



Filing ID #10037200

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Scott H. Peters
Status: Member
State/District: CA52

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2019
Filing Date: 08/10/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Alameda CA Trans Excise [GS]	SP	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
American Funds 2025 Trgt Date Retire [MF]		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
Anaheim CA Elec Util [GS]		\$100,001 - \$250,000	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Baillie Gifford Intl Eqty I [MF]	SP	None	Capital Gains	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
Bank of America Cash Account [BA]		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
Bay Area Toll Rv Toll Tran [GS]		\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Bay Area Toll RV Toll Tran [GS]	SP	Spouse/DC Over \$1,000,000	Interest	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Blue Water Pandero Investors LLC [OL]	SP	None	Partnership Income	\$5,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LOCATION: Bowling Green, KY, US DESCRIPTION: Quarry Mining & Manufacturing					
Butte-Glenn Muni Bonds [GS]		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
CA EDUC FAC AUTH REV [GS]	SP	Spouse/DC Over \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
CA Infra & Dev Bk Comb Util [GS]	SP	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
CA State Dept. of Water Bonds [GS]	SP	Spouse/DC Over \$1,000,000	Interest	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
CA State Dept. Water Resources [GS]		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
CA State School Building [GS]	SP	None	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
CA State Univ Pub Educ [GS]		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
CA UNIV REV [GS]	SP	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Calleguas ETC CA [GS]	SP	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Cambrian CA SD [GS]	SP	Spouse/DC Over \$1,000,000	Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Cameron Investment Corp [OT]	SP	Spouse/DC Over \$1,000,000	Capital Gains, Dividends, Interest, S. Corp Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
DESCRIPTION: Family investment office used to hold other assets, securities and income that are separately itemized on this report					
Charles Schwab Cash Account [BA]		\$250,001 - \$500,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Charles Schwab Cash Account [BA]	SP	Spouse/DC Over \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
CIBC Atlas Disciplined (AWEIX) [MF]	SP	Spouse/DC Over	Capital Gains,	Spouse/DC Over	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$1,000,000	Dividends, Distributions	\$1,000,000	
CIBC Atlas Disciplined (AWEIX) [MF]		\$1,000,001 - \$5,000,000	Capital Gains, Dividends, Distributions	\$15,001 - \$50,000	<input type="checkbox"/>
CIBC Atlas Mid Cap Eqty Fd Inst (AWMIX) [MF]		\$500,001 - \$1,000,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
CIBC Atlas Mid Cap Eqty Fd Inst (AWMIX) [MF]	SP	Spouse/DC Over \$1,000,000	None		<input checked="" type="checkbox"/>
Coast CCD CA Coll Educ [GS]		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DC UNIV EDUC [GS]		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Dublin San Ramon, CA Wtr Util [GS]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
East Bay Muni Util Dist, CA [GS]	SP	None	Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
East Bay Muni Util Dist, CA [GS]		\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Enterprise CA Elem Sch Dist Rfdg [GS]	SP	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Folsom Cordova SCH DIST CA IMPT SCH [GS]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Gainesville FL COMB UTIL [GS]	SP	Spouse/DC Over \$1,000,000	Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Gainesville FL COMB UTIL [GS]		\$250,001 - \$500,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
iShares Core S&P Small-Cap ETF (IJR) [EF]	SP	None	None		<input type="checkbox"/>
Ishares Russell 1000 ETF (IWB) [EF]	SP	Spouse/DC Over \$1,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
iShares Russell 1000 Value ETF (IWD) [EF]		\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
iShares Russell 1000 Value ETF (IWD) [EF]	SP	Spouse/DC Over \$1,000,000	Dividends	\$100,001 - \$1,000,000	<input type="checkbox"/>
Ishares Russell Growth (IWP) [MF]		\$500,001 - \$1,000,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Ishares Russell Growth (IWP) [MF]	SP	Spouse/DC Over \$1,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Ishares Russell Mid Cap (IWR) [MF]	SP	Spouse/DC Over \$1,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Ishares S&P 500 (IVV) [MF]		\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Ishares S&P 500 (IVV) [MF]	SP	Spouse/DC Over \$1,000,000	Dividends	\$50,001 - \$100,000	<input type="checkbox"/>
Ishares TR Russell 1000 (IWF) [MF]	SP	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Los Angeles CA CCD COLL EDUC [GS]	SP	Spouse/DC Over \$1,000,000	Interest	\$50,001 - \$100,000	<input type="checkbox"/>
Los Angeles CA GO UTX [GS]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Los Angeles Cnty, CA MT Excise Tax [GS]		\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Los Angeles, CA, W Util Pwr [GS]	SP	None	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Lynett Capital Partners II LP [OT]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Pooled Investment Fund/Venture Capital					
MA BAY TRAN AUTH EXCISE TAX [GS]	SP	Spouse/DC Over \$1,000,000	Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
MA BAY TRAN AUTH MASS TRAN [GS]		\$250,001 - \$500,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Madison Adams Investments, LLC [OT]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Investments (Cash Only)					
Managed Income Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Manufacturers Drive LLC [OL]	SP	\$250,001 - \$500,000	Rent	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: St. Louis, MO, US DESCRIPTION: Owns commercial real estate for rent					
Marin CA MIN WTR UTIL [GS]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Matthews Pacific Tiger FD Instl (MIPTX) [MF]	SP	Spouse/DC Over \$1,000,000	Capital Gains, Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
Metropolitan WTR Dist S C WTR Util [GS]	SP	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Monterey Peninsula WTR COPS [GS]	SP	None	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Mount San Jacinto Coll Educ [GS]		\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Multi-Pack Solutions LLC [OL]	SP	Spouse/DC Over \$1,000,000	Capital Gains, Interest, Partnership Income	Spouse/DC Over \$1,000,000	<input checked="" type="checkbox"/>
LOCATION: Mt. Prospect, IL, US DESCRIPTION: Packaging Company					
Nevada Irrigation District Bonds [GS]	SP	Spouse/DC Over \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
New York NY GO UTX [GS]		None	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
New York NY GO UTX [GS]	SP	Spouse/DC Over \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
NYC NY MUN FA WTR UTIL [GS]		None	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
Ohio Water Development Authority [GS]	SP	\$500,001 -	Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$1,000,000			
Ohlone Community College District [GS]	SP	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Orange CA WTR CO WTR COPS [GS]	SP	None	Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Orlando FL UTILS PWR UTIL [GS]	SP	Spouse/DC Over \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Pasadena CA Area CC Educ [GS]	SP	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
PGIM High Yield Fund [MF]	SP	Spouse/DC Over \$1,000,000	Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Pittsburg CA Wtr Util [GS]	SP	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
Rancho CA WTR FA DIST COMB UTIL [GS]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Rancho Corte Madera, Inc. [OL]		\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>
LOCATION: Pine Valley, CA, US DESCRIPTION: Working Cattle Ranch					
Rand Capital Corporation - Common Stock (RAND) [ST]	SP	\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
Riverside CA Elec Util [GS]	SP	Spouse/DC Over \$1,000,000	Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Roseville CA Finance Auth [GS]	SP	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Rowland CA USD [GS]	SP	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Sacramento Muni Util Dist [GS]	SP	\$250,001 - \$500,000	None		<input checked="" type="checkbox"/>
Sacramento, CA [GS]	SP	None	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
San Bruno, CA Swr Util [GS]		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
San Diego CA Trans Rev LTX [GS]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
San Diego, CA, TRA [GS]	SP	None	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
San Francisco CA City/Cnty VP [GS]		\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
San Francisco CA Rev. Bonds [GS]	SP	Spouse/DC Over \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
San Francisco County CA EXCISE TAX [GS]	SP	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
San Francisco, CA City/Cty Impt [GS]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
San Francisco, CA Cty & City Swr Util [GS]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
San Jose, CA Bonds [GS]		None	Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
San Juan, CA USD [GS]	SP	\$500,001 - \$1,000,000	None		<input checked="" type="checkbox"/>
Santa Clara CA USD Impt Sch [GS]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Santa Clara Valley CA [GS]	SP	None	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Schwab CA Muni Money Fund [OT]	SP	\$100,001 - \$250,000	Dividends, Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: EIF					
Silicon Vy Cln Wtr Util [GS]		\$250,001 - \$500,000	Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Sinclair & Rush [OL]	SP	\$250,001 - \$500,000	None		<input type="checkbox"/>
LOCATION: Arnold, MO, US					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Manufacturing Company					
Tortoise Energy Infrastructure Corporation (TYG) [ST]	SP	None	Capital Gains	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
U.S. Treasury Bills [GS]	SP	Spouse/DC Over \$1,000,000	None		<input checked="" type="checkbox"/>
Univ CA [GS]	SP	Spouse/DC Over \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Univ CA Pub Ed [GS]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
UNIV TX PERM UNIV PUB EDUC [GS]		None	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
University of CA Rev. Bonds [GS]	SP	None	Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Vanguard LifeStrategy Moderate [MF]	SP	\$500,001 - \$1,000,000	Tax-Deferred		<input type="checkbox"/>
Vanguard Mid Cap ETF (VO) [EF]		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Vanguard Mid Cap ETF (VO) [EF]	SP	Spouse/DC Over \$1,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard S&P 500 ETF (VOO) [EF]	SP	Spouse/DC Over \$1,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard Total Stock Market (VTI) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Vanguard Total Stock Market (VTI) [MF]	SP	Spouse/DC Over \$1,000,000	Dividends	\$50,001 - \$100,000	<input type="checkbox"/>
Vulcan Value Partners Small Cap Fd [MF]	SP	None	None		<input type="checkbox"/>
W Palm Beach FL COMB UTIL [GS]		\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Washington State Bonds [GS]		None	Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Winward Academy, LLC [MF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
WLR Recovery IV Investors LLC [HE]	SP	\$15,001 - \$50,000	Dividends, Interest, Partnership Income, Rent	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: EIF					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Alameda CA Trans Excise [GS]	SP	02/21/2019	P	\$500,001 - \$1,000,000	
Anaheim CA Elec Util [GS]		02/14/2019	P	\$100,001 - \$250,000	
Baillie Gifford Intl Eqty I [MF]	SP	01/2/2019	P	Spouse/DC Over \$1,000,000	
Baillie Gifford Intl Eqty I [MF]	SP	03/4/2019	S	Spouse/DC Over \$1,000,000	<input checked="" type="checkbox"/>
Baillie Gifford Intl Eqty I [MF]	SP	01/2/2019	P	Spouse/DC Over \$1,000,000	
Baillie Gifford Intl Eqty I [MF]	SP	03/4/2019	S	Spouse/DC Over \$1,000,000	<input checked="" type="checkbox"/>
Bay Area Toll RV Toll Tran [GS]	SP	02/14/2019	P	Spouse/DC Over \$1,000,000	
Bay Area Toll RV Toll Tran [GS]	SP	02/14/2019	S	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
Bay Area Toll RV Toll Tran [GS]		02/14/2019	P	\$100,001 - \$250,000	
CA Infra & E Dev Pool Auth [GS]	SP	02/27/2019	P	\$500,001 - \$1,000,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
CA St Dept Wtr Res Power Ser O [GS]	SP	05/23/2019	P	\$250,001 - \$500,000	
CA State Dept. Water Resources [GS]		02/14/2019	P	\$50,001 - \$100,000	
CA State School Building [GS]	SP	02/14/2019	S	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
CA State Univ Pub Educ [GS]		02/26/2019	P	\$50,001 - \$100,000	
Cambrian CA SD [GS]	SP	02/12/2019	P	Spouse/DC Over \$1,000,000	
CIBC Atlas Disciplined (AWEIX) [MF]	SP	11/15/2019	P	\$500,001 - \$1,000,000	
CIBC Atlas Disciplined (AWEIX) [MF]	SP	12/26/2019	P	Spouse/DC Over \$1,000,000	
CIBC Atlas Disciplined (AWEIX) [MF]	SP	02/15/2019	P	Spouse/DC Over \$1,000,000	
CIBC Atlas Disciplined (AWEIX) [MF]	SP	11/15/2019	P	\$1,000,001 - \$5,000,000	
CIBC Atlas Disciplined (AWEIX) [MF]	SP	12/26/2019	P	Spouse/DC Over \$1,000,000	
CIBC Atlas Mid Cap Eqty Fd Inst (AWMIX) [MF]	SP	12/26/2019	P	\$250,001 - \$500,000	
CIBC Atlas Mid Cap Eqty Fd Inst (AWMIX) [MF]		02/12/2019	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
Coast CCD CA Coll Educ [GS]		02/11/2019	P	\$50,001 - \$100,000	
East Bay Muni Util Dist, CA [GS]		02/8/2019	P	\$100,001 - \$250,000	
East Bay Muni Util Dist, CA [GS]	SP	07/12/2019	S	\$250,001 - \$500,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Enterprise CA Elem Sch Dist Rfdg - 2029 [GS]	SP	05/20/2019	P	\$500,001 - \$1,000,000	
Enterprise CA Elem Sch Dist Rfdg - 2030 [GS]	SP	05/20/2019	P	\$250,001 - \$500,000	
Gainesville FL COMB UTIL [GS]	SP	04/26/2019	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
Los Angeles CA, W Util Pwr [GS]	SP	07/1/2019	S	\$250,001 - \$500,000	<input type="checkbox"/>
Los Angeles Cnty, CA MT Excise Tax [GS]		02/20/2019	P	\$100,001 - \$250,000	
Lynett Capital Partners II LP [OI]	SP	02/25/2019	P	\$50,001 - \$100,000	
DESCRIPTION: Pooled Investment Fund/Venture Capital					
Lynett Capital Partners II LP [OI]	SP	03/18/2019	P	\$15,001 - \$50,000	
DESCRIPTION: Pooled Investment Fund/Venture Capital					
Lynett Capital Partners II LP [OI]	SP	08/27/2019	P	\$15,001 - \$50,000	
DESCRIPTION: Pooled Investment Fund/Venture Capital					
MA BAY TRAN AUTH EXCISE TAX [GS]	SP	07/19/2019	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
Matthews Pacific Tiger [MF]	SP	03/4/2019	P	Spouse/DC Over \$1,000,000	
Matthews Pacific Tiger [MF]	SP	12/26/2019	P	\$500,001 - \$1,000,000	
Matthews Pacific Tiger [MF]	SP	01/2/2019	P	\$100,001 - \$250,000	
Matthews Pacific Tiger [MF]	SP	03/4/2019	P	Spouse/DC Over \$1,000,000	
Matthews Pacific Tiger [MF]	SP	12/17/2019	P	\$100,001 - \$250,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Matthews Pacific Tiger [MF]	SP	01/2/2019	P	\$500,001 - \$1,000,000	
Matthews Pacific Tiger [MF]	SP	02/8/2019	P	Spouse/DC Over \$1,000,000	
Matthews Pacific Tiger [MF]	SP	12/26/2019	P	Spouse/DC Over \$1,000,000	
Monterey Peninsula WTR COPS [GS]	SP	02/14/2019	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
Mount San Jacinto Coll Educ [GS]		02/11/2019	P	\$100,001 - \$250,000	
Multi-Pack Solutions LLC [OL] LOCATION: Mt. Prospect, IL, US DESCRIPTION: Packaging Company	SP	10/31/2019	S (partial)	Spouse/DC Over \$1,000,000	<input checked="" type="checkbox"/>
NEW YORK NY GO UTX [GS]		02/14/2019	S	\$250,001 - \$500,000	<input type="checkbox"/>
NYC NY MUN FA WTR UTIL [GS]		02/14/2019	S	\$250,001 - \$500,000	<input type="checkbox"/>
Ohio Water Development Authority [GS]	SP	07/16/2019	P	\$500,001 - \$1,000,000	
Ohlone Community College District [GS]	SP	07/24/2019	P	\$250,001 - \$500,000	
Orange CA WTR CO WTR COPS [GS]	SP	02/14/2019	S	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
PGIM High Yield Fund [MF]	SP	09/13/2019	P	Spouse/DC Over \$1,000,000	
PGIM High Yield Fund [MF]	SP	11/12/2019	P	\$500,001 - \$1,000,000	
Rand Capital Corporation - Common Stock (RAND) [ST]	SP	02/28/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Rand Capital Corporation - Common Stock (RAND) [ST]	SP	03/1/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Rand Capital Corporation - Common Stock (RAND) [ST]	SP	03/4/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Rand Capital Corporation - Common Stock (RAND) [ST]	SP	03/5/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Rand Capital Corporation - Common Stock (RAND) [ST]	SP	03/6/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Rand Capital Corporation - Common Stock (RAND) [ST]	SP	03/7/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Rand Capital Corporation - Common Stock (RAND) [ST]	SP	03/8/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Rand Capital Corporation - Common Stock (RAND) [ST]	SP	05/17/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rand Capital Corporation - Common Stock (RAND) [ST]	SP	05/20/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rand Capital Corporation - Common Stock (RAND) [ST]	SP	05/21/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Rand Capital Corporation - Common Stock (RAND) [ST]	SP	05/22/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Rand Capital Corporation - Common Stock (RAND) [ST]	SP	05/23/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rand Capital Corporation - Common Stock (RAND) [ST]	SP	05/24/2019	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rand Capital Corporation - Common Stock (RAND) [ST]	SP	05/28/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Rand Capital Corporation - Common Stock (RAND) [ST]	SP	06/3/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Rand Capital Corporation - Common Stock (RAND) [ST]	SP	06/4/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
			(partial)		
Rand Capital Corporation - Common Stock (RAND) [ST]	SP	06/7/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Riverside CA Elec Util [GS]	SP	02/26/2019	P	Spouse/DC Over \$1,000,000	
Roseville, CA Finance Auth [GS]	SP	07/16/2019	P	\$100,001 - \$250,000	
Rowland CA USD [GS]	SP	01/9/2019	P	\$250,001 - \$500,000	
Sacramento Muni Util Dist [GS]	SP	07/25/2019	P	\$250,001 - \$500,000	
Sacramento, CA [GS]	SP	07/12/2019	S	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
San Bruno CA Swr Util [GS]		02/11/2019	P	\$50,001 - \$100,000	
San Diego CA Trans Rev LTX [GS]	SP	02/14/2019	S	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
San Francisco, CA City/Cnty VP [GS]		02/19/2019	P	\$100,001 - \$250,000	
San Jose, CA [GS]		07/31/2019	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
San Juan, CA USD [GS]	SP	08/9/2019	P	\$500,001 - \$1,000,000	
Santa Clara Valley CA [GS]	SP	02/14/2019	S	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
Silicon Valley Cln Wtr [GS]	SP	01/9/2019	P	\$250,001 - \$500,000	
Tortoise Energy Infrastructure Corporation (TYG) [ST]	SP	02/8/2019	S (partial)	\$500,001 - \$1,000,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Tortoise Energy Infrastructure Corporation (TYG) [ST]	SP	02/11/2019	S (partial)	\$500,001 - \$1,000,000	<input checked="" type="checkbox"/>
Tortoise Energy Infrastructure Corporation (TYG) [ST]	SP	02/12/2019	S (partial)	\$500,001 - \$1,000,000	<input checked="" type="checkbox"/>
Tortoise Energy Infrastructure Corporation (TYG) [ST]	SP	02/13/2019	S (partial)	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
Tortoise Energy Infrastructure Corporation (TYG) [ST]	SP	02/14/2019	S (partial)	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
Tortoise Energy Infrastructure Corporation (TYG) [ST]	SP	02/15/2019	S	\$500,001 - \$1,000,000	<input checked="" type="checkbox"/>
Tortoise Energy Infrastructure Corporation (TYG) [ST]	SP	02/7/2019	S (partial)	\$500,001 - \$1,000,000	<input checked="" type="checkbox"/>
U.S. Treasury Bills [GS]	SP	03/28/2019	S (partial)	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
U.S. Treasury Bills [GS]	SP	03/14/2019	S (partial)	\$500,001 - \$1,000,000	<input type="checkbox"/>
U.S. Treasury Bills [GS]	SP	03/28/2019	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
U.S. Treasury Bills [GS]	SP	03/18/2019	P	\$500,001 - \$1,000,000	
U.S. Treasury Bills [GS]	SP	04/4/2019	P	Spouse/DC Over \$1,000,000	
U.S. Treasury Bills [GS]	SP	04/3/2019	P	\$100,001 - \$250,000	
U.S. Treasury Bills [GS]	SP	09/12/2019	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
U.S. Treasury Bills [GS]	SP	09/16/2019	P	\$500,001 - \$1,000,000	
U.S. Treasury Bills [GS]	SP	09/26/2019	S	Spouse/DC Over \$1,000,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
U.S. Treasury Bills [GS]	SP	09/30/2019	P	Spouse/DC Over \$1,000,000	
U.S. Treasury Bills [GS]	SP	11/14/2019	P	Spouse/DC Over \$1,000,000	
UNIV TX PERM UNIV PUB EDUC [GS]		02/14/2019	S	\$250,001 - \$500,000	<input type="checkbox"/>
University of CA Rev. Bonds [GS]	SP	05/15/2019	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
W Palm Beach FL COMB UTIL [GS]		02/14/2019	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
Washington State Bonds [GS]		08/1/2019	S	\$500,001 - \$1,000,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Cameron Holdings Corporation	Spouse Salary	N/A
Sinclair & Rush, Inc.	Spouse Salary	N/A
San Diego City Employees Retirement System	Retirement Payments	\$22,838.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Citibank	Dec. 2019	Revolving Credit Debt	\$50,001 - \$100,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 2008	Myself and San Diego City Employees Retirement System	Continued participation in City pension plan

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Scott H. Peters , 08/10/2020