



Filing ID #10047515

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Alan S. Lowenthal  
**Status:** Member  
**State/District:** CA47

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2021  
**Filing Date:** 07/22/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Allianz 403(b) ⇒ AZL Russell 1000 Growth Idx C2 [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Allianz 403(b) ⇒ AZL Russell 1000 Value Index C2 [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Allianz 403(b) ⇒ AZL S&P 500 Index Fund [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Allianz 403(b) ⇒ AZL Small Cap Stock Index [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Allianz 403(b) ⇒ Franklin Rising Dividends Sec [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Allianz 403(b) ⇒ PIMCO EqS Pathfinder [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
American General 403(b) ⇒ Fixed Annuity [FN]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
CA Resources Long Beach, Inc., 50% Interest [OT]	JT	Undetermined	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: This is the mineral rights on the Congressman's primary residence in Long Beach, CA. This is a 50% share with the Congressman's former spouse.					
California Public Retirement System [DB]		Undetermined	Tax-Deferred		<input type="checkbox"/>
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Contrafund (FCNTX) [MF]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Large Cap Value Enhanced Index (FLVEX) [MF]	JT	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Limited Term Bond Fund (FJRLX) [MF]	JT	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Low Priced Stock (FLPSX) [MF]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Mortgage Securities (FMSFX) [MF]	JT	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Short Term Treasury Bond Index (FUMBX) [MF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Total Bond (FTBFX) [MF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Individually Owned ⇒ International Business Machines Corporation (IBM) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Previously reported in JP Morgan Chase - Individual					
Individually Owned ⇒ Walt Disney Company (DIS) [ST]	SP	\$100,001 - \$250,000	Capital Gains	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
DESCRIPTION: Previously reported in JP Morgan Chase - Individual					
JP Morgan Chase - Individual ⇒ Trans Century Limited (TCL:KN) [PS]	SP	\$1 - \$1,000	None		<input type="checkbox"/>
DESCRIPTION: This is a stock traded on the Kenyan Stock Exchange.					
Long Beach Schools Federal Credit Union [BA]		\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: This asset was inherited in 2017.					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Neuberger Berman - Beneficiary IRA ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ American Tower Corporation (AMT) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: This asset was inherited in 2017.					
Neuberger Berman - Beneficiary IRA ⇒ Americold Realty Trust Common Shares (COLD) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Apple Inc. (AAPL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: This asset was inherited in 2017.					
Neuberger Berman - Beneficiary IRA ⇒ Autodesk, Inc. (ADSK) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ BlackRock, Inc. (BLK) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: This asset was inherited in 2017.					
Neuberger Berman - Beneficiary IRA ⇒ CIT GROUP INC NOTE CALL MAKE WHOLE [CS]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Maturation Date: 08/15/22; Percentage Yield: 5%					
Neuberger Berman - Beneficiary IRA ⇒ Crown Castle International Corp. (CCI) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: This asset was inherited in 2017.					
Neuberger Berman - Beneficiary IRA ⇒ Deckers Outdoor Corporation (DECK) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Purchase less than \$1,000					
Neuberger Berman - Beneficiary IRA ⇒ DREYUS TREASURY SEC MGMT ADMIN (DARXX) [BA]	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: This asset was inherited in 2017.					
Neuberger Berman - Beneficiary IRA ⇒ EXPEDIA GROUP INC NOTE CALL MAKE WHOLE [CS]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Maturation Date: 08/15/24; Percentage Yield: 4.5%					
Neuberger Berman - Beneficiary IRA ⇒ Fidelity National Information Services, Inc. (FIS) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Neuberger Berman - Beneficiary IRA ⇒ General Motors Company (GM) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Home Depot, Inc. (HD) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ IHS MARKIT LTD NOTE CALL MAKE WHOLE [CS] DESCRIPTION: Maturation Date: 05/01/24; Percentage Yield: 3.625%	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Intercontinental Exchange Inc. (ICE) [ST] DESCRIPTION: This asset was inherited in 2017.	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ International Flavors & Fragrances, Inc. (IFF) [ST] DESCRIPTION: Received shares of International Flavors & Fragrances, Inc. (IFF) in exchange for DuPont (DD) as result of spinoff.	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ iShares Russell 2000 Value (IWN) [EF]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Johnson & Johnson (JNJ) [ST] DESCRIPTION: This asset was inherited in 2017.	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ JP Morgan Chase & Co. (JPM) [ST] DESCRIPTION: This asset was inherited in 2017.	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Kraft Heinz Foods Co Ser B Note 3%; Due 6/1/26 [CS] DESCRIPTION: Corporate Bond	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Kratos Defense & Security Solutions, Inc. (KTOS) [ST] DESCRIPTION: Final sale less than \$1,000	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Mastercard Incorporated (MA) [ST] DESCRIPTION: This asset was inherited in 2017.	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Neuberger Berman - Beneficiary IRA ⇒ Microsoft Corporation (MSFT) [ST] DESCRIPTION: This asset was inherited in 2017.	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ NETFLIX INC NOTE CALL MAKE WHOLE [CS] DESCRIPTION: Maturation Date: 12/15/22; Percentage Yield: 5.5%	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ NextEra Energy, Inc. (NEE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ NVIDIA Corporation (NVDA) [ST] DESCRIPTION: Purchase less than \$1,000	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ S&P Global Inc. (SPGI) [ST] DESCRIPTION: Purchase less than \$1,000	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Salesforce.com Inc (CRM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Service Corporation International (SCI) [ST] DESCRIPTION: This asset was inherited in 2017.	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ SPDR GOLD TR GOLD SHS (GLD) [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Sunrun Inc. (RUN) [ST] DESCRIPTION: 12/31/21 value less than \$1,000	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ The Blackstone Group Inc. (BX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ TJX Companies, Inc. (TJX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ T-Mobile US, Inc. (TMUS) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Uber Technologies, Inc. (UBER) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Neuberger Berman - Beneficiary IRA ⇒ Verisk Analytics, Inc. (VRSK) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Virgin Galactic Holdings, Inc. (SPCE) [ST]	SP	None	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Waste Connections, Inc. Common Shares (WCN) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Zimmer Biomet Hldgs Inc Note [CS] DESCRIPTION: Maturation Date: 03/19/21; Percentage Yield: 2.6525%	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST] DESCRIPTION: Transferred from Neuberger Berman - Individual	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Amazon.com, Inc. (AMZN) [ST] DESCRIPTION: Transferred from Neuberger Berman - Individual	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ ARES CAP CORP NOTE CALL MAKE WHOLE [CS] DESCRIPTION: Maturation Date: 01/19/22; Percentage Yield: 3.625%; Previously Held in Neuberger Berman - Individual	JT	None	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ AstraZeneca PLC - American Depositary Shares (AZN) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Autodesk, Inc. (ADSK) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ BlackRock, Inc. (BLK) [ST] DESCRIPTION: Transferred from Neuberger Berman - Individual. Partial sale less than \$1,000.	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Blackstone Inc. (BX) [ST] DESCRIPTION: Transferred from Neuberger Berman - Individual. Partial sale less than \$1,000.	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Boston Scientific Corporation (BSX) [ST]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Brookfield Infrastructure Partners LP Limited Partnership	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Units (BIP) [ST]					
DESCRIPTION: Transferred from Neuberger Berman - Individual					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Charter Communications Oper 4.5%; Due 2/1/24 [CS]	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Corporate Bond- Transferred from Neuberger Berman - Individual					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Citigroup Inc. Note 4.05%; Due 7/30/22 [CS]	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Corporate Bond - Transferred from Neuberger Berman - Individual					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Crown Castle International Corp. (CCI) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred from Neuberger Berman - Individual					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Deckers Outdoor Corporation (DECK) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Elanco Animal Health Inc Ser B Note 5.272%; Due 8/28/23 [CS]	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Corporate Bond - Transferred from Neuberger Berman - Individual					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Fidelity National Information Services, Inc. (FIS) [ST]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Neuberger Berman - Individual					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Home Depot, Inc. (HD) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Neuberger Berman - Individual					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Hyatt Hotels Corp Note Call Make Whole 1.3%; Due 10/1/23 [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Corporate Bond					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ IHS Markit Ltd Note Call Make Whole 3.625%; Due 5/1/24 [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Corporate Bond - Transferred from Neuberger Berman - Individual					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ International Flavors & Fragrances, Inc. (IFF) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Received shares of International Flavors & Fragrances (IFF) in exchange for DuPont (DD) as result of spinoff.					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ iShares Russell 2000 Value (IWN) [EF] DESCRIPTION: Transferred from Neuberger Berman - Individual	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Janus International Group, Inc. (JBI) [ST]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Johnson & Johnson (JNJ) [ST] DESCRIPTION: Transferred from Neuberger Berman - Individual	JT	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ JP Morgan Chase & Co. (JPM) [ST] DESCRIPTION: Transferred from Neuberger Berman - Individual. Transaction less than \$1,000.	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Kratos Defense & Security Solutions, Inc. (KTOS) [ST] DESCRIPTION: Transferred from Neuberger Berman - Individual. Final sale less than \$1,000.	JT	None	None		<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Medtronic plc. Ordinary Shares (MDT) [ST] DESCRIPTION: Transferred from Neuberger Berman - Individual	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Microsoft Corporation (MSFT) [ST] DESCRIPTION: Transferred from Neuberger Berman - Individual	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ NextEra Energy, Inc. (NEE) [ST] DESCRIPTION: Transferred from Neuberger Berman - Individual.	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ NVIDIA Corporation (NVDA) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ S&P Global Inc. (SPGI) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Salesforce, Inc. (CRM) [ST] DESCRIPTION: Transferred from Neuberger Berman - Individual	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ SPDR Gold Trust (GLD) [ST] DESCRIPTION: Transferred from Neuberger Berman - Individual	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Sunrun Inc. (RUN) [ST]	JT	None	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Neuberger Berman - Individual; Sale less than \$1,000					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ TJX Companies, Inc. (TJX) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Neuberger Berman - Individual					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ T-Mobile US, Inc. (TMUS) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Uber Technologies, Inc. (UBER) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Neuberger Berman - Individual					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Verisk Analytics, Inc. (VRSK) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Waste Connections, Inc. Common Shares (WCN) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Neuberger Berman - Individual					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Zoetis Inc. Class A (ZTS) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Transferred from Neuberger Berman - Individual					
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ American Express Co Note 3.7%; Due 8/3/23 [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Corporate Bond- Transferred from Neuberger Berman - Bonds					
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Booking Holdings Inc. Note [CS]	JT	None	Capital Gains, Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Maturation Date: 3/15/23; Percentage Yield: 2.75% - Transferred from Neuberger Berman - Bonds					
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ CDW LLC / CDW Fin Corp Note 5.5%; Due 12/1/24 [CS]	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Corporate Bond					
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Charter Communications Oper 4.5%; Due 2/1/24 [CS]	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Corporate Bond- Transferred from Neuberger Berman - Bonds					
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Dreyfus Treasury Sec Cash Mgmt Admin [BA]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Transferred from Neuberger Berman - Bonds					
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Elanco Animal Health Inc Ser B Note 5.272%; Due 8/28/23 [CS]	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Corporate Bond - Transferred from Neuberger Berman - Bonds					
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Equinix Inc Note Call Make Whole 2.625%; Due 11/18/24 [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Corporate Bond - Transferred from Neuberger Berman - Bonds					
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Expedia Group Inc Note Call Make Whole 4.5%; Due 8/15/24 [CS]	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Corporate Bond - Transferred from Neuberger Berman - Bonds					
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Fidelity Natl Information Svcs [CS]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Maturation Date: 6/5/24; Percentage Yield: 3.875%. Transferred from Neuberger Berman - Bonds					
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ General Mills Inc Note Call Make Whole [CS]	JT	None	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Maturation Date: 04/16/21; Percentage Yield: 3.2%. Transferred from Neuberger Berman - Bonds					
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Global Payments Inc. Note 2.65%; Due 2/15/2025 [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Corporate Bond - Transferred from Neuberger Berman - Bonds					
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Hyatt Hotels Corp Note Call Make Whole 1.3%; Due 10/1/23 [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Corporate Bond					
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Mondelez Intl Note 2.125%; Due 4/13/2023 [CS]	JT	None	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Corporate Bond - Transferred from Neuberger Berman - Bonds					
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Netflix Inc. Note 5.5%; Due 2/15/2022 [CS]	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Corporate Bond - Transferred from Neuberger Berman - Bonds					
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Service Corp Intl Note Call Make Whole 4.624%; Due 12/15/27 [CS]	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Corporate Bond					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ Sherwin Williams Co Note 3.45%; Due 8/1/2025 [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Corporate Bond - Transferred from Neuberger Berman - Bonds					
Neuberger Berman - Malumed Lowenthal Rev Trust #2 ⇒ T Mobile USA Inc Note Call Make Whole 2.25%; Due 2/15/26 [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Corporate Bond					
Neuberger Berman - Roth IRA ⇒ American Tower Corporation (AMT) [ST]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Roth IRA ⇒ Dreyfus Treas. Prime Cash Management INV & SHS [BA]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Roth IRA ⇒ SPDR S&P 500 ETF TRUST UNIT SER 1 S&P (SPY) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ American Express Co Note [CS]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Maturation Date: 08/3/2023; Percentage Yield: 3.7%					
Neuberger Berman - Traditional IRA ⇒ American Tower Corporation (AMT) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Americold Realty Trust Common Shares (COLD) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Amphenol Corp Note 3.2%; Due 4/1/2024 [CS]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Corporate Bond					
Neuberger Berman - Traditional IRA ⇒ Apple Inc. (AAPL) [ST]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Ares Capital Corp Note 3.5%; Due 2/10/2023 [CS]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Corporate Bond					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Neuberger Berman - Traditional IRA ⇒ AstraZeneca PLC - American Depositary Shares (AZN) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Autodesk, Inc. (ADSK) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ BANK AMER CORP MTN [CS]  DESCRIPTION: Interest rate is 4%, maturity date is 1/22/2025	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ BlackRock, Inc. (BLK) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Boston Scientific Corporation (BSX) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Brookfield Infrastructure Partners LP Class A Subordinate Voting Shares (BIPC) [ST]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ CDW LLC/CDW FIN CORP [CS]  DESCRIPTION: Maturity date is 12/1/2024, interest rate is 5.5%	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Celgene Corp Note Call Make Whole [CS]  DESCRIPTION: Maturation Date: 02/19/21; Percentage Yield: 2.875%	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ CHARTER COMMUNICATIONS OPER [CS]  DESCRIPTION: Maturity date is 2/1/2024, interest rate is 4.5%	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ CIT Group Inc Note Call Make Whole [CS]  DESCRIPTION: Maturation Date: 08/01/23; Percentage Yield: 5%	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Crown Castle International Corp. 1.35%; Due 7/15/2025 [CS]  DESCRIPTION: Corporate Bond	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Deckers Outdoor Corporation (DECK) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Neuberger Berman - Traditional IRA ⇒ DraftKings Inc. - Class A (DKNG) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Dreyfus Treas. Prime Cash Management INV & SHS [BA]	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ ELANCO ANIMAL HEALTH INC NOTE [CS] DESCRIPTION: Maturation Date: 8/28/23; Percentage Yield: 5.272%	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Equinix Inc. Note 5.375%; Due 5/15/2027 [CS] DESCRIPTION: Corporate Bond	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Facebook, Inc. - Class A (FB) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Fidelity National Information Services, Inc. (FIS) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ General Motors Company (GM) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Global Payments Inc. Note 2.625%; Due 2/15/2025 [CS] DESCRIPTION: Corporate Bond	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Home Depot, Inc. (HD) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Hyatt Hotels Corp Note Call Make Whole 1.3%; Due 10/1/23 [CS] DESCRIPTION: Corporate Bond	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ IHS MARKIT LTD NOTE CALL MAKE WHOLE [CS] DESCRIPTION: Maturity date is 5/1/2024, interest rate is 3.625%	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Intercontinental Exchange Inc. (ICE) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ International Flavors & Fragrances, Inc. (IFF) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Neuberger Berman - Traditional IRA ⇒ iShares Russell 2000 Value (IWN) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Janus International Group, Inc. (JBI) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Johnson & Johnson (JNJ) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Kraft Heinz Foods Co Ser B Note 3%; Due 6/1/26 [CS] DESCRIPTION: Corporate Bond	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Kratos Defense & Security Solutions, Inc. (KTOS) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Lennar Corp Note Call 4.5%; Due 4/30/2024 [CS] DESCRIPTION: Corporate Bond	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Mastercard Incorporated (MA) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Microsoft Corporation (MSFT) [ST]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ NETFLIX INC NOTE CALL MAKE WHOLE [CS] DESCRIPTION: Interest rate is 5.5%, maturity date is 2/15/2022	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ NextEra Energy, Inc. (NEE) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ NVIDIA Corporation (NVDA) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Oracle Corp Note 2.625%; Due 2/15/2023 [CS]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Corporate Bond					
Neuberger Berman - Traditional IRA ⇒ Outfront Media Inc. Ser B Note 5.625%; Due 2/15/2024 [CS]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Corporate Bond					
Neuberger Berman - Traditional IRA ⇒ Paypal Holdings Inc. Note 2.4%; Due 10/01/2024 [CS]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Corporate Bond					
Neuberger Berman - Traditional IRA ⇒ S&P Global Inc. (SPGI) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Salesforce.com Inc (CRM) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Service Corporation International (SCI) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Sunrun Inc. (RUN) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ The Blackstone Group Inc. (BX) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Thermo Fisher Scientific Inc Note 1.215% Due 10/18/24 [CS]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Corporate Bond					
Neuberger Berman - Traditional IRA ⇒ TJX Companies, Inc. (TJX) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ T-Mobile US, Inc. (TMUS) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ T-Mobile USA Inc Note Call Make Whole 2.25%; Due 2/15/26 [CS]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Corporate Bond					
Neuberger Berman - Traditional IRA ⇒ Toll Bros Fin Corp Note Call Make Whole 4.375%; Due 4/15/23 [CS]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Corporate Bond					
Neuberger Berman - Traditional IRA ⇒ Uber Technologies, Inc. (UBER) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Verisk Analytics, Inc. (VRSK) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Virgin Galactic Holdings, Inc. (SPCE) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ VMWare Inc Note Call Make Whole 1.4%; Due 8/15/26 [CS]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Corporate Bond					
Neuberger Berman - Traditional IRA ⇒ Waste Connections, Inc. Common Shares (WCN) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Wells Fargo CO [CS]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Maturation: 2/13/23; Yield: 3.45%					
Neuberger Berman - Traditional IRA ⇒ Zimmer Biomet Hldgs Inc. Note [CS]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Maturation Date: 03/19/21; Percentage Yield: 2.65250%					
Neuberger Berman - Traditional IRA ⇒ Zoetis Inc. Note 3.25%; Due 2/1/2023 [CS]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Corporate Bond					
Savings Plus California State Employee 401(K) ⇒ Bond Fund [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus California State Employee 401(K) ⇒ Large Cap Fund [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus California State Employee 457 ⇒ Bond Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus California State Employee 457 ⇒ Large Cap Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Fidelity Blue Chip Growth (FBGRX) [MF] DESCRIPTION: Inherited IRA					
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Contrafund (FCNTX) [MF] DESCRIPTION: Inherited IRA	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Environment & Alternative Energy (FSLEX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Large Cap Value Enhanced Index (FLVEX) [MF] DESCRIPTION: Inadvertently reported as FLGEX in prior years.	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Low Priced Stock (FLPSX) [MF] DESCRIPTION: Inherited IRA	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Brokerage & Investment Management (FSLBX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Communication Services (FBMPX) [MF] DESCRIPTION: Inherited IRA	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Consumer Discretion (FSCPX) [MF] DESCRIPTION: Inherited IRA	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Financial Services (FIDSX) [MF] DESCRIPTION: Inherited IRA	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Healthcare (FSPHX) [MF] DESCRIPTION: Inherited IRA	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Industrials (FCYIX) [MF] DESCRIPTION: Inherited IRA	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Technology (FSPTX) [MF] DESCRIPTION: Inherited IRA	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Contrafund (FCNTX) [MF]	JT	03/24/2021	P	\$1,001 - \$15,000	
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Contrafund (FCNTX) [MF]	JT	04/19/2021	P	\$1,001 - \$15,000	
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Contrafund (FCNTX) [MF]	JT	05/14/2021	P	\$1,001 - \$15,000	
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Large Cap Value Enhanced Index (FLVEX) [MF]	JT	03/24/2021	P	\$1,001 - \$15,000	
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Large Cap Value Enhanced Index (FLVEX) [MF]	JT	04/19/2021	P	\$1,001 - \$15,000	
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Large Cap Value Enhanced Index (FLVEX) [MF]	JT	05/14/2021	P	\$1,001 - \$15,000	
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Large Cap Value Enhanced Index (FLVEX) [MF]	JT	07/14/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Limited Term Bond Fund (FJRLX) [MF]	JT	03/24/2021	P	\$1,001 - \$15,000	
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Limited Term Bond Fund (FJRLX) [MF]	JT	04/19/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Limited Term Bond Fund (FJRLX) [MF]	JT	05/14/2021	P	\$1,001 - \$15,000	
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Limited Term Bond Fund (FJRLX) [MF]	JT	07/12/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Low Priced Stock (FLPSX) [MF]	JT	03/24/2021	P	\$1,001 - \$15,000	
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Low Priced Stock (FLPSX) [MF]	JT	04/19/2021	P	\$1,001 - \$15,000	
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Low Priced Stock (FLPSX) [MF]	JT	05/14/2021	P	\$1,001 - \$15,000	
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Mortgage Securities (FMSFX) [MF]	JT	03/24/2021	P	\$1,001 - \$15,000	
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Mortgage Securities (FMSFX) [MF]	JT	04/19/2021	P	\$1,001 - \$15,000	
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Mortgage Securities (FMSFX) [MF]	JT	05/14/2021	P	\$1,001 - \$15,000	
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Mortgage Securities (FMSFX) [MF]	JT	06/14/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Short Term Treasury Bond Index (FUMBX) [MF]	JT	06/14/2021	P	\$1,001 - \$15,000	
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Total Bond (FTBFX) [MF]	JT	07/12/2021	P	\$1,001 - \$15,000	
Fidelity - Malumed Lowenthal Rev Trust #3 ⇒ Fidelity Total Bond (FTBFX) [MF]	JT	07/14/2021	P	\$1,001 - \$15,000	
Individually Owned ⇒ Walt Disney Company (DIS), 100% Interest [ST]  DESCRIPTION: 100% interest represents individually owned stock of Spouse COMMENTS: Previously reported in JP Morgan Chase - Individual	SP	03/2/2021	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Americold Realty Trust Common Shares (COLD) [ST]	SP	03/15/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Neuberger Berman - Beneficiary IRA ⇒ AstraZeneca PLC - American Depositary Shares (AZN) [ST]	SP	02/22/2021	P	\$962.54	
Neuberger Berman - Beneficiary IRA ⇒ AstraZeneca PLC - American Depositary Shares (AZN) [ST]	SP	12/1/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Autodesk, Inc. (ADSK) [ST]	SP	03/10/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Beneficiary IRA ⇒ Booking Holdings Inc. (BKNG) [ST]	SP	08/23/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Beneficiary IRA ⇒ Booking Holdings Inc. (BKNG) [ST]	SP	12/15/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Boston Scientific Corporation (BSX) [ST]	SP	02/1/2021	P	\$860.95	
Neuberger Berman - Beneficiary IRA ⇒ Boston Scientific Corporation (BSX) [ST]	SP	02/2/2021	P	\$330.51	
Neuberger Berman - Beneficiary IRA ⇒ DraftKings Inc. - Class A (DKNG) [ST]	SP	01/19/2021	S	\$614.78	<input checked="" type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ DuPont de Nemours, Inc. (DD) [ST]	SP	01/27/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Beneficiary IRA ⇒ DuPont de Nemours, Inc. (DD) [ST]	SP	02/4/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Fidelity National Information Services, Inc. (FIS) [ST]	SP	06/3/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ General Motors Company (GM) [ST]	SP	01/12/2021	P	\$474.06	
Neuberger Berman - Beneficiary IRA ⇒ General Motors Company (GM) [ST]	SP	08/23/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ General Motors Company (GM) [ST]	SP	08/23/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Neuberger Berman - Beneficiary IRA ⇒ International Flavors & Fragrances, Inc. (IFF) [ST]	SP	02/4/2021	E	\$1,001 - \$15,000	
DESCRIPTION: Received shares of International Flavors & Fragrances, Inc. (IFF) in exchange for DuPont (DD) as result of spinoff.					
Neuberger Berman - Beneficiary IRA ⇒ Juniper Industrial Holdings, Inc. Class A (JIH) [ST]	SP	01/8/2021	P	\$45.46	
Neuberger Berman - Beneficiary IRA ⇒ Juniper Industrial Holdings, Inc. Class A (JIH) [ST]	SP	01/11/2021	P	\$11.44	
Neuberger Berman - Beneficiary IRA ⇒ Juniper Industrial Holdings, Inc. Class A (JIH) [ST]	SP	01/12/2021	P	\$35.42	
Neuberger Berman - Beneficiary IRA ⇒ Juniper Industrial Holdings, Inc. Class A (JIH) [ST]	SP	01/14/2021	P	\$23.95	
Neuberger Berman - Beneficiary IRA ⇒ Juniper Industrial Holdings, Inc. Class A (JIH) [ST]	SP	01/15/2021	P	\$36.00	
Neuberger Berman - Beneficiary IRA ⇒ Juniper Industrial Holdings, Inc. Class A (JIH) [ST]	SP	01/19/2021	P	\$23.95	
Neuberger Berman - Beneficiary IRA ⇒ Juniper Industrial Holdings, Inc. Class A (JIH) [ST]	SP	01/22/2021	P	\$23.97	
Neuberger Berman - Beneficiary IRA ⇒ Juniper Industrial Holdings, Inc. Class A (JIH) [ST]	SP	01/21/2021	P	\$12.03	
Neuberger Berman - Beneficiary IRA ⇒ Kraft Heinz Foods Co [CS]	SP	08/10/2021	P	\$1,001 - \$15,000	
DESCRIPTION: Maturity date is 06/01/2026, interest rate is 3.00%					
Neuberger Berman - Beneficiary IRA ⇒ Kratos Defense & Security Solutions, Inc. (KTOS) [ST]	SP	01/22/2021	S (partial)	\$60.00	<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Kratos Defense & Security Solutions, Inc. (KTOS) [ST]	SP	01/25/2021	S (partial)	\$60.33	<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Kratos Defense & Security Solutions, Inc. (KTOS) [ST]	SP	01/25/2021	S (partial)	\$30.16	<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ SPDR Gold Trust (GLD) [ST]	SP	02/22/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Neuberger Berman - Beneficiary IRA ⇒ SPDR S&P Regional Banking ETF (KRE) [ET]	SP	03/18/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Beneficiary IRA ⇒ SPDR S&P Regional Banking ETF (KRE) [ST]	SP	07/8/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Verisk Analytics, Inc. (VRSK) [ST]	SP	02/25/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Beneficiary IRA ⇒ Virgin Galactic Holdings, Inc. (SPCE) [ST]	SP	01/25/2021	S (partial)	\$430.77	<input checked="" type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Virgin Galactic Holdings, Inc. (SPCE) [ST]	SP	01/27/2021	S (partial)	\$238.02	<input type="checkbox"/>
Neuberger Berman - Beneficiary IRA ⇒ Zimmer Biomet Holdings [CS]	SP	02/8/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Redeemed, maturity date is 3/19/2021, interest rate was 0.988%					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Adobe Inc. (ADBE) [ST]	JT	03/17/2021	P	\$898.16	
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Adobe Inc. (ADBE) [ST]	JT	12/15/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Americold Realty Trust Common Shares (COLD) [ST]	JT	03/15/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Previously held in Neuberger Berman - Individual					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Ares Capital [CS]	JT	03/18/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Previously held in Neuberger Berman - Individual. Maturation Date: 01/19/22; Percentage Yield: 3.625%					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ AstraZeneca PLC - American Depositary Shares (AZN) [ST]	JT	02/22/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Autodesk, Inc. (ADSK) [ST]	JT	03/17/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Booking Holdings Inc [CS]	JT	10/29/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Interest is 2.75%, maturity date is 3/15/23. Transferred from Neuberger Berman - Bonds					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Booking Holdings Inc. (BKNG) [ST]	JT	08/23/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Booking Holdings Inc. (BKNG) [ST]	JT	12/15/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Boston Scientific Corporation (BSX) [ST]	JT	02/1/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Boston Scientific Corporation (BSX) [ST]	JT	02/2/2021	P	\$624.29	
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ CDW LLC / CDW FIN [CS]	JT	04/1/2021	P	\$1,001 - \$15,000	
DESCRIPTION: Maturity date is 12/01/2024, interest rate is 5.5%. Held in Neuberger Berman - Malumed Lowenthal Rev Trust #2					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Deckers Outdoor Corporation (DECK) [ST]	JT	10/6/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ DuPont de Nemours, Inc. (DD) [ST]	JT	01/27/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Fidelity National Information Services, Inc. (FIS) [ST]	JT	06/3/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Fidelity Natl Information Svcs [CS]	JT	03/3/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: It was tendered. Maturity date is 06/05/2024, interest rate is 3.875%. Held in Neuberger Berman - Malumed Lowenthal Rev Trust #2.					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ General Mills [CS]	JT	01/11/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Interest rate is 3.2%, maturity date is 4/16/21. Transferred from Neuberger Berman - Bonds. Held in Neuberger Berman - Malumed Lowenthal Rev Trust #2					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ General Motors Company (GM) [ST]	JT	01/12/2021	P	\$995.52	
DESCRIPTION: Previously held in Neuberger Berman - Individual					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ General Motors Company (GM) [ST]	JT	08/23/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Previously held in Neuberger Berman - Individual					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Hyatt hotels Corp [CS]	JT	11/3/2021	P	\$1,001 - \$15,000	
DESCRIPTION: Maturity date is 10/01/2023 and interest rate is 1.30%. Held in Neuberger Berman - Malumed Lowenthal Rev Trust #2					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Hyatt Hotels Corp Note [CS]	JT	09/28/2021	P	\$1,001 - \$15,000	
DESCRIPTION: Maturity date is 10/01/2023, interest rate is 1.3%.					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ International Flavors & Fragrances, Inc. (IFF) [ST]	JT	02/4/2021	E	\$1,001 - \$15,000	
DESCRIPTION: Received shares of International Flavors & Fragrances (IFF) in exchange for DuPont (DD) as result of spinoff.					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ iShares Russell 2000 Value ETF (IWN) [ST]	JT	12/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Johnson & Johnson (JNJ) [ST]	JT	07/6/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Previously held in Neuberger Berman - Individual					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Kratos Defense & Security Solutions, Inc. (KTOS) [ST]	JT	01/22/2021	S (partial)	\$90.00	<input type="checkbox"/>
DESCRIPTION: Transferred from Neuberger Berman - Individual					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Kratos Defense & Security Solutions, Inc. (KTOS) [ST]	JT	01/25/2021	S (partial)	\$120.67	<input type="checkbox"/>
DESCRIPTION: Transferred from Neuberger Berman - Individual					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Mondelez Intl [CS]	JT	03/31/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: It was redeemed, maturity date is 04/13/2023, interest rate is 2.125%. Transferred from Neuberger Berman - Bonds. Held in Neuberger Berman - Malumed Lowenthal Rev Trust #2					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ NVIDIA Corporation (NVDA) [ST]	JT	03/23/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ S&P Global Inc. (SPGI) [ST]	JT	06/3/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ S&P Global Inc. (SPGI) [ST]	JT	06/4/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Service Corp Intl [CS]	JT	04/13/2021	P	\$1,001 - \$15,000	
DESCRIPTION: Maturity date is 12/15/2027, interest rate is 4.624% Held in Neuberger Berman - Malumed Lowenthal Rev Trust #2					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ SPDR Gold Trust (GLD) [ST]	JT	02/22/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred from Neuberger Berman - Individual					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ SPDR S&P Regional Banking ETF (KRE) [ET]	JT	03/18/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ SPDR S&P Regional Banking ETF (KRE) [ST]	JT	07/8/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ T-Mobile US, Inc. (TMUS) [ST]	JT	12/27/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ T-Mobile USA [CS]	JT	01/11/2021	P	\$1,001 - \$15,000	
DESCRIPTION: Interest rate is 2.250% and maturity date is 2/15/26. Held in Neuberger Berman - Malumed Lowenthal Rev Trust #2					
Neuberger Berman - Malumed Lowenthal Rev Trust ⇒ Verisk Analytics, Inc. (VRSK) [ST]	JT	05/19/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ Adobe Inc. (ADBE) [ST]	SP	03/11/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ Adobe Inc. (ADBE) [ST]	SP	12/15/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Americold Realty Trust Common Shares (COLD) [ST]	SP	03/12/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Americold Realty Trust Common Shares (COLD) [ST]	SP	03/15/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ AstraZeneca PLC - American Depositary Shares (AZN) [ST]	SP	02/22/2021	P	\$15,001 - \$50,000	
Neuberger Berman - Traditional IRA ⇒ Autodesk, Inc. (ADSK) [ST]	SP	03/10/2021	P	\$15,001 - \$50,000	
Neuberger Berman - Traditional IRA ⇒ BlackRock, Inc. (BLK) [ST]	SP	09/17/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒	SP	10/21/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Blackstone Inc. (BX) [ST]			(partial)		<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Booking Holdings Inc. (BKNG) [ST]	SP	08/23/2021	P	\$15,001 - \$50,000	
Neuberger Berman - Traditional IRA ⇒ Booking Holdings Inc. (BKNG) [ST]	SP	12/15/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Boston Scientific Corporation (BSX) [ST]	SP	02/1/2021	P	\$15,001 - \$50,000	
Neuberger Berman - Traditional IRA ⇒ Boston Scientific Corporation (BSX) [ST]	SP	02/2/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ Boston Scientific Corporation (BSX) [ST]	SP	08/3/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Boston Scientific Corporation (BSX) [ST]	SP	08/3/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Boston Scientific Corporation (BSX) [ST]	SP	08/3/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Boston Scientific Corporation (BSX) [ST]	SP	08/3/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Boston Scientific Corporation (BSX) [ST]	SP	08/6/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Celgene Corp [CS]	SP	02/19/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Redeemed, maturity date is 2/19/2021, interest rate is 2.875%					
Neuberger Berman - Traditional IRA ⇒ Coinbase Global, Inc. - Class A (COIN) [ST]	SP	06/24/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Coinbase Global, Inc. - Class A (COIN) [ST]	SP	04/14/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ Deckers Outdoor Corporation (DECK) [ST]	SP	07/30/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒	SP	08/2/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Deckers Outdoor Corporation (DECK) [ST]					
Neuberger Berman - Traditional IRA ⇒ Deckers Outdoor Corporation (DECK) [ST]	SP	08/5/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ Deckers Outdoor Corporation (DECK) [ST]	SP	08/4/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ Deckers Outdoor Corporation (DECK) [ST]	SP	11/4/2021	P	\$15,001 - \$50,000	
Neuberger Berman - Traditional IRA ⇒ DraftKings Inc. - Class A (DKNG) [ST]	SP	01/19/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Equinix Inc. Note 5.375%; Due 5/15/2027 [CS] DESCRIPTION: Corporate Bond	SP	06/2/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Facebook, Inc. - Class A (FB) [ST]	SP	02/23/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Fidelity National Information Services, Inc. (FIS) [ST]	SP	06/3/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ General Motors Company (GM) [ST]	SP	01/12/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ General Motors Company (GM) [ST]	SP	08/23/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Hyatt Hotels Corp Note [CS] DESCRIPTION: Maturity date is 10/01/2023, interest rate is 1.30%	SP	09/27/2021	P	\$15,001 - \$50,000	
Neuberger Berman - Traditional IRA ⇒ Intercontinental Exchange Inc. (ICE) [ST]	SP	10/21/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Internationa Flavors & Fragrances, Inc. (IFF) [ST]	SP	01/27/2021	P	\$15,001 - \$50,000	
Neuberger Berman - Traditional IRA ⇒ iShares Russell 2000 Value ETF (IWN) [ST]	SP	12/15/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Neuberger Berman - Traditional IRA ⇒ iShares Russell 2000 Value ETF (IWN) [ST]	SP	12/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Janus International Group, Inc. (JBI) [ST]	SP	12/20/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ Juniper Industrial Holdings, Inc. Class A (JIH) [ST]	SP	01/8/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ Juniper Industrial Holdings, Inc. Class A (JIH) [ST]	SP	01/12/2021	P	\$590.26	
Neuberger Berman - Traditional IRA ⇒ Juniper Industrial Holdings, Inc. Class A (JIH) [ST]	SP	01/14/2021	P	\$598.63	
Neuberger Berman - Traditional IRA ⇒ Juniper Industrial Holdings, Inc. Class A (JIH) [ST]	SP	01/19/2021	P	\$598.70	
Neuberger Berman - Traditional IRA ⇒ Juniper Industrial Holdings, Inc. Class A (JIH) [ST]	SP	01/22/2021	P	\$599.34	
Neuberger Berman - Traditional IRA ⇒ Kraft Heinz Foods Co [CS] DESCRIPTION: Maturity date is 6/1/2026, interest rate is 3.0%	SP	06/8/2021	P	\$15,001 - \$50,000	
Neuberger Berman - Traditional IRA ⇒ Kratos Defense & Security Solutions, Inc. (KTOS) [ST]	SP	01/22/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Kratos Defense & Security Solutions, Inc. (KTOS) [ST]	SP	01/25/2021	S (partial)	\$754.22	<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Kratos Defense & Security Solutions, Inc. (KTOS) [ST]	SP	01/25/2021	S (partial)	\$754.23	<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Kratos Defense & Security Solutions, Inc. (KTOS) [ST]	SP	02/8/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Kratos Defense & Security Solutions, Inc. (KTOS) [ST]	SP	05/11/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Kratos Defense & Security Solutions, Inc. (KTOS) [ST]	SP	05/12/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Neuberger Berman - Traditional IRA ⇒ Kratos Defense & Security Solutions, Inc. (KTOS) [ST]	SP	05/14/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ LegalZoom.com, Inc. (LZ) [ST]	SP	08/13/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ LegalZoom.com, Inc. (LZ) [ST]	SP	08/16/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ LegalZoom.com, Inc. (LZ) [ST]	SP	11/11/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Netflix [CS] DESCRIPTION: Maturity date is 2/15/2022, interest rate is 5.50%	SP	03/24/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ NVIDIA Corporation (NVDA) [ST]	SP	03/11/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ Outfront Media [CS] DESCRIPTION: Redeemed, maturity date is 2/15/2024, interest rate is 5.625%	SP	02/16/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ S&P Global Inc. (SPGI) [ST]	SP	06/3/2021	P	\$15,001 - \$50,000	
Neuberger Berman - Traditional IRA ⇒ S&P Global Inc. (SPGI) [ST]	SP	06/4/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ Service Corp Intl [CS] DESCRIPTION: Interest rate is 4.625%, maturity date is 12/15/2027.	SP	12/30/2021	P	\$15,001 - \$50,000	
Neuberger Berman - Traditional IRA ⇒ Service Corporation International (SCI) [ST]	SP	11/8/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Service Corporation International (SCI) [ST]	SP	11/9/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Service Corporation International (SCI) [ST]	SP	11/10/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒	SP	11/10/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Service Corporation International (SCI) [ST]			(partial)		
Neuberger Berman - Traditional IRA ⇒ SPDR Gold Trust (GLD) [ST]	SP	02/22/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ SPDR S&P Regional Banking ETF (KRE) [ET]	SP	03/18/2021	P	\$15,001 - \$50,000	
Neuberger Berman - Traditional IRA ⇒ SPDR S&P Regional Banking ETF (KRE) [ST]	SP	07/8/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ T Mobile USA [CS] DESCRIPTION: Maturity date is 02/15/2026, interest rate is 2.25%	SP	08/24/2021	P	\$15,001 - \$50,000	
Neuberger Berman - Traditional IRA ⇒ Thermo Fisher Scientific Inc. [CS] DESCRIPTION: Interest is 1.215%, maturity date is 10/18/24.	SP	10/19/2021	P	\$15,001 - \$50,000	
Neuberger Berman - Traditional IRA ⇒ Toll Bros Fin [CS] DESCRIPTION: Maturity date is 4/15/2023, interest rate is 4.375%	SP	03/25/2021	P	\$15,001 - \$50,000	
Neuberger Berman - Traditional IRA ⇒ Uber Technologies, Inc. (UBER) [ST]	SP	07/7/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ Uber Technologies, Inc. (UBER) [ST]	SP	12/27/2021	P	\$1,001 - \$15,000	
Neuberger Berman - Traditional IRA ⇒ Verisk Analytics, Inc. (VRSK) [ST]	SP	02/25/2021	P	\$15,001 - \$50,000	
Neuberger Berman - Traditional IRA ⇒ Virgin Galactic Holdings, Inc. (SPCE) [ST]	SP	01/25/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Virgin Galactic Holdings, Inc. (SPCE) [ST]	SP	01/27/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Virgin Galactic Holdings, Inc. (SPCE) [ST]	SP	02/4/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Virgin Galactic Holdings, Inc. (SPCE) [ST]	SP	05/11/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Neuberger Berman - Traditional IRA ⇒ VMWare Inc [CS] DESCRIPTION: Maturity date is 08/15/2026, interest rate is 1.40%	SP	09/8/2021	P	\$15,001 - \$50,000	
Neuberger Berman - Traditional IRA ⇒ VMWare Inc Note [CS] DESCRIPTION: Interest rate is 1.40%, maturity date is 08/15/2026 COMMENTS: Duplicate entry - deleted filed PTR transaction	SP	09/10/2021	P	\$15,001 - \$50,000	
Neuberger Berman - Traditional IRA ⇒ Zimmer Biomet Holdings [CS] DESCRIPTION: Redeemed, maturity date is 3/19/2021, interest rate was 0.988%	SP	01/27/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Neuberger Berman - Traditional IRA ⇒ Zimmer Biomet Holdings [CS] DESCRIPTION: Redeemed, maturity date is 3/19/2021, interest rate was 0.988%	SP	02/8/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Blue Chip Growth (FBGRX) [MF]	SP	10/26/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Contrafund (FCNTX) [MF]	SP	10/26/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Environment & Alternative Energy (FSLEX) [MF]	SP	09/23/2021	P	\$1,001 - \$15,000	
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Environment & Alternative Energy (FSLEX) [MF]	SP	09/23/2021	P	\$1,001 - \$15,000	
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Large Cap Value Enhanced Index (FLVEX) [MF]	SP	01/21/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Large Cap Value Enhanced Index (FLVEX) [MF]	SP	10/26/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Low Priced Stock (FLPSX) [MF]	SP	01/21/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Low Priced Stock (FLPSX) [MF]	SP	10/26/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Brokerage & Investment Management (FSLBX)	SP	11/10/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
[MF]					
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Financial Services (FIDSX) [MF]	SP	01/21/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Financial Services (FIDSX) [MF]	SP	05/14/2021	P	\$1,001 - \$15,000	
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Financial Services (FIDSX) [MF]	SP	10/26/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Financial Services (FIDSX) [MF]	SP	11/5/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Financial Services (FIDSX) [MF]	SP	11/10/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Healthcare (FSPHX) [MF]	SP	11/5/2021	P	\$1,001 - \$15,000	
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Industrials (FCYIX) [MF]	SP	04/21/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Materials Portfolio (FSDPX) [MF]	SP	04/21/2021	P	\$1,001 - \$15,000	
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Materials Portfolio (FSDPX) [MF]	SP	05/19/2021	P	\$1,001 - \$15,000	
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Materials Portfolio (FSDPX) [MF]	SP	09/23/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Technology (FSPTX) [MF]	SP	01/21/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Technology (FSPTX) [MF]	SP	05/14/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Technology (FSPTX) [MF]	SP	05/19/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Weber Asset Management- Beneficiary IRA, Mutual Funds ⇒ Fidelity Select Technology (FSPTX) [MF]	SP	10/26/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
California Public Retirement System	University Pension	\$56,027.32
American General	Required Minimum Distribution from 403(b) Account	\$1,120.46
Savings Plus 457 (AON Hewitt BPS)	Required Minimum Distribution from 457 Account	\$4,350.55
Savings Plus 401(k) (AON Hewitt BPS)	Required Minimum Distribution from 401(k) Account	\$8,813.95
Allianz 403(b)	Required Minimum Distribution from 403(b) Account	\$19,125.08
Mid-Atlantic Permanente Medical Group	Spouse Salary	N/A
National Financial Services LLC	Spouse RMD & IRA Distro (Inheritance)	N/A

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	LBS Financial Credit Union	December 2020	Mortgage on personal residence	\$250,001 - \$500,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 2012	Filer and State of California Savings Plus Program - 457(b) Plan	Defined contribution plan that provides service retirement benefits.
December 2012	Filer and State of California Savings Plus Program - 401 (k) Plan	Defined contribution plan that provides service retirement benefits.
December 1998	California Public Retirement System	Defined benefit plan that provides service retirement benefits.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- o Allianz 403(b)
- o American General 403(b)
- o Fidelity - Malumed Lowenthal Rev Trust #3 (Owner: JT)
- o Individually Owned (Owner: SP)  
LOCATION: US  
DESCRIPTION: Individually owned stocks of Spouse
- o JP Morgan Chase - Individual (Owner: SP)  
LOCATION: US
- o Neuberger Berman - Beneficiary IRA (Owner: SP)
- o Neuberger Berman - Malumed Lowenthal Rev Trust (Owner: JT)
- o Neuberger Berman - Malumed Lowenthal Rev Trust #2 (Owner: JT)
- o Neuberger Berman - Roth IRA (Owner: SP)
- o Neuberger Berman - Traditional IRA (Owner: SP)
- o Savings Plus California State Employee 401(K)
- o Savings Plus California State Employee 457
- o Weber Asset Management- Beneficiary IRA, Mutual Funds (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

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☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Alan S. Lowenthal , 07/22/2022