



Filing ID #10037601

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Daniel Lipinski  
**Status:** Member  
**State/District:** IL03

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2019  
**Filing Date:** 08/12/2020

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
4 Ever Life Insurance GUL Policy [WU]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
401K CORE EQUITY FUND [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: E					
401K CVS Health Common Stock Fund [MF]	SP	None	Tax-Deferred		<input type="checkbox"/>
401K CVS HEALTH STOCK FUND [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: E					
401K FID FREEDOM 2035 FUND [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: P					
401K FIDELITY CONTRAFUND [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
401K FIDELITY PURITAN FUND [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
401K FIXED INCOME BALANCED RISK Fd [MF]	SP	None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: E					
401K INTERNATIONAL EQUITY FUND [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: E					
401K Intl Developed Mkt Index Fund [MF]	SP	None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: E					
401K MARSH & MCLENNAN STOCK FUND [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
401K MIDCAP INDEX FUND [MF]	SP	\$250,001 - \$500,000	Dividends	None	<input type="checkbox"/>
DESCRIPTION: E					
401K S&P 500 Index Fund [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: E(partial)					
401K S&P Midcap Index Fund [MF]	SP	None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: E					
401K STABLE VALUE FUND [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: E					
401K Stable Value Option [MF]	SP	None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: E					
401K TARGET DATE 2035 FUND [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: E					
401K Target Retirement 2035 Fund [MF]	SP	None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: E					
401K UD BOND INDEX FUND [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: E					
ABERDEEN STD POLLADIUM [MF]	SP	\$50,001 - \$100,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: P					
ARK Innovation ETF [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: P					
BRIGHTHOUSE FINANCIAL INC. [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
CVS Health Corporation [MF]	SP	\$1 - \$1,000	Dividends	None	<input type="checkbox"/>
Delaware Healthcare Instl [MF]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
E-TRADE BANK DEPOSIT ACCOUNT (CASH) [BA]	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
FIFTH THIRD BANK (FMR MB FINANCIAL) [BA]		\$500,001 - \$1,000,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
FIRST EAGLE GLOBAL [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: S(partial)					
FNBC Bank & Trust [BA]	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Guggenheim Floating Rate Strategy [MF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Guggenheim Macro Opp. [IH]	SP	None	Dividends, Capital Loss	None	<input checked="" type="checkbox"/>
DESCRIPTION: S					
INVESCO (POWERSHARES) QQQ Trust [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: P					
IRA (INVESCO POWERSHARES) QQQ ETF [IH]	SP	None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: S					
IRA Alpha CentrIc Income [IH]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: P					
IRA Ameriserve Account [IH]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
IRA Deer Park Total Return [IH]	SP	None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: P,S					
IRA EATON VANCE FLOATING RATE [IH]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: P					
IRA GUGGENHEIM MACRO OPP [IH]	SP	None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: S					
IRA Guggenheim Floating Rate Strategy [IH]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: P					
IRA ISHARES GOLD TRUST [IH]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: P					
IRA ISHARES TRUST [IH]	SP	None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: P,S					
IRA Leuthold Core [IH]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: P					
IRA Loomis Sayles Global Alloction Y [IH]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: P					
IRA Loomis Sayles Sen Floating Rate Y [IH]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: P					
IRA PIMCO FDS INCOME [IH]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: P					
IRA TD FDIC INSURED DEPOSIT ACCOUNT [IH]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: P					
IRA VANGUARD 500 INDEX FUND [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
ISHARES GOLD TRUST [MF]	SP	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: P					
iSHARES PHLX SEMICONDUCTOR ETF [OT]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: P					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
ISHARES TRUST 20YR [MF]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: P,S					
iShares US Aerospace & Defense [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: P					
LEUTHOLD CORE [MF]	SP	None	Dividends, CAPITAL LOSS	None	<input checked="" type="checkbox"/>
DESCRIPTION: P					
LOOMIS SAYLES GLOBAL ALLOCATION [OT]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: S(PARTIAL)					
Loomis Sayles Sen. Floating Rate-Y [MF]	SP	None	Dividends, CAPITAL LOSS	None	<input type="checkbox"/>
DESCRIPTION: S					
Marsh & McLennan Companies, Inc. (MMC) [ST]	SP	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
METLIFE TCA MONEY MARKET ACCOUNT [BA]	SP	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MetLife, Inc. (MET) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
NUVEEN SYMPHONY FIR [MF]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: P					
RETIREMENT CREF BOND MARKET [BA]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
RETIREMENT CREF EQUITY INDEX [HE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
RETIREMENT CREF GROWTH [BA]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
RETIREMENT CREF STOCK [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
RETIREMENT TIAA TRADITIONAL [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
SCHWAB U.S. LARGE-CAP GROWTH [OT]	SP	\$15,001 - \$50,000	Capital Gains,	None	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Dividends					
DESCRIPTION: S					
TD FDIC Insured Deposit Account [BA]	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
UBS Bank Deposit [BA]	SP	\$1,001 - \$15,000	Dividends, Interest	\$1 - \$200	<input type="checkbox"/>
VAN ECK VECTORS FALLEN ANGEL ETF [MF]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: P					
VANGUARD 500 [MF]		\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
VANGUARD REALESTATE ETF [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: P					
Virtus KAR Small Cap Growth [MF]	SP	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
WELLS FARGO [BA]	SP	\$1,000,001 - \$5,000,000	Interest	\$201 - \$1,000	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
401K CVS HEALTH STOCK FUND [MF]	SP	12/31/2019	E	\$15,001 - \$50,000	
DESCRIPTION: EXCHANGED FROM 401K CVS HEALTH COMMON STOCK FUND					
401K FID FREEDOM2035 FUND [MF]	SP	Bi-weekly	P	\$15,001 - \$50,000	
401K INTERNATIONAL EQUITY FUND [MF]	SP	12/31/2019	E	\$50,001 - \$100,000	
DESCRIPTION: EXCHANGED FROM 401K INT'L DEVELOPED MKT INDEX FUND					
401K MIDCAP INDEX FUND [MF]	SP	12/31/2019	E	\$250,001 - \$500,000	
DESCRIPTION: EXCHANGED FROM 401Ks&p MIDCAP INDEX FUND					

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
401K STABLE VALUE FUND [MF]	SP	12/31/2019	E	\$15,001 - \$50,000	
DESCRIPTION: EXCHANGED FROM STABLE VALUE OPTION					
401K TARGET DATE 2035 FUND [MF]	SP	12/31/2019	E	\$250,001 - \$500,000	
DESCRIPTION: EXCHANGED FROM 401K TARGET RETIREMENT 2035 FUND					
401K US BOND INDEX FUND [MF]	SP	12/31/2019	E	\$15,001 - \$50,000	
DESCRIPTION: EXCHANGED FROM 401K FIXED INCOME BALANCED RISK					
401KCORE EQUITY FUND [MF]	SP	12/31/2019	E	\$250,001 - \$500,000	
DESCRIPTION: EXCHANGED FROM 401K S&P 500 INDEX FUND					
ABERDEEN STFPALLADIUM [MF]	SP	02/5/2019	P	\$15,001 - \$50,000	
FIRST EAGLE GLOBAL [MF]	SP	05/16/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
GUGGENHEIM MACRO OPP [MF]	SP	04/18/2019	P	\$15,001 - \$50,000	
IRA (INVESTCOPOWERSHARES) QQQ ETF [IH]	SP	05/16/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA DEER PARK TOTAL RETURN [IH]	SP	06/27/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
IRA DEERPARK TOTALRETURN [IH]	SP	05/17/2019	P	\$1,001 - \$15,000	
IRA EASTON VANCE FLOATING RATE [IH]	SP	05/17/2019	P	\$1,001 - \$15,000	
IRA GUGGENHEIMMACRO OPP [IH]	SP	04/18/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA ISHARES GOLD TRUST [IH]	SP	01/8/2019	P	\$1,001 - \$15,000	
IRA ISHARES TRUST [IH]	SP	06/28/2019	P	\$1,001 - \$15,000	
IRA ISHARES TRUST [IH]	SP	11/18/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA PIMCO FDS INCOME [IH]	SP	06/18/2019	P	\$15,001 - \$50,000	
ISHARES GOLD TRUST [MF]	SP	01/17/2019	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
ISHARES TRUST 20YR [MF]	SP	06/28/2019	P	\$1,001 - \$15,000	
ISHARES TRUST 20YR [MF]	SP	11/18/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LEUTHOLD CORE [MF]	SP	05/16/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOOMIS SAYLES GEN FLOATING RATE -Y [MF]	SP	12/27/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOOMIS SAYLES GLOBAL ALLOCATION [MF]	SP	05/16/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
NUVEEN SYMPHONY FIR [MF]	SP	05/17/2019	P	\$15,001 - \$50,000	
SCHWAB LARGE-CAP GROWTH [MF]	SP	06/28/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
VAN ECK VECTORS FALLEN ANGEL ETF [EF]	SP	05/17/2019	P	\$15,001 - \$50,000	
VANGUARD REAL ESTATE ETF [EF]	SP	05/17/2019	P	\$15,001 - \$50,000	

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

None disclosed.

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Source	Trip Details				Inclusions		
	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
FOCUS - Fellowship of Catholic University Students	01/5/2020	01/6/2019	Chicago, IL - Indianapolis, IN - Chicago, IL	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
American Public Philosophy Institute	04/7/2019	04/9/2019	Chicago, IL - Dallas, TX - Chicago, IL	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Ave Maria University	05/4/2019	05/6/2019	Washington, DC - Naples, FL - Chicago, IL	1	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Williams College Program in Leadership Studies	10/2/2019	10/3/2019	Chicago, IL - Williamsport, MA - Chicago, IL	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Daniel Lipinski , 08/12/2020