



Filing ID #10046614

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Raúl M. Grijalva  
**Status:** Member  
**State/District:** AZ03

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2021  
**Filing Date:** 08/9/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
3426 9TH AVE [RP]		\$50,001 - \$100,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: TUCSON, AZ, US					
AMERICAN CAP WRLD GR & INC F2 (WGIFX) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
ARIZONA STATE RETIREMENT SYSTEM [PE]					
BLACKROCK EN GLBL DIV TR [EF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
CALAMOS GLBL TOTAL RETURN (CGO) [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
CALAMOS STRG TTL RETURN FD (CSQ) [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
CITY OF TUCSON SUPPLEMENTAL RETIREMENT SYSTEM [PE]	SP	Undetermined	Tax-Deferred		<input type="checkbox"/>
FT NASDAQ CYBERSECUR ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
GABELLI UTILITY TR (GUT) [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
INVESCO GLOBAL Y (OGLYX) [MF]	SP	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
ISHARES TIPS BOND ETF (TIP) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
ISHARES US TECHNOLOGY ETF (IYW) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
MORGAN STANLEY BANK NA [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
PGIM JENN GLBL OPPORTUNITIES Z (PRJZX) [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
PUBLIC SAFETY PERSONNEL RETIREMENT SYSTEM [PE]		Undetermined	Tax-Deferred		<input type="checkbox"/>
TEKLA HEALTHCARE OPP FUND (THQ) [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
TRANSAMERICA SHT TERM BOND I (TSTIX) [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
BLACKROCK EN GLBL DIV TR [EF]	SP	07/15/2021	P	\$1,001 - \$15,000	
BLACKROCK INCOME TRUST INC (BKT) [EF]	SP	03/4/2021	P	\$1,001 - \$15,000	
BLACKROCK INCOME TRUST INC (BKT) [EF]	SP	11/24/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: The partial sale resulted in the asset's value dropping below the reporting thresholds for Schedule A.					
Cboe Global Markets, Inc. (CBOE) [ST]	SP	11/24/2021	P	\$1,001 - \$15,000	
Cboe Global Markets, Inc. (CBOE) [ST]	SP	12/17/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
FT NASDAQ CYBERSECUR ETF [EF]	SP	11/24/2021	P	\$1,001 - \$15,000	
GABELLI UTILITY TR (GUT) [EF]	SP	07/21/2021	P	\$1,001 - \$15,000	
INvesco Global Y (OGLYX) [MF]	SP	07/15/2021	P	\$1,001 - \$15,000	
INvesco Global Y (OGLYX) [MF]	SP	09/8/2021	P	\$1,001 - \$15,000	
INvesco Van Kampen Bond Fund (VBF) [EF]	SP	11/24/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
ISHARES BIOTECHNOLOGY ETF [EF]	SP	11/24/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: This asset was purchased in 2021, but the transactions fell below the reporting threshold.					
ISHARES TIPS BOND ETF (TIP) [EF]	SP	07/6/2021	P	\$1,001 - \$15,000	
ISHARES TIPS BOND ETF (TIP) [EF]	SP	08/25/2021	P	\$1,001 - \$15,000	
ISHARES TIPS BOND ETF (TIP) [EF]	SP	08/31/2021	P	\$1,001 - \$15,000	
ISHARES TIPS BOND ETF (TIP) [EF]	SP	12/17/2021	P	\$1,001 - \$15,000	
ISHARES US TECHNOLOGY ETF (IYW) [EF]	SP	11/24/2021	P	\$1,001 - \$15,000	
LORD ABBETT ULTRA SHORT BD F (LUBFX) [MF]	SP	07/6/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LORD ABBETT ULTRA SHORT BD F (LUBFX) [MF]	SP	08/25/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LORD ABBETT ULTRA SHORT BD F (LUBFX) [MF]	SP	08/31/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
NATIXIS LOOMIS SAY INV GR BD Y (LSIIX) [MF]	SP	09/8/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
PGIM JENN GLBL OPPORTUNITIES Z (PRJZX) [MF]	SP	08/25/2021	P	\$1,001 - \$15,000	
TRANSAMERICA SHT TERM BOND I (TSTIX) [MF]	SP	11/24/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Voya Infrstrcture Industrls and Mtrls Fd [EF]	SP	07/6/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Voya Infrstrcture Industrls and Mtrls Fd [EF]	SP	07/15/2021	P	\$1,001 - \$15,000	
Voya Infrstrcture Industrls and Mtrls Fd [EF]	SP	12/23/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
CITY OF TUCSON SUPPLEMENTAL RETIREMENT SYSTEM	SPOUSE PENSION	N/A

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	WELLS FARGO BANK	2008	MORTGAGE ON 220 13TH ST SE #4, WASHINGTON DC 20003	\$250,001 - \$500,000

## SCHEDULE E: POSITIONS

Position	Name of Organization
ADVISORY COUNCIL MEMBER (UNCOMPENSATED)	CONGRESSIONAL HISPANIC CAUCUS INSTITUTE
BOARD MEMBER (UNCOMPENSATED)	PROGRESSIVE CAUCUS CENTER

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
December 1999	RAUL GRIJALVA, ARIZONA STATE RETIREMENT SYSTEM	RETIREMENT PLAN (NOT SELF DIRECTED)
February 2002	RAUL GRIJALVA, PUBLIC SAFETY PERSONNEL RETIREMENT SYSTEM	ELECTED OFFICIALS RETIREMENT ACCOUNT (NOT SELF DIRECTED)

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## **SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Raúl M. Grijalva , 08/9/2022