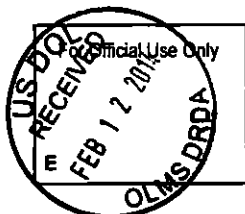


# FORM LM-20 AGREEMENT AND ACTIVITIES REPORT



This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440. Required of persons, including Labor Relations Consultants and Other Individuals and Organizations, Under Section 203(b) of the Labor-Management Reporting and Disclosure Act of 1959, as amended. (LMRDA)

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

541210

1. File Number: C-165770

## Person Filing

2. Name and mailing address (include ZIP Code):

Name Kevin D Balok  
Title Consultant  
Organization KDBalok and Associates  
P.O. Box, Bldg., Room No., if any PO Box 135  
Street 1492 Pennsylvania Avenue  
City Pine City  
State New York ZIP Code + 4 14871

3. Any other address where records necessary to verify this report are kept:

Name   
Title   
Organization   
P.O. Box, Bldg., Room No., if any   
Street   
City   
State  ZIP Code + 4

4. Date fiscal year ends:

Dec / 31

5. Type of person:

a. ☒ Individual b. ☐ Partnership c. ☐ Corporation d. ☐ Other (Specify):

## Nature of Agreement or Arrangement

6. Full name and address of employer with whom made (include ZIP Code):

Name   
Organization Alpina Foods, Inc  
Trade Name, if any   
P.O. Box, Bldg., Room No., if any   
Street 5011 Ag-Park Drive West  
City Batavia  
State New York ZIP Code + 4 14020

7. Date entered into:

1 / 17 / 2014

8. Name of person(s) through whom made:

Name Roger Parkhurst  
Name   
Name   
Name   
Name

## Signatures

Each of the undersigned declares, under penalty of perjury and other applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See Section VII on penalties in the instructions.)

13. Signed

Kevin D Balok  
President  
(If other title, see instructions)

Title Sole Proprietor

14. Signed

Title Treasurer

Treasurer  
(If other title, see instructions)

On

2-07-14  
Date

607 329-8585

Telephone Number

On

Date

Telephone Number

9. Check the appropriate box to indicate whether an object of the activities undertaken, is directly or indirectly:

- a. ☒ To persuade employees to exercise or not to exercise, or persuade employees as to the manner of exercising, the right to organize and bargain collectively through representatives of their own choosing.
- b. ☐ To supply an employer with information concerning the activities of employees or a labor organization in connection with a labor dispute involving such employer, except information for use solely in conjunction with an administrative or arbitral proceeding or a criminal or civil judicial proceeding.

10. Terms and conditions (Explain in detail; see instructions. Written agreements must be attached.):

Hourly rate plus reasonable travel expenses pursuant to verbal agreement.

**Specific Activities to be Performed**

11. For each activity, separately list in detail the information required (See instructions):

a. Nature of activity:

To assist the Employer named above in communication(s) with its employees with regard to the manner in which they exercise their rights to organize and bargain collectively. Assist in conducting employee meetings and preparing written communications during the period immediately prior to the conduct of representation election.

11.b. Period during which performed:

1/20/2014

11.c. Extent performed:

pending/on-going

11.d. Name and address through whom performed:

Name Roger Parkhurst

Organization Alpina Foods, Inc.

P.O. Box, Bldg., Room No., if any

Street 5011 Ag-Park Drive West

City Batavia

State New York ZIP Code + 4 14020

Additional Name and address through whom performed, if any:

Name

Organization

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

12.a. Identify subject groups of employees:

Unit designation, regular production operators/workers, maintenance, and other relief employees

12.b. Identify subject labor organizations:

International Brotherhood of Teamsters