

UNITED STATES HOUSE OF REPRESENTATIVES 2019 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

POSTMARK ILLEGIBLE 1 of 17

20 AUG 10 AM 10:37 (Offices Only)

MC

Name: Douglas L. Lamborn Daytime Telephone: 202-225-4422

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>CO</u> District: <u>5</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____	Staff Filer Type: (If Applicable) <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant
REPORT TYPE	<input checked="" type="checkbox"/> 2019 Annual (Due: May 15, 2020)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____	

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "Yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "Yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Douglas L. Lamborn

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	<i>SP - Ameritrade margin acct</i>																																			
SP	MetApp Inc. stock																																			P.S
SP	MetApp Inc. options-																																			
SP	3 Jan. 11 call @ 59.5																																			
SP	3 Jan. 25 call @ 63																																			
SP	3 Feb. 1 call @ 63																																			
SP	3 Feb. 8 call @ 67																																			
SP	3 Feb. 15 call @ 67																																			
SP	3 Mar. 8 call @ 66.5																																			
SP	3 Mar. 22 call @ 66																																			
SP	3 Mar. 29 call @ 66.5																																			
SP	3 Apr. 12 call @ 70																																			
SP	3 Apr. 26 call @ 75.5																																			
SP	3 May 24 call @ 71																																			
SP	3 June 21 call @ 62																																			
SP	3 June 28 call @ 62																																			
SP	3 July 12 call @ 60.5																																			

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Douglas L. Lamborn

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	SP - Ameritrade margin acct (cont)																																			
SP	3 July 19 calls @ 60.5	X																	X				X													5
SP	3 Sept 13 calls @ 49	X																	X				X													5
SP	2 Sept 20 calls @ 57	X																	X				X													5
SP	2 Nov 1 calls @ 56	X																	X				X													5
SP	2 Nov 8 calls @ 58	X																	X				X													5
SP	2 Nov 15 calls @ 60	X																	X				X													5
SP	2 Nov 22 calls @ 62	X																	X				X													5
SP	2 Dec 13 calls @ 61.5	X																	X				X													5
SP	2 Dec 20 calls @ 63.5	X																	X				X													5

SCHEDULE A -- ASSETS & "UNEARNED INCOME"

Name: Douglas L. Lomborn

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BLOCK A Assets and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction			
SP, DC, JT	ASSET NAME	None	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	P, S, S(part), or E	
	<u>Ameritrade IRA</u>																																				
	Net App, Inc. stock																																				
	Net App, Inc. options																																				
	1 Jan. 11 call @ 54.5																																				
	1 Jan. 25 call @ 63																																				
	1 Feb. 1 call @ 63																																				
	1 Feb. 8 call @ 67																																				
	1 Feb. 27 call @ 67																																				
	1 Mar. 8 call @ 66.5																																				
	1 Mar. 8 call @ 66.5																																				
	2 Mar. 22 call @ 66																																				
	2 Mar. 29 call @ 66.5																																				
	2 Apr. 12 call @ 70																																				
	1 Apr. 26 call @ 79.5																																				
	2 May 24 call @ 71																																				
	2 June 21 call @ 62																																				
	2 June 28 call @ 62																																				

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Douglas L. Lamborn

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction			
SP, DC, JT	ASSET NAME	None	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	P, S, S(part), or E	
	<i>Annuity IRA (cont.)</i>																																			
	2 July 12 calls @ 60.5	X																	X																	Y
	2 July 19 calls @ 60.5	X																	X																	Y
	2 Sept 13 calls @ 49	X																	X																	Y
	2 Sept 20 calls @ 57	X																	X																	Y
	2 Nov 1 calls @ 56	X																	X																	Y
	2 Nov 8 calls @ 58	X																	X																	Y
	2 Nov 15 calls @ 60	X																	X																	Y
	2 Nov 22 calls @ 62	X																	X																	Y
	2 Dec 13 calls @ 61.5	X																	X																	Y
	2 Dec 20 calls @ 63.5	X																	X																	Y

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Douglas L. Lamborn

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction					
SP, DC, JT	ASSET NAME	None	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify e.g., Partnership Income or Farm Income)	None	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E		
	<u>SP - Ameritrade</u> <u>IRA</u>																																						
	SP Net App. Inc. stock																																						
	SP Net App. Inc. options																																						
	SP 1 Jan. 1 call @ 59.5																																						
	SP 1 Jan. 25 call @ 63																																						
	SP 1 Feb. 1 call @ 63																																						
	SP 2 Feb. 8 call @ 67																																						
	SP 2 Feb. 15 call @ 67																																						
	SP 2 Mar. 8 call @ 66.5																																						
	SP 2 Mar. 22 call @ 66.5																																						
	SP 2 Mar. 29 call @ 66.5																																						
	SP 2 Apr. 12 call @ 70																																						
	SP 1 Apr. 26 call @ 75.5																																						
	SP 2 May 24 call @ 71																																						
	SP 2 Jun 21 call @ 62																																						
	SP 2 Jun 28 call @ 62																																						
	SP 2 July 12 call @ 60.5																																						

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Douglas L. Lamberon

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
	SP - Ameritrade IRA (cont.)																																			
SP	2 July 19 calls @ 60.5	X																	X	X	X	X														W
SP	2 Sept 13 calls @ 49	X																	X	X	X	X														W
SP	2 Sept 20 calls @ 57	X																	X	X	X	X														W
SP	2 Nov 1 calls @ 56	X																	X	X	X	X														W
SP	2 Nov 8 calls @ 58	X																	X	X	X	X														W
SP	1 Nov 15 calls @ 60	X																	X	X	X	X														W
SP	1 Nov 15 calls @ 60	X																	X	X	X	X														W
SP	2 Nov 22 calls @ 62	X																	X	X	X	X														W
SP	2 Dec 13 calls @ 61.5	X																	X	X	X	X														W
SP	2 Dec 20 calls @ 63.5	X																	X	X	X	X														W

SCHEDULE B - TRANSACTIONS

Name: Douglas L. Lamborn

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child. Apply

SP, DC, JT

SP	DC	JT	Example	Type of Transaction	Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
							A	B	C	D	E	F	G	H	I	J	K
			Maple Corp Stock			3/9/19											
SP			Net App, Inc. stock	X	X	1/7/19	X										
SP				X		1/7/19	X										
SP				X		1/14/19	X										
SP				X		1/18/19	X										
SP				X		1/28/19	X										
SP				X		1/29/19	X										
SP				X		2/4/19	X										
SP				X		2/4/19	X										
SP				X		3/25/19	X										
SP				X		3/25/19	X										
SP				X		4/1/19	X										
SP				X		4/2/19	X										
SP				X		4/15/19	X										
SP				X		4/15/19	X										
SP				X		7/15/19	X										
SP				X		9/16/19	X										
SP				X		9/16/19	X										
SP				X		11/4/19	X										

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Use additional sheets if more space is required.

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SCHEDULE B - TRANSACTIONS

Name: Douglas L. Lemberon Page 13 of 17

SP, DC, JT			Type of Transaction				Date	Amount of Transaction										
SP	DC	JT	Purchase	Sale	Partial Sale	Exchange	(MO/DA/YR) or Quantity, Monthly, or Bi-weekly, if applicable	A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example	Marq Corp. Stock			X		3/31/19		X									
SP	Net App. Inc. Stock		X				1/7/19	X										
SP			X				1/7/19	X										
SP				X			1/14/19	X										
SP			X				1/18/19	X										
SP				X			1/28/19	X										
SP			X				2/4/19	X										
SP			X				2/4/19	X										
SP				X			3/25/19	X										
SP			X				3/25/19	X										
SP				X			4/1/19	X										
SP			X				4/2/19	X										
SP				X			4/15/19	X										
SP			X				4/15/19	X										
SP				X			5/8/19	X										
SP				X			7/15/19	X										
SP			X				7/15/19	X										
SP				X			9/16/19	X										
SP			X				9/16/19	X										

SCHEDULE B - TRANSACTIONS

Name: Douglas L. Lumborn Page 14 of 17

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.			Type of Transaction				Date	Amount of Transaction										
SP, DC, JT	Asset	Purchase	Sale	Partial Sale	Exchange	Check Box if Capital Gain Exceeded \$200	(MO/DA/YR) or Quantity, Month, or Day, if applicable	A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		X	3/9/19		X									
SP	Net App, Inc. Stock		X				11/4/19	X										
SP			X				11/9/19	X										
SP			X				11/11/19	X										
SP			X				11/14/19	X										
SP			X				11/18/19	X										
SP			X				11/21/19	X										
SP			X				12/16/19	X										
SP			X				12/16/19	X										

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EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: The 2019 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$28,440. The 2020 limit is \$28,845. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

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Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

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EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342), political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

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