

Chap. 2

# Finite Automata

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# Agenda of Chapter 2

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- Deterministic Finite Accepters (DFA)
- Nondeterministic Finite Accepters (NDFA)
- Equivalence of DFA & NDFA

# Deterministic Accepters & Transition Graph (1/3)

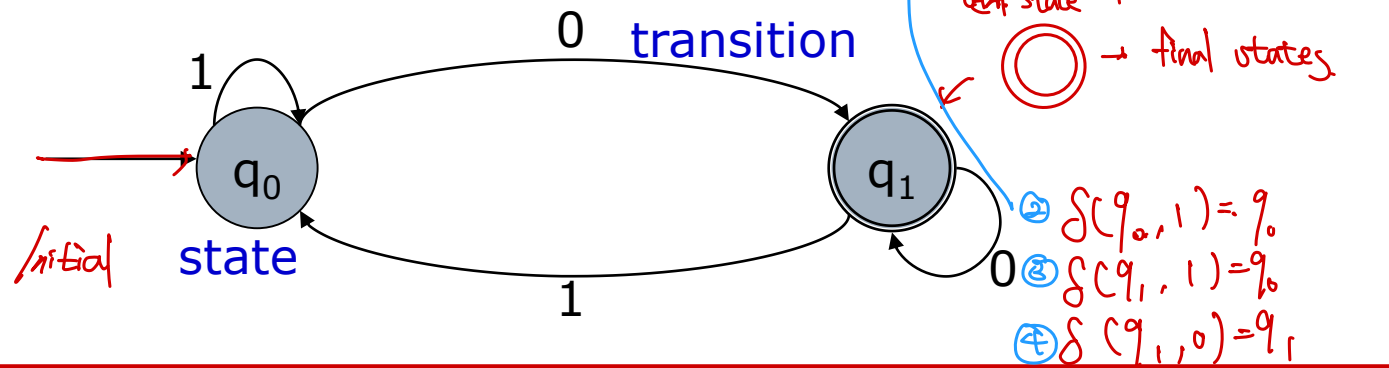
## □ Deterministic finite accepter (dfa) 기계 요소

모든 입력에 대해

$$\text{Machine } M = (Q, \Sigma, \delta, q_0, F)$$

- $Q$  : a finite set of internal state (제한된 state)  $Q = \{q_0, q_1\}$
- $\Sigma$  : a finite set of symbols, input alphabet  $\Sigma = \{0, 1\}$
- $\delta : Q \times \Sigma \rightarrow Q$  : a total function, transition function
- $q_0 \in Q$  : initial state 표준 입력 값에 대해 정해져 있다.
- $F \subseteq Q$  : a set of final states

## □ Transition graph



# Deterministic Accepters & Transition Graph (2/3)

Ex 2.1]  $M = (\{q_0, q_1, q_2\}, \{0,1\}, \delta, q_0, \{q_1\})$   
 $\delta(q_0,0) = q_0, \quad \delta(q_0,1) = q_1,$   
 $\delta(q_1,0) = q_0, \quad \delta(q_1,1) = q_2,$   
 $\delta(q_2,0) = q_2, \quad \delta(q_2,1) = q_1.$

*finite state set*

Transition

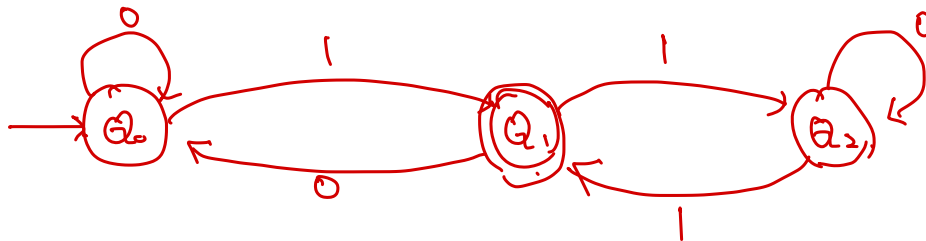


Table representation

	0	1
$q_0$	$q_0$	$q_1$
$q_1$	$q_0$	$q_2$
$q_2$	$q_2$	$q_1$

# Deterministic Accepters & Transition Graph (3/3)

Extended transition function  $\delta^* : Q \times \Sigma^* \rightarrow Q$

Ex)  $\delta^*(q_0, ab) = q_2$

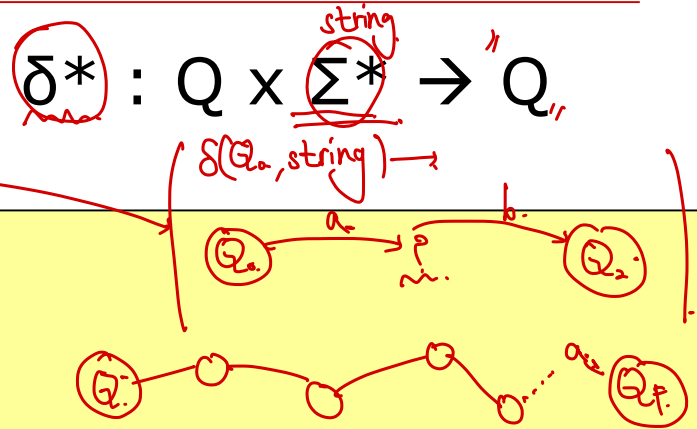
## [Recursive definition of $\delta^*$ ]

- 1)  $\delta^*(q, \lambda) = q$  (basic)
- 2)  $\delta^*(q, wa) = \delta(\delta^*(q, w), a)$  (tail string (w.s. string))

Ex)  $\delta^*(q_0, ab) =$

$$\delta(\delta^*(q_0, a), b) = \delta(\delta(q_0, a), b) = \delta(q_1, b) = q_2$$

$\delta^*(q_0, wa) = \delta(\delta^*(q_0, w), a)$



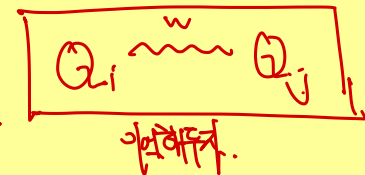
## [THEOREM 2.1] Extended transition of DFA & strings

$M = (Q, \Sigma, \delta, q_0, F)$  : a dfa,

$G_M$  : associated transition graph

For every  $q_i, q_j \in Q$  and  $w \in \Sigma^+$ ,  $\delta^*(q_i, w) = q_j$

$\Leftrightarrow$  There is in  $G_M$  a walk with label  $w$  from  $q_i$  to  $q_j \in Q$



# Languages and Dfa's (1/2)

## □ Language

- Set of all the strings accepted by the automaton.

## □ Language accepted by dfa $M = (Q, \Sigma, \delta, q_0, F)$

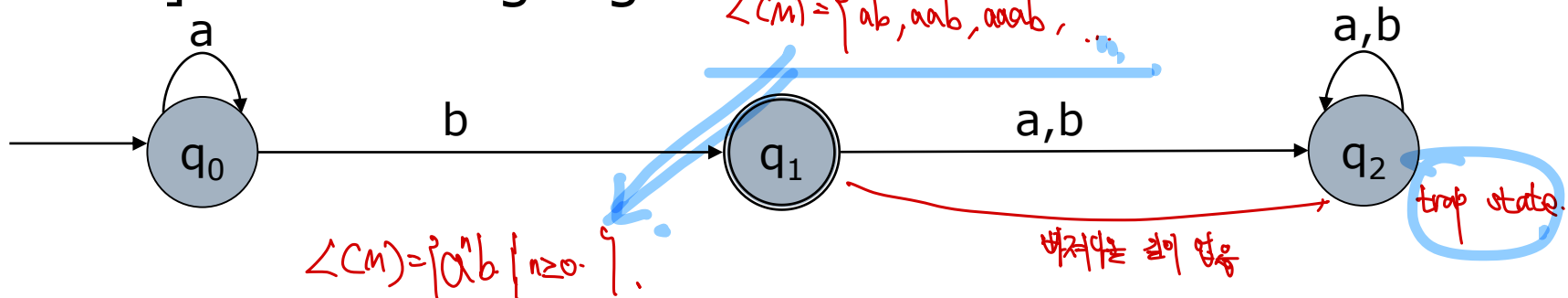
- Set of all strings on accepted by  $M$ .

$$L(M) = \{w \in \Sigma^* \mid \delta^*(q_0, w) \in F\}$$

## □ Nonacceptance

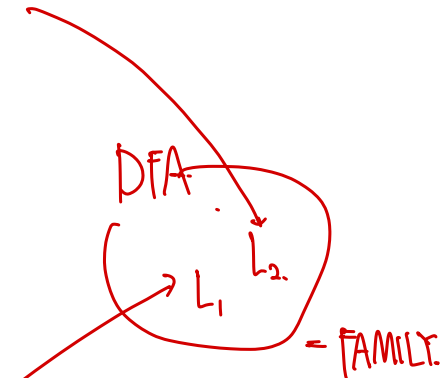
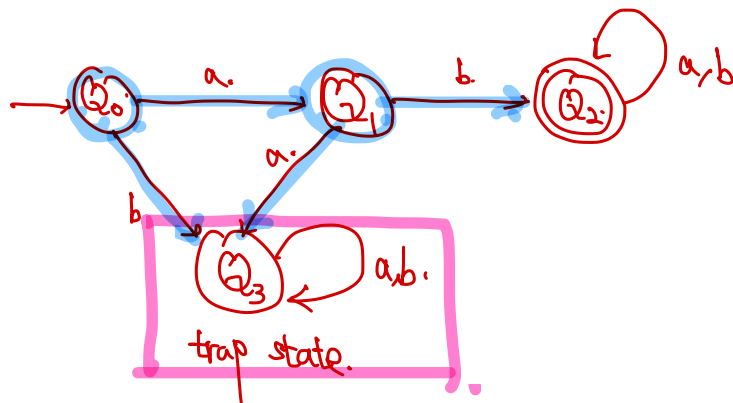
$$(L(M))^c = \{w \in \Sigma^* \mid \delta^*(q_0, w) \notin F\}$$

Ex 2.2] Find a language



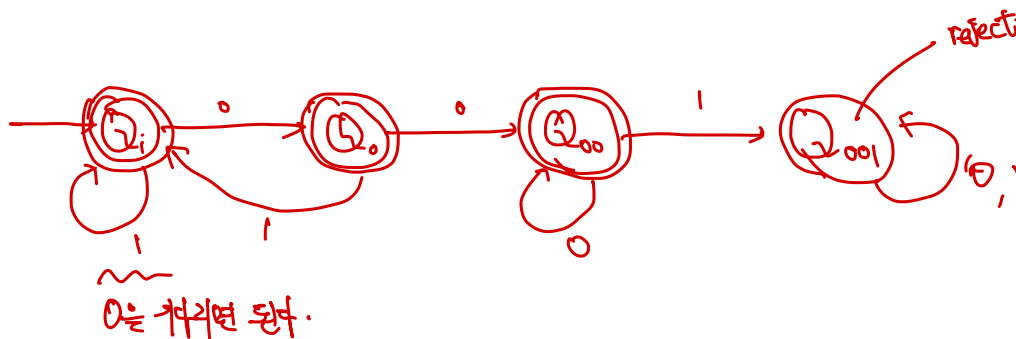
# Languages and Dfa's (2/2)

Ex2.3] A dfa recognizing  $L = \{abw \mid w \in \Sigma^*\}$ ,  $\Sigma = \{a, b\}$

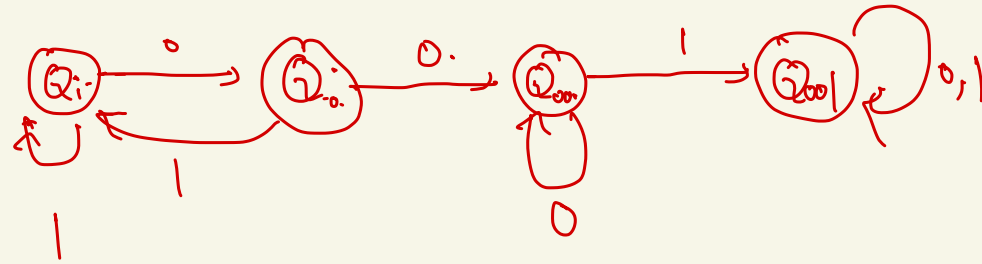


Ex2.4] A dfa accepting all strings on  $\{0, 1\}$  except those containing 001.

$$M = (Q_i, Q_0, Q_{00}, Q_{001}, \{0, 1\}, \delta, Q_i, \{Q_i, Q_0, Q_{00}\})$$



Q01





# Regular Languages

(DFA  $\Leftrightarrow$  Regular Language.)

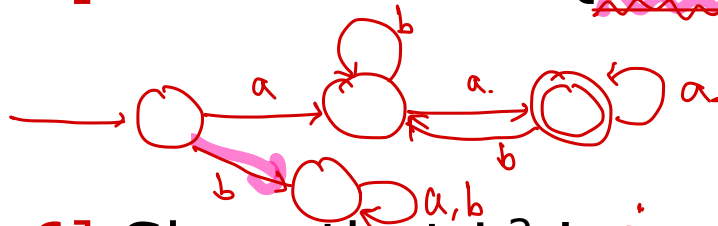
## Family

- a set of languages with a common characteristics
- a set of languages accepted by a set of automata

**[Definition]** Regular Language (Family) DFA가 존재하는 Language.

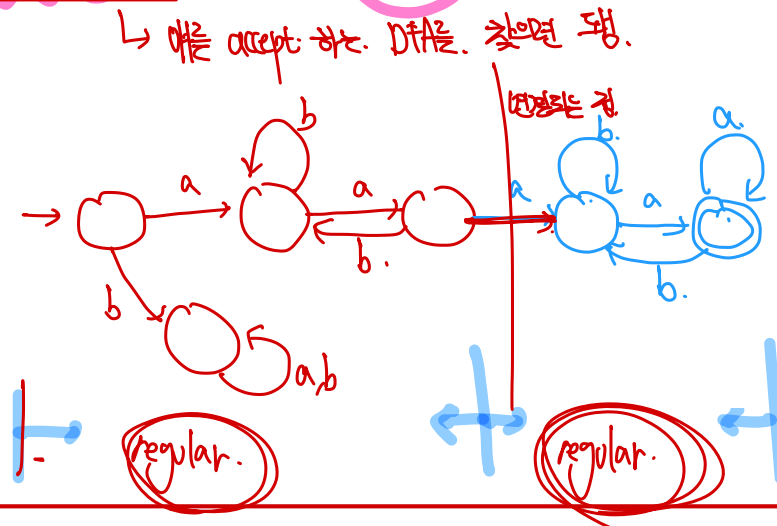
- A language  $L$  is regular iff There exist some dfa  $M$ .
- $L = L(M)$

**Ex2.5]** Show that  $L = \{awa \mid w \in \{a,b\}^*\}$  is regular



**Ex2.6]** Show that  $L^2$  is regular

$$L^2 = \{aw_1aaw_2a \mid w_1 \in \{a,b\}^*, w_2 \in \{a,b\}^*\}$$



## Definition of a Nondeterministic Acceptor (1/4)

**[Definition]** Nondeterministic finite accepter (NFA)

$$M = (Q, \Sigma, \delta, q_0, F)$$

$$\delta: Q \times (\Sigma \cup \{\lambda\}) \rightarrow 2^Q$$

- $Q$ : a finite set of internal state
- $\Sigma$ : a finite set of symbols, input alphabet
- $q_0 \in Q$ : initial state
- $F \subseteq Q$ : a set of final states
- $\delta: Q \times (\Sigma \cup \{\lambda\}) \rightarrow 2^Q$ : transition function, partial function.

DFA 와 다른.

(부분함수)  
→ Q의 부분집합의 집합.

$$\delta(q_0, a) = \{q_i, q_j\}$$

→ 적절한 symbol 이 없어도  
전혀 될 수 있다.

$$\delta: Q \times \Sigma \rightarrow Q \quad : \text{DFA}$$

그 다음 state-  
정확히 결정되어 있지 않다.

## □ Characteristics of NFA

- Range of  $\delta$ : not a single element, but a subset of  $Q$
- $\lambda$ : can be a second argument of  $\delta$
- $\delta(q_i, a)$ : may be empty (partial function)

← input 없이 transition 가능

 ~~$\delta(q_i, a) = \emptyset$  이 가능.~~

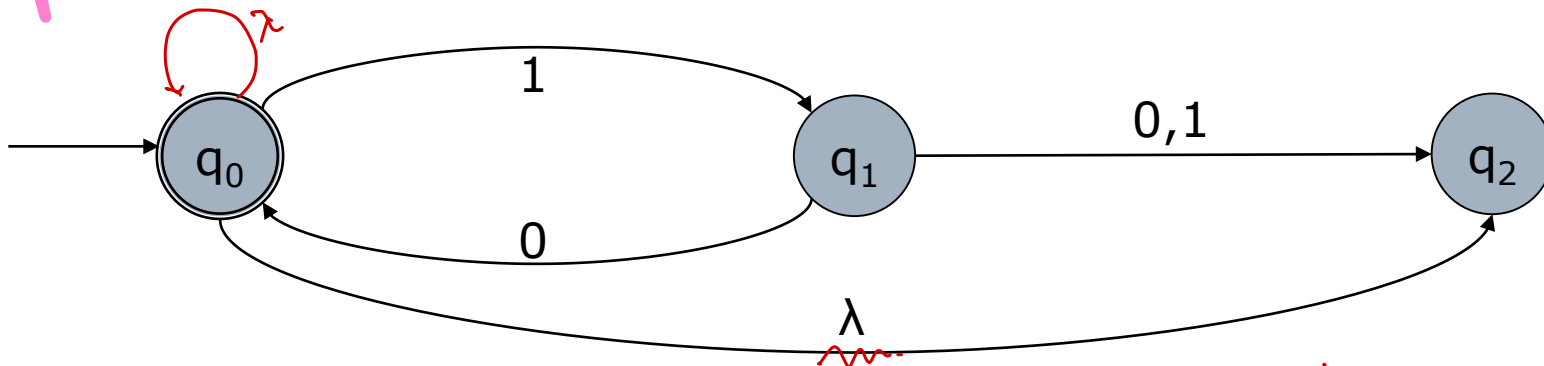
## □ A string is accepted by an nfa

- if there is some sequence of possible moves that ends in a final state

이것이 accept 가능

# Definition of a Nondeterministic Acceptor(2/4)

**Ex2.8]** An nfa shown as a transition graph



$$\delta(q_0, \lambda) = \{q_2, q_0\}$$

$$\delta(q_1, \lambda) =$$

$$\delta(q_2, \lambda) =$$

$$\delta(q_0, 0) = \emptyset$$

$$\delta(q_1, 0) = \{q_2, q_0\}$$

$$\delta(q_2, 0) =$$

$q_0 \rightarrow q_2$ 도 있어서  
관심해야 함.

$$\delta(q_0, 1) =$$

$$\delta(q_1, 1) =$$

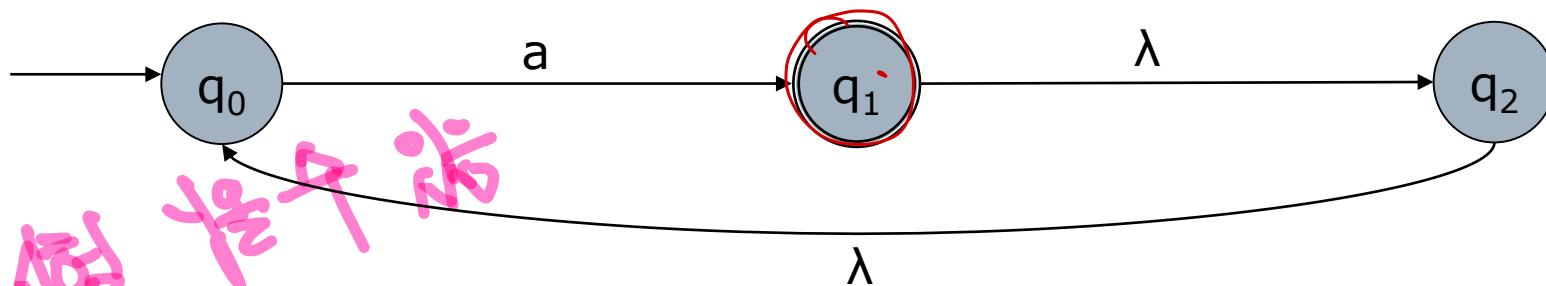
$$\delta(q_2, 1) =$$

# Definition of a Nondeterministic Acceptor(3/4)

## Extended transition → 어떤 집합이 된다.

- $\delta^*(q_i, w)$  contains  $q_j$  iff  
there is a walk from  $q_i$  to  $q_j$  labeled  $w$

Ex2.9] An nfa shown as a transition graph



naana.

$$\delta^*(q_1, a) = \{q_2, q_1, q_0\}$$

$$\delta^*(q_2, \lambda) = \{q_2, q_0\} \rightarrow \text{자신과 q0로 돌아옴}$$

$$\delta^*(q_2, aa) = \{q_1, q_2, q_0\}$$

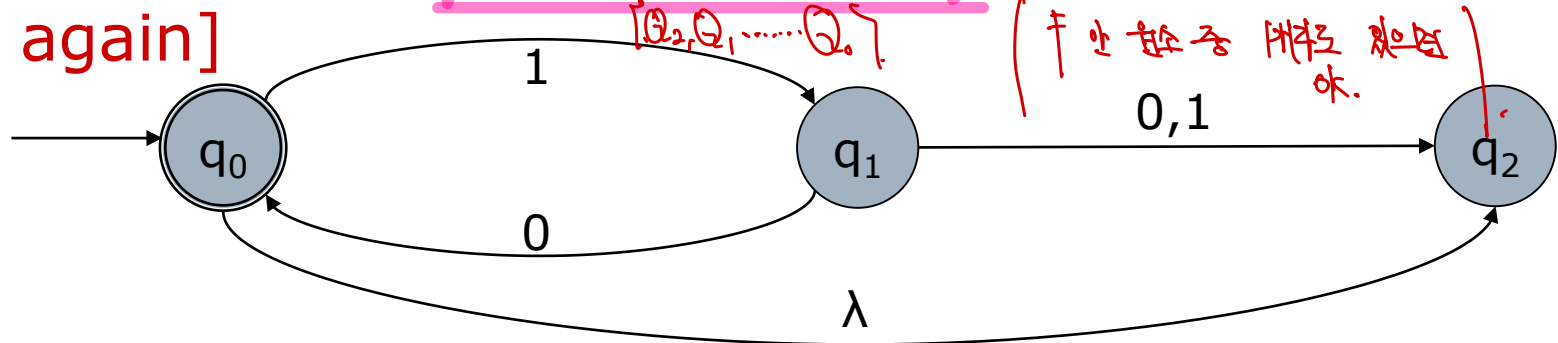
(naana) q1 자신과 q2, q0

# Definition of a Nondeterministic Acceptor(4/4)

- A string is accepted by an NFA
  - if there is some sequence of possible moves that ends in a final state
- Language  $L$  accepted by an NFA  $M = (Q, \Sigma, \delta, q_0, F)$ 
  - $L(M) = \{w \in \Sigma^* \mid \delta^*(q_0, w) \cap F \neq \emptyset\}$

NFA final에 이르면 final 이면 accept

Ex2.8, again]



$$\delta^*(q_0, 1) = \{Q_1\} : \text{reject}$$

$$\delta^*(q_0, \lambda) = \{Q_0\} : \text{accept}$$

accept strings:  $\lambda, 1010$

reject strings:  $1, 110$

$$L = \{(10)^n \mid n \geq 0\}$$

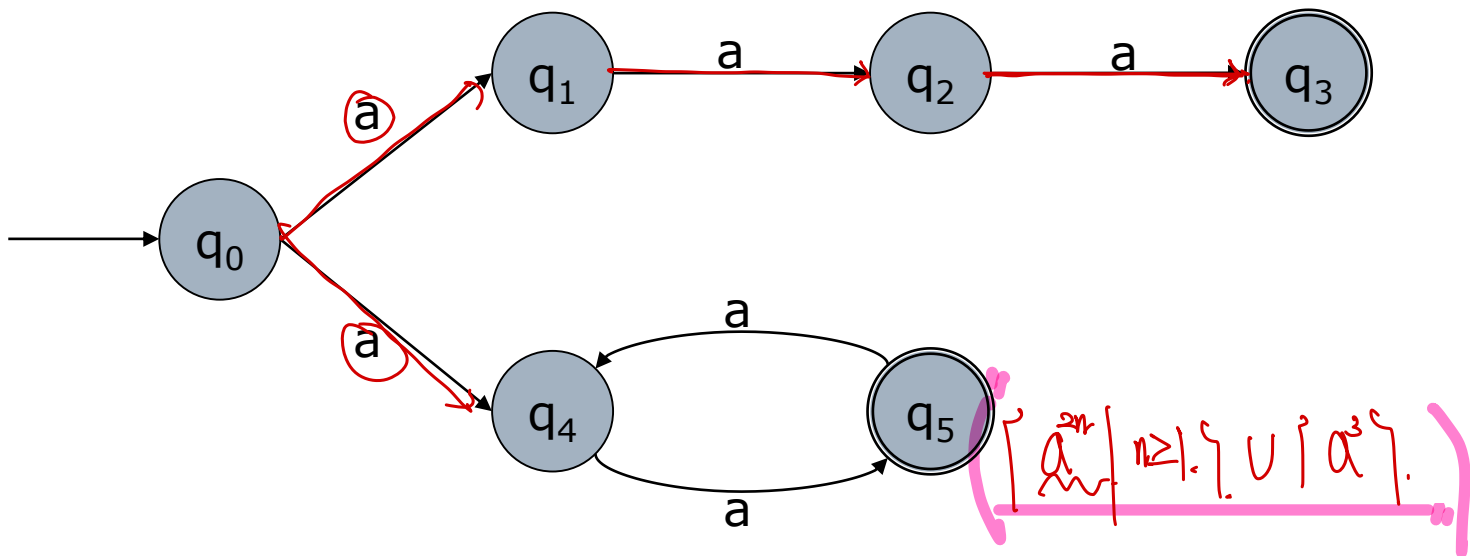
$\Rightarrow \lambda$ 도 accept

$$\delta^*(q_0, 1010) = \{Q_0, Q_2\}$$

$$\delta^*(q_0, 110) = \emptyset : \text{reject}$$

# Why nondeterminism?

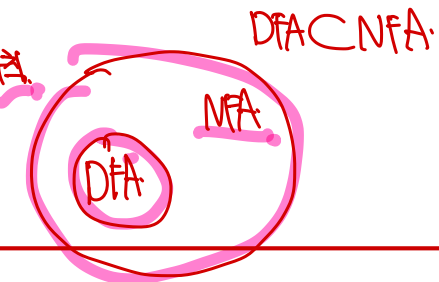
- An effective mechanism for describing some complicated languages concisely



— Language accepted by the nfa :

- Relation between and dfa & nfa?

$$\delta(q_0, a) = q_0$$



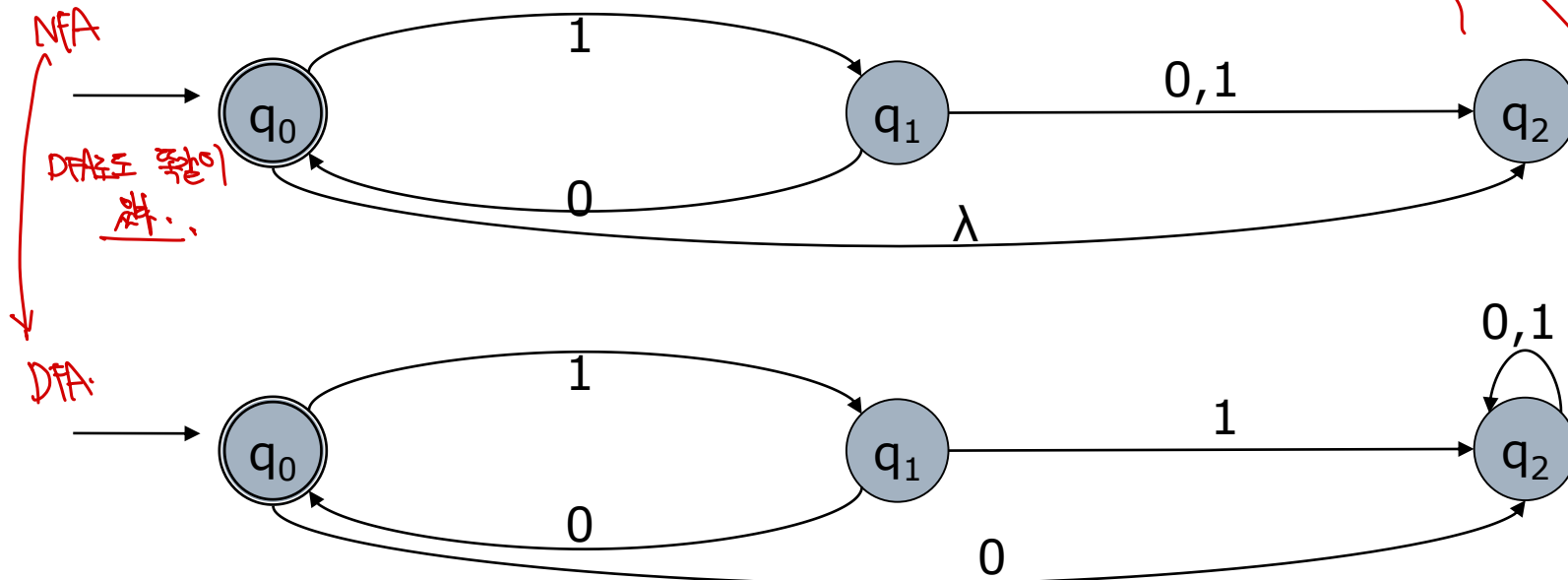
# Equivalence of DFA & NFA (1/4)

□ Two finite accepters  $M_1$  and  $M_2$  are equivalent if

- $L(M_1) = L(M_2)$  무엇을 받아들이는 accept 하는 language는 같다.
- They both accept the same language

Ex2.11]

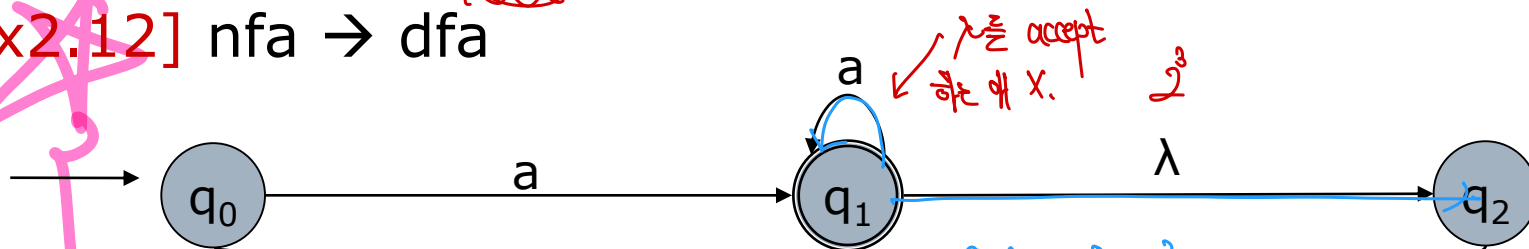
- nfa and dfa accepting  $\{(10)^n : n \geq 0\}$



# Equivalence of DFA & NFA (2/4)

- The classes of DFA's and NFA's are equally powerful
  - possible to convert any nfa  $\rightarrow$  an equivalent dfa.
- How to convert
  - Correspond a set  $\{q_i, q_j, \dots, q_k\}$  in nfa  $\rightarrow$  a state  $q_{ij\dots k}$  in dfa
  - For a set of  $|Q|$  states, dfa can have states less than  $2^{|Q|}$

Ex2.12] nfa  $\rightarrow$  dfa



$$\delta_N(q_0, a) = \{q_1, q_2\}$$

$$\delta_N(q_0, b) = \emptyset$$

$$\delta_N(q_1, a) = \{q_1, q_2\}$$

q1에서 a 들어와

q2에서 a 들어와 union

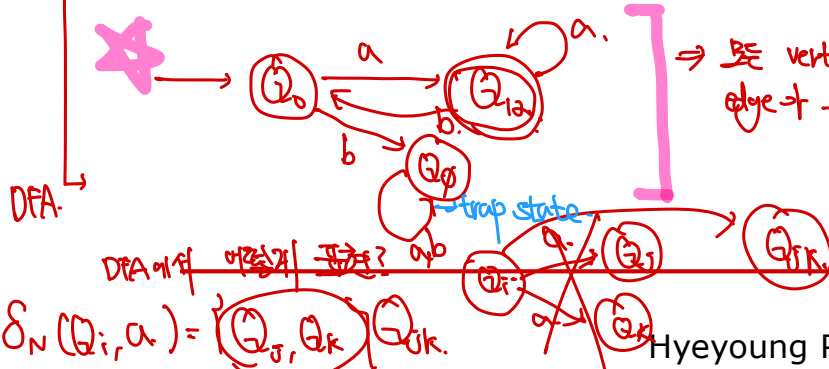
$$= \delta_N(q_1, b) \cup \delta_N(q_2, b)$$

$$\delta_D(q_1, b) = \{q_1\}$$

$\rightarrow$  equivalent 한 dfa 어떻게 찾나!

새로운 state를 "b"

$\Rightarrow$  모든 vertex에 들어와 있어야 할 때에!



DFA

DFA에서 어떻게 찾나?

$$\delta_N(q_i, a) = \{q_j, q_k, \dots, q_l\}$$



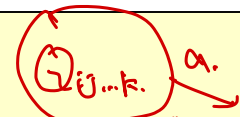
# Equivalence of DFA & NFA (3/4)

## [THEOREM 2.2]

$L$  : language accepted by nfa  $M_N = (Q_N, \Sigma, \delta_N, q_0, F_N)$   
 then a dfa  $M_D = (Q_D, \Sigma, \delta_D, \{q_0\}, F_D)$  exists such that  $L = L(M_D)$

Proof) Find a procedure nfa to dfa

1. Create a graph  $G_D$  with initial vertex  $\{q_0\}$
2. Repeat until no more edges are missing
  - Take a vertex  $[q_i, q_j, \dots, q_k]$  of  $G_D$  with no outgoing edge for some  $a$
  - Compute  $\delta^*(q_i, a), \delta^*(q_j, a), \dots, \delta^*(q_k, a)$
  - Form the union of all  $\delta^*$  yielding the set  $\{q_l, q_m, \dots, q_n\}$
  - Create new vertex  $[q_l, q_m, \dots, q_n]$  if it does not already exist
  - Add an edge from  $[q_i, q_j, \dots, q_k]$  to  $[q_l, q_m, \dots, q_n]$  and label it with  $a$



$\delta^*(q_i, a) \cup \delta^*(q_j, a) \cup \delta^*(q_k, a)$

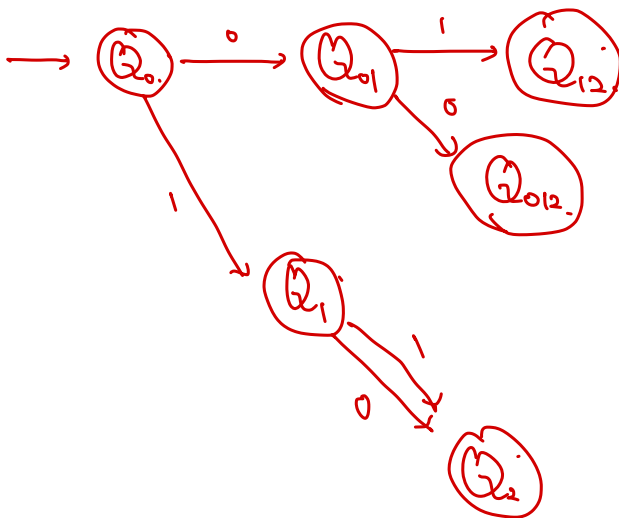
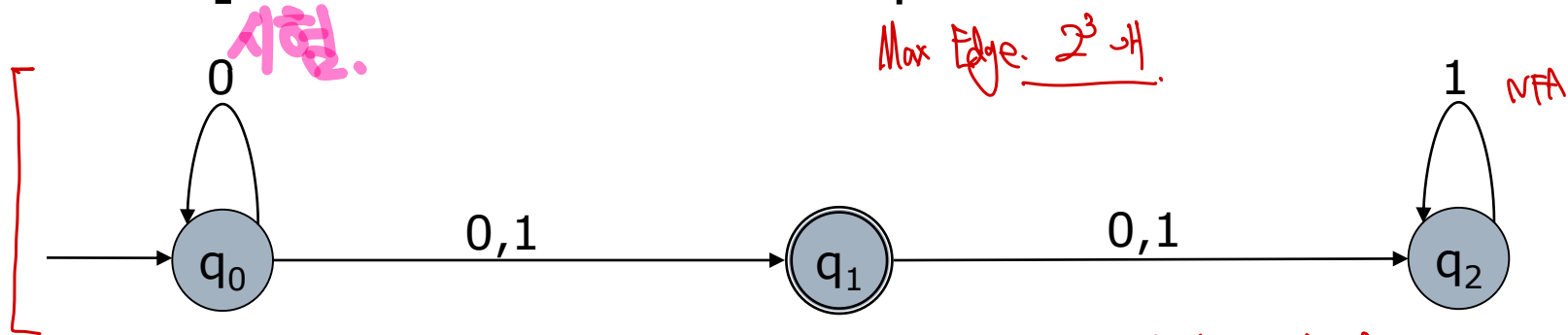
### 3. Identify final vertex

- Every state of  $G_D$  with any  $q_f \in F_N$
4. If  $M_N$  accepts  $\lambda$ , the vertex  $\{q_0\}$  is a final vertex

empty case

# Equivalence of DFA & NFA (4/4)

Ex 2.13] Convert nfa to an equivalent dfa



$$\delta_N(Q_0, 0) = \{Q_0, Q_1\}$$

$$\delta_N(Q_0, 1) = \{Q_1\}$$

$$\delta_D(Q_0, 0) = \{Q_0, Q_1\} \quad \delta_D(Q_0, 1) = \{Q_1\}$$

$$\begin{aligned} \delta_D(Q_0, 0) &= \delta_N(Q_0, 0) \cup \delta_N(Q_1, 0) = \{Q_0, Q_1, Q_2\} \\ \delta_D(Q_0, 1) &= \delta_N(Q_0, 1) \cup \delta_N(Q_1, 1) = \{Q_1, Q_2\} \end{aligned}$$

더 이상 2원 집합에 없는 때 까지