



Filing ID #10036777

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Mary Gay Scanlon  
**Status:** Member  
**State/District:** PA05

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2019  
**Filing Date:** 08/10/2020

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset   | Owner | Value of Asset          | Income Type(s) | Income | Tx. > \$1,000?                      |
|---|-------|-------------------------|----------------|--------|-------------------------------------|
| Annuity ⇒ Northwestern Mutual Annuity [FN]          | JT    | \$500,001 - \$1,000,000 | None           |        | <input type="checkbox"/>            |
| Ballard 401K ⇒ Federated Treasury Obligations [MF]  |       | None                    | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Ballard 401K ⇒ PIMCO Total Return [MF]              | SP    | \$100,001 - \$250,000   | Tax-Deferred   |        | <input type="checkbox"/>            |
| Ballard 401K ⇒ PNC Stable Value [MF]                | SP    | \$50,001 - \$100,000    | Tax-Deferred   |        | <input type="checkbox"/>            |
| Ballard 401K ⇒ Vanguard Institutional Index [MF]    | SP    | \$500,001 - \$1,000,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| Ballard 401K ⇒ Vanguard Mid Cap Index Fd Inst. [MF] | SP    | \$100,001 - \$250,000   | Tax-Deferred   |        | <input type="checkbox"/>            |
| Ballard 401K ⇒ Vanguard Small Cap [MF]              | SP    | \$50,001 - \$100,000    | Tax-Deferred   |        | <input type="checkbox"/>            |
| Ballard 401K ⇒                                      |       | None                    | Tax-Deferred   |        | <input checked="" type="checkbox"/> |

| Asset   | Owner | Value of Asset          | Income Type(s)           | Income            | Tx. > \$1,000?                      |                          |
|---|-------|-------------------------|--------------------------|-------------------|-------------------------------------|--------------------------|
| Vanguard Target Date 2015 [MF]                                  |       |                         |                          |                   |                                     |                          |
| Ballard 401K ⇒ Vanguard Target Date 2020 [MF]                   |       | None                    |                          | Tax-Deferred      | <input checked="" type="checkbox"/> |                          |
| Ballard 401K ⇒ Vanguard Total Bond [MF]                         | SP    | \$250,001 - \$500,000   |                          | Tax-Deferred      | <input type="checkbox"/>            |                          |
| Ballard 401K ⇒ Vanguard Total International Stock Index Fd [MF] | SP    | \$250,001 - \$500,000   |                          | Tax-Deferred      | <input type="checkbox"/>            |                          |
| Ballard Spahr LLP [OT]  | SP    | \$500,001 - \$1,000,000 |                          | Ordinary Income   | Spouse/DC Over \$1,000,000          | <input type="checkbox"/> |
| DESCRIPTION: Partner Capital Account                            |       |                         |                          |                   |                                     |                          |
| Ballard Spahr LLP Pension [PE]                                  | SP    | Undetermined            | None                     |                   | <input type="checkbox"/>            |                          |
| Brokerage ⇒ Dreyfus Ins Deposit Program [BA]                    | JT    | \$15,001 - \$50,000     | Interest                 | \$201 - \$1,000   | <input type="checkbox"/>            |                          |
| Brokerage ⇒ Exelon Corporation (EXC) [ST]                       | JT    | \$15,001 - \$50,000     | Dividends                | \$1,001 - \$2,500 | <input type="checkbox"/>            |                          |
| Brokerage ⇒ Vanguard Wellington (VWELX) [MF]                    | JT    | \$50,001 - \$100,000    | Capital Gains, Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |                          |
| Genworth Universal Life Insurance [WU]                          |       | \$1,001 - \$15,000      | None                     |                   | <input type="checkbox"/>            |                          |
| Northwestern Mutual - Adjustable CompLife [WU]                  | SP    | \$50,001 - \$100,000    | None                     |                   | <input type="checkbox"/>            |                          |
| DESCRIPTION: Policy date 10/13/1992                             |       |                         |                          |                   |                                     |                          |
| Northwestern Mutual - Estate CompLife [WU]                      | SP    | \$15,001 - \$50,000     | None                     |                   | <input type="checkbox"/>            |                          |
| DESCRIPTION: Policy date 10/23/2014                             |       |                         |                          |                   |                                     |                          |
| Northwestern Mutual - Estate CompLife [WU]                      | SP    | \$15,001 - \$50,000     | None                     |                   | <input type="checkbox"/>            |                          |
| DESCRIPTION: Policy date 10/23/2013                             |       |                         |                          |                   |                                     |                          |
| Northwestern Mutual - Estate CompLife [WU]                      | SP    | \$15,001 - \$50,000     | None                     |                   | <input type="checkbox"/>            |                          |
| DESCRIPTION: Policy date 10/23/2011                             |       |                         |                          |                   |                                     |                          |

| Asset   | Owner | Value of Asset       | Income Type(s) | Income          | Tx. > \$1,000?           |
|---|-------|----------------------|----------------|-----------------|--------------------------|
| Northwestern Mutual - Estate CompLife [WU]                                    | SP    | \$15,001 - \$50,000  | None           |                 | <input type="checkbox"/> |
| DESCRIPTION: Policy date 10/23/2007   |       |                      |                |                 |                          |
| Northwestern Mutual - Whole Life [WU]   |       | \$15,001 - \$50,000  | None           |                 | <input type="checkbox"/> |
| DESCRIPTION: Policy date 1/23/2013  |       |                      |                |                 |                          |
| Northwestern Mutual - Whole Life [WU]   |       | \$15,001 - \$50,000  | None           |                 | <input type="checkbox"/> |
| DESCRIPTION: Policy date 10/14/1993   |       |                      |                |                 |                          |
| Northwestern Mutual - Whole Life [WU]   |       | \$50,001 - \$100,000 | None           |                 | <input type="checkbox"/> |
| DESCRIPTION: Policy date 10/13/1992   |       |                      |                |                 |                          |
| Northwestern Mutual - Whole Life [WU]   | SP    | \$15,001 - \$50,000  | None           |                 | <input type="checkbox"/> |
| DESCRIPTION: Policy date 10/23/2012   |       |                      |                |                 |                          |
| Northwestern Mutual - Whole Life [WU]   | SP    | \$15,001 - \$50,000  | None           |                 | <input type="checkbox"/> |
| DESCRIPTION: Policy date 10/23/2004   |       |                      |                |                 |                          |
| Northwestern Mutual - Whole Life [WU]   | SP    | \$15,001 - \$50,000  | None           |                 | <input type="checkbox"/> |
| DESCRIPTION: Policy date 10/23/2002   |       |                      |                |                 |                          |
| Northwestern Mutual - Whole Life [WU]   | SP    | \$15,001 - \$50,000  | None           |                 | <input type="checkbox"/> |
| DESCRIPTION: Policy date 10/23/2000   |       |                      |                |                 |                          |
| Northwestern Mutual - Whole Life [WU]   | SP    | \$15,001 - \$50,000  | None           |                 | <input type="checkbox"/> |
| DESCRIPTION: Policy date 10/23/1998   |       |                      |                |                 |                          |
| Northwestern Mutual Insurance ⇒ Growth Stock (MSA/The Boston Company) [MF]    | SP    | \$15,001 - \$50,000  | Dividends      | \$201 - \$1,000 | <input type="checkbox"/> |
| DESCRIPTION: Variable CompLife  |       |                      |                |                 |                          |
| Northwestern Mutual Insurance ⇒ Index 500 Stock (MSA) [MF]                    | SP    | \$15,001 - \$50,000  | Dividends      | \$201 - \$1,000 | <input type="checkbox"/> |
| DESCRIPTION: Variable CompLife  |       |                      |                |                 |                          |
| Northwestern Mutual Insurance ⇒ International Equity (MSA/Franklin Tmpl) [MF] | SP    | \$15,001 - \$50,000  | Dividends      | \$201 - \$1,000 | <input type="checkbox"/> |

| Asset   | Owner | Value of Asset       | Income Type(s) | Income          | Tx. > \$1,000?                      |
|---|-------|----------------------|----------------|-----------------|-------------------------------------|
| DESCRIPTION: Variable CompLife  |       |                      |                |                 |                                     |
| Northwestern Mutual Insurance ⇒<br>Mid Cap Growth Stock (MSA/Wellington)<br>[MF]    | SP    | \$15,001 - \$50,000  | Dividends      | \$201 - \$1,000 | <input type="checkbox"/>            |
| DESCRIPTION: Variable CompLife  |       |                      |                |                 |                                     |
| Northwestern Mutual IRA # 2 ⇒<br>American Balanced Fund Class A (ABALX)<br>[MF]     | SP    | \$1,001 - \$15,000   | Tax-Deferred   |                 | <input type="checkbox"/>            |
| DESCRIPTION: Appreciated over reporting threshold                                   |       |                      |                |                 |                                     |
| Northwestern Mutual IRA # 2 ⇒<br>Chemours Company (CC) [ST]                         | SP    | \$1 - \$1,000        | Tax-Deferred   |                 | <input type="checkbox"/>            |
| Northwestern Mutual IRA # 2 ⇒<br>DowDuPont Inc. (DWDP) [ST]                         | SP    | \$1,001 - \$15,000   | Tax-Deferred   |                 | <input type="checkbox"/>            |
| Northwestern Mutual IRA # 2 ⇒<br>Dreyfus Ins Deposit Program [BA]                   | SP    | \$1,001 - \$15,000   | Tax-Deferred   |                 | <input type="checkbox"/>            |
| Northwestern Mutual IRA # 2 ⇒<br>DuPont de Nemours, Inc. (DD) [ST]                  | SP    | \$1,001 - \$15,000   | Tax-Deferred   |                 | <input type="checkbox"/>            |
| DESCRIPTION: Appreciated over reporting threshold                                   |       |                      |                |                 |                                     |
| Northwestern Mutual IRA # 2 ⇒<br>Oracle Corporation (ORCL) [ST]                     | SP    | \$15,001 - \$50,000  | Tax-Deferred   |                 | <input type="checkbox"/>            |
| Northwestern Mutual IRA #1 ⇒<br>American Century Short Duration Inf<br>(APOIX) [MF] |       | \$15,001 - \$50,000  | Tax-Deferred   |                 | <input checked="" type="checkbox"/> |
| Northwestern Mutual IRA #1 ⇒<br>Baron Asset Fund Inst (BARIX) [MF]                  |       | \$15,001 - \$50,000  | Tax-Deferred   |                 | <input checked="" type="checkbox"/> |
| Northwestern Mutual IRA #1 ⇒<br>Deutsche Enhanced Commodity (SKIRX)<br>[MF]         |       | \$15,001 - \$50,000  | Tax-Deferred   |                 | <input checked="" type="checkbox"/> |
| Northwestern Mutual IRA #1 ⇒<br>Dodge & Cox (DODIX) [MF]                            |       | \$50,001 - \$100,000 | Tax-Deferred   |                 | <input checked="" type="checkbox"/> |
| Northwestern Mutual IRA #1 ⇒<br>Dreyfus Ins Deposit Program [BA]                    |       | \$1,001 - \$15,000   | Tax-Deferred   |                 | <input type="checkbox"/>            |
| Northwestern Mutual IRA #1 ⇒  |       | \$50,001 -           | Tax-Deferred   |                 | <input checked="" type="checkbox"/> |

| Asset  | Owner | Value of Asset       | Income Type(s) | Income | Tx. > \$1,000?                      |
|--|-------|----------------------|----------------|--------|-------------------------------------|
| Europacific Growth (AEPFX) [MF]  |       | \$100,000            |                |        |                                     |
| Northwestern Mutual IRA #1 ⇒ Fidelity Adviser Insights (FINSX) [MF]                  |       | \$50,001 - \$100,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Northwestern Mutual IRA #1 ⇒ Fidelity Adviser Mid Cap (FIIMX) [MF]                   |       | None                 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Northwestern Mutual IRA #1 ⇒ Goldman Sachs Absolute Return Tracker (GJRTX) [MF]      |       | \$15,001 - \$50,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Northwestern Mutual IRA #1 ⇒ Hartford World Bond (HWDIX) [MF]                        |       | \$15,001 - \$50,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Northwestern Mutual IRA #1 ⇒ John Hancock Discipline Value (JVMIX) [MF]              |       | \$15,001 - \$50,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Northwestern Mutual IRA #1 ⇒ MFS Value (MEIIX) [MF]                                  |       | \$50,001 - \$100,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Northwestern Mutual IRA #1 ⇒ New World (NFFFX) [MF]                                  |       | \$50,001 - \$100,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Northwestern Mutual IRA #1 ⇒ Northern Small Cap (NOSGX) [MF]                         |       | \$15,001 - \$50,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Northwestern Mutual IRA #1 ⇒ Oakmark International (OAKIX) [MF]                      |       | \$15,001 - \$50,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Northwestern Mutual IRA #1 ⇒ PIMCO Income (PONPX) [MF]                               |       | \$15,001 - \$50,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Northwestern Mutual IRA #1 ⇒ Principal Global Multi-Strategy Fund Inst. (PSMIX) [MF] |       | \$15,001 - \$50,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Northwestern Mutual IRA #1 ⇒ Principal Real Estate Securities Fund Inst (PIREX) [MF] |       | \$15,001 - \$50,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Northwestern Mutual IRA #1 ⇒ T Rowe Price Real Estate (TRREX) [MF]                   |       | None                 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |

| Asset   | Owner | Value of Asset        | Income Type(s) | Income            | Tx. > \$1,000?                      |
|---|-------|-----------------------|----------------|-------------------|-------------------------------------|
| Northwestern Mutual IRA #1 ⇒ TIAA-CREF Bond Fund Advisor Class (TIBHX) [MF]       |       | \$50,001 - \$100,000  | Tax-Deferred   |                   | <input checked="" type="checkbox"/> |
| Northwestern Mutual IRA #1 ⇒ Vanguard Short Term (VFSUX) [MF]                     |       | \$15,001 - \$50,000   | Tax-Deferred   |                   | <input checked="" type="checkbox"/> |
| Northwestern Mutual Variable Annuity ⇒ Domestic Equity (MSA/Delaware) [MF]        | SP    | \$1,001 - \$15,000    | None           |                   | <input type="checkbox"/>            |
| Northwestern Mutual Variable Annuity ⇒ Fidelity VIP Mid Cap [MF]                  | SP    | \$1,001 - \$15,000    | None           |                   | <input type="checkbox"/>            |
| Northwestern Mutual Variable Annuity ⇒ Global Real Estate Securities (RIF) [MF]   | SP    | \$1,001 - \$15,000    | None           |                   | <input type="checkbox"/>            |
| Northwestern Mutual Variable Annuity ⇒ Index 500 Stock (MSA) [MF]                 | SP    | \$1,001 - \$15,000    | None           |                   | <input type="checkbox"/>            |
| Northwestern Mutual Variable Annuity ⇒ International Developed Markets (RIF) [MF] | SP    | \$1,001 - \$15,000    | None           |                   | <input type="checkbox"/>            |
| Northwestern Mutual Variable Annuity ⇒ Multi Sector Bond (MSA/PIMCO) [MF]         | SP    | \$1,001 - \$15,000    | None           |                   | <input type="checkbox"/>            |
| Northwestern Mutual Variable Annuity ⇒ Small Cap Value (MSA/T Rowe Price) [MF]    | SP    | \$1,001 - \$15,000    | None           |                   | <input type="checkbox"/>            |
| Pennsylvania State Employee Credit Union [BA]                                     |       | \$15,001 - \$50,000   | Interest       | \$1 - \$200       | <input type="checkbox"/>            |
| PNC [BA]  | SP    | \$15,001 - \$50,000   | Interest       | \$1 - \$200       | <input type="checkbox"/>            |
| Residential property located in Chaumont, NY [RP]                                 | JT    | \$100,001 - \$250,000 | Rent           | \$1,001 - \$2,500 | <input type="checkbox"/>            |
| LOCATION: Chaumont, NY, US  |       |                       |                |                   |                                     |

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

| Asset   | Owner | Date       | Tx. Type | Amount                | Cap. Gains > \$200?      |
|---|-------|------------|----------|-----------------------|--------------------------|
| Ballard 401K ⇒ Federated Treasury Obligations [MF]                            |       | 01/21/2019 | S        | \$15,001 - \$50,000   | <input type="checkbox"/> |
| Ballard 401K ⇒ Vanguard Target Date 2015 [MF]                                 |       | 01/21/2019 | S        | \$100,001 - \$250,000 | <input type="checkbox"/> |
| Ballard 401K ⇒ Vanguard Target Date 2020 [MF]                                 |       | 01/21/2019 | S        | \$250,001 - \$500,000 | <input type="checkbox"/> |
| Brokerage ⇒ Vanguard Wellington (VWELX) [MF]                                  | JT    | 12/30/2019 | P        | \$1,001 - \$15,000    |                          |
| Northwestern Mutual IRA #1 ⇒ American Century Short Duration Inf (APOIX) [MF] |       | 08/19/2019 | P        | \$15,001 - \$50,000   |                          |
| Northwestern Mutual IRA #1 ⇒ Baron Asset Fund Inst (BARIX) [MF]               |       | 03/18/2019 | P        | \$15,001 - \$50,000   |                          |
| Northwestern Mutual IRA #1 ⇒ Deutsche Enhanced Commodity (SKIRX) [MF]         |       | 01/23/2019 | P        | \$15,001 - \$50,000   |                          |
| Northwestern Mutual IRA #1 ⇒ Dodge & Cox (DODIX) [MF]                         |       | 01/23/2019 | P        | \$50,001 - \$100,000  |                          |
| Northwestern Mutual IRA #1 ⇒ Europacific Growth (AEPFX) [MF]                  |       | 01/23/2019 | P        | \$15,001 - \$50,000   |                          |
| Northwestern Mutual IRA #1 ⇒ Europacific Growth (AEPFX) [MF]                  |       | 12/20/2019 | P        | \$1,001 - \$15,000    |                          |
| Northwestern Mutual IRA #1 ⇒ Fidelity Adviser Insights (FINSX) [MF]           |       | 01/23/2019 | P        | \$50,001 - \$100,000  |                          |
| Northwestern Mutual IRA #1 ⇒ Fidelity Adviser Insights (FINSX) [MF]           |       | 12/16/2019 | P        | \$1,001 - \$15,000    |                          |
| Northwestern Mutual IRA #1 ⇒ Fidelity Adviser Mid Cap (FIIMX) [MF]            |       | 01/23/2019 | P        | \$1,001 - \$15,000    |                          |
| Northwestern Mutual IRA #1 ⇒ Fidelity Adviser Mid Cap (FIIMX) [MF]            |       | 03/18/2019 | S        | \$15,001 - \$50,000   | <input type="checkbox"/> |
| Northwestern Mutual IRA #1 ⇒  |       | 10/7/2019  | P        | \$15,001 - \$50,000   |                          |

| Asset   | Owner | Date       | Tx. Type    | Amount               | Cap. Gains > \$200?      |
|---|-------|------------|-------------|----------------------|--------------------------|
| Goldman Sachs Absolute Return Tracker (GJRTX) [MF]                      |       |            |             |                      |                          |
| Northwestern Mutual IRA #1 ⇒ Hartford World Bond (HWDIX) [MF]           |       | 01/23/2019 | P           | \$15,001 - \$50,000  |                          |
| Northwestern Mutual IRA #1 ⇒ Hartford World Bond (HWDIX) [MF]           |       | 08/19/2019 | S (partial) | \$15,001 - \$50,000  | <input type="checkbox"/> |
| Northwestern Mutual IRA #1 ⇒ John Hancock Discipline Value (JVMIX) [MF] |       | 01/23/2019 | P           | \$15,001 - \$50,000  |                          |
| Northwestern Mutual IRA #1 ⇒ MFS Value (MEIIX) [MF]                     |       | 01/23/2019 | P           | \$50,001 - \$100,000 |                          |
| Northwestern Mutual IRA #1 ⇒ MFS Value (MEIIX) [MF]                     |       | 10/7/2019  | S (partial) | \$15,001 - \$50,000  | <input type="checkbox"/> |
| Northwestern Mutual IRA #1 ⇒ MFS Value (MEIIX) [MF]                     |       | 12/20/2019 | P           | \$1,001 - \$15,000   |                          |
| Northwestern Mutual IRA #1 ⇒ New World (NFFFX) [MF]                     |       | 01/23/2019 | P           | \$15,001 - \$50,000  |                          |
| Northwestern Mutual IRA #1 ⇒ New World (NFFFX) [MF]                     |       | 12/23/2019 | P           | \$1,001 - \$15,000   |                          |
| Northwestern Mutual IRA #1 ⇒ Northern Small Cap (NOSGX) [MF]            |       | 01/23/2019 | P           | \$15,001 - \$50,000  |                          |
| Northwestern Mutual IRA #1 ⇒ Northern Small Cap (NOSGX) [MF]            |       | 12/20/2019 | P           | \$1,001 - \$15,000   |                          |
| Northwestern Mutual IRA #1 ⇒ Oakmark International (OAKIX) [MF]         |       | 01/23/2019 | P           | \$15,001 - \$50,000  |                          |
| Northwestern Mutual IRA #1 ⇒ PIMCO Income (PONPX) [MF]                  |       | 01/23/2019 | P           | \$15,001 - \$50,000  |                          |
| Northwestern Mutual IRA #1 ⇒ PIMCO Income (PONPX) [MF]                  |       | 08/19/2019 | S (partial) | \$1,001 - \$15,000   | <input type="checkbox"/> |
| Northwestern Mutual IRA #1 ⇒ PIMCO Income (PONPX) [MF]                  |       | 10/7/2019  | S (partial) | \$15,001 - \$50,000  | <input type="checkbox"/> |

| <b>Asset</b>   | <b>Owner</b> | <b>Date</b> | <b>Tx. Type</b> | <b>Amount</b>        | <b>Cap. Gains &gt; \$200?</b> |
|--|--------------|-------------|-----------------|----------------------|-------------------------------|
| Northwestern Mutual IRA #1 ⇒ Principal Global Multi-Strategy Fund Inst. (PSMIX) [MF] |              | 10/7/2019   | P               | \$15,001 - \$50,000  |                               |
| Northwestern Mutual IRA #1 ⇒ Principal Real Estate Securities Fund Inst (PIREX) [MF] |              | 03/18/2019  | P               | \$15,001 - \$50,000  |                               |
| Northwestern Mutual IRA #1 ⇒ T Rowe Price Real Estate (TRREX) [MF]                   |              | 01/24/2019  | P               | \$15,001 - \$50,000  |                               |
| Northwestern Mutual IRA #1 ⇒ T Rowe Price Real Estate (TRREX) [MF]                   |              | 03/18/2019  | S               | \$15,001 - \$50,000  | <input type="checkbox"/>      |
| Northwestern Mutual IRA #1 ⇒ TIAA-CREF Bond Fund Advisor Class (TIBHX) [MF]          |              | 01/23/2019  | P               | \$50,001 - \$100,000 |                               |
| Northwestern Mutual IRA #1 ⇒ TIAA-CREF Bond Fund Advisor Class (TIBHX) [MF]          |              | 08/19/2019  | S<br>(partial)  | \$1,001 - \$15,000   | <input type="checkbox"/>      |
| Northwestern Mutual IRA #1 ⇒ Vanguard Short Term (VFSUX) [MF]                        |              | 01/23/2019  | P               | \$15,001 - \$50,000  |                               |

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

| <b>Source</b>     | <b>Type</b>   | <b>Amount</b> |
|-------------------|---------------|---------------|
| Ballard Spahr LLP | Spouse Salary | N/A           |

## SCHEDULE D: LIABILITIES

| <b>Owner</b> | <b>Creditor</b>  | <b>Date Incurred</b> | <b>Type</b>                              | <b>Amount of Liability</b> |
|--------------|------------------|----------------------|--|----------------------------|
| JT           | PNC              | June 2016            | Residential Mortgage                     | \$100,001 - \$250,000      |
| JT           | PNC              | June 2018            | Home Equity Line of Credit               | \$100,001 - \$250,000      |
| JT           | Mr. Cooper       | January 2011         | Residential Mortgage                     | \$100,001 - \$250,000      |
| JT           | Citizens Bank NA | September 2017       | Mortgage on Chaumont, NY rental property | \$50,001 - \$100,000       |

| <b>Owner</b>                     | <b>Creditor</b> | <b>Date Incurred</b> | <b>Type</b>   | <b>Amount of Liability</b> |
|----------------------------------|-----------------|----------------------|---------------|----------------------------|
| SP                               | Citibank        | March 2018           | Personal Loan | \$100,001 - \$250,000      |
| COMMENTS: Paid in full Feb. 2019 |                 |                      |               |                            |

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- Annuity (Owner: JT)  
LOCATION: US
- Ballard 401K (Owner: SP)
- Ballard 401K
- Brokerage (Owner: JT)  
LOCATION: US
- Northwestern Mutual Insurance (Owner: SP)
- Northwestern Mutual IRA # 2 (Owner: SP)
- Northwestern Mutual IRA #1
- Northwestern Mutual Variable Annuity (Owner: SP)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

#### **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Mary Gay Scanlon , 08/10/2020