

# 1 Optimal transport for mapping senescent cells in spatial 2 transcriptomics

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## 14 Abstract

15 Spatial transcriptomics (ST) provides a unique opportunity to study cellular organization  
16 and cell-cell interactions at the molecular level. However, due to the low resolution of the  
17 sequencing data additional information is required to utilize this technology, especially for cases  
18 where only a few cells are present for important cell types. To enable the use of ST to study  
19 senescence we developed scDOT, which combines ST and single cell RNA-Sequencing (scRNA-  
20 Seq) to improve the ability to reconstruct single cell resolved spatial maps. scDOT integrates  
21 optimal transport and expression deconvolution to learn non-linear couplings between cells and  
22 spots and to infer cell placements. Application of scDOT to existing and new lung ST data  
23 improves on prior methods and allows the identification of the spatial organization of senescent

24 cells, the identification of their neighboring cells and the identification of novel genes involved in  
25 cell-cell interactions that may be driving senescence.

26 

## 1 Introduction

27 Recent advancements in genomics technologies have facilitated the profiling of gene expression at  
28 the single-cell level, unveiling valuable insights regarding the molecular heterogeneity of complex  
29 biological systems. While single-cell RNA sequencing (scRNA-seq) has significantly enhanced  
30 our comprehension of cell-type diversity, it lacks spatial information due to the dissociation of  
31 cells. Spatial transcriptomics (ST) techniques enable the preservation of spatial information  
32 within tissue samples but typically offer lower resolution or coverage compared to scRNA-seq  
33 data. Hence, the integration of scRNA-seq and ST data becomes imperative for acquiring a  
34 spatially informed single-cell resolution dataset [28]. This integration approach not only ensures  
35 a more comprehensive understanding of the molecular heterogeneity within complex biological  
36 systems but also retains the spatial context of gene expression.

37 Existing methods for integrating single-cell and spatial transcriptomics data primarily focus  
38 on cell-type deconvolution. These methods decompose gene expression in a spatial spot into  
39 linear combinations of fractions attributed to different cell types, utilizing the single-cell data  
40 solely as a reference [24, 12, 30, 21, 5, 29, 2, 10]. While successful, these methods often struggle  
41 when it comes to cell types with only a few cells [6, 32, 51]. Moreover, in cases where these  
42 smaller cell types are very similar to cell types with larger number of cells, the assignment of  
43 deconvolution methods often completely ignore these smaller cell types as shown in Results.

44 Cellular senescence, a state of permanent growth arrest, is implicated in various age-related  
45 diseases. Understanding cellular senescence requires analyzing cell-cell communications at the  
46 individual cell level, as the process exhibits heterogeneity, where only a few cells within a given  
47 cell type enter a senescent state simultaneously. Additionally, paracrine senescence, in which a  
48 senescent cell can induce senescence in neighboring cells, is of significant importance. Effective  
49 communication between senescent cells and neighboring cells is crucial for the progression and  
50 maintenance of the senescent phenotype [38, 13]. Senescent cells actively engage in intercellular  
51 communication, primarily through the secretion of senescence-associated secretory phenotype  
52 (SASP) factors, influencing neighboring and distant cells [13, 15]. However, the mechanisms  
53 underlying these communications remain poorly understood. To address this gap, and to enable  
54 the study of cell-cell interactions for these small number of senescent cells within a cell  
55 type using spatial transcriptomics, we propose an innovative computational framework that in-

56 tegrates single-cell and spatial transcriptomics data. This approach allows us to infer cell-cell  
57 communications based on the proximity of cells, whether short- or long-range, shedding light  
58 on the intricacies of senescence-associated intercellular signaling. This method offers a superior  
59 alternative to organoids, where only cell types interact in an artificial environment.

60 Mapping individual cells to their spatial origins requires fine-grained mapping, which is prone  
61 to imprecise results due to the similarity within cell types and the non-linear relationship between  
62 gene expression levels in scRNA-seq and spatial transcriptomics [46]. Methods proposed for this  
63 task compute a similarity score in a shared latent space. This similarity score is then coupled  
64 with a statistical test to determine the significance of the assignment [46, 19]. Other techniques,  
65 e.g., canonical correlation analysis or non-negative matrix factorization, for constructing shared  
66 latent space have also been used [4, 43, 49]. In contrast, here, we utilize optimal transport  
67 [40, 45], a mathematical framework that allows for the comparison and matching of probability  
68 distributions. Specifically, we use optimal transport to learn the non-linear coupling between  
69 cells and spots by aligning the distributions of gene expression profiles across these two datasets.  
70 Our approach employs a probabilistic mapping, where the precision of the mapping is modulated  
71 by incorporating the coarse-grained mapping of cell types obtained from the deconvolution task.  
72 We solve these two complementary optimization tasks using a bilevel optimization approach [7],  
73 based on the differentiable deep declarative network [16] (Figure 1).

74 Our approach incorporates two types of data, namely scRNA-seq and spatial transcriptomics,  
75 as inputs. It employs iterative computations to perform cell type deconvolution and cell-to-spot  
76 spatial mapping. As a result, it produces a coupling matrix between cells and spots that serves as  
77 an initial integration outcome. This coupling matrix is subsequently used to infer the cell-to-cell  
78 spatial neighborhood graph by aligning cells with spots possessing known spatial coordinates  
79 (see Figure 1). Essentially, the spot coordinates play a crucial role in determining the physical  
80 closeness between cells.

81 We tested scDOT on both, simulated and new spatial data. As we show, it can accurately  
82 assign cells to their spot of origin outperforming prior methods for this task. For the new samples  
83 for idiopathic pulmonary fibrosis (IPF), scDOT identifies the spatial distribution and cell-cell  
84 interactions between senescence and non-senescence cells and the set of genes involved in these  
85 interactions.

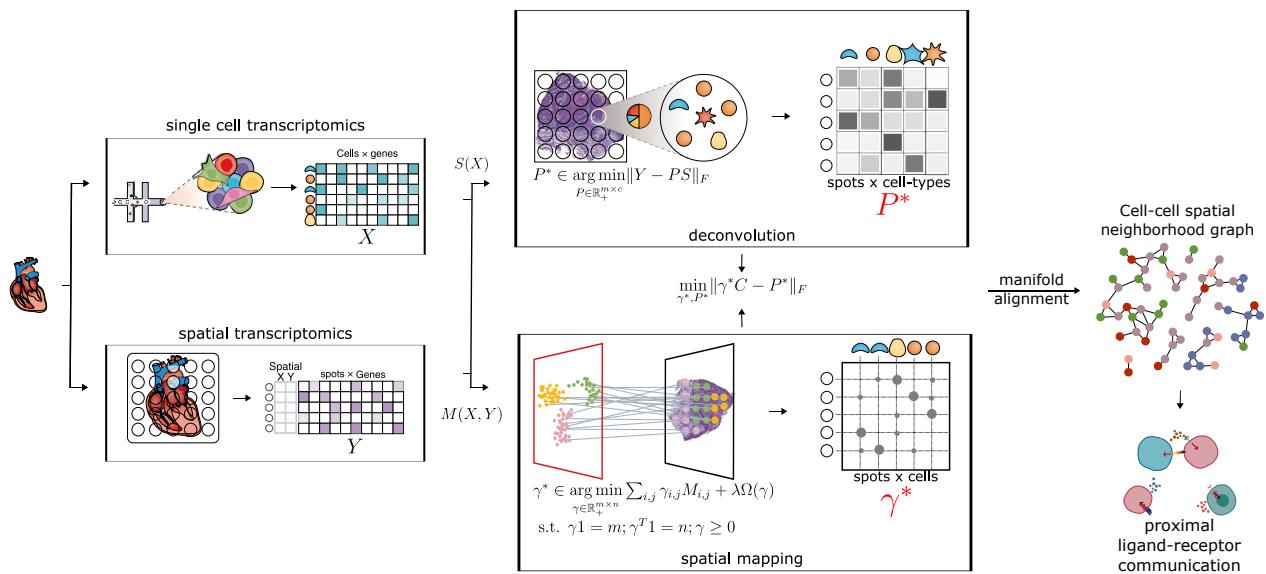


Figure 1: Method workflow: scDOT takes gene expression profiles from a scRNA-seq dataset and a spatial transcriptomics dataset as inputs. Additionally, cell type information for cells in the scRNA-seq data and spatial coordinates for spots in the spatial transcriptomics data are provided. scDOT simultaneously and in parallel learns the cell type fraction of each spot (deconvolution task) and the mapping between individual cells in the scRNA-seq data and individual spots in the spatial transcriptomics data (spatial reconstruction task). The resulting mapping matrix between cells and spots is then utilized to construct the cell-cell spatial neighborhood graph, where cells are connected if they are in close physical proximity.

## 86 2 Results

87 We developed an optimal transport (OT) method for mapping scRNA-Seq data to spatial trans-  
 88 criptomics data. The method, illustrated in Figure 1 performs iterative computations for cell  
 89 type deconvolution and cell-to-spot spatial mapping, resulting in the generation of the coupling  
 90 matrix  $\gamma$  as an upstream integration outcome. This coupling matrix is then utilized to infer the  
 91 cell-to-cell spatial neighborhood graph by aligning cells to spots with known spatial coordinates.

### 92 2.1 scDOT efficiently reconstructs individual cells to their spatial ori- 93 gins

94 We first tested scDOT on two simulation datasets where ground truth is known (Methods).  
 95 The outcome of reconstructing single-cell data, i.e., the coupling matrix  $\gamma$ , when using simula-  
 96 tion dataset 1 reveals that it successfully recovers the spatial origins of a high fraction of cells  
 97 (56% to 76%, depending on a predefined threshold to determine high probability).  $\gamma$  represents  
 98 probabilistic couplings and so a specific cell can be mapped to several location with different  
 99 probabilities (which sum up to 1). We found that in most cases the distribution  $\gamma_{:,j}$  exhibits is

100 exteremly heavy-tailed and places a disproportionately high amount of probability densities at  
101 0. We therefore defined a high probability of associating with a location based on distribution  
102 properties (99th-, 95th-, 90th-quantile, or the 75th quantile (the third quantile) plus 1.5 times  
103 the interquartile range (IQR) (Turkey's fences)). Obviously, stricter the threshold, the fewer cells  
104 that are correctly matched. However, even for a very high cutoff we find very large percentage  
105 of correct matches (70% of cells at a threshold above the 90th quantile and 56% of cells at a  
106 threshold above the 99th quantile when using synthetic data 1). However, the slower decay of  
107 reconstruction results due to a more strict threshold is desirable and can be achieved through a  
108 heavier tail in the distribution  $\gamma_{:,j}$ .

109 In addition, previous studies show that cell type deconvolution methods tend to miss rare cell  
110 type [6]. In contrast when using OT we are able to map rare cell types to their spatial origins  
111 (Fig 2b). In our simulation data, four types of cells can be classified as rare: 2-Mesothelium  
112 and Submucosal Secretory have only 1 cell each, Myofibroblasts has 2, and Fibromyocytes has  
113 7. The boxplots indicate that our approach successfully assigned all these rare cell types to their  
114 correct spatial positions.

## 115 2.2 Comparison to other methods on spatial mapping and cell type 116 deconvolution

117 **Spatial mapping** We evaluate the performance of scDOT in spatial mapping and compare  
118 it with other existing methods. Figure 2a presents the results for Synthetic data 1, where the  
119 threshold is set above  $Q3 + 1.5 \times IQR$ . scDOT achieves the highest outcome at this threshold,  
120 while the outcome of Novosparsc is drastically decreased compared to the outcome at thresholds  
121 above the 90th and 95th quantiles. This observation suggests that our probabilistic mapping  
122 exhibits a heavier-tailed characteristic, which is a more desirable property for accurate spatial  
123 mapping.

124 Furthermore, we find that the reconstruction results are influenced by the dataset used. For  
125 Synthetic data 2, scDOT achieves a high outcome when the threshold is set above  $Q3 + 1.5 \times IQR$ ,  
126 with 76% of cells successfully reconstructed. However, stricter thresholds lead to a more rapid  
127 decay in the outcomes, with only 50% of cells being reconstructed at the threshold above the  
128 95th quantile. Nevertheless, across all cases, scDOT consistently outperforms both Novosparsc  
129 and the naive baseline of Random Sinkhorn.

130 In terms of accurately mapping rare cell types to their spatial positions, scDOT successfully  
131 assigns all four rare cell types with a fraction of 1.0. However, Novosparsc failed to accurately

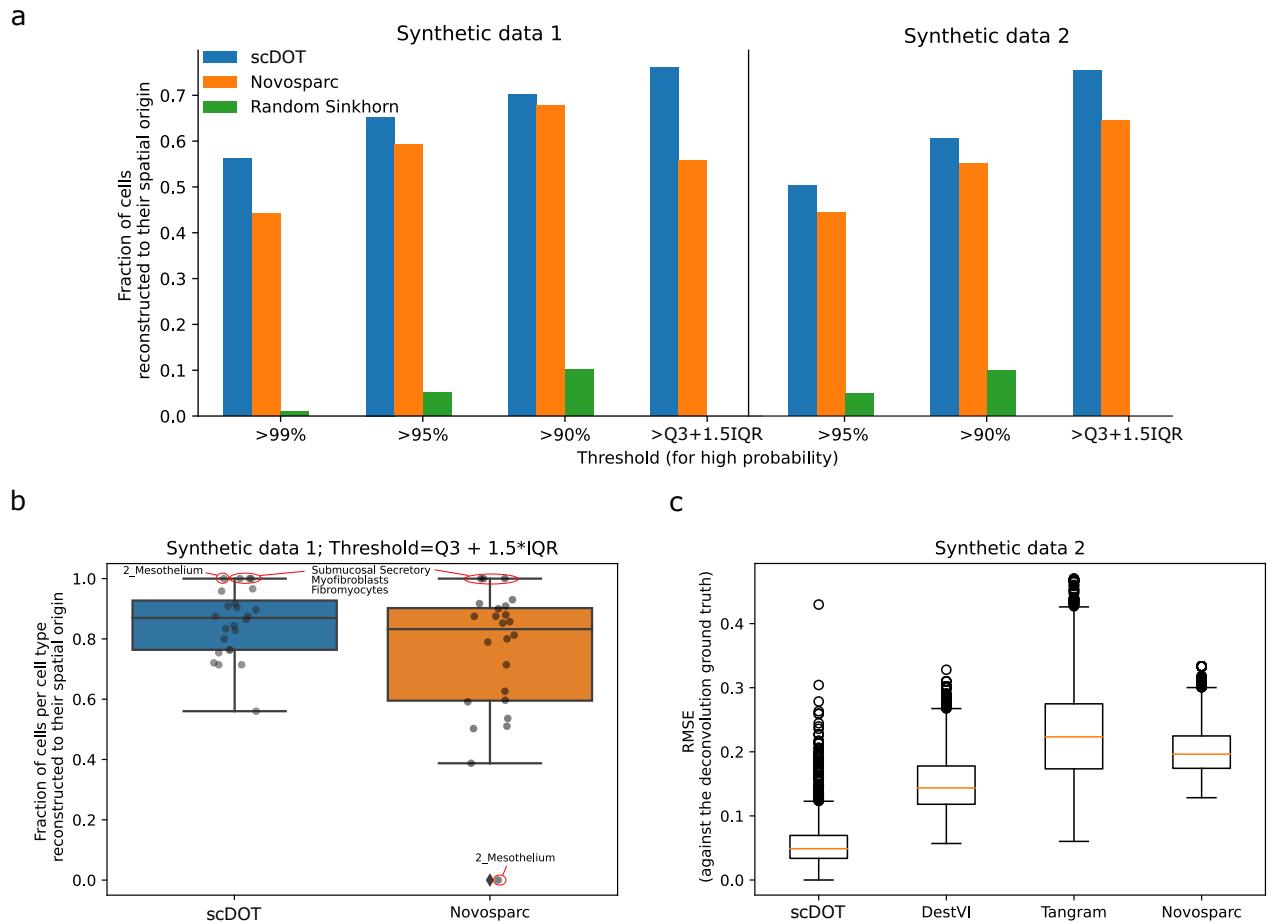


Figure 2: Performance on synthetic datasets. (a) OT results of simulation datasets 1 and 2 demonstrate that by using different thresholds to define a high probability, we can assign nearly 80% of cells to their spatial origin. scDOT was benchmarked against two other methods: Novosparsc, a spatial reconstruction method based on Gromov-Wasserstein distance, and Random Sinkhorn, a naive method that learns the optimal transport coupling with a random cost matrix. The results demonstrate the superior performance of scDOT in all cases. (b) Detailed results of simulation data 1 (with a threshold higher than the 3rd quantile plus 1.5 times the IQR) highlight the effectiveness of scDOT and spatial mapping methods in general for rare cell types. The boxplots illustrate the fraction of correctly reconstructed cells per cell type. Each point represents a single cell type ( $c = 24$ ). Among the considered rare cell types (2-Mesothelium and Submucosal Secretory with 1 cell, Myofibroblasts with 2 cells, and Fibromyocytes with 7 cells), scDOT successfully mapped these rare cell types to their exact spatial locations (fraction = 1.0), while Novosparsc failed to map 2-Mesothelium to its spatial location (fraction = 0.0). (c) The root-mean-square-error (RMSE) of the deconvolved cell-type proportions compared to the ground truth is evaluated for synthetic data 2, consisting of 9 cell types across 3072 spots. scDOT, along with other methods including DestVI, Tangram, and Novosparsc, is compared in terms of RMSE. The boxplots demonstrate that scDOT outperforms the other methods, as indicated by the lower RMSE values. The boxplots display the median (middle line), 25th and 75th percentiles (box), and 5th and 95th percentiles (whiskers).

132 map 2-Mesothelium to its spatial location, as indicated by a fraction of 0.0. Also, as indicated  
133 in Figure 2a, scDOT mapped 76% cells correctly while Novosparc mapped 56% cells correctly;  
134 these 20% differences is not shown in Figure 2b since the difference in the number of cells per  
135 cell type is not considered.

136 **Deconvolution** To benchmark the results of cell type deconvolution, we applied scDOT to  
137 synthetic data 2 and compared it with three other methods: DestVI [29], Tangram [3], and  
138 Novosparc [37]. The synthetic dataset comprised nine cell types distributed across 3072 spots.  
139 We specifically chose these three deconvolution methods as they represent distinct computational  
140 techniques tailored for spatial transcriptomics data. DestVI is a probabilistic-based method,  
141 Tangram utilizes deep learning, and Novosparc is an OT-based method. All three methods  
142 require spatial transcriptomics data as input and scRNA-seq data as a reference. Comparing  
143 the root-mean-square-error (RMSE) of the deconvolved cell type proportions with the ground  
144 truth, scDOT outperformed the other three methods (see Figure 2c). The mean RMSE scores  
145 for scDOT, DestVI, Tangram, and Novosparc were 0.06, 0.15, 0.23, and 0.20, respectively. It's  
146 worth noting that Novosparc is not designed for direct computation of cell type deconvolution  
147 but rather for mapping cells to spots. As a result, the deconvolution results are calculated by  
148 multiplying the coupling matrix  $\gamma$  with the cell-by-cell type relation matrix  $C$ , i.e.,  $P = \gamma \times C$ .

### 149 2.3 Identfying the spatial patterns of the distribution of specific cell 150 types

151 We used paired IPF scRNA-Seq and spatial dataset to test the ability of our mapping method to  
152 infer cell-cell interactions (Figure 3). Among the 29 cell types (Methods), Multiciliated, Secretory  
153 and Basal cells exhibited prominent and distinct spatial patterns. Notably, Multiciliated,  
154 Secretory, and Basal cells were found to be in close proximity to each other, both in the upper  
155 lobe and lower lobe of the tissue. This observation aligns with the traditional view of the airway  
156 epithelial mucosal layer, which incorporates basal cells in close proximity to secretory and ciliated  
157 cells, forming a tight unit. This unit serves as a physical barrier while remaining responsive to  
158 the inhaled environment through interactions with submucosal fibroblasts, smooth muscle cells  
159 and cells and molecules from the immune system [18].

160 Secretory and multiciliated cells are known to be located in close proximity to each other  
161 within the respiratory tract, including the lungs. Together, they form a self-clearing mechanism  
162 that efficiently removes inhaled particles from the upper airways, preventing their transfer to  
163 deeper lung zones [9]. The coordinated action of multiciliated cells, with their motile cilia, and

164 secretory cells, responsible for mucus production and secretion, enables the effective clearance  
165 of inhaled particles and maintains the integrity of the respiratory system [27].

166 Basal cells, positioned closer to the basement membrane, further contribute to the organ-  
167 ization and functioning of the airway epithelium. They provide structural support and are  
168 responsible for the regeneration and repair of the airway epithelial layer [18].

169 The spatial organization of Multiciliated, Secretory, and Basal cells in close proximity to  
170 each other emphasizes their interdependence and coordinated functioning in maintaining the  
171 respiratory barrier and facilitating efficient clearance mechanisms. This finding underscores the  
172 significance of the spatial arrangement and interactions of diverse cell types within the airway  
173 epithelium for the overall homeostasis and defense of the respiratory system.

174 Conversely, immune cell types such as Macrophages and T cells lineage, which were charac-  
175 terized by a larger number of cells, displayed a more scattered distribution throughout the tissue.  
176 Yet, the spatial distribution of these two cell types are complementary to some degree (Figure  
177 3 and 4, Supplementary figures), reflecting the fact that they are both important components  
178 of the immune system and play complementary roles in defending against infections and main-  
179 taining immune homeostasis. On the other hand, cell types with a smaller cell count, such as  
180 smooth muscle (consisting of only 2 cells in total), exhibited a spatial arrangement in adjacent  
181 spots (Supplementary figures).

182 These patterns were also observed in the unpaired data, particularly with regards to the  
183 multiciliated lineage and secretory cell types (Figure 3), demonstrating the generality of our  
184 approach on unpaired datasets.

## 185 2.4 Cell-cell proximity analysis

186 To quantitatively illustrate the spatial distribution and proximity of multiciliated, secretory, and  
187 basal cells described in section 3.3 of this paper, we employed the neighborhood enrichment score.  
188 This score between two cell types represents the z-score derived from a permutation test that  
189 tallies the neighboring spots consisting of either cell type. Consistent with the spatial patterns  
190 depicted in section 3.3 and Figure 3, we observed the highest enrichment score between the  
191 multiciliated lineage and itself across various datasets (69.46 in the upper lobe of familial IPF  
192 paired data, 29.31 in the lower lobe of the same data, and 47.98 in the IPF unpaired data). The  
193 score between Multiciliated and Secretory cell types is also one of the highest (19.40 in the upper  
194 lobe of the paired dataset, 12.25 in the lower lobe, and 5.06 in the unpaired dataset). In contrast,  
195 the scores between Macrophages and T cells are among the lowest across datasets, with scores

196 of -25, -5.75, and -15.83 in the upper lobe, lower lobe, and unpaired dataset, respectively. These  
197 scores reflect the fact that they are complementary, as indicated in section 3.3 (see Supplementary  
198 Figure 1). It is important to note that the neighborhood enrichment scores were estimated at  
199 the spot-level and only considered the dominant cell type of each spot, which is defined as the  
200 cell type with the highest proportion within that particular spot.

201 At the cell level, we constructed a cell-cell spatial proximity graph based on OT placement  
202 (see Methods). The graph was then summarized by cell types, quantifying the physical prox-  
203 imity between each cell type by counting the direct neighboring cells within the same type (see  
204 Supplementary Figure 1d and e, Supplementary Table 1). Once again, the multiciliated lineage  
205 exhibited the highest normalized counts with itself across datasets, consistent with the results  
206 obtained from the enrichment score and described in Section 3.3. In the paired dataset, basal  
207 and secretory cells also demonstrated a strong association with the airway epithelium, providing  
208 additional evidence for the spatial organization of the respiratory system as discussed in Section  
209 3.3. In contrast, immune cells such as T cells and macrophages displayed connections to various  
210 cell types, reflecting their dispersed distribution throughout the tissue. Notably, in the IPF  
211 lung sample, fibroblast cells exhibited a distinct spatial pattern and were found to be in close  
212 proximity to 2-smooth muscle cells and myofibroblast cells, supporting previous research sug-  
213 gesting that  $\alpha$  smooth muscle actin-expressing fibroblasts, referred to as myofibroblasts, serve as  
214 markers of progressive lung injury and play a central role in detrimental remodeling and disease  
215 progression [41, 20] (Supplementary Figure 1, Supplementary Table 1, Section 3.6).

## 216 2.5 Identification of senescent markers

217 For cellular senescence analysis, we profiled two new spatial datasets. The first included paired  
218 scRNA-Seq data from a familial IPF lung sample, and the other consists of unpaired data from  
219 an IPF lung sample (Methods).

220 **Paired data of familial IPF lung sample** We first identified in the scRNA-seq data,  
221 cell types with a large fraction of cells exhibiting senescent. For this, we used a list of 68 senescent  
222 marker genes (*Methods*). Within each cell type, we separated the cells into senescent and non-  
223 senescent cells (Figure 4a, b). For this familial IPF lung sample, the ratio of senescent cells to  
224 non-senescent cells is low. For most cell types we observed very few senescent cells. For others we  
225 found more. For example, for Mast cells, T cell lineage, and Airway epithelium we identified 14%,  
226 13%, and 17%, respectively. We thus focused on these three cell types. For these we had 24, 193,  
227 and 3 senescent cells for Mast cells, T cell lineage, and Airway epithelium, respectively. Next,

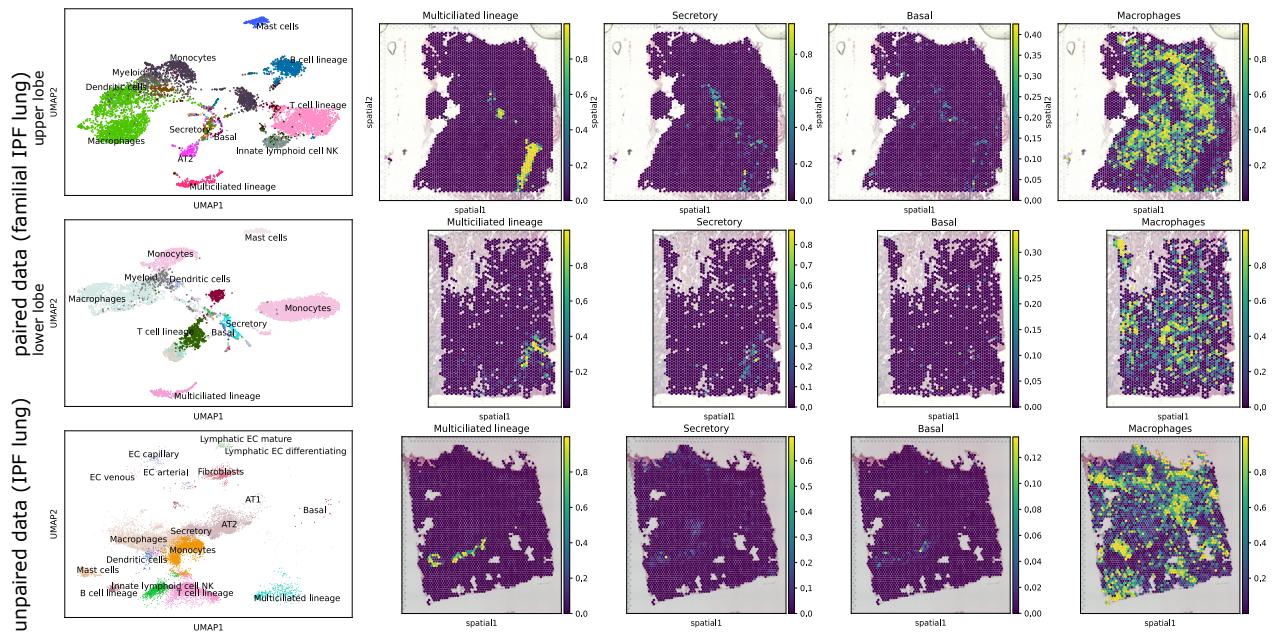


Figure 3: Spatial distribution patterns of multiciliated, secretory, basal, and macrophage cells across different datasets. **Top:** A UMAP representation of scRNA-seq data, along with the spatial patterns of the selected cell types in the upper lobe slice of the paired familial IPF lung. **Middle** A UMAP representation of scRNA-seq data and the corresponding cell types in the lower lobe slice of the same sample. **Bottom** A UMAP representation and spatial distribution of selected cell types in the unpaired IPF lung sample. Notably, multiciliated, secretory, and basal cell types exhibit distinct and prominent spatial patterns. Importantly, these cell types consistently exhibit close proximity to each other across all three datasets, consistent with previous studies on the organization of the respiratory system [18, 9, 27].

we manually annotated the regions where senescent cells from different cell types are collocated (Figure 4b, c). For these regions we computed differentially expressed genes (DEG) w.r.t. the rest of the tissue. As expected, given the way we selected these regions we found among the top ranked DEG IGFBP4 and IGFBP7 (t-test p-values are 1.1e-11 and 7.2e-07 respectively), which are both senescent marker genes (Figure 4d). We next performed gene set enrichment analysis (GSEA) with this ranked gene list and a gene set of 340 senescent markers (which is a superset of the 68 senescent marker genes set we used for re-annotation, Supplementary Data 1), we confirmed that cellular senescence is enriched—with p-value = 0.006002; FDR = 0.006002, and the normalized enrichment score is 1.726—in the annotated region (Figure 4d). The leading-edge subset of genes in this analysis comprised IGFBP4, IGFBP7, FGF7, THBS1, IGF1, IGFBP6, IL6, SERPINE2, PIM1, ALDH1A3, SERPINE1, COL1A2, ANGPTL4, CYP1B1, and PLAU. While IGFBP4 and IGFBP7 belong to the initial set of 68 senescent marker genes, the remaining genes are part of the larger set of 340 senescent marker genes. Of particular note, IGFBP4 and IGFBP7 are SASP factors that have been identified as key components needed for triggering senescence in young mesenchymal stem cells (MSC) [42]. The pro-senescent effects of IGFBP4 and IGFBP7 are reversed by single or simultaneous immunodepletion of either proteins from the conditioned medium (CM) from senescent cells [42]. According to a previous study, prolonged IGF1 treatment leads to the establishment of a premature senescence phenotype characterized by a unique senescence network signature [34]. Combined IGF1/TXNIP-induced premature senescence can be associated with a typical secretory inflammatory phenotype that is mediated by STAT3/IL-1A signaling [34].

## 2.6 Inferring Cell-Cell interactions driving senescence

We also looked at the cell type neighborhood of senescent cells. These are summarized in Figure 5a. We observe that senescent cells are often close to non-senescent cells of the same type (e.g., senescent T cells to non-senescent T cells) which can explain why some cell types have a much higher percentage of senescent cells than others.

Utilizing the CellPhoneDB [11], we further identified the ligand-receptor (LR) pairs involved in the cell-cell interactions within the neighborhood of senescent cells (i.e., within the graph  $G'$ ) (Figure 5d). We observed that 11 senescent markers, namely B2M, CALR, CCL5, CD44, HMGB1, IGF1R, MIF, TNF, VIM, MMP9, and TNFRSF1B, were significantly overrepresented in the list of ligands and receptors identified by CellPhoneDB (hypergeometric test p-value = 0.000072). Among the LR pairs involved in senescent-to-senescent cell-cell communication (i.e.,

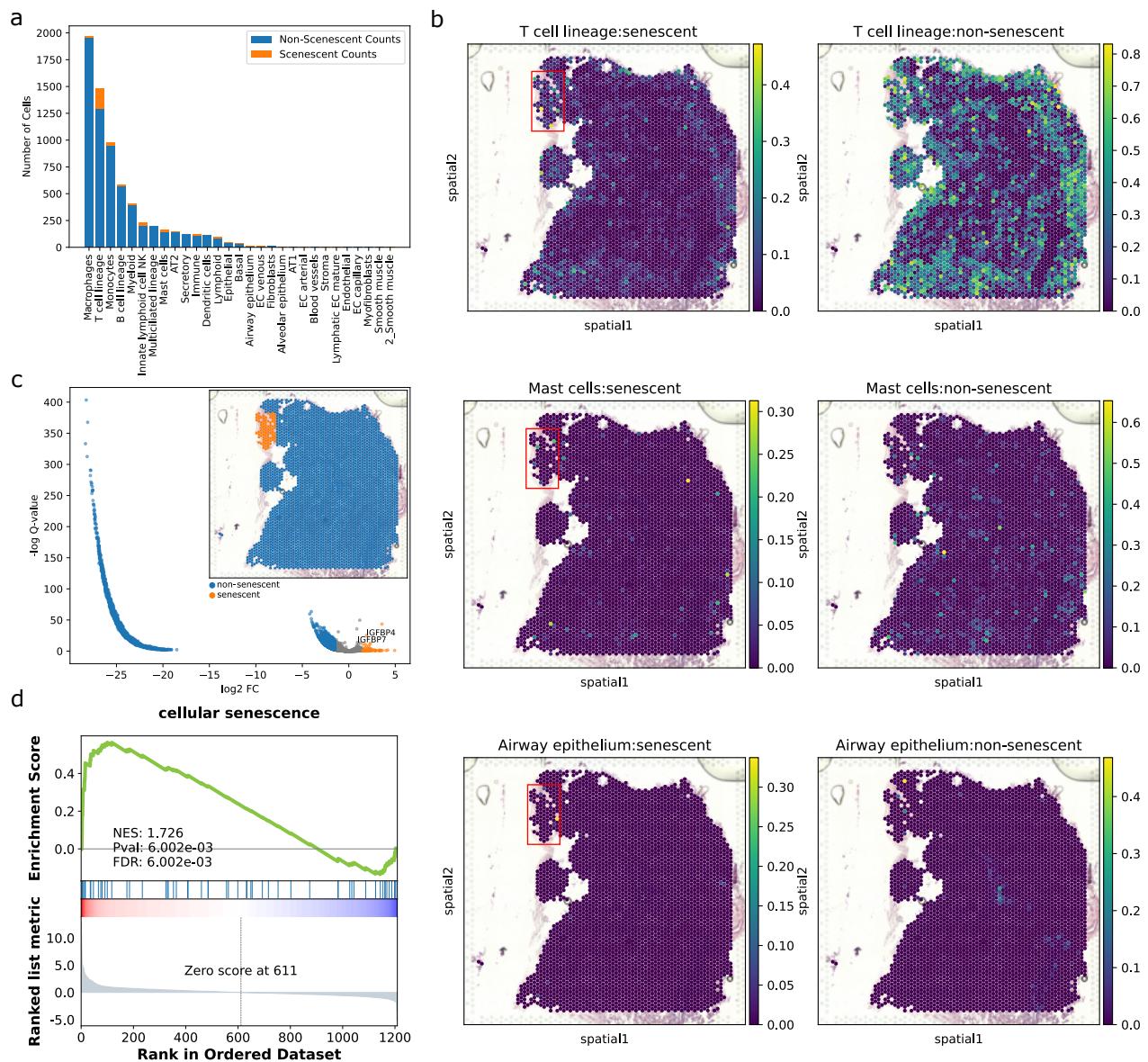


Figure 4: Analysis of cellular senescence reveals the spatial collocation of senescent cells. (a) The number of senescent cells and non-senescent cells for each cell type is depicted. T cell lineage, mast cells, and airway epithelium exhibit the highest fraction of senescent cells. (b) Spatial distribution of senescent and non-senescent cells for the three aforementioned cell types. Notably, the three different senescent cell types are spatially collocated in the upper left corner of the tissue. (c) Differentially expressed genes for the manually annotated senescent region (colored in orange) in the upper left corner of the tissue (as depicted in panel (b) of this figure and the upper right corner of this panel). Among the top-ranked DEGs are IGFBP4 and IGFBP7, which are also senescent marker genes. (d) Gene set enrichment analysis (GSEA) plot. The top-ranked DEGs (as shown in panel (c) of this figure) are enriched in the gene set consisting of 340 senescent marker genes.

260 between senescent T cells), most of the pairs include senescent marker genes. The other remaining  
261 LR pairs involve the HLA gene family (which is essential for T cell activation). For example,  
262 HLA-E acts as an inhibitory signal for NK and CD8 T cells—and depletion of HLA-E renders  
263 senescent cells susceptible to elimination by both NK and CD8 T cells [39]. Another LR pair  
264 involves S100A8, which increases with age, inducing inflammation and cellular senescence-like  
265 phenotypes in oviduct epithelial cells [35, 14].

266 **Unpaired data from IPF lung sample** To demonstrate the general utility of the method  
267 for unpaired data, we performed the same analysis as described for the paired data mentioned  
268 above for another spatial dataset we profiled, this time without matched scRNA-Seq (Methods).  
269 Using a scRNA-seq dataset of an IPF lung sample, we were still able to identify several of the  
270 same senescence cell types as in the paired dataset, including T cells and mast cells. There were  
271 300 assigned senescent cells out of the total 3747 T cells and 11 assigned senescent mast cells  
272 out of the total 249 mast cells. We also observed high fraction of senescence cells for other cell  
273 types including for fibroblasts (290 out of the total 461 fibroblast cells) and 2-smooth muscle (8  
274 out of 21).

275 We again observed that senescence cells co-localized in the same regions (Figure 6a). While  
276 T cells tended to be distributed throughout the tissue, there is a high fraction of senescent cells  
277 co-localized with fibroblasts and mast cells (Figure 6a). Fibroblasts and 2-smooth muscle cells  
278 co-localized in specific regions, with a total of four overlapping regions as depicted in Figure  
279 6a. Since senescent cells tend to co-localize with other cells of the same type, most senescent  
280 fibroblast cells and 2-smooth muscle cells also co-localized (except for the region in the upper  
281 left corner of the tissue, which exhibited only senescent fibroblast cells). These observations of  
282 senescent spatial distribution align with previous studies suggesting that senescent cells have  
283 the potential to influence neighboring cells through processes collectively referred to as the  
284 senescence-associated secretory phenotype [31].

285 Figure 6b and c illustrate the physical proximity among cells of different cell types. Similar  
286 to the paired data of the familial IPF lung sample, senescent cells are closely clustered together  
287 and near cells of the same type. As shown in Figure 6b, the senescent T cells are adjacent to  
288 other T cells, mast cells, and macrophages. The cell-to-cell spatial neighborhood graph, with  
289 nodes representing senescent T cells and their immediate neighbors, is depicted in Figure 6c. The  
290 validity of this neighborhood graph is assessed in Supplementary Analysis. For a more specific  
291 focus on senescent fibroblasts, a cell-to-cell neighborhood graph can be found in Supplementary  
292 Figure 2.

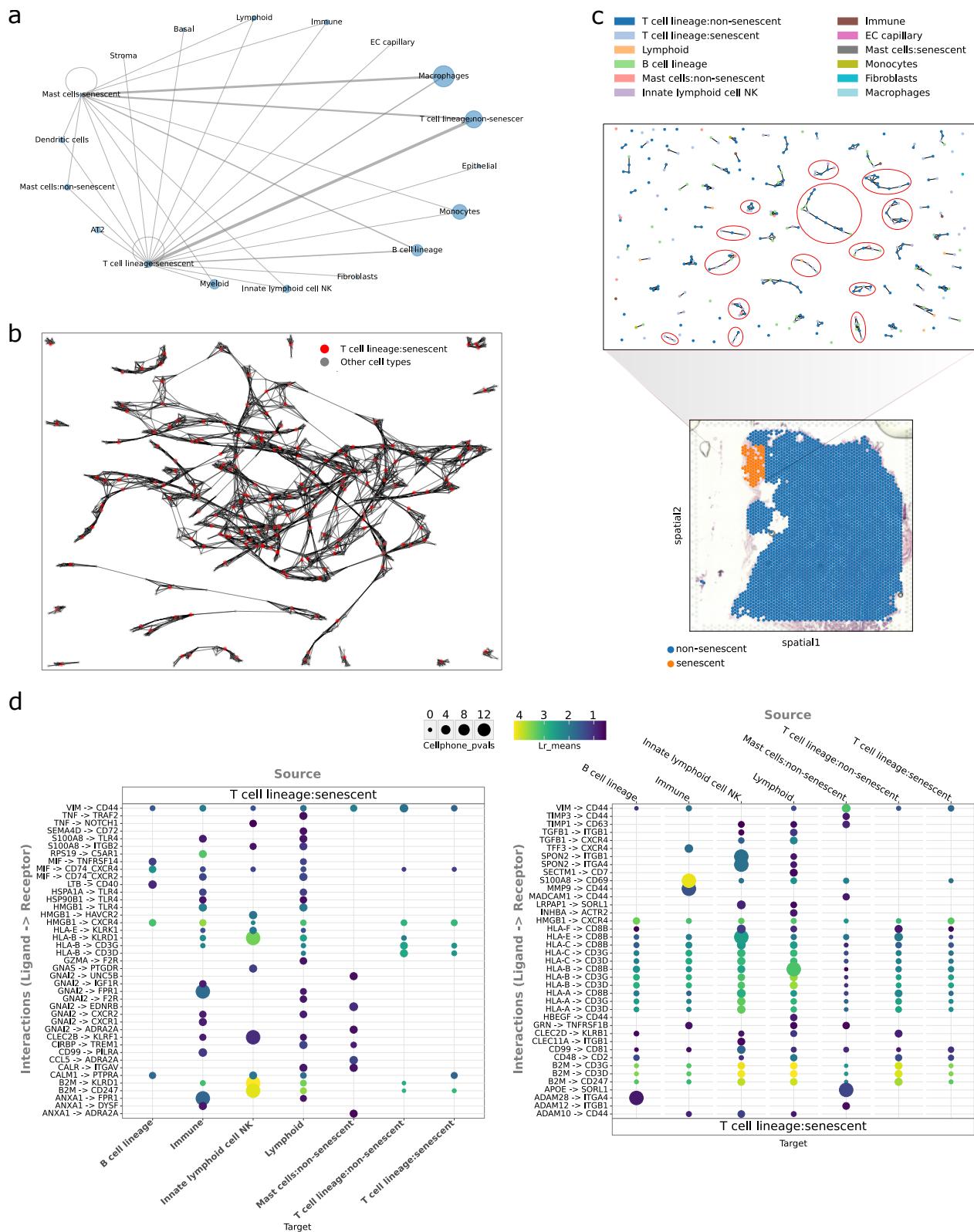


Figure 5: Analysis of senescent cell-cell communication in the upper lobe of the familial IPF lung sample. (a) The graph summarizes the spatial neighborhood of senescent mast cells and T cell lineage. Nodes represent cell types, and edges indicate direct neighboring relations in physical proximity. The size of each node corresponds to the number of cells within a cell type, while the width of the edges represent the number of neighboring cells of a specific cell type (i.e., the total node degree per neighboring cell type). Edges representing a small number of neighbors are omitted. As can be seen, senescent cells are close to both non-senescent cells within the same cell types and senescent cells belonging to different cell types. (b) Cell-cell spatial neighborhood of senescent cells for the T cell lineage. The validity of this neighborhood graph is assessed in Supplementary Analysis. (c) The subgraph of the cell-cell neighborhood depicted in panel (b), specifically showing the cells located in the senescent region (colored orange). (d) The results from CellphoneDB display the co-expressed ligand-receptor pairs between senescent cells of the T cell lineage and all other cells within the subgraph illustrated in panel (c).

### 293 3 Discussion

294 In this study, we introduced a novel method for integrating single-cell and spatial transcriptomics, addressing the simultaneous tasks of cell type deconvolution and spatial reconstruction. 295 The challenge of spatial reconstruction lies in the non-linear relationship between gene expression 296 profiles of single-cells and the spatial transcriptomics data [46], as well as the inherent uncer- 297 tainty in high-resolution mapping. However, by incorporating internal references from cell type 298 deconvolution, we can modulate and enhance the precision of this task. 299

300 Our method, scDOT was shown to efficiently and accurately assign individual cells to their 301 spatial origins using synthetic data. By combining OT and deconvolution scDOT improves 302 on all prior methods we compared to. We also used scDOT to study and analyze new paired 303 and unpaired spatial transcriptomics data from IPF and familial IPF lungs. We observed that 304 senescent cells tend to co-localize in specific regions and are in close proximity to cells of the 305 same type. While the distribution of senescent T cells appears sparse in both datasets, we 306 noted a denser population of senescent fibroblast cells in the IPF lung compared to the familial 307 IPF lung, which can be explained by the paracrine senescence and is consistent with previous 308 studies indicating that senescent fibroblasts contribute to the pathogenesis of IPF through various 309 mechanisms [1, 48, 26].

310 The integration of single-cell and spatial transcriptomics has been a topic of interest in recent 311 years [28], with a number of multiview learning approaches suggested [36]. A crucial aspect of 312 this integration is assessing the similarity of gene expression levels between cells and spatial 313 spots. Unlike prior methods that utilized optimal transport, which rely on fixed cost matrices to 314 represent the dissimilarity between cells and spots, scDOT utilizes a differentiable optimization 315 layer in a deep declarative network to dynamically learn the cost matrix [16]. This use of optimal

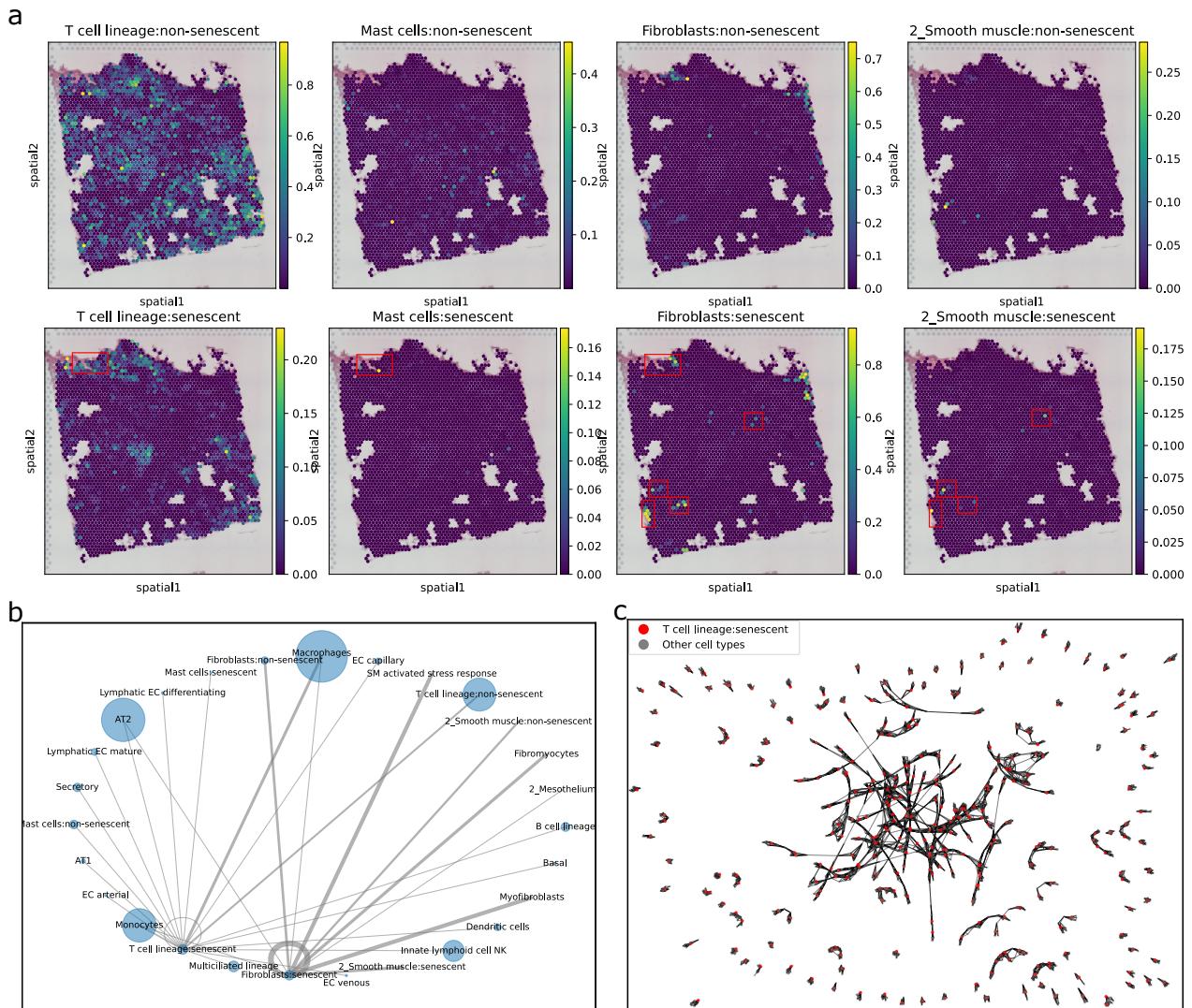


Figure 6: The analysis of senescent cell-cell neighborhood for unpaired IPF dataset. (a) Spatial distribution of senescent and non-senescents cells for T cells, Mast cells, Fibroblasts, and 2-Smooth muscle. Red rectangles indicate regions where senescent cells of multiple types are co-located. (b) The graph summarizes the spatial neighborhood of senescent Fibroblasts and T cells. Nodes represent cell types, and edges represent direct neighboring relations in physical proximity. The size of the nodes corresponds to the number of cells within a cell type, and the width of the edges corresponds to the number of neighboring cells of a specific cell type (i.e., the total node degree per neighboring cell type). Edges representing a small number of neighbors are omitted. The graph demonstrates that senescent cells are neighbors to non-senescents cells within the same cell types, as well as to senescent cells belonging to different cell types. (c) The cell-cell spatial neighborhood graph of senescent cells of the T cell lineage. The validity of this neighborhood graph is assessed in Supplementary Analysis.

316 transport can be formulated as a domain adaptation problem, and the learned cost matrix holds  
317 potential for further applications involving mass transportation between the two modalities of  
318 other types of data.

319 Comparative studies and benchmarks exist for cell type deconvolution in spatial transcriptomics data [23, 24, 50]. Since there is no universal evaluation metric that applies to all scenarios,  
320 comparisons among methods depend on datasets and evaluation metrics used, such as root mean  
321 square error and Lin’s concordance correlation coefficient, which may not consistently correlate  
322 [23, 6]. In our paper, we compared our method with recent approaches representing computational  
323 techniques like deep learning, probabilistic modeling, and optimal transport. While  
324 the performance of these methods may vary, certain high-performance methods, particularly  
325 Tangram [3], have been reported [23, 24, 50]. Additionally, note the normalization of our synthetic  
326 data 2, making methods utilizing count matrices as input, such as Stereoscope [2] and  
327 Cell2Location [21], inapplicable.

328 An important component of our biological analysis focused on IPF and familial IPF lung  
329 tissue was the identification of senescent cells. Evaluating cellular senescence poses challenges  
330 as there are various approaches, such as assessing senescent gene markers or morphological  
331 features of senescent cells. Additionally, different cell types or diseases may require distinct  
332 sets of senescent markers due to the complex nature of the senescence process. In our study, we  
333 employed a combined list of senescent markers and categorized cells within each cell type as either  
334 senescent or non-senescent. However, senescent states can exist on a continuum, ranging from  
335 non-senescence to primary senescence, and different markers may be associated with primary and  
336 secondary senescence. Still, using scDOT we were able to identify cell-cell spatial neighborhood,  
337 which can aid in assessing senescent cells in close physical proximity. It also allowed us to explore  
338 how senescent cells reorganize and impact their environment and nearby cells. Cells neighboring  
339 senescent cells can transition into a secondary senescent state. Hence, the influence of senescence  
340 can be approached as a diffusion problem within a network, where cells reach a senescent state  
341 through contact with senescent neighbors. This network-based diffusion approach, relying on the  
342 spatial mapping of individual cells to their origins, holds promise for fruitful future investigations.

343 scDOT is implemented in PyTorch and is available for download from  
344 <https://github.com/namtk/scDOT>.

## 346 4 Methods

### 347 4.1 Data sets

348 To investigate the effects of the proposed method that combines cell-type deconvolution and  
349 spatial reconstruction, we collected both synthetic and real data. Since there is no immediate  
350 method to assess the performance of cell-type deconvolution and spatial reconstruction tasks on  
351 real data, we generated two simulation datasets to evaluate and benchmark scDOT as well as  
352 other related methods against the ground truth. It is important to note that, for benchmarking  
353 the deconvolution task, methods designed for spatial reconstruction can be utilized. However,  
354 for benchmarking the reconstruction task, methods solely designed for cell-type deconvolution  
355 cannot be used, as inferring the fine-grained mapping  $\gamma$  of individual cells from a coarse-grained  
356 mapping  $P$  of cell clusters poses a challenging inverse problem, even though inferring the cell  
357 type proportion  $P$  from the coupling matrix  $\gamma$  is straightforward ( $P = \gamma \times C$ ).

#### 358 4.1.1 Synthetic data sets

359 **Synthetic data set 1** The synthetic data 1 is generated based on Gaussian Process (GP) by  
360 assuming that the nearby spots have similar proportions of cell types as well as gene expressions  
361 [29]. Here, we used scRNA-seq data of an IPF lung tissue and projected the cells from this data  
362 onto grids, which represent the spatial coordinates obtained from a different IPF lung sample's  
363 upper lobe lung slice. Thus, scRNA-seq data is real while spatial locations are synthetic for this  
364 dataset. See Supporting methods for more details.

365 **Synthetic data set 2** For the synthetic data 2, we conducted simulations using gene ex-  
366 pression data from individual cells obtained through multiplex error-robust fluorescence in situ  
367 hybridization (MERFISH) in the mouse medial preoptic area (MPOA) [32, 33]. By aggregat-  
368 ing the gene expression information of cells within spatially contiguous pixels, we created a  
369 representation of the spatial organization. See Supporting Methods for more details.

#### 370 4.1.2 Real data sets

371 **Preparation and data collection of single-cell RNA sequencing and spatial**  
372 **transcriptomics** Tissue samples were obtained by the Human Tissue Biorepository at The  
373 Ohio State University from the explanted lungs of patients diagnosed with idiopathic pulmonary  
374 fibrosis (IPF) and familial IPF after a Total Transplant Care Protocol informed consent and

375 research authorization from the patient. The tissue biorepository operates in accordance with  
376 NCI and ISBER Best Practices for Repositories.

377 *For single-cell RNA sequencing (scRNA-seq):* Samples of 15 g of upper and lower lobe lung  
378 parenchyma tissue were washed with PBS, minced finely with scalpels, and digested using an  
379 enzyme cocktail (1 mg/mL of liberase DL, DNase I, DMEM) for 2 hours at 37°C with rocking.  
380 Cell suspension was filtered through a serial filter of 300 µm, 100 µm, and 70 µm strainers.  
381 After straining, the cell suspension was centrifuged at 500g for 7 minutes, the supernatant was  
382 removed, and 1x RBC lysis buffer was added to the pellet and incubated at 4°C for 7 minutes  
383 and then filtered through a 40 µm strainer to remove the agglomerated dead cells. Finally,  
384 cell number and viability were determined using a countess automatic cell counter (Invitrogen).  
385 Whole lung cell suspension was loaded on the Chromium Controller, according to 10x Genomics  
386 protocol. 3' Gene Expression libraries were sequenced on Illumina sequencer with read lengths  
387 of 28 cycles Read 1, 10 cycles i7 index, 10 cycles i5 index, 90 cycles Read 2. ScRNA-seq data  
388 was extracted from the raw sequencing data using Cell Ranger (version 7.1.0, 10x Genomics).

389 *For spatial transcriptomics:* Tissue sections of  $\leq 6.5 \times 6.5$  mm from the upper and lower lobe  
390 of lung parenchyma were used for spatial analysis. After collection, samples were fixed for 24  
391 hours in 10% neutral buffered formalin and embedded in paraffin (wax) to create a formalin-fixed  
392 paraffin-embedded (FFPE) block. Sections of 5µm were then cut from the FFPE blocks onto  
393 Visium slides (10x Genomics) and processed according to the manufacturer's protocol. Scan  
394 of H&E staining was performed with EVOSTM M7000 microscope (Invitrogen) using a 10x  
395 objective. FFPE libraries were prepared according to 10x Genomics protocol and sequenced on  
396 Illumina sequencer to a read depth of at least 25k reads/spot, with read lengths of 28 cycles  
397 Read 1, 10 cycles i7 index, 10 cycles i5 index, 50 cycles Read 2. Spatial transcriptomics data  
398 was extracted from the raw sequencing data using Space Ranger (version 2.0.0, 10x Genomics).

399 **Paired familial IPF lung data set** We obtained two paired datasets of single-cell and  
400 spatial transcriptomics from a patient with familial IPF lung, one of which is from the upper  
401 lobe slice and the other from the lower lobe slice. The upper lobe pair contains 6762 cells and  
402 3336 spots while the lower lobe pair contains 6173 cells and 2246 spots. For each of these two  
403 paired datasets, we preprocessed the data by (1) removing lowly expressed genes of both two  
404 data modalities, keeping genes that have at least 10 counts, and (2) removing cells with low  
405 counts, keeping cells that have at least 500 counts and 500 genes expressed, then (3) obtaining  
406 the common gene sets for both modalities by taking the intersection of the two gene sets.

407 The cell type annotations were transferred from the Lung cell atlas (HLCA) using scArches

408 and FastGenomics platform.

409 To re-annotate cells that reflect senescent states, we utilized a list of 68 senescent markers  
410 (Supplementary Data 1), then calculated the average expression of the marker genes across all  
411 cells. Next, senescent cells were identified as having a higher than 95 percentile of average  
412 expression of the marker genes.

413 **Unpaired IPF lung data set** To demonstrate the general utility of the method even for  
414 non-paired data, we obtained an unpaired scRNA-seq and spatial transcriptomics dataset from  
415 two different IPF patients. While the preparation for spatial transcriptomics is the same as for  
416 the paired data, the preparation for single-cell RNA sequencing is described as follows. Single-cell  
417 sequencing of human lung tissue was performed as previously described [44, 17]. In short, human  
418 lung tissue (IPF) was homogenized, and 4 g of tissue were digested by dispase/collagenase (Col-  
419 lagenase: 0.1U/mL, Dispase: 0.8U/mL, Roche) for 1 hour at 37°C. Samples were successively  
420 filtered through nylon filters (100  $\mu$ m and 20  $\mu$ m) followed by a percoll gradient. Single epi-  
421 thelial cell suspensions were loaded onto a Chromium single-cell chip (Chromium™Single Cell 3'  
422 Reagent Kit, v2 Chemistry) to obtain single-cell 3' libraries for sequencing. cDNA obtained after  
423 droplet reverse transcription was amplified for 14 cycles and analyzed using Agilent Bioanalyzer.  
424 The barcoded libraries were sequenced using Illumina NextSeq-500 through the University of  
425 Pittsburgh Genomics Core Sequencing Facility, aiming for 100,000 reads per cell and capturing  
426 10,000 per library.

427 The single-cell data contains 25,260 cells, and the spatial data, which consists of an upper  
428 lobe lung slide, contains 3,412 spots. The preprocessing, cell type annotation, and senescence  
429 re-annotation were carried out following the same procedures as for the paired familial IPF lung  
430 dataset.

431 It is important to note that, since the familial IPF lung datasets are paired, the coordinates  
432 of cells after spatial reconstruction represent the actual tissue coordinates. However, for the  
433 unpaired IPF lung dataset, the inferred cell coordinates do not directly reflect the actual tissue  
434 coordinates. Instead, they serve as an intermediate step to infer the relative spatial relationships  
435 among cells.

## 436 4.2 Cell type deconvolution

For gene expression, cell type deconvolution can be formulated as a nonnegative least squares  
(NNLS) problem, where the goal is to estimate the relative abundances of different cell types by  
solving for the nonnegative coefficients of a linear combination of their respective gene expression

profiles. Specifically, a multicellular resolution spatial transcriptomics profile  $Y \in \mathbb{R}^{m \times p}$  of  $p$  genes across  $m$  spots each of which contains transcripts from multiple cells can be represented as  $Y = PS$  in which  $P \in \mathbb{R}^{m \times c}$  is the cell type proportions to be estimated and  $S \in \mathbb{R}^{c \times p}$  is the signature matrix consisting of known gene expression profiles for each cell type of the total  $c$  cell types. We solved for  $P$  the following nonnegative least squares problem:

$$P^* \in \arg \min_{P \geq 0} \|Y - PS\|_F \quad (1)$$

437 There are several solvers available for solving a NNLS problem, including Lawson-Hanson's  
 438 active set method [22]. Here, we used projected gradient descent [25].

### 439 4.3 Mapping single cell to spatial images

The spatial reconstruction task involves assigning cells from scRNA-seq data to a predicted corresponding location in a tissue sample. Note that such assignment, implicitly, also provides deconvolution of the spot data assuming that the cell types for cells in the scRNA-Seq data are known. Here we formulate this as an optimal transport (OT) problem from scRNA-seq dataset  $X \in \mathbb{R}^{n \times p}$  of  $p$  genes across  $n$  cells to spatial transcriptomics dataset  $Y \in \mathbb{R}^{m \times p}$  of  $p$  genes across  $m$ . OT is commonly used to model the coupling between two probability distribution. In our case we use it to model the transport of gene expression from one dataset to another in an optimal way. By solving the optimal transport problem, it is possible to estimate the optimal coupling and quantify the degree of similarity between the datasets. Formulating the spatial reconstruction task as an optimal transport problem involves constructing a cost matrix  $M \in \mathbb{R}_+^{m \times n}$  representing distances between cells of  $X$  and spots of  $Y$ . Here, we used cosine distance  $d_{cos}(X_{i,:}, Y_{j,:}) = 1 - \frac{\langle X_{i,:}, Y_{j,:} \rangle}{\|X_{i,:}\| \cdot \|Y_{j,:}\|}$ , which is scale-invariant and can account for differences in measurement sensitivity between the two technologies. Furthermore, scale-invariant cosine dissimilarity is well-suited for handling the fact that expression of a spot in the spatial transcriptomics dataset is the mixture or sum of multiple cells in the scRNA-seq dataset. Specifically, the coupling matrix  $\gamma \in \mathbb{R}_+^{m \times n}$  is solved for obtaining the optimal transport as follows:

$$\begin{aligned} \gamma^* \in \arg \min_{\gamma \in \mathbb{R}_+^{m \times n}} & \sum_{i,j} \gamma_{i,j} M_{i,j} + \lambda \Omega(\gamma) \\ \text{s.t. } & \gamma 1 = m; \gamma^T 1 = n; \gamma \geq 0 \end{aligned} \quad (2)$$

440 where  $M \in \mathbb{R}_+^{m \times n}$  is the cost matrix defining the cost of moving gene expression from cell  $a_i$  to  
 441 spot  $b_j$  and  $\Omega(\gamma) = \sum_{i,j} \gamma_{i,j} \log(\gamma_{i,j})$  is an entropic regularization term [8]. The entropic regu-

442 larization version of optimal transport can be solved by Sinkhorn-Knopp's alternative projection  
443 algorithm [8]. In other words, this minimization process aims to match cells with similar expres-  
444 sion profiles to spots with similar transcriptomic characteristics, measured by cosine similarity,  
445 thereby capturing the underlying biological relationships between the two datasets.

446 It is important to note that we utilized the entropy regularization version of optimal trans-  
447 port, resulting in a probabilistic mapping between cells and spots. This probabilistic coupling,  
448 represented by the left-stochastic matrix  $\gamma$ , indicates the likelihood of a specific cell being as-  
449 sociated with a particular spot. This probabilistic coupling offers computational efficiency and  
450 eliminates assumptions about the number of cells in a spot, including cases where a cell may  
451 reside on the boundary of two spots.

#### 452 **4.4 Combination of deconvolution and mapping**

453 OT for spatial and scRNA-Seq data is challenging since spatial data is often sparse leading to  
454 less dependable inferred individual cell-spot pairs. We thus further extend OT by incorporat-  
455 ing the deconvolution result, which, as mentioned above, maps a group of cells to a group of  
456 spots. As a result, scDOT integrates the two mentioned data modalities, single-cell and spatial  
457 transcriptomics, by simultaneously solving the deconvolution and OT problems. Specifically,  
458 given paired data modalities  $X$  and  $Y$ , representing gene expression profiles of a scRNA-seq  
459 and a spatial transcriptomics data respectively, scDOT simultaneously solves the deconvolution  
460 problem of estimating cell type fractions,  $P$ , of  $c$  cell types across  $m$  spots, and the spatial recon-  
461 struction problem of mapping  $n$  cells to their  $m$  spatial origins, resulting in a coupling matrix  
462  $\gamma$ . These two solutions are constrained by the relation  $\gamma \times C = P$ , where  $C$  is a binary matrix  
463 representing the cell type of each cell, encoded as a one-hot vector of size  $1 \times c$  across the total  $n$   
464 cells. The two results,  $P$  and  $\gamma$ , are computed simultaneously in an iterative manner in order to  
465 improve each other's accuracy. The problem is then formed as a bi-level optimization where the  
466 deconvolution and the spatial reconstruction are two inner optimization problems nested inside  
467 the outer optimization that reflects the relation  $\gamma \times C = P$ . See Supporting Methods for more  
468 details.

#### 469 **4.5 Inference of cell-cell spatial neighborhood graph**

Utilizing the coupling matrix learned from optimal transport, we employed manifold alignment  
[47, 36] to project the single-cell data  $X$  and spatial coordinates  $Z \in \mathbb{R}^{m \times 2}$  of spatial transcriptomics  
data onto a common nonlinear subspace. This subspace preserves the correspondence

between cells and spots, as well as the intrinsic similarity within each dataset. Consequently, in the common subspace, cells are represented in terms of both gene expression and spatial coordinates. Subsequently, we constructed a k-nearest neighbor graph (k-NNG) based on this new representation, which consists of the new coordinates in the common subspace for each cell. This allowed us to obtain the cell-cell spatial neighborhood graph. (In our experiments, we set  $k = 10$ .) The projections  $f$  and  $g$  resulting from manifold alignment serve as minimizers of the following optimization problem, which can be formulated as a generalized eigenvalue problem:

$$\begin{aligned} f^*, g^* = & \arg \min_{f,g} (1 - \mu) \sum_{i=1}^m \sum_{j=1}^n \|f(x_i) - g(z_j)\|_2^2 \gamma^{i,j} \\ & + \mu \sum_{i=1}^m \sum_{j=1}^n \|f(x_i) - f(x_j)\|_2^2 W_X^{i,j} \\ & + \mu \sum_{i=1}^m \sum_{j=1}^n \|g(x_i) - g(z_j)\|_2^2 W_Z^{i,j} \end{aligned} \quad (3)$$

where  $W_X$  and  $W_Z$  are adjacent matrices of kNN graphs of  $X$  and  $Z$ , respectively.

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