FINANCIAL DISCLOSURE REPORT

FILER INFORMATION

Name:	Hon. William R. Keating
Status:	Member
State/District:	MA09

FILING INFORMATION

Filing Type:	Annual Report
Filing Year:	2020
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SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
271 canton st [RP] LOCATION: stoughton, MA, US	JT	$100,001 - $250,000	Rent	$2,501 - $5,000	☐
Aberdeen std gold [MF]		$15,001 - $50,000	Tax-Deferred		☑
American euro pacific growth [MF]		$15,001 - $50,000	Dividends	$1 - $200	☑
Baird Aggregate Bond Inst [MF]		$50,001 - $100,000	Tax-Deferred		☐
Black rock Strat Inc Opp [MF]		$15,001 - $50,000	Tax-Deferred		☑
Blackrock event driven equity [MF]		$50,001 - $100,000	Tax-Deferred		☑
blackrock total factor [MF]		$15,001 - $50,000	Tax-Deferred		☑
BrownCapMgt SmallCo [MF]		$15,001 - $50,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Com of Mass Retirement [PE]		$100,001 - $250,000	See Schedule C	$100,001 - $1,000,000	☐
Dodge and Cox Inc [MF]		$100,001 - $250,000	Tax-Deferred		☐
Double line Ret Bond [MF]		$15,001 - $50,000	Tax-Deferred		☐
doubleline total return [MF]		$15,001 - $50,000	Tax-Deferred		☑
fidelity advisor intl. small cap [MF]		$50,001 - $100,000	Tax-Deferred		☐
fidelity contrafund [MF]		$100,001 - $250,000	Tax-Deferred		☑
Fidelity intermediate Muni Inc [MF]		$100,001 - $250,000	Dividends	$1,001 - $2,500	☐
FidelityCash Reserve [MF]		$15,001 - $50,000	Tax-Deferred		☐
IQ hedge multi strategy tracker [MF]		$15,001 - $50,000	Tax-Deferred		☑
Ishares Barclays 1-3 treasury bond [MF]		$15,001 - $50,000	Tax-Deferred		☐
IShares Edge Multifactor Int [MF]		$15,001 - $50,000	Tax-Deferred		☐
ishares s and p 500 value index [MF]		$50,001 - $100,000	Dividends	$201 - $1,000	☐
IShares Trust EAFE Gro [MF]		$1,001 - $15,000	Tax-Deferred		☐
JP Morgan Core Bond [MF]		$100,001 - $250,000	Tax-Deferred		☐
Loomis Sayles Bond [MF]		$15,001 - $50,000	Tax-Deferred		☑
LSV Sm Cap Val Inst [MF]		$15,001 - $50,000	Tax-Deferred		☑
principal diversified real asset fund [MF]		$50,001 -	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
		$100,000			
SPDR scr tr MCSI USA strategic [MF]		$15,001 - $50,000	Tax-Deferred		☐
stone ridge alt lending [MF]		$15,001 - $50,000	Tax-Deferred		☑
Stone Ridge Reinsurance Risk [MF]		$15,001 - $50,000	Dividends	$1,001 - $2,500	☑
touchstone sands cap emerg mrkts growth inst [MF]		$50,001 - $100,000	Tax-Deferred		☐
Trans America Mid Cap [MF]		$15,001 - $50,000	Tax-Deferred		☑
TRowePrice MidCap Gro [MF]		$15,001 - $50,000	Tax-Deferred		☐
Tweedy Browne Global Val [MF]		$15,001 - $50,000	Tax-Deferred		☑
US Airways Retirement [PE]		$1,001 - $15,000	See Schedule C	$5,001 - $15,000	☐
Vanguard Balanced Index [MF]		$1,001 - $15,000	Dividends	$201 - $1,000	☐
Vanguard dividend appreciation [MF]		$50,001 - $100,000	Tax-Deferred		☑
vanguard extended mrkt etf s&p compl [MF]		$15,001 - $50,000	Tax-Deferred		☐
Vanguard federal Money Mkt [MF]		$1 - $1,000	Tax-Deferred		☐
Vanguard Intermediate Tax [MF]		$100,001 - $250,000	Dividends	$201 - $1,000	☐
Vanguard Tax Managed Balanced [MF]		$1,001 - $15,000	Dividends	$201 - $1,000	☐

* For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Aberdeen std gold etf tr physalis gold [MF]		08/26/2020	P	$15,001 - $50,000	
Baird ultrashort bond fund [MF]		04/14/2020	S	$100,001 - $250,000	☐
Black rock total factor fd instl [MF]		01/28/2020	P	$15,001 - $50,000	
Blackrock event driven equity instl [MF]		02/25/2020	P	$50,001 - $100,000	
Blackrock strategic income opps instl [MF]		07/21/2020	S (partial)	$1,001 - $15,000	☐
Blackrock total factor fd instl [MF]		12/11/2020	S (partial)	$15,001 - $50,000	☐
Boston partners global long short insl [MF]		02/25/2020	S	$50,001 - $100,000	☐
Double line total return [MF]		12/30/2020	P	$1,001 - $15,000	
Double line total return [MF]		12/30/2020	P	$1,001 - $15,000	
Europacific growth fund [MF]		05/11/2020	P	$15,001 - $50,000	
Fidelity contrafund [MF]		07/21/2020	S (partial)	$15,001 - $50,000	☐
Fidelity intermediate muni income [MF]		07/21/2020	S (partial)	$1,001 - $15,000	☐
I shares Barclays 1-3 year treasury bond [MF]		08/26/2020	S (partial)	$15,001 - $50,000	☐
IQ hedge multi-strategy tracker [MF]		12/11/2020	P	$15,001 - $50,000	
Is hares Barclays 1-3year treasury bond [MF]		06/1/2020	P	$50,001 - $100,000	
Ishares edge msci multi factor intl [MF]		05/11/2020	S (partial)	$15,001 - $50,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Ishares s&pmidcap 400 value [MF]		03/26/2020	S	$1,001 - $15,000	☐
Ishares trust core msci total intl [MF]		06/1/2020	S	$50,001 - $100,000	☐
LEV small cap value [MF]		03/19/2020	S (partial)	$1,001 - $15,000	☐
Loo is styles bond [MF]		04/14/2020	P	$100,001 - $250,000	
SPDR ser tr Mcsi USA strtgic [MF]		09/23/2020	P	$15,001 - $50,000	
Stone ridge all asset [MF]		01/10/2020	S (partial)	$15,001 - $50,000	☐
Stone ridge alt lending [MF]		09/11/2020	S (partial)	$1,001 - $15,000	☐
Stone ridge alt lending [MF]		06/12/2020	S (partial)	$1,001 - $15,000	☐
Stone ridge alt lending [MF]		03/13/2020	S (partial)	$15,001 - $50,000	☐
Stone ridge ALT lending [MF]		12/4/2020	S (partial)	$15,001 - $50,000	☐
Stone ridge elements us port [MF]		09/23/2020	S	$15,001 - $50,000	☐
Stone ridge high yield reinsurance risk prem [MF]		05/6/2020	P	$15,001 - $50,000	
Stone ridge reinsurance risk [MF]		02/28/2020	S (partial)	$15,001 - $50,000	☐
Stone ridge reinsurance risk [MF]		05/6/2020	P	$15,001 - $50,000	
Stone ridge reinsurance risk [MF]		05/29/2020	S (partial)	$1,001 - $15,000	☐
Stone ridge reinsurance risk [MF]		08/31/2020	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Stone ridge reinsurance risk [MF]		11/20/2020	S (partial)	$1,001 - $15,000	☐
Stone ridge reinsurance risk premium [MF]		11/20/2020	S (partial)	$1,001 - $15,000	☐
Stone ridge reinsurance risk premium [MF]		08/21/2020	S (partial)	$1,001 - $15,000	☐
Stone ridge reinsurance risk premium [MF]		05/29/2020	S (partial)	$1,001 - $15,000	☐
Transamerica mid cap value [MF]		03/26/2020	P	$1,001 - $15,000	
Tweedy brown global value [MF]		07/21/2020	S (partial)	$15,001 - $50,000	☐
Vanguard 500 index admiral [MF]		06/1/2020	S (partial)	$15,001 - $50,000	☐
Vanguard dividend appreciation [MF]		03/11/2020	P	$50,001 - $100,000	
Vanguard intermediate-term tax exempt adm [MF]		07/21/2020	S (partial)	$1,001 - $15,000	☐
Vanguard small cap value [MF]		03/19/2020	P	$1,001 - $15,000	
Vanguard small cap value etf [MF]		07/21/2020	S (partial)	$1,001 - $15,000	☐

* For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Commonwealth of mass.retirement plan	Retirement plan	$113,880.00
US airways retirement plan	Retirement plan-spouse	N/A

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
director	sharon Credit Union

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 1999	commonwealth of Massachusetts	retirement plan
September 1996	spouse/u.s. airways	retirement plan

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

CERTIFICATION AND SIGNATURE

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. William R. Keating , 08/4/2021