



Filing ID #10047068

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Kathy Castor  
**Status:** Member  
**State/District:** FL14

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2021  
**Filing Date:** 05/19/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Bank of America Checking [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Bank of America Savings [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
CL 529 ⇒ Vanguard 500 Index 529 [5P] LOCATION: FL	DC	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
CL 529 ⇒ Vanguard Small Cap Index [5P] LOCATION: FL	DC	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
CL 529 ⇒ Vanguard Total Inter Stock [5P] LOCATION: FL	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
E*Trade Brokerage Account ⇒ ALPS Sector Dividend DOGS ETF [EF]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
E*Trade Brokerage Account ⇒ Amplify ETF [EF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
E*Trade Brokerage Account ⇒ E*Trade Savings [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ Franklin FTSE Europe ETF [EF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
E*Trade Brokerage Account ⇒ Franklin FTSE Japan ETC [EF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
E*Trade Brokerage Account ⇒ Franklin Genomic Advancements ETF [EF]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
E*Trade Brokerage Account ⇒ Franklin Intelligent Machines ETF [EF]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
E*Trade Brokerage Account ⇒ Franklin Liberty US Low Volatility ETF [EF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
E*Trade Brokerage Account ⇒ Franklin Templeton Exponential Data ETF [EF]	JT	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
E*Trade Brokerage Account ⇒ Global X Nasdaq 100 Covered Call ETF [EF]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
E*Trade Brokerage Account ⇒ Global X S&P 500 Covered Call ETF [EF]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
E*Trade Brokerage Account ⇒ Invesco QQQ TR [EF]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
E*Trade Brokerage Account ⇒ LibertyQ US Mid Cap EQI ETF [EF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
E*Trade Brokerage Account ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [EF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Equity Income Fund - Advisor Class [MF]	JT	\$500,001 - \$1,000,000	Capital Gains, Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Growth Fund - Advisor Class [MF]	JT	\$500,001 - \$1,000,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Income Fund - A [MF]	JT	\$1,000,001 - \$5,000,000	Capital Gains, Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Franklin Templeton Joint Retirement ⇒ Franklin Mutual U.S. Value Fund - Advisor Class [MF]	JT	\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Rising Dividends [MF]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Small-Mid Cap Growth Fund [MF]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Mutual Global Discovery Fund - Class Z [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
IRA ⇒ T Rowe Global Growth Stock Fund [MF]		\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
IRA ⇒ T Rowe Price Blue Chip Growth [MF]		\$250,001 - \$500,000	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
IRA ⇒ T Rowe Price Dividend Growth [MF]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
IRA ⇒ T Rowe Price Value [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
IRA ⇒ US Treasury Money Fund [BA]		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
Spouse 401K ⇒ Invesco Developing Markets Y Fund [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse 401K ⇒ Invesco II ESG Nasdaq 100 ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Spouse 401K ⇒ JP Morgan Mid Cap Value I Fund [MF]	SP	\$500,001 - \$1,000,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse 401K ⇒ MFS Value R3Fund [MF]	SP	\$500,001 - \$1,000,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse 401K ⇒	SP	\$50,001 -	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Principal Global Investors LargeCap S&P 500 Index [MF]		\$100,000			<input type="checkbox"/>
Spouse 401K ⇒ Principal MidCap Separate Account [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse 401K ⇒ Principal SmallCap S&P 600 Index Separate Account [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse 401K ⇒ Principal US Property Sep Acct [MF]	SP	\$500,001 - \$1,000,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse 401K ⇒ T Rowe Price Blue Chip Growth Fund [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse 401K ⇒ T Rowe Price International Discovery Fund [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	\$250,001 - \$500,000	None		<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Invesco II ESG Nasdaq Next Gen 100m ETF [EF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard Dividend Appreciation ETF [EF]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard ESG U S Stock ETF [EF]	JT	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard High Dividend Yield ETF [EF]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard Large Cap ETF [EF]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒	JT	\$100,001 -	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard S&P Mid Cap 400 Index ETF [EF]		\$250,000			

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
CL 529 ⇒ Vanguard 500 Index 529 [MF]	DC	08/10/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ ALPS Sector Dividend DOGS ETF [EF]	JT	01/4/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ ALPS Sector Dividend DOGS ETF [EF]	JT	01/27/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ ALPS Sector Dividend DOGS ETF [EF]	JT	11/19/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ Amplify ETF [EF]	JT	11/18/2021	P	\$15,001 - \$50,000	
E*Trade Brokerage Account ⇒ Amplify ETF [EF]	JT	11/19/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin FTSE Europe ETF [EF]	JT	05/21/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin FTSE Europe ETF [EF]	JT	05/27/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin FTSE Europe ETF [EF]	JT	05/27/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin FTSE Europe ETF [EF]	JT	06/4/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin FTSE Europe ETF [EF]	JT	06/18/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒	JT	06/25/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Franklin FTSE Europe ETF [EF]					
E*Trade Brokerage Account ⇒ Franklin FTSE Europe ETF [EF]	JT	07/16/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin FTSE Europe ETF [EF]	JT	10/4/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin FTSE Europe ETF [EF]	JT	10/12/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin FTSE Europe ETF [EF]	JT	10/14/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin FTSE Europe ETF [EF]	JT	10/22/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin FTSE Europe ETF [EF]	JT	10/25/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin FTSE Japan ETF [EF]	JT	05/21/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin FTSE Japan ETF [EF]	JT	05/27/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin FTSE Japan ETF [EF]	JT	05/27/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin FTSE Japan ETF [EF]	JT	06/4/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin FTSE Japan ETF [EF]	JT	06/14/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin FTSE Japan ETF [EF]	JT	06/18/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin FTSE Japan ETF [EF]	JT	06/25/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin FTSE Japan ETF [EF]	JT	07/16/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
E*Trade Brokerage Account ⇒ Franklin FTSE Japan ETF [EF]	JT	10/14/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin FTSE Japan ETF [EF]	JT	10/22/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin FTSE Japan ETF [EF]	JT	10/25/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Genomic Advancements ETF [EF]	JT	01/4/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Genomic Advancements ETF [EF]	JT	01/27/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ Franklin Genomic Advancements ETF [EF]	JT	04/13/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Genomic Advancements ETF [EF]	JT	11/16/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ Franklin Intelligent Machines ETF [EF]	JT	01/4/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Intelligent Machines ETF [EF]	JT	01/27/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ Franklin Intelligent Machines ETF [EF]	JT	04/30/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Intelligent Machines ETF [EF]	JT	11/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ Franklin Intelligent Machines ETF [EF]	JT	11/18/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ Franklin Liberty US Low Volatility ETF [EF]	JT	01/4/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Liberty US Low Volatility ETF [EF]	JT	11/18/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
E*Trade Brokerage Account ⇒ Franklin Templeton Exponential Data ETF [EF]	JT	03/10/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Templeton Exponential Data ETF [EF]	JT	03/15/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Templeton Exponential Data ETF [EF]	JT	03/16/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Templeton Exponential Data ETF [EF]	JT	04/5/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Templeton Exponential Data ETF [EF]	JT	04/13/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Templeton Exponential Data ETF [EF]	JT	04/13/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Templeton Exponential Data ETF [EF]	JT	04/30/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Templeton Exponential Data ETF [EF]	JT	05/7/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Templeton Exponential Data ETF [EF]	JT	05/21/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Templeton Exponential Data ETF [EF]	JT	06/18/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Templeton Exponential Data ETF [EF]	JT	07/16/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Global X Nasdaq 100 Covered Call ETF [EF]	JT	10/26/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Global X Nasdaq 100 Covered Call ETF [EF]	JT	11/18/2021	P	\$15,001 - \$50,000	
E*Trade Brokerage Account ⇒ Global X Nasdaq 100 Covered Call ETF [EF]	JT	11/19/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒	JT	11/24/2021	P	\$1,001 - \$15,000	



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Global X Nasdaq 100 Covered Call ETF [EF]					
E*Trade Brokerage Account ⇒ Global X Nasdaq 100 Covered Call ETF [EF]	JT	12/1/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Global X Nasdaq 100 Covered Call ETF [EF]	JT	12/6/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Global X Nasdaq 100 Covered Call ETF [EF]	JT	12/7/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Global X Nasdaq 100 Covered Call ETF [EF]	JT	12/13/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Global X Nasdaq 100 Covered Call ETF [EF]	JT	12/20/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Global X Nasdaq 100 Covered Call ETF [EF]	JT	12/30/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Global X S&P 500 Covered Call ETF [EF]	JT	10/25/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Global X S&P 500 Covered Call ETF [EF]	JT	11/1/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Global X S&P 500 Covered Call ETF [EF]	JT	11/15/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Global X S&P 500 Covered Call ETF [EF]	JT	11/16/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Global X S&P 500 Covered Call ETF [EF]	JT	11/18/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Global X S&P 500 Covered Call ETF [EF]	JT	11/24/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Global X S&P 500 Covered Call ETF [EF]	JT	12/1/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Global X S&P 500 Covered Call ETF [EF]	JT	12/20/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
E*Trade Brokerage Account ⇒ Global X S&P 500 Covered Call ETF [EF]	JT	12/21/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Global X S&P 500 Covered Call ETF [EF]	JT	12/30/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Invesco QQQ TR [EF]	JT	01/4/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Invesco QQQ TR [EF]	JT	01/27/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ Invesco QQQ TR [EF]	JT	11/18/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ LibertyQ US Mid Cap EQI ETF [EF]	JT	01/4/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ LibertyQ US Mid Cap EQI ETF [EF]	JT	11/18/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ SPDR Dow Jones Industrial Average ETF [EF]	JT	01/4/2021	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ SPDR Dow Jones Industrial Average ETF [EF]	JT	01/27/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ SPDR Dow Jones Industrial Average ETF [EF]	JT	11/18/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Equity Income Fund - Advisor Class [MF]	JT	Quarterly	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Equity Income Fund - Advisor Class [MF]	JT	12/15/2021	P	\$15,001 - \$50,000	
Franklin Templeton Joint Retirement ⇒ Franklin Growth Fund - Advisor Class [MF]	JT	12/1/2021	P	\$15,001 - \$50,000	
Franklin Templeton Joint Retirement ⇒ Franklin Income Fund - A [MF]	JT	Bi-weekly	P	\$1,001 - \$15,000	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Franklin Templeton Joint Retirement ⇒ Franklin Income Fund - A [MF]	JT	06/25/2021	P	\$15,001 - \$50,000	
Franklin Templeton Joint Retirement ⇒ Franklin Income Fund - A [MF]	JT	12/1/2021	P	\$15,001 - \$50,000	
Franklin Templeton Joint Retirement ⇒ Franklin Mutual U.S. Value Fund - Advisor Class [MF]	JT	12/15/2021	P	\$15,001 - \$50,000	
Franklin Templeton Joint Retirement ⇒ Franklin Rising Dividends [MF]	JT	01/4/2021	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Rising Dividends [MF]	JT	03/10/2021	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Rising Dividends [MF]	JT	03/15/2021	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Rising Dividends [MF]	JT	04/5/2021	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Rising Dividends [MF]	JT	06/15/2021	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Rising Dividends [MF]	JT	06/25/2021	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Rising Dividends [MF]	JT	09/15/2021	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Rising Dividends [MF]	JT	10/12/2021	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Rising Dividends [MF]	JT	10/22/2021	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Rising Dividends [MF]	JT	10/26/2021	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Rising Dividends [MF]	JT	11/19/2021	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒	JT	11/24/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Franklin Rising Dividends [MF]					
Franklin Templeton Joint Retirement ⇒ Franklin Rising Dividends [MF]	JT	12/1/2021	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Rising Dividends [MF]	JT	12/15/2021	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Small-Mid Cap Growth Fund [MF]	JT	Quarterly	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Small-Mid Cap Growth Fund [MF]	JT	10/12/2021	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Small-Mid Cap Growth Fund [MF]	JT	10/22/2021	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Small-Mid Cap Growth Fund [MF]	JT	10/26/2021	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Small-Mid Cap Growth Fund [MF]	JT	11/19/2021	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Small-Mid Cap Growth Fund [MF]	JT	11/24/2021	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Small-Mid Cap Growth Fund [MF]	JT	12/15/2021	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Global Discovery Fund - Class Z [MF]	JT	10/1/2021	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Global Discovery Fund - Class Z [MF]	JT	12/17/2021	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z [MF]	JT	10/1/2021	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z [MF]	JT	12/17/2021	P	\$1,001 - \$15,000	
IRA ⇒ T Rowe Global Growth Stock Fund [MF]		12/15/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA ⇒ T Rowe Price Blue Chip Growth [MF]		12/15/2021	P	\$15,001 - \$50,000	
IRA ⇒ T Rowe Price Value [MF]		12/15/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Invesco Developing Markets Y Fund [MF]	SP	01/22/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Invesco Developing Markets Y Fund [MF]	SP	03/23/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Invesco Developing Markets Y Fund [MF]	SP	04/30/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Invesco Developing Markets Y Fund [MF]	SP	12/14/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Invesco Developing Markets Y Fund [MF]	SP	12/31/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ JP Morgan Mid Cap Value I Fund [MF]	SP	01/22/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ JP Morgan Mid Cap Value I Fund [MF]	SP	03/23/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ JP Morgan Mid Cap Value I Fund [MF]	SP	04/30/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ JP Morgan Mid Cap Value I Fund [MF]	SP	12/13/2021	P	\$50,001 - \$100,000	
Spouse 401K ⇒ JP Morgan Mid Cap Value I Fund [MF]	SP	12/20/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ MFS Value R3Fund [MF]	SP	01/22/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ MFS Value R3Fund [MF]	SP	03/23/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Spouse 401K ⇒ MFS Value R3Fund [MF]	SP	04/30/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ MFS Value R3Fund [MF]	SP	06/24/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ MFS Value R3Fund [MF]	SP	09/23/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ MFS Value R3Fund [MF]	SP	12/16/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index [MF]	SP	01/22/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index [MF]	SP	03/23/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index [MF]	SP	04/30/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index [MF]	SP	12/31/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal MidCap Separate Account [MF]	SP	01/22/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal MidCap Separate Account [MF]	SP	03/23/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal MidCap Separate Account [MF]	SP	04/30/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal MidCap Separate Account [MF]	SP	12/31/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal SmallCap S&P 600 Index Separate Account [MF]	SP	01/22/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal SmallCap S&P 600 Index Separate Account [MF]	SP	03/23/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒	SP	04/30/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Principal SmallCap S&P 600 Index Separate Account [MF]					
Spouse 401K ⇒ Principal SmallCap S&P 600 Index Separate Account [MF]	SP	12/31/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal US Property Sep Acct [MF]	SP	01/22/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Spouse 401K ⇒ Principal US Property Sep Acct [MF]	SP	03/23/2021	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Spouse 401K ⇒ Principal US Property Sep Acct [MF]	SP	04/30/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Spouse 401K ⇒ T Rowe Price Blue Chip Growth Fund [MF]	SP	01/22/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ T Rowe Price Blue Chip Growth Fund [MF]	SP	03/23/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ T Rowe Price Blue Chip Growth Fund [MF]	SP	04/30/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ T Rowe Price Blue Chip Growth Fund [MF]	SP	12/14/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ T Rowe Price Blue Chip Growth Fund [MF]	SP	12/31/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ T Rowe Price International Discovery Fund [MF]	SP	01/22/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ T Rowe Price International Discovery Fund [MF]	SP	03/23/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ T Rowe Price International Discovery Fund [MF]	SP	04/30/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ T Rowe Price International Discovery Fund [MF]	SP	12/16/2021	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Vanguard Financials ETF [EF]	SP	01/7/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard Joint Retirement ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	06/18/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	06/25/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	07/16/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	01/4/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	02/1/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	02/17/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	03/15/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	03/31/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	04/9/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	04/13/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	04/14/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	05/21/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	06/14/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Invesco II ESG Nasdaq 100 ETF [EF]	JT	11/19/2021	P	\$1,001 - \$15,000	



<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Vanguard Joint Retirement ⇒ Invesco II ESG Nasdaq 100 ETF [EF]	JT	12/13/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Invesco II ESG Nasdaq 100 ETF [EF]	JT	12/20/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Invesco II ESG Nasdaq 100 ETF [EF]	JT	12/21/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Invesco II ESG Nasdaq Next Gen 100m ETF [EF]	JT	11/19/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Invesco II ESG Nasdaq Next Gen 100m ETF [EF]	JT	12/1/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Invesco II ESG Nasdaq Next Gen 100m ETF [EF]	JT	12/13/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Invesco II ESG Nasdaq Next Gen 100m ETF [EF]	JT	12/20/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Invesco II ESG Nasdaq Next Gen 100m ETF [EF]	JT	12/21/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard ESG U S Stock ETF [EF]	JT	06/23/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard ESG U S Stock ETF [EF]	JT	09/22/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard ESG U S Stock ETF [EF]	JT	12/22/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	01/6/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	03/15/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	02/1/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒	JT	02/16/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard Financials ETF [EF]					
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	04/5/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	04/14/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	04/23/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	04/30/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	05/17/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	05/20/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	05/21/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	05/27/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	06/4/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	06/18/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	06/25/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	07/16/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	10/12/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	10/20/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	10/22/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	10/25/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard High Dividend Yield ETF [EF]	JT	01/4/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard High Dividend Yield ETF [EF]	JT	10/12/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard High Dividend Yield ETF [EF]	JT	10/20/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard High Dividend Yield ETF [EF]	JT	10/22/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard High Dividend Yield ETF [EF]	JT	10/25/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard High Dividend Yield ETF [EF]	JT	12/23/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	01/6/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	03/15/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	02/16/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	04/5/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	04/9/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	04/13/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	04/14/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	04/23/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	05/17/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	05/25/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	05/27/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	06/4/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	06/8/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	06/18/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	06/25/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	10/4/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	10/5/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	10/12/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	10/20/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	10/22/2021	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒	JT	10/25/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard S&P 500 Index ETF [EF]					
Vanguard Joint Retirement ⇒ Vanguard S&P Mid Cap 400 Index ETF [EF]	JT	10/25/2021	P	\$1,001 - \$15,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Butler Weihmuller Katz Craig	Spouse Salary	N/A

## SCHEDULE D: LIABILITIES

None disclosed.

## SCHEDULE E: POSITIONS

Position	Name of Organization
Vice President/Director	Castor-Bell-Lewis Family Foundation (uncompensated)

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- CL 529 (Owner: DC)  
LOCATION: FL
- E\*Trade Brokerage Account (Owner: JT)  
LOCATION: US

- Franklin Templeton Joint Retirement (Owner: JT)
- IRA
- Spouse 401K (Owner: SP)
- Vanguard Joint Retirement (Owner: JT)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## COMMENTS

Dependent child assets reported in the previous year are no longer reported this year due to aging out.

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Kathy Castor , 05/19/2022