



Filing ID #10036979

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Hakeem S. Jeffries
Status: Member
State/District: NY08

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2019
Filing Date: 08/10/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Bank of America Accounts [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
HSBC Accounts [BA]		\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
HSBC Brokerage Account ⇒ Lord Abbett Floating Rate Fund Class C [OT]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Transaction disclosed on Schedule B.					
Island Federal Credit Union Account [BA]	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
COMMENTS: Effective October 1, 2018, the Bay Ridge Federal Credit Union merged with the Island Federal Credit Union.					
Lutheran Medical Center 401(k) ⇒ VALIC Fixed Interest Option [PE]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Lutheran Medical Center 401(k) ⇒ Vanguard Equity Income Adm [OT]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Lutheran Medical Center 401(k) ⇒ Vanguard Inst Tg Rtm 35 Inst [OT]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Lutheran Medical Center 401(k) ⇒ Vanguard Ttl Bd Mkt Idx Ins [OT]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Merrill Edge Guided Investing Traditional IRA ⇒ ISHARES Core S&P U.S. Growth ETF (IUSG) [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Merrill Edge Guided Investing Traditional IRA ⇒ ISHARES Core S&P U.S. Value ETF (IUSV) [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Merrill Edge Guided Investing Traditional IRA ⇒ Vanguard FTSE Developed Markets ETF (VEA) [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Merrill Edge Guided Investing Traditional IRA ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Merrill Edge Investment Account-Non Retirement ⇒ ProShares S&P MidCap 400 Dividend Aristocrats ETF (REGL) [EF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Merrill Edge Investment Account-Non Retirement ⇒ SPDR US DVDND ARISTOCRAT ETF (SDY) [EF]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Merrill Edge Investment Account-Non Retirement ⇒ Vanguard REIT ETF (VNQ) [EF]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
New York State Pension [PE]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual Whole Life Insurance Policy [WU]		\$50,001 - \$100,000	None		<input type="checkbox"/>
Northwestern Mutual Whole Life Insurance Policy [WU]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
NY 529 Direct Plan (Dependent Child 1) Moderate Age Based Option/Conservative Age Based Option [5P] LOCATION: NY		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
NY 529 Direct Plan (Dependent Child 2) Aggressive Age Based Option/Moderate Age Based Option/Conserv [5P] LOCATION: NY		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Transamerica Custodial Traditional IRA Money Market Account [BA]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit

<https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
HSBC Brokerage Account ⇒ Lord Abbett Floating Rate Fund Class C [MF]		09/18/2019	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Edge Guided Investing Traditional IRA ⇒ iShares Broad USD Investment Grade Corporate Bond ETF (USIG) [ST]		04/24/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Merrill Edge Guided Investing Traditional IRA ⇒ iShares Core S&P U.S. Value ETF (IUSV) [ST]		04/24/2019	P	\$1,001 - \$15,000	
Merrill Edge Guided Investing Traditional IRA ⇒ Vanguard FTSE Developed Markets ETF [EF]		04/24/2020	P	\$1,001 - \$15,000	
Merrill Edge Investment Account-Non Retirement ⇒ SPDR S&P Dividend ETF (SDY) [ST]	JT	02/12/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Edge Investment Account-Non Retirement ⇒ SPDR S&P Dividend ETF (SDY) [EF]	JT	04/16/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
NY 529 Direct Plan (Dependent Child 1) Moderate Age Based Option/Conservative Age Based Option [OT] LOCATION: US DESCRIPTION: Additional contribution to existing NY 529 Plan.	DC	05/10/2019	P	\$1,001 - \$15,000	
NY 529 Direct Plan (Dependent Child 1) Moderate Age Based Option/Conservative Age Based Option [OT] LOCATION: US DESCRIPTION: Partial withdrawal in connection with college tuition, room & board.	DC	08/15/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
NY 529 Direct Plan (Dependent Child 2) Moderate Age Based Option/Conservative Age Based Option [5P] LOCATION: NY DESCRIPTION: Automatic Age-Based Reallocation of 25% of the portfolio from Conservative Age-Based Option: Income Portfolio to Conservative Age-Based Option: Balanced Income Portfolio	DC	04/8/2019	E	\$15,001 - \$50,000	
NY 529 Direct Plan (Dependent Child 2) Moderate Age Based Option/Conservative Age Based Option [5P] LOCATION: NY DESCRIPTION: Automatic Age-Based Reallocation of 75% the portfolio from Moderate Age-Based Option: Conservative Growth Portfolio to Moderate Age-Based Option: Conservative Portfolio	DC	04/8/2019	E	\$50,001 - \$100,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
NY 529 Direct Plan (Dependent Child 2) Moderate Age Based Option/Conservative Age Based Option [5P]	DC	05/6/2019	E	\$1,001 - \$15,000	
LOCATION: NY DESCRIPTION: Reallocation of 15% of the portfolio from Conservative Age-Based Option: Balanced Income Portfolio to Aggressive Age-Based Option: Disciplined Growth Portfolio					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Worksite Medical Service PC	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	PHH Mortgage Services	July 2015	Primary Residence Mortgage	\$250,001 - \$500,000
	BB&T	January 2017	Mortgage on Washington, DC Residence	\$50,001 - \$100,000
	Capitol Hill Tower Housing Corporation	January 2017	Share of Coop Mortgage on Washington, DC Residence	\$100,001 - \$250,000
	HSBC	August 2016	Home Equity Line of Credit	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2007	New York State Common Retirement Fund/ Hakeem S. Jeffries	Continued participation in a New York State pension plan.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
American Israel Education Foundation	08/4/2019	08/8/2019	New York, NY - Tel Aviv, Israel - New York, NY	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- HSBC Brokerage Account
LOCATION: US
- Lutheran Medical Center 401(k) (Owner: SP)
- Merrill Edge Guided Investing Traditional IRA
- Merrill Edge Investment Account-Non Retirement (Owner: JT)
LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Hakeem S. Jeffries , 08/10/2020