



Filing ID #10048615

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. David N. Cicilline
Status: Member
State/District: RI01

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2021
Filing Date: 05/16/2022

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
1297 Caraboa Ln. [RP] LOCATION: Port Charlotte, Charlotte County, FL, US		\$1,001 - \$15,000	None		<input type="checkbox"/>
Allspring Emerging Markets Equity Fund - Class Inst (EMGNX) [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
American Funds EuroPacific Growth Fund Class F-2 (AEPFX) [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
American Funds New World Fund (NFFFX) [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
American Funds The Bond Fund of America (ABNFX) [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
American Funds The Growth Fund of America (GFFFX) [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
American Funds Washington Mutual Investors Fund (WMFFX) [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Brown Advisory Small-Cap Growth Fund Institutional Shares (BAFSX) [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Citizens Bank [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Dodge & Cox Funds - Dodge & Cox Stock Fund (DODGX) [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Franklin Managed Income Fund Class A (FBLAX) [MF]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Goldman Sachs FS Treasury Instruments Fund (FTIXX) [MF]		\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
HarborOne Bank [BA]		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Formerly known as Coastway Community Bank.					
Janus Henderson Small Cap Value Fund (JSCOX) [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Jensen Quality Growth Fund Class I (JENIX) [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
John Hancock Funds Disciplined Value Mid Cap Fund (JVMIX) [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
MFS Emerging Markets Debt Fund (MEDIX) [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
MFS Mid Cap Growth Fund (OTCIX) [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Mortgage Issued- 387 Atwells Ave [DO]		\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: 387 Atwells Ave was sold on 11/1/2018, and mortgage is held by member issued to buyer.					
PIMCO CommodityRealReturn Strategy Fund Institutional Class (PCRIX) [MF]		\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
PIMCO Total Return Fund Institutional Class (PTTRX) [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Prudential Investment Portfolios, Inc. 15 - PGIM High Yield Fund (PHYZX) [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Washington Trust [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Allspring Emerging Markets Equity Fund - Class Inst (EMGNX) [MF]		06/19/2021	P	\$1,001 - \$15,000	
American Funds EuroPacific Growth Fund Class F-2 (AEPFX) [MF]		06/19/2021	P	\$1,001 - \$15,000	
American Funds New World Fund (NFFFX) [MF]		06/19/2021	P	\$1,001 - \$15,000	
American Funds The Bond Fund of America (ABNFX) [MF]		06/19/2021	P	\$1,001 - \$15,000	
American Funds The Growth Fund of America (GFFFX) [MF]		06/19/2021	P	\$1,001 - \$15,000	
American Funds Washington Mutual Investors Fund (WMFFX) [MF]		06/19/2021	P	\$1,001 - \$15,000	
Brown Advisory Small-Cap Growth Fund Institutional Shares (BAFSX) [MF]		06/19/2021	P	\$1,001 - \$15,000	
Dodge & Cox Funds - Dodge & Cox Stock Fund (DODGX) [MF]		06/19/2021	P	\$1,001 - \$15,000	
Franklin Managed Income Fund Class A (FBLAX) [MF]		07/26/2021	P	\$15,001 - \$50,000	
Franklin Managed Income Fund Class A (FBLAX) [MF]		08/18/2021	P	\$15,001 - \$50,000	
Franklin Managed Income Fund Class A (FBLAX) [MF]		10/18/2021	P	\$100,001 - \$250,000	
Janus Henderson Small Cap Value Fund (JSCOX) [MF]		06/19/2021	P	\$1,001 - \$15,000	
Jensen Quality Growth Fund Class I (JENIX) [MF]		06/19/2021	P	\$1,001 - \$15,000	
John Hancock Funds Disciplined Value Mid Cap Fund (JVMIX) [MF]		06/19/2021	P	\$1,001 - \$15,000	
MFS Emerging Markets Debt Fund (MEDIX) [MF]		06/19/2021	P	\$1,001 - \$15,000	
MFS Mid Cap Growth Fund (OTCIX) [MF]		06/19/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
PIMCO Total Return Fund Institutional Class (PTTRX) [MF]		06/19/2021	P	\$1,001 - \$15,000	
Prudential Investment Portfolios, Inc. 15 - PGIM High Yield Fund (PHYZX) [MF]		06/19/2021	P	\$1,001 - \$15,000	

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SCHEDULE C: EARNED INCOME

Source	Type	Amount
Brown University	Salary	\$26,500.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	BB&T Bank	April 2015	Mortgage on Washington DC Residence	\$250,001 - \$500,000
	Coastway Community Credit Union	January 2015	Mortgage on Primary Residence	\$250,001 - \$500,000
	Centerville Bank	January 2020	Mortgage on personal use Condo in Narragansett, RI.	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	The After School Alliance

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Attorney General Alliance	06/14/2021	06/19/2021	Washington, DC - Maui, HI - Boston, MA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. David N. Cicilline , 05/16/2022