



Filing ID #10037757

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Katherine M. Clark
Status: Member
State/District: MA05

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2019
Filing Date: 08/12/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise SEP IRA ⇒ ABB Ltd (ABB) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ AMC Networks Inc. - Class A (AMCX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ American Beacon SIM High Yield Opptys CL Y [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ BNY Mellon Global Real Return CL I [MF] COMMENTS: name changed from Dreyfus	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Coca-Cola European Partners plc Ordinary Shares (CCE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Emerson Electric Company (EMR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Guggenheim Total Return Bond Instl CL [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise SEP IRA ⇒ Hasbro, Inc. (HAS) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Home Depot, Inc. (HD) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ HSBC Holdings, plc. (HSBC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Intel Corporation (INTC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Invesco Emerging Markets Sovereign Debt ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Invitation Homes Inc. (INVH) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Janus Henderson Enterprise CL I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ JP Morgan Hedged Equity CL I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ JPMorgan Strategic Income Opptys CL I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Kimberly-Clark Corporation (KMB) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Lord Abbett Short Duration Income CL F [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Mainstay Mackay Short Duration High Yield CL I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Marathon Petroleum Corporation (MPC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise SEP IRA ⇒ Mosaic Company (MOS) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Oracle Corporation (ORCL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Ritchie Bros. Auctioneers Incorporated (RBA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Vanguard Small Cap ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Visa Inc. (V) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ ABB Ltd (ABB) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ AMC Networks Inc. - Class A (AMCX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ American Beacon SIM High Yield Opptys CL Y [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ BNY Mellon Global Real Return CL I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
COMMENTS: name changed from Dreyfus					
Ameriprise Traditional IRA ⇒ Coca-Cola European Partners plc Ordinary Shares (CCE) [ST]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Emerson Electric Company (EMR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Guggenheim Total Return Bond Instl Cl [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise Traditional IRA ⇒ Hasbro, Inc. (HAS) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Home Depot, Inc. (HD) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ HSBC Holdings, plc. (HSBC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Intel Corporation (INTC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Invesco Emerging Markets Sovereign Debt ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Invitation Homes Inc. (INVH) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Janus Henderson Enterprise CL I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ JP Morgan Hedged Equity CL I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ JPMorgan Strategic Income Opptys CL I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Kimberly-Clark Corporation (KMB) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Lord Abbett Short Duration Income CL F [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Mainstay Mackay Short Duration High Yield CL I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Marathon Petroleum Corporation (MPC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise Traditional IRA ⇒ Mosaic Company (MOS) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Oracle Corporation (ORCL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Ritchie Bros. Auctioneers Incorporated (RBA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Vanguard Small Cap ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Visa Inc. (V) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Bank of America Account [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Capital One Savings Account [BA]	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
COPERA 401(K) Plan ⇒ PERAdvantage 2030 Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
COPERA 401(K) Plan ⇒ PERAdvantage US Large Cap Stock [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
D1 Irrevocable Trust ⇒ John Hancock 529 Enrollment Portfolio C2 [5P]	DC	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: AK COMMENTS: name changed from College Portfolio to Enrollment Portfolio					
D1 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio C2 [5P]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: AK					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
D1 Irrevocable Trust ⇒ John Hancock Fixed Income Portfolio C2 [5P] LOCATION: AK	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
D1 Irrevocable Trust ⇒ Sequoia Fund [MF]	DC	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Enrollment Portfolio A [5P] LOCATION: AK COMMENTS: name changed from College to Enrollment Portfolio	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Enrollment Portfolio C2 [5P] LOCATION: AK COMMENTS: name changed from College to Enrollment portfolio	DC	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio A [5P] LOCATION: AK	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio C2 [5P] LOCATION: AK	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
D2 Irrevocable Trust ⇒ Sequoia Fund [MF]	DC	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock 529 Enrollment Portfolio A [5P] LOCATION: AK COMMENTS: name changed from College Portfolio to Enrollment Portfolio	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock 529 Enrollment Portfolio C2 [5P] LOCATION: AK COMMENTS: name changed from College Portfolio to Enrollment Portfolio	DC	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio A [5P] LOCATION: AK	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio A [5P] LOCATION: AK	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
D3 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio C2 [5P] LOCATION: AK	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
D3 Irrevocable Trust ⇒ Sequoia Fund [MF]	DC	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Eastern Bank Account [BA]	JT	\$250,001 - \$500,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Family Home (non-primary) [RP] LOCATION: Truro, MA, US	JT	\$1,000,001 - \$5,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Apple Inc. (AAPL) [ST]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ AT&T Inc. (T) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Avondale AZ Projs of 1998 2007 Build Amer [GS] DESCRIPTION: 7.8%, 7/1/29 Redeemed 7/1/2019		None	Interest	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		\$100,001 - \$250,000	None		<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Camden County NJ Impt Auth Lease Rev Taxable-C [GS] DESCRIPTION: 6.72%, 7/1/23		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Cisco Systems, Inc. (CSCO) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Fidelity Government Money Market [BA] DESCRIPTION: Cash holdings		\$500,001 - \$1,000,000	None		<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Ford Motor Company (F) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ FS Energy and Power Fund [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Judith S. Clark Irrevocable Trust ⇒ GDB Debt Recovery Auth of Commonwealth of Puerto Rico [GS]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 5.2%, 8/1/26					
Judith S. Clark Irrevocable Trust ⇒ HEALTHSOUTH CORP SR NT [AB]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 5.75% 11/01/24					
Judith S. Clark Irrevocable Trust ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ JP Morgan Chase & Co. SR NT [AB]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 3.25%; 9/23					
Judith S. Clark Irrevocable Trust ⇒ KB HOME SR NT 7.500% [AB]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 7.500% 9/15/22					
Judith S. Clark Irrevocable Trust ⇒ MICROSOFT CORP FIXEDRT NT [CS]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 3.0%, 10/1/20					
Judith S. Clark Irrevocable Trust ⇒ Microsoft Corporation (MSFT) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ NETAPP INC SR NT [AB]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 3.250% 12/15/22					
Judith S. Clark Irrevocable Trust ⇒ New Orleans LA RFDG-Taxable-Ltd Tax [GS]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 3.142%, 9/1/20					
Judith S. Clark Irrevocable Trust ⇒ New York Community Bancorp, Inc. (NYCB) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ ROYAL BK SCOTLAND GROUP PLC SUB NT TIER 2 ISIN#US780097AZ42 [AB]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 6.000% 12/19/23					
Judith S. Clark Irrevocable Trust ⇒ SPDR S&P 500 ETF [EF]		\$250,001 - \$500,000	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Judith S. Clark Irrevocable Trust ⇒ STATE STR CORP SR SUB NT [AB]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 3.100% 05/15/23					
Judith S. Clark Irrevocable Trust ⇒ TEVA PHARMACEUTICAL FIN IV B V SR NT [AB]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 3.650% 11/10/21					
Judith S. Clark Irrevocable Trust ⇒ United Parcel Service, Inc. (UPS) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Verizon Communications Inc. (VZ) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Walt Disney Company (DIS) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ WELLS FARGO & CO NEWMEDIUM TERM SR NTS FXD RT SER M [CS]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 4.125%; 08/15/23					
Katherine M. Clark Exempt Trust ⇒ Fidelity Government Cash Reserves [MF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Katherine M. Clark Exempt Trust ⇒ Ruane, Cunniff, & Goldfarb Inc. [PS]		\$100,001 - \$250,000	Capital Gains, Dividends, Interest, Ordinary business income	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: investment adviser					
Katherine M. Clark Separate Share Trust ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		\$15,001 - \$50,000	Capital Gains	None	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Annaly Capital Management Inc (NLY) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Apple Inc. (AAPL) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ AT&T Inc. (T) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Bank of America Corporation (BAC) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Katherine M. Clark Separate Share Trust ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ BlackRock MuniYield [MF]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Cisco Systems, Inc. (CSCO) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Coca-Cola Company (KO) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ FCASH [BA]		\$250,001 - \$500,000	Interest	\$1 - \$200	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Financial Select Sector SPDR ETF [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	None	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ International Business Machines Corporation (IBM) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Microsoft Corporation (MSFT) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ New York Community Bancorp, Inc. (NYCB) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Oakmark Investor [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Pfizer, Inc. (PFE) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Procter & Gamble Company (PG) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Ruane, Cunniff, & Goldfarb Inc. [PS]		\$100,001 - \$250,000	Capital Gains, Dividends, Interest, Ordinary business income	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: investment adviser					
Katherine M. Clark Separate Share Trust ⇒ Schwab International Equity ETF [EF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Katherine M. Clark Separate Share Trust ⇒ Schwab US Dividend Equity ETF [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ SPDR S&P 500 ETF Trust [EF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Sprint Nextel Corp SR DEB [AB]		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
DESCRIPTION: 9.25%, 4/15/22					
Katherine M. Clark Separate Share Trust ⇒ Vanguard FTSE Emerging Markets ETF [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	None	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Vanguard Russell 2000 ETF [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	None	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Walt Disney Company (DIS) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Law Management Innovation LLC, 100% Interest [OL]	SP	Undetermined	None		<input type="checkbox"/>
LOCATION: Melrose, MA, US					
DESCRIPTION: Consultancy					
Massachusetts state pension [PE]		Undetermined	None		<input type="checkbox"/>
Sequoia Fund [MF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise SEP IRA ⇒ AMC Networks Inc. - Class A (AMCX) [ST]	SP	11/5/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ American Beacon SI??M High Yield Opptys CL Y [MF]	SP	01/29/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ American Tower Corporation (AMT) [ST]	SP	01/29/2019	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise SEP IRA ⇒ American Tower Corporation (AMT) [ST]	SP	05/12/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Anadarko Petroleum Corporation (APC) [ST]	SP	01/29/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Anadarko Petroleum Corporation (APC) [ST]	SP	05/12/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Anadarko Petroleum Corporation (APC) [ST]	SP	06/4/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ BNY Mellon Global Real Return CL I [MF]	SP	01/29/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Centene Corporation (CNC) [ST]	SP	11/5/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Coca-Cola European Partners plc Ordinary Shares (CCEP) [ST]	SP	11/5/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Guggenheim Total Return Bond Instl CL [MF]	SP	11/5/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Guggenheim Total Return Bond Instl CL [MF]	SP	01/29/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Hasbro, Inc. (HAS) [ST]	SP	06/4/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Hasbro, Inc. (HAS) [ST]	SP	11/5/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Honeywell International Inc. (HON) [ST]	SP	07/9/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Invesco Emerging Markets Sovereign Debt ETF [EF]	SP	01/29/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Invesco Ltd (IVZ) [ST]	SP	11/5/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise SEP IRA ⇒ Invitation Homes Inc. (INVH) [ST]	SP	11/5/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Janus Henderson Enterprise CL I [MF]	SP	04/12/2019	P	\$15,001 - \$50,000	
Ameriprise SEP IRA ⇒ Janus Henderson Enterprise CL I [MF]	SP	01/29/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ JPMorgan Hedged Equity CL I [MF]	SP	01/29/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ JPMorgan Strategic Income Opptys CL I [MF]	SP	01/29/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Kimberly-Clark Corporation (KMB) [ST]	SP	11/5/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Lord Abbett Short Duration Income CL F [MF]	SP	01/29/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Mainstay Mackay Short Duration High Yield CL I [MF]	SP	11/5/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Marathon Petroleum Corporation (MPC) [ST]	SP	06/4/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	01/29/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Mosaic Company (MOS) [ST]	SP	07/9/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Pioneer Natural Resources Company (PXD) [ST]	SP	11/5/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Putnam Short Term Duration Income CL Y [MF]	SP	01/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Sony Corporation (SNE) [ST]	SP	05/12/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Southern Copper Corporation (SCCO) [ST]	SP	01/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise SEP IRA ⇒ SPDR Bloomberg Barclays short Term High Yield Bond ETF [EF]	SP	01/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	01/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	SP	05/12/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	SP	11/5/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Vanguard Mid Cap ETF [EF]	SP	01/29/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Vanguard Mid Cap ETF [EF]	SP	01/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Vanguard Short Term Corp Bond ETF [EF]	SP	01/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Vanguard Small Cap ETF [EF]	SP	01/29/2019	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ AMC Networks Inc. - Class A (AMCX) [ST]	SP	11/5/2019	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ American Beacon SIM High Yield Opptys CL Y [MF]	SP	01/29/2019	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ American Tower Corporation (AMT) [ST]	SP	01/29/2019	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ American Tower Corporation (AMT) [ST]	SP	05/12/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Anadarko Petroleum Corporation (APC) [ST]	SP	06/4/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒	SP	01/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
BNY Mellon Global Real Return CL I [MF]			(partial)		
Ameriprise Traditional IRA ⇒ Centene Corporation (CNC) [ST]	SP	11/5/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Coca-Cola European Partners plc Ordinary Shares (CCEP) [ST]	SP	11/5/2019	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Guggenheim Total Return Bond Instl CL [MF]	SP	11/5/2019	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Guggenheim Total Return Bond Instl CL [MF]	SP	01/29/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Honeywell International Inc. (HON) [ST]	SP	07/9/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Invesco Emerging Markets Sovereign Debt ETF [EF]	SP	01/29/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Invesco Ltd (IVZ) [ST]	SP	11/5/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Invitation Homes Inc. (INVH) [ST]	SP	11/5/2019	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Janus Henderson Enterprise CL I [MF]	SP	04/12/2019	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Janus Henderson Enterprise CL I [MF]	SP	01/29/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ JPMorgan Hedged Equity CL I [MF]	SP	01/29/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ JPMorgan Strategic Income Opptys CL I [MF]	SP	01/29/2019	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Kimberly-Clark Corporation (KMB) [ST]	SP	11/5/2019	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Lord Abbett Short Duration Income CL F [MF]	SP	01/29/2019	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise Traditional IRA ⇒ Mainstay Mackay Short Duration High Yield CL I [MF]	SP	11/5/2019	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Marathon Petroleum Corporation (MPC) [ST]	SP	06/4/2019	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	01/29/2019	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Mosaic Company (MOS) [ST]	SP	07/9/2019	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Pioneer Natural Resources Company (PXD) [ST]	SP	11/5/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Putnam Short Term Duration Income CL Y [MF]	SP	01/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Sony Corporation (SNE) [ST]	SP	05/12/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Southern Copper Corporation (SCCO) [ST]	SP	01/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ SPDR Bloomberg Barclays short Term High Yield Bond ETF [EF]	SP	01/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	01/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	SP	05/12/2019	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Vanguard Mid Cap ETF [EF]	SP	01/29/2019	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Vanguard Mid Cap ETF [EF]	SP	01/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Vanguard Short Term Corp Bond ETF [EF]	SP	01/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise Traditional IRA ⇒ Vanguard Small Cap ETF [EF]	SP	01/29/2019	P	\$1,001 - \$15,000	
D2 Irrevocable Trust ⇒ John Hancock 529 Enrollment Portfolio A [5P] LOCATION: AK	DC	08/14/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Enrollment Portfolio A [5P] LOCATION: AK	DC	11/19/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Enrollment Portfolio C2 [5P] LOCATION: AK	DC	08/14/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Enrollment Portfolio C2 [5P] LOCATION: AK	DC	11/19/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio A [5P] LOCATION: AK	DC	08/14/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio A [5P] LOCATION: AK	DC	11/19/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio A [5P] LOCATION: AK DESCRIPTION: Units rolled over from Fixed Income Portfolio C2.	DC	01/15/2019	P	\$1,001 - \$15,000	
D2 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio C2 [5P] LOCATION: AK DESCRIPTION: Units Rolled Over to Fixed Income Portfolio A.	DC	01/15/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio A [5P] LOCATION: AK	DC	08/14/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Short term Bond Portfolio C2 [5P] LOCATION: AK	DC	08/14/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
D2 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio C2 [5P] LOCATION: AK	DC	11/19/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ AT&T Inc. (T) [ST]		03/13/2019	P	\$1,001 - \$15,000	
Judith S. Clark Irrevocable Trust ⇒ Avondale AZ Projs of 1998 2007 Build Amer [GS] DESCRIPTION: 7.8%, 7/1/29 Redeemed 7/1/2019		07/1/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Flextronics Intl Ltd [CS] DESCRIPTION: Redeemed call make whole 4.625%; 2/15/20		09/20/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ FS KKR Capital Corp. (FSK) [ST]		01/25/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Healthsouth Corp Note [CS] DESCRIPTION: redeemed partial call payout 5.75%, 11/01/2024		06/7/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Home Depot, Inc. (HD) [ST]		01/25/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Intel Corporation (INTC) [ST]		01/25/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ New York Community Bancorp, Inc. (NYCB) [ST]		03/13/2019	P	\$1,001 - \$15,000	
Judith S. Clark Irrevocable Trust ⇒ Norfolk Southern Corporation (NSC) [ST]		01/25/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ PNC Financial Services Group, Inc. (PNC) [ST]		01/25/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Radian Group Inc Note [AB] DESCRIPTION: redeemed redemption payout 5.5%, 6/1/2019		06/3/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Rent A Center Inc [CS]		08/15/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: 4.75%; 05/01/2021 Redeemed Full Call Payout					
Judith S. Clark Irrevocable Trust ⇒ The Kraft Heinz Company (KHC) [ST]		01/25/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Massachusetts Board of Bar Overseers	spouse salary	N/A

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
July 1997	Katherine M. Clark and the Commonwealth of Massachusetts	future pension
September 1991	Katherine M. Clark and the state of Colorado (COPERA)	future pension

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Ameriprise SEP IRA (Owner: SP)

- Ameriprise Traditional IRA (Owner: SP)
- COPERA 401(K) Plan
- D1 Irrevocable Trust (Owner: DC)
- D2 Irrevocable Trust (Owner: DC)
- D3 Irrevocable Trust (Owner: DC)
- Judith S. Clark Irrevocable Trust
- Katherine M. Clark Exempt Trust
DESCRIPTION: inherited April 5, 2019
- Katherine M. Clark Separate Share Trust
DESCRIPTION: inherited April 5, 2019

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Katherine M. Clark , 08/12/2020