



Filing ID #10041464

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Raúl M. Grijalva
Status: Member
State/District: AZ03

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2020
Filing Date: 07/15/2021

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
3426 9TH AVE [RP]		\$50,001 - \$100,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Tucson, AZ, US					
AMERICAN CAP WRLD GR & INC F2 (WGIFX) [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
ARIZONA STATE RETIREMENT SYSTEM [PE]					
BLACKROCK EN GLBL DIV TR [EF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
CALAMOS GLBL TOTAL RETURN (CGO) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
CALAMOS STRG TTL RETURN FD (CSQ) [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
CITY OF TUCSON SUPPLEMENTAL RETIREMENT SYSTEM [PE]	SP	Undetermined	Tax-Deferred		<input type="checkbox"/>
COHEN&STEERS INFRASTRUCTURE FD (UTF) [EF]	SP	None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
FEDERATED HRMS ULTRASHT BD IS [MF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
INVESTCO DIVERSIFIED DIVIDEND Y [MF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
INVESTCO GLOBAL Y OGLYX [MF]	SP	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
INVESTCO VAN KAMPEN BOND FUND (VBF) [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
ISHARES NASDAQ BIOTECH ETF [EF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
JOHN HANCOCK FINANCIAL OPPS FD BTO [EF]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
LORD ABBETT ULTRA SHORT BD F (LUBFX) [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
MORGAN STANLEY BANK NA [BA]	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
NATIXIS LOOMIS SAY INV GR BD Y (LSIIX) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
PGIM JENN GLBL OPPORTUNITIES Z (PRJZX) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: listed as PRUDENTIAL JENNISON GLB OPP Z in 2017 filings					
PUBLIC SAFETY PERSONNEL RETIREMENT SYSTEM [PE]		Undetermined	Tax-Deferred		<input type="checkbox"/>
SPDR S&P 500 ETF TRUST [EF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
TEKLA HEALTHCARE OPP FUND (THQ) [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
TRANSAMERICA SHT TERM BOND I (TSTIX) [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
VANGUARD MIDCAP GROWTH ETF [EF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AMERICAN CAP WRLD GR & INC F2 (WGIFX) [MF]	SP	03/24/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
AMERICAN CAP WRLD GR & INC F2 (WGIFX) [MF]	SP	07/28/2020	P	\$1,001 - \$15,000	
AMERICAN CAP WRLD GR & INC F2 (WGIFX) [MF]	SP	11/17/2020	P	\$1,001 - \$15,000	
AMERICAN CAP WRLD GR & INC F2 (WGIFX) [MF]	SP	12/2/2020	P	\$1,001 - \$15,000	
BLACKROCK EN GLBL DIV TR [EF]	SP	03/24/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
CALAMOS GLBL TOTAL RETURN (CGO) [EF]	SP	11/17/2020	P	\$1,001 - \$15,000	
CALAMOS GLBL TOTAL RETURN (CGO) [EF]	SP	11/30/2020	P	\$1,001 - \$15,000	
CALAMOS STRG TTL RETURN FD (CSQ) [EF]	SP	11/17/2020	P	\$1,001 - \$15,000	
CLEARBRIDGE ALL CAP VALUE I [MF]	SP	03/24/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
CLEARBRIDGE APPRECIATION I [MF]	SP	03/24/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
COHEN&STEERS INFRASTRUCTURE FD (UTF) [EF]	SP	03/24/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
COHEN&STEERS INFRASTRUCTURE FD (UTF) [EF]	SP	05/19/2020	P	\$1,001 - \$15,000	
COHEN&STEERS INFRASTRUCTURE FD (UTF) [EF]	SP	07/28/2020	P	\$1,001 - \$15,000	
COHEN&STEERS INFRASTRUCTURE FD (UTF) [EF]	SP	08/25/2020	P	\$1,001 - \$15,000	
COHEN&STEERS INFRASTRUCTURE FD (UTF) [EF]	SP	11/17/2020	P	\$1,001 - \$15,000	
COHEN&STEERS INFRASTRUCTURE FD (UTF) [EF]	SP	12/7/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
CONGRESS MID CAP GROWTH INSTL [MF]	SP	03/24/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
FEDERATED HRMS ULTRASHT BD IS [MF]	SP	12/2/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
FEDERATED ULTRA SHORT BD IS [MF]	SP	05/15/2020	P	\$1,001 - \$15,000	
FIRST TR VALU.LN DIV IDX [EF]	SP	03/24/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
FIRST TRUST WATER ETF (FIW) [EF]	SP	03/24/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
FT NASDAQ CYBERSECUR ETF [EF]	SP	03/24/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
INvesco Diversified Dividend Y [MF]	SP	03/24/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
INvesco Global Y OGLYX [MF]	SP	12/2/2020	P	\$1,001 - \$15,000	
INvesco OPP Global Y OGLYX [MF]	SP	03/24/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
INvesco Van Kampen Bond Fund (VBF) [EF]	SP	07/13/2020	P	\$1,001 - \$15,000	
iShares Nasdaq Biotech ETF [EF]	SP	07/28/2020	P	\$1,001 - \$15,000	
iShares Nasdaq Biotech ETF [EF]	SP	12/7/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
John Hancock Financial Opps FD BTO [EF]	SP	03/24/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
John Hancock Financial Opps FD BTO [EF]	SP	05/19/2020	P	\$1,001 - \$15,000	
John Hancock Financial Opps FD BTO [EF]	SP	07/28/2020	P	\$1,001 - \$15,000	
John Hancock Financial Opps FD BTO [EF]	SP	08/25/2020	P	\$1,001 - \$15,000	
John Hancock Financial Opps FD BTO [EF]	SP	12/7/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Liberty All Star Equity FD SBI [MF]	SP	11/17/2020	P	\$1,001 - \$15,000	
Liberty All Star Equity FD SBI [MF]	SP	12/7/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Lord Abbett Ultra Short Bd F (LUBFX) [MF]	SP	05/14/2020	P	\$1,001 - \$15,000	
Lord Abbett Ultra Short Bd F (LUBFX) [MF]	SP	08/25/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LORD ABBETT ULTRA SHORT BD F (LUBFX) [MF]	SP	11/30/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
MFS MA INVESTORS GW STK I [MF]	SP	01/28/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
MFS MA INVESTORS GW STK I [MF]	SP	03/24/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
NATIXIS LOOMIS SAY INV GR BD Y (LSIIX) [MF]	SP	07/28/2020	P	\$1,001 - \$15,000	
PGIM JENN GLBL OPPORTUNITIES Z (PRJZX) [MF]	SP	03/24/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
PGIM JENN GLBL OPPORTUNITIES Z (PRJZX) [MF]	SP	07/28/2020	P	\$1,001 - \$15,000	
PGIM JENN GLBL OPPORTUNITIES Z (PRJZX) [MF]	SP	11/30/2020	P	\$1,001 - \$15,000	
SPDR Gold Trust (GLD) [ST]	SP	05/14/2020	P	\$1,001 - \$15,000	
SPDR Gold Trust (GLD) [ST]	SP	08/25/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
SPDR S&P 500 ETF TRUST [EF]	SP	01/30/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
SPDR S&P 500 ETF TRUST [EF]	SP	03/24/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
TEKLA HEALTHCARE OPP FUND (THQ) [EF]	SP	07/28/2020	P	\$1,001 - \$15,000	
TEKLA HEALTHCARE OPP FUND (THQ) [EF]	SP	11/17/2020	P	\$1,001 - \$15,000	
TRANSAMERICA SHT TERM BOND I (TSTIX) [MF]	SP	07/28/2020	P	\$1,001 - \$15,000	
TRANSAMERICA SHT TERM BOND I (TSTIX) [MF]	SP	08/25/2020	P	\$1,001 - \$15,000	
TRANSAMERICA SHT TERM BOND I (TSTIX) [MF]	SP	12/2/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
VANGUARD MIDCAP GROWTH ETF [EF]	SP	03/24/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
WELLS FARGO SPECIAL MDCP VL I (WFMIX) [MF]	SP	03/24/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>

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SCHEDULE C: EARNED INCOME

Source	Type	Amount
CITY OF TUCSON SUPPLEMENTAL RETIREMENT SYSTEM	SPOUSE PENSION	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	WELLS FARGO BANK	2008	MORTGAGE ON 220 13TH ST SE #4, WASHINGTON DC 20003	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
ADVISORY COUNCIL MEMBER (UNCOMPENSATED)	CONGRESSIONAL HISPANIC CAUCUS INSTITUTE
BOARD MEMBER (UNCOMPENSATED)	PROGRESSIVE CONGRESS

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 1999	RAUL GRIJALVA, ARIZONA STATE RETIREMENT SYSTEM	RETIREMENT PLAN (NOT SELF DIRECTED)
February 2002	RAUL GRIJALVA, PUBLIC SAFETY PERSONNEL RETIREMENT SYSTEM	ELECTED OFFICIALS RETIREMENT ACCOUNT (NOT SELF DIRECTED)

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Raúl M. Grijalva , 07/15/2021