

Algorithm Design & Analysis of Algorithms

- * Design of Algorithm
- * Analysis of Algorithm

If we have a problem P , to solve it, we want programs.

$P \rightarrow$ programs

↳ step by step procedure

↳ Algorithm

Good s/w engineering practice

Before writing programs we write the sequence of operations.

Eg: Add two numbers

Algorithm:

1. Read first number a

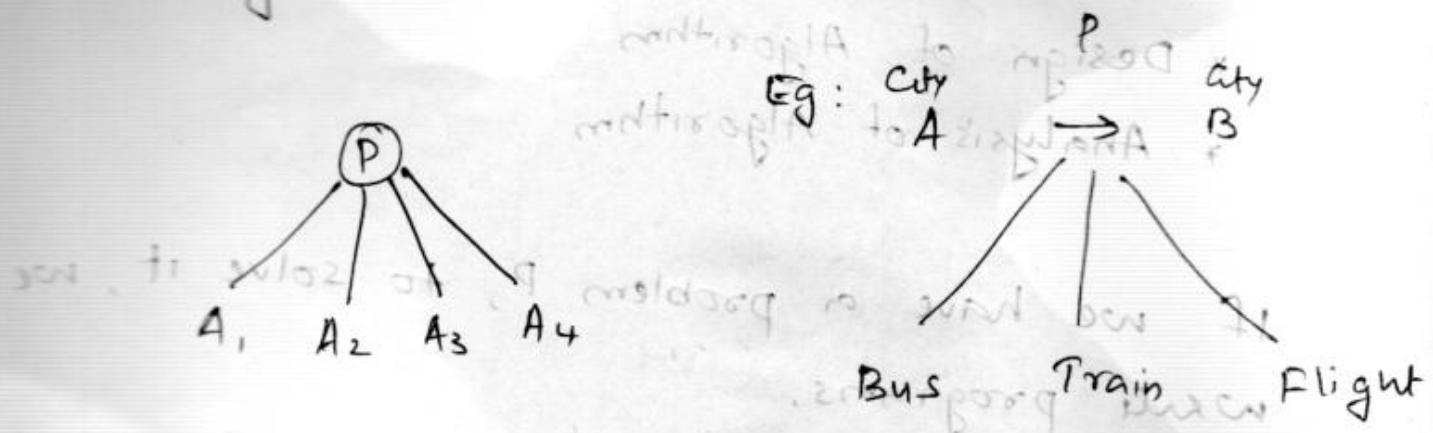
2. Read second number b

3. calculate sum = $a + b$

4. Print sum

$P \rightarrow$ Design → Algorithm → Program

Consider one problem, there are multiple algorithms to solve the problem



A problem has more than one strategies available. Then here comes the importance of analysis of algorithms.

Analysis problems w.r.t. board

Select best from different possible algorithms. Then we implement that best one as program.

To choose a best one, we have to analyse and compare the algorithms.

- * Time
- * Space (Memory)

Evaluate each algo. that takes how much time and space to solve a problem.

Based on that, compare the algorithms.
and we select a best one and implement as
a program.

Generally best means, it takes less time
and less space.

But in most cases, if we want to develop an algorithm,
which takes less time, then we have to
compromise with space. Also if we want
to develop an algo. which takes less
space, then we compromise with time.



Best is less time & less cash (it is not possible)

Less time means we have to compromise
with cash

Less cash means we have to compromise
with time

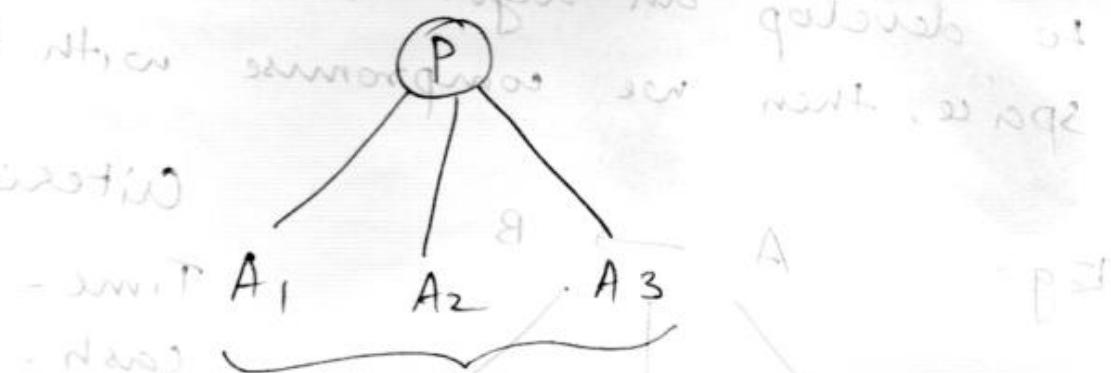
consider an algo. A, it takes more time for larger inputs but less time for smaller inputs. It is not generally

best i.e., answer had appeared

Suppose we have a s/w. Always we are giving smaller inputs to the s/w.

According to this s/w. Algo. is best.

That means it depends on application



Time, Space - Compare.

Time in (i) less \Rightarrow best algo.

Space in (i) less \Rightarrow best algo.

Program (Implement)

Algorithm

5

An algorithm is a finite set of instructions that if followed accomplishes a particular task.

Criteria for algorithms

1. Input (which type required)

One or more quantities are externally supplied

2. Output (specified)

Atleast one quantity of o/p is produced.

3. Definiteness (Non Ambiguity)

Each instruction is clear and unambiguous.

4. Finiteness

After a finite number of steps, algo. should terminate.

5. Effectiveness

Every instruction must be basic so that it can be carried out in principle by person by using only a pencil and a paper.

Properties of Algorithm

1. Input
2. Output
3. Finiteness
4. Definiteness
5. Effectiveness
6. Generality
7. Correctness

The analysis of an algorithm focuses on time and space complexity.

Time Complexity

is the amount of CPU time it needs to run to completion.

Space Complexity

is the amount of memory it needs to run to completion.

Space Complexity

1 word = 4 byte.

Memory space $S(P)$ needed by a program P , consists of two components

* Fixed part: (independent/const.)
needed for instruction space (byte code), simple variable space, constants space etc $\rightarrow S_p$ (instance)

* Variable part: (dependent char.)
dependent on a particular instance of input and output data $\rightarrow S_p$ (instance)
(reference var., stack space, array size)

$$S(P) = C + S_p \text{ (instance)}$$

Eg: Algorithm abc (a, b, c)

{

return $a+b+b*c+(a+b-c)/(a+b)+4\cdot 0;$

}

Fixed part

val. a, b, c (does not depend on other) $S(P) = 3 + 0 = 3$

If array occurs
it will vary

$O(1)$

a, b, c - 1+1+1 = 3

1 word = 4 byte

Eg: Algorithm sum (a[], n)

{

$S(P) = C + S_P.$

$S = 0$

$n = n \times 4 \text{ byte}$

for i = 1 to n do

$S = S + a[i];$

return s; \rightarrow val. part

}

variable part

Val. a is array, size of array vary

no. of elements in array

(each ele. will take space)

$a[]$

s, i, n

fixed part

$s, n, a[i] \}$ - 1 word each.
3 word

Space needed to store $n = 1$ word.

Space needed to store $a[] = n$ words.

Space needed to store i and $s = 2$ words.

$$S(P) = \underline{\underline{3+n}} \Rightarrow \underline{\underline{O(n)}}$$

Eg : Algorithm Rsum(a, n)

{
if ($n \leq 0$) then

return 0;

else

return Rsum($a, n-1$) + $a[n]$

}

Algo -

int fact = 1;

for (int i = 1; i <= n; i++)

{
fact = fact * i;
return fact;

}

$O(3)$

$\underline{\underline{O(1)}}$

Recursion

If an array have n elements, compute the sum of the elements in the array.

Stack is used in recursion. A recursion have 3 variables

formal parameter, local variables,
return address.

If we call the fn. first time, we have to store the formal parameter, local var., and return val.

If we call next time, then also store formal, local & return addrs.

↓ ↓ ↓
1 word 1 word 1 word.

$3 * \text{no: of times recursion executed.}$

$$3 * n + 1$$

$$\underline{\underline{3(n+1)}}$$

If $n = 2$

we have to call 3 times

$$S(P) = \underline{\underline{3(n+1)}} \rightarrow \underline{\underline{O(n)}}$$

Eg: Algo. Fact(n)

if $(n == 0)$ then

return 1;

else

return $n * \text{fact}(n-1);$

}

$$S(P) = \underline{\underline{3(n+1)}} \rightarrow \underline{\underline{O(n)}}$$

Time Complexity

Time taken by a program to complete its task.

consists of two parts

- * compile time (fixed) independent.
- * run time (variable) depends on particular problem instance (t_p)

$$T(P) = c + t_p \text{ (instance)}$$

compile time does not depend upon instance characteristics (c). Once compiled pgm will run several times without recompilation.

Runtime depends on particular problem instance (t_p)

Two methods

1. Operation count
2. step count.

Operation count

Select one or more operations such as addition, multiplication and comparison to determine how many of each is done.

Step count

No. of steps each statement in the program executes.

How to analyze an algorithm?

1. Time
2. Space
3. N/W
4. Power
5. CPU registers.

Eg: Algorithm swap(a, b)

{

temp = a; _____ !

a = b; _____ !

b = temp; _____ !

}

Every statement in the algo. takes one unit of time.

$f(n) = 3$ constant time, so.

⇒ O(1)

Comments - Zero step
Assg. stt - One step
conditional - one step
while (expr) -
Loop (condi) - $n+1$
Body - n

Eg: Algorithm sum(a, n)

{

s = 0 _____ !

for i = 1 to n _____ !

 s = s + a[i] _____ !

return s _____ !

}

$f(n) = 1 + n + 1 + n + 1 = 2n + 3 \Rightarrow \underline{\underline{O(n)}}$

Eg: Algorithm sum(a[], n, m)

{
for i = 1 to n do — n+1

 for j = 1 to m do — m(n+1) $\Rightarrow mn+n$

 s = s + a[i][j]; — nm

 return s; — 1

}

$$f(n) = n+1 + mn + n + mn+1 \rightarrow O(mn)$$
$$= \underline{\underline{2mn + 2n + 2}}$$

Eg: To add two arrays

Algorithm sum(a, b)

{

for i = 1 to m do — m+1

{

 for j = 1 to n do — m(n+1) $\Rightarrow mn+m$

 c[i][j] = a[i][j] + b[i][j]; — mn

}

}

}

$$f(n) = m+1 + mn + m + mn$$

$$= \underline{\underline{2mn + 2m + 1}} \Rightarrow O(mn)$$

Eg: Sum of two matrices

Algorithm Add (A, B, n)

{

for ($i=0$; $i < n$; $i++$) ————— $n+1$

{

for ($j=0$; $j < n$; $j++$) ————— $n(n+1) \Rightarrow n^2+n$

{

$c[i, j] = A[i, j] + B[i, j]$ ————— $n \times n \Rightarrow n^2$

}

.

$$f(n) = n+1 + n^2 + n + n^2 \\ = 2n^2 + 2n + 1 \Rightarrow O(n^2)$$

Here the highest degree is n^2 .

Eg: Multiplication of two matrices.

Algorithm Mult (A, B, n)

{

for ($i=0$; $i < n$; $i++$) ————— $n+1$

{

for ($j=0$; $j < n$; $j++$) ————— $n(n+1) \Rightarrow n^2+n$

{

$c[i, j] = 0$; ————— $n \times n \Rightarrow n^2$

for ($k=0$; $k < n$; $k++$) ————— $n \times n(n+1) \Rightarrow n^3+n^2$

{

$c[i, j] = A[i, k] * B[k, j]$ ————— $n \times n \times n \Rightarrow n^3$

.

$$f(n) = n+1 + n^2 + n + n^2 + n^3 + n^2 + n^3 \\ = 2n^3 + 2n^2 + 2n + 1 \Rightarrow O(n^3)$$

$n=10 \Rightarrow$ executes 5 times

Eg: $\text{for } (i=0; i < n; i = i + 2) -$

{
 stmt;
}

degree of polynomial is n
so $O(n)$

$O(n)$

Eg: $\text{for } (i=n; i > 0; i--)$

{
 stmt;
}

$O(n)$

Eg: - void Test (int n) $T(n)$

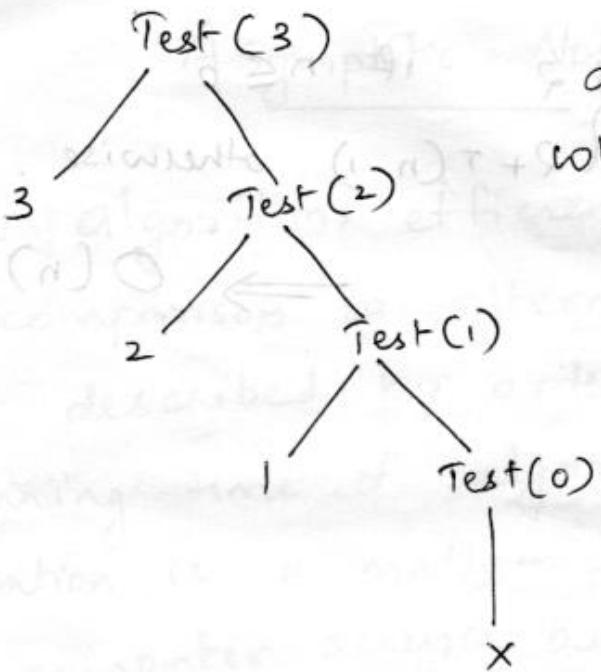
{
 if ($n > 0$) — const. time
 { count = count + 1;
 printf ("l.d", n) — 1;
 Test (n-1) — $T(n-1)$ }
 }
}

Assume time taken by algo.

$T(n)$ tot. amt. of time

Time taken for this algo. is $T(n-1)$.

If the algo. takes $T(n)$ amt. of time, then $Test(n-1)$ takes $T(n-1)$. Condition takes const. amt. of time.



Printing a value
and calling a function.
what is the time taken
for printf: just one
unit of time. In each
call it is taking 1 unit
of call. Here 3
No: of calls is 4.

If I pass n , then total $n+1$ calls/times.
printf executed n times.

$$T(n) = T(n-1) + 1$$

$$T(n) = \begin{cases} 1 & n=0 \\ T(n-1) + 1 & n>0 \end{cases} \Rightarrow O(n)$$

Recursive sum of n elements in an array

Eg: Algorithm Rsum(a, n) using recursion.

```

    {
        if (n ≤ 0) then
            if this is true
                count = count + 1
                return 0;
            else
                count = count + 1
                return Rsum(a, n-1) + a[n] - 1
    }
  
```

If this is
recursion
calling itself

$T(n) = \begin{cases} 1 & n \leq 0 \\ 1 + T(n-1) & otherwise \end{cases}$

consider a problem
 addition of ~~numbers~~
 what is the cost of adding
 $T(n) = \begin{cases} 2 & \text{if } n \leq 0 \\ 2 + T(n-1) & \text{otherwise} \end{cases}$
 two ways :
 direct way : Having ~~two~~
 place n , want to know
 how I add it in this
 & what has to
 add in this. To solve $T(n)$
~~(a) base case~~
~~(b) recursive step~~
~~(c) induction~~

$$\Rightarrow O(n)$$

another idea if we total next, ~~answering~~ \rightarrow $T(1)$
 want or between ? thing

$$1 + (1-a)T = (a)T$$



$$0 = A$$

$$(n)O \leftarrow 0 \leq n \quad 1 + (1-a)T \} = (a)T$$

$(a, 1-a)$ two cases - ~~contradiction~~ \rightarrow P

want $(a \geq 0)$ \rightarrow

$[a]P + (1-a) \text{ must never}$

A Symptotic Notation

The algorithm efficiency and performance in comparison to alternate algorithm is best described by order of growth of running time of algorithm. A Symptotic notation is a mathematical notation used in computer science and mathematics to describe the limiting behavior of function as their input sizes approach infinity or some other limit. It provides a way to analyze the efficiency and performance of algorithms by characterizing how the algorithm's resources usage (typically time or space) scales with the size of the input.

There are three common asymptotic notations.

1. Big O Notation (O)

2. Omega Notation (Ω)

3. Theta Notation (Θ)

4. Little oh (o)

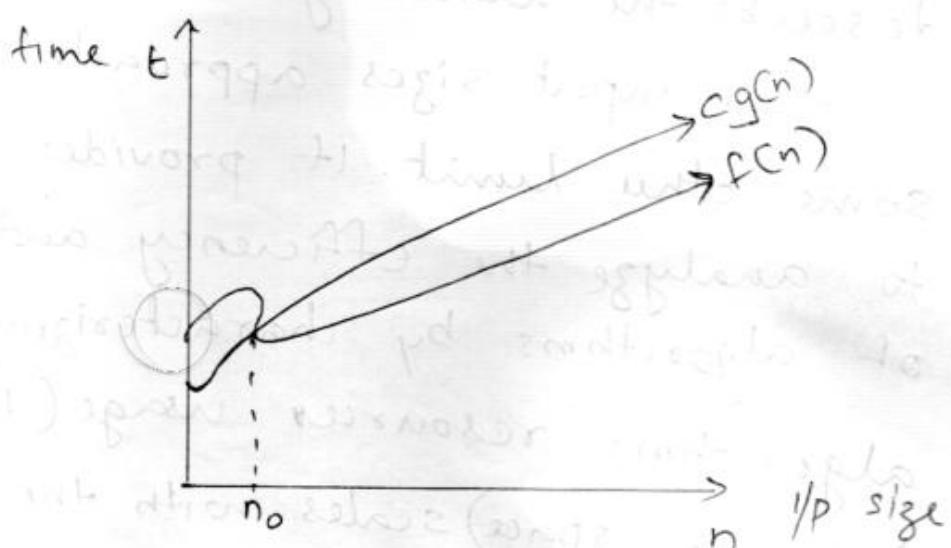
5. Little omega (ω)

Big O-Notation (O)

Max. how much am. of time an algo. takes to exec.

represented as $O(f(n))$, provides an upper bound on the growth rate of an algorithm's resource usage in the worst case scenario. It describes the maximum rate of growth of the function as the input.

$g(n)$ is an asymptotic upper bound for $f(n)$.



Functions in order of increasing growth rate are:

$$O(1) < O(\log n) < O(n) < O(n \log n) < O(n^2) < O(n^3) < O(k^n)$$

where k is a constant.

Given functions $f(n)$ and $g(n)$, we say that $f(n)$ is $O(g(n))$ if there are positive constants c and n_0 such that

$$f(n) \leq c \cdot g(n) \text{ for all } n \geq n_0$$

$c > 0$
 $n_0 \geq 1$

If the above function is satisfied, then we can write

$$f(n) = O(g(n))$$

$g(n)$ is asymptotically greater than $f(n)$.

Eg: Show that $2n+7$ is $O(n)$

$$f(n) = 2n+7$$

$$f(n) \leq c \cdot g(n) \quad n=2$$

$$g(n) = n$$

$$2n+7 \leq 3 \cdot n$$

$$f(n) \leq c \cdot g(n)$$

$$4+7 \leq 3 \cdot 2 \quad \times$$

$$c = 3 \quad n = 7$$

$$\text{If } n=3 \quad c=3$$

$$2n+7 \leq c \cdot g(n)$$

$$2 \times 3+7 \leq 3 \cdot 3$$

$$2 \times 7+7 \leq 3 \cdot 7$$

$$14 \leq 9 \quad \times$$

$$21 \leq 21 \quad \checkmark$$

So $2n+7$ is $O(n)$

$$\text{If } n=7 \quad c=3$$

$$14+7 \leq 3 \cdot 7$$

$$21 \leq 21 \quad \checkmark$$

$$\text{If } n=8 \quad 24 \leq 24 \quad \checkmark$$

Eg: show that $3n+2$ is $O(n)$.

$$f(n) \leq c \cdot g(n) \quad g(n) = n.$$

$$3n+2 \leq c \cdot n.$$

$$\text{If } n=3 \quad c=4$$

$$8 \leq 8 \checkmark$$

$$3 \times 3 + 2 \leq 4 \times 3$$

$$\text{II} \leq 12 \quad \checkmark$$

$$f(n) = O(g(n))$$

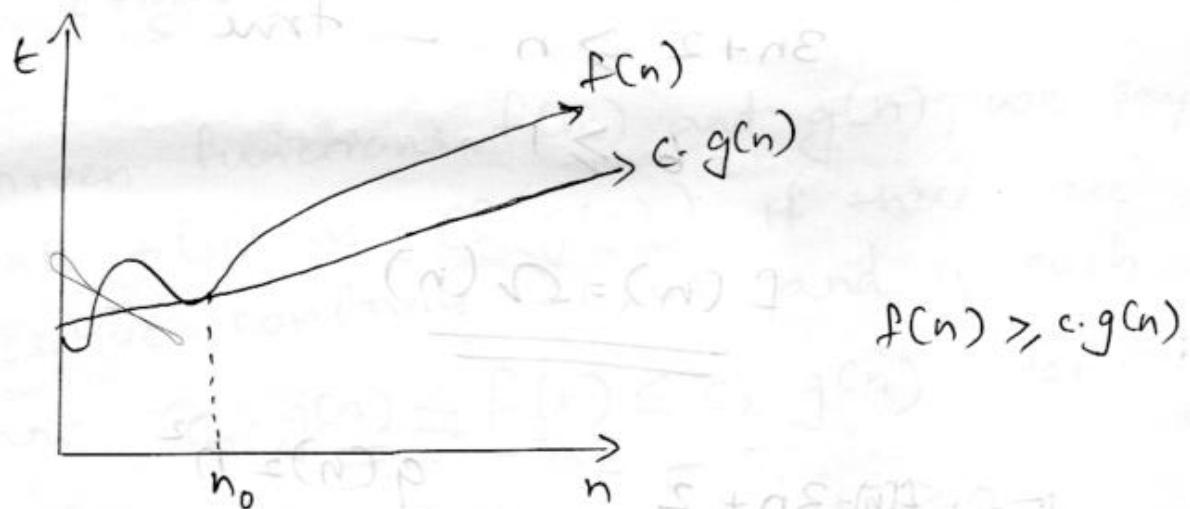
$3n+2$ is $O(n)$

Eg:

Big Omega (Ω)

It represents lower bounds and best case. (tightest lower bound)

Running time of an algorithm with respect to its input size n . ($f(n)$)
We want to find a function which is lower bound to $f(n)$.



we want to find a fn. $g(n)$ such that $c \cdot g(n) \leq f(n)$ after a particular value n_0 .

$$f(n) \geq c \cdot g(n), \quad n \geq n_0$$

$$c > 0$$

$$n_0 \geq 1$$

If these conditions satisfy then

$$f(n) = \Omega(g(n))$$
 - $g(n)$ is asymptotically smaller than $f(n)$

Eg: $f(n) = 3n + 2$ $\quad g(n) = n$

so we check $f(n) = \Omega(g(n))$

$$f(n) \geq c \cdot g(n)$$

$$3n + 2 \geq c \cdot n$$

If $c=1$ $n \geq 1$ is a good value

$$3n + 2 \geq n - \text{true}$$

$$6 \geq 1$$

$f(n) = \Omega(n)$

Eg: $f(n) = 3n + 2$ $\quad g(n) = n^2$

$$f(n) \geq c \cdot g(n)$$

$$\text{weak } \Leftarrow 3n + 2 \geq c \cdot n^2$$

If we substitute any values for c and n , we can't get

$$3n + 2 > c \cdot n^2$$

$$\therefore \text{so } f(n) \neq \Omega(g(n))$$

$$(3n+2) \Omega(n^2) = O(n^2)$$

we can't lower bound n^2 to n .

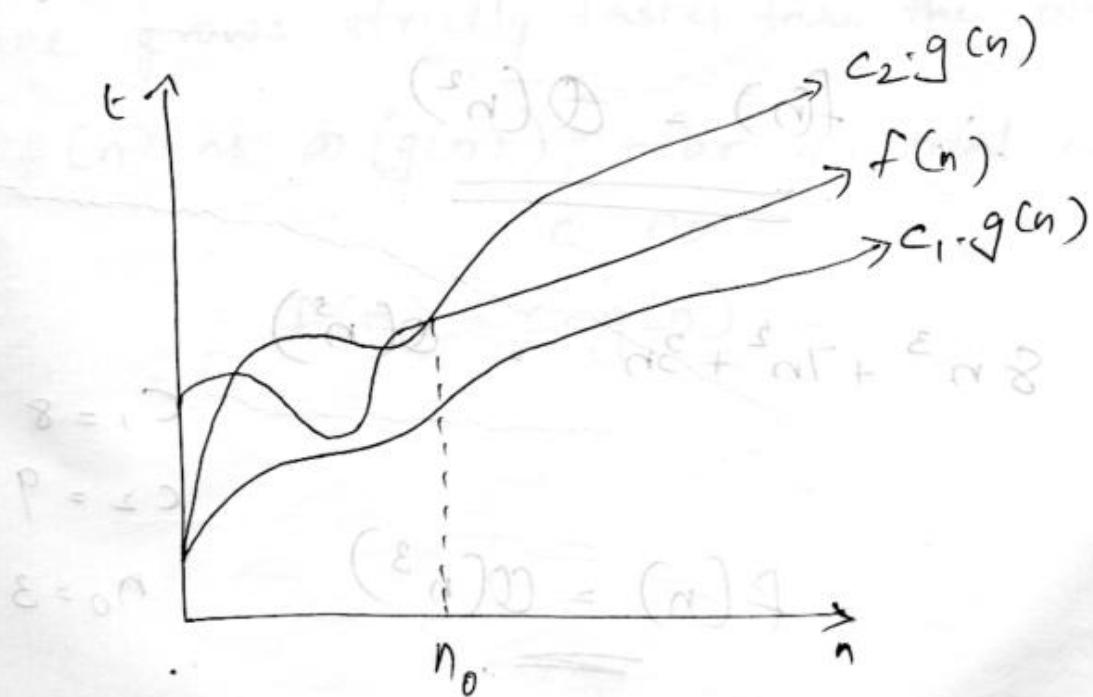
$$n > \log n > \log \log n$$

Big Theta (Θ)

- * Average case
- * tight bound of a function.

Given functions $f(n)$ and $g(n)$, we say that $f(n) \sim \Theta(g(n))$, if there are positive constants c_1, c_2 and n_0 such that $c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n)$ for

all $n_0 \geq 0, n \geq n_0, c_1, c_2 \geq 0$



Eg: Show that $8n^2 + 7n = \mathcal{O}(n^2)$

$$f(n) = 8n^2 + 7n \quad g(n) = n^2$$

c_1, c_2 and n_0 are positive constants.

$$c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n) \quad n \geq n_0$$

Left hand inequality $c_1(n^2) \leq 8n^2 + 7n$

holds for any value of $n \geq 1$ and $c_1 = 8$

The right hand inequality holds for any value of $n \geq n_0 \geq 7$ and $c_2 = 9$

$$8n^2 \leq 8n^2 + 7n \leq 9n^2 \quad \text{for } c_1 = 8 \quad c_2 = 9$$

$$n_0 = 7$$

$$\underline{\underline{f(n) = \mathcal{O}(n^2)}}$$

Eg: $8n^3 + 7n^2 + 3n = \mathcal{O}(n^3)$

$$c_1 = 8$$

$$c_2 = 9$$

$$\underline{\underline{f(n) = \mathcal{O}(n^3)}} \quad n_0 = 3$$

Little o) notation

"Little o" (o) notation is used to describe an upper bound that cannot be tight.

When we say that a function $f(n)$ is $\text{o}(g(n))$, we are essentially stating that $f(n)$ grows slower than $g(n)$ as n approaches infinity.

If $f(n) = \text{o}(g(n))$, it means that $g(n)$ grows faster than $f(n)$.

$$f(n) < c \cdot g(n)$$

Little ω) notation

Little ω notation is used to describe the relationship between two functions when one grows strictly faster than the other.

$f(n)$ is $\omega(g(n))$, for all real constants c, n_0 .

$$f(n) > c \cdot g(n).$$

Recurrence relation

A recurrence relation, in mathematics and computer science, is a way to define a sequence of values using one or more previous terms in the sequence. It is a mathematical equation or expression that describes the relationship between the current term in the sequence and one or more of its preceding terms. They are frequently used to analyze the time complexity of algorithms and to describe recursive algorithms.

$$T(n) = \begin{cases} 1 & n=0 \\ T(n-1)+1, & n>0 \end{cases}$$

Iteration Method

We solve recurrence relations using the iteration method. In this method, we keep substituting the smaller terms again and again until we reach the base condition. Thus the base term can be replaced by its value, and we get the value of the expression.

(Eg)

$$T(n) = \begin{cases} 1 & n = 0 \\ T(n-1) + 1 & n > 0 \end{cases}$$

$$T(n) = T(n-1) + 1 \quad \text{--- } ①$$

Substitute $n-1 \rightarrow T(n)$ in ①

$$\begin{aligned} T(n-1) &= T(n-1-1) + 1 \\ &= T(n-2) + 1 \end{aligned}$$

Substitute

$$T(n) = T(n-2) + 1 + 1$$

②

$$= T(n-2) + 2$$

Substitute $n-2$ in ①

$$\begin{aligned} T(n-2) &= T(n-2-1) + 1 \\ &= T(n-3) + 1 \end{aligned}$$

$$T(n) = T(n-3) + 1 + 2$$

③

$$= T(n-3) + 3$$

Continue for k times

$$= T(n-k) + k$$

put $n-k = 0$
 $\therefore k = n$

$$= T(n-n) + n$$

$$= T(0) + n = 1 + n = n$$

$$\Rightarrow \underline{\underline{O(n)}}$$

Eg:-

$$T(n) = \begin{cases} 1 & n=0 \\ T(n-1)+n & n>0 \end{cases}$$

$$T(n) = T(n-1) + n \quad \text{--- (1)}$$

$$\begin{cases} T(n) = T(n-1) + n \\ T(n-1) = T(n-1-1) + n-1 \\ \vdots \\ T(1) = T(1-1) + 1 \end{cases}$$

Substituting we get

$$T(n) = T[(n-2) + n-1] + n$$

$$= T(n-2) + (n-1) + n \quad \text{--- (2)}$$

Substituting we get

$$\begin{cases} T(n-2) = T(n-2-1) + n-1 \\ = T(n-3) + n-2 \\ \vdots \\ T(1) = T(1-1) + 1 \end{cases}$$

$$T(n) = T[(n-3) + (n-2)] + (n-1) + n$$

$$= T(n-3) + (n-2) + (n-1) + n \quad \text{--- (3)}$$

continuing for k times

$$T(n) = T(n-k) + (n-(k-1)) + (n-(k-2)) +$$

$$\dots + (n-1) + n \quad \text{---} \quad \textcircled{3}$$

$$(n-k)T_S =$$

Assume $n - k = 0$

$$n = k$$

$$T(n) = T(n-n) + (n-n+1) + (n-n+2) +$$

$$\dots + (n-1) + n$$

$$= T(0) + 1 + 2 + 3 + \dots + (n-1) + n$$

$$= 1 + \frac{n(n+1)}{2}$$

$$= \frac{n(n+1)}{2} = \underline{\underline{O(n^2)}}$$

$$Q) T(n) = \begin{cases} 1 & n=0 \\ 2T(n-1) + 1 & n>0 \end{cases}$$

$$T(n) = 2T(n-1) + 1 \quad \text{---} \quad ①$$

$$T(n-1) = 2T(n-2) + 1$$

$$= 2 \left[\frac{2T(n-2) + 1}{4} \right] + 1$$

$$= 2^2 T(n-2) + 2 + 1 \quad \text{---} \quad ②$$

$$= 2^2 [2T(n-3) + 1] + 2 + 1$$

$$= 2^3 T(n-3) + 2^2 + 2 + 1 \quad \text{---} \quad ③$$

K times

$$= 2T(n-k) + \underbrace{2^k + 2^{k-1} + \dots + 2^2 + 2 + 1}_{2^k - 1}$$

$$= 2^k T(n-k) + 2^k - 1$$

$$\text{put } n-k=0$$

$$k=n$$

$$= 2^n T(0) + 2^n - 1$$

$$= 2^n + 2^n - 1$$

$$= 2^{n+1} - 1 \Rightarrow \underline{\underline{O(2^n)}}$$

$$\boxed{\begin{aligned} 2^n + 2^n &= 2^{n+1} \\ 2^2 + 2^2 &= 8 \\ 2^2 + 2^2 &= 2^{2+1} \end{aligned}}$$

$$\text{Eg: } T(n) = \begin{cases} 1 & n=1 \\ 2T\left(\frac{n}{2}\right) + n & n>1 \end{cases}$$

$$\begin{aligned}
 T(n) &= 2T\left(\frac{n}{2}\right) + n \xrightarrow{\textcircled{1}} T(n) = 2T\left(\frac{n}{2}\right) + n \\
 &= 2 \left[2T\left(\frac{n}{2^2}\right) + \frac{n}{2} \right] + n \quad \text{Let } n = 2^k \\
 &= 2^2 T\left(\frac{n}{2^2}\right) + n + n \xrightarrow{\textcircled{2}} T\left(\frac{n}{2^2}\right) = 2T\left(\frac{n}{2^3}\right) + \frac{n}{2} \\
 &= 2^2 \left[2T\left(\frac{n}{2^3}\right) + \frac{n}{2^2} \right] + 2n \\
 &= 2^3 T\left(\frac{n}{2^3}\right) + n + 2n \\
 &= 2^3 T\left(\frac{n}{2^3}\right) + 3n \xrightarrow{\textcircled{3}} \frac{n}{2^k} = 1 \\
 &\quad \text{Let us take } n = 2^k \\
 &\quad \text{k times} \quad \frac{n}{2^k} = 1 \\
 T(n) &= 2^k T\left(\frac{n}{2^k}\right) + kn
 \end{aligned}$$

$$\begin{aligned}
 2^k &= n \\
 \log_2 n &= k \\
 2^4 &= 16 \\
 \log_2 16 &= 4
 \end{aligned}$$

$$\text{Let } n=16$$

$$\begin{aligned}
 \log_2 16 &= 4 \\
 2^4 &= 16
 \end{aligned}$$

$$\log_2 16 = 4$$

$$\text{Assume } \frac{n}{2^k} = 1 \therefore n = 2^k$$

$$k = \log n$$

$$2^{\log n} = n$$

Fundamental relation

$$\begin{aligned}
 T(n) &= 2^k T(1) + kn \\
 &= n \times 1 + n \log n
 \end{aligned}$$

$$\therefore = \underline{\underline{O(n \log n)}}$$

$$\begin{aligned}
 \log_2 n &= n \quad \text{we have} \\
 n &= \log_2 n \\
 2^n &= n
 \end{aligned}$$

Divide and conquer

Breaking large problems into smaller sub problems.

Divide and conquer paradigm consists of three steps at each level of recursion

* Divide

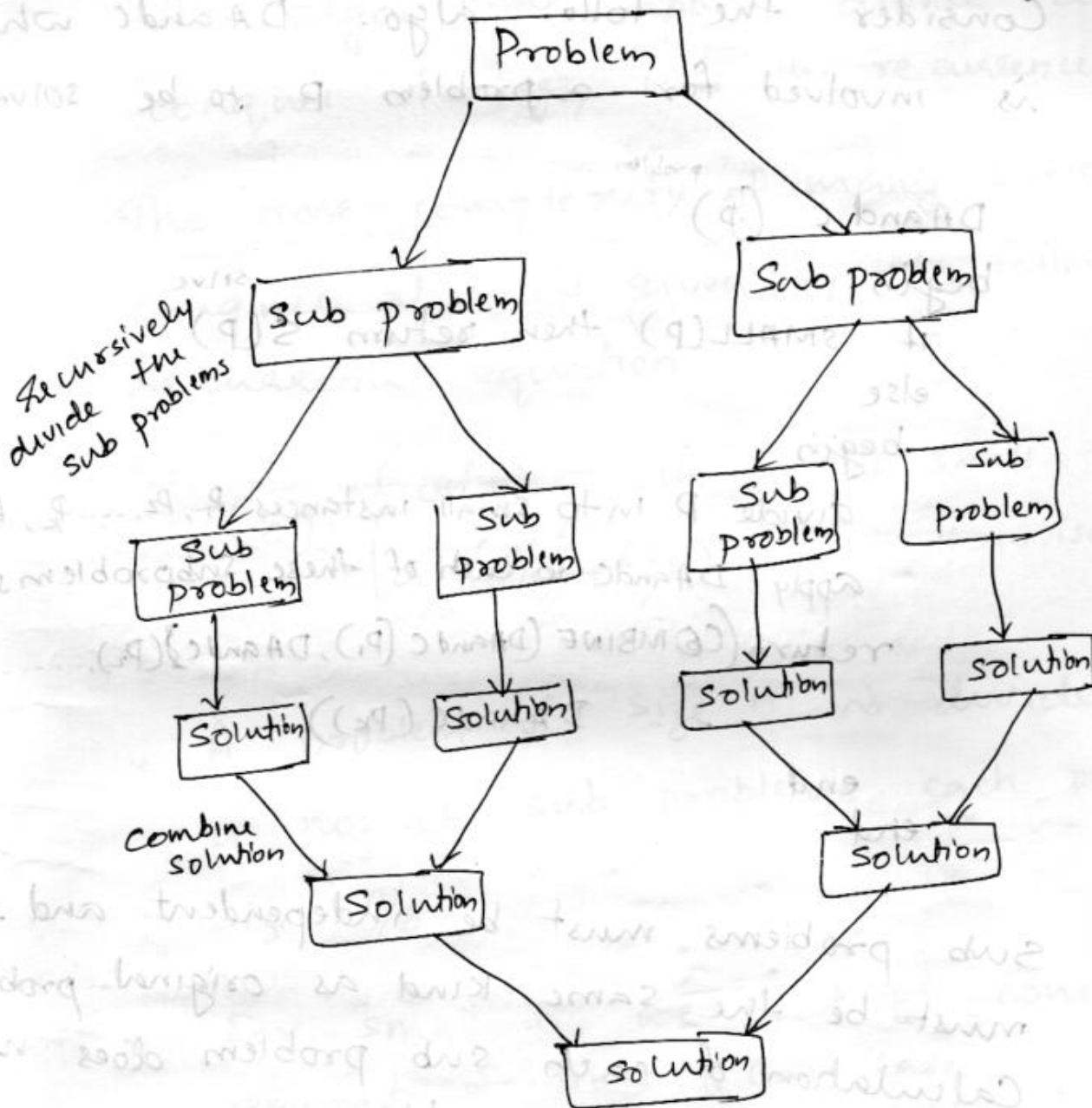
Divide the problem into a no: of sub problems that are smaller instances of the same problem.

* Conquer

Conquer the sub problem by solving them recursively. If they are small enough, solve the sub problems as base case

* combine

Combine the solutions to the sub problems into the solution for the original



Control Abstraction

A control abstraction is a procedure whose flow of control is clear but whose primary operations are specified by other procedures whose precise meanings are left undefined.

Consider the follo. algo. DAandC which is involved for a problem P to be solved.

DAandC (P)

begin

if SMALL(P) then return S(P)

else

begin

divide P into small instances $P_1, P_2, \dots, P_k, k \geq 1$,

apply DAandC to each of these subproblems,

return COMBINE (DAandC (P_1), DAandC (P_2), ..., DAandC (P_k))

end

end

Sub problems must be independent and it must be the same kind as original problem. Calculation of each sub problem does not affect other sub problems.

Eg: A larger no: of objects to be counted. We assign some peoples to count the object (sub problems).

Independent means count of each person's is independent. It does not depend on others count.

An algo. that applies divide and conquer strategy uses the recurrence relation

The time complexity of many Divide and conquer algo. is given by the follo. general recurrence equation.

$$T(n) = \begin{cases} O(1) & \text{if } n \text{ is small} \\ aT\left(\frac{n}{b}\right) + f(n) & \text{if otherwise} \end{cases}$$

- * A problem of size n is divided in a no: of sub problems each of size ' n/b '
- * For small n , we need a constant amount of time (Base case)
- * $f(n)$ time is needed for dividing and combining.

$$f(n) = D(n) + C(n)$$

Merge Sort

Merge sort algo. is a simple and very efficient algo. for sorting a list of numbers. It is based on divide and conquer paradigm.

1. Divide -

The list of n elements is first divided into two sublists of $\frac{n}{2}$ elements.

This step computes the middle of the array, so it takes constant time, $O(1)$.

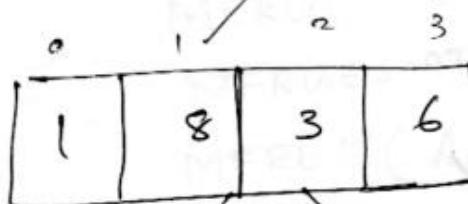
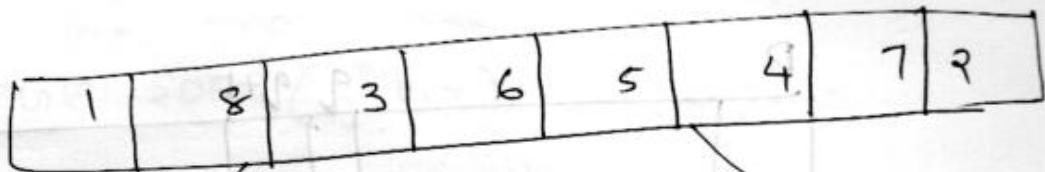
2. Conquer -

Each half is sorted independently by following the same three steps for each half. As each sub problem of size $(\frac{n}{2})$ is recursively solved in this step, so this step contributes $T(\frac{n}{2}) + T(\frac{n}{2})$ to the running time.

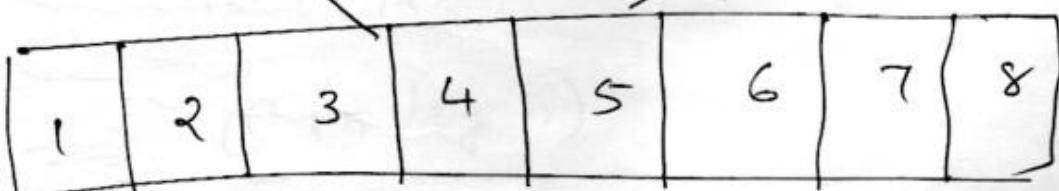
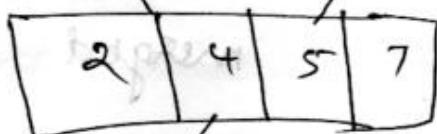
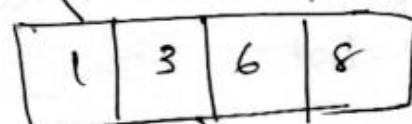
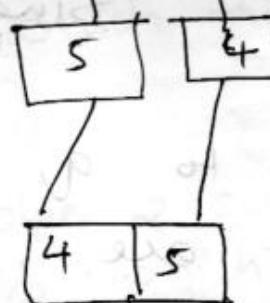
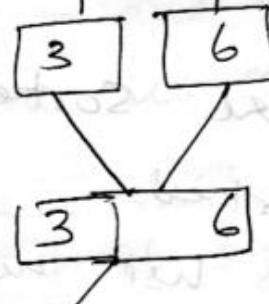
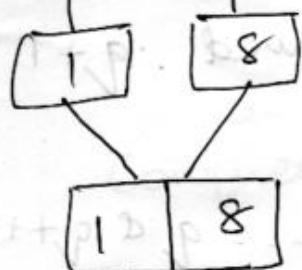
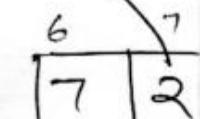
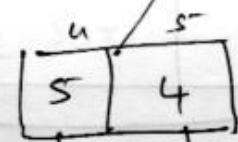
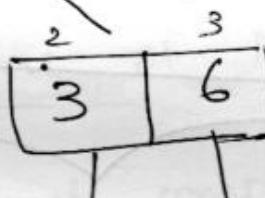
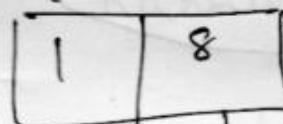
3. Combine -

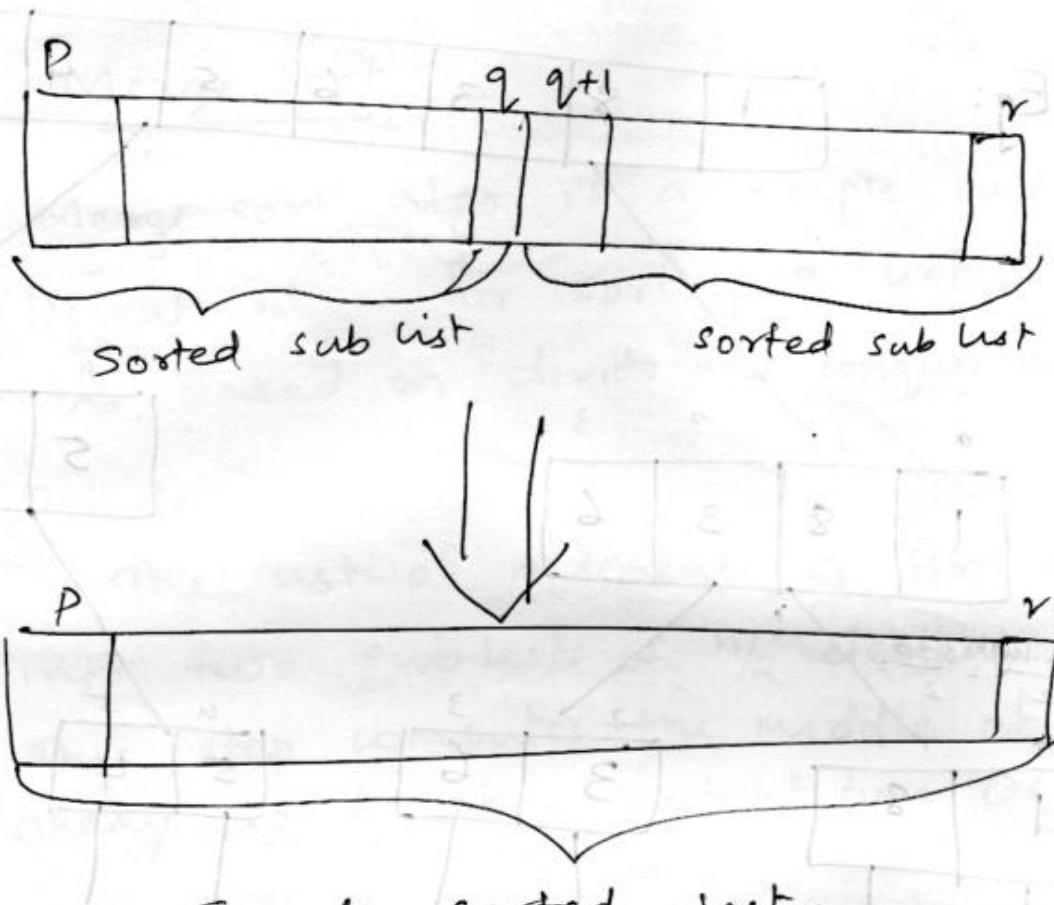
Then the two sorted halves are merged to obtain a sorted sequence. This requires the merging of n elements into one list, so it contributes $O(n)$ to the running time.

Eg:



$$l = \frac{low + high}{2} = \frac{0 + 3}{2} =$$



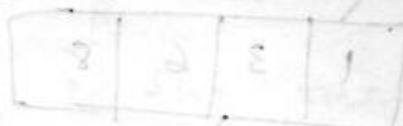


Single sorted list.

p to q are sorted and $q+1$ to r are sorted.

Two sorted list are $p \dots q$ & $q+1 \dots r$.

merged to single sorted list.



MERGE SORT (A, p, r)

If $p < r$ $mid \leftarrow \lfloor (p+r)/2 \rfloor$ $O(1)$
then Divide
 $T(n/2)$
MERGESORT (A, p, q) Conquer
MERGESORT ($A, q+1, r$) $T(n/2)$
MERGE (A, p, q, r) Conquer
Combine
 $O(n)$

Running time of Merge sort

Divide : just compute q as the average of p and r , which takes constant time i.e. $O(1)$

Conquer - Recursively solve 2 sub problems each of size $n/2$, which is $2T(n/2)$

Combine - Merge on an n -element sub array takes $O(n)$ time.

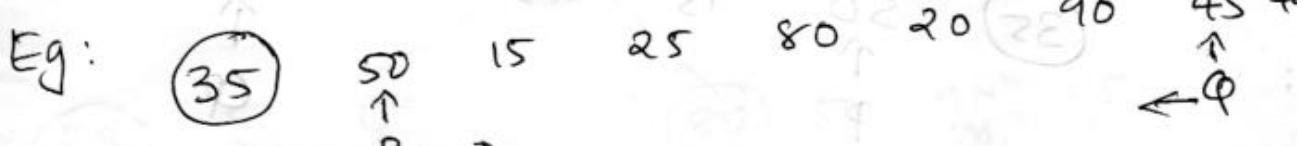
$$T(n) = \begin{cases} O(1) & \text{if } n=1 \\ 2T(n/2) + O(n) & \text{if } n>1 \end{cases}$$

$\Rightarrow \underline{\underline{O(n \log n)}}$

Quick sort

It uses divide and conquer approach

- * Pick an arbitrary element of the array (the pivot)
- * Divide the array into two segments, those that are smaller and those that are greater with the pivot in between
- * Recursively sort the segments to the left and right of the pivot.



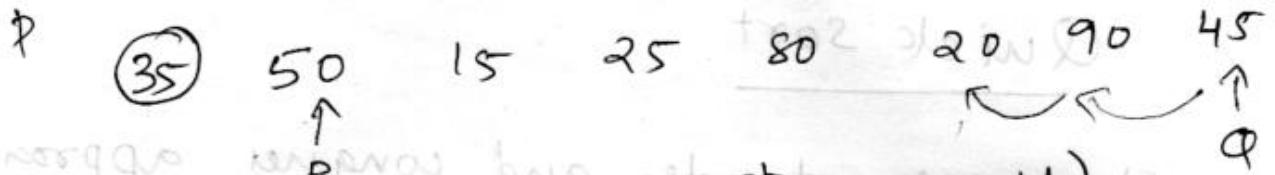
Here pivot = 35

P points to 50 & Q points to 45

P moves right until greater than pivot.
Q moves left until less than pivot.

i.e. if $P > \text{pivot}$ - P stops
if $Q < \text{pivot}$ - Q stops.

IF P & Q crossed, exchange Q with pivot. otherwise exchange P & Q.



check P (if $P \leq$ pivot moves right)

I 50 > 35 - stops.

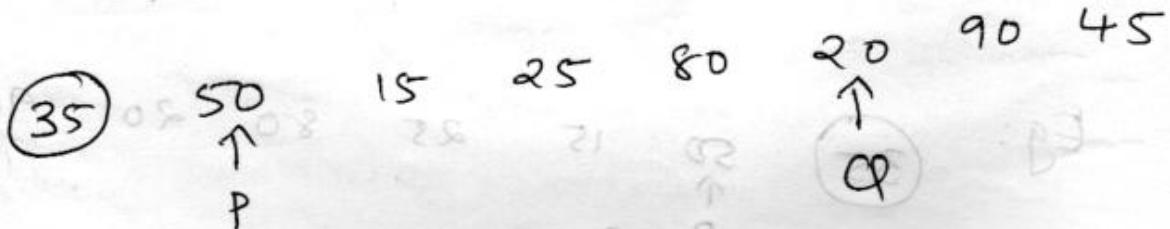
Then check Q (if $Q \geq$ pivot moves left)

45 ≥ 35 - Yes - Decrement / move.

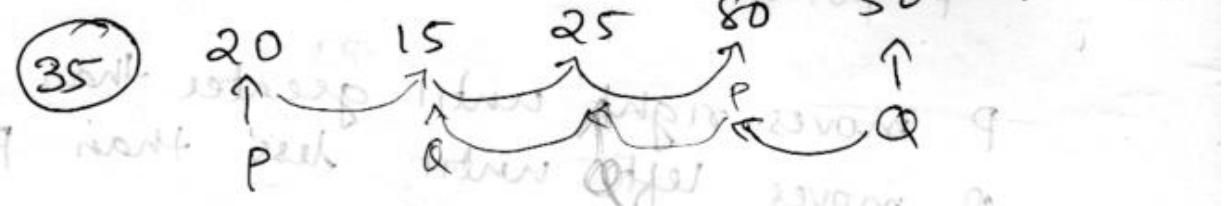
90 ≥ 35 - Yes - move left

20 ≥ 35 - No - stops.

Now Q is at 20



Swap 50 and 20



II check

15 > 35 - No - Move

25 > 35 - No - Move

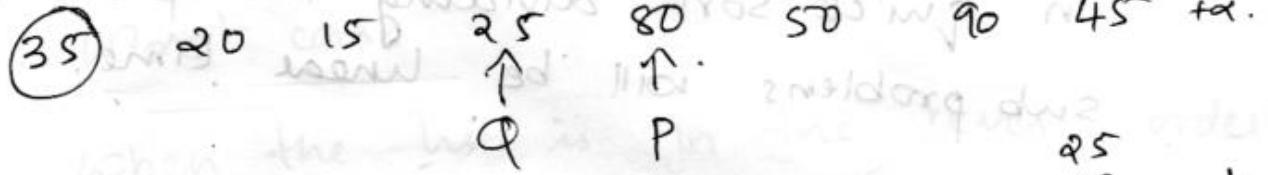
80 > 35 - Yes - stops

P is now at 80

50 > 35 - Yes - Move left.

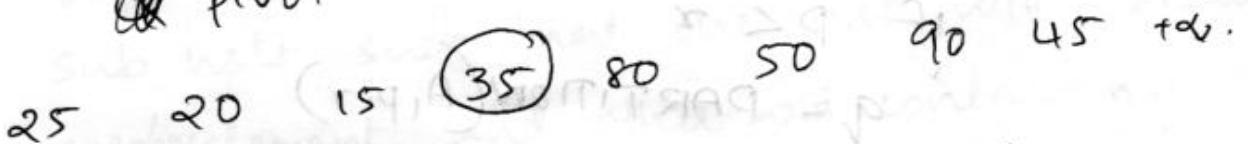
80 > 35 - Yes - stops

25 > 35 - No - stops



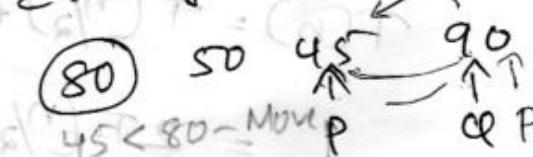
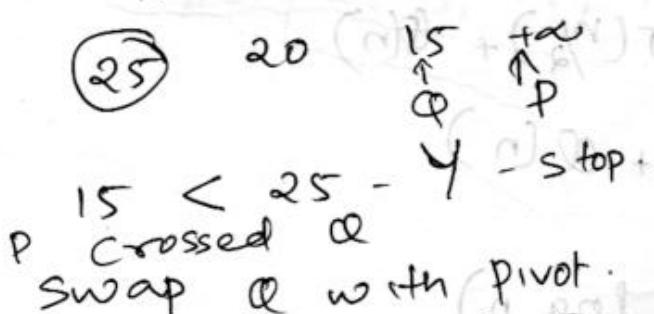
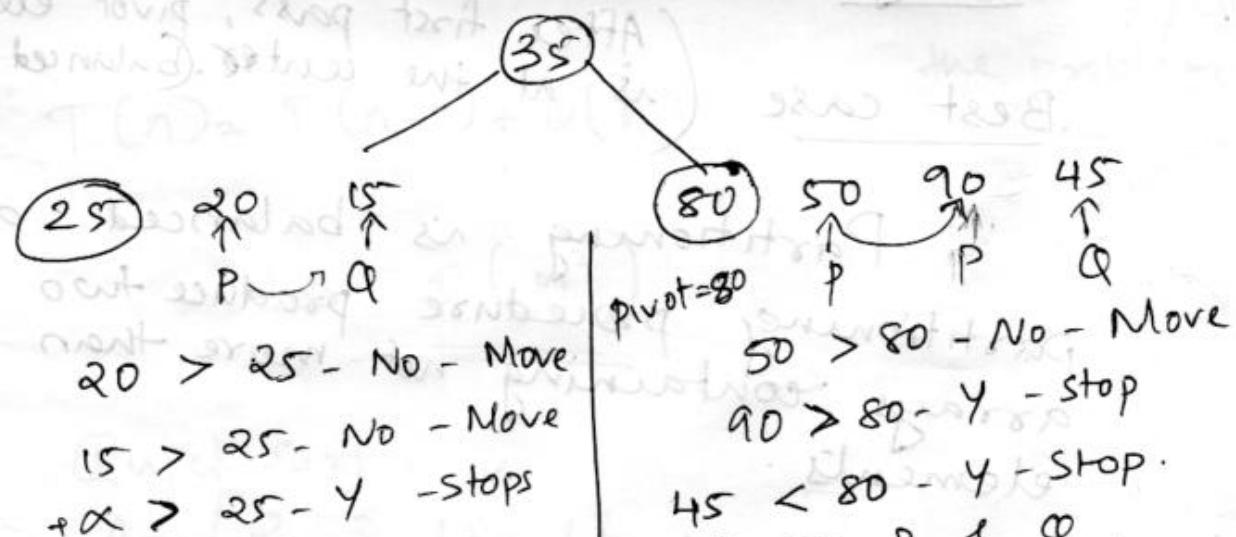
P and Q crossed. Swap Q with

~~35~~ pivot



Now pivot is at right place.

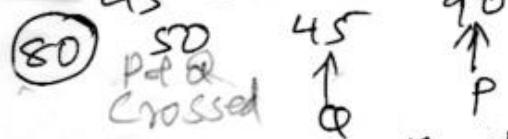
Less than pivot element is at the left.
and greater than pivot is at the right.



Since 90 > 80 - Y stop.

90 < 80 - N. Move

45 < 80 - Y - stop -



Swap Q with pivot.

{45 50 80 90}

In quick sort dividing the problem into sub problems will be linear time.

QUICK SORT (A, p, r)

IF $p < r$

$q = \text{PARTITION}(A, p, r)$

QUICKSORT ($A, p, q-1$)

QUICKSORT ($A, q+1, r$)

Analysis

Best case

(After first pass, pivot element is at the center. Balanced partition)

Partitioning is balanced and partitioning procedure produce two sub arrays containing not more than $\frac{n}{2}$ elements.

$$T(n) = T\left(\frac{n}{2}\right) + T\left(\frac{n}{2}\right) + O(n)$$

$$= 2T\left(\frac{n}{2}\right) + O(n)$$

$$T(n) = \underline{\underline{O(n \log n)}}$$

Worst case

when the list is in the reverse order other than the desired order, the quick sort algo. will partition the list into two sub lists such that one list will contain zero/one element and other will contain $n-1$ elements.

$$T(n) = T(n-1) + T(0) + O(n)$$

$O(n)$ - Partition algo.
Scan entire array / divide
the problem.

$$T(n) = T(n-1) + O(n)$$

$$\Rightarrow \underline{\underline{O(n^2)}}$$

Quick sort.

$$? \quad A = [2, 3, 18, 17, 5, 1]$$

Matrix Multiplication

We can multiply two matrices A and B if
number of columns of A = Number of rows
of B.

We consider only square matrices of
size n (n is power of 2). If n is not a
power of 2, matrices can be padded with
zeros.

$$\begin{matrix} \boxed{\begin{bmatrix} 1 & 2 & 3 & 4 \\ 5 & 6 & 7 & 8 \\ 3 & 2 & 1 & 5 \\ 2 & 1 & 3 & 4 \end{bmatrix}} & \times & \begin{bmatrix} 2 \\ 3 \\ 5 \\ 4 \end{bmatrix} & = & \begin{bmatrix} C_{11} & C_{12} & C_{13} & C_{14} \\ C_{21} & C_{22} & C_{23} & C_{24} \\ C_{31} & C_{32} & C_{33} & C_{34} \\ C_{41} & C_{42} & C_{43} & C_{44} \end{bmatrix} \\ A & & B \quad 4 \times 4 & & C_{4 \times 4} \end{matrix}$$

$$C_{11} = 1 \times 2 + 2 \times 3 + 3 \times 5 + 4 \times 4$$

$$C_{12} = 1 \times 1 + 2 \times 2 + 3 \times 7 + 4 \times 6$$

```

for i := 1 to n do
    for j := 1 to n do
        c[i,j] := 0;
        for k := 1 to n do
            c[i,j] = c[i,j] + A[i,k] * B[k,j];

```

Time complexity $O(n^3)$

Matrix Multiplication - Divide & Conquer approach

1. Divide matrices A and B (each of size $n \times n$) in 4 sub-matrices of size $\frac{n}{2} \times \frac{n}{2}$
2. Calculate the values recursively.

$ae + bg, af + bh, ce + dg$ and $cf + dh$

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} \times \begin{bmatrix} e & f \\ g & h \end{bmatrix} = \begin{bmatrix} ae + bg & af + bh \\ ce + dg & cf + dh \end{bmatrix}$$

a is of size $\frac{n}{2} \times \frac{n}{2}$

b is of size $\frac{n}{2} \times \frac{n}{2}$

c, d, ... h is of size $\frac{n}{2} \times \frac{n}{2}$

a, b, c, d are submatrices of A, of size $\frac{n}{2} \times \frac{n}{2}$
e, f, g & h " B, "

In this method, we do

8 matrix multiplications for matrices
of size $n/2 \times n/2$ and 4 matrix additions

$\text{MMult}(A, B, n)$

{
if $n=1$, output $A \times B$ // base case

else
{
1. Compute a, b, c, d, e, f, g, h submatrices
of size $n/2$ by dividing A and B

$$2. x_{11} \leftarrow \text{MMult}(a, e, n/2) + \text{MMult}(b, g, n/2)$$

$$x_{12} \leftarrow \text{MMult}(a, f, n/2) + \text{MMult}(b, h, n/2)$$

$$x_{21} \leftarrow \text{MMult}(c, e, n/2) + \text{MMult}(d, g, n/2)$$

$$x_{22} \leftarrow \text{MMult}(c, f, n/2) + \text{MMult}(d, h, n/2)$$

3. Output X // Result matrix

}

}

* Addition of two matrices takes $O(n^2)$

* Recurrence equation

$$T(n) = \begin{cases} O(1) & \text{if } n=1 \\ 8T\left(\frac{n}{2}\right) + O(n^2), & \text{otherwise} \end{cases}$$

$$\underline{\underline{O(n^3)}}$$

Strassen's Method

- * The main idea of Strassen's method is to reduce the number of recursive calls to 7.
- * Strassen's method is similar to above simple divide and conquer method in the sense that this method also divide matrices to sub matrices of size $\frac{n}{2} \times \frac{n}{2}$. But in this method, the four sub matrices of result are calculated using following ^{formulas}.

$$P_1 = a(f-h) \quad P_2 = (a+b)h$$

$$P_3 = (c+d)e \quad P_4 = d(g-e)$$

$$P_5 = (a+d)(e+h) \quad P_6 = (b-d)(g+h)$$

$$\cdot P_7 = (a-c)(e+f)$$

$A \times B$ can be calculated using above seven multiplications.

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} \times \begin{bmatrix} e & f \\ g & h \end{bmatrix} = \begin{bmatrix} p_5 + p_4 - p_2 + p_6 & p_1 + p_2 \\ p_3 + p_4 & p_1 + p_5 - p_3 - p_7 \end{bmatrix}$$

$A \qquad B \qquad x$

In this method we do

7 matrix multiplications for matrices of size $n/2 \times n/2$ and some matrix additions and subtractions.

Algorithm

Strassen (A, B, n)

{ if $n=1$, output $A \times B$ // base case

else

{

1. compute a, b, c, d, e, f, g, h sub matrices of size $n/2$ by dividing A and B

2. $p_1 \leftarrow \text{strassen}(a, f-h, n/2)$

3. $p_2 \leftarrow \text{strassen}(a+b, h, n/2)$

$$P_3 \leftarrow \text{strassen}(c+d, e, \frac{n}{2})$$

$$P_4 \leftarrow \text{strassen}(d, g-e, \frac{n}{2})$$

$$P_5 \leftarrow \text{strassen}(a+d, e+h, \frac{n}{2})$$

$$P_6 \leftarrow \text{strassen}(b-d, g+h, \frac{n}{2})$$

$$P_7 \leftarrow \text{strassen}(a-c, e+f, \frac{n}{2})$$

$$3. \quad x_{11} \leftarrow P_5 + P_4 - P_2 + P_6$$

$$x_{12} \leftarrow P_1 + P_2$$

$$x_{21} \leftarrow P_3 + P_4$$

$$x_{22} \leftarrow P_1 + P_5 - P_3 - P_7$$

4. Output \times // Result Matrix

}

}

Analysis's

In this method, for multiplying two matrices of size $n \times n$ we do \rightarrow matrix multiplications and for matrices of size $\frac{n}{2} \times \frac{n}{2}$ and some matrix additions and subtraction. Addition and Subtraction of two matrices takes $O(n^2)$ time.

Recurrence equation of approach is

$$T(n) = \begin{cases} \alpha(1) & \text{if } n=1 \\ 7T(n/2) + O(n^2) & \text{otherwise} \end{cases}$$

$$\Rightarrow O(n^{\log 7}) = \underline{\underline{O(n^{2.8074})}}$$