



Filing ID #10049710

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. James A. Himes
Status: Member
State/District: CT04

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2021
Filing Date: 07/12/2022

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Chase Savings Account [BA]	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Chase Savings Account [BA]	JT	\$1,000,001 - \$5,000,000	Interest	\$1 - \$200	<input type="checkbox"/>
Chase Workplace Checking [BA]	JT	\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
DC1 ⇒ Index Fixed Income Option [5P] LOCATION: CT	DC	None	None		<input type="checkbox"/>
DC1 ⇒ Money Market Option [5P] LOCATION: CT DESCRIPTION: Connecticut Higher Education Trust	DC	None	None		<input type="checkbox"/>
DC2 ⇒ CT Intermediate Treasury [5P] LOCATION: CT	DC	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
DC2 ⇒ CT Moderate Growth [5P]	DC	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LOCATION: CT					
Fidelity Brokerage ⇒ Columbia Contrarian Core Fund [EF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Fidelity Brokerage ⇒ Fidelity Capital Appreciation [EF]	JT	\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity Brokerage ⇒ Fidelity Government Money Market [EF]	JT	\$250,001 - \$500,000	Interest	\$1 - \$200	<input type="checkbox"/>
Fidelity Brokerage ⇒ Fidelity International Discovery [EF]	JT	\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity Brokerage ⇒ Fidelity International Small Cap [EF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Fidelity Brokerage ⇒ Fidelity Intl Cap Appreciation [EF]	JT	\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity Brokerage ⇒ Fidelity Intl Real Estate Fund [EF]	JT	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Fidelity Brokerage ⇒ Fidelity Pacific Basin [EF]	JT	\$50,001 - \$100,000	Dividends, Interest	\$5,001 - \$15,000	<input type="checkbox"/>
Fidelity Brokerage ⇒ Fidelity Total Mkt Index FID Advantage [EF]	JT	\$1,000,001 - \$5,000,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity Brokerage ⇒ GS Asia Equity Class A [EF]	JT	\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity Brokerage ⇒ GS Small Cap Value [EF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$50,001 - \$100,000	<input type="checkbox"/>
Fidelity Brokerage ⇒ iShares S&P 500 [EF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Fidelity Brokerage ⇒ Microsoft Corporation (MSFT) [ST]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Fidelity Blue Chip Value [EF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Fidelity Rollover IRA ⇒ Fidelity Capital & Income [EF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Fidelity Growth Strategies Fund [EF]		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Fidelity Intl Cap Appreciation [EF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Fidelity Real Estate Income [EF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Fidelity Total Bond [EF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Fidelity Total Mkt Index FID Advantage [EF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Fidelity Value Discovery [EF]		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Hennessy Cornerstone Growth Inv [EF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Fidelity Self-Employed 401(k) ⇒ Columbia Select Large Cap Equity [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fidelity Self-Employed 401(k) ⇒ Fidelity Blue Chip Value [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Fidelity Self-Employed 401(k) ⇒ Fidelity Cash Reserves [BA]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Fidelity Self-Employed 401(k) ⇒ Fidelity Fund (prev. Fidelity Export and Multinational) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Great West Aggressive Fund [IH]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
GS Cash Deposits [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
GS Funds ⇒ GS Private Equity Partners 2002 LP [HE]	JT	\$1,001 - \$15,000	Capital Gains, Dividends, Interest	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: EIF					
GS Funds ⇒ Whitehall Street Global Employee Fund [HE]	JT	\$1,001 - \$15,000	Capital Gains, Dividends, Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: EIF					
JP Morgan Brokerage Account ⇒ DRX DLY China Bull [EF]	JT	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
JP Morgan Brokerage Account ⇒ JP Morgan Chase Cash Account [BA]	JT	\$250,001 - \$500,000	Interest	\$1 - \$200	<input type="checkbox"/>
Principal Bank Safe Harbor IRA [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Spouse IRA ⇒ Fidelity Magellan [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Fidelity Brokerage ⇒ iShares S&P 500 [EF]	JT	03/29/2021	S (partial)	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
Fidelity Rollover IRA ⇒ Fidelity Blue Chip Value [MF]		12/10/2021	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvestment					
Fidelity Rollover IRA ⇒ Fidelity Blue Chip Value [MF]		09/3/2021	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvestment					
Fidelity Rollover IRA ⇒ Fidelity Capital and Income [MF]		12/3/2021	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvestment					
Fidelity Rollover IRA ⇒ Fidelity Capital and Income [MF]		06/4/2021	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvestment					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Fidelity Rollover IRA ⇒ Fidelity Growth Strategies Fund [MF] DESCRIPTION: Reinvestment		12/28/2021	P	\$15,001 - \$50,000	
Fidelity Rollover IRA ⇒ Fidelity International Cap Appreciation [MF] DESCRIPTION: Reinvestment		12/3/2021	P	\$1,001 - \$15,000	
Fidelity Rollover IRA ⇒ Fidelity Real Estate Income [MF] DESCRIPTION: Reinvestment		12/17/2021	P	\$1,001 - \$15,000	
Fidelity Rollover IRA ⇒ Fidelity Total Market Index [MF] DESCRIPTION: Reinvestment		12/10/2021	P	\$1,001 - \$15,000	
Fidelity Rollover IRA ⇒ Fidelity Value Discovery [MF] DESCRIPTION: Reinvestment		12/10/2021	P	\$1,001 - \$15,000	
Fidelity Rollover IRA ⇒ Fidelity Value Discovery [MF] DESCRIPTION: Reinvestment		09/3/2021	P	\$1,001 - \$15,000	
Fidelity Rollover IRA ⇒ Hennessy Cornerstone Growth [MF] DESCRIPTION: Reinvestment		12/7/2021	P	\$1,001 - \$15,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	JP Morgan Chase	October 1998	Mortgage on 197 Valley Rd, Cos Cob, CT	\$100,001 - \$250,000
JT	JP Morgan Chase	April 2021	Mortgage on 45 Sutton Sq, DC	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Association of Marshall Scholars	11/20/2021	11/23/2021	New York - London - New York	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none">◦ DC1 (Owner: DC) LOCATION: CT◦ DC2 (Owner: DC) LOCATION: CT◦ Fidelity Brokerage (Owner: JT) LOCATION: US◦ Fidelity Rollover IRA◦ Fidelity Self-Employed 401(k)◦ GS Funds (Owner: JT) LOCATION: US DESCRIPTION: EIF◦ JP Morgan Brokerage Account (Owner: JT) LOCATION: US◦ Spouse IRA (Owner: SP)
--

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be

disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. James A. Himes , 07/12/2022