



Filing ID #10040523

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Tom Cole  
**Status:** Member  
**State/District:** OK04

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2020  
**Filing Date:** 07/29/2021

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
10 Acres of land in Johnson County, Owned with Brother [RP]		\$1,001 - \$15,000	None		<input type="checkbox"/>
LOCATION: Johnson County, OK, US					
457 Sooner Savings ⇒ American Century Income and Growth Fund [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
457 Sooner Savings ⇒ BGI SDP Stock Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
457 Sooner Savings ⇒ Columbia Acorn Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
457 Sooner Savings ⇒ Dreyfus Premium Leader A Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
457 Sooner Savings ⇒ Europacific A Growth Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
457 Sooner Savings ⇒ Janus Small Cap Value Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
457 Sooner Savings ⇒ T Rowe Price Balanced Fund [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
457 Sooner Savings ⇒ T Rowe Price Blue Chip Growth Fund [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Alliant Energy Corporation (LNT) [ST]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Becton, Dickinson and Company (BDX) [ST]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Burke & Herbert Money Market Fund [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Cole, Hargrave and Snodgrass [OL]		\$250,001 - \$500,000	Capital Gains, Dividends, Management Fee	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Moore, OK, US					
DESCRIPTION: Polling, Political Campaign Consulting Company					
Cole, Hargrave, Snodgrass 401(K) ⇒ Credit Suisse Capital Appreciation Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Franklin Balance Stock Investment Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Franklin Income Fund A [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Franklin MSF Mutual Share Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Franklin Small/Med Cap Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Lord Abbott Core Fixed Income Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Lord Abbott Mid Cap Value Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Oppenheimer Global Fund Class A [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Cole, Hargrave, Snodgrass 401(K) ⇒ Oppenheimer Main Street Fund Class A [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Pimco High Yield Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Putnam International Equity Fund A [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Strong Government Securities Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Templeton Foreign Fund A [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Vankemp Common Stock Fund A [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Duke Energy Corporation (DUK) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Ellen Cole's 401(k) ⇒ Fidelity Diversified International Fund [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Ellen Cole's 401(k) ⇒ Fidelity Spartan 500 Index Fund [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Ellen Cole's 401(k) ⇒ Fidelity Total Bond Fund [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Ellen's Fidelity IRA ⇒ Fidelity Contrafund Fund [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Ellen's Fidelity IRA ⇒ Fidelity Diversified International Stock Fund [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Ellen's Fidelity IRA ⇒ Fidelity Spartan 500 Index Fund [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Ellen's Fidelity IRA ⇒ Fidelity Total Bond Fund [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Enbridge Inc (ENB) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Exxon Mobil Corporation (XOM) [ST]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Fidelity Contrafund [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Fidelity Spartan 500 Index Fund [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Fidelity Variable Annuity ⇒ Fidelity Freedom 2020 Fund [MF]	JT	\$250,001 - \$500,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Johnson Controls, Inc. (JCI) [ST]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Mid First Money Market Fund [BA]	JT	\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Personal Residence [RP]	JT	\$100,001 - \$250,000	None		<input type="checkbox"/>
LOCATION: Moore / Cleveland, OK, US					
Strategic Communications (Moore) Stock [PS]		\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Owns Phone Bank Operated in Moore, Oklahoma					
T. Rowe Price Equity Income Fund [MF]	JT	\$250,001 - \$500,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Tom's Federal Thrift Savings Plan ⇒ C Fund [IH]		\$500,001 - \$1,000,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Tom's Federal Thrift Savings Plan ⇒ F Fund [IH]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Tom's Federal Thrift Savings Plan ⇒ G Fund [IH]		\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Tom's Federal Thrift Savings Plan ⇒ I Fund [IH]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Tom's Fidelity IRA ⇒ Fidelity Contrafund Fund [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Tom's Fidelity IRA ⇒ Fidelity Diversified International Fund [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Tom's Fidelity IRA ⇒ Fidelity Spartan 500 Index Fund [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Tom's Fidelity IRA ⇒ Fidelity Total Bond Fund [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Tronox Limited Ordinary Shares Class A \$0.01 par (TROX) [ST]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Vanguard Dividend Growth Fund [MF]	JT	\$250,001 - \$500,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Vanguard High Yield Tax Exempt Fund [MF]	JT	\$500,001 - \$1,000,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard Total International Stock Fund [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Vanguard Total Stock Market Index Fund [MF]	JT	\$500,001 - \$1,000,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Walgreen Co. (WAG) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Wells Fargo & Company (WFC) [ST]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
457 Sooner Savings ⇒ American Century Income and Growth Fund [MF]		Semi-Annually	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
457 Sooner Savings ⇒ BGI SDP Stock Fund [MF]		Semi-Annually	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
457 Sooner Savings ⇒		Semi-Annually	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Columbia Acorn Fund [MF]				(partial)	
457 Sooner Savings ⇒ Dreyfus Premium Leader A Fund [MF]		Semi-Annually	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
457 Sooner Savings ⇒ Europacific A Growth Fund [MF]		Semi-Annually	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
457 Sooner Savings ⇒ Janus Small Cap Value Fund [MF]		Semi-Annually	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
457 Sooner Savings ⇒ T. Rowe Price Balanced Fund [MF]		Semi-Annually	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
457 Sooner Savings ⇒ T. Rowe Price Blue Chip Growth Fund [MF]		Semi-Annually	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Alliant Energy Corporation (LNT) [ST]	SP	Quarterly	P	\$1,001 - \$15,000	
Cole, Hargrave, Snodgrass 401K [MF]		Semi-Annually	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Duke Energy Corporation (DUK) [ST]	JT	Quarterly	P	\$1,001 - \$15,000	
Ellen's Fidelity IRA [IR]	SP	Monthly	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Exxon Mobil Corporation (XOM) [ST]	JT	Quarterly	P	\$1,001 - \$15,000	
Fidelity Variable Annuity ⇒ Fidelity Freedom 2020 Fund [MF]	JT	Monthly	P	\$1,001 - \$15,000	
Johnson Controls International plc Ordinary Share (JCI) [ST]	JT	Quarterly	P	\$1,001 - \$15,000	
T. Rowe Price Equity Income Fund [MF]	JT	Monthly	P	\$1,001 - \$15,000	
Tom's Federal Thrift Savings Plan ⇒ C Fund [MF]		Monthly	P	\$1,001 - \$15,000	
Tom's Federal Thrift Savings Plan ⇒ F Fund [MF]		Monthly	P	\$1,001 - \$15,000	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Tom's Federal Thrift Savings Plan ⇒ G Fund [MF]		Monthly	P	\$1,001 - \$15,000	
Tom's Federal Thrift Savings Plan ⇒ I Fund [MF]		Monthly	P	\$1,001 - \$15,000	
Tom's Fidelity IRA [IR]		Monthly	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard Dividend Growth Fun [MF]	JT	Quarterly	P	\$1,001 - \$15,000	
Vanguard High Yield Tax Exempt Fund [MF]	JT	Monthly	P	\$1,001 - \$15,000	
Vanguard Total International Stock Market Fund [MF]	JT	Quarterly	P	\$1,001 - \$15,000	
Vanguard Total Stock Market Index Fund [MF]	JT	Quarterly	P	\$1,001 - \$15,000	
Wells Fargo & Company (WFC) [ST]	JT	Quarterly	P	\$1,001 - \$15,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

#### **SCHEDULE C: EARNED INCOME**

<b>Source</b>	<b>Type</b>	<b>Amount</b>
Cole, Hargrave, Snodgrass and Associates	Management Fee	\$20,000.00

#### **SCHEDULE D: LIABILITIES**

None disclosed.

#### **SCHEDULE E: POSITIONS**

<b>Position</b>	<b>Name of Organization</b>
Partner	Strategic Communications
Partner	Cole, Hargrave, Snodgrass and Associates
Board of Trustees	United States Capitol Historical Society

#### **SCHEDULE F: AGREEMENTS**

Date	Parties To	Terms of Agreement
April 2003	Cole, Hargrave, Snodgrass and Associates	Quarterly Management Fee of \$5,000 from personal business (Approved by Committee on Standards)

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- 457 Sooner Savings
- Cole, Hargrave, Snodgrass 401(K)
- Ellen Cole's 401(k) (Owner: SP)
- Ellen's Fidelity IRA (Owner: SP)
- Fidelity Variable Annuity (Owner: JT)
- Tom's Federal Thrift Savings Plan  
LOCATION: US
- Tom's Fidelity IRA

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Tom Cole , 07/29/2021