



Filing ID #10055368

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Adam B. Schiff  
**Status:** Member  
**State/District:** CA30

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2019  
**Filing Date:** 08/11/2023

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AbbVie Inc. (ABBV) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Bank of America Savings Account [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Fidelity Brokerage ⇒ Fidelity Cash Reserves [BA]	JT	\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
Franklin Templeton ⇒ Franklin Growth Opportunities Fund Class A (FGRAX) [MF]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Franklin Templeton ⇒ Franklin Growth Opportunities Fund Class C (FKACX) [MF]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Franklin Templeton ⇒ Franklin Mutual Global Discovery Fund Class A (TEDIX) [EF]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Franklin Templeton ⇒ Franklin Mutual Global Discovery Fund Class C (TEDSX) [MF]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Franklin Templeton ⇒ Franklin Rising Dividends Fund Class A (FRDPX) [MF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Franklin Templeton ⇒ Franklin Rising Dividends Fund Class C (FRDTX) [EF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Franklin Templeton ⇒ Franklin Templeton Mutual Shares Fund Class A (TESIX) [MF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Franklin Templeton ⇒ Franklin Templeton Mutual Shares Fund Class C (TEMTX) [MF]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Inherited IRA ⇒ T Rowe Price New Horizons (PRNHX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS ⇒ Apple Inc. (AAPL) [ST]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
UBS ⇒ I Shares DJ Tech Sector Ind Fund (IYW) [EF]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
UBS ⇒ I Shares DJ US Healthcare Sector Ind Fund (IYH) [EF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
UBS ⇒ I Shares MSCI Emerging Markets Fund (EEM) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
UBS ⇒ I Shares Trust Core S&P 500 Index (IVV) [EF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
UBS ⇒ I Shares Trust Global Industrials Index Fund (EXI) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
UBS ⇒ I Shares Trust Russell 2000 Index (IWM) [EF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
UBS ⇒ I Shares Trust S&P Global Energy Sector Index Fund (IXC) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
UBS ⇒ Invesco QQQ Trust (QQQ) [EF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
UBS ⇒ Pimco Income Fund Class C (PONCX) [MF]	SP	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
UBS ⇒ UBS Bank USA Deposit [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
UBS - Individual Retirement Account ⇒ Fidelity Puritan Fund (FPURX) [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
UBS - Individual Retirement Account ⇒ I Shares Trust Core S&P 500 Index (IVV) [EF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
UBS - Individual Retirement Account ⇒ Invesco QQQ Trust (QQQ) [EF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
UBS - Individual Retirement Account ⇒ WP Carey Inc REIT (WPC) [RE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Franklin Templeton ⇒ Franklin Growth Opportunities Fund Class A (FGRAX) [MF]	JT	12/16/2019	P	\$1,001 - \$15,000	
Franklin Templeton ⇒ Franklin Growth Opportunities Fund Class C (FKACX) [MF]	JT	12/16/2019	P	\$1,001 - \$15,000	
Franklin Templeton ⇒ Franklin Mutual Global Discovery Fund Class A (TEDIX) [MF]	JT	12/20/2019	P	\$1,001 - \$15,000	
Franklin Templeton ⇒ Franklin Templeton Mutual Shares Fund Class C (TEMTX) [MF]	JT	10/4/2019	P	\$1,001 - \$15,000	
UBS ⇒ Apple Inc. (AAPL) [ST]	SP	05/1/2019	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
UBS ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]	SP	05/1/2019	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
UBS ⇒ iShares Core S&P 500 ETF (IVV) [EF]	SP	05/1/2019	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
UBS ⇒ iShares Core S&P 500 ETF (IVV) [EF]	SP	07/12/2019	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
UBS ⇒ iShares Core S&P 500 ETF (IVV) [EF]	SP	08/28/2019	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
UBS ⇒ iShares Core S&P 500 ETF (IVV) [EF]	SP	12/31/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
UBS ⇒ iShares Core S&P 500 ETF (IVV) [EF]	SP	06/7/2019	P	\$1,001 - \$15,000	
UBS ⇒ iShares Russell 2000 ETF (IWM) [EF]	SP	05/1/2019	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
UBS ⇒ Pimco Income Fund Class C (PONCX) [MF]	SP	07/12/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Provident Funding	December 2011	Mortgage on private residence in Burbank, CA	\$100,001 - \$250,000
SP	UBS Financial Services Inc	Various dates in 2019	Margin loan on portfolio	\$15,001 - \$50,000
	Freedom Mortgage	November 2017	Mortgage on private residence in Potomac, MD	\$250,001 - \$500,000

## SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
The Aspen Institute (The Aspen Security Forum)	07/19/2019	07/20/2019	Washington, D.C. - Aspen, Colorado - Los Angeles, CA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Source	Activity	Date	Amount	Charity Name
Bill Maher Show	Appearance	02/22/2019	\$850.00	Hollywood American Legion, Post 43
Bill Maher Show	Appearance	04/26/2019	\$850.00	Public Counsel

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none"><li>Fidelity Brokerage (Owner: JT) LOCATION: US</li><li>Franklin Templeton (Owner: JT) LOCATION: US</li><li>Inherited IRA (Owner: SP)</li><li>UBS (Owner: SP) LOCATION: US</li><li>UBS - Individual Retirement Account</li><li>UBS - Individual Retirement Account (Owner: SP)</li></ul>
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Adam B. Schiff , 08/11/2023