



Filing ID #10041143

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Cheri Bustos  
**Status:** Member  
**State/District:** IL17

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2020  
**Filing Date:** 08/3/2021

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Illinois Municipal Retirement Fund [PE]	SP	Undetermined	See Schedule C	Not Applicable	<input type="checkbox"/>
Mississippi Value Credit Union Accounts [BA]	JT	\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
UnityPoint Health 457(b) - Fidelity ⇒ JPMorgan SmartRetirement 2025(JNSIX) [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA # 1 ⇒ Alger SmallCap Growth Institutional Fund Class Z-2 (AISZX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA # 1 ⇒ Fidelity Advisor Growth Opportunities FD CL I (FAGCX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA # 1 ⇒ Fidelity Investments Money Market FDS Instl (FNSXX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA # 1 ⇒ Goldman Sachs Investor Money Market Fund (FMJXX)		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
[MF]					
Wells Fargo IRA # 1 ⇒ Guggenheim Limited Duration Fund Institutional Class (GILHX) [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA # 1 ⇒ Loomis Sayles Global Allocation Fund CL Y (LSWWX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA # 1 ⇒ Thornburg Limited Term Income Fund Class I (THIIX) [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA # 1 ⇒ Wells Fargo Account [BA]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA # 1 ⇒ Wells Fargo Money Market Fund (WMPXX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA # 2 ⇒ Guggenheim Limited Duration Fund C-Class (GILFX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA # 2 ⇒ Invesco American Franchise Fund Class A (VAFAX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA # 2 ⇒ Invesco American Franchise Fund Class C (VAFCX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA # 2 ⇒ Invesco Short Term Bond Fund Class A (STBAX) [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA # 2 ⇒ Invesco Short Term Bond Fund Class C (STBCX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Wells Fargo IRA # 1 ⇒ Alger SmallCap Growth Institutional Fund Class Z-2 (AISZX) [MF]		11/12/2020	P	\$15,001 - \$50,000	
Wells Fargo IRA # 1 ⇒ Amazon.com, Inc. (AMZN) [ST]		03/17/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo IRA # 1 ⇒ Comcast Corporation - Class A (CMCSA) [ST]		02/25/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo IRA # 1 ⇒ Fidelity Advisor Growth Opportunities FD CL I (FAGCX) [MF]		11/12/2020	P	\$50,001 - \$100,000	
Wells Fargo IRA # 1 ⇒ Fidelity Investments Money Market FDS Instl (FNSXX) [MF]		02/26/2020	P	\$1,001 - \$15,000	
Wells Fargo IRA # 1 ⇒ Goldman Sachs Investor Money Market Fund (FMJXX) [MF]		04/27/2020	P	\$1,001 - \$15,000	
Wells Fargo IRA # 1 ⇒ Guggenheim Limited Duration Fund Institutional Class (GILHX) [MF]		06/17/2020	P	\$100,001 - \$250,000	
Wells Fargo IRA # 1 ⇒ Invesco QQQ (QQQ) [EF]		05/8/2020	P	\$15,001 - \$50,000	
Wells Fargo IRA # 1 ⇒ Invesco QQQ (QQQ) [EF]		06/17/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo IRA # 1 ⇒ Invesco QQQ TR Unit Ser 1 (QQQ) [EF]		03/12/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo IRA # 1 ⇒ Invesco S&P MidCap Momentum (XMMO) [EF]		03/6/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo IRA # 1 ⇒ iShares U.S. Medical Devices ETF (IHI) [EF]		04/27/2020	P	\$15,001 - \$50,000	
Wells Fargo IRA # 1 ⇒ iShares U.S. Medical Devices ETF (IHI) [EF]		06/17/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Wells Fargo IRA # 1 ⇒ Janus Henderson Funds Balanced Fd Class I (JBALX) [MF]		06/17/2020	S	\$50,001 - \$100,000	<input type="checkbox"/>
Wells Fargo IRA # 1 ⇒ L3Harris Technologies, Inc. (LHX) [ST]		03/17/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo IRA # 1 ⇒ Loomis Sayles Global Allocation Fund CL Y (LSWWX) [MF]		06/17/2020	S	\$50,001 - \$100,000	<input type="checkbox"/>
Wells Fargo IRA # 1 ⇒ Loomis Sayles Global Allocation Fund CL Y (LSWWX) [MF]		11/12/2020	P	\$50,001 - \$100,000	
Wells Fargo IRA # 1 ⇒ Mastercard Incorporated (MA) [ST]		03/24/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo IRA # 1 ⇒ Target Corporation (TGT) [ST]		02/10/2020	P	\$15,001 - \$50,000	
Wells Fargo IRA # 1 ⇒ Target Corporation (TGT) [ST]		02/25/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo IRA # 1 ⇒ Thornburg Limited Term Income Fund Class I (THIIX) [MF]		06/17/2020	P	\$100,001 - \$250,000	
Wells Fargo IRA # 1 ⇒ Vanguard Small Cap Growth (VBK) [EF]		02/25/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo IRA # 1 ⇒ Walt Disney Company (DIS) [ST]		02/10/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo IRA # 1 ⇒ Wells Fargo Money Market Fund (WMPXX) [MF]		03/12/2020	P	\$1,001 - \$15,000	
Wells Fargo IRA # 1 ⇒ Wells Fargo Money Market Fund (WMPXX) [MF]		03/17/2020	P	\$15,001 - \$50,000	
Wells Fargo IRA # 1 ⇒ Wells Fargo Money Market Fund (WMPXX) [MF]		04/13/2020	P	\$50,001 - \$100,000	
Wells Fargo IRA # 2 ⇒ Altra Industrial Motion Corp. (AIMC) [ST]		04/6/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Wells Fargo IRA # 2 ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		04/6/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo IRA # 2 ⇒ Guggenheim Limited Duration Fund C-Class (GILFX) [MF]		06/24/2020	P	\$15,001 - \$50,000	
Wells Fargo IRA # 2 ⇒ Invesco American Franchise Fund Class A (VAFAF) [MF]		11/12/2020	P	\$50,001 - \$100,000	
Wells Fargo IRA # 2 ⇒ Invesco American Franchise Fund Class C (VAFCX) [MF]		06/17/2020	S	\$50,001 - \$100,000	<input type="checkbox"/>
Wells Fargo IRA # 2 ⇒ Invesco American Franchise Fund Class C (VAFCX) [MF]		11/12/2020	P	\$1,001 - \$15,000	
Wells Fargo IRA # 2 ⇒ Invesco Diversified Dividend Fund C (LCEVX) [MF]		06/17/2020	S	\$50,001 - \$100,000	<input type="checkbox"/>
Wells Fargo IRA # 2 ⇒ Invesco Equally-Weighted S&P 500 C (VADCX) [MF]		06/17/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo IRA # 2 ⇒ Invesco Short Term Bond Fund Class A (STBAX) [MF]		06/17/2020	P	\$100,001 - \$250,000	
Wells Fargo IRA # 2 ⇒ Invesco Short Term Bond Fund Class C (STBCX) [MF]		06/17/2020	P	\$50,001 - \$100,000	
Wells Fargo IRA # 2 ⇒ Invesco Short Term Bond Fund Class C (STBCX) [MF]		11/12/2020	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Wells Fargo IRA # 2 ⇒ Invesco Small Cap Discovery CL C (VCSCX) [MF]		04/22/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo IRA # 2 ⇒ Microsoft Corporation (MSFT) [ST]		04/6/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
COMMENTS: Asset held in the Wells Fargo IRA # 1 Account					
Wells Fargo IRA # 2 ⇒ Rydex Ser Fds Nasdaq-100 CL C (RYCOX) [MF]		06/24/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

**SCHEDULE C: EARNED INCOME**

<b>Source</b>	<b>Type</b>	<b>Amount</b>
County of Rock Island, IL	Spouse Salary	N/A
Illinois Municipal Retirement Fund	Spouse retirement distribution	N/A
State of Illinois	Spouse Salary	N/A

**SCHEDULE D: LIABILITIES**

<b>Owner</b>	<b>Creditor</b>	<b>Date Incurred</b>	<b>Type</b>	<b>Amount of Liability</b>
JT	Wells Fargo Home Mortgage	Dec. 2012	Mortgage on personal residence, Washington, DC	\$100,001 - \$250,000
JT	Mississippi Valley Credit Union	July 2015	Mortgage on personal residence	\$100,001 - \$250,000
JT	NewRez LLC dba Shellpoint Mortgage Servicing	May 2019	Mortgage on personal residence	\$100,001 - \$250,000
COMMENTS: Creditor reported incorrectly in 2019 due to administrative error.				

**SCHEDULE E: POSITIONS**

None disclosed.

**SCHEDULE F: AGREEMENTS**

<b>Date</b>	<b>Parties To</b>	<b>Terms of Agreement</b>
June 2011	UnityHealth	Continuing participation in an employee benefit plan.

**SCHEDULE G: GIFTS**

<b>Source</b>	<b>Description</b>	<b>Value</b>
State of Illinois - Comptroller (Springfield, IL, US)	Lottery winnings	\$49,990.00

**SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

None disclosed.

**SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- UnityPoint Health 457(b) - Fidelity
- Wells Fargo IRA # 1
- Wells Fargo IRA # 2

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Cheri Bustos , 08/3/2021