



Filing ID #10055189

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. James E Hon Banks
Status: Member
State/District: IN03

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2022
Filing Date: 06/26/2023

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|----------------------|----------------|--------|--------------------------|
| Grace Schools IRA - Money Market [IH] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| INDIANA 529 ⇒ College Choice 529 (Age-based option growth portfolio 100%) [5P] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| LOCATION: IN DESCRIPTION: Elizabeth Banks | | | | | |
| Indiana Deferred Compensation Account [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| INPRS Legislators' Defined Contribution Fund [MF] | | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |
| DESCRIPTION: 2045 Retirement Fund | | | | | |
| INPRS Legislators' Defined Contribution Plan [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| DESCRIPTION: 2045 Retirement Fund | | | | | |
| IRA ⇒ Van Eck Morningstar Wide Moat ETF [MF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Peloton Interactive, Inc. (PTON) [ST] | JT | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income Tx. > \$1,000? |
|---|-------|-----------------------|----------------|--------------------------|
| ReliaStar Life Insurance [WU] | SP | \$100,001 - \$250,000 | None | <input type="checkbox"/> |
| Roblox Corporation Class A (RBLX) [ST] | JT | \$1 - \$1,000 | None | <input type="checkbox"/> |
| Roth IRA ⇒ Vaneck Morningstar Wide Moat ETF [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| Schwab IRA ⇒ Patient Access Solutions Inc. (PASO) [ST] | | \$1 - \$1,000 | Tax-Deferred | <input type="checkbox"/> |
| Schwab IRA ⇒ Peloton Interactive, Inc. (PTON) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | <input type="checkbox"/> |
| State of Indiana Public Employee Deferred Compensation Plan [MF] | SP | \$1 - \$1,000 | Tax-Deferred | <input type="checkbox"/> |
| DESCRIPTION: Indiana 2040 Fund | | | | |
| Vaneck Vectors Morningstar Wide Moat ETF [MF] | | \$15,001 - \$50,000 | None | <input type="checkbox"/> |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

None disclosed.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount |
|--------------------------|---------------|--------|
| Family Policy Foundation | Spouse salary | N/A |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|-------------|---------------|--------------------------|-------------------------|
| JT | Dovenmuehle | June 2020 | Mortgage on VA residence | \$500,001 - \$1,000,000 |

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

| Date | Parties To | Terms of Agreement |
|---------------|--|---|
| November 2017 | INPRS - Indiana Public Retirement System | Continued participation in retirement account |

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

| Source | Trip Details | | | | Inclusions | | |
|-------------------------------|--------------|------------|--|------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| | Start Date | End Date | Itinerary | Days at Own Exp. | Lodging? | Food? | Family? |
| Club for Growth | 03/3/2022 | 03/5/2022 | Washington DC - Palm Beach, FL - Washington DC | 0 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| American Enterprise Institute | 03/10/2022 | 03/13/2022 | Washington, DC and Philadelphia, PA - Sea Island, Georgia - Washington, DC | 0 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- INDIANA 529
LOCATION: IN
- IRA (Owner: SP)
- Roth IRA (Owner: SP)
- Schwab IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. James E Hon Banks , 06/26/2023