



Filing ID #10036777

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Mary Gay Scanlon  
**Status:** Member  
**State/District:** PA05

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2019  
**Filing Date:** 08/10/2020

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Annuity ⇒ Northwestern Mutual Annuity [FN]	JT	\$500,001 - \$1,000,000	None		<input type="checkbox"/>
Ballard 401K ⇒ Federated Treasury Obligations [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Ballard 401K ⇒ PIMCO Total Return [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Ballard 401K ⇒ PNC Stable Value [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Ballard 401K ⇒ Vanguard Institutional Index [MF]	SP	\$500,001 - \$1,000,000	Tax-Deferred		<input type="checkbox"/>
Ballard 401K ⇒ Vanguard Mid Cap Index Fd Inst. [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
Ballard 401K ⇒ Vanguard Small Cap [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Ballard 401K ⇒		None	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard Target Date 2015 [MF]					
Ballard 401K ⇒ Vanguard Target Date 2020 [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Ballard 401K ⇒ Vanguard Total Bond [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
Ballard 401K ⇒ Vanguard Total International Stock Index Fd [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
Ballard Spahr LLP [OT]  DESCRIPTION: Partner Capital Account	SP	\$500,001 - \$1,000,000	Ordinary Income	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
Ballard Spahr LLP Pension [PE]	SP	Undetermined	None		<input type="checkbox"/>
Brokerage ⇒ Dreyfus Ins Deposit Program [BA]	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Brokerage ⇒ Exelon Corporation (EXC) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Brokerage ⇒ Vanguard Wellington (VWELX) [MF]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Genworth Universal Life Insurance [WU]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual - Adjustable CompLife [WU]  DESCRIPTION: Policy date 10/13/1992	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Northwestern Mutual - Estate CompLife [WU]  DESCRIPTION: Policy date 10/23/2014	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual - Estate CompLife [WU]  DESCRIPTION: Policy date 10/23/2013	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual - Estate CompLife [WU]  DESCRIPTION: Policy date 10/23/2011	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Northwestern Mutual - Estate CompLife [WU] DESCRIPTION: Policy date 10/23/2007	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual - Whole Life [WU] DESCRIPTION: Policy date 1/23/2013		\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual - Whole Life [WU] DESCRIPTION: Policy date 10/14/1993		\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual - Whole Life [WU] DESCRIPTION: Policy date 10/13/1992		\$50,001 - \$100,000	None		<input type="checkbox"/>
Northwestern Mutual - Whole Life [WU] DESCRIPTION: Policy date 10/23/2012	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual - Whole Life [WU] DESCRIPTION: Policy date 10/23/2004	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual - Whole Life [WU] DESCRIPTION: Policy date 10/23/2002	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual - Whole Life [WU] DESCRIPTION: Policy date 10/23/2000	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual - Whole Life [WU] DESCRIPTION: Policy date 10/23/1998	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual Insurance ⇒ Growth Stock (MSA/The Boston Company) [MF] DESCRIPTION: Variable CompLife	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual Insurance ⇒ Index 500 Stock (MSA) [MF] DESCRIPTION: Variable CompLife	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual Insurance ⇒ International Equity (MSA/Franklin Tmpl) [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Variable CompLife					
Northwestern Mutual Insurance ⇒ Mid Cap Growth Stock (MSA/Wellington) [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Variable CompLife					
Northwestern Mutual IRA # 2 ⇒ American Balanced Fund Class A (ABALX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Appreciated over reporting threshold					
Northwestern Mutual IRA # 2 ⇒ Chemours Company (CC) [ST]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual IRA # 2 ⇒ DowDuPont Inc. (DWDP) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual IRA # 2 ⇒ Dreyfus Ins Deposit Program [BA]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual IRA # 2 ⇒ DuPont de Nemours, Inc. (DD) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Appreciated over reporting threshold					
Northwestern Mutual IRA # 2 ⇒ Oracle Corporation (ORCL) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ American Century Short Duration Inf (APOIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Baron Asset Fund Inst (BARIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Deutsche Enhanced Commodity (SKIRX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Dodge & Cox (DODIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Dreyfus Ins Deposit Program [BA]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual IRA #1 ⇒		\$50,001 -	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Europacific Growth (AEPFX) [MF]		\$100,000			
Northwestern Mutual IRA #1 ⇒ Fidelity Adviser Insights (FINSX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Fidelity Adviser Mid Cap (FIIMX) [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Goldman Sachs Absolute Return Tracker (GJRTX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Hartford World Bond (HWDIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ John Hancock Discipline Value (JVMIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ MFS Value (MEIIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ New World (NFFFX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Northern Small Cap (NOSGX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Oakmark International (OAKIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ PIMCO Income (PONPX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Principal Global Multi-Strategy Fund Inst. (PSMIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Principal Real Estate Securities Fund Inst (PIREX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ T Rowe Price Real Estate (TRREX) [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Northwestern Mutual IRA #1 ⇒ TIAA-CREF Bond Fund Advisor Class (TIBHX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Vanguard Short Term (VFSUX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual Variable Annuity ⇒ Domestic Equity (MSA/Delaware) [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual Variable Annuity ⇒ Fidelity VIP Mid Cap [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual Variable Annuity ⇒ Global Real Estate Securities (RIF) [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual Variable Annuity ⇒ Index 500 Stock (MSA) [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual Variable Annuity ⇒ International Developed Markets (RIF) [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual Variable Annuity ⇒ Multi Sector Bond (MSA/PIMCO) [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual Variable Annuity ⇒ Small Cap Value (MSA/T Rowe Price) [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Pennsylvania State Employee Credit Union [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
PNC [BA]	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Residential property located in Chaumont, NY [RP]  LOCATION: Chaumont, NY, US	JT	\$100,001 - \$250,000	Rent	\$1,001 - \$2,500	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ballard 401K ⇒ Federated Treasury Obligations [MF]		01/21/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
Ballard 401K ⇒ Vanguard Target Date 2015 [MF]		01/21/2019	S	\$100,001 - \$250,000	<input type="checkbox"/>
Ballard 401K ⇒ Vanguard Target Date 2020 [MF]		01/21/2019	S	\$250,001 - \$500,000	<input type="checkbox"/>
Brokerage ⇒ Vanguard Wellington (VWELX) [MF]	JT	12/30/2019	P	\$1,001 - \$15,000	
Northwestern Mutual IRA #1 ⇒ American Century Short Duration Inf (APOIX) [MF]		08/19/2019	P	\$15,001 - \$50,000	
Northwestern Mutual IRA #1 ⇒ Baron Asset Fund Inst (BARIX) [MF]		03/18/2019	P	\$15,001 - \$50,000	
Northwestern Mutual IRA #1 ⇒ Deutsche Enhanced Commodity (SKIRX) [MF]		01/23/2019	P	\$15,001 - \$50,000	
Northwestern Mutual IRA #1 ⇒ Dodge & Cox (DODIX) [MF]		01/23/2019	P	\$50,001 - \$100,000	
Northwestern Mutual IRA #1 ⇒ Europacific Growth (AEPFX) [MF]		01/23/2019	P	\$15,001 - \$50,000	
Northwestern Mutual IRA #1 ⇒ Europacific Growth (AEPFX) [MF]		12/20/2019	P	\$1,001 - \$15,000	
Northwestern Mutual IRA #1 ⇒ Fidelity Adviser Insights (FINSX) [MF]		01/23/2019	P	\$50,001 - \$100,000	
Northwestern Mutual IRA #1 ⇒ Fidelity Adviser Insights (FINSX) [MF]		12/16/2019	P	\$1,001 - \$15,000	
Northwestern Mutual IRA #1 ⇒ Fidelity Adviser Mid Cap (FIIMX) [MF]		01/23/2019	P	\$1,001 - \$15,000	
Northwestern Mutual IRA #1 ⇒ Fidelity Adviser Mid Cap (FIIMX) [MF]		03/18/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual IRA #1 ⇒		10/7/2019	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Goldman Sachs Absolute Return Tracker (GJRTX) [MF]					
Northwestern Mutual IRA #1 ⇒ Hartford World Bond (HWDIX) [MF]		01/23/2019	P	\$15,001 - \$50,000	
Northwestern Mutual IRA #1 ⇒ Hartford World Bond (HWDIX) [MF]		08/19/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ John Hancock Discipline Value (JVMIX) [MF]		01/23/2019	P	\$15,001 - \$50,000	
Northwestern Mutual IRA #1 ⇒ MFS Value (MEIIX) [MF]		01/23/2019	P	\$50,001 - \$100,000	
Northwestern Mutual IRA #1 ⇒ MFS Value (MEIIX) [MF]		10/7/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ MFS Value (MEIIX) [MF]		12/20/2019	P	\$1,001 - \$15,000	
Northwestern Mutual IRA #1 ⇒ New World (NFFFX) [MF]		01/23/2019	P	\$15,001 - \$50,000	
Northwestern Mutual IRA #1 ⇒ New World (NFFFX) [MF]		12/23/2019	P	\$1,001 - \$15,000	
Northwestern Mutual IRA #1 ⇒ Northern Small Cap (NOSGX) [MF]		01/23/2019	P	\$15,001 - \$50,000	
Northwestern Mutual IRA #1 ⇒ Northern Small Cap (NOSGX) [MF]		12/20/2019	P	\$1,001 - \$15,000	
Northwestern Mutual IRA #1 ⇒ Oakmark International (OAKIX) [MF]		01/23/2019	P	\$15,001 - \$50,000	
Northwestern Mutual IRA #1 ⇒ PIMCO Income (PONPX) [MF]		01/23/2019	P	\$15,001 - \$50,000	
Northwestern Mutual IRA #1 ⇒ PIMCO Income (PONPX) [MF]		08/19/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ PIMCO Income (PONPX) [MF]		10/7/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Northwestern Mutual IRA #1 ⇒ Principal Global Multi-Strategy Fund Inst. (PSMIX) [MF]		10/7/2019	P	\$15,001 - \$50,000	
Northwestern Mutual IRA #1 ⇒ Principal Real Estate Securities Fund Inst (PIREX) [MF]		03/18/2019	P	\$15,001 - \$50,000	
Northwestern Mutual IRA #1 ⇒ T Rowe Price Real Estate (TRREX) [MF]		01/24/2019	P	\$15,001 - \$50,000	
Northwestern Mutual IRA #1 ⇒ T Rowe Price Real Estate (TRREX) [MF]		03/18/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ TIAA-CREF Bond Fund Advisor Class (TIBHX) [MF]		01/23/2019	P	\$50,001 - \$100,000	
Northwestern Mutual IRA #1 ⇒ TIAA-CREF Bond Fund Advisor Class (TIBHX) [MF]		08/19/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Vanguard Short Term (VFSUX) [MF]		01/23/2019	P	\$15,001 - \$50,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Ballard Spahr LLP	Spouse Salary	N/A

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	PNC	June 2016	Residential Mortgage	\$100,001 - \$250,000
JT	PNC	June 2018	Home Equity Line of Credit	\$100,001 - \$250,000
JT	Mr. Cooper	January 2011	Residential Mortgage	\$100,001 - \$250,000
JT	Citizens Bank NA	September 2017	Mortgage on Chaumont, NY rental property	\$50,001 - \$100,000

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Citibank	March 2018	Personal Loan	\$100,001 - \$250,000
COMMENTS: Paid in full Feb. 2019				

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none"><li>◦ Annuity (Owner: JT) LOCATION: US</li><li>◦ Ballard 401K (Owner: SP)</li><li>◦ Ballard 401K</li><li>◦ Brokerage (Owner: JT) LOCATION: US</li><li>◦ Northwestern Mutual Insurance (Owner: SP)</li><li>◦ Northwestern Mutual IRA # 2 (Owner: SP)</li><li>◦ Northwestern Mutual IRA #1</li><li>◦ Northwestern Mutual Variable Annuity (Owner: SP)</li></ul>
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Mary Gay Scanlon , 08/10/2020