



Filing ID #10048248

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Tom Malinowski
Status: Member
State/District: NJ07

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2021
Filing Date: 08/13/2022

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Air Lease Corporation Class A (AL) [ST]		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Alpha and Omega Semiconductor Limited - Common Shares (AOSL) [ST]		None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Ameresco, Inc. Class A (AMRC) [ST]		None	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Bank of America Checking Account [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Celcuity Inc. (CELC) [ST]		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
CREF-Bond Market [PE]		\$15,001 - \$50,000	None		<input type="checkbox"/>
CREF-Social Choice [PE]		\$100,001 - \$250,000	None		<input type="checkbox"/>
CREF-Stock [PE]		\$100,001 - \$250,000	None		<input type="checkbox"/>
DermTech, Inc. (DMTK) [ST]		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Devon Energy Corporation (DVN) [ST]		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Enterprise Products Partners L.P. (EPD) [ST]		None	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Equinix, Inc. (EQIX) [ST]		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
General Finance Corporation (GFN) [ST]		None	Capital Gains	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
The Tomasz P. Malinowski Blind Trust [EQ]		\$1,000,001 - \$5,000,000	Excepted/Blind Trust	\$2,501 - \$5,000	<input type="checkbox"/>
TIAA-Real Estate [PE]		\$15,001 - \$50,000	None		<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Alpha and Omega Semiconductor Limited - Common Shares (AOSL) [ST]		02/16/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Ameresco, Inc. Class A (AMRC) [ST]		01/6/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: short sale					
Ameresco, Inc. Class A (AMRC) [ST]		01/7/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: short sale					
Ameresco, Inc. Class A (AMRC) [ST]		01/8/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: short sale					
Ameresco, Inc. Class A (AMRC) [ST]		02/5/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Ameresco, Inc. Class A (AMRC) [ST]		02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Ameresco, Inc. Class A (AMRC) [ST]		02/9/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameresco, Inc. Class A (AMRC) [ST] DESCRIPTION: covered short		03/9/2021	P	\$15,001 - \$50,000	
Ameresco, Inc. Class A (AMRC) [ST]		05/26/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
BlackLine, Inc. (BL) [ST] DESCRIPTION: short sale		01/15/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
BlackLine, Inc. (BL) [ST] DESCRIPTION: covered short		02/17/2021	P	\$15,001 - \$50,000	
C3.ai, Inc. Class A (AI) [ST] DESCRIPTION: short sale		01/26/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
C3.ai, Inc. Class A (AI) [ST] DESCRIPTION: covered short		03/4/2021	P	\$15,001 - \$50,000	
CareDx, Inc. (CDNA) [ST] DESCRIPTION: short sale		01/6/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
CareDx, Inc. (CDNA) [ST] DESCRIPTION: short sale		01/8/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
CareDx, Inc. (CDNA) [ST] DESCRIPTION: short sale		01/11/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
CareDx, Inc. (CDNA) [ST] DESCRIPTION: covered short		03/2/2021	P	\$15,001 - \$50,000	
CC Neuberger Principal Holdings I Class A Ordinary Shares (PCPL) [ST] DESCRIPTION: covered short		01/28/2021	P	\$1,001 - \$15,000	
CC Neuberger Principal Holdings I Units, each consisting of one Class A ordinary share and one-fourth of one Redeemable Warrant (PCPL.U) [ST]		01/5/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Celcuity Inc. (CELC) [ST]		05/26/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
CM Life Sciences III Inc. - Unit (CMLTU) [ST]		04/9/2021	P	\$15,001 - \$50,000	
CM Life Sciences, Inc. - Unit (CMLFU) [ST]		02/25/2021	P	\$1,001 - \$15,000	
CM Life Sciences, Inc. - Unit (CMLFU) [ST]		02/25/2021	P	\$15,001 - \$50,000	
CM Life Sciences, Inc. - Unit (CMLFU) [ST]		03/5/2021	P	\$1,001 - \$15,000	
CM Life Sciences, Inc. - Unit (CMLFU) [ST]		03/8/2021	P	\$1,001 - \$15,000	
CNFinance Holdings Limited American Depositary Shares, each representing twenty (CNF) [ST]		01/4/2021	P	\$1,001 - \$15,000	
CNFinance Holdings Limited American Depositary Shares, each representing twenty (CNF) [ST]		01/5/2021	P	\$1,001 - \$15,000	
CNFinance Holdings Limited American Depositary Shares, each representing twenty (CNF) [ST]		01/6/2021	P	\$1,001 - \$15,000	
DermTech, Inc. (DMTK) [ST]		01/11/2021	P	\$1,001 - \$15,000	
DermTech, Inc. (DMTK) [ST]		01/27/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DoorDash, Inc. Class A (DASH) [ST]		01/15/2021	P	\$15,001 - \$50,000	
DoorDash, Inc. Class A (DASH) [ST]		01/11/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: short sale					
DoorDash, Inc. Class A (DASH) [ST]		03/2/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: short sale					
DoorDash, Inc. Class A (DASH) [ST]		03/11/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: short sale					
DoorDash, Inc. Class A (DASH) [ST]		04/27/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: short sale					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DoorDash, Inc. Class A (DASH) [ST]		04/29/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: short sale					
DoorDash, Inc. Class A (DASH) [ST]		05/18/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: short sale					
E2open Parent Holdings, Inc. Warrants (ETWO.W) [ST]		04/1/2021	P	\$1,001 - \$15,000	
Everbridge, Inc. (EVBG) [ST]		02/23/2021	P	\$15,001 - \$50,000	
Everbridge, Inc. (EVBG) [ST]		03/12/2021	P	\$15,001 - \$50,000	
Fastly, Inc. Class A (FSLY) [ST]		01/25/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: short sale					
Five9, Inc. (FIVN) [ST]		01/6/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: short sale					
Five9, Inc. (FIVN) [ST]		02/25/2021	P	\$1,001 - \$15,000	
General Finance Corporation (GFN) [ST]		04/20/2021	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
General Finance Corporation (GFN) [ST]		04/21/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Heron Therapeutics, Inc. (HRTX) [ST]		05/18/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: short sale					
Hologic, Inc. (HOLX) [ST]		02/23/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: short sale					
Hologic, Inc. (HOLX) [ST]		03/12/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: short sale					
LL Flooring Holdings, Inc. (LL) [ST]		05/7/2021	P	\$15,001 - \$50,000	
Meridian Bioscience Inc. (VIVO) [ST]		02/23/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: short sale					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
OraSure Technologies, Inc. (OSUR) [ST] DESCRIPTION: short sale		01/11/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
OraSure Technologies, Inc. (OSUR) [ST] DESCRIPTION: short sale		02/23/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Pacira BioSciences, Inc. (PCRX) [ST]		01/25/2021	P	\$1,001 - \$15,000	
Pacira BioSciences, Inc. (PCRX) [ST] DESCRIPTION: covered short		04/20/2021	P	\$1,001 - \$15,000	
Pacira BioSciences, Inc. (PCRX) [ST]		05/6/2021	P	\$1,001 - \$15,000	
Peloton Interactive, Inc. (PTON) [ST]		04/21/2021	P	\$15,001 - \$50,000	
Peloton Interactive, Inc. (PTON) [ST] DESCRIPTION: short sale		04/19/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Peloton Interactive, Inc. (PTON) [ST] DESCRIPTION: short sale		05/11/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
QuidelOrtho Corporation (QDEL) [ST]		02/23/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
QuidelOrtho Corporation (QDEL) [ST] DESCRIPTION: short sale		03/3/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
QuidelOrtho Corporation (QDEL) [ST] DESCRIPTION: short sale		03/15/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
QuidelOrtho Corporation (QDEL) [ST] DESCRIPTION: short sale		04/27/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
QuidelOrtho Corporation (QDEL) [ST]		05/11/2021	P	\$15,001 - \$50,000	
Qumu Corporation (QUMU) [ST]		01/29/2021	P	\$1,001 - \$15,000	
Teradata Corporation (TDC) [ST] DESCRIPTION: covered short		02/12/2021	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Teradata Corporation (TDC) [ST]		04/26/2021	P	\$50,001 - \$100,000	
DESCRIPTION: covered short					
The Honest Company, Inc. (HNST) [ST]		05/11/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: short sale					

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SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Mr. Cooper Mortgage	November 2019	Home Mortgage	\$100,001 - \$250,000
	TD Auto Finance	January 2019	Vehicle Loan	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☒ Yes ☐ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Tom Malinowski , 08/13/2022