

The reductive decomposition kinetics and thermodynamics that govern the design of fluorinated alkoxyaluminate/borate salts for Mg-ion and Ca-ion batteries

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Abstract

The rational design of electrolytes has been a long-standing challenge in chemistry and materials science. In this work, we demonstrate a computational rationale for improving the performance of weakly coordinating electrolytes in currently challenging multivalent-ion battery applications, based on enhanced thermodynamic and kinetic stability against reductive decomposition. A series of fluorinated alkoxyborate and alkoxyaluminate salts are systematically examined based on their reduction and oxidation potentials and, motivated by NMR spectroscopy, detailed reductive decomposition pathways involving the breaking of Al/B-O, C-O, or C-F bonds are obtained. Based on the decomposition kinetics, the hexafluoro-*tert*-isopropoxy (hfip) ligand for borates and the trifluoro-*tert*-butoxy (tftb) ligand for aluminates are identified as promising ligands for constructing the salt anions. This borate prediction corroborates previous experimental work on Mg[B(hfip)₄]₂ and Ca[B(hfip)₄]₂, in which excellent electrochemical properties were reported. We find that steric factors govern the B-O bond breaking decomposition kinetics while electronic factors are more important for aluminate salts. There is more charge-transfer character in the aluminate transition states compared with borates for Al/B-O bond breaking decomposition and thus electron-withdrawing ligands tend to stabilize the aluminate transition states. Such molecular-level understandings allow for better design principles for developing new electrolytes with improved stability and performance.

1 Introduction

Electrolyte design is a fundamental challenge in battery electrochemistry. Numerous interactions must be considered to optimize both the transport within the bulk electrolyte as well as interfacial reactivity. In the ideal case, an electrolyte should allow for reversible metal plating and stripping (if in contact with a pure metal electrode) or reversible intercalation and deintercalation (if in contact with an intercalation electrode) and should exhibit a wide electrochemical window. This stability can either be intrinsic or can be enabled by

the formation of an appropriately passivating solid electrolyte interphase (SEI) layer. Most commonly, electrolytes are designed based on trial and error, guided by previous results for analogous systems, however, rational design based on computed electrolyte properties is a desirable alternative to accelerate the advancement of battery technologies. While Li-ion batteries remain dominant in applications ranging from electric vehicles to portable consumer electronics, multivalent batteries, such as Mg- or Ca-ion batteries, are receiving growing attention as next-generation alternatives.¹⁻³ However, to realize the promise of earth-abundant Mg or Ca energy storage, improved understanding of the processes that govern electrolyte stability in these systems is needed.

As multivalent-ion systems tend to form more stable ion pairs in solution than their monovalent alkali metal ion counterparts,^{4,5} metal ion de-solvation and undesirable metal ion-assisted anion decomposition reactions, especially from partially reduced metal ions such as Mg⁺/Ca⁺, present a formidable challenge. Theoretical investigations on magnesium bis(trifluoromethanesulfonyl)imide (Mg(TFSI)₂) indicated that the ion pairs formed between partially reduced Mg⁺ cations and TFSI⁻ facilitate the decomposition of the anion through an energetically favorable C-S bond breaking.⁶ It is also notable that simple salts for Mg-ion batteries, e.g. Mg(TFSI)₂ require a significant concentration of chloride (Cl⁻) to enable plating/stripping at low overpotential.^{7,8} Early investigations found that chloride (Cl⁻) protects the passivation layer by oxidizing impurities like H₂O via the formation of Mg-Cl (ad) and/or MgCl₂.^{8,9} More recently, cooperative anion effects between TFSI⁻ and Cl⁻ have been observed in Mg-, Zn-, and Cu-based systems to enable more reversible metal dissolution and deposition, showcasing the importance of the relative association strength between the constituent anions and the working cations in tailoring the electrochemical performance of electrolytes.¹⁰ In spite of their promise, chloride-based electrolytes are not compatible with high voltage oxide cathode materials and usually suffer from corrosion problems, hindering practical applications of this strategy.

Recently, the fluorinated alkoxyaluminate/borate salts have emerged as a family of promis-

ing electrolyte candidates for Mg- and Ca-ion batteries.^{11–17} Due to the presence of multiple electron-withdrawing fluorinated sites, the resulting anions tend to be weakly coordinating, leading to higher ionic conductivity and perhaps reducing the likelihood of undesirable Mg⁺/Ca⁺-assisted anion decomposition reactions.⁶ Following the initial success of one salt in this family, magnesium bis-tetrakis(hexafluoroisopropoxy)borate ($Mg[B(hfip)_4]_2$),^{13,18,19} it was shown by Li¹⁴ and Shyamsunder¹⁵ that reversible Ca²⁺ deposition/stripping was possible at room temperature through the calcium version of this salt, $Ca[B(hfip)_4]_2$. In fact, based on both experimental and computational solvation structure analysis, the contact ion pair (CIP) population was found to be extremely low for $Ca[B(hfip)_4]_2$ in various ethereal solvents,^{20,21} validating the design principle based on anion association strength.

Though initial electrochemical results show promise of fluorinated alkoxyborate and alkoxyaluminate salts, the structure-property relationships within this family of electrolytes remain unclear. In particular, insufficient attention has been paid to the electrochemical, thermodynamic, and kinetic stability of these salts. Along these lines of research, Jankowski et al.²² reported free energies for decomposition reactions involving the breaking of B-O, C-O, and C-F bonds in a variety of Mg-borate salts. They concluded that the delicate balance between the electron-withdrawing effects and the degree of ligand substitution in $Mg[B(hfip)_4]_2$ renders it the most promising Mg electrolyte. However, the complete decomposition pathways and the associated kinetic barriers were not addressed. Investigations of thermodynamic as well as kinetic stability are critical in order to ensure long cycling lifetimes. Although the CIP population can be constrained to be low by designing weakly-coordinating anions, one can imagine that if there exist (thermodynamically and kinetically) favorable pathways for consuming the CIPs, the bulk equilibrium will restore the CIP population. Recently, we reported a Ca electrolyte, $Ca[TPFA]_2$, that is capable of room temperature plating and stripping, and we found that the computed kinetic barriers for the reductive decompositions of $Mg[TPFA]_2$ and $Ca[TPFA]_2$ correlated well with an overall lower Coulomb efficiency of $Ca[TPFA]_2$ compared to $Mg[TPFA]_2$.¹⁷ This hints at the possibility of discovering even more

stable electrolytes via computational guidance.

In the present combined theoretical-experimental study, we systematically evaluate the redox stabilities for ten fluorinated alkoxyaluminate/borate salts coupled with either Mg²⁺ or Ca²⁺ by examining their redox potentials and possible decomposition pathways through B/Al-O, C-O and C-F bond cleavage. The alkoxy groups (as part of the anions) investigated here include the trifluoro-*tert*-isopropoxy (tfip=−OCHMe(CF₃)), trifluoro-*tert*-butoxy (ftfb=−OCMe₂(CF₃)), hexafluoro-*tert*-isopropoxy (hfip=−OCH(CF₃)₂), hexafluoro-*tert*-butoxy (hftb=−OCMe(CF₃)₂) and perfluoro-*tert*-butoxy (pftb=−OC(CF₃)₃) groups. These abbreviations for these groups will be used throughout the remaining text.

We use kinetic barriers of reductive decomposition reactions as a metric for assessing and predicting electrolyte performance with respect to stability during cycling. Specifically, we argue that a high energy barrier for salt decomposition is desirable. Though it is possible for selective electrolyte degradation to positively contribute to electrode passivation and enable high Coulombic efficiency and capacity retention through SEI formation, very few SEI layers in Mg-ion or Ca-ion batteries have possessed high ionic conductivity, often requiring high overpotentials to plate or strip.^{7,23,24} Mg[B(hfip)₄]₂ is believed to form an SEI layer, however, the functional properties of the film may not be sufficient without the addition of sacrificial additives.²⁵ Here, we suggest possible promising salts for both Mg-ion and Ca-ion batteries based on their computed properties. We further analyze the correlations between the decomposition barriers and the central element of the anions (Al vs B) and the alkoxy functional groups. These findings offer a path forward for deriving useful design principles for developing novel high-performance electrolytes.

2 Results and discussion

2.1 Electrochemical windows

We calculate the theoretical electrochemical windows from the adiabatic ionization potentials (IP) and electron affinities (EA) for the anions, with and without the metal ion present. It has been shown that metal-coordinating anions (CIPs) are more susceptible to reduction than the bare anions, whereas the bare anions are more easily oxidized on the cathode.^{16,26} Illustrative structures of CIPs and bare ions are outlined in Figure 1(a). We show the computed electrochemical windows in Figure 1(b), using the oxidation potentials for bare anions as the upper limit and the reduction potentials for CIPs as the lower limit. The complete electrochemical windows for CIPs and bare anions are given in SI Figure S1 and S2 respectively; we observe, as expected, that the electrochemical windows are consistently shifted upwards when the metal ion (Mg^{2+} or Ca^{2+}) is present.

The oxidation potentials for the bare anions indicate great oxidative stability for all the anions, although there exist some variations across the salts. The reduction potential of the CIP is a measure of how thermodynamically favorable reduction of the metal-coordinating anion is, at a certain potential. From Figure 1(b) we find that all the ion pairs show positive EAs at the Mg/Ca electrode potential, indicating they are all exergonic for single-electron reduction. It is worth noting that different levels of theory (B3LYP-D3(BJ)²⁷⁻²⁹ vs ω B97X-D3)³⁰ results in fairly different absolute numbers, especially for the reduction potentials, which could differ by up to ~ 0.5 eV, but the trend across the different ligands remains. The differences between the two levels of theory in oxidation potentials for the bare anions mostly originate from discrepancies in the optimal geometries for the two levels of theory (details given in the SI Sec. I).

Across the ligands examined, we find that the tftb/hftb ligands have the potential to show relatively higher reductive stability while maintaining the weakly-coordinating behavior. However, redox potentials alone do not determine performance, e.g. $Mg^+[B(HFIP)_4]^-$

shows relatively high reduction potential, yet it exhibits excellent electrochemical behavior experimentally.¹³ High kinetic barriers for the subsequent decomposition reactions (after the initial one-electron reduction) can effectively inhibit detrimental decomposition, as has been hypothesized for the case of the acetonitrile (ACN) solvent in Mg systems.³¹ In the following sections we present a comprehensive investigation of reduction-mediated decomposition pathways, motivated by NMR spectroscopy and elucidated by structure-chemical trends.

2.2 Decomposition of the salts

2.2.1 ^{11}B NMR experiments

To gain insight into the possible decomposition mechanisms of the salts, we conducted ^{11}B NMR experiments on the Mg borate salts in this family (Figure 2). Briefly, cooled solutions of $\text{Mg}(\text{BH}_4)_2$ in dimethoxyethane (DME) were reacted with its respective alcohol ($\text{ROH} = \text{tfip, hfip, tftb, hftb and pftb}$), until no effervescence was observed. The reaction mixtures were heated mildly overnight to ensure complete conversion of borohydride starting material, as evidenced by the absence of doublets (0-20 ppm) in ^{11}B NMR spectra. Tfip is an exception as minor doublet signals were seen due to its low relative acidity. The mixtures were then left at either room temperature or 75 °C for one week and ^{11}B NMR spectra were recorded. For some cases (where $\text{ROH} = \text{tftb, hftb, and pftb}$), two unique peaks were observed, with characteristic signals corresponding to the anionic 4-coordinate B (0-10 ppm) and the neutral 3-coordinate B (15-25 ppm),³²⁻³⁴ respectively.

The presence of both tri-/tetra-coordinate boron species indicates a rearrangement of alkoxide ligand from the boron center to a magnesium cation, when the alkoxide is sterically bulky enough to overcrowd the tetra-coordinate boron center. At elevated temperature, more $\text{B}(\text{OR})_3$ is produced through B-O bond breaking for the tftb, hftb and pftb ligands, accompanied by precipitation of solid byproducts and lowered signal to noise in the spectra. $\text{Mg}[\text{B}(\text{OR})_4]_2$ is stable at 75 °C when $\text{R} = \text{tfip/hfip}$. This result provides experimental evidence for B-O bond breaking decomposition even without reducing conditions, which

motivated us to investigate the Al/B-O bond decomposition further computationally.

2.2.2 Decomposition barriers for the neutral ion pairs

We examine three types of decomposition patterns for the one-electron reduced CIPs, namely from breaking the bond that is closest to the metal ion in each of the following bond categories: (1) Al/B-O, (2) C-O, and (3) C-F. The Al/B-O bond breaking decomposition is discussed in Sec 2.2.1, the C-O bond breaking decomposition was proposed in ref.16 and the C-F bond breaking decomposition is considered because MgF₂ and CaF₂ are observed in the SEI with this family of electrolytes.¹⁵ Figure 3 shows exemplary free energy diagrams for the Al/B-O bond breaking decomposition, i.e. for Ca⁺[Al(tftb)₄]⁻ and Ca⁺[B(tftb)₄]⁻. Figure 4 shows free energy diagrams for examples of the C-O and C-F bond breaking decompositions, i.e. for Ca⁺[Al(tftb)₄]⁻. A complete set of energy diagrams for other salt variations are given in the SI, for all combinations of M⁺[X(Y)₄]⁻ (M=Mg/Ca, X=Al/B, Y=tfib,tftb,hfib,hftb,pftb); a simplified version (showing energy levels only) of those energy diagrams for Al/B-O bond breakage is shown in Figure 5(a). We note that although all the ligand variations exhibit qualitatively similar Al/B-O breaking decomposition pathways for aluminates and borates, they can differ in the number of reaction steps and intermediates; in such cases, in Figure 5(a), we have aligned the horizontal positions of the energy levels for analogous structures across the series (e.g. where the degree of the Al-O/B-O bond dissociation matches, etc.). The rate-limiting step of a decomposition pathway is determined by the energy difference between the starting material (or the lowest-energy intermediate, if lower in energy than the starting material) and the highest-energy TS. The rate-limiting decomposition barriers for all considered bond breakages and ligand variations are given in Figure 5(b). For the C-O bond breaking paths, the rate-limiting barrier is shown in Figure 5(b) only when the barrier is less than 1.0 eV (see the discussions later in this section).

To identify the origin of the differences in the energy profiles, we analyze the Al/B-O bond breaking pathways from a structural perspective. In the case of the aluminates,

taking $\text{Ca}^+[\text{Al}(\text{tftb})_4]^-$ as an example (Figure 3(a)), the initial bi-dentate (Al coordinating to two oxygens in the anion) rearranges over a low barrier (0.15 eV) to a tri-dentate CIP (Struct 2), followed by a rotation of the axial Al-O bond (Struct 2 → TS 2 → Struct 3). Subsequently the Al-O bond opposite (180°) to the aforementioned rotated Al-O bond breaks to create the decomposed product, $\text{Ca}^{2+}(\text{tftb})^-[\text{Al}(\text{tftb})_3]^-$ (Struct 3 → TS 3 → Struct 4). The initial rotation of the Al-O bond exhibits low barriers for all the ligand variations (< 0.3 eV), consistent with the longer Al-O bonds (~ 1.8 Å) as compared with the B-O bonds (~ 1.5 Å) which allows the aluminates to deviate more easily from the tetrahedral geometry, creating space for an extra electron. For borates, on the contrary, we see a more straightforward dissociation of one B-O bond, although in some cases, such as for $\text{Ca}^+[\text{B}(\text{tftb})_4]^-$, minor geometrical-arrangement steps may precede the rate-limiting TS (TS 3) (Figure 3(b)). Unique among the borate salts are the complexes with the pftb ligand, where the B-O bond is already significantly dissociated at the intermediate geometries: see Struct 2 in Figure S17 for $\text{Mg}^+[\text{B}(\text{pftb})_4]^-$ where the B-O bond is 3.52 Å and Struct 2 in Figure S22 for $\text{Ca}^+[\text{B}(\text{pftb})_4]^-$ where the dissociated B-O bond is 4.05 Å. These dissociation lengths are reached before the principle TS, i.e. the one that is qualitatively conserved across all ligands and labeled as “TS” in Figure 5(a). Thus we consider the TS before those intermediates (TS 1 in Figures S17 and S22) the rate-limiting TS with very low barriers of 0.03 eV and 0.07 eV, respectively.

Comparing the full energy diagrams across the ligand variations shown in Figure 5(a) and the rate-limiting barriers shown in Figure 5(b), we note that the tftb ligand exhibits the highest barrier for decomposition across aluminate salts, whereas the hfip ligand exhibits the highest barrier across borate salts, regardless of whether Mg^+ or Ca^+ is the counter ion. Experimentally, there has been remarkable success with the $[\text{B}(\text{hfip})_4]^-$ anion, in both Mg^{13} and $\text{Ca}^{14,15}$ systems, consistent with the high decomposition barriers observed in our calculations. Specifically, the $\text{Mg}[\text{B}(\text{hfip})_4]_2$ salt has shown exceptional electrochemical performance in terms of oxidative stability (~ 4 V vs Mg), ionic conductivity, and coulombic efficiency.¹³

The $\text{Ca}[\text{B}(\text{hfip})_4]_2$ salt also supports reversible Ca^{2+} deposition/stripping, although the accumulation of CaF_2 on the Ca surface indicates reductive salt instability.¹⁵ For aluminates, there are some experimental investigations on the Mg salts while the Ca version of the salts are less reported on. $\text{Mg}[\text{Al}(\text{hfip})_4]_2$ demonstrated excellent coulombic efficiency,^{11,12} and $\text{Mg}[\text{Al}(\text{pftb})_4]_2$ showed oxidative and reductive stability;¹⁶ they are predicted from our calculations to possess decomposition barriers of 1.31 eV and 0.89 eV, respectively. As the Ca salts (ion pairs) trend towards lower barriers than their Mg counterparts, we predict their performance will be the most affected by the specific ligand choice. For instance, our calculations indicate that the tftb ligand needs to overcome a 0.99 eV barrier to decompose whereas the pftb ligand only needs to overcome a 0.43 eV barrier; the difference in these numbers implies a significant difference in rate constants for decomposition at room temperature. We note that the barriers may not necessarily correspond quantitatively with the experimental rate constants, as DFT limitations and effects such as explicit solvation and solvent rearrangement are unaccounted for here, however, the predicted qualitative trend can be employed to guide the comparison between the ligand variations.

Examining the C-F bond breaking decomposition pathways, all variations of salts exhibit a straightforward one-step path for Ca^+ to abstract a fluorine atom from the $-\text{CF}_3$ group in the nearby alkoxy group. In Figure 4(a), we show one example of such a path, i.e. for $\text{Ca}^+[\text{Al}(\text{htfb})_4]^-$, which showcases a low barrier of 0.32 eV. As shown in Figure 5(b) (and the energy diagrams in SI Sec. IV), such fluorine-abtracting decomposition pathways are highly exergonic ($\Delta G \sim -2.0$ eV) with low barriers for all salt variations (the largest barrier is 0.66 eV for $\text{Mg}^+[\text{Al}(\text{tfip})_4]^-$). Our calculations also indicate that the Ca salt barriers generally trend lower than their Mg counterparts, consistent with experimental observations that increased CaF_2 formation on the anode surface, as compared to MgF_2 .¹⁵ Although low barriers and large negative reaction ΔG s are observed here, we note that the first coordination sphere of the metal ion is saturated with solvents, e.g. Mg^{2+} is sixfold coordinated by oxygen atoms from both solvent molecules and the salt anions for $\text{Mg}(\text{TFSI})_2$.

in diglyme,³⁵ and this aspect is missed in our calculations. We also speculate that mitigating reactions such as hydrogen abstraction may provide avenues to restore the salt efficacy in this case, in contrast to e.g. the more detrimental Al/B-O bond breaking reactions which will likely cause an irreversible salt breakdown.

Finally, a single-step C-O bond breaking decomposition pathway was identified for some salt variations, mainly Ca salts with the hftb or pftb ligands ($\text{Ca}^+[\text{Al(hftb)}_4]^-$, $\text{Ca}^+[\text{Al(pftb)}_4]^-$, $\text{Ca}^+[\text{B(hftb)}_4]^-$, $\text{Ca}^+[\text{B(pftb)}_4]^-$ and $\text{Mg}^+[\text{B(hftb)}_4]^-$, see bars labeled with blue stars in Figure 5(b) and the complete energy diagrams are given in SI Sec. III). For borates, a B-O bond would break simultaneously with the C-O bond breaking, consistent with observations by Jankowski et al.²² For single TS reactions, the barriers for C-O bond breaking decomposition are comparable in magnitude (usually very low) to that for Al/B-O bond decomposition. Hence, C-O bond mediated decomposition is expected to manifest for these salts. For the other salts, a multistep path involving the product from C-F bond breakage was identified, possessing a high rate-limiting barrier due to a stable intermediate state (see SI Sec. III). Nevertheless, we have included the rate-limiting barriers in Figure 5(b) if they are less than 1.0 eV.

Considering the kinetic barriers for all types of decomposition pathways, we identified the tftb ligand as the most promising ligand for aluminate salts because it exhibits the highest barrier for decomposition under reductive conditions, whereas the hfip ligand shows the most promise in the borate salt series, for both Mg- and Ca- systems.

2.3 DFT investigation of the difference in decomposition kinetics between aluminates and borates

DFT-based analysis was undertaken to elucidate the apparent differences in the kinetic barriers across the ligand variations for the borate/aluminate salts, i.e. the high barrier of the tftb (hfip) ligand for aluminates (borates), respectively.

We divided the ion pair complexes into different structural components to qualitatively

isolate the substructure that is responsible for the barrier variations. In the current investigation, we performed two kinds of division, as shown in Figure 7(a). The aluminum ion pair complexes were divided into the M-OR ($M=Ca/Mg$) and the $Al(OR)_3$ substructures (Figure 7(a): left); the boron ion pair complexes were divided into the core (B_2O_3 and Ca/Mg) and the ligands (Figure 7(a): right). In the following section, for simplicity, we focus on the Ca salts with the following three ligands (tftb, hfip, hftb) for investigation of the barrier differences. We consider these three examples to be representative, as they occur in the middle of the electronegativity/bulkiness range of the five ligands. We note the conclusions obtained here can be extended to the tfip and pftb ligands as well as the Mg version of these salts.

We analyzed the charge distribution across these complexes for both the starting material (SM) and the TS geometries, based on the natural atomic charges obtained from the Natural Bond Orbital (NBO) analysis.³⁶ In Figure 7(b) we plot the change in natural charge for the Ca atom (on the left) and the change in the sum of the natural charges on the CaOR fragment (on the right) for the SM, TS, and product geometries. Note that the set of aluminate rate-limiting TSs, i.e. one for each ligand variant, comprises two distinct structure types, corresponding to “TS1” and “TS2” on the energy diagram shown in Figure 5(a). However, there is only one rate-limiting TS structure type for the borates (plotted as “TS” in Figure 5(a) or “TS2” on Figure 7(b)). As the reaction proceeds, the Ca oxidation state changes from Ca^+ to Ca^{2+} , which is expected and aligns well with previous studies.⁶ The Ca natural charges for the borates at the TSs are significantly smaller than those for the aluminates (both TS1 and TS2), indicating that the aluminate TSs show more charge-separated character. This is also reflected in the sum of natural charges on the CaOR fragments: the aluminate TSs are close to $[CaOR]^+[Al(OR)_3]^-$ in character while the CaOR components in borate TSs are approximately neutral. The amount of charge transfer can also be deduced from plotting the iso-surface of the spin density, which is more well-defined than the atomic partial charges as there are numerous possible definitions of the latter. The spin density plots are shown in SI Figures S52-S57. To further elucidate why the aluminate TS exhibits an enhanced charge

transfer character, we calculated the EAs for $\text{Al}(\text{OR})_3$ and $\text{B}(\text{OR})_3$. In SI Sec. VII we show three types of such values, namely the adiabatic electron affinity (EA), vertical attachment energy (VAE), and vertical detachment energy (VDE), the latter two representing the vertical electron affinities at the optimized neutral and anion geometries respectively.³⁷ An apparent distinction between $\text{B}(\text{OR})_3$ and $\text{Al}(\text{OR})_3$ (see Figure S51) is that all the $\text{B}(\text{OR})_3$ compounds show negative adiabatic EAs whereas the $\text{Al}(\text{OR})_3$ EAs are positive, indicating the $\text{B}(\text{OR})_3$ compounds are less amenable to reduction. Even in the case of the optimal anion geometries (bent), the VDEs for $\text{B}(\text{OR})_3$ present more than 1 eV lower than their $\text{Al}(\text{OR})_3$ counterparts. Even though full charge transfer must be reached in the end product, the borate salts evolve through neutral $\text{B}(\text{OR})_3$, which lowers the energy. This phenomenon can be indeed observed from the energy diagrams: e.g. in Figure 3(b) the BO_3 moieties are close to planar (as is optimal for isolated neutral $\text{B}(\text{OR})_3$) for all TS geometries whereas for aluminates the AlO_3 moieties are easily bent (i.e. tetrahedral-like, which is optimal for isolated anionic $\text{Al}(\text{OR})_3$) from early on (Figure 3(a)).

The joint analysis of the bonding structure of the SMs (the CIPs) by the quantum theory of atoms in molecules (QTAIM³⁸) and the more qualitative noncovalent interaction (NCI) plot^{39,40} method reveals the covalent and non-covalent nature of the Al-O and B-O bonds. Table 1 lists the information extracted from the QTAIM study, i.e., electron-density values ρ and values of the laplacian of the density $\nabla^2\rho$ at bond critical points (BCPs, i.e. (3,-1)-type points),³⁸ and from the analysis of the corresponding Bader atomic charges q and Mayer bond orders m . The electron density at the BCP indicates the amount of shared density between the bonded atoms and the Laplacian of the electron density depicts the extent of charge concentration or depletion.^{38,41} The fact that the ρ values at the critical points are of the magnitude of 7×10^{-2} (for aluminates) and 1×10^{-1} (for borates) and that the $\nabla^2\rho$ values are larger than zero indicate the Al-O and B-O bonds in the starting tetrahedral ion pairs are both in the ionic regime,⁴² with B-O being more covalent in character.

To further visualize the types of interactions involved in the Al-O or B-O bonding, we

employed the NCIplot method which utilizes the reduced density gradient as an indicator of non-covalent interactions, as characterized by large density gradients in the regions where the density itself is small. The type of NCI can be further classified by the sign of the second eigenvalue λ_2 of the Hessian of ρ , i.e. stabilizing and bonding-like interactions are present when $\lambda_2 < 0$, destabilizing or repulsive interactions when $\lambda_2 > 0$, and finally dispersion interactions when λ_2 is close to 0. These regions can be conveniently visualized from the blue, red, and green colors, respectively, on an NCI plot. Figure 6 shows NCI plots for the $\text{Ca}^+[\text{Al(hfip)}_4]^-$ and $\text{Ca}^+[\text{B(hfip)}_4]^-$ complexes, where in the case of $\text{Ca}^+[\text{Al(tftb)}_4]^-$ an attractive NCI isosurface is clearly present in between the Al-O bonds, whereas the NCI isosurface of $\text{Ca}^+[\text{B(tftb)}_4]^-$ is repulsive, corroborating the more ionic nature of the Al-O bond vs B-O bond. The blue attractive region is enlarged in the TS2 for $\text{Ca}^+[\text{Al(tftb)}_4]^-$ compared with the SM, mostly likely due to the increased favorable electrostatic interactions from the charge separation as discussed earlier.

We reiterate that even at TS1, the amount of charge transfer in the aluminates is significantly larger than for the borates, as reflected in both the Ca natural charges and the spin density plots shown in SI Figures S52-S57. The results indicate that almost an entire electron is transferred from Ca^+ to the Al(OR)_3 component at the stage of the TS (regardless of whether it is TS1 or TS2). To estimate the electronic contribution of the CaOR and Al(OR)_3 fragments to the energy barrier of the reaction, we perform single point calculations for each fragment at the geometry it has within the full-complex for SM, TS1 and TS2, at the B3LYP-D3(BJ)²⁷⁻²⁹/def2-TZVPPD^{43,44}/CPCM⁴⁵ level of theory. Different total charges were imposed for the fragments in the SM and TS to account for the charge separation, e.g. for the CaOR fragment, a total charge of 0 was used for the SM configuration whereas a total charge of +1 was used for the TS configuration. The fragment-only barrier is then the difference between the fragment energy in its TS configuration and the fragment energy in its SM configuration (Eqn (1) and (2)). We also computed the binding energies of these fragments in the SM and TS geometries. For example, the SM binding energy is the dif-

ference between the full-complex energy and the sum of the fragment energies in their SM configurations. The difference between the binding energies for the TS and SM geometries is a binding energy barrier (Eqn (3)).

$$\Delta E \ddagger (\text{CaOR}) = E([\text{CaOR}]^+ \text{ at TS geom}) - E([\text{CaOR}]^0 \text{ at SM geom}) \quad (1)$$

$$\Delta E \ddagger (\text{Al(OR)}_3) = E([\text{Al(OR)}_3]^- \text{ at TS geom}) - E([\text{Al(OR)}_3]^0 \text{ at SM geom}) \quad (2)$$

$$\begin{aligned} \Delta E \ddagger (\text{binding}) = & (E(\text{TS}) - E([\text{CaOR}]^+ \text{ at TS geom}) - E([\text{Al(OR)}_3]^- \text{ at TS geom})) \\ & - (E(\text{SM}) - E([\text{CaOR}]^0 \text{ at SM geom}) - E([\text{Al(OR)}_3]^0 \text{ at SM geom})) \end{aligned} \quad (3)$$

We plot these energies, as well as the fragment-only barriers in the first two diagrams in Figure 7(c). Since the rate-limiting TS is different for $\text{Ca}^+[\text{Al(tftb)}_4]^-$, $\text{Ca}^+[\text{Al(hfip)}_4]^-$ and $\text{Ca}^+[\text{Al(hftb)}_4]^-$, it is important to consider both TS1 and TS2 in the analysis. We observe from Figure 7(c) that the total electronic energy barrier calculated from both TS1 and TS2 is distinctly higher for $\text{Ca}^+[\text{Al(tftb)}_4]^-$ than that for $\text{Ca}^+[\text{Al(hfip)}_4]^-$ and $\text{Ca}^+[\text{Al(hftb)}_4]^-$. The same trend is found for the fragment-only electronic energy barriers for the $[\text{Al(OR)}_3]^0(\text{SM geom.}) \rightarrow [\text{Al(OR)}_3]^-$ (TS geom.) transition (the green bars in Figure 7(c)(1) and (2)), while the corresponding data for the CaOR fragment is roughly constant for the ligand series. Hence, we suggest that the Al(OR)_3 fragment effectively determines the barriers and that there exists a significant ligand effect for stabilizing the $[\text{Al(OR)}_3]^-$ fragment. The more electron-withdrawing ligands (hfip and hftb) exhibit lower barriers, consistent with the expected anion-stabilizing effects of such groups.

We performed analogous fragment-based calculations on the three borates (see Figure S48), however in this case the fragments of the SM and TS configurations were assigned neutral charges to reflect the low amount of charge separation in the full-complex TSs. Similar to the aluminate case, the B(OR)_3 fragment-only barriers roughly correlate with

the overall barriers, but not with the electron-withdrawing nature of the ligands. This is unsurprising given that the fragments in the calculations are neutral.

Therefore, the charge transfer analysis does not explain the high barrier of the hfip anion within the borate family. To move forward, we note that the hfip ligand possesses a single H atom in the alkoxy group, while the other two borate anions have a methyl group there, which increases the steric hindrance. To quantify the inter-ligand steric repulsions, we divided the SM and TS structures into the core and ligand substructures (Figure 7(a)) and analyzed the electronic barrier for each component, similar to the above procedure for the CaOR and Al(OR)₃ fragments. Each broken covalent bond was compensated with H atoms, whose positions were optimized while holding the other atoms fixed.

We find that the resulting ligand barriers correlate well with the actual barriers (green bars in Figure 7(c)(3)), and the ligand barrier differences between $\text{Ca}^+[\text{B}(\text{hfip})_4]^-$ and the other two salts explain $\sim 50\%$ of the actual barrier differences. Furthermore, importantly, we observe that the barrier of the ligand-only subsystem of $\text{Ca}^+[\text{B}(\text{hfip})_4]^-$ is significantly different from those for $\text{Ca}^+[\text{B}(\text{tftb})_4]^-$ and $\text{Ca}^+[\text{B}(\text{hftb})_4]^-$, indicating a distinction in the inter-ligand interactions in the hfip complex. We used the second-generation absolutely localized molecular orbital energy decomposition analysis (ALMO-EDA2)^{46–48} technique to further analyze the various energy components of the interactions between the four bare ligands. The EDA analysis divides the fragment interaction energy into frozen, polarization, and charge transfer contributions. The frozen part can be further divided into several other physically relevant terms, i.e. electrostatics, Pauli repulsion, and dispersion, either through the “classical” or “orthogonal” approaches,⁴⁷ where the Pauli repulsion is the term that corresponds most naturally to the concept of steric repulsion. Indeed, we find among the EDA terms, the Pauli repulsion term correlates well with the decomposition barrier for borates: for $\text{Ca}^+[\text{B}(\text{tftb})_4]^-$ and $\text{Ca}^+[\text{B}(\text{hftb})_4]^-$ there was an apparent decrease in the Pauli repulsion term going from the SM to TS (-1.44 eV with the classical approach or -0.90 eV with the orthogonal approach for tftb, -1.41 or -0.81 eV for hftb) whereas $\text{Ca}^+[\text{B}(\text{hfip})_4]^-$

showed a slight increase (0.35 or 0.1 eV). These results support the hypothesis that more steric repulsion exists for the SMs of $\text{Ca}^+[\text{B}(\text{tftb})_4]^-$ and $\text{Ca}^+[\text{B}(\text{hftb})_4]^-$, which resolves once one of the ligands dissociates from the tetrahedral geometry and releases the steric strain. We note that the analogous EDA analysis performed on the aluminates did not show any meaningful correlation with the barriers, as the magnitude of all the EDA components is small (detailed numbers from the EDA analysis are given in the SI Sec. VI).

To summarize our findings, Figure 8 illustrates the origin of the kinetic behaviors of the borate and aluminate salt decomposition pathways through Al-O/B-O bond cleavage. For borate salts, since the B-O bonds are short ($\sim 1.5 \text{ \AA}$), steric hindrance is significant for bulky ligands confined in the tetrahedral geometry. Comparing the tftb, hfip, and hftb ligands, the hfip ligand is the least bulky, thus the starting material is less sterically hindered and the highest barrier for breaking the B-O bond is observed. In the case of aluminates where Al-O bonds are longer ($\sim 1.8 \text{ \AA}$), steric factors are less important and the electronic effects play an essential role in determining the barrier. This is due to the highly charge-transferred nature of the TS (compared to that of the borates), which is stabilized more by functional groups that are more electron-withdrawing. The tftb ligand is the least electron-withdrawing among the three ligands, and therefore the highest barrier is observed.

3 Conclusion

Motivated by the recent interest in fluorinated alkoxyaluminate/borate electrolytes for multi-valent energy storage applications, we performed NMR spectroscopy and an extensive computational investigation of electrochemical windows and the reductive decomposition pathways through various bond breaking patterns for a series of fluorinated alkoxyaluminate/borate salts. Kinetic barriers are obtained, for the first time, for the decomposition reactions which rationalize the reductive behavior and hence electrochemical performance. Across the ligand variations studied, we predict the Al-tftb and B-hfip combinations to be promising

candidates based on the calculated high barriers for decomposition through Al/B-O bond breaking under reductive conditions. Our theoretical predictions support previous experimental reports of excellent electrochemical behavior in both Mg and Ca systems for borate salts with hfp ligands. To our knowledge, aluminate salts with tftb ligands have not yet been experimentally studied and investigations are currently underway. In addition to the thermodynamic and kinetic results presented here, our mechanistic investigations suggest a significant difference between alkoxy-borate and alkoxy-aluminate salts in factors controlling the kinetics for Al/B-O bond breaking decomposition: variations in decomposition barriers for borate salts are mostly determined by steric factors, whereas electronic effects are more important in aluminate salts, suggesting that different design rules should be considered under different scenarios, which will aid in the future design of functional electrolytes.

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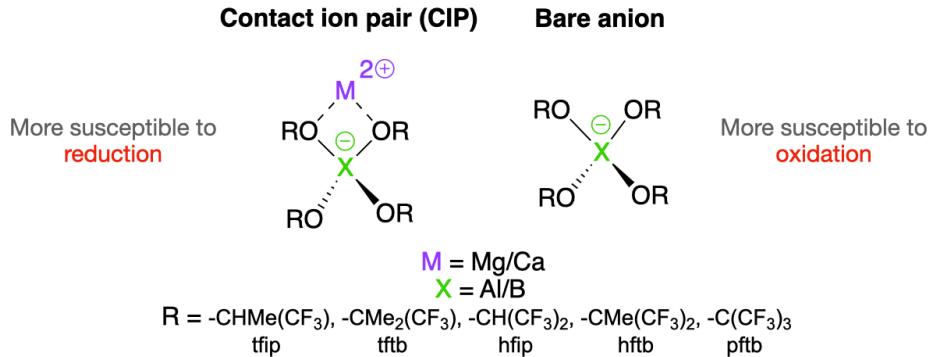
Supporting Information Available

The following files are available free of charge.

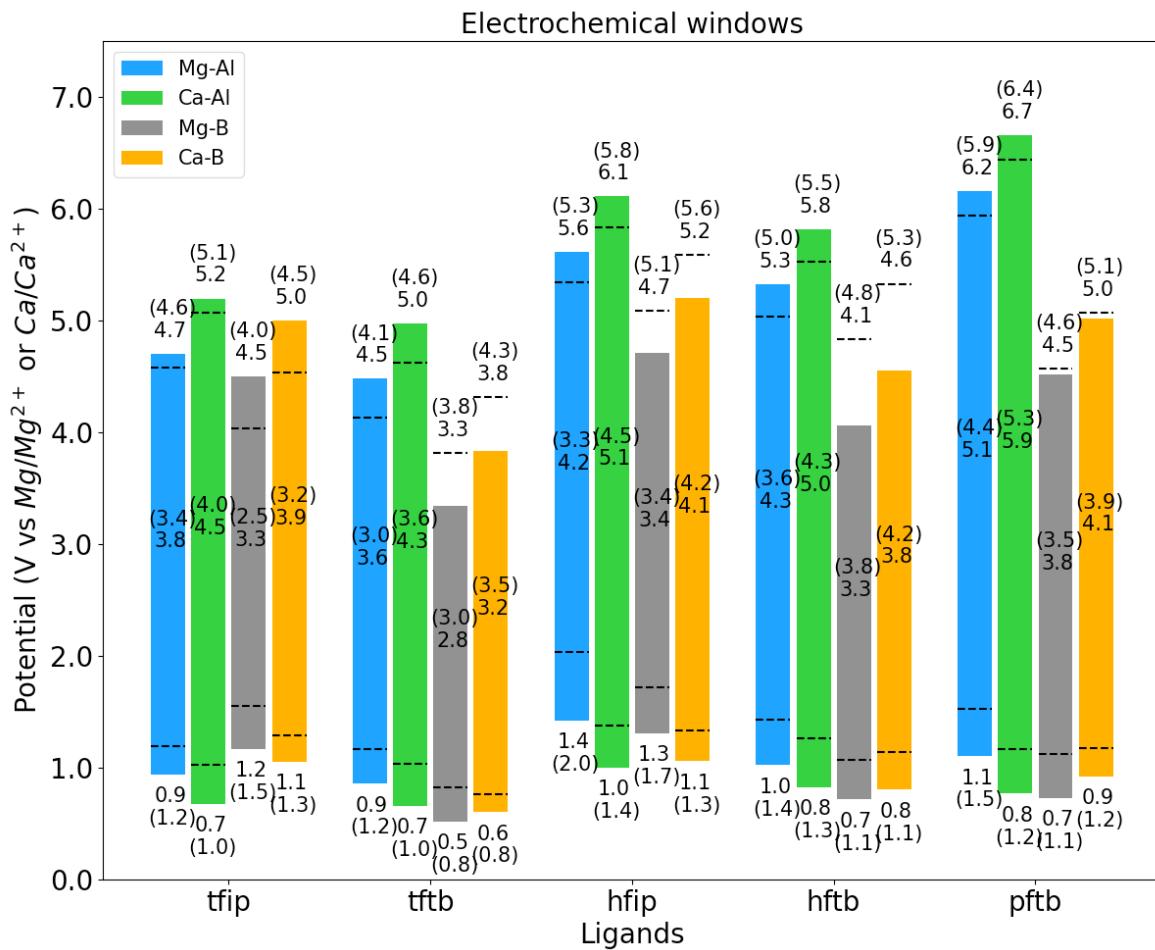
- SI.pdf: Supplementary electrochemical windows; decomposition pathways through Al-O/B-O bond breakage; decomposition pathways through C-O bond breakage; decom-

position pathways through C-F bond breakage; results from CaOR-B(OR)₃ separation for borates; results from EDA analysis; electron affinities for Al(OR)₃ and B(OR)₃ complexes; spin density plots for structures along the Al/B-O bond breaking decomposition pathways; computational and experimental details

- geometries.zip: xyz files for all the stationary point structures



(a)



(b)

Figure 1: (a) Concept of contact ion pairs (CIP) and bare anions. (b) Electrochemical windows for the anions. Bare anions (X^- = monoanionic ligands) were used for oxidation potentials (upper limit) and contact ion pairs ($[M^{2+}X^-]^+$, $M = Mg/Ca$) were used for reduction potentials (bottom limit). Two levels of theory were used for comparison: the redox potentials shown in the regular bars are based on ω B97X-D3/def2-TZVPPD/CPCM/RIJCOSX// ω B97X-D3/def2-SVPD/CPCM/RIJCOSX level of theory, whereas the black dashed lines and the numbers in parenthesis are from B3LYP-D3BJ/def2-TZVPPD/CPCM//B3LYP-D3BJ/def2-SVP/CPCM level of theory. The numbers in the middle of the bars are the energy gaps between the two redox potentials (stability windows).

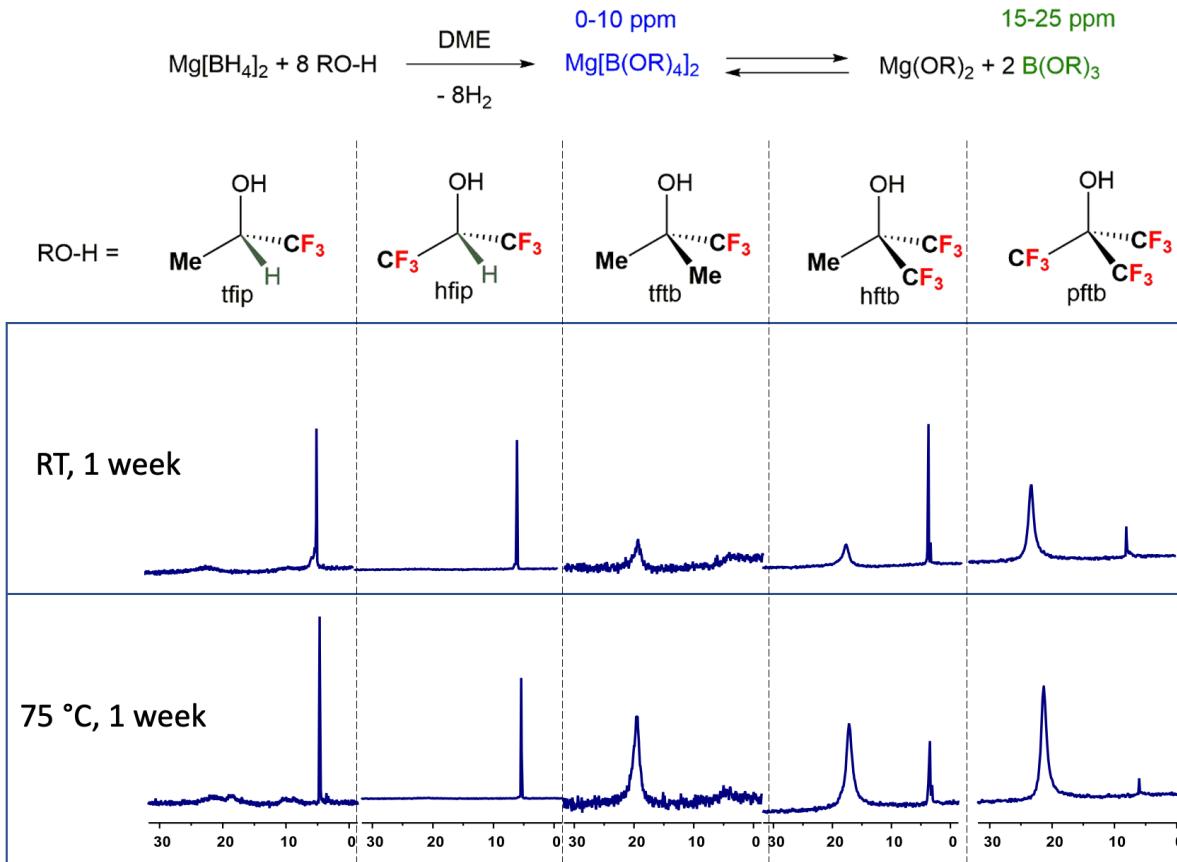
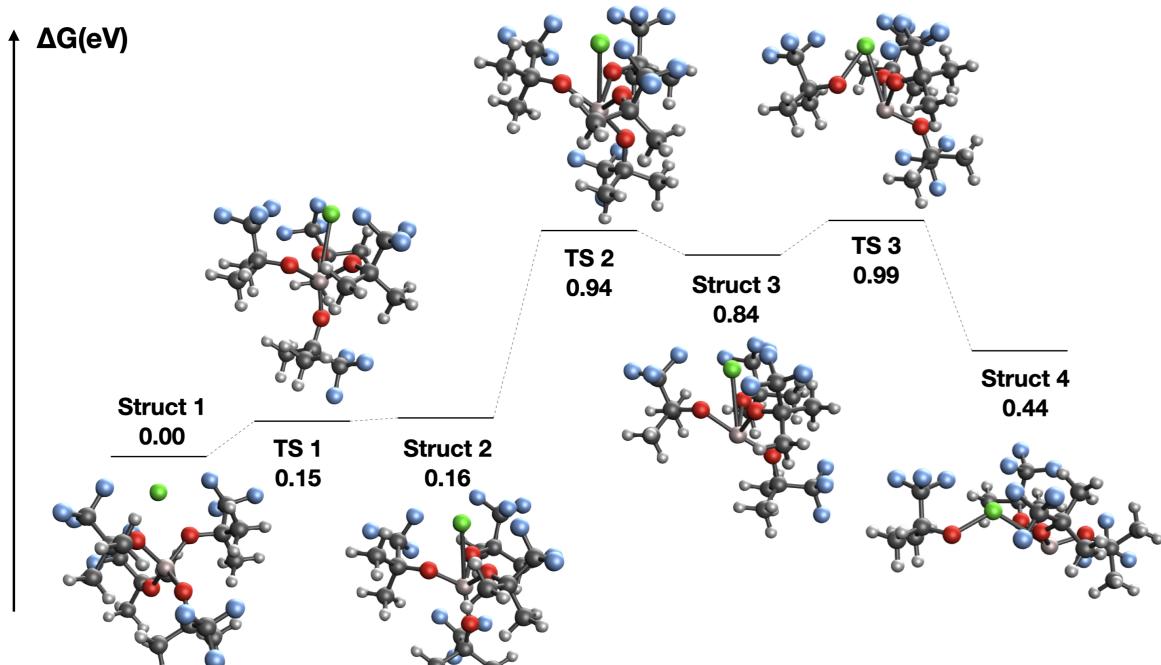
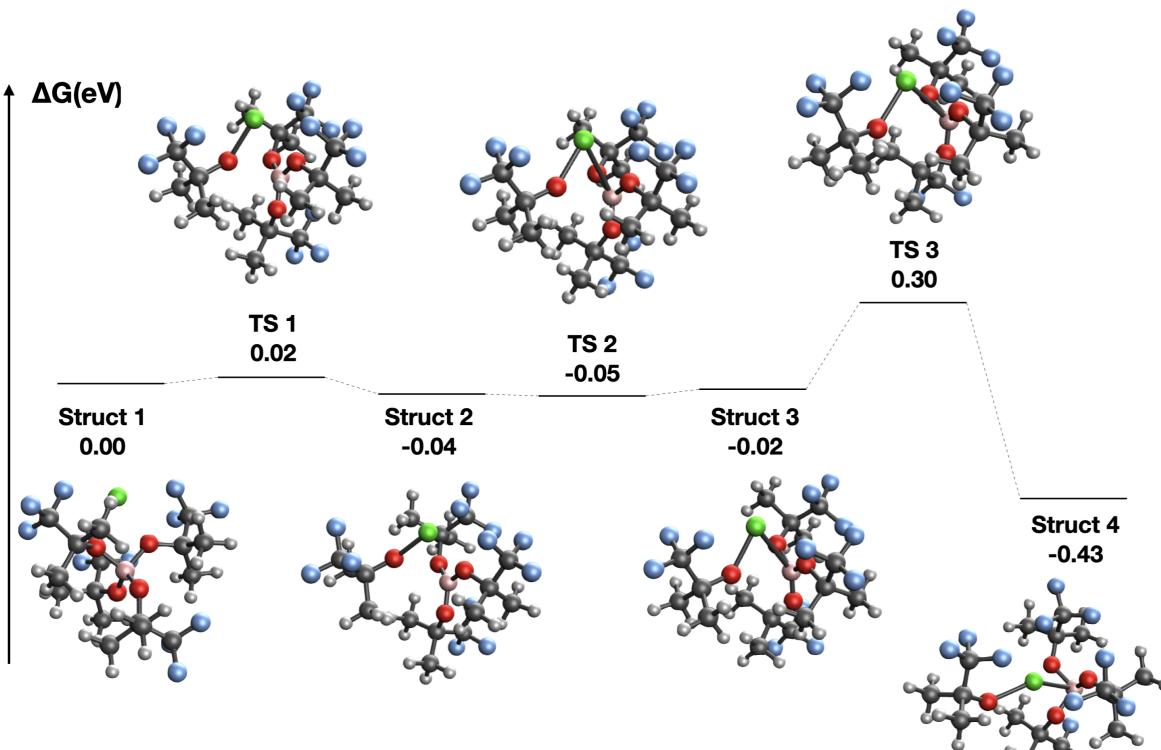


Figure 2: ^{11}B NMR experiments on the Mg borate salts.



(a)



(b)

Figure 3: Representative decomposition pathways through Al/B-O bond breakage. (a) $\text{Ca}^+[\text{Al}(\text{tftb})_4]^-$ decomposition pathway. (b) $\text{Ca}^+[\text{B}(\text{tftb})_4]^-$ decomposition pathway.

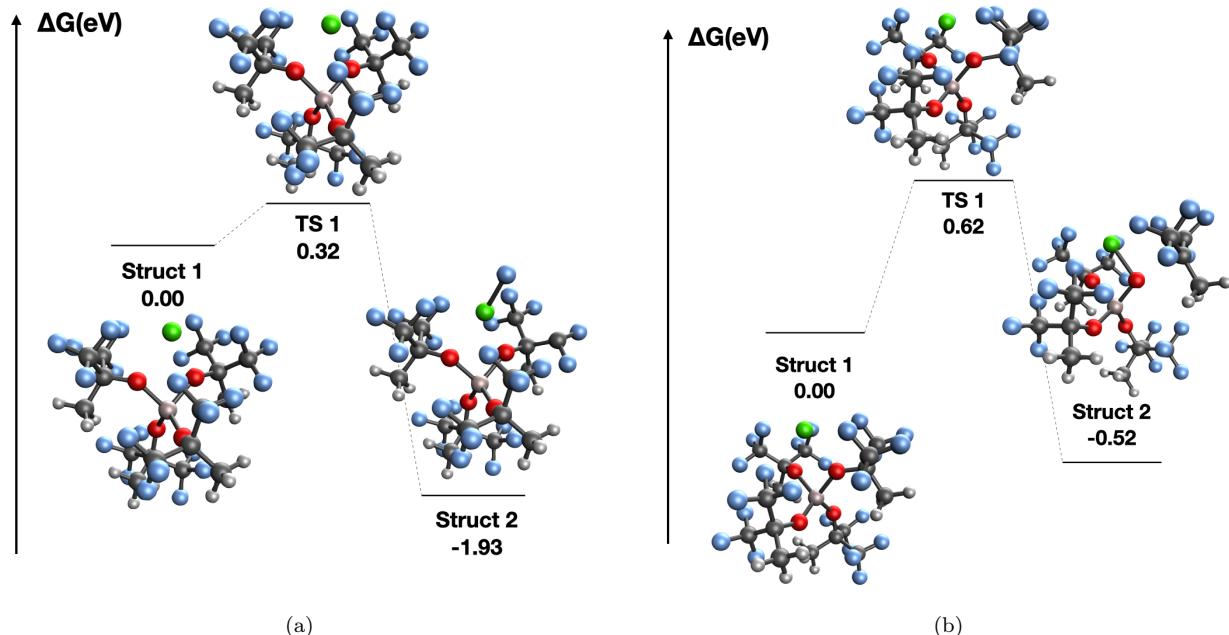
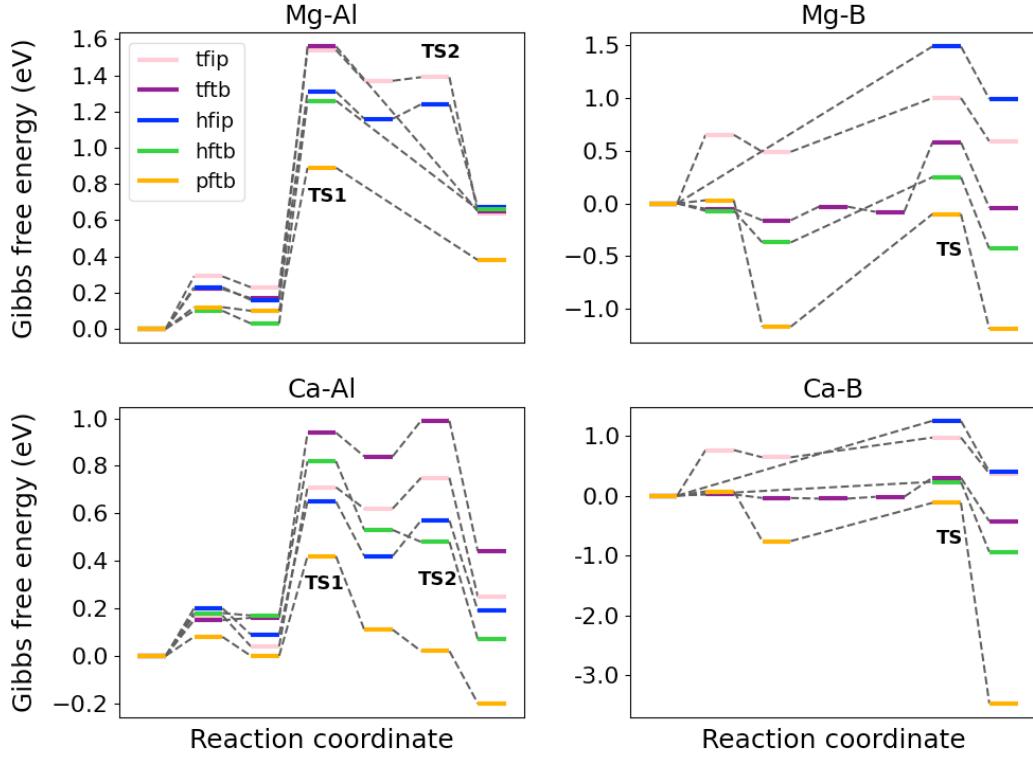
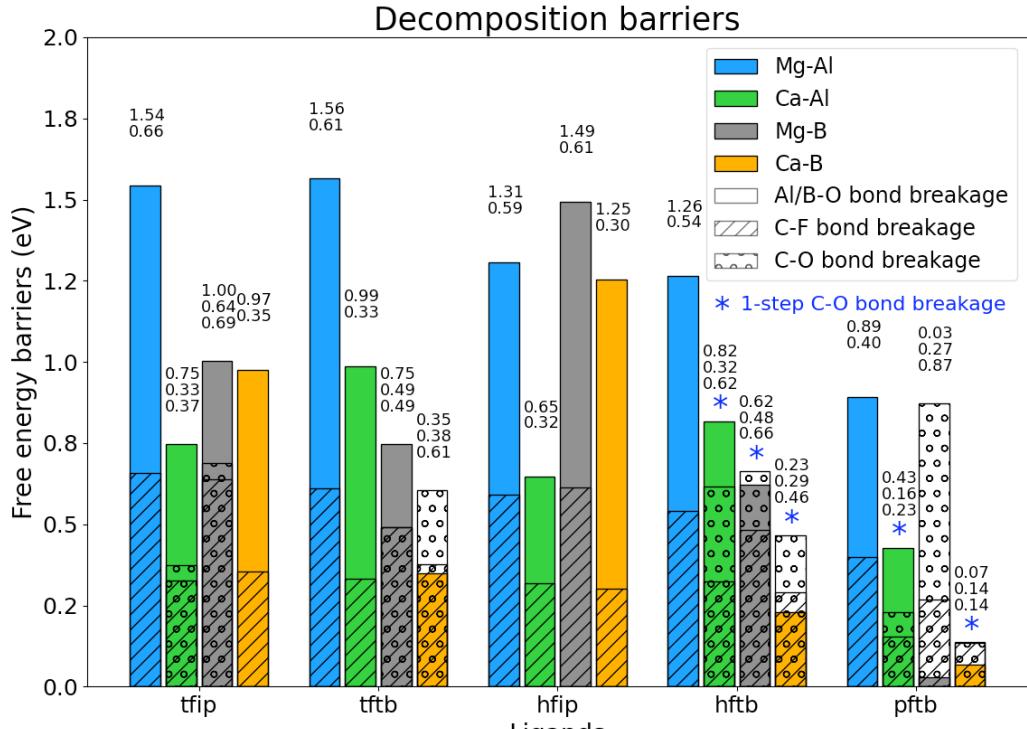


Figure 4: Representative decomposition pathways through C-O and C-F bond breakage. (a) $\text{Ca}^+ [\text{Al}(\text{hftb})_4]^-$ decomposition through C-F bond breakage. (b) $\text{Ca}^+ [\text{Al}(\text{hftb})_4]^-$ decomposition through C-O bond breakage.



(a)



(b)

Figure 5: Decomposition pathways and rate-limiting barrier heights. (a) Decomposition pathways for Al-O/B-O bond breakage. (b) Rate-limiting decomposition free energy barriers for Al-O/B-O, C-F, and C-O bond breakage. The numbers on each bar from the top to bottom are the rate-limiting barriers for Al/B-O bond breakage, C-F bond breakage, and C-O bond breakage. Note that the C-O bond breaking barrier is only shown when it is less than 1.0 eV.

Table 1: Selected parameters (The Laplacian of the density $\nabla^2\rho$, density at the Bond Critical Point ρ @BCP(3,-1), Mayer bond order m , Bader atomic charges q) for the QTAIM analysis of the starting complexes)

structure	bond path	bond length (Å)	$\nabla^2\rho$ (a.u.) @BCP(3,-1)	ρ (a.u.) @BCP(3,-1)	m	$q(\text{Al}/\text{B}), q(\text{O})$
$\text{Ca}^+[\text{Al}(\text{tftb})_4]^-$	Al-O	1.802	0.602	0.075	0.584	2.553, -1.373
$\text{Ca}^+[\text{Al}(\text{hfip})_4]^-$	Al-O	1.803	0.599	0.074	0.578	2.566, -1.365
$\text{Ca}^+[\text{Al}(\text{hftb})_4]^-$	Al-O	1.798	0.608	0.075	0.592	2.565, -1.363
$\text{Ca}^+[\text{B}(\text{tftb})_4]^-$	B-O	1.540	0.445	0.126	0.715	2.369, -1.312
$\text{Ca}^+[\text{B}(\text{hfip})_4]^-$	B-O	1.506	0.548	0.138	0.765	2.369, -1.320
$\text{Ca}^+[\text{B}(\text{hftb})_4]^-$	B-O	1.568	0.378	0.116	0.671	2.378, -1.305

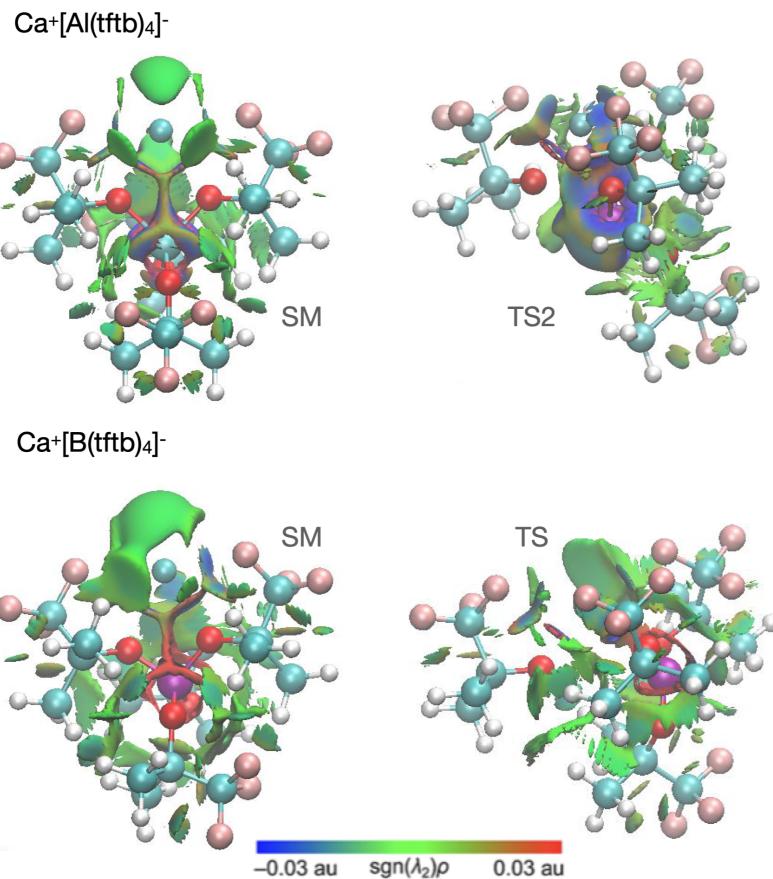


Figure 6: NCI plot of the $\text{Ca}^+[\text{Al}(\text{tftb})_4]^-$ and $\text{Ca}^+[\text{B}(\text{tftb})_4]^-$ complexes

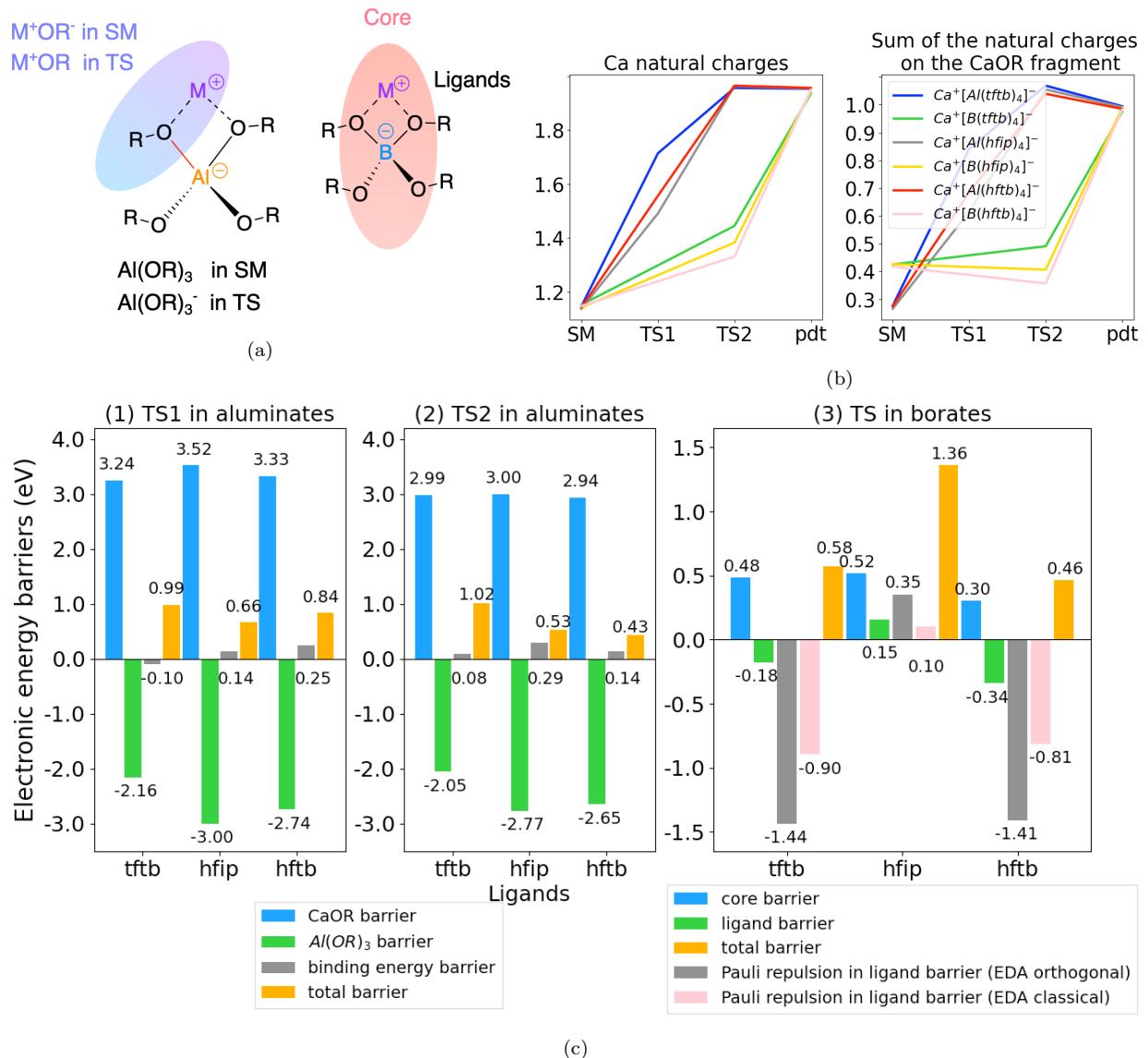


Figure 7: Al/B-O bond breaking barrier analysis for aluminates/borates. (a) Illustrative picture of how the complexes are divided into two regions for probing the origin of the barrier differences. (b) Natural charge analysis for the Ca and the CaOR (OR=tftb,hfip,hftb) fragment. (c) Electronic energy barriers for different fragments. (1) The electronic energy barriers for the CaOR and the Al(O⁻R)₃ fragments for the TS1 geometry (see Figure 4(a)). Note that different total charges are used for the SM and TS in computing the barriers for each fragment. The CaOR barriers are calculated from the $[\text{CaOR}]^0 \rightarrow [\text{CaOR}]^+$ transition; the Al(O⁻R)₃ barriers are calculated from the $[\text{Al(O}^-\text{R})_3]^0 \rightarrow [\text{Al(O}^-\text{R})_3]^-$ transition. Similarly, the binding energy barriers correspond to subtracting the binding energy between $[\text{CaOR}]^0 - [\text{Al(O}^-\text{R})_3]^0$ in the SM from the binding energy between $[\text{CaOR}]^+ - [\text{Al(O}^-\text{R})_3]^-$ in the TS. The CaOR barrier, the Al(O⁻R)₃ barrier, and the binding energy barrier sum to the total energy barrier. (2) is the same as (1) but is for the TS2 geometry in aluminates. (3) Barriers for the borates calculated with the core part of the ligand part taken from the original SM and TS geometries. Note that for the core part H atoms are added to the O atoms and for the ligand part H atoms are added to the C atoms that were previously bound to O atoms. The positions of these Hs were optimized with the coordinates of all other atoms fixed.

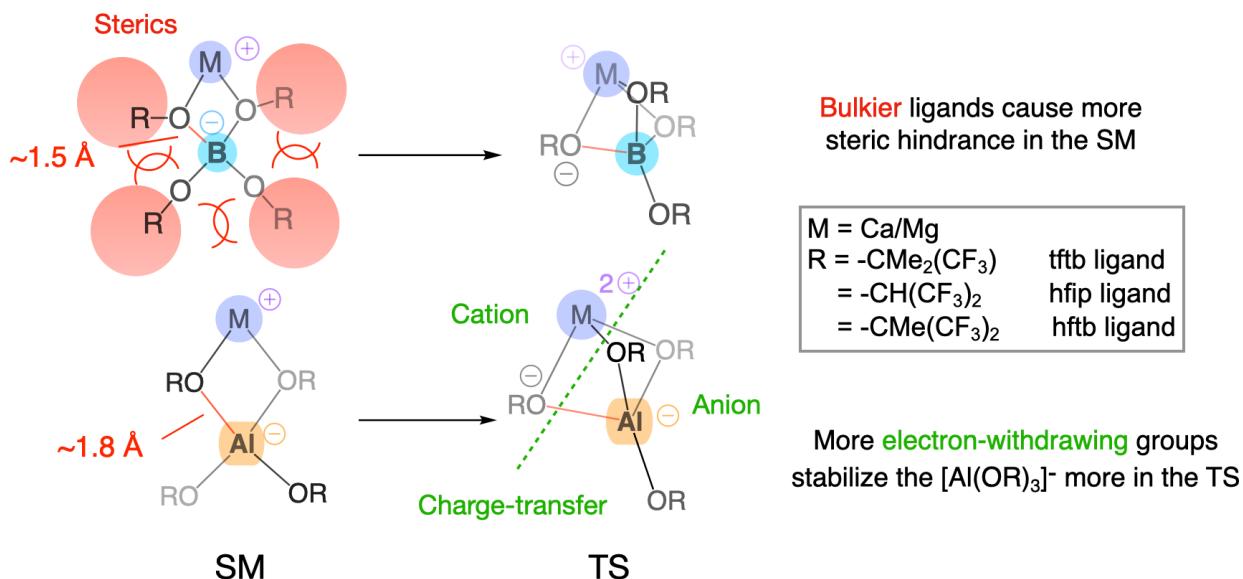


Figure 8: Speculative scheme for the kinetic control of the decomposition pathways (Al-O/B-O bond breakage)

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TOC Graphic

