

# UNITED STATES HOUSE OF REPRESENTATIVES

## 2019 FINANCIAL DISCLOSURE STATEMENT

Form A  
For Use by Members, Officers, and Employees

Page 1 of 27  
AUG 11 2020  
LEGISLATIVE RESOURCE CENTER  
2520 ALLEGRA BUILDING  
OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES  
A \$200 penalty shall be assessed against any individual who files more than 30 days late.

Name: Fred Upton Daytime Telephone: 202-225-3761

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>MI</u> District: <u>6</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____	Staff Filer Type: (If Applicable) <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant
REPORT TYPE	<input checked="" type="checkbox"/> 2019 Annual (Due: May 15, 2020)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____	

### PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$390 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

### IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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**Use additional sheets if more space is required.**

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

Lyton

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction			
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, B(part), or E	
			None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with Income over \$1,000,000*		
	Starbucks																																				
	Under Armour LLC																																				
	Under Armour LLC																																				
	XPO Logistics																																				
	Yum Brands																																				
	Yum China Holdings																																				
	Columbia Trust-Ex Bonds																																				
	W Hartford LLC Tax Bonds																																				
	MFS Mutual Fund Mgrs																																				
	JP Morgan Chase																																				
	ST Stanley																																				
	SP AT&T																																				
	SP Alliant Group																																				
	SP BP PLC																																				
	SP Bristol Myers Squibb																																				
	SP Comcast LLC																																				

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**Use additional sheets if more space is required.**

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

*Lyton*

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
	\$100,001-\$250,000																																			
	\$250,001-\$500,000																																			
	\$500,001-\$1,000,000																																			
	\$1,000,001-\$5,000,000																																			
	\$5,000,001-\$25,000,000																																			
	\$25,000,001-\$50,000,000																																			
	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
	WELLS FARGO BANK																																			
	NY LIFE INS																																			
	NATIONAL LIFE TR																																			
	Mass Mutual Life Tr																																			
	Prudential Equity																																			
	Talcott Real Estate																																			
	LIFE Insurance																																			
	(Hurtford sold policy to Talcott)																																			
	Also not that																																			
	14.5 years sold to																																			
	Burris in 2019																																			

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**Use additional sheets if more space is required**

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**Use additional sheets if more space is required**

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**Use additional sheets if more space is required.**



# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
	<i>None</i>																																		
	<i>Price Mortgage</i>																																		
	<i>SPM Bldg 1413 28th</i>																																		
	<i>Artisan Est APN 441</i>																																		
	<i>Escheros PACE 1 BTNAX</i>																																		
	<i>SPM Mortgage 4172X</i>																																		
	<i>Belmont/Spokane 6125</i>																																		
	<i>Microsoft</i>																																		
	<i>Amazon</i>																																		
	<i>Apple</i>																																		
	<i>Google - CLC (unpaid)</i>																																		
	<i>Paycom</i>																																		
	<i>United Health</i>																																		
	<i>Kindle PLE</i>																																		
	<i>Mastercard CUA</i>																																		
	<i>Chubb Life Corp</i>																																		
	<i>Sales Force Com</i>																																		

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**Use additional sheets if more space is required**

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

*Upston*

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction	
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, Slightly, or E
	<i>JP Merger</i>	None																																	
		\$1-\$1,000																																	
		\$1,001-\$10,000																																	
		\$15,001-\$50,000																																	
		\$50,001-\$100,000																																	
		\$100,001-\$250,000																																	
		\$250,001-\$500,000																																	
		\$500,001-\$1,000,000																																	
		\$1,000,001-\$5,000,000																																	
		\$5,000,001-\$25,000,000																																	
		\$25,000,001-\$50,000,000																																	
		Over \$50,000,000																																	
		Spouse/DC Asset over \$1,000,000*																																	
	<i>Lower Pacific</i>																																		
	<i>Pacific</i>																																		
	<i>North</i>																																		
	<i>Newfolk Southern</i>																																		
	<i>Arnold</i>																																		
	<i>Citigroup</i>																																		
	<i>Exhibit 101</i>																																		
	<i>San Jose City - WSP</i>																																		
	<i>Bank of America</i>																																		
	<i>Wells Fargo</i>																																		
	<i>Arthur J. Gallagher &amp; Co.</i>																																		
	<i>Procter &amp; Gamble</i>																																		
	<i>Quincy Auto</i>																																		
	<i>ASAC Holdings</i>																																		
	<i>Boonville Savings</i>																																		
	<i>Madison Tnt</i>																																		

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction	
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
	<i>None</i>																																		
	<i>Chubb</i>																																		
	<i>Coastal CLH</i>																																		
	<i>Shady Brook</i>																																		
	<i>WEX</i>																																		
	<i>Amesbury Electric</i>																																		
	<i>Irish P</i>																																		
	<i>Pioneer Nat Ass</i>																																		
	<i>Wester Phoenix</i>																																		
	<i>Yonkers</i>																																		
	<i>Elmhurst Park</i>																																		
	<i>Central Avenue's</i>																																		
	<i>Wash &amp; Commerce</i>																																		
	<i>Allyaire</i>																																		
	<i>Coca Cola</i>																																		
	<i>Rocking Hill</i>																																		
	<i>Mr. Draculis</i>																																		

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction			
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, Spent, or E	
	<i>JP Master</i>																																			
	<i>Diamondback Energy</i>																																			
	<i>Entesa Br</i>																																			
	<i>Bayer</i>																																			
	<i>Philip Morris</i>																																			
	<i>Academe</i>																																			
	<i>Abbe</i>																																			
	<i>Atlassian</i>																																			
	<i>Buildipix Highway</i>																																			
	<i>Carvana</i>																																			
	<i>Paycom</i>																																			
	<i>BOC</i>																																			
	<i>KeyCorp</i>																																			
	<i>Home Depot</i>																																			
	<i>Pfizer</i>																																			
	<i>Qualcomm</i>																																			
	<i>Post Stocks</i>																																			

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

*Up to*

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
	<i>JP Mar 08</i>																																		
	<i>Unifed Technologies</i>			X																															<i>S</i>
	<i>Disney</i>			X																															<i>S</i>
	<i>unifed Teryo</i>			X																															<i>S</i>
	<i>CARM LIVESHEET 01/04</i>				X																														
	<i>Equinox TRIP</i>																																		
	<i>THY RES ENE TRIP</i>								X																										
	<i>Basil Mas Spide</i>			X																															<i>P S</i>
	<i>VISA CC</i>			X																															

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

*Upston*

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	<i>These Trusts or not managed by me controlled by me They are managed by Northern Trust</i>																																			
	<i>Trusts total value reported as:</i>																																			
	<i>Pepsi</i>																																			
	<i>Exxon Mobil</i>																																			
	<i>AT&amp;T</i>																																			
	<i>Ventron</i>																																			
	<i>Kimberly Clark</i>																																			
	<i>Pfizer</i>																																			
	<i>American Express</i>																																			
	<i>American Express</i>																																			
	<i>IBM</i>																																			
	<i>N. Stock Fund &amp; MBSIX</i>																																			
	<i>Florsheim's USANY 7149</i>																																			
	<i>N. Stock Fund &amp; MBSIX</i>																																			
	<i>DEF 03500 11549 05345</i>																																			

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**Use additional sheets if more space is required.**



# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

*Up ten*

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income													BLOCK E Transaction	
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	<i>N. Trust</i>																																			
	Boeing																																			
	Capital One																																			
	Cherone																																			
	Coca-Cola																																			
	Costco																																			
	CVS Health																																			
	Danone Corp																																			
	Ech Resour																																			
	Facebook																																			
	Factive Corp																																			
	General Dynamics																																			
	Goldman																																			
	Henrywell																																			
	Int'l Financial Encl																																			
	JP Morgan Chase																																			
	Mastercard C/A																																			

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# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

*Upston*

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction				
SP, DC, JT	ASSET NAME	None	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	SP, S, Spouse or S	
	<i>N. Trs</i>																																					
	<i>MEB N. Trs NO TX</i>																																					
	<i>Wayward East Trs</i>																																					
	<i>MEB Franklin Trs</i>																																					
	<i>MEB Franklin Trs</i>																																					
	<i>MEB Franklin Trs</i>																																					
	<i>Wayward East Trs</i>																																					

# SCHEDULE B - TRANSACTIONS

Name:

*Winton*

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent child, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

\* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MM/DD/YYYY) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange			A \$1,001-\$4,999	B \$5,000-\$9,999	C \$10,000-\$49,999	D \$50,000-\$99,999	E \$100,000-\$249,999	F \$250,000-\$499,999	G \$500,000-\$999,999	H \$1,000,000-\$2,499,999	I \$2,500,000-\$4,999,999	J Over \$5,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Disney L219 Century Fox acquired Disney & shares were sold to Fox shareholders				X	X	3/19	X										
	These transactions done by Northern Trust w/o control by me																	
	Facebook	X					2-19-19	X										
	Wells Fargo		X				4-25-19	X										
	Carnival		X				2-19-19	X										
	Vodaphone		X				12-2-19	X										
	Acegas mcl, inc		X				5-24-19	X										
	Amazon	X					4-25-19	X										
	Schlumberger	X					7-25-19	X										
	Hoff-bur for		X				7-25-19	X										
	JP Morgan	X					4-25-19	X										



# SCHEDULE B - TRANSACTIONS

Name:

*Lyden*

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent child, or the purchase or sale of your personal residence, unless it generated capital gain. If only a portion of an asset is sold, please check "partial." Indicate the type of transaction.		Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date	Amount of Transaction										
SP, DC, JT	Asset	Purchase	Sale	Partial Sale	Exchange		(MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
	Example Mega Corp. Stock			X		X	3/8/19		X									
	Dollar Tree		X				6/19	X										
	Wells Fargo		X				6/12	X										
	Bank of America		X				6/12	X										
	McDonald's		X				6/12	X										
	Dollar Tree		X				6/12	X										
	Marriott Express		X				6/10	X										
	Delta		X				6/7	X										
	Frederick & Bonville		X				6/2	X										
	Delta		X				6/7	X										
	Philip Morris		X				6/5	X										
	Walmart		X				5/21		X									
	Walmart		X				5/22	X										
	Paulsen-Harris Inc.		X				5/22	X										
	Northrup Grumman		X				5/22	X										
	Linde		X				5/21	X										
	Celgene		X				5/21	X										
	Medtronic		X				5/21	X										
	American Express		X				5/21	X										
	ISERL		X				3/22	X										

Use additional sheets if more space is required.

# SCHEDULE B - TRANSACTIONS

Name:

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of the transaction. Exclude transactions between you, your spouse, or dependent child, or the purchase or sale of personal residence, unless it resulted in capital income. If only a portion of an asset is sold, please check the partial sale as the type of transaction.

Capital Gains: a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

\* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction				Date (MO/DA/YR) or Quarter, Month, or Bi- weekly, if applicable	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange		A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
Example	Mag Corp. Stock			X		3/19		X									
	Colgate	X				3/14	X										
	Walmart		X			2/19		X									
	Walmart		X			2/19		X									
	Paycom	X				1/25	X										
	Walmart		X			5/8		X									
	Schweb		X			5/20	X										
	NetFlix	X				5/26	X										
	Colgate	X				5/20	X										
	Proctor & Fin.		X			5/11	X										
	Walmart		X			4/25			X								
	Dow		X			4/10	X										
	Walmart	X				4/10	X										
	Decidua/Retrol		X			4/29	X										
	Marathon	X				4/29	X										
	Alphabet (Google)		X			4/5	X										
	Apple		X			4/23	X										
	Walmart		X			4/3	X										
	Microsoft		X			4/3	X										
	ZISERV	X				6/18	X										

# SCHEDULE B - TRANSACTIONS

Name:

Up to N

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Exclude transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, dependent children, or the purchase or sale of your personal residence. If only a portion of an asset is sold, describe the "partial sale" as the type of transaction.		Type of Transaction				Date (MM/DD/YYYY) or Quarter, Month, or Bi- weekly, if applicable	Amount of Transaction										
Purchase	Sale	Partial Sale	Exchange	Check Box if Capital Gain Exceeded \$200	A \$1,001- \$15,000		B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)	
Example Mega Corp. Stock			X		X	3/1/18		X									
wh/1/92/		X				7/13				X							
wh/1/92/		X				7/12				X							
Carte	X					3/1-2	X										
Adelphi		X				7/1	X										
wh/1/92/		X				9/22		X									
wh/1/92/		X				7/3		X									
wh/1/92/		X				10/3		X									
Disney		X				10/29	X										
wh/1/92/		X				9/23		X									
Abbie	X					9/19	X										
wh/1/92/		X				12/18		X									
wh/1/92/		X				11/26		X									
Calgene	X					11/21	X										
Basil Myers Spills	X					11/21	X										
NiSource	X					11/14	X										
Abbie	X					11/13	X										



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**INCOME LIMITS and PROHIBITED INCOME:** The 2019 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$28,440. The 2020 limit is \$28,846. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

**Use additional sheets if more space is required.**

# SCHEDULE D - LIABILITIES

Name:

Updell

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/19	Mortgage on Rental Property, Dover, DE				X							
JT	J.P. Morgan Chase	12/10	mortgage			X								

# SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Owner	Labov Asset Mgt
owner and spouse	SEU Limited Partnership
Trustee	SEU Limited Partnership
Spec 40	EVU LLC (Family investment)
Tenants w/ spouse	UPS N Downs, DV LLC
Board Member	ELU PAF First

