U.S. Department of Labor Office of Labor-Management Standards Washington, DC 20210

FORM LM-21 RECEIPTS AND DISBURSEMENTS REPORT

Form approved Office of Management and Budget No. 1245-0003 Expires: 07-31-2019

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This report is mandatory under P.L. 88-257, as amended. Fallure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440. equired of personal personal

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT Month/Day/Year (mm/dd/yyyy) Month/Day/Year 2. Period Covered 1 . File Number C- 483 (mm/dd/yyyy) By This Report From: 01 / 2017 31 / 2017 Through: A. Person Filing 3. Name and mailing address (include ZIP Code): 4. Any other address where records necessary to verify this report are kept: Name Name Title Title Organization Cruz and Associates, Organization P.O. Box, Building and Room Number, if any P.O. Box, Building and Room Number, if any PO Box 1831 Street Street City Upland City State California ZIP Code + 4 91785 State ZIP Code + 4 **Signatures** Each of the undersigned declares, under penalty of perjury and other applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See the Section on penalties in the instructions). 17. Signed 18. Signed President Treasurer (if other title, see (If other title, see Other (Specify) instructions) instructions) CEO 9099808736 03 26 2018 Date Telephone Number Date Telephone Number Sign/Print Submit to OLMS

Code Tester

Spawn List

Reset

Name of Person Filin	g:					File Number C- 483		
B. Statement of He		or services.	n employers in	connection w	ith labor relation	s advice or services regardless of the purpor	ses (of the advice
5.a. Name and Address of Employer (including trade name, if any). Mailing Address: P.O. Box, Building and Room Number, if any								
Employer See	A	ttached	···································					
Trade Name Street								
Attention To					City			
Title	_				State	ZIP Code	+ 4	
		· .						
5.b. Termination Da	ite				5.c. Amount			
6. TOTAL RECEIPT	\$ F	ROM ALL EMPLOYERS			4,647,008			
			Ac	ditional En	nployer Addre	esses		
C. Statement of Dis	bu		sbursements r	nade by the re	porting organiza	ation in connection with labor relations advice	or s	services rendered
7. Disbursements to C	ffica	•	yers listed lift r	ait b.				
(a) Name	—i i		(b) Salary	(c) Expenses (c				
See Attached			999,999	901,350		Office and Administrative Expenses		155,000
			980,000	0	980,000	10. Publicity	_	35,000
	뷥					Fees for Professional Services Loans Made	<u> </u>	43,000
						13. Other Disbursements	-	
8. Total disbursemen				3,114,349				
			Ad	ditional Offi	cers & Emplo			
D. Sabadula of Dial		namento for Banadable		**.				
D. Schedule of Disi	our	sements for Reportable		Jse this Scheonstructions.	dule to report on	ly disbursements made for the purposes des	cribe	ed in Part D of the
15.a. Employer Nam	10:				15.b. Trade	Name, If any:		
See Attac	he	d						
15.c. To Whom Paid 15.d. Amount 3,733,641								
Name	Name 15.e. Purpose							
Title								
Organization								
P.O. Box, Building and Room Number, if any								
Street								
City					_ []			
State Other			P Code + 4				_	
16. TOTAL DISBURSEMENTS FOR ALL REPORTABLE ACTIVITY 3,733,641								

Non-Labor Relations Advice and Services Receipts Reported in B.6 – Total Receipts From All Employers

Receipts totaling \$872,223 were collected from the following employers in fiscal year 2017 for services that were not for "labor relations advice and services" but were for other services all of which were specifically excluded including: (1) advising the employer; (2) representing the employer before any court, administrative agency, or tribunal of arbitration, and (3) engaging in collective bargaining on the employer's behalf with respect to wages, hours, or other terms or conditions of employment or the negotiations of any agreement or any questions arising under the agreement.

Biltmore Arizona

Phoenix, AZ

Sempra Energy San Diego, CA

Casa Colina Hospital & Centers

Pomona, CA

Shutters Hotel—Casa Del Mar, CA

Chapman University

Orange, CA

Kimpton Sir Francis Drake

San Francisco, CA

Embassy Suite Puerto Rico

Dorado, Puerto Rico

Royal Sonesta Hotel

Cambridge, MA

Emser Tile LLC & Natural Stone

Ontario, CA & Houston, TX

Summers & Sons Electric

Milpitas, CA

Lineage Logistics

Fontana, CA

The London Hotel Los Angeles, CA

Luxury Resorts - Boca Raton Resort

Boca Raton, FL

University of Norte Dame

Norte Dame, IN

Mistras Group, Inc.

Bellingham, WA

Westin Hotel Princeville, HI

Reach Air Medical Services

Santa Rosa, CA

Senior Lifestyle Billingham

West Chester, PA

Cruz and Associates, Inc.

LM-21 (1/1/2017 to 12/31/2017) D. Schedule of Disbursements for Reportable Activity NLRB OLMS File Number C-483

Disbursement 0 DISBURSEMENT	Amount	Purpose PURPOSE	AMOUNT
AFRS Consulting PO Box 90141 San Bernardino, CA 92407	of their Sec	eyee meetings to inform employees tion (7) rights and to answer using NLRB and Union documents:	\$ 53,477.29
Burgueno, Arlene	Hold emplo	yee meetings to inform employees	\$ 29,426.19
644 Sandyhook Avenue La Puenta, CA 91744-	of their Sec	tion (7) rights and to answer sing NLRB and Union documents——	
Employer Consulting Services 1235 Riverview Drive Fallbrook, CA 92028	of their Sec	yee meetings to inform employees tion (7) rights and to answer using NLRB and Union documents	\$ 43,697.00
EPC Consulting 3364 Bonita Woods Drive	of their Sec	yee meetings to inform employees tion (7) rights and to answer	\$ 364,332.06
Bonita, CA 91902		sing NLRB and Union documents	
Fitzhenry, Walter J 1563 Shore Club Drive St. Clair Shores, MI 48080	of their Sec	yee meetings to inform employees tion (7) rights and to answer sing NLRB and Union documents	\$ 21,088.42
Gabrielle Mattes and Associates 16020 Elbert Circle Fountain Valley, CA 92708	of their Sec	yee meetings to inform employees tion (7) rights and to answer sing NLRB and Union documents	\$ 32,815.00
KNA Industrial Relations PO Box 14804 Long Beach, CA 90853	of their Sec	yee meetings to inform employees tion (7) rights and to answer sing NLRB and Union documents	\$ 64,857.31
Labor Management Associates 6506 Mount Batten Ct. Prospect, KY 40059	of their Sec	yee meetings to inform employees tion (7) rights and to answer sing NLRB and Union documents	\$ 207,059.63

Labor Relations Consulting Services 155 Bay Laurel Drive Mooresville, NC 28115	Hold employee meetings to inform employees of their Section (7) rights and to answer questions using NLRB and Union documents	\$ 168,452.89
Lighto Labor Inc. 10515 Mildred Street El Monte, CA 91733	Hold employee meetings to inform employees of their Section (7) rights and to answer questions using NLRB and Union documents	\$ 62,007.78
LKLS Consulting 153 Avenida Altamira Chula Vista, CA 91914	Hold employee meetings to inform employees of their Section (7) rights and to answer questions using NLRB and Union documents	\$ 541,514.56
Padilla, Eduardo Raul 3620 Lomacitas Lane Bonita, CA 91902	Hold employee meetings to inform employees of their Section (7) rights and to answer questions using NLRB and Union documents	\$ 116,556.45
Pierre; Wildine 6400 Lost Tree Court Orlando, FL 32818	Hold employee meetings to inform employees of their Section (7) rights and to answer questions using NLRB and Union documents	\$ 42,529.19
Reconnect Labor Relations 29450 Highland Blvd. Moreno Valley, CA 92555	Hold employee meetings to inform employees of their Section (7) rights and to answer questions using NLRB and Union documents	\$ 12,101.85
Starling, Joseph Marshall 10417 N MacArthur Blvd #239 Irving, TX 75063	Hold employee meetings to inform employees of their Section (7) rights and to answer questions using NLRB and Union documents	\$ 74,688.53
Trident Labor Consultants 5655 Vineland Street North Hollywood, CA 91601	Hold employee meetings to inform employees of their Section (7) rights and to answer questions using NLRB and Union documents	\$ 15,914.50
Waters, Richard PO Box 152 Mountain Center, CA 92561	Hold employee meetings to inform employees of their Section (7) rights and to answer questions using NLRB and Union documents at the following client locations:	\$ 50,819.89

Cruz and Associates, Inc. LM-21 (1/1/2017 to 12/31/2017) Item B.5. Statement of Receipts NLRB OLMS File Number C-483

	CLIENT	TERMINATION DATE	AMOUNT
	American Building Supplies 8363 Elder Creek Rd. Sacramento CA 95828 Attn: Jerry Wilkendorf	On-Going	\$ 34,224.38
-	Benicia Senior Living Centers 2330 Debok Road West Linn, OR 97068 Attn: Terri Waldorf	On-Going	\$ 5,956.86
٠.	Boulder Station Casino 4111 Boulder Hwy. Las Vegas, NV 89121 Attn: Matthew Heidhold	On-Going	\$ 531,845.61
	Desert Willow Golf Resort 38-995 Desert Willow Drive Palm Desert, CA 92260 Attn: Derrick White	On-Going	\$ 68,349.05
	Dycora Transitional Health 111 Barstow Ave. Clovis, CA 93612 Attn: Julianne Williams	On-Going	\$ 28,971.27
	Emerald Textiles 1725 Domock Court San Diego, CA 92154 Attn: Brook Logan	On-Going	\$ 372,319.32
1	Homewood Suites Hotel 40 East Grand Avenue Chicago, IL 60611 Attn: Jim Craver	On-Going	\$ 41,218.22
	Jeld-Wen Windows and Doors 31725 US-97 Chiloquin, OR 97624 Attn: Amy Kazmierczak	On-Going	\$ 179,965.83

Kimpton Hotel Monaco 433 Chestnut Street Philadelphia, PA 19106 Attn: James Adamson	On-Going	\$ 117,062.42
Magnolia Health Corporation Kaweah Manor 3710 W Tulare Avenue Visalia, CA 93277 Attn: Kenny Moyles	On-Going	\$ 181,635.61
Magnolia Health Corporation Merritt Manor 604 East Merritt Ave. Tulare, CA 93274 Attn: Kenny Moyles	On-Going	\$ 20,178.71
Magnolia Health Corporation Porterville Convalescent 1100 West Morton Ave. Porterville, CA 93257 Attn: Kenny Moyles	On-Going	\$ 25,428.94
Magnolia Health Corporation Twin Oaks 897 North M Street Tulare, CA 93274 Attn: Kenny Moyles	On-Going	\$ 28,026.09
Nate & Als 414 North Beverly Drive Beverly Hills, CA 90210 Attn: Mark Mendelson	On-Going	\$ 15,351.84
NorCal Beverage	On-Going	\$ 304,252.12
1226 N Olive St. Anaheim, CA 92801		
Attn: Oscar Garcia		
Norwalk Meadows Nursing Center 10625 Leffingwell Road Norwalk, CA 90650 Attn: Ryan Norstrom	On-Going	\$ 102,785.89
Omni Hotel 674 L Street San Diego, CA 92101 Attn: Colleen Anderson	On-Going	\$ 21,076.68
Production Resources Group 1245 Aviation Place San Fernando, CA 91340 Attn: Nicole Scano	On-Going	\$ 151,175.32

Schwager Davis 198 Hillsdale Avenue San Jose, CA 95136 Attn: Michael Williams	On-Going	\$ 33,591.22
SDG&E - San Diego Gas & Electric 8306 Century Park Ct. San Diego, CA 92123 Attn: Randy Clark	On-Going	\$ 165,618.75
Simmons Bedding Company 1 Simmons Drive Hazelton, PA 19202 Attn: Chuck Cresap	On-Going	\$ 420,644.91
Sofitel Hotel 8555 Beverly Blvd. Los Angeles, CA 90048 Attn: Eric Lemaire	On-Going	\$ 88,761.43
Stuyvesant Town 276 1 st Avenue Loop New York, NY 10009 Attn: Rick Hayduk	On-Going	\$ 101,828.35
Trade Supplies, Inc. 5626 Firestone Blvd. South Gate, CA 92555 Attn: Thomas Shapiro	On-Going	\$ 90,372.78
Via Christi Health, Inc. 848 N St. Francis, Suite 1963 Wichita, KS 67214 Attn: Michael Mullins	On-Going	\$ 69,225.95
WFF Facility Services 211 S Jefferson Avenue St. Louis, MO 63103 Attn: Ricardo Moreno	On-Going	\$ 45,175.93
XPO Logistics 1511 Cornerway Blvd. San Antonio, TX 78219 Attn: Art Avita	On-Going	\$ 68,824.17
XPO Logistics 26 Business Route 30 – Hill Avenue Aurora, IL 60503 Attn: Rocco Malastina	On-Going	\$ 235,913.24

\$ 193,860.84

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\$ 190,800.84