



Filing ID #10047719

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Deborah K. Ross  
**Status:** Member  
**State/District:** NC02

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2021  
**Filing Date:** 05/14/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AMG Managers Special Equity (IRA) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
AMG Managers Special Equity (Roth) [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Duke Retirement Plan ⇒ Vanguard Institutional Target 2030 Retirement Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Fidelity Brokerage Account ⇒ Fidelity Money Market [BA]	JT	\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
Fidelity Brokerage Account ⇒ Microsoft Corporation (MSFT) [ST]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Fidelity IRA 1 ⇒ Advanced Micro Devices, Inc. (AMD) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity IRA 1 ⇒ Albemarle Corporation (ALB) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity IRA 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Fidelity IRA 1 ⇒ Applied Materials, Inc. (AMAT) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity IRA 1 ⇒ Cleveland-Cliffs Inc. (CLF) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity IRA 1 ⇒ CrowdStrike Holdings, Inc. - Class A (CRWD) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity IRA 1 ⇒ Fidelity Money Market [BA]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Fidelity IRA 1 ⇒ Freeport-McMoRan, Inc. (FCX) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity IRA 1 ⇒ Lam Research Corporation (LRCX) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity IRA 1 ⇒ SPDR S&P Bank ETF (KBE) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity IRA 1 ⇒ Walt Disney Company (DIS) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity IRA 2 ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity IRA 2 ⇒ Fidelity Money Market [BA]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Fidelity IRA 2 ⇒ Gilead Sciences, Inc. (GILD) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fidelity IRA 2 ⇒ Invesco QQQ Trust, Series 1 (QQQ) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fidelity IRA 2 ⇒ iShares MSCI Emerging Index Fund (EEM) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fidelity IRA 2 ⇒ Ishares NASDAQ Biotechnology Fund [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fidelity IRA 2 ⇒	SP	\$50,001 -	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
iShares Russell 1000 Value ETF (IWD) [EF]		\$100,000			<input type="checkbox"/>
Fidelity IRA 2 ⇒ iShares Russell 2000 ETF (IWM) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fidelity IRA 2 ⇒ iShares Semiconductor ETF (SOXX) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity IRA 2 ⇒ iShares U.S. Home Construction ETF (ITB) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fidelity IRA 2 ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fidelity IRA 2 ⇒ Materials Select Sector SPDR (XLB) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity IRA 2 ⇒ Roundhill Sports Betting & iGaming ETF (BETZ) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity IRA 2 ⇒ SPDR MidCap Trust Series I (MDY) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fidelity IRA 2 ⇒ SPDR S&P 500 (SPY) [EF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity IRA 2 ⇒ SPDR S&P Regional Banking ETF (KRE) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity IRA 2 ⇒ SPDR Select Sector Fund - Energy Select Sector (XLE) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fidelity IRA 2 ⇒ SPDR Select Sector Fund - Financial (XLF) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity IRA 2 ⇒ SPDR Select Sector Fund - Industrial (XLI) [EF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity IRA 2 ⇒ SPDR Select Sector Fund - Technology (XLK) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fidelity IRA 2 ⇒ SPDR Series Trust SPDR Homebuilders ETF (XHB) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Fidelity IRA 2 ⇒ VanEck Gaming ETF (BJK) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity IRA 2 ⇒ VanEck Semiconductor ETF (SMH) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity Roth IRA ⇒ Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Fidelity Roth IRA ⇒ Direxion Moonshot Innovators ETF (MOON) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Fidelity Roth IRA ⇒ Fidelity Money Market [BA]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fidelity Roth IRA ⇒ Invesco Dynamic Leisure and Entertainment ETF (PEJ) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Fidelity Roth IRA ⇒ ironSource Ltd. Class A Ordinary Shares (IS) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity Roth IRA ⇒ Oracle Corporation (ORCL) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Fidelity Roth IRA ⇒ SoFi Social 50 ETF (SFYF) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Fox Rothschild RSP ⇒ Vanguard Institutional Target 2030 Retirement Fund [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
IAB Brokerage Account (IRA) ⇒ Apple Inc. (AAPL) [OP] DESCRIPTION: AAPL 20Jan23 180 Call. Not part of compensation of ESOP	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
IAB Brokerage Account (IRA) ⇒ General Motors Company (GM) [OP] DESCRIPTION: GM 20 Jan 23 50 Calls Not part of compensation or ESOP	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
IAB Brokerage Account (IRA) ⇒ Meta Platforms, Inc. - Class A (FB) [OP] DESCRIPTION: FB 20Jan23 340 Calls Not part of compensation or ESOP	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
IAB Brokerage Account (IRA) ⇒	SP	\$100,001 -	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Money Market [BA]		\$250,000			<input type="checkbox"/>
IAB Brokerage Account (IRA) ⇒ NeoGenomics, Inc. (NEO) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Mountain Rental [RP]  LOCATION: Lansing, NC, US	SP	\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
Pension - State of North Carolina [PE]		Undetermined	Pension	None	<input type="checkbox"/>
Pension - The American Civil Liberties Union [PE]		Undetermined	Pension	None	<input type="checkbox"/>
Primary Residence [RP]  LOCATION: RALEIGH, NC, US	JT	\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
RS Growth Fund Class A (IRA) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
State 401k ⇒ NC Fixed Income Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
State 401k ⇒ NC International Fund [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
State 401k ⇒ NC Large Cap Core Fund [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
State 401k ⇒ NC Large Cap Index Fund [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
State 401k ⇒ NC Small Mid Cap Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
State 401k ⇒ NC Small Mid Cap Index Fund [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
State Employees Credit Union Accounts [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
TTA 401a ⇒ Mission Squared Diversified International [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
TTA 401a ⇒ Mission Squared Growth Fund R1 [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TTA 401a ⇒ Mission Squared Plus Fund R1 [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TTA 457(b) ⇒ Fidelity OTC [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
TTA 457(b) ⇒ Invesco Opp Global A [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TTA 457(b) ⇒ Nationwide MidCap Mkt Index A [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TTA 457(b) ⇒ Nationwide Small Cap Index A [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Unimproved Lot [RP]  LOCATION: Avon, NC, US	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Vacation Rental [RP]  LOCATION: Salvo, NC, US	JT	\$500,001 - \$1,000,000	Rent	\$50,001 - \$100,000	<input type="checkbox"/>
Vanguard 500 Index Fund Admiral (IRA) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Vanguard Growth Index Fund Admiral (Roth) [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Fidelity Brokerage Account ⇒ Microsoft Corporation (MSFT) [ST]	JT	12/9/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Fidelity IRA 1 ⇒ Advanced Micro Devices, Inc. (AMD) [ST]	SP	04/27/2021	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Fidelity IRA 1 ⇒ Albemarle Corporation (ALB) [ST]	SP	12/28/2021	P	\$15,001 - \$50,000	
Fidelity IRA 1 ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	04/27/2021	P	\$15,001 - \$50,000	
Fidelity IRA 1 ⇒ Applied Materials, Inc. (AMAT) [ST]	SP	04/27/2021	P	\$1,001 - \$15,000	
Fidelity IRA 1 ⇒ Cleveland-Cliffs Inc. (CLF) [ST]	SP	04/27/2021	P	\$15,001 - \$50,000	
Fidelity IRA 1 ⇒ CrowdStrike Holdings, Inc. - Class A (CRWD) [ST]	SP	04/27/2021	P	\$15,001 - \$50,000	
Fidelity IRA 1 ⇒ Freeport-McMoRan, Inc. (FCX) [ST]	SP	04/27/2021	P	\$15,001 - \$50,000	
Fidelity IRA 1 ⇒ Lam Research Corporation (LRCX) [ST]	SP	04/27/2021	P	\$15,001 - \$50,000	
Fidelity IRA 1 ⇒ SPDR S&P Bank ETF (KBE) [EF]	SP	04/1/2021	P	\$15,001 - \$50,000	
Fidelity IRA 1 ⇒ Walt Disney Company (DIS) [ST]	SP	12/28/2021	P	\$15,001 - \$50,000	
Fidelity IRA 2 ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	SP	04/1/2021	P	\$15,001 - \$50,000	
Fidelity IRA 2 ⇒ iShares Russell 1000 Value ETF (IWD) [EF]	SP	04/1/2021	P	\$15,001 - \$50,000	
Fidelity IRA 2 ⇒ iShares Semiconductor ETF (SOXX) [EF]	SP	04/1/2021	P	\$15,001 - \$50,000	
Fidelity IRA 2 ⇒ iShares U.S. Home Construction ETF (ITB) [EF]	SP	04/1/2021	P	\$15,001 - \$50,000	
Fidelity IRA 2 ⇒ Materials Select Sector SPDR (XLB) [ST]	SP	01/4/2021	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Fidelity IRA 2 ⇒ Roundhill Sports Betting & iGaming ETF (BETZ) [EF]	SP	04/1/2021	P	\$15,001 - \$50,000	
Fidelity IRA 2 ⇒ SPDR S&P 500 (SPY) [ST]	SP	01/4/2021	P	\$50,001 - \$100,000	
Fidelity IRA 2 ⇒ SPDR S&P Regional Banking ETF (KRE) [EF]	SP	04/1/2021	P	\$15,001 - \$50,000	
Fidelity IRA 2 ⇒ SPDR Select Sector Fund - Financial (XLF) [ST]	SP	01/4/2021	P	\$15,001 - \$50,000	
Fidelity IRA 2 ⇒ SPDR Select Sector Fund - Industrial (XLI) [EF]	SP	04/1/2021	P	\$15,001 - \$50,000	
Fidelity IRA 2 ⇒ SPDR Series Trust SPDR Homebuilders ETF (XHB) [EF]	SP	04/1/2021	P	\$15,001 - \$50,000	
Fidelity IRA 2 ⇒ VanEck Gaming ETF (BJK) [EF]	SP	04/1/2021	P	\$15,001 - \$50,000	
Fidelity IRA 2 ⇒ VanEck Semiconductor ETF (SMH) [EF]	SP	04/1/2021	P	\$15,001 - \$50,000	
Fidelity Roth IRA ⇒ ironSource Ltd. Class A Ordinary Shares (IS) [ST]	SP	12/31/2021	P	\$1,001 - \$15,000	
Fidelity Roth IRA ⇒ Oracle Corporation (ORCL) [ST]	SP	01/4/2021	P	\$15,001 - \$50,000	
IAB Brokerage Account (IRA) ⇒ Apple Inc. (AAPL) [OP] DESCRIPTION: AAPL 20JAN23 180 Call Not part of Compensation or ESOP	SP	12/30/2021	P	\$15,001 - \$50,000	
IAB Brokerage Account (IRA) ⇒ General Motors Company (GM) [OP] DESCRIPTION: GM 20JAN23 50 Calls Not part of Compensation or ESOP	SP	12/30/2021	P	\$1,001 - \$15,000	
IAB Brokerage Account (IRA) ⇒ Meta Platforms, Inc. - Class A (FB) [OP] DESCRIPTION: FB 20JAN23 340 Calls Not part of compensation or ESOP	SP	12/30/2021	P	\$15,001 - \$50,000	
IAB Brokerage Account (IRA) ⇒	SP	12/30/2021	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
NeoGenomics, Inc. (NEO) [ST]					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	First National Bank	September 2012	Mortgage-Salvo	\$100,001 - \$250,000
JT	Caliber Home Loans	December 2020	Mortgage for DC residence	\$500,001 - \$1,000,000
JT	Amerisave Mortgage	June 2020	Mortgage for Raleigh Residence	\$250,001 - \$500,000

## SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	Brown Alumni Association
Advisory Board Member	Lightner Y Achievers

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2003	Myself and State of North Carolina	Continued participation in employee benefit plan. Vested in legislative pension plan after 5 years of legislative service, serving from 2003 to 2013
January 2003	Myself and State of North Carolina	Continued participation in employee health plan, with lifetime medical benefits upon attaining the age of 60.
September 1994	Myself and American Civil Liberties Union	Continued participation in employee pension plan, vesting after 7 years of service.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- Duke Retirement Plan
- Fidelity Brokerage Account (Owner: JT)  
LOCATION: US
- Fidelity IRA 1 (Owner: SP)
- Fidelity IRA 2 (Owner: SP)
- Fidelity Roth IRA (Owner: SP)
- Fox Rothschild RSP
- IAB Brokerage Account (IRA) (Owner: SP)
- State 401k
- TTA 401a
- TTA 457(b)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Deborah K. Ross , 05/14/2022