

An attempt to give the most abstract possible definition of a blockchain.

1 BlockChain

Given a set S , let $\text{LIST}(S)$ and $\text{SET}(S)$ be the sets of all finite lists and all finite sets of elements of S , respectively. Given $L \in \text{LIST}(S)$, we use notation $|L|$ to refer to the number of elements in L , and notation $L[i]$ to refer to the i -th element in L , where $i \in \{1, \dots, |L|\}$. From now on, assume that Σ is a finite alphabet, and that $\mathbf{B} \subseteq \Sigma^*$ is the set of all possible blocks.

Definition 1. A validation rule is a function $V : \text{LIST}(\mathbf{B}) \rightarrow \text{SET}(\mathbf{B})$

Intuitively V is a function taking a list L of block as input, and returning the set of blocks that could be added to L to produce a valid blockchain.

Definition 2. Let $G \in \text{LIST}(\mathbf{B})$ be non-empty, and V be a validation rule. Then a function $f : \{1, \dots, n\} \rightarrow \mathbf{B}$ with $n \in \mathbb{N}$ is a validated chain with respect to (G, V) if:

1. $|G| \leq n$ and $f(i) = G[i]$, for every $i \in \{1, \dots, n\}$.
2. $f(1) \in V([\])$ and $f(i+1) \in V([f(1), \dots, f(i)])$, for every $i \in \{1, \dots, n-1\}$.

Function f in this definition is a valid chain according to the validation rule V and the lists G of genesis blocks (whose role is to provide the blocks to startup the system). Let $\text{LOG}(G, V)$ be the set of validated chains with respect to (G, V) .

Definition 3. Let $G \in \text{LIST}(\mathbf{B})$ be non-empty, and V be a validation rule. Then $\text{LOG}(G, V)$ is safe if for every $f \in \text{LOG}(G, V)$ such that $f : \{1, \dots, n\} \rightarrow \mathbf{B}$, and every $b_1, b_2 \in \mathbf{B}$ such that $b_1 \neq b_2$:

$$V([f(1), \dots, f(n), b_1]) \cap V([f(1), \dots, f(n), b_2]) = \emptyset$$

Intuitively, in order to be secured V should depend on the last block b that is included in the blockchain.

Definition 4. We call player's knowledge a tuple (P, K_T) where P is a set of player and K_T a function:

$$K_T : P \times [0; T] \times \mathbb{R}^+ \rightarrow \mathcal{P}(\Sigma^* \times [0; 1])$$

such that:

$$\begin{aligned} \forall p \in P, \forall t \in [0; T], (b, \alpha) \in K_T(t, 0, p) &\implies \alpha = 1 \\ \forall p \in P, \forall t, t' \in [0; T], t' \geq t &\implies K_T(t', 0, p) \subseteq K_T(t, 0, p) \\ \forall p \in P, \forall t \in [0; T], \forall \delta \in \mathbb{R}^+, K_T(t, 0, p) &\subseteq K_T(t, \delta, p) \\ \forall p \in P, \forall t \in [0; T], \forall \delta, \delta' \in \mathbb{R}^+, \delta' \geq \delta &\implies \forall (b, \alpha) \in K_T(p, t, \delta), \exists (b, \alpha') \in K_T(p, t, \delta'), \alpha' \geq \alpha \end{aligned}$$

Notation. $\forall p \in P, \forall t \in [0; T]$ we denote $\{b | (b, 1) \in K_T(p, t, 0)\} : K_T(p, t)$

Definition 5. Let $T, T' \in \mathbb{R}^+$ such that $T > T'$ we say that $K_{T'}$ extend K_T if $\forall p, K_T(p, T) = K_{T'}(p, T)$

Definition 6. A block chain protocol is a function noted $P_{G,V}$:

$$P_{G,V} : (\text{LOG}_{G,V} \times \mathbb{N}) \times (\text{LOG}_{G,V} \times \mathbb{N}) \times T \rightarrow (\text{LOG}_{G,V} \times \mathbb{N})$$

such that :

$$\forall \log_{G,V}, \log'_{G,V} \in \text{LOG}_{G,V}, \forall n, n' \in \mathbb{N}, \forall t \in T; P_{G,V}(\log_{G,V}, n, \log'_{G,V}, n', t) = (\log_{G,V}, n) \vee (\log_{G,V}, n')$$

Remark. $P_{G,V}$ can be seen as the rule in case of fork and new block. Have to be improve to impose that there is no cycle (an order ?)

Definition 7. Considering an validated chain $LOG_{G,V}$, a player's knowledge (P, K_T) and a block chain protocol $P_{G,V}$. We denote $S_{t,p}$ where $t \in [0, T]$ and $p \in P$ the set of tuple :

$$S_{t,p} = \{log_{G,V}(N)^- | log_{G,V} \in LOG_{G,V} \wedge log_{G,V}(N)^- \subseteq K_T(p, t)\}$$

We call BlockChain at time $t \in [0, T]$ for user $p \in P$ noted $BC_{t,p}$ the tuple:

$$BC_{t,p} \in S_{t,p}$$

$$\forall log \in S_{t,p}, P_{G,V}((log, |log|), (BC_{t,p}, |BC_{t,p}|), t) = (BC_{t,p}, |BC_{t,p}|)$$

Remark. Intuitively the blockchain for a user p at a time t is the best chain he fully knows regarding the protocol function and the validity at time t (time-stamping).

Definition 8. We denote α^* the function

$$\mathbb{R}^+ \times LOG_{G,V} \times N \times P \rightarrow [0, 1]$$

such that :

$$\alpha^*(\delta, log_{G,V}, N, p) = \max\{\alpha | \exists b; (b, \alpha) \in K_T(p, T, \delta) \cap V(log_{G,V}(N)^-)\}$$

We said that a $LOG_{G,V}$ is alive regarding (P, K_T) iff:

$$\exists p, \exists log_{G,V}, \exists N, log_{G,V}(N)^- \in K_T(p, T) \wedge V(log_{G,V}(N)^-) \cap K_T(p, T) = \emptyset \wedge \lim_{\delta \rightarrow \infty} \alpha^*(\delta, log_{G,V}, N, p) = 1$$

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Definition 9. We call an alive set of validated chain a tuple $(LOG_{G,V}, P, K_P)$ where $LOG_{G,V}$ is an set of infinite validated chain and P, K_P an alive set of player.

Proposition. Let $(LOG_{G,V}, P, K_P)$ an alive set of validated chain then:

$$\forall log_{G,V} \in LOG_{G,V}, \forall i \in \mathbb{N}, \exists p \in P, \exists t \in T, V(log_{G,V}(i)^-) \cap K_P(p, t) \neq \emptyset$$

Remark. To be honest i am not sure as we are dealing with infinite number. I may have to trick things here. I want to ensure the fact that the chain will eventually move forward.