



Filing ID #10036899

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Kenneth R. Buck  
**Status:** Member  
**State/District:** CO04

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2019  
**Filing Date:** 08/14/2020

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset  | Owner | Value of Asset       | Income Type(s) | Income            | Tx. > \$1,000?           |
|--|-------|----------------------|----------------|-------------------|--------------------------|
| 401K ⇒<br>Dodge & Cox Stock Fund [MF]                    |       | \$50,001 - \$100,000 | None           |                   | <input type="checkbox"/> |
| 401K ⇒<br>Edgewood Growth Fund - Retail [MF]             |       | \$15,001 - \$50,000  | None           |                   | <input type="checkbox"/> |
| Buck Family Trust ⇒<br>AMG Money Market account [BA]     |       | \$1,001 - \$15,000   | Interest       | \$1 - \$200       | <input type="checkbox"/> |
| Buck Family Trust ⇒<br>Dodge & Cox Intl Stock Fund [MF]  |       | \$1,001 - \$15,000   | Dividends      | \$1 - \$200       | <input type="checkbox"/> |
| Buck Family Trust ⇒<br>Helmerich & Payne, Inc. (HP) [ST] |       | \$15,001 - \$50,000  | Dividends      | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Buck Family Trust ⇒<br>SPDR Gold Trust [CO]              |       | \$1,001 - \$15,000   | None           |                   | <input type="checkbox"/> |
| Buck IRA ⇒<br>AMG River Road Small Cap Value-N [MF]      |       | \$1,001 - \$15,000   | Tax-Deferred   |                   | <input type="checkbox"/> |
| Buck IRA ⇒   |       | \$50,001 -           | Tax-Deferred   |                   | <input type="checkbox"/> |

| Asset   | Owner | Value of Asset       | Income Type(s) | Income          | Tx. > \$1,000?           |
|---|-------|----------------------|----------------|-----------------|--------------------------|
| AQR Long-Short Equity Fund [MF]                     |       | \$100,000            |                |                 |                          |
| Buck IRA ⇒<br>Buffalo Mid Cap Fund [MF]             |       | None                 | Tax-Deferred   |                 | <input type="checkbox"/> |
| Buck IRA ⇒<br>Buffalo Small Cap Fund [MF]           |       | None                 | Tax-Deferred   |                 | <input type="checkbox"/> |
| Buck IRA ⇒<br>Causeway International Value Inv [MF] |       | \$50,001 - \$100,000 | Tax-Deferred   |                 | <input type="checkbox"/> |
| Buck IRA ⇒<br>Champlain Small Co Fd-Adv [MF]        |       | \$15,001 - \$50,000  | Tax-Deferred   |                 | <input type="checkbox"/> |
| Buck IRA ⇒<br>Dodge & Cox Stock Fund [MF]           |       | \$50,001 - \$100,000 | Tax-Deferred   |                 | <input type="checkbox"/> |
| Buck IRA ⇒<br>Edgewood Growth Fund-Retail [MF]      |       | \$15,001 - \$50,000  | Tax-Deferred   |                 | <input type="checkbox"/> |
| Buck IRA ⇒<br>FMI Common Stock Fund [MF]            |       | \$15,001 - \$50,000  | Tax-Deferred   |                 | <input type="checkbox"/> |
| Buck IRA ⇒<br>Harbor Mid-Cap Value-Inv [MF]         |       | \$1,001 - \$15,000   | Tax-Deferred   |                 | <input type="checkbox"/> |
| Buck IRA ⇒<br>Harding Loevner Emerg Mkts [MF]       |       | \$15,001 - \$50,000  | Tax-Deferred   |                 | <input type="checkbox"/> |
| Buck IRA ⇒<br>Oakmark International Fund [MF]       |       | \$15,001 - \$50,000  | Tax-Deferred   |                 | <input type="checkbox"/> |
| Buck IRA ⇒<br>T Rowe Price Mid-Cap Growth [MF]      |       | \$15,001 - \$50,000  | Tax-Deferred   |                 | <input type="checkbox"/> |
| Buck IRA ⇒<br>T Rowe Price Mid-Cap Value [MF]       |       | \$15,001 - \$50,000  | Tax-Deferred   |                 | <input type="checkbox"/> |
| Buck IRA ⇒<br>Vanguard Energy Fund Investor [MF]    |       | \$15,001 - \$50,000  | Tax-Deferred   |                 | <input type="checkbox"/> |
| Cache Bank Savings & Checking Acct [BA]             |       | \$15,001 - \$50,000  | Interest       | \$201 - \$1,000 | <input type="checkbox"/> |
| Colorado Public Employee Retirement Account [PE]    |       | \$100,001 -          | None           |                 | <input type="checkbox"/> |

| Asset                                    | Owner | Value of Asset       | Income Type(s) | Income             | Tx. > \$1,000?           |
|--|-------|----------------------|----------------|--------------------|--------------------------|
| \$250,000                                |       |                      |                |                    |                          |
| DESCRIPTION: Defined benefit pension     |       |                      |                |                    |                          |
| Mineral rights [RP]                      |       | \$50,001 - \$100,000 | Royalty        | \$5,001 - \$15,000 | <input type="checkbox"/> |
| LOCATION: Weld County, CO, US            |       |                      |                |                    |                          |
| Northwestern Mutual Life Ins. [WU]       |       | \$15,001 - \$50,000  | None           |                    | <input type="checkbox"/> |
| DESCRIPTION: Whole Life Insurance Policy |       |                      |                |                    |                          |
| Regnery Publishing [IP]                  |       | \$1,001 - \$15,000   | Royalty        | \$5,001 - \$15,000 | <input type="checkbox"/> |

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

None disclosed.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

| Owner | Creditor      | Date Incurred | Type                           | Amount of Liability   |
|-------|---------------|---------------|--------------------------------|-----------------------|
| JT    | Quicken Loans | May 2011      | Mortgage on personal residence | \$100,001 - \$250,000 |
|       | Quicken Loans | August 2018   | Mortgage on DC residence       | \$100,001 - \$250,000 |

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

| Date          | Parties To                                   | Terms of Agreement      |
|---------------|--|-------------------------|
| December 2014 | Colorado Public Employees Retirement Account | Defined benefit pension |
| March 2016    | Regnery Publishing, Washington, DC           | Publishing agreement    |

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

| Trip Details                                   |            |            |  |                  | Inclusions                          |                                     |                          |
|--|------------|------------|--|------------------|-------------------------------------|-------------------------------------|--------------------------|
| Source   | Start Date | End Date   | Itinerary  | Days at Own Exp. | Lodging?                            | Food?                               | Family?                  |
| Leadership Program of the Rockies              | 02/15/2019 | 02/16/2019 | Greeley, CO - Colorado Springs, CO - Greeley, CO | 0                | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| Club for Growth and Club for Growth Foundation | 02/21/2019 | 02/22/2019 | Denver - Palm Beach - Denver                     | 0                | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| Guatemala Prospers                             | 08/20/2019 | 08/22/2019 | Denver - Guatemala Cirty - Denver                | 0                | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- 401K
- Buck Family Trust
- Buck IRA

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Kenneth R. Buck , 08/14/2020