

# FORM LM-20 AGREEMENT AND ACTIVITIES REPORT

Form approved  
Office of Management  
and Budget  
No. 1215-0188  
Expires 11-30-2006

For Official Use Only



This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440. Required of persons, including Labor Relations Consultants and Other Individuals and Organizations, Under Section 203(b) of the Labor-Management Reporting and Disclosure Act of 1959, as amended. (LMRDA)

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

338724

1. File Number:

C-633

## Person Filing

2. Name and mailing address (include ZIP Code):

Name Michael D Penn

Title Partner

Organization The Crossroads Group

P.O. Box, Bldg., Room No., if any

Street 63 Via Pico Plaza, Suite 505

City San Clemente

State California

ZIP Code + 4 92672

3. Any other address where records necessary to verify this report are kept:

Name

Title

Organization

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

4. Date fiscal year ends:

Dec / 31

5. Type of person:

a. ☐ Individual b. ☒ Partnership c. ☐ Corporation d. ☐ Other (Specify):

## Nature of Agreement or Arrangement

6. Full name and address of employer with whom made (include ZIP Code):

Name Robert Nelson

Organization Transco Railway Products, Inc.

Trade Name, if any

P.O. Box, Bldg., Room No., if any

Street 55 E. Jackson Boulevard, Suite 2100

City Chicago

State Illinois

ZIP Code + 4 60604-4166

7. Date entered into:

5 / 30 / 2007

8. Name of person(s) through whom made:

Name Robert Nelson

Name

Name

Name

Name

## Signatures

Each of the undersigned declares, under penalty of perjury and other applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See Section VII on penalties in the instructions.)

13. Signed Michael Dana Penn

President  
(If other title, see  
instructions)

Title Other (Specify)

Partner

On 10/14/2007

Date

818-999-5632

Telephone Number

14. Signed Steven Cropper

Treasurer  
(If other title, see  
instructions)

Title Other (Specify)

Partner

On 10/14/2007

Date

949-248-0884

Telephone Number

9. Check the appropriate box to indicate whether an object of the activities undertaken, is directly or indirectly:

- a. ☒ To persuade employees to exercise or not to exercise, or persuade employees as to the manner of exercising, the right to organize and bargain collectively through representatives of their own choosing.
- b. ☐ To supply an employer with information concerning the activities of employees or a labor organization in connection with a labor dispute involving such employer, except information for use solely in conjunction with an administrative or arbitral proceeding or a criminal or civil judicial proceeding.

10. Terms and conditions (Explain in detail; see instructions. Written agreements must be attached.):

Payment on a fee-for-service basis at the hourly rate of \$325.00 plus reasonable and customary expenses

#### Specific Activities to be Performed

11. For each activity, separately list in detail the information required (See instructions):

a. Nature of activity:

To persuade employees to reject union representation

11.b. Period during which performed:

05/30/2007 - 06/30/2007

11.c. Extent performed:

Completed

11.d. Name and address through whom performed:

Name Robert Montgomery  
Organization Transco Railway Products, Inc.

P.O. Box, Bldg., Room No., if any

Street 901 N. Lake Avenue

City Miles City

State Montana ZIP Code + 4 59301

Additional Name and address through whom performed, if any:

Name Robert Nelson  
Organization Transco Railway Products, Inc.

P.O. Box, Bldg., Room No., if any

Street 55 E. Jackson Boulevard, Suite 2100

City Chicago

State Illinois ZIP Code + 4 60604-4166

12.a. Identify subject groups of employees:

All hourly, non-supervisory employees at the Miles City, Montana plant (Case 27-RC-8487)

12.b. Identify subject labor organizations:

Sheet Metal Workers International Association