



Filing ID #10041134

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Bradley S. Schneider  
**Status:** Member  
**State/District:** IL10

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2019  
**Filing Date:** 08/12/2021

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
01 - CIBC, Winnetka, IL ⇒ Checking Account [BA]	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
03 - Fifth-Third Bank (Formerly Manufacturers Bank), Northbrook, IL ⇒ Checking Account [BA]		\$15,001 - \$50,000	None		<input type="checkbox"/>
04 - Mesirow Brokerage Account (JT-E) ⇒ Fidelity Government Money Market (SPAXX) [BA]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
04 - Mesirow Brokerage Account (JT-E) ⇒ iShares Trust 1-3 Year Treasury Bond ETF (SHY) [EF]	JT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Purchased and sold in 2019					
04 - Mesirow Brokerage Account (JT-E) ⇒ SPDR S&P MIDCAP 400 ETF (MDY) [EF]	JT	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
04 - Mesirow Brokerage Account (JT-E) ⇒ SPDR SER TR S&P Divid ETF (SDY) [EF]	JT	\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
04 - Mesirow Brokerage Account (JT-E) ⇒ VANGUARD FTSE DEVELOPED MARKET ETF (VEA) [EF]	JT	None	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
04 - Mesirow Brokerage Account (JT-E) ⇒ VANGUARD LIMITDTERM TAX EXEMPT ADMIRAL (VMLUX) [MF]	JT	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
04 - Mesirow Brokerage Account (JT-E) ⇒ Vanguard Total Bond Market Index Admiral (VBTLX) [MF]	JT	None	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
05 - Mesirow Brokerage Account (KPL) ⇒ Fidelity Government Money Market (SPAXX) [BA]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
05 - Mesirow Brokerage Account (KPL) ⇒ First Eagle Global Class I (SGIIX) [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
05 - Mesirow Brokerage Account (KPL) ⇒ FPA New Income (FPINX) [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
05 - Mesirow Brokerage Account (KPL) ⇒ iShares Trust 1-3 Year Treasury Bond ETF (SHY) [MF]	SP	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
05 - Mesirow Brokerage Account (KPL) ⇒ iShares Core S&P Mid-Cap ETF (IJH) [MF]	SP	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
05 - Mesirow Brokerage Account (KPL) ⇒ Lord Abbett Short Duration Income CL I (LLDYX) [MF]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
05 - Mesirow Brokerage Account (KPL) ⇒ Lord Abbett Value Opportunities CL I (LVOYX) [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
05 - Mesirow Brokerage Account (KPL) ⇒ SPDR S&P 500 ETF Trust Unit Ser 1 S&P, (SPY) [EF]	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
05 - Mesirow Brokerage Account (KPL) ⇒ SPDR SER TR S&P Divid ETF (SDY) [EF]	SP	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
05 - Mesirow Brokerage Account (KPL) ⇒ T Rowe Price Cap Appreciation I (TRAIX) [MF]	SP	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Share Class Exchange- shares received of T Rowe Price Cap Appreciation Fund (PRWCX) exchanged for T Rowe Price Cap Appreciation I (TRAIX).					
05 - Mesirow Brokerage Account (KPL) ⇒ Vanguard Limited Term Tax Exempt Admiral (VMLUX) [MF]	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
05 - Mesirow Brokerage Account (KPL) ⇒ Vanguard Star FD Vanguard Total Intl Stock Index FD ETF SHS (VXUS) [MF]	SP	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
05 - Mesirow Brokerage Account (KPL) ⇒ Vanguard Whitehall FDS High Dividend Yield ETF SHS (VYH) [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
06 - Mesirow Brokerage Account (JST-E) ⇒ American EuroPacific Growth Fund F-3 (FEUPX) [MF]	SP	\$250,001 - \$500,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Share Class Exchange- shares received of American EuroPacific Growth Fund F-2 (AEPFX) exchanged for American EuroPacific Growth Fund F-3 (FEUPX).					
06 - Mesirow Brokerage Account (JST-E) ⇒ Domain Timber Advisors II LP (Formerly Timbervest Parterns II LP) [HE]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: Net loss 2019					
06 - Mesirow Brokerage Account (JST-E) ⇒ Doubleline Total Return Bond FD CL I (DBLTX) [MF]	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
06 - Mesirow Brokerage Account (JST-E) ⇒ Fidelity Government Money Market (SPAXX) [BA]	SP	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
06 - Mesirow Brokerage Account (JST-E) ⇒ First Eagle Global Class I (SGIIX) [MF]	SP	\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
06 - Mesirow Brokerage Account (JST-E) ⇒ iShares Core Growth Allocation ETF (AOR) [MF]	SP	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
06 - Mesirow Brokerage Account (JST-E) ⇒ iSHARES CORE S&P MIC CAP ETF (IJH) [EF]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
06 - Mesirow Brokerage Account (JST-E) ⇒ iShares Core S&P Total US Stock Market (ITOT) [MF]	SP	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
06 - Mesirow Brokerage Account (JST-E) ⇒ iShares TR Rus Mid-Cap (IWR) [EF]	SP	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
06 - Mesirow Brokerage Account (JST-E) ⇒ IVA Worldwide Fund CL I (IVWIX) [MF]	SP	\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
06 - Mesirow Brokerage Account (JST-E) ⇒ Lord Abbett Value Opportunities CL I (LVOYX) [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
06 - Mesirow Brokerage Account (JST-E) ⇒ PIMCO All Asset Instl Class (PAAIX) [MF]	SP	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
06 - Mesirow Brokerage Account (JST-E) ⇒ Sequoia FD Inc (SEQUX) [MF]	SP	\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
06 - Mesirow Brokerage Account (JST-E) ⇒ SPDR S&P 500 ETF Trust Unit Ser 1 S&P, (SPY) [EF]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
06 - Mesirow Brokerage Account (JST-E) ⇒ SPDR SER TR S&P Divid ETF (SDY) [EF]	SP	\$500,001 - \$1,000,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
06 - Mesirow Brokerage Account (JST-E) ⇒ SPDR SERIES TRUST NUVEEN BLMBRG SR (SHM) [EF]	SP	\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
06 - Mesirow Brokerage Account (JST-E) ⇒ T Rowe Price Cap Appreciation I (TRAIX) [MF]	SP	\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Share Class Exchange- shares received of T Rowe Price Cap Appreciation Fund (PRWCX) exchanged for T Rowe Price Cap Appreciation I (TRAIX).					
06 - Mesirow Brokerage Account (JST-E) ⇒ Thornburg International Value CL (TGVIX) [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
06 - Mesirow Brokerage Account (JST-E) ⇒ US Treasury Note Inflation Index Note [GS]	SP	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
06 - Mesirow Brokerage Account (JST-E) ⇒ Vanguard Index Funds S&P 500 ETF (VOO) [EF]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
06 - Mesirow Brokerage Account (JST-E) ⇒ Vanguard Limited Term Tax Exempt Admiral (VMLUX) [MF]	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
06 - Mesirow Brokerage Account (JST-E) ⇒ Vanguard Star Fund Vanguard Total Intl (VXUS) [EF]	SP	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
06 - Mesirow Brokerage Account (JST-E) ⇒ Vanguard Total Bond Market Index Admiral (VBTLX) [MF]	SP	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
06 - Mesirow Brokerage Account (JST-E) ⇒ Wells Fargo Intrinsic Value Inst (EIVIX) [MF]	SP	\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
07 - Mesirow Brokerage Account (JST-M) ⇒ C.W. Henderson Traditional Strategy Composite (EIF) [GS]	SP	\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
DESCRIPTION: Municipal Bond Fund (EIF)					
07 - Mesirow Brokerage Account (JST-M) ⇒ Fidelity Government Money Market (SPAXX) [BA]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
08 - Mesirow Brokerage Account (JST-B) ⇒ Fidelity Government Money Market (SPAXX) [BA]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
08 - Mesirow Brokerage Account (JST-B) ⇒ GW&K Total Return Bond Strategy (EIF) [CS]	SP	\$250,001 - \$500,000	Capital Gains, Interest	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Corporate Bond Fund (EIF)					
09 - Fidelity Brokerage Account ⇒ Relative Value Partners Balance Strategy (EIF) [HE]	JT	None	Capital Gains, Dividends, Interest	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
10 - Fidelity Brokerage Account - Rollover IRA ⇒ Relative Value Partners Balance Strategy (EIF) [HE]		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
11 - Fidelity Brokerage Account - Rollover IRA (S) ⇒ Relative Value Partners Balance Strategy (EIF) [HE]	SP	\$1,000,001 - \$5,000,000	Tax-Deferred		<input type="checkbox"/>
12 - Fidelity Brokerage Account (JST) ⇒ Relative Value Partners Absolute Return Strategy (EIF) [HE]	SP	\$500,001 - \$1,000,000	Capital Gains, Dividends, Interest	\$50,001 - \$100,000	<input type="checkbox"/>
13 - Schwab Brokerage Account ⇒ Cash [BA]	JT	\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
13 - Schwab Brokerage Account ⇒ Netflix, Inc. (NFLX) [ST]	JT	None	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
13 - Schwab Brokerage Account ⇒ Trupanion, Inc. (TRUP) [ST]	JT	\$250,001 - \$500,000	None		<input type="checkbox"/>
14 - Schwab Brokerage Account - Roth IRA ⇒ American Beacon Large Cap (AAGPX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
14 - Schwab Brokerage Account - Roth IRA ⇒ American Century Growth (TCWGX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
14 - Schwab Brokerage Account - Roth IRA ⇒ Carillon Scout International Fund (UMBWX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
14 - Schwab Brokerage Account - Roth IRA ⇒		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Cash [BA]					
14 - Schwab Brokerage Account - Roth IRA ⇒ Hartford Schroders Emerging (SEMvx) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
14 - Schwab Brokerage Account - Roth IRA ⇒ Highland Global Alloc Fund (HGLB) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Formerly reported as HCOAX					
14 - Schwab Brokerage Account - Roth IRA ⇒ Madison SM Cap Fd (Formerly Broadview Oppty Fd) (BVAOX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
14 - Schwab Brokerage Account - Roth IRA ⇒ Manning & Napier World Oppty (EXWAX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
14 - Schwab Brokerage Account - Roth IRA ⇒ Northern Small Cap Value (NOSGX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
14 - Schwab Brokerage Account - Roth IRA ⇒ Schwab MarketTrack All Equity Portfolio (SWEG) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
14 - Schwab Brokerage Account - Roth IRA ⇒ Wells Fargo C&B Large Cap (CBESZ) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ Cash [BA]	SP	None	Tax-Deferred		<input type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ Coherent, Inc. (COHR) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ Facebook, Inc. - Class A (FB) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ FedEx Corporation (FDX) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ Home Depot, Inc. (HD) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ Mastercard Incorporated (MA) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ Microsoft Corporation (MSFT) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ PTC Inc. (PTC) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ ResMed Inc. (RMD) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ Teladoc Health, Inc. (TDOC) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ The Trade Desk, Inc. - Class A (TTD) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
17 - Mesirow Brokerage Account - IRA (CD-EX) ⇒ Doubleline Total Return Bond FD CL I (DBLTX) [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
17 - Mesirow Brokerage Account - IRA (CD-EX) ⇒ Fidelity Government Money Market (SPAXX) [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
17 - Mesirow Brokerage Account - IRA (CD-EX) ⇒ FPA New Income (FPINX) [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
17 - Mesirow Brokerage Account - IRA (CD-EX) ⇒ iShares Core S&P Total US Stock Market (ITOT) [MF]	SP	\$500,001 - \$1,000,000	Tax-Deferred		<input checked="" type="checkbox"/>
17 - Mesirow Brokerage Account - IRA (CD-EX) ⇒ Lord Abbett Short Duration Income CL I (LLDYX) [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		<input checked="" type="checkbox"/>
17 - Mesirow Brokerage Account - IRA (CD-EX) ⇒ Vanguard Index Funds S&P 500 ETF (VOO) [MF]	SP	\$1,000,001 - \$5,000,000	Tax-Deferred		<input checked="" type="checkbox"/>
17 - Mesirow Brokerage Account - IRA (CD-EX) ⇒ Vanguard Short Term Invemt Grade Admiral (VFSUX) [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ Alibaba Group Holding Limited American Depository Shares each representing one Ordinary share (BABA) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	None	Tax-Deferred	<input checked="" type="checkbox"/>	
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ Cash [BA]	SP	None	Tax-Deferred	<input type="checkbox"/>	
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ DexCom, Inc. (DXCM) [ST]	SP	None	Tax-Deferred	<input checked="" type="checkbox"/>	
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ Facebook, Inc. - Class A (FB) [ST]	SP	None	Tax-Deferred	<input checked="" type="checkbox"/>	
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ FedEx Corporation (FDX) [ST]	SP	None	Tax-Deferred	<input checked="" type="checkbox"/>	
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ Home Depot, Inc. (HD) [ST]	SP	None	Tax-Deferred	<input checked="" type="checkbox"/>	
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ Linde plc Ordinary Share (LIN) [ST]	SP	None	Tax-Deferred	<input checked="" type="checkbox"/>	
DESCRIPTION: Formerly Praxair (PX). Merged with Linde plc in 10/2018,					
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ Mastercard Incorporated (MA) [ST]	SP	None	Tax-Deferred	<input checked="" type="checkbox"/>	
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ Microsoft Corporation (MSFT) [ST]	SP	None	Tax-Deferred	<input checked="" type="checkbox"/>	
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ PTC Inc. (PTC) [ST]	SP	None	Tax-Deferred	<input checked="" type="checkbox"/>	
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ Texas Instruments Incorporated (TXN) [ST]	SP	None	Tax-Deferred	<input checked="" type="checkbox"/>	
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ The Trade Desk, Inc. - Class A (TTD) [ST]	SP	None	Tax-Deferred	<input checked="" type="checkbox"/>	
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ Thermo Fisher Scientific Inc (TMO) [ST]	SP	None	Tax-Deferred	<input checked="" type="checkbox"/>	
20 - Mesirow Brokerage Account - IRA (ADNEX) ⇒ Berwyn Income Fund (BERIX) [MF]	SP	None	Tax-Deferred	<input checked="" type="checkbox"/>	
20 - Mesirow Brokerage Account - IRA (ADNEX) ⇒ Doubleline Total Return Bond FD CL I (DBLTX) [MF]	SP	\$250,001 - \$500,000	Tax-Deferred	<input checked="" type="checkbox"/>	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
20 - Mesirow Brokerage Account - IRA (ADNEX) ⇒ Fidelity Government Money Market (SPAXX) [BA]	SP	\$50,001 - \$100,000	Tax-Deferred	<input type="checkbox"/>	
20 - Mesirow Brokerage Account - IRA (ADNEX) ⇒ FPA New Income (FPNIX) [MF]	SP	\$100,001 - \$250,000	Tax-Deferred	<input type="checkbox"/>	
20 - Mesirow Brokerage Account - IRA (ADNEX) ⇒ iShares Core S&P Total US Stock Market (ITOT) [MF]	SP	\$1,000,001 - \$5,000,000	Tax-Deferred	<input checked="" type="checkbox"/>	
20 - Mesirow Brokerage Account - IRA (ADNEX) ⇒ iShares Trust 1-3 Year Treasury Bond ETF (SHY) [EF]	SP	\$500,001 - \$1,000,000	Tax-Deferred	<input checked="" type="checkbox"/>	
20 - Mesirow Brokerage Account - IRA (ADNEX) ⇒ IVA Worldwide Fund CL I (IVWIX) [MF]	SP	\$50,001 - \$100,000	Tax-Deferred	<input type="checkbox"/>	
20 - Mesirow Brokerage Account - IRA (ADNEX) ⇒ Lord Abbett Short Duration Income CL I (LLDYX) [MF]	SP	\$250,001 - \$500,000	Tax-Deferred	<input type="checkbox"/>	
20 - Mesirow Brokerage Account - IRA (ADNEX) ⇒ PIMCO All Asset Inst Class (PAAIX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>	
20 - Mesirow Brokerage Account - IRA (ADNEX) ⇒ Vanguard Index Funds S&P 500 ETF (VOO) [EF]	SP	\$1,000,001 - \$5,000,000	Tax-Deferred	<input checked="" type="checkbox"/>	
20 - Mesirow Brokerage Account - IRA (ADNEX) ⇒ Vanguard Short Term Invmt Grade Admiral (VFSUX) [MF]	SP	\$250,001 - \$500,000	Tax-Deferred	<input checked="" type="checkbox"/>	
21 - Mesirow Brokerage Account - IRA (AD-EX) ⇒ Berwyn Income Fund (BERIX) [MF]	SP	None	Tax-Deferred	<input checked="" type="checkbox"/>	
21 - Mesirow Brokerage Account - IRA (AD-EX) ⇒ Fidelity Government Money Market (SPAXX) [BA]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>	
21 - Mesirow Brokerage Account - IRA (AD-EX) ⇒ First Eagle Global Class I (SGIIX) [MF]	SP	\$50,001 - \$100,000	Tax-Deferred	<input type="checkbox"/>	
21 - Mesirow Brokerage Account - IRA (AD-EX) ⇒ FPA New Income (FPNIX) [MF]	SP	\$250,001 - \$500,000	Tax-Deferred	<input type="checkbox"/>	
21 - Mesirow Brokerage Account - IRA (AD-EX) ⇒ iShares MSCI EAFE (EFA) [EF]	SP	\$250,001 - \$500,000	Tax-Deferred	<input type="checkbox"/>	
21 - Mesirow Brokerage Account - IRA (AD-EX) ⇒ Oakmark Equity & Income FD I (OANBX) [MF]	SP	\$100,001 - \$250,000	Tax-Deferred	<input checked="" type="checkbox"/>	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Class exchange - formerly reported as OAKBX					
21 - Mesirow Brokerage Account - IRA (AD-EX) ⇒ PIMCO All Asset Inst Class (PAAIX) [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
21 - Mesirow Brokerage Account - IRA (AD-EX) ⇒ Vanguard Short Term Invmt Grade Admiral (VFSUX) [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
22 - Mesirow Brokerage Account - IRA JD R/O ⇒ Fidelity Government Money Market (SPAXX) [BA]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
22 - Mesirow Brokerage Account - IRA JD R/O ⇒ PIMCO All Asset Inst Class [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
22 - Mesirow Brokerage Account - IRA JD R/O ⇒ SSGA Active ETF TR Global Allocation (GAL) [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
22 - Mesirow Brokerage Account - IRA JD R/O ⇒ T Rowe Price Cap Appreciation I (TRAIX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Share Class Exchange- shares received of T Rowe Price Cap Appreciation Fund (PRWCX) exchanged for T Rowe Price Cap Appreciation I (TRAIX).					
23 - Life Insurance ⇒ Lincoln Financial Universal Policy [WU]	DC	\$100,001 - \$250,000	None		<input type="checkbox"/>
24 - MDRJB Investment Partnership, LLC ⇒ CH Affiliates LLC [OL]		None	Interest, Sec 1231 Gain, Rent	\$201 - \$1,000	<input type="checkbox"/>
LOCATION: Northbrook, IL, US DESCRIPTION: Investment in office building, Northbrook, IL					
24 - MDRJB Investment Partnership, LLC ⇒ Fifth Third Bank (formerly MB Financial) [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>
24 - MDRJB Investment Partnership, LLC ⇒ Hillsdale Property Company, LLC [OL]		\$1,001 - \$15,000	None		<input type="checkbox"/>
LOCATION: Northbrook, IL, US DESCRIPTION: Real estate holding company with interest in office complex in Indianapolis, IN. Net loss 2019					
24 - MDRJB Investment Partnership, LLC ⇒ Medical Related Investments, LLC [OL]		\$15,001 - \$50,000	None		<input type="checkbox"/>
LOCATION: Schaumburg, IL, US DESCRIPTION: Investment company with interest in company operating regional freestanding MRI centers					
24 - MDRJB Investment Partnership, LLC ⇒ Middleton Beverly Investors, LLC [OL]		None	Capital Gains, 1231 Gains, Rent	\$15,001 - \$50,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
LOCATION: Northbrook, IL, US DESCRIPTION: Office building, Northbrook, IL					
24 - MDRJB Investment Partnership, LLC ⇒ Middleton Logan Investors, LLC [OL]		\$15,001 - \$50,000	None		<input type="checkbox"/>
LOCATION: Northbrook, IL, US DESCRIPTION: LLC owns commercial real estate.					
24 - MDRJB Investment Partnership, LLC ⇒ Middleton Milwaukee LLC [OL]		\$1,001 - \$15,000	Interest, 1231 gain, Rent	\$201 - \$1,000	<input type="checkbox"/>
LOCATION: Northbrook, IL, US DESCRIPTION: LLC owns office building in Milwaukee, WI					
24 - MDRJB Investment Partnership, LLC ⇒ Middleton Park Place, LLC [OL]		\$1,001 - \$15,000	Interest, Rent	\$1 - \$200	<input type="checkbox"/>
LOCATION: Northbrook, IL, US DESCRIPTION: Investment in office building, Minnesota					
24 - MDRJB Investment Partnership, LLC ⇒ Middleton Tallgrass, LLC [OL]		\$1,001 - \$15,000	None		<input type="checkbox"/>
LOCATION: Northbrook, IL, US DESCRIPTION: Investment in LLC owning office building Northbrook, IL					
24 - MDRJB Investment Partnership, LLC ⇒ Next Reality Fund VIII [HE]		\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Excepted Investment Fund (EIF).					
24 - MDRJB Investment Partnership, LLC ⇒ North Shore Residential Inc (Real Estate, Chicago, IL) [OL]		None	None		<input type="checkbox"/>
LOCATION: Chicago, IL, US DESCRIPTION: Residential Real Estate Fund					
24 - MDRJB Investment Partnership, LLC ⇒ Sherman Real Estate Fund IX (Real Estate, Multiple Locations) (EIF) [HE]		\$1 - \$1,000	Interest, Unrecaptured 1250 gain / 1231 gain, Rent	\$1,001 - \$2,500	<input type="checkbox"/>
24 - MDRJB Investment Partnership, LLC ⇒ Sherman Real Estate Fund XI (Real Estate, Multiple Locations) (EIF) [HE]		\$15,001 - \$50,000	Interest, Unrecaptures 1250 gain / 1231 gain, Rent	\$15,001 - \$50,000	<input type="checkbox"/>
24 - MDRJB Investment Partnership, LLC ⇒ Sherman Real Estate Fund XII (Real Estate, Multiple Locations) (EIF) [HE]		\$1,001 - \$15,000	None		<input type="checkbox"/>
24 - MDRJB Investment Partnership, LLC ⇒		None	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Waldorf Astoria [OL]					
LOCATION: Chicago, IL, US DESCRIPTION: Hotel, Chicago, IL					
24 - MDRJB Investment Partnership, LLC ⇒ Generation 3 LP (Private Equity, Northbrook, IL) ⇒ G3: EZ WA Tech, LLC [OL]		\$1,001 - \$15,000	None		<input type="checkbox"/>
LOCATION: Louisville, KY, US DESCRIPTION: eCommerce Video Surveillance					
24 - MDRJB Investment Partnership, LLC ⇒ Generation 3 LP (Private Equity, Northbrook, IL) ⇒ G3: Fluidmesh Networks, LLC [OL]		\$15,001 - \$50,000	Dividends, Interest, Partnership Income / 1231 Gain	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Buffalo Grove, IL, US DESCRIPTION: Manufacturer of Wireless Infrastructure, Buffalo Grove, IL					
25 - Other Individual Investments ⇒ M Financial Holding (Insurance, Portland, OR) [OL]		\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Portland, OR, US DESCRIPTION: Life insurance distribution company					
25 - Other Individual Investments ⇒ Questek International, LLC [OL]		\$1 - \$1,000	Interest, Ordinary Business Income	\$201 - \$1,000	<input type="checkbox"/>
LOCATION: Evanston, IL, US DESCRIPTION: Questek International, LLC, is the holding company and sole owner of Questek Innovations, LLC, a Integrated Computational Materials Engineering (ICME) company.					
25 - Other Individual Investments ⇒ Rental Property (Single Family Home, Deerfield, IL) [RP]		\$250,001 - \$500,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Deerfield / Lake, IL, US					
25 - Other Individual Investments ⇒ Spaulding Associates (SP) [OL]		None	Partnership Distribution	\$2,501 - \$5,000	<input type="checkbox"/>
LOCATION: Bannockburn, IL, US DESCRIPTION: Residual interest in liquidated insurance agency					
25-S Other Individual Investments Spouse ⇒ Aerodirect Fund IV [CS]	SP	\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Promissory note - aircraft parts reseller					
25-S Other Individual Investments Spouse ⇒ Alliant Holdings LP [OL]	SP	\$500,001 - \$1,000,000	None		<input type="checkbox"/>
LOCATION: San Diego, CA, US DESCRIPTION: Insurance brokerage.					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
26 - CD Family Investment Partnership ⇒ 304 Owner LLC [HE]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Fund investing in apartment complexes in West Lafayette, IN					
26 - CD Family Investment Partnership ⇒ Banner Essex Apartment Fund I, LLC [OL]	SP	None	None		<input type="checkbox"/>
LOCATION: Northbrook, IL, US					
DESCRIPTION: Real estate fund in multi family housing in Houston Tx, Rivers Edge/Fordem Towers, Plano TX Riachi Ridgeview					
26 - CD Family Investment Partnership ⇒ Banner Essex Apartment Fund IA (Apartments, Riachi At One21) [OL]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
LOCATION: Plano, TX, US					
DESCRIPTION: Fund investing in multi-family apartment building in Plano, TX					
26 - CD Family Investment Partnership ⇒ Banner Essex Strategic Apartment Fund II, LLC (Ansley Falls Apartments) [OL]	SP	None	Capital Gains, 1231 Gain, Rent	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: Charlotte, NC, US					
DESCRIPTION: Fund investing in multi family apartments					
26 - CD Family Investment Partnership ⇒ Banner Essex Strategic Apartment Fund II, LLC (Summermill at Falls River Apartments) [OL]	SP	\$15,001 - \$50,000	Rent	\$201 - \$1,000	<input type="checkbox"/>
LOCATION: Raleigh, NC, US					
DESCRIPTION: Fund investing in multifamily apartments					
26 - CD Family Investment Partnership ⇒ Banner Essex Strategic Apartment Fund II, LLC (Tradition at Stonewater Apartments) [OL]	SP	None	Capital Gains, 1231 Gain, Rent	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: Cary, NC, US					
DESCRIPTION: Fund investing in multifamily apartments					
26 - CD Family Investment Partnership ⇒ Banner Essex Strategic Apartment Fund II, LLC (Wellington at Willow Bend Apartments) [OL]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
LOCATION: Plano, TX, US					
DESCRIPTION: Fund investing in multifamily apartments					
26 - CD Family Investment Partnership ⇒ Cash - MB Financial, Chicago, IL - Money Market [BA]	SP	\$50,001 - \$100,000	Dividends, Interest	\$1 - \$200	<input type="checkbox"/>
26 - CD Family Investment Partnership ⇒ Connect and Sell, (Private Investment) [OL]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
LOCATION: Northbrook, IL, US					
DESCRIPTION: Sales Force Technology Company Los Gatos, CA 95030					

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
26 - CD Family Investment Partnership ⇒ Enclave at Winghaven UI, LLC (Real Estate, O'Fallon, MO) [OL]	SP	None	Rent	\$1,001 - \$2,500	<input type="checkbox"/>
LOCATION: O'Fallon, MO, US DESCRIPTION: LLC owning multi family property in O'Fallon, MO.					
26 - CD Family Investment Partnership ⇒ Greenway Apartments LP (Real Estate, Carol Stream, IL) [OL]	SP	None	Rent	\$1,001 - \$2,500	<input type="checkbox"/>
LOCATION: Carol Stream, IL, US DESCRIPTION: LLC Owning multi-family property in Carol Steam, IL					
26 - CD Family Investment Partnership ⇒ Middleton Arbor Schaumburg Inv [HE]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Fund investing in hotels in Schaumburg, IL					
26 - CD Family Investment Partnership ⇒ Next Bronzerville, LLC [OL]	SP	None	Interest, Rent	\$201 - \$1,000	<input type="checkbox"/>
LOCATION: Northbrook, IL, US DESCRIPTION: LLC owning parking lot					
26 - CD Family Investment Partnership ⇒ Paradise for Paws [OL]	SP	None	None		<input type="checkbox"/>
LOCATION: Chicago, IL, US DESCRIPTION: Business operating pet boarding facilities					
26 - CD Family Investment Partnership ⇒ Questek International, LLC [OL]	SP	\$1 - \$1,000	Interest, Ordinary Business Income	\$201 - \$1,000	<input type="checkbox"/>
LOCATION: Evanston, IL, US DESCRIPTION: Questek International, LLC, is the holding company and sole owner of Questek Innovations, LLC, a Integrated Computational Materials Engineering (ICME) company.					
26 - CD Family Investment Partnership ⇒ Relative Value Partners Balance Strategy (EIF) [HE]	SP	\$50,001 - \$100,000	Capital Gains, Dividends, Interest	\$2,501 - \$5,000	<input type="checkbox"/>
26 - CD Family Investment Partnership ⇒ Rock Rose Fairfield Lakes, LP [HE]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Fund investing in apartment complexes in Pensacola Florida.					
26 - CD Family Investment Partnership ⇒ Rock Rose Partners Weatherly, LP [HE]	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Fund investing in apartment complexes in Stone Mountain, GA.					
26 - CD Family Investment Partnership ⇒ Sherman Real Estate Fund X (Real estate, multiple locations) (EIF) [HE]	SP	\$1,001 - \$15,000	Interest, 1250 Gain/1231 Gain, Rent	\$2,501 - \$5,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Fund investing in multifamily apartments					
26 - CD Family Investment Partnership ⇒ Sherman Real Estate Fund XII (Real Estate, Multiple Locations) (EIF) [HE]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Fund investing in multifamily apartments					
26 - CD Family Investment Partnership ⇒ Sherman Real Estate Fund XIII (Real Estate, Multiple Locations) (EIF) [HE]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
26 - CD Family Investment Partnership ⇒ Trigran Investments, LP II (Northbrook, IL investment fund, EIF) [HE]	SP	\$100,001 - \$250,000	Capital Gains, Dividends, Interest	\$15,001 - \$50,000	<input type="checkbox"/>
26 - CD Family Investment Partnership ⇒ Trupanion, Inc. (TRUP) [ST]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
26 - CD Family Investment Partnership ⇒ Westchester Apartments, LLC (Real Estate, Northbrook, IL) [OL]	SP	None	Interest, Rent	\$201 - \$1,000	<input type="checkbox"/>
LOCATION: Northbrook, IL, US					
DESCRIPTION: Investment in multifamily apartment building					
26 - CD Family Investment Partnership ⇒ Generation 3 LP (Private Equity, Northbrook, IL) ⇒ G3: EZ WA Tech, LLC [OL]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
LOCATION: Louisville, KY, US					
DESCRIPTION: eCommerce Video Surveillance					
26 - CD Family Investment Partnership ⇒ Generation 3 LP (Private Equity, Northbrook, IL) ⇒ G3: Fluidmesh Networks, LLC [OL]	SP	\$1,001 - \$15,000	Dividends, Interest, Partnership Income / 1250 Gain / 1231 Gain	\$1,001 - \$2,500	<input type="checkbox"/>
LOCATION: Buffalo Grove, IL, US					
DESCRIPTION: Manufacturer of Wireless Infrastructure, Buffalo Grove, IL					
27 - MSSB BROKERAGE ACCOUNT (ED-T) ⇒ Bahl and Gaynor Income Growth Strategy (EIF) [HE]	SP	\$250,001 - \$500,000	Capital Gains, Dividends, Interest	\$5,001 - \$15,000	<input type="checkbox"/>
27 - MSSB BROKERAGE ACCOUNT (ED-T) ⇒ Cash [BA]	SP	\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
27 - MSSB BROKERAGE ACCOUNT (ED-T) ⇒ Congress Asset Management (EIF) [HE]	SP	\$50,001 - \$100,000	Capital Gains, Dividends,	\$2,501 - \$5,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Interest					
27 - MSSB BROKERAGE ACCOUNT (ED-T) ⇒ Geneva Small Cap Growth [HE]	SP	\$15,001 - \$50,000	Capital Gains, Dividends, Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
27 - MSSB BROKERAGE ACCOUNT (ED-T) ⇒ Harding Loevner International Equity ADR (EIF) [HE]	SP	\$50,001 - \$100,000	Capital Gains, Dividends, Interest	\$2,501 - \$5,000	<input type="checkbox"/>
27 - MSSB BROKERAGE ACCOUNT (ED-T) ⇒ Landmeier and Busse [OL]	SP	None	None		<input type="checkbox"/>
LOCATION: Elk Grove Village, IL, US					
DESCRIPTION: Private investment in company owning and operating hotel in Elk Grove Village					
27 - MSSB BROKERAGE ACCOUNT (ED-T) ⇒ Lazard Intl Equity Select ADR (EIF) [HE]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
27 - MSSB BROKERAGE ACCOUNT (ED-T) ⇒ Manulife Financial Corporation (MFC) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
27 - MSSB BROKERAGE ACCOUNT (ED-T) ⇒ Prime Health Care Real Estate Fund (EIF) [HE]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
27 - MSSB BROKERAGE ACCOUNT (ED-T) ⇒ Relative Value Partners Balance Strategy (EIF) [HE]	SP	\$250,001 - \$500,000	Capital Gains, Dividends, Interest	\$5,001 - \$15,000	<input type="checkbox"/>
27 - MSSB BROKERAGE ACCOUNT (ED-T) ⇒ Silvercrest Asset Management Small Cap Value UMA (EIF) [HE]	SP	\$15,001 - \$50,000	Dividends, Interest	\$1,001 - \$2,500	<input type="checkbox"/>
27 - MSSB BROKERAGE ACCOUNT (ED-T) ⇒ The London Company Income Equity (EIF) [HE]	SP	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
27 - MSSB BROKERAGE ACCOUNT (ED-T) ⇒ Thompson Siegel Walmsley Mid Value (EIF) [HE]	SP	\$50,001 - \$100,000	Capital Gains, Dividends, Interest	\$2,501 - \$5,000	<input type="checkbox"/>
27 - MSSB BROKERAGE ACCOUNT (ED-T) ⇒ Ziegler Cap MVP Sm Cap Core (EIF) [HE]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
04 - Mesirow Brokerage Account (JT-E) ⇒ iShares Trust 1-3 Year Treasury Bond ETF(SHY) [EF]	JT	04/4/2019	P	\$50,001 - \$100,000	<input type="checkbox"/>
04 - Mesirow Brokerage Account (JT-E) ⇒ iShares Trust 1-3 Year Treasury Bond ETF(SHY) [EF]	JT	09/16/2019	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
04 - Mesirow Brokerage Account (JT-E) ⇒ VANGAURD LIMITDTERM TAX EXEMPT ADMIRAL (VMLUX) [MF]	JT	04/11/2019	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
04 - Mesirow Brokerage Account (JT-E) ⇒ VANGUARD FTSE DEVELOPED MARKET ETF (VEA) [EF]	JT	04/11/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
04 - Mesirow Brokerage Account (JT-E) ⇒ VANGUARD LIMITDTERM TAX EXEMPT ADMIRAL (VMLUX) [MF]	JT	06/27/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
04 - Mesirow Brokerage Account (JT-E) ⇒ Vanguard Total Bond Market Index Admiral (VBTLX) [MF]	JT	04/4/2019	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
04 - Mesirow Brokerage Account (JT-E) ⇒ Vanguard Total Bond Market Index Admiral (VBTLX) [MF]	JT	07/17/2019	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
04 - Mesirow Brokerage Account (JT-E) ⇒ Vanguard Total Bond Market Index Admiral (VBTLX) [MF]	JT	10/18/2019	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
05 - Mesirow Brokerage Account (KPL) ⇒ Lord Abbett Value Opportunities CL I (LVOYX) [MF]	SP	11/26/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
05 - Mesirow Brokerage Account (KPL) ⇒ Vanguard Star FD Vanguard Total Intl Stock Inces FD ETF (VXUS) [MF]	SP	04/11/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
05 - Mesirow Brokerage Account (KPL) ⇒ Vanguard Whitehall FDS High Dividend Yield ETF (VYM) [MF]	SP	04/11/2019	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
05 - Mesirow Brokerage Account (KPL) ⇒ Vanguard Whitehall FDS High Dividend Yield TEF (VYM) [MF]	SP	11/18/2019	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
06 - Mesirow Brokerage Account (JST-E) ⇒ American EuroPacific Growth Fund CL FW (AEPFX) [MF]	SP	01/7/2019	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
06 - Mesirow Brokerage Account (JST-E) ⇒ iSHARES CORE S&P MIC CAP ETF (IJH) [EF]	SP	11/26/2019	P	\$50,001 - \$100,000	
06 - Mesirow Brokerage Account (JST-E) ⇒ Lord Abbett Value Opportunities CL I (LVOYX) [MF]	SP	11/26/2019	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
06 - Mesirow Brokerage Account (JST-E) ⇒ Sequoia FD INc (SEQUX) [MF]	SP	11/26/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
06 - Mesirow Brokerage Account (JST-E) ⇒ SPDR SERIES TRUST NUVEEN BLMBRG SR (SHM) [EF]	SP	11/26/2019	P	\$100,001 - \$250,000	
06 - Mesirow Brokerage Account (JST-E) ⇒ SPDR SERIES TRUST NUVEEN BLMBRG SR (SHM) [EF]	SP	12/17/2019	P	\$15,001 - \$50,000	
06 - Mesirow Brokerage Account (JST-E) ⇒ Vanguard Index Funds S&P 500 ETF (VOO) [EF]	SP	11/26/2019	P	\$15,001 - \$50,000	
09 - Fidelity Brokerage Account ⇒ Relative Value Partners Balance Strategy (EIF) [HE]	JT	11/13/2019	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
13 - Schwab Brokerage Account ⇒ Netflix, Inc. (NFLX) [ST]	JT	11/12/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	06/19/2019	S	\$250,001 - \$500,000	<input type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ AptarGroup, Inc. (ATR) [ST]	SP	02/5/2019	P	\$250,001 - \$500,000	
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ AptarGroup, Inc. (ATR) [ST]	SP	03/5/2019	S	\$250,001 - \$500,000	<input type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ CDW Corporation (CDW) [ST]	SP	02/12/2019	P	\$100,001 - \$250,000	
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ CDW Corporation (CDW) [ST]	SP	03/11/2019	S	\$100,001 - \$250,000	<input type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ CDW Corporation (CDW) [ST]	SP	02/19/2019	P	\$100,001 - \$250,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ Coherent, Inc. (COHR) [ST]	SP	05/24/2019	S	\$100,001 - \$250,000	<input type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ Comm Serv Select Sector SPDR (XLC) [EF]	SP	07/2/2019	P	\$250,001 - \$500,000	
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ Facebook, Inc. - Class A (FB) [ST]	SP	06/19/2019	S	\$250,001 - \$500,000	<input type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ FedEx Corporation (FDX) [ST]	SP	03/19/2019	S	\$100,001 - \$250,000	<input type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ Fiserv, Inc. (FISV) [ST]	SP	06/19/2019	S	\$50,001 - \$100,000	<input type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ Fiserv, Inc. (FISV) [ST]	SP	05/24/2019	P	\$50,001 - \$100,000	
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ Home Depot, Inc. (HD) [ST]	SP	06/19/2019	S	\$100,001 - \$250,000	<input type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ Mastercard Incorporated (MA) [ST]	SP	06/19/2019	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ Microsoft Corporation (MSFT) [ST]	SP	06/19/2019	S	\$100,001 - \$250,000	<input type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ Progressive Corporation (PGR) [ST]	SP	03/11/2019	P	\$100,001 - \$250,000	
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ Progressive Corporation (PGR) [ST]	SP	06/19/2019	S	\$250,001 - \$500,000	<input type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ Progressive Corporation (PGR) [ST]	SP	05/24/2019	P	\$100,001 - \$250,000	
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ PTC Inc. (PTC) [ST]	SP	05/7/2019	S	\$50,001 - \$100,000	<input type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ QUALCOMM Incorporated (QCOM) [ST]	SP	04/24/2019	P	\$250,001 - \$500,000	
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒	SP	06/19/2019	S	\$100,001 -	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
QUALCOMM Incorporated (QCOM) [ST]				\$250,000	
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ ResMed Inc. (RMD) [ST]	SP	02/5/2019	S	\$250,001 - \$500,000	<input type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ Teladoc Health, Inc. (TDOC) [ST]	SP	06/19/2019	S	\$250,001 - \$500,000	<input type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ Teladoc Health, Inc. (TDOC) [ST]	SP	05/7/2019	P	\$50,001 - \$100,000	
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ The Middleby Corporation (MIDD) [ST]	SP	03/5/2019	P	\$100,001 - \$250,000	
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ The Middleby Corporation (MIDD) [ST]	SP	04/24/2019	S	\$250,001 - \$500,000	<input type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ The Technology Sed Sec SPDR FD (XLK) [EF]	SP	06/27/2019	P	\$250,001 - \$500,000	
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ The Trade Desk, Inc. - Class A (TTD) [ST]	SP	06/19/2019	S	\$100,001 - \$250,000	<input type="checkbox"/>
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ Vanguard Growth ETF (VUG) [EF]	SP	06/24/2019	P	\$1,000,001 - \$5,000,000	
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ Vanguard Info Tech ETF (VGT) [EF]	SP	07/2/2019	P	\$500,001 - \$1,000,000	
16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) ⇒ Vanguard Mega Cap Growth ETF (MGK) [EF]	SP	07/3/2019	P	\$250,001 - \$500,000	
17 - Mesirow Brokerage Account - IRA (CD-EX) ⇒ Comm Serv Select Sector SPDR (XLC) [EF]	SP	07/17/2019	S	\$250,001 - \$500,000	<input type="checkbox"/>
17 - Mesirow Brokerage Account - IRA (CD-EX) ⇒ Doubleline Total Return Bond FD CL I (DBLTX) [MF]	SP	07/17/2019	P	\$100,001 - \$250,000	
17 - Mesirow Brokerage Account - IRA (CD-EX) ⇒ FPA New Income (FPINX) [MF]	SP	07/17/2019	P	\$100,001 - \$250,000	
17 - Mesirow Brokerage Account - IRA (CD-EX) ⇒ iShares Core S&P Total US Stock Market (ITOT) [MF]	SP	07/17/2019	P	\$500,001 - \$1,000,000	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
17 - Mesirow Brokerage Account - IRA (CD-EX) ⇒ Lord Abbett Short Duration Income CL I (LLDYX) [MF]	SP	07/17/2019	P	\$250,001 - \$500,000	
17 - Mesirow Brokerage Account - IRA (CD-EX) ⇒ The Technology Sed Sec SPDR FD (XLK) [EF]	SP	07/17/2019	S	\$250,001 - \$500,000	<input type="checkbox"/>
17 - Mesirow Brokerage Account - IRA (CD-EX) ⇒ Vanguard Growth ETF (VUG) [MF]	SP	07/17/2019	S	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
17 - Mesirow Brokerage Account - IRA (CD-EX) ⇒ Vanguard Index Funds S&P 500 ETF (VOO) [MF]	SP	07/17/2019	P	\$1,000,001 - \$5,000,000	
17 - Mesirow Brokerage Account - IRA (CD-EX) ⇒ Vanguard Info Tech ETF (VGT) [EF]	SP	07/17/2019	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
17 - Mesirow Brokerage Account - IRA (CD-EX) ⇒ Vanguard Mega Cap Growth ETF (MGK) [MF]	SP	07/17/2019	S	\$250,001 - \$500,000	<input type="checkbox"/>
17 - Mesirow Brokerage Account - IRA (CD-EX) ⇒ Vanguard Short Term Invemt Grade Admiral (VFSUX) [MF]	SP	11/13/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ Alibaba Group Holding Limited American Depository Shares each representing one Ordinary share (BABA) [ST]	SP	02/19/2019	P	\$100,001 - \$250,000	
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ Alibaba Group Holding Limited American Depository Shares each representing one Ordinary share (BABA) [ST]	SP	06/20/2019	S	\$100,001 - \$250,000	<input type="checkbox"/>
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	06/20/2019	S	\$100,001 - \$250,000	<input type="checkbox"/>
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	06/20/2019	S	\$250,001 - \$500,000	<input type="checkbox"/>
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ Comm Serv Select Sector SPDR (XLC) [EF]	SP	07/2/2019	P	\$250,001 - \$500,000	
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ DexCom, Inc. (DXCM) [ST]	SP	06/20/2019	S	\$100,001 - \$250,000	<input type="checkbox"/>
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ Facebook, Inc. - Class A (FB) [ST]	SP	06/20/2019	S	\$250,001 - \$500,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ FedEx Corporation (FDX) [ST]	SP	02/19/2019	S	\$100,001 - \$250,000	<input type="checkbox"/>
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ Fiserv, Inc. (FISV) [ST]	SP	05/3/2019	P	\$100,001 - \$250,000	
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ Fiserv, Inc. (FISV) [ST]	SP	06/20/2019	S	\$100,001 - \$250,000	<input type="checkbox"/>
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ Home Depot, Inc. (HD) [ST]	SP	06/20/2019	S	\$250,001 - \$500,000	<input type="checkbox"/>
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ Linde plc Ordinary Share (LIN) [ST]	SP	06/20/2019	S	\$100,001 - \$250,000	<input type="checkbox"/>
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ Mastercard Incorporated (MA) [ST]	SP	06/20/2019	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ Microsoft Corporation (MSFT) [ST]	SP	06/20/2019	S	\$250,001 - \$500,000	<input type="checkbox"/>
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ PTC Inc. (PTC) [ST]	SP	05/3/2019	S	\$100,001 - \$250,000	<input type="checkbox"/>
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ Texas Instruments Incorporated (TXN) [ST]	SP	06/20/2019	S	\$100,001 - \$250,000	<input type="checkbox"/>
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ The Technology Sel Sec SPDR (XLK) [EF]	SP	06/27/2019	P	\$500,001 - \$1,000,000	
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ The Trade Desk, Inc. - Class A (TTD) [ST]	SP	06/20/2019	S	\$250,001 - \$500,000	<input type="checkbox"/>
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ Thermo Fisher Scientific Inc (TMO) [ST]	SP	06/20/2019	S	\$250,001 - \$500,000	<input type="checkbox"/>
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ Vanguard Growth ETF (VUG) [EF]	SP	06/24/2019	P	\$1,000,001 - \$5,000,000	
18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) ⇒ Vanguard Info Tech ETF (VGT) [EF]	SP	07/2/2019	P	\$500,001 - \$1,000,000	
20 - Mesirow Brokerage Account - IRA (ADNEX) ⇒	SP	04/4/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Berwyn Income Fund (BERIX) [MF]					
20 - Mesirow Brokerage Account - IRA (ADNEX) ⇒ Comm Serv Select Sector SPDR (XLC) [EF]	SP	07/17/2019	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
20 - Mesirow Brokerage Account - IRA (ADNEX) ⇒ Doubleline Total Return Bond FD CL I (DBLTX) [MF]	SP	07/17/2019	P	\$250,001 - \$500,000	
20 - Mesirow Brokerage Account - IRA (ADNEX) ⇒ iShares Core S&P Total US Stock Market (ITOT) [EF]	SP	07/17/2019	P	\$1,000,001 - \$5,000,000	
20 - Mesirow Brokerage Account - IRA (ADNEX) ⇒ iShares Trust 1-3 Year Treasury Bond ETF (SHY) [EF]	SP	04/4/2019	P	\$15,001 - \$50,000	
20 - Mesirow Brokerage Account - IRA (ADNEX) ⇒ iShares Trust 1-3 Year Treasury Bond ETF (SHY) [EF]	SP	07/17/2019	P	\$250,001 - \$500,000	
20 - Mesirow Brokerage Account - IRA (ADNEX) ⇒ The Technology Sel Sec SPDR (XLK) [EF]	SP	07/17/2019	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
20 - Mesirow Brokerage Account - IRA (ADNEX) ⇒ Vanguard Growth ETF (VUG) [EF]	SP	07/17/2019	S	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
20 - Mesirow Brokerage Account - IRA (ADNEX) ⇒ Vanguard Index Funds S&P 500 ETF (VOO) [EF]	SP	07/17/2019	P	\$1,000,001 - \$5,000,000	
20 - Mesirow Brokerage Account - IRA (ADNEX) ⇒ Vanguard Info Tech ETF (VGT) [EF]	SP	07/17/2019	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
20 - Mesirow Brokerage Account - IRA (ADNEX) ⇒ Vanguard Short Term Invmt Grade Admiral (VFSUX) [MF]	SP	07/17/2019	P	\$100,001 - \$250,000	
21 - Mesirow Brokerage Account - IRA (AD-EX) ⇒ Berwyn Income Fund (BERIX) [MF]	SP	04/4/2019	S	\$50,001 - \$100,000	<input type="checkbox"/>
21 - Mesirow Brokerage Account - IRA (AD-EX) ⇒ Oakmark Equity & Income FD I (OANBX) [MF]	SP	04/4/2019	P	\$50,001 - \$100,000	
25-S Other Individual Investments Spouse ⇒ Aerodirect Fund IV [CS]	SP	12/1/2019	P	\$15,001 - \$50,000	
DESCRIPTION: Promisory note. Reseller of aircraft parts.					
26 - CD Family Investment Partnership ⇒ Banner Essex Strategic Apartment Fund II (LLC) Ainsley	SP	01/15/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Falls Apartments) [OL]					
LOCATION: Charlotte, NC, US DESCRIPTION: Fund investing in multi family apartments					
26 - CD Family Investment Partnership ⇒ Banner Essex Strategic Apartment Fund II, LLC (Tradition at Stonewater Apartment [OL]	SP	12/15/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LOCATION: Cary, NC, US DESCRIPTION: FUNd investing in multi family apartments					
26 - CD Family Investment Partnership ⇒ Rock Rose Weatherly Partners, LP [HE]	SP	02/19/2019	P	\$1,001 - \$15,000	
DESCRIPTION: Investment in fund acquiring apartment complexes in Stone Mountain Ga					
27 - MSSB BROKERAGE ACCOUNT (ED-T) ⇒ Bahl and Gaynor Income Growth Strategy [HE]	SP	07/19/2019	P	\$100,001 - \$250,000	
27 - MSSB BROKERAGE ACCOUNT (ED-T) ⇒ Geneva Small Cap Growth [HE]	SP	07/19/2019	P	\$15,001 - \$50,000	
27 - MSSB BROKERAGE ACCOUNT (ED-T) ⇒ The London Company Income Equity [HE]	SP	07/19/2019	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
27 - MSSB BROKERAGE ACCOUNT (ED-T) ⇒ Ziegler Cap MVP Sm Cap Core [HE]	SP	07/19/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

<b>Source</b>	<b>Type</b>	<b>Amount</b>
Davis Dann Adler Schneider	Income from insurance policies sold prior to 2003	\$22,122.00
Alliant Insurance Services	Spouse Salary	N/A

## SCHEDULE D: LIABILITIES

<b>Owner</b>	<b>Creditor</b>	<b>Date Incurred</b>	<b>Type</b>	<b>Amount of Liability</b>
JT	MB Financial	May 2017	Mortgage on primary residence	\$250,001 - \$500,000

## SCHEDULE E: POSITIONS

Position	Name of Organization
Director	Waukegan Library Foundation
Honorary Director	Susan G. Komen Breast Cancer Foundation, Chicago Chapter
Advisory Board	Civic Leadership Foundation
Honorary Co-Chair	Third Way
United States Holocaust Memorial Council (Board of Trustees)	United States Holocaust Memorial Museum
COMMENTS: Appointed by House of Representatives	

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Source	Trip Details				Inclusions		
	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
American Israel Education Foundation	08/4/2019	08/12/2019	Chicago, Illinois - Tel Aviv Israel - Chicago, Illinois	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- o 01 - CIBC, Winnetka, IL (Owner: JT)  
LOCATION: US
- o 03 - Fifth-Third Bank (Formerly Manufacturers Bank), Northbrook, IL  
LOCATION: US
- o 04 - Mesirov Brokerage Account (JT-E) (Owner: JT)  
LOCATION: US
- o 05 - Mesirov Brokerage Account (KPL) (Owner: SP)  
LOCATION: US
- o 06 - Mesirov Brokerage Account (JST-E) (Owner: SP)

LOCATION: US

- 07 - Mesirow Brokerage Account (JST-M) (Owner: SP)  
LOCATION: US
- 08 - Mesirow Brokerage Account (JST-B) (Owner: SP)  
LOCATION: US
- 09 - Fidelity Brokerage Account (Owner: JT)  
LOCATION: US
- 10 - Fidelity Brokerage Account - Rollover IRA
- 11 - Fidelity Brokerage Account - Rollover IRA (S) (Owner: SP)
- 12 - Fidelity Brokerage Account (JST) (Owner: SP)  
LOCATION: US
- 13 - Schwab Brokerage Account (Owner: JT)  
LOCATION: US
- 14 - Schwab Brokerage Account - Roth IRA
- 16 - MSSB BROKERAGE ACCOUNT - IRA (CD/P) (Owner: SP)
- 17 - Mesirow Brokerage Account - IRA (CD-EX) (Owner: SP)
- 18 - MSSB BROKERAGE ACCOUNT - IRA (AD/P) (Owner: SP)
- 20 - Mesirow Brokerage Account - IRA (ADNEX) (Owner: SP)
- 21 - Mesirow Brokerage Account - IRA (AD-EX) (Owner: SP)
- 22 - Mesirow Brokerage Account - IRA JD R/O (Owner: SP)  
DESCRIPTION: Roll over of Mesirow 401k plan
- 23 - Life Insurance (Owner: DC)  
LOCATION: US
- 24 - MDRJB Investment Partnership, LLC  
LOCATION: US
- 24 - MDRJB Investment Partnership, LLC ⇒ Generation 3 LP (Private Equity, Northbrook, IL)
- 25 - Other Individual Investments  
LOCATION: US
- 25-S Other Individual Investments Spouse (Owner: SP)  
LOCATION: US
- 26 - CD Family Investment Partnership (Owner: SP)  
LOCATION: US
- 26 - CD Family Investment Partnership ⇒ Generation 3 LP (Private Equity, Northbrook, IL) (Owner: SP)
- 27 - MSSB BROKERAGE ACCOUNT (ED-T) (Owner: SP)  
LOCATION: US

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

#### **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Bradley S. Schneider , 08/12/2021