



Filing ID #10039451

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. K. Michael Conaway
Status: Former Member
State/District: TX11

FILING INFORMATION

Filing Type: Terminated Filer Report
Filing Year: 2021
Filing Date: 04/30/2021

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Conabain Corporation [OL] LOCATION: Midland, TX, US DESCRIPTION: Royalty income Net loss		\$1,001 - \$15,000	Royalty	\$1 - \$200	<input type="checkbox"/>
Conawell Corporation [OL] LOCATION: Midland, TX, US DESCRIPTION: Passive Investment entity Capital contributed for real estate purchase	JT	\$500,001 - \$1,000,000	None		<input type="checkbox"/>
Congressional Federal Credit Union [BA]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
HKN, Inc. (HKNI) [ST]		\$1 - \$1,000	None		<input type="checkbox"/>
Insurance Policies ⇒ American General 48 [WU]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Mutual 03 [WU]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Mutual 07 [WU]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Insurance Policies ⇒ Northwestern Mutual 17 [WU]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Mutual 38 [WU]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Mutual 73 [WU]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Mutual 75 [WU]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Insurance Policies ⇒ Northwestern Mutual 96 [WU]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kidwell Exploration [OL] LOCATION: Midland, TX, US DESCRIPTION: Royalty	SP	\$1,001 - \$15,000	Royalty	None	<input type="checkbox"/>
Met Life Insurance [OT] DESCRIPTION: Structured Settlement	SP	None	Structured Settlement	\$50,001 - \$100,000	<input type="checkbox"/>
Prudential SSA [OT] DESCRIPTION: Structured Settlement	SP	None	Structured Settlement	\$50,001 - \$100,000	<input type="checkbox"/>
Royalties ⇒ Beulah Coleman [OT] DESCRIPTION: Beulah Coleman	JT	\$100,001 - \$250,000	Oil & Gas Royalty	\$15,001 - \$50,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ Chevron Corporation (CVX) [ST] DESCRIPTION: Merger with NBL	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ Edward Jones Money Market Fund [BA]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares MSCI EAFE Value ETF [EF]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Core Total U.S. Bond Market ETF (AGG) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP E48 (Edward Jones) ⇒ iShares MSCI EAFE Growth ETF (EFG) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares MSCI EAFE Small-Cap ETF (SCZ) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Russell 1000 Value ETF (IWD) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Russell 2000 ETF (IWM) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Russell Midcap Growth ETF (IWP) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares Russell Mid-cap Value ETF (IWS) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares S&P 500 Growth ETF (IVW) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ JP Morgan Federal Money Market Fund [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ JP Morgan High Yield Fund [MF]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ Pgim High Yield R6 [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ Vanguard FTSE All World Ex US ETF (VEU) [ST]	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ VANGUARD INDEX FUNDS: VANGUARD VALUE ETF: [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ VANGUARD INTERMEDIATE TERM: BOND ETF [EF] DESCRIPTION: VANGUARD INTERMEDIATE TERM: BOND ETF	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ VANGUARD LARGE-CAP ETF [HE] DESCRIPTION: VANGUARD LARGE-CAP ETF	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP E48 (Edward Jones) ⇒ Vanguard Total Bond Market ETF (BND) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Amcap Fund CL A [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ American Balanced Fund CL A [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ American Electric Power Company, Inc. (AEP) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ American Funds Dev World Growth [MF]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ American Funds Global Balanced Fund CL A [MF]	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ American International Growth & Income Fund CL A [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ American Mutual Fund CL A [MF]	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ AT&T Inc. (T) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Campbell Soup Company (CPB) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Capital Income Builders Fund CL A [MF]	SP	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Capital World Growth & Income Fund CL A [MF]	SP	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Chevron Corporation (CVX) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Columbia Tax Exempt Fund CL A [MF]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Darden Restaurants, Inc. (DRI) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP E62 (Edward Jones) ⇒ Deere & Company (DE) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Duke Energy Corporation (DUK) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Edward Jones Money Market [BA]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Emerson Electric Company (EMR) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Europacific Growth Fund CL A [MF]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Franklin Founding Fund Allocation CL A [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Franklin Mutual Global Discovery FD [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Franklin Mutual Shares Fund [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Franklin Rising Dividend Fund [MF]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Fundamental Investors Fund CL A [MF]	SP	\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ General Electric Company (GE) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Growth Fund of America CL A [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Hartford Dividend & Growth Fund [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Hartford Healthcare Fund CL A [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ INVESCO HIGH YIELD MUNICIPAL: FUND CL A [MF]	SP	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP E62 (Edward Jones) ⇒ Invesco Ltd (IVZ) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Investment Company of America Fund CL A [MF]	SP	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ ISHARES TR: ISHARES CORE DIVIDEND GROWTH: ETF: [EF]	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Johnson & Johnson (JNJ) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Marathon Petroleum Corporation (MPC) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Microsoft Corporation (MSFT) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ New Perspective Fund CL A [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ New World Fund CL A [MF]	SP	\$50,001 - \$100,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Nuveen AMT-Free Municipal Value [MF]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Procter & Gamble Company (PG) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Putnam Global Healthcare Fund CL A [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Southern Company (SO) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SP E62 (Edward Jones) ⇒ Tax Exempt Bond Fund CL A [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: American Funds - The Tax Exempt Fund of America					
SP E62 (Edward Jones) ⇒ Templeton Growth Fund CL A [MF]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST DIVERSIFIED: INC & GRWTH PRT FALL 2015 SER [OT]	SP	None	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: UIT FIRST TRUST DIVERSIFIED: INC & GRWTH PRT FALL 2015 SER:					
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST DIVERSIFIED: INCME & GRWTH PRTFLIO WINTER: 2015 SER [OT]	SP	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: UIT FIRST TRUST DIVERSIFIED: INCME & GRWTH PRTFLIO WINTER: 2015 SER					
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST DIVERSIFIED: INCOME & GROWTH PORT SER 2015: SUMMER [OT]	SP	None	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: UIT FIRST TRUST DIVERSIFIED: INCOME & GROWTH PORT SER 2015: SUMMER					
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST EQUITY INCOME PORTFOLIO SER 54 [OT]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: UIT FIRST TRUST EQUITY INCOME PORTFOLIO SER 54					
SP E62 (Edward Jones) ⇒ UIT INVESCO UNIT TRS CENT: EQUITY TR DIVERSFED SER 2016-2: [OT]	SP	None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: UIT INVESCO UNIT TRS CENT: EQUITY TR DIVERSFED SER 2016-2:					
SP E62 (Edward Jones) ⇒ Washington Mutual Investors Fund CL A [MF]	SP	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
SP E76 (Edward Jones) ⇒ BOND FUND OF AMERICA CL A [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Exchange from Washington Mutual Investors Fund					
SP E76 (Edward Jones) ⇒ CAPITAL INCOME BUILDER CL A [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Exchange from WASHINGTON MUTUAL INVESTORS FUND CL A					
SP E76 (Edward Jones) ⇒ Washington Mutual Investors Fund CL A [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Trust S ⇒ Wells Fargo Checking [BA]	SP	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo ⇒ Wells Fargo Checking [BA]		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo ⇒		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Wells Fargo Savings [BA]					
Wells Fargo ⇒ Wells Fargo Savings [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo ⇒ WF18 ⇒ Eaton Vance Balanced Fund Cl A [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wells Fargo ⇒ WF18 ⇒ Expanded Bank Deposit [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo ⇒ WF18 ⇒ International Business Machines Corporation (IBM) [ST]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Wells Fargo ⇒ WF18 ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Wells Fargo ⇒ WF18 ⇒ Putnam Global Healthcare Fd [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Wells Fargo ⇒ WF18 ⇒ Valley National Bancorp (VLY) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Wells Fargo ⇒ WF48 ⇒ Expanded Bank Depsot [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo ⇒ WF48 ⇒ Noble Energy Inc. (NBL) [ST]		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Name change to Chevron - merger					
WF 10 (Wells Fargo Financial) ⇒ Abbott Laboratories (ABT) [ST]		\$50,001 - \$100,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒ Amazon.com, Inc. (AMZN) [ST]		\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒ Apple Inc. (AAPL) [ST]		\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒ BANK NOVA SCOTIA 0% EDP BC CAPPED LEVERAGED INDX RETURN MTY 03/27/20 CPN 0.000% DUE 03/27/20 [OT]		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transfer from WF 95 Redemption					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
WF 10 (Wells Fargo Financial) ⇒ BARCLAYS BK PLC 0% BLS CAPPED LEVERAGE INDEX RETURN NT MTY 02/28/2020 [OT]		None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Transfer from WF 95 BARCLAYS BK PLC 0% BLS CAPPED LEVERAGE INDEX RETURN NT MTY 02/28/2020 Redemption					
WF 10 (Wells Fargo Financial) ⇒ BOFA FIN LLC 0% 1Y: LKD TO S&P 500 INDEX DUE 04/24/2020 CPN 0.000% DUE 04/24/20 [OT]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Transfer from WF 95 Redemption BOFA FIN LLC 0% 1Y: LKD TO S&P 500 INDEX DUE 04/24/2020 CPN 0.000% DUE 04/24/20					
WF 10 (Wells Fargo Financial) ⇒ Expanded Bank Deposit [OT]		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Expanded Bank Deposit					
WF 10 (Wells Fargo Financial) ⇒ FIFTH THIRD BANCORP FIX TO FLT JR SBRDNTD CALLABLE PERPTL CPN 5.100% DUE 12/31/49 [OT]		\$50,001 - \$100,000	Dividends, Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: FIFTH THIRD BANCORP FIX TO FLT JR SBRDNTD CALLABLE PERPTL CPN 5.100% DUE 12/31/49					
WF 10 (Wells Fargo Financial) ⇒ First Trust Cloud Computing ETF (SKYY) [ST]		\$50,001 - \$100,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒ First Trust DJ Internet Index Fund (FDN) [ST]		\$15,001 - \$50,000	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Transfer from WF 95					
WF 10 (Wells Fargo Financial) ⇒ First Trust Morningstar ETF (FDL) [ST]		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transfer from WF 95					
WF 10 (Wells Fargo Financial) ⇒ Goldman Sachs ActiveBeta U.S. Large Cap Equity ETF (GSLC) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒ HSBC USA INC 0% BLS AUTOCALL LKD EURO STOXX 50 IDX DUE 08/25/2022 CPN 0.000% DUE 08/25/22 DTD 08/31/ [OT]		\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: HSBC USA INC 0% BLS AUTOCALL LKD EURO STOXX 50 IDX DUE 08/25/2022 CPN 0.000% DUE 08/25/22 DTD 08/31/17 Transfer from WF 95					
WF 10 (Wells Fargo Financial) ⇒ Johnson & Johnson (JNJ) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒ JP Morgan Chase & Co. (JPM) [ST]		None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Purchase and sale in 2020					
WF 10 (Wells Fargo Financial) ⇒ JPMORGAN CHASE & CO FIXED/FLOAT JR SBRDNTD CALLABLE PERPETUAL MAT CPN 88.875% DUE 12/29/49 [OT]		\$50,001 - \$100,000	Dividends, Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: JPMORGAN CHASE & CO FIXED/FLOAT JR SBRDNTD CALLABLE PERPETUAL MAT CPN 88.875% DUE 12/29/49					
WF 10 (Wells Fargo Financial) ⇒ JPMORGAN CHASE & CO JR SUBORDINATED FIX/FLT CALLABLE PERP MAT SER CC CPN 4.625% DUE 12/31/49 [OT]		\$100,001 - \$250,000	Dividends, Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: JPMORGAN CHASE & CO JR SUBORDINATED FIX/FLT CALLABLE PERP MAT SER CC CPN 4.625% DUE 12/31/49					
WF 10 (Wells Fargo Financial) ⇒ PNC Financial Services Group, Inc. (PNC) [ST]		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Purchase and sale in 2020					
WF 10 (Wells Fargo Financial) ⇒ PNC FINANCIAL SERVICES UNSECURED FIX TO FLOAT CALLABLE SER R PERPTL [OT]		\$50,001 - \$100,000	Dividends, Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: PNC FINANCIAL SERVICES UNSECURED FIX TO FLOAT CALLABLE SER R PERPTL					
WF 10 (Wells Fargo Financial) ⇒ Principal U.S. Mega-Cap ETF (USMC) [ST]		\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Transfer from WF 95					
WF 10 (Wells Fargo Financial) ⇒ SPDR Bloomberg Barclays Convertible Securities ETF (CWB) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒ SPDR S&P 500 (SPY) [ST]		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Transfer from WF 95					
WF 10 (Wells Fargo Financial) ⇒ SPDR S&P Dividend ETF (SDY) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Transfer from WF 95					
WF 10 (Wells Fargo Financial) ⇒ SPDR Select Sector Fund - Health Care (XLV) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒ The Blackstone Group Inc. Class A (BX) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒ U.S. Bancorp (USB) [ST]		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Purchase and sale in 2020					
WF 10 (Wells Fargo Financial) ⇒ Vanguard Consumer Staples ETF (VDC) [ST]		None	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Transfer from WF 95					
WF 10 (Wells Fargo Financial) ⇒ Vanguard Div Appreciation ETF (VIG) [ST]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Transfer from WF 95					
WF 10 (Wells Fargo Financial) ⇒ Vanguard Utilities ETF (VPU) [ST]		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Transfer from WF 95					
WF 25 (Wells Fargo Financial) ⇒ Abbott Laboratories (ABT) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WF 25 (Wells Fargo Financial) ⇒ Apple Inc. (AAPL) [ST]		\$50,001 - \$100,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WF 25 (Wells Fargo Financial) ⇒ BANK NOVA SCOTIA 0% ELN LKD EURO STOXX 50 INDEX DUE 09/24/2020 [OT]		None	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: BANK NOVA SCOTIA 0% ELN LKD EURO STOXX 50 INDEX DUE 09/24/2020					
WF 25 (Wells Fargo Financial) ⇒ BARCLAYS BK PLC 0% ELN CAPPED LEVERAGE INDEX RETURN NT MTY 02/28/2020 [OT]		None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: BARCLAYS BK PLC 0% ELN CAPPED LEVERAGE INDEX RETURN NT MTY 02/28/2020					
WF 25 (Wells Fargo Financial) ⇒ BOFA FIN LLC 0% ELN LKD TO S&P 500 INDEX DUE 04/24/2020 [OT]		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: BOFA FIN LLC 0% ELN LKD TO S&P 500 INDEX DUE 04/24/2020					
WF 25 (Wells Fargo Financial) ⇒ Expanded bank deposit [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
WF 25 (Wells Fargo Financial) ⇒ First Trust Cloud Computing ETF (SKYY) [ST]		\$50,001 - \$100,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WF 25 (Wells Fargo Financial) ⇒ FIRST TRUST MORNNGSTRETF DIVIDEND LEADERS INDEX ETF [EF]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
WF 25 (Wells Fargo Financial) ⇒		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
First Trust NASDAQ Cybersecurity ETF (CIBR) [ST]					
WF 25 (Wells Fargo Financial) ⇒ Goldman Sachs ActiveBeta U.S. Large Cap Equity ETF (GSLC) [ST]		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Purchase and sale in 2020					
WF 25 (Wells Fargo Financial) ⇒ HSBC USA INC 0% ELN AUTOCALL LKD EURO STOXX 50 IDX DUE 08/25/2022 [OT]		\$100,001 - \$250,000	None		<input type="checkbox"/>
DESCRIPTION: HSBC USA INC 0% ELN AUTOCALL LKD EURO STOXX 50 IDX DUE 08/25/2022					
WF 25 (Wells Fargo Financial) ⇒ Johnson & Johnson (JNJ) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WF 25 (Wells Fargo Financial) ⇒ JPMORGAN CHASE & CO FIX/FLT JR SBRDNTD PERP MAT CLLB NRA TAXABLE CPN 4.051% DUE 12/29/49 [OT]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: JPMORGAN CHASE & CO FIX/FLT JR SBRDNTD PERP MAT CLLB NRA TAXABLE CPN 4.051% DUE 12/29/49					
WF 25 (Wells Fargo Financial) ⇒ Micron Technology, Inc. (MU) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
WF 25 (Wells Fargo Financial) ⇒ Microsoft Corporation (MSFT) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WF 25 (Wells Fargo Financial) ⇒ Noble Energy Inc. (NBL) [ST]		None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Merged into Chevron					
WF 25 (Wells Fargo Financial) ⇒ Principal U.S. Mega-Cap ETF (USMC) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WF 25 (Wells Fargo Financial) ⇒ Southwest Airlines Company (LUV) [ST]		None	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
WF 25 (Wells Fargo Financial) ⇒ SPDR S&P Dividend ETF (SDY) [ST]		None	None		<input checked="" type="checkbox"/>
WF 25 (Wells Fargo Financial) ⇒ Teradyne, Inc. (TER) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WF 25 (Wells Fargo Financial) ⇒ The Blackstone Group Inc. Class A (BX) [ST]		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Purchase and sale in 2020					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
WF 25 (Wells Fargo Financial) ⇒ Vanguard Consumer Staples ETF (VDC) [ST]		None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
WF 25 (Wells Fargo Financial) ⇒ Vanguard Div Appreciation ETF (VIG) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WF 25 (Wells Fargo Financial) ⇒ Vanguard Utilities ETF (VPU) [ST]		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Purchase and sale in 2020					
WF 31 (Wells Fargo Financial) ⇒ Expanded Bank Deposit [BA]	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
WF 31 (Wells Fargo Financial) ⇒ First Trust Cloud Computing ETF (SKYY) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WF 31 (Wells Fargo Financial) ⇒ iShares Core S&P Total U.S. Stock Market ETF (ITOT) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WF 31 (Wells Fargo Financial) ⇒ Micron Technology, Inc. (MU) [ST]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
WF 31 (Wells Fargo Financial) ⇒ Southwest Airlines Company (LUV) [ST]	SP	None	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
WF 31 (Wells Fargo Financial) ⇒ Vanguard Div Appreciation ETF (VIG) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WF 53 (Wells Fargo Financial) ⇒ Amazon.com, Inc. (AMZN) [ST]		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
WF 53 (Wells Fargo Financial) ⇒ Apple Inc. (AAPL) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WF 53 (Wells Fargo Financial) ⇒ Calamos Strategic Total Return Fund (CSQ) [ST]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
WF 53 (Wells Fargo Financial) ⇒ Expanded Bank Deposit [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
WF 53 (Wells Fargo Financial) ⇒ First Trust DJ Internet Index Fund (FDN) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
WF 53 (Wells Fargo Financial) ⇒ Goldman Sachs ActiveBeta U.S. Large Cap Equity ETF (GSLC) [ST]		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase and sale in 2020					
WF 53 (Wells Fargo Financial) ⇒ Invesco QQQ Trust, Series 1 (QQQ) [ST]		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
WF 53 (Wells Fargo Financial) ⇒ Principal U.S. Mega-Cap ETF (USMC) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WF 53 (Wells Fargo Financial) ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [ST]		None	None		<input checked="" type="checkbox"/>
WF 53 (Wells Fargo Financial) ⇒ Vanguard Consumer Staples ETF (VDC) [ST]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
WF 53 (Wells Fargo Financial) ⇒ Vanguard FTSE Developed Markets ETF (VEA) [ST]		None	None		<input checked="" type="checkbox"/>
WF 53 (Wells Fargo Financial) ⇒ Vanguard S&P 500 ETF (VOO) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WF 53 (Wells Fargo Financial) ⇒ Vanguard Utilities ETF (VPU) [ST]		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase and sale in 2020					
WF 69 (Wells Fargo Financial) ⇒ 3M Company (MMM) [ST]		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Alphabet Inc. - Class A (GOOGL) [ST]		\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Amazon.com, Inc. (AMZN) [ST]		\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ American Electric Power Company, Inc. (AEP) [ST]		None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ American Express Company (AXP) [ST]		None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Apple Inc. (AAPL) [ST]		\$50,001 - \$100,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
WF 69 (Wells Fargo Financial) ⇒ Automatic Data Processing, Inc. (ADP) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Becton, Dickinson and Company (BDX) [ST]		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Carrier Global Corporation (CARR) [ST]		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Position previously not reported Transferred from ML 81 Net loss on transaction					
WF 69 (Wells Fargo Financial) ⇒ Diageo plc (DEO) [ST]		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Expanded Bank Deposit [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ First Trust Cloud Computing ETF (SKYY) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Home Depot, Inc. (HD) [ST]		None	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Intuit Inc. (INTU) [ST]		None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ JP Morgan Chase & Co. (JPM) [ST]		None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ McDonald's Corporation (MCD) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Microsoft Corporation (MSFT) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Northrop Grumman Corporation (NOC) [ST]		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Otis Worldwide Corporation (OTIS) [ST]		None	None		<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Pepsico, Inc. (PEP) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Pfizer, Inc. (PFE) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
WF 69 (Wells Fargo Financial) ⇒ PNC Financial Services Group, Inc. (PNC) [ST]		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Raytheon Company (RTN) [ST]		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ SPDR Bloomberg Barclays Convertible Securities ETF (CWB) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ SPDR S&P Dividend ETF (SDY) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Stryker Corporation (SYK) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ The Travelers Companies, Inc. (TRV) [ST]		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ TJX Companies, Inc. (TJX) [ST]		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ United Technologies Corporation (UTX) [ST] DESCRIPTION: Merged with Raytheon in April 2020		None	Dividends	\$1 - \$200	<input type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Vanguard Consumer Staples ETF (VDC) [ST]		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Vanguard Div Appreciation ETF (VIG) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Verizon Communications Inc. (VZ) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Viatis Inc. (VTRS) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Visa Inc. (V) [ST]		None	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
WF 69 (Wells Fargo Financial) ⇒ Walt Disney Company (DIS) [ST]		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Zoetis Inc. Class A (ZTS) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
WF 77 (Wells Fargo Financial) ⇒ BANK NOVA SCOTIA 0% 1Y: B C LKD S&P 500 MTY 01/31/2020 CPN 0.000% DUE 01/31/20 [OT]		None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Redemption					
WF 95 (Wells Fargo Financial) ⇒ BANK NOVA SCOTIA 0% ELN B C MKT LKD STEP UP LKD CPN: .0000% DUE: 04/26/2024 [OT]		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: BANK NOVA SCOTIA 0% ELN B C MKT LKD STEP UP LKD CPN: .0000% DUE: 04/26/2024 Exchange to WF 10					
WF 95 (Wells Fargo Financial) ⇒ BARCLAYS BK PLC 0% ELN CAPPED LEVERAGE INDEX RETURN NT MTY 02/28/2020 [OT]		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: BARCLAYS BK PLC 0% ELN CAPPED LEVERAGE INDEX RETURN NT MTY 02/28/2020 Exchange to WF 10					
WF 95 (Wells Fargo Financial) ⇒ BOFA FIN LLC 0% BLS LKD TO S&P 500 INDEX DUE 04/24/2020 CPN 0.000% DUE 04/24/20 [OT]		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transfer to WF 10					
WF 95 (Wells Fargo Financial) ⇒ BOFA FIN LLC 0% ELN ACCELERATED RETURN NTS LKD TO S&P MTY 01/31/20 [OT]		None	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: BOFA FIN LLC 0% ELN ACCELERATED RETURN NTS LKD TO S&P MTY 01/31/20 Redemption					
WF 95 (Wells Fargo Financial) ⇒ CITIGROUP INC PERPETUAL FIX/FLT BONDS CALLABLE CPN 6.125% DUE 12/29/49 DTD 11/13/15 FC 05/15/16 CALL [OT]		\$100,001 - \$250,000	Dividends, Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: CITIGROUP INC PERPETUAL FIX/FLT BONDS CALLABLE CPN 6.125% DUE 12/29/49 DTD 11/13/15 FC 05/15/16 CALL11/15/20 @ 100.000, CUSIP-172967KD2					
WF 95 (Wells Fargo Financial) ⇒ Expanded Bank Deposit [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
WF 95 (Wells Fargo Financial) ⇒ First Trust DJ Internet Index Fund [MF]		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transfer to WF 10					
WF 95 (Wells Fargo Financial) ⇒		None	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
FIRST TRUST MORNINGSTRETF DIVIDEND LEADERS INDEX [EF]				
DESCRIPTION: Transfer to WF 10				
WF 95 (Wells Fargo Financial) ⇒ GOLDMAN SACHS ETF TR ETF ACTIVEBETA U S LARGE CAP EQUITY ETF [EF]		None	None	<input checked="" type="checkbox"/>
DESCRIPTION: Transfer to WF 10				
WF 95 (Wells Fargo Financial) ⇒ HSBC USA INC 0% ELN AUTOCALL LKD EURO STOXX 50 IDX DUE 08/25/2022 [OT]		None	None	<input checked="" type="checkbox"/>
DESCRIPTION: HSBC USA INC 0% ELN AUTOCALL LKD EURO STOXX 50 IDX DUE 08/25/2022 Transfer to WF 10				
WF 95 (Wells Fargo Financial) ⇒ Insurance Contract - JAX Nat'l Perspect [OT]		\$1,000,001 - \$5,000,000	None	<input type="checkbox"/>
DESCRIPTION: Insurance Contract - JAX Nat'l Perspect				
WF 95 (Wells Fargo Financial) ⇒ PRINCIPAL EXCHANGE ETF TRADED FDS US MEGA CAP MULTI FACTOR INDEX ETF [EF]		None	None	<input checked="" type="checkbox"/>
DESCRIPTION: Transfer to WF 10				
WF 95 (Wells Fargo Financial) ⇒ SPDR S&P 500 (SPY) [ST]		None	None	<input checked="" type="checkbox"/>
DESCRIPTION: Transfer to WF 10				
WF 95 (Wells Fargo Financial) ⇒ SPDR S&P Dividend ETF (SDY) [ST]		None	None	<input checked="" type="checkbox"/>
DESCRIPTION: Transfer to WF 10				
WF 95 (Wells Fargo Financial) ⇒ Vanguard Consumer Staples ETF (VDC) [ST]		None	None	<input checked="" type="checkbox"/>
DESCRIPTION: Transfer to WF 10				
WF 95 (Wells Fargo Financial) ⇒ Vanguard Div Appreciation ETF (VIG) [ST]		None	None	<input checked="" type="checkbox"/>
DESCRIPTION: Transfer to WF 10				
WF 95 (Wells Fargo Financial) ⇒ VANGUARD UTILITIES ETF [EF]		None	None	<input checked="" type="checkbox"/>
DESCRIPTION: Transfer to WF 10				

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
JT E57 (Edward Jones) ⇒ AMCAP Fund [MF]		12/17/2020	P	\$50,001 - \$100,000	
JT E57 (Edward Jones) ⇒ American Airlines Group, Inc. (AAL) [ST]		11/30/2020	P	\$15,001 - \$50,000	
JT E57 (Edward Jones) ⇒ American Balanced Fund [MF]		12/17/2020	P	\$50,001 - \$100,000	
JT E57 (Edward Jones) ⇒ American Mutual Fund [MF]		12/17/2020	P	\$50,001 - \$100,000	
JT E57 (Edward Jones) ⇒ American New Economy Fund [MF]		12/21/2020	P	\$50,001 - \$100,000	
JT E57 (Edward Jones) ⇒ American Small Cap World Fund [MF]		12/21/2020	P	\$15,001 - \$50,000	
JT E57 (Edward Jones) ⇒ Capital World Growth & Income Fund [MF]		12/17/2020	P	\$50,001 - \$100,000	
JT E57 (Edward Jones) ⇒ Darden Restaurants, Inc. (DRI) [ST]		11/30/2020	P	\$15,001 - \$50,000	
JT E57 (Edward Jones) ⇒ Fundamental Investors CL A [MF]		12/16/2020	P	\$50,001 - \$100,000	
JT E57 (Edward Jones) ⇒ Investment Company of America [MF]		12/18/2020	P	\$50,001 - \$100,000	
JT E57 (Edward Jones) ⇒ iShares Core Dividend Growth ETF (DGRO) [ST]		11/30/2020	P	\$15,001 - \$50,000	
JT E57 (Edward Jones) ⇒ iShares Core Dividend Growth ETF (DGRO) [ST]		12/17/2020	P	\$50,001 - \$100,000	
JT E57 (Edward Jones) ⇒ Southwest Airlines Company (LUV) [ST]		11/30/2020	P	\$15,001 - \$50,000	
JT E57 (Edward Jones) ⇒ Washington Mutual Inv FD [MF]		12/18/2020	P	\$50,001 - \$100,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP E48 (Edward Jones) ⇒ iShares MSCI EAFE Growth ETF (EFG) [ST]	SP	06/9/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ iShares MSCI EAFE Value ETF (EFV) [ST]	SP	06/9/2020	P	\$15,001 - \$50,000	
SP E48 (Edward Jones) ⇒ iShares Russell 2000 ETF (IWM) [ST]	SP	06/9/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
SP E48 (Edward Jones) ⇒ JPMORGAN FEDERAL MONEY MARKET FUND [OT] LOCATION: US DESCRIPTION: JPMORGAN FEDERAL MONEY MARKET FUND	SP	06/23/2020	P	\$1,001 - \$15,000	
SP E48 (Edward Jones) ⇒ JPMORGAN FEDERAL MONEY MARKET FUND [OT] LOCATION: US DESCRIPTION: JPMORGAN FEDERAL MONEY MARKET FUND	SP	06/10/2020	P	\$15,001 - \$50,000	
SP E48 (Edward Jones) ⇒ JPMORGAN FEDERAL MONEY MARKET FUND [OT] LOCATION: US DESCRIPTION: JPMORGAN FEDERAL MONEY MARKET FUND	SP	06/9/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ Legg Mason Global Infrastructure ETF (INFR) [ST]	SP	06/10/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
SP E48 (Edward Jones) ⇒ PGIM High Yield Bond Fund, Inc. (ISD) [ST]	SP	06/9/2020	P	\$15,001 - \$50,000	
SP E48 (Edward Jones) ⇒ Vanguard Large-Cap ETF (VV) [ST]	SP	06/9/2020	P	\$1,001 - \$15,000	
SP E62 (Edward Jones) ⇒ American Balanced Fund Class A [OT] LOCATION: US DESCRIPTION: American Balanced Fund Class A	SP	01/16/2020	P	\$1,001 - \$15,000	
SP E62 (Edward Jones) ⇒ American Capital Income [OT] LOCATION: US DESCRIPTION: American Capital Income	SP	04/22/2020	P	\$1,001 - \$15,000	
SP E62 (Edward Jones) ⇒ American Electric Power Company, Inc. (AEP) [ST]	SP	04/6/2020	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP E62 (Edward Jones) ⇒ American Electric Power Company, Inc. (AEP) [ST]	SP	08/10/2020	P	\$15,001 - \$50,000	
SP E62 (Edward Jones) ⇒ American Fundamental Investors [OT] LOCATION: US DESCRIPTION: American Fundamental Investors	SP	04/22/2020	P	\$1,001 - \$15,000	
SP E62 (Edward Jones) ⇒ AMERICAN FUNDS THE TAX EXEMPT BOND FUND OF AMERICA [OT] LOCATION: US DESCRIPTION: AMERICAN FUNDS THE TAX EXEMPT BOND FUND OF AMERICA	SP	07/20/2020	P	\$1,001 - \$15,000	
SP E62 (Edward Jones) ⇒ American Growth Fund [OT] LOCATION: US DESCRIPTION: American Growth Fund	SP	04/22/2020	P	\$1,001 - \$15,000	
SP E62 (Edward Jones) ⇒ American New Perspective Cl A [OT] LOCATION: US DESCRIPTION: American New Perspective Cl A	SP	04/22/2020	P	\$1,001 - \$15,000	
SP E62 (Edward Jones) ⇒ American Tax-exempt Bond Fd [OT] LOCATION: US DESCRIPTION: American Tax-exempt Bond Fd	SP	04/22/2020	P	\$1,001 - \$15,000	
SP E62 (Edward Jones) ⇒ Chevron Corporation (CVX) [ST]	SP	04/22/2020	P	\$15,001 - \$50,000	
SP E62 (Edward Jones) ⇒ Darden Restaurants, Inc. (DRI) [ST]	SP	04/13/2020	P	\$15,001 - \$50,000	
SP E62 (Edward Jones) ⇒ Darden Restaurants, Inc. (DRI) [ST]	SP	05/11/2020	P	\$15,001 - \$50,000	
SP E62 (Edward Jones) ⇒ Duke Energy Corporation (DUK) [ST]	SP	04/22/2020	P	\$15,001 - \$50,000	
SP E62 (Edward Jones) ⇒ Duke Energy Corporation (DUK) [ST]	SP	08/10/2020	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SP E62 (Edward Jones) ⇒ Emerson Electric Company (EMR) [ST]	SP	04/22/2020	P	\$15,001 - \$50,000	
SP E62 (Edward Jones) ⇒ Franklin Rising Dividend Cl A [OT] LOCATION: US DESCRIPTION: Franklin Rising Dividend Cl A	SP	04/22/2020	P	\$1,001 - \$15,000	
SP E62 (Edward Jones) ⇒ FT Divers Income & Growth Fall 15 [OT] LOCATION: US DESCRIPTION: FT Divers Income & Growth Fall 15 Redemption	SP	08/11/2020	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
SP E62 (Edward Jones) ⇒ Invesco Ltd (IVZ) [ST]	SP	01/16/2020	P	\$1,001 - \$15,000	
SP E62 (Edward Jones) ⇒ iShares Core Dividend Growth ETF (DGRO) [ST]	SP	04/22/2020	P	\$15,001 - \$50,000	
SP E62 (Edward Jones) ⇒ iShares Core Dividend Growth ETF (DGRO) [ST]	SP	04/6/2020	P	\$15,001 - \$50,000	
SP E62 (Edward Jones) ⇒ iShares Core Dividend Growth ETF (DGRO) [ST]	SP	04/6/2020	P	\$1,001 - \$15,000	
SP E62 (Edward Jones) ⇒ iShares Core Dividend Growth ETF (DGRO) [ST]	SP	04/6/2020	P	\$1,001 - \$15,000	
SP E62 (Edward Jones) ⇒ iShares Core Dividend Growth ETF (DGRO) [ST]	SP	08/10/2020	P	\$50,001 - \$100,000	
SP E62 (Edward Jones) ⇒ Johnson & Johnson (JNJ) [ST]	SP	05/11/2020	P	\$15,001 - \$50,000	
SP E62 (Edward Jones) ⇒ Johnson & Johnson (JNJ) [ST]	SP	08/10/2020	P	\$15,001 - \$50,000	
SP E62 (Edward Jones) ⇒ Marathon Petroleum Corporation (MPC) [ST]	SP	04/22/2020	P	\$15,001 - \$50,000	
SP E62 (Edward Jones) ⇒ Procter & Gamble Company (PG) [ST]	SP	05/11/2020	P	\$15,001 - \$50,000	
SP E62 (Edward Jones) ⇒	SP	04/6/2020	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Southern Company (SO) [ST]					
SP E62 (Edward Jones) ⇒ UIT First Trust Diversified W115 [OT]	SP	11/10/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: UIT First Trust Diversified W115 Redemption					
SP E62 (Edward Jones) ⇒ UIT FIRST TRUST DIVERSIFIED INCOME & GROWTH PORT SER 2015 [OT]	SP	05/12/2020	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: UIT FIRST TRUST DIVERSIFIED INCOME & GROWTH PORT SER 2015 Redemption					
SP E62 (Edward Jones) ⇒ UIT INVESCO UNIT TRS CENT EQUITY TR DIVERSFED SER 2016- [OT]	SP	04/23/2020	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: UIT INVESCO UNIT TRS CENT EQUITY TR DIVERSFED SER 2016- Redemption					
Wells Fargo ⇒ WF48 ⇒ Chevron Corporation (CVX) [ST]		10/6/2020	E	\$1,001 - \$15,000	
DESCRIPTION: Merger with NBL					
Wells Fargo ⇒ WF48 ⇒ Noble Energy Inc. (NBL) [ST]		10/6/2020	E	\$15,001 - \$50,000	
DESCRIPTION: Merger with CVX					
WF 10 (Wells Fargo Financial) ⇒ Abbott Laboratories (ABT) [ST]		09/28/2020	P	\$50,001 - \$100,000	
WF 10 (Wells Fargo Financial) ⇒ Amazon.com, Inc. (AMZN) [ST]		04/15/2020	P	\$15,001 - \$50,000	
WF 10 (Wells Fargo Financial) ⇒ Amazon.com, Inc. (AMZN) [ST]		05/19/2020	P	\$50,001 - \$100,000	
WF 10 (Wells Fargo Financial) ⇒ Apple Inc. (AAPL) [ST]		04/15/2020	P	\$50,001 - \$100,000	
WF 10 (Wells Fargo Financial) ⇒ Apple Inc. (AAPL) [ST]		05/19/2020	P	\$15,001 - \$50,000	
WF 10 (Wells Fargo Financial) ⇒ Apple Inc. (AAPL) [ST]		09/8/2020	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
WF 10 (Wells Fargo Financial) ⇒ Apple Inc. (AAPL) [ST]		10/16/2020	P	\$15,001 - \$50,000	
WF 10 (Wells Fargo Financial) ⇒ BANK NOVA SCOTIA 0% BLS BC CAPPED LEVERAGED INDX RETURN MTY 03/27/20 CPN 0.000% DUE 03/27/20 [OT] LOCATION: US DESCRIPTION: BANK NOVA SCOTIA 0% BLS BC CAPPED LEVERAGED INDX RETURN MTY 03/27/20 CPN 0.000% DUE 03/27/20 Transfer from WF 95		02/24/2020	E	\$50,001 - \$100,000	
WF 10 (Wells Fargo Financial) ⇒ BANK NOVA SCOTIA 0% EDP BC CAPPED LEVERAGED INDX RETURN MTY 03/27/20 CPN 0.000% DUE 03/27/20 [OT] LOCATION: US DESCRIPTION: BANK NOVA SCOTIA 0% EDP BC CAPPED LEVERAGED INDX RETURN MTY 03/27/20 CPN 0.000% DUE 03/27/20 Redemption		03/27/2020	S	\$50,001 - \$100,000	<input type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒ BARCLAYS BK PLC 0% BLS CAPPED LEVERAGE INDEX RETURN NT MTY 02/28/2020 [OT] LOCATION: US DESCRIPTION: BARCLAYS BK PLC 0% BLS CAPPED LEVERAGE INDEX RETURN NT MTY 02/28/2020 Redemption		02/28/2020	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒ BARCLAYS BK PLC 0% BLS CAPPED LEVERAGE INDEX RETURN NT MTY 02/28/2020 CPN 0.000% DUE 02/28/20 [OT] LOCATION: US DESCRIPTION: BARCLAYS BK PLC 0% BLS CAPPED LEVERAGE INDEX RETURN NT MTY 02/28/2020 CPN 0.000% DUE 02/28/20 Transfer from WF 95		02/24/2020	E	\$50,001 - \$100,000	
WF 10 (Wells Fargo Financial) ⇒ BOFA FIN LLC 0% 1Y: LKD TO S&P 500 INDEX DUE 04/24/2020 CPN 0.000% DUE 04/24/20 [OT] LOCATION: US DESCRIPTION: BOFA FIN LLC 0% 1Y: LKD TO S&P 500 INDEX DUE 04/24/2020 CPN 0.000% DUE 04/24/20 Redemption		04/24/2020	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒ BOFA FIN LLC 0% BLS LKD TO S&P 500 INDEX DUE 04/24/2020 CPN 0.000% DUE 04/24/20 [OT] LOCATION: US DESCRIPTION: BOFA FIN LLC 0% BLS LKD TO S&P 500 INDEX DUE 04/24/2020 CPN 0.000% DUE 04/24/20 Transfer from WF 95		02/24/2020	E	\$50,001 - \$100,000	
WF 10 (Wells Fargo Financial) ⇒ FIFTH THIRD BANCORP FIX TO FLT JR SBRDNTD		09/21/2020	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
CALLABLE PERPTL CPN 5.100% DUE 12/31/49 [OT]					
LOCATION: US					
DESCRIPTION: FIFTH THIRD BANCORP FIX TO FLT JR SBRDNTD CALLABLE PERPTL CPN 5.100% DUE 12/31/49					
WF 10 (Wells Fargo Financial) ⇒ First Trust Cloud Computing ETF (SKYY) [ST]		05/19/2020	P	\$15,001 - \$50,000	
WF 10 (Wells Fargo Financial) ⇒ First Trust Cloud Computing ETF (SKYY) [ST]		10/16/2020	P	\$15,001 - \$50,000	
WF 10 (Wells Fargo Financial) ⇒ First Trust DJ Internet Index Fund (FDN) [ST]		03/10/2020	S	\$50,001 - \$100,000	<input type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒ First Trust DJ Internet Index Fund (FDN) [ST]		02/24/2020	E	\$50,001 - \$100,000	
DESCRIPTION: Transfer from WF 95					
WF 10 (Wells Fargo Financial) ⇒ First Trust DJ Internet Index Fund (FDN) [ST]		12/10/2020	P	\$15,001 - \$50,000	
WF 10 (Wells Fargo Financial) ⇒ First Trust Morningstar ETF (FDL) [ST]		02/26/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒ First Trust Morningstar ETF (FDL) [ST]		02/25/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒ First Trust Morningstar ETF (FDL) [ST]		02/24/2020	E	\$50,001 - \$100,000	
DESCRIPTION: Transfer from WF 95					
WF 10 (Wells Fargo Financial) ⇒ Goldman Sachs ActiveBeta U.S. Large Cap Equity ETF (GSLC) [ST]		03/11/2020	S	\$50,001 - \$100,000	<input type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒ Goldman Sachs ActiveBeta U.S. Large Cap Equity ETF (GSLC) [ST]		02/24/2020	E	\$100,001 - \$250,000	
DESCRIPTION: Transfer from WF 95					
WF 10 (Wells Fargo Financial) ⇒ Goldman Sachs ActiveBeta U.S. Large Cap Equity ETF (GSLC) [ST]		12/10/2020	P	\$15,001 - \$50,000	
WF 10 (Wells Fargo Financial) ⇒ HSBC USA INC 0% BLS AUTOCALL LKD EURO STOXX		02/24/2020	E	\$50,001 - \$100,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
50 IDX DUE 08/25/2022 CPN 0.000% DUE 08/25/22 DTD 08/31/17 [OT]					
LOCATION: US DESCRIPTION: HSBC USA INC 0% BLS AUTOCALL LKD EURO STOXX 50 IDX DUE 08/25/2022 CPN 0.000% DUE 08/25/22 DTD 08/31/17 Transfer from WF 95					
WF 10 (Wells Fargo Financial) ⇒ Johnson & Johnson (JNJ) [ST]		10/16/2020	P	\$50,001 - \$100,000	
WF 10 (Wells Fargo Financial) ⇒ JP Morgan Chase & Co. (JPM) [ST]		05/19/2020	P	\$15,001 - \$50,000	
WF 10 (Wells Fargo Financial) ⇒ JP Morgan Chase & Co. (JPM) [ST]		09/8/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒ JPMORGAN CHASE & CO FIXED/FLOAT JR SBRDNTD CALLABLE PERPETUAL MAT CPN 88.875% DUE 12/29/49 [OT]		04/15/2020	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: JPMORGAN CHASE & CO FIXED/FLOAT JR SBRDNTD CALLABLE PERPETUAL MAT CPN 88.875% DUE 12/29/49					
WF 10 (Wells Fargo Financial) ⇒ JPMORGAN CHASE & CO JR SUBORDINATED FIX/FLT CALLABLE PERP MAT SER CC CPN 4.625% DUE 12/31/49 [OT]		04/15/2020	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: JPMORGAN CHASE & CO JR SUBORDINATED FIX/FLT CALLABLE PERP MAT SER CC CPN 4.625% DUE 12/31/49					
WF 10 (Wells Fargo Financial) ⇒ JPMORGAN CHASE & CO JR SUBORDINATED FIX/FLT CALLABLE PERP MAT SER CC CPN 4.625% DUE 12/31/49 [OT]		04/15/2020	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: JPMORGAN CHASE & CO JR SUBORDINATED FIX/FLT CALLABLE PERP MAT SER CC CPN 4.625% DUE 12/31/49					
WF 10 (Wells Fargo Financial) ⇒ PNC Financial Services Group, Inc. (PNC) [ST]		06/2/2020	P	\$15,001 - \$50,000	
WF 10 (Wells Fargo Financial) ⇒ PNC Financial Services Group, Inc. (PNC) [ST]		06/1/2020	P	\$15,001 - \$50,000	
WF 10 (Wells Fargo Financial) ⇒ PNC Financial Services Group, Inc. (PNC) [ST]		08/10/2020	S	\$50,001 - \$100,000	<input type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒		05/19/2020	P	\$50,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
PNC FINANCIAL SERVICES UNSECURED FIX TO FLOAT CALLABLE SER R PERPTL CPN 4.850% DUE 05/29/49 [OT]				\$100,000	
LOCATION: US					
DESCRIPTION: PNC FINANCIAL SERVICES UNSECURED FIX TO FLOAT CALLABLE SER R PERPTL CPN 4.850% DUE 05/29/49					
WF 10 (Wells Fargo Financial) ⇒ Principal U.S. Mega-Cap ETF (USMC) [ST]		09/8/2020	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒ Principal U.S. Mega-Cap Multi-Factor Index ETF (USMC) [ST]		02/24/2020	E	\$100,001 - \$250,000	
DESCRIPTION: Transfer from WF 95					
WF 10 (Wells Fargo Financial) ⇒ SPDR Bloomberg Barclays Convertible Securities ETF (CWB) [ST]		08/10/2020	P	\$50,001 - \$100,000	
WF 10 (Wells Fargo Financial) ⇒ SPDR S&P 500 (SPY) [ST]		02/24/2020	E	\$100,001 - \$250,000	
DESCRIPTION: Transfer from WF 95					
WF 10 (Wells Fargo Financial) ⇒ SPDR S&P 500 (SPY) [ST]		09/8/2020	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒ SPDR S&P Dividend ETF (SDY) [ST]		03/11/2020	S	\$100,001 - \$250,000	<input type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒ SPDR S&P Dividend ETF (SDY) [ST]		02/24/2020	E	\$50,001 - \$100,000	
DESCRIPTION: Transfer from WF 95					
WF 10 (Wells Fargo Financial) ⇒ SPDR S&P Dividend ETF (SDY) [ST]		08/10/2020	P	\$15,001 - \$50,000	
WF 10 (Wells Fargo Financial) ⇒ SPDR Select Sector Fund - Health Care (XLV) [ST]		08/10/2020	P	\$50,001 - \$100,000	
WF 10 (Wells Fargo Financial) ⇒ The Blackstone Group Inc. Class A (BX) [ST]		05/26/2020	P	\$50,001 - \$100,000	
WF 10 (Wells Fargo Financial) ⇒ U.S. Bancorp (USB) [ST]		06/1/2020	P	\$50,001 - \$100,000	
WF 10 (Wells Fargo Financial) ⇒		08/10/2020	S	\$50,001 -	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
U.S. Bancorp (USB) [ST]				\$100,000	
WF 10 (Wells Fargo Financial) ⇒ Vanguard Consumer Staples ETF (VDC) [ST]		03/10/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒ Vanguard Consumer Staples ETF (VDC) [ST]		02/24/2020	E	\$100,001 - \$250,000	
DESCRIPTION: Transfer from WF 95					
WF 10 (Wells Fargo Financial) ⇒ Vanguard Consumer Staples ETF (VDC) [ST]		06/1/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒ Vanguard Consumer Staples ETF (VDC) [ST]		09/21/2020	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒ Vanguard Div Appreciation ETF (VIG) [ST]		03/11/2020	S	\$50,001 - \$100,000	<input type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒ Vanguard Div Appreciation ETF (VIG) [ST]		02/24/2020	E	\$50,001 - \$100,000	
DESCRIPTION: Transfer from WF 95					
WF 10 (Wells Fargo Financial) ⇒ Vanguard Div Appreciation ETF (VIG) [ST]		04/15/2020	P	\$50,001 - \$100,000	
WF 10 (Wells Fargo Financial) ⇒ Vanguard Div Appreciation ETF (VIG) [ST]		05/19/2020	P	\$15,001 - \$50,000	
WF 10 (Wells Fargo Financial) ⇒ Vanguard Div Appreciation ETF (VIG) [ST]		09/8/2020	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒ Vanguard Div Appreciation ETF (VIG) [ST]		12/10/2020	P	\$50,001 - \$100,000	
WF 10 (Wells Fargo Financial) ⇒ Vanguard Utilities ETF (VPU) [ST]		02/25/2020	P	\$50,001 - \$100,000	
WF 10 (Wells Fargo Financial) ⇒ Vanguard Utilities ETF (VPU) [ST]		03/18/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒ Vanguard Utilities ETF (VPU) [ST]		03/10/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
WF 10 (Wells Fargo Financial) ⇒		02/24/2020	E	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard Utilities ETF (VPU) [ST]					
DESCRIPTION: Transfer from WF 95					
WF 25 (Wells Fargo Financial) ⇒ Abbott Laboratories (ABT) [ST]		09/28/2020	P	\$15,001 - \$50,000	
WF 25 (Wells Fargo Financial) ⇒ Apple Inc. (AAPL) [ST]		10/16/2020	P	\$15,001 - \$50,000	
WF 25 (Wells Fargo Financial) ⇒ BANK NOVA SCOTIA 0% 1Y: LKD EURO STOXX 50 INDEX DUE 09/24/2020 CPN 0.000% DUE 09/24/20 [OT]		09/24/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LOCATION: US					
DESCRIPTION: BANK NOVA SCOTIA 0% 1Y: LKD EURO STOXX 50 INDEX DUE 09/24/2020 CPN 0.000% DUE 09/24/20 Redemption					
WF 25 (Wells Fargo Financial) ⇒ BARCLAYS BK PLC 0% BLS CAPPED LEVERAGE INDEX RETURN NT MTY 02/28/2020 [OT]		02/28/2020	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LOCATION: US					
DESCRIPTION: BARCLAYS BK PLC 0% BLS CAPPED LEVERAGE INDEX RETURN NT MTY 02/28/2020 Redemption					
WF 25 (Wells Fargo Financial) ⇒ BOFA FIN LLC 0% 1Y: LKD TO S&P 500 INDEX DUE 04/24/2020 CPN 0.000% DUE 04/24/20 [OT]		04/24/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LOCATION: US					
DESCRIPTION: BOFA FIN LLC 0% 1Y: LKD TO S&P 500 INDEX DUE 04/24/2020 CPN 0.000% DUE 04/24/20					
WF 25 (Wells Fargo Financial) ⇒ Chevron Corporation (CVX) [ST]		10/16/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: CVX result of merger with NBL					
WF 25 (Wells Fargo Financial) ⇒ Chevron Corporation (CVX) [ST]		10/6/2020	E	\$15,001 - \$50,000	
DESCRIPTION: Merger with NBL					
WF 25 (Wells Fargo Financial) ⇒ First Trust Cloud Computing ETF (SKYY) [ST]		05/26/2020	P	\$15,001 - \$50,000	
WF 25 (Wells Fargo Financial) ⇒ First Trust Morningstar ETF (FDL) [ST]		02/18/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
WF 25 (Wells Fargo Financial) ⇒ First Trust Morningstar ETF (FDL) [ST]		02/18/2020	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
WF 25 (Wells Fargo Financial) ⇒ First Trust NASDAQ Cybersecurity ETF (CIBR) [ST]		09/21/2020	P	\$15,001 - \$50,000	
WF 25 (Wells Fargo Financial) ⇒ Goldman Sachs ActiveBeta U.S. Large Cap Equity ETF (GSLC) [ST]		02/18/2020	P	\$15,001 - \$50,000	
WF 25 (Wells Fargo Financial) ⇒ Goldman Sachs ActiveBeta U.S. Large Cap Equity ETF (GSLC) [ST]		05/26/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
WF 25 (Wells Fargo Financial) ⇒ Johnson & Johnson (JNJ) [ST]		10/16/2020	P	\$15,001 - \$50,000	
WF 25 (Wells Fargo Financial) ⇒ JPMORGAN CHASE & CO FIX/FLT JR SBRDNTD PERP MAT CLLB NRA TAXABLE CPN 4.051% DUE 12/29/49 [OT]		09/28/2020	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: JPMORGAN CHASE & CO FIX/FLT JR SBRDNTD PERP MAT CLLB NRA TAXABLE CPN 4.051% DUE 12/29/49					
WF 25 (Wells Fargo Financial) ⇒ Noble Energy Inc. (NBL) [ST]		10/6/2020	E	\$1,001 - \$15,000	
DESCRIPTION: Merger with CVX					
WF 25 (Wells Fargo Financial) ⇒ Principal U.S. Mega-Cap ETF (USMC) [ST]		09/21/2020	P	\$1,001 - \$15,000	
WF 25 (Wells Fargo Financial) ⇒ Principal U.S. Mega-Cap Multi-Factor Index ETF (USMC) [ST]		05/26/2020	P	\$1,001 - \$15,000	
WF 25 (Wells Fargo Financial) ⇒ Principal U.S. Mega-Cap Multi-Factor Index ETF (USMC) [ST]		05/26/2020	P	\$15,001 - \$50,000	
WF 25 (Wells Fargo Financial) ⇒ Southwest Airlines Company (LUV) [ST]		03/18/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
WF 25 (Wells Fargo Financial) ⇒ SPDR S&P Dividend ETF (SDY) [ST]		03/11/2020	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
WF 25 (Wells Fargo Financial) ⇒ The Blackstone Group Inc. Class A (BX) [ST]		05/26/2020	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
WF 25 (Wells Fargo Financial) ⇒ The Blackstone Group Inc. Class A (BX) [ST]		10/16/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
WF 25 (Wells Fargo Financial) ⇒ Vanguard Consumer Staples ETF (VDC) [ST]		09/21/2020	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
WF 25 (Wells Fargo Financial) ⇒ Vanguard Consumer Staples ETF (VDC) [ST]		10/16/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
WF 25 (Wells Fargo Financial) ⇒ Vanguard Div Appreciation ETF (VIG) [ST]		03/11/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
WF 25 (Wells Fargo Financial) ⇒ Vanguard Div Appreciation ETF (VIG) [ST]		04/15/2020	P	\$15,001 - \$50,000	
WF 25 (Wells Fargo Financial) ⇒ Vanguard Utilities ETF (VPU) [ST]		02/18/2020	P	\$15,001 - \$50,000	
WF 25 (Wells Fargo Financial) ⇒ Vanguard Utilities ETF (VPU) [ST]		03/18/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
WF 31 (Wells Fargo Financial) ⇒ First Trust Cloud Computing ETF (SKYY) [ST]	SP	05/19/2020	P	\$15,001 - \$50,000	
WF 31 (Wells Fargo Financial) ⇒ iShares Core S&P Total U.S. Stock Market ETF (ITOT) [ST]	SP	05/19/2020	P	\$15,001 - \$50,000	
WF 31 (Wells Fargo Financial) ⇒ Southwest Airlines Company (LUV) [ST]	SP	03/18/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
WF 31 (Wells Fargo Financial) ⇒ Vanguard Div Appreciation ETF (VIG) [ST]	SP	05/19/2020	P	\$15,001 - \$50,000	
WF 53 (Wells Fargo Financial) ⇒ Amazon.com, Inc. (AMZN) [ST]		04/15/2020	P	\$15,001 - \$50,000	
WF 53 (Wells Fargo Financial) ⇒ Apple Inc. (AAPL) [ST]		04/15/2020	P	\$15,001 - \$50,000	
WF 53 (Wells Fargo Financial) ⇒ Calamos Strategic Total Return Fund (CSQ) [ST]		02/10/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WF 53 (Wells Fargo Financial) ⇒ Goldman Sachs ActiveBeta U.S. Large Cap Equity ETF		02/10/2020	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
(GSLC) [ST]					
WF 53 (Wells Fargo Financial) ⇒ Goldman Sachs ActiveBeta U.S. Large Cap Equity ETF (GSLC) [ST]		05/26/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
WF 53 (Wells Fargo Financial) ⇒ HSBC USA INC 0% EDP NTS LKD TO EURO STOXX 50 INDEX MTY 03/27/20 CPN 0.000% DUE 03/27/20 [OT]		03/27/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: HSBC USA INC 0% EDP NTS LKD TO EURO STOXX 50 INDEX MTY 03/27/20 CPN 0.000% DUE 03/27/20 Redemption					
WF 53 (Wells Fargo Financial) ⇒ Invesco QQQ Trust, Series 1 (QQQ) [ST]		02/10/2020	P	\$15,001 - \$50,000	
WF 53 (Wells Fargo Financial) ⇒ Principal U.S. Mega-Cap Multi-Factor Index ETF (USMC) [ST]		05/26/2020	P	\$1,001 - \$15,000	
WF 53 (Wells Fargo Financial) ⇒ Principal U.S. Mega-Cap Multi-Factor Index ETF (USMC) [ST]		05/26/2020	P	\$15,001 - \$50,000	
WF 53 (Wells Fargo Financial) ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [ST]		02/10/2020	P	\$1,001 - \$15,000	
WF 53 (Wells Fargo Financial) ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [ST]		03/18/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
WF 53 (Wells Fargo Financial) ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [ST]		03/18/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
WF 53 (Wells Fargo Financial) ⇒ Vanguard Consumer Staples ETF (VDC) [ST]		09/21/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
WF 53 (Wells Fargo Financial) ⇒ Vanguard FTSE Developed Markets ETF (VEA) [ST]		03/18/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
WF 53 (Wells Fargo Financial) ⇒ Vanguard Utilities ETF (VPU) [ST]		02/10/2020	P	\$15,001 - \$50,000	
WF 53 (Wells Fargo Financial) ⇒ Vanguard Utilities ETF (VPU) [ST]		03/18/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒		03/11/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
3M Company (MMM) [ST]					
WF 69 (Wells Fargo Financial) ⇒ Alphabet Inc. - Class A (GOOGL) [ST]		09/8/2020	P	\$15,001 - \$50,000	
WF 69 (Wells Fargo Financial) ⇒ Amazon.com, Inc. (AMZN) [ST]		07/1/2020	P	\$50,001 - \$100,000	
WF 69 (Wells Fargo Financial) ⇒ American Electric Power Company, Inc. (AEP) [ST]		03/18/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ American Express Company (AXP) [ST]		08/10/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Apple Inc. (AAPL) [ST]		07/1/2020	P	\$15,001 - \$50,000	
WF 69 (Wells Fargo Financial) ⇒ Apple Inc. (AAPL) [ST]		09/8/2020	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Becton, Dickinson and Company (BDX) [ST]		05/19/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Carrier Global Corporation (CARR) [ST]		05/19/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Diageo plc (DEO) [ST]		03/18/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Diageo plc (DEO) [ST]		03/18/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ First Trust Cloud Computing ETF (SKYY) [ST]		05/19/2020	P	\$15,001 - \$50,000	
WF 69 (Wells Fargo Financial) ⇒ Home Depot, Inc. (HD) [ST]		05/19/2020	P	\$15,001 - \$50,000	
WF 69 (Wells Fargo Financial) ⇒ Home Depot, Inc. (HD) [ST]		07/1/2020	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Intuit Inc. (INTU) [ST]		09/8/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
WF 69 (Wells Fargo Financial) ⇒ JP Morgan Chase & Co. (JPM) [ST]		05/19/2020	P	\$1,001 - \$15,000	
WF 69 (Wells Fargo Financial) ⇒ JP Morgan Chase & Co. (JPM) [ST]		09/9/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Northrop Grumman Corporation (NOC) [ST]		03/18/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Otis Worldwide Corporation (OTIS) [ST]		05/19/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: OTIS spinoff from UTX 04/03/20 Spinoff and sale in 2020					
WF 69 (Wells Fargo Financial) ⇒ Pfizer, Inc. (PFE) [ST]		07/1/2020	P	\$15,001 - \$50,000	
WF 69 (Wells Fargo Financial) ⇒ PNC Financial Services Group, Inc. (PNC) [ST]		06/1/2020	P	\$15,001 - \$50,000	
WF 69 (Wells Fargo Financial) ⇒ PNC Financial Services Group, Inc. (PNC) [ST]		08/10/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Raytheon Technologies Corporation (RTX) [ST]		07/1/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ SPDR S&P Dividend ETF (SDY) [ST]		03/11/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ SPDR S&P Dividend ETF (SDY) [ST]		08/10/2020	P	\$15,001 - \$50,000	
WF 69 (Wells Fargo Financial) ⇒ The Travelers Companies, Inc. (TRV) [ST]		03/11/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ TJX Companies, Inc. (TJX) [ST]		06/1/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Vanguard Consumer Staples ETF (VDC) [ST]		03/11/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Vanguard Div Appreciation ETF (VIG) [ST]		04/15/2020	P	\$50,001 - \$100,000	
WF 69 (Wells Fargo Financial) ⇒		05/19/2020	P	\$15,001 - \$50,000	

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Verizon Communications Inc. (VZ) [ST]				
WF 69 (Wells Fargo Financial) ⇒ Visa Inc. (V) [ST]	08/10/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
WF 69 (Wells Fargo Financial) ⇒ Walt Disney Company (DIS) [ST]	03/18/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
WF 77 (Wells Fargo Financial) ⇒ BANK NOVA SCOTIA 0% 1Y: B C LKD S&P 500 MTY 01/31/2020 CPN 0.000% DUE 01/31/20 [OT]	01/31/2020	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: BANK NOVA SCOTIA 0% 1Y: B C LKD S&P 500 MTY 01/31/2020 CPN 0.000% DUE 01/31/20 Redemption				
WF 95 (Wells Fargo Financial) ⇒ "CITIGROUP INC PERPETUAL FIX/FLT BONDS CALLABLE CPN 6.125% DUE 12/29/49 DTD 11/13/15 FC 05/15/16 CALL1 11/15/20 @ 100.000, CUSIP-172967KD2" [OT]	07/1/2020	P	\$100,001 - \$250,000	
LOCATION: US DESCRIPTION: "CITIGROUP INC PERPETUAL FIX/FLT BONDS CALLABLE CPN 6.125% DUE 12/29/49 DTD 11/13/15 FC 05/15/16 CALL1 11/15/20 @ 100.000, CUSIP-172967KD2"				
WF 95 (Wells Fargo Financial) ⇒ BANK NOVA SCOTIA 0% BLS BC CAPPED LEVERAGED INDX RETURN MTY 03/27/20 CPN 0.000% DUE 03/27/20 [OT]	02/24/2020	E	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: BANK NOVA SCOTIA 0% BLS BC CAPPED LEVERAGED INDX RETURN MTY 03/27/20 CPN 0.000% DUE 03/27/20 Transfer to WF 10				
WF 95 (Wells Fargo Financial) ⇒ BARCLAYS BK PLC 0% BLS CAPPED LEVERAGE INDEX RETURN NT MTY 02/28/2020 [OT]	02/24/2020	E	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: BARCLAYS BK PLC 0% BLS CAPPED LEVERAGE INDEX RETURN NT MTY 02/28/2020 Transfer to WF 10				
WF 95 (Wells Fargo Financial) ⇒ BOFA FIN LLC 0% 1Y: ACCELERATED RETURN NTS LKD TO S&P MTY 01/31/20 CPN 0.000% DUE 01/31/20 [OT]	01/31/2020	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: BOFA FIN LLC 0% 1Y: ACCELERATED RETURN NTS LKD TO S&P MTY 01/31/20 CPN 0.000% DUE 01/31/20 Redemption				
WF 95 (Wells Fargo Financial) ⇒ BOFA FIN LLC 0% BLS LKD TO S&P 500 INDEX DUE 04/24/2020 CPN 0.000% DUE 04/24/20 [OT]	02/24/2020	E	\$50,001 - \$100,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LOCATION: US					
DESCRIPTION: BOFA FIN LLC 0% BLS LKD TO S&P 500 INDEX DUE 04/24/2020 CPN 0.000% DUE 04/24/20 Transfer to WF 10					
WF 95 (Wells Fargo Financial) ⇒ First Trust DJ Internet Index Fund (FDN) [ST]		02/24/2020	E	\$50,001 - \$100,000	
DESCRIPTION: Transfer to WF 10					
WF 95 (Wells Fargo Financial) ⇒ First Trust Morningstar ETF (FDL) [ST]		02/24/2020	E	\$50,001 - \$100,000	
DESCRIPTION: BOFA FIN LLC 0% BLS LKD TO S&P 500 INDEX DUE 04/24/2020 CPN 0.000% DUE 04/24/20 Transfer to WF 10					
WF 95 (Wells Fargo Financial) ⇒ Goldman Sachs ActiveBeta U.S. Large Cap Equity ETF (GSLC) [ST]		02/10/2020	P	\$15,001 - \$50,000	
WF 95 (Wells Fargo Financial) ⇒ Goldman Sachs ActiveBeta U.S. Large Cap Equity ETF (GSLC) [ST]		02/24/2020	E	\$100,001 - \$250,000	
DESCRIPTION: Transfer to WF 10					
WF 95 (Wells Fargo Financial) ⇒ HSBC USA INC 0% BLS AUTOCALL LKD EURO STOXX 50 IDX DUE 08/25/2022 CPN 0.000% DUE 08/25/22 DTD 08/31/17 [OT]		02/24/2020	E	\$50,001 - \$100,000	
LOCATION: US					
DESCRIPTION: HSBC USA INC 0% BLS AUTOCALL LKD EURO STOXX 50 IDX DUE 08/25/2022 CPN 0.000% DUE 08/25/22 DTD 08/31/17 Transfer to WF 10					
WF 95 (Wells Fargo Financial) ⇒ Principal U.S. Mega-Cap Multi-Factor Index ETF (USMC) [ST]		02/10/2020	P	\$15,001 - \$50,000	
WF 95 (Wells Fargo Financial) ⇒ Principal U.S. Mega-Cap Multi-Factor Index ETF (USMC) [ST]		02/24/2020	E	\$100,001 - \$250,000	
DESCRIPTION: Transfer to WF 10					
WF 95 (Wells Fargo Financial) ⇒ SPDR S&P 500 (SPY) [ST]		02/10/2020	P	\$15,001 - \$50,000	
WF 95 (Wells Fargo Financial) ⇒ SPDR S&P 500 (SPY) [ST]		02/24/2020	E	\$100,001 - \$250,000	
DESCRIPTION: Transfer to WF 10					
WF 95 (Wells Fargo Financial) ⇒ SPDR S&P Dividend ETF (SDY) [ST]		02/10/2020	P	\$15,001 - \$50,000	

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
WF 95 (Wells Fargo Financial) ⇒ SPDR S&P Dividend ETF (SDY) [ST] DESCRIPTION: Transfer to WF 10	02/24/2020	E	\$50,001 - \$100,000	
WF 95 (Wells Fargo Financial) ⇒ Vanguard Consumer Staples ETF (VDC) [ST]	02/10/2020	P	\$15,001 - \$50,000	
WF 95 (Wells Fargo Financial) ⇒ Vanguard Consumer Staples ETF (VDC) [ST] DESCRIPTION: Transfer to WF 10	02/24/2020	E	\$100,001 - \$250,000	
WF 95 (Wells Fargo Financial) ⇒ Vanguard Div Appreciation ETF (VIG) [ST]	02/10/2020	P	\$15,001 - \$50,000	
WF 95 (Wells Fargo Financial) ⇒ Vanguard Div Appreciation ETF (VIG) [ST] DESCRIPTION: Transfer to WF 10	02/24/2020	E	\$50,001 - \$100,000	
WF 95 (Wells Fargo Financial) ⇒ Vanguard Utilities ETF (VPU) [ST]	02/10/2020	P	\$15,001 - \$50,000	
WF 95 (Wells Fargo Financial) ⇒ Vanguard Utilities ETF (VPU) [ST] DESCRIPTION: Transfer to WF 10	02/24/2020	E	\$15,001 - \$50,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member - Lifetime	United Way of Midland, Texas
Board of Directors and Rodeo Committee	Sandhills Hereford & Quarterhorse Show, Inc.
Sole Proprietor	K. Michael Conaway, CPA

Position	Name of Organization
Board of Directors - President	Conawell Corporation
Board of Directors - Vice President	Kidwell Exploration
President	Conabain Corporation

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Insurance Policies
LOCATION: US
- JT E57 (Edward Jones)
LOCATION: US
- Royalties (Owner: JT)
LOCATION: US
- SP E48 (Edward Jones) (Owner: SP)
LOCATION: US
DESCRIPTION: Managed Account – Not self-directed
- SP E62 (Edward Jones) (Owner: SP)
LOCATION: US
- SP E76 (Edward Jones) (Owner: SP)
- Trust S (Owner: SP)
- Wells Fargo
LOCATION: US
- Wells Fargo ⇒ WF18
- Wells Fargo ⇒ WF48
LOCATION: US
- WF 10 (Wells Fargo Financial)
LOCATION: US
- WF 25 (Wells Fargo Financial)
LOCATION: US

- WF 31 (Wells Fargo Financial) (Owner: SP)
LOCATION: US
- WF 53 (Wells Fargo Financial)
LOCATION: US
- WF 69 (Wells Fargo Financial)
LOCATION: US
- WF 77 (Wells Fargo Financial)
LOCATION: US
- WF 95 (Wells Fargo Financial)
LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. K. Michael Conaway , 04/30/2021