



Filing ID #10036243

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Adrian Smith  
**Status:** Member  
**State/District:** NE03

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2019  
**Filing Date:** 08/13/2020

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Bank of America Checking Account [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Miller Lake Corporation Location: Laramie, WY [PS]		\$50,001 - \$100,000	None		<input type="checkbox"/>
DESCRIPTION: Private stock (1/8 ownership interest: corporation composed of commonly owned land - Laramie, WY)					
My Other Garage (storage business) [OL]		\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Gering/Scotts Bluff, NE, US					
DESCRIPTION: Owner of storage units. Income in the form of rent.					
Nebraska Teacher Retirement [PE]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
NEST 529- Income Fund of America CL A [5P]	DC	\$1,001 - \$15,000	Mutual Fund/Investment	\$201 - \$1,000	<input type="checkbox"/>
LOCATION: NE					
DESCRIPTION: Ezekiel's college savings					
Platte Valley Bank Savings Account [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Prin LifeTime Hybrid 2040 CiT [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
DESCRIPTION: spouse 401k plan (CarterBaldwin)					
Real Estate Rental [RP]		\$50,001 - \$100,000	Rent	None	<input type="checkbox"/>
LOCATION: Gering, NE, US					
State Farm Roth IRA - State Farm Mutual Funds Bond Fund [IH]		\$1,001 - \$15,000	None		<input type="checkbox"/>
State Farm Roth IRA - State Farm Mutual Funds International Index [IH]		\$1,001 - \$15,000	None		<input type="checkbox"/>
State Farm Roth IRA - State Farm Mutual Funds Large Cap Index [IH]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
State Farm Roth IRA - State Farm Mutual Funds Small Cap Index [IH]		\$1,001 - \$15,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
State Farm TSA - Bloomington IL [FN]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
State Farm Universal Life Insurance [WU]		\$1,001 - \$15,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
TIAA-CREF: The Aspen Institute Deferred Annuity Plan [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Defined by the IRS as 403 B retirement contracts; this is one account/asset					
Western Heritage Credit Union (Savings and Checking) [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Western States Bank(Gering, NE) (Checking) [BA]		\$50,001 - \$100,000	Interest	None	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Real Estate Rental [RP]		10/7/2019	P	\$50,001 - \$100,000	
LOCATION: Gering, NE, US					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
CarterBaldwin	spouse salary	N/A

## SCHEDULE D: LIABILITIES

Owner Creditor	Date Incurred	Type	Amount of Liability
Wells Fargo	October 2018	mortgage	\$250,001 - \$500,000
Western States Bank	October 2019	real estate rental in Gering	\$15,001 - \$50,000

## SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	Leadership Institute's Congressional Advisory Board
Board Member	ThankUSA's Congressional Advisory Board

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

#### **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Adrian Smith , 08/13/2020