = \lambda^{k+1}x.$$

$\lambda^k$  is an eigenvalue of  $A^k$ ,  $k \geq 1$

$$(b) \quad \text{Let } p(t) = a_0 + a_1 t + a_2 t^2 + \dots + a_n t^n.$$

Suppose  $\lambda$  is an eigenvalue of  $A$ . Then  $Ax = \lambda x$ ,  $x \neq 0$ .

$$p(A) = a_0 I_n + a_1 A + a_2 A^2 + \dots + a_n A^n$$

$$p(A)x = a_0 I_n x + a_1 Ax + a_2 A^2 x + \dots + a_n A^n x$$

$$= a_0 x + a_1(\lambda x) + a_2(\lambda^2 x) + \dots + a_n \lambda^n x \quad \text{by (a)}$$

$$= (a_0 + a_1 \lambda + a_2 \lambda^2 + \dots + a_n \lambda^n) x$$

$$= p(\lambda) x$$

$$\Rightarrow p(\lambda) \text{ is an eigenvalue of } p(A)$$

Sec 4.2 #19

6 pts

501

$V = \text{span}\{\sin x, \cos x, e^x, e^{-x}, 1\}$ . Let  $\alpha = \{\sin x, \cos x, e^x, e^{-x}, 1\}$ , which is a basis for  $V$

$$T(\sin x) = (\sin x)'' - 4(\sin x)' + 3(\sin x) = -\sin x - 4\cos x + 3\sin x = 2\sin x - 4\cos x$$

$$\Rightarrow [T(\sin x)]_\alpha = (2, -4, 0, 0, 0)$$

$$T(\cos x) = (\cos x)'' - 4(\cos x)' + 3(\cos x) = -\cos x + 4\sin x + 3\cos x = 4\sin x + 2\cos x$$

$$\Rightarrow [T(\cos x)]_\alpha = (4, 2, 0, 0, 0)$$

$$T(e^x) = (e^x)'' - 4(e^x)' + 3(e^x) = e^x - 4e^x + 3e^x = 0$$

$$\Rightarrow [T(e^x)]_\alpha = (0, 0, 0, 0, 0)$$

$$T(e^{-x}) = (e^{-x})'' - 4(e^{-x})' + 3(e^{-x}) = e^{-x} + 4e^{-x} + 3e^{-x} = 8e^{-x}$$

$$\Rightarrow [T(e^{-x})]_\alpha = (0, 0, 0, 8, 0)$$

$$T(1) = (1)'' - 4(1)' + 3(1) = 3$$

$$\Rightarrow [T(1)]_\alpha = (0, 0, 0, 0, 3)$$

$$[T]_\alpha^\alpha = \begin{bmatrix} 2 & 4 & 0 & 0 & 0 \\ -4 & 2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 8 & 0 \\ 0 & 0 & 0 & 0 & 3 \end{bmatrix} \quad \det([T]_\alpha^\alpha - \lambda I) = \begin{vmatrix} 2-\lambda & 4 & 0 & 0 & 0 \\ -4 & 2-\lambda & 0 & 0 & 0 \\ 0 & 0 & -\lambda & 0 & 0 \\ 0 & 0 & 0 & 8-\lambda & 0 \\ 0 & 0 & 0 & 0 & 3-\lambda \end{vmatrix}$$

$$= (2-\lambda) \begin{vmatrix} 2-\lambda & 0 & 0 & 0 \\ 0 & -\lambda & 0 & 0 \\ 0 & 0 & 8-\lambda & 0 \\ 0 & 0 & 0 & 3-\lambda \end{vmatrix} + 4 \begin{vmatrix} 4 & 0 & 0 & 0 \\ 0 & -\lambda & 0 & 0 \\ 0 & 0 & 8-\lambda & 0 \\ 0 & 0 & 0 & 3-\lambda \end{vmatrix}$$

upper triangular

upper triangular

$$= (2-\lambda)(2-\lambda)(-\lambda)(8-\lambda)(3-\lambda) + (4)(4)(-\lambda)(8-\lambda)(3-\lambda)$$

$$= (-\lambda)(8-\lambda)(3-\lambda) \left[ \underbrace{(2-\lambda)^2 + 16}_{\text{positive}} \right]$$

Let  $\det([T]_\alpha^\alpha - \lambda I) = 0$ . Then  $\lambda = 0, 8, 3$  with algebraic multiplicity 1.

Since the addition of the algebraic

for each.

multiplicities is 3, which is less than  $\dim(V) = 5$ ,  $T$  is not diagonalizable

Sec 4.2 #2

4 pts

Sol Let  $A = \begin{bmatrix} a & b \\ 0 & a \end{bmatrix}$ .  $A - \lambda I = \begin{bmatrix} a-\lambda & b \\ 0 & a-\lambda \end{bmatrix}$

$A: \mathbb{R}^2 \rightarrow \mathbb{R}^2$   $\det(A - \lambda I) = (a-\lambda)^2 = 0$   $\lambda = a$  and the algebraic multiplicity of  $\lambda = a$  is  $2 = \dim(\mathbb{R}^2)$

$A - \lambda I = \begin{bmatrix} 0 & b \\ 0 & 0 \end{bmatrix}$  1) If  $b=0$ , then  $x_1$  and  $x_2$  are free variables, so  $\dim(E_{\lambda=a}) = 2$  which is the same as the algebraic multiplicity of  $\lambda=a$ . Therefore,  $A$  is diagonalizable

2) If  $b \neq 0$ , then  $x_2 = 0$  and  $x_1$  is a free variable. So  $\dim(E_{\lambda=a}) = 1 <$  the algebraic multiplicity of  $\lambda=a = 2$ .

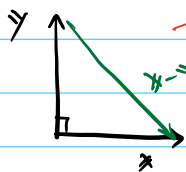
Therefore,  $A$  is not diagonalizable

(2 pts)

Sec 4.3 #4

Suppose  $x$  and  $y$  are orthogonal.

$\|x-y\|^2 = \langle x-y, x-y \rangle = \langle x, x \rangle - \langle x, y \rangle - \langle y, x \rangle + \langle y, y \rangle$   
 $= \|x\|^2 - 2\langle x, y \rangle + \|y\|^2 = \|x\|^2 + \|y\|^2$ , since  $\langle x, y \rangle = 0$ .



Pythagorean theorem

0.5

0.5

0.5

0.5

Extra Question (2 pts)

Sol Suppose  $\{x_1, \dots, x_n\}$  is a set of non-zero orthogonal vectors

Let  $t_1 x_1 + \dots + t_n x_n = 0$ ,  $t_i \in \mathbb{R}$ ,  $1 \leq i \leq n$ .

For any  $i$ ,

$0 = \langle t_1 x_1 + \dots + t_n x_n, x_i \rangle = \sum_{j=1}^n t_j \langle x_j, x_i \rangle$   
 $t_1 x_1 + \dots + t_n x_n = 0$

$= t_i \langle x_i, x_i \rangle$  because

Since  $x_i \neq 0$ ,  $\langle x_i, x_i \rangle > 0$ ,

so  $t_i = 0$ .

$\langle x_j, x_i \rangle = 0$   
 if  $j \neq i$

problem 1 (sol) A basis of  $\text{Span}\{1, \sin x, \cos x\}$  is  $\alpha = \{1, \sin x, \cos x\}$ . (8pts)

tutorial 7 (1)  $\left\{ \begin{aligned} &[T(1)]_{\alpha} = (1, 2, 3), [T(\sin x)]_{\alpha} = (0, 2, 3), \text{ and } [T(\cos x)]_{\alpha} = (0, 0, 2) \\ &\text{So, } [T]_{\alpha}^{\alpha} = \begin{bmatrix} 1 & 0 & 0 \\ 2 & 2 & 0 \\ 3 & 3 & 2 \end{bmatrix} \text{ and } \det([T]_{\alpha}^{\alpha} - \lambda I_3) = (1-\lambda)(2-\lambda)^2 \end{aligned} \right. \quad (1)$

The eigenvalues of  $[T]_{\alpha}^{\alpha}$  are  $\{1, 2\}$ .

When  $\lambda=1$ ,  $[T]_{\alpha}^{\alpha} - I_3 = \begin{bmatrix} 0 & 0 & 0 \\ 2 & 1 & 0 \\ 3 & 3 & 1 \end{bmatrix} \sim \begin{bmatrix} 2 & 1 & 0 \\ 3 & 3 & 1 \\ 0 & 0 & 0 \end{bmatrix} \sim \begin{bmatrix} 1 & 0 & -1/3 \\ 0 & 1 & 2/3 \\ 0 & 0 & 0 \end{bmatrix}$

$\rightarrow x_1 - 1/3 x_3 = 0 \text{ and } x_2 + 2/3 x_3 = 0$

Let  $x_3 = t$ . Then  $x_1 = 1/3 t$  and  $x_2 = -2/3 t$  (1)

(1)  $\left\{ \begin{aligned} &\begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} = \begin{bmatrix} 1/3 t \\ -2/3 t \\ t \end{bmatrix} = \frac{1}{3} t \begin{bmatrix} 1 \\ -2 \\ 3 \end{bmatrix} \quad E_{\lambda=1} = \text{span}\left\{ 1 - 2\sin x + 3\cos x \right\} \\ &\quad \uparrow \text{coordinates of eigenvectors} \end{aligned} \right.$

When  $\lambda=2$ ,  $[T]_{\alpha}^{\alpha} - 2I_3 = \begin{bmatrix} -1 & 0 & 0 \\ 2 & 0 & 0 \\ 3 & 3 & 0 \end{bmatrix} \sim \begin{bmatrix} 1 & 0 & 0 \\ 2 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \sim \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$

$\rightarrow x_1 = 0, x_2 = 0, x_3 = t$

(1)  $\left\{ \begin{aligned} &\begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ t \end{bmatrix} = t \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \quad E_{\lambda=2} = \text{span}\left\{ \cos x \right\} \end{aligned} \right.$  (1)

(a)  $E_{\lambda=1} = \{0\}$  is the set of eigenvectors associated with eigenvalue  $\lambda=1$   
 $E_{\lambda=2} = \{0\}$  is the set of eigenvectors associated with eigenvalue  $\lambda=2$ .

(1) (b) Since  $\dim(E_{\lambda=2}) = 1$ ,  $T$  is not diagonalizable.

Problem 2 (8pts)

(a)  $V^{\perp} = \text{Ker}\left(\begin{bmatrix} 1 & 1 & -1 & 1 \\ 1 & 0 & 0 & -1 \end{bmatrix}\right)$  (1.5)

$\begin{bmatrix} 1 & 1 & -1 & 1 \\ 1 & 0 & 0 & -1 \end{bmatrix} \sim \begin{bmatrix} 1 & 1 & -1 & 1 \\ 0 & 1 & 1 & 2 \end{bmatrix} \Rightarrow \begin{aligned} x_1 + x_2 - x_3 + x_4 &= 0 \\ x_2 - x_3 + 2x_4 &= 0 \end{aligned}$

Let  $x_3 = t$  and  $x_4 = s$ . Then  $x_2 = x_3 - 2x_4 = t - 2s$ .

$x_1 = -x_2 + x_3 - x_4 = -(t - 2s) + t - s = s$

$(x_1, x_2, x_3, x_4) = (s, t - 2s, t, s) = s(1, -2, 0, 1) + t(0, 1, 1, 0)$  (1.5)

$V^{\perp} = \text{span}\left\{ (1, -2, 0, 1), (0, 1, 1, 0) \right\}$

not orthogonal

3 pts

(b) Let  $a_1 = (1, -2, 0, 1)$ ,  $a_2 = (0, 1, 1, 0)$ . -  $\text{proj}_{\{a_1\}}(0, 1, 1, 0)$

→ ①

$$\text{proj}_{\{a_1\}}(0, 1, 1, 0) = \frac{\langle (0, 1, 1, 0), (1, -2, 0, 1) \rangle}{\| (1, -2, 0, 1) \|^2} (1, -2, 0, 1)$$

$$= \frac{-2}{6} (1, -2, 0, 1) = -\frac{1}{3} (1, -2, 0, 1)$$

$$\text{So, } a_2 = (0, 1, 1, 0) + \frac{1}{3} (1, -2, 0, 1) = \frac{1}{3} (1, 1, 3, 1)$$

} ②

$\{(1, -2, 0, 1), (1, 1, 3, 1)\}$  is an orthogonal basis of  $V^\perp$ .

(c)  $\| (1, -2, 0, 1) \| = \sqrt{1+4+1} = \sqrt{6}$ ,  $\| (1, 1, 3, 1) \| = \sqrt{1+1+9+1} = \sqrt{12}$

$\{ \frac{1}{\sqrt{6}} (1, -2, 0, 1), \frac{1}{\sqrt{12}} (1, 1, 3, 1) \}$  is an orthonormal basis of  $V^\perp$ .

→  
2 pts