



Filing ID #10041328

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Andrew Garbarino
Status: Member
State/District: NY02

FILING INFORMATION

Filing Type: New Filer Report
Filing Year: 2020
Filing Date: 08/11/2021

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Andrew Roth IRA ⇒ ADNCX American Beacon [MF]		\$15,001 - \$50,000	Tax-Deferred		
Andrew Roth IRA ⇒ AGTHX Growth Fund of America [MF]		\$1,001 - \$15,000	Tax-Deferred		
Andrew Roth IRA ⇒ AT&T Inc. (T) [ST]		\$1 - \$1,000	Tax-Deferred		
Andrew Roth IRA ⇒ Intel Corporation (INTC) [ST]		\$1 - \$1,000	Tax-Deferred		
Andrew Roth IRA ⇒ MDU Resources Group, Inc. Common Stock (MDU) [ST]		\$1 - \$1,000	Tax-Deferred		
Andrew Roth IRA ⇒ Roper Technologies, Inc. (ROP) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Andrew Roth IRA ⇒ Umpqua Holdings Corporation (UMPQ) [ST]		\$1 - \$1,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Andrew Roth IRA ⇒ VMCCX Virtus KAR Mid-Cap Core Fund C [MF]		\$1,001 - \$15,000	Tax-Deferred		
Andrew Roth IRA ⇒ Zoetis Inc. Class A (ZTS) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Bristol-Myers Squibb Company (BMY) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Capital One Bank Account [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
MetLife Whole Life Insurance [WU]		\$50,001 - \$100,000	Tax-Deferred		
NYS Deferred Compensation Plan 457(b) [OT]		\$15,001 - \$50,000	Tax-Deferred		
DESCRIPTION: NYS Deferred Compensation Plan, 457(b). No new contributions, just deferred growth.					
PennMutual Whole Life [WU]		\$1,001 - \$15,000	Tax-Deferred		
Stock Account ⇒ OneSpaWorld Holdings Limited - Common Shares (OSW) [ST]		\$1,001 - \$15,000	None		
Stock Account ⇒ Oramed Pharmaceuticals Inc. (ORMP) [ST]		\$1,001 - \$15,000	None		
TD Bank Account [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	\$1 - \$200

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
State of New York	Salary	N/A	\$108,498.37
William R. Garbarino Esq	My salary as an employee of William R. Garbarino Esq Law firm	N/A	\$44,292.40
Andrew R. Garbarino Esq	Salary	N/A	\$5,700.00

SCHEDULE D: LIABILITIES

Owner Creditor	Date Incurred	Type	Amount of Liability
Navient	September 2006- May 2009	Law school Loans	\$50,001 - \$100,000
Ridgewood Savings Bank	May 2021	Home Mortgage Refinance	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2018	Andrew Garbarino and New York State	I have a 457(b) plan that is with New York State Deferred Compensation Office. This plan was funded with voluntary contributions from my pay when I was a member of the New York State Assembly. The balance of the account will sit in the 457(b) account until I withdraw it.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- Andrew Roth IRA
- Stock Account
LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Andrew Garbarino , 08/11/2021