

# FORM LM-20

## AGREEMENT AND ACTIVITIES REPORT

Form approved  
Office of Management  
and Budget  
No. 1215-0188  
Expires 09-30-2011

For Official Use Only

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This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440. Required of persons, including Labor Relations Consultants and Other Individuals and Organizations, Under Section 203(b) of the Labor-Management Reporting and Disclosure Act of 1959, as amended. (LMRDA)

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

532091

1. File Number. C-00680

### Person Filing

#### 2. Name and mailing address (include ZIP Code):

Name Ronald L. Mason  
Title President  
Organization Midwest Management Consultants, Inc.  
P.O. Box, Bldg., Room No., if any  
Street 425 Metro Place N., Suite 620  
City Dublin  
State Ohio ZIP Code + 4 43017

#### 3. Any other address where records necessary to verify this report are kept:

Name Ronald L. Mason  
Title President  
Organization Midwest Management Consultants, Inc.  
P.O. Box, Bldg., Room No., if any  
Street 425 Metro Place N., Suite 620  
City Dublin  
State Ohio ZIP Code + 4 43017

#### 4. Date fiscal year ends:

12 / 31

#### 5. Type of person:

a. ☐ Individual b. ☐ Partnership c. ☒ Corporation d. ☐ Other (Specify):

### Nature of Agreement or Arrangement

#### 6. Full name and address of employer with whom made (include ZIP Code):

Name Ms. Sherri R. Simpson, CEO  
Organization Blue Diamond Industries, LLC  
Trade Name, if any  
P.O. Box, Bldg., Room No., if any Suite 110  
Street 3399 Tates Creek  
City Lexington  
State KY ZIP Code + 4 40502

#### 7. Date entered into:

07 / 01 / 13

#### 8. Name of person(s) through whom made:

Name Ms. Sherri R. Simpson, CEO  
Name  
Name  
Name  
Name

### Signatures

Each of the undersigned declares, under penalty of perjury and other applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See Section VII on penalties in the instructions.)

13. Signed Ronald L. Mason President  
(If other title, see instructions)  
Title President

14. Signed Ronald L. Mason Treasurer  
(If other title, see instructions)  
Title Treasurer

On 7-10-13 614-734-9455  
Date Telephone Number

On 7-10-13 614-734-9455  
Date Telephone Number

9. Check the appropriate box to indicate whether an object of the activities undertaken, is directly or indirectly:

- a. ☒ To persuade employees to exercise or not to exercise, or persuade employees as to the manner of exercising, the right to organize and bargain collectively through representatives of their own choosing.
- b. ☐ To supply an employer with information concerning the activities of employees or a labor organization in connection with a labor dispute involving such employer, except information for use solely in conjunction with an administrative or arbitral proceeding or a criminal or civil judicial proceeding.

10. Terms and conditions (Explain in detail; see instructions. Written agreements must be attached.):

Verbal agreement to represent Blue Diamond Industries at their manufacturing facility in Middlesboro (KY) in campaign to remain union-free. Agreement has never been reduced to writing, is for no specific time, and may be terminated by either party at any time.

All consultations billed at \$175.00 per hour, including travel time and expenses.

**Specific Activities to be Performed**

11. For each activity, separately list in detail the information required (See instructions):

**a. Nature of activity:**

Giving speeches, preparing written materials for distribution, and conducting meetings with employees and management for purposes of addressing questions and rights afforded under the NLRA.

11.b. Period during which performed:

07/01/13 to present

11.c. Extent performed:

continuing

11.d. Name and address through whom performed:

Name Ms. Sherri R. Simpson, CEO

Organization Blue Diamond Industries, LLC

P.O. Box, Bldg., Room No., if any Suite 110

Street 3399 Tates Creek

City Lexington

State KY ZIP Code + 4 40502

Additional Name and address through whom performed, if any:

Name

Organization

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

12.a. Identify subject groups of employees:

- a. All production and maintenance employees at facility in Middlesboro

12.b. Identify subject labor organizations:

- b. United Steelworkers in Frankfort (KY)