



Filing ID #10043827

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Cindy Axne
Status: Member
State/District: IA03

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2020
Filing Date: 10/8/2021

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Cindy and John Axne Joint Account ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Cindy and John Axne Joint Account ⇒ Clearbridge All Cap Growth ETF (CACG) [EF]	JT	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Cindy and John Axne Joint Account ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Cindy and John Axne Joint Account ⇒ Nike, Inc. (NKE) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Cindy and John Axne Joint Account ⇒ RJ Bank Deposit Program [BA]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Cindy Axne IRA ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Cindy Axne IRA ⇒ American Tower Corporation (REIT) (AMT) [RE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Arch Capital Group Ltd. (ACGL) [ST]					
Cindy Axne IRA ⇒ AutoZone, Inc. (AZO) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Cindy Axne IRA ⇒ Bank of America Corporation (BAC) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
C Cindy Axne IRA ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
C Cindy Axne IRA ⇒ Brown Forman Inc Class B (BF.B) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
C Cindy Axne IRA ⇒ Colgate-Palmolive Company (CL) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
C Cindy Axne IRA ⇒ Doubleline Opportunistic Credit (DBL) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
C Cindy Axne IRA ⇒ Jefferies Financial Group Inc. (JEF) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
C Cindy Axne IRA ⇒ Johnson & Johnson (JNJ) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
C Cindy Axne IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
C Cindy Axne IRA ⇒ JPMorgan Ultra Short Income ETF (JPST) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
C Cindy Axne IRA ⇒ Liberty Broadband Corporation - Class A (LBRDA) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
C Cindy Axne IRA ⇒ Markel Corporation (MKL) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
C Cindy Axne IRA ⇒ Mastercard Incorporated (MA) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
C Cindy Axne IRA ⇒ Moody's Corporation (MCO) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Cindy Axne IRA ⇒ Nike, Inc. (NKE) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Cindy Axne IRA ⇒ O'Reilly Automotive, Inc. (ORLY) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
C Cindy Axne IRA ⇒ Parnassus Core Equity Fund Investor Class (PRBLX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
C Cindy Axne IRA ⇒ PGIM Strategic Bond Fund CL Z (PUCZX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
C Cindy Axne IRA ⇒ PGIM Ultra Short Bond ETF (PULS) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
C Cindy Axne IRA ⇒ Post Holdings, Inc. (POST) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
C Cindy Axne IRA ⇒ Progressive Corporation (PGR) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
C Cindy Axne IRA ⇒ RJ Bank Deposit Program [BA]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
C Cindy Axne IRA ⇒ Starbucks Corporation (SBUX) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
C Cindy Axne IRA ⇒ Stryker Corporation (SYK) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
C Cindy Axne IRA ⇒ Tractor Supply Company (TSCO) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
C Cindy Axne IRA ⇒ US Bancorp Dep SHS PFD F Non-Cumulative Series F6.5% [CS]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: US Bancorp DEP SHS PFD F Non-Cumulative Series F6.5% Debt Classification: Preferred Stock					
C Cindy Axne IRA ⇒ Visa Inc. (V) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
C Cindy Axne IRA ⇒ Watsco, Inc. (WSO) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Cindy Axne IRA ⇒ Western Asset Core Plus Bond Fund CL I (WACPX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
College Savings Iowa 529 Plan - 2 ⇒ Aggressive Growth Age-Based Track: Moderate Growth [MF]	JT	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
College Savings Iowa 529 Plan -1 ⇒ Aggressive Growth Age-Based Track: Conservative Growth Portfolio [MF]	JT	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC [OL]	SP	\$100,001 - \$250,000	None		<input type="checkbox"/>
LOCATION: West Des Moines/Polk, IA, US DESCRIPTION: Blended growth Digital Design Firm					
Creation Agents LLC 401K ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ American Tower Corporation New REIT (AMT) [RE]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ Arch Capital Group Ltd. (ACGL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ AutoZone, Inc. (AZO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ Baird Core Plus Bond Fund INSTL Class (BCOIX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ Brown Forman Inc Class B (BF.B) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Clearbridge All Cap Growth ETF (CACG) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ Colgate-Palmolive Company (CL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Doubleline Income Solutions (DSL) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Creation Agents LLC 401K ⇒ Jefferies Financial Group Inc. (JEF) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Johnson & Johnson (JNJ) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ JPMorgan Ultra Short Income ETF (JPST) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ Liberty Broadband Corporation - Class A (LBRDA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ Markel Corporation (MKL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Mastercard Incorporated (MA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ Moody's Corporation (MCO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Nike, Inc. (NKE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ O'Reilly Automotive, Inc. (ORLY) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ Parnassus Core Equity Fund Institutional Class (PRILX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ PGIM Strategic Bond Fund CL Z (PUCZX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒ PGIM Ultra Short Bond ETF (PULS) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Post Holdings, Inc. (POST) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Creation Agents LLC 401K ⇒	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Principal Blue Chip Institutional Class (PBCKX) [MF]					
Creation Agents LLC 401K ⇒ Progressive Corporation (PGR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>	
Creation Agents LLC 401K ⇒ RJ Bank Deposit Program [BA]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
Creation Agents LLC 401K ⇒ Starbucks Corporation (SBUX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>	
Creation Agents LLC 401K ⇒ Stryker Corporation (SYK) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>	
Creation Agents LLC 401K ⇒ Tractor Supply Company (TSCO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
Creation Agents LLC 401K ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
Creation Agents LLC 401K ⇒ US Bancorp Dep SHS PFD F Non-Cumulative Series F6.5% [CS]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
DESCRIPTION: US Bancorp DEP SHS PFD F Non-Cumulative Series F6.5% Debt Classification: Preferred Stock					
Creation Agents LLC 401K ⇒ Visa Inc. (V) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>	
Creation Agents LLC 401K ⇒ Watsco, Inc. (WSO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
Creation Agents LLC 401K ⇒ Western Asset Core Plus Bond Fund CL I (WACPX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>	
Iowa State Bank Account - Checking [BA]	JT	\$1,001 - \$15,000	None	<input type="checkbox"/>	
John Axne IRA ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>	
John Axne IRA ⇒ American Tower Corporation New REIT (AMT) [RE]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
John Axne IRA ⇒ Arch Capital Group Ltd. (ACGL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
John Axne IRA ⇒ AutoZone, Inc. (AZO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>	
John Axne IRA ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
John Axne IRA ⇒ Brown Forman Inc Class B (BF.B) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
John Axne IRA ⇒ Colgate-Palmolive Company (CL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
John Axne IRA ⇒ Jefferies Financial Group Inc. (JEF) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
John Axne IRA ⇒ Johnson & Johnson (JNJ) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>	
John Axne IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
John Axne IRA ⇒ JPMorgan Ultra Short Income ETF (JPST) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>	
John Axne IRA ⇒ Liberty Broadband Corporation - Class A (LBRDA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
John Axne IRA ⇒ Markel Corporation (MKL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
John Axne IRA ⇒ Mastercard Incorporated (MA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>	
John Axne IRA ⇒ Moody's Corporation (MCO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
John Axne IRA ⇒ Nike, Inc. (NKE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
John Axne IRA ⇒ O'Reilly Automotive, Inc. (ORLY) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
John Axne IRA ⇒	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
PGIM Strategic Bond Fund CL Z (PUCZX) [MF]					
John Axne IRA ⇒ PGIM Ultra Short Bond ETF (PULS) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
John Axne IRA ⇒ Post Holdings, Inc. (POST) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>	
John Axne IRA ⇒ Principal Blue Chip Institutional Class (PBCKX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>	
John Axne IRA ⇒ Progressive Corporation (PGR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
John Axne IRA ⇒ RJ Bank Deposit Program [BA]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
John Axne IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>	
John Axne IRA ⇒ Stryker Corporation (SYK) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>	
John Axne IRA ⇒ Tractor Supply Company (TSCO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
John Axne IRA ⇒ US Bancorp Dep SHS PFD F Non-Cumulative Series F6.5% [CS]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
DESCRIPTION: US Bancorp DEP SHS PFD F Non-Cumulative Series F6.5% Debt Classification: Preferred Stock					
John Axne IRA ⇒ Visa Inc. (V) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>	
John Axne IRA ⇒ Watsco, Inc. (WSO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
John Axne IRA ⇒ Western Asset Core Plus Bond Fund CL I (WACPX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>	
State of Iowa Deferred Comp Program ⇒ American Funds Am Balanced R4 [MF]		\$50,001 - \$100,000	Tax-Deferred	<input type="checkbox"/>	
State of Iowa Deferred Comp Program ⇒ Dodge & Cox Intl Stock Fund (DODFX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
State of Iowa Deferred Comp Program ⇒ Ivy High Income Fund I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
State of Iowa Deferred Comp Program ⇒ Templeton Global Bond Fund Adv [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
State of Iowa Deferred Comp Program ⇒ Victory Integrity Sm-Cp Value Fnd Y [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
State of Iowa Deferred Comp Program ⇒ Virtus Crdx Lg-Cp Val Eqty Fd I [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
State of Iowa Deferred Comp Program ⇒ Voya Fixed Plus III [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
State of Iowa Deferred Comp Program ⇒ Voya Intermediate Bond Port S [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Cindy and John Axne Joint Account ⇒ Clearbridge All Cap Growth ETF (CACG) [EF]	JT	07/17/2020	P	\$1,001 - \$15,000	
Cindy and John Axne Joint Account ⇒ Facebook, Inc. - Class A (FB) [ST]	JT	06/24/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Cindy Axne IRA ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		04/17/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Cindy Axne IRA ⇒ AutoZone, Inc. (AZO) [ST]		12/30/2020	P	\$1,001 - \$15,000	
Cindy Axne IRA ⇒ Becton, Dickinson and Company (BDX) [ST]		03/9/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Cindy Axne IRA ⇒ CarMax Inc (KMX) [ST]		04/1/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Cindy Axne IRA ⇒ CarMax Inc (KMX) [ST]		03/27/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Cindy Axne IRA ⇒ Cerner Corporation (CERN) [ST]		02/27/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Cindy Axne IRA ⇒ Clearbridge All Cap Growth ETF (CACG) [EF]		02/27/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Cindy Axne IRA ⇒ Dollar Tree, Inc. (DLTR) [ST]		03/9/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Cindy Axne IRA ⇒ Doubleline Flexible Income Fund CL I (DFLEX) [MF]		11/13/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
C Cindy Axne IRA ⇒ Facebook, Inc. - Class A (FB) [ST]		06/24/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
C Cindy Axne IRA ⇒ Fairfax Financial Holdings Ltd Ordinary Shares (FRFHF) [ST]		01/28/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
C Cindy Axne IRA ⇒ Illinois Tool Works Inc. (ITW) [ST]		03/11/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
C Cindy Axne IRA ⇒ Illinois Tool Works Inc. (ITW) [ST]		03/23/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
C Cindy Axne IRA ⇒ iShares TR Short Treasury BD (SHV) [EF]		10/27/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
C Cindy Axne IRA ⇒ JPMorgan Ultra-Short Income ETF (JPST) [EF]		06/25/2020	P	\$1,001 - \$15,000	
C Cindy Axne IRA ⇒ JPMorgan Ultra-Short Income ETF (JPST) [EF]		07/17/2020	P	\$1,001 - \$15,000	
C Cindy Axne IRA ⇒ Mastercard Incorporated (MA) [ST]		04/22/2020	P	\$1,001 - \$15,000	
C Cindy Axne IRA ⇒ Mastercard Incorporated (MA) [ST]		03/19/2020	P	\$1,001 - \$15,000	
C Cindy Axne IRA ⇒		07/17/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Parnassus Core Equity Fund Investor CL (PRBLX) [MF]					
Cindy Axne IRA ⇒ Parnassus Core Equity Fund Investor CL (PRBLX) [MF]		12/24/2020	P	\$1,001 - \$15,000	
Cindy Axne IRA ⇒ Parnassus Core Equity Fund Investor CL (PRBLX) [MF]		12/30/2020	P	\$1,001 - \$15,000	
C Cindy Axne IRA ⇒ PGIM Strategic Bond Fund CL Z (PUCZX) [MF]		11/13/2020	P	\$1,001 - \$15,000	
C Cindy Axne IRA ⇒ Post Holdings, Inc. (POST) [ST]		09/11/2020	P	\$1,001 - \$15,000	
C Cindy Axne IRA ⇒ Progressive Corporation (PGR) [ST]		06/25/2020	P	\$1,001 - \$15,000	
C Cindy Axne IRA ⇒ SS&C Technologies Holdings, Inc. (SSNC) [ST]		03/12/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
C Cindy Axne IRA ⇒ Starbucks Corporation (SBUX) [ST]		03/30/2020	P	\$1,001 - \$15,000	
C Cindy Axne IRA ⇒ Starbucks Corporation (SBUX) [ST]		06/22/2020	P	\$1,001 - \$15,000	
C Cindy Axne IRA ⇒ Stryker Corporation (SYK) [ST]		07/17/2020	P	\$1,001 - \$15,000	
C Cindy Axne IRA ⇒ Unilever PLC (UL) [ST]		12/16/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
C Cindy Axne IRA ⇒ Unilever PLC (UL) [ST]		01/28/2020	P	\$1,001 - \$15,000	
C Cindy Axne IRA ⇒ Visa Inc. (V) [ST]		11/23/2020	P	\$1,001 - \$15,000	
C Cindy Axne IRA ⇒ Visa Inc. (V) [ST]		04/22/2020	P	\$1,001 - \$15,000	
C Cindy Axne IRA ⇒ Visa Inc. (V) [ST]		03/19/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Cindy Axne IRA ⇒ Wells Fargo & Company (WFC) [ST]		12/24/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Cindy Axne IRA ⇒ Western Asset Core Plus Bond Fund CL I (WACPX) [MF]		11/13/2020	P	\$1,001 - \$15,000	
College Savings Iowa 529 Plan - 2 ⇒ Aggressive Age-Based Option: Blended Growth Portfolio [MF]	JT	07/6/2020	S	\$50,001 - \$100,000	<input type="checkbox"/>
College Savings Iowa 529 Plan - 2 ⇒ Aggressive Growth Age-Based Track: Moderate Growth [MF]	JT	07/6/2020	P	\$50,001 - \$100,000	
College Savings Iowa 529 Plan -1 ⇒ Aggressive Growth Age-Based Track: Conservative Growth Portfolio [MF]	JT	12/14/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
College Savings Iowa 529 Plan -1 ⇒ Aggressive Growth Age-Based Track: Conservative Growth Portfolio [MF]	JT	11/6/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
College Savings Iowa 529 Plan -1 ⇒ Aggressive Growth Age-Based Track: Conservative Growth Portfolio [MF]	JT	04/6/2020	P	\$50,001 - \$100,000	
College Savings Iowa 529 Plan -1 ⇒ Aggressive Growth Age-Based Track: Conservative Growth Portfolio [MF]	JT	07/24/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
College Savings Iowa 529 Plan -1 ⇒ Aggressive Growth Age-Based Track: Moderate Growth [MF]	JT	04/6/2020	S	\$50,001 - \$100,000	<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	04/17/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Creation Agents LLC 401K ⇒ American Tower Corporation (AMT) [ST]	SP	12/10/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Arch Capital Group Ltd. (ACGL) [ST]	SP	12/15/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ AutoZone, Inc. (AZO) [ST]	SP	12/30/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Creation Agents LLC 401K ⇒ Baird Core Plus Bond Fund Instl CL (BCOIX) [MF]	SP	07/13/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Becton, Dickinson and Company (BDX) [ST]	SP	03/9/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	SP	02/13/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	SP	07/13/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ CarMax Inc (KMX) [ST]	SP	04/1/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Cerner Corporation (CERN) [ST]	SP	02/27/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Clearbridge All Cap Growth ETF (CACG) [EF]	SP	02/13/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Clearbridge All Cap Growth ETF (CACG) [EF]	SP	02/27/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Clearbridge All Cap Growth ETF (CACG) [EF]	SP	12/10/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Dollar Tree, Inc. (DLTR) [ST]	SP	03/9/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Doubleline Flexible Income Fund CL I (DFLEX) [MF]	SP	11/13/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Facebook, Inc. - Class A (FB) [ST]	SP	06/24/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Creation Agents LLC 401K ⇒ iShares TR Short Treasury BD (SHV) [EF]	SP	10/27/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Johnson & Johnson (JNJ) [ST]	SP	04/21/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Creation Agents LLC 401K ⇒	SP	02/13/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Johnson & Johnson (JNJ) [ST]					
Creation Agents LLC 401K ⇒ JPMorgan Ultra-Short Income ETF (JPST) [MF]	SP	06/25/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Liberty Broadband Corporation - Class A (LBRDA) [ST]	SP	12/30/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Mastercard Incorporated (MA) [ST]	SP	06/25/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Mastercard Incorporated (MA) [ST]	SP	10/28/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Mastercard Incorporated (MA) [ST]	SP	03/19/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ O'Reilly Automotive, Inc. (ORLY) [ST]	SP	12/10/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Parnassus Core Equity Fund Investor CL (PRILX) [MF]	SP	07/13/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Parnassus Core Equity Fund Investor CL (PRILX) [MF]	SP	12/30/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ PGIM Strategic Bond Fund CL Z (PUCZX) [MF]	SP	11/13/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Post Holdings, Inc. (POST) [ST]	SP	09/11/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Principal Blue Chip Fund Instl CL (PBCKX) [MF]	SP	10/28/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Principal Blue Chip Fund Instl CL (PBCKX) [MF]	SP	12/24/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Progressive Corporation (PGR) [ST]	SP	12/10/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ SS&C Technologies Holdings, Inc. (SSNC) [ST]	SP	03/12/2020	S	\$1,001 - \$15,000	□

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Creation Agents LLC 401K ⇒ Starbucks Corporation (SBUX) [ST]	SP	03/30/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Starbucks Corporation (SBUX) [ST]	SP	06/22/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Stryker Corporation (SYK) [ST]	SP	07/13/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Unilever PLC (UL) [ST]	SP	12/11/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Unilever PLC (UL) [ST]	SP	12/16/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Unilever PLC (UL) [ST]	SP	01/28/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Visa Inc. (V) [ST]	SP	03/19/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Visa Inc. (V) [ST]	SP	06/25/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Visa Inc. (V) [ST]	SP	10/28/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Wells Fargo & Company (WFC) [ST]	SP	12/24/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Creation Agents LLC 401K ⇒ Wells Fargo & Company (WFC) [ST]	SP	02/13/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Western Asset Core Plus Bond Fund CL I (WACPX) [MF]	SP	09/29/2020	P	\$1,001 - \$15,000	
Creation Agents LLC 401K ⇒ Western Asset Core Plus Bond Fund CL I (WACPX) [MF]	SP	11/13/2020	P	\$1,001 - \$15,000	
John Axne IRA ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	04/17/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
John Axne IRA ⇒ AutoZone, Inc. (AZO) [ST]	SP	12/30/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
John Axne IRA ⇒ Becton, Dickinson and Company (BDX) [ST]	SP	03/9/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
John Axne IRA ⇒ Cerner Corporation (CERN) [ST]	SP	02/27/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
John Axne IRA ⇒ Clearbridge All Cap Growth ETF (CACG) [EF]	SP	02/27/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
John Axne IRA ⇒ Dollar Tree, Inc. (DLTR) [ST]	SP	03/6/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
John Axne IRA ⇒ Dollar Tree, Inc. (DLTR) [ST]	SP	03/9/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
John Axne IRA ⇒ Doubleline Flexible Income Fund CL I (DFLEX) [MF]	SP	11/13/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
John Axne IRA ⇒ Facebook, Inc. - Class A (FB) [ST]	SP	06/24/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
John Axne IRA ⇒ Fairfax Financial Holdings Ltd Ordinary Shares (FRFHF) [ST]	SP	01/28/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
John Axne IRA ⇒ Illinois Tool Works Inc. (ITW) [ST]	SP	03/11/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
John Axne IRA ⇒ iShares TR Short Treasury BD (SHV) [EF]	SP	10/27/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
John Axne IRA ⇒ Johnson & Johnson (JNJ) [ST]	SP	02/13/2020	P	\$1,001 - \$15,000	
John Axne IRA ⇒ JPMorgan Ultra-Short Income ETF (JPST) [MF]	SP	06/25/2020	P	\$1,001 - \$15,000	
John Axne IRA ⇒ Mastercard Incorporated (MA) [ST]	SP	04/22/2020	P	\$1,001 - \$15,000	
John Axne IRA ⇒ PGIM Strategic Bond Fund CL Z (PUCZX) [MF]	SP	11/13/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
John Axne IRA ⇒ Post Holdings, Inc. (POST) [ST]	SP	09/11/2020	P	\$1,001 - \$15,000	
John Axne IRA ⇒ Principal Blue Chip Fund Instl CL (PBCKX) [MF]	SP	07/17/2020	P	\$1,001 - \$15,000	
John Axne IRA ⇒ Principal Blue Chip Fund Instl CL (PBCKX) [MF]	SP	12/24/2020	P	\$1,001 - \$15,000	
John Axne IRA ⇒ SS&C Technologies Holdings, Inc. (SSNC) [ST]	SP	03/12/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
John Axne IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	03/30/2020	P	\$1,001 - \$15,000	
John Axne IRA ⇒ Stryker Corporation (SYK) [ST]	SP	07/17/2020	P	\$1,001 - \$15,000	
John Axne IRA ⇒ Unilever PLC (UL) [ST]	SP	01/28/2020	P	\$1,001 - \$15,000	
John Axne IRA ⇒ Unilever PLC (UL) [ST]	SP	12/16/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
John Axne IRA ⇒ Visa Inc. (V) [ST]	SP	11/23/2020	P	\$1,001 - \$15,000	
John Axne IRA ⇒ Wells Fargo & Company (WFC) [ST]	SP	12/24/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
John Axne IRA ⇒ Wells Fargo & Company (WFC) [ST]	SP	02/13/2020	P	\$1,001 - \$15,000	
John Axne IRA ⇒ Western Asset Core Plus Bond Fund CL I (WACPX) [MF]	SP	11/13/2020	P	\$1,001 - \$15,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Creation Agents, LLC	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
May 2017	Creation Agents, LLC & Cynthia Axne	Leave of Absence
April 2005	Cynthia Axne and the State of Iowa - 457 Employee Deferred Compensation Program	Defined contribution plan that provides service retirement benefits.

COMMENTS: Left State of Iowa employment in 2014

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Cindy and John Axne Joint Account (Owner: JT)
- Cindy Axne IRA
- College Savings Iowa 529 Plan - 2 (Owner: JT)
LOCATION: IA
- College Savings Iowa 529 Plan -1 (Owner: JT)
LOCATION: IA
- Creation Agents LLC 401K (Owner: SP)
- John Axne IRA (Owner: SP)
- State of Iowa Deferred Comp Program

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

COMMENTS

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Cindy Axne , 10/8/2021