



Filing ID #10041751

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Elizabeth Fletcher
Status: Member
State/District: TX07

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2020
Filing Date: 08/13/2021

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|-------------------------|----------------|-----------------|--------------------------|
| Bank Account ⇒ Bank of America [BA] | | \$50,001 - \$100,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Bank Account ⇒ Chase Bank Accounts [BA] | | \$500,001 - \$1,000,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Bank Account ⇒ Frost Bank Accounts [BA] | | \$100,001 - \$250,000 | Interest | \$201 - \$1,000 | <input type="checkbox"/> |
| Bank Account ⇒ Wells Fargo Accounts [BA] | | \$100,001 - \$250,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Brazos Bookstore LLC [PS] | JT | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| DESCRIPTION: Own less than 2% of stock in local bookstore | | | | | |
| Cash Balance Plan (AZA) [PE] | JT | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Defined Benefit Plan (Jones Day) [PE] | JT | Undetermined | None | | <input type="checkbox"/> |
| Filer 401K (Rollover) ⇒ Baird SH TM Bond IS (BSBIX) [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|--------------------------|-----------------|-------------------------------------|
| Filer 401K (Rollover) ⇒ Causeway Intl Val IS (CIVIX) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Filer 401K (Rollover) ⇒ FDIC Insured Deposit at Morgan Stanley IRA [BA] | | \$100,001 - \$250,000 | Tax-Deferred | | <input type="checkbox"/> |
| Filer 401K (Rollover) ⇒ FID 500 Index Fund [MF] | | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |
| Filer 401K (Rollover) ⇒ FID Contrafund (FCNTX) [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Filer 401K (Rollover) ⇒ FID Overseas (FOSFX) [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Filer 401K (Rollover) ⇒ FID Real Estate Invs (FRESX) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Filer 401K (Rollover) ⇒ FID Ret Govt MM [MF] | | \$1 - \$1,000 | Tax-Deferred | | <input type="checkbox"/> |
| Filer 401K (Rollover) ⇒ FID Small Cap Growth (FCPGX) [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Filer 401K (Rollover) ⇒ Prmcpl ODY Aggr Grth (POAGX) [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Filer 401K (Rollover) ⇒ WA Core Bond I (WATFX) [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Filer Brokerage Account ⇒ ARK INNOVATION ETF (ARKK) [EF] | | \$15,001 - \$50,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Filer Brokerage Account ⇒ Artisan International Value Advisor (APDKX) [MF] | | \$15,001 - \$50,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Filer Brokerage Account ⇒ BMO INTERMEDIATE TAX FREE INST (MIITX) [MF] | | \$15,001 - \$50,000 | Dividends, Interest | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Filer Brokerage Account ⇒ Fidelity Government Cash Reserves [MF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Filer Brokerage Account ⇒ First Trust Amex Biotech Index Fund (FBT) [MF] | | \$1,001 - \$15,000 | None | | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|--------------------------|---------------------|-------------------------------------|
| Filer Brokerage Account ⇒ Harding Loevner Intl Equity Instl (HLMIX) [MF] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Filer Brokerage Account ⇒ ISHARES CORE MSCI EMERGING MARKETS ETF (IEMG) [EF] | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Filer Brokerage Account ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF] | | None | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Filer Brokerage Account ⇒ iShares Edge MSCI USA Momentum Factor ETF (MTUM) [EF] | | \$50,001 - \$100,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Filer Brokerage Account ⇒ ISHARES MSCI EAFE ETF (EFA) [EF] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Filer Brokerage Account ⇒ iShares MSCI USA Quality Factor ETF (QUAL) [EF] | | \$100,001 - \$250,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Filer Brokerage Account ⇒ ISHARES NATIONAL MUNI BOND ETF (MUB) [EF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Filer Brokerage Account ⇒ iShares Russell 1000 ETF (IWB) [EF] | | \$100,001 - \$250,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Filer Brokerage Account ⇒ ISHARES RUSSELL 2000 ETF (IWM) [EF] | | None | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Filer Brokerage Account ⇒ ISHARES US FINANCIAL SERVICES ETF (IYG) [EF] | | None | Capital Gains, Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Filer Brokerage Account ⇒ JOHCM INTL SELECT FUND CL I [MF] | | None | Capital Gains | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Filer Brokerage Account ⇒ MFS INTL GROWTH FUND CL I (MQGIX) [MF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Filer Brokerage Account ⇒ PRIMECAP Odyssey Aggressive Growth (POAGX) [MF] | | None | Capital Gains | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Filer Brokerage Account ⇒ RUSSELL 2000 VALUE ETF (IWN) [EF] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? | |
|---|-------|----------------------|------------------------|---------------------|-------------------------------------|--------------------------|
| Filer Brokerage Account ⇒ Russell Tax Exempt Bond S (RLVSX) [MF] | None | | Dividends, Interest | \$1 - \$200 | <input checked="" type="checkbox"/> | |
| Filer Brokerage Account ⇒ T. Rowe Price Blue Chip Growth [MF] | None | | Capital Gains | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> | |
| Filer Brokerage Account ⇒ Vanguard FTSE Developed Markets ETF (VEA) [EF] | | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> | |
| Filer Brokerage Account ⇒ Vanguard Int'l Equity Index Fund FTSE Emerging Markets ETF (VWO) [EF] | | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Filer Roth IRA ⇒ Fidelity Government Cash Reserves [MF] | | \$1 - \$1,000 | | Tax-Deferred | <input type="checkbox"/> | |
| Filer Roth IRA ⇒ iShares Core MSCI EAFE ETF (IEFA) [EF] | | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> | |
| Filer Roth IRA ⇒ ISHARES CORE MSCI EMERGING MKTS ETF [EF] | | | \$1,001 - \$15,000 | Tax-Deferred | <input checked="" type="checkbox"/> | |
| Filer Roth IRA ⇒ iShares Core S&P 500 ETF (IVV) [EF] | | | \$15,001 - \$50,000 | Tax-Deferred | <input type="checkbox"/> | |
| Filer Roth IRA ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF] | | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> | |
| Filer Roth IRA ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF] | | | \$1 - \$1,000 | Tax-Deferred | <input type="checkbox"/> | |
| Filer Roth IRA ⇒ iShares Core S&P US Growth ETF [EF] | None | | | Tax-Deferred | <input checked="" type="checkbox"/> | |
| Filer Roth IRA ⇒ ISHARES MBS ETF [EF] | | | \$1,001 - \$15,000 | Tax-Deferred | <input checked="" type="checkbox"/> | |
| Filer Roth IRA ⇒ iShares MSCI USA Quality Factor ETF [EF] | | | \$1,001 - \$15,000 | Tax-Deferred | <input checked="" type="checkbox"/> | |
| Filer Roth IRA ⇒ iShares Trust Global REIT ETF (REET) [EF] | None | | | Tax-Deferred | <input type="checkbox"/> | |
| DESCRIPTION: Sales proceeds under \$1,000. | | | | | | |
| Filer Roth IRA ⇒ | | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> | |

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|--|-------|---------------------|--------------------------|-------------------|-------------------------------------|
| iShares Trust US Treasury BD ETF [EF] | | | | | |
| Filer Roth IRA ⇒ iShares Trust USD Inv Grade ETF [EF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Filer Traditional IRA ⇒ BLACKROCK TOTAL RETURN INSTITUTIONAL ETF [EF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Filer Traditional IRA ⇒ DoubleLine Total Return Bond Instl (DBLTX) [MF] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Filer Traditional IRA ⇒ Fidelity Government Cash Reserves (FDRXX) [MF] | | \$1 - \$1,000 | Tax-Deferred | | <input type="checkbox"/> |
| Filer Traditional IRA ⇒ Harding Loevner Intl Equity Instl (HLMIX) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Filer Traditional IRA ⇒ iShares 3-7 Year Treasury Bond ETF (IEI) [EF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Filer Traditional IRA ⇒ ISHARES CORE US AGG BOND ETF [EF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Filer Traditional IRA ⇒ ISHARES US TREAS ETF [EF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Filer Traditional IRA ⇒ JPMorgan Core Bond Select (WOBDX) [MF] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Filer Traditional IRA ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [EF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Joint Brokerage Account ⇒ ARK Innovation ETF (ARKK) [EF] | | \$15,001 - \$50,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ BMO Intermediate Tax-Free Instl (MIITX) [MF] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ Fidelity Government Cash Reserves [MF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Joint Brokerage Account ⇒ First Trust Amex Biotech Index Fund (FBT) [MF] | | None | Capital Gains | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|---------------------|--------------------------|--------------------|-------------------------------------|
| Joint Brokerage Account ⇒ Harding Loevner Intl Equity Instl (HLMIX) [MF] | None | | Capital Gains | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ ISHARES CORE MSCI EMERGING MKTS ETF (IEMG) [EF] | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ ISHARES CORE S&P SMALL CAP ETF [EF] | None | | Capital Gains | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ iShares Edge MSCI USA Momentum Factor ETF (MTUM) [EF] | | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ ISHARES MID CAP GROWTH [EF] | None | | Capital Gains | \$2,501 - \$5,000 | <input type="checkbox"/> |
| Joint Brokerage Account ⇒ iShares MSCI EAFE ETF (EFA) [EF] | None | | None | | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ iShares MSCI USA Quality Factor ETF (QUAL) [EF] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ ISHARES NATIONAL MUNI BOND ETF (MUB) [EF] | | \$15,001 - \$50,000 | Dividends, Interest | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ iShares Russell 1000 INDEX ETF (IWB) [EF] | | \$15,001 - \$50,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ iShares Russell 2000 ETF (IWM) [EF] | None | | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ ISHARES RUSSELL 2000 VALUE ETF (IWN) [EF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ ISHARES RUSSELL MID-CAP VALUE ETF (IWS) [EF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ ISHARES S&P 500 VALUE ETF (IVE) [EF] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ JOHCM International Select I (JOHIX) [MF] | None | | Capital Gains | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|------------------------------------|---------------------|-------------------------------------|
| MFS INTL GROWTH FUND CL I (MQGIX) [MF] | | | | | |
| Joint Brokerage Account ⇒ Nuveen Short Duration HY Muni Bd I (NVHIX) [MF] | | None | Dividends, Interest | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ PRIMECAP Odyssey Aggressive Growth (POAGX) [MF] | | None | None | | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ Russell Tax Exempt Bond S [MF] | | None | Capital Gains, Dividends, Interest | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ SCHWAB STRATEGIC INTL EQUITY ETF (SCHF) [EF] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ Vanguard Financials ETF (VFH) [EF] | | None | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ VANGUARD FTSE DEV MKT ETF (VEA) [EF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [EF] | | None | None | | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ VANGUARD INTL GROWTH ADMIRAL [MF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ Vanguard Mid-Cap ETF (VO) [EF] | | None | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Joint Brokerage Account ⇒ Vanguard Target Ret 2030 Investor Cl (VTHRXX) [MF] | | \$100,001 - \$250,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |
| JONES DAY RETIREMENT PLAN ⇒ JONES DAY 2025 FUND [MF] | SP | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |
| Residential Investment Property [RP] | JT | \$100,001 - \$250,000 | None | | <input checked="" type="checkbox"/> |
| LOCATION: Houston, TX, US | | | | | |
| Residential Rental Property [RP] | JT | \$100,001 - \$250,000 | Rent | \$15,001 - \$50,000 | <input type="checkbox"/> |
| LOCATION: Houston, TX, US | | | | | |
| Royalty interest in minerals in Lincoln Parish, LA [OT] | SP | \$1,001 - \$15,000 | Royalty Payments | \$201 - | <input type="checkbox"/> |

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|--|-------|-------------------------------|-----------------------------|-----------------------|-------------------------------------|
| | | | | \$1,000 | |
| DESCRIPTION: Overriding royalty interest in minerals | | | | | |
| Scott Fletcher Law, PLLC, 100% Interest [OL] | SP | Spouse/DC Over \$1,000,000 | None | | <input type="checkbox"/> |
| LOCATION: Houston, TX, US | | | | | |
| DESCRIPTION: Spouse interest in law firm | | | | | |
| Spouse Brokerage Account ⇒ Fidelity Government Cash Reserves [MF] | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Spouse Brokerage Account ⇒ Harding Loevner Intl Equity Instl [MF] | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Spouse Brokerage Account ⇒ ISHARES EDGE MSCI USA MOMENTUM FACTOR ETF (MTUM) [EF] | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Spouse Brokerage Account ⇒ iShares MSCI USA Quality Factor ETF (QUAL) [EF] | SP | \$100,001 - \$250,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Spouse Brokerage Account ⇒ ISHARES NATIONAL MUNI BOND ETF (MUB) [EF] | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Spouse Brokerage Account ⇒ ISHARES RUSSELL 1000 ETF (IWB) [EF] | SP | \$100,001 - \$250,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Spouse Brokerage Account ⇒ ISHARES US FINANCIAL SERVICES ETF (IYG) [EF] | SP | None | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Spouse Brokerage Account ⇒ MFS INTL GROWTH FUND CL I (MQGIX) [MF] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Spouse Brokerage Account ⇒ Russell Tax Exempt Bond S [MF] | SP | None | None | | <input type="checkbox"/> |
| Spouse Brokerage Account ⇒ Vanguard FTSE Developed Markets ETF (VEA) [EF] | SP | \$15,001 - \$50,000 | Capital Gains, Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| Spouse Brokerage TOD Account ⇒ Fidelity Government Cash Reserves [MF] | SP | \$1 - \$1,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Spouse Brokerage TOD Account ⇒ ISHARES BROAD USD HIGH ETF [EF] | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |

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| Spouse Brokerage TOD Account ⇒ iShares Core 1-5 Year USD Bond ETF (ISTB) [EF] | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Spouse Brokerage TOD Account ⇒ iShares Core MSCI EAFE ETF (IEFA) [EF] | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Spouse Brokerage TOD Account ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [EF] | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Spouse Brokerage TOD Account ⇒ iShares Core S&P 500 ETF (IVV) [EF] | SP | \$15,001 - \$50,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Spouse Brokerage TOD Account ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF] | SP | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Spouse Brokerage TOD Account ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF] | SP | \$1,001 - \$15,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Spouse Brokerage TOD Account ⇒ iShares J.P. Morgan USD Emerging Markets Bond ETF (EMB) [EF] | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Spouse Brokerage TOD Account ⇒ iShares MSCI USA Quality Factor ETF (QUAL) [EF] | SP | \$1,001 - \$15,000 | None | | <input checked="" type="checkbox"/> |
| Spouse Brokerage TOD Account ⇒ ISHARES TR IBOXX HI YD ETF (HYG) [EF] | SP | None | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Spouse Brokerage TOD Account ⇒ ISHARES TR MBS ETF (MBB) [EF] | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Spouse Brokerage TOD Account ⇒ ISHARES TR US TREAS BD ETF (GOVT) [EF] | SP | None | Capital Gains, Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Spouse Brokerage TOD Account ⇒ ISHARES TR USD INV GRDE ETF (USIG) [EF] | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Spouse Brokerage TOD Account ⇒ ISHARES US TREAS ETF [EF] | SP | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Spouse Brokerage TOD Account ⇒ SCHWAB STRATEGIC INTL EQUITY ETF [EF] | SP | \$15,001 - \$50,000 | Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| Spouse Rollover IRA (Jones Day) ⇒ | SP | \$500,001 - | Tax-Deferred | | <input type="checkbox"/> |

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|---|-------|----------------------|----------------|-------------------------------------|----------------|
| FIDELITY FREEDOM INDEX 2030 INVESTOR (FXIFX) [MF] | | \$1,000,000 | | | |
| Spouse Rollover IRA (Jones Day) ⇒ Jones Day 2025 Target Maturity Fund [MF] | SP | None | Tax-Deferred | <input type="checkbox"/> | |
| Spouse Rollover IRA (V&E) ⇒ ARK ETF TR INNOVATION ETF (ARKK) [EF] | SP | \$50,001 - \$100,000 | Tax-Deferred | <input checked="" type="checkbox"/> | |
| Spouse Rollover IRA (V&E) ⇒ BLACKROCK TOTAL RETURN INSTITUTIONAL ETF [MF] | SP | \$15,001 - \$50,000 | Tax-Deferred | <input checked="" type="checkbox"/> | |
| Spouse Rollover IRA (V&E) ⇒ DoubleLine Total Return Bond Instl (DBLTX) [MF] | SP | None | Tax-Deferred | <input checked="" type="checkbox"/> | |
| Spouse Rollover IRA (V&E) ⇒ Fidelity Government Cash Reserves [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> | |
| Spouse Rollover IRA (V&E) ⇒ First Trust Amex Biotech Index Fund (FBT) [MF] | SP | None | Tax-Deferred | <input type="checkbox"/> | |
| Spouse Rollover IRA (V&E) ⇒ HARDING LOEVNER INTL EQUITY PORT INSTL (HLMIX) [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input checked="" type="checkbox"/> | |
| Spouse Rollover IRA (V&E) ⇒ ISHARES 1-5 YR INV S BOND ETF (IGSB) [EF] | SP | \$15,001 - \$50,000 | Tax-Deferred | <input checked="" type="checkbox"/> | |
| Spouse Rollover IRA (V&E) ⇒ ISHARES CORE S&P SMALL-CAP ETF [EF] | SP | None | Tax-Deferred | <input checked="" type="checkbox"/> | |
| Spouse Rollover IRA (V&E) ⇒ ISHARES CORE US AGGREGATE BOND ETF [EF] | SP | \$15,001 - \$50,000 | Tax-Deferred | <input checked="" type="checkbox"/> | |
| Spouse Rollover IRA (V&E) ⇒ iShares Edge MSCI USA Momentum Factor ETF (MTUM) [EF] | SP | \$50,001 - \$100,000 | Tax-Deferred | <input checked="" type="checkbox"/> | |
| Spouse Rollover IRA (V&E) ⇒ ISHARES IBOXX INV GR CORP BOND ETF (LQD) [EF] | SP | \$15,001 - \$50,000 | Tax-Deferred | <input checked="" type="checkbox"/> | |
| Spouse Rollover IRA (V&E) ⇒ iShares MSCI USA Quality Factor ETF (QUAL) [EF] | SP | \$50,001 - \$100,000 | Tax-Deferred | <input checked="" type="checkbox"/> | |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|----------------------|----------------|--------|-------------------------------------|
| Spouse Rollover IRA (V&E) ⇒ iShares Russell 1000 ETF (IWB) [EF] | SP | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ ISHARES RUSSELL 2000 VALUE ETF (IWN) [EF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ ISHARES TR US TREAS BD ETF [EF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ iShares U.S. Financial Services ETF (IYG) [EF] | SP | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ MFS INTL GROWTH FD CL I (MQGIX) [MF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ PRIMECAP Odyssey Aggressive Growth FD (POAGX) [MF] | SP | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ Vanguard FTSE Developed Markets ETF (VEA) [EF] | SP | \$50,001 - \$100,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ Vanguard FTSE Intl Equity Index Emerging Markets ETF (VWO) [EF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Talcott Resolution Life Insurance Company [WU] | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|---------------------|---------------------|
| Filer Brokerage Account ⇒ ARK INNOVATION ETF [EF] | | 04/3/2020 | P | \$1,001 - \$15,000 | |
| Filer Brokerage Account ⇒ ARK INNOVATION ETF [EF] | | 07/15/2020 | P | \$1,001 - \$15,000 | |
| Filer Brokerage Account ⇒ BMO INTERMED TAX FREE INSTL [MF] | | 07/14/2020 | P | \$15,001 - \$50,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|--------------|-------------|-----------------|-----------------------|-------------------------------------|
| Filer Brokerage Account ⇒ FIRST TR ARCA BIOTECH INDEX [EF] | | 04/3/2020 | P | \$1,001 - \$15,000 | |
| Filer Brokerage Account ⇒ ISHARES CORE MSCI EMERGING MKTS ETF (IEMG) [EF] | | 07/15/2020 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Filer Brokerage Account ⇒ ISHARES CORE S&P SMALL-CAP ETF [EF] | | 08/20/2020 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Filer Brokerage Account ⇒ ISHARES EDGE MSCI USA MOMENTUM FACTOR ETF (MTUM) [EF] | | 07/15/2020 | P | \$15,001 - \$50,000 | |
| Filer Brokerage Account ⇒ iShares Edge MSCI USA Quality Factor ETF (QUAL) [EF] | | 07/15/2020 | P | \$100,001 - \$250,000 | |
| Filer Brokerage Account ⇒ ISHARES NATIONAL MUNI BOND ETF (MUB) [EF] | | 04/3/2020 | P | \$1,001 - \$15,000 | |
| Filer Brokerage Account ⇒ ISHARES RUSSELL 1000 ETF [EF] | | 04/3/2020 | P | \$1,001 - \$15,000 | |
| Filer Brokerage Account ⇒ iShares Russell 1000 INDEX ETF (IWB) [EF] | | 07/15/2020 | S (partial) | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| Filer Brokerage Account ⇒ ISHARES RUSSELL 2000 ETF [EF] | | 07/15/2020 | S | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Filer Brokerage Account ⇒ ISHARES RUSSELL 2000 VALUE ETF [EF] | | 08/20/2020 | P | \$15,001 - \$50,000 | |
| Filer Brokerage Account ⇒ iShares US Financial Servs ETF [EF] | | 04/3/2020 | P | \$1,001 - \$15,000 | |
| Filer Brokerage Account ⇒ iShares US Financial Servs ETF [EF] | | 07/6/2020 | S | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Filer Brokerage Account ⇒ JOHCM INTL SELECT FUND CL I [MF] | | 01/22/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Filer Brokerage Account ⇒ MFS INTL GROWTH FUND CL I (MQGIX) [MF] | | 01/22/2020 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------------|---------------------|-------------------------------------|
| Filer Brokerage Account ⇒ MFS INTL GROWTH FUND CL I (MQGIX) [MF] | | 04/2/2020 | P | \$1,001 - \$15,000 | |
| Filer Brokerage Account ⇒ PRIMECAP ODYSSEY AGG GRO FUND [MF] | | 07/14/2020 | S | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Filer Brokerage Account ⇒ RUSSELL TAX EXEMPT BOND FUND CLASS S [MF] | | 04/2/2020 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Filer Brokerage Account ⇒ T ROWE PRICE BLUE CHIP GROWTH CL I [MF] | | 07/14/2020 | S | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Filer Roth IRA ⇒ ISHARES CORE MSCI EAFE ETF [EF] | | 08/20/2020 | P | \$1,001 - \$15,000 | |
| Filer Roth IRA ⇒ ISHARES CORE S&P us GROWTH ETF [EF] | | 03/19/2020 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Filer Roth IRA ⇒ ISHARES MBS ETF [EF] | | 08/20/2020 | P | \$1,001 - \$15,000 | |
| Filer Roth IRA ⇒ ISHARES MSCI USA QUAL FACTOR ETF [EF] | | 08/20/2020 | P | \$1,001 - \$15,000 | |
| Filer Roth IRA ⇒ ISHARES S&P 500 ETF [EF] | | 08/20/2020 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Filer Roth IRA ⇒ ISHARES US TREAS ETF [EF] | | 08/20/2020 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Filer Roth IRA ⇒ ISHARES US TREAS ETF [EF] | | 08/20/2020 | P | \$1,001 - \$15,000 | |
| Filer Roth IRA ⇒ ISHARES USD INV GRADE ETF [EF] | | 08/20/2020 | P | \$1,001 - \$15,000 | |
| Filer Traditional IRA ⇒ BLACKROCK TOTAL RETURN INSTITUTIONAL ETF [MF] | | 12/10/2020 | P | \$1,001 - \$15,000 | |
| Filer Traditional IRA ⇒ DOUBLELINE TOTAL RETURN BOND FUND CL I [MF] | | 12/10/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Filer Traditional IRA ⇒ | | 07/14/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------------|---------------------|-------------------------------------|
| HARDING LOEVNER INTL EQUITY PORT INSTL [MF] | | | | (partial) | |
| Filer Traditional IRA ⇒ ISHARES CORE US AGG BOND ETF [MF] | | 07/15/2020 | P | \$1,001 - \$15,000 | |
| Filer Traditional IRA ⇒ ISHARES US TREAS ETF [MF] | | 04/3/2020 | P | \$15,001 - \$50,000 | |
| Filer Traditional IRA ⇒ JPMORGAN CORE BOND CL I [MF] | | 04/2/2020 | S | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ ARK INNOVATION ETF [EF] | | 05/20/2020 | P | \$1,001 - \$15,000 | |
| Joint Brokerage Account ⇒ ARK INNOVATION ETF [EF] | | 08/20/2020 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ ARK INNOVATION ETF [EF] | | 11/27/2020 | P | \$1,001 - \$15,000 | |
| Joint Brokerage Account ⇒ BMO INTERMED TAX FREE INSTL [MF] | | 03/18/2020 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Joint Brokerage Account ⇒ BMO INTERMED TAX FREE INSTL [MF] | | 05/19/2020 | P | \$1,001 - \$15,000 | |
| Joint Brokerage Account ⇒ BMO INTERMED TAX FREE INSTL [MF] | | 08/19/2020 | P | \$1,001 - \$15,000 | |
| Joint Brokerage Account ⇒ FIRST TR ARCA BIOTECH INDEX [EF] | | 11/27/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ HARDING LOEVNER INTL EQUITY PORT INSTL [EF] | | 10/28/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ ISHARES CORE MSCI EMERGING MKTS ETF [EF] | | 03/24/2020 | P | \$1,001 - \$15,000 | |
| Joint Brokerage Account ⇒ ISHARES CORE MSCI EMERGING MKTS ETF [EF] | | 08/20/2020 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ ISHARES CORE S&P SMALL-CAP ETF [EF] | | 03/24/2020 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------------|---------------------|-------------------------------------|
| Joint Brokerage Account ⇒ ISHARES EDGE MSCI USA MOMENTUM FACTOR ETF [EF] | | 05/20/2020 | P | \$1,001 - \$15,000 | |
| Joint Brokerage Account ⇒ iShares Edge MSCI USA Quality Factor ETF [EF] | | 05/20/2020 | P | \$15,001 - \$50,000 | |
| Joint Brokerage Account ⇒ ISHARES MSCI EAFE ETF [EF] | | 03/24/2020 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Joint Brokerage Account ⇒ ISHARES NATIONAL MUNI BOND ETF (MUB) [EF] | | 03/19/2020 | P | \$1,001 - \$15,000 | |
| Joint Brokerage Account ⇒ ISHARES NATIONAL MUNI BOND ETF (MUB) [EF] | | 08/20/2020 | P | \$1,001 - \$15,000 | |
| Joint Brokerage Account ⇒ ISHARES RUSSELL 1000 ETF [EF] | | 03/19/2020 | P | \$1,001 - \$15,000 | |
| Joint Brokerage Account ⇒ ISHARES RUSSELL 1000 ETF [EF] | | 05/20/2020 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ ISHARES RUSSELL 1000 ETF [EF] | | 08/20/2020 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ ISHARES RUSSELL 2000 ETF [EF] | | 03/24/2020 | P | \$1,001 - \$15,000 | |
| Joint Brokerage Account ⇒ ISHARES RUSSELL 2000 ETF [EF] | | 05/20/2020 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ ISHARES RUSSELL 2000 ETF [EF] | | 08/20/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ ISHARES RUSSELL 2000 VALUE [EF] | | 08/20/2020 | P | \$1,001 - \$15,000 | |
| Joint Brokerage Account ⇒ ISHARES RUSSELL MIDCAP GROWTH [EF] | | 03/25/2020 | P | \$1,001 - \$15,000 | |
| Joint Brokerage Account ⇒ ISHARES RUSSELL MIDCAP GROWTH [EF] | | 05/20/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ | | 08/20/2020 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------------|-----------------------|-------------------------------------|
| ISHARES RUSSELL MID-CAP VALUE ETF [EF] | | | | | |
| Joint Brokerage Account ⇒ ISHARES S&P 500 VALUE [EF] | | 08/20/2020 | P | \$1,001 - \$15,000 | |
| Joint Brokerage Account ⇒ ISHARES S&P SMALL-CAP ETF [EF] | | 03/19/2020 | P | \$1,001 - \$15,000 | |
| Joint Brokerage Account ⇒ JOHCM INTL SELECT FUND CL I [MF] | | 01/22/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Joint Brokerage Account ⇒ MFS INTL GROWTH CL I [MF] | | 01/22/2020 | P | \$1,001 - \$15,000 | |
| Joint Brokerage Account ⇒ NUVEEN SHORT DUR HY MUNI BOND I [MF] | | 03/18/2020 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Joint Brokerage Account ⇒ NUVEEN SHORT DUR HY MUNI BOND I [MF] | | 05/19/2020 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Joint Brokerage Account ⇒ PRIMECAP ODYSSEY AGG GRO FUND [MF] | | 03/24/2020 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Joint Brokerage Account ⇒ RUSSELL TAX EXEMPT BOND FUND CLASS S [MF] | | 03/18/2020 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Joint Brokerage Account ⇒ SCHWAB STRATEGIC INTL EQUITY ETF [EF] | | 03/25/2020 | P | \$15,001 - \$50,000 | |
| Joint Brokerage Account ⇒ Vanguard FTSE Developed Market ETF [EF] | | 05/20/2020 | P | \$1,001 - \$15,000 | |
| Joint Brokerage Account ⇒ Vanguard Intl Equity Index Fd FTSE Emerging Markets ETF [EF] | | 03/24/2020 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Joint Brokerage Account ⇒ VANGUARD INTL GROWTH ADMIRAL [EF] | | 10/28/2020 | P | \$1,001 - \$15,000 | |
| Joint Brokerage Account ⇒ VANGUARD SECTOR INDEX FDS FINLS VIPERS [EF] | | 05/20/2020 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Residential Investment Property [RP] | JT | 12/9/2020 | P | \$100,001 - \$250,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|-------------|----------------------|-------------------------------------|
| LOCATION: Houston, TX, US | | | | | |
| Spouse Brokerage Account ⇒ iShares Edge MSCI USA Quality Factor ETF [EF] | SP | 05/5/2020 | P | \$1,001 - \$15,000 | |
| Spouse Brokerage Account ⇒ iShares Edge MSCI USA Quality Factor ETF [EF] | SP | 07/15/2020 | P | \$50,001 - \$100,000 | |
| Spouse Brokerage Account ⇒ iShares National Muni Bond ETF [EF] | SP | 01/28/2020 | P | \$1,001 - \$15,000 | |
| Spouse Brokerage Account ⇒ iShares Russell 1000 ETF (IWB) [ST] | SP | 01/15/2020 | P | \$1,001 - \$15,000 | |
| Spouse Brokerage Account ⇒ iShares Russell 1000 ETF [EF] | SP | 01/28/2020 | P | \$1,001 - \$15,000 | |
| Spouse Brokerage Account ⇒ iShares Russell 1000 ETF [EF] | SP | 03/19/2020 | P | \$1,001 - \$15,000 | |
| Spouse Brokerage Account ⇒ iShares Russell 1000 ETF [EF] | SP | 07/15/2020 | S (partial) | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| Spouse Brokerage Account ⇒ iShares US Financial Servs ETF [EF] | SP | 05/5/2020 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Spouse Brokerage Account ⇒ MFS International Growth Fund Cl I [MF] | SP | 01/27/2020 | P | \$1,001 - \$15,000 | |
| Spouse Brokerage Account ⇒ Vanguard FTSE Developed Market ETF [EF] | SP | 01/28/2020 | P | \$1,001 - \$15,000 | |
| Spouse Brokerage Account ⇒ Vanguard FTSE Developed Market ETF (VEA) [EF] | SP | 01/15/2020 | S | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Spouse Brokerage Account ⇒ Vanguard FTSE Emerging Markets ETF [EF] | SP | 01/28/2020 | P | \$1,001 - \$15,000 | |
| Spouse Brokerage Account ⇒ Vanguard FTSE Emerging Markets ETF [EF] | SP | 05/5/2020 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Spouse Brokerage TOD Account ⇒ ISHARES BROAD USD HIGH ETF [EF] | SP | 08/20/2020 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|-------------|---------------------|-------------------------------------|
| Spouse Brokerage TOD Account ⇒ ISHARES CORE 1-5 YR USD BOND ETF [EF] | SP | 03/19/2020 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Spouse Brokerage TOD Account ⇒ ISHARES CORE MSCI EAFE [EF] | SP | 03/25/2020 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Spouse Brokerage TOD Account ⇒ ISHARES CORE MSCI EAFE ETF [EF] | SP | 01/28/2020 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Spouse Brokerage TOD Account ⇒ ISHARES CORE MSCI EAFE ETF [EF] | SP | 08/20/2020 | P | \$1,001 - \$15,000 | |
| Spouse Brokerage TOD Account ⇒ ISHARES CORE S&P 500 ETF [EF] | SP | 01/28/2020 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Spouse Brokerage TOD Account ⇒ ISHARES CORE S&P 500 ETF [EF] | SP | 03/19/2020 | P | \$1,001 - \$15,000 | |
| Spouse Brokerage TOD Account ⇒ ISHARES CORE S&P MID-CAP ETF [EF] | SP | 01/28/2020 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Spouse Brokerage TOD Account ⇒ ISHARES CORE S&P MID-CAP ETF [EF] | SP | 03/19/2020 | P | \$1,001 - \$15,000 | |
| Spouse Brokerage TOD Account ⇒ ISHARES IBOXX HI YD ETF [EF] | SP | 08/20/2020 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Spouse Brokerage TOD Account ⇒ ISHARES MBS ETF [EF] | SP | 08/20/2020 | P | \$1,001 - \$15,000 | |
| Spouse Brokerage TOD Account ⇒ iShares MSCI USA Quality Factor ETF [EF] | SP | 08/20/2020 | P | \$1,001 - \$15,000 | |
| Spouse Brokerage TOD Account ⇒ ISHARES S&P 500 ETF [EF] | SP | 08/20/2020 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Spouse Brokerage TOD Account ⇒ ISHARES S&P MID-CAP 400 INDEX FUND [EF] | SP | 08/20/2020 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Spouse Brokerage TOD Account ⇒ ISHARES US TREAS ETF [EF] | SP | 03/19/2020 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Spouse Brokerage TOD Account ⇒ ISHARES US TREAS ETF [EF] | SP | 08/20/2020 | S | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|-------------|-------------------------|-------------------------------------|
| Spouse Brokerage TOD Account ⇒ ISHARES US TREAS ETF [EF] | SP | 08/20/2020 | P | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Spouse Brokerage TOD Account ⇒ ISHARES USD INV GRADE ETF [EF] | SP | 08/20/2020 | P | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Spouse Brokerage TOD Account ⇒ SCHWAB STRATEGIC INTL EQUITY ETF [EF] | SP | 03/25/2020 | P | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Spouse Rollover IRA (Jones Day) ⇒ FIDELITY FREEDOM INDEX 2030 INVESTOR (FXIFX) [MF] | SP | 05/1/2020 | P | \$500,001 - \$1,000,000 | <input type="checkbox"/> |
| Spouse Rollover IRA (Jones Day) ⇒ JONES DAY 2025 TARGET MATURITY FUND [MF] | SP | 04/30/2020 | S | \$500,001 - \$1,000,000 | <input type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ ARK INNOVATION ETF [EF] | SP | 05/5/2020 | P | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ ARK INNOVATION ETF [EF] | SP | 07/15/2020 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ ARK INNOVATION ETF [EF] | SP | 11/27/2020 | P | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ BLACKROCK TOTAL RETURN INSTITUTIONAL ETF [MF] | SP | 12/10/2020 | P | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ DOUBLELINE TOTAL RETURN BOND FUND CL I [EF] | SP | 03/18/2020 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ DOUBLELINE TOTAL RETURN BOND FUND CL I [MF] | SP | 12/10/2020 | S | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ FIRST TR ARCA BIOTECH INDEX [MF] | SP | 07/15/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ FIRST TR ARCA BIOTECH INDEX [MF] | SP | 11/27/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ HARDING LOEVNER INTL EQUITY PORT INSTL [EF] | SP | 03/18/2020 | P | \$1,001 - \$15,000 | <input type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|-------------|---------------------|-------------------------------------|
| Spouse Rollover IRA (V&E) ⇒ HARDING LOEVNER INTL EQUITY PORT INSTL [MF] | SP | 07/14/2020 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ ISHARES 1-5 YR INV\$ ETF [EF] | SP | 07/15/2020 | P | \$15,001 - \$50,000 | |
| Spouse Rollover IRA (V&E) ⇒ ISHARES CORE S&P SMALL-CAP ETF [EF] | SP | 03/19/2020 | S (partial) | \$1,001 - \$15,000 | |
| Spouse Rollover IRA (V&E) ⇒ ISHARES CORE S&P SMALL-CAP ETF [EF] | SP | 05/5/2020 | S (partial) | \$15,001 - \$50,000 | |
| Spouse Rollover IRA (V&E) ⇒ ISHARES CORE S&P SMALL-CAP ETF [EF] | SP | 08/20/2020 | S | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ ISHARES CORE US AGG BOND ETF [EF] | SP | 01/15/2020 | S (partial) | \$1,001 - \$15,000 | |
| Spouse Rollover IRA (V&E) ⇒ ISHARES CORE US AGG BOND ETF [EF] | SP | 03/19/2020 | S (partial) | \$1,001 - \$15,000 | |
| Spouse Rollover IRA (V&E) ⇒ ISHARES CORE US AGG BOND ETF [EF] | SP | 05/5/2020 | P | \$15,001 - \$50,000 | |
| Spouse Rollover IRA (V&E) ⇒ ISHARES CORE US AGG BOND ETF [MF] | SP | 07/15/2020 | S | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ ISHARES EDGE MSCI USA MOMENTUM FACTOR ETF [EF] | SP | 05/5/2020 | P | \$15,001 - \$50,000 | |
| Spouse Rollover IRA (V&E) ⇒ ISHARES EDGE MSCI USA MOMENTUM FACTOR ETF [MF] | SP | 07/15/2020 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ iShares Edge MSCI USA Quality Factor ETF [MF] | SP | 07/15/2020 | P | \$1,001 - \$15,000 | |
| Spouse Rollover IRA (V&E) ⇒ iShares Edge MSCI USA Quality Factor ETF (QUAL) [EF] | SP | 05/5/2020 | P | \$15,001 - \$50,000 | |
| Spouse Rollover IRA (V&E) ⇒ ISHARES IBOXX INV GR CORP BOND ETF [MF] | SP | 07/15/2020 | P | \$15,001 - \$50,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|-------------|---------------------|-------------------------------------|
| Spouse Rollover IRA (V&E) ⇒ ISHARES RUSSELL 1000 ETF [EF] | SP | 01/15/2020 | P | \$1,001 - \$15,000 | |
| Spouse Rollover IRA (V&E) ⇒ ISHARES RUSSELL 1000 ETF [EF] | SP | 03/19/2020 | P | \$1,001 - \$15,000 | |
| Spouse Rollover IRA (V&E) ⇒ ISHARES RUSSELL 1000 ETF [EF] | SP | 05/5/2020 | S | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ ISHARES RUSSELL 2000 ETF [EF] | SP | 08/20/2020 | P | \$15,001 - \$50,000 | |
| Spouse Rollover IRA (V&E) ⇒ ISHARES RUSSELL MIDCAP GROWTH [EF] | SP | 03/19/2020 | P | \$1,001 - \$15,000 | |
| Spouse Rollover IRA (V&E) ⇒ ISHARES RUSSELL MIDCAP GROWTH [EF] | SP | 05/5/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ iShares US Financial Servs ETF [EF] | SP | 03/19/2020 | P | \$1,001 - \$15,000 | |
| Spouse Rollover IRA (V&E) ⇒ iShares US Financial Servs ETF [EF] | SP | 05/5/2020 | S | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ ISHARES US TREAS ETF [EF] | SP | 01/15/2020 | P | \$1,001 - \$15,000 | |
| Spouse Rollover IRA (V&E) ⇒ ISHARES US TREAS ETF [EF] | SP | 03/19/2020 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ ISHARES US TREAS ETF [EF] | SP | 07/15/2020 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ PRIMECAP ODYSSEY AGG GRO FUND [EF] | SP | 05/4/2020 | S | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ VANGUARD FTSE DEV MKT ETF (VEA) [EF] | SP | 01/15/2020 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ VANGUARD FTSE DEV MKT ETF (VEA) [EF] | SP | 03/19/2020 | P | \$15,001 - \$50,000 | |
| Spouse Rollover IRA (V&E) ⇒ Vanguard FTSE Emerging Markets ETF [EF] | SP | 01/15/2020 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|-------------|--------------------|-------------------------------------|
| Spouse Rollover IRA (V&E) ⇒ Vanguard FTSE Emerging Markets ETF [EF] | SP | 07/15/2020 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Spouse Rollover IRA (V&E) ⇒ Vanguard Intl Equity Index Fd FTSE Emerging Markets ETF [EF] | SP | 05/5/2020 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount |
|--------------------------|---------------|--------|
| Scott Fletcher Law, PLLC | Spouse salary | N/A |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|------------------------|----------------|---|-------------------------|
| JT | Wells Fargo Bank, N.A. | March 2013 | Mortgage on personal residence, Houston, TX | \$500,001 - \$1,000,000 |
| JT | Wells Fargo Bank, N.A. | September 2016 | Mortgage on vacation home, Galveston, TX (not rented) | \$250,001 - \$500,000 |

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Bank Account
LOCATION: US
- Filer 401K (Rollover)
- Filer Brokerage Account
LOCATION: US
- Filer Roth IRA
- Filer Traditional IRA
- Joint Brokerage Account
LOCATION: US
- JONES DAY RETIREMENT PLAN (Owner: SP)
- Spouse Brokerage Account (Owner: SP)
LOCATION: US
- Spouse Brokerage TOD Account (Owner: SP)
LOCATION: US
- Spouse Rollover IRA (Jones Day) (Owner: SP)
- Spouse Rollover IRA (V&E) (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Elizabeth Fletcher , 08/13/2021