

HAND DELIVERED

Page 1 of 12

UNITED STATES HOUSE OF REPRESENTATIVES

2020 FINANCIAL DISCLOSURE STATEMENT

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M

Name: Douglas L Lamborn Daytime Telephone:

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>CO</u> District: <u>5</u>	Officer or Employee	Employing Office: _____	Staff Filer Type: (If Applicable) <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant <input type="checkbox"/>
REPORT TYPE	<input checked="" type="checkbox"/> 2020 Annual (Due: May 17, 2021)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination:	

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <input checked="" type="checkbox"/> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gifts) totaling more than \$415 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$415 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"			

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

IPO – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.

TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committees on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?

EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes No

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: Douglas L. Lamborn

Page 2 of 12

Use additional sheets if more space is required.

SCHEDULE A – ASSETS & "UNEARNED"

Name: **Douglas L. Lamborn**

Page **3** of **12**

ASSET NAME ID#	BLOCK A Assets and/or Income Sources	BLOCK B Value of Asset	BLOCK C Type of Income												BLOCK D Amount of Income	BLOCK E Transaction
			A	B	C	D	E	F	G	H	I	J	K	L		
SP Nethapp Inc. Stock		None														
SP NetApp Inc. Options		\$1-\$10,000														
SP 2 Jan 17 calls @ 63		\$10,001-\$15,000														
SP 2 June 17 calls @ 425		\$15,001-\$50,000														
SP 2 July 10 calls @ 75		\$50,001-\$100,000														
SP 2 July 10 calls @ 44		\$100,001-\$250,000														
SP 1 July 31 calls @ 44		\$250,001-\$1,000,000														
SP 1 July 31 calls @ 44		Over \$1,000,000														
SP 2 Aug 14 calls @ 44		Spouse/DC Asset over \$1,000,000*														
SP 2 Oct 30 calls @ 45		NONE														
SP 2 Sept 18 calls @ 45		DIVIDENDS														
SP 2 Oct 9 calls @ 44		RENT														
SP 2 Oct 30 calls @ 45		INTEREST														
SP 2 Nov 13 calls @ 46		CAPITAL GAINS														
SP 2 Dec 4 calls @ 52.5		EXCEPTED/BLIND TRUST														
SP 2 Dec 24 calls @ 64		TAX-DEFERRED														
		Other Type of Income (Specify e.g. Partnership Income or Farm Income)														
		None	-													
		\$1-\$200														
		\$201-\$1,000														
		\$1,001-\$2,500														
		\$2,501-\$5,000														
		\$5,001-\$15,000														
		\$15,001-\$50,000														
		\$50,001-\$100,000														
		\$100,001-\$1,000,000														
		\$1,000,001-\$5,000,000														
		Over \$5,000,000														
		Spouse/DC Asset with Income over \$1,000,000*														
		P.S. Signature														

SCHEDULE A - ASSETS & "UNEARNED"

Name: Douglas L. Lamborn

Page 4 of 12

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED"

Name: Douglas L. Lamborn

Page 5 of 12

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset												BLOCK C Type of Income												
SP. ID.	ASSET NAME	A	B	C	D	L	F	G	H	I	J	K	L	M												
	Not App Tax Stock	None																								
	2 Jan 17 calls @ 63	\$1-\$1,000																								
	2 June 12 calls @ 47.5	\$1,001-\$15,000																								
	23 July 10 calls @ 44.5	\$15,001-\$50,000																								
	23 July 24 calls @ 44	\$50,001-\$100,000																								
	2 July 3 calls @ 44	\$100,001-\$250,000																								
	2 Aug 14 calls @ 44	\$250,001-\$500,000																								
	2 Sept 4 calls @ 45	\$500,001-\$1,000,000																								
	2 Sept 18 calls @ 45	\$1,000,001-\$5,000,000																								
	2 Oct 9 calls @ 44	\$5,000,001-\$25,000,000																								
	2 Oct 30 calls @ 46.5	\$25,000,001-\$50,000,000																								
	2 Nov 13 calls @ 46	Over \$50,000,000																								
	2 Dec 4 calls @ 52.5	Spouse/DC Asset over \$1,000,000 ^a																								
	1 Dec 24 calls @ 64	NONE																								
	1 Dec 24 calls @ 64	DIVIDENDS																								
	23 Jan 9 calls @ 66.5	RENT																								
		INTEREST																								
		CAPITAL GAINS																								
		EXCEPTED/BLIND TRUST																								
		TAX-DEFERRED																								
		Other Type of Income (Specify, e.g. Partnership Income or Farm Income)																								
BLOCK D Amount of Income		I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	XIII												
		None																								
		\$1-\$200																								
		\$201-\$1,000																								
		\$1,001-\$2,500																								
		\$2,501-\$5,000																								
		\$5,001-\$15,000																								
		\$15,001-\$50,000																								
		\$50,001-\$100,000																								
		\$100,001-\$1,000,000																								
		\$1,000,001-\$5,000,000																								
		Over \$5,000,000																								
		Spouse/DC Asset w/ income over \$1,000,000 ^a																								
BLOCK E Transaction		P.S. (Sign), or E																								

SCHEDULE A - ASSETS & "UNEARNED"

Name: Douglas L. Lamborn

Page 6 of 12

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset												BLOCK C Type of Income		BLOCK D Amount of Income												BLOCK E Transaction	
SP #	ASSET NAME	E/F	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	V	W	X	Y	Z	XII	
SP	Not Applicable																												
SP	Net 4 mos. Inc. options -																												
SP	2 Jan 17 calls @ 63																												
SP	2 Jun 17 calls @ 47.5																												
SP	2 July 10 calls @ 44.5																												
SP	2 July 24 calls @ 44																												
SP	2 July 31 calls @ 44																												
SP	2 Aug 14 calls @ 44																												
SP	2 Sept 4 calls @ 45																												
SP	2 Sept 18 calls @ 45																												
SP	1 Oct 9 calls @ 44																												
SP	1 Oct 9 calls @ 44																												
SP	2 Oct 30 calls @ 46.5																												
SP	2 Nov 13 calls @ 46																												
SP	2 Dec 4 calls @ 52.5																												
SP	1 Dec 24 calls @ 64																												

Use additional sheets if more space is required.

SP-Ameritrade
IRA

P,S

P,S,Spart, or E

SCHEDULE A - ASSETS & "UNEARNED"

Name: Douglas L. Lamborn

Page 7 of 12

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset												BLOCK C Type of Income												BLOCK D Amount of Income												BLOCK E Transaction																																																																																																																																					
SP.	OC.	ASSET NAME	EF	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z	AA	BB	CC	DD	EE	FF	GG	HH	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII																																																																																																																											
SP	1 Dec 24	Safe 64	X X	None												\$1-\$1,000												\$1,001-\$15,000												\$15,001-\$50,000												\$50,001-\$100,000												\$100,001-\$250,000												\$250,001-\$500,000												\$500,001-\$1,000,000												\$1,000,001-\$5,000,000												\$5,000,001-\$25,000,000												\$25,000,001-\$50,000,000												Over \$50,000,000												Spouse/DC Asset over \$1,000,000*																							
SP	1 Jan 8	Safe 66.5	X X	NONE												DIVIDENDS												RENT												INTEREST												CAPITAL GAINS												EXCEPTED/BLIND TRUST												TAX-DEFERRED												Other Type of Income (Specify e.g., Partnership Income or Farm Income)																																																																																			
SP	1 Jan 8	Safe 66.5	X X	None												\$1-\$200												\$201-\$1,000												\$1,001-\$2,500												\$2,501-\$5,000												\$5,001-\$15,000												\$15,001-\$50,000												\$50,001-\$100,000												\$100,001-\$1,000,000												\$1,000,001-\$5,000,000												Over \$5,000,000												Spouse/DC Asset with income over \$1,000,000*																																			
				P, S, SP&H, or E																																																																																																																																																																							

SCHEDULE B – TRANSACTIONS

Name: Douglas L. Lamborn

Page 8 of 12

Report any purchases, sales, or exchanges transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence unless it generated rental income. If only a portion of an asset is sold, please choose "Partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "Capital Gain" box, unless it was an undelivered account, and indicate the capital gain income on Schedule A.

*Column K is for assets sold/held by your spouse or dependent child.

SP, DC, JT

Asset

Value

Year

Gain

Loss

Yield

Rate

Interest

Dividends

Capital

Gains

Losses

Other

Income

Interest

Dividends

Capital

Gains

Losses

Other

Income
Interest
Dividends
Capital
Gains
Losses
Other

Income

Interest

Dividends

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SCHEDULE B – TRANSACTIONS

Name: Douglas L. Leibborn

Page 10 of 12

Report any purchases, sales, or exchange transactions that exceeded \$1,000 in the reporting period of: *any* **real property held by you, your spouse, or your dependent children or investment or the production of income.** **Include transactions that resulted in a capital loss.** Provide a brief description of an exchange transaction. **Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income.** If only a portion of an asset is sold, please choose **partial sale** in the type of transaction.

check the 'cancel gains' box, unless I want an asset in a tax-deferred account dictated by capital gain income on Schedule A.

* Column K is for assets held by your spouse or dependent child.

Type of Transaction
Purchase
Sale
Partial Sale
Exchange

SCHEDULE C – EARNED INCOME

Name: Douglas L. Lamborn

Page 11 of 12

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

Source (include date of receipt for honoraria)	Type	Amount
Karen Shatz State of Maryland Court War Boundaries (Dec 21)	Authorized Teaching Fee Legislative Payment	\$8,000 \$1,000
Oxford County Board of Education	Spouse Salary	N/A
Lamborn for Congress	Spouse hourly fee for bookkeeping and compliance	N/A
Lamborn Acres, LLC	Farm sales	\$5,939

Use additional sheets if more space is required.

SCHEDULE D – LIABILITIES

Name: Douglas LeLomborn

Page 12 of 12

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. **Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000.** *Column K is for liabilities held solely by your spouse or dependent child.

Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability								
			A	B	C	D	E	F	G	H	
Example	First Bank of Wilmington, DE	5/20	Mortgage on Rental Property, Dover, DE	\$10,001-\$15,000							
SP	Amex Trade	5/11		\$16,001-\$60,000							
JT	Wells Fargo	10/19	Line of credit	X	\$50,001-\$100,000						
				X	\$100,001-\$250,000						
				X	\$250,001-\$500,000						
					\$500,001-\$1,000,000						
						\$1,000,001-\$5,000,000					
						\$5,000,001-\$25,000,000					
						\$25,000,001-\$50,000,000					
						Over \$50,000,000					
						Over \$1,000,000* (Spouse/DC Inhabited)					

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Use additional sheets if more space is required.