



Filing ID #10047088

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Josh Gottheimer  
**Status:** Member  
**State/District:** NJ05

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2021  
**Filing Date:** 08/13/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
105 Heady Creek, LLC [OL]  LOCATION: Southhampton, NY, US DESCRIPTION: Real Estate	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
Ameritrade ⇒ Amazon.com, Inc. (AMZN) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Ameritrade ⇒ Ameritrade Cash [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Ameritrade ⇒ Ameritrade Cash [BA]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Ameritrade ⇒ Apple Inc. (AAPL) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Ameritrade ⇒ Apple Inc. (AAPL) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Ameritrade ⇒ Facebook, Inc. - Class A (FB) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameritrade ⇒ Ford Motor Company (F) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Ameritrade ⇒ Invesco QQQ (QQQ) [EF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Ameritrade ⇒ Invesco QQQ (QQQ) [EF]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Ameritrade ⇒ Microsoft Corporation (MSFT) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Ameritrade ⇒ SPDR Dow Jones Industrial Ave ETF Trust (DIA) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Ameritrade ⇒ SPDR Dow Jones Industrial Average Trust (DIA) [EF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Ameritrade ⇒ SPDR S&P 500 ETF (SPY) [EF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Ameritrade ⇒ SPDR S&P 500 ETF (SPY) [EF]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Asbury Advisors LLC ⇒ Wells Fargo Bank Accounts [BA]  DESCRIPTION: Checking & Savings		\$15,001 - \$50,000	None		<input type="checkbox"/>
Barclays - Savings Account [BA]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Columbia University- Voluntary Retirement Savings ⇒ Vanguard Target Retirement 2040 [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DC College Savings - Dependent # 1 ⇒ DC College Savings 2025 Portfolio [MF]	DC	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
DC College Savings - Dependent # 2 ⇒ DC College Savings 2028 Portfolio [MF]	DC	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
DC College Savings - Dependent # 2 ⇒ Intermediate Bond Fund (IBAFX) [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DC College Savings - Dependent # 2 ⇒	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
U.S. Large Cap Equity Portfolio [MF]					<input type="checkbox"/>
DC College Savings - Dependent # 2 ⇒ U.S. Small Cap Equity Portfolio [MF]	DC	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Fidelity - Inherited IRA ⇒ Fidelity Government Money Market (SPAXX) [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Fidelity Brokerage ⇒ Fidelity Cash [BA]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Fidelity Brokerage ⇒ Fidelity Government Money Market (SPAXX) [MF]		\$100,001 - \$250,000	None		<input type="checkbox"/>
Fidelity Brokerage ⇒ Microsoft Corporation (MSFT) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Fidelity Brokerage ⇒ Pimco Short Term Fund CL I3 (PTSNX) [MF]		\$1,000,001 - \$5,000,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Ford Interest Advantage [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
IG Acquisition Corp. - Unit (IGACU) [ST]	SP	\$100,001 - \$250,000	None		<input type="checkbox"/>
JPMorgan Chase Bank, N.A [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Lending Club [DO]		\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: San Francisco, CA					
Microsoft 401(k) ⇒ BTC LifePath [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Microsoft 401(k) ⇒ Vanguard Russell 1000 [EF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 1 ⇒ Amazon.com, Inc. (AMZN) [ST]		\$50,001 - \$100,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 1 ⇒ Blackstone Real Estate Income Trust, Inc. [RE]		\$250,001 - \$500,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Active Asset Account # 1 ⇒ Fidelity Asset Manager (FAMRX) [MF]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 1 ⇒ Ford Motor Company (F) [ST]  DESCRIPTION: Inheritance		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 1 ⇒ Invesco QQQ (QQQ) [EF]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 1 ⇒ iShares US Technology (IYW) [EF]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 1 ⇒ Microsoft Corporation (MSFT) [ST]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 1 ⇒ Morgan Stanley Bank N.A. # [BA]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 1 ⇒ SPDR S&P 500 ETF TR Unit Ser 1 (SPY) [EF]		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 1 ⇒ Valley National Bancorp (VLY) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 1 ⇒ Vanguard Index FDS Vanguard Total Stk Mkt ETF (VTI) [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Akamai Technologies, Inc. (AKAM) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Amazon.com, Inc. (AMZN) [ST]	JT	\$50,001 - \$100,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ AT&T Inc. (T) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Coty Inc. Class A (COTY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Dollar Tree, Inc. (DLTR) [ST]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Active Asset Account # 2 ⇒ Fidelity National Information Services, Inc. (FIS) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Home Depot, Inc. (HD) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ MarketAxess Holdings, Inc. (MKTX) [ST]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Martin Marietta Materials, Inc. (MLM) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Microsoft Corporation (MSFT) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Morgan Stanley Bank N.A. # [BA]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Pfizer, Inc. (PFE) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Thoma Bravo (Private Equity-EIF) [HE]  DESCRIPTION: Formerly reported as Proofpoint, Inc. (PFPT). Proofpoint, Inc, is a subsidiary of Thoma Bravo as of April 2021.	JT	None	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Tractor Supply Company (TSCO) [ST]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Under Armour, Inc. Class A (UAA) [ST]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Under Armour, Inc. Class C (UA) [ST]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Walgreens Boots Alliance, Inc. (WBA) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 3 ⇒ Dell Technologies Inc. Class V (DVMT) [ST]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 3 ⇒ Eli Lilly and Company (LLY) [ST]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 3 ⇒	JT	\$1 - \$1,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Goldman Sachs Emeg Mkts Debt I (GSDIX) [MF]					
Morgan Stanley - Active Asset Account # 3 ⇒ Goldman Sachs Group, Inc. (GS) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 3 ⇒ Goldman Sachs High Yld Instl (GSHIX) [MF]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 3 ⇒ Microsoft Corporation (MSFT) [ST]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 3 ⇒ Morgan Stanley Bank N.A. # [BA]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 3 ⇒ Vmware, Inc. Common stock, Class A (VMW) [ST]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Ally Financial Inc. (ALLY) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Apple Inc. (AAPL) [ST]	JT	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Blackrock Health Science Opp I (SHSSX) [MF]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Darden Restaurants, Inc. (DRI) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	\$100,001 - \$250,000	None		<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Global X Funds Global X U.S. Infrastructure Development ETF (PAVE) [EF]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Goldman Sachs Int Smcp Insh I (GICIX) [MF]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Invesco Developing Mkts Y (ODVYX) [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Invesco Dynamic Food & Beverage ETF (PBJ) [EF]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Portfolio Management ⇒ Invesco Dynamic Leisure and Entertainment ETF (PEJ) [EF]	JT	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF]	JT	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ iShares Russell 2000 ETF (IWM) [EF]	JT	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ iShares US Energy ETF (IYE) [MF]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [ST]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Morgan Stanley Bank N.A. # [BA]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Performance Food Group Company (PFGC) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ SPDR S&P 500 (SPY) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Synchrony Financial (SYF) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ United Airlines Holdings, Inc. (UAL) [ST]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Vanguard Index FD S&P 500 ETF (VOO) [MF]	JT	\$1,000,001 - \$5,000,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Vanguard Total Stock Market ETF (VTI) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ WisdomTree Europe Hedged Equity Fund (HEDJ) [ST]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [ST]	JT	\$5,000,001 - \$25,000,000	Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP] DESCRIPTION: Call options; Strike Price \$145; Expires 9/16/2022	JT	\$1,000,001 - \$5,000,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP] DESCRIPTION: Call options; Strike Price \$150; Expires 9/16/2022	JT	\$5,000,001 - \$25,000,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP] DESCRIPTION: Call options; Strike Price \$160; Expires 9/16/2022	JT	\$500,001 - \$1,000,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Morgan Stanley Bank N.A. # [BA]	JT	\$50,001 - \$100,000	None		<input type="checkbox"/>
Morgan Stanley - Roth IRA ⇒ American Amcap A (AMCPX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Roth IRA ⇒ American Balanced A (ABALX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Roth IRA ⇒ American Bd Fd of America A (ABNDX) [MF]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Roth IRA ⇒ American Inv Co of Amer A (AIVSX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Roth IRA ⇒ American New Perspective A (ANWPX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Roth IRA ⇒ American Smallcap World A (SMCWX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Roth IRA ⇒ American Wa Mutual A (AWSHX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Roth IRA Spouse ⇒ American Cap Inc Builder A (CAIBX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Roth IRA Spouse ⇒ American Gr Fd of America A (AGTHX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>



Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Roth IRA Spouse ⇒ American Inv Co of Amer A (AIVSX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ ABIOMED, Inc. (ABMD) [ST]  DESCRIPTION: 2020 purchase	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ adidas AG Sponsored ADR (ADDYY) [ST]	JT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Advanced Micro Devices, Inc. (AMD) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Adyen N V Un-sponsored ADR (ADYFY) [OT]  DESCRIPTION: Stock	JT	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Agree Realty Corporation (ADC) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ AIA Group, Ltd. Sponsored American Depository Receipt (AAGFY) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Air Products and Chemicals, Inc. (APD) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Alamo Group, Inc. (ALG) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Alcon Inc. Ordinary Shares (ALC) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Alfa-Laval Ab Un-sponsored ADR (ALFVY) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Align Technology, Inc. (ALGN) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Allegiant Travel Company (ALGT) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Allianz SE ADS (AZSEY) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

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Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Altria Group, Inc. (MO) [ST]	JT	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Ambev S.A. American Depositary Shares (ABEV) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Ameris Bancorp (ABCB) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ AMERISAFE, Inc. (AMSF) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Amphenol Corporation (APH) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ ASML Holding N.V. - New York Registry Shares (ASML) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Atlas Copco Ab Sponsored ADR repstg Class A (ATLKY) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ AtriCure, Inc. (ATRC) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ AutoZone, Inc. (AZO) [ST]	JT	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Avient Corporation (AVNT) [ST] DESCRIPTION: 2020 purchases below \$1,000	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Azenta, Inc. (AZTA) [ST] DESCRIPTION: Name change, previously reported as Brooks Automation (BRKS)	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Balchem Corporation (BCPC) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Banco Bilbao Vizcaya Argentaria S.A. (BBVA) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ BHP Group Limited American Depositary Shares (BHP) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Biogen Inc. (BIIB) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ BlackRock, Inc. (BLK) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Block, Inc. Class A Common Stock, (SQ) [ST] DESCRIPTION: Name change - previously listed as Square, Inc. Class A (SQ)	JT	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Booking Holdings Inc. (BKNG) [ST]	JT	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Boot Barn Holdings, Inc. (BOOT) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Brooks Automation, Inc. (BRKS) [ST] DESCRIPTION: Name change to Azenta Life Sciences (AZTA)	JT	None	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ CACI International, Inc. Class A (CACI) [ST]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Canadian National Railway Company (CNI) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Carvana Co. Class A (CVNA) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Castle Biosciences, Inc. - Common stock (CSTL) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Cathay General Bancorp (CATY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Central Garden & Pet Company (CENT) [ST]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Central Garden & Pet Company - Class A Common Stock Nonvoting (CENTA) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Cerence Inc. (CRNC) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Charles Schwab Corporation (SCHW) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Check Point Software Technologies Ltd. - Ordinary Shares (CHKP) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ ChemoCentryx, Inc. (CCXI) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Chevron Corporation (CVX) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Chugai Pharmaceutical Ltd Unsponsored ADR (CHGCY) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Churchill Downs, Incorporated (CHDN) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Chuy's Holdings, Inc. (CHUY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Cincinnati Financial Corporation (CINF) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Cisco Systems, Inc. (CSCO) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Coca-Cola Company (KO) [ST]	JT	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Cohen & Steers Inc (CNS) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Compass Minerals Intl Inc (CMP) [ST]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ CoStar Group, Inc. (CSGP) [ST]	JT	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Covetrus, Inc. (CVET) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Crown Castle International Corp. (CCI) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ CryoLife, Inc. (CRY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ CryoPort, Inc. (CYRX) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Dassault Systemes, S.A. American Depositary Shares (DASTY) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Dbs Group Holdings Ltd Ord American Depositary Shares (DBSDY) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Deere & Company (DE) [ST]	JT	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Devon Energy Corporation (DVN) [ST]	JT	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ DexCom, Inc. (DXCM) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Diageo plc (DEO) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Dominion Energy, Inc. (D) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Endava plc American Depositary Shares (DAVA) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ ENN Energy Holdings Ltd Unsponsored ADR (XNGSY) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Enphase Energy, Inc. (ENPH) [ST]	JT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Epiroc Aktiebolag ADR (EPOKY) [OT]  DESCRIPTION: ADR Stock	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Equifax, Inc. (EFX) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Equinix, Inc. (EQIX) [ST]	JT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Estee Lauder Companies, Inc. (EL) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Etsy, Inc. (ETSY) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Exact Sciences Corporation (EXAS) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Fanuc Corporation Unsponsored ADR (FANUY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Fastenal Company (FAST) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Five Below, Inc. (FIVE) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Fomento Economico Mexicano S.A.B. de C.V. (FMX) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Franklin Resources, Inc. (BEN) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Freeport-McMoRan, Inc. (FCX) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Fuchs Petrolub SE Un-sponsored American Depository ReceiptRepresenting Preferred Shares (FUPBY) [ST]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Glacier Bancorp, Inc. (GBCI) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Globus Medical, Inc. Class A (GMED) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Grand Canyon Education, Inc. (LOPE) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Haemonetics Corporation (HAE) [ST]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Haier Smart Home Co Ltd ADR (HSHCY) [OT]  DESCRIPTION: ADR Stock	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Halozyme Therapeutics, Inc. (HALO) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ HCA Healthcare, Inc. (HCA) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ HDFC Bank Limited (HDB) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ HealthEquity, Inc. (HQY) [ST]	JT	\$1 - \$1,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Heartland Express, Inc. (HTLD) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Helen of Troy Limited (HELE) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Helios Technologies, Inc. (HLIO) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Home Depot, Inc. (HD) [ST]	JT	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Horace Mann Educators Corporation (HMN) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Houlihan Lokey, Inc. Class A (HLI) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ HubSpot, Inc. (HUBS) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ ICF International, Inc. (ICFI) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ ICICI Bank Limited (IBN) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ ICU Medical, Inc. (ICUI) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ IDACORP, Inc. (IDA) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Independent Bank Corp. (INDB) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Infineon Technologies AG (IFNNY) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Integra LifeSciences Holdings Corporation (IART) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Intel Corporation (INTC) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Intra-Cellular Therapies Inc. (ITCI) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Intuit Inc. (INTU) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Intuitive Surgical, Inc. (ISRG) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>



Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 2000 ETF (IWM) [EF]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Johnson & Johnson (JNJ) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Komatsu Ltd Ord American Depositary Shares (KMTUY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Kubota Corporation (KUBTY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ L'Air Liquide Ord American Depositary Shares (AIQUY) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Lam Research Corporation (LRCX) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ LHC Group - common stock (LHCG) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Linde plc Ordinary Share (LIN) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Lithia Motors, Inc. (LAD) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Lonza Group Ag Zuerich Unsponsored ADR (LZAGY) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ L'Oreal Co. American Depositary Shares (LRLCY) [ST]	JT	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Lowe's Companies, Inc. (LOW) [ST]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Lukoil Co Sponsored ADR (LUKOY) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ lululemon athletica inc. (LULU) [ST]	JT	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ MACOM Technology Solutions Holdings, Inc. (MTSI) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Magnolia Oil & Gas Corporation Class A (MGY) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ MarketAxess Holdings, Inc. (MKTX) [ST]	JT	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Mastercard Incorporated (MA) [ST]	JT	None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Matador Resources Company (MTDR) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Match Group, Inc. (MTCH) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ McKesson Corporation (MCK) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Medpace Holdings, Inc. (MEDP) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ MercadoLibre, Inc. (MELI) [ST]	JT	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Meta Platforms, Inc. - Class A (FB) [ST] DESCRIPTION: Formerly known as Facebook, Inc. - Class A	JT	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Microsoft Corporation (MSFT) [ST]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Minerals Technologies Inc. (MTX) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒	JT	\$1,001 - \$15,000	Capital Gains	\$2,501 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Moderna, Inc. (MRNA) [ST]				\$5,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ MongoDB, Inc. - Class A (MDB) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Monster Beverage Corporation (MNST) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Morgan Stanley (MS) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Morgan Stanley Bank N.A. # [BA]	JT	\$50,001 - \$100,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ MSCI Inc (MSCI) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ National Health Investors, Inc. (NHI) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Netflix, Inc. (NFLX) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Nintendo Co Ltd ADR (NTDOY) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Nitori Holdings Co. Ltd ADR (NCLTY) [OT]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: ADR stock					
Morgan Stanley - Select UMA Account # 1 ⇒ Norfolk Southern Corporation (NSC) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ NorthWestern Corporation (NWE) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Novanta Inc. - Common Shares (NOVT) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Novozymes A/S Un-sponsored American Depositary Receipt (NVZMY) [ST]					
Morgan Stanley - Select UMA Account # 1 ⇒ NVIDIA Corporation (NVDA) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ OceanFirst Financial Corp. (OCFC) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Ollie's Bargain Outlet Holdings, Inc. (OLLI) [ST]	JT	\$1 - \$1,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Open Lending Corporation - Class A (LPRO) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Oracle Corporation (ORCL) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Oxford Industries, Inc. (OXM) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Pacific Premier Bancorp Inc (PPBI) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Patrick Industries, Inc. (PATK) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Paychex, Inc. (PAYX) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Paycor HCM, Inc. (PYCR) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Paylocity Holding Corporation (PCTY) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Performance Food Group Company (PFGC) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Philip Morris International Inc (PM) [ST]	JT	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Phreesia, Inc. (PHR) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Ping An Insurance (PNGAY) [ST]	JT	\$1 - \$1,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Primoris Services Corporation (PRIM) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Progressive Corporation (PGR) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Progyny, Inc. (PGNY) [ST] DESCRIPTION: Purchases less than \$1,000	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Proofpoint, Inc. (PFPT) [ST]	JT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ PT Telekomunikasi Indonesia, Tbk (TLK) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ QTS Realty Trust, Inc. Class A (QTS) [ST]	JT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ QUALCOMM Incorporated (QCOM) [ST]	JT	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Rapid7, Inc. (RPD) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ RBC Bearings Incorporated (ROLL) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Regeneron Pharmaceuticals, Inc. (REGN) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Revolve Group, Inc. Class A (RVLV) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Rio Tinto Plc (RIO) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Ritchie Bros. Auctioneers Incorporated (RBA) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Roche Holdings AG Basel American Depositary Shares (RHHBY) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Rockwell Automation, Inc. (ROK) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Rogers Corporation (ROG) [ST]	JT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Roku, Inc. - Class A (ROKU) [ST]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Roper Technologies, Inc. (ROP) [ST]	JT	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Royal Dutch Shell PLC Royal Dutch Shell PLC American Depositary Shares (RDS.B) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Ryman Hospitality Properties, Inc. (RHP) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ S&P Global Inc. (SPGI) [ST]	JT	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Salesforce.com Inc (CRM) [ST]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ SAP SE ADS (SAP) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Schneider Electric SE Unponsored ADR (SBGSY) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Seacoast Banking Corporation of Florida (SBCF) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Seagen Inc. (SGEN) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ ServiceNow, Inc. (NOW) [ST]	JT	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ SGS SA ADR (SGSOY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Sherwin-Williams Company (SHW) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Shionogi & Co Ltd Un-sponsored ADR (SGIOY) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Shopify Inc. Class A Subordinate Voting Shares (SHOP) [ST]	JT	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Silgan Holdings Inc. (SLGN) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Silicon Laboratories, Inc. (SLAB) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ SiteOne Landscape Supply, Inc. (SITE) [ST]	JT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Skyline Champion Corporation (SKY) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Snap Inc. Class A (SNAP) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Sonova Holding AG Un-sponsored ADR (SONVY) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ SPX Corporation (SPXC) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ SS&C Technologies Holdings, Inc. (SSNC) [ST]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Stag Industrial, Inc. (STAG) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Stifel Financial Corporation (SF) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Supernus Pharmaceuticals, Inc. (SUPN) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ SVB Financial Group (SIVB) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Symrise Ag Unsponsored ADR (SYIEY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Syneos Health, Inc. - Class A (SYNH) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Synopsys, Inc. (SNPS) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Sysmex Corporation Unsponsored ADR (SSMX) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Tapestry, Inc. (TPR) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Target Corporation (TGT) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Tencent Holdings Limited Unsponsored ADR Representing B Shares (TCEHY) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Terex Corporation (TEX) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Texas Instruments Incorporated (TXN) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Texas Roadhouse, Inc. (TXRH) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>



Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ The Blackstone Group Inc. (BX) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ The Descartes Systems Group Inc. (DSGX) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ The Shyft Group, Inc. (SHYF) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ The Trade Desk, Inc. - Class A (TTD) [ST]	JT	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Thermo Fisher Scientific Inc (TMO) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Trane Technologies plc (TT) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Unicharm Corp Sponsored ADR (UNICY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Unilever PLC (UL) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ United Parcel Service, Inc. (UPS) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Universal Forest Products, Inc. (UFPI) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ US Ecology, Inc. (ECOL) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index FD (VEU) [EF]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Veeva Systems Inc. Class A (VEEV) [ST]	JT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Veracyte, Inc. (VCYT) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Verisk Analytics, Inc. (VRSK) [ST]					<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Verizon Communications Inc. (VZ) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Viavi Solutions Inc. (VIAV) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Wayfair Inc. Class A (W) [ST]	JT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ WillScot Mobile Mini Holdings Corp. - Class A (WSC) [ST]	JT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Wolverine World Wide, Inc. (WWW) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Workday, Inc. - Class A (WDAY) [ST]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ XP Inc. - Class A (XP) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Yandex N.V. - Class A Ordinary Shares (YNDX) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - SEP / Traditional IRA ⇒ American Cap Inc Builder A (CAIBX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - SEP / Traditional IRA ⇒ American Gr Fd of America A (AGTHX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - SEP / Traditional IRA ⇒ American SmallCap World A (SMCWX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - SEP / Traditional IRA ⇒ American Wa Mutual A (AWSHX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - SEP / Traditional IRA ⇒ Morgan Stanley Bank N.A. # [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional Inherited IRA ⇒ ACAP Strategic Interval Common (XCAPX) [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Traditional Inherited IRA ⇒ Morgan Stanley Bank N.A. # [BA]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ iShares MSCI EAFE ETF (EFA) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Morgan Stanley Bank N.A. # [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Russell Inv Emerging Markets S (REMSX) [MF]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Russell Inv Glb Infrastrctre S (RGISX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Russell Inv Glb Opprnstc Crd S (RGCSX) [MF]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Russell Inv Glb Real Est Sec S (RRESX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Russell Inv Global Equity S (RGESX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Russell Inv Intl Dev Mkts S (RINTX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Russell Inv US Small Cap Eq S (RLESX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Russell Inv US Strat Eq S (RSESX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ SPDR S&P 500 (SPY) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
QPG Ambassador Investors LLC [OL]		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
LOCATION: New York, NY, US DESCRIPTION: Real Estate					
Synchrony Bank [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
TriNet 401(k) Plan - Tusk Strategies LLC ⇒	SP	\$50,001 -	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Fidelity 500 Index [MF]		\$100,000			<input type="checkbox"/>
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ Oakmark International Ret Acct [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ Schwab Small Cap Index [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ SSgA Emerging Markets Index Ret Acct [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ SSgA S&P Mid Cap Index Ret Acct [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ TA Vanguard Instl Trg Re 2040 [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Tusk Venture Partners Advisors, LLC [OL]  LOCATION: New York, NY, US DESCRIPTION: Technology company		\$1 - \$1,000	None		<input type="checkbox"/>
Tusk Venture Partners I GP, LLC [OL]  LOCATION: New York, NY, US DESCRIPTION: Technology company		\$250,001 - \$500,000	Partnership Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
Tusk Venture Partners II GP, LLC [OL]  LOCATION: New York, NY, US DESCRIPTION: Technology company		\$1,001 - \$15,000	None		<input type="checkbox"/>
Tusk Venture Partners III GP LLC [OL]  LOCATION: New York, NY, US DESCRIPTION: Technology company	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Vanguard SEP IRA ⇒ Target Retirement 2040 [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Wealthfront ⇒ Alphabet Inc. - Class A (GOOGL) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wealthfront ⇒ Apple Inc. (AAPL) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Wealthfront ⇒ Bank of America Corporation (BAC) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wealthfront ⇒ Facebook, Inc. - Class A (FB) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wealthfront ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wealthfront ⇒ Johnson & Johnson (JNJ) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wealthfront ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wealthfront ⇒ Schwab International Equity ETF (SCHF) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Wealthfront ⇒ Vanguard Extended Market ETF (VXF) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Wealthfront ⇒ Vanguard FTSE Developed Markets ETF (VEA) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wealthfront ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Wealthfront ⇒ Vanguard Mun DB FD Inc Tax Exempt Bond (VTEB) [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wealthfront ⇒ Vanguard S&P 500 ETF (VOO) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wealthfront ⇒ Wealthfront Risk Parity Fund (WFRPX) [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Wells Fargo - SEP IRA ⇒ Fidelity 500 Index Fund (FXAIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Share class exchange, formerly Fidelity 500 Index Investor Class (FUSEX)					
Wells Fargo - SEP IRA ⇒ Fidelity Asset Manager (FAMRX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo - SEP IRA ⇒		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Fidelity Diversified International (FDIVX) [MF]					<input type="checkbox"/>
Wells Fargo - SEP IRA ⇒ Morgan Stanley - Bank Deposit [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo - SEP IRA ⇒ SPDR S&P 500 ETF TR Unit Ser 1 (SPY) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo Bank Accounts [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Checking & Savings					
WPP - Vanguard - The Savings & Investment Plan ⇒ AmerFunds Euro Pacific GR R6 [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
WPP - Vanguard - The Savings & Investment Plan ⇒ T Rowe Intl Small Cap Stock [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
WPP - Vanguard - The Savings & Investment Plan ⇒ T Rowe Price Inc Mid Cap Eq GR [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
WPP - Vanguard - The Savings & Investment Plan ⇒ Vanguard Target Retirement 2045 TR [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
WPP plc American Depositary Shares (WPP) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
105 Heady Creek, LLC [OL]	SP	01/1/2021	P	\$50,001 - \$100,000	
LOCATION: Southhamptons, NY, US DESCRIPTION: Real Estate					
Fidelity Brokerage ⇒ Pimco Short Term Fund CL I3 (PTSNX) [MF]		12/14/2021	S (partial)	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
Fidelity Brokerage ⇒ Pimco Short Term Fund CL I3 (PTSNX) [MF]		01/4/2021	S (partial)	\$500,001 - \$1,000,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Fidelity Brokerage ⇒ Pimco Short Term Fund CL I3 (PTSNX) [MF]		01/25/2021	P	\$100,001 - \$250,000	
Fidelity Brokerage ⇒ Pimco Short Term Fund CL I3 (PTSNX) [MF]		01/27/2021	P	\$100,001 - \$250,000	
Fidelity Brokerage ⇒ Pimco Short Term Fund CL I3 (PTSNX) [MF]		06/3/2021	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Morgan Stanley - Active Asset Account # 1 ⇒ Blackstone Real Estate Income Trust, Inc. [RE]		12/29/2021	P	\$250,001 - \$500,000	
Morgan Stanley - Active Asset Account # 2 ⇒ Thoma Bravo (Private Equity - EIF) [HE]	JT	09/1/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Formerly reported as Proofpoint, Inc. (PFPT). Proofpoint, Inc, is a subsidiary of Thoma Bravo as of April 2021.					
Morgan Stanley - Portfolio Management ⇒ Ally Financial Inc. (ALLY) [ST]	JT	02/5/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Portfolio Management ⇒ Darden Restaurants, Inc. (DRI) [ST]	JT	02/5/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Portfolio Management ⇒ Global X Funds Global X U.S. Infrastructure Development ETF (PAVE) [EF]	JT	01/14/2021	P	\$15,001 - \$50,000	
Morgan Stanley - Portfolio Management ⇒ Global X Funds Global X U.S. Infrastructure Development ETF (PAVE) [EF]	JT	01/27/2021	P	\$15,001 - \$50,000	
Morgan Stanley - Portfolio Management ⇒ Invesco Developing Mkts Y (ODVYX) [MF]	JT	01/14/2021	P	\$15,001 - \$50,000	
Morgan Stanley - Portfolio Management ⇒ Invesco Developing Mkts Y (ODVYX) [MF]	JT	01/27/2021	P	\$15,001 - \$50,000	
Morgan Stanley - Portfolio Management ⇒ Invesco Dynamic Food & Beverage ETF (PBJ) [EF]	JT	02/5/2021	P	\$15,001 - \$50,000	
Morgan Stanley - Portfolio Management ⇒ Invesco Dynamic Leisure and Entertainment ETF (PEJ) [EF]	JT	02/5/2021	P	\$50,001 - \$100,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Portfolio Management ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF]	JT	01/14/2021	P	\$15,001 - \$50,000	
Morgan Stanley - Portfolio Management ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF]	JT	01/27/2021	P	\$15,001 - \$50,000	
Morgan Stanley - Portfolio Management ⇒ iShares Russell 2000 ETF (IWM) [EF]	JT	01/14/2021	P	\$15,001 - \$50,000	
Morgan Stanley - Portfolio Management ⇒ iShares Russell 2000 ETF (IWM) [EF]	JT	01/27/2021	P	\$15,001 - \$50,000	
Morgan Stanley - Portfolio Management ⇒ Performance Food Group Company (PFGC) [ST]	JT	02/5/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Portfolio Management ⇒ Synchrony Financial (SYF) [ST]	JT	02/5/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [ST]	JT	02/12/2021	P	\$15,001 - \$50,000	
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price \$145; Expires 07/16/2021.	JT	02/12/2021	S	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price \$160; Expires 06/18/2021.	JT	02/12/2021	S	\$250,001 - \$500,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price \$160; Expires 06/18/2021.	JT	02/12/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price \$150; Expires 06/18/2021.	JT	02/12/2021	S	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP]	JT	02/12/2021	P	\$1,000,001 - \$5,000,000	



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: Call options; Strike Price \$150; Expires 03/19/2021.					
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP]	JT	02/12/2021	P	\$1,000,001 - \$5,000,000	
DESCRIPTION: Call options; Strike Price \$145; Expires 03/19/2021.					
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP]	JT	02/12/2021	P	\$250,001 - \$500,000	
DESCRIPTION: Call options; Strike Price \$160; Expires 02/19/2021.					
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [ST]	JT	02/12/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [ST]	JT	05/18/2021	P	\$15,001 - \$50,000	
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [ST]	JT	05/18/2021	P	\$100,001 - \$250,000	
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP]	JT	05/18/2021	S	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
DESCRIPTION: Call options; Strike Price \$150; Expires 10/15/2021					
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP]	JT	05/18/2021	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
DESCRIPTION: Call options; Strike Price \$145; Expires 10/15/2021					
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP]	JT	05/18/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Call options; Strike Price \$150; Expires 11/19/2021					
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP]	JT	05/18/2021	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
DESCRIPTION: Call options; Strike Price \$150; Expires 11/19/2021					
Morgan Stanley - Portfolio Management Active Assets Account ⇒	JT	05/18/2021	P	\$1,000,001 - \$5,000,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price \$145; Expires 7/16/2021					
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price \$160; Expires 06/18/2021	JT	05/18/2021	P	\$250,001 - \$500,000	
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price \$150; Expires 06/18/2021	JT	05/18/2021	P	\$1,000,001 - \$5,000,000	
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price \$160; Expires 9/17/2021	JT	05/18/2021	S	\$250,001 - \$500,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price \$150; Expires 9/17/2021	JT	05/18/2021	S	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [ST]	JT	05/18/2021	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [ST]	JT	06/22/2021	P	\$50,001 - \$100,000	
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price \$150; Expires 9/17/2021	JT	06/22/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price \$150	JT	06/22/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price \$150; Expires 10/15/21	JT	08/16/2021	P	\$1,000,001 - \$5,000,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price \$145; Expires 10/15/21	JT	08/16/2021	P	\$500,001 - \$1,000,000	
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price \$150; Expires 11/19/21	JT	08/16/2021	P	\$500,001 - \$1,000,000	
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price \$150; Expires 3/18/22	JT	08/16/2021	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price \$150; Expires 3/18/22	JT	08/16/2021	S	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price \$150; Expires 3/18/22	JT	08/16/2021	S	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price \$145; Expires 3/18/22	JT	08/16/2021	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price \$160; Expires 1/21/22	JT	08/16/2021	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price \$160; Expires 9/17/21	JT	08/16/2021	P	\$500,001 - \$1,000,000	
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price \$150; Expires 9/17/21	JT	08/16/2021	P	\$1,000,001 - \$5,000,000	
Morgan Stanley - Portfolio Management Active Assets Account	JT	11/15/2021	P	\$5,000,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price \$150; Expires 3/18/2022				\$25,000,000	
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price \$145; Expires 3/18/2022	JT	11/15/2021	P	\$1,000,001 - \$5,000,000	
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price \$160; Expires 1/21/2022	JT	11/15/2021	P	\$500,001 - \$1,000,000	
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price \$160; Expires 9/16/2022	JT	11/15/2021	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price \$150; Expires 9/16/2022	JT	11/15/2021	S	\$5,000,001 - \$25,000,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price \$145; Expires 9/16/2022	JT	11/15/2021	S	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ adidas AG Sponsored ADR (ADDYY) [ST]	JT	03/5/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Advanced Micro Devices, Inc. (AMD) [ST]	JT	08/4/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Advanced Micro Devices, Inc. (AMD) [ST]	JT	10/5/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Adyen N V Unsponsored ADR (ADYEY) [OT] LOCATION: US DESCRIPTION: ADR Stock	JT	09/2/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Adyen N V Unsponsored ADR (ADYEY) [OT]	JT	09/1/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LOCATION: US					
DESCRIPTION: ADR Stock					
Morgan Stanley - Select UMA Account # 1 ⇒ Affirm Holdings, Inc. - Class A (AFRM) [ST]	JT	10/12/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Affirm Holdings, Inc. - Class A (AFRM) [ST]	JT	12/28/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Air Products and Chemicals, Inc. (APD) [ST]	JT	02/9/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Air Products and Chemicals, Inc. (APD) [ST]	JT	12/6/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Airbnb, Inc. - Class A (ABNB) [ST]	JT	02/16/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Airbnb, Inc. - Class A (ABNB) [ST]	JT	03/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]	JT	02/25/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]	JT	02/23/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]	JT	02/16/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]	JT	09/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]	JT	10/18/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]	JT	12/8/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 1 ⇒ Align Technology, Inc. (ALGN) [ST]	JT	03/15/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Allegiant Travel Company (ALGT) [ST]	JT	03/8/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Allianz SE ADR (ALIZY) [OT]  LOCATION: US DESCRIPTION: Publicly traded stock	JT	06/10/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Allianz SE ADR (ALIZY) [OT]  LOCATION: US DESCRIPTION: ADR Stock	JT	09/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Allianz SE ADR (ALIZY) [OT]  LOCATION: US DESCRIPTION: ADR Stock	JT	10/18/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	02/17/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	03/16/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	04/16/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	04/15/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	04/9/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	05/28/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	06/9/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	09/28/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	11/3/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Altria Group, Inc. (MO) [ST]	JT	01/11/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Altria Group, Inc. (MO) [ST]	JT	02/11/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	03/22/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	03/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	07/28/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	10/29/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	12/14/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ ASML Holding N.V. - New York Registry Shares (ASML) [ST]	JT	03/22/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ ASML Holding N.V. - New York Registry Shares (ASML) [ST]	JT	03/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ AtriCure, Inc. (ATRC) [ST]	JT	06/8/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ AutoZone, Inc. (AZO) [ST]	JT	11/10/2021	P	\$15,001 - \$50,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Azenta, Inc. (AZTA) [ST]	JT	12/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Azenta, Inc. (AZTA) [ST]	JT	12/3/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 1 ⇒ Balchem Corporation (BCPC) [ST]	JT	12/2/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Banco Bilbao Vizcaya Argentaria S.A. (BBVA) [ST]	JT	05/20/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	06/24/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ BHP Group Limited American Depositary Shares (BHP) [ST]	JT	03/8/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ BHP Group Limited American Depositary Shares (BHP) [ST]	JT	03/5/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ BHP Group Limited American Depositary Shares (BHP) [ST]	JT	09/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ BHP Group Limited American Depositary Shares (BHP) [ST]	JT	10/18/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Biogen Inc. (BIIB) [ST]	JT	06/8/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Biogen Inc. (BIIB) [ST]	JT	09/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Biogen Inc. (BIIB) [ST]	JT	10/18/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Biogen Inc. (BIIB) [ST]	JT	12/9/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ BioMarin Pharmaceutical Inc. (BMRN) [ST]	JT	10/18/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Blackstone Inc. (BX) [ST]	JT	10/12/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Booking Holdings Inc. (BKNG) [ST]	JT	02/22/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒	JT	08/4/2021	P	\$1,001 - \$15,000	



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Booking Holdings Inc. (BKNG) [ST]					
Morgan Stanley - Select UMA Account # 1 ⇒ Boot Barn Holdings, Inc. (BOOT) [ST]	JT	06/8/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Boston Beer Company, Inc. (SAM) [ST]	JT	02/16/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Boston Beer Company, Inc. (SAM) [ST]	JT	07/27/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Brooks Automation, Inc. (BRKS) [ST]	JT	01/5/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ CACI International, Inc. Class A (CACI) [ST]	JT	03/31/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Capital One Financial Corporation (COF) [ST]	JT	07/23/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Capital One Financial Corporation (COF) [ST]	JT	12/9/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Carvana Co. Class A (CVNA) [ST]	JT	03/12/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Carvana Co. Class A (CVNA) [ST]	JT	05/27/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Central Garden & Pet Company (CENT) [ST]	JT	04/8/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Charles Schwab Corporation (SCHW) [ST]	JT	02/9/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Charles Schwab Corporation (SCHW) [ST]	JT	03/17/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Charles Schwab Corporation (SCHW) [ST]	JT	09/1/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ ChemoCentryx, Inc. (CCXI) [ST]	JT	12/2/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 1 ⇒ Coca-Cola Company (KO) [ST]	JT	06/24/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Cognizant Technology Solutions Corporation - Class A (CTSH) [ST]	JT	06/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Cognizant Technology Solutions Corporation - Class A (CTSH) [ST]	JT	07/22/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Cognizant Technology Solutions Corporation - Class A (CTSH) [ST]	JT	07/16/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Compass Minerals Intl Inc (CMP) [ST]	JT	11/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ CoStar Group, Inc. (CSGP) [ST]	JT	02/5/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Covetrus, Inc. (CVET) [ST]	JT	09/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Covetrus, Inc. (CVET) [ST]	JT	10/18/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Cree, Inc. (CREE) [ST]	JT	02/23/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Cree, Inc. (CREE) [ST]	JT	09/1/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Crown Castle International Corp. (CCI) [ST]	JT	12/6/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Dassault Systemes, S.A. American Depositary Shares (DASTY) [ST]	JT	04/13/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Dassault Systemes, S.A. American Depositary Shares (DASTY) [ST]	JT	10/5/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 1 ⇒ Deere & Company (DE) [ST]	JT	01/4/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Deere & Company (DE) [ST]	JT	03/16/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Deere & Company (DE) [ST]	JT	03/15/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Deere & Company (DE) [ST]	JT	05/28/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Deere & Company (DE) [ST]	JT	10/22/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Deere & Company (DE) [ST]	JT	10/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Devon Energy Corporation (DVN) [ST]	JT	03/4/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Devon Energy Corporation (DVN) [ST]	JT	06/24/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Diageo plc (DEO) [ST]	JT	04/13/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Discovery, Inc. - Series A (DISCA) [ST]	JT	03/31/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Discovery, Inc. - Series A (DISCA) [ST]	JT	05/18/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Discovery, Inc. - Series A (DISCA) [ST]	JT	06/15/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Dominion Energy, Inc. (D) [ST]	JT	03/8/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Elastic N.V. Ordinary Shares (ESTC) [ST]	JT	02/25/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 1 ⇒ Elastic N.V. Ordinary Shares (ESTC) [ST]	JT	03/15/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Emergent Biosolutions, Inc. (EBS) [ST]	JT	02/23/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Emergent Biosolutions, Inc. (EBS) [ST]	JT	09/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Emergent Biosolutions, Inc. (EBS) [ST]	JT	10/18/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Emergent Biosolutions, Inc. (EBS) [ST]	JT	11/5/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Endava plc American Depositary Shares (DAVA) [ST]	JT	07/23/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Endava plc American Depositary Shares (DAVA) [ST]	JT	08/20/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Endava plc American Depositary Shares (DAVA) [ST]	JT	09/24/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Endava plc American Depositary Shares (DAVA) [ST]	JT	10/1/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ ENN Energy Holdings Ltd Unsponsored ADR (XNGSY) [ST]	JT	04/23/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ ENN Energy Holdings Ltd Unsponsored ADR (XNGSY) [ST]	JT	04/21/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ ENN Energy Holdings Ltd Unsponsored ADR (XNGSY) [ST]	JT	12/21/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Enphase Energy, Inc. (ENPH) [ST]	JT	03/22/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Enphase Energy, Inc. (ENPH) [ST]	JT	03/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒	JT	05/26/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Enphase Energy, Inc. (ENPH) [ST]					
Morgan Stanley - Select UMA Account # 1 ⇒ Equifax, Inc. (EFX) [ST]	JT	11/19/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Equinix, Inc. (EQIX) [ST]	JT	02/23/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Estee Lauder Companies, Inc. (EL) [ST]	JT	03/23/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Estee Lauder Companies, Inc. (EL) [ST]	JT	10/26/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Etsy, Inc. (ETSY) [ST]	JT	03/15/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Etsy, Inc. (ETSY) [ST]	JT	06/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Etsy, Inc. (ETSY) [ST]	JT	10/19/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Exact Sciences Corporation (EXAS) [ST]	JT	06/3/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	04/16/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	05/28/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ FedEx Corporation (FDX) [ST]	JT	01/4/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ FedEx Corporation (FDX) [ST]	JT	03/22/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ FedEx Corporation (FDX) [ST]	JT	09/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ FedEx Corporation (FDX) [ST]	JT	10/19/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 1 ⇒ FedEx Corporation (FDX) [ST]	JT	10/18/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Five Below, Inc. (FIVE) [ST]	JT	07/1/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Fomento Economico Mexicano S.A.B. de C.V. (FMX) [ST]	JT	01/11/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Freeport-McMoRan, Inc. (FCX) [ST]	JT	02/16/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Freeport-McMoRan, Inc. (FCX) [ST]	JT	03/15/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Grand Canyon Education, Inc. (LOPE) [ST]	JT	07/1/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ GrubHub Inc. (GRUB) [ST]	JT	02/12/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Haemonetics Corporation (HAE) [ST]	JT	01/25/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Haier Smart Home Co Ltd ADR (HSHCY) [OT] LOCATION: US DESCRIPTION: ADR Stock	JT	11/24/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Haier Smart Home Co Ltd ADR (HSHCY) [OT] LOCATION: US DESCRIPTION: ADR Stock	JT	11/19/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Halozyme Therapeutics, Inc. (HALO) [ST]	JT	08/20/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ HCA Healthcare, Inc. (HCA) [ST]	JT	01/4/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ HCA Healthcare, Inc. (HCA) [ST]	JT	03/16/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 1 ⇒ HealthEquity, Inc. (HQY) [ST]	JT	12/9/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Heartland Express, Inc. (HTLD) [ST]	JT	11/4/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Helios Technologies, Inc. (HLIO) [ST]	JT	11/3/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Home Depot, Inc. (HD) [ST]	JT	02/23/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Home Depot, Inc. (HD) [ST]	JT	02/9/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Home Depot, Inc. (HD) [ST]	JT	03/1/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ HubSpot, Inc. (HUBS) [ST]	JT	02/24/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Independent Bank Corp. (INDB) [ST]	JT	11/15/2021	E	\$1,001 - \$15,000	
DESCRIPTION: Received shares of Independent Bank Corp. (INDB) in exchange for Meridian Bancorp, Inc. (EBSB) as a result of merger.					
Morgan Stanley - Select UMA Account # 1 ⇒ Infineon Technologies AG (IFNNY) [ST]	JT	01/19/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Infineon Technologies AG (IFNNY) [ST]	JT	07/30/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Intra-Cellular Therapies Inc. (ITCI) [ST]	JT	12/21/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Intuit Inc. (INTU) [ST]	JT	09/29/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Intuit Inc. (INTU) [ST]	JT	10/19/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Intuitive Surgical, Inc. (ISRG) [ST]	JT	02/23/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	12/9/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	01/11/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	10/18/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	09/15/2021	P	\$15,001 - \$50,000	
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	12/9/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	12/28/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	03/16/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	06/15/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	09/15/2021	P	\$15,001 - \$50,000	
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	01/11/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	04/16/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	06/23/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	07/6/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	07/14/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒	JT	07/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
iShares Russell 1000 Growth (IWF) [EF]			(partial)		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	10/18/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 2000 ETF (IWM) [EF]	JT	12/9/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 2000 ETF (IWM) [EF]	JT	09/15/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 2000 ETF (IWM) [EF]	JT	10/18/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Itau Unibanco Banco Holding SA American Depositary Shares (ITUB) [ST]	JT	12/21/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Lam Research Corporation (LRCX) [ST]	JT	03/31/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Lam Research Corporation (LRCX) [ST]	JT	06/9/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Lam Research Corporation (LRCX) [ST]	JT	10/29/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Lam Research Corporation (LRCX) [ST]	JT	11/8/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ LHC Group - common stock (LHCG) [ST]	JT	11/4/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Linde plc Ordinary Share (LIN) [ST]	JT	02/3/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Lithia Motors, Inc. (LAD) [ST]	JT	04/9/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Lonza Group Ag Zuerich Unsponsored ADR (LZAGY) [ST]	JT	04/13/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒	JT	09/16/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
L'Oreal Co. American Depositary Shares (LRLCY) [ST]					
Morgan Stanley - Select UMA Account # 1 ⇒ Lowe's Companies, Inc. (LOW) [ST]	JT	07/9/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Lukoil Co Sponsored ADR (LUKOY) [ST]	JT	02/4/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ lululemon athletica inc. (LULU) [ST]	JT	02/9/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ lululemon athletica inc. (LULU) [ST]	JT	03/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ lululemon athletica inc. (LULU) [ST]	JT	04/15/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Magnolia Oil & Gas Corporation Class A (MGY) [ST]	JT	03/31/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Magnolia Oil & Gas Corporation Class A (MGY) [ST]	JT	03/4/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ MarketAxess Holdings, Inc. (MKTX) [ST]	JT	03/31/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ MarketAxess Holdings, Inc. (MKTX) [ST]	JT	03/17/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ MarketAxess Holdings, Inc. (MKTX) [ST]	JT	03/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ MarketAxess Holdings, Inc. (MKTX) [ST]	JT	11/12/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Mastercard Incorporated (MA) [ST]	JT	10/29/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Mastercard Incorporated (MA) [ST]	JT	11/2/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Matador Resources Company (MTDR) [ST]	JT	06/24/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 1 ⇒ Match Group, Inc. (MTCH) [ST]	JT	04/7/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Match Group, Inc. (MTCH) [ST]	JT	12/1/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ McKesson Corporation (MCK) [ST]	JT	03/23/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ McKesson Corporation (MCK) [ST]	JT	09/2/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ McKesson Corporation (MCK) [ST]	JT	10/28/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Medpace Holdings, Inc. (MEDP) [ST]	JT	10/26/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ MercadoLibre, Inc. (MELI) [ST]	JT	02/16/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ MercadoLibre, Inc. (MELI) [ST]	JT	03/16/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ MercadoLibre, Inc. (MELI) [ST]	JT	04/16/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ MercadoLibre, Inc. (MELI) [ST]	JT	09/20/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ MercadoLibre, Inc. (MELI) [ST]	JT	10/28/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Meta Platforms, Inc. - Class A (FB) [ST] DESCRIPTION: Formerly known as Facebook Inc. CL-A	JT	10/27/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Meta Platforms, Inc. - Class A (FB) [ST] DESCRIPTION: Formerly known as Facebook Inc. CL-A	JT	10/26/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒	JT	10/18/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Meta Platforms, Inc. - Class A (FB) [ST]			(partial)		<input type="checkbox"/>
DESCRIPTION: Formerly known as Facebook Inc. CL-A					
Morgan Stanley - Select UMA Account # 1 ⇒ Meta Platforms, Inc. - Class A (FB) [ST]	JT	12/29/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Meta Platforms, Inc. - Class A (FB) [ST]	JT	12/2/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Microsoft Corporation (MSFT) [ST]	JT	02/25/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Microsoft Corporation (MSFT) [ST]	JT	10/28/2021	P	\$15,001 - \$50,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Microsoft Corporation (MSFT) [ST]	JT	10/19/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Microsoft Corporation (MSFT) [ST]	JT	12/6/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Minerals Technologies Inc. (MTX) [ST]	JT	12/15/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Moderna, Inc. (MRNA) [ST]	JT	03/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Moderna, Inc. (MRNA) [ST]	JT	07/22/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ MongoDB, Inc. - Class A (MDB) [ST]	JT	02/25/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ MongoDB, Inc. - Class A (MDB) [ST]	JT	03/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ MongoDB, Inc. - Class A (MDB) [ST]	JT	09/13/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Morgan Stanley (MS) [ST]	JT	02/23/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒	JT	03/17/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley (MS) [ST]					
Morgan Stanley - Select UMA Account # 1 ⇒ Netflix, Inc. (NFLX) [ST]	JT	02/25/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Netflix, Inc. (NFLX) [ST]	JT	10/25/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Nintendo Co Ltd ADR (NTDOY) [ST]	JT	09/15/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Nintendo Co Ltd ADR (NTDOY) [ST]	JT	10/18/2021	P	\$15,001 - \$50,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Nitori Holdings Co. Ltd ADR (NCLTY) [OT] LOCATION: US DESCRIPTION: ADR Stock	JT	09/17/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Nitori Holdings Co. Ltd ADR (NCLTY) [OT] LOCATION: US DESCRIPTION: ADR stock	JT	12/9/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Norfolk Southern Corporation (NSC) [ST]	JT	03/23/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Norfolk Southern Corporation (NSC) [ST]	JT	08/5/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Norfolk Southern Corporation (NSC) [ST]	JT	09/1/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Norfolk Southern Corporation (NSC) [ST]	JT	11/2/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ NVIDIA Corporation (NVDA) [ST]	JT	03/17/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ NVIDIA Corporation (NVDA) [ST]	JT	03/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒	JT	07/28/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
NVIDIA Corporation (NVDA) [ST]			(partial)		
Morgan Stanley - Select UMA Account # 1 ⇒ NVIDIA Corporation (NVDA) [ST]	JT	10/29/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ NVIDIA Corporation (NVDA) [ST]	JT	10/5/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ OceanFirst Financial Corp. (OCFC) [ST]	JT	02/26/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Ollie's Bargain Outlet Holdings, Inc. (OLLI) [ST]	JT	02/25/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Ollie's Bargain Outlet Holdings, Inc. (OLLI) [ST]	JT	04/9/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Ollie's Bargain Outlet Holdings, Inc. (OLLI) [ST]	JT	12/9/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Open Lending Corporation - Class A (LPRO) [ST]	JT	04/5/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Oracle Corporation (ORCL) [ST]	JT	05/19/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Oracle Corporation (ORCL) [ST]	JT	07/23/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Paycor HCM, Inc. (PYCR) [ST]	JT	07/23/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Paycor HCM, Inc. (PYCR) [ST]	JT	10/13/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Paylocity Holding Corporation (PCTY) [ST]	JT	10/1/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	04/28/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	05/28/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 1 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	05/27/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	10/27/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	10/22/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	10/21/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	10/20/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	11/12/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	11/9/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	11/8/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	11/2/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Pharmaceutical GRP LTD (CSPCY) [OT] LOCATION: US DESCRIPTION: Publicly traded stock	JT	04/15/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Pharmaceutical GRP LTD (CSPCY) [OT] LOCATION: US DESCRIPTION: Publicly traded stock	JT	04/13/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Pharmaceutical GRP LTD (CSPCY) [OT] LOCATION: US DESCRIPTION: ADR Stock	JT	09/17/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 1 ⇒ Pharmaceutical GRP LTD (CSPCY) [OT]  LOCATION: US DESCRIPTION: ADR Stock	JT	12/9/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Philip Morris International Inc (PM) [ST]	JT	02/11/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Phreesia, Inc. (PHR) [ST]	JT	12/15/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Ping An Insurance (PNGAY) [ST]	JT	06/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Ping An Insurance (PNGAY) [ST]	JT	07/16/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Ping An Insurance (PNGAY) [ST]	JT	12/28/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Pinterest, Inc. Class A (PINS) [ST]	JT	07/13/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Pinterest, Inc. Class A (PINS) [ST]	JT	08/27/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Pinterest, Inc. Class A (PINS) [ST]	JT	08/2/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Progressive Corporation (PGR) [ST]	JT	06/24/2021	P	\$15,001 - \$50,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Proofpoint, Inc. (PFPT) [ST]	JT	02/26/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ PT Telekomunikasi Indonesia, Tbk (TLK) [ST]	JT	05/21/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ PT Telekomunikasi Indonesia, Tbk (TLK) [ST]	JT	05/20/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ QTS Realty Trust, Inc. Class A (QTS) [ST]	JT	07/23/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 1 ⇒ QUALCOMM Incorporated (QCOM) [ST]	JT	03/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ QUALCOMM Incorporated (QCOM) [ST]	JT	10/12/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ QUALCOMM Incorporated (QCOM) [ST]	JT	10/5/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ RBC Bearings Incorporated (ROLL) [ST]	JT	07/27/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Regeneron Pharmaceuticals, Inc. (REGN) [ST]	JT	01/11/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Regeneron Pharmaceuticals, Inc. (REGN) [ST]	JT	05/17/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Regeneron Pharmaceuticals, Inc. (REGN) [ST]	JT	07/1/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Revolve Group, Inc. Class A (RVLV) [ST]	JT	05/13/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Rockwell Automation, Inc. (ROK) [ST]	JT	07/30/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Rockwell Automation, Inc. (ROK) [ST]	JT	11/2/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Rogers Corporation (ROG) [ST]	JT	03/9/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Roku, Inc. - Class A (ROKU) [ST]	JT	03/15/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Roku, Inc. - Class A (ROKU) [ST]	JT	04/16/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Roper Technologies, Inc. (ROP) [ST]	JT	02/24/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 1 ⇒ S&P Global Inc. (SPGI) [ST]	JT	02/10/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ S&P Global Inc. (SPGI) [ST]	JT	03/11/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Salesforce.com Inc (CRM) [ST]	JT	01/11/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Salesforce.com Inc (CRM) [ST]	JT	02/12/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ SAP SE ADS (SAP) [ST]	JT	04/13/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ SAP SE ADS (SAP) [ST]	JT	04/12/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Seagen Inc. (SGEN) [ST]	JT	05/17/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ ServiceNow, Inc. (NOW) [ST]	JT	03/17/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Shionogi & Co Ltd Unsponsored ADR (SGIOY) [ST]	JT	04/14/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Shopify Inc. Class A Subordinate Voting Shares (SHOP) [ST]	JT	02/17/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Shopify Inc. Class A Subordinate Voting Shares (SHOP) [ST]	JT	03/16/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Silicon Laboratories, Inc. (SLAB) [ST]	JT	02/26/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ SiteOne Landscape Supply, Inc. (SITE) [ST]	JT	05/13/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ SiteOne Landscape Supply, Inc. (SITE) [ST]	JT	06/24/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒	JT	07/1/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Skyline Champion Corporation (SKY) [ST]			(partial)		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Skyline Champion Corporation (SKY) [ST]	JT	12/2/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Snap Inc. Class A (SNAP) [ST]	JT	03/31/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Snap Inc. Class A (SNAP) [ST]	JT	06/8/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Snap Inc. Class A (SNAP) [ST]	JT	07/20/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Snap Inc. Class A (SNAP) [ST]	JT	07/8/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Snap Inc. Class A (SNAP) [ST]	JT	12/9/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Sonova Holding AG Un-sponsored ADR (SONVY) [ST]	JT	09/17/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Sonova Holding AG Un-sponsored ADR (SONVY) [ST]	JT	09/16/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Spotify Technology S.A. Ordinary Shares (SPOT) [ST]	JT	04/7/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Spotify Technology S.A. Ordinary Shares (SPOT) [ST]	JT	07/22/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ SPX Corporation (SPXC) [ST]	JT	07/19/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ SPX Corporation (SPXC) [ST]	JT	09/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ SPX Corporation (SPXC) [ST]	JT	10/18/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Square, Inc. Class A (SQ) [ST]	JT	03/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 1 ⇒ SS&C Technologies Holdings, Inc. (SSNC) [ST]	JT	03/22/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ SS&C Technologies Holdings, Inc. (SSNC) [ST]	JT	09/21/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ SS&C Technologies Holdings, Inc. (SSNC) [ST]	JT	11/5/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Stifel Financial Corporation (SF) [ST]	JT	06/8/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ SVB Financial Group (SIVB) [ST]	JT	03/15/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ SVB Financial Group (SIVB) [ST]	JT	07/9/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Syneos Health, Inc. - Class A (SYNH) [ST]	JT	08/20/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Synopsys, Inc. (SNPS) [ST]	JT	03/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	JT	01/11/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	JT	02/3/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Tapestry, Inc. (TPR) [ST]	JT	02/16/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Tapestry, Inc. (TPR) [ST]	JT	03/17/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Tapestry, Inc. (TPR) [ST]	JT	07/27/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Tapestry, Inc. (TPR) [ST]	JT	11/2/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 1 ⇒ Tencent Holdings Limited Unsponsored ADR Representing B Shares (TCEHY) [ST]	JT	08/9/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Tencent Holdings Limited Unsponsored ADR Representing B Shares (TCEHY) [ST]	JT	12/28/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Terex Corporation (TEX) [ST]	JT	11/4/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	03/22/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	03/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	03/10/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	04/30/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	04/5/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	06/4/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	10/19/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Texas Instruments Incorporated (TXN) [ST]	JT	10/29/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ The Blackstone Group Inc. Class A (BX) [ST]	JT	02/23/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ The Blackstone Group Inc. Class A (BX) [ST]	JT	03/22/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒	JT	05/10/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
The Trade Desk, Inc. - Class A (TTD) [ST]					
Morgan Stanley - Select UMA Account # 1 ⇒ Trane Technologies plc (TT) [ST]	JT	05/12/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Trane Technologies plc (TT) [ST]	JT	07/28/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Trane Technologies plc (TT) [ST]	JT	09/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Trane Technologies plc (TT) [ST]	JT	09/1/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Trane Technologies plc (TT) [ST]	JT	10/18/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Twilio Inc. Class A (TWLO) [ST]	JT	02/24/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Twilio Inc. Class A (TWLO) [ST]	JT	03/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Twitter, Inc. (TWTR) [ST]	JT	03/16/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Twitter, Inc. (TWTR) [ST]	JT	04/30/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Twitter, Inc. (TWTR) [ST]	JT	07/20/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Twitter, Inc. (TWTR) [ST]	JT	09/13/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Uber Technologies, Inc. (UBER) [ST]	JT	02/16/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Uber Technologies, Inc. (UBER) [ST]	JT	09/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Uber Technologies, Inc. (UBER) [ST]	JT	10/28/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 1 ⇒ Uber Technologies, Inc. (UBER) [ST]	JT	10/18/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Uber Technologies, Inc. (UBER) [ST]	JT	11/30/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ UFP Industries, Inc. (UFPI) [ST]	JT	04/9/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index FD (VEU) [EF]	JT	12/9/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index FD (VEU) [EF]	JT	12/28/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index FD (VEU) [EF]	JT	06/15/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index FD (VEU) [EF]	JT	09/15/2021	P	\$15,001 - \$50,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index FD (VEU) [EF]	JT	01/11/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index FD (VEU) [EF]	JT	07/16/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index FD (VEU) [EF]	JT	10/18/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Veeva Systems Inc. Class A (VEEV) [ST]	JT	03/4/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Verisk Analytics, Inc. (VRSK) [ST]	JT	05/12/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Verizon Communications Inc. (VZ) [ST]	JT	12/9/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Wayfair Inc. Class A (W) [ST]	JT	03/12/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 1 ⇒ Wayfair Inc. Class A (W) [ST]	JT	08/2/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Wayfair Inc. Class A (W) [ST]	JT	09/29/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ WillScot Mobile Mini Holdings Corp. - Class A (WSC) [ST]	JT	02/22/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ WillScot Mobile Mini Holdings Corp. - Class A (WSC) [ST]	JT	02/8/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Workday, Inc. - Class A (WDAY) [ST]	JT	07/28/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ XP Inc. - Class A (XP) [ST]	JT	12/22/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ XP Inc. - Class A (XP) [ST]	JT	12/21/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Zillow Group, Inc. - Class C Capital Stock (Z) [ST]	JT	02/23/2021	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Zillow Group, Inc. - Class C Capital Stock (Z) [ST]	JT	03/12/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Zillow Group, Inc. - Class C Capital Stock (Z) [ST]	JT	09/15/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
QPG Ambassador Investors LLC [OI]  DESCRIPTION: Real Estate		06/30/2021	P	\$50,001 - \$100,000	
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ TA Vanguard Instl Trg Re 2040 [MF]	SP	03/31/2021	P	\$15,001 - \$50,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME



Source	Type	Amount
Tusk Strategies	Spouse Salary	N/A

**SCHEDULE D: LIABILITIES**

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Access Group Inc.	August 2001	Spouse Student Loan	\$15,001 - \$50,000
JT	First Republic Bank	January 2020	Mortgage on personal residence	\$1,000,001 - \$5,000,000
JT	Morgan Stanley	March 2020	Portfolio Loan	\$1,000,001 - \$5,000,000

**SCHEDULE E: POSITIONS**

Position	Name of Organization
Trustee	Pembroke College Foundation

**SCHEDULE F: AGREEMENTS**

Date	Parties To	Terms of Agreement
November 2015	Filer and Microsoft	Continuing participation in a defined benefit retirement plan managed by former employer. Employer no longer contributes to the plan.
June 2012	WPP and Filer	Continuing participation in a defined benefit retirement plan managed by former employer. Employer no longer contributes to the plan.

**SCHEDULE G: GIFTS**

None disclosed.

**SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

None disclosed.

**SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

**SCHEDULE A AND B ASSET CLASS DETAILS**

◦ Ameritrade
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LOCATION: US

- Ameritrade (Owner: SP)  
LOCATION: US
- Asbury Advisors LLC  
LOCATION: US
- Columbia University- Voluntary Retirement Savings (Owner: SP)
- DC College Savings - Dependent # 1 (Owner: DC)  
LOCATION: DC
- DC College Savings - Dependent # 2 (Owner: DC)  
LOCATION: DC
- Fidelity - Inherited IRA
- Fidelity Brokerage  
LOCATION: US
- Microsoft 401(k)
- Morgan Stanley - Active Asset Account # 1  
LOCATION: US
- Morgan Stanley - Active Asset Account # 2 (Owner: JT)  
LOCATION: US
- Morgan Stanley - Active Asset Account # 3 (Owner: JT)  
LOCATION: US
- Morgan Stanley - Portfolio Management (Owner: JT)  
LOCATION: US
- Morgan Stanley - Portfolio Management Active Assets Account (Owner: JT)  
LOCATION: US
- Morgan Stanley - Roth IRA
- Morgan Stanley - Roth IRA Spouse (Owner: SP)
- Morgan Stanley - Select UMA Account # 1 (Owner: JT)  
LOCATION: US
- Morgan Stanley - SEP / Traditional IRA
- Morgan Stanley - Traditional Inherited IRA
- Morgan Stanley - Traditional IRA
- TriNet 401(k) Plan - Tusk Strategies LLC (Owner: SP)
- Vanguard SEP IRA (Owner: SP)
- Wealthfront  
LOCATION: US
- Wells Fargo - SEP IRA
- WPP - Vanguard - The Savings & Investment Plan

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Josh Gottheimer , 08/13/2022