



Filing ID #10037806

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Jackie Speier
Status: Member
State/District: CA14

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2019
Filing Date: 08/6/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|----------------------|----------------|-----------------|--------------------------|
| AllianzGI [MF] | | \$1 - \$1,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Amazon.com, Inc. (AMZN) [ST] | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| American Funds Euro Pacific GR R5 [MF] | | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| American Funds Investment Co. [MF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Ameriprise International Fund [MF] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| AngloGold [MF] | | \$1 - \$1,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Apple Inc. (AAPL) [ST] | | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Ares Capital Closed End Mutual Fund [MF] | | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Bank of America Corporation (BAC) [ST] | | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-------------------------|----------------|--------------------|--------------------------|
| Barrick Gold Corporation Common Stock (ABX) [ST] | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Black Label [OT] | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| DESCRIPTION: Motion picture option | | | | | |
| Carus Corp. Mutual Fund [MF] | | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Charles Schwab Money Market [MF] | | \$500,001 - \$1,000,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Charles Schwab Money Market Fund [MF] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Charles Schwab Mutual FUnd [MF] | | \$15,001 - \$50,000 | Dividends | \$2,501 - \$5,000 | <input type="checkbox"/> |
| City National Bank [BA] | | \$15,001 - \$50,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Danos Corp Mutual Fund [MF] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| DC property [RP] | | \$500,001 - \$1,000,000 | Rent | \$5,001 - \$15,000 | <input type="checkbox"/> |
| LOCATION: District of Columbia, DC, US | | | | | |
| Deutsche Bank Emerging Market Fund [MF] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Discovery Holdings Ltd Ordinary Shares [MF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Dodge & Cox International Fund [MF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Facebook, Inc. - Class A (FB) [ST] | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Federal Money Market Fund [MF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Gabelli Asset Fund [MF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Gabelli Small Capital Growth [MF] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---------------------------------------|-------|---------------------------|----------------|-------------------------|--------------------------|
| Intel Corporation (INTC) [ST] | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Invesco Equity Real Security [MF] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Liberty Media Interactive [MF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Microsoft Corporation (MSFT) [ST] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| NRS International Fund [MF] | | \$50,001 - \$100,000 | Dividends | \$5,001 - \$15,000 | <input type="checkbox"/> |
| NRS Large Cap Fund [MF] | | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| NRS Short-Term Investment Fund [MF] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Nuveen California Ins. Muni Fund [MF] | | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Philz Coffee Inc. [PS] | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| DESCRIPTION: Series B investment | | | | | |
| PIMCO Total Return Instl. [MF] | | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Red Wheel/Weiser/Conari - Book [OT] | | Undetermined | Dividends | \$15,001 - \$50,000 | <input type="checkbox"/> |
| DESCRIPTION: Book contract | | | | | |
| Rental Property [RP] | | \$500,001 - \$1,000,000 | Rent | \$15,001 - \$50,000 | <input type="checkbox"/> |
| LOCATION: Burlingame , CA, US | | | | | |
| Rental Property #1 [RP] | | \$1,000,001 - \$5,000,000 | Rent | \$100,001 - \$1,000,000 | <input type="checkbox"/> |
| LOCATION: Sacramento , CA, US | | | | | |
| Rental Property #2 [RP] | | \$500,001 - \$1,000,000 | None | | <input type="checkbox"/> |
| LOCATION: Sacramento , CA, US | | | | | |
| Rental Property #3 [RP] | | \$500,001 - \$1,000,000 | Rent | \$15,001 - \$50,000 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-------------------------|----------------|---------------------|--------------------------|
| LOCATION: Sacramento , CA, US | | | | | |
| Rental Property #4 [RP] | | \$500,001 - \$1,000,000 | Rent | \$5,001 - \$15,000 | <input type="checkbox"/> |
| LOCATION: San Francisco, CA, US | | | | | |
| SCHIP Schwab US Tips ETF [EF] | | \$500,001 - \$1,000,000 | None | | <input type="checkbox"/> |
| Schwab Muni Bond Fund [MF] | | \$100,001 - \$250,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Schwab Total Stock Market Index [MF] | | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Schwab US Small Cap ETF [EF] | | \$50,001 - \$100,000 | None | | <input type="checkbox"/> |
| Sterling Trust Serpah Partners II Stock [PS] | | \$100,001 - \$250,000 | None | | <input type="checkbox"/> |
| DESCRIPTION: Stock | | | | | |
| U.S. Bank [BA] | | \$500,001 - \$1,000,000 | Interest | \$201 - \$1,000 | <input type="checkbox"/> |
| US Bank - Speier Family Partners [BA] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Value ADV Money INSG [MF] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Vanguard Explorer Admiral Fund [MF] | | \$100,001 - \$250,000 | Dividends | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Vanguard Explorer AMD [MF] | | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Vanguard Growth Equity Fund [MF] | | \$100,001 - \$250,000 | Dividends | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Vanguard International Equity Fund [MF] | | \$100,001 - \$250,000 | Dividends | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Vanguard International Value Fund [MF] | | \$100,001 - \$250,000 | Dividends | \$5,001 - \$15,000 | <input type="checkbox"/> |
| Vanguard Wellington ADM [MF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |

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|--|-------|-------------------------|----------------|---------------------|--------------------------|
| Verus Stock (private held) [PS] | | \$500,001 - \$1,000,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| DESCRIPTION: The asset was converted from Strategic Investment Solutions when it was purchased in 2016 | | | | | |
| Windsor II Fund Adm [MF] | | \$100,001 - \$250,000 | Dividends | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Xylem Inc. Common Stock New (XYL) [ST] | | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|------------------------------------|-------|------------|----------|-------------------------|--------------------------|
| Ameriprise International Fund [MF] | | 01/7/2019 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| SCHP [EF] | | 04/8/2019 | P | \$15,001 - \$50,000 | |
| SCHP [EF] | | 04/8/2019 | P | \$500,001 - \$1,000,000 | |
| SCHP [EF] | | 05/21/2020 | P | \$100,001 - \$250,000 | |
| SCHP [EF] | | 11/7/2019 | P | \$50,001 - \$100,000 | |
| SCHP [EF] | | 11/7/2019 | P | \$15,001 - \$50,000 | |

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|-----------------------------|----------------|------------|-----------------------|
| JT | Capitol Hill Towers - Co-op | November, 2008 | Home in DC | \$100,001 - \$250,000 |

SCHEDULE E: POSITIONS

| Position | Name of Organization |
|----------------|-----------------------------------|
| Board Director | Philanthropic Ventures Foundation |

SCHEDULE F: AGREEMENTS

| Date | Parties To | Terms of Agreement |
|---------------|-----------------------------------|-------------------------|
| March 2006 | Red Wheel/Weiser/Conari | Book contract |
| November 2006 | CA State Legislative Pension Fund | Continued participation |
| May 2018 | Amazon | Book contract |
| July 2019 | Black Label Media | Motion picture option |

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

| Trip Details | | | | | Inclusions | | |
|-----------------------|------------|------------|---------------------|------------------|-------------------------------------|-------------------------------------|--------------------------|
| Source | Start Date | End Date | Itinerary | Days at Own Exp. | Lodging? | Food? | Family? |
| Government of Armenia | 10/4/2019 | 10/12/2019 | SFO - Yerevan - SFO | 0 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or

dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Jackie Speier , 08/6/2020