FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Josh Gottheimer

Status: Member

State/District: NJ05

FILING INFORMATION

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SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Ameritrade ⇒ Amazon.com, Inc. (AMZN) [ST]		$1,001 - $15,000	None		☐
Ameritrade ⇒ Ameritrade Cash [BA]		$1,001 - $15,000	None		☐
Ameritrade ⇒ Ameritrade Cash [BA]	SP	$1,001 - $15,000	None		☐
Ameritrade ⇒ Apple Inc. (AAPL) [ST] DESCRIPTION: Previously held	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Ameritrade ⇒ Apple Inc. (AAPL) [ST]		$15,001 - $50,000	Dividends	$201 - $1,000	☐
Ameritrade ⇒ Facebook, Inc. - Class A (FB) [ST]		$15,001 - $50,000	None		☐
Ameritrade ⇒ Ford Motor Company (F) [ST]		$1,001 - $15,000	Dividends	$201 - $1,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Ameritrade ⇒ Invesco QQQ (QQQ) [EF] DESCRIPTION: Formerly Power Shares QQQ ETF (QQQ)		$1,001 - $15,000	None		☐
Ameritrade ⇒ Invesco QQQ (QQQ) [EF] DESCRIPTION: Previously held	SP	$15,001 - $50,000	None		☐
Ameritrade ⇒ Microsoft Corporation (MSFT) [ST]		$50,001 - $100,000	Dividends	$201 - $1,000	☐
Ameritrade ⇒ SPDR Dow Jones Industrial Ave ETF Trust (DIA) [EF]		$15,001 - $50,000	Dividends	$201 - $1,000	☐
Ameritrade ⇒ SPDR Dow Jones Industrial Average Trust (DIA) [EF] DESCRIPTION: Previously held	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Ameritrade ⇒ SPDR S&P 500 ETF (SPY) [EF] DESCRIPTION: Previously held	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Ameritrade ⇒ SPDR S&P 500 ETF (SPY) [EF]		$100,001 - $250,000	Dividends	$1,001 - $2,500	☐
Asbury Advisors LLC ⇒ Wells Fargo Bank Accounts [BA] DESCRIPTION: Checking & Savings		$15,001 - $50,000	None		☐
Barclays - Savings Account [BA]		$15,001 - $50,000	Interest	$201 - $1,000	☐
Columbia University- Voluntary Retirement Savings ⇒ Vanguard Target Retirement 2040 [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
DC College Savings - Dependent # 1 ⇒ DC College Savings 2025 Portfolio [MF]	DC	$100,001 - $250,000	Tax-Deferred		☐
DC College Savings - Dependent # 2 ⇒ DC College Savings 2028 Portfolio [MF]	DC	$100,001 - $250,000	Tax-Deferred		☐
DC College Savings - Dependent # 2 ⇒ Intermediate Bond Fund (IBAFX) [MF]	DC	$1,001 - $15,000	Tax-Deferred		☐
DC College Savings - Dependent # 2 ⇒	DC	$15,001 - $50,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
U.S. Large Cap Equity Portfolio [MF]					
DC College Savings - Dependent # 2 ⇒ U.S. Small Cap Equity Portfolio [MF]	DC	$15,001 - $50,000	Tax-Deferred		☐
Fidelity Brokerage ⇒ Fidelity Cash [BA]		$1 - $1,000	Interest	$201 - $1,000	☐
Fidelity Brokerage ⇒ Microsoft Corporation (MSFT) [ST] DESCRIPTION: Purchase less than $1,000; Value appreciated over $1,000		$1,001 - $15,000	None		☐
Ford Interest Advantage [BA]		$1,001 - $15,000	Interest	$1 - $200	☐
JPMorgan Chase Bank, N.A [BA]		$15,001 - $50,000	None		☐
Lending Club [DO] DESCRIPTION: San Francisco, CA		$1,001 - $15,000	Interest	$201 - $1,000	☐
Microsoft 401(k) ⇒ BTC LifePath [MF]		$100,001 - $250,000	Tax-Deferred		☐
Microsoft 401(k) ⇒ Vanguard Russell 1000 [EF]		$50,001 - $100,000	Tax-Deferred		☐
Morgan Stanley - Active Asset Account # 1 ⇒ Amazon.com, Inc. (AMZN) [ST]		$15,001 - $50,000	None		☐
Morgan Stanley - Active Asset Account # 1 ⇒ Fidelity Asset Manager (FAMRX) [MF]		$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
Morgan Stanley - Active Asset Account # 1 ⇒ Ford Motor Company (F) [ST] DESCRIPTION: Inheritance		$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Active Asset Account # 1 ⇒ iShares US Technology (IYW) [EF]		$50,001 - $100,000	Dividends	$1 - $200	☐
Morgan Stanley - Active Asset Account # 1 ⇒ Morgan Stanley Bank N.A. # [BA]		$1 - $1,000	Interest	$1 - $200	☐
Morgan Stanley - Active Asset Account # 1 ⇒		$100,001 -	Dividends	$201 -	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
SPDR S&P 500 ETF TR Unit Ser 1 (SPY) [EF]		$250,000		$1,000	
Morgan Stanley - Active Asset Account # 1 ⇒ Valley National Bancorp (VLY) [ST]		$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 1 ⇒ Vanguard Index FDS Vanguard Total Stk Mkt ETF (VTI) [EF]		$1,001 - $15,000	Dividends	$1 - $200	☐
Morgan Stanley - Active Asset Account # 2 ⇒ Akamai Technologies, Inc. (AKAM) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Amazon.com, Inc. (AMZN) [ST]	JT	$15,001 - $50,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ AT&T Inc. (T) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Coty Inc. Class A (COTY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Dollar Tree, Inc. (DLTR) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Fidelity National Information Services, Inc. (FIS) [ST] DESCRIPTION: Purchase below reporting threshold	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Home Depot, Inc. (HD) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ MarketAxess Holdings, Inc. (MKTX) [ST]	JT	$15,001 - $50,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Martin Marietta Materials, Inc. (MLM) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Microsoft Corporation (MSFT) [ST]	JT	$15,001 - $50,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Morgan Stanley Bank N.A. # [BA]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Pfizer, Inc. (PFE) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Active Asset Account # 2 ⇒ Proofpoint, Inc. (PFPT) [ST]	JT	$15,001 - $50,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Tractor Supply Company (TSCO) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Under Armour, Inc. Class A (UAA) [ST]	JT	$1 - $1,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Under Armour, Inc. Class C (UA) [ST]	JT	$1 - $1,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Walgreens Boots Alliance, Inc. (WBA) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Worldpay, Inc. Class A (WP) [ST] DESCRIPTION: Partial sales & full sale below the reporting threshold	JT	None	Capital Gains	$1,001 - $2,500	☐
Morgan Stanley - Active Asset Account # 3 ⇒ Dell Technologies Inc. Class V (DVMT) [ST]	JT	$1 - $1,000	None		☐
Morgan Stanley - Active Asset Account # 3 ⇒ Eli Lilly and Company (LLY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 3 ⇒ Goldman Sachs Emeg Mkts Debt I (GSDIX) [MF]	JT	$1 - $1,000	None		☐
Morgan Stanley - Active Asset Account # 3 ⇒ Goldman Sachs Group, Inc. (GS) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 3 ⇒ Goldman Sachs High Yld Instl (GSHIX) [MF]	JT	$1 - $1,000	None		☐
Morgan Stanley - Active Asset Account # 3 ⇒ Microsoft Corporation (MSFT) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Active Asset Account # 3 ⇒ Morgan Stanley Bank N.A. # [BA]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Portfolio Management ⇒ Apple Inc. (AAPL) [ST]	JT	$100,001 - $250,000	Dividends	$1,001 - $2,500	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Portfolio Management ⇒ Blackrock Health Science Opp I (SHSSX) [MF]	JT	$15,001 - $50,000	Capital Gains, Dividends	$2,501 - $5,000	☐
Morgan Stanley - Portfolio Management ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	$50,001 - $100,000	None		☐
Morgan Stanley - Portfolio Management ⇒ Goldman Sachs Int Smcp Insgh I (GICIX) [MF]	JT	$50,001 - $100,000	Dividends	$1,001 - $2,500	☐
Morgan Stanley - Portfolio Management ⇒ iShares Core S&P Mid-Cap ETF (IJH) [ST]	JT	$50,001 - $100,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Portfolio Management ⇒ iShares Russell 2000 ETF (IWM) [ST]	JT	$50,001 - $100,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Portfolio Management ⇒ iShares US Energy ETF (IYE) [MF]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Portfolio Management ⇒ Morgan Stanley Bank N.A. # [BA]	JT	$15,001 - $50,000	None		☐
Morgan Stanley - Portfolio Management ⇒ Oppenheimer Developing Mkts Y (ODVYX) [MF]	JT	$50,001 - $100,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Portfolio Management ⇒ SPDR S&P 500 (SPY) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Portfolio Management ⇒ Vanguard Index FD S&P 500 ETF (VOO) [MF]	JT	$500,001 - $1,000,000	None		☐
Morgan Stanley - Portfolio Management ⇒ Vanguard Total Stock Market ETF (VTI) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Portfolio Management ⇒ WisdomTree Europe Hedged Equity Fund (HEDJ) [ST]	JT	$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP] DESCRIPTION: Call options; Strike Price $130; Expires 3/20/2020	JT	$250,001 - $500,000	None		☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP] DESCRIPTION: Call options; Strike Price $130; Expires 2/21/2020	JT	$100,001 - $250,000	None		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [ST]	JT	$1,000,001 - $5,000,000	Dividends	$15,001 - $50,000	☐
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP] DESCRIPTION: Call options; Strike Price $130; Expires 4/17/2020	JT	$100,001 - $250,000	None		☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP] DESCRIPTION: Call options; Strike Price $135; Expires 3/20/2020	JT	$100,001 - $250,000	None		☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP] DESCRIPTION: Call options; Strike Price $140; Expires 8/16/2019	JT	None	Capital Gains	$5,001 - $15,000	☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP] DESCRIPTION: Call options; Strike Price $112; Expires 3/8/2019	JT	None	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP] DESCRIPTION: Call options; Strike Price $116; Expires 3/1/2019	JT	None	Capital Gains	$2,501 - $5,000	☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP] DESCRIPTION: Call options; Strike Price $116; Expires 2/22/2019	JT	None	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP] DESCRIPTION: Call options; Strike Price $115; Expires 2/15/2019	JT	None	Capital Gains	$2,501 - $5,000	☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP] DESCRIPTION: Call options; Strike Price $115; Expires 1/18/19	JT	None	Capital Gains	$5,001 - $15,000	☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Morgan Stanley Bank N.A. # [BA]	JT	$100,001 - $250,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Roth IRA ⇒ American Amcap A (AMCPX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Roth IRA ⇒ American Balanced A (ABALX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Roth IRA ⇒ American Bd Fd of America A (ABNDX) [MF]		$1 - $1,000	Tax-Deferred		☐
Morgan Stanley - Roth IRA ⇒ American Inv Co of Amer A (AIVSX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Roth IRA ⇒ American New Perspective A (ANWPX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Roth IRA ⇒ American Smallcap World A (SMCWX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Roth IRA ⇒ American Wa Mutual A (AWSHX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Roth IRA Spouse ⇒ American Cap Inc Builder A (CAIBX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Roth IRA Spouse ⇒ American Gr Fd of America A (AGTHX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Roth IRA Spouse ⇒ American Inv Co of Amer A (AIVSX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Select UMA Account # 1 ⇒ AAR Corp. (AIR) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Abbott Laboratories (ABT) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ ABIOMED, Inc. (ABMD) [ST] DESCRIPTION: Sold for a loss	JT	None	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ adidas AG Sponsored ADR (ADDYY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒	JT	None	Capital Gains	$1,001 -	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Adobe Systems Incorporated (ADBE) [ST]				$2,500	
Morgan Stanley - Select UMA Account # 1 ⇒ Advanced Micro Devices, Inc. (AMD) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ AIA Group, Ltd. Sponsored American Depository Receipt (AAGIY) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Air Products and Chemicals, Inc. (APD) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Alamo Group, Inc. (ALG) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Alfa-Laval Ab Unsponsored ADR (ALFVY) [ST]	JT	$1,001 - $15,000	Dividends	$1 - $200	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Allegiant Travel Company (ALGT) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Allianz SE ADS (AZSEY) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	$15,001 - $50,000	Capital Gains	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Altria Group, Inc. (MO) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Amadeus IT Holding SA Unsponsored American Depository Shares (AMADY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Ambev S.A. American Depositary Shares (ABEV) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Ameris Bancorp (ABCB) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒	JT	$1,001 - $15,000	Dividends	$201 -	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
AMERISAFE, Inc. (AMSF) [ST]				$1,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Amgen Inc. (AMGN) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Amphenol Corporation (APH) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	$50,001 - $100,000	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Applied Materials, Inc. (AMAT) [ST]	JT	None	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ ASML Holding N.V. - New York Registry Shares (ASML) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Aspen Pharmacare Holdings plc Unsponsored ADR (APNHY) [ST]	JT	None	None		☑
DESCRIPTION: Purchased and sold in 2019 for a loss. Sales transactions were less than $1,000.					
Morgan Stanley - Select UMA Account # 1 ⇒ Atlas Copco Ab Sponsored ADR repstg Class A (ATLKY) [ST]	JT	$1,001 - $15,000	Dividends	$1 - $200	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Atlassian Corporation Plc - Class A Ordinary Shares (TEAM) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ AtriCure, Inc. (ATRC) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Baidu, Inc. - American Depositary Shares, each representing one tenth Class A ordinary share (BIDU) [ST]	JT	$1 - $1,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Balchem Corporation (BCPC) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Banco Bilbao Vizcaya Argentaria S.A. (BBVA) [ST]	JT	$1 - $1,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Becton, Dickinson and Company (BDX) [ST]	JT	None	Capital Gains, Dividends	$1,001 - $2,500	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	$15,001 - $50,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ BioMarin Pharmaceutical Inc. (BMRN) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Blackbaud, Inc. (BLKB) [ST]	JT	None	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ BlackRock, Inc. (BLK) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Boeing Company (BA) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Boston Scientific Corporation (BSX) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Cambrex Corporation (CBM) [ST]	JT	None	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Canadian National Railway Company (CNI) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Cantel Medical Corp. (CMD) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Carnival Corporation (CCL) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Catalent, Inc. (CTLT) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Cathay General Bancorp (CATY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Central Garden & Pet Company (CENT) [ST] DESCRIPTION: Sold for a loss	JT	None	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Central Garden & Pet Company - Class A Common Stock Nonvoting (CENTA) [ST]	JT	$1 - $1,000	None		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Charles Schwab Corporation (SCHW) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Check Point Software Technologies Ltd. - Ordinary Shares (CHKP) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Chevron Corporation (CVX) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ China Mobile Limited (CHL) [ST] DESCRIPTION: Purchased and sold in 2019	JT	None	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Chugai Pharmaceutical Ltd Unsponsored ADR (CHGCY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Churchill Downs, Incorporated (CHDN) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Chuy's Holdings, Inc. (CHUY) [ST] DESCRIPTION: Appreciated over $1,000	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Cincinnati Financial Corporation (CINF) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$5,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Cisco Systems, Inc. (CSCO) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Coca-Cola Company (KO) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Cohen & Steers Inc (CNS) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Compass Minerals Intl Inc (CMP) [ST]	JT	$1 - $1,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Constellation Brands, Inc. (STZ) [ST] DESCRIPTION: Sale transaction below the reporting threshold	JT	None	Capital Gains	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒	JT	$1,001 - $15,000	Capital Gains	$201 -	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
CoStar Group, Inc. (CSGP) [ST]				$1,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Costco Wholesale Corporation (COST) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Crown Castle International Corp. (CCI) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Dassault Systemes, S.A. American Depositary Shares (DASTY) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Dbs Group Holdings Ltd Ord American Depositary Shares (DBSDY) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Delta Air Lines, Inc. (DAL) [ST] DESCRIPTION: Sale transactions below the reporting threshold.	JT	$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ DexCom, Inc. (DXCM) [ST]	JT	$1,001 - $15,000	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Diageo plc (DEO) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Dominion Energy, Inc. (D) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Dril-Quip, Inc. (DRQ) [ST]	JT	$1 - $1,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Duke Energy Corporation (DUK) [ST]	JT	None	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Ecolab Inc. (ECL) [ST]	JT	$1,001 - $15,000	Dividends	$1 - $200	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Electronic Arts Inc. (EA) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Entegris, Inc. (ENTG) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒	JT	None	Capital Gains	$5,001 -	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
EPAM Systems, Inc. (EPAM) [ST]				$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Epiroc Aktiebolag ADR (EPOKY) [OT] DESCRIPTION: ADR Stock; appreciated over $1,000	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Equinix, Inc. (EQIX) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Estee Lauder Companies, Inc. (EL) [ST]	JT	$1,001 - $15,000	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Exact Sciences Corporation (EXAS) [ST] DESCRIPTION: Purchased and sold in 2019 for a loss	JT	None	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ ExlService Holdings, Inc. (EXLS) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Fanuc Corporation Unsponsored ADR (FANUY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Fastenal Company (FAST) [ST]	JT	$15,001 - $50,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Fidelity National Information Services, Inc. (FIS) [ST] DESCRIPTION: Appreciated over $1,000	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Five Below, Inc. (FIVE) [ST]	JT	$1,001 - $15,000	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Fomento Economico Mexicano S.A.B. de C.V. (FMX) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Franklin Resources, Inc. (BEN) [ST]	JT	None	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Fuchs Petrolub SE Unsponsored American Depository ReceiptRepresenting Preferenced Shares (FUPBY) [ST]	JT	$1,001 - $15,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ General Dynamics Corporation (GD) [ST]	JT	None	Capital Gains, Dividends	$5,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Glacier Bancorp, Inc. (GBCI) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Globant S.A. Common Shares (GLOB) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Globus Medical, Inc. Class A (GMED) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Grand Canyon Education, Inc. (LOPE) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Grifols, S.A. - American Depositary Shares (GRFS) [ST] DESCRIPTION: Sale transactions less than $1,000	JT	None	Capital Gains, Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ GrubHub Inc. (GRUB) [ST] DESCRIPTION: Sale transactions less than $1,000	JT	None	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Grupo Financiero Banorte, S.A.B. De C.V Sponsored ADR (GBOOY) [ST] DESCRIPTION: Final sale less than $1,000	JT	None	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Hasbro, Inc. (HAS) [ST]	JT	None	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ HDFC Bank Limited (HDB) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Healthcare Services Group, Inc. (HCSG) [ST] DESCRIPTION: Sale transactions less than $1,000	JT	None	Capital Gains, Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Heartland Express, Inc. (HTLD) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Helen of Troy Limited (HELE) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒	JT	$1,001 - $15,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Helios Technologies, Inc. (HLIO) [ST] DESCRIPTION: Appreciated over $1,000					☐
Morgan Stanley - Select UMA Account # 1 ⇒ Home Depot, Inc. (HD) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Honeywell International Inc. (HON) [ST]	JT	$1,001 - $15,000	Dividends	$1 - $200	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Houlihan Lokey, Inc. Class A (HLI) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ HSBC Holdings, plc. (HSBC) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ HubSpot, Inc. (HUBS) [ST] DESCRIPTION: Sale transactions below reporting threshold	JT	$1,001 - $15,000	Capital Gains	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ IBERIABANK Corporation (IBKC) [ST]	JT	None	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ ICF International, Inc. (ICFI) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ ICICI Bank Limited (IBN) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ ICU Medical, Inc. (ICUI) [ST]	JT	$1,001 - $15,000	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ IDACORP, Inc. (IDA) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Illumina, Inc. (ILMN) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Infineon Technologies AG (IFNNY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Installed Building Products, Inc. (IBP) [ST] DESCRIPTION: Purchased and sold in 2019	JT	None	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒	JT	None	Capital Gains	$1,001 -	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Insulet Corporation (PODD) [ST]				$2,500	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Intel Corporation (INTC) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Intercontinental Exchange Inc. (ICE) [ST]	JT	None	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Intuitive Surgical, Inc. (ISRG) [ST]	JT	$1,001 - $15,000	Capital Gains	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	$15,001 - $50,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 2000 ETF (IWM) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Itau Unibanco Banco Holding SA American Depositary Shares (ITUB) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ J G C Corp (JGCCY) [ST] DESCRIPTION: Purchased and sold in 2019 for a loss	JT	None	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ John Bean Technologies Corporation (JBT) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Johnson & Johnson (JNJ) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Kinder Morgan, Inc. (KMI) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Komatsu Ltd Ord American Depositary Shares (KMTUY) [ST]	JT	$1 - $1,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Kubota Corporation (KUBTY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒	JT	$1,001 - $15,000	Capital Gains,	$201 -	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
L'Air Liquide Ord American Depositary Shares (AIQUY) [ST]			Dividends	$1,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Las Vegas Sands Corp. (LVS) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ LHC Group - common stock (LHCG) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Linde plc Ordinary Share (LIN) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Lithia Motors, Inc. (LAD) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Lonza Group Ag Zuerich Unsponsored ADR (LZAGY) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ L'Oreal Co. American Depositary Shares (LRLCY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Lowe's Companies, Inc. (LOW) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Lukoil Co Sponsored ADR (LUKOY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ lululemon athletica inc. (LULU) [ST]	JT	$1,001 - $15,000	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ LVMH Moet Hennessy Louis Vuitton Unsponsored American Depositary Receipt (LVMUY) [ST]	JT	None	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ MACOM Technology Solutions Holdings, Inc. (MTSI) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ MarketAxess Holdings, Inc. (MKTX) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Mastercard Incorporated (MA) [ST]	JT	$15,001 - $50,000	Capital Gains, Dividends	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Matador Resources Company (MTDR) [ST]	JT	$1 - $1,000	None		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Match Group, Inc. (MTCH) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Medidata Solutions, Inc. (MDSO) [ST]	JT	$1,001 - $15,000	Capital Gains	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ MercadoLibre, Inc. (MELI) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Meridian Bancorp, Inc. (EBSB) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Microsoft Corporation (MSFT) [ST]	JT	$50,001 - $100,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Mobile Mini, Inc. (MINI) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Monotaro Co. Ltd., Osaka Unsponsored ADR (MONOY) [ST]	JT	None	Capital Gains, Dividends	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Monster Beverage Corporation (MNST) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Morgan Stanley (MS) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Morgan Stanley Bank N.A. # [BA]	JT	$50,001 - $100,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ MSCI Inc (MSCI) [ST] DESCRIPTION: 2019 purchase below the reporting threshold	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Naspers Limited N Shs Sponsored American Depositary Receipt Representing Class N Shares (NPSNY) [ST]	JT	None	Capital Gains, Dividends	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ National Health Investors, Inc. (NHI) [ST]	JT	$1,001 - $15,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Netflix, Inc. (NFLX) [ST]	JT	$1,001 - $15,000	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ NewMarket Corp (NEU) [ST]	JT	None	Capital Gains, Dividends	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Norfolk Southern Corporation (NSC) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ NorthWestern Corporation (NWE) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Novanta Inc. - Common Shares (NOVT) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Novozymes A/S Unsponsored American Depositary Receipt (NVZMY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ NVIDIA Corporation (NVDA) [ST]	JT	$1,001 - $15,000	Dividends	$1,001 - $2,500	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Ollie's Bargain Outlet Holdings, Inc. (OLLI) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Oxford Industries, Inc. (OXM) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ PACCAR Inc. (PCAR) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Pacific Premier Bancorp Inc (PPBI) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Park 24 Co Ltd Sponsored ADR (PKCOY) [ST] DESCRIPTION: Sale transaction below the reporting threshold.	JT	None	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Patrick Industries, Inc. (PATK) [ST]	JT	$1,001 - $15,000	None		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Paychex, Inc. (PAYX) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Paylocity Holding Corporation (PCTY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	$1,001 - $15,000	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Pebblebrook Hotel Trust Common Shares of Beneficial Interest (PEB) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Performance Food Group Company (PFGC) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Philip Morris International Inc (PM) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Ping An Insurance (PNGAY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ PolyOne Corporation (POL) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Power Integrations, Inc. (POWI) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ PRA Group, Inc. (PRAA) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Primoris Services Corporation (PRIM) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ ProAssurance Corporation (PRA) [ST]	JT	None	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Proofpoint, Inc. (PFPT) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ QTS Realty Trust, Inc. Class A (QTS) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ QUALCOMM Incorporated (QCOM) [ST]	JT	$1,001 - $15,000	None		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ RBC Bearings Incorporated (ROLL) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Regeneron Pharmaceuticals, Inc. (REGN) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Rio Tinto Plc (RIO) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Ritchie Bros. Auctioneers Incorporated (RBA) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Roche Holdings AG Basel American Depositary Shares (RHHBY) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Rockwell Automation, Inc. (ROK) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Rogers Corporation (ROG) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Roper Technologies, Inc. (ROP) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Ross Stores, Inc. (ROST) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Royal Dutch Shell PLC Royal Dutch Shell PLC American Depositary Shares (RDS.B) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Ryman Hospitality Properties, Inc. (RHP) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ S&P Global Inc. (SPGI) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Salesforce.com Inc (CRM) [ST]	JT	$1,001 - $15,000	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ SAP SE ADS (SAP) [ST]	JT	$1,001 - $15,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Sarepta Therapeutics, Inc. (SRPT) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Sasol Ltd. American Depositary Shares (SSL) [ST]	JT	None	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Schlumberger N.V. (SLB) [ST] DESCRIPTION: Value less than $1,000	JT	$1 - $1,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Schneider Electric SE Unsponsored ADR (SBGSY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Seacoast Banking Corporation of Florida (SBCF) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ ServiceNow, Inc. (NOW) [ST]	JT	$1,001 - $15,000	Capital Gains	$5,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ SGS SA ADR (SGSOY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Sherwin-Williams Company (SHW) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Shopify Inc. Class A Subordinate Voting Shares (SHOP) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Silgan Holdings Inc. (SLGN) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Silicon Laboratories, Inc. (SLAB) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ SiteOne Landscape Supply, Inc. (SITE) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Sonova Holding AG Unsponsored ADR (SONVY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Southwest Airlines Company (LUV) [ST] DESCRIPTION: Sales transactions less than reporting threshold.	JT	None	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Square, Inc. Class A (SQ) [ST]	JT	None	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ SS&C Technologies Holdings, Inc. (SSNC) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Stag Industrial, Inc. (STAG) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Starbucks Corporation (SBUX) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Stifel Financial Corporation (SF) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Sun Hydraulics Corporation (SNHY) [ST] DESCRIPTION: Sales transaction less than $1,000	JT	None	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ SVB Financial Group (SIVB) [ST]	JT	None	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Symrise Ag Unsponsored ADR (SYIEY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Syneos Health, Inc. - Class A (SYNH) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Synopsys, Inc. (SNPS) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Sysmex Corporation Unsponsored ADR (SSMXY) [ST]	JT	$1,001 - $15,000	Dividends	None	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Target Corporation (TGT) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Temenos Group AG SPONSORED ADR (TMSNY) [ST]	JT	None	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒	JT	None	None		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Tenaris S.A. American Depositary Shares (TS) [ST]					
Morgan Stanley - Select UMA Account # 1 ⇒ Tencent Holdings Limited Unsponsored ADR Representing B Shares (TCEHY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Texas Capital Bancshares, Inc. (TCBI) [ST]	JT	None	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Texas Instruments Incorporated (TXN) [ST]	JT	$15,001 - $50,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Texas Roadhouse, Inc. (TXRH) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ The Trade Desk, Inc. - Class A (TTD) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Thermo Fisher Scientific Inc (TMO) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Transdigm Group Incorporated Transdigm Group Inc. (TDG) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Tupperware Brands Corporation (TUP) [ST] DESCRIPTION: Purchased in 2019 & full sale in 2019 less than $1,000	JT	None	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Twitter, Inc. (TWTR) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Unicharm Corp Sponsored ADR (UNICY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Unilever PLC (UL) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ United Bankshares, Inc. (UBSI) [ST]	JT	$1 - $1,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒	JT	$15,001 - $50,000	Dividends	$201 -	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
United Parcel Service, Inc. (UPS) [ST]				$1,000	
Morgan Stanley - Select UMA Account # 1 ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	JT	None	Capital Gains, Dividends	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Universal Forest Products, Inc. (UFPI) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ US Ecology, Inc. (ECOL) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index FD (VEU) [EF]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Veeva Systems Inc. Class A (VEEV) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Veracyte, Inc. (VCYT) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Verizon Communications Inc. (VZ) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Vertex Pharmaceuticals Incorporated (VRTX) [ST]	JT	None	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Virtusa Corporation - common stock (VRTU) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Visa Inc. (V) [ST]	JT	None	Capital Gains, Dividends	$5,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Wayfair Inc. Class A (W) [ST] DESCRIPTION: Appreciated over $1,000	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ WD-40 Company (WDFC) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Webster Financial Corporation (WBS) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Weibo Corporation - American Depositary Share (WB) [ST]	JT	None	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
DESCRIPTION: Sales transaction less than $1,000					
Morgan Stanley - Select UMA Account # 1 ⇒ Wells Fargo & Company (WFC) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Wolverine World Wide, Inc. (WWW) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Worldpay, Inc. Class A (WP) [ST]	JT	None	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ WPX Energy, Inc. (WPX) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Wright Medical Group N.V. - Ordinary Shares (WMGI) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Xilinx, Inc. (XLNX) [ST] DESCRIPTION: Initial purchase less than $1,000; partial sale in 2019 COMMENTS: Value less than $1,000	JT	$1 - $1,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Yandex N.V. - Class A Ordinary Shares (YNDX) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - SEP / Traditional IRA ⇒ American Cap Inc Builder A (CAIBX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - SEP / Traditional IRA ⇒ American Gr Fd of America A (AGTHX) [MF]		$15,001 - $50,000	Tax-Deferred		☐
Morgan Stanley - SEP / Traditional IRA ⇒ American SmallCap World A (SMCWX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - SEP / Traditional IRA ⇒ American Wa Mutual A (AWSHX) [MF]		$15,001 - $50,000	Tax-Deferred		☐
Morgan Stanley - SEP / Traditional IRA ⇒ Morgan Stanley Bank N.A. # [IH]		$15,001 - $50,000	Tax-Deferred		☐
Morgan Stanley - SEP IRA ⇒ Fidelity 500 Index Investor Class (FUSEX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - SEP IRA ⇒ Fidelity Asset Manager (FAMRX) [MF]		$50,001 - $100,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - SEP IRA ⇒ Fidelity Diversified International (FDIVX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - SEP IRA ⇒ Morgan Stanley - Bank Deposit [IH]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - SEP IRA ⇒ SPDR S&P 500 ETF TR Unit Ser 1 (SPY) [EF]		$15,001 - $50,000	Tax-Deferred		☐
Morgan Stanley - Traditional Inherited IRA ⇒ Morgan Stanley Bank N.A. # [BA]		$100,001 - $250,000	None		☐
Morgan Stanley - Traditional IRA ⇒ iShares MSCI EAFE ETF (EFA) [ST]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ Morgan Stanley Bank N.A. # [IH]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ Russell Inv Commodity Strat S (RCCSX) [MF]		$1 - $1,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ Russell Inv Emerging Markets S (REMSX) [MF]		$1 - $1,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ Russell Inv Glb Infrastrctre S (RGISX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ Russell Inv Glb Opprnstc Crd S (RGCSX) [MF]		$1 - $1,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ Russell Inv Glb Real Est Sec S (RRESX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ Russell Inv Global Equity S (RGESX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ Russell Inv Intl Dev Mkts S (RINTX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ Russell Inv US Small Cap Eq S (RLESX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ Russell Inv US Strat Eq S (RSESX) [MF]		$15,001 - $50,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Traditional IRA ⇒ SPDR S&P 500 (SPY) [ST]		$1,001 - $15,000	Tax-Deferred		☐
Synchrony Bank [BA]		$15,001 - $50,000	Interest	$201 - $1,000	☐
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ Fidelity 500 Index [MF]	SP	$50,001 - $100,000	Tax-Deferred		☑
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ Janus Triton Ret Acct [MF]	SP	None	Tax-Deferred		☑
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ Legal & General S&P 500 Ret Acct [MF]	SP	None	Tax-Deferred		☑
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ Oakmark International Ret Acct [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ Schwab Small Cap Index [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ SSgA Emerging Markets Index Ret Acct [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ SSgA S&P Mid Cap Index Ret Acct [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ TA Vanguard Instl Trg Re 2040 [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑
Tusk Venture Partners Advisors, LLC [OL] LOCATION: New York, NY, US DESCRIPTION: Appreciated over $1,000		$1,001 - $15,000	Ordinary Business Income	$1,001 - $2,500	☐
Vanguard SEP IRA ⇒ Target Retirement 2040 [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Wealthfront ⇒ Alphabet Inc. - Class A (GOOGL) [ST]		$1,001 - $15,000	None		☐
Wealthfront ⇒ Apple Inc. (AAPL) [ST]		$1,001 - $15,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
DESCRIPTION: Appreciated over $1,000					
Wealthfront ⇒ Bank of America Corporation (BAC) [ST]		$1,001 - $15,000	None		☐
DESCRIPTION: Appreciated over $1,000					
Wealthfront ⇒ Facebook, Inc. - Class A (FB) [ST]		$1,001 - $15,000	None		☐
Wealthfront ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [ST]		$1,001 - $15,000	None		☐
Wealthfront ⇒ Johnson & Johnson (JNJ) [ST]		$1,001 - $15,000	None		☐
Wealthfront ⇒ JP Morgan Chase & Co. (JPM) [ST]		$1,001 - $15,000	None		☐
Wealthfront ⇒ Schwab International Equity ETF (SCHF) [ST]		$1,001 - $15,000	None		☐
Wealthfront ⇒ Vanguard Extended Market ETF (VXF) [ST]		$15,001 - $50,000	Dividends	$201 - $1,000	☐
Wealthfront ⇒ Vanguard FTSE Developed Markets ETF (VEA) [ST]		$15,001 - $50,000	Dividends	$201 - $1,000	☐
Wealthfront ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [ST]		$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
Wealthfront ⇒ Vanguard Mun DB FD Inc Tax Exempt Bond (VTEB) [MF]		$1,001 - $15,000	None		☐
Wealthfront ⇒ Vanguard S&P 500 ETF (VOO) [ST]		$1,001 - $15,000	None		☐
Wealthfront ⇒ Wealthfront Risk Parity Fund (WFRPX) [MF]		$15,001 - $50,000	Dividends	$201 - $1,000	☐
Wells Fargo Bank Accounts [BA]		$100,001 - $250,000	Interest	$201 - $1,000	☐
DESCRIPTION: Checking & Savings					
WPP plc American Depositary Shares (WPP) [ST]		$15,001 - $50,000	Dividends	$1,001 - $2,500	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
DESCRIPTION: Formerly reported as WPP plc (WPPGY)					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit
https://fd.house.gov/reference/asset-type-codes.aspx.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	01/18/2019	S	$1,001 - $15,000	☐
DESCRIPTION: Call Option; Strike Price $116; Expires 03/01/2019.					
COMMENTS: Sold covered call option Asset held in the Morgan Stanley - Portfolio Management Active Assets Account					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	01/18/2019	S	$1,001 - $15,000	☐
DESCRIPTION: Call option; Strike Price $116; Expires 2/22/2019					
COMMENTS: Sold covered call option Asset held in the Morgan Stanley - Portfolio Management Active Assets Account					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	01/10/2019	S	$1,001 - $15,000	☐
DESCRIPTION: Call option; Strike Price $115; Expires 2/15/2019					
COMMENTS: Sold covered call option Asset held in the Morgan Stanley - Portfolio Management Active Assets Account					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	02/21/2019	S	$1,001 - $15,000	☐
DESCRIPTION: Call option; Strike price $114; Expires 03/15/2019					
COMMENTS: Sold covered call option Asset held in the Morgan Stanley - Portfolio Management Active Assets Account					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	02/4/2019	S	$1,001 - $15,000	☐
DESCRIPTION: Call option; Strike Price $112; Expires 03/08/2019					
COMMENTS: Sold covered call option Asset held in the Morgan Stanley - Portfolio Management Active Assets Account					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	02/27/2019	S	$1,001 - $15,000	☐
DESCRIPTION: Call option; Strike Price $118; Expires 03/29/2019					
COMMENTS: Asset held in the Morgan Stanley - Portfolio Management Active Assets Account					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	03/22/2019	S	$1,001 - $15,000	☐
DESCRIPTION: Call option; Strike Price $125; Expires 05/17/2019					
COMMENTS: Asset held in the Morgan Stanley - Portfolio Management Active Assets Account					
Morgan Stanley - Portfolio Management ⇒	JT	03/22/2019	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Microsoft Corporation (MSFT) [OP]					
DESCRIPTION: Call options; Strike Price $118; Expires 03/29/2019 COMMENTS: Bought 39 call option contracts to close out existing open position. Asset held in the Morgan Stanley - Portfolio Management Active Assets Account					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	03/13/2019	P	$1,001 - $15,000	
DESCRIPTION: Call options; Strike Price $114; Expires 03/15/2019 COMMENTS: Bought 38 option contracts to close out existing open position. Asset held in the Morgan Stanley - Portfolio Management Active Assets Account					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	03/13/2019	S	$1,001 - $15,000	☐
DESCRIPTION: Call option; Strike Price $117; Expires 04/12/2019 COMMENTS: Asset held in the Morgan Stanley - Portfolio Management Active Assets Account					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	03/12/2019	S	$1,001 - $15,000	☐
DESCRIPTION: Call option; Strike Price $120; Expires 04/26/2019 COMMENTS: Asset held in the Morgan Stanley - Portfolio Management Active Assets Account					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	03/12/2019	S	$15,001 - $50,000	☐
DESCRIPTION: Call option; Strike Price $120; Expires 06/21/2019 COMMENTS: Asset held in the Morgan Stanley - Portfolio Management Active Assets Account					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	03/5/2019	S	$1,001 - $15,000	☐
DESCRIPTION: Call option; Strike Price $120; Expires 05/17/2019 COMMENTS: Asset held in the Morgan Stanley - Portfolio Management Active Assets Account					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	04/25/2019	S	$1,001 - $15,000	☐
DESCRIPTION: Call options; Strike Price $135; Expires 06/21/2019 COMMENTS: Asset held in the Morgan Stanley - Portfolio Management Active Assets Account					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	04/16/2019	P	$15,001 - $50,000	
DESCRIPTION: Call options; Strike Price $115; Expires 04/18/2019 COMMENTS: Bought 50 call option contracts to close out existing open position Asset held in the Morgan Stanley - Portfolio Management Active Assets Account					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	04/16/2019	S	$1,001 - $15,000	☐
DESCRIPTION: Call options; Strike Price $130; Expires 7/19/2019					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
COMMENTS: Asset held in the Morgan Stanley - Portfolio Management Active Assets Account					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $125; Expires 7/19/2019	JT	04/16/2019	S	$1,001 - $15,000	☐
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $125; Expires 10/18/2019 COMMENTS: Asset held in the Morgan Stanley - Portfolio Management Active Assets Account	JT	04/16/2019	S	$15,001 - $50,000	☐
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price 125; Expires 9/20/2019 COMMENTS: Asset held in the Morgan Stanley - Portfolio Management Active Assets Account	JT	04/16/2019	S	$15,001 - $50,000	☐
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $120; Expires 4/26/2019 COMMENTS: Bought 47 call option contracts to close out existing open position Asset held in the Morgan Stanley - Portfolio Management Active Assets Account	JT	04/16/2019	P	$1,001 - $15,000	
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $120; Expires 6/21/2019 COMMENTS: Asset held in the Morgan Stanley - Portfolio Management Active Assets Account	JT	04/16/2019	P	$15,001 - $50,000	
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $121; Expires 5/24/2019	JT	04/9/2019	S	$1,001 - $15,000	☐
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $117; Expires 4/12/2019 COMMENTS: Bought 38 call option contracts to close out existing open position Asset held in the Morgan Stanley - Portfolio Management Active Assets Account	JT	04/9/2019	P	$1,001 - $15,000	
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $130; Expires 12/20/2019 COMMENTS: Asset held in the Morgan Stanley - Portfolio Management Active Assets Account	JT	05/31/2019	S	$15,001 - $50,000	☐
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $120; Expires 6/21/2019	JT	05/31/2019	P	$15,001 - $50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
COMMENTS: Bought 39 call option contracts to close out existing open position Asset held in the Morgan Stanley - Portfolio Management Active Assets Account					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	05/20/2019	S	$15,001 - $50,000	☐
DESCRIPTION: Call options; Strike Price $130; Expires 1/17/2020 COMMENTS: Asset held in the Morgan Stanley - Portfolio Management Active Assets Account					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	05/20/2019	P	$15,001 - $50,000	
DESCRIPTION: Call options; Strike Price $121; Expires 5/24/2019 COMMENTS: Bought 38 call option contracts to close out existing open position Asset held in the Morgan Stanley - Portfolio Management Active Assets Account					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	05/6/2019	S	$1,001 - $15,000	☐
DESCRIPTION: Call options; Strike Price $130; Expires 7/19/2019					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	05/6/2019	P	$1,001 - $15,000	
DESCRIPTION: Call options; Strike Price $125; Expires 5/17/2019 COMMENTS: Bought 39 call option contracts to close out existing open position Asset held in the Morgan Stanley - Portfolio Management Active Assets Account					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	05/6/2019	P	$15,001 - $50,000	
DESCRIPTION: Call options; Strike Price $120; Expires 5/17/2019 COMMENTS: Bought 58 call option contracts to close out existing open position Asset held in the Morgan Stanley - Portfolio Management Active Assets Account					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	05/6/2019	S	$15,001 - $50,000	☐
DESCRIPTION: Call options; Strike price $125; Expires 9/20/2019 COMMENTS: Asset held in the Morgan Stanley - Portfolio Management Active Assets Account					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	06/20/2019	S	$1,001 - $15,000	☐
DESCRIPTION: Call options; Strike Price $140; Expires 08/16/2019					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	06/20/2019	P	$1,001 - $15,000	
DESCRIPTION: Call options; Strike Price $135; Expires 6/21/2019 COMMENTS: Bought 38 call option contracts to close out existing open position. Asset held in the Morgan Stanley - Portfolio Management Active Assets Account					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $135; Expires 12/20/2019 COMMENTS: Asset held in the Morgan Stanley - Portfolio Management Active Assets Account	JT	07/16/2019	S	$50,001 - $100,000	☐
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $130; Expires 12/20/2019	JT	07/16/2019	S	$50,001 - $100,000	☐
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $130; Expires 07/19/2019	JT	07/16/2019	P	$50,001 - $100,000	
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $125; Expires 07/19/2019 COMMENTS: Bought 47 call option contracts to close out existing open position. Asset held in the Morgan Stanley - Portfolio Management Active Assets Account	JT	07/16/2019	P	$50,001 - $100,000	
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $125; Expires 09/20/2019 COMMENTS: Bought 96 call option contracts to close out existing open position. Asset held in the Morgan Stanley - Portfolio Management Active Assets Account	JT	09/16/2019	P	$100,001 - $250,000	
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $130; Expires 03/20/2020 COMMENTS: Asset held in the Morgan Stanley - Portfolio Management Active Assets Account	JT	09/16/2019	S	$100,001 - $250,000	☐
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $125; Expires 10/18/2019 COMMENTS: Bought 50 call option contracts to close out existing open position. Asset held in the Morgan Stanley - Portfolio Management Active Assets Account	JT	10/2/2019	P	$50,001 - $100,000	
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $130; Expires 01/17/2020 COMMENTS: Asset held in the Morgan Stanley - Portfolio Management Active Assets Account	JT	10/2/2019	S	$50,001 - $100,000	☐
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $135; Expires 12/20/2019 COMMENTS: Bought 78 call option contracts to close out existing open position. Asset held in the Morgan Stanley - Portfolio Management Active	JT	11/18/2019	P	$100,001 - $250,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Assets Account					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $130; Expires 12/20/2019 COMMENTS: Bought 86 call option contracts to close out existing open position. Asset held in the Morgan Stanley - Portfolio Management Active Assets Account	JT	11/18/2019	P	$100,001 - $250,000	
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $130; Expires 04/17/2020 COMMENTS: Asset held in the Morgan Stanley - Portfolio Management Active Assets Account	JT	11/18/2019	S	$100,001 - $250,000	☐
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $130; Expires 01/17/2020 COMMENTS: Bought 88 call option contracts to close out existing open position. Asset held in the Morgan Stanley - Portfolio Management Active Assets Account	JT	11/18/2019	P	$100,001 - $250,000	
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $130; Expires 02/21/2020 COMMENTS: Asset held in the Morgan Stanley - Portfolio Management Active Assets Account	JT	11/18/2019	S	$100,001 - $250,000	☐
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $135; Expires 03/20/2020 COMMENTS: Asset held in the Morgan Stanley - Portfolio Management Active Assets Account	JT	11/18/2019	S	$100,001 - $250,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ ABIOMED, Inc. (ABMD) [ST]	JT	12/10/2019	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Adobe Inc. (ADBE) [ST]	JT	09/11/2019	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Air Products and Chemicals, Inc. (APD) [ST]	JT	09/12/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]	JT	11/26/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]	JT	12/6/2019	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	07/26/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	07/16/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	09/13/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	09/11/2019	P	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	10/25/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	10/24/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	10/7/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	11/7/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	11/5/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Amadeus IT Holding SA Unsponsored American Depository Shares (AMADY) [ST]	JT	07/2/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Amgen Inc. (AMGN) [ST]	JT	11/20/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Amgen Inc. (AMGN) [ST]	JT	11/7/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Amphenol Corporation (APH) [ST]	JT	09/12/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	07/16/2019	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ ASML Holding N.V. - New York Registry Shares (ASML) [ST]	JT	10/21/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ ASML Holding N.V. - New York Registry Shares (ASML) [ST]	JT	10/15/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Atlassian Corporation Plc - Class A Ordinary Shares (TEAM) [ST]	JT	11/20/2019	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Baidu, Inc. - American Depositary Shares, each representing one tenth Class A ordinary share (BIDU) [ST]	JT	12/10/2019	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Banco Bilbao Vizcaya Argentaria S.A. (BBVA) [ST]	JT	12/10/2019	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Bayerische Motoren Werke AG Unsponsored ADR (BAMXY) [ST]	JT	07/5/2019	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Becton, Dickinson and Company (BDX) [ST]	JT	11/7/2019	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	09/4/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ BioMarin Pharmaceutical Inc. (BMRN) [ST]	JT	12/16/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ BioMarin Pharmaceutical Inc. (BMRN) [ST]	JT	12/11/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Boeing Company (BA) [ST]	JT	10/31/2019	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Booking Holdings Inc. (BKNG) [ST]	JT	09/20/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Booking Holdings Inc. (BKNG) [ST]	JT	11/5/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒	JT	12/10/2019	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Booking Holdings Inc. (BKNG) [ST]					
Morgan Stanley - Select UMA Account # 1 ⇒ Cambrex Corporation (CBM) [ST]	JT	10/18/2019	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Carnival Corporation (CCL) [ST]	JT	12/10/2019	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Central Garden & Pet Company (CENT) [ST]	JT	12/10/2019	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Central Garden & Pet Company - Class A Common Stock Nonvoting (CENTA) [ST]	JT	12/10/2019	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Charles Schwab Corporation (SCHW) [ST]	JT	09/12/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ China Mobile Limited (CHL) [ST]	JT	12/10/2019	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Cincinnati Financial Corporation (CINF) [ST]	JT	11/11/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Compass Minerals Intl Inc (CMP) [ST]	JT	12/10/2019	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ CoStar Group, Inc. (CSGP) [ST]	JT	11/22/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Costco Wholesale Corporation (COST) [ST]	JT	09/16/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Dassault Systemes, S.A. American Depositary Shares (DASTY) [ST]	JT	12/30/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Dentsu Inc Tokyo Unsponsored ADR (DNTUY) [ST]	JT	12/10/2019	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ DexCom, Inc. (DXCM) [ST]	JT	07/16/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒	JT	12/10/2019	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Dril-Quip, Inc. (DRQ) [ST]			(partial)		
Morgan Stanley - Select UMA Account # 1 ⇒ Estee Lauder Companies, Inc. (EL) [ST]	JT	10/31/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Exact Sciences Corporation (EXAS) [ST]	JT	12/10/2019	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	10/30/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Five Below, Inc. (FIVE) [ST]	JT	10/18/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Franklin Resources, Inc. (BEN) [ST]	JT	12/10/2019	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Globus Medical, Inc. Class A (GMED) [ST]	JT	07/26/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Houlihan Lokey, Inc. Class A (HLI) [ST]	JT	09/13/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ HSBC Holdings, plc. (HSBC) [ST]	JT	11/27/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ IBERIABANK Corporation (IBKC) [ST]	JT	11/1/2019	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ ICU Medical, Inc. (ICUI) [ST]	JT	07/26/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Illumina, Inc. (ILMN) [ST]	JT	09/11/2019	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Infineon Technologies AG (IFNNY) [ST]	JT	07/5/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Infineon Technologies AG (IFNNY) [ST]	JT	12/10/2019	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Insulet Corporation (PODD) [ST]	JT	09/13/2019	S	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Intercontinental Exchange Inc. (ICE) [ST]	JT	11/5/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Intercontinental Exchange Inc. (ICE) [ST]	JT	12/16/2019	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Intuitive Surgical, Inc. (ISRG) [ST]	JT	07/16/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Intuitive Surgical, Inc. (ISRG) [ST]	JT	09/20/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	12/10/2019	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	12/10/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	12/30/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Ishares Russell 2000 ETF (IWM) [EF]	JT	12/10/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Ishares Russell 2000 ETF (IWM) [EF]	JT	12/31/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Komatsu Ltd Ord American Depositary Shares (KMTUY) [ST]	JT	12/30/2019	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Las Vegas Sands Corp. (LVS) [ST]	JT	10/21/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Lonza Group Ag Zuerich Unsponsored ADR (LZAGY) [ST]	JT	11/27/2019	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Lowe's Companies, Inc. (LOW) [ST]	JT	08/6/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Lowe's Companies, Inc. (LOW) [ST]	JT	08/5/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒	JT	09/3/2019	S	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
lululemon athletica inc. (LULU) [ST]			(partial)		
Morgan Stanley - Select UMA Account # 1 ⇒ lululemon athletica inc. (LULU) [ST]	JT	09/16/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Mastercard Incorporated (MA) [ST]	JT	09/13/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Mastercard Incorporated (MA) [ST]	JT	09/10/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Mastercard Incorporated (MA) [ST]	JT	10/9/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Matador Resources Company (MTDR) [ST]	JT	12/10/2019	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Medidata Solutions, Inc. (MDSO) [ST]	JT	08/19/2019	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Microsoft Corporation (MSFT) [ST]	JT	11/19/2019	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Monster Beverage Corporation (MNST) [ST]	JT	09/16/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Morgan Stanley (MS) [ST]	JT	11/1/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Naspers Limited N Shs Sponsored American Depositary Receipt Representing Class N Shares (NPSNY) [ST]	JT	11/27/2019	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Netflix, Inc. (NFLX) [ST]	JT	07/16/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ NewMarket Corp (NEU) [ST]	JT	08/6/2019	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ NewMarket Corp (NEU) [ST]	JT	08/5/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Ollie's Bargain Outlet Holdings, Inc. (OLLI) [ST]	JT	10/18/2019	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	08/29/2019	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	09/12/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Primoris Services Corporation (PRIM) [ST]	JT	12/10/2019	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ ProAssurance Corporation (PRA) [ST]	JT	07/26/2019	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Regeneron Pharmaceuticals, Inc. (REGN) [ST]	JT	09/20/2019	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Regeneron Pharmaceuticals, Inc. (REGN) [ST]	JT	11/1/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Roche Holdings AG Basel American Depositary Shares (RHHBY) [ST]	JT	08/20/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Roche Holdings AG Basel American Depositary Shares (RHHBY) [ST]	JT	11/27/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Roper Technologies, Inc. (ROP) [ST]	JT	11/14/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Ross Stores, Inc. (ROST) [ST]	JT	09/16/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Ryman Hospitality Properties, Inc. (RHP) [ST]	JT	12/10/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ S&P Global Inc. (SPGI) [ST]	JT	12/23/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Salesforce.com Inc (CRM) [ST]	JT	07/16/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Salesforce.com Inc (CRM) [ST]	JT	09/5/2019	S (partial)	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Sarepta Therapeutics, Inc. (SRPT) [ST]	JT	12/13/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Sasol Ltd. American Depositary Shares (SSL) [ST]	JT	12/10/2019	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Schlumberger N.V. (SLB) [ST]	JT	07/1/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Schlumberger N.V. (SLB) [ST]	JT	12/10/2019	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Schneider Electric SE Unsponsored ADR (SBGSY) [ST]	JT	11/27/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Seacoast Banking Corporation of Florida (SBCF) [ST]	JT	11/1/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ ServiceNow, Inc. (NOW) [ST]	JT	10/28/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ ServiceNow, Inc. (NOW) [ST]	JT	11/12/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ ServiceNow, Inc. (NOW) [ST]	JT	11/1/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Square, Inc. Class A (SQ) [ST]	JT	08/2/2019	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ SS&C Technologies Holdings, Inc. (SSNC) [ST]	JT	12/16/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	JT	09/30/2019	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Temenos Group AG SPONSORED ADR (TMSNY) [ST]	JT	07/2/2019	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	10/28/2019	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	11/7/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Texas Capital Bancshares, Inc. (TCBI) [ST]	JT	07/12/2019	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Texas Instruments Incorporated (TXN) [ST]	JT	10/16/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Twilio Inc. Class A (TWLO) [ST]	JT	10/21/2019	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Unicharm Corp Sponsored ADR (UNICY) [ST]	JT	12/30/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ United Bankshares, Inc. (UBSI) [ST]	JT	12/10/2019	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	JT	09/27/2019	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index Fund (VEU) [EF]	JT	12/10/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index Fund (VEU) [EF]	JT	12/31/2019	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Veracyte, Inc. (VCYT) [ST]	JT	12/6/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Vertex Pharmaceuticals Incorporated (VRTX) [ST]	JT	09/19/2019	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Visa Inc. (V) [ST]	JT	09/10/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Visa Inc. (V) [ST]	JT	11/26/2019	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ WPX Energy, Inc. (WPX) [ST]	JT	09/13/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒	JT	10/21/2019	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Xilinx, Inc. (XLNX) [ST]			(partial)		
Morgan Stanley - Select UMA Account # 1 ⇒ Zendesk, Inc. (ZEN) [ST]	JT	10/21/2019	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 2 ⇒ Abbott Laboratories (ABT) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	02/27/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Abbott Laboratories (ABT) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	03/15/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ adidas AG Sponsored ADR (ADDYY) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	01/29/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ AIA Group, Ltd. Sponsored American Depository Receipt (AAGIY) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	04/8/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 2 ⇒ Air Products and Chemicals, Inc. (APD) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	05/2/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Allianz SE ADS (AZSEY) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	04/5/2019	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 2 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	04/30/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 2 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	06/6/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 2 ⇒ Altria Group, Inc. (MO) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	01/11/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Amadeus IT Holding SA Unsponsored American Depository Shares (AMADY) [ST]	JT	06/28/2019	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Ambev S.A. American Depositary Shares (ABEV) [ST]	JT	03/15/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Amneal Pharmaceuticals, Inc. Class A (AMRX) [ST]	JT	05/24/2019	S	$1,001 - $15,000	☐
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Apple Inc. (AAPL) [ST]	JT	01/18/2019	S (partial)	$1,001 - $15,000	☑
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Apple Inc. (AAPL) [ST]	JT	01/3/2019	S (partial)	$1,001 - $15,000	☑
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Apple Inc. (AAPL) [ST]	JT	04/5/2019	S (partial)	$1,001 - $15,000	☑
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Apple Inc. (AAPL) [ST]	JT	05/20/2019	S (partial)	$1,001 - $15,000	☐
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Apple Inc. (AAPL) [ST]	JT	06/12/2019	S (partial)	$1,001 - $15,000	☐
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Apple Inc. (AAPL) [ST]	JT	06/6/2019	S (partial)	$1,001 - $15,000	☐
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Applied Materials, Inc. (AMAT) [ST]	JT	03/12/2019	S	$1,001 - $15,000	☑
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Aspen Pharmacare Holdings plc Unsponsored ADR (APNHY) [ST]	JT	01/11/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Banco Bilbao Vizcaya Argentaria S.A. (BBVA) [ST]	JT	01/11/2019	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Banco Bilbao Vizcaya Argentaria S.A. (BBVA) [ST]	JT	04/5/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Bayer Aktiengesellschaft American Depositary Shares (BAYRY) [ST]	JT	01/11/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Bayer Aktiengesellschaft American Depositary Shares (BAYRY) [ST]	JT	04/11/2019	S (partial)	$1,001 - $15,000	☐
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Becton, Dickinson and Company (BDX) [ST]	JT	02/5/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Becton, Dickinson and Company (BDX) [ST]	JT	06/12/2019	S (partial)	$1,001 - $15,000	☑
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Blackbaud, Inc. (BLKB) [ST]	JT	02/8/2019	S	$1,001 - $15,000	☑
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Booking Holdings Inc. (BKNG) [ST]	JT	05/30/2019	S	$1,001 - $15,000	☑
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Canadian National Railway Company (CNI) [ST]	JT	02/15/2019	S (partial)	$1,001 - $15,000	☑
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Charles Schwab Corporation (SCHW) [ST]	JT	05/16/2019	S (partial)	$1,001 - $15,000	☑
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ China Mobile Limited (CHL) [ST]	JT	03/15/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒	JT	02/20/2019	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Chugai Pharmaceutical Ltd Unsponsored ADR (CHGCY) [ST]					
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Chugai Pharmaceutical Ltd Unsponsored ADR (CHGCY) [ST]	JT	02/19/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Dassault Systemes, S.A. American Depositary Shares (DASTY) [ST]	JT	03/19/2019	S (partial)	$1,001 - $15,000	☑
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Delta Air Lines, Inc. (DAL) [ST]	JT	04/1/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ DexCom, Inc. (DXCM) [ST]	JT	06/13/2019	S (partial)	$1,001 - $15,000	☐
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Diageo plc (DEO) [ST]	JT	02/15/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Diplomat Pharmacy, Inc. (DPLO) [ST]	JT	01/11/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Dominion Energy, Inc. (D) [ST]	JT	06/11/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Dominion Energy, Inc. (D) [ST]	JT	06/10/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Duke Energy Corporation (DUK) [ST]	JT	06/10/2019	S	$1,001 - $15,000	☑
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Entegris, Inc. (ENTG) [ST]	JT	01/24/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 2 ⇒ EPAM Systems, Inc. (EPAM) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	04/12/2019	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 2 ⇒ Exact Sciences Corporation (EXAS) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	05/10/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Fanuc Corporation Unsponsored ADR (FANUY) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	01/15/2019	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 2 ⇒ Fanuc Corporation Unsponsored ADR (FANUY) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	01/11/2019	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 2 ⇒ Fomento Economico Mexicano S.A.B. de C.V. (FMX) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	04/5/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ General Dynamics Corporation (GD) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	04/1/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 2 ⇒ General Dynamics Corporation (GD) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	05/28/2019	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 2 ⇒ Globant S.A. Common Shares (GLOB) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	04/18/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Grupo Financiero Banorte, S.A.B. De C.V Sponsored ADR (GBOOY) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	02/19/2019	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 2 ⇒ Hasbro, Inc. (HAS) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	04/1/2019	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 2 ⇒ Home Depot, Inc. (HD) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	02/28/2019	S (partial)	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 2 ⇒ Honeywell International Inc. (HON) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	04/18/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Installed Building Products, Inc. (IBP) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	01/11/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Installed Building Products, Inc. (IBP) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	02/28/2019	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 2 ⇒ Insulet Corporation (PODD) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	02/1/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Intuitive Surgical, Inc. (ISRG) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	04/15/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 2 ⇒ Itau Unibanco Banco Holding SA American Depositary Shares (ITUB) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	03/15/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 2 ⇒ J G C Corp (JGCCY) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	01/11/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ J G C Corp (JGCCY) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	05/10/2019	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 2 ⇒ Kinder Morgan, Inc. (KMI) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	01/11/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ L'Air Liquide Ord American Depositary Shares (AIQUY) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	03/19/2019	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 2 ⇒ L'Air Liquide Ord American Depositary Shares (AIQUY) [ST]	JT	03/18/2019	S (partial)	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Lukoil Co Sponsored ADR (LUKOY) [ST]	JT	03/18/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ lululemon athletica inc. (LULU) [ST]	JT	05/28/2019	S (partial)	$1,001 - $15,000	☐
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ lululemon athletica inc. (LULU) [ST]	JT	06/7/2019	S (partial)	$1,001 - $15,000	☐
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ LVMH Moet Hennessy Louis Vuitton Unsponsored American Depositary Receipt (LVMUY) [ST]	JT	02/19/2019	S	$1,001 - $15,000	☑
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ LVMH Moet Hennessy Louis Vuitton Unsponsored American Depositary Receipt (LVMUY) [ST]	JT	02/15/2019	S (partial)	$1,001 - $15,000	☑
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ MACOM Technology Solutions Holdings, Inc. (MTSI) [ST]	JT	01/18/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ MarketAxess Holdings, Inc. (MKTX) [ST]	JT	02/22/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ MarketAxess Holdings, Inc. (MKTX) [ST]	JT	04/29/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Matador Resources Company (MTDR) [ST]	JT	01/18/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Microsoft Corporation (MSFT) [ST]	JT	04/30/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒	JT	04/26/2019	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Microsoft Corporation (MSFT) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Monotaro Co. Ltd., Osaka Unsponsored ADR (MONOY) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	03/20/2019	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 2 ⇒ Monotaro Co. Ltd., Osaka Unsponsored ADR (MONOY) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	03/19/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 2 ⇒ Naspers Limited N Shs Sponsored American Depositary Receipt Representing Class N Shares (NPSNY) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	01/30/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 2 ⇒ NewMarket Corp (NEU) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	01/10/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 2 ⇒ NewMarket Corp (NEU) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	04/1/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 2 ⇒ Norfolk Southern Corporation (NSC) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	04/18/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Novanta Inc. - Common Shares (NOVT) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	04/15/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ PACCAR Inc. (PCAR) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	01/18/2019	P	$15,001 - $50,000	
Morgan Stanley - Select UMA Account # 2 ⇒ PACCAR Inc. (PCAR) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	04/1/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 2 ⇒ Pacific Premier Bancorp Inc (PPBI) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	01/11/2019	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 2 ⇒ Patrick Industries, Inc. (PATK) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	01/18/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Philip Morris International Inc (PM) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	01/11/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Ping An Insurance (PNGAY) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	03/18/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ PolyOne Corporation (POL) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	01/18/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Power Integrations, Inc. (POWI) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	01/24/2019	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 2 ⇒ PRA Group, Inc. (PRAA) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	01/11/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ QUALCOMM Incorporated (QCOM) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	04/17/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Rio Tinto Plc (RIO) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	04/10/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Roche Holdings AG Basel American Depositary Shares (RHHBY) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	01/29/2019	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Royal Dutch Shell PLC Royal Dutch Shell PLC American Depositary Shares (RDS.B) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	03/18/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 2 ⇒ S&P Global Inc. (SPGI) [ST]	JT	04/29/2019	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Schlumberger N.V. (SLB) [ST]	JT	01/11/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ SGS SA ADR (SGSOY) [ST]	JT	04/8/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ SGS SA ADR (SGSOY) [ST]	JT	04/5/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ SiteOne Landscape Supply, Inc. (SITE) [ST]	JT	03/19/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Starbucks Corporation (SBUX) [ST]	JT	04/24/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Starbucks Corporation (SBUX) [ST]	JT	05/28/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ SVB Financial Group (SIVB) [ST]	JT	02/22/2019	S	$1,001 - $15,000	☑
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Synopsys, Inc. (SNPS) [ST]	JT	03/12/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Tenaris S.A. American Depositary Shares (TS) [ST]	JT	02/15/2019	S	$1,001 - $15,000	☐
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Tencent Holdings Limited Unsponsored ADR Representing B Shares (TCEHY) [ST]	JT	01/29/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Texas Instruments Incorporated (TXN) [ST]	JT	04/1/2019	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Transdigm Group Incorporated Transdigm Group Inc. (TDG) [ST]	JT	04/18/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Tupperware Brands Corporation (TUP) [ST]	JT	01/11/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Twilio Inc. Class A (TWLO) [ST]	JT	04/30/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ United Parcel Service, Inc. (UPS) [ST]	JT	01/11/2019	P	$1,001 - $15,000	
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	JT	03/15/2019	S (partial)	$1,001 - $15,000	☑
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	JT	03/1/2019	S (partial)	$1,001 - $15,000	☐
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	JT	04/11/2019	S (partial)	$1,001 - $15,000	☑
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Vertex Pharmaceuticals Incorporated (VRTX) [ST]	JT	04/11/2019	S (partial)	$1,001 - $15,000	☐
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Visa Inc. (V) [ST]	JT	04/18/2019	S (partial)	$1,001 - $15,000	☑
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					
Morgan Stanley - Select UMA Account # 2 ⇒ Worldpay, Inc. Class A (WP) [ST]	JT	02/22/2019	S (partial)	$1,001 - $15,000	☐
COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 2 ⇒ Worldpay, Inc. Class A (WP) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	04/18/2019	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 2 ⇒ Zendesk, Inc. (ZEN) [ST] COMMENTS: Asset held in the Morgan Stanley - Select UMA Account # 1 account	JT	05/31/2019	P	$1,001 - $15,000	
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ Fidelity 500 Index [MF]	SP	04/3/2019	P	$15,001 - $50,000	
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ Janus Triton Ret Acct [MF]	SP	04/3/2019	S	$1,001 - $15,000	☐
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ Legal & General S&P 500 Ret Acct [MF]	SP	04/3/2019	S	$1,001 - $15,000	☐
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ Schwab Small Cap Index [MF]	SP	04/3/2019	P	$1,001 - $15,000	
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ TA Vanguard Instl Trg Re 2040 [MF]	SP	10/2/2019	P	$1,001 - $15,000	
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ TA Vanguard Instl Trg Re 2040 [MF]	SP	03/4/2019	S (partial)	$1,001 - $15,000	☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit
https://fd.house.gov/reference/asset-type-codes.aspx.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Tusk Strategies	Spouse Salary	N/A
Fidelity Investments	Inherited IRA Distribution	$228.23

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Wells Fargo	May 2013	Mortgage on NJ residential property	$1,000,001 - $5,000,000
SP	Access Group Inc.	August 2001	Spouse Student Loan	$15,001 - $50,000

Owner	Creditor	Date Incurred	Type	Amount of Liability

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
November 2015	Filer and Microsoft	Continuing participation in a defined benefit retirement plan managed by former employer. Employer no longer contributes to the plan.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
American Enterprise Institute	03/8/2019	03/10/2019	Washington, DC - Sea Island, GA - Queens, NY	0	☑	☑	☑
The Panetta Institute for Public Policy	11/9/2019	11/10/2019	Phoenix, AZ - Monterey, CA - New York, NY	0	☑	☑	☐

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Ameritrade
  LOCATION: US

- Ameritrade (Owner: SP)
  LOCATION: US

- Asbury Advisors LLC
  LOCATION: US

- Columbia University- Voluntary Retirement Savings (Owner: SP)

- DC College Savings - Dependent # 1 (Owner: DC)
  LOCATION: DC

- DC College Savings - Dependent # 2 (Owner: DC)
  LOCATION: DC

- Fidelity Brokerage
  LOCATION: US

- Microsoft 401(k)

- Morgan Stanley - Active Asset Account # 1
  LOCATION: US

- Morgan Stanley - Active Asset Account # 2 (Owner: JT)
  LOCATION: US

- Morgan Stanley - Active Asset Account # 3 (Owner: JT)
  LOCATION: US

- Morgan Stanley - Portfolio Management (Owner: JT)
  LOCATION: US

- Morgan Stanley - Portfolio Management Active Assets Account (Owner: JT)
  LOCATION: US

- Morgan Stanley - Roth IRA

- Morgan Stanley - Roth IRA Spouse (Owner: SP)

- Morgan Stanley - Select UMA Account # 1 (Owner: JT)
  LOCATION: US

- Morgan Stanley - Select UMA Account # 2 (Owner: JT)
  LOCATION: US

- Morgan Stanley - SEP / Traditional IRA

- Morgan Stanley - SEP IRA

- Morgan Stanley - Traditional Inherited IRA

- Morgan Stanley - Traditional IRA

- TriNet 401(k) Plan - Tusk Strategies LLC (Owner: SP)

- Vanguard SEP IRA (Owner: SP)

- Wealthfront
  LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☑ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☑ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☑ No

COMMENTS

For all 2019 PTRs - Assets held in the Morgan Stanley - Select UMA Account # 1 account. The PTRs inadvertently reference Morgan Stanley - Select UMA Account # 2 account.

CERTIFICATION AND SIGNATURE

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Josh Gottheimer , 08/13/2020