



Filing ID #10048186

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. William R. Keating  
**Status:** Member  
**State/District:** MA09

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2021  
**Filing Date:** 06/8/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
271 CANTON ST. [RP]  LOCATION: STOUGHTON, MA, US	JT	\$100,001 - \$250,000	Rent	\$2,501 - \$5,000	<input type="checkbox"/>
Accenture plc Class A Ordinary Shares (ACN) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
ALPHABET INC CAP [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
ANTHEM INC. NOTE [AB]  DESCRIPTION: fixed coupon		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Aramark (ARMK) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
AT&T Inc. (T) [AB]  DESCRIPTION: fixed coupon		\$5,000,001 - \$25,000,000	Tax-Deferred		<input checked="" type="checkbox"/>
Baird aggregate bond Inst [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Bank of America Corporation Income Capital Obligation Notes initially due December 15, 2066 (MER\$K) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Baxter International Inc. (BAX) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
BENTON DICKINSON [AB]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: fixed coupon					
Bill's IRA ⇒ Adobe Inc. (ADBE) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Bill's IRA ⇒ AMERICAN EURO PACIFIC [MF]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Bill's IRA ⇒ AutoZone, Inc. (AZO) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
BJ's Wholesale Club Holdings, Inc. (BJ) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
BLACKROCK EVENT DRIVEN EQUITY [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
BLACKROCK STRAT INC OPP [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
BLACKROCKTOTAL FACTOR [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
BOEING INT [SA]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: fixed coupon					
BOOKING HOLDINGD INC [SA]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: fixed coupon					
BROWN CAPITAL MGT SMALLCO [MF]		\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
CACI INT INC [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
CATERPILLAR INC [SA]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: fixed coupon					
CDW CORP [SA]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: fixed coupon					
CITICRP INC [AB]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
COM OF MASS RETIREMENT [PE]		\$100,001 - \$250,000	SEE SCHEDULE C	\$100,001 - \$1,000,000	<input type="checkbox"/>
DESCRIPTION: REIREMENT PENSION					
CROWN CASTLE INC [SA]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: fixed coupon					
CVS HEALTH CORP [SA]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: fixed coupon					
CVS HEALTH CORP [SA]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: PURCHASE IRA					
ECOFIN GLOBAL RENEWABLES [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
ELECTRONIC ARTS INC [SA]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: fixed coupon					
Energy Transfer LP Common Units (ET) [AB]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
FIDELITY 500 IND [MF]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
FIDELITY ADVISOR INTL SMALL CAP [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
FIDELITY CONTRA FUND [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
FIDELITYCASH RESERVES [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
FIDELITYINTERMEDIATE MUNI INC [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
GENERAL MOTORS FIN [AB]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
GOLDMAN SACHS GRP [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
GOLDMAN SACHS HIGH YIELD [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
HARTFORD STRATEGIC INC FUND [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
INTERCONTENENTAL EXCHANGE [SA]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: PURCHASE IRA					
INTUIT INC [SA]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: PURCHASE IRA					
ISHARES EDGE MULTIFACTOR INT [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
ISHARES S&P 500 VALUE INDEX [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
ISHARES TR CORE MCI [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
ISHARES TR CORE MSCI [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
ISHARES TRUST EAFE GRO [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
JACOBS ENGINEERING INC [SA]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: PURCHASE IRA					
JP MORGANCORE BOND [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: PURCHASE IRA					
LAM RESEARCH GRP [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
LOWES CO INC [SA]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: PURCHASE IRA					
MASRTERCARD INC [SA]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: PURCHASE IRA					
MCDONALDS CORP [AB]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
MEDTRONIC [SA] DESCRIPTION: PURCHASE IRA		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
MERK [SA] DESCRIPTION: PURCHASE IRA		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
MICROSOFT [SA] DESCRIPTION: PURCHASE IRA		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
MOODYS CORP [SA] DESCRIPTION: PURCHASE IRA		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
MORGAN STANLEY [AB]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
NETFLIX INC [AB]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
NORTHROP GRUMMAN [SA] DESCRIPTION: PURCHASE		\$1 - \$1,000	Tax-Deferred		<input checked="" type="checkbox"/>
NXP SEMICONDUCTORS [SA] DESCRIPTION: PURCHASE		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
ORACLE [AB]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
PARTNERS SELECT ALT STRATEGIES [MF]		\$50,001 - \$100,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
PEPSICO INC. [SA] DESCRIPTION: PURCHASE		\$1 - \$1,000	Tax-Deferred		<input checked="" type="checkbox"/>
PNC FINANCIAL [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
PRINCIPAL DIVERSIFIED REAL ESTATE FUND [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
PROCTOR AND GAMBLE [SA] DESCRIPTION: PURCHASE		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
ROSS STORES [SA]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: PURCHASE					
SCHWAB STRATEGIC TR INTERNATIONAL [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
SIMON PPTY GROUP [AB]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
SPDR SCR TR MSCI USA STRATEGIC [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
STONE RIDGE HIGH YIELD REINSUEANCE [MF]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TOUCHSTONE SANDS CAP EMERGING MKTS GROWTH INST [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TRANS AMERICA MID CAP [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
TROWE PRICE MIDCAP GROWTH [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UNITED HEALTHCARE GRP INC [SA]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: PURCHASE					
UNITED RENTALS INC [SA]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: PRCHASE					
US AIRWAYS RETIREMENT [PE]	SP	\$1,001 - \$15,000	PENSION	\$5,001 - \$15,000	<input type="checkbox"/>
VANGUARD 500 INDEX [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
VANGUARD BALANCED INDEX [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
VANGUARD EQUITY INCOME [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
VANGUARD FEDERAL MONEY MKT [MF]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
VANGUARD INT TAX EXCEMPT [MF]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
VANGUARD INTERNATIONAL EQUITY INDEX [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
VANGUARD MID CAP VALUE [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
VANGUARD SM CAP GROWTH [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
VANGUARD TAX MANAGED BALANCED [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
VANGUARD WHITEHALL FUNDS [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
VEEVA SYST INC [SA]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: coupon					
WALGEENS CO [AB]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
ABRDN GOLD ETF [MF]		03/23/2021	P	\$1,001 - \$15,000	
ABRDN GOLD ETF [MF]		03/17/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
ABRDN GOLD ETF [MF]		11/18/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
ABRDN GOLD ETF [MF]		11/18/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
ABRDN GOLD ETF [MF]		11/19/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Accenture plc Class A Ordinary Shares (ACN) [ST]		03/17/2021	P	\$1,001 - \$15,000	
ADOBE SYSTEMS [SA]		06/10/2021	P	\$1,001 - \$15,000	
DESCRIPTION: stock purchase					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
ALPHABET INC CAP [SA] DESCRIPTION: stock purchase		03/17/2021	P	\$1,001 - \$15,000	
AMERICAN EURO PACIFIC [MF]		03/17/2021	P	\$50,001 - \$100,000	
AMERICAN EURO PACIFIC [MF]		03/21/2021	P	\$1,001 - \$15,000	
AMERICAN EURO PACIFIC [MF]		09/23/2021	P	\$1,001 - \$15,000	
AMERICAN EURO PACIFIC [MF]		10/21/2021	P	\$1,001 - \$15,000	
AMERICAN EURO PACIFIC [MF]		11/24/2021	P	\$1,001 - \$15,000	
ANTHEM INC. NOTE [AB]		04/21/2022	P	\$15,001 - \$50,000	
Aramark (ARMK) [ST]		03/17/2021	P	\$1,001 - \$15,000	
AutoZone, Inc. (AZO) [ST]		05/17/2021	P	\$1,001 - \$15,000	
Baird aggregate bond Inst [MF]		10/21/2021	P	\$1,001 - \$15,000	
Baird aggregate bond Inst [MF]		03/23/2021	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
Baird aggregate bond Inst [MF]		11/15/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
BAXTER INT [PS] DESCRIPTION: PURCHASE IRA		03/17/2021	P	\$1,001 - \$15,000	
Baxter International Inc. (BAX) [ST]		04/14/2021	P	\$1,001 - \$15,000	
BJS WHOLESALE CLUB [SA] DESCRIPTION: PURCHASE IRA		03/17/2021	P	\$1,001 - \$15,000	
BLACKROCK EVENT DRIVEN EQUITY [MF]		10/21/2021	P	\$15,001 - \$50,000	
BLACKROCK EVENT DRIVEN EQUITY [MF]		10/21/2021	P	\$1,001 - \$15,000	
BLACKROCK EVENT DRIVEN EQUITY [MF]		11/24/2021	P	\$1,001 - \$15,000	



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
BLACKROCK EVENT DRIVEN EQUITY [MF]		03/17/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
BLACKROCK STRAT INC OPP [MF]		09/21/2021	P	\$1,001 - \$15,000	
BLACKROCK STRAT INC OPP [MF]		10/21/2021	P	\$1,001 - \$15,000	
BLACKROCK STRAT INC OPP [MF]		10/21/2021	P	\$1,001 - \$15,000	
BLACKROCK STRAT INC OPP [MF]		11/4/2021	P	\$1,001 - \$15,000	
BLACKROCK STRAT INC OPP [MF]		03/17/2021	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
BLACKROCK STRAT INC OPP [MF]		03/23/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
BLACKROCKTOTAL FACTOR [MF]		02/10/2021	P	\$15,001 - \$50,000	
BLACKROCKTOTAL FACTOR [MF]		03/17/2021	P	\$15,001 - \$50,000	
BOEING CO COM [SA] DESCRIPTION: PURCHASE IRA		04/14/2021	P	\$1,001 - \$15,000	
BOENG INT [SA] DESCRIPTION: stock purchase		03/17/2021	P	\$1,001 - \$15,000	
BROOKINGS HOLDINGS INC [SA] DESCRIPTION: stock purchase		03/17/2021	P	\$1,001 - \$15,000	
BROWN CAPITAL MGT SMALLCO [MF]		02/10/2022	P	\$50,001 - \$100,000	
BROWN CAPITAL MGT SMALLCO [MF]		03/17/2021	P	\$50,001 - \$100,000	
BROWN CAPITAL MGT SMALLCO [MF]		09/21/2021	P	\$1,001 - \$15,000	
BROWN CAPITAL MGT SMALLCO [MF]		10/21/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
CACI INTERNATIONAL INC [SA] DESCRIPTION: PURCHASE IIRA		03/17/2021	P	\$1,001 - \$15,000	
Capital One Financial Corporation (COF) [ST]		05/17/2021	P	\$1,001 - \$15,000	
CATERPILLAR INC [SA] DESCRIPTION: PURCHASE IRA		03/17/2021	P	\$1,001 - \$15,000	
CDW CORP [SA] DESCRIPTION: PURCHASEIRA		03/17/2021	P	\$1,001 - \$15,000	
CHEVRON CORP [SA] DESCRIPTION: PURCHASE IRA		03/17/2021	P	\$1,001 - \$15,000	
CHEVRON CORP [SA] DESCRIPTION: stock sale		04/14/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
CROWN CASTLE INTL CORP [SA] DESCRIPTION: PURCHASE IRA		03/17/2022	P	\$1,001 - \$15,000	
CVS HEALTH CORP [SA] DESCRIPTION: PURCHASE IRA		05/17/2022	P	\$1,001 - \$15,000	
DODGE 5 COX INC [MF]		03/17/2021	S	\$100,001 - \$250,000	<input type="checkbox"/>
DOUBLELINE TOTAL RETURN BOND [MF]		03/21/2021	P	\$15,001 - \$50,000	
DOUBLELINE TOTAL RETURN BOND [MF]		04/15/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
ECOFIN GLOBAL RENEWABLES [MF]		11/18/2021	P	\$15,001 - \$50,000	
ECOFIN GLOBAL RENEWABLES [MF]		11/19/2021	P	\$15,001 - \$50,000	
ECOFIN GLOBAL RENEWABLES [MF]		11/24/2021	P	\$1,001 - \$15,000	
ELECTRONIC ARTS INC [SA] DESCRIPTION: PURCHASE IRA		03/17/2021	P	\$1,001 - \$15,000	
ENERGY TRANSFER [AB]		03/30/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
FIDELITY 500 INDEX FUND [MF]		03/17/2021	P	\$50,001 - \$100,000	
FIDELITY ADVISOR INTL SMALL CAP [MF]		03/23/2021	P	\$15,001 - \$50,000	
FIDELITY ADVISOR INTL SMALL CAP [MF]		09/23/2021	P	\$1,001 - \$15,000	
FIDELITY ADVISOR INTL SMALL CAP [MF]		10/21/2021	P	\$1,001 - \$15,000	
FIDELITY ADVISOR INTL SMALL CAP [MF]		03/17/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
FIDELITY CONTRA FUND [MF]		10/21/2021	P	\$1,001 - \$15,000	
FIDELITY CONTRA FUND [MF]		03/17/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
FIDELITY CONTRA FUND [MF]		03/23/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
FIDELITY INTERMEDIATE MUNI INCOM [MF]		09/23/2021	P	\$1,001 - \$15,000	
FIDELITY INTERMEDIATE MUNI INCOM [MF]		03/23/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
FIDELITY INTERMEDIATE MUNI INCOME [MF]		10/21/2021	P	\$1,001 - \$15,000	
FISERV INC [SA] DESCRIPTION: PURCHASE IRA		03/17/2021	P	\$1,001 - \$15,000	
GENERAL MOTORS FINL CO INC [AB]		03/18/2022	P	\$15,001 - \$50,000	
GOLDMAN SACHS GRP [AB]		03/18/2022	P	\$15,001 - \$50,000	
GOLDMAN SACHS HIGH YIELD [MF]		04/15/2021	P	\$15,001 - \$50,000	
HARTRIDGE STRATEGIC INCOME FUND [MF]		04/15/2021	P	\$15,001 - \$50,000	
intercontinental exchange inc [SA] DESCRIPTION: PURCHASE IRA		03/17/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
intuit inc [SA] DESCRIPTION: PURCHASE IRA		03/17/2022	P	\$1,001 - \$15,000	
IQ HEDGE MULTI STRATEGY TRACKER [MF]		02/21/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
ISHARES BARCLAYS 1-3 TREASURY BOND [MF]		01/21/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
ISHARES S&P 500 VALUE INDEX [MF]		03/23/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
ISHARES TR CORE MCI [MF]		03/17/2022	P	\$15,001 - \$50,000	
ISHARES TR CORE MCI [MF]		03/23/2021	P	\$15,001 - \$50,000	
ISHARES TR CORE MCI [MF]		03/23/2022	P	\$1,001 - \$15,000	
ISHARES TR CORE MCI [MF]		10/21/2021	P	\$1,001 - \$15,000	
ISHARES TR CORE MCI [MF]		11/21/2021	P	\$1,001 - \$15,000	
ishares tr rmsci emg mkts [MF]		12/21/2021	P	\$15,001 - \$50,000	
jacobs engr grp inc [SA] DESCRIPTION: PURCHASE IRA		03/17/2021	P	\$1,001 - \$15,000	
JP MORGAN CHASE & CO [SA] DESCRIPTION: PURCHASE IRA		03/17/2022	P	\$1,001 - \$15,000	
JP MORGANCORE BOND [MF]		03/17/2021	S	\$100,001 - \$250,000	<input type="checkbox"/>
LAM RESEARCH CORP [SA] DESCRIPTION: PURCHASE IRA		03/17/2021	P	\$1,001 - \$15,000	
LOOMIS SAYLES BOND [MF]		03/17/2021	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
LOOMIS SAYLES BOND [MF]		10/21/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LOWES CO INC [SA] DESCRIPTION: PURCHASE IRA		03/17/2021	P	\$1,001 - \$15,000	
lsv small cap value [MF]		03/17/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
MACDONALDS CORP NOTE [AB]		05/6/2021	P	\$15,001 - \$50,000	
MASTERCARD INC [SA] DESCRIPTION: PURCHASE IRA		03/17/2022	P	\$1,001 - \$15,000	
MEDTRONIC PLC [SA] DESCRIPTION: PURCHASE IRA		03/17/2021	P	\$1,001 - \$15,000	
MERK & CO [SA] DESCRIPTION: PURCHASE IRA		03/17/2021	P	\$1,001 - \$15,000	
MICROSOFT [SA] DESCRIPTION: PURCHASE IRA		03/17/2021	P	\$15,001 - \$50,000	
MOODYS CORP [SA] DESCRIPTION: PURCHASE IRA		03/17/2022	P	\$1,001 - \$15,000	
MORGAN STANLEY MTN [AB]		04/14/2021	P	\$15,001 - \$50,000	
NETFLIX INC NOTE [AB]		03/30/2022	P	\$15,001 - \$50,000	
NORTHROP GRUMMAN [SA] DESCRIPTION: PURCHASE IRA		03/17/2021	P	\$1,001 - \$15,000	
NXP SEMICONDUCTORS [SA] DESCRIPTION: PURCHASE IRA		03/17/2021	P	\$1,001 - \$15,000	
ORACLE CORP NOTE [AB]		04/29/2021	P	\$15,001 - \$50,000	
PARTNERS SELECT ALT STRATEGIES [MF]		11/15/2021	P	\$50,001 - \$100,000	
PEPSICO INC. [SA] DESCRIPTION: PURCHASE IRA		03/17/2021	P	\$1,001 - \$15,000	
PNC FINANCIAL [SA]		03/17/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: PURCHASE IRA					
PRINCIPAL DIVERSIFIED REAL ESTATE FUND [MF]		03/17/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
PROCTOR AND GAMBLE [SA]		03/17/2021	P	\$1,001 - \$15,000	
DESCRIPTION: PURCHASE IRA					
ROSS STORES [SA]		03/17/2021	P	\$1,001 - \$15,000	
DESCRIPTION: PURCHASE IRA					
SCHWAB STRATEGIC TR INTERNATIONAL [MF]		11/24/2021	P	\$1,001 - \$15,000	
SIMON PPTY GROUP NOTE [AB]		03/18/2021	P	\$15,001 - \$50,000	
SPDR SCR TR MSCI USA STRATEGIC [MF]		03/17/2021	P	\$1,001 - \$15,000	
SPDR SCR TR MSCI USA STRATEGIC [MF]		03/17/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
STONE REINSURANCE RISK [MF]		02/26/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
STONE REINSURANCE RISK [MF]		05/21/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
STONE RIDGE HIGH YIELD REINSUEANCE [MF]		02/24/2021	P	\$1,001 - \$15,000	
STONE RIDGE HIGH YIELD REINSUEANCE [MF]		03/17/2021	P	\$15,001 - \$50,000	
STONE RIDGE HIGH YIELD REINSUEANCE [MF]		10/21/2021	P	\$1,001 - \$15,000	
STONERIDGE ALTERN LENDING RISK [MF]		02/26/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
SUNCORE ENERGY INC [SA]		03/17/2021	P	\$1,001 - \$15,000	
DESCRIPTION: PURCHASE IRA					
SUNCORE ENERGY INC [SA]		04/14/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: stock sale					
TOUCHSTONE SANDS CAP EMERGING MKTS GROWTH		03/17/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
INST [MF]					<input type="checkbox"/>
TOUCHSTONE SANDS CAP EMERGING MKTS GROWTH INST [MF]		04/29/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
TOUCHSTONE SANDS CAP EMERGING MKTS GROWTH INST [MF]		12/21/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
TWEEDY BROWNE INTL VAL [MF]		03/17/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
UNITED HEALTHCARE GRP INC [SA] DESCRIPTION: PURCHASE IRA		03/17/2021	P	\$1,001 - \$15,000	
UNITED RENTALS INC [SA] DESCRIPTION: PURCHASE IRA		03/17/2021	P	\$1,001 - \$15,000	
valero energy corp note [AB]		06/6/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
VANGUARD EQUITY INCOME [MF]		11/19/2021	P	\$15,001 - \$50,000	
VANGUARD EXTENDED MRKT ETF [MF]		03/17/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
VANGUARD INT TAX EXCEMPT [MF]		11/24/2021	P	\$15,001 - \$50,000	
VANGUARD INT TAX EXCEMPT [MF]		11/24/2021	P	\$15,001 - \$50,000	
VANGUARD INT TAX EXCEMPT [MF]		03/23/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
VANGUARD INT TAX EXCEMPT [MF]		11/8/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
VANGUARD INTERNATIONAL EQUITY INDEX [MF]		04/29/2021	P	\$15,001 - \$50,000	
VANGUARD MIDCAP VALUE INDEX [MF]		11/24/2021	P	\$1,001 - \$15,000	
vanguard specialized funds [MF]		11/18/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
vanguard specialized funds [MF]		11/19/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
VANGUARD SPECIALZED FUNDS [MF]		03/17/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
VANGUARD WHITEHALL FUNDS [MF]		11/24/2021	P	\$15,001 - \$50,000	
VANGUARD WHITEHALL FUNDS [MF]		11/19/2021	P	\$15,001 - \$50,000	
VANGUARD WHITEHALL FUNDS [MF]		10/21/2021	P	\$1,001 - \$15,000	
VANGUARD WHITEHALL FUNDS [MF]		01/20/2021	P	\$15,001 - \$50,000	
VANGUARD WHITEHALL FUNDS [MF]		03/17/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
VEEVA SYST INC [SA] DESCRIPTION: PURCHASE IRA		03/17/2021	P	\$1,001 - \$15,000	
veirsign inc [AB]		06/6/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
VERISIGN INC [SA] DESCRIPTION: PURCHASE IRA		03/21/2021	P	\$1,001 - \$15,000	
VVANGUARD SMALLCAP GRO INDEX [MF]		11/24/2021	P	\$1,001 - \$15,000	
WALGEENS CO NOTE [AB]		03/30/2021	P	\$15,001 - \$50,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
commonwealth of massachusetts retirement plan	retirement plan	\$113,880.00
US Airways retirement plan	retirement plan spouse	N/A

## SCHEDULE D: LIABILITIES

None disclosed.

## SCHEDULE E: POSITIONS



Position	Name of Organization
Director	SCU credit union

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 1999	Commonwealth of Massachusetts	retirement plan
September 1996	spouse/us airways	retirement plan

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- Bill's IRA

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. William R. Keating , 06/8/2022