

***Intelligence II:***  
***Advanced Decision-Making Mechanisms***

# Advanced Decision-making Mechanisms for this Class

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- More on Behavior Trees
- Planning to Achieve the Goal
- Planning with Uncertainty to Achieve the Goal

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# More on Behavior Trees

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- Additional task: *Decorator*
- Has only one child whose execution it controls in a special way

*Example:*

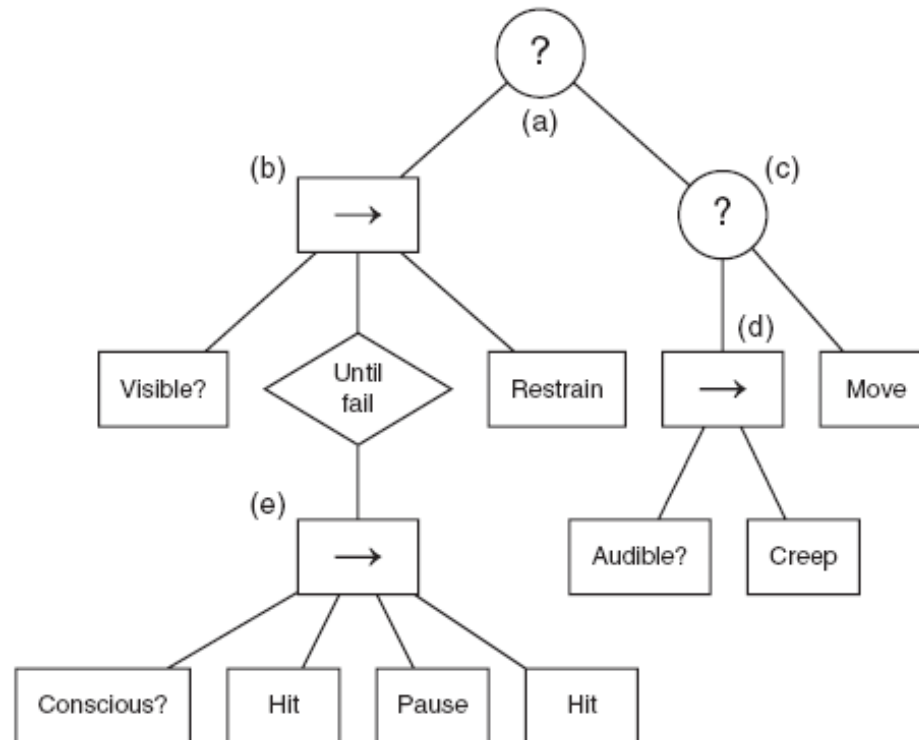
“*y decorators*” *decide whether to execute its children based on some conditions*

# More on Behavior Trees

- Additional task: *Decorator*
- Has only one child whose execution it controls in a special way

*Example:*

*“Loop decorators” loop until failure*



from “Artificial Intelligence for Games” by I. Millington & J. y ge

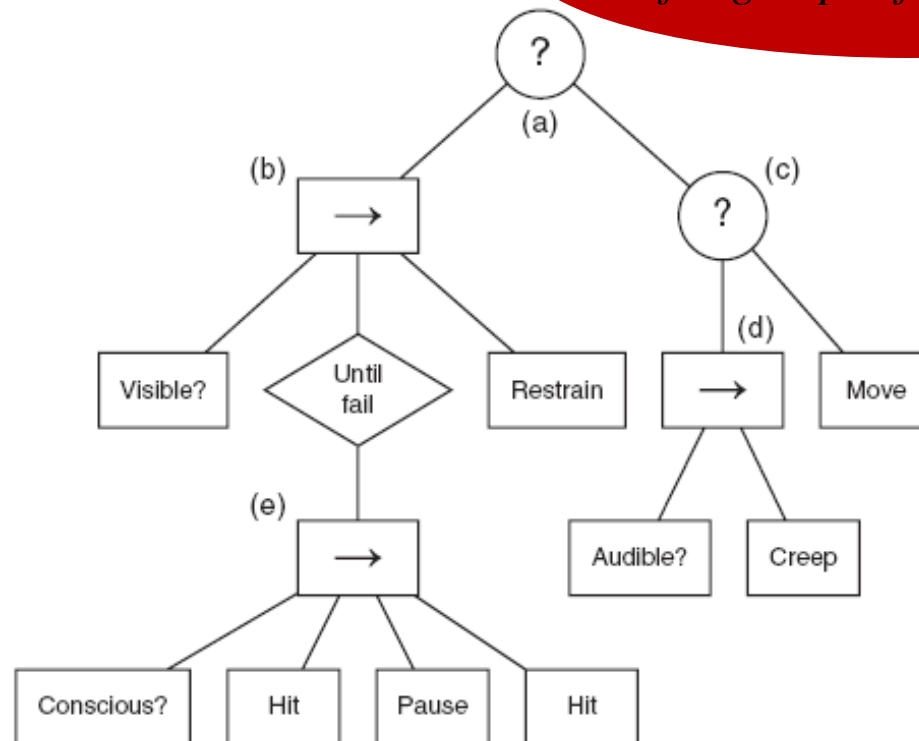
# More on Behavior Trees

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*Example:*

*“Loop decorators” loop until fail*

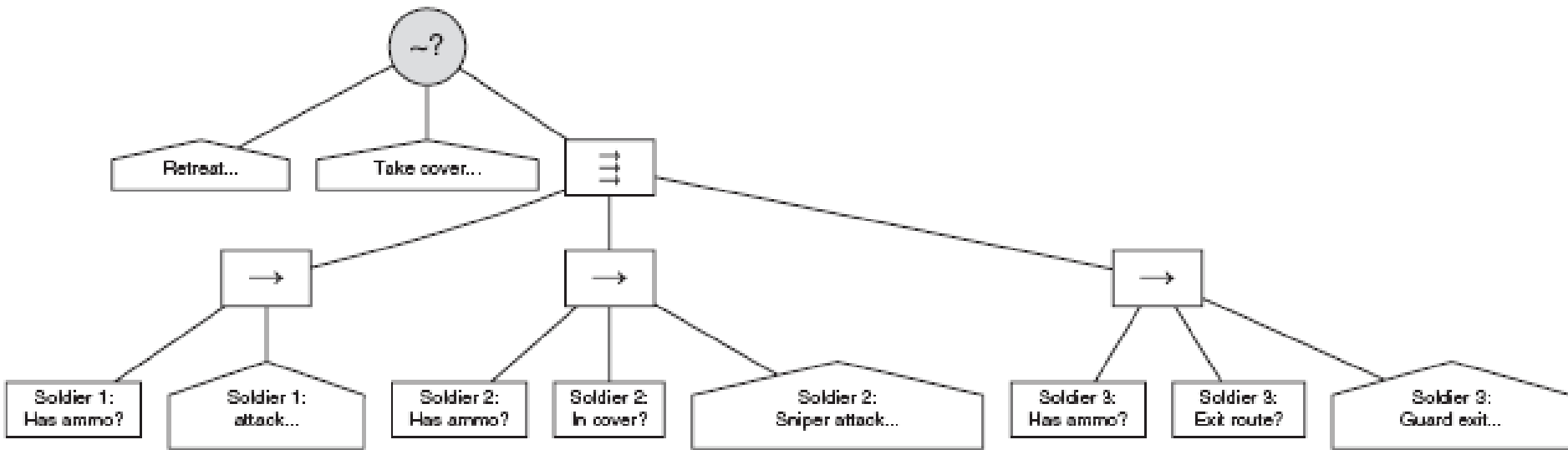
*What about behavior trees for groups of characters?*



# More on Behavior Trees

- Additional task: *Parallel*
- Executes tasks in parallel until first fails or all succeed

*Example:*

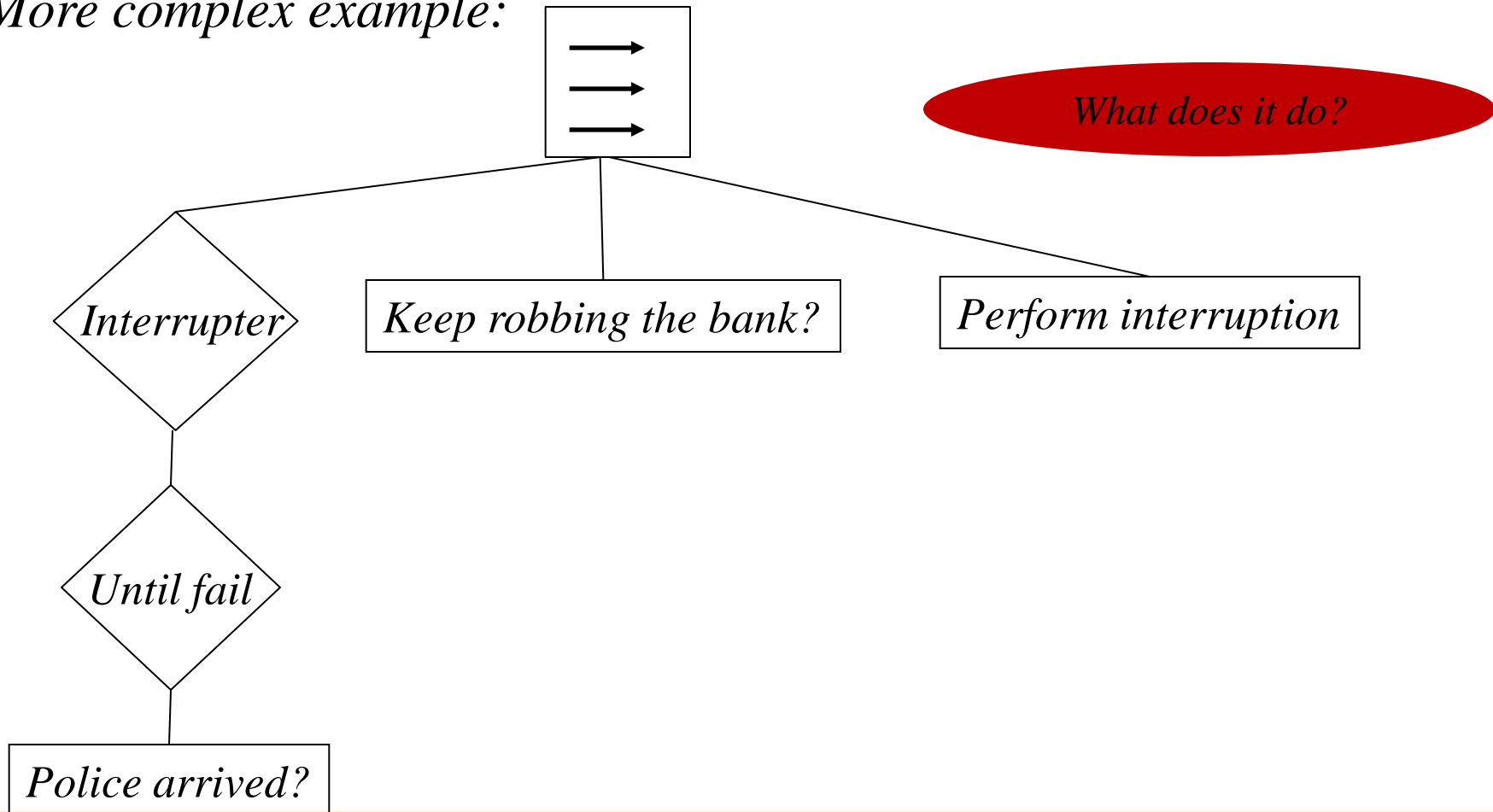


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# More on Behavior Trees

- Additional task: *Parallel*
- Executes tasks in parallel until first fails or all succeed

*More complex example:*





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# Goal-Oriented Behavior

- Beyond hard-coding stimulus-response pairs
- Seeks to satisfy internal goals (e.g., hunger, threat, gold, etc.)



# Goal-Oriented Behavior

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- Beyond hard-coding stimulus-response pairs
- Seeks to satisfy internal goals (e.g., hunger, threat, gold, etc.)

*Three components:*

*Goals (motives),*

*How Pressing each Goal is (insistence)*

*Actions with Expected Impact on the Insistence of Each Goal*

# Goal-Oriented Behavior

- Beyond hard-coding stimulus-response pairs
- Seeks to satisfy internal goals (e.g., hunger, threat, gold, etc.)

*Example:*

*Goals with Insistence Values:*

*Eat = 9, Kill Enemy = 8, Get Healthy = 4*

*Actions with Impact on Insistence Values of Goals:*

*Get Food (Eat: -5)*

*Kill Enemy (Kill Enemy: -8, Get Healthy: +4)*

*Get Health Pack (Get Healthy: -2)*



# Goal-Oriented Behavior

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*Negative side effect  
of the action*

*Pick action that has the best  
net effect (could be weighted)*





# Goal-Oriented Behavior

- Beyond hard-coding stimulus-response pairs
- Seeks to satisfy internal goals (e.g., hunger, threat, gold, etc.)

*Example:*

*Potential problems?*

*Goals with Insistence Values:*

*Eat = 9, Kill Enemy = 8, Get Healthy = 4*

*Actions with Impact on Insistence Values of Goals*

*Get Food (Eat: -5)*

*Kill Enemy (Kill Enemy: -8, Get Healthy: +4)*

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# Goal-Oriented Behavior

- Beyond hard-coding stimulus-response pairs
- Seeks to satisfy internal goals (e.g., hunger, threat, gold, etc.)

*Optimal sequence?*

*Example:*

*Suppose the character is under attack and can pick a weapon that allows it to more effectively shoot the enemies*

*Goals with Insistence Values:*

*Eat = 7, Kill Enemy = 8, Get Healthy = 4, NewWeapons=1*

*Actions with Impact on Insistence Values of Goals:*

*Get Food (Eat: -5, Get Healthy: +2)*

*Kill Enemy (Kill Enemy: -8, Get Healthy: +7)*

*Get Health Pack (Get Healthy: -2, Eat: +2)*

*Get Weapon (NewWeapons: -1, Get Healthy: +8*

*plus Kill Enemy action will have no impact on Health)*

# Goal-Oriented Behavior

- Beyond hard-coding stimulus-response pairs

- Seeks to satisfy:

*Optimal sequence:*

*Get Health Pack, Get Weapon, Kill Enemy*

*Example:*

*How to compute it?*

*Suppose the character is under attack and can pick a weapon that allows it to more effectively shoot the enemies*

*Goals with Insistence Values:*

*Eat = 7, Kill Enemy = 8, Get Healthy = 4, NewWeapons=1*

*Actions with Impact on Insistence Values of Goals:*

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# Goal-Oriented Planning

- Beyond single-step decisions

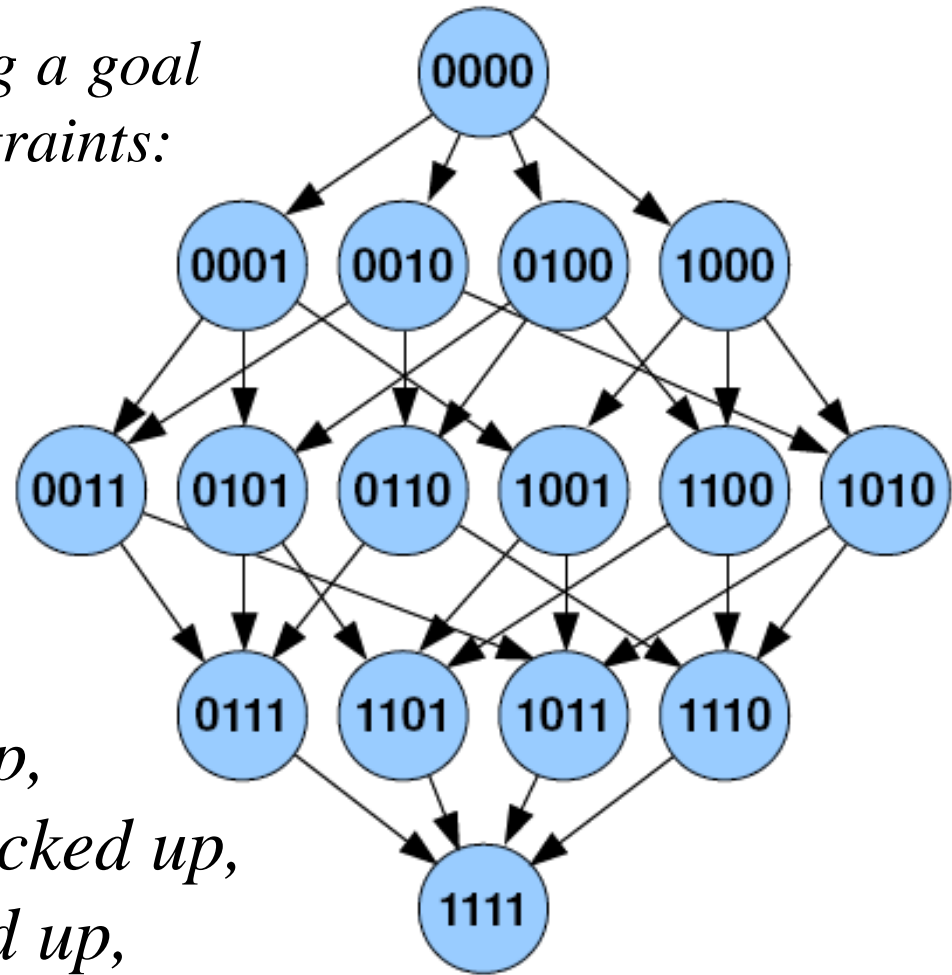
*Search-space (graph) for finding a goal that satisfies the necessary constraints:*

*Example:*

*initial state is 0000*

*goal state is 1111*

*(e.g., bit 0: food is picked up,  
bit 1: health pack is picked up,  
bit 2: weapon is picked up,  
bit 3: enemy is shot)*



# Goal-Oriented Planning

- Beyond single-step decisions

*Search-space (graph) for finding a goal that satisfies the necessary constraints:*

*The validity of a transition depends on the source state (e.g., can't shoot enemy weapon was picked up)*

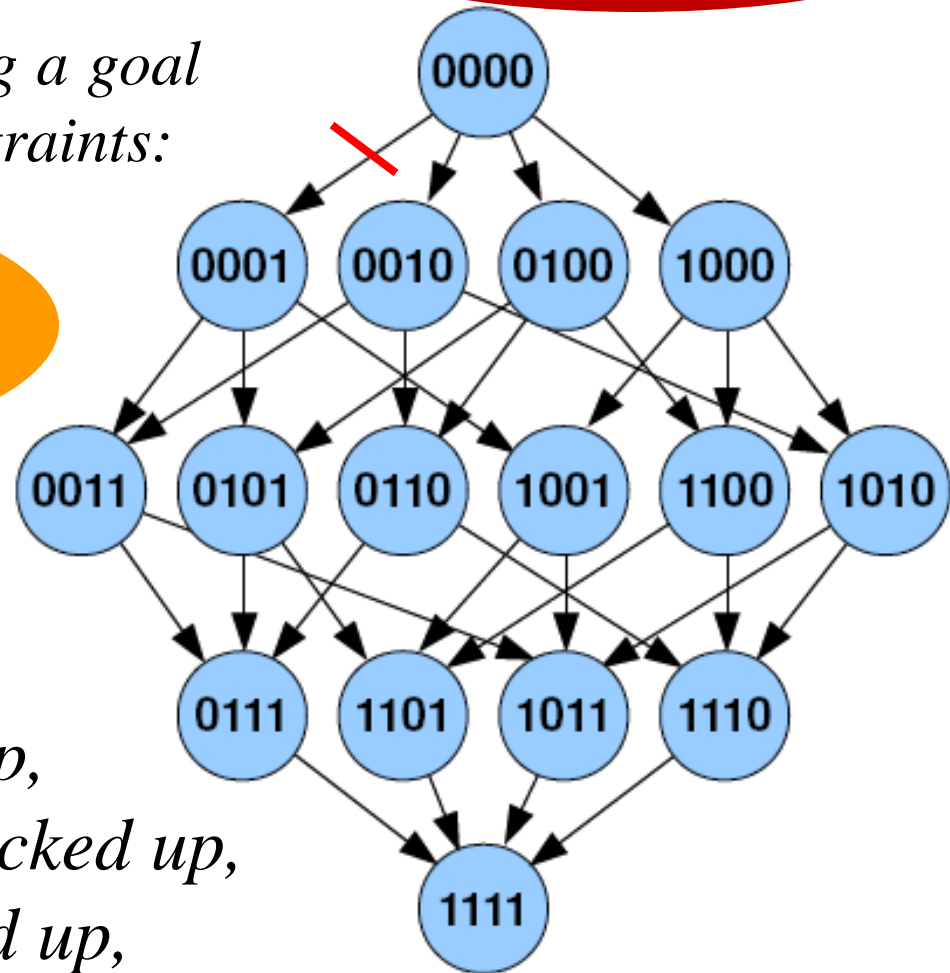
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*What could be the transition costs?*



# Goal-Oriented Planning

- Beyond single-step decisions

*What if the goal is to shoot enemy  
(whether health pack and food are  
picked up or not)?*

*Search-space (graph) for finding a goal  
that satisfies the necessary constraints:*

*Example:*

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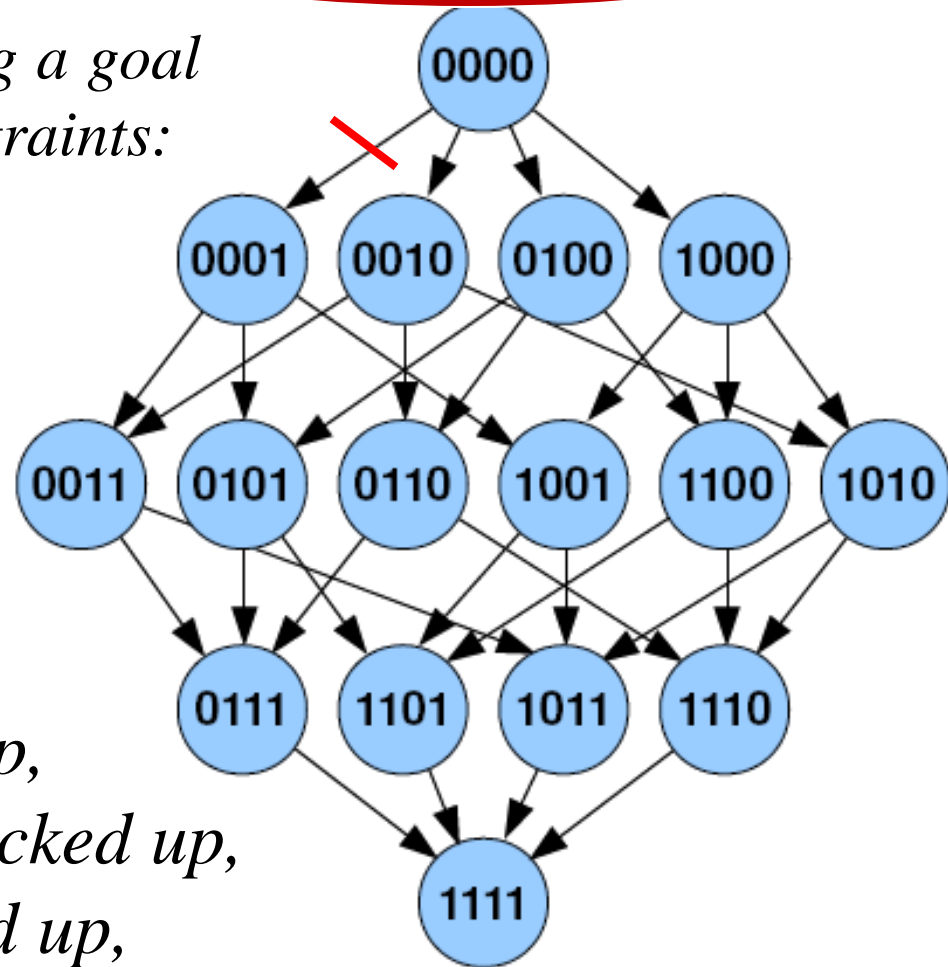
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# Goal-Oriented Planning

- Beyond single-step decisions

*What if the goal is to shoot enemy  
(whether health pack and food are  
picked up or not)?*

*Search-space (graph) for finding a  
partially-defined goal:*

*Example:*

*initial state is 0000*

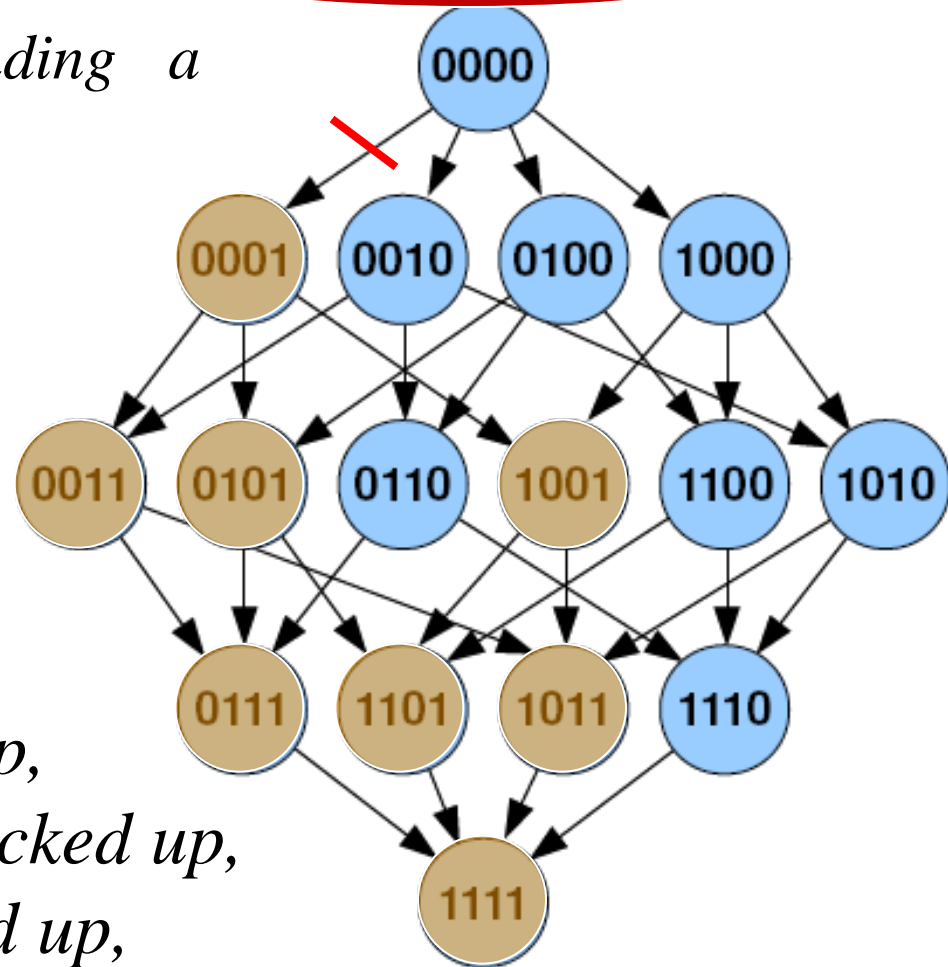
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# Goal-Oriented Planning

- Beyond single-step decisions

*What are the efficient way to represent the state vectors?*

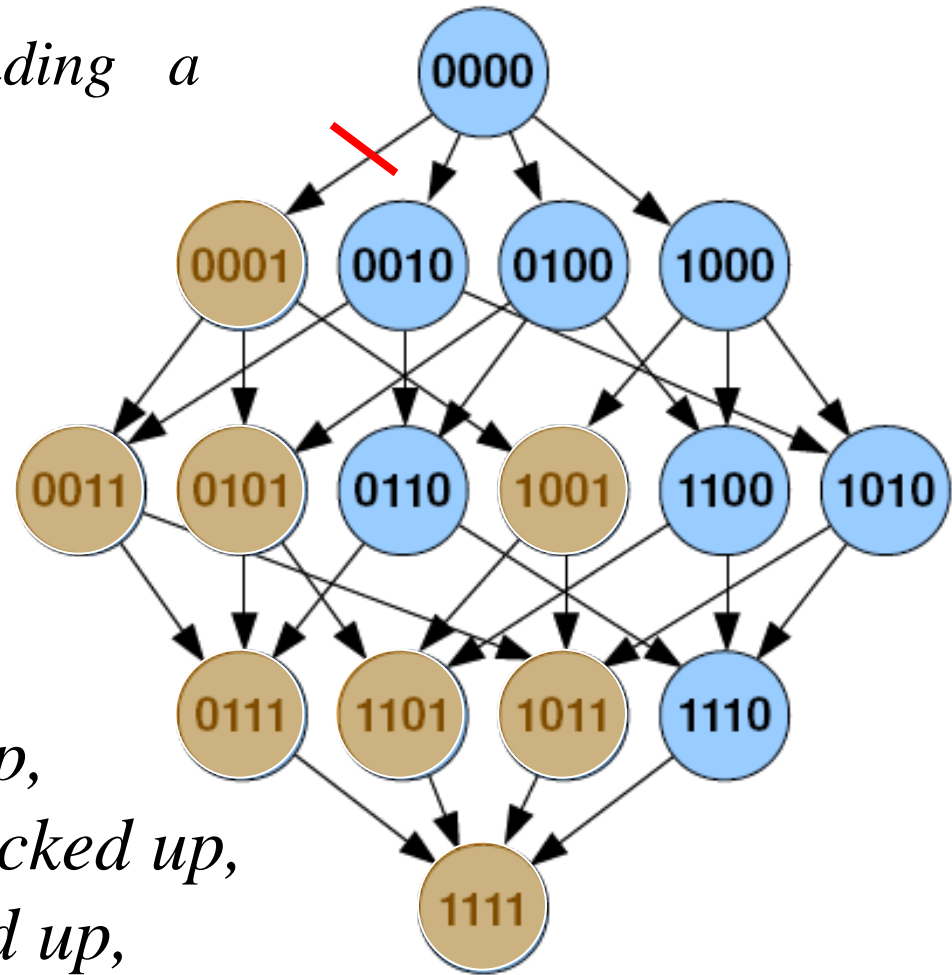
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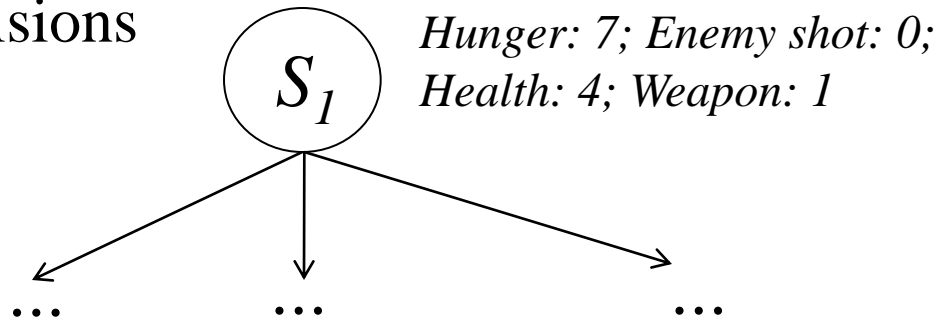
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# Goal-Oriented Planning

- Beyond single-step decisions



*Working out Example with some non-binary variables:*

*Goals with Insistence Values:*

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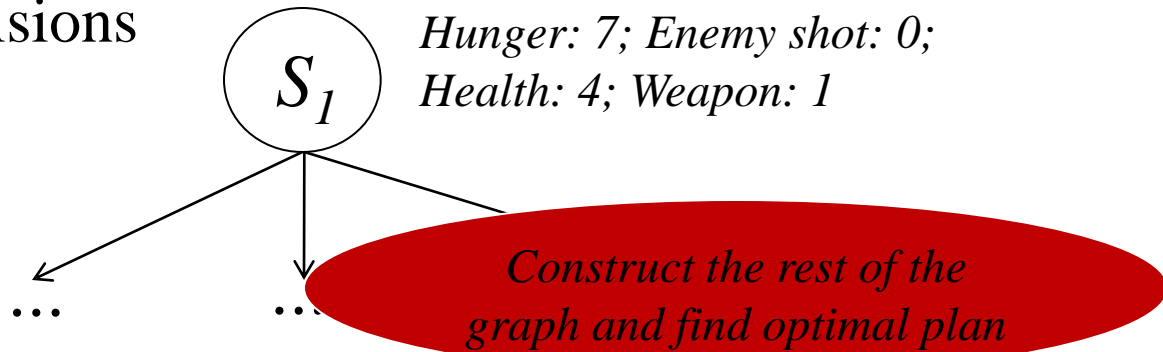
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# Goal-Oriented Planning

- Beyond single-step decisions

*How to search the graph?*

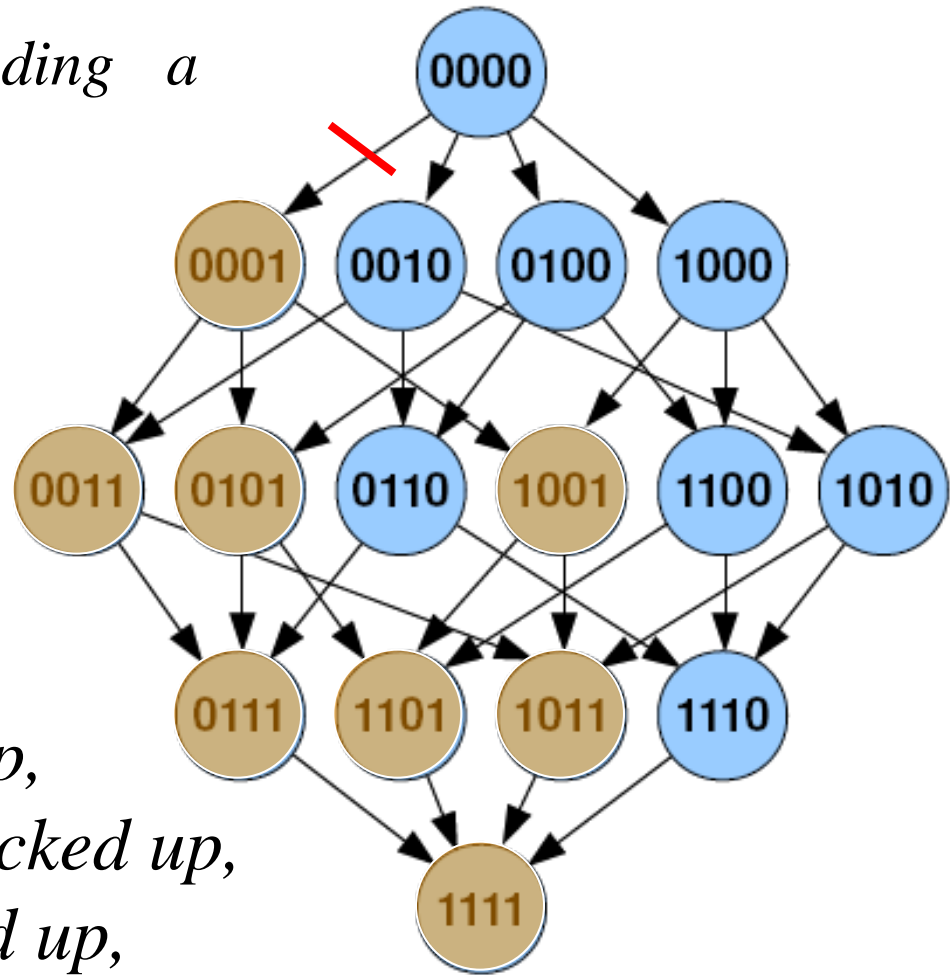
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# Goal-Oriented Planning

- Beyond single-step decisions

*How to search the graph?*

*DFS, BFS, A\*, etc.*

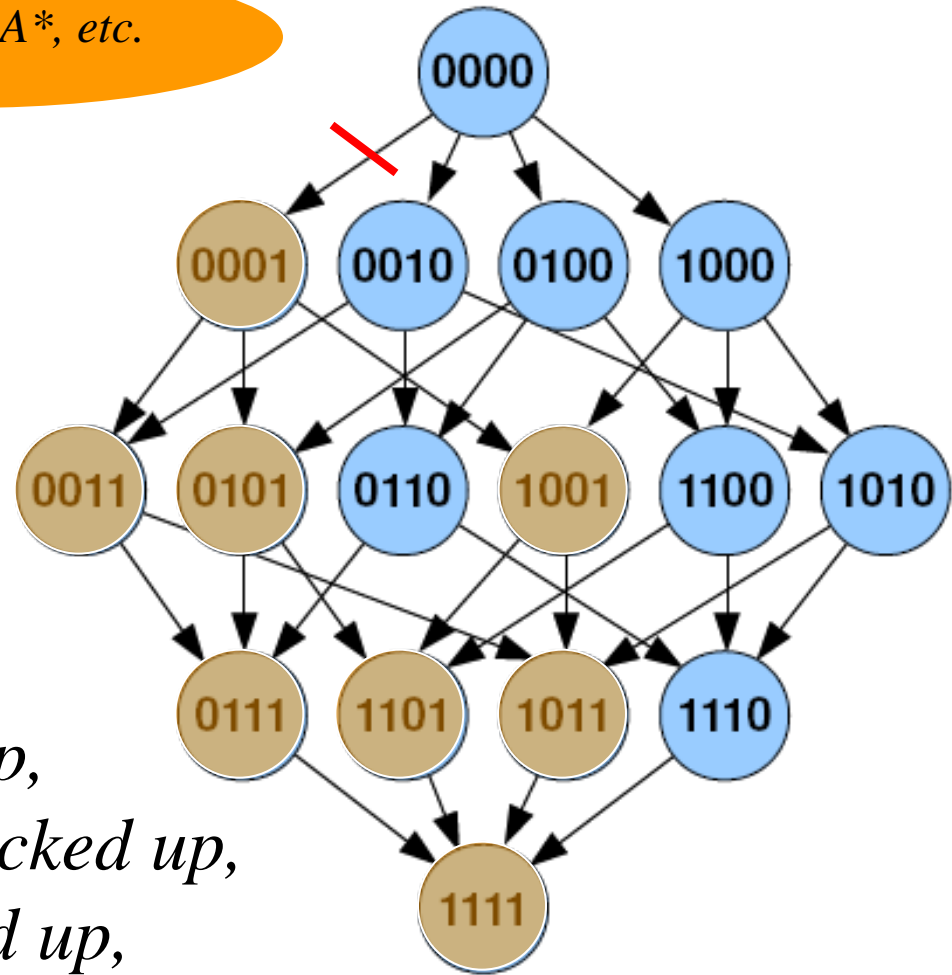
*Search-space (graph),  
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# Goal-Oriented Planning

- Beyond single-step decisions

*How to search the graph?*

*DFS, BFS, A\*, etc.*

*Search-space (graph),  
partially ordered*

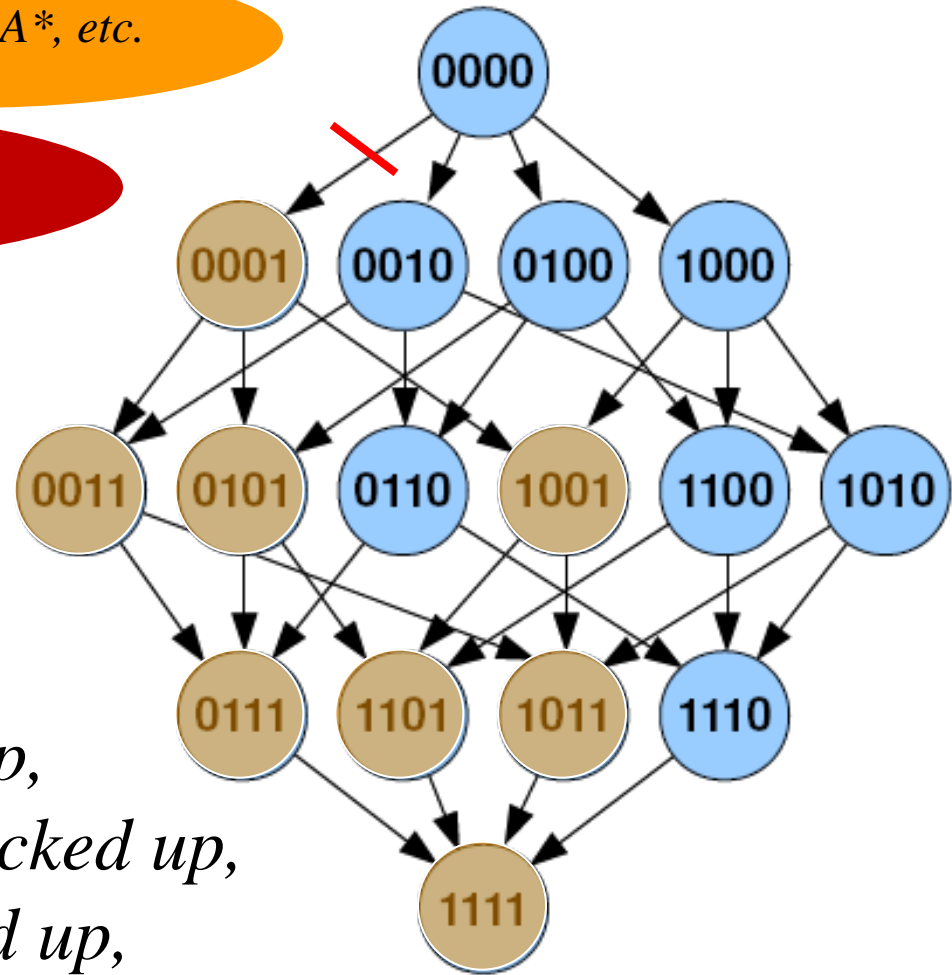
*Problems with BFS and A\*  
for large state vectors?*

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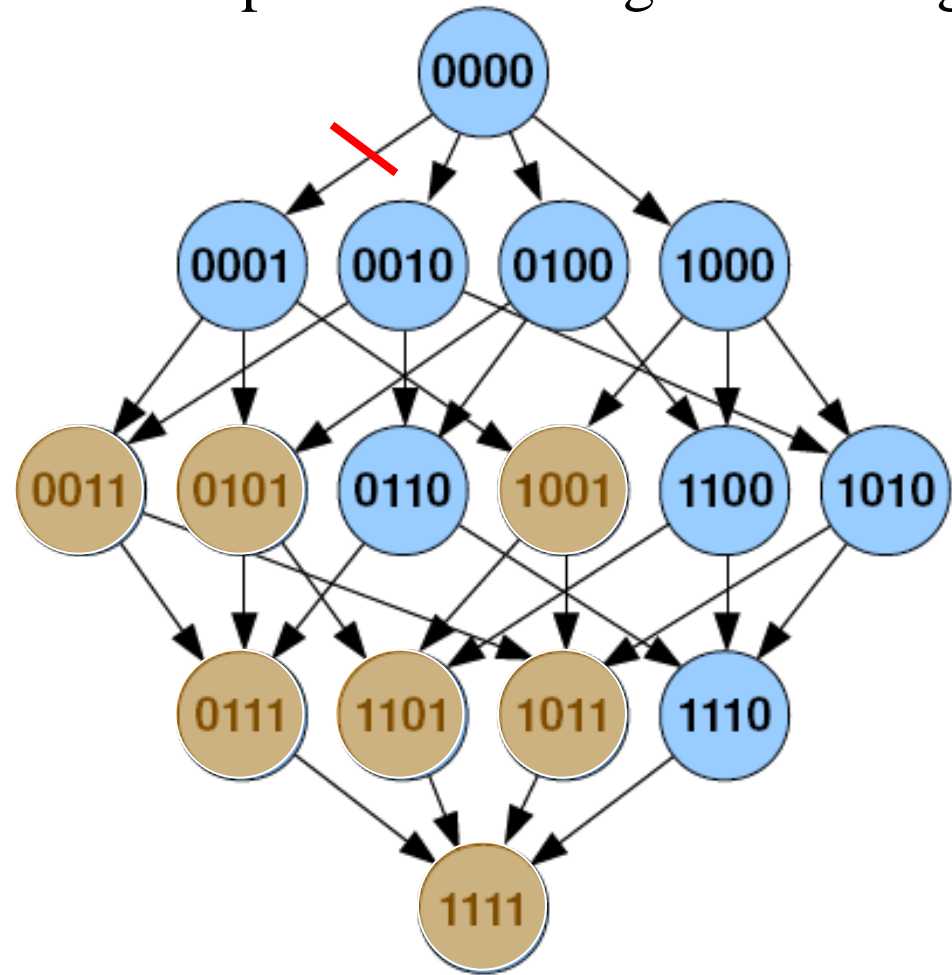
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# Goal-Oriented Planning

- Beyond single-step decisions
- **IDA\***: Very popular search for state-spaces with large branching factors and shallow goals



# Goal-Oriented Planning

- Beyond single-step decisions
- **IDA\***: Very popular search for state-spaces with large branching factors and shallow goals

## IDA\* (Iterative Deepening A\*)

1. set  $f_{max} = 1$  (or some other small value)
2. traverse the graph in DFS fashion without expanding states with  $f > f_{max}$
3. If path to the goal found, return the best path it finds
4. Otherwise  $f_{max} = f_{max} + 1$  and go to step 2

# Goal-Oriented Planning

- Beyond single-step decisions
- **IDA\***: Very popular search for state-spaces with large branching factors and shallow goals

## IDA\* (Iterative Deepening A\*)

1. set  $f_{max} = l$  (or some other small value)
2. traverse the graph in DFS fashion without expanding states with  $f > f_{max}$
3. If path to the goal found, return the best path it finds
4. Otherwise  $f_{max} = f_{max} + l$  and go to step 2

*Proof?*

- Complete and optimal in any state-space (with positive costs)
- Memory:  $O(bl)$ , where  $b$  – max. branching factor,  $l$  – length of optimal path
- Complexity:  $O(kb^l)$ , where  $k$  is the number of times DFS is called

# Advanced Decision-making Mechanisms for this Class

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# Goal-Oriented Planning Under Uncertainty

- Dealing with uncertainty in outcomes

*How do we represent this?*

*Example:*

*Suppose the character is under attack and can pick a weapon that allows it to more effectively shoot the enemies*

*Suppose also there is 50% chance of getting health pack at each attempt*

*Goals with Insistence Values:*

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# Goal-Oriented Planning Under Uncertainty

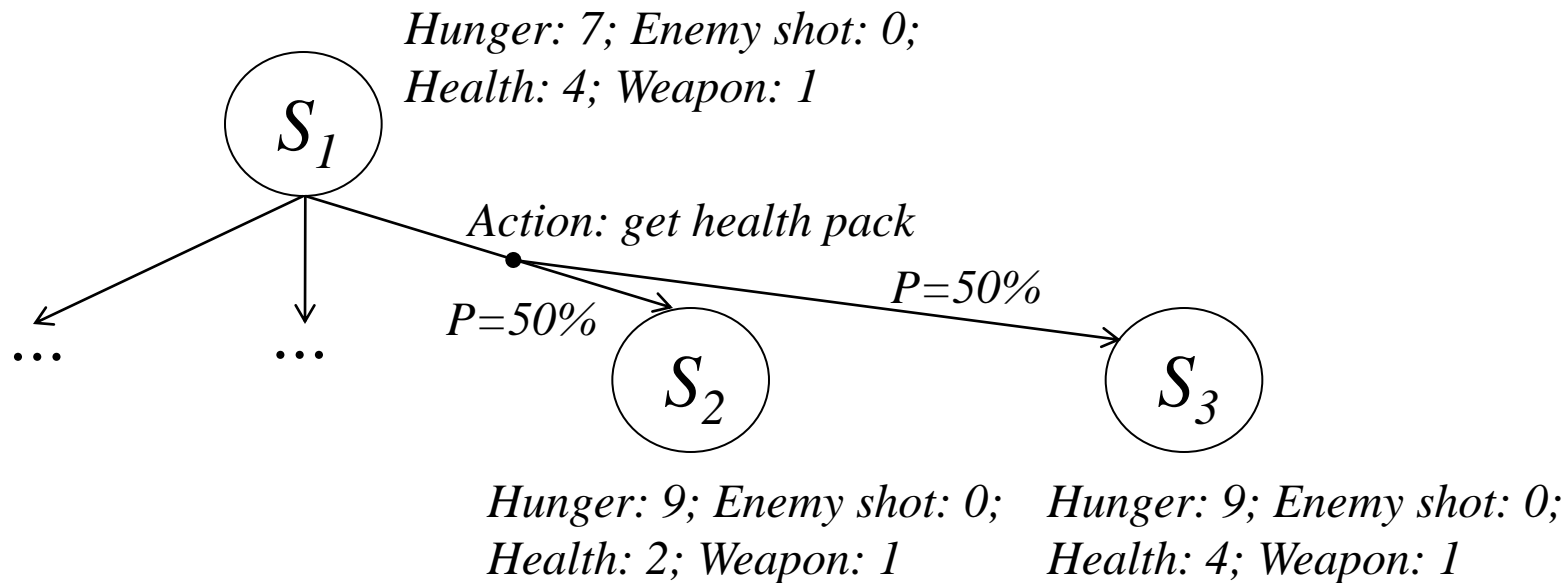
- Dealing with uncertainty in outcomes

*Markov Decision  
Processes (MDP)*

*Example:*

*Suppose the character is under attack and can pick a weapon that allows it to more effectively shoot the enemies*

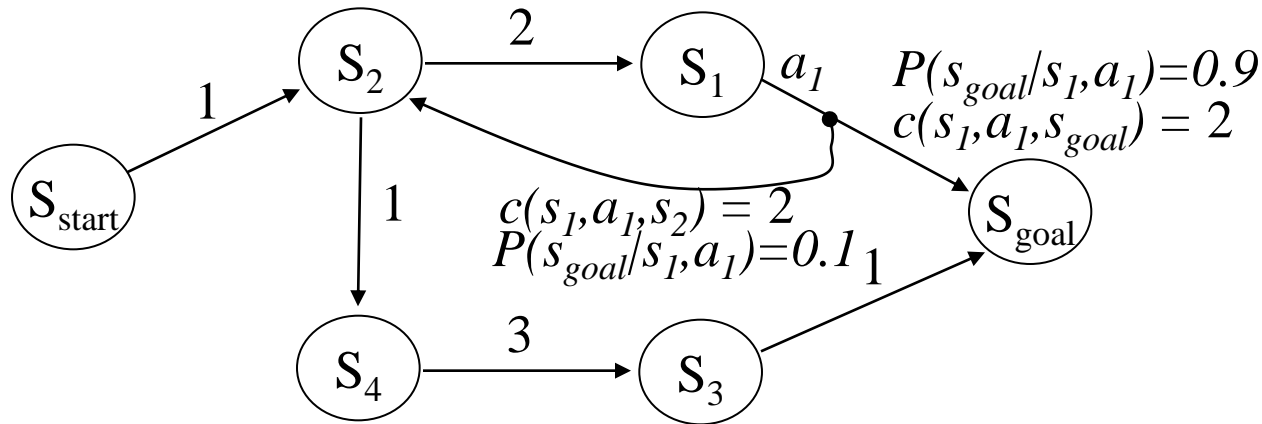
*Suppose also there is 50% chance of getting health pack at each attempt*





# Planning in MDPs

- What plan to compute?
  - Plan that minimizes the worst-case scenario (minimax plan)
  - Plan that minimizes the expected cost
  - Plan that minimizes cost while guaranteeing  $P(\text{goal reached}) > t$



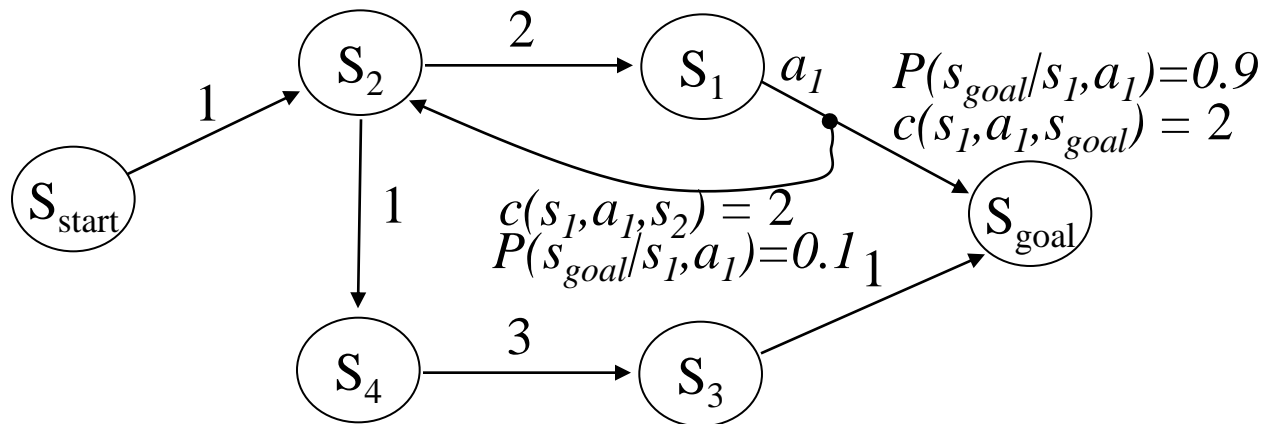
- Without uncertainty, plan is a single path:  
a sequence of states (a sequence of actions)
- In MDPs, plan is a policy  $\pi$ :  
mapping from a state onto an action

# Planning in MDPs

- What plan to compute?

*Which ones are policies?*

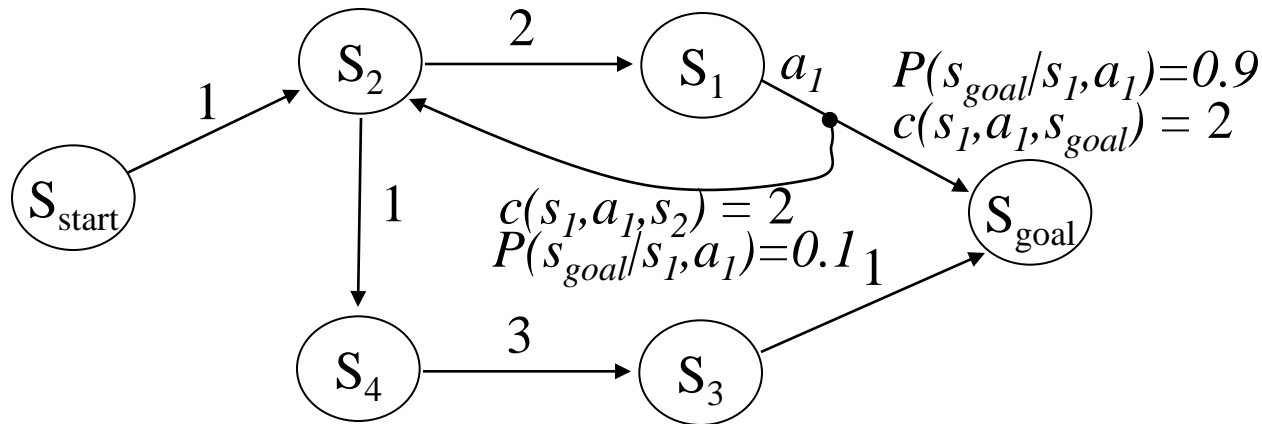
- Plan that minimizes the worst-case scenario (minimax plan)
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- Without uncertainty, plan is a single path:  
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*Why?*

# Minimax Formulation



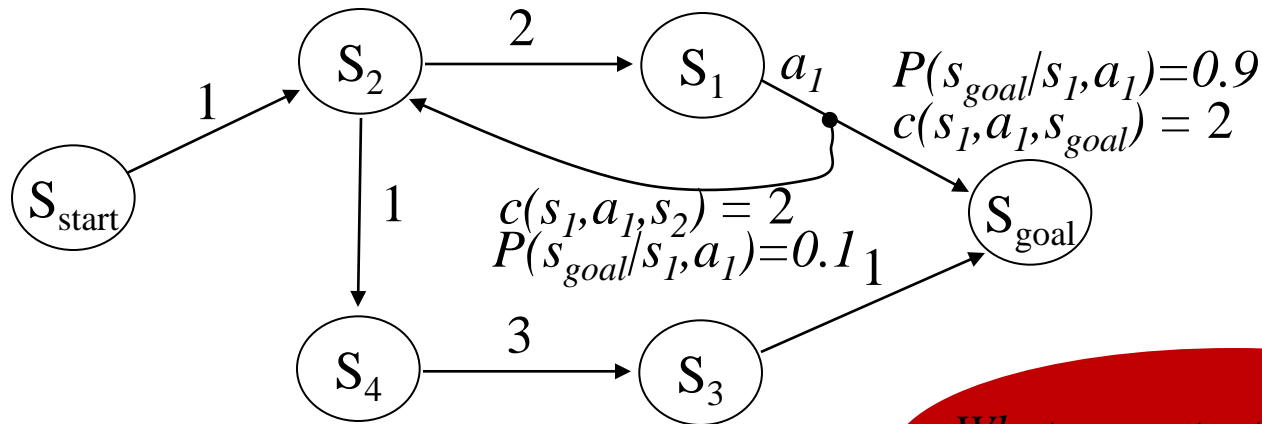
- Optimal policy  $\pi^*$ :  
minimizes the *worst* cost-to-goal  

$$\pi^* = \operatorname{argmin}_{\pi} \max_{\text{outcomes of } \pi} \{ \text{cost-to-goal} \}$$
- worst cost-to-goal for  $\pi_1 = (s_{\text{start}}, s_2, s_4, s_3, s_{\text{goal}})$  is:  

$$1 + 1 + 3 + 1 = 6$$
- worst cost-to-goal for  $\pi_2 = (\text{try to go through } s_1)$  is:  

$$1 + 2 + 2 + 2 + 2 + 2 + 2 + \dots = \infty$$

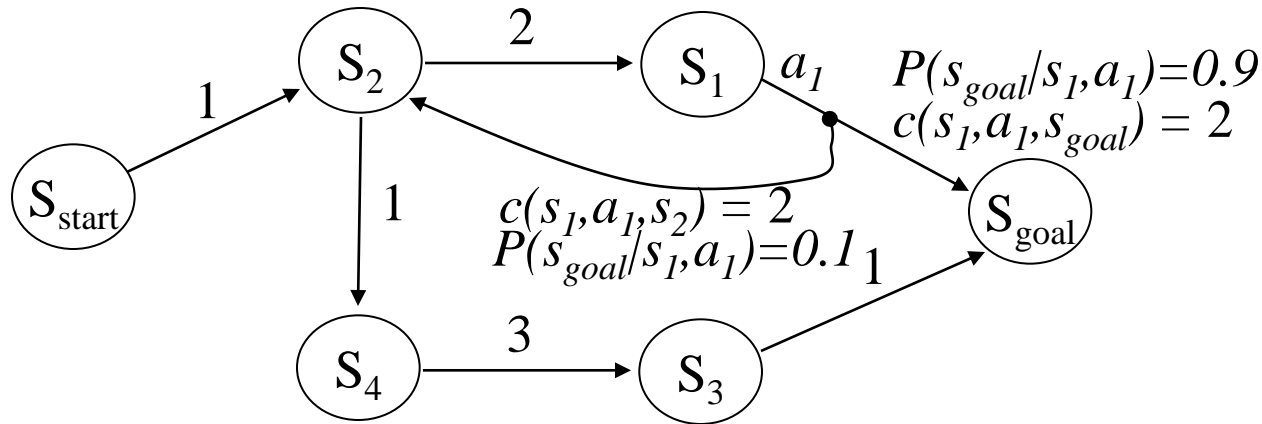
# Minimax Formulation



*What are potential problems with minimax approaches?*

- Optimal policy  $\pi^*$ :  
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- Optimal minimax policy  $\pi^* = \pi_1 = (s_{start}, s_2, s_4, s_3, s_{goal})$

# Expected Cost Formulation



- Optimal policy  $\pi^*$ :

minimizes the *expected* cost-to-goal

$$\pi^* = \operatorname{argmin}_{\pi} E\{\text{cost-to-goal}\}$$

*expectation over  
outcomes*

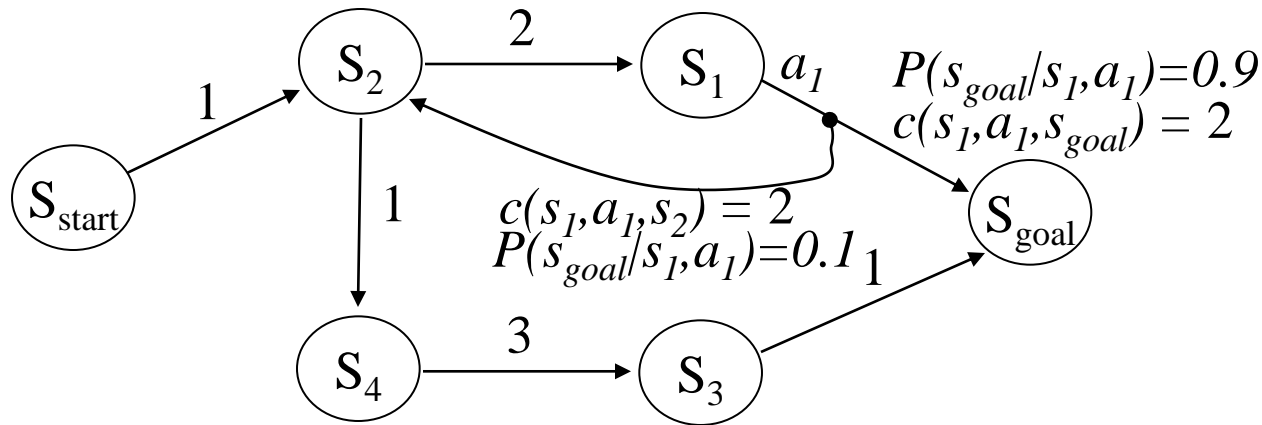
- expected cost-to-goal for  $\pi_1 = (s_{start}, s_2, s_4, s_3, s_{goal})$  is

$$1+1+3+1=6$$

- cost-to-goal for  $\pi_2 = (\text{try to go through } s_1)$  is:

$$0.9*(1+2+2) + 0.9*0.1*(1+2+2+2+2) + 0.9*0.1*0.1*(1+2+2+2+2+2+2) + \dots = 5.44\bar{4}$$

# Expected Cost Formulation



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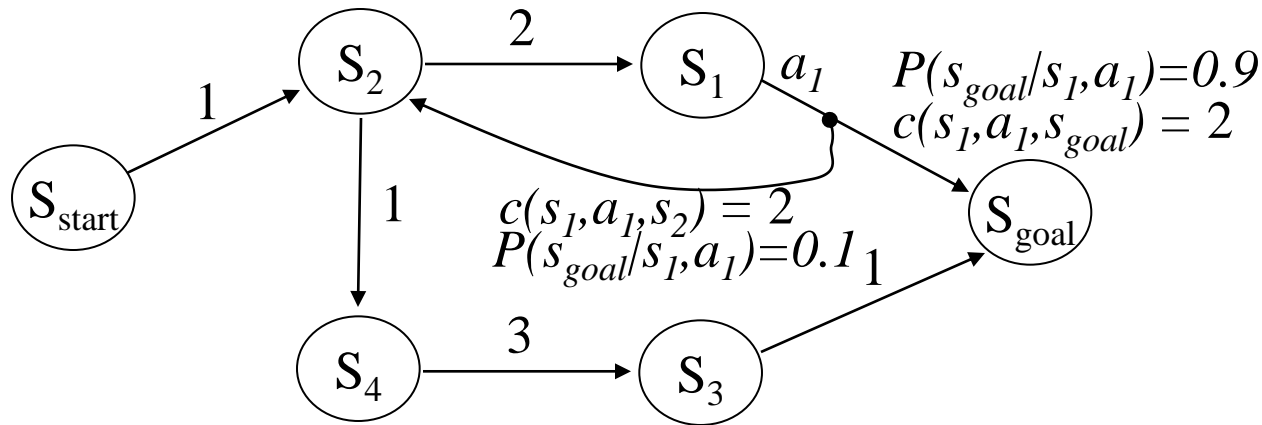
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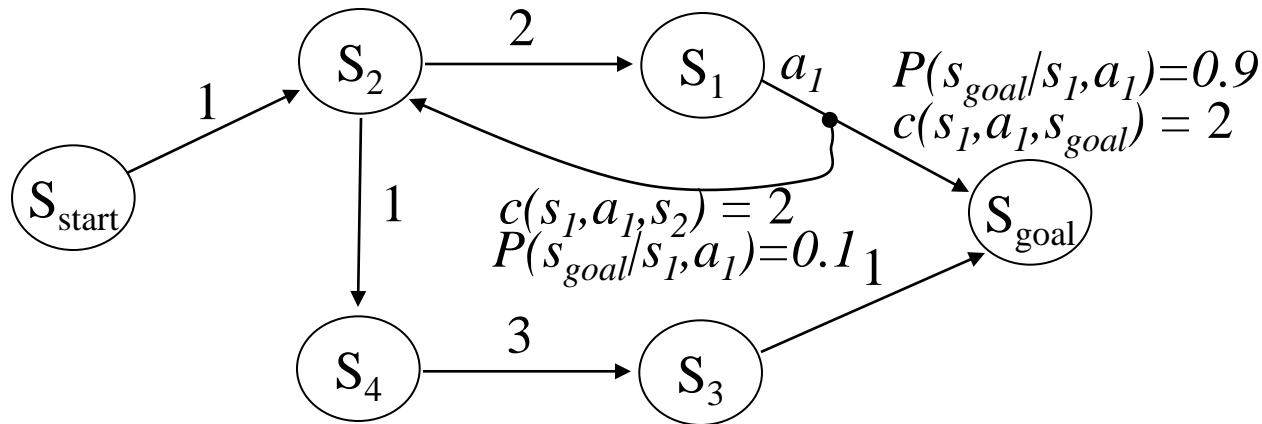
*How to compute it?*

# Expected Cost Formulation



- Optimal policy  $\pi^*$ :  
minimizes the *expected* cost-to-goal  
$$\pi^* = \operatorname{argmin}_{\pi} E\{\text{cost-to-goal}\}$$
- Optimal expected cost policy  $\pi^* = \pi_2 = (\text{go through } s_1)$

# Minimizing Cost with $P(success) > t$ constraint



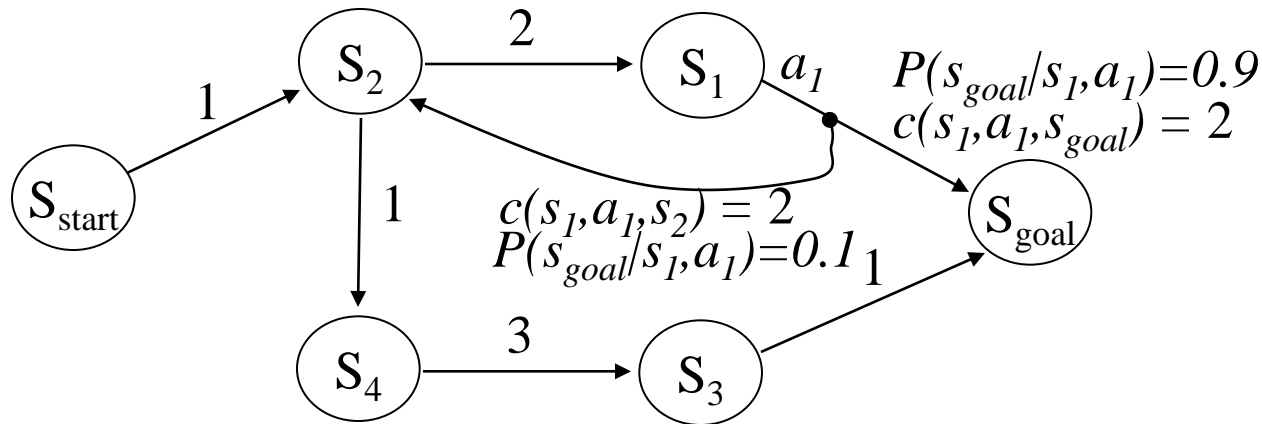
- Optimal path  $\pi^*$  is a path that minimizes the cost-to-goal assuming some outcomes  

$$\pi^* = \operatorname{argmin}_{\pi} \{ \text{cost-to-goal} \}$$

$$\text{s.t. } P(\text{assumed outcomes}) > t$$
- for  $\pi_1 = (s_{start}, s_2, s_4, s_3, s_{goal})$ :  
 $\text{cost-to-goal} = 1 + 1 + 3 + 1 = 6, P(\text{success}) = 1$
- for  $\pi_2 = (\text{try to go through } s_1)$  is:  
 $\text{cost-to-goal} = 1 + 2 + 2 = 5, P(\text{success}) = 0.9$



# Minimizing Cost with $P(success) > t$ constraint

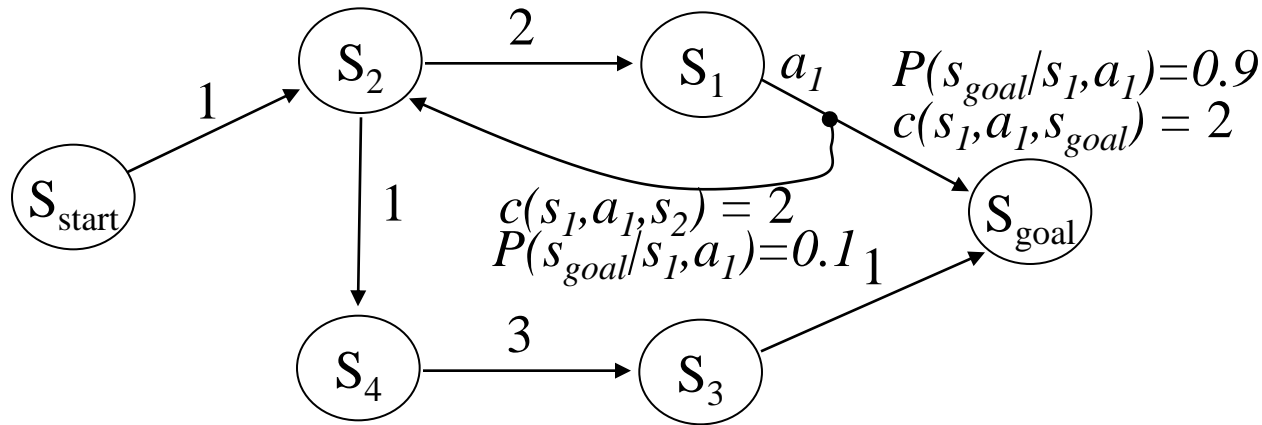


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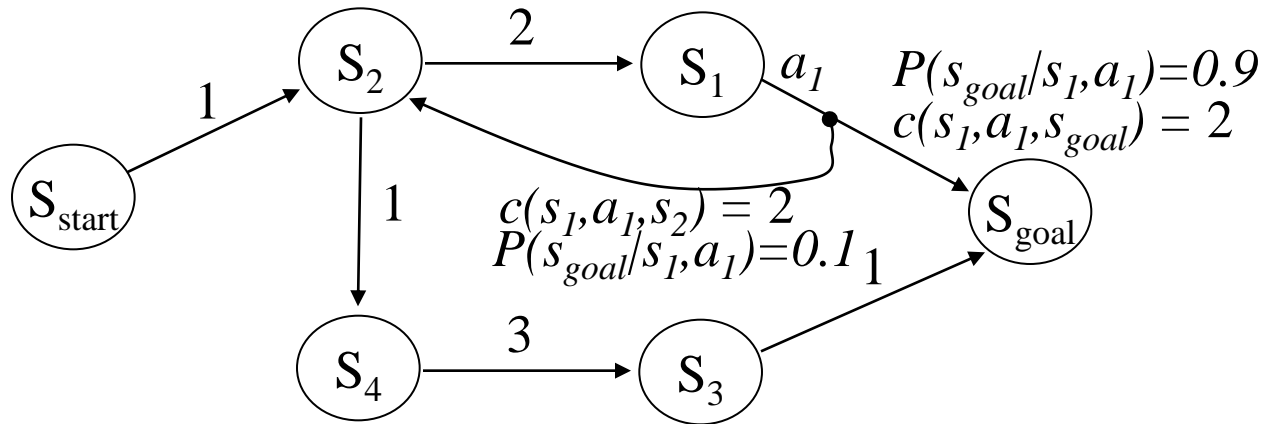
$$\text{s.t. } P(\text{assumed outcomes}) > t$$
- for  $t > 0.9$ ,  $\pi^* = \pi_1 = (s_{start}, s_2, s_4, s_3, s_{goal})$
- for  $t \leq 0.9$ ,  $\pi^* = \pi_2 = (\text{try to go through } s_1)$

# Computing Expected Cost Minimal Plans



- Optimal policy  $\pi^*$ :  
minimizes the *expected* cost-to-goal  
$$\pi^* = \operatorname{argmin}_{\pi} E\{\text{cost-to-goal}\}$$
- Let  $v^*(s)$  be minimal expected cost-to-goal for state  $s$

# Computing Expected Cost Minimal Plans



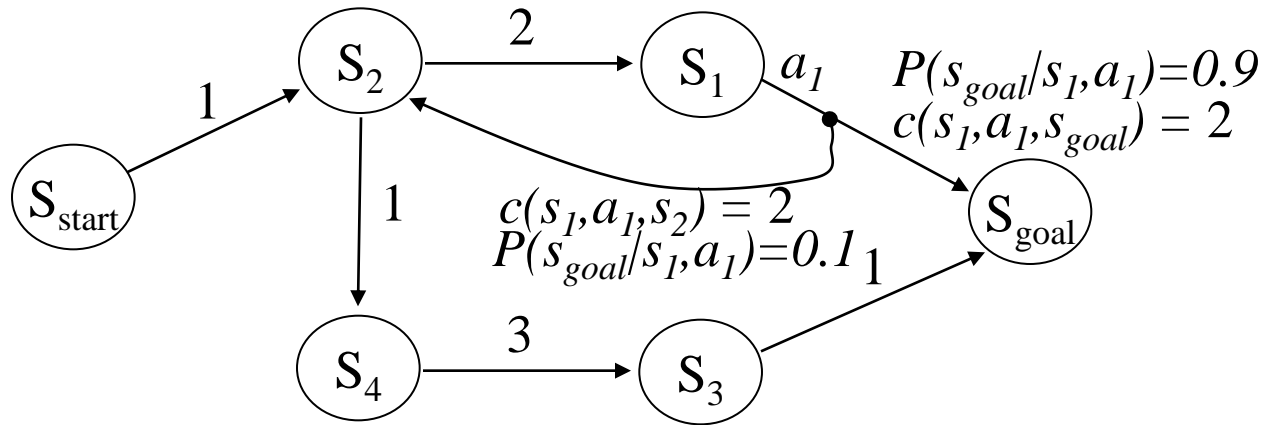
- Optimal policy  $\pi^*$ :

$$\pi^*(s) = \operatorname{argmin}_a \{ \mathbb{E}_{s'} [c(s, a, s') + v^*(s')] \}$$

(expectation over outcomes  $s'$  of action  $a$  executed at state  $s$ )

Why?

# Computing Expected Cost Minimal Plans



- Optimal expected cost-to-goal values  $v^*$  satisfy:

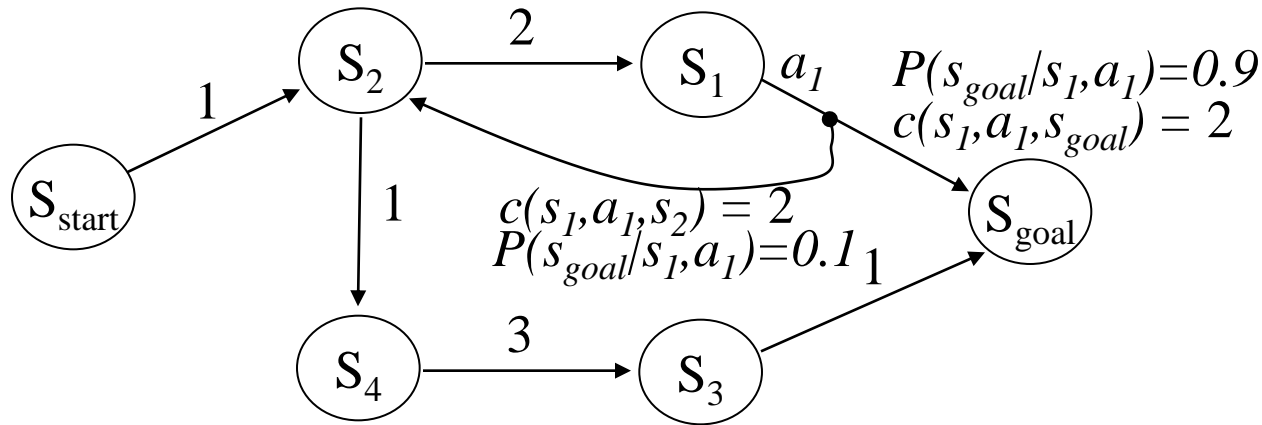
$$v^*(s_{goal}) = 0$$

$$v^*(s) = \min_a \sum_{s'} P(s'|s, a) (c(s, a, s') + v^*(s')) \quad \text{for all } s \neq s_{goal}$$

(expectation over outcomes  $s'$  of action  $a$  executed at state  $s$ )

*Bellman optimality  
equation*

# Computing Expected Cost Minimal Plans



- Value Iteration (VI):

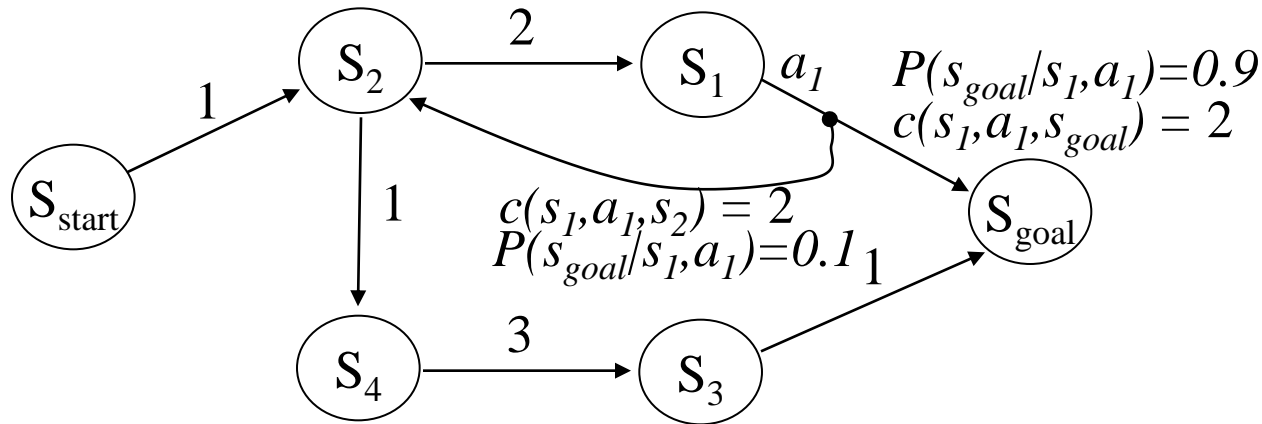
Initialize  $v$ -values of all states to finite values;

Iterate over all  $s$  in MDP and re-compute until convergence:

$$v(s_{goal}) = 0$$

$$v(s) = \min_a \sum_{s'} P(s'|s, a) [c(s, a, s') + \gamma v(s')] \quad \text{for all } s \neq s_{goal}$$

# Computing Expected Cost Minimal Plans



- Value Iteration (VI):

*best to initialize to admissible values  
(under-estimates of the actual costs-to-goal)*

Initialize  $v$ -values of all states to finite values;

Iterate over all  $s$  in MDP and re-compute until convergence:

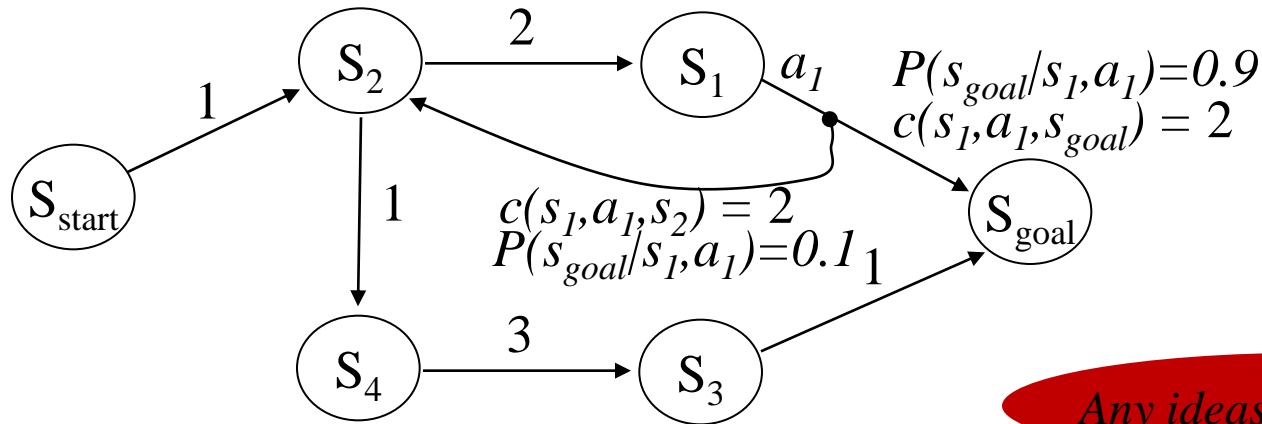
$$v(s_{goal}) = 0$$

$$v(s) = \min_a \sum_{s'} P(s', a, s) [c(s, a, s') + \gamma v(s')] \quad \text{for } s \neq s_{goal}$$

*converges to an optimal value function  
( $v(s) = v^*(s)$  for all  $s$ )  
for any iteration order*

*convergence time does  
depend a lot on iteration order*

# Computing Expected Cost Minimal Plans



*Any ideas for the order?*

*best to initialize to admissible values  
(under-estimates of the actual costs-to-goal)*

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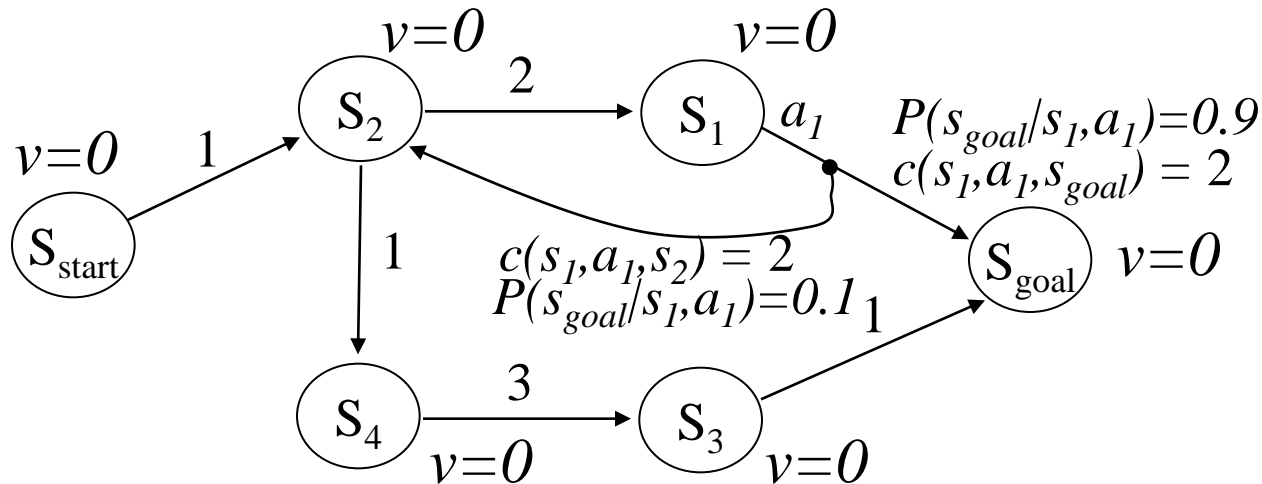
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# Computing Expected Cost Minimal Plans



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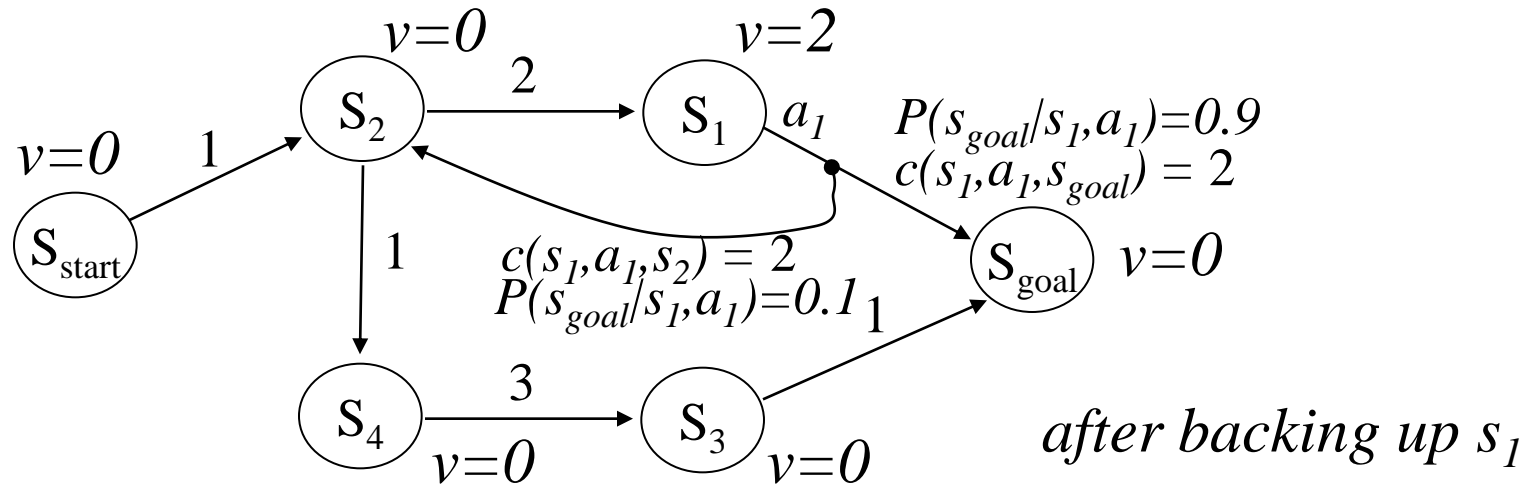
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*Bellman update equation  
(or backup)*



# Computing Expected Cost Minimal Plans



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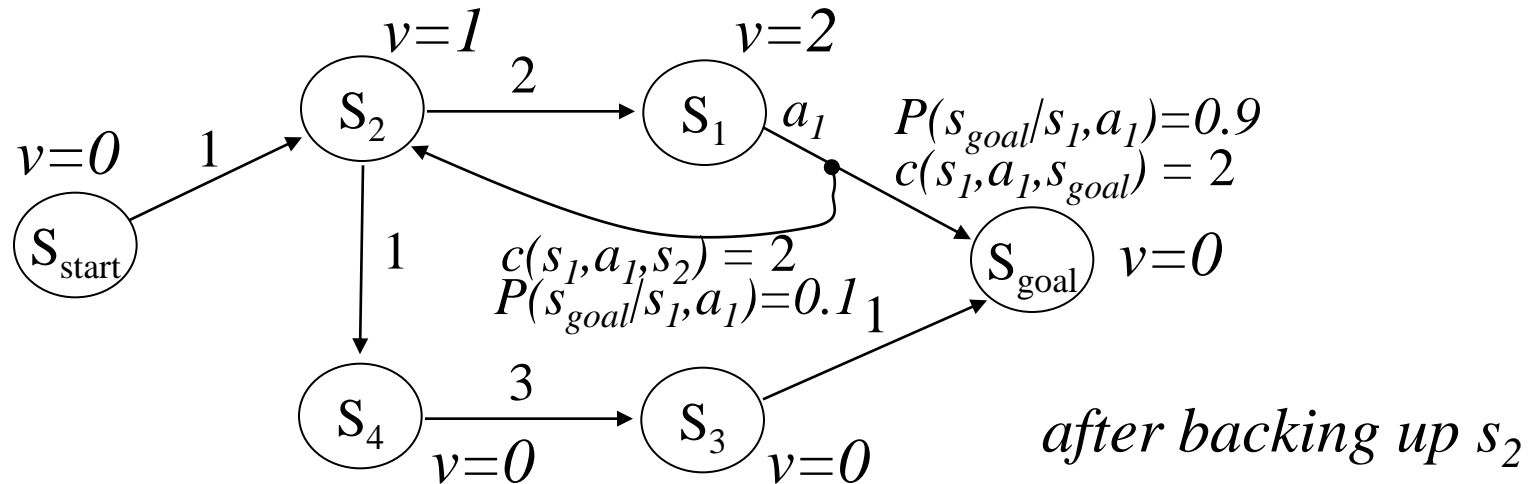
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# Computing Expected Cost Minimal Plans



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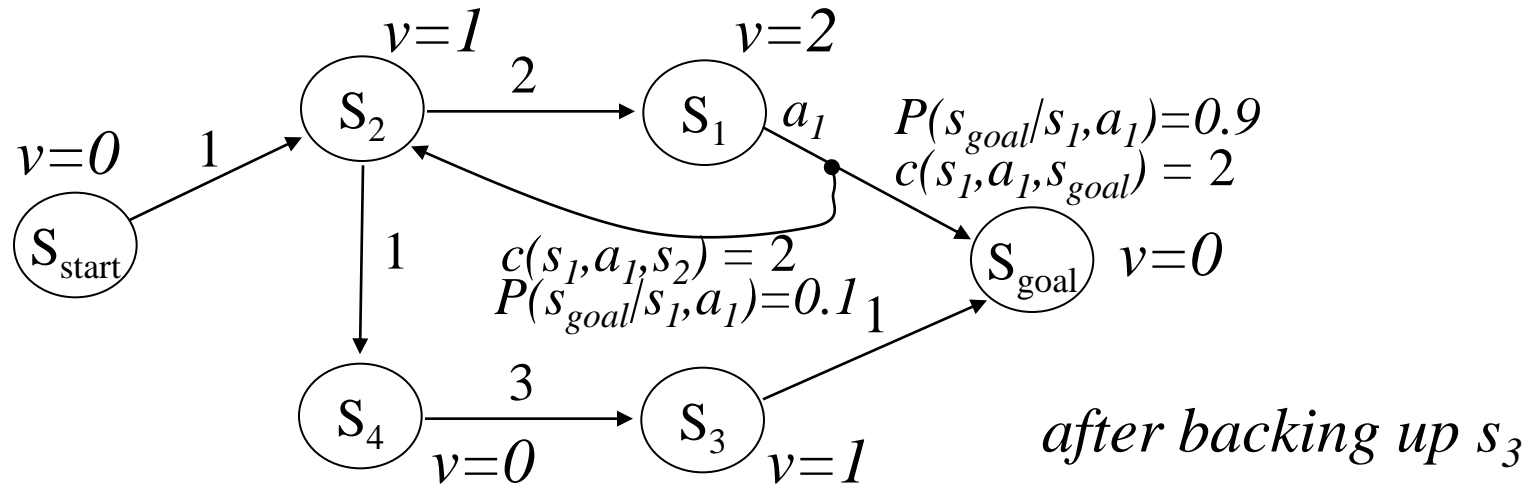
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# Computing Expected Cost Minimal Plans



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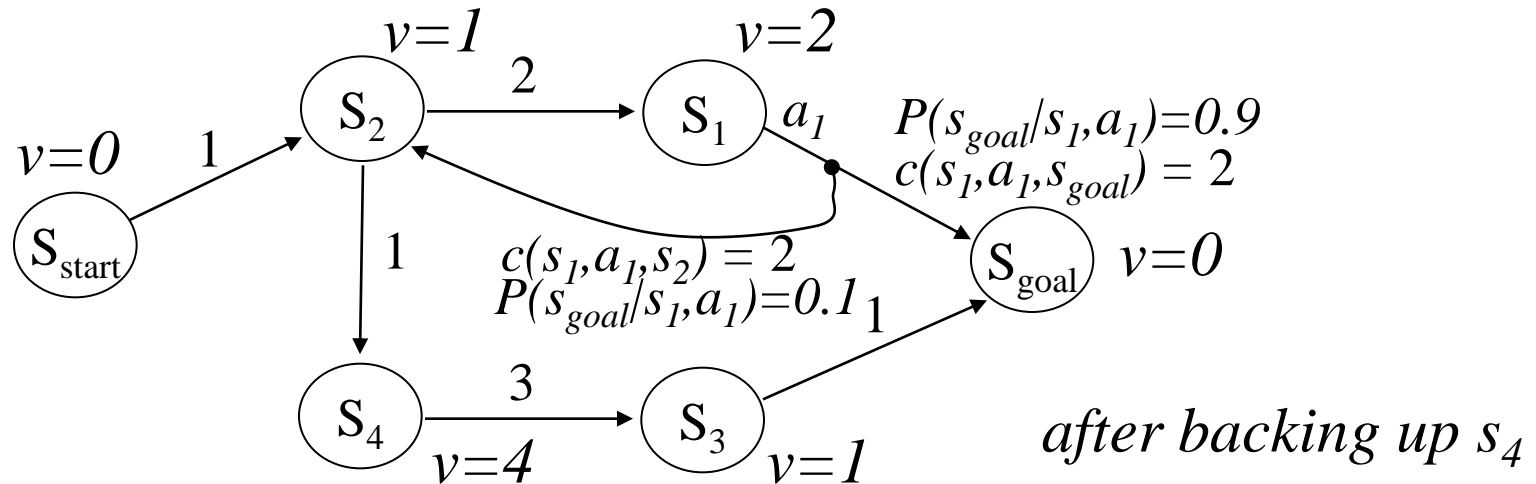
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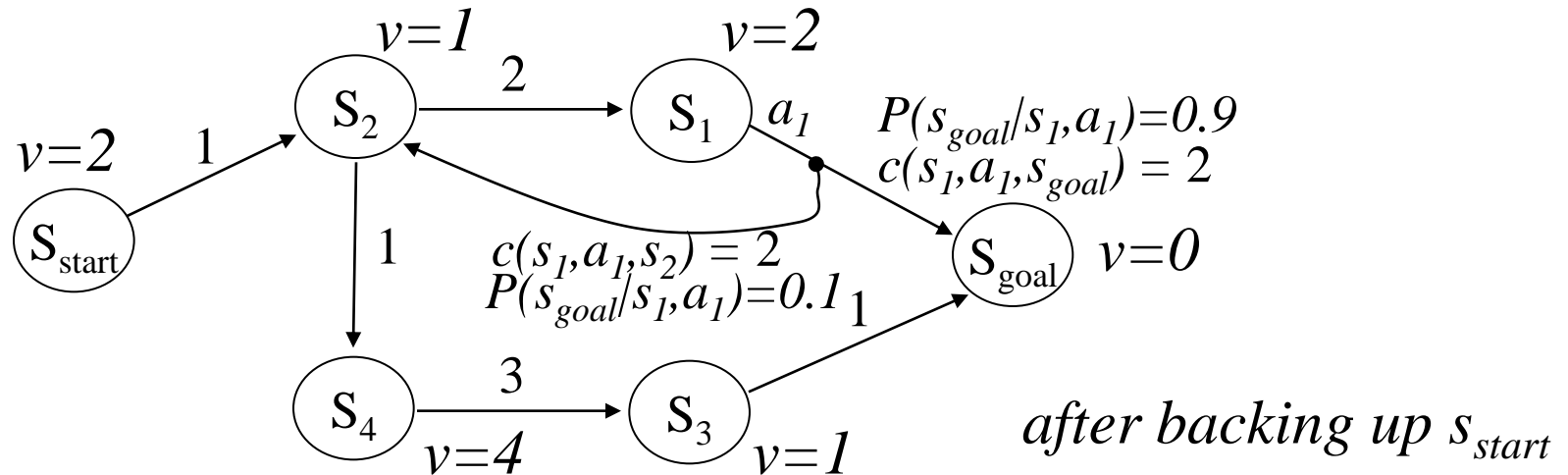
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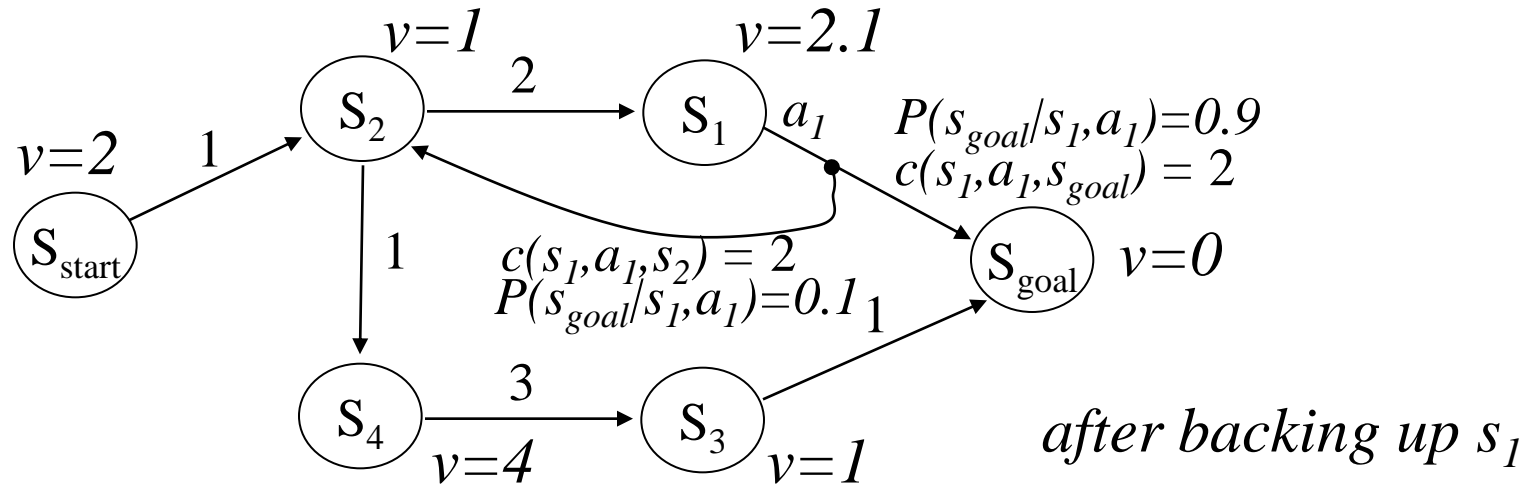
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Usual convergence condition: Bellman error over all states  $< \Delta$

Bellman error:  $|v(s) - \min_a \sum_{s', a'} P(s', a' | s, a) [c(s, a, s') + v(s')]|$  for any  $s \neq s_{goal}$

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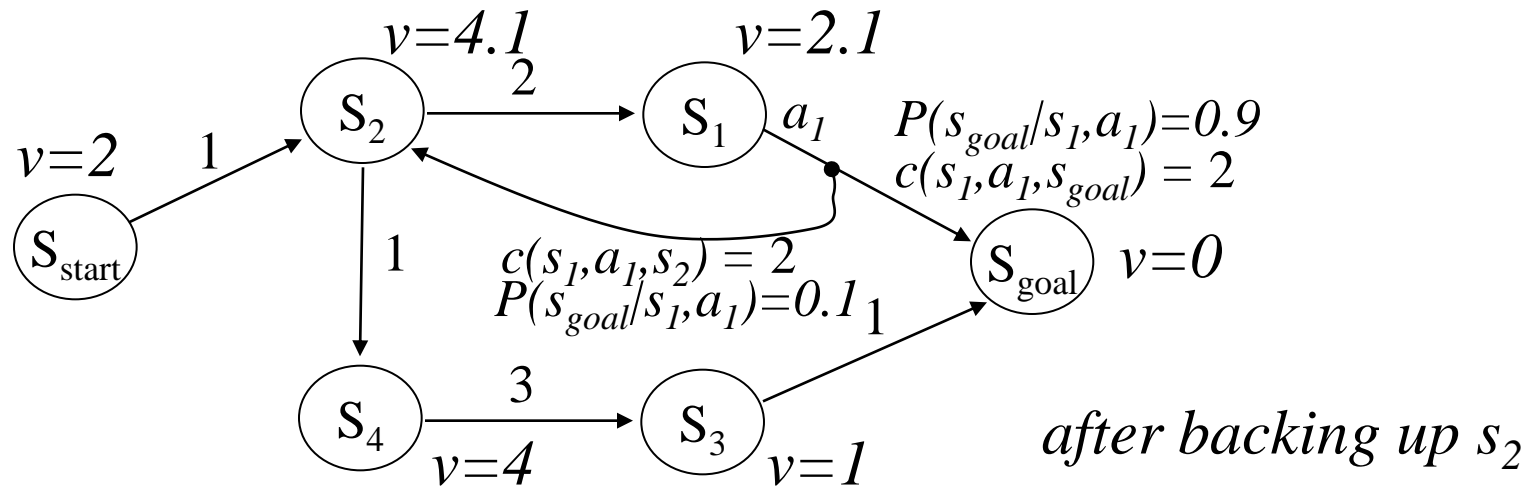
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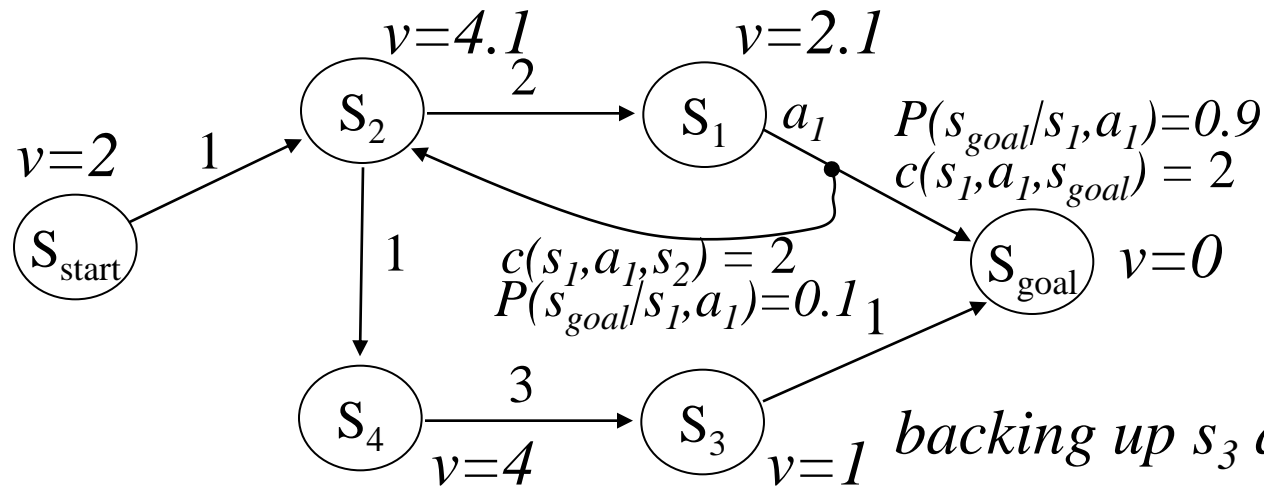
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# Computing Expected Cost Minimal Plans



*backing up  $s_3$  and  $s_4$  has no effect since their Bellman errors are zero*

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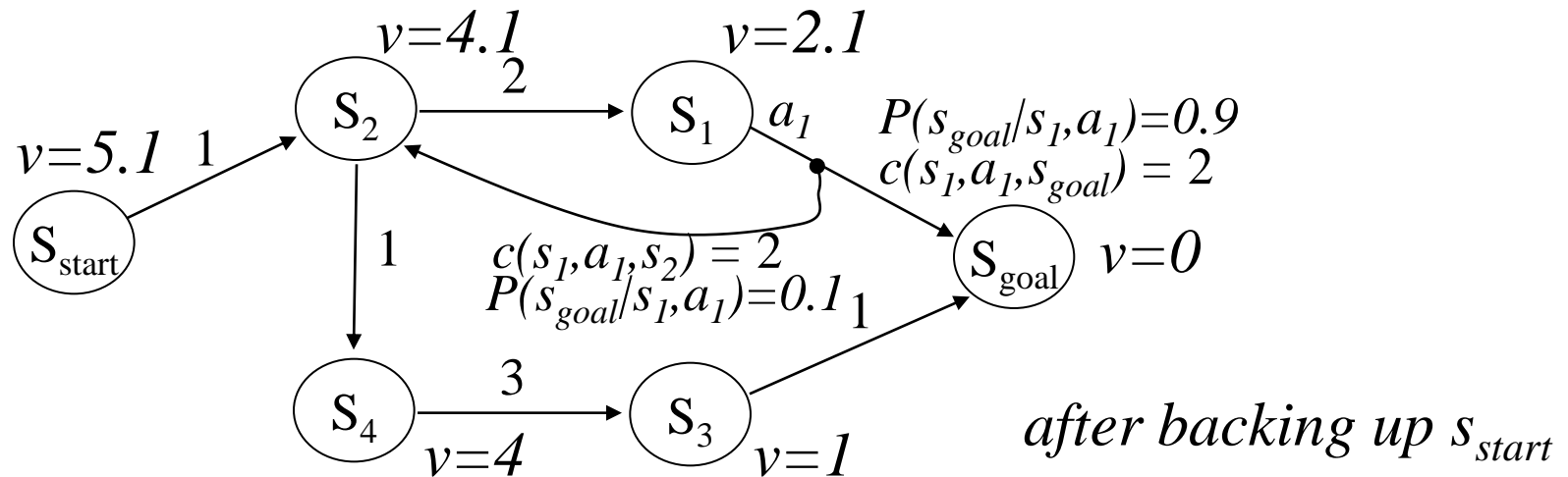
*How to select backups more effectively?*

Usual convergence condition: Bellman error over all states  $< \Delta$

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# Computing Expected Cost Minimal Plans



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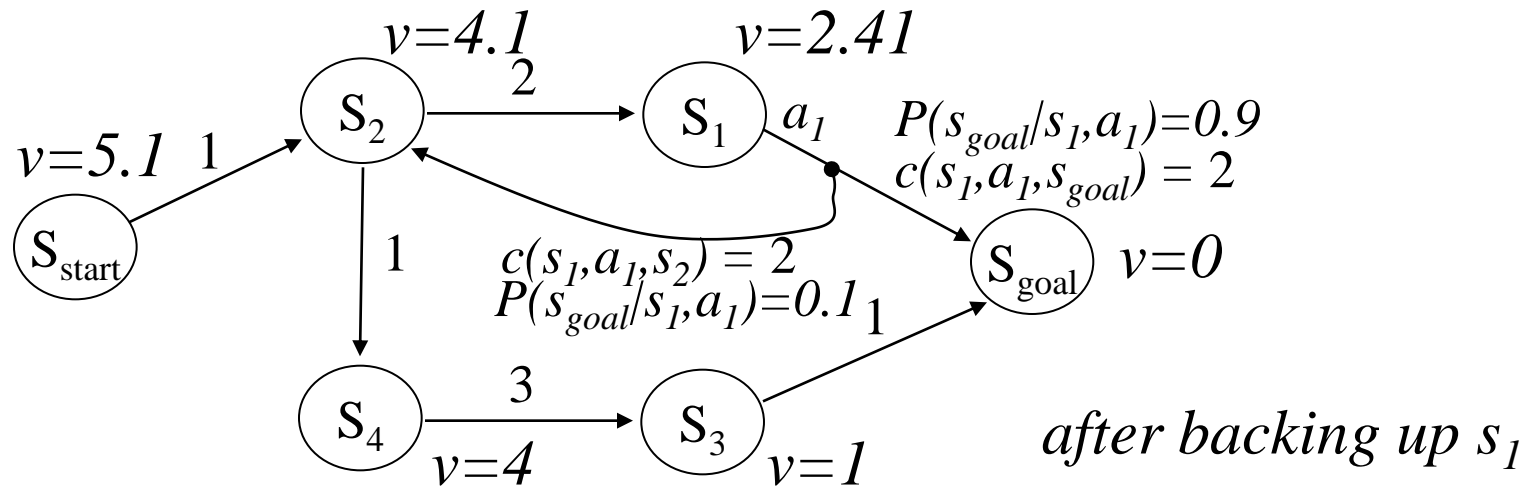
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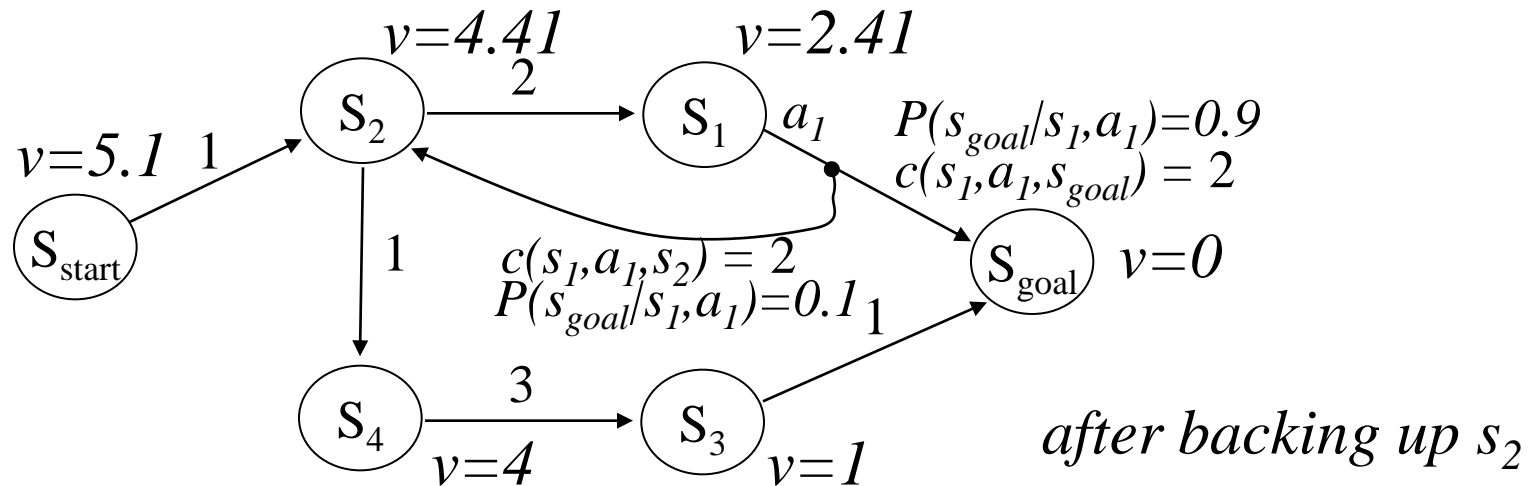
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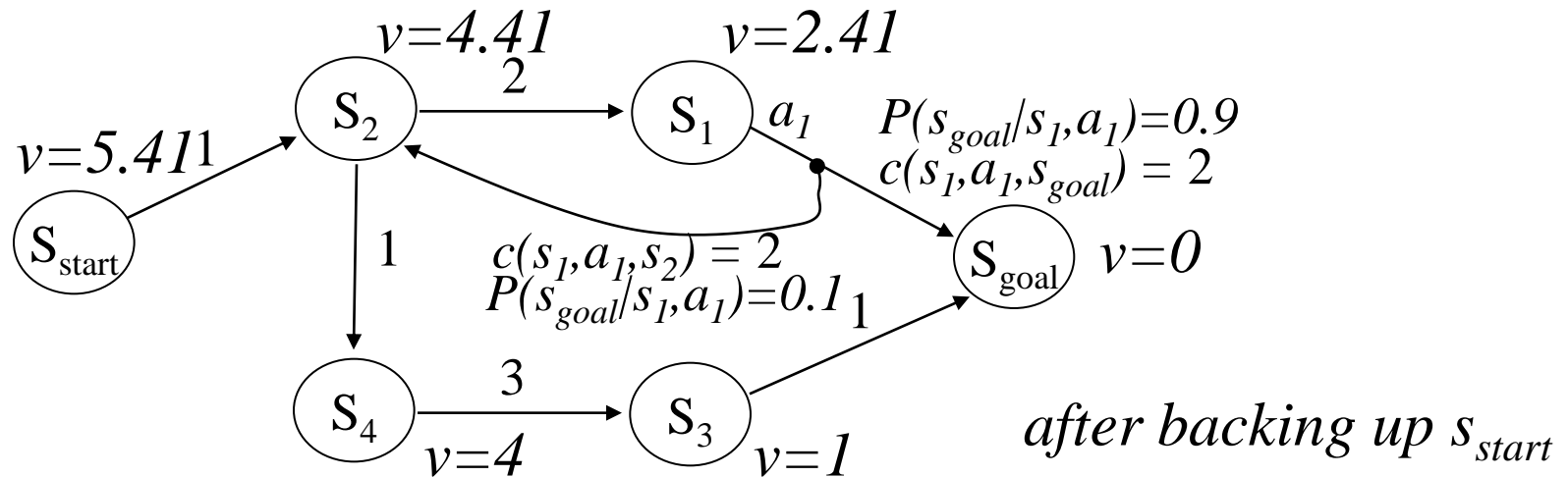
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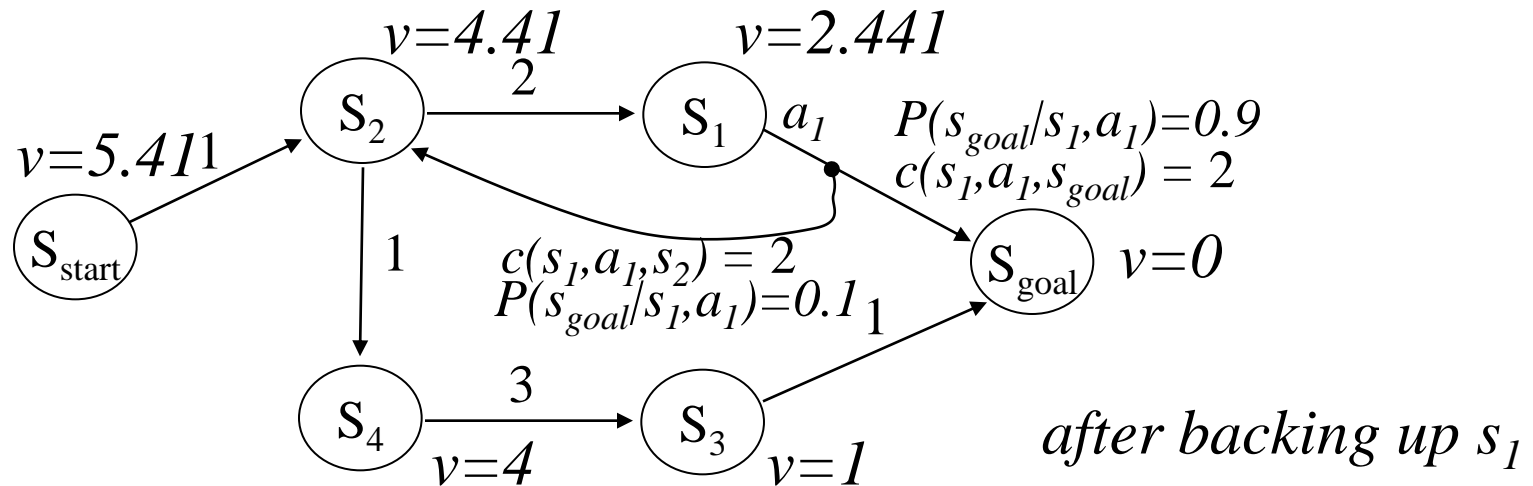
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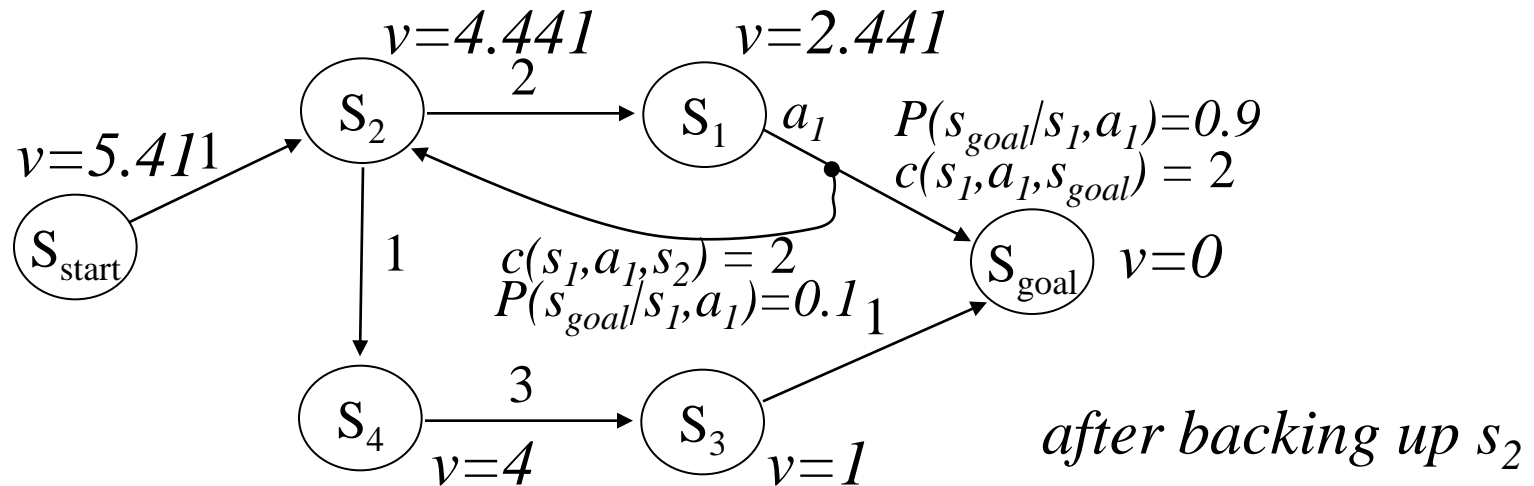
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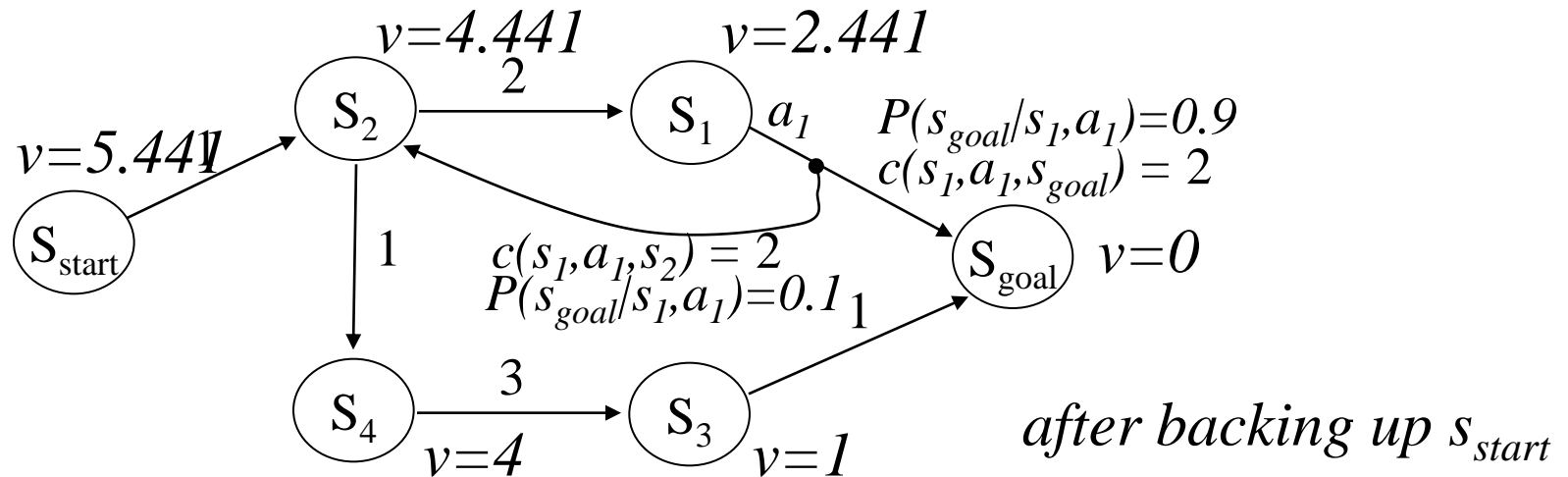
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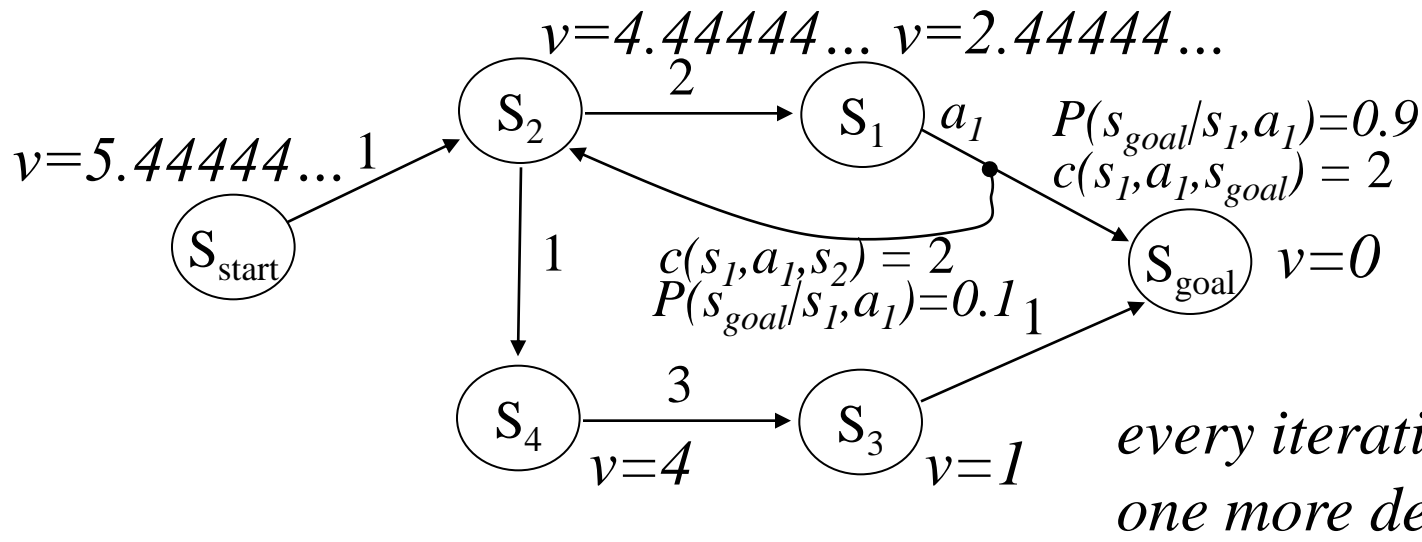
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# Computing Expected Cost Minimal Plans



*At convergence...*

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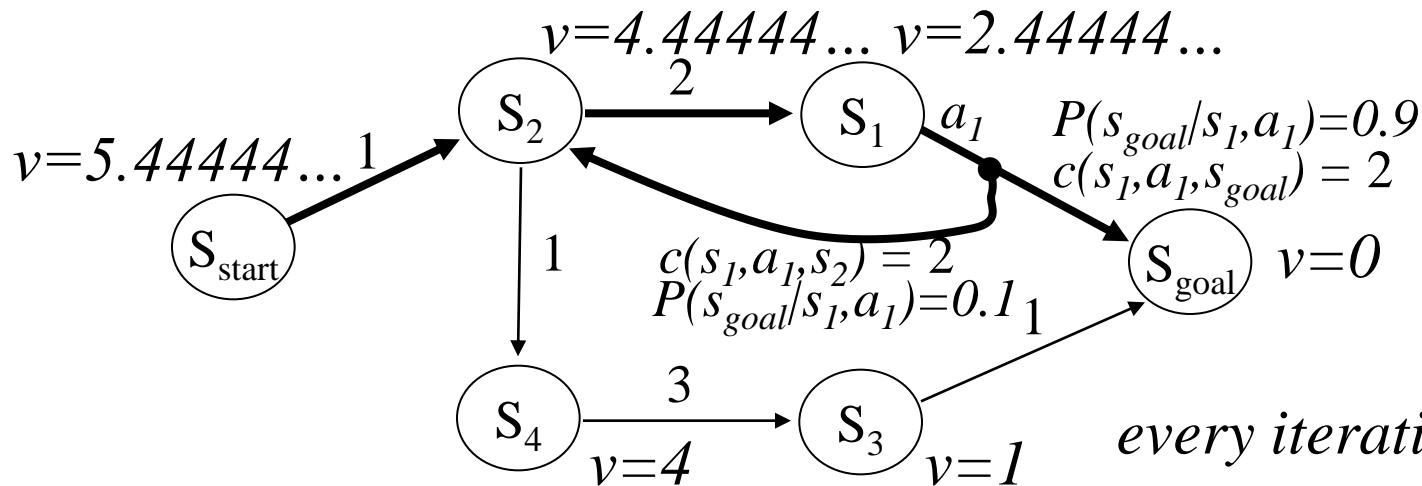
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# Computing Expected Cost Minimal Plans



*every iteration computes one more decimal point*

*optimal policy is given by greedy policy:  
always select an action that minimizes*

$$y(s, a, s') + v(s')$$

*At convergence...*

Initialize  $v$ -values of all states to finite values;

re-compute until convergence:

*expected cost of executing greedy policy is at most:*

$$v^*(s_{start})c_{min}/(c_{min}-\Delta)$$

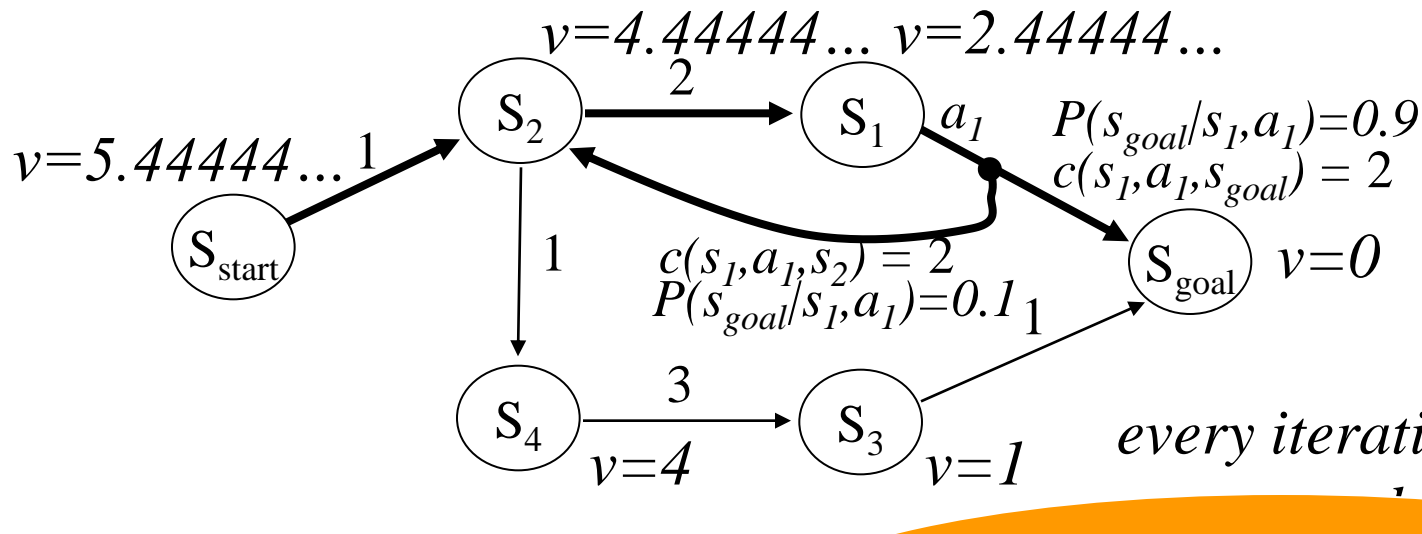
where  $c_{min}$  is minimum edge cost

for any  $s \neq s_{goal}$

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# Computing Expected Cost Minimal Plans



*VI converges in finite number of iterations  
(assuming goal is reachable from every state)*

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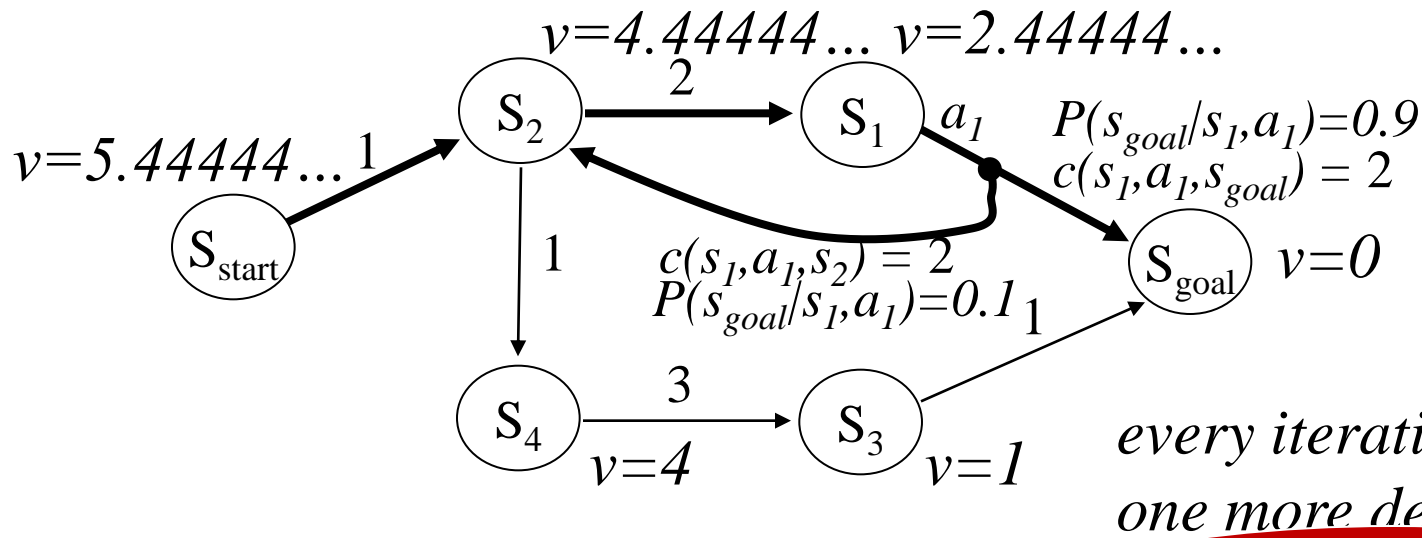
$$v(s) = \min_a \sum_{s',a} P(s',a|s,a) [c(s,a,s') + v(s')] \text{ for any } s \neq s_{goal}$$

*Why condition?*

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# Computing Expected Cost Minimal Plans



*every iteration computes one more decimal point*

*How many backups required in a graph with no stochastic actions?*

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