



Filing ID #10051769

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. John Curtis  
**Status:** Member  
**State/District:** UT03

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2022  
**Filing Date:** 08/11/2023

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Action Opportunity Fund [RP]		\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Provo, UT, US					
AmBank Checking Account [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Ameritrade ⇒ Invesco QQQ Trust (QQQ) [EF]					
Ameritrade ⇒ iShares Core S&P 500 ETF (IVV) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameritrade ⇒ ProShares UltraPro Dow30 (UDOW) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameritrade ⇒ SPDR Dow Jones Industrial Average ETF Trust (DIA) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameritrade ⇒ Zoom Video Communications, Inc. - Class A (ZM) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
ATI Founders, Inc. (shooting range equipment) - [OL]		\$500,001 - \$1,000,000	None		<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
LOCATION: Provo, UT, US DESCRIPTION: Shooting range equipment					
Bear Lake Rental (Garden City, UT) [RP]		\$100,001 - \$250,000	Rent	\$2,501 - \$5,000	<input type="checkbox"/>
LOCATION: Garden City, UT, US					
Curtis Family Georgetown Condo, LLC, (Provo, UT) [RP]		\$250,001 - \$500,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Provo, UT, US					
Curtis Family Trust ⇒ Parcel #16-044-0006 [RP]		\$250,001 - \$500,000	None		<input type="checkbox"/>
LOCATION: Weber County, UT, US					
Curtis Family Trust ⇒ Vacant Lot [RP]		\$1 - \$1,000	None		<input type="checkbox"/>
LOCATION: Brighton, UT, US					
Curtis Family Trust ⇒ Zions Bank Checking Account [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Curtis Family Trust ⇒ Zions Bank Money Market Account [BA]		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
Domo, Inc. - Class B (DOMO) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Influence Int'l Inc. - business relationship development services - Provo, UT [PS] DESCRIPTION: Business relationship development services		\$15,001 - \$50,000	None		<input type="checkbox"/>
John Reem Curtis, SPE, LLC (North Ogden, UT) [RP]		\$250,001 - \$500,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: North Ogden, UT, US					
Monticello Development Company, LLC - unimproved land [RP]		\$50,001 - \$100,000	None		<input type="checkbox"/>
LOCATION: Monticello, UT, US					
North Ogden Residential Property [RP]		\$1,000,001 - \$5,000,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
LOCATION: North Ogden, UT, US					
Northwestern Mutual ⇒		\$50,001 -	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AGG - iShares Core US Aggregate Bond [HE]		\$100,000			<input type="checkbox"/>
Northwestern Mutual ⇒ Cohen & Steers Real Estate Securities Fund Class I [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual ⇒ DODIX - DODGE & COX INCOME FUND [MF]		\$50,001 - \$100,000	None		<input type="checkbox"/>
Northwestern Mutual ⇒ FVADX - FRANKLIN SMALL-CAP VALUE FUND ADVISOR CLAS [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual ⇒ IAU - iShares Gold Trust [EF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual ⇒ IEF - iShares TR 7-10 Yr Treas Bd [EF]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Northwestern Mutual ⇒ IEFA - iShares Core MSCI EAFE [MF]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual ⇒ IEMG - iShares Core MSCI Emerging Markets [MF]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Northwestern Mutual ⇒ IJR - iShares Core S&P Small-Cap [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual ⇒ iShares Inc. MSCI Eurozone ETF [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual ⇒ IVV - iShares Core S&P 500 [MF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual ⇒ MDY - SPDR S&P MIDCAP 400 [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual ⇒ PCLPX - PIMCO COMMODITIES PLUS STRATEGY FUND CLASS I-2 [MF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual ⇒ PIFZX - PGIM Short-Term Corporate Bond Fund Class Z [HE]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual ⇒		\$50,001 -	Capital Gains,	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
VBTIX - VANGUARD TOTAL BD MKT IDX FUND [MF]		\$100,000	Dividends		<input type="checkbox"/>
Northwestern Mutual ⇒ VNQ - Vanguard Real Estate Index Fund [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Northwestern Mutual ⇒ VO - Vanguard Mid-Cap Index Fund [MF]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Abbott Laboratories (ABT) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Adobe Inc. (ADBE) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ Advanced Micro Devices, Inc. (AMD) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	JT	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Amazon.com, Inc. (AMZN) [ST]	JT	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ American Express Company (AXP) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Apple Inc. (AAPL) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Applied Materials, Inc. (AMAT) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Bank of America Corporation (BAC) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ BlackRock, Inc. (BLK) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Boston Scientific Corporation (BSX) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ CALIFORNIA ST GO VAR PURP REF BDS 4.000% 03/01/37 B/E DTD [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
DESCRIPTION: California					
Northwestern Mutual - Joint ⇒ CALIFORNIA ST UNIV REV BDS 2018 A 5.000% 11/01/35 B/E [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: California					
Northwestern Mutual - Joint ⇒ Caterpillar, Inc. (CAT) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ Chevron Corporation (CVX) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Citigroup, Inc. (C) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ COLO-NESCO CMNTY SCHDIST IOWA GO BDS 2020 3.000% 06/01/31 [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Colo-Nesco Community School District, Iowa					
Northwestern Mutual - Joint ⇒ Colorado Springs Colo Utils Rev Util Sys Ref Rev Bds 2012B 5.000% 11/15/24 B/E [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Colorado Springs, CO					
Northwestern Mutual - Joint ⇒ COLORADO ST CTFS PARTN RURAL COPS 2020 A 4.000% 12/15/37 [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Colorado					
Northwestern Mutual - Joint ⇒ Comcast Corporation - Class A (CMCSA) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ ConocoPhillips (COP) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Constellation Brands, Inc. (STZ) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ Costco Wholesale Corporation (COST) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ CSX Corporation (CSX) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Northwestern Mutual - Joint ⇒ Danaher Corporation (DHR) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ Deere & Company (DE) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ EASTERN HOWARD IND THIRD MILLENNIUM SCH BLDG CORP AD VALOREM PPTY TAX FIRST MTG 4.000% 07/15/33 [CS]  DESCRIPTION: Howard County, IN	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Fiserv, Inc. (FISV) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ FVADX - FRANKLIN SMALL-CAP VALUE FUND ADVISOR CLAS [MF]  DESCRIPTION: Georgia	JT	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ General Municipal Mny Mkt Cl A [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ GEORGIA ST GO BDS 2021 A 4.000% 07/01/34 [CS]  DESCRIPTION: Georgia	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Goldman Sachs International Small Cap Insights Fund (GICIX) [MF]	JT	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ HENDERSON COUNTY NC LTD OBLIG BDS 2020 4.000% 06/01/34 B/E DTD [CS]  DESCRIPTION: Henderson County, NC	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ HENNEPIN CNTY MINN GO SALES TAX REV BDS 2019 B 5.000% 12/15/39 B/E [CS]  DESCRIPTION: Hennepin County, MN	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Hilton Worldwide Holdings Inc. (HLT) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Northwestern Mutual - Joint ⇒ Home Depot, Inc. (HD) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Honeywell International Inc. (HON) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ IAU - iShares Gold Trust [EF]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ IEFA - iShares Core MSCI EAFE [EF]	JT	\$250,001 - \$500,000	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ IEMG - iShares Core MSCI Emerging Markets [EF]	JT	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ IJR - iShares Core S&P Small-Cap [EF]	JT	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ INDIANA ST FIN AUTH WASTEWATER UTIL REV FIRST LIEN REF BDS CWA AUTHORITY INC 4.000% 10/01/36 [CS]  DESCRIPTION: Indiana	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Intercontinental Exchange Inc. (ICE) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ JACKSON MICH LTD TAX GO CAP IMPT BDS 2018 5.000% 06/01/31 B/E DTD [CS]  DESCRIPTION: Jackson, MI	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ JP Morgan Chase & Co. (JPM) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ KLA Corporation (KLAC) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ LAKE HALLIE WIS GO CORP PURP BDS 2021 A 2.000% 10/01/23 [CS]  DESCRIPTION: Lake Hallie, WI	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Lam Research Corporation (LRCX) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Northwestern Mutual - Joint ⇒ Mastercard Incorporated (MA) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ MDY - SPDR S&P MIDCAP 400 [EF]	JT	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Microsoft Corporation (MSFT) [ST]	JT	\$15,001 - \$50,000	Dividends	\$50,001 - \$100,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ MILLERSBURG PA AREA SCH DIST GO BDS 2020 5.000% 10/01/23 B/E DTD [CS]  DESCRIPTION: Millersburg, PA	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ MIPTX - Matthews Pacific Tiger Fund Investor Class [MF]	JT	\$15,001 - \$50,000	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ MISSISSIPPI ST GO BDS 2019 B 4.000% 10/01/36 [CS]  DESCRIPTION: Mississippi	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Nike, Inc. (NKE) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ NVIDIA Corporation (NVDA) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ OLATHE KANS GO IMPT REF BDS 234 3.000% 10/01/32 [CS]  DESCRIPTION: Olathe, KS	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ ONSLOW CNTY N C LTD OBLIG LTD OBLIG BDS 2016 4.000% 10/01/35 [CS]  DESCRIPTION: Onslow County, NC	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ OSAGE CNTY KANS GO REF AND IMPT BDS 2021 A 3.000% 09/01/30 [CS]  DESCRIPTION: Osage County, KS	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ PCLPX - PIMCO COMMODITIES PLUS STRATEGY FUND CLASS I-2 [MF]	JT	\$50,001 - \$100,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Northwestern Mutual - Joint ⇒ PEARLAND TEX WTRWKS & SWR SYS REV REV AND REF BDS 2017 C 5.000% 09/01/23 B/E DTD 08/01/17 N/C [CS]  DESCRIPTION: Pearland, TX	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ PENN IND HIGH SCH BLDG CORP AD VALOREM PPTY TAX FIRST MTG BDS 2021 4.000% 07/15/34 [CS]  DESCRIPTION: St. Joseph County, IN	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Pepsico, Inc. (PEP) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ PIFZX - PGIM Short-Term Corporate Bond Fund Class Z [MF]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ PRINCE GEORGES CNTY MD GO CONS PUB IMPT BDS 2014A 4.000% 09/01/32 B/E DTD 09/30/14 CLB CLB 09/01/24 [CS]  DESCRIPTION: Prince George's County, MD	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Procter & Gamble Company (PG) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Raytheon Technologies Corporation (RTX) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ ROMEOVILLE ILL GO BDS 2019 4.000% 12/30/38 [CS]  DESCRIPTION: Romeoville, IL	JT	\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ S&P Global Inc. (SPGI) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ Salesforce.com Inc (CRM) [ST]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ SANGAMON LOGAN & MENARD CNTYS ILL CMNTY [CS]  DESCRIPTION: Sangamon, Logan, & Menard County, IL	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ SARATOGA SPRINGS UTAH WTR REV REF BDS 2014 3.000% 12/01/24 B/E DTD [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Saratoga Springs, UT					
Northwestern Mutual - Joint ⇒ Sherwin-Williams Company (SHW) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ SKAGIT CNTY WASH CONS SCH DIST NO 320 [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Skagit County, WA					
Northwestern Mutual - Joint ⇒ SOUTH CAROLINA TRANSN INFRASTRUCTURE BK REV REF BDS 2021 A 4.000% 10/01/33 [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: South Carolina					
Northwestern Mutual - Joint ⇒ Starbucks Corporation (SBUX) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ SULLIVAN CNTY PA SCH DIST GO NTS 2020 3.000% 04/01/28 B/E DTD 07/07/20 [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Sullivan County, PA					
Northwestern Mutual - Joint ⇒ Sysco Corporation (SYY) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ Texas Instruments Incorporated (TXN) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ TEXAS WATER DEV BRD ST REVOLVING FD REV BDS 2020 4.000% 08/01/34 [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Texas					
Northwestern Mutual - Joint ⇒ Thermo Fisher Scientific Inc (TMO) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ TIP - iShares Trust TIPS Bond [EF]	JT	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ T-Mobile US, Inc. (TMUS) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ TOLEDO OHIO WTRWKS REV SYS BDS 2020 5.000% 11/15/33 [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Toledo, OH					
Northwestern Mutual - Joint ⇒ Ulta Beauty, Inc. (ULTA) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Union Pacific Corporation (UNP) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ UTAH COUNTY UTAH TRANSN SALES TAX REV REF BDS 2021 4.000% 12/01/38 [CS]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Utah County, UT					
Northwestern Mutual - Joint ⇒ Valero Energy Corporation (VLO) [ST]	JT	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ VAW - Vanguard Materials Index Fund [EF]	JT	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Visa Inc. (V) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ VNQ - Vanguard Real Estate Index Fund [HE]	JT	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Northwestern Mutual - Joint ⇒ VO - Vanguard Mid-Cap Index Fund [EF]	JT	\$100,001 - \$250,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ VPU - Vanguard Utilities Index Fund [EF]	JT	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Waste Management, Inc. (WM) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Zoetis Inc. Class A (ZTS) [ST]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Northwestern Mutual - SC ⇒ AGG - iShares Core US Aggregate Bond [HE]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual - SC ⇒	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Cohen & Steers Real Estate Securities Fund Class I [EF]					
Northwestern Mutual - SC ⇒ DODIX - DODGE & COX INCOME FUND [HE]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual - SC ⇒ FVADX - FRANKLIN SMALL-CAP VALUE FUND ADVISOR CLAS [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual - SC ⇒ IAU - iShares Gold Trust [EF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual - SC ⇒ IEFA - iShares Core MSCI EAFE [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual - SC ⇒ IEMG - iShares Core MSCI Emerging Markets [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual - SC ⇒ IJR - iShares Core S&P Small-Cap [HE]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual - SC ⇒ iShares Inc. MSCI Eurozone ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual - SC ⇒ IVV - iShares Core S&P 500 [HE]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual - SC ⇒ MDY - SPDR S&P MIDCAP 400 [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual - SC ⇒ PCLPX - PIMCO COMMODITIES PLUS STRATEGY FUND CLASS I-2 [HE]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual - SC ⇒ PIFZX - PGIM Short-Term Corporate Bond Fund Class Z [HE]	SP	\$1 - \$1,000	None		<input type="checkbox"/>
Northwestern Mutual - SC ⇒ TIP - iShares Trust TIPS Bond [EF]	SP	\$1 - \$1,000	None		<input type="checkbox"/>
Northwestern Mutual - SC ⇒ VBTXL - VANGUARD TOTAL BD MKT IDX FUND [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual - SC ⇒	SP	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
VNQ - Vanguard Real Estate Index Fund [MF]					
Northwestern Mutual - SC ⇒ VO - Vanguard Mid-Cap Index Fund [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Peak Ventures Fund II, L.P. [HE]		\$500,001 - \$1,000,000	None		<input type="checkbox"/>
Peg Opportunity Zone [OL]		\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>
LOCATION: Provo, UT, US DESCRIPTION: Real Estate Investment Firm					
QQ Corpus Christi [OL]		\$100,001 - \$250,000	Distributions	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: Corpus Christi, TX, US DESCRIPTION: Car wash					
QQRGV [OL]		\$50,001 - \$100,000	None		<input type="checkbox"/>
LOCATION: Rio Grande Valley, TX, US DESCRIPTION: Car wash					
QQUV Investments 2, LLC (Quick Quack Car Wash) [OL]		\$50,001 - \$100,000	Distributions	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Pleasant Grove & Orem, UT, US DESCRIPTION: Car wash					
QQUV Investments 3, LLC (Quick Quack Car Wash) [OL]		\$15,001 - \$50,000	Distributions	\$2,501 - \$5,000	<input type="checkbox"/>
LOCATION: Springville & Spanish Fork, UT, US DESCRIPTION: Car wash					
QQUV Investments 4, LLC (Quick Quack Car Wash) [OL]		\$50,001 - \$100,000	None		<input type="checkbox"/>
LOCATION: Utah County, UT, US DESCRIPTION: Car wash					
QQUV Investments, LLC (Quick Quack Car Wash) [OL]		\$15,001 - \$50,000	Distributions	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: Highland & American Fork, UT, US DESCRIPTION: Car wash					
Rise Broadband stock - fixed wireless Internet service provider - Denver, CO [PS]		None	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Internet service provider					
Sundance Debt Partners, LLC [OL]		\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: Salt Lake City, UT, US					
DESCRIPTION: Real estate firm					
TriState Capital Holdings, Inc. (TSC) [OT]		\$100,001 - \$250,000	None		<input type="checkbox"/>
DESCRIPTION: Stock Account Collateral					
Wells Fargo Interest Checking Account [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo Money Market Savings Account [BA]		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
Zions Bank Checking Account [BA]		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
Zions Bank Checking Plus Account [BA]		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
Zions Bank Money Market [BA]		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
BND - Vanguard Total Bond Market [EF]		04/6/2022	P	\$15,001 - \$50,000	
EZU - Ishares Inc MSCI Eurozone [EF]		04/25/2022	P	\$50,001 - \$100,000	
Northwestern Mutual ⇒ AGG - IShares TR Core US Aggregate [EF]		05/12/2022	P	\$15,001 - \$50,000	
Northwestern Mutual ⇒ AGG - IShares TR Core US Aggregate [EF]		10/29/2022	P	\$15,001 - \$50,000	
Northwestern Mutual ⇒ AGG - IShares TR Core US Aggregate [EF]		04/6/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Northwestern Mutual ⇒ AGG - iShares TR Core US Aggregate [EF]		11/25/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual ⇒ BND - Vanguard Total Bond Market [EF]		06/27/2022	P	\$15,001 - \$50,000	
Northwestern Mutual ⇒ BND - Vanguard Total Bond Market [EF]		11/25/2022	P	\$50,001 - \$100,000	
Northwestern Mutual ⇒ BND - Vanguard Total Bond Market [EF]		05/12/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual ⇒ BND - Vanguard Total Bond Market [EF]		08/4/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual ⇒ CSDIX - COHEN & STEERS REAL ESTATE SECURITIES FUND CLASS I [MF]		12/29/2022	P	\$15,001 - \$50,000	
Northwestern Mutual ⇒ CSDIX - COHEN & STEERS REAL ESTATE SECURITIES FUND CLASS I [MF]		11/23/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual ⇒ EZU - iShares Inc MSCI Eurozone [EF]		09/12/2022	P	\$50,001 - \$100,000	
Northwestern Mutual ⇒ EZU - iShares Inc MSCI Eurozone [EF]		07/8/2022	S	\$50,001 - \$100,000	<input type="checkbox"/>
Northwestern Mutual ⇒ FVADX - FRANKLIN SMALL-CAP VALUE FUND ADVISOR CLAS [MF]		09/23/2022	P	\$15,001 - \$50,000	
Northwestern Mutual ⇒ GICIX - Goldman Sachs Intl Small Cap Insights [MF]		12/29/2022	P	\$50,001 - \$100,000	
Northwestern Mutual ⇒ GICIX - Goldman Sachs Intl Small Cap Insights [MF]		03/24/2022	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Northwestern Mutual ⇒ GICIX - Goldman Sachs Intl Small Cap Insights [MF]		11/18/2022	S	\$50,001 - \$100,000	<input type="checkbox"/>
Northwestern Mutual ⇒		05/6/2022	P	\$50,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
GICIX - Goldman Sachs Intl Small CAp Insights [MF]				\$100,000	
Northwestern Mutual ⇒ IAU - iShares GOLD TR [EF]		10/19/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual ⇒ IEF - iShares TR 7-10 Yr Treas Bd [EF]		08/4/2022	P	\$15,001 - \$50,000	
Northwestern Mutual ⇒ IEF - iShares TR 7-10 Yr Treas Bd [EF]		06/27/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual ⇒ IEF - iShares TR 7-10 Yr Treas Bd [EF]		11/25/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual ⇒ IEFA - iShares TR Core MSCIEAFE [EF]		09/9/2022	P	\$1,001 - \$15,000	
Northwestern Mutual ⇒ IEFA - iShares TR Core MSCIEAFE [EF]		11/10/2022	P	\$15,001 - \$50,000	
Northwestern Mutual ⇒ IEFA - iShares TR Core MSCIEAFE [EF]		08/1/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual ⇒ IEMG - ISHARES INC CORE MSCI EMERGING MKTS [EF]		12/30/2022	P	\$1,001 - \$15,000	
Northwestern Mutual ⇒ IEMG - ISHARES INC CORE MSCI EMERGING MKTS [EF]		11/21/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual ⇒ IJR - iShares TR CORE S&P SMALL-CAP [EF]		09/26/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual ⇒ MDY - SPDR S&P MIDCAP 400 [EF]		11/15/2022	P	\$15,001 - \$50,000	
Northwestern Mutual ⇒ MIPTX - Matthews Pacific Tiger Fund [MF]		05/11/2022	P	\$1,001 - \$15,000	
Northwestern Mutual ⇒ MIPTX - Matthews Pacific Tiger Fund [MF]		12/29/2022	P	\$15,001 - \$50,000	
Northwestern Mutual ⇒ MIPTX - Matthews Pacific Tiger Fund [MF]		04/5/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Northwestern Mutual ⇒ MIPTX - Matthews Pacific Tiger Fund [MF]		10/18/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual ⇒ MIPTX - Matthews Pacific Tiger Fund [MF]		11/18/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual ⇒ PFPMX - PARNASSUS MID-CAP FUND INSTITUTIONAL CLASS 5 [MF]		11/14/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual ⇒ SCHE - Schwab Strategic TR Emerging Markets [EF]		11/21/2022	P	\$50,001 - \$100,000	
Northwestern Mutual ⇒ SCHE - Schwab Strategic TR Emerging Markets [EF]		05/12/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual ⇒ SCHE - Schwab Strategic TR Emerging Markets [EF]		12/30/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual ⇒ SCHF - Schwab Strategic TR Intl Equity [EF]		08/1/2022	P	\$1,001 - \$15,000	
Northwestern Mutual ⇒ SCHF - Schwab Strategic TR Intl Equity [EF]		09/9/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual ⇒ SCHH - SCHWAB STRATEGIC TR US REIT [EF]		11/25/2022	P	\$50,001 - \$100,000	
Northwestern Mutual ⇒ SCHH - SCHWAB STRATEGIC TR US REIT [EF]		12/30/2022	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Northwestern Mutual ⇒ TIP - iShares TR Tips Bd [EF]		02/9/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual ⇒ VEA - Vanguard Intl Fund [EF]		01/25/2022	S	\$50,001 - \$100,000	<input type="checkbox"/>
Northwestern Mutual ⇒ VGK - Vanguard Intl Equity Index Fund [EF]		07/8/2022	P	\$50,001 - \$100,000	
Northwestern Mutual ⇒ VGK - Vanguard Intl Equity Index Fund [MF]		04/25/2022	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Northwestern Mutual ⇒ VGK - Vanguard Intl Equity Index Fund [EF]		09/12/2022	S	\$50,001 - \$100,000	<input type="checkbox"/>
Northwestern Mutual ⇒ VNQ - VANGUARD INDEX FDS REAL ESTATE [EF]		12/30/2022	P	\$15,001 - \$50,000	
Northwestern Mutual ⇒ VNQ - VANGUARD INDEX FDS REAL ESTATE [EF]		11/25/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual ⇒ VO - VANGUARD INDEX FDS VANGUARD MID-CAP [EF]		10/19/2022	P	\$1,001 - \$15,000	
Northwestern Mutual ⇒ VSS - Vanguard Intl Equity Index Funds [MF]		11/21/2022	P	\$50,001 - \$100,000	
Northwestern Mutual ⇒ VSS - Vanguard Intl Equity Index Funds [MF]		05/9/2022	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Northwestern Mutual ⇒ VSS - Vanguard Intl Equity Index Funds [MF]		12/30/2022	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Abbott Laboratories (ABT) [ST]	JT	10/21/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Adobe Inc. (ADBE) [ST]	JT	10/21/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Advanced Micro Devices, Inc. (AMD) [ST]	JT	12/20/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ American Express Company (AXP) [ST]	JT	03/9/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Anthem, Inc. (ANTM) [ST]	JT	01/14/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Anthem, Inc. (ANTM) [ST]	JT	03/9/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ AutoZone, Inc. (AZO) [ST]	JT	06/28/2022	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Northwestern Mutual - Joint ⇒ AutoZone, Inc. (AZO) [ST]	JT	08/12/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Bank of America Corporation (BAC) [ST]	JT	12/20/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ BlackRock, Inc. (BLK) [ST]	JT	01/14/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Caterpillar, Inc. (CAT) [ST]	JT	01/14/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Chipotle Mexican Grill, Inc. (CMG) [ST]	JT	10/21/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Citigroup, Inc. (C) [ST]	JT	01/14/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ COLUMBUS OHIO GO BDS 2018 A 5.000% 04/01/23 [CS] DESCRIPTION: Columbus, OH	JT	10/28/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Comcast Corporation - Class A (CMCSA) [ST]	JT	03/9/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Constellation Brands, Inc. (STZ) [ST]	JT	06/28/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Danaher Corporation (DHR) [ST]	JT	03/9/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Deere & Company (DE) [ST]	JT	06/28/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Elevance Health, Inc. (ELV) [ST]	JT	12/20/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ FIRST REPUBLIC BANK (FRC) [ST]	JT	12/20/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Fiserv, Inc. (FISV) [ST]	JT	12/20/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Northwestern Mutual - Joint ⇒ Goldman Sachs Group, Inc. (GS) [ST]	JT	06/28/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Hilton Worldwide Holdings Inc. (HLT) [ST]	JT	03/9/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Intercontinental Exchange Inc. (ICE) [ST]	JT	03/9/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Intuitive Surgical, Inc. (ISRG) [ST]	JT	06/28/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Johnson & Johnson (JNJ) [ST]	JT	03/9/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Johnson & Johnson (JNJ) [ST]	JT	06/28/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ JP Morgan Chase & Co. (JPM) [ST]	JT	12/20/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ MECKLENBERG CNTY N C PUB IMPT BDS 2018 [CS] DESCRIPTION: Mecklenberg County, NC	JT	09/14/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Monster Beverage Corporation (MNST) [ST]	JT	03/9/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Morgan Stanley (MS) [ST]	JT	01/14/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Morgan Stanley (MS) [ST]	JT	03/9/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Morgan Stanley (MS) [ST]	JT	06/28/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Progressive Corporation (PGR) [ST]	JT	03/9/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ S&P Global Inc. (SPGI) [ST]	JT	03/9/2022	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Northwestern Mutual - Joint ⇒ Salesforce, Inc. (CRM) [ST]	JT	06/28/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Schwab International Equity ETF (SCHF) [ST]	JT	07/28/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Starbucks Corporation (SBUX) [ST]	JT	08/12/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Sysco Corporation (SYY) [ST]	JT	08/12/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Target Corporation (TGT) [ST]	JT	03/9/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ Target Corporation (TGT) [ST]	JT	06/28/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ T-Mobile US, Inc. (TMUS) [ST]	JT	03/9/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒ T-Mobile US, Inc. (TMUS) [ST]	JT	10/21/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Ulta Beauty, Inc. (ULTA) [ST]	JT	08/5/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Union Pacific Corporation (UNP) [ST]	JT	03/9/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	JT	12/20/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Valero Energy Corporation (VLO) [ST]	JT	06/28/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Waste Management, Inc. (WM) [ST]	JT	10/21/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual - Joint ⇒ Zoetis Inc. Class A (ZTS) [ST]	JT	06/28/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - Joint ⇒	JT	12/20/2022	P	\$1,001 - \$15,000	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Zoetis Inc. Class A (ZTS) [ST]					
Northwestern Mutual - SC ⇒ MDY - SPDR S&P MIDCAP 400 [EF]	SP	11/15/2022	P	\$1,001 - \$15,000	
Northwestern Mutual - SC ⇒ PFPMX - PARNASSUS MID-CAP FUND INSTITUTIONAL CLASS 5 [MF]	SP	11/14/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
SCHE - Schwab Strategic TR Emerging Markets [EF]		04/6/2022	P	\$15,001 - \$50,000	
VSS - Vanguard Intl Equity Index Funds [MF]		03/25/2022	P	\$50,001 - \$100,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

<b>Owner Creditor</b>	<b>Date Incurred</b>	<b>Type</b>	<b>Amount of Liability</b>
United Wholesale Mortgage	November 2017	Mortgage	\$250,001 - \$500,000
Bank of Utah	June 2018	Mortgage	\$1,000,001 - \$5,000,000
Zions First National Bank	June 2018	Home Equity Loan	\$250,001 - \$500,000

## SCHEDULE E: POSITIONS

<b>Position</b>	<b>Name of Organization</b>
Manager	Curtis Family Georgetown Condo, LLC
Manager	Curtis Family Brighton, LLC
Manager	John Ream Curtis 800 S. Center SPE, LLC
Manager	Action Opportunity Fund
Member	Monticello Development Company, Inc

<b>Position</b>	<b>Name of Organization</b>
Limited partner	Peak Ventures Fund II, L.P.
Limited partner	Monticello Development Company, LLC
Limited partner	QQUV Investments
Trustee	Curtis Family Trust
Board of Advisors	America's Freedom Festival

## **SCHEDULE F: AGREEMENTS**

None disclosed.

## **SCHEDULE G: GIFTS**

None disclosed.

## **SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

<b>Source</b>	<b>Trip Details</b>				<b>Inclusions</b>		
	<b>Start Date</b>	<b>End Date</b>	<b>Itinerary</b>	<b>Days at Own Exp.</b>	<b>Lodging?</b>	<b>Food?</b>	<b>Family?</b>
Conservative Climate Foundation	11/9/2022	11/14/2022	Salt Lake City - Sharm El Sheikh - Salt Lake City	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
The Aspen Institute, Inc.	08/29/2022	09/2/2022	Salt Lake City - Reykjavik - New York	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
US Association of Former Members of Congress (FMC)	05/28/2022	06/4/2022	Salt Lake City - Tokyo - Kyoto - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Republican Main Street Partnership	03/3/2022	03/5/2022	Washington, DC - San Antonio - Salt Lake City	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Consumer Technology Association	01/6/2022	01/7/2022	Salt Lake City - Las Vegas - Salt Lake City	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

## **SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

## **SCHEDULE A AND B ASSET CLASS DETAILS**

- Ameritrade  
LOCATION: US
- Curtis Family Trust

- Northwestern Mutual
- Northwestern Mutual - Joint (Owner: JT)
- Northwestern Mutual - SC (Owner: SP)

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. John Curtis , 08/11/2023