



Filing ID #10040159

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Eleanor Holmes Norton  
**Status:** Member  
**State/District:** DCoo

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2020  
**Filing Date:** 05/11/2021

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
BrightHouse Financial, Inc. (BHF) [ST]		\$1 - \$1,000	None		<input type="checkbox"/>
DESCRIPTION: Spinoff from Met Life Inc. Common					
Congressional Federal Credit Union [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
DC College Savings Plan (2 Accounts) [5P]					
LOCATION: DC		\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: 2 Accounts; 2 Beneficiaries					
MERRILL LYNCH #182 ⇒ Bank Deposit Program [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
MERRILL LYNCH #182 ⇒ Invesco S&P 500 Equal Weight ETF (EIF) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MERRILL LYNCH #182 ⇒ Invesco S&P 500 Low Volatility ETF (EIF) [EF]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
MERRILL LYNCH #182 ⇒ JPMorgan Large Cap Growth FD Cl A (EIF) [MF]		\$100,001 - \$250,000	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Received in Exchange for JPMorgan Large Cap Growth FD Cl C (EIF) 7/28/20.					
MERRILL LYNCH #182 ⇒ JPMorgan Large Cap Growth FD Cl C (EIF) [MF]		None	Capital Gains	None	<input checked="" type="checkbox"/>
DESCRIPTION: Exchanged for JPMorgan Large Cap Growth FD Cl A (EIF) 7/28/20.					
MERRILL LYNCH #182 ⇒ Victoryshares US Large Cap High Div Volatility WTD ETF (EIF) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Bank Deposit Program [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		\$100,001 - \$250,000	None		<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ DC GO BOND 4.0% JUN01 2036 [GS]		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ DC Income Bond 5.0% Deco1 24 [GS]		None	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Redeemed 6/1/20					
MERRILL LYNCH #806 ⇒ DC Rev NPR MAY13 4.0% APR01 2037 [GS]		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ DC Ser A DEC13 5.0% JUN01 2030 [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ District of Columbia Wtr & Swr Ser C 5%Octo1 2039 [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Invesco S&P 500 Equal Weight ETF (EIF) [EF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Partial Sale 2/25/20					
MERRILL LYNCH #806 ⇒ Invesco S&P 500 Low Volatility ETF (EIF) [EF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Maryland St CDA Dept Hsg-Cmnty 4.0%SEPo1 29 [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Metro Wash DC Arpts Auth Dulles Toll Rd 7.85%CI o Pct 10/01/2038 [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Purchase 2/25/20					
MERRILL LYNCH #806 ⇒ VA St Res Auth Infrastructure 8/14/2019 3 Pct 11/01/2036 [GS]		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Purchased 2/25/20					
MERRILL LYNCH #806 ⇒ Victoryshares US Large Cap High Div Volatility WTD (ETF) [EIF] [EF]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ Bank of America, NA RASP [BA]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ Blackrock Multi Asset Income Portfolio CL A (EIF) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ Vanecck Vectors [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchased 12/08/20					
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Global High Div Low Volatility (FKA Global Equity Portfolio) (S) (EIF) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Partial Sale 6/28/20					
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Growth and Income Portfolio (S) (EIF) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Partial Sale 6/28/20					
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Intermediate Bond Portfolio (S) (EIF) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Partial Sale 6/28/20					
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ International Index Portfolio (A) (EIF) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Partial Sale 6/28/20					
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Large Cap Growth Portfolio (A) (EIF) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Partial Sale 6/28/20					
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Large Cap Growth Portfolio (S) (EIF) [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Partial Sale 6/28/20					

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Retirement Growth (A) (EIF) [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Partial Sale 6/28/20					
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Russell Mid Cap Growth Port (S) (EIF) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Partial Sale 6/28/20					
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ T.Rowe Price International Stock (S) (EIF) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Partial Sale 6/28/20					
Met Life Insurance Co Deferred Annuities [OT]		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	<input type="checkbox"/>
DESCRIPTION: IRA-Flexible Purchase Pension Annuity					
MetLife, Inc. (MET) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
ML JOHN HANCOCK ANNUITY ⇒ Manulife Inv Mgmt (Formerly JHAM) Fundamental Large Cap Value (EIF) [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
ML JOHN HANCOCK ANNUITY ⇒ Manulife Inv Mgmt (Formerly JHAM) Managed Volatility Moderate Port (EIF) [MF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
ML JOHN HANCOCK ANNUITY ⇒ Manulife Inv Mgmt (Formerly JHAM) Total Stock Market Index (EIF) [MF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
ML JOHN HANCOCK ANNUITY ⇒ Wellington Mgmt Co., LLP Real Estate Securities (EIF) [MF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
ML JOHN HANCOCK ANNUITY ⇒ Wells Capital Management Core Bond (EIF) [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Synchrony Bank [BA]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
TIAA-CREF Retirement-TIAA Traditional (EIF) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
MERRILL LYNCH #182 ⇒ JPMorgan Large Cap Growth FD Cl A (EIF) [MF]		07/28/2020	E	\$100,001 - \$250,000	
DESCRIPTION: Received in exchange for JPMorgan Large Cap Growth FD Cl C (EIF).					
MERRILL LYNCH #182 ⇒ JPMorgan Large Cap Growth FD Cl A (EIF) [MF]		12/15/2020	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend Reinvestment					
MERRILL LYNCH #182 ⇒ JPMorgan Large Cap Growth FD Cl C (EIF) [MF]		07/28/2020	E	\$100,001 - \$250,000	
DESCRIPTION: Exchanged for JPMorgan Large Cap Growth FD Cl A (EIF)					
MERRILL LYNCH #806 ⇒ DC Income Bond 5.0% Deco1 24 [GS]		06/1/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Redemption					
MERRILL LYNCH #806 ⇒ Invesco S&P 500 Equal Weight ETF (EIF) [EF]		02/25/2020	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
MERRILL LYNCH #806 ⇒ Metro Wash DC Arpts Auth Dulles Toll Rd 7.85%CI o Pct 10/01/2038 [GS]		02/25/2020	P	\$15,001 - \$50,000	
MERRILL LYNCH #806 ⇒ VA St Res Auth Infrastructure 8/14/2019 3 Pct 11/01/2036 [GS]		02/25/2020	P	\$50,001 - \$100,000	
MERRILL LYNCH IRA ⇒ Global High Div Low Volatility (FKA Global Equity Portfolio) (S) (EIF) [MF]		06/28/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ Growth and Income Portfolio (S) (EIF) [MF]		06/28/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ Intermediate Bond Portfolio (S) (EIF) [MF]		06/28/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ International Index Portfolio (A) (EIF) [MF]		06/28/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ Large Cap Growth Portfolio (A) (EIF) [MF]		06/28/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
MERRILL LYNCH IRA ⇒ Large Cap Growth Portfolio (S) (EIF) [MF]		06/28/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ Retirement Growth (A) (EIF) [MF]		06/28/2020	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ Russell Mid Cap Growth Port (S) (EIF) [MF]		06/28/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ T.Rowe Price International Stock (S) (EIF) [MF]		06/28/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ Vanecck Vectors [MF]		12/8/2020	P	\$15,001 - \$50,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Merrill Lynch IRA	Required Minimum Distribution	\$32,000.00
MetLife Pension Annuity	Required Minimum Distribution	\$811.00
Pitney Bowes Inc.	Retirement Fee	\$12,000.00

## SCHEDULE D: LIABILITIES

None disclosed.

## SCHEDULE E: POSITIONS

Position	Name of Organization
Board of Trustees	Lawyers Committee for Civil Rights Under Law
Advisory Board Member	Sewall-Belmont House
Advisory Board Member	Women & Politics Institute, American University
Board of Trustees - Honorary Member	Antioch College
Advisory Board Member	Women's Law & Public Policy Fellowship Program, Georgetown University
Executive Committee - Honorary Member	Yale Law School Association

## **SCHEDULE F: AGREEMENTS**

None disclosed.

## **SCHEDULE G: GIFTS**

None disclosed.

## **SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

None disclosed.

## **SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

## **SCHEDULE A AND B ASSET CLASS DETAILS**

- MERRILL LYNCH #182  
LOCATION: US
- MERRILL LYNCH #806  
LOCATION: US
- MERRILL LYNCH IRA
- MERRILL LYNCH IRA ⇒ VOYA/VY
- ML JOHN HANCOCK ANNUITY  
LOCATION: US

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Eleanor Holmes Norton , 05/11/2021