



Filing ID #10061111

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B81 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Roger James Roth Jr.
Status: Congressional Candidate
State/District: WI08

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2024
Filing Date: 05/15/2024
Period Covered: 01/01/2023– 04/15/2024

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Coverdell ESA 1 ⇒ Eventide Gilead Fund (ETGLX) [MF]	DC	\$1,001 - \$15,000	Capital Gains	None	None
Coverdell ESA 1 ⇒ QQQ - INVESCO QQQ TRUST SERIES I [OT] DESCRIPTION: QQQ - INVESCO QQQ TRUST SERIES I	DC	\$1,001 - \$15,000	Capital Gains	None	None
Coverdell ESA 1 ⇒ SPDR S&P 500 Buyback ETF (SPYB) [ST]	DC	\$1,001 - \$15,000	Capital Gains	None	None
Coverdell ESA 2 ⇒ QQQ - INVESCO QQQ TRUST SERIES I [OT] DESCRIPTION: QQQ - INVESCO QQQ TRUST SERIES I	DC	\$1,001 - \$15,000	Capital Gains	None	None
Coverdell ESA 2 ⇒ SPDR S&P 500 Buyback ETF (SPYB) [ST]	DC	\$15,001 - \$50,000	Capital Gains	None	None
Coverdell ESA 3 ⇒ QQQ - INVESCO QQQ TRUST SERIES I [OT] DESCRIPTION: QQQ - INVESCO QQQ TRUST SERIES I	DC	\$1,001 - \$15,000	Capital Gains	None	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Coverdell ESA 3 ⇒ SPDR S&P 500 Buyback ETF (SPYB) [ST]	DC	\$1,001 - \$15,000	Capital Gains	None	None
Coverdell ESA 4 ⇒ SPDR S&P 500 Buyback ETF (SPYB) [ST]	DC	\$1,001 - \$15,000	Capital Gains	None	None
Coverdell ESA 5 ⇒ SPDR S&P 500 Buyback ETF (SPYB) [ST]	DC	\$1,001 - \$15,000	Capital Gains	None	None
Roger J. And Rebecca A. Roth Living Trust ⇒ Providential Investments, LLC [OL] LOCATION: Appleton/Outagamie, WI, US DESCRIPTION: Residential Rental Property	JT	\$500,001 - \$1,000,000	Rent	\$1,001 - \$2,500	\$5,001 - \$15,000
Roger J. And Rebecca A. Roth Living Trust ⇒ Roth Development Corporation, 15% Interest [OL] LOCATION: Neenah/Winnebago, WI, US DESCRIPTION: Residential Property Development	JT	\$15,001 - \$50,000	Capital Gains	None	None
Roger J. And Rebecca A. Roth Living Trust ⇒ Rebecca Roth IRA ⇒ Amazon.com, Inc. - Common Stock (AMZN) [ST]		\$15,001 - \$50,000	Capital Gains	None	None
Roger J. And Rebecca A. Roth Living Trust ⇒ Rebecca Roth IRA ⇒ Microsoft Corporation - Common Stock (MSFT) [ST]		\$1,001 - \$15,000	Capital Gains	None	None
Roger J. And Rebecca A. Roth Living Trust ⇒ Rebecca Roth IRA ⇒ Nicolet Bankshares Inc. Common Stock (NIC) [ST]		\$1,001 - \$15,000	Capital Gains	None	None
Roger J. And Rebecca A. Roth Living Trust ⇒ Rebecca Roth IRA ⇒ Nikola Corporation - Common Stock (NKLA) [ST]		\$1 - \$1,000	Capital Gains	None	None
Roger J. And Rebecca A. Roth Living Trust ⇒ Rebecca Roth IRA ⇒ SPDR S&P 500 Buyback ETF (SPYB) [ST]		\$15,001 - \$50,000	Capital Gains	None	None
Roger J. And Rebecca A. Roth Living Trust ⇒ Rebecca Roth IRA ⇒ Walt Disney Company (DIS) [ST]		\$1,001 - \$15,000	Capital Gains	None	None
Roger J. And Rebecca A. Roth Living Trust ⇒ Roger		\$1,001 - \$15,000	Capital Gains	None	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Roth IRA ⇒ Amazon.com, Inc. - Common Stock (AMZN) [ST]					
Roger J. And Rebecca A. Roth Living Trust ⇒ Roger Roth IRA ⇒ Coinbase Global, Inc. - Class A Common Stock (COIN) [ST]		\$1 - \$1,000	Capital Gains	None	None
Roger J. And Rebecca A. Roth Living Trust ⇒ Roger Roth IRA ⇒ Walt Disney Company (DIS) [ST]		\$1,001 - \$15,000	Capital Gains	None	None

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Wisconsin Legislature	State Senate Salary	N/A	\$4,006.53
WI Air National Guard (DFAS-JFLL/IN)	Service with WI Air National Guard	\$6,364.10	\$17,885.36
Seal Appeal, LLC	Construction	N/A	\$18,062.50
Sagamore Institute	Fellowship	\$10,000.00	N/A
Roger Roth & Son's Construction, LLC	General Contractor	\$6,000.00	\$55,150.00
Heinen Homeschool Services, LLC	Spouce teacher compensation	N/A	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Chase	August 2012	Mortgage on Rental Property	\$50,001 - \$100,000
JT	PHH Mortgage	August 2012	Mortgage on Rental Property	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A INVESTMENT VEHICLE DETAILS

- Coverdell ESA 1 (Owner: DC)
LOCATION: US
- Coverdell ESA 2 (Owner: DC)
LOCATION: US
- Coverdell ESA 3 (Owner: DC)
LOCATION: US
- Coverdell ESA 4 (Owner: DC)
LOCATION: US
- Coverdell ESA 5 (Owner: DC)
LOCATION: US
- Roger J. And Rebecca A. Roth Living Trust (Owner: JT)
- Roger J. And Rebecca A. Roth Living Trust ⇒ Rebecca Roth IRA (Owner: JT)
- Roger J. And Rebecca A. Roth Living Trust ⇒ Roger Roth IRA (Owner: JT)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?
☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?
☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Roger James Roth Jr., 05/15/2024