



Filing ID #10062953

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. James E Hon Banks
Status: Member
State/District: IN03

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2020
Filing Date: 09/25/2024

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Bluerock Total Income Real Est Shs Ben Int Cl A [MF]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Grace Schools IRA - Money Market [IH]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
INDIANA 529 ⇒ College Choice 529 (2030 Enrollment Portfolio) [5P] LOCATION: IN DESCRIPTION: DC1		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
INDIANA 529 ⇒ College Choice 529 (2030 Enrollment Portfolio) [5P] LOCATION: IN DESCRIPTION: DC2		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
INDIANA 529 ⇒ College Choice 529 (2033 Enrollment Portfolio) [5P] LOCATION: IN DESCRIPTION: DC3		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Indiana Deferred Compensation Account [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
INPRS Legislators' Defined Contribution Fund [MF]		\$50,001 -	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
\$100,000					
DESCRIPTION: 2045 Retirement Fund					
INPRS Legislators' Defined Contribution Plan [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: 2045 Retirement Fund					
IRA ⇒ AMG Yachtman Focused Fund Service Class [MF]	SP	None	None		<input checked="" type="checkbox"/>
IRA ⇒ Income Fund of America Class A [MF]	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
IRA ⇒ T Rowe Price New Horizons [MF]	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
IRA ⇒ Washington Mutual Investors Fund Class A [MF]	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
ReliaStar Life Insurance [WU]	SP	\$100,001 - \$250,000	None		<input type="checkbox"/>
Roth IRA ⇒ Capital World Growth & Income Fund Class A [MF]	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Roth IRA ⇒ Investment Company of America Class A [MF]	SP	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Roth IRA ⇒ New World Class A [MF]	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Roth IRA ⇒ Schwab Money Market [IH]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Schwab Bank [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Schwab IRA ⇒ Ford Motor Company (F) [ST]		\$1 - \$1,000	Tax-Deferred		<input checked="" type="checkbox"/>
Schwab IRA ⇒ Kroger Company (KR) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Schwab IRA ⇒ Money market [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Schwab IRA ⇒ Patient Access Solutions Inc. (PASO) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Schwab IRA ⇒ Starbucks Corporation (SBUX) [ST]		None	None		<input checked="" type="checkbox"/>
Starbucks Corporation (SBUX) [ST]	JT	\$1 - \$1,000	None		<input checked="" type="checkbox"/>
State of Indiana Public Employee Deferred Compensation Plan [MF] DESCRIPTION: Indiana 2040 Fund	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Vaneck Vectors Morningstar Wide Moat ETF [MF]		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Bluerock Total Income Real Est Shs Ben Int Cl A [MF]	JT	11/12/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Bluerock Total Income Real Est Shs Ben Int Cl A [MF]	JT	08/6/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Bluerock Total Income Real Est Shs Ben Int Cl A [MF]	JT	04/28/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Bluerock Total Income Real Est Shs Ben Int Cl A [MF]	JT	01/7/2020	P	\$15,001 - \$50,000	
IRA ⇒ AMG Yachtman Focused Fund Service Class [MF]	SP	02/19/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA ⇒ Income Fund of America Class A [MF]	SP	02/18/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA ⇒ T Rowe Price New Horizons [MF]	SP	02/18/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA ⇒	SP	02/18/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Washington Mutual Investors Fund Class A [MF]					
Roth IRA ⇒ Capital World Growth & Income Fund [MF]	SP	01/31/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Roth IRA ⇒ Investment Company of America Class A [MF]	SP	01/31/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Roth IRA ⇒ New World Class A [MF]	SP	01/31/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Schwab IRA ⇒ Ford Motor Company (F) [ST]		08/28/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Schwab IRA ⇒ Ford Motor Company (F) [ST]		08/26/2020	P	\$1,001 - \$15,000	
Schwab IRA ⇒ Ford Motor Company (F) [ST]		09/1/2020	P	\$1,001 - \$15,000	
Schwab IRA ⇒ Ford Motor Company (F) [ST]		09/3/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Schwab IRA ⇒ Kroger Company (KR) [ST]		08/28/2020	P	\$1,001 - \$15,000	
Schwab IRA ⇒ Kroger Company (KR) [ST]		09/1/2020	P	\$1,001 - \$15,000	
Schwab IRA ⇒ Kroger Company (KR) [ST]		09/1/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Schwab IRA ⇒ Kroger Company (KR) [ST]		09/2/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Schwab IRA ⇒ Kroger Company (KR) [ST]		09/3/2020	P	\$1,001 - \$15,000	
Schwab IRA ⇒ Kroger Company (KR) [ST]		12/18/2020	P	\$1,001 - \$15,000	
Schwab IRA ⇒ Patient Access Solutions Inc. (PASO) [ST]		09/1/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Schwab IRA ⇒ Patient Access Solutions Inc. (PASO) [ST]		09/1/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Schwab IRA ⇒ Patient Access Solutions Inc. (PASO) [ST]		09/2/2020	P	\$1,001 - \$15,000	
Schwab IRA ⇒ Patient Access Solutions Inc. (PASO) [ST]		09/2/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Schwab IRA ⇒ Patient Access Solutions Inc. (PASO) [ST]		09/3/2020	P	\$1,001 - \$15,000	
Schwab IRA ⇒ Patient Access Solutions Inc. (PASO) [ST]		09/9/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Schwab IRA ⇒ Patient Access Solutions Inc. (PASO) [ST]		09/11/2020	P	\$1,001 - \$15,000	
Schwab IRA ⇒ Patient Access Solutions Inc. (PASO) [ST]		11/24/2020	P	\$1,001 - \$15,000	
Schwab IRA ⇒ Starbucks Corporation (SBUX) [ST]		07/29/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Schwab IRA ⇒ Starbucks Corporation (SBUX) [ST]		08/25/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Schwab IRA ⇒ Starbucks Corporation (SBUX) [ST]		08/19/2020	P	\$1,001 - \$15,000	
Schwab IRA ⇒ Starbucks Corporation (SBUX) [ST]		06/16/2020	P	\$1,001 - \$15,000	
Starbucks Corporation (SBUX) [ST]	JT	03/27/2020	P	\$1,001 - \$15,000	
Starbucks Corporation (SBUX) [ST]	JT	04/17/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Starbucks Corporation (SBUX) [ST]	JT	04/24/2020	P	\$1,001 - \$15,000	
Starbucks Corporation (SBUX) [ST]	JT	05/18/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vaneck Vectors Morningstar Wide Moat ETF [MF]		01/7/2020	P	\$15,001 - \$50,000	
Vaneck Vectors Morningstar Wide Moat ETF [MF]		05/15/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>

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SCHEDULE C: EARNED INCOME

Source	Type	Amount
Consulting clients	Spouse Consulting	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Freedom Mortgage, Dallas, TX	08/2017	Mortgage on personal residence	\$500,001 - \$1,000,000
JT	Dovenmuehle	June 2020	Mortgage on VA residence	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
November 2017	INPRS - Indiana Public Retirement System	Continued participation in retirement account

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B INVESTMENT VEHICLE DETAILS

◦ INDIANA 529

LOCATION: IN

◦ IRA (Owner: SP)

◦ Roth IRA (Owner: SP)

◦ Schwab IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. James E Hon Banks , 09/25/2024