



Filing ID #10035615

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Lisa Blunt Rochester  
**Status:** Member  
**State/District:** DE00

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2019  
**Filing Date:** 08/4/2020

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income > \$1,000?
Charles R Rochester Jr Estate - Cash [OT]		\$1,001 - \$15,000	Interest	\$1 - \$200 <input type="checkbox"/>
DESCRIPTION: Cash				
Inter-Family Promissory Note [DO]		\$100,001 - \$250,000	Interest	\$5,001 - \$15,000 <input type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ BLMBG BARCLAYS HIGH YIELD (JNK) BOND ETF [EF]		\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ CARLISLE COS INC SENIOR NOTE CALLABLE M/W 3.75% [CS]		None	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: CARLISLE COS INC SENIOR NOTE CALLABLE M/W 3.75%				
LISA BLUNT ROCHESTER IRA ⇒ CINCINNATI FINANCIAL CORP SENIOR DEBENTURE 6.92% [CS]		None	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: CINCINNATI FINANCIAL CORP SENIOR DEBENTURE 6.92%				
LISA BLUNT ROCHESTER IRA ⇒ GOLDMAN SACHS BK USA NY CD 3% 06/07/2021 [CS]		None	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: GOLDMAN SACHS BK USA NY CD 3% 06/07/2021				

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
LISA BLUNT ROCHESTER IRA ⇒ ISHARES 1 3 YR TREAS BD ETF (SHY) [EF]		\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
DESCRIPTION: ISHARES 1 3 YR TREAS BD ETF (SHY)				
LISA BLUNT ROCHESTER IRA ⇒ iShares Barclays 1-3 Year Credit Bond Fd (IGSB) [EF]		\$50,001 - \$100,000	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: iShares Barclays 1-3 Year Credit Bond Fd (IGSB)				
LISA BLUNT ROCHESTER IRA ⇒ ISHARES CORE S&P MCP ETF (IJH) [EF]		\$50,001 - \$100,000	Tax-Deferred	<input type="checkbox"/>
DESCRIPTION: ISHARES CORE S&P MCP ETF (IJH)				
LISA BLUNT ROCHESTER IRA ⇒ ishares Gold Trust (IAU) [EF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ ISHARES INTRM TR CRP ETF (IGIB) [EF]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ ISHARES MBS ETF (MBB) [EF]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: ISHARES MBS ETF (MBB)				
LISA BLUNT ROCHESTER IRA ⇒ iShares Russell 1000 ETF (IWB) [EF]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ Money Market [BA]		\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
DESCRIPTION: FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC				
LISA BLUNT ROCHESTER IRA ⇒ SPDR DoubleLine Total Return Tactical ETF (TOTL) [EF]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: SPDR DoubleLine Total Return Tactical ETF (TOTL)				
LISA BLUNT ROCHESTER IRA ⇒ SPDR S&P 500 (SPY) [EF]		\$100,001 - \$250,000	Tax-Deferred	<input type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ Vanguard FTSE Developed Markets ETF (VEA) [EF]		\$50,001 - \$100,000	Tax-Deferred	<input type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [EF]		\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ AMERICAN EXPRESS CENTRN CD, RATE: 2.2 [CS]	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Tx. &gt; \$1,000?</b>
DESCRIPTION: AMERICAN EXPRESS CENTRN CD, RATE: 2.2				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ <b>BANK OF AMERICA CORP SENIOR MEDIUM TERM NOTE, RATE: 4.125 [CS]</b>	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: BANK OF AMERICA CORP SENIOR MEDIUM TERM NOTE, RATE: 4.125				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ <b>BERKSHIRE HATHAWAY FIN SENIOR MEDIUM TERM NOTE M/W, RATE: 3.0 [CS]</b>	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: BERKSHIRE HATHAWAY FIN SENIOR MEDIUM TERM NOTE M/W, RATE: 3.0				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ <b>BHP BILLITON FIN USA LTD SENIOR NOTE, RATE: 2.875 [CS]</b>	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: BHP BILLITON FIN USA LTD SENIOR NOTE, RATE: 2.875				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ <b>BLOCK FINANCIAL CORP SR NOTE CLBL M/W CR SENS, RATE: 5.5 [CS]</b>	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: BLOCK FINANCIAL CORP SR NOTE CLBL M/W CR SENS, RATE: 5.5				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ <b>CELGENE CORP SENIOR NOTE M/W, RATE: 3.95 [CS]</b>	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: CELGENE CORP SENIOR NOTE M/W, RATE: 3.95				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ <b>CHEVRON CORP NOTE CALLABLE M/W, RATE: 3.326 [CS]</b>	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: CHEVRON CORP NOTE CALLABLE M/W, RATE: 3.326				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ <b>COLGATE-PALMOLIVE CO SENIOR MEDIUM TERM NOTE M/W, RATE: 3.25 [CS]</b>	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: COLGATE-PALMOLIVE CO SENIOR MEDIUM TERM NOTE M/W, RATE: 3.25				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ <b>CVS HEALTH CORPORATION SENIOR NOTE CALLABLE M/W 3.375% 08/12/2024 [CS]</b>	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: CVS HEALTH CORPORATION SENIOR NOTE CALLABLE M/W 3.375% 08/12/2024				

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ DIRECTV HLDGS LLC / DIRECTV SENIOR NOTE CALLABLE M/W, RATE: 4.45 [CS]	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: DIRECTV HLDGS LLC / DIRECTV SENIOR NOTE CALLABLE M/W, RATE: 4.45				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ DISCOVERY COMMUNICATIONS LLC SENIOR NOTE M/W, RATE: 5.05 [CS]	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: DISCOVERY COMMUNICATIONS LLC SENIOR NOTE M/W, RATE: 5.05				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ENTERGY MISSISSIPPI, INC FIRST MORTGAGE CALLABLE M/W, RATE: 3.1 [CS]	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: ENTERGY MISSISSIPPI, INC FIRST MORTGAGE CALLABLE M/W, RATE: 3.1				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ FEDERAL HOME LN MTG CORP MTN CLBL STEPPED 1%-2.5%, RATE: 1.0 [CS]	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: FEDERAL HOME LN MTG CORP MTN CLBL STEPPED 1%-2.5%, RATE: 1.0				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ GENERAL MTRS FINL CO INC SENIOR NOTE M/W, RATE: 2.35 [CS]	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: GENERAL MTRS FINL CO INC SENIOR NOTE M/W, RATE: 2.35				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ GOLDMAN SACHS BK USA NY CD 3% [CS]	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: GOLDMAN SACHS BK USA NY CD 3%				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ GOLDMAN SACHS BK USA NY CD 3% [CS]	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: GOLDMAN SACHS BK USA NY CD 3%				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ GOLDMAN SACHS MEDIUM TERM NOTE CALLABLE M/W, RATE: 3.85 [CS]	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: GOLDMAN SACHS MEDIUM TERM NOTE CALLABLE M/W, RATE: 3.85				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Tx. &gt; \$1,000?</b>
HARTFORD FINL SVCS GRP SENIOR NOTE M/W, RATE: 5.125 [CS]				
DESCRIPTION: HARTFORD FINL SVCS GRP SENIOR NOTE M/W, RATE: 5.125				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ HCP INC SENIOR NOTE CALLABLE M/W, RATE: 4.0 [CS]	None		Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
DESCRIPTION: HCP INC SENIOR NOTE CALLABLE M/W, RATE: 4.0				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ HESS CORPORATION SENIOR NOTE M/W, RATE: 8.125 [CS]	None		Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
DESCRIPTION: HESS CORPORATION SENIOR NOTE M/W, RATE: 8.125				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ HEWLETT PACKARD CO SENIOR NOTE M/W, RATE: 3.75 [CS]	None		Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
DESCRIPTION: HEWLETT PACKARD CO SENIOR NOTE M/W, RATE: 3.75				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF]		\$500,001 - \$1,000,000	Capital Gains, Dividends	\$5,001 - \$15,000 <input checked="" type="checkbox"/>
DESCRIPTION: iShares Core S&P Mid-Cap ETF (IJH)				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ishares Gold Trust (IAU) [EF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500 <input checked="" type="checkbox"/>
DESCRIPTION: ishares Gold Trust (IAU)				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ iShares MBS ETF (MBB) [EF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000 <input checked="" type="checkbox"/>
DESCRIPTION: iShares MBS ETF (MBB)				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES RUS 1000 ETF (IWB) [EF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000 <input checked="" type="checkbox"/>
DESCRIPTION: ISHARES RUS 1000 ETF (IWB)				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES TRUST INTRM TR CRP ETF (IGIB) [EF]		\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000 <input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES TRUST SH TR (IGSB) [EF]		\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000 <input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ <b>JOHNSON &amp; JOHNSON NOTE, RATE: 6.95 [CS]</b>  DESCRIPTION: JOHNSON & JOHNSON NOTE, RATE: 6.95	None		Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ <b>JP MORGAN CHASE &amp; CO SENIOR MEDIUM TERM NOTE, RATE: 4.25 [CS]</b>  DESCRIPTION: JP MORGAN CHASE & CO SENIOR MEDIUM TERM NOTE, RATE: 4.25	None		Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ <b>JP MORGAN CHASE &amp; CO SENIOR NOTE, RATE: 6.3 [CS]</b>  DESCRIPTION: JP MORGAN CHASE & CO SENIOR NOTE, RATE: 6.3	None		Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ <b>KINDER MORGAN ENERGY PARTNERS SENIOR NOTE M/W, RATE: 2.65 [CS]</b>  DESCRIPTION: KINDER MORGAN ENERGY PARTNERS SENIOR NOTE M/W, RATE: 2.65	None		Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ <b>LENNOX INTERNATIONAL INC SENIOR NOTE CALLABLE M/W, RATE: 3.0 [CS]</b>  DESCRIPTION: LENNOX INTERNATIONAL INC SENIOR NOTE CALLABLE M/W, RATE: 3.0	None		Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ <b>LYB INTL FINANCE BV SENIOR NOTE M/W 4% 07/15/2023 BUY TRADE 40 @102.521 [CS]</b>  DESCRIPTION: LYB INTL FINANCE BV SENIOR NOTE M/W 4% 07/15/2023 BUY TRADE 40 @102.521	None		Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ <b>MARATHON OIL CORP SENIOR NOTE CALLABLE M/W, RATE: 3.85 [CS]</b>  DESCRIPTION: MARATHON OIL CORP SENIOR NOTE CALLABLE M/W, RATE: 3.85	None		Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ <b>Money Market [BA]</b>  DESCRIPTION: FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC		\$100,001 - \$250,000	Interest	\$1 - \$200 <input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ <b>MOODY'S CORP SENIOR MED TERM NOTE CLBL M/W</b>	None		Dividends	\$1 - \$200 <input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Tx. &gt; \$1,000?</b>
2.75% 07/15/2019 SELL TRADE 100 @100.52 [CS]				
DESCRIPTION: MOODY'S CORP SENIOR MED TERM NOTE CLBL M/W 2.75% 07/15/2019 SELL TRADE 100 @100.52				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ MORGAN ST DEAN WITTER SENIOR MEDIUM TERM NOTE M/W, RATE: 3.875 [CS]	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: MORGAN ST DEAN WITTER SENIOR MEDIUM TERM NOTE M/W, RATE: 3.875				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ MORGAN STANLEY SENIOR MEDIUM TERM NOTE M/W, RATE: 5.5 [CS]	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: MORGAN STANLEY SENIOR MEDIUM TERM NOTE M/W, RATE: 5.5				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ NEW YORK ST DORM AUTH ST PERS REV BDS CALLABLE, RATE: 5.0 [CS]	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: NEW YORK ST DORM AUTH ST PERS REV BDS CALLABLE, RATE: 5.0				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ NEWMONT MINING CORP SENIOR NOTE M/W, RATE: 5.125 [CS]	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: NEWMONT MINING CORP SENIOR NOTE M/W, RATE: 5.125				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ NVR INC SENIOR NOTE CALLABLE M/W, RATE: 3.95 [CS]	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: NVR INC SENIOR NOTE CALLABLE M/W 3.95% 09/15/2022 INTEREST INCOME - SECURITIES PAID 493.75 FOR 25 SHARES ON PAYABLE DATE 03/15/2015 AND RECORD DATE 03/14/2015 62944TAE5				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ PENNSYLVANIA STATE GO BDS CALLABLE, RATE: 5.0 [CS]	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: PENNSYLVANIA STATE GO BDS CALLABLE, RATE: 5.0				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ PRIVATE EXPORT FUNDING NOTE, RATE: 2.3 [CS]	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: PRIVATE EXPORT FUNDING NOTE, RATE: 2.3				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ PSEG POWER SENIOR NOTE M/W, RATE: 5.125 [CS]	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
DESCRIPTION: PSEG POWER SENIOR NOTE M/W, RATE: 5.125				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ SPDR Barclays High Yield Bond ETF (JNK) [EF]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000 <input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ SPDR TR S&P 500 ETF TR (SPY) [EF]		\$1,000,001 - \$5,000,000	Capital Gains, Dividends	\$15,001 - \$50,000 <input checked="" type="checkbox"/>
DESCRIPTION: SPDR TR S&P 500 ETF TR (SPY)				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ SSGA ACTIVE ETF TRUST SPDR TR TACTIC (TOTL) [EF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000 <input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ STARBUCKS CORP SENIOR NOTE CALLABLE M/W 3.8% 08/15/2025 [CS]		None	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
DESCRIPTION: STARBUCKS CORP SENIOR NOTE CALLABLE M/W 3.8% 08/15/2025				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ STARWOOD HOTELS & RESORTS SENIOR NOTE CALLABLE M/W, RATE: 3.125 [CS]		None	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
DESCRIPTION: STARWOOD HOTELS & RESORTS SENIOR NOTE CALLABLE M/W, RATE: 3.125				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ TD AMERITRADE HOLDING CORP SENIOR NOTE CALLABLE M/W, RATE: 3.625 [CS]		None	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
DESCRIPTION: TD AMERITRADE HOLDING CORP SENIOR NOTE CALLABLE M/W, RATE: 3.625				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ THE MOSAIC CO SENIOR NOTE CALLABLE M/W, RATE: 3.75 [CS]		None	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
DESCRIPTION: THE MOSAIC CO SENIOR NOTE CALLABLE M/W, RATE: 3.75				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ THERMO FISHER SCIENTIFIC SENIOR NOTE CALLABLE M/W 3.65% 12/15/2025 BUY TRADE 25 @98.631 [CS]		None	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
DESCRIPTION: THERMO FISHER SCIENTIFIC SENIOR NOTE CALLABLE M/W 3.65% 12/15/2025 BUY TRADE 25 @98.631				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ Vanguard FTSE Developed Markets ETF (VEA) [EF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000 <input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
DESCRIPTION: Vanguard FTSE Developed Markets ETF (VEA)				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [EF]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000 <input checked="" type="checkbox"/>
DESCRIPTION: VWO				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ VERIZON COMMUNICATIONS SENIOR NOTE CALLABLE M/W, RATE: 4.15 [CS]		None	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
DESCRIPTION: VERIZON COMMUNICATIONS SENIOR NOTE CALLABLE M/W, RATE: 4.15				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ YORK CNTY PA GO BDS CALLABLE, RATE: 4.75 [CS]		None	Dividends	\$1 - \$200 <input checked="" type="checkbox"/>
DESCRIPTION: YORK CNTY PA GO BDS CALLABLE, RATE: 4.75				
LISA BLUNT ROCHESTER ROTH IRA ⇒ iShares 1-3 Year Treasury Bond ETF (SHY) [EF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: iShares 1-3 Year Treasury Bond ETF (SHY)				
LISA BLUNT ROCHESTER ROTH IRA ⇒ iShares Barclays 1-3 Year Credit Bond Fd (IGSB) [EF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: IGSB was CSJ.				
LISA BLUNT ROCHESTER ROTH IRA ⇒ iShares Cohen & Steers REIT ETF (ICF) [EF]		None	Tax-Deferred	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF]		None	Tax-Deferred	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ iShares Core U.S. Aggregate Bond ETF (AGG) [EF]		None	Tax-Deferred	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ iShares MSCI All Country World Minimum Volatility Index Fund (ACWV) [EF]		None	Tax-Deferred	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ iShares MSCI USA Minimum Volatility ETF (USMV) [EF]		None	Tax-Deferred	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
ISHARES TRUST INTRM TR CRP (IGIB) [EF]				
LISA BLUNT ROCHESTER ROTH IRA ⇒ ISHARES TRUST RUS 1000 (IWB) [EF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ ISHARES TRYST MBS (MBB) [EF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ Money Market [BA]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
DESCRIPTION: FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC				
LISA BLUNT ROCHESTER ROTH IRA ⇒ SPDR Bloomberg Barclays High Yield Bond ETF (JNK) [EF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ SPDR DoubleLine Total Return Tactical ETF (TOTL) [EF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ SPDR S&P 500 TRUST (SPY) [EF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ VANGUARD FTSE DEV MKT (VEA) [EF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ VANGUARD FTSE EMERG MKT (VWO) [EF]		\$1 - \$1,000	Tax-Deferred	<input checked="" type="checkbox"/>
M&T Bank Account [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200 <input type="checkbox"/>
ND LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ Money Market [BA]		\$100,001 - \$250,000	Interest	\$1 - \$200 <input type="checkbox"/>
DESCRIPTION: FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC				
Santander Bank Account [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200 <input type="checkbox"/>
Seton Villa Rental Home [RP]		\$100,001 - \$250,000	Rent	\$5,001 - \$15,000 <input type="checkbox"/>
LOCATION: Wilmington , DE, US DESCRIPTION: Rental Property				
Tosk, Inc. [PS]		\$15,001 - \$50,000	None	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Tx. &gt; \$1,000?</b>
DESCRIPTION: 8,333 shares of Series D Preferred Stock				
Wells Fargo Bank Accounts [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200 <input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
LISA BLUNT ROCHESTER IRA ⇒ CARLISLE COS INC SENIOR NOTE CALLABLE M/W 3.75% 12/01/2027 [CS]		02/4/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: CARLISLE COS INC SENIOR NOTE CALLABLE M/W 3.75% 12/01/2027					
LISA BLUNT ROCHESTER IRA ⇒ CINCINNATI FINANCIAL CORP SENIOR DEBENTURE 6.92% 05/15/2028 [CS]		02/4/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: CINCINNATI FINANCIAL CORP SENIOR DEBENTURE 6.92% 05/15/2028					
LISA BLUNT ROCHESTER IRA ⇒ GOLDMAN SACHS BK USA NY CD 3% 06/07/2021 [CS]		02/4/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: GOLDMAN SACHS BK USA NY CD 3% 06/07/2021					
LISA BLUNT ROCHESTER IRA ⇒ ISHARES TRUST INTRM TR CRP (IGIB) [EF]		02/5/2019	P	\$15,001 - \$50,000	
LISA BLUNT ROCHESTER IRA ⇒ ISHARES TRUST INTRM TR CRP (IGIB) [EF]		04/26/2019	P	\$1,001 - \$15,000	
LISA BLUNT ROCHESTER IRA ⇒ ISHARES TRUST MBS ETF (MBB) [EF]		02/5/2019	P	\$1,001 - \$15,000	
LISA BLUNT ROCHESTER IRA ⇒ ISHARES TRUST RUS 1000 (IWB) [EF]		02/19/2019	P	\$1,001 - \$15,000	
LISA BLUNT ROCHESTER IRA ⇒ SHARES TRUST SH TR CRPORT (IGSB) [EF]		04/26/2019	P	\$1,001 - \$15,000	
LISA BLUNT ROCHESTER IRA ⇒ SHARES TRUST SH TR CRPORT (IGSB) [EF]		02/5/2019	P	\$15,001 - \$50,000	
LISA BLUNT ROCHESTER IRA ⇒		02/5/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SSGA ACTIVE ETF TR SPDR TR (TOTL) [EF]				(partial)	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ AMERICAN EXPRESS CENTRN CD 2.2% 09/13/2021 [CS]		02/4/2019	S	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: AMERICAN EXPRESS CENTRN CD 2.2% 09/13/2021					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ BANK OF AMERICA CORP SENIOR MEDIUM TERM NOTE 4.125% 01/22/2024 [CS]		02/4/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: BANK OF AMERICA CORP SENIOR MEDIUM TERM NOTE 4.125% 01/22/2024					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ BERKSHIRE HATHAWAY FIN SENIOR MEDIUM TERM NOTE M/W 3% 05/15/2022 [CS]		02/4/2019	S	\$100,001 - \$250,000	<input type="checkbox"/>
DESCRIPTION: BERKSHIRE HATHAWAY FIN SENIOR MEDIUM TERM NOTE M/W 3% 05/15/2022					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ CELGENE CORP SENIOR NOTE M/W 3.95% 10/15/2020 [CS]		02/4/2019	S	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: CELGENE CORP SENIOR NOTE M/W 3.95% 10/15/2020					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ CINCINNATI FINANCIAL CORP SENIOR DEBENTURE 6.92% 05/15/2028 [CS]		02/4/2019	S	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: CINCINNATI FINANCIAL CORP SENIOR DEBENTURE 6.92% 05/15/2028					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ COLGATE-PALMOLIVE CO SENIOR MEDIUM TERM NOTE M/W 3.25% 03/15/2024 [CS]		02/4/2019	S	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: COLGATE-PALMOLIVE CO SENIOR MEDIUM TERM NOTE M/W 3.25% 03/15/2024					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ DIRECTV HLDGS LLC / DIRECTV SENIOR NOTE CALLABLE M/ 4.45% 04/01/2024 [CS]		02/4/2019	S	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: DIRECTV HLDGS LLC / DIRECTV SENIOR NOTE CALLABLE M/ 4.45% 04/01/2024					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ DISCOVERY COMMUNICATIONS LLC SENIOR NOTE M/W 5.05% 06/01/2020 [CS]		02/4/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: DISCOVERY COMMUNICATIONS LLC SENIOR NOTE M/W 5.05% 06/01/2020					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ENTERGY MISSISSIPPI LLC FIRST MORTGAGE CALLABLE M/W 3.1% 07/01/2023 [CS]		02/4/2019	S	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: ENTERGY MISSISSIPPI LLC FIRST MORTGAGE CALLABLE M/W 3.1% 07/01/2023					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ FEDERAL HOME LN MTG CORP MTN CLBL STEPPED 1%-2.5% 1% 08/25/2021 [GS]		02/4/2019	S	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: FEDERAL HOME LN MTG CORP MTN CLBL STEPPED 1%-2.5% 1% 08/25/2021					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ GENERAL MTRS FINL CO INC SENIOR NOTE M/W 2.35% 10/04/2019 [CS]		02/4/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: GENERAL MTRS FINL CO INC SENIOR NOTE M/W 2.35% 10/04/2019					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ GOLDMAN SACHS BK USA NY CD 3% 06/07/2021 [CS]		02/4/2019	S	\$100,001 - \$250,000	<input type="checkbox"/>
DESCRIPTION: GOLDMAN SACHS BK USA NY CD 3% 06/07/2021					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ GOLDMAN SACHS SENIOR MED TERM NOTE CLBL M/W 3.85% 07/08/2024 [CS]		02/4/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: GOLDMAN SACHS SENIOR MED TERM NOTE CLBL M/W 3.85% 07/08/2024					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ HEWLETT PACKARD CO SENIOR NOTE M/W 3.75% 12/01/2020 [CS]		02/4/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: HEWLETT PACKARD CO SENIOR NOTE M/W 3.75% 12/01/2020					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES CORE S&P MID-CAP ET (IJH) [EF]		01/11/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES CORE S&P MID-CAP ET (IJH) [EF]		06/6/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES CORE S&P MID-CAP ET (IJH) [EF]		07/26/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES CORE S&P MID-CAP ET (IJH) [EF]		07/26/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES CORE S&P MID-CAP ET (IJH) [EF]		12/24/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES GOLD TRUST ISHARES (IAU) [EF]		12/24/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES GOLD TRUST ISHARES (IAU) [EF]		01/3/2019	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES RUSSELL 1000 (IWB) [EF]		07/26/2019	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES RUSSELL 1000 (IWB) [EF]		12/24/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES SHORT-TERM CORPORAT (IGSB) [EF]		06/6/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES SHORT-TERM CORPORAT (IGSB) [EF]		12/24/2019	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES TRUST 1 3 YR TREAS BD (SHY) [EF]		02/5/2019	P	\$250,001 - \$500,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES TRUST INTRM TR CRP (IGIB) [EF]		06/6/2019	P	\$15,001 - \$50,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES TRUST INTRM TR CRP (IGIB) [EF]		02/5/2019	P	\$500,001 - \$1,000,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒		02/5/2019	P	\$50,001 - \$100,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
ISHARES TRUST MBS (MBB) [EF]					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ ISHARES TRUST RUS 1000 (IWB) [EF]		02/19/2019	P	\$100,001 - \$250,000	
ISHARES TRUST SH TR CRPORT (IGSB) [EF]					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ JOHNSON & JOHNSON SENIOR NOTE 6.95% 09/01/2029 [CS]		02/5/2019	P	\$500,001 - \$1,000,000	
DESCRIPTION: JOHNSON & JOHNSON SENIOR NOTE 6.95% 09/01/2029					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ JPMORGAN CHASE & CO. SENIOR MEDIUM TERM NOTE 4.25% 10/15/2020 [CS]		02/4/2019	S	\$100,001 - \$250,000	<input type="checkbox"/>
DESCRIPTION: JPMORGAN CHASE & CO. SENIOR MEDIUM TERM NOTE 4.25% 10/15/2020					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ JPMORGAN CHASE & CO. SENIOR NOTE 6.3% 04/23/2019 [CS]		01/14/2019	S	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: JPMORGAN CHASE & CO. SENIOR NOTE 6.3% 04/23/2019					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ KINDER MORGAN ENERGY PARTNERS SENIOR NOTE M/W 2.65% 02/01/2019 [CS]		01/14/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: KINDER MORGAN ENERGY PARTNERS SENIOR NOTE M/W 2.65% 02/01/2019					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ LENNOX INTERNATIONAL INC SENIOR NOTE CALLABLE M/W 3% 11/15/2023 [CS]		02/4/2019	S	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: LENNOX INTERNATIONAL INC SENIOR NOTE CALLABLE M/W 3% 11/15/2023					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ LYB INTL FINANCE BV SENIOR NOTE M/W 4% 07/15/2023 [CS]		02/4/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: LYB INTL FINANCE BV SENIOR NOTE M/W 4% 07/15/2023					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015		01/14/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LISA BLUNT ROCHESTER TR ⇒ MARATHON OIL CORPORATION SENIOR NOTE CALLABLE M/W 3.85% 06/01/2025 [CS]					
DESCRIPTION: MARATHON OIL CORPORATION SENIOR NOTE CALLABLE M/W 3.85% 06/01/2025					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ MORGAN ST DEAN WITTER SENIOR MEDIUM TERM NOTE M/W 3.875% 04/29/2024 [CS]		02/4/2019	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
DESCRIPTION: MORGAN ST DEAN WITTER SENIOR MEDIUM TERM NOTE M/W 3.875% 04/29/2024					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ MORGAN STANLEY SENIOR MEDIUM TERM NOTE M/W 5.5% 07/28/2021 [CS]		02/4/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: MORGAN STANLEY SENIOR MEDIUM TERM NOTE M/W 5.5% 07/28/2021					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ MOSAIC CO SENIOR NOTE CALLABLE M/W 3.75% 11/15/2021 [CS]		01/14/2019	S	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: MOSAIC CO SENIOR NOTE CALLABLE M/W 3.75% 11/15/2021					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ NEW YORK ST DORM AUTH ST PERS REV BDS CALLABLE 5% 03/15/2033 [GS]		02/4/2019	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
DESCRIPTION: NEW YORK ST DORM AUTH ST PERS REV BDS CALLABLE 5% 03/15/2033					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ NEWMONT MINING CORP SENIOR NOTE M/W 5.125% [CS]		02/4/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: NEWMONT MINING CORP SENIOR NOTE M/W 5.125%					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ PENNSYLVANIA STATE GO BDS CALLABLE 5% 10/15/2029 [GS]		02/4/2019	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
DESCRIPTION: PENNSYLVANIA STATE GO BDS CALLABLE 5% 10/15/2029					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ PRIVATE EXPORT FUNDING NOTE 2.3% 09/15/2020 [CS]		02/4/2019	S	\$100,001 - \$250,000	<input type="checkbox"/>
DESCRIPTION: PRIVATE EXPORT FUNDING NOTE 2.3% 09/15/2020					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015		02/4/2019	S	\$50,001 -	<input type="checkbox"/>

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
LISA BLUNT ROCHESTER TR ⇒ PSEG POWER SENIOR NOTE M/W 5.125% 04/15/2020 [CS]			\$100,000	
DESCRIPTION: PSEG POWER SENIOR NOTE M/W 5.125% 04/15/2020				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ SPDR DOUBLELINE TOTAL RETUR (TOTL) [EF]	06/6/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ SPDR S&P 500 ETF TR (SPY) [EF]	07/26/2019	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ SSGA ACTIVE ETF TR SPDR TR (TOTL) [EF]	02/5/2019	P	\$100,001 - \$250,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ STARBUCKS CORP SENIOR NOTE CALLABLE M/W 3.8% 08/15/2025 [CS]	02/4/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: STARBUCKS CORP SENIOR NOTE CALLABLE M/W 3.8% 08/15/2025				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ TD AMERITRADE HOLDING CORP SENIOR NOTE CALLABLE M/ 3.625% [CS]	02/4/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: TD AMERITRADE HOLDING CORP SENIOR NOTE CALLABLE M/ 3.625%				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ THE HARTFORD FIN SERVICES GRP SENIOR NOTE M/ 5.125% 04/15/2022 [CS]	02/4/2019	S	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: THE HARTFORD FIN SERVICES GRP SENIOR NOTE M/ 5.125% 04/15/2022				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ THERMO FISHER SCIENTIFIC INC SENIOR NOTE CALLABLE M 3.65% 12/15/2025 [CS]	02/4/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: THERMO FISHER SCIENTIFIC INC SENIOR NOTE CALLABLE M 3.65% 12/15/2025				
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ VANGUARD FTSE DEVELOPED MKT (VEA) [EF]	01/11/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ VANGUARD FTSE DEVELOPED MKT (VEA) [EF]	06/6/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ VANGUARD FTSE DEVELOPED MKT (VEA) [EF]		07/26/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ VANGUARD FTSE DEVELOPED MKT (VEA) [EF]		12/24/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ VANGUARD FTSE EMERGING MARK (VWO) [EF]		01/11/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ VANGUARD FTSE EMERGING MARK (VWO) [EF]		07/26/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ VANGUARD FTSE EMERGING MARK (VWO) [EF]		12/24/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ VERIZON COMMUNICATIONS SENIOR NOTE CALLABLE M 4.15% 03/15/2024 [CS]		02/4/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: VERIZON COMMUNICATIONS SENIOR NOTE CALLABLE M 4.15% 03/15/2024					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ YORK CNTY PA GO BDS CALLABLE 4.75% 03/01/2036 [GS]		02/4/2019	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
DESCRIPTION: YORK CNTY PA GO BDS CALLABLE 4.75% 03/01/2036					
LISA BLUNT ROCHESTER ROTH IRA ⇒ iShares Cohen & Steers REIT ETF (ICF) [EF]		03/8/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF]		03/8/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ iShares Core U.S. Aggregate Bond ETF (AGG) [EF]		03/8/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ ISHARES INC MIN VOL GBL ETF (ACWV) [EF]		03/8/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒		03/8/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
iShares MSCI USA Minimum Volatility ETF (USMV) [EF]					
LISA BLUNT ROCHESTER ROTH IRA ⇒ ISHARES TRUST 1 3 YR TREAS BD (SHY) [EF]		03/8/2019	P	\$1,001 - \$15,000	
LISA BLUNT ROCHESTER ROTH IRA ⇒ ISHARES TRUST INTRM TR CRP (IGIB) [EF]		04/26/2019	P	\$1,001 - \$15,000	
LISA BLUNT ROCHESTER ROTH IRA ⇒ ISHARES TRUST MBS ETF (MBB) [EF]		03/8/2019	P	\$1,001 - \$15,000	
LISA BLUNT ROCHESTER ROTH IRA ⇒ ISHARES TRUST RUS 1000 (IWB) [EF]		03/8/2019	P	\$1,001 - \$15,000	
LISA BLUNT ROCHESTER ROTH IRA ⇒ ISHARES TRUST SH TR CRPORT ETF (IGSB) [EF]		03/8/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ SPDR S&P500 ETF TRUST (SPY) [EF]		03/8/2019	P	\$1,001 - \$15,000	
LISA BLUNT ROCHESTER ROTH IRA ⇒ VANGUARD FTSE DEV MKT (VEA) [EF]		03/8/2019	P	\$1,001 - \$15,000	
LISA BLUNT ROCHESTER ROTH IRA ⇒ VANGUARD FTSE EMR MKT (VWO) [EF]		03/8/2019	P	\$1,001 - \$15,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Fulton Bank	July 2017	Mortgage on Primary Residence.	\$250,001 - \$500,000

## SCHEDULE E: POSITIONS

<b>Position</b>	<b>Name of Organization</b>
Committee Member	National Advisory Council for Aspen Institute's Future of Work

## SCHEDULE F: AGREEMENTS

<b>Date</b>	<b>Parties To</b>	<b>Terms of Agreement</b>
January 2018	Schneider Electric	An agreement between the widow spouse (Lisa) and Schneider Electric for a spousal death benefit from Lisa's late husband's retirement benefit plan who was an employee of Schneider Electric. Lisa was entitled to begin this monthly payout in 2018.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

<b>Source</b>	<b>Trip Details</b>				<b>Inclusions</b>		
	<b>Start Date</b>	<b>End Date</b>	<b>Itinerary</b>	<b>Days at Own Exp.</b>	<b>Lodging?</b>	<b>Food?</b>	<b>Family?</b>
BIPARTISAN POLICY CENTER	05/28/2019	05/30/2019	PHILADELPHIA - CHICAGO - BLOOMINGTON - CHAMPAIGN, DECATUR, SPRINGFIELD, EDWARDSVILLE - PHILADELPHIA	1	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
DEPARTMENT OF DEFENSE, DEPARTMENT OF STATE, ARMY	02/15/2019	02/19/2019	PHILADELPHIA - HONDURAS - EL SALVADOR - GUATEMALA - DULLES	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
THE ASPEN INSTITUTE INC. (CONGRESSIONAL PROGRAM)	10/12/2019	10/15/2019	WASHINGTON DC - ASPEN - WASHINGTON DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
GERMAN MARSHALL FUND, SOFTWARE.ORG	11/3/2019	11/8/2019	PHILADELPHIA - BERLIN - BRUSSELS - BALTIMORE	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- LISA BLUNT ROCHESTER IRA
- LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR
- LISA BLUNT ROCHESTER ROTH IRA
- ND LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Lisa Blunt Rochester , 08/4/2020