



Filing ID #10040084

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Cathy McMorris Rodgers  
**Status:** Member  
**State/District:** WA05

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2020  
**Filing Date:** 08/13/2021

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
ABERDEEN FUNDS ABERDEEN US SMALL CAP EQ FUND INSTL CLASS I [MF]	JT	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
ALGER FDS II SPECTRA FD CL Z ASPZX [MF]	JT	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
American Funds Fundamental Investors - 529 F2 [5P]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: VA					
American Funds Fundamental Investors F2 [MF]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
American Funds New World F2 [MF]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Bank Deposit Sweep - Wells Fargo Bank FDIC [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
CARILLON SCOUT MID CAP CL I [MF]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DreamAhead College Investment Plan [5P]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LOCATION: WA					
Fidelity Money Market Funds Instl [MF]	JT	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
First Eagle Global - Class I [MF]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
FRANKLIN MUTUAL GLOB DISCOVERY FUND [MF]	JT	None	None		<input checked="" type="checkbox"/>
Rental Property [RP]	JT	\$500,001 - \$1,000,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Washington, DC, US					
Rental Property [RP]	SP	\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: San Diego, CA, US					
Roth ⇒ ABERDEEN FUNDS ABERDEEN US SMALL CAP EQ FUND INSTL CLASS I [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Roth ⇒ ALGER FDS II SPECTRA FD CL Z ASPZX [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Roth ⇒ American Funds Fundamental Investors F2 [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Roth ⇒ American Funds New World F2 [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Roth ⇒ CARILLON SCOUT MID CAP FD CL I [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Roth ⇒ CARILLON SCOUT MID CAP FUND CL i [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Roth ⇒ First Eagle Global - Class I [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Roth ⇒ First Eagle Global - Class I [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Roth ⇒ LOCORR INV'T TRUST MACRO STRATEGIES FUND		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
CL I [MF]					
Roth ⇒ Templeton Global Bond Adv [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Roth ⇒ Thornburg Global Opportunities CL I [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Roth ⇒ Thornburg Investment Income Builder - CL I [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Roth ⇒ UNDISCOVERED MANAGERS BEHAVIORAL VALUE CLASS L [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Templeton Global Bond Adv [MF]	JT	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Thornburg Investment Income Builder [MF]	JT	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Traditional ⇒ CARILLON SCOUT MID CAP FD CL I [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Traditional ⇒ First Eagle Global - Class I [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Traditional ⇒ LOCORR INVT TRUST MACRO STRATEGIES FUND CL I [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Traditional ⇒ Templeton Global Bond Adv [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Traditional ⇒ Thornburg Investment Income Builder - CL I [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UNDISCOVERED MANAGERS BEHAVIORAL VALUE CLASS L [MF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
ABERDEEN FUNDS ABERDEEN US SMALL CAP EQ FUND INSTL CLASS I [MF]	JT	09/2/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
ABERDEEN FUNDS ABERDEEN US SMALL CAP EQ FUND INSTL CLASS I [MF]	JT	05/28/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
ABERDEEN FUNDS ABERDEEN US SMALL CAP EQ FUND INSTL CLASS I [MF]	JT	04/7/2020	P	\$15,001 - \$50,000	
ALGER FDS II SPECTRA FD CL Z ASPZX [MF]	JT	09/3/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
ALGER FDS II SPECTRA FD CL Z ASPZX [MF]	JT	04/7/2020	P	\$15,001 - \$50,000	
ALGER FDS II SPECTRA FD CL Z ASPZX [MF]	JT	12/17/2020	P	\$1,001 - \$15,000	
American Funds Fundamental Investors F2 [MF]	JT	05/28/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
American Funds Fundamental Investors F2 [MF]	JT	04/7/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
American Funds New World F2 [MF]	JT	04/7/2020	P	\$1,001 - \$15,000	
CARILLON SCOUT MID CAP CL I [MF]	JT	05/29/2020	P	\$1,001 - \$15,000	
CARILLON SCOUT MID CAP CL I [MF]	JT	04/7/2020	P	\$1,001 - \$15,000	
FIDELITY INVESTMENTS MONEY MARKET FDS INSTL [MF]	JT	03/24/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
First Eagle Global - Class I [MF]	JT	05/29/2020	P	\$1,001 - \$15,000	
First Eagle Global - Class I [MF]	JT	04/7/2020	P	\$15,001 - \$50,000	
FRANKLIN MUTUAL GLOB DISCOVERY FUND CLASS Z [MF]	JT	04/7/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Roth ⇒ ABERDEEN FUNDS ABERDEEN US SMALL CAP EQ FUND INSTL CLASS I [MF]	SP	09/2/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Roth ⇒ ABERDEEN FUNDS ABERDEEN US SMALL CAP EQ FUND INSTL CLASS I [MF]	SP	04/7/2020	P	\$1,001 - \$15,000	
Roth ⇒ ALGER FDS II SPECTRA FD CL Z ASPZX [MF]	SP	09/3/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Roth ⇒ ALGER FDS II SPECTRA FD CL Z ASPZX [MF]	SP	04/7/2020	P	\$15,001 - \$50,000	
Roth ⇒ American Funds Fundamental Investors F2 [MF]	SP	05/28/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Roth IRA holding					
Roth ⇒ American Funds Fundamental Investors F2 [MF]	SP	04/7/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Roth ⇒ CARILLON SCOUT MID CAP CL I [MF]	SP	05/29/2020	P	\$1,001 - \$15,000	
Roth ⇒ First Eagle Global - Class I [MF]	SP	04/7/2020	P	\$1,001 - \$15,000	
Roth ⇒ THORNBURG INV'TR GLOBAL OPPORTUNITIES FD CL I [MF]	SP	04/7/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Roth ⇒ UNDISCOVERED MANAGERS BEHAVIORAL VALUE CLASS L [MF]	SP	09/2/2020	P	\$15,001 - \$50,000	
TEMPLETON GLOBAL BOND FUND [MF]	JT	04/7/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TEMPLETON GLOBAL BOND FUND [MF]	JT	03/24/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Thornburg Investment Income Builder [MF]	JT	04/7/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
UNDISCOVERED MANAGERS BEHAVIORAL VALUE CLASS L [MF]	JT	09/2/2020	P	\$15,001 - \$50,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	PHH Mortgage Co.	November 2014	Mortgage on Hunrichs Way San Diego Property	\$15,001 - \$50,000
JT	J.G. Wentworth	July 2015	Mortgage on DC property	\$250,001 - \$500,000
JT	Provident Funding Associates	September 2016	Mortgage on personal residence, Spokane WA	\$100,001 - \$250,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- Roth (Owner: SP)
- Roth
- Traditional

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

#### **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Cathy McMorris Rodgers , 08/13/2021