



Filing ID #10047915

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Barbara Lee  
**Status:** Member  
**State/District:** CA13

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2021  
**Filing Date:** 07/15/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
AAMA Equity Fund [MF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200 <input checked="" type="checkbox"/>
Aberdeen U.S. Small Cap Equity [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Acorns [BA]		\$1,001 - \$15,000	None	<input type="checkbox"/>
Bank of America Checking & Savings Accounts [BA]	JT	\$1,001 - \$15,000	None	<input type="checkbox"/>
Blackrock Inflation Protected Bond Fund [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Blackrock National Municipal Fund [MF]	SP	\$50,001 - \$100,000	Tax-Deferred	<input checked="" type="checkbox"/>
BNY Mellon International Stock Fund I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Brown Advisory Sustainable Growth Fund [MF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Calvert Global Energy Solution Fund [MF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Charles Schwab Checking and Money Market Accounts [BA]		\$1,001 - \$15,000	None	<input type="checkbox"/>
Cohen & Steers Realty (CSRSX) [MF]		\$1 - \$1,000	Capital Gains	\$1 - \$200 <input type="checkbox"/>
Columbia Dividend Income Fund Institutional Class [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Congressional Federal Credit Union Checking and Savings [BA]		\$15,001 - \$50,000	None	<input type="checkbox"/>
CRA Qualified Investment Institutional Class (CRANX) [MF]		\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Domini Impact Bond Fund (DSBIX) [MF]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
Domini Impact International Equity Y (DOMYX) [MF]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
East West Bank Accounts, Oakland, CA [BA]		\$1,001 - \$15,000	None	<input type="checkbox"/>
Edgewood Growth Fund Class Institutional [MF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Estate of Clyde Oden, Sr.-Rental Property in Pahrump, NV [RP]	SP	\$250,001 - \$500,000	Rent	\$1 - \$200 <input type="checkbox"/>
LOCATION: Pahrump, NV, US				
Estate of Clyde Oden, Sr.-Rental Property in San Diego, CA [RP]	SP	\$250,001 - \$500,000	Rent	\$1 - \$200 <input type="checkbox"/>
LOCATION: San Diego, CA, US				
DESCRIPTION: Rental payment				
EV Atlanta Capital-SMUD Cap Fund I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
EV Income Fund of Boston [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Eventide Global Div Opportunities I [MF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
First Affirmative Balanced Income Fossil Fuel Free [IH]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Green Century Equity Fund (GCEQX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Tx. &gt; \$1,000?</b>
Hartford Mid Cap [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Hartford Schroder Emerging Markets Equity Class Fund I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Healthcare Trust of America, Inc. Class A (HTA) [ST]		\$1,001 - \$15,000	None	<input type="checkbox"/>
Invesco Conservative Inc. Inst. [MF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Invesco Developing Markets [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
JP Morgan Value Advantage Fund-I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Lazard International Strategic Equity Portfolio International Shares [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Lincoln Choice Plus Assurance Bonus [MF]	SP	\$100,001 - \$250,000	Tax-Deferred	<input checked="" type="checkbox"/>
Loomis Sayles Growth Fund Class Y [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Matthews Asia Japan Fund Investor Class [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Merrill Lynch [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Mirova Global Sustainable Equity Y (ESGYX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Parnassus Core Equity Institutional (PRILX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Parnassus Endeavor Fund Institutional (PFPWX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Parnassus Fund (PARNX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Pax Large Cap Fund Institutional (PXLIX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
PAX World Global Green Institutional Class (PGINX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Pear Tree Polaris Foreign Value Fund [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Performance Trust Strategic Bond Fund (PTIAX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
PIMCO Short Term 12 [MF]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Praxis Impact Bond Fund Class I (MIIIX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
RBC Emerging Markets Equity Cls I (REEIX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
RBC Impact Bond I (RIBIX) [MF]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
Shelton FDS Green Alpha Fund [MF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Sierra Tactical Bond Institutional (STBJX) [MF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200 <input checked="" type="checkbox"/>
T. Rowe Price Emerging Markets Equity Fund [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Thornburg Better World International (TBWIX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Thornburg Limited Term Income (THIIX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
TIAA Real Estate Instl (TIREX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Trillium ESG Global Equity Fund (Previously Portfolio 21 Institutional Fund) (PORIX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Vanguard Interim Term Treasury Admiral (VFIUX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Vanguard Short-Term Treasurt Admiral (VFIRX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Virtus AllianzGI Fund (AWTIX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Wasatch Core Growth Institutional (WIGRX) [MF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200 <input checked="" type="checkbox"/>
Wells Fargo Short-term Municipal Bond Fund Institutional Class [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
-------	-------	----------------	----------------	--------	----------------

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
1919 Socially Responsive Balanced I (LMRNX) [MF]		06/11/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
AAMA Equity Fund [MF]		06/3/2021	P	\$1,001 - \$15,000	
Aberdeen U.S. Small Cap Equity [MF]	SP	04/9/2021	P	\$1,001 - \$15,000	
Aberdeen U.S. Small Cap Equity [MF]	SP	04/9/2021	P	\$1,001 - \$15,000	
Access Capital Community Investment Fund (I)(ACCSX) [MF]		06/11/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Blackrock National Municipal Fund [MF]	SP	04/12/2021	P	\$1,001 - \$15,000	
Blackrock National Municipal Fund [MF]	SP	04/9/2021	P	\$1,001 - \$15,000	
Blackrock National Municipal Fund [MF]	SP	03/4/2021	P	\$1,001 - \$15,000	
Blackrock National Municipal Fund [MF]	SP	12/31/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
BNY Mellon International Stock Fund I [MF]	SP	12/31/2021	P	\$1,001 - \$15,000	
Brown Advisory Sustainable Growth Fund [MF]		06/11/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Calvert Global Energy Solutions Fund (I) [MF]		06/11/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Calvert Global Water Fund Class (I) [MF]		06/11/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Calvert Green Bond Bond (I) [MF]		06/11/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Columbia Dividend Income Fund Institutional Class [MF]	SP	12/31/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Delaware Investments Small Cap Value Institutional Class [MF]	SP	12/31/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Delaware Value Fund Institutional Class [MF]	SP	12/31/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Domini Impact Bond Fund (DSBIX) [MF]		06/11/2022	P	\$15,001 - \$50,000	
Domini Impact International Equity Y (DOMYX) [MF]		06/11/2021	P	\$15,001 - \$50,000	
Edgewood Growth Fund Class Institutional [MF]	SP	12/31/2021	P	\$1,001 - \$15,000	
EV Atlanta Capital-SMUD Cap Fund I [MF]	SP	12/30/2021	P	\$1,001 - \$15,000	
EV Income Fund of Boston I [MF]	SP	12/30/2021	P	\$1,001 - \$15,000	
Eventide Global Div. Opportunities I (ETIDX) [MF]		06/11/2021	P	\$1,001 - \$15,000	
Green Century Balanced Fund (GCBLX) [MF]		06/11/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Green Century Equity Fund (GCEQX) [MF]		06/11/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Harding Loevner International Equity Portfolio Institutional Class [MF]	SP	12/31/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Hartford Mid Cap [MF]	SP	12/30/2021	P	\$1,001 - \$15,000	
Hartford Schroder Emerging Markets Equity Class Fund I [MF]	SP	12/31/2021	P	\$1,001 - \$15,000	
Invesco Conservative Inc. Inst. [MF]		04/12/2021	P	\$1,001 - \$15,000	
Invesco Conservative Income Fund Institutional Class [MF]	SP	12/30/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Invesco Conservative Income Fund Institutional Class [MF]	SP	04/7/2021	P	\$1,001 - \$15,000	
Invesco Conservative Income Fund Institutional Class [MF]	SP	03/4/2021	P	\$1,001 - \$15,000	
Invesco Developing Markets [MF]	SP	04/7/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Invesco Developing Markets [MF]	SP	03/4/2021	P	\$1,001 - \$15,000	
JP Morgan Value Advantage Fund- I [MF]	SP	12/30/2021	P	\$1,001 - \$15,000	
Lazard International Strategic Equity Portfolio International Shares [MF]	SP	12/30/2021	P	\$1,001 - \$15,000	
Lincoln Choice Plus Assurance Bonus [MF]	SP	12/31/2021	P	\$100,001 - \$250,000	
Loomis Growth Y [MF]	SP	12/30/2021	P	\$1,001 - \$15,000	
Matthews Asia Japan Fund Investor Class [MF]	SP	04/9/2021	P	\$1,001 - \$15,000	
Matthews Asia Japan Fund Investor Class [MF]	SP	05/7/2021	P	\$1,001 - \$15,000	
Mirova Global Sustainable Equity Y (ESGYX) [MF]		06/11/2021	P	\$1,001 - \$15,000	
Parnassus Core Equity Institutional (PRILX) [MF]		06/11/2021	P	\$1,001 - \$15,000	
Parnassus Endeavor Fund (PARWX) [MF]		03/24/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Parnassus Endeavor Fund Institutional (PFPWX) [MF]		03/24/2021	P	\$1,001 - \$15,000	
Parnassus Endeavor Fund Institutional (PFPWX) [MF]		06/11/2021	P	\$1,001 - \$15,000	
Pax Large Cap Fund Institutional (PXLIX) [MF]		06/11/2021	P	\$1,001 - \$15,000	
Pax Large Cap Fund Institutional (PXLIX) [MF]		11/18/2021	P	\$1,001 - \$15,000	
PAX World Global Green Institutional Class (PGINX) [MF]		06/11/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Pear Tree Polaris Foreign Value Fund [MF]	SP	04/7/2021	P	\$1,001 - \$15,000	
Pear Tree Polaris Foreign Value Fund [MF]	SP	12/30/2021	P	\$1,001 - \$15,000	
Performance Trust Strategic Bond Fund (PTIAX) [MF]		06/11/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
PIMCO International Bond Fund (US Dollar Hedged) [MF]	SP	12/31/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Portfolio 21 Institutional Fund (PORIX) [MF]		06/11/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
RBC Impact Bond (RIBIX) [MF]		06/11/2022	P	\$15,001 - \$50,000	
Shelton FDS Green Alpha Fund [MF]		06/11/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Sierra Tactical Bond Institutional (STBJX) [MF]		06/3/2021	P	\$1,001 - \$15,000	
T. Rowe Price Blue Chip Growth Fund [MF]	SP	12/30/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Thornburg Better World International (TBWIX) [MF]		06/11/2021	P	\$1,001 - \$15,000	
Thornburg Limited Term Income I (THIIX) [MF]		06/11/2021	P	\$1,001 - \$15,000	
TIAA Real Estate Instl (TIREX) [MF]		06/11/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard Interim Term Treasury Admiral (VFIRX) [MF]		06/11/2021	P	\$1,001 - \$15,000	
Vanguard Short-Term Treasurt Admiral (VFIRX) [MF]		06/11/2021	P	\$1,001 - \$15,000	
Virtus AllianzGI Fund (AWTIX) [MF]		06/11/2021	P	\$1,001 - \$15,000	
Wasatch Core Growth Institutional (WIGRX) [MF]		06/3/2021	P	\$1,001 - \$15,000	
Wells Fargo Emerging Markets Equity Income Fund [MF]	SP	12/31/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Short-term Municipal Bond Fund Institutional Class [MF]	SP	04/9/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Short-term Municipal Bond Fund Institutional Class [MF]	SP	03/4/2021	P	\$1,001 - \$15,000	
Wells Fargo Special Mid Cap Value Fund [MF]	SP	04/7/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Public Health Institute	Spouse salary	\$28,738.30

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Wells Fargo Bank	July 2012	Mortgage on Palm Desert, CA Condo Residence	\$100,001 - \$250,000
	Credit Union Mortgage Association	January 2011	Mortgage on DC Condo Residence	\$250,001 - \$500,000
	American Express	December 2021	Credit Card	\$10,000 - \$15,000

## SCHEDULE E: POSITIONS

Position	Name of Organization
Member, Congressional Advisory Council	The Faith and Politics Institute (Nonprofit Public Benefit Corporation)
Board Member	WILL/WAND (Nonprofit Public Benefit Corporation)
Board Member	Project Vote Smart (Nonprofit Public Benefit Corporation)
Trustee	Ghana Children's Fund (a project of the East Bay Community Foundation)
Board Member	ProgressiveCaucus.org (Nonprofit Public Benefit Corporation)
Member, Honorary Board of Advisors	National Student Leadership Conference(Nonprofit Public Benefit Corporation)
Member, Congressional Advisory Board	The Humpty Dumpty Institute (Nonprofit Public Benefit Corporation)
Member, Advisory Council	Dellums Institute (Nonprofit Public Benefit Corporation)
Member, Advisory Board	Grand Lakes Gardens Senior Living Community
Member, Advisory Board	Swords to Plowshares (Nonprofit Public Benefit Corporation)
Member, Congressional Council	Women's Information Network (WIN)/(Nonprofit Public Benefit Corporation)
Trustee	Berkeley Theological Seminary
COMMENTS: Former org. name=---American Baptist Seminary of the West	
Board Member	Stiles Hall (Nonprofit Public Benefit Corporation)

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
J Street Education Fund	11/6/2021	11/12/2021	Washington, DC - Tel Aviv - San Francisco	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Source	Activity	Date	Amount	Charity Name
The African American Cultural Society of Palm Coast	Appearance	10/25/2021	\$500.00	West Oakland Health Clinic

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Barbara Lee , 07/15/2022