



Filing ID #10047398

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Madeleine Dean  
**Status:** Member  
**State/District:** PA04

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2021  
**Filing Date:** 05/16/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset   | Owner | Value of Asset       | Income Type(s)      | Income            | Tx. > \$1,000?                      |
|---|-------|----------------------|---------------------|-------------------|-------------------------------------|
| Bank of America Advanced Tiered Interest Checking [BA]                    | JT    | \$15,001 - \$50,000  | Interest            | \$1 - \$200       | <input type="checkbox"/>            |
| Bank of America IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [MF] |       | \$1,001 - \$15,000   | Dividends, Interest | \$1 - \$200       | <input checked="" type="checkbox"/> |
| Bank of America IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [MF] | SP    | \$15,001 - \$50,000  | Dividends, Interest | \$1 - \$200       | <input checked="" type="checkbox"/> |
| Bank of America IRA ⇒ iShares Broad USD High Yield Corp Bond ETF [EF]     | SP    | \$15,001 - \$50,000  | Dividends           | \$201 - \$1,000   | <input checked="" type="checkbox"/> |
| Bank of America IRA ⇒ iShares Broad USD High Yield Corp Bond ETF [EF]     |       | \$1,001 - \$15,000   | Dividends           | \$1 - \$200       | <input type="checkbox"/>            |
| Bank of America IRA ⇒ iShares Core S&P Small-Cap [EF]                     | SP    | \$50,001 - \$100,000 | Dividends           | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Bank of America IRA ⇒ iShares Core S&P Small-Cap [EF]                     |       | \$1,001 - \$15,000   | Dividends           | \$1 - \$200       | <input type="checkbox"/>            |
| Bank of America IRA ⇒ iShares Core US Aggregate Bd ETF [EF]               |       | \$1,001 - \$15,000   | Dividends           | \$1 - \$200       | <input type="checkbox"/>            |

| Asset   | Owner | Value of Asset       | Income Type(s)           | Income             | Tx. > \$1,000?                      |
|---|-------|----------------------|--------------------------|--------------------|-------------------------------------|
| Bank of America IRA ⇒ iShares Core US Aggregate Bd ETF [EF]                 | SP    | \$15,001 - \$50,000  | Dividends                | \$201 - \$1,000    | <input checked="" type="checkbox"/> |
| Bank of America IRA ⇒ JP Morgan US Large Cap Core Plus Fund Class R6 [MF]   | SP    | \$15,001 - \$50,000  | Capital Gains, Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Bank of America IRA ⇒ JP Morgan US Large Cap Core Plus Fund Class R6 [MF]   |       | \$1,001 - \$15,000   | Capital Gains, Dividends | \$1,001 - \$2,500  | <input checked="" type="checkbox"/> |
| Bank of America IRA ⇒ Metropolitan West Total Return Bond Fund Class I [MF] |       | \$1,001 - \$15,000   | Dividends                | \$1 - \$200        | <input type="checkbox"/>            |
| Bank of America IRA ⇒ Metropolitan West Total Return Bond Fund Class I [MF] | SP    | \$50,001 - \$100,000 | Dividends                | \$201 - \$1,000    | <input checked="" type="checkbox"/> |
| Bank of America IRA ⇒ SPDR Bloomberg Barclays 1-3 Month T-Bill ETF [EF]     | SP    | \$15,001 - \$50,000  | None                     |                    | <input checked="" type="checkbox"/> |
| Bank of America IRA ⇒ SPDR Bloomberg Barclays 1-3 Month T-Bill ETF [EF]     |       | \$1,001 - \$15,000   | None                     |                    | <input type="checkbox"/>            |
| Bank of America IRA ⇒ Vanguard FTSE Developed Markets ETF [EF]              |       | \$1,001 - \$15,000   | Capital Gains, Dividends | \$201 - \$1,000    | <input type="checkbox"/>            |
| Bank of America IRA ⇒ Vanguard FTSE Developed Markets ETF [EF]              | SP    | \$50,001 - \$100,000 | Capital Gains, Dividends | \$2,501 - \$5,000  | <input checked="" type="checkbox"/> |
| Bank of America IRA ⇒ Vanguard FTSE Emerging Mkts ETF [EF]                  | SP    | \$15,001 - \$50,000  | Dividends                | \$201 - \$1,000    | <input checked="" type="checkbox"/> |
| Bank of America IRA ⇒ Vanguard FTSE Emerging Mkts ETF [EF]                  |       | \$1,001 - \$15,000   | Dividends                | \$1 - \$200        | <input checked="" type="checkbox"/> |
| Bank of America IRA ⇒ Vanguard Mid-Cap ETF [EF]                             | SP    | \$50,001 - \$100,000 | Capital Gains, Dividends | \$2,501 - \$5,000  | <input checked="" type="checkbox"/> |
| Bank of America IRA ⇒ Vanguard Mid-Cap ETF [EF]                             |       | \$1,001 - \$15,000   | Capital Gains, Dividends | \$1 - \$200        | <input type="checkbox"/>            |
| Bank of America IRA ⇒ Vanguard S&P 500 ETF [EF]                             |       | \$1,001 - \$15,000   | Capital Gains, Dividends | \$201 - \$1,000    | <input checked="" type="checkbox"/> |
| Bank of America IRA ⇒   | SP    | \$50,001 -           | Capital Gains,           | \$5,001 -          | <input checked="" type="checkbox"/> |

| Asset  | Owner | Value of Asset        | Income Type(s)           | Income          | Tx. > \$1,000?                      |
|--|-------|-----------------------|--------------------------|-----------------|-------------------------------------|
| Vanguard S&P 500 ETF [EF]  |       | \$100,000             | Dividends                | \$15,000        |                                     |
| Bank of America IRA ⇒ Vanguard Total Intl Bond Index Fund ETF [EF]                                     | SP    | \$15,001 - \$50,000   | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Bank of America IRA ⇒ Vanguard Total Intl Bond Index Fund ETF [EF]                                     |       | \$1,001 - \$15,000    | Capital Gains, Dividends | \$1 - \$200     | <input type="checkbox"/>            |
| Bank of America Roth IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [MF]                         |       | \$1 - \$1,000         | Dividends, Interest      | \$1 - \$200     | <input type="checkbox"/>            |
| Bank of America Roth IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [MF]                         | SP    | \$1 - \$1,000         | Dividends, Interest      | \$1 - \$200     | <input checked="" type="checkbox"/> |
| Bank of America Roth IRA ⇒ Vanguard Total Stock Market Index Fund ETF [EF]                             | SP    | \$1,001 - \$15,000    | Dividends                | \$1 - \$200     | <input checked="" type="checkbox"/> |
| Bank of America Roth IRA ⇒ Vanguard Total Stock Market Index Fund ETF [EF]                             |       | \$1,001 - \$15,000    | Capital Gains            | \$1 - \$200     | <input checked="" type="checkbox"/> |
| New York Life Insurance Policy [WU]  |       | \$1,001 - \$15,000    | None                     |                 | <input type="checkbox"/>            |
| New York Life Insurance Policy [WU]  | SP    | \$15,001 - \$50,000   | None                     |                 | <input type="checkbox"/>            |
| Pennsylvania 529 Guaranteed Savings Plan [5P]  |       | \$1,001 - \$15,000    | Tax-Deferred             |                 | <input type="checkbox"/>            |
| LOCATION: PA   |       |                       |                          |                 |                                     |
| Pennsylvania State Employee Retirement System Defined Benefit Plan [PE]                                |       | \$100,001 - \$250,000 | None                     |                 | <input type="checkbox"/>            |
| Pennsylvania State Employees' Deferred Compensation Plan ⇒ International Company Stock Index Fund [MF] |       | \$15,001 - \$50,000   | Tax-Deferred             |                 | <input type="checkbox"/>            |
| Pennsylvania State Employees' Deferred Compensation Plan ⇒ Short-Term Investment Fund [MF]             |       | \$1,001 - \$15,000    | Tax-Deferred             |                 | <input checked="" type="checkbox"/> |
| Pennsylvania State Employees' Deferred Compensation Plan ⇒ Stable Value Fund [MF]                      |       | \$15,001 - \$50,000   | Tax-Deferred             |                 | <input type="checkbox"/>            |
| Pennsylvania State Employees' Deferred Compensation  |       | \$15,001 - \$50,000   | Tax-Deferred             |                 | <input checked="" type="checkbox"/> |

| Asset   | Owner | Value of Asset        | Income Type(s) | Income       | Tx. > \$1,000?                      |
|---|-------|-----------------------|----------------|--------------|-------------------------------------|
| Plan ⇒<br>U.S. Bond Index Fund [MF]   |       |                       |                |              | <input checked="" type="checkbox"/> |
| Pennsylvania State Employees' Deferred Compensation Plan ⇒<br>U.S. Large Company Stock Index Fund [MF]          |       | \$15,001 - \$50,000   |                | Tax-Deferred | <input checked="" type="checkbox"/> |
| Pennsylvania State Employees' Deferred Compensation Plan ⇒<br>U.S. Small/Mid Company Stock Index Fund [MF]      |       | \$15,001 - \$50,000   |                | Tax-Deferred | <input checked="" type="checkbox"/> |
| Stoker Strategies, LLC, 99% Interest [OL]   | SP    | \$50,001 - \$100,000  |                | None         | <input type="checkbox"/>            |
| LOCATION: Jenkintown, PA, US<br>DESCRIPTION: Consulting   |       |                       |                |              |                                     |
| TIAA-CREF ⇒ La Salle University DC and TDA Plan ⇒<br>CREF Bond Market 2 [FN]                                    |       | \$1,001 - \$15,000    |                | Tax-Deferred | <input type="checkbox"/>            |
| TIAA-CREF ⇒ La Salle University DC and TDA Plan ⇒<br>CREF Stock R2 [FN]   |       | \$50,001 - \$100,000  |                | Tax-Deferred | <input type="checkbox"/>            |
| TIAA-CREF ⇒ La Salle University DC and TDA Plan ⇒<br>TIAA Real Estate [FN]                                      |       | \$1,001 - \$15,000    |                | Tax-Deferred | <input type="checkbox"/>            |
| TIAA-CREF ⇒ La Salle University DC and TDA Plan ⇒<br>TIAA Traditional [FN]                                      |       | \$1,001 - \$15,000    |                | Tax-Deferred | <input type="checkbox"/>            |
| TIAA-CREF ⇒ La Salle University Tax-Deferred Annuity Plan ⇒<br>Dodge & Cox Stock Fund [MF]                      |       | \$50,001 - \$100,000  |                | Tax-Deferred | <input type="checkbox"/>            |
| TIAA-CREF ⇒ La Salle University Tax-Deferred Annuity Plan ⇒<br>Prudential Total Return Bond Fund - Class Z [MF] |       | \$15,001 - \$50,000   |                | Tax-Deferred | <input type="checkbox"/>            |
| TIAA-CREF ⇒ La Salle University Tax-Deferred Annuity Plan ⇒<br>T. Rowe Price Growth Stock Fund [MF]             |       | \$100,001 - \$250,000 |                | Tax-Deferred | <input type="checkbox"/>            |
| TIAA-CREF ⇒ La Salle University Tax-Deferred Annuity Plan ⇒<br>TIAA Traditional [MF]                            |       | \$50,001 - \$100,000  |                | Tax-Deferred | <input type="checkbox"/>            |

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

| Asset   | Owner | Date       | Tx. Type    | Amount             | Cap. Gains > \$200?                 |
|---|-------|------------|-------------|--------------------|-------------------------------------|
| Bank of America IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [BA] |       | 01/31/2021 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/>            |
| Bank of America IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [BA] |       | 02/28/2021 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/>            |
| Bank of America IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [BA] |       | 12/31/2021 | P           | \$1,001 - \$15,000 |                                     |
| Bank of America IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [BA] | SP    | 01/31/2021 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/>            |
| Bank of America IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [BA] | SP    | 02/28/2021 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/>            |
| Bank of America IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [BA] | SP    | 09/30/2021 | P           | \$1,001 - \$15,000 |                                     |
| Bank of America IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [BA] | SP    | 12/31/2021 | P           | \$1,001 - \$15,000 |                                     |
| Bank of America IRA ⇒ iShares Broad USD High Yield Corp Bond ETF [EF]     | SP    | 09/21/2021 | P           | \$1,001 - \$15,000 |                                     |
| Bank of America IRA ⇒ iShares Core S&P Small-Cap [EF]                     | SP    | 12/2/2021  | P           | \$1,001 - \$15,000 |                                     |
| Bank of America IRA ⇒ iShares Core US Aggregate Bd ETF [EF]               | SP    | 02/2/2021  | P           | \$1,001 - \$15,000 |                                     |
| Bank of America IRA ⇒ iShares Core US Aggregate Bd ETF [EF]               | SP    | 09/21/2021 | P           | \$1,001 - \$15,000 |                                     |
| Bank of America IRA ⇒ JP Morgan US Large Cap Core Plus Fund Class R6 [MF] |       | 01/4/2021  | P           | \$1,001 - \$15,000 |                                     |
| Bank of America IRA ⇒ JP Morgan US Large Cap Core Plus Fund Class R6 [EF] | SP    | 02/1/2021  | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/>            |
| Bank of America IRA ⇒   | SP    | 09/20/2021 | S           | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |

| Asset   | Owner | Date       | Tx. Type    | Amount             | Cap. Gains > \$200?                 |
|---|-------|------------|-------------|--------------------|-------------------------------------|
| JP Morgan US Large Cap Core Plus Fund Class R6 [EF]                         |       |            |             | (partial)          |                                     |
| Bank of America IRA ⇒ JP Morgan US Large Cap Core Plus Fund Class R6 [EF]   | SP    | 12/1/2021  | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Bank of America IRA ⇒ Metropolitan West Total Return Bond Fund Class I [MF] | SP    | 02/1/2021  | P           | \$1,001 - \$15,000 |                                     |
| Bank of America IRA ⇒ Metropolitan West Total Return Bond Fund Class I [MF] | SP    | 09/20/2021 | P           | \$1,001 - \$15,000 |                                     |
| Bank of America IRA ⇒ SPDR Bloomberg Barclays 1-3 Month T-Bill ETF [EF]     | SP    | 02/2/2021  | P           | \$1,001 - \$15,000 |                                     |
| Bank of America IRA ⇒ SPDR Bloomberg Barclays 1-3 Month T-Bill ETF [EF]     | SP    | 09/21/2021 | P           | \$1,001 - \$15,000 |                                     |
| Bank of America IRA ⇒ Vanguard FTSE Developed Markets ETF [EF]              | SP    | 12/2/2021  | P           | \$1,001 - \$15,000 |                                     |
| Bank of America IRA ⇒ Vanguard FTSE Developed Markets ETF [EF]              | SP    | 02/2/2021  | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Bank of America IRA ⇒ Vanguard FTSE Emerging Mkts ETF [EF]                  |       | 02/2/2021  | P           | \$1,001 - \$15,000 |                                     |
| Bank of America IRA ⇒ Vanguard FTSE Emerging Mkts ETF [EF]                  | SP    | 02/2/2021  | P           | \$1,001 - \$15,000 |                                     |
| Bank of America IRA ⇒ Vanguard FTSE Emerging Mkts ETF [EF]                  | SP    | 09/21/2021 | P           | \$1,001 - \$15,000 |                                     |
| Bank of America IRA ⇒ Vanguard FTSE Emerging Mkts ETF [EF]                  | SP    | 12/2/2021  | P           | \$1,001 - \$15,000 |                                     |
| Bank of America IRA ⇒ Vanguard Mid-Cap ETF [EF]                             | SP    | 12/2/2021  | P           | \$1,001 - \$15,000 |                                     |
| Bank of America IRA ⇒ Vanguard Mid-Cap ETF [EF]                             | SP    | 02/2/2021  | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Bank of America IRA ⇒ Vanguard Mid-Cap ETF [EF]                             | SP    | 09/21/2021 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |

| Asset   | Owner | Date       | Tx. Type    | Amount             | Cap. Gains > \$200?                 |
|---|-------|------------|-------------|--------------------|-------------------------------------|
| Bank of America IRA ⇒ Vanguard S&P 500 ETF [EF]   |       | 12/2/2021  | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Bank of America IRA ⇒ Vanguard S&P 500 ETF [EF]   | SP    | 02/2/2021  | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Bank of America IRA ⇒ Vanguard S&P 500 ETF [EF]   | SP    | 09/21/2021 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Bank of America IRA ⇒ Vanguard S&P 500 ETF [EF]   | SP    | 12/2/2021  | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Bank of America IRA ⇒ Vanguard Total Intl Bond Index Fund ETF [EF]                                      | SP    | 02/2/2021  | P           | \$1,001 - \$15,000 |                                     |
| Bank of America IRA ⇒ Vanguard Total Intl Bond Index Fund ETF [EF]                                      | SP    | 09/21/2021 | P           | \$1,001 - \$15,000 |                                     |
| Bank of America Roth IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [BA]                          | SP    | 03/31/2021 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/>            |
| Bank of America Roth IRA ⇒ Vanguard Total Stock Market Index Fund ETF [EF]                              |       | 03/17/2021 | P           | \$1,001 - \$15,000 |                                     |
| Bank of America Roth IRA ⇒ Vanguard Total Stock Market Index Fund ETF [EF]                              | SP    | 03/17/2021 | P           | \$1,001 - \$15,000 |                                     |
| Pennsylvania State Employees' Deferred Compensation Plan ⇒ Short-Term Investment Fund [MF]              |       | 12/6/2021  | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/>            |
| Pennsylvania State Employees' Deferred Compensation Plan ⇒ U.S. Bond Index Fund [MF]                    |       | 12/6/2021  | P           | \$1,001 - \$15,000 |                                     |
| Pennsylvania State Employees' Deferred Compensation Plan ⇒ U.S. Large Company Stock Index Fund [MF]     |       | 12/6/2021  | P           | \$1,001 - \$15,000 |                                     |
| Pennsylvania State Employees' Deferred Compensation Plan ⇒ U.S. Small/Mid Company Stock Index Fund [MF] |       | 12/6/2021  | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/>            |

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

| Source             | Type          | Amount |
|--------------------|---------------|--------|
| Yuba Bicycles, LLC | Spouse Salary | N/A    |

## SCHEDULE D: LIABILITIES

| Owner | Creditor    | Date Incurred | Type                                       | Amount of Liability     |
|-------|-------------|---------------|--|-------------------------|
|       | TIAA        | March 2018    | Group supplemental retirement annuity loan | \$10,000 - \$15,000     |
| JT    | Wells Fargo | May 2015      | Mortgage on secondary residence            | \$500,001 - \$1,000,000 |

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

| Date                         | Parties To  | Terms of Agreement  |
|------------------------------|---|---|
| May 2012                     | Rep. Dean and Pennsylvania State Employees' Retirement System | Defined-benefit part of State Employees' Retirement System retirement benefit |
| COMMENTS: Vested August 2015 |   |   |

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- Bank of America IRA (Owner: SP)
- Bank of America IRA
- Bank of America Roth IRA (Owner: SP)

- Bank of America Roth IRA
- Pennsylvania State Employees' Deferred Compensation Plan
- TIAA-CREF
- TIAA-CREF ⇒ La Salle University DC and TDA Plan
- TIAA-CREF ⇒ La Salle University Tax-Deferred Annuity Plan

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **COMMENTS**

The secondary residence in Cape May, NJ was not used for rental or investment purposes during the reporting period but was used for personal use only.

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Madeleine Dean , 05/16/2022