



Filing ID #10037731

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Lloyd K. Smucker  
**Status:** Member  
**State/District:** PA11

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2019  
**Filing Date:** 08/13/2020

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Insurance ⇒ Prudential Life Insurance [WU]	SP	\$1,001 - \$15,000	Dividends, Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Whole Life Insurance					
Other Assets ⇒ Fulton Bank - Bank Accounts [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Other Assets ⇒ H2O To Go Inc., 40% Interest [OL]		\$1,000,001 - \$5,000,000	Dividends	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: MAYTOWN, PA, US					
DESCRIPTION: Water Filtration Business					
Other Investments ⇒ appMobi [PS]		\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: NON PUBLIC STOCK - MOBILE SECURITY BUSINESS IN LANCASTER, PA					
Other Investments ⇒ INNOVENTURES FUND I, LLC [OT]		\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Holding company for Wylei - Digital Direct Marketing Business					
Other Investments ⇒ INNOVENTURES FUND II, LLC [OT]		\$1,001 - \$15,000	None		<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
DESCRIPTION: Holding company for MedStatix - Patient Experience Platform Business					
PA State Pension ⇒ PA State Pension [DB]		Undetermined	Tax-Deferred		<input type="checkbox"/>
TDAMERITRADE IRA ⇒ Activision Blizzard, Inc (ATVI) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
TDAMERITRADE IRA ⇒ Apple Inc. (AAPL) [ST]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
TDAMERITRADE IRA ⇒ TDAMERITRADE CASH ACCOUNT [BA]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TDAMERITRADE IRA ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
TDAMERITRADE IRA ⇒ Activision Blizzard, Inc (ATVI) [ST]		12/3/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
TDAMERITRADE IRA ⇒ Apple Inc. (AAPL) [ST]		04/5/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
TDAMERITRADE IRA ⇒ Apple Inc. (AAPL) [ST]		03/18/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
TDAMERITRADE IRA ⇒ Apple Inc. (AAPL) [ST]		05/1/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
TDAMERITRADE IRA ⇒ Apple Inc. (AAPL) [ST]		06/3/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
TDAMERITRADE IRA ⇒ Apple Inc. (AAPL) [ST]		07/2/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
TDAMERITRADE IRA ⇒ Apple Inc. (AAPL) [ST]		07/31/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
TDAMERITRADE IRA ⇒ Apple Inc. (AAPL) [ST]		09/3/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
TDAMERITRADE IRA ⇒ Apple Inc. (AAPL) [ST]		10/14/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
TDAMERITRADE IRA ⇒ Apple Inc. (AAPL) [ST]		10/14/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
TDAMERITRADE IRA ⇒ Apple Inc. (AAPL) [ST]		10/31/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
TDAMERITRADE IRA ⇒ Apple Inc. (AAPL) [ST]		12/2/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
TDAMERITRADE IRA ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]		02/4/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
TDAMERITRADE IRA ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]		02/5/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
STATE OF PENNSYLVANIA - PENSION	PENSION	\$14,471.00
H2O TO GO	SALARY	\$19,500.00

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
FULTON BANK		AUGUST 2013	BUSINESS LOAN - Personal Guarantee	\$100,001 - \$250,000
COMMENTS: For H2O to Go, Inc.				
FULTON BANK		OCTOBER 2015	BUSINESS LINE OF CREDIT - Personal Guarantee	\$50,001 - \$100,000

<b>Owner Creditor</b>	<b>Date Incurred</b>	<b>Type</b>	<b>Amount of Liability</b>
COMMENTS: For H2O to Go, Inc.			
WELLS FARGO BANK	OCTOBER 2016	CO-SIGNER - STUDENT LOAN	\$50,001 - \$100,000
WELLS FARGO BANK	JUNE 2013	MORTGAGE ON PRINCIPAL RESIDENCE	\$250,001 - \$500,000
FULTON BANK	MARCH 2017	MORTGAGE ON SECONDARY RESIDENCE	\$100,001 - \$250,000
WELLS FARGO BANK	MARCH 2018	CO-SIGNER - STUDENT LOAN	\$15,001 - \$50,000
PSECU	DECEMBER 2018	HOME EQUITY LINE OF CREDIT	\$100,001 - \$250,000

#### SCHEDULE E: POSITIONS

<b>Position</b>	<b>Name of Organization</b>
VICE PRESIDENT/SECRETARY	H2O TO GO

#### SCHEDULE F: AGREEMENTS

<b>Date</b>	<b>Parties To</b>	<b>Terms of Agreement</b>
December 2008	STATE OF PENNSYLVANIA AND ME	State Pension Plan payable to me upon retirement.

#### SCHEDULE G: GIFTS

None disclosed.

#### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

#### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

#### SCHEDULE A AND B ASSET CLASS DETAILS

- Insurance (Owner: SP)
- Other Assets  
LOCATION: US
- Other Investments  
LOCATION: US

- PA State Pension
- TDAMERITRADE IRA

### **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

### **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Lloyd K. Smucker , 08/13/2020