



Filing ID #10060576

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B81 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Caroleene Dobson  
**Status:** Congressional Candidate  
**State/District:** AL02

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2024  
**Filing Date:** 08/13/2024  
**Period Covered:** 01/01/2023– 07/14/2024

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Cadence Bank, NA [BA]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$1 - \$200
CHOG, LLC [OL] LOCATION: Beatrice, AL, US DESCRIPTION: Individual Ownership (31.7%)		Undetermined	Agriculture	\$1 - \$200	\$1 - \$200
CIBC Private Wealth ⇒ Apple Inc. (AAPL) [ST]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
CIBC Private Wealth ⇒ Apple Inc. (AAPL) [ST]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
CIBC Private Wealth ⇒ Canadian National Railway Company (CNI) [ST]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
CIBC Private Wealth ⇒ Canadian National Railway Company Common Stock (CNI) [ST]	SP	\$15,001 - \$50,000	Dividends, Interest	\$201 - \$1,000	\$201 - \$1,000
CIBC Private Wealth ⇒ Chevron Corporation (CVX) [ST]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
CIBC Private Wealth ⇒ CIBC Atlas Disciplined Equity Fund (AWEIX) [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
CIBC Private Wealth ⇒ CIBC Atlas Disciplined Equity Fund (AWEIX) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
CIBC Private Wealth ⇒ CIBC Atlas International Growth Fund (AWWIX) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
CIBC Private Wealth ⇒ CIBC Atlas Mid Cap Equity Fund (AWMIX) [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
CIBC Private Wealth ⇒ CIBC Atlas Opportunities Fund (AWIIX) [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
CIBC Private Wealth ⇒ CIBC Cash Reserve [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
CIBC Private Wealth ⇒ CIBC Cash Reserve [MF]	SP	\$1,001 - \$15,000	Interest	\$201 - \$1,000	\$201 - \$1,000
CIBC Private Wealth ⇒ CIBC Cash Reserve AT [MF]	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
CIBC Private Wealth ⇒ Cisco Systems, Inc. (CSCO) [ST]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
CIBC Private Wealth ⇒ Danaher Corporation (DHR) [ST]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
CIBC Private Wealth ⇒ King Ranch Investments, LP [PS]	SP	\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: CUSIP LP7000021					
CIBC Private Wealth ⇒ Linde plc - Ordinary Shares (LIN) [ST]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
CIBC Private Wealth ⇒ Lowe's Companies, Inc. (LOW) [ST]	DC	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
CIBC Private Wealth ⇒ Lowe's Companies, Inc. (LOW) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
CIBC Private Wealth ⇒ Microsoft Corporation (MSFT) [ST]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
CIBC Private Wealth ⇒ Microsoft Corporation (MSFT) [ST]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
CIBC Private Wealth ⇒ Polen Growth Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
CIBC Private Wealth ⇒ S&P Global Inc (SPGI) [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
CIBC Private Wealth ⇒ Vanguard Extended Market ETF (VXF) [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
CIBC Private Wealth ⇒ Vanguard Extended Market ETF (VXF) [MF]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
CIBC Private Wealth ⇒ Vanguard Small Cap ETF (VB) [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
CIBC Private Wealth ⇒ Visa Inc. (V) [ST]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
CIBC Private Wealth ⇒ Walt Disney Company (DIS) [ST]	DC	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
CIBC Private Wealth - IRA ⇒ AMG TimesSquare Mid Cap Growth Fund (TMDIX) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
CIBC Private Wealth - IRA ⇒ Fidelity Government Portfolio (FIGXX) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
CIBC Private Wealth - IRA ⇒ Polen Growth Fund (POLIX) [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
CIBC Private Wealth - IRA ⇒ Tweedy Browne International Value Fund (TBGVX) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
CIBC Private Wealth - IRA ⇒ Vanguard Small Cap ETF (VB) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Hardee Lands, LTD [OL]  LOCATION: Beatrice, AL, US DESCRIPTION: Ownership through L Caroleene Hardee Family 2012 Trust (49.50%)		Undetermined	Agriculture	\$1 - \$200	\$1 - \$200
Henrietta K. Armstrong Trust DTD 12.30.60 FBO Jean M. Larkin [OT]	SP	Undetermined	Dividends, Interest	\$2,501 - \$5,000	\$2,501 - \$5,000
				DESCRIPTION: Current Year Income Estimated - 2023 K-1 will not be available until September 2024.	
John Hancock 401k ⇒ Vanguard Target Retirement 2050 Fund (VFIFX) [MF]		\$50,001 - \$100,000	Capital Gains, Dividends, Interest	\$1,001 - \$2,500	\$1,001 - \$2,500
King Ranch, Inc. [PS]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	None
		DESCRIPTION: 100 Shares - purchased 3/17/2023			
Maynard Nexsen 401k ⇒ American Funds EuroPacific Gr R5 [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Maynard Nexsen 401k ⇒ Galliard Stable Return Fund C [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Maynard Nexsen 401k ⇒ Harding Loevner International Eq Instl [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Maynard Nexsen 401k ⇒ JPMorgan Mid Cap Value R6 [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Maynard Nexsen 401k ⇒ Mainstay Winslow Large Cap Growth I [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Maynard Nexsen 401k ⇒ MassMutual Mid Cap Growth R5 [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Maynard Nexsen 401k ⇒ Metropolitan West Total Return Bond M [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Maynard Nexsen 401k ⇒ MFS Value R4 [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Maynard Nexsen 401k ⇒ T. Rowe Price New Horizon [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Maynard Nexsen 401k ⇒ Victory Sycamore Small Co Opportunity I [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	\$201 - \$1,000
Philip C. Hardee, LTD [OL]		Undetermined	Agriculture	\$1 - \$200	\$1 - \$200
LOCATION: Beatrice, AL, US DESCRIPTION: Individual Ownership (17.75%) Ownership through L Caroleene Hardee Family 2012 Trust (31.55%)					
Regions Bank [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200

\* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Maynard Nexsen PC	Salary	\$70,497.00	\$229,697.50
CIBC National Trust Company	IRA Distribution	\$675.00	\$1,000.00

## SCHEDULE D: LIABILITIES

None disclosed.

## SCHEDULE E: POSITIONS

Position	Name of Organization
Commissioner	Alabama Forestry Commission
Director	Southeastern Livestock Exposition

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

## SCHEDULE A INVESTMENT VEHICLE DETAILS

- CIBC Private Wealth  
LOCATION: US
- CIBC Private Wealth (Owner: DC)

LOCATION: US

- CIBC Private Wealth (Owner: SP)

LOCATION: US

- CIBC Private Wealth - IRA (Owner: SP)

- John Hancock 401k

- Maynard Nexsen 401k

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Caroleene Dobson , 08/13/2024