



Filing ID #10047631

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Joe Courtney  
**Status:** Member  
**State/District:** CT02

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2021  
**Filing Date:** 05/13/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Commonwealth Annuity and Life Insurance Co. "Exceptional Life Policy" [WU]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Inherited Brokerage Account ⇒ Blackrock Advantage Large Cap - MALRX [MF]	SP	None	Dividends	None	<input checked="" type="checkbox"/>
Inherited Brokerage Account ⇒ Blackrock Emerging Markets Fund - MADCX [MF]	SP	\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
Inherited Brokerage Account ⇒ Blackrock High Yield Municipal Fund - MAYHX [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Inherited Brokerage Account ⇒ Blackrock International Fund [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Inherited Brokerage Account ⇒ Blackrock National Municipal Fund - MANLX [MF]	SP	\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
Inherited Brokerage Account ⇒ Blackrock Technologies Opportunity Fund - BGSIX [MF]	SP	\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
Inherited Brokerage Account ⇒ Hartford Core Equity Fund - HGIIX [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Inherited Brokerage Account ⇒ iShares 7-10 Year Treasury Bond - IEF [MF]	SP	\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
Inherited Brokerage Account ⇒ iShares Core S&P 500 - IVV [MF]	SP	\$15,001 - \$50,000	Dividends	None	<input type="checkbox"/>
Inherited Brokerage Account ⇒ iShares Core S&P Small Cap - IJR [MF]	SP	\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
Inherited Brokerage Account ⇒ iShares Edge MSCI - USMV [MF]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Inherited Brokerage Account ⇒ iShares Edge MSCI USA Value - VLUE [MF]	SP	\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
Inherited Brokerage Account ⇒ iShares TR Core MSCI - IEFA [MF]	SP	None	Dividends	None	<input checked="" type="checkbox"/>
Inherited Brokerage Account ⇒ iShares TR MSCI EAFE [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Inherited Brokerage Account ⇒ iShares Trust ISHRS - ESGU [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Inherited Brokerage Account ⇒ iShares US ETF TR (COMT) [MF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Inherited Brokerage Account ⇒ iShares US Medical Devices - IHI [MF]	SP	\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
Inherited Brokerage Account ⇒ Pimco Municipal Bond Fund - PMUPX [MF]	SP	\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
Inherited Brokerage Account ⇒ Sweep Account [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Inherited Brokerage Account ⇒ Wisdomtree Emerging Markets - XSOE [MF]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Inherited IRA ⇒ Clearbridge Large Cap Growth [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Inherited IRA ⇒	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Clearbridge Large Cap Value [MF]					
Inherited IRA ⇒ Deposit Sweep Account [BA]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Inherited IRA ⇒ Franklin Growth Fund Advantage [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Inherited IRA ⇒ Franklin International Growth Advisor Class [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Inherited IRA ⇒ Franklin Liberty IG Corp [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Inherited IRA ⇒ Franklin Liberty US Core [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Inherited IRA ⇒ Franklin Small Cap Growth Fund [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Inherited IRA ⇒ Franklin Small Cap Value Fund [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Inherited IRA ⇒ Franklin Templeton ETF [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Inherited IRA ⇒ Goldman Sachs International Equity Insights [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Inherited IRA ⇒ iShares Edge MSCI [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Inherited IRA ⇒ iShares US Treasury Bond [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Inherited IRA ⇒ JP Morgan Mortgage Backed Securities [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Inherited IRA ⇒ Martin Currie Emerging Markets [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Inherited IRA ⇒ Templeton Developing Markets Trust [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Inherited IRA ⇒ Templeton Foreign Fund [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Inherited IRA ⇒ Vanguard 500 Index Fund [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Inherited IRA ⇒ Vanguard FTSE Developed Markets [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Inherited IRA ⇒ Vanguard FTSE Emerging Markets ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Inherited IRA ⇒ Western Asset Core Plus [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA ⇒ Brown Advantage Sustainable [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA ⇒ Calvert Equity Fund (CEYIX) [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
IRA ⇒ Calvert Small Cap [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA ⇒ Calvert US Large Cap Value (CFJIX) [EF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA ⇒ CCM Community Impact Fund (CRANX) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA ⇒ Delaware Corporate Bond Fund (DGCIX) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA ⇒ ISH USD High Yield [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA ⇒ iShares Inc iShares ESG (ESGE) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA ⇒ iShares Trust ISHRS [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA ⇒ iShares US Treasury Bond (GOVT) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
IRA ⇒ Merrill Cash/Money Accounts [BA]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA ⇒ Nuveen ESG Large Cap Growth [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA ⇒ Nuveen ESG Large Cap Value [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA ⇒ SPDR Bloomberg Barclays [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA ⇒ TIAA CREF Social Choice Core Impact [EF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA ⇒ Vanguard Total International Equity Fund (BNDX) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Manulife Financial Corporation (MFC) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Mass Mutual Whole Life Policy [WU]		\$15,001 - \$50,000	None		<input type="checkbox"/>
People's United Financial, Inc. (PBCT) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Prudential Annuities (Inherited) [WU]	SP	Undetermined	Annuity Distribution	\$1,001 - \$2,500	<input type="checkbox"/>
Rollover IRA ⇒ Brown Advantage Sustainable [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Rollover IRA ⇒ Calvert Equity Fund [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Rollover IRA ⇒ Calvert Small Cap [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Rollover IRA ⇒ Calvert US Large Cap Value [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Rollover IRA ⇒	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
CCM Community Impact Fund (CRANX) [MF]					<input type="checkbox"/>
Rollover IRA ⇒ Delaware Corporate Bond [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ ISH USD High Yield [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Rollover IRA ⇒ iShares Inc iShares ESG [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Rollover IRA ⇒ iShares Trust ISHRS [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Rollover IRA ⇒ iShares US Treasury Bond [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Rollover IRA ⇒ Nuveen ESG Large Cap Growth [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Rollover IRA ⇒ Nuveen ESG Large Cap Value [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Rollover IRA ⇒ SPDR Bloomberg Barclays [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Rollover IRA ⇒ TIAA CREF Core Impact [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Rollover IRA ⇒ Vanguard Total International Bond [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Saint Francis Hospital and Medical Center Pension Plan [PE]		Undetermined	None		<input type="checkbox"/>
TR 403(b) ⇒ T. Rowe Price Retirement 2025 [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
United Bank Accounts [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Inherited Brokerage Account ⇒ Blackrock Advantage Large Cap - MALRX [MF]	SP	07/26/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited Brokerage Account ⇒ Blackrock Emerging Markets [MF]	SP	07/26/2021	P	\$1,001 - \$15,000	
Inherited Brokerage Account ⇒ Blackrock High Yield Municipal Fund [MF]	SP	07/26/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Inherited Brokerage Account ⇒ Blackrock International Fund [MF]	SP	07/26/2021	P	\$1,001 - \$15,000	
Inherited Brokerage Account ⇒ Blackrock National Municipal Fund [MF]	SP	07/26/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited Brokerage Account ⇒ Hartford Core Equity [MF]	SP	07/26/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Inherited Brokerage Account ⇒ iShares 7-10 Year [MF]	SP	07/26/2021	P	\$1,001 - \$15,000	
Inherited Brokerage Account ⇒ iShares Core S&P Small Cap [MF]	SP	07/26/2021	P	\$1,001 - \$15,000	
Inherited Brokerage Account ⇒ iShares Edge MSCI [MF]	SP	07/26/2021	P	\$1,001 - \$15,000	
Inherited Brokerage Account ⇒ iShares Edge MSCI [MF]	SP	07/26/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Inherited Brokerage Account ⇒ iShares TR Core MSCI - IEFA [MF]	SP	07/26/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited Brokerage Account ⇒ iShares TR MSCI EAFE [MF]	SP	07/26/2021	P	\$1,001 - \$15,000	
Inherited Brokerage Account ⇒ iShares US ETF TR (COMT) [MF]	SP	07/26/2021	P	\$1,001 - \$15,000	
Inherited Brokerage Account ⇒	SP	07/26/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Wisdomtree Emerging Markets [MF]					
Inherited IRA ⇒ Clearbridge Large Cap Growth [MF]	SP	06/2/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ Clearbridge Large Cap Growth [MF]	SP	02/1/2021	P	\$1,001 - \$15,000	
Inherited IRA ⇒ Clearbridge Large Cap Growth [MF]	SP	02/2/2021	P	\$1,001 - \$15,000	
Inherited IRA ⇒ Clearbridge Large Cap Value [MF]	SP	10/2/2021	P	\$1,001 - \$15,000	
Inherited IRA ⇒ Clearbridge Large Cap Value [MF]	SP	10/4/2021	P	\$1,001 - \$15,000	
Inherited IRA ⇒ Franklin Growth Advantage Fund [MF]	SP	02/1/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ Franklin International Growth Fund [MF]	SP	10/4/2021	P	\$1,001 - \$15,000	
Inherited IRA ⇒ Franklin International Growth Fund [MF]	SP	04/1/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ Franklin Liberty IG Corp [MF]	SP	02/1/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ Franklin Small Cap Growth [MF]	SP	06/2/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ Franklin Templeton Fund [MF]	SP	10/4/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ Franklin Templeton Fund [MF]	SP	08/3/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ Franklin Templeton Fund [MF]	SP	06/2/2021	P	\$1,001 - \$15,000	
Inherited IRA ⇒ Franklin Templeton Fund [MF]	SP	03/2/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Inherited IRA ⇒ Franklin Templeton Fund [MF]	SP	02/1/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ Goldman Sachs International Equity [MF]	SP	04/1/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ iShares Edge MSCI [MF]	SP	10/4/2021	P	\$1,001 - \$15,000	
Inherited IRA ⇒ iShares TR MSCI EAFE [MF]	SP	12/2/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ iShares TR MSCI EAFE [MF]	SP	06/2/2021	P	\$1,001 - \$15,000	
Inherited IRA ⇒ iShares US Treasury Bond [MF]	SP	02/1/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ Martin Currie Emerging Markets [MF]	SP	06/2/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ Martin Currie Emerging Markets [MF]	SP	02/1/2021	P	\$1,001 - \$15,000	
Inherited IRA ⇒ SPDR Portfolio S&P 500 [MF]	SP	10/1/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ SPDR Portfolio S&P 500 [MF]	SP	08/2/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ SPDR Portfolio S&P 500 [MF]	SP	06/2/2021	P	\$1,001 - \$15,000	
Inherited IRA ⇒ SPDR Portfolio S&P 500 [MF]	SP	04/5/2021	P	\$1,001 - \$15,000	
Inherited IRA ⇒ SPDR Portfolio S&P 500 [MF]	SP	02/1/2021	P	\$1,001 - \$15,000	
Inherited IRA ⇒ Templeton Developing Markets [MF]	SP	02/1/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Inherited IRA ⇒ Templeton Foreign Fund [MF]	SP	10/1/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ Templeton Foreign Fund [MF]	SP	04/1/2021	P	\$1,001 - \$15,000	
Inherited IRA ⇒ Vanguard 500 Index Fund [MF]	SP	08/3/2021	P	\$1,001 - \$15,000	
Inherited IRA ⇒ Vanguard 500 Index Fund [MF]	SP	06/2/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ Vanguard 500 Index Fund [MF]	SP	04/1/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ Vanguard 500 Index Fund [MF]	SP	02/1/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ Vanguard FTSE Developed Markets [MF]	SP	12/2/2021	P	\$1,001 - \$15,000	
Inherited IRA ⇒ Vanguard FTSE Developed Markets [MF]	SP	06/2/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA ⇒ Western Asset Core Plus [MF]	SP	02/1/2021	P	\$1,001 - \$15,000	
IRA ⇒ Brown Advantage Sustainable [MF]		11/19/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA ⇒ Brown Advantage Sustainable [MF]		09/14/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA ⇒ Brown Advantage Sustainable [MF]		01/15/2021	P	\$1,001 - \$15,000	
IRA ⇒ Calvert Equity Fund [MF]		01/15/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
IRA ⇒ Calvert Small Cap [MF]		11/19/2021	P	\$1,001 - \$15,000	
IRA ⇒		01/15/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Calvert Small Cap [MF]					
IRA ⇒ Calvert US Large Cap Value [MF]		11/19/2021	P	\$1,001 - \$15,000	
IRA ⇒ Calvert US Large Cap Value [MF]		09/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA ⇒ Calvert US Large Cap Value [MF]		01/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA ⇒ CCM Community Impact Fund (CRANX) [MF]		09/14/2021	P	\$1,001 - \$15,000	
IRA ⇒ CRA Qualified Investment Fund [MF]		01/14/2021	P	\$1,001 - \$15,000	
IRA ⇒ Delaware Corporate Bond Fund [MF]		11/18/2021	P	\$1,001 - \$15,000	
IRA ⇒ Delaware Corporate Bond Fund [MF]		09/14/2021	P	\$1,001 - \$15,000	
IRA ⇒ Delaware Corporate Bond Fund [MF]		01/14/2021	P	\$1,001 - \$15,000	
IRA ⇒ iShares Inc iShares ESG [MF]		11/19/2021	P	\$1,001 - \$15,000	
IRA ⇒ iShares Inc iShares ESG [MF]		09/15/2021	P	\$1,001 - \$15,000	
IRA ⇒ iShares Inc iShares ESG [MF]		01/15/2021	P	\$15,001 - \$50,000	
IRA ⇒ iShares Trust iShares [MF]		11/19/2021	P	\$1,001 - \$15,000	
IRA ⇒ iShares Trust iShares [MF]		01/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA ⇒ iShares US Treasury Bond [MF]		01/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA ⇒ Nuveen ESG Large Cap Growth [MF]		11/19/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA ⇒ Nuveen ESG Large Cap Growth [MF]		09/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA ⇒ Nuveen ESG Large Cap Growth [MF]		01/15/2021	P	\$15,001 - \$50,000	
IRA ⇒ Nuveen ESG Large Cap Value [MF]		11/19/2021	P	\$1,001 - \$15,000	
IRA ⇒ Nuveen ESG Large Cap Value [MF]		09/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA ⇒ Nuveen ESG Large Cap Value [MF]		01/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA ⇒ SPDR Bloomberg Barclays [MF]		09/15/2021	P	\$1,001 - \$15,000	
IRA ⇒ SPDR Bloomberg Barclays [MF]		01/15/2021	P	\$1,001 - \$15,000	
IRA ⇒ TIAA CREF Social Choice Core Impact [MF]		11/18/2021	P	\$1,001 - \$15,000	
IRA ⇒ TIAA CREF Social Choice Core Impact [MF]		09/15/2021	P	\$1,001 - \$15,000	
IRA ⇒ TIAA CREF Social Choice Core Impact [MF]		01/15/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Brown Advantage Sustainable [MF]	SP	11/18/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Brown Advantage Sustainable [MF]	SP	09/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Brown Advantage Sustainable [MF]	SP	01/15/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Rollover IRA ⇒ Calvert Equity Fund [MF]	SP	01/15/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Rollover IRA ⇒ Calvert Small Cap [MF]	SP	11/19/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Calvert Small Cap [MF]	SP	01/15/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ Calvert US Large Cap Value [MF]	SP	09/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Calvert US Large Cap Value [MF]	SP	01/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ iShares Inc iShares ESG [MF]	SP	09/15/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ iShares Inc iShares ESG [MF]	SP	01/15/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ iShares Trust iShares [MF]	SP	01/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ iShares US Treasury Bond [MF]	SP	01/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Nuveen ESG Large Cap Growth [MF]	SP	11/19/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Nuveen ESG Large Cap Growth [MF]	SP	09/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Nuveen ESG Large Cap Growth [MF]	SP	01/15/2021	P	\$15,001 - \$50,000	
Rollover IRA ⇒ Nuveen ESG Large Cap Value [MF]	SP	09/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒ Nuveen ESG Large Cap Value [MF]	SP	01/15/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover IRA ⇒	SP	09/15/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SPDR Bloomberg Barclays [MF]					
Rollover IRA ⇒ SPDR Bloomberg Barclays [MF]	SP	01/15/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ TIAA CREF Core Impact [MF]	SP	11/18/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ TIAA CREF Core Impact [MF]	SP	09/14/2021	P	\$1,001 - \$15,000	
Rollover IRA ⇒ TIAA CREF Core Impact [MF]	SP	01/15/2021	P	\$1,001 - \$15,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Connecticut Children's Medical Center	Spouse Salary	N/A

## SCHEDULE D: LIABILITIES

None disclosed.

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- Inherited Brokerage Account (Owner: SP)  
LOCATION: US  
DESCRIPTION: Account inherited in 2019
- Inherited IRA (Owner: SP)  
DESCRIPTION: IRA inherited in December 2018
- IRA
- Rollover IRA (Owner: SP)
- TR 403(b) (Owner: SP)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Joe Courtney , 05/13/2022