



Filing ID #10056595

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Dr. Rick Tsai  
**Status:** Congressional Candidate  
**State/District:** OHo6

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2024  
**Filing Date:** 01/22/2024

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset   | Owner | Value of Asset             | Income Type(s)              | Income<br>Current Year to<br>Filing | Income<br>Preceding Year |
|---|-------|----------------------------|-----------------------------|-------------------------------------|--------------------------|
| Blackhawk Chiropractic ⇒<br>Blackhawk Chiropractic [OL]   |       | \$250,001 -<br>\$500,000   | None                        |                                     |                          |
| LOCATION: darlington, PA, US<br>DESCRIPTION: Business evaluation is based on gross receipts. Earned income is reported on schedule C. |       |                            |                             |                                     |                          |
| Computershare ⇒<br>WesBanco, Inc. (WSBC) [ST]   | JT    | \$1 - \$1,000              | Dividends                   | None                                | \$1 - \$200              |
| Huntington Bank Personal Account [BA]   | JT    | \$1,001 - \$15,000         | Interest                    | None                                | \$1 - \$200              |
| DESCRIPTION: Personal Checking Account  |       |                            |                             |                                     |                          |
| Schwab Brokerage Account ⇒<br>Apple Inc. (AAPL) [ST]  | JT    | \$1,001 - \$15,000         | Capital Gains,<br>Dividends | None                                | \$1 - \$200              |
| Schwab Brokerage Account ⇒<br>Cisco Systems, Inc. (CSCO) [ST]   | JT    | \$1 - \$1,000              | Capital Gains,<br>Dividends | None                                | None                     |
| Schwab Brokerage Account ⇒<br>Oracle Corporation (ORCL) [ST]  | JT    | \$500,001 -<br>\$1,000,000 | Capital Gains,<br>Dividends | Not Applicable                      | \$5,001 -<br>\$15,000    |
| Schwab Brokerage Account ⇒<br>Roku, Inc. - Class A (ROKU) [ST]  | JT    | \$1 - \$1,000              | Capital Gains,<br>Dividends | None                                | Not Applicable           |

| Asset  | Owner | Value of Asset             | Income Type(s) | Income<br>Current Year to<br>Filing | Income<br>Preceding Year |
|--|-------|----------------------------|----------------|-------------------------------------|--------------------------|
| Schwab Brokerage Account ⇒<br>Schwab Bank Sweep Account [BA]                           | JT    | \$1,001 - \$15,000         | Interest       | None                                | \$1 - \$200              |
| The Retirement Plan Company ⇒<br>Amazon.com, Inc. (AMZN) [ST]                          |       | \$1,001 - \$15,000         | Tax-Deferred   |                                     |                          |
| The Retirement Plan Company ⇒<br>Apple Inc. (AAPL) [ST]                                |       | \$500,001 -<br>\$1,000,000 | Tax-Deferred   |                                     |                          |
| The Retirement Plan Company ⇒<br>Oracle Corporation (ORCL) [ST]                        |       | \$1,001 - \$15,000         | Tax-Deferred   |                                     |                          |
| The Retirement Plan Company ⇒<br>Schwab Bank Sweep Account [BA]                        |       | \$1,001 - \$15,000         | Tax-Deferred   |                                     |                          |
| The Retirement Plan Company ⇒<br>Schwab Bank Sweep Account [BA]                        | SP    | \$1 - \$1,000              | Tax-Deferred   |                                     |                          |
| The Retirement Plan Company ⇒<br>VFIAX Vanguard Index 500 Admiral Shares<br>[MF]       |       | \$15,001 - \$50,000        | Tax-Deferred   |                                     |                          |
| The Retirement Plan Company ⇒<br>VFIAX Vanguard Index 500 Admiral Shares<br>[MF]       | SP    | \$250,001 -<br>\$500,000   | Tax-Deferred   |                                     |                          |
| Vanguard Traditional IRA ⇒<br>Vanguard 500 Index Admiral CL [MF]                       |       | \$250,001 -<br>\$500,000   | Tax-Deferred   |                                     |                          |
| DESCRIPTION: This a Vanguard mutual fund that is my (dr. Tsai) IRA. Letters are VFIAX. |       |                            |                |                                     |                          |
| Vanguard Traditional IRA ⇒<br>Vanguard 500 Index Admiral CL [MF]                       | SP    | \$250,001 -<br>\$500,000   | Tax-Deferred   |                                     |                          |
| Vanguard Traditional IRA ⇒<br>Vanguard Healthcare Investor CL [MF]                     | SP    | \$1,001 - \$15,000         | Tax-Deferred   |                                     |                          |
| XRP ⇒<br>Ripple Cryptocurrency [OT]  |       | \$15,001 - \$50,000        | None           |                                     |                          |
| DESCRIPTION: XRP Cryptocurrency  |       |                            |                |                                     |                          |

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit

<https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

| Source                     | Type          | Amount<br>Current Year to<br>Filing | Amount<br>Preceding Year |
|----------------------------|---------------|-------------------------------------|--------------------------|
| Blackhawk Chiropractic Inc | Salary        | \$4,600.00                          | \$109,000.00             |
| Tsai Management            | Sales         | \$628.97                            | \$13,931.00              |
| Blackhawk Chiropractic Inc | spouse salary | N/A                                 | N/A                      |
| Entertainment Partners     | spouse salary | N/A                                 | N/A                      |

### SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred   | Type             | Amount of<br>Liability |
|-------|----------|---|------------------|------------------------|
| JT    | Citibank | incurred over last<br>several years &<br>during Covid | credit card debt | \$10,000 - \$15,000    |

### SCHEDULE E: POSITIONS

| Position  | Name of Organization       |
|-----------|----------------------------|
| President | Blackhawk Chiropractic Inc |

### SCHEDULE F: AGREEMENTS

| Date          | Parties To                             | Terms of Agreement  |
|---------------|--|---|
| April 2018    | Myself and The Retirement Plan Company | Participation in a 401k Retirement plan.  |
| February 2017 | Myself and Blackhawk Chiropractic      | Private Health Insurance paid for by Blackhawk Chiropractic through a group plan. |

### SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

### SCHEDULE A ASSET CLASS DETAILS

|  |
|--|
| <ul style="list-style-type: none"><li>Blackhawk Chiropractic<br/>LOCATION: US</li><li>Computershare (Owner: JT)<br/>LOCATION: US</li></ul> |
|--|

- Schwab Brokerage Account (Owner: JT)  
LOCATION: US
- The Retirement Plan Company
- The Retirement Plan Company (Owner: SP)
- Vanguard Traditional IRA
- Vanguard Traditional IRA (Owner: SP)
- XRP  
LOCATION: US

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Dr. Rick Tsai , 01/22/2024