

FORM LM-20

AGREEMENT AND ACTIVITIES REPORT



This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440. Required of persons, including Labor Relations Consultants and Other Individuals and Organizations, Under Section 203(b) of the Labor-Management Reporting and Disclosure Act of 1959, as amended. (LMRDA)

466268
READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

1. File Number: C- 00525

Person Filing

2. Name and mailing address (include ZIP Code):

Name

Title

Organization LRI Consulting Services Inc

P.O. Box, Bldg., Room No., if any

Street 7850 South Elm Place, Suite E

City Broken Arrow

State Oklahoma

ZIP Code + 4 74011

3. Any other address where records necessary to verify this report are kept:

Name

Title

Organization

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

4. Date fiscal year ends:

Dec / 31

5. Type of person:

a. ☐ Individual b. ☐ Partnership c. ☒ Corporation d. ☐ Other (Specify):

Nature of Agreement or Arrangement

6. Full name and address of employer with whom made (include ZIP Code):

Name

Organization Aramark

Trade Name, if any

P.O. Box, Bldg., Room No., if any

Street 2010 Main Street, Suite 1200

City Irvine

State California

ZIP Code + 4 92614

7. Date entered into:

8 / 4 / 2011

8. Name of person(s) through whom made:

Name Rick Ellis

Name

Name

Name

Name

Signatures

Each of the undersigned declares, under penalty of perjury and other applicable penalties of law, that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See Section VI on penalties in the instructions.)

13. Signed

President
(If other title, see
instructions)

Title President

14. Signed

Treasurer
(If other title, see
instructions)

Title Treasurer

On 9/9/2011

Date

918-455-9995

Telephone Number

On 9/9/2011

Date

918-455-9995

Telephone Number

Filer: LRI Consulting Services Inc	File Number C- 00525
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9. Check the appropriate box to indicate whether an object of the activities undertaken, is directly or indirectly:

a. ☒ To persuade employees to exercise or not to exercise, or persuade employees as to the manner of exercising, the right to organize and bargain collectively through representatives of their own choosing.

b. ☐ To supply an employer with information concerning the activities of employees or a labor organization in connection with a labor dispute involving such employer, except information for use solely in conjunction with an administrative or arbitral proceeding or a criminal or civil judicial proceeding.

10. Terms and conditions (Explain in detail; see instructions. Written agreements must be attached.):

see attached

Specific Activities to be Performed	
<p>11. For each activity, separately list in detail the information required (See instructions):</p> <p>a. Nature of activity:</p> <p>Engaged to communicate to employees regarding exercising their rights to organize and bargain collectively.</p>	
<p>11.b. Period during which performed:</p> <p>various days beginning 8/2/11</p>	<p>11.c. Extent performed:</p> <p>Fully Performed</p>
<p>11.d. Name and address through whom performed:</p> <p>Name</p> <p>Organization Jowske Consulting Services LLC</p> <p>P.O. Box, Bldg., Room No., if any</p> <p>Street 4435 Cornwell Lane</p> <p>City Whitmore Lake</p> <p>State Michigan ZIP Code + 4 48189</p>	<p>Additional Name and address through whom performed, if any:</p> <p>Name</p> <p>Organization RoadWarrior Productions LLC</p> <p>P.O. Box, Bldg., Room No., if any</p> <p>Street 108 South Indian Circle</p> <p>City Coca</p> <p>State Florida ZIP Code + 4 32922</p>
<p>12.a. Identify subject groups of employees:</p> <p>PRN Lead Technicians, Floor Technicians, Housekeepers, Waste Technicians, Bio Waste Technicians, Store Room Clerks</p>	<p>12.b. Identify subject labor organizations:</p> <p>State, County & Municipal Employees</p>



Proposal

August 1, 2011

Rick Ellis
Vice-President of Labor Relations
Aramark
2010 Main Street, Suite 1200
Irvine, CA 92614

949-261-5168
ellis-richard@aramark.com

RE: 10-RC-061830

Situation Assessment

You have asked for a proposal to provide materials and consulting services to help you win your upcoming NLRB election. You have a few short weeks to educate your employees on the disadvantages of unions and convince them to put their trust in a direct relationship with you as opposed to an outside third party like the union. You want to make sure that your consulting is persuasive, legally sound and provides the best opportunity to build trust with your employees.

Proposed Intervention(s)

• **Guaranteed Consulting:** For this option we will provide expert campaign consulting with an on-site facilitator to communicate your message directly to employees in employee meetings and one-on-one. Our consultant will work with managers and supervisors at your location to increase your own internal capacity for handling employee relations issues after the campaign is over. Based on our joint assessment of the need, we will assign appropriate consulting resources to your campaign for a pre-approved schedule of meetings for the duration of your campaign. This option does not include representation before the NLRB, which would require an additional engagement if desired by the client.

Objectives

- Determine the likelihood of a corporate campaign attack against your company.
- Assess your readiness to defend against a corporate campaign.
- Determine action steps required to move you from your current level of readiness to being fully prepared to meet a corporate campaign and proactively taking steps that reduce the likelihood of a campaign.

Value to Organization

- You avoid a steep-and slippery-learning curve and are free to do the most important trust-building work. You can talk to employees without engaging in "mud-slinging" – you are free to spend your time on a positive message about the company.
- Your communication strategy is legally proven and sound. Our communication tools have never been found to be objectionable by the NLRB in thousands of elections.
- You receive a proven program, with over 10,000 successful client engagements.

**Terms and Conditions**

The fee for the Guaranteed Option is a project price of \$150,000 which assumes approximately 50 days of consulting. Should additional days will be billed at our customary rate of \$3,000 per day (plus expenses) and added to the project price. The fee guarantees an election win. For purposes of this agreement, an "election win" is defined as a withdrawal of the petition or a win at the ballot box, and an election loss is defined as a certification by the NLRB that the union was selected as representative of your employees. This agreement covers consulting up to and including the initial election date (approximately September 8, 2011) but not to exceed 50 days from August 2, 2011) directed or stipulated to by the company and the union. Should the client fail to schedule additional consulting days on a mutually agreeable schedule the parties understand and agree that the initial retainer fee shall become non-refundable and due to LRI. Travel expenses and any additional consulting days beyond the election date in this agreement will be billed separately and are not subject to the guarantee.

Payment Terms

For the Guaranteed Option we require a retainer of \$92,500, which includes 50% of consulting fees and a set fee of \$17,500 for travel expenses and communication tools (videos, data and other support materials) that are due upon acceptance of the proposal. We will apply that retainer to the project price. Based on the vote count and in the event of a "win," you agree to pay the balance of the project price within 7 days of the NLRB election. Should your company lose the NLRB election, LRI will refund the initial retainer payment paid within 7 days. You agree and acknowledge that failure to pay fees or expenses associated with this project under these terms will result in reassignment of consultant(s), a penalty of the maximum allowable interest rate per month plus any costs we incur to collect an outstanding balance, until all outstanding invoices are paid in full.

LRI understands and agrees that during the course of this engagement that its consultants may receive or learn confidential information about client, and further agrees that it will have a duty to protect and not disclose such confidential information during and after the engagement is over. It is further understood that all materials included in or with the above referenced items or programs are fully covered and protected by federal copyright laws. Federal law provides civil and criminal penalties for the unauthorized reproduction, distribution or exhibition of protected products. Criminal copyright infringement is investigated by the FBI and may constitute a felony penalty of up to five years in prison and/or a \$250,000 fine. LRI shall comply with all applicable laws, regulations and ordinances in connection with its performance of services hereunder.

You further acknowledge that no representation by LRI or its representatives were relied on by you or any member of your company in entering this agreement, and that this document represents the full understanding of the parties. You also acknowledge and agree that we have informed you of the obligation to report any direct persuader activity performed on your behalf to the United States Department of Labor by both our firm and your firm and that failure to timely file these reports can subject your company criminal penalties. Your payment, in the absence of your signature below, indicates your acceptance of this project and the terms and conditions as stated herein. The parties agree that Delaware law governs any dispute between them and to resolve any disputes by arbitration in Tulsa, Oklahoma under the American Arbitration Association rules.



LRI Consulting Services

phone 800-888-9115
fax 918-455-9998

www.LRIonline.com

Acceptance:

We accept the proposal above and the intervention(s) selected:

_____ Guaranteed Consulting

For LRI Consulting Services, Inc.

For Aramark

Rick Ellis, Vice-President of Labor Relations

Date: 8/4/11