



Filing ID #10037876

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Dwight Evans  
**Status:** Member  
**State/District:** PA03

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2019  
**Filing Date:** 08/12/2020

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
1602 E CARDEZA ST [RP]		\$100,001 - \$250,000	None	<input type="checkbox"/>
LOCATION: Philadelphia, PA, US				
COMMONWEALTH OF PA PENSION [PE]		\$500,001 - \$1,000,000	Tax-Deferred	<input type="checkbox"/>
PROEQUITIES ⇒ Alibaba Group Holding Limited American Depository Shares each representing one Ordinary share (BABA) [ST]		\$1,001 - \$15,000	Dividends	None <input checked="" type="checkbox"/>
PROEQUITIES ⇒ Alphabet Inc. - Class A (GOOGL) [ST]		\$15,001 - \$50,000	Dividends	None <input checked="" type="checkbox"/>
PROEQUITIES ⇒ Amazon.com, Inc. (AMZN) [ST]		\$15,001 - \$50,000	Dividends	None <input checked="" type="checkbox"/>
PROEQUITIES ⇒ AMERICAN BEACON BRIDGEWAY [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ American Electric Power Company, Inc. (AEP) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
PROEQUITIES ⇒ American Water Works Company, Inc. (AWK) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
PROEQUITIES ⇒ Apollo Global Management, Inc. Class A (APO) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ Apple Inc. (AAPL) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
PROEQUITIES ⇒ AT&T Inc. (T) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ BARCLAYS BK PLC IPATH BLOOMBERG [EF]		\$1,001 - \$15,000	Dividends	None <input checked="" type="checkbox"/>
PROEQUITIES ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		\$1,001 - \$15,000	Dividends	None <input checked="" type="checkbox"/>
PROEQUITIES ⇒ DELAWARE DIVERSIFIED INCOME [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ DELAWARE SMALL-CAP VALUE [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
PROEQUITIES ⇒ Diageo plc (DEO) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ DREYFUS [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200 <input type="checkbox"/>
PROEQUITIES ⇒ ETFMG VIDEO GAME TECH [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ ETHE CLIMATE LEADERSHIP [MF]		None	Capital Gains	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ FIRST TR CHINDIA ETF [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
PROEQUITIES ⇒ FIRST TRUST NORTH AMERICAN ENERGY [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ FRANKLIN INCOME FUND [MF]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500 <input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Tx. &gt; \$1,000?</b>
PROEQUITIES ⇒ GOLDMAN SACHS EMERGING MARKETS [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
PROEQUITIES ⇒ Hannon Armstrong Sustainable Infrastructure Capital, Inc. (HASI) [RE]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ Huntington Ingalls Industries, Inc. (HII) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ Intel Corporation (INTC) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ INVESCO BLDRS INDEX FDS [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
DESCRIPTION: Name change from Builders Emerging Market 50 ADR Index Fund to Invesco Builders Index Funds Emerging Markets 50 ADRFD				
PROEQUITIES ⇒ INVESCO OPPENHEIMER DEVELOPING MARKETS [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
DESCRIPTION: Name change from Oppenheimer Developing Markets to Invesco Oppenheimer Developing Markets				
PROEQUITIES ⇒ ISHARES INC EMERGING MARKETS [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ ISHARES TR 1-3 YR TREAS [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
PROEQUITIES ⇒ ISHARES TR CORE INTL [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ ISHARES TR CORE S&P SMALL-CAP [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ ISHARES TR CORE U S AGGREGATE [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ ISHARES TR SHORT-TERM CORP [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
PROEQUITIES ⇒ JPMORGAN MID-CAP GROWTH [MF]		\$1,001 - \$15,000	Dividends	None <input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Tx. &gt; \$1,000?</b>
PROEQUITIES ⇒ MFS INTERNATIONAL VALUE FUND [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
PROEQUITIES ⇒ Microsoft Corporation (MSFT) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
PROEQUITIES ⇒ NextEra Energy, Inc. (NEE) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
PROEQUITIES ⇒ ONEOK, Inc. (OKE) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500 <input type="checkbox"/>
PROEQUITIES ⇒ Pfizer, Inc. (PFE) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
PROEQUITIES ⇒ RESOURCE REAL ESTATE DIVERSIFIED [MF]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500 <input type="checkbox"/>
PROEQUITIES ⇒ Royal Dutch Shell PLC Royal Dutch Shell American Depository Shares (RDS.A) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
PROEQUITIES ⇒ SPDR INDEX SHS FDS DOW JONES GLOBAL [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ SPDR SER TR BLOOMBERG [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ Targa Resources Partners LP Common Units Representing Limited Partnership Interests (NGLS) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500 <input type="checkbox"/>
PROEQUITIES ⇒ The Carlyle Group Inc. (CG) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ Thermo Fisher Scientific Inc (TMO) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ Transdigm Group Incorporated Transdigm Group Inc. (TDG) [ST]		\$50,001 - \$100,000	Dividends	None <input type="checkbox"/>
PROEQUITIES ⇒ US GLOBAL CO GOLD [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
PROEQUITIES ⇒ VANGUARD FTSE DEVELOPING MARKETS [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ VANGUARD FTSE EMERGING MARKETS [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ VANGUARD INDEX FDS MID-CAP [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ VANGUARD INDEX FDS REAL ESTATE [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ VANGUARD MEGA CAP ETF [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
PROEQUITIES ⇒ VANGUARD WORLD FD [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
PROEQUITIES ⇒ WELLS FARGO SHORT-TERM BOND [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
PROEQUITIES ⇒ Alibaba Group Holding Limited American Depository Shares each representing eight Ordinary share (BABA) [ST]		10/15/2019	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ Alphabet Inc. - Class A (GOOGL) [ST]		10/15/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ Amazon.com, Inc. (AMZN) [ST]		10/15/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ AMERICAN BEACON BRIDGEWAY [MF]		10/15/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ AMERICAN BEACON BRIDGEWAY LG CP [MF]		04/5/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
PROEQUITIES ⇒ American Electric Power Company, Inc. (AEP) [ST]		10/15/2019	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ Apollo Global Management, LLC Class A Common Shares Representing Class A Limited Liability Company Interests (APO) [ST]		04/15/2019	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ AT&T Inc. (T) [ST]		10/15/2019	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		10/15/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ BLOOMBERG CMDTY [EF]		10/15/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ CENTERSTONE INVESTORS [MF]		10/15/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ DELAWARE DIVERSIFIED INCOME [MF]		04/5/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ Diageo plc (DEO) [ST]		10/15/2019	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ ETFMG VIDEO GAME TECH [EF]		10/15/2019	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ ETHO CLIMATE LEADERSHIP [EF]		10/15/2019	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ FIRST TRUST NORTH AMER ENGY INFRAS [EF]		04/5/2019	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ FRANKLIN INCOME ADV [MF]		10/15/2019	P	\$15,001 - \$50,000	
PROEQUITIES ⇒ Hannon Armstrong Sustainable Infrastructure Capital, Inc. (HASI) [ST]		10/15/2019	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ HENNESSY GAS UTILITY [MF]		10/15/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
PROEQUITIES ⇒ Huntington Ingalls Industries, Inc. (HII) [ST]		04/15/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ Intel Corporation (INTC) [ST]		10/15/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ ISHARES 1-3 YEAR TREASURY BOND [EF]		10/15/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ ISHARES CORE INTERNATIONAL AGGT [EF]		10/15/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ ISHARES CORE S&P SMALL CAP [EF]		10/15/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ ISHARES CORE US AGGREGATE [EF]		10/15/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ ISHARES CORE US AGGREGATE BOND [EF]		04/5/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ ISHARES EMERGING MARKETS [EF]		10/15/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ ISHARES TR SH TR CRPORT [EF]		10/15/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ JP MORGAN MID CAP [MF]		04/5/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ Kinder Morgan, Inc. (KMI) [ST]		05/14/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
PROEQUITIES ⇒ REAVES UTILS [MF]		10/15/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ SPDR BLMBG BARCLAYS ST [EF]		10/15/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ SPDR DOW JONES GLOBAL REAL ESTATE [EF]		10/15/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒		10/15/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
TEMPLETON GLOBAL BOND FUND [MF]					
PROEQUITIES ⇒ The Carlyle Group L.P. - Common Units (CG) [ST]		04/15/2019	P	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ Thermo Fisher Scientific Inc (TMO) [ST]		10/15/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ US GLOBAL CO GOLD [EF]		10/15/2019	P	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ VANGUARD FTSE DEVELOPED MARKETS [EF]		10/15/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ VANGUARD FTSE EMERGING MARKETS [EF]		10/15/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ VANGUARD INTL EQUITY INDEX [EF]		10/15/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ VANGUARD MEGA CAP [EF]		04/5/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ VANGUARD MEGA CAP [EF]		10/15/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ VANGUARD MID-CAP [EF]		10/15/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ VANGUARD REIT [EF]		10/15/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ VANGUARD TAX-MANAGED INTL [EF]		10/15/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ WELLS FARGO SHORT-TERM BOND [MF]		04/5/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
COMMONWEALTH OF PA	PENSION	\$92,141.40
LINCOLN NATIONAL LIFE INS	INSURANCE CONTRACTS	\$1,398.00

#### SCHEDULE D: LIABILITIES

Owner Creditor	Date Incurred	Type	Amount of Liability
PA STATE EMPLOYEES CREDIT UNION	09/13/2013	MORTGAGE	\$15,001 - \$50,000
NATIONSTAR MORTGAGE dba MR COOPER	09/29/2017	MORTGAGE	\$100,001 - \$250,000

#### SCHEDULE E: POSITIONS

None disclosed.

#### SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2019	Commonwealth of PA and Dwight Evans	Participation in an employee health care benefits from the Commonwealth of Pennsylvania.

#### SCHEDULE G: GIFTS

None disclosed.

#### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Source	Trip Details				Inclusions		
	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
CONGRESSIONAL BLACK CAUCUS INSTITUTE	03/14/2019	03/17/2019	WASHINGTON DC - ATLANTA, GA - PHILADELPHIA, PA	4	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
CONGRESSIONAL BLACK CAUCUS INSTITUTE	08/8/2019	08/11/2019	WASHINGTON DC - TUNICA, MS - PHILADELPHIA, PA	4	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
COOPERATIVE FOR ASSISTANCE AND RELIEF EVERYWHERE, INC	10/1/2019	10/8/2019	WASHINGTON DC - DHAKA, BANGLADESH - WASHINGTON DC	8	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

## **SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

## **SCHEDULE A AND B ASSET CLASS DETAILS**

- PROEQUITIES

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

- Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

- Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

- Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Dwight Evans , 08/12/2020