

In these notes, we summarize the derivation of adiabatic approximation and Berry's phase.

### 1. Instantaneous eigenkets v.s. solutions to time-dependent Schrödinger's equation

Our goal is to obtain the solution to the time-dependent SE

$$i\hbar \frac{\partial |\psi(t)\rangle}{\partial t} = H(t)|\psi(t)\rangle \quad (1)$$

which is usually hard to obtain. But at every moment  $t$ , the Hilbert space can be thought of as spanned by the complete basis of *instantaneous* energy eigenstates satisfying

$$H(t)|n(t)\rangle = E_n(t)|n(t)\rangle \quad (2)$$

Since  $|n(t)\rangle$  is complete, we can write the solution  $|\psi(t)\rangle$  as a linear combination of  $|n(t)\rangle$ 's. Instead of writing

$$|\psi(t)\rangle = \sum_n c_n(t)|n(t)\rangle$$

we decorate  $|n(t)\rangle$  with a to-be-determined phase factor  $e^{i\beta_n(t)}$

$$|\psi(t)\rangle = \sum_n c_n(t)e^{i\beta_n(t)}|n(t)\rangle \quad (3)$$

We hope by cleverly choosing  $\beta_n(t)$ , we have a simpler differential equation for  $c_n(t)$ .

Taking the time derivative of (3), we have

$$\frac{\partial |\psi(t)\rangle}{\partial t} = \sum_n \left[ \dot{c}_n(t)e^{i\beta_n(t)}|n(t)\rangle + c_n(t) \cdot i\beta'_n(t) \cdot e^{i\beta_n(t)}|n(t)\rangle + c_n(t)e^{i\beta_n(t)} \frac{\partial |n(t)\rangle}{\partial t} \right] \quad (4)$$

On the other hand, from (1), we have

$$\frac{\partial |\psi(t)\rangle}{\partial t} = \frac{1}{i\hbar} H(t) \sum_n c_n(t)e^{i\beta_n(t)}|n(t)\rangle = \frac{1}{i\hbar} \sum_n c_n(t)e^{i\beta_n(t)} E_n(t)|n(t)\rangle \quad (5)$$

Compare (4) and (5), we can see that if we make

$$i\beta'_n(t) = \frac{1}{\hbar} E_n(t) \quad \text{or} \quad \beta_n(t) = \exp \left[ -\frac{1}{\hbar} \int_{t_0}^t E_n(t') dt' \right] \quad (6)$$

a particularly simple differential equation for  $c_n(t)$  will emerge

$$\sum_n e^{i\beta_n(t)} \left[ \dot{c}_n(t)|n(t)\rangle + c_n(t) \frac{\partial |n(t)\rangle}{\partial t} \right] = 0 \quad (7)$$

Once  $c_n(t)$  is solved in (7), we can go back to (3) and have the solution to the SE as

$$|\psi(t)\rangle = \sum_n c_n(t) \exp \left[ -\frac{i}{\hbar} \int_{t_0}^t E_n(t') dt' \right] |n(t)\rangle \quad (8)$$

### 2. Solving for the expansion coefficients $c_n(t)$

Left-apply  $\langle m(t)|$  to (7), we have

$$\begin{aligned} e^{i\beta_m(t)} \dot{c}_m(t) + \sum_n e^{i\beta_n(t)} c_n(t) \left\langle m(t) \left| \frac{\partial}{\partial t} \right| n(t) \right\rangle &= 0 \implies \\ \dot{c}_m(t) &= -c_m(t) \left\langle m(t) \left| \frac{\partial}{\partial t} \right| m(t) \right\rangle - \sum_{n \neq m} e^{i[\beta_n(t) - \beta_m(t)]} c_n(t) \left\langle m(t) \left| \frac{\partial}{\partial t} \right| n(t) \right\rangle \end{aligned} \quad (9)$$

Now to see the sum in (9), let's take the time derivative of (2) followed by left applying  $\langle m(t)|$  for all  $n \neq m$ :

$$\dot{H}(t)|n(t)\rangle + H(t)\frac{\partial |n(t)\rangle}{\partial t} = \dot{E}_n(t)|n(t)\rangle + E_n(t)\frac{\partial |n(t)\rangle}{\partial t} \implies \quad (10)$$

$$\langle m(t)|\dot{H}(t)|n(t)\rangle = [E_n(t) - E_m(t)] \left\langle m(t) \left| \frac{\partial}{\partial t} \right| n(t) \right\rangle \quad (11)$$

Then (9) becomes

$$\dot{c}_m(t) = -c_m(t) \left\langle m(t) \left| \frac{\partial}{\partial t} \right| m(t) \right\rangle - \sum_{n \neq m} c_n(t) e^{i[\beta_n(t) - \beta_m(t)]} \frac{\langle m(t)|\dot{H}(t)|n(t)\rangle}{E_n(t) - E_m(t)} \quad (12)$$

which is the *coupled* differential equation, since the time derivative of the  $m$ -th eigenstate coefficient  $c_m(t)$  will depend on other  $c_n(t)$ 's.

### 3. Adiabatic approximation and the $\gamma$ phase

(12) is an exact equation without any approximation. Now the adiabatic condition says if the rate of change of the Hamiltonian  $\dot{H}(t)$  is slow in the sense that the second term in (12) is much smaller than the first term, we can solve for the approximate equation

$$\dot{c}_m(t) = -c_m(t) \left\langle m(t) \left| \frac{\partial}{\partial t} \right| m(t) \right\rangle \quad (13)$$

which gives the solution

$$c_m(t) = e^{i\gamma_m(t)} \quad \text{where} \quad \gamma_m(t) = i \int_{t_0}^t \left\langle m(t') \left| \frac{\partial}{\partial t'} \right| m(t') \right\rangle dt' \quad (14)$$

A few points are worth noting

- $\gamma_m(t)$  is real, since

$$\langle m(t)|m(t)\rangle = 1 \implies \frac{\partial}{\partial t} \langle m(t)|m(t)\rangle = 0$$

which, by the chain rule, indicates that the integrand in (14) plus its own complex conjugate is zero, which means it's purely imaginary, hence  $\gamma_m(t)$  real.

- Under adiabatic approximation, if the initial state is  $|n(t_0)\rangle$ , then (13) ensures that the solution of the time-dependent SE will remain on the  $|n(t)\rangle$  trajectory, i.e.,

$$|\psi(t)\rangle = c_n(t) e^{i\beta_n(t)} |n(t)\rangle = e^{i\gamma_n(t)} e^{i\beta_n(t)} |n(t)\rangle \quad (15)$$

In other words, the system's state will follow the instantaneous energy eigenstate all the time.

- When there is actually no time dependency,  $\gamma_n(t)$  vanishes by (14), and  $\beta_n(t)$  becomes  $e^{-iE_n(t-t_0)/\hbar}$ , and (15) goes back to the time evolution of the stationary state.

### 4. Geometric phase, a.k.a., Berry's phase

We consider the case where  $H$  is parameterized by a vector  $\mathbf{R}$  of parameters. With each instance of  $\mathbf{R}$ , we assume the energy eigenstates are known, i.e.,

$$H(\mathbf{R})|n(\mathbf{R})\rangle = E_n(\mathbf{R})|n(\mathbf{R})\rangle \quad (16)$$

Also assume that the time-dependent  $H(t)$  is realized by making  $\mathbf{R}$  trace out a trajectory  $\mathbf{R}(t)$  in the configuration space. More verbosely, the  $i$ -th component  $R_i$  of  $\mathbf{R}$  changes according to a function  $R_i(t)$ , and so on.

Now the  $\gamma_m(t)$  phase in (14) becomes

$$\begin{aligned} \gamma_m(t) &= i \int_{t_0}^t \left\langle m(\mathbf{R}(t')) \left| \frac{d}{dt'} \right| m(\mathbf{R}(t')) \right\rangle dt' \\ &= i \int_{t_0}^t \left\langle m(\mathbf{R}(t')) \left| \nabla_{\mathbf{R}} \right| m(\mathbf{R}(t')) \right\rangle \cdot \frac{d\mathbf{R}}{dt'} dt' \end{aligned} \quad (17)$$

where the dot product in the integral is just a compact way of expressing the chain rule

$$\left\langle m(\mathbf{R}(t')) \left| \frac{d}{dt'} \right| m(\mathbf{R}(t')) \right\rangle = \sum_i \left\langle m(\mathbf{R}(t')) \left| \frac{\partial}{\partial R_i} \right| m(\mathbf{R}(t')) \right\rangle \frac{dR_i(t)}{dt}$$

If we define the Berry's connection (or, Berry's potential)

$$\mathbf{A}_m(\mathbf{R}) \equiv i \langle m(\mathbf{R}) | \nabla_{\mathbf{R}} | m(\mathbf{R}) \rangle \quad (18)$$

which is a real vector of  $\mathbf{R}$ 's dimension, then (17) can be written as

$$\gamma_m(\Gamma) = \int_{\Gamma} \mathbf{A}_m(\mathbf{R}') \cdot d\mathbf{R}' \quad (19)$$

which is a path integral along the trajectory  $\Gamma : \mathbf{R}(t_0) \rightarrow \mathbf{R}(t)$ . In particular, when going from (17) to (19), the differential time  $dt'$  drops out, and (19) is a quantity only dependent on the geometry of path  $\Gamma$  in the configuration space.

Recall that the energy eigenstate  $|m(\mathbf{R})\rangle$  can have a freedom to multiply any phase factor  $e^{i\delta(\mathbf{R})}$ . Then under this transform

$$|m(\mathbf{R})\rangle \rightarrow e^{i\delta(\mathbf{R})} |m(\mathbf{R})\rangle \quad (20)$$

the Berry's connection  $\mathbf{A}_m(\mathbf{R})$  will undergo a transform

$$\begin{aligned} \mathbf{A}_m(\mathbf{R}) &= i \langle m(\mathbf{R}) | \nabla_{\mathbf{R}} | m(\mathbf{R}) \rangle \\ &\rightarrow \\ i [\langle m(\mathbf{R}) | e^{-i\delta(\mathbf{R})} ] \nabla_{\mathbf{R}} [ e^{i\delta(\mathbf{R})} | m(\mathbf{R}) \rangle ] &= \mathbf{A}_m(\mathbf{R}) - \nabla_{\mathbf{R}} \delta(\mathbf{R}) \end{aligned} \quad (21)$$

which will in general produce a different  $\gamma_m(\mathbf{R})$  for a given path  $\Gamma$  according to (19), except for the case where  $\Gamma$  represents a loop in the configuration space (which means a periodic change of configurations).

(20) reminds us of the gauge transformation of vector magnetic potential.

In summary, for a closed loop  $C$  in the configuration space,

$$\gamma_m(C) = \oint_C \mathbf{A}_m(\mathbf{R}) \cdot d\mathbf{R} \quad (22)$$

is completely independent of the pace to travel the loop, neither does it depend on the arbitrary phase of the state  $|m(\mathbf{R})\rangle$ .

## 5. Three dimensional configuration space

If  $\mathbf{R}$  is 3-dimensional, by Stoke's theorem, (22) is equal to

$$\gamma_m(C) = \oint_C \mathbf{A}_m(\mathbf{R}) \cdot d\mathbf{R} = \int_S \overbrace{[\nabla_{\mathbf{R}} \times \mathbf{A}_m(\mathbf{R})]}^{\equiv \mathbf{B}_m(\mathbf{R})} \cdot d\mathbf{a} \quad (23)$$

then we can calculate  $\mathbf{B}_m(\mathbf{R})$  by (18)

$$\mathbf{B}_m(\mathbf{R}) = i \nabla_{\mathbf{R}} \times \langle m(\mathbf{R}) | \nabla_{\mathbf{R}} | m(\mathbf{R}) \rangle \quad (24)$$

Note we can apply the formula

$$\nabla \times (\phi \mathbf{a}) = \nabla \phi \times \mathbf{a} + \phi \nabla \times \mathbf{a}$$

to (24) and notice the fact that curl of a gradient will vanish, then we obtain

$$\begin{aligned} \mathbf{B}_m(\mathbf{R}) &= i [\nabla_{\mathbf{R}} \langle m(\mathbf{R}) |] \times [\nabla_{\mathbf{R}} | m(\mathbf{R}) \rangle] \\ &= i \sum_{n \neq m} [\nabla_{\mathbf{R}} \langle m(\mathbf{R}) |] | n(\mathbf{R}) \rangle \times \langle n(\mathbf{R}) | [\nabla_{\mathbf{R}} | m(\mathbf{R}) \rangle] \end{aligned} \quad (25)$$

where the  $n = m$  case drops out because  $(\nabla \langle m |) | m \rangle = -| m \rangle (\nabla | m \rangle)$ , hence their cross product vanishes.

Now from (2), we have

$$\begin{aligned} \nabla_{\mathbf{R}} [H(\mathbf{R}) | m(\mathbf{R}) \rangle] &= \nabla_{\mathbf{R}} [E_m(\mathbf{R}) | m(\mathbf{R}) \rangle] \implies \\ [\nabla_{\mathbf{R}} H] | m(\mathbf{R}) \rangle + H [\nabla_{\mathbf{R}} | m(\mathbf{R}) \rangle] &= [\nabla_{\mathbf{R}} E_m] | m(\mathbf{R}) \rangle + E_m [\nabla_{\mathbf{R}} | m(\mathbf{R}) \rangle] \implies \\ \langle n(\mathbf{R}) | [\nabla_{\mathbf{R}} H] | m(\mathbf{R}) \rangle &= (E_m - E_n) \langle n(\mathbf{R}) | [\nabla_{\mathbf{R}} | m(\mathbf{R}) \rangle] \end{aligned} \quad (26)$$

Finally with this, (25) becomes

$$\mathbf{B}_m(\mathbf{R}) = i \sum_{n \neq m} \frac{\langle m(\mathbf{R}) | [\nabla_{\mathbf{R}} H] | n(\mathbf{R}) \rangle \times \langle n(\mathbf{R}) | [\nabla_{\mathbf{R}} H] | m(\mathbf{R}) \rangle}{(E_m - E_n)^2} \quad (27)$$