

Form A**HAND
DELIVERED**

Page 1 of 15

UNITED STATES HOUSE OF REPRESENTATIVES**2021 FINANCIAL DISCLOSURE STATEMENT**

For Use by Members, Officers, and Employees' LEGISLATIVE RESOURCE CENTER

(Office Use Only)

MC

Name: Hon. Doris O. Matsui**Daytime Telephone: 202-225-7163**

A \$500 penalty will be assessed against any filer who fails to file more than 30 days late.

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: CA District: 6	Officer or Employee	Staff Filer Type: (If Applicable)
REPORT TYPE	<input checked="" type="checkbox"/> 2021 Annual (Due: May 16, 2022)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	<input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant
				Date of Termination:

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

- A. Did you, your spouse, or your dependent child:
- a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? Yes No
 - b. Receive more than \$200 in unearned income from any reportable asset during the reporting period? Yes No
 - c. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period? Yes No
 - d. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period? Yes No
 - e. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period? Yes No
 - f. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing? Yes No
 - g. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$415 in value from a single source during the reporting period? Yes No
 - h. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$415 in value from a single source during the reporting period? Yes No
 - i. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period? Yes No
- E. Did you hold any reportable positions during the reporting period or In the current calendar year up through the date of filing? Yes No
- ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"**

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

- IPO – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.
- TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excluded trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?
- EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.
- Yes No
- Yes No
- Yes No

SCHEDULE A - ASSETS & "UNEARNED INCOME"

SCHEDULE A - ASSETS & "UNEARNED INCOME"

ID#	ASSET NAME	E/F	NONE	BLOCK B				Value of Asset	BLOCK C				BLOCK D				BLOCK E				
				A	B	C	D		E	F	G	H	I	J	K	L	M	N	O	P	Q
SP	ICONIC Fund Assets Portfolio 2021, L.P.		X					\$1 - \$1,000													
SP	ICONIQ Venture Capital Partners 2021, L.P.		X					\$1,001 - \$15,000													
SP	INN Hodder, Ltd.								X	X											
SP	Rockefeller 2008 Strategic Global Companies LLC		X	X	X	X	X														
SP	Rockefeller 8500 Distressed Fund, LLC		X	X	X	X	X														
SP	Theriot Hotel Investors, V		X	X	X	X	X														
SP	The Arts Project Fund V, L.P.		X	X	X	X	X														
SP	Pensiontrust Tree Capital Fund, L.P.		X	X	X	X	X														
SP	Abraham Capital Partners, L.P.		X	X	X	X	X														
SP	Abraham LBI Investments, L.P.		X	X	X	X	X														
SP	Bain Associates, LLC		X	X	X	X	X														
SP	Bain Northern Trust Money Market Fund		X	X	X	X	X														
SP	IN BOND, A/C, LLC		X	X	X	X	X														
SP	Northern Trust Money Market Fund		X	X	X	X	X														
SP	BANTWEST, LLC		X	X	X	X	X														
SP	Schwab Money Market Fund		X	X	X	X	X														
SP	SOCF LIFE Insurance Master Ltd.		X	X	X	X	X														
SP	AG AMERICA EDUCATIONAL FUND, L.P.		X	X	X	X	X														
SP	AG CLOTHES RECYCLING PARTNERS, LLC		X	X	X	X	X														
SP	AG SUPER FUND, L.P.		X	X	X	X	X														
SP	Baird Long/Short Bond Fund		X	X	X	X	X														
SP	Matthew Tidal Return Bond Fund		X	X	X	X	X														
SP	Vanguard Short-Term Tax-Exempt Fund		X	X	X	X	X														
SP	Vanguard SGD Global Bond Fund		X	X	X	X	X														
SP	Vanguard SGD US Short ETF		X	X	X	X	X														
SP	Acorn Charitable Remainder Trust		X	X	X	X	X														
SP	Money Market Fund		X	X	X	X	X														
SP	U.S. Treasury Note 2.25%, due 07/15/2021		X	X	X	X	X														
SP	Baird Short-Term Bond Fund		X	X	X	X	X														
SP	Baird Short-Term Bond Fund		X	X	X	X	X														
SP	Matthew Total Return Bond Fund		X	X	X	X	X														
SP	Matthew Unconstrained Bond I		X	X	X	X	X														
SP	AG CLO, Asset Fund, L.P.		X	X	X	X	X														
SP	Pimco Short Corp Bond Fund		X	X	X	X	X														
SP	Vanguard ESG US Stock ETF		X	X	X	X	X														
SP	Vanguard Ultra Short Bond Fund		X	X	X	X	X														
SP	Dendron Investor International (DVI), L.L.C.		X	X	X	X	X														
SP	Tropic Orange Fund 1.5, L.L.C.		X	X	X	X	X														
SP	Amherst Capital Partners Offshore, Ltd.		X	X	X	X	X														
SP	Centurion Credit Partners Offshore, Ltd.		X	X	X	X	X														
SP	Generation N Global Equity Fund, L.P.		X	X	X	X	X														
SP	True Global Long Opportunities Fund		X	X	X	X	X														
SP	The Chairman's Investment Fund		X	X	X	X	X														
SP	One Global Opportunities Fund, L.L.C.		X	X	X	X	X														
SP	Tower Global, L.L.C.		X	X	X	X	X														
SP	Primal Edge Common A/R, Corp., Fund, L.L.C.		X	X	X	X	X														
SP	The Ownership Pattern Fund, L.P.		X	X	X	X	X														
SP	AG CLO, Solutions Fund II, L.P.		X	X	X	X	X														

Name: Hon. Doris O. Mitchell	Page: 3
------------------------------	---------

SCHEDULE A - ASSETS & "UNEARNED INCOME"

SP.	DC. JT	ASSET NAME	E/F	Value of Asset	BLOCK B												
					A	B	C	D	E	F	G	H	I	J	K	L	M
SP		Asset Protection Remodeler Trust 2		NONE													
SP		Money Market Fund		\$1 - \$1,000													
SP		U.S. Treasury Note 2.57% due 07/16/2021		\$1,001 - \$15,000													
SP		U.S. Treasury Note 2.52% due 07/16/2021		\$15,001 - \$50,000													
SP		Bond Aggregate Bond Fund		\$50,001 - \$100,000													
SP		Bond Short-Term Bond Fund		\$100,001 - \$250,000													
SP		Money Market Fund		\$250,001 - \$500,000													
SP		Money Market Fund		\$500,001 - \$1,000,000													
SP		Money Market Fund		\$1,000,001 - \$5,000,000													
SP		Money Market Fund		\$5,000,001 - \$25,000,000													
SP		Money Market Fund		\$25,000,001 - \$50,000,000													
SP		Money Market Fund		Over \$50,000,000													
SP		Money Market Fund		Spouse / DC Asset over \$1,000,000													
SP		Money Market Fund		NONE													
SP		Money Market Fund		DIVIDENDS													
SP		Money Market Fund		RENT													
SP		Money Market Fund		INTEREST													
SP		Money Market Fund		CAPITAL GAINS													
SP		Money Market Fund		EXCEPTED/BLIND TRUST													
SP		Money Market Fund		TAX-DEFERRED													
SP		Money Market Fund		Other Type of Income													
SP		Money Market Fund		NONE													
SP		Money Market Fund		\$1 - \$200													
SP		Money Market Fund		\$201 - \$1,000													
SP		Money Market Fund		\$1,001 - \$2,500													
SP		Money Market Fund		\$2,601 - \$5,000													
SP		Money Market Fund		\$5,001 - \$15,000													
SP		Money Market Fund		\$15,001 - \$50,000													
SP		Money Market Fund		\$50,001 - \$100,000													
SP		Money Market Fund		\$100,001 - \$1,000,000													
SP		Money Market Fund		\$1,000,001 - \$5,000,000													
SP		Money Market Fund		Over \$5,000,000													
SP		Money Market Fund		Spouse / DC Asset over \$1,000,000													
SP		Money Market Fund		NONE													
SP		Money Market Fund		DIVIDENDS													
SP		Money Market Fund		RENT													
SP		Money Market Fund		INTEREST													
SP		Money Market Fund		CAPITAL GAINS													
SP		Money Market Fund		EXCEPTED/BLIND TRUST													
SP		Money Market Fund		TAX-DEFERRED													
SP		Money Market Fund		Other Type of Income													
SP		Money Market Fund		NONE													
SP		Money Market Fund		\$1 - \$200													
SP		Money Market Fund		\$201 - \$1,000													
SP		Money Market Fund		\$1,001 - \$2,500													
SP		Money Market Fund		\$2,601 - \$5,000													
SP		Money Market Fund		\$5,001 - \$15,000													
SP		Money Market Fund		\$15,001 - \$50,000													
SP		Money Market Fund		\$50,001 - \$100,000													
SP		Money Market Fund		\$100,001 - \$1,000,000													
SP		Money Market Fund		\$1,000,001 - \$5,000,000													
SP		Money Market Fund		Over \$5,000,000													
SP		Money Market Fund		Spouse / DC Asset over \$1,000,000													
SP		Money Market Fund		NONE													
SP		Money Market Fund		DIVIDENDS													
SP		Money Market Fund		RENT													
SP		Money Market Fund		INTEREST													
SP		Money Market Fund		CAPITAL GAINS													
SP		Money Market Fund		EXCEPTED/BLIND TRUST													
SP		Money Market Fund		TAX-DEFERRED													
SP		Money Market Fund		Other Type of Income													
SP		Money Market Fund		NONE													
SP		Money Market Fund		\$1 - \$200													
SP		Money Market Fund		\$201 - \$1,000													
SP		Money Market Fund		\$1,001 - \$2,500													
SP		Money Market Fund		\$2,601 - \$5,000													
SP		Money Market Fund		\$5,001 - \$15,000													
SP		Money Market Fund		\$15,001 - \$50,000													
SP		Money Market Fund		\$50,001 - \$100,000													
SP		Money Market Fund		\$100,001 - \$1,000,000													
SP		Money Market Fund		\$1,000,001 - \$5,000,000													
SP		Money Market Fund		Over \$5,000,000													
SP		Money Market Fund		Spouse / DC Asset over \$1,000,000													
SP		Money Market Fund		NONE													
SP		Money Market Fund		DIVIDENDS													
SP		Money Market Fund		RENT													
SP		Money Market Fund		INTEREST													
SP		Money Market Fund		CAPITAL GAINS													
SP		Money Market Fund		EXCEPTED/BLIND TRUST													
SP		Money Market Fund		TAX-DEFERRED													
SP		Money Market Fund		Other Type of Income													
SP		Money Market Fund		NONE													
SP		Money Market Fund		\$1 - \$200													
SP		Money Market Fund		\$201 - \$1,000													
SP		Money Market Fund		\$1,001 - \$2,500													
SP		Money Market Fund		\$2,601 - \$5,000													
SP		Money Market Fund		\$5,001 - \$15,000													
SP		Money Market Fund		\$15,001 - \$50,000													
SP		Money Market Fund		\$50,001 - \$100,000													
SP		Money Market Fund		\$100,001 - \$1,000,000													
SP		Money Market Fund		\$1,000,001 - \$5,000,000													
SP		Money Market Fund		Over \$5,000,000													
SP		Money Market Fund		Spouse / DC Asset over \$1,000,000													
SP		Money Market Fund		NONE													
SP		Money Market Fund		DIVIDENDS													
SP		Money Market Fund		RENT													
SP		Money Market Fund		INTEREST													
SP		Money Market Fund		CAPITAL GAINS													
SP		Money Market Fund		EXCEPTED/BLIND TRUST													
SP		Money Market Fund		TAX-DEFERRED													
SP		Money Market Fund		Other Type of Income													
SP		Money Market Fund		NONE													
SP		Money Market Fund		\$1 - \$200													
SP		Money Market Fund		\$201 - \$1,000													
SP		Money Market Fund		\$1,001 - \$2,500													
SP		Money Market Fund		\$2,601 - \$5,000													
SP		Money Market Fund		\$5,001 - \$15,000													
SP		Money Market Fund		\$15,001 - \$50,000													
SP		Money Market Fund		\$50,001 - \$100,000													
SP		Money Market Fund		\$100,001 - \$1,000,000													
SP		Money Market Fund		\$1,000,001 - \$5,000,000													
SP		Money Market Fund		Over \$5,000,000													
SP		Money Market Fund		Spouse / DC Asset over \$1,000,000													
SP		Money Market Fund		NONE													
SP		Money Market Fund		DIVIDENDS													
SP		Money Market Fund		RENT													
SP		Money Market Fund		INTEREST													
SP		Money Market Fund		CAPITAL GAINS													
SP		Money Market Fund		EXCEPTED/BLIND TRUST													
SP		Money Market Fund		TAX-DEFERRED													
SP		Money Market Fund		Other Type of Income													
SP		Money Market Fund		NONE													
SP		Money Market Fund		\$1 - \$200													
SP		Money Market Fund		\$201 - \$1,000													
SP		Money Market Fund		\$1,001 - \$2,500													
SP		Money Market Fund		\$2,601 - \$5,000													
SP		Money Market Fund		\$5,001 - \$15,000													

Name: Mrs. Bertha O. Mauel

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Hon. Dennis O. Metal	Page
	5

ASSET NAME	EIP	BLOCK B										Type of Income	Amount of Income	BLOCK D	BLOCK E
		A	B	C	D	E	F	G	H	I	J				
JP												NONE	\$1 - \$1,000		
JP													\$1,001 - \$15,000		
JP													\$15,001 - \$50,000		
JP													\$50,001 - \$100,000		
JP													\$100,001 - \$250,000		
JP													\$250,001 - \$500,000		
JP													\$500,001 - \$1,000,000		
JP													\$1,000,001 - \$5,000,000		
JP													\$5,000,001 - \$25,000,000		
JP													\$25,000,001 - \$50,000,000		
JP													Over \$50,000,000		
JP													Spouse / DC Asset over \$1,000,000		
JP													NONE		
JP													DIVIDENDS		
JP													RENT		
JP													INTEREST		
JP													CAPITAL GAINS		
JP													EXCEPTED/BLIND TRUST		
JP													TAX-DEFERRED		
JP													Other Type of Income		
JP													NONE		
JP													\$1 - \$200		
JP													\$201 - \$1,000		
JP													\$1,001 - \$2,500		
JP													\$2,501 - \$5,000		
JP													\$5,001 - \$15,000		
JP													\$15,001 - \$50,000		
JP													\$50,001 - \$100,000		
JP													\$100,001 - \$1,000,000		
JP													\$1,000,001 - \$5,000,000		
JP													Over \$5,000,000		
JP													Over \$1,000,000 (Spouse/DC Asset)		
JP													(Spouse/DC Asset)		

* Not classified as an EIF, but is subject to a Confidentiality Agreement

SCHEDULE B - TRANSACTIONS

Name: Hon. Bertrand G. Matthews

四

SCHEDULE B - TRANSACTIONS

Name: Holt, Dennis G. Birthdate:

Page 1 of 1

SCHEDULE B - TRANSACTIONS

Name: Hon. Dr. D. O. Matlali

SCHEDULE C – EARNED INCOME

Name: Hon. Doris O. Matsui

Page 10 of 15

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any horonaria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: The 2021 limit on outside earned income for Members and employees compensated at or above the “senior staff” rate was \$29,565. The 2022 limit is \$30,865. In addition, certain types of income (notably honoraria, director’s fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

Source (include date of receipt for honoraria)	Type	Amount
Keene State	Approved Teaching Fee	\$8,000
State of Maryland	Legislative Payment	\$18,000
Civil War Roundtable (Oct. 2)	Spouse Speech	\$1,000
Ontario County Board of Education	Spouse Salary	N/A

Examples:

Use additional sheets if more space is required.

SCHEDULE D – LIABILITIES

Name: Hon. Doris O. Matsui

Page 11 of 15

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
	First Bank of Wilmington, DE	5/20	Mortgage on Rental Property, Dover, DE		\$10,001-\$15,000									
JT	American Express	12/21	Credit Card		\$15,001-\$50,000									
SP	Chase	12/21	Credit Card	X	\$50,001-\$100,000									
SP	Northern Trust Visa	12/21	Credit Card	X	\$100,001-\$250,000									
					\$250,001-\$500,000									
					\$500,001-\$1,000,000									
					\$1,000,001-\$5,000,000									
					\$5,000,001-\$25,000,000									
					\$25,000,001-\$50,000,000									
					Over \$50,000,000									
					Over \$1,000,000* (Spouse/DC Liability)									

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Regent	Smithsonian, Board of Regents
Advisory Board Member	Smithsonian National Museum of American History
Member of Advisory Council	Smithsonian National Museum of African American History and Culture

SCHEDULE F – AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to, future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement

SCHEDULE G - GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$415 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual (which may not include a registered lobbyist or foreign agent), local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$168 or less need not be added towards the \$415 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

Source	Description	Value
Example:	Mr. Joseph Smith, Arlington, VA	\$500
	Silver Platter (prior determination of personal friendship received from the Committee on Ethics)	

Use additional sheets if more space is required.

Name: Hon. Doris O. Matsui

Page 12 of 15

SCHEDULE H – TRAVEL PAYMENTS and REIMBURSEMENTS

Name: Hon. Doris O. Matsui

Page 13 of 15

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$415 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

Source	Date(s)	City of Departure/Destination-City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
Government of China (MECEA) Exempted:	Aug 6-11	DC-Bangkok-Chicago-DC	Y	Y	N
Habitat for Humanity (Charity Fundraiser)	Nov. 3-4	DC-Boston-DC	Y	Y	Y

Use additional sheets if more space is required.

SCHEDULE I – PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name: Hon. Doris O. Matsui

Page 14 of 15

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

Source	Activity	Date	Amount
Association of American Associations, Washington, DC	Speech	Feb. 2, 2021	\$2,000
XYZ Magazine	Article	Aug. 13, 2021	\$500

Use additional sheets if more space is required.

**FILER NOTES
(Optional)**

Name: Hon. Doris O. Matsui

Page 15 of 15

NOTE NUMBER	NOTES
1	Spouse has interests in six charitable remainder trusts as described in Section 664 of the Internal Revenue Code. The Trusts make distributions to spouse for his lifetime and terminate at his death with the balance passing to charitable organizations. The distributions are a fixed percentage of the Trusts annually determined value. The Trust distributions are reported as income for spouse. The underlying Trust investments are also reported.
2	Spouse transferred his investments in Blackstreet Capital Partners II, LP, 888 Wharf, LLC and 888 Riverside, LLC to a charitable organization in 2021. These were charitable donations, transferred in-kind.

Use additional sheets if more space is required.