



Filing ID #10062967

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Thomas R. Suozzi  
**Status:** Member  
**State/District:** NY03

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2021  
**Filing Date:** 09/25/2024

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Allianz- Qualified IRA ⇒ AZL MVP Growth Index Strategy [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Allianz- Qualified IRA ⇒ AZL MVP TRP Cap App Plus [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
BP Real Estate Opportunity Group LLC [OL]		\$1,001 - \$15,000	None		<input type="checkbox"/>
LOCATION: Sarasota, Sarasota, FL, US					
DESCRIPTION: Minority interest in real estate development project with sole asset located in Sarasota, FL					
Clarapath Corp [OL]		None	None		<input type="checkbox"/>
LOCATION: New York, NY, US					
DESCRIPTION: Investment in a startup company relating to biotech for pathology. Offices are in Manhattan, NY.					
Empirical Risk Management Inc, 100% Interest [OL]	SP	\$1 - \$1,000	Ordinary Business Income	Not Applicable	<input type="checkbox"/>
LOCATION: Glen Cove, Nassau, NY, US					
DESCRIPTION: Insurance brokerage business located in Glen Cove, NY					
Equitable ⇒ Life Insurance [WU]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Equitable- IRA ⇒ XLF Sector Financial Select Sector SPDR ETF [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Equitable- IRA ⇒ XLK Sector Technology Select Sector SPDR [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Equitable- IRA ⇒ XLP Sector Consumer Staples Select Sector SPDR ETF [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Equitable- IRA ⇒ XLRE Select Sector TR Real Estate SPDR ETF [MF]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Equitable- IRA ⇒ XLV Sector Healthcare Select Sector SPDR ETF [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Equitable Life Operations- Suozzi Family Trust [WU]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
HCDC Holdings LLC, 10% Interest [OL]		\$15,001 - \$50,000	Interest, Ordinary Business Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Glen Cove, Nassau, NY, US DESCRIPTION: Minority interest in entity which operates a day camp in East Hampton, NY					
HCDC Realty Corp, 10% Interest [OL]		\$50,001 - \$100,000	Rent	\$1 - \$200	<input type="checkbox"/>
LOCATION: Glen Cove, Nassau, NY, US DESCRIPTION: Minority interest in corporation which holds the property for a day camp in East Hampton, NY					
Isein Holdings LLC, 6% Interest [OL]		\$100,001 - \$250,000	None		<input type="checkbox"/>
LOCATION: West Orange, Essex, NJ, US DESCRIPTION: Minority interest in LLC holding rental property in West Orange, NJ. Section 1031 exchange from property held in Phillipsburg Holdings LLC					
Kepwrs Inv LLC, 1% Interest [OL]		\$15,001 - \$50,000	None		<input type="checkbox"/>
LOCATION: Williamsburg, Kings, NY, US DESCRIPTION: Minority interest in LLC holding rental property in Williamsburg, NY					
Merrill Lynch- Advisor Discretion Account ⇒ Advanced Micro Devices, Inc. (AMD) [ST]		\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Allstate Corporation (ALL) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Merrill Lynch- Advisor Discretion Account ⇒ Apple Inc. (AAPL) [ST]		\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Bristol-Myers Squibb Company (BMY) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Cigna Corporation (CI) [ST]		\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ CVS Health Corporation (CVS) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Dana Incorporated (DAN) [ST]		None	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Devon Energy Corporation (DVN) [ST]		\$50,001 - \$100,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ DexCom, Inc. (DXCM) [ST]		None	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Domino's Pizza Inc (DPZ) [ST]		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Eaton Corporation, PLC Ordinary Shares (ETN) [ST]		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ General Motors Company (GM) [ST]		\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ International Paper Company (IP) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Jazz Pharmaceuticals plc - Ordinary Shares (JAZZ) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Marvell Technology, Inc. (MRVL) [ST]		\$100,001 - \$250,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Merrill Lynch- Advisor Discretion Account ⇒ Microsoft Corporation (MSFT) [ST]		\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ NVIDIA Corporation (NVDA) [ST]		\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ PayPal Holdings, Inc. (PYPL) [ST]		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ PPG Industries, Inc. (PPG) [ST]		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ QUALCOMM Incorporated (QCOM) [ST]		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ RH (RH) [ST]		None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Sylvamo Corporation (SLVM) [ST]		None	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Tesla, Inc. (TSLA) [ST]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ United Rentals, Inc. (URI) [ST]		\$100,001 - \$250,000	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Visa Inc. (V) [ST]		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Waste Management, Inc. (WM) [ST]		None	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Weyerhaeuser Company (WY) [ST]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Apple Inc. (AAPL) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Boeing Company (BA) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Corning Incorporated (GLW) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Merrill Lynch- Advisor Discretion Account- IRA ⇒ CVS Health Corporation (CVS) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Dana Incorporated (DAN) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ International Paper Company (IP) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ iShares U.S. Technology ETF (IYW) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ NextEra Energy, Inc. (NEE) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ NVIDIA Corporation (NVDA) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Sylvamo Corporation (SLVM) [ST]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ United Rentals, Inc. (URI) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Verizon Communications Inc. (VZ) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Walmart Inc. (WMT) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Weyerhaeuser Company (WY) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Naples Vanderbuilt Investors LLC [OL]		\$1,001 - \$15,000	Ordinary income	\$201 - \$1,000	<input type="checkbox"/>
LOCATION: Naples, Collier, FL, US					
DESCRIPTION: Minority interest in rental property in Naples, FL					
National Securities- Advisor Discretion Account ⇒ Acres Capital Debt Opportunity Fund LP [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Affirm Holdings, Inc. - Class A (AFRM) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
National Securities- Advisor Discretion Account ⇒ Apple Inc. (AAPL) [ST]		\$100,001 - \$250,000		Tax-Deferred	<input checked="" type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Block, Inc. Class A Common Stock, (SQ) [ST]		\$15,001 - \$50,000		Tax-Deferred	<input checked="" type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Dollar General Corporation (DG) [ST]		\$50,001 - \$100,000		Tax-Deferred	<input checked="" type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Dow Inc. (DOW) [ST]		\$15,001 - \$50,000		Tax-Deferred	<input checked="" type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Fidelity Government Cash Reserves [BA]		\$100,001 - \$250,000		Tax-Deferred	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Global X FinTech ETF (FINX) [ST]		\$50,001 - \$100,000		Tax-Deferred	<input checked="" type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Microsoft Corporation (MSFT) [ST]		\$50,001 - \$100,000		Tax-Deferred	<input checked="" type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Morgan Stanley (MS) [ST]		\$50,001 - \$100,000		Tax-Deferred	<input checked="" type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ PayPal Holdings, Inc. (PYPL) [ST]		\$50,001 - \$100,000		Tax-Deferred	<input checked="" type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Schlumberger N.V. (SLB) [ST]		\$15,001 - \$50,000		Tax-Deferred	<input checked="" type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Sysco Corporation (SYY) [ST]		\$15,001 - \$50,000		Tax-Deferred	<input checked="" type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ TJX Companies, Inc. (TJX) [ST]		\$15,001 - \$50,000		Tax-Deferred	<input checked="" type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ VanEck Biotech ETF (BBH) [ST]		\$50,001 - \$100,000		Tax-Deferred	<input checked="" type="checkbox"/>
Paradise Square Broadway LP [OL]		None		None	<input checked="" type="checkbox"/>
LOCATION: New York, NY, US					
DESCRIPTION: Entity produces Broadway shows.					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Phillipsburg Holdings LLC, 7% Interest [OL]	None	None			<input type="checkbox"/>
LOCATION: West Orange, Essex, NJ, US					
DESCRIPTION: Minority interest in LLC holding rental property in West Orange, NJ Property transferred to Iselin Holdings LLC in a Section 1031 exchange					
RUVO Realty LLC, 100% Interest [OL]		\$100,001 - \$250,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Glen Cove, Nassau, NY, US					
DESCRIPTION: Office space for rent in Glen Cove, NY					
SGC Commercial II LLC, 1% Interest [OL]		\$15,001 - \$50,000	None		<input type="checkbox"/>
LOCATION: Sarasota, Sarasota, FL, US					
DESCRIPTION: Real Estate development project in Sarasota, FL					
Southampton Country Day Camp LLC, 3% Interest [OL]	None		Ordinary Business Income	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Southampton, Suffolk, NY, US					
DESCRIPTION: Minority interest in entity which operates a day camp located in Southampton, NY					
Southampton Day Camp Realty LLC, 3% Interest [OL]		\$50,001 - \$100,000	Rent	\$1 - \$200	<input type="checkbox"/>
LOCATION: Southampton, Suffolk, NY, US					
DESCRIPTION: Minority interest in rental property located in Southampton, NY					
Suozzi Solutions Inc, 100% Interest [OL]	None	None			<input type="checkbox"/>
LOCATION: Glen Cove, Nassau, NY, US					
DESCRIPTION: Business is inactive and was closed during 2021. 2021 tax return was final return.					
TIAA-CREF => CREF Equity Index R2 [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF => CREF Inflation-Linked Bond R2 [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF => CREF Social Choice R2 [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

\* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Clarapath Corp [PS]		05/5/2021	P	\$250,001 - \$500,000	
DESCRIPTION: Investment in a startup company relating to biotech for pathology. Offices are in Manhattan, NY.					
Merrill Lynch- Advisor Discretion Account ⇒ Advanced Micro Devices, Inc. (AMD) [ST]		07/28/2021	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Advanced Micro Devices, Inc. (AMD) [ST]		06/4/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Allstate Corporation (ALL) [ST]		03/1/2021	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Allstate Corporation (ALL) [ST]		06/4/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Allstate Corporation (ALL) [ST]		03/4/2021	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ Apple Inc. (AAPL) [ST]		06/4/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Apple Inc. (AAPL) [ST]		05/5/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Apple Inc. (AAPL) [ST]		07/28/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Boeing Company (BA) [ST]		01/29/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ BorgWarner Inc. (BWA) [ST]		06/4/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ BorgWarner Inc. (BWA) [ST]		04/1/2021	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ BorgWarner Inc. (BWA) [ST]		08/3/2021	P	\$50,001 - \$100,000	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Merrill Lynch- Advisor Discretion Account ⇒ BorgWarner Inc. (BWA) [ST]		08/26/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Bristol-Myers Squibb Company (BMY) [ST]		06/4/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Bristol-Myers Squibb Company (BMY) [ST]		06/7/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ C3.ai, Inc. Class A (AI) [ST]		06/9/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ C3.ai, Inc. Class A (AI) [ST]		03/15/2021	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ C3.ai, Inc. Class A (AI) [ST]		04/7/2021	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ C3.ai, Inc. Class A (AI) [ST]		06/3/2021	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ C3.ai, Inc. Class A (AI) [ST]		05/5/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ C3.ai, Inc. Class A (AI) [ST]		03/4/2021	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ C3.ai, Inc. Class A (AI) [ST]		03/1/2021	P	\$50,001 - \$100,000	
Merrill Lynch- Advisor Discretion Account ⇒ Catalent, Inc. (CTLT) [ST]		06/3/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Cigna Corporation (CI) [ST]		06/4/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Cigna Corporation (CI) [ST]		10/5/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ CVS Health Corporation (CVS) [ST]		05/5/2021	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒		04/5/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
CVS Health Corporation (CVS) [ST]					
Merrill Lynch- Advisor Discretion Account ⇒ CVS Health Corporation (CVS) [ST]		04/14/2021	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ CVS Health Corporation (CVS) [ST]		11/10/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Dana Incorporated (DAN) [ST]		01/15/2021	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Devon Energy Corporation (DVN) [ST]		05/5/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Devon Energy Corporation (DVN) [ST]		06/4/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ DexCom, Inc. (DXCM) [ST]		06/3/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Domino's Pizza Inc (DPZ) [ST]		06/4/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Domino's Pizza Inc (DPZ) [ST]		03/1/2021	P	\$50,001 - \$100,000	
Merrill Lynch- Advisor Discretion Account ⇒ Eaton Corporation, PLC Ordinary Shares (ETN) [ST]		01/15/2021	P	\$50,001 - \$100,000	
Merrill Lynch- Advisor Discretion Account ⇒ Eaton Corporation, PLC Ordinary Shares (ETN) [ST]		06/4/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Eli Lilly and Company (LLY) [ST]		03/3/2021	P	\$50,001 - \$100,000	
Merrill Lynch- Advisor Discretion Account ⇒ Eli Lilly and Company (LLY) [ST]		05/5/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ General Motors Company (GM) [ST]		06/4/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ International Paper Company (IP) [ST]		03/4/2021	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Merrill Lynch- Advisor Discretion Account ⇒ International Paper Company (IP) [ST]		06/4/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ IPG Photonics Corporation (IPGP) [ST]		04/15/2021	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ IPG Photonics Corporation (IPGP) [ST]		06/3/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Jazz Pharmaceuticals plc - Ordinary Shares (JAZZ) [ST]		10/19/2021	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ JP Morgan Chase & Co. (JPM) [ST]		06/4/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ LendingTree, Inc. (TREE) [ST]		05/5/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Marvell Technology, Inc. (MRVL) [ST]		05/5/2021	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Marvell Technology, Inc. (MRVL) [ST]		06/9/2021	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒ Marvell Technology, Inc. (MRVL) [ST]		03/1/2021	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ Marvell Technology, Inc. (MRVL) [ST]		06/4/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Microsoft Corporation (MSFT) [ST]		06/4/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ NVIDIA Corporation (NVDA) [ST]		06/4/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ NVIDIA Corporation (NVDA) [ST]		05/5/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ PayPal Holdings, Inc. (PYPL) [ST]		06/3/2021	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Merrill Lynch- Advisor Discretion Account ⇒ PayPal Holdings, Inc. (PYPL) [ST]		03/2/2021	P	\$50,001 - \$100,000	
Merrill Lynch- Advisor Discretion Account ⇒ PPG Industries, Inc. (PPG) [ST]		03/1/2021	P	\$50,001 - \$100,000	
Merrill Lynch- Advisor Discretion Account ⇒ PPG Industries, Inc. (PPG) [ST]		06/4/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ PTC Inc. (PTC) [ST]		06/3/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ PTC Inc. (PTC) [ST]		03/2/2021	P	\$50,001 - \$100,000	
Merrill Lynch- Advisor Discretion Account ⇒ QUALCOMM Incorporated (QCOM) [ST]		03/3/2021	P	\$50,001 - \$100,000	
Merrill Lynch- Advisor Discretion Account ⇒ QUALCOMM Incorporated (QCOM) [ST]		06/2/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ RH (RH) [ST]		04/1/2021	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ RH (RH) [ST]		03/1/2021	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ Splunk Inc. (SPLK) [ST]		12/28/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Splunk Inc. (SPLK) [ST]		06/4/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Splunk Inc. (SPLK) [ST]		06/2/2021	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ Spotify Technology S.A. Ordinary Shares (SPOT) [ST]		12/28/2021	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Spotify Technology S.A. Ordinary Shares (SPOT) [ST]		03/9/2021	P	\$1,001 - \$15,000	
Merrill Lynch- Advisor Discretion Account ⇒		04/8/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Spotify Technology S.A. Ordinary Shares (SPOT) [ST]					
Merrill Lynch- Advisor Discretion Account ⇒ Spotify Technology S.A. Ordinary Shares (SPOT) [ST]		05/5/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Spotify Technology S.A. Ordinary Shares (SPOT) [ST]		03/1/2021	P	\$15,001 - \$50,000	
Merrill Lynch- Advisor Discretion Account ⇒ Spotify Technology S.A. Ordinary Shares (SPOT) [ST]		08/26/2021	P	\$50,001 - \$100,000	
Merrill Lynch- Advisor Discretion Account ⇒ Sylvamo Corporation (SLVM) [ST]		10/19/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Tesla, Inc. (TSLA) [ST]		04/7/2021	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Tesla, Inc. (TSLA) [ST]		03/2/2021	P	\$50,001 - \$100,000	
Merrill Lynch- Advisor Discretion Account ⇒ United Rentals, Inc. (URI) [ST]		05/5/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ United Rentals, Inc. (URI) [ST]		06/4/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Visa Inc. (V) [ST]		06/4/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Waste Management, Inc. (WM) [ST]		06/3/2021	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Weyerhaeuser Company (WY) [ST]		03/2/2021	P	\$50,001 - \$100,000	
Merrill Lynch- Advisor Discretion Account ⇒ Weyerhaeuser Company (WY) [ST]		08/3/2021	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account ⇒ Weyerhaeuser Company (WY) [ST]		06/4/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Cigna Corporation (CI) [ST]		10/27/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Merrill Lynch- Advisor Discretion Account- IRA ⇒ NextEra Energy, Inc. (NEE) [ST]		11/16/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Sylvamo Corporation (SLVM) [ST]		11/16/2021	S	\$897.00	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Advanced Micro Devices, Inc. (AMD) [ST]		06/30/2021	P	\$15,001 - \$50,000	
National Securities- Advisor Discretion Account ⇒ Advanced Micro Devices, Inc. (AMD) [ST]		11/18/2021	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Affirm Holdings, Inc. - Class A (AFRM) [ST]		10/13/2021	P	\$15,001 - \$50,000	
National Securities- Advisor Discretion Account ⇒ Amplify ETF Trust Amplify Online Retail ETF (IBUY) [ST]		06/3/2021	P	\$15,001 - \$50,000	
National Securities- Advisor Discretion Account ⇒ Amplify ETF Trust Amplify Online Retail ETF (IBUY) [ST]		08/16/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Apple Inc. (AAPL) [ST]		06/3/2021	P	\$50,001 - \$100,000	
National Securities- Advisor Discretion Account ⇒ Apple Inc. (AAPL) [ST]		11/18/2021	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Apple Inc. (AAPL) [ST]		10/19/2021	P	\$50,001 - \$100,000	
National Securities- Advisor Discretion Account ⇒ ARK Innovation ETF (ARKK) [ST]		04/20/2021	P	\$15,001 - \$50,000	
National Securities- Advisor Discretion Account ⇒ ARK Innovation ETF (ARKK) [ST]		05/20/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Block, Inc. Class A Common Stock, (SQ) [ST]		05/29/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Block, Inc. Class A Common Stock, (SQ) [ST]		10/19/2021	P	\$50,001 - \$100,000	
DESCRIPTION: Stock formerly known as Square Inc					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
National Securities- Advisor Discretion Account ⇒ Carnival Corporation (CCL) [ST]		05/20/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Carnival Corporation (CCL) [ST]		03/4/2021	P	\$15,001 - \$50,000	
National Securities- Advisor Discretion Account ⇒ CRISPR Therapeutics AG - Common Shares (CRSP) [ST]		06/3/2021	P	\$50,001 - \$100,000	
National Securities- Advisor Discretion Account ⇒ CRISPR Therapeutics AG - Common Shares (CRSP) [ST]		09/20/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ CVS Health Corporation (CVS) [ST]		06/4/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ CVS Health Corporation (CVS) [ST]		10/20/2021	P	\$15,001 - \$50,000	
National Securities- Advisor Discretion Account ⇒ Dollar General Corporation (DG) [ST]		10/20/2021	P	\$50,001 - \$100,000	
National Securities- Advisor Discretion Account ⇒ Dow Inc. (DOW) [ST]		11/4/2021	P	\$15,001 - \$50,000	
National Securities- Advisor Discretion Account ⇒ Electrameccanica Vehicles Corp. Ltd. (SOLO) [ST]		02/16/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Electrameccanica Vehicles Corp. Ltd. (SOLO) [ST]		01/11/2021	P	\$15,001 - \$50,000	
National Securities- Advisor Discretion Account ⇒ Fidelity Total Bond ETF (FBND) [ST]		06/9/2021	P	\$50,001 - \$100,000	
National Securities- Advisor Discretion Account ⇒ Fidelity Total Bond ETF (FBND) [ST]		10/6/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ First Trust NASDAQ Cybersecurity ETF (CIBR) [ST]		06/3/2021	P	\$15,001 - \$50,000	
National Securities- Advisor Discretion Account ⇒ First Trust NASDAQ Cybersecurity ETF (CIBR) [ST]		09/20/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
National Securities- Advisor Discretion Account ⇒ Ford Motor Company (F) [ST]		06/3/2021	P	\$15,001 - \$50,000	
National Securities- Advisor Discretion Account ⇒ Ford Motor Company (F) [ST]		10/6/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ General Electric Company (GE) [ST]		10/6/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Global X FinTech ETF (FINX) [ST]		06/3/2021	P	\$15,001 - \$50,000	
National Securities- Advisor Discretion Account ⇒ Global X FinTech ETF (FINX) [ST]		11/4/2021	P	\$15,001 - \$50,000	
National Securities- Advisor Discretion Account ⇒ Invesco Dynamic Leisure and Entertainment ETF (PEJ) [ST]		06/3/2021	P	\$15,001 - \$50,000	
National Securities- Advisor Discretion Account ⇒ Invesco Dynamic Leisure and Entertainment ETF (PEJ) [ST]		09/17/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ iShares National Muni Bond ETF (MUB) [ST]		06/9/2021	P	\$50,001 - \$100,000	
National Securities- Advisor Discretion Account ⇒ iShares National Muni Bond ETF (MUB) [ST]		10/6/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Li Auto Inc. - American Depository Shares (LI) [ST]		01/7/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Live Nation Entertainment, Inc. (LYV) [ST]		02/10/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Live Nation Entertainment, Inc. (LYV) [ST]		01/21/2021	P	\$15,001 - \$50,000	
National Securities- Advisor Discretion Account ⇒ MGM Resorts International (MGM) [ST]		06/3/2021	P	\$15,001 - \$50,000	
National Securities- Advisor Discretion Account ⇒ MGM Resorts International (MGM) [ST]		09/17/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒		11/21/2021	P	\$50,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Microsoft Corporation (MSFT) [ST]				\$100,000	
National Securities- Advisor Discretion Account ⇒ Morgan Stanley (MS) [ST]		11/24/2021	P	\$100,001 - \$250,000	
National Securities- Advisor Discretion Account ⇒ NIO Inc. American depository shares, each representing one Class A ordinary share (NIO) [ST]		05/20/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Norwegian Cruise Line Holdings Ltd. Ordinary Shares (NCLH) [ST]		02/10/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Norwegian Cruise Line Holdings Ltd. Ordinary Shares (NCLH) [ST]		01/21/2021	P	\$15,001 - \$50,000	
National Securities- Advisor Discretion Account ⇒ PayPal Holdings, Inc. (PYPL) [ST]		05/20/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ PayPal Holdings, Inc. (PYPL) [ST]		03/11/2021	P	\$15,001 - \$50,000	
National Securities- Advisor Discretion Account ⇒ PayPal Holdings, Inc. (PYPL) [ST]		11/4/2021	P	\$50,001 - \$100,000	
National Securities- Advisor Discretion Account ⇒ QuantumScape Corporation Class A (QS) [ST]		02/24/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ QuantumScape Corporation Class A (QS) [ST]		02/19/2021	P	\$15,001 - \$50,000	
National Securities- Advisor Discretion Account ⇒ Real Estate Select Sector SPDR Fund (XLRE) [ST]		06/9/2021	P	\$50,001 - \$100,000	
National Securities- Advisor Discretion Account ⇒ Real Estate Select Sector SPDR Fund (XLRE) [ST]		08/16/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Roblox Corporation Class A (RBLX) [ST]		06/30/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Roblox Corporation Class A (RBLX) [ST]		06/21/2021	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
National Securities- Advisor Discretion Account ⇒ Schlumberger N.V. (SLB) [ST]		11/4/2021	P	\$15,001 - \$50,000	
National Securities- Advisor Discretion Account ⇒ Southwest Airlines Company (LUV) [ST]		05/20/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Southwest Airlines Company (LUV) [ST]		03/4/2021	P	\$15,001 - \$50,000	
National Securities- Advisor Discretion Account ⇒ Sysco Corporation (SYY) [ST]		10/20/2021	P	\$15,001 - \$50,000	
National Securities- Advisor Discretion Account ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]		06/11/2021	P	\$15,001 - \$50,000	
National Securities- Advisor Discretion Account ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]		10/6/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ The Bank of New York Mellon Corporation (BK) [ST]		06/3/2021	P	\$50,001 - \$100,000	
National Securities- Advisor Discretion Account ⇒ The Bank of New York Mellon Corporation (BK) [ST]		11/10/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ The Carlyle Group Inc. (CG) [ST]		11/18/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ The Carlyle Group Inc. (CG) [ST]		10/20/2021	P	\$50,001 - \$100,000	
National Securities- Advisor Discretion Account ⇒ TJX Companies, Inc. (TJX) [ST]		11/4/2021	P	\$15,001 - \$50,000	
National Securities- Advisor Discretion Account ⇒ TrueShares Technology, AI & Deep Learning ETF (LRNZ) [ST]		06/3/2021	P	\$15,001 - \$50,000	
National Securities- Advisor Discretion Account ⇒ TrueShares Technology, AI & Deep Learning ETF (LRNZ) [ST]		10/6/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ TrueShares Technology, AI & Deep Learning ETF (LRNZ) [ST]		09/20/2021	S	\$15,001 - \$50,000 (partial)	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
National Securities- Advisor Discretion Account ⇒ U.S. Global Jets ETF (JETS) [ST]		01/15/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Uber Technologies, Inc. (UBER) [ST]		05/20/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Uber Technologies, Inc. (UBER) [ST]		01/13/2021	P	\$15,001 - \$50,000	
National Securities- Advisor Discretion Account ⇒ VanEck Biotech ETF (BBH) [ST]		10/20/2021	P	\$50,001 - \$100,000	
National Securities- Advisor Discretion Account ⇒ WisdomTree Cloud Computing Fund (WCLD) [ST]		06/3/2021	P	\$50,001 - \$100,000	
National Securities- Advisor Discretion Account ⇒ WisdomTree Cloud Computing Fund (WCLD) [ST]		09/20/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
National Securities- Advisor Discretion Account ⇒ Zscaler, Inc. (ZS) [ST]		01/6/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Paradise Square Broadway LP [OL]		11/1/2021	P	\$15,001 - \$50,000	

\* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## **SCHEDULE C: EARNED INCOME**

None disclosed.

## **SCHEDULE D: LIABILITIES**

None disclosed.

## **SCHEDULE E: POSITIONS**

None disclosed.

## **SCHEDULE F: AGREEMENTS**

None disclosed.

## **SCHEDULE G: GIFTS**

None disclosed.

## **SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

None disclosed.

## **SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

## **SCHEDULE A AND B INVESTMENT VEHICLE DETAILS**

- Allianz- Qualified IRA
- Equitable
- Equitable- IRA (Owner: SP)
- Merrill Lynch- Advisor Discretion Account  
LOCATION: US  
DESCRIPTION: Advisor Discretion Account under control of asset manager.
- Merrill Lynch- Advisor Discretion Account  
LOCATION: US  
DESCRIPTION: Advisor Discretion Account under control of asset manager.
- Merrill Lynch- Advisor Discretion Account- IRA
- National Securities- Advisor Discretion Account
- TIAA-CREF (Owner: SP)

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Thomas R. Suozzi , 09/25/2024