



Filing ID #10046913

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Katherine M. Clark  
**Status:** Member  
**State/District:** MA05

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2021  
**Filing Date:** 08/12/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Capital One Savings Account [BA]	JT	\$50,001 - \$100,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
COPERA 401(K) Plan ⇒ PERAdvantage 2030 Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
COPERA 401(K) Plan ⇒ PERAdvantage US Large Cap Stock [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock 529 Enrollment Portfolio A (JEHAX) [MF]	DC	\$250,001 - \$500,000	Tax-Deferred		<input checked="" type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio A (JHFAX) [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio A (JHSAX) [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Previously held					
D3 Irrevocable Trust ⇒ Sequoia Fund (SEQUX) [MF]	DC	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Eastern Bank Account [BA]	JT	\$50,001 - \$100,000	None		<input type="checkbox"/>
Family Home (non-primary) [RP]  LOCATION: Truro, MA, US	JT	\$1,000,001 - \$5,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Fidelity Rollover IRA ⇒ SPDR S&P 500 ETF Trust (SPY) [EF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Apple Inc. (AAPL) [ST]		\$500,001 - \$1,000,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ AT&T Inc. (T) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		\$100,001 - \$250,000	None		<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Cisco Systems, Inc. (CSCO) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Fidelity Government Cash Reserves (FDRXX) [BA]		\$500,001 - \$1,000,000	None		<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Ford Motor Company (F) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ FS Energy and Power Fund [HE]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ GDB Debt Recovery Auth of Commonwealth of Puerto Rico [GS]  DESCRIPTION: 7.50%; 08/20/40 (Previously reported as 5.2%; 8/1/26)		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒		\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
JP Morgan Chase & Co. SR NT [CS]					
DESCRIPTION: 3.25%; 9/23/22					
Judith S. Clark Irrevocable Trust ⇒ KB HOME SR NT 7.500% [CS]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 7.500%; 9/15/22					
Judith S. Clark Irrevocable Trust ⇒ Microsoft Corporation (MSFT) [ST]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Natwest Group PLC Note [CS]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 6.000%; 12/19/23 (Previously reported as ROYAL BK SCOTLAND GROUP PLC SUB NT TIER 2 ISIN#US780097AZ42)					
Judith S. Clark Irrevocable Trust ⇒ NETAPP INC SR NT [CS]		\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: 3.250%; 12/15/22					
Judith S. Clark Irrevocable Trust ⇒ New York Community Bancorp, Inc. (NYCB) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ SPDR S&P 500 ETF Trust (SPY) [EF]		\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ STATE STR CORP SR SUB NT [CS]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 3.100%; 05/15/23					
Judith S. Clark Irrevocable Trust ⇒ Verizon Communications Inc. (VZ) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Walt Disney Company (DIS) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ WELLS FARGO & CO NEWMEDIUM TERM SR NTS FXD RT SER M [CS]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 4.125%; 08/15/23					
Katherine Clark Traditional IRA ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
Katherine Clark Traditional IRA ⇒ SPDR S&P 500 ETF Trust (SPY) [EF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Katherine M. Clark Exempt Trust ⇒ Acacia Partners LP [HE]		\$15,001 - \$50,000	Partnership Income	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Asset inherited in January 2020					
Katherine M. Clark Exempt Trust ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]		\$100,001 - \$250,000	None		<input type="checkbox"/>
Katherine M. Clark Exempt Trust ⇒ Procter & Gamble Company (PG) [ST]		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Katherine M. Clark Exempt Trust ⇒ Ruane, Cunniff, & Goldfarb Inc. [PS]		None	Capital Gains	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
DESCRIPTION: investment adviser					
COMMENTS: This inherited asset is subject to mandatory buyback outside the control and/or consent of Katherine Clark or her agents.					
Katherine M. Clark Exempt Trust ⇒ SPDR S&P 500 ETF Trust (SPY) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Acacia Partners LP [HE]		\$1,001 - \$15,000	Partnership Income	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Asset inherited in January 2020					
Katherine M. Clark Separate Share Trust ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		\$50,001 - \$100,000	None		<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Annaly Capital Management Inc (NLY) [RE]		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Apple Inc. (AAPL) [ST]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ AT&T Inc. (T) [ST]		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Bank of America Corporation (BAC) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		\$50,001 - \$100,000	None		<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Cisco Systems, Inc. (CSCO) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Coca-Cola Company (KO) [ST]					
Katherine M. Clark Separate Share Trust ⇒ FCASH [BA]		\$500,001 - \$1,000,000	None		<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Financial Select Sector SPDR ETF (XLF) [EF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ International Business Machines Corporation (IBM) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Microsoft Corporation (MSFT) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ New York Community Bancorp, Inc. (NYCB) [ST]		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Oakmark Investor (OAKMX) [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Pfizer, Inc. (PFE) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Procter & Gamble Company (PG) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Ruane, Cunniff, & Goldfarb Inc. [PS]		\$250,001 - \$500,000	Partnership Income	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
DESCRIPTION: investment adviser					
COMMENTS: This inherited asset is subject to mandatory buyback outside the control and/or consent of Katherine Clark or her agents.					
Katherine M. Clark Separate Share Trust ⇒ Schwab International Equity ETF (SCHF) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ SPDR S&P 500 ETF Trust (SPY) [EF]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Sprint Nextel Corp SR DEB [CS]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 9.25%; 4/15/22					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Katherine M. Clark Separate Share Trust ⇒ Vanguard Russell 2000 ETF (VTWO) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Walt Disney Company (DIS) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Massachusetts BBO Elective Deferral Plan ⇒ Fidelity Blue Chip Growth Fund Class K (FBGKX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Massachusetts BBO Elective Deferral Plan ⇒ Fidelity Dividend Growth Fund Class K (FDGKX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Massachusetts BBO Elective Deferral Plan ⇒ Fidelity Environment and Alternative Energy Fund (FSLEX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Massachusetts BBO IRA ⇒ Fidelity Freedom 2030 K (FFFEX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Massachusetts state pension [PE]		Undetermined	None		<input type="checkbox"/>
Sequoia Fund (SEQUX) [MF]		\$500,001 - \$1,000,000	Capital Gains, Dividends	\$50,001 - \$100,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise SEP IRA ⇒ ABB Ltd (ABB) [ST]	SP	07/27/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	06/4/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ American Beacon ARK Transformational Innovation CL Y (ADNYX) [MF]	SP	01/8/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ American Beacon ARK Transformational Innovation CL Y	SP	07/27/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
(ADNYX) [MF]					
Ameriprise SEP IRA ⇒ Atlantica Sustainable Infrastructure plc - Ordinary Shares (AY) [ST]	SP	06/4/2021	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Best Buy Co., Inc. (BBY) [ST]	SP	06/4/2021	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ BlackRock, Inc. (BLK) [ST]	SP	06/4/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Cisco Systems, Inc. (CSCO) [ST]	SP	07/27/2021	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Clean Energy Fuels Corp. (CLNE) [ST]	SP	02/8/2021	P	\$1,001 - \$15,000	
COMMENTS: Transaction occurred on 1/08 but was reported as 2/08 due to clerical error					
Ameriprise SEP IRA ⇒ Coca-Cola European Partners plc Ordinary Shares (CCEP) [ST]	SP	02/8/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
COMMENTS: Transaction occurred on 1/08 but was reported as 2/08 due to clerical error					
Ameriprise SEP IRA ⇒ Edwards Lifesciences Corporation (EW) [ST]	SP	07/27/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ First Solar, Inc. (FSLR) [ST]	SP	06/4/2021	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ First Trust Global Wind Energy ETF (FAN) [EF]	SP	01/8/2021	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ First Trust Global Wind Energy ETF (FAN) [MF]	SP	06/4/2021	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ First Trust Global Wind Energy ETF (FAN) [MF]	SP	07/27/2021	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ GlaxoSmithKline PLC (GSK) [ST]	SP	06/4/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Guggenheim Macro Opportunities Fund Instl CL (GIOIX)	SP	06/7/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
[MF]					
Ameriprise SEP IRA ⇒ Guggenheim Total Return Bond Fund Institutional Class (GIBIX) [MF]	SP	01/8/2021	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Guggenheim Total Return Bond Fund Institutional Class (GIBIX) [MF]	SP	06/7/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Home Depot, Inc. (HD) [ST]	SP	02/8/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
COMMENTS: Transaction occurred on 1/08 but was reported as 2/08 due to clerical error					
Ameriprise SEP IRA ⇒ Home Depot, Inc. (HD) [ST]	SP	07/27/2021	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Honeywell International Inc. (HON) [ST]	SP	07/27/2021	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Invesco Solar ETF (TAN) [MF]	SP	01/8/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Invesco Solar ETF (TAN) [MF]	SP	06/4/2021	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Iron Mountain Incorporated (IRM) [ST]	SP	07/27/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Iron Mountain Incorporated (IRM) [ST]	SP	06/4/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ iShares Global Clean Energy ETF (ICLN) [EF]	SP	01/8/2021	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ iShares Global Clean Energy ETF (ICLN) [MF]	SP	06/4/2021	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ iShares Global Clean Energy ETF (ICLN) [MF]	SP	07/27/2021	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Janus Henderson Enterprise CL I (JMGRX) [MF]	SP	07/27/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise SEP IRA ⇒ Lord Abbett Short Duration Income CL F (LDLFX) [MF]	SP	06/4/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Lord Abbett Short Duration Income CL F (LDLFX) [MF]	SP	07/27/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Mainstay Mackay Short Duration High Yield CL I (MDHIX) [MF]	SP	06/4/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]	SP	07/27/2021	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Microsoft Corporation (MSFT) [ST]	SP	07/27/2021	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Orange (ORAN) [ST]	SP	07/27/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Putnam Income CL Y (PNCYX) [MF]	SP	07/27/2021	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Simon Property Group, Inc. (SPG) [ST]	SP	02/8/2021	P	\$1,001 - \$15,000	
COMMENTS: Transaction occurred on 1/08 but was reported as 2/08 due to clerical error					
Ameriprise SEP IRA ⇒ Simon Property Group, Inc. (SPG) [ST]	SP	06/4/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Sony Group Corporation American Depositary Shares (SONY) [ST]	SP	06/4/2021	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	07/27/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ State Street Corporation (STT) [ST]	SP	02/8/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
COMMENTS: Transaction occurred on 1/08 but was reported as 2/08 due to clerical error					
Ameriprise SEP IRA ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	SP	02/8/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
COMMENTS: Transaction occurred on 1/08 but was reported as 2/08 due to clerical error					
Ameriprise SEP IRA ⇒ UBS Group AG Registered Ordinary Shares (UBS) [ST]	SP	07/27/2021	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Visa Inc. (V) [ST]	SP	07/27/2021	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ WCM Focused Emerging Markets Instl CL (WCMEX) [MF]	SP	07/27/2021	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ WCM Focused Emerging Markets Instl CL (WCMEX) [MF]	SP	07/27/2021	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ WCM Focused Intl Growth Instl CL (WCMIX) [MF]	SP	07/27/2021	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Xylem Inc. Common Stock New (XYL) [ST]	SP	06/4/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ ABB Ltd (ABB) [ST]	SP	07/27/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	02/8/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
COMMENTS: Transaction occurred on 1/08 but was reported as 2/08 due to clerical error					
Ameriprise Traditional IRA ⇒ American Beacon ARK Transformational Innovation CL Y (ADNYX) [MF]	SP	01/8/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ American Beacon ARK Transformational Innovation CL Y (ADNYX) [MF]	SP	06/4/2021	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ American Beacon ARK Transformational Innovation CL Y (ADNYX) [MF]	SP	07/27/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Atlantica Sustainable Infrastructure plc - Ordinary Shares (AY) [ST]	SP	06/4/2021	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒	SP	06/4/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Best Buy Co., Inc. (BBY) [ST]					
Ameriprise Traditional IRA ⇒ BlackRock, Inc. (BLK) [ST]	SP	06/4/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Cisco Systems, Inc. (CSCO) [ST]	SP	07/27/2021	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Clean Energy Fuels Corp. (CLNE) [ST]	SP	02/8/2021	P	\$1,001 - \$15,000	
COMMENTS: Transaction occurred on 1/08 but was reported as 2/08 due to clerical error					
Ameriprise Traditional IRA ⇒ Coca-Cola European Partners plc Ordinary Shares (CCEP) [ST]	SP	02/8/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
COMMENTS: Transaction occurred on 1/08 but was reported as 2/08 due to clerical error					
Ameriprise Traditional IRA ⇒ First Solar, Inc. (FSLR) [ST]	SP	06/4/2021	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ First Trust Global Wind Energy ETF (FAN) [EF]	SP	01/8/2021	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ GlaxoSmithKline PLC (GSK) [ST]	SP	06/4/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Guggenheim Macro Opportunities Fund Instl CL (GIOIX) [MF]	SP	06/7/2021	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Guggenheim Total Return Bond Fund Institutional Class (GIBIX) [MF]	SP	01/8/2021	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Guggenheim Total Return Bond Fund Institutional Class (GIBIX) [MF]	SP	06/7/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Home Depot, Inc. (HD) [ST]	SP	02/8/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
COMMENTS: Transaction occurred on 1/08 but was reported as 2/08 due to clerical error					
Ameriprise Traditional IRA ⇒ Home Depot, Inc. (HD) [ST]	SP	07/27/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise Traditional IRA ⇒ Honeywell International Inc. (HON) [ST]	SP	07/27/2021	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Invesco Solar ETF (TAN) [MF]	SP	01/8/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Invesco Solar ETF (TAN) [MF]	SP	06/4/2021	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Iron Mountain Incorporated (IRM) [ST]	SP	07/27/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Iron Mountain Incorporated (IRM) [ST]	SP	06/4/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ iShares Global Clean Energy ETF (ICLN) [EF]	SP	01/8/2021	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ iShares Global Clean Energy ETF (ICLN) [MF]	SP	06/4/2021	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Janus Henderson Enterprise CL I (JMGRX) [MF]	SP	07/27/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Lord Abbett Short Duration Income CL F (LDLFX) [MF]	SP	06/4/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Lord Abbett Short Duration Income CL F (LDLFX) [MF]	SP	07/27/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Mainstay Mackay Short Duration High Yield CL I (MDHIX) [MF]	SP	06/4/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]	SP	07/27/2021	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Microsoft Corporation (MSFT) [ST]	SP	07/27/2021	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Orange (ORAN) [ST]	SP	07/27/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise Traditional IRA ⇒ Putnam Income CL Y (PNCYX) [MF]	SP	07/27/2021	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Simon Property Group, Inc. (SPG) [ST]	SP	02/8/2021	P	\$1,001 - \$15,000	
COMMENTS: Transaction occurred on 1/08 but was reported as 2/08 due to clerical error					
Ameriprise Traditional IRA ⇒ Simon Property Group, Inc. (SPG) [ST]	SP	06/4/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Sony Group Corporation American Depositary Shares (SONY) [ST]	SP	06/4/2021	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	SP	02/8/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
COMMENTS: Transaction occurred on 1/08 but was reported as 2/08 due to clerical error					
Ameriprise Traditional IRA ⇒ UBS Group AG Registered Ordinary Shares (UBS) [ST]	SP	07/27/2021	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Visa Inc. (V) [ST]	SP	07/27/2021	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ WCM Focused Intl Growth Instl CL (WCMIX) [MF]	SP	07/27/2021	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Xylem Inc. Common Stock New (XYL) [ST]	SP	06/4/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock 529 Enrollment Portfolio A (JEHAX) [MF]	DC	01/15/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock 529 Enrollment Portfolio A (JEHAX) [MF]	DC	05/11/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock 529 Enrollment Portfolio A (JEHAX) [MF]	DC	08/10/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio A (JHFAX) [MF]	DC	01/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
D3 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio A (JHFAX) [MF]	DC	08/10/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio A (JHFAX) [MF]	DC	12/27/2021	P	\$15,001 - \$50,000	
D3 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio A (JHSAX) [MF]	DC	01/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio A (JHSAX) [MF]	DC	08/10/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio A (JHSAX) [MF]	DC	12/27/2021	P	\$1,001 - \$15,000	
Fidelity Rollover IRA ⇒ Alphabet Inc. - Class A (GOOGL) [ST] DESCRIPTION: Shares transferred from SEP & Traditional IRA	SP	09/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Rollover IRA ⇒ American Beacon ARK Transformational Innovation CL Y (ADNYX) [MF] DESCRIPTION: Shares transferred from SEP & Traditional IRA	SP	09/17/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Atlantica Sustainable Infrastructure plc - Ordinary Shares (AY) [ST] DESCRIPTION: Shares transferred from SEP & Traditional IRA	SP	09/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Best Buy Co., Inc. (BBY) [ST] DESCRIPTION: Shares transferred from SEP & Traditional IRA	SP	09/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Cisco Systems, Inc. (CSCO) [ST] DESCRIPTION: Shares transferred from SEP & Traditional IRA	SP	09/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Clean Energy Fuels Corp. (CLNE) [ST] DESCRIPTION: Shares transferred from SEP & Traditional IRA	SP	09/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Rollover IRA ⇒	SP	09/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Coca-Cola Europacific Partners plc - Ordinary Shares (CCEP) [ST] DESCRIPTION: Shares transferred from SEP & Traditional IRA					
Fidelity Rollover IRA ⇒ Edwards Lifesciences Corporation (EW) [ST] DESCRIPTION: Shares transferred from SEP & Traditional IRA	SP	09/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Rollover IRA ⇒ First Solar, Inc. (FSLR) [ST] DESCRIPTION: Shares transferred from SEP & Traditional IRA	SP	09/16/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity Rollover IRA ⇒ First Trust Global Wind Energy ETF (FAN) [EF] DESCRIPTION: Shares transferred from SEP & Traditional IRA	SP	09/20/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Guggenheim Macro Opportunities Fund Instl CL (GIOIX) [MF] DESCRIPTION: Shares transferred from SEP & Traditional IRA	SP	09/17/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Guggenheim Total Return Bond Instl CL (GIBIX) [MF] DESCRIPTION: Shares transferred from SEP & Traditional IRA	SP	09/17/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Home Depot, Inc. (HD) [ST] DESCRIPTION: Shares transferred from SEP & Traditional IRA	SP	09/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Honeywell International Inc. (HON) [ST] DESCRIPTION: Shares transferred from SEP & Traditional IRA	SP	09/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Intel Corporation (INTC) [ST] DESCRIPTION: Shares transferred from SEP & Traditional IRA	SP	09/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Invesco Exchange S&P Midcap Low Volatility ETF (XMLV) [EF] DESCRIPTION: Shares transferred from SEP & Traditional IRA	SP	09/20/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Invesco Solar ETF (TAN) [EF]	SP	09/20/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: Shares transferred from SEP & Traditional IRA					
Fidelity Rollover IRA ⇒ iShares Global Clean Energy ETF (ICLN) [EF]	SP	09/20/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Shares transferred from SEP & Traditional IRA					
Fidelity Rollover IRA ⇒ Janus Henderson Enterprise CL I (JMGRX) [MF]	SP	09/17/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Shares transferred from SEP & Traditional IRA					
Fidelity Rollover IRA ⇒ Koninklijke Philips N.V. NY Registry Shares (PHG) [ST]	SP	09/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Shares transferred from SEP & Traditional IRA					
Fidelity Rollover IRA ⇒ Lord Abbett Short Duration Income CL F (LDLFX) [MF]	SP	09/17/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Shares transferred from SEP & Traditional IRA					
Fidelity Rollover IRA ⇒ Mastercard Incorporated (MA) [ST]	SP	09/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Shares transferred from SEP & Traditional IRA					
Fidelity Rollover IRA ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]	SP	09/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Shares transferred from SEP & Traditional IRA					
Fidelity Rollover IRA ⇒ Microsoft Corporation (MSFT) [ST]	SP	09/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Shares transferred from SEP & Traditional IRA					
Fidelity Rollover IRA ⇒ Novo Nordisk A/S (NVO) [ST]	SP	09/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Shares transferred from SEP & Traditional IRA					
Fidelity Rollover IRA ⇒ Oracle Corporation (ORCL) [ST]	SP	09/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Shares transferred from SEP & Traditional IRA					
Fidelity Rollover IRA ⇒ Pepsico, Inc. (PEP) [ST]	SP	09/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Shares transferred from SEP & Traditional IRA					
Fidelity Rollover IRA ⇒ Putnam Income CL Y (PNCYX) [MF]	SP	09/17/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: Shares transferred from SEP & Traditional IRA					
Fidelity Rollover IRA ⇒ S&P Global Inc. (SPGI) [ST]	SP	09/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Shares transferred from SEP & Traditional IRA					
Fidelity Rollover IRA ⇒ SAP SE ADS (SAP) [ST]	SP	09/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Shares transferred from SEP & Traditional IRA					
Fidelity Rollover IRA ⇒ Simon Property Group, Inc. (SPG) [ST]	SP	09/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Shares transferred from SEP & Traditional IRA					
Fidelity Rollover IRA ⇒ Sony Group Corporation American Depositary Shares (SONY) [ST]	SP	09/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Shares transferred from SEP & Traditional IRA					
Fidelity Rollover IRA ⇒ SPDR S&P 500 ETF Trust (SPY) [EF]	SP	09/27/2021	P	\$15,001 - \$50,000	
Fidelity Rollover IRA ⇒ SPDR S&P 500 ETF Trust (SPY) [EF]	SP	09/17/2021	P	\$15,001 - \$50,000	
Fidelity Rollover IRA ⇒ SPDR S&P 500 ETF Trust (SPY) [EF]	SP	10/15/2021	P	\$15,001 - \$50,000	
Fidelity Rollover IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	09/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Shares transferred from SEP & Traditional IRA					
Fidelity Rollover IRA ⇒ State Street Corporation (STT) [ST]	SP	09/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Shares transferred from SEP & Traditional IRA					
Fidelity Rollover IRA ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	SP	09/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Shares transferred from SEP & Traditional IRA					
Fidelity Rollover IRA ⇒ UBS Group AG Registered Ordinary Shares (UBS) [ST]	SP	09/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Shares transferred from SEP & Traditional IRA					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Fidelity Rollover IRA ⇒ Visa Inc. (V) [ST] DESCRIPTION: Shares transferred from SEP & Traditional IRA	SP	09/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Rollover IRA ⇒ WCM Focused Emerging Markets Instl CL (WCMEX) [MF] DESCRIPTION: Shares transferred from SEP & Traditional IRA	SP	09/17/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Rollover IRA ⇒ WCM Focused Intl Growth Instl CL (WCMIX) [MF] DESCRIPTION: Shares transferred from SEP & Traditional IRA	SP	09/17/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Katherine Clark Traditional IRA ⇒ SPDR S&P 500 ETF Trust (SPY) [EF]		02/22/2021	P	\$50,001 - \$100,000	
Katherine Clark Traditional IRA ⇒ SPDR S&P 500 ETF Trust (SPY) [EF]		03/11/2021	P	\$15,001 - \$50,000	
Katherine M. Clark Exempt Trust ⇒ Procter & Gamble Company (PG) [ST]		06/30/2021	P	\$1,001 - \$15,000	
Katherine M. Clark Exempt Trust ⇒ Ruane, Cunniff & Goldfarb, Inc. [PS] LOCATION: US DESCRIPTION: shares in a privately held investment adviser, purchased back by the company		01/31/2021	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
Katherine M. Clark Exempt Trust ⇒ SPDR S&P 500 ETF Trust (SPY) [EF]		05/26/2021	P	\$15,001 - \$50,000	
Katherine M. Clark Separate Share Trust ⇒ Ruane, Cunniff & Goldfarb, Inc. [PS] LOCATION: US DESCRIPTION: shares in a privately held investment adviser, purchased back by the company		01/31/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Massachusetts BBO Elective Deferral Plan ⇒ Fidelity Dividend Growth Fund Class K (FDGKX) [MF]	SP	10/5/2021	P	\$1,001 - \$15,000	
Massachusetts BBO Elective Deferral Plan ⇒ Fidelity Environment and Alternative Energy Fund (FSLEX) [MF]	SP	12/17/2021	P	\$1,001 - \$15,000	
Massachusetts BBO Elective Deferral Plan ⇒ Fidelity Environment and Alternative Energy Fund (FSLEX) [MF]	SP	10/5/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Massachusetts BBO IRA ⇒ Fidelity Freedom 2030 K (FFFEX) [MF]	SP	09/29/2021	P	\$1,001 - \$15,000	
Massachusetts BBO IRA ⇒ Fidelity Freedom 2030 K (FFFEX) [MF]	SP	05/4/2021	P	\$1,001 - \$15,000	
Massachusetts BBO IRA ⇒ Fidelity Freedom 2030 K (FFFEX) [MF]	SP	10/5/2021	P	\$1,001 - \$15,000	
Massachusetts BBO IRA ⇒ Fidelity Freedom 2030 K (FFFEX) [MF]	SP	12/2/2021	P	\$1,001 - \$15,000	
Massachusetts BBO IRA ⇒ Fidelity Freedom 2030 K (FFFEX) [MF]	SP	12/30/2021	P	\$1,001 - \$15,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Massachusetts Board of Bar Overseers	Spouse Salary	N/A

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	CENLAR	April 2020	Mortgage	\$250,001 - \$500,000
JT	Caliber Home Loans, Inc.	July 2021	Mortgage	\$250,001 - \$500,000
JT	Capitol Hill Tower Housing Cooperative, Inc.	September 2021	Co-op building loan	\$100,001 - \$250,000
JT	Nationstar Mortgage LLC d/b/a Mr. Cooper	September 2021	Mortgage (primary residence)	\$50,001 - \$100,000
JT	Quorum Federal Credit Union	September 2021	Mortgage (primary residence)	\$100,001 - \$250,000

## SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
July 1997	Katherine M. Clark and the Commonwealth of Massachusetts	future pension
September 1991	Katherine M. Clark and the state of Colorado (OPERA)	future pension

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none"><li>Ameriprise SEP IRA (Owner: SP)</li><li>Ameriprise Traditional IRA (Owner: SP)</li><li>OPERA 401(K) Plan</li><li>D3 Irrevocable Trust (Owner: DC)</li><li>Fidelity Rollover IRA (Owner: SP) DESCRIPTION: Transferred from Ameriprise in September 2021.</li><li>Judith S. Clark Irrevocable Trust</li><li>Katherine Clark Traditional IRA</li><li>Katherine M. Clark Exempt Trust DESCRIPTION: Inherited April 5, 2019</li><li>Katherine M. Clark Separate Share Trust DESCRIPTION: inherited April 5, 2019</li><li>Massachusetts BBO Elective Deferral Plan (Owner: SP)</li><li>Massachusetts BBO IRA (Owner: SP)</li></ul>
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be

disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Katherine M. Clark , 08/12/2022