

# Binary Search Tree (BST)

**Properties:** Left subtree  $<$  node  $<$  right subtree. Height  $h = O(\log n)$  balanced,  $O(n)$  worst.

**Search:** Time  $O(h)$ . Compare key, go left if smaller, right if larger, return if found.

**Deletion (4 Cases):**

1. Node is leaf: Simply remove it.
2. Node has only left child: Replace node with left child.
3. Node has only right child: Replace node with right child.
4. Node has two children: Find successor (min in right subtree) or predecessor (max in left subtree), replace node's value, delete successor/predecessor.

# B-Trees

**Properties:** Minimum degree  $t \geq 2$ .

- Root:  $\geq 1$  key,  $\geq 2$  children (if not leaf)
- Non-root:  $\geq t - 1$  keys,  $\geq t$  children
- All nodes:  $\leq 2t - 1$  keys,  $\leq 2t$  children
- All leaves at same depth
- Height:  $h \leq \log_{\frac{t+1}{2}} n$
- Min keys:  $1 + (t - 1) \sum_{i=1}^h 2t^{i-1} = 2t^h - 1$
- Max keys:  $(2t - 1) \sum_{i=0}^{h-1} (2t)^i = (2t)^h - 1$

**Search:** Time  $O(t \log n)$ . Binary search within node, recurse to child.

**Insertion:** Time  $O(t \log n)$ .

1. Search for position in leaf node
2. If leaf not full: insert key
3. If leaf full (has  $2t - 1$  keys): split node at median, promote median to parent
4. If parent also full: recursively split up to root if needed

**Deletion Cases:**

1. Simple delete from leaf: Remove if node has  $\geq t$  keys
2. Internal node, left child has  $\geq t$  keys: Replace with predecessor, recursively delete predecessor
3. Internal node, right child has  $\geq t$  keys: Replace with successor, recursively delete successor
4. Internal node, both children have  $t - 1$  keys: Merge key with both children, recurse
5. Node has  $t - 1$  keys, sibling has  $\geq t$  keys: Borrow from sibling through parent
6. Node and all siblings have  $t - 1$  keys: Merge with sibling and parent key

**When to use:** Databases, file systems, many keys, disk-based storage.

# Red-Black Trees

**Properties:**

- Every node is red or black
- Root is black
- All leaves (NIL) are black
- Red node has black children (no consecutive reds)
- All paths from node to leaves have same # black nodes (black-height  $bh$ )
- Height:  $h \leq 2 \log_2(n + 1)$
- Black-height:  $bh \geq h/2$
- Min nodes:  $n \geq 2^{bh} - 1$

**Rotations:**

- Left-rotate( $x$ ):  $x$ 's right child  $y$  becomes parent of  $x$ ;  $x$  becomes  $y$ 's left child;  $y$ 's left subtree becomes  $x$ 's right subtree
- Right-rotate( $x$ ): Mirror of left-rotate

• Time:  $O(1)$ , preserve BST property and black-height

**Insertion (4 Scenarios):** Time  $O(\log n)$ . Insert as red node, then fix violations.

1.  $z = \text{root}$ : Color  $z$  black
2.  $z.\text{uncle} = \text{red}$ : Recolor parent, uncle to black; grandparent to red; recurse on grandparent
3.  $z.\text{uncle} = \text{black}$ , triangle: Rotate parent opposite direction to make line case
4.  $z.\text{uncle} = \text{black}$ , line: Rotate grandparent, recolor original parent black, original grandparent red

**Deletion (3 Methods):** Time  $O(\log n)$ .

1. Transplant: Replace subtree rooted at  $u$  with subtree rooted at  $v$
2. Delete: Find node, use transplant, track color of removed node
3. Delete-Fixup: If removed was black, fix violations through 4 cases: sibling red, sibling black with black children, sibling black with red left child, sibling black with red right child

**When to use:** Guaranteed  $O(\log n)$  operations, frequent insertions/deletions, balanced tree needed.

# Left-Leaning Red-Black Trees (LLRB)

**Additional Properties:**

- Red links lean left (no right-leaning red links)
- No node has two red links
- Perfect black balance
- Corresponds to 2-3 tree: red link = 3-node
- 2-node in 2-3 tree = single black node in LLRB
- 3-node in 2-3 tree = black node with left red child in LLRB

**Insertion:** Time  $O(\log n)$ .

1. Insert as red node (like BST)
2. If right child red and left child black: left-rotate
3. If left child red and left-left grandchild red: right-rotate
4. If both children red: flip colors (node becomes red, children black)
5. Propagate fixes upward to root
6. Root always colored black at end

**Deletion via 2-3 Tree Method (BEST APPROACH):** Time  $O(\log n)$ .

1. **Conceptual approach:** Think in terms of 2-3 tree operations, then translate to LLRB
2. **Key insight:** Never let deletion path encounter a 2-node (would become empty)
3. **Going down (make 3-node or 4-node on path):**
  - If current node is 2-node: borrow from sibling or merge with sibling and parent key
  - Ensure current node becomes 3-node or 4-node before descending
4. **At bottom:** Delete from 3-node or 4-node (guaranteed safe)
5. **Going up:** Fix 4-nodes by splitting (color flip), restore LLRB properties
6. **LLRB translation:**
  - Move red left: ensure left path has red node (create temporary 4-node)
  - Move red right: ensure right path has red node
  - Fix-up on way back: rotate to eliminate right-leaning reds, split 4-nodes

**Why 2-3 deletion is best for LLRB:**

- Direct correspondence: LLRB is just 2-3 tree with specific encoding

- Fewer cases to handle than standard RB deletion
- More intuitive: work with 2-nodes and 3-nodes instead of complex color cases
- Guarantees we never delete from a 2-node (which would break tree)

**Search:** Same as BST, ignore colors. Time  $O(\log n)$ .

# 2-3 Trees

**Properties:**

- 2-node: 1 key, 2 children
- 3-node: 2 keys, 3 children
- All leaves at same level
- Perfect balance
- Height:  $h = \lfloor \log_3 n \rfloor$  to  $\lfloor \log_2 n \rfloor$

**Relation to RB Trees:**

- 2-node  $\leftrightarrow$  black node
- 3-node  $\leftrightarrow$  black node with red child
- LLRB enforces left-leaning representation
- Standard RB can have red on either side

**Insertion:** Time  $O(\log n)$ .

1. Search to find correct leaf position
2. Insert into leaf node
3. If creates 4-node (3 keys): split into two 2-nodes, promote middle key
4. Propagate splits up if parent also becomes 4-node
5. If root splits, tree height increases by 1

**Deletion (THE KEY TO LLRB DELETION):** Time  $O(\log n)$ .

1. **Case 1 - Delete from 3-node or 4-node leaf:** Simply remove key
2. **Case 2 - Delete from 2-node leaf:** Cannot directly remove (would be empty)
  - If sibling is 3-node: borrow key (transfer through parent)
  - If sibling is 2-node: merge with sibling and parent key to form 3-node
  - May propagate merge up to parent
3. **Case 3 - Delete from internal node:**
  - Replace with predecessor (max of left subtree) or successor
  - Recursively delete predecessor/successor from leaf
  - Handle as leaf deletion case
4. **Key invariant:** Never let a 2-node become empty during deletion
5. **Strategy going down:** Convert 2-nodes to 3-nodes or 4-nodes on path to deletion point

**When to use:** Theoretical understanding of balanced trees, basis for RB trees.

# Graphs

**Representation:**

- **Adjacency List:** Array of lists. Space  $O(V + E)$ . Good for sparse graphs.
- **Adjacency Matrix:**  $V \times V$  matrix. Space  $O(V^2)$ . Good for dense graphs, quick edge lookup  $O(1)$ , transitive closure, shortest paths (Floyd-Warshall).

**BFS (Breadth-First Search):** Time  $O(V + E)$ .

1. Start at source  $s$ , mark visited
2. Use queue: enqueue  $s$
3. While queue not empty: dequeue  $v$ , enqueue all unvisited neighbors
4. Finds shortest path in unweighted graphs
5. Produces BFS tree with levels

**DFS (Depth-First Search):** Time  $O(V + E)$ .

1. Start at source, mark visited
2. Recursively visit unvisited neighbors
3. Use stack (explicit or recursion)
4. Produces DFS tree/forest
5. Edge classification: tree, back, forward, cross

**When to use BFS:** Shortest path (unweighted), level-order, min jumps.

**When to use DFS:** Topological sort, cycle detection, connectivity, SCCs.

# Directed Graphs (Digraphs)

**Strong Connectivity:** Every vertex reachable from every other vertex.

**Check Strong Connectivity:** Time  $O(V(V + E))$ .

- Run DFS/BFS from each vertex  $v$
- Check all  $V$  vertices visited in each traversal
- If all checks pass, graph is strongly connected

**Topological Ordering (Kahn's Algorithm):** Time  $O(V + E)$ . Only for DAGs.

1. Compute in-degree for all vertices
2. Add all 0 in-degree vertices to set  $S$
3. While  $S$  not empty: remove vertex  $v$ , add to ordering, decrease in-degree of neighbors, add any that reach 0 to  $S$
4. If all vertices processed: valid topological order. Else: cycle exists.

**Transitive Closure:** Find all pairs  $(u, v)$  where path exists from  $u$  to  $v$ .

**Sedgewick & Wayne (Adjacency Matrix):** Time  $O(V(V + E))$ .

- Initialize: Copy adjacency matrix, set diagonal to all 1s (every vertex reachable from itself)
- Run DFS from each vertex to find all reachable vertices
- $TC[i][j] = 1$  if path exists from  $i$  to  $j$ , else 0
- Diagonal always 1s because every vertex can reach itself

**Goodrich & Tamassia (Adjacency Matrix):**

- Initialize: Copy adjacency matrix, diagonal entries are 0s unless self-loop exists
- Only set  $TC[i][i] = 1$  if explicit self-loop edge  $(i, i)$  exists in graph
- Otherwise same as Sedgewick & Wayne

**Warshall's Algorithm:** Dynamic programming on adjacency matrix. Time  $O(V^3)$ .

- Initialize:  $TC(0)[i][j] = \text{adjacency matrix}$
- Triple nested loop: for  $k = 1$  to  $V$ , for  $i = 1$  to  $V$ , for  $j = 1$  to  $V$
- $TC^{(k)}[i][j] = TC^{(k-1)}[i][j] \vee (TC^{(k-1)}[i][k] \wedge TC^{(k-1)}[k][j])$
- Meaning: path  $i \rightarrow j$  exists if already existed OR can go  $i \rightarrow k \rightarrow j$
- Consider paths through intermediate vertices  $\{1, \dots, k\}$
- **Diagonal:**  $TC[i][i] = 1$  if self-loop OR cycle containing vertex  $i$

**Why 2-3 deletion is best for LLRB:**

- Direct correspondence: LLRB is just 2-3 tree with specific encoding

**Floyd-Warshall (All-Pairs Shortest Path):** Time  $O(V^3)$ . Allows negative edges.

**Initialization:**

- Set diagonal:  $dist[i][i] = 0$  for all  $i$  (distance to self is 0)
  - For each edge  $(i, j)$ :  $dist[i][j] = w(i, j)$  (direct edge weight)
  - For non-adjacent vertices:  $dist[i][j] = \infty$  (no direct path)
- Algorithm (Triple Nested Loop):**
1. For  $k = 1$  to  $V$ : (consider vertex  $k$  as intermediate)
  2. For  $i = 1$  to  $V$ : (for each start vertex)
  3. For  $j = 1$  to  $V$ : (for each end vertex)
  4. If  $dist[i][j] > dist[i][k] + dist[k][j]$ :
  5.  $dist[i][j] = dist[i][k] + dist[k][j]$
- Explanation:** For every start-end through intermediate  $k$ , check if route  $i \rightarrow k \rightarrow j$  is shorter than direct  $i \rightarrow j$

**Key Points:**

- After  $k$  iterations:  $dist[i][j]$  = shortest path from  $i$  to  $j$  using vertices  $\{1, \dots, k\}$  as intermediates
- After all  $V$  iterations:  $dist[i][j]$  = shortest path from  $i$  to  $j$  overall
- Detect negative cycles: if  $dist[i][i] < 0$  for any  $i$
- Can reconstruct paths by tracking predecessor matrix  $prev[i][j]$

## Strongly Connected Components (SCCs)

**Kosaraju's Algorithm:** Time  $O(V + E)$ .

1. **Phase 1:** Run DFS on  $G$ , push vertices to stack  $L$  in post-order (after visiting all neighbors)

2. **Phase 2:** Reverse all edges to get  $G^R$

3. While stack  $L$  not empty: pop vertex  $v$ , if unvisited in  $G^R$ , run DFS from  $v$  in  $G^R$ . All visited vertices form one SCC

**Why it works:** Phase 1 orders by finish time. Phase 2 finds SCCs in reverse topological order of SCC DAG.

**When to use:** Find SCCs, simplify graph, analyze connectivity structure.

## Shortest Path Algorithms

**Dijkstra's Algorithm:** Time  $O((V + E) \log V)$  with min-heap. Non-negative edges only.

1. Initialize  $dist[s] = 0$ ,  $dist[v] = \infty$  for  $v \neq s$
2. Add all vertices to priority queue (min-heap by distance)
3. While queue not empty: extract  $u$  with min  $dist[u]$
4. For each neighbor  $v$  of  $u$ : if  $dist[u] + w(u, v) < dist[v]$ , update  $dist[v]$  and  $prev[v]$ , decrease key in heap
5. **Greedy:** Always picks closest unvisited vertex

**Restrictions:** No negative edge weights. Will fail with negative edges.

**When to use:** Single-source shortest path, non-negative weights, GPS/routing.

**Bellman-Ford Algorithm:** Time  $O(VE)$ . Handles negative edges, detects negative cycles.

1. Initialize  $dist[s] = 0$ ,  $dist[v] = \infty$  for  $v \neq s$
2. Repeat  $V - 1$  times: for each edge  $(u, v)$ , if  $dist[u] + w(u, v) < dist[v]$ , update  $dist[v]$  and  $prev[v]$  (relaxation)
3. Check for negative cycle: for each edge  $(u, v)$ , if  $dist[u] + w(u, v) < dist[v]$ , negative cycle exists

**Why  $V - 1$  iterations:** Longest simple path has  $\leq V - 1$  edges. Each iteration finds shortest paths with one more edge.

**Negative cycle detection:** If relaxation possible after  $V - 1$  iterations, cycle exists.

**When to use:** Negative edges present, detect negative cycles, smaller graphs.

## Minimum Spanning Tree (MST)

**Properties:**

- Spanning tree: connects all vertices, acyclic,  $|E| = |V| - 1$
- MST: spanning tree with minimum total edge weight
- For  $V$  vertices: exactly  $V - 1$  edges in MST

**Cut Property:** For any cut  $(S, V - S)$ , min-weight edge crossing cut is in some MST.

**Cycle Property:** For any cycle, max-weight edge in cycle is not in any MST (if weights distinct).

**Prim's Algorithm (Cut Property):** Time  $O((V + E) \log V)$  with min-heap.

1. Start with arbitrary vertex  $s$ , add to MST set  $S$
2. Initialize priority queue with all edges from  $s$
3. While  $|S| < V$ : extract min-weight edge  $(u, v)$  crossing cut  $(S, V - S)$
4. Add  $v$  to  $S$ , add edge to MST
5. Add all edges from  $v$  to unvisited vertices to queue
6. **Greedy:** Grows single tree by adding min-weight edge to tree

**When to use:** Dense graphs, need to grow from specific vertex, network design.

**Kruskal's Algorithm (Cycle Property):** Time  $O(E \log E)$  or  $O(E \log V)$ .

1. Sort all edges by weight
2. Initialize union-find with each vertex in own set
3. For each edge  $(u, v)$  in sorted order:
  - If  $u$  and  $v$  in different sets: add edge to MST, union sets
  - Else: skip edge (would create cycle)

4. Stop when  $V - 1$  edges added

5. **Greedy:** Adds min-weight edge that doesn't create cycle

**When to use:** Sparse graphs, edges already sorted, clustering problems.

**MST Uniqueness:** MST is unique if all edge weights are distinct. With duplicate weights, multiple MSTs may exist.

## Key Bounds & Formulas

**Graph Handshaking Lemma:**  $\sum_{v \in V} \deg(v) = 2|E|$

**Number of edges in graph:** Undirected:  $|E| \leq \frac{V(V-1)}{2}$ ; Directed:  $|E| \leq V(V - 1)$

**Euler Path:** Exists iff graph connected and exactly 0 or 2 odd-degree vertices.

**Euler Circuit:** Exists iff graph connected and all vertices have even degree.

**Hamiltonian Path/Cycle:** No simple test exists (NP-complete problem).

**Tree Properties:**  $|E| = |V| - 1$ ; unique path between any two vertices; removing any edge disconnects tree; adding any edge creates exactly one cycle.

**Complete Graph  $K_n$ :**  $|E| = \frac{V(V-1)}{2}$  (undirected),  $|E| = V(V - 1)$  (directed).

**DAG Properties:** Has topological ordering; no back edges in DFS; at least one source (0 in-degree) and one sink (0 out-degree).

**Bipartite Graph:** No odd-length cycles; 2-colorable; can check with BFS/DFS.

**Graph Density:**  $d = \frac{2|E|}{|V|(|V|-1)}$  for undirected; sparse if  $|E| = O(|V|)$ , dense if  $|E| = \Theta(|V|^2)$ .

**Binary Tree Properties:**

- Max nodes at level  $i$ :  $2^i$  (root at level 0)

- Total nodes in complete tree of height  $h$ :  $2^{h+1} - 1$

- Leaves in full binary tree:  $\frac{n+1}{2}$  where  $n$  = total nodes

- Height vs nodes:  $h = \lceil \log_2 n \rceil$  (complete tree)

**B-tree  $t = 2$  (2-3-4 tree):** Each node has 1-3 keys, 2-4 children.

**Black-height relation:**  $2^{bh(x)} - 1 \leq n(x)$  where  $n(x)$  is # nodes in subtree.

**RB tree height:**  $h(n) \leq 2 \log_2(n + 1)$ , so  $n \geq 2^{h/2} - 1$ .

**Path relaxation:**  $dist[v] = \min(dist[v], dist[u] + w(u, v))$  (Dijkstra, Bellman-Ford).

**Union-Find (with path compression + union by rank):** Nearly  $O(\alpha(n))$  per operation where  $\alpha$  is inverse Ackermann (effectively constant).

**DFS Properties:** Discovery time  $d[v]$  and finish time  $f[v]$ ;  $d[u] < d[v] < f[v] < f[u]$  means  $v$  is descendant of  $u$ .

**Connected Components:** Undirected graph: run DFS/BFS, count # of times started from unvisited vertex.

## Algorithm Selection

**Search:** Small  $\rightarrow$  BST; Large/disk  $\rightarrow$  B-tree; Balance guarantee  $\rightarrow$  RB. **Shortest Path:** Unweighted  $\rightarrow$  BFS; Weighted non-neg  $\rightarrow$  Dijkstra; Negative edges  $\rightarrow$  Bellman-Ford; All pairs  $\rightarrow$  Floyd-Warshall. **Connectivity:** Check connected  $\rightarrow$  DFS/BFS; Find SCCs  $\rightarrow$  Kosaraju; Find path  $\rightarrow$  DFS/BFS. **MST:** Dense  $\rightarrow$  Prim's; Sparse  $\rightarrow$  Kruskal's; Edges sorted  $\rightarrow$  Kruskal's.

## Common Exam Patterns

**BST Deletion:** Leaf  $\rightarrow$  remove; 1 child  $\rightarrow$  replace; 2 children  $\rightarrow$  use successor/predecessor. **B-tree Ops:** Split at  $2t - 1$  keys; merge/borrow at  $< t - 1$ . **RB Insert:** Red insert, uncle color  $\rightarrow$  case. **LLRB:** Fix right-red  $\rightarrow$  left-left-red  $\rightarrow$  both-red bottom-up.

**Graph:** BFS=queue (level), DFS=stack (depth). **Topo Sort:** Remove 0 in-degree iteratively. **Kosaraju:** Reverse edges phase 2, pop from stack. **Dijkstra:** Pick min unvisited; fails with negative. **Bellman-Ford:** Relax all edges  $V - 1$  times; extra iteration  $\rightarrow$  negative cycle. **MST Check:** Cut property (min edge crossing in MST) or cycle property (max in cycle not in MST). **MST Update:** Weight  $\downarrow$  in MST = no change; weight  $\uparrow$  in MST = remove, reconnect with min crossing; edge not in MST = add (cycle), remove max in cycle if new lighter.