



Filing ID #10036698

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. S. Raja Krishnamoorthi
Status: Member
State/District: IL08

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2019
Filing Date: 08/7/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Illinois' Bright Start 529 Child a ⇒ Index Moderate Age Based 13-14 [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Illinois' Bright Start 529 child b ⇒ Index Moderate Age Based 9-10 [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Illinois' Bright Start 529 child c ⇒ Index Moderate Age-Based 0-2 Portfolio [MF]		None	Tax-Deferred		<input type="checkbox"/>
COMMENTS: The assets intros fund were automatically shifted to the next age range index fund for my daughter on her 3rd birthday on May 2, 2019. In this case, the fund automatically shifted to Index Moderate Age-Based 3-5 fund.					
Illinois' Bright Start 529 child c ⇒ Index Moderate Age-Based 3-5 Portfolio [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
JP Morgan Chase (various accounts) [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Matangi P Bala Retirement Fund TD Ameritrade ⇒ Insrd Dep Acct [OT]		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
DESCRIPTION: This is a cash-equivalent Insured Deposit Account					
Matangi P Bala Retirement Fund TD Ameritrade ⇒ Vanguard Target Retirement 2040 (VFORX) [MF]		\$100,001 - \$250,000	Capital Gains, Dividends,	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Interest					
Matangi P Bala SEP IRA TD Ameritrade ⇒ Insrd Dep Acct [IH]		None	Tax-Deferred		<input type="checkbox"/>
COMMENTS: This asset was rolled over into the Matangipriyasri Bala IRA TD Ameritrade account in August 2019 so there were no assets remaining in the SEP IRA.					
Matangi P Bala SEP IRA TD Ameritrade ⇒ Vanguard Target Retirement 2050 (VFIFX) [MF]		None	Tax-Deferred		<input type="checkbox"/>
COMMENTS: This asset was rolled over into the Matangipriyasri Bala IRA TD Ameritrade account in August 2019 so there were no assets remaining in the SEP IRA.					
Matangi Priyasri Bala Roth IRA TD Ameritrade ⇒ Vanguard Target Retirement 2040 (VFORX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Matangipriyasri Bala IRA TD Ameritrade ⇒ Insrd Dep Acct [IH]		None	Tax-Deferred		<input type="checkbox"/>
COMMENTS: The cash in this IRA was used to purchase mutual funds, so there is no cash at the reportable level.					
Matangipriyasri Bala IRA TD Ameritrade ⇒ Vanguard Target Retirement 2040 (VFORX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Matangipriyasri Bala IRA TD Ameritrade ⇒ VANGUARD TARGET RETIREMENT 2045 FUND (VTIVX) [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Matangipriyasri Bala IRA TD Ameritrade ⇒ Vanguard Target Retirement 2050 (VFIFX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Metlife Flexible Premium Multifunded Life Insurance ⇒ Blackrock Bond Income Portfolio [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Metlife Flexible Premium Multifunded Life Insurance ⇒ Frontier Midcap Growth Portfolio [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Metlife Flexible Premium Multifunded Life Insurance ⇒ MetLife Stock Index Portfolio [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Metlife Flexible Premium Multifunded Life Insurance ⇒ Neuberger Berman Genesis Portfolio [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Priya 401k from Midwest Anesthesia Partners ⇒ Cash and Cash Investments [OT]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: These are funds used to purchase securities in the tax-deferred account.					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Priya 401k from Midwest Anesthesia Partners ⇒ Schwab 1000 Index Fund (SNXFX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Priya 401k from Midwest Anesthesia Partners ⇒ Schwab Small Cap Index Fund (SWSSX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Priya 401k from Midwest Anesthesia Partners ⇒ Schwab Target 2050 Index (SWYMX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Priya 401k from Midwest Anesthesia Partners ⇒ Vanguard Growth Index Admiral [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Priya 401k from Midwest Anesthesia Partners ⇒ Vanguard Small Cap Value Index (VSIAX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Priya 401k from Midwest Anesthesia Partners ⇒ Vanguard Target Retirement 2050 (VFIFX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Rental property at 3260 N Clark St, Unit 408, Chicago, IL 60657 [RP] LOCATION: Chicago, IL, US		\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
Rental property at 410 Lafayette Lane, Hoffman Estates, IL 60169 [RP] LOCATION: Hoffman Estates, IL, US		\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
Restricted shares of EPIR Technologies [PS] DESCRIPTION: Bolingbrook, IL company		\$50,001 - \$100,000	None		<input type="checkbox"/>
Restricted shares of Episensors [PS] DESCRIPTION: Bolingbrook, IL company		\$50,001 - \$100,000	None		<input type="checkbox"/>
Restricted shares of Health-I-Pass [PS] DESCRIPTION: Oak Brook, IL company.		\$15,001 - \$50,000	None		<input type="checkbox"/>
SRK Solo401k TD Ameritrade ⇒ Vanguard Target Retirement 2040 (VFORX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
State of Illinois Deferred Compensation [DB] COMMENTS: Though I no longer make any contributions to the Plan, I am still a participant due to my past contributions. I am not fully vested to be eligible for a pension.		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Subramanian Krishnamoorthi & Matangi Bala Retirement Account at TD Ameritrade ⇒ Insrd Dep Acct [OT]		None	None		<input type="checkbox"/>
DESCRIPTION: This cash is used to purchase securities in this account, and there is not cash at the reportable level as of the time in question.					
Subramanian Krishnamoorthi & Matangi Bala Retirement Account at TD Ameritrade ⇒ Vanguard Target Retirement 2040 (VFORX) [MF]		\$50,001 - \$100,000	Capital Gains, Dividends, Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Subramanian R Krishnamoorthi IRA TD Ameritrade ⇒ Vanguard Target Retirement 2040 (VFORX) [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Subramanian R Krishnamoorthi IRA TD Ameritrade ⇒ Vanguard Target Retirement 2045 Fund (VTIVX) [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Subramanian R Krishnamoorthi Roth IRA TD Ameritrade ⇒ Vanguard Target Retirement 2040 (VFORX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Subramanian Raja Krishnamoorthi SEP IRA TD Ameritrade ⇒ Vanguard Target Retirement 2040 (VFORX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Illinois' Bright Start 529 Child a ⇒ Index Moderate Age Based 13-14 Portfolio [MF]		05/31/2019	P	\$1,001 - \$15,000	
Illinois' Bright Start 529 Child a ⇒ Index Moderate Age Based 13-14 Portfolio [MF]		12/30/2019	P	\$15,001 - \$50,000	
Illinois' Bright Start 529 child b ⇒ Index Moderate Age Based 9-10 Portfolio [MF]		05/31/2019	P	\$1,001 - \$15,000	
Illinois' Bright Start 529 child b ⇒ Index Moderate Age Based 9-10 Portfolio [MF]		12/31/2019	P	\$1,001 - \$15,000	
Illinois' Bright Start 529 child c ⇒ Index Moderate Age Based 3-5 Portfolio [MF]		12/31/2019	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Matangi P Bala Retirement Fund TD Ameritrade ⇒ VFORX [MF]		12/30/2019	P	\$1,001 - \$15,000	
Matangipriyasri Bala IRA TD Ameritrade ⇒ VFIFX [MF]		12/30/2019	P	\$1,001 - \$15,000	
Matangipriyasri Bala IRA TD Ameritrade ⇒ VFORX [MF]		08/19/2019	P	\$1,001 - \$15,000	
Matangipriyasri Bala IRA TD Ameritrade ⇒ VFORX [MF]		12/30/2019	P	\$1,001 - \$15,000	
Matangipriyasri Bala IRA TD Ameritrade ⇒ VTIVX [MF]		12/30/2019	P	\$1,001 - \$15,000	
Priya 401k from Midwest Anesthesia Partners ⇒ SNXFX [MF]		05/30/2019	P	\$1,001 - \$15,000	
Priya 401k from Midwest Anesthesia Partners ⇒ SNXFX [MF]		06/27/2019	P	\$1,001 - \$15,000	
Priya 401k from Midwest Anesthesia Partners ⇒ SWSSX [MF]		06/27/2019	P	\$1,001 - \$15,000	
Priya 401k from Midwest Anesthesia Partners ⇒ SWYMX [MF]		02/4/2019	P	\$1,001 - \$15,000	
Priya 401k from Midwest Anesthesia Partners ⇒ SWYMX [MF]		05/1/2019	P	\$1,001 - \$15,000	
Priya 401k from Midwest Anesthesia Partners ⇒ VSIAX [MF]		09/26/2019	P	\$15,001 - \$50,000	
Subramanian Krishnamoorthi & Matangi Bala Retirement Account at TD Ameritrade ⇒ VFORX [MF]		01/2/2019	P	\$15,001 - \$50,000	
Subramanian Krishnamoorthi & Matangi Bala Retirement Account at TD Ameritrade ⇒ VFORX [MF]		12/30/2019	P	\$1,001 - \$15,000	
Subramanian R Krishnamoorthi IRA TD Ameritrade ⇒ VFORX [MF]		08/19/2019	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Subramanian R Krishnamoorthi IRA TD Ameritrade ⇒ VFORX [MF]		12/30/2019	P	\$1,001 - \$15,000	
Subramanian R Krishnamoorthi IRA TD Ameritrade ⇒ VTIVX [MF]		12/30/2019	P	\$1,001 - \$15,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Midwest Anesthesia Partners	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Sierra Pacific	August 2012	Rental property mortgage on 410 Lafayette Ln, Hoffman Estates, IL 60169	\$100,001 - \$250,000
JT	Nationstar	July 2012	Rental property mortgage on 3260 N Clark St, Unit 408, Chicago, IL 60657	\$100,001 - \$250,000
SP	Edfinancial Services	July 2000	Medical school loans for spouse	\$50,001 - \$100,000
JT	Citizens One	July 2013	Home mortgage	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
September 2007	Me and the State of Illinois	State of Illinois Deferred Compensation Plan - Though I no longer make any contributions to the Plan, I am still a participant due my past contributions. I am not fully vested to be eligible for a pension.
January 2007	Me and Kirkland and Ellis LLP - Randolph Street Investment Partners LP, 2007 PEF	Though I no longer make any contributions to the Fund, I am still a participant due to my past contributions which amount to 0.023% of the Fund's investments.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Illinois' Bright Start 529 Child a
LOCATION: IL
- Illinois' Bright Start 529 child b
LOCATION: IL
- Illinois' Bright Start 529 child c
LOCATION: IL
- Matangi P Bala Retirement Fund TD Ameritrade
- Matangi P Bala SEP IRA TD Ameritrade
- Matangi Priyasri Bala Roth IRA TD Ameritrade
- Matangipriyasri Bala IRA TD Ameritrade
- Metlife Flexible Premium Multifunded Life Insurance
LOCATION: US
- Priya 401k from Midwest Anesthesia Partners
- SRK Solo401k TD Ameritrade
- Subramanian Krishnamoorthi & Matangi Bala Retirement Account at TD Ameritrade
- Subramanian R Krishnamoorthi IRA TD Ameritrade
- Subramanian R Krishnamoorthi Roth IRA TD Ameritrade
- Subramanian Raja Krishnamoorthi SEP IRA TD Ameritrade

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. S. Raja Krishnamoorthi , 08/7/2020