



Filing ID #10025092

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Niki Tsongas
Status: Member
State/District: MA03

FILING INFORMATION

Filing Type: Terminated Filer Report
Filing Year: 2019
Filing Date: 01/31/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
455 SHORE ROAD [RP]		\$1,000,001 - \$5,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: CHATHAM, MA, US					
ABBEY CAPITAL FUTURES STRATEGY FUND [MF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
AQR STYLE PREMIA ALTERNATIE FUND [MF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
ASTON MONTAG & CALDWELL GROWTH FUND [MF]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Bank of America [BA]		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO [MF]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
CARILLON SCOUT MID CAP FUND [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
COHEN & STEERS PFD SECS & INCOME FUND [MF]		\$15,001 - \$50,000	Capital Gains,	\$201 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
			Dividends	\$1,000	
COLUMBIA AMT-FREE MASSACHUSETTS INTERMEDIATE MUNI BOND FUND [MF]		\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Previously COLUMBIA MA INTER MUNI BOND FUND					
COLUMBIA DIVIDEND INCOME FUND [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
COLUMBIA HIGH YIELD MUNICIPAL FD [MF]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
COLUMBIA LARGE CAP GROWTH FUND [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
COLUMBIA MID CAP GROWTH FUND [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
COLUMBIA PACIFIC/ASIA FUND [MF]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
COLUMBIA SELECT LARGE CAP EQUITY FUND [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: FORMERLY COLUMBIA LARGE CAP EQUITY FUND					
CONESTOGA SMALL CAP FUND [MF]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
CREDIT SUISSE COMMODITY RETURN STRATEGY FUND [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DB X-TRACKERS MSCI EAFE HEDGED EQUITY FUND [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DOUBLELINE TOTAL RETURN BD FUND [MF]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
EATON VANCE FLTG RATE FUND [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Eversource Energy (ES) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
FALMOUTH MASS GO MUN PURP LN BDS [MF]		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
FIDELITY ADISOR FLOATING RATE HIGH INCOME FUND [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
FIDELITY GOVERNMENT PORFOLIO MONEY MARKET [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Replaced BOFA account					
HARTFORD MUT FDS II INC SCHRODERS EMERGING MKTS [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
ISHARES CORE MCSI EMERGING MKTS ETF [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
ISHARES CORE S&P 500 ETF [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
ISHARES EMERGING MKTS INFRA ETF [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
ISHARES INTERMEDIATE CR BD ETF [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
JOHN HANCOCK FDS III DISCIPLINED VALUE MID CAP FUND [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
JP MORGAN US LARGE CAP CORE PLUS FUND [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
LORD ABBETT INVESTMENT TR SHORT DURATION TAX FREE FUND [MF]		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MATHEWS INTL FUNDS PAC TIGER FUND [MF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
MFS INTERNATIONAL NEW DISCOVERY FUND [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
PIMCO INCOME FUND [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
PIMCO SHORT TERM FUND [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
PRUDENTIAL SHORT-TERM CORPORATE BD FUND [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
ROBECO BOSTON PARTNERS LONG/SHORT RES FUND [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SPDR BLOOMBERG BARCLAYS SHORT TERM HIGH YIELD BD EFT [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
UNDISCOVERED MANAGERS FDS BEHAVIORAL VALUE FD [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
VANGUARD FTSE DEVELOPED MARKETS EFT [MF]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
VANGUARD FTSE EMERGING MARKETS ETF [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
VANGUARD MID-CAP EFT [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
VANGUARD MID-CAP GROWTH INDEX FUND [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
VANGUARD REIT ETF [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
VANGUARD SHORT-TERM CORPORATE BOND ETF [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
VANGUARD SMALL-CAP ETF [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
VANGUARD TOTAL WORLD STOCK INDEX FUND [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WELLS FARGO SPECIAL SMALL CAP VALUE FUND [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
WISDOMTREE EMERGING MKTS SMALLCAP DIV FUND [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AQR STYLE PREMIA ALTERNATIE FUND [MF]		12/4/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
AQR STYLE PREMIA ALTERNATIE FUND [MF]		01/22/2018	P	\$1,001 - \$15,000	
ASTON MONTAG & CALDWELL GROWTH FUND [MF]		04/17/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
BLACKROCK SMALL CAP GROWTH EQUITY PORTFOLIO [MF]		12/4/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO [MF]		04/17/2019	P	\$15,001 - \$50,000	
BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO [MF]		01/22/2018	P	\$1,001 - \$15,000	
COLUMBIA AMT-FREE MASSACHUSETTS INTERMEDIATE MUNI BOND FUND [MF]		12/4/2018	P	\$15,001 - \$50,000	
COLUMBIA AMT-FREE MASSACHUSETTS INTERMEDIATE MUNI BOND FUND [MF]		08/30/2018	P	\$1,001 - \$15,000	
COLUMBIA DIVIDEND INCOME FUND [MF]		12/4/2018	P	\$1,001 - \$15,000	
COLUMBIA DIVIDEND INCOME FUND [MF]		08/30/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
COLUMBIA LARGE CAP GROWTH FUND [MF]		12/7/2018	P	\$1,001 - \$15,000	
COLUMBIA PACIFIC/ASIA FUND [MF]		08/7/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
CONESTOGA SMALL CAP FUND [MF]		12/4/2018	P	\$1,001 - \$15,000	
CREDIT SUISSE COMMODITY RETURN STRATEGY FUND [MF]		01/22/2018	P	\$1,001 - \$15,000	
DB X-TRACKERS MSCI EAFE HEDGED EQUITY FUND [MF]		03/7/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DOUBLELINE TOTAL RETURN BD FUND [MF]		08/17/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DOUBLELINE TOTAL RETURN BD FUND [MF]		03/3/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DOUBLELINE TOTAL RETURN BD FUND [MF]		04/17/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
GOLDMAN SACHS STRATEGIC INCOME FUND [MF]		04/17/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
ISHARES CORE MSCI EAFE ETF [MF]		12/5/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
ISHARES CORE MSCI EAFE ETF [MF]		03/7/2018	P	\$1,001 - \$15,000	
ISHARES CORE MSCI EMERGING MKTS ETF [MF]		08/20/2018	P	\$1,001 - \$15,000	
ISHARES CORE S&P 500 ETF [MF]		12/5/2018	P	\$1,001 - \$15,000	
ISHARES CORE S&P 500 ETF [MF]		03/7/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
ISHARES CORE S&P 500 ETF [MF]		08/31/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
ISHARES EMEGNG MKTS INFRA ETF [MF]		08/20/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
ISHARES INTL SELECT DIVIDENT ETF [MF]		12/5/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
MAINSTAY EPOCH GLOBAL EQUITY YIELD FUND [MF]		12/4/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
MATTHEWS INTL FUNDS PAC TIGER FUND [MF]		08/17/2018	P	\$15,001 - \$50,000	
PIMCO INCOME FUND [MF]		08/17/2018	P	\$1,001 - \$15,000	
PIMCO SHORT TERM FUND [MF]		12/4/2018	P	\$1,001 - \$15,000	
ROBECO BOSTON PARTNERS [MF]		09/19/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
VANGUARD FTSE DEVLEOPED MARKETS ETF [MF]		12/5/2018	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
VANGUARD MID-CAP GROWTH INDEX FUND [MF]		12/5/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
VANGUARD REIT ETF [MF]		03/7/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
VANGUARD SMALL-CAP ETF [MF]		04/18/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
VANGUARD TOTAL WORLD STOCK INDEX FUND [MF]		08/31/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WISDOMTREE EMERGING MKTS SMALLCAP DIV FUND [MF]		09/20/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
WISDOMTREE EMERGING MKTS SMALLCAP DIV FUND [MF]		12/5/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

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SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	ENTERPRISE BANK & TRUST	AUGUST 2001	LINE OF CREDIT ON 455 SHORE RD, CHATHAM	\$50,001 - \$100,000
	ENTERPRISE BANK & TRUST	JUNE 2012	MORTGAGE ON PERSONAL RESIDENCE	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Niki Tsongas , 01/31/2019