



Filing ID #10041424

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Scott H. Peters  
**Status:** Member  
**State/District:** CA52

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2020  
**Filing Date:** 08/13/2021

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Alameda CA Trans Excise [GS]	SP	None	Capital Gains, Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
ALPS Clean Energy ETF (ACES) [ST]	SP	\$500,001 - \$1,000,000	Dividends, Ret. of Capital	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
American Funds 2025 Trgt Date Retire [MF]		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
Anaheim CA Elec Util [GS]		\$100,001 - \$250,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
Baillie Gifford Intl Equity [MF]	SP	Spouse/DC Over \$1,000,000	Capital Gains, Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Baillie Gifford Intl Equity [MF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Bank of America Cash Account [BA]		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
Bay Area Toll Rv Toll Tran [GS]		\$100,001 -	Interest	\$2,501 - \$5,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
\$250,000					
Bay Area Toll RV Toll Tran [GS]	SP	Spouse/DC Over \$1,000,000	Interest	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Butte-Glenn Muni Bonds [GS]		None	Capital Gains, Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
CA EDUC FAC AUTH REV [GS]	SP	None	Capital Gains, Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
CA Educ Priv Educ [GS]	SP	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
CA Infra & Dev Bk Comb Util [GS]	SP	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
CA State Dept. of Water Bonds [GS]	SP	\$100,001 - \$250,000	Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
CA State Dept. Water Resources [GS]		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
CA State Univ Pub Educ [GS]		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
CA UNIV REV [GS]	SP	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Calleguas ETC CA [GS]	SP	None	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Cambrian CA SD [GS]	SP	Spouse/DC Over \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Cameron Investment Corp [OT]	SP	Spouse/DC Over \$1,000,000	None		<input type="checkbox"/>
DESCRIPTION: Family investment office used to hold other assets, securities and income that are separately itemized on this report					
Centinela VY UHS Impt Sch [GS]	SP	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab Cash Account [BA]	SP	Spouse/DC Over \$1,000,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Charles Schwab Cash Account [BA]		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
CIBC Atlas Disciplined (AWEIX) [MF]		\$1,000,001 - \$5,000,000	Capital Gains, Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
CIBC Atlas Disciplined (AWEIX) [MF]	SP	Spouse/DC Over \$1,000,000	Capital Gains, Dividends	Spouse/DC Over \$1,000,000	<input checked="" type="checkbox"/>
CIBC Atlas Mid Cap Eqty Fd Inst (AWMIX) [MF]	SP	Spouse/DC Over \$1,000,000	Capital Gains	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
CIBC Atlas Mid Cap Eqty Fd Inst (AWMIX) [MF]		\$500,001 - \$1,000,000	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
Coast CCD CA Coll Educ [GS]		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Cohen & Steers Preferred [MF]		\$100,001 - \$250,000	Dividends, Ret. of Capital	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Cohen & Steers Preferred [MF]	SP	Spouse/DC Over \$1,000,000	Dividends, Ret. of Capital	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
Contra Costa CCD [GS]	SP	Spouse/DC Over \$1,000,000	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DC UNIV EDUC [GS]		None	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Dublin CA Unified Sch Dist [GS]	SP	\$500,001 - \$1,000,000	Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Dublin San Ramon, CA Wtr Util [GS]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
East Bay Muni Util Dist, CA [GS]		None	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Edgewood Growth Instl (EGFIX) [MF]		\$500,001 - \$1,000,000	Capital Gains, Dividends, Distributions	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Edgewood Growth Instl (EGFIX) [MF]	SP	Spouse/DC Over \$1,000,000	Capital Gains, Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Enterprise CA Elem Sch Dist Rfdg [GS]	SP	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Folsom Cordova SCH DIST CA IMPT SCH [GS]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Gainesville FL COMB UTIL [GS]	SP	None	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Gainesville FL COMB UTIL [GS]		None	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Grossmont CA Impt Sch [GS]	SP	\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
Ishares Russell 1000 ETF (IWB) [EF]	SP	None	Capital Gains, Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
iShares Russell 1000 Value ETF (IWD) [EF]	SP	None	Capital Gains, Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
iShares Russell 1000 Value ETF (IWD) [EF]		None	Capital Gains, Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Ishares Russell Growth (IWP) [MF]	SP	Spouse/DC Over \$1,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Ishares Russell Growth (IWP) [MF]		\$500,001 - \$1,000,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Ishares Russell Mid Cap (IWR) [MF]	SP	Spouse/DC Over \$1,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Ishares S&P 500 (IVV) [MF]	SP	Spouse/DC Over \$1,000,000	Dividends	\$50,001 - \$100,000	<input type="checkbox"/>
Ishares S&P 500 (IVV) [MF]		\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Ishares TR Russell 1000 (IWF) [MF]	SP	\$500,001 - \$1,000,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Los Angeles CA CCD COLL EDUC [GS]	SP	None	Interest	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Los Angeles CA GO UTX [GS]	SP	\$100,001 -	Interest	\$2,501 - \$5,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
<b>\$250,000</b>					
Los Angeles Cnty, CA MT Excise Tax [GS]		\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Los Angeles, CA Dept. of Water & Power [GS]	SP	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Lynett Capital Partners II LP [OT]	SP	\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Pooled Investment Fund/Venture Capital					
MA BAY TRAN AUTH EXCISE TAX [GS]	SP	None	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
MA BAY TRAN AUTH MASS TRAN [GS]		None	Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Madison Adams Investments, LLC [OT]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Investments (Cash Only)					
Managed Income Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Manufacturers Drive LLC [OL]	SP	\$250,001 - \$500,000	Rent	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: St. Louis, MO, US					
DESCRIPTION: Owns commercial real estate for rent					
Marin CA MIN WTR UTIL [GS]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Mariposa CTY, CA IMPT SCH [GS]	SP	\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
Matthews Pacific Tiger FD Instl (MIPTX) [MF]	SP	None	None		<input checked="" type="checkbox"/>
Metropolitan WTR Dist S C WTR Util [GS]	SP	None	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Mount San Jacinto Coll Educ [GS]		\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Multi-Pack Solutions LLC [OL]	SP	Spouse/DC Over \$1,000,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LOCATION: Mt. Prospect, IL, US					
DESCRIPTION: Packaging Company					
Nevada Irrigation District Bonds [GS]	SP	Spouse/DC Over \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
New York NY GO UTX [GS]	SP	None	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Ohio Water Development Authority [GS]	SP	None	Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Ohlone Community College District [GS]	SP	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
Orlando FL UTILS PWR UTIL [GS]	SP	None	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Pasadena CA Area CC Educ [GS]	SP	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
PGIM High Yield Fund [MF]	SP	None	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Pittsburg CA Wtr Util [GS]	SP	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
Rancho CA WTR FA DIST COMB UTIL [GS]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Rancho Corte Madera, Inc. [OL]		\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>
LOCATION: Pine Valley, CA, US					
DESCRIPTION: Working Cattle Ranch					
Rand Capital Corporation - Common Stock (RAND) [ST]	SP	None	Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Riverside CA Elec Util [GS]	SP	Spouse/DC Over \$1,000,000	Interest	\$50,001 - \$100,000	<input type="checkbox"/>
Roseville CA Finance Auth [GS]	SP	None	Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Rowland CA USD [GS]	SP	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Sacramento CA Ut Pwr Util [GS]	SP	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Sacramento Muni Util Dist [GS]	SP	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
San Bruno, CA Swr Util [GS]		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
San Diego CA Trans Rev LTX [GS]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
San Diego, CA CCD Coll Educ [GS]	SP	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
San Francisco CA City/Cnty VP [GS]		\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
San Francisco CA Rev. Bonds [GS]	SP	Spouse/DC Over \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
San Francisco County CA EXCISE TAX [GS]	SP	None	Capital Gains, Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
San Francisco, CA FINL SCH [GS]	SP	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
San Francisco, CA City/Cty Impt [GS]	SP	None	Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
San Francisco, CA Cty & City Swr Util [GS]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
San Juan, CA USD [GS]	SP	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Santa Clara CA USD Impt Sch [GS]	SP	\$100,001 - \$250,000	None		<input type="checkbox"/>
Schwab CA Muni Money Fund (SWKXX) [OT]	SP	\$100,001 - \$250,000	Capital Gains, Dividends, Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: EIF					
Sequoia CA UHSD Impt Sch [GS]	SP	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Silicon Vy Cln Wtr Util [GS]		\$100,001 - \$250,000	Capital Gains, Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Sinclair & Rush [OL]	SP	\$250,001 - \$500,000	None		<input type="checkbox"/>
LOCATION: Arnold, MO, US					
DESCRIPTION: Manufacturing Company					
Stockton CA PFA Wtr Util [GS]	SP	Spouse/DC Over \$1,000,000	Interest	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
U.S. Treasury Bills [GS]	SP	Spouse/DC Over \$1,000,000	Interest	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
Univ CA [GS]	SP	Spouse/DC Over \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Univ CA Pub Ed [GS]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Vanguard LifeStrategy Moderate [MF]	SP	\$500,001 - \$1,000,000	Tax-Deferred		<input type="checkbox"/>
Vanguard Mid Cap ETF (VO) [EF]	SP	Spouse/DC Over \$1,000,000	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Vanguard Mid Cap ETF (VO) [EF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Vanguard S&P 500 ETF (VOO) [ST]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Vanguard S&P 500 ETF (VOO) [EF]	SP	Spouse/DC Over \$1,000,000	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Vanguard Short Term [MF]	SP	Spouse/DC Over \$1,000,000	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Vanguard Total Stock Market (VTI) [MF]	SP	Spouse/DC Over \$1,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard Total Stock Market (VTI) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
W Palm Beach FL COMB UTIL [GS]		None	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Winward Academy, LLC [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
WLR Recovery IV Investors LLC [HE]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: EIF					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Alameda CA Trans Excise [GS]	SP	01/27/2020	S	\$500,001 - \$1,000,000	<input checked="" type="checkbox"/>
ALPS Clean Energy ETF (ACES) [ST]	SP	09/4/2020	P	\$250,001 - \$500,000	
ALPS Clean Energy ETF (ACES) [ST]	SP	09/14/2020	P	\$100,001 - \$250,000	
Baillie Gifford Intl Equity [MF]	SP	09/3/2020	P	Spouse/DC Over \$1,000,000	
Baillie Gifford Intl Equity [MF]	SP	12/29/2020	P	\$15,001 - \$50,000	
Baillie Gifford Intl Equity [MF]	SP	12/30/2020	P	\$15,001 - \$50,000	
Baillie Gifford Intl Equity [MF]		09/3/2020	P	\$250,001 - \$500,000	
Baillie Gifford Intl Equity [MF]		12/29/2020	P	\$1,001 - \$15,000	
Baillie Gifford Intl Equity [MF]	SP	09/3/2020	P	\$250,001 - \$500,000	
Baillie Gifford Intl Equity [MF]	SP	12/29/2020	P	\$1,001 - \$15,000	
Baillie Gifford Intl Equity [MF]	SP	09/3/2020	P	Spouse/DC Over \$1,000,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Baillie Gifford Intl Equity [MF]	SP	12/29/2020	P	\$50,001 - \$100,000	
Bay Area Toll RV CA Toll Tran [GS]	SP	04/8/2020	P	\$250,001 - \$500,000	
Butte-Glenn Muni Bonds [GS]		01/29/2020	S	\$500,001 - \$1,000,000	<input checked="" type="checkbox"/>
CA EDUC FAC AUTH REV [GS]	SP	01/27/2020	S	Spouse/DC Over \$1,000,000	<input checked="" type="checkbox"/>
CA Educ Priv Educ [GS]	SP	04/1/2020	P	\$250,001 - \$500,000	
CA State Dept. of Water Bonds [GS]	SP	05/1/2020	S (partial)	\$500,001 - \$1,000,000	<input type="checkbox"/>
Calleguas ETC CA [GS]	SP	07/29/2020	S	\$250,001 - \$500,000	<input type="checkbox"/>
Centinela VY UHS Impt Sch [GS]	SP	04/1/2020	P	\$250,001 - \$500,000	
CIBC Atlas Disciplined (AWEIX) [MF]	SP	01/27/2020	P	\$500,001 - \$1,000,000	
CIBC Atlas Disciplined (AWEIX) [MF]	SP	02/28/2020	P	\$500,001 - \$1,000,000	
CIBC Atlas Disciplined (AWEIX) [MF]	SP	03/19/2020	P	Spouse/DC Over \$1,000,000	
CIBC Atlas Disciplined (AWEIX) [MF]	SP	03/23/2020	P	\$250,001 - \$500,000	
CIBC Atlas Disciplined (AWEIX) [MF]	SP	12/30/2020	P	\$250,001 - \$500,000	
CIBC Atlas Disciplined (AWEIX) [MF]		06/12/2020	P	\$100,001 - \$250,000	
CIBC Atlas Disciplined (AWEIX) [MF]		06/19/2020	P	\$100,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
				\$250,000	
CIBC Atlas Disciplined (AWEIX) [MF]		06/29/2020	P	\$250,001 - \$500,000	
CIBC Atlas Mid Cap Equity (AWMIX) [MF]	SP	03/19/2020	P	\$250,001 - \$500,000	
CIBC Atlas Mid Cap Equity (AWMIX) [MF]	SP	12/30/2020	P	\$50,001 - \$100,000	
Cohen & Steers Preferred [MF]		09/3/2020	P	\$100,001 - \$250,000	
Cohen & Steers Preferred [MF]	SP	07/24/2020	P	\$500,001 - \$1,000,000	
Cohen & Steers Preferred [MF]	SP	07/23/2020	P	Spouse/DC Over \$1,000,000	
Cohen & Steers Preferred [MF]	SP	07/31/2020	P	\$1,001 - \$15,000	
Cohen & Steers Preferred [MF]	SP	08/3/2020	P	Spouse/DC Over \$1,000,000	
Cohen & Steers Preferred [MF]	SP	08/31/2020	P	\$15,001 - \$50,000	
Cohen & Steers Preferred [MF]	SP	09/30/2020	P	\$15,001 - \$50,000	
Cohen & Steers Preferred [MF]	SP	10/30/2020	P	\$15,001 - \$50,000	
Cohen & Steers Preferred [MF]	SP	11/30/2020	P	\$15,001 - \$50,000	
Cohen & Steers Preferred [MF]	SP	12/17/2020	P	\$15,001 - \$50,000	
Contra Costa CA CCD [GS]	SP	04/8/2020	P	\$250,001 - \$500,000	
Contra Costa CA CCD COLL EDUC [GS]	SP	08/19/2020	P	Spouse/DC Over \$1,000,000	
DC UNIV EDUC [GS]		06/18/2020	S	\$250,001 -	□

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
				\$500,000	
Dublin, CA Unified Sch Dist [GS]	SP	05/13/2020	P	\$500,001 - \$1,000,000	
East Bay Muni Util Dist, CA [GS]		06/1/2020	S	\$50,001 - \$100,000	<input type="checkbox"/>
Edgewood Growth Instl (EGFIX) [MF]	SP	03/24/2020	P	\$250,001 - \$500,000	
Edgewood Growth Instl (EGFIX) [MF]	SP	03/30/2020	P	\$250,001 - \$500,000	
Edgewood Growth Instl (EGFIX) [MF]	SP	04/1/2020	P	\$250,001 - \$500,000	
Edgewood Growth Instl (EGFIX) [MF]	SP	04/2/2020	P	\$100,001 - \$250,000	
Edgewood Growth Instl (EGFIX) [MF]	SP	04/16/2020	P	\$100,001 - \$250,000	
Edgewood Growth Instl (EGFIX) [MF]	SP	04/22/2020	P	\$100,001 - \$250,000	
Edgewood Growth Instl (EGFIX) [MF]	SP	05/4/2020	P	\$100,001 - \$250,000	
Edgewood Growth Instl (EGFIX) [MF]	SP	05/14/2020	P	\$100,001 - \$250,000	
Edgewood Growth Instl (EGFIX) [MF]	SP	06/12/2020	P	\$100,001 - \$250,000	
Edgewood Growth Instl (EGFIX) [MF]	SP	11/25/2020	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
Edgewood Growth Instl (EGFIX) [MF]	SP	12/30/2020	P	\$50,001 - \$100,000	
Edgewood Growth Instl (EGFIX) [MF]		06/12/2020	P	\$500,001 - \$1,000,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Edgewood Growth Instl (EGFIX) [MF]	SP	04/23/2020	P	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
Edgewood Growth Instl (EGFIX) [MF]	SP	04/30/2020	P	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
Gainesville FL COMB UTIL [GS]		06/18/2020	S (partial)	\$250,001 - \$500,000	<input type="checkbox"/>
Gainesville FL COMB UTIL [GS]	SP	07/13/2020	S (partial)	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
Gainesville FL COMB UTIL [GS]	SP	07/29/2020	S	\$100,001 - \$250,000	<input type="checkbox"/>
Grossmont CA Impt Sch [GS]	SP	10/14/2020	P	\$100,001 - \$250,000	<input type="checkbox"/>
iShares Russell 1000 ETF (IWB) [ST]	SP	09/4/2020	S	Spouse/DC Over \$1,000,000	<input checked="" type="checkbox"/>
iShares Russell 1000 Value ETF (IWD) [ST]	SP	03/20/2020	S (partial)	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
iShares Russell 1000 Value ETF (IWD) [ST]		06/15/2020	S	\$500,001 - \$1,000,000	<input checked="" type="checkbox"/>
iShares Russell 1000 Value ETF (IWD) [ST]	SP	04/24/2020	S (partial)	Spouse/DC Over \$1,000,000	<input checked="" type="checkbox"/>
iShares Russell 1000 Value ETF (IWD) [ST]	SP	05/1/2020	S	Spouse/DC Over \$1,000,000	<input checked="" type="checkbox"/>
Los Angeles CA CCD COLL EDUC [GS]	SP	08/3/2020	S	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
Los Angeles, CA Dept. of Water & Power [GS]	SP	05/11/2020	P	\$500,001 - \$1,000,000	<input type="checkbox"/>
Lynett Capital Partners II LP [OI]	SP	03/2/2020	P	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Pooled Investment Fund/Venture Capital					
Lynett Capital Partners II LP [OT]	SP	10/9/2020	P	\$15,001 - \$50,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
LOCATION: US					
DESCRIPTION: Pooled Investment Fund/Venture Capital					
MA BAY TRAN AUTH EXCISE TAX [GS]	SP	07/1/2020	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
MA BAY TRAN AUTH EXCISE TAX [GS]	SP	07/29/2020	S	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
MA BAY TRAN AUTH MASS TRAN [GS]		06/18/2020	S (partial)	\$250,001 - \$500,000	<input type="checkbox"/>
Mariposa CTY, CA IMPT SCH [GS]	SP	08/13/2020	P	\$100,001 - \$250,000	
Matthews Pacific Tiger [MF]	SP	03/2/2020	S	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
Metropolitan Water District S C WTR Util [GS]	SP	04/13/2020	S	\$250,001 - \$500,000	<input type="checkbox"/>
Multi-Pack Solutions LLC [OL]	SP	11/30/2020	P	\$250,001 - \$500,000	
LOCATION: Mt. Prospect, IL, US					
DESCRIPTION: Packaging Company					
New York NY GO UTX [GS]	SP	07/10/2020	S (partial)	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
New York NY GO UTX [GS]	SP	07/24/2020	S	\$100,001 - \$250,000	<input type="checkbox"/>
Ohio Water Development Authority [GS]	SP	07/15/2020	S (partial)	\$500,001 - \$1,000,000	<input type="checkbox"/>
Ohio Water Development Authority [GS]	SP	07/29/2020	S	\$100,001 - \$250,000	<input type="checkbox"/>
Orlando FL UTILS PWR UTIL [GS]	SP	07/10/2020	S (partial)	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
Orlando FL UTILS PWR UTIL [GS]	SP	07/29/2020	S	\$100,001 - \$250,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
PGIM High Yield [MF]	SP	03/20/2020	S	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
Rand Capital Corporation (RAND) [ST]	SP	07/28/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Rand Capital Corporation (RAND) [ST]	SP	07/29/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Rand Capital Corporation (RAND) [ST]	SP	07/30/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Rand Capital Corporation (RAND) [ST]	SP	07/31/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Rand Capital Corporation (RAND) [ST]	SP	08/3/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Rand Capital Corporation (RAND) [ST]	SP	08/4/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Roseville, CA Finance Auth [GS]	SP	09/1/2020	S	\$50,001 - \$100,000	<input type="checkbox"/>
Sacramento CA UtI Pwr Util [GS]	SP	04/8/2020	P	\$500,001 - \$1,000,000	
San Diego, CA CCD Coll Educ [GS]	SP	03/30/2020	P	\$500,001 - \$1,000,000	
San Francisco County CA EXCISE TAX [GS]	SP	01/27/2020	S	\$500,001 - \$1,000,000	<input checked="" type="checkbox"/>
San Francisco, CA City/Cty Impt [GS]	SP	10/1/2020	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
San Francisco, CA FINL SCH [GS]	SP	09/10/2020	P	\$100,001 - \$250,000	
Sequoia CA UHSD Impt Sch [GS]	SP	04/9/2020	P	\$500,001 - \$1,000,000	
Silicon Vy Cln Wtr Util [GS]	SP	01/27/2020	S (partial)	\$250,001 - \$500,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Stockton, CA PFA Wtr Util [GS]	SP	03/31/2020	P	Spouse/DC Over \$1,000,000	
U.S. Treasury Bills [GS]	SP	03/12/2020	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
U.S. Treasury Bills [GS]	SP	03/13/2020	P	\$500,001 - \$1,000,000	
U.S. Treasury Bills [GS]	SP	03/26/2020	S	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
U.S. Treasury Bills [GS]	SP	06/11/2020	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
U.S. Treasury Bills [GS]	SP	06/16/2020	P	\$500,001 - \$1,000,000	
U.S. Treasury Bills [GS]	SP	07/16/2020	S (partial)	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
U.S. Treasury Bills [GS]	SP	12/10/2020	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
U.S. Treasury Bills [GS]	SP	12/16/2020	P	\$500,001 - \$1,000,000	
U.S. Treasury Bills [GS]	SP	12/30/2020	S (partial)	\$500,001 - \$1,000,000	<input type="checkbox"/>
U.S. Treasury Notes [GS]	SP	01/27/2020	P	Spouse/DC Over \$1,000,000	
U.S. Treasury Notes [GS]	SP	01/28/2020	P	\$500,001 - \$1,000,000	
Vanguard Mid Cap ETF [EF]	SP	03/20/2020	S (partial)	\$250,001 - \$500,000	<input type="checkbox"/>
Vanguard S&P 500 ETF (VOO) [ST]		04/24/2020	P	\$1,001 - \$15,000	
Vanguard S&P 500 ETF (VOO) [ST]	SP	04/24/2020	P	\$15,001 - \$50,000	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Vanguard S&P 500 ETF (VOO) [ST]		06/22/2020	P	\$15,001 - \$50,000	
Vanguard S&P 500 ETF (VOO) [ST]		06/30/2020	P	\$15,001 - \$50,000	
Vanguard Short Term [MF]	SP	06/25/2020	P	Spouse/DC Over \$1,000,000	
W Palm Beach FL COMB UTIL [GS]		06/15/2020	S	\$100,001 - \$250,000	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

#### **SCHEDULE C: EARNED INCOME**

<b>Source</b>	<b>Type</b>	<b>Amount</b>
Cameron Holdings Corp	Spouse's Income	N/A
Sinclair & Rush, Inc.	Spouse's Income	N/A
San Diego Employees Retirement System	Retirement Payments	\$23,295.00

#### **SCHEDULE D: LIABILITIES**

<b>Owner</b>	<b>Creditor</b>	<b>Date Incurred</b>	<b>Type</b>	<b>Amount of Liability</b>
	Citibank	December 2020	Revolving Credit Debt	\$15,001 - \$50,000
	American Express	December 2020	Revolving Credit	\$15,001 - \$50,000

#### **SCHEDULE E: POSITIONS**

None disclosed.

#### **SCHEDULE F: AGREEMENTS**

<b>Date</b>	<b>Parties To</b>	<b>Terms of Agreement</b>
December 2008	Myself and San Diego City Employees Retirement System	Continued participation in City pension plan

#### **SCHEDULE G: GIFTS**

None disclosed.

## **SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

None disclosed.

## **SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Scott H. Peters , 08/13/2021