



Filing ID #10055155

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Tom Cole  
**Status:** Member  
**State/District:** OK04

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2022  
**Filing Date:** 06/22/2023

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
10 Acres of land in Johnson County, Owned with Brother [RP] LOCATION: Johnson County, OK, US		\$1,001 - \$15,000	None		<input type="checkbox"/>
Alliant Energy Corporation (LNT) [ST]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Becton, Dickinson and Company (BDX) [ST]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Burke & Herbert Money Market Fund [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Cole, Hargrave and Snodgrass [OL] LOCATION: Moore, OK, US DESCRIPTION: Polling, Political Campaign Consulting Company		\$250,001 - \$500,000	Capital Gains, Dividends, Management Fee	\$5,001 - \$15,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Credit Suisse Capital Appreciation Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Franklin Balance Stock Investment Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Cole, Hargrave, Snodgrass 401(K) ⇒ Franklin Income Fund A [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Franklin MSF Mutual Share Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Franklin Small/Med Cap Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Lord Abbott Core Fixed Income Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Lord Abbott Mid Cap Value Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Oppenheimer Global Fund Class A [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Oppenheimer Main Street Fund Class A [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Pimco High Yield Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Putnam International Equity Fund A [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Strong Government Securities Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Templeton Foreign Fund A [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Vankemp Common Stock Fund A [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Duke Energy Corporation (DUK) [ST]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Ellen Cole's 401(k) ⇒ Fidelity Diversified International Fund [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Ellen Cole's 401(k) ⇒	SP	\$15,001 - \$50,000	Capital Gains,	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Fidelity Spartan 500 Index Fund [MF]			Dividends		<input type="checkbox"/>
Ellen Cole's 401(k) ⇒ Fidelity Total Bond Fund [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Ellen's Fidelity IRA ⇒ Fidelity Contrafund Fund [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Ellen's Fidelity IRA ⇒ Fidelity Diversified International Stock Fund [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Ellen's Fidelity IRA ⇒ Fidelity Spartan 500 Index Fund [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Ellen's Fidelity IRA ⇒ Fidelity Total Bond Fund [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Embecka Corp. (EMBC) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	None	<input checked="" type="checkbox"/>
Enbridge Inc (ENB) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Exxon Mobil Corporation (XOM) [ST]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Fidelity Contrafund [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Fidelity Spartan 500 Index Fund [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Fidelity Variable Annuity ⇒ Fidelity Freedom 2020 Fund [MF]	JT	\$250,001 - \$500,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Johnson Controls, Inc. (JCI) [ST]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Mid First Money Market Fund [BA]	JT	\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
Personal Residence [RP]	JT	\$250,001 - \$500,000	None		<input type="checkbox"/>
LOCATION: Moore / Cleveland, OK, US					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Strategic Communications (Moore) Stock [PS]		\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Owns Phone Bank Operated in Moore, Oklahoma					
T. Rowe Price Equity Income Fund [MF]	JT	\$250,001 - \$500,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Tom's Federal Thrift Savings Plan ⇒ C Fund [IH]		\$500,001 - \$1,000,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Tom's Federal Thrift Savings Plan ⇒ F Fund [IH]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Tom's Federal Thrift Savings Plan ⇒ G Fund [IH]		\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Tom's Federal Thrift Savings Plan ⇒ I Fund [IH]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Tom's Fidelity IRA ⇒ Fidelity Contrafund Fund [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Tom's Fidelity IRA ⇒ Fidelity Diversified International Fund [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Tom's Fidelity IRA ⇒ Fidelity Spartan 500 Index Fund [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Tom's Fidelity IRA ⇒ Fidelity Total Bond Fund [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Tronox Limited Ordinary Shares Class A \$0.01 par (TROX) [ST]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Vanguard Dividend Growth Fund [MF]	JT	\$250,001 - \$500,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Vanguard Energy Investor Fund [MF]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Vanguard Financial Index Admiral Fund [MF]	JT	\$50,001 - \$100,000	None		<input type="checkbox"/>
Vanguard Growth Index Fund [MF]	JT	\$1,001 - \$15,000	Capital Gains,	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
			Dividends		<input type="checkbox"/>
Vanguard High Yield Tax Exempt Fund [MF]	JT	\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Vanguard Real Estate Fund [MF]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Vanguard Small Cap Index Fund [MF]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard Total International Stock Fund [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Vanguard Total Stock Market Index Fund [MF]	JT	\$500,001 - \$1,000,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Vanguard Value Index Fund [MF]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Walgreen Co. (WAG) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Wells Fargo & Company (WFC) [ST]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Alliant Energy Corporation (LNT) [ST]	SP	05/25/2022	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Alliant Energy Corporation (LNT) [ST]	SP	Quarterly	P	\$1,001 - \$15,000	
Embecta Corp. (EMBC) [ST]	JT	04/1/2022	P	\$1,001 - \$15,000	
Fidelity Variable Annuity ⇒ Fidelity Freedom 2020 Fund [MF]	JT	Monthly	P	\$1,001 - \$15,000	
Johnson Controls International plc Ordinary Share (JCI) [ST]	JT	Quarterly	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
MidFirst Money Market [BA]	JT	04/12/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
T. Rowe Price Equity Income Fund [MF]	JT	Monthly	P	\$1,001 - \$15,000	
Tom's Federal Thrift Savings Plan ⇒ C Fund [MF]		Monthly	P	\$1,001 - \$15,000	
Tom's Federal Thrift Savings Plan ⇒ F Fund [MF]		Monthly	P	\$1,001 - \$15,000	
Tom's Federal Thrift Savings Plan ⇒ G Fund [MF]		Monthly	P	\$1,001 - \$15,000	
Tom's Federal Thrift Savings Plan ⇒ I Fund [MF]		Monthly	P	\$1,001 - \$15,000	
Vanguard Dividend Growth Fund [MF]	JT	Quarterly	P	\$1,001 - \$15,000	
Vanguard Energy Investor Fund [MF]	JT	02/1/2022	P	\$15,001 - \$50,000	
Vanguard Energy Investor Fund [MF]	JT	02/14/2022	P	\$1,001 - \$15,000	
Vanguard Energy Investor Fund [MF]	JT	02/23/2023	P	\$1,001 - \$15,000	
Vanguard Financial Index Admiral Fund [MF]	JT	02/15/2022	P	\$50,001 - \$100,000	
Vanguard Financial Index Admiral Fund [MF]	JT	03/2/2022	P	\$1,001 - \$15,000	
Vanguard High Yield Tax Exempt Fund [MF]	JT	02/15/2022	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Vanguard High Yield Tax Exempt Fund [MF]	JT	Monthly	P	\$1,001 - \$15,000	
Vanguard Real Estate Admiral Fund [MF]	JT	02/1/2022	P	\$1,001 - \$15,000	
Vanguard Real Estate Admiral Fund [MF]	JT	03/2/2023	P	\$1,001 - \$15,000	
Vanguard Total International Stock Market Fund [MF]	JT	Quarterly	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard Total Stock Market Index Fund [MF]	JT	Quarterly	P	\$1,001 - \$15,000	
Vanguard Value Index Fund [MF]	JT	02/1/2022	P	\$1,001 - \$15,000	
Vanguard Value Index Fund [MF]	JT	02/23/2023	P	\$1,001 - \$15,000	
Wells Fargo & Company (WFC) [ST]	JT	01/10/2022	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Cole, Hargrave, Snodgrass and Associates	Management Fee	\$10,000.00

## SCHEDULE D: LIABILITIES

None disclosed.

## SCHEDULE E: POSITIONS

Position	Name of Organization
Partner	Strategic Communications
Partner	Cole, Hargrave, Snodgrass and Associates
Board of Trustees	United States Capitol Historical Society

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
April 2003	Cole, Hargrave, Snodgrass and Associates	Quarterly Management Fee of \$5,000 from personal business (Approved by Committee on Standards)

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## **SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

## **SCHEDULE A AND B ASSET CLASS DETAILS**

- Cole, Hargrave, Snodgrass 401(K)
- Ellen Cole's 401(k) (Owner: SP)
- Ellen's Fidelity IRA (Owner: SP)
- Fidelity Variable Annuity (Owner: JT)
- Tom's Federal Thrift Savings Plan  
LOCATION: US
- Tom's Fidelity IRA

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Tom Cole , 06/22/2023