

Busy Waiting Algorithms

Solution to Critical-Section Problem

1. **Mutual Exclusion** - If process P_i is executing in its critical section, then no other processes can be executing in their critical sections
2. **Progress** - If no process is executing in its critical section and there exist some processes that wish to enter their critical section, then the selection of the processes that will enter the critical section next cannot be postponed indefinitely
3. **Bounded Waiting** - A bound must exist on the number of times that other processes are allowed to enter their critical sections after a process has made a request to enter its critical section and before that request is granted
 - Assume that each process executes at a nonzero speed
 - No assumption concerning relative speed of the N processes

Lock Variables: Software

Solution

- Before entering a critical section a process should know if any other is already in the critical section or not

- Consider having a FLAG (also called lock)

- **FLAG = TRUE**

- No process is in the critical section

- **FLAG = FALSE**

- A process is in the critical section

```
// wait while someone else is in the  
// critical region
```

```
1. while (FLAG == FALSE);
```

```
// stop others from entering critical region
```

```
2. FLAG = FALSE;
```

```
3. critical_section();
```

```
// after critical section let others enter  
//the critical region
```

```
4. FLAG = TRUE;
```

```
5. noncritical_section();
```

FLAG = FALSE

Lock Variables

Process 1

```
1.while (FLAG == FALSE);  
2.FLAG = FALSE;  
3.critical_section();  
4.FLAG = TRUE;  
5.noncritical_section();
```

Process 2

```
1.while (FLAG == FALSE);  
2.FLAG = FALSE;  
3.critical_section();
```

Timeout

No two processes may be simultaneously inside their critical sections

Process 2 's Program counter is at Line 2

Process 1 forgot that it was Process 2's turn

Solution: Strict Alternation

- We need to remember “Who’s turn it is?”
- If its Process 1’s turn then Process 2 should wait
- If its Process 2’s turn then Process 1 should wait

Process 1

```
while(TRUE)
{
    // wait for turn
    while (turn != 1);
    critical_section();
    turn = 2;
    noncritical_section();
}
```

Process 2

```
while(TRUE)
{
    // wait for turn
    while (turn != 2);
    critical_section();
    turn = 1;
    noncritical_section();
}
```

Turn = 1

Strict Alternation

Process 1

While(1)

1. while (Turn != 1);

2. critical_section();

3. Turn = 2;

4. noncritical_section();

Process 2

While(1)

1. while (Turn != 2);

2. critical_section();

3. Turn = 1;

4. noncritical_section();

Timeout

Only one Process is in the Critical Section at a time

Process 2 's Program counter is at Line 2

Process 1 Busy Waits

Strict Alternation

Process 1

```
while(TRUE)
{
    // wait
    while (turn != 1);
    critical_section();
    turn = 2;
    noncritical_section();
}
```

Process 1

```
while(TRUE)
{
    // wait
    while (turn != 2);
    critical_section();
    turn = 1;
    noncritical_section();
}
```

- ❑ Can you see a problem with this?
- ❑ Hint : What if one process is a lot faster than the other

turn = 1

Mutual Exclusion Alternation

Process 1

```
while(TRUE)
{
    // wait
    while (turn != 1);
    critical_section();
    turn = 2;
    noncritical_section();
}
```

Process 2

```
while(TRUE)
{
    // wait
    while (turn != 2);
    critical_section();
    turn = 1;
    noncritical_section();
}
```

- Process 1
 - Runs
 - Enters its critical section
 - Exits; setting **turn** to 2.
- Process 1 is now in its **non-critical section**.
- Assume this non-critical procedure takes a long time.
- Process 2, which is a much faster process, now runs
- Once it has left its critical section, sets **turn** to 1.
- Process 2 executes its **non-critical section** very **quickly** and returns to the top of the procedure.

turn = 1

Process Alternation

Process 1

```
while(TRUE)
```

```
{
```

```
    // wait
```

```
    while (turn != 1);
```

```
    critical_section();
```

```
    turn = 2;
```

```
    → noncritical_section();
```

```
}
```

Process 2

```
while(TRUE)
```

```
{
```

```
    // wait
```

```
    → while (turn != 2);
```

```
    critical_section();
```

```
    turn = 1;
```

```
    noncritical_section();
```

```
}
```

- Process 1 is in its non-critical section

- Process 2 is waiting for turn to be set to zero

- In fact, there is no reason why process 2 cannot enter its critical region as process 1 is not in its critical region.

Strict Alternation

- What we have is a violation of one of the conditions that we listed above

No process running outside its critical section
may block other processes

Progress - If no process is executing in its critical section and there exist some processes that wish to enter their critical section, then the selection of the processes that will enter the critical section next cannot be postponed indefinitely

- This algorithm requires that the processes **strictly alternate** in entering the critical section
- Taking turns is not a good idea if one of the processes is **slower**.

Reason

- Although it was Process 1's **turn**
- But Process 1 was not **interested**.
- Solution:
 - We also need to remember
 - “**Whether it is interested or not?**”

Algorithm 2

- Replace
 - `int turn;`
- With
 - `bool Interested[2];`
- **`Interested[0] = FALSE`**
 - Process 0 is not interested
- **`Interested[0] = TRUE`**
 - Process 0 is interested
- **`Interested[1] = FALSE`**
 - Process 1 is not interested
- **`Interested[1] = TRUE`**
 - Process 1 is interested

Algorithm 2

Process 0

```
while(TRUE)
{
    interested[0] = TRUE;
    // wait for turn
    while(interested[1] != FALSE);
    critical_section();
    interested[0] = FALSE;
    noncritical_section();
}
```

Process 1

```
while(TRUE)
{
    interested[1] = TRUE;
    // wait for turn
    while(interested[0] != FALSE);
    critical_section();
    interested[1] = FALSE;
    noncritical_section();
}
```

Algorithm 2

Process 0

```
while(TRUE)
{
```

```
    interested[0] = TRUE;
```

```
    while(interested[1] !=
```

Process 1

```
while(TRUE)
{
```

```
    interested[1] = TRUE;
```

```
    while(interested[0] != FALSE);
```

Timeout

DEADLOCK

Peterson's Solution

Combine the previous two algorithms:

```
int turn;  
bool interested[2];
```

- **Interested[0] = FALSE**
 - Process 0 is not interested
- **Interested[0] = TRUE**
 - Process 0 is interested
- **Interested[1] = FALSE**
 - Process 1 is not interested
- **Interested[1] = TRUE**
 - Process 1 is interested

Process 0

Peterson's Solution

```
while(TRUE)
{
    interested[0] = TRUE;
    turn = 0;
    // wait
    while(interested[1]==TRUE && turn == 0 );
    critical_section();
    interested[0] = FALSE;
    noncritical_section();
}
```

F && T

Timeout

Process 1

```
while(TRUE)
{
    interested[1] = TRUE;
    turn = 1;
    // wait
    while(interested[0]==TRUE && turn == 1 );
    critical_section();
    interested[1] = FALSE;
    noncritical_section();
}
```

F && T

Process 0

Peterson's Solution

```
while(TRUE)
{
    interested[0] = TRUE;
    turn = 0;
    // wait
    while(interested[1]==TRUE && turn == 0);
    critical_section();
    interested[0] = FALSE;
    noncritical_section();
}
```

T && F

Timeout

Can not be **TRUE** at the same time.
Thus used to break tie

Process 1

```
while(TRUE)
{
    interested[1] = TRUE;
    turn = 1;
    // wait
    while(interested[0]==TRUE && turn == 1);
    critical_section();
    interested[1] = FALSE;
    noncritical_section();
}
```

F && T