



Filing ID #10046716

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Judy Chu  
**Status:** Member  
**State/District:** CA27

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2021  
**Filing Date:** 05/14/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Apollo Medical Holdings, Inc. (AMEH) [ST]	SP	\$100,001 - \$250,000	Dividends	None	<input type="checkbox"/>
California Public Employees Retirement System [DB]	Undetermined		defined benefit	\$15,001 - \$50,000	<input type="checkbox"/>
California State Teachers Retirement System [DB]	Undetermined		defined benefit	\$15,001 - \$50,000	<input type="checkbox"/>
Chu-Lim Investments LLC ⇒ Rental Property #1, 25% [RP] LOCATION: Santa Clara, CA, US		\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
Chu-Lim Investments LLC ⇒ Rental Property #2, 25% [RP] LOCATION: Santa Clara, CA, US		\$250,001 - \$500,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
Chu-Lim Investments LLC ⇒ Rental Property #3, 25% [RP] LOCATION: Santa Clara, CA, US		\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
Chu-Lim Investments LLC ⇒ Rental Property #4, 25% [RP]		\$250,001 - \$500,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LOCATION: Santa Clara, CA, US					
Chu-Lim Investments LLC ⇒ Rental Property #5, 25% [RP]		\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: San Jose, CA, US					
Citibank Savings Acct [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Citibank- J.M. Chu Irrevocable Trust II [BA]		\$15,001 - \$50,000	None		<input type="checkbox"/>
East West Bank Certificate of Deposit [BA]	SP	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Fidelity Charitable Account- Judy Chu Fund [BA]		\$50,001 - \$100,000	Interest	None	<input type="checkbox"/>
New Omni Bank Certificate of Deposit [BA]	SP	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
New Omni Bank Savings Account [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Preferred Bank Certificate of Deposit [BA]	SP	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
Savings Plus ⇒ 401K International Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Large Cap Fund [PE]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Large Cap Index Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Large Cap Socially Responsible Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Mid Cap Fund [PE]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Short Term Investment Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Savings Plus ⇒ 401K Short Term Investment Fund- Cash [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Small Cap Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 International Fund [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Large Cap Socially Responsible Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Large Cap Fund [PE]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Large Cap Index Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Mid Cap Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Short Term Investment Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Short Term Investment Fund- Cash [PE]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ Allstate Corporation (ALL) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ CA Intermediate Term Bond Tax-Exempt Mutual Fund VCADX [MF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ Federal Money Market Account [BA]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒		\$250,001 -	Dividends	\$1,001 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Growth Index Fund Admiral Cl (VIGAX) [MF]		\$500,000		\$2,500	
Vanguard- Eng Chu Family Trust ⇒ Mid-Cap Index Fund VIMAX [MF]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ Short Term Bond Index VBIRX [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ Total International Bond Index VTABX [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ Vanguard EG US Stock ETF (ESGV) [MF]		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ Vanguard ESG Intl Stock ETF (VSGX) [MF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ Vanguard Extended Market Index Admiral Cl (VEXAX) [MF]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ Vanguard FTSE All World Ex US Index Admiral Class (VFWAX) [MF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ Vanguard Intermediate Term Bond (BIV) [EF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ Vanguard Intermediate Term Bond Index Admiral Cl (VBILX) [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ Vanguard Limited Term Tax Exempt (VMLUX) [MF]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ Vanguard Short Term Bond ETF (BSV) [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ Vanguard Total Intl Bond Index ETF (BNDX) [EF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard- Eng Chu Family Trust ⇒ Vanguard Total Stock Market Index Admiral Cl (VTSAX) [MF]		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard- Eng Chu Family Trust ⇒ Windsor II Mutual Fund VWNAX [MF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard IRA ⇒ Total International Bond Index (VTABX) [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Vanguard IRA ⇒ Vanguard Long Term Bond Index Admiral Class (VBLAX) [PE]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Extended Markets Stock Index VEXAX [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Federal Money Market Account [BA]		\$1 - \$1,000	Dividends	None	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Intermediate-Term Tax Exempt (VWIUX) [MF]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ iShares Russell 1000 Growth Index (IWF) [EF]		None	Dividends	None	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ iShares Russell 2000 Index (IWM) [EF]		None	Dividends	None	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Long-Term Tax Exempt Fund VWLUX [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard Dividend Appreciation Index Fund (VDADX) [MF]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard Extended Market ETF (VXF) [MF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard FTSE All World Ex US Index Admiral Class (VFWAX) [MF]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard FTSE Social Index Admiral Cl (VFTAX) [MF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard LTD Term tax-exempt Admiral Cl (VMLUX) [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard Value ETF (VTY) [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Wells Fargo Bank Checking Account [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo Bank Savings Account [BA]	SP	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Vanguard- Eng Chu Family Trust ⇒ Federal Money Market [MF]		02/22/2021	E	\$100,001 - \$250,000	
DESCRIPTION: Exchange of cash from JM Chu Irrevocable Trust II					
Vanguard- Eng Chu Family Trust ⇒ Vanguard California Intermediate Term Tax Exempt Fund Admiral (VCADX) [MF]		02/23/2021	P	\$100,001 - \$250,000	
Vanguard- Eng Chu Family Trust ⇒ Vanguard Limited Term Tax Exempt (VMLUX) [MF]		02/23/2021	P	\$100,001 - \$250,000	
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Federal Money Market [MF]		02/22/2021	E	\$100,001 - \$250,000	
DESCRIPTION: Exchange to Eng Chu Family Trust					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Federal Money Market [MF]		03/3/2021	E	\$15,001 - \$50,000	
DESCRIPTION: transfer from bank					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ iShares Russell 1000 Growth ETF (IWF) [MF]		02/17/2021	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ iShares Russell 2000 ETF (IWM) [MF]		02/17/2021	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard Extended Market Index Fund Admiral (VEXAX) [MF]		03/23/2021	P	\$1,001 - \$15,000	
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard FTSE All World Ex US Index Admiral Class (VFWAX) [MF]		02/17/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard Limited Term Tax Exempt (VMLUX) [MF]		02/17/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard Limited Term Tax Exempt (VMLUX) [MF]		02/18/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard Limited Term Tax Exempt (VMLUX) [MF]		03/23/2021	P	\$1,001 - \$15,000	
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard Value ETF (VTV) [MF]		02/17/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Apollo Medical Holdings	Spouse board attendance compensation	N/A
California Public Employees Retirement System	Defined Benefit	\$23,674.04
California State Teachers Retirement System	Defined Benefit	\$33,671.07

State of California	Spouse salary	N/A
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## SCHEDULE D: LIABILITIES

Owner Creditor	Date Incurred	Type	Amount of Liability
Capitol Hill Tower Housing Cooperative	May 2010	Mortgage on underlying property of personal residence, Washington DC (not rented)	\$100,001 - \$250,000

## SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member (uncompensated)	Asian Pacific American Institute for Congressional Studies
Board Member (uncompensated)	APAICS Leadership Network

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
May 2001	Myself and California State Teachers Retirement System	Defined pension benefit plan for teaching position in the LA Community College District
May 2001	Myself and California Public Employees Retirement System	Defined pension benefit plan for service on Monterey Park City Council

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Source	Activity	Date	Amount	Charity Name
Jews United for Democracy and Justice	Speech	04/28/2021	\$1,000.00	Asian Americans Advancing Justice-Los Angeles

## SCHEDULE A AND B ASSET CLASS DETAILS

- Chu-Lim Investments LLC  
LOCATION: US

- Savings Plus
- Vanguard- Eng Chu Family Trust
- Vanguard IRA
- Vanguard-JM Chu Irrevocable Trust II
- Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed  
LOCATION: US

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Judy Chu , 05/14/2022