



Filing ID #10055990

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Sean Casten
Status: Member
State/District: IL06

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2022
Filing Date: 01/16/2024

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Casten Shared Investments ⇒ Charles Schwab - Cash [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Casten Shared Investments ⇒ DFA Emerging Markets Small Cap Portfolio Institutional Class (DEMSX) [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Casten Shared Investments ⇒ DFA Global Real Estate Securities Portfolio (DFGEX) [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Casten Shared Investments ⇒ DFA International Core Equity Portfolio Institutional Class (DFIEX) [MF]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Casten Shared Investments ⇒ DFA International Small Cap Value Portfolio Institutional Class (DISVX) [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Casten Shared Investments ⇒ DFA International Small Company Portfolio Institutional Class (DFISX) [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Casten Shared Investments ⇒ DFA U.S. Small Cap Portfolio Institutional Class (DFSTX)		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
[MF]					
Casten Shared Investments ⇒ DFA U.S. Targeted Value Portfolio Institutional Class (DFFVX) [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Casten Shared Investments ⇒ Global Green Energy, LLC [OL]		\$1,001 - \$15,000	None		<input type="checkbox"/>
LOCATION: Edina, MN, US DESCRIPTION: Waste recycling and green energy company. COMMENTS: Formerly named "GOE Capital Partners LLC"					
Casten Shared Investments ⇒ Greenleaf Power LLC [OL]		\$250,001 - \$500,000	Partnership income	\$201 - \$1,000	<input type="checkbox"/>
LOCATION: Sacramento, CA, US DESCRIPTION: Bioenergy company					
Casten Shared Investments ⇒ Hartford Schroders US Small Cap Opportunities Fund Class I (SCUIX) [MF]		\$50,001 - \$100,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
Casten Shared Investments ⇒ Myno Carbon Corp [OL]		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
LOCATION: Bainbridge Island, WA, US DESCRIPTION: Carbon removal company					
Casten Shared Investments ⇒ Myno Carbon Corp convertible note [CS]		\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Convertible note; Carbon removal company, Bainbridge Island, WA.					
Casten Shared Investments ⇒ Rebel Industries RCP Fund XII, LP [HE]		\$50,001 - \$100,000	Partnership income	\$5,001 - \$15,000	<input type="checkbox"/>
Casten Shared Investments ⇒ Tweedy Browne International Value Fund (TBGVX) [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Casten Shared Investments ⇒ Vanguard Short-Term Investment-Grade Fund (VFSUX) [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Casten Shared Investments ⇒ Vanguard Total Stock Market Index Fund Admiral Shares (VTSAX) [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Division Street Capital DSC I Series 6 (4.5% interest) [OL]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LOCATION: Chicago, IL, US					
DESCRIPTION: Holds interest in multi-family residential property, Chicago, IL.					
Fidelity 401k ⇒ AB Small Cap Growth Z [MF]		\$1,001 - \$15,000		Tax-Deferred	<input type="checkbox"/>
Fidelity 401k ⇒ ABF SM Cap Val INV [MF]		\$1,001 - \$15,000		Tax-Deferred	<input type="checkbox"/>
Fidelity 401k ⇒ Allspring Special Mid Cap Value Fund [MF]		\$50,001 - \$100,000		Tax-Deferred	<input type="checkbox"/>
COMMENTS: Formerly called "Wells Fargo Special Mid Cap Value Fund"					
Fidelity 401k ⇒ American Funds EuroPacific Growth R6 [MF]		\$15,001 - \$50,000		Tax-Deferred	<input type="checkbox"/>
Fidelity 401k ⇒ FID Blue Chip GR [MF]		\$100,001 - \$250,000		Tax-Deferred	<input type="checkbox"/>
Fidelity 401k ⇒ FID Real Estate Invs [RE]		\$1,001 - \$15,000		Tax-Deferred	<input type="checkbox"/>
Fidelity 401k ⇒ Fidelity 500 Index Fund [MF]		\$100,001 - \$250,000		Tax-Deferred	<input type="checkbox"/>
Fidelity 401k ⇒ Fidelity Mid Cap Index Fund [MF]		\$50,001 - \$100,000		Tax-Deferred	<input type="checkbox"/>
Fidelity 401k ⇒ GS International Equity Insights Fund [MF]		\$1,001 - \$15,000		Tax-Deferred	<input type="checkbox"/>
Fidelity 401k ⇒ Invesco Divrs Divd R5 [MF]		\$15,001 - \$50,000		Tax-Deferred	<input type="checkbox"/>
Fidelity 401k ⇒ J H Enterprise A [MF]		\$50,001 - \$100,000		Tax-Deferred	<input type="checkbox"/>
Fidelity 401k ⇒ Vanguard Tot Int'l Stock AD [MF]		\$15,001 - \$50,000		Tax-Deferred	<input type="checkbox"/>
Kemper Corporation 401(k) (Wells Fargo) ⇒ Vanguard Target Retirement 2040 [MF]	SP	\$100,001 - \$250,000		Tax-Deferred	<input type="checkbox"/>
Personal loan to campaign [DO]		\$50,001 -		None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
\$100,000					
Schwab Acct ...3673 ⇒ American Funds The Growth Fund of America (GFAFX) [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Schwab Acct ...3673 ⇒ Cash in brokerage account [BA]	JT	\$100,001 - \$250,000	None		<input type="checkbox"/>
Schwab Acct ...3673 ⇒ Invesco FTSE RAFI US 1000 ETF (PRF) [EF]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Schwab Acct ...3673 ⇒ Schwab Fundamental International Small Company Index Fund (SFILX) [MF]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Schwab Acct ...3673 ⇒ Schwab Fundamental US Broad Market Index ETF (FNDB) [EF]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Schwab Acct ...373917 ⇒ Cash in checking acct [BA]	JT	\$100,001 - \$250,000	None		<input type="checkbox"/>
Sean Casten 12/28/95 Trust (Schwab Acct ...1477) ⇒ Aberdeen Global High Income (BJBHX) [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Sean Casten 12/28/95 Trust (Schwab Acct ...1477) ⇒ Cash in brokerage account [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Sean Casten 12/28/95 Trust (Schwab Acct ...1477) ⇒ Glenmede Small Cap Equity Portfolio Class Advisor (GTCSX) [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Sean Casten 12/28/95 Trust (Schwab Acct ...1477) ⇒ Invesco FTSE RAFI US 1000 ETF (PRF) [EF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Sean Casten 12/28/95 Trust (Schwab Acct ...1477) ⇒ Schwab Fundamental Emerging Markets Large Company Index Fund (SFENX) [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Sean Casten 12/28/95 Trust (Schwab Acct ...1477) ⇒ Schwab Fundamental International Small Company Index Fund (SFILX) [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Casten Shared Investments ⇒ Delaware Ivy International Core Equity Fund Class I (ICEIX) [MF]	10/25/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Casten Shared Investments ⇒ DFA Emerging Markets Small Cap Portfolio Institutional Class (DEMSX) [MF]	12/14/2022	P	\$1,001 - \$15,000	
Casten Shared Investments ⇒ DFA Global Real Estate Securities Portfolio (DFGEX) [MF]	12/14/2022	P	\$1,001 - \$15,000	
Casten Shared Investments ⇒ DFA International Core Equity Portfolio Institutional Class (DFIEX) [MF]	08/19/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Casten Shared Investments ⇒ DFA U.S. Small Cap Portfolio Institutional Class (DFSTX) [MF]	12/12/2022	P	\$1,001 - \$15,000	
Casten Shared Investments ⇒ DFA U.S. Targeted Value Portfolio Institutional Class (DFFVX) [MF]	12/14/2022	P	\$1,001 - \$15,000	
Casten Shared Investments ⇒ Myano Carbon Corp convertible note [CS] DESCRIPTION: Convertible note; Carbon removal company, Bainbridge Island, WA	03/21/2022	P	\$15,001 - \$50,000	
Casten Shared Investments ⇒ Myano Carbon Corp convertible note [CS] DESCRIPTION: Convertible note; Carbon removal company, Bainbridge Island, WA	05/16/2022	P	\$15,001 - \$50,000	
Casten Shared Investments ⇒ Myano Carbon Corp convertible note [CS] DESCRIPTION: Convertible note; Carbon removal company, Bainbridge Island, WA	09/27/2022	P	\$50,001 - \$100,000	
Casten Shared Investments ⇒ Tweedy Browne International Value Fund (TBGVX) [MF]	08/19/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Casten Shared Investments ⇒ Vanguard Total Stock Market Index Fund Admiral Shares (VTSAX) [MF]	01/4/2022	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Casten Shared Investments ⇒ Vanguard Total Stock Market Index Fund Admiral Shares (VTSAX) [MF]		02/25/2022	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Casten Shared Investments ⇒ Vanguard Total Stock Market Index Fund Admiral Shares (VTSAX) [MF]		12/21/2022	P	\$1,001 - \$15,000	
Casten Shared Investments ⇒ Vanguard Total Stock Market Index Fund Admiral Shares (VTSAX) [MF]		12/20/2022	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Schwab Acct ...3673 ⇒ American Funds The Growth Fund of America (GFAFX) [MF]	JT	12/16/2022	P	\$1,001 - \$15,000	
Schwab Acct ...3673 ⇒ Schwab Fundamental International Small Company Index Fund (SFILX) [MF]	JT	12/16/2022	P	\$1,001 - \$15,000	
Sean Casten 12/28/95 Trust (Schwab Acct ...1477) ⇒ Glenmede Small Cap Equity Portfolio Class Advisor (GTCSX) [MF]		12/15/2022	P	\$1,001 - \$15,000	
Sean Casten 12/28/95 Trust (Schwab Acct ...1477) ⇒ Schwab Fundamental International Small Company Index Fund (SFILX) [MF]		12/15/2022	P	\$1,001 - \$15,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Merastar Insurance Company	Spouse salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Wells Fargo Home Mortgage	June 2007	Mortgage on personal residence, Downers Grove, IL	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Casten Shared Investments
LOCATION: US
DESCRIPTION: Family LLC investment vehicle. Values shown reflect filer's share. Casten Family LLC, identified on prior reports, was subsumed into CSI at the end of 2017.
- Fidelity 401k
DESCRIPTION: Sean Casten 401K
- Kemper Corporation 401(k) (Wells Fargo) (Owner: SP)
- Schwab Acct ...3673 (100% Interest) (Owner: JT)
LOCATION: US
- Schwab Acct ...373917 (100% Interest) (Owner: JT)
LOCATION: US
- Sean Casten 12/28/95 Trust (Schwab Acct ...1477) (100% Interest)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Sean Casten , 01/16/2024