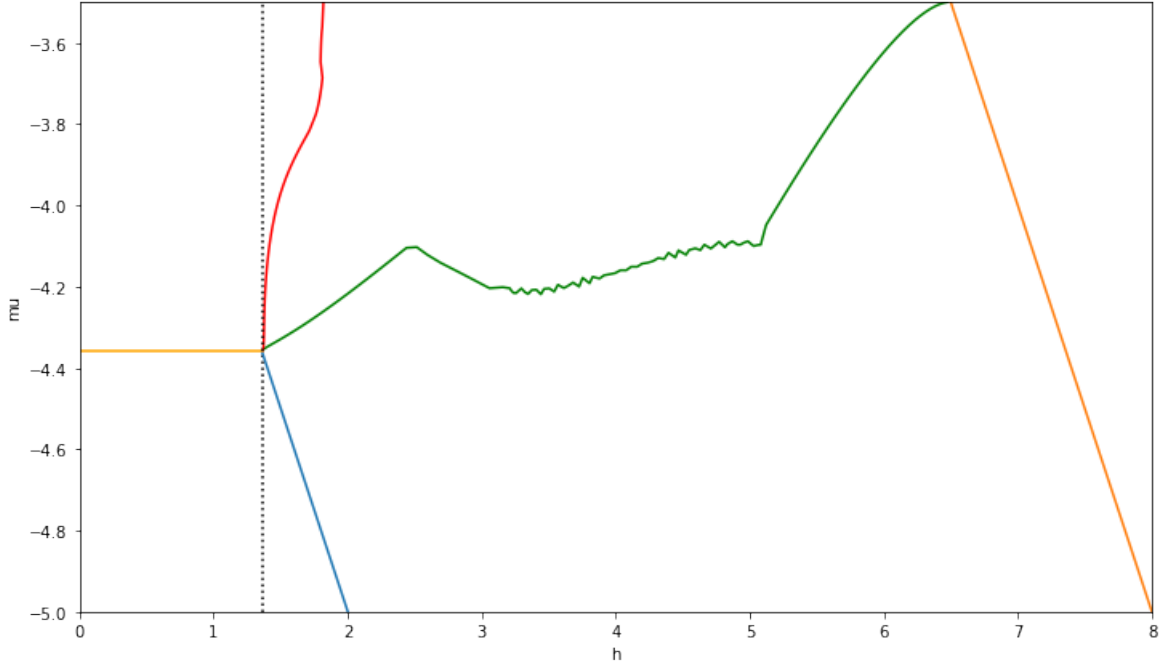


Report 1

Ian Pile

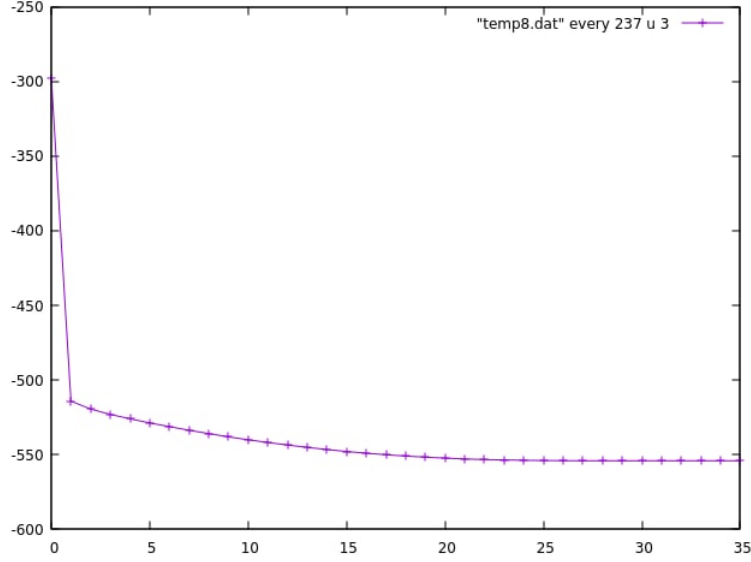
November 11, 2020

I've numerically studied the phase diagram of $L \times W$ repulsive Hubbard ladder of length $L = 60$ with number of legs $W = 2$ on $\mu - h$ plane. This case requires more computational resources than that of $L = 40$. μ and h values were approximated using finite differences instead of derivatives. The diagram looks as follows:

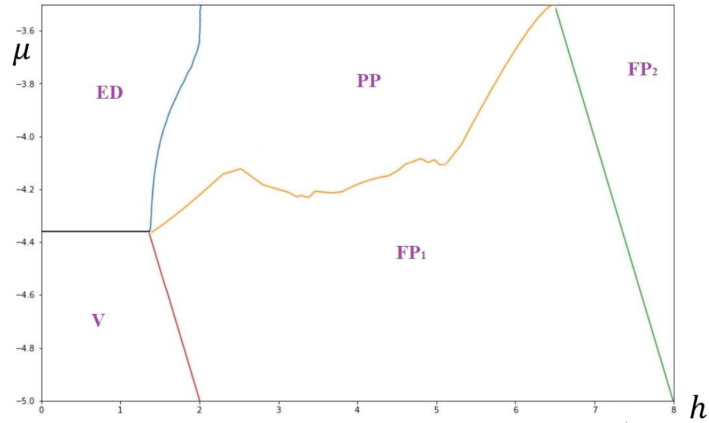


The diagram was calculated for all possible values of $E(N_1, N_2)$ where $N_1, N_2 = 1 \dots 120$. All the necessary E points were calculated using *DMRG* method as implemented in *ALPS* Python package. All points have the truncation error $< 10^{-7}$. Convergence of the algorithm could be controlled via `NUMBER OF SWEEPS` and `MAXSTATES` parameters, that were 1800 and 18 for most of the points. For the points with truncation error of $10^{-7} - 10^{-8}$ another check for convergence was conducted using *mps_{optim}*-impementation of DMRG.

Here's the graph of Energy convergence vs number of sweeps for $N_{up} = 73$ and $N_{down} = 71$ obtained using *mps_optim* procedure with 35 sweeps and 1800 maxstates. Results for the ground-state energy is equivalent to the one of standard DMRG up to the third sign.

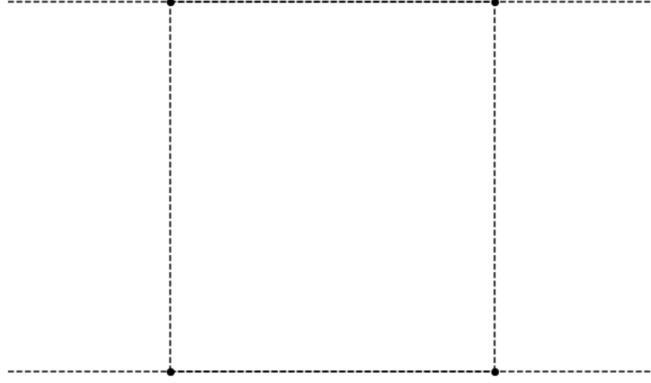


Firstly, the diagram looks just the same as the one for $L = 40$ and number of legs $W = 2$.



As one can easily see, the boundary between *ED* and *PP* phases has different position from that of 1D-chain.

Also hamiltonians of ladders with 1..4 legs for $N = 1$ (which is equivalent to $U = 0$) were diagonalized using standard procedure. The two-leg ladder looks as two 1D chains connected to each other on every n:



Single-particle hamiltonian of a two-leg ladder is:

$$\hat{H} = \sum_{i,j=1,2} -t(a_{i,j}^\dagger a_{i+1,j} + h.c.) - t_\perp (a_{i,1}^\dagger a_{i,2} + h.c.) \quad (1)$$

To diagonalize it one should first switch to momentum-representation using Fourier-transformation and then find eigenvalues and eigenvectors of the obtained hamiltonian. Fourier-transformed creation-annihilation operators look as follows:

$$a_i = \frac{1}{\sqrt{L}} \sum_l a_l e^{ikx_i} \quad (2)$$

$$a_i^\dagger = \frac{1}{\sqrt{L}} \sum_l a_l^\dagger e^{-ikx_i} \quad (3)$$

Plugging (2) and (3) into \hat{H} we obtain:

$$\hat{H} = \hat{H}_{\parallel,1leg} + \hat{H}_{\parallel,2leg} + \hat{H}_\perp \quad (4)$$

$$\hat{H}_{\parallel,1leg} = -\frac{t}{L} \sum_i (a_k^\dagger a_q e^{iqx_{i+1}-ikx_i} + a_q^\dagger a_k e^{iqx_{i+1}-ikx_i}) = -\frac{t}{L} \sum_i (a_k^\dagger a_q e^{i(q-k)x_i} e^{iqa} + a_q^\dagger a_k e^{i(k-q)x_i} e^{-iqa}) \quad (5)$$

Here one can see the delta function of the form:

$$\delta_{k,q}(x_i) = \delta_{q,k}(x_i) = \frac{1}{L} \sum_i e^{i(q-k)x_i} = \frac{1}{L} \sum_i e^{i(k-q)x_i} \quad (6)$$

Then $\hat{H}_{\parallel,1leg}$ takes the form:

$$\hat{H}_{\parallel,1leg} = \sum_i -t \left(\sum_k -t (a_k^\dagger a_k e^{ika} + a_k^\dagger a_k e^{-ika}) \right) \quad (7)$$

That leads us to standard free 1D energy cosine, but as energy is defined up to the arbitrary constant we'll take the constant equal to 1:

$$\hat{H}_{\parallel, 1leg} = \sum_i (1 - 2t \cos(ka)) a_{k,1}^\dagger a_{k,1} = \sum_i \epsilon_k a_{k,1}^\dagger a_{k,1} \quad (8)$$

Diagonalization of $\hat{H}_{\parallel, 2leg}$ leads us to the same relation:

$$\hat{H}_{\parallel, 2leg} = \sum_i (1 - 2t \cos(ka)) a_{k,2}^\dagger a_{k,2} = \sum_i \epsilon_k a_{k,2}^\dagger a_{k,2} \quad (9)$$

Now to the most interesting part:

$$\hat{H}_\perp = -t_\perp \sum_i (a_{i,1}^\dagger a_{i,2} + a_{i,2}^\dagger a_{i,1}) \quad (10)$$

$$\hat{H}_\perp = -\frac{t_\perp}{L} \sum_{k,q} (a_{k,1}^\dagger a_{q,2} e^{i(k-q)x_i} + a_{q,2}^\dagger a_{k,1} e^{i(q-k)x_i}) \quad (11)$$

$$\hat{H}_\perp = -t_\perp \sum_k (a_{k,1}^\dagger a_{k,2} + a_{k,2}^\dagger a_{k,1}) \quad (12)$$

Finally our hamiltonian takes the form:

$$\hat{H} = \sum_k \epsilon_k a_{k,1}^\dagger a_{k,1} + \sum_k \epsilon_k a_{k,2}^\dagger a_{k,2} - t_\perp \sum_k (a_{k,1}^\dagger a_{k,2} + a_{k,2}^\dagger a_{k,1}) \quad (13)$$

Let's rewrite the hamiltonian in matrix form to make it easier to diagonalize:

$$\begin{pmatrix} a_{k,1}^\dagger & a_{k,2}^\dagger \end{pmatrix} \begin{pmatrix} \epsilon_k & -t_\perp \\ -t_\perp & \epsilon_k \end{pmatrix} \begin{pmatrix} a_{k,1} \\ a_{k,2} \end{pmatrix} = \begin{pmatrix} c_{k,1}^\dagger & c_{k,2}^\dagger \end{pmatrix} \begin{pmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{pmatrix} \begin{pmatrix} c_{k,1} \\ c_{k,2} \end{pmatrix} \quad (14)$$

To find eigenvalues one has to solve the equation:

$$\begin{vmatrix} \epsilon_k - \lambda & -t_\perp \\ -t_\perp & \epsilon_k - \lambda \end{vmatrix} = 0 \quad (15)$$

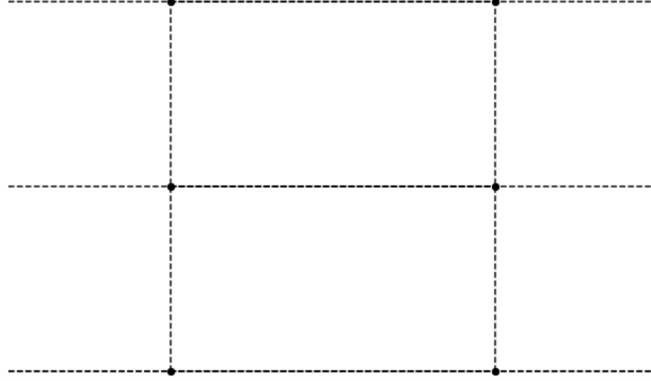
$$(\epsilon_k - \lambda)^2 - (t_\perp)^2 = 0 \quad (16)$$

$$\lambda_{1,2} = \epsilon_k \pm t_\perp \quad (17)$$

Then the diagonalized hamiltonian takes the form:

$$\hat{H} = \sum_k (\epsilon_k - t_\perp) c_{k,1}^\dagger c_{k,1} + \sum_k (\epsilon_k + t_\perp) c_{k,2}^\dagger c_{k,2} \quad (18)$$

3-leg ladder has the same structure as before, but there are 3 1D-chains now:



For a 3-leg ladder the approach is just the same:

$$\begin{aligned} \hat{H} = & -t \sum_i a_{i,1}^\dagger a_{i+1,1} + h.c. - t \sum_i a_{i,2}^\dagger a_{i+1,2} + h.c. - t \sum_i a_{i,3}^\dagger a_{i+1,3} + h.c. - \\ & -t_+ \sum_i (a_{i,1}^\dagger a_{i,2} + a_{i,2}^\dagger a_{i,1}) - t_\perp \sum_i (a_{i,2}^\dagger a_{i,3} + a_{i,3}^\dagger a_{i,2}) \end{aligned}$$

We obtain the same $\epsilon_k = (1 - 2t\cos(ka))$ along the leg and the hamiltonian then takes the form:

$$\begin{aligned} \hat{H} = & \sum_k \epsilon_k a_{k,1}^\dagger a_{k,1} + \sum_k \epsilon_k a_{k,2}^\dagger a_{k,2} + \sum_k \epsilon_k a_{k,3}^\dagger a_{k,3} - t_\perp (\sum_k a_{k,1}^\dagger a_{k,2} + a_{k,2}^\dagger a_{k,1} + a_{k,2}^\dagger a_{k,3} + a_{k,3}^\dagger a_{k,2}) \\ & \begin{pmatrix} a_{k,1}^\dagger & a_{k,2}^\dagger & a_{k,3}^\dagger \end{pmatrix} \begin{pmatrix} \epsilon_k & -t_\perp & 0 \\ -t_+ & \epsilon_k & -t_\perp \\ 0 & -t_\perp & \epsilon_k \end{pmatrix} \begin{pmatrix} a_{k,1} \\ a_{k,2} \\ a_{k,3} \end{pmatrix} = \begin{pmatrix} c_{k,1}^\dagger & c_{k,2}^\dagger & c_{k,3}^\dagger \end{pmatrix} \begin{pmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{pmatrix} \begin{pmatrix} c_{k,1} \\ c_{k,2} \\ c_{k,3} \end{pmatrix} \\ & \left| \begin{pmatrix} \epsilon_k - \lambda & -t_\perp & 0 \\ -t_\perp & \epsilon_k - \lambda & -t_\perp \\ 0 & -t_\perp & \epsilon_k - \lambda \end{pmatrix} \right| = 0 \end{aligned} \quad (19)$$

Expanding along the first row we obtain:

$$(\epsilon_k - \lambda)((\epsilon_k - \lambda)^2 - t_\perp^2) + t_\perp(-t_\perp(\epsilon_k - \lambda)) = 0 \quad (20)$$

Taking the common factor out of the brackets:

$$(\epsilon_k - \lambda)((\epsilon_k - \lambda)^2 - 2t_\perp^2) = 0 \quad (21)$$

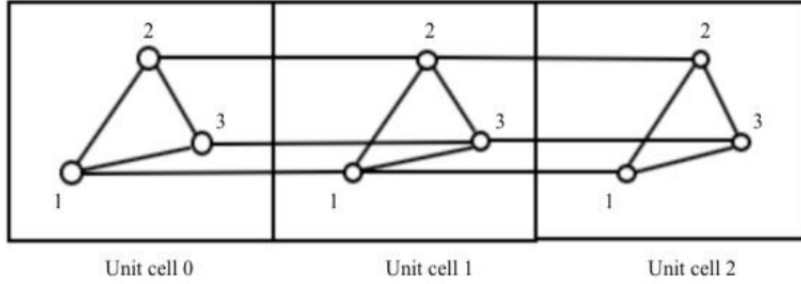
Lambdas then are:

$$\begin{aligned}\lambda_1 &= \epsilon_k \\ \lambda_2 &= \epsilon_k + t_\perp \sqrt{2} \\ \lambda_3 &= \epsilon_k - t_\perp \sqrt{2}\end{aligned}\tag{22}$$

So diagonalized hamiltonian will be:

$$\hat{H} = \sum_k \epsilon_k c_{k,1}^\dagger c_{k,1} + \sum_k (\epsilon_k - t_\perp \sqrt{2}) c_{k,1}^\dagger c_{k,1} + \sum_k (\epsilon_k + t_\perp \sqrt{2}) c_{k,2}^\dagger c_{k,2}\tag{23}$$

Next configuration is so-called toblerone-shaped 3-leg ladder. It is the same as standard 3leg ladder, but it has cylindrical boundary conditions along y-axis.



Technically speaking, toblerone-shaped lattice has one extra hopping term from the 3rd leg to the 1st:

$$\begin{aligned}\hat{H} &= \sum_k \epsilon_k a_{k,1}^\dagger a_{k,1} + \sum_k \epsilon_k a_{k,2}^\dagger a_{k,2} + \sum_k \epsilon_k a_{k,3}^\dagger a_{k,3} - \\ &- t_\perp (\sum_k a_{k,1}^\dagger a_{k,2} + a_{k,2}^\dagger a_{k,1} + a_{k,2}^\dagger a_{k,3} + a_{k,3}^\dagger a_{k,2} + a_{k,3}^\dagger a_{k,1} + a_{k,1}^\dagger a_{k,3})\end{aligned}\tag{24}$$

In a matrix form:

$$\begin{pmatrix} a_{k,1}^\dagger & a_{k,2}^\dagger & a_{k,3}^\dagger \end{pmatrix} \begin{pmatrix} \epsilon_k & -t_\perp & -t_\perp \\ -t_\perp & \epsilon_k & -t_\perp \\ -t_\perp & -t_\perp & \epsilon_k \end{pmatrix} \begin{pmatrix} a_{k,1} \\ a_{k,2} \\ a_{k,3} \end{pmatrix} = \begin{pmatrix} c_{k,1}^\dagger & c_{k,2}^\dagger & c_{k,3}^\dagger \end{pmatrix} \begin{pmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{pmatrix} \begin{pmatrix} c_{k,1} \\ c_{k,2} \\ c_{k,3} \end{pmatrix}$$

$$\begin{vmatrix} \epsilon_k - \lambda & -t_\perp & -t_\perp \\ -t_\perp & \epsilon_k - \lambda & -t_\perp \\ -t_\perp & -t_\perp & \epsilon_k - \lambda \end{vmatrix} = 0\tag{25}$$

Expanding along the first row one obtains:

$$(\epsilon_k - \lambda)((\epsilon_k - \lambda)^2 - t_\perp^2) + t_\perp(-t_\perp(\epsilon_k - \lambda) - t_\perp^2) - t_\perp(t_\perp^2 + t_\perp(\epsilon_k - \lambda)) = 0\tag{26}$$

The common factor is $\epsilon_k - \lambda + t_\perp$, so we have a quadratic equation:

$$(\epsilon_k - \lambda + t_\perp)((\epsilon_k - \lambda)(\epsilon_k - \lambda - t_\perp) - 2t_\perp^2) = 0 \quad (27)$$

Let's rewrite the second part of the equation with a new variable $\phi = \epsilon_k - \lambda$:

$$\phi^2 - t_\perp \phi - 2t_\perp^2 = 0$$

$$D = t_\perp^2 + 8t_\perp^2 = 9t_\perp^2$$

$$\phi_{1,2} = \frac{t_\perp \pm 3t_\perp}{2}$$

Then our final answer for λ is:

$$\lambda_1 = \epsilon_k + t_\perp$$

$$\lambda_2 = \epsilon_k + t_\perp$$

$$\lambda_3 = \epsilon_k - 2t_\perp$$