



Filing ID #10035479

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Tim Walberg
Status: Member
State/District: MI07

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2019
Filing Date: 06/3/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
American Beacon Int'l Equity [MF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
American Funds EuroPacific Growth Fund [MF]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Clearbridge Mid Cap Cl I [MF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Clearbridge Mid Cap Cl I [MF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Bought MF					
Cole Credit Property Trust [RE]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
CREF Global Equities [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cref Growth [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cref Stock [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Delaware Small Cap Core [MF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Bought MF					
Federated Short Term Income Fund [MF]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Bought MF					
Fidelity Advisor Total Bond [MF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Bought MF					
Fidelity Conservative Income Bond Fund [MF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Bought MF					
FMI Large Cap Fund [MF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Bought MF					
Franklin Square Energy & Power Fund [PS]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: Non Traded BDC					
FT Muni Income & Div [MF]	JT	\$1,001 - \$15,000	Dividends, Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: UIT Came due					
Gateway Fund [MF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
GS Finance Structured Note, 100% Interest [CS]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: structured note					
John Hancock Disciplined Value Fund [MF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Bought MF					
JPMorgan Hedged Equity [MF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Bought MF					
Lord Abbott Ultra Short Bond Fund [MF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Bought MF					
Money Market (LPL) [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Natixis Managed Futures Fund [MF]	JT	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Pacific Funds Short Duration Fund [MF]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Bought MF					
Pimco All Asset All Authority Fund [MF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Bought MF					
Pimco All Asset Authority [MF]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Savoy Energy, LP [OT]		Undetermined	mineral rights/royalties	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: royalties from our interest in an oil well.					
TIAA Real Estate [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA Traditional [FN]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TLC Community Credit Union [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Virtus Equity Trend [MF]	JT	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
American Beacon Int'l Equity Fund [MF]	JT	05/7/2019	P	\$1,001 - \$15,000	
American Funds EuroPacific Growth Fund [MF]	JT	05/7/2019	P	\$1,001 - \$15,000	
Clearbridge Mid Cap Cl I [MF]	JT	05/7/2019	P	\$1,001 - \$15,000	
Delaware Small Cap Core [MF]	JT	05/7/2019	P	\$1,001 - \$15,000	
Federated Short Term Income Fund [MF]	JT	05/7/2019	P	\$1,001 - \$15,000	
Fidelity Advisor Total Bond [MF]	JT	05/7/2019	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Fidelity Conservative Income Bond Fund [MF]	JT	05/7/2019	P	\$1,001 - \$15,000	
First Trust Muni Income & Div Fund [MF]	JT	08/14/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
First Trust Strategic Advantage 70 [MF]	JT	11/20/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
First Trust Target Global Div Fund [MF]	JT	01/8/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
FMI Large Cap Fund [MF]	JT	05/7/2019	P	\$1,001 - \$15,000	
John Hancock Disciplined Value Fund [MF]	JT	05/7/2019	P	\$1,001 - \$15,000	
JPMorgan Hedged Equity [MF]	JT	05/7/2019	P	\$15,001 - \$50,000	
Lord Abbott Ultra Short Bond Fund [MF]	JT	05/7/2019	P	\$1,001 - \$15,000	
Pacific Funds Short Duration Fund [MF]	JT	05/7/2019	P	\$1,001 - \$15,000	
Pimco All Asset All Authority Fund [MF]	JT	05/7/2019	P	\$1,001 - \$15,000	

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SCHEDULE C: EARNED INCOME

Source	Type	Amount
State of Michigan	legislative pension	\$77,350.00

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
April 2005	State of Michigan	pension for service in MI House of Representatives

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Tim Walberg , 06/3/2020