



Filing ID #10053618

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Dwight Evans  
**Status:** Member  
**State/District:** PA03

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2022  
**Filing Date:** 05/12/2023

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
1602 E CARDEZA ST [RP]		\$100,001 - \$250,000	None		<input type="checkbox"/>
LOCATION: Philadelphia, PA, US					
COMMONWEALTH OF PA PENSION [PE]		\$500,001 - \$1,000,000	Tax-Deferred		<input type="checkbox"/>
PERSHING LLC ⇒ AbbVie Inc. (ABBV) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
PERSHING LLC ⇒ ALEXANDRIA REAL ESTATE EQUITIES [RE]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
PERSHING LLC ⇒ Alphabet Inc. - Class A (GOOGL) [ST]		\$15,001 - \$50,000	Dividends	None	<input type="checkbox"/>
PERSHING LLC ⇒ Amazon.com, Inc. (AMZN) [ST]		\$15,001 - \$50,000	Dividends	None	<input type="checkbox"/>
PERSHING LLC ⇒ AMERICAN TOWER CORP [RE]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
PERSHING LLC ⇒		\$1,001 - \$15,000	Dividends	\$201 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
American Water Works Company, Inc. (AWK) [ST]				\$1,000
PERSHING LLC ⇒ Apollo Global Management, Inc. Class A (APO) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
PERSHING LLC ⇒ Apple Inc. (AAPL) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
PERSHING LLC ⇒ ARIEL FUND INVESTOR [MF]		\$15,001 - \$50,000	Dividends	None <input type="checkbox"/>
PERSHING LLC ⇒ Baidu, Inc. - American Depository Shares, each representing 8 ordinary share (BIDU) [ST]		\$1,001 - \$15,000	Dividends	None <input type="checkbox"/>
PERSHING LLC ⇒ CALVERT GREEN BOND FUND CLASS 1 [MF]		\$15,001 - \$50,000	Dividends	None <input type="checkbox"/>
PERSHING LLC ⇒ CATALYST MILLBURN HEDGE STRATEGY [MF]		\$1,001 - \$15,000	Capital Gains	None <input checked="" type="checkbox"/>
PERSHING LLC ⇒ CSX Corporation (CSX) [ST]		\$1,001 - \$15,000	Dividends	None <input type="checkbox"/>
PERSHING LLC ⇒ DBA Sempra (SRE) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	None <input checked="" type="checkbox"/>
PERSHING LLC ⇒ EMERALD BANKING & FINANCE FUND [MF]		\$1,001 - \$15,000	Capital Gains	None <input checked="" type="checkbox"/>
PERSHING LLC ⇒ ETFMG VIDEO GAME TECH [EF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200 <input type="checkbox"/>
PERSHING LLC ⇒ ETHO CLIMATE LEADERSHIP [EF]		None	Capital Gains	\$1,001 - \$2,500 <input type="checkbox"/>
PERSHING LLC ⇒ FRANKLIN INCOME FUND [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000 <input type="checkbox"/>
PERSHING LLC ⇒ FS MULTI-STRATEGY ALTERNATIVES FUND [MF]		\$1,001 - \$15,000	Capital Gains	None <input checked="" type="checkbox"/>
PERSHING LLC ⇒ General Dynamics Corporation (GD) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
PERSHING LLC ⇒ GLOBAL X LITHIUM & BATTERY ETF [EF]		\$1,001 - \$15,000	Capital Gains	None <input checked="" type="checkbox"/>
PERSHING LLC ⇒ GOLDMAN SACHS EMERGING MARKETS [MF]		\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500 <input type="checkbox"/>
PERSHING LLC ⇒ Hannon Armstrong Sustainable Infrastructure Capital, Inc. (HASI) [RE]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
PERSHING LLC ⇒ Intel Corporation (INTC) [ST]		\$1,001 - \$15,000	Dividends	None <input checked="" type="checkbox"/>
PERSHING LLC ⇒ International Business Machines Corporation (IBM) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
PERSHING LLC ⇒ INVESTCO CORPORATE BOND [MF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200 <input type="checkbox"/>
PERSHING LLC ⇒ ISHARES NASDAQ BIOTECHNOLOGY [EF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200 <input type="checkbox"/>
PERSHING LLC ⇒ JANUS HENDERSON GLOBAL EQUITY [MF]		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000 <input type="checkbox"/>
PERSHING LLC ⇒ Kinder Morgan, Inc. (KMI) [ST]		\$1,001 - \$15,000	Dividends	None <input checked="" type="checkbox"/>
PERSHING LLC ⇒ Lockheed Martin Corporation (LMT) [ST]		\$1,001 - \$15,000	Dividends	None <input type="checkbox"/>
PERSHING LLC ⇒ LORD ABBETT FLOATING RATE [MF]		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000 <input type="checkbox"/>
PERSHING LLC ⇒ Microsoft Corporation (MSFT) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
PERSHING LLC ⇒ NextEra Energy, Inc. (NEE) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
PERSHING LLC ⇒ NUVEEN DIVIDEND VALUE [MF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200 <input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
PERSHING LLC ⇒ NUVEEN PREFERRED SEC'S & INC [MF]		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000 <input type="checkbox"/>
PERSHING LLC ⇒ ONEOK, Inc. (OKE) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input checked="" type="checkbox"/>
PERSHING LLC ⇒ PACER FDS TR US CASH COWS 100 ETF [EF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200 <input checked="" type="checkbox"/>
PERSHING LLC ⇒ PGIM GLOBAL TOTAL RETURN [MF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200 <input type="checkbox"/>
PERSHING LLC ⇒ PIMCO REAL RETURN INST [MF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200 <input type="checkbox"/>
PERSHING LLC ⇒ Quanta Services, Inc. (PWR) [ST]		\$1,001 - \$15,000	Dividends	None <input type="checkbox"/>
PERSHING LLC ⇒ Roche Holdings AG Basel American Depository Shares (RHHBY) [ST]		\$1 - \$1,000	Dividends	None <input checked="" type="checkbox"/>
PERSHING LLC ⇒ Salesforce.com Inc (CRM) [ST]		\$1,001 - \$15,000	Dividends	None <input type="checkbox"/>
PERSHING LLC ⇒ SPDR S&P METALS AND MINING ETF [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	None <input type="checkbox"/>
PERSHING LLC ⇒ Tesla, Inc. (TSLA) [ST]		\$15,001 - \$50,000	Dividends	None <input type="checkbox"/>
PERSHING LLC ⇒ Thermo Fisher Scientific Inc (TMO) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200 <input type="checkbox"/>
PERSHING LLC ⇒ VICTORY INCORE FUND FOR INCOME [MF]		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000 <input type="checkbox"/>
PERSHING LLC ⇒ WESTERN ASSET CORE PLUS BOND [MF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200 <input type="checkbox"/>
PERSHING LLC ⇒ Williams Companies, Inc. (WMB) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
PROEQUITIES ⇒		\$1,001 - \$15,000	Dividends	None <input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Alibaba Group Holding Limited American Depository Shares each representing one Ordinary share (BABA) [ST]					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
PERSHING LLC ⇒ ALPS CLEAN ENERGY ETF [EF]		02/10/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
PERSHING LLC ⇒ AMPLIFY TRANSFORMATIONAL DATA ETF [EF]		08/5/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
PERSHING LLC ⇒ CATALYST MILLBURN HEDGE STRATEGY [MF]		08/5/2022	P	\$1,001 - \$15,000	
PERSHING LLC ⇒ EMERALD BANKING & FINANCE FUND [MF]		08/5/2022	P	\$1,001 - \$15,000	
PERSHING LLC ⇒ FIRST TRUST RBA AMER INDL RENAIIS ETF [EF]		08/5/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
PERSHING LLC ⇒ FS MULTI-STRATEGY ALTERNATIVES FUND [MF]		08/5/2022	P	\$1,001 - \$15,000	
PERSHING LLC ⇒ GLOBAL X LITHIUM & BATTERY ETF [EF]		02/10/2022	P	\$1,001 - \$15,000	
PERSHING LLC ⇒ INVESCO BLDRS INDEX FDS EF [EF]		01/21/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
PERSHING LLC ⇒ MATTHEWS ASIA CREDIT OPPORTUNITIES [MF]		03/30/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
PERSHING LLC ⇒ PACER FDS TR US CASH COWS 100 ETF [EF]		08/5/2022	P	\$1,001 - \$15,000	
PERSHING LLC ⇒ SPDR BLACKSTONE SENIOR LOAN ETF [EF]		08/5/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
PROEQUITIES ⇒ AbbVie Inc. (ABBV) [ST]		08/5/2022	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ ARK Genomic Revolution ETF (ARKG) [ST]		03/25/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ ARK Genomic Revolution ETF (ARKG) [ST]		03/25/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ ARK Innovation ETF (ARKK) [ST]		03/30/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ Celanese Corporation Celanese Corporation (CE) [ST]		03/30/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ DBA Sempra (SRE) [ST]		11/14/2022	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ General Dynamics Corporation (GD) [ST]		03/30/2022	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ Global X NASDAQ-100 Covered Call ETF (QYLD) [ST]		11/14/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ Intel Corporation (INTC) [ST]		03/30/2022	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ Kinder Morgan, Inc. (KMI) [ST]		03/30/2022	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ NeoGenomics, Inc. (NEO) [ST]		03/30/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ ONEOK, Inc. (OKE) [ST]		08/5/2022	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ Pacer Data & Infrastructure Real Estate ETF (SRVR) [ST]		11/14/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ PayPal Holdings, Inc. - Common Stock When Issued (PYPLV) [ST]		08/5/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
PROEQUITIES ⇒ Principal Healthcare Innovators ETF (BTEC) [ST]		11/14/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ Raytheon Technologies Corporation (RTX) [ST]		11/14/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ Roche Holdings AG Basel American Depository Shares (RHHBY) [ST]		11/14/2022	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ Southwest Airlines Company (LUV) [ST]		08/5/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ Twist Bioscience Corporation (TWST) [ST]		03/30/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
COMMONWEALTH OF PA	PENSION	\$92,147.00

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
PA STATE EMPLOYEES CREDIT UNION		09/13/2013	MORTGAGE	\$15,001 - \$50,000
NATIONSTAR MORTGAGE dba MR COOPER		09/29/2017	MORTGAGE	\$100,001 - \$250,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2019	Commonwealth of PA and Dwight Evans	Participation in an employee health care benefit from the Commonwealth of Pennsylvania

## **SCHEDULE G: GIFTS**

None disclosed.

## **SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

None disclosed.

## **SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

## **SCHEDULE A AND B ASSET CLASS DETAILS**

- PERSHING LLC
- PROEQUITIES

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Dwight Evans , 05/12/2023