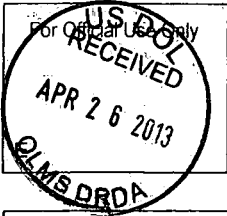


FORM LM-20

AGREEMENT AND ACTIVITIES REPORT



This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440. Required of persons, including Labor Relations Consultants and Other Individuals and Organizations, Under Section 203(b) of the Labor-Management Reporting and Disclosure Act of 1959, as amended. (LMRDA)

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

527761

1. File Number: c-65534

Person Filing

2. Name and mailing address (include ZIP Code):

Name Randy C. McCarthy

Title Secretary - Treasurer

Organization National Consultants Association, Ltd.

P.O. Box, Bldg., Room No., if any

Street 66 Rodeo Drive

City Hopewell Junction

State New York

ZIP Code + 4 12533

3. Any other address where records necessary to verify this report are kept:

Name

Title

Organization

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

4. Date fiscal year ends:

6 / 30

5. Type of person:

a. ☐ Individual b. ☐ Partnership c. ☒ Corporation d. ☐ Other (Specify):

Nature of Agreement or Arrangement

6. Full name and address of employer with whom made (include ZIP Code):

Name Hyatt Regency Greenwich

Organization

Trade Name, if any

P.O. Box, Bldg., Room No., if any

Street 1800 East Putnam Avenue

City Old Greenwich

State Connecticut

ZIP Code + 4 06870

7. Date entered into:

6 / 24 / 11

8. Name of person(s) through whom made:

Name Thomas C. Delaney, G.M.

Name

Name

Name

Name

Signatures

Each of the undersigned declares, under penalty of perjury and other applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See Section VII on penalties in the instructions.)

13. Signed

Title President

President
(If other title, see
instructions)

14. Signed

Title Treasurer

Treasurer
(If other title, see
instructions)

On

4/19/13
Date

(845) 592-4400
Telephone Number

On

4/19/13
Date

(845) 592-4400
Telephone Number

9. Check the appropriate box to indicate whether an object of the activities undertaken, is directly or indirectly:

- a. ☒ To persuade employees to exercise or not to exercise, or persuade employees as to the manner of exercising, the right to organize and bargain collectively through representatives of their own choosing.
- b. ☐ To supply an employer with information concerning the activities of employees or a labor organization in connection with a labor dispute involving such employer, except information for use solely in conjunction with an administrative or arbitral proceeding or a criminal or civil judicial proceeding.

10. Terms and conditions (Explain in detail; see instructions. Written agreements must be attached.):

1. Giving the Employer advice on how to handle its N.L.R.B. Election campaign.
2. Preparing leaflets and home letters, as deemed necessary, for use in campaign.
3. Meeting with eligible voters to advise them of their legal rights and potential consequences/ramifications of unionization.
4. Fee - \$25,000 plus \$150/hr for use of interpreters, as necessary.
[See attached agreement].

Specific Activities to be Performed

11. For each activity, separately list in detail the information required (See instructions):

a. Nature of activity: To persuade employees to vote "No" in N.L.R.B. Election

11.b. Period during which performed:

6/28/11 - 7/25/11

11.c. Extent performed:

Completed

11.d. Name and address through whom performed:

Name Randy C. McCarthy
Organization National Consultants Associated, Ltd.
P.O. Box, Bldg., Room No., if any
Street 66 Rodeo Drive
City Hopewell Junction
State New York ZIP Code + 4 12533

Additional Name and address through whom performed, if any:

Name Andrew J. Gallin
Organization National Consultants Associated, Ltd.
P.O. Box, Bldg., Room No., if any
Street 66 Rodeo Drive
City Hopewell Junction
State New York ZIP Code + 4 12533

12.a. Identify subject groups of employees:

[See attached "Voting Unit"].

12.b. Identify subject labor organizations:

United Food and Commercial Workers Union,
Local 371, CLC

10-1 of 2

NCA

National Consultants Associated, Ltd.
Labor and Public Relations

66 Rodeo Drive
Hopewell Junction, N.Y. 12533

Telephone: 845.592.4400
Facsimile: 845.592.4399

June 23, 2011

Hyatt Regency Greenwich
1800 East Putnam Avenue
Old Greenwich, CT 06870
Attn: Thomas C. Delaney, General Manager

Re: Local 371 Election Campaign
NLRB Case #34-RC-2434

Dear Mr. Delaney:

Set forth hereinbelow are the terms pursuant to which National Consultants Associated, Ltd. ("NCA") will provide services to Hyatt Regency Greenwich ("Employer") in the above-referenced matter.

1. Services To Be Provided

NCA will provide the Employer with the following services in connection with its National Labor Relations Board ("NLRB") Election Campaign with Local 371, UFCW ("Local 371"): it will assist in developing and implementing a campaign strategy, including the preparation of any campaign literature deemed necessary; it will conduct an initial meeting with the Employer's management staff concerning issues relevant to the election; it will provide day-to-day consultation with the Employer's management staff concerning issues relevant to the election; and it will conduct three (3) union education sessions with the employees eligible to vote, it being understood that, subject to scheduling restraints, each of the three (3) sessions may be broken up into sub-sessions for each group, it being the aim that each eligible voter will be able to participate in three (3) separate and distinct union education meetings.

2. Fee For Services

For the services to be provided, the Employer will pay NCA a total of Twenty-Five Thousand (\$25,000.00) Dollars, said amount to be paid as follows: immediately upon the execution hereof, the Employer will pay NCA Twelve Thousand Five Hundred (\$12,500.00) Dollars; and within ten (10) days from the date the election is held, the Employer will pay NCA an additional Twelve Thousand Five Hundred (\$12,500.00) Dollars. The above-mentioned amounts will be due and owing regardless of the outcome of the election. NCA will also be reimbursed for any expenses reasonably incurred in the performance of its obligations hereunder, said expenses to be paid within ten (10) days from the date of the election.

#10 - 292

3. Interpreter Services

In addition to the foregoing, the Employer will pay for the services of any interpreter required thereby at the rate of One Hundred Fifty (\$150.00) Dollars per interpreter per each individual meeting/sub-session they attend, plus reasonable expenses, if any, said fees to be paid by the Employer within ten (10) days from receipt of billing invoice.

If the above terms meet with your approval, please indicate your acceptance of same by signing below as indicated and returning this agreement to the undersigned, along with your first payment of \$12,500.00. Please make the check payable to "National Consultants Associated, Ltd."

Thank you for your attention in this regard and Andy and I look forward to working with you.

Very truly yours,

Randy C. McCarthy

Randy C. McCarthy, Esq.
National Consultants Associated, Ltd.
66 Rodeo Drive
Hopewell Junction, NY 12533
(845) 592-4400 - Office
(845) 592-4399 - Fax

Accepted:

Hyatt Regency Greenwich

By: 

Thomas C. Delaney, General Manager


Date

#12.a. - 1 of 1

VOTING UNIT

All full-time employee cafeteria attendants, front desk clerks, guest services concierges, housekeepers, bell persons, drivers, reservationists; restaurant servers, room service servers, cooks, hotel stewards, banquet servers, maintenance personnel, food storage personnel, bartenders, telephone operators, valet/door personnel, and engineers employed by the Employer at its Old Greenwich, Connecticut facility; but excluding all other employees, office clerical employees, and guards, professional employees and supervisors as defined in the Act.