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FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Richard W. Allen
Status: Member
State/District: GA12

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2019
Filing Date: 08/10/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
1015 Broad Street LLC [RP] LOCATION: Augusta, GA, US DESCRIPTION: Rental Property		\$1,000,001 - \$5,000,000	Rent	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ 3M Company (MMM) [ST] DESCRIPTION: Purchase	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ Abbott Laboratories (ABT) [ST] DESCRIPTION: Purchase	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST] DESCRIPTION: Purchase	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ Alphabet Inc. - Class A (GOOGL) [ST] DESCRIPTION: Purchase	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Charles Schwab IRA ⇒ Amgen Inc. (AMGN) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Charles Schwab IRA ⇒ CAE Inc. Ordinary Shares (CAE) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Charles Schwab IRA ⇒ Capital One Bank USA Natl Assn CD 1.50% [BA]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Sold					
Charles Schwab IRA ⇒ Carnival Corporation (CCL) [ST]	SP	None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Sale					
Charles Schwab IRA ⇒ Charles Schwab US MC [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ Church & Dwight Company, Inc. (CHD) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ Cintas Corporation (CTAS) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ Costco Wholesale Corporation (COST) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ Dominion Energy, Inc. (D) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ Dover Corporation (DOV) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Charles Schwab IRA ⇒ FedEx Corporation (FDX) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Sale					
Charles Schwab IRA ⇒ Goldman Sachs Bank CD 1.90%(38149MFB4) [BA]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Charles Schwab IRA ⇒	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Intuit Inc. (INTU) [ST]					
Charles Schwab IRA ⇒ Johnson & Johnson (JNJ) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ Kimberly-Clark Corporation (KMB) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Charles Schwab IRA ⇒ Marriott International - Class A (MAR) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ Microsoft Corporation (MSFT) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ Morgan Stanley Bank 3%21 [BA]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ Paychex, Inc. (PAYX) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ Putnam Short Duration Income [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Sale					
Charles Schwab IRA ⇒ Putnam Ultra Short Duration Income [MF]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Charles Schwab IRA ⇒ Putnam Ultra Short Duration Income (PSDTX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Charles Schwab IRA ⇒ Raytheon Technologies Corporation (RTX) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Sale					
Charles Schwab IRA ⇒ Schwab Emerging Markets Equity ETF (SCHE) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ Schwab International Equity ETF (SCHF) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒		\$100,001 -	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Schwab U.S. Broad Market ETF (SCHB) [ST]		\$250,000			
Charles Schwab IRA ⇒ Schwab U.S. Mid Cap ETF (SCHM) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ Schwab U.S. Small-Cap ETF (SCHA) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ Schwab US Broad Market EFT [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Sold					
Charles Schwab IRA ⇒ Schwab US Dividend [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ Schwab US Dividend Equity ETF (SCHD) [ST]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ Schwab US Treasury Money Inv [BA]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Charles Schwab IRA ⇒ Schwab US Treasury Money Inv [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Charles Schwab IRA ⇒ SPDR Select Sector Fund - Health Care (XLV) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Charles Schwab IRA ⇒ SPDR Select Sector Fund - Technology (XLK) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Charles Schwab IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Charles Schwab IRA ⇒ Technology Select Sector [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ The Communication Services Select Sector SPDR Fund (XLC) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Charles Schwab IRA ⇒ Truist Financial Corporation (TFC) [ST] DESCRIPTION: Sale	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ United Technologies Corporation (UTX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ US Treasury Bill 0.00% (912796QV4) [BA] DESCRIPTION: Purchase; Sale	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ US Treasury Bill 0.00% (912796QV4) [GS] DESCRIPTION: Sale; Purchase		\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ US Treasury Bill 0.00% (912796QXo) [GS] DESCRIPTION: SOLD		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ US Treasury Bill 0.00% (912796QXo) [BA] DESCRIPTION: Sale	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ US Treasury Bill19 [GS]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ US Treasury Bill19 [GS]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ Verizon Communications Inc. (VZ) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ Walt Disney Company (DIS) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Greenway Messenger LLC, 5% Interest [HE] DESCRIPTION: Investment Company formed for the purpose of investing in Isimmune LLC a Delaware company; Greenway messenger is based in Bowdon, GA	JT	\$100,001 - \$250,000	None		<input type="checkbox"/>
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Schwab International Equity ETF (SCHF) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Inherited IRA - 2016 ⇒ Charles Schwab IRA	SP	\$50,001 -	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Investment Account ⇒ Schwab U.S. Broad Market ETF (SCHB) [ST]		\$100,000			
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Schwab U.S. Mid Cap ETF (SCHM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Schwab US Dividend Equity ETF (SCHD) [ST]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Schwab US Treasury Money Inv [MF] DESCRIPTION: Purchase	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Wells Farge & Co 3.1% [BA]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Wells Fargo & CO 1.6% [BA] DESCRIPTION: Sale	SP	None	Capital Gains	None	<input checked="" type="checkbox"/>
Pershing Advisor Solutions LLC ⇒ Pershing Government Account [GS]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Personal Home in DC [RP] LOCATION: Washington, DC, US DESCRIPTION: Basement Level Apartment rented out		\$1,000,001 - \$5,000,000	Rent	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
RAWA Leasing Equipment [RP] LOCATION: Augusta, GA, US		\$100,001 - \$250,000	Rent	\$50,001 - \$100,000	<input type="checkbox"/>
RW Allen & Associates INC, 100% Interest [OL] LOCATION: Augusta, GA, US DESCRIPTION: Salary; Distribution;	SP	\$5,000,001 - \$25,000,000	Distribution; Salary;	\$100,001 - \$1,000,000	<input type="checkbox"/>
RW Allen Construction LLC1, 40% Interest [OT] DESCRIPTION: Construction Company	SP	\$5,000,001 - \$25,000,000	Distribution; Salary;	\$100,001 - \$1,000,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
RW Allen Construction LLC2, 20% Interest [OT] DESCRIPTION: Construction Company	SP	\$500,001 - \$1,000,000	Distribution; Salary;	\$100,001 - \$1,000,000	<input type="checkbox"/>
South State Bank [BA]	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Synovus Financial Corp. (SNV) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Synovus Financial Corp. (SNV) [ST] DESCRIPTION: Sale	SP	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Total System Services, Inc. (TSS) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Wells Fargo Bank [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
1015 Broad Street LLC [RP] LOCATION: Augusta, GA, US DESCRIPTION: Rental Property; 100% Ownership		Monthly	E	\$1,001 - \$15,000	
Charles Schwab IRA ⇒ 3M Company (MMM) [ST]	SP	06/19/2019	P	\$15,001 - \$50,000	
Charles Schwab IRA ⇒ 3M Company (MMM) [ST]	SP	05/17/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab IRA ⇒ Abbott Laboratories (ABT) [ST]	SP	09/27/2019	P	\$15,001 - \$50,000	
Charles Schwab IRA ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]	SP	09/27/2019	P	\$15,001 - \$50,000	
Charles Schwab IRA ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	12/27/2019	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Charles Schwab IRA ⇒ Amgen Inc. (AMGN) [ST]	SP	09/27/2019	P	\$15,001 - \$50,000	
Charles Schwab IRA ⇒ CAE Inc. Ordinary Shares (CAE) [ST]	SP	04/9/2019	P	\$1,001 - \$15,000	
Charles Schwab IRA ⇒ CAE Inc. Ordinary Shares (CAE) [ST]	SP	10/31/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ Capital One Bank USA Natl Assn CD 1.50% [BA]	SP	11/25/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab IRA ⇒ Carnival Corporation (CCL) [ST]	SP	04/9/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab IRA ⇒ Dover Corporation (DOV) [ST]	SP	05/15/2019	P	\$15,001 - \$50,000	
Charles Schwab IRA ⇒ FedEx Corporation (FDX) [ST]	SP	04/9/2029	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab IRA ⇒ Goldman Sachs Bank CD 1.90%(38149MFB4) [BA]		08/20/2019	P	\$15,001 - \$50,000	
Charles Schwab IRA ⇒ Kimberly-Clark Corporation (KMB) [ST]	SP	06/7/2019	P	\$1,001 - \$15,000	
Charles Schwab IRA ⇒ Putnam Short Duration Income [MF]	SP	12/27/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab IRA ⇒ Putnam Short Duration Income [MF]	SP	03/13/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab IRA ⇒ Putnam Short Duration Income [MF]	SP	05/15/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab IRA ⇒ Putnam Short Duration Income [MF]	SP	06/7/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab IRA ⇒ Putnam Short Duration Income [MF]	SP	09/27/2019	S	\$50,001 - \$100,000	<input type="checkbox"/>
Charles Schwab IRA ⇒ Putnam Ultra Short Duration Income [MF]		08/16/2019	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Charles Schwab IRA ⇒ Raytheon Technologies Corporation (RTX) [ST]	SP	01/25/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ Schwab US Broad Market EFT [MF]	SP	01/24/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ Schwab US Treasury Money Inv [MF]		12/24/2019	P	\$1,001 - \$15,000	
Charles Schwab IRA ⇒ Schwab US Treasury Money Inv [MF]	SP	11/26/2019	P	\$1,001 - \$15,000	
Charles Schwab IRA ⇒ SPDR Select Sector Fund - Health Care (XLV) [ST]	SP	12/27/2019	P	\$15,001 - \$50,000	
Charles Schwab IRA ⇒ SPDR Select Sector Fund - Technology (XLK) [ST]	SP	05/20/2019	P	\$1,001 - \$15,000	
Charles Schwab IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	12/27/2019	P	\$1,001 - \$15,000	
Charles Schwab IRA ⇒ The Communication Services Select Sector SPDR Fund (XLC) [ST]	SP	03/13/2019	P	\$15,001 - \$50,000	
Charles Schwab IRA ⇒ Truist Financial Corporation (TFC) [ST]	SP	06/19/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ US Treasury Bill 0.00% (912796QV4) [GS]		02/15/2019	P	\$15,001 - \$50,000	
Charles Schwab IRA ⇒ US Treasury Bill 0.00% (912796QV4) [GS]		08/15/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ US Treasury Bill 0.00% (912796QV4) [GS]	SP	08/15/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ US Treasury Bill 0.00% (912796QXo) [GS]	SP	02/15/2019	P	\$15,001 - \$50,000	
Charles Schwab IRA ⇒ US Treasury Bill 0.00% (912796QXo) [GS]		02/14/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Charles Schwab IRA ⇒ US Treasury Bill 0.00% (912796QXo) [GS]	SP	02/14/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Inherited IRA - 2016 ⇒ Schwab US Treasury Money Inv [MF]	SP	08/16/2019	P	\$15,001 - \$50,000	
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Schwab US Treasury Money Inv [MF]	SP	08/16/2019	P	\$15,001 - \$50,000	
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Wells Fargo Bank NA Sioux Falls SD CD 1.60% [BA]	SP	03/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Personal Home in DC [RP] LOCATION: Washington, DC, US DESCRIPTION: Basement Level Apartment rented out		Monthly	E	\$1,001 - \$15,000	
Synovus Financial Corp. (SNV) [ST]	SP	12/27/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
RW Allen & Associates	Spouse	N/A
RW Allen Construction LLC	Spouse	N/A
Inherited IRA	Spouse	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Wells Fargo Bank	10/2010	Mortgage on Primary Residence Augusta, GA	\$100,001 - \$250,000
	South State Bank Augusta, GA	12/2014	Mortgage on Secondary Residence, Washington, D.C.	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Charles Schwab IRA (Owner: SP)
- Charles Schwab IRA
- Inherited IRA - 2016 (Owner: SP)
- Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account (Owner: SP)
- Pershing Advisor Solutions LLC
DESCRIPTION: Money Market Fund

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Richard W. Allen , 08/10/2020