FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Josh Gottheimer
Status: Member
State/District: NJ05

FILING INFORMATION

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SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Ameritrade ⇒ Amazon.com, Inc. (AMZN) [ST]		$15,001 - $50,000	None		☐
Ameritrade ⇒ Ameritrade Cash [BA]		$1,001 - $15,000	None		☐
Ameritrade ⇒ Ameritrade Cash [BA]	SP	$1,001 - $15,000	None		☐
Ameritrade ⇒ Apple Inc. (AAPL) [ST]	SP	$50,001 - $100,000	Dividends	$201 - $1,000	☐
Ameritrade ⇒ Apple Inc. (AAPL) [ST]		$15,001 - $50,000	Dividends	$201 - $1,000	☐
Ameritrade ⇒ Facebook, Inc. - Class A (FB) [ST]		$15,001 - $50,000	None		☐
Ameritrade ⇒ Ford Motor Company (F) [ST]		$1,001 - $15,000	Dividends	$201 - $1,000	☐
Ameritrade ⇒		$1,001 - $15,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Invesco QQQ (QQQ) [EF]					
Ameritrade ⇒ Invesco QQQ (QQQ) [EF]	SP	$15,001 - $50,000	None		☐
Ameritrade ⇒ Microsoft Corporation (MSFT) [ST]		$50,001 - $100,000	Dividends	$201 - $1,000	☑
Ameritrade ⇒ SPDR Dow Jones Industrial Ave ETF Trust (DIA) [EF]		$15,001 - $50,000	Dividends	$201 - $1,000	☐
Ameritrade ⇒ SPDR Dow Jones Industrial Average Trust (DIA) [EF]	SP	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Ameritrade ⇒ SPDR S&P 500 ETF (SPY) [EF]	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Ameritrade ⇒ SPDR S&P 500 ETF (SPY) [EF]		$100,001 - $250,000	Dividends	$1,001 - $2,500	☐
Asbury Advisors LLC ⇒ Wells Fargo Bank Accounts [BA] DESCRIPTION: Checking & Savings		$15,001 - $50,000	None		☐
Barclays - Savings Account [BA]		$15,001 - $50,000	Interest	$201 - $1,000	☐
Columbia University- Voluntary Retirement Savings ⇒ Vanguard Target Retirement 2040 [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
DC College Savings - Dependent # 1 ⇒ DC College Savings 2025 Portfolio [MF]	DC	$100,001 - $250,000	Tax-Deferred		☐
DC College Savings - Dependent # 2 ⇒ DC College Savings 2028 Portfolio [MF]	DC	$100,001 - $250,000	Tax-Deferred		☐
DC College Savings - Dependent # 2 ⇒ Intermediate Bond Fund (IBAFX) [MF]	DC	$1,001 - $15,000	Tax-Deferred		☐
DC College Savings - Dependent # 2 ⇒ U.S. Large Cap Equity Portfolio [MF]	DC	$15,001 - $50,000	Tax-Deferred		☐
DC College Savings - Dependent # 2 ⇒ U.S. Small Cap Equity Portfolio [MF]	DC	$15,001 - $50,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Fidelity - Inherited IRA ⇒ Fidelity Government Money Market (SPAXX) [IH]		$1,001 - $15,000	Tax-Deferred		☐
Fidelity Brokerage ⇒ Fidelity Cash [BA]		$1 - $1,000	Interest	$201 - $1,000	☐
Fidelity Brokerage ⇒ Microsoft Corporation (MSFT) [ST]		$1,001 - $15,000	None		☑
Ford Interest Advantage [BA]		$1,001 - $15,000	Interest	$1 - $200	☐
IG Acquisition Corp. - Unit (IGACU) [ST]	SP	$100,001 - $250,000	None		☑
DESCRIPTION: Special acquisition company - as of 10/1/2020 asset converted to a public trading stock on the Nasdaq.					
JPMorgan Chase Bank, N.A [BA]		$15,001 - $50,000	None		☐
Lending Club [DO]		$1,001 - $15,000	None		☐
DESCRIPTION: San Francisco, CA					
Microsoft 401(k) ⇒ BTC LifePath [MF]		$100,001 - $250,000	Tax-Deferred		☐
Microsoft 401(k) ⇒ Vanguard Russell 1000 [EF]		$100,001 - $250,000	Tax-Deferred		☐
Morgan Stanley - Active Asset Account # 1 ⇒ Amazon.com, Inc. (AMZN) [ST]		$50,001 - $100,000	None		☐
Morgan Stanley - Active Asset Account # 1 ⇒ Fidelity Asset Manager (FAMRX) [MF]		$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Active Asset Account # 1 ⇒ Ford Motor Company (F) [ST]		$1,001 - $15,000	Dividends	$201 - $1,000	☐
DESCRIPTION: Inheritance					
Morgan Stanley - Active Asset Account # 1 ⇒ Invesco QQQ (QQQ) [EF]		$50,001 - $100,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Active Asset Account # 1 ⇒ iShares US Technology (IYW) [EF]		$100,001 - $250,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Active Asset Account # 1 ⇒		$50,001 -	Dividends	$2,501 -	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Microsoft Corporation (MSFT) [ST]		$100,000		$5,000	
Morgan Stanley - Active Asset Account # 1 ⇒ Morgan Stanley Bank N.A. # [BA]		$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 1 ⇒ SPDR S&P 500 ETF TR Unit Ser 1 (SPY) [EF]		$100,001 - $250,000	Dividends	$2,501 - $5,000	☑
Morgan Stanley - Active Asset Account # 1 ⇒ Valley National Bancorp (VLY) [ST]		$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 1 ⇒ Vanguard Index FDS Vanguard Total Stk Mkt ETF (VTI) [EF]		$1,001 - $15,000	Dividends	$1 - $200	☐
Morgan Stanley - Active Asset Account # 2 ⇒ Akamai Technologies, Inc. (AKAM) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Amazon.com, Inc. (AMZN) [ST]	JT	$50,001 - $100,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ AT&T Inc. (T) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Active Asset Account # 2 ⇒ Coty Inc. Class A (COTY) [ST]	JT	$1 - $1,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Dollar Tree, Inc. (DLTR) [ST]	JT	$15,001 - $50,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Fidelity National Information Services, Inc. (FIS) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Home Depot, Inc. (HD) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Active Asset Account # 2 ⇒ MarketAxess Holdings, Inc. (MKTX) [ST]	JT	$1,001 - $15,000	Dividends	$1 - $200	☐
Morgan Stanley - Active Asset Account # 2 ⇒ Martin Marietta Materials, Inc. (MLM) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Microsoft Corporation (MSFT) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Active Asset Account # 2 ⇒ Morgan Stanley Bank N.A. # [BA]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Pfizer, Inc. (PFE) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Active Asset Account # 2 ⇒ Proofpoint, Inc. (PFPT) [ST]	JT	$15,001 - $50,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Tractor Supply Company (TSCO) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Under Armour, Inc. Class A (UAA) [ST]	JT	$1 - $1,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Under Armour, Inc. Class C (UA) [ST]	JT	$1 - $1,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Walgreens Boots Alliance, Inc. (WBA) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 3 ⇒ Dell Technologies Inc. Class V (DVMT) [ST]	JT	$1 - $1,000	None		☐
Morgan Stanley - Active Asset Account # 3 ⇒ Eli Lilly and Company (LLY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 3 ⇒ Goldman Sachs Emeg Mkts Debt I (GSDIX) [MF]	JT	$1 - $1,000	None		☐
Morgan Stanley - Active Asset Account # 3 ⇒ Goldman Sachs Group, Inc. (GS) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 3 ⇒ Goldman Sachs High Yld Instl (GSHIX) [MF]	JT	$1 - $1,000	None		☐
Morgan Stanley - Active Asset Account # 3 ⇒ Microsoft Corporation (MSFT) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Active Asset Account # 3 ⇒ Morgan Stanley Bank N.A. # [BA]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Portfolio Management ⇒ Apple Inc. (AAPL) [ST]	JT	$100,001 - $250,000	Dividends	$1,001 - $2,500	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Portfolio Management ⇒ Blackrock Health Science Opp I (SHSSX) [MF]	JT	$50,001 - $100,000	Capital Gains, Dividends	$1,001 - $2,500	☐
Morgan Stanley - Portfolio Management ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	$100,001 - $250,000	None		☐
Morgan Stanley - Portfolio Management ⇒ Goldman Sachs Int Smcp Insgh I (GICIX) [MF]	JT	$100,001 - $250,000	Dividends	$1,001 - $2,500	☐
Morgan Stanley - Portfolio Management ⇒ iShares Core S&P Mid-Cap ETF (IJH) [ST]	JT	$50,001 - $100,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Portfolio Management ⇒ iShares Russell 2000 ETF (IWM) [ST]	JT	$50,001 - $100,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Portfolio Management ⇒ iShares US Energy ETF (IYE) [MF]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [ST]	JT	$50,001 - $100,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Portfolio Management ⇒ Morgan Stanley Bank N.A. # [BA]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Portfolio Management ⇒ Oppenheimer Developing Mkts Y (ODVYX) [MF]	JT	$50,001 - $100,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Portfolio Management ⇒ SPDR S&P 500 (SPY) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Portfolio Management ⇒ United Airlines Holdings, Inc. (UAL) [ST]	JT	$15,001 - $50,000	None		☑
Morgan Stanley - Portfolio Management ⇒ Vanguard Index FD S&P 500 ETF (VOO) [MF]	JT	$500,001 - $1,000,000	Dividends	$5,001 - $15,000	☐
Morgan Stanley - Portfolio Management ⇒ Vanguard Total Stock Market ETF (VTI) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Portfolio Management ⇒ WisdomTree Europe Hedged Equity Fund (HEDJ) [ST]	JT	$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [ST]	JT	$5,000,001 - $25,000,000	Dividends	$50,001 - $100,000	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP] DESCRIPTION: Call options; Strike Price $140; Expires 1/15/2021	JT	None	Capital Gains	$100,001 - $1,000,000	☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP] DESCRIPTION: Call options; Strike Price $135; Expires 6/19/2021	JT	None	Capital Gains	$50,001 - $100,000	☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP] DESCRIPTION: Call options; Strike Price $135; Expires 7/17/2021	JT	None	Capital Gains	$100,001 - $1,000,000	☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP] DESCRIPTION: Call options; Strike Price $170; Expires 7/17/2021	JT	None	Capital Gains	$15,001 - $50,000	☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP] DESCRIPTION: Call options; Strike Price $135; Expires 9/18/2021	JT	None	Capital Gains	$100,001 - $1,000,000	☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP] DESCRIPTION: Call options; Strike Price $140; Expires 9/18/2021	JT	None	Capital Gains	$15,001 - $50,000	☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP] DESCRIPTION: Call options; Strike Price $135; Expires 12/18/2021	JT	None	Capital Gains	$100,001 - $1,000,000	☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP] DESCRIPTION: Call options; Strike Price $140; Expires 12/18/2021	JT	None	Capital Gains	$100,001 - $1,000,000	☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP] DESCRIPTION: Call options; Strike Price $170; Expires 12/18/2021	JT	None	Capital Gains	$5,001 - $15,000	☑
Morgan Stanley - Portfolio Management Active Assets	JT	$500,001 -	None		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Account ⇒ Microsoft Corporation [OP] DESCRIPTION: Call options; Strike Price $145; Expires 3/19/2021		$1,000,000			
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP] DESCRIPTION: Call options; Strike Price $150; Expires 3/19/2021	JT	$1,000,001 - $5,000,000	None		☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP] DESCRIPTION: Call options; Strike Price $150; Expires 6/18/2021	JT	$250,001 - $500,000	None		☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP] DESCRIPTION: Call options; Strike Price $160; Expires 2/19/2021	JT	$100,001 - $250,000	None		☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Morgan Stanley Bank N.A. # [BA]	JT	$15,001 - $50,000	None		☐
Morgan Stanley - Roth IRA ⇒ American Amcap A (AMCPX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Roth IRA ⇒ American Balanced A (ABALX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Roth IRA ⇒ American Bd Fd of America A (ABNDX) [MF]		$1 - $1,000	Tax-Deferred		☐
Morgan Stanley - Roth IRA ⇒ American Inv Co of Amer A (AIVSX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Roth IRA ⇒ American New Perspective A (ANWPX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Roth IRA ⇒ American Smallcap World A (SMCWX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Roth IRA ⇒ American Wa Mutual A (AWSHX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Roth IRA Spouse ⇒ American Cap Inc Builder A (CAIBX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Roth IRA Spouse ⇒ American Gr Fd of America A (AGTHX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Roth IRA Spouse ⇒ American Inv Co of Amer A (AIVSX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Select UMA Account # 1 ⇒ ABIOMED, Inc. (ABMD) [ST] DESCRIPTION: 2020 purchase	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ adidas AG Sponsored ADR (ADDYY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Advanced Micro Devices, Inc. (AMD) [ST]	JT	$1,001 - $15,000	Capital Gains	$5,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Adyen N V Unsponsored ADR (ADYEY) [OT] DESCRIPTION: Stock	JT	$15,001 - $50,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Agree Realty Corporation (ADC) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ AIA Group, Ltd. Sponsored American Depository Receipt (AAGIY) [ST]	JT	$1,001 - $15,000	Dividends	$1 - $200	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Air Products and Chemicals, Inc. (APD) [ST] DESCRIPTION: Sale transaction less than $1,000	JT	$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Alamo Group, Inc. (ALG) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Alcon Inc. Ordinary Shares (ALC) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Alfa-Laval Ab Unsponsored ADR (ALFVY) [ST]	JT	$1,001 - $15,000	Dividends	$1 - $200	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]	JT	$1,001 - $15,000	None		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Align Technology, Inc. (ALGN) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Allegiant Travel Company (ALGT) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Allianz SE ADS (AZSEY) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Alnylam Pharmaceuticals, Inc. (ALNY) [ST] DESCRIPTION: Purchased & sold in 2020	JT	None	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	$1,001 - $15,000	Capital Gains	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Altria Group, Inc. (MO) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Ambev S.A. American Depositary Shares (ABEV) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Ameris Bancorp (ABCB) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ AMERISAFE, Inc. (AMSF) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Amgen Inc. (AMGN) [ST]	JT	None	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Amphenol Corporation (APH) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	$50,001 - $100,000	Capital Gains, Dividends	$5,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Arista Networks, Inc. (ANET) [ST] DESCRIPTION: Purchased & sold in 2020	JT	None	Capital Gains	$1 - $200	☑
Morgan Stanley - Select UMA Account # 1 ⇒ ASML Holding N.V. - New York Registry Shares (ASML) [ST]	JT	$1,001 - $15,000	None		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Atlas Copco Ab Sponsored ADR repstg Class A (ATLKY) [ST]	JT	$1,001 - $15,000	Dividends	$1 - $200	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Atlassian Corporation Plc - Class A Ordinary Shares (TEAM) [ST]	JT	None	Capital Gains	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ AtriCure, Inc. (ATRC) [ST]	JT	$1,001 - $15,000	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Avient Corporation (AVNT) [ST] DESCRIPTION: 2020 purchases below $1,000	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Balchem Corporation (BCPC) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Banco Bilbao Vizcaya Argentaria S.A. (BBVA) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	$15,001 - $50,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Biogen Inc. (BIIB) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ BioMarin Pharmaceutical Inc. (BMRN) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ BlackRock, Inc. (BLK) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Booking Holdings Inc. (BKNG) [ST]	JT	$15,001 - $50,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Boot Barn Holdings, Inc. (BOOT) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Boston Beer Company, Inc. (SAM) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Boston Scientific Corporation (BSX) [ST]	JT	None	Capital Gains	$1,001 - $2,500	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Brooks Automation, Inc. (BRKS) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ CACI International, Inc. Class A (CACI) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Canadian National Railway Company (CNI) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Carvana Co. Class A (CVNA) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Castle Biosciences, Inc. - Common stock (CSTL) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Catalent, Inc. (CTLT) [ST]	JT	None	Capital Gains	$5,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Cathay General Bancorp (CATY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Central Garden & Pet Company (CENT) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Central Garden & Pet Company - Class A Common Stock Nonvoting (CENTA) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Cerence Inc. (CRNC) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Charles Schwab Corporation (SCHW) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Check Point Software Technologies Ltd. - Ordinary Shares (CHKP) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Chevron Corporation (CVX) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Chugai Pharmaceutical Ltd Unsponsored ADR (CHGCY) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Churchill Downs, Incorporated (CHDN) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Chuy's Holdings, Inc. (CHUY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Cincinnati Financial Corporation (CINF) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Cisco Systems, Inc. (CSCO) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Coca-Cola Company (KO) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Cognizant Technology Solutions Corporation - Class A (CTSH) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Cohen & Steers Inc (CNS) [ST]	JT	$1,001 - $15,000	Dividends	$1 - $200	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Compass Minerals Intl Inc (CMP) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ CoStar Group, Inc. (CSGP) [ST]	JT	$1,001 - $15,000	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Costco Wholesale Corporation (COST) [ST]	JT	None	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Covetrus, Inc. (CVET) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Crown Castle International Corp. (CCI) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ CryoLife, Inc. (CRY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ CryoPort, Inc. (CYRX) [ST]	JT	$1,001 - $15,000	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Dassault Systemes, S.A. American Depositary Shares	JT	$1,001 - $15,000	None		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
(DASTY) [ST]					
Morgan Stanley - Select UMA Account # 1 ⇒ Dbs Group Holdings Ltd Ord American Depositary Shares (DBSDY) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Deere & Company (DE) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ DexCom, Inc. (DXCM) [ST]	JT	$1,001 - $15,000	Capital Gains	$5,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Diageo plc (DEO) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Dominion Energy, Inc. (D) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Duke Energy Corporation (DUK) [ST]	JT	None	Capital Gains, Dividends	$1,001 - $2,500	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Ecolab Inc. (ECL) [ST]	JT	None	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Emergent Biosolutions, Inc. (EBS) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Endava plc American Depositary Shares (DAVA) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Enphase Energy, Inc. (ENPH) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Entegris, Inc. (ENTG) [ST]	JT	None	Capital Gains, Dividends	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Epiroc Aktiebolag ADR (EPOKY) [OT] DESCRIPTION: ADR Stock; appreciated over $1,000	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Equinix, Inc. (EQIX) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Estee Lauder Companies, Inc. (EL) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Exact Sciences Corporation (EXAS) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	$15,001 - $50,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Fanuc Corporation Unsponsored ADR (FANUY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Fastenal Company (FAST) [ST]	JT	$15,001 - $50,000	Capital Gains, Dividends	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ FedEx Corporation (FDX) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Fidelity National Information Services, Inc. (FIS) [ST]	JT	None	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Five Below, Inc. (FIVE) [ST]	JT	$1,001 - $15,000	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Fomento Economico Mexicano S.A.B. de C.V. (FMX) [ST] DESCRIPTION: Sold for a loss	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Franklin Resources, Inc. (BEN) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Fuchs Petrolub SE Unsponsored American Depository ReceiptRepresenting Preferred Shares (FUPBY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Glacier Bancorp, Inc. (GBCI) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Globant S.A. Common Shares (GLOB) [ST]	JT	None	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Globus Medical, Inc. Class A (GMED) [ST]	JT	$1,001 - $15,000	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Grand Canyon Education, Inc. (LOPE) [ST]	JT	$1,001 - $15,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ GrubHub Inc. (GRUB) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Haemonetics Corporation (HAE) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Halozyme Therapeutics, Inc. (HALO) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ HCA Healthcare, Inc. (HCA) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ HDFC Bank Limited (HDB) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ HealthEquity, Inc. (HQY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Heartland Express, Inc. (HTLD) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Helen of Troy Limited (HELE) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Helios Technologies, Inc. (HLIO) [ST] DESCRIPTION: Appreciated over $1,000	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Home Depot, Inc. (HD) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Honeywell International Inc. (HON) [ST]	JT	None	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Horace Mann Educators Corporation (HMN) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Houlihan Lokey, Inc. Class A (HLI) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ HubSpot, Inc. (HUBS) [ST]	JT	None	Capital Gains	$5,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ ICF International, Inc. (ICFI) [ST]	JT	$1,001 - $15,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ ICICI Bank Limited (IBN) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ ICU Medical, Inc. (ICUI) [ST]	JT	$1,001 - $15,000	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ IDACORP, Inc. (IDA) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Illumina, Inc. (ILMN) [ST]	JT	None	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Infineon Technologies AG (IFNNY) [ST]	JT	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Integra LifeSciences Holdings Corporation (IART) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Intel Corporation (INTC) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Intuitive Surgical, Inc. (ISRG) [ST]	JT	$1,001 - $15,000	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	$1,001 - $15,000	Capital Gains, Dividends	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 2000 ETF (IWM) [ST]	JT	None	Capital Gains, Dividends	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Itau Unibanco Banco Holding SA American Depositary Shares (ITUB) [ST]	JT	$1,001 - $15,000	Dividends	$1 - $200	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Johnson & Johnson (JNJ) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Komatsu Ltd Ord American Depositary Shares (KMTUY) [ST]	JT	$1,001 - $15,000	None		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Kubota Corporation (KUBTY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ L'Air Liquide Ord American Depositary Shares (AIQUY) [ST]	JT	$1,001 - $15,000	Dividends	$1 - $200	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Lam Research Corporation (LRCX) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ LHC Group - common stock (LHCG) [ST]	JT	$1,001 - $15,000	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Linde plc Ordinary Share (LIN) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Lithia Motors, Inc. (LAD) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Lonza Group Ag Zuerich Unsponsored ADR (LZAGY) [ST]	JT	$1,001 - $15,000	Dividends	$1 - $200	☐
Morgan Stanley - Select UMA Account # 1 ⇒ L'Oreal Co. American Depositary Shares (LRLCY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Lowe's Companies, Inc. (LOW) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Lukoil Co Sponsored ADR (LUKOY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ lululemon athletica inc. (LULU) [ST]	JT	$1,001 - $15,000	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ MACOM Technology Solutions Holdings, Inc. (MTSI) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ MarketAxess Holdings, Inc. (MKTX) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Mastercard Incorporated (MA) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$1 - $200	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Match Group, Inc. (MTCH) [ST]	JT	$1,001 - $15,000	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ McKesson Corporation (MCK) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Medpace Holdings, Inc. (MEDP) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ MercadoLibre, Inc. (MELI) [ST]	JT	$1,001 - $15,000	Capital Gains	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Meridian Bancorp, Inc. (EBSB) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Microsoft Corporation (MSFT) [ST]	JT	$50,001 - $100,000	Capital Gains, Dividends	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Minerals Technologies Inc. (MTX) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Moderna, Inc. (MRNA) [ST] DESCRIPTION: Appreciated over $1,000	JT	$1,001 - $15,000	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Monster Beverage Corporation (MNST) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Morgan Stanley (MS) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Morgan Stanley Bank N.A. # [BA]	JT	$50,001 - $100,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ MSCI Inc (MSCI) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ National Health Investors, Inc. (NHI) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Nestle SA Sponsored ADR representing Registered	JT	$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Shares Series B (NSRGY) [ST]					
Morgan Stanley - Select UMA Account # 1 ⇒ Netflix, Inc. (NFLX) [ST]	JT	$1,001 - $15,000	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Nintendo Co Ltd ADR (NTDOY) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Nitori Holdings Co. Ltd ADR (NCLTY) [OT] DESCRIPTION: ADR stock	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Norfolk Southern Corporation (NSC) [ST]	JT	$15,001 - $50,000	Dividends	$1 - $200	☐
Morgan Stanley - Select UMA Account # 1 ⇒ NorthWestern Corporation (NWE) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Novanta Inc. - Common Shares (NOVT) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Novozymes A/S Unsponsored American Depositary Receipt (NVZMY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ NVIDIA Corporation (NVDA) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$5,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ OceanFirst Financial Corp. (OCFC) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Ollie's Bargain Outlet Holdings, Inc. (OLLI) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Oxford Industries, Inc. (OXM) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ PACCAR Inc. (PCAR) [ST]	JT	None	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Pacific Premier Bancorp Inc (PPBI) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Patrick Industries, Inc. (PATK) [ST]	JT	$1,001 - $15,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Paychex, Inc. (PAYX) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Paylocity Holding Corporation (PCTY) [ST]	JT	$1,001 - $15,000	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	$15,001 - $50,000	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Performance Food Group Company (PFGC) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Philip Morris International Inc (PM) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Phreesia, Inc. (PHR) [ST]	JT	$1,001 - $15,000	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Ping An Insurance (PNGAY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Pinterest, Inc. Class A (PINS) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Power Integrations, Inc. (POWI) [ST]	JT	None	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ PRA Group, Inc. (PRAA) [ST]	JT	None	Capital Gains	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Primoris Services Corporation (PRIM) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Progyny, Inc. (PGNY) [ST] DESCRIPTION: Purchases less than $1,000	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Proofpoint, Inc. (PFPT) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ QTS Realty Trust, Inc. Class A (QTS) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ QUALCOMM Incorporated (QCOM) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Quidel Corporation (QDEL) [ST]	JT	None	Capital Gains	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Rapid7, Inc. (RPD) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ RBC Bearings Incorporated (ROLL) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Regeneron Pharmaceuticals, Inc. (REGN) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Rio Tinto Plc (RIO) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Ritchie Bros. Auctioneers Incorporated (RBA) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Roche Holdings AG Basel American Depositary Shares (RHHBY) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Rockwell Automation, Inc. (ROK) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Rogers Corporation (ROG) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Roper Technologies, Inc. (ROP) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Ross Stores, Inc. (ROST) [ST]	JT	None	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Royal Dutch Shell PLC Royal Dutch Shell PLC American Depositary Shares (RDS.B) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Ryman Hospitality Properties, Inc. (RHP) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒	JT	$1,001 - $15,000	Capital Gains,	$1,001 -	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
S&P Global Inc. (SPGI) [ST]			Dividends	$2,500	
Morgan Stanley - Select UMA Account # 1 ⇒ Salesforce.com Inc (CRM) [ST]	JT	None	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ SAP SE ADS (SAP) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Schneider Electric SE Unsponsored ADR (SBGSY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Seacoast Banking Corporation of Florida (SBCF) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Seagen Inc. (SGEN) [ST]	JT	$1,001 - $15,000	None		☑
DESCRIPTION: Name Change, formerly known as Seattle Genetics as reported on Schedule B.					
Morgan Stanley - Select UMA Account # 1 ⇒ ServiceNow, Inc. (NOW) [ST]	JT	$1,001 - $15,000	Capital Gains	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ SGS SA ADR (SGSOY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Sherwin-Williams Company (SHW) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Shionogi & Co Ltd Unsponsored ADR (SGIOY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Shopify Inc. Class A Subordinate Voting Shares (SHOP) [ST]	JT	$1,001 - $15,000	Capital Gains	$1,001 - $2,500	☐
DESCRIPTION: Sales transactions less than $1,000					
Morgan Stanley - Select UMA Account # 1 ⇒ Silgan Holdings Inc. (SLGN) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Silicon Laboratories, Inc. (SLAB) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ SiteOne Landscape Supply, Inc. (SITE) [ST]	JT	$1,001 - $15,000	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒	JT	$1,001 - $15,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Skyline Champion Corporation (SKY) [ST] DESCRIPTION: Appreciated over $1,000					
Morgan Stanley - Select UMA Account # 1 ⇒ Snap Inc. Class A (SNAP) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Sonova Holding AG Unsponsored ADR (SONVY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Spotify Technology S.A. Ordinary Shares (SPOT) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Square, Inc. Class A (SQ) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ SS&C Technologies Holdings, Inc. (SSNC) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Stag Industrial, Inc. (STAG) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Stifel Financial Corporation (SF) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Supernus Pharmaceuticals, Inc. (SUPN) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Symrise Ag Unsponsored ADR (SYIEY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Syneos Health, Inc. - Class A (SYNH) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Synopsys, Inc. (SNPS) [ST]	JT	$1,001 - $15,000	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Sysmex Corporation Unsponsored ADR (SSMXY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	JT	$15,001 - $50,000	Capital Gains, Dividends	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Target Corporation (TGT) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Tencent Holdings Limited Unsponsored ADR Representing B Shares (TCEHY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	$15,001 - $50,000	Capital Gains	$15,001 - $50,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Texas Instruments Incorporated (TXN) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Texas Roadhouse, Inc. (TXRH) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ The Blackstone Group Inc. (BX) [ST] DESCRIPTION: Purchase less than $1,000	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ The Descartes Systems Group Inc. (DSGX) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ The Shyft Group, Inc. (SHYF) [ST] DESCRIPTION: Appreciated over $1,000	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ The Trade Desk, Inc. - Class A (TTD) [ST]	JT	$1,001 - $15,000	Capital Gains	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Thermo Fisher Scientific Inc (TMO) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Twitter, Inc. (TWTR) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Unicharm Corp Sponsored ADR (UNICY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Unilever PLC (UL) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ United Parcel Service, Inc. (UPS) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Universal Forest Products, Inc. (UFPI) [ST]	JT	$1,001 - $15,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ US Ecology, Inc. (ECOL) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index FD (VEU) [EF]	JT	$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Veeva Systems Inc. Class A (VEEV) [ST]	JT	$1,001 - $15,000	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Veracyte, Inc. (VCYT) [ST]	JT	$1,001 - $15,000	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Verizon Communications Inc. (VZ) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Viavi Solutions Inc. (VIAV) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Virtusa Corporation - common stock (VRTU) [ST]	JT	None	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Wayfair Inc. Class A (W) [ST]	JT	$1,001 - $15,000	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ WD-40 Company (WDFC) [ST]	JT	None	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ WillScot Mobile Mini Holdings Corp. - Class A (WSC) [ST] DESCRIPTION: Appreciated over $1,000	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Wolverine World Wide, Inc. (WWW) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Workday, Inc. - Class A (WDAY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ WPX Energy, Inc. (WPX) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Wright Medical Group N.V. - Ordinary Shares (WMGI) [ST]	JT	None	Capital Gains	$201 - $1,000	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Yandex N.V. - Class A Ordinary Shares (YNDX) [ST]	JT	$1,001 - $15,000	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Zillow Group, Inc. - Class C Capital Stock (Z) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - SEP / Traditional IRA ⇒ American Cap Inc Builder A (CAIBX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - SEP / Traditional IRA ⇒ American Gr Fd of America A (AGTHX) [MF]		$15,001 - $50,000	Tax-Deferred		☐
Morgan Stanley - SEP / Traditional IRA ⇒ American SmallCap World A (SMCWX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - SEP / Traditional IRA ⇒ American Wa Mutual A (AWSHX) [MF]		$15,001 - $50,000	Tax-Deferred		☐
Morgan Stanley - SEP / Traditional IRA ⇒ Morgan Stanley Bank N.A. # [IH]		$15,001 - $50,000	Tax-Deferred		☐
Morgan Stanley - Traditional Inherited IRA ⇒ ACAP Strategic Interval Common (XCAPX) [MF]		$250,001 - $500,000	Tax-Deferred		☑
Morgan Stanley - Traditional Inherited IRA ⇒ Morgan Stanley Bank N.A. # [BA]		$15,001 - $50,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ iShares MSCI EAFE ETF (EFA) [ST]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ Morgan Stanley Bank N.A. # [IH]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ Russell Inv Commodity Strat S (RCCSX) [MF]		$1 - $1,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ Russell Inv Emerging Markets S (REMSX) [MF]		$1 - $1,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ Russell Inv Glb Infrastrctre S (RGISX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ Russell Inv Glb Opprnstc Crd S (RGCSX) [MF]		$1 - $1,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Traditional IRA ⇒ Russell Inv Glb Real Est Sec S (RRESX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ Russell Inv Global Equity S (RGESX) [MF]		$15,001 - $50,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ Russell Inv Intl Dev Mkts S (RINTX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ Russell Inv US Small Cap Eq S (RLESX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ Russell Inv US Strat Eq S (RSESX) [MF]		$15,001 - $50,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ SPDR S&P 500 (SPY) [ST]		$1,001 - $15,000	Tax-Deferred		☐
Synchrony Bank [BA]		$15,001 - $50,000	Interest	$1 - $200	☐
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ Fidelity 500 Index [MF]	SP	$50,001 - $100,000	Tax-Deferred		☐
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ Oakmark International Ret Acct [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ Schwab Small Cap Index [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ SSgA Emerging Markets Index Ret Acct [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ SSgA S&P Mid Cap Index Ret Acct [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ TA Vanguard Instl Trg Re 2040 [MF]	SP	$50,001 - $100,000	Tax-Deferred		☑
Tusk Venture Partners Advisors, LLC [OL]		$1 - $1,000	None		☐
LOCATION: New York, NY, US DESCRIPTION: Technology company					
Tusk Venture Partners I GP, LLC [OL]		$250,001 -	Partnership	$100,001 -	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
		$500,000	Income	$1,000,000	
LOCATION: New York, NY, US DESCRIPTION: Technology company					
Tusk Venture Partners II GP, LLC [OL]		$1,001 - $15,000	None		☐
LOCATION: New York, NY, US DESCRIPTION: Technology company					
Vanguard SEP IRA ⇒ Target Retirement 2040 [MF]	SP	$15,001 - $50,000	Tax-Deferred		☐
Wealthfront ⇒ Alphabet Inc. - Class A (GOOGL) [ST]		$1,001 - $15,000	None		☐
Wealthfront ⇒ Apple Inc. (AAPL) [ST]		$1,001 - $15,000	None		☐
Wealthfront ⇒ Bank of America Corporation (BAC) [ST]		$1,001 - $15,000	None		☐
Wealthfront ⇒ Facebook, Inc. - Class A (FB) [ST]		$1,001 - $15,000	None		☐
Wealthfront ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [ST]		$1,001 - $15,000	None		☐
Wealthfront ⇒ Johnson & Johnson (JNJ) [ST]		$1,001 - $15,000	None		☐
Wealthfront ⇒ JP Morgan Chase & Co. (JPM) [ST]		$1,001 - $15,000	None		☐
Wealthfront ⇒ Schwab International Equity ETF (SCHF) [ST]		$15,001 - $50,000	Dividends	$201 - $1,000	☑
Wealthfront ⇒ Vanguard Extended Market ETF (VXF) [ST]		$15,001 - $50,000	Dividends	$201 - $1,000	☐
Wealthfront ⇒ Vanguard FTSE Developed Markets ETF (VEA) [ST]		$1,001 - $15,000	None		☑
Wealthfront ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [ST]		$15,001 - $50,000	Dividends	$201 - $1,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Wealthfront ⇒ Vanguard Mun DB FD Inc Tax Exempt Bond (VTEB) [MF]		$1,001 - $15,000	None		☐
Wealthfront ⇒ Vanguard S&P 500 ETF (VOO) [ST]		$1,001 - $15,000	None		☐
Wealthfront ⇒ Wealthfront Risk Parity Fund (WFRPX) [MF]		$15,001 - $50,000	Dividends	$201 - $1,000	☐
Wells Fargo - SEP IRA ⇒ Fidelity 500 Index Investor Class (FUSEX) [MF] DESCRIPTION: Formerly reported as Morgan Stanley - SEP IRA		$15,001 - $50,000	Tax-Deferred		☐
Wells Fargo - SEP IRA ⇒ Fidelity Asset Manager (FAMRX) [MF] DESCRIPTION: Formerly reported as Morgan Stanley - SEP IRA		$50,001 - $100,000	Tax-Deferred		☐
Wells Fargo - SEP IRA ⇒ Fidelity Diversified International (FDIVX) [MF] DESCRIPTION: Formerly reported as Morgan Stanley - SEP IRA		$1,001 - $15,000	Tax-Deferred		☐
Wells Fargo - SEP IRA ⇒ Morgan Stanley - Bank Deposit [IH] DESCRIPTION: Formerly reported as Morgan Stanley - SEP IRA		$1,001 - $15,000	Tax-Deferred		☐
Wells Fargo - SEP IRA ⇒ SPDR S&P 500 ETF TR Unit Ser 1 (SPY) [EF] DESCRIPTION: Formerly reported as Morgan Stanley - SEP IRA		$15,001 - $50,000	Tax-Deferred		☐
Wells Fargo Bank Accounts [BA] DESCRIPTION: Checking & Savings		$50,001 - $100,000	Interest	$201 - $1,000	☐
WPP plc American Depositary Shares (WPP) [ST] DESCRIPTION: Formerly reported as WPP plc (WPPGY)		$15,001 - $50,000	Dividends	$201 - $1,000	☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Ameritrade ⇒ Microsoft Corporation (MSFT) [ST]		03/17/2020	P	$1,001 - $15,000	
Fidelity Brokerage ⇒ Microsoft Corporation (MSFT) [ST]		03/24/2020	P	$50,001 - $100,000	
IG Sponsor LLC [OT] LOCATION: US DESCRIPTION: Special purpose acquisition company - as of 10/1/2020 asset converted to a public trading stock on the Nasdaq under ticker symbol IGACU.	SP	09/22/2020	P	$100,001 - $250,000	
Morgan Stanley - Active Asset Account # 1 ⇒ Invesco QQQ (QQQ) [EF]		03/24/2020	P	$15,001 - $50,000	
Morgan Stanley - Active Asset Account # 1 ⇒ SPDR S&P 500 ETF (SPY) [EF]		03/24/2020	P	$15,001 - $50,000	
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $170; Expires 02/28/2020	JT	01/9/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $170; Expires 02/28/2020	JT	02/13/2020	P	$50,001 - $100,000	
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $130; Expires 04/17/2020	JT	02/13/2020	P	$100,001 - $250,000	
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $130; Expires 04/17/2020	JT	02/13/2020	P	$250,001 - $500,000	
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $170; Expires 07/17/2020	JT	02/13/2020	S	$50,001 - $100,000	☐
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $135; Expires 07/17/2020	JT	02/13/2020	S	$250,001 - $500,000	☐
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	02/13/2020	S	$50,001 - $100,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
DESCRIPTION: Call options; Strike Price $135; Expires 07/17/2020					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	02/13/2020	P	$50,001 - $100,000	
DESCRIPTION: Call options; Strike Price $130; Expires 02/21/2020					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	02/13/2020	P	$250,001 - $500,000	
DESCRIPTION: Call options; Strike Price $130; Expires 02/21/2020					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	02/13/2020	S	$100,001 - $250,000	☐
DESCRIPTION: Call options; Strike Price $140; Expires 12/18/2020					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	02/13/2020	S	$100,001 - $250,000	☐
DESCRIPTION: Call options; Strike Price $135; Expires 12/18/2020					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	02/13/2020	S	$100,001 - $250,000	☐
DESCRIPTION: Call options; Strike Price $135; Expires 12/18/2020					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	02/13/2020	S	$250,001 - $500,000	☐
DESCRIPTION: Call options; Strike Price $135; Expires 09/18/2020					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	02/13/2020	P	$100,001 - $250,000	
DESCRIPTION: Call options; Strike Price $135; Expires 03/20/20					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	02/13/2020	P	$100,001 - $250,000	
DESCRIPTION: Call options; Strike Price $135; Expires 03/20/20					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	02/13/2020	P	$250,001 - $500,000	
DESCRIPTION: Call options; Strike Price $130; Expires 03/20/20					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	02/13/2020	P	$100,001 - $250,000	
DESCRIPTION: Call options; Strike Price $130; Expires 03/20/20					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP]	JT	02/13/2020	S	$100,001 - $250,000	☐
DESCRIPTION: Call options; Strike Price $135; Expires 06/19/2020					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $140; Expires 01/15/2021	JT	02/13/2020	S	$100,001 - $250,000	☐
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [ST]	JT	03/17/2020	P	$15,001 - $50,000	
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $140; Expires 12/18/2020	JT	03/20/2020	P	$50,001 - $100,000	
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $145; Expires 01/15/2021	JT	03/20/2020	S	$50,001 - $100,000	☐
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $140; Expires 01/15/2021	JT	03/20/2020	P	$100,001 - $250,000	
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $150; Expires 03/19/2021	JT	03/20/2020	S	$50,001 - $100,000	☐
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $170; Expires 12/18/2020	JT	03/12/2020	P	$15,001 - $50,000	
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $135; Expires 12/18/2020	JT	03/12/2020	P	$50,001 - $100,000	
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $135; Expires 12/18/2020	JT	03/12/2020	P	$50,001 - $100,000	
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $140; Expires 09/18/2020	JT	03/12/2020	P	$50,001 - $100,000	
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $135; Expires 09/18/2020	JT	03/12/2020	P	$50,001 - $100,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $135; Expires 09/18/2020	JT	03/12/2020	P	$50,001 - $100,000	
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $160; Expires 01/15/2021	JT	03/12/2020	S	$50,001 - $100,000	☐
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $150; Expires 01/15/2021	JT	03/12/2020	S	$50,001 - $100,000	☐
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $145; Expires 01/15/2021	JT	03/12/2020	S	$50,001 - $100,000	☐
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $145; Expires 01/15/2021	JT	03/12/2020	S	$50,001 - $100,000	☐
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $145; Expires 01/15/2021	JT	03/12/2020	S	$50,001 - $100,000	☐
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $150; Expires 03/19/2021	JT	03/12/2020	S	$50,001 - $100,000	☐
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $145; Expires 03/19/2021	JT	03/12/2020	S	$50,001 - $100,000	☐
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $170; Expires 07/17/2020	JT	03/6/2020	P	$15,001 - $50,000	
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $135; Expires 07/17/2020	JT	03/6/2020	P	$100,001 - $250,000	
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $135; Expires 07/17/2020	JT	03/6/2020	P	$100,001 - $250,000	
Morgan Stanley - Portfolio Management ⇒	JT	03/6/2020	S	$50,001 -	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $170; Expires 12/18/2020				$100,000	
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $145; Expires 12/18/2020	JT	03/6/2020	S	$50,001 - $100,000	☐
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $140; Expires 09/18/2020	JT	03/6/2020	S	$100,001 - $250,000	☐
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $135; Expires 06/19/2020	JT	03/6/2020	P	$100,001 - $250,000	
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $150; Expires 01/15/2021	JT	03/6/2020	S	$50,001 - $100,000	☐
Morgan Stanley - Portfolio Management ⇒ United Airlines Holdings, Inc. (UAL) [ST]	JT	03/17/2020	P	$15,001 - $50,000	
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $145; Expires 12/18/2020	JT	11/17/2020	P	$100,001 - $250,000	
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $160; Expires 1/15/2021	JT	11/17/2020	P	$100,001 - $250,000	
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $150; Expires 1/15/2021	JT	11/17/2020	P	$250,001 - $500,000	
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $145; Expires 1/15/2021	JT	11/17/2020	P	$500,001 - $1,000,000	
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP]	JT	11/17/2020	S	$250,001 - $500,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
DESCRIPTION: Call options; Strike Price $150; Expires 06/18/2021					
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $150; Expires 3/19/2021	JT	11/17/2020	S	$250,001 - $500,000	☐
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $145; Expires 3/19/2021	JT	11/17/2020	S	$500,001 - $1,000,000	☐
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $160; Expires 2/19/2021	JT	11/17/2020	S	$100,001 - $250,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Abbott Laboratories (ABT) [ST]	JT	03/13/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ ABIOMED, Inc. (ABMD) [ST]	JT	01/10/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Advanced Micro Devices, Inc. (AMD) [ST]	JT	05/15/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Advanced Micro Devices, Inc. (AMD) [ST]	JT	08/3/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Advanced Micro Devices, Inc. (AMD) [ST]	JT	09/14/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Advanced Micro Devices, Inc. (AMD) [ST]	JT	11/16/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Advanced Micro Devices, Inc. (AMD) [ST]	JT	11/11/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Adyen N V Unsponsored ADR (ADYEY) [OT] LOCATION: US DESCRIPTION: ADR stock	JT	03/26/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Adyen NV Unsponsored ADR (ADYEY) [OT]	JT	06/2/2020	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
LOCATION: US					
DESCRIPTION: Stock					
Morgan Stanley - Select UMA Account # 1 ⇒ Agree Realty Corporation (ADC) [ST]	JT	07/22/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ AIA Group, Ltd. Sponsored American Depository Receipt (AAGIY) [ST]	JT	04/17/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Air Products and Chemicals, Inc. (APD) [ST]	JT	02/5/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Air Products and Chemicals, Inc. (APD) [ST]	JT	02/4/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Air Products and Chemicals, Inc. (APD) [ST]	JT	02/3/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alcon Inc. Ordinary Shares (ALC) [ST]	JT	06/3/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alcon Inc. Ordinary Shares (ALC) [ST]	JT	06/2/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alcon Inc. Ordinary Shares (ALC) [ST]	JT	09/15/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Alcon Inc. Ordinary Shares (ALC) [ST]	JT	10/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]	JT	05/8/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]	JT	11/11/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Align Technology, Inc. (ALGN) [ST]	JT	11/11/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Align Technology, Inc. (ALGN) [ST]	JT	11/6/2020	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Allegiant Travel Company (ALGT) [ST]	JT	09/15/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Allegiant Travel Company (ALGT) [ST]	JT	10/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alnylam Pharmaceuticals, Inc. (ALNY) [ST]	JT	04/6/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alnylam Pharmaceuticals, Inc. (ALNY) [ST]	JT	11/13/2020	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	04/30/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	04/17/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	05/22/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	06/26/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	06/22/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	08/3/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	09/25/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	09/21/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Altria Group, Inc. (MO) [ST]	JT	03/13/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Altria Group, Inc. (MO) [ST]	JT	12/11/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Amadeus IT Holding SA Unsponsored American	JT	06/3/2020	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Depository Shares (AMADY) [ST]					
Morgan Stanley - Select UMA Account # 1 ⇒ Amadeus IT Holding SA Unsponsored American Depository Shares (AMADY) [ST]	JT	06/2/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Ambev S.A. American Depositary Shares (ABEV) [ST]	JT	06/15/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Ambev S.A. American Depositary Shares (ABEV) [ST]	JT	07/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Ameris Bancorp (ABCB) [ST]	JT	09/15/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Ameris Bancorp (ABCB) [ST]	JT	10/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Amgen Inc. (AMGN) [ST]	JT	01/14/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Amgen Inc. (AMGN) [ST]	JT	05/15/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Amgen Inc. (AMGN) [ST]	JT	10/22/2020	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Amgen Inc. (AMGN) [ST]	JT	10/8/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Amphenol Corporation (APH) [ST]	JT	11/13/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	01/29/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	01/14/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	02/3/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	03/23/2020	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	03/20/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	03/5/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	04/20/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	06/26/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	07/23/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	09/15/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	09/1/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Arista Networks, Inc. (ANET) [ST]	JT	04/13/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Arista Networks, Inc. (ANET) [ST]	JT	08/7/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Atlassian Corporation Plc - Class A Ordinary Shares (TEAM) [ST]	JT	02/5/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Atlassian Corporation Plc - Class A Ordinary Shares (TEAM) [ST]	JT	11/16/2020	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Atlassian Corporation Plc - Class A Ordinary Shares (TEAM) [ST]	JT	11/6/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Baidu, Inc. - American Depositary Shares, each representing one tenth Class A ordinary share (BIDU) [ST]	JT	01/10/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒	JT	06/3/2020	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Baidu, Inc. - American Depositary Shares, each representing one tenth Class A ordinary share (BIDU) [ST]					
Morgan Stanley - Select UMA Account # 1 ⇒ Baidu, Inc. - American Depositary Shares, each representing one tenth Class A ordinary share (BIDU) [ST]	JT	06/2/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Banco Bilbao Vizcaya Argentaria S.A. (BBVA) [ST]	JT	01/10/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Banco Bilbao Vizcaya Argentaria S.A. (BBVA) [ST]	JT	09/15/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Banco Bilbao Vizcaya Argentaria S.A. (BBVA) [ST]	JT	10/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	07/23/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	12/22/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Biogen Inc. (BIIB) [ST]	JT	07/7/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ BioMarin Pharmaceutical Inc. (BMRN) [ST]	JT	09/15/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ BioMarin Pharmaceutical Inc. (BMRN) [ST]	JT	10/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Boeing Company (BA) [ST]	JT	01/21/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Boeing Company (BA) [ST]	JT	01/7/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Boeing Company (BA) [ST]	JT	04/9/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Boeing Company (BA) [ST]	JT	04/2/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒	JT	01/10/2020	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Booking Holdings Inc. (BKNG) [ST]					
Morgan Stanley - Select UMA Account # 1 ⇒ Booking Holdings Inc. (BKNG) [ST]	JT	06/15/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Booking Holdings Inc. (BKNG) [ST]	JT	07/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Booking Holdings Inc. (BKNG) [ST]	JT	08/10/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Booking Holdings Inc. (BKNG) [ST]	JT	09/25/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Booking Holdings Inc. (BKNG) [ST]	JT	09/21/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Boot Barn Holdings, Inc. (BOOT) [ST]	JT	10/15/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Boston Beer Company, Inc. (SAM) [ST]	JT	08/13/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Boston Scientific Corporation (BSX) [ST]	JT	02/27/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Boston Scientific Corporation (BSX) [ST]	JT	04/14/2020	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Boston Scientific Corporation (BSX) [ST]	JT	04/9/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Brooks Automation, Inc. (BRKS) [ST]	JT	12/2/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Cabot Microelectronics Corporation (CCMP) [ST]	JT	08/10/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ CACI International, Inc. Class A (CACI) [ST]	JT	03/24/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Canadian National Railway Company (CNI) [ST]	JT	05/8/2020	S (partial)	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Cantel Medical Corp. (CMD) [ST]	JT	05/5/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Carnival Corporation (CCL) [ST]	JT	01/10/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Carnival Corporation (CCL) [ST]	JT	03/13/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Carvana Co. Class A (CVNA) [ST]	JT	08/7/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Carvana Co. Class A (CVNA) [ST]	JT	09/15/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Carvana Co. Class A (CVNA) [ST]	JT	10/26/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Carvana Co. Class A (CVNA) [ST]	JT	10/22/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Carvana Co. Class A (CVNA) [ST]	JT	10/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Castle Biosciences, Inc. - Common stock (CSTL) [ST]	JT	12/21/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Catalent, Inc. (CTLT) [ST]	JT	02/3/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Catalent, Inc. (CTLT) [ST]	JT	05/28/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Catalent, Inc. (CTLT) [ST]	JT	05/15/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Catalent, Inc. (CTLT) [ST]	JT	08/12/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Catalent, Inc. (CTLT) [ST]	JT	09/24/2020	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Cathay General Bancorp (CATY) [ST]	JT	09/15/2020	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Cathay General Bancorp (CATY) [ST]	JT	10/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Central Garden & Pet Company (CENT) [ST]	JT	01/10/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Central Garden & Pet Company (CENT) [ST]	JT	05/7/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Central Garden & Pet Company - Class A Common Stock Nonvoting (CENTA) [ST]	JT	01/10/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Cerence Inc. (CRNC) [ST]	JT	11/19/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Charles Schwab Corporation (SCHW) [ST]	JT	06/25/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Charles Schwab Corporation (SCHW) [ST]	JT	08/7/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Charles Schwab Corporation (SCHW) [ST]	JT	11/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Check Point Software Technologies Ltd. - Ordinary Shares (CHKP) [ST]	JT	03/26/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Chevron Corporation (CVX) [ST]	JT	05/4/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Chevron Corporation (CVX) [ST]	JT	09/15/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Chevron Corporation (CVX) [ST]	JT	10/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ China Mobile Limited (CHL) [ST]	JT	01/10/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ China Mobile Limited (CHL) [ST]	JT	06/15/2020	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ China Mobile Limited (CHL) [ST]	JT	07/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ China Mobile Limited (CHL) [ST]	JT	12/11/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Chugai Pharmaceutical Ltd Unsponsored ADR (CHGCY) [ST]	JT	04/13/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Chuy's Holdings, Inc. (CHUY) [ST]	JT	09/15/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ CMC Materials, Inc. (CCMP) [ST]	JT	12/2/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Cognizant Technology Solutions Corporation - Class A (CTSH) [ST]	JT	11/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Cognizant Technology Solutions Corporation - Class A (CTSH) [ST]	JT	12/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Compass Minerals Intl Inc (CMP) [ST]	JT	01/10/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ CoStar Group, Inc. (CSGP) [ST]	JT	01/17/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ CoStar Group, Inc. (CSGP) [ST]	JT	09/25/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Costco Wholesale Corporation (COST) [ST]	JT	06/8/2020	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Covetrus, Inc. (CVET) [ST]	JT	08/24/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Covetrus, Inc. (CVET) [ST]	JT	08/12/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Covetrus, Inc. (CVET) [ST]	JT	09/15/2020	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Covetrus, Inc. (CVET) [ST]	JT	10/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ CryoLife, Inc. (CRY) [ST]	JT	01/14/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ CryoLife, Inc. (CRY) [ST]	JT	09/15/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ CryoLife, Inc. (CRY) [ST]	JT	10/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ CryoPort, Inc. (CYRX) [ST]	JT	01/14/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ CryoPort, Inc. (CYRX) [ST]	JT	11/13/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Dassault Systemes, S.A. American Depositary Shares (DASTY) [ST]	JT	12/3/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Deere & Company (DE) [ST]	JT	12/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Delta Air Lines, Inc. (DAL) [ST]	JT	05/4/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Dentsu Inc Tokyo Unsponsored ADR (DNTUY) [ST]	JT	01/10/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Dentsu Inc Tokyo Unsponsored ADR (DNTUY) [ST]	JT	03/26/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ DexCom, Inc. (DXCM) [ST]	JT	05/11/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ DexCom, Inc. (DXCM) [ST]	JT	08/13/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ DexCom, Inc. (DXCM) [ST]	JT	12/24/2020	S (partial)	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Diageo plc (DEO) [ST]	JT	09/30/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Dril-Quip, Inc. (DRQ) [ST]	JT	01/10/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Dril-Quip, Inc. (DRQ) [ST]	JT	09/15/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Ecolab Inc. (ECL) [ST]	JT	02/28/2020	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Emergent Biosolutions, Inc. (EBS) [ST]	JT	06/26/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Emergent Biosolutions, Inc. (EBS) [ST]	JT	06/15/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Endava plc American Depositary Shares (DAVA) [ST]	JT	09/24/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Enphase Energy, Inc. (ENPH) [ST]	JT	10/28/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Entegris, Inc. (ENTG) [ST]	JT	06/26/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Entegris, Inc. (ENTG) [ST]	JT	07/15/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Entegris, Inc. (ENTG) [ST]	JT	08/10/2020	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Estee Lauder Companies, Inc. (EL) [ST]	JT	01/22/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Estee Lauder Companies, Inc. (EL) [ST]	JT	02/5/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Estee Lauder Companies, Inc. (EL) [ST]	JT	11/13/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Exact Sciences Corporation (EXAS) [ST]	JT	01/22/2020	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Exact Sciences Corporation (EXAS) [ST]	JT	01/10/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Exact Sciences Corporation (EXAS) [ST]	JT	06/5/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Exact Sciences Corporation (EXAS) [ST]	JT	06/2/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Exact Sciences Corporation (EXAS) [ST]	JT	09/15/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Exact Sciences Corporation (EXAS) [ST]	JT	10/26/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Exact Sciences Corporation (EXAS) [ST]	JT	11/11/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ ExlService Holdings, Inc. (EXLS) [ST]	JT	03/26/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	04/30/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	08/4/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	11/6/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Fastenal Company (FAST) [ST]	JT	08/31/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Fastenal Company (FAST) [ST]	JT	09/1/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ FedEx Corporation (FDX) [ST]	JT	11/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Fidelity National Information Services, Inc. (FIS) [ST]	JT	05/1/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒	JT	06/25/2020	S	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Fidelity National Information Services, Inc. (FIS) [ST]			(partial)		
Morgan Stanley - Select UMA Account # 1 ⇒ Five Below, Inc. (FIVE) [ST]	JT	11/11/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ FleetCor Technologies, Inc. (FLT) [ST]	JT	06/9/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ FleetCor Technologies, Inc. (FLT) [ST]	JT	09/15/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ FleetCor Technologies, Inc. (FLT) [ST]	JT	10/26/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ FleetCor Technologies, Inc. (FLT) [ST]	JT	10/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Fomento Economico Mexicano S.A.B. de C.V. (FMX) [ST]	JT	12/11/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Franklin Resources, Inc. (BEN) [ST]	JT	01/10/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Franklin Resources, Inc. (BEN) [ST]	JT	06/15/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Franklin Resources, Inc. (BEN) [ST]	JT	07/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Franklin Resources, Inc. (BEN) [ST]	JT	09/15/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Franklin Resources, Inc. (BEN) [ST]	JT	10/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Fuchs Petrolub SE Unsponsored American Depository ReceiptRepresenting Preferred Shares (FUPBY) [ST]	JT	01/17/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Globus Medical, Inc. Class A (GMED) [ST]	JT	05/28/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ GrubHub Inc. (GRUB) [ST]	JT	05/12/2020	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Haemonetics Corporation (HAE) [ST]	JT	05/28/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Haemonetics Corporation (HAE) [ST]	JT	05/15/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Haemonetics Corporation (HAE) [ST]	JT	09/15/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Haemonetics Corporation (HAE) [ST]	JT	10/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Halozyme Therapeutics, Inc. (HALO) [ST]	JT	10/19/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ HCA Healthcare, Inc. (HCA) [ST]	JT	11/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ HealthEquity, Inc. (HQY) [ST]	JT	03/26/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ HealthEquity, Inc. (HQY) [ST]	JT	04/3/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ HealthEquity, Inc. (HQY) [ST]	JT	07/9/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Helen of Troy Limited (HELE) [ST]	JT	03/2/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Helen of Troy Limited (HELE) [ST]	JT	11/19/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Home Depot, Inc. (HD) [ST]	JT	06/1/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Home Depot, Inc. (HD) [ST]	JT	12/9/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Honeywell International Inc. (HON) [ST]	JT	03/26/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒	JT	06/26/2020	S	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Honeywell International Inc. (HON) [ST]					
Morgan Stanley - Select UMA Account # 1 ⇒ Horace Mann Educators Corporation (HMN) [ST]	JT	02/18/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Horace Mann Educators Corporation (HMN) [ST]	JT	02/14/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Horace Mann Educators Corporation (HMN) [ST]	JT	09/15/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Horace Mann Educators Corporation (HMN) [ST]	JT	10/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ HSBC Holdings, plc. (HSBC) [ST]	JT	03/26/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ HubSpot, Inc. (HUBS) [ST]	JT	06/26/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ HubSpot, Inc. (HUBS) [ST]	JT	08/5/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ HubSpot, Inc. (HUBS) [ST]	JT	09/24/2020	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ ICU Medical, Inc. (ICUI) [ST]	JT	12/21/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Illumina, Inc. (ILMN) [ST]	JT	11/6/2020	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Infineon Technologies AG (IFNNY) [ST]	JT	09/17/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Infineon Technologies AG (IFNNY) [ST]	JT	12/3/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Integra LifeSciences Holdings Corporation (IART) [ST]	JT	02/3/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Integra LifeSciences Holdings Corporation (IART) [ST]	JT	09/15/2020	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Integra LifeSciences Holdings Corporation (IART) [ST]	JT	10/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Intuitive Surgical, Inc. (ISRG) [ST]	JT	04/17/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	06/15/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	09/15/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	01/10/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	07/16/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	10/16/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	06/15/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	07/14/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	09/15/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	01/10/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	07/16/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	10/16/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 2000 ETF (IWM) [EF]	JT	06/15/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 2000 ETF (IWM) [EF]	JT	09/15/2020	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 2000 ETF (IWM) [EF]	JT	01/10/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 2000 ETF (IWM) [EF]	JT	07/16/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 2000 ETF (IWM) [EF]	JT	10/16/2020	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Itau Unibanco Banco Holding SA American Depositary Shares (ITUB) [ST]	JT	06/3/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Itau Unibanco Banco Holding SA American Depositary Shares (ITUB) [ST]	JT	06/2/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ John Bean Technologies Corporation (JBT) [ST]	JT	03/2/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Johnson & Johnson (JNJ) [ST]	JT	07/23/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Johnson & Johnson (JNJ) [ST]	JT	09/30/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Kinder Morgan, Inc. (KMI) [ST]	JT	05/4/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Komatsu Ltd Ord American Depositary Shares (KMTUY) [ST]	JT	01/30/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Komatsu Ltd Ord American Depositary Shares (KMTUY) [ST]	JT	04/13/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Lam Research Corporation (LRCX) [ST]	JT	02/28/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Las Vegas Sands Corp. (LVS) [ST]	JT	04/27/2020	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Las Vegas Sands Corp. (LVS) [ST]	JT	08/7/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ LHC Group - common stock (LHCG) [ST]	JT	02/28/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Linde plc Ordinary Share (LIN) [ST]	JT	04/13/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Lithia Motors, Inc. (LAD) [ST]	JT	08/5/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Lowe's Companies, Inc. (LOW) [ST]	JT	11/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Lukoil Co Sponsored ADR (LUKOY) [ST]	JT	09/15/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Lukoil Co Sponsored ADR (LUKOY) [ST]	JT	10/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ lululemon athletica inc. (LULU) [ST]	JT	01/14/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ lululemon athletica inc. (LULU) [ST]	JT	03/27/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Lyft, Inc. - Class A (LYFT) [ST]	JT	06/5/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Lyft, Inc. - Class A (LYFT) [ST]	JT	09/15/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Lyft, Inc. - Class A (LYFT) [ST]	JT	10/26/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Lyft, Inc. - Class A (LYFT) [ST]	JT	10/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ MarketAxess Holdings, Inc. (MKTX) [ST]	JT	06/22/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ MarketAxess Holdings, Inc. (MKTX) [ST]	JT	11/13/2020	S (partial)	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Mastercard Incorporated (MA) [ST]	JT	01/9/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Mastercard Incorporated (MA) [ST]	JT	04/17/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Mastercard Incorporated (MA) [ST]	JT	09/14/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Mastercard Incorporated (MA) [ST]	JT	10/29/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Matador Resources Company (MTDR) [ST]	JT	01/10/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Match Group, Inc. (MTCH) [ST]	JT	11/13/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ McKesson Corporation (MCK) [ST]	JT	11/13/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Medpace Holdings, Inc. (MEDP) [ST]	JT	10/29/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Medpace Holdings, Inc. (MEDP) [ST]	JT	12/8/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ MercadoLibre, Inc. (MELI) [ST]	JT	01/14/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ MercadoLibre, Inc. (MELI) [ST]	JT	02/5/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ MercadoLibre, Inc. (MELI) [ST]	JT	11/11/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Meridian Bancorp, Inc. (EBSB) [ST]	JT	09/15/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Meridian Bancorp, Inc. (EBSB) [ST]	JT	10/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒	JT	01/16/2020	S	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Microsoft Corporation (MSFT) [ST]			(partial)		
Morgan Stanley - Select UMA Account # 1 ⇒ Microsoft Corporation (MSFT) [ST]	JT	01/9/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Microsoft Corporation (MSFT) [ST]	JT	04/13/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Microsoft Corporation (MSFT) [ST]	JT	05/15/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Microsoft Corporation (MSFT) [ST]	JT	06/22/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Minerals Technologies Inc. (MTX) [ST]	JT	09/24/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Moderna, Inc. (MRNA) [ST]	JT	05/22/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Morgan Stanley (MS) [ST]	JT	12/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]	JT	03/13/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]	JT	05/11/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Netflix, Inc. (NFLX) [ST]	JT	02/27/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Netflix, Inc. (NFLX) [ST]	JT	11/11/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Nintendo Co Ltd ADR (NTDOY) [ST]	JT	09/1/2020	P	$15,001 - $50,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Nitori Holdings Co Ltd ADR (NCLTY) [OT]	JT	03/11/2020	P	$1,001 - $15,000	

LOCATION: US
DESCRIPTION: ADR stock

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Nitori Holdings Co LTD ADR (NCLTY) [OT] LOCATION: US DESCRIPTION: ADR stock	JT	03/12/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ NVIDIA Corporation (NVDA) [ST]	JT	02/28/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ NVIDIA Corporation (NVDA) [ST]	JT	06/1/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ NVIDIA Corporation (NVDA) [ST]	JT	09/14/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ NVIDIA Corporation (NVDA) [ST]	JT	11/16/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ NVIDIA Corporation (NVDA) [ST]	JT	11/11/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ OceanFirst Financial Corp. (OCFC) [ST]	JT	10/23/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ PACCAR Inc. (PCAR) [ST]	JT	02/4/2020	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ PACCAR Inc. (PCAR) [ST]	JT	02/3/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Pacific Premier Bancorp Inc (PPBI) [ST]	JT	09/15/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Pacific Premier Bancorp Inc (PPBI) [ST]	JT	10/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Paylocity Holding Corporation (PCTY) [ST]	JT	02/14/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	02/27/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	09/25/2020	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Pebblebrook Hotel Trust Common Shares of Beneficial Interest (PEB) [ST]	JT	03/2/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Philip Morris International Inc (PM) [ST]	JT	05/4/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Phillips 66 (PSX) [ST]	JT	06/5/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Phillips 66 (PSX) [ST]	JT	09/15/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Phillips 66 (PSX) [ST]	JT	10/19/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Phillips 66 (PSX) [ST]	JT	10/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Phreesia, Inc. (PHR) [ST]	JT	02/3/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Phreesia, Inc. (PHR) [ST]	JT	12/21/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Pinterest, Inc. Class A (PINS) [ST]	JT	11/11/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Power Integrations, Inc. (POWI) [ST]	JT	08/24/2020	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Primoris Services Corporation (PRIM) [ST]	JT	01/10/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Primoris Services Corporation (PRIM) [ST]	JT	09/15/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Primoris Services Corporation (PRIM) [ST]	JT	10/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ ProAssurance Corporation (PRA) [ST]	JT	10/15/2020	S	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ QUALCOMM Incorporated (QCOM) [ST]	JT	11/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ QUALCOMM Incorporated (QCOM) [ST]	JT	11/12/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Quidel Corporation (QDEL) [ST]	JT	03/2/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Quidel Corporation (QDEL) [ST]	JT	07/8/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Quidel Corporation (QDEL) [ST]	JT	11/11/2020	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Rapid7, Inc. (RPD) [ST]	JT	02/14/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Regeneron Pharmaceuticals, Inc. (REGN) [ST]	JT	05/27/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Regeneron Pharmaceuticals, Inc. (REGN) [ST]	JT	12/11/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Rio Tinto Plc (RIO) [ST]	JT	04/14/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Rio Tinto Plc (RIO) [ST]	JT	04/13/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Ritchie Bros. Auctioneers Incorporated (RBA) [ST]	JT	08/24/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Ritchie Bros. Auctioneers Incorporated (RBA) [ST]	JT	11/11/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Roche Holdings AG Basel American Depositary Shares (RHHBY) [ST]	JT	04/14/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Rockwell Automation, Inc. (ROK) [ST]	JT	10/22/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒	JT	09/15/2020	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Rogers Corporation (ROG) [ST]			(partial)		
Morgan Stanley - Select UMA Account # 1 ⇒ Rogers Corporation (ROG) [ST]	JT	10/29/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Rogers Corporation (ROG) [ST]	JT	10/26/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Roper Technologies, Inc. (ROP) [ST]	JT	03/27/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Roper Technologies, Inc. (ROP) [ST]	JT	10/28/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Ross Stores, Inc. (ROST) [ST]	JT	08/4/2020	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Royal Dutch Shell PLC Royal Dutch Shell PLC American Depositary Shares (RDS.B) [ST]	JT	04/13/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Royal Dutch Shell PLC Royal Dutch Shell PLC American Depositary Shares (RDS.B) [ST]	JT	06/15/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Royal Dutch Shell PLC Royal Dutch Shell PLC American Depositary Shares (RDS.B) [ST]	JT	07/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Ryman Hospitality Properties, Inc. (RHP) [ST]	JT	06/15/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ S&P Global Inc. (SPGI) [ST]	JT	08/27/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Salesforce.com Inc (CRM) [ST]	JT	06/5/2020	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Salesforce.com Inc (CRM) [ST]	JT	09/25/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Salesforce.com Inc (CRM) [ST]	JT	12/11/2020	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Sarepta Therapeutics, Inc. (SRPT) [ST]	JT	11/6/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Sasol Ltd. American Depositary Shares (SSL) [ST]	JT	01/10/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Schlumberger N.V. (SLB) [ST]	JT	01/10/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Schlumberger N.V. (SLB) [ST]	JT	04/13/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Schneider Electric SE Unsponsored ADR (SBGSY) [ST]	JT	04/14/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Seacoast Banking Corporation of Florida (SBCF) [ST]	JT	09/15/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Seacoast Banking Corporation of Florida (SBCF) [ST]	JT	10/23/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Seacoast Banking Corporation of Florida (SBCF) [ST]	JT	10/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Seattle Genetics, Inc. (SGEN) [ST]	JT	02/18/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Seattle Genetics, Inc. (SGEN) [ST]	JT	04/30/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Seattle Genetics, Inc. (SGEN) [ST]	JT	08/3/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ ServiceNow, Inc. (NOW) [ST]	JT	11/16/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ ServiceNow, Inc. (NOW) [ST]	JT	11/13/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Shionogi & Co Ltd Unsponsored ADR (SGIOY) [ST]	JT	02/18/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Shionogi & Co Ltd Unsponsored ADR (SGIOY) [ST]	JT	02/14/2020	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Silgan Holdings Inc. (SLGN) [ST]	JT	06/15/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Silgan Holdings Inc. (SLGN) [ST]	JT	10/19/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ SiteOne Landscape Supply, Inc. (SITE) [ST]	JT	10/23/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ SiteOne Landscape Supply, Inc. (SITE) [ST]	JT	12/2/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Snap Inc. Class A (SNAP) [ST]	JT	04/23/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Snap Inc. Class A (SNAP) [ST]	JT	11/6/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Snap Inc. Class A (SNAP) [ST]	JT	11/2/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Snowflake Inc. Class A (SNOW) [ST]	JT	11/11/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Snowflake Inc. Class A (SNOW) [ST]	JT	11/6/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Spotify Technology S.A. Ordinary Shares (SPOT) [ST]	JT	10/22/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Spotify Technology S.A. Ordinary Shares (SPOT) [ST]	JT	11/11/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Square, Inc. Class A (SQ) [ST]	JT	03/26/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Square, Inc. Class A (SQ) [ST]	JT	09/25/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Starbucks Corporation (SBUX) [ST]	JT	03/18/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒	JT	05/1/2020	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Starbucks Corporation (SBUX) [ST]			(partial)		
Morgan Stanley - Select UMA Account # 1 ⇒ Starbucks Corporation (SBUX) [ST]	JT	08/4/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Starbucks Corporation (SBUX) [ST]	JT	09/17/2020	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Supernus Pharmaceuticals, Inc. (SUPN) [ST]	JT	05/28/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Synopsys, Inc. (SNPS) [ST]	JT	06/1/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	JT	03/12/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	JT	04/17/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	JT	07/27/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	JT	08/4/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Tencent Holdings Limited Unsponsored ADR Representing B Shares (TCEHY) [ST]	JT	06/2/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	04/17/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	05/22/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	07/14/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	11/16/2020	S (partial)	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	11/13/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	11/6/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Texas Instruments Incorporated (TXN) [ST]	JT	09/30/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ The Descartes Systems Group Inc. (DSGX) [ST]	JT	03/26/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ The Trade Desk, Inc. - Class A (TTD) [ST]	JT	06/5/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ The Trade Desk, Inc. - Class A (TTD) [ST]	JT	11/11/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Thermo Fisher Scientific Inc (TMO) [ST]	JT	06/1/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Thermo Fisher Scientific Inc (TMO) [ST]	JT	11/11/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Transdigm Group Incorporated Transdigm Group Inc. (TDG) [ST]	JT	08/7/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Twitter, Inc. (TWTR) [ST]	JT	11/11/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Unilever PLC (UL) [ST]	JT	03/26/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ United Bankshares, Inc. (UBSI) [ST]	JT	01/10/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ United Bankshares, Inc. (UBSI) [ST]	JT	02/3/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ United Parcel Service, Inc. (UPS) [ST]	JT	12/15/2020	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ US Ecology, Inc. (ECOL) [ST]	JT	09/15/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index FD (VEU) [EF]	JT	06/15/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index FD (VEU) [EF]	JT	09/15/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index FD (VEU) [EF]	JT	01/10/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index FD (VEU) [EF]	JT	01/30/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index FD (VEU) [EF]	JT	07/16/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index FD (VEU) [EF]	JT	10/16/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Veeva Systems Inc. Class A (VEEV) [ST]	JT	09/14/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Veeva Systems Inc. Class A (VEEV) [ST]	JT	11/16/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Veracyte, Inc. (VCYT) [ST]	JT	12/8/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Verizon Communications Inc. (VZ) [ST]	JT	07/23/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Viatris Inc. (VTRS) [ST]	JT	11/19/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Viatris Inc. (VTRS) [ST]	JT	12/14/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Viavi Solutions Inc. (VIAV) [ST]	JT	05/7/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Viavi Solutions Inc. (VIAV) [ST]	JT	05/5/2020	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Virtusa Corporation (VRTU) [ST]	JT	11/19/2020	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Virtusa Corporation (VRTU) [ST]	JT	11/11/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Wayfair Inc. Class A (W) [ST]	JT	08/13/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ WD-40 Company (WDFC) [ST]	JT	07/22/2020	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Webster Financial Corporation (WBS) [ST]	JT	09/15/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Webster Financial Corporation (WBS) [ST]	JT	10/23/2020	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Webster Financial Corporation (WBS) [ST]	JT	10/16/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Wells Fargo & Company (WFC) [ST]	JT	09/30/2020	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ WillScot Mobile Mini Holdings Corp. - Class A (WSC) [ST]	JT	10/19/2020	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Workday, Inc. - Class A (WDAY) [ST]	JT	09/25/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Wright Medical Group N.V. - Ordinary Shares (WMGI) [ST]	JT	02/3/2020	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Yandex N.V. - Class A Ordinary Shares (YNDX) [ST]	JT	10/6/2020	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Zillow Group, Inc. - Class C Capital Stock (Z) [ST]	JT	09/17/2020	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Zillow Group, Inc. - Class C Capital Stock (Z) [ST]	JT	10/22/2020	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Zillow Group, Inc. - Class C Capital Stock (Z) [ST]	JT	11/12/2020	P	$1,001 - $15,000	
Morgan Stanley - Traditional Inherited IRA ⇒ ACAP Strategic Interval Common (XCAPX) [MF]		06/30/2020	P	$250,001 - $500,000	
Morgan Stanley - Traditional Inherited IRA ⇒ Amazon.com, Inc. (AMZN) [ST]		02/26/2020	P	$15,001 - $50,000	
Morgan Stanley - Traditional Inherited IRA ⇒ Amazon.com, Inc. (AMZN) [ST]		03/17/2020	P	$15,001 - $50,000	
Morgan Stanley - Traditional Inherited IRA ⇒ Amazon.com, Inc. (AMZN) [ST]		05/18/2020	S	$50,001 - $100,000	☑
Morgan Stanley - Traditional Inherited IRA ⇒ Apple Inc. (AAPL) [ST]		02/26/2020	P	$15,001 - $50,000	
Morgan Stanley - Traditional Inherited IRA ⇒ Apple Inc. (AAPL) [ST]		05/18/2020	S	$15,001 - $50,000	☑
Morgan Stanley - Traditional Inherited IRA ⇒ Goldman Sachs Group, Inc. (GS) [ST]		03/17/2020	P	$15,001 - $50,000	
Morgan Stanley - Traditional Inherited IRA ⇒ Goldman Sachs Group, Inc. (GS) [ST]		05/18/2020	S	$15,001 - $50,000	☑
Morgan Stanley - Traditional Inherited IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]		03/17/2020	P	$15,001 - $50,000	
Morgan Stanley - Traditional Inherited IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]		05/18/2020	S	$15,001 - $50,000	☐
Morgan Stanley - Traditional Inherited IRA ⇒ Microsoft Corporation (MSFT) [ST]		02/26/2020	P	$15,001 - $50,000	
Morgan Stanley - Traditional Inherited IRA ⇒ Microsoft Corporation (MSFT) [ST]		05/18/2020	S	$15,001 - $50,000	☑
Morgan Stanley - Traditional Inherited IRA ⇒ The Blackstone Group Inc. Class A (BX) [ST]		03/17/2020	P	$15,001 - $50,000	
Morgan Stanley - Traditional Inherited IRA ⇒ The Blackstone Group Inc. Class A (BX) [ST]		05/18/2020	S	$15,001 - $50,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ TA Vanguard Instl Trg Re 2040 [MF]	SP	10/1/2020	P	$50,001 - $100,000	
Wealthfront ⇒ Schwab International Equity ETF (SCHF) [EF]		03/19/2020	P	$15,001 - $50,000	
Wealthfront ⇒ Vanguard FTSE Developed Markets ETF (VEA) [EF]		03/19/2020	S (partial)	$15,001 - $50,000	☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
Tusk Strategies	Spouse Salary	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Wells Fargo	May 2013	Mortgage on NJ residential property	$1,000,001 - $5,000,000
	COMMENTS: Paid in full 1/6/20 due to refinancing			
SP	Access Group Inc.	August 2001	Spouse Student Loan	$15,001 - $50,000
JT	First Republic Bank	January 2020	Mortgage on personal residence	$1,000,001 - $5,000,000
	COMMENTS: Refinanced Wells Fargo mortgage			

Schedule E: Positions

None disclosed.

Schedule F: Agreements

Date	Parties To	Terms of Agreement
November 2015	Filer and Microsoft	Continuing participation in a defined benefit retirement plan managed by former employer. Employer no longer contributes to the plan.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Ameritrade
  LOCATION: US

- Ameritrade (Owner: SP)
  LOCATION: US

- Asbury Advisors LLC
  LOCATION: US

- Columbia University- Voluntary Retirement Savings (Owner: SP)

- DC College Savings - Dependent # 1 (Owner: DC)
  LOCATION: DC

- DC College Savings - Dependent # 2 (Owner: DC)
  LOCATION: DC

- Fidelity - Inherited IRA

- Fidelity Brokerage
  LOCATION: US

- Microsoft 401(k)

- Morgan Stanley - Active Asset Account # 1
  LOCATION: US

- Morgan Stanley - Active Asset Account # 2 (Owner: JT)
  LOCATION: US

- Morgan Stanley - Active Asset Account # 3 (Owner: JT)
  LOCATION: US

- Morgan Stanley - Portfolio Management (Owner: JT)
  LOCATION: US

- Morgan Stanley - Portfolio Management Active Assets Account (Owner: JT)
  LOCATION: US

- Morgan Stanley - Roth IRA

- Morgan Stanley - Roth IRA Spouse (Owner: SP)

- Morgan Stanley - Select UMA Account # 1 (Owner: JT)
  LOCATION: US

- Morgan Stanley - SEP / Traditional IRA

- Morgan Stanley - Traditional Inherited IRA

- Morgan Stanley - Traditional IRA

- TriNet 401(k) Plan - Tusk Strategies LLC (Owner: SP)

- Vanguard SEP IRA (Owner: SP)

- Wealthfront
  LOCATION: US

- Wells Fargo - SEP IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

CERTIFICATION AND SIGNATURE

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Josh Gottheimer , 08/13/2021