



Filing ID #10043640

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Roger W. Marshall  
**Status:** Former Member  
**State/District:** KS01

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2019  
**Filing Date:** 09/24/2021

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
529 college savings plan ⇒ American Funds ⇒ American Balanced Fund [5P] LOCATION: VA DESCRIPTION: DC#2	DC	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
529 college savings plan ⇒ American Funds ⇒ American Balanced Fund [5P] LOCATION: VA DESCRIPTION: DC#1	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
529 college savings plan ⇒ American Funds ⇒ College 2021 Fund [5P] LOCATION: VA DESCRIPTION: DC#1	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
529 college savings plan ⇒ American Funds ⇒ Short-Term Bond Fund of America [5P] LOCATION: VA DESCRIPTION: DC#1	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
529 college savings plan ⇒ American Funds ⇒ Short-Term Bond Fund of America [5P] LOCATION: VA	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: DC#2					
529 college savings plan ⇒ Waddell & Reed ⇒ Invest Ed Growth Portfolio A [5P]	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: AZ					
DESCRIPTION: DC#1					
529 college savings plan ⇒ Waddell & Reed ⇒ Invest Ed Growth Portolio A [5P]	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: AZ					
DESCRIPTION: DC#2					
American Funds - IRA ⇒ EuroPacific Growth Fund A [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: IRA					
American Funds - IRA ⇒ EuroPacific Growth Fund A [OT]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: IRA					
American Funds - IRA ⇒ Washington Mutual Investors Fund A [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: IRA					
American Funds - IRA ⇒ Washington Mutual Investors Fund A [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: IRA					
Business Related Entity ⇒ Club West of Great Bend, LLC ⇒ Club West of Great Bend LLC, 4% Interest [OT]	SP	\$1,001 - \$15,000	Partnership	None	<input type="checkbox"/>
DESCRIPTION: Management of Swimming Pool Location: Great Bend, KS					
Business Related Entity ⇒ Jacam Chemical Company, Inc. ⇒ Canadian Energy Services & Technology Corp., 1% Interest [OT]	SP	\$1,001 - \$15,000	Dividends, S-Corp	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Parent company of Jacam Chemical LLC Location: Sterling, KS					
Cash Balances ⇒ Farmers Bank & Trust [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Cash Balances ⇒ Farmers Bank & Trust [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Cash Balances ⇒	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Farmers Bank & Trust [BA]					
Cash Balances ⇒ Farmers Bank & Trust [BA]	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: DC#2					
Cash Balances ⇒ Farmers Bank & Trust [BA]	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: DC#1					
Cash Balances ⇒ Farmers Bank & Trust [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Cash Balances ⇒ Farmers Bank & Trust [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Cash Balances ⇒ Farmers Bank & Trust [BA]	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: DC#2					
Cash Balances ⇒ Farmers Bank & Trust [BA]	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: DC#1					
Cash Balances ⇒ Farmers Bank & Trust [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Farmers Enterprises, Inc., 1% Interest [OT]	SP	\$1,000,001 - \$5,000,000	S - Corp	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Holding company for bank stock - Location: Great Bend, KS					
GBRH Properties 2009, LLC, 14% Interest [RP]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
LOCATION: Great Bend, KS, US DESCRIPTION: Building, helicopter pad, intangible assets, cash					
Investment holding entities ⇒ Healthcare Ventures Fund IV, LP [OT]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Subscription Agreement in Healthcare Ventures Fund IV, LP					
Investment holding entities ⇒ Healthcare Properties Fund 1, LLC ⇒ HPH Building, 2% Interest [OT]	SP	\$15,001 - \$50,000	Partnership	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Investment – Venture Capital in hospitals Location: Houston, TX					

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Investment holding entities ⇒ Healthcare Properties Fund 1, LLC ⇒ Methodist McKinney Hospital Property, 2% Interest [OT]	SP	\$15,001 - \$50,000	Partnership	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Investment – Venture Capital in hospitals Location: McKinney, TX					
Investment holding entities ⇒ Healthcare Properties Fund 1, LLC ⇒ Wichita NW Medical Property, 2% Interest [OT]	SP	\$1,001 - \$15,000	Partnership	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Investment – Venture Capital in hospitals Location: Wichita, KS					
Investment holding entities ⇒ NCM Bioscience Partners, LLC ⇒ Investment in Open Prairie Ventures II, LP, 3% Interest [OT]	SP	\$50,001 - \$100,000	Partnership	None	<input type="checkbox"/>
DESCRIPTION: Venture Capital Investments - Location: Leawood, KS					
Investment holding entities ⇒ Quivira Ranch. Inc. ⇒ Cash, 20% Interest [OT]	SP	\$1,001 - \$15,000	S-Corp	None	<input type="checkbox"/>
DESCRIPTION: Property Management Location: Stafford County					
Investment holding entities ⇒ Quivira Ranch. Inc. ⇒ Land - 720 Acres, Fencing & Equipment Rental, 20% Interest [OT]	SP	\$250,001 - \$500,000	S-Corp	None	<input type="checkbox"/>
DESCRIPTION: Property Management Location: Stafford County					
Investment holding entities ⇒ Quivira Ranch. Inc. ⇒ Oil Royalty Interest, 20% Interest [OT]	SP	\$100,001 - \$250,000	S-Corp - Royalty Interest	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Property Management Location: Stafford County					
Investment holding entities ⇒ Quivira Ranch. Inc. ⇒ Oil Working Interest and Equipment, 20% Interest [OT]	SP	\$1,001 - \$15,000	S-Corp - Working Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Property Management Location: Stafford County					
Investment holding entities ⇒ Rattlesnake Ranch, Inc. ⇒ Land 75 acres, equipment and building rental, 20% Interest [OT]		\$15,001 - \$50,000	S-Corp	None	<input type="checkbox"/>
DESCRIPTION: Real Estate Investment Property Location: Stafford County					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ iSelect Fund B St. Louis, Inc. [OT]	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: DC 1					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ iSelect Fund B St. Louis, Inc. [OT]	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: DC 2					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Investment Holding Entity ⇒ LVMC, Inc. ⇒ Ivy Accumulative A (formerly WR Advisors Accumulative A), 25% Interest [OT]  DESCRIPTION: DC#1 - Exchanged April 2016	DC	\$1,001 - \$15,000	S-Corp	None	<input type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ Ivy Accumulative A (formerly WR Advisors Accumulative A), 25% Interest [OT]  DESCRIPTION: DC#2 - Exchanged April 2016	DC	\$1,001 - \$15,000	S-Corp	None	<input type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Energy A (formerly WR Advisors Energy A), 25% Interest [OT]  DESCRIPTION: DC#2 Exchanged, not required to be reported due to transaction under reporting threshold.	DC	\$1 - \$1,000	S-Corp	None	<input type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Energy A (formerly WR Advisors Energy A), 25% Interest [OT]  DESCRIPTION: DC#1 Exchanged, not required to be reported due to transaction under reporting threshold.	DC	\$1 - \$1,000	S-Corp	None	<input type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Global Equity Income A [MF]  DESCRIPTION: DC#1	DC	\$1,001 - \$15,000	S-Corp	None	<input type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Global Equity Income A [MF]  DESCRIPTION: DC#2	DC	\$1,001 - \$15,000	S-Corp	None	<input type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Large Cap Growth A [MF]  DESCRIPTION: DC#2	DC	\$1,001 - \$15,000	S-Corp	None	<input checked="" type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Large Cap Growth A [MF]  DESCRIPTION: DC#1	DC	\$1,001 - \$15,000	S-Corp	None	<input checked="" type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY MidCap Growth A [MF]  DESCRIPTION: DC#1	DC	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY MidCap Growth A [MF]	DC	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Science and Technology A (formerly WR Advisors Science and Technology A), 25% Interest [OT]	DC	\$1,001 - \$15,000	S-Corp	None	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: DC#1					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Science and Technology A (formerly WR Advisors Science and Technology A), 25% Interest [OT]	DC	\$1,001 - \$15,000	S-Corp	None	<input checked="" type="checkbox"/>
DESCRIPTION: DC#2					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Small Cap Growth A (formerly WR Advisors Small Cap A), 25% Interest [OT]	DC	\$1,001 - \$15,000	S-Corp	None	<input type="checkbox"/>
DESCRIPTION: DC#2					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Small Cap Growth A (formerly WR Advisors Small Cap A), 25% Interest [OT]	DC	\$1,001 - \$15,000	S-Corp	None	<input type="checkbox"/>
DESCRIPTION: DC#1					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ Land 320 acres, building & equipment - Stafford County, 25% Interest [OT]	DC	\$250,001 - \$500,000	S-Corp	None	<input checked="" type="checkbox"/>
DESCRIPTION: DC#1 - CRP payment. Location: Great Bend, KS					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ Land 320 acres, building & equipment - Stafford County, 25% Interest [OT]	DC	\$250,001 - \$500,000	S-Corp	None	<input checked="" type="checkbox"/>
DESCRIPTION: DC#2 - CRP payment. Location: Great Bend, KS					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ Note Receivable, 25% Interest [OT]	DC	\$1,001 - \$15,000	S-Corp	None	<input type="checkbox"/>
DESCRIPTION: DC#1 Held by Farmers Bank & Trust, Great Bend, KS					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ Note Receivable, 25% Interest [OT]	DC	\$1,001 - \$15,000	S-Corp	None	<input type="checkbox"/>
DESCRIPTION: DC#2 Held by Farmers Bank & Trust, Great Bend, KS					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ Oil Royalty Interest, 25% Interest [OT]	DC	\$15,001 - \$50,000	S-Corp	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: DC#2 - Location: Stafford County					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ Oil Royalty Interest, 25% Interest [OT]	DC	\$15,001 - \$50,000	S-Corp	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: DC#1 - Location: Stafford County					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ Washington DC Condo [RP]	DC	\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Washington, DC, US					
DESCRIPTION: This entry represents both DC#1's and DC#2's interest in asset.					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ionics Life Sciences [PS]		\$100,001 - \$250,000	None		<input type="checkbox"/>
DESCRIPTION: Subscription agreement in Ionics Life Sciences.					
Mutual Funds ⇒ LPL Financial ⇒ Cash [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Alternative Return Strategy ETF [EF]	SP	None	None		<input checked="" type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Emerging Markets Alphadex [EF]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Europe Alphadex ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Exchange Traded FD III Long Short EQTY ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust FD Cap Strength [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Financials Alphadex ETF [EF]	SP	None	None		<input checked="" type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Global Tactical Commodity Strategy [EF]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust II Consumer Discretionary Alphadex [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Japan Alphadex ETF [EF]	SP	None	None		<input checked="" type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Large Cap Growth Opportunity Alphadex ETF [EF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Large Cap Value Opportunity Alphadex ETF [EF]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒	SP	None	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
First Trust Low Duration Opportunities ETF [EF]					
Mutual Funds ⇒ LPL Financial ⇒ First Trust Mid Cap Core Alphadex ETF [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust NASDAQ-100 Equal Weighted Index [EF]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust NYSE ARCA Biotechnology [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Small Cap Core Alphadex ETF [EF]	SP	None	None		<input checked="" type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Tactical High Yield ETF [EF]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust TCW Opportunistic Fixed Income [EF]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Technology Alphadex ETF [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Value Line [EF]	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Value Line Dividend Index [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ Horizon Managed Volatility Developed Intl [EF]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ Insured Cash Account [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ Ishares Iboxx Investment Grade Corp Bond ETF [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Mutual Funds ⇒ Questar Capital Corporation ⇒ Apple, Inc. [MF]	DC	\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
DESCRIPTION: DC#1					
Mutual Funds ⇒ Questar Capital Corporation ⇒ Cash [MF]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>



Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: DC#2					
Mutual Funds ⇒ Questar Capital Corporation ⇒ Cash [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Mutual Funds ⇒ Questar Capital Corporation ⇒ Cash [MF]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: DC#1					
Mutual Funds ⇒ Questar Capital Corporation ⇒ McDonalds Corp [MF]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: DC#2					
Mutual Funds ⇒ Questar Capital Corporation ⇒ Pfizer Inc Com [MF]	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: DC#2					
Mutual Funds ⇒ Questar Capital Corporation ⇒ Walt Disney Co [MF]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: DC#2					
Mutual Funds ⇒ Questar Capital Corporation ⇒ Walt Disney Co. [MF]	DC	\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
DESCRIPTION: DC#1					
Mutual Funds ⇒ Questar Capital IRA Account ⇒ American Funds Growth Fund of America A [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Mutual Funds ⇒ Questar Capital IRA Account ⇒ American Funds US Government Security A [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Mutual Funds ⇒ Questar Capital IRA Account ⇒ Hartford Mid-Cap Fund Class A [HFM CX] [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account					
Mutual Funds ⇒ Questar Capital IRA Account ⇒ Hartford Schroders US Small Cap Opportunities Fund Class A [SCUVX] [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account					
Mutual Funds ⇒ Questar Capital IRA Account ⇒ Hartford Total Return Bond A [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Mutual Funds ⇒ Questar Capital IRA Account ⇒ Intermediate Bond Fund of America Class A [AIBAX] [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account					
Mutual Funds ⇒ Questar Capital IRA Account ⇒ New Perspective Fund Class A [ANWPX] [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account					
Mutual Funds ⇒ Questar Capital IRA Account ⇒ New World Fund Class A [NEWFX] [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account					
Mutual Funds ⇒ Questar Capital IRA Account ⇒ The Growth Fund of America Class A [AGTHX] [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account					
Mutual Funds ⇒ Questar Capital IRA Account ⇒ Washington Mutual Investors Fund Class A [AWSHX] [MF]		\$250,001 - \$500,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Rollover from Great Bend Regional Hospital 401k account					
Mutual Funds ⇒ Scottrade ⇒ Celestica, Inc. (CLS) [ST]	DC	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Mutual Funds ⇒ Scottrade ⇒ Microsoft Corporation (MSFT) [ST]	DC	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Mutual Funds ⇒ Scottrade ⇒ NVIDIA Corporation (NVDA) [ST]	DC	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Mutual Funds ⇒ Waddell & Reed ⇒ IVY Municipal Bond A (formerly WR Advisors Municipal Bond A) [MF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Mutual Funds ⇒ Waddell & Reed ⇒ WR Advisors Municipal High Income A [MF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Oil Working Interest ⇒ MV Purchasing, 5% Interest [OT]	SP	\$50,001 - \$100,000	Oil Working Interest	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Location: Stafford County					
Rental Real Estate ⇒ Rental Real Estate, 100% Interest [RP]	JT	None	Capital Gains, Rent	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
LOCATION: Santa Cruz, CA, US					
Stock in privately owned corporation ⇒ Great Bend Coop Assn. ⇒ Great Bend Coop Assn. [OT]		\$1,001 - \$15,000	Patronage Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Location: Great Bend, KS					
Universal Life Policy ⇒ Pacific Life [WU]		\$15,001 - \$50,000	None		<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
529 college savings plan ⇒ American Funds ⇒ American Balanced Fund [5P] LOCATION: VA DESCRIPTION: DC#2	DC	06/11/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
529 college savings plan ⇒ American Funds ⇒ American Balanced Fund [5P] LOCATION: VA DESCRIPTION: DC#2	DC	09/30/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
529 college savings plan ⇒ American Funds ⇒ American Balanced Fund [5P] LOCATION: VA DESCRIPTION: DC#2	DC	12/9/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]	DC	12/3/2019	P	\$1,001 - \$15,000	
Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]	DC	12/5/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Amazon.com, Inc. (AMZN) [ST]	DC	11/15/2019	P	\$1,001 - \$15,000	
Amazon.com, Inc. (AMZN) [ST]	DC	11/27/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Apple Inc. (AAPL) [ST]	DC	12/2/2019	P	\$1,001 - \$15,000	
Apple Inc. (AAPL) [ST]	DC	12/3/2019	P	\$1,001 - \$15,000	
Apple Inc. (AAPL) [ST]	DC	12/6/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Celestica, Inc. (CLS) [ST]	DC	10/28/2019	P	\$1,001 - \$15,000	
Celestica, Inc. (CLS) [ST]	DC	11/6/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Investment holding entities ⇒ Healthcare Ventures Fund IV, LP [OT]	SP	08/15/2019	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: Capital call.					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ Ivy Large Cap Growth A [MF]	DC	12/12/2019	P	\$1,001 - \$15,000	
DESCRIPTION: DC#1 - reinvestment of capital gains.					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Large Cap Growth A [MF]	DC	12/12/2019	P	\$1,001 - \$15,000	
DESCRIPTION: DC#2 - reinvestment of capital gains.					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Science and Technology A (formerly WR Advisors Science and Technology A) [MF]	DC	12/12/2019	P	\$1,001 - \$15,000	
DESCRIPTION: DC #1 - Reinvestment of capital gain					
Investment Holding Entity ⇒ LVMC, Inc. ⇒ IVY Science and Technology A (formerly WR Advisors Science and Technology A) [MF]	DC	12/12/2019	P	\$1,001 - \$15,000	
DESCRIPTION: DC#2 - reinvestment of capital gains.					
Micron Technology, Inc. (MU) [ST]	DC	11/11/2019	P	\$1,001 - \$15,000	
Micron Technology, Inc. (MU) [ST]	DC	11/15/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Alternative Return Strategy ETF [EF]	SP	10/24/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust ARCA Biotechnology Index [EF]	SP	07/2/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust ARCA Biotechnology Index [EF]	SP	12/31/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Cap Strength [EF]	SP	01/3/2019	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Mutual Funds ⇒ LPL Financial ⇒ First Trust Cap Strength [EF]	SP	10/31/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust Cloud Computing [EF]	SP	07/2/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust Cloud Computing [EF]	SP	10/31/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust consumer Discretionary Alphadex [EF]	SP	10/31/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust Consumer Discretionary Alphadex [EF]	SP	12/31/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Consumer Discretionary Alphadex [EF]	SP	07/2/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Consumer Discretionary Alphadex [EF]	SP	12/31/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Consumer Discretionary Alphadex [EF]	SP	10/31/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust Emerging Markets Alphadex [EF]	SP	12/31/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust Europe Alphadex [EF]	SP	12/31/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust Europe Alphadex ETF [EF]	SP	07/2/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Europe Alphadex ETF [EF]	SP	10/24/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Europe Alphadex ETF [EF]	SP	10/31/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust Europe Alphadex ETF [EF]	SP	11/5/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust FD Cap Strength [EF]	SP	01/3/2019	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Mutual Funds ⇒ LPL Financial ⇒ First Trust FD Cap Strength ETF [EF]	SP	10/31/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust Financials Alphasdex ETF [EF]	SP	07/2/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Global Tactical Commodity Strategy [EF]	SP	01/3/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust Global Tactical Commodity Strategy [EF]	SP	12/31/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Global Tactical Commodity Strategy [EF]	SP	01/3/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust Global Tactical Commodity Strategy [EF]	SP	07/2/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Horizon Managed Volatility Developed Intl [EF]	SP	07/2/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust Horizon Managed Volatility Developed Intl [EF]	SP	10/24/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Horizon Managed Volatility Developed Intl [EF]	SP	10/31/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust Horizon Managed Volatility Developed Intl [EF]	SP	11/5/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Horizon Managed Volatility Developed Intl [EF]	SP	12/31/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust II Emerging Markets Alphasdex [EF]	SP	07/2/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust II Emerging Markets Alphasdex [EF]	SP	10/24/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust II Emerging Markets Alphasdex [EF]	SP	10/31/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒	SP	11/5/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
First Trust II Emerging Markets Alphadex [EF]					
Mutual Funds ⇒ LPL Financial ⇒ First Trust Japan Alphadex ETF [EF]	SP	07/2/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Large Cap Growth Opportunity Alphadex [EF]	SP	10/31/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust Large Cap Growth Opportunity Alphadex ETF [EF]	SP	07/2/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Large Cap Growth Opportunity Alphadex ETF [EF]	SP	12/31/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Large Cap Value Opportunity Alphadex [EF]	SP	12/31/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust Large Cap Value Opportunity Alphadex ETF [EF]	SP	07/2/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Large Cap Value Opportunity Alphadex ETF [EF]	SP	10/24/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Large Cap Value Opportunity Alphadex ETF [EF]	SP	10/31/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust Large Cap Value Opportunity Alphadex ETF [EF]	SP	11/5/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Long Short Equity [EF]	SP	07/2/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust Long Short Equity [EF]	SP	12/31/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Long Short Equity [EF]	SP	07/2/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒	SP	10/31/2019	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
First Trust Long Short Equity [EF]					
Mutual Funds ⇒ LPL Financial ⇒ First Trust Low Duration Opportunities ETF [EF]	SP	07/2/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Low Duration Opportunities ETF [EF]	SP	10/31/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Mid Cap Growth Alphadex [EF]	SP	07/2/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust NASDAQ BK [EF]	SP	07/2/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust NASDAQ BK [EF]	SP	10/31/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust NASDAQ-100 Equal Weighted Index [EF]	SP	12/31/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust Small Cap Core Alphadex [EF]	SP	07/2/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust Small Cap Core Alphadex [EF]	SP	10/31/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Tactical High Yield [EF]	SP	07/2/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust Tactical High Yield [EF]	SP	10/24/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Tactical High Yield [EF]	SP	10/31/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust Tactical High Yield [EF]	SP	11/5/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Tactical High Yield ETF [EF]	SP	12/31/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust TCW Opportunistic Fixed Income [EF]	SP	07/2/2019	P	\$1,001 - \$15,000	



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Mutual Funds ⇒ LPL Financial ⇒ First Trust TCW Opportunistic Fixed Income [EF]	SP	10/24/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust TCW Opportunistic Fixed Income [EF]	SP	10/31/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust TCW Opportunistic Fixed Income [EF]	SP	11/5/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust TCW Opportunistic Fixed Income [EF]	SP	12/31/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust Technology Alphadex [EF]	SP	12/31/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Technology Alphadex [EF]	SP	10/31/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust Value Line [EF]	SP	01/3/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust Value Line [EF]	SP	07/2/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Value Line [EF]	SP	12/31/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ First Trust Value Line Dividend Index [EF]	SP	10/31/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust Value Line Dividend Index [EF]	SP	01/3/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust W 30 Equal Weight [EF]	SP	07/2/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ LPL Financial ⇒ First Trust W 30 Equal Weight [EF]	SP	10/31/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ LPL Financial ⇒ Ishares Iboxx Investment Grade Corp Bond ETF [EF]	SP	07/2/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Mutual Funds ⇒ Questar Capital Corporation ⇒ Chevron Corporation (CVX) [ST]	DC	03/9/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Mutual Funds ⇒ Questar Capital Corporation ⇒ Walt Disney Company (DIS) [ST]	DC	03/9/2020	P	\$1,001 - \$15,000	
Mutual Funds ⇒ Questar Capital IRA Account ⇒ American Funds Growth Fund of America A [MF]		12/23/2019	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvestment of dividends and capital gains.					
Mutual Funds ⇒ Questar Capital IRA Account ⇒ American Funds Growth Fund of America A [MF]		08/12/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ Questar Capital IRA Account ⇒ American Funds Growth Fund of America A [MF]		08/15/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Mutual Funds ⇒ Questar Capital IRA Account ⇒ American Funds New Perspective A [MF]		08/15/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Mutual Funds ⇒ Questar Capital IRA Account ⇒ American Funds New World A [MF]		08/15/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ Questar Capital IRA Account ⇒ American Funds The Bond Fund of America A [MF]		08/12/2019	P	\$15,001 - \$50,000	
Mutual Funds ⇒ Questar Capital IRA Account ⇒ American Funds US Government Securities A [MF]		08/15/2019	P	\$50,001 - \$100,000	
Mutual Funds ⇒ Questar Capital IRA Account ⇒ Hartford Mid Cap A [MF]		12/23/2019	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvestment of dividends and capital gains.					
Mutual Funds ⇒ Questar Capital IRA Account ⇒ Hartford Mid Cap A [MF]		08/14/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ Questar Capital IRA Account ⇒ Hartford Mid Cap A [MF]		08/15/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Mutual Funds ⇒ Questar Capital IRA Account ⇒ Hartford Schroders US Small Cap Opportunity Fund A [MF]		08/14/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ Questar Capital IRA Account ⇒ Hartford Schroders US Small Cap Opportunity Fund A [MF]		08/15/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Mutual Funds ⇒ Questar Capital IRA Account ⇒ Hartford Schroeders US Small Cap Opportunity Fund [MF] DESCRIPTION: Reinvestment of dividends and capital gains.		12/11/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ Questar Capital IRA Account ⇒ Hartford Total Return Bond A [MF]		08/15/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ Questar Capital IRA Account ⇒ Hartford Total Return Bond A [MF]		08/14/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ Questar Capital IRA Account ⇒ Washington Mutual Investors Fund A [MF] DESCRIPTION: Reinvestment of dividends and capital gains.		12/23/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ Questar Capital IRA Account ⇒ Washington Mutual Investors Fund A [MF]		08/12/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ Questar Capital IRA Account ⇒ Washington Mutual Investors Fund A [MF] DESCRIPTION: Reinvestment of dividends and capital gains.		06/17/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ Questar Capital IRA Account ⇒ Washington Mutual Investors Fund A [MF] DESCRIPTION: Reinvestment of dividends.		03/18/2019	P	\$1,001 - \$15,000	
Mutual Funds ⇒ Questar Capital IRA Account ⇒ Washington Mutual Investors Fund A [MF]		08/15/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Mutual Funds ⇒ Scottrade ⇒ Gilead Sciences, Inc. (GILD) [ST]	DC	07/8/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ Scottrade ⇒ Microsoft Corporation (MSFT) [ST]	DC	02/24/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Mutual Funds ⇒ Scottrade ⇒ Roku, Inc. - Class A (ROKU) [ST]	DC	07/8/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Mutual Funds ⇒ Scottrade ⇒ Walt Disney Company (DIS) [ST]	DC	07/8/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Netflix, Inc. (NFLX) [ST]	DC	10/28/2019	P	\$1,001 - \$15,000	
Netflix, Inc. (NFLX) [ST]	DC	10/31/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
NVIDIA Corporation (NVDA) [ST]	DC	03/21/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rental Real Estate, 100% Interest [RP]		09/25/2019	S	\$1,000,001 - \$5,000,000	<input checked="" type="checkbox"/>
LOCATION: Santa Cruz, CA, US DESCRIPTION: Sale of rental property					
Vacation Home [RP]		06/4/2019	P	\$500,001 - \$1,000,000	
LOCATION: Sarasota, FL, US DESCRIPTION: Purchase of vacation home					
YETI Holdings, Inc. (YETI) [ST]	DC	11/6/2019	P	\$1,001 - \$15,000	
YETI Holdings, Inc. (YETI) [ST]	DC	12/12/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	LVMC, Inc.	December 2015	personal loan	\$15,001 - \$50,000
JT	Farmers Bank & Trust, N.A.	May 2015	rental real estate	\$500,001 - \$1,000,000
JT	Farmers Bank & Trust, N.A.	June 2019	Mortgage on vacation home	\$500,001 - \$1,000,000

## SCHEDULE E: POSITIONS

Position	Name of Organization
President, Director COMMENTS: Uncompensated position	LVMC, Inc.
Director COMMENTS: Uncompensated position	Rattlesnake Ranch, Inc.

Position	Name of Organization
President, Director	Quivira Ranch, Inc.
COMMENTS: Uncompensated position	

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none"><li>529 college savings plan (Owner: DC) LOCATION: KS</li><li>529 college savings plan ⇒ American Funds (Owner: DC) LOCATION: VA</li><li>529 college savings plan ⇒ Waddell &amp; Reed (Owner: DC) LOCATION: AZ</li><li>American Funds - IRA (Owner: SP)</li><li>American Funds - IRA (Owner: SP)</li><li>American Funds - IRA</li><li>Business Related Entity (Owner: SP) LOCATION: US</li><li>Business Related Entity ⇒ Club West of Great Bend, LLC (Owner: SP) LOCATION: Great Bend/Barton, KS, US</li><li>Business Related Entity ⇒ Jacam Chemical Company, Inc. (Owner: SP) LOCATION: Sterling, KS, US</li><li>Cash Balances (100% Interest) (Owner: DC) LOCATION: Great Bend/Barton, KS, US DESCRIPTION: Farmers Bank &amp; Trust</li><li>Cash Balances (100% Interest) (Owner: JT) LOCATION: Great Bend/Barton, KS, US DESCRIPTION: Farmers Bank &amp; Trust</li><li>Cash Balances (100% Interest) (Owner: SP) LOCATION: Great Bend/Barton, KS, US DESCRIPTION: Farmers Bank &amp; Trust</li></ul>
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- Cash Balances (100% Interest)  
LOCATION: Great Bend/Barton, KS, US  
DESCRIPTION: Farmers Bank & Trust
- Investment holding entities (Owner: SP)  
LOCATION: US
- Investment holding entities  
LOCATION: US
- Investment holding entities ⇒ Healthcare Properties Fund 1, LLC (Owner: SP)  
LOCATION: Leawood, KS, US
- Investment holding entities ⇒ NCM Bioscience Partners, LLC (Owner: SP)  
LOCATION: Leawood, KS, US
- Investment holding entities ⇒ Quivira Ranch, Inc. (20% Interest) (Owner: SP)  
LOCATION: Stafford County, KS, US
- Investment holding entities ⇒ Rattlesnake Ranch, Inc. (20% Interest)  
LOCATION: Stafford County, KS, US
- Investment Holding Entity (Owner: DC)  
LOCATION: US
- Investment Holding Entity ⇒ LVMC, Inc. (Owner: DC)  
LOCATION: Great Bend/Barton, KS, US
- Mutual Funds (Owner: SP)  
LOCATION: US
- Mutual Funds (Owner: DC)  
LOCATION: US
- Mutual Funds  
LOCATION: US
- Mutual Funds ⇒ LPL Financial (Owner: SP)
- Mutual Funds ⇒ Questar Capital Corporation (Owner: DC)
- Mutual Funds ⇒ Questar Capital Corporation (Owner: SP)
- Mutual Funds ⇒ Questar Capital IRA Account
- Mutual Funds ⇒ Scottrade (Owner: DC)
- Mutual Funds ⇒ Waddell & Reed (Owner: SP)
- Oil Working Interest (Owner: SP)  
LOCATION: US
- Rental Real Estate (100% Interest) (Owner: JT)  
LOCATION: US
- Stock in privately owned corporation  
LOCATION: Great Bend/Barton, KS, US
- Stock in privately owned corporation ⇒ Great Bend Coop Assn.  
LOCATION: Great Bend/Barton, KS, US
- Universal Life Policy  
LOCATION: US

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Roger W. Marshall , 09/24/2021