



Filing ID #10051963

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Cathy McMorris Rodgers  
**Status:** Member  
**State/District:** WA05

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2022  
**Filing Date:** 08/11/2023

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset   | Owner | Value of Asset       | Income Type(s) | Income | Tx. > \$1,000?                      |
|---|-------|----------------------|----------------|--------|-------------------------------------|
| AMERICAN FUNDS NEW ECONOMY CL F-2 [MF]                        | DC    | \$1 - \$1,000        | Tax-Deferred   |        | <input type="checkbox"/>            |
| AMERICAN FUNDS NEW ECONOMY CL F-2 [MF]                        | DC    | \$1 - \$1,000        | Tax-Deferred   |        | <input type="checkbox"/>            |
| AMERICAN FUNDS NEW WORLD FD INC CL 529 F-2 FNFWX [5P]         | SP    | \$1,001 - \$15,000   | Tax-Deferred   |        | <input type="checkbox"/>            |
| LOCATION: VA  |       |                      |                |        |                                     |
| AMERICAN SMALLCAP WORLD FD INC CL 529-F-2 FSWFX [5P]          | SP    | \$1,001 - \$15,000   | Tax-Deferred   |        | <input type="checkbox"/>            |
| LOCATION: VA  |       |                      |                |        |                                     |
| AMERICAN WASHINGTON MUTUAL INVESTORS FD CL 529-F-2 FWMMX [5P] | SP    | \$1,001 - \$15,000   | Tax-Deferred   |        | <input type="checkbox"/>            |
| LOCATION: VA  |       |                      |                |        |                                     |
| DreamAhead College Investment Plan [5P]                       |       | \$50,001 - \$100,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| LOCATION: WA  |       |                      |                |        |                                     |
| Family Trust ⇒<br>ALGER FDS II SPECTRA FD CL Z [MF]           |       | None                 | None           |        | <input checked="" type="checkbox"/> |

| Asset   | Owner | Value of Asset          | Income Type(s)              | Income              | Tx. > \$1,000?                      |
|---|-------|-------------------------|-----------------------------|---------------------|-------------------------------------|
| Family Trust ⇒<br>ALGER FOCUS EQUITY FUND Z [MF]  |       | \$15,001 - \$50,000     | None                        |                     | <input checked="" type="checkbox"/> |
| Family Trust ⇒<br>American Funds Fundamental Investors F2 [MF]  |       | \$15,001 - \$50,000     | Capital Gains,<br>Dividends | \$1,001 - \$2,500   | <input checked="" type="checkbox"/> |
| Family Trust ⇒<br>American Funds New World F2 [MF]  |       | \$15,001 - \$50,000     | Dividends                   | \$201 - \$1,000     | <input type="checkbox"/>            |
| Family Trust ⇒<br>CARILLON SCOUT MID CAP CL I [MF]  |       | \$15,001 - \$50,000     | Capital Gains,<br>Dividends | \$1,001 - \$2,500   | <input checked="" type="checkbox"/> |
| Family Trust ⇒<br>First Eagle Global - Class I [MF]   |       | \$15,001 - \$50,000     | Capital Gains,<br>Dividends | \$1,001 - \$2,500   | <input checked="" type="checkbox"/> |
| Family Trust ⇒<br>UNDISCOVERED MANAGERS BEHAVIORAL VALUE CLASS L [MF]   |       | \$15,001 - \$50,000     | Capital Gains,<br>Dividends | \$2,501 - \$5,000   | <input checked="" type="checkbox"/> |
| Forge Trust Roth IRA ⇒<br>Deep Roots Campaign LLC [CS]  |       | \$100,001 - \$250,000   | Interest                    | \$5,001 - \$15,000  | <input checked="" type="checkbox"/> |
| DESCRIPTION: Purchase of Deep Roots LLC community bond in support of The Oaks, a private K-12 educational institution. A late PTR was filed for this transaction. |       |                         |                             |                     |                                     |
| GROWTH FUND AMERICA CL 529-F-2 [5P]   | SP    | \$1,001 - \$15,000      | Tax-Deferred                |                     | <input type="checkbox"/>            |
| LOCATION: VA  |       |                         |                             |                     |                                     |
| Rental Property [RP]  | JT    | \$500,001 - \$1,000,000 | Rent                        | \$15,001 - \$50,000 | <input type="checkbox"/>            |
| LOCATION: Washington, DC, US  |       |                         |                             |                     |                                     |
| Rental Property [RP]  | SP    | \$500,001 - \$1,000,000 | Rent                        | \$15,001 - \$50,000 | <input type="checkbox"/>            |
| LOCATION: San Diego, CA, US   |       |                         |                             |                     |                                     |
| Roth ⇒<br>ALGER FDS II SPECTRA FD CL Z [MF]   |       | None                    | Tax-Deferred                |                     | <input checked="" type="checkbox"/> |
| Roth ⇒<br>ALGER FDS II SPECTRA FD CL Z [MF]   | SP    | \$15,001 - \$50,000     | Tax-Deferred                |                     | <input checked="" type="checkbox"/> |
| Roth ⇒<br>American Funds Fundamental Investors F2 [MF]  | SP    | \$15,001 - \$50,000     | Tax-Deferred                |                     | <input type="checkbox"/>            |

| Asset   | Owner | Value of Asset      | Income Type(s) | Income | Tx. > \$1,000?                      |
|---|-------|---------------------|----------------|--------|-------------------------------------|
| Roth ⇒<br>American Funds Fundamental Investors F2 [MF]  |       | \$1 - \$1,000       | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Roth ⇒<br>American Funds New World F2 [MF]  |       | None                | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Roth ⇒<br>American Funds New World F2 [MF]  | SP    | \$15,001 - \$50,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Roth ⇒<br>CARILLON SCOUT MID CAP FD CL I [MF]   |       | None                | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Roth ⇒<br>CARILLON SCOUT MID CAP FUND CL i [MF]   | SP    | \$15,001 - \$50,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| Roth ⇒<br>FIDELITY INVESTMENTS MONEY MARKET FDS INSTL [MF]  |       | None                | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Roth ⇒<br>First Eagle Global - Class I [MF]   |       | None                | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Roth ⇒<br>First Eagle Global - Class I [MF]   | SP    | \$15,001 - \$50,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| Roth ⇒<br>UNDISCOVERED MANAGERS BEHAVIORAL VALUE CLASS L [MF]   | SP    | \$15,001 - \$50,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Roth ⇒<br>UNDISCOVERED MANAGERS BEHAVIORAL VALUE CLASS L [MF]   |       | None                | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| WA PERS 3 ⇒<br>2035 Retirement Strategy Fund [PE]   |       | \$1,001 - \$15,000  | None           |        | <input type="checkbox"/>            |
| DESCRIPTION: Washington State Public Employees' Retirement System Plan 3. Invested in State-managed "2035 Retirement Strategy Fund."<br>Investment components: US Large Cap Equity - 27.41% US Small/Mid Cap Growth Equity - 3.72% US Small/Mid Cap Value Equity - 3.72%<br>Global Equity (excluding US Equity) - 29.42% Global Real Estate Trusts - 1.73% Inflation-Protected Securities - 1.39% Intermediate-Term Bonds<br>- 5.27% High-Yield Bonds - 3.91% WSIB TAP - 23.43% |       |                     |                |        |                                     |

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

| Asset   | Owner | Date       | Tx. Type    | Amount              | Cap. Gains > \$200?                 |
|---|-------|------------|-------------|---------------------|-------------------------------------|
| Family Trust ⇒<br>ALGER FDS II SPECTRA FD CL Z [MF]                   |       | 01/20/2022 | P           | \$1,001 - \$15,000  |                                     |
| Family Trust ⇒<br>ALGER FDS II SPECTRA FD CL Z [MF]                   |       | 02/22/2022 | P           | \$1,001 - \$15,000  |                                     |
| Family Trust ⇒<br>ALGER FDS II SPECTRA FD CL Z [IH]                   |       | 11/9/2022  | S           | \$15,001 - \$50,000 | <input type="checkbox"/>            |
| Family Trust ⇒<br>ALGER FOCUS EQUITY FUND Z [MF]                      |       | 11/9/2022  | P           | \$15,001 - \$50,000 |                                     |
| Family Trust ⇒<br>American Funds Fundamental Investors F2 [MF]        |       | 02/17/2022 | S (partial) | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |
| Family Trust ⇒<br>CARILLON SCOUT MID CAP CL I [MF]                    |       | 12/20/2022 | P           | \$1,001 - \$15,000  |                                     |
| Family Trust ⇒<br>CARILLON SCOUT MID CAP CL I [MF]                    |       | 02/17/2022 | S (partial) | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |
| Family Trust ⇒<br>CARILLON SCOUT MID CAP CL I [MF]                    |       | 04/1/2022  | S (partial) | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |
| Family Trust ⇒<br>First Eagle Global - Class I [MF]                   |       | 12/2/2022  | P           | \$1,001 - \$15,000  |                                     |
| Family Trust ⇒<br>First Eagle Global - Class I [MF]                   |       | 07/5/2022  | S (partial) | \$1,001 - \$15,000  | <input type="checkbox"/>            |
| Family Trust ⇒<br>UNDISCOVERED MANAGERS BEHAVIORAL VALUE CLASS L [MF] |       | 12/14/2022 | P           | \$1,001 - \$15,000  |                                     |
| Family Trust ⇒<br>UNDISCOVERED MANAGERS BEHAVIORAL VALUE CLASS L [IH] |       | 01/3/2022  | S (partial) | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |
| Family Trust ⇒<br>UNDISCOVERED MANAGERS BEHAVIORAL VALUE CLASS L [IH] |       | 02/17/2022 | S (partial) | \$1,001 - \$15,000  | <input checked="" type="checkbox"/> |

| Asset   | Owner | Date       | Tx. Type    | Amount                | Cap. Gains > \$200?      |
|---|-------|------------|-------------|-----------------------|--------------------------|
| Forge Trust Roth IRA ⇒<br>Deep Roots Campaign LLC [CS]                    |       | 12/2/2022  | P           | \$100,001 - \$250,000 |                          |
| DESCRIPTION: Purchase of community bond through new Forge Trust Roth IRA. |       |            |             |                       |                          |
| Roth ⇒<br>"FIDELITY INVESTMENTS MONEY MARKET FDS INSTL" [MF]              |       | 11/2/2022  | S           | \$100,001 - \$250,000 | <input type="checkbox"/> |
| Roth ⇒<br>ALGER FDS II SPECTRA FD CL Z [MF]                               |       | 02/28/2022 | P           | \$1,001 - \$15,000    |                          |
| Roth ⇒<br>ALGER FDS II SPECTRA FD CL Z [MF]                               |       | 08/18/2022 | S           | \$15,001 - \$50,000   | <input type="checkbox"/> |
| Roth ⇒<br>ALGER FDS II SPECTRA FD CL Z [MF]                               | SP    | 03/2/2022  | P           | \$1,001 - \$15,000    |                          |
| Roth ⇒<br>American Funds Fundamental Investors F2 [MF]                    |       | 08/18/2022 | S (partial) | \$15,001 - \$50,000   | <input type="checkbox"/> |
| Roth ⇒<br>American Funds New World F2 [MF]                                |       | 02/28/2022 | P           | \$1,001 - \$15,000    |                          |
| Roth ⇒<br>American Funds New World F2 [MF]                                |       | 08/18/2022 | S           | \$15,001 - \$50,000   | <input type="checkbox"/> |
| Roth ⇒<br>American Funds New World F2 [MF]                                | SP    | 03/2/2022  | P           | \$1,001 - \$15,000    |                          |
| Roth ⇒<br>CARILLON SCOUT MID CAP CL I [MF]                                |       | 02/28/2022 | P           | \$1,001 - \$15,000    |                          |
| Roth ⇒<br>CARILLON SCOUT MID CAP CL I [MF]                                |       | 08/18/2022 | S           | \$15,001 - \$50,000   | <input type="checkbox"/> |
| Roth ⇒<br>FIDELITY INVESTMENTS MONEY MARKET FDS INSTL [MF]                |       | 08/19/2022 | P           | \$100,001 - \$250,000 |                          |
| Roth ⇒<br>First Eagle Global - Class I [MF]                               |       | 08/18/2022 | S           | \$15,001 - \$50,000   | <input type="checkbox"/> |
| Roth ⇒  |       | 08/18/2022 | S           | \$15,001 - \$50,000   | <input type="checkbox"/> |

| Asset  | Owner | Date       | Tx. Type | Amount             | Cap. Gains > \$200? |
|--|-------|------------|----------|--------------------|---------------------|
| UNDISCOVERED MANAGERS BEHAVIORAL VALUE CLASS<br>L [MF]           |       |            |          |                    |                     |
| Roth ⇒<br>UNDISCOVERED MANAGERS BEHAVIORAL VALUE CLASS<br>L [MF] | SP    | 12/14/2022 | P        | \$1,001 - \$15,000 |                     |

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

| Owner | Creditor         | Date Incurred | Type      | Amount of Liability   |
|-------|------------------|---------------|-----------|-----------------------|
| JT    | Freedom Mortgage | January 2021  | Refinance | \$250,001 - \$500,000 |
| JT    | Freedom Mortgage | March 2021    | Refinance | \$250,001 - \$500,000 |
| JT    | Lakeview Loan    | July 2021     | Refinance | \$250,001 - \$500,000 |

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- Family Trust
- Forge Trust Roth IRA
- Roth (Owner: SP)
- Roth
- WA PERS 3  
LOCATION: US  
DESCRIPTION: Washington State Public Employee Retirement System (PERS) Plan 3

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Cathy McMorris Rodgers , 08/11/2023