



Filing ID #10041444

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. S. Raja Krishnamoorthi  
**Status:** Member  
**State/District:** IL08

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2020  
**Filing Date:** 07/25/2021

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Adventist Midwest Health 403b ⇒ American Funds EuroPacific Gr R6 [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Adventist Midwest Health 403b ⇒ Dodge & Cox Stock [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Adventist Midwest Health 403b ⇒ Principal LargeCap Growth I Inst [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Adventist Midwest Health 403b ⇒ Vanguard Inst Index Instl Pl [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Adventist Midwest Health 457b Vol Deferred Comp Plan ⇒ American Funds EuroPacific Gr R6 [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Adventist Midwest Health 457b Vol Deferred Comp Plan ⇒ Baird Aggregate Bond Instl [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Adventist Midwest Health 457b Vol Deferred Comp Plan ⇒ Causeway Emerging Markets Instl [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Adventist Midwest Health 457b Vol Deferred Comp Plan ⇒ Dodge & Cox Stock [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Adventist Midwest Health 457b Vol Deferred Comp Plan ⇒ Principal LargeCap Growth I Instl [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Adventist Midwest Health 457b Vol Deferred Comp Plan ⇒ Vanguard Inst Index Instl Pl [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Adventist Midwest Health Employer Contrib Acct ⇒ American Funds EuroPacific Gr R6 [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Illinois' Bright Start 529 Child a ⇒ Index Moderate Age Based 13-14 [MF]		None	Tax-Deferred		<input type="checkbox"/>
COMMENTS: The assets in this fund were automatically transitioned to the next age-based portfolio, namely the 15-16 age-based portfolio on my son's birthday in July 2020.					
Illinois' Bright Start 529 Child a ⇒ Index Moderate Age Based 15-16 [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Illinois' Bright Start 529 child b ⇒ Index Moderate Age Based 11-12 [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Illinois' Bright Start 529 child b ⇒ Index Moderate Age Based 9-10 [MF]		None	Tax-Deferred		<input type="checkbox"/>
COMMENTS: The assets in this fund were automatically transitioned to the next age-based portfolio, namely the 11-12 age-based portfolio on my son's birthday in May 2020.					
Illinois' Bright Start 529 child c ⇒ Index Moderate Age-Based 3-5 Portfolio [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
JP Morgan Chase (various accounts) [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Matangi P Bala Retirement Fund TD Ameritrade ⇒ Insrd Dep Acct [OT]		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
DESCRIPTION: This is a cash-equivalent Insured Deposit Account					
Matangi P Bala Retirement Fund TD Ameritrade ⇒ Vanguard Target Retirement 2040 (VFORX) [MF]		\$250,001 - \$500,000	Capital Gains, Dividends, Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Matangi Priyasri Bala Roth IRA TD Ameritrade ⇒ Insrd Dep Acct [OT]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: This is a cash-equivalent Insured Deposit Account.					
Matangi Priyasri Bala Roth IRA TD Ameritrade ⇒ Vanguard Target Retirement 2040 (VFORX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Matangipriyasri Bala IRA TD Ameritrade ⇒ Vanguard Target Retirement 2040 (VFORX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Matangipriyasri Bala IRA TD Ameritrade ⇒ VANGUARD TARGET RETIREMENT 2045 FUND (VTIVX) [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Matangipriyasri Bala IRA TD Ameritrade ⇒ Vanguard Target Retirement 2050 (VFIFX) [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Matangipriyasri Bala IRA TD Ameritrade ⇒ Vanguard Target Retirement 2055 (VFFVX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Metlife Flexible Premium Multifunded Life Insurance ⇒ Blackrock Bond Income Portfolio [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Metlife Flexible Premium Multifunded Life Insurance ⇒ Frontier Midcap Growth Portfolio [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Metlife Flexible Premium Multifunded Life Insurance ⇒ MetLife Stock Index Portfolio [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Metlife Flexible Premium Multifunded Life Insurance ⇒ Neuberger Berman Genesis Portfolio [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Priya 401k from Midwest Anesthesia Partners ⇒ Cash and Cash Investments [OT]		None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: These funds were liquidated and rolled over to TD Ameritrade.					
Priya 401k from Midwest Anesthesia Partners ⇒ Schwab 1000 Index Fund (SNXFX) [MF]		None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: These funds were liquidated and rolled over to TD Ameritrade.					
Priya 401k from Midwest Anesthesia Partners ⇒ Schwab Small Cap Index Fund (SWSSX) [MF]		None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: These funds were liquidated and rolled over to TD Ameritrade.					
Priya 401k from Midwest Anesthesia Partners ⇒		None	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Schwab Target 2050 Index (SWYMX) [MF]					<input type="checkbox"/>
DESCRIPTION: These funds were liquidated and rolled over to TD Ameritrade.					
Priya 401k from Midwest Anesthesia Partners ⇒ Vanguard Growth Index Admiral [MF]		None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: These funds were liquidated and rolled over to TD Ameritrade.					
Priya 401k from Midwest Anesthesia Partners ⇒ Vanguard Small Cap Value Index (VSIAX) [MF]		None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: These funds were liquidated and rolled over to TD Ameritrade.					
Priya 401k from Midwest Anesthesia Partners ⇒ Vanguard Target Retirement 2050 (VFIFX) [MF]		None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: These funds were liquidated and rolled over to TD Ameritrade.					
Rental property at 3260 N Clark St, Unit 408, Chicago, IL 60657 [RP]		\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Chicago, IL, US					
Rental property at 410 Lafayette Lane, Hoffman Estates, IL 60169 [RP]		\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Hoffman Estates, IL, US					
Restricted shares of EPIR [PS]		\$50,001 - \$100,000	None		<input type="checkbox"/>
DESCRIPTION: Bolingbrook, IL company in semiconductor space					
Restricted shares of Episensors [PS]		\$50,001 - \$100,000	None		<input type="checkbox"/>
DESCRIPTION: Bolingbrook, IL company that specializes in night vision camera technology.					
Restricted shares of Health-I-Pass [OT]		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: These shares were acquired by an entity that acquired Health-I-Pass in 2020 so I no longer owned them at the end of the year. Health-I-Pass was a Chicago-area company that specialized in payment processing for health care providers.					
Sphere Commerce Restricted Shares [PS]		\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: These were received in exchange for Health-I-Pass shares which were acquired by Sphere Commerce. Sphere Commerce is a Nashville, TN company specializing in payment processing.					
SRK Solo401k TD Ameritrade ⇒ Vanguard Target Retirement 2040 (VFORX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
State of Illinois Deferred Compensation [DB]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
COMMENTS: Though I no longer make any contributions to the Plan, I am still a participant due to my past contributions. I am not fully vested to					

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
be eligible for a pension.					
Subramanian Krishnamoorthi & Matangi Bala Retirement Account at TD Ameritrade ⇒ Vanguard Target Retirement 2040 (VFORX) [MF]		\$50,001 - \$100,000	Capital Gains, Dividends, Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Subramanian R Krishnamoorthi IRA TD Ameritrade ⇒ Vanguard Target Retirement 2040 (VFORX) [MF]		\$250,001 - \$500,000	Tax-Deferred		<input checked="" type="checkbox"/>
Subramanian R Krishnamoorthi IRA TD Ameritrade ⇒ Vanguard Target Retirement 2045 Fund (VTIVX) [MF]		\$250,001 - \$500,000	Tax-Deferred		<input checked="" type="checkbox"/>
Subramanian R Krishnamoorthi Roth IRA TD Ameritrade ⇒ Vanguard Target Retirement 2040 (VFORX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Subramanian Raja Krishnamoorthi SEP IRA TD Ameritrade ⇒ Vanguard Target Retirement 2040 (VFORX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Health-I-Pass [PS]		12/11/2020	E	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: The entirety of my Health-I-Pass shares was converted to a combination of restricted shares of Sphere Commerce, cash consideration, and future potential pro rata payouts.					
Illinois' Bright Start 529 Child a ⇒ Index Moderate Age Based 15-16 [MF]		09/10/2020	P	\$1,001 - \$15,000	
Illinois' Bright Start 529 child b ⇒ Index Moderate Age-Based 11-12 [MF]		09/10/2020	P	\$1,001 - \$15,000	
Illinois' Bright Start 529 child c ⇒ Index Moderate Age-Based 3-5 [MF]		09/10/2020	P	\$1,001 - \$15,000	
Matangi P Bala Retirement Fund TD Ameritrade ⇒ VFORX [MF]		12/31/2020	P	\$1,001 - \$15,000	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Matangipriyasri Bala IRA TD Ameritrade ⇒ Vanguard Target Retirement 2045 Fund (VTIVX) [MF]		12/31/2020	P	\$1,001 - \$15,000	
Matangipriyasri Bala IRA TD Ameritrade ⇒ Vanguard Target Retirement 2050 (VFIFX) [MF]		08/3/2020	P	\$1,001 - \$15,000	
Matangipriyasri Bala IRA TD Ameritrade ⇒ Vanguard Target Retirement 2050 Fund (VFIFX) [MF]		12/31/2020	P	\$1,001 - \$15,000	
Matangipriyasri Bala IRA TD Ameritrade ⇒ Vanguard Target Retirement 2055 (VFFVX) [MF]		12/21/2020	P	\$50,001 - \$100,000	
Matangipriyasri Bala IRA TD Ameritrade ⇒ Vanguard Target Retirement 2055 Fund (VFFVX) [MF]		12/31/2020	P	\$1,001 - \$15,000	
Priya 401k from Midwest Anesthesia Partners ⇒ Schwab 1000 Index Fund (SNXFX) [MF]		09/9/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: This was part of the liquidation and rollover of Priya's 401k at Midwest Anesthesia Partners to TD Ameritrade.					
Priya 401k from Midwest Anesthesia Partners ⇒ Schwab Small Cap Index Fund (SWSSX) [MF]		09/9/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: This was part of the liquidation and rollover of Priya's 401k at Midwest Anesthesia Partners to TD Ameritrade.					
Priya 401k from Midwest Anesthesia Partners ⇒ Schwab Target 2050 Index (SWYMX) [MF]		09/9/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: This was part of the liquidation and rollover of Priya's 401k at Midwest Anesthesia Partners to TD Ameritrade.					
Priya 401k from Midwest Anesthesia Partners ⇒ Vanguard Growth Index Admiral (VIGAX) [MF]		09/9/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: This was part of the liquidation and rollover of Priya's 401k at Midwest Anesthesia Partners to TD Ameritrade.					
Priya 401k from Midwest Anesthesia Partners ⇒ Vanguard Small Cap Value Index (VSIAX) [MF]		09/9/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: This was part of the liquidation and rollover of Priya's 401k at Midwest Anesthesia Partners to TD Ameritrade.					
Priya 401k from Midwest Anesthesia Partners ⇒ Vanguard Target Retirement 2050 (VFIFX) [MF]		09/9/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: This was part of the liquidation and rollover of Priya's 401k at Midwest Anesthesia Partners to TD Ameritrade.					
Subramanian Krishnamoorthi & Matangi Bala Retirement Account at TD Ameritrade ⇒ Vanguard Target Retirement 2040 (VFORX) [MF]		12/31/2020	P	\$1,001 - \$15,000	
Subramanian R Krishnamoorthi IRA TD Ameritrade ⇒ Vanguard Target Retirement 2040 Fund (VFORX) [MF]		12/31/2020	P	\$1,001 - \$15,000	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Subramanian R Krishnamoorthi IRA TD Ameritrade ⇒ Vanguard Target Retirement 2045 Fund (VTIVX) [MF]		08/3/2020	P	\$1,001 - \$15,000	
Subramanian R Krishnamoorthi IRA TD Ameritrade ⇒ Vanguard Target Retirement 2045 Fund (VTIVX) [MF]		12/31/2020	P	\$1,001 - \$15,000	

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## SCHEDULE C: EARNED INCOME

<b>Source</b>	<b>Type</b>	<b>Amount</b>
Amita	Spouse Salary	N/A

## SCHEDULE D: LIABILITIES

<b>Owner</b>	<b>Creditor</b>	<b>Date Incurred</b>	<b>Type</b>	<b>Amount of Liability</b>
JT	Sierra Pacific	August 2012	Rental property mortgage on 410 Lafayette Ln, Hoffman Estates, IL 60169	\$100,001 - \$250,000
JT	Nationstar	July 2012	Rental property mortgage on 3260 N Clark St, Unit 408, Chicago, IL 60657	\$100,001 - \$250,000
SP	Edfinancial Services	July 2000	Medical school loans for spouse	\$50,001 - \$100,000
JT	Citizens One	July 2013	Home mortgage	\$250,001 - \$500,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

<b>Date</b>	<b>Parties To</b>	<b>Terms of Agreement</b>
September 2007	Me and the State of Illinois	State of Illinois Deferred Compensation Plan - Though I no longer make any contributions to the Plan, I am still a participant due my past contributions. I am not fully vested to be eligible for a pension.
January 2007	Me and Kirkland and Ellis LLP - Randolph Street Investment Partners LP, 2007 PEF	Though I no longer make any contributions to the Fund, I am still a participant due to my past contributions which amount to

Date	Parties To	Terms of Agreement
		0.023% of the Fund's investments.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- Adventist Midwest Health 403b
- Adventist Midwest Health 457b Vol Deferred Comp Plan
- Adventist Midwest Health Employer Contrib Acct
- Illinois' Bright Start 529 Child a  
LOCATION: IL
- Illinois' Bright Start 529 child b  
LOCATION: IL
- Illinois' Bright Start 529 child c  
LOCATION: IL
- Matangi P Bala Retirement Fund TD Ameritrade
- Matangi Priyasri Bala Roth IRA TD Ameritrade
- Matangipriyasri Bala IRA TD Ameritrade
- Metlife Flexible Premium Multifunded Life Insurance  
LOCATION: US
- Priya 401k from Midwest Anesthesia Partners
- SRK Solo401k TD Ameritrade
- Subramanian Krishnamoorthi & Matangi Bala Retirement Account at TD Ameritrade
- Subramanian R Krishnamoorthi IRA TD Ameritrade
- Subramanian R Krishnamoorthi Roth IRA TD Ameritrade
- Subramanian Raja Krishnamoorthi SEP IRA TD Ameritrade

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not

be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## COMMENTS

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. S. Raja Krishnamoorthi , 07/25/2021