



Filing ID #10055669

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Jimmy Panetta
Status: Member
State/District: CA19

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2021
Filing Date: 08/13/2023

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Alameda County Employees' Retirement Association Pension [PE]		Undetermined	None		<input type="checkbox"/>
Alameda County Employees' Retirement Association Pension [PE]	SP	Undetermined	None		<input type="checkbox"/>
Bank of America [BA]	JT	\$50,001 - \$100,000	None		<input type="checkbox"/>
CalPERS pension [PE]	SP	Undetermined	None		<input type="checkbox"/>
CalPERS pension [PE]		Undetermined	None		<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ Capital Income Builder Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ Capital World Bond Fund Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ Capital World Growth & Income Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Citigroup Global Markets, Inc. 529 Plan ⇒ EuroPacific Growth Fund Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ Fundamental Investors Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ Growth Fund of America Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ Income Fund of America Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ Investment Company of America Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ New World Fund Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ SMALLCAP World Fund Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ The Bond Fund of America Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Janus IRA ⇒ Janus Global Life Sciences Fund D Shares [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Janus IRA ⇒ Janus Global Select Fund D Shares [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Janus IRA ⇒ Janus Research Fund D Shares [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ Capital Income Builder Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ Capital World Bond Fund Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ Capital World Growth & Income Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ EuroPacific Growth Fund Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley 529, DC1, account 1 ⇒ Fundamental Investors Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ Growth Fund of America Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ Income Fund of America Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ Investment Company of America Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ New World Fund Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ SMALLCAP World Fund Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ The Bond Fund of America Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley 529, DC1, account 2 ⇒ American Funds Growth Portfolio Class 529 [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley 529, DC2, account 1 ⇒ American Funds Growth Portfolio Class 529 [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley 529, DC1, account 2 ⇒ American Funds Growth Portfolio Class 529 [MF]	DC	10/4/2021	P	\$1,001 - \$15,000	
Morgan Stanley 529, DC2, account 1 ⇒ American Funds Growth Portfolio Class 529 [MF]	DC	10/4/2021	P	\$1,001 - \$15,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
State of California	spouse salary	N/A
Monterey County Superior Court	spouse salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Cenlar	December 2013	Mortgage Personal Residence	\$500,001 - \$1,000,000
JT	Wells Fargo	June 2021	Mortgage on personal residence.	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member COMMENTS: Uncompensated	Veterans Transition Center Advisory Council
Honorary Board Member COMMENTS: Uncompensated	James Martin Center for Nonproliferation Studies
Advisory Council Member COMMENTS: Uncompensated	Carmel River Watershed Conservancy

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 1997	Myself and County of Alameda, CA	Participation in pension
March 2010	Myself and County of Monterey, CA	Participation in pension

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Citigroup Global Markets, Inc. 529 Plan (Owner: DC)
LOCATION: CA
- Janus IRA (Owner: SP)
- Morgan Stanley 529, DC1, account 1 (Owner: DC)
LOCATION: CA
- Morgan Stanley 529, DC1, account 2 (Owner: DC)
LOCATION: CA
- Morgan Stanley 529, DC2, account 1 (Owner: DC)
LOCATION: CA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Jimmy Panetta , 08/13/2023