



Filing ID #10048534

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Teresa Leger Fernandez  
**Status:** Member  
**State/District:** NM03

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2021  
**Filing Date:** 08/13/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Charles Schwabb IRA ⇒ IRA Rollover ⇒ Baird Aggregate Bond Fund Inst BAGIX [CS]		\$100,001 - \$250,000		Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: Baird Aggregate in IRA					
Charles Schwabb IRA ⇒ IRA Rollover ⇒ Ballie Gifford Emerging Markets Equities Fund Institutional Class BGEGX [MF]		\$50,001 - \$100,000		Tax-Deferred	<input checked="" type="checkbox"/>
Charles Schwabb IRA ⇒ IRA Rollover ⇒ cash [IH]		\$50,001 - \$100,000		Tax-Deferred	<input type="checkbox"/>
Charles Schwabb IRA ⇒ IRA Rollover ⇒ Conestoga SMid Cap Fund Institutional Class CCSGX [MF]		\$50,001 - \$100,000		Tax-Deferred	<input checked="" type="checkbox"/>
Charles Schwabb IRA ⇒ IRA Rollover ⇒ Flat Rock Opportunity Fund FROPX [CS]		\$15,001 - \$50,000		Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: Flat Rock Opportunity fund in IRA					
Charles Schwabb IRA ⇒ IRA Rollover ⇒ Lazard Global Listed Infrastructure Portfolio Institutional GLIFX [MF]		\$15,001 - \$50,000		Tax-Deferred	<input checked="" type="checkbox"/>
Charles Schwabb IRA ⇒ IRA Rollover ⇒		\$100,001 -		Tax-Deferred	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Loomis Sayles Core Plus Bond Fund Class Y NERYX [MF]		\$250,000			<input checked="" type="checkbox"/>
Charles Schwabb IRA ⇒ IRA Rollover ⇒ Polen Growth Fund Institutional Class POLIX [MF]		\$50,001 - \$100,000		Tax-Deferred	<input checked="" type="checkbox"/>
Charles Schwabb IRA ⇒ IRA Rollover ⇒ PRILX Parnasus Core Equity Institutional [MF]		\$50,001 - \$100,000		Tax-Deferred	<input checked="" type="checkbox"/>
Charles Schwabb IRA ⇒ IRA Rollover ⇒ Salient MLP and Energy Infrastructure Fund SMLPX [MF]		\$15,001 - \$50,000		Tax-Deferred	<input checked="" type="checkbox"/>
Charles Schwabb IRA ⇒ IRA Rollover ⇒ Semper Short Duration Fund Institutional SEMIX [MF]		\$15,001 - \$50,000		Tax-Deferred	<input checked="" type="checkbox"/>
Charles Schwabb IRA ⇒ IRA Rollover ⇒ TIPWX Bluerock Total Income +RE [MF]		\$50,001 - \$100,000		Tax-Deferred	<input checked="" type="checkbox"/>
Charles Schwabb IRA ⇒ IRA Rollover ⇒ Vanguard Equity Income Fund Admiral Shares VEIRX [MF]		\$50,001 - \$100,000		Tax-Deferred	<input checked="" type="checkbox"/>
Charles Schwabb IRA ⇒ IRA Rollover ⇒ Vanguard MID CAP ETF (VO) [EF]		\$15,001 - \$50,000		Tax-Deferred	<input checked="" type="checkbox"/>
Charles Schwabb IRA ⇒ IRA Rollover ⇒ VANGUARD S&P 500 ETF (VOO) [EF]		\$100,001 - \$250,000		Tax-Deferred	<input checked="" type="checkbox"/>
Charles Schwabb IRA ⇒ IRA Rollover ⇒ VANGUARD SHORT-TERM INVESTMENT-GRADE ADM (VFSUX) [MF]		\$100,001 - \$250,000		Tax-Deferred	<input checked="" type="checkbox"/>
Charles Schwabb IRA ⇒ IRA Rollover ⇒ WCM FOCUSED INTERNATIONAL GROWTH INSTL (WCMIX) [EF]		\$100,001 - \$250,000		Tax-Deferred	<input checked="" type="checkbox"/>
commercial building in NM [RP]		\$500,001 - \$1,000,000	Capital Gains	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
LOCATION: Santa Fe, NM, US DESCRIPTION: Sale of building					
USAA Savings Account [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit

<https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Charles Schwabb IRA ⇒ IRA Rollover ⇒ BAILLIE GIFFORD EMERGING MARKETS EQS I (BGEGX) [MF]		09/17/2021	P	\$15,001 - \$50,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ BAILLIE GIFFORD EMERGING MARKETS EQS I (BGEGX) [IR]		07/23/2021	P	\$1,001 - \$15,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ BAILLIE GIFFORD EMERGING MARKETS EQS I (BGEGX) [IR]		08/6/2021	P	\$1,001 - \$15,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ BAILLIE GIFFORD EMERGING MARKETS EQS I (BGEGX) [IR]		04/6/2021	P	\$1,001 - \$15,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ BAIRD AGGREGATE BOND INST (BAGIX) [MF]		09/17/2021	P	\$15,001 - \$50,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ BAIRD AGGREGATE BOND INST (BAGIX) [IR]		08/6/2021	P	\$15,001 - \$50,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ BAIRD AGGREGATE BOND INST (BAGIX) [IR]		07/23/2021	P	\$15,001 - \$50,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ BAIRD AGGREGATE BOND INST (BAGIX) [IR]		04/6/2021	P	\$1,001 - \$15,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ BLUEROCK TOTAL INCOME REAL EST SHS BEN INT CL I (TIPWX) [MF]		08/6/2021	P	\$15,001 - \$50,000	
DESCRIPTION: Rollover of Pension plan to IRA purchase of mutual fund					
Charles Schwabb IRA ⇒ IRA Rollover ⇒ BLUEROCK TOTAL INCOME REAL EST SHS BEN INT CL I (TIPWX) [IR]		09/17/2021	P	\$15,001 - \$50,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ BLUEROCK TOTAL INCOME REAL EST SHS BEN INT CL I (TIPWX) [IR]		07/23/2021	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Charles Schwabb IRA ⇒ IRA Rollover ⇒ BLUEROCK TOTAL INCOME REAL EST SHS BEN INT CL I (TIPWX) [IR]		04/6/2021	P	\$15,001 - \$50,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ CONESTOGA SMID CAP INSTITUTIONAL (CCSGX) [MF]		04/6/2021	P	\$1,001 - \$15,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ CONESTOGA SMID CAP INSTITUTIONAL (CCSGX) [IR]		09/17/2021	P	\$15,001 - \$50,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ CONESTOGA SMID CAP INSTITUTIONAL (CCSGX) [IR]		08/6/2021	P	\$15,001 - \$50,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ CONESTOGA SMID CAP INSTITUTIONAL (CCSGX) [IR]		07/23/2021	P	\$15,001 - \$50,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ FIDELITY ASSET MANAGER 60% (FSANX) [MF]		04/6/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
Charles Schwabb IRA ⇒ IRA Rollover ⇒ FLAT ROCK OPPORTUNITY FUND COM BEN INT (FROPX) [IR]		07/23/2021	P	\$1,001 - \$15,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ FLAT ROCK OPPORTUNITY FUND COM BEN INT (FROPX) [IR]		08/6/2021	P	\$1,001 - \$15,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ GOLDMAN SACHS SHORT-TERM CNSRV INC INSTL (GPIIX) [MF]		04/6/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Charles Schwabb IRA ⇒ IRA Rollover ⇒ GOLUB CAPITAL BDC INC (GBDC) [EF]		04/6/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Charles Schwabb IRA ⇒ IRA Rollover ⇒ LAZARD GLOBAL LISTED INFRASTRUCTURE INST (GLIFX) [MF]		04/6/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Charles Schwabb IRA ⇒ IRA Rollover ⇒ LAZARD GLOBAL LISTED INFRASTRUCTURE INST (GLIFX) [IR]		09/17/2021	P	\$1,001 - \$15,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ LAZARD GLOBAL LISTED INFRASTRUCTURE INST (GLIFX) [IR]		08/6/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Charles Schwabb IRA ⇒ IRA Rollover ⇒ LAZARD GLOBAL LISTED INFRASTRUCTURE INST (GLIFX) [IR]		07/23/2021	P	\$1,001 - \$15,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ LOOMIS SAYLES CORE PLUS BOND Y (NERYX) [IR]		09/17/2021	P	\$15,001 - \$50,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ LOOMIS SAYLES CORE PLUS BOND Y (NERYX) [IR]		08/6/2021	P	\$15,001 - \$50,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ LOOMIS SAYLES CORE PLUS BOND Y (NERYX) [IR]		07/23/2021	P	\$15,001 - \$50,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ PARNASSUS CORE EQUITY INSTITUTIONAL (PRILX) [IR]		09/17/2021	P	\$15,001 - \$50,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ PARNASSUS CORE EQUITY INSTITUTIONAL (PRILX) [IR]		08/6/2021	P	\$15,001 - \$50,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ PARNASSUS CORE EQUITY INSTITUTIONAL (PRILX) [IR]		07/23/2021	P	\$1,001 - \$15,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ POLEN GROWTH INSTITUTIONAL (POLIX) [IR]		08/6/2021	P	\$15,001 - \$50,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ POLEN GROWTH INSTITUTIONAL (POLIX) [IR]		07/23/2021	P	\$15,001 - \$50,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ POLIX Polen Growth Institutional [MF]		09/17/2021	P	\$15,001 - \$50,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ SALIENT MLP & ENERGY INFRASTRUCTURE I (SMLPX) [MF]		04/6/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Charles Schwabb IRA ⇒ IRA Rollover ⇒ SALIENT MLP & ENERGY INFRASTRUCTURE I (SMLPX) [IR]		09/17/2021	P	\$1,001 - \$15,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ SALIENT MLP & ENERGY INFRASTRUCTURE I (SMLPX) [IR]		07/23/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Charles Schwabb IRA ⇒ IRA Rollover ⇒ SALIENT MLP & ENERGY INFRASTRUCTURE I (SMLPX) [IR]		08/6/2021	P	\$1,001 - \$15,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ SEMPER SHORT DURATION INSTITUTIONAL (SEMIX) [MF]		04/6/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Charles Schwabb IRA ⇒ IRA Rollover ⇒ SEMPER SHORT DURATION INSTITUTIONAL (SEMIX) [IR]		09/17/2021	P	\$1,001 - \$15,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ SEMPER SHORT DURATION INSTITUTIONAL (SEMIX) [IR]		08/6/2021	P	\$1,001 - \$15,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ SEMPER SHORT DURATION INSTITUTIONAL (SEMIX) [IR]		07/23/2021	P	\$1,001 - \$15,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ VANGUARD EQUITY-INCOME ADM (VEIRX) [IR]		09/17/2021	P	\$15,001 - \$50,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ VANGUARD EQUITY-INCOME ADM (VEIRX) [IR]		08/6/2021	P	\$15,001 - \$50,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ VANGUARD EQUITY-INCOME ADM (VEIRX) [IR]		07/23/2021	P	\$15,001 - \$50,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ VANGUARD HIGH-YIELD CORPORATE ADM (VWEAX) [MF]		04/6/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Charles Schwabb IRA ⇒ IRA Rollover ⇒ VANGUARD MID CAP ETF IV (VO) [IR]		09/20/2021	P	\$1,001 - \$15,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ VANGUARD MID CAP ETF IV (VO) [IR]		08/9/2021	P	\$1,001 - \$15,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ VANGUARD MID CAP ETF IV (VO) [IR]		07/26/2021	P	\$1,001 - \$15,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ VANGUARD S&P 500 ETF (VOO) [IR]		09/20/2021	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Charles Schwabb IRA ⇒ IRA Rollover ⇒ VANGUARD S&P 500 ETF IV (VOO) [IR]		08/9/2021	P	\$15,001 - \$50,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ VANGUARD S&P 500 ETF IV (VOO) [IR]		07/26/2021	P	\$15,001 - \$50,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ VANGUARD SHORT-TERM INVESTMENT-GRADE ADM (VFSUX) [IR]		09/17/2021	P	\$50,001 - \$100,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ VANGUARD SHORT-TERM INVESTMENT-GRADE ADM (VFSUX) [IR]		08/6/2021	P	\$15,001 - \$50,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ VANGUARD SHORT-TERM INVESTMENT-GRADE ADM (VFSUX) [IR]		07/23/2021	P	\$15,001 - \$50,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ VANGUARD SHORT-TERM INVESTMENT-GRADE ADM (VFSUX) [IR]		04/6/2021	P	\$50,001 - \$100,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ WCM FOCUSED INTERNATIONAL GROWTH INSTL (WCMIX) [IR]		09/17/2021	P	\$15,001 - \$50,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ WCM FOCUSED INTERNATIONAL GROWTH INSTL (WCMIX) [IR]		08/6/2021	P	\$15,001 - \$50,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ WCM FOCUSED INTERNATIONAL GROWTH INSTL (WCMIX) [IR]		07/23/2021	P	\$15,001 - \$50,000	
Charles Schwabb IRA ⇒ IRA Rollover ⇒ WCM FOCUSED INTERNATIONAL GROWTH INSTL (WCMIX) [IR]		04/6/2021	P	\$15,001 - \$50,000	
commercial building in NM [RP]		01/22/2021	S	\$500,001 - \$1,000,000	<input checked="" type="checkbox"/>
LOCATION: Santa Fe, NM, US					
Pension Plan ⇒ VERSUS CAP MULTI-MANGR REAL ES SH BEN INT I (VCMIX) [MF]		01/25/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: pension fund rollover to IRA					
Pension Plan => VERSUS CAP MULTI-MANGR REAL ES SH BEN INT I (VCMIX) [MF]		04/26/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: pension fund rollover to IRA					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Claremont McKenna	September 2016-December 2020	Co-signed Student loan debt for sons	\$15,001 - \$50,000
	First Citizen's Bank	January-July 2020	Line of Credit for Leger Law and Strategy, LLC (personally liable)	\$15,001 - \$50,000
DC	Regis University	2020	Student loan - dependent child	\$10,000 - \$15,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- Charles Schwabb IRA
- Charles Schwabb IRA ⇒ IRA Rollover
- Pension Plan

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Teresa Leger Fernandez , 08/13/2022