

FORM LM-20 AGREEMENT AND ACTIVITIES REPORT

Form approved
Office of Management
and Budget
No. 1245-0003
Expires 03-31-2019



This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440. Required of persons, including Labor Relations Consultants and Other Individuals and Organizations, Under Section 203(b) of the Labor-Management Reporting and Disclosure Act of 1959, as amended. (LMRDA)

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

674689

1. File Number: c-495

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|---|--|
| Person Filing | |
| 2. Name and mailing address (include ZIP Code): | |
| Name John H Hawkins | 3. Any other address where records necessary to verify this report are kept: |
| Title President and CEO | Name N/A |
| Organization Management Performance International | Title |
| P.O. Box, Bldg., Room No., if any | Organization |
| Street 6836 Ashfield Drive | P.O. Box, Bldg., Room No., if any |
| City Cincinnati | Street |
| State Ohio | City |
| ZIP Code + 4 45242 | State |
| ZIP Code + 4 | ZIP Code + 4 |
| 4. Date fiscal year ends: | 5. Type of person: |
| Sep / 18 | a. <input type="checkbox"/> Individual b. <input type="checkbox"/> Partnership c. <input checked="" type="checkbox"/> Corporation d. <input type="checkbox"/> Other (Specify): |

| | |
|---|---|
| Nature of Agreement or Arrangement | |
| 6. Full name and address of employer with whom made (include ZIP Code): | |
| Name Rebecca Smotherman | 7. Date entered into: 7 / 1 / 2017 |
| Organization Blue Racer Midstream, LLC | 8. Name of person(s) through whom made: |
| Trade Name, if any | Name Rebecca Smotherman |
| P.O. Box, Bldg., Room No., if any Suite 1300 | Name |
| Street 5949 Sherry Lane | Name |
| City Dallas | Name |
| State Texas | Name |
| ZIP Code + 4 75225 | |

Signatures

Each of the undersigned declares, under penalty of perjury and other applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See Section VII on penalties in the instructions.)

13. Signed [Signature] President
(If other title, see instructions)
Title President

14. Signed [Signature] Treasurer
(If other title, see instructions)
Title Treasurer

On 3/27/2018 (513) 721-6611
Date Telephone Number

On 3/27/2018 (513) 721-6611
Date Telephone Number

9. Check the appropriate box to indicate whether an object of the activities undertaken, is directly or indirectly:

- a. ☒ To persuade employees to exercise or not to exercise, or persuade employees as to the manner of exercising, the right to organize and bargain collectively through representatives of their own choosing.
- b. ☐ To supply an employer with information concerning the activities of employees or a labor organization in connection with a labor dispute involving such employer, except information for use solely in conjunction with an administrative or arbitral proceeding or a criminal or civil judicial proceeding.

10. Terms and conditions (Explain in detail; see instructions. Written agreements must be attached.):

No written letters of engagement exist.

Specific Activities to be Performed

11. For each activity, separately list in detail the information required (See instructions):

a. Nature of activity:

The key activity was to provide consulting support and persuade the hourly employees of Blue Racer Midstream's Proctor, WV facility to vote "No" in a representation election.

11.b. Period during which performed:

July - August 2017

11.c. Extent performed:

Completed

11.d. Name and address through whom performed:

Name

Organization Management Performance International

P.O. Box, Bldg., Room No., if any

Street 6836 Ashfield Drive

City Cincinnati

State Ohio

ZIP Code + 4 45242

Additional Name and address through whom performed, if any:

Name N/A

Organization

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

12.a. Identify subject groups of employees:

All full-time and regular part-time production employees (operators, loaders, head loaders, 10% ers), and maintenance employees (mechanics & I&E) at Blue Racer Midstream Proctor, WV facility.

12.b. Identify subject labor organizations:

International Chemical Workers Union Council of the United Food and Commercial Workers International Union, AFL-CIO