



Filing ID #10043473

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Josh Gottheimer
Status: Member
State/District: NJ05

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2018
Filing Date: 08/13/2021

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameritrade ⇒ Amazon.com, Inc. (AMZN) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Ameritrade ⇒ Ameritrade Cash [BA]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Ameritrade ⇒ Ameritrade Cash [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Ameritrade ⇒ Apple Inc. (AAPL) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Ameritrade ⇒ Apple Inc. (AAPL) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Previously held					
Ameritrade ⇒ Facebook, Inc. - Class A (FB) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Ameritrade ⇒ Ford Motor Company (F) [ST]		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameritrade ⇒ Invesco QQQ (QQQ) [EF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Formerly Power Shares QQQ ETF (QQQ)					
Ameritrade ⇒ Invesco QQQ (QQQ) [EF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Previously held; formerly Power Shares QQQ ETF (QQQ)					
Ameritrade ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF]		None	None		<input checked="" type="checkbox"/>
Ameritrade ⇒ Microsoft Corporation (MSFT) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Ameritrade ⇒ SPDR Dow Jones Industrial Ave ETF Trust (DIA) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Ameritrade ⇒ SPDR Dow Jones Industrial Average Trust (DIA) [EF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Previously held					
Ameritrade ⇒ SPDR S&P 500 ETF (SPY) [EF]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Ameritrade ⇒ SPDR S&P 500 ETF (SPY) [EF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Previously held					
Asbury Advisors LLC ⇒ Wells Fargo Bank Accounts [BA]		\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: Checking & Savings					
Barclays - Savings Account [BA]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Columbia University- Voluntary Retirement Savings ⇒ Vanguard Target Retirement 2040 [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DC College Savings - Dependent # 1 ⇒ DC College Savings 2025 Portfolio [MF]	DC	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
DC College Savings - Dependent # 2 ⇒ DC College Savings 2028 Portfolio [MF]	DC	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
DC College Savings - Dependent # 2 ⇒	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Intermediate Bond Fund (IBAFX) [MF]					
DC College Savings - Dependent # 2 ⇒ U.S. Large Cap Equity Portfolio [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DC College Savings - Dependent # 2 ⇒ U.S. Small Cap Equity Portfolio [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fidelity - SEP IRA ⇒ Fidelity 500 Index Investor Class (FUSEX) [MF]		None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Mutual Fund transferred to Morgan Stanley SEP IRA					
Fidelity - SEP IRA ⇒ Fidelity Asset Manager (FAMRX) [MF]		None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Mutual Fund transferred to Morgan Stanley SEP IRA					
Fidelity - SEP IRA ⇒ Fidelity Diversified International (FDIVX) [MF]		None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Mutual Fund transferred to Morgan Stanley SEP IRA					
Fidelity - SEP IRA ⇒ Fidelity Government Money Market (SPAXX) [BA]		None	Tax-Deferred		<input type="checkbox"/>
Fidelity - SEP IRA ⇒ SPDR S&P 500 ETF (SPY) [EF]		None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Mutual Fund transferred to Morgan Stanley SEP IRA					
Fidelity Brokerage ⇒ Fidelity Asset Manager (FAMRX) [MF]		None	None		<input type="checkbox"/>
DESCRIPTION: Transferred to Morgan Stanley Active Asset Account # 1					
Fidelity Brokerage ⇒ Fidelity Cash [BA]		None	None		<input type="checkbox"/>
Fidelity Brokerage ⇒ iShares US Technology (IYW) [EF]		None	None		<input type="checkbox"/>
DESCRIPTION: Transferred to Morgan Stanley Active Asset Account # 1					
Fidelity Brokerage ⇒ Microsoft Corporation (MSFT) [ST]		None	Capital Gains, Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred to Morgan Stanley Portfolio Management Active Assets Account					
Fidelity Brokerage ⇒ Microsoft Corporation (MSFT) [OP]		None	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: Call Option - Filed Paper PTR 1/19/2018					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Fidelity Brokerage ⇒ SPDR S&P 500 ETF Trust Unit Ser 1 S&P (SPY) [EF]		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred to Morgan Stanley Active Asset Account # 1					
Fidelity Brokerage ⇒ Valley National Bancorp (VLY) [ST]		None	None		<input type="checkbox"/>
DESCRIPTION: Transferred to Morgan Stanley Active Asset Account # 1					
Fidelity Brokerage ⇒ Vanguard Index FDS Vanguard Total Stk Mkt ETF (VTI) [EF]		None	None		<input type="checkbox"/>
DESCRIPTION: Transferred to Morgan Stanley Active Asset Account # 1					
Ford Interest Advantage [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
JPMorgan Chase Bank, N.A [BA]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Lending Club [DO]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: San Francisco, CA					
Microsoft 401(k) ⇒ BTC LifePath [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Microsoft 401(k) ⇒ Vanguard Russell 1000 [EF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 1 ⇒ Amazon.com, Inc. (AMZN) [ST]		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Fidelity Brokerage					
Morgan Stanley - Active Asset Account # 1 ⇒ Fidelity Asset Manager (FAMRX) [MF]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: Transferred from Fidelity Brokerage					
Morgan Stanley - Active Asset Account # 1 ⇒ iShares US Technology (IYW) [EF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Transferred from Fidelity Brokerage					
Morgan Stanley - Active Asset Account # 1 ⇒ Morgan Stanley Bank N.A. # [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 1 ⇒ SPDR S&P 500 ETF TR Unit Ser 1 (SPY) [EF]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Transferred from Fidelity Brokerage					
Morgan Stanley - Active Asset Account # 1 ⇒ Valley National Bancorp (VLY) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Fidelity Brokerage					
Morgan Stanley - Active Asset Account # 1 ⇒ Vanguard Index FDS Vanguard Total Stk Mkt ETF (VTI) [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Akamai Technologies, Inc. (AKAM) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Amazon.com, Inc. (AMZN) [ST]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ AT&T Inc. (T) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Appreciated over \$1,000 threshold					
Morgan Stanley - Active Asset Account # 2 ⇒ Coty Inc. Class A (COTY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Dollar Tree, Inc. (DLTR) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Home Depot, Inc. (HD) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ MarketAxess Holdings, Inc. (MKTX) [ST]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Martin Marietta Materials, Inc. (MLM) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Microsoft Corporation (MSFT) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Morgan Stanley Bank N.A. # [BA]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Pfizer, Inc. (PFE) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Previous held in Morgan Stanley - Select UMA Account # 2					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Active Asset Account # 2 ⇒ Proofpoint, Inc. (PFPT) [ST]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Time Warner Inc. New (TWX) [ST]	JT	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Tractor Supply Company (TSCO) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Under Armour, Inc. Class A (UAA) [ST]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Under Armour, Inc. Class C (UA) [ST]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Vantiv, Inc. Class A (VNTV) [ST]	JT	None	None		<input checked="" type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Walgreens Boots Alliance, Inc. (WBA) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Worldpay, Inc. Class A (WP) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Active Asset Account # 3 ⇒ Dell Technologies Inc. Class V (DVMT) [ST]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 3 ⇒ Eli Lilly and Company (LLY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 3 ⇒ Goldman Sachs Emeg Mkts Debt I (GSDIX) [MF]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 3 ⇒ Goldman Sachs Group, Inc. (GS) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 3 ⇒ Goldman Sachs High Yld Instl (GSHIX) [MF]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 3 ⇒ Microsoft Corporation (MSFT) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 3 ⇒ Morgan Stanley Bank N.A. # [BA]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Consulting & Evaluation Services ⇒ Arizona St Transn Brd Hwy Subordinated Hwy Rev-A - 5%; Due 07/01/2027 [GS]	JT	None	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: CUSIP # 040654XL4					
Morgan Stanley - Consulting & Evaluation Services ⇒ Arizona St Transn Brd Hwy Subordinated Hwy Rev-A - 5%; Due 07/01/2027 [GS]	JT	None	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: CUSIP # 040654XG5					
Morgan Stanley - Consulting & Evaluation Services ⇒ Columbia Dist Washington, DC Income Tax Secd Rev-C - 5%; Due 12/01/2029 [GS]	JT	None	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
Morgan Stanley - Consulting & Evaluation Services ⇒ Morgan Stanley Bank N.A. # [BA]	JT	\$50,001 - \$100,000	None		<input type="checkbox"/>
Morgan Stanley - Consulting & Evaluation Services ⇒ New Jersey Edl Fac Auth Rev Montclair Univ Issue Rev-A - 5%; Due 07/01/2027 [GS]	JT	None	Capital Gains, Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Consulting & Evaluation Services ⇒ New Jersey St Genl Oblig Ref-T - 5%; Due 06/01/2019 [GS]	JT	None	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Consulting & Evaluation Services ⇒ New Jersey Transport Trust Fd Auth Fed Hwy Reimburse Rev-A-2 - 5%; Due 06/15/2029 [GS]	JT	None	Capital Gains, Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Consulting & Evaluation Services ⇒ New York St Dorm Auth Sales Tax Rev St S - 5%; Due 03/15/2029 [GS]	JT	None	None		<input checked="" type="checkbox"/>
Morgan Stanley - Consulting & Evaluation Services ⇒ Salt River Project AZ Agric Im Pt Pwr Dist Elec Sys Ref Rev-A - 5%; Due 12/01/2031 [GS]	JT	None	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Consulting & Evaluation Services ⇒ Stafford Twp N J Ref Genl Oblig - 4%; Due 07/01/2018 [GS]	JT	None	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Consulting & Evaluation Services ⇒ Wisconsin St Gnel Oblig Ser-D - 5%; Due 05/01/2032 [GS]	JT	None	Capital Gains, Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Apple Inc. (AAPL) [ST]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Portfolio Management ⇒ Blackrock Health Science Opp I (SHSSX) [MF]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Exxon Mobil Corporation (XOM) [ST]	JT	None	None		<input checked="" type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Goldman Sachs Int Smcp Insgh I (GICIX) [MF]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Goldman Sachs Sm Md Cap Gw I (GSMYX) [MF]	JT	None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ iShares Core S&P 500 ETF (IVV) [ST]	JT	None	None		<input checked="" type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ iShares Core S&P Mid-Cap ETF (IJH) [ST]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ iShares Russell 2000 ETF (IWM) [ST]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ iShares US Energy ETF (IYE) [MF]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Janus Henderson Glb Eq Inc I (HFQIX) [MF]	JT	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Kopernik Glb All Cap Instl (KGGIX) [MF]	JT	None	None		<input checked="" type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Morgan Stanley Bank N.A. # [BA]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Oppenheimer Developing Mkts Y (ODVYX) [MF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ SPDR S&P 500 (SPY) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Vanguard Index FD S&P 500 ETF (VOO) [MF]	JT	\$500,001 - \$1,000,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Portfolio Management ⇒ Vanguard Total Stock Market ETF (VTI) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ WisdomTree Europe Hedged Equity Fund (HEDJ) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [ST]	JT	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Fidelity Brokerage					
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Call options; Strike Price \$115; Expires 1/18/2019					
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Call options; Strike Price \$115; Expires 4/18/2019					
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP]	JT	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Call Options; Strike Price \$120; Expires 1/18/2019					
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP]	JT	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Call options; Strike Price \$112; Expires 11/02/2018					
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP]	JT	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Call options; Strike Price \$114; Expires 11/09/2018					
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP]	JT	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Call options; Strike Price \$115; Expires 11/16/2018					
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP]	JT	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Call options; Strike Price \$113; Expires 11/30/2018					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP]	JT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Call options; Strike Price \$117; Expires 12/14/2018					
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP]	JT	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Call options; Strike Price \$115; Expires 12/21/18					
Morgan Stanley - Roth IRA ⇒ American Amcap A (AMCPX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Roth IRA ⇒ American Balanced A (ABALX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Roth IRA ⇒ American Bd Fd of America A (ABNDX) [MF]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Roth IRA ⇒ American Inv Co of Amer A (AIVSX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Roth IRA ⇒ American New Perspective A (ANWPX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Roth IRA ⇒ American Smallcap World A (SMCWX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Roth IRA ⇒ American Wa Mutual A (AWSHX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Roth IRA Spouse ⇒ American Cap Inc Builder A (CAIBX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Roth IRA Spouse ⇒ American Gr Fd of America A (AGTHX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Roth IRA Spouse ⇒ American Inv Co of Amer A (AIVSX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ AAR Corp. (AIR) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
ABIOMED, Inc. (ABMD) [ST]					
Morgan Stanley - Select UMA Account # 1 ⇒ Activision Blizzard, Inc (ATVI) [ST]	JT	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: The sale transactions were under the reporting threshold.					
Morgan Stanley - Select UMA Account # 1 ⇒ adidas AG Sponsored ADR (ADDYY) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Adobe Systems Incorporated (ADBE) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Advanced Micro Devices, Inc. (AMD) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ AIA Group, Ltd. Sponsored American Depository Receipt (AAGIY) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3 to Select UMA Account # 2 to Select Account UMA Account # 1					
Morgan Stanley - Select UMA Account # 1 ⇒ Alamo Group, Inc. (ALG) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Albemarle Corporation (ALB) [ST]	JT	None	None		<input type="checkbox"/>
DESCRIPTION: Sale transaction less than \$1,000; sold for a loss					
Morgan Stanley - Select UMA Account # 1 ⇒ Alfa-Laval Ab Unsponsored ADR (ALFVY) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Active Asset # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ Allegiant Travel Company (ALGT) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Allianz SE ADS (AZSEY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Altria Group, Inc. (MO) [ST]	JT	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Ambev S.A. American Depository Shares (ABEV) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ Ameris Bancorp (ABCB) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ AMERISAFE, Inc. (AMSF) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Amneal Pharmaceuticals, Inc. Class A (AMRX) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Shares received in exchange for Impax Laboratories, Inc. (IPXL) shares as a result of merger. Exchange below the reporting threshold.					
Morgan Stanley - Select UMA Account # 1 ⇒ Amphenol Corporation (APH) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Applied Materials, Inc. (AMAT) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: Partial sale transaction less than \$1,000					
Morgan Stanley - Select UMA Account # 1 ⇒ Aspen Pharmacare Holdings plc Unsponsored ADR (APNHY) [ST]	JT	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3.					
Morgan Stanley - Select UMA Account # 1 ⇒ Atlas Copco Ab Sponsored ADR repstg Class A (ATLKY) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3 & Select UMA Account # 2; Sales transaction below the reporting threshold.					
Morgan Stanley - Select UMA Account # 1 ⇒ Atlassian Corporation Plc - Class A Ordinary Shares (TEAM) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ AtriCure, Inc. (ATRC) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Purchase below the reporting threshold					
Morgan Stanley - Select UMA Account # 1 ⇒ Baidu, Inc. - American Depository Shares, each representing one tenth Class A ordinary share (BIDU) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ Balchem Corporation (BCPC) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Banco Bilbao Vizcaya Argentaria S.A. (BBVA) [ST]	JT	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3 & Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Bayer Aktiengesellschaft American Depository Shares (BARY) [ST]	JT	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Bayerische Motoren Werke AG Unsponsored ADR (BAMXY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ BBA Aviation Plc Unsponsored ADR (BBAVY) [ST]	JT	None	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3; Sale transaction below the reporting threshold					
Morgan Stanley - Select UMA Account # 1 ⇒ Becton, Dickinson and Company (BDX) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Sales transaction below the reporting threshold					
Morgan Stanley - Select UMA Account # 1 ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Blackbaud, Inc. (BLKB) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ BlackRock, Inc. (BLK) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 2					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Boeing Company (BA) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Booking Holdings Inc. (BKNG) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Boston Scientific Corporation (BSX) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Broadcom Inc. (AVGO) [ST]	JT	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ CA Inc. (CA) [ST]	JT	None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Cambrex Corporation (CBM) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Canadian National Railway Company (CNI) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ Cantel Medical Corp. (CMD) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Carnival Corporation (CCL) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Catalent, Inc. (CTLT) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Cathay General Bancorp (CATY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Central Garden & Pet Company (CENT) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Central Garden & Pet Company - Class A Common Stock Nonvoting (CENTA) [ST]					
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Charles Schwab Corporation (SCHW) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Check Point Software Technologies Ltd. - Ordinary Shares (CHKP) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ Chevron Corporation (CVX) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Churchill Downs, Incorporated (CHDN) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Cincinnati Financial Corporation (CINF) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Cisco Systems, Inc. (CSCO) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Coca-Cola Company (KO) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Cohen & Steers Inc (CNS) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Comcast Corporation - Class A (CMCSA) [ST]	JT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Compass Minerals Intl Inc (CMP) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Constellation Brands, Inc. (STZ) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ CoStar Group, Inc. (CSGP) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Costco Wholesale Corporation (COST) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Crown Castle International Corp. (CCI) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Csl Ltd SPONSORED ADR (CSLLY) [ST]	JT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3; Full sale below the reporting threshold					
Morgan Stanley - Select UMA Account # 1 ⇒ Dassault Systemes, S.A. American Depository Shares (DASTY) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ Dbs Group Holdings Ltd Ord American Depository Shares (DBSDY) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ Delta Air Lines, Inc. (DAL) [ST]	JT	\$1 - \$1,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Sale transactions below the reporting threshold.					
Morgan Stanley - Select UMA Account # 1 ⇒ DexCom, Inc. (DXCM) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Diageo plc (DEO) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 2; Sales transaction below the reporting threshold					
Morgan Stanley - Select UMA Account # 1 ⇒ Diplomat Pharmacy, Inc. (DPLO) [ST]	JT	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account & Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Dominion Energy, Inc. (D) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Dril-Quip, Inc. (DRQ) [ST]	JT	\$1 - \$1,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Duke Energy Corporation (DUK) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Ecolab Inc. (ECL) [ST]	JT	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Electronic Arts Inc. (EA) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Eli Lilly and Company (LLY) [ST]	JT	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ EPAM Systems, Inc. (EPAM) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Equinix, Inc. (EQIX) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Estee Lauder Companies, Inc. (EL) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ ExlService Holdings, Inc. (EXLS) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Fanuc Corporation Unsponsored ADR (FANUY) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ Fastenal Company (FAST) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Five Below, Inc. (FIVE) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account; sales transaction below the reporting threshold					
Morgan Stanley - Select UMA Account # 1 ⇒	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Fomento Economico Mexicano S.A.B. de C.V. (FMX) [ST]					
Morgan Stanley - Select UMA Account # 1 ⇒ Forum Energy Technologies, Inc. (FET) [ST]	JT	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Purchased and sold in 2018; sales transaction below the reporting threshold					
Morgan Stanley - Select UMA Account # 1 ⇒ Franklin Resources, Inc. (BEN) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Fresenius Medical Care AG (FMS) [ST]	JT	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ Fuchs Petrolub SE Unsponsored American Depository Receipt Representing Preferred Shares (FUPBY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ General Dynamics Corporation (GD) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Glacier Bancorp, Inc. (GBCI) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Globus Medical, Inc. Class A (GMED) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Grand Canyon Education, Inc. (LOPE) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Grifols, S.A. - American Depository Shares (GRFS) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ GrubHub Inc. (GRUB) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Grupo Financiero Banorte, S.A.B. De C.V Sponsored ADR (GBOOY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Hasbro, Inc. (HAS) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ HDFC Bank Limited (HDB) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ Healthcare Services Group, Inc. (HCSG) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account; partial sale below the reporting threshold.					
Morgan Stanley - Select UMA Account # 1 ⇒ Heartland Express, Inc. (HTLD) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Heico Corporation (HEI.A) [ST]	JT	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account; full sale below the reporting threshold.					
Morgan Stanley - Select UMA Account # 1 ⇒ Heico Corporation (HEI) [ST]	JT	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account; full sale below the reporting threshold.					
Morgan Stanley - Select UMA Account # 1 ⇒ Helen of Troy Limited (HELE) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Hibbett Sports, Inc. (HIBB) [ST]	JT	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account; sales transaction below the reporting threshold.					
Morgan Stanley - Select UMA Account # 1 ⇒ Home Depot, Inc. (HD) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Honeywell International Inc. (HON) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ HSBC Holdings, plc. (HSBC) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ HubSpot, Inc. (HUBS) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Humana Inc. (HUM) [ST]	JT	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ IBERIABANK Corporation (IBKC) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ ICF International, Inc. (ICFI) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ ICICI Bank Limited (IBN) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ ICU Medical, Inc. (ICUI) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account; sales transaction below the reporting threshold.					
Morgan Stanley - Select UMA Account # 1 ⇒ IDACORP, Inc. (IDA) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Illumina, Inc. (ILMN) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Purchase in Morgan Stanley - Select UMA Account # 2, transferred to Select UMA Account # 1					
Morgan Stanley - Select UMA Account # 1 ⇒ Incyte Corporation (INCY) [ST]	JT	None	None		<input type="checkbox"/>
DESCRIPTION: Sales transaction below the reporting threshold.					
Morgan Stanley - Select UMA Account # 1 ⇒ Infineon Technologies AG (IFNNY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ Installed Building Products, Inc. (IBP) [ST]	JT	None	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Select Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Intel Corporation (INTC) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Intercontinental Exchange Inc. (ICE) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Partial sale below the reporting threshold					
Morgan Stanley - Select UMA Account # 1 ⇒ Intuitive Surgical, Inc. (ISRG) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 2000 ETF (IWM) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Itau Unibanco Banco Holding SA American Depository Shares (ITUB) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ J G C Corp (JGCCY) [ST]	JT	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ John Bean Technologies Corporation (GBT) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Johnson & Johnson (JNJ) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3 & Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ KapStone Paper and Packaging Corporation (KS) [ST]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Komatsu Ltd Ord American Depository Shares (KMTUY) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Kubota Corporation (KUBTY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ L'Air Liquide Ord American Depository Shares (AIQUY) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Las Vegas Sands Corp. (LVS) [ST]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ LHC Group - common stock (LHCG) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Linde plc Ordinary Share (LIN) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Lithia Motors, Inc. (LAD) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Lonza Group Ag Zuerich Unsponsored ADR (LZAGY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ L'oreal Co. American Depository Shares (RLRCY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ Lowe's Companies, Inc. (LOW) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ lululemon athletica inc. (LULU) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ LVMH Moet Hennessy Louis Vuitton Unsponsored American Depository Receipt (LVMUY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ MACOM Technology Solutions Holdings, Inc. (MTSI) [ST]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
DESCRIPTION: Below reporting threshold in previous year; transferred from Morgan Stanley - Select UMA Account # 2; 12/31/18 value below the reporting threshold					
Morgan Stanley - Select UMA Account # 1 ⇒ Mastercard Incorporated (MA) [ST]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒	JT	None	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Matador Resources Company (MTDR) [ST]					
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Match Group, Inc. (MTCH) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Medidata Solutions, Inc. (MDSO) [ST]					
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ MercadoLibre, Inc. (MELI) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Meridian Bancorp, Inc. (EBSB) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Microsoft Corporation (MSFT) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Mobile Mini, Inc. (MINI) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Monotaro Co. Ltd., Osaka Unsponsored ADR (MONOY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ Monster Beverage Corporation (MNST) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Morgan Stanley (MS) [ST]	JT	None	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Morgan Stanley Bank N.A. # [BA]	JT	\$50,001 - \$100,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Naspers Limited N Shs Sponsored American Depository Receipt Representing Class N Shares (NPSNY) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ National Health Investors, Inc. (NHI) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3 & Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Netflix, Inc. (NFLX) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Neurocrine Biosciences, Inc. (NBIX) [ST]					
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Account # 2; purchase transaction less than \$1,000.					
Morgan Stanley - Select UMA Account # 1 ⇒ NewMarket Corp (NEU) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Norfolk Southern Corporation (NSC) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Northrop Grumman Corporation (NOC) [ST]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ NorthWestern Corporation (NWE) [ST]					
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Novozymes A/S Unsponsored American Depository Receipt (NVZMY) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3 & Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ NVIDIA Corporation (NVDA) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Oxford Industries, Inc. (OXM) [ST]					
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Park 24 Co Ltd Sponsored ADR (PKCOY) [ST]					
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ Patrick Industries, Inc. (PATK) [ST]	JT	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Paychex, Inc. (PAYX) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Paylocity Holding Corporation (PCTY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Pebblebrook Hotel Trust Common Shares of Beneficial Interest (PEB) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Performance Food Group Company (PFGC) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Philip Morris International Inc (PM) [ST]	JT	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Pioneer Natural Resources Company (PXD) [ST]	JT	None	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ PolyOne Corporation (POL) [ST]	JT	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Power Integrations, Inc. (POWI) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ PRA Group, Inc. (PRAA) [ST]	JT	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Morgan Stanley Active Account # 2					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Primoris Services Corporation (PRIM) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ ProAssurance Corporation (PRA) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Proofpoint, Inc. (PFPT) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ QTS Realty Trust, Inc. Class A (QTS) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ RBC Bearings Incorporated (ROLL) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Regeneron Pharmaceuticals, Inc. (REGN) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Ritchie Bros. Auctioneers Incorporated (RBA) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Roche Holdings AG Basel American Depository Shares (RHHBY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ Rockwell Automation, Inc. (ROK) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Rogers Corporation (ROG) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Roper Technologies, Inc. (ROP) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Ross Stores, Inc. (ROST) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Royal Dutch Shell PLC Royal Dutch Shell PLC American Depository Shares (RDS.B) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ S&P Global Inc. (SPGI) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Salesforce.com Inc (CRM) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ SAP SE ADS (SAP) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ Sasol Ltd. American Depository Shares (SSL) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ ServiceNow, Inc. (NOW) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Sales transaction below reporting threshold					
Morgan Stanley - Select UMA Account # 1 ⇒ Sherwin-Williams Company (SHW) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Shopify Inc. Class A Subordinate Voting Shares (SHOP) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Silgan Holdings Inc. (SLGN) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Silicon Laboratories, Inc. (SLAB) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Snap Inc. Class A (SNAP) [ST]	JT	None	None		<input type="checkbox"/>
DESCRIPTION: Full sale below the reporting threshold.					
Morgan Stanley - Select UMA Account # 1 ⇒ Sonova Holding AG Unsponsored ADR (SONVY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Southwest Airlines Company (LUV) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ SPDR S&P 500 (SPY) [ST]	JT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Square, Inc. Class A (SQ) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Purchase and partial sale less than \$1,000					
Morgan Stanley - Select UMA Account # 1 ⇒ Stag Industrial, Inc. (STAG) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Stifel Financial Corporation (SF) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Sun Hydraulics Corporation (SNHY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ SVB Financial Group (SIVB) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Sales transaction below the reporting threshold					
Morgan Stanley - Select UMA Account # 1 ⇒ Symrise Ag Unsponsored ADR (SYIEY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ Syneos Health, Inc. - Class A (SYNH) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Previously reported as Inc Research.- Name change.					
Morgan Stanley - Select UMA Account # 1 ⇒ Sysmex Corporation Unsponsored ADR (SSMXY) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ Target Corporation (TGT) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Temenos Group AG SPONSORED ADR (TMSNY) [ST]	JT	\$1,001 - \$15,000	None	<input checked="" type="checkbox"/>	
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ Tenaris S.A. American Depository Shares (TS) [ST]	JT	\$1,001 - \$15,000	None	<input type="checkbox"/>	
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	\$1,001 - \$15,000	None	<input type="checkbox"/>	
Morgan Stanley - Select UMA Account # 1 ⇒ Texas Capital Bancshares, Inc. (TCBI) [ST]	JT	\$1,001 - \$15,000	None	<input type="checkbox"/>	
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Texas Instruments Incorporated (TXN) [ST]	JT	\$1,001 - \$15,000	None	<input checked="" type="checkbox"/>	
Morgan Stanley - Select UMA Account # 1 ⇒ Texas Roadhouse, Inc. (TXRH) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account; sales transaction less than \$1,000					
Morgan Stanley - Select UMA Account # 1 ⇒ The Priceline Group Inc. (PCLN) [ST]	JT	None	None	<input type="checkbox"/>	
DESCRIPTION: No reportable transaction					
Morgan Stanley - Select UMA Account # 1 ⇒ The Trade Desk, Inc. - Class A (TTD) [ST]	JT	\$1,001 - \$15,000	None	<input checked="" type="checkbox"/>	
Morgan Stanley - Select UMA Account # 1 ⇒ Thermo Fisher Scientific Inc (TMO) [ST]	JT	\$1,001 - \$15,000	None	<input type="checkbox"/>	
Morgan Stanley - Select UMA Account # 1 ⇒ Tupperware Brands Corporation (TUP) [ST]	JT	None	None	<input checked="" type="checkbox"/>	
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Twitter, Inc. (TWTR) [ST]	JT	\$1,001 - \$15,000	None	<input type="checkbox"/>	
DESCRIPTION: Purchase below the reporting threshold					
Morgan Stanley - Select UMA Account # 1 ⇒ Ulta Beauty, Inc. (ULTA) [ST]	JT	None	None	<input checked="" type="checkbox"/>	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Unilever PLC (UL) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ United Bankshares, Inc. (UBSI) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ United Rentals, Inc. (URI) [ST]	JT	None	None		<input type="checkbox"/>
DESCRIPTION: Sales transaction below the reporting threshold					
Morgan Stanley - Select UMA Account # 1 ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Universal Display Corporation (OLED) [ST]	JT	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Universal Forest Products, Inc. (UFPI) [ST]	JT	\$1,001 - \$15,000	Capital Gains	None	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ US Ecology, Inc. (ECOL) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index FD (VEU) [EF]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Vantiv, Inc. Class A (VNTV) [ST]	JT	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Exchange					
Morgan Stanley - Select UMA Account # 1 ⇒ Veeva Systems Inc. Class A (VEEV) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Verizon Communications Inc. (VZ) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Vertex Pharmaceuticals Incorporated (VRTX) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Virtusa Corporation - common stock (VRTU) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Visa Inc. (V) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Vulcan Materials Company (VMC) [ST]	JT	None	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ WD-40 Company (WDFC) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Webster Financial Corporation (WBS) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Weibo Corporation - American Depository Share (WB) [ST]	JT	\$1 - \$1,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Wells Fargo & Company (WFC) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Account # 2					
Morgan Stanley - Select UMA Account # 1 ⇒ Wolverine World Wide, Inc. (WWW) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Worldpay, Inc. Class A (WP) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ WPP plc American Depository Shares (WPP) [ST]	JT	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Active Account # 3					
Morgan Stanley - Select UMA Account # 1 ⇒ Wright Medical Group N.V. - Ordinary Shares (WMGI) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - UMA Active Assets Account					
Morgan Stanley - Select UMA Account # 1 ⇒ Yandex N.V. - Class A Ordinary Shares (YNDX) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Morgan Stanley - Select UMA Active Account # 2					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 2 ⇒ Microsoft Corporation (MSFT) [ST]	JT	None	None		<input type="checkbox"/>
DESCRIPTION: Transferred to Morgan Stanley - Select UMA Account # 1					
Morgan Stanley - Select UMA Account # 3 ⇒ Mitsubishi Estate Co Ltd American Depository Shares (MITEY) [ST]	JT	None	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Shire plc - American Depository Shares, each representing three Ordinary Shares (SHPG) [ST]	JT	None	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Turkiye Garanti Bankasi A S American Depository Shares (TKGBY) [ST]	JT	None	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Vanguard FTSE Developed Markets ETF (VFA) [ST]	JT	None	None		<input checked="" type="checkbox"/>
Morgan Stanley - SEP / Traditional IRA ⇒ American Cap Inc Builder A (CAIBX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - SEP / Traditional IRA ⇒ American Gr Fd of America A (AGTHX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - SEP / Traditional IRA ⇒ American SmallCap World A (SMCWX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - SEP / Traditional IRA ⇒ American Wa Mutual A (AWSHX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - SEP / Traditional IRA ⇒ Morgan Stanley Bank N.A. # [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - SEP IRA ⇒ Fidelity 500 Index Investor Class (FUSEX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Mutual Fund transferred from Fidelity SEP IRA					
Morgan Stanley - SEP IRA ⇒ Fidelity Asset Manager (FAMRX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Mutual Fund transferred from Fidelity SEP IRA					
Morgan Stanley - SEP IRA ⇒ Fidelity Diversified International (FDIVX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Mutual Fund transferred from Fidelity SEP IRA					
Morgan Stanley - SEP IRA ⇒ Morgan Stanley - Bank Deposit [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - SEP IRA ⇒ SPDR S&P 500 ETF TR Unit Ser 1 (SPY) [EF]					
DESCRIPTION: Mutual Fund transferred from Fidelity SEP IRA					
Morgan Stanley - Traditional IRA ⇒ iShares MSCI EAFE ETF (EFA) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Morgan Stanley Bank N.A. # [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Russell Inv Commodity Strat S (RCCSX) [MF]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Russell Inv Emerging Markets S (REMSX) [MF]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Russell Inv Glb Infrastrctre S (RGISX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Russell Inv Glb Opprnstc Crd S (RGCSX) [MF]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Russell Inv Glb Real Est Sec S (RRESX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Russell Inv Global Equity S (RGESX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Russell Inv Intl Dev Mkts S (RINTX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Russell Inv US Small Cap Eq S (RLESX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Russell Inv US Strat Eq S (RSESX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ SPDR S&P 500 (SPY) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Callidus Software, Inc. (CALD) [ST]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Cotiviti Holdings, Inc. (COTV) [ST]		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Education Realty Trust, Inc. (EDR) [ST]		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Impax Laboratories, Inc. (IPXL) [ST]		None	None		<input type="checkbox"/>
DESCRIPTION: Merger with Amneal Pharmaceuticals Inc CL A below the reporting threshold.					
Morgan Stanley ⇒ UMA Active Assets Account ⇒ INC Research Holdings, Inc. - Class A (INCR) [ST]		None	None		<input type="checkbox"/>
DESCRIPTION: Name change to Syneos Health Inc. Transferred to Morgan Stanley - Select UMA Account # 1					
Morgan Stanley ⇒ UMA Active Assets Account ⇒ LogMein, Inc. (LOGM) [ST]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Tyler Technologies, Inc. (TYL) [ST]		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ West Pharmaceutical Services, Inc. (WST) [ST]		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Rental Property [RP]	JT	None	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Washington, DC, US					
Synchrony Bank [BA]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ Janus Triton Ret Acct [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Appreciated over \$1,000 threshold					
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ Legal & General S&P 500 Ret Acct [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ Oakmark International Ret Acct [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ SSgA Emerging Markets Index Ret Acct [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ SSgA S&P Mid Cap Index Ret Acct [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Appreciated over \$1,000 threshold					
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ TA Vanguard Instl Trg Re 2040 [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Tusk Venture Partners Advisors, LLC [OL]	None		Ordinary Business Income	\$1,001 - \$2,500	<input type="checkbox"/>
LOCATION: New York, NY, US					
DESCRIPTION: Technology Company					
Tusk Venture Partners I GP, LLC [OL]		\$100,001 - \$250,000	None		<input type="checkbox"/>
LOCATION: New York, NY, US					
DESCRIPTION: Technology Company					
Vanguard SEP IRA ⇒ Target Retirement 2040 [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wealthfront ⇒ Alphabet Inc. - Class A (GOOGL) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wealthfront ⇒ Facebook, Inc. - Class A (FB) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wealthfront ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wealthfront ⇒ Johnson & Johnson (JNJ) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wealthfront ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wealthfront ⇒ Schwab International Equity ETF (SCHF) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wealthfront ⇒ SPDR Nuveen Bloomberg Barclays Municipal Bond ETF (TFI) [ST]	None		None		<input checked="" type="checkbox"/>
Wealthfront ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [ST]	None		Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Full sale below reporting threshold					
Wealthfront ⇒ Vanguard Div Appreciation ETF (VIG) [ST]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Wealthfront ⇒ Vanguard Extended Market ETF (VXF) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Wealthfront ⇒ Vanguard FTSE Developed Markets ETF (VEA) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Wealthfront ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Wealthfront ⇒ Vanguard Mun DB FD Inc Tax Exempt Bond (VTEB) [MF]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Wealthfront ⇒ Vanguard S&P 500 ETF (VOO) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wealthfront ⇒ Wealthfront Risk Parity Fund (WFRPX) [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Wells Fargo Bank Accounts [BA]		\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Checking & Savings					
WPP plc - American Depository Shares each representing five Ordinary Shares (WPPGY) [ST]		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameritrade ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF]		12/31/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Ameritrade ⇒ Microsoft Corporation (MSFT) [ST]		02/6/2018	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Fidelity Brokerage ⇒ Amazon.com, Inc. (AMZN) [ST]		03/19/2018	P	\$15,001 - \$50,000	
DESCRIPTION: Paper PTR filed on 4/12/18					
Fidelity Brokerage ⇒ Microsoft Corporation (MSFT) [OP]		01/3/2018	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Paper filed PTR 1/19/18; Sold covered calls on Microsoft to generate income					
Fidelity Brokerage ⇒ Microsoft Corporation (MSFT) [OP]		01/16/2018	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
DESCRIPTION: Paper filed PTR 1/19/18; Sold covered calls on Microsoft to generate income					
Fidelity Brokerage ⇒ Microsoft Corporation (MSFT) [OP]		01/16/2018	P	\$100,001 - \$250,000	
DESCRIPTION: Paper filed PTR 1/19/18; bought 150 call option contracts to close out an existing open position					
Fidelity Brokerage ⇒ Microsoft Corporation (MSFT) [ST]		02/5/2018	S (partial)	\$500,001 - \$1,000,000	<input checked="" type="checkbox"/>
DESCRIPTION: Filed Paper PTR 2/15/2018					
Fidelity Brokerage ⇒ Microsoft Corporation (MSFT) [ST]		02/6/2018	P	\$15,001 - \$50,000	
DESCRIPTION: Filed paper PTR 2/15/2018					
Fidelity Brokerage ⇒ Microsoft Corporation (MSFT) [OP]		03/27/2018	P	\$100,001 - \$250,000	
DESCRIPTION: Filed paper PTR 4/12/2018; Bought back Microsoft call options to close out the open position.					
Fidelity Brokerage ⇒ Microsoft Corporation (MSFT) [OP]		05/31/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Filed paper PTR 6/27/2018; sold Microsoft call options to open a new open position.					
Fidelity Brokerage ⇒ SPDR S&P 500 ETF Trust Unit Ser 1 S&P (SPY) [EF]		02/7/2018	P	\$50,001 - \$100,000	
DESCRIPTION: Paper PTR filed 2/15/18					
Morgan Stanley - Active Asset Account # 2 ⇒ Time Warner Inc. New (TWX) [ST]	JT	06/15/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Worldpay, Inc. Class A (WP) [ST]	JT	01/16/2018	E	\$1,001 - \$15,000	
DESCRIPTION: Shares received in exchange for Vantiv (VNTV) shares as a result of merger.					
Morgan Stanley - Consulting & Evaluation Services ⇒ Arizona St Transn Brd Hwy Subordinated Hwy Rev-A-5%;	JT	03/20/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Due 07/01/2027 [GS]					
DESCRIPTION: CUSIP # 040654XL4					
Morgan Stanley - Consulting & Evaluation Services ⇒ Arizona St Transn Brd Hwy Subordinated Hwy Rev-A-5%; Due 07/01/2027 [GS]	JT	03/20/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: CUSIP # 040654XG5					
Morgan Stanley - Consulting & Evaluation Services ⇒ Columbia Dist Washington, DC Income Tax Seecd Rev-C - 5%; Due 12/01/2029 [GS]	JT	03/20/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley - Consulting & Evaluation Services ⇒ New Jersey Edl Fac Auth Rev Montclair Univ Issue Rev-A - 5%; Due 07/01/2027 [GS]	JT	03/20/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley - Consulting & Evaluation Services ⇒ New Jersey St Genl Oblig Ref-T - 5%; Due 06/01/2019 [GS]	JT	03/20/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley - Consulting & Evaluation Services ⇒ New Jersey Transport Trust Fd Auth Fed Hwy Reimburse Rev-A-2-5%; Due 06/15/2029 [GS]	JT	03/20/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley - Consulting & Evaluation Services ⇒ New York St Dorm Auth Sales Tax Rev St S - 5%; Due 03/15/2029 [GS]	JT	03/20/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley - Consulting & Evaluation Services ⇒ Salt River Project AZ Agric Im Pt Pwr Dist Elec Sys Ref Rev-A - 5%; Due 12/01/2031 [GS]	JT	03/20/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley - Consulting & Evaluation Services ⇒ Stafford Twp N J Ref Genl Oblig - 4%; Due 07/01/2018 [GS]	JT	03/20/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley - Consulting & Evaluation Services ⇒ Wisconsin St Gnel Oblig Ser-D- 5%; Due 05/01/2032 [GS]	JT	03/20/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Apple Inc. (AAPL) [ST]	JT	02/6/2018	P	\$15,001 - \$50,000	
Morgan Stanley - Portfolio Management ⇒ Exxon Mobil Corporation (XOM) [ST]	JT	12/18/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	02/6/2018	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Portfolio Management ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	12/12/2018	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Facebook, Inc. - Class A (FB) [ST] DESCRIPTION: Filed paper PTR 4/12/2018	JT	03/19/2018	P	\$15,001 - \$50,000	
Morgan Stanley - Portfolio Management ⇒ Goldman Sachs SM MD Cap GW I (GSMYX) [MF]	JT	12/18/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Goldman Sachs Sm Mid Cap GW I (GSMYX) [MF]	JT	12/11/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Portfolio Management ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	02/6/2018	P	\$100,001 - \$250,000	
Morgan Stanley - Portfolio Management ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	12/18/2018	P	\$250,001 - \$500,000	
Morgan Stanley - Portfolio Management ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	12/26/2018	S	\$250,001 - \$500,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ iShares Dow Jones US Technology (IYW) [EF]	JT	12/12/2018	P	\$50,001 - \$100,000	
Morgan Stanley - Portfolio Management ⇒ iShares Dow Jones US Technology (IYW) [EF]	JT	12/18/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ iShares Intl Select Div ETF (IDV) [EF]	JT	12/12/2018	P	\$50,001 - \$100,000	
Morgan Stanley - Portfolio Management ⇒ iShares Intl Select Div ETF (IDV) [EF]	JT	12/18/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ iShares MSCI EAFE ETF (EFA) [EF]	JT	02/7/2018	P	\$50,001 - \$100,000	
DESCRIPTION: Filed Paper PTR 2/15/18					
Morgan Stanley - Portfolio Management ⇒ iShares MSCI EAFE ETF (EFA) [EF]	JT	12/12/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Ishares MSCI Emerging Markets ETF (EEM) [EF]	JT	02/7/2018	P	\$50,001 - \$100,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: Filed Paper PTR 2/15/18					
Morgan Stanley - Portfolio Management ⇒ iShares MSCI Emerging Markets ETF (EEM) [EF]	JT	12/12/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ iShares Russell 2000 ETF (IWM) [EF]	JT	02/6/2018	P	\$15,001 - \$50,000	
Morgan Stanley - Portfolio Management ⇒ iShares S&P MidCap 400 Index (IJH) [EF]	JT	02/6/2018	P	\$15,001 - \$50,000	
Morgan Stanley - Portfolio Management ⇒ iShares US Energy ETF (IYE) [EF]	JT	12/12/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Portfolio Management ⇒ iShares US Financials (IYF) [MF]	JT	12/12/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ iShares US Financials ETF (IYF) [MF]	JT	03/19/2018	P	\$15,001 - \$50,000	
DESCRIPTION: Filed paper PTR 4/12/2018					
Morgan Stanley - Portfolio Management ⇒ Janus Henderson Glb Eq Inc I (HFQIX) [MF]	JT	12/12/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Janus Henderson Glb Eq Inc I (HFQIX) [MF]	JT	06/29/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Portfolio Management ⇒ Kopernik Glb All Cap Instl (KGGIX) [MF]	JT	12/18/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	10/12/2018	P	\$50,001 - \$100,000	
DESCRIPTION: Call Options; Strike Price \$105, Expires 10/19/18 Held in account: Morgan Stanley - Portfolio Management Active Assets Account COMMENTS: Bought 150 call option contracts to close out an existing open position.					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	10/12/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Call Option; Strike Price \$120; Expires 01/18/2019 Held in account: Morgan Stanley - Portfolio Management Active Assets Account COMMENTS: Sold covered call option					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	10/12/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Call Option; Strike price \$114; Expires 11/09/2018 Held in account: Morgan Stanley - Portfolio Management Active Assets Account					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
COMMENTS: Sold covered call option					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	10/12/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Call Option; Strike Price \$115; Expires 04/18/2019 Held in account: Morgan Stanley - Portfolio Management Active Assets Account					
COMMENTS: Sold covered call option					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	10/12/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Call Option; Strike Price \$113; Expires 11/30/2018 Held in account: Morgan Stanley - Portfolio Management Active Assets Account					
COMMENTS: Sold covered call option					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	10/12/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Call Option; Strike Price \$115; Expires 12/21/2018 Held in account: Morgan Stanley - Portfolio Management Active Assets Account					
COMMENTS: Sold covered call option					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	10/12/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Call Option; Strike Price \$115; Expires 11/16/2018 Held in account: Morgan Stanley - Portfolio Management Active Assets Account					
COMMENTS: Sold covered call option					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	10/12/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Call Option; Strike Price \$112; Expires 11/02/2018 Held in account: Morgan Stanley - Portfolio Management Active Assets Account					
COMMENTS: Sold covered call option					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	10/12/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Call Option; Strike Price \$115; Expires 01/18/2019					
COMMENTS: Sold covered call option					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	11/28/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Call option; Strike Price \$117; Expires 12/14/2018 Held in account: Morgan Stanley - Portfolio Management Active Assets Account					
COMMENTS: Sold covered call option					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	12/14/2018	P	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Call Options; Strike Price \$120, Expires 1/18/2019 Held in account: Morgan Stanley - Portfolio Management Active Assets Account					
COMMENTS: Bought 40 call option contracts to close out existing open position.					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [ST]	JT	03/26/2018	P	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Filed paper PTR on 4/12/18 Held in account: Morgan Stanley - Portfolio Management Active Assets Account					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [ST]	JT	03/27/2018	P	\$50,001 - \$100,000	
DESCRIPTION: Filed paper PTR on 4/12/18 Held in account: Morgan Stanley - Portfolio Management Active Assets Account					
Morgan Stanley - Portfolio Management ⇒ Netflix, Inc. (NFLX) [ST]	JT	12/12/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Netflix, Inc. (NFLX) [ST]	JT	03/19/2018	P	\$15,001 - \$50,000	
DESCRIPTION: Filed paper PTR 4/12/2018					
Morgan Stanley - Portfolio Management ⇒ Schlumberger N.V. (SLB) [ST]	JT	01/24/2018	P	\$15,001 - \$50,000	
Morgan Stanley - Portfolio Management ⇒ Schlumberger N.V. (SLB) [ST]	JT	12/12/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Vanguard Financials ETF (VFH) [MF]	JT	12/12/2018	P	\$15,001 - \$50,000	
Morgan Stanley - Portfolio Management ⇒ Vanguard Financials ETF (VFH) [MF]	JT	12/18/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Vanguard FTSE Developed Markets ETF (VEA) [EF]	JT	12/12/2018	P	\$50,001 - \$100,000	
Morgan Stanley - Portfolio Management ⇒ Vanguard FTSE Developed Markets ETF (VEA) [EF]	JT	12/18/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Vanguard FTSE Emerging Markets (VWO) [EF]	JT	12/12/2018	P	\$50,001 - \$100,000	
Morgan Stanley - Portfolio Management ⇒ Vanguard FTSE Emerging Markets (VWO) [EF]	JT	12/18/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Vanguard Index FDS S&P 500 ETF (VOO) [EF]	JT	12/26/2018	P	\$500,001 - \$1,000,000	
Morgan Stanley - Select UMA Account # 1 ⇒ ABIOMED, Inc. (ABMD) [ST]	JT	02/14/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ adidas AG Sponsored ADR (ADDYY) [ST]	JT	11/5/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 1 ⇒ Adobe Systems Incorporated (ADBE) [ST]	JT	08/10/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Adobe Systems Incorporated (ADBE) [ST]	JT	07/2/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Advanced Micro Devices, Inc. (AMD) [ST]	JT	11/5/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alnylam Pharmaceuticals, Inc. (ALNY) [ST]	JT	06/1/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	04/24/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	02/16/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	09/14/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	09/10/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	08/2/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	07/12/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	07/2/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	04/30/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	02/12/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Atlassian Corporation Plc - Class A Ordinary Shares (TEAM) [ST]	JT	04/20/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 1 ⇒ Biogen Inc. (BIIB) [ST]	JT	07/24/2018	P	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Booking Holdings Inc. (BKNG) [ST]	JT	07/6/2018	P	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Broadcom Inc. (AVGO) [ST]	JT	08/23/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Broadcom Inc. (AVGO) [ST]	JT	07/12/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ CA Inc. (CA) [ST]	JT	11/5/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Charles Schwab Corporation (SCHW) [ST]	JT	10/26/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Cognex Corporation (CGNX) [ST]	JT	02/14/2018	P	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Comcast Corporation - Class A (CMCSA) [ST]	JT	03/13/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Comcast Corporation - Class A (CMCSA) [ST]	JT	02/22/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Comcast Corporation - Class A (CMCSA) [ST]	JT	02/12/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ CoStar Group, Inc. (CSGP) [ST]	JT	06/21/2018	P	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ CSL Ltd SPONSORED ADR (CSLLY) [ST]	JT	11/30/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ CSL Ltd SPONSORED ADR (CSLLY) [ST]	JT	11/29/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Daito Trust Construction Co Lt Sponsored ADR (DIFTY) [ST]	JT	11/6/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒	JT	11/6/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Dassault Systemes, S.A. American Depository Shares (DASTY) [ST]				(partial)	
Morgan Stanley - Select UMA Account # 1 ⇒ Estee Lauder Companies, Inc. (EL) [ST]	JT	01/19/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	07/26/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	02/1/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	01/12/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Fastenal Company (FAST) [ST]	JT	11/12/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Fastenal Company (FAST) [ST]	JT	11/9/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Fomento Economico Mexicano S.A.B. de C.V. (FMX) [ST]	JT	11/28/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Franklin Resources, Inc. (BEN) [ST]	JT	11/28/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Franklin Resources, Inc. (BEN) [ST]	JT	11/27/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ General Dynamics Corporation (GD) [ST]	JT	11/27/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ GrubHub Inc. (GRUB) [ST]	JT	04/4/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Honeywell International Inc. (HON) [ST]	JT	04/19/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Humana Inc. (HUM) [ST]	JT	02/14/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Intuitive Surgical, Inc. (ISRG) [ST]	JT	08/10/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 1 ⇒ Intuitive Surgical, Inc. (ISRG) [ST]	JT	08/7/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Intuitive Surgical, Inc. (ISRG) [ST]	JT	10/1/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	12/11/2018	P	\$15,001 - \$50,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Ishares Russell 2000 ETF (IWM) [EF]	JT	12/11/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Komatsu Ltd Ord American Depository Shares (KMTUY) [ST]	JT	11/6/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ L'Air Liquide Ord American Depository Shares (AIQUY) [ST]	JT	11/29/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Las Vegas Sands Corp. (LVS) [ST]	JT	07/31/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Linde plc Ordinary Share (LIN) [ST]	JT	11/29/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ lululemon athletica inc. (LULU) [ST]	JT	05/21/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Mastercard Incorporated (MA) [ST]	JT	08/10/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Match Group, Inc. (MTCH) [ST]	JT	04/24/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Microsoft Corporation (MSFT) [ST]	JT	04/30/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Microsoft Corporation (MSFT) [ST]	JT	11/28/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Microsoft Corporation (MSFT) [ST]	JT	11/27/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 1 ⇒ Monster Beverage Corporation (MNST) [ST]	JT	05/9/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Nektar Therapeutics (NKTR) [ST]	JT	06/21/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Nektar Therapeutics (NKTR) [ST]	JT	06/6/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]	JT	11/28/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]	JT	11/27/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Netflix, Inc. (NFLX) [ST]	JT	09/6/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Norfolk Southern Corporation (NSC) [ST]	JT	11/27/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Northrop Grumman Corporation (NOC) [ST]	JT	10/29/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ NVIDIA Corporation (NVDA) [ST]	JT	01/8/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ NVIDIA Corporation (NVDA) [ST]	JT	01/3/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ NVIDIA Corporation (NVDA) [ST]	JT	01/4/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	04/11/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	03/21/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Pioneer Natural Resources Company (PXD) [ST]	JT	02/2/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 1 ⇒ Red Hat, Inc. (RHT) [ST]	JT	06/26/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Red Hat, Inc. (RHT) [ST]	JT	04/9/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ S&P Global Inc. (SPGI) [ST]	JT	02/22/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Southwest Airlines Company (LUV) [ST]	JT	03/22/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ SPDR S&P 500 ETF TR Unit Ser 1 (SPY) [EF]	JT	01/18/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Texas Instruments Incorporated (TXN) [ST]	JT	11/27/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ The Priceline Group Inc. (PCLN) [ST]	JT	02/7/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ The Trade Desk, Inc. - Class A (TTD) [ST]	JT	08/10/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Ulta Beauty, Inc. (ULTA) [ST]	JT	04/3/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ United Parcel Service, Inc. (UPS) [ST]	JT	11/6/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Universal Display Corporation (OLED) [ST]	JT	06/20/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index FD (VEU) [EF]	JT	12/11/2018	P	\$15,001 - \$50,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Vertex Pharmaceuticals Incorporated (VRTX) [ST]	JT	06/1/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Vertex Pharmaceuticals Incorporated (VRTX) [ST]	JT	05/1/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Vulcan Materials Company (VMC) [ST]	JT	07/24/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 1 ⇒ Wayfair Inc. Class A (W) [ST]	JT	01/8/2018	P	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Worldpay, Inc. Class A (WP) [ST]	JT	01/16/2018	E	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Shares received in exchange for Vantiv (VNTV) shares as a result of merger.					
Morgan Stanley - Select UMA Account # 1 ⇒ WPP plc American Depository Shares (WPP) [ST]	JT	11/6/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Adobe Inc. (ADBE) [ST]	JT	10/17/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Adobe Inc. (ADBE) [ST]	JT	12/18/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Advanced Micro Devices, Inc. (AMD) [ST]	JT	10/2/2018	P	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Advanced Micro Devices, Inc. (AMD) [ST]	JT	10/26/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ AIA Group, Ltd. Sponsored American Depository Receipt (AAGIY) [ST]	JT	12/21/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Alnylam Pharmaceuticals, Inc. (ALNY) [ST]	JT	10/18/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	10/12/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Altria Group, Inc. (MO) [ST]	JT	02/22/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Altria Group, Inc. (MO) [ST]	JT	01/10/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Altria Group, Inc. (MO) [ST]	JT	12/12/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sold in full from sub holding Morgan Stanley - Select UMA Account # 1					
Morgan Stanley - Select UMA Account # 2 ⇒	JT	02/22/2018	P	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Apple Inc. (AAPL) [ST]					
Morgan Stanley - Select UMA Account # 2 ⇒ Apple Inc. (AAPL) [ST]	JT	02/07/2018	P	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Apple Inc. (AAPL) [ST]	JT	12/14/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Aspen Pharmacare Holdings plc Unsponsored ADR (APNHY) [ST]	JT	12/12/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sale occurred in Morgan Stanley - Select UMA Account # 1					
Morgan Stanley - Select UMA Account # 2 ⇒ Atlas Copco Ab Sponsored ADR repstg Class A (ATLKY) [ST]	JT	12/21/2018	P	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Banco Bilbao Vizcaya Argentaria S.A. (BBVA) [ST]	JT	12/12/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sale occurred in Morgan Stanley - Select UMA Account # 1					
Morgan Stanley - Select UMA Account # 2 ⇒ Bayer Aktiengesellschaft American Depository Shares (BAYRY) [ST]	JT	12/12/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sale occurred in Morgan Stanley - Select UMA Account # 1					
Morgan Stanley - Select UMA Account # 2 ⇒ Biogen Inc. (BIIB) [ST]	JT	10/29/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Carnival Corporation (CCL) [ST]	JT	12/17/2018	P	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sale occurred in Morgan Stanley - Select UMA Account # 1					
Morgan Stanley - Select UMA Account # 2 ⇒ Churchill Downs, Incorporated (CHDN) [ST]	JT	12/27/2018	P	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Purchase occurred in Morgan Stanley - Select UMA Account # 1					
Morgan Stanley - Select UMA Account # 2 ⇒ Costco Wholesale Corporation (COST) [ST]	JT	10/11/2018	P	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Diplomat Pharmacy, Inc. (DPLO) [ST]	JT	12/12/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sale occurred in Morgan Stanley - UMA Account # 1					
Morgan Stanley - Select UMA Account # 2 ⇒	JT	02/22/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Eli Lilly and Company (LLY) [ST]					
Morgan Stanley - Select UMA Account # 2 ⇒ Eli Lilly and Company (LLY) [ST]	JT	02/7/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Franklin Resources, Inc. (BEN) [ST]	JT	12/10/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ General Dynamics Corporation (GD) [ST]	JT	12/10/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ General Electric Company (GE) [ST]	JT	02/7/2018	S	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ General Electric Company (GE) [ST]	JT	01/18/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ General Mills, Inc. (GIS) [ST]	JT	04/3/2018	S	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ General Mills, Inc. (GIS) [ST]	JT	02/23/2018	S (partial)	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ General Mills, Inc. (GIS) [ST]	JT	02/22/2018	S (partial)	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ General Mills, Inc. (GIS) [ST]	JT	01/18/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Home Depot, Inc. (HD) [ST]	JT	10/17/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Asset held in Morgan Stanley - Select UMA Account # 1					
Morgan Stanley - Select UMA Account # 2 ⇒ Illumina, Inc. (ILMN) [ST]	JT	10/24/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Infineon Technologies AG (IFNNY) [ST]	JT	12/21/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Installed Building Products, Inc. (IBP) [ST]	JT	12/12/2018	S	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Intel Corporation (INTC) [ST]	JT	07/31/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 2 ⇒ J G C Corp (JGCCY) [ST]	JT	12/12/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Johnson & Johnson (JNJ) [ST]	JT	07/31/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Kinder Morgan, Inc. (KMI) [ST]	JT	01/18/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Kinder Morgan, Inc. (KMI) [ST]	JT	12/12/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ lululemon athletica inc. (LULU) [ST]	JT	10/29/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ MACOM Technology Solutions Holdings, Inc. (MTSI) [ST]	JT	12/18/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Microsoft Corporation (MSFT) [ST]	JT	12/17/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Microsoft Corporation (MSFT) [ST]	JT	12/13/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Microsoft Corporation (MSFT) [ST]	JT	12/10/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Microsoft Corporation (MSFT) [ST]	JT	12/6/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Morgan Stanley (MS) [ST]	JT	10/22/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sale occurred in Morgan Stanley - Select UMA Account # 1					
Morgan Stanley - Select UMA Account # 2 ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]	JT	12/11/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Neurocrine Biosciences, Inc. (NBIX) [ST]	JT	12/13/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sale occurred in Morgan Stanley - UMA Account # 1					
Morgan Stanley - Select UMA Account # 2 ⇒	JT	10/29/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Norfolk Southern Corporation (NSC) [ST]					
Morgan Stanley - Select UMA Account # 2 ⇒ Norfolk Southern Corporation (NSC) [ST]	JT	10/11/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Norfolk Southern Corporation (NSC) [ST]	JT	12/10/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Novozymes A/S Unsponsored American Depository Receipt (NVZMY) [ST]	JT	10/11/2018	P	\$1,001 - \$15,000	
DESCRIPTION: Sale occurred in Morgan Stanley - UMA Account # 1					
Morgan Stanley - Select UMA Account # 2 ⇒ PACCAR Inc. (PCAR) [ST]	JT	08/24/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ PACCAR Inc. (PCAR) [ST]	JT	02/26/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ PACCAR Inc. (PCAR) [ST]	JT	02/23/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ PACCAR Inc. (PCAR) [ST]	JT	02/22/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ PACCAR Inc. (PCAR) [ST]	JT	12/18/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Pacific Premier Bancorp Inc (PPBI) [ST]	JT	12/12/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Philip Morris International Inc (PM) [ST]	JT	12/12/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sale occurred in Morgan Stanley - UMA Account # 1					
Morgan Stanley - Select UMA Account # 2 ⇒ PRA Group, Inc. (PRAA) [ST]	JT	12/12/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sale occurred in Morgan Stanley - UMA Account # 1					
Morgan Stanley - Select UMA Account # 2 ⇒ Schlumberger N.V. (SLB) [ST]	JT	12/12/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Target Corporation (TGT) [ST]	JT	08/17/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 2 ⇒ Texas Instruments Incorporated (TXN) [ST]	JT	12/18/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Texas Instruments Incorporated (TXN) [ST]	JT	12/10/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Tupperware Brands Corporation (TUP) [ST]	JT	12/12/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sale occurred in Morgan Stanley - UMA Account # 1					
Morgan Stanley - Select UMA Account # 2 ⇒ United Parcel Service, Inc. (UPS) [ST]	JT	02/2/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ United Parcel Service, Inc. (UPS) [ST]	JT	01/10/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ United Parcel Service, Inc. (UPS) [ST]	JT	12/12/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	JT	12/19/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Veeva Systems Inc. Class A (VEEV) [ST]	JT	10/17/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Virtusa Corporation - common stock (VRTU) [ST]	JT	12/31/2018	P	\$1,001 - \$15,000	
DESCRIPTION: Sale occurred in Morgan Stanley - UMA Account # 1					
Morgan Stanley - Select UMA Account # 2 ⇒ Weibo Corporation - American Depository Share (WB) [ST]	JT	12/18/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sale occurred in Morgan Stanley - UMA Account # 1					
Morgan Stanley - Select UMA Account # 2 ⇒ Yandex N.V. - Class A Ordinary Shares (YNDX) [ST]	JT	10/23/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 3 ⇒ Ambev S.A. American Depository Shares (ABEV) [ST]	JT	08/17/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 3 ⇒ Daito Trust Construction Co Lt Sponsored ADR (DIFTY) [ST]	JT	01/5/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 3 ⇒ Dassault Systemes, S.A. American Depository Shares (DASTY) [ST]	JT	05/8/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Fresenius Medical Care AG (FMS) [ST]	JT	12/21/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sale occurred in Morgan Stanley - UMA Active Account # 1					
Morgan Stanley - Select UMA Account # 3 ⇒ Johnson & Johnson (JNJ) [ST]	JT	08/17/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 3 ⇒ Lonza Group Ag Zuerich Unsponsored ADR (LZAGY) [ST]	JT	05/9/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 3 ⇒ Lonza Group Ag Zuerich Unsponsored ADR (LZAGY) [ST]	JT	05/8/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 3 ⇒ Mitsubishi Estate Co Ltd American Depository Shares (MITEY) [ST]	JT	01/5/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Naspers Limited N Shs Sponsored American Depository Receipt Representing Class N Shares (NPSNY) [ST]	JT	02/20/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Novozymes A/S Unsponsored American Depository Receipt (NVZMY) [ST]	JT	03/16/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 3 ⇒ SAP SE ADS (SAP) [ST]	JT	02/20/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Schlumberger N.V. (SLB) [ST]	JT	01/18/2018	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 3 ⇒ Shire plc - American Depository Shares, each representing three Ordinary Shares (SHPG) [ST]	JT	05/7/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Sysmex Corporation Unsponsored ADR (SSMXY) [ST]	JT	09/18/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Temenos Group AG SPONSORED ADR (TMSNY) [ST]	JT	05/31/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 3 ⇒ Turkiye Garanti Bankasi A S American Depository Shares (TKGBY) [ST]	JT	04/25/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Vanguard FTSE Developed Markets ETF (VEA) [EF]	JT	01/18/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ AAR Corp. (AIR) [ST]		03/2/2018	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Allegiant Travel Company (ALGT) [ST]		05/4/2018	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Callidus Software, Inc. (CALD) [ST]		02/28/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Cathay General Bancorp (CATY) [ST]		05/18/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Central Garden & Pet Company (CENT) [ST]		03/2/2018	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Central Garden & Pet Company - Class A Common Stock Nonvoting (CENTA) [ST]		05/18/2018	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Cotiviti Holdings, Inc. (COTV) [ST]		08/27/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Education Realty Trust, Inc. (EDR) [ST]		09/21/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Forum Energy Technologies, Inc. (FET) [ST]		01/18/2018	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Grand Canyon Education, Inc. (LOPE) [ST]		03/15/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Heico Corporation (HEI) [ST]		04/2/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Heico Corporation (HEI.A) [ST]		03/27/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Heico Corporation (HEI.A) [ST]		02/15/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Hibbett Sports, Inc. (HIBB) [ST]		01/18/2018	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ UMA Active Assets Account ⇒ HubSpot, Inc. (HUBS) [ST]		09/21/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ KapStone Paper and Packaging Corporation (KS) [ST]		06/1/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ KapStone Paper and Packaging Corporation (KS) [ST]		10/15/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ LHC Group - common stock (LHCG) [ST]		09/25/2018	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ UMA Active Assets Account ⇒ LogMein, Inc. (LOGM) [ST]		08/22/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ LogMein, Inc. (LOGM) [ST]		07/30/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Matador Resources Company (MTDR) [ST]		12/18/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sale occurred in Morgan Stanley - UMA Account # 1					
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Pacific Premier Bancorp Inc (PPBI) [ST]		05/18/2018	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Pacific Premier Bancorp Inc (PPBI) [ST]		03/15/2018	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Patrick Industries, Inc. (PATK) [ST]		12/18/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sale occurred in Morgan Stanley - UMA Account # 1					
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Paylocity Holding Corporation (PCTY) [ST]		09/21/2018	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ UMA Active Assets Account ⇒ PolyOne Corporation (POL) [ST]		12/18/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sale occurred in Morgan Stanley - UMA Account # 1					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Skyline Champion Corporation (SKY) [ST]		09/24/2018	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Tyler Technologies, Inc. (TYL) [ST]		04/5/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Tyler Technologies, Inc. (TYL) [ST]		03/15/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ West Pharmaceutical Services, Inc. (WST) [ST]		01/24/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Wealthfront ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [EF]		11/5/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wealthfront ⇒ SPDR Nuveen Bloomberg Barclays Municipal Bond ETF (TFI) [EF]		10/5/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Wealthfront ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [EF]		03/27/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wealthfront ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [EF]		04/10/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Wealthfront ⇒ Vanguard Div Appreciation ETF (VIG) [EF]		03/27/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Wealthfront ⇒ Vanguard Div Appreciation ETF (VIG) [EF]		04/3/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Wealthfront ⇒ Vanguard Div Appreciation ETF (VIG) [EF]		04/10/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Wealthfront ⇒ Vanguard Div Appreciation ETF (VIG) [EF]		04/18/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Wealthfront ⇒ Vanguard Div Appreciation ETF (VIG) [EF]		04/25/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Wealthfront ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [EF]		03/27/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Wealthfront ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [EF]		04/10/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Wealthfront ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [EF]		04/25/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Wealthfront ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [EF]		10/4/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wealthfront ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [EF]		12/6/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wealthfront ⇒ Vanguard Mun BD FD Inc Tax Exempt Bond (VTEB) [EF]		04/5/2018	P	\$1,001 - \$15,000	
Wealthfront ⇒ Wealthfront Risk Parity Fund (WFRPX) [MF]		04/5/2018	P	\$15,001 - \$50,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Tusk Strategies	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Wells Fargo	May 2013	Mortgage on NJ residential property	\$1,000,001 - \$5,000,000
SP	Access Group Inc.	August 2001	Spouse Student Loan	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
November 2015	Filer and Microsoft	Continuing participation in a defined benefit retirement plan managed by former employer. Employer no longer contributes to the plan.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Source	Trip Details				Inclusions		
	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Ripon Society & the Franklin Center -Global Policy Exchange	11/7/2018	11/12/2018	Newark, NJ - Paris, France - Newark, NJ	1	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Ameritrade
LOCATION: US
- Ameritrade (Owner: SP)
LOCATION: US
- Asbury Advisors LLC
LOCATION: US
- Columbia University- Voluntary Retirement Savings (Owner: SP)
- DC College Savings - Dependent # 1 (Owner: DC)
LOCATION: DC
- DC College Savings - Dependent # 2 (Owner: DC)
LOCATION: DC
- Fidelity - SEP IRA
LOCATION: US
- Fidelity Brokerage
LOCATION: US
- Microsoft 401(k)
- Morgan Stanley
LOCATION: US
- Morgan Stanley - Active Asset Account # 1
LOCATION: US
- Morgan Stanley - Active Asset Account # 2 (Owner: JT)

LOCATION: US

- Morgan Stanley - Active Asset Account # 3 (Owner: JT)
LOCATION: US
- Morgan Stanley - Consulting & Evaluation Services (Owner: JT)
LOCATION: US
- Morgan Stanley - Portfolio Management (Owner: JT)
LOCATION: US
- Morgan Stanley - Portfolio Management Active Assets Account (Owner: JT)
LOCATION: US
- Morgan Stanley - Roth IRA
- Morgan Stanley - Roth IRA Spouse (Owner: SP)
- Morgan Stanley - Select UMA Account # 1 (Owner: JT)
LOCATION: US
- Morgan Stanley - Select UMA Account # 2 (Owner: JT)
LOCATION: US
- Morgan Stanley - Select UMA Account # 3 (Owner: JT)
LOCATION: US
- Morgan Stanley - SEP / Traditional IRA
- Morgan Stanley - SEP IRA
- Morgan Stanley - Traditional IRA
- Morgan Stanley ⇒ UMA Active Assets Account
LOCATION: US
- TriNet 401(k) Plan - Tusk Strategies LLC (Owner: SP)
- Vanguard SEP IRA (Owner: SP)
- Wealthfront
LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Josh Gottheimer , 08/13/2021