



Filing ID #10050649

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Brian Mast  
**Status:** Member  
**State/District:** FL18

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2021  
**Filing Date:** 01/11/2023

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
4M Properties of South Florida, LLC ⇒ 9.78 Acre Property in Fort Pierce, FL [RP]		\$500,001 - \$1,000,000	None		<input type="checkbox"/>
LOCATION: Fort Pierce, FL, US					
Brian J Mast IRA ⇒ Clearbridge Aggressive Growth A [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Brian J Mast IRA ⇒ Davis Opportunity A [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Brian J Mast IRA ⇒ Federated Hermes Strategic Value Dividend A [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Brian J Mast Roth IRA ⇒ JP Morgan Income Builder A [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Aemetis Inc [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Blackrock Equity Dividend I [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒		None	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Blackrock Mid Cap Grw Eq Inst [MF]					
Morgan Stanley Magnum Mast UTMA/FL ⇒ Federated Hermes Kaufm SM C IS [MF]	None		Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Polen Growth Institutional [MF]	None		Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Virgin Galactic Holdings, Inc. (SPCE) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley Maverick Mast UTMA/FL ⇒ Aemetis Inc [MF]	None		Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley Maverick Mast UTMA/FL ⇒ Blackrock Equity Dividend I [MF]	None		Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley Maverick Mast UTMA/FL ⇒ Polen Growth Institutional [MF]	None		Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley Maverick Mast UTMA/FL ⇒ Virgin Galactic Holdings, Inc. (SPCE) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
MS Joint A/C ⇒ Cash [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
MS Joint A/C ⇒ Ideal Power Inc. (IPWR) [ST]	None		Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
MS Joint A/C ⇒ IShares Russell 2000 ETF (IWM) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MS Joint A/C ⇒ SmileDirectClub, Inc. (SDC) [ST]		\$1,001 - \$15,000	Capital Gains	None	<input type="checkbox"/>
MS Joint A/C ⇒ Tilray Brands, Inc. - Class 2 (TLRY) [ST]		\$1,001 - \$15,000	Capital Gains	None	<input checked="" type="checkbox"/>
COMMENTS: Aphria was exchanged for Tilray in a merger on 5/3/21.					
MS Joint A/C ⇒ urban-gro, Inc. (UGRO) [ST]		\$15,001 - \$50,000	Capital Gains	None	<input checked="" type="checkbox"/>
USAA Checking and Savings Accounts [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
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\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Aemetis, Inc (AMTX) [ST]		03/4/2021	P	\$1,001 - \$15,000	
Morgan Stanley Magnum Mast UTMA/FL ⇒ Aemetis, Inc (AMTX) [ST]		03/4/2021	P	\$15,001 - \$50,000	
Morgan Stanley Magnum Mast UTMA/FL ⇒ Aemetis, Inc (AMTX) [ST]		04/12/2021	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Blackrock Equity Div. I [MF]		03/4/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Blackrock Mid Cap Growth EQ INST [MF]		03/4/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Polen Growth INSTL [MF]		03/4/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Virgin Galactic Holdings, Inc. (SPCE) [ST]		07/19/2021	P	\$15,001 - \$50,000	
Morgan Stanley Maverick Mast UTMA/FL ⇒ Aemetis, Inc (AMTX) [ST]		03/4/2021	P	\$1,001 - \$15,000	
Morgan Stanley Maverick Mast UTMA/FL ⇒ Aemetis, Inc (AMTX) [ST]		03/4/2021	P	\$1,001 - \$15,000	
Morgan Stanley Maverick Mast UTMA/FL ⇒ Aemetis, Inc (AMTX) [ST]		04/12/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley Maverick Mast UTMA/FL ⇒ Blackrock Equity Div. I [MF]		03/4/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Maverick Mast UTMA/FL ⇒ Polen Growth INSTL [MF]		03/4/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley Maverick Mast UTMA/FL ⇒ Virgin Galactic Holdings, Inc. (SPCE) [ST]		07/19/2021	P	\$15,001 - \$50,000	
MS Joint A/C ⇒ Aphria Inc. - Common Shares (APHA) [ST]		02/18/2021	P	\$15,001 - \$50,000	
COMMENTS: Aphria exchanged for Tilray in merger on 5/3/21.					
MS Joint A/C ⇒ Eros STX Global Corporation A Ordinary Shares (ESGC) [ST]		02/12/2021	P	\$15,001 - \$50,000	
MS Joint A/C ⇒ Eros STX Global Corporation A Ordinary Shares (ESGC) [ST]		08/5/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
MS Joint A/C ⇒ Ideal Power Inc. (IPWR) [ST]		02/16/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
MS Joint A/C ⇒ Tilray Brands, Inc. - Class 2 (TLRY) [ST]		05/3/2021	E	\$1,001 - \$15,000	
DESCRIPTION: The Member held stock in Aphria, Inc. On May 3, 2021, an exchange of Member's shares in Aphria for Class 2 shares of Tilray Brands, Inc. occurred as a result of a merger of the two companies.					
MS Joint A/C ⇒ Tilray, Inc. - Class 2 (TLRY) [ST]		02/9/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
MS Joint A/C ⇒ Tilray, Inc. - Class 2 (TLRY) [ST]		02/9/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
MS Joint A/C ⇒ Tilray, Inc. - Class 2 (TLRY) [ST]		02/10/2021	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
MS Joint A/C ⇒ UGRO [OT]		02/16/2021	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: Symbol did not populate correctly because it was misspelled					
MS Joint A/C ⇒ UGRO [OT]		02/18/2021	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: Symbol did not populate because it was misspelled					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## **SCHEDULE C: EARNED INCOME**

None disclosed.

## **SCHEDULE D: LIABILITIES**

<b>Owner</b>	<b>Creditor</b>	<b>Date Incurred</b>	<b>Type</b>	<b>Amount of Liability</b>
JT	PNC Bank	January 2019	Auto Loan	\$10,000 - \$15,000
JT	USAA	February 2020	Auto Loan	\$15,001 - \$50,000
JT	Cross Country Mortgage	August 2020	Cashout refinance of Pennymac mortgage and Center State HELOC	\$500,001 - \$1,000,000

## **SCHEDULE E: POSITIONS**

<b>Position</b>	<b>Name of Organization</b>
Manager	4M Properties of South Florida, LLC

## **SCHEDULE F: AGREEMENTS**

None disclosed.

## **SCHEDULE G: GIFTS**

None disclosed.

## **SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

None disclosed.

## **SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

## **SCHEDULE A AND B ASSET CLASS DETAILS**

○ 4M Properties of South Florida, LLC (100% Interest) LOCATION: Palm City, FL, US DESCRIPTION: Real estate investment LLC.
○ Brian J Mast IRA
○ Brian J Mast Roth IRA
○ Morgan Stanley Magnum Mast UTMA/FL LOCATION: US DESCRIPTION: UTMA - Magnum Mast
○ Morgan Stanley Maverick Mast UTMA/FL LOCATION: US

DESCRIPTION: UTMA - Maverick Mast

- MS Joint A/C

LOCATION: US

DESCRIPTION: Morgan Stanley Joint Advisory Account

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Brian Mast , 01/11/2023