



Filing ID #10049790

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Thomas Suozzi
Status: Member
State/District: NY03

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2017
Filing Date: 12/19/2022

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-------------------------|----------------|--------|-------------------------------------|
| Allianz- Qualified IRA ⇒ AZL DFA Multi Strategy Fund [MF] | | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |
| Allianz- Qualified IRA ⇒ Black Rock Global Allocations [MF] | | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |
| AXA Investments- IRA Account ⇒ Sector Consumer Staples SPDR ETF XLP [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| AXA Investments- IRA Account ⇒ Sector Financial Select Sector EFT XLF [MF] | SP | \$1 - \$1,000 | Tax-Deferred | | <input type="checkbox"/> |
| AXA Investments- IRA Account ⇒ Sector Healthcare Select Sector ETF XLV [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| AXA Investments- IRA Account ⇒ Sector Technology Select Sector SPDR ELKV [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| AXA Investments- IRA Account ⇒ Ventas (VTR) [RE] | SP | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| AXA Life Insurance [WU] | SP | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|----------------------|----------------|--------|-------------------------------------|
| AXA_ Suozzi Family Trust Life Ins Trust [WU] | | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |
| BP Real Estate Opportunity Group LLC [OL] | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| LOCATION: Sarasota, FL, US DESCRIPTION: Real Estate Development Project with sole asset being located in Sarasota, Florida. | | | | | |
| Charles Schwab Retirement Account ⇒ Alphabet Inc. - Class A (GOOGL) [ST] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ AmerisourceBergen Corporation (ABC) [ST] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ Baidu, Inc. - American Depositary Shares, each representing one tenth Class A ordinary share (BIDU) [ST] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ BlackRock, Inc. (BLK) [ST] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ CarMax Inc (KMX) [ST] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ Cerner Corporation (CERN) [ST] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ CME Group Inc. - Class A (CME) [ST] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ Discover Financial Services (DFS) [ST] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ eBay Inc. (EBAY) [ST] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ Facebook, Inc. - Class A (FB) [ST] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ Mastercard Incorporated (MA) [ST] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|-----------------------|--------------------------|-------------------------|-------------------------------------|
| Charles Schwab Retirement Account ⇒ PayPal Holdings, Inc. (PYPL) [ST] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ Schwab Money Market Fund [MF] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ The Cooper Companies, Inc. (COO) [ST] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ The Priceline Group Inc. (PCLN) [ST] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Clarapath Corp [OL] | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| LOCATION: New York/Manhattan, NY, US DESCRIPTION: Investment is a start up company relating to biotech for Pathology. Offices are in Manhattan, New York. | | | | | |
| Damen Warehouse Owners, LLC, 6% Interest [OL] | | \$100,001 - \$250,000 | None | | <input type="checkbox"/> |
| LOCATION: Chicago, IL, US DESCRIPTION: Business is a refrigerated warehouse space located in Chicago, Illinois. | | | | | |
| Empirical Risk Management, Inc, 100% Interest [OL] | SP | \$1,001 - \$15,000 | Ordinary Business Income | \$15,001 - \$50,000 | <input type="checkbox"/> |
| LOCATION: Glen Cove/ Nassau, NY, US DESCRIPTION: Entity is an Insurance Brokerage business located in Glen Cove, New York. | | | | | |
| HCDC Holdings LLC [OL] | | \$50,001 - \$100,000 | Ordinary Business Income | \$100,001 - \$1,000,000 | <input type="checkbox"/> |
| LOCATION: Glen Cove/ Nassau, NY, US DESCRIPTION: Investment is a Minority interest in this entity which operates a day camp located in East Hampton, New York. | | | | | |
| HCDC Realty Corp [RP] | | \$100,001 - \$250,000 | None | | <input type="checkbox"/> |
| LOCATION: Glen Cove/ Nassau, NY, US DESCRIPTION: Rental Property located in East Hampton, New York as the sole asset. | | | | | |
| KEPWRS Inv, LLC [RP] | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| LOCATION: Williamsburgh, NY, US DESCRIPTION: Sole asset is rental property located in Williamsburg, New York. | | | | | |
| Merrill Lynch- Advisor Discretion Account ⇒ Advanced Micro Devices, Inc. (AMD) [ST] | | \$1,001 - \$15,000 | None | | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|--------------|-----------------------|-----------------------|-------------------|-------------------------------------|
| Merrill Lynch- Advisor Discretion Account ⇒ AT&T Inc. (T) [ST] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ BLACKROCK NY MUNICIPAL (MANKX) [MF] | | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Caterpillar, Inc. (CAT) [ST] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Cisco Systems, Inc. (CSCO) [ST] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Citigroup, Inc. (C) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Coca-Cola Company (KO) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ ConocoPhillips (COP) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Consolidated Edison, Inc. (ED) [ST] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Control4 Corporation (CTRL) [ST] | | \$1,001 - \$15,000 | None | | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Costco Wholesale Corporation (COST) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Dominion Energy, Inc. (D) [ST] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Eli Lilly and Company (LLY) [ST] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Exxon Mobil Corporation (XOM) [ST] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Henry Schein, Inc. (HSIC) [ST] | | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Intel Corporation (INTC) [ST] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|----------------------|----------------|-------------------|-------------------------------------|
| Merrill Lynch- Advisor Discretion Account ⇒ International Business Machines Corporation (IBM) [ST] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Intrexon Corporation (XON) [ST] | | \$1,001 - \$15,000 | None | | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Johnson Controls International plc Ordinary Share (JCI) [ST] | | None | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ JP Morgan Chase & Co. (JPM) [ST] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Mallinckrodt plc Ordinary Shares (MNK) [ST] | | None | None | | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Medtronic plc. Ordinary Shares (MDT) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Merck & Company, Inc. Common Stock (MRK) [ST] | | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Merrill Lynch Cash Account [BA] | | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ MetLife, Inc. (MET) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Microsoft Corporation (MSFT) [ST] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ New York Community Bancorp, Inc. (NYCB) [ST] | | None | None | | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ New York ST DORM ATRV [GS] | | \$50,001 - \$100,000 | Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Pacific Gas & Electric Co. (PCG) [ST] | | None | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Pentair plc. Ordinary Share (PNR) [ST] | | None | None | | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|-----------------------|----------------|-------------------|-------------------------------------|
| Merrill Lynch- Advisor Discretion Account ⇒ Pfizer, Inc. (PFE) [ST] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ PPL Corporation (PPL) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Procter & Gamble Company (PG) [ST] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Superior Industries International, Inc. Common Stock (SUP) [ST] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Target Corporation (TGT) [ST] | | None | None | | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ TE Connectivity Ltd. New Switzerland Registered Shares (TEL) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Texas Instruments Incorporated (TXN) [ST] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Total S.A. (TOT) [ST] | | None | Capital Gains | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ VANGUARD NY LONG TERM (VNYUX) [MF] | | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Verizon Communications Inc. (VZ) [ST] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Waste Management, Inc. (WM) [ST] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Westchester CNTY NY GO [GS] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Naples Vanderbilt C&M Investors LLC [RP] | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| LOCATION: Naples, FL, US | | | | | |
| National Securities- Advisor Discretion Account ⇒ Acres Capital Debt Opportunity Fund LP [MF] | | \$250,001 - \$500,000 | Tax-Deferred | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|--------------------------|----------------|--------------------|-------------------------------------|
| National Securities- Advisor Discretion Account ⇒ Fidelity Government Cash Reserves [MF] | | \$100,001 - \$250,000 | Tax-Deferred | | <input type="checkbox"/> |
| Phillipsburg Holding, LLC, 7% Interest [OL] LOCATION: West Orange, NJ, US DESCRIPTION: Minority interest in LLC holding rental property in West Orange, New Jersey. | | \$100,001 - \$250,000 | None | | <input checked="" type="checkbox"/> |
| SGC Commercial II, LLC [RP] LOCATION: Sarasota, FL, US DESCRIPTION: Real Estate development project. Sole asset is in Sarasota, FL. | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Southampton Country Day Camp LLC [OL] LOCATION: Southampton/Suffolk, NY, US DESCRIPTION: Minority interest in this entity which operates a day camp located in South Hampton, New York. | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Southampton Day Camp Realty LLC [RP] LOCATION: Southampton/ Suffolk, NY, US DESCRIPTION: Rental Property located in South Hampton, New York as the sole asset. | | \$50,001 - \$100,000 | Rent | \$201 - \$1,000 | <input type="checkbox"/> |
| Suozzi Solutions, Inc, 100% Interest [OL] LOCATION: Glen Cove/ Nassau, NY, US DESCRIPTION: Entity is a management advisory services consulting business located in Glen Cove, New York. Entity ceased operations at the end of 2016. | | None | None | | <input type="checkbox"/> |
| TIAA-CREF ⇒ CREF Equity Index R2 [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| TIAA-CREF ⇒ CREF Inflation-Linked Bond R2 [MF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| TIAA-CREF ⇒ CREF Social Choice R2 [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|-----------|----------|---------------------|--------------------------|
| Charles Schwab Retirement Account ⇒ Alphabet Inc. - Class A (GOOGL) [ST] | | 01/5/2017 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST] | | 01/5/2017 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ AmerisourceBergen Corporation (ABC) [ST] | | 01/5/2017 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ Baidu, Inc. - American Depositary Shares, each representing one tenth Class A ordinary share (BIDU) [ST] | | 01/5/2017 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ BlackRock, Inc. (BLK) [ST] | | 01/5/2017 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ CarMax Inc (KMX) [ST] | | 01/5/2017 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ Cerner Corporation (CERN) [ST] | | 01/5/2017 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ CME Group Inc. - Class A (CME) [ST] | | 01/5/2017 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ Compass Minerals Intl Inc (CMP) [ST] | | 01/5/2017 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ Discover Financial Services (DFS) [ST] | | 01/5/2017 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ eBay Inc. (EBAY) [ST] | | 01/5/2017 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ Express Scripts Holding Company (ESRX) [ST] | | 01/5/2017 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ Facebook, Inc. - Class A (FB) [ST] | | 01/5/2017 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ Lowe's Companies, Inc. (LOW) [ST] | | 01/5/2017 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|----------------------|--------------------------|
| Charles Schwab Retirement Account ⇒ Mastercard Incorporated (MA) [ST] | | 01/5/2017 | S | \$50,001 - \$100,000 | <input type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ PayPal Holdings, Inc. (PYPL) [ST] | | 01/5/2017 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ Schwab Money Market Fund [MF] | | 01/5/2017 | S | \$50,001 - \$100,000 | <input type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ The Cooper Companies, Inc. (COO) [ST] | | 01/5/2017 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ The Priceline Group Inc. (PCLN) [ST] | | 01/5/2017 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ Time Warner Inc. New (TWX) [ST] | | 01/5/2017 | S | \$50,001 - \$100,000 | <input type="checkbox"/> |
| Charles Schwab Retirement Account ⇒ Ventas (VTR) [RE] | | 01/6/2017 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Advanced Micro Devices, Inc. (AMD) [ST] | | 12/6/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ AT&T Inc. (T) [ST] | | 08/17/2017 | P | \$15,001 - \$50,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ BLACKROCK NY MUNICIPAL (MANKX) [MF] | | 08/17/2017 | P | \$15,001 - \$50,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Caterpillar, Inc. (CAT) [ST] | | 08/17/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Caterpillar, Inc. (CAT) [ST] | | 12/6/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Cisco Systems, Inc. (CSCO) [ST] | | 08/17/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Citigroup, Inc. (C) [ST] | | 08/17/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ | | 08/17/2017 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|-------------|---------------------|--------------------------|
| Coca-Cola Company (KO) [ST] | | | | | |
| Merrill Lynch- Advisor Discretion Account ⇒ ConocoPhillips (COP) [ST] | | 08/17/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Consolidated Edison, Inc. (ED) [ST] | | 08/17/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Control4 Corporation (CTRL) [ST] | | 08/17/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Costco Wholesale Corporation (COST) [ST] | | 08/17/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Dominion Energy, Inc. (D) [ST] | | 08/17/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Eli Lilly and Company (LLY) [ST] | | 08/17/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Exxon Mobil Corporation (XOM) [ST] | | 08/17/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Henry Schein, Inc. (HSIC) [ST] | | 12/6/2017 | S (partial) | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Henry Schein, Inc. (HSIC) [ST] | | 08/17/2017 | P | \$15,001 - \$50,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Intel Corporation (INTC) [ST] | | 08/17/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ International Business Machines Corporation (IBM) [ST] | | 08/17/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Intrexon Corporation (XON) [ST] | | 12/6/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Johnson Controls International plc Ordinary Share (JCI) [ST] | | 12/6/2017 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Johnson Controls International plc Ordinary Share (JCI) [ST] | | 08/25/2017 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------|----------------------|--------------------------|
| Merrill Lynch- Advisor Discretion Account ⇒ JP Morgan Chase & Co. (JPM) [ST] | | 08/17/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Mallinckrodt plc Ordinary Shares (MNK) [ST] | | 08/25/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Mallinckrodt plc Ordinary Shares (MNK) [ST] | | 09/5/2017 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Medtronic plc. Ordinary Shares (MDT) [ST] | | 08/17/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Merck & Company, Inc. Common Stock (MRK) [ST] | | 08/17/2017 | P | \$15,001 - \$50,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ MetLife, Inc. (MET) [ST] | | 08/17/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Microsoft Corporation (MSFT) [ST] | | 08/17/2017 | P | \$15,001 - \$50,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ New York Community Bancorp, Inc. (NYCB) [ST] | | 09/5/2017 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ New York Community Bancorp, Inc. (NYCB) [ST] | | 08/25/2017 | P | \$15,001 - \$50,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ New York ST DORM ATRV [GS] | | 08/17/2017 | P | \$50,001 - \$100,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Pacific Gas & Electric Co. (PCG) [ST] | | 12/21/2017 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Pacific Gas & Electric Co. (PCG) [ST] | | 08/17/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Pentair plc. Ordinary Share (PNR) [ST] | | 09/5/2017 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Pentair plc. Ordinary Share (PNR) [ST] | | 08/17/2017 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------|---------------------|-------------------------------------|
| Merrill Lynch- Advisor Discretion Account ⇒ Pfizer, Inc. (PFE) [ST] | | 08/17/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ PPL Corporation (PPL) [ST] | | 08/17/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Procter & Gamble Company (PG) [ST] | | 08/17/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Superior Industries International, Inc. Common Stock (SUP) [ST] | | 12/21/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Superior Industries International, Inc. Common Stock (SUP) [ST] | | 12/6/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Superior Industries International, Inc. Common Stock (SUP) [ST] | | 09/5/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Target Corporation (TGT) [ST] | | 09/5/2017 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Target Corporation (TGT) [ST] | | 09/5/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ TE Connectivity Ltd. New Switzerland Registered Shares (TEL) [ST] | | 08/17/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Texas Instruments Incorporated (TXN) [ST] | | 08/17/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Total S.A. (TOT) [ST] | | 09/5/2017 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Merrill Lynch- Advisor Discretion Account ⇒ Total S.A. (TOT) [ST] | | 08/17/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ VANGUARD NY LONG TERM (VNYUX) [MF] | | 08/17/2017 | P | \$15,001 - \$50,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ | | 08/17/2017 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|-----------------------|---------------------|
| Verizon Communications Inc. (VZ) [ST] | | | | | |
| Merrill Lynch- Advisor Discretion Account ⇒ Waste Management, Inc. (WM) [ST] | | 09/5/2017 | P | \$1,001 - \$15,000 | |
| Merrill Lynch- Advisor Discretion Account ⇒ Westchester CNTY NY GO [GS] | | 08/17/2017 | P | \$15,001 - \$50,000 | |
| National Securities- Advisor Discretion Account ⇒ Acres Capital Debt Opportunity Fund LP [MF] | | 12/31/2017 | P | \$250,001 - \$500,000 | |
| National Securities- Advisor Discretion Account ⇒ Acres Capital Debt Opportunity Fund LP [HE] | | 11/22/2017 | P | \$100,001 - \$250,000 | |
| National Securities- Advisor Discretion Account ⇒ Fidelity Government Cash Reserves (FDRXX) [MF] | | 12/13/2017 | P | \$100,001 - \$250,000 | |
| Phillipsburg Holding, LLC, 7% Interest [OL] | | 07/1/2017 | P | \$100,001 - \$250,000 | |
| LOCATION: West Orange, NJ, US | | | | | |
| DESCRIPTION: Minority Interest in LLC holding rental property in West Orange, New Jersey. | | | | | |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount |
|-------------------------------|-----------------------|-------------|
| Empirical Risk Management Inc | Insurance Commissions | \$24,208.00 |

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

| Position | Name of Organization |
|----------|-----------------------------------|
| Chairman | Morgan Park Summer Music Festival |

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

| Trip Details | | | | | Inclusions | | |
|--|------------|-----------|------------------------------|------------------|-------------------------------------|-------------------------------------|--------------------------|
| Source | Start Date | End Date | Itinerary | Days at Own Exp. | Lodging? | Food? | Family? |
| American Israel Educational Foundation | 08/1/2018 | 08/9/2018 | New York - Israel - New York | 0 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- o Allianz- Qualified IRA
- o AXA Investments- IRA Account (Owner: SP)
- o Charles Schwab Retirement Account
- o Merrill Lynch- Advisor Discretion Account
LOCATION: US
DESCRIPTION: Advisor Discretion Account under control of asset manager.
- o Merrill Lynch- Advisor Discretion Account
LOCATION: US
DESCRIPTION: Advisor Discretion Account under control of asset manager.
- o National Securities- Advisor Discretion Account
- o TIAA-CREF (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Thomas Suozzi , 12/19/2022