



Filing ID #10046505

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Richard W. Allen
Status: Member
State/District: GA12

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2021
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SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
1015 Broad Street LLC [RP] LOCATION: Augusta, GA, US DESCRIPTION: Rental Property		\$1,000,001 - \$5,000,000	Rent	\$100,001 - \$1,000,000	<input type="checkbox"/>
Abbott Laboratories (ABT) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Accenture plc Class A Ordinary Shares (ACN) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Accenture plc Class A Ordinary Shares (ACN) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Albemarle Corporation (ALB) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Albemarle Corporation (ALB) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Alphabet Inc. - Class A (GOOGL) [ST]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Amazon.com, Inc. (AMZN) [ST]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Amgen Inc. (AMGN) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Charles Schwab IRA ⇒ Charles Schwab money market [BA]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ Goldman Sachs Bank CD 1.90%(38149MFB4) [BA]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ Ishares TR IS 1-5 Yr In (IGSB) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ Morgan Stanley Bank 3%21 [BA]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ Putnam Ultra Short Duration Income (PSDTX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ Schwab Emerging Markets Equity ETF (SCHE) [ST]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ Schwab International Equity ETF (SCHF) [ST]		None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ Schwab U.S. Broad Market ETF (SCHB) [ST]		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ Schwab U.S. Mid Cap ETF (SCHM) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ Schwab US Dividend Equity ETF (SCHD) [ST]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ Schwab US Small Cap ETF (SCHA) [MF]		None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ Technology Select Sector [MF]		None	None		<input checked="" type="checkbox"/>
Charles Schwab US MC ETF SCHM [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Church & Dwight Company, Inc. (CHD) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Church & Dwight Company, Inc. (CHD) [ST]		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Cintas Corporation (CTAS) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Communicati Svs Slet Sec XLC [MF]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Costco Wholesale Corporation (COST) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Deere & Company (DE) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Deere & Company (DE) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Dover Corporation (DOV) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Dover Corporation (DOV) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Freeport-McMoRan, Inc. (FCX) [ST]	SP	None	None		<input checked="" type="checkbox"/>
Freeport-McMoRan, Inc. (FCX) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Home Depot, Inc. (HD) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Inherited IRA - 2016 ⇒ Charles Schwab US MC ETF SCHM [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
COMMENTS: This investment was reported as Charles Schwab US Mid Cap ETF SCHM on 2019 FDS.					
Inherited IRA - 2016 ⇒ Schwab Emerging Markets Equity ETF SCHEE [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Inherited IRA - 2016 ⇒ Schwab US Broad Market EFT [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Inherited IRA - 2016 ⇒ Schwab US Dividend Equity ETF [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Inherited IRA - 2016 ⇒ SPDR Select Sector Fund - Health Care (XLV) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Inherited IRA - 2016 ⇒ Wells Fargo & Co 2.65% [BA]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Schwab International Equity ETF (SCHF) [MF]	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Schwab US Dividend Equity ETF (SCHD) [ST]	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Schwab US Treasury Money Inv [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Purchase					
Intuit Inc. (INTU) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Johnson & Johnson (JNJ) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Johnson & Johnson (JNJ) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Kimberly-Clark Corporation (KMB) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Marriott International - Class A (MAR) [ST]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Merck & Company, Inc. Common Stock (MRK) [ST]		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Microsoft Corporation (MSFT) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
NextEra Energy, Inc. (NEE) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Paychex, Inc. (PAYX) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
PayPal Holdings, Inc. (PYPL) [ST]	SP	None	None		<input checked="" type="checkbox"/>
Personal Home in DC [RP]		\$1,000,001 - \$5,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LOCATION: Washington, DC, US DESCRIPTION: Basement Level Apartment rented out					
Putnam Ultra Short Duration Incm A PSDTX [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
RAWA Leasing Equipment [RP]		\$100,001 - \$250,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Augusta, GA, US					
RW Allen & Associates INC, 100% Interest [OL]	SP	\$5,000,001 - \$25,000,000	Distribution; Salary;	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Augusta, GA, US DESCRIPTION: Salary; Distribution;					
RW Allen Construction LLC2, 20% Interest [OT]	SP	\$250,001 - \$500,000	Distribution; Salary;	\$100,001 - \$1,000,000	<input type="checkbox"/>
DESCRIPTION: Construction Company					
RW Allen LLC1, 40% Interest [OT]	SP	\$500,001 - \$1,000,000	Distribution; Salary;	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Formerly RW Allen Construction Company LLC1					
Schwab Emerging Markets Equity ETF SCHEE [MF]	SP	None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Schwab US Dividend Equity ETF SCHD [EF]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Schwab US Trsy Money In SNSXX [MF]		None	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
Select Sector Health Care SPDR ETF (XLV) [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
South State Bank [BA]	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
SouthState Corporation (SSB) [ST]		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SPDR Select Sector Fund - Technology (XLK) [ST]	SP	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Starbucks Corporation (SBUX) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Thermo Fisher Scientific Inc (TMO) [ST]		None	Capital Gains,	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Dividends					
Verizon Communications Inc. (VZ) [ST]	SP	None	None		<input checked="" type="checkbox"/>
Walmart Inc. (WMT) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Walt Disney Company (DIS) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Walt Disney Company (DIS) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Wells Fargo Bank [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Accenture plc Class A Ordinary Shares (ACN) [ST]		05/13/2021	P	\$50,001 - \$100,000	
Albemarle Corporation (ALB) [ST]	SP	02/10/2021	P	\$1,001 - \$15,000	
Albemarle Corporation (ALB) [ST]		05/4/2021	P	\$15,001 - \$50,000	
Charles Schwab IRA ⇒ Charles Schwab US MC (SCHM) [MF]		07/15/2021	P	\$50,001 - \$100,000	
Charles Schwab IRA ⇒ Ishares TR IS 1-5 Yr In (IGSB) [MF]		08/16/2021	P	\$15,001 - \$50,000	
Charles Schwab IRA ⇒ Morgan Stanley Bank 3% [MF]		03/17/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab IRA ⇒ Schwab International Equity ETF (SCHF) [MF]		12/14/2021	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ Schwab US Small Cap ETF (SCHA) [MF]		12/14/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Charles Schwab IRA ⇒ Technology Select Sector [MF]		03/17/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Church & Dwight Company, Inc. (CHD) [ST]		03/10/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Deere & Company (DE) [ST]		02/10/2021	P	\$15,001 - \$50,000	
Deere & Company (DE) [ST]	SP	02/26/2021	P	\$1,001 - \$15,000	
Dover Corporation (DOV) [ST]	SP	06/15/2021	P	\$15,001 - \$50,000	
Dover Corporation (DOV) [ST]		06/15/2021	P	\$15,001 - \$50,000	
Freeport-McMoRan, Inc. (FCX) [ST]		02/10/2021	P	\$15,001 - \$50,000	
Freeport-McMoRan, Inc. (FCX) [ST]	SP	02/26/2021	P	\$1,001 - \$15,000	
Freeport-McMoRan, Inc. (FCX) [ST]	SP	10/4/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA - 2016 ⇒ Schwab Emerging Markets Equity [MF]	SP	04/6/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA - 2016 ⇒ Schwab International Equity ETF (SCHF) [MF]	SP	12/14/2021	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Inherited IRA - 2016 ⇒ Schwab US Broad Market ETF (SCHB) [MF]	SP	03/17/2021	P	\$100,001 - \$250,000	
Inherited IRA - 2016 ⇒ Schwab US Dividend Equity ETF [MF]	SP	07/14/2021	P	\$15,001 - \$50,000	
Johnson & Johnson (JNJ) [ST]		05/4/2021	P	\$15,001 - \$50,000	
Merck & Company, Inc. Common Stock (MRK) [ST]		03/10/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
NextEra Energy, Inc. (NEE) [ST]		03/10/2021	P	\$1,001 - \$15,000	
PayPal Holdings, Inc. (PYPL) [ST]	SP	02/10/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
PayPal Holdings, Inc. (PYPL) [ST]	SP	10/22/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Schwab Emerging Markets Equity [MF]		04/6/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
South State Corporation (SSB) [ST]		02/18/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
South State Corporation (SSB) [ST]		02/10/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
South State Corporation (SSB) [ST]		05/13/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SPDR Select Sector Fund - Technology [MF]	SP	10/12/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
Starbucks Corporation (SBUX) [ST]	SP	02/26/2021	P	\$1,001 - \$15,000	
Thermo Fisher Scientific Inc (TMO) [ST]		03/10/2021	P	\$15,001 - \$50,000	
Thermo Fisher Scientific Inc (TMO) [ST]		04/12/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
US Treasury Money Market Fund SNSXX [MF]		07/22/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Verizon Communications Inc. (VZ) [ST]	SP	02/10/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Walt Disney Company (DIS) [ST]		03/10/2021	P	\$15,001 - \$50,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
RW Allen & Associates	Spouse salary	N/A
RW Allen Construction LLC	Spouse salary	N/A
Inherited IRA	IRA Distribution	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Wells Fargo Bank	10/2010	Mortgage on Primary Residence, Augusta, GA	\$100,001 - \$250,000
	South State Bank Augusta, GA	12/2014	Mortgage on Secondary Residence, Washington, D.C.	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Charles Schwab IRA
- Inherited IRA - 2016 (Owner: SP)
- Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Richard W. Allen , 05/16/2022