

UNITED STATES HOUSE OF REPRESENTATIVES 2020 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

Name: Hon. Michael Guest

Daytime Telephone: 202-225-5031

FILER
STATUS
REPORT
TYPE



Member of the U.S.
House of Representatives

State: MS
District: 03



2020 Annual (Due May 17, 2021)



Amendment



Officer or
Employee



Employing Office:
Termination
Date of Termination:

Staff Filer Type: (If Applicable)
Share ☐ Principal Assistant ☐

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

HAND DELIVERED
✓ LEGISLATIVE RESOURCE CENTER
M/C 2020-04-10-04 PM 2:37

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

<p>A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>	<p>E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>	<p>F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$415 in value from a single source during the reporting period?</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>	<p>H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$415 in value from a single source during the reporting period?</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>	<p>I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>	<p>ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"</p>
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IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

<p>IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>

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If only a portion of an asset was sold, please indicate as follows: (S (part)).

SCHEDULE B - TRANSACTIONS

Name: Hon. Michael Greet

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SP, DC, JT		Type of Transaction				Date	Amount of Transaction												
		Purchase	Sale	Partial Sale	Exchange		Check Box if Capital Gain Exceeded \$200	(ACQ/MTN) or Quarterly, Monthly, or Bi-weekly, if applicable	A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
Asset																			
SP	Example Mega Corp Stock			X		X	3/8/17		X										
SP	KINV-LPL - (T) AT&T Inc.	X					02/21/2020		X										
	LPL-INV - (ABNFX) American Funds Bond Fund of Amer F2	X					08/28/2020		X										
	LPL-INV - (AIVFX) American International Vantage C2	X					08/28/2020		X										
	LPL-INV - (AKRIX) Alta Focus Instl		X			X	01/21/2020	X	X										
	LPL-INV - (AMCFX) American Funds AMCAP F2			X			01/21/2020	X	X										
	LPL-INV - (AMCFX) American Funds AMCAP F2		X				03/24/2020	X	X										
	LPL-INV - (AMRFX) AMERICAN MUTUAL F2	X					03/24/2020	X	X										
	LPL-INV - (AMRFX) AMERICAN MUTUAL F2		X				05/12/2020	X	X										
	LPL-INV - (BHYIX) BlackRock High Yield Bond Instl	X					03/24/2020	X	X										
	LPL-INV - (BHYIX) BlackRock High Yield Bond Instl		X				05/12/2020	X	X										
	LPL-INV - (DGRV) WisdomTree Trust - WisdomTree U.S. Quality Dividend Growth Fund	X					01/21/2020		X										
	LPL-INV - (DGRV) WisdomTree Trust - WisdomTree U.S. Quality Dividend Growth Fund			X			03/24/2020	X	X										
	LPL-INV - (DGRV) WisdomTree Trust - WisdomTree U.S. Quality Dividend Growth Fund	X					05/12/2020	X	X										
	LPL-INV - (DGRV) WisdomTree Trust - WisdomTree U.S. Quality Dividend Growth Fund						08/12/2020	X	X										
	LPL-INV - (DLN) WisdomTree US LargeCap Dividend ETF			X		X	08/29/2020	X	X										
	LPL-INV - (GSFTX) Columbia Dividend Income Z		X			X	01/21/2020	X	X										
	LPL-INV - (JHBI) JHancock Bond I	X					01/21/2020	X	X										
	LPL-INV - (JHBI) JHancock Bond I		X				05/12/2020	X	X										
	LPL-INV - (JVMIX) JHancock Disciplined Value Mid Cap I	X					08/29/2020	X	X										
	LPL-INV - (LBDFX) LORD ABBETT BOND DEBENTURE CL F	X					01/21/2020	X	X										
	LPL-INV - (LBDFX) LORD ABBETT BOND DEBENTURE CL F		X				05/12/2020	X	X										

SCHEDULE B - TRANSACTIONS

Name: Hon. Michael Guest

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp Stock			X		X	3/31/17		X									
	LPL-INV - (LDLFX) LORD ABBETT SHORT DURATION INCOME CL F		X				01/21/2020	X										
	LPL-INV - (NIEFFX) American Funds New World F2			X			03/24/2020	X										
	LPL-INV - (NIEFFX) American Funds New World F2	X					05/12/2020	X										
	LPL-INV - (ODVYX) Oppenheimer Developing Markets Y	X					05/12/2020	X										
	LPL-INV - (PBCKX) PRINCIPAL BLUE CHIP INSTL CL	X					01/21/2020	X										
	LPL-INV - (PBCKX) PRINCIPAL BLUE CHIP INSTL CL		X				03/24/2020	X										
	LPL-INV - (PBOPX) PIMCO Investment Grade Corp Bd P	X					01/21/2020	X										
	LPL-INV - (PHSPX) PIMCO HIGH YIELD SPECTRUM CL 12	X					05/12/2020	X										
	LPL-INV - (PHSPX) PIMCO HIGH YIELD SPECTRUM CL 12		X				08/29/2020	X										
	LPL-INV - (PHYTX) Principal High Yield Inst			X			01/21/2020	X										
	LPL-INV - (PHYTX) Principal High Yield Inst		X				03/24/2020	X										
	LPL-INV - (PMDX) Principal Small Mid Cap Dividend Income Inst CL		X				03/24/2020	X										
	LPL-INV - (PONPX) PIMCO Income P	X					01/21/2020	X										
	LPL-INV - (PONPX) PIMCO Income P			X			05/12/2020	X										
	LPL-INV - (PPSIX) Principal Spectrum FFD & Cap Secs Income Inst	X					01/21/2020	X										
	LPL-INV - (PRFRX) T. Rowe Price Floating Rate	X					05/12/2020	X										
	LPL-INV - (PRFRX) T. Rowe Price Floating Rate		X				08/29/2020	X										
	LPL-INV - (PRGSX) T. Rowe Price Growth Stock	X					03/24/2020	X										
	LPL-INV - (PRGSX) T. Rowe Price Growth Stock		X				05/12/2020	X										
	LPL-INV - (PRSVX) T. Rowe Price Small-Cap Value	X				X	05/12/2020	X										
	LPL-INV - (PSGIX) BLACKROCK ADVANTAGE SMALL CAP GROWTH INSTL CL	X					08/29/2020	X										

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SP, DC, JT	SP	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MM/DD/YY or Quarterly, Monthly, or Weekly, if applicable)	Amount of Transaction										
			Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
		Example Mega Cap Stock			X		X	3/9/17		X									
		LPL-INV - (PTTPX) PIMCO Total Return P	X					05/12/2020	X										
		LPL-INV - (PWB) PowerShares Dynamic Large Cap Growth ETF	X					01/21/2020	X										
		LPL-INV - (PWB) PowerShares Dynamic Large Cap Growth ETF		X				03/24/2020	X										
		LPL-INV - (QQQ) PowerShares QQQ Trust, Series 1	X					03/24/2020	X										
		LPL-INV - (RTTRX) Royce Total Return Invest	X					03/24/2020	X										
		LPL-INV - (RTTRX) Royce Total Return Invest		X				05/12/2020	X										
		LPL-INV - (SBLVX) CLEARBRIDGE LARGE CAP GROWTH CL I		X			X	01/21/2020	X										
		LPL-INV - (SMCFX) Smallcap World CI F2		X			X	05/12/2020	X										
		LPL-INV - (SPHQ) PowerShares Exchange-Traded Fund Trust - PowerShares S&P 500 Quality Portfolio	X					05/12/2020	X										
		LPL-INV - (SPYG) SPDR S&P 500 Growth ETF	X					03/24/2020	X										
		LPL-INV - (SPYG) SPDR S&P 500 Growth ETF			X		X	08/28/2020	X										
		LPL-INV - (SPYV) SPDR Series Trust - SPDR S&P 500 Value ETF	X					01/21/2020	X										
		LPL-INV - (SPYV) SPDR Series Trust - SPDR S&P 500 Value ETF		X				03/24/2020	X										
		LPL-INV - (WCMEX) WCM FOCUSED EMERGING MARKETS INSTL CL	X					03/24/2020	X										
		LPL-INV - (WCMEX) WCM FOCUSED EMERGING MARKETS INSTL CL		X			X	08/12/2020	X										
		LPL-INV - (WMTFX) American Funds Washington Mutual F2		X			X	01/21/2020		X									
		LPL-RIRA - (ABNFY) American Funds Bond Fund of Amer F2	X					08/28/2020	X										
		LPL-RIRA - (ABNFY) American Funds Bond Fund of Amer F2	X					12/08/2020	X										
		LPL-RIRA - (AIVFX) American International Vantage C2	X					09/28/2020	X										
		LPL-RIRA - (AKRIX) Akre Focus Instl		X				01/21/2020	X										
		LPL-RIRA - (AMCFX) American Funds AMCAP F2		X				01/21/2020	X										

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SP, DC, JT									
SP	Example	Ways Corp. Stock	Asset						
	LPL-RIRA - (AMCFX) American Funds AMCAP F2		X						
	LPL-RIRA - (DGRW) WisdomTree Trust - WisdomTree U.S. Quality Dividend Growth Fund	X							
	LPL-RIRA - (DLN) WisdomTree US LargeCap Dividend ETF	X							
	LPL-RIRA - (DLN) WisdomTree US LargeCap Dividend ETF			X					
	LPL-RIRA - (GSFTX) Columbia Dividend Income Z		X						
	LPL-RIRA - (JHBIX) JHancock Bond I	X							
	LPL-RIRA - (JHBIX) JHancock Bond I		X						
	LPL-RIRA - (JVMIX) JHancock Disciplined Value Mid Cap I	X							
	LPL-RIRA - (LBDFX) LORD ABBETT BOND DEBENTURE CL F	X							
	LPL-RIRA - (LBDFX) LORD ABBETT BOND DEBENTURE CL F		X						
	LPL-RIRA - (LDLFX) LORD ABBETT SHORT DURATION INCOME CL F		X						
	LPL-RIRA - (ODVYX) Oppenheimer Developing Markets Y	X							
	LPL-RIRA - (PBCKX) PRINCIPAL BLUE CHIP INSTL CL	X							
	LPL-RIRA - (PBCKX) PRINCIPAL BLUE CHIP INSTL CL		X						
	LPL-RIRA - (PBDPX) PIMCO Investment Grade Corp Bd P	X							
	LPL-RIRA - (PHSPX) PIMCO HIGH YIELD SPECTRUM CL 12	X							
	LPL-RIRA - (PHSPX) PIMCO HIGH YIELD SPECTRUM CL 12		X						
	LPL-RIRA - (PHYTX) Principal High Yield Instl			X					
	LPL-RIRA - (PHYTX) Principal High Yield Instl		X						
	LPL-RIRA - (PMDIX) Principal Small Mid Cap Dividend Income Instl Cl		X						
	LPL-RIRA - (PONPX) PIMCO Income P	X							

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Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

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Report any purchase, sale, or exchange transactions that exceeded \$1000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.										Type of Transaction		Check Box if Capital Gain Exceeded \$200	Date	Amount of Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																									
Capital Gains: If a sale transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.										Purchase	Sale			Partial Sale	Exchange	A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																													
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			Purchase	Sale	Partial Sale	Exchange			MOD/R or Quarterly, biweekly, or 1 episode	A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP, DC, JT	Asset																			
SP	Example	Maya Corp. Stock			X		X	3/6/17			X									
DC	TR-D2 - (AWSHX)	American Funds Washington Mutual A	X					05/28/2020		X										
DC	TR-D2 - (AWSHX)	American Funds Washington Mutual A	X					06/25/2020		X										
DC	TR-D2 - (AWSHX)	American Funds Washington Mutual A	X					07/27/2020		X										
DC	TR-D2 - (AWSHX)	American Funds Washington Mutual A	X					08/26/2020		X										
DC	TR-D2 - (AWSHX)	American Funds Washington Mutual A	X					09/26/2020		X										
DC	TR-D2 - (AWSHX)	American Funds Washington Mutual A	X					10/28/2020		X										
DC	TR-D2 - (AWSHX)	American Funds Washington Mutual A	X					11/25/2020		X										
DC	TR-D2 - (AWSHX)	American Funds Washington Mutual A	X					12/21/2020		X										
DC	TR-D2 - (AWSHX)	American Funds Washington Mutual A	X					12/28/2020		X										
SP	TR-SP - (AMCPX)	American Funds AMCAP A	X					12/17/2020		X										
SP	TRUST-KF19 - (34878JUNY5) FORT BEND CNTY TX LEVEE IMPT DIST 17 PK RFDG B/E CPN 2.250% DUE 03/01/32		X					05/05/2020			X									
SP	TRUST-KF19 - (805881FJ1) MISSISSIPPI ST RFDG SER C B/E CPN 5.000% DUE 10/01/20		X					03/20/2020			X									
SP	TRUST-KF19 - (805881FJ1) MISSISSIPPI ST RFDG SER C B/E CPN 5.000% DUE 10/01/20		X					03/20/2020			X									
SP	TRUST-KF19 - (945781FQ2) WAYNE CNTY MS SER A B/E CPN 2.250% DUE 10/01/28		X					10/22/2020				X								
SP	TRUST-KF19 - (945781FR8) WAYNE CNTY MS SER A B/E CPN 2.250% DUE 10/01/28		X					10/22/2020				X								
SP	TRUST-KF19 - (945781F98) WAYNE CNTY MS SER A B/E CPN 2.375% DUE 10/01/20		X					10/22/2020				X								
SP	TRUST-KF19 - (T) AT&T Inc.		X					02/21/2020			X									
SP	TRUST-KF19 - (VFPX) JPMORGAN 100% U S TREAS SECS MKKT PREMIER CL			X				03/17/2020						X						

SCHEDULE C - EARNED INCOME

Name: Hon. Michael Guest

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria. List only the source for other spouse earned income exceeding \$1,000. See examples below.
EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: The 2017 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,786. The 2018 limit is \$28,050. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

Source (include date of receipt for honoraria)		Type	Amount
Examples:	Keene State	Approved Teaching Fee	\$8,000
	State of Maryland	Legislative Pension	\$18,000
	Civil War Roundtable (Oct. 2)	Spouse Speech	\$1,000
	Ontario County Board of Education	Spouse Salary	N/A
PERS OF MISSISSIPPI		RETIREMENT	\$61,075
MISSISSIPPI COURT COLLECTIONS, INC.		SPOUSE SALARY	N/A

SCHEDULE F - AGREEMENTS

Name: Hon. Michael Guest

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee's welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
1/1/1894	The State of Mississippi and me	Participant in Public Employees' Retirement System of Mississippi (PERS) and Mississippi Deferred Compensation Plan

FILER NOTES
(Optional)

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NOTE NUMBER	NOTES
001	- PERS Retirement was inadvertently omitted from this report. We have provided amended Schedules A, C, and F to accurately reflect PERS. Additionally, this amendment corrects a computer issue in which a few transactions did not correctly indicate a purchase or sale as well as remove one transaction that was a transfer of the asset rather than a purchase or sale.