



Filing ID #10047230

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Adam Smith
Status: Member
State/District: WA09

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2021
Filing Date: 05/5/2022

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Chase Checking Account [BA]	JT	\$15,001 - \$50,000	Interest	None	<input type="checkbox"/>
Chase Performance Savings [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
DreamAhead College Investment Plan #1 [5P]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
LOCATION: WA					
DreamAhead College Investment Plan #2 [5P]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
LOCATION: WA					
Fidelity IRA ⇒ Calamos Growth A [MF]	SP	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
Fidelity IRA ⇒ Cash Reserves [IH]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Fidelity IRA ⇒ International Business Machines Corporation (IBM) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Union Bank Savings Account [BA]	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
USAA Checking Account [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
USAA Performance First Savings Account [BA]	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
USAA Savings Account [BA]	JT	\$1 - \$1,000	Interest	None	<input type="checkbox"/>
Vanguard 500 Index Fund Adm. [MF]	JT	\$100,001 - \$250,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
Washington State Retirement Account [PE]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Washington State Retirement Account [OT]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: Spouse's Washington State Retirement Account. She has vested in the pension system.					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DreamAhead College Investment Plan #1 [5P]		05/25/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: WA DESCRIPTION: Partial withdrawal from fund to pay education expenses for DC1 at the University of Washington.					
DreamAhead College Investment Plan #1 [5P]		11/23/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: WA DESCRIPTION: Partial withdrawal from fund to pay education expenses for DC1 at the University of Washington.					
DreamAhead College Investment Plan #2 [5P]		11/23/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: WA DESCRIPTION: Partial withdrawal from fund to pay education for DC2 at Washington State University.					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Metropolitan King County Council	Spouse salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Chase Mortgage	July 2012	Mortgage on Primary Residence	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 1997	Adam Smith and the State of Washington	I was employed by the State of Washington for 6 years and vested in the pension system under PERS 2. I will receive a pension in the future based on my employment as a state senator.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

◦ Fidelity IRA (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent

child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Adam Smith , 05/5/2022