



Filing ID #10036174

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Peter A. DeFazio  
**Status:** Member  
**State/District:** OR04

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2019  
**Filing Date:** 07/28/2020

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
1/2 ownership share 53880 Pine Grove rd Lapine oregon [RP]	JT	\$100,001 - \$250,000	None		<input type="checkbox"/>
LOCATION: deschutes, OR, US					
ameritrade ⇒ Antigenics Inc (ANTI) [ST]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
ameritrade ⇒ Marrone Bio Innovations, Inc. (MBII) [ST]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
ameritrade ⇒ oakmark equity income [MF]	SP	\$15,001 - \$50,000	Tax-Deferred	Not Applicable	<input type="checkbox"/>
bank of new zealand [BA]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
bank of new zealand [BA]	SP	\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
ING/VOYA ⇒ 4020Voya Fixed Plus Account III [MF]	SP	\$500,001 - \$1,000,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: purchased by exchange					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
ING/VOYA ⇒ fixed [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: transferred to Voya Fixed Plus Account III					
ING/VOYA ⇒ Vanguard Institutional index fund [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
ING/VOYA ⇒ Vanguard mid cap index [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
COMMENTS: Plan manager ING changed name to Voya					
ING/VOYA ⇒ Vanguard Russ 1000 Growth Ind fund [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
ING/VOYA ⇒ Vanguard small cap index [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: transferred to voya Fixed plus Account III					
ING/VOYA ⇒ Vanguard Target Retirement 2025 Fnd Inv [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: exchanged - you call it a purchase					
ING/VOYA ⇒ Victory Syemr Established value fund [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: transferred to Voya Fixed Plus Account III					
oakmark select [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: shares redeemed 4/10 shares redeemed 5/29 shares redeemed 9/17					
Pentagon Federal Credit Union [BA]		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
selco credit union [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
selco credit union [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Touchstone investments [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: cap gains, ordinary and qualified dividends					
wright patman federal credit union [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: deposit of funds withdrawn from TSP					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
ING/VOYA ⇒ Vanguard Small Cap Index [MF]	SP	10/8/2019	E	\$15,001 - \$50,000	
DESCRIPTION: transferred to Voya Fixed Plus Account III					
ING/VOYA ⇒ Victory Sycmr [MF]	SP	10/8/2019	E	\$15,001 - \$50,000	
DESCRIPTION: transferred to Voya Fixed Plus Account III					
Wright Patman FCU [BA]		10/23/2019	P	\$15,001 - \$50,000	
DESCRIPTION: deposit of funds from TSP withdrawal					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	ArcHome	1/2/13	Principal residence mortgage	\$100,001 - \$250,000
	Penn Fed Credit Union	5/15/15	Loan secured by boat which is my DC residence	\$10,000 - \$15,000
JT	Selco Credit Union	June 2019	Home Equity Line of Credit	\$10,000 - \$15,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## **SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

None disclosed.

## **SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

## **SCHEDULE A AND B ASSET CLASS DETAILS**

- ameritrade
- ameritrade (Owner: SP)
- ING/VOYA (Owner: SP)  
DESCRIPTION: 457 plan ING changed its name to voya

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Peter A. DeFazio , 07/28/2020