



Filing ID #10047095

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Katherine Porter  
**Status:** Member  
**State/District:** CA45

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2021  
**Filing Date:** 04/30/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameritas Life Insurance Variable Life Insurance ⇒ Calvert NASDAQ 100 Index Fund [WU]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Ameritas Life Insurance Variable Life Insurance ⇒ Calvert S&P 500 Index Fund [WU]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Ameritas Life Insurance Variable Life Insurance ⇒ Fidelity Contrafund [WU]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Ameritas Life Insurance Variable Life Insurance ⇒ Fidelity Equity Income Fund [WU]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Ameritas Life Insurance Variable Life Insurance ⇒ Fidelity Growth [WU]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Bankers Trust Checking Account [BA] [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
College Savings 529 Dependent Child 3 ⇒ Vanguard Institutional Total Stock Market Index Fund [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
College Savings 529 Dependent Child 3 ⇒ Vanguard Total Bond Market II Index Fund [MF]	DC	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
College Savings 529 Dependent Child 3 ⇒ Vanguard Total International Bond Index Fund [MF]	DC	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
College Savings 529 Dependent Child 3 ⇒ Vanguard Total International Stock Index Fund [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
College Savings Iowa 529 Dependent Child 1 ⇒ Vanguard Institutional Total Stock Market Index Fund [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
College Savings Iowa 529 Dependent Child 1 ⇒ Vanguard Total International Stock Index Fund [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
College Savings Iowa 529 Dependent Child 2 ⇒ Bond Index Portfolio [MF]	DC	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
College Savings Iowa 529 Dependent Child 2 ⇒ Growth Portfolio [MF]	DC	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
College Savings Iowa 529 Dependent Child 2 ⇒ Income Portfolio [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
College Savings Iowa 529 Dependent Child 2 ⇒ Total Domestic Stock Index Portfolio [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
College Savings Iowa 529 Dependent Child 2 ⇒ Vangard Total International Stock Index Fund [MF]	DC	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
IRA ⇒ Bank of the Ozarks (OZRK) [ST]  DESCRIPTION: Stock held in brokerage account for IRA. Dividends reinvested in stock.		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
IRA ⇒ Berkshire Hathaway Inc. New (BRK.B) [IH]  DESCRIPTION: Individual stock held in brokerage account as part of IRA		\$15,001 - \$50,000	Dividends	None	<input type="checkbox"/>
IRA ⇒ Capital One Financial Corporation (COF) [ST]  DESCRIPTION: Individual security held in brokerage account in IRA		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
IRA ⇒ Caterpillar, Inc. (CAT) [ST]  DESCRIPTION: Individual security held as part of brokerage account in IRA		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
IRA ⇒		\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
CRE Global Equities R1 [MF]					<input type="checkbox"/>
IRA ⇒ FDIC Insured Bank Deposit [IH] DESCRIPTION: Cash held in IRA		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
IRA ⇒ Heartland Express, Inc. (HTLD) [ST] DESCRIPTION: Individual security held in brokerage account as part of IRA		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
IRA ⇒ Tiaa-Cref Small Cap BI Index Retirement [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Pacific Life TSA/403b ⇒ Optimal Aggressive Growth Fund [OT] DESCRIPTION: Optimal Aggressive Growth Fund		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Pennsylvania 529 Dependent Child 1 ⇒ Pennsylvania Guaranteed Savings Plan [5P] LOCATION: PA	DC	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Pennsylvania 529 for Dependent Child 2 ⇒ Pennsylvania Guaranteed Savings Plan [5P] LOCATION: PA	DC	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Pennsylvania 529 for Dependent Child 3 ⇒ Pennsylvania Guaranteed Savings Plan [5P] LOCATION: PA	DC	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Roth IRA ⇒ FDIC insured Bank Deposit [PE]		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
Roth IRA ⇒ Pepsico, Inc. (PEP) [ST] DESCRIPTION: Stock held in Roth IRA		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Roth IRA ⇒ REMS Real Estate Value Opportunity Fund Institutional [MF]		\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
Royalty payments on law textbooks [IP] DESCRIPTION: Royalty payments from Wolters Kluwer on two academic law textbooks.		Undetermined	Royalties to book author	\$5,001 - \$15,000	<input type="checkbox"/>
SEP (self-employed) IRA ⇒ TIAA-Cref Lifecycle 2040--Retirement [PE]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
TIAA-Cref retirement plans ⇒ NSHE Defined Contribution Retirement - Vanguard 2040 [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ NSHE 401a/414H DC Retirement Plan ⇒ Cref Bond Market [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ NSHE 401a/414H DC Retirement Plan ⇒ Cref Equity Index [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ NSHE 401a/414H DC Retirement Plan ⇒ Cref Stock Fund [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ NSHE 401a/414H DC Retirement Plan ⇒ TIAA Real Estate [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ Cref Bond Market [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ Cref Global Equities [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ Cref Inflation-Linked Bond [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ CREF Stock [PE]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ CREF-Equity Index [PE]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA Real Estate Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ University of Iowa Defined		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Contribution Retirement Plan ⇒ TIAA-Cref International Equity Fund [PE]					<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA-Cref Midcap Growth Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA-Cref Midcap Value Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA-Cref Real Estate Securities Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ TIAA-Traditional [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan ⇒ Vanguard Institutional Index Fund [PE]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
University of California Retirement Program ⇒ UC Bond [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
University of California Retirement Program ⇒ UC Domestic Equity Index Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
University of California Retirement Program ⇒ UC Emerging Markets Equity [PE]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
University of California Retirement Program ⇒ UC International Equity Index [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
University of California Retirement Program ⇒ UC Pathway 2035 Fund [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
University of California Retirement Program ⇒ UC Savings Fund [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
University of California Retirement Program ⇒ UC TIPS Fund [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
University of California Retirement Program ⇒		Undetermined	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
University of California Retirement Plan [PE]					
DESCRIPTION: UC required pension					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA ⇒ CREF Global Equities & TIAA-CREF Samll-Cap Blend Index Fund [IH]		07/8/2021	E	\$1,001 - \$15,000	
DESCRIPTION: Invested cash in IRA account (earned through dividends ) into two mutual funds					
Roth IRA ⇒ REMS Real Estate Value Opportunity Fund [IH]		07/6/2021	E	\$1,001 - \$15,000	
DESCRIPTION: Invested cash dividends earned over several years into mutual fund					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	UCOP Mortgage	July 2011	Mortgage on primary residence	\$250,001 - \$500,000
	Elizabeth Porter	2014	Personal loan secured by home	\$50,001 - \$100,000

## SCHEDULE E: POSITIONS

Position	Name of Organization
Professor of Law	University of California Irvine

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2020	University of California Irvine and Katie Porter	Unpaid leave pursuant to University of California written policy for two years.

## **SCHEDULE G: GIFTS**

None disclosed.

## **SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

None disclosed.

## **SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

## **SCHEDULE A AND B ASSET CLASS DETAILS**

- Ameritas Life Insurance Variable Life Insurance
- College Savings 529 Dependent Child 3 (Owner: DC)  
LOCATION: IA
- College Savings Iowa 529 Dependent Child 1 (Owner: DC)  
LOCATION: IA
- College Savings Iowa 529 Dependent Child 2 (Owner: DC)  
LOCATION: IA
- IRA
- Pacific Life TSA/403b
- Pennsylvania 529 Dependent Child 1 (Owner: DC)  
LOCATION: PA
- Pennsylvania 529 for Dependent Child 2 (Owner: DC)  
LOCATION: PA
- Pennsylvania 529 for Dependent Child 3 (Owner: DC)  
LOCATION: PA
- Roth IRA
- SEP (self-employed) IRA
- TIAA-Cref retirement plans
- TIAA-Cref retirement plans ⇒ NSHE 401a/414H DC Retirement Plan
- TIAA-Cref retirement plans ⇒ University of Iowa Defined Contribution Retirement Plan
- University of California Retirement Program

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Katherine Porter , 04/30/2022