



Filing ID #10042292

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Antonio Delgado  
**Status:** Member  
**State/District:** NY19

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2020  
**Filing Date:** 08/6/2021

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset   | Owner | Value of Asset        | Income Type(s) | Income          | Tx. > \$1,000?                      |
|---|-------|-----------------------|----------------|-----------------|-------------------------------------|
| Bank Accounts ⇒ Capital One Accounts [BA]   | JT    | \$100,001 - \$250,000 | Interest       | \$201 - \$1,000 | <input type="checkbox"/>            |
| Bank Accounts ⇒ Chase Bank Accounts [BA]  | JT    | \$1,001 - \$15,000    | None           |                 | <input type="checkbox"/>            |
| DC1 New York 529 College Savings Plan ⇒ Moderate Age-Based Option Growth Portfolio [MF]         | DC    | \$15,001 - \$50,000   | Tax-Deferred   |                 | <input type="checkbox"/>            |
| DC1 New York 529 College Savings Plan 2 ⇒ Aggressive Age-Based Option Aggressive Portfolio [MF] | DC    | \$1,001 - \$15,000    | Tax-Deferred   |                 | <input checked="" type="checkbox"/> |
| DC2 New York 529 College Savings Plan ⇒ Moderate Age-Based Option Growth Portfolio [MF]         | DC    | \$15,001 - \$50,000   | Tax-Deferred   |                 | <input type="checkbox"/>            |
| DC2 New York 529 College Savings Plan 2 ⇒ Aggressive Age-Based Option Aggressive Portfolio [MF] | DC    | \$1,001 - \$15,000    | Tax-Deferred   |                 | <input checked="" type="checkbox"/> |
| Gold Glass Productions Inc [OL]   | SP    | \$1,001 - \$15,000    | None           |                 | <input type="checkbox"/>            |
| LOCATION: Rhinebeck/ Dutchess, NY, US   |       |                       |                |                 |                                     |
| DESCRIPTION: Media production and outreach consulting   |       |                       |                |                 |                                     |

| Asset   | Owner | Value of Asset      | Income Type(s) | Income | Tx. > \$1,000?                      |
|---|-------|---------------------|----------------|--------|-------------------------------------|
| JP Morgan Chase IRA ⇒<br>iShares Core S&P Mid Cap ETF [EF]                          |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| JP Morgan Chase IRA ⇒<br>iShares Core S&P Small ETF [EF]                            |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| JP Morgan Chase IRA ⇒<br>iShares Core Total USD Bond Market ETF [EF]                |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| JP Morgan Chase IRA ⇒<br>iShares Edge MSCI Min Vol Emerging Markets ETF [EF]        |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| JP Morgan Chase IRA ⇒<br>iShares Edge MSCI Min Vol USA ETF [EF]                     |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| JP Morgan Chase IRA ⇒<br>iShares Edge MSCI Min Vol USA Small-Cap ETF [EF]           |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| JP Morgan Chase IRA ⇒<br>SPDR S&P 500 ETF Trust [EF]                                |       | \$15,001 - \$50,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| JP Morgan Chase IRA ⇒<br>Vanguard FTSE Developed Markets ETF [EF]                   |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| JP Morgan Chase IRA ⇒<br>Vanguard FTSE Emerging Markets ETF [EF]                    |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| JP Morgan Chase IRA ⇒<br>Vanguard Intermediate Term Bond ETF [EF]                   |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Northwestern Mutual IRA ⇒<br>Brown Capital Management Sm Company Fund Inst Cl. [MF] |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Northwestern Mutual IRA ⇒<br>Cohen & Steers Real Estate Securities Fund Cl I [MF]   |       | \$1 - \$1,000       | Tax-Deferred   |        | <input type="checkbox"/>            |
| Northwestern Mutual IRA ⇒<br>DWS Enhanced Commodity Strategy Fund Instl Cl [MF]     |       | None                | Tax-Deferred   |        | <input type="checkbox"/>            |
| Northwestern Mutual IRA ⇒<br>Fidelity Advisor Total Bond Fund Cl I [MF]             |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Northwestern Mutual IRA ⇒<br>FMI International Fund Instl Cl [MF]                   |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |

| Asset   | Owner | Value of Asset      | Income Type(s) | Income | Tx. > \$1,000?                      |
|---|-------|---------------------|----------------|--------|-------------------------------------|
| Northwestern Mutual IRA ⇒<br>Goldman Sachs International Sm Cap Insights Fund [MF]        |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Northwestern Mutual IRA ⇒<br>iShares Gold TR [EF]   |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Northwestern Mutual IRA ⇒<br>iShares TR Core S&P 500 ETF [EF]                             |       | \$15,001 - \$50,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Northwestern Mutual IRA ⇒<br>iShares TR Core S&P Mid-Cap ETF [EF]                         |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Northwestern Mutual IRA ⇒<br>iShares TR Core S&P Small Cap ETF [EF]                       |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Northwestern Mutual IRA ⇒<br>iShares TR MSCI USA Value Factor ETF [EF]                    |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Northwestern Mutual IRA ⇒<br>New World Fund Cl F-2 [MF]                                   |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Northwestern Mutual IRA ⇒<br>Northwestern Mutual IRA - cash [BA]                          |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Northwestern Mutual IRA ⇒<br>Parnassus Mid Cap Fund Inst Cl [MF]                          |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Northwestern Mutual IRA ⇒<br>Pimco Commodities Plus Strategy Fund Cl I-2 [MF]             |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Northwestern Mutual IRA ⇒<br>Pimco Income Fund Cl I-2 [MF]                                |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Northwestern Mutual IRA ⇒<br>Schwab Strategic TR Intl Equity ETF [EF]                     |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Northwestern Mutual IRA ⇒<br>The Oakmark Select Fund Advisor Cl [MF]                      |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Northwestern Mutual IRA ⇒<br>Vanguard Intl Equity Index Funds FTSE Emerging Mkts ETF [EF] |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |

| Asset  | Owner | Value of Asset     | Income Type(s) | Income              | Tx. > \$1,000?                      |
|--|-------|--------------------|----------------|---------------------|-------------------------------------|
| Northwestern Mutual IRA ⇒ Vanguard Malvern Fds Short-Term Inflation Protected Secs Index Fund [EF] |       | \$1,001 - \$15,000 | Tax-Deferred   |                     | <input type="checkbox"/>            |
| Northwestern Mutual IRA ⇒ Vanguard Total Bond Market ETF [EF]                                      |       | \$1,001 - \$15,000 | Tax-Deferred   |                     | <input checked="" type="checkbox"/> |
| Northwestern Mutual IRA - SP ⇒ iShares TR MSCI EAFE Minimum Volatility ETF [EF]                    | SP    | \$1,001 - \$15,000 | Tax-Deferred   |                     | <input type="checkbox"/>            |
| Northwestern Mutual IRA - SP ⇒ iShares TR MSCI USA Minimum Volatility ETF [EF]                     | SP    | \$1,001 - \$15,000 | Tax-Deferred   |                     | <input type="checkbox"/>            |
| Northwestern Mutual IRA - SP ⇒ iShares TR Russell Mid-Cap ETF [EF]                                 | SP    | \$1,001 - \$15,000 | Tax-Deferred   |                     | <input type="checkbox"/>            |
| Northwestern Mutual IRA - SP ⇒ Northwestern Mutual - Cash [BA]                                     | SP    | \$1,001 - \$15,000 | Tax-Deferred   |                     | <input type="checkbox"/>            |
| Northwestern Mutual IRA - SP ⇒ Vanguard Intermediate Term Bond ETF [EF]                            | SP    | \$1,001 - \$15,000 | Tax-Deferred   |                     | <input type="checkbox"/>            |
| OTB Productions LLC [IP]   | SP    | Undetermined       | Royalties      | \$15,001 - \$50,000 | <input type="checkbox"/>            |
| DESCRIPTION: Holds rights to the film "Little White Lie"   |       |                    |                |                     |                                     |

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

| Asset   | Owner | Date       | Tx. Type | Amount             | Cap. Gains > \$200? |
|---|-------|------------|----------|--------------------|---------------------|
| DC1 New York 529 College Savings Plan 2 ⇒ Aggressive Age-Based Option Aggressive Portfolio [MF] | DC    | 12/21/2020 | P        | \$1,001 - \$15,000 |                     |
| DC2 New York 529 College Savings Plan 2 ⇒ Aggressive Age-Based Option Aggressive Portfolio [MF] | DC    | 12/21/2020 | P        | \$1,001 - \$15,000 |                     |
| JP Morgan Chase IRA ⇒ iShares Core S&P Mid Cap ETF [EF]   |       | 02/3/2020  | P        | \$1,001 - \$15,000 |                     |
| JP Morgan Chase IRA ⇒ iShares Core S&P Small ETF [EF]   |       | 02/3/2020  | P        | \$1,001 - \$15,000 |                     |

| Asset  | Owner | Date       | Tx. Type       | Amount             | Cap. Gains > \$200?      |
|--|-------|------------|----------------|--------------------|--------------------------|
| JP Morgan Chase IRA ⇒<br>iShares Core Total USD Bond Market ETF [EF]         |       | 02/3/2020  | P              | \$1,001 - \$15,000 | <input type="checkbox"/> |
| JP Morgan Chase IRA ⇒<br>iShares Edge MSCI Min Vol Emerging Markets ETF [EF] |       | 02/3/2020  | P              | \$1,001 - \$15,000 | <input type="checkbox"/> |
| JP Morgan Chase IRA ⇒<br>iShares Edge MSCI Min Vol USA ETF [EF]              |       | 02/3/2020  | P              | \$1,001 - \$15,000 | <input type="checkbox"/> |
| JP Morgan Chase IRA ⇒<br>SPDR S&P 500 ETF Trust [EF]                         |       | 02/3/2020  | P              | \$1,001 - \$15,000 | <input type="checkbox"/> |
| JP Morgan Chase IRA ⇒<br>Vanguard FTSE Developed Markets ETF [EF]            |       | 02/3/2020  | P              | \$1,001 - \$15,000 | <input type="checkbox"/> |
| JP Morgan Chase IRA ⇒<br>Vanguard FTSE Emerging Markets ETF [EF]             |       | 02/3/2020  | P              | \$1,001 - \$15,000 | <input type="checkbox"/> |
| JP Morgan Chase IRA ⇒<br>Vanguard Intermediate Term Bond ETF [EF]            |       | 02/3/2020  | P              | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Northwestern Mutual IRA ⇒<br>iShares TR Core S&P 500 ETF [EF]                |       | 08/31/2020 | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Northwestern Mutual IRA ⇒<br>iShares TR MSCI USA Value Factor ETF [EF]       |       | 08/31/2020 | P              | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Northwestern Mutual IRA ⇒<br>The Oakmark Select Fund Advisor Cl [MF]         |       | 07/25/2020 | P              | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Northwestern Mutual IRA ⇒<br>The Oakmark Select Fund Inv Cl [MF]             |       | 07/25/2020 | S              | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Northwestern Mutual IRA ⇒<br>Vanguard Total Bond Market ETF [EF]             |       | 08/31/2020 | P              | \$1,001 - \$15,000 | <input type="checkbox"/> |

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

| Source                 | Type          | Amount |
|------------------------|---------------|--------|
| Gold Glass Productions | spouse salary | N/A    |

**SCHEDULE D: LIABILITIES**

| Owner | Creditor     | Date Incurred | Type                           | Amount of Liability   |
|-------|--------------|---------------|--------------------------------|-----------------------|
|       | Ed Financial | August 2002   | Student Loans                  | \$50,001 - \$100,000  |
|       | KeyBank      | January 2017  | Mortgage on personal residence | \$250,001 - \$500,000 |

**SCHEDULE E: POSITIONS**

None disclosed.

**SCHEDULE F: AGREEMENTS**

None disclosed.

**SCHEDULE G: GIFTS**

None disclosed.

**SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

None disclosed.

**SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

**SCHEDULE A AND B ASSET CLASS DETAILS**

- Bank Accounts (Owner: JT)  
LOCATION: US
- DC1 New York 529 College Savings Plan (Owner: DC)  
LOCATION: NY
- DC1 New York 529 College Savings Plan 2 (Owner: DC)  
LOCATION: NY
- DC2 New York 529 College Savings Plan (Owner: DC)  
LOCATION: NY
- DC2 New York 529 College Savings Plan 2 (Owner: DC)  
LOCATION: NY
- JP Morgan Chase IRA
- Northwestern Mutual IRA
- Northwestern Mutual IRA - SP (Owner: SP)

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Antonio Delgado , 08/6/2021