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2 CONTENTS

# Unique Factorization

### 1.1 Unique Factorization in $\mathbb{Z}$

It will be more convenient to work with  $\mathbb{Z}$  rather than restricting ourselves to the positive integers. The notion of divisibility carries over with no difficulty to  $\mathbb{Z}$ . If p is a positive prime, -p will also be a prime. We shall not consider 1 or -1 as primes even though they fit the definition. This is simply a useful convention. They are called the units of  $\mathbb{Z}$ .

There are a number of simple properties of division that we shall simply list.

- 1.  $a \mid a, a \neq 0$ .
- 2. If  $a \mid b$  and  $b \mid a$ , then  $a = \pm b$ .
- 3. If  $a \mid b$  and  $b \mid c$ , then  $a \mid c$ .
- 4. If  $a \mid b$  and  $a \mid c$ , then  $a \mid (b+c)$ .

Lemma 1 Every nonzero integer can be written as a product of primes.

**Theorem 1.1.1** For every nonzero integer n there is a prime factorization

$$n = (-1)^{\varepsilon(n)} \prod_{p} p^{a(p)},$$

with the exponents uniquely determined by n. In fact, we have  $a(p) = \operatorname{ord}_{p} n$ .

The proof if this theorem if is not as easy as it may seem. We shall postpone the proof until we have established a few preliminary results.

**Lemma 2** If  $a, b \in \mathbb{Z}$  and  $b \geq 0$ , there exist  $q, r \in \mathbb{Z}$  such that a = qb + r with  $0 \leq r < b$ .

**Definition 1.1.1** If  $a_1, a_2, \ldots, a_n \in \mathbb{Z}$ , we define  $(a_1, a_2, \ldots, a_n)$  to be the set of all integers of the form  $a_1x_1 + a_2x_2 + \cdots + a_nx_n$  with  $x_1, x_2, \ldots, x_n \in \mathbb{Z}$ .

Remark 1.1.1 Let  $A = (a_1, a_2, ..., a_n)$ . Notice that the sum and difference of two elements in A are again in A. Also, if  $a \in A$  and  $r \in \mathbb{Z}$ , then  $ra \in A$ , i.e., A is an ideal in the ring  $\mathbb{Z}$ 

**Lemma 3** If  $a, b \in \mathbb{Z}$ , then there is a  $d \in \mathbb{Z}$  such that (a, b) = (d)

**Definition 1.1.2** Let  $a, b \in \mathbb{Z}$ . An integer d is called a greatest common divisor of a and b if d is a divisor of both a and b and if every other common divisor of a and b divides d.

Remark 1.1.2 The gcd of two numbers, if it exists, is determined up to sign.

**Lemma 4** Let  $a, b \in \mathbb{Z}$ . If (a, b) = (d) then d is a greatest common divisor of a and b.

**Definition 1.1.3** We say that two integers a and b are relatively prime if the only common divisors are  $\pm 1$ , the units.

It's fairly standard to use the notation (a, b) for the greatest common divisor of a and b. With this convention we can say that a and b are relatively prime if (a, b) = 1.

**Proposition 1.1.2** Suppose that  $a \mid bc$  and that (a, b) = 1. Then  $a \mid c$ .

**Corollary 1.1.3** If p is a prime and  $p \mid bc$ , then either  $p \mid b$  or  $p \mid c$ .

Corollary 1.1.4 Suppose that p is a prime and that  $a, b \in \mathbb{Z}$ . Then  $\operatorname{ord}_p ab = \operatorname{ord}_p a + \operatorname{ord}_p b$ .

### 1.2 Unique Factorizaion in a Principal Ideal Domain

For this section, we mostly refer to Section 1.5 and supply some details.

## 1.3 Unique Factorization in k[x]

In this section we consider the ring k[x] of polynomials with coefficients in a field k. If  $f, g \in k[x]$ , we say that f divides g if there is an  $h \in k[x]$  such that g = fh.

If deg f denotes the degree of f, we have deg  $fg = \deg f + \deg g$  (why? Because a field k is necessarily an integral domain). nonzeros constants are the units of k[x]. A nonconstant polynomial p is said to be irreducible if  $q \mid p \implies q$  is either a constant or a constant times p.

Lemma 5 Every nonconstant polynomial is the product of irreducible polynomials.

*Proof.* Simply by induction.

**Definition 1.3.1** A polynomial f is called monic if its leading coefficient is 1.

**Definition 1.3.2** Let p be a monic irreducibe polynomial. We define  $\operatorname{ord}_p f$  to be the integer a defined by the property that  $p^a \mid f$  but that  $p^{a+1} \nmid f$ .

**Remark 1.3.1** ord<sub>p</sub> f = 0 iff  $p \nmid f$ .

**Theorem 1.3.1** Let  $f \in k[x]$ . Then we can write

$$f = c \prod_{p} p^{a(p)},$$

where the product is over all monic irreducible polynomials and c is a constant. The constant c and the exponents a(p) are uniquely determined by f; in fact,  $a(p) = \operatorname{ord}_p f$ .

The existence of such a product follows immediately from Lemma 5. The uniqueness part is more difficult and will be postponed.

**Lemma 6** Let  $f, g \in k[x]$ . If  $g \neq 0$ , there exist polynomials  $h, r \in k[x]$  such that f = hg + r, where either r = 0 or  $r \neq 0$  and  $\deg r \leq \deg g$ .

*Proof.* If  $g \mid f$ , we are done. If  $g \nmid f$ , let r = f - hg be the polynomial of least degree among all polynomials of the form f - lg with  $l \in k[x]$ . We claim that  $\deg r < \deg g$ . If not, let the leading term of r be  $ax^d$  and that g be  $bx^m$ . Then  $r - \frac{a}{b}x^{d-m}g(x) = f - (h + \frac{a}{b}x^{d-m})g$  has smaller degree than r and is of the given form. This is a contradiction.

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**Lemma 7** Given  $f, g \in k[x]$  there is a  $d \in k[x]$  such that (f, g) = (d).

Proof. See Theorem 1.6.1.

**Definition 1.3.3** Let  $f, g \in k[x]$ . Then  $d \in k[x]$  is said to be a greatest common divisor of f and g if d divides f and g and every common divisor of f and g divides d.

Remark 1.3.2 Notice that the greatest common divisor of two polynomials is determined up to multiplication by a constant. If we require it to be monic, it is uniquely determined and we may speak of the greatest common divisor.

**Lemma 8** Let  $f, g \in k[x]$  By lemma 7 there is a  $d \in k[x]$  such that (f, g) = (d). d is the greatest common divisor of f and g.

*Proof.* Since  $f \in (d)$  and  $g \in (d)$  we have  $d \mid f$  and  $d \mid g$ . Suppose that  $h \mid f$  and that  $h \mid g$ . Then h divides every elements in (f,g)=(d). In particular  $h \mid d$ , we are done.

**Definition 1.3.4** Two polynomial f and g are said to be relatively prime if the only common divisor of f and g are constants. In other words, (f, g) = (1).

**Proposition 1.3.2** If f and g are relatively prime and  $f \mid gh$ , then  $f \mid h$ .

**Corollary 1.3.3** If p is an irreducible polynomial and  $p \mid fg$ , then  $p \mid g$  or  $p \mid g$ .

**Corollary 1.3.4** If p is a monic irreducible polynomial and  $f, g \in k[x]$ , we have

$$\operatorname{ord}_p fg = \operatorname{ord}_p f + \operatorname{ord}_p g.$$

Using these tools, we can prove the uniqueness of factorizaion.

### 1.4 Class Notes 17-01-10

For us, ring means commutative ring with identity.

**Definition 1.4.1** A ring is a set with two binary operations  $(+,\cdot)$  satisfying

- 1. (R, +) is an abelian group, which means
  - + is commutative and associative.
  - $\exists \ 0_R, a = a + 0_R = 0_R + a \text{ for all } a \in R.$
  - Given  $a \in R$ ,  $\exists a' \in R$  such that  $a + a' = 0_R$ .
- 2. · is commutative and associative.
  - $\exists \ 1_R \text{ such that } a \cdot 1_R = 1_R \cdot a = a \text{ for all } a \in R.$
- $3. \cdot is distributive over addition, which means$ 
  - $a \cdot (b+c) = a \cdot b + a \cdot c$
  - $(a+b) \cdot c = a \cdot c + b \cdot c$

### Exercise 1.4.1

1. Show that  $a + b = a + c \Rightarrow b = c$ . (Cancellation)

Proof.

$$a+b=a+c \Leftrightarrow a'+(a+b)=a'+(a+c)$$
  
$$\Leftrightarrow (a'+a)+b=(a'+a)+c$$
  
$$\Leftrightarrow 0_R+b=0_R+c$$
  
$$\Leftrightarrow b=c$$

2. Show a' is unique. We denote this a' by -a.

*Proof.* if the statement doesn't hold, then there exist a', a'' such that  $a + a' = 0_R = a + a''$ . We then apply cancellation and get a' = a''.

3. Show  $0_R$  is unique.

*Proof.* Say there are two zero element  $0_R$  and  $0'_R$ , then we have

$$0_R = 0_R + 0_R' = 0_R'$$

4. Show  $1_R$  is unique.

*Proof.* Say there are two unit element  $1_R$  and  $1_R'$ , then we have

$$1_R = 1_R \cdot 1_R' = 1_R'$$

5. Show  $a \cdot 0_R = 0_R \cdot a = 0_R$ 

*Proof.* We know that  $a \cdot 0_R + a = a \cdot (0_R + 1_R) = a \cdot 1_R = a = 0_R + a$ , apply cancellation then we are done.

6. Show that  $(-1_R) \cdot a = -a$ .

*Proof.* Since 
$$a \cdot 0_R = 0_R$$
, we have  $a \cdot (1_R + (-1_R)) = 0_R$  or  $a + (-1_R) \cdot a = 0_R$ . Then  $-a = (-1_R) \cdot a$ , for  $a'$  is unique.

7. The zero ring is the ring with 1 element. Show R is zero ring  $\Leftrightarrow 1_R = 0_R$ .

Proof.

"  $\Rightarrow$  " : Trivial.

" $\Leftarrow$ ": Since we have  $a \cdot 1_R = 1_R \cdot a = a$  for all  $a \in R$  and  $1_R = 0_R$ , we have  $0_R = a \cdot 0_R = a$  for all  $a \in R$ .

8. Does cancellation hold for  $\cdot$ ?

Sol. No. Consider  $a \cdot b = a \cdot c$  and  $a \neq 0_R$ , then  $a \cdot (b - c) = 0_R$ . So if R is an integral domain, then we can apply cancellation of non-zero element.

**Definition 1.4.2** R is said to be an *integral domain* if

$$a \cdot b = 0 \iff a = 0 \text{ or } b = 0.$$

**Definition 1.4.3** R is said to be a field if every non-zero element in R has a multiplication inverse.

#### Exercise 1.4.2

- 1. If R is an integral domain, then we can apply cancellation of non-zero element.
- 2. Show that every field is an integral domain.

*Proof.* If  $a \cdot b = 0$  and  $a \neq 0_R$ , let a' be the multiplication inverse of a, then  $b = 1_R \cdot b = a' \cdot a \cdot b = a' \cdot 0_R = 0$ .

3. Check that  $a^{-1}$  is unique.

*Proof.* If  $a^{-1}$  and a' are both multiplication inverse of a, then  $a \cdot a^{-1} = a \cdot a' = 1_R$ . Apply cancellation of non-zero element, we have  $a' = a^{-1}$ .

Remark 1.4.1 Though every field is an integral domain, not every integral domain is a field. For example,  $\mathbb{Z}$  is an integral domain but not a field.

### Ways to make new rings:

Let R be an integral domain, how to construct a new ring?

Let  $K = \{(a, b), a, b \in R, b \neq 0\}$ . We also define an equivalent relation  $(a, b) \sim (c, d)$  if ad = bc.

- Check this is an equivalent class.
  - -(a,b) = (a,b)
  - if  $(a,b) \sim (c,d)$  and  $(c,d) \sim (e,f)$ , then  $(a,b) \sim (e,f)$
- We define
  - -(a,b) + (c,d) = (ad + bc.bd)
  - $-(a,b)\cdot(c,d) = (ac,bd)$

Check these two operation pass to equivalent class.

•  $0_K = [(0, 1_R)], 1_K = [(1_R, 1_R)]$ 

**Definition 1.4.4** If R, S are two rings, a homomorphism  $\phi: R \to S$  is a map such that

- 1.  $\phi(1_R) = 1_S$ .
- 2.  $\phi(a+b) = \phi(a) + \phi(b)$ .
- 3.  $\phi(ab) = \phi(a)\phi(b)$ .

An isomorphism is a homomorphism that is both injective and surjective.

 $\phi: R \to S, a \mapsto [(a, 1_R)]$  is an injective homomorphism. For example, we have  $\mathbb{Z} \subset \mathbb{Q}$ .

Remark 1.4.2 If R is a field, then the homomorphism is isomorphism, i.e.,  $\phi$  is also surjective. Because for any  $[(a,b)] \in K$ , we have  $\phi(ab^{-1}) = [(ab^{-1},1)] = [(a,b)]$ .

### Ways to kill elements:

**Definition 1.4.5** An ideal I in R is a non-empty subset such that

- 1. I is closed under addition.
- 2. I is closed under multiplication by arbitrary elt in R.

Note that  $(I, +) \subset (R, +)$  is an abelian subgroup.

### **■ Example 1**

- $\bullet$  (0) is an ideal.
- $\bullet$  R itself is an ideal.
- if  $a \in R$ , the  $R \cdot a$  is an ideal, denoted by  $(a)_R$ .
- $n\mathbb{Z}$  is an ideal in  $\mathbb{Z}$ .

Quotient Ring: Let  $I \subset R$  be an ideal.  $R/I = \text{coset of } I \text{ in } R = \{a+I, a \in R\}$ , we define

- 1.  $(a+I) \oplus (b+I) = (a+b) + I$ .
- 2.  $(a+I) \odot (b+I) = ab + I$ .

with zero elt (0 + I) and identity elt (1 + I).

### 1.5 Class Notes 17-01-12

**Definition 1.5.1** A non-zero element in  $\mathbb{R}$  is called a unit if  $\exists v \in \mathbb{R}$  such that  $uv = 1_{\mathbb{R}}$ .

**Definition 1.5.2** Two element  $a, b \in \mathbb{R}$  are said to be associative if  $\exists u \in \mathbb{R}$ , u is a unit, such that a = bu, denoted by  $a \sim b$ .

**Definition 1.5.3** A non-zero element  $\pi$  in  $\mathbb{R}$  is said to be irreducible if  $\pi$  is not a unit and if  $a \mid \pi \Rightarrow a$  is a unit or a is associative of  $\pi$ .

**Definition 1.5.4** A non-zero element in  $\mathbb{R}$  is said to be prime if  $\pi$  is not a unit and  $\pi \mid ab \Rightarrow \pi \mid a$  or  $\pi \mid b, \forall a, b \in \mathbb{R}$ .

**Proposition 1.5.1** If  $\pi$  is a prime, then  $\pi$  is irreducible.

*Proof.* Let  $\pi$  be a prime, suppose  $a \mid \pi$ , then  $\pi = ab$  for some  $b \in \mathbb{R}$ . Thus  $\pi \mid ab$  and by definition,  $\pi \mid a$  or  $\pi \mid b$ .

- If  $\pi \mid a$ , then  $a \sim \pi$ .
- If  $\pi \mid b$ , then  $a \sim 1$ .

Remark 1.5.1 A irreducible is not necessary to be a prime.

Let  $R = \mathbb{Z}[\sqrt{5}] = \{a + b\sqrt{-5} \mid a, b \in \mathbb{Z}\} \subset \mathbb{C}$ . We have

$$6 = 2 \cdot 3 = (1 + \sqrt{-5}) \cdot (1 - \sqrt{-5}).$$

We write  $\pi = (1 + \sqrt{-5})$  and claim that  $2, 3, \pi, \overline{\pi}$  are irreducibles but none of them are associative of each other.

We define the norm function  $N: R \to \mathbb{Z}$ , where  $N(\alpha) = \alpha \overline{\alpha}$ , i.e., if  $\alpha = a + bi$ , then  $N(\alpha) = a^2 + 5b^2$ . We notice that

- If  $\alpha > 0$ , then  $N(\alpha) > 0$ .
- $N(\alpha\beta) = N(\alpha)N(\beta)$ .

Check: 2 is irreducible:

Find unit:

 $N(uv) = N(1) = 1 = N(u)N(v) \Rightarrow N(u) = N(v) = 1$ . But  $a^2 + 5b^2 = 1 \Rightarrow a = \pm 1, b = 0$ . Suppose  $2 = \alpha\beta$ , then  $4 = N(2) = N(\alpha\beta) = N(\alpha)N(\beta)$ .

1. If  $N(\alpha) = 1, N(\beta) = 4$ 

Then  $\alpha$  is a unit  $\Rightarrow$  2 is irreducible.

2. If  $N(\alpha) = 2, N(\beta) = 2$ 

Then  $a^2 + 5b^2 = 2$  has no solution.

**Definition 1.5.5** An UFD (Unique Factorization Domain) is an integral domain R in which every non-zero element (up to unit) factors uniquely into a product of irreducibles.

**Proposition 1.5.2** Let R be a domain in which factorization (of irreducibles) exists. Then R is a  $UFD \Leftrightarrow every irreducible in <math>R$  is prime.

Proof.

" $\Leftarrow$ ": Let a be an element of R and  $a \neq 0$ . If  $a = \pi_1 \pi_2 \cdots \pi_n = \sigma_1 \sigma_2 \cdots \sigma_m$  are two factorizations. Since  $\pi_1$  is prime,  $\pi_1 \mid \sigma_i$  for some i. By rearranging, we may assume  $\pi_1 \mid \sigma_1$ , Thus  $\pi_1 \sim \sigma_1$ . Repeating this process, we can conclude that the two factorizations are the same.

\*\*\*\*\*\*Not Complete\*\*\*\*\*

1.6 Class Notes 17-01-17

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Remark 1.5.2 There are clearly rings such that no factorization exists. For example, consider the ring  $\mathbb{Z}[2^{1/2},2^{1/4},2^{1/8},\ldots]\subset\mathbb{R}$ . It's the smallest subring of  $\mathbb{R}$  that contains  $2^{1/2},2^{1/4},\ldots$ 

**Definition 1.5.6** A ring R is said to be noetherian if it satisfies any of the following equivalent conditions:

- 1. Any ascending chain of ideals in R terminates. Namely,  $I_1 \subset I_2 \subset I_3 \subset \cdots \Rightarrow I_n = I_{n+1} = \cdots$  for some n.
- 2. Any ideal I in R is finite generated. Namely,  $I = (a_1, \ldots, a_n)$  for some n.

Proof.

"1.  $\Rightarrow$ 2.": Let I be an ideal, if  $I \neq 0$ , pick  $a_1 \in I$ ,  $a_1 \neq 0$ , clearly  $(a_1) \subset I$ . If  $(a_1) = I$ , we are done, If not,  $\exists a_2 \in I \setminus (a_1) \Rightarrow (a_1, a_2) \subset I$ , this chain terminates.

"1.  $\Leftarrow 2$ .": Suppose  $I_1 \subset I_2 \subset \ldots$  be an ascending ideal. Let  $I = \cup I_n$ , we claim that I is an ideal. Let  $a, b \in I$ , then there exists n such that  $a, b \in I_n$ . Therefore  $a + b \in I_n$ , and  $a + b \in I$ . Let  $a \in I$ , then  $a \in I_n$  for some n. Therefore  $ra \in I_n \implies ra \in I$ . Thus I is an ideal. But  $I = (a_1, \ldots, a_m)$ , so there exists n, such that  $a_1, \ldots, a_m \in I_n$ . Thus  $I = I_n$  and  $I_n = I_{n+1} = \cdots$ .

Exercise 1.5.1 Suppose R is a Noetherian domain, show R admits factorizations.

*Proof.* If b is not irreducible, then b = ac or  $(b) \subset (a)$ 

### \*\*\*\*\*\*Not Complete\*\*\*\*\*

**Definition 1.5.7** A PID (Principle Ideal Domain) is a domain in which every ideal is generated by a single element.

### **Theorem 1.5.3** Every PID is a UFD.

*Proof.* Let R be a PID, then it's noetherian. So factorizations exist. So it suffices to show that every irreducible is a prime. Let  $\pi$  be a irreducible in R. Suppose  $\pi \mid ab$  and a is not divided by  $\pi$ . We look at  $I=(a,\pi)$ , there exists  $c\in R$ , such that I=(c). Thus we have  $c\mid \pi,c\mid a$ . So  $c\sim 1$  or  $c\sim \pi$ . Since c is not associative of  $\pi$ , c is associative of 1. But then

$$1 = ax + \pi y$$

for some  $x, y \in R$ . So  $b = abx + \pi by$  or  $\pi \mid b$ .

### 1.6 Class Notes 17-01-17

**Example 2**  $\mathbb{Z}$  is a PID.

**Remark 1.6.1** Any ideal  $I \subset \mathbb{Z}$  is of the form of  $n\mathbb{Z}$ .

*Proof.*  $\forall I \subset \mathbb{Z}$ , if I = (0), we are done. If I is not zero ideal, let n be the smallest positive element in I. We claim:  $I = n\mathbb{Z}$ . Let  $b \in I$ , then b = nq + r, where  $0 \le r < n$ . But  $r = b - nq \implies r \in I \implies r = 0$ . Therefore b = nq.

If K is a field, let R = k[x] = polynomial in variable x over the field K. What are the units in R? For arbitrary  $f(x), g(x) \in K[x]$ , if f(x)g(x) = 1, we claim that f(x), g(x) must be constant polynomial. For if we write  $f(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots$ ,  $g(x) = b_m x^m + b_{m-1} x^{m-1} + \cdots$ . Then  $f(x)g(x) = a_n b_m x^{m+n} + \cdots$ . Since  $a_n \neq 0, b_m \neq 0$  and K is an integral domain, we have  $a_n b_m \neq 0$ . Therefore

$$\deg f(x)g(x) = \deg f(x) + \deg g(x).$$

We then apply this conclusion to f(x)g(x) = 1 and get  $\deg f(x) \deg g(x) = \deg 1 = 0$ , thus f(x), g(x) must be constant.

Remark 1.6.2 Whether a polynomial is irreducible depends on the field. For example, if  $x^2 + 1 \in \mathbb{R}[x]$ , then it's irreducible (why?). But if  $x^2 + 1 \in \mathbb{C}[x]$ , then it's reducible (why?).

Division Algorithm: Let  $f(x), g(x) \in K[x], g(x) \neq 0$ , then there exists  $g(x), r(x) \in K[x]$ , such that

$$f(x) = g(x)q(x) + r(x),$$

where r(x) = 0 or  $0 \le \deg r(x) < \deg g(x)$ . Using this fact, we have the following theorem.

### Theorem 1.6.1 K[x] is a PID.

*Proof.* For all ideal  $I \in K[x]$ , if I = (0), we are done. If  $I \neq (0)$ , let  $g(x) \in I$  be the polynomial of least degree, let  $f(x) \in I$ , then

$$f(x) = g(x)q(x) + r$$

with r = 0 or  $0 \le \deg r(x) < \deg g(x)$  by division algorithm. But then r(x) = 0, for otherwise r(x) will be a polynomial whose degree is less than g(x). Therefore f(x) = g(x)g(x),  $f(x) \in (g(x))$ .

**Definition 1.6.1** A domain R is said to be an Euclidean domain if there exists a function  $\lambda : \mathbb{R} \setminus \{0\} \to \mathbb{Z}^{\geq 0}$ , such that given  $a, b \in R, b \neq 0$ , there exist  $q, r \in R$  such that a = qb + r and either r = 0 or  $0 \leq \lambda(r) < \lambda(b)$ .

**Example 3**  $R = \mathbb{Z}[i]$  is an Euclidean domain.

*Proof.* Let  $N(\alpha) = \alpha \overline{\alpha} = a^2 + b^2$  (if  $\alpha = a + bi$ ). Let  $\alpha, \beta \in R, \beta \neq 0$ , we have

$$\frac{\alpha}{\beta} = \frac{a+bi}{c+di} = \frac{ac+bd}{c^2+d^2} + \frac{bc-ad}{c^2+d^2}i = r+si.(r,s\in\mathbb{Q})$$

Let  $m + ni \in \mathbb{Z}[i]$  be the closest element to r + si. We denote r' = r - m, s' = s - n, then  $\frac{\alpha}{\beta} = r + si = m + ni + r' + s'i$ , or

$$\alpha = \beta(m+ni) + \beta(r'+s'i),$$

where  $(m+ni) \in \mathbb{Z}[i]$  and  $\beta(r'+s'i) \in \mathbb{Z}[i]$ , we remain to show that  $N(\beta(r'+s'i)) < N(\beta)$ . This is the case because

$$\begin{split} N(\beta(r'+s'i)) &= N(\beta)N(r'+s'i) \\ &\leq N(\beta)(\frac{1}{4}+\frac{1}{4}) \\ &< N(\beta) \end{split}$$

We are done.

The Natural question is what are the units in  $\mathbb{Z}[i]$ ? Does a prime in  $\mathbb{Z}$  still a prime in Z[i]? To answer the first question, we assume u is a unit in  $\mathbb{Z}[i]$ . Then by definition there exists some v such that uv = 1. But then  $1 = N(1) = N(uv) = N(u)N(v) \implies N(u) = 1$ . Thus the only possible values of u is  $\pm 1, \pm i$ . We also check they are actually units. Now, to answer the second question, we try some small cases. We look at 5, 7, 11 and 13.

- Example 4 If 5 = ab,  $a, b \in \mathbb{Z}[i]$ , then  $25 = N(5) = N(ab) = N(a)N(b) \implies N(a) = 5$ . So a can only be  $\pm 1 \pm 2i$  or  $\pm 2 \pm i$ . We try by hand and find 5 = (2+i)(2-i) is a factorization, so 5 is not a prime.
- Example 5 If 7 = ab,  $a, b \in \mathbb{Z}[i]$ , then  $49 = N(5) = N(ab) = N(a)N(b) \implies N(a) = 7$ . We try by hand and find no factorization, so 7 is a prime.

Use the same method, we find 5,13 are not prime while 7,11 are prime.

### Remark 1.6.3 Obervation:

- 1. If  $p \equiv 1 \pmod{4}$ , then  $p = \pi \overline{\pi}$ , where  $\pi$  is a irreducible.
- 2. If  $p \equiv 3 \pmod{4}$ , then p remains prime.
- 3. If p = 2,  $2 = (1+i)(1-i) = (-i)(1+i)^2$  (ramification).

Remark 1.6.4 Let  $R = \mathbb{Z}[\omega]$ , where  $\omega$  is a primitive cube root of 1, then R is a Euclidean domain.

# Congruence

### 2.1 Class Notes 17-01-19

**Definition 2.1.1** We write  $a \equiv b \pmod{p}$ , if  $p \mid (a - b)$ .

Remark 2.1.1 To solve  $ax \equiv b \pmod{m}$  in  $\mathbb{Z}$  is the same to solve [a]x = [b] in  $\mathbb{Z}/m\mathbb{Z}$ .

We now try to solve the equation  $a \equiv b \pmod{m}$ .

Proposition 2.1.1 A necessary and sufficient condition for this equation to have solutions is  $d \mid b$ , where d = (a, m) is the gcd of a and m.

<u>Think About:</u>  $ax \equiv 1 \pmod{m}$  has solutions is equivalent to (a, m) = 1.

Proof.

" $\Rightarrow$ ": If we have some solution  $x_0$  such that  $ax_0 \equiv 1 \pmod{m}$ . Then  $ax_0 = 1 + mt$  so that (a, m) = 1.

" $\Leftarrow$ ": If (a, m) = 1, then there exists  $x_0, t$  such that  $1 = ax_0 - mt$ , so  $ax_0 \equiv 1 \pmod{m}$ .

Remark 2.1.2 In  $\mathbb{Z}/m\mathbb{Z}$ ,  $[a]x \equiv [1]$  implies that [a] is a unit.

**Definition 2.1.2**  $\phi(m) = \#$  of units in  $\mathbb{Z}/m\mathbb{Z}$ .

Now we give the formal proof of our proposition.

*Proof.* Suppose  $x_0$  is a solution, then there exist t such that

$$ax_0 = b + mt$$
,

Since  $(a, m) \mid a$ ,  $(a, m) \mid m$ , we have  $(a, m) \mid b$ . Conversely, suppose  $(a, m) \mid b$ , we may write b as b = (a, m)b'. Similarly, a = (a, m)a' and m = (a, m)m' with (a', m') = 1. Denote d := (a, m), then  $da'x \equiv db' \pmod{dm'}$ ,  $a'x \equiv b' \pmod{m'}$ . Since (a', m') = 1,  $a'x \equiv b' \pmod{m'}$  has solutions.

**Remark 2.1.3** According to the proof, we will have d = (a, m) solutions.

Now we want to introduce Chinese Remainder Theorem in  $\mathbb{Z}$ . We want to solve a system of congruence equations. Namely, we are looking at the system

$$x \equiv a_1 \pmod{m_1}$$

$$x \equiv a_2 \pmod{m_2}$$

$$\vdots$$

$$x \equiv a_n \pmod{m_n}$$

where  $m_i$  are pairwise coprime.

Theorem 2.1.2 (Chinese Remainder Theorem).

The system always admits solutions.

We notice that if  $x_0$  is a solution to the system, so does  $x = km_1m_2 \cdots m_n + x_0$ ,  $k \in \mathbb{Z}$ . So the system will have infinitely many solutions. The sketch of the proof is as followed. Suppose we can solve the system

$$x_i \equiv 1 \pmod{m_i}$$
  
 $x_i \equiv 0 \pmod{m_j} \quad \forall j \neq i$ 

then  $x = a_1x_1 + a_2x_2 + \cdots + a_nx_n$  is a solution for the original system. But why does the system even have a solution?

Consider the following system as an example,

$$x \equiv 1 \pmod{m_1}$$

$$x \equiv 0 \pmod{m_2}$$

$$\vdots$$

$$x \equiv 0 \pmod{m_n}$$

We know that since  $m_i$  are coprime,  $(m_1, m_2 m_3 \cdots m_n) = 1$ .

$$\Rightarrow \exists c, d_1, \text{ s.t. } cm_1 + d_1m_2m_3 \cdots m_n = 1$$
  
 $\Rightarrow x = d_1m_2m_3 \cdots m_n \text{ is a solution}$ 

**Remark 2.1.4** If there are two solutions for the system, say x and y, then

$$x - y \equiv 0 \pmod{m_1 m_2 \cdots m_n} \implies x \equiv y \pmod{m_1 m_2 \cdots m_n}.$$

Namely, the solution is unique up to a multiple of  $m_1 m_2 \cdots m_n$ .

In order to generalize CRT, we need some background.

Suppose R, S are two rings, then  $R \times S := \{(r, s), r \in R, s \in S\}$ . We also define sum and product on  $R \times S$ , namely,

$$(a,b) + (c,d) = (a+c,b+d),$$
  
 $(a,b) \cdot (c,d) = (ac,bd).$ 

We can check that  $R \times S$  is actually a ring. The projection maps are ring homomorphisms, i.e., there exist projection maps  $E_S$ ,  $E_R$ ,

$$E_S: R \times S \to S$$
  
 $E_R: R \times S \to R$ 

But there doesn't exist any homomorphism from S or R to  $R \times S$ .

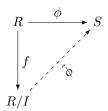
We know that for a ring homomorphism  $\phi: R \to S$ ,  $\ker \phi = \{x \in R, \phi(x) = 0\}$  is an ideal. For ring homomorphism  $\mathbb{Z} \to \mathbb{Z}/m\mathbb{Z}$ , it's kernal is exactly the ideal  $m\mathbb{Z}$ . So in fact, what CRT in  $\mathbb{Z}$  says is that the ring homomorphism

$$f: \mathbb{Z} \to \mathbb{Z}/m_1\mathbb{Z} \times \mathbb{Z}/m_2\mathbb{Z} \times \cdots \times \mathbb{Z}/m_n\mathbb{Z},$$

or

$$a \mapsto ([a]_{m_1}, \dots, [a]_{m_n})$$

is surjective.

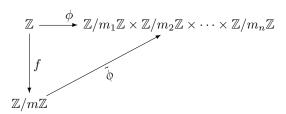


For a ring homomorphism  $\phi: R \to S$ ,  $I = \ker \phi$ ,

- $\phi$  is injective if and only if  $\ker \phi = \{0\}$ .
- There exists a unique ring homomorphism  $\tilde{\phi}: R/I \to S$ , or  $\tilde{\phi}: [a] \mapsto \phi(a)$  such that the diagram commutes.  $\tilde{\phi}$  is also well defined, for if [a] = [b], then we have

$$[a] = [b] \Rightarrow (a - b) \in I$$
$$\Rightarrow \phi(a - b) = 0$$
$$\Rightarrow \phi(a) = \phi(b).$$

Now, let  $R = \mathbb{Z}$ ,  $S = \mathbb{Z}/m_1\mathbb{Z} \times \mathbb{Z}/m_2\mathbb{Z} \times \cdots \times \mathbb{Z}/m_n\mathbb{Z}$ . Let  $m = m_1m_2 \cdots m_n$ , then  $\ker \phi = \mathbb{Z}/m\mathbb{Z}$ , we have the following diagram.



Notice that  $\tilde{\phi}$  is an isomorphism.

We have the natural question that what are the units in R and S? Let U(R) denote the set of units of the ring R, then  $U(R \times S) = U(R) \times U(S)$ . We thus have a branch of corollaries.

Corollary 2.1.3 
$$U(\mathbb{Z}/m\mathbb{Z}) \cong U(\mathbb{Z}/m_1\mathbb{Z}) \times \cdots \times U(\mathbb{Z}/m_n\mathbb{Z})$$
.

Corollary 2.1.4 
$$\phi(m) = \phi(m_1)\phi(m_2)\cdots\phi(m_n)$$
.

Corollary 2.1.5 If 
$$m = p_1^{\gamma_1} p_2^{\gamma_2} \cdots p_s^{\gamma_s}$$
, then

$$\phi(m) = \phi(p_1^{\gamma_1} p_2^{\gamma_2} \cdots p_s^{\gamma_s})$$
  
=  $\phi(p_1^{\gamma_1}) \phi(p_2^{\gamma_2}) \cdots \phi(p_s^{\gamma_s}),$ 

with 
$$\phi(p_i^{\gamma_i}) = p_i^{\gamma_i} - p_i^{\gamma_i - 1}$$
.

Corollary 2.1.6

$$\sum_{d|n} \phi(d) = n$$

The proof is simply use the fact that the statement is true for primes, and every element of  $\mathbb{Z}$ can be factorized as a product of primes.

*Proof.* We claim that if the statement is true for m, n ((m, n) = 1), then it's true for mn.

$$\sum_{d|mn} \phi(d) = \sum_{d_1|m,d_2|n} \phi(d_1d_2)$$

$$= \sum_{d_1|m} \sum_{d_2|n} \phi(d_1)\phi(d_2)$$

$$= (\sum_{d_1|m} \phi(d_1))(\sum_{d_2|n} \phi(d_2))$$

$$= m \cdot n.$$

### 2.2 Class Notes 17-01-24

Suppose  $I, J \subset R$  are two ideals, how to make new ideals with I, J? Evidently,  $I \cap J$  and I + J are ideals. Also,

$$I \cdot J := \{ \sum a_i b_i, a_i \in I, b_i \in J \} \subset I \cap J$$

is an ideal.

**Example 6** Let  $I = m\mathbb{Z}, J = n\mathbb{Z}$ . then we have

I + J	$I \cap J$	$I \cdot J$
((m,n))	([m,n])	$mn\mathbb{Z}$

**Definition 2.2.1** We say two ideals I, J are coprime if I + J = (1).

**Remark 2.2.1** If I, J are coprime, then  $I \cap J = I \cdot J$ .

*Proof.* For some  $x \in I \cap J$ , since I, J are coprime, there exists some  $a \in I, b \in J$  such that a + b = 1. But then  $a \cdot x + x \cdot b = x \in I \cdot J$ . So  $I \cap J \subset I \cdot J$ . The other direction is obvious.

Theorem 2.2.1 (Generalized Chinese Remainder Theorem). Let  $I_1, I_2, \ldots, I_n$  be pairwise coprime ideals in R, then the map

$$\phi: R \to R/I_1 \times \ldots \times R/I_n$$

- 1) is surjective
- 2) has  $\ker \phi = I_1 I_2 \dots I_n = I_1 \cap I_2 \cap \dots \cap I_n$

**Lemma 9** We first look at n=2 case. If I,J are coprime ideals in R, then the map

$$\phi: R \to R/I \times R/J$$

- 1) is surjective.
- 2) has  $\ker \phi = I \cap J = IJ$ .

*Proof.* It's enough to solve the system of congrence

$$x \equiv 1 \pmod{I}$$

$$x \equiv 0 \pmod{J}$$

2.2 Class Notes 17-01-24

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and

$$y \equiv 0 \pmod{I}$$
$$y \equiv 1 \pmod{J}$$

Since I, J are coprime, there exists  $c \in I, d \in J$  such that c + d = 1. c, d is the solution to our two systems.

**Lemma 10**  $I_1$  is coprime to  $I_2I_3\cdots I_n$ .

*Proof.* There exist

$$a_2 + b_2 = 1$$

$$a_3 + b_3 = 1$$

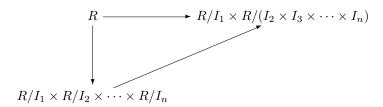
$$\dots$$

$$a_n + b_n = 1$$

 $a_i \in I_1, b_j \in I_j.$ Then

$$b_2b_3 \dots b_n = (1 - a_2) \dots (1 - a_n)$$
  
= 1 + a

where  $a \in I_1$ . By n = 2 case



Let us denote U(R) by  $R^{\times}$ . Note that  $\phi(n) = \|(\mathbb{Z}/n\mathbb{Z})^{\times}\|$ . We now want to look at the structure of  $(\mathbb{Z}/n\mathbb{Z})^{\times}$ . We first develop some background in abstract algebra.

Theorem 2.2.2 (Lagrange Theorem). Let G be a finite group,  $H \subset G$  is a subgroup, then the order of H divides the order of G, i.e.,

*Proof.* Take two cosets in H, Ha and Hb. They are equal or disjoint. So

$$|G| = |H| \cdot \#$$
 of cosets

**Definition 2.2.2** If  $a \in G$ , then o(a) = smallest positive integer d such that

$$a^d = 1$$

is called the order of the element a.

Corollary 2.2.3  $\forall a \in G$ , we have  $o(a) \mid |G|$ .

*Proof.*  $\langle a \rangle := \{1, a, \dots, a^{d-1}\}$  is the subgroup generated by a. Then  $\langle a \rangle \subset G \Rightarrow d \mid |G|$ .

Corollary 2.2.4  $a^{|G|} = 1$ .

Corollary 2.2.5 If  $n \ge 1$ , (a, n) = 1, then  $a^{\phi(n)} \equiv 1 \pmod{n}$ .

*Proof.*  $(a,n)=1\Rightarrow a\to [a]$  is a unit in  $\mathbb{Z}/n\mathbb{Z}$ , i.e.,  $[a]\in (\mathbb{Z}/n\mathbb{Z})^{\times}, |(\mathbb{Z}/n\mathbb{Z})^{\times}|=\phi(n).\Rightarrow [a]^{\phi(n)}=1$  in  $(\mathbb{Z}/n\mathbb{Z})^{\times}$ , i.e.,  $a^{\phi(n)}\equiv 1\pmod{n}$ .

Exercise 2.2.1 Find the last 3 digits of  $3^{1203}$ .

*Proof.*  $\phi(1000) = \phi(2^35^3) = (8-4)(125-25) = 400$ . So  $3^{400} \equiv 1 \pmod{1000}$ . The last three digits are then 027.

We now look at the structure of  $(\mathbb{Z}/p\mathbb{Z})^{\times}$ , where p is a prime.

**Theorem 2.2.6**  $(\mathbb{Z}/p\mathbb{Z})^{\times}$  is cyclic.

We do some checking, let p = 5, 7, 11, 13. For p = 11, we find that 2, 3, 7, 9 are  $\mathbb{Z}/11\mathbb{Z}$ 's generator. **Lemma 11** Let  $a \in G$  be an element of order d, then the order of  $a^m$  is  $\frac{d}{(d,m)}$ .

Proof. Let (d,m)=b, we then have d=bd', m=bm', where (d',m')=1. We claim that  $o(a^m)=d'$ . For  $(a^m)^{d'}\cong a^{bm'd'}\cong a^{dm'}\cong (a^d)^{m'}\cong 1$ . Suppose  $(a^m)^l=1\Rightarrow a^{ml}=1\Rightarrow d\mid ml\Rightarrow bd'\mid bm'l\Rightarrow d'\mid m'l\Rightarrow d'\mid l$ .

Corollary 2.2.7 If G is cyclic of order d, then the number of generators of G is  $\phi(d)$ .

# The Structure of $U(\mathbb{Z}/n\mathbb{Z})$

### 3.1 Class Notes 17-01-26

**Theorem 3.1.1**  $(\mathbb{Z}/p\mathbb{Z})$  is a field.

*Proof.* If 
$$[a] \neq 0 \Rightarrow (p, a) = 1 \Rightarrow \exists x, y \ s.t. \ px + ay = 1 \Rightarrow [a][y] = [1].$$

**Theorem 3.1.2** Let K be a field, let G be a finite subgroup of K, then G is cyclic.

**Lemma 12** Let  $f(x) \in K[x]$  be any non-zero polynomial. Then the number of roots of f in K is elss or equal to deg f

*Proof.* If f(x) has no root, we are done. If f(x) has some roots, say  $\alpha$  is a root, then

$$f(x) = (x - \alpha)g(x) + r(x), \quad r(x) = 00$$

So  $f(x) = (x - \alpha)g(x)$ . By induction the lemma holds.

We can then prove the theorem.

*Proof.* Let K be a field. Let  $G \subset K^{\times}$  be a finite subgroup of order n.  $G \subset \{\text{roots of} x^n - 1\} \Rightarrow G = \{\text{roots of} x^n - 1\}$ . Any element in G has order dividing by n for every divisor d of n. Let  $\Sigma_d = \{a \in G, o(a) = d\}$ , then

$$G = \sqcup_{d|n} \Sigma_d, \quad n = |G| = \sum_{d|n} |\Sigma_d|.$$

We claim:  $|\Sigma_d| = 0$  or  $\phi(d)$ .

If  $\Sigma_d = \emptyset \Rightarrow |\Sigma_d| = 0$ . Suppose  $\Sigma_d \neq \emptyset \Rightarrow \exists a \in G, \text{s.t.} o(a) = d$ . Let  $H = \langle a \rangle = \{1, a, \dots, a^{d-1}\} \subset G$ . i.e.,

 $\Sigma_d$  = set of elements with order d = all elements of H

 $\Rightarrow |\Sigma_d| = \phi(d)$ . Then

$$n = \sum_{d|n} |\Sigma_d| \le \sum_{d|n} \phi(d) = n$$

 $\Rightarrow |\Sigma_d| = \phi(d), \forall d \mid n.$  In particular  $|\Sigma_n| = \phi(n) \Rightarrow G$  is cyclic.

We then want to discuss the structure of  $(\mathbb{Z}/p^{\gamma}\mathbb{Z})^{\times}$ 

**Theorem 3.1.3** If p is an odd prime, then  $(\mathbb{Z}/p^{\gamma}\mathbb{Z})^{\times}$  is cyclic.

*Proof.* Since  $\mathbb{Z}/p^{\gamma}\mathbb{Z} \to \mathbb{Z}/p\mathbb{Z}$  is surjective,  $(\mathbb{Z}/p^{\gamma}\mathbb{Z})^{\times} \to (\mathbb{Z}/p\mathbb{Z})^{\times}$  is surjective. Let us denote  $G := (\mathbb{Z}/p^{\gamma}\mathbb{Z})^{\times}$ ,  $H := (\mathbb{Z}/p\mathbb{Z})^{\times}$ , and let K be the kernal of  $G \to H$ , i.e.,

$$K = \{ [x] \in G, x \equiv 1 \pmod{p} \}.$$

Note we have  $|G| = p^{\gamma - 1}(p - 1), |H| = p - 1$ . So we have  $|K| = \frac{|G|}{|H|} = p^{\gamma - 1}$ . We will show K is cyclic by explicitly constructing a system. We consider the cyclic group generated by 1 + ap, where  $a \equiv 0 \pmod{p}$ . We know that

$$(1+ap)^{p^{\gamma-1}} \equiv 1 \pmod{p^{\gamma}},$$

want however

$$(1+ap)^{p^{\gamma-2}} \not\equiv 1 \pmod{p^{\gamma}}.$$

**Lemma 13** Let p be any prime,  $a, b \in \mathbb{Z}, \gamma \geq 1$ . If  $a \equiv b \pmod{p^{\gamma}}$ , then  $a^p \equiv b^p \pmod{p^{\gamma+1}}$ .

*Proof.* First notice that for  $1 \leq i \leq p-1$ ,  $\binom{p}{i}$  is divided by p, then

$$\begin{split} a &= b + p^{\gamma}t \; \Rightarrow \; a^p = (b + p^{\gamma}t)^p \\ &\Rightarrow \; a^p = b^p + \sum_{i=1}^{p-1} \binom{p}{i} \, b^i (p^{\gamma}t)^{p-1} + (p^{\gamma}t)^p. \\ &\Rightarrow \; a^p \equiv b^p \; (\text{mod } p^{\gamma+1}) \end{split}$$

We then prove the following lemma,

**Lemma 14** 
$$(1+ap)^{p^{\gamma-2}} \equiv 1 + ap^{\gamma-1} \pmod{p^{\gamma}}$$

*Proof.* We induction on  $\gamma$ .

When  $\gamma = 1$ , the statement is trivially true. Assume the statement is true for  $\gamma$ , check for  $\gamma + 1$ . We know

$$(1+ap)^{p^{\gamma-2}} \equiv 1 + ap^{\gamma-1} \pmod{p^{\gamma}},$$

and we want to show

$$(1+ap)^{p^{\gamma-1}} \equiv 1 + ap^{\gamma} \pmod{p^{\gamma+1}}$$

By lemma 13,

$$(1+ap)^{p^{\gamma-1}} \equiv (1+ap^{\gamma-1})^p \pmod{p^{\gamma+1}}$$

$$= 1+p \cdot ap^{\gamma-1} + \sum_{i=2}^{p-1} \binom{p}{i} (ap^{\gamma-1})^i + a^p p^{p(\gamma-1)}$$

$$\equiv 1+ap^{\gamma} \pmod{p^{\gamma+1}}$$

So the statement holds for  $\gamma + 1$ .

# Quadratic Reciprocity

#### 4.1 Class Notes 17-01-31

Last class we have prove that if n = p os a prime, then  $(\mathbb{Z}/p\mathbb{Z})^{\times}$  is cyclic, and if n is odd,  $n = p^r$ ,  $(\mathbb{Z}/p\mathbb{Z})^{\times}$  is cyclic.

### \*\*\*\*\*\*Not Complete\*\*\*\*\*

Let p is an odd prime, (a, p) = 1, is a square modulo p? We try a = -1 for  $p = 5, 13, \ldots$  We have the following proposition.

**Proposition 4.1.1** -1 is a square modulo  $p \iff p \equiv 1 \pmod{4}$ .

**Definition 4.1.1** We introduce the legendre symbol

$$\begin{pmatrix} \frac{a}{p} \end{pmatrix} = \begin{cases} 1 & \text{if } a \text{ is a square modulo } p \\ -1 & \text{otherwise} \end{cases}$$

We have the following proposition.

### **Proposition 4.1.2**

- 1.  $\left(\frac{a}{p}\right) = \left(\frac{b}{p}\right)$  if  $a \equiv b \pmod{p}$ 2.  $\left(\frac{a}{p}\right) \equiv a^{\frac{p-1}{2}} \pmod{p}$ 3.  $\left(\frac{ab}{p}\right) = \left(\frac{a}{p}\right) \left(\frac{b}{p}\right)$

The proof of Proposition 4.1.2.3 is as followed.

*Proof.* Let g be a generator of  $(\mathbb{Z}/p\mathbb{Z})^{\times}$ , then  $\langle g \rangle = \{1, g, g^2, \dots, g^{p-1}\}$ .  $1, g^2, g^4, \dots, g^{p-1}$  are already square. But  $g, g^3, g^5, \dots, g^{p-2}$  are not square (why?). If  $g = h^2$  is a square, it will not generate the group!

The proof of Proposition 4.1.2.2 is as followed.

*Proof.* if  $a=b^2$ , then  $a^{\frac{p-1}{2}}=b^{p-1}\equiv 1\ (\text{mod }p)$ . If  $a\neq b^2$ , say a=g, then  $g^{\frac{p-1}{2}}\not\equiv 1\ (\text{mod }p)$  since g is a primitive root. So  $g^{\frac{p-1}{2}}\equiv -1\ (\text{mod }p)$ , i.e.,  $a^{\frac{p-1}{2}}\equiv -1\ (\text{mod }p)$ .

Theorem 4.1.3

• Suppose p, q are odd prime, then

$$\left(\frac{p}{q}\right)\left(\frac{q}{p}\right) = (-1)^{\frac{p-1}{2}\frac{q-1}{2}}$$

or

$$\left(\frac{p}{q}\right) = \left(\frac{q}{p}\right)(-1)^{\frac{p-1}{2}\frac{q-1}{2}}$$

Namely,  $\binom{p}{q} = \binom{q}{p}$  if either  $p, q \equiv 1 \pmod{4}$  and  $\binom{p}{q} = -\binom{q}{p}$  otherwise.

$$\binom{2}{p} = \begin{cases} 1 & \text{if } p \equiv 1,7 \pmod{8} \\ -1 & \text{if } p \equiv 3,5 \pmod{8} \end{cases} = (-1)^{\frac{p^2 - 1}{8}}.$$

### Exercise 4.1.1 Is 101 a square modulo 107?

*Proof.* Yes, because we have

### Exercise 4.1.2 Is 79 a square of 97?

*Proof.* Yes, because we have

$$\begin{pmatrix} \frac{79}{97} \end{pmatrix} = \begin{pmatrix} \frac{97}{79} \end{pmatrix} = \begin{pmatrix} \frac{18}{79} \end{pmatrix}$$
$$= \begin{pmatrix} \frac{2}{79} \end{pmatrix} = 1$$

### 4.2 Class Notes 17-02-02

**Lemma 15** (Gauss's Lemma). If (a, p) = 1. Consider the residue system

$$\left\{-\frac{p-1}{2},\ldots,-1,+1,+2,\ldots,+\frac{p-1}{2}\right\}.$$

Let  $\mu = \#$  of negative classes that  $a \cdot 1, a \cdot 2, \dots, a \cdot \frac{p-1}{2}$  fall into. Then

$$\left(\frac{a}{p}\right) = (-1)^{\mu}.$$

Let  $a \cdot i \equiv \pm m_i \pmod{p}$ , we claim that if  $i \neq j$ , then  $m_i \neq m_j$ .

*Proof.* if  $m_i = m_j$ , then  $a_i \equiv \pm a_j \pmod{p}$ , so  $i \equiv \pm j \pmod{p}$ . We know that

$$\left\{ m_1, m_2, \dots, m_{\frac{p-1}{2}} \right\} = \left\{ 1, 2, \dots, \frac{p-1}{2} \right\}$$

Let  $\mu = \#$  of negative signs. Then  $a^{\frac{p-1}{2}} \prod i \equiv (-1)^{\mu} \prod m_i \pmod{p}$ 

### Lemma 16 (Eisenstein's Lemma).

Let  $\Sigma = \{2, 4, \dots, p-1\}$ , for  $j \in \Sigma$ , consider  $\left[\frac{aj}{p}\right]$ , then

$$\left(\frac{a}{p}\right) = (-1)^{\sum\limits_{j \in \Sigma} \left[\frac{aj}{p}\right]}.$$

## Finite Fields

### 5.1 Class Notes 17-02-07

**Definition 5.1.1** A finite field is a field with finite many elements

**Example 7**  $\mathbb{Z}/p\mathbb{Z}$  is a finite field

We know that there is always a homomorphism from  $\mathbb{Z}$ to a ring. Let K be a finite field, the homomorphism  $f: \mathbb{Z} \to K$  can't be injective, so the kernal of f is not zero, i.e., the kernal is  $n\mathbb{Z}$  for some n. Let ring P be the image of f, then there is an isomorphism  $\phi: \mathbb{Z}/n\mathbb{Z} \to P$ . So we may identify  $\mathbb{Z}/n\mathbb{Z}$  and P. On the other hand, P is a subring of a field, therefore P is also an integral domain. But an integral domain with finite elements is a field. So equivalently,  $\mathbb{Z}/n\mathbb{Z}$  has to be a field, which implies that n is a prime. So we conclude:

**Theorem 5.1.1** Every finite field K has a subfield isomorphic to  $\mathbb{Z}/p\mathbb{Z}$ . We say K has charateristic p and denote  $F_p = \mathbb{Z}/p\mathbb{Z}$ .

If  $F \subset E$  are fields, we may view E as a vector space over F, or a F-vector space. We will write  $\dim E := [E : F]$  and say E is a finite extension of F.

**Example 8**  $[\mathbb{C}:\mathbb{R}]=2$ 

Notice that if K is a finite field, then  $[K:F_p]$  is finite, say n. Let  $x_1, x_2, \ldots, x_n$  be the basis of the  $F_p$ -field, then explicitly,

$$K = \{c_1x_1 + c_2x_2 + \ldots + c_nx_n\}, \forall c_i \in F_p,$$

which implies that  $|K| = p^n$ .

Let K be a field with  $p^n$  elements, then the multiplicative subgroup (equivalently, the group of units),  $K^{\times}$  is finite, and therefore cyclic. We have

$$\alpha^{p^n-1} = 1, \quad \forall \alpha \in K^{\times}$$

or

$$\alpha^{p^n} = \alpha, \quad \forall \alpha \in K.$$

Since a polynomial f of degree deg f has at most deg f roots in a field,  $x^{p^n} = x$  has at most  $p^n$  roots in K. So the  $p^n$  roots of the polynomial  $x^{p^n} = x$  form exactly the field K.

We now want to explicitly construct a field of order  $p^n$  (or equivalently, a field in which  $x^{p^n} - x$  factors completely).

Exercise 5.1.1 Let L, E, F be fields. E is a field extension of F, L is a field extension of E. Prove that

$$[E:F][L:E] = [L:F]$$

*Proof.* Just write down the basis.

### 5.2 Class Notes 17-02-09

**Proposition 5.2.1** Let K be a field of order  $p^n$ , then K admits a unique sobfield of size  $p^d$ ,  $\forall d \mid n$ .

*Proof.* Let K', K'' be two such subfields, Then

$$K' = \{ \text{roots of } x^{p^n - 1} - x \text{ in } K \} = K''.$$

For existence, let  $K' = \{\text{roots of } x^{p^d} - x\}$ , we just need to show K' is a field. Clearly,  $1, 0 \in K'$ . Using  $(x + y)^p = (x^p + y^p)$  in characteristic p field K', we can also show K' is closed in addition, multiplication and division. Thus K' is a field. Note that  $d \mid n$  is necessary since we must have  $x^{p^d} - x \mid x^{p^n} - x$ , and that implies  $d \mid n$ .

The general problem is that let  $f(x) \in K[x]$  be a non-constant polynomial, can we construct an extension of K such that f(x) can be linearly factored? Let L := K[x]/(f(x)), f(x) is irreducible in K. We have

#### Theorem 5.2.2

- L is a field.
- In L, f has a root, namely the class of x such that f(x) = 0.
- **Example 9**  $\mathbb{C} = \mathbb{R}[x]/(x^2+1)$

**Exercise 5.2.1** Prove that  $\mathbb{R}[x]/(x^2+1)$  is isomorphic to  $\mathbb{R}[x]/(x^2+5)$ .

*Proof.* We apply the bijection  $x \mapsto x/\sqrt{5}$ .

**Theorem 5.2.3**  $[L:K] = \deg f$ 

Corollary 5.2.4  $L = K[\alpha] = K(\alpha)$ .  $K[\alpha]$  is the ring generated by K and  $\alpha$ .  $K(\alpha)$  is the field generated by K and  $\alpha$ .

### 5.3 Class Notes 17-02-14

Construction of a field of size  $p^n$ : We can find a field K such that  $x^{p^n} - x$  splits completely. First notice that  $x^{p^n} - x$  has no multiple roots. This is because if we define  $f(x) := x^{p^n} - x$ , we have  $(f(x), f'(x)) = (x^{p^n} - x, p^n x^{p^n - 1} - 1) = 1$ .

**Theorem 5.3.1** Any two field with  $p^n$  elements are isomorphic.

Proof. Suppose  $||L|| = p^n$ , I claim:  $\exists \alpha \in L$ , such that  $\mathbb{F}_p(\alpha) = \mathbb{F}_p[\alpha]$ . Let K be a field with  $p^n$  element,  $K = \mathbb{F}_p(\alpha), K^{\times} = \langle \alpha \rangle$ , Let  $\phi$  be the homomorphism from  $\mathbb{F}_p[x] \to \mathbb{F}_p[\alpha]$ ,  $\ker \phi = (f(x)), f(x) \in \mathbb{F}_p[x], \Rightarrow \frac{\mathbb{F}_p[x]}{(f(x))} \sim \mathbb{F}_p[\alpha] = \mathbb{F}_p(\alpha) = K, \Rightarrow f(x)$  is an irreducible (K is a field).

$$[K:\mathbb{F}_p] = \deg f.$$

 $\alpha$  satisfies  $x^{p^n} - x = 0$ . Because of the isomorphism,  $\alpha$  satisfies  $f(x) \Rightarrow \alpha$  satisfies the gcd. But  $\gcd(x^{p^n} - x, f(x)) = f(x) \Rightarrow f(x) \mid (x^{p^n} - x)$ 

So far, we proved,  $\exists \alpha$ , such that  $K = \mathbb{F}_p(\alpha)$ .

- 1. any such  $\alpha$  is a root of an irreducible polynomial in  $\mathbb{F}_p[x]$  that divides  $x^{p^n} x$ .
- 2.  $K \sim \frac{\mathbb{F}_p[x]}{f(x)}$  for some irreducible f(x),  $f(x) \mid (x^{p^n} x)$ .

3. Let g(x) be any irreducible factor of  $x^{p^n} - x$  of degree n,  $K' = \frac{\mathbb{F}_p}{(g(x))}$ , we know  $[K' : \mathbb{F}_p] = n$ ,  $\Rightarrow x^{p^n} - x$  splits completely in K',  $\Rightarrow f$  has a root  $\beta \in K'$ .

Exercise 5.3.1 How many monic irreducible polynomial of deg 9 over  $\mathbb{F}_7$ ?

*Proof.* Consider the finite extension of the field  $\mathbb{F}_7$ . Over the field  $\mathbb{F}_{7^9}$ ,  $x^{7^9}-x$  splits completely. So

$$x^{7^9} - x = (x^{7^3} - x) * \prod_{\text{deg } g = 9, \text{irr over } \mathbb{F}_7} g,$$

So ans= 
$$\frac{7^9-7^3}{9}$$
.

We now want to prove the quadratic reciprocity using finite field.

Proof.

### \*\*\*\*\*\*Not Complete\*\*\*\*\*

### 5.4 Class Notes 17-02-16

**Definition 5.4.1** Let E/K be extension of fields. An element  $\alpha \in E$  is said to be algebraic over K if it's the root of some non-zero polynomial with coefficient in K.

- Example 10
  - 1.  $\sqrt{2}i$  is algebraic over  $\mathbb{Q}$ .
  - 2.  $\pi$  is not algebraic over  $\mathbb{Q}$

 $k(\alpha)$  is the smallest subfield of K containing K and  $\alpha$ .  $k[\alpha]$  is the smallest subring of E containing K and  $\alpha$ . We have

$$k(\alpha) = \{ \frac{p(\alpha)}{q(\alpha)} \text{ with } p(x), q(x) \in K[x], q(x) \neq 0 \}$$
$$k[\alpha] = \{ p(\alpha), p(x) \in K[x] \}$$

### **Theorem 5.4.1** Suppose $\alpha$ is algebraic over K, then $K(\alpha) = K[\alpha]$

Proof. Let  $I = \{p(x) \in K[x], p(\alpha) = 0\} \subset k[x]$ , I is an ideal in  $K[\alpha]$ . Since K[x] is a PID, I = (f(x)). Consider the homomorphism  $\phi$  from K[x] to  $K[\alpha]$  is surjective, so  $\frac{K[x]}{(f(x))}$  is isomorphic to  $K[\alpha]$ . But  $K[\alpha] \subset K(\alpha)$  is a subring of a field, and therefore an integral domain, so f(x) is irreducible. By the claim that  $\frac{K[x]}{(f(x))}$  is a field, we have  $K(\alpha) = K[\alpha]$ .

**Exercise 5.4.1**  $\alpha = 2^{1/3} \in \mathbb{C}$ , write  $\frac{1+\alpha}{1+\alpha^2}$  as a polynomial in  $\alpha$  with  $\mathbb{Q}$  coefficient.

*Proof.* Just find the inverse of  $1 + \alpha^2$ . Hint, use Euclidean to find the gcd of  $x^3 - 2$  and  $x^2 + 1$ .

### **Theorem 5.4.2** All algebraic numbers over a field K is a field.

Proof.

1. Evidently, if  $\alpha$  is algebraic over K, so is  $K^{-1}$ .

2. To prove closure under multiplication and addition. Suppose we have two algebraic number  $\alpha$  and  $\beta$  and  $[k(\alpha):k]=m, [k(\beta):k]=n$ . Let  $r_{ij}=\alpha^i\beta^j$ , where  $0\leq i\leq m-1, 0\leq j\leq n-1$ . Let  $\gamma=\alpha+\beta, N=mn$ . We can then write down the following equitions.

$$\gamma \cdot r_1 = c_{11}r_1 + \dots + c_{1N}r_N$$

$$\gamma \cdot r_1 = c_{21}r_1 + \dots + c_{2N}r_N$$

$$\vdots$$

$$\vdots$$

$$\gamma \cdot r_N = c_{N1}r_1 + \dots + c_{NN}r_N$$

Let A be the matrix of  $c_{ij}$ , then

$$\gamma r = Ar$$

or

$$\det(A - \gamma I) = 0$$

Therefore closed under multiplication and addition.

## Gauss and Jocobi Sums

#### 6.1 Class Notes 17-03-07

**Definition 6.1.1** A multiplicative character on  $\mathbb{F}_p$  is a map  $\chi$  from  $\mathbb{F}_p^{\times}$  to the nonzero complex numbers that satisfies

$$\begin{split} &\chi: \mathbb{F}_p^\times \to \mathbb{C}^\times \\ &\chi(ab) = &\chi(a)\chi(b) \quad \text{for all } a,b \in \mathbb{F}_p^\times \end{split}$$

**Example 11** Let p = 5, Find all multiplicative characters modulo p.

Table 6.1: The characters of the group  $\mathbb{F}_5$ 

Proof.

**Proposition 6.1.1** Let  $\chi$  be a multiplicative character and  $a \in \mathbb{F}_p^{\times}$ . Then

- 1.  $\chi(1) = 1$ .
- 2.  $\chi(a)$  is a  $(p-1)_{st}$  root of unity.
- 3.  $\chi(a^{-1}) = \chi(a)^{-1} = \overline{\chi(a)}$ .

Proof.

- 1. We have  $\chi(1) = \chi(1^2) = (\chi(1))^2$ , so  $\chi(1) = 0$  or  $\chi(1) = 1$ . But  $\chi(1)$  can't be zero, thus
- 2.  $(\chi(a))^{p-1} = \chi(a^{p-1}) = \chi(1) = 1$ . 3.  $\underline{1} = \chi(aa^{-1}) = \chi(a)\chi(a^{-1})$ , so  $\chi(a^{-1}) = \chi(a)^{-1}$ . Also, since  $1 = ||\chi(a)|| = \chi(a)\overline{\chi(a)}$ ,  $\overline{\chi(a)} = (\chi(a))^{-1}.$

**Proposition 6.1.2** Let  $\chi$  be a multiplicative character.

- 1. If  $\chi \neq \varepsilon$ , then  $\sum_t \chi(t) = 0$ , where the sum is over all  $t \in \mathbb{F}_p$ . 2. If  $\chi = \varepsilon$ , then  $\sum_t \varepsilon(t) = p$ , the sum is over all  $t \in \mathbb{F}_p$ .

- 1. if  $\chi \neq \varepsilon$ , there exist some  $a \in \mathbb{F}_p^{\times}$  such that  $\chi(a) \neq 1$ . Then  $\sum_t \chi(t) = \sum_t \chi(at) = \sum_t \chi(a) \chi(t) = \chi(a) \sum_t \chi(t)$ . Thus  $\sum_t \chi(t) = 0$ . 2. if  $\chi = \varepsilon$ , trivially,  $\sum_t \chi(t) = p$ .

#### **Proposition 6.1.3**

- 1. Let  $G = \mathbb{F}_p$ , then the multiplicative characters of G form a cyclic group of order p-1.
- 2. If  $a \in \mathbb{F}_p^{\times}$  and  $a \neq 1$ , then there is a character  $\chi$  such that  $\chi(a) \neq 1$ .

**Definition 6.1.2** The group of the multiplicative characters of a group G is denoted by  $\hat{G}$ .

Proof.

1. It's easy to verify that if  $\chi$ ,  $\lambda$  are characters, then  $\chi\lambda$  is also a character. Also, the inverse  $\chi^{-1}$  defined by  $\chi^{-1}: a \mapsto \chi(a)^{-1}$  is a character. So all characters form a group. Let g be a primitive root modulo p, then  $\xi := \chi(g)$  is a primitive  $p-1_{st}$  root of unity (why? For if exists some a least  $u < p-1, u \neq 0$  such that  $\xi^u = 1$ , then  $\chi(g^u) = 1$ . But then exists  $v \equiv p-1 \pmod{u}$  such that  $\xi^v = 1$ , which contradicts our assumption). If there is some n such that  $\chi^n = \varepsilon$ , then  $(\chi(g))^n = \varepsilon(g) = 1$ . Then  $\xi^n = 1 \Rightarrow (p-1) \mid n$ . But the order of  $\xi$  is at most p-1, so the order of  $\xi$  is p-1.

\*\*\*\*\*\*Not Complete\*\*\*\*\*

Corollary 6.1.4 If  $a \in \mathbb{F}_p^{\times}$ ,  $a \neq 1$ , then  $\sum_{\chi \in \hat{G}} \chi(a) = 0$ .

*Proof.* If  $a \neq 1$ , then

$$\begin{split} \sum_{\chi \in \hat{G}} \chi(a) &= \sum_{\chi \in \hat{G}} (\mu \chi)(a) \\ &= \mu(a) \sum_{\chi \in \hat{G}} \chi(a). \end{split}$$

So  $\sum_{\chi \in \hat{G}} \chi(a) = 0$ .

We now have the question that whether  $x^n = a$  has a root in  $\mathbb{F}_p$ ?

**Proposition 6.1.5** Let  $d = \gcd(n, p - 1)$ , then  $x^n = a$  has a root in  $\mathbb{F}_p \Leftrightarrow x^d = a$  has a solution in  $\mathbb{F}_p$  and in this case the number of roots is d.

*Proof.* We have proved this result. (Using the fact that  $\mathbb{F}_p^{\times}$  is a cyclic group)

Another question is that let  $n \mid (p-1)$ , does  $x^n = a$  has solutions in  $\mathbb{F}_p$ .

**Theorem 6.1.6** Let  $n \mid p-1, a \in \mathbb{F}_p^{\times}$ , then

$$N(x^n = a \text{ in } \mathbb{F}_p^{\times}) = \sum_{\chi \in \hat{G}, \chi^n = \varepsilon} \chi(a)$$

### ■ Example 12

1. n=2, p is an odd prime. Then

$$N(x^2 = a \text{ in } \mathbb{F}_p^{\times}) = \sum_{\chi \in \hat{G}, \chi^2 = \varepsilon} \chi(a) = \varepsilon(a) + \left(\frac{a}{p}\right)$$

6.1 Class Notes 17-03-07

2. n = 3, p is an odd prime. Then

$$N(x^3 = a \text{ in } \mathbb{F}_p^\times) = \sum_{\chi \in \hat{G}, \chi^3 = \varepsilon} \chi(a) = \varepsilon(a) + \chi(a) + \chi^2(a)$$

where  $\chi$  is a character of order 3.

We can extend the character  $\chi: \mathbb{F}_p^{\times} \to \mathbb{C}^{\times}$  to  $\chi: \mathbb{F}_p \to \mathbb{C}$  by setting  $\varepsilon(0) = 1$  and for  $\chi \neq \varepsilon$ ,  $\chi(0) = 0$ .

Proof.

\*\*\*\*\*\*Not Complete\*\*\*\*\*