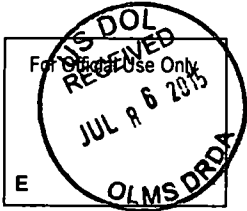


# FORM LM-20

## AGREEMENT AND ACTIVITIES REPORT

Form approved  
Office of Management  
and Budget  
No. 1245-0003  
Expires 08-31-2016



This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440. Required of persons, including Labor Relations Consultants and Other Individuals and Organizations, Under Section 203(b) of the Labor-Management Reporting and Disclosure Act of 1959, as amended. (LMRDA)

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

595/33

1. File Number: C-66602

### Person Filing

2. Name and mailing address (include ZIP Code):

Name Charles H Saul

Title Partner

Organization Margolis Edelstein

P.O. Box, Bldg., Room No., if any Suite 3300

Street 525 William Penn Place

City Pittsburgh

State Pennsylvania

ZIP Code + 4 15219-1730

3. Any other address where records necessary to verify this report are kept:

Name

Title

Organization

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

4. Date fiscal year ends:

Dec / 31

5. Type of person:

a. ☐ Individual b. ☒ Partnership c. ☐ Corporation d. ☐ Other (Specify):

### Nature of Agreement or Arrangement

6. Full name and address of employer with whom made (include ZIP Code):

Name

Organization DB&S Steel Corporation

Trade Name, if any

P.O. Box, Bldg., Room No., if any

Street 224 Norwood Drive

City Beaver Falls

State Pennsylvania

ZIP Code + 4 15010-5702

7. Date entered into:

2 / 17 / 2015

8. Name of person(s) through whom made:

Name Lawrence Caracciolo

Name

Name

Name

Name

### Signatures

Each of the undersigned declares, under penalty of perjury and other applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See Section VII on penalties in the instructions.)

13. Signed Charles H. Saul

President  
(If other title, see  
instructions)

Title Other (Specify)

Partner

14. Signed \_\_\_\_\_

Treasurer  
(If other title, see  
instructions)

Title Treasurer

On 6/26/2015 412-355-4961

Date

Telephone Number

On \_\_\_\_\_

Date

Telephone Number

9. Check the appropriate box to indicate whether an object of the activities undertaken, is directly or indirectly:

- a. ☒ To persuade employees to exercise or not to exercise, or persuade employees as to the manner of exercising, the right to organize and bargain collectively through representatives of their own choosing.
- b. ☐ To supply an employer with information concerning the activities of employees or a labor organization in connection with a labor dispute involving such employer, except information for use solely in conjunction with an administrative or arbitral proceeding or a criminal or civil judicial proceeding.

10. Terms and conditions (Explain in detail; see instructions. Written agreements must be attached.):

An engagement letter agreement was entered into on February 17, 2015 for me to represent the employer in connection with a union organization drive. The terms of the engagement are set forth in the attached engagement letter agreement.

#### Specific Activities to be Performed

11. For each activity, separately list in detail the information required (See instructions):

a. Nature of activity:

The object of the activity was to provide legal advice to the employer on what the employer could and could not legally do and say to persuade its employees to vote no in a representation election in NCRB Case 6-RC-146283.

11.b. Period during which performed:

February 17, 2015 to March 9, 2015

11.c. Extent performed:

Completed

11.d. Name and address through whom performed:

Name Charles H Saul

Organization Margolis Edelstein

P.O. Box, Bldg., Room No., if any Suite 3300

Street 525 William Penn Place

City Pittsburgh

State Pennsylvania ZIP Code + 4 15219-1730

Additional Name and address through whom performed, if any:

Name

Organization

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

12.a. Identify subject groups of employees:

All full-time and regular part-time production and maintenance employees employed by the employer; excluding all office clerical employees, guards, and professional employees and supervisors as defined in the Act.

12.b. Identify subject labor organizations:

United Steel, Paper and Forestry, Rubber, Manufacturing, Energy, Allied-Industrial and Service Workers International Union, AFL-CIO/CLC



ATTORNEYS AT LAW  
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525 WILLIAM PENN PLACE  
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PITTSBURGH, PA 15219  
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FAX 412-642-2380

**PHILADELPHIA OFFICE:**  
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PHILADELPHIA, PA 19106-3337  
215-922-1100

**HARRISBURG OFFICE:**  
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CAMP HILL, PA 17011  
717-975-8114

**WESTERN PENNSYLVANIA OFFICE:**  
933 THIRD STREET  
BEAVER, PA 15009  
724-774-6000

**SCRANTON OFFICE:**  
220 PENN AVENUE  
SUITE 305  
SCRANTON, PA 18503  
570-342-4231

**CENTRAL PENNSYLVANIA OFFICE:**  
P.O. BOX 628  
HOLLIDAYSBURG, PA 16648  
814-695-5064

**SOUTH NEW JERSEY OFFICE:**  
100 CENTURY PARKWAY SUITE 200  
PO BOX 5084  
MOUNT LAUREL, NJ 08054  
856-727-6000

**NORTH NEW JERSEY OFFICE:**  
CONNELL CORPORATE CENTER  
400 CONNELL DRIVE  
SUITE 5400  
BERKELEY HEIGHTS, NJ 07922  
908-790-1401

**DELAWARE OFFICE:**  
300 DELAWARE AVE  
SUITE 800  
WILMINGTON, DE 19801  
302-889-1112

\* MEMBER OF THE HARMONY GROUP

**Charles H. Saul, Esquire**  
csaul@margolisedelstein.com

February 17, 2015

DB & S Corporation  
Mr. Lawrence Caracciolo  
224 Norwood Drive  
Beaver Falls, PA 15010-5702

**Re: Engagement Letter**

Dear Mr. Caracciolo:

You have asked Margolis Edelstein to represent you in connection with a union organization campaign and we are pleased to do so.

It is the practice of Margolis Edelstein to confirm in writing the identity of any client whom we represent, the nature of our undertaking on behalf of that client and our billing and payment arrangements with respect to our legal services. This written agreement documents our earlier oral agreement.

We understand that we have been engaged to act as counsel solely for the Association. My hourly rate and that of other partners in the firm will be \$220.00; the hourly rate for associates in the firm will be \$180.00; and any paralegal or law clerk who should work on this matter will have an hourly rate of \$135.00. The sum of \$3,000 will be required as a retainer. Should you decide to discontinue our representation at any time, you will be responsible to reimburse us at our hourly rate for the time we expended during the period of our representation of you.

You will also be responsible for and be billed for disbursements and other charges relating to our professional services. Such charges may include, without limitation, filing fees, stenographer charges, transcripts, and court costs.

It is the practice of Margolis Edelstein to render statements for professional services and related charges on a monthly basis. We will expect payment to be made on a monthly basis, without regard to the consummation of any proposed transaction or the outcome of any matter. In the event that our statements are not timely paid, the firm reserves the right to suspend services until satisfactory payment arrangements are made or, if necessary, to terminate such services. Our clients, of course, may terminate our services at any time.

**MARGOLIS EDELSTEIN**

DB & S Steel Corporation  
Mr. Lawrence Caracciolo  
February 17, 2015  
Page 2

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We will seek to keep you informed as to the progress of our engagement. As appropriate, we would expect to send you copies of significant papers prepared or received by this office. If you have any questions about our services or about the status of our engagement, please feel free to contact me at any time.

If the foregoing is not in accordance with your understanding of our engagement in any respect, please contact me so that we may address your concerns promptly. Margolis Edelstein and I look forward to serving you.

Please acknowledge receipt of this letter and your acceptance of same and the terms herein by signing the original of this letter and returning same to the undersigned in the enclosed envelope.

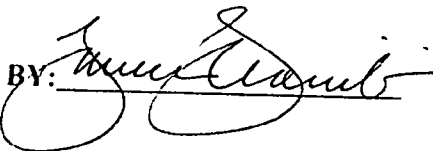
Very truly yours,

MARGOLIS EDELSTEIN

  
\_\_\_\_\_  
CHARLES H. SAUL

CHS/cs  
Enclosure

**RECEIVED A COPY OF THE ABOVE AND AGREED TO:**

BY: 

DATED: 2/17/2015