

Regular Expressions

Recap from Last Time

Regular Languages

- A language L is a ***regular language*** if there is a DFA D such that $\mathcal{L}(D) = L$.
- ***Theorem:*** The following are equivalent:
 - L is a regular language.
 - There is a DFA for L .
 - There is an NFA for L .

Language Concatenation

- If $w \in \Sigma^*$ and $x \in \Sigma^*$, then wx is the **concatenation** of w and x .
- If L_1 and L_2 are languages over Σ , the **concatenation** of L_1 and L_2 is the language L_1L_2 defined as

$$L_1L_2 = \{ wx \mid w \in L_1 \text{ and } x \in L_2 \}$$

- Example: if $L_1 = \{ a, ba, bb \}$ and $L_2 = \{ aa, bb \}$, then

$$L_1L_2 = \{ aaa, abb, baaa, babb, bbba, bbbb \}$$

Lots and Lots of Concatenation

- Consider the language $L = \{ \text{aa}, \text{b} \}$
- LL is the set of strings formed by concatenating pairs of strings in L .

$\{ \text{aaaa}, \text{aab}, \text{baa}, \text{bb} \}$

- LLL is the set of strings formed by concatenating triples of strings in L .

$\{ \text{aaaaaa}, \text{aaaab}, \text{aabaa}, \text{aabb}, \text{baaaa}, \text{baab}, \text{bbaa}, \text{bbb} \}$

- $LLLL$ is the set of strings formed by concatenating quadruples of strings in L .

$\{ \text{aaaaaaaa}, \text{aaaaaab}, \text{aaaabaa}, \text{aaaabb}, \text{aabaaaa}, \text{aabbaab}, \text{aabbaa}, \text{aabbb}, \text{baaaaaa}, \text{baaaab}, \text{baabaa}, \text{baabb}, \text{bbaaaa}, \text{bbaab}, \text{bbbaa}, \text{bbbb} \}$

Language Exponentiation

- We can define what it means to “exponentiate” a language as follows:
- $L^0 = \{\varepsilon\}$
 - Intuition: The only string you can form by gluing no strings together is the empty string.
 - Notice that $\{\varepsilon\} \neq \emptyset$. Can you explain why?
- $L^{n+1} = LL^n$
 - Idea: Concatenating $(n+1)$ strings together works by concatenating n strings, then concatenating one more.
- **Question to ponder:** Why define $L^0 = \{\varepsilon\}$?
- **Question to ponder:** What is \emptyset^0 ?

The Kleene Closure

- An important operation on languages is the **Kleene Closure**, which is defined as

$$L^* = \{ w \in \Sigma^* \mid \exists n \in \mathbb{N}. w \in L^n \}$$

- Mathematically:

$$w \in L^* \quad \text{iff} \quad \exists n \in \mathbb{N}. w \in L^n$$

- Intuitively, all possible ways of concatenating zero or more strings in L together, possibly with repetition.
- **Question:** What is \emptyset^0 ?

The Kleene Closure

If $L = \{ \text{a}, \text{bb} \}$, then $L^* = \{$

$\epsilon,$

$\text{a}, \text{bb},$

$\text{aa}, \text{abb}, \text{bba}, \text{bbbb},$

$\text{aaa}, \text{aabb}, \text{abba}, \text{abbbb}, \text{bbaa}, \text{bbabb}, \text{bbbba}, \text{bbbbbb},$

\dots

$\}$

Think of L^* as the set of strings you can make if you have a collection of stamps – one for each string in L – and you form every possible string that can be made from those stamps.

Closure Properties

- ***Theorem:*** If L_1 and L_2 are regular languages over an alphabet Σ , then so are the following languages:
 - $\overline{L_1}$
 - $L_1 \cup L_2$
 - $L_1 \cap L_2$
 - $L_1 L_2$
 - L_1^*
- These properties are called ***closure properties of the regular languages.***

New Stuff!

Another View of Regular Languages

Rethinking Regular Languages

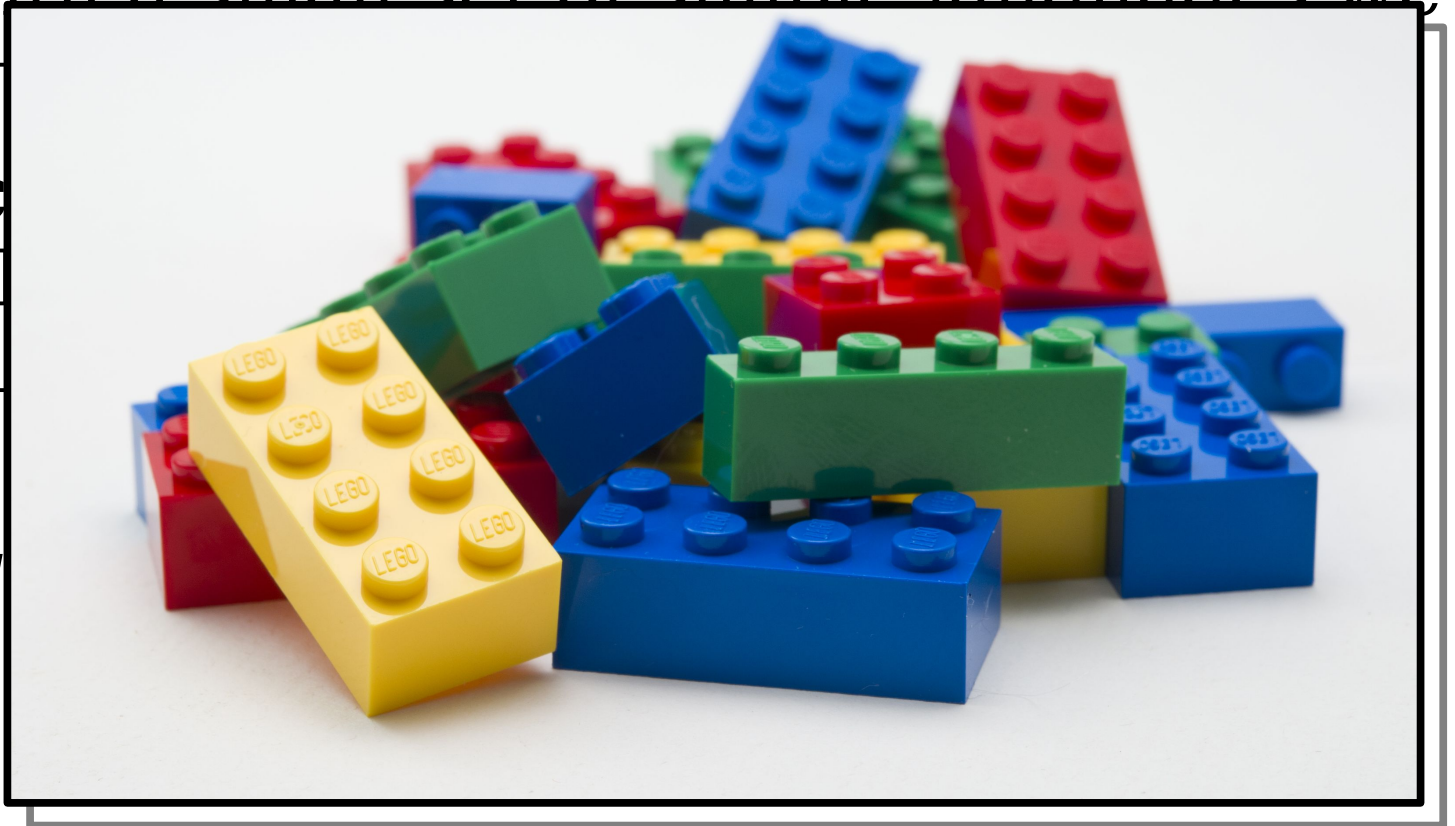
- We currently have several tools for showing a language L is regular:
 - Construct a DFA for L .
 - Construct an NFA for L .
 - Combine several simpler regular languages together via closure properties to form L .
- We have not spoken much of this last idea.

Constructing Regular Languages

- **Idea:** Build up all regular languages as follows:
 - Start with a small set of simple languages we already know to be regular.
 - Using closure properties, combine these simple languages together to form more elaborate languages.
- *This is a bottom-up approach to the regular languages.*

Constructing Regular Languages

- **Idea:** Build up all regular languages as follows:
 - Start with a small set of simple languages we already
 - Using a small set of simple languages, elaborate
- *This is a regular language*



Regular Expressions

- ***Regular expressions*** are a way of describing a language via a string representation.
- They're used just about everywhere:
 - They're built into the JavaScript language and used for data validation.
 - They're used in the UNIX grep and flex tools to search files and build compilers.
 - They're employed to clean and scrape data for large-scale analysis projects.
- Conceptually, regular expressions are strings describing how to assemble a larger language out of smaller pieces.

Atomic Regular Expressions

- The regular expressions begin with three simple building blocks.
- The symbol \emptyset is a regular expression that represents the empty language \emptyset .
- For any $a \in \Sigma$, the symbol a is a regular expression for the language $\{a\}$.
- The symbol ϵ is a regular expression that represents the language $\{\epsilon\}$.
 - **Remember:** $\{\epsilon\} \neq \emptyset!$
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Compound Regular Expressions

- If R_1 and R_2 are regular expressions, $\mathbf{R_1R_2}$ is a regular expression for the *concatenation* of the languages of R_1 and R_2 .
- If R_1 and R_2 are regular expressions, $\mathbf{R_1 \cup R_2}$ is a regular expression for the *union* of the languages of R_1 and R_2 .
- If R is a regular expression, $\mathbf{R^*}$ is a regular expression for the *Kleene closure* of the language of R .
- If R is a regular expression, $\mathbf{(R)}$ is a regular expression with the same meaning as R .

Operator Precedence

- Here's the operator precedence for regular expressions:

(R)

R^*

R_1R_2

$R_1 \cup R_2$

- So **ab*cUd** is parsed as **((a(b*))c)Ud**

Regular Expression Examples

- The regular expression **trickUtrear** represents the language

{ **trick**, **trear** }.

- The regular expression **booo*** represents the regular language

{ **boo**, **booo**, **boooo**, ... }.

- The regular expression **candy!(candy!)*** represents the regular language

{ **candy!**, **candy!candy!**, **candy!candy!candy!**, ... }.

Regular Expressions, Formally

- The *language of a regular expression* is the language described by that regular expression.
- Formally:
 - $\mathcal{L}(\epsilon) = \{\epsilon\}$
 - $\mathcal{L}(\emptyset) = \emptyset$
 - $\mathcal{L}(a) = \{a\}$
 - $\mathcal{L}(R_1R_2) = \mathcal{L}(R_1) \mathcal{L}(R_2)$
 - $\mathcal{L}(R_1 \cup R_2) = \mathcal{L}(R_1) \cup \mathcal{L}(R_2)$
 - $\mathcal{L}(R^*) = \mathcal{L}(R)^*$
 - $\mathcal{L}((R)) = \mathcal{L}(R)$

Worthwhile activity: Apply
this recursive definition
to

$a(b \cup c)((d))$

and see what you get.

Designing Regular Expressions

- Let $\Sigma = \{\mathbf{a}, \mathbf{b}\}$.
- Let $L = \{ w \in \Sigma^* \mid w \text{ contains } \mathbf{aa} \text{ as a substring} \}$.

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bbabbbaabab
aaaa
bbbbbabbbbaabbbbb

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$\Sigma^*aa\Sigma^*$

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aaaa

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Designing Regular Expressions

- Let $\Sigma = \{\mathbf{a}, \mathbf{b}\}$.
- Let $L = \{ w \in \Sigma^* \mid |w| = 4 \}$.

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The length of
a string w is
denoted $|w|$

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aaaa
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bbbb
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$\Sigma\Sigma\Sigma\Sigma$

$\mathbf{a}\mathbf{a}\mathbf{a}\mathbf{a}$
 $\mathbf{b}\mathbf{a}\mathbf{b}\mathbf{a}$
 $\mathbf{b}\mathbf{b}\mathbf{b}\mathbf{b}$
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Σ^4

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bbbb
baaa

Designing Regular Expressions

- Let $\Sigma = \{a, b\}$.
- Let $L = \{ w \in \Sigma^* \mid w \text{ contains at most one } a \}$.

Here are some candidate regular expressions for the language L . Which of these are correct?

$\Sigma^*a\Sigma^*$
 $b^*ab^* \cup b^*$
 $b^*(a \cup \epsilon)b^*$
 $b^*a^*b^* \cup b^*$
 $b^*(a^* \cup \epsilon)b^*$

Designing Regular Expressions

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$$\mathbf{b^*(a \cup \epsilon)b^*}$$

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a

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a

Designing Regular Expressions

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$b^*a?b^*$

bbbbabbb

bbbbbb

abbb

a

A More Elaborate Design

- Let $\Sigma = \{ \text{a}, ., @ \}$, where **a** represents “some letter.”
- Let's make a regex for email addresses.

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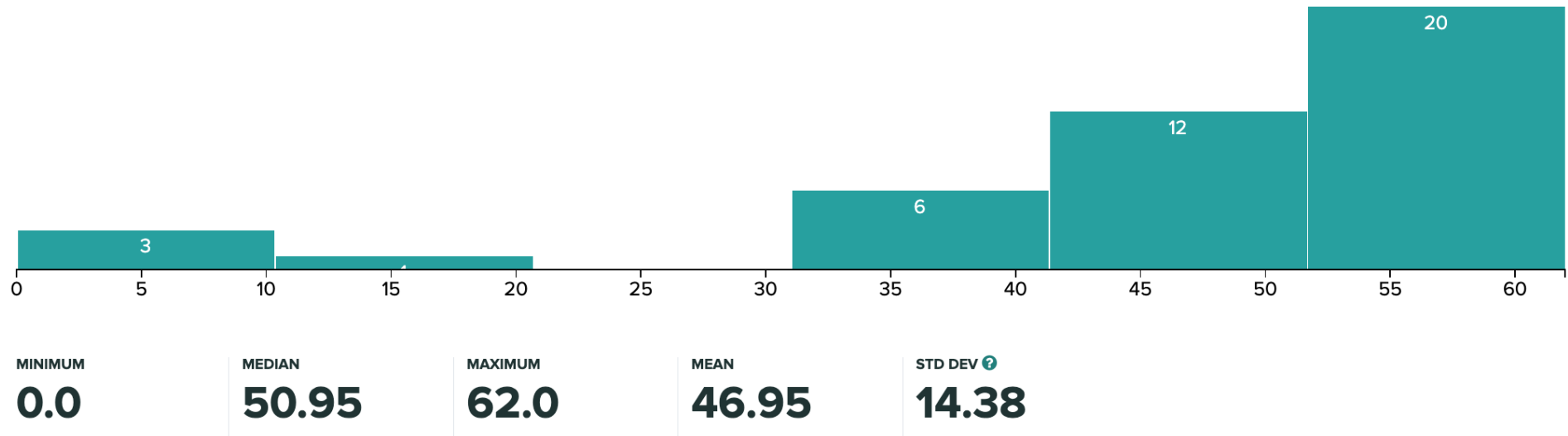
Shorthand Summary

- R^n is shorthand for $RR \dots R$ (n times).
 - Edge case: define $R^0 = \varepsilon$.
- Σ is shorthand for “any character in Σ .”
- $R?$ is shorthand for $(R \cup \varepsilon)$, meaning “zero or one copies of R .”
- R^+ is shorthand for RR^* , meaning “one or more copies of R .”

Time-Out for Announcements!

Problem Set Four Graded

- Your diligent and hardworking TAs have finished grading PS4. Grades and feedback are now available on Gradescope.



- As always, ***please review your feedback!*** Knowing where to improve is more important than just seeing a raw score.
- Did we make a mistake? Regrades on Gradescope will open tomorrow and are due in one week.

Problem Set Six

- Problem Set Five was due at 2:30PM today.
- Problem Set Six goes out today. It's due next Friday at 2:30PM.
 - Design DFAs and NFAs for a range of problems!
 - Explore formal language theory!
 - See some clever applications!

Back to CS103!

The Lay of the Land

Languages you can
build a DFA for.

Languages you can
build an NFA for.

***Regular
Languages***

```
graph TD; A[Languages you can build a DFA for.] --> C((Regular Languages)); B[Languages you can build an NFA for.] --> C;
```

The diagram illustrates the relationship between languages that can be recognized by a Deterministic Finite Automaton (DFA) or a Non-deterministic Finite Automaton (NFA) and the concept of Regular Languages. Two rectangular boxes at the top represent the sets of languages for which a DFA or an NFA can be constructed. Blue arrows from these boxes point to a central light-blue oval labeled 'Regular Languages', indicating that the union of these two sets is exactly the set of regular languages.

Languages you can
build a DFA for.

Languages you can
build an NFA for.

The diagram consists of a large light blue oval in the center. Inside this oval is a smaller yellow oval. Two rectangular boxes are positioned at the top, one on the left and one on the right. Blue arrows point from each box to the large light blue oval. The left box contains the text 'Languages you can build a DFA for.' and the right box contains 'Languages you can build an NFA for.' The large light blue oval is labeled 'Regular Languages' in bold italicized black font. The smaller yellow oval inside it is labeled 'Languages You Can Write a Regex For' in black font.

Regular Languages

Languages You Can
Write a Regex For

Languages you can
build a DFA for.

Languages you can
build an NFA for.

The diagram features a central light blue oval labeled **Regular Languages**. Two blue arrows point from rectangular boxes at the top towards this central oval. The left box is labeled 'Languages you can build a DFA for.' and the right box is labeled 'Languages you can build an NFA for.' To the bottom right of the central oval is a smaller yellow oval labeled 'Languages You Can Write a Regex For', which overlaps with the bottom right corner of the central oval.

***Regular
Languages***

Languages You Can
Write a Regex For

Languages you can
build a DFA for.

Languages you can
build an NFA for.

The diagram consists of a large yellow circle containing a smaller light blue circle. The light blue circle is labeled "Regular Languages". Two blue arrows point from boxes at the top to the light blue circle. The box on the left says "Languages you can build a DFA for." and the box on the right says "Languages you can build an NFA for." Below the yellow circle, the text "Languages You Can Write a Regex For" is written.

***Regular
Languages***

Languages You Can
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Regular Languages

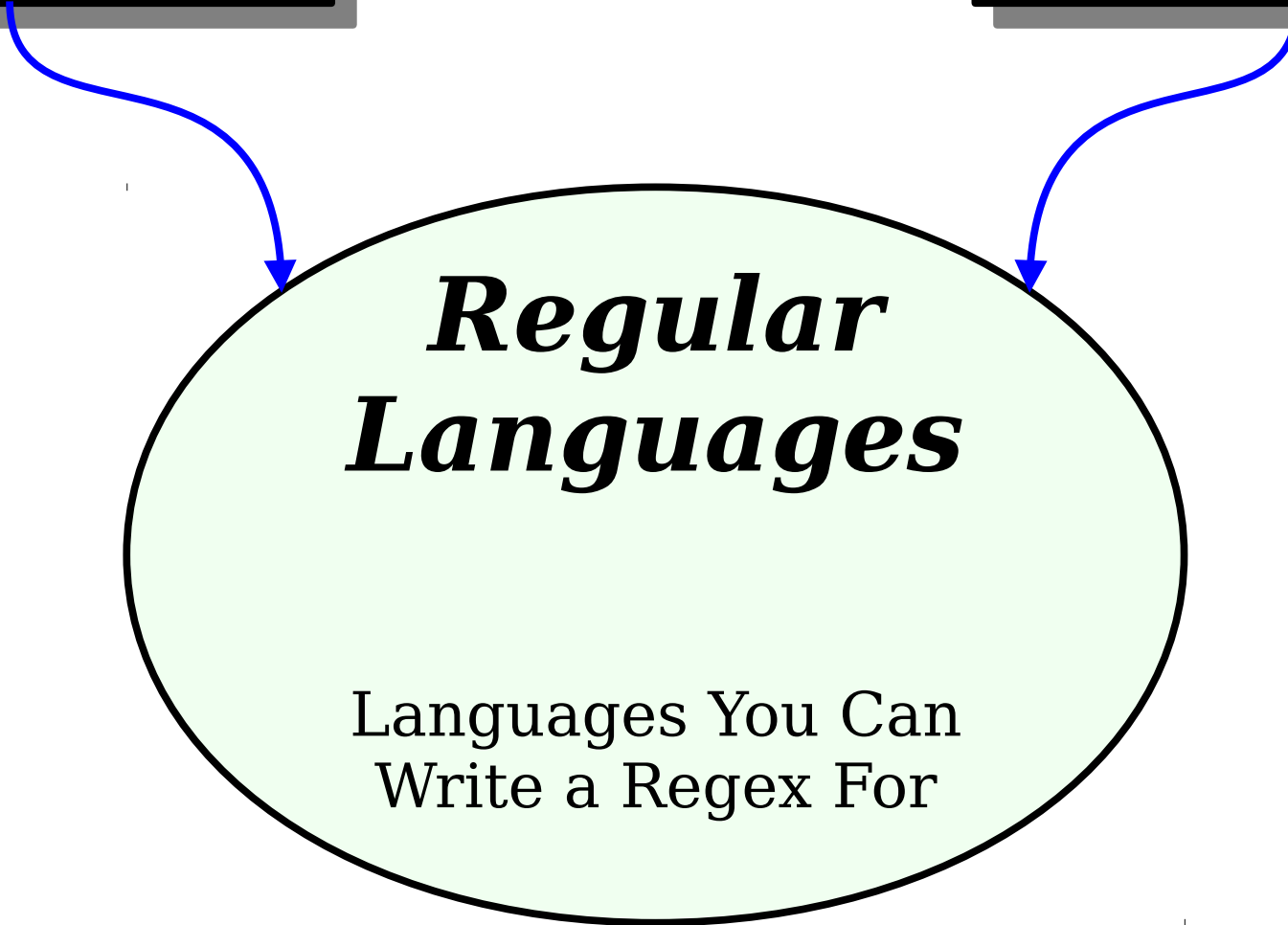
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Regular Languages

Languages You Can
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The Power of Regular Expressions

Theorem: If R is a regular expression, then $\mathcal{L}(R)$ is regular.

Proof idea: Use induction!

- The atomic regular expressions all represent regular languages.
- The combination steps represent closure properties.
- So anything you can make from them must be regular!

Thompson's Algorithm

- In practice, many regex matchers use an algorithm called ***Thompson's algorithm*** to convert regular expressions into NFAs (and, from there, to DFAs).
 - Read Sipser if you're curious!
- ***Fun fact:*** the “Thompson” here is Ken Thompson, one of the co-inventors of Unix!

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Regular Languages

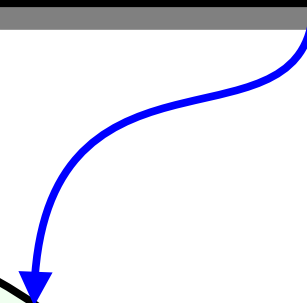
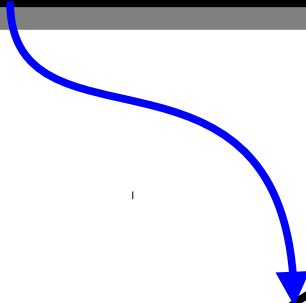
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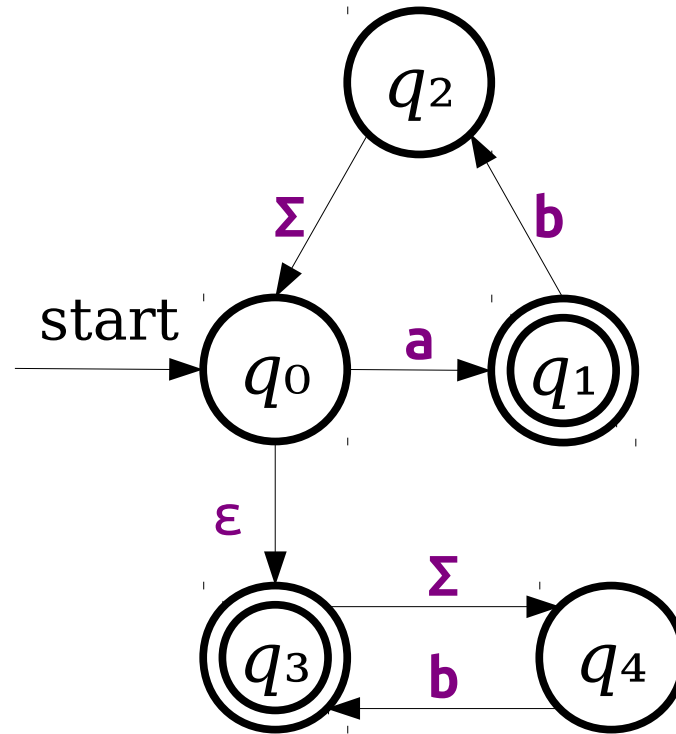
The Power of Regular Expressions

Theorem: If L is a regular language, then there is a regular expression for L .

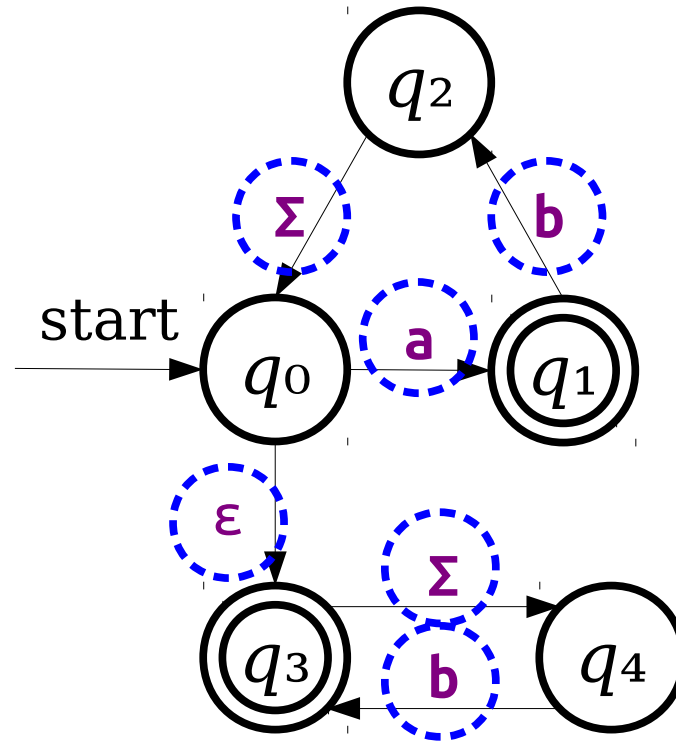
This is not obvious!

Proof idea: Show how to convert an arbitrary NFA into a regular expression.

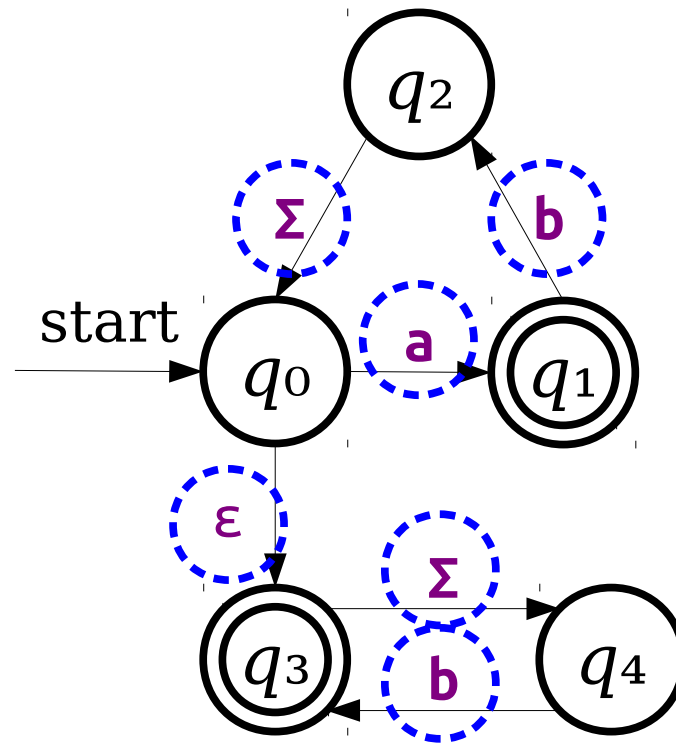
Generalizing NFAs



Generalizing NFAs

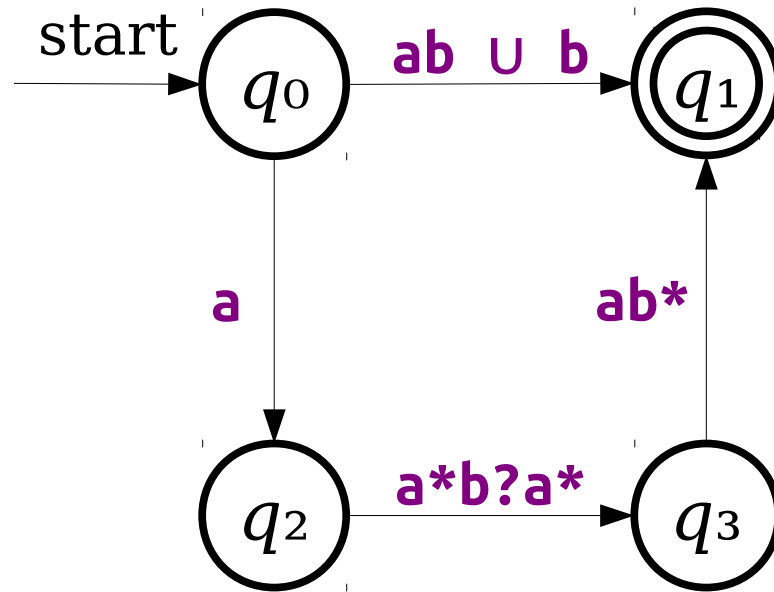


Generalizing NFAs

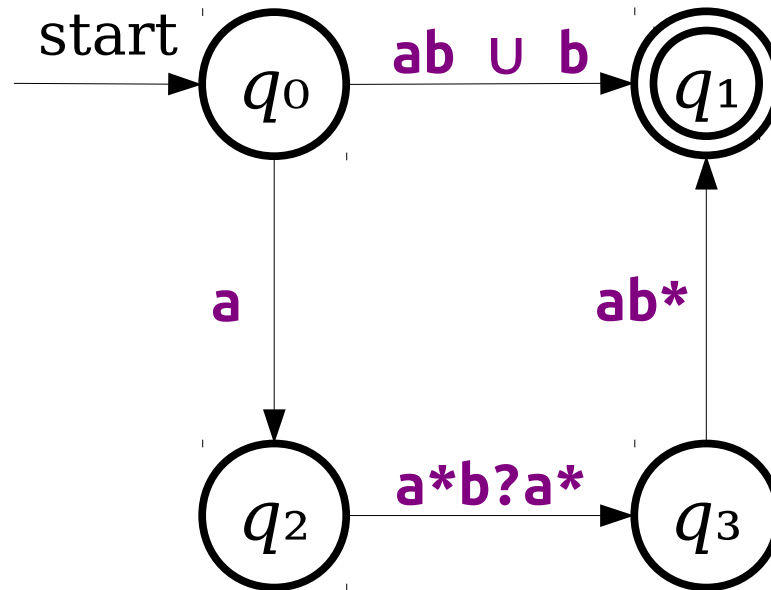


These are all
regular expressions!

Generalizing NFAs

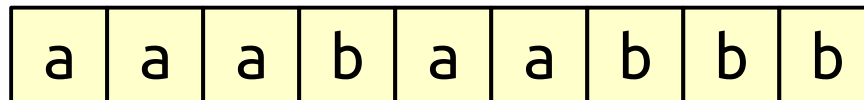
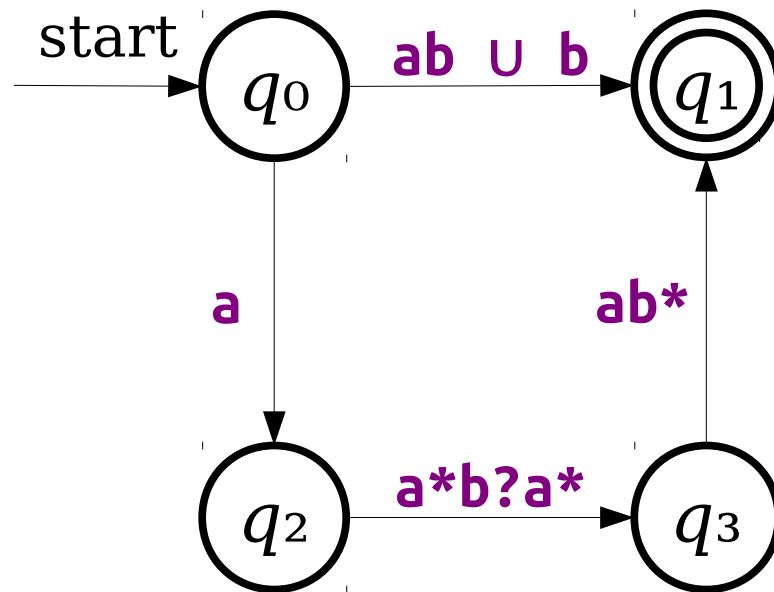


Generalizing NFAs

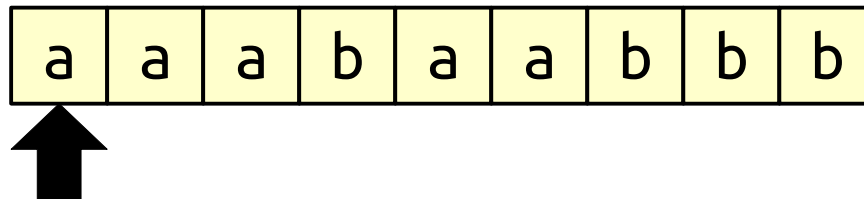
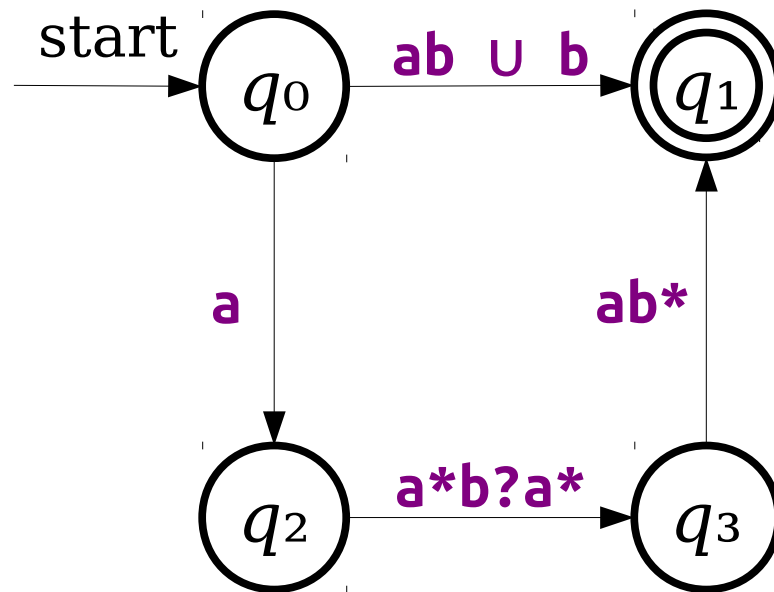


Note: Actual NFAs aren't allowed to have transitions like these. This is just a thought experiment.

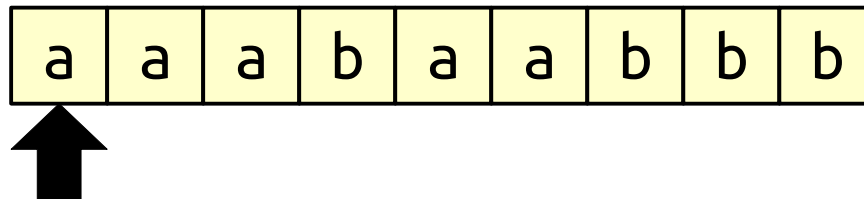
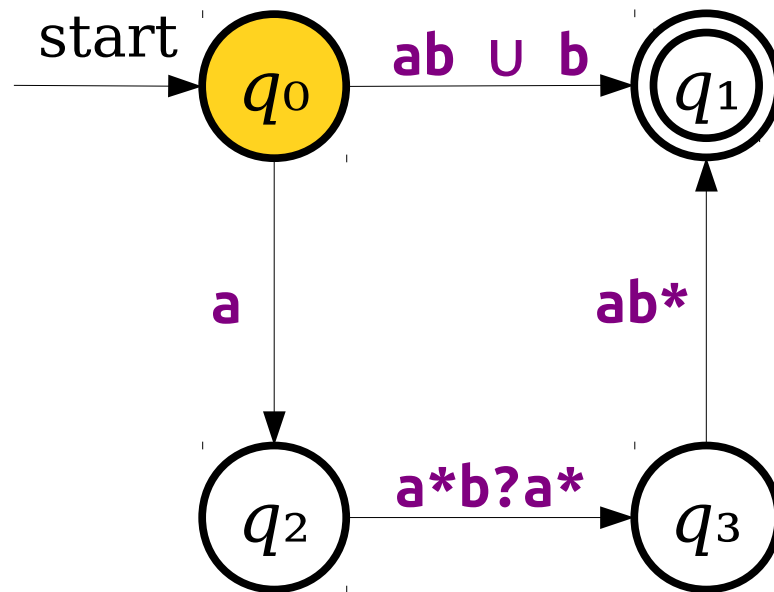
Generalizing NFAs



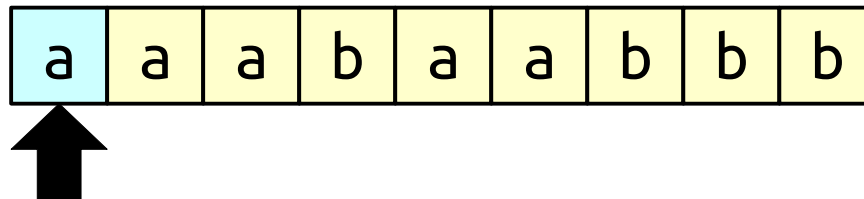
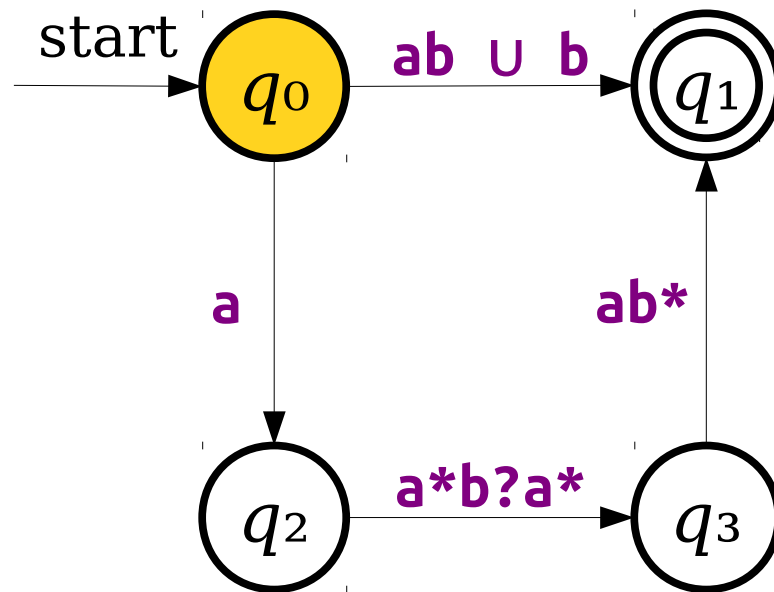
Generalizing NFAs



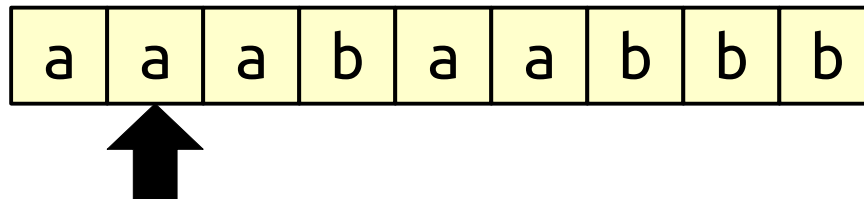
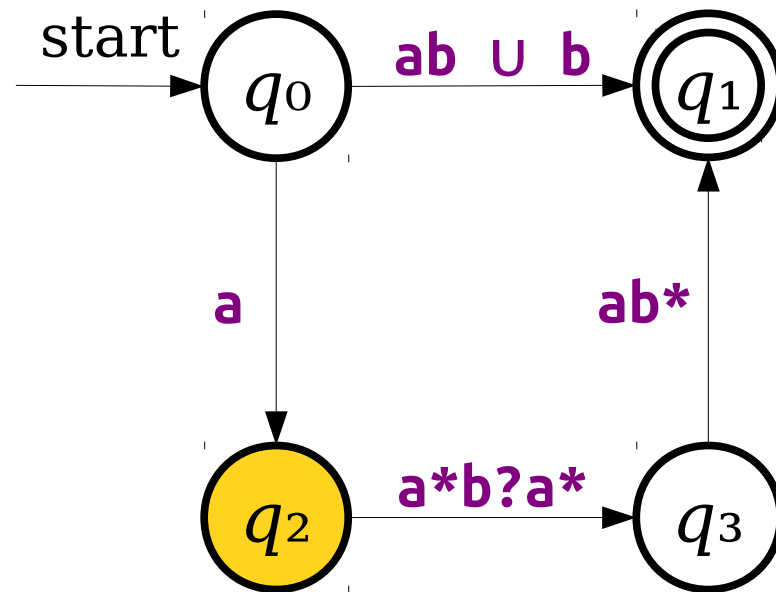
Generalizing NFAs



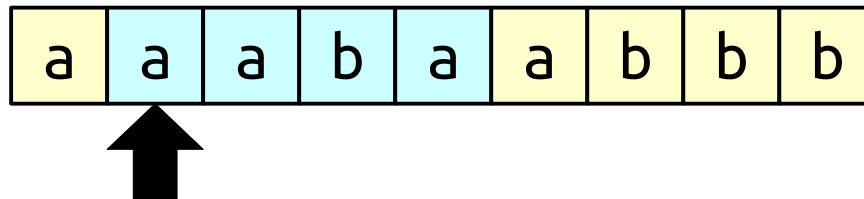
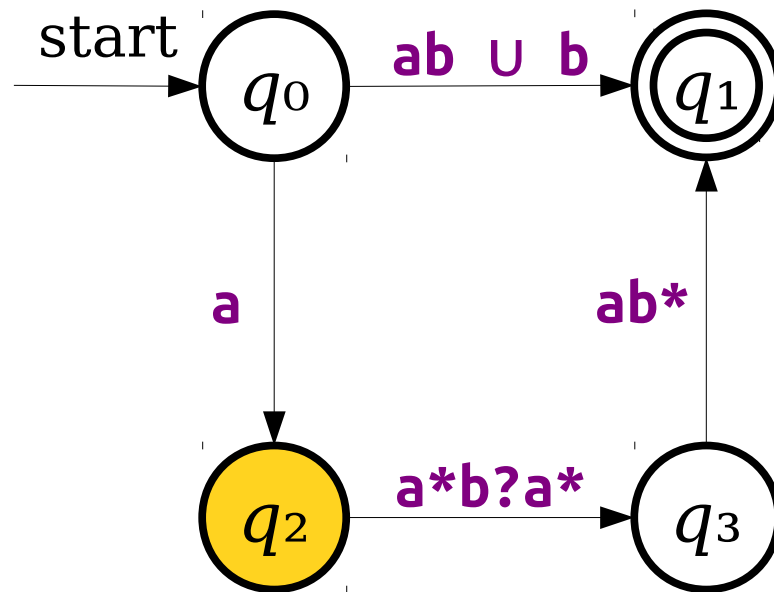
Generalizing NFAs



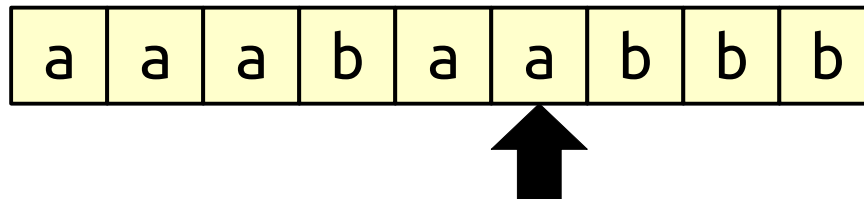
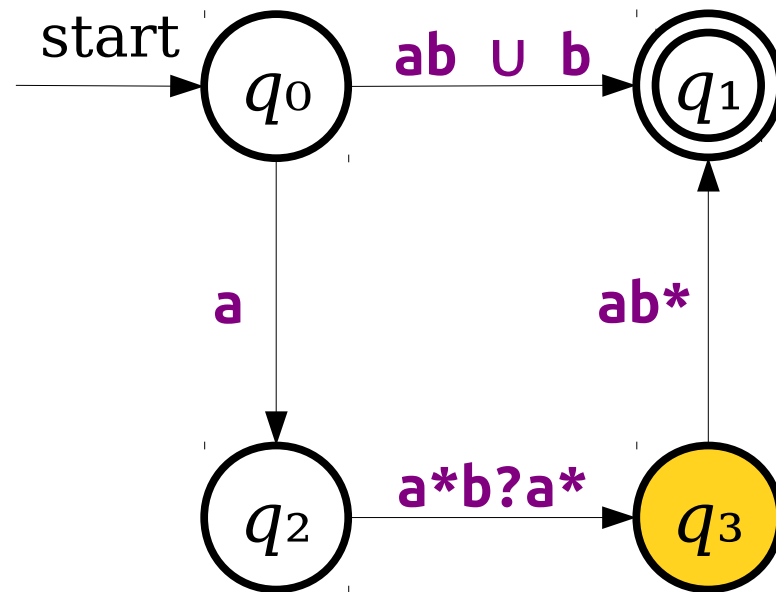
Generalizing NFAs



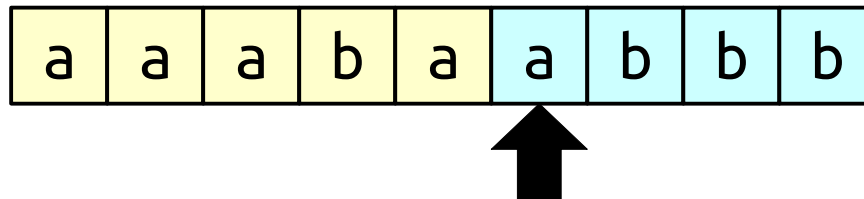
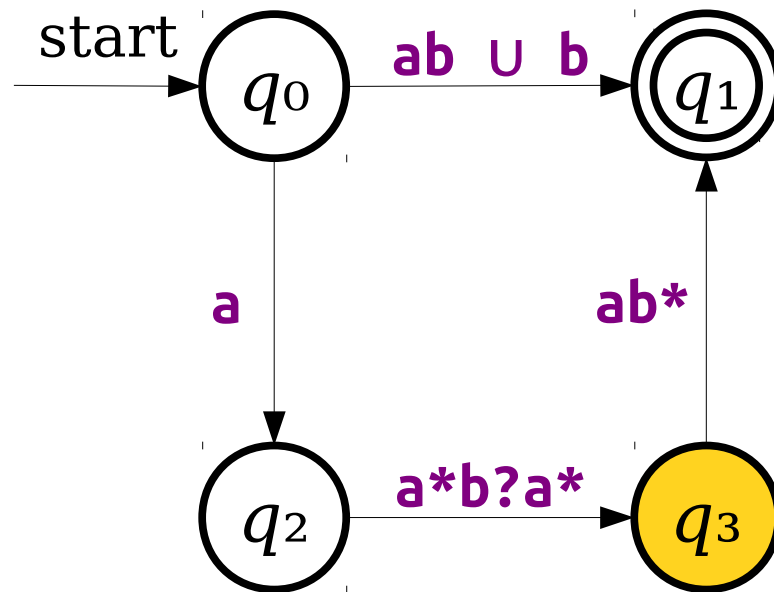
Generalizing NFAs



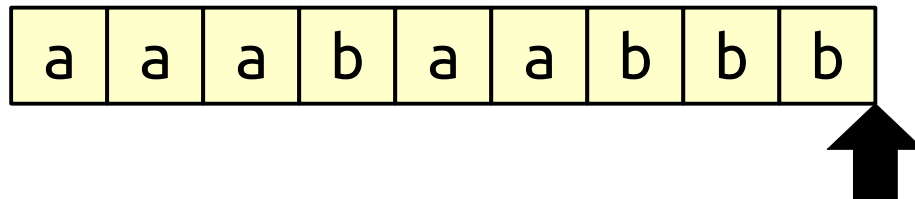
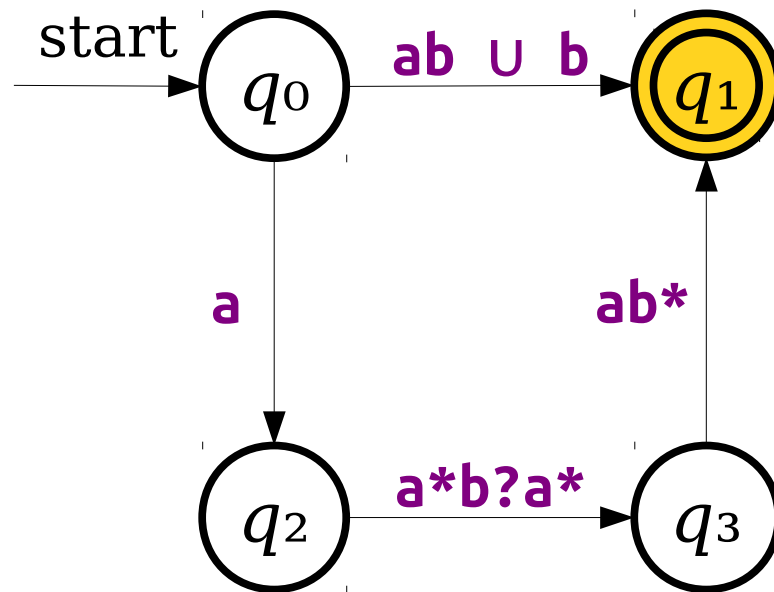
Generalizing NFAs



Generalizing NFAs



Generalizing NFAs



Key Idea 1: Imagine that we can label transitions in an NFA with arbitrary regular expressions.

Generalizing NFAs

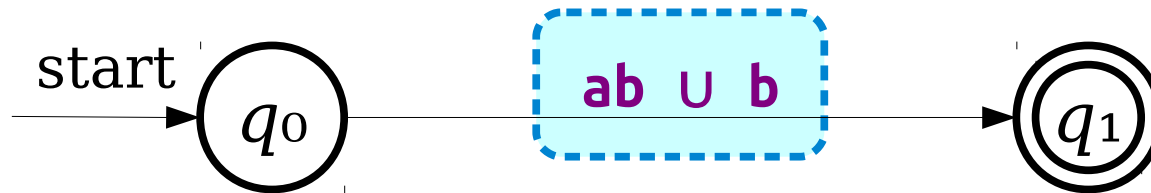


Generalizing NFAs



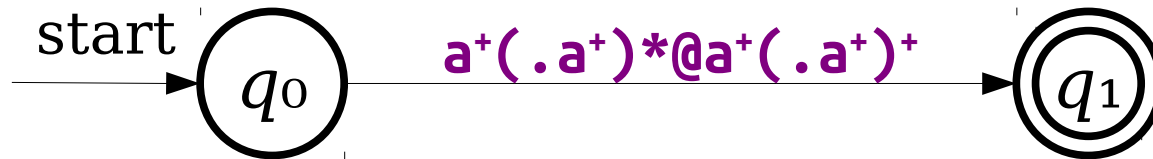
Is there a simple
regular expression for
the language of this
generalized NFA?

Generalizing NFAs

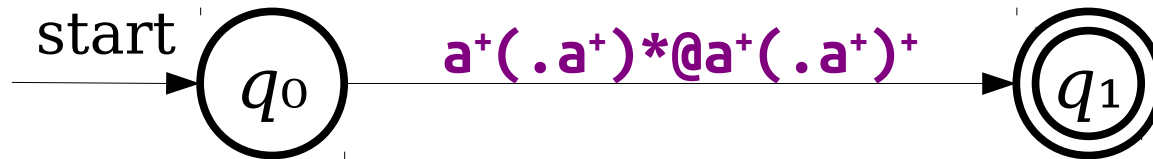


Is there a simple
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Generalizing NFAs

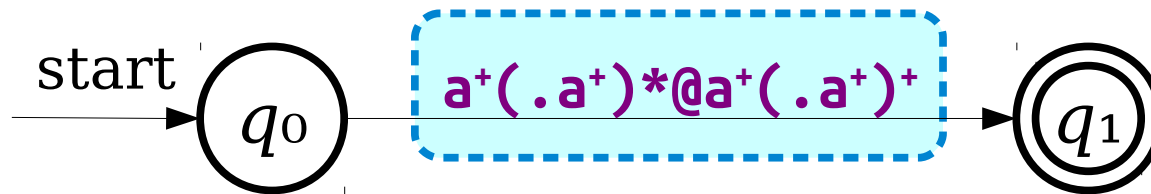


Generalizing NFAs



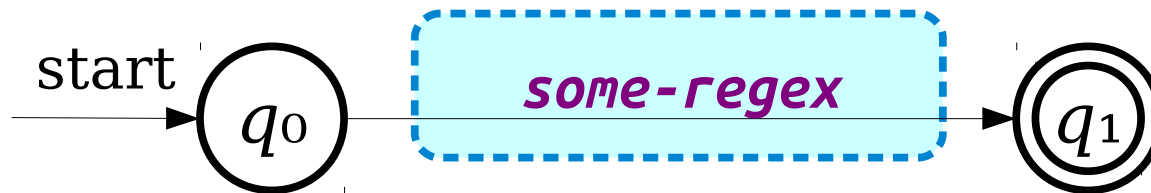
Is there a simple
regular expression for
the language of this
generalized NFA?

Generalizing NFAs



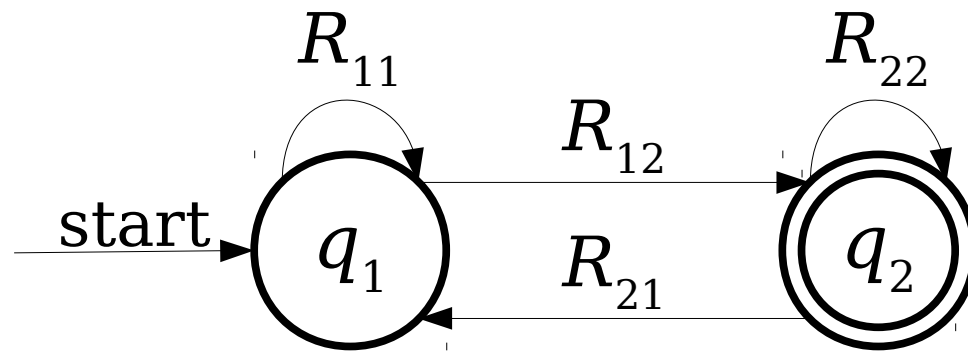
Is there a simple regular expression for the language of this generalized NFA?

Key Idea 2: If we can convert an NFA into a generalized NFA that looks like this...

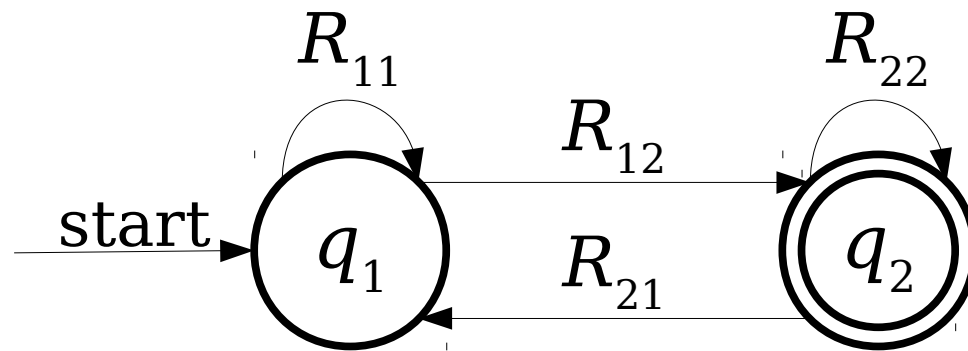


...then we can easily read off a regular expression for the original NFA.

From NFAs to Regular Expressions

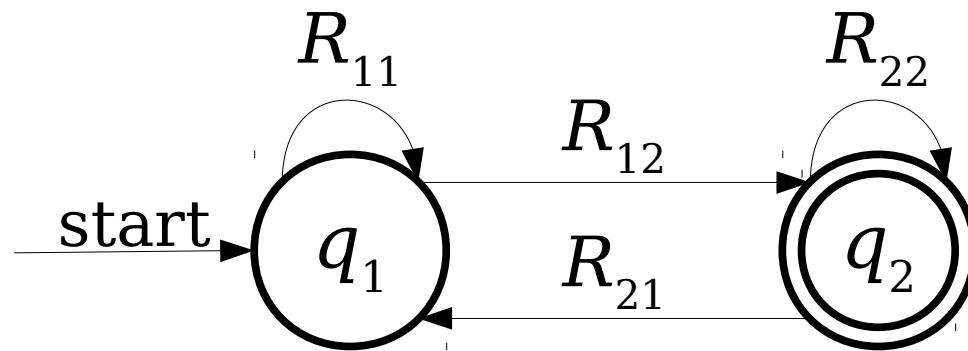


From NFAs to Regular Expressions



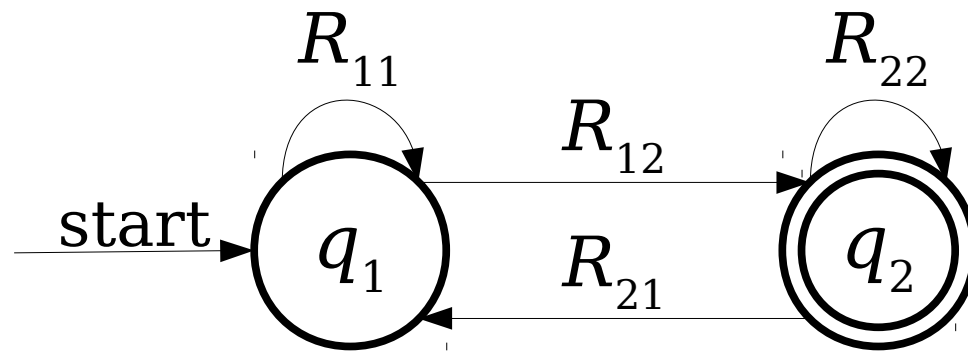
Here, R_{11} , R_{12} , R_{21} , and R_{22} are arbitrary regular expressions.

From NFAs to Regular Expressions

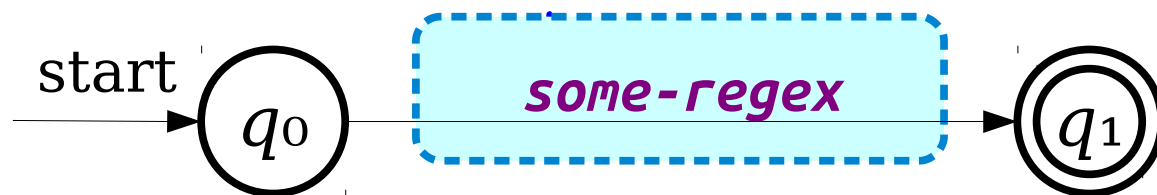


Question: Can we get a clean
regular expression from this
NFA?

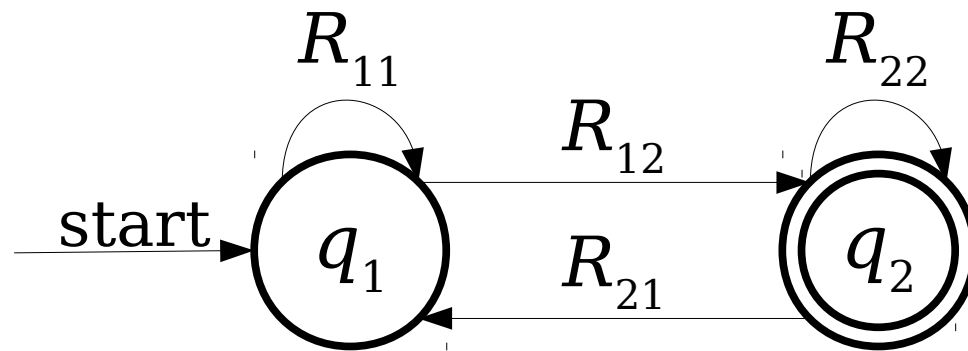
From NFAs to Regular Expressions



Key Idea 3: Somehow transform this NFA so that it looks like

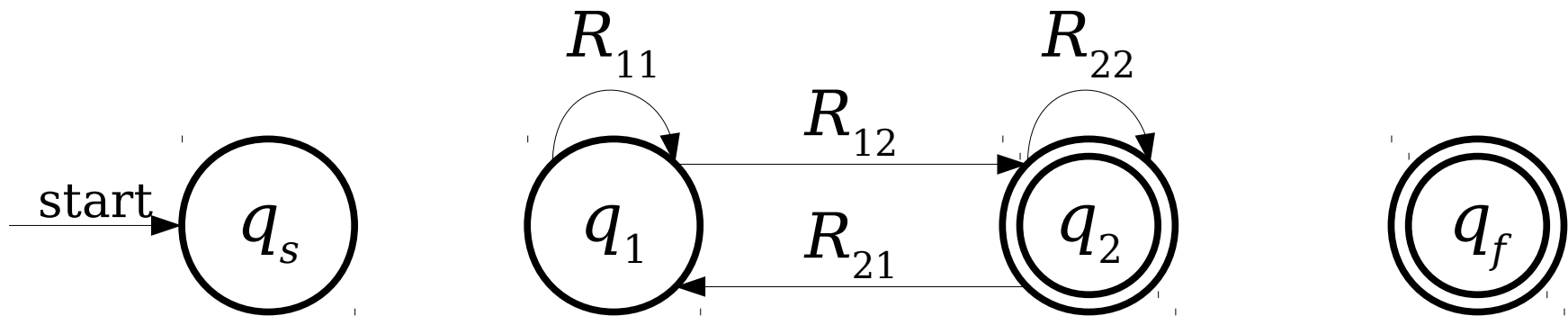


From NFAs to Regular Expressions

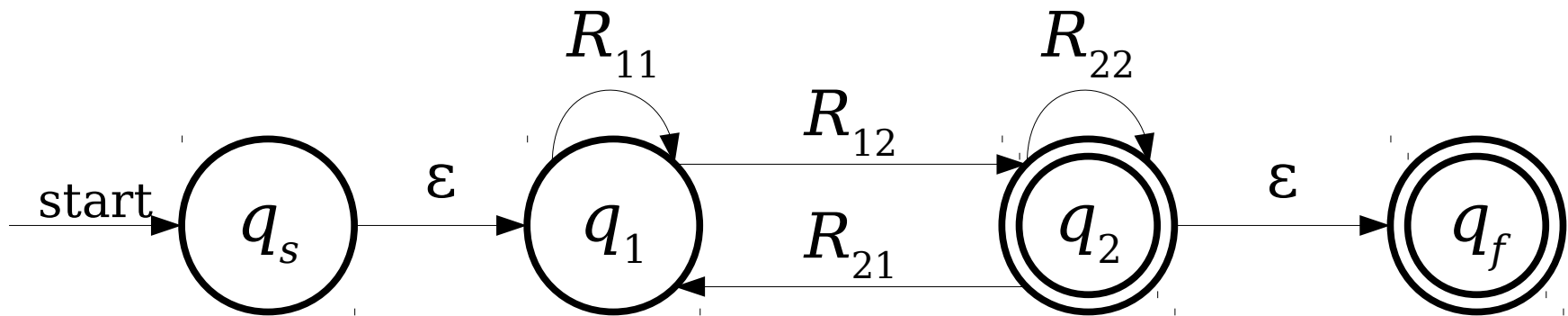


The first step is going to be a
bit weird...

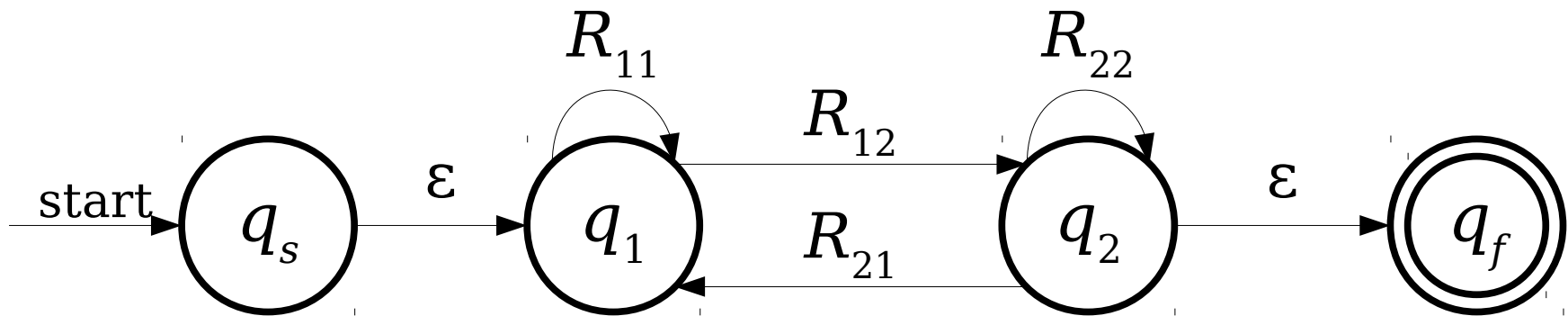
From NFAs to Regular Expressions



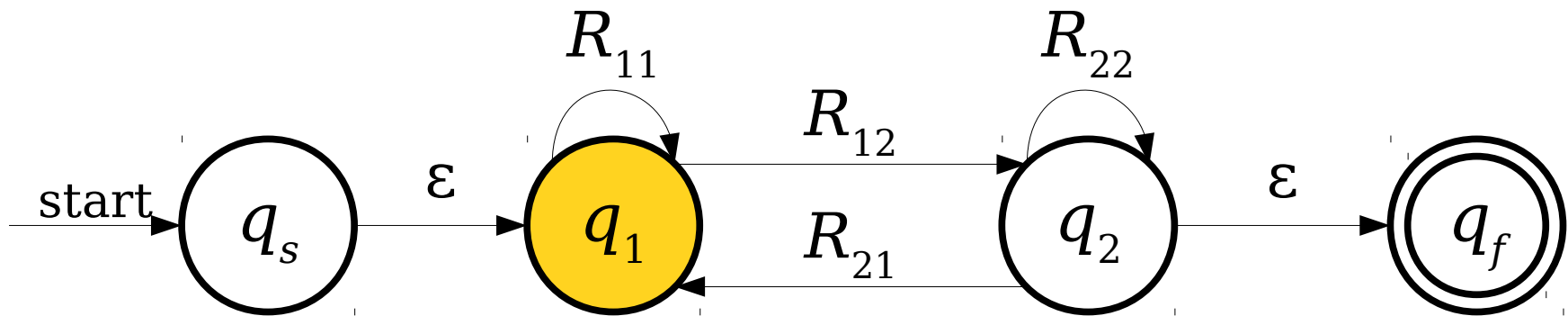
From NFAs to Regular Expressions



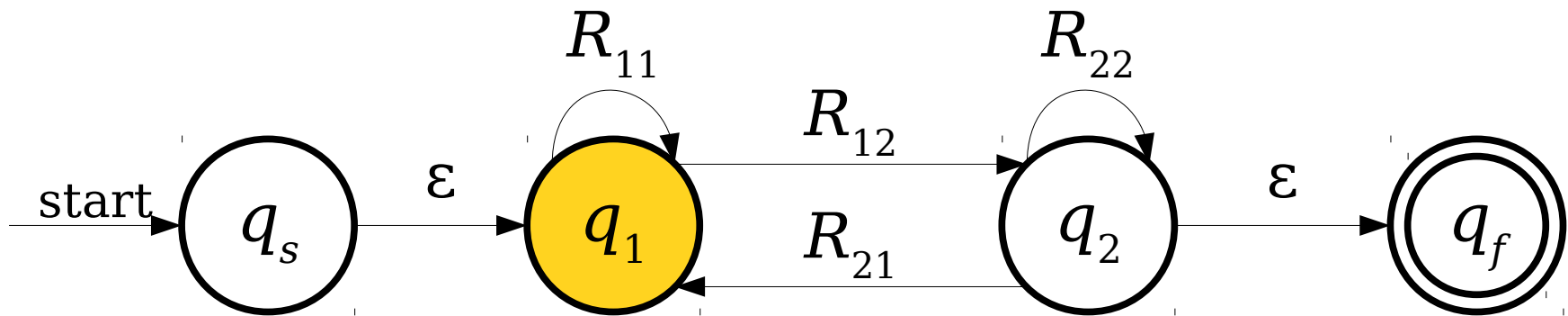
From NFAs to Regular Expressions



From NFAs to Regular Expressions

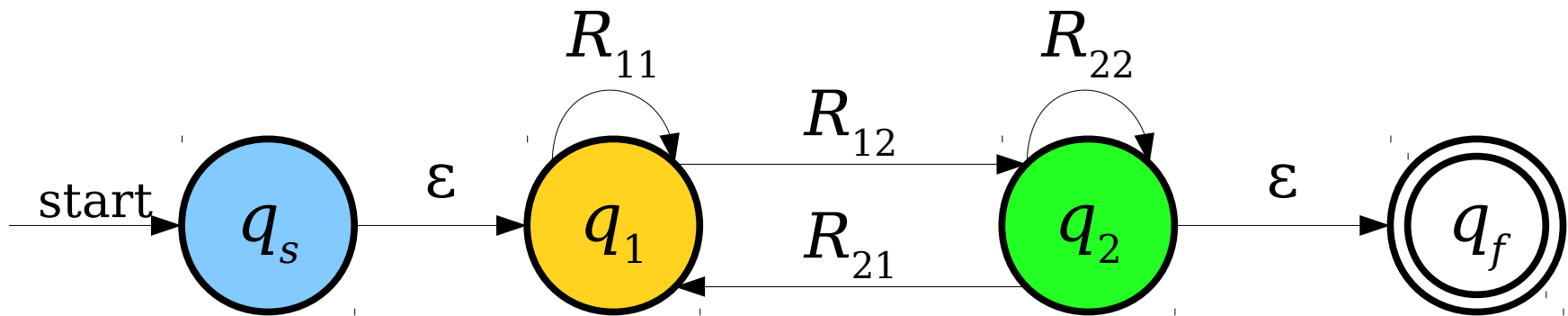


From NFAs to Regular Expressions

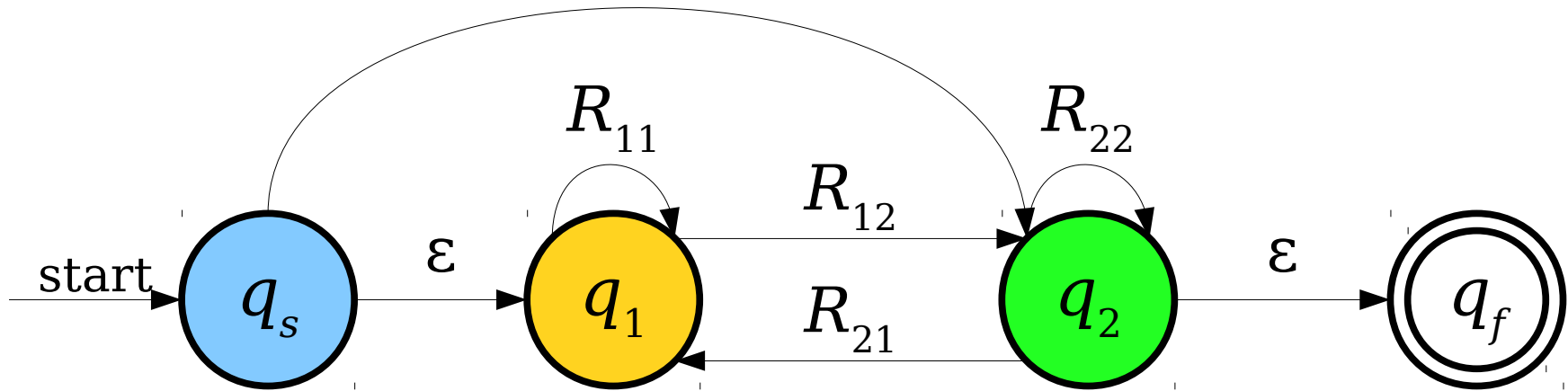


Could we
eliminate this
state from the
NFA?

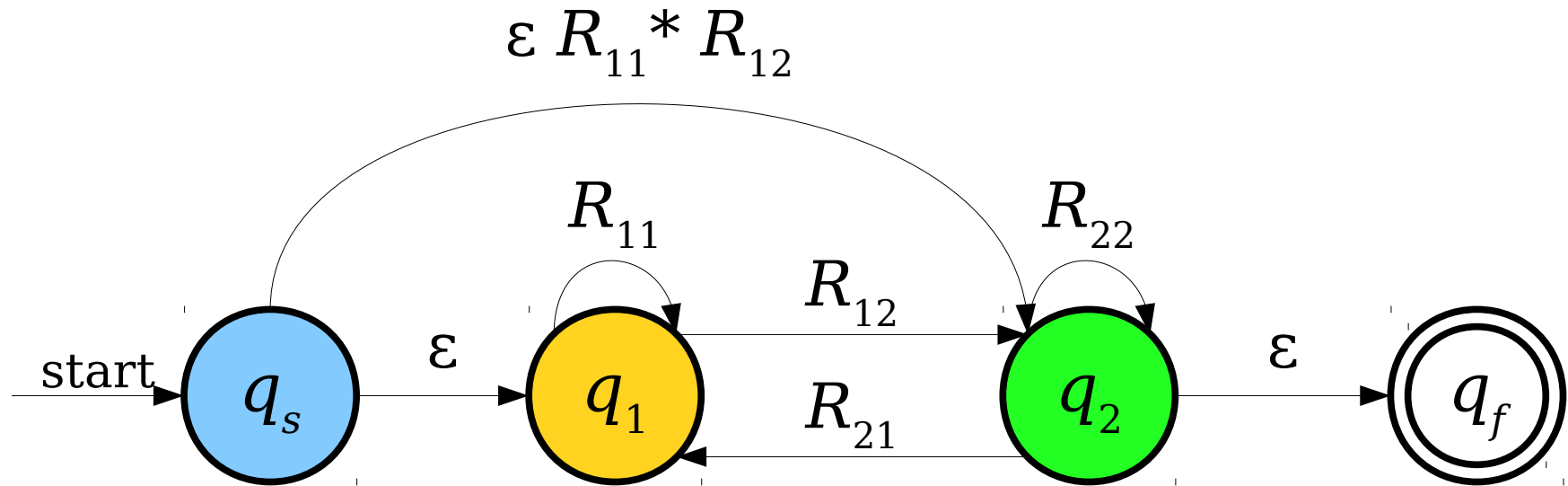
From NFAs to Regular Expressions



From NFAs to Regular Expressions

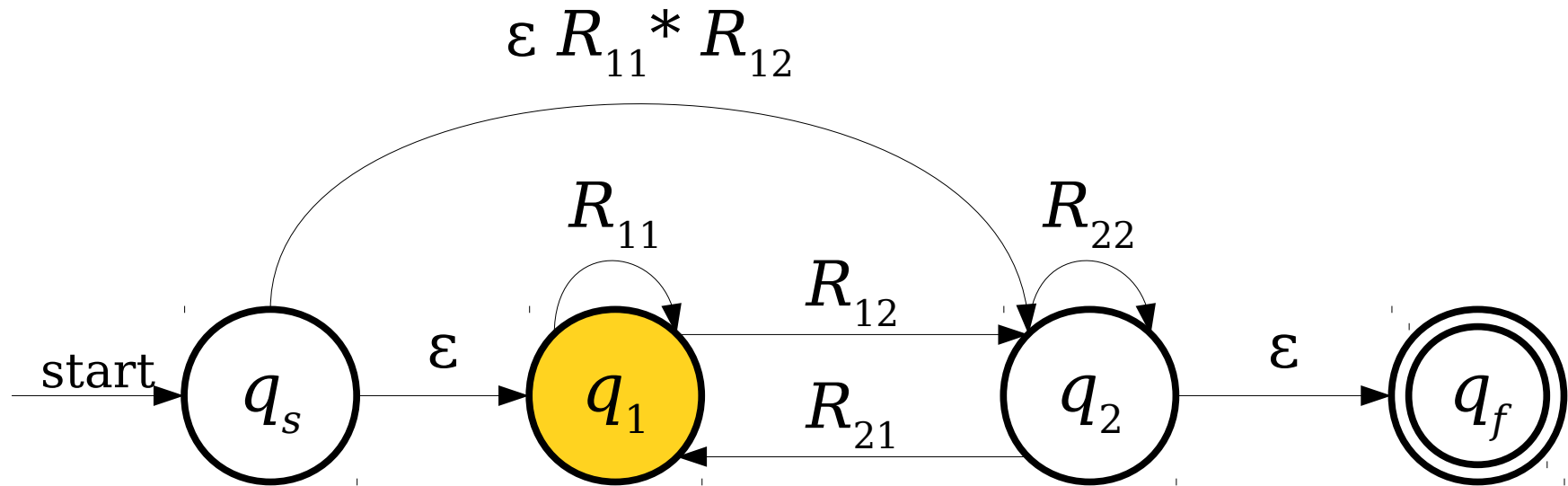


From NFAs to Regular Expressions

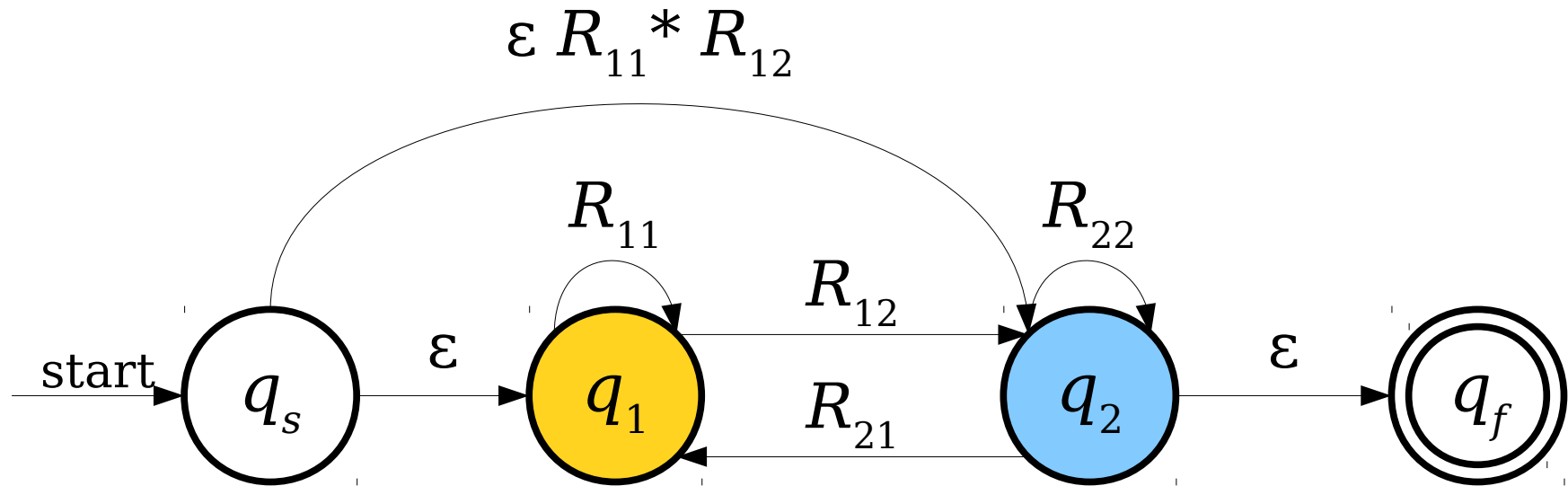


Note: We're using
concatenation and
Kleene closure in order
to skip this state.

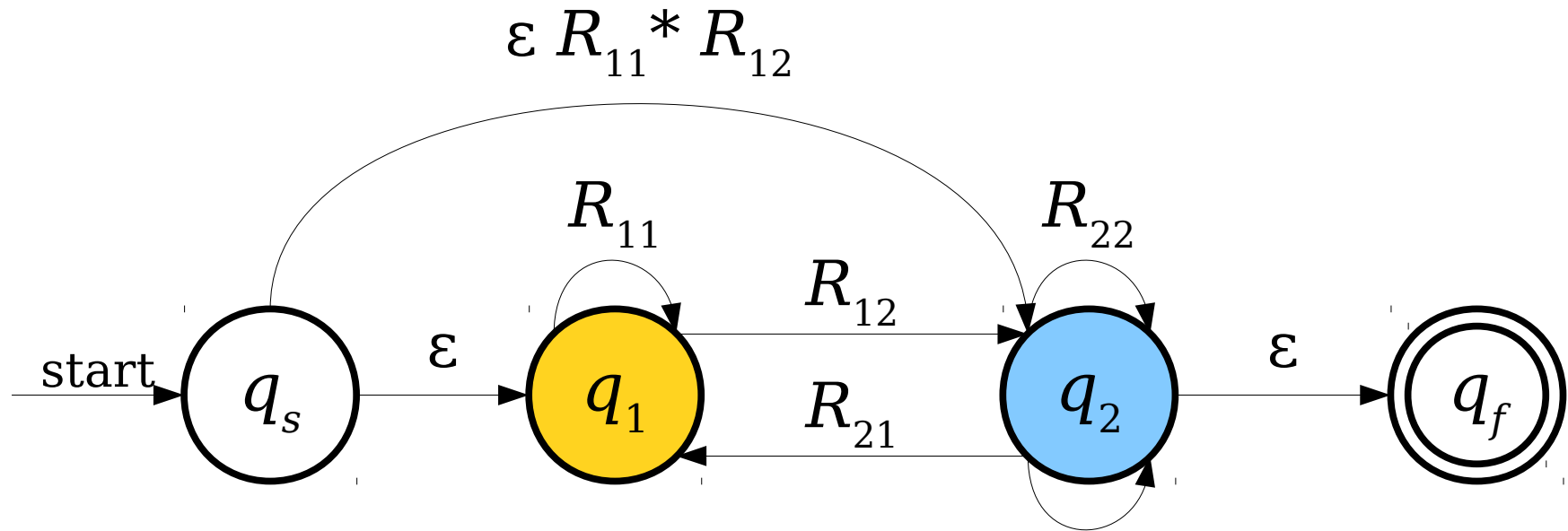
From NFAs to Regular Expressions



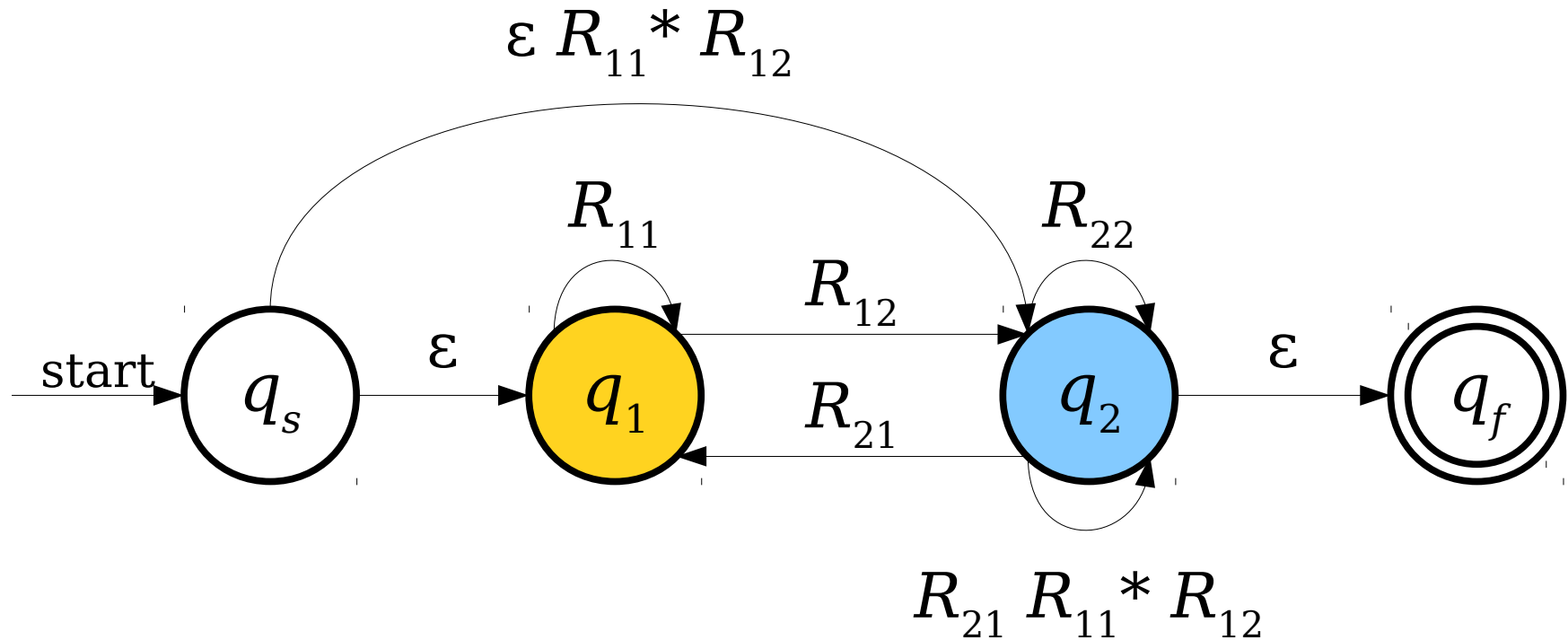
From NFAs to Regular Expressions



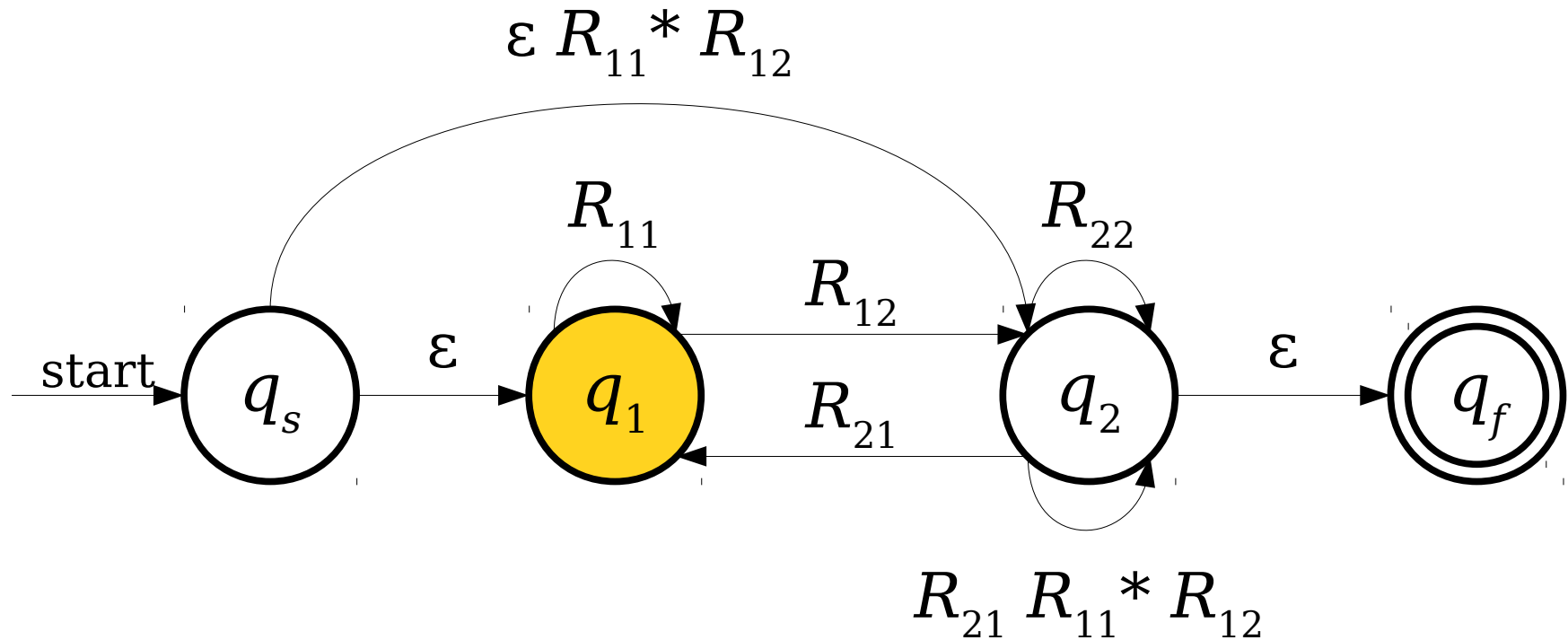
From NFAs to Regular Expressions



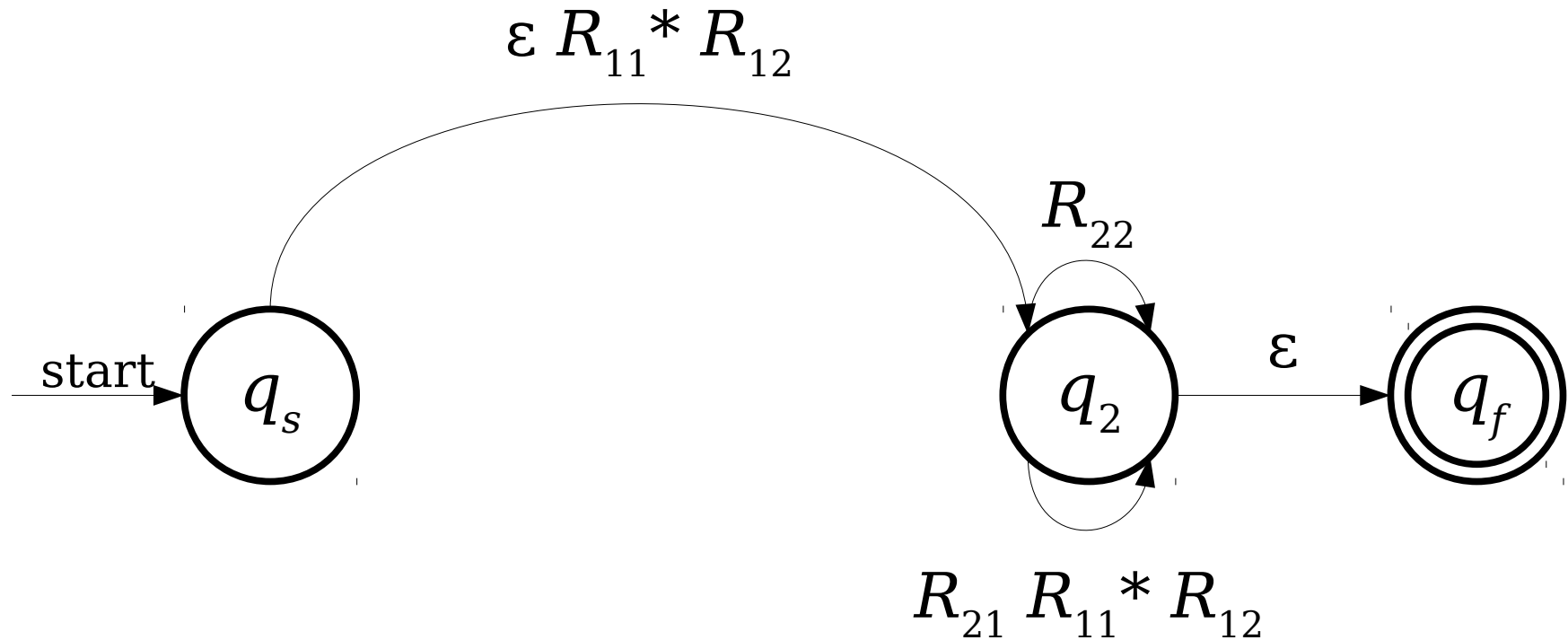
From NFAs to Regular Expressions



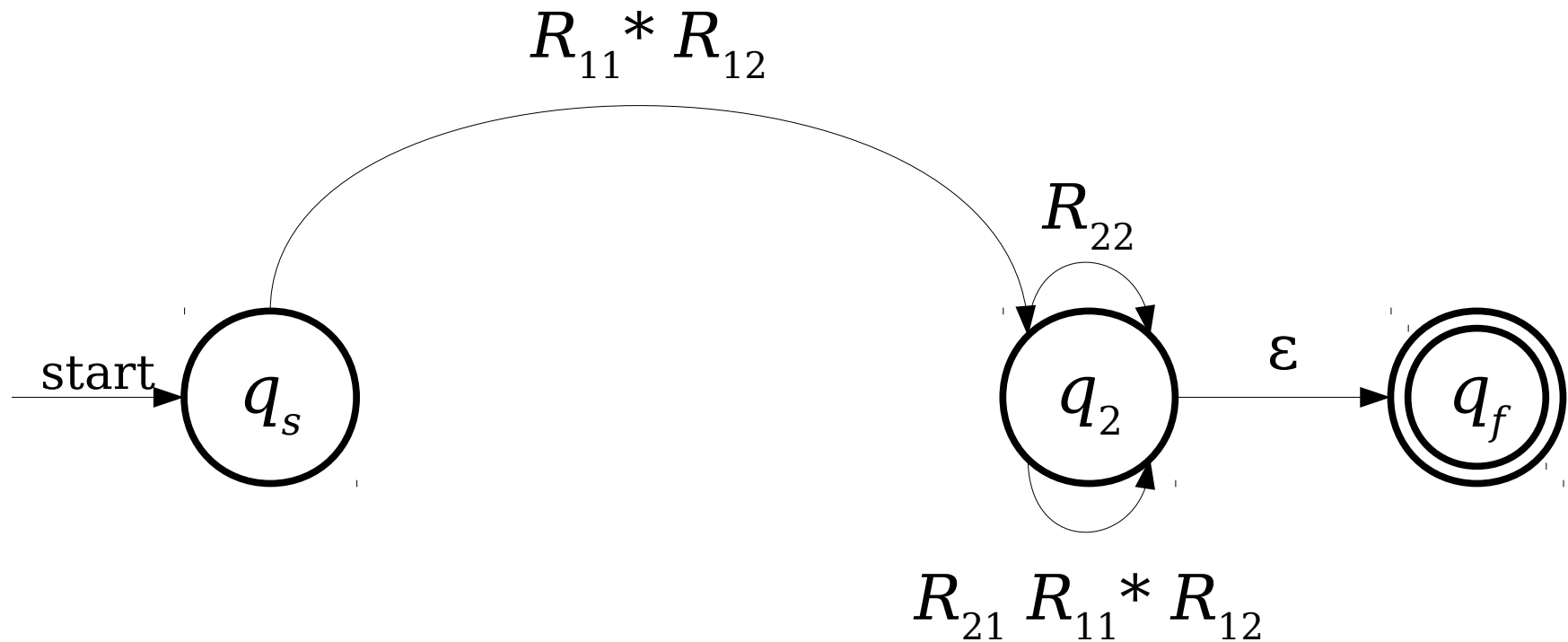
From NFAs to Regular Expressions



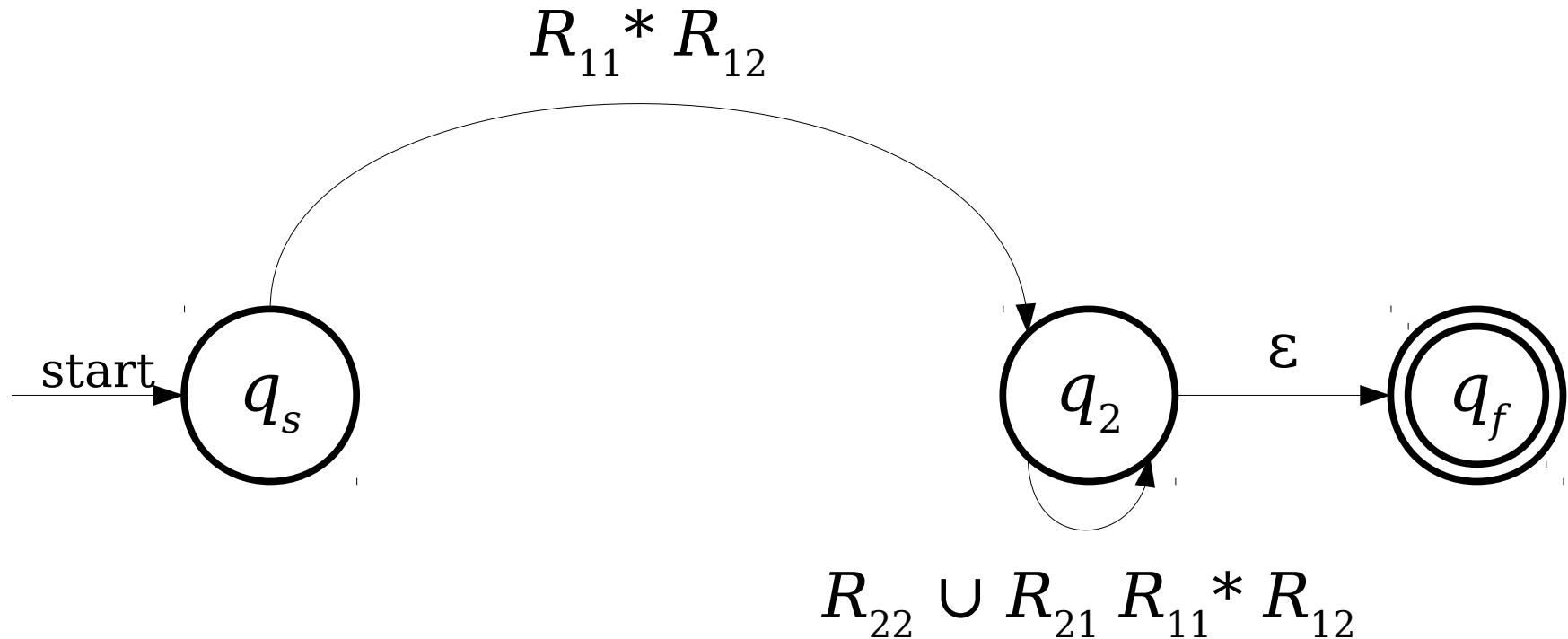
From NFAs to Regular Expressions



From NFAs to Regular Expressions

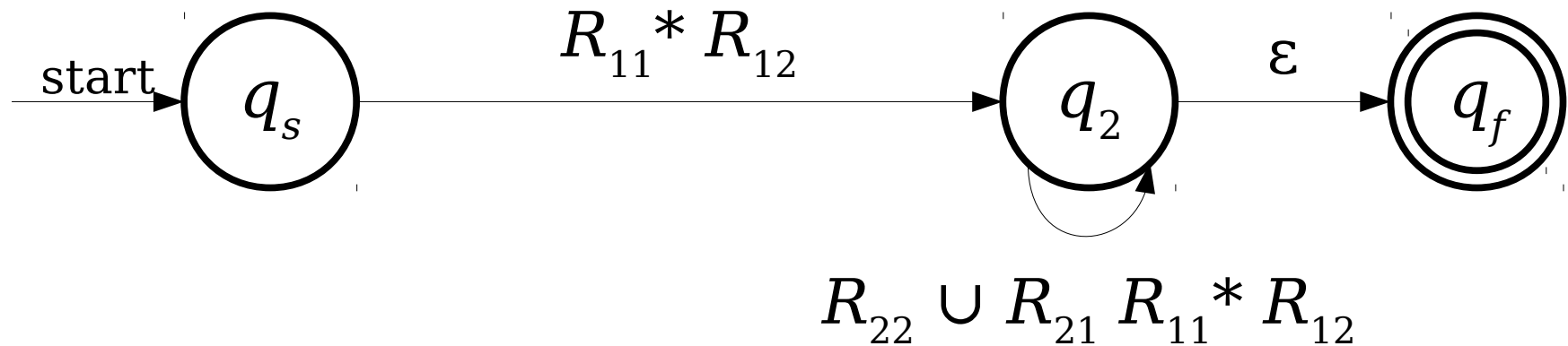


From NFAs to Regular Expressions

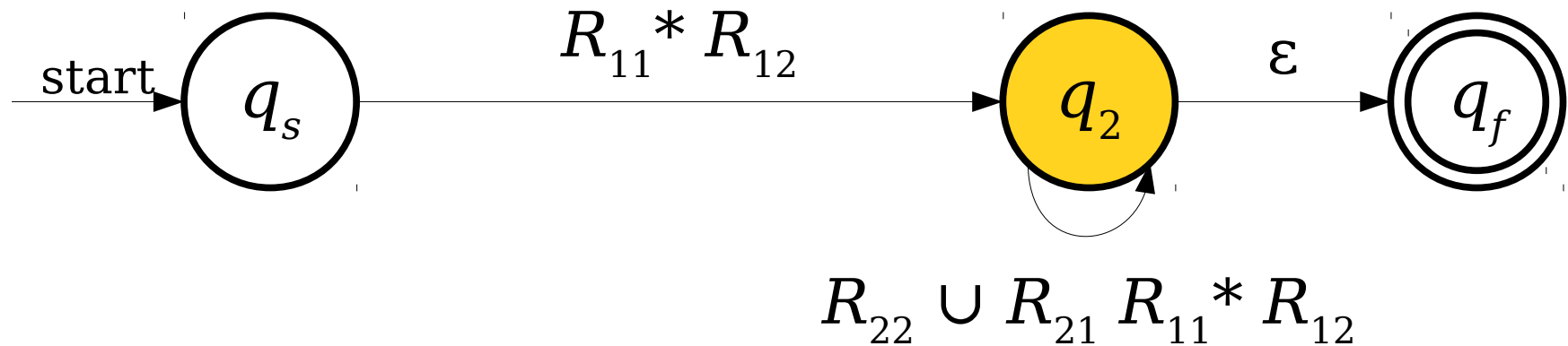


Note: We're using **union** to combine these transitions together.

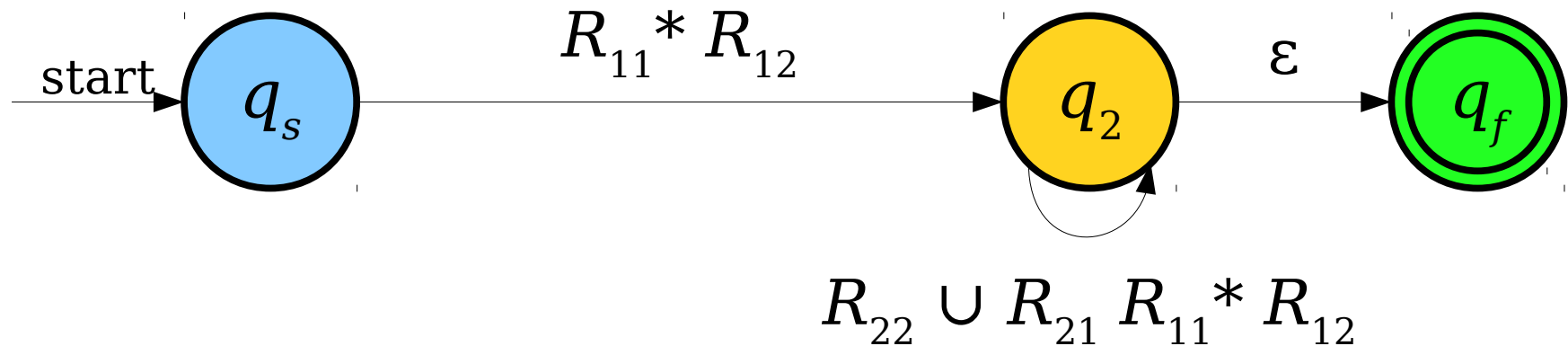
From NFAs to Regular Expressions



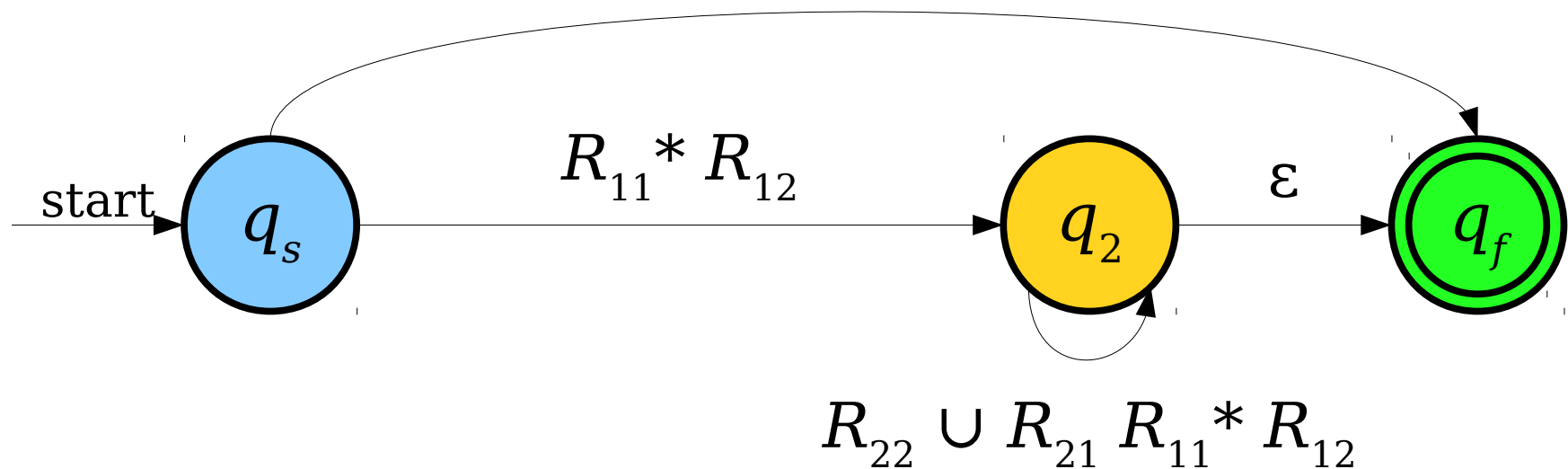
From NFAs to Regular Expressions



From NFAs to Regular Expressions

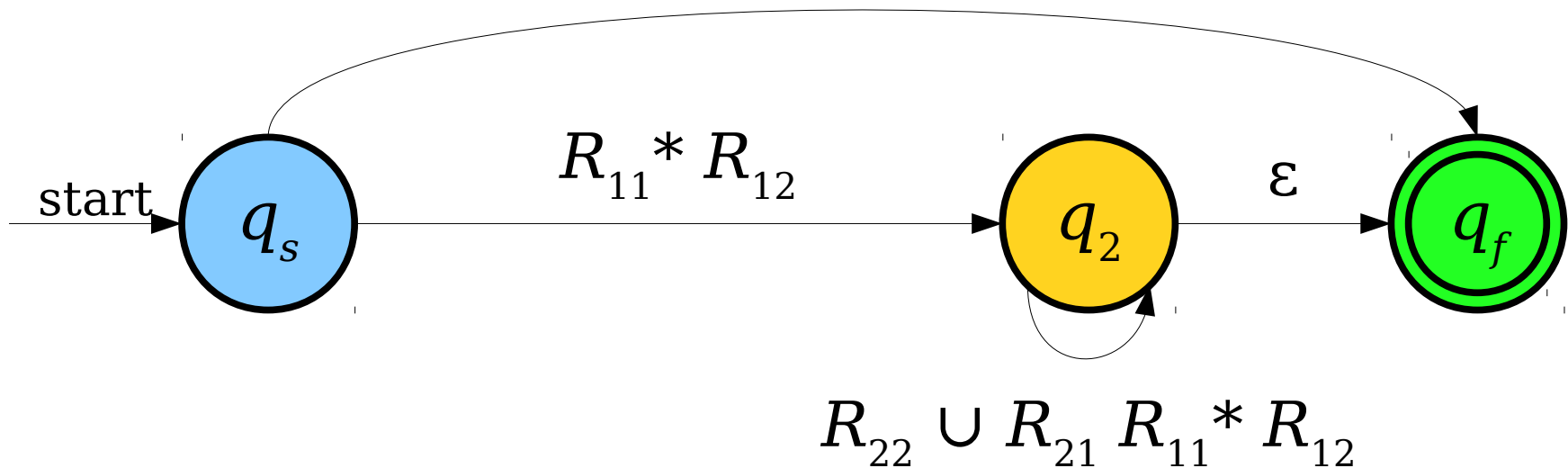


From NFAs to Regular Expressions

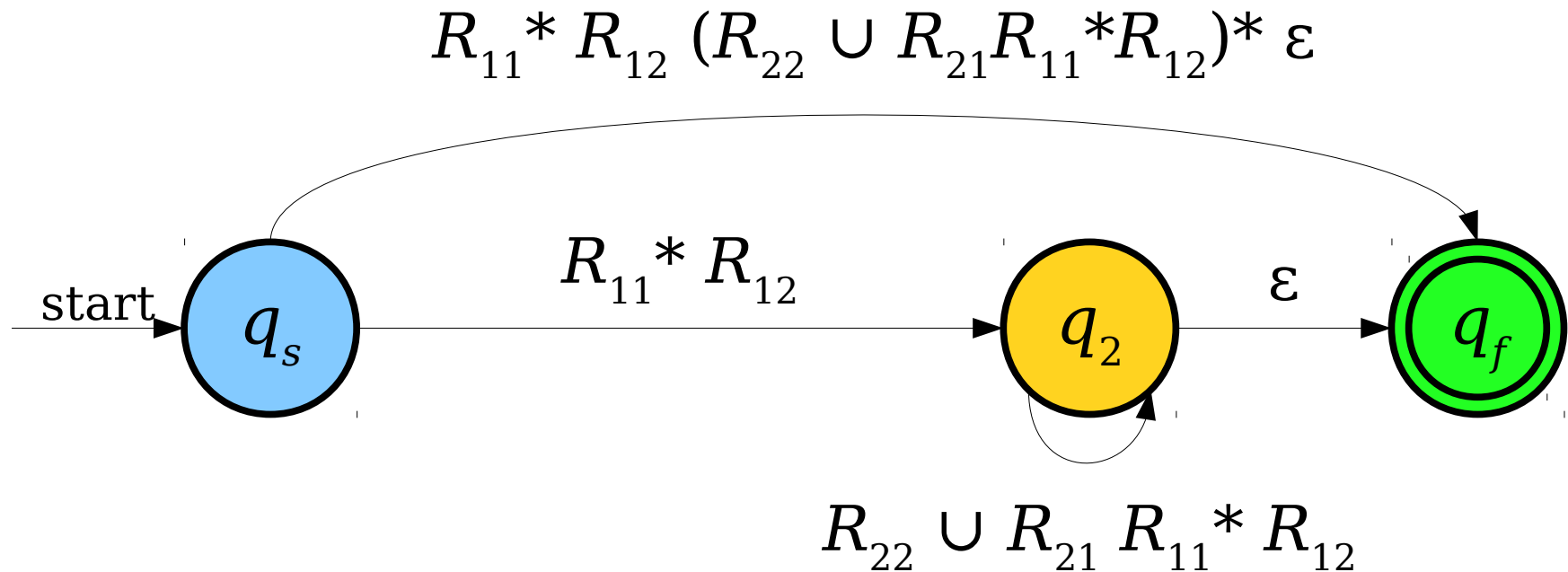


From NFAs to Regular Expressions

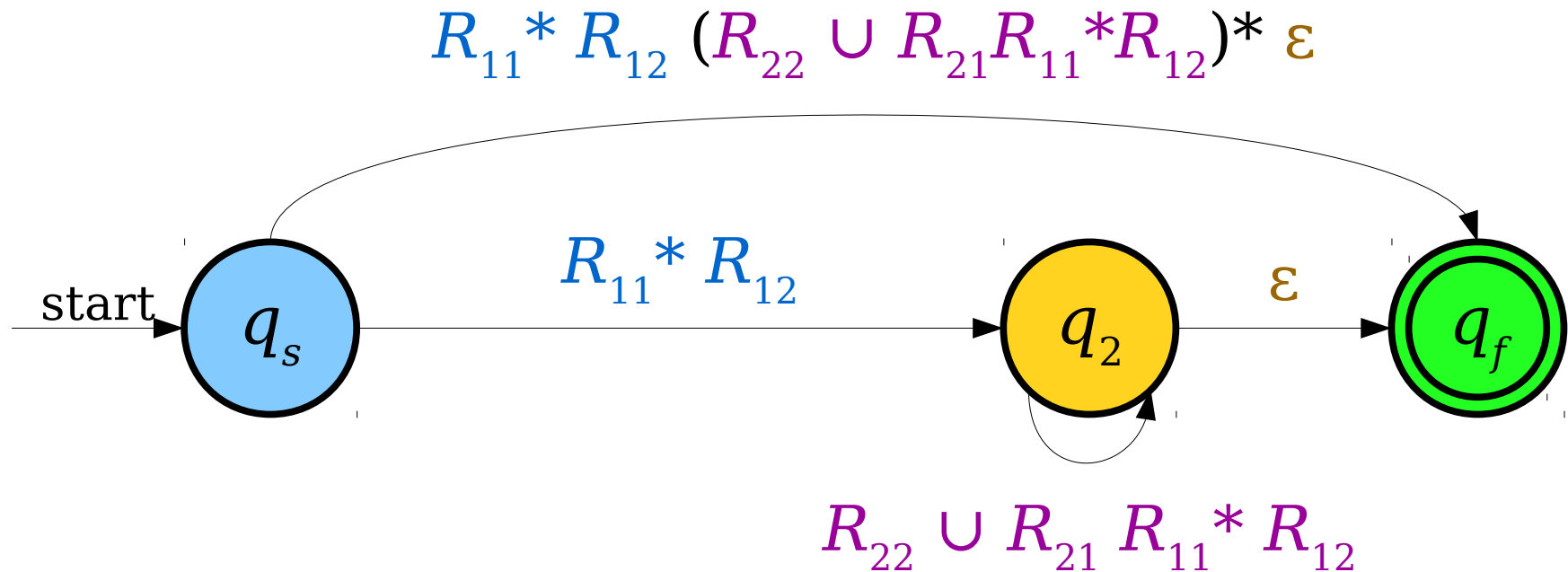
What should we put
on this transition?



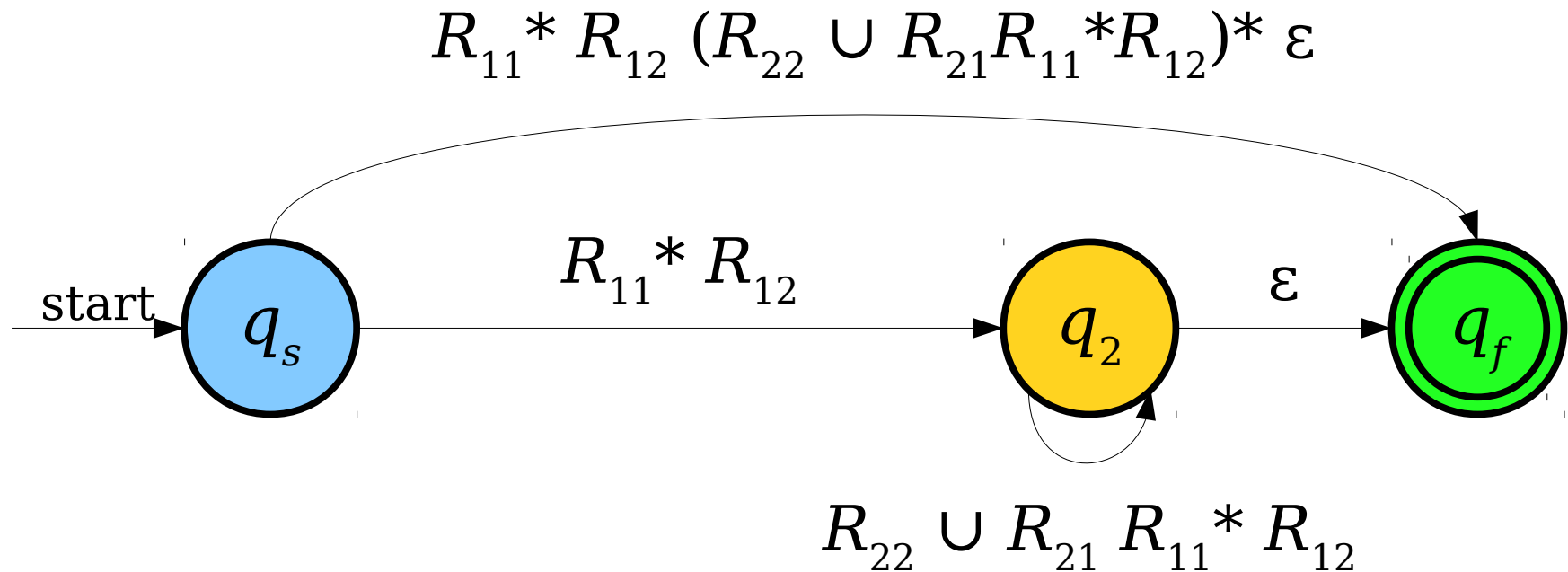
From NFAs to Regular Expressions



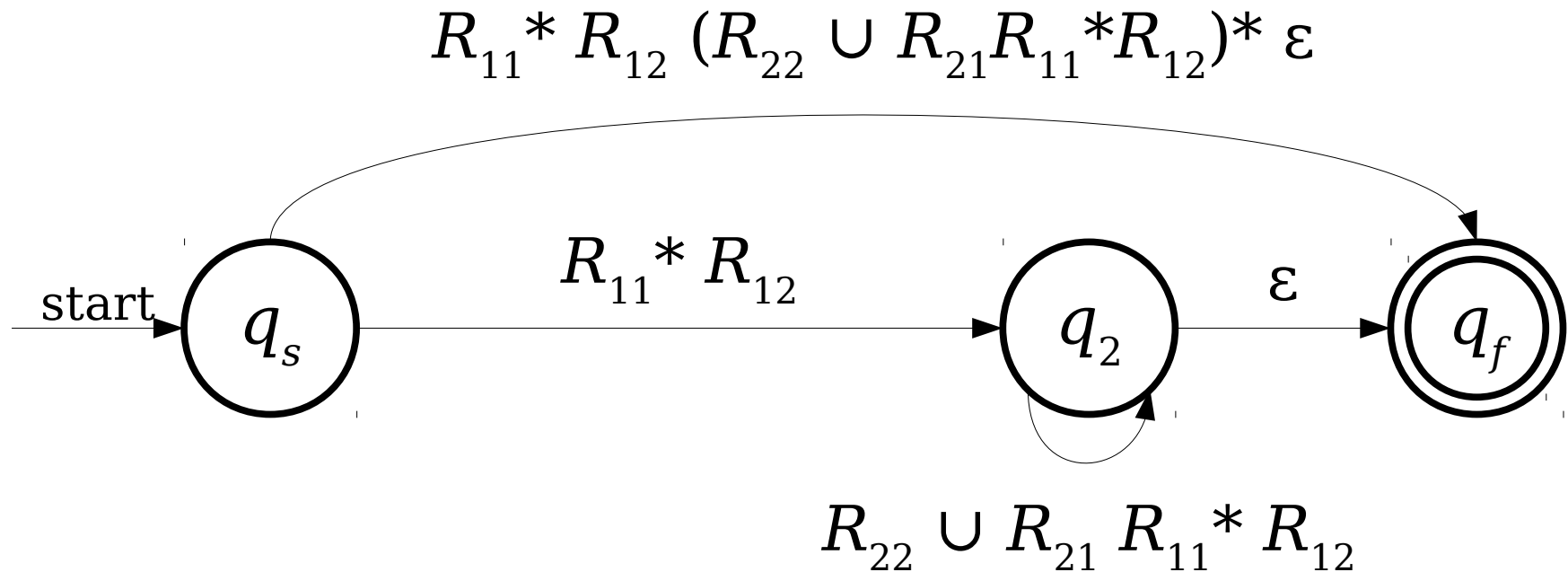
From NFAs to Regular Expressions



From NFAs to Regular Expressions

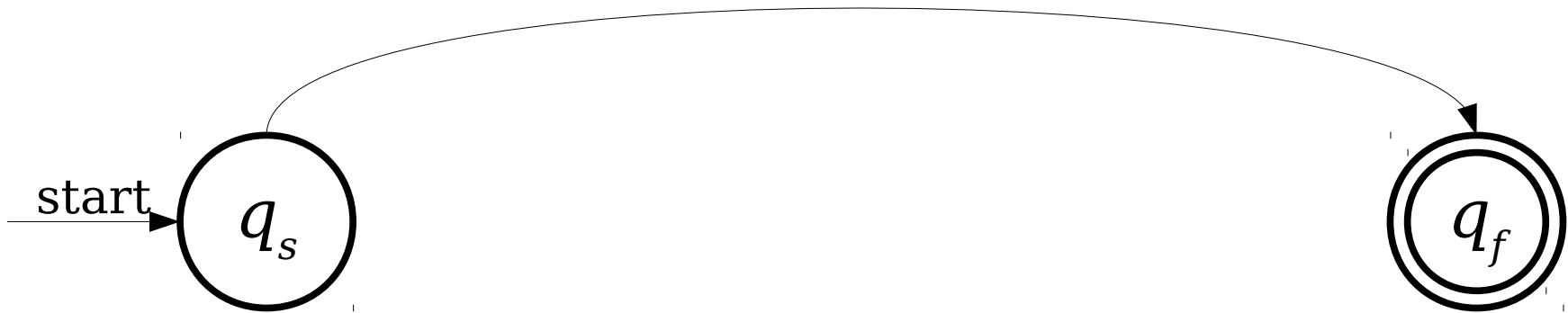


From NFAs to Regular Expressions

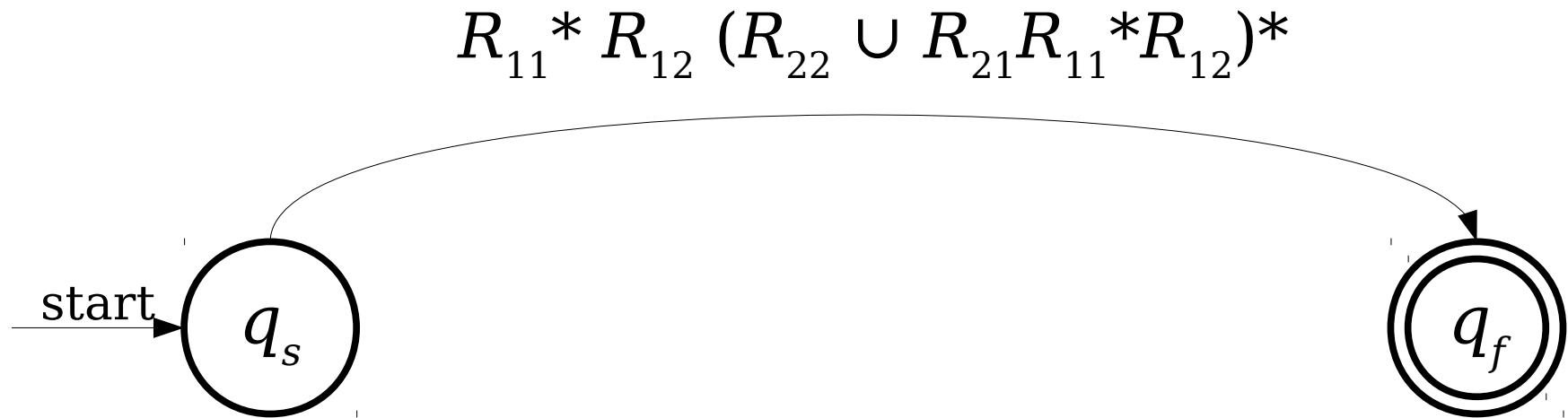


From NFAs to Regular Expressions

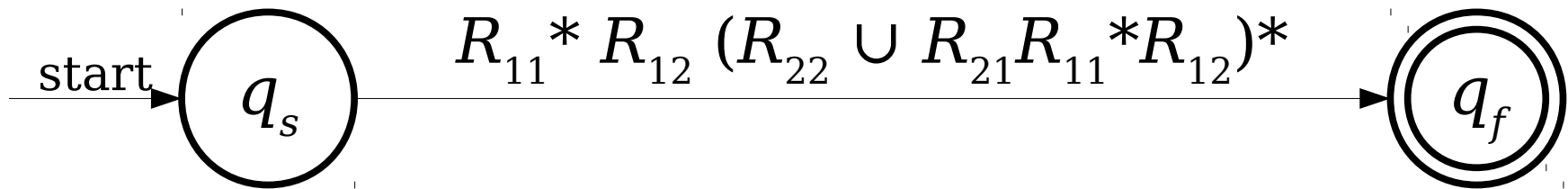
$$R_{11}^* R_{12} (R_{22} \cup R_{21} R_{11}^* R_{12})^* \varepsilon$$



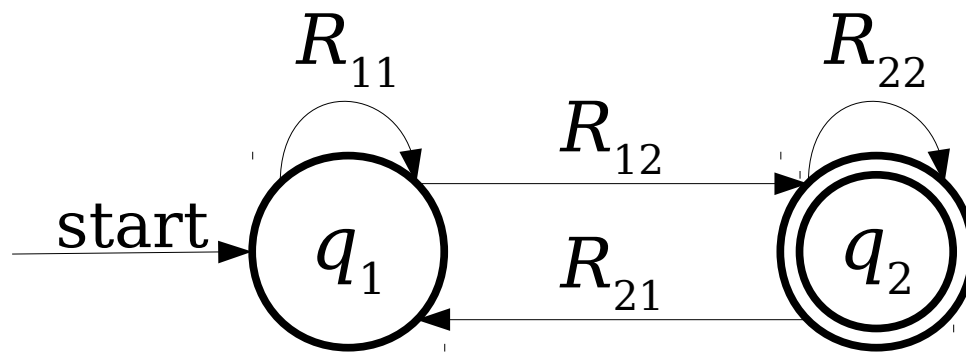
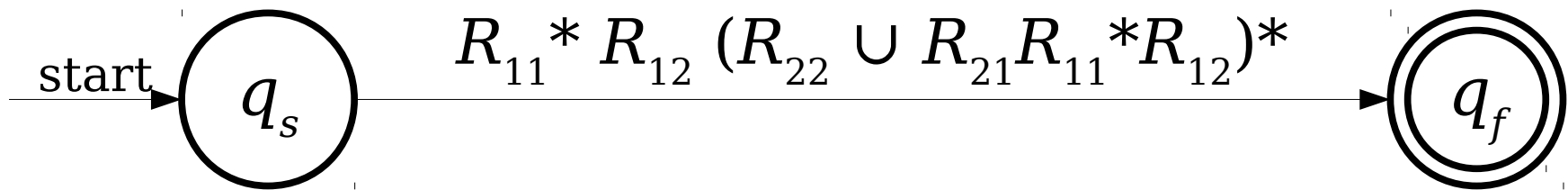
From NFAs to Regular Expressions



From NFAs to Regular Expressions



From NFAs to Regular Expressions



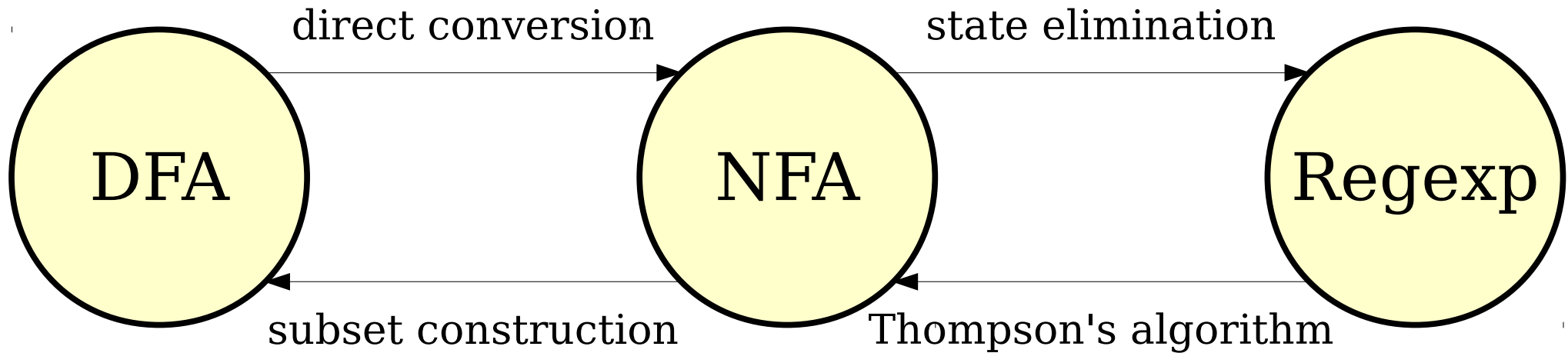
The State-Elimination Algorithm

- Start with an NFA N for the language L .
- Add a new start state q_s and accept state q_f to the NFA.
 - Add an ε -transition from q_s to the old start state of N .
 - Add ε -transitions from each accepting state of N to q_f , then mark them as not accepting.
- Repeatedly remove states other than q_s and q_f from the NFA by “shortcutting” them until only two states remain: q_s and q_f .
- The transition from q_s to q_f is then a regular expression for the NFA.

The State-Elimination Algorithm

- To eliminate a state q from the automaton, do the following for each pair of states q_0 and q_1 , where there's a transition from q_0 into q and a transition from q into q_1 :
 - Let R_{in} be the regex on the transition from q_0 to q .
 - Let R_{out} be the regex on the transition from q to q_1 .
 - If there is a regular expression R_{stay} on a transition from q to itself, add a new transition from q_0 to q_1 labeled $((R_{in})(R_{stay})^*(R_{out}))$.
 - If there isn't, add a new transition from q_0 to q_1 labeled $((R_{in})(R_{out}))$
- If a pair of states has multiple transitions between them labeled R_1, R_2, \dots, R_k , replace them with a single transition labeled $R_1 \cup R_2 \cup \dots \cup R_k$.

Our Transformations



Theorem: The following are all equivalent:

- L is a regular language.
- There is a DFA D such that $\mathcal{L}(D) = L$.
- There is an NFA N such that $\mathcal{L}(N) = L$.
- There is a regular expression R such that $\mathcal{L}(R) = L$.

Why This Matters

- The equivalence of regular expressions and finite automata has practical relevance.
 - Regular expression matchers have all the power available to them of DFAs and NFAs.
- This also is hugely theoretically significant: the regular languages can be assembled “from scratch” using a small number of operations!

Next Time

- ***Applications of Regular Languages***
 - Answering “so what?”
- ***Intuiting Regular Languages***
 - What makes a language regular?
- ***The Myhill-Nerode Theorem***
 - The limits of regular languages.