



Filing ID #10050648

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Brian Mast
Status: Member
State/District: FL18

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2020
Filing Date: 01/11/2023

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
4M Properties of South Florida, LLC ⇒ 9.78 Acre Property in Fort Pierce, FL [RP]		\$500,001 - \$1,000,000	None		<input checked="" type="checkbox"/>
LOCATION: Fort Pierce, FL, US					
Brian J Mast IRA ⇒ Clearbridge Aggressive GWTH A (SHRAX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Brian J Mast IRA ⇒ Davis Opportunity A (RPEAX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Brian J Mast IRA ⇒ Federated Hermes Strategic Value Div. A (SVAAX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Brian J Mast Roth IRA ⇒ JP Morgan Income Builder A [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Blackrock Equity Dividend I (MADVX) [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Blackrock Low Duration BD INST [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Blackrock MidCap GRW EQ Inst (CMGIX) [MF]					
COMMENTS: Purchase transactions in 2020 all under \$1,000.					
Morgan Stanley Magnum Mast UTMA/FL ⇒ Blackstone Alt Multi-Strat Inst [MF]		None		Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Bunny Mellon Intl SK I (DISRX) [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Causeway Emerging Mkts Inst [MF]		None		Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Davis Opportunity Y [MF]		None		Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Delaware Value INSTL [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Edgewood Growth INSTL [MF]		None		Tax-Deferred	<input type="checkbox"/>
COMMENTS: Asset sold completely. No transactions over \$1,000.					
Morgan Stanley Magnum Mast UTMA/FL ⇒ Federated Hermes KAUFM SM CIS (FKAIX) [MF]		\$1,001 - \$15,000		Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Harding Loevner Intl Eqty Inst [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Hartford Dividend and Growth I [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Hartford Schroder EM Mkt EQ I [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ ISHARES S&P 500 GRWTH ETF [EF]		None		Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ John Hancock Seaport I [MF]		\$1,001 - \$15,000		Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ JP Morgan Value Advantage I [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒		None		Tax-Deferred	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Lazard Intl Strat EQ PTF Inst [MF]					
Morgan Stanley Magnum Mast UTMA/FL ⇒ Loomis Growth Y [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Metropolitan West TOT Ret BD I [MF]		None		Tax-Deferred	<input type="checkbox"/>
COMMENTS: Asset sold. Transaction under \$1,000.					
Morgan Stanley Magnum Mast UTMA/FL ⇒ Pear Tree Polaris FGNVL I [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Pimco Short Term 12 [MF]		None		Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Polen Growth Institutional (POLIX) [MF]		\$1,001 - \$15,000		Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Vanguard Growth ETF [EF]		None		Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Virtus Kar Sm Cap Value I [MF]		None		Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Western Asset Core Plus BD I [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Maverick Mast UTMA/FL ⇒ Blackrock Equity Dividend I (MADVX) [MF]		\$1,001 - \$15,000		Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Maverick Mast UTMA/FL ⇒ Bunny Mellon Intl SK I (DISRX) [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Maverick Mast UTMA/FL ⇒ Causeway Emerging Mkts Inst [MF]		None		Tax-Deferred	<input type="checkbox"/>
COMMENTS: Asset sold. Transactions all under \$1,000.					
Morgan Stanley Maverick Mast UTMA/FL ⇒ Harding Loevner Intl Eqty Inst [MF]		None		Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Maverick Mast UTMA/FL ⇒ Hartford Dividend and Growth I [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Maverick Mast UTMA/FL ⇒		None		Tax-Deferred	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
JP Morgan Value Advantage I [MF]					
Morgan Stanley Maverick Mast UTMA/FL ⇒ Lazard Intl Strat EQ PTF Inst [MF]	None		Tax-Deferred		<input type="checkbox"/>
Morgan Stanley Maverick Mast UTMA/FL ⇒ Loomis Growth Y [MF]	None		Tax-Deferred		<input type="checkbox"/>
Morgan Stanley Maverick Mast UTMA/FL ⇒ Pear Tree Polaris FGNVLI [MF]	None		Tax-Deferred		<input type="checkbox"/>
Morgan Stanley Maverick Mast UTMA/FL ⇒ Polen Growth Institutional (POLIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley Maverick Mast UTMA/FL ⇒ Polen Growth Institutional (POLIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
MS Joint A/C ⇒ Antero Midstream Corporation (AM) [ST]	None		Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
MS Joint A/C ⇒ Beam Global (BEEM) [ST]	None		Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
MS Joint A/C ⇒ Big 5 Sporting Goods Corporation (BGFV) [ST]	None		Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
MS Joint A/C ⇒ Cash [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
MS Joint A/C ⇒ Davis Fundamental ETF Trust Davis Select U.S. Equity ETF (DUSA) [ST]	None		Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
MS Joint A/C ⇒ Ideal Power Inc. (IPWR) [ST]		\$15,001 - \$50,000	Capital Gains	None	<input checked="" type="checkbox"/>
MS Joint A/C ⇒ iShares Russell 2000 ETF (IWM) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MS Joint A/C ⇒ NIO Inc. American depository shares, each representing one Class A ordinary share (NIO) [ST]	None		Capital Gains	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
MS Joint A/C ⇒	None		Capital Gains	\$15,001 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Personalis, Inc. (PSNL) [ST]				\$50,000	<input type="checkbox"/>
MS Joint A/C ⇒ Rackspace Technology, Inc. (RXT) [ST]	None		Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
MS Joint A/C ⇒ SmileDirectClub, Inc. (SDC) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	None	<input checked="" type="checkbox"/>
MS Joint A/C ⇒ Tilray Brands, Inc. - Class 2 (TLRY) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	None	<input checked="" type="checkbox"/>
MS Joint A/C ⇒ Uber Technologies, Inc. (UBER) [ST]	None		Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
MS Joint A/C ⇒ Zuora, Inc. Class A (ZUO) [ST]	None		Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
USAA Checking and Savings Accounts [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
4M Properties of South Florida, LLC ⇒ 9.78 Acre Property in Fort Pierce, FL [RP]		08/12/2020	P	\$500,001 - \$1,000,000	
LOCATION: Fort Pierce, FL, US					
DESCRIPTION: Purchase of real property by 4M Properties of South Florida, LLC, a limited liability company in which filer owns an 80% interest.					
Antero Midstream Corporation (AM) [ST]		01/8/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Beam Global (BEEM) [ST]		09/15/2020	P	\$15,001 - \$50,000	
Beam Global (BEEM) [ST]		11/5/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Big 5 Sporting Goods Corporation (BGFV) [ST]		02/14/2020	P	\$1,001 - \$15,000	
Big 5 Sporting Goods Corporation (BGFV) [ST]		08/7/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Big 5 Sporting Goods Corporation (BGFV) [ST]		08/7/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Davis Select U.S. Equity ETF (DUSA) [ST]		07/13/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Ideal Power Inc. (IPWR) [ST]		12/24/2020	P	\$15,001 - \$50,000	
Morgan Stanley Magnum Mast UTMA/FL ⇒ Blackrock Equity Dividend I [MF]		05/27/2020	P	\$1,001 - \$15,000	
Morgan Stanley Magnum Mast UTMA/FL ⇒ Blackrock Equity Dividend I [MF]		05/21/2020	P	\$1,001 - \$15,000	
Morgan Stanley Magnum Mast UTMA/FL ⇒ Blackrock Low DUR BD INV INST [MF]		01/31/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Blackstone ALT Mult-Strat INST [MF]		05/21/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Bunny Mellon International Stock I [MF]		05/27/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Bunny Mellon International Stock I [MF]		05/20/2020	P	\$1,001 - \$15,000	
Morgan Stanley Magnum Mast UTMA/FL ⇒ Causeway Emerging Markets INST [MF]		05/20/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Delaware Value INSTL [MF]		05/20/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Harding Loevner INTL EQTY INST [MF]		05/20/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Hartford Dividend and Growth I [MF]		05/27/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Hartford Dividend and Growth I [MF]		05/20/2020	P	\$1,001 - \$15,000	
Morgan Stanley Magnum Mast UTMA/FL ⇒ Hartford Schroder EM MKT EQ I [MF]		05/27/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley Magnum Mast UTMA/FL ⇒ Hartford Schroder EM MKT EQ I [MF]		05/20/2020	P	\$1,001 - \$15,000	
Morgan Stanley Magnum Mast UTMA/FL ⇒ JHF Seaport Long/Short Fund I [MF]		01/31/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ JP Morgan Value Advantage I [MF]		05/21/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Lazard INTL STRAT EQ PTF INST [MF]		05/21/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Loomis Growth Y [MF]		05/21/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Neuberger Berman LG SH INST [MF]		01/31/2020	P	\$1,001 - \$15,000	
Morgan Stanley Magnum Mast UTMA/FL ⇒ Pear Tree Polaris FGN VL I [MF]		05/21/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ PIMCO Low Duration Inc I2 [MF]		01/31/2020	P	\$1,001 - \$15,000	
Morgan Stanley Magnum Mast UTMA/FL ⇒ PIMCO Short Term I2 [MF]		05/21/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Magnum Mast UTMA/FL ⇒ Polen Growth Institutional [MF]		05/27/2020	P	\$1,001 - \$15,000	
Morgan Stanley Magnum Mast UTMA/FL ⇒ Polen Growth Institutional [MF]		05/21/2020	P	\$1,001 - \$15,000	
Morgan Stanley Magnum Mast UTMA/FL ⇒ Western Asset Core Plus BD I [MF]		05/21/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Maverick Mast UTMA/FL ⇒ Blackrock Equity Dividend I [MF]		05/27/2020	P	\$1,001 - \$15,000	
Morgan Stanley Maverick Mast UTMA/FL ⇒ Blackrock Equity Dividend I [MF]		05/21/2020	P	\$1,001 - \$15,000	
Morgan Stanley Maverick Mast UTMA/FL ⇒		05/27/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Bunny Mellon International Stock I [MF]					
Morgan Stanley Maverick Mast UTMA/FL ⇒ Bunny Mellon International Stock I [MF]		05/21/2020	P	\$1,001 - \$15,000	
Morgan Stanley Maverick Mast UTMA/FL ⇒ Harding Loevner International Equity INST [MF]		05/20/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Maverick Mast UTMA/FL ⇒ Hartford Dividend and Growth I [MF]		05/27/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Maverick Mast UTMA/FL ⇒ Hartford Dividend and Growth I [MF]		05/20/2020	P	\$1,001 - \$15,000	
Morgan Stanley Maverick Mast UTMA/FL ⇒ JP Morgan Value Advantage I [MF]		05/21/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Maverick Mast UTMA/FL ⇒ Lazard INTL STRAT EQ PTF INST [MF]		05/21/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Maverick Mast UTMA/FL ⇒ Pear Tree Polaris FGN VL I [MF]		05/21/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Maverick Mast UTMA/FL ⇒ Polen Growth Institutional [MF]		05/27/2020	P	\$1,001 - \$15,000	
Morgan Stanley Maverick Mast UTMA/FL ⇒ Polen Growth Institutional [MF]		05/21/2020	P	\$1,001 - \$15,000	
NIO Inc. American depositary shares, each representing one Class A ordinary share (NIO) [ST]		07/2/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
NIO Inc. American depositary shares, each representing one Class A ordinary share (NIO) [ST]		07/6/2020	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Personalis, Inc. (PSNL) [ST]		02/14/2020	P	\$15,001 - \$50,000	
Personalis, Inc. (PSNL) [ST]		03/16/2020	P	\$1,001 - \$15,000	
Personalis, Inc. (PSNL) [ST]		04/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Personalis, Inc. (PSNL) [ST]		05/19/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Rackspace Technology, Inc. (RXT) [ST]		08/18/2020	P	\$15,001 - \$50,000	
Rackspace Technology, Inc. (RXT) [ST]		09/1/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SmileDirectClub, Inc. (SDC) [ST]		02/14/2020	P	\$1,001 - \$15,000	
SmileDirectClub, Inc. (SDC) [ST]		03/16/2020	P	\$1,001 - \$15,000	
Tilray, Inc. - Class 2 (TLRY) [ST]		11/6/2020	P	\$15,001 - \$50,000	
Uber Technologies, Inc. (UBER) [ST]		01/21/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Uber Technologies, Inc. (UBER) [ST]		02/7/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Zuora, Inc. Class A (ZUO) [ST]		09/3/2020	P	\$15,001 - \$50,000	
Zuora, Inc. Class A (ZUO) [ST]		12/11/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	PNC Bank	January 2019	Auto Loan	\$10,000 - \$15,000
JT	USAA	February 2020	Auto Loan	\$15,001 - \$50,000
JT	PennyMac	September 2016	Mortgage	\$250,001 - \$500,000
JT	Cross Country Mortgage	August 2020	Cashout refinance of Pennymac mortgage and Center State HELOC	\$500,001 - \$1,000,000
JT	Center State Bank	January 2019	Home Equity Line of Credit	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Manager	4M Properties of South Florida, LLC

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- 4M Properties of South Florida, LLC (100% Interest)
LOCATION: Palm City, FL, US
DESCRIPTION: Real estate investment LLC.
- Brian J Mast IRA
- Brian J Mast Roth IRA
- Morgan Stanley Magnum Mast UTMA/FL
LOCATION: US
DESCRIPTION: UTMA - Magnum Mast
- Morgan Stanley Maverick Mast UTMA/FL
LOCATION: US
DESCRIPTION: UTMA - Maverick Mast
- MS Joint A/C
LOCATION: US
DESCRIPTION: Morgan Stanley Joint Advisory Account

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Brian Mast , 01/11/2023