



Filing ID #10051769

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. John Curtis  
**Status:** Member  
**State/District:** UT03

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2022  
**Filing Date:** 08/11/2023

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Action Opportunity Fund [RP]  LOCATION: Provo, UT, US		\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
AmBank Checking Account [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Ameritrade ⇒ Invesco QQQ Trust (QQQ) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameritrade ⇒ iShares Core S&P 500 ETF (IVV) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameritrade ⇒ ProShares UltraPro Dow30 (UDOW) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameritrade ⇒ SPDR Dow Jones Industrial Average ETF Trust (DIA) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameritrade ⇒ Zoom Video Communications, Inc. - Class A (ZM) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
ATI Founders, Inc. (shooting range equipment) - [OL]		\$500,001 - \$1,000,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LOCATION: Provo, UT, US DESCRIPTION: Shooting range equipment					
Bear Lake Rental (Garden City, UT) [RP]		\$100,001 - \$250,000	Rent	\$2,501 - \$5,000	<input type="checkbox"/>
LOCATION: Garden City, UT, US					
Curtis Family Georgetown Condo, LLC, (Provo, UT) [RP]		\$250,001 - \$500,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Provo, UT, US					
Curtis Family Trust ⇒ Parcel #16-044-0006 [RP]		\$250,001 - \$500,000	None		<input type="checkbox"/>
LOCATION: Weber County, UT, US					
Curtis Family Trust ⇒ Vacant Lot [RP]		\$1 - \$1,000	None		<input type="checkbox"/>
LOCATION: Brighton, UT, US					
Curtis Family Trust ⇒ Zions Bank Checking Account [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Curtis Family Trust ⇒ Zions Bank Money Market Account [BA]		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
Domo, Inc. - Class B (DOMO) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Influence Int'l Inc. - business relationship development services - Provo, UT [PS]		\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: Business relationship development services					
John Reem Curtis, SPE, LLC (North Ogden, UT) [RP]		\$250,001 - \$500,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: North Ogden, UT, US					
Monticello Development Company, LLC - unimproved land [RP]		\$50,001 - \$100,000	None		<input type="checkbox"/>
LOCATION: Monticello, UT, US					
North Ogden Residential Property [RP]		\$1,000,001 - \$5,000,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
LOCATION: North Ogden, UT, US					
Northwestern Mutual ⇒		\$50,001 -	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AGG - iShares Core US Aggregate Bond [HE]		\$100,000			<input checked="" type="checkbox"/>
Northwestern Mutual ⇒ Cohen & Steers Real Estate Securities Fund Class I [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual ⇒ DODIX - DODGE & COX INCOME FUND [MF]		\$50,001 - \$100,000	None		<input type="checkbox"/>
Northwestern Mutual ⇒ FVADX - FRANKLIN SMALL-CAP VALUE FUND ADVISOR CLAS [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual ⇒ IAU - iShares Gold Trust [EF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual ⇒ IEF - iShares TR 7-10 Yr Treas Bd [EF]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Northwestern Mutual ⇒ IEFA - iShares Core MSCI EAFE [MF]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual ⇒ IEMG - iShares Core MSCI Emerging Markets [MF]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Northwestern Mutual ⇒ IJR - iShares Core S&P Small-Cap [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual ⇒ iShares Inc. MSCI Eurozone ETF [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual ⇒ IVV - iShares Core S&P 500 [MF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual ⇒ MDY - SPDR S&P MIDCAP 400 [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual ⇒ PCLPX - PIMCO COMMODITIES PLUS STRATEGY FUND CLASS I-2 [MF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual ⇒ PIFZX - PGIM Short-Term Corporate Bond Fund Class Z [HE]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual ⇒		\$50,001 -	Capital Gains,	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
VBTLX - VANGUARD TOTAL BD MKT IDX FUND [MF]		\$100,000	Dividends		<input type="checkbox"/>
Northwestern Mutual ⇒ VNQ - Vanguard Real Estate Index Fund [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Northwestern Mutual ⇒ VO - Vanguard Mid-Cap Index Fund [MF]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Abbott Laboratories (ABT) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Adobe Inc. (ADBE) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ Advanced Micro Devices, Inc. (AMD) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	JT	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Amazon.com, Inc. (AMZN) [ST]	JT	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ American Express Company (AXP) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Apple Inc. (AAPL) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Applied Materials, Inc. (AMAT) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Bank of America Corporation (BAC) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ BlackRock, Inc. (BLK) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Boston Scientific Corporation (BSX) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ CALIFORNIA ST GO VAR PURP REF BDS 4.000% 03/01/37 B/E DTD [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: California					
Northwestern Mutual - Joint ⇒ CALIFORNIA ST UNIV REV BDS 2018 A 5.000% 11/01/35 B/E [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: California					
Northwestern Mutual - Joint ⇒ Caterpillar, Inc. (CAT) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ Chevron Corporation (CVX) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Citigroup, Inc. (C) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ COLO-NESCO CMNTY SCHDIST IOWA GO BDS 2020 3.000% 06/01/31 [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Colo-Nesco Community School District, Iowa					
Northwestern Mutual - Joint ⇒ Colorado Springs Colo Utils Rev Util Sys Ref Rev Bds 2012B 5.000% 11/15/24 B/E [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Colorado Springs, CO					
Northwestern Mutual - Joint ⇒ COLORADO ST CTFS PARTN RURAL COPS 2020 A 4.000% 12/15/37 [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Colorado					
Northwestern Mutual - Joint ⇒ Comcast Corporation - Class A (CMCSA) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ ConocoPhillips (COP) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Constellation Brands, Inc. (STZ) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ Costco Wholesale Corporation (COST) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ CSX Corporation (CSX) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Northwestern Mutual - Joint ⇒ Danaher Corporation (DHR) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ Deere & Company (DE) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ EASTERN HOWARD IND THIRD MILLENNIUM SCH BLDG CORP AD VALOREM PPTY TAX FIRST MTG 4.000% 07/15/33 [CS]  DESCRIPTION: Howard County, IN	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Fiserv, Inc. (FISV) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ FVADX - FRANKLIN SMALL-CAP VALUE FUND ADVISOR CLAS [MF]	JT	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ General Municipal Mny Mkt Cl A [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ GEORGIA ST GO BDS 2021 A 4.000% 07/01/34 [CS]  DESCRIPTION: Georgia	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Goldman Sachs International Small Cap Insights Fund (GICIX) [MF]	JT	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ HENDERSON COUNTY NC LTD OBLIG BDS 2020 4.000% 06/01/34 B/E DTD [CS]  DESCRIPTION: Henderson County, NC	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ HENNEPIN CNTY MINN GO SALES TAX REV BDS 2019 B 5.000% 12/15/39 B/E [CS]  DESCRIPTION: Hennepin County, MN	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Hilton Worldwide Holdings Inc. (HLT) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Northwestern Mutual - Joint ⇒ Home Depot, Inc. (HD) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Honeywell International Inc. (HON) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ IAU - iShares Gold Trust [EF]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ IEFA - iShares Core MSCI EAFE [EF]	JT	\$250,001 - \$500,000	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ IEMG - iShares Core MSCI Emerging Markets [EF]	JT	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ IJR - iShares Core S&P Small-Cap [EF]	JT	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ INDIANA ST FIN AUTH WASTEWATER UTIL REV FIRST LIEN REF BDS CWA AUTHORITY INC 4.000% 10/01/36 [CS]  DESCRIPTION: Indiana	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Intercontinental Exchange Inc. (ICE) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ JACKSON MICH LTD TAX GO CAP IMPT BDS 2018 5.000% 06/01/31 B/E DTD [CS]  DESCRIPTION: Jackson, MI	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ JP Morgan Chase & Co. (JPM) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ KLA Corporation (KLAC) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ LAKE HALLIE WIS GO CORP PURP BDS 2021 A 2.000% 10/01/23 [CS]  DESCRIPTION: Lake Hallie, WI	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Lam Research Corporation (LRCX) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Northwestern Mutual - Joint ⇒ Mastercard Incorporated (MA) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ MDY - SPDR S&P MIDCAP 400 [EF]	JT	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Microsoft Corporation (MSFT) [ST]	JT	\$15,001 - \$50,000	Dividends	\$50,001 - \$100,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ MILLERSBURG PA AREA SCH DIST GO BDS 2020 5.000% 10/01/23 B/E DTD [CS]  DESCRIPTION: Millersburg, PA	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ MIPTX - Matthews Pacific Tiger Fund Investor Class [MF]	JT	\$15,001 - \$50,000	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ MISSISSIPPI ST GO BDS 2019 B 4.000% 10/01/36 [CS]  DESCRIPTION: Mississippi	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Nike, Inc. (NKE) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ NVIDIA Corporation (NVDA) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ OLATHE KANS GO IMPT REF BDS 234 3.000% 10/01/32 [CS]  DESCRIPTION: Olathe, KS	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ ONslow CNTY N C LTD OBLIG LTD OBLIG BDS 2016 4.000% 10/01/35 [CS]  DESCRIPTION: Onslow County, NC	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ OSAGE CNTY KANS GO REF AND IMPT BDS 2021 A 3.000% 09/01/30 [CS]  DESCRIPTION: Osage County, KS	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ PCLPX - PIMCO COMMODITIES PLUS STRATEGY FUND CLASS I-2 [MF]	JT	\$50,001 - \$100,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Northwestern Mutual - Joint ⇒ PEARLAND TEX WTRWKS & SWR SYS REV REV AND REF BDS 2017 C 5.000% 09/01/23 B/E DTD 08/01/17 N/C [CS]  DESCRIPTION: Pearland, TX	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ PENN IND HIGH SCH BLDG CORP AD VALOREM PPTY TAX FIRST MTG BDS 2021 4.000% 07/15/34 [CS]  DESCRIPTION: St. Joseph County, IN	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Pepsico, Inc. (PEP) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ PIFZX - PGIM Short-Term Corporate Bond Fund Class Z [MF]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ PRINCE GEORGES CNTY MD GO CONS PUB IMPT BDS 2014A 4.000% 09/01/32 B/E DTD 09/30/14 CLB CLB 09/01/24 [CS]  DESCRIPTION: Prince George's County, MD	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Procter & Gamble Company (PG) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Raytheon Technologies Corporation (RTX) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ ROMEOWILLE ILL GO BDS 2019 4.000% 12/30/38 [CS]  DESCRIPTION: Romeoville, IL	JT	\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ S&P Global Inc. (SPGI) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ Salesforce.com Inc (CRM) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ SANGAMON LOGAN & MENARD CNTYS ILL CMNTY [CS]  DESCRIPTION: Sangamon, Logan, & Menard County, IL	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ SARATOGA SPRINGS UTAH WTR REV REF BDS 2014 3.000% 12/01/24 B/E DTD [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Saratoga Springs, UT					
Northwestern Mutual - Joint ⇒ Sherwin-Williams Company (SHW) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ SKAGIT CNTY WASH CONS SCH DIST NO 320 [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Skagit County, WA					
Northwestern Mutual - Joint ⇒ SOUTH CAROLINA TRANSN INFRASTRUCTURE BK REV REF BDS 2021 A 4.000% 10/01/33 [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: South Carolina					
Northwestern Mutual - Joint ⇒ Starbucks Corporation (SBUX) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ SULLIVAN CNTY PA SCH DIST GO NTS 2020 3.000% 04/01/28 B/E DTD 07/07/20 [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Sullivan County, PA					
Northwestern Mutual - Joint ⇒ Sysco Corporation (SYY) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ Texas Instruments Incorporated (TXN) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ TEXAS WATER DEV BRD ST REVOLVING FD REV BDS 2020 4.000% 08/01/34 [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Texas					
Northwestern Mutual - Joint ⇒ Thermo Fisher Scientific Inc (TMO) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ TIP - iShares Trust TIPS Bond [EF]	JT	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ T-Mobile US, Inc. (TMUS) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ TOLEDO OHIO WTRWKS REV SYS BDS 2020 5.000% 11/15/33 [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Toledo, OH					
Northwestern Mutual - Joint ⇒ Ulta Beauty, Inc. (ULTA) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Union Pacific Corporation (UNP) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ UTAH COUNTY UTAH TRANSN SALES TAX REV REF BDS 2021 4.000% 12/01/38 [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Utah County, UT					
Northwestern Mutual - Joint ⇒ Valero Energy Corporation (VLO) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ VAW - Vanguard Materials Index Fund [EF]	JT	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Visa Inc. (V) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ VNQ - Vanguard Real Estate Index Fund [HE]	JT	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ VO - Vanguard Mid-Cap Index Fund [EF]	JT	\$100,001 - \$250,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ VPU - Vanguard Utilities Index Fund [EF]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Waste Management, Inc. (WM) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Zoetis Inc. Class A (ZTS) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Northwestern Mutual - SC ⇒ AGG - iShares Core US Aggregate Bond [HE]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual - SC ⇒	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Cohen & Steers Real Estate Securities Fund Class I [EF]					
Northwestern Mutual - SC ⇒ DODIX - DODGE & COX INCOME FUND [HE]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual - SC ⇒ FVADX - FRANKLIN SMALL-CAP VALUE FUND ADVISOR CLAS [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual - SC ⇒ IAU - iShares Gold Trust [EF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual - SC ⇒ IEFA - iShares Core MSCI EAFE [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual - SC ⇒ IEMG - iShares Core MSCI Emerging Markets [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual - SC ⇒ IJR - iShares Core S&P Small-Cap [HE]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual - SC ⇒ iShares Inc. MSCI Eurozone ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual - SC ⇒ IVV - iShares Core S&P 500 [HE]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual - SC ⇒ MDY - SPDR S&P MIDCAP 400 [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual - SC ⇒ PCLPX - PIMCO COMMODITIES PLUS STRATEGY FUND CLASS I-2 [HE]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual - SC ⇒ PIFZX - PGIM Short-Term Corporate Bond Fund Class Z [HE]	SP	\$1 - \$1,000	None		<input type="checkbox"/>
Northwestern Mutual - SC ⇒ TIP - iShares Trust TIPS Bond [EF]	SP	\$1 - \$1,000	None		<input type="checkbox"/>
Northwestern Mutual - SC ⇒ VBTLX - VANGUARD TOTAL BD MKT IDX FUND [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual - SC ⇒	SP	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
VNQ - Vanguard Real Estate Index Fund [MF]					<input type="checkbox"/>
Northwestern Mutual - SC ⇒ VO - Vanguard Mid-Cap Index Fund [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Peak Ventures Fund II, L.P. [HE]		\$500,001 - \$1,000,000	None		<input type="checkbox"/>
Peg Opportunity Zone [OL]  LOCATION: Provo, UT, US DESCRIPTION: Real Estate Investment Firm		\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>
QQ Corpus Christi [OL]  LOCATION: Corpus Christi, TX, US DESCRIPTION: Car wash		\$100,001 - \$250,000	Distributions	\$50,001 - \$100,000	<input type="checkbox"/>
QQRGV [OL]  LOCATION: Rio Grande Valley, TX, US DESCRIPTION: Car wash		\$50,001 - \$100,000	None		<input type="checkbox"/>
QQUV Investments 2, LLC (Quick Quack Car Wash) [OL]  LOCATION: Pleasant Grove & Orem, UT, US DESCRIPTION: Car wash		\$50,001 - \$100,000	Distributions	\$5,001 - \$15,000	<input type="checkbox"/>
QQUV Investments 3, LLC (Quick Quack Car Wash) [OL]  LOCATION: Springville & Spanish Fork, UT, US DESCRIPTION: Car wash		\$15,001 - \$50,000	Distributions	\$2,501 - \$5,000	<input type="checkbox"/>
QQUV Investments 4, LLC (Quick Quack Car Wash) [OL]  LOCATION: Utah County, UT, US DESCRIPTION: Car wash		\$50,001 - \$100,000	None		<input type="checkbox"/>
QQUV Investments, LLC (Quick Quack Car Wash) [OL]  LOCATION: Highland & American Fork, UT, US DESCRIPTION: Car wash		\$15,001 - \$50,000	Distributions	\$50,001 - \$100,000	<input type="checkbox"/>
Rise Broadband stock - fixed wireless Internet service provider - Denver, CO [PS]		None	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Internet service provider					
Sundance Debt Partners, LLC [OL]		\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: Salt Lake City, UT, US DESCRIPTION: Real estate firm					
TriState Capital Holdings, Inc. (TSC) [OT]		\$100,001 - \$250,000	None		<input type="checkbox"/>
DESCRIPTION: Stock Account Collateral					
Wells Fargo Interest Checking Account [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo Money Market Savings Account [BA]		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
Zions Bank Checking Account [BA]		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
Zions Bank Checking Plus Account [BA]		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
Zions Bank Money Market [BA]		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
BND - Vanguard Total Bond Market [EF]		04/6/2022	P	\$15,001 - \$50,000	
EZU - Ishares Inc MSCI Eurozone [EF]		04/25/2022	P	\$50,001 - \$100,000	
Northwestern Mutual ⇒ AGG - IShares TR Core US Aggregate [EF]		05/12/2022	P	\$15,001 - \$50,000	
Northwestern Mutual ⇒ AGG - IShares TR Core US Aggregate [EF]		10/29/2022	P	\$15,001 - \$50,000	
Northwestern Mutual ⇒ AGG - IShares TR Core US Aggregate [EF]		04/6/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Northwestern Mutual ⇒ AGG - iShares TR Core US Aggregate [EF]		11/25/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual ⇒ BND - Vanguard Total Bond Market [EF]		06/27/2022	P	\$15,001 - \$50,000	
Northwestern Mutual ⇒ BND - Vanguard Total Bond Market [EF]		11/25/2022	P	\$50,001 - \$100,000	
Northwestern Mutual ⇒ BND - Vanguard Total Bond Market [EF]		05/12/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual ⇒ BND - Vanguard Total Bond Market [EF]		08/4/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual ⇒ CSDIX - COHEN & STEERS REAL ESTATE SECURITIES FUND CLASS I [MF]		12/29/2022	P	\$15,001 - \$50,000	
Northwestern Mutual ⇒ CSDIX - COHEN & STEERS REAL ESTATE SECURITIES FUND CLASS I [MF]		11/23/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual ⇒ EZU - iShares Inc MSCI Eurozone [EF]		09/12/2022	P	\$50,001 - \$100,000	
Northwestern Mutual ⇒ EZU - iShares Inc MSCI Eurozone [EF]		07/8/2022	S	\$50,001 - \$100,000	<input type="checkbox"/>
Northwestern Mutual ⇒ FVADX - FRANKLIN SMALL-CAP VALUE FUND ADVISOR CLAS [MF]		09/23/2022	P	\$15,001 - \$50,000	
Northwestern Mutual ⇒ GICIX - Goldman Sachs Intl Small Cap Insights [MF]		12/29/2022	P	\$50,001 - \$100,000	
Northwestern Mutual ⇒ GICIX - Goldman Sachs Intl Small Cap Insights [MF]		03/24/2022	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Northwestern Mutual ⇒ GICIX - Goldman Sachs Intl Small Cap Insights [MF]		11/18/2022	S	\$50,001 - \$100,000	<input type="checkbox"/>
Northwestern Mutual ⇒		05/6/2022	P	\$50,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
GICIX - Goldman Sachs Intl Small CAP Insights [MF]				\$100,000	
Northwestern Mutual ⇒ IAU - IShares GOLD TR [EF]		10/19/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual ⇒ IEF - IShares TR 7-10 Yr Treas Bd [EF]		08/4/2022	P	\$15,001 - \$50,000	
Northwestern Mutual ⇒ IEF - IShares TR 7-10 Yr Treas Bd [EF]		06/27/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual ⇒ IEF - IShares TR 7-10 Yr Treas Bd [EF]		11/25/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual ⇒ IEFA - IShares TR Core MSCIEAFE [EF]		09/9/2022	P	\$1,001 - \$15,000	
Northwestern Mutual ⇒ IEFA - IShares TR Core MSCIEAFE [EF]		11/10/2022	P	\$15,001 - \$50,000	
Northwestern Mutual ⇒ IEFA - IShares TR Core MSCIEAFE [EF]		08/1/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual ⇒ IEMG - ISHARES INC CORE MSCI EMERGING MKTS [EF]		12/30/2022	P	\$1,001 - \$15,000	
Northwestern Mutual ⇒ IEMG - ISHARES INC CORE MSCI EMERGING MKTS [EF]		11/21/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual ⇒ LJR - IShares TR CORE S&P SMALL-CAP [EF]		09/26/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual ⇒ MDY - SPDR S&P MIDCAP 400 [EF]		11/15/2022	P	\$15,001 - \$50,000	
Northwestern Mutual ⇒ MIPTX - Matthews Pacific Tiger Fund [MF]		05/11/2022	P	\$1,001 - \$15,000	
Northwestern Mutual ⇒ MIPTX - Matthews Pacific Tiger Fund [MF]		12/29/2022	P	\$15,001 - \$50,000	
Northwestern Mutual ⇒ MIPTX - Matthews Pacific Tiger Fund [MF]		04/5/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Northwestern Mutual ⇒ MIPTX - Matthews Pacific Tiger Fund [MF]		10/18/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual ⇒ MIPTX - Matthews Pacific Tiger Fund [MF]		11/18/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual ⇒ PFPMX - PARNASSUS MID-CAP FUND INSTITUTIONAL CLASS 5 [MF]		11/14/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual ⇒ SCHE - Schwab Strategic TR Emerging Markets [EF]		11/21/2022	P	\$50,001 - \$100,000	
Northwestern Mutual ⇒ SCHE - Schwab Strategic TR Emerging Markets [EF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual ⇒ SCHE - Schwab Strategic TR Emerging Markets [EF]		12/30/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual ⇒ SCHF - Schwab Strategic TR Intl Equity [EF]		08/1/2022	P	\$1,001 - \$15,000	
Northwestern Mutual ⇒ SCHF - Schwab Strategic TR Intl Equity [EF]		09/9/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual ⇒ SCHH - SCHWAB STRATEGIC TR US REIT [EF]		11/25/2022	P	\$50,001 - \$100,000	
Northwestern Mutual ⇒ SCHH - SCHWAB STRATEGIC TR US REIT [EF]		12/30/2022	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Northwestern Mutual ⇒ TIP - iShares TR Tips Bd [EF]		02/9/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual ⇒ VEA - Vanguard Intl Fund [EF]		01/25/2022	S	\$50,001 - \$100,000	<input type="checkbox"/>
Northwestern Mutual ⇒ VGK - Vanguard Intl Equity Index Fund [EF]		07/8/2022	P	\$50,001 - \$100,000	
Northwestern Mutual ⇒ VGK - Vanguard Intl Equity Index Fund [MF]		04/25/2022	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Northwestern Mutual ⇒ VGK - Vanguard Intl Equity Index Fund [EF]		09/12/2022	S	\$50,001 - \$100,000	<input type="checkbox"/>
Northwestern Mutual ⇒ VNQ - VANGUARD INDEX FDS REAL ESTATE [EF]		12/30/2022	P	\$15,001 - \$50,000	
Northwestern Mutual ⇒ VNQ - VANGUARD INDEX FDS REAL ESTATE [EF]		11/25/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual ⇒ VO - VANGUARD INDEX FDS VANGUARD MID-CAP [EF]		10/19/2022	P	\$1,001 - \$15,000	
Northwestern Mutual ⇒ VSS - Vanguard Intl Equity Index Funds [MF]		11/21/2022	P	\$50,001 - \$100,000	
Northwestern Mutual ⇒ VSS - Vanguard Intl Equity Index Funds [MF]		05/9/2022	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Northwestern Mutual ⇒ VSS - Vanguard Intl Equity Index Funds [MF]		12/30/2022	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Abbott Laboratories (ABT) [ST]	JT	10/21/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Adobe Inc. (ADBE) [ST]	JT	10/21/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Advanced Micro Devices, Inc. (AMD) [ST]	JT	12/20/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ American Express Company (AXP) [ST]	JT	03/9/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Anthem, Inc. (ANTM) [ST]	JT	01/14/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Anthem, Inc. (ANTM) [ST]	JT	03/9/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ AutoZone, Inc. (AZO) [ST]	JT	06/28/2022	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Northwestern Mutual - Joint ⇒ AutoZone, Inc. (AZO) [ST]	JT	08/12/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Bank of America Corporation (BAC) [ST]	JT	12/20/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ BlackRock, Inc. (BLK) [ST]	JT	01/14/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Caterpillar, Inc. (CAT) [ST]	JT	01/14/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Chipotle Mexican Grill, Inc. (CMG) [ST]	JT	10/21/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Citigroup, Inc. (C) [ST]	JT	01/14/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ COLUMBUS OHIO GO BDS 2018 A 5.000% 04/01/23 [CS] DESCRIPTION: Columbus, OH	JT	10/28/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Comcast Corporation - Class A (CMCSA) [ST]	JT	03/9/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Constellation Brands, Inc. (STZ) [ST]	JT	06/28/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Danaher Corporation (DHR) [ST]	JT	03/9/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Deere & Company (DE) [ST]	JT	06/28/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Elevance Health, Inc. (ELV) [ST]	JT	12/20/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ FIRST REPUBLIC BANK (FRC) [ST]	JT	12/20/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Fiserv, Inc. (FISV) [ST]	JT	12/20/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Northwestern Mutual - Joint ⇒ Goldman Sachs Group, Inc. (GS) [ST]	JT	06/28/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Hilton Worldwide Holdings Inc. (HLT) [ST]	JT	03/9/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Intercontinental Exchange Inc. (ICE) [ST]	JT	03/9/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Intuitive Surgical, Inc. (ISRG) [ST]	JT	06/28/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Johnson & Johnson (JNJ) [ST]	JT	03/9/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Johnson & Johnson (JNJ) [ST]	JT	06/28/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ JP Morgan Chase & Co. (JPM) [ST]	JT	12/20/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ MECKLENBERG CNTY N C PUB IMPT BDS 2018 [CS] DESCRIPTION: Mecklenberg County, NC	JT	09/14/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Monster Beverage Corporation (MNST) [ST]	JT	03/9/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Morgan Stanley (MS) [ST]	JT	01/14/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Morgan Stanley (MS) [ST]	JT	03/9/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Morgan Stanley (MS) [ST]	JT	06/28/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Progressive Corporation (PGR) [ST]	JT	03/9/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ S&P Global Inc. (SPGI) [ST]	JT	03/9/2022	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Northwestern Mutual - Joint ⇒ Salesforce, Inc. (CRM) [ST]	JT	06/28/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Schwab International Equity ETF (SCHF) [ST]	JT	07/28/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Starbucks Corporation (SBUX) [ST]	JT	08/12/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Sysco Corporation (SYF) [ST]	JT	08/12/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Target Corporation (TGT) [ST]	JT	03/9/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Target Corporation (TGT) [ST]	JT	06/28/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ T-Mobile US, Inc. (TMUS) [ST]	JT	03/9/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ T-Mobile US, Inc. (TMUS) [ST]	JT	10/21/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Ulta Beauty, Inc. (ULTA) [ST]	JT	08/5/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Union Pacific Corporation (UNP) [ST]	JT	03/9/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	JT	12/20/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Valero Energy Corporation (VLO) [ST]	JT	06/28/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Waste Management, Inc. (WM) [ST]	JT	10/21/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Zoetis Inc. Class A (ZTS) [ST]	JT	06/28/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒	JT	12/20/2022	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Zoetis Inc. Class A (ZTS) [ST]					
Northwestern Mutual - SC ⇒ MDY - SPDR S&P MIDCAP 400 [EF]	SP	11/15/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - SC ⇒ PFFPMX - PARNASSUS MID-CAP FUND INSTITUTIONAL CLASS 5 [MF]	SP	11/14/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
SCHE - Schwab Strategic TR Emerging Markets [EF]		04/6/2022	P	\$15,001 - \$50,000	
VSS - Vanguard Intl Equity Index Funds [MF]		03/25/2022	P	\$50,001 - \$100,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	United Wholesale Mortgage	November 2017	Mortgage	\$250,001 - \$500,000
	Bank of Utah	June 2018	Mortgage	\$1,000,001 - \$5,000,000
	Zions First National Bank	June 2018	Home Equity Loan	\$250,001 - \$500,000

## SCHEDULE E: POSITIONS

Position	Name of Organization
Manager	Curtis Family Georgetown Condo, LLC
Manager	Curtis Family Brighton, LLC
Manager	John Ream Curtis 800 S. Center SPE, LLC
Manager	Action Opportunity Fund
Member	Monticello Development Company, Inc

Position	Name of Organization
Limited partner	Peak Ventures Fund II, L.P.
Limited partner	Monticello Development Company, LLC
Limited partner	QQUV Investments
Trustee	Curtis Family Trust
Board of Advisors	America's Freedom Festival

**SCHEDULE F: AGREEMENTS**

None disclosed.

**SCHEDULE G: GIFTS**

None disclosed.

**SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Conservative Climate Foundation	11/9/2022	11/14/2022	Salt Lake City - Sharm El Sheikh - Salt Lake City	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
The Aspen Institute, Inc.	08/29/2022	09/2/2022	Salt Lake City - Reykjavik - New York	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
US Association of Former Members of Congress (FMC)	05/28/2022	06/4/2022	Salt Lake City - Tokyo - Kyoto - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Republican Main Street Partnership	03/3/2022	03/5/2022	Washington, DC - San Antonio - Salt Lake City	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Consumer Technology Association	01/6/2022	01/7/2022	Salt Lake City - Las Vegas - Salt Lake City	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

**SCHEDULE A AND B ASSET CLASS DETAILS**

<ul style="list-style-type: none"> <li>Ameritrade LOCATION: US</li> <li>Curtis Family Trust</li> </ul>
--

- Northwestern Mutual
- Northwestern Mutual - Joint (Owner: JT)
- Northwestern Mutual - SC (Owner: SP)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. John Curtis , 08/11/2023