

UNITED STATES HOUSE OF REPRESENTATIVES
2016 FINANCIAL DISCLOSURE STATEMENT

 Form A
 For Use by Members, Officers, and Employees

 Name: John B. Larson

 Daytime Telephone: 202-225-2265
MC 2017 FAFCC Use Only 57
 A \$200 penalty shall be assessed against any individual who files more than 30 days late.

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>C T</u>	Officer or Employee	Staff Filer Type: (If Applicable) <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant
REPORT TYPE	<input checked="" type="checkbox"/> 2016 Annual (Due: May 15, 2017)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination:

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$375 in value from a single source during the reporting period?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$375 in value from a single source during the reporting period?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

- IPO** – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.
- TRUSTS** – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "exempted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?
- EXEMPTION** – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

 Yes No

 Yes No

 Yes No

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: John B. Larson

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BLOCK A												BLOCK B												BLOCK C												BLOCK D																							
Assets and/or Income Sources												Value of Asset												Type of Income												Amount of Income																							
<p>(a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use only ticker symbols).</p>												<p>Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting period and is included only because it generated income, the value should be "None."</p>												<p>Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below: Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable assets held in taxable accounts. Check "None" if no income was earned or generated asset generated no income during the reporting period.</p>												<p>For assets for which you checked "Tax-Deferred" in Block C, you indicate if the asset was sold, purchased (P), or sales (S), or leave this column blank if there are no transactions that exceeded \$1,000.</p>																							
<p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.</p> <p>For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.</p>												<p>For rental and other real property held for investment, provide a complete address or description, e.g., "Rental property," and a city and state.</p>												<p>A</p> <p>B</p> <p>C</p> <p>D</p> <p>E</p> <p>F</p> <p>G</p> <p>H</p> <p>I</p> <p>J</p> <p>K</p> <p>L</p> <p>M</p>												<p>I</p> <p>II</p> <p>III</p> <p>IV</p> <p>V</p> <p>VI</p> <p>VI</p> <p>VIII</p> <p>IX</p> <p>X</p> <p>XI</p> <p>XII</p>																							
<p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p>												<p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you have a privately-traded fund that is an Exempt Investment Fund, please check the EIF box.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT). In the optional column on the far left, for a detailed discussion of Schedule A requirements, please refer to the instruction booklet.</p>												<p>Note</p> <p>\$1-\$200</p> <p>\$201-\$1,000</p> <p>\$1,001-\$2,500</p> <p>\$2,501-\$5,000</p> <p>\$5,001-\$15,000</p> <p>\$15,001-\$50,000</p> <p>\$50,001-\$100,000</p> <p>\$100,001-\$250,000</p> <p>\$250,001-\$500,000</p> <p>\$500,001-\$1,000,000</p> <p>\$1,000,001-\$5,000,000</p> <p>\$5,000,001-\$25,000,000</p> <p>\$25,000,001-\$50,000,000</p> <p>Over \$50,000,000</p> <p>Spouse/DC Asset over \$1,000,000*</p>												<p>Note</p> <p>\$1-\$200</p> <p>\$201-\$1,000</p> <p>\$1,001-\$2,500</p> <p>\$2,501-\$5,000</p> <p>\$5,001-\$15,000</p> <p>\$15,001-\$50,000</p> <p>\$50,001-\$100,000</p> <p>\$100,001-\$1,000,000</p> <p>\$1,000,001-\$5,000,000</p> <p>Over \$5,000,000</p> <p>Spouse/DC Asset with Income over \$1,000,000*</p>												<p>P, S, S(jpart), or E</p>											
<p>SP, JT, Examples:</p> <ul style="list-style-type: none"> <input checked="" type="checkbox"/> SP Mega Corp. Stock <input checked="" type="checkbox"/> Simon & Schuster <input checked="" type="checkbox"/> ABC Hedge Fund 												<p>Royalties</p>												<p>S(jpart)</p>																																			
<p>SP Fidelity Investments</p>												<p>Partnership Income</p>																																															
<p>SP Fidelity Freedom 2025</p>												<p>X</p>																																															

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: John B. Larson

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SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: John B. Larson

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SP. DC. JT	ASSET NAME	E/F	BLOCK B Value of Asset												BLOCK C Type of Income												BLOCK D Amount of Income												BLOCK E Transaction											
			A	B	C	D	E	F	G	H	I	J	K	L	M	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII																								
	- General Electric (GE)	X																																																
	- Genesee, Wyoming (GW)	X																																																
	- Home Depot (HD)	X																																																
	- JP Morgan Chase (JPM)	X																																																
	- Kaovalle India (MIC)	X																																																
	- Massey Coal Int'l (MCA)	X																																																
	- McDonald's Corp (MCD)	X																																																
	- Microsoft Corp (MSFT)	X																																																
	- Mondelez Int'l (MDLZ)	X																																																
	- Nestle (NSRGY)	X																																																
	- Nike Inc. (NKE)	X																																																
	- Proctor & Gamble (PG)	X																																																
	- Quinstiles IMS (Q)	X																																																
	- RBC Bearings (ROUD)	X																																																
	- Spectra Energy (SPE)	X																																																
	- Starbucks Corp (SBUX)	X																																																

Use additional sheets if more space is required.

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: John B. Larson

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SP DC TR	ASSET NAME	EIF		BLOCK A Assets and/or Income Sources												BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction	
				A	B	C	D	E	F	G	H	I	J	K	L	M			
	-Thermo Fisher SCI (TMO)	X															None		
	-United Technologies (UTX)	X															\$1-\$1,000		
	-Weyerhaeuser (WY)	X															\$1,001-\$15,000		
	-Zoetis Inc. (ZTS)	X															\$15,001-\$50,000		
	-Fidelity Trees MN (FDTX)	X															\$50,001-\$100,000		
	-Fidelity Trees (FDLXX)	X															\$100,001-\$250,000		
																	\$250,001-\$500,000		
																	\$500,001-\$1,000,000		
																	\$1,000,001-\$5,000,000		
																	\$5,000,001-\$25,000,000		
																	\$25,000,001-\$50,000,000		
																	Over \$50,000,000		
																	Spouse/DC Asset over \$1,000,000*		
																	NONE		
																	DIVIDENDS		
																	RENT		
																	INTEREST		
																	CAPITAL GAINS		
																	EXCEPTED/BLIND TRUST		
																	TAX-DEFERRED		
																	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)		
																	None		
																	\$1-\$200		
																	\$201-\$1,000		
																	\$1,001-\$2,500		
																	\$2,501-\$5,000		
																	\$5,001-\$15,000		
																	\$15,001-\$50,000		
																	\$50,001-\$100,000		
																	\$100,001-\$1,000,000		
																	\$1,000,001-\$5,000,000		
																	Over \$5,000,000		
																	Spouse/DC Asset with Income over \$1,000,000*		
																	P, S, S(pmt), or E		
																	R		

Use additional sheets if more space is required.

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: John B. Larson

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SCHEDULE B – TRANSACTIONS

Name: John B. Larson

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period or any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction		Purchase	Sale	Partial Sale	Exchange	Amount of Transaction									
		A	B					C	D	E	F	G	H	I	J	K	
	Example Mega Corp. Stock	X	X	3/9/16	X												
	Adobe Sys. Inc	X		1/20/16	X												
	Ansys Inc	X		1/20/16	X												
	Macquarie Intra Corp.	X		1/20/16	X												
	Mondelez International	X		1/26/16	X												
	Apple Inc.	X		4/6/16	X												
	Nielsen Holdings PLC	X		4/7/16	X												
	Disney Walt Co	X		4/7/16	X												
	JP Morgan Chase & Co.	X		4/7/16	X												
	Procter & Gamble Co.	X		4/7/16	X												
	United Technologies Corp	X		4/7/16	X												
	Wells Fargo & Co	X		4/7/16	X												
	M&T Bank Corp	X		5/9/16	X												
	Macquarie Intra Corp.	X		6/28/16	X												
	Weberhauser Co.	X		6/28/16	X												
	Fortive Corp Com	X		7/28/16	X												
	UpCaret, Inc.	X	X	8/23/16	X												
	CVS Health Corp	X	X	9/9/16	X												
	Quintiles Transnational	X	X	9/9/16	X												
	Wells Fargo & Co	X	X	9/29/16	X												

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or if the purchase or sale of your personal residence unless it generated rental income. If only a portion of an asset is sold, please choose 'partial sale' as the type of transaction.

Capital Gains. If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP Example: Mega Corp. Stock

SCHEDULE C – EARNED INCOME

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: The 2016 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,495. The 2017 limit is \$27,765.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: The 2016 limit on outside earned income for Members and employees compensated at or above the “\$” level. In addition, certain types of income (notably honoraria, director’s fees, and payments for professional services involving a fiduciary relationship) are prohibited.

INCOME LIMITS and PROHIBITED INCOME: The 2016 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

1

Source (include date of receipt for honoraria)	Type	Amount
Keene State	Approved Teaching Fee	\$6,000
State of Maryland	Legislative Pension	\$18,000
Civil War Roundtable (Oct. 2)	Spouse Speech	\$1,000
Ontario County Board of Education	Spouse Salary	N/A
State of Connecticut Comptroller's Office	Spouse Salary	N/A
Connecticut Innovations Inc.	Spouse Salary	N/A

Use additional sheets if more space is required.

SCHEDULE D - LIABILITIES

Name: John B. Larson

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a **revolving charge account** (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability							
				A	B	C	D	E	F	G	H
Example	First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE.	\$10,001- \$15,000							
				\$15,001- \$50,000							
				\$50,001- \$100,000							
				\$100,001- \$250,000							
				\$250,001- \$500,000							
				\$500,001- \$1,000,000							
				\$1,000,001- \$5,000,000							
				\$5,000,001- \$25,000,000							
				\$25,000,001- \$50,000,000							
				Over \$50,000,000							
				Over \$1,000,000* (Spouse/DC Liability)							

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Use additional sheets if more space is required.

SCHEDULE F – AGREEMENTS

Name: John B. Larson Page 11 of 13

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

SCHEDULE G - GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$375 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$150 or less need not be added towards the \$375 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example:	1	Mr. Joseph Smith, Arlington, VA

Use additional sheets if more space is required.

SCHEDULE H – TRAVEL PAYMENTS and REIMBURSEMENTS

Name: John B. Larson

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$375 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

Source	Date(s)	City of Departure-Destination-City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
Government of China (MEEA) Examples: Habitat for Humanity (charity/unrelated)	Aug 5-11 Mar 3-4	DC-BEIJING, China-DC DC-Boston-DC	Y Y	Y Y	N Y
Ripon Society Global Policy Exchange	Nov 9 - 13	CT - London, UK - CT	Y	Y	Y

Use additional sheets if more space is required.

**SCHEDULE I – PAYMENTS MADE TO CHARITY IN
LIEU OF HONORARIA**

Name: John B Larson
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List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.