Recurrence Relations

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Need of Recursive Relations

 The following shows the recursive and iterative versions of the factorial function:

```
Recursive version
int factorial (int n)

{

if (n == 0)
    return 1;
    else
        return n * factorial (n-1);
}

Recursive Call
```

```
Footerial(n)

T(n) = T(n-1) + 3 \text{ if } n > 0

T(n) = 1

T(n) = T(n-1) + 3

= T(n-2) + 6

= T(n-3) + 9
= T(n-k) + 3k

T(n) = T(n) + 3k
```

Recurrence Relations (1/2)

- A recurrence relation is an equation which is defined in terms of itself with smaller value.
- Why are recurrences good things?
 - Many natural functions are easily expressed as recurrences:

```
• a_n = a_{n-1} + 1, a_1 = 1 --> a_n = n (polynomial)
• a_n = 2a_{n-1}, a_1 = 1 --> a_n = 2^n (exponential)
• a_n = na_{n-1}, a_1 = 1 --> a_n = n! (weird function)
```

 It is often easy to find a recurrence as the solution of a counting problem

Recurrence Relations (2/2)

- In both, we have general and boundary conditions, with the general condition breaking the problem into smaller and smaller pieces.
- The initial or boundary condition terminate the recursion.

Recurrence Equations

 A recurrence equation defines a function, say T(n). The function is defined recursively, that is, the function T(.) appear in its definition. (recall recursive function call). The recurrence equation should have a base case.

For example:

for convenient, we sometime write the recurrence equation as:

$$T(n) = T(n-1)+T(n-2)$$

 $T(0) = T(1) = 1$.

Recurrence Examples

$$s(n) = \begin{cases} 0 & n=0 \\ c+s(n-1) & n>0 \end{cases}$$

$$s(n) = \begin{cases} 0 & n=0\\ n+s(n-1) & n>0 \end{cases}$$

$$T(n) = \begin{cases} c & n = 1 \\ 2T\left(\frac{n}{2}\right) + c & n > 1 \end{cases}$$

$$T(n) = \begin{cases} c & n=1 \\ 2T\left(\frac{n}{2}\right) + c & n>1 \end{cases}$$

$$T(n) = \begin{cases} c & n=1 \\ aT\left(\frac{n}{b}\right) + cn & n>1 \end{cases}$$

Methods for Solving Recurrences

Iteration method (Backward Substitution Method

Substitution method

Recursion tree method

Master method

Simplications:

- There are two simplications we apply that won't affect asymptotic analysis
 - Ignore floors and ceilings (justification in text)
 - Assume base cases are constant, i.e., T(n) = Θ(1) for n small enough

Iteration Method (Backward Substitution)

- Expand the recurrence
- Work some algebra to express as a summation
- · Evaluate the summation

Iteration Method

```
T(n) = c + T(n/2)
 T(n) = c + T(n/2)
                             T(n/2) = c + T(n/4)
       = c + c + T(n/4)
                             T(n/4) = c + T(n/8)
       = c + c + c + T(n/8)
Assume n = 2k k=log_n
 T(n) = c + c + ... + c + T(1)
        = clogimesT(1)
        = Θ(lgn)
```

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$$s(n) = \begin{cases} 0 & n=0 \\ c+s(n-1) & n>0 \end{cases}$$

Iteration Method

```
Example: T(n) = 4T(n/2) + n
  T(n) = 4T(n/2) + n /**T(n/2)=4T(n/4)+n/2
        = 4(4T(n/4)+n/2) + n /**simplify**/
        = 16T(n/4) + 2n + n /**T(n/4)=4T(n/8)+n/4
        = 16(4T(n/8+n/4)) + 2n + n /**simplify**/
        = 64(T(n/8) + 4n + 2n + n
         = 4^{\log n} T(1) + ... + 4n + 2n + n /** #levels = \log n **/
        = c4^{\log n} + n \sum_{i=0}^{\log n-1} 2^{i}
                                      /** convert to summation**/
        =cn^{\log 4}+n(\frac{2^{\log n}-1}{2-1}) /** a^{\log b}=b^{\log a}**/
```

Solving Recurrences: Iteration (convert to summation) (cont.)

1.
$$T(n) = T(n-1) + n$$
 $n > 1$
 $T(n) = 1$ $n - 1$

Solution:

$$T(n) = T(n-1) + n$$

$$T(n-1) = T(n-2) + n - 1$$
(2)

Substituting (2) in (1) T(n) = T(n)

$$-2) + n - 1 + n$$
(3)

$$T(n-2) = T(n-3) + n-2$$
(4)

Substituting (4) in (3)

$$T(n) = T(n-3) + n - 2 + n - 1 + n \qquad(5)$$

General equation

$$T(n) = T(n-k) + (n-(k-1)) + n - (k-2) + n - (k-3) + n - 1 + n$$

....(6)

....(1)

$$T(1) = 1$$

$$n-k=1$$

$$k = n - 1$$
(7)

Substituting (7) in (6)

$$T(n) = T(1) + 2 + 3 + \dots n - 1 + n$$

$$=1+2+3+....+n$$

$$= n(n + 1)/2 T(n) = O(n^2)$$

2.
$$T(n) = T(n-1) + b$$
 $n > 1$
 $T(n) = 1$ $n = 1$

Solution:

$$T(n) = T(n-1) + b$$
(1)

$$T(n-1) = T(n-2) + b$$
(2)

Substituting (2) in (1)

$$T(n) = T(n-2) + b + b$$

$$T(n) = T(n-2) + 2b$$
(3)

$$T(n-2) = T(n-3) + b$$
(4)

$$T(n) = T(n-3) + 3b$$

General equation T(n)

$$= T(n-k) + k.b T(1)$$

$$= 1$$

$$n-k=1$$
 $k=n-1$

$$T(n) = T(1) + (n-1) b$$

$$= 1 + bn - b T(n) =$$

O(n)

3.
$$T(n) = 2 T(n-1) + b$$
 $n > 1$
 $T(n) = 1$ $n = 1$
Solution:
 $T(1) = 1$
 $T(2) = 2, T(1) + b$
 $= 2 + b$
 $= 2^{1} + b$
 $T(3) = 2T(2) + b$
 $= 2(2 + b) + b$
 $= 4 + 2b + b$
 $= 4 + 2b + b$
 $= 4 + 3b$
 $= 2^{2} + (2^{2} - 1)b T(4) = 2$
 $= 2(4 + 3b) + b$
 $= 8 + 7b$
 $= 2^{3} + (2^{3} - 1)b$
General equation
 $T(k) = 2^{k-1} + (2^{k-1} - 1)b$

$$T(n) = 2^{n-1} + (2^{n-1} - 1)b$$

$$T(n) = 2^{n-1}(b+1) - b$$

$$= 2^{n}(b+1)/2 - b \text{ Let } c$$

$$= (b+1)/2 \quad T(n) = c \cdot 2^{n}$$

$$- b$$

$$= O(2^{n})$$
4. $T(n) = T(n/2) + b \quad n > 1$

$$T(1) = 1 \quad n = 1$$

Solution:

$$T(n) = T(n/2) + b$$
(1)

$$T(n/2) = T(n/4) + b$$
(2)

Substituting (2) in (1)

$$T(n) = T(n/4) + b + b$$

= $T(n/4) + 2b$ (3)

$$T(n/4) = T(n/8) + b$$
(4)

Substituting (4) in (3)

$$T(n) = T(n/8) + 3b$$

$$= T(n/2^3) + 3b$$

General equation

$$T(n) = T(n/2^k) + kb$$

$$T(1) = 1$$

$$n/2^k = 1$$

$$2^k = n$$

$$K = \log n$$

Substituting (6) in (5)

$$T(n) = T(1) + b.\log n$$

$$= 1 + b \log n T(n) =$$

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....(5)

....(6)

The substitution method

1. Guess a solution

Use induction to prove that the solution works

Substitution method

- Guess a solution
 - T(n) = O(g(n))
 - Induction goal: apply the definition of the asymptotic notation
 - T(n) ≤ d g(n), for some d > 0 and n ≥ n₀
 - Induction hypothesis: T(k) ≤ d g(k) for all k < n
- Prove the induction goal
 - Use the induction hypothesis to find some values of the constants d and n₀ for which the induction goal holds

Example: Binary Search

$$T(n) = c + T(n/2)$$

- Guess: T(n) = O(lgn)
 - Induction goal: T(n) ≤ d lgn, for some d and n ≥ n₀
 - Induction hypothesis: T(n/2) ≤ d lg(n/2)
- Proof of induction goal:

$$T(n) = T(n/2) + c \le d \lg(n/2) + c$$

= d \lgn - d + c \le d \lgn
if: - d + c \le 0, d \ge c

Example 2

$$T(n) = T(n-1) + n$$

- Guess: T(n) = O(n²)
 - Induction goal: T(n) ≤ c n², for some c and n ≥ n₀
 - Induction hypothesis: T(k-1) ≤ c(k-1)² for all k < n
- Proof of induction goal:

T(n) = T(n-1) + n
$$\le$$
 c (n-1)² + n
= cn² - (2cn - c - n) \le cn²
if: 2cn - c - n \ge 0 \Leftrightarrow c \ge n/(2n-1) \Leftrightarrow c \ge 1/(2 - 1/n)

For n ≥ 1 ⇒ 2 - 1/n ≥ 1 ⇒ any c ≥ 1 will work

Example 3

$$T(n) = 2T(n/2) + n$$

- Guess: T(n) = O(nlgn)
 - Induction goal: T(n) ≤ cn Ign, for some c and n ≥ n₀
 - Induction hypothesis: T(n/2) ≤ cn/2 lg(n/2)
- Proof of induction goal:

$$T(n) = 2T(n/2) + n \le 2c (n/2) |g(n/2) + n$$

$$= cn |gn - cn + n \le cn |gn$$

$$if: -cn + n \le 0 \Rightarrow c \ge 1$$

Changing variables

$$T(n) = 2T(\sqrt{n}) + Ign$$

• Rename: $m = Ign \Rightarrow n = 2^m$

$$T(2^m) = 2T(2^{m/2}) + m$$

Rename: S(m) = T(2^m)

$$S(m) = 2S(m/2) + m \Rightarrow S(m) = O(mlgm)$$

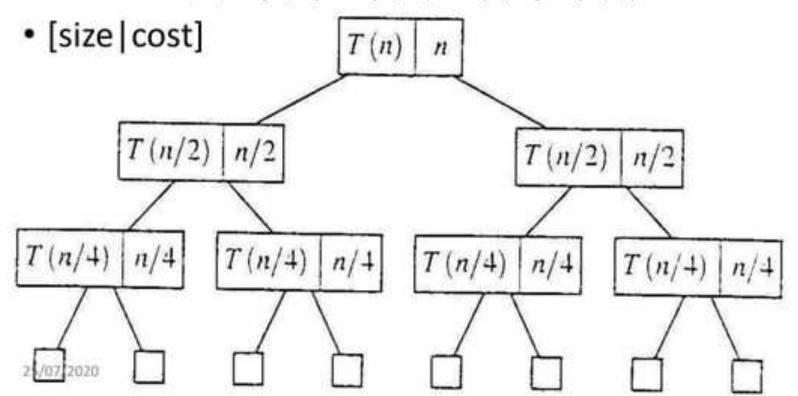
(demonstrated before)

$$T(n) = T(2^m) = S(m) = O(mlgm) = O(lgnlglgn)$$

Idea: transform the recurrence to one that you have seen before

Recursion Tree

- Evaluate: T(n) = T(n/2) + T(n/2) + n
 - Work copy: T(k) = T(k/2) + T(k/2) + k
 - For k=n/2, T(n/2) = T(n/4) + T(n/4) + (n/2)



Recursion-tree method

- A recursion tree models the costs (time) of a recursive execution of an algorithm.
- The recursion tree method is good for generating guesses for the substitution method.
- The recursion-tree method can be unreliable.
- The recursion-tree method promotes intuition, however.

Recursion Tree

- To evaluate the total cost of the recursion tree
 Sum all the non-recursive costs of all nodes
 = Sum (rowSum(cost of all nodes at the same depth))
- Determine the maximum depth of the recursion tree:

```
For our example, at tree depth d
the size parameter is n/(2^d)
the size parameter converging to base case, i.e. case 1
such that, n/(2^d) = 1,
d = \lg(n)
The row Sum for each row is n
```

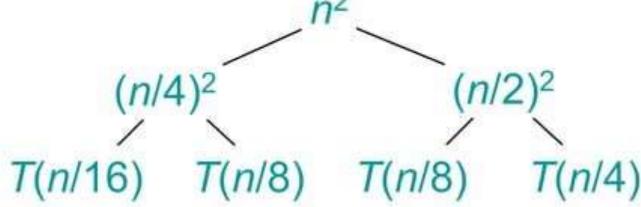
Therefore, the total cost, T(n) = n lg(n)

Solve
$$T(n) = T(n/4) + T(n/2) + n^2$$
:

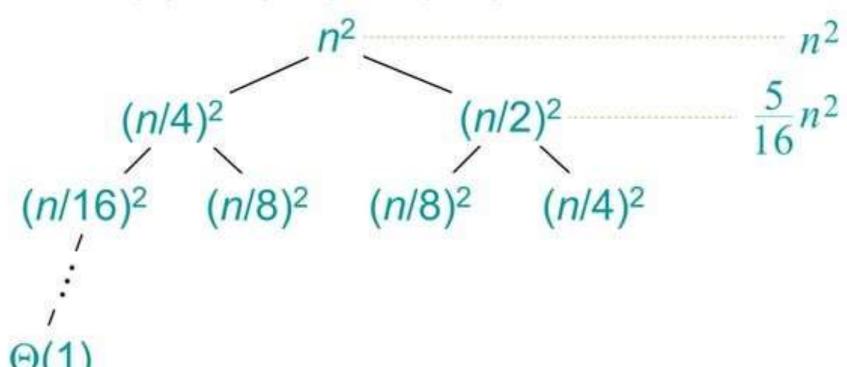
Solve
$$T(n) = T(n/4) + T(n/2) + n^2$$
:
 $T(n)$

Solve
$$T(n) = T(n/4) + T(n/2) + n^2$$
:
$$T(n/4) \qquad T(n/2)$$

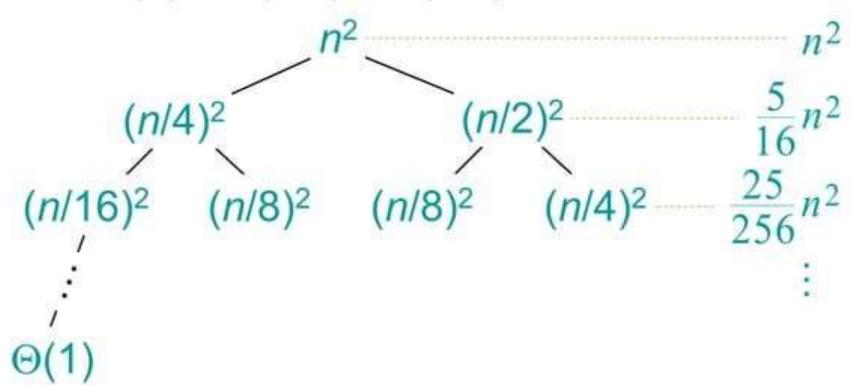
Solve
$$T(n) = T(n/4) + T(n/2) + n^2$$
:



Solve
$$T(n) = T(n/4) + T(n/2) + n^2$$
:



Solve
$$T(n) = T(n/4) + T(n/2) + n^2$$
:



Solve
$$T(n) = T(n/4) + T(n/2) + n^2$$
:

$$(n/4)^{2} \qquad (n/2)^{2} \qquad \frac{5}{16}n^{2}$$

$$(n/16)^{2} \qquad (n/8)^{2} \qquad (n/4)^{2} \qquad \frac{25}{256}n^{2}$$

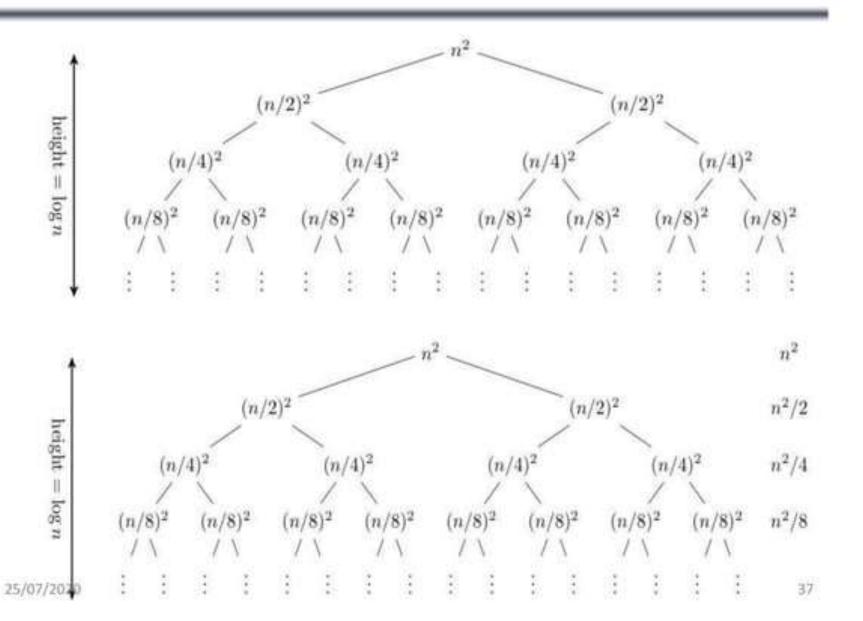
$$\vdots \qquad \vdots \qquad \vdots$$

$$\Theta(1) \qquad \text{Total} = n^{2} \left(1 + \frac{5}{16} + \left(\frac{5}{16}\right)^{2} + \left(\frac{5}{16}\right)^{3} + \cdots\right)$$

$$= \Theta(n^{2}) \quad \text{geometric series}$$

Example

$$T(n) = 2T(n/2) + n^2$$



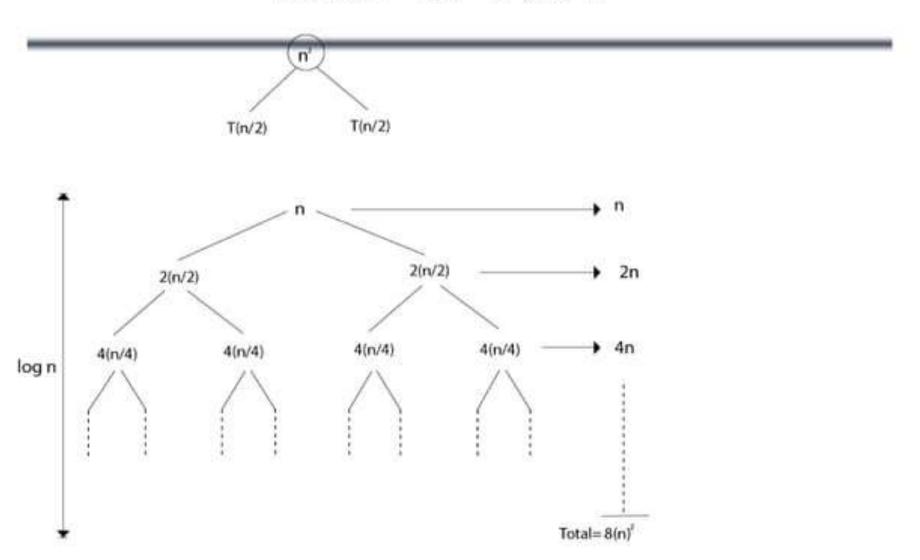
$$T(n) = n^{2} + \frac{n^{2}}{2} + \frac{n^{2}}{4} + \dots \log n \text{ times.}$$

$$\leq n^{2} \sum_{i=0}^{\infty} \left(\frac{1}{2^{i}}\right)$$

$$\leq n^{2} \left(\frac{1}{1-\frac{1}{2}}\right) \leq 2n^{2}$$

$$T(n) = \theta n^{2}$$

Example: T(n) = 4T(n/2)+n

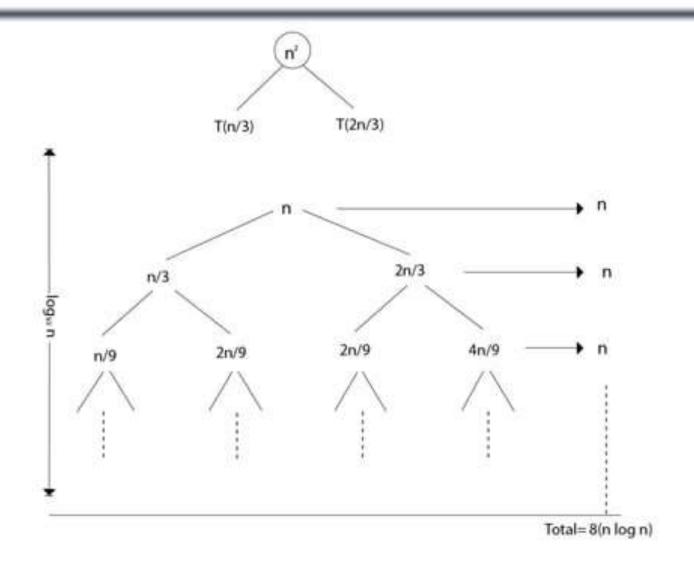


We have
$$n + 2n + 4n + \log_2 n$$
 times
$$= n (1 + 2 + 4 + \log_2 n \text{ times})$$

$$= n \frac{(2 \log_2 n - 1)}{(2 - 1)} = \frac{n(n - 1)}{1} = n^2 - n = \theta(n^2)$$

$$T(n) = \theta(n^2)$$

$$T(n) = T\left(\frac{n}{3}\right) + T\left(\frac{2n}{3}\right) + n$$



$$n \longrightarrow \frac{2}{3}n \longrightarrow \left(\frac{2}{3}\right)n \longrightarrow1$$

Since $\left(\frac{2}{3}\right)$ n=1 when i=log $\frac{3}{2}$ n.

Thus the height of the tree is $\log \frac{3}{2}$ n.

$$T(n) = n + n + n + \dots + \log \frac{3}{2} n \text{ times.} = \theta(n \log n)$$

Let k th steps, it moves up to n/3k

It moves until 1
The total sum up to kth step =kn

The Master Method

- Based on the Master theorem.
- "Cookbook" approach for solving recurrences of the form

```
T(n) = aT(n/b) + f(n)
```

- a ≥ 1, b > 1 are constants.
- f(n) is asymptotically positive.
- n/b may not be an integer, but we ignore floors and ceilings.
- Requires memorization of three cases.

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The Master Theorem

Theorem 4.1

```
Let a \ge 1 and b \ge 1 be constants, let f(n) be a function, and Let T(n) be defined on nonnegative integers by the recurrence T(n) = aT(n/b) + f(n), where we can replace n/b by \lfloor n/b \rfloor or \lceil n/b \rceil. T(n) can be bounded asymptotically in three cases:
```

- 1. If $f(n) = O(n^{\log_b a \epsilon})$ for some constant $\epsilon > 0$, then $T(n) = \Theta(n^{\log_b a})$.
- 2. If $f(n) = \Theta(n^{\log_b a})$, then $T(n) = \Theta(n^{\log_b a} | g | n)$.
- If f(n) = Ω(n^{log_ba+ε}) for some constant ε > 0, and if, for some constant c < 1 and all sufficiently large n, we have a·f(n/b) ≤ c f(n), then T(n) = Θ(f(n)).

- T(n) = 16T(n/4) + n
 - a = 16, b = 4, $n^{\log_b a} = n^{\log_4 16} = n^2$.
 - $f(n) = n = O(n^{\log_b a \varepsilon}) = O(n^{2-\varepsilon})$, where $\varepsilon = 1 \Rightarrow \text{Case 1}$.
 - Hence, $T(n) = \Theta(n^{\log_b a}) = \Theta(n^2)$.
- T(n) = T(3n/7) + 1
 - a = 1, b = 7/3, and $n^{\log_b a} = n^{\log_{7/3} 1} = n^0 = 1$
 - $f(n) = 1 = \Theta(n^{\log_b a}) \Rightarrow \text{Case 2}$.
 - Therefore, $T(n) = \Theta(n^{\log_b a} \lg n) = \Theta(\lg n)$

- $T(n) = 3T(n/4) + n \lg n$
 - a = 3, b=4, thus $n^{\log_b a} = n^{\log_4 3} = O(n^{0.793})$
 - $f(n) = n \lg n = \Omega(n^{\log_4 3 + \varepsilon})$ where $\varepsilon \approx 0.2 \Rightarrow \text{Case 3}$.
 - Therefore, $T(n) = \Theta(f(n)) = \Theta(n \lg n)$.
- $T(n) = 2T(n/2) + n \lg n$
 - a = 2, b=2, $f(n) = n \lg n$, and $n^{\log_b a} = n^{\log_2 2} = n$
 - f(n) is asymptotically larger than $n^{\log_b a}$, but not polynomially larger. The ratio $\log n$ is asymptotically less than n^{ε} for any positive ε . Thus, the Master Theorem doesn't apply here.

- T(n) = 9T(n/3) + n
 - a=9, b=3, f(n) = n
 - $n^{\log_{ba}} = n^{\log_{39}} = \Theta(n^2)$
 - Since f(n) = n, $f(n) < n^{\log ba}$
 - Case 1 applies:

$$T(n) = \begin{cases} \Theta(n^{\log_b a}) & f(n) = O(n^{\log_b a - \varepsilon}) \\ \Theta(n^{\log_b a} \log n) & f(n) = \Theta(n^{\log_b a}) \end{cases} \begin{cases} \varepsilon > 0 \\ c < 1 \end{cases}$$
$$\Theta(f(n)) & f(n) = \Omega(n^{\log_b a - \varepsilon}) \text{AND} \\ af(n/b) < cf(n) \text{ for large } n \end{cases}$$

$$T(n) = \Theta(n^{\log_b a})$$
 when $f(n) = O(n^{\log_b a - \varepsilon})$

• Thus the solution is $T(n) = \Theta(n^2)$

Problems on The Master Method

T(n) =
$$4T(n/2) + n^2$$

 $a = 4, b = 2, f(n)=n^2$
 $n^{\log_b a} = n^2$

- Since, $f(n)=n^2$
- Thus, $f(n) = n^{\log_b a}$

$$T(n) = \begin{cases} \Theta(n^{\log_b a}) & f(n) = O(n^{\log_b a - \varepsilon}) \\ \Theta(n^{\log_b a} \log n) & f(n) = \Theta(n^{\log_b a}) \end{cases} \begin{cases} \varepsilon > 0 \\ c < 1 \end{cases}$$

$$\Theta(f(n)) & f(n) = \Omega(n^{\log_b a + \varepsilon}) \text{AND}$$

$$af(n/b) < cf(n) \text{ for large } n \end{cases}$$

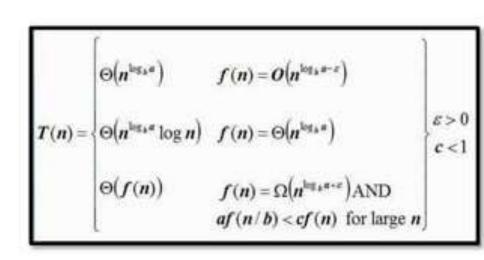
Case 2 applies:

$$f(n) = \Theta(n^2 \log n)$$

Thus the solution is $T(n) = \Theta(n^2 \log n)$.

- $Ex. T(n) = 4T(n/2) + n^3$
 - a = 4, b = 2, $f(n) = n^3 n^{\log_b a}$
 - $\bullet = n^2; f(n) = n^3.$

Sinee, $f(n)=n^3$ Thus, $f(n)>n^{\log ba}$



Case 3 applies:

$$f(n) = \Omega(n^3)$$

• and $4(n/2)^3 \le cn^3$ (regulatory condition) for c = 1/2.

$$T(n) = \Theta(n^3).$$

1.
$$T(n) = 4T(n/2) + n$$
 $n > 1$
 $T(n) = 1$ $n = 1$
From the above recurrence relation we obtain $a = 4$, $b = 2$, $c = 1$, $d = 1$, $f(n) = n$
 $log_b a = log_2 4 = log_2 2^2 = 2 log_2 2 = 2$
 $p^{log_2 a} = n^2$

```
f(n) = O(n^2)
n = O(n^2) It will fall in Case 1. So that
T(n) = \theta(n^2)
2. T(n) = 4T(n/2) + n^2  n > 1 T(n) = 1  n = 1
From the above recurrence relation we obtain a = 4, b = 2, c = 1, d = 1, f(n) =
n2
n^{\log a} = n^2
f(n) = \theta(n^2) n^2 = \theta(n^2)
It will fall in case 2.
T(n) = \theta(n^2 \log n)
3. T(n) = 4T(n/2) + n^3
                                     n > 1
   T(n) = 1
   From the above recurrence relation we obtain
   a = 4, b = 2, c = 1, d = 1, f(n) = n^3
   n^{\log n} = n^3
    f(n) = \Omega(n^{\log_h a + E)}
```

O Sub ratulin Recurrence Relation: - Eleuren Tru, 3 Marrow Ex: Cprogram. Void Test (Int m) -+(m) = } + (m-1)+1 2.1f (a>0) printf("xd" m) 2 Termin); stitution method the about recurrence relation. Recured on Tree Method T(m)= T(m-1)+1 Substitution of T(m-1) = T(m-2)+1 T(m) T(n-2) = T(n-3) +1 substitute T(n) = [T(n-3)]+3 Condinue for k Hissul T(m) = T(m-k)+k. \$150mm m-k=0 1. n=k Tan) = 7(m-n)+n 6001. = T(0)+ n 7(11) 25/07/2020 $=\Omega(n)$

Examples

Examples

```
Parklame on Marchine Substitution
     2) T(n)= ? 1 n=0
      21 T(n) = 7 1 N=1
      3. T(n) = { 2 n=1 n>1
      4. T(n) = 2 9 n=1
       5- T(n)= 5T(3) + Togn
       6. T(n) = 2 1 n=4
2T(sn)+logn n>4.
solution for T(n) = SI- === 4
 Substitution; meshod.
    T(11)= 2T(17)+ logn.
   T(n=)= 2.7(n=)+ hom
   て(かす)=2丁(かち)+!しりの
  BABER HUTE -
  T(n) = 23T ( n 39)+ 12 1010
       ==== (n
```

Some Common Recurrence Relation

Recurrence Relation	Complexity	Problem
T(n) = T(n/2) + c	O(logn)	Binary Search
T(n) = 2T(n-1) + c	O(2 ⁿ)	Tower of Hanoi
T(n) = T(n-1) + c	O(n)	Linear Search
T(n) = 2T(n/2) + n	O(nlogn)	Merge Sort
T(n) = T(n-1) + n	O(n²)	Selection Sort, Insertion Sort
T(n) = T(n-1)+T(n-2) + c	O(2 ⁿ)	Fibonacci Series