

HAND  
DELIVERED

LEGISLATIVE RESOURCE CENTER

2021 AUG - Budget 57

OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES  
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES  
2020 FINANCIAL DISCLOSURE STATEMENT

Form A  
For Use by Members, Officers, and Employees

Name: VILENTE GONZALEZ Daytime Telephone: 202 415 2531

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>TEXAS</u> District: <u>15</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____	Staff Filer Type: (If Applicable) <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant
REPORT TYPE	<input checked="" type="checkbox"/> 2020 Annual (Due May 17, 2021)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____	

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$415 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$415 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Names: MICHELLE BONZALEZ Page 2 of 10

**Use additional sheets if more space is required.**



BLOCK A  
Assets and Income Sources

BLOCK B  
Value of Asset

BLOCK C  
Type of Income

BLOCK D  
Amount of Income

BLOCK E  
Transaction

ASSET NAME

BY

None

\$1-\$1,000

\$1,001-\$15,000

\$15,001-\$50,000

\$50,001-\$100,000

\$100,001-\$250,000

\$250,001-\$500,000

\$500,001-\$1,000,000

\$1,000,001-\$5,000,000

\$5,000,001-\$25,000,000

\$25,000,001-\$50,000,000

Over \$50,000,000

Spouse/DC Asset over \$1,000,000\*

NONE

DIVIDENDS

RENT

INTEREST

CAPITAL GAINS

EXCEPTED/BLIND TRUST

TAX-DEFERRED

Other Type of Income

(Specify e.g., Partnership Income or Farm Income)

None

\$1-\$200

\$201-\$1,000

\$1,001-\$2,000

\$2,001-\$5,000

\$5,001-\$15,000

\$15,001-\$50,000

\$50,001-\$100,000

\$100,001-\$1,000,000

\$1,000,001-\$5,000,000

Over \$5,000,000

Spouse/DC Asset with Income over \$1,000,000\*

C, D, E, F, G, H, I, J, K, L, M, N, O, P, Q, R, S, T, U, V, W, X, Y, Z

Name: VICENTE GONZALEZ Page 4 of 10

Use additional sheets if more space is required.

Name: VIENTE GONZALEZ Page 5 of 10

**EXCLUDE:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act

**EXCLUDE:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act

**INCOME LIMITS AND PROHIBITED INCOME:** The 2020 limit on outside earned income for members and employees compensated at or above the "senior staff" rate was \$28,845. The 2021 limit is \$29,595. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

[illegible]

**Use additional sheets if more space is required**

Name: **VIENTE GONZALEZ** Page **6** of **10**

[illegible]

Position	Name of Organization
OWNER + DIRECTOR	N GONZALEZ + ASSOCIATES PC
OWNER + DIRECTOR	TENANT SERVICES LLC
OWNER + DIRECTOR	UNITED QUALITY LLC
OWNER + DIRECTOR	1001 ST INTER LLC
OWNER + DIRECTOR	PAO CRANFORD QUALITY LLC
OWNER + DIRECTOR	RENTALMAINTENANCE FARM LLC

Use additional sheets if more space is required.

# **SCHEDULE F -- AGREEMENTS**

Name: **VICENTE GONZALEZ** Page **7** of **10**

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement

# **SCHEDULE G -- GIFTS**

Report the source (by name), a brief description, and the value of all gifts totaling more than \$415 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives; gifts of personal hospitality from an individual (which may not include a registered lobbyist or foreign agent); local meals; and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$166 or less need not be added towards the \$415 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

Source	Description	Value
Example: Mr. Joseph Smith, Kingston, VA	Letter Pastor gave determination of personal friendship received from the Committee on Ethics	\$200

Use additional sheets if more space is required.

Name: VICENTE BONFANTE Page 8 of 10

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$415 incurred by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUSION: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342), political travel that is required to be reported under the Federal Election Campaign Act, travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

[illegible]

**Use additional sheets if more space is required.**



Name: MENTE CONFALLET Page 9 of 10

Let the board, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

[illegible]

Use additional sheets if more space is required.

FILER NOTES  
(Optional)

Name: <b>MIENTE COMPANY</b>	Page <b>10</b> of <b>10</b>
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NOTE NUMBER	NOTES
1	SEE ATTACHED
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Use additional sheets if more space is required.



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VICENTE GONZALEZ  
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## Portfolio Summary

Total Portfolio Value

**\$380,671.15**

1 Month Ago

\$380,323.16

1 Year Ago

\$476,328.36

3 Years Ago

\$316,577.00

5 Years Ago

\$164,786.29

## Your 2020 Tax Forms from Edward Jones

Edward Jones will furnish all Forms 1099-R and 1099-Q by Jan. 31, 2021, and all Consolidated 1099 Tax Statements by Feb. 15, 2021, per IRS requirements. Your Consolidated 1099 Tax Statement may not be final as of Feb. 15 if we have not received final information from issuers. All forms will be finalized by March 15. Visit us at [edwardjones.com/taxcenter](http://edwardjones.com/taxcenter) to learn more about your Edward Jones tax forms.

**Rules of the Road: Focus on What You Can Control**  
You can't control market fluctuations, the economy or the political environment. Instead, you should base your decisions on time-tested investment principles, which include diversifying your portfolio, owning quality investments and maintaining a long-term perspective.

## Overview of Accounts

Accounts	Account Holder	Account Number	Value 1 Year Ago	Current Value
Simplified Employee Pension Guided Solutions Flex Account	Vicente Gonzalez		\$476,328.36	\$380,671.15
Individual Retirement Account Guided Solutions Fund Account	Vicente Gonzalez		\$6,311.52	\$7,011.01
Simplified Employee Pension Guided Solutions Flex Account	Vicente Gonzalez		\$470,016.84	\$2.43
Guided Solutions Flex Account				
Simplified Employee Pension Guided Solutions Flex Account				

Although account information is provided on this page, it does not guarantee an actual statement was produced. Refer to your account statement for the exact registration and more specific details regarding each account.



Financial Foundation

Reaching your personal financial goals depends on a strong foundation. At Edward Jones, we believe that foundation consists of regular reviews of your goals and their time frames, your comfort with risk (such as market volatility) and the way assets are allocated within your portfolio. Following is a summary of your financial foundation based on your discussions with your financial advisor.

Retirement Goal for Vicente Gonzalez

Retirement		Risk Tolerance		Desired Annual Spending		Planned Retirement		Retirement	
Preparing for Retirement		Vicente Medium to High		\$49,000		Vicente to Retire at Age 65		Growth Focus	
Retirement		Risk Tolerance		Desired Annual Spending		Planned Retirement		Retirement	
Portfolio Objective		Vicente to Retire at Age 65		Growth Focus		Retirement		Portfolio Objective	

The Desired Annual Spending amount does not include variable expenses or debt payments you may have discussed with your financial advisor.

Accounts Assigned to your Retirement Goal

Accounts	Account Holder	Account Number	Portfolio Objective - Account
Simplified Employee Pension Account	Vicente Gonzalez	XXXX -	Balanced Toward Growth
Guided Solutions Flex Account	Vicente Gonzalez	XXXX -	Growth Focus
Individual Retirement Account	Vicente Gonzalez	XXXX -	Growth Focus
Guided Solutions Fund Account	Vicente Gonzalez	XXXX -	Growth Focus
Simplified Employee Pension Account	Vicente Gonzalez	XXXX -	Growth Focus
Guided Solutions Flex Account	Vicente Gonzalez	XXXX -	Growth Focus

Note: It is important to review your accounts to keep your investments aligned with your risk tolerance and positioned to achieve your goal. Any Review Due dates above refer to dates by which you must complete your next annual review. Please contact your financial advisor to update any missing or outdated financial foundation information or to schedule your next annual review.

Important disclosures, such as Statement of Financial Condition, Conditions that Govern Your Account, Account Safety, Errors, Complaints, Withholding Free Credit Balance, Fair Market Value or Termination, relating to your account(s) are available on the last page of this package or at [www.edwardjones.com/statementdisclosures](http://www.edwardjones.com/statementdisclosures).



Edward D Jones & Co Custodian  
V Gonzalez and Associates PC  
FBO Vicente Gonzalez SEP

**Stay on Track in 2021**  
As this unprecedented year winds down, it's a great time to reflect on what changed and where you're headed. Your financial advisor is there to help you evaluate your finances, make changes if needed and keep you on track. Contact your financial advisor to help ensure 2021 starts off right.

## Simplified Employee Pension - Guided Solutions Flex Account

Custodian: Edward D. Jones & Company

Portfolio Objective - Account: Balanced Toward Growth

For more information about the Guided Solutions program go to [www.edwardjones.com/advisorybrochures](http://www.edwardjones.com/advisorybrochures).

Account Value	
<b>\$2.43</b>	
1 Month Ago	\$373,505.79
1 Year Ago	\$470,016.84
3 Years Ago	\$318,577.00
5 Years Ago	\$164,786.29

Value Summary		
This Year	This Period	Ending Value
		\$2.43
Beginning value	\$373,505.79	
Assets added to account	0.00	
Assets withdrawn from account	-373,505.79	
Fees and charges	0.00	
Change in value	2.43	
		-93,678.29

For more information regarding the Value Summary section, please visit [www.edwardjones.com/mystatementguide](http://www.edwardjones.com/mystatementguide).

## Rate of Return

Your Personal Rate of Return for Assets Held at Edward Jones				
This Quarter	Year to Date	Last 12 Months	3 Years Annualized	5 Years Annualized
0.26%	-21.30%	-21.30%	-6.12%	-0.69%

## Performance Benchmarks

Large US Cap Equities (S & P 500)	12.15%	18.40%	18.40%	14.17%	15.20%
International Equities (S & P 700)	17.74%	11.21%	11.21%	6.01%	9.69%
Taxable Fixed Income (BarCap Aggregate)	0.67%	7.51%	7.51%	5.34%	4.43%



## Rate of Return (continued)

**Your Personal Rate of Return:** Your Personal Rate of Return measures the investment performance of your account. It incorporates the timing of your additions and withdrawals and reflects commissions and fees paid. Reviewing Your Personal Rate of Return is important to help ensure you're on track to achieving your financial goals.

**Performance Benchmarks:** Your Personal Rate of Return should be compared to the return necessary to achieve your financial goals. However, we understand many investors would like to compare their Personal Rate of Return to market indexes. Keep in mind this may not be an accurate comparison, as your Personal Rate of Return incorporates the timing of your specific additions and withdrawals and your specific investment mix, while published returns of market indexes do not.

These market indexes are used as a general measure of market performance for several major asset classes. Market indexes assume reinvestment of all distributions and do not take into account brokerage fees, taxes or investment management fees. The performance of your investments is tracked since they have been held in the current account, but no earlier than Jan. 1, 2009. This also includes investments you owned during this time period but have since sold. Certain events, including a transfer of an investment between accounts, share class conversion, or change in an investment's identification code (CUSIP) caused by a corporate action, will impact the time frame over which the investment's rate of return is calculated.

Rate of Return information on account statements uses the dollar-weighted calculation. Time-weighted Rate of Return numbers for Advisory Solutions Fund and UMA accounts can be found on your Quarterly Performance Report through Online Account Access. If you are not an Online Access user, visit [edwardjones.com/access](http://edwardjones.com/access) to sign up. Information used to calculate performance may have been obtained from third parties and Edward Jones cannot guarantee the accuracy of such information.

For the most current information, contact your financial advisor or visit [edwardjones.com/performance](http://edwardjones.com/performance).

## Rate of Return Indexes Disclosure

**S&P 500 Index:** A broad-based measurement of changes in stock market conditions based on the average performance of 500 widely held common stocks. While many of the stocks are among the largest, this index also includes many relatively small companies. It is a float adjusted capitalization-weighted index (stock price times number of publicly available shares outstanding), calculated on a total return basis with dividends reinvested.

**S&P 700 Index:** The S&P 700 index measures the non-United States component of global equity markets. The index covers all regions included in the S&P Global 1200 (Europe, Japan, Canada, Australia, Asia, and Latin America) except for the United States which is represented by the S&P 500. The index is market-cap weighted and based in U.S. dollars.

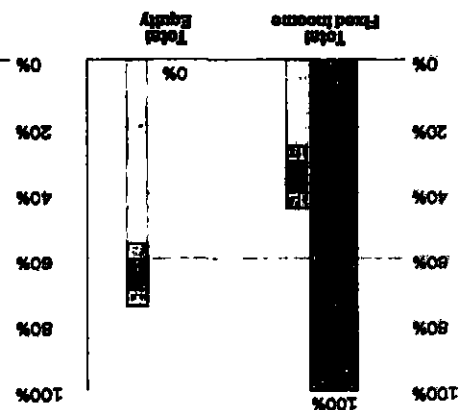
**Barcap Aggregate Bond Index:** Measures the performance of government, mortgage-backed, asset-backed and corporate securities with at least one year to maturity.



Edward Jones Guided Solutions

Portfolio Objective: Balanced Toward Growth

Comparing Your Diversification to  
Your Portfolio Objective



Notes: Your account is no longer in alignment with Edward Jones asset allocation guidance. Please contact your financial advisor to discuss.

Asset Details (as of Dec 31, 2020)

Assets Held At Edward Jones

Retirement Money Market	Current Yield/Rate	Beginning Balance	Deposits	Withdrawals	Ending Balance
	0.01%*	\$373,505.79	\$2.43	-\$373,505.79	\$2.43

\* The average yield on the money market fund for the past seven days.

Total Account Value \$2.43

Cost basis is the amount of your investment for tax purposes and is used to calculate gain or loss upon sale or other disposition of a security. It is not a measure of performance. The cost basis amounts on your statement should not be relied upon for tax preparation purposes. Cost basis information may be from outside sources and has not been verified for accuracy. Refer to your official tax documents for information about reporting cost basis. Consult a qualified tax advisor or an attorney regarding your situation. If you believe the cost basis information is inaccurate, contact Client Relations.

Retirement Summary

2020 Contributions	This Period	Cumulative
2020 Contributions	\$0.00	\$0.00
2019 Contributions	0.00	0.00
2020 SEP Contributions	0.00	0.00

Retirement Summary (continued)

Cumulative	This Period	2019 SEP Contributions	
		Transfers Out	
56,000.00	0.00	373,505.79	373,505.79

Investment and Other Activity by Date

Date	Description	Quantity	Amount
12/16	Close Out Redemption Dividend on Retirement Money Market		\$2.43
12/16	Transfer to 6148864417		-373,505.79

Retirement Money Market Detail by Date

Beginning Balance on Nov 28 \$373,505.79

Date	Transaction	Description	Deposits	Withdrawals	Balance
12/16	Withdrawal	Money Market Sale		-373,505.79	\$0.00
12/18	Deposit		2.43		\$2.43
Total			\$2.43	-\$373,505.79	\$2.43
Ending Balance on Dec 31					\$2.43

Your Relationship and Mailing Group(s)

**Relationship** - You have asked us to combine the accounts listed below for planning purposes as we work with you to achieve your financial goals. This means that information about these accounts and your goals and objectives may be shared with and accessible by each owner and authorized party in the relationship, including through Edward Jones Online Access and Edward Jones reports.

**Mailing Group** - You have also asked us to combine certain information about the accounts listed below into the mailing group(s) below for delivery purposes. Information for accounts within the same mailing group may be included in one envelope and mailed to the mailing group address. We may still send certain information directly to the account owners, as we believe appropriate.

Account Number	Account Owner(s)	Account Type	Mailing Group Address
101-101-101	Vicente Gonzalez	Simplified Employee Pension Account	VICENTE GONZALEZ
101-101-101	Vicente Gonzalez	Individual Retirement Account	
101-101-101	Vicente Gonzalez	Guided Solutions Flex Account	
101-101-101	Vicente Gonzalez	Guided Solutions Pension Account	
101-101-101	Vicente Gonzalez	Guided Solutions Flex Account	
101-101-101	Vicente Gonzalez	Simplified Employee Pension Account	

For more information on the relationship or mailing group(s), please visit [www.edwardjones.com/disclosures](http://www.edwardjones.com/disclosures). If you wish to make changes to either the relationship(s) or mailing group(s), please contact your financial advisor.





Account Holder(s) Vicente Gonzalez  
Account Number

Financial Advisor Leonardo F. Chang, 956-630-0241  
4500 N 10th St Suite 40, McAllen, TX 78504

Statement Date Nov 28 - Dec 31, 2020

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If there have been changes in your financial situation or investment objectives, or if you wish to restrict certain mutual funds or ETFs, or modify existing restrictions, in your Guided Solutions account, please notify your Edward Jones financial advisor. You may obtain information about the Guided Solutions program by viewing the applicable program brochure at [www.edwardjones.com/advisorybrochures](http://www.edwardjones.com/advisorybrochures).





Edward Jones Trust Co As Cust  
FBO Vicente Gonzalez IRA

## Protect Yourself Against Fraud

Anyone can become a target for fraud. That's why it's important to stay updated on common scams and learn how to avoid becoming a victim. Edward Jones offers a Fraud Awareness and Prevention web page with a list of common scams and tips on protecting yourself against them. Visit [edwardjones.com/fraud](http://edwardjones.com/fraud) to help avoid becoming a victim of fraud.

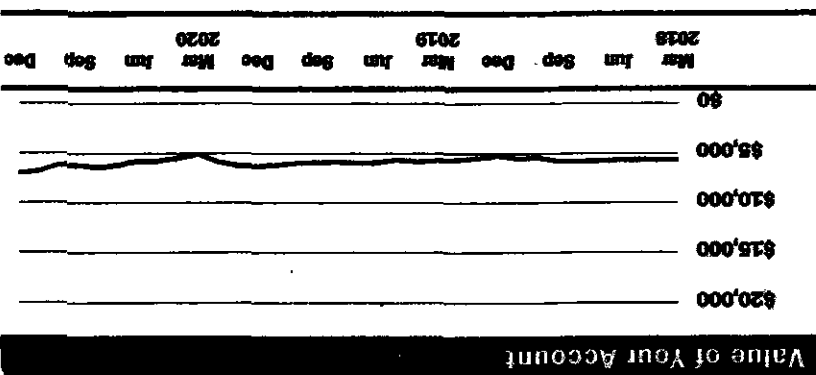
## Traditional Individual Retirement Account - Guided Solutions Fund Account

### Custodian: Edward Jones Trust Company

### Portfolio Objective - Account: Growth Focus

For more information about the Guided Solutions program go to [www.edwardjones.com/advisor/brochure](http://www.edwardjones.com/advisor/brochure).

Account Value	
<b>\$7,011.01</b>	
1 Month Ago	\$6,817.37
1 Year Ago	\$6,311.52
3 Years Ago	\$0.00
5 Years Ago	\$0.00



Value Summary		
Beginning Value	\$6,817.37	This Period
Assets Added to Account	0.00	This Year
Assets Withdrawn from Account	0.00	
Fees and Charges	-10.00	
Change in Value	203.64	
Ending Value	\$7,011.01	

For more information regarding the Value Summary section, please visit [www.edwardjones.com/mystatementguide](http://www.edwardjones.com/mystatementguide).



## Rate of Return

Your Personal Rate of Return for Assets Held at Edward Jones	This Quarter	Year to Date	Last 12 Months	3 Years Annualized	5 Years Annualized
	12.21%	11.08%	11.08%	—	—

## Performance Benchmarks

Large US Cap Equities (S & P 500)	12.18%	18.40%	18.40%	14.17%	15.20%
International Equities (S & P 700)	17.74%	11.21%	11.21%	6.01%	9.59%
Taxable Fixed Income (BarCap Aggregate)	0.67%	7.51%	7.51%	8.34%	4.43%

**Your Personal Rate of Return:** Your Personal Rate of Return measures the investment performance of your account. It incorporates the timing of your additions and withdrawals and reflects commissions and fees paid. Reviewing Your Personal Rate of Return is important to help ensure you're on track to achieving your financial goals.

**Performance Benchmarks:** Your Personal Rate of Return should be compared to the return necessary to achieve your financial goals. However, we understand many investors would like to compare their Personal Rate of Return to market indexes. Keep in mind this may not be an accurate comparison, as your Personal Rate of Return incorporates the timing of your specific additions and withdrawals and your specific investment mix, while published returns of market indexes do not.

These market indexes are used as a general measure of market performance for several major asset classes. Market indexes assume reinvestment of all distributions and do not take into account brokerage fees, taxes or investment management fees. The performance of your investments is tracked since they have been held in the current account, but no earlier than Jan. 1, 2008. This also includes investments you owned during this time period but have since sold. Certain events, including a transfer of an investment between accounts, share class conversion, or change in an investment's identification code (CUSIP) caused by a corporate action, will impact the time frame over which the investment's rate of return is calculated.

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Information used to calculate performance may have been obtained from third parties and Edward Jones cannot guarantee the accuracy of such information.

For the most current information, contact your financial advisor or visit [edwardjones.com/performance](http://edwardjones.com/performance).

## Rate of Return Indexes Disclosure

**S&P 500 Index:** A broad-based measurement of changes in stock market conditions based on the average performance of 500 widely held common stocks. While many of the stocks are among the largest, this index also includes many relatively small companies. It is a float adjusted capitalization-weighted index (stock price times number of publicly available shares outstanding), calculated on a total return basis with dividends reinvested.

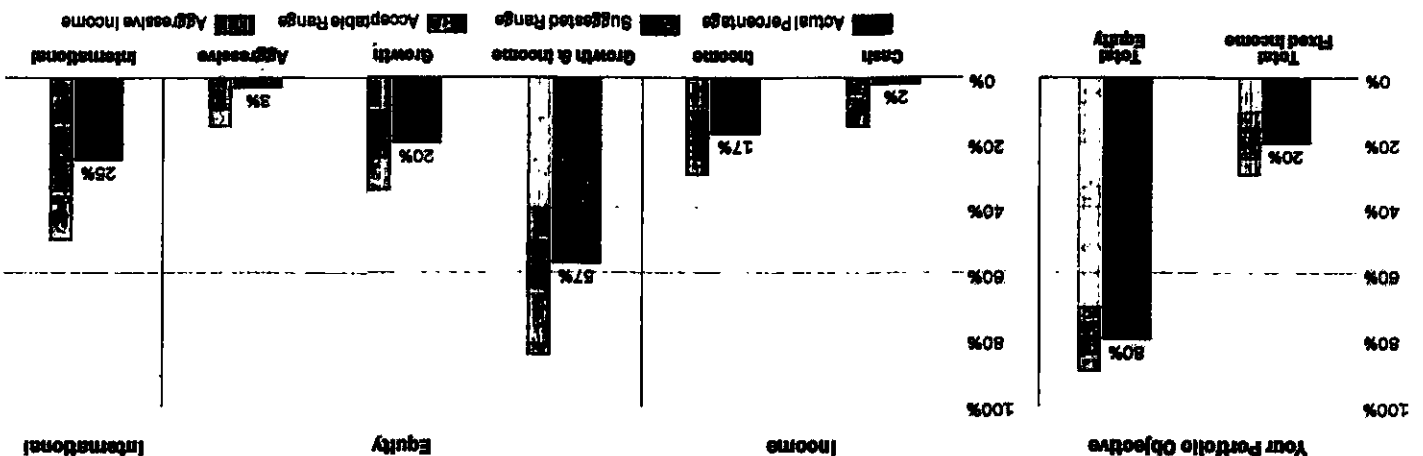
**S&P 700 Index:** The S&P 700 index measures the non-United States component of global equity markets. The index covers all regions included in the S&P Global 1200 (Europe, Japan, Canada, Australia, Asia, and Latin America) except for the United States which is represented by the S&P 500. The index is market-cap weighted and based in U.S. dollars.

**BarCap Aggregate Bond Index:** Measures the performance of government, mortgage-backed, asset-backed and corporate securities with at least one year to maturity.

Edward Jones Guided Solutions

Portfolio Objective: Growth Focus

Comparing Your Diversification to  
Your Portfolio Objective



Retirement Fee Notice

Asset Details (as of Dec 31, 2020)

Additional details at [www.edwardjones.com/retire](http://www.edwardjones.com/retire)

Assets Held At Edward Jones

Beginning Balance	Deposits	Withdrawals	Ending Balance
\$42.49	\$4.34	—	\$46.83

\* The average yield on the money market fund for the past seven days.

Exchange Traded & Closed End Funds	Price	Quantity	Value	Rate of Return*
iShares S&P Midcap 400 Value Fund Symbol: IJM Asset Category: Growth Estimated Yield: 1.68%	\$6.30	12	1,036.60	6.36%

Estimated Yield

The Estimated Yield (EY) in the preceding section compares the anticipated earnings on your investments in the coming year to the current price of the investments. It is based on past interest and dividend payments made by the securities held in your account. Changes in the price of a security over time or in the amount of the investment held in your account will cause the EY to vary. The EY is only an estimate and cannot be guaranteed by Edward Jones or the issuers of the securities. Your actual yield may be higher or lower than the estimated amounts. Estimates for any securities that have a return of principal or capital gain may be overstated. Income cannot be estimated for any securities that do not have an annual payment amount or frequency available at the time of estimation. Yield to Maturity is typically reported for Zero Coupon Bonds as these securities do not have an annual payment.



Asset Details (continued)

Mutual Funds	Price	Quantity	Value	Rate of Return*
Federated Hermes Government Obligations Cl Pym	1.00	103.49	103.49	1.51%
Asset Category: Cash/Equivalents Symbol: GOFXX				
Franklin Growth Cl R6	135.70	11.889	1,613.34	26.04%
Asset Category: Growth & Income Symbol: FIFRX				
Invesco Core Plus Bond Cl R6	11.44	53.807	615.55	7.64%
Asset Category: Income Symbol: CPBFX				
John Hancock Bond Cl R6	16.82	36.124	607.61	7.11%
Asset Category: Income Symbol: JHBSX				
MFS International Diversification Cl R6	23.91	68.238	1,631.57	9.05%
Asset Category: Growth & Income Symbol: MDIZX				
MFS Value Cl R6	44.62	30.277	1,357.02	6.48%
Asset Category: Growth & Income Symbol: MEIKX				
Total Account Value \$7,011.01				

\*Your Rate of Return for each individual asset above is as of December 31, 2020. Returns greater than 12 months are annualized. Your Rate of Return in the Asset Details section above measures the investment performance of each of your individual assets. It incorporates the timing of your additions and withdrawals and reflects commissions and fees paid. Reviewing your Rate of Return is important to help ensure you're on track to achieving your financial goals.

The performance of your investments is tracked since they have been held in the current account, but no earlier than Jan. 1, 2009. Certain events, including a transfer of an investment between accounts, share class conversion, or change in an investment's identification code (CUSIP) caused by a corporate action, will impact the time frame over which the investment's rate of return is calculated. Information used to calculate performance may have been obtained from third parties and Edward Jones cannot guarantee the accuracy of such information.

For the most current information, contact your financial advisor or visit [www.edwardjones.com/performance](http://www.edwardjones.com/performance)

Retirement Summary

Cumulative	This Period	
2020 Contributions	\$0.00	
2019 Contributions	0.00	



Investment and Other Activity by Date

Date	Description	Quantity	Amount
12/01	Dividend on Federated Govt Obligations Pfm on 113.48 Shares at Daily Accrual Rate		\$0.01
12/01	Reinvestment into Federated Govt Obligations Pfm @ 1.00	0.01	-0.01
12/01	Dividend on Invesco Core Plus Bond R6 on 52.057 Shares at Daily Accrual Rate		1.20
12/01	Reinvestment into Invesco Core Plus Bond R6 @ 11.74	0.102	-1.20
12/01	Dividend on Jh Bond R6 on 35.652 Shares at Daily Accrual Rate		1.55
12/01	Reinvestment into Jh Bond R6 @ 16.97	0.091	-1.55
12/02	Long Term Capital Gain on Franklin Growth R6 on 10.96 Shares @ 10.614		116.33
12/02	Dividend on Franklin Growth R6 on 10.96 Shares @ 0.473		5.19
12/02	Reinvestment into Franklin Growth R6 @ 130.84	0.04	-5.19
12/02	Reinvestment into Franklin Growth R6 @ 130.84	0.889	-116.33
12/10	Program Fee		-10.00
12/11	Sell Federated Govt Obligations Pfm @ 1.00	-10	10.00
12/14	Short Term Capital Gain on Invesco Core Plus Bond R6 on 52.159 Shares @ 0.33		17.25
12/14	Long Term Capital Gain on Invesco Core Plus Bond R6 on 52.159 Shares @ 0.029		1.54
12/14	Reinvestment into Invesco Core Plus Bond R6 @ 11.40	0.135	-1.54
12/14	Reinvestment into Invesco Core Plus Bond R6 @ 11.40	1.513	-17.25
12/18	Dividend on iShares S&P MC 400 Value ETF on 12 Shares @ 0.361547		4.34
12/18	Long Term Capital Gain on MFS Value R6 on 29.852 Shares @ 0.465		13.88
12/18	Dividend on MFS Value R6 on 29.852 Shares @ 0.166		4.98
12/18	Reinvestment into MFS Value R6 @ 44.37	0.112	-4.98
12/18	Reinvestment into MFS Value R6 @ 44.37	0.313	-13.88
12/21	Short Term Capital Gain on Jh Bond R6 on 35.743 Shares @ 0.178		6.39
12/21	Reinvestment into Jh Bond R6 @ 16.77	0.381	-6.39
12/30	Dividend on MFS INTL Diversification R6 on 67.087 Shares @ 0.183		12.33
12/30	Long Term Capital Gain on MFS INTL Diversification R6 on 67.087 Shares @ 0.176		11.86
12/30	Short Term Capital Gain on MFS INTL Diversification R6 on 67.087 Shares @ 0.049		3.33
12/30	Reinvestment into MFS INTL Diversification R6 @ 23.89	0.139	-3.33
12/30	Reinvestment into MFS INTL Diversification R6 @ 23.89	0.496	-11.86
12/30	Reinvestment into MFS INTL Diversification R6 @ 23.89	0.516	-12.33

Retirement Money Market Detail by Date

Beginning Balance on Nov 28				\$42.49
Date	Transaction	Description	Deposits	Withdrawals
12/18	Deposit		4.34	
Total				\$46.83
Ending Balance on Dec 31				\$46.83



**Custodian: Edward Jones Trust Company**  
This Edward Jones brokerage statement also serves as the Edward Jones Trust Company custodial account statement. No other account statement will be provided by Edward Jones Trust Company for the period of time reflected on this statement.

## Your Relationship and Mailing Group(s)

**Relationship** - You have asked us to combine the accounts listed below for planning purposes as we work with you to achieve your financial goals. This means that information about these accounts and your goals and objectives may be shared with and accessible by each owner and authorized party in the relationship, including through Edward Jones Online Access and Edward Jones reports.

**Mailing Group** - You have also asked us to combine certain information about the accounts listed below into the mailing group(s) below for delivery purposes. Information for accounts within the same mailing group may be included in one envelope and mailed to the mailing group address. We may still send certain information directly to the account owners, as we believe appropriate.

Account Number	Account Owner(s)	Account Type	Mailing Group Address
00000000000000000000	Vicente Gonzalez	Simplified Employee Pension Account	VICENTE GONZALEZ
00000000000000000000	Vicente Gonzalez	Individual Retirement Account	
00000000000000000000	Vicente Gonzalez	Guided Solutions Flex Account	
00000000000000000000	Vicente Gonzalez	Guided Solutions Fund Account	
00000000000000000000	Vicente Gonzalez	Simplified Employee Pension Account	
00000000000000000000	Vicente Gonzalez	Guided Solutions Flex Account	

For more information on this relationship or mailing group(s), please visit [www.edwardjones.com/disclosures](http://www.edwardjones.com/disclosures). If you wish to make changes to either the relationship(s) or mailing group(s), please contact your financial advisor.

If there have been changes in your financial situation or investment objectives, or if you wish to restrict certain mutual funds or ETFs, or modify existing restrictions, in your Guided Solutions account, please notify your Edward Jones financial advisor. You may obtain information about the Guided Solutions program by viewing the applicable program brochure at [www.edwardjones.com/advisor/brochures](http://www.edwardjones.com/advisor/brochures).



Edward Jones Trust Co As Cust  
V Gonzalez and Associates  
FBO Vicente Gonzalez SEP

### What Matters Most to You?

What makes a good financial strategy? It begins and ends with your goals. Understanding the "why" behind your priorities helps your financial advisor recommend a strategy personalized for you. If you haven't reviewed your goals with your financial advisor lately, set some time aside to ensure your strategy is aligned with what you want to achieve.

## Simplified Employee Pension - Guided Solutions Flex Account

Custodian: Edward Jones Trust Company  
Portfolio Objective - Account: Growth Focus

For more information about the Guided Solutions program go to [www.edwardjones.com/advisoryprocedures](http://www.edwardjones.com/advisoryprocedures).

Account Value	
<b>\$373,657.71</b>	
1 Month Ago	\$0.00
1 Year Ago	\$0.00
3 Years Ago	\$0.00
5 Years Ago	\$0.00

Value of Your Account	
\$480,000	
\$430,000	
\$380,000	
\$330,000	
\$280,000	
Dec 2020	

## Value Summary

This Period		This Year
Beginning Value	\$0.00	\$0.00
Assets Added to Account	373,605.79	373,605.79
Assets Withdrawn from Account	0.00	0.00
Fees and Charges	0.00	0.00
Change in Value	151.92	151.92
Ending Value		<b>\$373,657.71</b>

For more information regarding the Value Summary section, please visit [www.edwardjones.com/mystatementguide](http://www.edwardjones.com/mystatementguide).

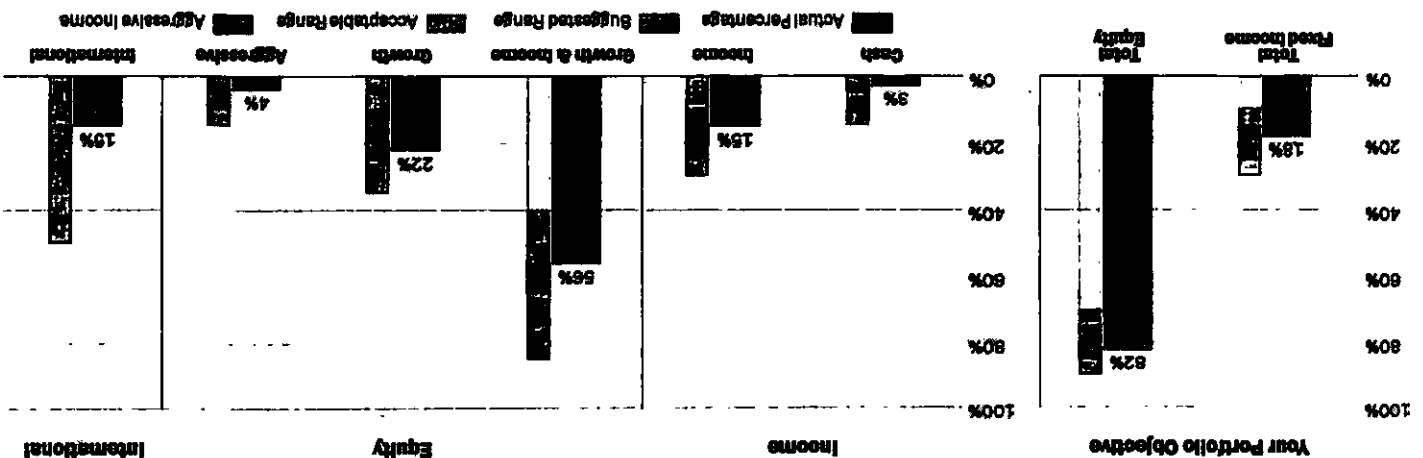




Edward Jones Guided Solutions

Portfolio Objective: Growth Focus

Comparing Your Diversification to Your Portfolio Objective



Asset Details (as of Dec 31, 2020)

Additional details in www.edwardjones.com/etools

Assets Held At Edward Jones

Beginning Balance	Deposits	Withdrawals	Ending Balance
\$0.00	\$373,506.12	-\$360,550.83	\$12,955.29

\* The average yield on the money market fund for the past seven days.

Stocks	Price	Quantity	Value	Rate of Return*
Abbvie Incorporated Symbol: ABBV Asset Category: Growth & Income Research Rating: Hold Estimated Yield: 4.41%	107.15	107	11,466.05	—
Amazon Com Inc Symbol: AMZN Asset Category: Growth Research Rating: Buy	3,256.93	3	9,770.79	—
Apple Inc Symbol: AAPL Asset Category: Growth & Income Research Rating: Hold Estimated Yield: 0.62%	132.69	144	19,107.36	—



Asset Details (continued)

Stocks	Price	Quantity	Value	Rate of Return*
Blackberry Limited Symbol: BB Asset Category: Growth Research Rating: None	6.63	902	5,980.26	—
Chevron Corp Symbol: CVX Asset Category: Growth & Income Research Rating: Buy Estimated Yield: 6.11%	84.45	126	10,640.70	—
Delta Air Lines Inc Del Symbol: DAL Asset Category: Growth Research Rating: None	40.21	182	7,318.22	—
Jumia Technologies Ag Symbol: JMLA Asset Category: Aggressive Research Rating: None	40.35	184	7,424.40	—
SL Green Realty Corp Symbol: SLG Asset Category: Growth & Income Research Rating: None Estimated Yield: 6.11%	59.58	125	7,447.50	—

**Estimated Yield**  
The Estimated Yield (EY) in the preceding section compares the anticipated earnings on your investments in the coming year to the current price of the investments. It is based on past interest and dividend payments made by the securities held in your account. Changes in the price of a security over time or in the amount of the investment held in your account will cause the EY to vary. The EY is only an estimate and cannot be guaranteed by Edward Jones or the issuers of the securities. Your actual yield may be higher or lower than the estimated amounts. Estimates for any securities that do not have an annual payment amount or frequency available at the time of estimation. Yield cannot be estimated for any securities that do not have an annual payment.  
The Edward Jones Research Rating referenced in this document does not take into account your particular investment profile and is not intended as an express recommendation to purchase, hold or sell particular securities, financial instruments or strategies. You should contact your Edward Jones financial advisor before acting upon the Edward Jones Research Rating referenced. You can access full research reports including additional disclosures via Online Access or from your financial advisor.

Mutual Funds	Price	Quantity	Value	Rate of Return*
Hardord Midcap Cl F Symbol: HMDFX Asset Category: Growth	35.70	1,053.91	37,624.59	—
John Hancock Bond Cl R6 Symbol: JHBSX Asset Category: Income	16.82	2,221.812	37,370.88	—
JPMorgan Equity Income Cl R6 Symbol: OIEIX Asset Category: Growth & Income	19.73	2,859.449	56,416.93	—



Asset Details (continued)

Mutual Funds	Price	Quantity	Value	Rate of Return*
Lord Abbett Bond Debenture CI F3 Symbol: LBNIX Asset Category: Aggressive Income	8.37	2,236.562	18,720.02	—
Lord Abbett Growth Leaders CI F3 Symbol: LGLOX Asset Category: Growth & Income	47.41	787.489	37,334.85	—
MFS Growth CI R6 Symbol: MFEKX Asset Category: Growth & Income	162.53	347.038	56,404.09	—
MFS International Diversification CI R6 Symbol: MDIZX Asset Category: Growth & Income	23.91	1,575.775	37,676.78	—
Total Account Value				\$373,657.71

\*Your Rate of Return for each individual asset above is not available.

Your Rate of Return in the Asset Details section above measures the investment performance of each of your individual assets. It incorporates the timing of your additions and withdrawals and reflects commissions and fees paid. Reviewing your Rate of Return is important to help ensure you're on track to achieving your financial goals.

The performance of your investments is tracked since they have been held in the current account, but no earlier than Jan. 1, 2009. Certain events, including a transfer of an investment between accounts, share class conversion, or change in an investment's identification code (CUSIP) caused by a corporate action, will impact the time frame over which the investment's rate of return is calculated.

Information used to calculate performance may have been obtained from third parties and Edward Jones cannot guarantee the accuracy of such information.

For the most current information, contact your financial advisor or visit [www.edwardjones.com/performance](http://www.edwardjones.com/performance)

Retirement Summary

2020 Contributions	\$0.00	Cumulative
2019 Contributions	0.00	
Transfers Received	373,657.71	





Investment and Other Activity by Date

Date	Description	Quantity	Amount
12/16	Transfer from 614980218		\$373,505.79
12/21	Short Term Capital Gain on Jh Bond R6 on 2,198,386 Shares @ 0.178		392.85
12/21	Reinvestment into Jh Bond R6 @ 16.77	23.426	-392.85
12/21	Buy Amazon.com Inc @ 3,253.5	3	-9,760.50
12/21	Buy Abbvie Inc @ 104.595	107	-11,191.67
12/21	Buy Apple Inc @ 129.0441	144	-18,582.35
12/21	Buy BlackBerry Ltd @ 8.2286	902	-7,422.20
12/21	Buy Chevron Corp @ 88.2603	126	-11,120.80
12/21	Buy Delta Air Lines Inc Del @ 40.925	182	-7,448.35
12/21	Buy Hartford Midcap F @ 35.44	1,053.91	-37,350.58
12/21	Buy Jh Bond R6 @ 16.99	2,198.386	-37,350.58
12/21	Buy JPMorgan Equity Income R6 @ 19.64	2,852.641	-56,025.87
12/21	Buy Jumaia Technologies Ag @ 40.4068	184	-7,434.85
12/21	Buy Lord Abbett Bond Debuture F3 @ 8.35	2,236.562	-18,675.29
12/21	Buy Lord Abbett Growth Leaders F3 @ 47.43	787.489	-37,350.58
12/21	Buy MFS Growth R6 @ 161.44	347.038	-56,025.87
12/21	Buy MFS INTL Diversification R6 @ 24.11	1,549.174	-37,350.58
12/21	Buy SI Green Realty Corp @ 59.6861	125	-7,460.76
12/30	Dividend on JPMorgan Equity Income R6 on 2,852,641 Shares @ 0.046		132.48
12/30	Reinvestment into JPMorgan Equity Income R6 @ 19.46	6.808	-132.48
12/30	Dividend on MFS INTL Diversification R6 on 1,549,174 Shares @ 0.183		284.65
12/30	Long Term Capital Gain on MFS INTL Diversification R6 on 1,549,174 Shares @ 0.176		273.94
12/30	Short Term Capital Gain on MFS INTL Diversification R6 on 1,549,174 Shares @ 0.049		76.90
12/30	Reinvestment into MFS INTL Diversification R6 @ 23.89	3.219	-76.90
12/30	Reinvestment into MFS INTL Diversification R6 @ 23.89	11.467	-273.94
12/30	Reinvestment into MFS INTL Diversification R6 @ 23.89	11.915	-284.65

Retirement Money Market Detail by Date

Beginning Balance on Nov 28

Date	Transaction	Description	Deposits	Withdrawals	Balance
12/17	Deposit		373,505.79		\$373,505.79
12/21	Withdrawal			-360,550.83	\$12,954.96
12/31	Income	Dividend on Retirement Money Market for 42 Days @ 0.01%	0.33		\$12,955.29
Total					\$373,506.12
					-360,550.83
					\$12,955.29

Ending Balance on Dec 31

\$12,955.29



**Custodian: Edward Jones Trust Company**  
This Edward Jones brokerage statement also serves as the Edward Jones Trust Company custodial account statement. No other account statement will be provided by Edward Jones Trust Company for the period of time reflected on this statement.

**Your Relationship and Mailing Group(s)**

**Relationship** - You have asked us to combine the accounts listed below for planning purposes as we work with you to achieve your financial goals. This means that information about these accounts and your goals and objectives may be shared with and accessible by each owner and authorized party in the relationship, including through Edward Jones Online Access and Edward Jones reports.

**Mailing Group** - You have asked us to combine certain information about the accounts listed below into the mailing group(s) below for delivery purposes. Information for accounts within the same mailing group may be included in one envelope and mailed to the mailing group address. We may still send certain information directly to the account owners, as we believe appropriate.

Account Number	Account Owner(s)	Account Type	Mailing Group Address
AAA	Vicente Gonzalez	Simplified Employee Pension Account	VICENTE GONZALEZ
	Vicente Gonzalez	Guided Solutions Flex Account	
	Vicente Gonzalez	Individual Retirement Account	
	Vicente Gonzalez	Guided Solutions Fund Account	
	Vicente Gonzalez	Simplified Employee Pension Account	
	Vicente Gonzalez	Guided Solutions Flex Account	

For more information on this relationship or mailing group(s), please visit [www.edwardjones.com/disclosures](http://www.edwardjones.com/disclosures). If you wish to make changes to either the relationship(s) or mailing group(s), please contact your financial advisor.

If there have been changes in your financial situation or investment objectives, or if you wish to restrict certain mutual funds or ETFs, or modify existing restrictions, in your Guided Solutions account, please notify your Edward Jones financial advisor. You may obtain information about the Guided Solutions program by viewing the applicable program brochure at [www.edwardjones.com/advisorybrochures](http://www.edwardjones.com/advisorybrochures).

J.P. MORGAN SECURITIES LLC  
CH4-RM00  
P.O. BOX 183211  
COLUMBUS, OH 43218  
FOR UNDELIVERABLE MAIL ONLY

Account No:  
Account Name:  
Recipient's TIN:  
Account Executive No:  
ORIGINAL:  
12/31/2020

VICENTE GONZALEZ JR TOD

J.P.Morgan

## CONSOLIDATED 2020 FORMS 1099 AND DETAILS

### YEAR-END MESSAGES

PLEASE READ THE ENCLOSED TAX GUIDE FOR A COMPLETE EXPLANATION OF THE TAX INFORMATION ON YOUR CONSOLIDATED 1099. WHILE WE VERIFY THE INFORMATION REPORTED, RECLASSIFICATION OF INCOME BY AN ISSUER SUCH AS A MUTUAL FUND MAY REQUIRE A CORRECTED CONSOLIDATED FORM 1099. KINDLY CONTACT YOUR ACCOUNT EXECUTIVE WITH QUESTIONS CONCERNING THE COST BASIS OR ANY OTHER INFORMATION.

Your Account did not receive the following Forms:

1099-OID  
1099-MISC

See the following page for a full list of all detail sections

015894 - 1 of 15 NSPOTMSB-V2 02/15/21 100000  
VICENTE GONZALEZ JR TOD

(7)

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1099-DIV Dividends and Distributions	Page 3
1099-B Proceeds from Broker and Barter Exchange Transactions	Page 6

**Details of 1099 Reportable Information:**

1099-DIV Dividend & Distribution Details	Page 15
1099-INT Interest Income Details	Page 25

**Details of Supplemental Non-reportable Information**

Fees & Charges	Page 28
Investment Activity	Page 27
Reinvestments	Page 30

J.P. MORGAN SECURITIES LLC  
CH4-PM00  
P.O. BOX 183211  
COLUMBUS, OH 43218  
FOR UNDELIVERABLE MAIL ONLY

Account No:  
Account Name:  
Recipient's TIN:  
Account Executive No:

VICENTE GONZALEZ JR TOD  
S&K  
12/31/2020

J.P.Morgan

RECIPIENT'S Name, Street Address, City, State, and Zip Code  
VICENTE GONZALEZ JR TOD

☐ FATCA Filing Requirement

PAYER'S TIN: 102412215  
PAYER'S Name, Street, City, State, Zip Code:  
JP MORGAN BROKER-DEALER HOLDINGS INC.  
JP MORGAN SECURITIES LLC  
4 METROTECH CENTER  
BROOKLYN, NY 11245-0001  
Telephone Number: (800) 392-5749

2020 CONSOLIDATED FORMS 1099

1a. Total ordinary dividends (includes Boxes 1b, 5, 6)	\$6,062.26
1b. Qualified dividends	\$1,871.23
2a. Total capital gain distributions (includes Boxes 2b, 2c, 2d)	\$1,257.15
2b. Unrecaptured section 1250 gain	\$0.00
2c. Section 1202 gain	\$0.00
2d. Collectibles (28%) gain	\$0.00
3. Nondividend distributions	\$48.60
4. Federal income tax withheld	\$0.00
5. Section 199A dividends	\$2.76
6. Investment expenses	\$0.00
7. Foreign tax paid	\$73.34
8. Foreign country or U.S. possession	Various
9. Cash liquidation distributions	\$0.00
10. Noncash liquidation distributions	\$0.00
11. Exempt-interest dividends (includes Box 12)	\$0.00
12. Specified private activity bond interest dividends (AMT)	\$0.00

1. Interest income (not included in Box 5)	\$17.89
2. Early withdrawal penalty	\$0.00
3. Interest on U.S. Savings Bonds & Treasury obligations	\$0.00
4. Federal income tax withheld	\$0.00
5. Investment expenses	\$0.00
6. Foreign tax paid	\$0.00
7. Foreign country or U.S. possession	-
8. Tax-exempt interest (includes Box 9)	\$0.00
9. Specified private activity bond interest	\$0.00
10. Market discount	\$0.00
11. Bond premium	\$0.00
12. Bond premium on Treasury obligations	\$0.00
13. Bond premium on tax-exempt bonds	\$0.00
14. Tax-exempt and tax credit bond CUSIP no.	\$0.00

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT). KEEP FOR YOUR RECORDS.  
This is important tax information and is being furnished to the IRS. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



## Form 1098-DIV (OMB NO. 1545-0110)

[illegible]

## Form 1099-DIV (OMB NO. 1545-0112)

[illegible]

J.P. MORGAN SECURITIES LLC  
OH4-FRM00  
P.O. BOX 183211  
COLUMBUS, OH 43218  
FOR UNDELIVERABLE MAIL ONLY

Account No:  
Account Name:  
Recipient's TIN:  
Account Executive No:  
ORIGINAL:  
12/31/2020

VICENTE GONZALEZ JR TOD

J.P.Morgan

**FORM 1099-B TOTALS SUMMARY**

**REALIZED GAIN / LOSS SUMMARY**

Refer to Proceeds from Broker and Barter Exchange Transactions for detailed information regarding these summary values. The amounts shown below are for informational purposes only.

**SHORT-TERM GAINS OR (LOSSES) - REPORT ON FORM 9949, PART I**

	PROCEEDS	COST BASIS	MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	REALIZED GAIN OR (LOSS)
Box A (basis reported to IRS)	\$7,998.96	\$7,922.02	\$0.00	\$0.00	\$76.94
Box A - Ordinary - (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Total Short-Term</b>	<b>\$7,998.96</b>	<b>\$7,922.02</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$76.94</b>

**LONG-TERM GAINS OR (LOSSES) - REPORT ON FORM 9949, PART II**

Box D (basis reported to IRS)	\$82,663.08	\$80,026.44	\$0.00	\$1.15	\$2,637.79
Box D - Ordinary - (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Total Long-Term</b>	<b>\$82,663.08</b>	<b>\$80,026.44</b>	<b>\$0.00</b>	<b>\$1.15</b>	<b>\$2,637.79</b>

**UNKNOWN TERM - CODE (X) REPORT ON FORM 9949 PART I OR PART II**

Box B or Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B or Box E - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Total Unknown Term</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>

J.P. MORGAN SECURITIES LLC  
044-RM00  
P.O. BOX 183211  
COLUMBUS, OH 43218  
FOR UNDELIVERABLE MAIL ONLY

Account No:  
Account Name:  
Recipient's TIN:  
Account Executive No:  
ORIGINAL:

VICENTE GONZALEZ JR TOD  
SSK  
12/31/2020

J.P.Morgan

RECIPIENT'S Name, Street Address, City, State, and Zip Code  
VICENTE GONZALEZ JR TOD

☐ FATCA Filing Requirement  
PAYER'S TIN: 000000000  
PAYER'S Name, Street, City, State, Zip Code:  
JP MORGAN BROKER-DEALER HOLDINGS INC.  
JP MORGAN SECURITIES LLC  
4 METROTECH CENTER  
BROOKLYN, NY 11245-0001  
Telephone Number: (800) 392-5749

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For those reasons, the IRS requires us to provide you with this reminder. Taxpayers are ultimately responsible for the accuracy of their tax returns.

### Covered Short-Term Gains or Losses on Gross Proceeds Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 12: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount Additional Information
**BLACKROCK INDEX FDS INC ISHARES MSCI EAFE INTL IDX K CUSIP: 09253F-879	22.03500	12/13/2019	03/19/2020	\$208.90	\$274.00	\$0.00	\$0.00	(\$65.10) A
**DODGE & COX INCOME FUND CUSIP: 256210105	12.72300	11/21/2019	11/04/2020	\$188.05	\$173.70	\$0.00	\$0.00	\$14.35 A
	8.08500	12/23/2019	11/04/2020	\$119.50	\$110.38	\$0.00	\$0.00	\$9.12 A
	2.91700	12/23/2019	11/04/2020	\$43.11	\$39.83	\$0.00	\$0.00	\$3.28 A
	3.91700	12/23/2019	11/04/2020	\$57.89	\$53.47	\$0.00	\$0.00	\$4.42 A
	0.28100	03/30/2020	11/04/2020	\$3.86	\$3.56	\$0.00	\$0.00	\$0.30 A
	2.35100	03/30/2020	11/04/2020	\$94.75	\$82.10	\$0.00	\$0.00	\$12.65 A
	8.70900	03/30/2020	11/04/2020	\$128.72	\$118.91	\$0.00	\$0.00	\$9.81 A
	4.75900	06/28/2020	11/04/2020	\$70.34	\$64.97	\$0.00	\$0.00	\$5.37 A
	1.20800	09/29/2020	11/04/2020	\$17.86	\$16.50	\$0.00	\$0.00	\$1.36 A

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J.P. MORGAN SECURITIES LLC  
OH-PM400  
P.O. BOX 183211  
COLUMBUS, OH 43218  
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12/31/2020

VICENTE GONZALEZ JR TOD  
J.P.Morgan

### Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 12: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
<b>**DODGE &amp; COX INCOME FUND</b>									
CUSIP: 256210105									
<b>Subtotal</b>									
44,85100				\$684.08	\$613.42	\$0.00	\$0.00	\$50.66	
<b>**FIDELITY SALEM STR TR</b>									
INTERNATIONAL INDEX FUND									
CUSIP: 315911727									
0.48500		04/03/2019	03/19/2020	\$14.27	\$19.82	\$0.00	\$0.00	(\$5.55) A	
0.17200		04/15/2019	03/19/2020	\$5.06	\$7.03	\$0.00	\$0.00	(\$1.97) A	
0.02000		12/16/2019	03/19/2020	\$0.59	\$0.82	\$0.00	\$0.00	(\$0.23) A	
<b>Subtotal</b>									
0.67700				\$19.92	\$27.67	\$0.00	\$0.00	(\$7.75)	
<b>**FIDELITY SALEM STR TR</b>									
FIDELITY 500 INDEX FUND									
CUSIP: 315911750									
2.27500		04/03/2019	03/19/2020	\$190.50	\$214.58	\$0.00	\$0.00	(\$23.68) A	
0.09100		04/08/2019	03/19/2020	\$7.54	\$8.58	\$0.00	\$0.00	(\$0.94) A	
0.34800		04/08/2019	03/19/2020	\$28.20	\$32.82	\$0.00	\$0.00	(\$3.62) A	
0.35700		07/08/2019	03/19/2020	\$28.96	\$33.67	\$0.00	\$0.00	(\$3.71) A	
28.81100		08/26/2019	03/19/2020	\$2,417.54	\$2,717.42	\$0.00	\$0.00	(\$299.88) A	
16.41200		08/26/2019	06/09/2020	\$1,831.09	\$1,586.45	\$0.00	\$0.00	\$244.64 A	
0.80100		10/07/2019	06/09/2020	\$67.05	\$58.09	\$0.00	\$0.00	\$8.96 A	
0.59500		12/16/2019	06/09/2020	\$66.38	\$57.51	\$0.00	\$0.00	\$8.87 A	
0.10000		04/09/2020	06/09/2020	\$11.16	\$9.66	\$0.00	\$0.00	\$1.50 A	
12.88000		04/27/2020	06/09/2020	\$1,414.71	\$1,225.70	\$0.00	\$0.00	\$189.01 A	
<b>Subtotal</b>									
62.27000				\$6,065.63	\$5,944.48	\$0.00	\$0.00	\$121.15	
1.00000		11/21/2019	03/09/2020	\$120.26	\$112.53	\$0.00	\$0.00	\$7.73	
<b>ISHARES TIPS BOND ETF</b>									
CUSIP: 484287176									
3.00000		09/24/2019	08/11/2020	\$501.30	\$431.10	\$0.00	\$0.00	\$70.20	
<b>ISHARES 20 PLUS YEAR TREASUR</b>									
BOND ETF									
CUSIP: 484287432									
8.00000		11/21/2019	02/11/2020	\$187.35	\$189.52	\$0.00	\$0.00	(\$2.17)	
1.00000		11/21/2019	03/19/2020	\$18.73	\$24.94	\$0.00	\$0.00	(\$6.21)	
<b>J.P. MORGAN EXCHANGE TRADED F</b>									
TR BETABUILDERS JAPAN ETF									
CUSIP: 486410712									

THIS IS YOUR FORM 1099-B (COPY B FOR RECIPIENT). KEEP FOR YOUR RECORDS.  
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J.P. MORGAN SECURITIES LLC  
OH4-RMD0  
P.O. BOX 183211  
COLUMBUS, OH 43218  
FOR UNDELIVERABLE MAIL ONLY

Account No:  
Account Name:  
Recipient's TIN:  
Account Executive No:  
ORIGINAL: 12/31/2020

VICENTE GONZALEZ JR TOD  
J.P.Morgan

COVERED SHORT-TERM GAINS OR LOSSES ON GROSS PROCEEDS

Report on Form 8949, Part I with Box A checked  
Box 6: Gross Proceeds

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.  
Box 5: Box Not Checked (Covered Security)  
Box 12: Basis Reported to the IRS  
Box 2: Type of Gain or Loss - Short-Term

Description of property CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount Additional Information
J.P. MORGAN EXCHANGE TRADED F								
TR BETABUILDERS JAPAN ETF								
CUSIP: 46841Q712								
Subtotals								
J.P. MORGAN EXCHANGE TRADED F	8.00000			\$216.08	\$224.48	\$0.00	\$0.00	(\$8.39)
TR BETABUILDERS EUROPE ETF	12.00000	11/21/2019	03/19/2020	\$202.79	\$294.36	\$0.00	\$0.00	(\$91.57)
CUSIP: 46841Q720								
26 ITEMS - TOTAL				\$7,988.96	\$7,822.02	\$0.00	\$0.00	\$78.94

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J.P. MORGAN SECURITIES LLC  
OH-PL400  
P.O. BOX 183211  
COLUMBUS, OH 43218  
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VICENTE GONZALEZ, JR. TOD

J.P.Morgan

2020 FORM 1099-B, PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED ONE PAGE TOTAL

**Covered Long-Term Gains or Losses on Gross Proceeds**  
Report on Form 8949, Part II with Box D checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 12: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Long-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Description of property CUSIP	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Interest (Box 1f)	Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
<b>BLACKROCK INDEX FDS INC</b>									
<b>SHARES MSCI EAFE INTL IDX K</b>									
CUSIP: 08253F879									
24.25400	02/05/2016	03/09/2020		\$277.47	\$301.59	\$0.00	\$0.00	(\$24.12) A	
106.92700	03/02/2016	03/09/2020		\$1,223.24	\$1,329.62	\$0.00	\$0.00	(\$106.38) A	
0.16300	07/22/2016	03/09/2020		\$1.75	\$1.90	\$0.00	\$0.00	(\$0.15) A	
189.17000	09/26/2016	03/09/2020		\$2,164.10	\$2,352.31	\$0.00	\$0.00	(\$188.21) A	
27.80800	12/16/2016	03/09/2020		\$314.89	\$342.06	\$0.00	\$0.00	(\$27.17) A	
47.82100	07/06/2017	03/09/2020		\$543.64	\$580.91	\$0.00	\$0.00	(\$47.27) A	
25.98800	12/18/2017	03/09/2020		\$266.73	\$322.53	\$0.00	\$0.00	(\$55.80) A	
45.22400	07/13/2018	03/09/2020		\$517.37	\$562.35	\$0.00	\$0.00	(\$44.98) A	
145.28700	07/13/2018	03/19/2020		\$1,377.32	\$1,806.63	\$0.00	\$0.00	(\$429.31) A	
0.56700	07/20/2018	03/19/2020		\$5.56	\$7.30	\$0.00	\$0.00	(\$1.74) A	
37.41400	12/17/2018	03/19/2020		\$354.88	\$465.24	\$0.00	\$0.00	(\$110.36) A	
649.98300				\$7,078.55	\$8,082.44	\$0.00	\$0.00	(\$1,003.89)	
<b>DODGE &amp; COX INCOME FUND</b>									
CUSIP: 256210105									
267.78700	10/03/2014	05/28/2020		\$3,861.63	\$3,653.03	\$0.00	\$0.00	\$208.60 A	
107.48100	10/03/2014	06/09/2020		\$1,567.07	\$1,466.15	\$0.00	\$0.00	\$100.92 A	
0.35100	12/23/2014	06/09/2020		\$4.83	\$4.51	\$0.00	\$0.00	\$0.32 A	
2.87400	12/23/2014	06/09/2020		\$41.90	\$39.21	\$0.00	\$0.00	\$2.69 A	
1.46700	12/23/2014	06/09/2020		\$21.66	\$20.28	\$0.00	\$0.00	\$1.40 A	
3.15900	03/30/2015	06/09/2020		\$46.06	\$43.09	\$0.00	\$0.00	\$2.97 A	
0.08300	03/30/2015	06/09/2020		\$0.48	\$0.45	\$0.00	\$0.00	\$0.03 A	
0.06700	03/30/2015	06/09/2020		\$0.98	\$0.91	\$0.00	\$0.00	\$0.07 A	
3.23900	06/28/2015	06/09/2020		\$47.14	\$44.10	\$0.00	\$0.00	\$3.04 A	
3.47900	09/28/2015	06/09/2020		\$50.72	\$47.46	\$0.00	\$0.00	\$3.26 A	
0.17700	12/23/2015	06/09/2020		\$2.58	\$2.41	\$0.00	\$0.00	\$0.17 A	
3.98600	12/23/2015	06/09/2020		\$58.12	\$54.37	\$0.00	\$0.00	\$3.75 A	
73.52800	01/15/2016	06/09/2020		\$1,072.03	\$1,002.99	\$0.00	\$0.00	\$69.04 A	
272.07900	01/15/2016	08/11/2020		\$4,045.82	\$3,712.97	\$0.00	\$0.00	\$332.85 A	
1.16100	03/28/2016	08/11/2020		\$17.26	\$15.84	\$0.00	\$0.00	\$1.42 A	
7.03900	03/28/2016	08/11/2020		\$104.52	\$95.92	\$0.00	\$0.00	\$8.60 A	
6.35400	06/28/2016	08/11/2020		\$94.48	\$86.71	\$0.00	\$0.00	\$7.77 A	

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J.P. MORGAN SECURITIES LLC  
OH4-RMD  
P.O. BOX 183211  
COLUMBUS, OH 43218  
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Account No:  
Account Name:  
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ORIGINAL: 12/31/2020

VICENTE GONZALEZ JR TOD  
J.P.Morgan

### Covered Long-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part II with Box D checked

Box 8: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 12: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Long-Term

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Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Unrealized Appreciation (Box 1f)	Loss Disallowed (Box 1g)	Gain/Loss Amount Additional Information
<b>DODGE &amp; COX INCOME FUND</b>								
CUSIP: 256210105	6,315.00	09/29/2016	08/11/2020	\$93.90	\$86.18	\$0.00	\$0.00	\$7.72 A
	20,995.00	11/01/2016	08/11/2020	\$312.21	\$298.51	\$0.00	\$0.00	\$25.70 A
	51,047.00	11/01/2016	09/22/2020	\$754.47	\$686.62	\$0.00	\$0.00	\$57.85 A
	6,548.00	12/22/2016	08/22/2020	\$98.78	\$89.36	\$0.00	\$0.00	\$7.42 A
	0,741.00	03/30/2017	09/22/2020	\$10.85	\$10.11	\$0.00	\$0.00	\$0.84 A
	6,799.00	03/30/2017	09/22/2020	\$99.60	\$91.97	\$0.00	\$0.00	\$7.63 A
	143,796.00	04/20/2017	09/22/2020	\$2,124.42	\$1,961.52	\$0.00	\$0.00	\$162.90 A
	72,523.00	04/20/2017	11/04/2020	\$1,071.89	\$980.12	\$0.00	\$0.00	\$91.77 A
	7,890.00	08/29/2017	11/04/2020	\$116.47	\$107.88	\$0.00	\$0.00	\$8.59 A
	7,900.00	09/28/2017	11/04/2020	\$116.76	\$107.85	\$0.00	\$0.00	\$8.91 A
	2,193.00	12/21/2017	11/04/2020	\$32.41	\$29.94	\$0.00	\$0.00	\$2.47 A
	7,591.00	12/21/2017	11/04/2020	\$112.20	\$103.63	\$0.00	\$0.00	\$8.57 A
	8,248.00	03/28/2018	11/04/2020	\$121.91	\$112.60	\$0.00	\$0.00	\$9.31 A
	2,691.00	03/28/2018	11/04/2020	\$39.77	\$36.74	\$0.00	\$0.00	\$3.03 A
	8,388.00	06/28/2018	11/04/2020	\$123.97	\$114.51	\$0.00	\$0.00	\$9.46 A
	8,460.00	09/27/2018	11/04/2020	\$125.04	\$115.50	\$0.00	\$0.00	\$9.54 A
	9,520.00	12/21/2018	11/04/2020	\$140.71	\$129.97	\$0.00	\$0.00	\$10.74 A
	2,180.00	12/21/2018	11/04/2020	\$32.37	\$29.80	\$0.00	\$0.00	\$2.57 A
	9,103.00	03/28/2019	11/04/2020	\$134.64	\$124.28	\$0.00	\$0.00	\$10.36 A
	9,005.00	06/27/2019	11/04/2020	\$133.09	\$122.85	\$0.00	\$0.00	\$10.24 A
	8,973.00	09/27/2019	11/04/2020	\$132.62	\$122.51	\$0.00	\$0.00	\$10.11 A
<b>FIDELITY SALEM STR TR</b>								
CUSIP: 315911750	38,085.00	01/04/2019	03/19/2020	\$3,195.73	\$3,592.13	\$0.00	\$1.04	(\$395.36) A
<b>ISHARES TIPS BOND ETF</b>								
CUSIP: 464287176	25,000.00	02/02/2018	03/09/2020	\$3,006.50	\$2,813.38	\$0.00	\$0.00	\$193.12

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J.P. MORGAN SECURITIES LLC  
04-HM00  
P.O. BOX 183211  
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ORIGINAL:

VICENTE GONZALEZ JR TOD  
SSK  
12/31/2020

J.P.Morgan

COVERED LONG-TERM GAINS OR LOSSES ON GROSS PROCEEDS  
ONE NO. 144-0718

Covered Long-Term Gains or Losses on Gross Proceeds  
Report on Form 8949, Part II with Box D checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 12: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Long-Term

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Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Market Discount (Box 1f)	Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ISHA RES TRUST ISHA RES 7 10 YEAR TREASURY BOND ETF CUSIP: 464287440	30.00000 24.00000 26.00000	02/19/2019 02/19/2019 02/19/2019	09/22/2020 10/21/2020 11/04/2020	\$3,667.52 \$2,895.77 \$3,144.37	\$3,137.40 \$2,509.92 \$2,719.08	\$0.00 \$0.00 \$0.00	\$0.00 \$0.00 \$0.00	\$520.12 \$385.85 \$425.29	
Subtotals	\$0.00000			\$9,697.66	\$9,366.40	\$0.00	\$0.00	\$1,331.26	
ISHA RES INC ISHA RES MSCI JAPAN ETF CUSIP: 464346822	24.00000 17.00000 4.00000 30.00000 22.00000	07/19/2016 01/03/2017 01/04/2017 01/04/2017 01/17/2018	02/11/2020 02/11/2020 02/11/2020 03/19/2020 03/19/2020	\$1,432.07 \$1,014.39 \$238.68 \$1,366.47 \$1,002.07	\$1,245.64 \$982.33 \$207.61 \$1,557.05 \$1,141.84	\$0.00 \$0.00 \$0.00 \$0.00 \$0.00	\$0.00 \$0.00 \$0.00 \$0.00 \$0.00	\$186.43 \$132.05 \$31.07 (\$180.59) (\$139.77)	
Subtotals	\$0.00000			\$5,063.67	\$5,034.47	\$0.00	\$0.00	\$19.20	
**PMCO TOTAL RETURN FUND INSTL CL CUSIP: 663390700	169.72000 64.01000 2.20000 3.32900 3.15500 2.57200 2.80700 3.56200 3.00800 2.93900 2.88100 2.42800 2.59000 2.67900 2.47300 180.35900	11/01/2016 11/01/2016 11/01/2016 12/01/2016 01/03/2017 02/01/2017 03/01/2017 04/03/2017 05/01/2017 06/01/2017 07/03/2017 08/01/2017 09/01/2017 10/02/2017 11/01/2017 12/01/2017	08/11/2020 10/21/2020 10/21/2020 10/21/2020 10/21/2020 10/21/2020 10/21/2020 10/21/2020 10/21/2020 10/21/2020 10/21/2020 10/21/2020 10/21/2020 10/21/2020 10/21/2020 10/21/2020	\$1,860.13 \$887.07 \$23.96 \$36.25 \$34.36 \$28.01 \$30.57 \$38.79 \$32.76 \$32.01 \$31.37 \$26.44 \$28.21 \$28.17 \$26.93 \$1,964.09	\$1,738.22 \$655.74 \$22.53 \$34.10 \$32.32 \$26.35 \$28.76 \$36.49 \$30.82 \$30.11 \$29.52 \$24.88 \$26.54 \$27.45 \$25.34 \$1,847.64	\$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00	\$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00	\$121.91 A \$41.33 A \$1.43 A \$2.15 A \$2.04 A \$1.66 A \$1.81 A \$2.30 A \$1.94 A \$1.90 A \$1.85 A \$1.56 A \$1.67 A \$1.72 A \$1.59 A \$116.45 A	

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT). KEEP FOR YOUR RECORDS.  
This is important tax information and is being furnished to the IRS. If you are required to file a return, a separate penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



J.P. MORGAN SECURITIES LLC  
 CH4-RM00  
 P.O. BOX 189211  
 COLUMBUS, OH 43218  
 FOR UNDELIVERABLE MAIL ONLY

Account No:  
 Account Name:  
 Recipient's TIN:  
 Account Executive No:  
 ORIGINAL:

VICENTE GONZALEZ JR TOD  
 36K  
 12/31/2020

J.P.Morgan

# Covered Long-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part II with Box D checked

Box 6: Gross Proceeds Box 5: Box Not Checked (Covered Security)

Box 12: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Long-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Description of property CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1e)	Proceeds (Box 1d)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Loss Wash Sale Disallowed (Box 1h)	Gain/Loss Amount Additional Information
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## \*\*PIMCO TOTAL RETURN FUND

INSTL CL  
 CUSIP: 683390700

### Subtotal

## \*\*ROWE T PRICE NEW INCOME FD

INC CL I  
 CUSIP: 778670407

### Subtotal

## \*\*SIX CIRCLES TR ULTRA SHORT DURATION FD

CUSIP: 83002G108

## \*\*SIX CIRCLES TR U S UNCONSTRAINED EQUITY FD

CUSIP: 83002G306

## VANGUARD TOTAL INTERNATIONAL

BOND ETF  
 CUSIP: 92203J407

480,71100			\$4,920.12	\$4,616.61	\$0.00	\$0.00	\$309.31
598,98607	03/12/2014	11/04/2020	\$5,951.96	\$5,647.42	\$0.00	\$0.00	\$304.44 A
2,96017	01/02/2015	11/04/2020	\$29.36	\$27.87	\$0.00	\$0.00	\$1.49 A
2,68089	02/02/2015	11/04/2020	\$28.69	\$25.32	\$0.00	\$0.00	\$1.37 A
2,68989	03/02/2015	11/04/2020	\$28.72	\$25.35	\$0.00	\$0.00	\$1.37 A
2,67086	04/01/2015	11/04/2020	\$26.49	\$25.13	\$0.00	\$0.00	\$1.36 A
3,09432	05/01/2015	11/04/2020	\$30.70	\$29.13	\$0.00	\$0.00	\$1.57 A
3,19643	06/01/2015	11/04/2020	\$31.71	\$30.09	\$0.00	\$0.00	\$1.62 A
3,16139	07/01/2015	11/04/2020	\$31.36	\$29.76	\$0.00	\$0.00	\$1.60 A
3,46972	08/03/2015	11/04/2020	\$34.36	\$32.61	\$0.00	\$0.00	\$1.75 A
3,08130	09/01/2015	11/04/2020	\$30.57	\$29.01	\$0.00	\$0.00	\$1.56 A
3,26350	10/01/2015	11/04/2020	\$32.37	\$30.72	\$0.00	\$0.00	\$1.65 A
3,28753	11/02/2015	11/04/2020	\$32.61	\$30.95	\$0.00	\$0.00	\$1.66 A
3,02024	12/01/2015	11/04/2020	\$29.96	\$28.43	\$0.00	\$0.00	\$1.53 A
3,57984	01/04/2016	11/04/2020	\$35.48	\$33.67	\$0.00	\$0.00	\$1.81 A
6,73985	01/15/2016	11/04/2020	\$68.82	\$68.38	\$0.00	\$0.00	\$3.44 A
848,88100			\$6,417.06	\$6,088.84	\$0.00	\$0.00	\$328.22
315,32000	10/19/2018	04/27/2020	\$3,134.28	\$3,156.14	\$0.00	\$0.11	(\$21.75) A
172,40800	10/19/2018	08/11/2020	\$2,106.82	\$1,736.64	\$0.00	\$0.00	\$368.18 A
48,00000	01/17/2018	08/11/2020	\$2,784.42	\$2,603.47	\$0.00	\$0.00	\$180.95
115,00000	02/12/2018	08/11/2020	\$6,671.02	\$6,368.47	\$0.00	\$0.00	\$364.55

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J.P. MORGAN SECURITIES LLC  
CH4-RM400  
P.O. BOX 183211  
COLUMBUS, OH 43218  
FOR UNDELIVERABLE MAIL ONLY

Account No:  
Account Name:  
Recipient's TIN:  
Account Executive No:  
ORIGINAL:  
12/31/2020

VICENTE GONZALEZ JR TOD

J.P.Morgan

COVERED LONG-TERM PROFITS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS CONTINUED ONE NO. 1644-0745

### Covered Long-Term Profits or Losses on Gross Proceeds

Report on Form 8949, Part II with Box D checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 12: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Long-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Description of property CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1e)	Proceeds (Box 1d)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1j)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount Additional Information
VANGUARD TOTAL INTERNATIONAL BOND ETF CUSIP: 922034407	55.00000	02/12/2019	09/22/2020	\$3,779.02	\$3,584.52	\$0.00	\$0.00	\$214.50
	98.00000	02/12/2019	11/04/2020	\$5,724.06	\$5,374.21	\$0.00	\$0.00	\$349.85
	5.00000	09/24/2019	11/04/2020	\$232.04	\$294.50	\$0.00	\$0.00	(\$62.46)
Subtotal	331.00000			\$19,250.56	\$19,143.17	\$0.00	\$0.00	\$1,107.39
VANGUARD INTL EQUITY INDEX F FTSE EUROPE ETF CUSIP: 922042874	48.00000	05/11/2017	03/19/2020	\$1,840.75	\$2,633.27	\$0.00	\$0.00	(\$792.52)

38 ITEMS - TOTAL

\$82,663.08

\$89,026.44

\$0.00

\$1.15

\$2,637.79

### FOOTNOTES

A - Position carried at Average Cost

SHORT SALE - Short sales covered in December that settle in January will be reported on your Form 1099-B in the year they are settled.

THIS IS YOUR FORM 1099-B FOR REPORTING. KEEP FOR YOUR RECORDS.  
This is important that information and is being furnished to the IRS. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**Form 1009-B (OMB NO. 1545-0715)**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1009-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1009-B to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the instructions for Form 8838.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Box 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "R-F-C" or other appropriate description may be shown. For Section 1256 option contracts, Section 1256 option or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Box 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale. For the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Box 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Box 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stock, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the instructions for Schedule D (Form 1040 or 1040-SR).

**Box 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the instructions for Form 8949, the instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions. The instructions for Form 8949, and Pub. 550, if box 5 is checked, box 1f may be blank.

**Box 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions. The instructions for Form 8949, and Pub. 550, if box 5 is checked, box 1g may be blank.

**Box 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Box 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Box 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Box 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "Net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Box 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Box 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1009-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Box 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Boxes 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1009-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1009B](http://www.irs.gov/Form1009B).

**Form 1009-B (OMB NO. 1545-0715)**

**Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)**

**Box 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2020.

**Box 9.** Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2019.

**Box 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2020. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts-12/31/2020 in 2021.

**Box 11.** Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2020 Form 9781.

J.P. MORGAN SECURITIES LLC  
CH4-PM00  
P.O. BOX 183211  
COLUMBUS, OH 43218  
FOR UNDELIVERABLE MAIL ONLY

Account No:  
Account Name:  
Recipient's TIN:  
Account Executive No:  
ORIGINAL: 12/31/2020

VICENTE GONZALEZ JR TOD  
S&K

# DETAILS OF 2020 IRS REPORTABLE INFORMATION

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional Information
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03/30/20	DODGE & COX INCOME FUND	256210105	NONQUALIFIED DIVIDEND	\$118.27	-	-	
03/30/20	DODGE & COX INCOME FUND	256210105	SHORT TERM GAIN	\$3.55	-	-	
06/29/20	DODGE & COX INCOME FUND	256210105	NONQUALIFIED DIVIDEND	\$69.01	-	-	
09/29/20	DODGE & COX INCOME FUND	256210105	NONQUALIFIED DIVIDEND	\$17.71	-	-	

## Subtotal

\$208.54

06/01/20	FEDERATED HRMS INSTL HYD BD CL	314208847	NONQUALIFIED DIVIDEND	\$2.51	-	-	
07/01/20	FEDERATED HRMS INSTL HYD BD CL	314208847	NONQUALIFIED DIVIDEND	\$26.02	-	-	
08/03/20	FEDERATED HRMS INSTL HYD BD CL	314208847	NONQUALIFIED DIVIDEND	\$26.32	-	-	
09/01/20	FEDERATED HRMS INSTL HYD BD CL	314208847	NONQUALIFIED DIVIDEND	\$26.16	-	-	
10/01/20	FEDERATED HRMS INSTL HYD BD CL	314208847	NONQUALIFIED DIVIDEND	\$26.10	-	-	
11/02/20	FEDERATED HRMS INSTL HYD BD CL	314208847	NONQUALIFIED DIVIDEND	\$25.78	-	-	
12/01/20	FEDERATED HRMS INSTL HYD BD CL	314208847	NONQUALIFIED DIVIDEND	\$26.69	-	-	
12/31/20	FEDERATED HRMS INSTL HYD BD CL	314208847	NONQUALIFIED DIVIDEND	\$27.00	-	-	

## Subtotal

\$168.68

04/09/20	FIDELITY SALEM STR TR FIDELITY 5	315911750	NONQUALIFIED DIVIDEND	\$0.01	-	-	
10/05/20	FIDELITY SALEM STR TR FIDELITY 5	315911750	NONQUALIFIED DIVIDEND	\$0.09	-	-	
12/14/20	FIDELITY SALEM STR TR FIDELITY 5	315911750	NONQUALIFIED DIVIDEND	\$0.11	-	-	

## Subtotal

\$0.21

02/07/20	ISHARES TIPS BOND ETF	464287176	NONQUALIFIED DIVIDEND	\$3.30	-	-	
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02/07/20	ISHARES 20 PLUS YEAR TREASURY BOND	464287432	NONQUALIFIED DIVIDEND	\$5.08	-	-	
03/06/20	ISHARES 20 PLUS YEAR TREASURY BOND	464287432	NONQUALIFIED DIVIDEND	\$4.81	-	-	
04/07/20	ISHARES 20 PLUS YEAR TREASURY BOND	464287432	NONQUALIFIED DIVIDEND	\$5.00	-	-	
05/07/20	ISHARES 20 PLUS YEAR TREASURY BOND	464287432	NONQUALIFIED DIVIDEND	\$4.54	-	-	
06/05/20	ISHARES 20 PLUS YEAR TREASURY BOND	464287432	NONQUALIFIED DIVIDEND	\$4.41	-	-	
07/08/20	ISHARES 20 PLUS YEAR TREASURY BOND	464287432	NONQUALIFIED DIVIDEND	\$4.02	-	-	
08/07/20	ISHARES 20 PLUS YEAR TREASURY BOND	464287432	NONQUALIFIED DIVIDEND	\$3.99	-	-	
09/08/20	ISHARES 20 PLUS YEAR TREASURY BOND	464287432	NONQUALIFIED DIVIDEND	\$3.29	-	-	
10/07/20	ISHARES 20 PLUS YEAR TREASURY BOND	464287432	NONQUALIFIED DIVIDEND	\$6.42	-	-	

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J.P. MORGAN SECURITIES LLC  
OH-RA40  
P.O. BOX 183211  
COLUMBUS, OH 43218  
FOR UNDELIVERABLE MAIL ONLY

Account No:  
Account Name:  
Recipient's TIN:  
Account Executive No:  
ORIGINAL:  
12/31/2020

VICENTE GONZALEZ JR TOD

J.P.Morgan

Date	Security	Description	CUSIP	Transaction	Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional Information
11/06/20	ISHSARES 20 PLUS YEAR TREASURY BOND		464287432	NONQUALIFIED DIVIDEND		\$10.00	-	-	
12/07/20	ISHSARES 20 PLUS YEAR TREASURY BOND		464287432	NONQUALIFIED DIVIDEND		\$9.62	-	-	
12/23/20	ISHSARES 20 PLUS YEAR TREASURY BOND		464287432	NONQUALIFIED DIVIDEND		\$9.67	-	-	
Subtotals						\$70.85			

02/07/20	ISHSARES TRUST ISHSARES 7 10 YEAR TR		464287440	NONQUALIFIED DIVIDEND		\$12.26	-	-	
03/06/20	ISHSARES TRUST ISHSARES 7 10 YEAR TR		464287440	NONQUALIFIED DIVIDEND		\$11.22	-	-	
04/07/20	ISHSARES TRUST ISHSARES 7 10 YEAR TR		464287440	NONQUALIFIED DIVIDEND		\$11.52	-	-	
05/07/20	ISHSARES TRUST ISHSARES 7 10 YEAR TR		464287440	NONQUALIFIED DIVIDEND		\$10.24	-	-	
06/05/20	ISHSARES TRUST ISHSARES 7 10 YEAR TR		464287440	NONQUALIFIED DIVIDEND		\$9.78	-	-	
07/08/20	ISHSARES TRUST ISHSARES 7 10 YEAR TR		464287440	NONQUALIFIED DIVIDEND		\$9.80	-	-	
08/07/20	ISHSARES TRUST ISHSARES 7 10 YEAR TR		464287440	NONQUALIFIED DIVIDEND		\$7.89	-	-	
09/08/20	ISHSARES TRUST ISHSARES 7 10 YEAR TR		464287440	NONQUALIFIED DIVIDEND		\$7.04	-	-	
10/07/20	ISHSARES TRUST ISHSARES 7 10 YEAR TR		464287440	NONQUALIFIED DIVIDEND		\$3.96	-	-	
11/06/20	ISHSARES TRUST ISHSARES 7 10 YEAR TR		464287440	NONQUALIFIED DIVIDEND		\$1.97	-	-	
Subtotals						\$84.78			

02/07/20	ISHSARES TRUST ISHSARES MBS ETF		464288568	NONQUALIFIED DIVIDEND		\$14.34	-	-	
03/08/20	ISHSARES TRUST ISHSARES MBS ETF		464288568	NONQUALIFIED DIVIDEND		\$13.19	-	-	
04/07/20	ISHSARES TRUST ISHSARES MBS ETF		464288568	NONQUALIFIED DIVIDEND		\$12.96	-	-	
05/07/20	ISHSARES TRUST ISHSARES MBS ETF		464288568	NONQUALIFIED DIVIDEND		\$12.21	-	-	
06/05/20	ISHSARES TRUST ISHSARES MBS ETF		464288568	NONQUALIFIED DIVIDEND		\$11.24	-	-	
07/08/20	ISHSARES TRUST ISHSARES MBS ETF		464288568	NONQUALIFIED DIVIDEND		\$11.15	-	-	
08/07/20	ISHSARES TRUST ISHSARES MBS ETF		464288568	NONQUALIFIED DIVIDEND		\$11.19	-	-	
09/08/20	ISHSARES TRUST ISHSARES MBS ETF		464288568	NONQUALIFIED DIVIDEND		\$10.76	-	-	
10/07/20	ISHSARES TRUST ISHSARES MBS ETF		464288568	NONQUALIFIED DIVIDEND		\$10.70	-	-	
11/06/20	ISHSARES TRUST ISHSARES MBS ETF		464288568	NONQUALIFIED DIVIDEND		\$10.70	-	-	
12/07/20	ISHSARES TRUST ISHSARES MBS ETF		464288568	NONQUALIFIED DIVIDEND		\$11.17	-	-	
Subtotals						\$129.61			

12/18/20	ISHSARES CORE MSCI EMERGING MARKETS		464343103	NONQUALIFIED DIVIDEND		\$20.31	\$2.30	-	Box 8: VARIOUS
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ORIGINAL: 12/31/2020

VICENTE GONZALEZ JR TOD

J.P.Morgan

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional Information
03/27/20 J P MORGAN EXCHANGE TRADED FD TR B		466410696	NONQUALIFIED DIVIDEND	\$0.72	\$0.09	-	Box 8: VARIOUS
06/26/20 J P MORGAN EXCHANGE TRADED FD TR B		466410696	NONQUALIFIED DIVIDEND	\$1.00	\$0.13	-	Box 8: VARIOUS
09/25/20 J P MORGAN EXCHANGE TRADED FD TR B		466410696	NONQUALIFIED DIVIDEND	\$0.93	\$0.12	-	Box 8: VARIOUS
12/28/20 J P MORGAN EXCHANGE TRADED FD TR B		466410696	NONQUALIFIED DIVIDEND	\$1.38	\$0.18	-	Box 8: VARIOUS

Subtotals

06/26/20 J P MORGAN EXCHANGE TRADED FD TR B	466410720	NONQUALIFIED DIVIDEND	\$1.89	\$0.11	-	Box 8: VARIOUS
09/25/20 J P MORGAN EXCHANGE TRADED FD TR B	466410720	NONQUALIFIED DIVIDEND	\$2.56	\$0.17	-	Box 8: VARIOUS
12/28/20 J P MORGAN EXCHANGE TRADED FD TR B	466410720	NONQUALIFIED DIVIDEND	\$3.27	\$0.22	-	Box 8: VARIOUS

Subtotals

01/30/20 J P MORGAN TR II CORE BD FD ULTRA	481200100	NONQUALIFIED DIVIDEND	\$45.06	-	-	-
02/27/20 J P MORGAN TR II CORE BD FD ULTRA	481200100	NONQUALIFIED DIVIDEND	\$45.17	-	-	-
03/30/20 J P MORGAN TR II CORE BD FD ULTRA	481200100	NONQUALIFIED DIVIDEND	\$49.80	-	-	-
04/29/20 J P MORGAN TR II CORE BD FD ULTRA	481200100	NONQUALIFIED DIVIDEND	\$54.08	-	-	-
05/28/20 J P MORGAN TR II CORE BD FD ULTRA	481200100	NONQUALIFIED DIVIDEND	\$47.54	-	-	-
06/28/20 J P MORGAN TR II CORE BD FD ULTRA	481200100	NONQUALIFIED DIVIDEND	\$48.55	-	-	-
07/30/20 J P MORGAN TR II CORE BD FD ULTRA	481200100	NONQUALIFIED DIVIDEND	\$47.19	-	-	-
08/28/20 J P MORGAN TR II CORE BD FD ULTRA	481200100	NONQUALIFIED DIVIDEND	\$45.21	-	-	-
09/29/20 J P MORGAN TR II CORE BD FD ULTRA	481200100	NONQUALIFIED DIVIDEND	\$43.58	-	-	-
10/29/20 J P MORGAN TR II CORE BD FD ULTRA	481200100	NONQUALIFIED DIVIDEND	\$47.23	-	-	-
11/27/20 J P MORGAN TR II CORE BD FD ULTRA	481200100	NONQUALIFIED DIVIDEND	\$43.95	-	-	-
12/14/20 J P MORGAN TR II CORE BD FD ULTRA	481200100	SHORT TERM GAIN	\$79.32	-	-	-
12/30/20 J P MORGAN TR II CORE BD FD ULTRA	481200100	NONQUALIFIED DIVIDEND	\$47.01	-	-	-

Subtotals

04/30/20 J P MORGAN TR II CORE BD FD ULTRA	560631881	NONQUALIFIED DIVIDEND	\$41.06	-	-	-
05/29/20 J P MORGAN TR II CORE BD FD ULTRA	560631881	NONQUALIFIED DIVIDEND	\$53.75	-	-	-
06/30/20 J P MORGAN TR II CORE BD FD ULTRA	560631881	NONQUALIFIED DIVIDEND	\$54.06	-	-	-
07/31/20 J P MORGAN TR II CORE BD FD ULTRA	560631881	NONQUALIFIED DIVIDEND	\$54.34	-	-	-
08/31/20 J P MORGAN TR II CORE BD FD ULTRA	560631881	NONQUALIFIED DIVIDEND	\$54.62	-	-	-
09/30/20 J P MORGAN TR II CORE BD FD ULTRA	560631881	NONQUALIFIED DIVIDEND	\$54.90	-	-	-

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J.P. MORGAN SECURITIES LLC  
CH4-FM60  
P.O. BOX 183211  
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Account No:  
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Account Executive No:  
ORIGINAL: 12/31/2020

VICENTE GONZALEZ JR TOD  
J.P.Morgan

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional Information
10/30/20	MAINSTAY FDS MACKAY HGH YLD CORP	56063N861	NONQUALIFIED DIVIDEND	\$55.19	-	-	
11/30/20	MAINSTAY FDS MACKAY HGH YLD CORP	56063N861	NONQUALIFIED DIVIDEND	\$55.80	-	-	
12/17/20	MAINSTAY FDS MACKAY HGH YLD CORP	56063N861	NONQUALIFIED DIVIDEND	\$56.06	-	-	
Subtotals				\$479.77			
02/03/20	PIMCO TOTAL RETURN FUND INSTL CL	683390700	NONQUALIFIED DIVIDEND	\$19.07	-	-	
03/02/20	PIMCO TOTAL RETURN FUND INSTL CL	683390700	NONQUALIFIED DIVIDEND	\$17.29	-	-	
04/01/20	PIMCO TOTAL RETURN FUND INSTL CL	683390700	NONQUALIFIED DIVIDEND	\$19.59	-	-	
05/01/20	PIMCO TOTAL RETURN FUND INSTL CL	683390700	NONQUALIFIED DIVIDEND	\$16.36	-	-	
06/01/20	PIMCO TOTAL RETURN FUND INSTL CL	683390700	NONQUALIFIED DIVIDEND	\$16.26	-	-	
07/01/20	PIMCO TOTAL RETURN FUND INSTL CL	683390700	NONQUALIFIED DIVIDEND	\$16.03	-	-	
08/03/20	PIMCO TOTAL RETURN FUND INSTL CL	683390700	NONQUALIFIED DIVIDEND	\$16.29	-	-	
09/01/20	PIMCO TOTAL RETURN FUND INSTL CL	683390700	NONQUALIFIED DIVIDEND	\$11.97	-	-	
10/01/20	PIMCO TOTAL RETURN FUND INSTL CL	683390700	NONQUALIFIED DIVIDEND	\$11.29	-	-	
11/02/20	PIMCO TOTAL RETURN FUND INSTL CL	683390700	NONQUALIFIED DIVIDEND	\$10.63	-	-	
12/01/20	PIMCO TOTAL RETURN FUND INSTL CL	683390700	NONQUALIFIED DIVIDEND	\$5.49	-	-	
12/10/20	PIMCO TOTAL RETURN FUND INSTL CL	683390700	SHORT TERM GAIN	\$81.41	-	-	
12/31/20	PIMCO TOTAL RETURN FUND INSTL CL	683390700	NONQUALIFIED DIVIDEND	\$8.37	-	-	
Subtotals				\$248.05			
01/31/20	PIMCO INVESTMENT GRADE CREDIT BO	722005616	NONQUALIFIED DIVIDEND	\$20.36	-	-	
02/28/20	PIMCO INVESTMENT GRADE CREDIT BO	722005616	NONQUALIFIED DIVIDEND	\$18.87	-	-	
03/31/20	PIMCO INVESTMENT GRADE CREDIT BO	722005616	NONQUALIFIED DIVIDEND	\$20.05	-	-	
04/30/20	PIMCO INVESTMENT GRADE CREDIT BO	722005616	NONQUALIFIED DIVIDEND	\$17.98	-	-	
05/29/20	PIMCO INVESTMENT GRADE CREDIT BO	722005616	NONQUALIFIED DIVIDEND	\$16.56	-	-	
06/30/20	PIMCO INVESTMENT GRADE CREDIT BO	722005616	NONQUALIFIED DIVIDEND	\$16.95	-	-	
07/31/20	PIMCO INVESTMENT GRADE CREDIT BO	722005616	NONQUALIFIED DIVIDEND	\$18.33	-	-	
08/31/20	PIMCO INVESTMENT GRADE CREDIT BO	722005616	NONQUALIFIED DIVIDEND	\$16.19	-	-	
09/30/20	PIMCO INVESTMENT GRADE CREDIT BO	722005616	NONQUALIFIED DIVIDEND	\$16.93	-	-	
10/30/20	PIMCO INVESTMENT GRADE CREDIT BO	722005616	NONQUALIFIED DIVIDEND	\$18.44	-	-	
11/30/20	PIMCO INVESTMENT GRADE CREDIT BO	722005616	NONQUALIFIED DIVIDEND	\$16.05	-	-	
12/29/20	PIMCO INVESTMENT GRADE CREDIT BO	722005616	NONQUALIFIED DIVIDEND	\$0.34	-	-	

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VICENTE GONZALEZ JR TOD  
S&K  
J.P.Morgan

DETAILS OF FORM 1099-DIV CONTINUED

Date	Security	Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional Information
12/31/20	PIMCO INVESTMENT GRADE CREDIT BO		722008616	NONQUALIFIED DIVIDEND	\$18.93	-	-	
Subtotals					\$214.98			

02/03/20	ROWE T PRICE NEW INCOME FD INC C		776570407	NONQUALIFIED DIVIDEND	\$56.69	-	-	
03/02/20	ROWE T PRICE NEW INCOME FD INC C		776570407	NONQUALIFIED DIVIDEND	\$50.70	-	-	
04/01/20	ROWE T PRICE NEW INCOME FD INC C		776570407	NONQUALIFIED DIVIDEND	\$52.94	-	-	
05/01/20	ROWE T PRICE NEW INCOME FD INC C		776570407	NONQUALIFIED DIVIDEND	\$51.86	-	-	
06/01/20	ROWE T PRICE NEW INCOME FD INC C		776570407	NONQUALIFIED DIVIDEND	\$51.97	-	-	
07/01/20	ROWE T PRICE NEW INCOME FD INC C		776570407	NONQUALIFIED DIVIDEND	\$49.44	-	-	
08/03/20	ROWE T PRICE NEW INCOME FD INC C		776570407	NONQUALIFIED DIVIDEND	\$52.71	-	-	
09/01/20	ROWE T PRICE NEW INCOME FD INC C		776570407	NONQUALIFIED DIVIDEND	\$44.38	-	-	
10/01/20	ROWE T PRICE NEW INCOME FD INC C		776570407	NONQUALIFIED DIVIDEND	\$44.28	-	-	
11/02/20	ROWE T PRICE NEW INCOME FD INC C		776570407	NONQUALIFIED DIVIDEND	\$46.02	-	-	
12/01/20	ROWE T PRICE NEW INCOME FD INC C		776570407	NONQUALIFIED DIVIDEND	\$30.94	-	-	
12/09/20	ROWE T PRICE NEW INCOME FD INC C		776570407	SHORT TERM GAIN	\$34.74	-	-	
12/31/20	ROWE T PRICE NEW INCOME FD INC C		776570407	NONQUALIFIED DIVIDEND	\$33.97	-	-	
Subtotals					\$600.65			

01/31/20	SIX CIRCLES TR ULTRA SHORT DURAT		830026108	NONQUALIFIED DIVIDEND	\$9.75	-	-	
02/28/20	SIX CIRCLES TR ULTRA SHORT DURAT		830026108	NONQUALIFIED DIVIDEND	\$9.78	-	-	
03/31/20	SIX CIRCLES TR ULTRA SHORT DURAT		830026108	NONQUALIFIED DIVIDEND	\$9.27	-	-	
04/30/20	SIX CIRCLES TR ULTRA SHORT DURAT		830026108	NONQUALIFIED DIVIDEND	\$6.26	-	-	
05/29/20	SIX CIRCLES TR ULTRA SHORT DURAT		830026108	NONQUALIFIED DIVIDEND	\$3.72	-	-	
06/30/20	SIX CIRCLES TR ULTRA SHORT DURAT		830026108	NONQUALIFIED DIVIDEND	\$2.72	-	-	
07/31/20	SIX CIRCLES TR ULTRA SHORT DURAT		830026108	NONQUALIFIED DIVIDEND	\$3.12	-	-	
08/31/20	SIX CIRCLES TR ULTRA SHORT DURAT		830026108	NONQUALIFIED DIVIDEND	\$2.90	-	-	
09/30/20	SIX CIRCLES TR ULTRA SHORT DURAT		830026108	NONQUALIFIED DIVIDEND	\$2.78	-	-	
10/30/20	SIX CIRCLES TR ULTRA SHORT DURAT		830026108	NONQUALIFIED DIVIDEND	\$2.89	-	-	
11/30/20	SIX CIRCLES TR ULTRA SHORT DURAT		830026108	NONQUALIFIED DIVIDEND	\$2.46	-	-	
12/31/20	SIX CIRCLES TR ULTRA SHORT DURAT		830026108	NONQUALIFIED DIVIDEND	\$6.95	-	-	
Subtotals					\$62.58			

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J.P. MORGAN SECURITIES LLC  
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S&K  
ORIGINAL: 12/31/2020

VICENTE GONZALEZ JR TOD

J.P.Morgan

Date	Security Description	CHSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional Information
12/16/20	SIX CIRCLES TR U S UNCONSTRAINED	83002G306	NONQUALIFIED DIVIDEND	\$132.47	-	-	-
12/16/20	SIX CIRCLES TR U S UNCONSTRAINED	83002G306	SHORT TERM GAIN	\$95.10	-	-	-
Subtotals				\$227.57			
08/31/20	SIX CIRCLES TR GLOBAL BD FD	83002G702	NONQUALIFIED DIVIDEND	\$2.83	-	-	-
08/30/20	SIX CIRCLES TR GLOBAL BD FD	83002G702	NONQUALIFIED DIVIDEND	\$13.52	-	-	-
10/30/20	SIX CIRCLES TR GLOBAL BD FD	83002G702	NONQUALIFIED DIVIDEND	\$14.67	-	-	-
11/30/20	SIX CIRCLES TR GLOBAL BD FD	83002G702	NONQUALIFIED DIVIDEND	\$26.00	-	-	-
12/31/20	SIX CIRCLES TR GLOBAL BD FD	83002G702	NONQUALIFIED DIVIDEND	\$100.39	-	-	-
Subtotals				\$157.41			

02/03/20	VANGUARD CHARLOTTE FDS TOTAL INT	92203J308	NONQUALIFIED DIVIDEND	\$38.85	\$0.67	-	Box 8: VARIOUS
03/02/20	VANGUARD CHARLOTTE FDS TOTAL INT	92203J308	NONQUALIFIED DIVIDEND	\$38.86	\$0.67	-	Box 8: VARIOUS
04/01/20	VANGUARD CHARLOTTE FDS TOTAL INT	92203J308	NONQUALIFIED DIVIDEND	\$44.33	\$0.76	-	Box 8: VARIOUS
05/01/20	VANGUARD CHARLOTTE FDS TOTAL INT	92203J308	NONQUALIFIED DIVIDEND	\$40.75	\$0.70	-	Box 8: VARIOUS
06/01/20	VANGUARD CHARLOTTE FDS TOTAL INT	92203J308	NONQUALIFIED DIVIDEND	\$41.92	\$0.72	-	Box 8: VARIOUS
07/01/20	VANGUARD CHARLOTTE FDS TOTAL INT	92203J308	NONQUALIFIED DIVIDEND	\$41.50	\$0.72	-	Box 8: VARIOUS
08/03/20	VANGUARD CHARLOTTE FDS TOTAL INT	92203J308	NONQUALIFIED DIVIDEND	\$41.76	\$0.72	-	Box 8: VARIOUS
09/01/20	VANGUARD CHARLOTTE FDS TOTAL INT	92203J308	NONQUALIFIED DIVIDEND	\$41.11	\$0.71	-	Box 8: VARIOUS
10/01/20	VANGUARD CHARLOTTE FDS TOTAL INT	92203J308	NONQUALIFIED DIVIDEND	\$40.46	\$0.70	-	Box 8: VARIOUS
11/02/20	VANGUARD CHARLOTTE FDS TOTAL INT	92203J308	NONQUALIFIED DIVIDEND	\$41.63	\$0.72	-	Box 8: VARIOUS
12/01/20	VANGUARD CHARLOTTE FDS TOTAL INT	92203J308	NONQUALIFIED DIVIDEND	\$40.07	\$0.69	-	Box 8: VARIOUS
12/28/20	VANGUARD CHARLOTTE FDS TOTAL INT	92203J308	NONQUALIFIED DIVIDEND	\$41.01	\$0.71	-	Box 8: VARIOUS
Subtotals				\$492.05			

02/06/20	VANGUARD TOTAL INTERNATIONAL BOND	92203J407	NONQUALIFIED DIVIDEND	\$27.75	\$0.48	-	Box 8: VARIOUS
03/05/20	VANGUARD TOTAL INTERNATIONAL BOND	92203J407	NONQUALIFIED DIVIDEND	\$27.13	\$0.47	-	Box 8: VARIOUS
04/06/20	VANGUARD TOTAL INTERNATIONAL BOND	92203J407	NONQUALIFIED DIVIDEND	\$36.64	\$0.63	-	Box 8: VARIOUS
05/06/20	VANGUARD TOTAL INTERNATIONAL BOND	92203J407	NONQUALIFIED DIVIDEND	\$33.73	\$0.58	-	Box 8: VARIOUS
06/04/20	VANGUARD TOTAL INTERNATIONAL BOND	92203J407	NONQUALIFIED DIVIDEND	\$34.46	\$0.59	-	Box 8: VARIOUS
07/07/20	VANGUARD TOTAL INTERNATIONAL BOND	92203J407	NONQUALIFIED DIVIDEND	\$34.24	\$0.59	-	Box 8: VARIOUS
08/06/20	VANGUARD TOTAL INTERNATIONAL BOND	92203J407	NONQUALIFIED DIVIDEND	\$34.46	\$0.59	-	Box 8: VARIOUS

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VICENTE GONZALEZ JR TOD

J.P.Morgan

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional Information
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09/04/20	VANGUARD TOTAL INTERNATIONAL BOND	92203J407	NONQUALIFIED DIVIDEND	\$26.14	\$0.45	-	Box 8: VARIOUS
10/08/20	VANGUARD TOTAL INTERNATIONAL BOND	92203J407	NONQUALIFIED DIVIDEND	\$22.75	\$0.39	-	Box 8: VARIOUS
11/05/20	VANGUARD TOTAL INTERNATIONAL BOND	92203J407	NONQUALIFIED DIVIDEND	\$23.20	\$0.40	-	Box 8: VARIOUS
12/04/20	VANGUARD TOTAL INTERNATIONAL BOND	92203J407	NONQUALIFIED DIVIDEND	\$17.57	\$0.30	-	Box 8: VARIOUS
12/29/20	VANGUARD TOTAL INTERNATIONAL BOND	92203J407	NONQUALIFIED DIVIDEND	\$17.92	\$0.31	-	Box 8: VARIOUS
Subtotals				\$336.99			

Total Non-Qualified Dividends (Included in Box 1a)

\$4,178.27

04/09/20	FIDELITY SALEM STR TR FIDELITY 5	315911750	QUALIFIED DIVIDEND	\$9.38	-	-	
10/05/20	FIDELITY SALEM STR TR FIDELITY 5	315911750	QUALIFIED DIVIDEND	\$18.15	-	-	
12/14/20	FIDELITY SALEM STR TR FIDELITY 5	315911750	QUALIFIED DIVIDEND	\$23.33	-	-	
Subtotals				\$50.86			

12/18/20	ISHARES CORE MSCI EMERGING MARKETS	46434G103	QUALIFIED DIVIDEND	\$26.20	\$2.97	-	Box 8: VARIOUS
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03/27/20	P MORGAN EXCHANGE TRADED FD TR B	46641Q696	QUALIFIED DIVIDEND	\$14.90	\$1.94	-	Box 8: VARIOUS
06/26/20	P MORGAN EXCHANGE TRADED FD TR B	46641Q696	QUALIFIED DIVIDEND	\$20.73	\$2.69	-	Box 8: VARIOUS
09/25/20	P MORGAN EXCHANGE TRADED FD TR B	46641Q696	QUALIFIED DIVIDEND	\$19.30	\$2.51	-	Box 8: VARIOUS
12/29/20	P MORGAN EXCHANGE TRADED FD TR B	46641Q696	QUALIFIED DIVIDEND	\$28.63	\$3.72	-	Box 8: VARIOUS
Subtotals				\$83.56			

12/29/20	P MORGAN EXCHANGE TRADED FD TR B	46641Q712	QUALIFIED DIVIDEND	\$89.21	\$9.65	-	Box 8: VARIOUS
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06/26/20	P MORGAN EXCHANGE TRADED FD TR B	46641Q720	QUALIFIED DIVIDEND	\$11.65	\$0.77	-	Box 8: VARIOUS
09/25/20	P MORGAN EXCHANGE TRADED FD TR B	46641Q720	QUALIFIED DIVIDEND	\$17.60	\$1.17	-	Box 8: VARIOUS
12/29/20	P MORGAN EXCHANGE TRADED FD TR B	46641Q720	QUALIFIED DIVIDEND	\$22.50	\$1.49	-	Box 8: VARIOUS
Subtotals				\$51.75			

04/30/20	MAINSTAY FDS MACKAY HIGH YLD CORP	56063N881	QUALIFIED DIVIDEND	\$2.27	-	-	
05/29/20	MAINSTAY FDS MACKAY HIGH YLD CORP	56063N881	QUALIFIED DIVIDEND	\$2.97	-	-	

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Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional Information
06/30/20	MAINSTAY FDS MACKAY HIGH YLD CORP	56063N881	QUALIFIED DIVIDEND	\$2.96	-	-	
07/31/20	MAINSTAY FDS MACKAY HIGH YLD CORP	56063N881	QUALIFIED DIVIDEND	\$2.89	-	-	
08/31/20	MAINSTAY FDS MACKAY HIGH YLD CORP	56063N881	QUALIFIED DIVIDEND	\$3.01	-	-	
09/30/20	MAINSTAY FDS MACKAY HIGH YLD CORP	56063N881	QUALIFIED DIVIDEND	\$3.03	-	-	
10/30/20	MAINSTAY FDS MACKAY HIGH YLD CORP	56063N881	QUALIFIED DIVIDEND	\$3.04	-	-	
11/30/20	MAINSTAY FDS MACKAY HIGH YLD CORP	56063N881	QUALIFIED DIVIDEND	\$3.06	-	-	
12/17/20	MAINSTAY FDS MACKAY HIGH YLD CORP	56063N881	QUALIFIED DIVIDEND	\$3.09	-	-	
<b>Subtotals</b>				<b>\$26.46</b>			
01/31/20	PIMCO INVESTMENT GRADE CREDIT BO	722005816	QUALIFIED DIVIDEND	\$0.23	-	-	
02/28/20	PIMCO INVESTMENT GRADE CREDIT BO	722005816	QUALIFIED DIVIDEND	\$0.21	-	-	
03/31/20	PIMCO INVESTMENT GRADE CREDIT BO	722005816	QUALIFIED DIVIDEND	\$0.23	-	-	
04/30/20	PIMCO INVESTMENT GRADE CREDIT BO	722005816	QUALIFIED DIVIDEND	\$0.20	-	-	
05/29/20	PIMCO INVESTMENT GRADE CREDIT BO	722005816	QUALIFIED DIVIDEND	\$0.19	-	-	
06/30/20	PIMCO INVESTMENT GRADE CREDIT BO	722005816	QUALIFIED DIVIDEND	\$0.16	-	-	
07/31/20	PIMCO INVESTMENT GRADE CREDIT BO	722005816	QUALIFIED DIVIDEND	\$0.21	-	-	
08/31/20	PIMCO INVESTMENT GRADE CREDIT BO	722005816	QUALIFIED DIVIDEND	\$0.18	-	-	
09/30/20	PIMCO INVESTMENT GRADE CREDIT BO	722005816	QUALIFIED DIVIDEND	\$0.19	-	-	
10/30/20	PIMCO INVESTMENT GRADE CREDIT BO	722005816	QUALIFIED DIVIDEND	\$0.21	-	-	
11/30/20	PIMCO INVESTMENT GRADE CREDIT BO	722005816	QUALIFIED DIVIDEND	\$0.16	-	-	
12/31/20	PIMCO INVESTMENT GRADE CREDIT BO	722005816	QUALIFIED DIVIDEND	\$0.21	-	-	
<b>Subtotals</b>				<b>\$2.42</b>			
04/30/20	SPDR S&P 500 ETF TRUST	78462F103	QUALIFIED DIVIDEND	\$171.46	-	-	
07/31/20	SPDR S&P 500 ETF TRUST	78462F103	QUALIFIED DIVIDEND	\$166.68	-	-	
10/30/20	SPDR S&P 500 ETF TRUST	78462F103	QUALIFIED DIVIDEND	\$163.39	-	-	
12/21/20	SPDR S&P 500 ETF TRUST	78462F103	QUALIFIED DIVIDEND	\$192.76	-	-	
<b>Subtotals</b>				<b>\$694.31</b>			
12/18/20	SIX CIRCLES TR U S UNCONSTRAINED	83002G306	QUAL SHORT TERM GAIN	\$132.05	-	-	
12/18/20	SIX CIRCLES TR U S UNCONSTRAINED	83002G306	QUALIFIED DIVIDEND	\$183.91	-	-	
<b>Subtotals</b>				<b>\$315.96</b>			

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J.P. MORGAN SECURITIES LLC  
CH4-RM00  
P.O. BOX 183211  
COLUMBUS, OH 43218  
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VICENTE GONZALEZ JR TOD  
S&K

DETAILS OF 2020 FORM 1099-DIV, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional Information
12/18/20	SIX CIRCLES TR INTL UNCONSTRAINED	83002G405	QUALIFIED DIVIDEND	\$236.09	\$28.84	-	Box 8: VARIOUS
03/10/20	VANGUARD INDEX TR VANGUARD 500 I	922808710	QUALIFIED DIVIDEND	\$64.80	-	-	
06/28/20	VANGUARD INDEX TR VANGUARD 500 I	922808710	QUALIFIED DIVIDEND	\$79.33	-	-	
08/29/20	VANGUARD INDEX TR VANGUARD 500 I	922808710	QUALIFIED DIVIDEND	\$72.82	-	-	
12/22/20	VANGUARD INDEX TR VANGUARD 500 I	922808710	QUALIFIED DIVIDEND	\$77.36	-	-	
Subtotals				\$284.41			

Total Qualified Dividends (Box 1b Included in Box 1a)

\$1,871.23

04/09/20	FIDELITY SALEM STR TR FIDELITY 5	316911750	SECTION 199A DIVIDEND	\$0.15	-	-	
10/05/20	FIDELITY SALEM STR TR FIDELITY 5	316911750	SECTION 199A DIVIDEND	\$1.14	-	-	
12/14/20	FIDELITY SALEM STR TR FIDELITY 5	316911750	SECTION 199A DIVIDEND	\$1.47	-	-	
Subtotals				\$2.76			

Total Section 199A Dividends (Box 5 Included in Box 1a)

\$2.76

Total Ordinary Dividends (Box 1a)

\$4,052.28

03/30/20	DODGE & COX INCOME FUND	256210105	LONG TERM GAIN	\$31.93	-	-	
12/14/20	JPMORGAN TR II CORE BD FD ULTRA	461200100	LONG TERM GAIN	\$283.40	-	-	
12/10/20	PIMCO TOTAL RETURN FUND INSTL CL	683990700	LONG TERM GAIN	\$28.27	-	-	
12/10/20	PIMCO INVESTMENT GRADE CREDIT BO	722005816	LONG TERM GAIN	\$25.21	-	-	
12/09/20	ROWE T PRICE NEW INCOME FD INC C	775570407	LONG TERM GAIN	\$121.58	-	-	
12/18/20	SIX CIRCLES TR U S UNCONSTRAINED	83002G306	LONG TERM GAIN	\$590.50	-	-	

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Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional Information
12/18/20	SIX CIRCLES TR INTL UNCONSTRAINE	83002G405	LONG TERM GAIN	\$51.86	-	-	
12/23/20	VANGUARD CHARLOTTE FDS TOTAL INT	92203L306	LONG TERM GAIN	\$80.16	-	-	
12/29/20	VANGUARD TOTAL INTERNATIONAL BOND	92203L407	LONG TERM GAIN	\$34.15	-	-	
Total Capital Gains Distributions (Included in Box 2a)				\$1,257.15			
Total Capital Gains (Box 2a)				\$1,257.15			
04/30/20	MAINSTAY FDS MACKAY HIGH YLD CORP	56063N881	RETURN OF CAPITAL	\$5.42	-	-	
05/29/20	MAINSTAY FDS MACKAY HIGH YLD CORP	56063N881	RETURN OF CAPITAL	\$7.10	-	-	
06/30/20	MAINSTAY FDS MACKAY HIGH YLD CORP	56063N881	RETURN OF CAPITAL	\$7.14	-	-	
07/31/20	MAINSTAY FDS MACKAY HIGH YLD CORP	56063N881	RETURN OF CAPITAL	\$7.18	-	-	
08/31/20	MAINSTAY FDS MACKAY HIGH YLD CORP	56063N881	RETURN OF CAPITAL	\$7.22	-	-	
09/30/20	MAINSTAY FDS MACKAY HIGH YLD CORP	56063N881	RETURN OF CAPITAL	\$7.25	-	-	
10/30/20	MAINSTAY FDS MACKAY HIGH YLD CORP	56063N881	RETURN OF CAPITAL	\$7.29	-	-	
Subtotal				\$46.60			
Total Nondividend Distributions (Box 3)				\$46.60			
Total Foreign Tax Paid (Box 7)				\$73.94			

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DETAILS OF FORM 1099

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional Information
02/03/20 J P MORGAN DEPOSIT SWEEP MGD JPMOR	TAXABLE INTEREST			\$4.22	-	-	
03/02/20 J P MORGAN DEPOSIT SWEEP MGD JPMOR	TAXABLE INTEREST			\$3.45	-	-	
04/01/20 J P MORGAN DEPOSIT SWEEP MGD JPMOR	TAXABLE INTEREST			\$5.36	-	-	
05/01/20 J P MORGAN DEPOSIT SWEEP MGD JPMOR	TAXABLE INTEREST			\$2.10	-	-	
06/01/20 J P MORGAN DEPOSIT SWEEP MGD JPMOR	TAXABLE INTEREST			\$1.47	-	-	
07/01/20 J P MORGAN DEPOSIT SWEEP MGD JPMOR	TAXABLE INTEREST			\$0.44	-	-	
08/03/20 J P MORGAN DEPOSIT SWEEP MGD JPMOR	TAXABLE INTEREST			\$0.20	-	-	
09/13/20 J P MORGAN DEPOSIT SWEEP MGD JPMOR	TAXABLE INTEREST			\$0.06	-	-	
09/01/20 J P MORGAN DEPOSIT SWEEP MGD JPMOR	TAXABLE INTEREST			\$0.06	-	-	
10/01/20 J P MORGAN DEPOSIT SWEEP MGD JPMOR	TAXABLE INTEREST			\$0.11	-	-	
11/02/20 J P MORGAN DEPOSIT SWEEP MGD JPMOR	TAXABLE INTEREST			\$0.17	-	-	
11/08/20 J P MORGAN DEPOSIT SWEEP MGD JPMOR	TAXABLE INTEREST			\$0.02	-	-	
12/01/20 J P MORGAN DEPOSIT SWEEP MGD JPMOR	TAXABLE INTEREST			\$0.12	-	-	
12/31/20 J P MORGAN DEPOSIT SWEEP MGD JPMOR	TAXABLE INTEREST			\$0.11	-	-	
Subtotals				\$17.89			
Total Interest Income Not Included In Box 3 (Box 1)				\$17.89			

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# DETAILS OF 2020 SUPPLEMENTAL INFORMATION

Date	Description	CLISIP	Transaction Description	Amount	Foreign Tax Paid	Additional Information
01/23/20	Advisory Fee		MANAGEMENT FEE	\$334.98		
02/24/20	Advisory Fee		MANAGEMENT FEE	\$337.39		
03/20/20	Advisory Fee		MANAGEMENT FEE	\$308.03		
04/22/20	Advisory Fee		MANAGEMENT FEE	\$307.52		
05/21/20	Advisory Fee		MANAGEMENT FEE	\$311.01		
06/19/20	Advisory Fee		MANAGEMENT FEE	\$326.97		
07/22/20	ADVISORY FEE FOR THE PERIOD		MANAGEMENT FEE	\$319.41		
08/21/20	ADVISORY FEE FOR THE PERIOD		MANAGEMENT FEE	\$336.92		
09/22/20	ADVISORY FEE FOR THE PERIOD		MANAGEMENT FEE	\$344.29		
10/21/20	ADVISORY FEE FOR THE PERIOD		MANAGEMENT FEE	\$329.98		
11/20/20	ADVISORY FEE FOR THE PERIOD		MANAGEMENT FEE	\$337.30		
12/21/20	ADVISORY FEE FOR THE PERIOD 11-01-2020		MANAGEMENT FEE	\$341.47		
<i>Subtotal</i>				\$3,987.27		
<b>Total Management Fees</b>				\$3,987.27		

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VICENTE GONZALEZ JR TOD  
J.P.Morgan

## 2020 INVESTMENT DETAILS

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
06/28/20	FEDERATED INSTL TR HIGH YIELD BD FD C	31420B947	PURCHASE	836.449	\$9.31	\$5,925.34	
04/27/20	FIDELITY SALEM STR TR FIDELITY 500 IN	315911750	PURCHASE	12.680	\$99.85	\$1,266.06	
09/22/20	FIDELITY SALEM STR TR FIDELITY 500 IN	315911750	PURCHASE	42.505	\$115.38	\$4,904.31	
09/22/20	ISHARES 20 PLUS YEAR TREASURY BOND ETF	464287432	PURCHASE	20.000	\$164.40	\$3,288.00	
10/21/20	ISHARES 20 PLUS YEAR TREASURY BOND ETF	464287432	PURCHASE	21.000	\$159.04	\$3,339.84	
10/21/20	ISHARES CORE MSCI EMERGING MARKETS ETF	464343103	PURCHASE	57.000	\$55.32	\$3,153.22	
06/09/20	J P MORGAN EXCHANGE TRADED FD TR BETABU	466410712	PURCHASE	253.000	\$23.91	\$6,049.23	
06/09/20	J P MORGAN EXCHANGE TRADED FD TR BETABU	466410720	PURCHASE	130.000	\$23.05	\$2,996.47	
03/09/20	JPMORGAN TR II CORE BD FD ULTRA CL	481200100	PURCHASE	38.206	\$12.47	\$476.43	
03/31/20	MAINSTAY FDS MACKAY HGH YLD CORP BD F	56063N881	PURCHASE	1,722.541	\$4.88	\$8,406.00	
05/28/20	MAINSTAY FDS MACKAY HGH YLD CORP BD F	56063N881	PURCHASE	523.125	\$5.27	\$2,756.87	
02/11/20	SIX CIRCLES TR INTL UNCONSTRAINED EQU	83002G405	PURCHASE	326.967	\$9.59	\$3,135.61	
08/11/20	SIX CIRCLES TR GLOBAL BD FD	83002G702	PURCHASE	1,827.136	\$10.21	\$18,655.06	
11/04/20	SIX CIRCLES TR GLOBAL BD FD	83002G702	PURCHASE	1,821.792	\$10.20	\$18,582.28	
03/09/20	VANGUARD TOTAL INTERNATIONAL BOND ETF	82203L407	PURCHASE	107.000	\$58.44	\$6,253.06	

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
03/30/20	DODGE & COX INCOME FUND	256210105	REINVESTMENT	2.351	-	\$31.83	
03/30/20	DODGE & COX INCOME FUND	256210105	REINVESTMENT	0.261	-	\$3.85	
03/30/20	DODGE & COX INCOME FUND	256210105	REINVESTMENT	8.709	-	\$118.27	
06/29/20	DODGE & COX INCOME FUND	256210105	REINVESTMENT	4.759	-	\$69.01	
09/29/20	DODGE & COX INCOME FUND	256210105	REINVESTMENT	1.209	-	\$17.71	
04/09/20	FIDELITY SALEM STR TR FIDELITY 500 IN	315911750	REINVESTMENT	0.100	-	\$9.54	
01/30/20	JPMORGAN TR II CORE BD FD ULTRA CL	481200100	REINVESTMENT	3.739	-	\$45.06	
02/27/20	JPMORGAN TR II CORE BD FD ULTRA CL	481200100	REINVESTMENT	3.712	-	\$45.17	
03/30/20	JPMORGAN TR II CORE BD FD ULTRA CL	481200100	REINVESTMENT	4.144	-	\$49.60	
04/29/20	JPMORGAN TR II CORE BD FD ULTRA CL	481200100	REINVESTMENT	4.425	-	\$54.08	
05/28/20	JPMORGAN TR II CORE BD FD ULTRA CL	481200100	REINVESTMENT	3.887	-	\$47.54	
06/29/20	JPMORGAN TR II CORE BD FD ULTRA CL	481200100	REINVESTMENT	3.922	-	\$48.55	
07/30/20	JPMORGAN TR II CORE BD FD ULTRA CL	481200100	REINVESTMENT	3.766	-	\$47.19	

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Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
08/28/20	JPMORGAN TR II CORE BD FD UL TRA CL	481200100	REINVESTMENT	3.646	-	\$45.21	
09/29/20	JPMORGAN TR II CORE BD FD UL TRA CL	481200100	REINVESTMENT	3.503	-	\$43.58	
10/29/20	JPMORGAN TR II CORE BD FD UL TRA CL	481200100	REINVESTMENT	3.806	-	\$47.23	
11/27/20	JPMORGAN TR II CORE BD FD UL TRA CL	481200100	REINVESTMENT	5.530	-	\$43.95	
12/14/20	JPMORGAN TR II CORE BD FD UL TRA CL	481200100	REINVESTMENT	8.454	-	\$79.32	
12/14/20	JPMORGAN TR II CORE BD FD UL TRA CL	481200100	REINVESTMENT	23.873	-	\$283.40	
12/30/20	JPMORGAN TR II CORE BD FD UL TRA CL	481200100	REINVESTMENT	3.828	-	\$47.01	
05/01/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	9.615	-	\$48.75	
06/01/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	12.179	-	\$63.82	
07/01/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	12.200	-	\$64.17	
08/03/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	11.815	-	\$64.51	
09/01/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	11.812	-	\$64.85	
10/01/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	12.028	-	\$65.18	
11/02/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	12.133	-	\$65.52	
12/01/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	10.638	-	\$58.88	
12/18/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	10.619	-	\$59.15	
01/02/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	1.978	-	\$20.45	
02/03/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	1.804	-	\$19.07	
03/02/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	1.616	-	\$17.29	
04/01/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	1.867	-	\$19.59	
05/01/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	1.535	-	\$16.36	
06/01/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	1.514	-	\$16.28	
07/01/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	1.480	-	\$16.03	
08/03/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	1.485	-	\$18.29	
09/01/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	1.094	-	\$11.97	
10/01/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	1.033	-	\$11.29	
11/02/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	0.979	-	\$10.63	
12/01/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	0.500	-	\$5.49	
12/10/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	2.677	-	\$28.27	
01/02/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	7.709	-	\$81.41	
02/03/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	1.855	-	\$20.26	
03/02/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	1.845	-	\$20.59	
04/01/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	1.794	-	\$19.08	
05/01/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	1.998	-	\$20.28	
06/01/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	1.717	-	\$18.18	
06/01/20	MAINSTAY FDS MACKAY HIGH YLD CORP BD F	56063N881	REINVESTMENT	1.557	-	\$16.75	

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Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
07/01/20	PIMCO INVESTMENT GRADE CREDIT BOND FU	722005816	REINVESTMENT	1.474	-	\$16.13	
08/03/20	PIMCO INVESTMENT GRADE CREDIT BOND FU	722005816	REINVESTMENT	1.651	-	\$18.54	
09/01/20	PIMCO INVESTMENT GRADE CREDIT BOND FU	722005816	REINVESTMENT	1.469	-	\$16.37	
10/01/20	PIMCO INVESTMENT GRADE CREDIT BOND FU	722005816	REINVESTMENT	1.547	-	\$17.12	
11/02/20	PIMCO INVESTMENT GRADE CREDIT BOND FU	722005816	REINVESTMENT	1.899	-	\$18.65	
12/01/20	PIMCO INVESTMENT GRADE CREDIT BOND FU	722005816	REINVESTMENT	1.439	-	\$16.23	
12/10/20	PIMCO INVESTMENT GRADE CREDIT BOND FU	722005816	REINVESTMENT	2.251	-	\$25.21	
12/29/20	PIMCO INVESTMENT GRADE CREDIT BOND FU	722005816	REINVESTMENT	0.090	-	\$0.34	
01/02/20	ROWE T PRICE NEW INCOME FD INC CL I	779570407	REINVESTMENT	5.639	-	\$54.75	
02/03/20	ROWE T PRICE NEW INCOME FD INC CL I	779570407	REINVESTMENT	5.749	-	\$56.69	
03/02/20	ROWE T PRICE NEW INCOME FD INC CL I	779570407	REINVESTMENT	5.095	-	\$50.70	
04/01/20	ROWE T PRICE NEW INCOME FD INC CL I	779570407	REINVESTMENT	5.656	-	\$52.94	
05/01/20	ROWE T PRICE NEW INCOME FD INC CL I	779570407	REINVESTMENT	5.408	-	\$51.97	
06/01/20	ROWE T PRICE NEW INCOME FD INC CL I	779570407	REINVESTMENT	5.363	-	\$51.97	
07/01/20	ROWE T PRICE NEW INCOME FD INC CL I	779570407	REINVESTMENT	5.090	-	\$49.44	
08/03/20	ROWE T PRICE NEW INCOME FD INC CL I	779570407	REINVESTMENT	5.276	-	\$52.71	
09/01/20	ROWE T PRICE NEW INCOME FD INC CL I	779570407	REINVESTMENT	4.469	-	\$44.38	
10/01/20	ROWE T PRICE NEW INCOME FD INC CL I	779570407	REINVESTMENT	4.469	-	\$44.29	
11/02/20	ROWE T PRICE NEW INCOME FD INC CL I	779570407	REINVESTMENT	4.672	-	\$46.02	
12/01/20	ROWE T PRICE NEW INCOME FD INC CL I	779570407	REINVESTMENT	3.100	-	\$30.94	
12/09/20	ROWE T PRICE NEW INCOME FD INC CL I	779570407	REINVESTMENT	12.283	-	\$121.58	
12/09/20	ROWE T PRICE NEW INCOME FD INC CL I	779570407	REINVESTMENT	3.513	-	\$34.74	
01/31/20	SIX CIRCLES TR ULTRA SHORT DURATION F	83002G108	REINVESTMENT	0.975	-	\$9.75	
02/28/20	SIX CIRCLES TR ULTRA SHORT DURATION F	83002G108	REINVESTMENT	0.978	-	\$9.78	
03/31/20	SIX CIRCLES TR ULTRA SHORT DURATION F	83002G108	REINVESTMENT	0.940	-	\$9.27	
04/30/20	SIX CIRCLES TR ULTRA SHORT DURATION F	83002G108	REINVESTMENT	0.630	-	\$6.26	
05/29/20	SIX CIRCLES TR ULTRA SHORT DURATION F	83002G108	REINVESTMENT	0.373	-	\$3.72	
06/30/20	SIX CIRCLES TR ULTRA SHORT DURATION F	83002G108	REINVESTMENT	0.271	-	\$2.72	
07/31/20	SIX CIRCLES TR ULTRA SHORT DURATION F	83002G108	REINVESTMENT	0.311	-	\$3.12	
08/31/20	SIX CIRCLES TR ULTRA SHORT DURATION F	83002G108	REINVESTMENT	0.289	-	\$2.90	
09/30/20	SIX CIRCLES TR ULTRA SHORT DURATION F	83002G108	REINVESTMENT	0.275	-	\$2.76	
10/30/20	SIX CIRCLES TR ULTRA SHORT DURATION F	83002G108	REINVESTMENT	0.296	-	\$2.89	
11/30/20	SIX CIRCLES TR ULTRA SHORT DURATION F	83002G108	REINVESTMENT	0.245	-	\$2.46	
12/31/20	SIX CIRCLES TR ULTRA SHORT DURATION F	83002G108	REINVESTMENT	0.693	-	\$6.95	
12/18/20	SIX CIRCLES TR U S UNCONSTRAINED EQUI	83002G306	REINVESTMENT	23.717	-	\$316.38	
12/18/20	SIX CIRCLES TR U S UNCONSTRAINED EQUI	83002G306	REINVESTMENT	44.266	-	\$590.51	

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Account Executive No: ORIGINAL

J.P.Morgan

Date	Security Description	CHSIP	Transaction Description	Quantity	Price	Amount	Additional Information
12/18/20	SIX CIRCLES TR U S UNCONSTRAINED EQUI	83002G306	REINVESTMENT	17.027	-	\$227.14	
12/18/20	SIX CIRCLES TR INTL UNCONSTRAINED EQUI	83002G405	REINVESTMENT	21.041	-	\$207.25	
12/18/20	SIX CIRCLES TR INTL UNCONSTRAINED EQUI	83002G405	REINVESTMENT	5.274	-	\$51.95	
09/31/20	SIX CIRCLES TR GLOBAL BD FD	83002G702	REINVESTMENT	0.279	-	\$2.83	
09/30/20	SIX CIRCLES TR GLOBAL BD FD	83002G702	REINVESTMENT	1.327	-	\$13.52	
10/30/20	SIX CIRCLES TR GLOBAL BD FD	83002G702	REINVESTMENT	1.441	-	\$14.67	
11/30/20	SIX CIRCLES TR GLOBAL BD FD	83002G702	REINVESTMENT	2.549	-	\$26.00	
12/31/20	SIX CIRCLES TR GLOBAL BD FD	83002G702	REINVESTMENT	9.842	-	\$100.39	
02/03/20	VANGUARD CHARLOTTE FDS TOTAL INTL BD	92203J308	REINVESTMENT	1.656	-	\$38.18	
03/02/20	VANGUARD CHARLOTTE FDS TOTAL INTL BD	92203J308	REINVESTMENT	1.638	-	\$37.99	
04/01/20	VANGUARD CHARLOTTE FDS TOTAL INTL BD	92203J308	REINVESTMENT	1.928	-	\$43.57	
05/01/20	VANGUARD CHARLOTTE FDS TOTAL INTL BD	92203J308	REINVESTMENT	1.747	-	\$40.05	
06/01/20	VANGUARD CHARLOTTE FDS TOTAL INTL BD	92203J308	REINVESTMENT	1.795	-	\$41.29	
07/01/20	VANGUARD CHARLOTTE FDS TOTAL INTL BD	92203J308	REINVESTMENT	1.770	-	\$40.78	
08/03/20	VANGUARD CHARLOTTE FDS TOTAL INTL BD	92203J308	REINVESTMENT	1.764	-	\$41.04	
09/01/20	VANGUARD CHARLOTTE FDS TOTAL INTL BD	92203J308	REINVESTMENT	1.751	-	\$40.40	
10/01/20	VANGUARD CHARLOTTE FDS TOTAL INTL BD	92203J308	REINVESTMENT	1.711	-	\$39.76	
11/02/20	VANGUARD CHARLOTTE FDS TOTAL INTL BD	92203J308	REINVESTMENT	1.754	-	\$40.91	
12/01/20	VANGUARD CHARLOTTE FDS TOTAL INTL BD	92203J308	REINVESTMENT	1.686	-	\$39.38	
12/23/20	VANGUARD CHARLOTTE FDS TOTAL INTL BD	92203J308	REINVESTMENT	1.723	-	\$40.30	
03/10/20	VANGUARD CHARLOTTE FDS TOTAL INTL BD	92203J308	REINVESTMENT	3.427	-	\$80.16	
06/29/20	VANGUARD INDEX TR VANGUARD 500 INDEX	922808710	REINVESTMENT	0.256	-	\$84.90	
09/29/20	VANGUARD INDEX TR VANGUARD 500 INDEX	922808710	REINVESTMENT	0.286	-	\$79.33	
12/22/20	VANGUARD INDEX TR VANGUARD 500 INDEX	922808710	REINVESTMENT	0.236	-	\$72.82	
				0.227	-	\$77.96	

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY.

(3)

<b>ID Ameritrade</b> Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-688-3900	<b>Tax Information</b> Account VINCENT GONZALEZ JR	<b>Statement Date: 01/14/2021</b> Document ID: 2020
<b>PAYER'S TIN:</b>		
<b>RECIPIENT'S TIN:</b>		

Summary Information			
<b>DIVIDENDS AND DISTRIBUTIONS</b>	<b>2020 1099-DIV*</b>	OMB No. 1545-0110	
1a- Total ordinary dividends (includes lines 1b, 5)	15.00		
1b- Qualified dividends	15.00		
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		
2b- Unrecaptured Section 1250 gain	0.00		
2c- Section 1202 gain	0.00		
2d- Collectibles (28%) gain	0.00		
3- Nondividend distributions	0.00		
4- Federal income tax withheld	0.00		
5- Section 199A dividends	0.00		
6- Investment expenses	0.00		
8- Foreign country or US possession:	0.00		
7- Foreign tax paid:	0.00		
9- Cash liquidation distributions	0.00		
10- Noncash liquidation distributions	0.00		
11- Exempt-interest dividends (includes line 12)	0.00		
12- Specified private activity bond interest dividends (AMT)	0.00		

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.							
Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)	
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00	0.00
Total Short-term		0.00	0.00	0.00	0.00	0.00	0.00
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00	0.00
Total Long-term		0.00	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00	0.00
Total Undetermined-term		0.00	0.00	0.00	0.00	0.00	0.00
Grand total		0.00	0.00	0.00	0.00	0.00	0.00

Withholding  
Federal income tax withheld  
Amount  
0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

2020

Summary Information  
(continued)Account ~~777777~~  
01/14/2021

## INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	39.90
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession:	0.00
6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest:	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shorted on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

## STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

## ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

## RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gains/losses	0.00

TD Ameritrade Clearing, Inc.

Account

### Detail for Dividends and Distributions

2020

01/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. Reallocation of a dividend and its tax character is determined by the issuer.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
FREEPORT-MCMORAN INC COM CL B	35671D657 FCX		02/03/20	15.00	Qualified dividend	
				13.40	Total Dividends & distributions	

Detail for Interest Income

2020

Account 123-456789  
01/14/2021

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled in the Adjustments to Interest and Original Issue Discount and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC	CUSIP and/or symbol 82ZZFD104 MMDA1	Date	Amount	Transaction type	Notes
		01/31/20	4.20	Interest- money mkt	
		01/31/20	1.55	Interest- money mkt	
		02/29/20	3.93	Interest- money mkt	
		02/29/20	1.45	Interest- money mkt	
		03/31/20	2.37	Interest- money mkt	
		03/31/20	0.88	Interest- money mkt	
		04/30/20	2.03	Interest- money mkt	
		04/30/20	0.75	Interest- money mkt	
		05/31/20	2.10	Interest- money mkt	
		05/31/20	0.78	Interest- money mkt	
		06/30/20	2.03	Interest- money mkt	
		06/30/20	0.75	Interest- money mkt	
		07/31/20	2.10	Interest- money mkt	
		07/31/20	0.78	Interest- money mkt	
		08/31/20	2.10	Interest- money mkt	
		08/31/20	0.78	Interest- money mkt	
		09/30/20	2.03	Interest- money mkt	
		09/30/20	0.75	Interest- money mkt	
		10/31/20	2.10	Interest- money mkt	
		10/31/20	0.78	Interest- money mkt	
		11/30/20	2.03	Interest- money mkt	
		11/30/20	0.75	Interest- money mkt	
		12/31/20	2.10	Interest- money mkt	
		12/31/20	0.78	Interest- money mkt	
			38.90	Total Interest	
			38.90	Total Interest	





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Exhibit 4 Properties of Vicente Gonzalez								
Schedule A - Assets "Unearned Income"								
Entity	Type	Assets/Income Source	Address	City	Value of Assets	Type of Income	Calendar Year 2020	
United Liability Corp.	Raw Land	Cibola Rd.	Cibola Rd.	Edinburg, Texas	\$50,001-\$100,000	Capital Gains	None	
United Colonial Group LLC	APT	4-Plex	712 Sand Piper Ave.	McAllen, Texas	\$100,001-\$250,000	Rent	\$15,001-\$50,000	
Tenant Services LLC	APT	4-Plex	721 Sand Piper Ave.	McAllen, Texas	\$250,001-\$500,000	Rent	\$15,001-\$50,000	
United Colonial Group LLC	APT	4-Plex	720 Sand Piper Ave.	McAllen, Texas	\$100,001-\$250,000	Rent	\$15,001-\$50,000	
Tenant Services LLC	APT	4-Plex	705 Myrnah Ave.	McAllen, Texas	\$250,001-\$500,000	Rent	\$5,001-\$15,000	
Tenant Services LLC	APT	6-Plex	2311 Irs St.	McAllen, Texas	\$250,001-\$500,000	Rent	\$5,001-\$15,000	
Tenant Services LLC	APT	6-Plex	604 Bluebird Ave.	McAllen, Texas	\$100,001-\$250,000	Rent	\$5,001-\$15,000	
United Colonial Group LLC	APT	604 Bluebird Ave.	233 Monte Capobello Rd.	Monterrey, Mexico	\$100,001-\$250,000	Rent	\$5,001-\$15,000	
None	APT	1548 Dove Ave.	1548 Dove Ave.	McAllen, Texas	\$100,001-\$250,000	Rent	\$15,001-\$50,000	
United Colonial Group LLC	Real Estate	121 N. 10th St.	121 N. 10th St.	McAllen, Texas	\$500,001-\$1,000,000	Rent	\$100,001-\$1,000,000	
Rio Grande Colonial LLC	Real Estate	3711 N. 10th St.	3711 N. 10th St.	McAllen, Texas	\$500,001-\$1,000,000	Capital Gains	None	
10th St. Suites LLC	Real Estate	143 Duddington Pl. SE	143 Duddington Pl. SE	Washington, D.C.	\$500,001-\$1,000,000	Rent	\$15,001-\$50,000	
None	Real Estate	1008 S. Carolina Ave. SE	1008 S. Carolina Ave. SE	Washington, D.C.	\$500,001-\$1,000,000	Rent	\$15,001-\$50,000	
None	Real Estate	33 Camera San Jeronimo	33 Camera San Jeronimo	Madrid, Spain	\$250,001-\$500,000	Rent	\$15,001-\$50,000	
Rechtsanwalt LLC	Real Estate	Ranch	476 Weidger-Lewis Rd.	Fredericksburg, Texas	\$250,001-\$500,000	Capital Gains	None	

**Exhibit 5 Properties of Lorena Saenz Gonzalez**

**Schedule A- Assets & "UNEARNED INCOME"**

<b>Assets/Income Source</b>	<b>City</b>	<b>Value of Assets</b>	<b>Type of Income</b>	<b>Calendar Year 2020</b>
5409 N 8th St.	McAllen, Texas	\$100,001-\$250,000	Capital Gains	None
2005 Gumwood Ave.	McAllen, Texas	\$50,001-\$100,000	Capital Gains	None
721 N 21st	McAllen, Texas	\$50,001-\$100,000	Capital Gains	None