

**HAND
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Rohit Khanna**

UNITED STATES HOUSE OF REPRESENTATIVES

2017 FINANCIAL DISCLOSURE STATEMENT

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INVESTIGATIVE RESOURCE CENTER

Form A

Name: **Rohit Khanna** Daytime Telephone: **(202) 225-2631**

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: CA	Officer or Employee	Employing Office:	Staff Filer Type: (If Applicable) <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant <input type="checkbox"/>
REPORT TYPE	<input checked="" type="checkbox"/> 2017 Annual (Due: May 15, 2018)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination:	

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <input checked="" type="checkbox"/> or b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$390 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$390 in value from a single source during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"		

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Name: Rohit Khanna

BLOCK A												BLOCK B												BLOCK C												BLOCK D												BLOCK E																																			
Assets and/or Income Sources												Value of Asset												Type of Income												Amount of Income												Transaction																																			
<p>Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use only ticker symbols).</p>												<p>Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.</p>												<p>If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."</p>												<p>"Column M is for assets held by your spouse or dependent child in which you have no interest.</p>												<p>Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRAs, or other SDB accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated.</p>												<p>For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the asset had category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated.</p>												<p>Indicate if the asset had no transactions in the reporting period.</p>											
<p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.</p> <p>For rents and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state.</p>												<p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period), and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you report a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box.</p> <p>If you choose, you may indicate that an asset or income source is that of your spouse (SC) or dependent child (DC) or jointly held with anyone (JH) in the optional column on the far left.</p> <p>For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.</p>												<p>None</p> <p>\$1-\$5,000</p> <p>\$1,001-\$15,000</p> <p>\$15,001-\$50,000</p> <p>\$50,001-\$100,000</p> <p>\$100,001-\$250,000</p> <p>\$250,001-\$500,000</p> <p>\$500,001-\$1,000,000</p> <p>\$1,000,001-\$5,000,000</p> <p>\$5,000,001-\$25,000,000</p> <p>\$25,000,001-\$50,000,000</p> <p>Over \$50,000,000</p> <p>Spouse/DC Asset over \$1,000,000*</p> <p>NONE</p> <p>DIVIDENDS</p> <p>RENT</p> <p>INTEREST</p> <p>CAPITAL GAINS</p> <p>EXCEPTED/BLIND TRUST</p> <p>TAX-DEFERRED</p> <p>Other Type of Income (Specify, e.g., Partnership Income or Farm Income)</p> <p>None</p> <p>\$1-\$200</p> <p>\$201-\$1,000</p> <p>\$1,001-\$2,500</p> <p>\$2,501-\$5,000</p> <p>\$5,001-\$15,000</p> <p>\$15,001-\$50,000</p> <p>\$50,001-\$100,000</p> <p>\$100,001-\$1,000,000</p> <p>\$1,000,001-\$5,000,000</p> <p>Over \$5,000,000</p> <p>Spouse/DC Asset with Income over \$1,000,000*</p> <p>P, S, S(pair), or E</p>												<p>A B C D E F G H I J K L M</p> <p>I II III IV V VI VII IX X XI XII</p>												<p>I II III IV V VI VII IX X XI XII</p>												<p>I II III IV V VI VII IX X XI XII</p>												<p>I II III IV V VI VII IX X XI XII</p>											
<p>SP, DC, JT Examples:</p> <p>SP Mega Corp. Stock SE</p> <p>DC Simon & Schuster Indefinite</p> <p>JT ABC Hedge Fund X</p>												<p>SP Royalties X</p> <p>DC Partnership Income X</p> <p>JT X</p>												<p>SP S(pair)</p>												<p>DC S(pair)</p>												<p>JT S(pair)</p>																																			
<p>Please see the attached</p>												<p>Note: Dependent Child</p>												<p>not applicable last year</p>																																																											

Schedule A: Assets and "Unearned" Income

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: Rohit Khanna

Page _____ of _____

Page 3 of 149
Rohit Khanna

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset												BLOCK C Type of Income				BLOCK D Amount of Income				BLOCK E Transaction							
SP. DC. JT.	ASSET NAME EP	A	B	C	D	E	F	G	H	I	J	K	L	M	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII			
		None																											
		\$1-\$1,000																											
		\$1,001-\$15,000																											
		\$15,001-\$50,000																											
		\$50,001-\$100,000																											
		\$100,001-\$250,000																											
		\$250,001-\$500,000																											
		\$500,001-\$1,000,000																											
		\$1,000,001-\$5,000,000																											
		\$5,000,001-\$25,000,000																											
		\$25,000,001-\$50,000,000																											
		Over \$50,000,000																											
		Spouse/DC Asset over \$1,000,000*																											
		NONE																											
		DIVIDENDS																											
		RENT																											
		INTEREST																											
		CAPITAL GAINS																											
		EXCEPTED/BUND TRUST																											
		TAX-DEFERRED																											
		Other Type of Income (Specify e.g., Partnership Income or Farm Income)																											
		None																											
		\$1-\$200																											
		\$201-\$1,000																											
		\$1,001-\$2,500																											
		\$2,501-\$5,000																											
		\$5,001-\$15,000																											
		\$15,001-\$50,000																											
		\$50,001-\$100,000																											
		\$100,001-\$1,000,000																											
		\$1,000,001-\$5,000,000																											
		Over \$5,000,000																											
		Spouse/DC Asset with income over \$1,000,000*																											
		P, S, S/part, or E																											

Use additional sheets if more space is required.

Schedule A: Assets and "Unearned" Income

SP, DC, JT	Asset	BLOCK A		BLOCK B		BLOCK C		BLOCK D		BLOCK E	
		EIF	Value of Asset	EIF	Value of Asset	Income Type(s)	Income	Amount of	Transaction	P, S, S, Partial, E	
SP	Citibank checking		\$250,001-\$500,000			Interest		\$1-\$200			
SP	Citibank savings		\$50,001-\$100,000			Interest		\$1-\$200			
JT	Citibank checking		\$100,001-\$250,000			Interest		\$1-\$200			
JT	Citibank savings		\$100,001-\$250,000			Interest		\$1-\$200			
DC	Citibank checking		\$15,001-\$50,000			Interest		\$1-\$200			
SP	Touchstone Sands Capital Inst Gr (CISGX)	X	None			IRA Distribution		\$15,001-\$50,000		S	
SP	Fidelity Cash Reserves (FDRXX)	X	None			IRA Distribution		\$1,001-\$2,500		S	
SP	Ritu Ahuja Rev. Trust: Condo, NY, NY					Rent		\$100,001-\$1,000,000			
SP	Ritu Ahuja 1994 Trust: Kayne Anderson Mezzanine Partners (QP), L.P.	X	\$15,001-\$50,000			Partnership		\$15,001-\$50,000			
SP	Ritu Ahuja 1994 Trust & Ritu Ahuja 1995 Trust: M&R Trust Partnership:										
SP	Kayne Anderson MLP Fund, L.P.	X									
SP	Kayne Anderson Real Estate Partners II (QP), L.P.	X									
SP	Kayne Anderson Real Estate Partners III, L.P.	X									
SP	Kayne Anderson Real Estate Partners IV, L.P.	X									
SP	Kayne Credit Opportunities Fund (QP), L.P.	X									
SP	Draper Fisher Junvetson Growth Fund 2006, LP	X									
SP	JC Flowers II-B LP & related entities	X									
SP	The HSH Coinvest (Cayman) Trust-A	X	\$1-\$1,000								
SP	Resilience Fund II LP	X	\$15,001-\$50,000								
SP	Resilience Fund IV LP	X	\$100,001-\$250,000								

Schedule A: Assets and "Unearned" Income

SP, DC, RT	Asset	BLOCK A	BLOCK B	BLOCK C	BLOCK D	BLOCK E
		EIF	Value of Asset	Income Type(s)	Amount of Income	Transaction P, S, S-Partial, E
Grandchildren's Trust:						
DC	Kayne Anderson MLP Fund, L.P.	X	\$250,001-\$500,000	None	None	P
DC	Kayne Anderson Real Estate Partners III, L.P.	X	\$50,001-\$100,000	Partnership	\$15,001-\$50,000	P
DC	Kayne Anderson Energy Fund 6, L.P.	X	\$50,001-\$100,000	Partnership	\$5,001-\$15,000	P
DC	Resilience Fund IV LP	X	\$100,001-\$250,000	None	None	P
DC	Resilience Fund II LP	X	\$100,001-\$250,000	Partnership	\$2,501-\$5,000	P
DC	Resilience Fund II LP	X	\$15,001-\$50,000	Partnership	\$201-\$1,000	P
DC	Resilience OneSky, LLC	X	\$100,001-\$250,000	Partnership	None	P
Ritu Anuja 1995 Trust :						
Grandchildren's Trust:						
ARECO LP:						
SP	MAI Wealth Income and Growth Fund, LP	X	\$100,001-\$250,000	Partnership	\$100,001-\$1,000,000	P
DC	MAI Wealth Income and Growth Fund, LP	X	\$15,001-\$50,000	Partnership	\$5,001-\$15,000	P
SP	MAI Capital Income and Growth Fund II, LP	X	\$100,001-\$250,000	Partnership	\$100,001-\$1,000,000	P
DC	MAI Capital Income and Growth Fund II, LP	X	\$1,001-\$15,000	Partnership	\$5,001-\$15,000	P
SP	MAI Capital Income and Growth Fund III, LP			Spouse/DC Asset over \$1,000,000		
DC	MAI Capital Income and Growth Fund III, LP			Partnership	\$15,001-\$50,000	
Location: Pewaukee, WI 53188						
SP	Park Center Plaza Holdings LP		\$50,001-\$100,000	Partnership	\$1,001-\$2,500	
DC	Park Center Plaza Holdings LP		\$50,001-\$100,000	Partnership	\$50,001-\$100,000	P
Plaza I, II, III Locations: Independence, OH 44131						
SP	MAI Wealth Income and Growth Fund IV, LP	X	\$50,001-\$100,000	None	None	P
DC	MAI Wealth Income and Growth Fund IV, LP	X	\$1,001-\$15,000	None	None	P
SP	MAI Diversified Real Estate Income Fund, LP	X	\$250,001-\$500,000	Partnership	\$15,001-\$50,000	P
DC	MAI Diversified Real Estate Income Fund, LP	X	\$15,001-\$50,000	Partnership	\$201-\$1,000	P

Schedule A: Assets and "Unearned" Income

Schedule A: Assets and "Unearned" Income

Page 7 of 149
Rohit Khanna

	EIF	SP, DC, JT	SP	SP	SP	SP	SP
Stock Name			GS Buffered PLUS Based on the Value of the EURO STOXX 50 Index due Oct 3, 2019	MS Buffered PLUS Based on the Value of the MSCI Europe Index due July 24, 2020	TRG Growth Partnership (Cayman Onshore) II LP	Cash	Allergan
Ticker			SPVOA	SPWFD	AII14		AGN
Transaction							
Value of Asset							
A: None							
B: \$1-\$1,000							
C: \$1,001-\$15,000							
D: \$15,001-\$50,000							
E: \$50,001-\$100,000							
F: \$100,001-\$250,000							
G: \$250,001-\$500,000							
H: \$500,001-\$1,000,000							
I: \$1,000,001-\$5,000,000							
J: \$5,000,001-\$25,000,000							
K: \$25,000,001-\$50,000,000							
L: Over \$50,000,000							
Spouse/DC Asset over \$1,000,000							
Type of Income							
None							
Dividends							
Rent							
Interest							
Capital Gains							
Excepted/Blind Trust							
Tax-Deferred							
Other Type of Income							
A: None							
B: \$1-\$200							
C: \$201-\$1,000							
D: \$1,001-\$2,500							
E: \$2,501-\$5,000							
F: \$5,001-\$15,000							
G: \$15,001-\$50,000							
H: \$50,001-\$100,000							
I: \$100,001-\$1,000,000							
J: \$1,000,001-\$5,000,000							
K: Over \$5,000,000							
Spouse/DC Income over \$1,000,000							

Schedule A: Assets and "Unearned" Income

Page 8 of 149
Rohit Khanna

Stock Name	SP, DC, JT	E!F	SP	SP	SP	SP	SP	SP
Ticker			ADS	GOOGL	AMZN	AMT	CELG	SCHW
Transaction								
Value of Asset								
A: None								
B: \$1-\$1,000								
C: \$1,001-\$15,000								
D: \$15,001-\$50,000								
E: \$50,001-\$100,000								
F: \$100,001-\$250,000								
G: \$250,001-\$500,000								
H: \$500,001-\$1,000,000								
I: \$1,000,001-\$5,000,000								
J: \$5,000,001-\$25,000,000								
K: \$25,000,001-\$50,000,000								
L: Over \$50,000,000								
Spouse/DC Asset over \$1,000,000								
None								
Dividends								
Rent								
Interest								
Capital Gains								
Exceptional/Blind Trust								
Tax-Deferred								
Other Type of Income								
A: None								
B: \$1-\$200								
C: \$201-\$1,000								
D: \$1,001-\$2,500								
E: \$2,501-\$5,000								
F: \$5,001-\$15,000								
G: \$15,001-\$50,000								
H: \$50,001-\$100,000								
I: \$100,001-\$1,000,000								
J: \$1,000,001-\$5,000,000								
K: Over \$5,000,000								
Spouse/DC Income over \$1,000,000								

Schedule A: Assets and "Unearned" Income

Page 9 of 149
Rohit Khanna

Stock Name	Ticker	Transaction	CME Group Inc	Cognizant Tech Solutions	Ecolab Inc	Equinix Inc Com Par \$0.001	Facebook Inc
Type of Income	Amount of Income:		CME	CTSH	ECL	EQIX	FB
Value of Asset	A: None						
	B: \$1-\$1,000						
	C: \$1,001-\$15,000						
	D: \$15,001-\$50,000						
	E: \$50,001-\$100,000						
	F: \$100,001-\$250,000						
	G: \$250,001-\$500,000						
	H: \$500,001-\$1,000,000						
	I: \$1,000,001-\$5,000,000						
	J: \$5,000,001-\$25,000,000						
	K: \$25,000,001-\$50,000,000						
	L: Over \$50,000,000						
	Spouse/DC Asset over \$1,000,000						
None							
Dividends							
Rent							
Interest							
Capital Gains							
Excepted/Blind Trust							
Tax-Deferred							
Other Type of Income							
A: None							
B: \$1-\$200							
C: \$201-\$1,000							
D: \$1,001-\$2,500							
E: \$2,501-\$5,000							
F: \$5,001-\$15,000							
G: \$15,001-\$50,000							
H: \$50,001-\$100,000							
I: \$100,001-\$1,000,000							
J: \$1,000,001-\$5,000,000							
K: Over \$5,000,000							
Spouse/DC Income over \$1,000,000							

Schedule A: Assets and "Unearned" Income

Page 10 of 149
Rohit Khanna

	ELF	SP, DC, JT	SP	SP	SP	SP	SP	SP	SP	SP
Stock Name			IHS Markit LTD	Illumina Inc	Intuitive Surgical	Netflix	Nike	Nvidia Corporation	Paypal Holdings Inc	
Ticker			INFO (ticker changed from IHS due to merger)	ILMN	ISRG	NFLX	NKE	NVDA	PYPL	
Transaction										
Value of Asset										
A: None										
B: \$1-\$1,000										
C: \$1,001-\$15,000										
D: \$15,001-\$50,000										
E: \$50,001-\$100,000										
F: \$100,001-\$250,000										
G: \$250,001-\$500,000										
H: \$500,001-\$1,000,000										
I: \$1,000,001-\$5,000,000										
J: \$5,000,001-\$25,000,000										
K: \$25,000,001-\$50,000,000										
L: Over \$50,000,000										
Spouse/DC Asset over \$1,000,000										
Type of Income										
None										
Dividends										
Rent										
Interest										
Capital Gains										
Exceptional/Blind Trust										
Tax-Deferred										
Other Type of Income										
A: None										
B: \$1-\$200										
C: \$201-\$1,000										
D: \$1,001-\$2,500										
E: \$2,501-\$5,000										
F: \$5,001-\$15,000										
G: \$15,001-\$50,000										
H: \$50,001-\$100,000										
I: \$100,001-\$1,000,000										
J: \$1,000,001-\$5,000,000										
K: Over \$5,000,000										
Spouse/DC Income over \$1,000,000										

Schedule A: Assets and "Unearned" Income

Page 11 of 149
Rohit Khanna

	EIF							
Stock Name	SP, DC, JT		SP	SP	SP	SP	SP	SP
Ticker		PCLN	SPGI	V	BAQ57DPV			
Transaction								
Value of Asset								
A: None								
B: \$1-\$1,000								
C: \$1,001-\$15,000								
D: \$15,001-\$50,000								
E: \$50,001-\$100,000								
F: \$100,001-\$250,000								
G: \$250,001-\$500,000								
H: \$500,001-\$1,000,000								
I: \$1,000,001-\$5,000,000								
J: \$5,000,001-\$25,000,000								
K: \$25,000,001-\$50,000,000								
L: Over \$50,000,000								
Spouse/DC Asset over \$1,000,000								
Type of Income								
None								
Dividends								
Rent								
Interest								
Capital Gains								
Excepted/Blind Trust								
Tax-Deferred								
Other Type of Income								
Amount of Income:								
A: None								
B: \$1-\$200								
C: \$201-\$1,000								
D: \$1,001-\$2,500								
E: \$2,501-\$5,000								
F: \$5,001-\$15,000								
G: \$15,001-\$50,000								
H: \$50,001-\$100,000								
I: \$100,001-\$1,000,000								
J: \$1,000,001-\$5,000,000								
K: Over \$5,000,000								
Spouse/DC Income over \$1,000,000								

Schedule A: Assets and "Unearned" Income

Page 12 of 149
Rohit Khanna

Stock Name	Ticker	Transaction	Value of Asset
CPI Asia Onshore - Class B	All51DPV	SP	A: None
Cash	GRY14	SP	B: \$1-\$1,000
York Credit Opportunities Fund LP	TSLA	SP	C: \$1,001-\$15,000
Tesla Inc.	BOX	SP	D: \$15,001-\$50,000
Box Inc.			E: \$50,001-\$100,000
			F: \$100,001-\$250,000
			G: \$250,001-\$500,000
			H: \$500,001-\$1,000,000
			I: \$1,000,001-\$5,000,000
			J: \$5,000,001-\$25,000,000
			K: \$25,000,001-\$50,000,000
			L: Over \$50,000,000
Spouse/DC Asset over \$1,000,000			
Type of Income			
None			
Dividends			
Rent			
Interest			
Capital Gains			
Excepted/Blind Trust			
Tax-Deferred			
Other Type of Income			
Amount of Income:			
A: None			
B: \$1-\$200			
C: \$201-\$1,000			
D: \$1,001-\$2,500			
E: \$2,501-\$5,000			
F: \$5,001-\$15,000			
G: \$15,001-\$50,000			
H: \$50,001-\$100,000			
I: \$100,001-\$1,000,000			
J: \$1,000,001-\$50,000,000			
K: Over \$50,000,000			
Spouse/DC Income over \$1,000,000			

Schedule A: Assets and "Unearned" Income

Page 13 of 149
Rohit Khanna

	EIF	SP	SP	SP
Stock Name	SP, DC, JT	MS Trigger PLUS Based on the Value of the EURO STOXX Banks Index due Nov 5, 2019	Barclays Trigger Plus on the value of the Euro Stoxx 50 Index due July 20, 2017	Cash
Ticker	SPWQH	SPSQH		
Transaction	[REDACTED]			
Value of Asset	A: None			
B: \$1-\$1,000				
C: \$1,001-\$15,000				
D: \$15,001-\$50,000				
E: \$50,001-\$100,000				
F: \$100,001-\$250,000				
G: \$250,001-\$500,000				
H: \$500,001-\$1,000,000				
I: \$1,000,001-\$5,000,000				
J: \$5,000,001-\$25,000,000				
K: \$25,000,001-\$50,000,000				
L: Over \$50,000,000				
Spouse/DC Asset over \$1,000,000				
Type of Income	None	[REDACTED]	[REDACTED]	[REDACTED]
Dividends		[REDACTED]	[REDACTED]	[REDACTED]
Rent				
Interest				
Capital Gains				
Excepted/Blind Trust				
Tax-Deferred				
Other Type of Income				
A: None	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
B: \$1-\$200	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
C: \$201-\$1,000	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
D: \$1,001-\$2,500	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
E: \$2,501-\$5,000	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
F: \$5,001-\$15,000	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
G: \$15,001-\$50,000	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
H: \$50,001-\$100,000	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
I: \$100,001-\$1,000,000	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
J: \$1,000,001-\$5,000,000	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
K: Over \$5,000,000	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
Spouse/DC Income over \$1,000,000				

Schedule A: Assets and "Unearned" Income

Page 14 of 149
Rohit Khanna

		EIF		
		SP, DC, JT		
			SP	SP
Stock Name			Barclays Contingent Income Auto-Callable Securities on the value of the Euro Stoxx 50 Index due March 3, 2021	MSILF Gov't SEC Partial Dividend Payment
Ticker		SPTWG		AAPP
Transaction				
Value of Asset				
A: None				
B: \$1-\$1,000				
C: \$1,001-\$15,000				
D: \$15,001-\$50,000				
E: \$50,001-\$100,000				
F: \$100,001-\$250,000				
G: \$250,001-\$500,000				
H: \$500,001-\$1,000,000				
I: \$1,000,001-\$5,000,000				
J: \$5,000,001-\$25,000,000				
K: \$25,000,001-\$50,000,000				
L: Over \$50,000,000				
Spouse/DC Asset over \$1,000,000				
Type of Income				
Amount of Income:				
A: None				
B: \$1-\$200				
C: \$201-\$1,000				
D: \$1,001-\$2,500				
E: \$2,501-\$5,000				
F: \$5,001-\$15,000				
G: \$15,001-\$50,000				
H: \$50,001-\$100,000				
I: \$100,001-\$1,000,000				
J: \$1,000,001-\$5,000,000				
K: Over \$5,000,000				
Spouse/DC Income over \$1,000,000				

Schedule A: Assets and "Unearned" Income

Page 15 of 149
Rohit Khanna

	EIF			
Stock Name	SP, DC, JT	Cash	Cash	MSILF Tax Exempt Port Inst
Ticker				MTXXX
Transaction				
Value of Asset	A: None B: \$1-\$1,000 C: \$1,001-\$15,000 D: \$15,001-\$50,000 E: \$50,001-\$100,000 F: \$100,001-\$250,000 G: \$250,001-\$500,000 H: \$500,001-\$1,000,000 I: \$1,000,001-\$5,000,000 J: \$5,000,001-\$25,000,000 K: \$25,000,001-\$50,000,000 L: Over \$50,000,000 Spouse/DC Asset over \$1,000,000			
Type of Income	None Dividends Rent Interest Capital Gains Excepted/Blind Trust Tax-Deferred Other Type of Income			
Amount of Income:	A: None B: \$1-\$200 C: \$201-\$1,000 D: \$1,001-\$2,500 E: \$2,501-\$5,000 F: \$5,001-\$15,000 G: \$15,001-\$50,000 H: \$50,001-\$100,000 I: \$100,001-\$1,000,000 J: \$1,000,001-\$5,000,000 K: Over \$5,000,000 Spouse/DC Income over \$1,000,000			

Note: Dependent Child reporting was not applicable last year

Schedule A: Assets and "Unearned" Income

Page 16 of 149
Rohit Khanna

	EIF		
Stock Name	SP, DC, JT		
Ticker		MSILF Tax Exempt Port Inst	MSILF Govt Sec Part Div Payment
Transaction		MTXXX	AAPF
Value of Asset			
A: None			
B: \$1-\$1,000			
C: \$1,001-\$15,000			
D: \$15,001-\$50,000			
E: \$50,001-\$100,000			
F: \$100,001-\$250,000			
G: \$250,001-\$500,000			
H: \$500,001-\$1,000,000			
I: \$1,000,001-\$5,000,000			
J: \$5,000,001-\$25,000,000			
K: \$25,000,001-\$50,000,000			
L: Over \$50,000,000			
Spouse/DC Asset over \$1,000,000			
Type of Income			
None			
Dividends			
Rent			
Interest			
Capital Gains			
Exceptional/Blind Trust			
Tax-Deferred			
Other Type of Income			
Amount of Income:			
A: None			
B: \$1-\$200			
C: \$201-\$1,000			
D: \$1,001-\$2,500			
E: \$2,501-\$5,000			
F: \$5,001-\$15,000			
G: \$15,001-\$50,000			
H: \$50,001-\$100,000			
I: \$100,001-\$1,000,000			
J: \$1,000,001-\$5,000,000			
K: Over \$5,000,000			
Spouse/DC Income over \$1,000,000			

Note: Dependent Child reporting was not applicable last year

Schedule A: Assets and "Unearned" Income

Page 17 of 149
Rohit Khanna

	EIF			
Stock Name	SP, DC, JT	MSILF Govt Sec Part Div Payment	SPDR S&P Bank ETF	SPDR S&P Bank ETF
Ticker		AAPF	KBE	KBE
Transaction				
Value of Asset	A: None			
	B: \$1-\$1,000			
	C: \$1,001-\$15,000			
	D: \$15,001-\$50,000			
	E: \$50,001-\$100,000			
	F: \$100,001-\$250,000			
	G: \$250,001-\$500,000			
	H: \$500,001-\$1,000,000			
	I: \$1,000,001-\$5,000,000			
	J: \$5,000,001-\$25,000,000			
	K: \$25,000,001-\$50,000,000			
	L: Over \$50,000,000			
	Spouse/DC Asset over \$1,000,000			
Type of Income				
None				
Dividends				
Rent				
Interest				
Capital Gains				
Excepted/Blind Trust				
Tax-Deferred				
Other Type of Income				
Amount of Income:				
A: None				
B: \$1-\$200				
C: \$201-\$1,000				
D: \$1,001-\$2,500				
E: \$2,501-\$5,000				
F: \$5,001-\$15,000				
G: \$15,001-\$50,000				
H: \$50,001-\$100,000				
I: \$100,001-\$1,000,000				
J: \$1,000,001-\$5,000,000				
K: Over \$5,000,000				
Spouse/DC Income over \$1,000,000				

Note: Dependent Child reporting was not applicable last year

Schedule A: Assets and "Unearned" Income

Page 18 of 149
Rohit Khanna

	EIF							
Stock Name	SP, DC, JT		Cash	Cash	York Credit Opportunities Fund		Nike	
Ticker					GRY14		NKE	
Transaction								
Value of Asset								
A: None								
B: \$1-\$1,000								
C: \$1,001-\$15,000								
D: \$15,001-\$50,000								
E: \$50,001-\$100,000								
F: \$100,001-\$250,000								
G: \$250,001-\$500,000								
H: \$500,001-\$1,000,000								
I: \$1,000,001-\$5,000,000								
J: \$5,000,001-\$25,000,000								
K: \$25,000,001-\$50,000,000								
L: Over \$50,000,000								
Spouse/DC Asset over \$1,000,000								
Type of Income								
None								
Dividends								
Rent								
Interest								
Capital Gains								
Excepted/Blind Trust								
Tax-Deferred								
Other Type of Income								
Amount of Income:								
A: None								
B: \$1-\$200								
C: \$201-\$1,000								
D: \$1,001-\$2,500								
E: \$2,501-\$5,000								
F: \$5,001-\$15,000								
G: \$15,001-\$50,000								
H: \$50,001-\$100,000								
I: \$100,001-\$1,000,000								
J: \$1,000,001-\$5,000,000								
K: Over \$5,000,000								
Spouse/DC Income over \$1,000,000								

Note: Dependent Child reporting was not applicable last year

Schedule A: Assets and "Unearned" Income

Page 19 of 149
Rohit Khanna

	EIF		
Stock Name	SP, DC, JT	First Horizon National Corp	Herman Miller Inc
Ticker		FHN	MLHR
Transaction			
Value of Asset			
A: None			
B: \$1-\$1,000			
C: \$1,001-\$15,000			
D: \$15,001-\$50,000			
E: \$50,001-\$100,000			
F: \$100,001-\$250,000			
G: \$250,001-\$500,000			
H: \$500,001-\$1,000,000			
I: \$1,000,001-\$5,000,000			
J: \$5,000,001-\$25,000,000			
K: \$25,000,001-\$50,000,000			
L: Over \$50,000,000			
Spouse/DC Asset over \$1,000,000			
Type of Income			
None			
Dividends			
Rent			
Interest			
Capital Gains			
Excepted/Blind Trust			
Tax-Deferred			
Other Type of Income			
Amount of Income:			
A: None			
B: \$1-\$200			
C: \$201-\$1,000			
D: \$1,001-\$2,500			
E: \$2,501-\$5,000			
F: \$5,001-\$15,000			
G: \$15,001-\$50,000			
H: \$50,001-\$100,000			
I: \$100,001-\$1,000,000			
J: \$1,000,001-\$5,000,000			
K: Over \$5,000,000			
Spouse/DC Income over \$1,000,000			

Note: Dependent Child reporting was not applicable last year

Schedule A: Assets and "Unearned" Income

SP/DC/JT Asset Name	The Declaration of Trust of Ratu Ahuja:	Eff	Max	Min	Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction
SP BUILD NYC RESOURCE CORP NY REV 5% 11/01/19-CA MIN		X	\$ 0	\$ -	\$ 1,000	\$ 1,001	\$ 1,001	P, S, SJ(part), or E
SP BURL HHS COMMITY FCS DST SPL TX REV 3.0000% 09/01/21-CA MS		X	\$ 15,000	\$ 1,001	\$ 15,000	\$ 15,001	\$ 15,001	S
SP RDG NO 2002-A BUSINESS TRIANGLE BEC 5% SPL ROM		X	\$ 50,000	\$ 15,001	\$ 50,000	\$ 50,001	\$ 50,001	S
CHICAGO IL O'HARE INT'L AIRPORT REV 5.2500% 01/01/18 JJ RDG-GEN ARPT THIRD LENDER B MBLA BEC		X	\$ 100,000	\$ 50,001	\$ 100,000	\$ 100,001	\$ 100,001	S
SP CLEVELAND OHIO ST UNIV GEN REV 4% 06/01/17 JD RCPT RDG BEO		X	\$ 250,000	\$ 100,001	\$ 250,000	\$ 250,001	\$ 250,001	S
SP COLUMBUS OHIO CITY SCH DIST GO 5% 12/01/18 JD SCH FACS		X	\$ 500,000	\$ 250,001	\$ 500,000	\$ 500,001	\$ 500,001	S
SP CONSTR AND IMPR BDGS 2005-A UT BEO		X	\$ 1,000,000	\$ 500,001	\$ 1,000,000	\$ 500,001	\$ 500,001	S
SP COLUMBUS OHIO GO 4% 07/01/20 JJ RDG-VARIOUS PURP-SER 1 UT BEO		X	\$ 5,000,000	\$ 1,000,001	\$ 5,000,000	\$ 1,000,001	\$ 1,000,001	S
SP DORM AUTH OF THE ST OF NY REV 5.1250% 07/01/39-CA JJ BEO		X	\$ 25,000,000	\$ 5,000,001	\$ 25,000,000	\$ 5,000,001	\$ 5,000,001	S
SP BEO OLD MSE 07/01/33 SJ BEO		X	\$ 50,000,000	\$ 25,000,001	\$ 50,000,000	\$ 25,000,001	\$ 25,000,001	S
SP GOLDMAN SACHS BANK USA DEPOSIT (BDA)		X	\$ 2,000,000,000	\$ 50,000,001	\$ 2,000,000,000	\$ 50,000,001	\$ 50,000,001	S
SP HAMDEN CONN GO 5.0000% 08/15/20 FA GO REF BDGS 2013 LT INS BEO		X						S
SP IND ST FIN AUTH REV 5.0000% 01/01/19-CA JJ PRIVATE ACTIVITY-OHIO RIVER AMT BEO SJ SPL RDW		X						S
SP INDEPENDENCE OHIO GO 3.0000% 12/01/17 JD RDG-VAR PURP LT BEO		X						S
SP ITHACA CITY GO 2.0000% 02/15/18 FA NY BEO		X						S
SP LOS ANGELES CNTY CALIF REDEV REV 5.0000% 12/01/21-CA JD RDG-COVINA REVITALIZATION 1 INC BEO S-T SPL RDW		X						S
SP MET TRANSN AUTH NY REV 4.0000% 11/15/18 MN RDG-TRANSN-SER F BEO		X						S
SP METROPOLITAN TRANS AUTH REV 5.0000% 11/15/28 MN NY STATE THRUWAY AUTH REV 5.0000% 05/01/19 JJ GEN REV O&G SERIES 2013A BEO		X						S
SP NEW YORK STATE THRUWAY AUTH REV 5.0000% 04/01/25-CA AD		X						S
SP NEW YORK CONVENTION CTR DEV REV 3% 11/15/18 MN CORP NY REV RDG-HOTEL UNIT FEE SEC'D BEO		X						S
SP NEW YORK ST URBAN DEV CORP REV 5% 03/15/23 MS ST PERS INCOME TAX REV BDGS 2015-A BEO SR LIEN		X						S
SP NEW YORK STATE THRUWAY AUTH REV 5.0000% 04/01/25-CA AD		X						S
SP 2ND GENERAL HIGHWAY & BRIDGE TR FUND BDGS 2017-CA AD		X						S
SP NORTHEAST OHIO REGL SWR DIST REV 4% 11/15/20 MN WASTEWTR REV/REV BDGS 2013 BEO		X						S
SP NORTH TEXAS INDEPEND SCHL PUT 1.2000% 08/01/40 PT FA DIST GO VAR-SCH BLDG CPN TBA		X						S
SP NY CITY MUN INFRASTRUCTURE FIN AUTH REV 5.0000% 06/15/23-CA JD 2ND GEN RESOLUTION-FISCAL 2017 SUBSCR CC-2 BEO		X						S
SP NY ST DORM AUTH REV'S NOT ST REV 5.0000% 10/01/19 AO SPRD DEBT SCH DISTS BD FING PROG-SER C BEO		X						S

Schedule A: Assets and "Unearned" Income

Schedule A: Assets and "Unearned" Income

SP/D/C/J Asset Name	Elif	Max	Min	Block B: Value of Asset	
				0	1
Rita Ahuja \$99k Trust:					
3M COMPANY CMN				\$ 0	
A.O. SMITH CORP (DE) CMN	X	X		\$ 1,000	\$ 1,001
ABBOTT LABORATORIES CMN				\$ 15,000	\$ 15,001
ABOVE INC CMN				\$ 50,000	\$ 50,001
ACADIA HEALTHCARE COMPANY INC CMN				\$ 100,000	\$ 100,001
ACCENTURE PLC CMN CLASS A				\$ 250,000	\$ 250,001
ACTIVISION BLIZZARD, INC CMN				\$ 500,000	\$ 500,001
ACUTY BRANDS, INC. CMN				\$ 1,000,000	\$ 1,000,001
ADIENT PLC CMN				\$ 5,000,000	\$ 5,000,001
ADOBE SYSTEMS INC CMN				\$ 25,000,000	\$ 25,000,001
ADVANCE AUTO PARTS, INC. CMN				\$ 50,000,000	\$ 50,000,001
ADVANCED MICRO DEVICES, INC. CMN				\$ 2,000,000,000	\$ 2,000,000,001
AECOM CMN					
AERCAP HOLDINGS NV ORD CMN					
AEG CORP CMN					
AETNA INC CMN					
AFLAC INCORPORATED CMN					
AGILENT TECHNOLOGIES, INC. CMN					
AIR LEASE CORPORATION CMN					
AIR PRODUCTS & CHEMICALS INC CMN					
AKAMAI TECHNOLOGIES, INC. CMN					
AKORN INC CMN					
ALASKA AIR GROUP INC(DL) HLDGS CMN					
ALBEMARLE CORP CMN					
ALCOA CORPORATION CMN					
ALEXANDRIA REAL ESTATE EQUIITIES, INC.					
ALEKON PHARMACEUTICALS, INC. CMN					
ALIGHT TECHNOLOGY, INC CMN					
ALKERMES PLC CMN					
ALLEGION PUBLIC LIMITED COMPANY CMN					
ALLEGIAN PUBLIC LIMITED COMPANY CMN					
ALLIANCE DATA SYSTEMS CORP(BAT)CMN					
ALLSTATE CORPORATION COMMON STOCK					
ALLYLMAM PHARMACEUTICALS, INC CMN					
ALPHABET INC. CMN CLASS A					
ALPHABET INC. CMN CLASS C					
ALTABA INC. CLOSE ENDED FUND					
ALTRIA GROUP INC CMN					
AMAZON.COM INC CMN					
AMC NETWORKS INC. CMN					
AMEREN CORPORATION CMN					
AMERICAN AIRLINES GROUP INC CMN					
AMERICAN CAMPUS COMMITS, INC CMN					
AMERICAN ELECTRIC POWER INC CMN					
AMERICAN EXPRESS CO. CMN					
AMERICAN INT'L GROUP, INC. CMN					
AMERICAN TOWER CORPORATION CMN					
AMERICAN WATER WORKS CO. INC. CMN					
AMERSOURCEBERGER CORPORATION CMN					
AMETEK INC (NEW) CMN					
AMGEN INC. CMN					
AMPHENOL CORP CL-A (NEW) CMN CLASS A					

Schedule A: Assets and "Unearned" Income

Schedule A: Assets and "Unearned" Income

SP/DC/IT	Asset Name	E/F	Max	Min	Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction
<i>Rita Abagie 1994 Trust:</i>								
SP	BRISTOL-MYERS SQUIBB COMPANY CMN				\$ 0		0	
SP	BRITISH AMERICAN TOBACCO PLC SPONS ADR				\$ 1,000	\$ 1	1	
SP	BROADCOM INC. CMN	x			\$ 15,000			
SP	BROADBRIDGE FINANCIAL SOLUTIONS INC CMN	x			\$ 50,000	\$ 15,001		
SP	C.H. ROBINSON WORLDWIDE, INC. CMN				\$ 100,000	\$ 50,001		
SP	CA, INC. CMN				\$ 250,000	\$ 100,001		
SP	CABOT OIL & GAS CORPORATION CMN				\$ 500,000	\$ 250,001		
SP	CADENCE DESIGN SYSTEMS INC CMN				\$ 1,000,000	\$ 500,001		
SP	CAESARS ENTERTAINMENT CORP CMN				\$ 5,000,000	\$ 1,000,001		
SP	CAMPBELL SOUP CO CMN				\$ 25,000,000	\$ 5,000,001		
SP	CAPITAL ONE FINANCIAL CORP CMN				\$ 50,000,000	\$ 25,000,001		
SP	CARDINAL HEALTH, INC. CMN				\$ 2,000,000,000	\$ 50,000,001		
SP	CARLISLE COS INC CMN							
SP	CARMAX, INC. CMN							
SP	CARNIVAL CORPORATION CMN							
SP	CARS.COM INC CMN							
SP	CATERPILLAR INC (DELAWARE) CMN							
SP	CBRE GROUP, INC. CMN CLASS A							
SP	CBUS CORPORATION CMN CLASS B							
SP	CELANESE CORPORATION CMN SERIES A							
SP	CELEGENE CORPORATION CMN							
SP	CENTENE CORPORATION CMN							
SP	CENTERPOINT ENERGY, INC CMN							
SP	CENTURYLINK INC CMN							
SP	CERNER CORPORATION CMN							
SP	CF INDUSTRIES HOLDINGS, INC. CMN							
SP	CHARLES RIV LABS INT'L INC CMN							
SP	CHARLES SCHWAB CORPORATION CMN							
SP	CHARTER COMMUNICATIONS, INC. CMN							
SP	CHESAPEAKE ENERGY CORPORATION CMN							
SP	CHEVRON CORPORATION CMN							
SP	CHIPOTLE MEXICAN GRILL, INC. CMN							
SP	CHURCH & DWIGHT CO., INC. CMN							
SP	CHURCH'S SYSTEMS, INC. CMN							
SP	CIGNA CORPORATION CMN							
SP	CINAREX ENERGY CO. CMN							
SP	CINCINNATI FINANCIAL CORP CMN							
SP	CINEMARK HOLDINGS, INC. CMN							
SP	CINTAS CORPORATION CMN							
SP	CISCO SYSTEMS, INC. CMN							
SP	CITIGROUP INC. CMN							
SP	CITIZENS FINANCIAL GROUP, INC. CMN							
SP	CITRIX SYSTEMS INC CMN							
SP	CLOROX CO (THE) (DELAWARE) CMN							
SP	CME GROUP, INC. CMN CLASS A							
SP	CMS ENERGY CORPORATION CMN							
SP	CNA FINCL CORP CMN							
SP	COCA-COLA COMPANY (THE) CMN							
SP	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A							
SP	COLFAX CORPORATION CMN							
SP	COLOGATE-PALMOLIVE CO CMN							
SP	COMCAST CORPORATION CMN CLASS A VOTING							

Schedule A: Assets and "Unearned" Income

SP/DC/IT	Asset Name	Type	Block B: Value of Asset		Block D: Amount of Income	Block E: Transaction
			Min	Max		
Rita Ahuja 1994 Trust:						
SP	COMMERCIAL INCORPORATED CNN		\$ 0	\$ 1,000	\$ 0	P, S, Sp(art), or E
SP	COMPASS MINERALS INT'L INC. CNN	x	\$ 15,000	\$ 1,001	\$ 0	P, Sp(art)
SP	CONAGRA BRANDS INC. CNN	x	\$ 50,000	\$ 15,001	\$ 0	P, Sp(art)
SP	CONDUENT INCORPORATED CNN	x	\$ 100,000	\$ 50,001	\$ 0	P, Sp(art)
SP	CONOCOPHILLIPS CNN	x	\$ 250,000	\$ 100,001	\$ 0	P, Sp(art)
SP	CONSOLIDATED EDISON INC CNN	x	\$ 500,000	\$ 250,001	\$ 0	P, Sp(art)
SP	CONSTELLATION BRANDS INC CNN CLASS A	x	\$ 1,000,000	\$ 500,001	\$ 0	P, Sp(art)
SP	CONTINENTAL RESOURCES, INC CNN	x	\$ 5,000,000	\$ 1,000,001	\$ 0	P, Sp(art)
SP	COOPER COMPANIES INC (NEW) CNN	x	\$ 25,000,000	\$ 5,000,001	\$ 0	P, Sp(art)
SP	CORE LABORATORIES N.V. CNN	x	\$ 50,000,000	\$ 25,000,001	\$ 0	P, Sp(art)
SP	CORNING INC CORPORATED CNN	x	\$ 2,000,000,000	\$ 50,000,001	\$ 0	P, Sp(art)
SP	COSTCO WHOLESALE CORPORATION CNN	x				
SP	COTY, INC. CNN CLASS A	x				
SP	CROWN CASTLE INT'L CORP CNN	x				
SP	CROWN HOLDINGS INC CNN	x				
SP	CSX INC. CNN	x				
SP	CSX CORPORATION CNN	x				
SP	CULLEN FROST BANKERS INC CNN	x				
SP	CUMMINS INC COMMON STOCK	x				
SP	CVS HEALTH CORP CNN	x				
SP	D.R. HORTON, INC. CNN	x				
SP	DAMAKER CORPORATION CNN	x				
SP	DARDEN RESTAURANTS, INC CNN	x				
SP	DAVITA, INC CNN	x				
SP	DDR CORP CNN	x				
SP	DEERE & COMPANY CNN	x				
SP	DELL TECHNOLOGIES INC CNN	x				
SP	DELPHI TECHNOLOGIES PLC CNN	x				
SP	DELTIA AIR LINES, INC. CNN	x				
SP	DENTSPLY SIRONA INC CNN	x				
SP	DEVON ENERGY CORPORATION (NEW) CNN	x				
SP	DEXCOM, INC. CNN	x				
SP	DIAMONDBACK ENERGY INC CNN	x				
SP	DICK'S SPORTING GOODS, INC CNN	x				
SP	DIGITAL REALTY TRUST, INC. CNN	x				
SP	DISCOVER FINANCIAL SERVICES CNN	x				
SP	DISCOVERY INC - A CNN SERIES A	x				
SP	DISCOVERY INC CNN	x				
SP	DISH NETWORK CORPORATION CNN CLASS A	x				
SP	DOLLAR GENERAL CORPORATION CNN	x				
SP	DOLLAR TREE STORES, INC. CNN	x				
SP	DOMINION ENERGY INC CNN	x				
SP	DOVER CORPORATION CNN	x				
SP	DOWN CHEMICAL CO CNN	x				
SP	DOWDUPONT INC. CNN	x				
SP	DR PEPPER'S SNAPPLE GROUP, INC. CNN	x				
SP	DRILL-QUIP, INC. CNN	x				
SP	DST SYSTEM INC COMMON STOCK	x				
SP	DTE ENERGY COMPANY CNN	x				
SP	DUKE ENERGY CORPORATION CNN	x				
SP	DUKE REALTY CORPORATION CNN	x				

Schedule A: Assets and "Unearned" Income

SP/DC/IT	Asset Name	E/F	Max	Min	Block B: Value of Asset		
						Block C: Type of Income	Block D: Amount of Income
Rita Augie 1994 Trust:							
SP	DXC TECHNOLOGY COMPANY CMN				\$ 1,000	\$ 0	1
SP	E*TRADE FINANCIAL CORPORATION CMN				\$ 15,000	\$ 0	
SP	E.I. DU PONT DE NEMOURS AND CO CMN				\$ 50,000	\$ 0	
SP	EAST WEST BANKCORP INC CMN				\$ 100,000	\$ 0	
SP	EASTMAN CHEMICAL COMPANY CMN				\$ 250,000	\$ 0	
SP	EATON CORP PLC CMN				\$ 500,000	\$ 0	
SP	EATON VANCE CORP (NON-VTG) CMN				\$ 1,000,000	\$ 0	
SP	EBAY INC. CMN				\$ 5,000,000	\$ 0	
SP	ECHOSTAR CORPORATION CMN				\$ 25,000,000	\$ 0	
SP	ECOLAB INC. CMN				\$ 50,000,000	\$ 0	
SP	EDISON INTERNATIONAL CMN				\$ 200,000,000	\$ 0	
SP	EDWARDS LIFESCIENCES CORPORATION CMN						
SP	ELECTRONIC ARTS CMN						
SP	ELI LILLY & CO. CMN						
SP	EMERALD EXPOSITIONS EVENTS, INC CMN						
SP	EMERSON ELECTRIC CO. CMN						
SP	ENBRIDGE INC. CMN						
SP	ENDO INTERNATIONAL PLC CMN						
SP	ENERGEN CORP CMN						
SP	ENSCO PLC CMN CLASS A						
SP	ENTEGRIS, INC. CMN						
SP	ESSEX PROPERTY TRUST INC CMN						
SP	ESTEE LAUDER COS INC CLA CMN CLASS A						
SP	EVEREST RE GROUP LTD CMN						
SP	EVERSOURCE ENERGY CMN						
SP	EXELON CORPORATION CMN						
SP	EXPEDIA GROUP INC CMN						
SP	EXPEDITORS INTERNATIONAL OF WA CMN						
SP	EXPRESS SCRIPTS HOLDING COMPANY CMN						
SP	EXTRA SPACE STORAGE INC CMN						
SP	EXXON MOBIL CORPORATION CMN						
SP	FS NETWORKS, INC. CMN						
SP	FACEBOOK, INC. CMN CLASS A						
SP	FASTENAL COMPANY CMN						
SP	FEDERAL ALITY INVT TR SBL CMN						
SP	FEDERATION CORPORATION CMN						
SP	FIDELITY NAT'L INFO-SERCS INC CMN						
SP	FIFTH THIRD BANKCORP CMN						
SP	FREEFONE INC. CMN						
SP	FIRST DATA CORPORATION CMN CLASS A						
SP	FIRST HORIZON NATIONAL CORPORATION CMN						
SP	FIRST SOLAR INC. CMN						
SP	FISERV, INC. CMN						
SP	FLEX LTD. CMN						
SP	FLIR SYSTEMS INC CMN						
SP	FLOWSERVE CORPORATION CMN						

Schedule A: Assets and "Unearned" Income

SP/D/C/J/T	Asset Name	E/F	Max	Min	Block B: Value of Asset	Block C: Type of Income	
						Block D: Amount of Income	Block E: Transaction
<i>Rita Anne 1994 Trust:</i>							
SP	FLUOR CORPORATION CMN				\$ 1,000	\$ 1	P, S, Sp(art), or E
SP	FMC CORPORATION CMN				\$ 15,000	\$ 1,001	P
SP	FNB CORPORATION (VA) CMN				\$ 50,000	\$ 15,001	P
SP	FOOT LOCKER, INC. CMN	x			\$ 100,000	\$ 50,001	P
SP	FORD MOTOR COMPANY CMN	x			\$ 250,000	\$ 100,001	P
SP	FORTUNE BRANDS HOME & SECURITY CMN	x			\$ 500,000	\$ 250,001	P
SP	FRANKLIN RESOURCES, INC. CMN	x			\$ 1,000,000	\$ 500,001	P
SP	FREEPORT-MCGRAW INC CMN	x			\$ 5,000,000	\$ 1,000,001	P
SP	FRONTIER COMMUNICATIONS CORPORATION CMN	x			\$ 25,000,000	\$ 5,000,001	P
SP	GAP INC CMN	x			\$ 50,000,000	\$ 25,000,001	P
SP	GARMIN LTD. CMN	x			\$ 2,000,000,000	\$ 50,000,001	P
SP	GARTNER, INC. CMN	x					
SP	GENERAL DYNAMICS CORP. CMN*	x					
SP	GENERAL ELECTRIC CO CMN	x					
SP	GENERAL GROWTH PROPERTIES INC CMN	x					
SP	GENERAL MILLS INC CMN	x					
SP	GENERAL MOTORS COMPANY CMN	x					
SP	GENESEE & WYOMING INC CMN CLASS A	x					
SP	GENUINE PARTS CO. CMN	x					
SP	GEP INC CMN	x					
SP	GILEAD SCIENCES CMN	x					
SP	GLOBAL PAYMENTS INC. CMN	x					
SP	GOLDMAN SACHS BANK USA DEPOSIT (BDA)	x					
SP	GRAPHIC PACKAGING HDGCO CMN	x					
SP	GS FINANCIAL SQUARE TREASURY SOLUTIONS FUND	x					
SP	GS SHORT DURATION TAX-FREE FUND INSTITUTIONAL SHARES	x					
SP	GS U.S. EQUITY DIVIDEND AND PREMIUM FUND INSTITUTIONAL SHARES	x					
SP	H & R BLOCK INC. CMN	x					
SP	HALLIBURTON COMPANY CMN	x					
SP	HANESBRANDS INC. CMN	x					
SP	HARLEY-DAVIDSON INC CMN	x					
SP	HARMAN INT'L IND. INCORP CMN	x					
SP	HARRIS CORP CMN	x					
SP	HARTFORD FINANCIAL SERVICES GROUP CMN	x					
SP	HASBRO, INC. CMN	x					
SP	HCA HEALTHCARE, INC CMN	x					
SP	HCP, INC. CMN	x					
SP	HD SUPPLY+HOLDINGS, INC. CMN	x					
SP	HEALTHCARE TRUST OF AMERICA, INC CMN CLASS A	x					
SP	HELMUTH & PAYNE INC. CMN	x					
SP	HENRY SCHEIN INC COMMON STOCK	x					
SP	HESS CORPORATION CMN	x					
SP	HEWLETT-PACKARD ENTERPRISE CO CMN	x					
SP	HILL-ROM HOLDINGS, INC. CMN	x					
SP	HILTON GRAND VACATIONS, INC. CMN	x					
SP	HILTON WORLDWIDE HOLDINGS INC. CMN	x					
SP	HOLISTIC INCORPORATED CMN	x					
SP	HONEYWELL INT'L INC CMN	x					
SP	HORMEL FOODS CORPORATION CMN	x					
SP	HOST HOTELS & RESORTS INC CMN	x					
SP	HP INC. CMN	x					

Schedule A: Assets and "Unearned" Income

Schedule A: Assets and "Unearned" Income

SP/PC/IT	Asset Name	EIP	Max	Min	Block B: Value of Asset	Block C: Type of Income		Block D: Amount of Income	Block E: Transaction
Rithi Ahuja 1994 Trust:									
SP	LEGG, MASON INC CMN		X	X	\$ 1,000	\$ 1	Block B: Value of Asset		
SP	LEGGETT & STANTON INCORPORATED CMN		X	X	\$ 15,000	\$ 1,001			
SP	LEIDOS HOLDINGS INC CMN		X	X	\$ 50,000	\$ 15,001			
SP	LENNAR CORPORATION CMN CLASS A		X	X	\$ 100,000	\$ 50,001			
SP	LEUCADIA NATIONAL CORP CMN		X	X	\$ 250,000	\$ 100,001			
SP	LEVEL 3 COMMUNICATIONS INC CMN		X	X	\$ 500,000	\$ 250,001			
SP	LIBERTY BROADBAND CORP CMN CLASS A		X	X	\$ 1,000,000	\$ 500,001			
SP	LIBERTY BROADBAND CORP CMN CLASS C		X	X	\$ 5,000,000	\$ 1,000,001			
SP	LIBERTY GLOBAL PLC CMN CLASS C		X	X	\$ 25,000,000	\$ 5,000,001			
SP	LIBERTY MEDIA CORPORATION SERIES E LIBERTY SIMULSIM CMN		X	X	\$ 50,000,000	\$ 25,000,001			
SP	LIBERTY PROPERTY TRUST SBI CMN		X	X	\$ 2,000,000,000	\$ 50,000,001			
SP	LIFEFORCE VENTURES CMN		X	X					
SP	LINCOLN NATIONAL CORP INC CMN		X	X					
SP	LINEAR TECHNOLOGY CORPORATION CMN		X	X					
SP	LIONSGATE ENTERTAINMENT CORP CMN CLASS A		X	X					
SP	LIONSGATE ENTERTAINMENT CORP CMN CLASS B		X	X					
SP	LIVE NATION ENTERTAINMENT INC CMN		X	X					
SP	LKQ CORPORATION CMN		X	X					
SP	LOCKHEED MARTIN CORPORATION CMN		X	X					
SP	LOEW'S CORPORATION CMN		X	X					
SP	LOGMEN INC CMN		X	X					
SP	LOWES COMPANIES INC CMN		X	X					
SP	LYONDELLBASELL INDUSTRIES NV CMN CLASS A		X	X					
SP	M&T BANK CORPORATION CMN		X	X					
SP	MACERICH COMPANY CMN		X	X					
SP	MACY'S INC CMN		X	X					
SP	MALLINCROFT PUBLIC LIMITED CO CMN		X	X					
SP	MANHATTAN ASSOCIATES INC CMN		X	X					
SP	MANPOWER GROUP CMN		X	X					
SP	MARATHON OIL CORPORATION CMN		X	X					
SP	MARATHON PETROLEUM CORPORATION CMN		X	X					
SP	MARRIOTT INTERNATIONAL INC CMN CLASS A		X	X					
SP	MARSH & MCLENNAN CO INC CMN		X	X					
SP	MARTIN MARINETTA MATERIALS INC CMN		X	X					
SP	MASCO CORPORATION CMN		X	X					
SP	MASTERCARD INCORPORATED CMN CLASS A		X	X					
SP	MATTEL INC CMN		X	X					
SP	MAXIMA INTEGRATED PRODUCTS INC CMN		X	X					
SP	MC DONALDS CORP CMN		X	X					
SP	MECOMMIC & CO INC VTS SHRS CMN		X	X					
SP	MCKESSON CORPORATION CMN		X	X					
SP	MEAD JOHNSON NUTRITION COMPANY CMN		X	X					
SP	MEDICAL PROPERTIES TRUST INC CMN		X	X					
SP	MEDMAX INC CMN		X	X					
SP	MECTRONIC PUBLIC LIMITED COMPAG CMN		X	X					
SP	MERICK & CO. INC CMN		X	X					
SP	METLIFE INC CMN		X	X					
SP	MEIN RESORTS INTERNATIONAL CMN		X	X					
SP	MICHAEL KORS HOLDINGS LIMITED CMN		X	X					
SP	MICRO FOCUS INTERNATIONAL PLC SPONSORED ADR CMN		X	X					
SP	MICROCHIP TECHNOLOGY INCORPORA CMN		X	X					

Schedule A: Assets and "Unearned" Income

SP/IC/IT	Asset Name	Type	Max	Min	Block B: Value of Asset	Block C: Type of Income	
						EIP	Block D: Transaction
SP	MICRON TECHNOLOGY, INC. CMN	P	\$ 0	\$ 1,000	\$ 0	1	P, S, S(part), or E
SP	MICROSOFT CORPORATION CMN	P	\$ 15,000	\$ 1,001			P
SP	MID-AMERICA AIR CHARTS INC CMN	P	\$ 50,000	\$ 15,001			P
SP	MIDHAWK INDUSTRIES INC COMMON STOCK	P	\$ 100,000	\$ 50,001			P
SP	MOLSON COORS BREWING CO CMN CLASS B	P	\$ 250,000	\$ 100,001			P
SP	MONDELEZ INTERNATIONAL, INC. CMN	P	\$ 500,000	\$ 250,001			P
SP	MONSANTO COMPANY CMN	P	\$ 1,000,000	\$ 500,001			P
SP	MONSTER BEVERAGE CORPORATION CMN	P	\$ 5,000,000	\$ 1,000,001			P
SP	MOODY'S CORPORATION CMN	P	\$ 25,000,000	\$ 5,000,001			P
SP	MORGAN STANLEY CMN	P	\$ 50,000,000	\$ 25,000,001			P
SP	MOSAIK COMPANY THEI CMN	P	\$ 200,000,000	\$ 50,000,001			P
SP	MOTOROLA SOLUTIONS INC CMN	P	\$ 2,000,000,000	\$ 500,000,001			P
SP	MURPHY OIL CORPORATION CMN	P					
SP	MYLAN NV CMN	P					
SP	NABORS INDUSTRIES LTD. CMN	P					
SP	NASDAQ INC. CMN	P					
SP	NATIONAL GUINNESS VARDAC INC COMMON STOCK CMN	P					
SP	NATIONAL RETAIL PROPERTIES INC CMN	P					
SP	NAVIENT CORPORATION CMN	P					
SP	NCR CORPORATION CMN	P					
SP	NETAPP, INC. CMN	P					
SP	NETFLIX, INC. CMN	P					
SP	NEW YORK COMMUNITY BANKCORP, INC CMN	P					
SP	NEWEL BRANDS, INC CMN	P					
SP	NEWFIELD EXPLORATION CO. CMN	P					
SP	NEWMONT MINING CORPORATION CMN	P					
SP	NEWS CORPORATION CMN CLASS A	P					
SP	NEXTEA ENERGY INC CMN	P					
SP	NIELSEN HULFS PLC CMN	P					
SP	NIKE CLASS-B CMN CLASS B	P					
SP	NIOSOURCE INC. CMN	P					
SP	NOBLE ENERGY INC CMN	P					
SP	NORDSTROM, INC. CMN	P					
SP	NORFOLK SOUTHERN CORP CMN	P					
SP	NORTHERN TRUST CORP CMN	P					
SP	NORTHROP GRUMMAN CORP CMN	P					
SP	NORWEGIAN CRUISE LINE HOLD LTD CMN	P					
SP	NRG ENERGY, INC. CMN	P					
SP	NUANCE COMMUNICATIONS, INC. CMN	P					
SP	NUCOR CORPORATION CMN	P					
SP	NVIDIA CORPORATION CMN	P					
SP	NXP SEMICONDUCTORS N.V. CMN	P					
SP	OCCLUDED PETROLEUM CORP CMN	P					
SP	OCEANERING INTERNATIONAL, INC CMN	P					
SP	OLD DOMINION FREIGHT LINES INC CMN	P					
SP	ON SEMICONDUCTOR CORPORATION CMN	P					
SP	ONEXOK INC CMN	P					
SP	ORACLE CORPORATION CMN	P					
SP	O'REILLY AUTOMOTIVE, INC. CMN	P					
SP	OWENS CORNING CMN	P					
SP	P.G & E CORPORATION CMN	P					
SP	PACCAR INC CMN	P					

Schedule A: Assets and "Unearned" Income

SP/DC/IT	Asset Name	E/F	Max	Min	Block B: Value of Asset	Block C: Type of Income	
						Block D: Amount of Income	Block E: Transaction
Block A: 1994 Trust:							
SP	PACKAGING CORP OF AMERICA COMMON STOCK				\$ 0	0	
SP	PACWEST BANCORP CMN	x	x		\$ 1,000	\$ 1	
SP	PARK HOTELS & RESORTS INC CMN	x	x		\$ 15,000	\$ 1,001	
SP	PARKER-HANNIFIN CORP CMN	x	x		\$ 50,000	\$ 15,001	
SP	PARSLEY ENERGY INC CMN	x	x		\$ 100,000	\$ 50,001	
SP	PATTERSONUTI ENERGY INC ORD CMN REG OFFER 2306473B	x	x		\$ 250,000	\$ 100,001	
SP	PAYCHEX INC CMN	x	x		\$ 500,000	\$ 250,001	
SP	PAYPAL HOLDINGS INC CMN	x	x		\$ 1,000,000	\$ 500,001	
SP	PENSEKE AUTOMOTIVE GROUP INC CMN	x	x		\$ 5,000,000	\$ 1,000,001	
SP	PENTAIR PLC CMN	x	x		\$ 25,000,000	\$ 5,000,001	
SP	PEOPLES UNITED FINANCIAL INC CMN	x	x		\$ 50,000,000	\$ 25,000,001	
SP	PEPSICO INC CMN	x	x		\$ 2,000,000,000	\$ 50,000,001	
SP	PERINELMIR INC CMN	x	x				
SP	PERINIGO CO P/C CMN	x	x				
SP	PFIZER INC CMN	x	x				
SP	PHILIP MORRIS INT'L INC CMN	x	x				
SP	PHILLIPS 66 CMN	x	x				
SP	PREDMONT OFFICE REALTY TRUST CMN	x	x				
SP	PINNACLE FOODS INC CMN	x	x				
SP	PINNACLE WEST CAPITAL CORP CMN	x	x				
SP	PIONEER NATURAL RESOURCES CO CMN	x	x				
SP	PNC FINANCIAL SERVICES GROUP CMN	x	x				
SP	POST HOLDINGS INC CMN	x	x				
SP	PPG INDUSTRIES INC CMN	x	x				
SP	PPL CORPORATION CMN	x	x				
SP	PRAIRIE INC CMN	x	x				
SP	PRICELINE GROUP INC/THE CMN	x	x				
SP	PRINCIPAL FINANCIAL GROUP INC CMN	x	x				
SP	PROCTER & GAMBLE COMPANY (THE) CMN	x	x				
SP	PROLOGIS INC CMN	x	x				
SP	PROSPERITY BANKSHARES INC CMN	x	x				
SP	PRUDENTIAL FINANCIAL INC CMN	x	x				
SP	PTC INC CMN	x	x				
SP	PUBLIC STORAGE CMN	x	x				
SP	PUBLIC SVC ENTERPRISE GROUP HOLDING CO	x	x				
SP	PULITZERGROUP INC CMN	x	x				
SP	PVH CORP CMN	x	x				
SP	QEP RESOURCES INC CMN	x	x				
SP	QORVO INC CMN	x	x				
SP	QUALCOMM INC CMN	x	x				
SP	QUANTA SERVICES INC CMN	x	x				
SP	QUEST DIAGNOSTICS INCORPORATED CMN	x	x				
SP	QUINTILES IMS HOLDINGS INC CMN	x	x				
SP	R. R. DONNELLEY & SONS COMPANY CMN	x	x				
SP	RALPH LAUREN CORP CMN CLASS A	x	x				
SP	RANGE RESOURCES CORPORATION CMN	x	x				
SP	RAYMOND JAMES FINANCIAL INC CMN	x	x				
SP	RAYTHEON COMPANY CMN	x	x				
SP	REALITY HEDGES CORP CMN	x	x				
SP	REALTY INCOME CORPORATION CMN	x	x				
SP	RED HAT INC CMN	x	x				
SP	REGAL/EN ENTERTAINMENT GROUP CMN CLASS A	x	x				
SP	REGENCY CENTERS CORPORATION CMN	x	x				

Schedule A: Assets and "Unearned" Income

SP/DC/IT	Asset Name	EIF	Max	Min	Block B: Value of Asset	Block C: Type of Income		Block D: Amount of Income	Block E: Transaction
<i>After Audit 1994 Test:</i>									
SP	REGENERON PHARMACEUTICAL INC CMN				\$ 0	1			
SP	REGIONS FINANCIAL CORPORATION CMN	X	X	X	\$ 1,000	\$ 1,001			P, S, (Part), or E
SP	REINFORCE GROUP AMER INC CMN				\$ 15,000	\$ 15,001			P
SP	RELIANCE STEEL & ALUMINUM CO CMN	X	X	X	\$ 50,000	\$ 50,001			P, (Part)
SP	REPUBLIC SERVICES INC CMN				\$ 100,000	\$ 100,001			P
SP	REMADE INC CMN	X	X	X	\$ 250,000	\$ 250,001			P
SP	REYNOLDS AMERICAN INC CMN				\$ 500,000	\$ 500,001			P
SP	ROBERT-HALF INTERNATIONAL INC CMN	X	X	X	\$ 1,000,000	\$ 1,000,001			P
SP	ROCKWELL AUTOMATION INC CMN				\$ 5,000,000	\$ 1,000,001			P
SP	ROCKWELL COLUMNS, INC CMN	X	X	X	\$ 25,000,000	\$ 5,000,001			P
SP	ROPER TECHNOLOGIES INC CMN				\$ 50,000,000	\$ 25,000,001			P
SP	ROSS STORES INC CMN	X	X	X	\$ 200,000,000	\$ 50,000,001			P
SP	ROYAL CARIBBEAN CRUISES LTD ISIN: LR0008862868				\$ 2,000,000,000	\$ 50,000,001			P
SP	RSP PERMANIAN INC CMN								
SP	RYDER SYSTEM INC CMN	X	X	X					
SP	S&P GLOBAL INC CMN								
SP	SABRE CORPORATION CMN	X	X	X					
SP	SALERFORCE.COM INC CMN								
SP	SBA COMMUNICATIONS CORPORATION CMN	X	X	X					
SP	SCANA CORP CMN								
SP	SCHLUMBERGER LTD CMN	X	X	X					
SP	SCRIPPS NETWORKS INTERACTIVE CMN CLASS A								
SP	SEAGATE TECHNOLOGY PLC CMN	X	X	X					
SP	SEALED AIR CORPORATION CMN								
SP	SEATTLE GENETICS, INC. CMN	X	X	X					
SP	SEMIRA ENERGY CMN								
SP	SENIOR HOUSING PROPERTIES TR CMN	X	X	X					
SP	SERVICENOW INC CMN								
SP	SHERWIN-WILLIAMS CO CMN	X	X	X					
SP	SIGNATURE BANK CMN								
SP	SIGNET JEWELERS LIMITED CMN	X	X	X					
SP	SIMON PROPERTY GROUP INC CMN								
SP	SIRIUS XM HOLDINGS INC CMN	X	X	X					
SP	SKYWORKS SOLUTIONS, INC. CMN								
SP	SL GREEN REALTY CORP CMN	X	X	X					
SP	SM ENERGY COMPANY CMN								
SP	SNAP-ON INC CMN	X	X	X					
SP	SOCOQ PRODUCTS CO CMN								
SP	SOUTHERN COPPER CORPORATION CMN	X	X	X					
SP	SOUTHWEST AIRLINES CO CMN								
SP	SPECTRA ENERGY CORP CMN	X	X	X					
SP	SPECTRUM BRANDS, INC. CMN								
SP	SPRINT CORPORATION CMN	X	X	X					
SP	SPROUTS FARMS MART INC CMN								
SP	ST JUDE MEDICAL INC CMN	X	X	X					
SP	STANLEY BLACK & DECKER, INC. CMN								
SP	STARBUCKS CORP CMN	X	X	X					
SP	STATE STREET CORPORATION (NEW) CMN								
SP	STERICYCLE, INC. CMN	X	X	X					
SP	STRYKER CORPORATION CMN								
SP	SUNPOWER CORPORATION CMN	X	X	X					

Schedule A: Assets and "Unearned" Income

SP/DC/IT	Asset Name	E/F	Max	Min	Block B: Value of Asset	Block C: Type of Income		Block D: Amount of Income	Block E: Transaction
						Rate At Anytime	Term:		
SP	SUNTRUST BANKS INC CMN		0	0	\$ 1,000	\$ 1,000	1	\$ 1,000	P, S, Sp(art), or E
SP	SYNAPTEC CORPORATION CMN				\$ 15,000	\$ 15,000		\$ 1,001	P, Sp(art)
SP	SYNCHRONY FINANCIAL CMN				\$ 50,000	\$ 50,000		\$ 15,001	P, S, Sp(art)
SP	SYNOPSYS INC. CMN				\$ 100,000	\$ 100,000		\$ 50,001	P, S, Sp(art)
SP	SYSCO CORPORATION CMN				\$ 250,000	\$ 250,000		\$ 100,001	P, S, Sp(art)
SP	T. ROWE PRICE GROUP, INC. CMN				\$ 500,000	\$ 500,000		\$ 250,001	P, S, Sp(art)
SP	TABLEAU SOFTWARE, INC. CMN CLASS A				\$ 1,000,000	\$ 1,000,000		\$ 500,001	P, S, Sp(art)
SP	TAPESTRY, INC. CMN				\$ 5,000,000	\$ 5,000,000		\$ 1,000,001	P, S, Sp(art)
SP	TECHMPEM PEG CMN				\$ 25,000,000	\$ 25,000,000		\$ 5,000,001	P, S, Sp(art)
SP	TEGMA INC. CMN				\$ 50,000,000	\$ 50,000,000		\$ 10,000,001	P, S, Sp(art)
SP	TELEPHONE AND DATA SYS INC CMN				\$ 2,000,000,000	\$ 2,000,000,000		\$ 500,000,001	P, S, Sp(art)
SP	TENET HEALTHCARE CORP CMN								
SP	TD AMERITRADE HOLDING CORP CMN								
SP	TE CONNECTIVITY LTD CMN								
SP	TECHMPEM PEG CMN								
SP	TEKTRON INC DEL CMN								
SP	TF'S FINANCIAL CORPORATION CMN								
SP	THE BANK OF NY MELLON CORP CMN								
SP	THE GOLDMAN SACHS GROUP, INC. UNLINKED TO EURO STOXX 50 PR DUAL DIRECTIONAL STRUCTURE, D NOTE DUE 01/28/2018								
SP	THE GOLDMAN SACHS GROUP II INC. LINKED TO S&P 500 INDEX CONTINGENT CMN W BUFFER STRUCTURED NOTE DUE 01/19/2018								
SP	THE GOLDMAN SACHS GROUP II INC. LINKED TO S&P 500 INDEX DUAL DIRECTIONAL STRUCTURED NOTE DUE 01/19/2018								
SP	THE GOLDMAN SACHS GROUP II INC. UNLINKED TO S&P 500 INDEX DUAL DIRECTIONAL STRUCTURED NOTE DUE 01/19/2018								
SP	THE GOLDMAN SACHS GROUP, INC. LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 09/29/2017								
SP	THE GOLDMAN SACHS GROUP, INC. UNLINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 01/26/2017								
SP	THE GOODFELLOW & RUBBER CO. CMN	X							
SP	THE HERSHEY COMPANY CMN	X							
SP	THE HOME DEPOT, INC. CMN	X							
SP	THE KRAFT HEINZ CO CMN	X							
SP	THE MICHAELS COMPANIES, INC. CMN	X							
SP	THE PROGRESSIVE CORPORATION CMN	X							
SP	THE SOUTHERN CO. CMN	X							
SP	THE TRAVELERS COMPANIES, INC. CMN	X							
SP	THE WILLIAMS COMPANIES, INC. CMN	X							
SP	TERMO FISHER SCIENTIFIC INC CMN	X							
SP	THOMSON REUTERS CORPORATION CMN	X							
SP	TIFFANY & CO CMN	X							
SP	TIME WARNER INC. CMN	X							
SP	TX COMPANIES INC (NEW) CMN	X							

Schedule A: Assets and "Unearned" Income

SP/DC/IT	Asset Name	E/F	Max	Min	Block B: Value of Asset	
					0	3
Rita Ahluwalia Trust:						
SP	T-MOBILE U.S., INC. CMN		x		\$ 0	
SP	TOLL BROTHERS, INC. CMN		x		\$ 1,000	\$ 1,001
SP	TORCHMARK CORPORATION CMN		x		\$ 15,000	\$ 15,001
SP	TOTAL SYS, INC. CMN		x		\$ 50,000	\$ 50,001
SP	TRACTOR SUPPLY COMPANY CMN		x		\$ 100,000	\$ 100,001
SP	TRANSIGMA GROUP INCORPORATED CMN		x		\$ 250,000	\$ 250,001
SP	TRANSOCEAN LTD. CMN		x		\$ 500,000	\$ 500,001
SP	TREHOUSE FOODS, INC. CMN		x		\$ 1,000,000	\$ 1,000,001
SP	TRIPADVISOR, INC. CMN		x		\$ 5,000,000	\$ 5,000,001
SP	TUPPERWARE BRANDS CORPORATION CMN		x		\$ 25,000,000	\$ 25,000,001
SP	TWENTY-FIRST CENTURY FOX, INC. CMN CLASS A		x		\$ 50,000,000	\$ 50,000,001
SP	TYSON FOODS INC CL-A CMN CLASS A		x		\$ 2,000,000,000	\$ 50,000,001
SP	U.S. BANK CORP CMN		x			
SP	UDR INC. CMN		x			
SP	ULTA BEAUTY INC CMN		x			
SP	UNDER ARMOUR, INC. CMN CLASS A		x			
SP	UNDER ARMOUR, INC. CMN CLASS C		x			
SP	UNION PACIFIC CORP. CMN		x			
SP	UNITED CONTINENTAL HOLDING INC CMN		x			
SP	UNITED PARCEL SERVICE, INC. CL-B COMMON STOCK		x			
SP	UNITED RENTALS, INC. CMN		x			
SP	UNITED STATES CELLULAR CORPORA CMN		x			
SP	UNITED STATES STEEL CORP CMN		x			
SP	UNITED TECHNOLOGIES CORPORATION CMN		x			
SP	UNITED THERAPEUTICS CORP CMN		x			
SP	UNITEDHEALTH GROUP INCORPORATE CMN		x			
SP	UNIVERSAL HEALTH SVC CL B CMN CLASS B		x			
SP	UNUM GROUP CMN		x			
SP	URBAN OUTFITTERS INC CMN		x			
SP	USG CORP (NEW) CMN		x			
SP	VALERO ENERGY CORPORATION CMN		x			
SP	VALIDUS HOLDINGS, LTD. CMN		x			
SP	VAREX IMAGING CORP CMN		x			
SP	VARIAN MEDICAL SYSTEMS INC CMN		x			
SP	VCA INC. CMN		x			
SP	VEREA SYSTEMS INC CMN		x			
SP	VENTAS, INC. CMN		x			
SP	VERETT, INC. CMN		x			
SP	VERIFONE SYSTEMS INC CMN		x			
SP	VERISIGN, INC. CMN		x			
SP	VERISK ANALYTICS, INC. CMN		x			
SP	VERIZON COMMUNICATIONS, INC. CMN		x			
SP	VERSION MATERIALS, INC. CMN		x			
SP	VERTEX PHARMACEUTICALS INCORPO CMN		x			
SP	VF CORP CMN		x			
SP	VIACOM INC CMN CLASS B		x			
SP	VIACOM INC CMN CLASS A		x			
SP	VISA INC. CMN CLASS A		x			
SP	VINNWARE, INC. CMN CLASS A		x			
SP	VORNADO REALTY TRUST CMN		x			
SP	VOYA FINANCIAL INC CMN		x			

Schedule A: Assets and "Unearned" Income

SP/D/C/IT	Asset Name	E/F	Max	Min	Block B: Value of Asset	
					Block C: Type of Income	Block D: Amount of Income
Rita Adya 5944 Trust:						
SP	VULCAN MATERIALS CO CMN		\$ 0	\$ 1		
SP	W.W. GRAINGER INC CMN		\$ 1,000	\$ 1,001		
SP	WALGREENS BOOTS ALLIANCE, INC. CMN		\$ 15,000	\$ 15,001		
SP	WALMART INC CMN		\$ 50,000	\$ 50,001		
SP	WALT DISNEY COMPANY (THE) CMN		\$ 100,000	\$ 100,001		
SP	WARNER MEDIA, LLC CMN		\$ 250,000	\$ 250,001		
SP	WASTE MANAGEMENT INC CMN		\$ 500,000	\$ 500,001		
SP	WATTS CORPORATION COMMON STOCK		\$ 1,000,000	\$ 1,000,001		
SP	WE Energies Group, Inc. CMN		\$ 5,000,000	\$ 1,000,001		
SP	WEINGARTEN REALTY INNS (SB) CMN		\$ 25,000,000	\$ 5,000,001		
SP	WELLS FARGO & CO (NEW) CMN		\$ 50,000,000	\$ 25,000,001		
SP	WELLtower INC. CMN		\$ 2,000,000,000	\$ 50,000,001		
SP	WESTAKE CHEMICAL CORPORATION CMN					
SP	WESTROCK COMPANY CMN					
SP	WEYERHAEUSER COMPANY CMN					
SP	WESTERN ALIANCE BANCORP CMN					
SP	WESTERN DIGITAL CORPORATION CMN					
SP	WESTERN UNION COMPANY THE CMN					
SP	WESTLAKE CHEMICAL CORPORATION CMN					
SP	WESTROCK COMPANY CMN					
SP	WHIRLPOOL CORP. CMN					
SP	WHITING PETROLEUM CORPORATION CMN					
SP	WHOLE FOODS MARKET INC CMN					
SP	WILLIS TOWERS WATSON PLC CMN					
SP	WORKDAY INC. CMN CLASS A					
SP	WORLD FUEL SERVICES CORP CMN					
SP	WPX ENERGY, INC. CMN					
SP	WYNDHAM DESTINATIONS, INC. CMN					
SP	WYNN RESORTS, LIMITED CMN					
SP	XCEL ENERGY INC. CMN					
SP	XEROX CORPORATION CMN					
SP	XILINX INCORPORATED CMN					
SP	XL GROUP LIMITED CMN					
SP	XPO LOGISTICS INC CMN					
SP	XYLEM INC. CMN					
SP	YUM BRANDS, INC. CMN					
SP	YUM CHINA HOLDINGS, INC. CMN					
SP	ZAVO GROUP HOLDINGS, INC. CMN					
SP	ZIMMER BIOMET HOLDINGS INC					
SP	ZION'S BANCORP CMN					
SP	ZOTIS INC. CMN CLASS A					

Schedule A: Assets and "Unearned" Income

Schedule A: Assets and "Unearned" Income

SP/DC/ST	Asset Name	Elif	Max	Min	Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction
1099	Rhu Ahuja 1995 Trust							
SP	AMERISOURCEBERGER CORPORATION CMN							
SP	AMETEK INC (NEW) CMN	x	x		\$ 1,000	\$ 1		
SP	AMGEN INC CMN	x	x		\$ 15,000	\$ 1,001		
SP	AMPHENOL CORP CL-A (NEW) CMN CLASS A	x	x		\$ 50,000	\$ 15,001		
SP	ANADARKO PETROLEUM CORP CMN	x	x		\$ 100,000	\$ 50,001		
SP	ANALOG DEVICES, INC CMN	x	x		\$ 250,000	\$ 100,001		
SP	ANDEAVOR CMN	x	x		\$ 500,000	\$ 250,001		
SP	ANDEAVOR LOGISTICS LP CMN (Formerly Tesoro Logistics)	x	x		\$ 1,000,000	\$ 500,001		
SP	ANSYS, INC CMN	x	x		\$ 5,000,000	\$ 1,000,001		
SP	ANTERO MIDSTREAM GP LP CMN	x	x		\$ 25,000,000	\$ 5,000,001		
SP	ANTERO MIDSTREAM PARTNERS LP CMN	x	x		\$ 50,000,000	\$ 25,000,001		
SP	ANTHEM, INC CMN				\$ 2,000,000,000	\$ 50,000,001		
SP	ADN PLC CMN							
SP	APACHE CORP CMN							
SP	APARTMENT INVT & MGMT CO CL-A CMN CLASS A							
SP	APPLE INC CMN							
SP	APPLIED MATERIALS INC CMN							
SP	APTIV PLC CMN							
SP	ARCHER-DANIELS-MIDLAND COMPANY CMN							
SP	ARCOMIC INC CMN							
SP	ARROW ELECTRONICS INC CMN							
SP	AUTODESK, INC. CMN							
SP	ARTHUR J. GALLAGHER & CO CMN							
SP	ASPEN INSURANCE HOLDINGS LTD CMN							
SP	ASSOCIATED BANK-CORP CMN							
SP	AT&T INC CMN							
SP	ATLASSIAN CORPORATION PLC CMN CLASS A							
SP	AUTOMOBILE COMMUNITIES INC CMN							
SP	AUTODESK, INC. CMN							
SP	AUTOMATIC DATA PROCESSING INC CMN							
SP	AUTOMATION, INC. CMN							
SP	AUTOPLEX INC CMN							
SP	AVALONBAY COMMUNITIES INC CMN							
SP	AVERY DENNISON CORPORATION CMN							
SP	AVNET INC CMN							
SP	AXIS CAPITAL HOLDINGS LIMITED CMN							
SP	BAKER HUGHES INCORPORATED CMN							
SP	BALL CORPORATION CMN							
SP	BANK OF AMERICA CORP CMN							
SP	BANKE OF THE OZARKS CMN							
SP	BANKUNITED INC CMN							
SP	BARD C R INC NJ CMN							
SP	BAXTER INTERNATIONAL INC CMN							
SP	BB&T CORPORATION CMN							
SP	BECTON, DICKINSON AND COMPANY CMN							
SP	BED BATH & BEYOND INC CMN							
SP	BERKSHIRE HATHAWAY INC CLASS B							
SP	BERRY GLOBAL GROUP INC CMN							
SP	BEST BUY CO INC CMN							

Schedule A: Assets and "Unearned" Income

Schedule A: Assets and "Unearned" Income

SP/TC/IT	Asset Name	R/HuAbuja 1995 Trust	ELF	Max	Min	Block B: Value of Asset	Block C: Type of Income	
							Block D: Amount of Income	Block E: Transaction
1099	CINCINNATI FINANCIAL CORP CMN					\$ 0	\$ 0	
SP	CINTAS CORPORATION CMN			x		\$ 1,000	\$ 1	
SP	CISCO SYSTEMS, INC. CMN			x		\$ 15,000	\$ 1,001	
SP	CITIGROUP, INC. CMN			x		\$ 50,000	\$ 15,001	
SP	CITIZENS FINANCIAL GROUP, INC. CMN			x		\$ 100,000	\$ 50,001	
SP	CLOROX CO (THE) (DELAWARE) CMN			x		\$ 250,000	\$ 100,001	
SP	CME GROUP, INC. CMN CLASS A			x		\$ 500,000	\$ 250,001	
SP	CMS ENERGY CORPORATION CMN			x		\$ 1,000,000	\$ 500,001	
SP	COCA-COLA COMPANY (THE) CMN			x		\$ 5,000,000	\$ 1,000,001	
SP	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A			x		\$ 25,000,000	\$ 5,000,001	
SP	COLFAX CORPORATION CMN			x		\$ 50,000,000	\$ 25,000,001	
SP	COLGATE-PALMOLIVE CO CMN			x		\$ 2,000,000,000	\$ 50,000,001	
SP	COMCAST CORPORATION CMN CLASS A VOTING			x				
SP	COMMERCIAL INCORPORATED CMN			x				
SP	CONAGRA BRANDS INC CMN			x				
SP	CONOCO RESOURCES INC. CMN			x				
SP	CONDOPHILIPS CMN			x				
SP	CONSOLIDATED EDISON INC CMN			x				
SP	CONSTELLATION BRANDS INC CMN CLASS A			x				
SP	CONTINENTAL RESOURCES, INC CMN			x				
SP	COOPER COMPANIES INC (NEW) CMN			x				
SP	COPA HOLDINGS, S.A. CMN CLASS A			x				
SP	CORE LABORATORIES N.V. CMN			x				
SP	CORNING INC CORP CMN			x				
SP	COSTAM GROUP, INC. CMN			x				
SP	COSTCO WHOLESALE CORPORATION CMN			x				
SP	COTY, INC. CMN CLASS A			x				
SP	CROWN CASTLE INT'L CORP CMN			x				
SP	CSRA INC. CMN			x				
SP	CSX CORPORATION CMN			x				
SP	CUBESMART CMN			x				
SP	CUMMINS INC COMMON STOCK			x				
SP	CVS HEALTH CORP CMN			x				
SP	D.L. HORTON, INC. CMN			x				
SP	DAMAKER CORPORATION CMN			x				
SP	DARDEN RESTAURANTS, INC. CMN			x				
SP	DAVITA, INC. CMN			x				
SP	DCT INDUSTRIAL TRUST INC. CMN			x				
SP	DEERE & COMPANY CMN			x				
SP	DELL TECHNOLOGIES INC CMN			x				
SP	DELPHI TECHNOLOGIES INC CMN			x				
SP	DELTA AIR LINES, INC. CMN			x				
SP	DENTSYLY SHIRNA INC CMN			x				
SP	DEVON ENERGY CORPORATION (NEW) CMN			x				
SP	DEXCOM, INC. CMN			x				
SP	DIAMONDBACK ENERGY INC CMN			x				
SP	DICK'S SPORTING GOODS INC CMN			x				
SP	DIGITAL REALTY TRUST, INC. CMN			x				

Schedule A: Assets and "Unearned" Income

SP/PC/IT	Asset Name	Elif	Max	Min	Block B: Value of Asset	Block C: Type of Income	
						Block D: Amount of Income	Block E: Transaction
1099	Rita Ahuja 1995 Trust				\$ 0	\$ 1,000	\$ 1
SP	DISCOVER FINANCIAL SERVICES CMN				\$ 15,000	\$ 1,001	
SP	DISCOVERY INC - A CMN SERIES A				\$ 50,000	\$ 15,001	
SP	DISCOVERY INC CMN				\$ 100,000	\$ 50,001	
SP	DOLLAR GENERAL CORPORATION CMN				\$ 250,000	\$ 100,001	
SP	DOLLAR TREES STORES, INC. CMN				\$ 500,000	\$ 250,001	
SP	DOMINION ENERGY INC CMN				\$ 1,000,000	\$ 500,001	
SP	DOMINO'S PIZZA, INC. CMN				\$ 5,000,000	\$ 1,000,001	
SP	DOUGLAS BENNETT, INC. CMN				\$ 25,000,000	\$ 5,000,001	
SP	DOVER CORPORATION CMN				\$ 50,000,000	\$ 25,000,001	
SP	DOW CHEMICAL CO CMN				\$ 2,000,000,000	\$ 50,000,001	
SP	DOWDURON INC. CMN						
SP	DR PEPPER SNAPPLE GROUP, INC. CMN						
SP	DTE ENERGY COMPANY CMN						
SP	DUKE ENERGY CORPORATION CMN						
SP	DUKE REALTY CORPORATION CMN						
SP	DIXI TECHNOLOGY COMPANY CMN						
SP	E*TRADE FINANCIAL CORPORATION CMN						
SP	E.I. DU PONT DE NEMOURS AND CO CMN						
SP	EAST WEST BANK CORP INC CMN						
SP	EASTMAN CHEMICAL COMPANY CMN						
SP	EATON CORP PLC CMN						
SP	EBAY INC. CMN						
SP	ECOLAB INC. CMN						
SP	EDISON INTERNATIONAL CMN						
SP	EDWARDS LIFESCIENCES CORPORATION CMN						
SP	ELC ELECTRONIC ARTS CMN						
SP	ELI LILLY & CO CMN						
SP	EMERSON ELECTRIC CO. CMN						
SP	ENDO INTERNATIONAL PLC CMN						
SP	EMERGEN CORP CMN						
SP	ENERGIZER HOLDINGS, INC. CMN						
SP	ENERGY TRANSFER PARTNERS, L.P. CMN						
SP	ENERGY TRANSFER, LP CMN						
SP	ENERGY CORPORATION CMN						
SP	ENTERPRISE PRODUCTS PART LP CMN						
SP	ENVISION HEALTHCARE CORPORATION CMN						
SP	EOG RESOURCES INC CMN						
SP	EPR PROPERTIES CMN						
SP	EQT CORPORATION CMN						
SP	EQT MIDSTREAM PARTNERS, LP CMN						
SP	EQUIFAX INC. CMN						
SP	EQUINIX, INC. REIT						
SP	EQUITY RESIDENTIAL CMN						
SP	ESSO PROPERTY TRUST INC CMN						
SP	ESTEE LAUDER COS INC CL-A CMN CLASS A						
SP	EURONET WORLDWIDE INC CMN						
SP	EVEREST RE GROUP LTD CMN						
SP	EVERSOURCE ENERGY CMN						
SP	EXELIXIS, INC. CMN						
SP	EKELON CORPORATION CMN						

P, S, SP(art), or E
SP(art)
SP(art)

Transaction
Block E:

None
Dividends
Rent
Interest
Capital Gains
Excepted / Blind Trust
Tax Deferred
Partnership Income

0
0
Block D: Amount of Income

Spouse / DC Income over 1000000

Schedule A: Assets and "Unearned" Income

Schedule A: Assets and "Unearned" Income

Schedule A: Assets and "Unearned" Income

SP/DC/JT	Asset Name	Elif	Max	Min	Block B: Value of Asset	Block C: Type of Income		Block D: Amount of Income	Block E: Transaction
						None	Dividends		
1099	Ritu Ahuja 1995 Trust								
SP	IMCO PHARMACEUTICALS, INC. CMN				\$ 1,000	\$ 1			
SP	IOVIA HOLDINGS INC CMN				\$ 15,000	\$ 1,001			
SP	IRON MOUNTAIN INCORPORATED CMN				\$ 50,000	\$ 15,001			
SP	JTB HUNT TRAS SVCS INC CMN	x	x		\$ 100,000	\$ 50,001			
SP	J. M. SIMONICK COMPANY LTD CMN	x	x		\$ 250,000	\$ 100,001			
SP	JACOB'S ENGINEERING GRP CMN	x	x		\$ 500,000	\$ 250,001			
SP	JAZZ PHARMACEUTICALS PLC CMN	x	x		\$ 1,000,000	\$ 500,001			
SP	JBIG SMITH PROPERTIES CMN REIT	x	x		\$ 5,000,000	\$ 1,000,001			
SP	JETBLUE AIRWAYS CORPORATION CMN	x	x		\$ 25,000,000	\$ 5,000,001			
SP	JOHNSON & JOHNSON CMN	x	x		\$ 50,000,000	\$ 25,000,001			
SP	JOHNSON CONTROLS INTERNATIONAL CMN	x	x		\$ 2,000,000,000	\$ 50,000,001			
SP	JPMORGAN CHASE & CO CMN	x	x						
SP	JUNIPER NETWORKS, INC. CMN	x	x						
SP	JUNO THERAPEUTICS, INC. CMN	x	x						
SP	KANSAS CITY SOUTHERN CMN	x	x						
SP	KAR AUCTION SERVICES, INC. CMN	x	x						
SP	KELLOGG COMPANY CMN	x	x						
SP	KEYCORP CMN	x	x						
SP	KIRKBY REALTY CORP COMMON STOCK	x	x						
SP	KIMBERLY-CLARK CORPORATION CMN	x	x						
SP	KIMCO REALTY CORPORATION CMN	x	x						
SP	KINDER MORGAN INC CMN CLASS P	x	x						
SP	KLA-TENCOR CORPORATION CMN	x	x						
SP	KOHLS CORP WISCONSIN CMN	x	x						
SP	KROGER COMPANY CMN	x	x						
SP	L BRANDS, INC. CMN	x	x						
SP	LB TECHNOLOGIES INC CMN	x	x						
SP	LABORATORY CORPORATION OF AMER CMN	x	x						
SP	LAM RESEARCH CORPORATION CMN	x	x						
SP	LAREDO PETROLEUM INC. CMN	x	x						
SP	LEAR CORPORATION CMN	x	x						
SP	LEGG MASON INC CMN	x	x						
SP	LEGGETT & PLATT, INCORPORATED CMN	x	x						
SP	LENMAR CORP CMN CLASS B	x	x						
SP	LENMAR CORPORATION CMN CLASS A	x	x						
SP	LEUCADIA NATIONAL CORP CMN	x	x						
SP	LEVEL 3 COMMUNICATIONS INC CMN	x	x						
SP	LIBERTY BROADBAND CORP CMN CLASS A	x	x						
SP	LIBERTY EXPEDIA HOLDINGS, INC. CMN SERIES A	x	x						
SP	LIBERTY GLOBAL PLC CMN CLASS A	x	x						
SP	LIBERTY GLOBAL PLC CMN CLASS C	x	x						
SP	LIBERTY MEDIA CORPORATION SERIES A LIBERTY SHUSHUM CMN	x	x						
SP	LIBERTY MEDIA CORPORATION SERIES C LIBERTY SHUSHUM CMN	x	x						
SP	LIBERTY PROPERTY TRUST SBLC CMN	x	x						
SP	LINCOLN MATH CORP INC CMN	x	x						
SP	LIONS GATE ENTERTAINMENT CORP. CMN CLASS A	x	x						
SP	LIONS GATE ENTERTAINMENT CORP. CMN CLASS B	x	x						

Schedule A: Assets and "Unearned" Income

Schedule A: Assets and "Unearned" Income

SP/DC/IT	Asset Name	E/F	Max	Min	Block B: Value of Asset	Block C: Type of Income	
						Block D: Amount of Income	Block E: Transaction
1099	BhuAlaya 1995 Trust						
SP	MURPHY OIL CORPORATION CMN		X				
SP	MYLAN NV CMN						
SP	NABORS INDUSTRIES LTD. CMN						
SP	NATIONAL CIVILWELL VARCO INC COMMON STOCK CMN						
SP	NATIONAL RETAIL PROPERTIES INC CMN						
SP	NAVENT CORPORATION CMN						
SP	NEC CORPORATION CMN						
SP	NETAPP, INC. CMN						
SP	NETFLIX, INC. CMN						
SP	NEUROCHINE BIOSCIENCES, INC. CMN						
SP	NEWELL BRANDS INC CMN						
SP	NEWFIELD EXPLORATION CO. CMN						
SP	NEWMOON MINING CORPORATION CMN						
SP	NEWS CORPORATION CMN CLASS A						
SP	NEXTEA ENERGY, INC. CMN						
SP	NIELSEN HOLDINGS PLC CMN						
SP	NIKE CLASS-A CMN CLASS B						
SP	NISOURCE INC. CMN						
SP	NOBLE ENERGY INC CMN						
SP	NORDSTROM, INC. CMN						
SP	NORFOLK SOUTHERN CORP CMN						
SP	NORTHERN TRUST CORP CMN						
SP	NORTHROP GRUMMAN CORP CMN						
SP	NORWEGIAN CRUISE LINE HOLDG LTD CMN						
SP	NRG ENERGY, INC. CMN						
SP	NUANCE COMMUNICATIONS, INC. CMN						
SP	NUCOR CORPORATION CMN						
SP	NVIDIA CORPORATION CMN						
SP	NXP SEMICONDUCTORS N.V. CMN						
SP	Occidental Petroleum Corp CMN						
SP	OCEANERING INTERNATIONAL INC CMN						
SP	OLD DOMINION GAS LINES INC CMN						
SP	OLD REPUBLIC INT'L CORP CMN						
SP	OMEGA HEALTHCARE INVESTORS INC CMN						
SP	OMNICON GROUP CMN						
SP	ON SEMICONDUCTOR CORPORATION CMN						
SP	ONEMAIN HOLDINGS, INC CMN						
SP	ONEOK INC CMN						
SP	ONEOK PARTNERS, L.P. - LIMITED PARTNERS INTEREST CMN						
SP	OPKO HEALTH INC CMN						
SP	ORACLE CORPORATION CMN						
SP	ORELLI AUTOMOTIVE, INC. CMN						
SP	OSHKOSH CORPORATION CMN						
SP	P G & E CORPORATION CMN						
SP	PACCAR INC CMN						
SP	PACKAGING CORP OF AMERICA COMMON STOCK						
SP	PACWEST BANCORP CMN						
SP	PALO ALTO NETWORKS INC. CMN						
SP	PARK HOTELS & RESORTS INC. CMN						
SP	PARKER-HANNIFIN CORP. CMN						

Schedule A: Assets and "Unearned" Income

SP/DC/IT Asset Name	Block B: Value of Asset	Block C: Type of Income		Block D: Amount of Income	Block E: Transaction
		Min	Max		
Rituals Alive 1995 Trust					
1,099					
PARSLE ENERGY, INC. CMN					
SP					
PATTERSON-LUTE ENERGY, INC. ORD CMN REG OFFER 23054738					
SP					
PAYCHEX, INC. CMN					
SP					
PAYPAL HOLDINGS, INC. CMN					
SP					
PENSKE AUTOMOTIVE GROUP, INC. CMN					
SP					
PENTAIR PLC. CMN					
SP					
PEOPLE'S UNITED FINANCIAL, INC. CMN					
PEPSICO, INC. CMN					
PERKINELMER, INC. CMN					
SP					
PERRIGO CO. PLC. CMN					
SP					
PFIZER, INC. CMN					
SP					
PHILIP MORRIS INTL INC CMN					
SP					
PHILLIPS 66 PARTNERS LP CMN					
SP					
PREDOMINANT OFFICE REALTY TRUST, CMN					
SP					
PIGLIN'S PRIDE CORPORATION CMN					
SP					
PINNACLE FOODS INC CMN					
SP					
PINNACLE WEST CAPITAL CORP CMN					
SP					
PIONEER NATURAL RESOURCES CO CMN					
SP					
PITNEY-BOWES INC CMN					
SP					
PLAINS ALL AMERICAN PIPELINE LP, COMMON UNITS					
SP					
PLATFORM SPECIALTY PRODUCTS CO CMN					
SP					
PNIC FINANCIAL SERVICES GROUP, CMN					
SP					
POST HOLDINGS, INC. CMN					
SP					
PPG INDUSTRIES, INC. CMN					
SP					
PPL CORPORATION CMN					
SP					
PRAXAIR, INC. CMN					
SP					
PRICELINE GROUP INC/THE CMN					
SP					
PRINCIPAL FINANCIAL GROUP, INC CMN					
SP					
PROCTER & GAMBLE COMPANY (THE) CMN					
SP					
PROLOGIS INC CMN					
SP					
PROSPERITY BANCSHARES, INC. CMN					
SP					
PRUDENTIAL FINANCIAL INC CMN					
SP					
PTC INC CMN					
SP					
PUBLIC STORAGE CMN					
SP					
PUBLIC-SWITZERLAND GROUP HOLDING CO					
SP					
PULITZERGROUP INC CMN					
SP					
PUTT/NSP @ 226 EXP 08/18/2017					
SP					
PUTT/NSP @ 228 EXP 06/30/2017					
SP					
PUTT/NSP @ 232 EXP 07/21/2017					
SP					
PUTT/NSP @ 233 EXP 05/16/2017					
SP					
PUTT/NSP @ 233 EXP 07/21/2017					
SP					
PUTT/NSP @ 233 EXP 11/17/2017					
SP					
PUTT/NSP @ 235 EXP 08/25/2017					
SP					
PUTT/NSP @ 236 EXP 10/20/2017					
SP					
PUTT/NSP @ 238 EXP 07/07/2017					
SP					
PUTT/NSP @ 238 EXP 10/20/2017					
SP					
PUTT/NSP @ 239 EXP 08/06/2017					
SP					
PUTT/NSP @ 240 EXP 09/19/2017					
SP					
PUTT/NSP @ 242 EXP 09/29/2017					
SP					
PUTT/NSP @ 242 EXP 09/29/2017					

Schedule A: Assets and "Unearned" Income

Page 47 of 149
Rohit Khanna

SP/DC/IT	Asset Name	EIF	Max	Min	Block B: Value of Asset
1099	Rhu Shujp 1995 Trust				\$ 0
SP	PUT/KSP	@ 245 EXP 11/03/2017	X		\$ 1,000 \$ 1
SP	PUT/KSP	@ 247 EXP 12/15/2017	X		\$ 15,000 \$ 1,001
SP	PUT/KSP	@ 249 EXP 02/16/2018	X		\$ 50,000 \$ 15,001
SP	PUT/KSP	@ 249 EXP 12/04/2017	X		\$ 100,000 \$ 50,001
SP	PUT/KSP	@ 250 EXP 01/19/2018	X		\$ 250,000 \$ 100,001
SP	PUT/KSP	@ 250 EXP 11/19/2017	X		\$ 500,000 \$ 250,001
SP	PUT/KSP	@ 254 EXP 01/05/2018	X		\$ 1,000,000 \$ 500,001
SP	PUT/KSP	@ 255 EXP 12/21/2017	X		\$ 5,000,000 \$ 1,000,001
SP	PUT/KSP	@ 261 EXP 02/16/2018	X		\$ 25,000,000 \$ 5,000,001
SP	PUT/KSP	@ 263 EXP 01/26/2018	X		\$ 50,000,000 \$ 25,000,001
SP	PVH CORP CMN				\$ 2,000,000,000 \$ 50,000,001
SP	QEP RESOURCES INC CMN				
SP	QIAGEN N.V. CMN				
SP	CORVO INC CMN				
SP	QUALCOMM INC CMN				
SP	QUANTA SERVICES INC CMN				
SP	QUEST DIAGNOSTICS INCORPORATED CMN				
SP	RALPH LAUREN CORP CMN CLASS A				
SP	RANGE RESOURCES CORPORATION CMN				
SP	RAYMOND JAMES FINANCIAL, INC CMN				
SP	RAYTHEON COMPANY CMN				
SP	REALTY INCOME CORPORATION CMN				
SP	RED HAT, INC. CMN				
SP	REGAL BELLOTTI CORP CMN				
SP	REGAL ENTERTAINMENT GROUP CMN CLASS A				
SP	REGENCY CENTERS CORPORATION CMN				
SP	REGENERON PHARMACEUTICALS INC CMN				
SP	REGIONS FINANCIAL CORPORATION CMN				
SP	REINSURANCE GROUP AMER INC CMN				
SP	RELIANCE STEEL & ALUMINUM CO CMN				
SP	REPUBLIC SERVICES INC CMN				
SP	RESMED INC CMN				
SP	REYNOLDS A-AMERICAN INC CMN				
SP	RICE MIDSTREAM PARTNERS, LP CMN				
SP	ROBERT HALF INTERNATIONAL INC. CMN				
SP	ROCKWELL AUTOMATION INC CMN				
SP	ROCKWELL COLLINS, INC CMN				
SP	ROPER TECHNOLOGIES INC CMN				
SP	ROSS STORES, INC CMN				
SP	ROYAL CARIBBEAN CRUISES LTD (ISIN: LRO008862863				
SP	RSC PERMANENT INC CMN				
SP	RYDER SYSTEMS INC CMN				
SP	S&P GLOBAL INC. CMN				
SP	SABRE CORPORATION CMN				
SP	SALESFORCE.COM, INC. CMN				
SP	SALLY BEAUTY HOLDINGS, INC. CMN				
SP	SANTANDER CONSUMER USA HOLDING CMN				
SP	SBA COMMUNICATIONS CORPORATION CMN				
SP	SCAMA CORP CMN				

Schedule A: Assets and "Unearned" Income

SP/C/IT	Asset Name	Min	Max	Block B: Value of Asset
1099	R&H-Ashby 1995 Trust			
SP	SCHLUMBERGER LTD CMN			
SP	SCRIBPS NETWORKS INTERACTIVE CMN CLASS A			
SP	SEAGATE TECHNOLOGY PLC CMN			
SP	SEALED AIR CORPORATION CMN			
SP	SEATTLE GENETICS INC CMN			
SP	SEMIGROUP CORPORATION CMN CLASS A			
SP	SEMIRA ENERGY CMN			
SP	SENIOR HOUSING PROPERTIES TR CMN			
SP	SENSATA TECHNOLOGIES HLDGS INC V CMN			
SP	SERVICENOW INC CMN			
SP	SHERWIN-WILLIAMS CO CMN			
SP	SIGNATURE BANK CMN			
SP	SINET JEWELERS LIMITED CMN			
SP	SIMON PROPERTY GROUP INC CMN			
SP	SIRIUS XM HOLDINGS INC CMN			
SP	SKECHERS USA INC CLA CMN CLASS A			
SP	SKYWORKS SOLUTIONS INC CMN			
SP	SL GREEN REALTY CORP CMN			
SP	SM ENERGY COMPANY CMN			
SP	SNAP-ON INC CMN			
SP	SOUTHERN COPPER CORPORATION CMN			
SP	SOUTHWEST AIRLINES CO CMN			
SP	SPECTRUM BRANDS INC CMN			
SP	SPRINT AIRLINES INC CMN			
SP	SPRINT CAPITAL INC CMN			
SP	SPRINKLE INC CMN			
SP	SPRINT CORPORATION CMN			
SP	STANLEY BLACK & DECKER INC CMN			
SP	STAPLES INC CMN			
SP	STARBUCKS CORP CMN			
SP	STATE STREET CORPORATION (NEW) CMN			
SP	STEEL DYNAMICS INC CMN			
SP	STERICYCLE INC CMN			
SP	STRYKER CORPORATION CMN			
SP	SUNTRUST BANKS INC CMN			
SP	SUB FINANCIAL GROUP CMN			
SP	SYMANTEC CORPORATION CMN			
SP	SYNCHRONY FINANCIAL CMN			
SP	SYNTEXIS INC CMN			
SP	T. ROWE PRICE GROUP INC CMN			
SP	TABLEAU SOFTWARE INC CMN CLASS A			
SP	TAPESTRY INC CMN			
SP	TAPESTRY INC CMN			
SP	TARGA RESOURCES CORP CMN			
SP	TARGET CORPORATION CMN			
SP	TCI FINANCIAL CORP MNM			
SP	TD AMERITRADE HOLDING CORP CMN			
SP	TE CONNECTIVITY LTD CMN			
SP	TECHINPMAC PLC CMN			

Schedule A: Assets and "Unearned" Income

SP/DC/JT	Asset Name	Min		Max		Block B: Value of Asset
		0	1	0	1	
1099	RhuAhu 1995 Trust					
SP	TEGNA INC. CMN					
SP	TELEFLIX INC. CMN					
SP	TELEPHONE AND DATA SYS INC CMN					
SP	TERADATA CORPORATION CMN	x	x	x	x	
SP	TESARO INC CMN					
SP	TEXAS INSTRUMENTS INC. CMN					
SP	TEXTRON INC DEL. CMN					
SP	THE BANK OF NY MELLON CORP CMN					
SP	THE GOLDMAN SACHS GROUP, INC. LINKED TO EURO STOXX 50 PR					
SP	UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 09/29/2017					
SP	THE GOLDMAN SACHS GROUP, INC. LINKED TO RUSSELL 2000 INDEX UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 09/29/2017	x	x	x	x	
SP	THE GOODFELLOW & RUBBER CO. CMN					
SP	THE HERSHEY COMPANY CMN					
SP	THE HOME DEPOT, INC. CMN					
SP	THE KRAFT HEINZ CO CMN					
SP	THE MICHAEL'S COMPANIES, INC. CMN					
SP	THE PROGRESSIVE CORPORATION CMN					
SP	THE SOUTHERN CO. CMN					
SP	THE TRAVELERS COMPANIES, INC C CMN					
SP	THE WILLIAMS COMPANIES, INC CMN					
SP	THEMCO FISHER SCIENTIFIC INC CMN					
SP	THOM INDUSTRIES INC CMN					
SP	TIFFANY & CO CMN					
SP	TIMKEN CO CMN					
SP	TIA COMPANIES INC (NEW) CMN					
SP	TRANSOCEAN LTD. CMN					
SP	T-MOBILE US, INC. CMN					
SP	TOLL BROTHERS, INC. CMN					
SP	TREHOUSE FOODS, INC. CMN					
SP	TRIPADVISOR, INC. CMN					
SP	TRACTOR SUPPLY COMPANY CMN					
SP	TRANSCANADA CORP CMN					
SP	TRANSDIGITAL GROUP INCORPORATED CMN					
SP	TRANSOCEAN LTD. CMN					
SP	TWO HARBORS INVESTMENT CORP. CMN					
SP	TYSON FOODS INC CLA CMN CLASS A					
SP	U.S. DOLLAR					
SP	U.S. BANKCORP CMN					
SP	ULTIMA BEAUTY INC CMN					
SP	ULTIMATE SOFTWARE GROUP INC CMN					
SP	UNDER ARMOUR, INC. CMN CLASS A					
SP	UNDER ARMOUR, INC. CMN CLASS C					
SP	UNION PACIFIC CORP. CMN					
SP	UNITED CONTINENTAL HOLDING INC CMN					
SP	UNITED PARCEL SERVICE, INC. CLASS B COMMON STOCK					

Schedule A: Assets and "Unearned" Income

SP/PC/JT	Asset Name		Min	Max	Block B: Value of Asset
1099	RICOH USA 1995 TRUST	ELF			
SP	UNITED RENTALS, INC. CMN				\$ 0 \$ 0
SP	UNITED STATES STEEL CORP CMN				\$ 1,000 \$ 1
SP	UNITED TECHNOLOGIES CORPORATIO CMN				\$ 15,000 \$ 15,001
SP	UNITED THERAPEUTICS CORP CMN				\$ 50,000 \$ 50,001
SP	UNITEDHEALTH GROUP INCORPORATE CMN				\$ 100,000 \$ 100,001
SP	UNIVERSAL HEALTH SVC CL B CMN CLASS B				\$ 250,000 \$ 250,001
SP	UNUM GROUP CMN				\$ 500,000 \$ 250,001
SP	URBAN OUTFITTERS INC CMN				\$ 1,000,000 \$ 500,001
SP	VALERO ENERGY CORPORATION CMN				\$ 5,000,000 \$ 1,000,001
SP	VALERO ENERGY PARTNERS LP CMN				\$ 25,000,000 \$ 5,000,001
SP	VALUUS HOLDINGS LTD CMN				\$ 50,000,000 \$ 25,000,001
SP	VARIANT MEDICAL SYSTEMS INC CMN				\$ 2,000,000,000 \$ 50,000,001
SP	VCA INC. CMN				
SP	VECTREN CORPORATION CMN				
SP	VENTAS, INC. CMN				
SP	VERETT, INC. CMN				
SP	VERISIGN, INC. CMN				
SP	VERISK ANALYTICS, INC. CMN				
SP	VERIZON COMMUNICATIONS, INC. CMN				
SP	VERTEX PHARMACEUTICALS INC CORPO CMN				
SP	VFC CORP CMN				
SP	VORNADO REALTY TRUST CMN				
SP	VOYA FINANCIAL INC CMN				
SP	VULCAN MATERIALS CO CMN				
SP	W.W. GRAINGER INC CMN				
SP	WALGREENS BOOTS ALLIANCE, INC. CMN				
SP	WALMART INC CMN				
SP	WALT DISNEY COMPANY (THE) CMN				
SP	WARNER MEDIA, LLC CMN				
SP	WASTE MANAGEMENT INC CMN				
SP	WATERS CORPORATION COMMON STOCK				
SP	WEBC ENERGY GROUP, INC. CMN				
SP	WEINGARTEN REALTY INVS (SB)CMN				
SP	WELLS FARGO & CO (NEW) CMN				
SP	WEITOWER INC. CMN				
SP	WEISCO INTERNATIONAL INC. CMN				
SP	WESTERN ALLIANCE BANK CORP CMN				
SP	WESTERN DIGITAL CORPORATION CMN				
SP	WESTERN GAS PARTNERS, LP CMN				
SP	WESTERN UNION COMPANY (THE) CMN				
SP	WESTINGHOUSE AIR BRAKE TECHNOLOGY CMN				
SP	WESTLAKE CHEMICAL CORPORATION CMN				
SP	WESTROCK COMPANY CMN				
SP	WEYERHAEUSER COMPANY CMN				
SP	WHIRLPOOL CORP. CMN				
SP	WHITING PETROLEUM CORPORATION CMN				

Schedule A: Assets and "Unearned" Income

SP/C/C/JT	Asset Name	Min	Max	Block B: Value of Asset
1099	Roku Alpha 1995 Trust	0	0	0
SP	WHOLE FOODS MARKET INC.CMN	0	0	0
SP	WILLIAMS-SONOMA, INC.CMN	0	0	0
SP	WILLIS TOWERS WATSON PLC.CMN	0	0	0
SP	WORKDAY, INC. CMN CLASS A	0	0	0
SP	WPX ENERGY, INC. CMN	0	0	0
SP	WYNDHAM DESTINATIONS, INC.CMN	0	0	0
SP	WYNN RESORTS, LIMITED.CMN	0	0	0
SP	XCEL ENERGY INC.CMN	0	0	0
SP	XEROX CORPORATION.CMN	0	0	0
SP	XILINK INCORPORATED.CMN	0	0	0
SP	XL GROUP LTD.CMN	0	0	0
SP	XPO LOGISTICS INC.CMN	0	0	0
SP	XYLEM INC.CMN	0	0	0
SP	YAHOO INC.CMN	0	0	0
SP	YANKEE N.Y. CMN	0	0	0
SP	YUM BRANDS, INC.CMN	0	0	0
SP	ZILLOW GROUP INC.CMN CLASS A	0	0	0
SP	ZILLOW GROUP INC.CMN SERIES C	0	0	0
SP	ZIMMER BIOMET HOLDINGS INC.	0	0	0
SP	ZION'S BANK CORP.CMN	0	0	0
SP	ZOETIS INC. CMN CLASS A	0	0	0
SP	Sunoco Logistics Partners LP	0	0	0
SP	Tesoro Logistics	0	0	0

ELF	Block C: Type of Income	None
		Dividends
		Rent
		Interest
		Capital Gains
		Excepted / Blind Trust
		Tax Deferred
		Partnership Income

P, S, SP/Part, or E	Block D: Amount of Income	0	0	Block E: Transaction
		\$ 200	\$ 0	Spouse / DC Income over 1000000
		\$ 1,000	\$ 201	
		\$ 2,500	\$ 1,001	
		\$ 5,000	\$ 2,501	
		\$ 15,000	\$ 5,001	
		\$ 50,000	\$ 15,001	
		\$ 100,000	\$ 50,001	
		\$ 1,000,000	\$ 100,001	
		\$ 5,000,000	\$ 1,000,001	
		\$ 25,000,000	\$ 5,000,001	
		\$ 50,000,000	\$ 25,000,001	
		\$ 2,000,000,000	\$ 50,000,001	

P, S, SP/Part, or E	Block F: Transaction
P	
P	
P	
P	
P, Spent	

Schedule A: Assets and "Unearned" Income

SP/DC/IT	Asset Name	Block B: Value of Asset	Block C: Type of Income		Block D: Amount of Income	Block E: Transaction
			Min	Max		
SP	M&P Trust Partnership (M&P Trusts Alpha \$4.85 Trust); GS FINANCIAL SQUARE TREASURY	\$ 0	\$ 0	\$ 1,000	\$ 1,001	
SP	THE GOLDMAN SACHS GROUP, INC. LINKED TO EURO STOXX 50 PRICING WITH BUFFER	\$ 0	\$ 15,000	\$ 50,000	\$ 15,001	
SP	THE GOLDMAN SACHS GROUP, INC. LINKED TO S&P 500 INDEX	\$ 0	\$ 50,000	\$ 100,000	\$ 50,001	
SP	THE GOLDMAN SACHS GROUP, INC. LINKED TO S&P 500 INDEX	\$ 0	\$ 250,000	\$ 500,000	\$ 100,001	
SP	THE GOLDMAN SACHS GROUP, INC. LINKED TO S&P 500 INDEX	\$ 0	\$ 500,000	\$ 1,000,000	\$ 250,001	
SP	THE GOLDMAN SACHS GROUP, INC. LINKED TO S&P 500 INDEX	\$ 0	\$ 1,000,000	\$ 5,000,000	\$ 500,001	
SP	THE GOLDMAN SACHS GROUP, INC. LINKED TO S&P 500 INDEX	\$ 0	\$ 5,000,000	\$ 25,000,000	\$ 1,000,001	
SP	THE GOLDMAN SACHS GROUP, INC. LINKED TO S&P 500 INDEX	\$ 0	\$ 25,000,000	\$ 50,000,000	\$ 5,000,001	
SP	THE GOLDMAN SACHS GROUP, INC. LINKED TO S&P 500 INDEX	\$ 0	\$ 50,000,000	\$ 2,000,000,000	\$ 25,000,001	
SP	KKR PRINCIPAL OPPORTUNITIES	x	x	x	x	
SP	VINTAGE IV (P/C)	x	x	x	x	
SP	GS CAPITAL PARTNERS V	x	x	x	x	
SP	INSTITUTIONAL L.P. / GS CP	x	x	x	x	
SP	GS CAPITAL PARTNERS VI, L.P.	x	x	x	x	
SP	PRIVATE EQUITY MANAGERS	x	x	x	x	
SP	THOMAS H. LEE EQUITY VI ACCESS	x	x	x	x	
SP	WEST STREET CAPITAL PARTNERS	x	x	x	x	
SP	MOUNT KELLET CAPITAL GROWTH AND EMERGING MARKETS PRIVATE EQUITY	x	x	x	x	
SP	DANISH ENERGY INVESTORS C LP	x	x	x	x	
SP	WEST STREET ENERGY PARTNERS,	x	x	x	x	
SP	FIDELIS INVESTORS LP (P/C)	x	x	x	x	
SP	GLOBE ATLANTIC (C)	x	x	x	x	
SP	GLOBAL PRIVATE OPPORTUNITIES	x	x	x	x	
SP	ODIM INVESTORS (P/C)	x	x	x	x	
SP	SMS INVESTORS LP (C)	x	x	x	x	
SP	CARIBBEAN REAL ESTATE	x	x	x	x	
SP	PETERSHILL II (P/C)	x	x	x	x	
SP	HEdge FUND SELECT: JAPAN	x	x	x	x	
SP	GLOBAL OPPORTUNITIES LLC	x	x	x	x	
SP	LIBERTY Harbor LLC	x	x	x	x	
SP	West Street Energy Partners A/W1	x	x	x	x	
SP	100% OF FUNDS ASSETS IN ILIQUID INVESTMENTS	x	x	x	x	

Schedule A: Assets and "Unearned" Income

Page 53 of 149
Rohit Khanna

SP/DC/JT	Asset Name	Max	0	0	Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction
Grandchildren's Trust (DC, reporting not applicable last year)								
DC	APPLE INC. CMN		\$	\$	1,000	\$	1	
DC	AXALTA COATING SYSTEMS LTD. CMN		\$	\$	15,000	\$	1,001	
DC	BANK OF AMERICA CORP CMN		\$	\$	50,000	\$	15,001	
DC	BAXTER INTERNATIONAL INC CMN	x	x	x	x	x		
DC	CAESARS ENTERTAINMENT CORP CMN		x	x	x	x		
DC	CBS CORPORATION CMN CLASS B		x	x	x	x		
DC	CORNING INCORPORATED CMN		x	x	x	x		
DC	DEVON ENERGY CORPORATION (NEW) CMN		x	x	x	x		
DC	DISCOVERY INC - A CMN SERIES A		x	x	x	x		
DC	ENTERGRIS, INC. CMN		x	x	x	x		
DC	FIDELIS INVESTORS LP © 95199B75		x	x	x	x		
DC	FIREYE, INC. CMN		x	x	x	x		
DC	FIRST DATA CORPORATION CMN CLASS A		x	x	x	x		
DC	GENERAL MOTORS COMPANY CMN		x	x	x	x		
DC	GLOBAL PRIVATE OPPORTUNITIES PARTNERS II LP © 95199B59	x	x	x	x	x		
DC	GOLDMAN SACHS BANK USA DEPOSIT (RDA)		x	x	x	x		
DC	GROWTH AND EMERGING MARKETS PRIVATE EQUITY MANAGERS LP © 95199BZ2	x	x	x	x	x		
DC	GS FINANCIAL SQUARE TREASURY SOLUTIONS FUND		x	x	x	x		
DC	HANESBRANDS INC. CMN		x	x	x	x		
DC	KKR PRINCIPAL OPPORTUNITIES ACCESS LP © 95199P032	x	x	x	x	x		
DC	KNOWLES CORPORATION CMN		x	x	x	x		
DC	LIVE NATION ENTERTAINMENT INC CMN		x	x	x	x		
DC	MERCK & CO., INC. CMN		x	x	x	x		
DC	MOSAIC COMPANY (THE) CMN		x	x	x	x		
DC	ODM INVESTORS LP © 95199E7	x	x	x	x	x		
DC	PERKINELMER, INC CMN		x	x	x	x		
DC	Pfizer Inc. CMN		x	x	x	x		
DC	REGIONS FINANCIAL CORPORATION CMN		x	x	x	x		
DC	VERSUM MATERIALS, INC. CMN		x	x	x	x		
DC	WALMART INC CMN		x	x	x	x		
DC	SMS INVESTORS LP © 95199JEM9	x	x	x	x	x		
DC	THE GOLDMAN SACHS GROUP, INC. LINKED TO EURO STOCK 50 PR CONTRNT CPN W BUFFER STRUCTURED NOTE DUE 01/18/2018	x	x	x	x	x		
DC	THE GOLDMAN SACHS GROUP, INC. LINKED TO EURO STOXX 50 PR DUAL DIRECTIONAL STRUCTURED NOTE DUE 01/18/2018	x	x	x	x	x		

Schedule A: Assets and "Unearned" Income

Page 54 of 149
Rohit Khanna

SCHEDULE B – TRANSACTIONS

Name: Rohit Khanna

Page _____ of _____

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP. DC. IT	Asset	Purchase	Sale	Partial Sale	Exchange	Check Box if Capital Gain Exceeded \$200	(MONTH/YR) or Quarterly Monthly, or Bi- weekly, if applicable	Type of Transaction							Amount of Transaction
								Date	A	B	C	D	E	F	
SP	Example: Mega Corp Stock		X			X	3/9/17		\$1,001-\$15,000						\$1,001-\$15,000

Please see the attached

Use additional sheets if more space is required.

Schedule B: Transactions

Page 56 of 149
Rohit Khanna

SP, DC, JT	Asset	Type of Transaction				Check Box if Cap Gain Exceeded \$200	Date	Amount of Transaction
		EIF Purchase	Sale	Partial Sale	Exchange			
Touchstone Sands Capital Inst Gr (CISGX)								
SP	Fidelity Cash Reserves (FDRXX)	x	x	x	x		2/21/17	\$15,001-\$50,000
SP	Ritu Ahuja 1994 Trust & Ritu Ahuja 1995 Trust:							
M&R Trust Partnership:								
SP	Kayne Anderson MLP Fund, L.P.	x	x	x	x	1/31/17	\$1,000-\$15,000	
SP	Kayne Anderson MLP Fund, L.P.	x	x	x	x	2/28/17	\$15,001-\$50,000	
SP	Kayne Anderson MLP Fund, L.P.	x	x	x	x	3/31/17	\$100,001-\$250,000	
SP	Kayne Anderson MLP Fund, L.P.	x	x	x	x	4/30/17	\$1,000-\$15,000	
SP	Kayne Anderson MLP Fund, L.P.	x	x	x	x	5/31/17	\$50,001-\$100,000	
SP	Kayne Anderson MLP Fund, L.P.	x	x	x	x	6/30/17	\$100,001-\$250,000	
SP	Kayne Anderson MLP Fund, L.P.	x	x	x	x	7/31/17	\$15,001-\$50,000	
SP	Kayne Anderson MLP Fund, L.P.	x	x	x	x	8/31/17	\$100,001-\$250,000	
SP	Kayne Anderson MLP Fund, L.P.	x	x	x	x	9/30/17	\$15,001-\$50,000	
SP	Kayne Anderson MLP Fund, L.P.	x	x	x	x	10/31/17	\$50,001-\$100,000	
SP	Kayne Anderson MLP Fund, L.P.	x	x	x	x	11/30/17	\$15,001-\$50,000	
SP	Kayne Anderson MLP Fund, L.P.	x	x	x	x	12/31/17	\$15,001-\$50,000	
SP	Kayne Anderson Real Estate Partners IV, L.P.	x	x	x	x	5/1/17	\$1,000-\$15,000	
SP	Kayne Anderson Real Estate Partners IV, L.P.	x	x	x	x	8/31/17	\$50,001-\$100,000	
SP	Kayne Credit Opportunities Fund (QP), L.P.	x	x	x	x	3/31/17	\$1,000-\$15,000	
SP	Kayne Credit Opportunities Fund (QP), L.P.	x	x	x	x	5/4/17	\$1,000-\$15,000	
SP	Kayne Credit Opportunities Fund (QP), L.P.	x	x	x	x	7/31/17	\$1,000-\$15,000	
SP	Resilience Fund IV LP	x	x	x	x	10/31/17	\$1,000-\$15,000	
SP	Resilience Fund IV LP	x	x	x	x	5/9/17	\$50,001-\$100,000	

Schedule B: Transactions

SP, DC, IT	Asset	Type of Transaction				Check Box If Cap Gain Exceeded \$200	Date	Amount of Transaction
		EIF	Purchase	Sale	Partial Sale			
Grandchildren's Trust:								
DC	Kayne Anderson MLP Fund, L.P.	x	x				7/31/17	\$1,000-\$15,000
DC	Kayne Anderson MLP Fund, L.P.	x	x	x			8/31/17	\$15,001-\$50,000
DC	Kayne Anderson MLP Fund, L.P.	x	x	x			9/30/17	\$1,000-\$15,000
DC	Kayne Anderson MLP Fund, L.P.	x	x	x			10/31/17	\$1,000-\$15,000
DC	Kayne Anderson MLP Fund, L.P.	x	x	x			11/1/17	\$15,001-\$50,000
DC	Kayne Anderson Energy Fund 6, LP	x	x	x			10/6/17	\$1,000-\$15,000
DC	Resilience Fund IV LP	x	x	x			10/31/17	\$1,000-\$15,000
DC	Resilience Fund III LP	x	x	x			8/15/17	\$1,000-\$15,000
Ritu Ahuja 1995 Trust:								
Grandchildren's Trust:								
ARECO LP:								
SP	MAI Wealth Income and Growth Fund, LP	x	x	x			4/15/17	\$1,000-\$15,000
SP	MAI Wealth Income and Growth Fund, LP	x	x	x			9/15/17	\$1,000-\$15,000
SP	MAI Wealth Income and Growth Fund, LP	x	x	x			12/27/17	\$15,001-\$50,000
DC	MAI Wealth Income and Growth Fund, LP	x	x	x			12/27/17	\$1,000-\$15,000
SP	MAI Capital Income and Growth Fund II, LP	x	x	x			4/27/17	\$50,001-\$100,000
SP	MAI Capital Income and Growth Fund II, LP	x	x	x			7/20/17	\$50,001-\$100,000
SP	MAI Capital Income and Growth Fund II, LP	x	x	x			8/11/17	\$15,001-\$50,000
DC	MAI Capital Income and Growth Fund II, LP	x	x	x			7/20/17	\$1,000-\$15,000
DC	MAI Capital Income and Growth Fund II, LP	x	x	x			8/11/17	\$1,000-\$15,000
SP	Park Center Plaza Holdings, LP	x					1/3/17	\$500,001-\$1,000,000
SP	MAI Wealth Income and Growth Fund IV, LP	x	x	x			11/1/17	\$50,001-\$100,000
DC	MAI Wealth Income and Growth Fund IV, LP	x	x	x			11/1/17	\$1,000-\$15,000
SP	MAI Diversified Real Estate Income Fund, LP	x	x	x			11/21/17	\$50,001-\$100,000
SP	MAI Diversified Real Estate Income Fund, LP	x	x	x			12/8/17	\$50,001-\$100,000
SP	MAI Diversified Real Estate Income Fund, LP	x	x	x			12/18/17	\$100,001-\$250,000
DC	MAI Diversified Real Estate Income Fund, LP	x	x	x			11/21/17	\$1,000-\$15,000
DC	MAI Diversified Real Estate Income Fund, LP	x	x	x			12/8/17	\$1,000-\$15,000
DC	MAI Diversified Real Estate Income Fund, LP	x	x	x			12/18/17	\$15,001-\$50,000

Schedule B: Transactions

Schedule B: Transactions

Page 59 of 149
Rohit Khanna

EIF	SP, DC, JT	SP	SP	SP	SP	SP	SP
Security Name	GS Buffered PLUS Based on the Value of the EURO STOXX 50 Index due Oct 3, 2019	Vanguard FTSE Europe ETF	SPVVA	VGK	SPWFD	Vanguard FTSE Europe ETF	Allergan
Ticker							
Transaction							
Amount of Transaction	A: None B: \$1-\$1,000 C: \$1,001-\$15,000 D: \$15,001-\$50,000 E: \$50,001-\$100,000 F: \$100,001-\$250,000 G: \$250,001-\$500,000 H: \$500,001-\$1,000,000 I: \$1,000,001-\$5,000,000 J: \$5,000,001-\$25,000,000 K: \$25,000,001-\$50,000,000 L: Over \$50,000,000 Spouse/DC Asset over \$1,000,000						
Type of Transaction	Purchase Sale Partial Sale Exchange						
Capital Gain	Capital Gain Exceeded \$200						
Transaction Date	3/31/2017	7/18/2017	7/21/2017	7/27/2017	1/5/2017		

Schedule B: Transactions

Page 60 of 149
Rohit Khanna

	ELF			SP	SP	SP	SP	SP	SP	SP	SP	SP	SP	SP
Security Name	Allergan	Allergan	Allergan	Allergan	Allergan	Allergan	Allergan	Allergan	Allergan	Allergan	Allergan	Allergan	Allergan	Allergan
Ticker	AGN	AGN	AGN	AGN	AGN	AGN	AGN	ADS	GOOGL	GOOGL	GOOGL	GOOGL	AMZN	AMZN
Transaction														
Amount of Transaction	A: None	B: \$1-\$1,000	C: \$1,001-\$15,000	D: \$15,001-\$50,000	E: \$50,001-\$100,000	F: \$100,001-\$250,000	G: \$250,001-\$500,000	H: \$500,001-\$1,000,000	I: \$1,000,001-\$5,000,000	J: \$5,000,001-\$25,000,000	K: \$25,000,001-\$50,000,000	L: Over \$50,000,000	Spouse/DC Asset over \$1,000,000	
Type of Transaction	Purchase	Sale	Partial Sale	Exchange										
Capital Gain	Capital Gain Exceeded \$200	3/13/2017	5/2/2017	8/4/2017	9/20/2017	3/13/2017	3/13/2017	8/1/2017	9/20/2017	3/13/2017	8/1/2017			
Transaction Date														

Schedule B: Transactions

Page 61 of 149
Rohit Khanna

ELF			SP	SP	SP	SP	SP	SP	SP	SP	SP
SP, DC, JT											
Security Name	Amazon	Amazon	American Tower REIT	American Tower REIT	Celgene Corp	Celgene Corp	Charles Schwab	Charles Schwab			
Ticker	AMZN	AMZN	AMT	AMT	CELG	CELG	SCHW	SCHW			
Transaction											
Amount of Transaction	A: None										
	B: \$1-\$1,000										
	C: \$1,001-\$15,000										
	D: \$15,001-\$50,000										
	E: \$50,001-\$100,000										
	F: \$100,001-\$250,000										
	G: \$250,001-\$500,000										
	H: \$500,001-\$1,000,000										
	I: \$1,000,001-\$5,000,000										
	J: \$5,000,001-\$25,000,000										
	K: \$25,000,001-\$50,000,000										
	L: Over \$50,000,000										
	Spouse/DC Asset over \$1,000,000										
Type of Transaction											
Purchase											
Sale											
Partial Sale											
Exchange											
Capital Gain	Capital Gain Exceeded \$200										
Transaction Date	8/4/2017	9/20/2017	1/5/2017	3/13/2017	3/13/2017	10/11/2017	2/1/2017	3/13/2017			

Schedule B: Transactions

Page 62 of 149
Rohit Khanna

EIF		SP	SP	SP	SP	SP	SP	SP
SP, DC, JT								
Security Name	S Charles Schwab	Charles Schwab	CME Group	CME Group	Cognizant Tech Solutions	Cognizant Tech Solutions	Ecolab Inc	
Ticker	SCHW	SCHW	CME	CME	CTSH	CTSH	ECL	
Transaction								
Amount of Transaction	A: None							
	B: \$1-\$1,000							
	C: \$1,001-\$15,000							
	D: \$15,001-\$50,000							
	E: \$50,001-\$100,000							
	F: \$100,001-\$250,000							
	G: \$250,001-\$500,000							
	H: \$500,001-\$1,000,000							
	I: \$1,000,001-\$5,000,000							
	J: \$5,000,001-\$25,000,000							
	K: \$25,000,001-\$50,000,000							
	L: Over \$50,000,000							
	Spouse/DC Asset over \$1,000,000							
Type of Transaction	Purchase							
	Sale							
	Partial Sale							
	Exchange							
Capital Gain	Capital Gain Exceeded \$200	6/14/2017	9/21/2017	2/1/2017	3/13/2017	3/13/2017	8/7/2017	3/13/2017
Transaction Date								

Schedule B: Transactions

Page 63 of 149
Rohit Khanna

EIF											
SP, DC, JT		SP	SP	SP	SP	SP	SP	SP	SP	SP	
Security Name		Ecolab Inc	Equinix Inc Com Par \$0.001	Facebook	IHS Markit LTD	IHS Markit LTD	Illumina Inc	Illumina Inc	ILMN	ILMN	
Ticker		ECL	EQIX	FB	INFO	INFO	ILMN	ILMN	ILMN	ILMN	
Transaction											
Amount of Transaction	A: None										
	B: \$1-\$1,000										
	C: \$1,001-\$15,000										
	D: \$15,001-\$50,000										
	E: \$50,001-\$100,000										
	F: \$100,001-\$250,000										
	G: \$250,001-\$500,000										
	H: \$500,001-\$1,000,000										
	I: \$1,000,001-\$5,000,000										
	J: \$5,000,001-\$25,000,000										
	K: \$25,000,001-\$50,000,000										
	L: Over \$50,000,000										
	Spouse/DC Asset over \$1,000,000										
Type of Transaction	Purchase										
	Sale										
	Partial Sale										
	Exchange										
Capital Gain	Capital Gain Exceeded \$200	1/12/2017	3/13/2017	3/13/2017	1/5/2017	3/13/2017	2/1/2017	3/13/2017	4/27/2017		
Transaction Date											

Schedule B: Transactions

Page 64 of 149
Rohit Khanna

EIF	SP, DC, JT	SP	SP	SP	SP	SP	SP	SP	SP	SP	SP
Security Name		Illumina Inc	Intuitive Surgical	Intuitive Surgical	Intuitive Surgical	Intuitive Surgical	Netflix	Netflix	Netflix	Nike	Nike
Ticker		ILMN	ISRG	ISRG	ISRG	NFLX	NFLX	NFLX	NKE	NKE	NKE
Transaction											
Amount of Transaction											
A: None											
B: \$1-\$1,000											
C: \$1,001-\$15,000											
D: \$15,001-\$50,000											
E: \$50,001-\$100,000											
F: \$100,001-\$250,000											
G: \$250,001-\$500,000											
H: \$500,001-\$1,000,000											
I: \$1,000,001-\$5,000,000											
J: \$5,000,001-\$25,000,000											
K: \$25,000,001-\$50,000,000											
L: Over \$50,000,000											
Spouse/DC Asset over \$1,000,000											
Type of Transaction											
Purchase											
Sale											
Partial Sale											
Exchange											
Capital Gain	Capital Gain Exceeded \$200										
Transaction Date	9/21/2017	2/9/2017	3/13/2017	11/21/2017	3/13/2017	9/20/2017	10/11/2017	3/13/2017	8/3/2017		

Schedule B: Transactions

Page 65 of 149
Rohit Khanna

	Elif			SP	SP	SP	SP	SP	SP	SP	SP
Security Name				Nvidia	Nvidia	Nvidia	Nvidia	Nvidia	Paypal Holdings	Paypal Holdings	Paypal Holdings
Ticker				NVDA	NVDA	NVDA	NVDA	NVDA	PYPL	PYPL	PYPL
Transaction											
Amount of Transaction	A: None										
	B: \$1-\$1,000										
	C: \$1,001-\$15,000										
	D: \$15,001-\$50,000										
	E: \$50,001-\$100,000										
	F: \$100,001-\$250,000										
	G: \$250,001-\$500,000										
	H: \$500,001-\$1,000,000										
	I: \$1,000,001-\$5,000,000										
	J: \$5,000,001-\$25,000,000										
	K: \$25,000,001-\$50,000,000										
	L: Over \$50,000,000										
	Spouse/DC Asset over \$1,000,000										
Type of Transaction	Purchase										
	Sale										
	Partial Sale										
	Exchange										
Capital Gain	Capital Gain Exceeded \$200										
Transaction Date	2/28/2017	3/13/2017	6/9/2017	9/20/2017	3/13/2017	8/3/2017	8/7/2017	9/20/2017			

Schedule B: Transactions

EIF	SP, DC, JT	SP	SP	SP	SP	SP	SP	SP	SP	SP	SP
Security Name		Priceline Group	Priceline Group	S&P Global Inc	S&P Global Inc	Visa	Visa	Tesla Inc.	Tesla Inc.	(Received in a distribution from an EIF	
Ticker		PCLN	PCLN	SPGI	SPGI	V	V	TSLA	TSLA		
Transaction											
Amount of Transaction	A: None										
B: \$1-\$1,000											
C: \$1,001-\$15,000											
D: \$15,001-\$50,000											
E: \$50,001-\$100,000											
F: \$100,001-\$250,000											
G: \$250,001-\$500,000											
H: \$500,001-\$1,000,000											
I: \$1,000,001-\$5,000,000											
J: \$5,000,001-\$25,000,000											
K: \$25,000,001-\$50,000,000											
L: Over \$50,000,000											
Spouse/DC Asset over \$1,000,000											
Type of Transaction											
Purchase											
Sale											
Partial Sale											
Exchange											
Capital Gain	Capital Gain Exceeded \$200										
Transaction Date	3/13/2017	12/8/2017	3/1/2017	3/13/2017	3/13/2017	9/20/2017	3/3/2017	3/10/2017			

Schedule B: Transactions

Page 67 of 149
Rohit Khanna

	EIF						EIF		EIF
SP, DC, JT		SP	SP	SP	SP	SP	SP	SP	SP
Security Name									
Ticker	TSLA	BOX	SPWQR	IWM	iShares Russell 2000 ETF	Riverview Strategic Opportunities Fund I LP	Riverview Strategic Opportunities Fund I LP		
Transaction									
Amount of Transaction	A: None								
	B: \$1-\$1,000								
	C: \$1,001-\$15,000								
	D: \$15,001-\$50,000								
	E: \$50,001-\$100,000								
	F: \$100,001-\$250,000								
	G: \$250,001-\$500,000								
	H: \$500,001-\$1,000,000								
	I: \$1,000,001-\$5,000,000								
	J: \$5,000,001-\$25,000,000								
	K: \$25,000,001-\$50,000,000								
	L: Over \$50,000,000								
	Spouse /DC Asset over \$1,000,000								
Type of Transaction									
Purchase									
Sale									
Partial Sale									
Exchange									
Capital Gain	Capital Gain Exceeded \$200	3/24/2017	11/10/2017	10/12/2017	5/22/2017	1/31/2017	5/30/2017		
Transaction Date									

Schedule B: Transactions

Page 68 of 149
Rohit Khanna

Security Name	EIF	EIF	EIF	EIF
Ticker	SP, DC, JT	SP	SP	SP
Transaction		Riverview Strategic Opportunities Fund (LP) BAQ57DPV	Riverview Strategic Opportunities Fund I LP BAQ57DPV	York Credit Opportunities Fund LP GRY14
Amount of Transaction				
A: None				
B: \$1-\$1,000				
C: \$1,001-\$15,000				
D: \$15,001-\$50,000				
E: \$50,001-\$100,000				
F: \$100,001-\$250,000				
G: \$250,001-\$500,000				
H: \$500,001-\$1,000,000				
I: \$1,000,001-\$5,000,000				
J: \$5,000,001-\$25,000,000				
K: \$25,000,001-\$50,000,000				
L: Over \$50,000,000				
Spouse /DC Asset over \$1,000,000				
Type of Transaction				
Purchase				
Sale				
Partial Sale				
Exchange				
Capital Gain	Capital Gain Exceeded \$200			
Transaction Date	7/28/2017	12/15/2017	5/5/2017	

Schedule B: Transactions

Page 69 of 149
Rohit Khanna

EF				
SP, DC, JT				
Security Name	MSILF Tax Exempt Port Inst	MSILF Tax Exempt Port Inst	MSILF Tax Exempt Port Inst	MSILF Tax Exempt Port Inst
Ticker	MTXXX	MTXXX	MTXXX	MTXXX
Transaction				
Amount of Transaction	A: None	B: \$1-\$1,000	C: \$1,001-\$15,000	D: \$15,001-\$50,000
	E: \$50,001-\$100,000	F: \$100,001-\$250,000	G: \$250,001-\$500,000	H: \$500,001-\$1,000,000
	I: \$1,000,001-\$5,000,000	J: \$5,000,001-\$25,000,000	K: \$25,000,001-\$50,000,000	L: Over \$50,000,000
	Spouse/DC Asset over \$1,000,000			
Type of Transaction	Purchase	Sale	Partial Sale	Exchange
Capital Gain	Capital Gain Exceeded \$200			
Transaction Date		4/18/2017	4/28/2017	5/31/2017
				6/30/2017

Note: Dependent Child reporting was not applicable last year

Schedule B: Transactions

Page 70 of 149
Rohit Khanna

ELF				
SP, DC, JT				
Security Name	MSILF Tax Exempt Port Inst	MSILF Tax Exempt Port Inst	MSILF Tax Exempt Port Inst	MSILF Tax Exempt Port Inst
Ticker	MTXXX	MTXXX	MTXXX	MTXXX
Transaction				
Amount of Transaction	A: None	B: \$1-\$1,000	C: \$1,001-\$15,000	D: \$15,001-\$50,000
	E: \$50,001-\$100,000	F: \$100,001-\$250,000	G: \$250,001-\$500,000	H: \$500,001-\$1,000,000
	I: \$1,000,001-\$5,000,000	J: \$5,000,001-\$25,000,000	K: \$25,000,001-\$50,000,000	L: Over \$50,000,000
	Spouse/DC Asset over \$1,000,000			
Type of Transaction	Purchase	Sale	Partial Sale	Exchange
Capital Gain	Capital Gain Exceeded \$200			
Transaction Date	7/31/2017	7/31/2017	8/18/2017	8/18/2017

Note: Dependent Child reporting was not applicable last year

Schedule B: Transactions

Page 71 of 149
Rohit Khanna

EIF	SP, DC, JT	MSILF Tax Exempt Port Inst			
Security Name		MTXXX	MTXXX	MTXXX	MTXXX
Ticker					
Transaction					
Amount of Transaction	A: None				
	B: \$1-\$1,000				
	C: \$1,001-\$15,000				
	D: \$15,001-\$50,000				
	E: \$50,001-\$100,000				
	F: \$100,001-\$250,000				
	G: \$250,001-\$500,000				
	H: \$500,001-\$1,000,000				
	I: \$1,000,001-\$5,000,000				
	J: \$5,000,001-\$25,000,000				
	K: \$25,000,001-\$50,000,000				
	L: Over \$50,000,000				
	Spouse/DC Asset over \$1,000,000				
Type of Transaction	Purchase				
	Sale				
	Partial Sale				
	Exchange				
Capital Gain	Capital Gain Exceeded \$200				
Transaction Date		8/31/2017	8/31/2017	9/20/2017	9/20/2017

Note: Dependent Child reporting was not applicable last year

Schedule B: Transactions

Page 72 of 149
Rohit Khanna

EEF				
SP, DC, JT				
Security Name	MSILF Tax Exempt Port Inst	MSILF Tax Exempt Port Inst	MSILF Tax Exempt Port Inst	MSILF Tax Exempt Port Inst
Ticker	MTXXX	MTXXX	MTXXX	MTXXX
Transaction				
Amount of Transaction	A: None	B: \$1-\$1,000	C: \$1,001-\$15,000	D: \$15,001-\$50,000
	E: \$50,001-\$100,000	F: \$100,001-\$250,000	G: \$250,001-\$500,000	H: \$500,001-\$1,000,000
	I: \$1,000,001-\$5,000,000	J: \$5,000,001-\$25,000,000	K: \$25,000,001-\$50,000,000	L: Over \$50,000,000
	Spouse/DC Asset over \$1,000,000			
Type of Transaction	Purchase	Sale	Partial Sale	Exchange
Capital Gain	Capital Gain Exceeded \$200			
Transaction Date	9/29/2017	9/29/2017	10/12/2017	10/12/2017

Note: Dependent Child reporting was not applicable last year

Schedule B: Transactions

Page 73 of 149
Rohit Khanna

EIF					
SP, DC, JT					
Security Name		MSILF Tax Exempt Port Inst			
Ticker		MTXXX	MTXXX	MTXXX	MTXXX
Transaction					
Amount of Transaction	A: None				
	B: \$1-\$1,000				
	C: \$1,001-\$15,000				
	D: \$15,001-\$50,000				
	E: \$50,001-\$100,000				
	F: \$100,001-\$250,000				
	G: \$250,001-\$500,000				
	H: \$500,001-\$1,000,000				
	I: \$1,000,001-\$5,000,000				
	J: \$5,000,001-\$25,000,000				
	K: \$25,000,001-\$50,000,000				
	L: Over \$50,000,000				
	Spouse/DC Asset over \$1,000,000				
Type of Transaction	Purchase				
	Sale				
	Partial Sale				
	Exchange				
Capital Gain	Capital Gain Exceeded \$200				
Transaction Date		10/31/2017	10/31/2017	11/16/2017	11/16/2017

Note: Dependent Child reporting was not applicable last year

Schedule B: Transactions

Page 74 of 149
Rohit Khanna

EIF					
SP, DC, JT		MSILF Tax Exempt Port Inst			
Ticker		MTXXX	MTXXX	MTXXX	MTXXX
Transaction					
Amount of Transaction	A: None B: \$1-\$1,000 C: \$1,001-\$15,000 D: \$15,001-\$50,000 E: \$50,001-\$100,000 F: \$100,001-\$250,000 G: \$250,001-\$500,000 H: \$500,001-\$1,000,000 I: \$1,000,001-\$5,000,000 J: \$5,000,001-\$25,000,000 K: \$25,000,001-\$50,000,000 L: Over \$50,000,000				
Type of Transaction	Spouse/DC Asset over \$1,000,000 Purchase Sale Partial Sale Exchange Capital Gain				
Capital Gain	Capital Gain Exceeded \$200				
Transaction Date		11/30/2017	11/30/2017	12/4/2017	12/4/2017

Note: Dependent Child reporting was not applicable last year

Schedule B: Transactions

EIF			
SP, DC, JT			
Security Name	MSILF Tax Exempt Port Inst	MSILF Tax Exempt Port Inst	MSILF Tax Exempt Port Inst
Ticker	MTXXX	MTXXX	MTXXX
Transaction			
Amount of Transaction	A: None		
	B: \$1-\$1,000		
	C: \$1,001-\$15,000		
	D: \$15,001-\$50,000		
	E: \$50,001-\$100,000		
	F: \$100,001-\$250,000		
	G: \$250,001-\$500,000		
	H: \$500,001-\$1,000,000		
	I: \$1,000,001-\$5,000,000		
	J: \$5,000,001-\$25,000,000		
	K: \$25,000,001-\$50,000,000		
	L: Over \$50,000,000		
	Spouse/DC Asset over \$1,000,000		
Type of Transaction	Purchase		
	Sale		
	Partial Sale		
	Exchange		
Capital Gain	Capital Gain Exceeded \$200		
Transaction Date	12/13/2017	12/13/2017	12/29/2017

Note: Dependent Child reporting was not applicable last year

Schedule B: Transactions

Page 76 of 149
Rohit Khanna

EIF					
SP, DC, JT					
Security Name	MSILF Tax Exempt Port Inst	SPDR S&P Bank ETF	SPDR S&P Bank ETF	York Credit Opportunities Fund	
Ticker	MTXXX	KBE	KBE	GRYI4	
Transaction					
Amount of Transaction	A: None				
	B: \$1-\$1,000				
	C: \$1,001-\$15,000				
	D: \$15,001-\$50,000				
	E: \$50,001-\$100,000				
	F: \$100,001-\$250,000				
	G: \$250,001-\$500,000				
	H: \$500,001-\$1,000,000				
	I: \$1,000,001-\$5,000,000				
	J: \$5,000,001-\$25,000,000				
	K: \$25,000,001-\$50,000,000				
	L: Over \$50,000,000				
	Spouse/DC Asset over \$1,000,000				
Type of Transaction	Purchase				
	Sale				
	Partial Sale				
	Exchange				
Capital Gain	Capital Gain Exceeded \$200				
Transaction Date	12/29/2017	10/16/2017	10/16/2017	4/10/2017	

Note: Dependent Child reporting was not applicable last year

Schedule B: Transactions

Schedule B: Transactions

Page 78 of 149
Rohit Khanna

Schedule B: Transactions

Page 79 of 149
Rohit Khanna

NAME: Rohit Khanna Page

		Full Asset Name		Type of Transaction	Date	Amount of Transaction																												
SP/DC/JT		Ritu Ahuja 1994 Trust				Purchase					Sale					Sale (Partial)					Exchange													
						MM/DD/YY		Capital Gain Greater than \$200			A		B			C		D			E		F		G		H		I		J		K	
								\$1,001-\$15,000					\$15,001-\$50,000					\$50,001-\$100,000					\$100,001-\$250,000					\$250,001-\$500,000						
SP	3M COMPANY CMN			x	11/30/17	01/24/17		x					x					x					x					x						
SP	3M COMPANY CMN			x		01/24/17		x					x					x					x					x						
SP	ABBOTT LABORATORIES CMN			x	11/30/17	01/24/17		x					x					x					x					x						
SP	ABBOTT LABORATORIES CMN			x		01/24/17		x					x					x					x					x						
SP	ABBVIE INC. CMN			x	11/30/17	02/27/17		x					x					x					x					x						
SP	ABBVIE INC. CMN			x		02/27/17		x					x					x					x					x						
SP	ACCENTURE PLC CMN			x	05/08/17	05/08/17		x					x					x					x					x						
SP	ACCENTURE PLC CMN			x	11/30/17	02/27/17		x					x					x					x					x						
SP	ACCORDIA HOLDINGS INC CMN			x	11/30/17	02/27/17		x					x					x					x					x						
SP	ACCUITY BRANDS INC CMN			x	11/30/17	02/27/17		x					x					x					x					x						
SP	ADOBEE SYSTEMS INC CMN			x	11/30/17	02/27/17		x					x					x					x					x						
SP	ACTIVISION BLIZZARD INC CMN			x	11/30/17	02/27/17		x					x					x					x					x						
SP	AEROPHAR HOLDINGS INC CMN			x	11/30/17	02/27/17		x					x					x					x					x						
SP	AETNA INC CMN			x	11/30/17	02/27/17		x					x					x					x					x						
SP	AFLAC INC CORPORATED CMN			x	11/30/17	02/27/17		x					x					x					x					x						
SP	AGILENT TECHNOLOGIES, INC. CMN			x	11/30/17	02/27/17		x					x					x					x					x						
SP	AIR PRODUCTS & CHEMICALS INC CMN			x	11/30/17	04/03/17		x					x					x					x					x						
SP	AKORN INC CMN			x	11/30/17	02/27/17		x					x					x					x					x						
SP	ALASKA AIR GROUP INC(DEL.HLDG) CMN			x	11/30/17	02/27/17		x					x					x					x					x						
SP	ALBEMARLE CORP CMN			x	11/30/17	02/27/17		x					x					x					x					x						
SP	ALEXANDRIA REAL ESTATE EQUITIES, INC.			x	11/30/17	02/27/17		x					x					x					x					x						
SP	ALEXION PHARMACEUTICALS INC CMN			x	07/17/17	07/17/17		x					x					x					x					x						
SP	ALIGN TECHNOLOGY INC CMN			x	11/30/17	01/24/17		x					x					x					x					x						
SP	ALLERGAN PIC CMN			x	10/24/17	10/24/17		x					x					x					x					x						
SP	ALLERGAN PIC CMN			x	11/27/17	11/27/17		x					x					x					x					x						
SP	ALLIANCE DATA SYSTEMS CORPORAT CMN			x	10/24/17	10/24/17		x					x					x					x					x						
SP	ALLSTATE CORPORATION COMMON STOCK			x	02/27/17	02/27/17		x					x					x					x					x						
SP	ALLSTATE CORPORATION COMMON STOCK			x	11/30/17	01/24/17		x					x					x					x					x						
SP	ALPHABET INC. CMN CLASS A			x	11/27/17	11/27/17		x					x					x					x					x						
SP	ALPHABET INC. CMN CLASS C			x	01/24/17	01/24/17		x					x					x					x					x						
SP	ALPHABET INC. CMN CLASS C			x	11/30/17	01/24/17		x					x					x					x					x						

Schedule B: Transactions

NAME: Rohit Khanna Page

Schedule B: Transactions

NAME: Rohit Khanna Page

Schedule B: Transactions

Page 82 of 149
Rohit Khanna

Schedule B: Transactions

NAME: Rohit Khanna Page

		Full Asset Name		Type of Transaction	Date								
SP/DC/IT	Ritu Ahuja 1994 Trust*												
SP	CAESARS ENTERTAINMENT CORP CMN												
SP	CAPITAL ONE FINANCIAL CORP CMN	x	x		10/17/17								
SP	CARDINAL HEALTH INC CMN	x	x		11/30/17								
SP	CARLISLE COS INC CMN	x	x		02/27/17								
SP	CARMAX, INC. CMN	x	x		11/30/17								
SP	CARNIVAL CORPORATION CMN	x	x		11/27/17		x						
SP	CARNIVAL CORPORATION CMN	x	x		11/30/17		x						
SP	CATERPILLAR INC (DELAWARE) CMN	x	x		08/18/17		x						
SP	CATERPILLAR INC (DELAWARE) CMN	x	x		11/30/17		x						
SP	CBS CORPORATION CMN CLASS B	x	x		11/30/17		x						
SP	CELGENE CORPORATION CMN	x	x		02/27/17		x						
SP	CELESTE CORPORATION CMN	x	x		07/17/17		x						
SP	CENTENE CORPORATION CMN	x	x		11/30/17		x						
SP	CENTERPOINT ENERGY, INC. CMN	x	x		10/24/17		x						
SP	CENTURYLINK INC CMN	x	x		05/08/17		x						
SP	CERNER CORP CMN	x	x		11/30/17		x						
SP	CHARLES SCHWAB CORPORATION CMN	x	x		02/27/17		x						
SP	CHARLES SCHWAB CORPORATION CMN	x	x		05/08/17		x						
SP	CHARTER COMMUNICATIONS, INC. CMN	x	x		11/30/17		x						
SP	CHEVRON CORPORATION CMN	x	x		02/27/17		x						
SP	CHEVRON CORPORATION CMN	x	x		06/12/17		x						
SP	CHEVRON CORPORATION CMN	x	x		07/17/17		x						
SP	CHEVRON CORPORATION CMN	x	x		11/30/17		x						
SP	CHIPOTLE MEXICAN GRILL, INC. CMN	x	x		11/30/17		x						
SP	CHUBB LTD CMN	x	x		11/27/17		x						
SP	CHURCH & DWIGHT CO., INC. CMN	x	x		11/30/17		x						
SP	CIGNA CORPORATION CMN	x	x		10/24/17		x						
SP	CIGNA CORPORATION CMN	x	x		11/27/17		x						
SP	CIGNA CORPORATION CMN	x	x		11/30/17		x						
SP	CINCINNATI FINANCIAL CRP CMN	x	x		02/27/17		x						
SP	CISCO SYSTEMS, INC. CMN	x	x		02/27/17		x						
SP	CISCO SYSTEMS, INC. CMN	x	x		05/08/17		x						
SP	CISCO SYSTEMS, INC. CMN	x	x		07/17/17		x						
SP	CITIGROUP INC. CMN	x	x		11/30/17		x						
SP	CITIGROUP INC. CMN	x	x		08/18/17		x						
SP	CITIZENS FINANCIAL GROUP INC CMN	x	x		11/30/17		x						
SP	CITIZENS FINANCIAL GROUP INC CMN	x	x		07/17/17		x						

Schedule B: Transactions

Schedule B: Transactions

Schedule B: Transactions

Page 86 of 149
Rohit Khanna

SP/DC/JT	Full Asset Name <i>Ritu Ahuja 1994 Trust</i>	Type of Transaction	Date	Amount of Transaction									
				A	B	C	D	E	F	G	H	I	J
SP	DTE ENERGY COMPANY CMN	Purchase	11/30/17	x									
SP	DUKE ENERGY CORPORATION CMN		01/24/17	x									
SP	DUKE ENERGY CORPORATION CMN		11/30/17	x									
SP	DXC TECHNOLOGY COMPANY CMN		11/30/17	x									
SP	E*TRADE FINANCIAL CORPORATION CMN		11/30/17	x									
SP	E.I. DU PONT DE NEMOURS AND CO CMN		04/03/17	x									
SP	EASTMAN CHEM CO CMN		11/30/17	x									
SP	EATON CORP PLC CMN		02/27/17	x									
SP	EATON CORP PLC CMN		11/30/17	x									
SP	EBAY INC. CMN		05/08/17	x									
SP	EBAY INC. CMN		11/30/17	x									
SP	ECOLAB INC CMN		02/27/17	x									
SP	ECOLAB INC CMN		11/30/17	x									
SP	EDISON INTERNATIONAL CMN		11/30/17	x									
SP	EDWARDS LIFESCIENCES CORP CMN		11/30/17	x									
SP	ELECTRONIC ARTS CMN		11/30/17	x									
SP	ELU LILLY & CO CMN		01/24/17	x									
SP	ELU LILLY & CO CMN		05/08/17	x									
SP	ELU LILLY & CO CMN		11/27/17	x									
SP	ELU LILLY & CO CMN		11/30/17	x									
SP	EMERALD EXPOSITIONS EVENTS, INC CMN		04/28/17	x									
SP	EMERSON ELECTRIC CO. CMN		07/17/17	x									
SP	EMERSON ELECTRIC CO. CMN		11/30/17	x									
SP	EOG RESOURCES INC CMN		04/03/17	x									
SP	EOG RESOURCES INC CMN		05/08/17	x									
SP	EOG RESOURCES INC CMN		08/18/17	x									
SP	EOG RESOURCES INC CMN		11/30/17	x									
SP	ESSEX PROPERTY TRUST INC CMN		11/27/17	x									
SP	EQUIFAX INC CMN		11/30/17	x									
SP	EQUIFAX INC CMN		02/27/17	x									
SP	EQUINIX, INC. REIT		11/30/17	x									
SP	EQUINIX, INC. REIT		11/30/17	x									
SP	EQUITY RESIDENTIAL CMN		11/30/17	x									
SP	ESTEE LAUDER COS INC CL-A CMN CLASS A		01/24/17	x									
SP	EVEREST RE GROUP LTD CMN		10/24/17	x									
SP	EXELON CORPORATION CMN		11/30/17	x									
SP	EXPRESS SCRIPTS HOLDINGS CMN		01/24/17	x									
SP	EXPRESS SCRIPTS HOLDINGS CMN		05/08/17	x									
SP	EXPRESS SCRIPTS HOLDINGS CMN		11/27/17	x									

NAME: Rohit Khanna Page

Schedule B: Transactions

NAME: Rohit Khanna Page

Schedule B: Transactions

Schedule B: Transactions

Page 89 of 149
Rohit Khanna

Schedule B: Transactions

Full Asset Name										Type of Transaction	Date		
										Purchase			
										Sale			
										Sale (Partial)			
										Exchange			
										MM/DD/YY			
Ritu Ahuja 1994 Trust										Provide full name, not ticker symbol.			
SP	HIP INC. CMN				x					11/30/17	x		
SP	HUMANA INC. CMN				x					02/27/17	x		
SP	HUNTINGTON BANKSHARES INC CORP OR CMN				x					07/17/17	x		
SP	HUNTINGTON INGALLS INDUSTRIES, INC.				x					11/30/17	x		
SP	IDEXX LABORATORIES CMN				x					11/30/17	x		
SP	IHS MARKIT LTD CMN				x					11/30/17	x		
SP	ILLINOIS TOOL WORKS CMN				x					05/08/17	x		
SP	ILLUMINA, INC. CMN				x					11/30/17	x		
SP	INCYTE CORPORATION CMN				x					11/30/17	x		
SP	INGERSOLL-RAND PLC CMN				x					11/30/17	x		
SP	INTEL CORPORATION CMN				x					01/24/17	x		
SP	INTEL CORPORATION CMN				x					02/27/17	x		
SP	INTEL CORPORATION CMN				x					07/17/17	x		
SP	INTEL CORPORATION CMN				x					08/18/17	x		
SP	INTEL CORPORATION CMN				x					11/30/17	x		
SP	INTERCONTINENTAL EXCHANGE INC CMN				x					02/27/17	x		
SP	INT'L BUSINESS MACHINES CORP CMN				x					11/30/17	x		
SP	INTERNATIONAL PAPER CO. CMN				x					04/03/17	x		
SP	INTERNATIONAL PAPER CO. CMN				x					11/30/17	x		
SP	INT'L BUSINESS MACHINES CORP CMN				x					07/28/17	x		
SP	INT'L BUSINESS MACHINES CORP CMN				x					08/18/17	x		
SP	INTUIT INC CMN				x					11/30/17	x		
SP	INTUIT INC CMN				x					04/03/17	x		
SP	INTUITIVE SURGICAL, INC. CMN				x					11/30/17	x		
SP	INVESTCO LTD. CMN				x					11/30/17	x		
SP	IOVIA HOLDINGS INC. CMN				x					11/27/17	x		
SP	IOVIA HOLDINGS INC. CMN				x					07/17/17	x		
SP	J.M. SMUCKER CO. CMN				x					11/30/17	x		
SP	J.M. SMUCKER CO. CMN				x					09/22/17	x		
SP	JACOBS ENGINEERING GRP CMN				x					11/30/17	x		
SP	JAZZ PHARMACEUTICALS PLC CMN				x					11/30/17	x		
SP	JOHNSON & JOHNSON CMN				x					04/03/17	x		
SP	JOHNSON & JOHNSON CMN				x					06/12/17	x		
SP	JOHNSON & JOHNSON CMN				x					07/17/17	x		

Schedule B: Transactions

Page 91 of 149
Rohit Khanna

NAME: Rohit Khanna Page

Schedule B: Transactions

Page 92 of 149
Rohit Khanna

Schedule B: Transactions

Page 93 of 149
Rohit Khanna

SP/DC/IT	Full Asset Name <i>Ritu Ahuja 1994 Trust</i>	Type of Transaction	Date	Amount of Transaction									
				A	B	C	D	E	F	G	H	I	J
SP	MERCK & CO., INC. CMVN		x										
SP	MERCK & CO., INC. CMVN		x	10/30/17	x								
SP	METLIFE, INC. CMVN		x	04/03/17	x								
SP	METLIFE, INC. CMVN		x	07/17/17	x								
SP	METLIFE, INC. CMVN		x	11/30/17	x								
SP	MGM RESORTS INTERNATIONAL CMVN		x	11/30/17	x								
SP	MICROCHIP TECHNOLOGY INCORPORA CMVN		x	02/27/17	x								
SP	MICROCHIP TECHNOLOGY INCORPORA CMVN		x	11/30/17	x								
SP	MICRON TECHNOLOGY, INC. CMVN		x	11/30/17	x								
SP	MICROSOFT CORPORATION CMVN		x	02/27/17	x								
SP	MICROSOFT CORPORATION CMVN		x	11/30/17	x								
SP	MID-AMERICA APT CMNTYS INC CMVN		x	11/30/17	x								
SP	MOHAWK INDUSTRIES INC COMMON STOCK		x	08/18/17	x								
SP	MOHAWK INDUSTRIES INC COMMON STOCK		x	11/30/17	x								
SP	MOLSON COORS BREWING CO CMVN CLASS B		x	11/30/17	x								
SP	MONDELEZ INTERNATIONAL, INC. CMVN		x	10/24/17	x								
SP	MONDELEZ INTERNATIONAL, INC. CMVN		x	11/30/17	x								
SP	MONSANTO COMPANY CMVN		x	02/27/17	x								
SP	MONSANTO COMPANY CMVN		x	11/30/17	x								
SP	MONSTER BEVERAGE CORPORATION CMVN		x	11/30/17	x								
SP	MOODY'S CORP CMVN		x	11/30/17	x								
SP	MORGAN STANLEY CMVN		x	08/18/17	x								
SP	MORGAN STANLEY CMVN		x	11/30/17	x								
SP	MYLAN NV CMVN		x	11/30/17	x								
SP	NATIONAL OILWELL VARCO, INC. COMMON STOCK		x	07/17/17	x								
SP	NETAPP, INC. CMVN		x	11/30/17	x								
SP	NETFLIX COM INC CMVN		x	11/30/17	x								
SP	NEW YORK COMMUNITY BANCORP, INC CMVN		x	06/07/17	x								
SP	NEWELL BRANDS INC CMVN		x	06/12/17	x								
SP	NEWELL BRANDS INC CMVN		x	10/24/17	x								
SP	NIKE CLASS-B CMVN CLASS B		x	11/30/17	x								
SP	NIKE CLASS-B CMVN CLASS B		x	11/30/17	x								
SP	NISOURCE INC CMVN		x	06/12/17	x								
SP	NOBLE ENERGY INC CMVN		x	08/18/17	x								
SP	NOBLE ENERGY INC CMVN		x	02/27/17	x								
SP	NORFOLK SOUTHERN CORPORATION CMVN		x	05/08/17	x								

NAME: Rohit Khanna Page

Schedule B: Transactions

Page 94 of 149
Rohit Khanna

Schedule B: Transactions

Page 95 of 149
Rohit Khanna

NAME: Rohit Khanna Page

Schedule B: Transactions

Schedule B: Transactions

Page 97 of 149
Rohit Khanna

NAME: Rohit Khanna Page

Schedule B: Transactions

Page 98 of 149
Rohit Khanna

SP/DC/JT	Full Asset Name <i>Ritu Ahuja 1994 Trust</i>	Type of Transaction	Date	Amount of Transaction										NAME: Rohit Khanna Page
				A	B	C	D	E	F	G	H	I	J	
SP	SYNCHRONY FINANCIAL CMN		x											
SP	SYNPOLYS INC CMN		x											
SP	SYSCO CORPORATION CMN		x											
SP	TAPESTRY INC... CMN		x											
SP	TARGET CORPORATION CMN		x											
SP	TARGET CORPORATION CMN		x											
SP	TARGET CORPORATION CMN		x											
SP	TARGET CORPORATION CMN		x											
SP	TE CONNECTIVITY LTD CMN		x											
SP	TE Connectivity LTD CMN		x											
SP	TECHNIPMC PLC CMN		x											
SP	TEXAS INSTRUMENTS INC. CMN		x											
SP	TEXAS INSTRUMENTS INC. CMN		x											
SP	TEXAS INSTRUMENTS INC. CMN		x											
SP	TEXAS INSTRUMENTS INC. CMN		x											
SP	TEXAS INSTRUMENTS INC. CMN		x											
SP	TEXTION INC DEL CMN		x											
SP	THE BANK OF NY MELLON CORP CMN		x											
SP	THE BANK OF NY MELLON CORP CMN		x											
SP	THE BANK OF NY MELLON CORP CMN		x											
SP	THE HERSHEY COMPANY CMN		x											
SP	THE HOME DEPOT INC. CMN		x											
SP	THE HOME DEPOT INC. CMN		x											
SP	THE KRAFT HEINZ CO CMN		x											
SP	THE KRAFT HEINZ CO CMN		x											
SP	THE MOSAIC COMPANY CMN		x											
SP	THE MOSAIC COMPANY CMN		x											
SP	THE MOSAIC COMPANY CMN		x											
SP	THE MOSAIC COMPANY CMN		x											
SP	THE SOUTHERN CO CMN		x											
SP	THE SOUTHERN CO CMN		x											
SP	THE TRAVELERS COMPANIES INC CMN		x											
SP	THE TRAVELERS COMPANIES INC CMN		x											
SP	THE WILLIAMS COMPANIES INC CMN		x											
SP	THE WILLIAMS COMPANIES INC CMN		x											
SP	THERMO FISHER SCIENTIFIC INC CMN		x											

Provide full name, not ticker symbol.

Purchase
Sale
Sale (Partial)

Exchange
MM/DD/YY
Capital Gain Greater than \$200

\$1,001-\$15,000
\$15,001-\$50,000
\$50,001 -\$100,000
\$100,001-\$250,000
\$250,001-\$500,000

\$500,001-\$1,000,000
\$1,000,001-\$5,000,000
\$5,000,001-\$25,000,000

\$25,000,001-\$50,000,000
Over \$50,000,000

Transaction in a Spouse or Dependent Child Asset over \$1,000,000

Schedule B: Transactions

Schedule B: Transactions

Page 100 of 149
Rohit Khanna

Schedule B: Transactions

Page 101 of 149
Rohit Khanna

SP/DC/JT	Full Asset Name <i>Provide full name, not ticker symbol.</i>	Type of Transaction	Date	Amount of Transaction										
				A	B	C	D	E	F	G	H	I	J	K
	<i>Rita Ahuja 1994 Trust*</i>													
SP	W.W. GRAINGER INCORPORATED CMN			x	x									
SP	W.W. GRAINGER INCORPORATED CMN			x	x									
SP	WAL MART STORES INC CMN			x					03/13/17	x				
SP	WAL MART STORES INC CMN			x					03/14/17	x				
SP	WAL MART STORES INC CMN			x					04/03/17	x				
SP	WAL MART STORES INC CMN			x					05/08/17	x				
SP	WAL MART'S STORES INC CMN			x					11/30/17	x				
SP	WALGREENS BOOTS ALLIANCE, INC. CMN			x					07/07/17	x				
SP	WALGREENS BOOTS ALLIANCE, INC. CMN			x					07/17/17	x				
SP	WALGREENS BOOTS ALLIANCE, INC. CMN			x					10/24/17	x				
SP	WALT DISNEY COMPANY (THE) CMN			x					11/30/17	x				
SP	WALT DISNEY COMPANY (THE) CMN			x					08/18/17	x				
SP	WALT DISNEY COMPANY (THE) CMN			x					09/07/17	x				
SP	WALT DISNEY COMPANY (THE) CMN			x					11/27/17	x				
SP	WALT DISNEY COMPANY (THE) CMN			x					11/30/17	x				
SP	WASTE MANAGEMENT INC CMN			x					05/08/17	x				
SP	WASTE MANAGEMENT INC CMN			x					11/30/17	x				
SP	WATERS CORPORATION COMMON STOCK			x					11/30/17	x				
SP	WEC ENERGY GROUP, INC. CMN			x					11/30/17	x				
SP	WELLS FARGO & CO (NEW) CMN			x					02/27/17	x				
SP	WELLS FARGO & CO (NEW) CMN			x					04/03/17	x				
SP	WELLTOWER, INC. CMN			x					11/30/17	x				
SP	WESCO INTERNATIONAL INC. CMN			x					11/30/17	x				
SP	WESTAR ENERGY, INC. CMN			x					06/07/17	x				
SP	WESTERN DIGITAL CORPORATION CMN			x					11/30/17	x				
SP	WESTROCK COMPANY CMN			x					11/30/17	x				
SP	WEYERHAEUSER COMPANY CMN			x					02/27/17	x				
SP	WEYERHAEUSER COMPANY CMN			x					11/30/17	x				
SP	WHOLE FOODS MARKET INC CMN			x					01/09/17	x				
SP	WHOLE FOODS MARKET INC CMN			x					03/08/17	x				
SP	WHOLE FOODS MARKET INC CMN			x					03/10/17	x				
SP	WORKDAY, INC. CMN CLASS A			x					11/30/17	x				
SP	WYNNDHAM WORLDWIDE CORP. CMN			x					11/30/17	x				
SP	XCEL ENERGY INC CMN			x					10/24/17	x				
SP	XILINK INCORPORATED CMN			x					11/30/17	x				
SP	XL GROUP LIMITED CMN			x					10/24/17	x				
SP	YUM BRANDS, INC. CMN			x					11/30/17	x				

Schedule B: Transactions

Schedule B: Transactions

NAME: Rohit Khanna Page

Schedule B: Transactions

NAME: Rohit Khanna Page

Schedule B: Transactions

NAME: Rohit Khanna Page

		Full Asset Name		Type of Transaction	Date	Amount of Transaction									
SP/DC/JT		Ritu Ahuja 1994 Trust				MM/DD/YY									
		Provide full name, not ticker symbol.				Purchase									
						Sale									
						Sale (Partial)									
						Exchange									
SP	CITIGROUP INC. CMN					06/12/17	x								
SP	CITIGROUP INC. CMN					07/17/17	x	x	x						
SP	CITIGROUP INC. CMN					07/17/17	x	x	x						
SP	CME GROUP INC. CMN CLASS A					05/08/17	x	x	x						
SP	CMS ENERGY CORPORATION CMN					05/08/17	x	x	x						
SP	COCA-COLA COMPANY THE CMN					01/24/17	x								
SP	COLA-COLA COMPANY THE CMN					11/27/17	x								
SP	COMCAST CORPORATION CMN CLASS A VOTING					10/24/17	x	x	x						
SP	COMCAST CORPORATION CMN CLASS A VOTING					10/24/17	x	x	x						
SP	COMERICA INCORPORATED CMN					08/18/17	x	x	x						
SP	COMMSCOPE HLDG CO INC CMN					02/27/17	x	x	x						
SP	CONAGRA BRANDS INC CMN					04/03/17	x	x	x						
SP	CONCHO RESOURCES INC. CMN					02/27/17	x	x	x						
SP	CONOCOPHILLIPS CMN					06/12/17	x	x	x						
SP	CONOCOPHILLIPS CMN					08/18/17	x	x	x						
SP	CONSOLIDATED EDISON INC CMN					04/03/17	x	x	x						
SP	CONTINENTAL RESOURCES INC CMN					04/03/17	x	x	x						
SP	CORNING INCORPORATED CMN					04/03/17	x	x	x						
SP	CORNING INCORPORATED CMN					06/18/17	x	x	x						
SP	CORNING INCORPORATED CMN					10/16/17	x	x	x						
SP	CORNING INCORPORATED CMN					10/20/17	x	x	x						
SP	CORNING INCORPORATED CMN					10/23/17	x	x	x						
SP	CORNING INCORPORATED CMN					10/23/17	x	x	x						
SP	CORNING INCORPORATED CMN					10/25/17	x	x	x						
SP	CORNING INCORPORATED CMN					10/25/17	x	x	x						
SP	COSTCO WHOLESALE CORPORATION CMN					10/30/17	x	x	x						
SP	COSTCO WHOLESALE CORPORATION CMN					07/17/17	x	x	x						
SP	CUMMINS INC COMMON STOCK					07/17/17	x	x	x						
SP	CVS HEALTH CORP CMN					04/03/17	x	x	x						
SP	D.R. HORTON, INC. CMN					02/27/17	x	x	x						
SP	DANAHER CORPORATION CMN					05/08/17	x	x	x						
SP	DEERE & COMPANY CMN					07/17/17	x	x	x						
SP	DEERE & COMPANY CMN					07/17/17	x	x	x						

Schedule B: Transactions

Page 106 of 149
Rohit Khanna

Schedule B: Transactions

Page 107 of 149
Rohit Khanna

NAME: Rohit Khanna Page

Schedule B: Transactions

Page 108 of 149
Rohit Khanna

Schedule B: Transactions

Page 109 of 149
Rohit Khanna

Schedule B: Transactions

Page 110 of 149
Rohit Khanna

NAME: Rohit Khanna Page

SP/DC/JT	Full Asset Name	Type of Transaction	Date	Amount of Transaction									
				A	B	C	D	E	F	G	H	I	J
<i>Ritu Anjali 1994 Trust</i>													
SP	LIVE NATION ENTERTAINMENT INC CMN		x		06/21/17	x	x						
SP	LIVE NATION ENTERTAINMENT INC CMN		x		06/23/17	x	x						
SP	LIVE NATION ENTERTAINMENT INC CMN		x		07/21/17	x	x						
SP	LIVE NATION ENTERTAINMENT INC CMN		x		07/28/17	x	x						
SP	LIVE NATION ENTERTAINMENT INC CMN		x		08/04/17	x	x						
SP	LIVE NATION ENTERTAINMENT INC CMN		x		08/04/17	x	x						
SP	LIVE NATION ENTERTAINMENT INC CMN		x		08/10/17	x	x						
SP	LIVE NATION ENTERTAINMENT INC CMN		x		10/02/17	x	x						
SP	LIVE NATION ENTERTAINMENT INC CMN		x		10/03/17	x	x						
SP	LIVE NATION ENTERTAINMENT INC CMN		x		10/03/17	x	x						
SP	LIVE NATION ENTERTAINMENT INC CMN		x		10/09/17	x	x						
SP	LIVE NATION ENTERTAINMENT INC CMN		x		10/09/17	x	x						
SP	LIVE NATION ENTERTAINMENT INC CMN		x		10/16/17	x	x						
SP	LIVE NATION ENTERTAINMENT INC CMN		x		10/16/17	x	x						
SP	LOWES COMPANIES INC CMN		x		11/27/17	x	x						
SP	LOWES COMPANIES INC CMN		x		11/27/17	x	x						
SP	LYONDELLBASELL INDUSTRIES N.V. CMN CLASS A		x		07/17/17	x	x						
SP	M&T BANK CORPORATION CMN		x		02/27/17	x	x						
SP	M&T BANK CORPORATION CMN		x		08/18/17	x	x						
SP	MACERICH COMPANY CMN		x		04/03/17	x	x						
SP	MANHATTAN ASSOCIATES INC CMN		x		01/24/17	x	x						
SP	MARSH & MCLENNAN CO INC CMN		x		02/27/17	x	x						
SP	MARTIN MARIETTA MATERIALS INC CMN		x		02/27/17	x	x						
SP	MASTERCARD INCORPORATED CMN CLASS A		x		10/24/17	x	x						
SP	MASTERCARD INCORPORATED CMN CLASS A		x		10/24/17	x	x						
SP	MASTERCARD INCORPORATED CMN CLASS A		x		10/24/17	x	x						
SP	MEDTRONIC PUBLIC LIMITED COMP A CMN		x		01/24/17	x	x						
SP	MEDTRONIC PUBLIC LIMITED COMP A CMN		x		01/24/17	x	x						
SP	MERCK & CO., INC. CMN		x		09/22/17	x	x						
SP	MERCK & CO., INC. CMN		x		11/27/17	x	x						
SP	MERCK & CO., INC. CMN		x		11/27/17	x	x						
SP	METLIFE, INC. CMN		x		02/27/17	x	x						
SP	MOHAWK INDUSTRIES INC COMMON STOCK		x		07/17/17	x	x						
SP	MONDELEZ INTERNATIONAL, INC. CMN		x		04/03/17	x	x						
SP	MONDELEZ INTERNATIONAL, INC. CMN		x		09/22/17	x	x						
SP	MONDELEZ INTERNATIONAL, INC. CMN		x		09/22/17	x	x						
SP	MOODY'S CORPORATION CMN		x		02/27/17	x	x						
SP	MORGAN STANLEY CMN		x		06/12/17	x	x						

Schedule B: Transactions

Page 111 of 149
Rohit Khanna

NAME: Rohit Khanna Page

SP/DC/JT	Full Asset Name <i>Ritu Ahuja 1994 Trust</i> <i>Provide full name, not ticker symbol.</i>	Type of Transaction	Date	Amount of Transaction									
				A	B	C	D	E	F	G	H	I	J
SP	MORGAN STANLEY CMN			x	x	07/17/17	x	x					
SP	MOTOROLA SOLUTIONS INC CMN			x	x	02/27/17	x	x					
SP	NATIONAL OILWELL VARCO INC COMMON STOCK CMN			x	08/18/17	x	x						
SP	NEW YORK COMMUNITY BANCORP, INC CMN			x	07/17/17	x	x						
SP	NEWELL BRANDS INC CMN			x	05/08/17	x	x						
SP	NIELSEN HLDGS PLC CMN			x	05/08/17	x	x						
SP	NORFOLK SOUTHERN CORP CMN			x	04/03/17	x	x						
SP	NORTHROP GRUMMAN CORP CMN			x	02/27/17	x	x						
SP	NORTHROP GRUMMAN CORP CMN			x	02/27/17	x	x						
SP	NUCOR CORPORATION CMN			x	06/12/17	x	x						
SP	NUCOR CORPORATION CMN			x	08/18/17	x	x						
SP	O'REILLY AUTOMOTIVE, INC. CMN			x	05/08/17	x	x						
SP	O'REILLY AUTOMOTIVE, INC. CMN			x	09/22/17	x	x						
SP	OCIDENTAL PETROLEUM CORP CMN			x	02/27/17	x	x						
SP	OCIDENTAL PETROLEUM CORP CMN			x	02/27/17	x	x						
SP	OCIDENTAL PETROLEUM CORP CMN			x	05/08/17	x	x						
SP	PG & E CORPORATION CMN			x	07/17/17	x	x						
SP	PACCAR INC CMN			x	01/24/17	x	x						
SP	PACCAR INC CMN			x	11/27/17	x	x						
SP	PARKER-HANNIFIN CORP. CMN			x	01/24/17	x	x						
SP	PAYCHEX, INC. CMN			x	02/27/17	x	x						
SP	PERKINELMER, INC CMN			x	02/08/17	x	x						
SP	PERKINELMER, INC CMN			x	06/20/17	x	x						
SP	PERKINELMER, INC CMN			x	09/08/17	x	x						
SP	PERKINELMER, INC CMN			x	09/11/17	x	x						
SP	PERKINELMER, INC CMN			x	09/12/17	x	x						
SP	PERKINELMER, INC CMN			x	09/14/17	x	x						
SP	PERRIGO CO PLC CMN			x	01/24/17	x	x						
SP	PFIZER INC. CMN			x	01/24/17	x	x						
SP	PFIZER INC. CMN			x	05/08/17	x	x						
SP	PFIZER INC. CMN			x	05/08/17	x	x						
SP	PFIZER INC. CMN			x	08/18/17	x	x						
SP	PHILLIPS 66 CMN			x	11/27/17	x	x						
SP	PHILLIPS 66 CMN			x	04/03/17	x	x						
SP	PIONEER NATURAL RESOURCES CO CMN			x	04/03/17	x	x						
SP	PIONEER NATURAL RESOURCES CO CMN			x	05/08/17	x	x						
SP	PIONEER NATURAL RESOURCES CO CMN			x	07/17/17	x	x						

Schedule B: Transactions

Page 112 of 149
Rohit Khanna

Schedule B: Transactions

Page 113 of 149
Rohit Khanna

NAME: Rohit Khanna Page

Schedule B: Transactions

Page 114 of 149
Rohit Khanna

Schedule B: Transactions

Page 115 of 149
Rohit Khanna

NAME: Rohit Khanna Page

Schedule B: Transactions

Page 116 of 149
Rohit Khanna

Schedule B: Transactions

NAME: Rohit Khanna Page

Schedule B: Transactions

Page 118 of 149
Rohit Khanna

NAME: Rohit Khan										
SP/DC/JT	Full Asset Name	Type of Transaction	Date of Transaction	Amount of Transaction						
				A	B	C	D	E	F	G
<i>Ritu Ahuja 1995 Trust</i>										
SP	3M COMPANY CMN	X	05/02/17	X						
SP	3M COMPANY CMN	X	07/05/17	X						
SP	3M COMPANY CMN	X	09/25/17	X						
SP	ABBOTT LABORATORIES CMN	X	05/02/17	X						
SP	ABBOTT LABORATORIES CMN	X	07/05/17	X						
SP	ABBVIE INC. CMN	X	05/02/17	X						
SP	ABBVIE INC. CMN	X	07/05/17	X						
SP	ACCENTURE PLC CMN	X	05/02/17	X						
SP	ACCENTURE PLC CMN	X	07/05/17	X						
SP	ACTIVISION BLIZZARD INC CMN	X	05/02/17	X						
SP	ACTIVISION BLIZZARD INC CMN	X	07/05/17	X						
SP	ADODE SYSTEMS INC CMN	X	05/02/17	X						
SP	ADOBE SYSTEMS INC CMN	X	07/05/17	X						
SP	AETNA INC CMN	X	05/02/17	X						
SP	AFLAC INC CORPOTATED CMN	X	07/05/17	X						
SP	AFLAC INC CORPOTATED CMN	X	08/21/17	X						
SP	AIR PRODUCTS & CHEMICALS INC CMN	X	05/02/17	X						
SP	ALEXION PHARMACEUTICALS INC CMN	X	05/02/17	X						
SP	ALEXON PHARMACEUTICALS INC CMN	X	07/18/17	X						
SP	ALLERGAN PLC CMN	X	05/02/17	X						
SP	ALLERGAN PLC CMN	X	07/05/17	X						
SP	ALLERGAN PLC CMN	X	10/27/17	X						
SP	ALLERGAN PLC CMN	X	11/28/17	X						
SP	ALLSTATE CORPORATION COMMON STOCK	X	05/02/17	X						
SP	ALPHABET INC. CMN CLASS A	X	05/02/17	X						
SP	ALPHABET INC. CMN CLASS A	X	07/05/17	X						
SP	ALPHABET INC. CMN CLASS A	X	09/25/17	X						
SP	ALPHABET INC. CMN CLASS C	X	05/02/17	X						
SP	ALPHABET INC. CMN CLASS C	X	07/05/17	X						
SP	ALTRIA GROUP INC. CMN	X	05/02/17	X						
SP	ALTRIA GROUP INC. CMN	X	07/05/17	X						
SP	ALTRIA GROUP INC. CMN	X	09/25/17	X						
SP	ALTRIA GROUP, INC. CMN	X	10/27/17	X						
SP	AMAZON.COM INC CMN	X	05/02/17	X						
SP	AMAZON.COM INC CMN	X	07/05/17	X						
SP	AMAZON.COM INC CMN	X	10/27/17	X						

Schedule B: Transactions

Page 119 of 149
Rohit Khanna

NAME: Rohit Khan

Schedule B: Transactions

Page 120 of 149
Rohit Khanna

NAME: Rohit Khan										
SP/DC/JT	Full Asset Name	Type of Transaction	Date of Transaction	Amount of Transaction						
				A	B	C	D	E	F	G
Ritu Ahuja 1995 Trust										
SP	AT&T INC CMVN		X							
SP	AUTODESK INC CMVN		X							
SP	AUTOMATIC DATA PROCESSING INC CMVN		X							
SP	AVALLONBAY COMMUNITIES INC CMVN		X							
SP	AXIS CAPITAL HOLDINGS, LTD. CMVN		X							
SP	BAKER HUGHES A GE CO CMVN		X							
SP	BAKER HUGHES INC CMVN		X							
SP	BANK OF AMERICA CORP CMVN		X							
SP	BANK OF AMERICA CORP CMVN		X							
SP	BANK OF AMERICA CORP CMVN		X							
SP	BANK OF AMERICA CORP CMVN		X							
SP	BAXTER INTERNATIONAL INC CMVN		X							
SP	BB&T CORPORATION CMVN		X							
SP	BB&T CORPORATION CMVN		X							
SP	BB&T CORPORATION CMVN		X							
SP	BBCTON DICKINSON & CO CMVN		X							
SP	BECTON DICKINSON & CO CMVN		X							
SP	BECKON DICKINSON & CO CMVN		X							
SP	BECKON DICKINSON & CO CMVN		X							
SP	BERKSHIRE HATHAWAY INC. CLASS B		X							
SP	BERKSHIRE HATHAWAY INC. CLASS B		X							
SP	BERKSHIRE HATHAWAY INC. CLASS B		X							
SP	BERKSHIRE HATHAWAY INC. CLASS B		X							
SP	BERKSHIRE HATHAWAY INC. CLASS B		X							
SP	BLACKROCK, INC. CMVN		X							
SP	BLACKROCK, INC. CMVN		X							
SP	BOEING COMPANY CMVN		X							
SP	BOEING COMPANY CMVN		X							
SP	BOSTON PROPERTIES INC COMMON STOCK		X							
SP	BOSTON PROPERTIES INC COMMON STOCK		X							
SP	BOSTON SCIENTIFIC CORP. COMMON STOCK		X							
SP	BOSTON SCIENTIFIC CORP. COMMON STOCK		X							
SP	BOSTON SCIENTIFIC CORP. COMMON STOCK		X							
SP	BRISTOL-MYERS SQUIBB COMPANY CMVN		X							
SP	BRISTOL-MYERS SQUIBB COMPANY CMVN		X							

Provide full name, not ticker symbol.

Purchase
Sale
Sale (Partial)

Exchange

MM/DD/YY

Capital Gain Greater than \$200

\$1,001-\$15,000

\$15,001-\$50,000

\$50,001-\$100,000

\$100,001-\$250,000

\$250,001-\$500,000

\$500,001-\$1,000,000

\$1,000,001-\$5,000,000

\$5,000,001-\$25,000,000

\$25,000,001-\$50,000,000

Over \$50,000,000

Transaction in a Spouse or Dependent Child Asset over \$1,000,000

Schedule B: Transactions

Schedule B: Transactions

NAME: Rohit Khan

Schedule B: Transactions

Page 123 of 149
Rohit Khanna

NAME: Rohit Khan											
SP/DC/JT	Full Asset Name	Type of Transaction	Date of Transaction	Amount of Transaction						Provide full name, not ticker symbol.	
				A	B	C	D	E	F		
	Ritu Ahuja 1995 Trust										
SP	CUMMINS INC COMMON STOCK	X	07/05/17	X						Purchase	
SP	CVS HEALTH CORP CMN	X	05/02/17	X							
SP	CVS HEALTH CORP CMN	X	07/05/17	X							
SP	CVS HEALTH CORP CMN	X	08/21/17	X							
SP	CVS HEALTH CORP CMN	X	09/25/17	X							
SP	DANAHER CORPORATION CMN	X	05/02/17	X							
SP	DANAHER CORPORATION CMN	X	07/05/17	X							
SP	DEERE & COMPANY CMN	X	05/02/17	X							
SP	DELPHI AUTOMOTIVE PLC CMN	X	05/02/17	X							
SP	DELTA AIR LINES, INC. CMN	X	05/02/17	X							
SP	DELTA AIR LINES, INC. CMN	X	07/05/17	X							
SP	DENTSPLY SIRONA INC CMN	X	09/25/17	X						Sale	
SP	DEVON ENERGY CORPORATION (NEW) CMN	X	05/02/17	X							
SP	DEVON ENERGY CORPORATION (NEW) CMN	X	09/25/17	X							
SP	DIGITAL REALTY TRUST, INC. CMN	X	05/02/17	X							
SP	DISCOVERY COMMUNICATIONS, INC. CMN SERIES A	X	09/25/17	X							
SP	DOLLAR TREE INC. CMN	X	08/21/17	X							
SP	DOMINION RESOURCES, INC. CMN	X	05/02/17	X							
SP	DOW CHEMICAL CO CMN	X	05/02/17	X							
SP	DOW CHEMICAL CO CMN	X	07/05/17	X							
SP	DUKE ENERGY CORPORATION CMN	X	05/02/17	X							
SP	DUKE ENERGY CORPORATION CMN	X	07/18/17	X							
SP	DXC TECHNOLOGY COMPANY CMN	X	05/02/17	X							
SP	E.I. DU PONT DE NEMOURS AND CO CMN	X	05/02/17	X							
SP	E.I. DU PONT DE NEMOURS AND CO CMN	X	07/05/17	X							
SP	EATON CORP PLC CMN	X	05/02/17	X							
SP	EBAY INC. CMN	X	05/02/17	X							
SP	ECOLAB INC CMN	X	05/02/17	X							
SP	EDWARDS LIFESCIENCES CORP CMN	X	05/02/17	X						Sale (Partial)	
SP	EDWARDS LIFESCIENCES CORP CMN	X	11/28/17	X							
SP	ELECTRONIC ARTS CMN	X	05/02/17	X							
SP	ELLILLY & CO CMN	X	05/02/17	X							
SP	ELLILLY & CO CMN	X	06/13/17	X							
SP	ELLILLY & CO CMN	X	07/05/17	X							
	Ritu Ahuja 1995 Trust										

Schedule B: Transactions

Page 124 of 149
Rohit Khanna

Schedule B: Transactions

Schedule B: Transactions

Schedule B: Transactions

Page 127 of 149
Rohit Khanna

NAME: Rohit Khan										
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SP/DC/JT	Full Asset Name <i>Provide full name, not ticker symbol.</i>	Type of Transaction	Date of Transaction	Amount of Transaction						
				A	B	C	D	E	F	G
SP	RITU ANJHA 1995 TRUST	Purchase	05/02/17	X						
SP	KROGER COMPANY CMN	Sale	10/27/17	X						
SP	KROGER COMPANY CMN	Sale (Partial)	11/28/17	X						
SP	LABORATORY CORPORATION OF AMER CMN	Exchange	08/21/17	X						
SP	LAM RESEARCH CORP CMN	MM/DD/YY	08/21/17	X						
SP	LEUCADIA NATIONAL CORP CMN		07/18/17	X						
SP	LIBERTY BROADBAND CORP CMN CLASS A		09/25/17	X						
SP	LINCOLN NATL CORP INC CMN		05/02/17	X						
SP	LINCOLN NATL CORP INC CMN		08/21/17	X						
SP	LOCKHEED MARTIN CORPORATION CMN		05/02/17	X						
SP	LOCKHEED MARTIN CORPORATION CMN		07/05/17	X						
SP	LOWES COMPANIES INC CMN		05/02/17	X						
SP	LOWES COMPANIES INC CMN		07/18/17	X						
SP	LYONDELLBASELL INDUSTRIES N.V. CMN CLASS A		08/21/17	X						
SP	M&T BANK CORPORATION CMN		05/02/17	X						
SP	MARATHON PETROLEUM CORPORATION CMN		11/28/17	X						
SP	MARRIOTT INTERNATIONAL, INC. CMN CLASS A		05/02/17	X						
SP	MARSH & MCLENNAN CO INC CMN		05/02/17	X						
SP	MARSH & MCLENNAN CO INC CMN		07/05/17	X						
SP	MARTIN MARIETTA MATERIALS, INC CMN		08/21/17	X						
SP	MASTERCARD INCORPORATED CMN CLASS A		05/02/17	X						
SP	MASTERCARD INCORPORATED CMN CLASS A		07/05/17	X						
SP	McDONALDS CORP CMN		05/02/17	X						
SP	MC DONALDS CORP CMN		07/05/17	X						
SP	MCCORMICK & CO NON VTG SHRS CMN		09/25/17	X						
SP	MCKESSON CORPORATION CMN		05/02/17	X						
SP	MEDTRONIC PUBLIC LIMITED COMP A CMN		05/02/17	X						
SP	MEDTRONIC PUBLIC LIMITED COMP A CMN		07/05/17	X						
SP	MEDTRONIC PUBLIC LIMITED COMP A CMN		10/27/17	X						
SP	MEDTRONIC PUBLIC LIMITED COMP A CMN		11/28/17	X						
SP	MERCK & CO., INC. CMN		05/02/17	X						
SP	MERCK & CO., INC. CMN		07/05/17	X						
SP	MERCK & CO., INC. CMN		09/25/17	X						
SP	METLIFE, INC. CMN		05/02/17	X						
SP	METLIFE, INC. CMN		07/05/17	X						

Schedule B: Transactions

Page 128 of 149
Rohit Khanna

Schedule B: Transactions

Page 129 of 149
Rohit Khanna

Schedule B: Transactions

Page 130 of 149
Rohit Khanna

NAME: Rohit Khanna										
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SP/DC/JT	Full Asset Name <i>Ritu Anjia 1995 Trust</i> <i>Provide full name, not ticker symbol.</i>	Type of Transaction	Date of Transaction	Amount of Transaction						
				A	B	C	D	E	F	G
SP	PROGRESSIVE CORPORATION (THE) CMVN	X	05/02/17	X						
SP	PROLOGIS INC CMVN	X	05/02/17	X						
SP	PROSPERITY BANCSHARES, INC. CMVN	X	07/18/17	X						
SP	PRUDENTIAL FINANCIAL INC CMVN	X	05/02/17	X						
SP	PRUDENTIAL FINANCIAL INC CMVN	X	07/18/17	X						
SP	PRUDENTIAL FINANCIAL INC CMVN	X	09/25/17	X						
SP	PUBLIC STORAGE CMVN	X	05/02/17	X						
SP	PUBLIC STORAGE CMVN	X	09/25/17	X						
SP	PUBLIC STORAGE CMVN	X	11/28/17	X						
SP	PUBLIC-SVC ENTERPRISE GROUP HOLDING CO	X	05/02/17	X						
SP	PUBLIC-SVC ENTERPRISE GROUP HOLDING CO	X	07/05/17	X						
SP	QUALCOMM INC CMVN	X	05/02/17	X						
SP	QUALCOMM INC CMVN	X	07/05/17	X						
SP	QUALCOMM INC CMVN	X	09/25/17	X						
SP	RAYTHEON CO CMVN	X	05/02/17	X						
SP	RAYTHEON CO CMVN	X	07/05/17	X						
SP	REALTY INCOME CORPORATION CMVN	X	07/05/17	X						
SP	RED HAT, INC. CMVN	X	07/05/17	X						
SP	REGENCY CTRS CORP CMVN	X	07/05/17	X						
SP	REGENERON PHARMACEUTICAL INC CMVN	X	05/02/17	X						
SP	REGENERON PHARMACEUTICAL INC CMVN	X	07/05/17	X						
SP	REYNOLDS AMERICAN INC. CMVN	X	05/02/17	X						
SP	ROCKWELL AUTOMATION INC CMVN	X	05/02/17	X						
SP	ROPER TECHNOLOGIES INC CMVN	X	08/21/17	X						
SP	ROSS STORES INC CMVN	X	05/02/17	X						
SP	S&P GLOBAL INC. CMVN	X	05/02/17	X						
SP	SALSFORCE.COM, INC CMVN	X	05/02/17	X						
SP	SALSFORCE.COM, INC CMVN	X	08/21/17	X						
SP	SCANA CORP CMVN	X	09/25/17	X						
SP	SCHLUMBERGER LTD CMVN	X	05/02/17	X						
SP	SCHLUMBERGER LTD CMVN	X	07/05/17	X						
SP	SCHLUMBERGER LTD CMVN	X	09/25/17	X						
SP	SCHLUMBERGER LTD CMVN	X	11/28/17	X						
SP	SEMPRA ENERGY CMVN	X	05/02/17	X						
SP	SEMPRA ENERGY CMVN	X	07/05/17	X						
SP	SHERWIN-WILLIAMS CO CMVN	X	05/02/17	X						

Schedule B: Transactions

Schedule B: Transactions

Page 132 of 149
Rohit Khanna

Schedule B: Transactions

Page 133 of 149
Rohit Khanna

NAME: Rohit Khan										
SP/DC/JT	Full Asset Name	Type of Transaction	Date of Transaction	Amount of Transaction						
				A	B	C	D	E	F	
	Ritu Abuja 1995 Trust									
SP	VALERO ENERGY CORPORATION CMN		X							X
SP	VENTAS, INC. CMN		X							X
SP	VENTAS, INC. CMN		X							X
SP	VENTAS, INC. CMN		X							X
SP	VENTAS, INC. CMN		X							X
SP	VERIZON COMMUNICATIONS INC. CMN		X							X
SP	VERIZON COMMUNICATIONS INC. CMN		X							X
SP	VERIZON COMMUNICATIONS INC. CMN		X							X
SP	VERIZON COMMUNICATIONS INC. CMN		X							X
SP	VERTEX PHARMACEUTICALS INC CMN		X							X
SP	VERTEX PHARMACEUTICALS INC CMN		X							X
SP	VFCORP CMN		X							X
SP	VIACOM INC CMN CLASS B		X							X
SP	VIACOM INC CMN CLASS B		X							X
SP	VISA INC. CMN CLASS A		X							X
SP	VISA INC. CMN CLASS A		X							X
SP	VORNADO REALTY TRUST CMN		X							X
SP	VORNADO REALTY TRUST CMN		X							X
SP	WAL-MART STORES INC CMN		X							X
SP	WAL-MART STORES INC CMN		X							X
SP	WALGREENS BOOTS ALLIANCE, INC. CMN		X							X
SP	WALGREENS BOOTS ALLIANCE, INC. CMN		X							X
SP	WALGREENS BOOTS ALLIANCE, INC. CMN		X							X
SP	WALT DISNEY COMPANY (THE) CMN		X							X
SP	WALT DISNEY COMPANY (THE) CMN		X							X
SP	WALT DISNEY COMPANY (THE) CMN		X							X
SP	WALT DISNEY COMPANY (THE) CMN		X							X
SP	WECC ENERGY GROUP, INC. CMN		X							X
SP	WELLS FARGO & CO (NEW) CMN		X							X
SP	WELLS FARGO & CO (NEW) CMN		X							X
SP	WELLS FARGO & CO (NEW) CMN		X							X
SP	WELETOWER, INC. CMN		X							X
SP	WESTERN DIGITAL CORPORATION CMN		X							X
SP	WESTERN DIGITAL CORPORATION CMN		X							X
			07/05/17							

Provide full name, not ticker symbol.

Schedule B: Transactions

Schedule B: Transactions

Schedule B: Transactions

Schedule B: Transactions

Schedule B: Transactions

Schedule B: Transactions

Schedule B: Transactions

Page 141 of 149
Rohit Khanna

SP/DC/J/T	Full Asset Name <i>Provide full name, not ticker symbol.</i>	Type of Transaction	Date of Transaction	NAME: Rohit Khan								
				Purchase	Sale	Sale (Partial)	Exchange	MM/DD/YY				
	Ritu Ahuja 1995 Trust											
SP	REGENCY CENTERS CORPORATION CMN		X	09/25/17		X			A	B	C	D
SP	REGENERON PHARMACEUTICAL INC CMN		X	07/18/17		X			E	F	G	H
SP	REGENERON PHARMACEUTICAL INC CMN		X	11/28/17		X			I	J		K
SP	REYNOLDS AMERICAN INC CMN		X	07/25/17		X						
SP	RICE MIDSTREAM PARTNERS, LP CMN		X	05/04/17	X	X						
SP	RICE MIDSTREAM PARTNERS, LP CMN		X	06/28/17	X	X						
SP	ROSS STORES, INC CMN		X	08/21/17	X	X						
SP	SCHLUMBERGER LTD CMN		X	08/21/17	X	X						
SP	SCHLUMBERGER LTD CMN		X	08/21/17	X	X						
SP	SEAGROUP CORPORATION CMN CLASS A		X	06/28/17	X	X						
SP	SEMIPRA ENERGY CMN		X	05/10/17	X	X						
SP	SIMON PROPERTY GROUP INC CMN		X	05/10/17	X	X						
SP	SIMON PROPERTY GROUP INC CMN		X	11/28/17	X	X						
SP	SOUTHWEST AIRLINES CO CMN		X	08/21/17	X	X						
SP	STARBUCKS CORP. CMN		X	10/27/17	X	X						
SP	STARBUCKS CORP. CMN		X	10/27/17	X	X						
SP	STRYKER CORPORATION CMN		X	05/10/17	X	X						
SP	SUNTRUST BANKS INC CMN		X	08/21/17	X	X						
SP	SUNTRUST BANKS INC CMN		X	08/21/17	X	X						
SP	TARGA RESOURCES CORP. CMN		X	05/04/17	X	X						
SP	TARGA RESOURCES CORP. CMN		X	05/04/17	X	X						
SP	TARGA RESOURCES CORP. CMN		X	06/28/17	X	X						
SP	TARGA RESOURCES CORP. CMN		X	06/28/17	X	X						
SP	TARGET CORPORATION CMN		X	11/28/17	X	X						
SP	TE CONNECTIVITY LTD CMN		X	08/21/17	X	X						
SP	THE BANK OF NY MELLON CORP CMN		X	10/27/17	X	X						
SP	THE GOLDMAN SACHS GROUP, INC. LINKED TO EURO STOXX 50 PR UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 09/29/2017		X	08/23/17	X	X						
SP	THE GOLDMAN SACHS GROUP, INC. LINKED TO EURO STOXX 50 PR UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 09/29/2017		X	09/29/17	X	X						
SP	THE GOLDMAN SACHS GROUP, INC. LINKED TO RUSSELL 2000 INDEX UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 09/29/2017		X	08/23/17	X	X						

Schedule B: Transactions

Page 142 of 149
Rohit Khanna

Schedule B: Transactions

Page 143 of 149
Rohit Khanna

NAME: Rohit Khan											
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SP/DC/JT	Full Asset Name <i>Ritu Ahuja 1995 Trust</i> <i>Provide full name, not ticker symbol.</i>	Type of Transaction	Date of Transaction	Amount of Transaction							
				A	B	C	D	E	F	G	H
SP	WALT DISNEY COMPANY (THE) CMN	X	09/25/17	X							
SP	WARNER MEDIA, LLC CMN	X	11/28/17	X							
SP	WARNER MEDIA, LLC CMN	X	11/28/17	X							
SP	WELLS FARGO & CO (NEW) CMN	X	07/18/17	X							
SP	WELLS FARGO & CO (NEW) CMN	X	11/28/17	X							
SP	WEITOWER INC. CMN	X	10/27/17	X							
SP	WESTERN DIGITAL CORPORATION CMN	X	09/25/17	X							
SP	WESTERN GAS PARTNERS, LP CMN	X	06/28/17	X							
SP	WESTERN GAS PARTNERS, LP CMN	X	06/28/17	X							
SP	WESTERN GAS PARTNERS, LP CMN	X	06/28/17	X							
SP	WESTERN GAS PARTNERS, LP CMN	X	06/28/17	X							
SP	ZIMMER BIOMET HOLDINGS INC	X	05/10/17	X							
SP	ZIMMER BIOMET HOLDINGS INC	X	11/28/17	X							

Schedule B: Transactions

Schedule B: Transactions

Schedule B - Transactions

SP/DC/JT <i>Provide full name, not ticker symbol.</i>	Full Asset Name	Type of Transaction	Date	Amount of Transaction									
				A	B	C	D	E	F	G	H	I	J
<i>Grandchildren's Trust (DC reporting not applicable last year)</i>													
DC	CORNING INCORPORATED CMN		x	x	x	07/31/17	x						
DC	ENTEGRIS, INC. CMN		x	x	x	07/31/17	x						
DC	GENERAL MOTORS COMPANY CMN		x	x	x	09/26/17	x						
DC	GENERAL MOTORS COMPANY CMN		x	x	x	10/05/17	x						
DC	GENERAL MOTORS COMPANY CMN		x	x	x	10/06/17	x						
DC	GENERAL MOTORS COMPANY CMN		x	x	x	10/18/17	x						
DC	GENERAL MOTORS COMPANY CMN		x	x	x	11/02/17	x						
DC	GENERAL MOTORS COMPANY CMN		x	x	x	11/13/17	x						
DC	LIVE NATION ENTERTAINMENT INC CMN		x	x	x	10/03/17	x						
DC	LIVE NATION ENTERTAINMENT INC CMN		x	x	x	10/09/17	x						
DC	PERKINELMER, INC CMN		x	x	x	08/28/17	x						
DC	PERKINELMER, INC CMN		x	x	x	09/14/17	x						
DC	WALMART INC CMN		x	x	x	08/03/17	x						
DC	WALMART INC CMN		x	x	x	08/04/17	x						
DC	WALMART INC CMN		x	x	x	08/10/17	x						
DC	WALMART INC CMN		x	x	x	08/11/17	x						
DC	WESTLAKE CHEMICAL CORPORATION CMN		x	x	x	08/03/17	x						
DC	WESTLAKE CHEMICAL CORPORATION CMN		x	x	x	08/08/17	x						
DC	WESTLAKE CHEMICAL CORPORATION CMN		x	x	x	08/10/17	x						

SCHEDULE C – EARNED INCOME

Page 147 of 149
Rohit Khanna

Name: Rohit Khanna

Page _____ of _____

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: The 2017 limit on outside earned income for Members and employees compensated at or above the "Senior Staff" rate was \$27,765. The 2018 limit is \$28,050. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

\$28,050. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

Use additional sheets if more space is required.

SCHEDULE D - LIABILITIES

Page 148 of 149
Rohit Khanna

Name: Rohit Khanna Page _____ of _____

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a **revolving charge account** (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000.

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.	
Position	Name of Organization
Member, Board of Directors	Joint Venture Silicon Valley

Use additional sheets if more space is required.

SCHEDULE H – TRAVEL PAYMENTS and REIMBURSEMENTS

Name: Rohit Khanna

Page _____ of _____

Page 149 of 149
Rohit Khanna

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$390 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

Source	Date(s)	City of Departure-Destination-City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
Examples:					
Government of China (MECEA)	Aug. 8-11	DC-Bangkok, China-DC	Y	Y	N
Habitat for Humanity (charity fundraiser)	Mar. 3-4	DC-Boston-DC	Y	Y	Y
Progressive Congress	Feb. 3-5	DC-Baltimore-DC	N	N	N

Use additional sheets if more space is required.