From raw images to preprocessed data

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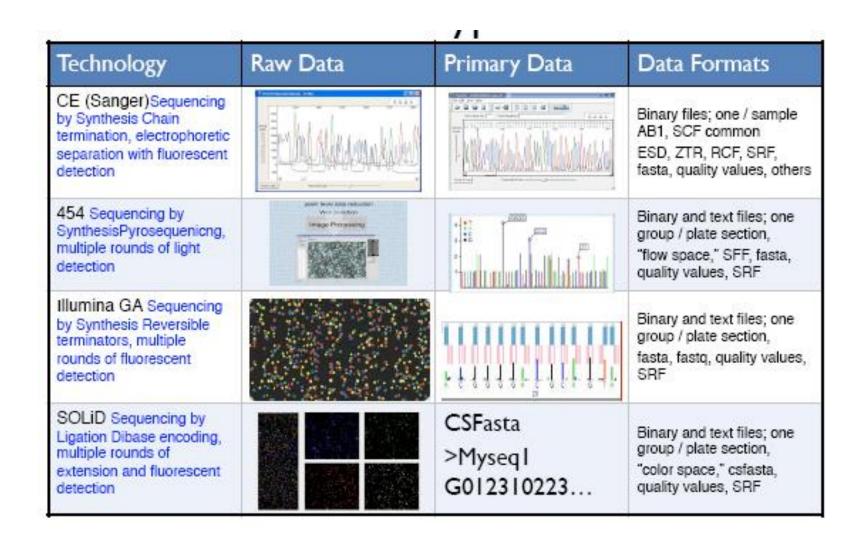


Outline

- What do sequencing technologies produce
 - NGS data formats. Sequence files formats
- What problems may there be?
 - Error sources and error models
- Detecting errors
 - Quality control of NGS data
- Adjusting errors
 - Post-processing of sequence data
- Conclusions and perspectives

Data and data formats

One input, many possible outputs ...



Typical Sequence Data Formats(I)

 All Sequence formats are ASCII text containing sequence ID, Quality Scores, Annotation details, comments, and other descriptions about sequence

>gi|5524211|gb|AAD44166.1| cytochrome b [Elephas maximus maximus]
LCLYTHIGRNIYYGSYLYSETWNTGIMLLLITMATAFMGYVLPWGQMSFWGATVITNLFSAIPYIGTNLV
EWIWGGFSVDKATLNRFFAFHFILPFTMVALAGVHLTFLHETGSNNPLGLTSDSDKIPFHPYYTIKDFLG
LLILILLLLLALLSPDMLGDPDNHMPADPLNTPLHIKPEWYFLFAYAILRSVPNKLGGVLALFLSIVIL
GLMPFLHTSKHRSMMLRPLSQALFWTLTMDLLTLTWIGSQPVEYPYTIIGQMASILYFSIILAFLPIAGX
IENY

- Formats are designed to hold sequence data and other information about sequence
- FastA format (everybody knows about it)
 - Header line starts with ">" followed by a sequence ID
 - Sequence (string of nt).

Why so many formats?

- Created based on the information required for each step of analysis
- ☐ Efficient Data & time management

Types of sequence file formats

- Raw Sequence files
- Co-ordinate files
- Parameter files
- Annotation files
- Metadata files

- Raw sequence data formats
 - SFF
 - Fasta, csfasta
 - Qual file
 - Fastq

□ Each Data formats vary in the information they contain

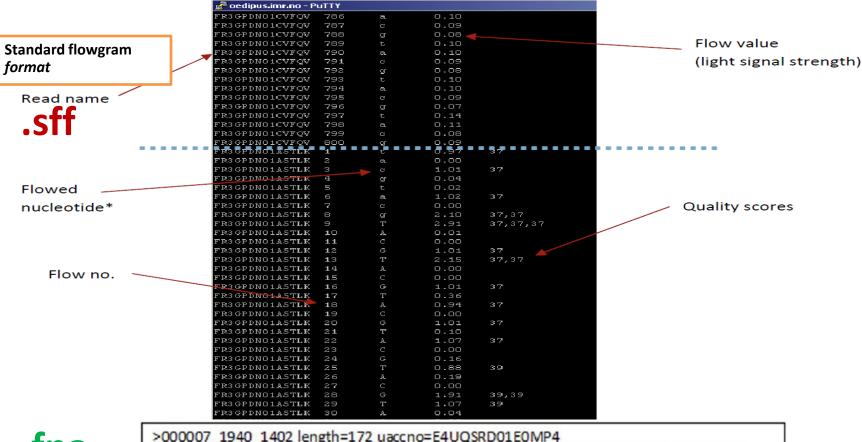
Read output formats

454

Solexa/Illumina

SOLiD

454 output formats



.fna .qual >000007_1940_1402 length=172 uaccno=E4UQSRD01E0MP4
TAACAATCGAGGCGAAGTCCCGTGAGAAGCTGTTTACTTCTCATGATCACACAGGCGCTG
GCTCCTCAGGCAAACAGGTACGTCTACGATAGGTTCCATGAAAAGTCCAAGTTTGGCCGA
GCTCTGGCTCCTTTTGACGCACAGTGGAACTTCCTTGTTCACGGAAATTGCA

```
>000007_1940_1402 length=172 uaccno=E4UQSRD01E0MP4

28  35  28  27  34  27  26  25  25  28  31  24  26  27  32  25  27  27  32  28  6   28  27  27  27  27  33  26

27  26  27  27  34  30  10  27  25  34  27  28  22  28  27  26  26  27  27  26  27  25  22  23  28  27  18  20

23  27  27  29  21  25  25  34  26  27  24  25  32  24  22  33  28  7  25  20  30  22  28  27  24  25  28  28

28  27  28  26  27  25  23  33  25  35  28  34  27  27  25  28  38  34  21  8  25  27  34  27  31  23  22  36

32  17  29  21  32  24  24  27  28  19  27  28  26  34  28  23  25  35  28  38  34  21  8  26  26  27  25  27

21  28  28  27  27  34  27  34  27  25  30  21  34  26  33  25  26  35  28  20  28  25  34  27  37  33  15  33

25  23  28  25
```

Illumina output formats

.seq.txt
.prb.txt

File: 9									
1	1 137 689 AACATAATGTGTTCACTGAGAACACATTGCACTCAA								
1	1	87	649 TATTGCAACTTGTTTAATTTTTTCATGCCATTATCA 642 TACATGATTTGCATTTGGTAAATAGCTACTTTTTAT						
1	1	121							
1	1	6	591 CT	.T					
40	-40 -40 -	40	40 -40 -40 -40	-40 40 -40 -40	40 -40 -40 -40				
-40	-40 -40	40	40 -40 -40 -40	40 -40 -40 -40	-40 -40 -40 40				
-40	-40 40 -	40	-40 -40 -40 40	-40 -40 40 -40	-40 -40 -40 40				
-40	-40 -40	40	-40 40 -40 -40	40 -40 -40 -40	-40 40 -40 -40				
-40	-40 -40	40	-40 -40 40 -40	40 -40 -40 -40	-40 -40 40 -40				
40	-40 -40 -	40	40 -40 -40 -40	-40 40 -40 -40	40 -40 -40 -40				
-40	40 -40 -	40	40 -40 -40 -40	-40 -40 -40 40	-40 -40 -40 40				
-40	-40 40 -	40	-40 40 -40 -40	40 -40 -40 -40	-40 40 -40 -40				
-40	-40 -40	40	-40 40 -40 -40	40 -40 -40 -40	37 -37 -40 -40				

Illumina FASTQ (ASCII – 64 is Illumina score)

Qseq

(ASCII – 64 is Phred score)

```
@ILMN-GA001 3 208HWAAXX 1 1 110 812
ATACAAGCAAGTATAAGTTCGTATGCCGTCTT
+ILMN-GA001 3 208HWAAXX 1 1 110 812
hhhYhh]NYhhhhhhYIhhaZT[hYHNSPKXR
@ILMN-GA001 3 208HWAAXX 1 1 111 879
GGAGGCTGGAGTTGGGGACGTATGCGGCATAG
+ILMN-GA001 3 208HWAAXX 1 1 111 879
hSWhRNJ\hFhLdhVOhAIB@NFKD@PAB?N?
```

Illumina single line format

SCARF

Solexa Compact ASCII Read Format

```
>1-1-137-689 AACATAATGTTCACTGAGAACACATTGCACTCAA U0
>1-1-87-649 TATTGCAACTTGTTTAATTTTTCATGCCATTATCA U1
>1-1-121-642 TACATGATTTGCATTTGGTAAATAGCTACTTTTAT U0
```

HWI-EAS102_3:6:1:897:791:AATGTCAATCTGAGTT...TTT:40 40 40 40 40 ... HWI-EAS102_3:6:1:930:291:AATGTACTTTTTCTAA...CTA:40 29 14 17 16... HWI-EAS102_3:6:1:944:665:AATCGATCCCCTTCCC...TTC:40 34 33 40 40...

Typical Sequence Data formats (2)

- FastQ format
 - (http://maq.sourceforge.net/fastq.shtml)
 - First is the sequence (like Fasta but starting with "@")
 - Then "+" and sequence ID (optional) and in the following line are QVs encoded as single byte ASCII codes
 - Different quality encode variants
- Nearly all downstream analysis take FastQ as input sequence

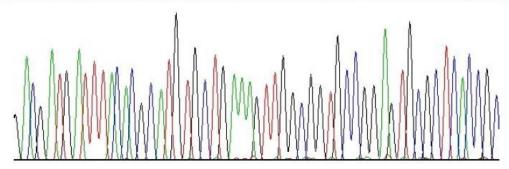
The fastq format

- •A FASTQ file normally uses four lines per sequence.
 - -Line 1 begins with a '@' character and is followed by a sequence identifier and an *optional* description (like a <u>FASTA</u> title line).
 - -Line 2 is the raw sequence letters.
 - -Line 3 begins with a '+' character and is optionally followed by the same sequence identifier (and any description) again.
 - -Line 4 encodes the quality values for the sequence in Line 2, and must contain the same number of symbols as letters in the sequence.
 - Different encodings are in use
 - •Sanger format can encode a Phred quality score from 0 to 93 using ASCII 33 to 126

```
@Seq description
GATTTGGGGTTCAAAGCAGTATCGATCAAATAGTAAATCCATTTGTTCAACTCACAGTTT
+
!''*((((***+))%%%++)(%%%%).1***-+*''))**55CCF>>>>>CCCCCCC65
```

Phred Scores





- Sequencing systems use to assign quality scores to each peak
- Phred scores provide log(10)-transformed error probability values: If p is probability that the base call is wrong the Phred score is

$$Q = .10 \cdot \log_{10} p$$

- score = 20 corresponds to a 1% error rate
- score = 30 corresponds to a 0.1% error rate
- score = 40 corresponds to a 0.01% error rate
- The base calling (A, T, G or C) is performed based on Phred scores.
- Ambiguous positions with Phred scores <= 20 are labeled with N.

SOLiD output format(s)

CSFASTA

color-space sequence reads in a fasta format

```
@ERR000451.1 VAB_S0103_20080915_542_14_17_70_F3
T33023230203102103223330020300233001
+
T%245719<.6353&:%0#%&%2(--27*%&%,
```

- These reads can be retained and analyzed in colorspace by software
- The Format Conversion Tool offers options for cleaning of the CSFASTA files

Common ("standard") format for read alignments: Alignment/Assembly Format

SAM is a plain-textual format of the alignments (in a flavour that is similar but different to GFF or BED). It is luckily extensible.

BAM is a dedicated binary format including the compressed SAM. It enables fast access to data without having to "unzip" the whole file.

For the typically large data, BAM is currently the most recommended and most "standard" format.

Sequencers & Sequence Assembly Packages

Mag 454 BWA Bowtie Solexa/Illumina RMAP Eland SOLID SOAP SOAP2 MOSAIK SOCS PatMaN. ZOOM PerM RazerS Celera segemehl Newbler MPSCAN Velvet BFAST Euler Lastz SOAP denovo BLAT

Formats for Genome/Gene annotation

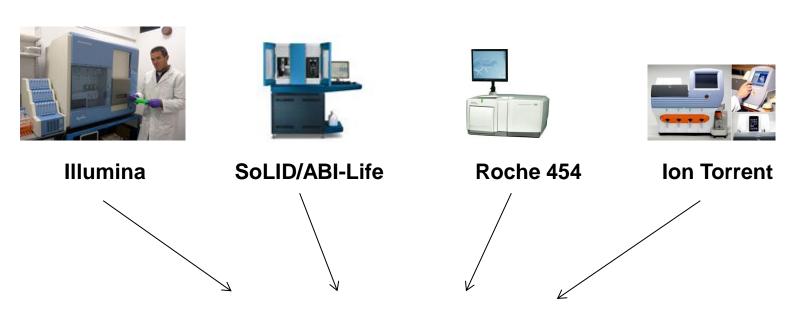
BED format GFF format BioXSD (XML)

```
##aff-version 3
ctq123 . operon
                        1300 15000 . + . ID=operon001; Name=superOperon
                                         . ID=mrna0001; Parent=operon001; Name=sonichedgehog
ctq123 . mRNA
                        1300 1500 .
                                         . Parent=mrna0001
ctq123 . exon
ctq123 . exon
                        1050 1500 .
                                        . Parent=mrna0001
ctq123 . exon
                        3000 3902
                                        . Parent=mrna0001
ctq123 . exon
                        5000 5500
                                       + . Parent=mrna0001
ctq123 . exon
                        7000
                             9000
                                        . Parent=mrna0001
ctq123 . mRNA
                                         . ID=mrna0002; Parent=operon001; Name=subsonicsquirrel
cta123 . exon
                                        . Parent=mrna0002
                       10000 12000
ctq123 . exon
                                        . Parent=mrna0002
                       14000 15000
```

Points to remember on Data Formats

- For base-call data, "standard" FASTQ (Sanger, Phred)
- ☐ For read alignments, SAM/BAM/MAQ format
- For annotation results (e.g. GFF or BED format)

All platforms have errors



- 1. Removal of low quality bases/ Low complexity regions
- 2. Removal of adaptor sequences
- 3. Homopolymer-associated base call errors (3 or more identical DNA bases) causes higher number of (artificial) frameshifts

Illumina artefacts

- under represented GC rich regions
 - \Box PCR
 - □ Sequencing
- ☐ GGC/GCC motif is associated with low quality and mismatches
- ☐ Low quality reads < 20% phred score

Need for QC & Preprocessing

- QC analysis of sequence data is extremely important for meaningful downstream analysis
- To analyze problems in quality scores/ statistics of sequencing data
- To check whether further analysis with sequence is possible
- To remove redundancy (filtering)
- To remove low quality reads from analysis
- □ To remove adapter contamination

Highly efficient and fast processing tools are required to handle large volume of datasets

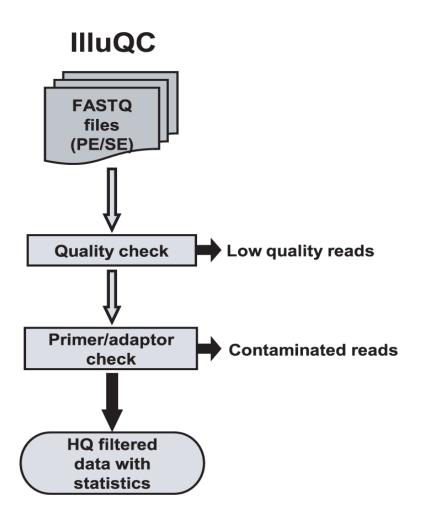
Need for QC & Preprocessing

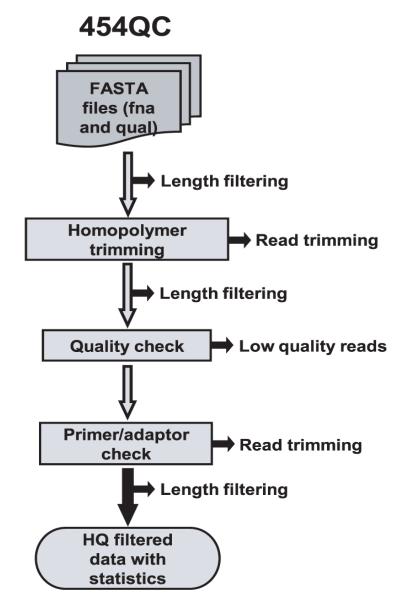
- The quality of data is very important for various downstream analyses, such as sequence assembly, single nucleotide polymorphisms identification
- Most of the programs available for downstream analyses do not provide the utility for quality check and filtering of NGS data before processing

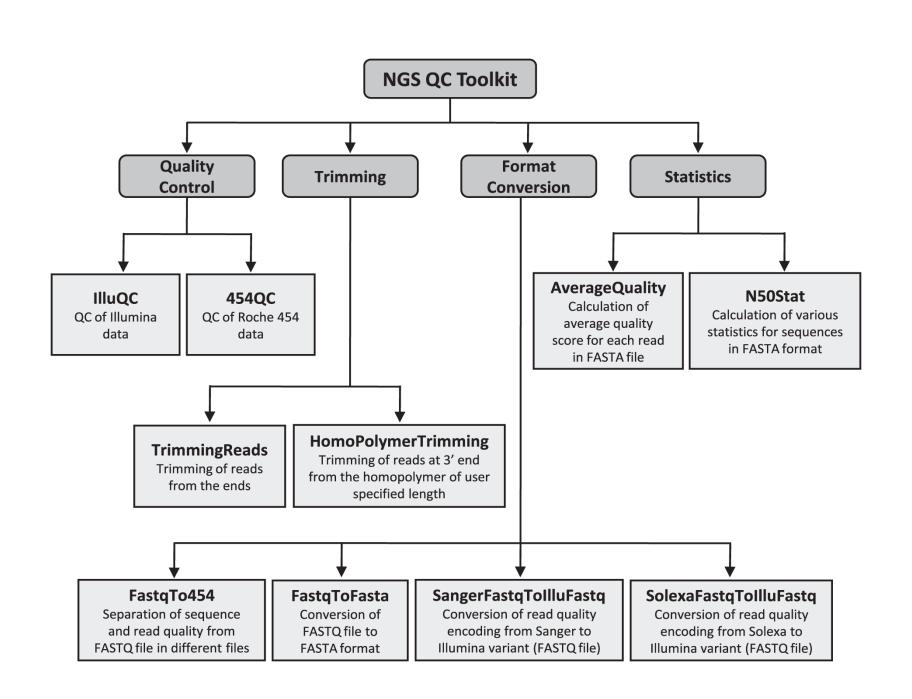
NGS QC Toolkit & FastQC

- NGS QC Toolkit is for quality check and filtering of highquality read
- ➤ This toolkit is a standalone and open source application freely available at http://www.nipgr.res.in/ngsqctoolkit.html
- Application have been implemented in Perl programming language
- QC of sequencing data generated using Roche 454 and Illumina platforms
- Additional tools to aid QC: (sequence format converter and trimming tools) and analysis (statistics tools)

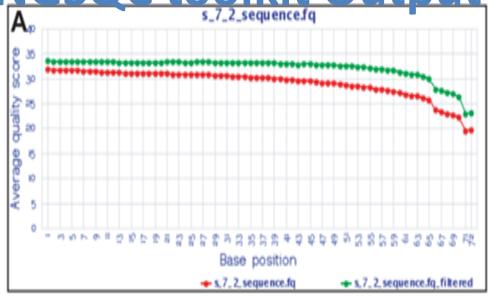
FastQC can be used only for preliminary analysis

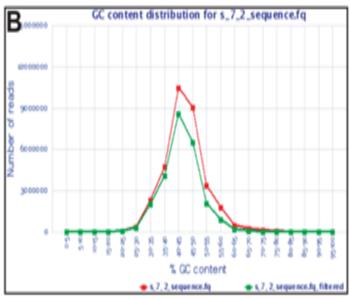


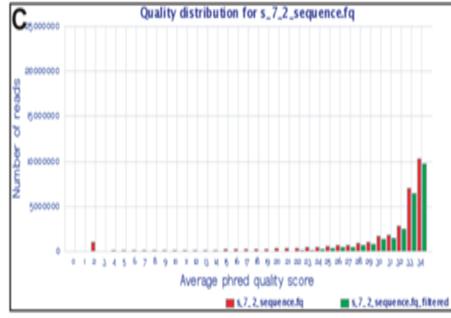


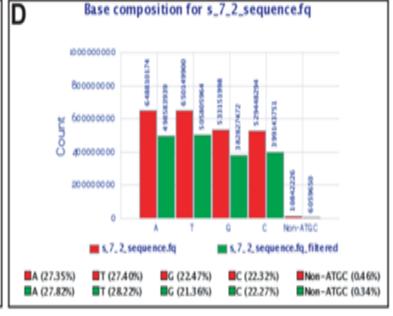


NGSQC toolkit Output

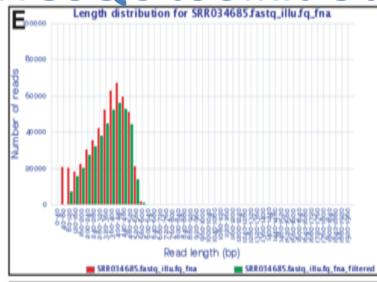




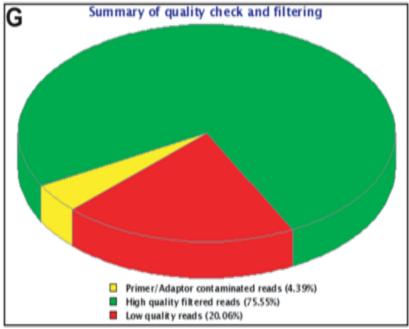


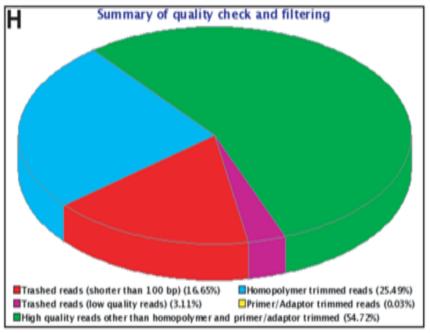


NGSQC toolkit Output









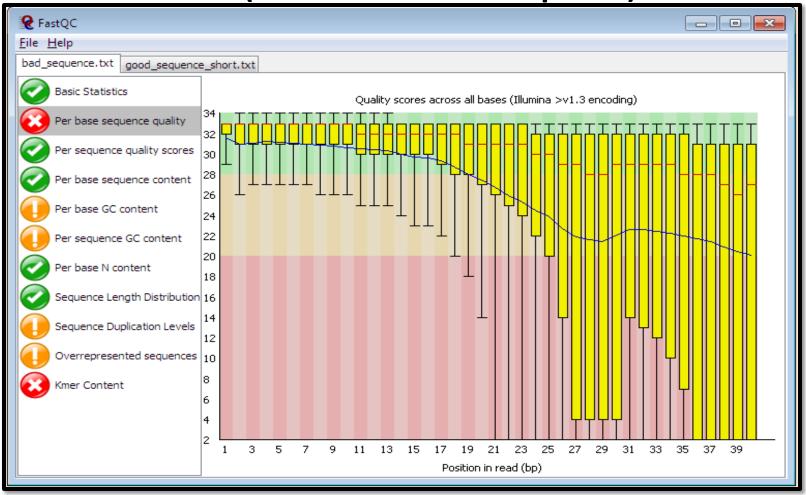
Comparison - QC tools

Feature\Tools	NGS QC Toolkit v2.2	FastQC v0.10.0	PRINSEQ- lite v0.17 ¹	TagDust	FASTX- Toolkit v0.0.13	SolexaQA v1.10	TagCleaner v0.12 ¹	CANGS v1.1
Supported NGS platforms	Illumina, 454	FASTQ ²	Illumina, 454	Illumina, 454	Illumina	Illumina	Illumina, 454	454
Parallelization	Yes	Yes	No	No	No	No	No	No
Detection of FASTQ variants	Yes	Yes	Yes	No	No	Yes	No	No
Primer/Adaptor removal	Yes	No ³	No	Yes	Yes	No	Yes ⁴	Yes
Homopolymer trimming (Roche 454 data)	Yes	No	No	No	No	No	No	Yes
Paired-end data integrity	Yes	No	No	No	No	No	No	No
QC of 454 paired-end reads	Yes	No	No	No	No	No	No	No
Sequence duplication filtering	No	No ⁵	Yes	No	Yes	No	No	Yes
Low complexity filtering	No	No	Yes	No	Yes	No	No	No
N/X content filtering	No	No ⁶	Yes	No	Yes	No	No	Yes
Compatability with compressed input data file	Yes	Yes	No	No	No	No	No	No
GC content calculation	Yes	Yes	Yes	No	No	No	No	No
File format conversion	Yes	No	No	No	No	No	No	No
Export HQ and/or filtered reads	Yes	No	Yes	Yes	Yes	No	Yes	Yes
Graphical output of QC statistics	Yes	Yes	No ⁷	No	Yes	Yes	No ⁷	No
Dependencies	Perl modules: Parallel::ForkManager, String::Approx, GD::Graph (optional)	-	•	-	Perl module: GD::Graph	R, matrix2png	ı -	BLAST, NCBI nr database

FastQC

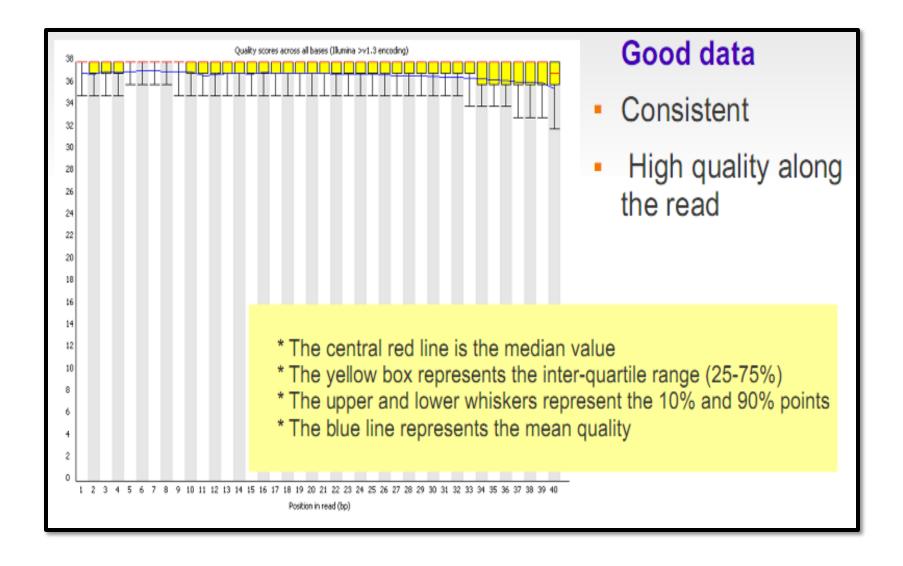
- Basic statistics
- Quality- Per base position
- Per Sequence Quality Distribution
- Nucleotide content per position
- Per sequence GC distribution
- Per base GC distribution
- Per base N content
- Length Distribution
- Overrepresented/ duplicated sequences
- K-mer content

FastQC (Box-Whisker plot)

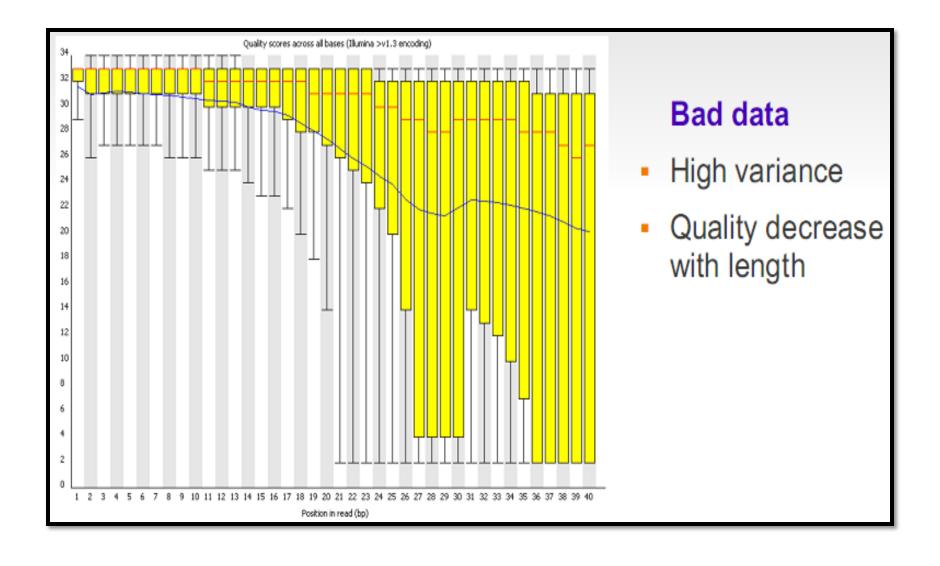


Y axis- Quality Score X axis- Base position

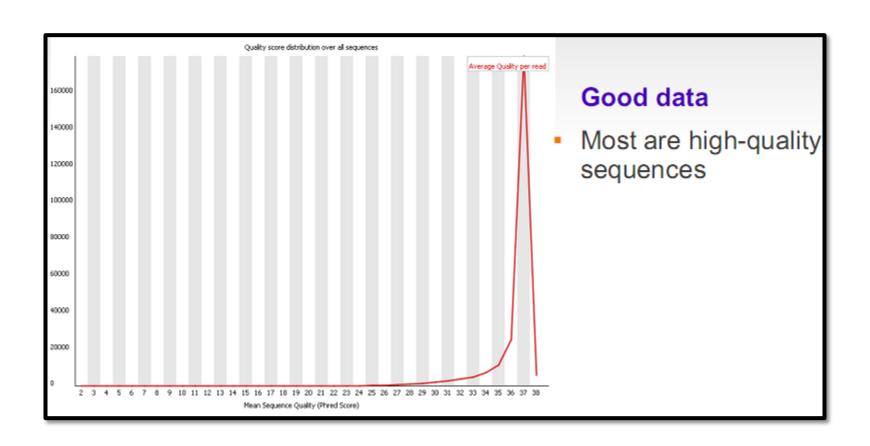
2. Quality- Per base position



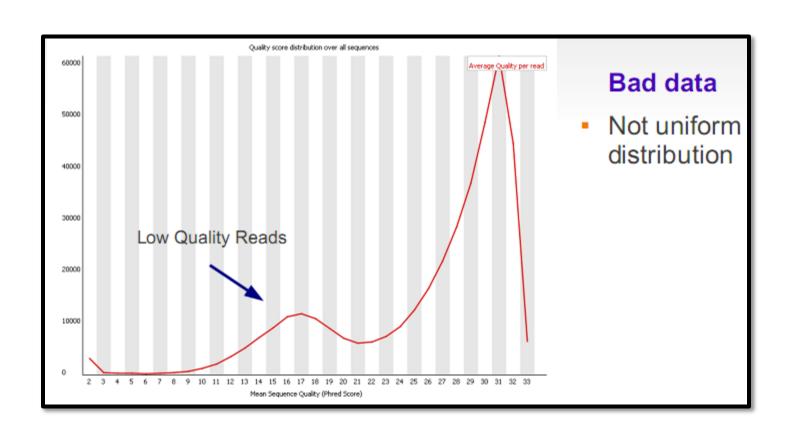
2. Quality- Per base position



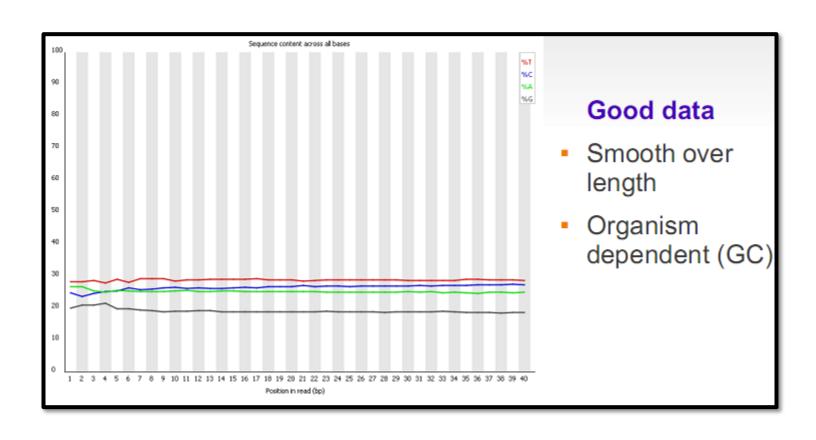
3.Per Sequence Quality Distribution



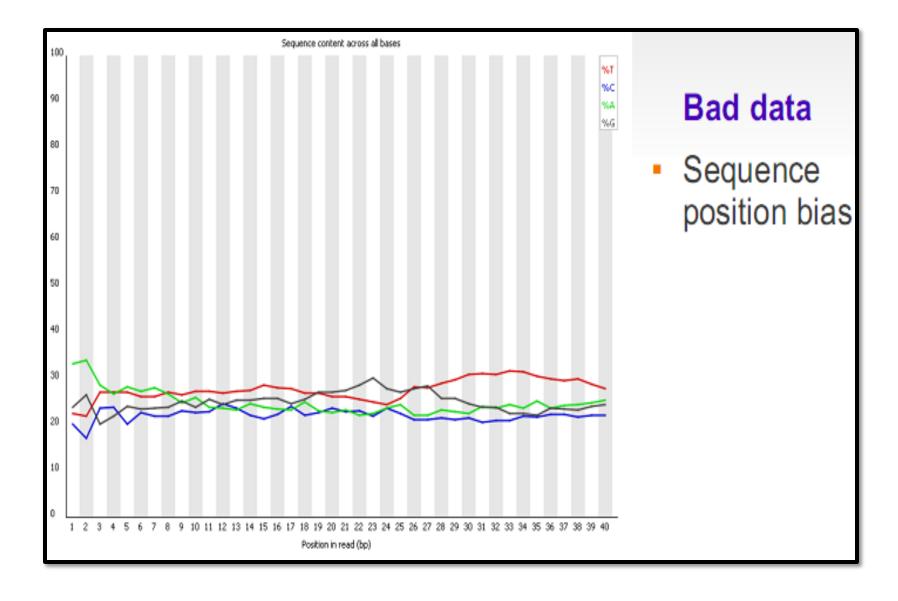
3. Per Sequence Quality Distribution



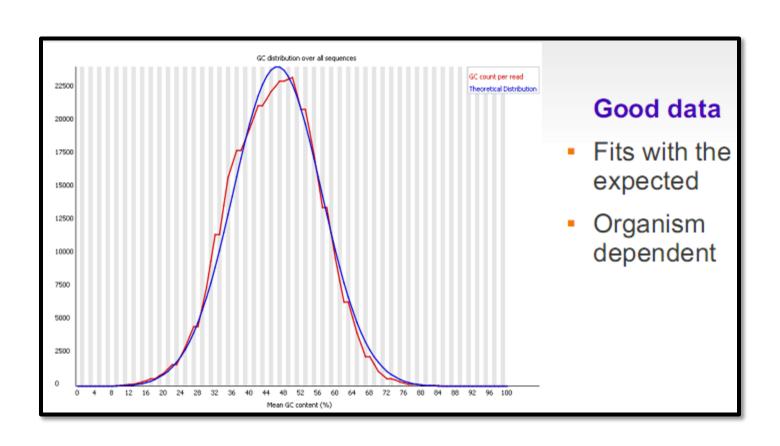
4. Nucleotide content per position



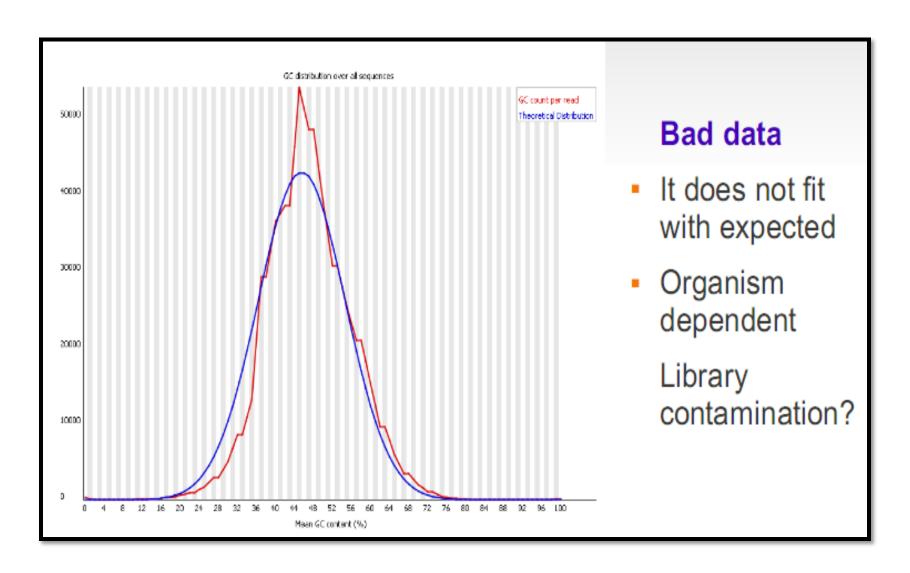
4. Nucleotide content per position



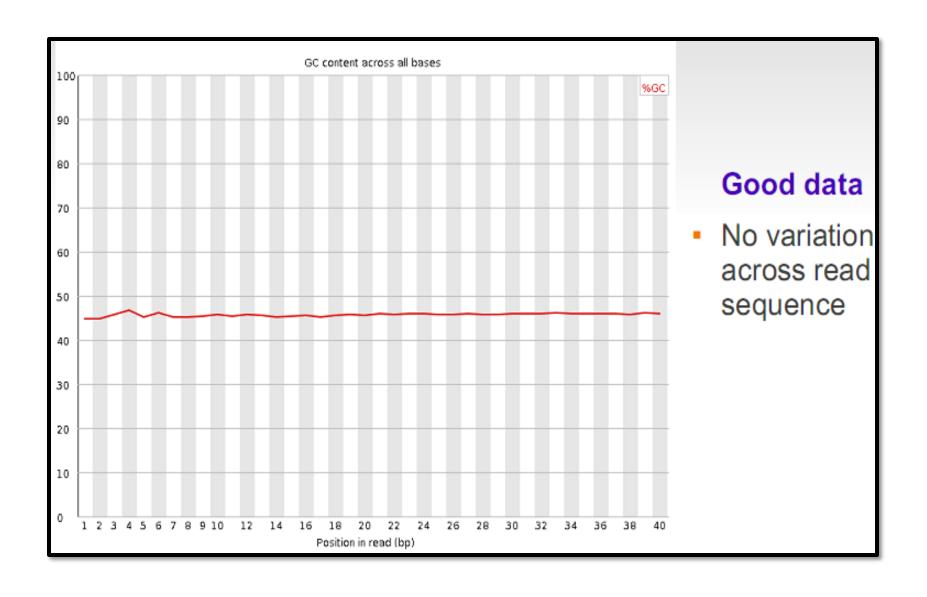
5. Per sequence GC distribution



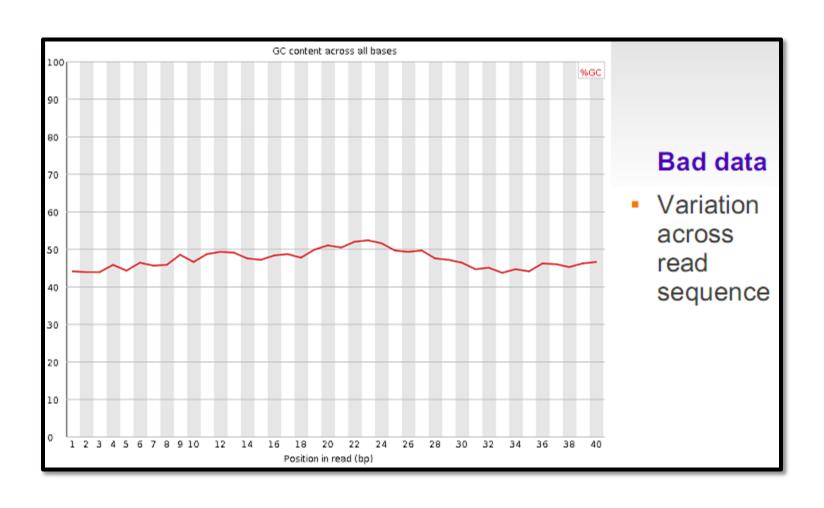
5. Per sequence GC distribution



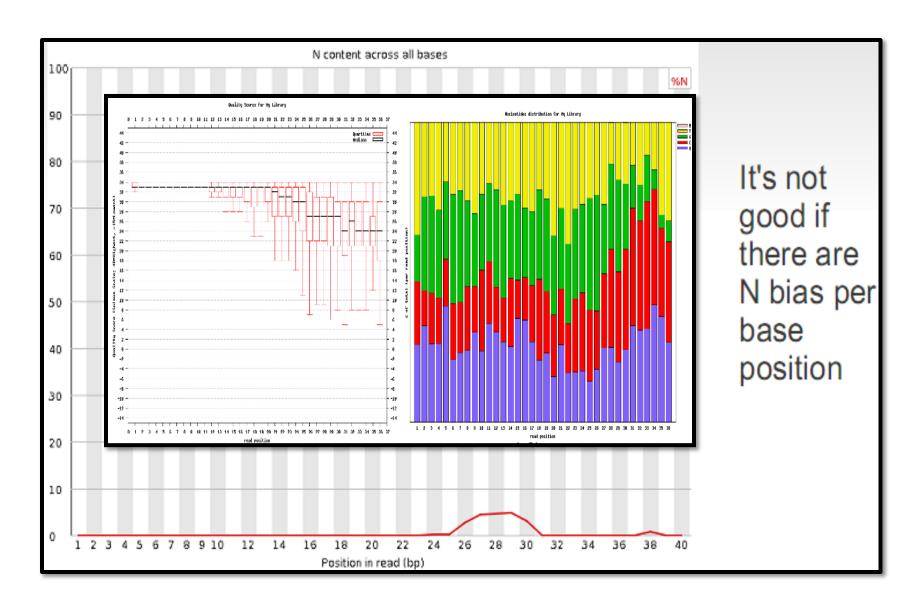
6. Per base GC distribution



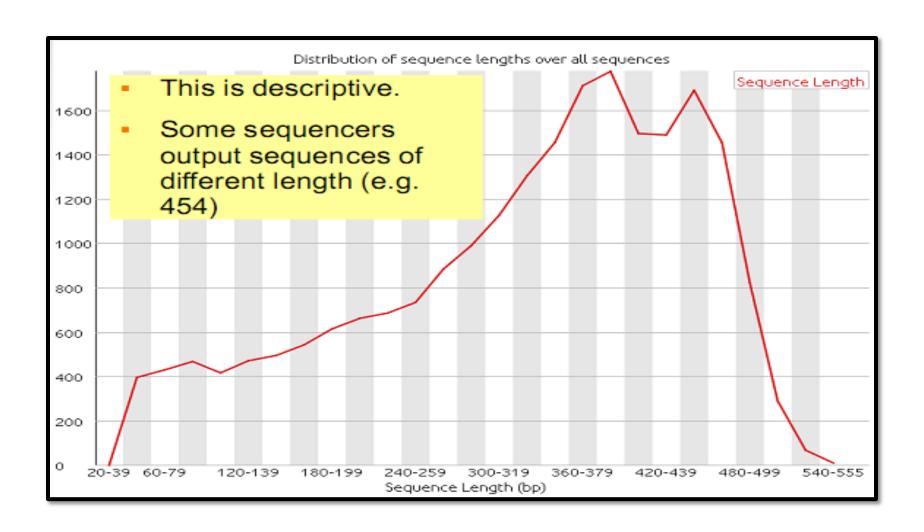
6. Per base GC distribution



7. Per base N content

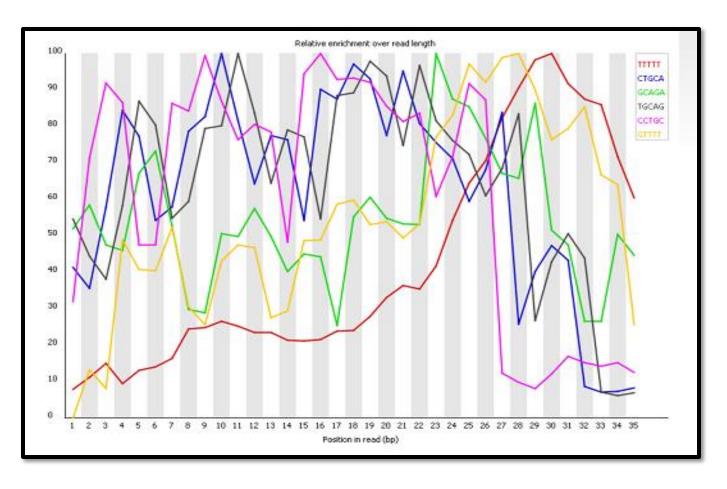


7. Length Distribution



8. Kmer content

Any k-mer showing more than a 3 fold overall enrichment or a 5 fold enrichment at any given base position will be reported by this module.



9. Overrepresented/ duplicate sequences

Too many duplicate regions in the sequence will be due to sequencing problems



QC Report

> Sequence Statistics

➤ Quality Statistics

Alignment statistics

```
Total Reads 15849154
Reads aligned
               7746088
% Reads Aligned 48.8738
Total Genome Size
                   64022747
Genome Covered 28234853
%Coverage 44.1013
Avg Read Depth 1.50491
% Coverage at 1X 44.1013
% Coverage at 5X 10.7884
% Coverage at 10X 1.76412
% Coverage at 15X 0.297722
% Coverage at 20X 0.122413
% Coverage at 30X
                   0.0557255
% Coverage at 40X
                   0.0372789
```

Preprocessing raw data

Removing technical artifacts

Adjusting biases

etc

Quality control of raw data

Proceed? Or rerun?

This QC can guide you to which preprocessing steps you need to apply **for sure**. The extra time and money needed to correct the biases can sometimes justify a rerun of the experiment.

This QC shows which preprocessing steps have already been made by the sequencing provider.

Preprocessing

Removing unwanted parts of the raw data so it helps as much as possible with reaching our goal: defining differentially expressed genes.

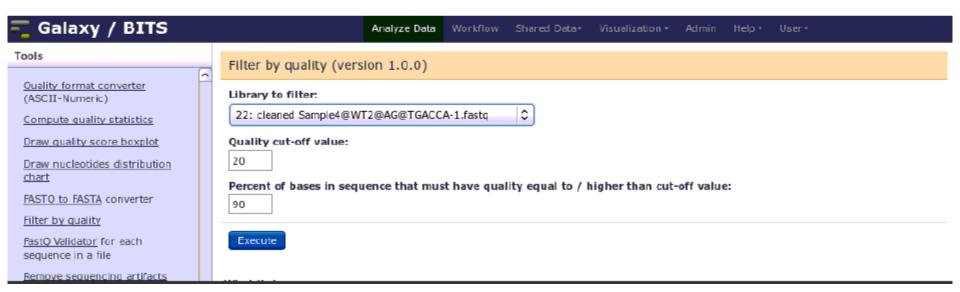
- 1) removing technical contamination
 - Low quality read parts
 - Technical sequences: adaptors
 - PhiX internal control sequences
- 2) removing biological contamination
 - polyA-tails
 - rRNA sequences
 - mtDNA sequences

After this, we run FastQC again.

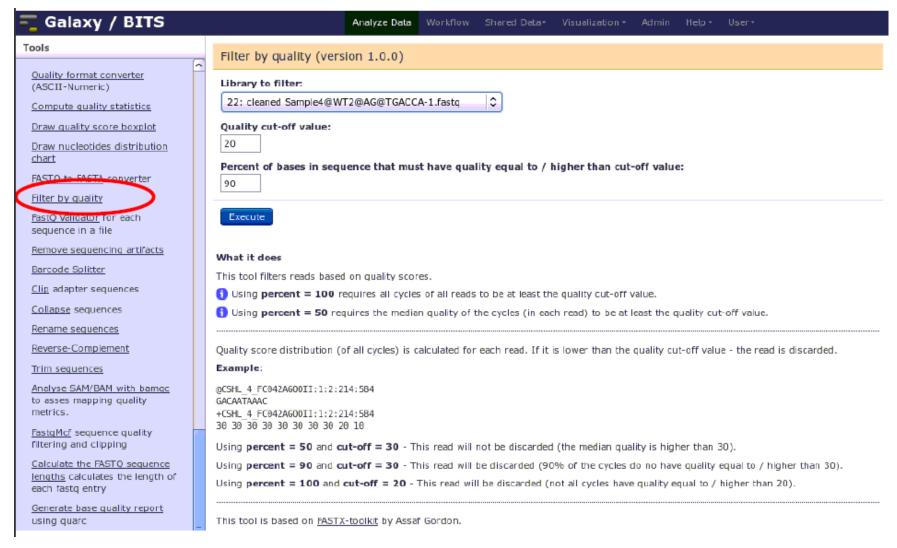
Technical contamination

Our goal is to define DE expression, for this we need to assign reads with a high confidence to the correct genomic location.

Removal of **low quality** read parts: they have a higher chance to contain errors, and cause noise in our read counts.



Removing low quality reads



Trimming reads

Tools Draw quality score boxplot for SOLID data **GENERIC FASTO** MANIPULATION Filter FASTQ reads by quality score and length FASTO Trimmer by column FASTO Quality Trimmer by sliding window FASTQ Masker by quality score FASTQ interlacer on paired end FASTO de-interlacer on paired end reads Manipulate FASTQ reads on various attributes. FASTQ to FASTA converter FASTQ to Tabular converter Tabular to FASTQ converter FASTX-TOOLKIT FOR FASTO DATA Quality format converter (ASCII-Numeric) Compute quality statistics Draw quality score boxplot Draw nucleotides distribution FASTQ to FASTA converter Filter by quality FastO Validator for each sequence in a file Remove sequencing artifacts Barcode Splitter Clip adapter sequences

Collapse sequences

Rename sequences

Reverse-Complement

FASTQ Quality Trimmer (version 1.0.0	
FASTQ File: 22: cleaned Sample4@WT2@AG@TGACCA-1.fc	astq 🗘
Keep reads with zero length:	
Trim ends: 5' and 3' 0	
Window size:	
Step Size:	
Maximum number of bases to exclude from	the window during aggregation:
Aggregate action for window: min score	
Trim until aggregate score is:	
Quality Score: 0.0	
Execute	

This tool allows you to trim the ends of reads based upon the aggregate value of quality scores found within a sliding window; a sliding window of size 1 is equivalent to 'simple' trimming of the ends.

The user specifies the aggregating action (min, max, sum, mean) to perform on the quality score values found within the sliding window to be used with the user defined comparison operation and comparison value.

The user can provide a maximum count of bases that can be excluded from the aggregation within the window. When set, this tool will first check the aggregation of the entire window, then after removing 1 value, then after removing 2 values, up to the number declared. Setting this value to be equal to or greater than the window size will cause no trimming to occur.

A Trimming a color space read will cause any adapter base to be lost.

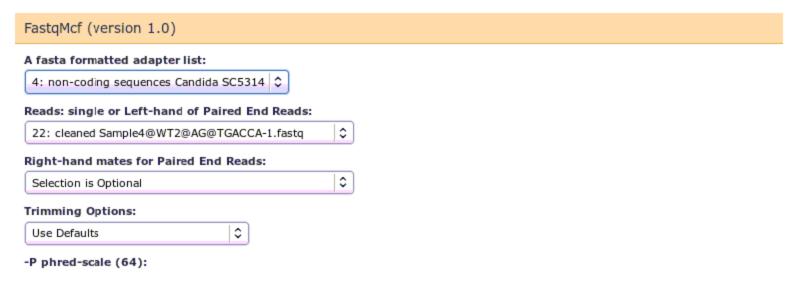
Citation

If you use this tool, please cite Blankenberg D, Gordon A, Von Kuster G, Coraor N, Taylor J, Nekrutenko A; Galaxy Team. Manipulation of FASTQ data with Galaxy. Bioinformatics. 2010 Jul 15;26(14):1783-5.

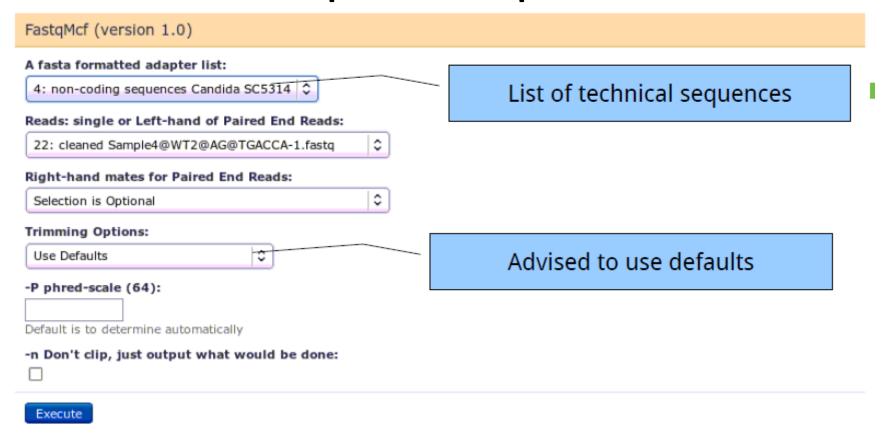
Technical contamination

Our goal is to define DE expression, for this we need to assign reads with a high confidence to the correct genomic location.

Removal of adaptor sequences (and other technical sequences, such as multiplex) as they cannot be mapped to the reference genome.



Remove adaptors & primers & etc



What it does

fastq-mcf attempts to:

Detect and remove sequencing adapters and primers Detect limited skewing at the ends of reads and clip Detect poor quality at the ends of reads and clip Detect N's, and remove from ends Remove reads with CASAVA 'Y' flag (purity filtering) Discard sequences that are too short after all of the above Keep multiple mate-reads in sync while doing all of the above

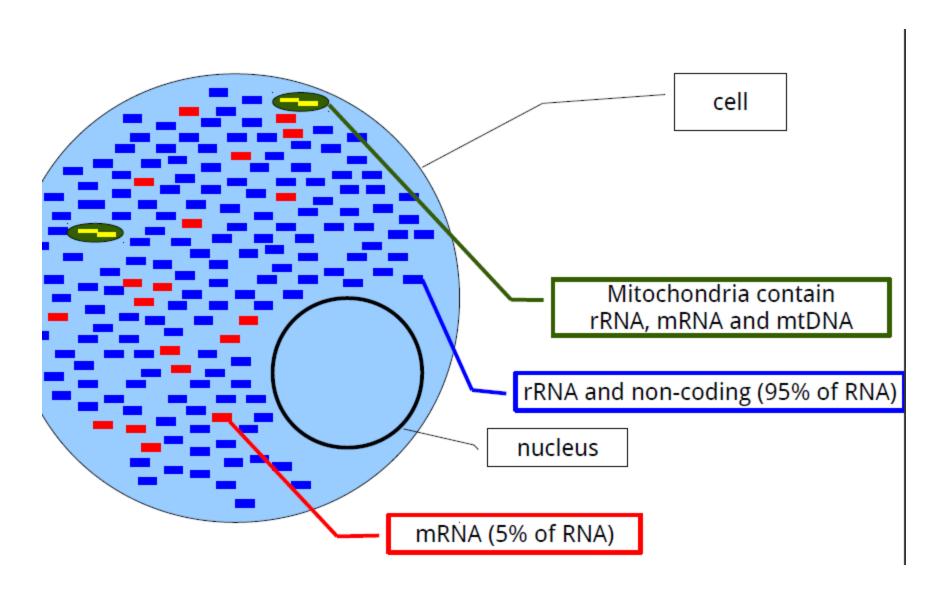
Fastq-mcf-output

```
Data Viewer
Scale used: 2.2
Phred: 33
Threshold used: 751 out of 300000
Adapter tag9 (GATCAG): counted 1527 at the 'end' of '/mnt/galaxydb/files/016/dataset 16656.dat', clip set to 6
Adapter tag8 (ACTTGA): counted 5488 at the 'end' of '/mnt/galaxydb/files/016/dataset 16656.dat', clip set to 5
Adapter tag7 (CAGATC): counted 2133 at the 'end' of '/mnt/galaxydb/files/016/dataset 16656.dat'. clip set to 6
Adapter tag6 (GCCAAT): counted 7546 at the 'end' of '/mnt/galaxydb/files/016/dataset 16656.dat', clip set to 4
Adapter tag5 (ACAGTG): counted 3578 at the 'end' of '/mnt/galaxydb/files/016/dataset 16656.dat', clip set to 5
Adapter tag4 (TGACCA): counted 9205 at the 'end' of '/mnt/galaxydb/files/016/dataset 16656.dat', clip set to 4
Adapter tagl (ATCACG): counted 1268 at the 'end' of '/mnt/galaxydb/files/016/dataset 16656.dat', clip set to 6
Adapter tag15 (ATGTCA): counted 3427 at the 'end' of '/mnt/galaxydb/files/016/dataset 16656.dat', clip set to 5
Adapter tag14 (AGTTCC): counted 1421 at the 'end' of '/mnt/galaxydb/files/016/dataset 15656.dat', clip set to 6
Adapter tagl3 (AGTCAA): counted 7804 at the 'end' of '/mnt/galaxydb/files/016/dataset 16656.dat', clip set to 4
Adapter tag12 (CTTGTA): counted 7139 at the 'end' of '/mnt/galaxydb/files/016/dataset 16656.dat', clip set to 4
Adapter taglo (TAGCTT): counted 9255 at the 'end' of '/mnt/galaxydb/files/016/dataset 16656.dat', clip set to 4
Files: 1
Total reads: 7239748
Too short after clip: 564286
Clipped 'end' reads: Count: 933479, Mean: 15.81, Sd: 8.72
Trimmed 811764 reads by an average of 5.26 bases on quality < 20
```

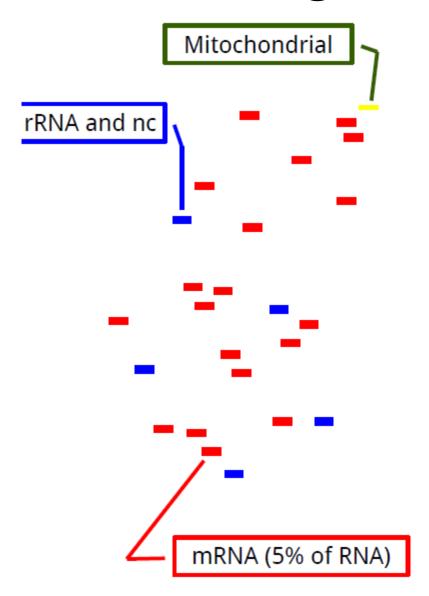
Technical contamination

- Never remove duplicate reads! Highly expressed genes can have genuine duplicate reads, which are not due to the PCR amplification step in the protocol.
- **PhiX sequences**: the DNA of Phi X bacteriophage is spiked in to monitor and optimize sequencing on Illumina machines. Your sequencing provider should filter out those sequences before delivery. You can filter them out by aligning your reads to the PhiX genome.

Biological contamination



Biological contamination



mRNAs are captured with oligo-dT coated beads.

Occasionally, non-protein coding sequences are also captured (especially since mtRNA and rRNA can be relatively rich in AT).

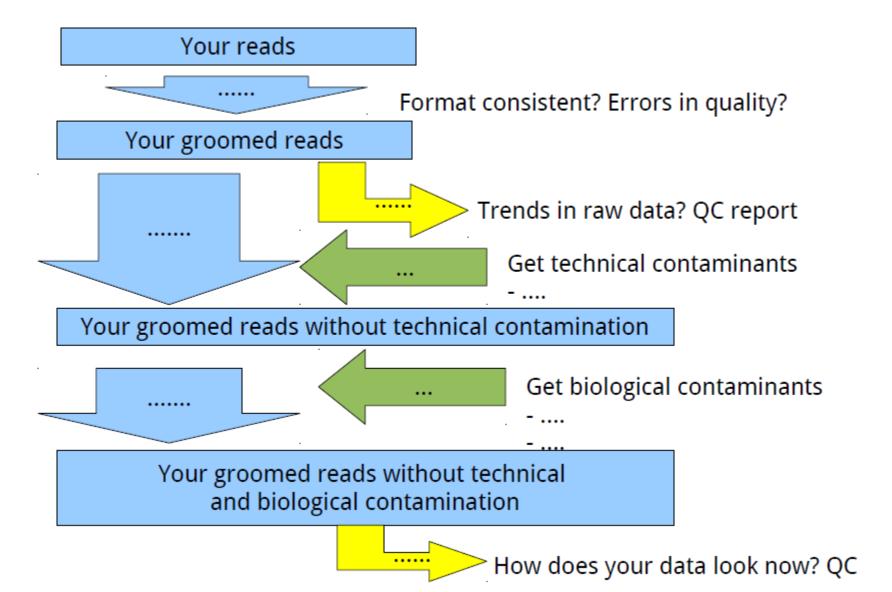
We can remove them via **homology searching** (BLAST) with known non-protein coding sequences.

Biological contamination

- AAAAAAAAAAA

mRNAs are post-transcriptionally modified: e.g. the addition of a poly-A tail. If our goal is to map the reads to a reference genome sequence, the polyA tails should be removed. This can be viewed as some source of 'biological contamination' in our sequences (...).

Summary preprocessing



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