



Filing ID #10039175

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Josh Gottheimer  
**Status:** Member  
**State/District:** NJ05

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2017  
**Filing Date:** 10/13/2020

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameritrade ⇒ Amazon.com, Inc. (AMZN) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Ameritrade ⇒ Ameritrade Cash [BA]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Ameritrade ⇒ Apple Inc. (AAPL) [ST]  DESCRIPTION: Previously held	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Ameritrade ⇒ Apple Inc. (AAPL) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Ameritrade ⇒ Facebook, Inc. - Class A (FB) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Ameritrade ⇒ Ford Motor Company (F) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Ameritrade ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF]		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameritrade ⇒ Power Shares QQQ ETF (QQQ) [EF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Ameritrade ⇒ Power Shares QQQ ETF (QQQ) [EF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Previously held					
Ameritrade ⇒ SPDR Dow Jones Industrial Ave ETF Trust (DIA) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Ameritrade ⇒ SPDR Dow Jones Industrial Average Trust (DIA) [EF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Previously held					
Ameritrade ⇒ SPDR S&P 500 ETF (SPY) [EF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Previously held					
Ameritrade ⇒ SPDR S&P 500 ETF (SPY) [EF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Asbury Advisors LLC ⇒ Wells Fargo Bank Accounts [BA]		\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: Checking & Savings					
Barclays - Savings Account [BA]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Columbia University- Voluntary Retirement Savings ⇒ Vanguard Target Retirement 2040 [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DC College Savings - Dependent # 1 ⇒ DC College Savings 2025 Portfolio [MF]	DC	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
DC College Savings - Dependent # 2 ⇒ DC College Savings 2028 Portfolio [MF]	DC	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
DC College Savings - Dependent # 2 ⇒ Intermediate Bond Fund (IBAFX) [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DC College Savings - Dependent # 2 ⇒ U.S. Large Cap Equity Portfolio [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DC College Savings - Dependent # 2 ⇒ U.S. Small Cap Equity Portfolio [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Emigrant Direct [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Fidelity - SEP IRA ⇒ Fidelity 500 Index Investor Class (FUSEX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Fidelity - SEP IRA ⇒ Fidelity Asset Manager (FAMRX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Fidelity - SEP IRA ⇒ Fidelity Diversified International (FDIVX) [MF]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Fidelity - SEP IRA ⇒ Fidelity Government Money Market (SPAXX) [BA]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Fidelity - SEP IRA ⇒ SPDR S&P 500 ETF (SPY) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fidelity Brokerage ⇒ Fidelity Asset Manager (FAMRX) [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Fidelity Brokerage ⇒ Fidelity Cash [BA]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Fidelity Brokerage ⇒ iShares US Technology (IYW) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Fidelity Brokerage ⇒ Microsoft Corporation (MSFT) [ST]		\$1,000,001 - \$5,000,000	Dividends	\$50,001 - \$100,000	<input type="checkbox"/>
Fidelity Brokerage ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call Option - Filed Paper PTR 3/10/17		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Fidelity Brokerage ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call Option - Filed Paper PTR 10/30/17		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Fidelity Brokerage ⇒ SPDR S&P 500 ETF Trust Unit Ser 1 S&P (SPY) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Fidelity Brokerage ⇒ Valley National Bancorp (VLY) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Fidelity Brokerage ⇒ Vanguard Index FDS Vanguard Total Stk Mkt ETF (VTI) [EF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Ford Interest Advantage [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
JPMorgan Chase Bank, N.A [BA]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Lending Club [DO]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: San Francisco, CA					
Microsoft 401(k) ⇒ BTC LifePath [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Microsoft 401(k) ⇒ Vanguard Russell 1000 [EF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 1 ⇒ Morgan Stanley Bank N.A. # [BA]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Akamai Technologies, Inc. (AKAM) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Amazon.com, Inc. (AMZN) [ST]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Coty Inc. Class A (COTY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Dollar Tree, Inc. (DLTR) [ST]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Home Depot, Inc. (HD) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ MarketAxess Holdings, Inc. (MKTX) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Martin Marietta Materials, Inc. (MLM) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Microsoft Corporation (MSFT) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Active Asset Account # 2 ⇒ Morgan Stanley Bank N.A. # [BA]	JT	\$100,001 - \$250,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Proofpoint, Inc. (PFPT) [ST]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Time Warner Inc. New (TWX) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Tractor Supply Company (TSCO) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Under Armour, Inc. Class A (UAA) [ST]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Under Armour, Inc. Class C (UA) [ST]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Vantiv, Inc. Class A (VNTV) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 2 ⇒ Walgreens Boots Alliance, Inc. (WBA) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 3 ⇒ Dell Technologies Inc. Class V (DVMT) [ST]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 3 ⇒ Eli Lilly and Company (LLY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 3 ⇒ Goldman Sachs Emeg Mkts Debt I (GSDIX) [MF]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 3 ⇒ Goldman Sachs Group, Inc. (GS) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 3 ⇒ Goldman Sachs High Yld Instl (GSHIX) [MF]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 3 ⇒ Microsoft Corporation (MSFT) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Active Asset Account # 3 ⇒ Morgan Stanley Bank N.A. # [BA]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Consulting & Evaluation Services ⇒ Arizona St Transn Brd Hwy Subordinated Hwy Rev-A - 5%; Due 07/01/2027 [GS] DESCRIPTION: CUSIP # 040654XL4	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Consulting & Evaluation Services ⇒ Arizona St Transn Brd Hwy Subordinated Hwy Rev-A - 5%; Due 07/01/2027 [GS] DESCRIPTION: CUSIP # 040654XG5	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Consulting & Evaluation Services ⇒ Columbia Dist Washington, DC Income Tax Secd Rev-C - 5%; Due 12/01/2029 [GS]	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley - Consulting & Evaluation Services ⇒ Morgan Stanley Bank N.A. # [BA]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Consulting & Evaluation Services ⇒ New Jersey Edl Fac Auth Rev Montclair Univ Issue Rev-A - 5%; Due 07/01/2027 [GS]	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley - Consulting & Evaluation Services ⇒ New Jersey St Genl Oblig Ref-T - 5%; Due 06/01/2019 [GS]	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley - Consulting & Evaluation Services ⇒ New Jersey Transport Trust Fd Auth Fed Hwy Reimburse Rev-A-2 - 5%; Due 06/15/2029 [GS]	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley - Consulting & Evaluation Services ⇒ New York St Dorm Auth Sales Tax Rev St S - 5%; Due 03/15/2029 [GS]	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley - Consulting & Evaluation Services ⇒ Salt River Project AZ Agric Im Pt Pwr Dist Elec Sys Ref Rev-A - 5%; Due 12/01/2031 [GS]	JT	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley - Consulting & Evaluation Services ⇒ Stafford Twp N J Ref Genl Oblig - 4%; Due 07/01/2018 [GS]	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Consulting & Evaluation Services ⇒ Wisconsin St Gnel Oblig Ser-D - 5%; Due 05/01/2032 [GS]	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Apple Inc. (AAPL) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Portfolio Management ⇒ Blackrock Health Science Opp I (SHSSX) [MF]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Exxon Mobil Corporation (XOM) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	\$50,001 - \$100,000	None		<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Goldman Sachs Int Smcp Inshg I (GICIX) [MF]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Goldman Sachs Sm Md Cap Gw I (GSMYX) [MF]	JT	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ iShares Core S&P 500 ETF (IVV) [ST]	JT	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ iShares Core S&P Mid-Cap ETF (IJH) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ iShares Russell 2000 ETF (IWM) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Janus Henderson Glb Eq Inc I (HFQIX) [MF]	JT	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Kopernik Glb All Cap Instl (KGGIX) [MF]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Morgan Stanley Bank N.A. # [BA]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Oppenheimer Developing Mkts Y (ODVYX) [MF]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ SPDR S&P 500 (SPY) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ Vanguard Total Stock Market ETF (VTI) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Portfolio Management ⇒ WisdomTree Europe Hedged Equity Fund (HEDJ) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Roth IRA ⇒ American Amcap A (AMCPX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Roth IRA ⇒ American Balanced A (ABALX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Roth IRA ⇒ American Bd Fd of America A (ABNDX) [MF]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Roth IRA ⇒ American Inv Co of Amer A (AIVSX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Roth IRA ⇒ American New Perspective A (ANWPX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Roth IRA ⇒ American Smallcap World A (SMCWX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Roth IRA ⇒ American Wa Mutual A (AWSHX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Roth IRA Spouse ⇒ American Cap Inc Builder A (CAIBX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Roth IRA Spouse ⇒ American Gr Fd of America A (AGTHX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Roth IRA Spouse ⇒ American Inv Co of Amer A (AIVSX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Activision Blizzard, Inc (ATVI) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Adobe Systems Incorporated (ADBE) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Albemarle Corporation (ALB) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>



Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Alphabet Inc. - Class C Capital Stock (GOOG) [ST]					
Morgan Stanley - Select UMA Account # 1 ⇒ Amazon.com, Inc. (AMZN) [ST]	JT	None	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: No reportable sale transactions; proceeds less than \$1,000					
Morgan Stanley - Select UMA Account # 1 ⇒ Amphenol Corporation (APH) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Applied Materials, Inc. (AMAT) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Becton, Dickinson and Company (BDX) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Boeing Company (BA) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Boston Scientific Corporation (BSX) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Broadcom Inc. (AVGO) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Charles Schwab Corporation (SCHW) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Comcast Corporation - Class A (CMCSA) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Constellation Brands, Inc. (STZ) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Delta Air Lines, Inc. (DAL) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ DexCom, Inc. (DXCM) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Ecolab Inc. (ECL) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: No reportable sales transactions; proceeds less than \$1,000					
Morgan Stanley - Select UMA Account # 1 ⇒ Electronic Arts Inc. (EA) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Equinix, Inc. (EQIX) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Home Depot, Inc. (HD) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Honeywell International Inc. (HON) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Humana Inc. (HUM) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Illumina, Inc. (ILMN) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Incyte Corporation (INCY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Intercontinental Exchange Inc. (ICE) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Intuitive Surgical, Inc. (ISRG) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Las Vegas Sands Corp. (LVS) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Mastercard Incorporated (MA) [ST]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ MercadoLibre, Inc. (MELI) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Microsoft Corporation (MSFT) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: No reportable sales transactions; proceeds less than \$1,000					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Monster Beverage Corporation (MNST) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Morgan Stanley (MS) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Morgan Stanley Bank N.A. # [BA]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Netflix, Inc. (NFLX) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: No reportable sales transactions; proceeds less than \$1,000					
Morgan Stanley - Select UMA Account # 1 ⇒ Northrop Grumman Corporation (NOC) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: No reportable sales transactions; proceeds less than \$1,000					
Morgan Stanley - Select UMA Account # 1 ⇒ NVIDIA Corporation (NVDA) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Pioneer Natural Resources Company (PXD) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Regeneron Pharmaceuticals, Inc. (REGN) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Reynolds American Inc (RAI) [ST]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Rockwell Automation, Inc. (ROK) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Roper Technologies, Inc. (ROP) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Ross Stores, Inc. (ROST) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ S&P Global Inc. (SPGI) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Salesforce.com Inc (CRM) [ST]					
Morgan Stanley - Select UMA Account # 1 ⇒ ServiceNow, Inc. (NOW) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: No reportable sales transactions; proceeds less than \$1,000					
Morgan Stanley - Select UMA Account # 1 ⇒ Sherwin-Williams Company (SHW) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Shopify Inc. Class A Subordinate Voting Shares (SHOP) [ST]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Snap Inc. Class A (SNAP) [ST]	JT	\$1 - \$1,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Southwest Airlines Company (LUV) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Starbucks Corporation (SBUX) [ST]	JT	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: No reportable sales transactions; proceeds less than \$1,000					
Morgan Stanley - Select UMA Account # 1 ⇒ SVB Financial Group (SIVB) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ The Priceline Group Inc. (PCLN) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Thermo Fisher Scientific Inc (TMO) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: No reportable sales transactions; proceeds less than \$1,000					
Morgan Stanley - Select UMA Account # 1 ⇒ Ulta Beauty, Inc. (ULTA) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ United Rentals, Inc. (URI) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Universal Display Corporation (OLED) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Vantiv, Inc. Class A (VNTV) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: No reportable sales transactions; proceeds less than \$1,000					
Morgan Stanley - Select UMA Account # 1 ⇒ Vertex Pharmaceuticals Incorporated (VRTX) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Visa Inc. (V) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Vulcan Materials Company (VMC) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Altria Group, Inc. (MO) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ BlackRock, Inc. (BLK) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ CA Inc. (CA) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Carnival Corporation (CCL) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Chevron Corporation (CVX) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Cincinnati Financial Corporation (CINF) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Cisco Systems, Inc. (CSCO) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Coca-Cola Company (KO) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒	JT	\$1,001 - \$15,000	Dividends	\$201 -	<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Crown Castle International Corp. (CCI) [ST]				\$1,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Diageo plc (DEO) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Dominion Energy, Inc. (D) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Duke Energy Corporation (DUK) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Eli Lilly and Company (LLY) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ General Dynamics Corporation (GD) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Hasbro, Inc. (HAS) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Intel Corporation (INTC) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Lowe's Companies, Inc. (LOW) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Microsoft Corporation (MSFT) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Morgan Stanley Bank N.A. # [BA]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ NewMarket Corp (NEU) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Norfolk Southern Corporation (NSC) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Paychex, Inc. (PAYX) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 2 ⇒ Pfizer, Inc. (PFE) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Philip Morris International Inc (PM) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Reynolds American Inc (RAI) [ST]	JT	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ SPDR S&P 500 (SPY) [ST]	JT	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Target Corporation (TGT) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Verizon Communications Inc. (VZ) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Wells Fargo & Company (WFC) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ AIA Group, Ltd. Sponsored American Depositary Receipt (AAGIY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Alfa-Laval Ab Un-sponsored ADR (ALFVY) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Allianz SE ADS (AZSEY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Aspen Pharmacare Holdings plc Un-sponsored ADR (APNHY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Atlas Copco Ab Sponsored ADR repstg Class A (ATLKY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Baidu, Inc. - American Depositary Shares, each representing one tenth Class A ordinary share (BIDU) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Banco Bilbao Vizcaya Argentaria S.A. (BBVA) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 3 ⇒ Bayer Aktiengesellschaft American Depositary Shares (BAYRY) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Bayerische Motoren Werke AG Unsponsored ADR (BAMXY) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ BBA Aviation Plc Unsponsored ADR (BBAVY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Canadian National Railway Company (CNI) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Check Point Software Technologies Ltd. - Ordinary Shares (CHKP) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Csl Ltd SPONSORED ADR (CSLLY) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Dassault Systemes, S.A. American Depositary Shares (DASTY) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Dbs Group Holdings Ltd Ord American Depositary Shares (DBSDY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Fanuc Corporation Unsponsored ADR (FANUY) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Fresenius Medical Care AG (FMS) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Fuchs Petrolub SE Unsponsored American Depositary ReceiptRepresenting Preferred Shares (FUPBY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Grifols, S.A. - American Depositary Shares (GRFS) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Grupo Financiero Banorte, S.A.B. De C.V Sponsored ADR (GBOOY) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>



Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Select UMA Account # 3 ⇒ HDFC Bank Limited (HDB) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ HSBC Holdings, plc. (HSBC) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ ICICI Bank Limited (IBN) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Infineon Technologies AG (IFNNY) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Itau Unibanco Banco Holding SA American Depositary Shares (ITUB) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ J G C Corp (JGCCY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Kubota Corporation (KUBTY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ L'Air Liquide Ord American Depositary Shares (AIQUY) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Linde Ag Sponsored ADR (LNEGY) [ST]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ L'Oreal Co. American Depositary Shares (LRLCY) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: No reportable sales transactions; proceeds less than \$1,000					
Morgan Stanley - Select UMA Account # 3 ⇒ LVMH Moet Hennessy Louis Vuitton Un-sponsored American Depositary Receipt (LVMUY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Mitsubishi Estate Co Ltd American Depositary Shares (MITEY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Monotaro Co. Ltd., Osaka Un-sponsored ADR (MONOY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley Bank N.A. # [BA]					
Morgan Stanley - Select UMA Account # 3 ⇒ Naspers Limited N Shs Sponsored American Depositary Receipt Representing Class N Shares (NPSNY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Park 24 Co Ltd Sponsored ADR (PKCOY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Roche Holdings AG Basel American Depositary Shares (RHHBY) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Royal Dutch Shell PLC Royal Dutch Shell PLC American Depositary Shares (RDS.B) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ SAP SE ADS (SAP) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Sales transactions less than \$1,000					
Morgan Stanley - Select UMA Account # 3 ⇒ Sasol Ltd. American Depositary Shares (SSL) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Shire plc - American Depositary Shares, each representing three Ordinary Shares (SHPG) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Sonova Holding AG Un-sponsored ADR (SONVY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Symrise Ag Un-sponsored ADR (SYIEY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Sysmex Corporation Un-sponsored ADR (SSMXY) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Tenaris S.A. American Depositary Shares (TS) [ST]					
Morgan Stanley - Select UMA Account # 3 ⇒ Turkiye Garanti Bankasi A S American Depositary Shares (TKGBY) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Unilever PLC (UL) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Vanguard FTSE Developed Markets ETF (VEA) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Weibo Corporation - American Depositary Share (WB) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ WPP plc American Depositary Shares (WPP) [ST]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley - SEP / Traditional IRA ⇒ American Cap Inc Builder A (CAIBX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - SEP / Traditional IRA ⇒ American Gr Fd of America A (AGTHX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - SEP / Traditional IRA ⇒ American SmallCap World A (SMCWX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - SEP / Traditional IRA ⇒ American Wa Mutual A (AWSHX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - SEP / Traditional IRA ⇒ Morgan Stanley Bank N.A. # [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ iShares MSCI EAFE ETF (EFA) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Morgan Stanley Bank N.A. # [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Russell Inv Commodity Strat S (RCCSX) [MF]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Russell Inv Emerging Markets S (REMSX) [MF]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley - Traditional IRA ⇒ Russell Inv Glb Infrastrctre S (RGISX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Russell Inv Glb Opprnstc Crd S (RGCSX) [MF]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Russell Inv Glb Real Est Sec S (RRESX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Russell Inv Global Equity S (RGESX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Russell Inv Intl Dev Mkts S (RINTX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Russell Inv US Small Cap Eq S (RLESX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ Russell Inv US Strat Eq S (RSESX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley - Traditional IRA ⇒ SPDR S&P 500 (SPY) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Alamo Group, Inc. (ALG) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ American Campus Communities Inc (ACC) [ST]		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Ameris Bancorp (ABCB) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ AMERISAFE, Inc. (AMSF) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Balchem Corporation (BCPC) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Blackbaud, Inc. (BLKB) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ CalAtlantic Group, Inc. (CAA) [ST]		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Callidus Software, Inc. (CALD) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Cambrex Corporation (CBM) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Cantel Medical Corp. (CMD) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Cardtronics plc (CATM) [ST]		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Catalent, Inc. (CTLT) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Cathay General Bancorp (CATY) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ CEB Inc. (CEB) [ST]		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ CLARCOR Inc. (CLC) [ST]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Cognex Corporation (CGNX) [ST]		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Cohen & Steers Inc (CNS) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Compass Minerals Intl Inc (CMP) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Cotiviti Holdings, Inc. (COTV) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Diplomat Pharmacy, Inc. (DPLO) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Dril-Quip, Inc. (DRQ) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Education Realty Trust, Inc. (EDR) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley ⇒ UMA Active Assets Account ⇒ EPAM Systems, Inc. (EPAM) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ ExlService Holdings, Inc. (EXLS) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Five Below, Inc. (FIVE) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Glacier Bancorp, Inc. (GBCI) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Globus Medical, Inc. Class A (GMED) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Grand Canyon Education, Inc. (LOPE) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Healthcare Services Group, Inc. (HCSG) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Heartland Express, Inc. (HTLD) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Heico Corporation (HEI.A) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Heico Corporation (HEI) [ST]		\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Helen of Troy Limited (HELE) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ HubSpot, Inc. (HUBS) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ IBERIABANK Corporation (IBKC) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ ICF International, Inc. (ICFI) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒		\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
ICU Medical, Inc. (ICUI) [ST]					
Morgan Stanley ⇒ UMA Active Assets Account ⇒ IDACORP, Inc. (IDA) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Impax Laboratories, Inc. (IPXL) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ INC Research Holdings, Inc. - Class A (INCR) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Installed Building Products, Inc. (IBP) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ iShares Russell 2000 ETF (IWM) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ John Bean Technologies Corporation (JBT) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ KapStone Paper and Packaging Corporation (KS) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Lithia Motors, Inc. (LAD) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ LogMein, Inc. (LOGM) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ MACOM Technology Solutions Holdings, Inc. (MTSI) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ MarketAxess Holdings, Inc. (MKTX) [ST]		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Matador Resources Company (MTDR) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Medidata Solutions, Inc. (MDSO) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Meridian Bancorp, Inc. (EBSB) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Mobile Mini, Inc. (MINI) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Morgan Stanley Bank N.A. # [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ National Health Investors, Inc. (NHI) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ NorthWestern Corporation (NWE) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Oxford Industries, Inc. (OXM) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Patrick Industries, Inc. (PATK) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Pebblebrook Hotel Trust Common Shares of Beneficial Interest (PEB) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Performance Food Group Company (PFGC) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ PolyOne Corporation (POL) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Power Integrations, Inc. (POWI) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ PRA Group, Inc. (PRAA) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Primoris Services Corporation (PRIM) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ ProAssurance Corporation (PRA) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Proofpoint, Inc. (PFPT) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ QTS Realty Trust, Inc. Class A (QTS) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>



Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley ⇒ UMA Active Assets Account ⇒ RBC Bearings Incorporated (ROLL) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Ritchie Bros. Auctioneers Incorporated (RBA) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Rogers Corporation (ROG) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Silgan Holdings Inc. (SLGN) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Silicon Laboratories, Inc. (SLAB) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Stag Industrial, Inc. (STAG) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Stifel Financial Corporation (SF) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Sun Communities, Inc. (SUI) [ST]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Sun Hydraulics Corporation (SNHY) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Texas Capital Bancshares, Inc. (TCBI) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Texas Roadhouse, Inc. (TXRH) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Toro Company (TTC) [ST]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Tupperware Brands Corporation (TUP) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Tyler Technologies, Inc. (TYL) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ United Bankshares, Inc. (UBSI) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Universal Forest Products, Inc. (UFPI) [ST]		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Sales transaction less than \$1,000					
Morgan Stanley ⇒ UMA Active Assets Account ⇒ US Ecology, Inc. (ECOL) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ WD-40 Company (WDFC) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Webster Financial Corporation (WBS) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ West Pharmaceutical Services, Inc. (WST) [ST]		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Sales transaction less than \$1,000					
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Wolverine World Wide, Inc. (WWW) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Wright Medical Group N.V. - Ordinary Shares (WMGI) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Rental Property [RP]	JT	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: Washington, DC, US					
Synchrony Bank [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ Legal & General S&P 500 Ret Acct [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ Oakmark International Ret Acct [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ SSgA Emerging Markets Index Ret Acct [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ TA Vanguard Instl Trg Re 2040 [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Vanguard SEP IRA ⇒ Target Retirement 2040 [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Wealthfront ⇒ Alphabet Inc. - Class A (GOOGL) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wealthfront ⇒ Facebook, Inc. - Class A (FB) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wealthfront ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wealthfront ⇒ Johnson & Johnson (JNJ) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wealthfront ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wealthfront ⇒ Schwab International Equity ETF (SCHF) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wealthfront ⇒ SPDR Nuveen Bloomberg Barclays Municipal Bond ETF (TFI) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wealthfront ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wealthfront ⇒ Vanguard Div Appreciation ETF (VIG) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wealthfront ⇒ Vanguard Extended Market ETF (VXF) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Wealthfront ⇒ Vanguard FTSE Developed Markets ETF (VEA) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Wealthfront ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Wealthfront ⇒ Vanguard S&P 500 ETF (VOO) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wells Fargo Bank Accounts [BA]		\$250,001 - \$500,000	None		<input type="checkbox"/>
DESCRIPTION: Checking & Savings					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
WPP plc - American Depositary Shares each representing five Ordinary Shares (WPPGY) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameritrade ⇒ iShares Core S&P Small Cap ETF (IJR) [EF]		12/29/2017	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Microsoft Corporation (MSFT) [OP] DESCRIPTION: Filed Paper PTR 3/10/17; Call Option	JT	02/7/2017	P	\$15,001 - \$50,000	
Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call Option - Filed paper PTR 6/9/17	JT	05/16/2017	P	\$15,001 - \$50,000	
Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call Option - Paper filed 5/16/17	JT	05/16/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call Option - Paper filed PTR 9/14/17	JT	09/14/2017	P	\$15,001 - \$50,000	
Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call Option - Paper filed PTR 10/30/17	JT	10/12/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call Option - Paper filed PTR 1/19/18	JT	12/29/2017	P	\$15,001 - \$50,000	
Morgan Stanley - Consulting & Evaluation Services ⇒ Wisconsin St Gnel Oblig Ser-D - 5%; Due 05/01/2032 [GS]	JT	06/29/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Portfolio Management ⇒ Kopernik Glb All Cap Instl (KGGIX) [MF]	JT	03/31/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Portfolio Management ⇒ Schlumberger N.V. (SLB) [ST]	JT	12/18/2017	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒	JT	02/21/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Accenture plc Class A Ordinary Shares (ACN) [ST]					
Morgan Stanley - Select UMA Account # 1 ⇒ Acuity Brands Inc (AYI) [ST]	JT	07/27/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Albemarle Corporation (ALB) [ST]	JT	08/7/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	05/1/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	08/2/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Boeing Company (BA) [ST]	JT	08/23/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Boeing Company (BA) [ST]	JT	10/5/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Boston Scientific Corporation (BSX) [ST]	JT	04/20/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Comcast Corporation - Class A (CMCSA) [ST]	JT	10/12/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Comcast Corporation - Class A (CMCSA) [ST]	JT	11/3/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ DexCom, Inc. (DXCM) [ST]	JT	01/13/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ FedEx Corporation (FDX) [ST]	JT	02/21/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Gilead Sciences, Inc. (GILD) [ST]	JT	02/21/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Gilead Sciences, Inc. (GILD) [ST]	JT	04/17/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Illumina, Inc. (ILMN) [ST]	JT	07/24/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 1 ⇒ Intuitive Surgical, Inc. (ISRG) [ST]	JT	11/7/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Johnson & Johnson (JNJ) [ST]	JT	01/13/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Moody's Corporation (MCO) [ST]	JT	02/21/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Newell Brands Inc. (NWL) [ST]	JT	10/18/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ NVIDIA Corporation (NVDA) [ST]	JT	06/9/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ NXP Semiconductors N.V. (NXPI) [ST]	JT	03/2/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	11/3/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Pioneer Natural Resources Company (PXD) [ST]	JT	10/18/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Reynolds American Inc (RAI) [ST]	JT	02/21/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Reynolds American Inc (RAI) [ST]	JT	04/17/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Rockwell Automation, Inc. (ROK) [ST]	JT	02/21/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Sherwin-Williams Company (SHW) [ST]	JT	09/21/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Snap Inc. Class A (SNAP) [ST]	JT	03/6/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Southwest Airlines Company (LUV) [ST]	JT	03/9/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ SVB Financial Group (SIVB) [ST]	JT	02/21/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 1 ⇒ The Priceline Group Inc. (PCLN) [ST]	JT	06/8/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Ulta Beauty, Inc. (ULTA) [ST]	JT	10/18/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ United Rentals, Inc. (URI) [ST]	JT	02/21/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	JT	05/15/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ VeriSign, Inc. (VRSN) [ST]	JT	08/10/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Vertex Pharmaceuticals Incorporated (VRTX) [ST]	JT	04/13/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Vertex Pharmaceuticals Incorporated (VRTX) [ST]	JT	07/19/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Vulcan Materials Company (VMC) [ST]	JT	02/21/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 1 ⇒ Walmart Inc. (WMT) [ST]	JT	02/21/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Altria Group, Inc. (MO) [ST]	JT	02/21/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ British American Tobacco Industries, p.l.c. Common Stock ADR (BTI) [ST]	JT	08/17/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Coca-Cola Company (KO) [ST]	JT	08/17/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Crown Castle International Corp. (CCI) [ST]	JT	08/17/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Crown Castle International Corp. (CCI) [ST]	JT	08/18/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley - Select UMA Account # 2 ⇒ General Electric Company (GE) [ST]	JT	04/28/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ General Electric Company (GE) [ST]	JT	12/18/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ General Mills, Inc. (GIS) [ST]	JT	12/18/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ H&R Block, Inc. (HRB) [ST]	JT	01/3/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ H&R Block, Inc. (HRB) [ST]	JT	02/21/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Hasbro, Inc. (HAS) [ST]	JT	08/18/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Kinder Morgan, Inc. (KMI) [ST]	JT	01/3/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Kinder Morgan, Inc. (KMI) [ST]	JT	12/18/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Mosaic Company (MOS) [ST]	JT	04/28/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Mosaic Company (MOS) [ST]	JT	05/1/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ Philip Morris International Inc (PM) [ST]	JT	02/21/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 2 ⇒ Reynolds American Inc (RAI) [ST]	JT	07/25/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒ SPDR S&P 500 ETF (SPY) [EF]	JT	12/18/2017	P	\$15,001 - \$50,000	
Morgan Stanley - Select UMA Account # 2 ⇒ SPDR S&P 500 ETF Trust (SPY) [EF]	JT	01/3/2017	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 2 ⇒	JT	05/1/2017	P	\$1,001 - \$15,000	



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Target Corporation (TGT) [ST]					
Morgan Stanley - Select UMA Account # 2 ⇒ Target Corporation (TGT) [ST]	JT	08/17/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 3 ⇒ Baidu, Inc. - American Depositary Shares, each representing one tenth Class A ordinary share (BIDU) [ST]	JT	08/22/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Banco Bilbao Vizcaya Argentaria S.A. (BBVA) [ST]	JT	01/3/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 3 ⇒ Bayer Aktiengesellschaft American Depositary Shares (BAYRY) [ST]	JT	12/15/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 3 ⇒ Bayerische Motoren Werke AG Unsponsored ADR (BAMXY) [ST]	JT	05/19/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Bunge Limited Bunge Limited (BG) [ST]	JT	04/13/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Check Point Software Technologies Ltd. - Ordinary Shares (CHKP) [ST]	JT	02/21/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 3 ⇒ Csl Ltd SPONSORED ADR (CSLLY) [ST]	JT	05/30/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Dassault Systemes, S.A. American Depositary Shares (DASTY) [ST]	JT	06/26/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Fanuc Corporation Unsponsored ADR (FANUY) [ST]	JT	01/4/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Grupo Financiero Banorte, S.A.B. De C.V Sponsored ADR (GBOOY) [ST]	JT	02/21/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 3 ⇒ Infineon Technologies AG (IFNNY) [ST]	JT	05/30/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 3 ⇒	JT	11/16/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Infineon Technologies AG (IFNNY) [ST]					
Morgan Stanley - Select UMA Account # 3 ⇒ L'Air Liquide Ord American Depositary Shares (AIQUY) [ST]	JT	09/21/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 3 ⇒ L'Air Liquide Ord American Depositary Shares (AIQUY) [ST]	JT	09/22/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 3 ⇒ Linde Ag Sponsored ADR (LNEGY) [ST]	JT	09/21/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Linde Ag Sponsored ADR (LNEGY) [ST]	JT	09/22/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Roche Holdings AG Basel American Depositary Shares (RHHBY) [ST]	JT	07/27/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Schlumberger N.V. (SLB) [ST]	JT	12/18/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley - Select UMA Account # 3 ⇒ Shire plc - American Depositary Shares, each representing three Ordinary Shares (SHPG) [ST]	JT	05/18/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 3 ⇒ Shire plc - American Depositary Shares, each representing three Ordinary Shares (SHPG) [ST]	JT	07/27/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 3 ⇒ Turkiye Garanti Bankasi A S American Depositary Shares (TKGBY) [ST]	JT	01/4/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 3 ⇒ Weibo Corporation - American Depositary Share (WB) [ST]	JT	09/26/2017	P	\$1,001 - \$15,000	
Morgan Stanley - Select UMA Account # 3 ⇒ WPP plc American Depositary Shares (WPP) [ST]	JT	10/4/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ American Campus Communities Inc (ACC) [ST]		08/21/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒		12/19/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Amplify Snack Brands, Inc. (BETR) [ST]					
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Analogic Corporation (ALOG) [ST]		02/21/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ CalAtlantic Group, Inc. (CAA) [ST]		10/31/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Cambrex Corporation (CBM) [ST]		08/8/2017	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Cardtronics plc (CATM) [ST]		02/21/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ CEB Inc. (CEB) [ST]		02/21/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ CEB Inc. (CEB) [ST]		04/6/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ CLARCOR Inc. (CLC) [ST]		02/28/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Cognex Corporation (CGNX) [ST]		05/3/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Cognex Corporation (CGNX) [ST]		07/17/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Flotek Industries, Inc. (FTK) [ST]		04/27/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Forum Energy Technologies, Inc. (FET) [ST]		12/18/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Heico Corporation (HEI) [ST]		12/14/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ ICF International, Inc. (ICFI) [ST]		09/28/2017	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Impax Laboratories, Inc. (IPXL) [ST]		10/31/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Installed Building Products, Inc. (IBP) [ST]		11/22/2017	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ UMA Active Assets Account ⇒ iShares Russell 2000 ETF (IWM) [EF]		01/3/2017	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ iShares Russell 2000 ETF (IWM) [EF]		12/18/2017	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ UMA Active Assets Account ⇒ John Bean Technologies Corporation (JBT) [ST]		06/30/2017	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ UMA Active Assets Account ⇒ MarketAxess Holdings, Inc. (MKTX) [ST]		06/30/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ MarketAxess Holdings, Inc. (MKTX) [ST]		08/10/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ MarketAxess Holdings, Inc. (MKTX) [ST]		08/21/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Meridian Bancorp, Inc. (EBSB) [ST]		12/28/2017	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Monro, Inc. (MNRO) [ST]		05/5/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Patrick Industries, Inc. (PATK) [ST]		05/5/2017	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Patrick Industries, Inc. (PATK) [ST]		06/13/2017	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Performance Food Group Company (PFGC) [ST]		09/27/2017	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ UMA Active Assets Account ⇒ PolyOne Corporation (POL) [ST]		04/27/2017	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ UMA Active Assets Account ⇒ QTS Realty Trust, Inc. Class A (QTS) [ST]		08/21/2017	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Silicon Laboratories, Inc. (SLAB) [ST]		02/21/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Sun Communities, Inc. (SUI) [ST]		12/28/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Sun Hydraulics Corporation (SNHY) [ST]		05/5/2017	P	\$1,001 - \$15,000	
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Toro Company (TTC) [ST]		06/30/2017	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley ⇒ UMA Active Assets Account ⇒ Toro Company (TTC) [ST]		07/17/2017	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rental Property [RP]  LOCATION: Washington, DC, US	JT	09/12/2017	S	\$500,001 - \$1,000,000	<input checked="" type="checkbox"/>
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ Legal & General S&P 500 Ret Acct [MF]	SP	10/1/2017	P	\$1,001 - \$15,000	
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ Oakmark International Ret Acct [MF]	SP	10/1/2017	P	\$1,001 - \$15,000	
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ SSgA Emerging Markets Index Ret Acct [MF]	SP	10/1/2017	P	\$1,001 - \$15,000	
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ SSgA S&P Mid Cap Index Ret Acct [MF]	SP	10/1/2017	P	\$1,001 - \$15,000	
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ TA Vanguard Instl Trg Re 2040 [MF]	SP	03/31/2017	P	\$1,001 - \$15,000	
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ TA Vanguard Instl Trg Re 2040 [MF]	SP	06/30/2017	P	\$1,001 - \$15,000	
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ TA Vanguard Instl Trg Re 2040 [MF]	SP	09/30/2017	P	\$1,001 - \$15,000	
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ TA Vanguard Instl Trg Re 2040 [MF]	SP	12/31/2017	P	\$1,001 - \$15,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Tusk Strategies	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Wells Fargo	May 2013	Mortgage on NJ residential property	\$1,000,001 - \$5,000,000
SP	Access Group Inc.	August 2001	Spouse Student Loan	\$15,001 - \$50,000
JT	Wells Fargo	May 2006	Mortgage on Washington, DC rental property	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
November 2015	Filer and Microsoft	Continuing participation in a defined benefit retirement plan managed by former employer. Employer no longer contributes to the plan.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
American Israel Education Foundation (AIEF)	08/2/2017	08/9/2017	Newark, NJ - Tel Aviv, Israel - Newark, NJ	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Ameritrade  
LOCATION: US
- Ameritrade (Owner: SP)  
LOCATION: US
- Asbury Advisors LLC  
LOCATION: US
- Columbia University- Voluntary Retirement Savings (Owner: SP)
- DC College Savings - Dependent # 1 (Owner: DC)  
LOCATION: DC
- DC College Savings - Dependent # 2 (Owner: DC)  
LOCATION: DC
- Fidelity - SEP IRA  
LOCATION: US
- Fidelity Brokerage  
LOCATION: US
- Microsoft 401(k)
- Morgan Stanley  
LOCATION: US
- Morgan Stanley - Active Asset Account # 1  
LOCATION: US
- Morgan Stanley - Active Asset Account # 2 (Owner: JT)  
LOCATION: US
- Morgan Stanley - Active Asset Account # 3 (Owner: JT)  
LOCATION: US
- Morgan Stanley - Consulting & Evaluation Services (Owner: JT)  
LOCATION: US
- Morgan Stanley - Portfolio Management (Owner: JT)  
LOCATION: US
- Morgan Stanley - Roth IRA
- Morgan Stanley - Roth IRA Spouse (Owner: SP)
- Morgan Stanley - Select UMA Account # 1 (Owner: JT)  
LOCATION: US
- Morgan Stanley - Select UMA Account # 2 (Owner: JT)  
LOCATION: US
- Morgan Stanley - Select UMA Account # 3 (Owner: JT)  
LOCATION: US
- Morgan Stanley - SEP / Traditional IRA
- Morgan Stanley - Traditional IRA
- Morgan Stanley ⇒ UMA Active Assets Account  
LOCATION: US
- TriNet 401(k) Plan - Tusk Strategies LLC (Owner: SP)
- Vanguard SEP IRA (Owner: SP)
- Wealthfront

LOCATION: US

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Josh Gottheimer , 10/13/2020