



Filing ID #10048408

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Brian Babin
Status: Member
State/District: TX36

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2021
Filing Date: 05/16/2022

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Brian Babin DDS Dental Practice [RP] LOCATION: Woodville, TX, US		\$100,001 - \$250,000	sale	\$15,001 - \$50,000	<input type="checkbox"/>
Chevron Corporation (CVX) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Citizen State Bank [BA]		\$500,001 - \$1,000,000	Interest	\$1 - \$200	<input type="checkbox"/>
Community Bank of Texas [BA]		\$1,000,001 - \$5,000,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Community Bank of Woodville [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Education First Bank Account [BA]	JT	\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Education First Federal Credit Union [BA]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Exxon Mobil Corporation (XOM) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
land parcel [RP] LOCATION: Woodville, TX, US		\$250,001 - \$500,000	None		<input type="checkbox"/>
Property in Black Bear [RP] LOCATION: Galveston, TX, US		None	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
Summit Brokerage Services ⇒ Fidelity Select Ports Defense & Aerospace FSDAX [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Summit Brokerage Services ⇒ Franklin Cust Dyna Tech FKDNX [MF]		\$50,001 - \$100,000	None		<input type="checkbox"/>
Summit Brokerage Services ⇒ Franklin Custodian Growth FKGRX [MF]		\$50,001 - \$100,000	None		<input type="checkbox"/>
Summit Brokerage Services ⇒ Franklin Income Fund [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Summit Brokerage Services ⇒ Franklin Money Fund Class A [MF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
TD Ameritrade Bank Account [BA]	JT	\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
UBS Inherited IRA ⇒ iShares Broad USD Investment Grade Corporate Bond [MF]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
UBS Inherited IRA ⇒ iShares Core MSCI EAFE ETF (IEFA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS Inherited IRA ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS Inherited IRA ⇒ Schwab U.S. Broad Market ETF (SCHB) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS Inherited IRA ⇒ Vanguard Total Stock Market ETF (VTI) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS Resource Management Account ⇒ Aberdeen Ultra Short Municipal Income Fund ATOIX [MF]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
UBS Resource Management Account ⇒ American Century Inflation Adjusted [IH]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
UBS Resource Management Account ⇒ Blackrock Equity Dividend Fund C MCDVX [IH]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
UBS Resource Management Account ⇒ Blackrock High Yield Bond C BHYCX [IH]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
UBS Resource Management Account ⇒ Blackrock National Municipal Fund- MANLX [IH]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
UBS Resource Management Account ⇒ Fidelity Advisor Industrial Funds- FCLIX [MF]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
UBS Resource Management Account ⇒ Growth Fund of America GFFFX [IH]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
UBS Resource Management Account ⇒ Invesco Convertible Securities Fund [IH]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
UBS Resource Management Account ⇒ Invesco Developing Markets- ODVYX [IH]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Name change from Oppenheimer to Invesco					
UBS Resource Management Account ⇒ Janus Henderson International Opportunities Fund- HFOIX [IH]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
UBS Resource Management Account ⇒ Janus Henderson Mid Cap Value Fund JMVAX [MF]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
UBS Resource Management Account ⇒ MFS Emerging Markets Bond Fund- MEDCX [MF]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
UBS Resource Management Account ⇒ PACE Government Money Market Investment Fund- PCEXX [BA]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
UBS Resource Management Account ⇒ Plains All Amer Pipeline- PAA [IH]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
UBS Resource Management Account ⇒	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SPDR Tech Sector [EF]					<input type="checkbox"/>
UBS Resource Management Account ⇒ Starwood REIT [RE]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
UBS Resource Management Account ⇒ Templeton Global Bond [MF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
UBS Resource Management Account ⇒ UBS Bank [IH]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
UBS Resource Management Account ⇒ Virtus Multi-Sector Short Term Bond- PSTCX [IH]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
UBS Resource Management Account ⇒ Voya Corporate Leaders Trust- LEXCX [IH]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
UBS Resource Management Account ⇒ Western Asset Municipal- LMHIX [IH]	JT	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
UBS SEP IRA ⇒ American Funds American High Income Trust [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ American Funds American High-Inc [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ American Funds American Mutual C Fund- AMFCX [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ American Funds American Mutual Fund [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ American Funds Capital Income Builder [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
UBS SEP IRA ⇒ American Funds Capital Income Builder Fund [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ American Funds Income Fund [IH]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
UBS SEP IRA ⇒ American Funds Income Fund of America [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
UBS SEP IRA ⇒ American Funds US GOV Money Market Fund AFCXX [IH]		\$1 - \$1,000	None		<input type="checkbox"/>
UBS SEP IRA ⇒ American Funds US Government Securities Fund [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ American Funds US Government Securities Fund Cl C UGSCX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ American Funds US Govt Money Market [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Approach Resources- AREX [IH]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Barrick Gold Corp Cad ABX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ BHP Billiton- BHP [IH]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
UBS SEP IRA ⇒ Compass Diversified Holdings- CODI [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ DWS RREEF global Infrastructure Fund TOLCX [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Enbridge Energy Partners- MLP [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Energy Transfer LP Units LTD Partnership- ET [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: exchange					
UBS SEP IRA ⇒ Enterprise Products Partner- EPD [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Fidelity Advisor Industrials [IH]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
UBS SEP IRA ⇒ Fidelity Advisor Industrials- FCLAX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
UBS SEP IRA ⇒ First Eagle Gold- FEGOX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Fortress Transn & IN FTAI [IH]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
UBS SEP IRA ⇒ Huntington Inglas- HII [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ IAMGOLD Corp- IAG [IH]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Invesco Real Estate- IARCX [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Ishares US Aerospace & Defense- ITA [IH]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
UBS SEP IRA ⇒ Kinder Morgan Inc [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Loomis Sayles Strategic- NEZTX [IH]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
UBS SEP IRA ⇒ MAN-AHL Diversified I Class B [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Merk Hard Currency- MERKX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Newmont Mining Corp- NEM [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Permanent Portfolio Fund- PRPFX [IH]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
UBS SEP IRA ⇒ PGIM JENN Natural Resources PNRZX [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Range Resources Corporation (RRC) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Seadrill LTD- SDRL [IH]					<input type="checkbox"/>
UBS SEP IRA ⇒ South32 LTD- SOUHY [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ TCW Emerging Markets- TGEIX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Templeton Gblal- TGBAX [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ UBS Bank [IH]		\$15,001 - \$50,000	None		<input type="checkbox"/>
UBS SEP IRA ⇒ Vaneck Vectors Gold Miners- GDX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Name change from "Market Vectors" to "Vaneck Vectors"					
UBS SEP IRA ⇒ Virtus Duff & Phelps Real Estate- PHRCX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Virtus Vontobel Emerging Markets Opportunities Fund PICEX [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
UBS SEP IRA ⇒ Westshore Terms Invt Corp- WTSHF [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Wheaton Precious Metals Corp Common Shares (WPM) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA ⇒ Williams Companies, Inc. (WMB) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA 2 - PACE ⇒ American Century Inflation Adjusted Treasury- ACITX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA 2 - PACE ⇒ DWS Capitol Growth Fund SCGSX [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA 2 - PACE ⇒ Gabelli Small Cap Growth- GACIX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
UBS SEP IRA 2 - PACE ⇒ Goldman Sachs Short Duration Gov- GSTGX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA 2 - PACE ⇒ Hartford International- IHOIX [IH]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
UBS SEP IRA 2 - PACE ⇒ Invesco Mid Cap Core- GTAYAX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA 2 - PACE ⇒ Templeton Gblal Bond- TGBAX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA 2 - PACE ⇒ Transamerica High Yield Bond- TDHIX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA 2 - PACE ⇒ Virtus Vontobel Emerging Markets- HIEMX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS SEP IRA 2 - PACE ⇒ Voya Corporate Leaders- LEXCX [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
UBS Resource Management Account ⇒ Growth Fund of America [MF]	JT	12/20/2021	P	\$1,001 - \$15,000	
UBS Resource Management Account ⇒ Growth Fund of America [MF]	JT	07/6/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
UBS Resource Management Account ⇒ Invesco Convertible Securities Fund [MF]	JT	12/15/2021	P	\$1,001 - \$15,000	
UBS Resource Management Account ⇒ Janus Henderson International Opportunities [MF]	JT	12/21/2021	P	\$1,001 - \$15,000	
UBS Resource Management Account ⇒ Janus Henderson Mid Cap Value Fund [MF]	JT	12/23/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
UBS Resource Management Account ⇒ Janus Henderson Mid Cap Value Fund [MF]	JT	07/6/2021	P	\$1,001 - \$15,000	
UBS Resource Management Account ⇒ Starwood REIT [RE]	JT	02/23/2021	P	\$15,001 - \$50,000	
UBS Resource Management Account ⇒ Western Asset Municipal High Income [MF]	JT	07/6/2021	P	\$1,001 - \$15,000	
UBS SEP IRA ⇒ American Funds Capital Income Builder [MF]		05/3/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
UBS SEP IRA ⇒ American Funds Income Fund [MF]		05/3/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
UBS SEP IRA ⇒ BHP Group Limited American Depositary Shares (BHP) [ST]		09/21/2021	P	\$1,001 - \$15,000	
UBS SEP IRA ⇒ Fidelity Advisor Industrials [MF]		12/20/2021	P	\$1,001 - \$15,000	
UBS SEP IRA ⇒ Fidelity Advisor Industrials [MF]	SP	12/20/2021	P	\$1,001 - \$15,000	
UBS SEP IRA ⇒ Fortress Transn & IN [MF]		05/3/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
UBS SEP IRA ⇒ iShares US Aerospace & Defense [MF]		05/3/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
UBS SEP IRA ⇒ Loomis Sayles Strategic Income [MF]		02/9/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
UBS SEP IRA ⇒ Permanent Portfolio Fund [MF]		05/3/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
UBS SEP IRA ⇒ Virtus Vontobel Emerging Markets [MF]		12/23/2021	P	\$1,001 - \$15,000	
UBS SEP IRA 2 - PACE ⇒ Hartford International Opportunities [MF]		12/13/2021	P	\$1,001 - \$15,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Summit Brokerage Services
- UBS Inherited IRA (Owner: SP)
- UBS Resource Management Account (Owner: JT)
LOCATION: US
- UBS SEP IRA (Owner: SP)
- UBS SEP IRA
- UBS SEP IRA 2 - PACE

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent

child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Brian Babin , 05/16/2022