



Filing ID #10040992

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Julia Brownley  
**Status:** Member  
**State/District:** CA26

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2020  
**Filing Date:** 08/4/2021

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
IRA ⇒ AMER FUNDS WASHINGTON [IH]		\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
IRA ⇒ AMERICAN GROWTH FUND [IH]		\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
IRA ⇒ BLACKROCK INFLATION [IH]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
IRA ⇒ CAUSEWAY INTERNATIONAL [IH]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
IRA ⇒ EATON VANCE FLOATING [IH]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
IRA ⇒ GLENMEDE SMALL CAP [IH]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
IRA ⇒ HARTFORD GROWTH [IH]		\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
IRA ⇒		None	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
<b>JANUS HENDERSON SMALL [IH]</b>					
DESCRIPTION: Full disposition					
IRA ⇒ JP MORGAN EMERGING [IH]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
IRA ⇒ MAINSTAY HIGH YIELD [IH]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
IRA ⇒ MFS INTERNATIONAL GROWTH [IH]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
IRA ⇒ MFS TOTAL RETURN BOND [IH]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
IRA ⇒ MFS VALUE FD CL I [IH]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
IRA ⇒ PIMCO LOW DURATION FD [IH]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
IRA ⇒ PIMCO TOTAL RETURN FUND [IH]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
IRA ⇒ SPDR PORTFOLIO AGGREGATE [IH]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
IRA ⇒ T ROWE PRICE QM US SMALL [IH]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
IRA ⇒ VANGUARD 500 INDEX FUND [IH]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
IRA ⇒ VANGUARD FTSE DEVELOPED [IH]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Merrill Lynch ⇒ AMER FUNDS WASHINGTON [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ AMERICAN CENTURY HIGH [MF]		None	None		<input type="checkbox"/>
DESCRIPTION: Full disposition					
Merrill Lynch ⇒ AMERICAN GROWTH FUND [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Merrill Lynch ⇒ AT&T [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Merrill Lynch ⇒ BLACKROCK INFLATION [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ CAUSEWAY INTERNATIONAL [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ COMCAST CORP NEW CL [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Merrill Lynch ⇒ EATON VANCE FLOATING [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ GLENMEDE SMALL CAP [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ HARTFORD GROWTH [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ ISHARES TR CORE MSCI EAF [MF]		None	None		<input type="checkbox"/>
DESCRIPTION: Full disposition					
Merrill Lynch ⇒ JANUS HENDERSON SMALL [MF]		None	None		<input checked="" type="checkbox"/>
Merrill Lynch ⇒ JP MORGAN EMERGING [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ LUMEN TECHNOLOGIES (CENTURYLINK) [MF]		\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Merrill Lynch ⇒ MAINSTAY MACKAY HIGH [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ MFS INTERNATIONAL GROWTH [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ MFS TOTAL RETURN BOND [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Merrill Lynch ⇒ MFS VALUE FD CL I [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ PIMCO LOW DURATION FD [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ PIMCO SHORT TERM FD [MF]		None	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ PIMCO TOTAL RETURN FUND [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ SPDR PORTFOLIO AGGREGATE [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ SPDR PORTFOLIO S&P 500 G [MF]		None	None		<input type="checkbox"/>
DESCRIPTION: Full disposition					
Merrill Lynch ⇒ T ROWE PRICE QM US SMALL [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ VANGUARD 500 INDEX FUND [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ VANGUARD FTSE DEVELOPED [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ VERIZON [MF]		\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Merrill Lynch ⇒ VODAFONE GROUP PLC SHS [MF]		\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Other Securities ⇒ AIG Annuity - Fixed ⇒ American General Life Insurance [OT]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Interest					
Real Estate ⇒ 10 acres in Kern County [RP]		None	None		<input type="checkbox"/>
LOCATION: Kern County, CA, US					
Real Estate ⇒ Santa Monica, California [RP]		\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Santa Monica, CA, US					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA ⇒ AMER FUNDS WASHINGTON [IH]		Quarterly	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
IRA ⇒ AMER FUNDS WASHINGTON [IH]		Quarterly	P	\$1,001 - \$15,000	
IRA ⇒ AMERICAN GROWTH FUND [IH]		Quarterly	P	\$1,001 - \$15,000	
IRA ⇒ BLACKROCK INFLATION [IH]		04/7/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA ⇒ CAUSEWAY INTERNATIONAL [IH]		Quarterly	P	\$1,001 - \$15,000	
IRA ⇒ EATON VANCE FLOATING [IH]		Monthly	P	\$1,001 - \$15,000	
IRA ⇒ GLENMEDE SMALL CAP [IH]		05/4/2020	P	\$1,001 - \$15,000	
IRA ⇒ HARTFORD GROWTH [IH]		Quarterly	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
IRA ⇒ HARTFORD GROWTH [IH]		Quarterly	P	\$15,001 - \$50,000	
IRA ⇒ JANUS HENDERSON SMALL [IH]		Quarterly	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA ⇒ JP MORGAN EMERGING [IH]		Quarterly	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA ⇒ JP MORGAN EMERGING [IH]		Quarterly	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA ⇒ MFS VALUE FD CL I [IH]		Monthly	P	\$1,001 - \$15,000	
IRA ⇒ PIMCO LOW DURATION FD [IH]		Monthly	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA ⇒ PIMCO LOW DURATION FD [IH]		Monthly	P	\$1,001 - \$15,000	
IRA ⇒ PIMCO TOTAL RETURN FUND [IH]		Monthly	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
IRA ⇒ PIMCO TOTAL RETURN FUND [IH]		Monthly	P	\$1,001 - \$15,000	
IRA ⇒ SPDR PORTFOLIO AGGREGATE [IH]		04/7/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA ⇒ T ROWE PRICE QM US SMALL [IH]		Monthly	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
IRA ⇒ T ROWE PRICE QM US SMALL [IH]		Monthly	P	\$1,001 - \$15,000	
Merrill Lynch ⇒ AMER FUNDS WASHINGTON [MF]		Quarterly	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ AMERICAN GROWTH FUND [MF]		Quarterly	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ AMERICAN GROWTH FUND [MF]		Quarterly	P	\$1,001 - \$15,000	
Merrill Lynch ⇒ AMERICAN GROWTH FUND [IH]		Quarterly	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ BLACKROCK INFLATION [MF]		05/4/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ CAUSEWAY INTERNATIONAL [MF]		11/30/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ EATON VANCE FLOATING [MF]		Monthly	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Merrill Lynch ⇒ GLENMEDE SMALL CAP [MF]		Monthly	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ HARTFORD GROWTH [MF]		Quarterly	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ JANUS HENDERSON SMALL [MF]		05/4/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Full disposition					
Merrill Lynch ⇒ JANUS HENDERSON SMALL [MF]		01/7/2020	P	\$15,001 - \$50,000	
DESCRIPTION: Full disposition					
Merrill Lynch ⇒ JP MORGAN EMERGING [MF]		10/12/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ MAINSTAY MACKAY HIGH [MF]		Quarterly	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ MFS INTERNATIONAL GROWTH [MF]		Quarterly	P	\$1,001 - \$15,000	
Merrill Lynch ⇒ MFS TOTAL RETURN BOND [MF]		05/4/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ PIMCO LOW DURATION FD [MF]		05/4/2020	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ PIMCO SHORT TERM FD [MF]		Monthly	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ PIMCO TOTAL RETURN FUND [MF]		Monthly	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ PIMCO TOTAL RETURN FUND [MF]		Monthly	P	\$100,001 - \$250,000	
Merrill Lynch ⇒ SPDR PORTFOLIO AGGREGATE [MF]		05/4/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Merrill Lynch ⇒ T ROWE PRICE QM US SMALL [MF]		10/12/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Merrill Lynch ⇒ VANGUARD 500 INDEX FUND [MF]		Monthly	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
Capital Hill Tower Housing Cooperative Inc.		July 2015	Mortgage	\$50,001 - \$100,000
Truist Bank (FKA BB&T Mortgage)		July 2015	Mortgage	\$50,001 - \$100,000
Merrill Lynch		Arpil 2013	Mortgage - Westlake Village, CA	\$500,001 - \$1,000,000
Merrill Lynch		Feb 2013	Mortgage - Santa Monica, CA	\$250,001 - \$500,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- IRA  
DESCRIPTION: ML 230-80737
- Merrill Lynch (100% Interest)  
LOCATION: US  
DESCRIPTION: ML 230-18317
- Other Securities  
LOCATION: US
- Other Securities ⇒ AIG Annuity - Fixed
- Real Estate  
LOCATION: US

### **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

### **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Julia Brownley , 08/4/2021