



Filing ID #10039414

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Donna Shalala  
**Status:** Former Member  
**State/District:** FL27

## FILING INFORMATION

**Filing Type:** Terminated Filer Report  
**Filing Year:** 2021  
**Filing Date:** 02/24/2021

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Citibank Accounts [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Lennar Corporation Class A (LEN) [ST]		None	None		<input type="checkbox"/>
Lennar Corporation Class B (LEN.B) [ST]		None	None		<input type="checkbox"/>
Miami Rental Property [RP]		\$100,001 - \$250,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Miami, FL, US					
Morgan Stanley Active Assets Account (2) ⇒ Alerian MLP ETF (AMLP) [EF]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ First Trust Preferred Securities and Income ETF (FPE) [EF]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ FT North American Energy INFRA (EMLP) [EF]		None	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒		\$15,001 - \$50,000	Capital Gains,	\$2,501 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
ISHARES CORE MSCI EAFE ETF (IEFA) [EF]			Dividends	\$5,000	
Morgan Stanley Active Assets Account (2) ⇒ ISHARES CORE MSCI EUROPE (IEUR) [EF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ ISHARES EDGE MSCI VOL EMER (EEMV) [EF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ ISHARES INC MSCI JAPAN ETF (EWJ) [EF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ ISHARES RUSSELL 1000 GRW ETF (IWF) [EF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ ISHARES RUSSELL 1000 VALUE ETF (IWD) [EF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ ISHARES RUSSELL 2000 GRWTH ETF (IWO) [EF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ ISHARES RUSSELL 2000 VALUE ETF (IWN) [EF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ ISHARES RUSSELL MIDCAP G ETF (IWP) [EF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ ISHARES RUSSELL MIDCAP V ETF (IWS) [EF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ Morgan Stanley Private Bank Account [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ NUVEEN INT DUR MUNI BOND I (NUVBX) [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ NUVEEN LTD TERM MUNI BOND I (FLTRX) [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ PIMCO Enhanced Short Maturity Active ETF (MINT) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ SPDR BLACKSTONE GSO SEN LOAN (SRLN) [EF]	None		Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley Active Assets Account (2) ⇒ SPDR DoubleLine Total Return Tactical ETF (TTL) [EF]	None		Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ Vanguard Dividend Appreciation (VIG) [EF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ VANGUARD REAL ESTATE ETF (VNQ) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ VANGUARD SHORT TERM BOND (BSV) [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ WISDOMTREE EMERGING MARKETS EX-STATE OWNED (XSOE) [EF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ WisdomTree Yield Enhanced U.S. Aggregate Bond Fund (AGGY) [EF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (3) ⇒ Morgan Stanley Bank Account [BA]		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Basic Securities Account (1) ⇒ Gannett Co., Inc. (GCI) [ST]	None		None		<input type="checkbox"/>
Morgan Stanley Basic Securities Account (1) ⇒ Lennar Corporation Class A (LEN) [ST]	None		Capital Gains, Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
Morgan Stanley Basic Securities Account (1) ⇒ Lennar Corporation Class A (LEN) [ST]	None		None		<input type="checkbox"/>
DESCRIPTION: Stock Name: LENNAR CORPORATION A RST					
Morgan Stanley Basic Securities Account (1) ⇒ Lennar Corporation Class B (LEN.B) [ST]	None		Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Morgan Stanley Basic Securities Account (1) ⇒ Morgan Stanley Private Bank Account [BA]		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Basic Securities Account (1) ⇒ TEGNA Inc (TGNA) [ST]	None		Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Morgan Stanley Basic Securities Account (1) ⇒ Teva Pharmaceutical Industries Limited American Depository Shares (TEVA) [ST]	None		None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley Basic Securities Account (1) ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	None		Dividends	\$201 - \$1,000	<input type="checkbox"/>
Morgan Stanley Basic Securities Account (1) ⇒ Watsco, Inc. (WSO) [ST]	None		Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Basic Securities Account (2) ⇒ Morgan Stanley Private Bank Account [BA]	None		Interest	None	<input type="checkbox"/>
Morgan Stanley Basic Securities Account (2) ⇒ PE Premier BTAS IV Feeder [HE]		\$100,001 - \$250,000	Partnership Income	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley Basic Securities Account (2) ⇒ PEP II Onshore Class A [HE]		\$1,001 - \$15,000	Partnership Income	\$5,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA ⇒ Angel Oak Multi Strat Inc I (ANGIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA ⇒ Blackstone Mult-Strat Inst (BXMIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA ⇒ FT North American Energy Infra (EMLP) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA ⇒ Fuller & Thaler BHV SMOP EQINST (FTHSX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA ⇒ GQG Partners Emerging Markets Equity Fund Institutional Shares (GQGIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA ⇒ Harding Loevner Intl Eqty Inst (HLMIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA ⇒ iShares MSCI EAFE Min Vol Factor ETF (EFAV) [EF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA ⇒ IShares Russell 1000 GRW ETF (IWF) [EF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA ⇒ IShares Russell 2000 GRWTH ETF (IWO) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley IRA ⇒ IShares Russell Micap V ETF (IWS) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA ⇒ IShares Russell Midcap G ETF (IWP) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA ⇒ Lazard Emrg Mkt Eq Blend Inst (EMBIX) [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA ⇒ Lazard Intl Strat Eq Ptf Inst (LISIX) [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA ⇒ Lazard US Equity Concentrated Portfolio Institutional Shares (LEVIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA ⇒ Morgan Stanley Private Bank NA # [BA]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA ⇒ Oakmark Fund Advisor Class (OAYMX) [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA ⇒ Vanguard Dividend Appreciation (VIG) [EF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA ⇒ Vanguard Short-Term Corporate Bond ETF (VCSH) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA ⇒ Western Asset Core Plus BD I (WACPX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA ⇒ Wisdomtree Emerg Mkt EX-ST OW (XSOE) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ Blackstone Alternative Multi-Strategy Fund (BXMIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ First Trust North American Energy Infrastructure Fund (EMLP) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ Fuller & Thaler Behavioral Small-Cap Equity Fund Institutional Shares (FTHSX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley Rollover IRA Account (1) ⇒ GQG Partners Emerging Markets Equity Fund Institutional Shares (GQGIX) [MF]		\$50,001 - \$100,000		Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ Harding Loevner International Equity Portfolio Institutional Class (HLMIX) [MF]		\$100,001 - \$250,000		Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ iShares MSCI EAFE Min Vol Factor ETF (EFAV) [EF]		\$100,001 - \$250,000		Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ iShares Russell 1000 Growth ETF (IWF) [EF]		\$100,001 - \$250,000		Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ iShares Russell 2000 Growth ETF (IWO) [EF]		\$15,001 - \$50,000		Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ iShares Russell Midcap Growth ETF (IWP) [EF]		\$50,001 - \$100,000		Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ iShares Russell Mid-cap Value ETF (TWS) [EF]		\$50,001 - \$100,000		Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ Lazard Emerging Markets Equity Blend Portfolio Institutional Shares (EMBIX) [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ Lazard International Strategic Equity Portfolio Institutional Shares (LISIX) [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ Lazard US Equity Concentrated Portfolio Institutional Shares (LEVIX) [MF]		\$50,001 - \$100,000		Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ Morgan Stanley Private Bank Account [BA]		\$1,001 - \$15,000		Tax-Deferred	<input type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ SPDR S&P Bank ETF (KBE) [EF]		\$1,001 - \$15,000		Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ SPDR S&P Regional Banking ETF (KRE) [EF]		\$1,001 - \$15,000		Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒		\$250,001 -		Tax-Deferred	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard Div Appreciation ETF (VIG) [EF]		\$500,000			
Morgan Stanley Rollover IRA Account (1) ⇒ WisdomTree Emerging Markets Ex-State Owned Enterprises Fund (XSOE) [EF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Tegna Deferred Compensation Plan ⇒ 2015 Retirement Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Tegna Deferred Compensation Plan ⇒ Bond Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Tegna Deferred Compensation Plan ⇒ International Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Tegna Deferred Compensation Plan ⇒ Large Cap Growth [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Tegna Deferred Compensation Plan ⇒ Large Cap Value [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Tegna Deferred Compensation Plan ⇒ Money Market Fund [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Tegna Deferred Compensation Plan ⇒ Small/Mid Cap Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Tegna Deferred Compensation Plan ⇒ TEGNA Inc (TGNA) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Tegna Deferred Compensation Plan ⇒ TEGNA Inc (TGNA) [ST]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Tegna Deferred Compensation Plan ⇒ U.S. Small/Mid Cap Equity Index Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Tegna Deferred Compensation Plan ⇒ Vanguard Institutional Index Fund (VINIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA Traditional Annuity [FN]		\$1,000,001 - \$5,000,000	Annuity Payments	\$100,001 - \$1,000,000	<input type="checkbox"/>
Wisconsin Retirement System [DB]		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Morgan Stanley Active Assets Account (2) ⇒ Alerian MLP ETF (AMLP) [EF]		10/27/2020	P	\$15,001 - \$50,000	
Morgan Stanley Active Assets Account (2) ⇒ First Trust North American Energy Infrastructure Fund (EMLP) [EF]		01/24/2020	P	\$1,001 - \$15,000	
Morgan Stanley Active Assets Account (2) ⇒ First Trust North American Energy Infrastructure Fund (EMLP) [EF]		10/27/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ iShares Core MSCI EAFE ETF (IEFA) [EF]		01/14/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ iShares Core MSCI EAFE ETF (IEFA) [EF]		01/24/2020	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ iShares Core MSCI Europe ETF (IEUR) [EF]		01/14/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ iShares Core MSCI Europe ETF (IEUR) [EF]		04/24/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ iShares Core MSCI Europe ETF (IEUR) [EF]		01/24/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ iShares Core MSCI Europe ETF (IEUR) [EF]		05/22/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ iShares Core MSCI Europe ETF (IEUR) [EF]		07/27/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ iShares Core MSCI Europe ETF (IEUR) [EF]		09/29/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ iShares MSCI Emerging Markets Min Vol Factor ETF (EEMV) [EF]		01/14/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒		01/24/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
iShares MSCI Emerging Markets Min Vol Factor ETF (EEMV) [EF]				(partial)	
Morgan Stanley Active Assets Account (2) ⇒ iShares MSCI Japan Index Fund (EWJ) [EF]		01/14/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ iShares MSCI Japan Index Fund (EWJ) [EF]		01/24/2020	P	\$1,001 - \$15,000	
Morgan Stanley Active Assets Account (2) ⇒ iShares Russell 1000 Growth ETF (IWF) [EF]		01/14/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ iShares Russell 1000 Growth ETF (IWF) [EF]		04/24/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ iShares Russell 1000 Growth ETF (IWF) [EF]		03/26/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ iShares Russell 1000 Growth ETF (IWF) [EF]		05/22/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ iShares Russell 1000 Growth ETF (IWF) [EF]		07/27/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ iShares Russell 1000 Growth ETF (IWF) [EF]		09/29/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ iShares Russell 1000 Value ETF (IWD) [EF]		01/14/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ iShares Russell 1000 Value ETF (IWD) [EF]		01/24/2020	P	\$1,001 - \$15,000	
Morgan Stanley Active Assets Account (2) ⇒ iShares Russell 2000 Growth ETF (IWO) [EF]		01/24/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ iShares Russell 2000 Value ETF (IWN) [EF]		01/24/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ iShares Russell Midcap Growth ETF (IWP) [EF]		01/24/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ iShares Russell Mid-cap Value ETF (IWS) [EF]		01/24/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley Active Assets Account (2) ⇒ Nuveen Intermediate Duration Municipal Bond Fund Class I (NUVBX) [MF]		01/14/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ Nuveen Intermediate Duration Municipal Bond Fund Class I (NUVBX) [MF]		04/24/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ Nuveen Intermediate Duration Municipal Bond Fund Class I (NUVBX) [MF]		01/24/2020	P	\$50,001 - \$100,000	
Morgan Stanley Active Assets Account (2) ⇒ Nuveen Intermediate Duration Municipal Bond Fund Class I (NUVBX) [MF]		03/26/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ Nuveen Intermediate Duration Municipal Bond Fund Class I (NUVBX) [MF]		05/22/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ Nuveen Intermediate Duration Municipal Bond Fund Class I (NUVBX) [MF]		06/26/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ Nuveen Intermediate Duration Municipal Bond Fund Class I (NUVBX) [MF]		07/27/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ Nuveen Intermediate Duration Municipal Bond Fund Class I (NUVBX) [MF]		09/29/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ Nuveen Limited Term Municipal Bond Fund Class I (FLTRX) [MF]		01/24/2020	P	\$1,001 - \$15,000	
Morgan Stanley Active Assets Account (2) ⇒ Nuveen Limited Term Municipal Bond Fund Class I (FLTRX) [MF]		01/28/2020	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ PIMCO Enhanced Short Maturity Active ETF (MINT) [EF]		01/24/2020	P	\$1,001 - \$15,000	
Morgan Stanley Active Assets Account (2) ⇒ PIMCO Enhanced Short Maturity Active ETF (MINT) [EF]		01/29/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley Active Assets Account (2) ⇒ PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT) [EF]		01/14/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ PIMCO Enhanced Short Maturity Active Exchange-Traded Fund (MINT) [EF]		04/6/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ SPDR Blackstone GSO Senior Loan ETF (SRLN) [EF]		01/24/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ SPDR DoubleLine Total Return Tactical ETF (TOTL) [EF]		01/24/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ Vanguard Div Appreciation ETF (VIG) [EF]		01/14/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ Vanguard Div Appreciation ETF (VIG) [EF]		01/24/2020	P	\$1,001 - \$15,000	
Morgan Stanley Active Assets Account (2) ⇒ Vanguard Short-Term Bond ETF (BSV) [EF]		01/14/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ Vanguard Short-Term Bond ETF (BSV) [EF]		01/24/2020	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ WisdomTree Emerging Markets Ex-State Owned Enterprises Fund (XSOE) [EF]		01/24/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Active Assets Account (2) ⇒ WisdomTree Yield Enhanced U.S. Aggregate Bond Fund (AGGY) [EF]		01/24/2020	P	\$15,001 - \$50,000	
Morgan Stanley Basic Securities Account (1) ⇒ Lennar Corporation Class A (LEN) [ST]		05/7/2020	S (partial)	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
Morgan Stanley Basic Securities Account (1) ⇒ Lennar Corporation Class A (LEN) [ST]		05/13/2020	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
Morgan Stanley Basic Securities Account (1) ⇒ Lennar Corporation Class A (LEN) [ST]		06/19/2020	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
Morgan Stanley Basic Securities Account (1) ⇒		05/7/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Lennar Corporation Class B (LEN.B) [ST]				(partial)	
Morgan Stanley Basic Securities Account (1) ⇒ Lennar Corporation Class B (LEN.B) [ST]		06/19/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Morgan Stanley Basic Securities Account (1) ⇒ TEGNA Inc (TGNA) [ST]		05/7/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley Basic Securities Account (1) ⇒ Teva Pharmaceutical Industries Limited American Depository Shares (TEVA) [ST]		05/7/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Basic Securities Account (1) ⇒ Watsco, Inc. (WSO) [ST]		05/7/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Morgan Stanley Basic Securities Account (2) ⇒ PE Premier BTAS IV Feeder [HE]		02/4/2020	P	\$15,001 - \$50,000	
Morgan Stanley Basic Securities Account (2) ⇒ PE Premier BTAS IV Feeder [HE]		04/13/2020	P	\$15,001 - \$50,000	
Morgan Stanley Basic Securities Account (2) ⇒ PE Premier BTAS IV Feeder [HE]		07/15/2020	P	\$15,001 - \$50,000	
Morgan Stanley Basic Securities Account (2) ⇒ PE Premier BTAS IV Feeder [HE]		10/28/2020	P	\$15,001 - \$50,000	
Morgan Stanley Basic Securities Account (2) ⇒ PE Premier BTAS IV Feeder [HE]		12/16/2020	P	\$1,001 - \$15,000	
Morgan Stanley IRA ⇒ Angel Oak Multi-Strategy Income Fund Institutional Shares (ANGIX) [MF]		01/17/2020	P	\$1,001 - \$15,000	
Morgan Stanley IRA ⇒ Angel Oak Multi-Strategy Income Fund Institutional Shares (ANGIX) [MF]		04/13/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA ⇒ Blackstone Alternative Multi-Strategy Fund Class I (BXMIX) [MF]		01/17/2020	P	\$1,001 - \$15,000	
Morgan Stanley IRA ⇒ Blackstone Alternative Multi-Strategy Fund Class I (BXMIX) [MF]		04/13/2020	P	\$1,001 - \$15,000	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Morgan Stanley IRA ⇒ Causeway International Value Fund Class Institutional (CIVIX) [MF]		01/17/2020	P	\$50,001 - \$100,000	
Morgan Stanley IRA ⇒ Causeway International Value Fund Class Institutional (CIVIX) [MF]		04/14/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley IRA ⇒ First Trust North American Energy Infrastructure Fund (EMLP) [EF]		01/17/2020	P	\$1,001 - \$15,000	
Morgan Stanley IRA ⇒ First Trust North American Energy Infrastructure Fund (EMLP) [EF]		04/13/2020	P	\$1,001 - \$15,000	
Morgan Stanley IRA ⇒ Fuller & Thaler Behavioral Small-Cap Equity Fund Institutional Shares (FTHSX) [MF]		01/17/2020	P	\$1,001 - \$15,000	
Morgan Stanley IRA ⇒ Fuller & Thaler Behavioral Small-Cap Equity Fund Institutional Shares (FTHSX) [MF]		04/13/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA ⇒ GQG Partners Emerging Markets Equity Fund Institutional Shares (GQGIX) [MF]		10/19/2020	P	\$15,001 - \$50,000	
Morgan Stanley IRA ⇒ Harding Loevner International Equity Portfolio Institutional Class (HLMIX) [MF]		01/17/2020	P	\$1,001 - \$15,000	
Morgan Stanley IRA ⇒ Harding Loevner International Equity Portfolio Institutional Class (HLMIX) [MF]		04/13/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA ⇒ iShares MSCI EAFFE Min Vol Factor ETF (EFAV) [EF]		04/13/2020	P	\$15,001 - \$50,000	
Morgan Stanley IRA ⇒ iShares MSCI Japan Index Fund (EWJ) [EF]		01/17/2020	P	\$1,001 - \$15,000	
Morgan Stanley IRA ⇒ iShares MSCI Japan Index Fund (EWJ) [EF]		04/13/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley IRA ⇒ iShares Russell 1000 Growth ETF (IWF) [EF]		01/17/2020	P	\$1,001 - \$15,000	
Morgan Stanley IRA ⇒ iShares Russell 1000 Growth ETF (IWF) [EF]		04/13/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA ⇒ iShares Russell 2000 Growth ETF (IWO) [EF]		04/13/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA ⇒ iShares Russell 2000 Growth ETF (IWO) [EF]		01/17/2020	P	\$1,001 - \$15,000	
Morgan Stanley IRA ⇒ iShares Russell Midcap Growth ETF (IWP) [EF]		04/13/2020	P	\$1,001 - \$15,000	
Morgan Stanley IRA ⇒ iShares Russell Midcap Growth ETF (IWP) [EF]		04/13/2020	P	\$1,001 - \$15,000	
Morgan Stanley IRA ⇒ iShares Russell Mid-cap Value ETF (IWS) [EF]		01/17/2020	P	\$1,001 - \$15,000	
Morgan Stanley IRA ⇒ iShares Russell Mid-cap Value ETF (IWS) [EF]		04/13/2020	P	\$1,001 - \$15,000	
Morgan Stanley IRA ⇒ Lazard Emerging Markets Equity Blend Portfolio Institutional Shares (EMBIX) [MF]		01/17/2020	P	\$1,001 - \$15,000	
Morgan Stanley IRA ⇒ Lazard Emerging Markets Equity Blend Portfolio Institutional Shares (EMBIX) [MF]		04/13/2020	P	\$15,001 - \$50,000	
Morgan Stanley IRA ⇒ Lazard Emerging Markets Equity Blend Portfolio Institutional Shares (EMBIX) [MF]		10/19/2020	S	\$50,001 - \$100,000	<input type="checkbox"/>
Morgan Stanley IRA ⇒ Lazard International Strategic Equity Portfolio Institutional Shares (LISIX) [MF]		01/17/2020	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Morgan Stanley IRA ⇒ Lazard US Equity Concentrated Portfolio Institutional Shares (LEVIX) [MF]		04/13/2020	P	\$15,001 - \$50,000	
Morgan Stanley IRA ⇒		01/17/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Oakmark Fund Advisor Class (OAYMX) [MF]					
Morgan Stanley IRA ⇒ Vanguard Div Appreciation ETF (VIG) [EF]		01/17/2020	P	\$1,001 - \$15,000	
Morgan Stanley IRA ⇒ Vanguard Div Appreciation ETF (VIG) [EF]		04/13/2020	P	\$15,001 - \$50,000	
Morgan Stanley IRA ⇒ Vanguard Short-Term Corporate Bond ETF (VCSH) [EF]		04/13/2020	P	\$1,001 - \$15,000	
Morgan Stanley IRA ⇒ Western Asset Core Plus Bond Fund Class I (WACPX) [MF]		04/13/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA ⇒ Western Asset Core Plus Bond Fund Class I (WACPX) [MF]		01/17/2020	P	\$1,001 - \$15,000	
Morgan Stanley IRA ⇒ WisdomTree Emerging Markets Ex-State Owned Enterprises Fund (XSOE) [EF]		04/13/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley IRA ⇒ WisdomTree Emerging Markets Ex-State Owned Enterprises Fund (XSOE) [EF]		01/17/2020	P	\$1,001 - \$15,000	
Morgan Stanley IRA ⇒ WisdomTree Emerging Markets Ex-State Owned Enterprises Fund (XSOE) [EF]		10/19/2020	P	\$15,001 - \$50,000	
Morgan Stanley IRA ⇒ WisdomTree U.S. LargeCap Dividend Fund (DLN) [EF]		04/13/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley IRA ⇒ WisdomTree U.S. LargeCap Dividend Fund (DLN) [EF]		01/17/2020	P	\$50,001 - \$100,000	
Morgan Stanley Rollover IRA Account (1) ⇒ Blackstone Alternative Multi-Strategy Fund Class I (BXMIX) [MF]		01/17/2020	P	\$1,001 - \$15,000	
Morgan Stanley Rollover IRA Account (1) ⇒ Blackstone Alternative Multi-Strategy Fund Class I (BXMIX) [MF]		04/13/2020	P	\$1,001 - \$15,000	
Morgan Stanley Rollover IRA Account (1) ⇒ Blackstone Alternative Multi-Strategy Fund Class I		12/22/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
(BXMIX) [MF]					
Morgan Stanley Rollover IRA Account (1) ⇒ Causeway International Value Fund Class Institutional (CIVIX) [MF]		01/17/2020	P	\$100,001 - \$250,000	<input type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ Causeway International Value Fund Class Institutional (CIVIX) [MF]		04/14/2020	S	\$100,001 - \$250,000	<input type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ First Trust North American Energy Infrastructure Fund (EMLP) [EF]		01/17/2020	P	\$1,001 - \$15,000	
Morgan Stanley Rollover IRA Account (1) ⇒ First Trust North American Energy Infrastructure Fund (EMLP) [EF]		04/13/2020	P	\$1,001 - \$15,000	
Morgan Stanley Rollover IRA Account (1) ⇒ Fuller & Thaler Behavioral Small-Cap Equity Fund Institutional Shares (FTHSX) [MF]		04/13/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ GQG Partners Emerging Markets Equity Fund Institutional Shares (GQGIX) [MF]		10/19/2020	P	\$50,001 - \$100,000	
Morgan Stanley Rollover IRA Account (1) ⇒ Harding Loevner International Equity Portfolio Institutional Class (HLMIX) [MF]		01/17/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ Harding Loevner International Equity Portfolio Institutional Class (HLMIX) [MF]		12/16/2020	P	\$1,001 - \$15,000	
Morgan Stanley Rollover IRA Account (1) ⇒ Harding Loevner International Equity Portfolio Institutional Class (HLMIX) [MF]		04/13/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ iShares MSCI EAFE Min Vol Factor ETF (EFAV) [EF]		04/13/2020	P	\$100,001 - \$250,000	
Morgan Stanley Rollover IRA Account (1) ⇒ iShares MSCI Japan Index Fund (EWJ) [EF]		01/17/2020	P	\$15,001 - \$50,000	
Morgan Stanley Rollover IRA Account (1) ⇒ iShares MSCI Japan Index Fund (EWJ) [EF]		04/13/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley Rollover IRA Account (1) ⇒ iShares Russell 1000 Growth ETF (IWF) [EF]		01/17/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ iShares Russell 1000 Growth ETF (IWF) [EF]		04/13/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ iShares Russell 2000 Growth ETF (IWO) [EF]		04/13/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ iShares Russell Mid-cap Value ETF (IWS) [EF]		04/13/2020	P	\$15,001 - \$50,000	
Morgan Stanley Rollover IRA Account (1) ⇒ Lazard Emerging Markets Equity Blend Portfolio Institutional Shares (EMBIX) [MF]		01/17/2020	P	\$1,001 - \$15,000	
Morgan Stanley Rollover IRA Account (1) ⇒ Lazard Emerging Markets Equity Blend Portfolio Institutional Shares (EMBIX) [MF]		04/13/2020	P	\$15,001 - \$50,000	
Morgan Stanley Rollover IRA Account (1) ⇒ Lazard Emerging Markets Equity Blend Portfolio Institutional Shares (EMBIX) [MF]		10/19/2020	S	\$100,001 - \$250,000	<input type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ Lazard International Strategic Equity Portfolio Institutional Shares (LISIX) [MF]		01/17/2020	S	\$100,001 - \$250,000	<input type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ Lazard US Equity Concentrated Portfolio Institutional Shares (LEVIX) [MF]		04/13/2020	P	\$50,001 - \$100,000	
Morgan Stanley Rollover IRA Account (1) ⇒ Oakmark Fund Advisor Class (OAYMX) [MF]		01/17/2020	S	\$100,001 - \$250,000	<input type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ SPDR S&P Bank ETF (KBE) [EF]		12/22/2020	P	\$1,001 - \$15,000	
Morgan Stanley Rollover IRA Account (1) ⇒ SPDR S&P Regional Banking ETF (KRE) [EF]		12/22/2020	P	\$1,001 - \$15,000	
Morgan Stanley Rollover IRA Account (1) ⇒ Vanguard Div Appreciation ETF (VIG) [EF]		04/13/2020	P	\$100,001 - \$250,000	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Morgan Stanley Rollover IRA Account (1) ⇒ Vanguard Short-Term Corporate Bond ETF (VCSH) [EF]		04/13/2020	P	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ Vanguard Short-Term Corporate Bond ETF (VCSH) [EF]		12/22/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ WisdomTree Emerging Markets Ex-State Owned Enterprises Fund (XSOE) [EF]		01/17/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ WisdomTree Emerging Markets Ex-State Owned Enterprises Fund (XSOE) [EF]		04/13/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley Rollover IRA Account (1) ⇒ WisdomTree Emerging Markets Ex-State Owned Enterprises Fund (XSOE) [EF]		10/19/2020	P	\$50,001 - \$100,000	
Morgan Stanley Rollover IRA Account (1) ⇒ WisdomTree U.S. LargeCap Dividend Fund (DLN) [EF]		01/17/2020	P	\$100,001 - \$250,000	
Morgan Stanley Rollover IRA Account (1) ⇒ WisdomTree U.S. LargeCap Dividend Fund (DLN) [EF]		04/13/2020	S	\$100,001 - \$250,000	<input type="checkbox"/>
Tegna Deferred Compensation Plan ⇒ Large Cap Growth [MF]		03/31/2020	P	\$1,001 - \$15,000	
Tegna Deferred Compensation Plan ⇒ TEGNA Inc (TGNA) [ST]		03/31/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

<b>Source</b>	<b>Type</b>	<b>Amount</b>
Wisconsin Retirement System	Annuity Payment	\$6,846.24
Tegna, Inc. (f/k/a Gannett, Inc.)	Deferred Director Fees	\$41,038.07

## SCHEDULE D: LIABILITIES

<b>Owner Creditor</b>	<b>Date Incurred</b>	<b>Type</b>	<b>Amount of Liability</b>
Morgan Stanley	November 2017	Liquidity Access Line	\$100,001 - \$250,000
COMMENTS: Paid off in October 2020.			

## SCHEDULE E: POSITIONS

<b>Position</b>	<b>Name of Organization</b>
Member	Council on Foreign Relations
COMMENTS: 1980 - Present	
Member	International Womens Forum
COMMENTS: 1982 - Present	

## SCHEDULE F: AGREEMENTS

<b>Date</b>	<b>Parties To</b>	<b>Terms of Agreement</b>
February 2011	Tegna, Inc. (f/k/a Gannett Co, Inc.) and Donna Shalala	Deferred compensation to be paid through 2026 for service on Board of Directors from 2001 - 2011.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- Morgan Stanley Active Assets Account (2)  
LOCATION: US
- Morgan Stanley Active Assets Account (3)  
LOCATION: US
- Morgan Stanley Basic Securities Account (1)  
LOCATION: US
- Morgan Stanley Basic Securities Account (2)  
LOCATION: US
- Morgan Stanley IRA

- Morgan Stanley Rollover IRA Account (1)
- Tegna Deferred Compensation Plan

### **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

### **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Donna Shalala , 02/24/2021