



Filing ID #10037408

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Andy Harris  
**Status:** Member  
**State/District:** MD01

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2019  
**Filing Date:** 08/9/2020

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
403b American Century Emerging Markets [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
403b American Century Global Gold [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
403b American Century International Opportunities [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Transer from International Discovery					
403b American Century Money Market [MF]		None	Tax-Deferred		<input type="checkbox"/>
403b American Century Short Duration Bond [MF]		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
403b American Century Strategic Allocation [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
403b CREF Inflation Linked Bond [MF]		\$100,001 - \$250,000	Tax-Deferred	None	<input type="checkbox"/>
403b TIAA Traditional [MF]		\$100,001 -	Tax-Deferred	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$250,000			
403b Vanguard 500 Index [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
403b Vanguard Capital Opportunity [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
403b Vanguard Emerging Market [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
403b Vanguard Energy [MF]		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
403b Vanguard Life Strategy Growth [MF]		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
403b Vanguard MidCap Index [MF]		\$15,001 - \$50,000	Tax-Deferred	None	<input type="checkbox"/>
403b Vanguard Pacific Index [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
403b Vanguard REIT Index [MF]		\$50,001 - \$100,000	Tax-Deferred	None	<input type="checkbox"/>
403b Vanguard Selected Value [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
403b Vanguard Short Term Investment Grade Bond Fund [MF]		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
457 MD State Investment Contract Pool [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
529 - MD prepaid tuition [5P]	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
LOCATION: MD DESCRIPTION: DC4					
529 - Portfoliio for College [OT]	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: DC5					
529 College Savings Plan, Portfolio for College [OT]	DC	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: 529					
CREF 403b Equity Index [MF]		\$250,001 -	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$500,000			
Fidelity Charitable Gift Fund [OT]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: Fidelity Charitable Gift Fund					
IRA T Rowe Price Intl Discovery [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Rollover from Keogh					
IRA T Rowe Price Money Market [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Rollover from Keogh					
M & T Checking [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
McCormick & Company, Incorporated (MKC) [ST]		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
DESCRIPTION: IRA					
MD 457 Vanguard Institutional Index [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
MD 529 Prepaid Tuition [5P]	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
LOCATION: MD					
DESCRIPTION: DC5					
MD State 457 Large Cap Value [MF]		None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: exchange to Vanguard Institutional Index					
Merck & Company, Inc. Common Stock (MRK) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: IRA					
Merrill IRA Money Market [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Purchases from dividend payments from stocks held in that IRA - none exceeding the \$1,000 purchase reporting threshold					
Rental Condo [RP]	JT	\$100,001 - \$250,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Cambridge, MD, US					
DESCRIPTION: Rental Income					
State of Maryland Pension [DB]		Undetermined	Pension	\$5,001 - \$15,000	<input type="checkbox"/>
T Rowe Price Charitable Gift Fund [OT]	JT	\$50,001 -	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
\$100,000					
DESCRIPTION: T Rowe Price Charitable Gift Fund					
Vanguard 500 Index [MF]	DC	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: DC5					
Vanguard MidCap Index [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: DC5					
Vanguard Money Market [MF]	DC	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: DC5					
Vanguard Money Market [MF]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: JMH					
Vanguard Small Cap Value [MF]	DC	None	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: DC5					
Vanguard Wellington [MF]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: DC4					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
403b American Century International Discovery [MF]		03/22/2019	E	\$100,001 - \$250,000	
DESCRIPTION: to International opportunities					
403b American Century International Opportunities [MF]		03/22/2019	E	\$100,001 - \$250,000	
DESCRIPTION: from International Discovery					
403b Vanguard 500 Index [MF]		09/16/2019	E	\$100,001 - \$250,000	
DESCRIPTION: exchange to Vanguard Short Term Investment					
403b Vanguard Short Term Investment [MF]		09/16/2019	E	\$100,001 - \$250,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: from Vanguard 500 Index					
529 - Portfolio for Colege [OT]	DC	08/28/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: MD, US DESCRIPTION: tuition for college					
529 - Portfolio for College [OT]	DC	01/8/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: MD, US DESCRIPTION: tuition payment					
MD 529 Prepaid Tuition Plan [5P]	DC	01/10/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: MD DESCRIPTION: tuition payment					
MD 529 Prepaid Tuition Plan [5P]	DC	09/10/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: MD DESCRIPTION: tuition					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Tidewater Anesthesia Associates	patient care	\$21,000.00
Moore's Sauces	marketing consulting	N/A

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Navy Federal Credit Union	July 2013	Mortgage on vacation home, Cambridge, Maryland (not rented)	\$100,001 - \$250,000
JT	Pentagon Federal Credit Union	July 2013	Mortgage on personal residence, Cockeysville, Maryland (not rented)	\$500,001 - \$1,000,000
	Pentagon Federall Credit Union	July 2019	HELOC	\$100,001 - \$250,000

## SCHEDULE E: POSITIONS

Position	Name of Organization
Finance Committee Member	St. Joseph Hospital University of Maryland Health System
COMMENTS: not compensated nonprofit	

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2014	State of Maryland	Health coverage
January 2014	State of Maryland	Pension

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Proclaiming Justice to the Nation	02/16/2019	02/24/2019	Washington - Tel Aviv - Washington	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Aspen Institute	05/27/2019	06/2/2019	Washington - Prague - Washington	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
The Humpty Dumpty Institute	04/4/2019	04/8/2019	Washington - Antwerp - Washington	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
MECEA - Hungary	09/3/2019	09/7/2019	Washington - Budapest - Washington	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or

dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Andy Harris , 08/9/2020