

# Data and Computer Communications

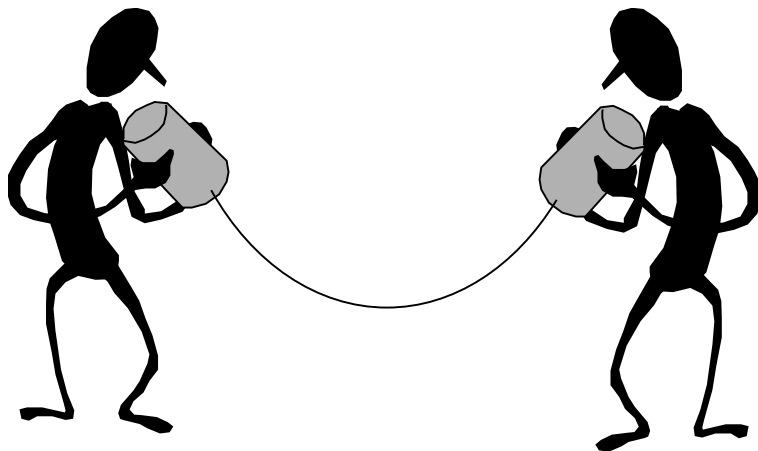
Tenth Edition  
by William Stallings

# CHAPTER 2

## *Protocol Architecture, TCP/IP, and Internet-Based Applications*

*To destroy communication completely, there must be no rules in common between transmitter and receiver—neither of alphabet nor of syntax.*

*—On Human Communication,*  
Colin Cherry



# *The Need for a Protocol Architecture*

**1.) The source must either activate the direct communications path or inform the network of the identity of the desired destination system**

**2.) The source system must ascertain that the destination system is prepared to receive data**

**To transfer data  
several tasks  
must be  
performed:**

**3.) The file transfer application on the source system must ascertain that the file management program on the destination system is prepared to accept and store the file for this particular user**

**4.) A format translation function may need to be performed by one or the other system if the file formats used on the two systems are different**

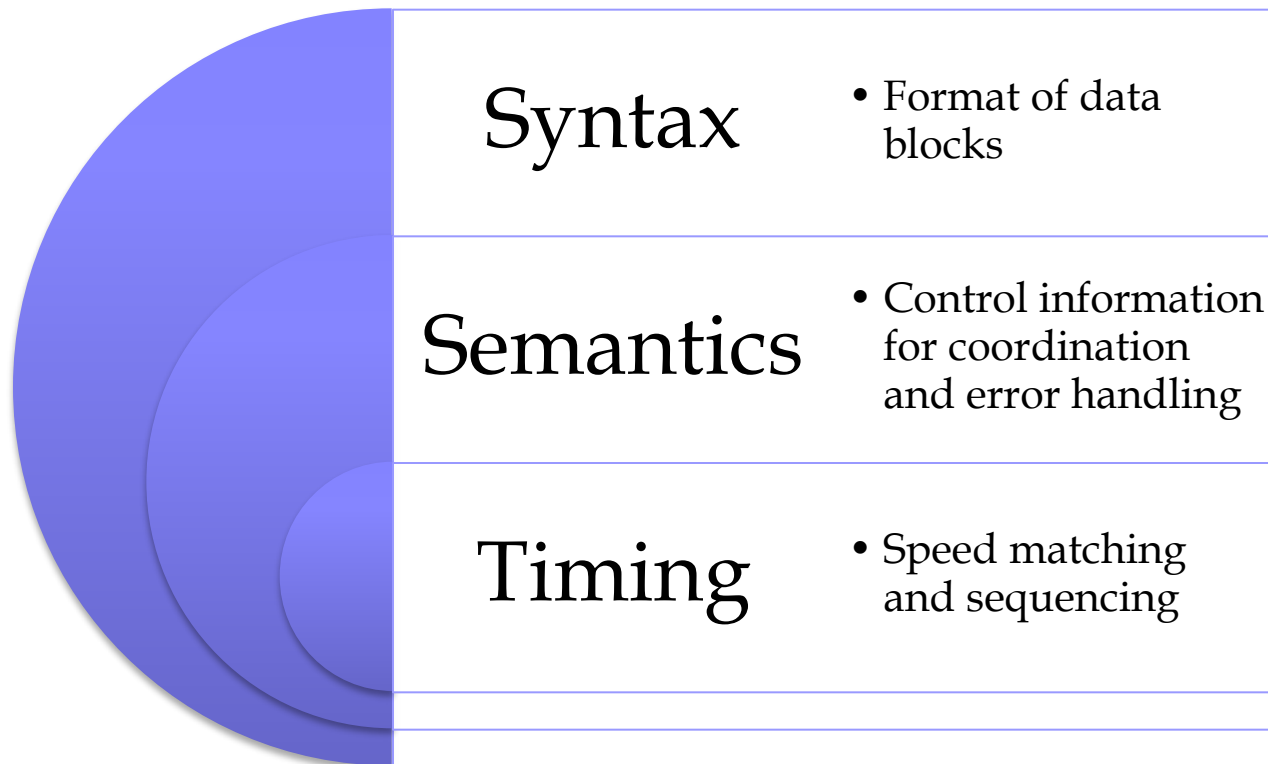
# *Functions of Protocol Architecture*

- Breaks logic into subtask modules which are implemented separately
- Modules are arranged in a vertical stack
  - Each layer in the stack performs a subset of function
  - Relies on next lower layer for primitive functions
  - Provides services to the next higher layer
  - Changes in one layer should not require changes in other layers

# *Key Features of a Protocol*

A protocol is a set of rules or conventions that allow peer layers to communicate

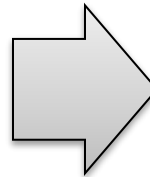
The key features of a protocol are:



# *A Simple Protocol Architecture*

## **Agents involved:**

- Applications
- Computers
- Networks



**Examples of applications include file transfer and electronic mail**



**These execute on computers that support multiple simultaneous applications**



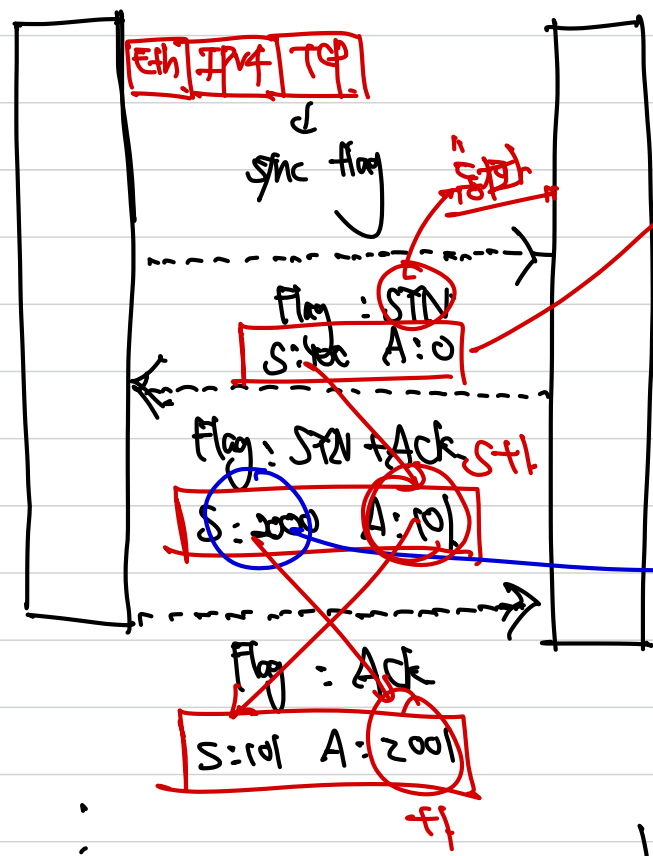
# TCP을 위한 통신과정

1. 클라이언트가 서버에게 요청 패킷을 보냄
2. 서버가 클라이언트의 요청을 받아들이는 패킷
3. 클라이언트의 최종 수락 패킷.

3 Way Handshake.

클라이언트  
프로세스 1

서버  
프로세스 2



클라이언트 발파지 S.  
A는 0

서버  
syn + Ack.

서버의 발파지

S → 서버로 발파지.  
클라이언트 발파지 A

서버로 발파지

클라이언트 수락해 서버를 주고 받음

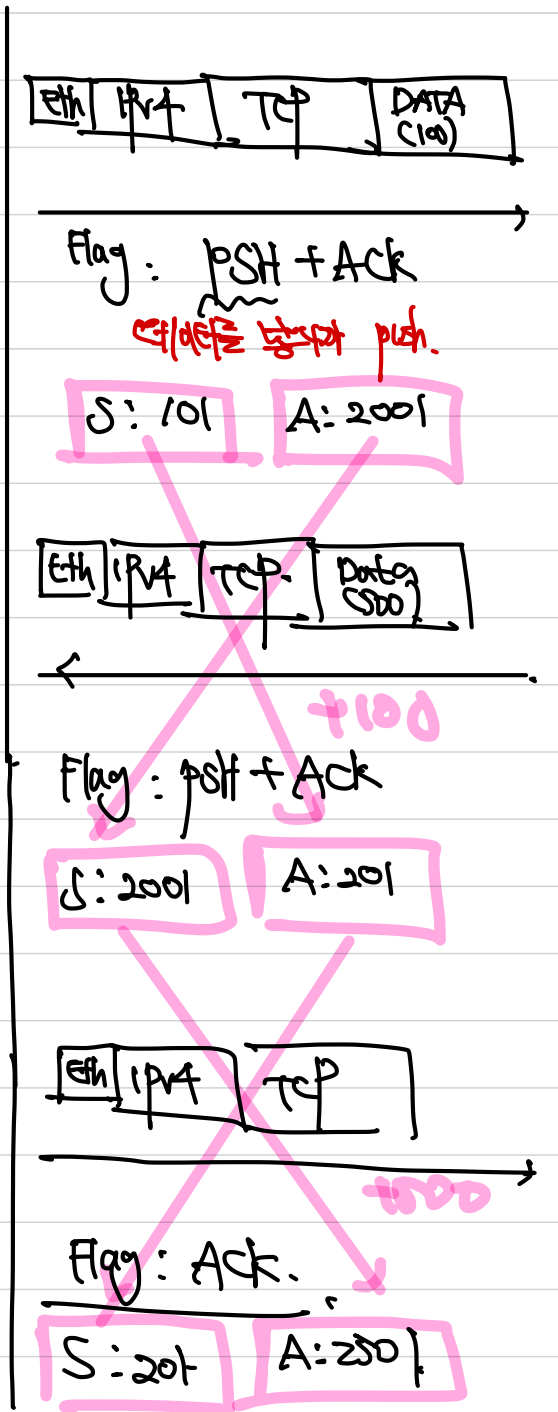
(주의)

1. 클라이언트 또는 서버에서 SYN 발파지와 ACK 발파지 보내
2. 발파지 클라이언트 SYN 발파지 발파지 ACK 발파지 클라이언트
3. 발파지 클라이언트 ACK 발파지 발파지 SYN 발파지 + 클라이언트 클라이언트



클라이언트

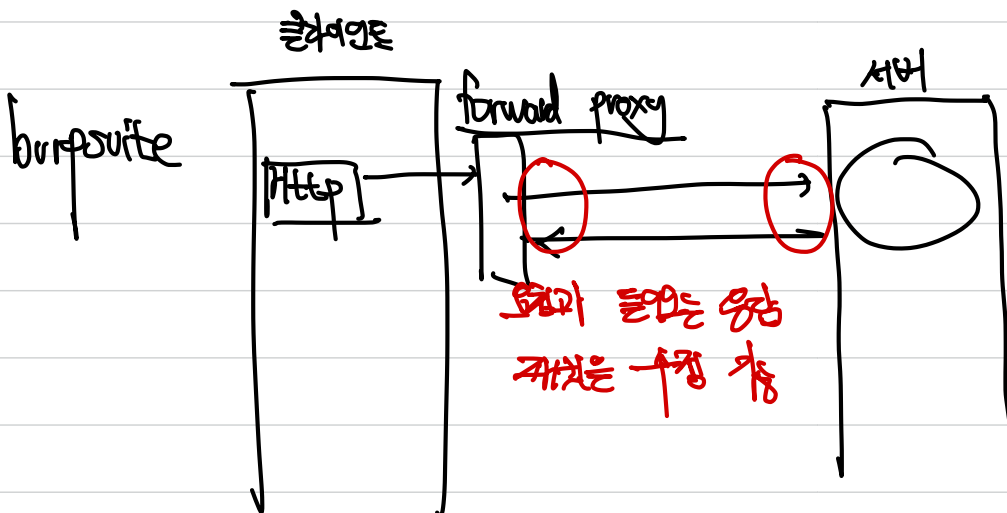
클라이언트 TCP 상태 전이도 (연결상태)



→ HTTP  
 → HTTP 1.0의 특징.

클라이언트

서버



# 서브넷팅

## 1기

① 라우터 (MAC, IP 보고 전송 선을 찾음)

② 스위치 (전송 선을 찾음 (but MAC 주소만 보지만 연결)  
LAN 전송선을 연결 → 여러 개의 장비를 LAN에 접속할 수 있도록 함 → broadcast (flooding)을 한다

해당 연결된 장비들 사이의 통신을

Collision domain 안에 있다.

→ 스위치의 핵심은 CSMA/CD.

## 2기

③ 스위치 → (해당하는 라우터 MAC 주소를 알고 있음)

④ 스위치 → (→ 라우터 포트. Collision domain에 있다)

## 3기

⑤ L3 스위치, 라우터 (→ 라우터가 됨.  
Routing 이 핵심 + ACL)

→ 멀티캐스트, 브로드 캐스트 트래픽을 보낼 수 있게끔  
을 수신한 경우 수신포트를 제외한 모든 포트에 flooding (X)

→ 이런 frame은 모두 차단

통신 케이블

LAN  
Speed  
(Mbps.)

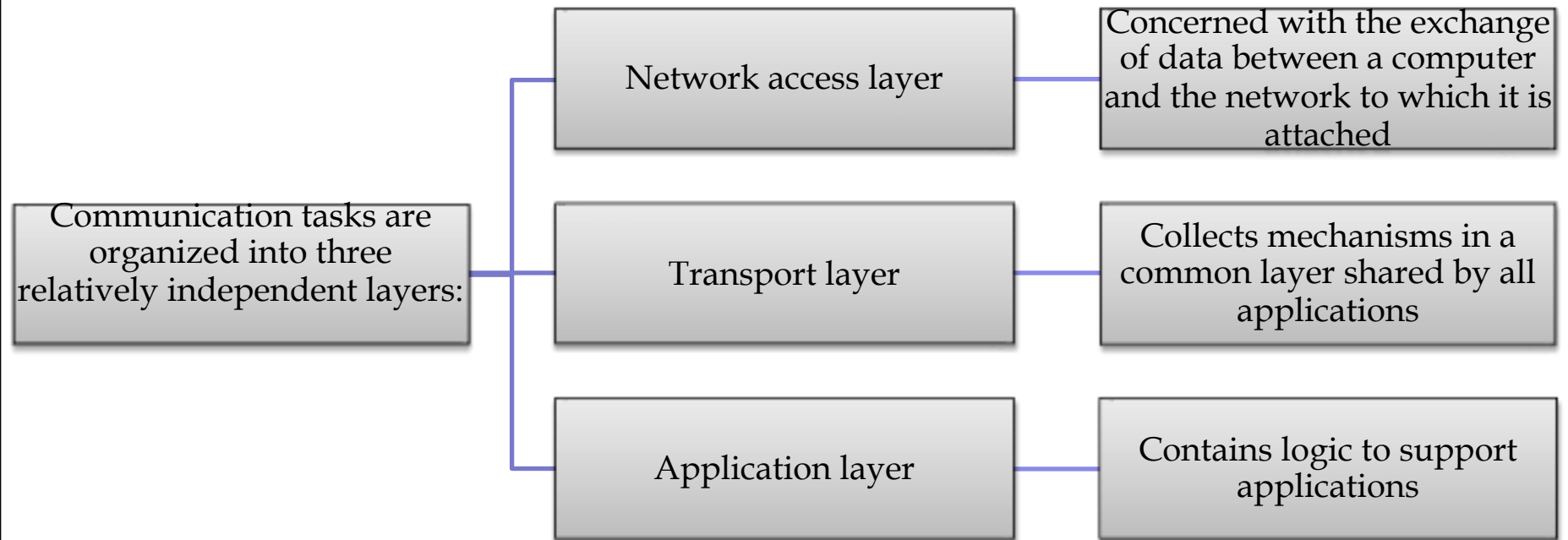
100BASE-T

Twisted: (숫자만 적어 줄 것)

BASE → Baseband cable (digital)

BROAD → broadband cable (analog)

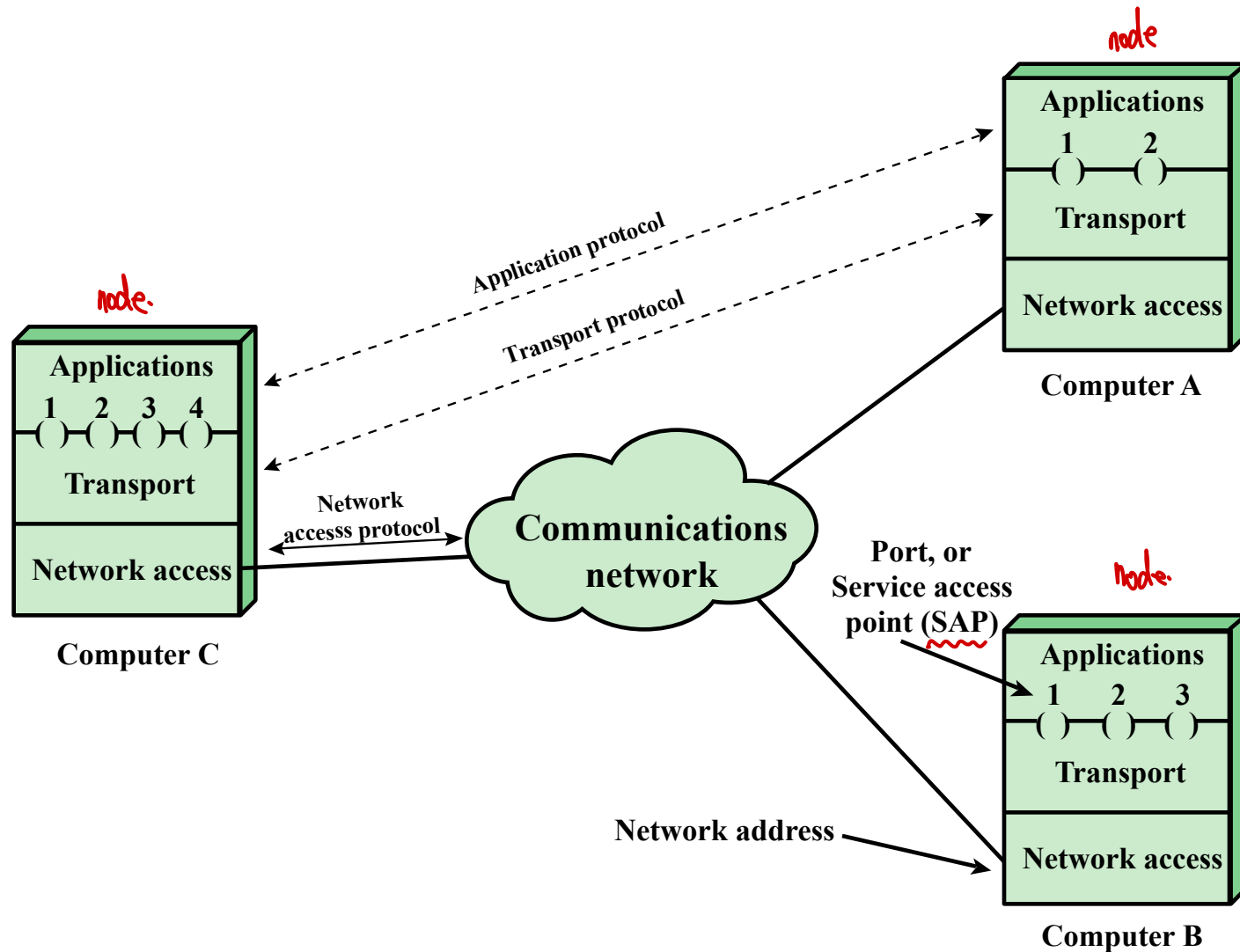
# *Communication Layers*



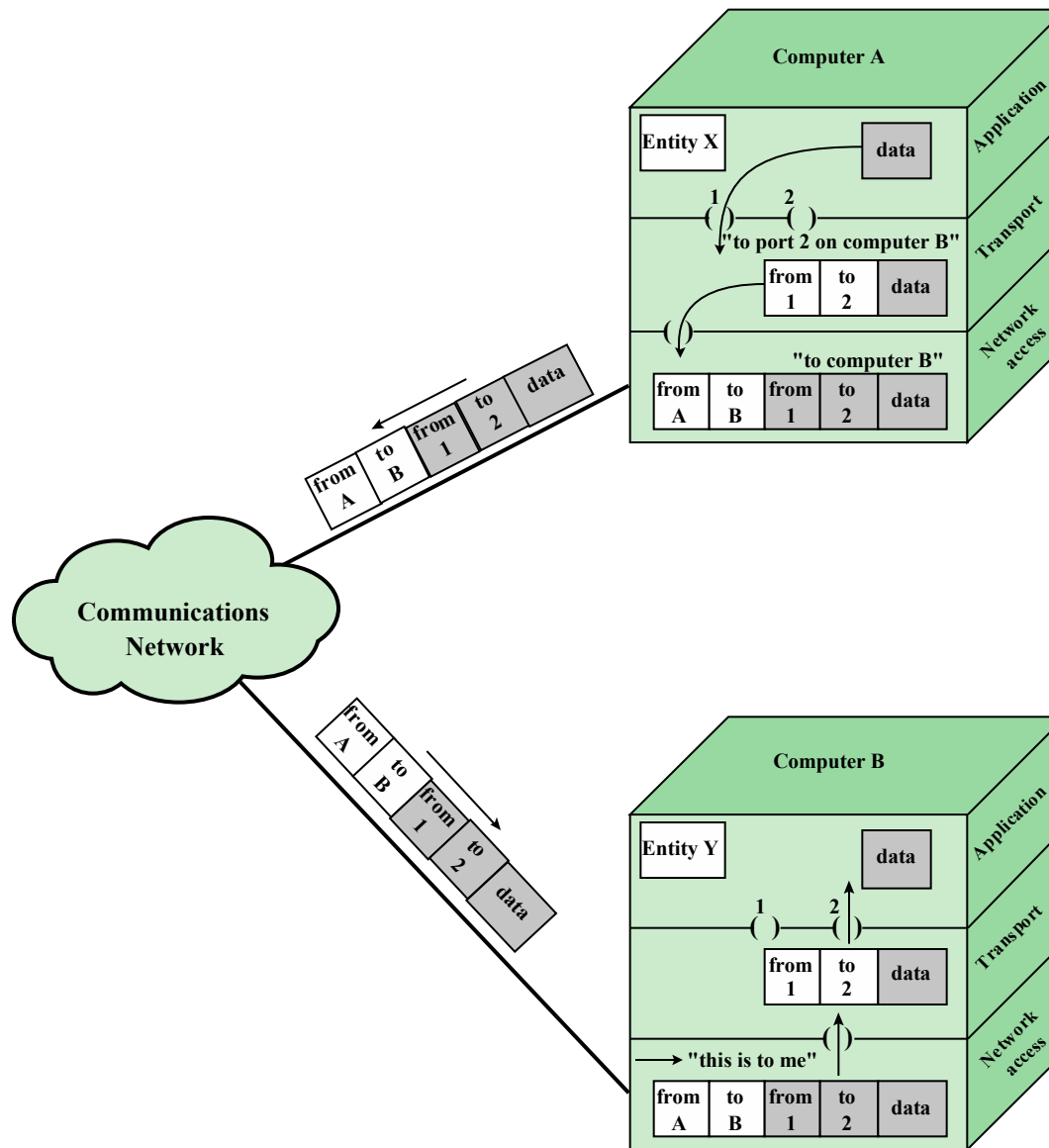
7 단계 ("프로젝트 HTTP")

→ 서버 프로그래밍

→ ~~프로젝트~~ "클라이언트" 만들기



**Protocol Architectures and Networks**



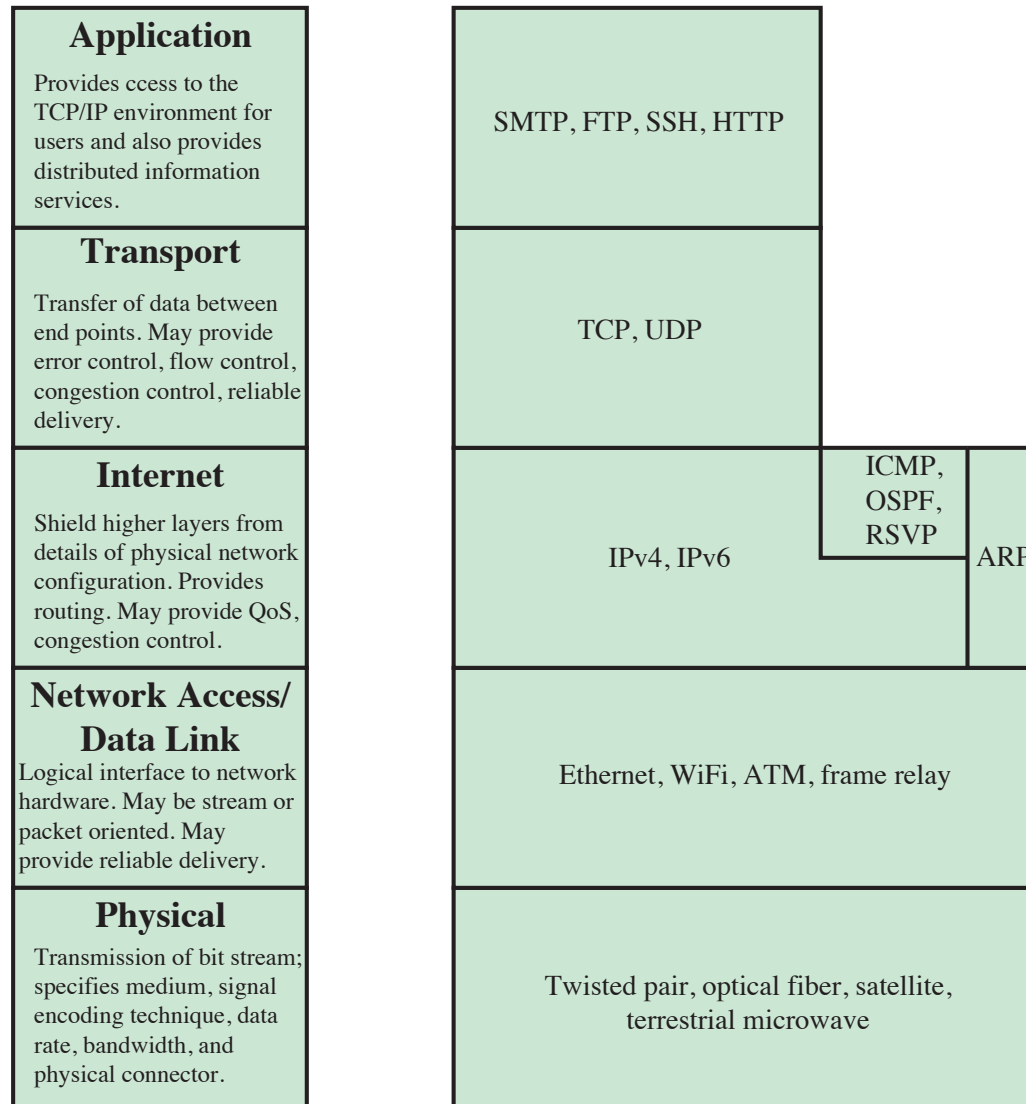
Protocols in a Simplified Architecture

# *TCP/IP Protocol Architecture*

## TCP/IP Protocol Architecture

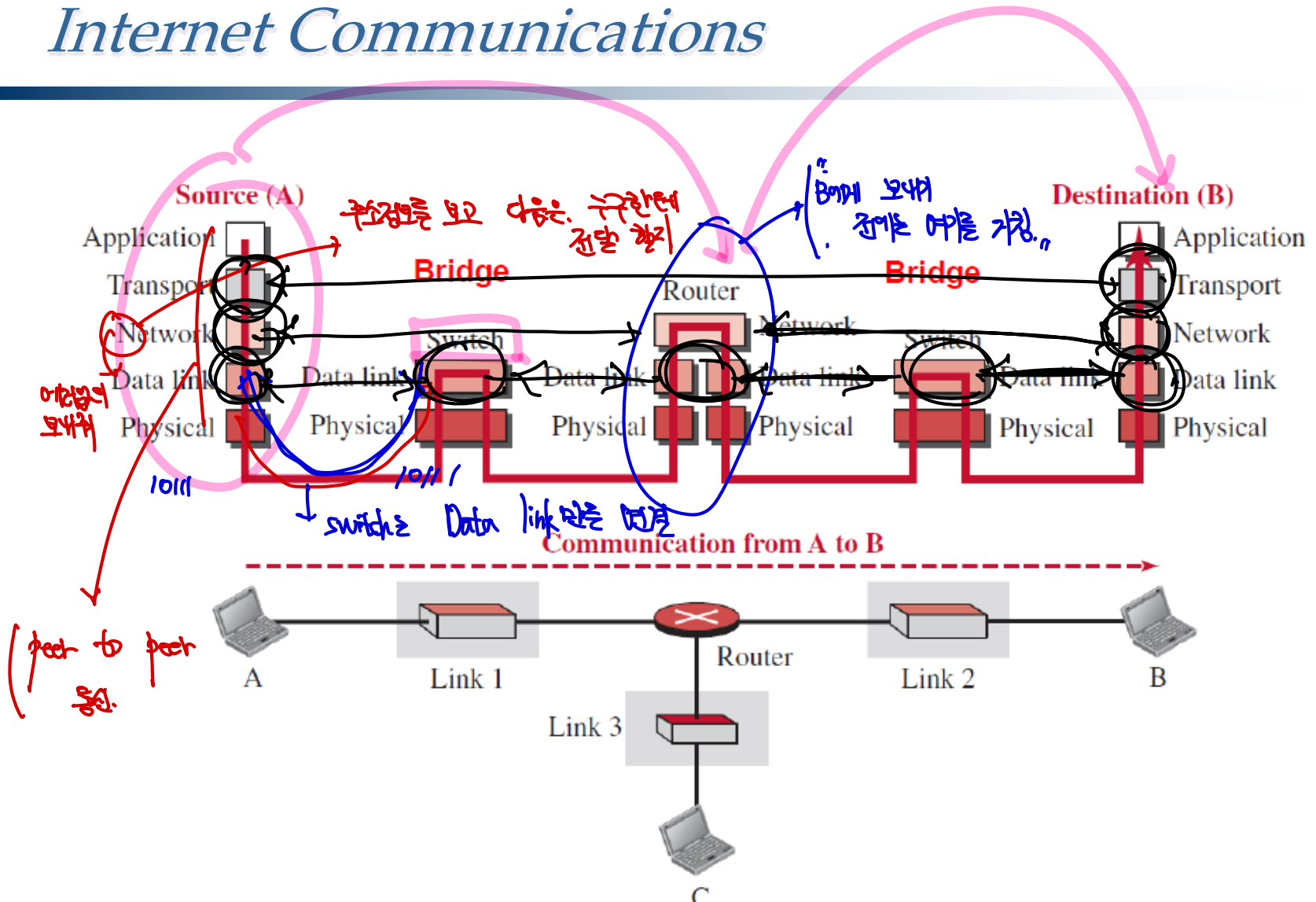
- Result of protocol research and development conducted on ARPANET
- Referred to as TCP/IP protocol suite
- TCP/IP comprises a large collection of protocols that are Internet standards





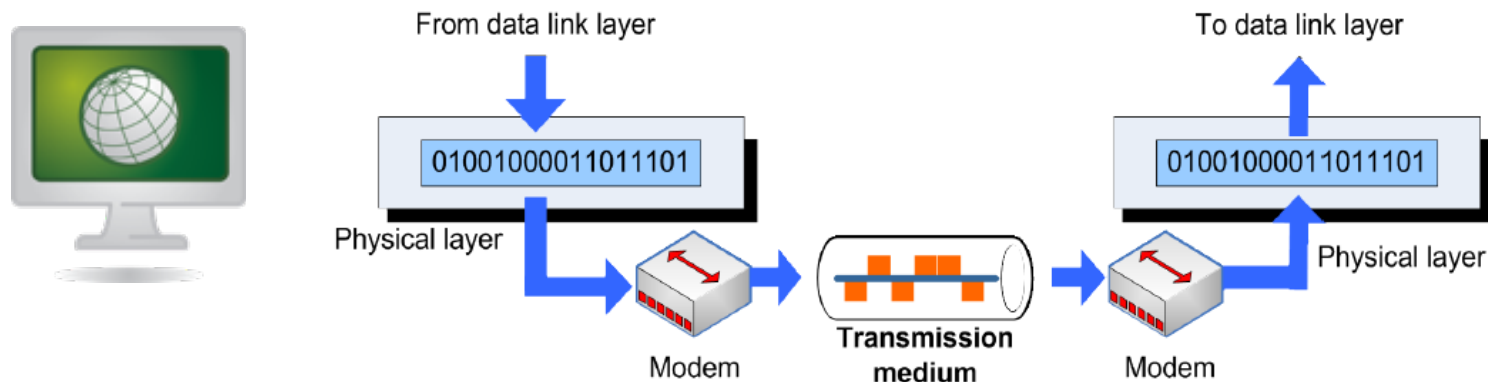
**Figure 2.3 The TCP/IP Layers and Example Protocols**

# Internet Communications



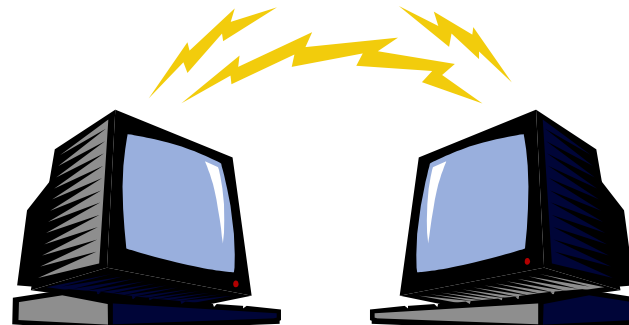
# *Physical Layer*

- ❑ Covers the physical interface between computer and network
- ❑ Concerned with issues like:
  - ➔ Characteristics of transmission medium
  - ➔ Nature of the signals
  - ➔ Data rates
- ❑ Protocols : V.24, RS-232C, RS-449, CDMA PHY, Coax, Fiber, Satellite

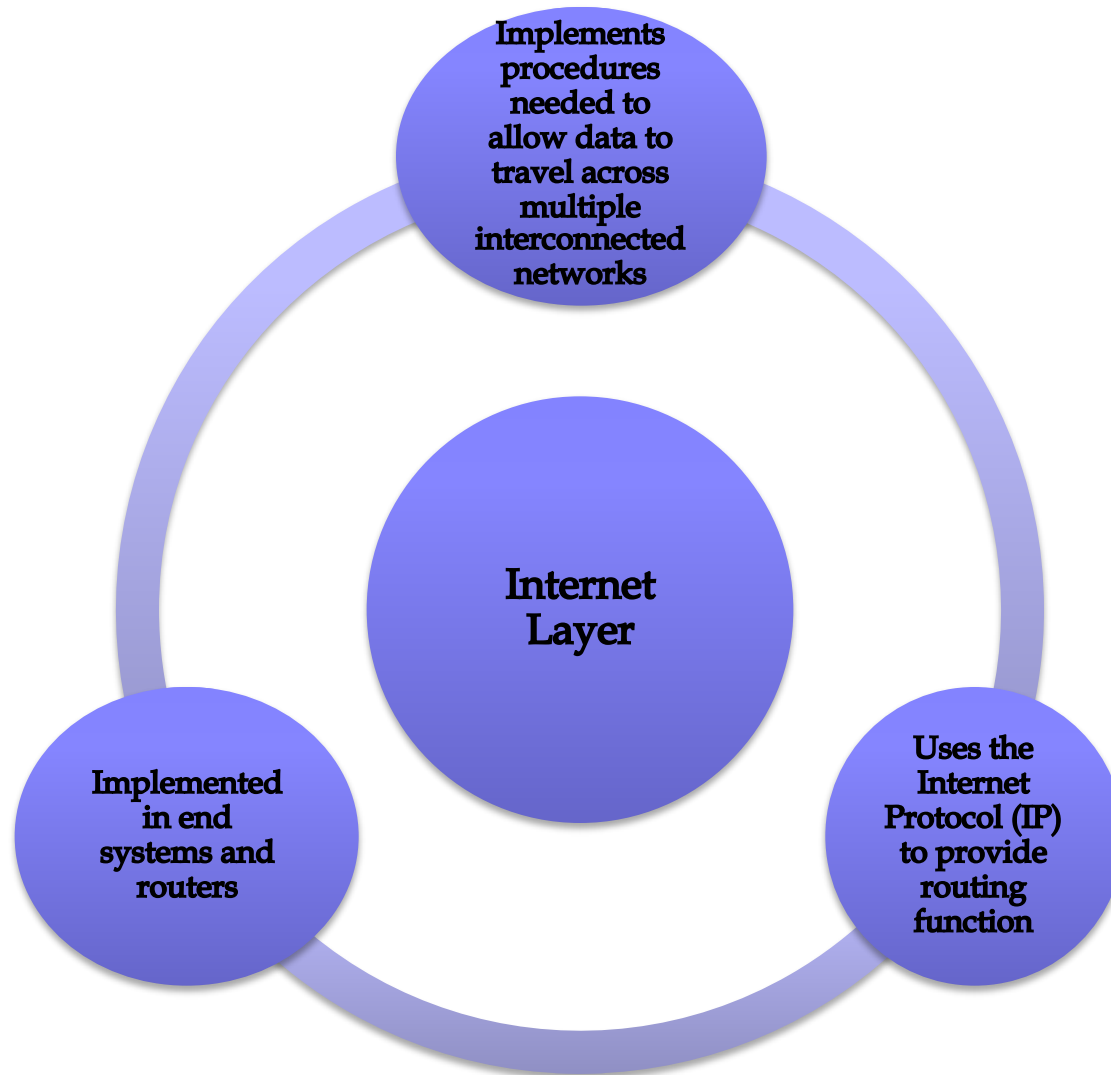


# *Network Access/Data Link Layer*

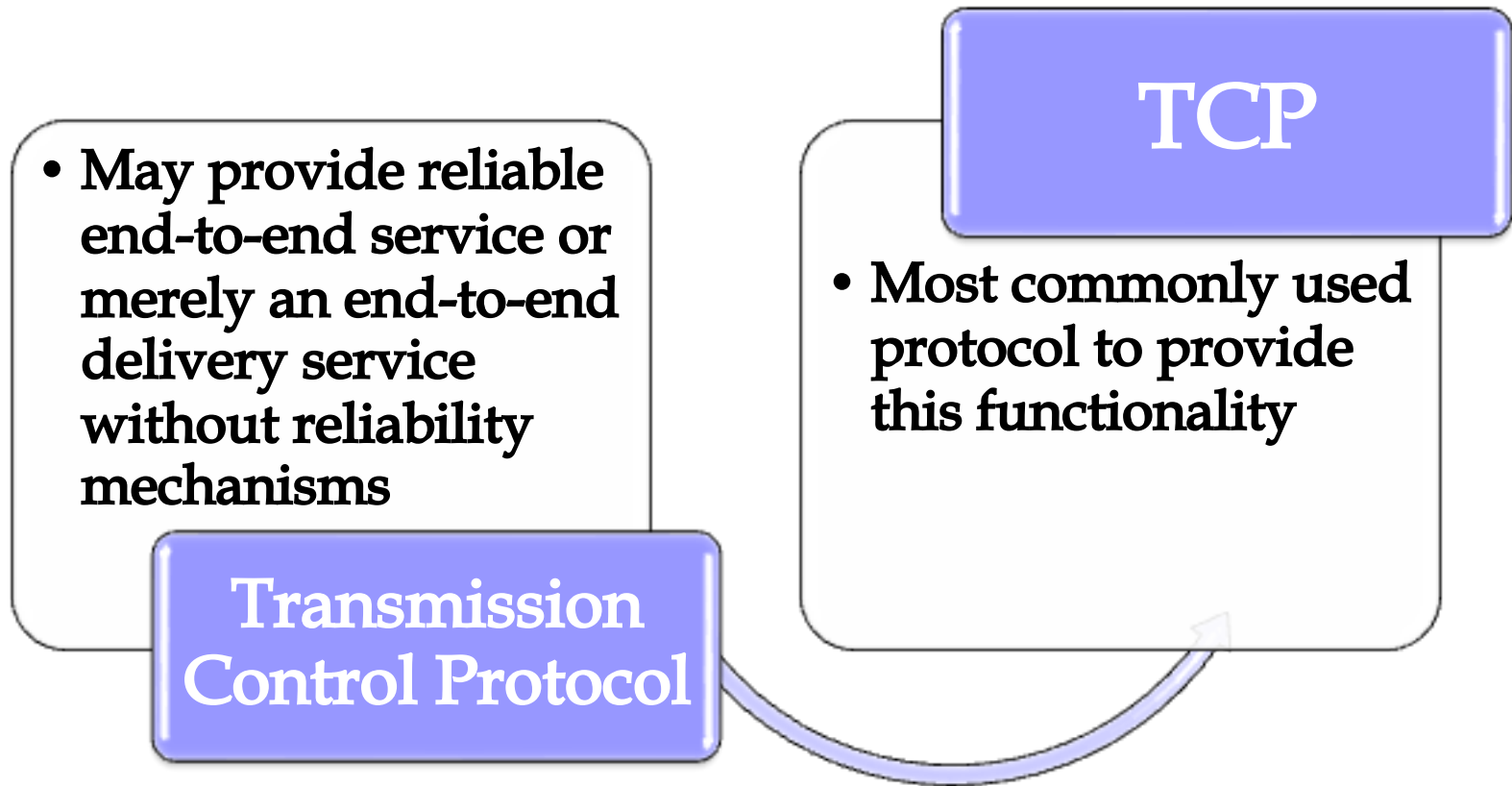
- ❑ Covers the exchange of data between an end system and the network that it is attached to
- ❑ Concerned with:
  - ➡ Access to and routing data across a network for two end systems attached to the same network
- ❑ Protocols : HDLC, SDLC, LAPB, ATM...



# *Internet Layer*



# *Host-to-Host (Transport) Layer*



# *Application Layer*

- ❑ Contains the logic needed to support the various user applications
- ❑ A separate module is needed for each different type of application that is peculiar to that application



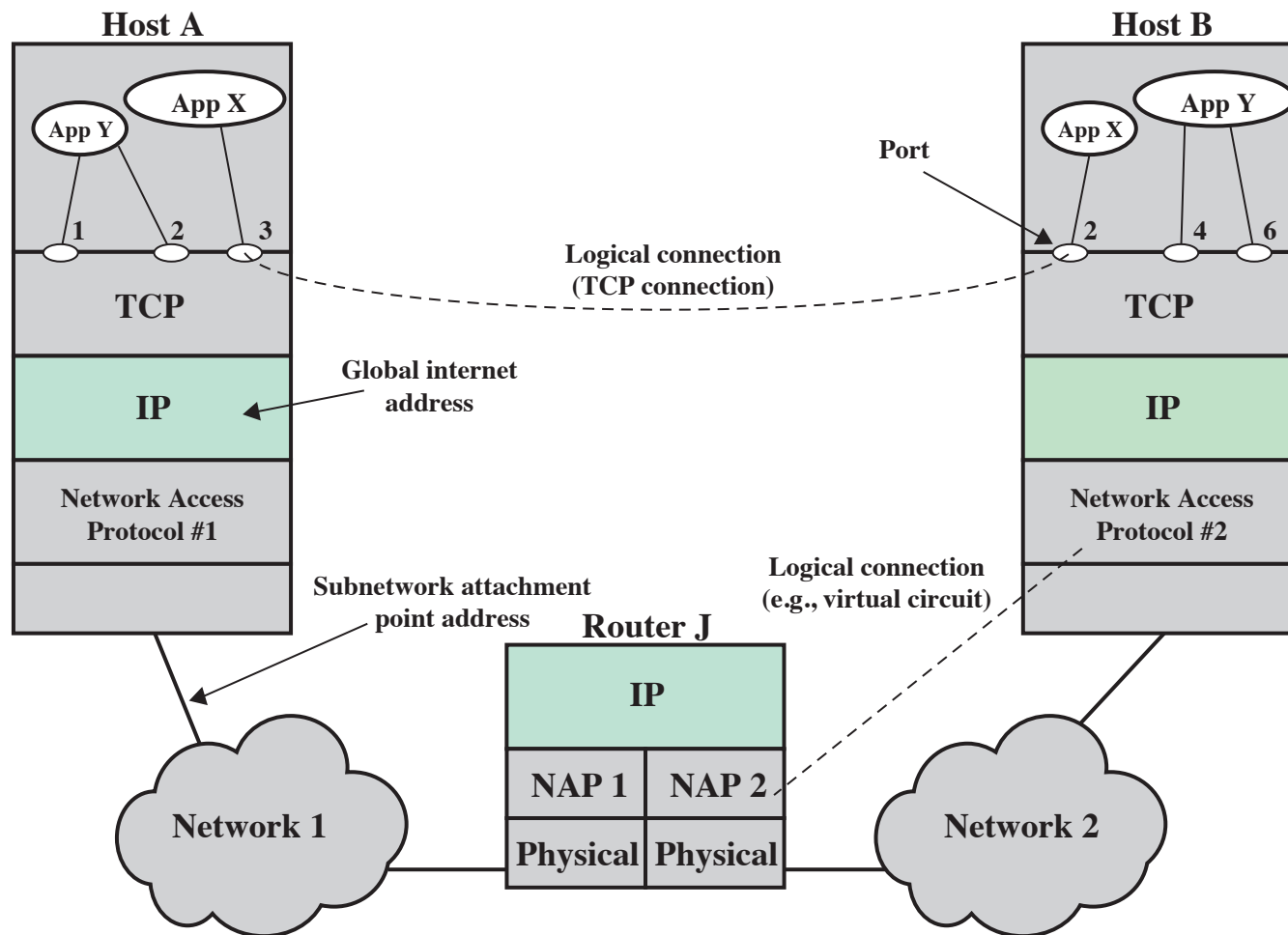


Figure 2.4 TCP/IP Concepts



# *TCP/IP Address Requirements*

Two levels of addressing are needed:

Each host on a subnetwork must have a unique global internet address

Each process with a host must have an address (known as a port) that is unique within the host

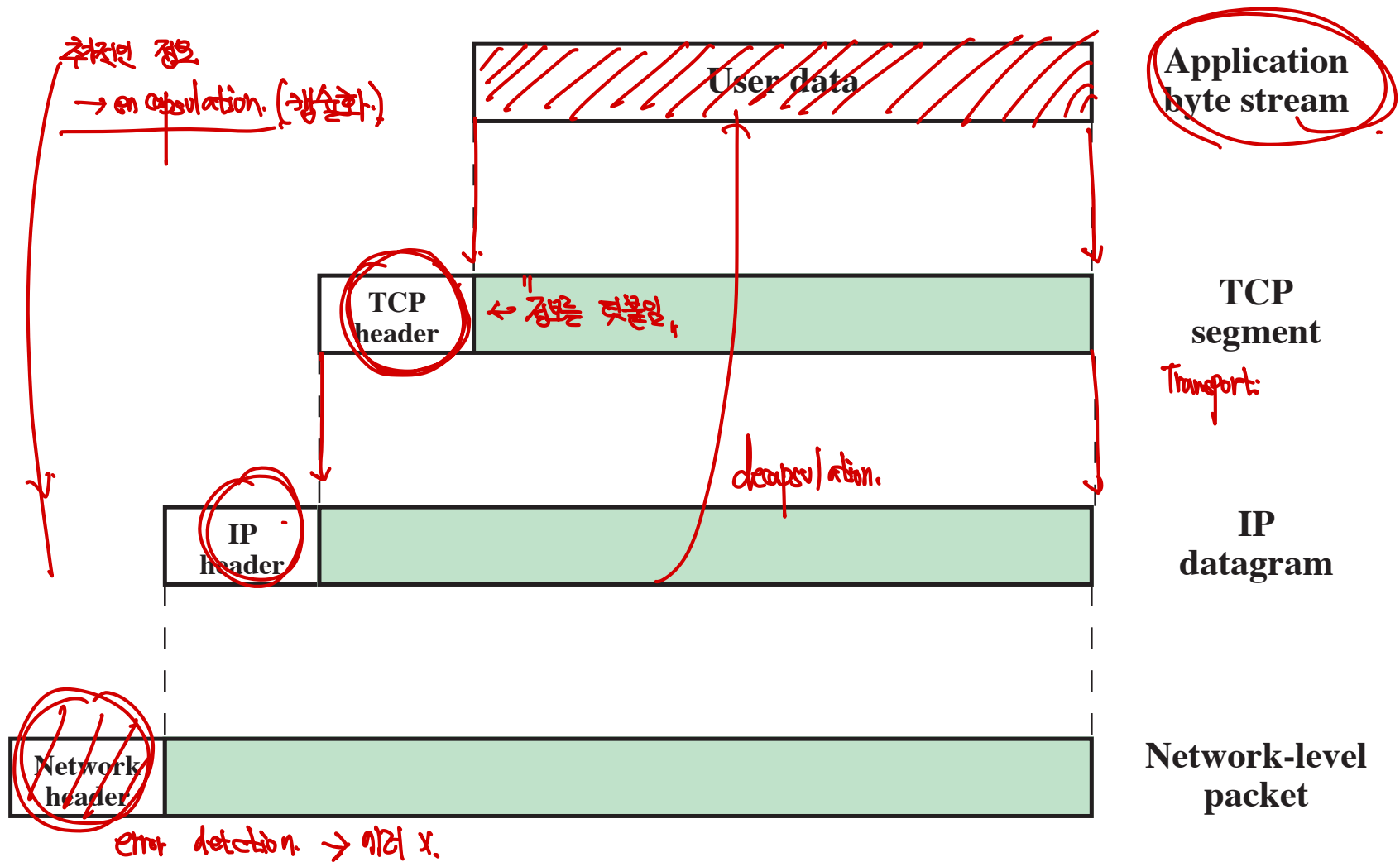
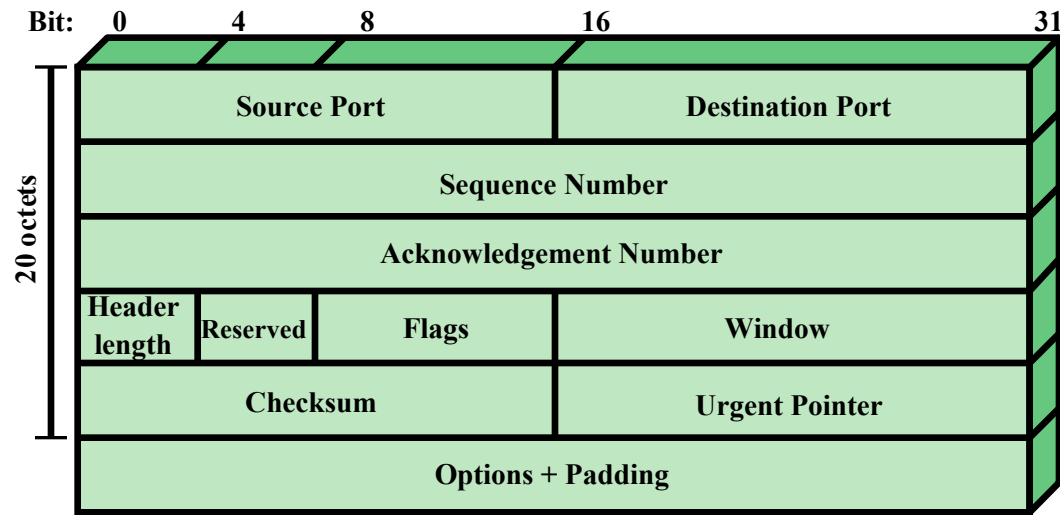


Figure 2.5 Protocol Data Units (PDUs) in the TCP/IP Architecture

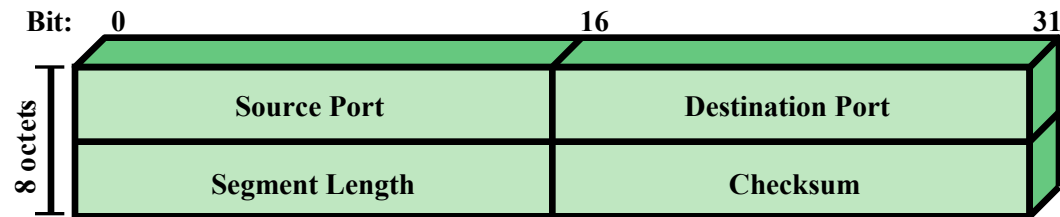
# *Transmission Control Protocol (TCP)*

- ❑ TCP is the transport layer protocol for most applications
- ❑ TCP provides a reliable connection for transfer of data between applications
- ❑ A TCP segment is the basic protocol unit
- ❑ TCP tracks segments between entities for duration of each connection





**(a) TCP Header**

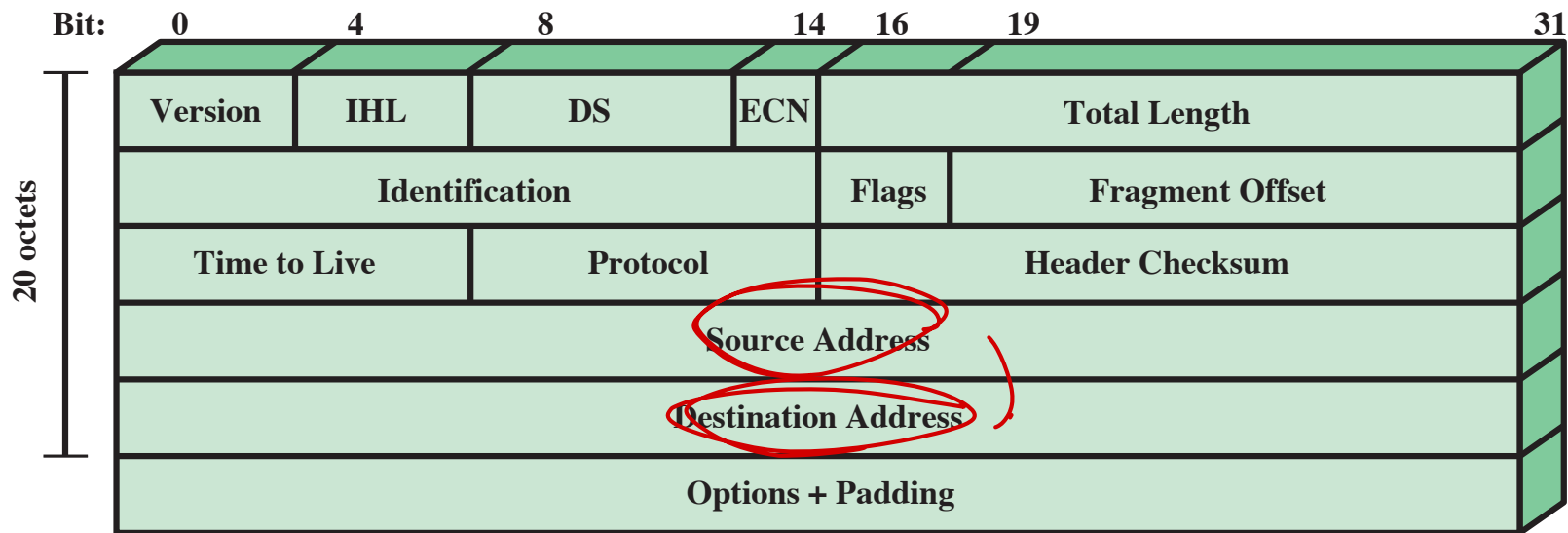


**(b) UDP Header**

## TCP and UDP Headers

# *User Datagram Protocol (UDP)*

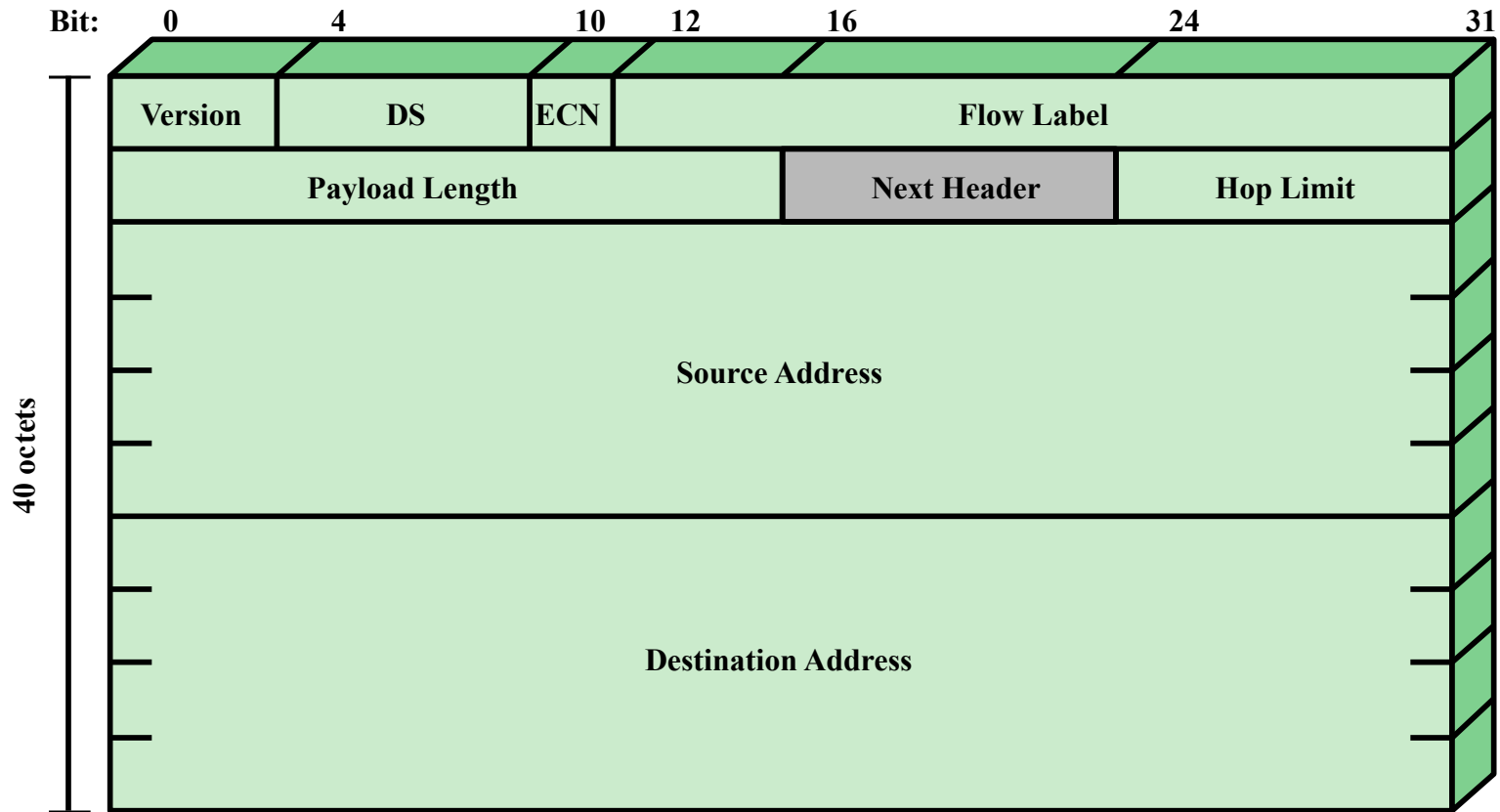
- ❑ Alternative to TCP
- ❑ Does not guarantee delivery, preservation of sequence, or protection against duplication
- ❑ Enables a procedure to send messages to other procedures with a minimum of protocol mechanism
- ❑ Adds port addressing capability to IP
- ❑ Used with Simple Network Management Protocol (SNMP)
- ❑ Includes a checksum to verify that no error occurs in the data



(a) IPv4 Header

network 계층

**(a) IPv4 Header**



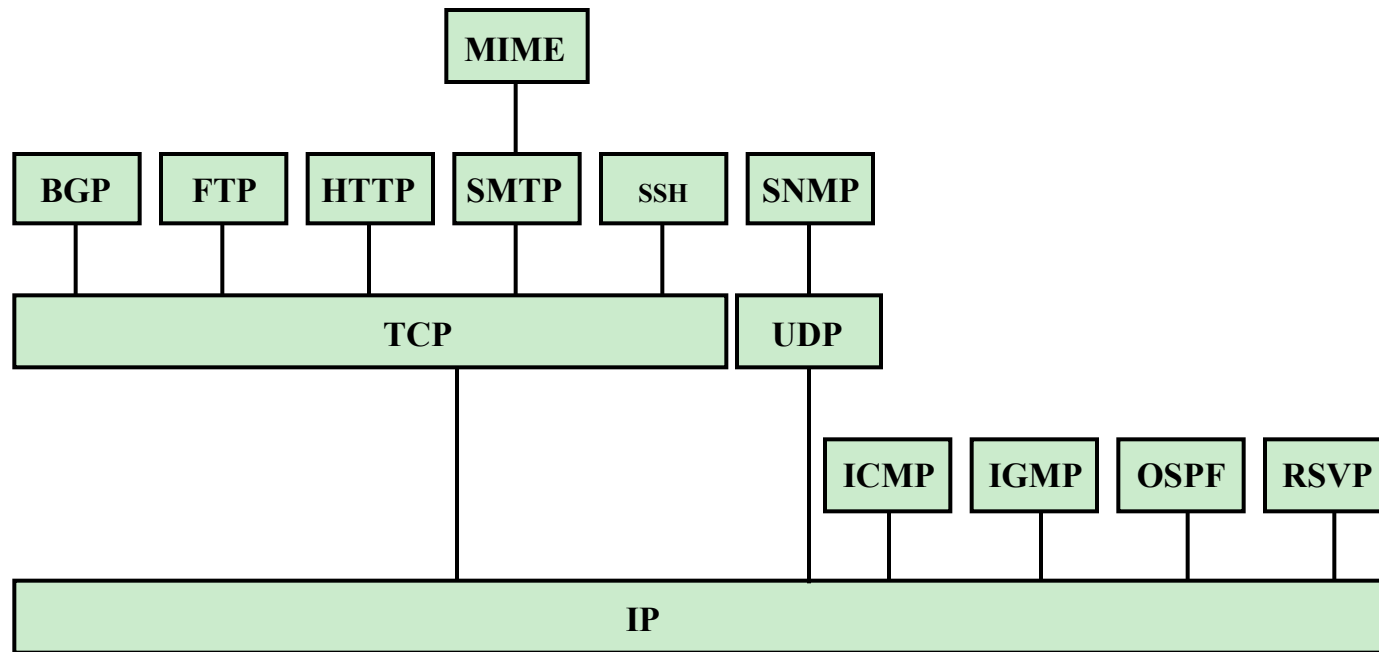
**IPv6 Header**

**DS = Differentiated services field**

**ECN = Explicit congestion notification field**

Note: The 8-bit DS/ECN fields were formerly known as the Type of Service field in the IPv4 header and the Traffic Class field in the IPv6 header.

## IP Headers

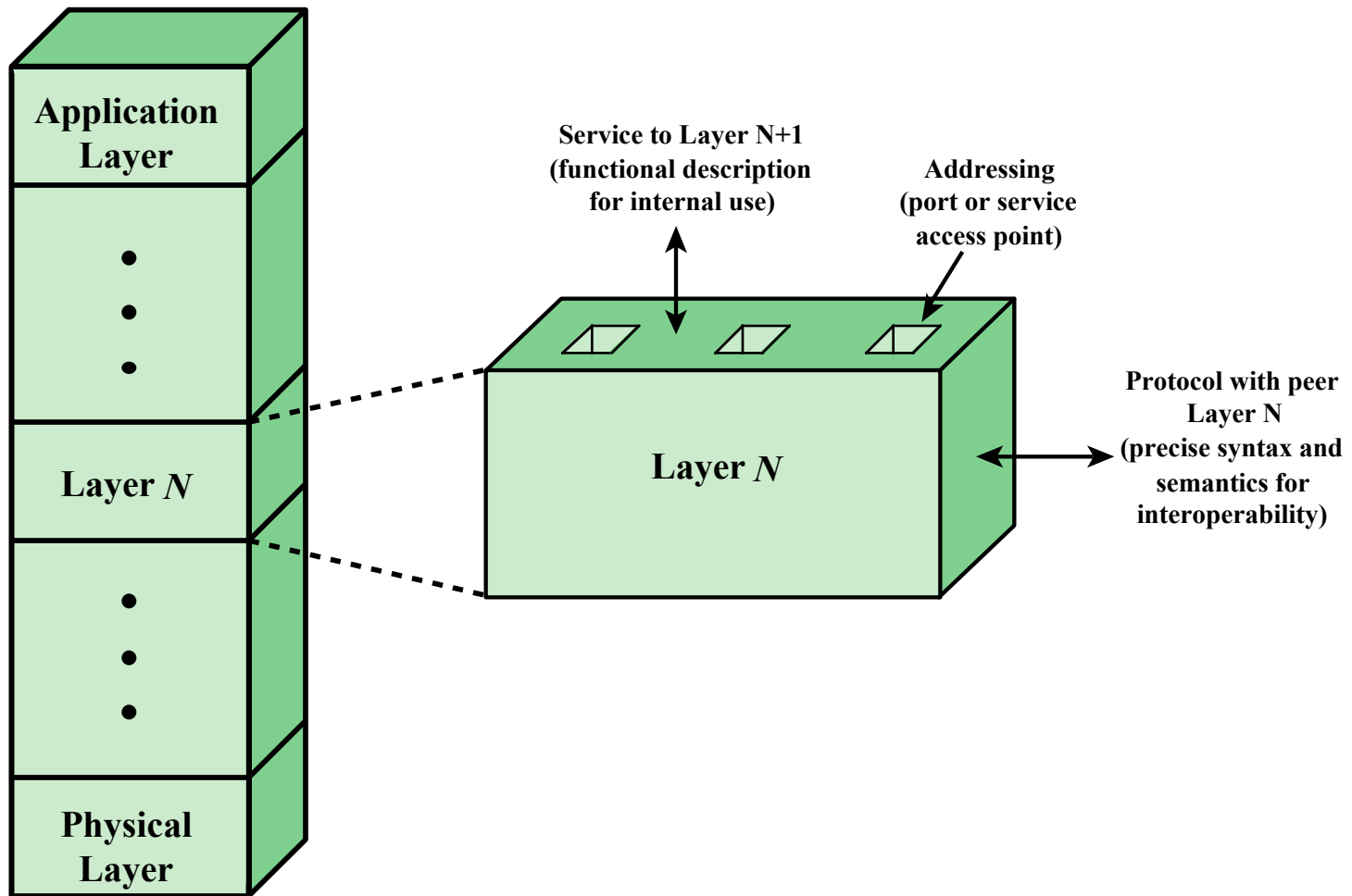


**BGP** = Border Gateway Protocol  
**FTP** = File Transfer Protocol  
**HTTP** = Hypertext Transfer Protocol  
**ICMP** = Internet Control Message Protocol  
**IGMP** = Internet Group Management Protocol  
**IP** = Internet Protocol  
**MIME** = Multipurpose Internet Mail Extension

**OSPF** = Open Shortest Path First  
**RSVP** = Resource ReSerVation Protocol  
**SMTP** = Simple Mail T ransfer Protocol  
**SNMP** = Simple Network Management Protocol  
**SSH** = Secure Shell  
**TCP** = Transmission Control Protocol  
**UDP** = User Datagram Protocol

## Some Protocols in the TCP/IP Protocol Suite





**A Protocol Architecture as a Framework for Standardization**

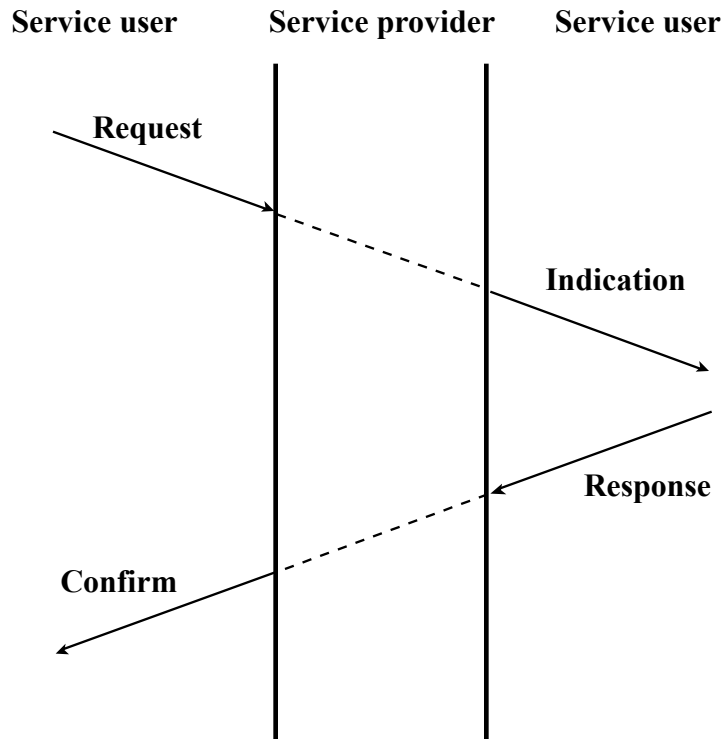
# *Service Primitives and Parameters*

- ❑ Services between adjacent layers
- ❑ Expressed as:
  - ➡ Primitives
    - ❑ Specify the function to be performed
  - ➡ Parameters
    - ❑ Used to pass data and control information

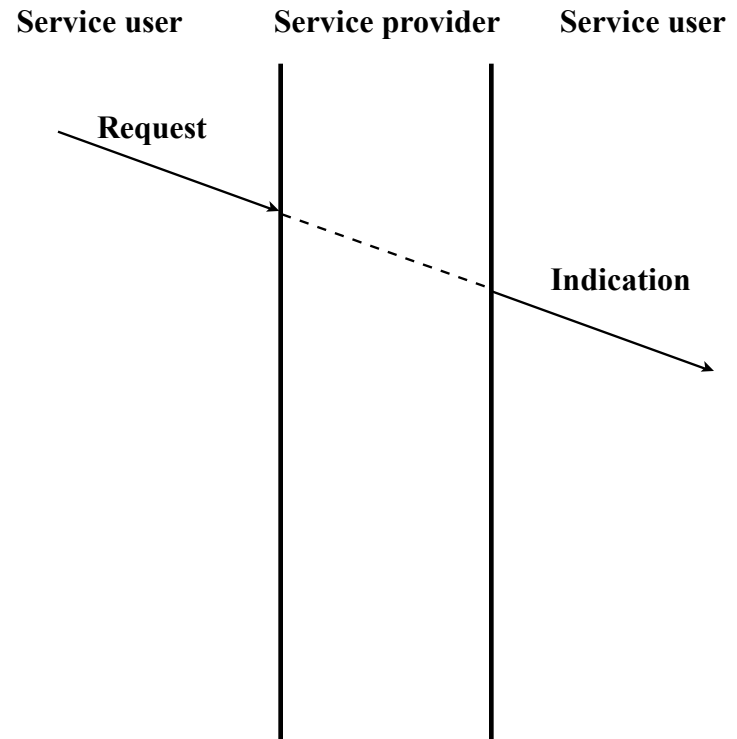
# Table 2.1

## Service Primitive Types

<b>REQUEST</b>	A primitive issued by a service user to invoke some service and to pass the parameters needed to specify fully the requested service
<b>INDICATION</b>	A primitive issued by a service provider either to <ol style="list-style-type: none"><li>1. indicate that a procedure has been invoked by the peer service user on the connection and to provide the associated parameters, or</li><li>2. notify the service user of a provider-initiated action</li></ol>
<b>RESPONSE</b>	A primitive issued by a service user to acknowledge or complete some procedure previously invoked by an indication to that user
<b>CONFIRM</b>	A primitive issued by a service provider to acknowledge or complete some procedure previously invoked by a request by the service user



**(a) Confirmed Service**



**(b) Nonconfirmed Service**

### **Time Sequence Diagrams for Service Primitives**

# *Traditional Internet-Based Applications*

❑ Three common applications that have been standardized to operate on top of TCP are:

## Simple Mail Transfer Protocol (SMTP)

- Provides a mechanism for transferring messages among separate hosts

## File Transfer Protocol (FTP)

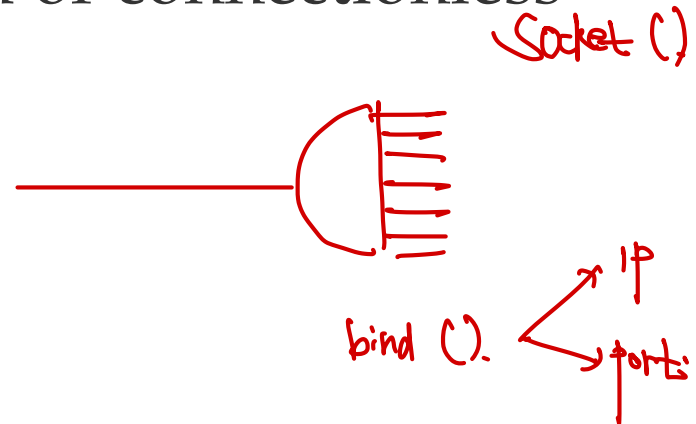
- Used to send files from one system to another under user command
- Both text and binary files are accommodated

## Secure Shell (SSH)

- Provides a secure remote logon capability

# *Sockets Programming*

- ❑ Concept was developed in the 1980s in the UNIX environment as the Berkeley Sockets Interface
  - ➡ De facto standard application programming interface (API)
  - ➡ Basis for Window Sockets (WinSock)
- ❑ Enables communication between a client and server process
- ❑ May be connection oriented or connectionless



# *The Socket*

- ❑ Formed by the concatenation of a port value and an IP address
  - Unique throughout the Internet
- ❑ Used to define an API
  - Generic communication interface for writing programs that use TCP or UDP
- ❑ Stream sockets
  - All blocks of data sent between a pair of sockets are guaranteed for delivery and arrive in the order that they were sent
- ❑ Datagram sockets
  - Delivery is not guaranteed, nor is order necessarily preserved
- ❑ Raw sockets
  - Allow direct access to lower-layer protocols

Format	Function	Parameters
socket( )	Initialize a socket	<b>domain</b> Protocol family of the socket to be created (AF_UNIX, AF_INET, AF_INET6) <b>type</b> Type of socket to be opened (stream, datagram, raw) <b>protocol</b> Protocol to be used on socket (UDP, TCP, ICMP)
bind( )	Bind a socket to a port address	<b>sockfd</b> Socket to be bound to the port address <b>localaddress</b> Socket address to which the socket is bound <b>addresslength</b> Length of the socket address structure
listen( )	Listen on a socket for inbound connections	<b>sockfd</b> Socket on which the application is to listen <b>queuesize</b> Number of inbound requests that can be queued at any time
accept( )	Accept an inbound connection	<b>sockfd</b> Socket on which the connection is to be accepted <b>remoteaddress</b> Remote socket address from which the connection was initiated <b>addresslength</b> Length of the socket address structure
connect( )	Connect outbound to a server	<b>sockfd</b> Socket on which the connection is to be opened <b>remoteaddress</b> Remote socket address to which the connection is to be opened <b>addresslength</b> Length of the socket address structure
send( ) recv( )  read( ) write( )	Send and receive data on a stream socket (either send/recv or read/write can be used)	<b>sockfd</b> Socket across which the data will be sent or read <b>data</b> Data to be sent, or buffer into which the read data will be placed <b>datalength</b> Length of the data to be written, or amount of data to be read
sendto( ) recvfrom( )	Send and receive data on a datagram socket	<b>sockfd</b> Socket across which the data will be sent or read <b>data</b> Data to be sent, or buffer into which the read data will be placed <b>datalength</b> Length of the data to be written, or amount of data to be read
close( )	Close a socket	<b>sockfd</b> Socket which is to be closed

*Table 2.4*

## *Core Socket Functions*

*(Table can be found on page 78 in textbook)*



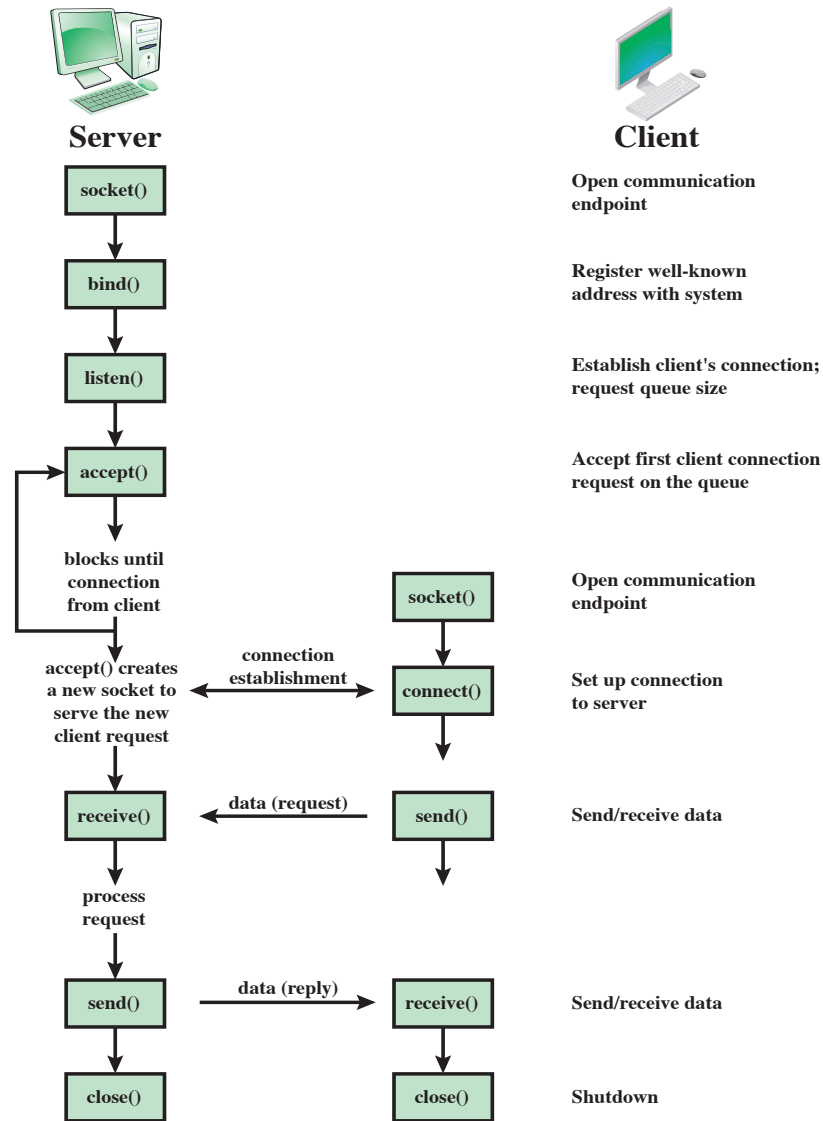


Figure 2.12 Socket System Calls for Connection-Oriented Protocol

```

1  #include <stdio.h>
2  #include <sys/types.h>
3  #include <sys/socket.h>
4  #include <netinet/in.h>

5  void error(char *msg)
6  {
7      perror(msg);
8      exit(1);
9  }

10 int main(int argc, char *argv[])
11 {
12     int sockfd, newsockfd, portno, clilen;
13     char buffer[256];
14     struct sockaddr_in serv_addr, cli_addr;
15     int n;
16     if (argc < 2) {
17         fprintf(stderr, "ERROR, no port provided\n");
18         exit(1);
19     }
20     sockfd = socket(AF_INET, SOCK_STREAM, 0);
21     if (sockfd < 0)
22         error("ERROR opening socket");
23     bzero((char *) &serv_addr, sizeof(serv_addr));
24     portno = atoi(argv[1]);
25     serv_addr.sin_family = AF_INET;
26     serv_addr.sin_port = htons(portno);
27     serv_addr.sin_addr.s_addr = INADDR_ANY;
28     if (bind(sockfd, (struct sockaddr *) &serv_addr,
29             sizeof(serv_addr)) < 0)
30         error("ERROR on binding");
31     listen(sockfd,5);
32     clilen = sizeof(cli_addr);
33     newsockfd = accept(sockfd, (struct sockaddr *) &cli_addr, &clilen);
34     if (newsockfd < 0)
35         error("ERROR on accept");
36     bzero(buffer,256);
37     n = read(newsockfd,buffer,255);
38     if (n < 0) error("ERROR reading from socket");
39     printf("Here is the message: %s\n",buffer);
40     n = write(newsockfd,"I got your message",18);
41     if (n < 0) error("ERROR writing to socket");
42     return 0;
43 }

```

**Figure 2.13 Sockets Server**

(Figure 2.13 can be  
found on page 81 in  
textbook)

```

1  #include <stdio.h>
2  #include <sys/types.h>
3  #include <sys/socket.h>
4  #include <netinet/in.h>
5  #include <netdb.h>

6  void error(char *msg)
7  {
8      perror(msg);
9      exit(0);
10 }

11 int main(int argc, char *argv[])
12 {
13     int sockfd, portno, n;
14     struct sockaddr_in serv_addr;
15     struct hostent *server;
16     char buffer[256];
17     if (argc < 3) {
18         fprintf(stderr,"usage %s hostname port\n", argv[0]);
19         exit(0);
20     }
21     portno = atoi(argv[2]);
22     sockfd = socket(AF_INET, SOCK_STREAM, 0);
23     if (sockfd < 0)
24         error("ERROR opening socket");
25     server = gethostbyname(argv[1]);
26     if (server == NULL) {
27         fprintf(stderr,"ERROR, no such host\n");
28         exit(0);
29     }
30     bzero((char *) &serv_addr, sizeof(serv_addr));
31     serv_addr.sin_family = AF_INET;
32     bcopy((char *)server->h_addr,
33         (char *)&serv_addr.sin_addr.s_addr,
34         server->h_length);
35     serv_addr.sin_port = htons(portno);
36     if (connect(sockfd,(struct sockaddr *)&serv_addr,sizeof(serv_addr)) < 0)
37         error("ERROR connecting");
38     printf("Please enter the message: ");
39     bzero(buffer,256);
40     fgets(buffer,255,stdin);
41     n = write(sockfd,buffer,strlen(buffer));
42     if (n < 0)
43         error("ERROR writing to socket");
44     bzero(buffer,256);
45     n = read(sockfd,buffer,255);
46     if (n < 0)
47         error("ERROR reading from socket");
48     printf("%s\n",buffer);
49     return 0;
50 }

```

**Figure 2.14 Sockets Client**

(Figure 2.14 can be found on page 82 in textbook)

# *Summary*

- ❑ The need for a protocol architecture
- ❑ Simple protocol architecture
- ❑ TCP/IP protocol architecture
  - ➔ TCP/IP layers
  - ➔ Operation of TCP and IP
  - ➔ TCP and UDP
  - ➔ IP and IPv6
  - ➔ Protocol interfaces
- ❑ Standardization within a protocol architecture
  - ➔ Standards and protocols
  - ➔ Service primitives and parameters
- ❑ Traditional internet-based applications
- ❑ Multimedia
  - ➔ Media types
  - ➔ Multimedia applications
  - ➔ Multimedia technologies
- ❑ Sockets programming
  - ➔ The socket
  - ➔ Sockets interface calls