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Chapter 1

Unique Factorization

1.1 Class Notes 17-01-12

For us, ring means commutative ring with identity.

Definition 1.1.1 A ring is a set with two binary operations $(+,\cdot)$ satisfying

- 1. (R, +) is an abelian group, which means
 - \bullet + is commutative and associative.
 - $\exists 0_R, a = a + 0_R = 0_R + a \text{ for all } a \in R.$
 - Given $a \in R$, $\exists a' \in R$ such that $a + a' = 0_R$.
- $2.\,\,\cdot$ is commutative and associative.

 $\exists \ 1_R \text{ such that } a \cdot 1_R = 1_R \cdot a = a \text{ for all } a \in R.$

- $3.\,\,\cdot$ is distributive over addition, which means
 - $a \cdot (b+c) = a \cdot b + a \cdot c$
 - $\bullet \ (a+b) \cdot c = a \cdot c + b \cdot c$

Exercise 1.1.1

1. Show that $a + b = a + c \Rightarrow b = c$.(Cancellation)

Proof.

$$a+b=a+c \Leftrightarrow a'+(a+b)=a'+(a+c)$$

$$\Leftrightarrow (a'+a)+b=(a'+a)+c$$

$$\Leftrightarrow 0_R+b=0_R+c$$

$$\Leftrightarrow b=c$$

2. Show a' is unique. We denote this a' by -a.

Proof. if the statement doesn't hold, then there exist a', a'' such that $a + a' = 0_R = a + a''$. We then apply cancellation and get a' = a''.

3. Show 0_R is unique.

Proof. Say there are two zero element 0_R and $0'_R$, then we have

$$0_R = 0_R + 0_R' = 0_R'$$

4. Show 1_R is unique.

Proof. Say there are two unit element 1_R and $1'_R$, then we have

$$1_R = 1_R \cdot 1_R' = 1_R'$$

5. Show $a \cdot 0_R = 0_R \cdot a = 0_R$

Proof. We know that $a \cdot 0_R + a = a \cdot (0_R + 1_R) = a \cdot 1_R = a = 0_R + a$, apply cancellation then we are done.

6. Show that $(-1_R) \cdot a = -a$.

Proof. Since
$$a \cdot 0_R = 0_R$$
, we have $a \cdot (1_R + (-1_R)) = 0_R$ or $a + (-1_R) \cdot a = 0_R$. Then $-a = (-1_R) \cdot a$, for a' is unique.

7. The zero ring is the ring with 1 element. Show R is zero ring $\Leftrightarrow 1_R = 0_R$.

Proof.

" \Rightarrow ": Trivial.

" \Leftarrow ": Since we have $a \cdot 1_R = 1_R \cdot a = a$ for all $a \in R$ and $1_R = 0_R$, we have $0_R = a \cdot 0_R = a$ for all $a \in R$.

8. Does cancellation hold for \cdot ?

Sol. No. Consider $a \cdot b = a \cdot c$ and $a \neq 0_R$, then $a \cdot (b - c) = 0_R$. So if R is an integral domain, then we can apply cancellation of non-zero element.

Definition 1.1.2 R is said to be an *integral domain* if

$$a \cdot b = 0 \iff a = 0 \text{ or } b = 0.$$

Definition 1.1.3 R is said to be a field if every non-zero element in R has a multiplication inverse.

Exercise 1.1.2

- 1. If R is an integral domain, then we can apply cancellation of non-zero element.
- 2. Show that every field is an integral domain.

Proof. If
$$a \cdot b = 0$$
 and $a \neq 0_R$, let a' be the multiplication inverse of a , then $b = 1_R \cdot b = a' \cdot a \cdot b = a' \cdot 0_R = 0$.

3. Check that a^{-1} is unique.

Proof. If a^{-1} and a' are both multiplication inverse of a, then $a \cdot a^{-1} = a \cdot a' = 1_R$. Apply cancellation of non-zero element, we have $a' = a^{-1}$.

Remark 1.1.1 Though every field is an integral domain, not every integral domain is a field. For example, \mathbb{Z} is an integral domain but not a field.

Ways to make new rings:

Let R be an integral domain, how to construct a new ring?

Let $K = \{(a,b), a,b \in R, b \neq 0\}$. We also define an equivalent relation $(a,b) \sim (c,d)$ if ad = bc.

- Check this is an equivalent class.
 - -(a,b) = (a,b)
 - if $(a,b) \sim (c,d)$ and $(c,d) \sim (e,f)$, then $(a,b) \sim (e,f)$
- We define

$$- (a,b) + (c,d) = (ad + bc.bd) - (a,b) \cdot (c,d) = (ac,bd)$$

Check these two operation pass to equivalent class.

• $0_K = [(0, 1_R)], 1_K = [(1_R, 1_R)]$

Definition 1.1.4 If R, S are two rings, a homomorphism $\phi: R \to S$ is a map such that

- 1. $\phi(1_R) = 1_S$.
- 2. $\phi(a+b) = \phi(a) + \phi(b)$.
- 3. $\phi(ab) = \phi(a)\phi(b)$.

An isomorphism is a homomorphism that is both injective and surjective.

 $\phi: R \to S, a \mapsto [(a, 1_R)]$ is an injective homomorphism. For example, we have $\mathbb{Z} \subset \mathbb{Q}$.

Remark 1.1.2 If R is a field, then the homomorphism is isomorphism, i.e., ϕ is also surjective. Because for any $[(a,b)] \in K$, we have $\phi(ab^{-1}) = [(ab^{-1},1)] = [(a,b)]$.

Ways to kill elements:

Definition 1.1.5 An ideal I in R is a non-empty subset such that

- 1. I is closed under addition.
- 2. I is closed under multiplication by arbitrary elt in R.

Note that $(I, +) \subset (R, +)$ is an abelian subgroup.

■ Example 1

- (0) is an ideal.
- R itself is an ideal.
- if $a \in R$, the $R \cdot a$ is an ideal, denoted by $(a)_R$.
- $n\mathbb{Z}$ is an ideal in \mathbb{Z} .

Quotient Ring: Let $I \subset R$ be an ideal. $R/I = \text{coset of } I \text{ in } R = \{a + I, a \in R\}$, we define

- 1. $(a+I) \oplus (b+I) = (a+b) + I$.
- 2. $(a+I) \odot (b+I) = ab + I$.

with zero elt (0+I) and identity elt (1+I).

1.2 Unique Factorization in \mathbb{Z}

It will be more convenient to work with \mathbb{Z} rather than restricting ourselves to the positive integers. The notion of divisibility carries over with no difficulty to \mathbb{Z} . If p is a positive prime, -p will also be a prime. We shall not consider 1 or -1 as primes even though they fit the definition. This is simply a useful convention. They are called the units of \mathbb{Z} .

There are a number of simple properties of division that we shall simply list.

- 1. $a \mid a, a \neq 0$.
- 2. If $a \mid b$ and $b \mid a$, then $a = \pm b$.
- 3. If $a \mid b$ and $b \mid c$, then $a \mid c$.
- 4. If $a \mid b$ and $a \mid c$, then $a \mid (b+c)$.

Lemma 1 Every nonzero integer can be written as a product of primes.

Theorem 1.2.1 For every nonzero integer n there is a prime factorization

$$n = (-1)^{\varepsilon(n)} \prod_{p} p^{a(p)},$$

with the exponents uniquely determined by n. In fact, we have $a(p) = \operatorname{ord}_p n$.

The proof if this theorem if is not as easy as it may seem. We shall postpone the proof until we have established a few preliminary results.

Lemma 2 If $a, b \in \mathbb{Z}$ and $b \geq 0$, there exist $q, r \in \mathbb{Z}$ such that a = qb + r with $0 \leq r < b$.

Definition 1.2.1 If $a_1, a_2, \ldots, a_n \in \mathbb{Z}$, we define (a_1, a_2, \ldots, a_n) to be the set of all integers of the form $a_1x_1 + a_2x_2 + \cdots + a_nx_n$ with $x_1, x_2, \ldots, x_n \in \mathbb{Z}$.

Remark 1.2.1 Let $A = (a_1, a_2, ..., a_n)$. Notice that the sum and difference of two elements in A are again in A. Also, if $a \in A$ and $r \in \mathbb{Z}$, then $ra \in A$, i.e., A is an ideal in the ring \mathbb{Z}

Lemma 3 If $a, b \in \mathbb{Z}$, then there is a $d \in \mathbb{Z}$ such that (a, b) = (d)

Definition 1.2.2 Let $a, b \in \mathbb{Z}$. An integer d is called a greatest common divisor of a and b if d is a divisor of both a and b and if every other common divisor of a and b divides d.

Remark 1.2.2 The gcd of two numbers, if it exists, is determined up to sign.

Lemma 4 Let $a, b \in \mathbb{Z}$. If (a, b) = (d) then d is a greatest common divisor of a and b.

Definition 1.2.3 We say that two integers a and b are relatively prime if the only common divisors are ± 1 , the units.

It's fairly standard to use the notation (a, b) for the greatest common divisor of a and b. With this convention we can say that a and b are relatively prime if (a, b) = 1.

Proposition 1.2.2 Suppose that $a \mid bc$ and that (a, b) = 1. Then $a \mid c$.

Corollary 1.2.3 If p is a prime and $p \mid bc$, then either $p \mid b$ or $p \mid c$.

Corollary 1.2.4 Suppose that p is a prime and that $a, b \in \mathbb{Z}$. Then $\operatorname{ord}_p ab = \operatorname{ord}_p a + \operatorname{ord}_p b$.

1.3 Class Notes 17-01-12

Definition 1.3.1 A non-zero element in \mathbb{R} is called a unit if $\exists v \in \mathbb{R}$ such that $uv = 1_{\mathbb{R}}$.

Definition 1.3.2 Two element $a, b \in \mathbb{R}$ are said to be associative if $\exists a \in \mathbb{R}$ such that a = bu, denoted by $a \sim b$.

Definition 1.3.3 A non-zero element π in \mathbb{R} is said to be irreducible if π is not a unit and if $a \mid \pi \Rightarrow a$ is a unit or a is associative of π .

Definition 1.3.4 A non-zero element in \mathbb{R} is said to be prime if π is not a unit and $\pi \mid ab \Rightarrow \pi \mid a$ or $\pi \mid b, \forall a, b \in \mathbb{R}$.

Proposition 1.3.1 If π is a prime, then π is irreducible.

Proof. Let π be a prime, suppose $a \mid \pi$, then $\pi = ab$ for some $b \in \mathbb{R}$. Thus $\pi \mid ab$ and by definition, $\pi \mid a$ or $\pi \mid b$.

- If $\pi \mid a$, then $a \sim \pi$.
- If $\pi \mid b$, then $a \sim 1$.

1.3 Class Notes 17-01-12

Remark 1.3.1 A irreducible is not necessary to be a prime.

Let $R = \mathbb{Z}[\sqrt{5}] = \{a + b\sqrt{-5} \mid a, b \in \mathbb{Z}\} \subset \mathbb{C}$. We have

$$6 = 2 \cdot 3 = (1 + \sqrt{-5}) \cdot (1 - \sqrt{-5}).$$

We write $\pi = (1 + \sqrt{-5})$ and claim that $2, 3, \pi, \overline{\pi}$ are irreducibles but none of them are associative of each other.

We define the norm function $N: R \to \mathbb{Z}$, where $N(\alpha) = \alpha \overline{\alpha}$, i.e., if $\alpha = a + bi$, then $N(\alpha) = a^2 + 5b^2$. We notice that

- If $\alpha > 0$, then $N(\alpha) > 0$.
- $N(\alpha\beta) = N(\alpha)N(\beta)$.

Check: 2 is irreducible:

Find unit:

 $N(uv) = N(1) = 1 = N(u)N(v) \Rightarrow N(u) = N(v) = 1$. But $a^2 + 5b^2 = 1 \Rightarrow a = \pm 1, b = 0$. Suppose $2 = \alpha\beta$, then $4 = N(2) = N(\alpha\beta) = N(\alpha)N(\beta)$.

1. If $N(\alpha) = 1, N(\beta) = 4$

Then α is a unit \Rightarrow 2 is irreducible.

2. If $N(\alpha) = 2, N(\beta) = 2$

Then $a^2 + 5b^2 = 2$ has no solution.

Definition 1.3.5 An UFD (Unique Factorization Domain) is an integral domain R in which every non-zero element (up to unit) factors uniquely into a product of irreducibles.

Proposition 1.3.2 Let R be a domain in which factorization (of irreducibles) exists. Then R is a $UFD \Leftrightarrow every \ irreducible \ in \ R$ is prime.

Proof.

" \Leftarrow ": Let a be an element of R and $a \neq 0$. If $a = \pi_1 \pi_2 \cdots \pi_n = \sigma_1 \sigma_2 \cdots \sigma_m$ are two factorizations. Since π_1 is prime, $\pi_1 \mid \sigma_i$ for some i. By rearranging, we may assume $\pi_1 \mid \sigma_1$, Thus $\pi_1 \sim \sigma_1$. Repeating this process, we can conclude that the two factorizations are the same.

******Not Complete*****

Remark 1.3.2 There are clearly rings such that no factorization exists. For example, consider the ring $\mathbb{Z}[2^{1/2}, 2^{1/4}, 2^{1/8}, \ldots] \subset \mathbb{R}$. It's the smallest subring of \mathbb{R} that contains $2^{1/2}, 2^{1/4}, \ldots$

Definition 1.3.6 A ring R is said to be noetherian if it satisfies any of the following equivalent conditions:

- 1. Any ascending chain of ideals in R terminates.
 - Namely, $I_1 \subset I_2 \subset I_3 \subset \cdots \Rightarrow I_n = I_{n+1} = \cdots$ for some n.
- 2. Any ideal I in R is finite generated.
 - Namely, $I = (a_1, \ldots, a_n)$ for some n.

Proof.

"1. \Rightarrow 2.": Let I be an ideal, if $I \neq 0$, pick $a_1 \in I$, $a_1 \neq 0$, clearly $(a_1) \subset I$. If $(a_1) = I$, we are done, If not, $\exists a_2 \in I \setminus (a_1) \Rightarrow (a_1, a_2) \subset I$, this chain terminates.

"1. $\Leftarrow 2$.": Suppose $I_1 \subset I_2 \subset \ldots$ be an ascending ideal. Let $I = \cup I_n$, we claim that I is an ideal. Let $a, b \in I$, then there exists n such that $a, b \in I_n$. Therefore $a + b \in I_n$, and $a + b \in I$. Let $a \in I$, then $a \in I_n$ for some n. Therefore $ra \in I_n \implies ra \in I$. Thus I is an ideal. But $I = (a_1, \ldots, a_m)$, so there exists n, such that $a_1, \ldots, a_m \in I_n$. Thus $I = I_n$ and $I_n = I_{n+1} = \cdots$.

Exercise 1.3.1 Suppose R is a Noetherian domain, show R admits factorizations.

Proof. If b is not irreducible, then b = ac or $(b) \subset (a)$

******Not Complete*****

Definition 1.3.7 A PID (Principle Ideal Domain) is a domain in which every ideal is generated by a single element.

Theorem 1.3.3 Every PID is a UFD.

Proof. Let R be a PID, then it's noetherian. So factorizations exist. So it suffices to show that every irreducible is a prime. Let π be a irreducible in R. Suppose $\pi \mid ab$ and a is not divided by π . We look at $I = (a, \pi)$, there exists $c \in R$, such that I = (c). Thus we have $c \mid \pi, c \mid a$. So $c \sim 1$ or $c \sim \pi$. Since c is not associative of π , c is associative of 1. But then

$$1 = ax + \pi y$$

for some $x, y \in R$. So $b = abx + \pi by$ or $\pi \mid b$.

1.4 Class Notes 17-01-17

Example 2 \mathbb{Z} is a PID.

Remark 1.4.1 Any ideal $I \subset \mathbb{Z}$ is of the form of $n\mathbb{Z}$.

Proof. $\forall I \subset \mathbb{Z}$, if I = (0), we are done. If I is not zero ideal, let n be the smallest positive element in I. We claim: $I = n\mathbb{Z}$. Let $b \in I$, then b = nq + r, where $0 \le r < n$. But $r = b - nq \implies r \in I \implies r = 0$. Therefore b = nq.

If K is a field, let R=k[x]= polynomial in variable x over the field K. What are the units in R? For arbitrary $f(x),g(x)\in K[x]$, if f(x)g(x)=1, we claim that f(x),g(x) must be constant polynomial. For if we write $f(x)=a_nx^n+a_{n-1}x^{n-1}+\cdots$, $g(x)=b_mx^m+b_{m-1}x^{m-1}+\cdots$. Then $f(x)g(x)=a_nb_mx^{m+n}+\cdots$. Since $a_n\neq 0,b_m\neq 0$ and K is an integral domain, we have $a_nb_m\neq 0$. Therefore

$$\deg f(x)g(x) = \deg f(x) + \deg g(x).$$

We then apply this conclusion to f(x)g(x) = 1 and get $\deg f(x) \deg g(x) = \deg 1 = 0$, thus f(x), g(x) must be constant.

Remark 1.4.2 Whether a polynomial is irreducible depends on the field. For example, if $x^2 + 1 \in \mathbb{R}[x]$, then it's irreducible (why?). But if $x^2 + 1 \in \mathbb{C}[x]$, then it's reducible (why?).

Division Algorithm: Let $f(x), g(x) \in K[x], g(x) \neq 0$, then there exists $g(x), r(x) \in K[x]$, such that

$$f(x) = g(x)q(x) + r(x),$$

where r(x) = 0 or $0 \le \deg r(x) < \deg g(x)$. Using this fact, we have the following theorem.

Theorem 1.4.1 K[x] is a PID.

Proof. For all ideal $I \in K[x]$, if I = (0), we are done. If $I \neq (0)$, let $g(x) \in I$ be the polynomial of least degree, let $f(x) \in I$, then

$$f(x) = g(x)q(x) + r$$

with r = 0 or $0 \le \deg r(x) < \deg g(x)$ by division algorithm. But then r(x) = 0, for otherwise r(x) will be a polynomial whose degree is less than g(x). Therefore f(x) = g(x)q(x), $f(x) \in (g(x))$.

Definition 1.4.1 A domain R is said to be an Euclidean domain if there exists a function $\lambda: \mathbb{R} \setminus \{0\} \to \mathbb{Z}^{\geq 0}$, such that given $a, b \in R, b \neq 0$, there exist $q, r \in R$ such that a = qb + r and either r = 0 or $0 \leq \lambda(r) < \lambda(b)$.

Example 3 $R = \mathbb{Z}[i]$ is an Euclidean domain.

Proof. Let $N(\alpha) = \alpha \overline{\alpha} = a^2 + b^2$ (if $\alpha = a + bi$). Let $\alpha, \beta \in R, \beta \neq 0$, we have

$$\frac{\alpha}{\beta} = \frac{a+bi}{c+di} = \frac{ac+bd}{c^2+d^2} + \frac{bc-ad}{c^2+d^2}i = r+si.(r,s\in\mathbb{Q})$$

Let $m + ni \in \mathbb{Z}[i]$ be the closest element to r + si. We denote r' = r - m, s' = s - n, then $\frac{\alpha}{\beta} = r + si = m + ni + r' + s'i$, or

$$\alpha = \beta(m+ni) + \beta(r'+s'i),$$

where $(m+ni) \in \mathbb{Z}[i]$ and $\beta(r'+s'i) \in \mathbb{Z}[i]$, we remain to show that $N(\beta(r'+s'i)) < N(\beta)$. This is the case because

$$\begin{split} N(\beta(r'+s'i)) &= N(\beta)N(r'+s'i) \\ &\leq N(\beta)(\frac{1}{4}+\frac{1}{4}) \\ &< N(\beta) \end{split}$$

We are done.

The Natural question is what are the units in $\mathbb{Z}[i]$? Does a prime in \mathbb{Z} still a prime in Z[i]? To answer the first question, we assume u is a unit in $\mathbb{Z}[i]$. Then by definition there exists some v such that uv = 1. But then $1 = N(1) = N(uv) = N(u)N(v) \implies N(u) = 1$. Thus the only possible values of u is $\pm 1, \pm i$. We also check they are actually units. Now, to answer the second question, we try some small cases. We look at 5, 7, 11 and 13.

- Example 4 If 5 = ab, $a, b \in \mathbb{Z}[i]$, then $25 = N(5) = N(ab) = N(a)N(b) \implies N(a) = 5$. So a can only be $\pm 1 \pm 2i$ or $\pm 2 \pm i$. We try by hand and find 5 = (2 + i)(2 i) is a factorization, so 5 is not a prime.
- Example 5 If 7 = ab, $a, b \in \mathbb{Z}[i]$, then $49 = N(5) = N(ab) = N(a)N(b) \implies N(a) = 7$. We try by hand and find no factorization, so 7 is a prime.

Use the same method, we find 5, 13 are not prime while 7, 11 are prime.

Remark 1.4.3 Obervation:

- 1. If $p \equiv 1 \pmod{4}$, then $p = \pi \overline{\pi}$, where π is a irreducible.
- 2. If $p \equiv 3 \pmod{4}$, then p remains prime.
- 3. If p = 2, $2 = (1+i)(1-i) = (-i)(1+i)^2$ (ramification).

Remark 1.4.4 Let $R = \mathbb{Z}[\omega]$, where ω is a primitive cube root of 1, then R is a Euclidean domain.

1.5 Unique Factorization in k[x]

In this section we consider the ring k[x] of polynomials with coefficients in a field k. If $f, g \in k[x]$, we say that f divides g if there is an $h \in k[x]$ such that g = fh.

If deg f denotes the degree of f, we have deg $fg = \deg f + \deg g$ (why? Because a field k is necessarily an integral domain). nonzeros constants are the units of k[x]. A nonconstant polynomial p is said to be irreducible if $q \mid p \implies q$ is either a constant or a constant times p.

Lemma 5 Every nonconstant polynomial is the product of irreducible polynomials.

Proof. Simply by induction.

Definition 1.5.1 A polynomial f is called monic if its leading coefficient is 1.

Definition 1.5.2 Let p be a monic irreducibe polynomial. We define $\operatorname{ord}_p f$ to be the integer a defined by the property that $p^a \mid f$ but that $p^{a+1} \nmid f$.

Remark 1.5.1 ord_p f = 0 iff $p \nmid f$.

Theorem 1.5.1 Let $f \in k[x]$. Then we can write

$$f = c \prod_{p} p^{a(p)},$$

where the product is over all monic irreducible polynomials and c is a constant. The constant c and the exponents a(p) are uniquely determined by f; in fact, $a(p) = \operatorname{ord}_p f$.

The existence of such a product follows immediately from Lemma 5. The uniqueness part is more difficult and will be postponed.

Lemma 6 Let $f, g \in k[x]$. If $g \neq 0$, there exist polynomials $h, r \in k[x]$ such that f = hg + r, where either r = 0 or $r \neq 0$ and $\deg r \leq \deg g$.

Proof. If $g \mid f$, we are done. If $g \nmid f$, let r = f - hg be the polynomial of least degree among all polynomials of the form f - lg with $l \in k[x]$. We claim that $\deg r < \deg g$. If not, let the leading term of r be ax^d and that g be bx^m . Then $r - \frac{a}{b}x^{d-m}g(x) = f - (h + \frac{a}{b}x^{d-m})g$ has smaller degree than r and is of the given form. This is a contradiction.

Lemma 7 Given $f, g \in k[x]$ there is a $d \in k[x]$ such that (f, g) = (d).

Proof. See Theorem 1.4.1.

Definition 1.5.3 Let $f, g \in k[x]$. Then $d \in k[x]$ is said to be a greatest common divisor of f and g if d divides f and g and every common divisor of f and g divides d.

Remark 1.5.2 Notice that the greatest common divisor of two polynomials is determined up to multiplication by a constant. If we require it to be monic, it is uniquely determined and we may speak of the greatest common divisor.

Lemma 8 Let $f, g \in k[x]$ By lemma 7 there is a $d \in k[x]$ such that (f, g) = (d). d is the greatest common divisor of f and g.

Proof. Since $f \in (d)$ and $g \in (d)$ we have $d \mid f$ and $d \mid g$. Suppose that $h \mid f$ and that $h \mid g$. Then h divides every elements in (f,g)=(d). In particular $h \mid d$, we are done.

Definition 1.5.4 Two polynomial f and g are said to be relatively prime if the only common divisor of f and g are constants. In other words, (f,g)=(1).

Proposition 1.5.2 If f and g are relatively prime and $f \mid gh$, then $f \mid h$.

Corollary 1.5.3 If p is an irreducible polynomial and $p \mid fg$, then $p \mid g$ or $p \mid g$.

Corollary 1.5.4 If p is a monic irreducible polynomial and $f, g \in k[x]$, we have

$$\operatorname{ord}_p fg = \operatorname{ord}_p f + \operatorname{ord}_p g.$$

Using these tools, we can prove the uniqueness of factorizaion.

1.6 Unique Factorizaion in a Principal Ideal Domain

For this section, we mostly refer to Section 1.3 and supply some details.

Chapter 2

Congruence

2.1 Class Notes 17-01-19

Definition 2.1.1 We write $a \equiv b \pmod{p}$, if $p \mid (a - b)$.

Remark 2.1.1 To solve $ax \equiv b \pmod{m}$ in \mathbb{Z} is the same to solve [a]x = [b] in $\mathbb{Z}/m\mathbb{Z}$.

We now try to solve the equation $a \equiv b \pmod{m}$.

Proposition 2.1.1 A necessary and sufficient condition for this equation to have solutions is $d \mid b$, where d = (a, m) is the gcd of a and m.

<u>Think About:</u> $ax \equiv 1 \pmod{m}$ has solutions is equivalent to (a, m) = 1.

Proof.

" \Rightarrow ": If we have some solution x_0 such that $ax_0 \equiv 1 \pmod{m}$. Then $ax_0 = 1 + mt$ so that (a, m) = 1.

" \Leftarrow ": If (a, m) = 1, then there exists x_0, t such that $1 = ax_0 - mt$, so $ax_0 \equiv 1 \pmod{m}$.

Remark 2.1.2 In $\mathbb{Z}/m\mathbb{Z}$, $[a]x \equiv [1]$ implies that [a] is a unit.

Definition 2.1.2 $\phi(m) = \#$ of units in $\mathbb{Z}/m\mathbb{Z}$.

Now we give the formal proof of our proposition.

Proof. Suppose x_0 is a solution, then there exist t such that

$$ax_0 = b + mt$$
,

Since $(a, m) \mid a$, $(a, m) \mid m$, we have $(a, m) \mid b$. Conversely, suppose $(a, m) \mid b$, we may write b as b = (a, m)b'. Similarly, a = (a, m)a' and m = (a, m)m' with (a', m') = 1. Denote d := (a, m), then $da'x \equiv db' \pmod{dm'}$, $a'x \equiv b' \pmod{m'}$. Since (a', m') = 1, $a'x \equiv b' \pmod{m'}$ has solutions.

Remark 2.1.3 According to the proof, we will have d = (a, m) solutions.

Now we want to introduce Chinese Remainder Theorem in \mathbb{Z} . We want to solve a system of congruence equations. Namely, we are looking at the system

$$x \equiv a_1 \pmod{m_1}$$

$$x \equiv a_2 \pmod{m_2}$$

$$\vdots$$

$$x \equiv a_n \pmod{m_n}$$

where m_i are pairwise coprime.

Theorem 2.1.2 (Chinese Remainder Theorem).

The system always admits solutions.

We notice that if x_0 is a solution to the system, so does $x = km_1m_2 \cdots m_n + x_0$, $k \in \mathbb{Z}$. So the system will have infinitely many solutions. The sketch of the proof is as followed. Suppose we can solve the system

$$x_i \equiv 1 \pmod{m_i}$$

 $x_i \equiv 0 \pmod{m_j} \quad \forall j \neq i$

then $x = a_1x_1 + a_2x_2 + \cdots + a_nx_n$ is a solution for the original system. But why does the system even have a solution?

Consider the following system as an example,

$$x \equiv 1 \pmod{m_1}$$

$$x \equiv 0 \pmod{m_2}$$

$$\vdots$$

$$x \equiv 0 \pmod{m_n}$$

We know that since m_i are coprime, $(m_1, m_2 m_3 \cdots m_n) = 1$.

$$\Rightarrow \exists c, d_1, \text{ s.t. } cm_1 + d_1m_2m_3 \cdots m_n = 1$$

 $\Rightarrow x = d_1m_2m_3 \cdots m_n \text{ is a solution}$

Remark 2.1.4 If there are two solutions for the system, say x and y, then

$$x - y \equiv 0 \pmod{m_1 m_2 \cdots m_n} \implies x \equiv y \pmod{m_1 m_2 \cdots m_n}.$$

Namely, the solution is unique up to a multiple of $m_1 m_2 \cdots m_n$.

In order to generalize CRT, we need some background.

Suppose R, S are two rings, then $R \times S := \{(r, s), r \in R, s \in S\}$. We also define sum and product on $R \times S$, namely,

$$(a,b) + (c,d) = (a+c,b+d),$$

 $(a,b) \cdot (c,d) = (ac,bd).$

We can check that $R \times S$ is actually a ring. The projection maps are ring homomorphisms, i.e., there exist projection maps E_S , E_R ,

$$E_S: R \times S \to S$$

 $E_R: R \times S \to R$

But there doesn't exist any homomorphism from S or R to $R \times S$.

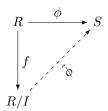
We know that for a ring homomorphism $\phi: R \to S$, $\ker \phi = \{x \in R, \phi(x) = 0\}$ is an ideal. For ring homomorphism $\mathbb{Z} \to \mathbb{Z}/m\mathbb{Z}$, it's kernal is exactly the ideal $m\mathbb{Z}$. So in fact, what CRT in \mathbb{Z} says is that the ring homomorphism

$$f: \mathbb{Z} \to \mathbb{Z}/m_1\mathbb{Z} \times \mathbb{Z}/m_2\mathbb{Z} \times \cdots \times \mathbb{Z}/m_n\mathbb{Z},$$

or

$$a \mapsto ([a]_{m_1}, \dots, [a]_{m_n})$$

is surjective.

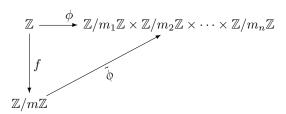


For a ring homomorphism $\phi: R \to S$, $I = \ker \phi$,

- ϕ is injective if and only if $\ker \phi = \{0\}$.
- There exists a unique ring homomorphism $\tilde{\phi}: R/I \to S$, or $\tilde{\phi}: [a] \mapsto \phi(a)$ such that the diagram commutes. $\tilde{\phi}$ is also well defined, for if [a] = [b], then we have

$$[a] = [b] \Rightarrow (a - b) \in I$$
$$\Rightarrow \phi(a - b) = 0$$
$$\Rightarrow \phi(a) = \phi(b).$$

Now, let $R = \mathbb{Z}$, $S = \mathbb{Z}/m_1\mathbb{Z} \times \mathbb{Z}/m_2\mathbb{Z} \times \cdots \times \mathbb{Z}/m_n\mathbb{Z}$. Let $m = m_1m_2 \cdots m_n$, then $\ker \phi = \mathbb{Z}/m\mathbb{Z}$, we have the following diagram.



Notice that $\tilde{\phi}$ is an isomorphism.

We have the natural question that what are the units in R and S? Let U(R) denote the set of units of the ring R, then $U(R \times S) = U(R) \times U(S)$. We thus have a branch of corollaries.

Corollary 2.1.3
$$U(\mathbb{Z}/m\mathbb{Z}) \cong U(\mathbb{Z}/m_1\mathbb{Z}) \times \cdots \times U(\mathbb{Z}/m_n\mathbb{Z})$$
.

Corollary 2.1.4
$$\phi(m) = \phi(m_1)\phi(m_2)\cdots\phi(m_n)$$
.

Corollary 2.1.5 If
$$m = p_1^{\gamma_1} p_2^{\gamma_2} \cdots p_s^{\gamma_s}$$
, then

$$\phi(m) = \phi(p_1^{\gamma_1} p_2^{\gamma_2} \cdots p_s^{\gamma_s})$$

= $\phi(p_1^{\gamma_1}) \phi(p_2^{\gamma_2}) \cdots \phi(p_s^{\gamma_s}),$

with
$$\phi(p_i^{\gamma_i}) = p_i^{\gamma_i} - p_i^{\gamma_i - 1}$$
.

Corollary 2.1.6

$$\sum_{d|n} \phi(d) = n$$

The proof is simply use the fact that the statement is true for primes, and every element of \mathbb{Z} can be factorized as a product of primes.

Proof. We claim that if the statement is true for m, n ((m, n) = 1), then it's true for mn.

$$\sum_{d|mn} \phi(d) = \sum_{d_1|m,d_2|n} \phi(d_1d_2)$$

$$= \sum_{d_1|m} \sum_{d_2|n} \phi(d_1)\phi(d_2)$$

$$= (\sum_{d_1|m} \phi(d_1))(\sum_{d_2|n} \phi(d_2))$$

$$= m \cdot n.$$

2.2 Class Notes 17-01-24

Suppose $I, J \subset R$ are two ideals, how to make new ideals with I, J? Evidently, $I \cap J$ and I + J are ideals. Also,

$$I \cdot J := \{ \sum a_i b_i, a_i \in I, b_i \in J \} \subset I \cap J$$

is an ideal.

Example 6 Let $I = m\mathbb{Z}, J = n\mathbb{Z}$. then we have

I + J	$I \cap J$	$I \cdot J$
((m,n))	([m,n])	$mn\mathbb{Z}$

Definition 2.2.1 We say two ideals I, J are coprime if I + J = (1).

Remark 2.2.1 If I, J are coprime, then $I \cap J = I \cdot J$.

Proof. For some $x \in I \cap J$, since I, J are coprime, there exists some $a \in I, b \in J$ such that a + b = 1. But then $a \cdot x + x \cdot b = x \in I \cdot J$. So $I \cap J \subset I \cdot J$. The other direction is obvious.

Theorem 2.2.1 (Generalized Chinese Remainder Theorem). Let I_1, I_2, \ldots, I_n be pairwise coprime ideals in R, then the map

$$\phi: R \to R/I_1 \times \ldots \times R/I_n$$

- 1) is surjective
- 2) has $\ker \phi = I_1 I_2 \dots I_n = I_1 \cap I_2 \cap \dots \cap I_n$

Lemma 9 We first look at n=2 case. If I,J are coprime ideals in R, then the map

$$\phi: R \to R/I \times R/J$$

- 1) is surjective.
- 2) has $\ker \phi = I \cap J = IJ$.

Proof. It's enough to solve the system of congrence

$$x \equiv 1 \pmod{I}$$

$$x \equiv 0 \pmod{J}$$

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and

$$y \equiv 0 \pmod{I}$$
$$y \equiv 1 \pmod{J}$$

Since I, J are coprime, there exists $c \in I, d \in J$ such that c + d = 1. c, d is the solution to our two systems.

Lemma 10 I_1 is coprime to $I_2I_3\cdots I_n$.

Proof. There exist

$$a_2 + b_2 = 1$$

$$a_3 + b_3 = 1$$

$$\dots$$

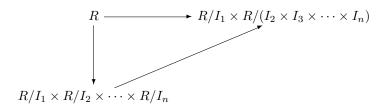
$$a_n + b_n = 1$$

 $a_i \in I_1, b_j \in I_j.$ Then

$$b_2b_3 \dots b_n = (1 - a_2) \dots (1 - a_n)$$

= 1 + a

where $a \in I_1$. By n = 2 case



Let us denote U(R) by R^{\times} . Note that $\phi(n) = \|(\mathbb{Z}/n\mathbb{Z})^{\times}\|$. We now want to look at the structure of $(\mathbb{Z}/n\mathbb{Z})^{\times}$. We first develop some background in abstract algebra.

Theorem 2.2.2 (Lagrange Theorem). Let G be a finite group, $H \subset G$ is a subgroup, then the order of H divides the order of G, i.e.,

Proof. Take two cosets in H, Ha and Hb. They are equal or disjoint. So

$$|G| = |H| \cdot \#$$
 of cosets

Definition 2.2.2 If $a \in G$, then o(a) = smallest positive integer d such that

$$a^d = 1$$

is called the order of the element a.

Corollary 2.2.3 $\forall a \in G$, we have $o(a) \mid |G|$.

Proof. $\langle a \rangle := \{1, a, \dots, a^{d-1}\}$ is the subgroup generated by a. Then $\langle a \rangle \subset G \Rightarrow d \mid |G|$.

Corollary 2.2.4 $a^{|G|} = 1$.

Corollary 2.2.5 If $n \ge 1$, (a, n) = 1, then $a^{\phi(n)} \equiv 1 \pmod{n}$.

Proof. $(a,n)=1\Rightarrow a\to [a]$ is a unit in $\mathbb{Z}/n\mathbb{Z}$, i.e., $[a]\in (\mathbb{Z}/n\mathbb{Z})^{\times}, |(\mathbb{Z}/n\mathbb{Z})^{\times}|=\phi(n).\Rightarrow [a]^{\phi(n)}=1$ in $(\mathbb{Z}/n\mathbb{Z})^{\times}$, i.e., $a^{\phi(n)}\equiv 1\pmod{n}$.

Exercise 2.2.1 Find the last 3 digits of 3^{1203} .

Proof. $\phi(1000) = \phi(2^35^3) = (8-4)(125-25) = 400$. So $3^{400} \equiv 1 \pmod{1000}$. The last three digits are then 027.

We now look at the structure of $(\mathbb{Z}/p\mathbb{Z})^{\times}$, where p is a prime.

Theorem 2.2.6 $(\mathbb{Z}/p\mathbb{Z})^{\times}$ is cyclic.

We do some checking, let p = 5, 7, 11, 13. For p = 11, we find that 2, 3, 7, 9 are $\mathbb{Z}/11\mathbb{Z}$'s generator. **Lemma 11** Let $a \in G$ be an element of order d, then the order of a^m is $\frac{d}{(d,m)}$.

Proof. Let (d,m) = b, we then have d = bd', m = bm', where (d',m') = 1. We claim that $o(a^m) = d'$. For $(a^m)^{d'} \cong a^{bm'd'} \cong a^{dm'} \cong (a^d)^{m'} \cong 1$. Suppose $(a^m)^l = 1 \Rightarrow a^{ml} = 1 \Rightarrow d \mid ml \Rightarrow bd' \mid bm'l \Rightarrow d' \mid m'l \Rightarrow d' \mid l$.

Corollary 2.2.7 If G is cyclic of order d, then the number of generators of G is $\phi(d)$.

2.3 Class Notes 17-01-26

Theorem 2.3.1 $(\mathbb{Z}/p\mathbb{Z})$ is a field.

Proof. If $[a] \neq 0 \Rightarrow (p, a) = 1 \Rightarrow \exists x, y \text{ s.t. } px + ay = 1 \Rightarrow [a][y] = [1].$

Theorem 2.3.2 Let K be a field, let G be a finite subgroup of K, then G is cyclic.

Lemma 12 Let $f(x) \in K[x]$ be any non-zero polynomial. Then the number of roots of f in K is elss or equal to deg f

Proof. If f(x) has no root, we are done. If f(x) has some roots, say α is a root, then

$$f(x) = (x - \alpha)g(x) + r(x), \quad r(x) = 00$$

So $f(x) = (x - \alpha)g(x)$. By induction the lemma holds.

We can then prove the theorem.

Proof. Let K be a field. Let $G \subset K^{\times}$ be a finite subgroup of order n. $G \subset \{\text{roots of} x^n - 1\} \Rightarrow G = \{\text{roots of} x^n - 1\}$. Any element in G has order dividing by n for every divisor d of n. Let $\Sigma_d = \{a \in G, o(a) = d\}$, then

$$G = \sqcup_{d|n} \Sigma_d, \quad n = |G| = \sum_{d|n} |\Sigma_d|.$$

We claim: $|\Sigma_d| = 0$ or $\phi(d)$.

If $\Sigma_d = \emptyset \Rightarrow |\Sigma_d| = 0$. Suppose $\Sigma_d \neq \emptyset \Rightarrow \exists a \in G, \text{s.t.} o(a) = d$. Let $H = \langle a \rangle = \{1, a, \dots, a^{d-1}\} \subset G$. i.e.,

 Σ_d = set of elements with order d = all elements of H

 $\Rightarrow |\Sigma_d| = \phi(d)$. Then

$$n = \sum_{d|n} |\Sigma_d| \le \sum_{d|n} \phi(d) = n$$

 $\Rightarrow |\Sigma_d| = \phi(d), \forall d \mid n.$ In particular $|\Sigma_n| = \phi(n) \Rightarrow G$ is cyclic.

We then want to discuss the structure of $(\mathbb{Z}/p^{\gamma}\mathbb{Z})^{\times}$

Theorem 2.3.3 If p is an odd prime, then $(\mathbb{Z}/p^{\gamma}\mathbb{Z})^{\times}$ is cyclic.

Proof. Since $\mathbb{Z}/p^{\gamma}\mathbb{Z} \to \mathbb{Z}/p\mathbb{Z}$ is surjective, $(\mathbb{Z}/p^{\gamma}\mathbb{Z})^{\times} \to (\mathbb{Z}/p\mathbb{Z})^{\times}$ is surjective. Let us denote $G := (\mathbb{Z}/p^{\gamma}\mathbb{Z})^{\times}$, $H := (\mathbb{Z}/p\mathbb{Z})^{\times}$, and let K be the kernal of $G \to H$, i.e.,

$$K = \{ [x] \in G, x \equiv 1 \pmod{p} \}.$$

Note we have $|G| = p^{\gamma - 1}(p - 1), |H| = p - 1$. So we have $|K| = \frac{|G|}{|H|} = p^{\gamma - 1}$. We will show K is cyclic by explicitly constructing a system. We consider the cyclic group generated by 1 + ap, where $a \equiv 0 \pmod{p}$. We know that

$$(1+ap)^{p^{\gamma-1}} \equiv 1 \pmod{p^{\gamma}},$$

want however

$$(1+ap)^{p^{\gamma-2}} \not\equiv 1 \pmod{p^{\gamma}}.$$

Lemma 13 Let p be any prime, $a, b \in \mathbb{Z}, \gamma \geq 1$. If $a \equiv b \pmod{p^{\gamma}}$, then $a^p \equiv b^p \pmod{p^{\gamma+1}}$.

Proof. First notice that for $1 \leq i \leq p-1$, $\binom{p}{i}$ is divided by p, then

$$\begin{split} a &= b + p^{\gamma}t \; \Rightarrow \; a^p = (b + p^{\gamma}t)^p \\ &\Rightarrow \; a^p = b^p + \sum_{i=1}^{p-1} \binom{p}{i} \, b^i (p^{\gamma}t)^{p-1} + (p^{\gamma}t)^p. \\ &\Rightarrow \; a^p \equiv b^p \; (\text{mod } p^{\gamma+1}) \end{split}$$

We then prove the following lemma,

Lemma 14
$$(1+ap)^{p^{\gamma-2}} \equiv 1 + ap^{\gamma-1} \pmod{p^{\gamma}}$$

Proof. We induction on γ .

When $\gamma = 1$, the statement is trivially true. Assume the statement is true for γ , check for $\gamma + 1$. We know

$$(1+ap)^{p^{\gamma-2}} \equiv 1 + ap^{\gamma-1} \pmod{p^{\gamma}},$$

and we want to show

$$(1+ap)^{p^{\gamma-1}} \equiv 1 + ap^{\gamma} \pmod{p^{\gamma+1}}$$

By lemma 13,

$$(1+ap)^{p^{\gamma-1}} \equiv (1+ap^{\gamma-1})^p \pmod{p^{\gamma+1}}$$
$$= 1+p \cdot ap^{\gamma-1} + \sum_{i=2}^{p-1} \binom{p}{i} (ap^{\gamma-1})^i + a^p p^{p(\gamma-1)}$$
$$\equiv 1+ap^{\gamma} \pmod{p^{\gamma+1}}$$

So the statement holds for $\gamma + 1$.

Class Notes 17-01-31 2.4

Last class we have prove that if n=p os a prime, then $(\mathbb{Z}/p\mathbb{Z})^{\times}$ is cyclic, and if n is odd, $n=p^r$, $(\mathbb{Z}/p\mathbb{Z})^{\times}$ is cyclic.

******Not Complete*****

Let p is an odd prime, (a, p) = 1, is a square modulo p? We try a = -1 for $p = 5, 13, \ldots$ We have the following proposition.

Proposition 2.4.1 -1 is a square modulo $p \iff p \equiv 1 \pmod{4}$.

Definition 2.4.1 We introduce the legendre symbol

$$\left(\frac{a}{p}\right) = \begin{cases} 1 & \text{if } a \text{ is a square modulo } p \\ -1 & \text{otherwise} \end{cases}$$

We have the following proposition.

Proposition 2.4.2

1.
$$\left(\frac{a}{p}\right) = \left(\frac{b}{p}\right)$$
 if $a \equiv b \pmod{p}$

1.
$$\binom{p}{p} = \binom{p}{p}$$
 if $a \equiv b$ (if $a \equiv b$) and $a \equiv b$ (if $a \equiv b$

3.
$$\left(\frac{ab}{n}\right) = \left(\frac{a}{n}\right)\left(\frac{b}{n}\right)$$

The proof of Proposition 2.4.2.3 is as followed.

Proof. Let g be a generator of $(\mathbb{Z}/p\mathbb{Z})^{\times}$, then $\langle g \rangle = \{1, g, g^2, \dots, g^{p-1}\}$. $1, g^2, g^4, \dots, g^{p-1}$ are already square. But $g, g^3, g^5, \dots, g^{p-2}$ are not square (why?). If $g = h^2$ is a square, it will not generate the group!

The proof of Proposition 2.4.2.2 is as followed.

Proof. if $a=b^2$, then $a^{\frac{p-1}{2}}=b^{p-1}\equiv 1\ (\mathrm{mod}\ p)$. If $a\neq b^2$, say a=g, then $g^{\frac{p-1}{2}}\not\equiv 1\ (\mathrm{mod}\ p)$ since g is a primitive root. So $g^{\frac{p-1}{2}}\equiv -1\ (\mathrm{mod}\ p)$, i.e., $a^{\frac{p-1}{2}}\equiv -1\ (\mathrm{mod}\ p)$.

Theorem 2.4.3

• Suppose p, q are odd prime, then

$$\left(\frac{p}{q}\right)\left(\frac{q}{p}\right) = (-1)^{\frac{p-1}{2}\frac{q-1}{2}}$$

or

$$\left(\frac{p}{q}\right)\left(\frac{q}{p}\right) = (-1)^{\frac{p-1}{2}\frac{q-1}{2}}$$
$$\left(\frac{p}{q}\right) = \left(\frac{q}{p}\right)(-1)^{\frac{p-1}{2}\frac{q-1}{2}}$$

Namely, $\binom{p}{q}=\binom{q}{p}$ if either $p,q\equiv 1\pmod 4$ and $\binom{p}{q}=-\binom{q}{p}$ otherwise.

$$\binom{2}{p} = \begin{cases} 1 & \text{if } p \equiv 1,7 \pmod{8} \\ -1 & \text{if } p \equiv 3,5 \pmod{8} \end{cases} = (-1)^{\frac{p^2 - 1}{8}}.$$

Exercise 2.4.1 Is 101 a square modulo 107?

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Proof. Yes, because we have

$$\left(\frac{101}{107}\right) = \left(\frac{107}{101}\right) = \left(\frac{6}{101}\right) = \left(\frac{2}{101}\right) \left(\frac{3}{101}\right)$$
$$= (-1)\left(\frac{101}{3}\right)$$
$$= (-1)\left(\frac{2}{3}\right) = (-1)(-1) = 1$$

Exercise 2.4.2 Is 79 a square of 97?

Proof. Yes, because we have

2.5 Class Notes 17-02-02

Lemma 15 (Gauss's Lemma). If (a, p) = 1. Consider the residue system

$$\left\{-\frac{p-1}{2}, \dots, -1, +1, +2, \dots, +\frac{p-1}{2}\right\}.$$

Let $\mu = \#$ of negative classes that $a \cdot 1, a \cdot 2, \dots, a \cdot \frac{p-1}{2}$ fall into. Then

$$\left(\frac{a}{p}\right) = (-1)^{\mu}.$$

Let $a \cdot i \equiv \pm m_i \pmod{p}$, we claim that if $i \neq j$, then $m_i \neq m_j$.

Proof. if $m_i = m_j$, then $a_i \equiv \pm a_j \pmod{p}$, so $i \equiv \pm j \pmod{p}$. We know that

$$\left\{ m_1, m_2, \dots, m_{\frac{p-1}{2}} \right\} = \left\{ 1, 2, \dots, \frac{p-1}{2} \right\}$$

Let $\mu = \#$ of negative signs. Then $a^{\frac{p-1}{2}}\prod i \equiv (-1)^{\mu}\prod m_i \pmod{p}$

Lemma 16 (Eisenstein's Lemma).

Let $\Sigma = \{2, 4, \dots, p-1\}$, for $j \in \Sigma$, consider $\left[\frac{aj}{p}\right]$, then

$$\left(\frac{a}{p}\right) = (-1)^{\sum\limits_{j \in \Sigma} \left[\frac{aj}{p}\right]}.$$