

HAND  
DELIVERED

Page 1 of 14

UNITED STATES HOUSE OF REPRESENTATIVES  
2017 FINANCIAL DISCLOSURE STATEMENT

Form A  
LEGISLATIVE RESOURCE CENTER  
For Use by Members, Officers, and Employees

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MAY 15 PM 5:54  
(Office Use Only)

U.S. HOUSE OF REPRESENTATIVES  
(Office Use Only)

Name: John B. Larson

Daytime Telephone: 202-225-2265

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>CT</u> District: <u>04</u>	Officer or Employee _____	Staff Filer Type: (If Applicable) <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant <input type="checkbox"/>
REPORT TYPE	<input checked="" type="checkbox"/> 2017 Annual (Due: May 15, 2018)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

- A. Did you, your spouse, or your dependent child:  
a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period?  Yes  No  
b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?  Yes  No
- B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?  Yes  No
- C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?  Yes  No
- D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?  Yes  No
- E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?  Yes  No
- F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?  Yes  No
- G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$390 in value from a single source during the reporting period?  Yes  No
- H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$390 in value from a single source during the reporting period?  Yes  No
- I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?  Yes  No

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

IPO – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.  Yes  No

TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "exempted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?  Yes  No

EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.  Yes  No

## SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: John B. Larson

Page 2 of 14

**SCHEDULE A – ASSETS & “UNEARNED INCOME”**

Name: John B. Larson

Page 3 of 14

**Use additional sheets if more space is required.**

## **SCHEDULE A – ASSETS & “UNEARNED INCOME”**

Name: John B. Larson

Page 4 of 14

**Use additional sheets if more space is required.**

## **SCHEDULE A – ASSETS & “UNEARNED INCOME”**

Name: John B. Larson

Page 3 of 14

Use additional sheets if more space is required.

**SCHEDULE A – ASSETS & “UNEARNED INCOME”**

Name: John B. Larson

Page 6 of 14

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset												BLOCK C Type of Income												BLOCK D Amount of Income												BLOCK E Transaction																																																																																																																										
		A	B	C	D	E	F	G	H	I	J	K	L	M	I	II	III	IV	V	VI	VII	VIII	X	XI	XII																																																																																																																																							
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**SCHEDULE B – TRANSACTIONS**

**Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period or any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Trade transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exchange transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.**

**Capital Gains:** If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

\* Column K is for assets solely held by your spouse or dependent child.

**SP. DC. JT**

Sp. Elangash  
Mango Corp. Stock

J. P. Morgan Chase & Co.

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1100+ 1200+ 1300+ 1400+

Macquarie Infrastructure Corp. Com

General Dynamics Corp

General Electricity (a)

GRENADA ECONOMIC REPORT

GENESEE WYOMING INC.

Quintiles TMS

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ALL - BIS  
FREI EICHT. O.

Allergen

Nießen Hildes PLC

Kindergarten, Inc.

Nestling

NE34 1E

Nielsen H. dss PLC

Starbucks Corp

Nelson Hayes P.H.C.

MATHÉMATIQUES

MADE IN U.S.A.

Monocle 27 Nat. Hist.

Adobe Sys Inc

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Use additional sheets if more space is required.

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.										
Capital Gains. If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and indicate the capital gain income on Schedule A.										
*Column K is for assets solely held by your spouse or dependent child.										
Type of Transaction										Date
Purchase										Date
Sale										Date
Partial Sale										Date
Exchange										Date
Check Box if Capital Gain Exceeded \$200										Date
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## SCHEDULE B – TRANSACTIONS

Name: John B. Larson

Page 8 of 14

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

**Capital Gains:** If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box. Unless it was an asset in a tax-deferred account, and describe the capital gain income on Schedule A.

\* Column K is for assets solely held by your spouse or dependent child.

SP. DC. JT	Example	Type of Transaction			Date	Amount of Transaction									K	
		Purchase	Sale	Partial Sale		A	B	C	D	E	F	G	H	I	J	
	Mega Corp. Stock															
Amphenol Corp		X			9/25/17											
Apple Inc					9/25/17											
Coca Cola Co.					9/25/17											
Danaher Corp					9/25/17											
Disney Walt Co					9/25/17											
Fortive Corp					9/25/17											
JPMorgan Chase & Co.					9/25/17											
Nike, Inc					9/25/17											
RBC Bearings Inc					9/25/17											
Thermo Fisher Scientific Inc					9/25/17											
United Technologies Corp					9/25/17											
Zoetis Inc					9/25/17											
Allergen Inc					10/5/17											
Citigroup Inc					10/5/17											
Allergen PLC					10/25/17											
Fortive Corp Com					10/30/17											
Transcendia Corporation					11/1/17											
Spectra Energy Corp					11/29/17											
Enbridge Inc.					12/28/17											

Use additional sheets if more space is required.

**SCHEDULE C – EARNED INCOME**

Name: John B. Larson Page 9 of 14

Page 9 of 14

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

**EXCLUDE:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

**INCOME LIMITS and PROHIBITED INCOME:** The 2017 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,765. The 2018 limit is \$28,050. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

Source (include date of receipt for honoraria)	Type	Amount
Keene State	Approved Teaching Fee	\$0,000
State of Maryland	Legislative Pension	\$18,000
Civil War Roundtable (Oct. 2)	Spouse Speech	\$1,000
Ontario County Board of Education	Spouse Salary	N/A
State of Connecticut Comptrollers Office	Spouse Salary	N/A
Connecticut Innovations Inc.	Spouse Salary	N/A

Use additional sheets if more space is required.



## SCHEDULE F – AGREEMENTS

Name: John B. Larson

Page 11 of 14

**Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.**

**SCHEDULE G - GIFTS**

**Report the source (by name), a brief description, and the value of all gifts totaling more than \$390 received by you, your spouse, or your dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$156 or less need not be added towards the \$390 disclosure threshold.** Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

prior approval of the Committee on Ethics.

**Use additional sheets if more space is required.**

## **SCHEDULE H - TRAVEL PAYMENTS and REIMBURSEMENTS**

Name: John B. Larson

Page 12 of 14

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$390 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

**EXCLUDE:** Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7324); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

source	Date(s)	City of Departure-Destination-City of Return	Looking? (Y/N)	Found? (Y/N)	Family Mentioned Included? (Y/N)
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Source	Date(s)	City of Departure-Destination-City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
Government of China (MESEA)  Example: Habitat for Humanity (charity fund raiser)	Aug. 8-11  Mar. 3-4	DC-Baoding, China-DC  DC-Boston-DC	Y  Y	Y  Y	N  Y

Use additional sheets if more space is required.

**SCHEDULE I – PAYMENTS MADE TO CHARITY IN  
LIEU OF HONORARIA**

Name: John B. Larson

Page 13 of 14

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

**Use additional sheets if more space is required.**

**FILER NOTES  
(Optional)**

Name: John B. Larson Page 14 of 14

NOTE NUMBER	NOTES
1.	Fidelity Investments Inherited IRA reported last year is below reporting threshold and therefore not listed this year on Schedule A.
2.	Disney Walt Co. partial sale listed on Schedule B. Remaining share was sold before end of year but did not trigger reporting threshold.