



Filing ID #10047892

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Marie Newman
Status: Member
State/District: IL03

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2021
Filing Date: 08/4/2022

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Acorn Moderately Aggressive Fund [MF]	SP	\$15,001 - \$50,000	Capital Gains	None	<input type="checkbox"/>
BMO Harris Everyday Checking Account [BA]	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
BMO Harris Everyday Checking Account [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>
BMO Harris Interest Gold Checking Account [BA]	SP	\$100,001 - \$250,000	None		<input type="checkbox"/>
BMO Harris Portfolio Checking Account [BA]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
BMO Harris Savings Account [BA]	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
Charles Schwab JT TEN ⇒ Cash [BA]	JT	\$1,000,001 - \$5,000,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Charles Schwab JT TEN ⇒ Coinbase Global, Inc. - Class A (COIN) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Charles Schwab JT TEN ⇒ Glenmede Quant US Large [MF]	JT	\$15,001 - \$50,000	Dividends	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Charles Schwab JT TEN ⇒ Invesco QQQ [EF]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Charles Schwab JT TEN ⇒ SPDR S & P 500 ETF [EF]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Charles Schwab JT TEN ⇒ The Consumer Staples Select Sector SPDR Fund [EF] DESCRIPTION: JAMES D NEWMAN & MARIE K NEWMAN JT TEN	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Charles Schwab JT TEN ⇒ Vanguard Small-Cap Index Fund [EF] DESCRIPTION: JAMES D NEWMAN & MARIE K NEWMAN JT TEN	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Fundrise ⇒ Fundrise [RE]	SP	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Individual 401(K) ⇒ Cash [IH] DESCRIPTION: JAMES D. NEWMAN & ASSOC. 401(K)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Individual 401(K) ⇒ Schwab U.S. Broad Market ETF [EF] DESCRIPTION: JAMES D. NEWMAN & ASSOC. 401(K)	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual Life Insurance [WU] DESCRIPTION: James Newman Extra Ordinary Life	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual Life Insurance [WU] DESCRIPTION: James Newman Extra Ordinary Life	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Northwestern Mutual Life Insurance [WU] DESCRIPTION: James Newman Whole Life	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual Life Insurance [WU] DESCRIPTION: James Newman Whole Life	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Northwestern Mutual Life Insurance [WU] DESCRIPTION: Marie Newman Adjustable CompLife		\$250,001 - \$500,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Patch of Land [RE] DESCRIPTION: real estate crowdsourcing	SP	\$1 - \$1,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
PowerShares QQQ Trust, Series 1 [EF]	SP	\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Activision Blizzard, Inc (ATVI) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Akebia Therapeutics, Inc. (AKBA) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Apple Inc. (AAPL) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Artisan International Fund Investor Class [MF] DESCRIPTION: MARIE K NEWMAN CHARLES SCHWAB & CO INC CUST IRA ROLLOVER		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Best Buy Co., Inc. (BBY) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Carnival Corporation (CCL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Cash [IH] DESCRIPTION: JAMES D NEWMAN IRA ROLLOVER	SP	\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Cash [IH] DESCRIPTION: JAMES D NEWMAN IRA ROLLOVER	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Cash [IH] DESCRIPTION: MARIE K NEWMAN CHARLES SCHWAB & CO INC CUST IRA ROLLOVER		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Chewy, Inc. Class A (CHWY) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
CNA Financial Corporation (CNA) [ST]					
Rollover IRA ⇒ Coca-Cola Company (KO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Coinbase Global, Inc. - Class A (COIN) [ST]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Energy Select Sector SPDR Fund [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: MARIE K NEWMAN CHARLES SCHWAB & CO INC CUST IRA ROLLOVER					
Rollover IRA ⇒ Fidelity Freedom 2030 Fund [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: MARIE K NEWMAN CHARLES SCHWAB & CO INC CUST IRA ROLLOVER					
Rollover IRA ⇒ Financial Select Sector SPDR Fund [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: MARIE K NEWMAN CHARLES SCHWAB & CO INC CUST IRA ROLLOVER					
Rollover IRA ⇒ Global X Autonom Electric Vehicle [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Global X Lithium Battery [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ GoPro, Inc. - Class A (GPRO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Hippo Holdings Inc. (HIPO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Hodges Small Cap Fund Retail Class [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: MARIE K NEWMAN CHARLES SCHWAB & CO INC CUST IRA ROLLOVER					
Rollover IRA ⇒ INVESCO QQQ [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Invesco QQQ Trust [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ iShares MSCI EAFE Small-Cap ETF [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: MARIE K NEWMAN CHARLES SCHWAB & CO INC CUST IRA ROLLOVER					
Rollover IRA ⇒ Lemonade, Inc. (LMND) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Microsoft Corporation (MSFT) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Microsoft Corporation (MSFT) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ NovoCure Limited - Ordinary Shares (NVCR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Ryder System, Inc. (R) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Salesforce, Inc. (CRM) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Schwab Emerging Markets [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Schwab Fundamental Emerging Markets [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Schwab Fundamental INL [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Schwab Fundamental US [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Schwab International [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Schwab S&P 500 Index Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: MARIE K NEWMAN CHARLES SCHWAB & CO INC CUST IRA ROLLOVER					
Rollover IRA ⇒ Schwab US Large Cap [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Schwab US Small Cap [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Rollover IRA ⇒ Schwab US Small Cap [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Schwab US TIPS [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Simplify U.S. Equity PLUS GBTC ETF (SPBC) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ SPDR Dow Jones [EF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ SPDR Fund Consumer Staples [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ SPDR S & P 500 [EF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ SPDR S&P 500 ETF [EF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: MARIE K NEWMAN CHARLES SCHWAB & CO INC CUST IRA ROLLOVER					
Rollover IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ The Real Estate Select Sector SPDR Fund [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: MARIE K NEWMAN CHARLES SCHWAB & CO INC CUST IRA ROLLOVER					
Rollover IRA ⇒ The Travelers Companies, Inc. (TRV) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Utilities Select Sector SPDR Fund [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: MARIE K NEWMAN CHARLES SCHWAB & CO INC CUST IRA ROLLOVER					
Rollover IRA ⇒ Vanguard Communication Services ETF [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: MARIE K NEWMAN CHARLES SCHWAB & CO INC CUST IRA ROLLOVER					
Rollover IRA ⇒ Vanguard Equity-Income Fund Admiral Shares [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: MARIE K NEWMAN CHARLES SCHWAB & CO INC CUST IRA ROLLOVER					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Rollover IRA ⇒ Vanguard Growth and Income [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Vanguard Intermediate Term Cor [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Walmart Inc. (WMT) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Walt Disney Company (DIS) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Yatra Online, Inc. - Ordinary Shares (YTRA) [ST]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ Zoom Video Communications, Inc. - Class A (ZM) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Securian 401K ⇒ TIAA-CREF LC index 2030 [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Charles Schwab JT TEN ⇒ ARK Innovation ETF (ARKK) [ST]	JT	06/18/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab JT TEN ⇒ Carnival Corporation (CCL) [ST]	JT	02/8/2021	P	\$1,001 - \$15,000	
Charles Schwab JT TEN ⇒ Carnival Corporation (CCL) [ST]	JT	02/16/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab JT TEN ⇒ Chewy, Inc. Class A (CHWY) [ST]	JT	04/5/2021	P	\$15,001 - \$50,000	
Charles Schwab JT TEN ⇒ Chewy, Inc. Class A (CHWY) [ST]	JT	04/5/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Charles Schwab JT TEN ⇒ Coinbase Global, Inc. - Class A (COIN) [ST]	JT	07/6/2021	P	\$1,001 - \$15,000	
Charles Schwab JT TEN ⇒ Eaton Corporation, PLC Ordinary Shares (ETN) [ST]	JT	02/16/2021	P	\$1,001 - \$15,000	
Charles Schwab JT TEN ⇒ Eaton Corporation, PLC Ordinary Shares (ETN) [ST]	JT	02/26/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab JT TEN ⇒ Lemonade, Inc. (LMND) [ST]	JT	02/16/2021	P	\$15,001 - \$50,000	
Charles Schwab JT TEN ⇒ Lemonade, Inc. (LMND) [ST]	JT	03/1/2021	P	\$1,001 - \$15,000	
Charles Schwab JT TEN ⇒ Lemonade, Inc. (LMND) [ST]	JT	03/12/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab JT TEN ⇒ Lemonade, Inc. (LMND) [ST]	JT	04/1/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab JT TEN ⇒ Moderna, Inc. (MRNA) [ST]	JT	01/8/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab JT TEN ⇒ Netflix, Inc. (NFLX) [ST]	JT	04/5/2021	P	\$50,001 - \$100,000	
Charles Schwab JT TEN ⇒ Netflix, Inc. (NFLX) [ST]	JT	04/5/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
Charles Schwab JT TEN ⇒ Pfizer, Inc. (PFE) [ST]	JT	02/19/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab JT TEN ⇒ Ryder System, Inc. (R) [ST]	JT	02/19/2021	P	\$1,001 - \$15,000	
Charles Schwab JT TEN ⇒ Ryder System, Inc. (R) [ST]	JT	03/19/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab JT TEN ⇒ Salesforce.com Inc (CRM) [ST]	JT	01/22/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Charles Schwab JT TEN ⇒	JT	06/18/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Salesforce.com Inc (CRM) [ST]					
Charles Schwab JT TEN ⇒ Spotify Technology S.A. Ordinary Shares (SPOT) [ST]	JT	04/9/2021	P	\$15,001 - \$50,000	
Charles Schwab JT TEN ⇒ Spotify Technology S.A. Ordinary Shares (SPOT) [ST]	JT	04/13/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Charles Schwab JT TEN ⇒ Spotify Technology S.A. Ordinary Shares (SPOT) [OP]	JT	04/13/2021	P	\$1,001 - \$15,000	
DESCRIPTION: Call					
Charles Schwab JT TEN ⇒ Trupanion, Inc. (TRUP) [ST]	JT	02/16/2021	P	\$1,001 - \$15,000	
Charles Schwab JT TEN ⇒ Trupanion, Inc. (TRUP) [ST]	JT	03/22/2021	P	\$1,001 - \$15,000	
Charles Schwab JT TEN ⇒ Trupanion, Inc. (TRUP) [ST]	JT	05/21/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab JT TEN ⇒ Trupanion, Inc. (TRUP) [ST]	JT	07/16/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab JT TEN ⇒ Walmart Inc. (WMT) [ST]	JT	03/22/2021	P	\$1,001 - \$15,000	
Charles Schwab JT TEN ⇒ Walmart Inc. (WMT) [ST]	JT	03/23/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab JT TEN ⇒ Walt Disney Company (DIS) [ST]	JT	02/19/2021	P	\$15,001 - \$50,000	
Charles Schwab JT TEN ⇒ Walt Disney Company (DIS) [ST]	JT	02/26/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Charles Schwab JT TEN ⇒ YETI Holdings, Inc. (YETI) [ST]	JT	01/22/2021	P	\$1,001 - \$15,000	
Charles Schwab JT TEN ⇒ YETI Holdings, Inc. (YETI) [ST]	JT	02/5/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Individual 401(K) ⇒	SP	01/22/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]					
Individual 401(K) ⇒ Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]	SP	01/22/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Individual 401(K) ⇒ Carnival Corporation (CCL) [ST]	SP	02/5/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Individual 401(K) ⇒ Electronic Arts Inc. (EA) [ST]	SP	03/22/2021	P	\$1,001 - \$15,000	
Individual 401(K) ⇒ Electronic Arts Inc. (EA) [ST]	SP	03/26/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Individual 401(K) ⇒ FedEx Corporation (FDX) [ST]	SP	03/29/2021	P	\$15,001 - \$50,000	
Individual 401(K) ⇒ FedEx Corporation (FDX) [ST]	SP	04/1/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Individual 401(K) ⇒ United Parcel Service, Inc. (UPS) [ST]	SP	03/15/2021	P	\$15,001 - \$50,000	
Individual 401(K) ⇒ United Parcel Service, Inc. (UPS) [ST]	SP	03/26/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Rivian Automotive, Inc. - Class A (RIVN) [ST]	SP	11/10/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Activision Blizzard, Inc (ATVI) [ST]	SP	08/6/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	10/29/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Apple Inc. (AAPL) [ST]	SP	01/11/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Apple Inc. (AAPL) [ST]	SP	01/22/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒	SP	01/29/2021	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Apple Inc. (AAPL) [ST]					
Rollover IRA ⇒ Apple Inc. (AAPL) [ST]	SP	02/4/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Apple Inc. (AAPL) [ST]	SP	02/5/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Apple Inc. (AAPL) [ST]	SP	02/8/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Apple Inc. (AAPL) [ST]	SP	07/9/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Rollover IRA ⇒ Apple Inc. (AAPL) [ST]	SP	08/13/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Apple Inc. (AAPL) [ST]	SP	10/29/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Apple Inc. (AAPL) [ST]	SP	11/26/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Apple Inc. (AAPL) [ST]	SP	12/31/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Best Buy Co., Inc. (BBY) [ST]	SP	01/8/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Rollover IRA ⇒ Best Buy Co., Inc. (BBY) [ST]	SP	12/29/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Boeing Company (BA) [ST]	SP	01/25/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Boeing Company (BA) [ST]	SP	01/29/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Rollover IRA ⇒ BowX Acquisition Corp. - Class A (BOWX) [ST]	SP	04/16/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ BowX Acquisition Corp. - Class A (BOWX) [ST]	SP	05/20/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Rollover IRA ⇒ Carnival Corporation (CCL) [ST]	SP	02/26/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Carnival Corporation (CCL) [ST]	SP	06/14/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Carnival Corporation (CCL) [ST]	SP	07/2/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Chewy, Inc. Class A (CHWY) [ST]	SP	01/4/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Chewy, Inc. Class A (CHWY) [ST]	SP	01/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Chewy, Inc. Class A (CHWY) [ST]	SP	01/21/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Chewy, Inc. Class A (CHWY) [ST]	SP	01/29/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Chewy, Inc. Class A (CHWY) [ST]	SP	02/1/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Chewy, Inc. Class A (CHWY) [ST]	SP	02/3/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Chewy, Inc. Class A (CHWY) [ST]	SP	02/5/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Chewy, Inc. Class A (CHWY) [ST]	SP	02/5/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Chewy, Inc. Class A (CHWY) [ST]	SP	02/8/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Chewy, Inc. Class A (CHWY) [ST]	SP	02/12/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Chewy, Inc. Class A (CHWY) [ST]	SP	02/16/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Rollover IRA ⇒ Chewy, Inc. Class A (CHWY) [ST]	SP	03/1/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Chewy, Inc. Class A (CHWY) [ST]	SP	07/9/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Chewy, Inc. Class A (CHWY) [ST]	SP	07/2/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Chewy, Inc. Class A (CHWY) [ST]	SP	08/13/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ CNA Financial Corporation (CNA) [ST]	SP	08/2/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ CNA Financial Corporation (CNA) [ST]	SP	08/2/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ CNA Financial Corporation (CNA) [ST]	SP	08/2/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Coca-Cola Company (KO) [ST]	SP	04/19/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Coinbase Global, Inc. - Class A (COIN) [ST]	SP	04/16/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Coinbase Global, Inc. - Class A (COIN) [ST]	SP	04/16/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Coinbase Global, Inc. - Class A (COIN) [ST]	SP	07/23/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Coinbase Global, Inc. - Class A (COIN) [ST]	SP	07/2/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Coinbase Global, Inc. - Class A (COIN) [ST]	SP	07/30/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Coinbase Global, Inc. - Class A (COIN) [ST]	SP	07/30/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Rollover IRA ⇒	SP	08/13/2021	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Coinbase Global, Inc. - Class A (COIN) [ST]					
Rollover IRA ⇒ Coinbase Global, Inc. - Class A (COIN) [OP] DESCRIPTION: Call	SP	08/13/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Coinbase Global, Inc. - Class A (COIN) [ST]	SP	09/14/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Coinbase Global, Inc. - Class A (COIN) [OP] DESCRIPTION: Call	SP	10/28/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Coinbase Global, Inc. - Class A (COIN) [ST]	SP	11/5/2021	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Coinbase Global, Inc. - Class A (COIN) [ST]	SP	11/9/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Coinbase Global, Inc. - Class A (COIN) [ST]	SP	11/18/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Coinbase Global, Inc. - Class A (COIN) [OP] DESCRIPTION: Call	SP	11/9/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Coinbase Global, Inc. - Class A (COIN) [OP] DESCRIPTION: call	SP	12/7/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Costco Wholesale Corporation (COST) [ST]	SP	07/13/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Costco Wholesale Corporation (COST) [ST]	SP	07/30/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ DocuSign, Inc. (DOCU) [ST]	SP	01/11/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ DocuSign, Inc. (DOCU) [ST]	SP	01/14/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒	SP	01/14/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DocuSign, Inc. (DOCU) [OP]					
DESCRIPTION: call					
Rollover IRA ⇒ Duke Energy Corporation (DUK) [ST]		01/21/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Duke Energy Corporation (DUK) [ST]	SP	01/11/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Duke Energy Corporation (DUK) [ST]	SP	01/15/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Duke Energy Corporation (DUK) [ST]		03/29/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Electronic Arts Inc. (EA) [ST]	SP	02/4/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Electronic Arts Inc. (EA) [ST]	SP	02/11/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Exxon Mobil Corporation (XOM) [ST]	SP	01/8/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Exxon Mobil Corporation (XOM) [ST]	SP	01/21/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Exxon Mobil Corporation (XOM) [ST]	SP	01/22/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Exxon Mobil Corporation (XOM) [ST]	SP	02/5/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Facebook, Inc. - Class A (FB) [ST]	SP	08/2/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Facebook, Inc. - Class A (FB) [ST]	SP	08/13/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ FedEx Corporation (FDX) [ST]	SP	01/15/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Rollover IRA ⇒	SP	01/4/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
FedEx Corporation (FDX) [OP]					
DESCRIPTION: call					
Rollover IRA ⇒ FedEx Corporation (FDX) [ST]	SP	02/12/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
Rollover IRA ⇒ FedEx Corporation (FDX) [ST]	SP	02/16/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ FedEx Corporation (FDX) [ST]		03/15/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Rollover IRA ⇒ FedEx Corporation (FDX) [ST]	SP	03/12/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ FedEx Corporation (FDX) [ST]	SP	03/15/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ FedEx Corporation (FDX) [ST]	SP	03/19/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ FedEx Corporation (FDX) [ST]	SP	03/22/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ FedEx Corporation (FDX) [ST]	SP	03/26/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ FedEx Corporation (FDX) [ST]	SP	04/5/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ FedEx Corporation (FDX) [ST]	SP	04/9/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Ford Motor Company (F) [ST]	SP	07/19/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Ford Motor Company (F) [ST]	SP	10/14/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ General Motors Company (GM) [ST]	SP	01/15/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒	SP	01/22/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
General Motors Company (GM) [ST]					
Rollover IRA ⇒ GoPro, Inc. - Class A (GPRO) [ST]	SP	04/9/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ GoPro, Inc. - Class A (GPRO) [ST]	SP	04/16/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Hippo Holdings Inc. (HIPO) [ST]	SP	11/19/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ International Business Machines Corporation (IBM) [ST]		02/22/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ International Business Machines Corporation (IBM) [ST]	SP	01/21/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ International Business Machines Corporation (IBM) [ST]	SP	02/4/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ International Business Machines Corporation (IBM) [ST]	SP	02/5/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ International Business Machines Corporation (IBM) [ST]		03/29/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ International Business Machines Corporation (IBM) [ST]	SP	03/5/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Johnson & Johnson (JNJ) [ST]	SP	04/13/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Johnson & Johnson (JNJ) [ST]	SP	04/23/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Kroger Company (KR) [ST]	SP	02/1/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Kroger Company (KR) [ST]	SP	03/5/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Lemonade, Inc. (LMND) [ST]	SP	03/15/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Rollover IRA ⇒ Lemonade, Inc. (LMND) [ST]	SP	03/15/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Lemonade, Inc. (LMND) [ST]	SP	07/15/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ McDonald's Corporation (MCD) [ST]	SP	01/8/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ McDonald's Corporation (MCD) [ST]	SP	01/15/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ McDonald's Corporation (MCD) [ST]	SP	01/22/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ McDonald's Corporation (MCD) [ST]	SP	01/25/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ McDonald's Corporation (MCD) [ST]	SP	02/12/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ MetLife, Inc. (MET) [ST]	SP	01/11/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ MetLife, Inc. (MET) [ST]	SP	01/15/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ MetLife, Inc. (MET) [ST]	SP	02/5/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ MetLife, Inc. (MET) [ST]	SP	02/19/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ MetLife, Inc. (MET) [ST]	SP	04/5/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ MetLife, Inc. (MET) [ST]	SP	04/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ MetLife, Inc. (MET) [ST]	SP	09/7/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Rollover IRA ⇒ MetLife, Inc. (MET) [ST]	SP	09/7/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ MetLife, Inc. (MET) [ST]	SP	09/17/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Microsoft Corporation (MSFT) [ST]	SP	01/14/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Microsoft Corporation (MSFT) [ST]	SP	01/22/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Microsoft Corporation (MSFT) [ST]		04/30/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Microsoft Corporation (MSFT) [ST]	SP	08/6/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Moderna, Inc. (MRNA) [ST]	SP	01/8/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Rollover IRA ⇒ Moderna, Inc. (MRNA) [ST]	SP	01/14/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Moderna, Inc. (MRNA) [ST]	SP	01/22/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Moderna, Inc. (MRNA) [ST]	SP	01/22/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Moderna, Inc. (MRNA) [OP] DESCRIPTION: call	SP	01/14/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Moderna, Inc. (MRNA) [ST]	SP	01/29/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Moderna, Inc. (MRNA) [ST]	SP	01/29/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Netflix, Inc. (NFLX) [ST]	SP	01/22/2021	S	\$100,001 - \$250,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Rollover IRA ⇒ Netflix, Inc. (NFLX) [ST]	SP	01/25/2021	P	\$50,001 - \$100,000	
Rollover IRA ⇒ Netflix, Inc. (NFLX) [OP] DESCRIPTION: call	SP	01/14/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Netflix, Inc. (NFLX) [ST] DESCRIPTION: call	SP	01/14/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Netflix, Inc. (NFLX) [OP] DESCRIPTION: call	SP	01/19/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Netflix, Inc. (NFLX) [OP] DESCRIPTION: call	SP	01/19/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Netflix, Inc. (NFLX) [OP] DESCRIPTION: Put	SP	01/19/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Netflix, Inc. (NFLX) [OP] DESCRIPTION: Put	SP	01/19/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Netflix, Inc. (NFLX) [ST]	SP	04/1/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
Rollover IRA ⇒ Netflix, Inc. (NFLX) [ST]	SP	04/5/2021	P	\$50,001 - \$100,000	
Rollover IRA ⇒ Netflix, Inc. (NFLX) [ST]	SP	04/9/2021	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Netflix, Inc. (NFLX) [ST]	SP	04/12/2021	P	\$50,001 - \$100,000	
Rollover IRA ⇒ Netflix, Inc. (NFLX) [OP] DESCRIPTION: Call	SP	04/16/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒	SP	07/19/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Netflix, Inc. (NFLX) [OP]					
DESCRIPTION: call					
Rollover IRA ⇒ Netflix, Inc. (NFLX) [ST]	SP	08/27/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
Rollover IRA ⇒ Nike, Inc. (NKE) [ST]	SP	02/4/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Nike, Inc. (NKE) [ST]	SP	02/12/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Nike, Inc. (NKE) [ST]	SP	04/16/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Nike, Inc. (NKE) [ST]	SP	07/9/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ NovoCure Limited - Ordinary Shares (NVCR) [ST]	SP	07/2/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Peloton Interactive, Inc. (PTON) [ST]	SP	02/8/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Peloton Interactive, Inc. (PTON) [ST]	SP	02/12/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Peloton Interactive, Inc. (PTON) [ST]	SP	02/19/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Peloton Interactive, Inc. (PTON) [ST]	SP	02/19/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Peloton Interactive, Inc. (PTON) [ST]	SP	04/1/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Rollover IRA ⇒ Peloton Interactive, Inc. (PTON) [ST]	SP	04/19/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Peloton Interactive, Inc. (PTON) [ST]	SP	06/18/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒	SP	09/8/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Peloton Interactive, Inc. (PTON) [ST]					
Rollover IRA ⇒ Peloton Interactive, Inc. (PTON) [ST]	SP	09/10/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Pfizer, Inc. (PFE) [ST]	SP	02/1/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Pfizer, Inc. (PFE) [ST]	SP	03/11/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Pfizer, Inc. (PFE) [ST]	SP	03/12/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Ryder System, Inc. (R) [ST]	SP	09/7/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Salesforce.com Inc (CRM) [ST]	SP	07/2/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Salesforce.com Inc (CRM) [ST]	SP	08/20/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Salesforce.com Inc (CRM) [ST]	SP	11/24/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Snap Inc. Class A (SNAP) [ST]	SP	02/8/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Snap Inc. Class A (SNAP) [ST]	SP	02/19/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	04/26/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	07/28/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	07/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	08/13/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Rollover IRA ⇒ Stock: Grayscale Bitcoin TR BTC: GBTC [OT] LOCATION: US DESCRIPTION: Grayscale Bitcoin TR BTC: GBTC (not available in Lookup Asset menu)	SP	11/9/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ TEGNA Inc (TGNA) [ST]	SP	04/12/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ TEGNA Inc (TGNA) [ST]	SP	04/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Tesla, Inc. (TSLA) [ST]	SP	02/11/2021	P	\$50,001 - \$100,000	
Rollover IRA ⇒ Tesla, Inc. (TSLA) [OP] DESCRIPTION: call	SP	02/11/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Tesla, Inc. (TSLA) [OP] DESCRIPTION: call	SP	02/17/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Tesla, Inc. (TSLA) [ST] DESCRIPTION: call	SP	02/19/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Tesla, Inc. (TSLA) [OP] DESCRIPTION: call	SP	02/19/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Tesla, Inc. (TSLA) [ST]	SP	03/29/2021	P	\$50,001 - \$100,000	
Rollover IRA ⇒ Tesla, Inc. (TSLA) [OP] DESCRIPTION: call	SP	03/1/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Tesla, Inc. (TSLA) [OP] DESCRIPTION: call	SP	03/22/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Tesla, Inc. (TSLA) [OP]	SP	03/29/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: call					
Rollover IRA ⇒ Tesla, Inc. (TSLA) [ST]	SP	04/1/2021	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Tesla, Inc. (TSLA) [ST]	SP	04/1/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
Rollover IRA ⇒ Tesla, Inc. (TSLA) [ST]	SP	04/19/2021	P	\$50,001 - \$100,000	
Rollover IRA ⇒ Tesla, Inc. (TSLA) [ST]	SP	04/22/2021	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Tesla, Inc. (TSLA) [OP]	SP	04/19/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Call					
Rollover IRA ⇒ Tesla, Inc. (TSLA) [OP]	SP	04/22/2021	P	\$1,001 - \$15,000	
DESCRIPTION: Call					
Rollover IRA ⇒ Tesla, Inc. (TSLA) [ST]	SP	04/30/2021	P	\$50,001 - \$100,000	
Rollover IRA ⇒ Tesla, Inc. (TSLA) [OP]	SP	04/30/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Call					
Rollover IRA ⇒ Tesla, Inc. (TSLA) [OP]	SP	06/2/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Call					
Rollover IRA ⇒ Tesla, Inc. (TSLA) [OP]	SP	06/18/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Call					
Rollover IRA ⇒ Tesla, Inc. (TSLA) [OP]	SP	07/19/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: call					
Rollover IRA ⇒ Tesla, Inc. (TSLA) [OP]	SP	07/6/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: call					
Rollover IRA ⇒ Tesla, Inc. (TSLA) [ST]	SP	07/6/2021	P	\$50,001 - \$100,000	
Rollover IRA ⇒ Tesla, Inc. (TSLA) [ST]	SP	07/2/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
Rollover IRA ⇒ Tesla, Inc. (TSLA) [ST]	SP	08/2/2021	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Tesla, Inc. (TSLA) [OP]	SP	08/2/2021	P	\$1,001 - \$15,000	
DESCRIPTION: Call					
Rollover IRA ⇒ The Travelers Companies, Inc. (TRV) [ST]	SP	02/5/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ The Travelers Companies, Inc. (TRV) [ST]	SP	02/19/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ The Travelers Companies, Inc. (TRV) [ST]	SP	08/2/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Twitter, Inc. (TWTR) [ST]	SP	01/11/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Twitter, Inc. (TWTR) [ST]	SP	01/21/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Twitter, Inc. (TWTR) [ST]	SP	01/29/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Twitter, Inc. (TWTR) [ST]	SP	01/29/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Under Armour, Inc. Class C (UA) [ST]	SP	04/5/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Under Armour, Inc. Class C (UA) [ST]	SP	04/30/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒	SP	01/21/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
United Airlines Holdings, Inc. (UAL) [ST]					
Rollover IRA ⇒ United Airlines Holdings, Inc. (UAL) [ST]	SP	02/12/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ United Airlines Holdings, Inc. (UAL) [ST]	SP	02/19/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ United Parcel Service, Inc. (UPS) [ST]	SP	03/5/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Rollover IRA ⇒ United Parcel Service, Inc. (UPS) [ST]	SP	03/11/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ United Parcel Service, Inc. (UPS) [ST]	SP	03/26/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Rollover IRA ⇒ Vroom, Inc. (VRM) [ST]		02/1/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Vroom, Inc. (VRM) [ST]		02/9/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Vroom, Inc. (VRM) [ST]	SP	02/1/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Vroom, Inc. (VRM) [ST]	SP	02/4/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Vroom, Inc. (VRM) [ST]	SP	02/9/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Vroom, Inc. (VRM) [ST]	SP	02/19/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Vroom, Inc. (VRM) [ST]	SP	03/1/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Walmart Inc. (WMT) [ST]	SP	01/14/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Walmart Inc. (WMT) [ST]	SP	08/20/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Rollover IRA ⇒ Walt Disney Company (DIS) [ST]	SP	11/11/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Wayfair Inc. Class A (W) [ST]		01/15/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Wayfair Inc. Class A (W) [ST]	SP	01/8/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Rollover IRA ⇒ Wayfair Inc. Class A (W) [ST]	SP	01/25/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Wayfair Inc. Class A (W) [OP] DESCRIPTION: Call	SP	01/25/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Wayfair Inc. Class A (W) [OP] DESCRIPTION: Call	SP	01/26/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Wayfair Inc. Class A (W) [ST]	SP	03/19/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Wayfair Inc. Class A (W) [ST]	SP	04/16/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Wayfair Inc. Class A (W) [OP] DESCRIPTION: Call	SP	04/23/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Wayfair Inc. Class A (W) [ST]	SP	06/25/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Wayfair Inc. Class A (W) [ST]	SP	06/29/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Wayfair Inc. Class A (W) [ST]	SP	08/20/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Rollover IRA ⇒ Yatra Online, Inc. - Ordinary Shares (YTRA) [ST]		04/23/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Rollover IRA ⇒ Zoom Technologies, Inc. (ZOOM) [OP] DESCRIPTION: call	SP	01/4/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Zoom Technologies, Inc. (ZOOM) [OP] DESCRIPTION: call	SP	01/15/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Zoom Technologies, Inc. (ZOOM) [OP] DESCRIPTION: call	SP	01/15/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Zoom Video Communications, Inc. - Class A (ZM) [ST]	SP	01/4/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Rollover IRA ⇒ Zoom Video Communications, Inc. - Class A (ZM) [ST]	SP	02/11/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Zoom Video Communications, Inc. - Class A (ZM) [ST]	SP	02/11/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Zoom Video Communications, Inc. - Class A (ZM) [OP] DESCRIPTION: call	SP	02/8/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Zoom Video Communications, Inc. - Class A (ZM) [OP] DESCRIPTION: call	SP	02/11/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Zoom Video Communications, Inc. - Class A (ZM) [OP] DESCRIPTION: call	SP	03/1/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Zoom Video Communications, Inc. - Class A (ZM) [OP] DESCRIPTION: call	SP	03/1/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rollover IRA ⇒ Zoom Video Communications, Inc. - Class A (ZM) [OP] DESCRIPTION: Call	SP	04/22/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Zoom Video Communications, Inc. - Class A (ZM) [ST]	SP	06/18/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Rollover IRA ⇒ Zoom Video Communications, Inc. - Class A (ZM) [OP] DESCRIPTION: Call	SP	06/2/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Zoom Video Communications, Inc. - Class A (ZM) [ST]	SP	09/7/2021	P	\$15,001 - \$50,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Figo Pet Insurance LLC	Spouse Salary	\$532,651.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Quicken Loans	2010	Home Mortgage, La Grange, IL	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

◦ Charles Schwab JT TEN (Owner: JT)

LOCATION: US

DESCRIPTION: JAMES D NEWMAN & MARIE K NEWMAN JT TEN

- Fundrise (Owner: SP)

LOCATION: US

- Individual 401(K) (Owner: SP)

DESCRIPTION: James D Newman Charles Schwab & Co Inc Cust James D Newman & Assoc 401(K)

- Rollover IRA

DESCRIPTION: Marie K Newman Charles Schwab & Co Inc Cust IRA Rollover

- Rollover IRA (Owner: SP)

DESCRIPTION: James D Newman Charles Schwab & Co Inc Cust IRA Rollover

- Securian 401K (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Marie Newman , 08/4/2022