



Filing ID #10047677

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Nicole Malliotakis
Status: Member
State/District: NY11

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2021
Filing Date: 08/12/2022

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|-----------------------|----------------|-----------------|--------------------------|
| Bank of America Corporation (BAC) [ST] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Boeing Company (BA) [ST] | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Caterpillar, Inc. (CAT) [ST] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Chase Bank Account [BA] | | \$250,001 - \$500,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Columbia Floating Rate [MF] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Con Edison Thrift Savings Account ⇒ Vanguard Primecap Fund Admiral [OT] DESCRIPTION: Vanguard Primecap Fund admiral | | \$100,001 - \$250,000 | Tax-Deferred | | <input type="checkbox"/> |
| Consolidated Edison, Inc. (ED) [ST] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Dollar General Corporation (DG) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Exxon Mobil Corporation (XOM) [ST] | | \$15,001 - \$50,000 | Dividends | \$1,001 - | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|----------------------|----------------|-------------------|--------------------------|
| | | | | \$2,500 | <input type="checkbox"/> |
| Franklin Dynatech [MF] | | \$50,001 - \$100,000 | None | | <input type="checkbox"/> |
| Franklin Income [MF] | | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| General Electric Company (GE) [ST] | | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Icahn Enterprises LP [CS] | | \$1,001 - \$15,000 | Interest | \$201 - \$1,000 | <input type="checkbox"/> |
| DESCRIPTION: Note | | | | | |
| Investors MLP ALPH [MF] | | None | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Johnson & Johnson (JNJ) [ST] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Mainstay Mackay High Yield Corp Bond [MF] | | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Mastercard Incorporated (MA) [ST] | | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Nikola Corporation (NKLA) [ST] | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Pfizer, Inc. (PFE) [ST] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Pitney Bowes inc [CS] | | \$1,001 - \$15,000 | Interest | \$201 - \$1,000 | <input type="checkbox"/> |
| DESCRIPTION: Note | | | | | |
| Procter & Gamble Company (PG) [ST] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Roth IRA ⇒ Consumer Discretionary Select Sector [EF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Roth IRA ⇒ ISHARES 3-7yr Treasury Bond [EF] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Roth IRA ⇒ ISHARES IBOXX INVESTMENT GRADE CORP BOND [EF] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |

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|--|-------|---------------------|----------------|-----------------|--------------------------|
| Roth IRA ⇒ iShares MSCI ALL COUNTRY ASIA EX JAPAN [EF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Roth IRA ⇒ iShares Russell 2000 [EF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Roth IRA ⇒ Janet Advisory Retirement Insured Sweep [IH] | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Roth IRA ⇒ Sector Energy Select [EF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Roth IRA ⇒ Sector Financial Select [EF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Roth IRA ⇒ Sector Healthcare Select [EF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Roth IRA ⇒ Sector Industrial Select [EF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Roth IRA ⇒ Sector Technology [EF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Roth IRA ⇒ Select Sector Communication Services [EF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Roth IRA ⇒ SPDR PORTFOLIO SHORT TERM CORP BOND [EF] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Roth IRA ⇒ Vanguard FTSE All World EX US [EF] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Roth IRA ⇒ Vanguard FTSE Pacific [EF] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Roth IRA ⇒ Vanguard Intermediate Term Corp Bond [EF] | | \$1,001 - \$15,000 | Interest | \$201 - \$1,000 | <input type="checkbox"/> |
| Verizon Communications Inc. (VZ) [ST] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Visa Inc. (V) [ST] | | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|-------|-------|----------------|----------------|--------|-------------------|
| | | | | | |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

None disclosed.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

| Position | Name of Organization |
|----------|--------------------------------------|
| Member | Richmond County Republican Committee |
| Member | New York Republican Committee |

SCHEDULE F: AGREEMENTS

| Date | Parties To | Terms of Agreement |
|------|--|-----------------------|
| 2006 | Nicole Malliotakis and Consolidated Edison, Inc. | Thrift Savings Plan |
| 2002 | Nicole Malliotakis and State of New York | Employee Pension Plan |

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- o Con Edison Thrift Savings Account

- Roth IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Nicole Malliotakis , 08/12/2022