## 최적화 학습 알고리즘 코드 수정 2023/06/01

1. Take action  $\boldsymbol{a} \sim \pi(\cdot | \boldsymbol{s})$ , get  $(\boldsymbol{s}, \boldsymbol{a}, r, \boldsymbol{s}', \log \pi(\boldsymbol{a} | \boldsymbol{s}))$  and store in R

Replay Buffer

- 2. Sample a batch  $\{s_i, a_i, r_i, s_i', \log \pi(a_i|s_i)\}$  from buffer R
- 3. Update  $\hat{V}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i)$  using target  $y_i = r_i + \gamma \hat{V}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i')$
- 4. Evaluate  $\hat{A}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i) = r_i + \gamma \hat{V}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i') \hat{V}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i)$
- 5. Minibatch Learning on  $\{s_i, a_i, \log \pi(a_i|s_i)\}$

1. 
$$J_t(\theta) = \frac{1}{N} \sum_i \min\left(\frac{\pi_{\theta}(a_i|s_i)}{\pi(a_i|s_i)} \hat{A}^{\pi_{\theta_t}}(s_i, a_i), \sim\right)$$
 and  $\theta^1 = \theta_t$ 

2. for 
$$k = 1, ..., K$$
 do  $\theta^{k+1} \leftarrow \theta^k + \alpha \nabla_{\theta^k} J_t(\theta^k)$ 

3. 
$$\theta_{t+1} = \theta^K$$

1. Take action  $\mathbf{a} \sim \pi(\cdot | \mathbf{s})$ , get  $(\mathbf{s}, \mathbf{a}, r, \mathbf{s}', \log \pi(\mathbf{a} | \mathbf{s}))$  and store in R

/run.py#L418~457에 해당

- 2. Sample a batch  $\{s_i, a_i, r_i, s_i', \log \pi(a_i|s_i)\}$  from buffer R
- 3. Update  $\hat{V}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i)$  using target  $y_i = r_i + \gamma \hat{V}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i')$
- 4. Evaluate  $\hat{A}^{\pi_{\theta_t}}(s_i, \boldsymbol{a}_i) = r_i + \gamma \hat{V}_{\phi}^{\pi_{\theta_t}}(s_i') \hat{V}_{\phi}^{\pi_{\theta_t}}(s_i)$
- 5. Minibatch Learning on  $\{s_i, a_i, \log \pi(a_i | s_i)\}$

1. 
$$J_t(\theta) = \frac{1}{N} \sum_i \min\left(\frac{\pi_{\theta}(a_i|s_i)}{\pi(a_i|s_i)} \hat{A}^{\pi_{\theta t}}(s_i, a_i), \sim\right)$$
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$$k = 1, ..., K$$
 do  $\theta^{k+1} \leftarrow \theta^k + \alpha \nabla_{\theta^k} J_t(\theta^k)$ 

3. 
$$\theta_{t+1} = \theta^K$$

6. Repeat.

https://github.com/etri-city-traffic-brain/traffic-signal-optimization/blob/8ac45baeedda25f78d5343223ac2edee7aa44ee8/atsc-rl/multiagent\_tf2/run.py#L418

- 1. Take action  $\boldsymbol{a} \sim \pi(\cdot | \boldsymbol{s})$ , get  $(\boldsymbol{s}, \boldsymbol{a}, r, \boldsymbol{s}', \log \pi(\boldsymbol{a} | \boldsymbol{s}))$  and store in R
- 2. Sample a batch  $\{s_i, a_i, r_i, s_i', \log \pi(a_i|s_i)\}$  from buffer R
- 3. Update  $\hat{V}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i)$  using target  $y_i = r_i + \gamma \hat{V}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i')$
- 4. Evaluate  $\hat{A}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i) = r_i + \gamma \hat{V}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i') \hat{V}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i)$
- 5. Minibatch Learning on  $\{s_i, a_i, \log \pi(a_i | s_i)\}$ 
  - 1.  $J_t(\theta) = \frac{1}{N} \sum_i \min\left(\frac{\pi_{\theta}(a_i|s_i)}{\pi(a_i|s_i)} \hat{A}^{\pi_{\theta}t}(s_i, a_i), \sim\right) \text{ and } \theta^1 = \theta_t$
  - 2. for k = 1, ..., K do  $\theta^{k+1} \leftarrow \theta^k + \alpha \nabla_{\theta^k} J_t(\theta^k)$
  - 3.  $\theta_{t+1} = \theta^K$
- 6. Repeat.

ppoTF2.replayNew(): /ppoTF2.py#L765~805에 해당 run.py#L481→ppoTF2.py#L690 → ppoTF2.py#L765 순으로 호출.

https://github.com/etri-city-traffic-brain/traffic-signal-optimization/blob/8ac45baeedda25f78d5343223ac2edee7aa44ee8/atsc-rl/multiagent\_tf2/run.py#L481 https://github.com/etri-city-traffic-brain/traffic-signal-optimization/blob/8ac45baeedda25f78d5343223ac2edee7aa44ee8/atsc-rl/multiagent\_tf2/policy/ppoTF2.py#L690 https://github.com/etri-city-traffic-brain/traffic-signal-optimization/blob/8ac45baeedda25f78d5343223ac2edee7aa44ee8/atsc-rl/multiagent\_tf2/policy/ppoTF2.py#L765

- 1. Take action  $\boldsymbol{a} \sim \pi(\cdot | \boldsymbol{s})$ , get  $(\boldsymbol{s}, \boldsymbol{a}, r, \boldsymbol{s}', \log \pi(\boldsymbol{a} | \boldsymbol{s}))$  and store in R
- 2. Sample a batch  $\{s_i, a_i, r_i, s_i', \log \pi(a_i | s_i)\}$  from buffer R
- 3. Update  $\hat{V}_{\phi}^{\pi_{\theta t}}(\mathbf{s}_i)$  using target  $y_i = r_i + \gamma \hat{V}_{\phi}^{\pi_{\theta t}}(\mathbf{s}_i')$
- 4. Evaluate  $\hat{A}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i) = r_i + \gamma \hat{V}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i') \hat{V}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i)$
- 5. Minibatch Learning on  $\{s_i, a_i, \log \pi(a_i | s_i)\}$

1. 
$$J_t(\theta) = \frac{1}{N} \sum_i \min\left(\frac{\pi_{\theta}(a_i|s_i)}{\pi(a_i|s_i)} \hat{A}^{\pi_{\theta t}}(s_i, a_i), \sim\right)$$
 and  $\theta^1 = \theta_t$ 

2. for 
$$k = 1, ..., K$$
 do  $\theta^{k+1} \leftarrow \theta^k + \alpha \nabla_{\theta^k} J_t(\theta^k)$ 

- 3.  $\theta_{t+1} = \theta^K$
- 6. Repeat.

Target value 계산: /ppoTF2.py#L790 and #795

Training: /ppoTF2.py# 804

https://github.com/etri-city-traffic-brain/traffic-signal-optimization/blob/8ac45baeedda25f78d5343223ac2edee7aa44ee8/atsc-rl/multiagent\_tf2/policy/ppoTF2.py#L790 https://github.com/etri-city-traffic-brain/traffic-signal-optimization/blob/8ac45baeedda25f78d5343223ac2edee7aa44ee8/atsc-rl/multiagent\_tf2/policy/ppoTF2.py#L795 https://github.com/etri-city-traffic-brain/traffic-signal-optimization/blob/8ac45baeedda25f78d5343223ac2edee7aa44ee8/atsc-rl/multiagent\_tf2/policy/ppoTF2.py#L804

- 1. Take action  $\mathbf{a} \sim \pi(\cdot | \mathbf{s})$ , get  $(\mathbf{s}, \mathbf{a}, r, \mathbf{s}', \log \pi(\mathbf{a} | \mathbf{s}))$  and store in R
- 2. Sample a batch  $\{s_i, a_i, r_i, s_i', \log \pi(a_i|s_i)\}$  from buffer R
- 3. Update  $\hat{V}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i)$  using target  $y_i = r_i + \gamma \hat{V}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i')$
- 4. Evaluate  $\hat{A}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i) = r_i + \gamma \hat{V}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i') \hat{V}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i)$
- 5. Minibatch Learning on  $\{s_i, a_i, \log \pi(a_i | s_i)\}$

1. 
$$J_t(\theta) = \frac{1}{N} \sum_i \min\left(\frac{\pi_{\theta}(a_i|s_i)}{\pi(a_i|s_i)} \hat{A}^{\pi_{\theta t}}(s_i, a_i), \sim\right)$$
 and  $\theta^1 = \theta_t$ 

2. for 
$$k = 1, ..., K$$
 do  $\theta^{k+1} \leftarrow \theta^k + \alpha \nabla_{\theta^k} J_t(\theta^k)$ 

- 3.  $\theta_{t+1} = \theta^K$
- 6. Repeat.

Critic DNN Model: /ppoTF2.py#249

Critic Loss: /ppoTF2.py#282

https://github.com/etri-city-traffic-brain/traffic-signal-optimization/blob/8ac45baeedda25f78d5343223ac2edee7aa44ee8/atsc-rl/multiagent\_tf2/policy/ppoTF2.py#L249https://github.com/etri-city-traffic-brain/traffic-signal-optimization/blob/8ac45baeedda25f78d5343223ac2edee7aa44ee8/atsc-rl/multiagent\_tf2/policy/ppoTF2.py#L282

- 1. Take action  $\boldsymbol{a} \sim \pi(\cdot | \boldsymbol{s})$ , get  $(\boldsymbol{s}, \boldsymbol{a}, r, \boldsymbol{s}', \log \pi(\boldsymbol{a} | \boldsymbol{s}))$  and store in R
- 2. Sample a batch  $\{s_i, a_i, r_i, s_i', \log \pi(a_i|s_i)\}$  from buffer R
- 3. Update  $\hat{V}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i)$  using target  $y_i = r_i + \gamma \hat{V}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i')$
- 4. Evaluate  $\hat{A}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i) = r_i + \gamma \hat{V}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i') \hat{V}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i)$

Advantage 계산: /ppoTF2.py#795

- 5. Minibatch Learning on  $\{s_i, a_i, \log \pi(a_i | s_i)\}$ 
  - 1.  $J_t(\theta) = \frac{1}{N} \sum_i \min\left(\frac{\pi_{\theta}(a_i|s_i)}{\pi(a_i|s_i)} \hat{A}^{\pi_{\theta t}}(s_i, a_i), \sim\right)$  and  $\theta^1 = \theta_t$
  - 2. for k = 1, ..., K do  $\theta^{k+1} \leftarrow \theta^k + \alpha \nabla_{\theta^k} J_t(\theta^k)$
  - 3.  $\theta_{t+1} = \theta^K$
- 6. Repeat.

https://github.com/etri-city-traffic-brain/traffic-signal-optimization/blob/8ac45baeedda25f78d5343223ac2edee7aa44ee8/atsc-rl/multiagent\_tf2/policy/ppoTF2.py#L795

- 1. Take action  $\boldsymbol{a} \sim \pi(\cdot | \boldsymbol{s})$ , get  $(\boldsymbol{s}, \boldsymbol{a}, r, \boldsymbol{s}', \log \pi(\boldsymbol{a} | \boldsymbol{s}))$  and store in R
- 2. Sample a batch  $\{s_i, a_i, r_i, s'_i, \log \pi(a_i|s_i)\}$  from buffer R
- 3. Update  $\hat{V}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i)$  using target  $y_i = r_i + \gamma \hat{V}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i')$
- 4. Evaluate  $\hat{A}^{\pi_{\theta_t}}(s_i, a_i) = r_i + \gamma \hat{V}_{\phi}^{\pi_{\theta_t}}(s_i') \hat{V}_{\phi}^{\pi_{\theta_t}}(s_i)$
- 5. Minibatch Learning on  $\{s_i, a_i, \log \pi(a_i | s_i)\}$

1. 
$$J_t(\theta) = \frac{1}{N} \sum_i \min\left(\frac{\pi_{\theta}(a_i|s_i)}{\pi(a_i|s_i)} \hat{A}^{\pi_{\theta_t}}(s_i, a_i), \sim\right)$$
 and  $\theta^1 = \theta_t$ 

2. for 
$$k = 1, ..., K$$
 do  $\theta^{k+1} \leftarrow \theta^k + \alpha \nabla_{\theta^k} J_t(\theta^k)$ 

3. 
$$\theta_{t+1} = \theta^K$$

6. Repeat.

Training: /ppoTF2.py#803

https://github.com/etri-city-traffic-brain/traffic-signal-optimization/blob/8ac45baeedda25f78d5343223ac2edee7aa44ee8/atsc-rl/multiagent\_tf2/policy/ppoTF2.py#L803

- 1. Take action  $\mathbf{a} \sim \pi(\cdot | \mathbf{s})$ , get  $(\mathbf{s}, \mathbf{a}, r, \mathbf{s}', \log \pi(\mathbf{a} | \mathbf{s}))$  and store in R
- 2. Sample a batch  $\{s_i, a_i, r_i, s_i', \log \pi(a_i|s_i)\}$  from buffer R
- 3. Update  $\hat{V}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i)$  using target  $y_i = r_i + \gamma \hat{V}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i')$
- 4. Evaluate  $\hat{A}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i) = r_i + \gamma \hat{V}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i') \hat{V}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i)$
- 5. Minibatch Learning on  $\{s_i, a_i, \log \pi(a_i | s_i)\}$

1. 
$$J_t(\theta) = \frac{1}{N} \sum_i \min\left(\frac{\pi_{\theta}(a_i|s_i)}{\pi(a_i|s_i)} \hat{A}^{\pi_{\theta}t}(s_i, a_i), \sim\right)$$
 and  $\theta^1 = \theta_t$ 

2. for 
$$k = 1, ..., K$$
 do  $\theta^{k+1} \leftarrow \theta^k + \alpha \nabla_{\theta^k} J_t(\theta^k)$ 

3. 
$$\theta_{t+1} = \theta^K$$

6. Repeat.

Actor DNN Model: /ppoTF2.py#180

PPO Loss  $J_t(\theta)$ : /ppoTF2.py#217

https://github.com/etri-city-traffic-brain/traffic-signal-optimization/blob/8ac45baeedda25f78d5343223ac2edee7aa44ee8/atsc-rl/multiagent\_tf2/policy/ppoTF2.py#L180https://github.com/etri-city-traffic-brain/traffic-signal-optimization/blob/8ac45baeedda25f78d5343223ac2edee7aa44ee8/atsc-rl/multiagent\_tf2/policy/ppoTF2.py#L217

- 1. Take action  $a \sim \pi(\cdot | s)$ , get  $(s, a, r, s', \log \pi(a | s))$  and store in R
- 2. Sample a batch  $\{s_i, a_i, r_i, s_i', \log \pi(a_i|s_i)\}$  from buffer R
- 3. Update  $\hat{Q}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i, \mathbf{a}_i)$  using target  $y_i = r_i + \gamma \frac{1}{M} \sum_{\mathbf{a}_t'} \hat{Q}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i', \mathbf{a}_i')$ ;  $\mathbf{a}_i' \sim \pi_{\theta_t}(\cdot | \mathbf{s}_i')$
- 4. Evaluate  $\hat{A}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i) = \hat{Q}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i) \frac{1}{M} \sum_{\boldsymbol{a}_i^t} \hat{Q}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i^t); \; \boldsymbol{a}_i^t \sim \pi_{\theta_t}(\cdot \mid \boldsymbol{s}_i)$
- 5. Minibatch Learning on  $\{s_i, a_i^t, \log \pi_{\theta_t}(a_i^t | s_i)\}$ ;  $a_i^t \sim \pi_{\theta_t}(\cdot | s_i)$

1. 
$$J_t(\theta) = \frac{1}{N} \sum_i \min\left(\frac{\pi_{\theta}(a_i^t|s_i)}{\pi_{\theta_t}(a_i^t|s_i)} \hat{A}^{\pi_{\theta_t}}(s_i, a_i^t), \sim\right) \text{ and } \theta^1 = \theta_t$$

2. for 
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$$\theta_{t+1} = \theta^K$$

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이전 코드와 동일. /run.py#L418~457에 해당

- 2. Sample a batch  $\{s_i, a_i, r_i, s_i', \log \pi(a_i|s_i)\}$  from buffer R
- 3. Update  $\hat{Q}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i, \mathbf{a}_i)$  using target  $y_i = r_i + \gamma \frac{1}{M} \sum_{\mathbf{a}_t'} \hat{Q}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i', \mathbf{a}_i')$ ;  $\mathbf{a}_i' \sim \pi_{\theta_t}(\cdot | \mathbf{s}_i')$
- 4. Evaluate  $\hat{A}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i) = \hat{Q}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i) \frac{1}{M} \sum_{\boldsymbol{a}_i^t} \hat{Q}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i^t); \; \boldsymbol{a}_i^t \sim \pi_{\theta_t}(\cdot \mid \boldsymbol{s}_i)$
- 5. Minibatch Learning on  $\{s_i, a_i^t, \log \pi_{\theta_t}(a_i^t | s_i)\}$ ;  $a_i^t \sim \pi_{\theta_t}(\cdot | s_i)$

1. 
$$J_t(\theta) = \frac{1}{N} \sum_i \min\left(\frac{\pi_{\theta}(a_i^t|s_i)}{\pi_{\theta_t}(a_i^t|s_i)} \hat{A}^{\pi_{\theta_t}}(s_i, a_i^t), \sim\right) \text{ and } \theta^1 = \theta_t$$

2. for 
$$k = 1, ..., K$$
 do  $\theta^{k+1} \leftarrow \theta^k + \alpha \nabla_{\theta^k} J_t(\theta^k)$ 

3. 
$$\theta_{t+1} = \theta^K$$

- 1. Take action  $a \sim \pi(\cdot | s)$ , get  $(s, a, r, s', \log \pi(a | s))$  and store in R
- 2. Sample a batch  $\{s_i, a_i, r_i, s_i', \log \pi(a_i|s_i)\}$  from buffer R

이전 코드와 동일. /ppo.py#L1032~1041에 해당

- 3. Update  $\hat{Q}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i, \mathbf{a}_i)$  using target  $y_i = r_i + \gamma \frac{1}{M} \sum_{\mathbf{a}_t'} \hat{Q}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i', \mathbf{a}_i')$ ;  $\mathbf{a}_i' \sim \pi_{\theta_t}(\cdot | \mathbf{s}_i')$
- 4. Evaluate  $\hat{A}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i) = \hat{Q}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i) \frac{1}{M} \sum_{\boldsymbol{a}_i^t} \hat{Q}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i^t); \; \boldsymbol{a}_i^t \sim \pi_{\theta_t}(\cdot \mid \boldsymbol{s}_i)$
- 5. Minibatch Learning on  $\{s_i, a_i^t, \log \pi_{\theta_t}(a_i^t | s_i)\}$ ;  $a_i^t \sim \pi_{\theta_t}(\cdot | s_i)$

1. 
$$J_t(\theta) = \frac{1}{N} \sum_i \min\left(\frac{\pi_{\theta}(a_i^t|s_i)}{\pi_{\theta_t}(a_i^t|s_i)} \hat{A}^{\pi_{\theta_t}}(s_i, a_i^t), \sim\right) \text{ and } \theta^1 = \theta_t$$

2. for 
$$k = 1, ..., K$$
 do  $\theta^{k+1} \leftarrow \theta^k + \alpha \nabla_{\theta^k} J_t(\theta^k)$ 

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- 1. Take action  $\boldsymbol{a} \sim \pi(\cdot | \boldsymbol{s})$ , get  $(\boldsymbol{s}, \boldsymbol{a}, r, \boldsymbol{s}', \log \pi(\boldsymbol{a} | \boldsymbol{s}))$  and store in R
- 2. Sample a batch  $\{s_i, a_i, r_i, s_i', \log \pi(a_i|s_i)\}$  from buffer R
- 3. Update  $\left[\hat{Q}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i)\right]$  using target  $y_i = r_i + \gamma \frac{1}{M} \sum_{\boldsymbol{a}_t'} \hat{Q}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i', \boldsymbol{a}_i'); \ \boldsymbol{a}_i' \sim \pi_{\theta_t}(\cdot \mid \boldsymbol{s}_i')$  Critic DNN Model: /ppo.py#L328
- 4. Evaluate  $\hat{A}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i) = \hat{Q}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i) \frac{1}{M} \sum_{\boldsymbol{a}_i^t} \hat{Q}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i^t); \; \boldsymbol{a}_i^t \sim \pi_{\theta_t}(\cdot \mid \boldsymbol{s}_i)$
- 5. Minibatch Learning on  $\{s_i, a_i^t, \log \pi_{\theta_t}(a_i^t | s_i)\}$ ;  $a_i^t \sim \pi_{\theta_t}(\cdot | s_i)$

1. 
$$J_t(\theta) = \frac{1}{N} \sum_i \min\left(\frac{\pi_{\theta}(a_i^t|s_i)}{\pi_{\theta_t}(a_i^t|s_i)} \hat{A}^{\pi_{\theta_t}}(s_i, a_i^t), \sim\right) \text{ and } \theta^1 = \theta_t$$

2. for 
$$k = 1, ..., K$$
 do  $\theta^{k+1} \leftarrow \theta^k + \alpha \nabla_{\theta^k} J_t(\theta^k)$ 

3. 
$$\theta_{t+1} = \theta^K$$

- 1. Take action  $\boldsymbol{a} \sim \pi(\cdot | \boldsymbol{s})$ , get  $(\boldsymbol{s}, \boldsymbol{a}, r, \boldsymbol{s}', \log \pi(\boldsymbol{a} | \boldsymbol{s}))$  and store in R
- 2. Sample a batch  $\{s_i, a_i, r_i, s_i', \log \pi(a_i|s_i)\}$  from buffer R
- 3. Update  $\hat{Q}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i, \mathbf{a}_i)$  using target  $y_i = r_i + \gamma \left(\frac{1}{M} \sum_{\mathbf{a}_t'} \hat{Q}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i', \mathbf{a}_i'); \mathbf{a}_i' \sim \pi_{\theta_t}(\cdot | \mathbf{s}_i')\right)$
- 4. Evaluate  $\hat{A}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i) = \hat{Q}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i) \frac{1}{M} \sum_{\boldsymbol{a}_i^t} \hat{Q}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i^t); \; \boldsymbol{a}_i^t \sim \pi_{\theta_t}(\cdot | \boldsymbol{s}_i)$
- 5. Minibatch Learning on  $\{s_i, a_i^t, \log \pi_{\theta_t}(a_i^t | s_i)\}$ ;  $a_i^t \sim \pi_{\theta_t}(\cdot | s_i)$

1. 
$$J_t(\theta) = \frac{1}{N} \sum_i \min\left(\frac{\pi_{\theta}(a_i^t|s_i)}{\pi_{\theta_t}(a_i^t|s_i)} \hat{A}^{\pi_{\theta_t}}(s_i, a_i^t), \sim\right) \text{ and } \theta^1 = \theta_t$$

- 2. for k = 1, ..., K do  $\theta^{k+1} \leftarrow \theta^k + \alpha \nabla_{\theta^k} J_t(\theta^k)$
- 3.  $\theta_{t+1} = \theta^K$
- 6. Repeat.

Value for next state  $s_i'$ 

$$\hat{V}_{\phi}^{\pi_{ heta_t}}(s_i') pprox rac{1}{M} \sum_{a_i'} \hat{Q}_{\phi}^{\pi_{ heta_t}}(s_i', a_i')$$
 $\hat{a}_i' \sim \pi_{ heta_t}(\cdot | s_i')$ 

/ppo.py#L1060, #959

- 1. Take action  $a \sim \pi(\cdot | s)$ , get  $(s, a, r, s', \log \pi(a | s))$  and store in R
- 2. Sample a batch  $\{s_i, a_i, r_i, s_i', \log \pi(a_i|s_i)\}$  from buffer R
- 3. Update  $\hat{Q}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i, \mathbf{a}_i)$  using target  $y_i = r_i + \gamma \frac{1}{M} \sum_{\mathbf{a}_i'} \hat{Q}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i', \mathbf{a}_i')$ ;  $\mathbf{a}_i' \sim \pi_{\theta_t}(\cdot | \mathbf{s}_i')$

Compute target values. /ppo.py#1066

- 4. Evaluate  $\hat{A}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i) = \hat{Q}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i) \frac{1}{M} \sum_{\boldsymbol{a}_i^t} \hat{Q}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i^t); \; \boldsymbol{a}_i^t \sim \pi_{\theta_t}(\cdot \mid \boldsymbol{s}_i)$
- 5. Minibatch Learning on  $\{s_i, a_i^t, \log \pi_{\theta_t}(a_i^t | s_i)\}$ ;  $a_i^t \sim \pi_{\theta_t}(\cdot | s_i)$

1. 
$$J_t(\theta) = \frac{1}{N} \sum_i \min\left(\frac{\pi_{\theta}(a_i^t|s_i)}{\pi_{\theta_t}(a_i^t|s_i)} \hat{A}^{\pi_{\theta_t}}(s_i, a_i^t), \sim\right) \text{ and } \theta^1 = \theta_t$$

2. for 
$$k = 1, ..., K$$
 do  $\theta^{k+1} \leftarrow \theta^k + \alpha \nabla_{\theta^k} J_t(\theta^k)$ 

3. 
$$\theta_{t+1} = \theta^K$$

- 1. Take action  $a \sim \pi(\cdot | s)$ , get  $(s, a, r, s', \log \pi(a | s))$  and store in R
- 2. Sample a batch  $\{s_i, a_i, r_i, s_i', \log \pi(a_i|s_i)\}$  from buffer R
- 3. Update  $\hat{Q}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i)$  using target  $y_i = r_i + \gamma \frac{1}{M} \sum_{\boldsymbol{a}_t'} \hat{Q}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i', \boldsymbol{a}_i')$ ;  $\boldsymbol{a}_i' \sim \pi_{\theta_t}(\cdot | \boldsymbol{s}_i')$

Training: /ppo.py#1072

- 4. Evaluate  $\hat{A}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i) = \hat{Q}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i) \frac{1}{M} \sum_{\boldsymbol{a}_i^t} \hat{Q}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i^t); \; \boldsymbol{a}_i^t \sim \pi_{\theta_t}(\cdot \mid \boldsymbol{s}_i)$
- 5. Minibatch Learning on  $\{s_i, a_i^t, \log \pi_{\theta_t}(a_i^t | s_i)\}$ ;  $a_i^t \sim \pi_{\theta_t}(\cdot | s_i)$

1. 
$$J_t(\theta) = \frac{1}{N} \sum_i \min\left(\frac{\pi_{\theta}(a_i^t|s_i)}{\pi_{\theta_t}(a_i^t|s_i)} \hat{A}^{\pi_{\theta_t}}(s_i, a_i^t), \sim\right)$$
 and  $\theta^1 = \theta_t$ 

2. for 
$$k = 1, ..., K$$
 do  $\theta^{k+1} \leftarrow \theta^k + \alpha \nabla_{\theta^k} J_t(\theta^k)$ 

3. 
$$\theta_{t+1} = \theta^K$$

- 1. Take action  $\mathbf{a} \sim \pi(\cdot | \mathbf{s})$ , get  $(\mathbf{s}, \mathbf{a}, r, \mathbf{s}', \log \pi(\mathbf{a} | \mathbf{s}))$  and store in R
- 2. Sample a batch  $\{s_i, a_i, r_i, s_i', \log \pi(a_i|s_i)\}$  from buffer R
- 3. Update  $\hat{Q}_{\phi}^{\pi_{\theta t}}(\mathbf{s}_i, \mathbf{a}_i)$  using target  $y_i = r_i + \gamma \frac{1}{M} \sum_{\mathbf{a}_t'} \hat{Q}_{\phi}^{\pi_{\theta t}}(\mathbf{s}_i', \mathbf{a}_i')$ ;  $\mathbf{a}_i' \sim \pi_{\theta_t}(\cdot | \mathbf{s}_i')$
- 4. Evaluate  $\hat{A}^{\pi_{\theta_t}}(\mathbf{s}_i, \mathbf{a}_i) = \hat{Q}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i, \mathbf{a}_i) \frac{1}{M} \sum_{\mathbf{a}_i^t} \hat{Q}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i, \mathbf{a}_i^t); \; \mathbf{a}_i^t \sim \pi_{\theta_t}(\cdot | \mathbf{s}_i)$

/ppo.py#978

- 5. Minibatch Learning on  $\{s_i, a_i^t, \log \pi_{\theta_t}(a_i^t | s_i)\}$ ;  $a_i^t \sim \pi_{\theta_t}(\cdot | s_i)$ 
  - 1.  $J_t(\theta) = \frac{1}{N} \sum_i \min\left(\frac{\pi_{\theta}(a_i^t|s_i)}{\pi_{\theta_t}(a_i^t|s_i)} \hat{A}^{\pi_{\theta_t}}(s_i, a_i^t), \sim\right) \text{ and } \theta^1 = \theta_t$
  - 2. for k = 1, ..., K do  $\theta^{k+1} \leftarrow \theta^k + \alpha \nabla_{\theta^k} J_t(\theta^k)$
  - 3.  $\theta_{t+1} = \theta^K$
- 6. Repeat.

$$\hat{A}^{\pi_{\theta_t}}(s_i, a_i) = \hat{Q}_{\phi}^{\pi_{\theta_t}}(s_i, a_i) - \hat{V}_{\phi}^{\pi_{\theta_t}}(s_i)$$

$$\hat{V}_{\phi}^{\pi_{\theta_t}}(s_i) \approx \frac{1}{M} \sum_{a_i^t} \hat{Q}_{\phi}^{\pi_{\theta_t}}(s_i, a_i^t) - a_i^t \sim \pi_{\theta_t}(\cdot | s_i)$$

Sample actions according to the current policy.

- 1. Take action  $a \sim \pi(\cdot | s)$ , get  $(s, a, r, s', \log \pi(a | s))$  and store in R
- 2. Sample a batch  $\{s_i, a_i, r_i, s_i', \log \pi(a_i|s_i)\}$  from buffer R
- 3. Update  $\hat{Q}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i, \mathbf{a}_i)$  using target  $y_i = r_i + \gamma \frac{1}{M} \sum_{\mathbf{a}_t'} \hat{Q}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i', \mathbf{a}_i')$ ;  $\mathbf{a}_i' \sim \pi_{\theta_t}(\cdot | \mathbf{s}_i')$
- 4. Evaluate  $\hat{A}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i) = \hat{Q}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i) \frac{1}{M} \sum_{\boldsymbol{a}_i^t} \hat{Q}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i^t); \; \boldsymbol{a}_i^t \sim \pi_{\theta_t}(\cdot | \boldsymbol{s}_i)$
- 5. Minibatch Learning on  $\{s_i, a_i^t, \log \pi_{\theta_t}(a_i^t | s_i)\}$ ;  $a_i^t \sim \pi_{\theta_t}(\cdot | s_i)$

1. 
$$J_t(\theta) = \frac{1}{N} \sum_i \min\left(\frac{\pi_{\theta}(a_i^t|s_i)}{\pi_{\theta_t}(a_i^t|s_i)} \hat{A}^{\pi_{\theta_t}}(s_i, a_i^t), \sim\right)$$
 and  $\theta^1 = \theta_t$ 

2. for 
$$k = 1, ..., K$$
 do  $\theta^{k+1} \leftarrow \theta^k + \alpha \nabla_{\theta^k} J_t(\theta^k)$ 

3. 
$$\theta_{t+1} = \theta^K$$

6. Repeat.

Actor DNN Model: /ppoTF2.py#172

PPO Loss  $J_t(\theta)$ : /ppoTF2.py#233

기존과 동일

Actually, we do not need this one.

- 1. Take action  $a \sim \pi(\cdot | s)$ , get  $(s, a, r, s', \log \pi(a | s))$  and store in R
- 2. Sample a batch  $\{s_i, a_i, r_i, s_i', \log \pi(a_i|s_i)\}$  from buffer R
- 3. Update  $\hat{Q}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i, \mathbf{a}_i)$  using target  $y_i = r_i + \gamma \frac{1}{M} \sum_{\mathbf{a}_t'} \hat{Q}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i', \mathbf{a}_i')$ ;  $\mathbf{a}_i' \sim \pi_{\theta_t}(\cdot | \mathbf{s}_i')$
- 4. Evaluate  $\hat{A}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i) = \hat{Q}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i) \frac{1}{M} \sum_{\boldsymbol{a}_i^t} \hat{Q}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i^t); \ \boldsymbol{a}_i^t \sim \pi_{\theta_t}(\cdot | \boldsymbol{s}_i)$
- 5. Minibatch Learning on  $\{s_i, a_i^t, \log \pi_{\theta_t}(a_i^t | s_i)\}$ ;  $a_i^t \sim \pi_{\theta_t}(\cdot | s_i)$

1. 
$$J_t(\theta) = \frac{1}{N} \sum_i \min\left(\frac{\pi_{\theta}(a_i^t|s_i)}{\pi_{\theta_t}(a_i^t|s_i)} \hat{A}^{\pi_{\theta_t}}(s_i, a_i^t), \sim\right) \text{ and } \theta^1 = \theta_t$$

- 2. for k = 1, ..., K do  $\theta^{k+1} \leftarrow \theta^k + \alpha \nabla_{\theta^k} J_t(\theta^k)$
- 3.  $\theta_{t+1} = \theta^K$
- 6. Repeat.

/ppo.py#1077 and #995

Augment a batch by sampling actions according to the current policy.

- 1. Take action  $\boldsymbol{a} \sim \pi(\cdot | \boldsymbol{s})$ , get  $(\boldsymbol{s}, \boldsymbol{a}, r, \boldsymbol{s}', \log \pi(\boldsymbol{a} | \boldsymbol{s}))$  and store in R
- 2. Sample a batch  $\{s_i, a_i, r_i, s_i', \log \pi(a_i|s_i)\}$  from buffer R
- 3. Update  $\hat{Q}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i, \mathbf{a}_i)$  using target  $y_i = r_i + \gamma \frac{1}{M} \sum_{\mathbf{a}_t'} \hat{Q}_{\phi}^{\pi_{\theta_t}}(\mathbf{s}_i', \mathbf{a}_i')$ ;  $\mathbf{a}_i' \sim \pi_{\theta_t}(\cdot | \mathbf{s}_i')$
- 4. Evaluate  $\hat{A}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i) = \hat{Q}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i) \frac{1}{M} \sum_{\boldsymbol{a}_i^t} \hat{Q}_{\phi}^{\pi_{\theta_t}}(\boldsymbol{s}_i, \boldsymbol{a}_i^t); \; \boldsymbol{a}_i^t \sim \pi_{\theta_t}(\cdot \mid \boldsymbol{s}_i)$
- 5. Minibatch Learning on  $\{s_i, a_i^t, \log \pi_{\theta_t}(a_i^t | s_i)\}$ ;  $a_i^t \sim \pi_{\theta_t}(\cdot | s_i)$

1. 
$$J_t(\theta) = \frac{1}{N} \sum_i \min\left(\frac{\pi_{\theta}(a_i^t|s_i)}{\pi_{\theta_t}(a_i^t|s_i)} \hat{A}^{\pi_{\theta_t}}(s_i, a_i^t), \sim\right)$$
 and  $\theta^1 = \theta_t$ 

2. for 
$$k = 1, ..., K$$
 do  $\theta^{k+1} \leftarrow \theta^k + \alpha \nabla_{\theta^k} J_t(\theta^k)$ 

3. 
$$\theta_{t+1} = \theta^K$$

6. Repeat.

Training: /ppo.py#1089

# Q & A