

## 1. Runtime Formulas & Basic Sums

**Arithmetic Series:**  $\sum_{i=1}^n i = \frac{n(n+1)}{2} = O(n^2)$

**Geometric Series:** For  $r \neq 1$ ,  $\sum_{i=0}^n ar^i = a \frac{1-r^{n+1}}{1-r}$

- If  $0 < r < 1$ ,  $\sum_{i=0}^{\infty} r^i = \frac{1}{1-r} = O(1)$

- If  $r > 1$ ,  $\sum_{i=0}^n r^i = O(r^n)$

**Sum of Squares:**  $\sum_{i=1}^n i^2 = \frac{n(n+1)(2n+1)}{6} = O(n^3)$

**Logarithms:**  $\log(n!) = \Theta(n \log n)$

- $\log_b(xy) = \log_b(x) + \log_b(y)$

- $\log_b(x^p) = p \log_b(x)$

- Change of Base:  $\log_b(x) = \frac{\log_d(x)}{\log_d(b)}$

- $a^{\log_b(n)} = n^{\log_b(a)}$

## 2. Asymptotic Notation (Big O)

- **Big O (O): Upper Bound.**  $f(n) \leq c \cdot g(n)$
- **Big Omega ( $\Omega$ ): Lower Bound.**  $f(n) \geq c \cdot g(n)$
- **Big Theta ( $\Theta$ ): Tight Bound.**  $c_1 g(n) \leq f(n) \leq c_2 g(n)$

### Limit Comparison:

- $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = C \in (0, \infty) \implies f \in \Theta(g)$
- $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0 \implies f \in O(g)$  but  $f \notin \Theta(g)$
- $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty \implies f \in \Omega(g)$  but  $f \notin \Theta(g)$

**Common Runtimes (Fastest to Slowest):**  $O(1) < O(\log n) < O(\sqrt{n}) < O(n) < O(n \log n) < O(n^2) < O(n^c) < O(c^n) < O(n!)$

## 3. Recursive Algorithms

Consists of a **base case** and a **recursive step**.

**Master Theorem:** For  $T(n) = aT(n/b) + f(n)$ :

1. If  $f(n) = O(n^{\log_b a - \epsilon})$ , then  $T(n) = \Theta(n^{\log_b a})$ .
2. If  $f(n) = \Theta(n^{\log_b a})$ , then  $T(n) = \Theta(n^{\log_b a} \log n)$ .
3. If  $f(n) = \Omega(n^{\log_b a + \epsilon})$  and  $af(n/b) \leq cf(n)$  for  $c < 1$ , then  $T(n) = \Theta(f(n))$ .

**Other methods:** Recursion Tree, Iteration (Unrolling), Substitution (Guess & Verify).

## 4. Arrays & Linked Lists

### Dynamic Array Resizing:

- **Double Size when full:** Amortized cost  $\Theta(1)$  per insertion.
- **Downsizing:** To avoid thrashing, halve size only when array is quarter full.

### Comparison:

Operation	Array	Singly LL	Doubly LL
Access ( $i$ -th)	$O(1)$	$O(n)$	$O(n)$
Search	$O(n)$	$O(n)$	$O(n)$
Insert (end)	$O(1)^*$	$O(n)^{**}$	$O(1)^{**}$
Insert (front)	$O(n)$	$O(1)$	$O(1)$
Delete (end)	$O(1)$	$O(n)$	$O(1)^{**}$

\*Amortized \*\*With tail pointer

## 5. Stacks & Queues (ADTs)

**Abstract Data Type (ADT):** Defines operations, not implementation.

- **Stack (LIFO):** ‘push()’, ‘pop()’, ‘peek()’. All  $O(1)^*$ .
- **Queue (FIFO):** ‘enqueue()’, ‘dequeue()’, ‘peek()’. All  $O(1)^*$ . Can be implemented with a circular array or SLL with tail pointer.

\*Amortized for array-based implementations due to resizing.

## 6. Trees (Binary & BST)

**Tree Properties:** Height of a complete binary tree is  $h = \lfloor \log_2 N \rfloor$ . Max nodes in a binary tree of height  $h$  is  $2^{h+1} - 1$ .

- **Full BT:** Every node has 0 or 2 children.
- **Complete BT:** All levels full except possibly the last, which is filled left-to-right. Used for heaps.

.. Let  $T$  be a nonempty, full binary tree

1. If  $T$  has  $I$  internal nodes, the number of leaves is  $I + 1$
2. If  $T$  has  $I$  internal nodes, the total number of nodes is  $2I + 1$
3. If  $T$  has a total of  $N$  nodes, the number of internal nodes is  $(N - 1)/2$
4. If  $T$  has a total of  $N$  nodes, the number of leaves is  $(N + 1)/2$
5. If  $T$  has  $L$  leaves, the total number of nodes is  $2L - 1$
6. If  $T$  has  $L$  leaves, the number of internal nodes is  $L - 1$

.. **Binary Search Tree (BST):** For node ‘n’, left vals  $< n <$  right vals.

- **Runtimes (Balanced):** Search, Insert, Delete are  $O(\log n)$ .
- **Runtimes (Worst-Case):** Skewed tree; all ops are  $O(n)$ .

### Traversals ( $O(n)$ ):

- **In-Order (LNR):** Gives sorted sequence for BST.
- **Pre-Order (NLR):** Useful for copying trees.
- **Post-Order (LRN):** Useful for deleting nodes.
- **Level-Order (BFS):** Uses a queue.

### In-Order Traversal (Recursive)

```
function inorder(node)
    if node is null, return
    inorder(node.left)
    visit(node)
    inorder(node.right)
```

## 7. Binary Heaps (Priority Queue)

A **complete** binary tree with the **heap property**.

- **Min-Heap:** Parent  $\leq$  Children. Root is minimum element.
- **Max-Heap:** Parent  $\geq$  Children. Root is maximum element.

### Array Repr. (0-indexed, node i):

- ‘parent = floor((i-1)/2)’, ‘left = 2i+1’, ‘right = 2i+2’

### Operations:

- ‘insert’: Add to end, swim up.  $O(\log n)$ .
- ‘extractMin/Max’: Replace root with last, sink down.  $O(\log n)$ .
- ‘buildHeap’ (Heapify): Bottom-up construction.  $O(n)$ .

### Heap Swim/Sink (for Max-Heap)

```
function swim(i)
    p = parent(i)
    while i > 0 and heap[p] < heap[i]
        swap(heap[p], heap[i])
        i = p; p = parent(i)

function sink(i)
    while leftChild(i) < size
        bigger = leftChild(i)
        if rightChild(i) < size and
            heap[bigger] < heap[rightChild(i)]
            bigger = rightChild(i)
        if heap[i] >= heap[bigger], break
        swap(heap[i], heap[bigger])
        i = bigger
```

### Build Max-Heap

```
function buildMaxHeap(A)
    heap_size = A.length
    // Start from last non-leaf node
    for i = floor(A.length/2)-1 down to 0
        sink(i) // Sink corrects the heap property
```

## 8. Comparison Sorting Algorithms

**Lower Bound:** Any comparison-based sort must make  $\Omega(n \log n)$  comparisons in the worst case.

Algorithm	Avg Time	Worst Time	Space	Stable
Merge Sort	$\Theta(n \log n)$	$\Theta(n \log n)$	$O(n)$	Yes
Quick Sort	$\Theta(n \log n)$	$O(n^2)$	$O(\log n)$	No
Heap Sort	$\Theta(n \log n)$	$\Theta(n \log n)$	$O(1)$	No

- **Merge Sort:** Divide & conquer.  $T(n) = 2T(n/2) + \Theta(n)$ .
- **Quick Sort:** Divide & conquer with pivot. Randomized pivot avoids worst case. In-place.
- **Heap Sort:** Uses a max-heap to sort in-place.

#### Quicksort Partition (Lomuto)

```
function partition(A, lo, hi)
    pivot = A[hi]
    i = lo
    for j = lo to hi-1
        if A[j] < pivot
            swap(A[i], A[j])
            i = i + 1
    swap(A[i], A[hi])
    return i
```

#### Merge Sort - Merge Step

```
function merge(A, p, q, r)
    // L and R are temp copies of subarrays
    let L be A[p..q], R be A[q+1..r]
    i=0, j=0, k=p
    while i < L.length and j < R.length
        if L[i] <= R[j] then A[k++] = L[i++]
        else A[k++] = R[j++]
    // Copy remaining elements, if any
    while i < L.length then A[k++] = L[i++]
    while j < R.length then A[k++] = R[j++]
```

## 9. Linear Time Sorting (Non-Comparison)

- **Counting Sort:**
  - Counts occurrences of elements in range  $k$ . Stable.
  - Runtime:  $O(n + k)$ , Space:  $O(n + k)$ .
- **Radix Sort:**
  - Sorts digit by digit using a stable sort (like Counting Sort).
  - Runtime:  $O(d(n + k))$  where  $d$  is # of digits.
- **Bucket Sort:**
  - Distributes elements into buckets, sorts buckets. Assumes uniform input distribution.
  - Runtime: Avg  $O(n + k)$ , Worst  $O(n^2)$ .

#### Counting Sort

```
function countingSort(A, k)
    // C=counts array, B=output array
    let C be new array of size k+1, all 0s
    let B be new array of size A.length
    for j = 0 to A.length-1
        C[A[j]] = C[A[j]] + 1
    // C[i] now has number of elements == i
    for i = 1 to k
        C[i] = C[i] + C[i-1]
    // C[i] now has num elements <= i
    for j = A.length-1 down to 0
        B[C[A[j]] - 1] = A[j]
        C[A[j]] = C[A[j]] - 1
    return B
```

## 10. Hashing & Hash Tables

Maps keys to array indices via a hash function. Avg time for Search, Insert, Delete is  $O(1)$ . Load Factor  $\alpha = n/m$ .

#### Collision Resolution:

1. **Separate Chaining:** Each index stores a linked list. Search time is  $O(1 + \alpha)$ .
2. **Open Addressing:** Probe for the next available slot. Requires  $\alpha < 1$ . Deletion needs a **tombstone**.

- **Linear Probing:**  $(h(k) + i) \pmod{m}$

Guaranteed to find a slot for  $\alpha < 1$

Expected search cost:

– successful search:  $\frac{1}{2}(1 + \frac{1}{1-\alpha})$

– unsuccessful search:  $\frac{1}{2}(1 + \frac{1}{(1-\alpha)^2})$

Causes primary clustering.

- **Quadratic Probing:**  $(h(k) + i^2) \pmod{m}$

Guaranteed to find a slot for a prime table size and  $\alpha < \frac{1}{2}$

Expected search cost:

– unsuccessful search: slides say no exact analysis is known  
Causes secondary clustering.

- **Double Hashing:**  $(h_1(k) + i \cdot h_2(k)) \pmod{m}$

Expected search cost:

– unsuccessful search:  $\frac{1}{1-\alpha}$

– successful search is faster  
Best option.

#### Resizing

##### 1. Chaining

- Goal:  $\alpha$  constant
- Double  $m$  when  $\alpha \geq 8$
- Halve  $m$  when  $\alpha \leq 2$

##### 2. Open Addressing

- Goal:  $\alpha < \frac{1}{2}$
- Double  $m$  when  $\alpha \geq \frac{1}{2}$
- Halve  $m$  when  $\alpha \leq \frac{1}{8}$

#### Limitations

- Range Queries
- Memory Consumption
- Real Data (bias possible)

#### Search with Linear Probing

```
function search(key)
    i = hash(key)
    while table[i] is not empty
        if table[i].key == key
            return table[i].value
        i = (i + 1) mod m
    return null
```

## 11. Union-Find (Disjoint Set)

Tracks elements partitioned into disjoint subsets. **Implementations:**

- **Quick-Find:** ‘find’ is  $O(1)$ , ‘union’ is  $O(n)$ .
- **Quick-Union:** ‘find’ and ‘union’ can be  $O(n)$  (skewed tree).

**Optimizations** for nearly constant time ( $O(\alpha(n))$ ):

- **Union by Rank/Size:** Attach shorter/smaller tree to taller/larger tree’s root. Keeps trees shallow.
- **Path Compression:** During ‘find(x)’, make all nodes on the path point directly to the root.

#### Find with Path Compression

```
function find(i)
    if parent[i] == i
        return i
    // Set parent directly to the root
    parent[i] = find(parent[i])
    return parent[i]
```

#### Union by Size

```
function union(i, j)
    rootI = find(i)
    rootJ = find(j)
    if rootI != rootJ
        // Attach smaller tree to larger
        if size[rootI] < size[rootJ]
            parent[rootI] = rootJ
            size[rootJ] += size[rootI]
        else
            parent[rootJ] = rootI
            size[rootI] += size[rootJ]
```