

**HAND
DELIVERED****UNITED STATES HOUSE OF REPRESENTATIVES****2019 FINANCIAL DISCLOSURE STATEMENT**Form A
For Use by Members, Officers, and Employees

2019 AUG 10 AM 11:45

(Office Use Only)

Name: Thomas Earl Finner Jr. Daytime Telephone: _____

FILER STATUS	Member of the U.S. House of Representatives	State: <u>MN</u> District: <u>06</u>	Officer or Employee	Staff File# Type: (If Applicable) Shared <input type="checkbox"/> Principal Assistant <input checked="" type="checkbox"/>
REPORT TYPE	<input checked="" type="checkbox"/> 2019 Annual (Due: May 15, 2020) <input type="checkbox"/> Amendment <input type="checkbox"/> Termination		Date of Termination: _____	

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

- A. Did you, your spouse, or your dependent child:
- a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? Yes No
 - b. Receive more than \$200 in unearned income from any reportable asset during the reporting period? Yes No
 - c. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period? Yes No
 - d. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period? Yes No
 - e. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period? Yes No
 - f. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? Yes No
 - g. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$300 in value from a single source during the reporting period? Yes No
 - h. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$300 in value from a single source during the reporting period? Yes No
 - i. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period? Yes No

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

IPO – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.

TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "exempted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?

EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

A \$200 penalty shall be assessed against an individual who files more than 30 days late.

[Signature]

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Thomas Earl Finneran Jr.

Page 2 of 9

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: T. Thomas Earl Farmer Jr.

Page 3 of 9

BLOCK A Assets and/or Income Sources	BLOCK B Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income												BLOCK E Transaction
			A	B	C	D	E	F	G	H	I	J	K	L	M
ASSET NAME	EST.													P. S. Signature	
		None													
		\$1-\$1,000													
		\$1,001-\$15,000													
		\$15,001-\$50,000													
		\$50,001-\$100,000													
		\$100,001-\$250,000													
		\$250,001-\$500,000													
		\$500,001-\$1,000,000													
		\$1,000,001-\$5,000,000													
		\$5,000,001-\$25,000,000													
		\$25,000,001-\$50,000,000													
		Over \$50,000,000													
		Spouse/DC Asset over \$1,000,000*													
		None	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
		\$1-\$200													
		\$201-\$1,000													
		\$1,001-\$2,500													
		\$2,501-\$5,000													
		\$5,001-\$15,000													
		\$15,001-\$50,000													
		\$50,001-\$100,000													
		\$100,001-\$1,000,000													
		\$1,000,001-\$5,000,000													
		Over \$5,000,000													
		Spouse/DC Asset with Income over \$1,000,000*													

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: J. Thomas Erol Emmer Jr. | Page 4 of 9

		Type of Transaction		Date	Amount of Transaction												
		Purchase	Sale	Partial Sale	Exchange	(Monthly) Quantity or Maturity, if applicable	A	B	C	D	E	F	G	H	I	J	K
SP, DC, JT	Asset					\$1,001-\$15,000											
SP	Example	Mega Corp. Stock	X	X	30/19	\$15,001-\$50,000											

Use additional sheets if more space is required.

Report any purchase, sale, or exchange transaction that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

*Column K is for assets solely held by your spouse or dependent child.

SCHEDULE D - LIABILITIES

Name: Thomas Earl Farmer Jr. Page 6 or 9

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. **Report a revolving charge account** (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

	Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.
Position	Name of Organization

Use additional sheets if more space is required.

SCHEDULE F – AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Name: Thomas Earl Finner Jr Page 7 of 9

SCHEDULE G – GIFTS

Date	Parties to Agreement	Terms of Agreement

卷之三

Report the source (by name), a brief description, and the value of all gifts totaling more than \$300 received by you, your spouse, or your dependent child from any source during the year. Exclude gifts from relatives, gifts of personal hospitality from an individual (which may not include a registered lobbyist or foreign agent), local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$100 or less need not be added towards the \$300 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

Use additional sheets if more space is required.

SCHEDULE H – TRAVEL PAYMENTS and REIMBURSEMENTS

Name: Thomas Earl Finner Jr Page 8 of 9

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$390 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGD) (U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$390 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Source	Date(s)	City of Departure-Destination-Non-City of Return		Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
		Government of China (MECEA)	Habitat for Humanity (charity foundation)			
Examples:	Aug. 6-11	DC-Bangkok, China-DC		Y	Y	N
	Mar. 3-4	DC-Boston-DC		Y	Y	Y

Use additional sheets if more space is required.

SCHEDULE I - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

Name: James Earl Farmer Jr. Page 1 of 1

Use additional sheets if more space is required.

**FILER NOTES
(Optional)**

Name: Thomas Earl Farmer Jr.

Page _____ of _____

Use additional sheets if more space is required.