



Filing ID #10053622

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Cathy McMorris Rodgers  
**Status:** Member  
**State/District:** WA05

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2021  
**Filing Date:** 05/11/2023

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
American Funds Fundamental Investors - 529 F2 [5P]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
LOCATION: VA					
AMERICAN FUNDS NEW ECONOMY CL F-2 [MF]	DC	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
AMERICAN FUNDS NEW ECONOMY CL F-2 [MF]					
AMERICAN FUNDS NEW WORLD FD INC CL 529 F-2 FNFWX [5P]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
LOCATION: VA					
AMERICAN SMALLCAP WORLD FD INC CL 529-F-2 FSWFX [5P]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
LOCATION: VA					
AMERICAN WASHINGTON MUTUAL INVESTORS FD CL 529-F-2 FWMMX [5P]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
LOCATION: VA					
Bank Deposit Sweep - Wells Fargo Bank FDIC [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DreamAhead College Investment Plan [5P]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: WA					
Family Trust ⇒ ALGER FDS II SPECTRA FD CL Z [MF]		\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Family Trust ⇒ American Funds Fundamental Investors F2 [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Family Trust ⇒ American Funds New World F2 [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Family Trust ⇒ CARILLON SCOUT MID CAP CL I [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Family Trust ⇒ First Eagle Global - Class I [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Family Trust ⇒ UNDISCOVERED MANAGERS BEHAVIORAL VALUE CLASS L [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
GROWTH FUND AMERICA CL 529-F-2 [5P]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
LOCATION: VA					
Rental Property [RP]	JT	\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Washington, DC, US					
Rental Property [RP]	SP	\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: San Diego, CA, US					
Roth ⇒ ALGER FDS II SPECTRA FD CL Z [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Roth ⇒ ALGER FDS II SPECTRA FD CL Z [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Roth ⇒ American Funds Fundamental Investors F2 [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Roth ⇒	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
American Funds Fundamental Investors F2 [MF]					
Roth ⇒ American Funds New World F2 [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Roth ⇒ American Funds New World F2 [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Roth ⇒ CARILLON SCOUT MID CAP FD CL I [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Roth ⇒ CARILLON SCOUT MID CAP FUND CL i [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Roth ⇒ First Eagle Global - Class I [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Roth ⇒ First Eagle Global - Class I [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Roth ⇒ LOCORR INVIT TRUST MACRO STRATEGIES FUND CL I [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>
Roth ⇒ Templeton Global Bond Adv [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>
Roth ⇒ Thornburg Investment Income Builder - CL I [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>
Roth ⇒ UNDISCOVERED MANAGERS BEHAVIORAL VALUE CLASS L [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Roth ⇒ UNDISCOVERED MANAGERS BEHAVIORAL VALUE CLASS L [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Traditional ⇒ ALGER FDS II SPECTRA FD CL Z [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>
Traditional ⇒ American Funds Fundamental Investors F2 [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Traditional ⇒ American Funds New World F2 [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>
Traditional ⇒ CARILLON SCOUT MID CAP FD CL I [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>
Traditional ⇒ First Eagle Global - Class I [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>
Traditional ⇒ LOCORR INV'T TRUST MACRO STRATEGIES FUND CL I [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>
Traditional ⇒ Templeton Global Bond Adv [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>
Traditional ⇒ Thornburg Investment Income Builder - CL I [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>
Traditional ⇒ UNDISCOVERED MANAGERS BEHAVIORAL VALUE CLASS L [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AMERICAN FUNDS FUNDAMENTAL INVESTORS 529-F-2 [5P]	SP	12/28/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: VA					
AMERICAN FUNDS FUNDAMENTAL INVESTORS 529-F-2 [5P]	SP	12/20/2021	P	\$1,001 - \$15,000	
LOCATION: VA					
AMERICAN FUNDS FUNDAMENTAL INVESTORS 529-F-2 [5P]	SP	06/17/2021	P	\$1,001 - \$15,000	
LOCATION: VA					
AMERICAN FUNDS NEW WORLD FD INC 529 F-2 [5P]	SP	12/28/2021	P	\$1,001 - \$15,000	
LOCATION: VA					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AMERICAN SMALLCAP WORLD FD INC 529-F-2 [5P] LOCATION: VA	SP	12/28/2021	P	\$1,001 - \$15,000	
AMERICAN WASHINGTON MUTUAL INVESTORS FD CL 529-F-2 [5P] LOCATION: VA	SP	12/28/2021	P	\$1,001 - \$15,000	
Family Trust ⇒ ALGER FDS II SPECTRA FD CL Z [MF]		12/16/2021	P	\$1,001 - \$15,000	
Family Trust ⇒ American Funds Fundamental Investors F2 [MF]		03/17/2021	P	\$1,001 - \$15,000	
Family Trust ⇒ American Funds Fundamental Investors F2 [MF]		06/17/2021	P	\$1,001 - \$15,000	
Family Trust ⇒ American Funds Fundamental Investors F2 [MF]		12/20/2021	P	\$1,001 - \$15,000	
Family Trust ⇒ American Funds New World F2 [MF]		03/17/2021	P	\$1,001 - \$15,000	
Family Trust ⇒ American Funds New World F2 [MF]		Monthly	P	\$1,001 - \$15,000	
Family Trust ⇒ American Funds New World F2 [MF]		12/17/2021	P	\$1,001 - \$15,000	
Family Trust ⇒ CARILLON SCOUT MID CAP CL I [MF]		12/21/2021	P	\$1,001 - \$15,000	
Family Trust ⇒ First Eagle Global - Class I [MF]		09/20/2021	P	\$1,001 - \$15,000	
Family Trust ⇒ First Eagle Global - Class I [MF]		12/2/2021	P	\$1,001 - \$15,000	
Family Trust ⇒ UNDISCOVERED MANAGERS BEHAVIORAL VALUE CLASS L [MF]		03/17/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Family Trust ⇒ UNDISCOVERED MANAGERS BEHAVIORAL VALUE CLASS		09/30/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
L [MF]					
GROWTH FUND AMERICA C529-F-2 [5P]	SP	12/28/2021	P	\$1,001 - \$15,000	
LOCATION: VA					
Roth ⇒ ALGER FDS II SPECTRA FD CL Z [MF]		02/8/2021	P	\$15,001 - \$50,000	
Roth ⇒ ALGER FDS II SPECTRA FD CL Z [MF]		12/16/2021	P	\$1,001 - \$15,000	
Roth ⇒ ALGER FDS II SPECTRA FD CL Z [MF]	SP	04/21/2021	P	\$1,001 - \$15,000	
Roth ⇒ ALGER FDS II SPECTRA FD CL Z [MF]	SP	12/16/2021	P	\$1,001 - \$15,000	
Roth ⇒ American Funds Fundamental Investors F2 [MF]		02/8/2021	P	\$15,001 - \$50,000	
Roth ⇒ American Funds Fundamental Investors F2 [MF]		12/20/2021	P	\$1,001 - \$15,000	
Roth ⇒ American Funds Fundamental Investors F2 [MF]	SP	04/21/2021	P	\$1,001 - \$15,000	
Roth ⇒ American Funds Fundamental Investors F2 [MF]	SP	03/17/2021	P	\$1,001 - \$15,000	
Roth ⇒ American Funds Fundamental Investors F2 [MF]	SP	12/20/2021	P	\$1,001 - \$15,000	
Roth ⇒ American Funds New World F2 [MF]		02/8/2021	P	\$15,001 - \$50,000	
Roth ⇒ American Funds New World F2 [MF]		12/17/2021	P	\$1,001 - \$15,000	
Roth ⇒ American Funds New World F2 [MF]	SP	03/17/2021	P	\$1,001 - \$15,000	
Roth ⇒ American Funds New World F2 [MF]	SP	12/17/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Roth ⇒ American Funds New World F2 [MF]		03/17/2021	P	\$1,001 - \$15,000	
Roth ⇒ CARILLON SCOUT MID CAP CL I [MF]		02/8/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Roth ⇒ CARILLON SCOUT MID CAP CL I [MF]		12/21/2021	P	\$1,001 - \$15,000	
Roth ⇒ CARILLON SCOUT MID CAP CL I [MF]	SP	12/21/2021	P	\$1,001 - \$15,000	
Roth ⇒ First Eagle Global - Class I [MF]		02/8/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Roth ⇒ First Eagle Global - Class I [MF]	SP	04/21/2021	P	\$1,001 - \$15,000	
Roth ⇒ LOCORR INV'T TRUST MACRO STRATEGIES FUND CL I [MF]		02/8/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Roth ⇒ Templeton Global Bond Adv [MF]		02/8/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Roth ⇒ Thornburg Investment Income Builder - CL I [MF]		02/8/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Roth ⇒ UNDISCOVERED MANAGERS BEHAVIORAL VALUE CLASS L [MF]		03/17/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Roth ⇒ UNDISCOVERED MANAGERS BEHAVIORAL VALUE CLASS L [MF]		02/8/2021	P	\$15,001 - \$50,000	
Roth ⇒ UNDISCOVERED MANAGERS BEHAVIORAL VALUE CLASS L [MF]	SP	03/17/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Traditional ⇒ ALGER FDS II SPECTRA FD CL Z [MF]		02/8/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Traditional ⇒ ALGER FDS II SPECTRA FD CL Z [MF]		12/16/2021	P	\$1,001 - \$15,000	
Traditional ⇒ American Funds Fundamental Investors F2 [MF]		02/8/2021	P	\$15,001 - \$50,000	
Traditional ⇒ American Funds Fundamental Investors F2 [MF]		12/20/2021	P	\$1,001 - \$15,000	
Traditional ⇒ American Funds New World F2 [MF]		03/17/2021	P	\$1,001 - \$15,000	
Traditional ⇒ American Funds New World F2 [MF]		02/8/2021	P	\$1,001 - \$15,000	
Traditional ⇒ CARILLON SCOUT MID CAP CL I [MF]		02/8/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Traditional ⇒ CARILLON SCOUT MID CAP CL I [MF]		12/21/2021	P	\$1,001 - \$15,000	
Traditional ⇒ First Eagle Global - Class I [MF]		02/8/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Traditional ⇒ LOCORR INV'T TRUST MACRO STRATEGIES FUND CL I [MF]		02/8/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Traditional ⇒ Templeton Global Bond Adv [MF]		02/8/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Traditional ⇒ Thornburg Investment Income Builder - CL I [MF]		02/8/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Traditional ⇒ UNDISCOVERED MANAGERS BEHAVIORAL VALUE CLASS L [MF]		03/17/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Traditional ⇒ UNDISCOVERED MANAGERS BEHAVIORAL VALUE CLASS L [MF]		02/8/2021	P	\$1,001 - \$15,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

**SCHEDULE C: EARNED INCOME**

None disclosed.

**SCHEDULE D: LIABILITIES**

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Freedom Mortgage	January 2021	Refinance	\$250,001 - \$500,000
JT	Freedom Mortgage	March 2021	Refinance	\$250,001 - \$500,000
JT	Lakeview Loan	July 2021	Refinance	\$250,001 - \$500,000

**SCHEDULE E: POSITIONS**

None disclosed.

**SCHEDULE F: AGREEMENTS**

None disclosed.

**SCHEDULE G: GIFTS**

None disclosed.

**SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

None disclosed.

**SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

**SCHEDULE A AND B ASSET CLASS DETAILS**

- Family Trust
- Roth (Owner: SP)
- Roth
- Traditional

**EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Cathy McMorris Rodgers , 05/11/2023