

Move Assets from My Schwab Brokerage Account

Schwab.com

1-800-435-4000
(inside the U.S.)

+1-415-667-8400
(outside the U.S.)

1-877-686-1937
(multilingual services)

We're here to help.

**Clients of
Investment Advisors:**
Contact your advisor
directly or call
Schwab Alliance at
1-800-515-2157.

Return the completed form by using Secure Messaging on [Schwab.com](https://www.schwab.com) or [SchwabAlliance.com](https://www.schwaballiance.com) or Fax to 888-526-7252.

Please use this form to move (or transfer) cash and/or assets from your Schwab brokerage account to another Schwab brokerage account(s).

Please use a separate form for each originating account. If your request exceeds the lines on page 2, please attach a separate spreadsheet with the same format. Schwab may contact you to verify your request to journal your assets to a third party. (Note: Retirement Accounts will require a distribution form if your tax withholding preference is not listed on your account. Please call the appropriate number listed on this form.)

Move Assets From This Account

After completing this section continue to Section A or B. Use section B to move specific assets.

Name(s) on Your Schwab Account as displayed on Your Statement. *Please print.*

Originating Schwab Account Number **Daytime Contact Telephone Number**

- ☐ **Please close the Originating Account after processing.**
Note: All assets must be disbursed before closing the account.

A

Move Assets to Another Schwab Account

Receiving Schwab Account Number **In the Name of** *Please print.*

- ☐ **Move All Cash and Assets to the Receiving Account.**
- ☐ **Move "Cash Only" to the Receiving Account.**
- ☐ **One-time Cash Request** ☐ **All Available Cash** ☐ **Cash Amount** \$ _____
- ☐ **Recurring Cash Request** *Only select one box in this section.* ☐ **Cash Amount** \$ _____
- ☐ **Monthly** ☐ **Semi-Monthly** ☐ **Quarterly**
- ☐ **Annually** **Beginning Date** *mm/dd/yyyy* _____
- ☐ **Semi-Annually** **1st Date** *mm/dd/yyyy* _____ **2nd Date** *mm/dd/yyyy* _____
- ☐ **Keep these instructions on file for future requests.**
Use these instructions to move cash via phone or [Schwab.com](https://www.schwab.com) / [SchwabAlliance.com](https://www.schwaballiance.com) > Accounts > Transfers and Payments > Online Transfer and assets to the Receiving Account via phone. Please indicate the cost basis method when contacting us to move shares.
- ☐ **Set up these instructions for future requests to move cash and/or assets back-and-forth between the Receiving and Originating Accounts.**
Please indicate the cost basis method when contacting us to move shares. Note: To set up these instructions, you must be an authorized agent on both accounts.

B

Move Assets to One or More Schwab Accounts and Choose a Cost Basis Method

- **When moving non-cash assets, please ensure you understand and specify which cost basis method you would like us to use prior to processing. If an alternative cost basis method or specific lot instructions are not listed in the table on page 2, the shares will be moved using the originating account's cost basis method which can be found on [Schwab.com](https://www.schwab.com) > Service > Account Settings.**
- Schwab's default cost basis method for **stocks, bonds and ETF's** uses identified lots with the FIFO cost basis method described below. If instructions are provided to journal a partial quantity, the remaining shares will be adjusted using the account's default cost basis method.
- Schwab's default cost basis for **mutual funds** is average cost and lots cannot be specified if this method is currently used.
- Moving non-cash assets out of a custodial account is prohibited.

- List each receiving account and each asset to be moved by either the number or percentage of shares or percentage of the account.

Only complete this section if you do not want us to apply the cost basis method on the originating account when moving shares or you want to move specific lot(s).

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Read and Sign Below

I acknowledge and agree that I am instructing Schwab to move (or transfer) cash or securities to an account that may be registered to a different owner. I acknowledge that Schwab will not be responsible for changes in the value of assets that may occur while assets are being transferred. I further understand and acknowledge that: (1) there are or could be tax consequences as a result of the above instructions (2) I have not received tax or legal advice from Schwab (3) I should consult with a tax and/or legal advisor before providing cost basis lot instructions as set out above. By signing below, I acknowledge that I have read and understood the terms of the above instructions and agree that these instructions are binding regardless of any other agreements between me and the recipient(s) of these assets. By signing below, I agree to indemnify and hold harmless Schwab, its affiliates, and their directors, officers, employees, attorneys and agents from any and all claims, actions, costs, and liabilities, including attorney's fees arising out of or relating to: (1) their reliance on this Form, and (2) Schwab's execution of my instructions. If this is a Custodial account and if cash is being transferred to another Schwab account, I acknowledge and agree that the cash will be used or applied solely for the benefit of the minor.

SIGN HERE**Today's Date** mm/dd/yyyy**Print Name**