



Filing ID #10053973

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Garret Graves
Status: Member
State/District: LA06

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2022
Filing Date: 08/13/2023

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Alphabet Inc. - Class C Capital Stock (GOOG) [ST] DESCRIPTION: IRA		\$1,001 - \$15,000	None		<input type="checkbox"/>
Amazon.com, Inc. (AMZN) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Barrick Gold Corporation Common Stock (GOLD) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
checking/savings [BA]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Child 1 [5P] LOCATION: LA DESCRIPTION: Established and maintained by grandparents for the benefit of their nine grandchildren. We have no role in mgmt.	DC	\$100,001 - \$250,000	None		<input type="checkbox"/>
Child 2 [5P] LOCATION: LA DESCRIPTION: Established and maintained by grandparents for the benefit of their nine grandchildren. We have no role in mgmt.	DC	\$50,001 - \$100,000	None		<input type="checkbox"/>
Child 3 [5P]	DC	\$50,001 - \$100,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LOCATION: LA					
DESCRIPTION: Established and maintained by grandparents for the benefit of their nine grandchildren. We have no role in mgmt.					
Coinbase Global, Inc. - Class A (COIN) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Janus ⇒ Janus Global Research Fund [MF]		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Sold this fund on 12/19/2022					
Janus ⇒ Janus Henderson Flexible Bond [MF]		\$50,001 - \$100,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Sold non-retirement portion of fund on 12/19/2022					
Janus ⇒ Janus Henderson Mid Cap Value [MF]		None	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Sold fund on 12/19/2022					
Janus ⇒ Janus Henderson Research [MF]		None	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Sold asset on 12/19/2022					
Janus ⇒ Janus Henderson Triton [MF]		None	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Sold this fund on 12/19/2022					
Janus Henderson Small Cap Growth Alpha ETF (JSML) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: IRA					
Janus retirement ⇒ Janus Global Technology and Innovation Fund [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Louisiana START#1 [5P]		\$1,001 - \$15,000	None		<input type="checkbox"/>
LOCATION: LA					
Louisiana START#2 [5P]		\$1,001 - \$15,000	None		<input type="checkbox"/>
LOCATION: LA					
Louisiana START#3 [5P]		\$1,001 - \$15,000	None		<input type="checkbox"/>
LOCATION: LA					
Parents' Life Insurance Trust#1 [OT]		\$1 - \$1,000	None		<input type="checkbox"/>
DESCRIPTION: Life Insurance Trust established by parents					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Parent's Life Insurance Trust#2 [OT] DESCRIPTION: Life Insurance Trust established by parents.		\$1 - \$1,000	None		<input type="checkbox"/>
Pershing Square Holding Ltd [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
savings/money market [BA]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
TIAA-CREF Teacher retirement ⇒ CREF Annuity Contract [FN]		\$15,001 - \$50,000	None		<input type="checkbox"/>
TIAA-CREF Teacher retirement ⇒ CREF Core Bond R! [MF] DESCRIPTION: Spouses' teacher retirement		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
TIAA-CREF Teacher retirement ⇒ CREF Global Equities [MF] DESCRIPTION: Spouse's teacher retirement		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
TIAA-CREF Teacher retirement ⇒ CREF Growth R1 [MF] DESCRIPTION: Spouses' teacher retirement		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
TIAA-CREF Teacher retirement ⇒ CREF Stock R1 [MF] DESCRIPTION: Wife's teacher retirement program		\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TIAA-CREF Teacher retirement ⇒ TIAA Real Estate [MF] DESCRIPTION: Spouse's teacher retirement		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
TIAA-CREF Teacher retirement ⇒ TIAA Traditional [FN]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Undeveloped Property [RP] LOCATION: Gulf Shores, AL, US DESCRIPTION: Less than 1% interest in undeveloped property on Hwy 59.		\$1,001 - \$15,000	None		<input type="checkbox"/>
Verizon Communications Inc. (VZ) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Vodafone Group Plc - American Depositary Shares each representing ten Ordinary Shares (VOD) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
VOYA [OT]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: Spouse 401k program from employer					
COMMENTS: Per conversation with Stephanie, we are trying to track down financial statements here. Will update with actual investments asap.					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Coinbase Global, Inc. - Class A (COIN) [ST]		09/30/2022	P	\$1,001 - \$15,000	
Janus Flexible Bond Fund [MF]		12/19/2022	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: This is a "partial transaction" in that we still have a holding in this fund through a retirement holding. This sale was related to the non-retirement account.					
Janus Global Research Fund [MF]		12/19/2022	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Janus Mid Cap Value [MF]		12/19/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Janus Research Fund [MF]		12/19/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Janus Triton Fund [MF]		12/19/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Cristo Rey Franciscan High School	Spouse salary	N/A
Baton Rouge Area Foundation	Spouse salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	PennyMac	November 2020	home mortgage	\$250,001 - \$500,000
JT	MasterCard	November 2021	credit card	\$10,000 - \$15,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2008	garret and Louisiana State Employee's Retirement System	Defined benefit program. Same for all state employees.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none">◦ Janus LOCATION: US◦ Janus retirement◦ TIAA-CREF Teacher retirement LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Garret Graves , 08/13/2023