



Filing ID #10055599

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Ruben Gallego
Status: Member
State/District: AZ03

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2020
Filing Date: 08/12/2023

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
401k Riester Public Relations ⇒ American Funds AMCAP Fund R6 (RAFGX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
401k Riester Public Relations ⇒ American Funds New Perspective R6 Fund (RNPGX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
401k Riester Public Relations ⇒ Vanguard Mid-Cap Index Fund Admiral Shares (VIMAX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Aspiration Checking Account [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Aspiration Fund Adviser LLC [PS] DESCRIPTION: Investment in company		\$15,001 - \$50,000	None		<input type="checkbox"/>
Aspiration Redwood Fund [MF]		\$50,001 - \$100,000	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
Chase Bank Checking Account [BA]	SP	\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
City of Phoenix 401A ⇒ Vanguard Institutional Index Fund Institutional Plus Shares		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
[MF]					
National Association of Realtors 401K ⇒ T Rowe Price Retirement 2055 Fund (TRRNX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
National Association of Realtors Pension Plan [DB]	SP	Undetermined	None		<input type="checkbox"/>
PAC Common Founders Stock Series A [PS]		\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: PAC Common Founders is a startup business trying to create an online bank to serve the Latino community. It is located in Phoenix, Arizona.					
Strategies 360 401K ⇒ Vanguard Target Retirement 2045 Fund (VTIVX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS Simple IRA ⇒ JP Morgan Smart Retirement Fund 2055 (JFFCX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
United Services Auto Association Checking Account [BA]		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Aspiration Redwood Fund [MF]		06/9/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Aspiration Redwood Fund [MF]		06/9/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Aspiration Redwood Fund [MF]		05/18/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Aspiration Redwood Fund [MF]		02/20/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
National Association of Realtors	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Loan Depot	April 2020	Real Property Mortgage	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Chair, Board of Directors	Congressional Hispanic Caucus Institute

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2008	Myself and City of Phoenix	Pension that will provide benefit upon retirement.
March 2019	Spouse and National Association of Realtors	Pension that will provide benefit upon retirement.
August 2019	Myself and Aspirations Fund Adviser LLC	Invested personal funds in return for non-publicly traded shares.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none">401k Riester Public Relations DESCRIPTION: 401K from my work at Riester Public RelationsCity of Phoenix 401A DESCRIPTION: 401A account from my employment at the City of Phoenix.
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- National Association of Realtors 401K (Owner: SP)
- Strategies 360 401K
DESCRIPTION: 401k from my work at Strategies 360.
- UBS Simple IRA (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Ruben Gallego , 08/12/2023