



Filing ID #10037527

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Ruben Gallego
Status: Member
State/District: AZ07

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2019
Filing Date: 08/13/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|----------------------|--------------------------|-------------------------------------|-------------------------------------|
| 7th St. Rental Property [RP] | None | Rent | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> | |
| LOCATION: Phoenix, AZ, US | | | | | |
| Aspiration Checking Account [BA] | | \$15,001 - \$50,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Aspiration Redwood Fund [MF] | | \$50,001 - \$100,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| Chase Bank Checking Account [BA] | SP | \$1 - \$1,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| City of Phoenix 401A ⇒ Vanguard Institutional Index Fund Institutional Plus Shares (VIIIX) [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Mineral Rd. Property [RP] | None | Capital Gains, Rent | \$50,001 - \$100,000 | | <input checked="" type="checkbox"/> |
| LOCATION: Phoenix, AZ, US | | | | | |
| National Association of Realtors 401K ⇒ T. Rowe Price Retirement 2055 Fund (TRRNX) [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|--------------|-----------------------|-----------------------|---------------|--------------------------|
| National Association of Realtors Pension Plan [DB] | SP | Undetermined | None | | <input type="checkbox"/> |
| Nationwide 401k Riester Public Relations ⇒ American Funds AMCAP Fund R6 (RAFGX) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Nationwide 401k Riester Public Relations ⇒ American Funds New Perspective R6 Fund (RNPGX) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Nationwide 401k Riester Public Relations ⇒ Vanguard Mid-Cap Index Fund Admiral Shares (VIMAX) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| PAC Common Founders Stock Series A [PS] | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| DESCRIPTION: PAC Common Founders is a startup business trying to create an online bank to serve the Latino community. It is located in Phoenix, Arizona. | | | | | |
| Strategies 360 401K ⇒ Vanguard Target Retirement 2045 Fund (VTIVX) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS Simple IRA ⇒ JPMorgan Smart Retirement Fund 2055 (JFFCX) [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| United Services Auto Association Checking Account [BA] | | \$1 - \$1,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| USAA Science and Technology Fund (UCCSX) [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| USAA Value Fund (UVALX) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|------------------------------|--------------|-------------|-----------------|----------------------|-------------------------------|
| 7th St. Rental Property [RP] | | 06/6/2019 | S | \$50,001 - \$100,000 | <input type="checkbox"/> |
| LOCATION: Phoenix, AZ, US | | | | | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|------------------------------|-------|------------|----------|-----------------------|-------------------------------------|
| Aspiration Redwood Fund [MF] | | 02/4/2019 | P | \$1,001 - \$15,000 | |
| Aspiration Redwood Fund [MF] | | 02/13/2019 | P | \$1,001 - \$15,000 | |
| Aspiration Redwood Fund [MF] | | 03/21/2019 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Aspiration Redwood Fund [MF] | | 06/10/2019 | P | \$1,001 - \$15,000 | |
| Aspiration Redwood Fund [MF] | | 07/11/2019 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Aspiration Redwood Fund [MF] | | 09/30/2019 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Aspiration Redwood Fund [MF] | | 11/11/2019 | P | \$15,001 - \$50,000 | |
| Aspiration Redwood Fund [MF] | | 11/12/2019 | P | \$15,001 - \$50,000 | |
| Aspiration Redwood Fund [MF] | | 11/19/2019 | P | \$1,001 - \$15,000 | |
| Aspiration Redwood Fund [MF] | | 12/17/2019 | P | \$1,001 - \$15,000 | |
| Aspiration Redwood Fund [MF] | | 12/18/2019 | P | \$1,001 - \$15,000 | |
| Aspiration Redwood Fund [MF] | | 12/30/2019 | P | \$1,001 - \$15,000 | |
| Mineral Rd. Property [RP] | | 11/11/2019 | S | \$250,001 - \$500,000 | <input checked="" type="checkbox"/> |
| LOCATION: Phoenix, AZ, US | | | | | |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount |
|----------------------------------|---------------|--------|
| National Association of Realtors | Spouse salary | N/A |

SCHEDULE D: LIABILITIES

| Owner Creditor | Date Incurred | Type | Amount of Liability |
|---|----------------------|---|----------------------------|
| Quicken Loans | August 2018 | Personal Loan | \$15,001 - \$50,000 |
| Comments: Paid off in March 2020. | | | |
| Quicken Loans | April 2017 | Primary Residence Mortgage - Refinanced | \$250,001 - \$500,000 |
| Comments: Property sold and mortgage paid off in November 2019. | | | |
| Wells Fargo | October 2006 | 7th St Rental Property Mortgage | \$50,001 - \$100,000 |
| Comments: Property sold and mortgage paid off in June 2019. | | | |

SCHEDULE E: POSITIONS

| Position | Name of Organization |
|---------------------------|---|
| Chair, Board of Directors | Congressional Hispanic Caucus Institute |

SCHEDULE F: AGREEMENTS

| Date | Parties To | Terms of Agreement |
|--------------|---|--|
| January 2008 | Myself and City of Phoenix | Pension that will provide benefit upon retirement. |
| March 2019 | Spouse and National Association of Realtors | Pension that will provide benefit upon retirement. |

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

| Source | Trip Details | | | | Inclusions | | |
|---|---------------------|-----------------|--|-------------------------|-------------------------------------|-------------------------------------|--------------------------|
| | Start Date | End Date | Itinerary | Days at Own Exp. | Lodging? | Food? | Family? |
| Government of Morocco MECEA | 06/28/2019 | 07/3/2019 | Washington DC - Marrakesh - Rabat - Casablanca - Washington DC | 0 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| Congressional Hispanic Caucus Institute | 10/9/2019 | 10/13/2019 | Washington DC - Los Angeles - Phoenix | 0 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- City of Phoenix 401A
DESCRIPTION: 401A account from my employment at the City of Phoenix.
- National Association of Realtors 401K (Owner: SP)
- Nationwide 401k Riester Public Relations
DESCRIPTION: 401K from my work at Riester Public Relations
- Strategies 360 401K
DESCRIPTION: 401k from my work at Strategies 360.
- UBS Simple IRA (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Ruben Gallego , 08/13/2020