



Filing ID #10046465

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Mike Levin  
**Status:** Member  
**State/District:** CA49

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2021  
**Filing Date:** 04/21/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Fidelity 401(k) ⇒ BlackRock LifePath Index 2040 Fund [MF]	SP	None	Tax-Deferred	<input checked="" type="checkbox"/>
Fidelity 401(k) ⇒ Fiam Blend Target Date 2040 Q [MF]	SP	\$100,001 - \$250,000	Tax-Deferred	<input checked="" type="checkbox"/>
Fidelity IRA ⇒ Fidelity Capital & Income Fund (FAGIX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Fidelity IRA ⇒ Fidelity Freedom 2040 Fund (FFFFX) [MF]	SP	\$250,001 - \$500,000	Tax-Deferred	<input checked="" type="checkbox"/>
Fidelity IRA ⇒ Fidelity International Sustainability Index Fund (FNIDX) [MF]		\$50,001 - \$100,000	Tax-Deferred	<input checked="" type="checkbox"/>
Fidelity IRA ⇒ Fidelity OTC Portfolio (FOCPX) [MF]	SP	\$100,001 - \$250,000	Tax-Deferred	<input checked="" type="checkbox"/>
Fidelity IRA ⇒ Fidelity Real Estate Income Fund (FRIFX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Fidelity IRA ⇒ Fidelity Select Biotechnology Portfolio (FBIOX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Tx. &gt; \$1,000?</b>
Fidelity IRA ⇒ Fidelity Select Environmental and Alternative Energy Portfolio (FSLEX) [MF]		\$100,001 - \$250,000	Tax-Deferred	<input checked="" type="checkbox"/>
Fidelity IRA ⇒ Fidelity Sustainability Bond Index Fund (FNDSX) [MF]		\$50,001 - \$100,000	Tax-Deferred	<input type="checkbox"/>
Fidelity Roth IRA ⇒ Fidelity Freedom 2040 Fund (FFFFX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
US Bank Accounts [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200 <input type="checkbox"/>
Vanguard 529 #1 ⇒ Vanguard Aggressive Growth Portfolio [MF]	DC	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Vanguard 529 #1 ⇒ Vanguard Growth Index Portfolio [MF]	DC	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Vanguard 529 #1 ⇒ Vanguard Mid-Cap Index Portfolio [MF]	DC	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Vanguard 529 #2 ⇒ Vanguard Aggressive Growth Portfolio [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Vanguard 529 #2 ⇒ Vanguard Growth Index Portfolio [MF]	DC	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Vanguard 529 #2 ⇒ Vanguard Mid-Cap Index Portfolio [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Fidelity 401(k) ⇒ BlackRock LifePath Index 2040 Fund [MF]	SP	01/21/2021	P	\$1,001 - \$15,000	
Fidelity 401(k) ⇒ BlackRock LifePath Index 2040 Fund [MF]	SP	02/3/2021	P	\$1,001 - \$15,000	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Fidelity 401(k) ⇒ BlackRock LifePath Index 2040 Fund [MF]	SP	02/18/2021	P	\$1,001 - \$15,000	
Fidelity 401(k) ⇒ BlackRock LifePath Index 2040 Fund [MF]	SP	03/3/2021	P	\$1,001 - \$15,000	
Fidelity 401(k) ⇒ BlackRock LifePath Index 2040 Fund [MF]	SP	03/17/2021	P	\$1,001 - \$15,000	
Fidelity 401(k) ⇒ BlackRock LifePath Index 2040 Fund [MF]	SP	04/5/2021	P	\$1,001 - \$15,000	
Fidelity 401(k) ⇒ BlackRock LifePath Index 2040 Fund [MF]	SP	04/20/2021	P	\$1,001 - \$15,000	
Fidelity 401(k) ⇒ BlackRock LifePath Index 2040 Fund [MF]	SP	04/29/2021	P	\$1,001 - \$15,000	
Fidelity 401(k) ⇒ BlackRock LifePath Index 2040 Fund [MF]	SP	05/5/2021	P	\$1,001 - \$15,000	
Fidelity 401(k) ⇒ BlackRock LifePath Index 2040 Fund [MF]	SP	05/19/2021	P	\$1,001 - \$15,000	
Fidelity 401(k) ⇒ BlackRock LifePath Index 2040 Fund [MF]	SP	06/3/2021	P	\$1,001 - \$15,000	
Fidelity 401(k) ⇒ BlackRock LifePath Index 2040 Fund [MF]	SP	06/18/2021	P	\$1,001 - \$15,000	
Fidelity 401(k) ⇒ BlackRock LifePath Index 2040 Fund [MF]	SP	07/6/2021	P	\$1,001 - \$15,000	
Fidelity 401(k) ⇒ BlackRock LifePath Index 2040 Fund [MF]	SP	07/20/2021	P	\$1,001 - \$15,000	
Fidelity 401(k) ⇒ BlackRock LifePath Index 2040 Fund [MF]	SP	08/2/2021	S	\$100,001 - \$250,000	<input type="checkbox"/>
Fidelity 401(k) ⇒ Fiam Blend Target Date 2040 Q [MF]	SP	08/2/2021	P	\$100,001 - \$250,000	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Fidelity 401(k) ⇒ Fiam Blend Target Date 2040 Q [MF]	SP	08/4/2021	P	\$1,001 - \$15,000	
Fidelity IRA ⇒ Fidelity Freedom 2040 Fund (FFFFX) [MF]	SP	05/14/2021	P	\$15,001 - \$50,000	
Fidelity IRA ⇒ Fidelity Freedom 2040 Fund (FFFFX) [MF]	SP	12/30/2021	P	\$15,001 - \$50,000	
Fidelity IRA ⇒ Fidelity International Sustainability Index Fund (FNIDX) [MF]		12/10/2021	P	\$1,001 - \$15,000	
Fidelity IRA ⇒ Fidelity OTC Portfolio (FOCPX) [MF]	SP	09/10/2021	P	\$15,001 - \$50,000	
Fidelity IRA ⇒ Fidelity OTC Portfolio (FOCPX) [MF]	SP	12/17/2021	P	\$1,001 - \$15,000	
Fidelity IRA ⇒ Fidelity Select Biotechnology Portfolio (FBIOX) [MF]	SP	04/9/2021	P	\$1,001 - \$15,000	
Fidelity IRA ⇒ Fidelity Select Biotechnology Portfolio (FBIOX) [MF]	SP	12/17/2021	P	\$1,001 - \$15,000	
Fidelity IRA ⇒ Fidelity Select Environmental and Alternative Energy Portfolio (FSLEX) [MF]		12/17/2021	P	\$1,001 - \$15,000	
Fidelity Roth IRA ⇒ Fidelity Freedom 2040 Fund (FFFFX) [MF]	SP	12/30/2021	P	\$1,001 - \$15,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

<b>Source</b>	<b>Type</b>	<b>Amount</b>
Irvine Company	Spouse Salary	N/A

## SCHEDULE D: LIABILITIES

<b>Owner</b>	<b>Creditor</b>	<b>Date Incurred</b>	<b>Type</b>	<b>Amount of Liability</b>
SP	Mr. Cooper	September 2020	Mortgage on Primary Residence	\$500,001 - \$1,000,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- Fidelity 401(k) (Owner: SP)
- Fidelity IRA
- Fidelity IRA (Owner: SP)
- Fidelity Roth IRA (Owner: SP)
- Vanguard 529 #1 (Owner: DC)  
LOCATION: NV
- Vanguard 529 #2 (Owner: DC)  
LOCATION: NV

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digital Signed:** Hon. Mike Levin , 04/21/2022