

# UNITED STATES HOUSE OF REPRESENTATIVES 2021 FINANCIAL DISCLOSURE STATEMENT

Form A  
For Use by Members, Officers, and Employees

Name: Hon. Doris O. Matsui

Daytime Telephone: 202-225-7163

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

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DELIVERED  
MCL  
JAN 10 2022  
(Office Use Only)  
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FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>CA</u> District: <u>6</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____	Staff Filer Type: (If Applicable) <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant
REPORT TYPE	<input type="checkbox"/> 2021 Annual (Due: May 16, 2022)	<input checked="" type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____	

## PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$415 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$415 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

## IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Name: Ken Davis O. Melius Page 2

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Name Hon. Doris O. Matsui Page 3

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• Not classified as an Eif, but is subject to a Confidentiality Agreement

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		Type of Transaction				Date	Amount of Transaction											
DC JT	Asset	Purchase	Sale	Partial Sale	Exchange	Check here if Capital Gains Exceeded \$200	(MODIFY) or Quarterly, Monthly, or Bi-weekly, if applicable	A	B	C	D	E	F	G	H	I	J	K
								\$1,001 - \$15,000										
								\$15,001 - \$50,000										
								\$50,001 - \$100,000										
								\$100,001 - \$250,000										
								\$250,001 - \$500,000										
								\$500,001 - \$1,000,000										
								\$1,000,001 - \$5,000,000										
								\$5,000,001 - \$25,000,000										
								\$25,000,001 - \$50,000,000										
								Over \$50,000,000										
								Over \$1,000,000 (Spouse/DC Asset)										

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DC or JT	Asset	Type of Transaction				Date	Amount of Transaction											
		Purchase	Sale	Partial Sale	Exchange		Check here if Capital Gains Exceeded \$200	(MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	A	B	C	D	E	F	G	H	I	J
								\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Over \$1,000,000 (Spouse/DC Asset)
SP	Neuha Charitable Remainder Trust 2					3/15/2021												X
SP	U.S. Treasury Bond 2 TRF		X			7/15/2021						X						X
SP	U.S. Treasury Bond 2 TRF		X			3/16/2021												
SP	BlackRock American Bond Fund			X		6/24/2021			X									
SP	BlackRock American Bond Fund			X		6/15/2021				X								
SP	BlackRock American Bond Fund			X		3/16/2021												
SP	BlackRock American Bond Fund			X		6/24/2021												
SP	BlackRock American Bond Fund			X		6/17/2021				X								X
SP	BlackRock American Bond Fund			X		8/17/2021												
SP	BlackRock American Bond Fund			X		2/16/2021												
SP	BlackRock American Bond Fund			X		3/16/2021												
SP	BlackRock American Bond Fund			X		8/17/2021												
SP	BlackRock American Bond Fund			X		3/16/2021												
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# **SCHEDULE D - LIABILITIES**

Name: Hon. Doris O. Matsui

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
	<i>Example</i>	5/20	Mortgage on Rental Property, Dover, DE				X							
JT	American Express	12/21	Credit Card			X								
SP	Chase	12/21	Credit Card		X									
SP	Northern Trust Visa	12/21	Credit Card		X									

## **SCHEDULE E - POSITIONS**

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Regent	Smithsonian, Board of Regents
Advisory Board Member	Smithsonian National Museum of American History
Member of Advisory Council	Smithsonian National Museum of African American History and Culture

# **SCHEDULE F – AGREEMENTS**

Name: Hon. Doris O. Matsui

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement

# **SCHEDULE G – GIFTS**

Report the source (by name), a brief description, and the value of all gifts totaling more than \$415 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual (which may not include a registered lobbyist or foreign agent), local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$166 or less need not be added towards the \$415 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

Source		Description	Value
Example:	Mr. Joseph Smith, Arlington, VA	Silver Platter (prior determination of personal friendship received from the Committee on Ethics)	\$400

Use additional sheets if more space is required.



Name: Hon. Doris O. Matsui

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**FILER NOTES**  
(Optional)

Name: Hon. Doris O. Matsui

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NOTE NUMBER	NOTES
1	Spouse has interests in six charitable remainder trusts as described in Section 664 of the Internal Revenue Code. The Trusts make distributions to spouse for his lifetime and terminate at his death with the balance passing to charitable organizations. The distributions are a fixed percentage of the Trusts' annually determined value. The Trust distributions are reported as income for spouse. The underlying Trust investments are also reported.
2	Spouse transferred his investments in Blackstreet Capital Partners II, LP, 888 Wharf, LLC and 888 Riverside, LLC to a charitable organization in 2021. These were charitable donations, transferred in-kind.
3	Spouse held an investment in Protein Sciences dating back to 1998. The investment was assumed closed; however, a payment was received during 2021.
4	Spouse has a continuing investment in General Atlantic Investment Partners 2019, LP. The Fund, in its discretion, can form subsidiaries to hold certain underlying investments. Spouse received income from two such subsidiaries in 2021: General Atlantic Investments Partners 2019 AIV A, LP and General Atlantic Investments Partners 2019 Bermuda, LP. These are reported separately on Schedule A; however, they remain under the control of General Atlantic Investment Partners 2019, LP.
5	Investments in AG Super Fund International were renamed AG SF International (L), Ltd.

DORIS O. MATSUI  
6TH DISTRICT, CALIFORNIA  
COMMITTEE ON ENERGY  
AND COMMERCE  
SMITHSONIAN INSTITUTION,  
BOARD OF REGENTS

**Congress of the United States**  
**House of Representatives**  
**Washington, DC 20515-0506**

WASHINGTON OFFICE:  
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WASHINGTON, DC 20515-0506  
(202) 225-7163  
DISTRICT OFFICE:  
ROBERT T. MATSUI U.S. COURTHOUSE  
501 I STREET, SUITE 12-600  
SACRAMENTO, CA 95814  
(916) 498-5600  
<http://matsui.house.gov>

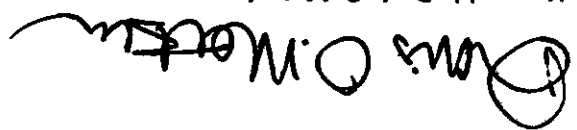
RE: Amended 2021 Financial Disclosure Statement

To Whom it May Concern:

Enclosed is an amended 2021 Financial Disclosure Statement. At the time of the initial filing, my husband had not yet received several 2021 K-1s and year-end reports for his investments. Where information was not available, estimates were used. The enclosed Amended Financial Disclosure incorporates final 2021 income and values.

Please contact me with any questions.

Very truly yours,

  
Honorable Doris O. Matsui

Original