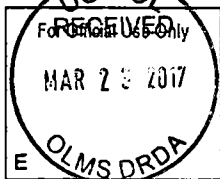


FORM LM-21  
RECEIPTS AND DISBURSEMENTS REPORT

Form approved  
Office of Management  
and Budget  
No. 1245-0003  
Expires: 07-31-2019

This report is mandatory under P.L. 88-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440.

Required of persons including Labor Relations Consultants and Other Individuals and Organizations, Under section 203(b) of the Labor-Management Relations and Disclosure Act of 1959, as amended. (LMRDA)



READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT

638168

1. File Number C- <u>67195</u>	2. Period Covered By This Report From: <u>01/01/2016</u> Through: <u>12/31/2016</u>
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A. Person Filing	
3. Name and mailing address (include ZIP Code):  Name <u>Lawrence</u> <u>Caulfield</u> Title <u>Operations Consultant</u> Organization _____  P.O. Box, Building and Room Number, if any _____  Street <u>22 Court of St. Jude</u> City <u>Sugar Land</u> State <u>Texas</u> ZIP Code + 4 <u>77479</u>	4. Any other address where records necessary to verify this report are kept:  Name _____ Title _____ Organization _____  P.O. Box, Building and Room Number, if any _____  Street _____ City _____ State _____ ZIP Code + 4 _____

Signatures

Each of the undersigned declares, under penalty of perjury and other applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See the Section on penalties in the instructions).	
17. Signed <u>[Signature]</u> <u>NA</u> Title <u>Other (Specify)</u> <u>Individual</u> On <u>3/18/2017</u> <u>281-728-3293</u> Date Telephone Number	18. Signed <u>NA</u> Title <u>Treasurer</u> (If other title, see instructions) On _____ Date Telephone Number

Name of Person Filing: Lawrence Caulfield	File Number C- 67195
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**B. Statement of Receipts** Report all receipts from employers in connection with labor relations advice or services regardless of the purposes of the advice or services.

<b>5.a. Name and Address of Employer (including trade name, if any).</b>  Employer: See Attachment A Trade Name: _____ Attention To: _____ Title: _____		<b>Mailing Address:</b> P.O. Box, Building and Room Number, if any: _____ Street: _____ City: _____ State: _____ ZIP Code + 4: _____	
<b>5.b. Termination Date</b> _____		<b>5.c. Amount</b> _____	
<b>6. TOTAL RECEIPTS FROM ALL EMPLOYERS \$27,702.55</b>			

**C. Statement of Disbursements** Report all disbursements made by the reporting organization in connection with labor relations advice or services rendered to the employers listed in Part B.

<b>7. Disbursements to Officers and Employees:</b> <span style="float: right;">N/A</span> (a) Name (b) Salary (c) Expenses (d) Totals			
9. Office and Administrative Expenses	0	0	0
10. Publicity	0	0	0
11. Fees for Professional Services	0	0	0
12. Loans Made	0	0	0
13. Other Disbursements	0	0	0
<b>8. Total disbursements to officers and employees:</b>		0	0
<b>14. Total Disbursements (Sum of Items 8-13)</b>		0	0

**D. Schedule of Disbursements for Reportable Activity** Use this Schedule to report only disbursements made for the purposes described in Part D of the instructions.

<b>15.a. Employer Name:</b> _____	<b>15.b. Trade Name, If any:</b> _____
<b>15.c. To Whom Paid</b>  Name: _____ Title: _____ Organization: _____  P.O. Box, Building and Room Number, if any: _____ Street: _____ City: _____ State: _____ ZIP Code + 4: _____	<b>15.d. Amount</b> _____  <b>15.e. Purpose</b> <div style="border: 1px solid black; height: 100px; width: 100%;"></div>
<b>16. TOTAL DISBURSEMENTS FOR ALL REPORTABLE ACTIVITY Not Applicable</b>	

**Lawrence Caulfield  
2016 FORM LM-21  
Attachment A**

**5a. Receipts from Staff Management Solutions, LLC:**

**Staff Management Solutions, LLC  
Trade Name: SM Cargo  
Attorney Eric C. De Los Santos  
1015 A. Street  
Tacoma, WA 95402**

<u>Date</u>	<u>Gross Payment Amt</u>	<u>Type</u>	<u>Purpose</u>
5/20/16	\$288.00	Paycheck	Reg. Wages
5/27/16	\$2,640.00	Paycheck	Reg. Wages
6/3/16	\$2,208.00	Paycheck	Reg. Wages
6/10/16	\$336.00	Paycheck	Reg. Wages
6/17/16	\$1,296.00	Paycheck	Reg. Wages
6/24/16	\$1,920.00	Paycheck	Reg. Wages
TOTAL:	\$8,688.00		

5.b. Termination date: Appx. June 30, 2016. Termination date provided is approximate, as payroll responsibility for the engagement with SM Cargo was transferred to SMX Cargo, LLC on or about July 1, 2016. See page 2 of this attachment for payments following July 1, 2016.

**Lawrence Caulfield  
2016 FORM LM-21  
Attachment A**

**5.a. Receipts from SMX Cargo, LLC:**

**SMX Cargo, LLC  
Trade Name: SM Cargo  
Attorney Eric C. De Los Santos  
1015 A. Street  
Tacoma, WA 95402**

<u>Date</u>	<u>Gross Payment Amt</u>	<u>Type</u>	<u>Purpose</u>
7/8/16	\$3,564.00	Paycheck	Reg. Wages
7/22/16	\$3,984.00	Paycheck	Reg. Wages
8/5/16	\$3,840.00	Paycheck	Reg. Wages
8/5/16	\$1,729.55	Paycheck	Exp. Reimb.
8/19/16	\$3,840.00	Paycheck	Reg. Wages
8/19/16	\$905.70	Paycheck	Exp. Reimb.
9/1/16	\$1,152.00	Paycheck	Reg. Wages
TOTAL:	\$19,014.55		

5.b. Termination Date: 8/17/16. Reportable engagement terminated on 8/17/2016. Services performed after 8/17/2016 were for general operations consulting only unrelated to labor relations issues.

Lawrence R. Caulfield

22 Court of St. Jude

Sugar Land, TX 77479

U.S. Department of Labor Office of Labor-Management Standards

200 Constitution Avenue, NW, Room N-5603

Washington, DC 20210

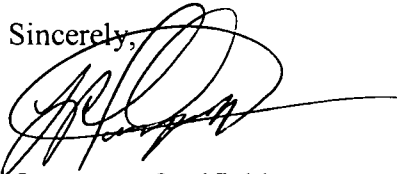
Re: LM-20, LM-21 Reports

To Whom It May Concern:

In May 2016, I filed a form LM-20 concerning my engagement with SM Cargo. At the time, payroll responsibility for my work was through Staff Management Solutions, LLC. I have since learned that payroll responsibility for my work at SMCargo was transferred to SMX Cargo, LLC on or about July 1, 2016. For that reason, I am filing an amended LM-20 form reflecting my transfer to the payroll of SMX Cargo, LLC.

I am also enclosing a completed form LM-21 which reports payments from both companies during the relevant time period.

Sincerely,

A handwritten signature in black ink, appearing to be 'L. Caulfield', with a long horizontal flourish extending to the right.

Lawrence Caulfield