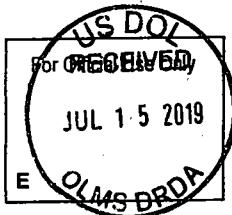


FORM LM-20

AGREEMENT AND ACTIVITIES REPORT

Form approved
Office of Management
and Budget
No. 1245-0003
Expires 09-30-2021



This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440. Required of persons, including labor relations consultants and other individuals and organizations, under Section 203(b) of the Labor-Management Reporting and Disclosure Act of 1959, as amended (LMRDA).

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

1. File Number: c-635

706933

| Person Filing | |
|---|--|
| 2. Name and mailing address (include ZIP Code): Name <u>REGINALD E. ROCKENBERG</u> Title <u>CONSULTANT</u> Organization _____ P.O. Box, Bldg., Room No., if any _____ Street <u>3460 DANIELS RD</u> City <u>NAZARETH</u> State <u>PA</u> ZIP Code + 4 <u>18064</u> | 3. Any other address where records necessary to verify this report are kept: Name _____ Title _____ Organization _____ P.O. Box, Bldg., Room No., if any _____ Street _____ City _____ State _____ ZIP Code + 4 _____ |
| 4. Date fiscal year ends: <u>12/19</u> | 5. Type of person: a. <input checked="" type="checkbox"/> Individual b. <input type="checkbox"/> Partnership c. <input type="checkbox"/> Corporation d. <input type="checkbox"/> Other (Specify): _____ |

| Nature of Agreement or Arrangement | |
|---|---|
| 6. Full name and address of employer with whom made (include ZIP Code): Name <u>LEHIGH UNIVERSITY</u> Organization <u>ZOGGINEN CENTER</u> Trade Name, if any _____ P.O. Box, Bldg., Room No., if any _____ Street <u>420 PACKER AVE</u> City <u>BETHLEHEM</u> State <u>PA</u> ZIP Code + 4 <u>18015-3179</u> | 7. Date entered into: <u> / / </u> 8. Name of person(s) through whom made: Name _____ Name _____ Name _____ Name _____ Name _____ |

Signatures

Each of the undersigned declares, under penalty of perjury and other applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See Section VII on penalties in the instructions.)

13. Signed _____

President
(If other title, see
instructions)

Title President

14. Signed _____

Treasurer
(If other title, see
instructions)

Title Treasurer

On 7/1/19

Date

610 730 5052

Telephone Number

On _____

Date

Telephone Number

Filer:

File Number C-

9. Check the appropriate box to indicate whether an object of the activities undertaken, is directly or indirectly:

- a. ☒ To persuade employees to exercise or not to exercise, or persuade employees as to the manner of exercising, the right to organize and bargain collectively through representatives of their own choosing.
- b. ☐ To supply an employer with information concerning the activities of employees or a labor organization in connection with a labor dispute involving such employer, except information for use solely in conjunction with an administrative or arbitral proceeding or a criminal or civil judicial proceeding.

10. Terms and conditions (Explain in detail; see instructions. Written agreements must be attached.):

CONSULT WITH LEHIGH ON APPROPRIATE
COMMUNICATIONS WITH EMPLOYEES DURING
A LABOR ORGANIZING EFFORT.

Specific Activities to be Performed

11. For each activity, separately list in detail the information required (See instructions):

a. Nature of activity:

COMMUNICATIONS
PERSUASION ACTIVITY

11.b. Period during which performed:

11.c. Extent performed:

11.d. Name and address through whom performed:

Additional Name and address through whom performed, if any:

Name *Lehigh University*
Organization *Zoellner Center*

Name

Organization

P.O. Box, Bldg., Room No., if any

P.O. Box, Bldg., Room No., if any

Street *420 Archer Ave*

Street

City *Bethlehem*

City

State *PA*

ZIP Code + 4 *18015-3179*

State

ZIP Code + 4

12.a. Identify subject groups of employees:

12.b. Identify subject labor organizations: