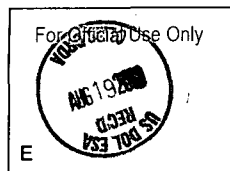


FORM LM-20

AGREEMENT AND ACTIVITIES REPORT



This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440. Required of persons, including Labor Relations Consultants and Other Individuals and Organizations, Under Section 203(b) of the Labor-Management Reporting and Disclosure Act of 1959, as amended. (LMRDA)

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

433341

1. File Number: C- 00525

Person Filing

2. Name and mailing address (include ZIP Code):

Name

Title

Organization LRI Consulting Services Inc.

P.O. Box, Bldg., Room No., if any

Street 7850 South Elm Place Suite E

City Broken Arrow

State Oklahoma

ZIP Code + 4 74011

3. Any other address where records necessary to verify this report are kept:

Name

Title

Organization

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

4. Date fiscal year ends:

Dec / 31

5. Type of person:

a. ☐ Individual b. ☐ Partnership c. ☒ Corporation d. ☐ Other (Specify):

Nature of Agreement or Arrangement

6. Full name and address of employer with whom made (include ZIP Code):

Name

Organization New York Air Brake

Trade Name, if any

P.O. Box, Bldg., Room No., if any

Street 748 Starbuck Avenue

City Watertown

State New York

ZIP Code + 4 13601

7. Date entered into:

8 / 4 / 2010

8. Name of person(s) through whom made:

Name Diane Moore

Name

Name

Name

Name

Signatures

Each of the undersigned declares, under penalty of perjury and other applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See Section VII on penalties in the instructions.)

13. Signed 

President
(If other title, see
instructions)

Title President

14. Signed 

Treasurer
(If other title, see
instructions)

Title Treasurer

On 8/11/2010 918-455-9995
Date Telephone Number

On 8/11/2010 918-455-9995
Date Telephone Number

9. Check the appropriate box to indicate whether an object of the activities undertaken, is directly or indirectly:

- a. ☒ To persuade employees to exercise or not to exercise, or persuade employees as to the manner of exercising, the right to organize and bargain collectively through representatives of their own choosing.
- b. ☐ To supply an employer with information concerning the activities of employees or a labor organization in connection with a labor dispute involving such employer, except information for use solely in conjunction with an administrative or arbitral proceeding or a criminal or civil judicial proceeding.

10. Terms and conditions (Explain in detail; see instructions. Written agreements must be attached.):

see attached

Specific Activities to be Performed

11. For each activity, separately list in detail the information required (See instructions):

a. Nature of activity:

Engaged to communicate to employees regarding exercising their rights to organize and bargain collectively.

11.b. Period during which performed:

various days beginning 8/4/10

11.c. Extent performed:

Fully performed

11.d. Name and address through whom performed:

Name Eric

Funston

Organization

P.O. Box, Bldg., Room No., if any

Street 4613 East 13th Street

City Tulsa

State Oklahoma

ZIP Code + 4 74112

Additional Name and address through whom performed, if any:

Name

Organization

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

12.a. Identify subject groups of employees:

Production, Maintenance, Shipping/Receiving

12.b. Identify subject labor organizations:

Auto Workers

AGREEMENT FOR CONSULTING SERVICES

TO: Diane Moore
New York Air Brake
748 Starbuck Avenue
Watertown, NY 13601

DATE: August 4, 2010

PROPOSED INTERVENTION:

LRI Consulting Services, Inc. will provide consulting services to assist New York Air Brake in communicating factual and legally accurate information to eligible voters in NLRB-conducted election to enable voters to make fully informed choices in voting.

TIMING:

The project will begin on or about 8/4/2010.

TERMS AND CONDITIONS:

Fees: The fee for this project is \$3000 per day of consulting plus travel expenses.

Payment Terms: The fee is \$3000 per consultant per day (plus travel expenses). This fee is due upon the delivery of the consulting services and is non refundable. You agree and acknowledge that failure to pay fees or expenses associated with this project under these terms will result in reassignment of the consultant(s) and a penalty of 2% per month until all outstanding invoices are paid in full.

Expenses: Expenses will be billed as actually incurred and are due on presentation of the invoice. Reasonable business expenses include, but are not limited to, transportation (air, rental car, taxi, etc.), lodging, food, and costs for campaign communication materials.

You further acknowledge that no representation by LRICS or its representatives were relied on by you or any member of your company in entering this agreement, and that this document represents the full understanding of the parties. Your deposit, in the absence of your signature below, indicates your acceptance of this project and the terms and conditions as stated herein.

ACCEPTANCE:

We accept the Agreement and terms described above:

For LRI Consulting Services, Inc.

For New York Air Brake



Phillip B. Wilson
President – General Counsel

Name: Diane Moore
Title: Director of Human Resources

DATE: August 4, 2010

DATE: