



Filing ID #10052441

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Raúl M. Grijalva  
**Status:** Member  
**State/District:** AZ07

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2022  
**Filing Date:** 07/28/2023

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset  | Owner | Value of Asset       | Income Type(s)           | Income             | Tx. > \$1,000?           |
|--|-------|----------------------|--------------------------|--------------------|--------------------------|
| 3426 9TH AVE [RP]<br><br>LOCATION: TUCSON, AZ, US  |       | \$50,001 - \$100,000 | Rent                     | \$5,001 - \$15,000 | <input type="checkbox"/> |
| AMERICAN CAP WRLD GR & INC F2 (WGIFX) [MF]         | SP    | \$1,001 - \$15,000   | Dividends                | \$1 - \$200        | <input type="checkbox"/> |
| ARIZONA STATE RETIREMENT SYSTEM [PE]               |       | Undetermined         | Tax-Deferred             |                    | <input type="checkbox"/> |
| BLACKROCK EN GLBL DIV TR [EF]                      | SP    | None                 | Capital Gains, Dividends | \$1 - \$200        | <input type="checkbox"/> |
| CALAMOS GLBL TOTAL RETURN (CGO) [EF]               | SP    | \$1,001 - \$15,000   | Capital Gains, Dividends | \$1 - \$200        | <input type="checkbox"/> |
| CALAMOS STRG TTL RETURN FD (CSQ) [EF]              | SP    | \$1,001 - \$15,000   | Capital Gains, Dividends | \$201 - \$1,000    | <input type="checkbox"/> |
| CITY OF TUCSON SUPPLEMENTAL RETIREMENT SYSTEM [PE] | SP    | Undetermined         | Tax-Deferred             |                    | <input type="checkbox"/> |
| CLEARBRIDGE APPRECIATION (SAPYX) [MF]              | SP    | \$1,001 - \$15,000   | Capital Gains, Dividends | \$201 - \$1,000    | <input type="checkbox"/> |

| Asset  | Owner | Value of Asset     | Income Type(s)           | Income          | Tx. > \$1,000?                      |
|--|-------|--------------------|--------------------------|-----------------|-------------------------------------|
| FT NASDAQ CYBERSECUR ETF [EF]                  | SP    | \$1,001 - \$15,000 | Dividends                | \$1 - \$200     | <input type="checkbox"/>            |
| GABELLI HLTHCARE & WELLNESS (GRX) [EF]         | SP    | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200     | <input checked="" type="checkbox"/> |
| GABELLI UTILITY TR (GUT) [EF]                  | SP    | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200     | <input type="checkbox"/>            |
| INDUSTRIAL SEL SEC SPDR FD (XLI) [EF]          | SP    | \$1,001 - \$15,000 | Dividends                | \$1 - \$200     | <input checked="" type="checkbox"/> |
| INVESCO GLOBAL Y (OGLYX) [MF]                  | SP    | None               | None                     |                 | <input type="checkbox"/>            |
| ISHARES TIPS BOND ETF (TIP) [EF]               | SP    | None               | Dividends                | \$201 - \$1,000 | <input type="checkbox"/>            |
| ISHARES US TECHNOLOGY ETF (IYW) [EF]           | SP    | \$1,001 - \$15,000 | Dividends                | \$1 - \$200     | <input type="checkbox"/>            |
| ISHARES US TECHNOLOGY ETF (IYW) [EF]           | SP    | \$1,001 - \$15,000 | Dividends                | \$1 - \$200     | <input checked="" type="checkbox"/> |
| MORGAN STANLEY BANK NA [BA]                    | SP    | \$1,001 - \$15,000 | Interest                 | \$1 - \$200     | <input type="checkbox"/>            |
| PGIM JENN GLBL OPPORTUNITIES Z (PRJZX) [MF]    | SP    | \$1,001 - \$15,000 | None                     |                 | <input type="checkbox"/>            |
| PUBLIC SAFETY PERSONNEL RETIREMENT SYSTEM [PE] |       | Undetermined       | Tax-Deferred             |                 | <input type="checkbox"/>            |
| SPDR SP DIVIDEND (SDY) [EF]                    | SP    | \$1,001 - \$15,000 | Dividends                | \$1 - \$200     | <input checked="" type="checkbox"/> |
| TEKLA HEALTHCARE OPP FUND (THQ) [EF]           | SP    | \$1,001 - \$15,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input type="checkbox"/>            |
| TRANSAMERICA SHT TERM BOND I (TSTIX) [MF]      | SP    | \$1,001 - \$15,000 | Dividends                | \$1 - \$200     | <input type="checkbox"/>            |

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

| Asset                                       | Owner | Date       | Tx. Type    | Amount             | Cap. Gains > \$200?      |
|---|-------|------------|-------------|--------------------|--------------------------|
| BLACKROCK EN GLBL DIV TR [EF]               | SP    | 02/17/2022 | S           | \$1,001 - \$15,000 | <input type="checkbox"/> |
| CALAMOS STRG TTL RETURN FD (CSQ) [EF]       | SP    | 08/31/2022 | P           | \$1,001 - \$15,000 |                          |
| CALAMOS STRG TTL RETURN FD (CSQ) [EF]       | SP    | 02/17/2022 | P           | \$1,001 - \$15,000 |                          |
| CLEARBRIDGE APPRECIATION (SAPYX) [MF]       | SP    | 12/9/2022  | P           | \$1,001 - \$15,000 |                          |
| FT NASDAQ CYBERSECUR ETF [EF]               | SP    | 07/1/2022  | P           | \$1,001 - \$15,000 |                          |
| FT NASDAQ CYBERSECUR ETF [EF]               | SP    | 07/19/2022 | P           | \$1,001 - \$15,000 |                          |
| GABELLI HLTHCARE & WELLNESS (GRX) [EF]      | SP    | 08/31/2022 | P           | \$1,001 - \$15,000 |                          |
| GABELLI UTILITY TR (GUT) [EF]               | SP    | 08/31/2022 | P           | \$1,001 - \$15,000 |                          |
| INDUSTRIAL SEL SEC SPDR FD (XLI) [EF]       | SP    | 07/19/2022 | P           | \$1,001 - \$15,000 |                          |
| INVESCO GLOBAL Y (OGLYX) [MF]               | SP    | 02/17/2022 | S           | \$1,001 - \$15,000 | <input type="checkbox"/> |
| ISHARES TIPS BOND ETF (TIP) [EF]            | SP    | 12/9/2022  | S           | \$1,001 - \$15,000 | <input type="checkbox"/> |
| ISHARES TIPS BOND ETF (TIP) [EF]            | SP    | 07/1/2022  | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| ISHARES TIPS BOND ETF (TIP) [EF]            | SP    | 07/19/2022 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| ISHARES US TECHNOLOGY ETF (IYW) [EF]        | SP    | 08/31/2022 | P           | \$1,001 - \$15,000 |                          |
| PGIM JENN GLBL OPPORTUNITIES Z (PRJZX) [MF] | SP    | 07/19/2022 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| PGIM JENN GLBL OPPORTUNITIES Z (PRJZX) [MF] | SP    | 12/9/2022  | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| SPDR SP DIVIDEND (SDY) [EF]                 | SP    | 12/9/2022  | P           | \$1,001 - \$15,000 |                          |
| TEKLA HEALTHCARE OPP FUND (THQ) [EF]        | SP    | 07/1/2022  | P           | \$1,001 - \$15,000 |                          |

| Asset                                     | Owner | Date       | Tx. Type    | Amount             | Cap. Gains > \$200?      |
|---|-------|------------|-------------|--------------------|--------------------------|
| TRANSAMERICA SHT TERM BOND I (TSTIX) [MF] | SP    | 08/31/2022 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

| Source  | Type           | Amount |
|---|----------------|--------|
| CITY OF TUCSON SUPPLEMENTAL RETIREMENT SYSTEM | SPOUSE PENSION | N/A    |

### SCHEDULE D: LIABILITIES

| Owner | Creditor         | Date Incurred | Type   | Amount of Liability   |
|-------|------------------|---------------|--|-----------------------|
|       | WELLS FARGO BANK | 2008          | MORTGAGE ON 220 13TH ST SE #4, WASHINGTON DC 20003 | \$250,001 - \$500,000 |

### SCHEDULE E: POSITIONS

| Position                                | Name of Organization                    |
|---|---|
| ADVISORY COUNCIL MEMBER (UNCOMPENSATED) | CONGRESSIONAL HISPANIC CAUCUS INSTITUTE |
| BOARD MEMBER (UNCOMPENSATED)            | PROGRESSIVE CAUCUS CENTER               |

### SCHEDULE F: AGREEMENTS

| Date          | Parties To   | Terms of Agreement                                       |
|---------------|--|--|
| December 1999 | RAUL GRIJALVA, ARIZONA STATE RETIREMENT SYSTEM           | RETIREMENT PLAN (NOT SELF DIRECTED)                      |
| February 2002 | RAUL GRIJALVA, PUBLIC SAFETY PERSONNEL RETIREMENT SYSTEM | ELECTED OFFICIALS RETIREMENT ACCOUNT (NOT SELF DIRECTED) |

### SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

| Trip Details                     |            |            |                                       |                  | Inclusions                          |                                     |                          |
|----------------------------------|------------|------------|---------------------------------------|------------------|-------------------------------------|-------------------------------------|--------------------------|
| Source                           | Start Date | End Date   | Itinerary                             | Days at Own Exp. | Lodging?                            | Food?                               | Family?                  |
| Latinos in Heritage Conservation | 04/28/2022 | 04/30/2022 | Washington DC - Denver CO - Tucson AZ | 0                | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Raúl M. Grijalva , 07/28/2023