

HOTTEST seminar

On the fibration of algebras

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π day 2024

Fosco,
spring of 2022

A simple observation about simple types:

let \mathcal{C} be a cartesian category, we can build [198, 1.3] the category $s(\mathcal{C})$ having

- objects (I, X) with $I, X: \text{ob } \mathcal{C}$
- homs $(J, Y) \rightarrow (I, X)$ are pairs $(u: J \rightarrow I, f: J \times Y \rightarrow X)$

which models simple types in context.

$$\begin{array}{ccc} \text{Rmk 1} & s(\mathcal{C}) & (J, Y) \xrightarrow{(u, f)} (I, X) \\ & \downarrow & \downarrow \\ & \mathcal{C} & J \xrightarrow{u} I \end{array}$$

is a Grothendieck fibration with fiber

$s(\mathcal{C})_I =: \mathcal{C} // I$
the "simple slice"

$$\begin{array}{ccc} (C, \alpha: F_C) \downarrow F & & \\ \downarrow C & \downarrow \mathcal{C} & \\ \text{Recall} & \text{Fib}(\mathcal{C}) \simeq \text{pFun}(\mathcal{C}^{\text{op}}, \underline{\text{Cat}}) & \\ s(\mathcal{C}) & & \mathcal{C}^{\text{op}} \rightarrow \underline{\text{Cat}} \\ \downarrow & & I \quad \mathcal{C} // I \\ \mathcal{C} & & \end{array}$$

Rmk 1

$$\begin{array}{ccc}
 s(\mathcal{C}) & (\mathcal{J}, \gamma) \xrightarrow{(u, f)} & (I, x) \\
 \downarrow & \downarrow & \\
 \mathcal{C} & \mathcal{J} \xrightarrow{u} & I
 \end{array}$$

is a Grothendieck fibration with fiber

$$s(\mathcal{C})_I =: \mathcal{C} // I$$

the "simple slice"

Rmk 2

$$s(\mathcal{C})_I = \mathcal{C} // I = \text{coKe}(\underbrace{I \times -})$$

the "coequalizer
canonoid"

$$I \times -: \mathcal{C} \rightarrow \mathcal{C}$$

$$x \mapsto I \times x$$

hence the simple fibration is the result of the pasting of all (ω) -algebras for a parametric (ω) monad

⚡ IS THIS A THING? ⚡

hence the simple **fibration** is the result of the pasting of all **ω -algebras** for a **parametric ω -monad**

TODAY'S PLAN

- ① look for it elsewhere (spoiler: it appears in many different places!)
- ② try to give a general theory of this phenomenon ←
- ③ benefits of a general theory Semidirect product?!
- ④ applications to polynomials, automata, and more

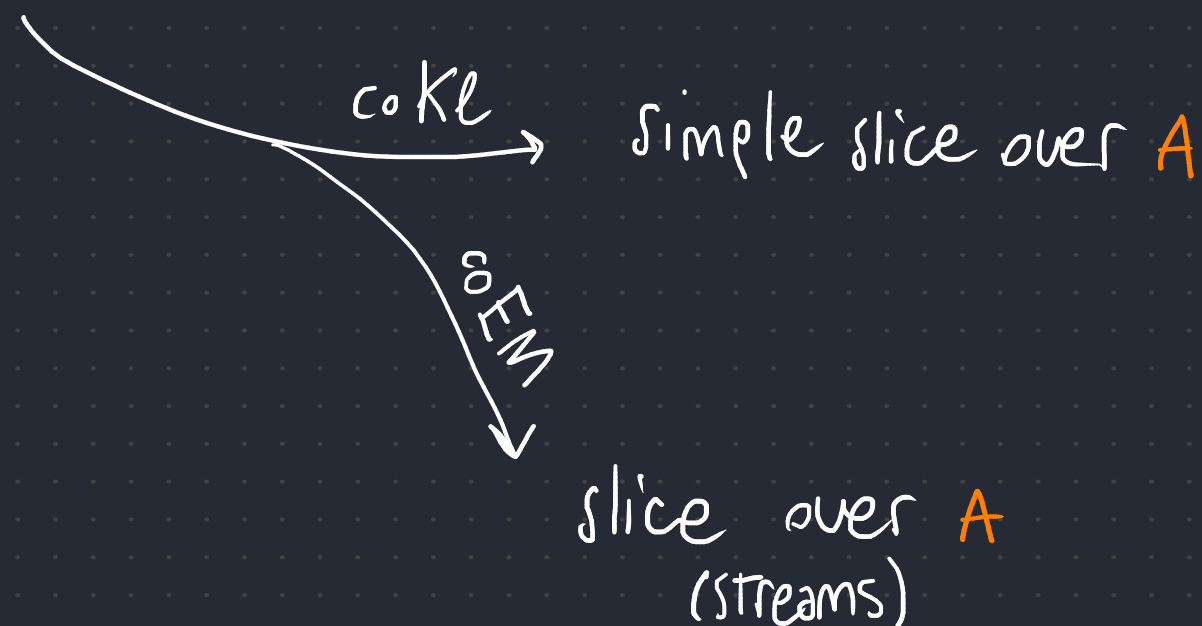
① look for it elsewhere

IS THIS A THING? YES!

denote \mathcal{a} the category of parameters, $F: \mathcal{a} \times \mathcal{X} \rightarrow \mathcal{X}$ parametric endofunctor
(or comonad)

$\mathcal{a} = \mathcal{X}$ with enough structure

- $F_A = A \times -$ COREADER COMONAD
- $F_A = A \rightarrow -$ READER MONAD
- $F_A = A \rightarrow (A \times -)$ STATE MONAD
- monoidal versions of the above
e.g. $F_A = A \otimes -$ WRITER MONAD
- $F_A = A + -$ EXCEPTION MONAD



let's focus
on the (co)algebras
for a second

denote \mathcal{A} the category of parameters, $F: \mathcal{A} \times \mathcal{X} \rightarrow \mathcal{X}$ parametric endofunctor
(or comonad)
and consider $\mathcal{A} = \mathcal{X} = \underline{\text{Set}}$

endofunctors	coalgebras
$F_A = A \times -$	Stream systems
$F_A = 2 \times -^A$	deterministic automata
$F_A = 2 \times (1 + -)^A$	partial automata
$F_A = 2 \times \mathcal{P}(-)^A$	non-deterministic automata
$F_{A,B} = (B \times -)^A$	Mealy automata
$F_{A,B} = B \times -^A$	Moore automata

[R19]

← more coming from the rich theory of (co)algebras

[R19] J. Rutten, "The method of coalgebras", 2019

not only the theory of automata!

denote \mathcal{A} the category of parameters, $F: \mathcal{A} \times \mathcal{X} \rightarrow \mathcal{X}$ parametric endofunctor
(or comonad)

► for \mathcal{E} lccc

$$P_-: \text{Poly}_{\mathcal{I}} \times \mathcal{E}/\mathcal{I} \longrightarrow \mathcal{E}/\mathcal{I}$$

$$\mathcal{I} \xleftarrow{\Sigma} B \xrightarrow{f} A \xrightarrow{t} \mathcal{I} \quad \text{induces} \quad \mathcal{E}/\mathcal{I} \xrightarrow{\Delta_{\Sigma}} \mathcal{E}/B \xrightarrow{\pi_f} \mathcal{E}/A \xrightarrow{\Sigma_t} \mathcal{E}/\mathcal{I}$$

$\Sigma_- \dashv \Delta_- \dashv \Pi_-$

► (actually a special case of the reader)

$$\text{Id}: [\mathcal{X}, \mathcal{X}] \times \mathcal{X} \longrightarrow \mathcal{X}$$

$$\text{Id}_F(-) := F(-)$$

but is this not
just the theory of
graded [FKM16]
or "parametrised" [AO9]
monads?

YES AND NO

[FKM16] Fuji, Katsumata, Mellies, "Toward a formal theory of graded monads", 2016
[AO9] Atkey, "Parametrised notions of computation", 2009

② try to give a general theory of this phenomenon

$$\begin{array}{ccc}
 S(\mathcal{C}) & (\mathcal{J}, \gamma) \xrightarrow{(u, f)} & (I, x) \\
 \downarrow & \downarrow & \downarrow \\
 \mathcal{C} & \mathcal{J} \xrightarrow{u} & I
 \end{array}$$

$$S(\mathcal{C})_I = \mathcal{C} // I = \text{coker}(I \times -)$$

$$\begin{array}{l}
 I \times - : \mathcal{C} \rightarrow \mathcal{C} \\
 \\
 \underline{\underline{\mathcal{C} \times \mathcal{C} \rightarrow \mathcal{C}}} \\
 \mathcal{C} \rightarrow [\mathcal{C}, \mathcal{C}]
 \end{array}$$

denote \mathcal{A} the category of parameters,
 $F: \mathcal{A} \times \mathcal{X} \rightarrow \mathcal{X}$ parametric endofunctor
 (or comonad)

two steps

[1] out of a category of parameters, compute endofunctors

$$F: \mathcal{A} \rightarrow [\mathcal{X}, \mathcal{X}]$$

[2] out of an endofunctor, compute its algebras*

$$\begin{array}{ccc}
 \text{Alg}_{\mathcal{X}}: [\mathcal{X}, \mathcal{X}]^{\text{op}} & \longrightarrow & \text{Cat} \\
 \begin{array}{c} F \\ \alpha \uparrow \\ \mathcal{C} \end{array} & & \begin{array}{c} \text{Alg}_{\mathcal{X}}(F) \\ \downarrow \alpha^* \\ \text{Alg}_{\mathcal{X}}(\mathcal{C}) \end{array} & & \begin{array}{c} F\mathcal{X} \xrightarrow{\alpha} \mathcal{X} \\ \alpha \downarrow \quad \alpha^* \downarrow \\ \mathcal{C}\mathcal{X} \xrightarrow{\alpha} F\mathcal{X} \xrightarrow{\alpha} \mathcal{X} \end{array}
 \end{array}$$

*I know it's a different kind of algebra...

two steps

[1] out of a category of parameters, compute endofunctors

$$F: \mathcal{A} \rightarrow [\mathcal{X}, \mathcal{X}]$$

[2] out of an endofunctor, compute its algebras

$$\begin{array}{ccc} \text{Alg}_{\mathcal{X}}: [\mathcal{X}, \mathcal{X}]^{\text{op}} & \longrightarrow & \underline{\text{Cat}} \\ \uparrow F & & \downarrow \alpha^* \\ \mathcal{A} & & \text{Alg}_{\mathcal{X}}(\mathcal{A}) \end{array}$$

$$\begin{array}{ccc} \mathcal{A}^{\kappa_F \mathcal{X}} & \longrightarrow & \int \text{Alg}_{\mathcal{X}} \\ \downarrow p_F & \lrcorner & \downarrow U \\ \mathcal{A} & \xrightarrow{F} & [\mathcal{X}, \mathcal{X}] \end{array}$$

Df// call U the "UNIVERSAL (SPLIT) FIBRATION OF ENDOFUNCTOR ALGEBRAS"

Df// fibrations p_F obtained this way we call "fibrations of endofunctor algebras"

$$(A; X, \kappa)$$

A is an object in \mathcal{A}

$\kappa: F_A X \rightarrow X$ is an algebra for F_A

and

$$(A'; Y, \gamma) \xrightarrow{(u, f)} (A; X, \kappa)$$

$u: A' \rightarrow A, f: Y \rightarrow X$ st

$$\begin{array}{ccccc} F_{A'} Y & \xrightarrow{F_A f} & F_{A'} X & \xrightarrow{F_A \kappa} & F_A X \\ \gamma \downarrow & & \downarrow & \searrow & \downarrow \\ Y & \xrightarrow{f} & X & & X \end{array}$$

$$\begin{array}{ccc} (A'; Y, \gamma) & \xrightarrow{(u, f)} & (A; X, \kappa) \\ (id, f) \downarrow \text{vert} & & \uparrow \text{cort} \\ (A'; X, \kappa) & \xrightarrow{(u, id)} & (A; X, \kappa) \end{array}$$

$$A' \xrightarrow{u} A$$

$$\begin{array}{ccc} \mathcal{A}^{K_F X} & \xrightarrow{\quad} & \int \mathcal{A} \mathcal{G} X \\ \downarrow p_F & \lrcorner & \downarrow U \\ \mathcal{A} & \xrightarrow{F} & [\mathcal{X}, \mathcal{X}] \end{array}$$

$$\begin{array}{ccc}
 a_{\kappa_F \chi} & \xrightarrow{\quad} & \int \text{Alg } \chi \\
 \downarrow & \lrcorner & \downarrow \text{fib} \\
 a & \xrightarrow[F]{} & [\chi, \chi]
 \end{array}$$

$$\begin{array}{ccc}
 a_{\oplus_F \chi} & \xrightarrow{\quad} & \int \text{coAlg } \chi \\
 \downarrow & \lrcorner & \downarrow \text{opfib} \\
 a & \xrightarrow[F]{} & [\chi, \chi]
 \end{array}$$

$$\begin{array}{ccc}
 a_{\kappa_F^{\text{EM}} \chi} & \xrightarrow{\quad} & \int \text{EM } \chi \\
 \downarrow & \lrcorner & \downarrow \text{fib} \\
 a & \xrightarrow[F]{} & [\chi, \chi]_{\eta, \mu}
 \end{array}$$

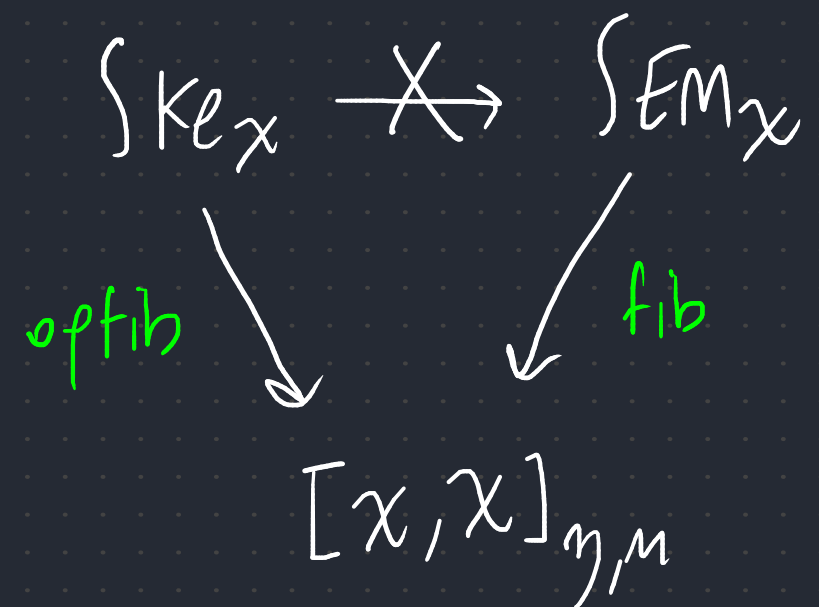
$$\begin{array}{ccc}
 a_{\kappa_F^{\text{kl}} \chi} & \xrightarrow{\quad} & \int \text{kl } \chi \\
 \downarrow & \lrcorner & \downarrow \text{opfib} \\
 a & \xrightarrow[F]{} & [\chi, \chi]_{\eta, \mu}
 \end{array}$$

$$\begin{array}{ccc}
 a_{\oplus_F^{\text{EM}} \chi} & \xrightarrow{\quad} & \int \text{coEM } \chi \\
 \downarrow & \lrcorner & \downarrow \text{opfib} \\
 a & \xrightarrow[F]{} & [\chi, \chi]_{\varepsilon, \delta}
 \end{array}$$

$$\begin{array}{ccc}
 a_{\oplus_F^{\text{cokl}} \chi} & \xrightarrow{\quad} & \int \text{cokl } \chi \\
 \downarrow & \lrcorner & \downarrow \text{fib} \\
 a & \xrightarrow[F]{} & [\chi, \chi]_{\varepsilon, \delta}
 \end{array}$$

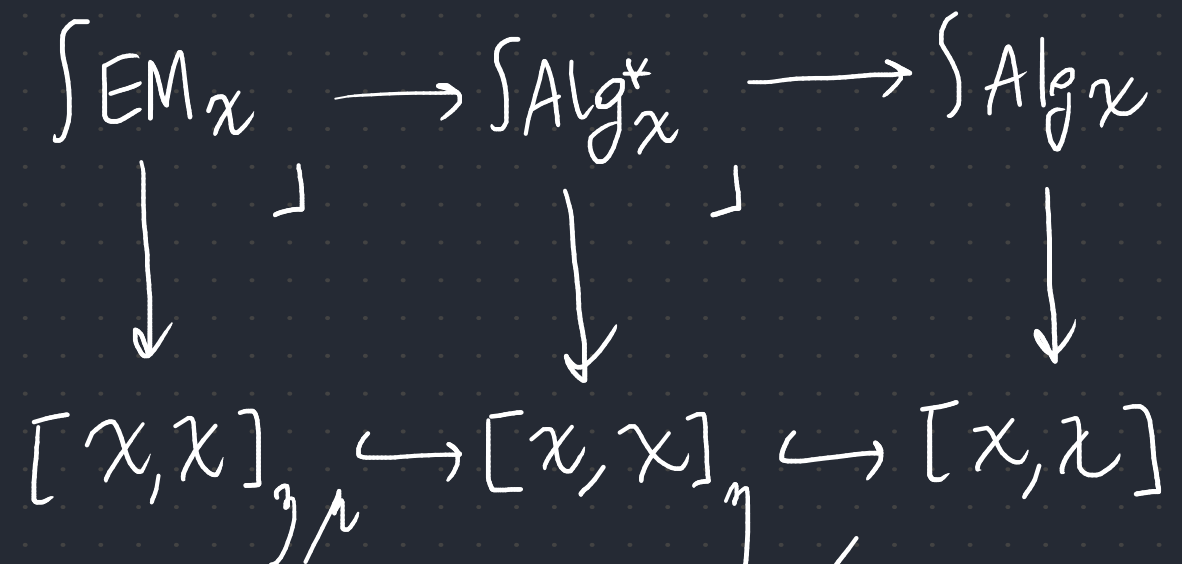
\nearrow
 Fosco's question

fibration morphisms
that we don't have...



wrong variance!

... and some that
we actually do have



faithful
but clearly not full
(compatibility)

Why do we use the semidirect product notation?

Given groups $(H, \cdot_H, 1_H)$ and $(N, \cdot_N, 1_N)$
 and $\varphi: H \rightarrow \text{Aut}(N)$ group hom

$H \ltimes_{\varphi} N$ is the group $(H \times N, \cdot, (1_H, 1_N))$
 $(h, n) \cdot (h', n') := (hh', n \varphi(h) n')$

Given categories \mathcal{A} and \mathcal{X}
 and $F: \mathcal{A} \rightarrow [\mathcal{X}, \mathcal{X}]$ functor

$\mathcal{A} \ltimes_F \mathcal{X}$ is the category with obj...

$$(u, f) \cdot (u', f') := (uu', *)$$

$$\begin{array}{ccccc}
 (A'', z, z) & \xrightarrow{(u', f')} & (A', y, y) & \xrightarrow{(u, f)} & (A, x, x) \\
 F_{A''} z \xrightarrow{F_{u'f'}} F_{A'} y & \xrightarrow{F_{uf}} & F_A x & & \\
 z \downarrow & y \downarrow & x \downarrow & & \\
 z & \xrightarrow{f'} & y & \xrightarrow{f} & x \\
 A'' & \xrightarrow{u'} & A' & \xrightarrow{u} & A
 \end{array}$$

(*)

Why do we use the semidirect product notation?

Given groups $(H, \cdot_H, 1_H)$ and $(N, \cdot_N, 1_N)$
and $\varphi: H \rightarrow \text{Aut}(N)$ group hom

$H \rtimes_{\varphi} N$ is the group $(H \times N, \cdot, (1_H, 1_N))$
 $(h, n) \cdot (h', n') := (hh', n \varphi(h) n')$

for G group, define the holomorph

$$\text{Hol}(G) := \text{Aut}(G) \rtimes G$$

when $\text{Aut}(G) \xrightarrow{\text{Id}} \text{Aut}(G)$

Given categories \mathcal{A} and \mathcal{X}
and $F: \mathcal{A} \rightarrow [\mathcal{X}, \mathcal{X}]$ functor

$\mathcal{A} \rtimes_F \mathcal{X}$ is the category with obj...
 $(u, f) \cdot (u', f') := (uu', \ast)$

for \mathcal{X} category, the "holomorph" is

$$[\mathcal{X}, \mathcal{X}] \rtimes \mathcal{X} \cong \text{Alg } \mathcal{X}$$

when $[\mathcal{X}, \mathcal{X}] \xrightarrow{\text{Id}} [\mathcal{X}, \mathcal{X}]$

we can characterize fibrations of EM-algebras:

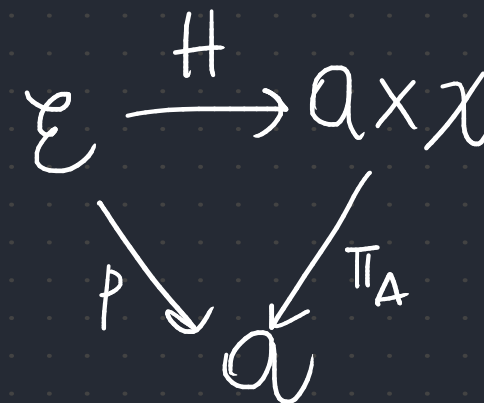
Thm 0

\mathcal{E}
 $\downarrow P$
 \mathcal{A} is a fib of EM-algebras

\iff there exists a fib.morphism

\iff

H has a fibered left adjoint L
and $EM(HL) \cong P$



which is **monadic**
as a 1-cell
in $\text{Fib}(\mathcal{A})$

Rmk in the case of $\mathcal{A} \times^{\text{EM}} \mathcal{X}$,

free algebra

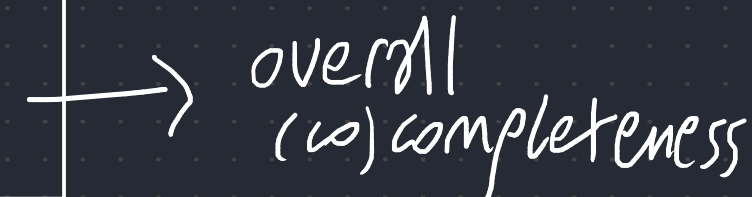
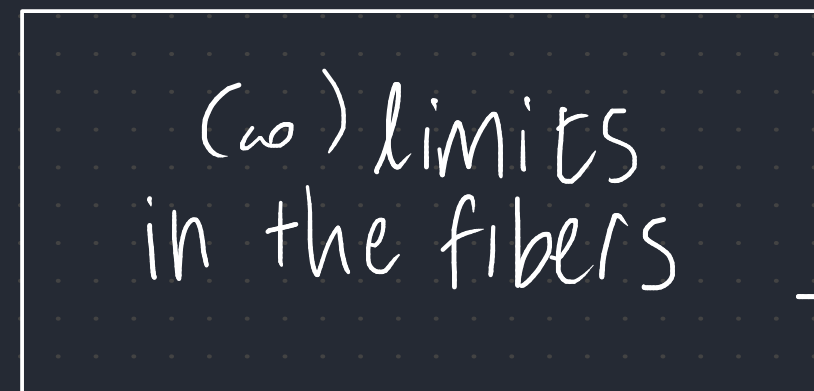
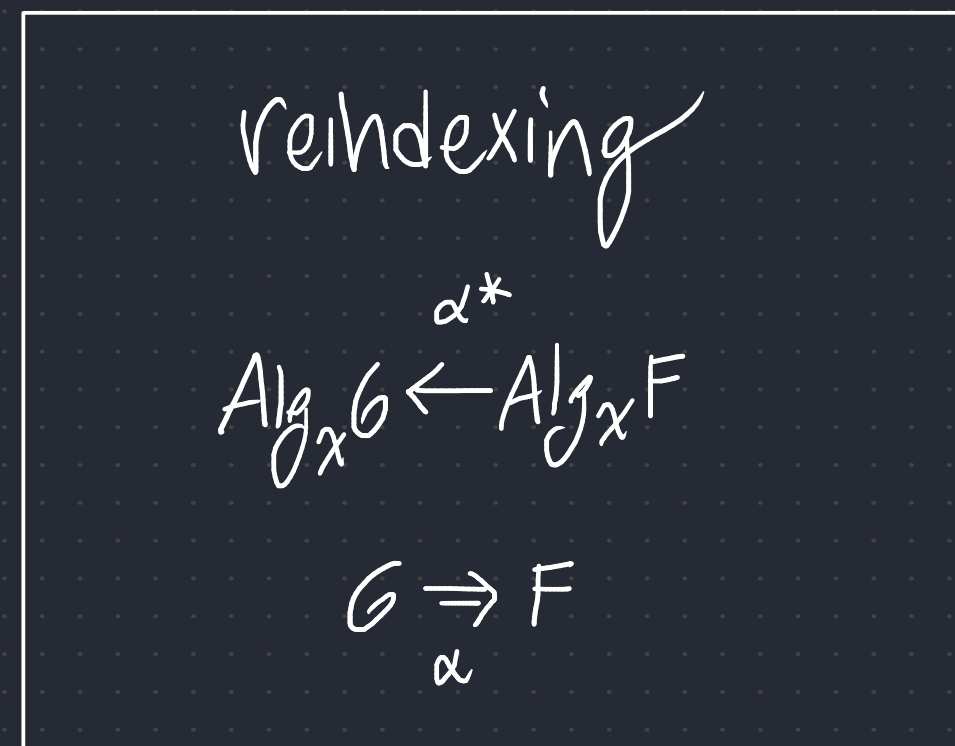
$$\mathcal{A} \times^{\text{EM}} \mathcal{X} \xleftarrow{L} \mathcal{A} \times \mathcal{X}$$

$\langle \text{param}, \text{carrier} \rangle$

③ benefits of a general theory

~~it's pretty~~

we can address different problems at once



the following heavily rely on $\mathcal{A} \times \mathcal{X}$ being fibered over \mathcal{A}

Thm 1 let $F: \mathcal{A} \rightarrow [\mathcal{X}, \mathcal{X}]_{\mathcal{M}/\mathcal{N}}^{\text{be}}$ a parametric monad.
The forgetful $\mathcal{A} \times_{\mathcal{F}} \mathcal{X} \rightarrow \mathcal{A} \times \mathcal{X}$ is monadic.

← straight forward
from Thm 0

corollary then it creates limits

Thm 2 let $F: \mathcal{A} \rightarrow [\mathcal{X}, \mathcal{X}]_{\mathcal{M}/\mathcal{N}}^{\text{be}}$ a parametric monad such that
 F preserves filtered colimits separately in each parameter.
Then if \mathcal{X} is cocomplete, so is $\mathcal{A} \times \mathcal{X}$.

Thm 3 let \mathcal{X} be κ -accessible and F only restricted to κ -accessible functors $\mathcal{X} \rightarrow \mathcal{X}$.
Then each reindexing α^* has a left adjoint $\Sigma \alpha$.

corollary $U: \{\text{Alg}_{\mathcal{X}} \rightarrow [\mathcal{X}, \mathcal{X}]_{\kappa\text{-acc}}\}$ is a bifibration

④ applications to polynomials, automata, and more

TO POLYNOMIALS / 1

$$I \xleftarrow{\Sigma} B \xrightarrow{f} A \xrightarrow{t} I \quad \text{induces} \quad \mathcal{E}/I \xrightarrow{\Delta_s} \mathcal{E}/B \xrightarrow{\pi_f} \mathcal{E}/A \xrightarrow{\Sigma_t} \mathcal{E}/I$$

$\Sigma \dashv \Delta \dashv \Pi$

(Thm) [IMP00] if a lccc \mathcal{C} has w-types, then so do all of its slices \mathcal{C}/I

\nearrow
 $W(f) = \text{initial algebra of } P_f: \mathcal{C} \xrightarrow{\Delta!} \mathcal{C}/B \xrightarrow{\pi_f} \mathcal{C}/A \xrightarrow{\Sigma!} \mathcal{C}$

In [GH09] a simpler proof is given: the adjoint pair $\mathcal{C} \rightleftarrows \mathcal{C}/I$ lifts to the algebras, and left adjoints...

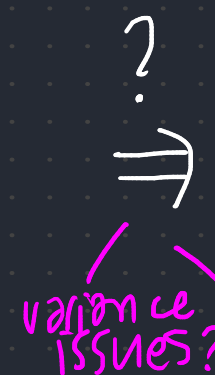
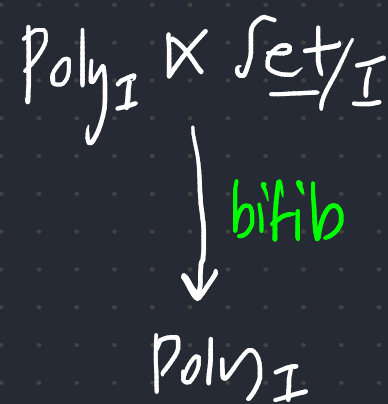
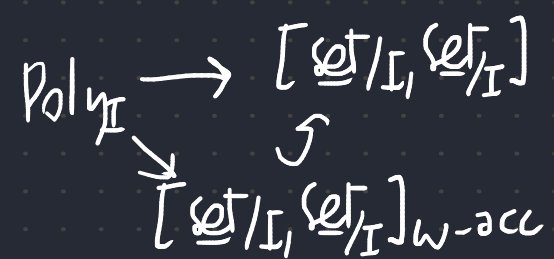
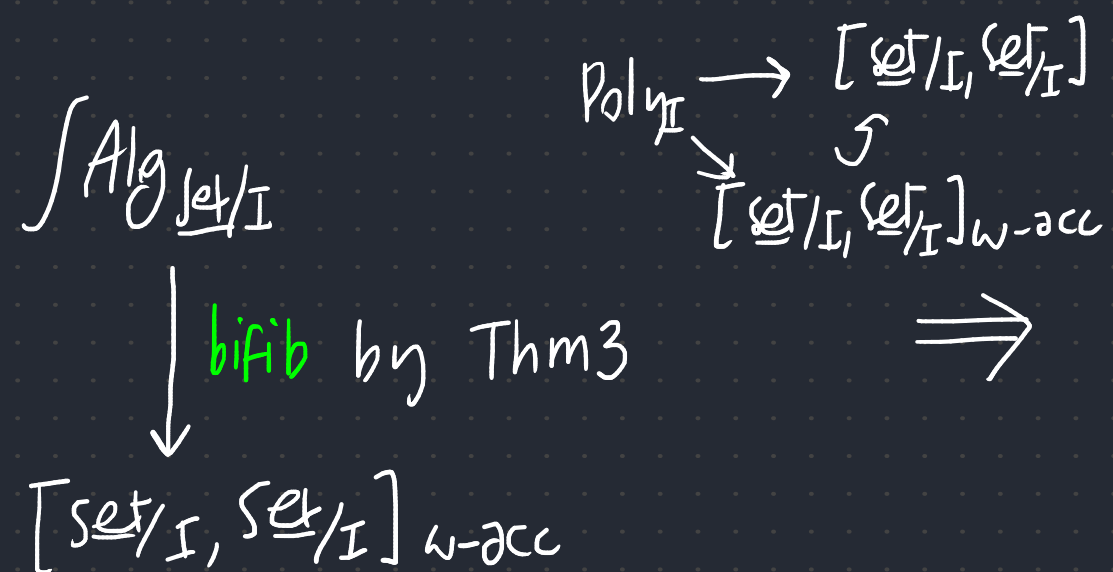
We want to see whether that is a "bifibration"-like property.

[IMP00] Moerdijk, Palmgren, "Well founded trees in categories", 2000

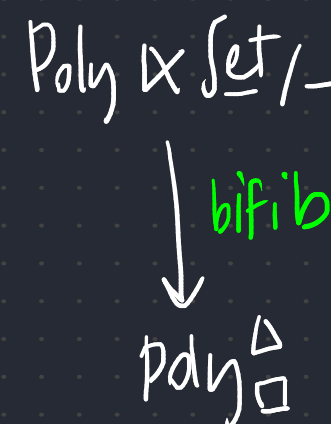
[GH09] Gambino, Hyland, "Wellfounded trees and dependent polynomial functors", 2009

TO POLYNOMIALS/2

for simplicity, say $\underline{E} = \underline{\text{set}}$



"too" 2-dimensional?



follows from [K16, 11.1.12]

and conclude the result \mathcal{M} at once



TO DIPARAMETRIC COMPUTATIONS/1

Ex let $L: \mathcal{A} \times \mathcal{X} \rightarrow \mathcal{Y}$, $R: \mathcal{A}^{\text{op}} \times \mathcal{Y} \rightarrow \mathcal{X}$ st for each $A: \mathcal{A}$

$$\mathcal{Y}(L(A, X), Y) \cong \mathcal{X}(X, R(A, Y)) \text{ natural in } A, X, Y$$

A diparametric monad as in [A09] is a functor $\mathcal{A}^{\text{op}} \times \mathcal{A} \xrightarrow{T} [\mathcal{X}, \mathcal{X}]$ s.t.

when \mathcal{A} is regarded as a free $[\mathcal{X}, \mathcal{X}]$ -enriched category $\underline{\mathcal{A}}$

and T as a profunctor

$T: \underline{\mathcal{A}} \nrightarrow \underline{\mathcal{A}}$ is a monad in $\text{Prof}[\mathcal{X}, \mathcal{X}]$

← monad laws = extranatural transformations + axioms

The category of diparametric free algebras

is $\pi \text{KE}(T)$ with objects (A, X) and morphisms $(A, X) \rightarrow (A', X')$
are $X \rightarrow T(A, A', X')$ in \mathcal{X}

TO DIPARAMETRIC COMPUTATIONS/2

Ex let $L: \mathcal{A} \times \mathcal{X} \rightarrow \mathcal{Y}$, $R: \mathcal{A}^{\text{op}} \times \mathcal{Y} \rightarrow \mathcal{X}$ st for each $A: \mathcal{A}$

$$\mathcal{Y}(L(A, X), Y) \cong \mathcal{X}(X, R(A, Y)) \text{ natural in } A, X, Y$$

$$(\mathbb{L} + \mathbb{R})_A := \{ L_A + R_A \mid A: \mathcal{A} \}$$

$$T: \mathcal{A}^{\text{op}} \times \mathcal{A} \rightarrow [\mathcal{C}, \mathcal{C}]$$

$$(A', A) \mapsto R_A L_{A'}$$

$(A, A'; \kappa)$ with $\kappa: R_A L_{A'} X \rightarrow X$

$$\text{Alg}(\mathbb{L} + \mathbb{R})_{\mathcal{A}} \rightarrow [\mathcal{C}, \mathcal{C}] \times \mathcal{C}$$

$$\mathcal{A}^{\text{op}} \times \mathcal{A} \xrightarrow{T} [\mathcal{C}, \mathcal{C}]$$

(Prop) there is a comparison functor
 $K: \text{Alg}(\mathbb{L} + \mathbb{R})_{\mathcal{A}} \rightarrow \pi K\ell(T)$

OTHER

► to show (co)completeness of categories of automata

[BLL23] for Mealy and Moore a. ✓

► Apply to (co)induction techniques such as in [HJ98]

► develop the (huge) amount of algebra this theory seems to suggest:

if \mathcal{A} has \emptyset then \mathcal{F} induces

$$\mathcal{X} \begin{array}{c} \xrightarrow{\text{free alg. at initial}} \\ \perp \\ \xleftarrow{\text{forgetful}} \end{array} \mathcal{A} \ltimes \mathcal{X} \begin{array}{c} \xrightarrow{\text{param.}} \\ \perp \\ \xleftarrow{\text{terminal in the fiber}} \end{array} \mathcal{A}$$

a "0-sequence" (= composes to $\begin{array}{c} \emptyset \\ \xrightarrow{\perp} \\ 1 \end{array}$)

↗ "extensions"?
↘ torsion theories?

[BLL23] Bocchi, Laretto, Loregian, Lureia, "completeness for categories of generalized automata", 2023

[HJ98] Hermida, Jacobs, "Structural induction and coinduction in a fibration setting", 1998

Still in progress,
suggestions are welcome!

do you have any
problems we can
throw this tech.
at?

Still in progress,
suggestions are welcome!

lots of examples

lots of results

too many directions
(= no direction)

everything feels very
algebraic, do you feel
it would be reasonable
to try to formalize this in HoTT?
what obstacles can we expect?

Thank you for listening.