



Filing ID #10055669

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Jimmy Panetta
Status: Member
State/District: CA19

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2021
Filing Date: 08/13/2023

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income Tx. > \$1,000? |
|---|-------|----------------------|----------------|--------------------------|
| Alameda County Employees' Retirement Association Pension [PE] | | Undetermined | None | <input type="checkbox"/> |
| Alameda County Employees' Retirement Association Pension [PE] | SP | Undetermined | None | <input type="checkbox"/> |
| Bank of America [BA] | JT | \$50,001 - \$100,000 | None | <input type="checkbox"/> |
| CalPERS pension [PE] | SP | Undetermined | None | <input type="checkbox"/> |
| CalPERS pension [PE] | | Undetermined | None | <input type="checkbox"/> |
| Citigroup Global Markets, Inc. 529 Plan ⇒ Capital Income Builder Class 529A [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Citigroup Global Markets, Inc. 529 Plan ⇒ Capital World Bond Fund Class 529A [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Citigroup Global Markets, Inc. 529 Plan ⇒ Capital World Growth & Income Class 529A [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income Tx. > \$1,000? |
|---|-------|----------------------|----------------|--------------------------|
| Citigroup Global Markets, Inc. 529 Plan ⇒ EuroPacific Growth Fund Class 529A [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Citigroup Global Markets, Inc. 529 Plan ⇒ Fundamental Investors Class 529A [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Citigroup Global Markets, Inc. 529 Plan ⇒ Growth Fund of America Class 529A [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Citigroup Global Markets, Inc. 529 Plan ⇒ Income Fund of America Class 529A [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Citigroup Global Markets, Inc. 529 Plan ⇒ Investment Company of America Class 529A [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Citigroup Global Markets, Inc. 529 Plan ⇒ New World Fund Class 529A [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Citigroup Global Markets, Inc. 529 Plan ⇒ SMALLCAP World Fund Class 529A [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Citigroup Global Markets, Inc. 529 Plan ⇒ The Bond Fund of America Class 529A [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Janus IRA ⇒ Janus Global Life Sciences Fund D Shares [MF] | SP | \$15,001 - \$50,000 | Tax-Deferred | <input type="checkbox"/> |
| Janus IRA ⇒ Janus Global Select Fund D Shares [MF] | SP | \$15,001 - \$50,000 | Tax-Deferred | <input type="checkbox"/> |
| Janus IRA ⇒ Janus Research Fund D Shares [MF] | SP | \$50,001 - \$100,000 | Tax-Deferred | <input type="checkbox"/> |
| Morgan Stanley 529, DC1, account 1 ⇒ Capital Income Builder Class 529A [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Morgan Stanley 529, DC1, account 1 ⇒ Capital World Bond Fund Class 529A [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Morgan Stanley 529, DC1, account 1 ⇒ Capital World Growth & Income Class 529A [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Morgan Stanley 529, DC1, account 1 ⇒ EuroPacific Growth Fund Class 529A [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income Tx. > \$1,000? |
|---|--------------|-----------------------|-----------------------|-------------------------------------|
| Morgan Stanley 529, DC1, account 1 ⇒ Fundamental Investors Class 529A [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Morgan Stanley 529, DC1, account 1 ⇒ Growth Fund of America Class 529A [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Morgan Stanley 529, DC1, account 1 ⇒ Income Fund of America Class 529A [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Morgan Stanley 529, DC1, account 1 ⇒ Investment Company of America Class 529A [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Morgan Stanley 529, DC1, account 1 ⇒ New World Fund Class 529A [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Morgan Stanley 529, DC1, account 1 ⇒ SMALLCAP World Fund Class 529A [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Morgan Stanley 529, DC1, account 1 ⇒ The Bond Fund of America Class 529A [MF] | DC | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Morgan Stanley 529, DC1, account 2 ⇒ American Funds Growth Portfolio Class 529 [MF] | DC | \$15,001 - \$50,000 | Tax-Deferred | <input checked="" type="checkbox"/> |
| Morgan Stanley 529, DC2, account 1 ⇒ American Funds Growth Portfolio Class 529 [MF] | DC | \$15,001 - \$50,000 | Tax-Deferred | <input checked="" type="checkbox"/> |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|--------------|-------------|-----------------|--------------------|-------------------------------|
| Morgan Stanley 529, DC1, account 2 ⇒ American Funds Growth Portfolio Class 529 [MF] | DC | 10/4/2021 | P | \$1,001 - \$15,000 | |
| Morgan Stanley 529, DC2, account 1 ⇒ American Funds Growth Portfolio Class 529 [MF] | DC | 10/4/2021 | P | \$1,001 - \$15,000 | |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount |
|--------------------------------|---------------|--------|
| State of California | spouse salary | N/A |
| Monterey County Superior Court | spouse salary | N/A |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|-------------|---------------|---------------------------------|-------------------------|
| JT | Cenlar | December 2013 | Mortgage Personal Residence | \$500,001 - \$1,000,000 |
| JT | Wells Fargo | June 2021 | Mortgage on personal residence. | \$500,001 - \$1,000,000 |

SCHEDULE E: POSITIONS

| Position | Name of Organization |
|-------------------------|--|
| Board Member | Veterans Transition Center Advisory Council |
| COMMENTS: | Uncompensated |
| Honorary Board Member | James Martin Center for Nonproliferation Studies |
| COMMENTS: | Uncompensated |
| Advisory Council Member | Carmel River Watershed Conservancy |
| COMMENTS: | Uncompensated |

SCHEDULE F: AGREEMENTS

| Date | Parties To | Terms of Agreement |
|--------------|-----------------------------------|--------------------------|
| January 1997 | Myself and County of Alameda, CA | Participation in pension |
| March 2010 | Myself and County of Monterey, CA | Participation in pension |

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Citigroup Global Markets, Inc. 529 Plan (Owner: DC)
LOCATION: CA
- Janus IRA (Owner: SP)
- Morgan Stanley 529, DC1, account 1 (Owner: DC)
LOCATION: CA
- Morgan Stanley 529, DC1, account 2 (Owner: DC)
LOCATION: CA
- Morgan Stanley 529, DC2, account 1 (Owner: DC)
LOCATION: CA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Jimmy Panetta , 08/13/2023