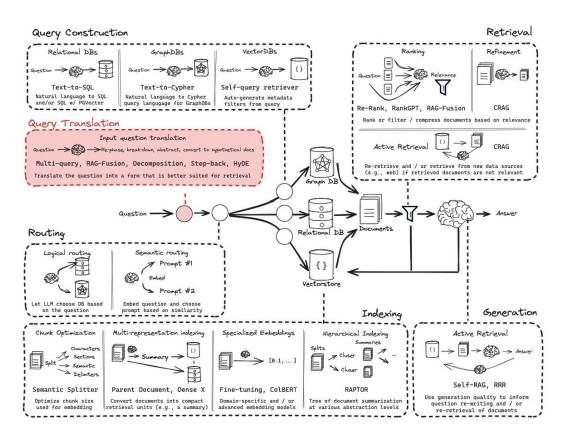


# RAG from scratch: Query Translation (HyDE)

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## **Query Translation**





## General approaches to transform questions

#### 3.1 Preliminaries

Dense retrieval models similarity between query and document with inner product similarity. Given a query q and document d, it uses two encoder function  $\operatorname{enc}_q$  and  $\operatorname{enc}_d$  to map them into d dimension vectors  $\mathbf{v_q}, \mathbf{v_d}$ , whose inner product is used as similarity measurement.

$$sim(q, d) = \langle enc_q(q), enc_d(d) \rangle = \langle \mathbf{v_q}, \mathbf{v_d} \rangle$$
 (1)

For zero-shot retrieval, we consider L query sets  $Q_1, Q_2, ..., Q_L$  and their corresponding search corpus, document sets  $D_1, D_2, ..., D_L$ . Denote the j-th query from i-th set query set  $Q_i$  as  $\mathbf{q}_{ij}$ . We need to fully define mapping functions  $\mathbf{enc}_q$  and  $\mathbf{enc}_d$  without access to any query set  $Q_i$ , document set  $D_i$ , or any relevance judgment  $r_{ij}$ .

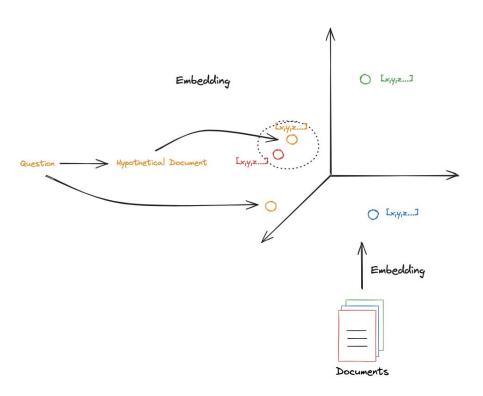
The difficulty of zero-shot dense retrieval lies precisely in Equation 1: it requires learning of two embedding functions (for query and document respectively) into the *same* embedding space where inner product captures *relevance*. Without relevance judgments/scores to fit, learning becomes intractable.

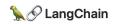
### 3.2 HyDE

HyDE circumvents the aforementioned learning problem by performing search in document-only embedding space that captures document-document similarity. This can be easily learned using unsupervised contrastive learning (Izacard et al., 2021; Gao et al., 2021; Gao and Callan, 2022). We set document encoder  $\operatorname{enc}_d$  directly as a contrastive encoder  $\operatorname{enc}_{\operatorname{con}}$ .



## Intuition





Code walk-through