



Filing ID #10056777

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B81 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Dan Schwartz  
**Status:** Congressional Candidate  
**State/District:** NV03

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2024  
**Filing Date:** 05/10/2024  
**Period Covered:** 01/01/2023– 04/12/2024

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Asymmetry Ventures Fund 2 [HE]		\$15,001 - \$50,000	None		
Champions Round [PS] DESCRIPTION: Private equity holding (non-fund).		\$1,001 - \$15,000	None		
Citi Account ⇒ Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	None
Citi Account ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		\$500,001 - \$1,000,000	None		
Citi Account ⇒ Amazon.com, Inc. - Common Stock (AMZN) [ST]		\$100,001 - \$250,000	None		
Citi Account ⇒ Apple Inc. - Common Stock (AAPL) [ST]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Citi Account ⇒ Bank of America Corporation Common Stock (BAC) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Citi Account ⇒ Boeing Company (BA) [ST]		\$100,001 - \$250,000	None		
Citi Account ⇒ Boyd Gaming Corporation Common Stock (BYD) [ST]		\$250,001 - \$500,000	Dividends	\$201 - \$1,000	\$2,501 - \$5,000
Citi Account ⇒ Citigroup, Inc. Common Stock (C) [ST]		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
Citi Account ⇒ Ford Motor Company Common Stock (F) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$2,501 - \$5,000
Citi Account ⇒ General Motors Company Common Stock (GM) [ST]		\$50,001 - \$100,000	Dividends	\$1 - \$200	\$201 - \$1,000
Citi Account ⇒ Groupon, Inc. - Common Stock (GRPN) [ST]		\$1,001 - \$15,000	None		
Citi Account ⇒ iShares China Large-Cap ETF (FXI) [EF]		\$15,001 - \$50,000	None		
Citi Account ⇒ iShares Gold Bullion ETF Hedged Common Unit (CGBLF) [EF]		\$15,001 - \$50,000	Capital Gains	None	\$1 - \$200
Citi Account ⇒ LVMH Moet Hennessy Louis Vuitton Ordinary Shares (LVMHF) [ST]		\$250,001 - \$500,000	Dividends	\$201 - \$1,000	\$2,501 - \$5,000
Citi Account ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Citi Account ⇒ Meta Platforms, Inc. - Class A Common Stock (META) [ST]		\$1,000,001 - \$5,000,000	Dividends	None	\$2,501 - \$5,000
Citi Account ⇒ Microsoft Corporation - Common Stock (MSFT) [ST]		\$250,001 - \$500,000	Dividends	\$201 - \$1,000	\$2,501 - \$5,000
Citi Account ⇒ Paramount Global - Class B Common Stock (PARA) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Citi Account ⇒ Polen Growth Fund Institutional Class (POLIX) [MF]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Citi Account ⇒ RTX Corporation Common Stock (RTX) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$201 - \$1,000
Citi Account ⇒ Snap Inc. Class A Common Stock (SNAP) [ST]		\$1,001 - \$15,000	None		
Citi Account ⇒ SPDR S&P 500 (SPY) [EF]		\$500,001 - \$1,000,000	Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
Citi Account ⇒ SPDR S&P Global Natural Resources ETF (GNR) [EF]		\$1,001 - \$15,000	Dividends	None	\$201 - \$1,000
Citi Account ⇒ Starbucks Corporation - Common Stock (SBUX) [ST]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$2,501 - \$5,000
Citi Account ⇒ Take-Two Interactive Software, Inc. - Common Stock (TTWO) [ST]		\$50,001 - \$100,000	None		
Citi Account ⇒ Uber Technologies, Inc. Common Stock (UBER) [ST]		\$15,001 - \$50,000	None		
Citi Account ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]		\$100,001 - \$250,000	Dividends	None	\$1,001 - \$2,500
Citi Account ⇒ US Treasury Bills [GS]		\$1,000,001 - \$5,000,000	Interest	None	\$100,001 - \$1,000,000
Citi Account ⇒ Visa Inc. (V) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
Citi Account ⇒ Walmart Inc. Common Stock (WMT) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Citi Bank Accounts [BA]		\$100,001 - \$250,000	Interest	\$1 - \$200	\$201 - \$1,000
Citi Bank Accounts [BA]	SP	\$1,001 - \$15,000	Interest	None	\$1 - \$200
ClearClub [PS]		\$15,001 - \$50,000	None		
DESCRIPTION: Private equity holding (non-fund).					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Digital Currency Group [PS] DESCRIPTION: Private equity holding (non-fund).		\$5,000,001 - \$25,000,000	None		
HSBC Bank Accounts [BA]		\$1,001 - \$15,000	Interest	None	\$1 - \$200
Irrigreen [PS] DESCRIPTION: Private equity holding (non-fund).		\$15,001 - \$50,000	None		
Lake Mountain [PS] DESCRIPTION: Private equity holding (non-fund).		\$250,001 - \$500,000	None		
Leia [PS] DESCRIPTION: Private equity holding (non-fund).		\$1,001 - \$15,000	None		
Open Sesame [PS] DESCRIPTION: Private equity holding (non-fund).		\$1,001 - \$15,000	None		
Overplay [PS] DESCRIPTION: Private equity holding (non-fund).		\$50,001 - \$100,000	None		
QuSecure [PS] DESCRIPTION: Private equity holding (non-fund).		\$100,001 - \$250,000	None		
Schwab Account ⇒ ATAI Life Sciences N.V. - Common Shares (ATAI) [ST]		\$1,001 - \$15,000	None		
Schwab Account ⇒ GoPro, Inc. - Class A Common Stock (GPRO) [ST]		\$1,001 - \$15,000	None		
Schwab Account ⇒ Skillz Inc. Class A Common Stock (SKLZ) [ST]		\$1,001 - \$15,000	None		
Schwab Account ⇒ Tilray Brands, Inc. - Common Stock (TLRY) [ST]		\$1,001 - \$15,000	None		
Suavei [PS] DESCRIPTION: Private equity holding (non-fund).		\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
SUM Ventures [HE]		\$50,001 - \$100,000	None		
TRL 11 [HE]		\$1,001 - \$15,000	None		
Ulmer Brothers, Inc. ⇒ CAR GROUP LTD U/ADR (CSXXY) [ST]		\$50,001 - \$100,000	Dividends	None	\$1,001 - \$2,500
Ulmer Brothers, Inc. ⇒ Cisco Systems, Inc. - Common Stock (CSCO) [ST]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$5,001 - \$15,000
Ulmer Brothers, Inc. ⇒ Citi Checking Account [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Ulmer Brothers, Inc. ⇒ Citigroup, Inc. Common Stock (C) [ST]		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	\$5,001 - \$15,000
Ulmer Brothers, Inc. ⇒ FleetCor Technologies, Inc. Common Stock (FLT) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
Ulmer Brothers, Inc. ⇒ GE Aerospace Common Stock (GE) [ST]		\$100,001 - \$250,000	Dividends	None	\$201 - \$1,000
Ulmer Brothers, Inc. ⇒ GE HealthCare Technologies Inc. - Common Stock (GEHC) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	\$1 - \$200
Ulmer Brothers, Inc. ⇒ Intel Corporation - Common Stock (INTC) [ST]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Ulmer Brothers, Inc. ⇒ KERING SA ORD (PPRUF) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Ulmer Brothers, Inc. ⇒ MFS Meridian European Research Fund [OT] DESCRIPTION: SICAV investment fund ISIN: LU0219440335		\$1,000,001 - \$5,000,000	None		
Ulmer Brothers, Inc. ⇒ Pro-Invest Australian Offshore Fund [HE]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	\$50,001 - \$100,000
Ulmer Brothers, Inc. ⇒ SPDR S&P 500 (SPY) [EF]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Ulmer Brothers, Inc. ⇒ US Treasury Bill [GS]		\$100,001 - \$250,000	Interest	None	\$2,501 - \$5,000
Ulmer Brothers, Inc. ⇒ Western Asset Government Money Market [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1,001 - \$2,500
US Treasury Bill [GS]	SP	\$100,001 - \$250,000	Interest	None	\$1,001 - \$2,500

\* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

**SCHEDULE C: EARNED INCOME**

None disclosed.

**SCHEDULE D: LIABILITIES**

None disclosed.

**SCHEDULE E: POSITIONS**

Position	Name of Organization
President	Ulmer Brothers, Inc.

**SCHEDULE F: AGREEMENTS**

None disclosed.

**SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

None disclosed.

**SCHEDULE A INVESTMENT VEHICLE DETAILS**

<ul style="list-style-type: none"><li>◦ Citi Account</li><li>◦ Schwab Account</li><li>◦ Ulmer Brothers, Inc. (100% Interest) LOCATION: US</li></ul>
---

**EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be

disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Dan Schwartz , 05/10/2024