



Filing ID #10062950

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. James E Hon Banks  
**Status:** Member  
**State/District:** IN03

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2017  
**Filing Date:** 09/25/2024

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Grace Schools IRA - Money Market [IH]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
INDIANA 529 ⇒ College Choice 529 (Age-Based Option: Aggressive Growth Portfolio) [5P] LOCATION: IN DESCRIPTION: DC3		None	Tax-Deferred		<input checked="" type="checkbox"/>
INDIANA 529 ⇒ College Choice 529 (Age-Based Option: Growth Portfolio) [5P] LOCATION: IN DESCRIPTION: DC3		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
INDIANA 529 ⇒ College Choice 529 (Age-Based Option: Growth Portfolio) [5P] LOCATION: IN DESCRIPTION: DC2		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
INDIANA 529 ⇒ College Choice 529 (Age-Based Option: Growth Portfolio) [5P] LOCATION: IN DESCRIPTION: DC1		None	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
INDIANA 529 ⇒ College Choice 529 (Age-Based Option: Moderate Growth Portfolio) [5P]  LOCATION: IN DESCRIPTION: DC1		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Indiana Deferred Compensation Account [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
INPRS Legislators' Defined Contribution Fund [MF]  DESCRIPTION: 2045 Retirement Fund		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
INPRS Legislators' Defined Contribution Plan [MF]  DESCRIPTION: 2045 Retirement Fund	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA ⇒ AMG Yachtman Focused Fund Service Class [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA ⇒ Income Fund of America Class A [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA ⇒ PGR Fund [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA ⇒ T Rowe Price New Horizons [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA ⇒ Washington Mutual Investors Fund Class A [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Marathon Oil Corporation (MRO) [ST]	JT	\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
ReliaStar Life Insurance [WU]	SP	\$100,001 - \$250,000	None		<input type="checkbox"/>
Roth IRA ⇒ Capital World Growth & Income Fund Class A [MF]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Roth IRA ⇒ Investment Company of America Class A [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Roth IRA ⇒ New World Class A [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Schwab IRA ⇒ Amazon.com, Inc. (AMZN) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Schwab IRA ⇒ Ishares China Large Cap [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Schwab IRA ⇒ Options Xpress IRA Money Market [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
State of Indiana Public Employee Deferred Compensation Plan [MF] DESCRIPTION: Indiana 2040 Fund	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Traditional IRA - Money Market [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

\* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
INDIANA 529 ⇒ College Choice 529 (Age-Based Option: Aggressive Growth Portfolio) [5P] LOCATION: IN DESCRIPTION: DC3		06/5/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
INDIANA 529 ⇒ College Choice 529 (Age-Based Option: Growth Portfolio) [5P] LOCATION: IN DESCRIPTION: DC1		10/4/2017	S	\$1,001 - \$15,000	<input type="checkbox"/>
INDIANA 529 ⇒ College Choice 529 (Age-Based Option: Growth Portfolio) [5P] LOCATION: IN DESCRIPTION: DC3		06/5/2017	P	\$1,001 - \$15,000	
INDIANA 529 ⇒ College Choice 529 (Age-Based Option: Moderate Growth Portfolio) [5P] LOCATION: IN DESCRIPTION: DC1		10/4/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Schwab IRA ⇒ Amazon.com, Inc. (AMZN) [ST]		06/26/2017	P	\$15,001 - \$50,000	
Schwab IRA ⇒ iShares China Large Cap [MF]		09/5/2017	P	\$1,001 - \$15,000	

\* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Grace Schools	Spouse Salary	N/A
Grace Schools	Consulting	N/A

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	First Federal Savings Bank, Huntington, IN	12/2016	Mortgage on personal residence	\$100,001 - \$250,000
JT	Freedom Mortgage, Dallas, TX	08/2017	Mortgage on personal residence	\$500,001 - \$1,000,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
November 2017	INPRS - Indiana Public Retirement System	Continued participation in retirement account

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
The Heritage Foundation	02/8/2017	02/10/2017	DC - New York City - DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
American Israel Education Foundation	08/6/2017	08/14/2017	Indianapolis, IN - Tel Aviv - Jerusalem - Tiberia - Indianapolis, IN	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B INVESTMENT VEHICLE DETAILS

- INDIANA 529  
LOCATION: IN
- IRA (Owner: SP)
- Roth IRA (Owner: SP)
- Schwab IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. James E Hon Banks , 09/25/2024