

- Kuratowski's pairs:  $(a, b) := \{\{a, b\}, \{a\}\}$  (encoding ordered pairs w/ sets)
- Ordered triples:  $(a, b, c) := (a, (b, c))$
- def: a function is a set  $f$  s.t. every element of  $f$  is an ordered pair and if  $(a, b), (a, b') \in f$ , then  $b = b'$
- Von Neumann numbers:  $0 = \emptyset, 1 = \{\emptyset\} = \{\emptyset\}, 2 = \{\emptyset, \{\emptyset\}\} = \{\emptyset, \{\emptyset\}\}, \dots, n+1 = n \cup \{n\}$
- def: A universe of set theory is a collection  $\mathcal{U}$  of objects together w/ a binary relation  $\in$  (i.e. it's a directed graph). Members of  $\mathcal{U}$  (vertices of graph) are called sets
- def: a free variable is a variable that doesn't appear after a quantifier in a formula
- def: replacing some free vars w/ numbers of  $\mathcal{U}$ , we get a formula w/ parameters
- def: a sentence is a formula with no free variables, is either true or false
  - if  $\varphi$  is a true sentence in  $\mathcal{U}$ , we write  $\mathcal{U} \models \varphi$

- ① Axiom of Extensionality (Ext): sets w/ same elts. are equal  $(\forall x \forall y ((x \subseteq y \wedge y \subseteq x) \rightarrow x = y))$
- $x \subseteq y : \forall z (z \in x \rightarrow z \in y)$  
- def: a class is a different name for a formula w/ one free variable (and possibly w/ params).
- Given a formula  $\mathcal{C}(x)$ , the corresponding class is  $\mathcal{C} = \{x : \mathcal{C}(x)\} \leftarrow \text{this is not a set}$
- ex:  $\mathcal{U} = \{x : x = x\}$  - the class of all sets
- $\emptyset = \{x : x \neq x\}$  - the empty class
- $\{x : \exists y \forall z (z \in x \leftrightarrow z = y)\}$  - the class of all 1-element sets
- $\{x : \exists a \exists b (x = (a, b))\}$  - the class of all ordered pairs
- def: a class  $\mathcal{C}$  is (represented by) a set if there is a set  $a$  in  $\mathcal{U}$  s.t.  $\mathcal{C} = \{x : x \in a\}$
- Note: by Ext, such an  $a$  must be unique. If there is no set,  $\mathcal{C}$  is a proper class
- Russell's paradox:  $\mathcal{R} = \{x : x \notin x\}$  - this is a proper class
- ② Empty Set Axiom (Empty): the empty class  $\emptyset = \{x : x \neq x\}$  is a set
- $\exists x \forall y (y \notin x)$
- ③ Pairing Axiom (Pair): for any  $a, b$  in  $\mathcal{U}$ , the class  $\{a, b\} := \{x : x = a \vee x = b\}$  is a set
- $\forall a \forall b \exists x \forall y (y \in x \leftrightarrow (y = a \vee y = b))$
- $\{a, a\} = \{a\} = \{x : x \in a\}$  is a set by Pair.
- ④ Axiom of Union (Union): for any  $S$  in  $\mathcal{U}$ , the class  $US = \{x : \exists y \in S (x \in y)\}$  is a set
- $\exists z \forall x \exists y (x \in y \wedge y \in S \rightarrow x \in z)$
- If  $A, B$  are sets in  $\mathcal{U}$ ,  $U\{A, B\} = A \cup B$  is a set.

- ⑤ • Power set Axiom (Pow): for all sets  $a$ , the class  $P(a) = \{x : x \in a\}$  is a set
- ⑥ • Axiom Schema of Comprehension (Comp): If  $C$  is a class and  $C \subseteq a$  for some set  $a$ , then  $C$  is a set ("small" classes are sets)
- ex:  $X \times Y = \{(x,y) : x \in X, y \in Y\} = \{z : \exists x \exists y (x \in X \wedge y \in Y \wedge z = (x,y))\}$  is a set since  $(x,y) = \{\{x\}, \{x,y\}\} \in P(P(X \cup Y))$ , so  $X \times Y \subseteq P(P(X \cup Y))$
- def: a (binary) relation is a set of binary pairs. for a relation  $R$ , let  $\text{dom}(R) := \{x : \exists y ((x,y) \in R)\}$ .  $\text{dom}(R)$  is a set since  $\text{dom}(R) \subseteq \cup R$ .  $\text{ran}(R) := \{y : \exists x ((x,y) \in R)\}$  is also a set since  $\text{ran}(R) \subseteq \cup R$ .
- the image of a set  $A$  under  $R$  is  $R[A] := \{y : \exists x \in A ((x,y) \in R)\}$  is a set
- def: a function is a relation  $f$  s.t. for every  $x \in U$ , there is at most one  $y$  w/  $(x,y) \in f$   
 ▷  $\forall x \forall y \forall z (((x,y) \in f \wedge (x,z) \in f) \rightarrow y = z)$
- given  $X, Y$ , we say  $f$  is a function from  $X$  to  $Y$  ( $f: X \rightarrow Y$ ) if  $\text{dom}(f) = X, \text{ran}(f) \subseteq Y$
  - the class of all functions from  $X$  to  $Y$  is denoted  ${}^X Y$   
 → if  $X, Y$  are sets, then  ${}^X Y$  is a set
- def: a set  $X$  is inductive if  $\emptyset \in X$  and  $\forall x \in X, x \cup \{x\} \in X$
- ⑦ • Axiom of Infinity (Inf): There exists an inductive set.
- thm: There exists an inclusion-minimal, unique, inductive set  $w$   
 ▷ for any inductive set  $X$ ,  $w \subseteq X$
- Pf: Uniqueness holds by Ext. Consider the class  $w = \{x : x \in X \text{ for any inductive } s \in X\}$ .  
 By Inf,  $\exists$  an inductive set  $X$  and by Comp,  $w \subseteq X$  is a set.  
 By def,  $\emptyset \in w$  as  $\emptyset$  is in all inductive sets. Let  $y \in w$ , then  $y$  is in every inductive set, hence  $y \cup \{y\}$  is in every inductive set, so  $y \cup \{y\} \in w$ .  $\square$
- def: the elements of  $w$  are called "natural numbers" (in  $U$ )
- \* to prove things about natural numbers, we must use the fact that  $w$ /natural numbers are the inclusion minimal set.
- ex: for all  $n \in w$ , either  $n=0$  or  $0 \in n$ .
- Pf: let  $X$  be the set of natural numbers  $n$  s.t.  $n=0$  or  $0 \in n$ . Obviously,  $X \subseteq w$ . We WTS  $X$  is inductive, so that  $w \subseteq X$ . Note  $\emptyset = 0 \in X$ . Next, let  $n \in X$ . If  $n=0$ , then  $\emptyset \cup \{\emptyset\} = \{\emptyset\} \ni 0$  so  $\{\emptyset\} \in X$ . If instead  $0 \in n$ , then  $n \cup \{n\} \ni 0$ , so  $n \cup \{n\} \in X$ , hence  $X$  is inductive.  $\square$

• def: a class function  $\bar{\Phi}$  is a formula w/ 2 free vars (possibly w/ parameters)  $\Psi(x,y)$ , s.t.

$$\forall x \forall y \forall z ((\Psi(x,y) \wedge \Psi(x,z)) \rightarrow y = z)$$

• for  $x \in \text{dom}(\bar{\Phi})$ ,  $\bar{\Phi}(x)$  denotes the unique  $y \in \text{ran}(\bar{\Phi})$  s.t.  $\Psi(x,y)$ .

• examples: •  $X \mapsto X$  (id), given by formula  $x = y$

•  $X \mapsto \{x\}$  has formula  $\forall z (z = y \leftrightarrow z = x)$

•  $X \mapsto \emptyset(X)$  (formula) is exercise

• def: for a class  $C$  and class fn.  $\bar{\Phi}$ ,  $C$ 's image by  $\bar{\Phi}$  is the class  $\bar{\Phi}[C] = \{\bar{\Phi}(x) : x \in C \wedge x \in \text{dom}(\bar{\Phi})\}$

(8)

• Axiom Schema of Replacement (Rep): The image of a set under a class fn. is a set.

• def: let  $V_0 = \emptyset$ ,  $V_1 = P(V_0)$ , ...,  $V_n = P(V_{n-1})$ ,  $V_\omega = \bigcup \{V_n : n \in \omega\}$ .

If we can show  $n \mapsto V_n$  is a class fn. (hard part), then by Rep,  $\{V_n : n \in \omega\}$  is a set, then  $V_\omega$  is a set by Union.

• Dedekind's Formulation of Recursion:  $y = V_n \leftrightarrow \exists w \exists w_1 \exists w_n \forall i < n (w_i = \varphi(w_i)) \wedge w_n = y$ .

the class fn for  $n \mapsto V_n$ : we want a formula that says " $x \in w$  and  $y = V_x$ ", this is:

$\boxed{x \in w \wedge \exists T_W \text{ s.t. } 1) T_W \text{ is a fn., } \text{dom}(T_W) = X+1 = X \cup \{x\} = \{0, 1, 2, \dots, x\}}$

2)  $T_W(0) = \emptyset$  3)  $\forall i < x (= i \in x) T_W(i+1) = \emptyset(T_W(i))$  4)  $T_W(x) = y$

→ how to write? 1)  $\exists y (\forall x (x \in y \wedge y = V_x))$ ,  $y$  is the inclusion-minimal inductive set

2)  $\forall y (y \text{ is inductive} \rightarrow x \in y)$  3) treat  $x \in w$  as a formula w/ params (w/o params)

• Prop: there is no injective class fn. from a proper class to a set.

Pf: Suppose  $\bar{\Phi}: \mathcal{C} \rightarrow X$  is injective class fn. from a class  $\mathcal{C}$  to set  $X$ .

$\text{ran}(\bar{\Phi}) \subseteq X$  is a set by Comp.. Then  $\bar{\Phi}^{-1}: \text{ran}(\bar{\Phi}) \rightarrow \mathcal{C}$  is a class fn.

(by hypothesis), so  $\bar{\Phi}^{-1}(\text{ran}(\bar{\Phi})) = \mathcal{C}$  is a set by Rep. □

• example of proper classes:  $R := \{x : x \in x\}$  (Russell),  $\mathcal{U}$  (if not,  $\mathcal{U} \subseteq \mathcal{U}$  would be a set by Comp.)

• def: let  $\mathcal{C}$  be a class,  $\varphi$  a class relation on  $\mathcal{C}$ , i.e. a formula  $\Psi(x,y)$  (w/ params), s.t.

$\forall x \forall y (\Psi(x,y) \rightarrow x, y \in \mathcal{C})$ . We write  $x \mathrel{\varphi} y$  to mean  $\Psi(x,y)$

• exercise: If  $S$  is a set and  $\varphi$  a class relation on  $S$ , then it is a set relation, i.e.,  $\exists R \subseteq S \times S$  s.t.  $x \mathrel{\varphi} y \Leftrightarrow (x, y) \in R$ .

• def:  $\varphi$  has strict partial order if it is

(P1): irreflexive:  $\forall x \neg (x \mathrel{\varphi} x)$  (P2): transitive:  $\forall x \forall y \forall z (x \mathrel{\varphi} y \wedge y \mathrel{\varphi} z \rightarrow x \mathrel{\varphi} z)$

- def: a partial order is total / linear if  $\forall x, y \in \mathcal{C}, x \leq y \vee x = y \vee x \geq y$
- def: a linear ordering on a class  $\mathcal{C}$  is a well ordering, if:
  - (W1): every nonempty subset  $S \subseteq \mathcal{C}$  has a  $\leq$ -least element, i.e.,  $\exists x \in S \ \forall y \in S (x \leq y)$
  - (W2): for each  $x \in \mathcal{C}$ , its initial segment  $S_x(\mathcal{C}) = \{y \in \mathcal{C} : y < x\}$  is a set.
  - if  $\mathcal{C}$  is a set, W2 holds automatically by Comp.
- Prop: If  $\leq$  is a well ordering on a class  $\mathcal{C}$ , then every nonempty subclass  $D \subseteq \mathcal{C}$  has a  $\leq$ -least element
- Pf: let  $x \in D$ . Then  $S := D \cap S_x(\mathcal{C})$  is a set by (W2) and Comp.  
 If  $S = \emptyset$ , then  $x$  is the  $\leq$ -least elt of  $D$ . Else, by (W1), there is a  $\leq$ -least elt of  $S$ , which is also  $\leq$ -least elt of  $D$ .  $\square$
- def: a set  $A$  is transitive if every elt of  $A$  is a subset of  $A$ :  
 $\bullet \forall x (x \in A \rightarrow x \subseteq A)$  or  $\forall x \forall y (y \in x \rightarrow y \in A)$
- def: an ordinal is a set  $\alpha$  st.
  - (O1):  $\alpha$  is transitive
  - (O2):  $\alpha$  is well ordered by  $\in$
  - the class of all ordinals is denoted Ord.
- exercise: Ord is a class
- note:  $\emptyset \in \text{Ord}$
- note: if  $\alpha \in \text{Ord}$ , then  $\alpha \neq \alpha$  (else  $\in$  is not irreflexive)
- def: let  $\alpha \in \text{Ord}$ . The successor of  $\alpha$  is  $\alpha + 1 := \alpha \cup \{\alpha\}$
- Prop:  $\alpha \in \text{Ord} \Rightarrow \alpha + 1 \in \text{Ord}$
- Pf. (O1): let  $\beta \in \alpha + 1$ . If  $\beta \in \alpha$ , then  $\beta \subseteq \alpha \subseteq \alpha + 1$ . Else  $\beta = \alpha \in \alpha + 1$ . So  $\alpha + 1$  transitive.
- (O2): take any nonempty subset  $S \subseteq \alpha + 1$ . If  $S \cap \alpha \neq \emptyset$ , then the  $\in$ -least elt of  $S \cap \alpha$  is also a  $\in$ -less elt of  $S$ . Else,  $S = \{\alpha\}$ , and  $\alpha$  is the  $\in$ -least elt (and only elt) of  $S$ .  $\square$
- Corollary:  $\omega \in \text{Ord}$
- Pf: The set  $\omega \cap \text{Ord}$  is inductive (has  $\emptyset$  and closed under successor). As  $\omega$  is a minimum inductive set,  $\omega = \omega \cap \text{Ord}$ .  $\square$
- exercise:  $\omega$  is an ordinal.
- note: every elt of an ordinal is an ordinal

- Then: the class  $\text{Ord}$  is well-ordered by  $\in$
- Ex: an ordinal w/ one elt?  $\alpha = \{\emptyset\}$   
by transitivity,  $X \subseteq \{\emptyset\} \Rightarrow X = \emptyset$  or  $X = \{\emptyset\}$ , second not possible as  $X$  ex breaks transitivity. So  $X = \emptyset$ ,  $\alpha = \{\emptyset\} = 1$ .
- Ex: an ordinal w/ two elts?  $\alpha = \{x, y\}$ ,  $x \neq y$ .  
 $\in$  is a strict linear order, so WLOG,  $x \in y$  ( $x \notin y$ ,  $y \notin x$ ). Also  $y \notin x$ .  
By transitivity,  $x \subseteq \alpha = \{x, y\} \Rightarrow x = \emptyset$ . But then  $y \subseteq \alpha = \{\emptyset, y\} \Rightarrow y = \{\emptyset\}$ .  
So  $\alpha = \{\emptyset, \{\emptyset\}\} = 2$ .
- Lemma:  $\in$  is a strict partial order on  $\text{Ord}$ .  
PF: Irreflexivity: If  $\alpha \in \text{Ord}$ ,  $\alpha \notin \alpha$ .  
Transitivity: Sps  $\alpha, \beta, \gamma$  are ordinals s.t.  $\alpha \in \beta \in \gamma$ . As  $\gamma$  is a transitive set,  $\alpha \in \gamma$ .  
• by partial order, we can write  $\beta < \alpha$  to mean  $\beta \in \alpha$ .  
• So  $\alpha = \{\beta \in \text{Ord} : \beta < \alpha\}$ \*
- def: let  $\mathcal{C}$  be a class w/ strict partial order  $\in$ . A subclass  $D$  is downwards-closed if  $\forall x \in D \ \forall y \in \mathcal{C} (y \prec x \rightarrow y \in D)$ .
- Lemma: If  $\alpha \in \text{Ord}$  and  $D \subseteq \alpha$  is downwards-closed, then either  $D \in \alpha$  or  $D = \alpha$ .  
In particular,  $D$  is an ordinal.  
PF: If  $D = \alpha$ , we're done, so assume  $D \neq \alpha$ , that is,  $\alpha - D \neq \emptyset$ . Let  $\beta$  be the least elt of  $\alpha - D$ , which exists by well ordering of  $\alpha$ .  
 $D$  is downwards closed  $\Rightarrow \forall \gamma \in D (\gamma > \beta)$ . Thus  $D \subseteq \beta$ . Also note, if  $\gamma \in \beta$ , i.e.,  $\gamma \in D$  by minimality of  $\beta$ . Thus  $\beta \in D$ , i.e.,  $D = \beta \in \alpha$ . □
- downward closed sets of ordinals are ordinals
- Lemma: If  $\alpha, \beta \in \text{Ord}$ , then  $\alpha \in \beta$ ,  $\alpha = \beta$  or  $\alpha > \beta$ .
- PF: Let  $\gamma = \alpha \cap \beta$ . Then  $\gamma$  is a downward-closed subset of both  $\alpha$  and  $\beta$ .
  - Case 1:  $\gamma = \alpha$ ,  $\gamma = \beta$ , then  $\gamma = \alpha = \beta$ , done
  - Case 2:  $\gamma = \alpha$ ,  $\gamma \in \beta$ , then  $\alpha \in \beta \Rightarrow \alpha \in \gamma$ , done
  - Case 3:  $\gamma \in \beta$ ,  $\gamma = \beta$ , then  $\beta \in \alpha$ , done
  - Case 4:  $\gamma \in \alpha$ ,  $\gamma \in \beta$ , but this is not possible as then  $\gamma \in \alpha \cap \beta = \gamma$ , □

PF (Well ordering): We already know  $\in$  or  $\epsilon$  is a strict linear ord on Ord.

(w1) Let  $S \subseteq \text{Ord}$  be nonempty. take any  $\alpha \in S$ . If  $\alpha$  is least elt of  $S$ , we're done. Else,  $\alpha \cap S = \{\beta \in S : \beta < \alpha\} \subseteq \alpha$  is nonempty, and thus has a least elt  $\beta$  as  $\alpha$  is an ordinal. Also  $\beta$  is least elt in  $S$ .  
 (w2):  $S \cap (\text{Ord}) = \{\beta \in \text{Ord} : \beta < \alpha\} = \alpha$  is a set.

- Corollary: Ord is a proper class

PF: If Ord is a set, it would be a transitive set well-ordered by  $\epsilon$ , i.e., Ord is an ordinal. But then Ord  $\in$  Ord, a contradiction (ordinals can't be their own elt).  $\square$

- defn: for a fn  $f$  and a set  $S$ , let  $f \upharpoonright S$  denote the restriction of  $f$  to  $S$ :  $f \upharpoonright S := f \cap (S \times U)$

- defn: let Extend be a class function. A set function  $f$  is Extend-inductive if  $\{\gamma : \gamma < \beta\}$

(I1): the domain of  $f$  is an ordinal (I2): for all  $\beta < \alpha$ ,  $f(\beta) = \text{Extend}(f \upharpoonright \beta)$

- lemma: let  $\alpha \in \text{Ord}$ , Extend a class fn. If  $f, g : \alpha \rightarrow U$  are Extend-inductive, then  $f = g$ .

PF: Suppose not, let  $\beta < \alpha$  be the least st.  $f(\beta) \neq g(\beta)$ . By choice of  $\beta$ ,  $f(\gamma) = g(\gamma)$  for all  $\gamma < \beta$ , i.e.  $f \upharpoonright \beta = g \upharpoonright \beta$ . Thus  $f(\beta) = \text{Extend}(f \upharpoonright \beta) = \text{Extend}(g \upharpoonright \beta) = g(\beta)$ ,  $\star$ .  $\square$

- thm (transfinite recursion): let  $\alpha \in \text{Ord}$ , Extend a class fn. Suppose that for every Extend-inductive fn  $g : \gamma \rightarrow U$  st.  $\gamma < \alpha$ ,  $g \in \text{dom}(\text{Extend})$ . Then  $\exists!$  Extend-inductive  $f : \alpha \rightarrow U$ .

ex:  $f_0 : 0 \rightarrow U$ ,  $f_0 = \emptyset$ ,  $f_1 : 1 \rightarrow U$ ,  $f_1(0) = \epsilon(f_0) = \epsilon(\emptyset)$  or  $f_1 = \{(0, \epsilon(f_0))\}$

$f_2 = \{(0, \epsilon(f_0)), (1, \epsilon(f_1))\} = f_1 \cup \{(1, \epsilon(f_1))\}$ ,  $f_{n+1} = f_n \cup \{(n, \epsilon(f_n))\}$

$f_\omega = \{(0, \epsilon(f_0)), (1, \epsilon(f_1)), (2, \epsilon(f_2)), \dots\} = \bigcup_{n \in \omega} f_n \leftarrow \text{limit ordinal}$   $\uparrow$  successor

\*  $w \notin \bigcup_{\beta < \omega} \beta$ , so  $\bigcup_{\beta < \omega} f_\beta \neq f_\omega$

- thm (transfinite recursion for classes): let  $\mathcal{E}$  be a class fn. Suppose for all  $\mathcal{E}$ -ind. functions  $g$ ,  $g \in \text{dom}(\mathcal{E})$ . Then  $\exists$  class fn.  $\mathcal{II} : \text{Ord} \rightarrow U$  st.  $\forall \alpha \in \text{Ord} (\mathcal{II}(\alpha) = \mathcal{E}(\mathcal{II} \upharpoonright \alpha))$ .

PF: Define  $\mathcal{II}(\alpha) = y$  iff:  $\exists f$  (f is  $\mathcal{E}$ -ind. fn (i.e., unique!) w/  $\text{dom}(f) = \alpha + 1$  and  $f(\alpha) = y$ )  $\square$

- defn: define a class fn  $\text{Ord} \rightarrow U : \alpha \mapsto V_\alpha$  as follows:  $V_\alpha := \bigcup \{P(V_\gamma) : \gamma < \alpha\}$

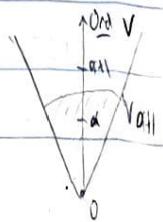
formally, we apply transfinite rec. (classes) for class fn  $\mathcal{E}$  by  $\mathcal{E}(f) = \bigcup \{\mathcal{E}(f(x)) : x \in \text{dom}(f)\}$

- Note: If  $\beta \leq \alpha$ ,  $V_\beta \subseteq V_\alpha$ . Also,  $V_{\alpha+1} = \bigcup_{\gamma < \alpha} P(V_\gamma) = P(V_\alpha)$  (since  $P(V_\gamma) \subseteq P(V_\alpha) \forall \gamma < \alpha$ )

Conversely, if  $\alpha$  is a limit,  $V_\alpha = \bigcup_{\gamma < \alpha} P(V_\gamma) = \bigcup_{\gamma < \alpha} V_{\gamma+1} = \bigcup_{\gamma < \alpha} V_\gamma$

together:  $V_\alpha = \begin{cases} \emptyset & \text{if } \alpha = 0 \\ P(V_\beta) & \text{if } \alpha = \beta + 1 \\ \bigcup_{\gamma < \alpha} V_\gamma & \text{if } \alpha \text{ is limit} \end{cases}$

$$\alpha \in V_{\alpha+1}$$



- def: the von Neumann Universe is the class  $V := \bigcup \{V_\alpha : \alpha \in \text{Ord}\} = \{x : \exists \alpha (\alpha \in \text{Ord} \wedge x \in V_\alpha)\}$
  - Axiom of Foundation (or Regularity) (AF):  $\mathcal{U} = V$   
 $\forall x \exists \alpha (\alpha \in \text{Ord} \wedge x \in V_\alpha)$
  - def:  $ZF' = \text{Ext} + \text{Empty} + \text{Pair} + \text{Union} + \text{Power} + \text{Compt} + \text{Inf} + \text{Rep}$ .  $ZF = ZF' + \text{AF}$
  - thm: Assuming  $ZF'$ , AF is equivalent to  $\forall x (x \neq \emptyset \rightarrow \exists y \in x (y \cap x = \emptyset))$
  - def: the rank of  $x$  is the least ordinal  $\alpha$  s.t.  $x \in V_\alpha$  (rank is class fn)
  - note: 1) If  $x \in V$ , then  $\text{rank}(x)$  is a successor 2) if  $y \in x \subseteq V$ , then  $\text{rank}(y) < \text{rank}(x)$   
3) If  $\alpha \in \text{Ord}$ , then  $\text{rank}(\alpha) = \alpha + 1$  (Hint: consider least ordinal for which it fails)
  - Prop:  $x \in V$  iff  $\forall y \in x (y \in V)$  ( $x \subseteq V$ )
- PF: ( $\Rightarrow$ ): given by (2) in note  
( $\Leftarrow$ ): Suppose all elts of  $x$  are in  $V$ . By Rep,  $\{\text{rank}(y) : y \in x\}$  is a set. Hence  $\beta = \bigcup \{\text{rank}(y) : y \in x\}$  is a set of ordinals and is downwards closed, so  $\beta$  is an ordinal. For each  $y \in x$ ,  $y \in V_{\text{rank}(y)} \subseteq V_\beta$  (as  $\text{rank}(y) \leq \beta$ ), which implies  $x \subseteq V_\beta$ , so  $x \in V_{\beta+1}$  and  $x \in V$ .  $\square$

PF (AF equivalence): ( $\Rightarrow$ ): Let  $x \neq \emptyset$ ,  $x \in V = \mathcal{U}$ . Consider  $\{\text{rank}(y) : y \in x\}$ , which is a set by Rep. It is a nonempty set of ordinals, so it has a least elt  $\alpha$ . Take any elt  $y \in x$  w/  $\text{rank}(y) = \alpha$ . Then every elt of  $x$  has rank  $\geq \alpha$ , but every elt of  $y$  has rank  $< \alpha$ , so  $x \cap y = \emptyset$ .

( $\Leftarrow$ ): Let  $x \in \mathcal{U}$  (w/o  $x \in V$ ). First assume  $x$  is transitive (i.e., all elts of  $x$  are subsets of  $x$ ). Suppose FSoC that  $x \notin V$ . By Prop, not all elts of  $x$  are in  $V$ , so consider nonempty  $\tilde{x} := x \setminus V \subseteq x$  which is a set (by Comp). So  $\exists y \in \tilde{x} \subseteq x$  s.t.  $y \cap \tilde{x} = \emptyset$ . But  $y \subseteq x$  by transitivity of  $x$ . So  $y \subseteq x \setminus \tilde{x} = x \cap V$ , thus every elt of  $y$  is in  $V$ . So  $y \in V \Rightarrow y \notin \tilde{x}$   $\square$

Now take any set  $x$ . We prove the following lemma: for every  $x$ ,  $\exists y$  transitive s.t.  $x \subseteq y$ . If we can find such a  $y$ , then by the previous case,  $y \in V$ , so  $y \subseteq V$  (by Rep), so  $x \subseteq y \subseteq V$ , thus  $x \in V$  by Prop again, as desired.

Define a function  $w \mapsto \mathcal{U} : n \mapsto y_n$  recursively by  $y_0 := x$ ,  $y_{n+1} = V[y_n]$  for all new, and  $y = \bigcup \{y_n : \text{new } w\}$ . Note  $x = y_0 \subseteq y$ , secondly, we claim  $y$  is transitive. Take  $z \in y$ , so  $z \subseteq y_n$  for some  $n \in w$ , and since  $y_{n+1} = V[y_n]$ ,  $z \subseteq y_{n+1} \subseteq y$ .  $\square$

\* Corollary:  $\forall x, \exists y$  transitive s.t.  $x \in y$ . PF: Take  $z$  from lemma, let  $y = z \cup \{x\}$ .  $\square$

- Note: the construction in Lemma gives the smallest transitive set containing  $X$ .  
So we call this set the transitive closure of  $X$ .
- Prop:  $\text{AF} \Rightarrow \exists \exists x (x \in x)$  (no set is its own elt)
  - Pf1: If  $x \in \text{V}$ , then  $\text{rank}(x) < \text{rank}(x)$   $\square$
  - Pf2:  $\text{AF} \Leftrightarrow$  every non- $\emptyset$  set has an elt disjoint from itself.  
Say  $x \in X$ , consider  $\{x\}$  (nonempty), it only has one elt  $x$ , but  
 $x \cap \{x\} = \{x\} \neq \emptyset$ .  $\square$
- Prop (in ZF): there is no seq. of sets w/  $x_0 \ni x, x_1 \ni x_0, \dots$   
Or, there is no fn  $f: \omega \rightarrow \text{U}$  s.t.  $\forall n, f(n+1) \in f(n)$ 
  - Pf: Suppose there was such a function  $F$ . Then  $\forall n$ ,  $\text{rank}(f(n+1)) < \text{rank}(f(n))$ .  
But the ordinals are well-ordered, but the set (by Rep)  $\{\text{rank}(f(n)): n \in \omega\}$  has no limit elt. and is non- $\emptyset$  set of ordinals.  $\square$
  - Also,  $\text{ran}(f) = \{f(n): n \in \omega\}$  is non- $\emptyset$  set that intersects each of its elts:  $f(n) \cap \text{ran}(f) \ni f(n+1)$   $\square$
- Prop (in ZF): Let  $X$  be a set,  $R \subseteq X \times U$  be a class relation s.t.  
 $\forall x \in X \exists y ((x, y) \in R)$ . Then  $\exists$  a set relation  $R' \subseteq R$  s.t.  $\forall x \in X, \exists y ((x, y) \in R')$ 
  - Pf: Define  $(x, y) \in R' \Leftrightarrow (x, y) \in R \wedge \forall z ((x, z) \in R \Rightarrow \text{rank}(y) \leq \text{rank}(z))$ .  
If  $x \in X$ ,  $\alpha = \min(\text{rank}(y): (x, y) \in R)$ , then  $\{y: (x, y) \in R\} = \{y: (x, y) \in R'\} \cap V_\alpha$ , so it's a nonempty set. This implies  $R'$  is a set (Rep).  $\square$

back to ZF - • Thm: let  $(A, \prec)$  be a well ordered set. Then  $\exists!$  an ordinal that's order-isomorphic to  $(A, \prec)$ , moreover, the isomorphism is also unique.

• this  $\alpha$  is called the order type (or just type) of  $(A, \prec)$ , denote type  $(A, \prec)$

Pf: Uniqueness: HW.

Existence: Suppose  $(A, \prec)$  is well ordered, s.t. there is no order preserving bijection b/w  $A$  and any ordinal. We want to define a class fn  $\Phi: \text{Ord} \rightarrow A$  recursively by  $\Phi(\beta) = \min(A \setminus \Phi[\beta])$ . Consider the class fm  $\Sigma$  (extn) by  $\Sigma(f) = y \Leftrightarrow f$  is function,  $\text{ran}(f) \subseteq A$ , and  $y = \min(A \setminus \text{ran}(f))$ . For all  $\beta$ ,  $\Phi(\beta) = \Sigma(\Phi[\beta]) = \min(A \setminus \text{ran}(\Phi[\beta])) = \min(A \setminus \Phi[\beta])$ .

Claim: let  $\alpha \in \text{Ord}$ ,  $f: \alpha \rightarrow U$  is  $\Sigma$ -hd, fn. Then a)  $\text{ran}(f) \subseteq A$ .

b)  $f$  is injective c)  $f$  strictly increasing. d)  $\text{ran}(f) \neq A$  e)  $f \in \text{dom}(\Sigma)$

Pf (a):  $f$  is  $\epsilon$ -ind, for all  $\beta \in \alpha$ ,  $f(\beta) = \epsilon(f \upharpoonright \beta) = \min A \setminus f[\beta] \in A$ .

Pf (b): Take  $\gamma < \beta < \alpha$  [wts  $f(\gamma) \neq f(\beta)$ ],  $f(\beta) = \min A \setminus f[\beta]$  and  
 $f(\beta) \in A \setminus f[\beta] \not\ni f(\gamma)$  so  $f(\beta) \neq f(\gamma)$ .

Pf (c): take  $\gamma < \beta < \alpha$ , so  $\gamma \notin \beta$ , so  $f[\gamma] \subseteq f[\beta]$  then  $A \setminus f[\gamma] \supseteq A \setminus f[\beta]$ ,  
hence  $f(\gamma) = \min(A \setminus f[\gamma]) \leq \min(A \setminus f[\beta]) = f(\beta)$ , and  $f$  is inj. so  $f(\gamma) < f(\beta)$ .

Pf (d): Else,  $f$  is a bijection hence an order isomorphism b/w  $\alpha$  and  $(A, \epsilon')$ , which  
we assumed didn't exist.

Pf (e): by def of  $\Sigma$ ,

By transfinite recursion thm (class),  $\exists \Sigma\text{-ind class fn } \Phi: \text{Ord} \rightarrow A$ , i.e., a  
class fn defined on Ord s.t.  $\forall \alpha \in \text{Ord}$ ,  $\Phi \upharpoonright \alpha$  is  $\epsilon$ -ind. Then by claim,  
 $\text{ran } \Phi \subseteq A$  and  $\Phi$  is injecn. But now we have an injection from  
prop class to a set.  $\square$

- Similarly, every well ordered prop class  $(\mathcal{E}, \prec)$  is isomorphic to  $\text{Ord}$ ,  $\Phi: \text{Ord} \rightarrow \mathcal{E}$   
given by  $\Phi(\beta) := \min \mathcal{E} \setminus \{\Phi(\gamma): \gamma < \beta\} = \min \mathcal{E} \setminus \Phi[\beta]$
- def: a choice fn for a set  $X$  is  $f: X \rightarrow \bigcup X$  s.t.  $\forall x \in X$  ( $f(x) \in x$ )
- ex:  $X = \{a\}$ ,  $a \neq \emptyset$ , since a nonempty,  $a$  has an elt  $b$ . By Pair,  $(a, b)$  is a set.  
By Pair again  $\{(a, b)\}$  is a set and this is a choice fn for  $X$ .
- def: A set  $X$  is finite if there is a bijection  $n \rightarrow X$  for some  $n$ , else infinite
- thm(Finite Choice)(ZF $^-$ ): If  $X$  is a finite set and  $\emptyset \notin X$ , then  $X$  has a choice fn.

Pf: Let  $x: n \rightarrow X$  be a bijection (so  $X = \{x(0), x(1), \dots, x(n-1)\}$ ).

Base case:  $(n=0)$ :  $X = \emptyset$ , and  $\emptyset$  is a choice fn for  $X$ .

Inductive step: Suppose the statement is true when  $X$  is in bijection w/  $n$ , and let  
 $x: (n+1) \rightarrow X$  be a bijection, then  $X = \{x(0), \dots, x(n-1), x(n)\}$ . Let  $X' = \{x(i): i \leq n\} = x[n]$   
Then  $x \upharpoonright n$  is a bijection from  $n$  to  $X'$ , so by Ind. Hyp.,  $\exists$  a choice fn  $f': X' \rightarrow \bigcup X'$   
for  $X'$ .  $x(n)$  is nonempty so it has an elt, say  $y \in x(n)$ . Define a choice fn  
 $f: X \rightarrow \bigcup X$  by  $f = f' \vee \{(x(n), y)\}$ .  $\square$

- ex:  $X = \mathcal{P}(\omega) \setminus \{\emptyset\}$ : has a choice fn (and is infinite).  $f(A) = \min(A)$ ,  $A \subseteq \omega$
- Axiom of Choice (AC): If  $\emptyset \notin X$ , then  $X$  has a choice fn
- ZFC = ZF + AC, ZFC $^-$  = ZF $^-$  + AC (no foundation)

- thm ( $ZFC^-$ ): If  $X$  is infinite, there is an injection  $\omega \rightarrow X$   
Pf:  $X$  is infinite means there does not exist a bijection  $n \rightarrow X$  ( $n \in \omega$ )  
 $f: \omega \rightarrow X$  recursively defined as  $f(n) := \text{any ext of } f[X \setminus f[n]]$ : By AC.  
 there is a choice fn choice:  $P(X) \setminus \{\emptyset\} \rightarrow X$ , define  $f_n = \text{choice}(X \setminus f[\{n\}])$ .  
 we apply transfinite recursion thm to extension fn  $f: X$ , choice are parameters  
 $\epsilon(f) = x \Leftrightarrow f$  is a function,  $\text{ran}(f) \subseteq X$ , and  $x = \text{choice}(X \setminus \text{ran}(f))$  □
- thm (Well Order) ( $ZF^-$ ): TFAE:
  - (1) AC
  - (2) for every set  $A$ , there is a well ordering on  $A$
  - (3) for every set  $A$ , there exists an ordinal  $\alpha$  and bijection  $\alpha \rightarrow A$Pf: (2)  $\Rightarrow$  (3): every well ordered set is order isomorphic to an ordinal  
(3)  $\Rightarrow$  (2): let  $f: A \rightarrow \alpha$  be a bijection,  $\alpha \in \text{Ord}$ . define a well ordering on  $A$  by  $a < b \Leftrightarrow f(a) \in P(b)$   
(2)  $\Rightarrow$  (1): Take a set  $X$ ,  $\emptyset \notin X$ . Let  $\leq$  be a well order on  $UX$  and define a choice fn  $f: X \rightarrow UX$  by  $f(x) = \min_{\leq} x$ .  
(1)  $\Rightarrow$  (3): Let  $A$  be a set. Suppose for contradiction that  $A$  is not in bijection with any ordinal. Define a class fn  $\beth: \text{Ord} \rightarrow A$  recursively as follows: AC take a choice fn choice:  $P(A) \setminus \{\emptyset\} \rightarrow A$  and set  $\beth(\beta) := \text{choice}(A) \setminus \beth(\beta)$ . this is valid b/c  $\beth(\beta) \neq A$  for all  $\beta$ . So we get an injection class fn  $\beth: \text{Ord} \rightarrow A$  which is a contradiction as we can't inject proper class into a set. □
- def.: let  $A, B$  be sets. Write  $A \lesssim B$  if there is an injection  $A \rightarrow B$ . We write  $A \approx B$  if there is a bijection  $A \rightarrow B$  (we say  $A, B$  are equinumerous)
  - $A$  is finite if  $A \approx n$  for some  $n \in \omega$ , infinite else
  - $A$  is countable if  $A \lesssim \omega$
- thm (Cantor-Schröder-Bernstein) ( $ZF^-$ ):  $A \approx B \Leftrightarrow A \lesssim B$  and  $B \lesssim A$ 

Pf: ( $\Rightarrow$ ): bijection is injective and inverse is injective also  
( $\Leftarrow$ ): let  $f: A \rightarrow B$ ,  $g: B \rightarrow A$  be injections. Let:  $B_0 = B \setminus f[A]$ ,  $A_0 = g[B_0]$ ,  $B_1 = f[A_0]$ ,  $A_1 = g[B_1]$ , ... By construction,  $B_0, B_1, \dots$  are disjoint,  $A_0, A_1, \dots$  are disjoint,  $g \upharpoonright B_n$  is a bijection. Here letting  $B' = \bigcup B_n$ ,  $A' = \bigcup A_n$ ,  $g \upharpoonright B'$  is a bijection from  $B' \rightarrow A'$ . Let  $B'' = B \setminus B'$ ,  $A'' = A \setminus A'$ . Note  $f \upharpoonright A''$  is a bijection from  $A''$  to  $B''$ . Thus defining  $h: A \rightarrow B$  as  $h(a) = g^{-1}(f(a))$  if  $a \in A'$ , and  $h(a) = f(a)$  if  $a \in A''$ , is a bijection. □

- thm (Cantor) ( $\exists F$ ): for any  $X$ ,  $X \not\subseteq P(X)$  w/  $\emptyset(X) \notin X$ . Moreover, there is a class function  $W$  s.t.  $\forall X, \forall f: X \rightarrow P(X), W(X, f) \in P(X) \setminus \text{ran}(f)$ .
   
Pf:  $X \not\subseteq P(X)$  by  $\downarrow x \mapsto \{x\}$ . Define for any  $f: X \rightarrow P(X), W(X, f) = \{x \in X : x \notin f(x)\} \subseteq X$ . Suppose for contradiction  $W(X, f) \in \text{ran}(f)$ , so  $W(X, f) = f(x)$  for some  $x$ . If  $x \in W(X, f)$ , then by def of  $W$ ,  $x \notin f(x) = W(X, f) \not\models$ . But if  $x \notin W(X, f)$ , then  $x \in W(X, f)$  by def of  $W$ ,  $\models$ . So  $W(X, f) \notin \text{ran}(f)$ . So there cannot be a surjection (hence bijection) of  $X \rightarrow P(X)$ .  $\square$
- thm (Harley) ( $\exists F$ ): for every  $X$ , there is an ordinal  $\alpha$  s.t.  $\alpha \not\models X$ .
   
Pf: let  $S := \{\alpha \in \underline{\text{Ord}} : \alpha \not\models X\}$ . We claim  $X$  is a set (so  $S \neq \underline{\text{Ord}}$ ). If we have an injection  $f: \alpha \rightarrow X, \alpha \in \underline{\text{Ord}}$ , we get a well ordering on  $\text{ran}(f) \subseteq X$  of order type  $\alpha$ . Conversely, if  $\prec$  is a well ordering on a subset  $Y \subseteq X$ , then we have an injection  $\text{type}(\prec) \rightarrow X$  (a bijection  $\text{type}(\prec) \rightarrow Y$ ).
   
So,  $S = \{\text{type}(\prec) : \prec \text{ is a well-ordering on a subset of } X\}$ . Note  $W = \{\prec : \prec \text{ is a well-ordering on subsets of } X\} \subseteq P(X \times X)$  (well order is a set of ordered pairs). So  $W$  is a set by Pow, Comp, thus  $S$  is a set by Rep, so there is an ordinal in  $\underline{\text{Ord}}$  (a proper class) but not in  $S$  (a set).  $\square$ 
  - ex.  $S$  is the least ordinal which doesn't inject into  $X$
- thm ( $\exists F$ ): TFAE: (1) AC (2) for every  $x \in A, B, A \not\leq B$  or  $B \not\leq A$ .
   
Pf: (1)  $\Rightarrow$  (2): Assume AC, let  $A, B$  sets. There exists ordinals  $\alpha \approx A, \beta \approx B$  (AC!). WLOG,  $\alpha \not\leq \beta$  ( $\alpha \subseteq \beta$ ), then  $A \approx \alpha \not\leq \beta \approx B$  so  $A \not\leq B$ .
   
(2)  $\Rightarrow$  (1): Assume (2). We will show every set can be well ordered (equiv to AC).
   
Let  $X$  be a set. Then  $\exists \alpha \in \underline{\text{Ord}}$  s.t.  $\alpha \not\models X$  by Harley's. Then  $X \not\leq \alpha$  by (2), an injection  $f: X \rightarrow \alpha$ . Putting  $x \sim y \Leftrightarrow f(x) \prec f(y)$  gives a well ordering on  $X$ .  $\square$
- def: say  $X$  be well-orderable if there is a well ordering on  $X \Leftrightarrow$  equinumerous w/ an ordinal
- def: If  $X$  is well-orderable, its cardinality is  $|X| := \min(\alpha \in \underline{\text{Ord}} : \alpha \approx X)$ 
  - $\square$  AC  $\Leftrightarrow$  every set has a cardinality
- def: a cardinal is an ordinal  $\kappa$  s.t. there is no smaller ordinal  $\gamma < \kappa$  and  $\gamma \approx \kappa$ 
  - $\square$   $X$  well orderable, then  $|X|$  is a cardinal
  - $\square$   $\alpha \in \underline{\text{Ord}}$  is a cardinal iff  $|\alpha| = \alpha$  iff no injection to any smaller ordinal

- Prop: If  $K$  is a cardinal,  $\gamma$  is an ordinal w/  $K \leq \gamma$ , then  $K = \gamma$ .

Pf: Let  $f: K \rightarrow \gamma$  be an injection. If  $\gamma < K$  ( $\gamma \neq K$ ), then there is an injection  $\gamma \rightarrow K$ . So by c-S-B,  $K \geq \gamma$ . But we have a contradiction as  $\gamma < K$  but  $K$  is an ordinal.  $\square$

Pf (w/o CSB): If  $f: K \rightarrow \gamma$  injective, then  $\text{ran}(f) \subseteq \gamma$  is well orded.

Then there is a strictly increasing bijection from  $\delta = \text{type}(\text{ran}(f), \prec)$  and  $\text{ran}(f)$  ( $\delta \rightarrow \text{ran}(f) \subseteq \gamma$ ). Then  $\delta \leq \gamma$  by HW2, so  $K \approx \delta$  by  $K \xrightarrow{f \text{ ran}(f) \cong \delta} \delta \Rightarrow K \approx \delta$ .

Assume AC:  $A \leq B \Leftrightarrow |A| \leq |B|$ ,  $A \approx B \Leftrightarrow |A| = |B|$ .

- For any well-ordered sets  $A, B$ ,  $A \leq B \Leftrightarrow |A| \leq |B|$ ,  $A \approx B \Leftrightarrow |A| = |B|$
- Thm: every natural # is a cardinal (if  $n < m < \omega$ , then  $n \neq m$ )

Pf: Since  $n+1 \leq m$ , it's enough to show  $n+1 \neq n$ .

Base case ( $n=0$ ): there are no (injектив) fns from  $\{=\emptyset\}$  to  $0 = \emptyset$ .

Inductive step: Suppose  $n+1 \neq n$ . Suppose for contradiction that  $f: n+2 \rightarrow n+1$

is an injection. If  $f(n+1) = n$ , then  $f \upharpoonright n+1 = f \upharpoonright \{0, \dots, n\}$  is an injection from  $n+1 \rightarrow n$ , a contradiction. Next if  $n \notin \text{ran}(f)$ ,

then  $f \upharpoonright (n+1)$  is still an injection  $n+1 \rightarrow n$ , a contradiction. Lastly, then

for some  $k < n$ ,  $f(n+1) = k$  and for some  $j < n+1$ ,  $f(j) = n$ .

Swap these values / map  $j \rightarrow k$ ; and restrict to  $(n+1)$  to produce an inj.  $\square$

- Corollary:  $\omega$  is a cardinal

Pf: If  $\omega \leq n$  for some  $n < \omega$ , then  $(n+1) \leq \omega \approx n \Rightarrow n+1 \approx n$ , contradiction.  $\square$

- Prop: An infinite cardinal is a limit ordinal (not a successor)

By Hartogs, for every cardinal, there is a strictly larger cardinal

def: for a cardinal  $K$ ,  $K^+ =$  the successor (cardinal) of  $K = \text{min}\{2 \in \text{Ord}, 2 > K\}$   
 $= \{\alpha \in \text{Ord} : |\alpha| \leq K\}$

- Note: Card is a proper class

Note: Since Card \  $\omega = \{\text{infinite cardinals}\}$  is a well-ordered proper class, we have an order-preserving, bijective class fn  $\text{Ord} \rightarrow \text{Card} \setminus \omega$  denoted  $\aleph$ ,  $\alpha \mapsto \aleph_\alpha$ ,  $(\aleph_\alpha)^+ = \aleph_{\alpha+1}$  for all  $\alpha \in \text{Ord}$ ,  $\aleph_0 = \omega$

- assuming AC (every set has a cardinality): Continuum Hyp:  $|\text{P}(\omega)| = \aleph_1$ ,

without AC: If  $\omega \leq A \leq \text{P}(\omega)$ , then either  $A \approx \omega$  or  $A \approx \text{P}(\omega)$

- def: If  $(X, \leq_X), (Y, \leq_Y)$  are linear orders,  $(X, \leq_X) \oplus (Y, \leq_Y)$  is a linear order that looks like  $\xrightarrow{\text{if } X, Y \in X}$ . To disjoin them, the underlying set is  $X \cup Y = (X \times \{\emptyset\}) \cup (Y \times \{\{1\}\})$
- IF  $\leq_X, \leq_Y$  are well orderings,  $\leq_X \oplus \leq_Y$  is also a well ordering (also  $\otimes$ )
- def:  $(X, \leq_X) \otimes (Y, \leq_Y)$  is an ordering of  $X \times Y$   $\xrightarrow{\text{if } X, Y}$
- def: for  $\alpha, \beta \in \text{Ord}$ ;  $\alpha + \beta = \text{type}(\alpha \oplus \beta)$ ,  $\alpha \beta = \text{type}(\alpha \otimes \beta)$
- note:  $\alpha \cdot 2 = \alpha + \alpha$  because  $\alpha \otimes 2 = \alpha \oplus \alpha$   $\xrightarrow{\text{if } \alpha \in \text{Ord}}$   $\xrightarrow{\alpha \in \text{Ord}}$
- $\omega + n = \text{type}(\text{initial})$   $\omega + \omega = \text{type}(\text{initial})$   $n + \omega = \omega$ :  $\vdash \vdash$
- $2 \cdot \omega = \underbrace{\omega \quad \omega \quad \dots}_{\omega} = \omega$   $w \cdot 2 = \underbrace{\omega \quad \omega}_{w} = w + w$  +, not commutative
- $\alpha + 0 = 0 + \alpha = \alpha$   $\alpha \times 0 = 0 \times \alpha = 0$  +,  $\cdot$  are associative
- $\alpha(\beta + \gamma) = \alpha\beta + \alpha\gamma$   $\xrightarrow{\text{if } \alpha, \beta, \gamma}$   $\xrightarrow{\text{if } \alpha, \beta}$   $\alpha(\beta + \gamma) \neq \alpha\beta + \alpha\gamma$   $((1+1)\omega \neq \omega + \omega)$
- $1 \cdot \alpha = \alpha \cdot 1 = \alpha$
- thm: If  $n, m < \omega$ ,  $n+m = m+n$
- PF: Induction on  $m$ . Base case ( $m=0$ ):  $n+0 = 0+n = n$
- Inductive Step: Sps  $n+m = m+n$ . WTS:  $n+(m+1) = (m+1)+n$ . Note,  
 $n+(m+1) \stackrel{\text{assoc}}{=} (n+m)+1 \stackrel{\text{def}}{=} (m+n)+1 \stackrel{\text{assoc}}{=} m+(n+1) [= m+(1+n) = (m+1)+n]$
- We need to show  $n+1 = 1+n$ . Induction on  $n$ : Base case ( $n=0$ ):  $1+0 = 0+1 = 1$
- Inductive Step: Sps  $n+1 = 1+n$ . WTS:  $1+(n+1) = (n+1)+1$ . Note,  
 $1+(n+1) = (1+n)+1 \stackrel{\text{ind hyp}}{=} (n+1)+1$  as desired.  $\square$
- def: If  $X$  is a set of ordinals,  $\sup X = \min \text{Ord} \text{ of st. } \beta \leq \alpha \text{ & } \beta \in X$
- $\sup X = \cup X$
- Lemma: Let  $(X, \leq)$  be a well ordered set. Sps  $S$  is a set of downward closed subsets of  $X$  st.  $X = \cup S$ . Then  $\text{type}(X, \leq) = \sup_{D \in S} \text{type}(D, \leq|_D)$
- Prop: for  $\alpha, \beta \in \text{Ord}$ :  $\alpha + \beta = \{ \alpha \text{ if } \beta = 0; (\alpha + \gamma) + 1 \text{ if } \beta = \gamma + 1; \sup_{\gamma < \beta} (\alpha + \gamma) \text{ if } \beta \text{ is limit}$
- Prop: for  $\alpha, \beta \in \text{Ord}$ :  $\alpha \beta = \{ 0 \text{ if } \beta = 0; \alpha \gamma + \alpha \text{ if } \beta = \gamma + 1; \sup_{\gamma < \beta} (\alpha \gamma) \text{ if } \beta \text{ is limit}$
- If  $\gamma < \beta$  then  $\alpha + \gamma < \alpha + \beta$  and  $\alpha \gamma < \alpha \beta$  (strict monotonic in 2nd argument)
- but  $\gamma + \alpha \leq \beta + \alpha$  and  $\gamma \alpha \leq \beta \alpha$
- def: for  $\alpha, \beta \in \text{Ord}$   $\alpha^\beta = \{ 1 \text{ if } \beta = 0; \alpha^\gamma \cdot \alpha \text{ if } \beta = \gamma + 1; \sup_{\gamma < \beta} \alpha^\gamma \text{ if } \beta \text{ is limit}$

- def/ Application: Given  $n < \omega$ ,  $2 \leq b \leq w$ , the base  $b$  expansion of  $n$  is  $n = b^k \cdot d_k + b^{k-1} d_{k-1} + \dots + b d_1 + d_0$  where  $0 \leq k \leq w$  and  $0 \leq d_i \leq b-1$ , called the digits of the expansion. The expansion is unique w/  $d_0 \neq 0$ .
- def: hereditary base- $2$  expansion: write each exponent in base  $2$ , then of those exponents in base  $2$ , etc...  $100 = 2^{2^2+2} + 2^{2^2+1} + 2^2$
- def: a Goodstein sequence is a sequence  $(n_\alpha)_{\alpha < 2^\omega}$  of natural numbers s.t. for all  $b \geq 2$  1) if  $n_0 = 0$ ,  $n_{b+1} = 0$  2) else, write the hereditary base- $b$  expansion of  $n_\alpha$  and replace every  $b$  by  $b+1$ , then subtract 1 ( $\alpha \neq n_0$ )
 

ex:  $n_2 = 100 = 2^{2^2+2} + 2^{2^2+1} + 2^2$ ,  $n_3 = 3^{3^2+3} + 3^{3^2+1} + 3^3 - 1 \approx 2 \cdot 10^{10}$  (big number!)  
 $= 3^{3^2+3} + 3^{3^2+1} + 3^2 \cdot 2 + 3 \cdot 2 + 2$ ,  $n_4 = 4^{4^4+4} + 4^{4^4+1} + 4^2 \cdot 2 + 4 \cdot 2 + 2 - 1 \approx 3 \cdot 10^{156}$
- thm (Goodstein): Every Goodstein sequence reaches 0 in finitely many steps
 

ex:  $n_2 = 3$  takes 7 steps to reach 0,  $n_2 = 4$  takes  $\approx 3 \cdot 2^{4 \cdot 10^9}$  steps in finite steps  
 Pf idea: replace the base by  $w$ , then the "-1" gives a decreasing seq of ordinals which must reach 0  
 $F_b(0) = 0$ ,  $F_b(n) =$  write  $n$  in hered. base  $b$ , replace  $b$  with " $w$ ".  
 Lmm: for each  $2 \leq b \leq w$ ,  $F_b: \omega \rightarrow \text{Ord}$  is strictly increasing (pf by induction)  
 Let  $(n_\alpha)_\alpha$  be a G. sequence, let  $\alpha_b = F_b(n_\alpha) \in \text{Ord}$ . Let (if  $n_b \neq 0$ )  
 $m_b = \text{Number from } n_b \text{ replacing } b \rightarrow b+1$ . Then  $n_{b+1} = m_b - 1$ , so  
 $\alpha_b = F_b(n_b) = F_{b+1}(m_b) = F_{b+1}(n_{b+1} + 1) \geq F_b(n_{b+1}) = \alpha_{b+1}$   
 but we cannot have an infinite decreasing seq of ordinals.  $\square$
- def: for two cardinals  $\kappa, \lambda$ , let  $\kappa \oplus \lambda = |\kappa \sqcup \lambda|$  with well-orderable cardinality and  $\kappa \otimes \lambda = |\kappa \times \lambda|$  (also well-orderable)
- Facts:  $n, m < \omega$  then  $n+m = n \oplus m < \omega$ ,  $n \cdot m = n \otimes m < \omega$ ,  $\oplus, \otimes$  are associative and commutative, but  $\otimes$  right distributive
- thm: for any infinite cardinal  $\kappa$ ,  $\kappa \otimes \kappa = \kappa$   
if one or  $\kappa, \lambda$  is infinite  $\kappa \oplus \lambda = \max(\kappa, \lambda)$ , if nonzero,  $\kappa \otimes \lambda = \max(\kappa, \lambda)$
- fact (AC) If  $A$  is infinite,  $A \cong A \times A$ 
  - If  $A, B$  well-ord.,  $|A \cup B| = \max\{|A|, |B|\}$
  - $B$  infinite, well-ord.,  $A \subseteq B$ ,  $|A| < |B|$ , then  $|B \setminus A| = |B|$

4) (AC) The union of all sets is  $\mathcal{C}_b$

AC: given  $A$ , which injection to  $\mathcal{C}_b$ ?  
recording which come from  
comes from

PF:  $X$  is  $\mathcal{C}_b \Rightarrow X \subseteq \omega \Rightarrow f: X \rightarrow \omega$  injection. def:  $\bigcup X = \{(A, a) : a \in A \in X\}$ .

$\bigcup X \rightarrow \bigcup X$  surjection by  $(A, a) \mapsto a$ . each  $A \in X$   $\mathcal{C}_b$ ,  $\exists g_A: A \rightarrow \omega$  injective

define  $h: \bigcup X \rightarrow \omega \times \omega = \omega$  by  $h(A, a) = (f(A), g_A(a))$ , injective.

So  $\bigcup X \subseteq \omega \times \omega = \omega$ , and hence  $\bigcup X, \bigcup X$  are countable.  $\square$

5) (AC) If  $k$  is an inf. cardinal, then the union of  $\leq k$  sets of card  $\leq k$ , has card  $\leq k$

(AC con): def: for cardinal  $k, \lambda$ , let  $k^\lambda = |\mathcal{P}(k)|$  set of all  $f: \lambda \rightarrow k$

note: ordinal exp  $\neq$  cardinal exp.  $w$  refers to the ordinal,  $\forall \alpha$  refn to the cardinal

$$\Delta 2^w = \sup_{n \in \omega} 2^n = w, \text{ but } 2^{2^w} = |\mathcal{P}(w)|$$

$$b) |\mathcal{P}(k)| = 2^\lambda \quad \forall k \in \text{card}: 2^\lambda = |\lambda^2| = |\{\{f: k \rightarrow \{0, 1\}\}|, f \mapsto \{\emptyset \in k : f(\emptyset) = 1\}$$

$$c) \text{If } \lambda \geq w, 2^\lambda \leq k^\lambda, \text{ then } k^\lambda = 2^\lambda$$

PF:  $k \geq \lambda \Rightarrow 2^\lambda \leq k^\lambda$ . For other direction:  $2^\lambda = 2^{\lambda \otimes \lambda} = (2^\lambda)^\lambda \geq 2^\lambda \geq k^\lambda \quad \square$

$\lambda^2$ : set of funs  $f: \lambda \times \lambda \rightarrow 2$  currying

$\lambda^2$ : set of funs  $F: \lambda \rightarrow (\lambda^2)$

def:  $x \in \mathbb{R}$  is algebraic if it is a root to a poly w/ integer coeffs,  
transcendental otherwise

• num:  $\exists$  transcendental numbers

PF: polys of deg  $\leq d$  w/ integer coeffs  $\approx \mathbb{Z}^{d+1} \approx \omega \approx w$ . So

l/pols w/ inty coeffs  $\leq w \otimes w = w$ , each poly has finite # poly.  $\square$

note: If  $k$  is a cardinal,  $k = \{ \alpha \in \text{Ord} : \alpha < k \} = \{ \alpha \in \text{Ord} | \alpha < k \}$ ,  $\alpha < k \Leftrightarrow |\alpha| < k$

• num (Liouville): If  $f: \mathbb{R} \rightarrow \mathbb{R}$  is any function, then  $f$  is a sum of 2 injective funs

PF: fix a bijection  $2^{2^w} \rightarrow \mathbb{R}$  by  $\alpha \mapsto X_\alpha$  ( $2^{2^w} \approx \mathbb{R}$ ), so  $\mathbb{R} = \{X_\alpha : \alpha \in 2^{2^w}\}$

Recurse on  $\alpha \in 2^{2^w}$ : Suppose  $\alpha \in 2^{2^w}$  and all  $g(X_\beta)$ ,  $h(X_\beta)$  have been

determined for all  $\beta < \alpha$ . Define  $A_\alpha = \{g(X_\beta) : \beta < \alpha\}$  (want  $g(X_\alpha) \notin A_\alpha$ )

for injectivity of  $g$ , and  $B_\alpha = \{f(X_\alpha) - h(X_\beta) : \beta < \alpha\}$  (want  $h(X_\alpha) \notin B_\alpha$  for injectivity of  $h$ ). Then  $|A_\alpha|, |B_\alpha| \leq |\alpha|$  so  $|A_\alpha \cup B_\alpha| \leq |\alpha| + |\alpha| < 2^{2^w}$

and so  $\mathbb{R} = A_\alpha \cup B_\alpha$ . (AC): Choose  $y \in \mathbb{R} \setminus (A_\alpha \cup B_\alpha)$ , define  $g(X_\alpha) = y$ .

and  $h(X_\alpha) = f(X_\alpha) - y$   $\square$

↳ true in ZF

## $\triangleright$ Additive Ramsey Theory

- Thm: If  $k < \omega$  and  $f: \omega \rightarrow k$  (color the naturals), then there exist distinct  $a, b, c, d \in \omega$  st.  $f(a) = f(b) + f(c) + f(d)$  and  $a+b=c+d$

Pf: fix  $f: \omega \rightarrow k$

Step 1: for each  $x \in \omega$ , there exist  $a < b < k+1$  and i st.

st.  $f(ax) = f(bx) = i$  (Pigeonhole).

Let  $T(x)$  be an arb. triple  $(a, b, i) \in (k+1) \times (k+1) \times k$  s.t. above holds

Step 2: There are  $x < y < z$  st.  $T(x) = T(y) = T(z)$  (Pigeonhole, finite  $< \omega$ )

Step 3: Then are  $x < y$  st.  $T(x) = T(y) = (ab, i)$  and  $y - x \neq b - a$

Pf: from Step 2, both  $y-x$  and  $z-x$  cannot be  $b-a$ .  $\square$

Now, we have that  $f(ax) = f(bx) = f(ay) = f(by) = i$

and  $(ax) + (bx) = (by) + (ay)$  distinct ( $bx \neq ay$  b/c  $y-x \neq b-a$ )  $\square$

- Q: Given  $f: \mathbb{R} \rightarrow \omega$  (color reals w/ countable colors) does statement still hold?

- Thm: "Yes" iff CH fails ( $|\mathbb{R}| = 2^{\aleph_0} > \aleph_1$ )

- Lemma/dst: for a set  $X \subseteq \mathbb{R}$ , define  $\hat{X}$  as follows:  $X = X_0$ ,

$X_{n+1} = X_n \cup \{a+b, a \cdot b : a, b \in X_n\}$ ,  $\hat{X} = \bigcup_{n \in \omega} X_n$  (additive group

generated by  $X$ ). Then 1)  $X \subseteq \hat{X}$ , 2)  $X$  is closed under  $+$ ,  $\cdot$ ,

3)  $X \subseteq Y \Rightarrow \hat{X} \subseteq \hat{Y}$  4)  $X$  countable  $\Rightarrow \hat{X}$  countable

## $\triangleright$ Cofinality

- $\aleph_\omega = \sup_n \aleph_n = \aleph_\omega$  - first limit cardinal

- def: Let  $k$  be a cardinal. The cofinality  $cf(k)$  is the least

cardinal  $\lambda$  st.  $k$  is the union of  $\lambda$  sets of cardinality  $< k$

$$\diamond \quad \aleph_0 \leq cf(\aleph_\omega) \leq \aleph_0$$

can't have finite univ. <sup>\* we write it as union of  $\omega$  sets</sup>

- def: let  $\alpha, \beta \in \text{Ord}$ . A function  $f: \alpha \rightarrow \beta$  is cofinal (in  $\beta$ ) if

$$\forall \gamma \in \beta, \exists \delta \in \alpha \text{ st. } f(\delta) \geq \gamma$$

get <sup>and</sup>  $\alpha$  close to  $\beta$ : the cofinality of  $\beta$ ,  $cf(\beta)$  is the least  $\alpha$  st.  $\exists f: \alpha \rightarrow \beta$  cofinal

$$\diamond \quad cf(\emptyset) = 0, \quad cf(1) = 1, \quad cf(2) = 1 \quad | \quad \alpha = 1 \quad cf(\alpha) = 1 \quad (\alpha \in \omega)$$

$$cf(\omega) = \omega, \quad cf(\omega+1) = \omega+1$$

$$cf(5) = 1 \quad | \quad \begin{matrix} \alpha \\ 0 \\ 1 \\ 2 \\ 3 \\ 4 \end{matrix} \quad \beta = 5 \quad | \quad cf(\beta+1) = 1 \quad (\beta \in \text{Ord})$$

$$cf(\alpha) \geq \omega \quad \forall \text{ limit } \alpha.$$

$$2\alpha = \alpha \Leftrightarrow \alpha \text{ is a limit}$$

$$\alpha \text{ is a limit} \Rightarrow \alpha \leq 2^{\aleph_0} < 2^{\aleph_0+2} = 2^{\aleph_1} = 2^\omega$$

Composition of cf. fun. is cf.

Fact: 1)  $\text{cf}(\beta)$  is a cardinal ( $|\text{cf}(\beta)| \approx \text{cf}(\beta) \rightarrow \beta \Rightarrow \text{cf}(\beta) = |\text{cf}(\beta)|$ )

2)  $\text{cf}(\beta) \leq |\beta| \leq \beta$  ( $|\beta| \rightarrow \beta$  is cofinal fn) ( $\text{cf}(\aleph_n) = \omega, n \in \mathbb{N}_n$ )

Lemma: Let  $\beta \in \text{Ord}$ . Then  $\exists$  strictly increasing cofinal fn.  $\text{cf}(\beta) \rightarrow \beta$

Lemma: Let  $\alpha, \beta \in \text{Ord}$ . If  $\exists$  strictly inc cofinal fn  $\alpha \rightarrow \beta$ , then  $\text{cf}(\alpha) = \text{cf}(\beta)$  ( $\text{cf}(\alpha) \geq \text{cf}(\beta)$ );  $\text{cf}(\alpha) \rightarrow \alpha \rightarrow \beta$

Corollaries: 1)  $\text{cf}(\beta) = \text{cf}(\text{cf}(\beta))$  2) If  $\alpha$  is a limit ad,  $\text{cf}(\aleph_\alpha) = \text{cf}(\alpha)$

Thm (AC): If  $\kappa$  is an infinite cardinal, then  $\text{cf}(\kappa^+) = \kappa^+$  ( $\kappa^+$  is smaller cardinal w/ strictly greater cardinality)

ex  $\text{cf}(\aleph_1) = \aleph_1, \text{cf}(\aleph_{\omega+3}) = \omega + 3, \text{cf}(\aleph_{\aleph_3}) = \text{cf}(\aleph_3) = \aleph_3$

def: an infinite cardinal  $\kappa$  is regular if  $\text{cf}(\kappa) = \kappa$ , singular otherwise

Ex: every successor cardinal is regular,  $\aleph_\omega$  is singular

def: a cardinal  $\kappa$  is weakly inaccessible if  $\kappa > \omega$ , regular, and a limit cardinal

def:  $\kappa$  is inaccessible if  $\kappa > \omega$ , regular, and  $\kappa > 2^\lambda$  for all  $\lambda < \kappa$

$\kappa$  weakly inaccessible  $\Rightarrow \kappa = \aleph_\alpha$  for some limit ordinal  $\alpha$  ( $\alpha \in \kappa$ )

$\kappa = \text{cf}(\kappa) = \text{cf}(\aleph_\alpha) = \text{cf}(\alpha) \leq \alpha \Rightarrow \alpha = \kappa$  so  $\kappa = \aleph_\kappa \Rightarrow$  w/o AC,

$\lambda = \sup(\aleph_0, \aleph_{\aleph_0}, \aleph_{\aleph_{\aleph_0}}, \dots)$  then  $\lambda = \aleph_\lambda$  but  $\text{cf}(\lambda) = \omega$

Thm (König)(AC): If  $\kappa$  is an infinite cardinal then  $\kappa^{cf(\kappa)} > \kappa$  (no surjection  $\kappa \rightarrow \kappa^{cf(\kappa)}$ )

Corollary:  $\text{cf}(2^\kappa) > \kappa$  If  $\text{cf}(2^\kappa) \leq \kappa$ , then  $2^\kappa \leq (2^\kappa)^{cf(2^\kappa)} \leq (2^\kappa)^{\kappa} = 2^{\kappa \cdot \kappa} = 2^{2^\kappa}$  D

Consider class of  $F$  s.t.  $F(\kappa) = 2^\kappa$  (for infinite cardinals  $\kappa$ )

↳ König:  $\text{cf}(F(\kappa)) > \kappa$   $\Rightarrow \kappa \leq \lambda \Rightarrow F(\kappa) \leq F(\lambda)$

Easton's Thm: Any fn  $F$  satisfying the above can be the map  $\kappa \mapsto 2^\kappa$  on regular card  $\kappa$ .  
(This is the only restriction on maps  $\kappa \mapsto 2^\kappa$ )

Silver's Thm:  $\aleph_\lambda$  for any singular cardinal of unctbl (ofinality) can't be the max cardinal for GCH

PCF theory (Shelah): If  $2^\kappa \leq \aleph_\omega$  then  $2^{\aleph_\omega} \leq \aleph_\omega$

AC OFF: CH:  $w \leq A \leq \aleph(w)$  then  $w \approx A$  or  $A \approx \aleph(w)$  (AC:  $2^{\aleph_0} = \aleph_1$ )

GCH: If  $X$  infinite and  $X \not\approx A \not\approx \aleph(X)$ , then  $A \approx X$  or  $A \approx \aleph(X)$  (AC:  $2^\kappa = \kappa^+$ )

Thm (Surprise): GCH  $\Rightarrow$  AC.

PF: den: Let  $X$  infinite. Show:  $X \not\approx X \times X \not\approx \aleph(X)$  and  $\aleph(X) \not\approx X \times X$ ,

by GCH,  $X \approx X \times X$ , Tarski's thm  $\Rightarrow$  AC. D

$$\alpha \text{ but } \gamma = w \cdot \beta$$

$$2\alpha = 1w \cdot \beta = w \cdot \beta = \alpha$$

finite seq of  $X$

$$\bigcup_{n \in \omega} {}^n X$$

$\subseteq$

$${}^{<\omega} X$$

no AC

↓

- Thm (Halbeisen-Specker) (no AC): If  $X$  set,  $w \leq X$ , then  $P(X) \not\subseteq {}^{<\omega} X$
- Thm (Specker): If  $S \leq X$  then  $P(X) \not\subseteq S \times X$
- Lemma: If  $X$  infinite,  $P(X) \not\subseteq X \sqcup 1$  (can't inject w/ one extra elt)
- Claim:  $X \sqcup 1 \approx X \Leftrightarrow w \leq X$

PF of Claim: Just assume  $X$ , I diagram for notation, work w/  $X \cup \{\emptyset\}$ .

(E): let  $w \rightarrow X$ ,  $n \mapsto x_n$  injection. f:  $X \cup \{\emptyset\} \rightarrow X$  by

$$f(x) = \begin{cases} x_0 & \text{if } x = \emptyset; \\ x_{n+1} & \text{if } x = x_n \text{ from } n; \\ x & \text{else} \end{cases} \quad (\Rightarrow) \text{ exten. } \square$$

PF of Lemma: We know  $P(X) \not\subseteq X$ . So if  $w \leq X$ , then  $X \approx X \sqcup 1$

implies  $P(X) \not\subseteq X \sqcup 1$ . So assume FSDC that:  $P(X) \rightarrow X \sqcup 1$  injection,

(we will show  $w \leq X$ , contradiction). WLOG we can assume  $f(\emptyset) = 0$ . Now define  $x_0 = f(\emptyset)$ ,  $x_1 = f(\{x_0\})$ ,  $x_2 = f(\{x_0, x_1\})$ , ...

$n \mapsto x_n$  is an injection  $w \rightarrow X$  bc f is injective and each  $\{x_0, \dots, x_n\}$

is finite so not  $\approx X$ , hence  $x_n \in X$  (not  $\in \{\emptyset\}$ ) and  $x_n$  are

distinct since  $\{x_0, \dots, x_n\}$  are diff sizes and f injection.  $\square$

• GCH  $\Rightarrow$  for every infinite  $X$ ,  $X \sqcup 1 \approx X$  and  $w \leq X$

• Lemma:  $\exists$  class fn  $\text{Ord} \setminus w \rightarrow \mathcal{U}: \alpha \mapsto q_\alpha$  s.t. for every ordinal  $\alpha$ ,  $q_\alpha$  is a bijection  $\alpha \times \alpha \rightarrow \alpha$ .

• Thm:  $\exists$  class fn  $\text{Ord} \setminus w \rightarrow \mathcal{U}: \alpha \mapsto p_\alpha$  s.t. for every ordinal  $\alpha$ ,  $p_\alpha$  is a bijection  ${}^{<\omega} \alpha \rightarrow \alpha$

• Cantor Normal Form: writing ordinals in base  $w$

• Thm: for every  $\alpha \in \text{Ord}$ ,  $\exists$  unique, finite, seq of ordinals

$\beta_1 > \beta_2 > \dots > \beta_k$  and  $\geq 0$  integers  $d_1, \dots, d_k$  s.t.  $\alpha = w^{\beta_1} \cdot d_1 + w^{\beta_2} \cdot d_2 + \dots + w^{\beta_k} \cdot d_k$

• Note:  $\varepsilon_0 = w^\omega = \sup(w, w^\omega, w^\omega, \dots)$  then  $w^{\varepsilon_0} = \varepsilon_0$  (CNF has  $\alpha = \beta_1$ )

• Goal: GCH  $\Rightarrow$  AC ( $\Leftrightarrow X \approx Y \times X$  for inf.  $X$ )

Steps:  $w \leq X \Rightarrow P(X) \not\subseteq {}^{<\omega} X$   $S \leq X \Rightarrow P(X) \not\subseteq S \times X$

Want explicit bijection  $\alpha \times \alpha \times \alpha \rightarrow \alpha$ ;  $\alpha$  ordinal

Pf (Main): Induction on  $\alpha$ .  $\alpha = 0$ ,  $0 = 0$  w/ CNF

Ind: Let  $\alpha > 0$ , s.t. all smaller ord. have CNF:

$$S = \{\beta \in \text{Ord}: w^\beta \leq \alpha\} \subseteq \alpha.$$

(set)

$$\sup S = \max$$

Claim:  $S$  has a largest elt.  $w^{\sup S} = \sup \{ \tilde{w}^\beta : \beta \in S \} \leq \alpha$  so  $\sup S \in S$

def: for any two ordinals  $\alpha, \beta$ , their fusion  $\alpha * \beta$  is defined as

CNF:  $\delta_1 > \delta_2 > \dots > \delta_n$  and  $c_1, c_k, d_1, \dots, d_n \in w$   $\Rightarrow \alpha * \beta = \delta_1 c_1 + \dots + \delta_n c_n$

$$\alpha = w^{\delta_1} c_1 + \dots + w^{\delta_n} c_n, \beta = w^{\delta_1} d_1 + \dots + w^{\delta_n} d_n \quad f(0,0) = 0$$

$\alpha * \beta = w^{\delta_1} f(c_1, d_1) + \dots + w^{\delta_n} f(c_n, d_n)$  (for a bijection  $f: w \times w \rightarrow w$ )

$\hookrightarrow \text{Ord} \times \text{Ord} \rightarrow \text{Ord}: (\alpha, \beta) \mapsto \alpha * \beta$  is a bijection (b/c  $f$  is bijection)

$$\alpha * \alpha \approx w^{\delta_1} \times w^{\delta_1} \approx w^{\delta_1} \approx \alpha$$

Thm:  $\exists$  (can) fn  $\text{Ord} \setminus w \rightarrow \mathcal{U}$  s.t. for each  $w \leq \alpha$ ,  $p_\alpha$  is a bijection  $\alpha \rightarrow \alpha$

(Specifying Pf): If  $w \leq X$ , then  $p(X) \not\sim X \times X \subseteq {}^{<w}X$  (say of bdy  $\omega_2$ )

Strat: Given an injection  $f: p(X) \rightarrow X \times X$ , we'll construct an injection  $w \rightarrow X$

Fact 1: If  $X$  is finite,  $|X| = n \leq w$ , then  $p(X)$  is finite too, and  $|p(X)| = 2^n$

Moreover there is a function  $\omega \rightarrow \mathcal{U}: n \mapsto q_n$  s.t.  $q_n: p(n) \rightarrow 2^n$ ,

a bijection (a canonical choice for the bijection)

Fact 2: If  $S \leq n \leq w$  then  $2^n \geq n^2$

$\Rightarrow$  If  $X$  finite,  $p(X) \not\sim X \times X$  b/c  $|p(X)| = 2^n, |X \times X| = n^2$

So assume  $X$  infinite, as  $S \leq X$ , then  $a_0, \dots, a_n \in X$  don't exist.

$\stackrel{?}{\sim} f: p(X) \rightarrow X \times X$  bijection [WANT: Inj  $n \rightarrow X: n \mapsto a_n$ ] recursively

Sp's for some  $S \leq n \leq w$ ,  $a_0, \dots, a_n \in X$  determined,

[WANT to choose  $a_n \in X \setminus S$ ,  $S = \{a_i : i \in n \cap n-1\}$ ] - well and  $p(S) \rightarrow 2^n$   $\square$

GCH  $\Rightarrow$  AC

Pf: let  $X$  infinite. GCH  $\Rightarrow w \not\sim X$ . So  $p(X) \not\sim {}^{<w}X$ .  $X \not\sim X \times X \not\sim p(X)$  ?

Claim 0:  $X \not\sim X \cup \{x\} (\Leftrightarrow w \not\sim X)$

Claim 1:  $X \not\sim X \cup X$  Pf: Obv  $X \not\sim X \cup X$ .  $p(X) \cup p(X) \approx p(X \cup \{0\})$

by  $(A, i) \mapsto \{A\}$  if  $i=0$ ,  $A \cup \{S\}$  if  $i \geq 1$ .  $X \not\sim X \cup X \not\sim p(X) \cup p(X) \approx p(X \cup \{0\}) \approx p(X)$

But HS:  $p(X) \not\sim X \cup X \not\sim {}^{<w}X$  so GCH  $\Rightarrow X \not\sim X \cup X$ .  $\square$

Claim 2:  $X \not\sim X \times X$ . Pf:  $p(X) \times p(X) \not\sim p(X \cup X)$ .

$X \not\sim X \times X \not\sim p(X) \times p(X) \not\sim p(X \cup X) \approx p(X)$  but  $p(X) \not\sim X \times X$

by HS, hence  $X \not\sim X \times X$  by GCH.  $\Rightarrow$  AC.  $\square \square \square$

- If  $U$  satisfies ( $\models$ ) ZF then  $V \models \text{ZF}$
- def:  $(U, \in)$ . let  $\varphi$  be a class,  $\psi$  be a formula. Write  $\varphi^\psi$  for the formula obtained from  $\psi$  by replacing quantifiers to  $\forall$ :  $\exists x: \exists x \rightarrow \exists x \in \varphi$ ,  $\forall x \rightarrow \forall x \in \varphi$ .
- def: if  $\psi$  is false w/o free vars, params from  $\varphi$ , then  $\psi$  holds in  $\varphi$  or  $\varphi$  satisfies  $\psi$  ( $\varphi \models \psi$ ) if  $\psi^\varphi$  is true in  $U$ .
- $A = \{\emptyset, \{\emptyset\}, \{\emptyset, \{\emptyset\}\}, \dots\}$  does not satisfy  $\text{Ext}$
- def: A class  $\varphi$  is transitive if  $x \in \varphi \rightarrow x \subseteq \varphi$ .
- Lemma: If  $U \models \text{Ext}$ ,  $\varphi$  transitive, then  $\varphi \models \text{Ext}$ .
- def:  $\psi$  is a  $\Delta_0$ -formula if every quantifier in  $\psi$  is bounded, i.e., of the form  $\forall x \in y$ ,  $\exists x \in y$  where  $y$  is a var or param or a property; do it if it can be expressed by a  $\Delta_0$  formula!
- ex. " $x \leq y$ " is  $\Delta_0$ :  $\forall z \in x (z \in y)$ , "function", etc.
- \* Lemma:  $\varphi$  is transitive,  $\psi$  ( $\Delta_0$  formula) w/o free vars, possibly params from  $\varphi$ . Then  $\varphi \models \psi \Leftrightarrow U \models \psi$  → "a is limit, sum", "nis natl #",  $x = \omega$
- If  $U \models \text{ZF}$  then " $\alpha$  is an ordinal" is  $\Delta_0$ .
- → " $x$  is well ordered" is generally not  $\Delta_0$  (b/c order is  $\Delta_0$ )
- Verifying axioms: Suppose  $U \models \text{ZF}$ , let  $C$  be transitive
  - $C \models \text{Ext}$  (since  $U \models \text{Ext}$ )
  - $C \models \text{Empty} \Leftrightarrow \emptyset \in C$
  - $C \models \text{Pair} \Leftrightarrow \exists a, b \in C \exists c \in C$  formulas
  - $C \models \text{Union} \Leftrightarrow \forall x \in C, \forall y \in x \exists c \in C$  "x is parent of y" is not  $\Delta_0$
  - $C \models \text{Power} \Leftrightarrow \forall x \in C, \{y \in C : y \subseteq x\} \in C$  is a set (in  $U$ ) by (Comp/Rep)
  - If  $w \in C$  then  $C \models \text{Inf}$  ( $\Leftarrow$  only true if  $C \models \text{Found}$ )
  - $C$  satisfies Comprehension  $\Leftrightarrow$  for all  $x \in C$ , every formula  $\psi(z)$  w/ one free var  $z$ , params from  $C$ , that  $\{\exists z \in x : C \models \psi(z)\} \in C$
  - $C$  satisfies Replacement  $\Leftrightarrow$  for every formula  $\psi(x, y)$ , free vars  $x, y$ , params from  $C$  s.t.  $\psi$  defines a class for h.c (i.e.  $\forall x \in C$ , that is at most 1  $y \in C$  s.t.  $C \models \psi(x, y)$ ) and for every  $x \in C$ , we have  $\{\exists y \in C : \exists z \in X (C \models \psi(x, y))\} \in C$

- AC holds in  $\mathcal{C} \Rightarrow$  exercise! (some process)
- Found  $\text{Ord}$ ,  $\mathcal{C} \models \text{exerc!}$
- ex: If  $\mathcal{U} \models \text{ZF}$ , and  $\mathcal{C}$  is any class, then  $\mathcal{C} \models \text{Found}$
- ex.  $\mathcal{C} = \text{Ord}$  (transitive)
  - does  $\mathcal{C} \models \text{Pair}$ ? Yes. (even though subsets of some ordinals are not ordinals)
  - $\forall \alpha \in \text{Ord}, P^{\text{on}}(\alpha) = \{\beta \in \text{Ord} : \beta \subseteq \alpha\} = \alpha + 1 \in \text{Ord}$ .  $\text{Ord} \neq \text{Pair}!$
- ex:  $\text{Ord}^{\mathcal{C}} = \{x \in \mathcal{C} : \mathcal{C} \models "x \text{ is ordinal}"\} \rightarrow$  so formulas  
 $\text{Card}^{\mathcal{C}} = \{x \in \mathcal{C} : \mathcal{C} \models "x \text{ is a cardinal}"\}$
- What are  $\text{Ord}^{\text{ord}}$ ,  $\text{Card}^{\text{ord}}$ ?

- def: an long model is a transitive class  $\mathcal{C}$  s.t.  $\text{Ord} \subseteq \mathcal{C}$ .
- ex:  $V = \bigcup_{\alpha \in \text{Card}} V_\alpha$  ( $V_0 = \emptyset$ ,  $V_{\alpha+1} = P(V_\alpha)$ ,  $V_\alpha = \bigcup_{\beta < \alpha} V_\beta$  for a limit)
- thm: If  $\mathcal{U} \models \text{ZF}^-$ , then  $V \models \text{ZF}$ .
  - if  $\text{ZF}^-$  is consistent, then  $\text{ZF}$  is consistent
- pf:  $x \in V \Rightarrow X \subseteq V$  (!) need to check  $V \models \text{ZF}^-$ 
  - now  $V \models \text{Found}$ ? Found (assuming  $\text{ZF}^-$ )  $\Leftrightarrow \forall x \neq \emptyset \exists y \in x (x \cap y = \emptyset)$
  - Want:  $\forall \emptyset \neq x \in V, \exists y \in x (x \cap y = \emptyset)$  (use rank)
- thm: If  $\mathcal{U} \models \text{ZFC}^-$ , then  $V \models \text{ZFC}$

Assume  $\mathcal{U} \models \text{ZF}$ ,  $\mathcal{C}$

- remember:  $k \in \text{Card} \setminus \omega$  regular  $\Rightarrow$  set of card.  $k$  can't be expressed as the union of  $< k$  sets of cardinality  $k$
- AC  $\downarrow$  thm: If  $k$  is inaccessible, then  $V_k \models \text{ZFC} \rightarrow$  a set!
- lemm: If  $k$  is measurable, then  $|V_k| = k$  and  $\forall x, x \in V_k \Rightarrow x \subseteq V_k$  and  $|x| < k$ .
- pf:  $k = \text{Ord} \cap V_k$  (generally,  $\alpha = \text{Ord} \cap V_\alpha \Rightarrow |V_\alpha| > k$ )
  - for  $(\leq)$ : show  $\forall \alpha < k, |\mathcal{V}_\alpha| < k$  if so, then  $V_k = \bigcup_{\alpha < k} V_\alpha =$  union of  $k$  many sets, each of card.  $< k$
  - Induction on  $\alpha < k$ .  $\forall x$   $k$  measurable ( $\forall \lambda < k, 2^\lambda < k$ )  $x \in V_\alpha \neq V_k$
- $(\Rightarrow)$ : let  $x \in V_k$ . by transitivity,  $x \subseteq V_k$ . let  $\alpha := \text{rank}(x)$ , then

not cf:  $\alpha < k, x \in V_\alpha \Rightarrow X \subseteq V_\alpha$  (transit.) then  $|X| \leq |V_\alpha| < k$   $\square$  ✓

$\exists \delta < \beta$  s.t.  $(\Leftarrow)$ : let  $x \subseteq V_k, |x| < k$ . By regularity,  $x \rightarrow k$ :  $y \mapsto \text{rank}(y)$  (can't  $\forall \delta < \beta$ , be cofinal [because:  $\text{cf}(\beta) = \beta$ , can have smaller dom cf. fn],  $f(\delta) < \delta$ ) So  $\alpha := \sup(\text{rank}(y), y \in x) < k$ . so  $x \subseteq V_\alpha \Rightarrow x \in V_{\alpha+1} \subseteq V_k$   $\square$

- $\mathcal{U}$ -formula: a set in  $\mathcal{U}$  "representing" a formula  
let #s represent =,  $\wedge$ ,  $\neg$ ,  $\exists$ . (0, ..., 4)  
 $\text{Var} = \{\text{new}: n \geq 5\}$  - the variables in  $\mathcal{U}$ -formulas  
 $\circ F = \{(=, x, y), (\in, x, y) : x, y \in \text{Var}\}$ : atomic  $\mathcal{U}$ -formulas ( $x=y$ ,  $x \in y$ )  
 $\circ n+1 F = n F \cup \{(\dot{\wedge}, f, g), (\dot{\neg}, f), (\dot{\exists}, x, f) : f, g \in F, x \in \text{Var}\}$   
 $\circ \text{new}, F = \text{new } n F$  - set of  $\mathcal{U}$ -formulas  
 for a film  $\varphi$ , use  $\Gamma \models \varphi$  to denote consistent  $\mathcal{U}$ -film  
 \*  $\mathcal{U}$ -films are finite sets,  $F \subseteq V_W$ , so  $F$  is countable ( $V_W$  is)
- $F_e = \text{class of } \mathcal{U}$ -formulas w/ params from  $e$
- def: for  $f \in F$ , let complexity:  $\text{Comp}(f) = \text{least } n < \omega \text{ s.t. } f \in F_n$
- AC, W inf:  
 $|F_n| = |W|$       ↳ induction on complexity
- $F'_W$ : set of all  $\mathcal{U}$ -formulas w/ params from  $W$ , exactly bc free vars
- Lemma: truth is not definable (no truth fn in this sense if  $a$   
 $f \in F'_W$  is true/false  $(0/1)$ ).
- Thm: running to a set  $W$ , TRUTH fn exists
- def: let  $W$  set,  $A \subseteq W$  is definable if  $\exists f \in F'_W$  s.t.  $A = \{a \in W : W \models f(a)\}$   
 then " $f$  defines  $A$  in  $W$ ", the definable power is  $D(W) := \{A \subseteq W : A \text{ definable}\}$   
 Ex of definable subsets:  
 $\circ W$  itself:  $W = \{a \in W : W \models a = a\}$   
 $\circ \emptyset = \{a \in W : W \models \neg(a = a)\}$   
 $\circ$  one elt subset:  $\{b\} = \{a \in W : W \models (b = a)\}$   
 $\circ$  finite subsets:  $\{b_1, b_2\} = \{a \in W : W \models (a = b_1) \vee (a = b_2)\} \dots$
- Lemma(1): if  $W$  is infinite,  $|D(W)| = |W|$ , so  $D(W) \neq P(W)$ .  
 Pf:  $|W| \leq |D(W)|$  by  $x \mapsto \{x\}$  (it's definable).  
 $|D(W)| \leq |F'_W| \leq |F(W)|$  by surjective  $F'_W \rightarrow D(W)$ :  
 $f \mapsto \{a \in W : W \models f(a)\}$ . And  $|F'_W| = |W|$ . D
- Lemma: If  $X \subseteq Y$ ,  $X \in Y$ , then  $D(X) \subseteq D(Y)$  ( $X \in Y$  is necessary!)

goal:  $\text{U} \models \text{ZF} \Rightarrow L \models \text{ZFC} + \text{GCH}$

(if ZF consistent, ZFC+GCH is consistent)

- $L_\alpha = \begin{cases} \emptyset & \alpha = 0 \\ D(L_\beta) & \alpha = \beta + 1 \\ \{x \in L_\beta : \alpha \in \text{Ord}\} & \text{otherwise} \end{cases}$  then  $L = \bigcup \{L_\alpha : \alpha \in \text{Ord}\}$  is Gödel's constructible universe.
- def:  $x$  is constructible if  $x \in L$ .
- $\text{ordr}(x) = \min \{\alpha \in \text{Ord} : x \in L_\alpha\}$
- Lemma:  $\alpha \in \text{Ord}$ . If  $\gamma < \alpha$ ,  $L_\gamma \subseteq L_\alpha$  and  $L_\alpha$  is transitive,  $\alpha$  is transitive.
- ex:  $\alpha \in \text{Ord}$ :  $L_\alpha = \bigcup_{\gamma < \alpha} D(L_\gamma)$ .  $\text{ordr}(x)$  is successor ordinal.  
 $y \in x \Rightarrow \text{ordr}(y) < \text{ordr}(x)$  (construction  $x, y$ ).  $L_\alpha \subseteq V_\alpha \wedge \forall \alpha, \alpha \in L \subseteq V$ .  
for finite  $w$ ,  $D(w) = P(w)$ , so  $L_n = V_n \wedge n < w$ , and also  $L_\omega = V_\omega$ .  
But  $L_{\omega+1} \neq V_{\omega+1}$ , as  $L_{\omega+1}$  is c.t.b. but  $V_{\omega+1} = P(V_\omega)$  isn't.
- Lemma:  $\alpha \in \text{Ord}$  is constructible w/  $\text{ordr}(\alpha) = \alpha + 1$ . [ $\text{Ord} \cap L_\alpha = \alpha$ ]
- Cor:  $L$  is an inner model (transitive and  $\text{Ord} \subseteq L$ )
- Thm: If  $\text{U} \models \text{ZF}$  then  $L \models \text{ZFC} + \text{GCH}$ . Hence, if ZF is consistent, ZFC+GCH is also.  
↳ If every model of A has an inner model satisfying B, then (if A consistent, then B consistent)
- def: a formula w/o params is arithmetical if all quantifiers are bounded by  $\forall w$ .
- Cor: If an arithmetical statement is provable in ZFC+GCH, then it is provable in ZF.
- Lemma: If  $\text{U} \models \text{ZF}$ , then  $L \models \text{ZF}$
- def:  $C = \bigcup \{C_\alpha : \alpha \in \text{Ord}\}$  is a stratified class if  $\text{Ord} \rightarrow U : d \rightarrow C_\alpha$  is def. by s.t.  
"  $\alpha \leq \beta \Rightarrow C_\alpha \subseteq C_\beta$ " 2)  $\alpha$  limit  $\Rightarrow C_\alpha = \bigcup \{C_\gamma : \gamma \leq \alpha\}$
- ex:  $V = \bigcup \{V_\alpha : \alpha \in \text{Ord}\}$ ,  $L = \bigcup \{L_\alpha : \alpha \in \text{Ord}\}$
- def:  $D \subseteq C$  classes,  $\forall (x_1, \dots, x_n)$  formula w/o free vars, no params.  
 $\varphi$  is absolute between  $D, C$  if  $\forall a_1, \dots, a_n \in D$ ,  $D \models \varphi(a_1, \dots, a_n) \Leftrightarrow C \models \varphi(a_1, \dots, a_n)$
- Thm: (Reflection): let  $C = \bigcup \{C_\alpha : \alpha \in \text{Ord}\}$  be a stratified class, let  $\varphi_1, \varphi_2, \dots$  be a list of formulas w/o params. Then  $\exists \beta \in \text{Ord}$  s.t. all  $\varphi_i$  are absolute b/w  $C_\beta, C$ .
- Cor:  $\forall \alpha \in \text{Ord}, \exists \beta \geq \alpha$  s.t.  $\varphi_1, \dots, \varphi_n$  are absolute b/w  $C_\beta, C$ .
- anything true in stratified class will hold in some initial segment  $L_\alpha$
- Axiom of Constructibility:  $U = L$  (every set is constructible)
- Thm:  $L \models \text{Axiom of Constructibility}$   $\boxed{F}$   $\forall y \in \dots$
- def:  $\Sigma_1$  formula if form of (existential & bounded universal quantifiers) +  $\Delta_0$  - formula  
 $\Pi_1$  formula if form of (universal & bounded existential quantifiers) +  $\Delta_0$  - formula  
negation of  $\Sigma_1$  is  $\Pi_1$   $\boxed{A}$   $\exists x \in \dots$

Operations of  $\Pi_1$  funs is equiv to some  $\Pi_1$ .

- $\Sigma_1$ -class: class defined by  $\Sigma_1$ -fmla w/o params
- $\Sigma_1$ -class fn: same (no params, 2 free vars)
- Rewrite: let  $\ell$  be a  $\Sigma_1$ -class fn st.  $\text{dom}(\ell) \subseteq \Pi_1$ .  
Then  $\ell$  is  $\Pi_1$ , as well.

$\ell: \varphi(x) = y$  is  $\Sigma_1$ -fmla (w/o params) by assumption  
 $\varphi(x) = y \Leftrightarrow \underbrace{x \in \text{dom}(\ell)}_{\Pi_1} \wedge \forall y' (y' = y \vee \neg \ell(x) = y')$

negation of  $\Sigma_1$  is  $\Pi_1$ .  $\square$

- def: a set  $A$  is  $\Sigma_1$ -identifiable if  $\{\{A\}\}$  is  $\Sigma_1$ ,
  - true if a  $\Sigma_1$  fmla  $\varphi(x)$ , no params, s.t.  $\varphi(x) \Leftrightarrow x = A$
- ex:  $w$  is  $\Sigma_1$ -identifiable (" $x = w$ " is do, so it's  $\Sigma_1$ )
- If  $A$  is  $\Sigma_1$ -identifiable, quantifiers ranging over  $A$  can be written  $\Sigma_1$  fns
  - $\forall x \in A (\dots) \Leftrightarrow \exists y (\underbrace{y = A}_{\Sigma_1} \wedge \forall x \in y (\dots))$
- $\ell$  is  $\Sigma_1$ -class fn [ $y = \ell(x)$  is  $\Sigma_1$ ] then  $\exists z \in \ell(x)$  is equiv to  $\Sigma_1$  fmla [ $\exists y (y = \ell(x) \wedge z \in y)$ ]
- thm ( $\Sigma_1$ -recursion): let  $\ell$  be  $\Sigma_1$ -class fn st. every  $\Sigma_1$ -inductive function  $f$  is in  $\text{dom}(\ell)$ . Then the unique  $\Sigma_1$ -fn class  $F: \Omega \rightarrow \mathcal{U}$  is  $\Sigma_1$ ,
  - $x \mapsto c_1(x)$  is  $\Pi_1$ , (write it out, include minimum trans. in set)  
it's also  $\Sigma_1$  (recursion)
  - lemm:  $\text{Ord} \rightarrow \mathcal{U}: \alpha \mapsto L_\alpha$  is  $\Sigma_1$ ,
    - $F$  is  $\Sigma_1$ , but it's also  $\Sigma_1$  identifiable
    - thm:  $L$  satisfies Constructibility Axiom ( $L \models$  "every set is constructible", diff from  $\mathcal{U}$ )
      - $\Sigma_1$  true in small class  $\Rightarrow$  holds in big class
    - exam:  $\mathcal{E}$  is an inner model s.t.  $\mathcal{E} \models \text{ZF}$ , then  $L \subseteq \mathcal{E}$  ( $L$  is "natural" FZ)

(weak): Comprehension Lemma: let  $S$  transitive set  $S \models \text{ZF} +$  "all sets constructible", then  $S = L \cap \text{Gc}$   
[next: what  $L \models \text{AC}$  (actually,  $L \models \text{Gc}$ )]

big identifiable fns are well orderable,  $L$  is sets defined by  $\Sigma_1$ -fns

(ZF): Lemma: there is a class fn that given a set  $W$ , well orders  $\omega^W$ ,  
out puts a well ordering  $\prec^*$  on  $D(W)$

# ZFPC

MAGIC

sabores  
varios

SABORES

- GC  $\Leftrightarrow$  bijection  $\text{Ord} \rightarrow \mathcal{U}$   $\Leftrightarrow$   $\exists$  well ordering on  $\mathcal{U}$
- Thm: If  $\mathcal{U} = L$ , then GC holds
  - ↳ we can take  $\mathcal{U} = L$  b/c  $L \models \text{ZF} + \text{Acf. (consistency)}$
- Thm: If  $\mathcal{U} = L$  then GCH holds  $\vdash_{\text{ZF}} \text{GCH}$ 
  - ↳ GCH:  $2^{\aleph_0} = \aleph_1$  & infinite cardinals  $\aleph_\kappa$  [AC holds by pres. func.]
- Def:  $a, b$  sets.  $b$  is  $\Sigma_1$ -identifiable over  $a$  if  $\exists I, f \in a$   $\varphi(x, y)$  2 free vars, no params s.t.  $x = b \Leftrightarrow \varphi(x, a)$  i.e.  $\{b\} = \{x : \varphi(x, a)\}$
- Q: how large can  $|b|$  be if  $b$  is  $\Sigma_1$ -id. over  $a$ ?
  - $X = \omega$  is  $\Delta_0$  so  $\omega$  is  $\Sigma_1$ -id. over any set (so at least cardinality of  $\omega$ )
  - $X = \alpha$  is  $\Delta_0$  (so at least cardinality of  $\alpha$ )
  - $\alpha = \{b\}$  is  $\Sigma_1$ -identifiable:  $x = b \Leftrightarrow x \in \alpha$
  - $\Rightarrow \text{tcl}(\alpha)$  is  $\Sigma_1$ -id. over  $\alpha$
- Gödel's Magic Lemma (ZF): If  $b$  is  $\Sigma_1$ -id. over  $a$  then  $|b| \leq \max\{\aleph_0, \text{tcl}(a)\}$
- Wk:  $|L_\alpha| = |\alpha|$  b/c  $\text{EON}_L$ :  $\alpha \subseteq L_\alpha \Rightarrow |\alpha| \leq |L_\alpha|$
- Thm:  $\forall \alpha \in \text{L}, |\text{ord}(\alpha)| \leq \max(\aleph_0, \text{tcl}(\alpha))$
- Ex: If  $V = L$ , then  $\forall \alpha \in \text{Ord}, V_\alpha \subseteq L_\alpha$
- Ex:  $V = L$ :  $K = V_L \Rightarrow V_K = L_K$
- Löwenheim-Skolem Thm (ZF): If  $A \subseteq B$  sets, then  $\exists A^*$  s.t.  $A \subseteq A^* \subseteq B$ ,  $|A^*| \leq \max(\aleph_0, |A|)$  and  $\forall f \in \text{FF}_{A^*}, A^* \models f \Leftrightarrow B \models f$ 
  - ↳  $A^*$  looks "indistinguishable" to  $B$  by U-S sentences but  $|A^*|$  is bounded.
  - ↳ Let  $K$  be inaccessible cardinal, then  $V_K \models \text{ZF}$ . L-S w/  $A = \emptyset, B = V_K$ 
 $\Rightarrow A^* \subseteq V_K, |A^*| \leq \aleph_0$  ( $A^*$  chl), for all  $f \in \text{FF}_{A^*}, A^* \models f \Leftrightarrow V_K \models f$
- There is a countable model of ZFC
- $A^* \models "3$  uncountable sets"
- Intuition: "for saying  $A^*$  thinks the set is unctd i.e.  $\exists S \in A^*$  s.t.  $A^* \models \exists f (f \text{ is a function } S \rightarrow \omega)$  (f you don't exist in  $A^*$ )
- $A^* \models \exists S (S = \omega)$ , so, no power, take  $S$  the 1st solution.
- $A^* \models S = \omega$ , so plain ( $\Rightarrow V_K \models S = \omega \Rightarrow S = \omega$ , so  $\omega \in A^*$ )
  - $\Rightarrow \forall (w \in A^*) \exists v \in A^*, V_w, V_{w+w}, V_{w+1}, \dots \in A^*$  ( $A^*$  is not transitive bc  $\omega \in \text{M}^{(1)} \hookrightarrow V_K \notin A^*$ )

- Thm: If  $\mathcal{C}$  be a class,  $\mathcal{C} \models \text{Ext}$ . Then  $\exists$  unique  $\text{defn}$  for  $j: \mathcal{C} \rightarrow \mathcal{U}$ 
  - (M1):  $j$  is injective
  - (M2):  $\text{ran}(j)$  is transitive
  - (M3):  $\forall x, y \in \mathcal{C}, (y \in x \Leftrightarrow j(y) \in j(x))$ .  $j$  is Mostowski collapse of  $\mathcal{C}$
  - PF: Elts of  $j(x)$  are in range of  $j$  by transitivity.
  - $j(x) = \{j(y) : y \in x, y \neq x\}$ , recursive defn on  $\text{rank}(x)$   $\square$

\*  $\mathcal{C} \models \text{Ext}$  used to show injectivity (M1)
- $A = \{\emptyset, \{\emptyset\}, \{\emptyset, \{\emptyset\}\}\}$ 
  - $\nearrow \# \text{Ext}$ , both contain  $\emptyset$  but not equal
  - $j(\emptyset) = \emptyset, j(\{\emptyset\}) = \{j(\emptyset)\} = \{\emptyset\}, j(\{\emptyset, \{\emptyset\}\}) = \{j(\emptyset), j(\{\emptyset\})\} = \{\emptyset, \{\emptyset\}\}$  Not inj.
- Gr.:  $E = \{\text{new in ext}\}, E \models \text{Ext}$ . Mostowski collapse:  $j(n) = n/2$
- ex:  $\mathcal{C} \subseteq \text{Ord}$ , proper class, Mostowski collapse of  $\mathcal{C}$  is the cycle  
 order preserving bijection  $\mathcal{C} \rightarrow \text{Ord}$
- ex: If  $\mathcal{C}$  transitive, M.C.  $\hookrightarrow$  identity.
- ex: If  $\mathcal{C} \models \text{Ext}$ ,  $A \subseteq \mathcal{C}$  transitive sub-class, then  $j|_A$ ,  $j \cap A = \text{id}_A$  ( $\text{rank } A < \omega$ )
- $j: \mathcal{C} \rightarrow \mathcal{C}'$  M. collapse,  $\mathcal{C}' = \text{ran}(j)$ , then  $j$  is isomorphism  $(\mathcal{C}, \mathcal{E}) \rightarrow (\mathcal{C}', \mathcal{E}')$   
 If  $\Psi$  is a sentence w/ params  $a_0, \dots, a_n \in \mathcal{C}$ , then  
 $\mathcal{C} \models \Psi(a_0, \dots, a_n) \Leftrightarrow \mathcal{C}' \models \Psi(j(a_0), \dots, j(a_n))$
- If  $\mathcal{C}$  is a set hold  $\Rightarrow$  for all  $\mathcal{U}$ -sets
- Gr.:  $A \subseteq \mathcal{B}$  sets,  $A$  transitive;  $\mathcal{B} \models \text{Ext}$ . Then  $\exists$  transitive set  $A' \supseteq A$ ,  
 $\Rightarrow |A'| \leq \max\{|A|, |\mathcal{B}|\}$ , and  $\forall f \in \mathcal{F}^A$   $A' \models f \in \mathcal{B} \models f$   
 (not claiming  $A' \subseteq \mathcal{B}$ , so only use params from  $A$ )
- PF: (S):  $A^*$ ,  $A \subseteq A^* \subseteq \mathcal{B}$ ,  $|A^*| \leq \max(|A|, |\mathcal{B}|)$ ,  $\forall f \in \mathcal{F}^A$   
 $A^* \models f \in \mathcal{B} \models f$ ,  $\mathcal{B} \models \text{Ext} \Rightarrow A \models \text{Ext}$  (Any M. collapse),  $j: A^* \rightarrow \mathcal{B}$ ,  
 take  $A' = \text{ran}(j)$ .  $A'$  transitive  $\checkmark$ ,  $A' \supseteq A$  b/c  $A$  transitive  
 so  $j$  is identity on  $A$ , if  $f \in \mathcal{F}^A$ ,  $A' \models f \Rightarrow A^* \models f \Rightarrow B \models f$ .  $\square$   
 ( $j$  is id on  $A$ )
- $\Rightarrow$  If  $\exists$  inaccessible  $\kappa$  card, then  $\exists$  transitive countable set satisfying ZFC

descriptive

orange

orange

interval

surfaces

angry

peanut

P

orange

anton

interval

perfect

2^{\aleph\_0}

\aleph\_0

2^{\aleph\_0}

\aleph\_0

CH

Descriptive Set Theory

- Thm (Cantor): If  $C \subseteq \mathbb{R}^n$  is closed, then  $|C| \leq \aleph_0$  or  $|C| = 2^{\aleph_0}$
- def:  $P \subseteq \mathbb{R}^n$  is perfect if it's closed and has no isolated pts.
- Thm (Cantor-Bendixson):  $C \subseteq \mathbb{R}^n$  closed  $\Rightarrow C = \bigcup P$ ,  $\bigcup P = \emptyset$ ,  $\bigcup C^c$  p. perfect
- pf:  $C$  closed,  $C = \bigcup P$ , if  $P = \emptyset$ , then  $C = \bigcup$  is cld. If  $P \neq \emptyset$ ,  $|P| \geq |P| = 2^{\aleph_0}$ .
- $A \subseteq \mathbb{R}^n$  has "perfect set property" (PSP) if  $A$  is cld or  $A$  has an empty perf. sub
- ↳ closed sets have PSP
- $\exists A \subseteq \mathbb{R}^n$ ,  $A, A^c$  both don't have PSP

"Everything" (7 words)

E L F R O C

T C E F E S

Y I A T I L

O R L N T A

N O N H N I

Y A E K D C

T R E R H O

P M O E C I