

# FORM LM-21

## RECEIPTS AND DISBURSEMENTS REPORT

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440.  
Required of persons, including Labor Relations Consultants and Other Individuals and Organizations, Under section 203(b) of the Labor-Management Relations and Disclosure Act of 1959, as amended. (LMRDA)



READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT

473059

1. File Number C- <u>637</u>	2. Period Covered By This Report From: <u>01/01/2010</u> Through: <u>12/31/2010</u>
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<b>A. Person Filing</b>	
3. Name and mailing address (include ZIP Code):	
Name <u>Fred</u> <u>B</u> <u>Grubb</u>	4. Any other address where records necessary to verify this report are kept:
Title <u>Partner</u>	Name <u></u> <u></u> <u></u>
Organization <u>Fred B. Grubb &amp; Associates, LLC</u>	Title <u></u>
P.O. Box, Building and Room Number, if any <u></u>	Organization <u></u>
Street <u>10 South Main Street</u>	P.O. Box, Building and Room Number, if any <u></u>
City <u>Waterbury</u>	Street <u></u>
State <u>Vermont</u> <input checked="" type="checkbox"/> ZIP Code + 4 <u>05676</u>	City <u></u>
	State <u></u> <input checked="" type="checkbox"/> ZIP Code + 4 <u></u>

### Signatures

Each of the undersigned declares, under penalty of perjury and other applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See the Section on penalties in the instructions).

17. Signed <u>[Signature]</u>	President (If other title, see instructions)	18. Signed <u></u>	Treasurer (If other title, see instructions)
Title <u>Managing Partner</u> <input checked="" type="checkbox"/>		Title <u></u> <input checked="" type="checkbox"/>	
On <u>02/07/2012</u>	Telephone Number <u>802-279-8816</u>	On <u></u>	Telephone Number <u></u>
Date	Telephone Number	Date	Telephone Number

Name of Person Filing: Fred Grubb

File Number C-

**B. Statement of Receipts** Report all receipts from employers in connection with labor relations advice or services regardless of the purposes of the advice or services.

5.a. Name and Address of Employer (including trade name, if any).

Mailing Address:

P.O. Box, Building and Room Number, if any

Employer NCR Corporation

Trade Name

Street

Attention To

City

Title

State

New York

☒ ZIP Code + 4

5.b. Termination Date

5.c. Amount

19,122

6. TOTAL RECEIPTS FROM ALL EMPLOYERS 19,122

**C. Statement of Disbursements**

Report all disbursements made by the reporting organization in connection with labor relations advice or services rendered to the employers listed in Part B.

7. Disbursements to Officers and Employees:

(a) Name

(b) Salary

(c) Expenses (d) Totals

				9. Office and Administrative Expenses	
				10. Publicity	
				11. Fees for Professional Services	
				12. Loans Made	
				13. Other Disbursements	
8. Total disbursements to officers and employees:				14. Total Disbursements (Sum of Items 8-13)	

**D. Schedule of Disbursements for Reportable Activity**

Use this Schedule to report only disbursements made for the purposes described in Part D of the instructions.

15.a. Employer Name:

15.b. Trade Name, If any:

15.c. To Whom Paid

Name

Title

Organization

P.O. Box, Building and Room Number, if any

Street

City

State

Washington

☒

ZIP Code + 4

15.d. Amount

15.e. Purpose

16. TOTAL DISBURSEMENTS FOR ALL REPORTABLE ACTIVITY