

FORM LM-20

AGREEMENT AND ACTIVITIES REPORT

Form approved
Office of Management
and Budget
No. 1215-0188
Expires 12-31-2010



This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440. Required of persons, including Labor Relations Consultants and Other Individuals and Organizations, Under Section 203(b) of the Labor-Management Reporting and Disclosure Act of 1959, as amended. (LMRDA)

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

703138

1. File Number: C- 00495

Person Filing

2. Name and mailing address (include ZIP Code):

Name John Hawkins
Title President and CEO
Organization Management Performance International
P.O. Box, Bldg., Room No., if any
Street 6836 Ashfield Drive
City Cincinnati
State Ohio ZIP Code + 4 45242-4108

3. Any other address where records necessary to verify this report are kept:

Name
Title
Organization
P.O. Box, Bldg., Room No., if any
Street
City
State ZIP Code + 4

4. Date fiscal year ends:

Sep / 19

5. Type of person:

a. ☐ Individual b. ☐ Partnership c. ☒ Corporation d. ☐ Other (Specify):

Nature of Agreement or Arrangement

6. Full name and address of employer with whom made (include ZIP Code):

Name Jeff VanWay
Organization Krogers
Trade Name, if any
P.O. Box, Bldg., Room No., if any
Street 1014 Vine Street
City Cincinnati
State Ohio ZIP Code + 4 45202-1141

7. Date entered into:

02 / 26 / 2018

8. Name of person(s) through whom made:

Name Jeff VanWay
Name
Name
Name
Name

Signatures

Each of the undersigned declares, under penalty of perjury and other applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See Section VII on penalties in the instructions.)

13. Signed

President
(If other title, see instructions)

Title President

14. Signed

Treasurer
(If other title, see instructions)

Title Treasurer

On 04/17/2019

Date

(513) 721-6611

Telephone Number

On 04/17/2019

Date

(513) 721-6611

Telephone Number

9. Check the appropriate box to indicate whether an object of the activities undertaken, is directly or indirectly:

- a. ☒ To persuade employees to exercise or not to exercise, or persuade employees as to the manner of exercising, the right to organize and bargain collectively through representatives of their own choosing.
- b. ☐ To supply an employer with information concerning the activities of employees or a labor organization in connection with a labor dispute involving such employer, except information for use solely in conjunction with an administrative or arbitral proceeding or a criminal or civil judicial proceeding.

10. Terms and conditions (Explain in detail; see instructions. Written agreements must be attached.):

No written agreement.

Specific Activities to be Performed

11. For each activity, separately list in detail the information required (See instructions):

a. Nature of activity:

The key activity was to provide consulting support and persuade the hourly and full-time employees to vote "No" in a representation election.

11.b. Period during which performed:

February 26 - April 11, 2018

11.c. Extent performed:

Completed

11.d. Name and address through whom performed:

Name John Hawkins

Organization

P.O. Box, Bldg., Room No., if any

Street 6836 Ashfield Drive

City Cincinnati

State Ohio

ZIP Code + 4 45242-4108

Additional Name and address through whom performed, if any:

Name

Organization

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

12.a. Identify subject groups of employees:

All hourly and full-time employees at Kroger's in Denver.

12.b. Identify subject labor organizations:

UFCW