



# Glossary of functional analysis

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This is a [glossary](#) for the terminology in a mathematical field of [functional analysis](#).

Throughout the article, unless stated otherwise, the base field of a vector space is the field of real numbers or that of complex numbers. Algebras are not assumed to be unital.

See also: [List of Banach spaces](#), [glossary of real and complex analysis](#).

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\*-homomorphism between [involutive Banach algebras](#) is an algebra homomorphism preserving  $*$ .

## A

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### abelian

Synonymous with "commutative"; e.g., an abelian Banach algebra means a commutative Banach algebra.

### Anderson–Kadec

The [Anderson–Kadec theorem](#) says a separable infinite-dimensional Fréchet space is isomorphic to  $\mathbb{R}^{\mathbb{N}}$ .

### Alaoglu

[Alaoglu's theorem](#) states that the closed unit ball in a normed space is compact in the [weak-\\*](#) topology.

### adjoint

The [adjoint](#) of a bounded linear operator  $T : H_1 \rightarrow H_2$  between Hilbert spaces is the bounded linear operator  $T^* : H_2 \rightarrow H_1$  such that  $\langle Tx, y \rangle = \langle x, T^*y \rangle$  for each  $x \in H_1, y \in H_2$ .

### approximate identity

In a not-necessarily-unital Banach algebra, an [approximate identity](#) is a sequence or a net  $\{u_i\}$  of elements such that  $u_i x \rightarrow x, x u_i \rightarrow x$  as  $i \rightarrow \infty$  for each  $x$  in the algebra.

### approximation property

A Banach space is said to have the [approximation property](#) if every compact operator is a limit of finite-rank operators.

## B

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### Baire

The Baire category theorem states that a complete metric space is a Baire space; if  $U_i$  is a sequence of open dense subsets, then  $\bigcap_1^\infty U_i$  is dense.

## **Banach**

1. A Banach space is a normed vector space that is complete as a metric space.
2. A Banach algebra is a Banach space that has a structure of a possibly non-unital associative algebra such that

$$\|xy\| \leq \|x\|\|y\| \text{ for every } x, y \text{ in the algebra.}$$

3. A Banach disc is a continuous linear image of a unit ball in a Banach space.

## **balanced**

A subset  $S$  of a vector space over real or complex numbers is balanced if  $\lambda S \subset S$  for every scalar  $\lambda$  of length at most one.

## **barrel**

1. A barrel in a topological vector space is a subset that is closed, convex, balanced and absorbing.
2. A topological vector space is barrelled if every barrel is a neighborhood of zero (that is, contains an open neighborhood of zero).

## **Bessel**

Bessel's inequality states: given an orthonormal set  $S$  and a vector  $x$  in a Hilbert space,

$$\sum_{u \in S} |\langle x, u \rangle|^2 \leq \|x\|^2, [1]$$

where the equality holds if and only if  $S$  is an orthonormal basis; i.e., maximal orthonormal set.

## **bipolar**

bipolar theorem.

## **bounded**

A bounded operator is a linear operator between Banach spaces for which the image of the unit ball is bounded.

## **bornological**

A bornological space.

## **Birkhoff orthogonality**

Two vectors  $x$  and  $y$  in a normed linear space are said to be **Birkhoff orthogonal** if  $\|x + \lambda y\| \geq \|x\|$  for all scalars  $\lambda$ . If the normed linear space is a Hilbert space, then it is equivalent to the usual orthogonality.

## **Borel**

Borel functional calculus

# **C**

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## **c**

c space.

## **Calkin**

The Calkin algebra on a Hilbert space is the quotient of the algebra of all bounded operators on the Hilbert space by the ideal generated by compact operators.

## **Cauchy-Schwarz inequality**

The Cauchy-Schwarz inequality states: for each pair of vectors  $x, y$  in an inner-product space,

$$|\langle x, y \rangle| \leq \|x\|\|y\|.$$

## **closed**

1. The closed graph theorem states that a linear operator between Banach spaces is continuous (bounded) if and only if it has closed graph.
2. A closed operator is a linear operator whose graph is closed.
3. The closed range theorem says that a densely defined closed operator has closed image (range) if and only if the transpose of it has closed image.

#### **commutant**

1. Another name for "centralizer"; i.e., the commutant of a subset  $S$  of an algebra is the algebra of the elements commuting with each element of  $S$  and is denoted by  $S'$ .
2. The von Neumann double commutant theorem states that a nondegenerate  $*$ -algebra  $\mathfrak{M}$  of operators on a Hilbert space is a von Neumann algebra if and only if  $\mathfrak{M}'' = \mathfrak{M}$ .

#### **compact**

A compact operator is a linear operator between Banach spaces for which the image of the unit ball is precompact.

#### **Connes**

Connes fusion.

#### **C\***

A C\* algebra is an involutive Banach algebra satisfying  $\|x^*x\| = \|x\|^2$ .

#### **convex**

A locally convex space is a topological vector space whose topology is generated by convex subsets.

#### **cyclic**

Given a representation  $(\pi, V)$  of a Banach algebra  $A$ , a cyclic vector is a vector  $v \in V$  such that  $\pi(A)v$  is dense in  $V$ .

## **D**

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#### **dilation**

dilation (operator theory).

#### **direct**

Philosophically, a direct integral is a continuous analog of a direct sum.

#### **Douglas**

Douglas' lemma

#### **Dunford**

Dunford–Schwartz theorem

#### **dual**

1. The continuous dual of a topological vector space is the vector space of all the continuous linear functionals on the space.
2. The algebraic dual of a topological vector space is the dual vector space of the underlying vector space.

## **E**

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#### **Eidelheit**

A theorem of Eidelheit.

## F

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### factor

A factor is a von Neumann algebra with trivial center.

### faithful

A linear functional  $\omega$  on an involutive algebra is faithful if  $\omega(x^*x) \neq 0$  for each nonzero element  $x$  in the algebra.

### Fréchet

A Fréchet space is a topological vector space whose topology is given by a countable family of seminorms (which makes it a metric space) and that is complete as a metric space.

### Fredholm

A Fredholm operator is a bounded operator such that it has closed range and the kernels of the operator and the adjoint have finite-dimension.

## G

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### Gelfand

1. The Gelfand–Mazur theorem states that a Banach algebra that is a division ring is the field of complex numbers.
2. The Gelfand representation of a commutative Banach algebra  $A$  with spectrum  $\Omega(A)$  is the algebra homomorphism  $F : A \rightarrow C_0(\Omega(A))$ , where  $C_0(X)$  denotes the algebra of continuous functions on  $X$  vanishing at infinity, that is given by  $F(x)(\omega) = \omega(x)$ . It is a  $*$ -preserving isometric isomorphism if  $A$  is a commutative  $C^*$ -algebra.

### Grothendieck

1. Grothendieck's inequality.
2. Grothendieck's factorization theorem.

## H

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### Hahn–Banach

The Hahn–Banach theorem states: given a linear functional  $\ell$  on a subspace of a complex vector space  $V$ , if the absolute value of  $\ell$  is bounded above by a seminorm on  $V$ , then it extends to a linear functional on  $V$  still bounded by the seminorm. Geometrically, it is a generalization of the hyperplane separation theorem.

### Heine

A topological vector space is said to have the Heine–Borel property if every closed and bounded subset is compact. Riesz's lemma says a Banach space with the Heine–Borel property must be finite-dimensional.

### Hilbert

1. A Hilbert space is an inner product space that is complete as a metric space.
2. In the Tomita–Takesaki theory, a (left or right) Hilbert algebra is a certain algebra with an involution.

### Hilbert–Schmidt

1. The Hilbert–Schmidt norm of a bounded operator  $T$  on a Hilbert space is  $\sum_i \|Te_i\|^2$  where  $\{e_i\}$  is an orthonormal basis of the Hilbert space.
2. A Hilbert–Schmidt operator is a bounded operator with finite Hilbert–Schmidt norm.

## I

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### index

1. The index of a Fredholm operator  $T : H_1 \rightarrow H_2$  is the integer  $\dim(\ker(T^*)) - \dim(\ker(T))$ .
2. The Atiyah–Singer index theorem.

### index group

The index group of a unital Banach algebra is the quotient group  $G(A)/G_0(A)$  where  $G(A)$  is the unit group of  $A$  and  $G_0(A)$  the identity component of the group.

### inner product

1. An inner product on a real or complex vector space  $V$  is a function  $\langle \cdot, \cdot \rangle : V \times V \rightarrow \mathbb{R}$  such that for each  $v, w \in V$ , (1)  $x \mapsto \langle x, v \rangle$  is linear and (2)  $\langle v, w \rangle = \overline{\langle w, v \rangle}$  where the bar means complex conjugate.
2. An inner product space is a vector space equipped with an inner product.

### involution

1. An involution of a Banach algebra  $A$  is an isometric endomorphism  $A \rightarrow A$ ,  $x \mapsto x^*$  that is conjugate-linear and such that  $(xy)^* = (yx)^*$ .
2. An involutive Banach algebra is a Banach algebra equipped with an involution.

### isometry

A linear isometry between normed vector spaces is a linear map preserving norm.

## K

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### Köthe

A Köthe sequence space. For now, see <https://mathoverflow.net/questions/361048/on-k%C3%B6the-sequence-spaces>

### Krein–Milman

The Krein–Milman theorem states: a nonempty compact convex subset of a locally convex space has an extremal point.

### Krein–Smulian

Krein–Smulian theorem

## L

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### Linear

Linear Operators is a three-volume book by Dunford and Schwartz.

### Locally convex algebra

A locally convex algebra is an algebra whose underlying vector space is a locally convex space and whose multiplication is continuous with respect to the locally convex space

topology.

## M

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### Mazur

Mazur–Ulam theorem.

### Montel

Montel space.

## N

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### nondegenerate

A representation  $(\pi, V)$  of an algebra  $A$  is said to be nondegenerate if for each vector  $v \in V$ , there is an element  $a \in A$  such that  $\pi(a)v \neq 0$ .

### noncommutative

1. noncommutative integration
2. noncommutative torus

### norm

1. A norm on a vector space  $X$  is a real-valued function  $\|\cdot\| : X \rightarrow \mathbb{R}$  such that for each scalar  $a$  and vectors  $x, y$  in  $X$ , (1)  $\|ax\| = |a|\|x\|$ , (2) (triangular inequality)  $\|x + y\| \leq \|x\| + \|y\|$  and (3)  $\|x\| \geq 0$  where the equality holds only for  $x = 0$ .
2. A normed vector space is a real or complex vector space equipped with a norm  $\|\cdot\|$ . It is a metric space with the distance function  $d(x, y) = \|x - y\|$ .

### normal

An operator is normal if it and its adjoint commute.

### nuclear

See nuclear operator.

## O

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### one

A one parameter group of a unital Banach algebra  $A$  is a continuous group homomorphism from  $(\mathbb{R}, +)$  to the unit group of  $A$ .

### open

The open mapping theorem says a surjective continuous linear operator between Banach spaces is an open mapping.

### orthonormal

1. A subset  $S$  of a Hilbert space is orthonormal if, for each  $u, v$  in the set,  $\langle u, v \rangle = 0$  when  $u \neq v$  and  $= 1$  when  $u = v$ .
2. An orthonormal basis is a maximal orthonormal set (note: it is \*not\* necessarily a vector space basis.)

### orthogonal

1. Given a Hilbert space  $H$  and a closed subspace  $M$ , the orthogonal complement of  $M$  is the closed subspace  $M^\perp = \{x \in H | \langle x, y \rangle = 0, y \in M\}$ .

2. In the notations above, the orthogonal projection  $P$  onto  $M$  is a (unique) bounded operator on  $H$  such that  $P^2 = P, P^* = P, \text{im}(P) = M, \text{ker}(P) = M^\perp$ .

## P

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### Parseval

Parseval's identity states: given an orthonormal basis  $S$  in a Hilbert space,

$$\|x\|^2 = \sum_{u \in S} |\langle x, u \rangle|^2. [1]$$

### positive

A linear functional  $\omega$  on an involutive Banach algebra is said to be positive if  $\omega(x^*x) \geq 0$  for each element  $x$  in the algebra.

### predual

predual.

### projection

An operator  $T$  is called a projection if it is an idempotent; i.e.,  $T^2 = T$ .

## Q

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### quasitrace

Quasitrace.

## R

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### Radon

See Radon measure.

### Riesz decomposition

Riesz decomposition.

### Riesz's lemma

Riesz's lemma.

### reflexive

A reflexive space is a topological vector space such that the natural map from the vector space to the second (topological) dual is an isomorphism.

### resolvent

The resolvent of an element  $x$  of a unital Banach algebra is the complement in  $\mathbb{C}$  of the spectrum of  $x$ .

### Ryll-Nardzewski

Ryll-Nardzewski fixed-point theorem.

## S

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### Schauder

Schauder basis.

## Schatten

Schatten class

## selection

Michael selection theorem.

## self-adjoint

A self-adjoint operator is a bounded operator whose adjoint is itself.

## separable

A separable Hilbert space is a Hilbert space admitting a finite or countable orthonormal basis.

## spectrum

1. The spectrum of an element  $x$  of a unital Banach algebra is the set of complex numbers  $\lambda$  such that  $x - \lambda$  is not invertible.
2. The spectrum of a commutative Banach algebra is the set of all characters (a homomorphism to  $\mathbb{C}$ ) on the algebra.

## spectral

1. The spectral radius of an element  $x$  of a unital Banach algebra is  $\sup_{\lambda} |\lambda|$  where the sup is over the spectrum of  $x$ .
2. The spectral mapping theorem states: if  $x$  is an element of a unital Banach algebra and  $f$  is a holomorphic function in a neighborhood of the spectrum  $\sigma(x)$  of  $x$ , then  $f(\sigma(x)) = \sigma(f(x))$ , where  $f(x)$  is an element of the Banach algebra defined via the Cauchy's integral formula.

## state

A state is a positive linear functional of norm one.

## symmetric

A linear operator  $T$  on a pre-Hilbert space is symmetric if  $(Tx, y) = (x, Ty)$ .

# T

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## tensor product

1. See topological tensor product. Note it is still somewhat of an open problem to define or work out a correct tensor product of topological vector spaces, including Banach spaces.
2. A projective tensor product.

## topological

1. A topological vector space is a vector space equipped with a topology such that (1) the topology is Hausdorff and (2) the addition  $(x, y) \mapsto x + y$  as well as scalar multiplication  $(\lambda, x) \mapsto \lambda x$  are continuous.
2. A linear map  $f : E \rightarrow F$  is called a topological homomorphism if  $f : E \rightarrow \text{im}(f)$  is an open mapping.
3. A sequence  $\cdots \rightarrow E_{n-1} \rightarrow E_n \rightarrow E_{n+1} \rightarrow \cdots$  is called topologically exact if it is an exact sequence on the underlying vector spaces and, moreover, each  $E_n \rightarrow E_{n+1}$  is a topological homomorphism.

# U

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## ultraweak

ultraweak topology.



## unbounded operator

An unbounded operator is a partially defined linear operator, usually defined on a dense subspace.

## uniform boundedness principle

The uniform boundedness principle states: given a set of operators between Banach spaces, if  $\sup_T \|Tx\| < \infty$ , sup over the set, for each  $x$  in the Banach space, then  $\sup_T \|T\| < \infty$ .

## unitary

1. A unitary operator between Hilbert spaces is an invertible bounded linear operator such that the inverse is the adjoint of the operator.
2. Two representations  $(\pi_1, H_1), (\pi_2, H_2)$  of an involutive Banach algebra  $A$  on Hilbert spaces  $H_1, H_2$  are said to be unitarily equivalent if there is a unitary operator  $U : H_1 \rightarrow H_2$  such that  $\pi_2(x)U = U\pi_1(x)$  for each  $x$  in  $A$ .

# V

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## von Neumann

1. A von Neumann algebra.
2. von Neumann's theorem.
3. Von Neumann's inequality.

# W

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## $W^*$

A  $W^*$ -algebra is a  $C^*$ -algebra that admits a faithful representation on a Hilbert space such that the image of the representation is a von Neumann algebra.

# References

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1. Here, the part of the assertion is  $\sum_{u \in S} \dots$  is well-defined; i.e., when  $S$  is infinite, for countable totally ordered subsets  $S' \subset S$ ,  $\sum_{u \in S'} \dots$  is independent of  $S'$  and  $\sum_{u \in S} \dots$  denotes the common value.

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- Connes, Alain (1994), *Non-commutative geometry* (<https://archive.org/details/noncommutative0000conn>), Boston, MA: Academic Press, ISBN 978-0-12-185860-5
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- Yoshida, Kôsaku (1980), *Functional Analysis* (sixth ed.), Springer

## Further reading

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- Antony Wassermann's lecture notes at <http://iml.univ-mrs.fr/~wasserm/>
  - Jacob Lurie's lecture notes on a von Neumann algebra at <https://www.math.ias.edu/~lurie/261y.html>
  - <https://mathoverflow.net/questions/408415/takesaki-theorem-2-6>
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