



Filing ID #10055661

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Andrew Garbarino  
**Status:** Member  
**State/District:** NY02

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2022  
**Filing Date:** 08/13/2023

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Andrew Roth IRA ⇒ ADNCX American Beacon [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Andrew Roth IRA ⇒ AGTHX Growth Fund of America [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Andrew Roth IRA ⇒ AT&T Inc. (T) [ST]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Andrew Roth IRA ⇒ Intel Corporation (INTC) [ST]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Andrew Roth IRA ⇒ MDU Resources Group, Inc. Common Stock (MDU) [ST]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Andrew Roth IRA ⇒ Roper Technologies, Inc. (ROP) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Andrew Roth IRA ⇒ Umpqua Holdings Corporation (UMPQ) [ST]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Andrew Roth IRA ⇒ VMCCX Virtus Kar Mid Cap Core [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Andrew Roth IRA ⇒ Zoetis Inc. Class A (ZTS) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Bristol-Myers Squibb Company (BMY) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Capital One Bank Account [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
MetLife Whole Life Insurance [WU]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
New York State and Local Retirement System [PE]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: New York State passed legislation in 2022 changing the vesting requirements from 10 years to 5 years for Tier 6 members. I only had 7+ years of service so I had not vested for a pension until the law was changed. I am now vested and the pension has a value.					
NYS Deferred Compensation Plan 457(b) ⇒ T Rowe Price Retirement Date 2040 Trust [OT]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: NYS Deferred Compensation Plan, 457(b). No new contributions, just deferred growth					
NYS Deferred Compensation Plan 457(b) ⇒ T Rowe Price Retirement Date 2045 Trust [OT]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: NYS Deferred Compensation Plan, 457(b). No new contributions, just deferred growth					
NYS Deferred Compensation Plan 457(b) ⇒ T Rowe Price Retirement Date 2050 Trust [OT]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: NYS Deferred Compensation Plan, 457(b). No new contributions, just deferred growth					
PennMutual Whole Life [WU]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Stock Account ⇒ Gap, Inc. (GPS) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Stock Account ⇒ Tellurian Inc. (TELL) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
TD Bank Account [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

None disclosed.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Aidvantage	September 2006 - May 2009	Law School Student Loans	\$50,001 - \$100,000
	Ridgewood Savings Bank	May 2021	Home Mortgage Refinance	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2018	Andrew Garbarino and New York State	I have a 457(b) plan that is with New York State Deferred Compensation Office, This plan was funded with voluntary contributions from my pay when I was a member of the New York State Assembly. The balance of the account will sit in the 457(b) account until I withdraw it.
April 2022	Andrew Garbarino and New York State and Local Retirement System	New York State passed legislation in 2022 changing the vesting requirements from 10 years to 5 years for Tier 6 members. I only had 7+ years of service so I had not vested for a pension until the law was changed. I am now vested and the pension has a value. No New contributions are being made at this time as employment with the State has ceased, just deferred growth.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Climate Solutions Foundation	11/9/2022	08/13/2023	NYC - Sharm el sheikh - NYC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- Andrew Roth IRA
- NYS Deferred Compensation Plan 457(b)  
DESCRIPTION: NYS Deferred Compensation Plan, 457(b). No new contributions, just deferred growth.
- Stock Account  
LOCATION: US

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Andrew Garbarino , 08/13/2023