



Filing ID #10037784

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Ed Perlmutter  
**Status:** Member  
**State/District:** CO07

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2019  
**Filing Date:** 08/12/2020

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Alphabet Inc. - Class A (GOOGL) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Amazon.com, Inc. (AMZN) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Apple Inc. (AAPL) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Apple Inc. (AAPL) [ST]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Blackrock Taxable Muni Fund [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Boeing Company (BA) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Congressional Federal Credit Union [BA]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Deep Value ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Doubleline Flexible Income [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Doubleline Total Return [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Facebook, Inc. - Class A (FB) [ST]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Fidelity MSCI Real Estate Fund [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Individual Retirement Account ⇒ Doubleline Flexible Income [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Individual Retirement Account ⇒ DoubleLine Total Return [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Individual Retirement Account ⇒ Invesco Powershares Dynamic Large Cap [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Individual Retirement Account ⇒ Invesco QQQ Trust, Series 1 (QQQ) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Individual Retirement Account ⇒ Ishares US Preferred Stock [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Individual Retirement Account ⇒ Medical Properties Trust, Inc. common stock (MPW) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Individual Retirement Account ⇒ Pimco Income Fund [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Individual Retirement Account ⇒ SPDR Series Trust S&P 500 [EF]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Invesco China Technology ETF (CQQQ) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Invesco ETF SR LN [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Invesco QQQ Trust, Series 1 (QQQ) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Invesco S&P Pure Value [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
iShares Morningstar Mid-Cap ETF (JKG) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Ishares US Preferred Stock [EF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kinder Morgan, Inc. (KMI) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Kroger Company (KR) [ST]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
LAP, Inc ⇒ GlaxoSmithKline PLC (GSK) [ST]		\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LAP, Inc ⇒ Piper Jaffray Companies (PJC) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LAP, Inc ⇒ RBC Wealth Management Money Market [BA]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LAP, Inc ⇒ Stanley Black & Decker, Inc. (SWK) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LAP, Inc ⇒ US Bancorp Del DEPOSITARY SH S G (USB\$N) [ST]		\$50,001 - \$100,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LAP, Inc ⇒ Working Interest in 7 oil and gas wells [OL]		\$1,001 - \$15,000	Oil and Gas revenue	\$2,501 - \$5,000	<input type="checkbox"/>
LOCATION: Weld County, CO, US DESCRIPTION: Seven oil and gas wells in Weld County Colorado					
NASDAQ 100 Technology ETF [EF]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Pimco Income Fund [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Powershares Dynamic Large Cap [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
RBC Wealth Management Money Market [BA]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SPDR S&P 500 (SPY) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SPDR S&P GBLINF ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SPDR Series Trust S&P [EF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SPDR Series Trust SPDR Portfolio S&P 500 Value ETF (SPYV) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Stanley Black & Decker, Inc. (SWK) [ST]		\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
TD Ameritrade Money Market (Scottrade) [BA]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
U.S. Bancorp (USB) [ST]		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard Financials ETF [EF]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Vanguard Health Care ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Vanguard REIT [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Verizon Communications Inc. (VZ) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Walgreens Boots Alliance, Inc. (WBA) [ST]	SP	None	Dividends	\$1 - \$200	<input type="checkbox"/>
Wells Fargo Checking [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo Checking [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo Money Market Savings [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo Savings [BA]		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
ZLDR, LLC [OT]		\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: 25% interest in ZLDR partnership owning 95 acres +/- in Evanston, Wyoming					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	06/6/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Altria Group, Inc. (MO) [ST]		01/18/2019	P	\$15,001 - \$50,000	
Altria Group, Inc. (MO) [ST]		02/14/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
Amazon.com, Inc. (AMZN) [ST]	SP	06/5/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Amazon.com, Inc. (AMZN) [ST]	SP	01/23/2019	P	\$1,001 - \$15,000	
Apple Inc. (AAPL) [ST]	SP	01/15/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Apple Inc. (AAPL) [ST]	SP	11/5/2019	P	\$1,001 - \$15,000	
BlackRock Taxable Municipal Bond Trust Common Shares of Beneficial Interest (BBN) [ST]	SP	01/24/2019	P	\$1,001 - \$15,000	
Boeing Company (BA) [ST]	SP	04/18/2019	P	\$1,001 - \$15,000	
Facebook, Inc. - Class A (FB) [ST]	SP	06/21/2019	P	\$1,001 - \$15,000	
First Trust NASDAQ-100- Technology Index Fund (QTEC) [ST]	SP	05/15/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Individual Retirement Account ⇒ Invesco QQQ Trust, Series 1 (QQQ) [ST]	SP	10/28/2019	P	\$1,001 - \$15,000	
Individual Retirement Account ⇒ Medical Properties Trust, Inc. common stock (MPW) [ST]	SP	01/1/2019	P	\$1,001 - \$15,000	
Individual Retirement Account ⇒ SPDR NYSE Technology ETF (XNTK) [EF]	SP	06/12/2019	P	\$1,001 - \$15,000	
Individual Retirement Account ⇒ SPDR NYSE Technology ETF (XNTK) [EF]	SP	08/16/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Individual Retirement Account ⇒ SPDR Series Trust SPDR Portfolio S&P 500 High Dividend ETF (SPYD) [ST]	SP	05/15/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Invesco China Technology ETF (CQQQ) [ST]	SP	10/31/2019	P	\$1,001 - \$15,000	
Invesco QQQ Trust, Series 1 (QQQ) [ST]	SP	10/28/2019	P	\$1,001 - \$15,000	
iShares Morningstar Mid-Cap ETF (JKG) [ST]	SP	11/6/2019	P	\$1,001 - \$15,000	
Kroger Company (KR) [ST]	SP	08/28/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
LAP, Inc ⇒ U.S. Bancorp (USB) [ST]		07/31/2019	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SPDR NYSE Technology ETF (XNTK) [ST]	SP	06/11/2019	P	\$1,001 - \$15,000	
SPDR NYSE Technology ETF (XNTK) [ST]	SP	08/16/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
SPDR S&P 500 (SPY) [ST]	SP	11/12/2019	P	\$1,001 - \$15,000	
SPDR Series Trust SPDR Portfolio S&P 500 Growth ETF (SPYG) [ST]	SP	07/15/2019	P	\$1,001 - \$15,000	
SPDR Series Trust SPDR Portfolio S&P 500 Growth ETF (SPYG) [ST]	SP	08/16/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
SPDR Series Trust SPDR Portfolio S&P 500 High Dividend ETF (SPYD) [ST]	SP	05/15/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
SPDR Series Trust SPDR Portfolio S&P 500 Value ETF (SPYV) [ST]	SP	07/15/2019	P	\$1,001 - \$15,000	
SPDR Series Trust SPDR Portfolio S&P 500 Value ETF (SPYV) [ST]	SP	08/28/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Stanley Black & Decker, Inc. (SWK) [ST]		01/18/2019	P	\$100,001 - \$250,000	
Stanley Black & Decker, Inc. (SWK) [ST]		07/30/2019	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
U.S. Bancorp (USB) [ST]		01/18/2019	P	\$100,001 - \$250,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
U.S. Bancorp (USB) [ST]		04/11/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
U.S. Bancorp (USB) [ST]		07/26/2019	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Vanguard Financials ETF (VFH) [ST]	SP	05/15/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Walgreens Boots Alliance, Inc. (WBA) [ST]	SP	04/5/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

None disclosed.

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Amerihome Mortgage	October 2019	Home Mortgage	\$250,001 - \$500,000

### SCHEDULE E: POSITIONS

Position	Name of Organization
Member	ZLDR Ventures, LLC
Shareholder-officer	Lap, Inc

### SCHEDULE F: AGREEMENTS

None disclosed.

### SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- Individual Retirement Account (Owner: SP)
- LAP, Inc (10% Interest)  
LOCATION: US

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Ed Perlmutter , 08/12/2020