



Filing ID #10055189

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. James E Hon Banks  
**Status:** Member  
**State/District:** IN03

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2022  
**Filing Date:** 06/26/2023

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Grace Schools IRA - Money Market [IH]	SP	\$1,001 - \$15,000	None	<input type="checkbox"/>
INDIANA 529 ⇒ College Choice 529 (Age-based option growth portfolio 100%) [5P] LOCATION: IN DESCRIPTION: Elizabeth Banks		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Indiana Deferred Compensation Account [IH]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
INPRS Legislators' Defined Contribution Fund [MF] DESCRIPTION: 2045 Retirement Fund		\$50,001 - \$100,000	Tax-Deferred	<input type="checkbox"/>
INPRS Legislators' Defined Contribution Plan [MF] DESCRIPTION: 2045 Retirement Fund	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
IRA ⇒ Vaneck Morningstar Wide Moat ETF [MF]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Peloton Interactive, Inc. (PTON) [ST]	JT	\$1,001 - \$15,000	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
ReliaStar Life Insurance [WU]	SP	\$100,001 - \$250,000	None		<input type="checkbox"/>
Roblox Corporation Class A (RBLX) [ST]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Roth IRA ⇒ Vaneck Morningstar Wide Moat ETF [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Schwab IRA ⇒ Patient Access Solutions Inc. (PASO) [ST]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Schwab IRA ⇒ Peloton Interactive, Inc. (PTON) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
State of Indiana Public Employee Deferred Compensation Plan [MF]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Indiana 2040 Fund					
Vaneck Vectors Morningstar Wide Moat ETF [MF]		\$15,001 - \$50,000	None		<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE B: TRANSACTIONS

None disclosed.

### SCHEDULE C: EARNED INCOME

Source	Type	Amount
Family Policy Foundation	Spouse salary	N/A

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Dovenmuehle	June 2020	Mortgage on VA residence	\$500,001 - \$1,000,000

### SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
November 2017	INPRS - Indiana Public Retirement System	Continued participation in retirement account

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Club for Growth	03/3/2022	03/5/2022	Washington DC - Palm Beach, FL - Washington DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
American Enterprise Institute	03/10/2022	03/13/2022	Washington, DC and Philadelphia, PA - Sea Island, Georgia - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none"><li>INDIANA 529 LOCATION: IN</li><li>IRA (Owner: SP)</li><li>Roth IRA (Owner: SP)</li><li>Schwab IRA</li></ul>
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. James E Hon Banks , 06/26/2023