



Filing ID #10041493

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Cindy Axne
Status: Member
State/District: IA03

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2020
Filing Date: 07/15/2021

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income Tx. > \$1,000? |
|---|-------|--------------------|----------------|--------------------------|
| Cindy and John Axne Joint Account ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST] | JT | \$1,001 - \$15,000 | None | <input type="checkbox"/> |
| Cindy and John Axne Joint Account ⇒ Clearbridge All Cap Growth [EF] | JT | \$1,001 - \$15,000 | None | <input type="checkbox"/> |
| Cindy and John Axne Joint Account ⇒ Nike, Inc. (NKE) [ST] | JT | \$1,001 - \$15,000 | None | <input type="checkbox"/> |
| Cindy Axne IRA ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Cindy Axne IRA ⇒ American Tower Corporation (REIT) (AMT) [RE] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Cindy Axne IRA ⇒ Arch Capital Group Ltd. (ACGL) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Cindy Axne IRA ⇒ Bank of America Corporation (BAC) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Cindy Axne IRA ⇒ | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income Tx. > \$1,000? |
|--|--------------|-----------------------|-----------------------|---------------------------------|
| Berkshire Hathaway Inc. New (BRK.B) [ST] | | | | |
| Cindy Axne IRA ⇒ Brown Forman Inc Class B (BF.B) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Cindy Axne IRA ⇒ Colgate-Palmolive Company (CL) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Cindy Axne IRA ⇒ Doubleline Opportunistic [MF] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| C Cindy Axne IRA ⇒ Jefferies Financial Group Inc. (JEF) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| C Cindy Axne IRA ⇒ Johnson & Johnson (JNJ) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| C Cindy Axne IRA ⇒ JP Morgan Chase & Co. (JPM) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| C Cindy Axne IRA ⇒ JPMorgan Ultra Short Income ETF [EF] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| C Cindy Axne IRA ⇒ Liberty Broadband Corporation - Class A (LBRDA) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| C Cindy Axne IRA ⇒ Markel Corporation (MKL) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| C Cindy Axne IRA ⇒ Mastercard Incorporated (MA) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| C Cindy Axne IRA ⇒ Moody's Corporation (MCO) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| C Cindy Axne IRA ⇒ Nike, Inc. (NKE) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| C Cindy Axne IRA ⇒ O'Reilly Automotive, Inc. (ORLY) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| C Cindy Axne IRA ⇒ Parnassus Core Equity Fund Investor Class [MF] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income Tx. > \$1,000? |
|---|-------|----------------------|----------------|--------------------------|
| Cindy Axne IRA ⇒ PGIM Strategic Bond Fund [MF] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Cindy Axne IRA ⇒ PGIM Ultra Short Bond ETF [EF] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Cindy Axne IRA ⇒ Post Holdings, Inc. (POST) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| C Cindy Axne IRA ⇒ Progressive Corporation (PGR) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| C Cindy Axne IRA ⇒ Starbucks Corporation (SBUX) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| C Cindy Axne IRA ⇒ Stryker Corporation (SYK) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| C Cindy Axne IRA ⇒ Tractor Supply Company (TSCO) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| C Cindy Axne IRA ⇒ Visa Inc. (V) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| C Cindy Axne IRA ⇒ Watsco, Inc. (WSO) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| C Cindy Axne IRA ⇒ Western Asset Core Plus Bond Fund [MF] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| College Savings Iowa 529 Plan [5P] | JT | \$50,001 - \$100,000 | Tax-Deferred | <input type="checkbox"/> |
| LOCATION: IA | | | | |
| College Savings Iowa 529 Plan [5P] | | \$50,001 - \$100,000 | Tax-Deferred | <input type="checkbox"/> |
| LOCATION: IA | | | | |
| Creation Agents LLC, 50% Interest [OL] | | Undetermined | None | <input type="checkbox"/> |
| LOCATION: West Des Moines/Polk, IA, US | | | | |
| DESCRIPTION: Blended growth Digital Design Firm | | | | |
| Creation Agents LLC 401K ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income Tx. > \$1,000? |
|---|--------------|-----------------------|-----------------------|---------------------------------|
| Creation Agents LLC 401K ⇒ American Tower Corporation New REIT [RE] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ Arch Capital Group Ltd. (ACGL) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ Baird Core Plus Bond Fund INSTL Class [MF] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ Brown Forman Inc Class B (BF.B) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ Clearbridge All Cap Growth [EF] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ Colgate-Palmolive Company (CL) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ Doubleline Income Solutions [MF] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ Jefferies Financial Group Inc. (JEF) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ Johnson & Johnson (JNJ) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ JP Morgan Chase & Co. (JPM) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ JPMorgan Ultra Short Income ETF [EF] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ Liberty Broadband Corporation - Class A (LBRDA) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ Markel Corporation (MKL) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ Mastercard Incorporated (MA) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income Tx. > \$1,000? |
|--|--------------|-----------------------|-----------------------|---------------------------------|
| Creation Agents LLC 401K ⇒ Moody's Corporation (MCO) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ Nike, Inc. (NKE) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ O'Reilly Automotive, Inc. (ORLY) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ Parnassus Core Equity Fund Institutional Class [MF] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ PGIM Strategic Bond Fund [MF] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ PGIM Ultra Short Bond ETF [EF] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ Post Holdings, Inc. (POST) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ Principal Blue Chip Institutional Class [MF] | | \$15,001 - \$50,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ Progressive Corporation (PGR) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ Starbucks Corporation (SBUX) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ Stryker Corporation (SYK) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ Tractor Supply Company (TSCO) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ U. S. Bancorp Dep SHS PFD [CS] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| DESCRIPTION: Preferred Security | | | | |
| Creation Agents LLC 401K ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income Tx. > \$1,000? |
|--|--------------|-----------------------|-----------------------|---------------------------------|
| Creation Agents LLC 401K ⇒ Visa Inc. (V) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ Watsco, Inc. (WSO) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Creation Agents LLC 401K ⇒ Western Asset Core Plus Bond Fund [MF] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| John Axne IRA ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| John Axne IRA ⇒ American Tower Corporation New REIT [RE] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| John Axne IRA ⇒ Arch Capital Group Ltd. (ACGL) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| John Axne IRA ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| John Axne IRA ⇒ Brown Forman Inc Class B (BF.B) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| John Axne IRA ⇒ Colgate-Palmolive Company (CL) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| John Axne IRA ⇒ Jefferies Financial Group Inc. (JEF) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| John Axne IRA ⇒ Johnson & Johnson (JNJ) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| John Axne IRA ⇒ JP Morgan Chase & Co. (JPM) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| John Axne IRA ⇒ JPMorgan Ultra Short Income ETF [EF] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| John Axne IRA ⇒ Liberty Broadband Corporation - Class A (LBRDA) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| John Axne IRA ⇒ Markel Corporation (MKL) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income Tx. > \$1,000? |
|--|--------------|-----------------------|-----------------------|---------------------------------|
| John Axne IRA ⇒ Mastercard Incorporated (MA) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| John Axne IRA ⇒ Moody's Corporation (MCO) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| John Axne IRA ⇒ Nike, Inc. (NKE) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| John Axne IRA ⇒ O'Reilly Automotive, Inc. (ORLY) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| John Axne IRA ⇒ PGIM Strategic Bond Fund [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| John Axne IRA ⇒ PGIM Ultra Short Bond ETF [EF] | SP | \$1 - \$1,000 | Tax-Deferred | <input type="checkbox"/> |
| John Axne IRA ⇒ Post Holdings, Inc. (POST) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| John Axne IRA ⇒ Principal Blue Chip Institutional Class [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| John Axne IRA ⇒ Progressive Corporation (PGR) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| John Axne IRA ⇒ Starbucks Corporation (SBUX) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| John Axne IRA ⇒ Stryker Corporation (SYK) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| John Axne IRA ⇒ Tractor Supply Company (TSCO) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| John Axne IRA ⇒ U. S. Bancorp Dep SHS PFD [CS] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| DESCRIPTION: Preferred Security | | | | |
| John Axne IRA ⇒ Visa Inc. (V) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| John Axne IRA ⇒ Watsco, Inc. (WSO) [ST] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income Tx. > \$1,000? |
|---|-------|-----------------------|----------------|--------------------------|
| John Axne IRA ⇒ Western Asset Core Plus Bond Fund [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Voya-State of Iowa Deferred Comp Program [MF] | | \$100,001 - \$250,000 | Tax-Deferred | <input type="checkbox"/> |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

None disclosed.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

| Position | Name of Organization |
|---------------|----------------------|
| owner/partner | Creation Agents |

SCHEDULE F: AGREEMENTS

| Date | Parties To | Terms of Agreement |
|------------|--|--|
| May 2017 | Creation Agents, John Axne, Cindy Axne | leave of absence |
| May 2017 | Cindy Axne as owner | leave of absence |
| April 2005 | Cindy Axne and the State of Iowa | The State of Iowa 457-Employee Deferred Compensation Program Left State of Iowa employment in 2014 |

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Cindy and John Axne Joint Account (Owner: JT)
- Cindy Axne IRA
- Creation Agents LLC 401K
- John Axne IRA (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Cindy Axne , 07/15/2021