

## Receipts and Disbursements Report

U.S. Department of Labor



Office of Labor-Management Standards  
Washington, D.C. 20210  
(Feb. 1990)

Required of Persons, Including Labor Relations  
Consultants and Other Individuals and Organizations,  
Under Section 203(b) of the Labor-Management  
Reporting and Disclosure Act of 1959, As Amended (LMRDA)

Form Approved: OMB  
No. 1214-0001  
Expires: 02/29/93

## A.— PERSON FILING

## 1. NAME AND ADDRESS (include ZIP code)

John L. Sullivan  
DBA Sullivan & Associates  
2701 Trelawny Drive  
Clarksville TN 37043

## 2. ANY OTHER ADDRESS WHERE RECORDS NECESSARY TO VERIFY THIS REPORT ARE KEPT:

N/A

## 3. FILE NO.

C-

530

## 4. PERIOD COVERED BY THIS REPORT

From: To:

Month	Day	Year
01	01	01
12	31	01

## B.— STATEMENT OF RECEIPTS.

Report all receipts from employers in connection with labor relations advice or services regardless of the purposes of the advice or services.

## 5. NAME AND ADDRESS OF EMPLOYER (include ZIP code)

## 6. TERMINATION DATE

## 7. AMOUNT

Public Service of New Mexico, Alvarado Sq., Albuquerque NM	4/2/2001	\$ 130,000.00
Gemaco, 2925 No. Hwy 7, Blue Springs MO 64014	3/28/2001	45,000.00
Lanter Corp., 1603 State St, Granite City IL 62040	6/12/2001	77,250.00
South Seminole sheetmetal, 5450 So. Bryant Ave Sanford FL 32773	6/22/2001	32,000.00
Tuscarora Inc, 800 Fifth Ave, New Brighton PA 15066	8/1/2001	80,000.00
Lawson Marden, 6700 Midland Industrial Dr, Shelbyville KY 40065	8/10/2001	63,000.00
Rumsey Electric, 15 Colwell Lane, Conshohocken PA 19380	9/5/2001	55,000.00
Alpha Shirt Co, 1250 Ashton Rd, Philadelphia PA 19136	9/26/2001	68,000.00
Stollberg Inc, 4111 Witmer Rd, Niagara Falls NY 14302	9/26/2001	32,000.00
Whemco Foundry, 1125 Street, Midland PA 15059	10/12/2001	66,000.00
Philip's Lighting Co, 3861 South 9th, Salina KS 67401	10/23/2001	56,750.00
(Cont'd)	TOTAL	\$ 737,000.00

## C.— STATEMENT OF DISBURSEMENTS.

Report all disbursements made by the reporting organization in connection with labor relations advice or services rendered to the employers listed in Part B..

## 8. DISBURSEMENTS TO OFFICERS AND EMPLOYEES:

(a) Name	(b) Salary	(c) Expenses	(d) Totals
John Sullivan	\$240,000.00	\$26,134.94	\$266,134.94
JoAnn Sullivan	50,000.00		50,000.00

## 9. Office and Administrative Expenses

\$46,351.44

## 10. Publicity

1,876.63

## 11. Fees for Professional Services

29,575.74

## 12. Loans Made

549.25

## 13. Other Disbursements

394,488.00

## Total Disbursements to officers and employees:

\$316,134.94

(Sum of items 8-13)

## D.— SCHEDULE FOR STATEMENT OF DISBURSEMENTS.

Use this Schedule to report only disbursements made for the purposes described in Part D of the instructions.

## 15. EMPLOYER

## 16. TO WHOM PAID

## 17. AMOUNT

## 18. PURPOSE

Public Service of New Mexico	Charles R. Smith 207 Gay Lane Drive Columbus MS 39702	\$17,750.00	To employ labor relations specialist to advise employees of union representation
Public Service New Mexico	Herman C. Wiggins 8017 McKee Blvd Oklahoma City OK 73122	12,000.00	To employ labor relations consultant to advise employees of union representation
Public Service New Mexico	Lee Bell 10223 Sunridge Trail Dallas TX 75243	12,000.00	To employ labor relations consultant to advise employees of union representation
Public Service New Mexico	William A. Price 1015 Hillshire Drive Clarksville TN 37043	12,000.00	To employ labor relations consultant to advise employees of union representation
(Cont'd)	TOTAL	\$342,512.00	

## IF MORE SPACE IS NEEDED ATTACH ADDITIONAL SHEETS

E.— VERIFICATION AND SIGNATURE. The person in item 1 above and each of his undersigned authorized officers declares, under penalty of law, that all information in this report, including all attachments incorporated therein or referred to in this report, has been examined by him and is, to the best of his knowledge and belief, true, correct, and complete.

SIGNED:

at: Clarksville TN

on: 3/28/02

(If other title, cross out and write in correct title above.)

SIGNED:

at: \_\_\_\_\_

on: \_\_\_\_\_

(If other title, cross out and write in correct title above.)

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including the time for reviewing instructions searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Labor Management Standards, Department of Labor, Room N5625, 200 Constitution Avenue, N.W., Wash., D.C. 20210; and to the Office of Management and Budget, Paperwork Reduction Project (1214-0001), Wash., D.C. 20503.

## #5 Cont'd

Geneva Lakes Cold Storage, County Hwy X, Darien WI 53114      11/7/2001      32,000.00

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## #15 Cont'd

Public Service of New Mexico	Ricardo Pasalagua      18,800.00	Employ labor relation specialist to advise emp. of union rep
	6 Seaside Circle	
	Newport Beach CA 92663	
Gemaco	Khanh Tran      17,000.00	Employ labor relation specialist to advise emp. of union rep
	PO Box 1501	
	Lake Forest CA 92630	
Lanter Corp.	Khanh Tran      10,000.00	Employ labor relation specialist to advise emp. of union rep
	PO Box 1501	
	Lake Forest CA 92630	
Lanter Corp	Herman C. Wiggins      9,750.00	Employ labor relation Consultant to advise emp. of union rep
	8017 McKee Blvd	
	Oklahoma City OK 73132	
Lanter Corp	Lee Bell      9,750.00	Employ labor relation consultant to advise emp. of union rep
	10223 Sunridge Trail	
	Dallas TX 75243	
South Seminole Sheetmetal	William A. Price      11,600.00	Employ labor relation consultant to advise emp. of union rep
	1015 Hillshire Drive	
	Clarksville TN 37040	
Tuscarora Inc	Khanh Tran      18,000.00	Employ labor relation specialist to advise emp of union rep
	P.O. Box 1501	
	Lake Forest CA 92630	
Tuscarora Inc.	Charles K. Smith      18,000.00	Employ labor relation specialist to advise emp of union rep
	207 Gaylane Drive	
	Columbus MS 39702	
Lawson Mardon	Lee Bell      16,312.00	Employ labor relation consultant to advise emp of union rep
	10223 Sunridge Trail	
	Dallas TX 75243	
Lawson Mardon	Elizabeth Hernandez      11,000.00	Employ labor relation consultant to advise emp of union rep
	3621-K South Bear Street	
	Santa Ana CA 92704	
Lawson Mardon	William A. Price      6,250.00	Employ labor relation consultant to advise emp of union rep
	1015 Hillshire Drive	
	Clarksville TN 37040	
Rumsey Electric	Khanh Tran      21,000.00	Employ labor relation specialist to advise emp of union rep
	P.O. Box 1501	
	Lake Forest CA 92630	

## #15 Cont'd

Alpha Shirt Co	Khanh Tran	15,000.00	Employ labor relation specialist to advise emp of union rep
	P.O. Box 1501		
	Lake Forest CA 92630		
Alpha Shirt Co	Lee Bell	11,250.00	Employ labor relation consultant to advise emp of union rep
	10223 Sunridge Trail		
	Dallas TX 75243		
Alpha Shirt Co	Elizabeth Hernandez	7,500.00	Employ labor relation consultant to advise emp of union rep
	3621-K South Bear Street		
	Santa Ana CA 92704		
Alpha Shirt Co.	Charles K. Smith	2,000.00	Employ labor relation specialist to advise emp of union rep
	207 Gaylane Drive		
	Columbus MS 39702		
Stollberg Inc.	Herman C. Wiggins	12,000.00	Employ labor relation consultant to advise emp of union rep
	8017 McKee Blvd		
	Oklahoma City OK 73132		
Whemco Foundry	Charles K. Smith	18,000.00	Employ labor relation specialist to advise emp of union rep
	207 Gaylane Drive		
	Columbus MS 39702		
Whemco Foundry	William A. Price	15,000.00	Employ labor relation consultant to advise emp of union rep
	1015 Hillshire Drive		
	Clarksville TN 37040		
Philips Lighting	Charles K. Smith	3,000.00	Employ labor relation specialist to advise emp of union rep
	207 Gaylane Drive		
	Columbus MS 39702		
Philips Lighting	Khanh Tran	13,000.00	Employ labor relation specialist to advise emp of union rep
	P.O. Box 1501		
	Lake Forest CA 92630		
Philips Lighting	Lee Bell	9,000.00	Employ labor relation consultant to advise emp of union rep
	10223 Sunridge Trail		
	Dallas TX 75243		
Philips Lighting	Elizabeth Hernandez	8,500.00	Employ labor relation consultant to advise emp of union rep
	3621-K South Bear Street		
	Santa Ana CA 92704		
Philips Lighting	Joey Smith	10,750.00	Employ labor relation consultant to advise emp of union rep
	902 9 <sup>th</sup> Street North		
	Columbus MS 39701		
Philips Lighting	Gerri Ransom	13,050.00	Employ labor relation consultant to advise emp of union rep
	7027 Alvern Street #B-317		
	Los Angeles CA 90045		
Geneva Lakes Cold Storage	William A. Price	9,750.00	Employ labor relation consultant to advise emp of union rep
	1015 Hillshire Drive		
	Clarksville TN 37040		

#15 Cont'd

Geneva Lakes Cold Storage	Khanh Tran	16,000.00	Employ labor relation specialist to advise emp of union rep
	P.O. Box 1501		
	Lake Forest CA 92630		
Geneva Lakes Cold Storage	Lee Bell	8,250.00	Employ labor relation consultant to advise emp of union rep
	10223 Sunridge Trail		
	Dallas TX 75243		
Geneva Lake Cold Storage	Charles K. Smith	3,000.00	Employ labor relation specialist to advise emp of union rep
	207 Gaylane Drive		
	Columbus MS 39702		

