FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name:	Hon. Josh Gottheimer
Status:	Member
State/District:	NJ05

FILING INFORMATION

Filing Type:	Annual Report
Filing Year:	2022
Filing Date:	08/13/2023

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
105 Heady Creek, LLC [OL] LOCATION: Southhampton, NY, US DESCRIPTION: Real Estate	SP	None	Capital Gains	$15,001 - $50,000	☑
Ameritrade ⇒ Amazon.com, Inc. (AMZN) [ST] DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 1		None	None		☐
Ameritrade ⇒ Ameritrade Cash [BA]	SP	None	None		☐
Ameritrade ⇒ Ameritrade Cash [BA]		None	None		☐
Ameritrade ⇒ Apple Inc. (AAPL) [ST] DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 1	SP	None	None		☐
Ameritrade ⇒ Apple Inc. (AAPL) [ST] DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 1		None	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Ameritrade ⇒ Ford Motor Company (F) [ST] DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 1		None	None		☐
Ameritrade ⇒ Invesco QQQ (QQQ) [EF] DESCRIPTION: Transfer to Morgan Stanley - Active Assets Account # 4	SP	None	None		☐
Ameritrade ⇒ Invesco QQQ (QQQ) [EF] DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 1		None	None		☐
Ameritrade ⇒ Meta Platforms, Inc. - Class A (FB) [ST] DESCRIPTION: Previously reported as Facebook, Inc. - Class A (FB); transferred to Morgan Stanley - Active Asset Account # 1		None	None		☐
Ameritrade ⇒ Microsoft Corporation (MSFT) [ST] DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 1		None	None		☐
Ameritrade ⇒ SPDR Dow Jones Industrial Ave ETF Trust (DIA) [EF] DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 1		None	None		☐
Ameritrade ⇒ SPDR Dow Jones Industrial Average Trust (DIA) [EF] DESCRIPTION: Transferred to Morgan Stanley - Active Assets Account # 4	SP	None	None		☐
Ameritrade ⇒ SPDR S&P 500 ETF (SPY) [EF] DESCRIPTION: Transferred to Morgan Stanley - Active Assets Account # 4	SP	None	None		☐
Ameritrade ⇒ SPDR S&P 500 ETF (SPY) [EF] DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 1		None	None		☐
Asbury Advisors LLC ⇒ Wells Fargo Bank Accounts [BA] DESCRIPTION: Checking & Savings		$15,001 - $50,000	None		☐
Barclays - Savings Account [BA]		$15,001 - $50,000	Interest	$201 - $1,000	☐
Columbia University- Voluntary Retirement Savings ⇒ Vanguard Target Retirement 2040 [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
DC College Savings - Dependent # 1 ⇒ DC College Savings 2025 Portfolio [MF]	DC	$100,001 - $250,000	Tax-Deferred		☐
DC College Savings - Dependent # 2 ⇒ DC College Savings 2028 Portfolio [MF]	DC	$100,001 - $250,000	Tax-Deferred		☐
DC College Savings - Dependent # 2 ⇒ Intermediate Bond Fund (IBAFX) [MF]	DC	$1,001 - $15,000	Tax-Deferred		☐
DC College Savings - Dependent # 2 ⇒ U.S. Large Cap Equity Portfolio [MF]	DC	$15,001 - $50,000	Tax-Deferred		☐
DC College Savings - Dependent # 2 ⇒ U.S. Small Cap Equity Portfolio [MF]	DC	$50,001 - $100,000	Tax-Deferred		☐
Fidelity - Inherited IRA ⇒ Fidelity Government Money Market (SPAXX) [IH]		$1,001 - $15,000	Tax-Deferred		☐
Fidelity Brokerage ⇒ Fidelity Cash [BA]		$15,001 - $50,000	Interest	$201 - $1,000	☐
Fidelity Brokerage ⇒ Fidelity Government Money Market (SPAXX) [MF]		$50,001 - $100,000	None		☐
Fidelity Brokerage ⇒ Microsoft Corporation (MSFT) [ST] DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account #3		None	None		☐
Fidelity Brokerage ⇒ Pimco Short Term Fund CL I3 (PTSNX) [MF]		$500,001 - $1,000,000	Dividends	$15,001 - $50,000	☑
Ford Interest Advantage [BA]		$1,001 - $15,000	Interest	$1 - $200	☐
IG Acquisition Corp. - Unit (IGACU) [ST]	SP	$100,001 - $250,000	None		☐
JANA STRATEGIC INVESTMENTS BENCHMARK FUND, LP [HE]		$100,001 - $250,000	Dividends	$201 - $1,000	☑
JPMorgan Chase Bank, N.A [BA]		$1,001 - $15,000	None		☐
Lending Club [DO]		$1,001 - $15,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
DESCRIPTION: San Francisco, CA					
Microsoft 401(k) ⇒ BTC LifePath [MF]		$100,001 - $250,000	Tax-Deferred		☐
Microsoft 401(k) ⇒ Vanguard Russell 1000 (VRNIX) [MF]		$50,001 - $100,000	Tax-Deferred		☐
Morgan Stanley - Active Asset Account # 1 ⇒ Amazon.com, Inc. (AMZN) [ST]		None	None		☐
DESCRIPTION: Additional shares transferred from Ameritrade; then full position transferred to Morgan Stanley - Active Asset Account # 3					
Morgan Stanley - Active Asset Account # 1 ⇒ Apple Inc. (AAPL) [ST]		None	None		☐
DESCRIPTION: Shares transferred from Ameritrade; then full position transferred to Morgan Stanley - Active Asset Account # 3					
Morgan Stanley - Active Asset Account # 1 ⇒ Blackstone Real Estate Income Trust, Inc. [RE]		$250,001 - $500,000	None		☐
Morgan Stanley - Active Asset Account # 1 ⇒ Fidelity Asset Manager (FAMRX) [MF]		$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
Morgan Stanley - Active Asset Account # 1 ⇒ Ford Motor Company (F) [ST]		None	None		☐
DESCRIPTION: Additional shares transferred from Ameritrade; then full position transferred to Morgan Stanley - Active Asset Account # 3					
Morgan Stanley - Active Asset Account # 1 ⇒ Invesco QQQ (QQQ) [EF]		$1,001 - $15,000	None		☐
DESCRIPTION: Additional shares transferred from Ameritrade; then partial shares transferred to Morgan Stanley - Portfolio Management					
Morgan Stanley - Active Asset Account # 1 ⇒ iShares US Technology (IYW) [EF]		None	None		☐
DESCRIPTION: Transferred to Morgan Stanley - Portfolio Management					
Morgan Stanley - Active Asset Account # 1 ⇒ Meta Platforms, Inc. - Class A (FB) [ST]		None	None		☐
DESCRIPTION: Shares transferred from Ameritrade; then full position transferred to Morgan Stanley - Active Asset Account # 3. Previously reported as Facebook, Inc. - Class A (FB)					
Morgan Stanley - Active Asset Account # 1 ⇒ Microsoft Corporation (MSFT) [ST]		None	None		☐
DESCRIPTION: Additional shares transferred from Ameritrade; then full position transferred to Morgan Stanley - Active Asset Account # 3					
Morgan Stanley - Active Asset Account # 1 ⇒		$1,001 - $15,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley Bank N.A. # [BA]					☐
Morgan Stanley - Active Asset Account # 1 ⇒ MSILF Government PTF Inst (MVRXX) [MF] DESCRIPTION: Money Market Fund		$15,001 - $50,000	None		☐
Morgan Stanley - Active Asset Account # 1 ⇒ SPDR Dow Jones Industrial Ave ETF Trust (DIA) [EF] DESCRIPTION: Transferred from Ameritrade		$50,001 - $100,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Active Asset Account # 1 ⇒ SPDR S&P 500 ETF (SPY) [EF] DESCRIPTION: Shares transferred from Ameritrade; then partial position transferred to Morgan Stanley - Portfolio Management		$100,001 - $250,000	Dividends	$2,501 - $5,000	☐
Morgan Stanley - Active Asset Account # 1 ⇒ Valley National Bancorp (VLY) [ST] DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 3		None	None		☐
Morgan Stanley - Active Asset Account # 1 ⇒ Vanguard Index FDS Vanguard Total Stk Mkt ETF (VTI) [EF] DESCRIPTION: Transferred to Morgan Stanley - Portfolio Management		None	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Akamai Technologies, Inc. (AKAM) [ST] DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 3	JT	None	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Amazon.com, Inc. (AMZN) [ST] DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 3	JT	None	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ AT&T Inc. (T) [ST] DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 3	JT	None	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Coty Inc. Class A (COTY) [ST] DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 3	JT	None	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Dollar Tree, Inc. (DLTR) [ST] DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 3	JT	None	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Fidelity National Information Services, Inc. (FIS) [ST]	JT	None	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 3					
Morgan Stanley - Active Asset Account # 2 ⇒ Home Depot, Inc. (HD) [ST]	JT	None	None		☐
DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 3					
Morgan Stanley - Active Asset Account # 2 ⇒ MarketAxess Holdings, Inc. (MKTX) [ST]	JT	None	None		☐
DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 3					
Morgan Stanley - Active Asset Account # 2 ⇒ Martin Marietta Materials, Inc. (MLM) [ST]	JT	None	None		☐
DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 3					
Morgan Stanley - Active Asset Account # 2 ⇒ Microsoft Corporation (MSFT) [ST]	JT	None	None		☐
DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 3					
Morgan Stanley - Active Asset Account # 2 ⇒ Morgan Stanley Bank N.A. # [BA]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ MSILF Government PTF Inst (MVRXX) [MF]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
DESCRIPTION: Money Market fund					
Morgan Stanley - Active Asset Account # 2 ⇒ Pfizer, Inc. (PFE) [ST]	JT	None	None		☐
DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 3					
Morgan Stanley - Active Asset Account # 2 ⇒ Tractor Supply Company (TSCO) [ST]	JT	None	None		☐
DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 3					
Morgan Stanley - Active Asset Account # 2 ⇒ Under Armour, Inc. Class A (UAA) [ST]	JT	$1 - $1,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Under Armour, Inc. Class C (UA) [ST]	JT	$1 - $1,000	None		☐
Morgan Stanley - Active Asset Account # 2 ⇒ Walgreens Boots Alliance, Inc. (WBA) [ST]	JT	None	None		☐
DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 3					
Morgan Stanley - Active Asset Account # 3 ⇒ Akamai Technologies, Inc. (AKAM) [ST]	JT	$1,001 - $15,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
DESCRIPTION: Transferred from Morgan Stanley - Active Asset # 2					
Morgan Stanley - Active Asset Account # 3 ⇒ Ally Financial Inc. (ALLY) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
DESCRIPTION: Transferred from Morgan Stanley - Portfolio Management					
Morgan Stanley - Active Asset Account # 3 ⇒ Amazon.com, Inc. (AMZN) [ST]	JT	$50,001 - $100,000	None		☐
DESCRIPTION: Transferred from Morgan Stanley - Active Asset Account # 1 & # 2					
Morgan Stanley - Active Asset Account # 3 ⇒ Apple Inc. (AAPL) [ST]	JT	$250,001 - $500,000	Dividends	$1,001 - $2,500	☐
DESCRIPTION: Transferred from Morgan Stanley Portfolio Management; Active Assets #4; and Active Assets # 1					
Morgan Stanley - Active Asset Account # 3 ⇒ AT&T Inc. (T) [ST]	JT	$1,001 - $15,000	None		☐
DESCRIPTION: Transferred from Morgan Stanley - Active Asset Account # 2					
Morgan Stanley - Active Asset Account # 3 ⇒ Coty Inc. Class A (COTY) [ST]	JT	$1,001 - $15,000	None		☐
DESCRIPTION: Transferred from Morgan Stanley - Active Asset Account # 2					
Morgan Stanley - Active Asset Account # 3 ⇒ Darden Restaurants, Inc. (DRI) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
DESCRIPTION: Transferred from Morgan Stanley - Portfolio Management					
Morgan Stanley - Active Asset Account # 3 ⇒ Dell Technologies Inc. Class V (DVMT) [ST]	JT	$1 - $1,000	None		☐
Morgan Stanley - Active Asset Account # 3 ⇒ Dollar Tree, Inc. (DLTR) [ST]	JT	$15,001 - $50,000	None		☐
DESCRIPTION: Transferred from Morgan Stanley - Active Asset Account # 2					
Morgan Stanley - Active Asset Account # 3 ⇒ Eli Lilly and Company (LLY) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Active Asset Account # 3 ⇒ Fidelity National Information Services, Inc. (FIS) [ST]	JT	$1,001 - $15,000	None		☐
DESCRIPTION: Transferred from Morgan Stanley - Active Asset Account # 2					
Morgan Stanley - Active Asset Account # 3 ⇒ Ford Motor Company (F) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
DESCRIPTION: Transferred from Morgan Stanley - Active Asset Account # 1					
Morgan Stanley - Active Asset Account # 3 ⇒	JT	$1 - $1,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Goldman Sachs Emeg Mkts Debt I (GSDIX) [MF]					☐
Morgan Stanley - Active Asset Account # 3 ⇒ Goldman Sachs Group, Inc. (GS) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Active Asset Account # 3 ⇒ Goldman Sachs High Yld Instl (GSHIX) [MF]	JT	$1 - $1,000	None		☐
Morgan Stanley - Active Asset Account # 3 ⇒ Home Depot, Inc. (HD) [ST] DESCRIPTION: Transferred from Morgan Stanley - Active Asset Account # 2	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Active Asset Account # 3 ⇒ MarketAxess Holdings, Inc. (MKTX) [ST] DESCRIPTION: Transferred from Morgan Stanley - Active Asset Account # 2	JT	$15,001 - $50,000	None		☐
Morgan Stanley - Active Asset Account # 3 ⇒ Martin Marietta Materials, Inc. (MLM) [ST] DESCRIPTION: Transferred from Morgan Stanley - Active Asset Account # 2	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 3 ⇒ Meta Platforms, Inc. - Class A (META) [ST] DESCRIPTION: Transferred from Morgan Stanley - Portfolio Management; and Active Asset Account # 1	JT	$50,001 - $100,000	None		☐
Morgan Stanley - Active Asset Account # 3 ⇒ Microsoft Corporation (MSFT) [ST] DESCRIPTION: Transferred from Morgan Stanley - Portfolio Management; Active Asset Account # 1; Active Asset Account # 2 & Fidelity Brokerage.	JT	$250,001 - $500,000	Dividends	$2,501 - $5,000	☐
Morgan Stanley - Active Asset Account # 3 ⇒ Morgan Stanley Bank N.A. # [BA]	JT	$15,001 - $50,000	None		☐
Morgan Stanley - Active Asset Account # 3 ⇒ MSILF Government PTF Inst (MVRXX) [MF] DESCRIPTION: Money Market fund	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 3 ⇒ Performance Food Group Company (PFGC) [ST] DESCRIPTION: Transferred from Morgan Stanley - Portfolio Management	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 3 ⇒ Pfizer, Inc. (PFE) [ST] DESCRIPTION: Transferred from Morgan Stanley - Active Asset Account # 2	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Active Asset Account # 3 ⇒ Synchrony Financial (SYF) [ST] DESCRIPTION: Transferred from Morgan Stanley - Portfolio Management	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Active Asset Account # 3 ⇒ Tractor Supply Company (TSCO) [ST] DESCRIPTION: Transferred from Morgan Stanley - Active Asset Account # 2	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Active Asset Account # 3 ⇒ United Airlines Holdings, Inc. (UAL) [ST] DESCRIPTION: Transferred from Morgan Stanley - Portfolio Management	JT	$15,001 - $50,000	None		☐
Morgan Stanley - Active Asset Account # 3 ⇒ Valley National Bancorp (VLY) [ST] DESCRIPTION: Transferred from Morgan Stanley - Active Asset Account # 1	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Active Asset Account # 3 ⇒ Vmware, Inc. Common stock, Class A (VMW) [ST]	JT	$1 - $1,000	None		☐
Morgan Stanley - Active Asset Account # 3 ⇒ Walgreens Boots Alliance, Inc. (WBA) [ST] DESCRIPTION: Transferred from Morgan Stanley - Active Asset Account # 2	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 4 ⇒ Invesco QQQ (QQQ) [EF] DESCRIPTION: Transferred from Ameritrade	SP	$15,001 - $50,000	None		☐
Morgan Stanley - Active Asset Account # 4 ⇒ Morgan Stanley Bank N.A. # [BA]	SP	$1,001 - $15,000	None		☐
Morgan Stanley - Active Asset Account # 4 ⇒ SPDR Dow Jones Industrial Average Trust (DIA) [EF] DESCRIPTION: Transferred from Ameritrade	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Active Asset Account # 4 ⇒ SPDR S&P 500 ETF (SPY) [EF] DESCRIPTION: Transferred from Ameritrade	SP	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Portfolio Management ⇒ Ally Financial Inc. (ALLY) [ST] DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 3	JT	None	None		☐
Morgan Stanley - Portfolio Management ⇒ Apple Inc. (AAPL) [ST] DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 3	JT	None	Dividends	$201 - $1,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Portfolio Management ⇒ ARTISAN DEVELOPING WORLD ADV (APDYX) [MF]	JT	$50,001 - $100,000	None		☑
Morgan Stanley - Portfolio Management ⇒ Blackrock Health Science Opp I (SHSSX) [MF]	JT	$50,001 - $100,000	Capital Gains, Dividends	$2,501 - $5,000	☐
Morgan Stanley - Portfolio Management ⇒ Darden Restaurants, Inc. (DRI) [ST] DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 3	JT	None	None		☐
Morgan Stanley - Portfolio Management ⇒ Global X Funds Global X U.S. Infrastructure Development ETF (PAVE) [EF]	JT	$50,001 - $100,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Portfolio Management ⇒ Goldman Sachs Int Smcp Insgh I (GICIX) [MF]	JT	$50,001 - $100,000	Dividends	$2,501 - $5,000	☐
Morgan Stanley - Portfolio Management ⇒ Invesco Developing Mkts Y (ODVYX) [MF]	JT	None	None		☑
Morgan Stanley - Portfolio Management ⇒ Invesco Dynamic Food & Beverage ETF (PBJ) [EF]	JT	$50,001 - $100,000	Dividends	$1,001 - $2,500	☐
Morgan Stanley - Portfolio Management ⇒ Invesco Dynamic Leisure and Entertainment ETF (PEJ) [EF]	JT	None	None		☑
Morgan Stanley - Portfolio Management ⇒ Invesco QQQ (QQQ) [EF] DESCRIPTION: Transferred from Morgan Stanley - Active Asset Account # 1	JT	$50,001 - $100,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Portfolio Management ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF]	JT	$100,001 - $250,000	Dividends	$1,001 - $2,500	☐
Morgan Stanley - Portfolio Management ⇒ iShares Russell 2000 ETF (IWM) [EF]	JT	$50,001 - $100,000	Dividends	$1,001 - $2,500	☐
Morgan Stanley - Portfolio Management ⇒ iShares U.S. Technology ETF (IYW) [EF] DESCRIPTION: Transferred from Morgan Stanley - Active Asset Account # 1	JT	$50,001 - $100,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Portfolio Management ⇒ iShares US Energy ETF (IYE) [MF]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Portfolio Management ⇒ Meta Platforms, Inc. - Class A (FB) [ST]	JT	None	None		☐
DESCRIPTION: Previously reported as Facebook, Inc. - Class A (FB). Transferred to Morgan Stanley - Active Asset Account # 3					
Morgan Stanley - Portfolio Management ⇒ Microsoft Corporation (MSFT) [ST]	JT	None	None		☐
DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 3					
Morgan Stanley - Portfolio Management ⇒ Morgan Stanley Bank N.A. # [BA]	JT	$15,001 - $50,000	None		☐
Morgan Stanley - Portfolio Management ⇒ Performance Food Group Company (PFGC) [ST]	JT	None	None		☐
DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 3					
Morgan Stanley - Portfolio Management ⇒ SPDR S&P 500 (SPY) [EF]	JT	$100,001 - $250,000	Dividends	$2,501 - $5,000	☐
DESCRIPTION: Additional shares transferred in from Morgan Stanley - Active Asset Account # 1					
Morgan Stanley - Portfolio Management ⇒ Synchrony Financial (SYF) [ST]	JT	None	None		☐
DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 3					
Morgan Stanley - Portfolio Management ⇒ United Airlines Holdings, Inc. (UAL) [ST]	JT	None	None		☐
DESCRIPTION: Transferred to Morgan Stanley - Active Asset Account # 3					
Morgan Stanley - Portfolio Management ⇒ Vanguard Index FD S&P 500 ETF (VOO) [MF]	JT	$500,001 - $1,000,000	Dividends	$15,001 - $50,000	☐
Morgan Stanley - Portfolio Management ⇒ Vanguard Total Stock Market ETF (VTI) [EF]	JT	$1,001 - $15,000	None		☐
DESCRIPTION: Additional shares transferred in from Morgan Stanley - Active Asset Account # 1					
Morgan Stanley - Portfolio Management ⇒ WisdomTree Europe Hedged Equity Fund (HEDJ) [ST]	JT	$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [ST]	JT	$5,000,001 - $25,000,000	Dividends	$50,001 - $100,000	☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP]	JT	None	Capital Gains	$100,001 - $1,000,000	☑
DESCRIPTION: Call options; Strike Price $145; Expires 9/16/2022					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation [OP] DESCRIPTION: Call options; Strike Price $150; Expires 9/16/2022	JT	None	Capital Gains	$100,001 - $1,000,000	☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $160; Expires 9/16/2022	JT	None	Capital Gains	$100,001 - $1,000,000	☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $145; Expires 1/20/2023	JT	None	Capital Gains	$15,001 - $50,000	☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $150; Expires 1/20/2023	JT	None	Capital Gains	$100,001 - $1,000,000	☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $155; Expires 1/20/2023	JT	None	Capital Gains	$15,001 - $50,000	☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $160; Expires 1/20/2023	JT	None	Capital Gains	$50,001 - $100,000	☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $160; Expires 3/17/2023	JT	$500,001 - $1,000,000	None		☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $150; Expires 6/16/2023	JT	$1,000,001 - $5,000,000	None		☑
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $155; Expires 6/16/2023	JT	$1,000,001 - $5,000,000	None		☑
Morgan Stanley - Portfolio Management Active Assets	JT	$50,001 -	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Account ⇒ Morgan Stanley Bank N.A. # [BA]		$100,000			☐
Morgan Stanley - Roth IRA ⇒ American Amcap A (AMCPX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Roth IRA ⇒ American Balanced A (ABALX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Roth IRA ⇒ American Bd Fd of America A (ABNDX) [MF]		$1 - $1,000	Tax-Deferred		☐
Morgan Stanley - Roth IRA ⇒ American Inv Co of Amer A (AIVSX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Roth IRA ⇒ American New Perspective A (ANWPX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Roth IRA ⇒ American Smallcap World A (SMCWX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Roth IRA ⇒ American Wa Mutual A (AWSHX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Roth IRA Spouse ⇒ American Cap Inc Builder A (CAIBX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Roth IRA Spouse ⇒ American Gr Fd of America A (AGTHX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Roth IRA Spouse ⇒ American Inv Co of Amer A (AIVSX) [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Select UMA Account # 1 ⇒ AbbVie Inc. (ABBV) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ ABIOMED, Inc. (ABMD) [ST]	JT	None	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Advanced Micro Devices, Inc. (AMD) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Adyen N V Unsponsored ADR (ADYEY) [OT]	JT	$1,001 - $15,000	None		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
DESCRIPTION: ADR Stock					
Morgan Stanley - Select UMA Account # 1 ⇒ Agree Realty Corporation (ADC) [ST]	JT	$1,001 - $15,000	Dividends	$1 - $200	☐
Morgan Stanley - Select UMA Account # 1 ⇒ AIA Group, Ltd. Sponsored American Depository Receipt (AAGIY) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Air Products and Chemicals, Inc. (APD) [ST]	JT	$15,001 - $50,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Airbnb, Inc. - Class A (ABNB) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Alamo Group, Inc. (ALG) [ST]	JT	$1,001 - $15,000	Dividends	$1 - $200	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Alcon Inc. Ordinary Shares (ALC) [ST]	JT	$1,001 - $15,000	Dividends	$1 - $200	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Alfa-Laval Ab Unsponsored ADR (ALFVY) [ST]	JT	$1,001 - $15,000	Dividends	$1 - $200	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Align Technology, Inc. (ALGN) [ST]	JT	None	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Allegiant Travel Company (ALGT) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Allianz SE ADR (ALIZY) [OT] DESCRIPTION: ADR Stock - previously report as Allianz SE ADS (AZSEY)	JT	$15,001 - $50,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Alnylam Pharmaceuticals, Inc. (ALNY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	$15,001 - $50,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Ambev S.A. American Depositary Shares (ABEV) [ST]	JT	$1,001 - $15,000	Dividends	$1 - $200	☐
Morgan Stanley - Select UMA Account # 1 ⇒	JT	$1,001 - $15,000	Dividends	$1 - $200	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Ameris Bancorp (ABCB) [ST]					☐
Morgan Stanley - Select UMA Account # 1 ⇒ AMERISAFE, Inc. (AMSF) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Amgen Inc. (AMGN) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Amphenol Corporation (APH) [ST]	JT	$1,001 - $15,000	Dividends	$1 - $200	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	$50,001 - $100,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Arcutis Biotherapeutics, Inc. - Common stock (ARQT) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Artivion, Inc. (AORT) [ST]	JT	$1,001 - $15,000	None		☐
DESCRIPTION: Name change on 1/18/22 - previously reported as CryoLife, Inc. (CRY)					
Morgan Stanley - Select UMA Account # 1 ⇒ ASML Holding N.V. - New York Registry Shares (ASML) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Atlas Copco Ab Sponsored ADR repstg Class A (ATLKY) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ AtriCure, Inc. (ATRC) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Automatic Data Processing, Inc. (ADP) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ AutoZone, Inc. (AZO) [ST]	JT	$15,001 - $50,000	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Avient Corporation (AVNT) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Azenta, Inc. (AZTA) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
DESCRIPTION: Name change, previously reported as Brooks Automation (BRKS)					
Morgan Stanley - Select UMA Account # 1 ⇒	JT	$1,001 - $15,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Balchem Corporation (BCPC) [ST]					
Morgan Stanley - Select UMA Account # 1 ⇒ Banco Bilbao Vizcaya Argentaria S.A. (BBVA) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	$15,001 - $50,000	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ BHP Group Limited American Depositary Shares (BHP) [ST]	JT	$1,001 - $15,000	Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ BioCryst Pharmaceuticals, Inc. (BCRX) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Biogen Inc. (BIIB) [ST]	JT	None	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ BlackRock, Inc. (BLK) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Block, Inc. Class A Common Stock, (SQ) [ST]	JT	None	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Booking Holdings Inc. (BKNG) [ST]	JT	None	Capital Gains	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Boot Barn Holdings, Inc. (BOOT) [ST]	JT	$1,001 - $15,000	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Canadian National Railway Company (CNI) [ST]	JT	$1,001 - $15,000	Dividends	$1 - $200	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Capital One Financial Corporation (COF) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Carvana Co. Class A (CVNA) [ST]	JT	None	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Castle Biosciences, Inc. - Common stock (CSTL) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Cathay General Bancorp (CATY) [ST]	JT	$1,001 - $15,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Central Garden & Pet Company - Class A Common Stock Nonvoting (CENTA) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Cerence Inc. (CRNC) [ST]	JT	None	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ ChampionX Corporation (CHX) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Charles Schwab Corporation (SCHW) [ST]	JT	$15,001 - $50,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Check Point Software Technologies Ltd. - Ordinary Shares (CHKP) [ST]	JT	None	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ ChemoCentryx, Inc. (CCXI) [ST]	JT	None	Capital Gains	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Cheniere Energy, Inc. (LNG) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Chevron Corporation (CVX) [ST]	JT	$15,001 - $50,000	Capital Gains, Dividends	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Chugai Pharmaceutical Ltd Unsponsored ADR (CHGCY) [ST]	JT	$1,001 - $15,000	Dividends	$1 - $200	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Churchill Downs, Incorporated (CHDN) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Chuy's Holdings, Inc. (CHUY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Cincinnati Financial Corporation (CINF) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Cisco Systems, Inc. (CSCO) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Coca-Cola Company (KO) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Cognizant Technology Solutions Corporation - Class A (CTSH) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Cohen & Steers Inc (CNS) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ ConocoPhillips (COP) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Covetrus, Inc. (CVET) [ST]	JT	None	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Crown Castle International Corp. (CCI) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ CryoPort, Inc. (CYRX) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ CSPC Pharmaceuticals Group ADR (CSPCY) [OT]	JT	$1,001 - $15,000	None		☑
DESCRIPTION: ADR stock; 1/10/22 & 12/30/22 purchase reported as Pharmaceutical GRP LTD (CSPCY); Name changed to - CSPC Pharmaceuticals Group ADR (CSPCY)					
Morgan Stanley - Select UMA Account # 1 ⇒ Daifuku Co., Ltd ADR (DFKCY) [OT]	JT	$1,001 - $15,000	None		☑
DESCRIPTION: ADR stock					
Morgan Stanley - Select UMA Account # 1 ⇒ Dassault Systemes, S.A. American Depositary Shares (DASTY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Dbs Group Holdings Ltd Ord American Depositary Shares (DBSDY) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Deere & Company (DE) [ST]	JT	$15,001 - $50,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ DexCom, Inc. (DXCM) [ST]	JT	$1,001 - $15,000	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Diageo plc (DEO) [ST]	JT	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Dominion Energy, Inc. (D) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Eli Lilly and Company (LLY) [ST]	JT	$15,001 - $50,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Endava plc American Depositary Shares (DAVA) [ST]	JT	$1,001 - $15,000	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ ENN Energy Holdings Ltd Unsponsored ADR (XNGSY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Enphase Energy, Inc. (ENPH) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Epiroc Aktiebolag ADR (EPOKY) [OT] DESCRIPTION: ADR Stock	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Equifax, Inc. (EFX) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Estee Lauder Companies, Inc. (EL) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Etsy, Inc. (ETSY) [ST]	JT	None	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Exact Sciences Corporation (EXAS) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Fanuc Corporation Unsponsored ADR (FANUY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Fastenal Company (FAST) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Fidelity National Information Services, Inc. (FIS) [ST]	JT	$15,001 - $50,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Five Below, Inc. (FIVE) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒	JT	$1,001 - $15,000	None		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Flywire Corporation - Voting (FLYW) [ST]					
Morgan Stanley - Select UMA Account # 1 ⇒ Fomento Economico Mexicano S.A.B. de C.V. (FMX) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Franklin Resources, Inc. (BEN) [ST]	JT	None	Capital Gains, Dividends	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Freeport-McMoRan, Inc. (FCX) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Fuchs Petrolub SE Unsponsored American Depository ReceiptRepresenting Preferenced Shares (FUPBY) [ST]	JT	$1 - $1,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Glacier Bancorp, Inc. (GBCI) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Globus Medical, Inc. Class A (GMED) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Grand Canyon Education, Inc. (LOPE) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Haier Smart Home Co Ltd ADR (HSHCY) [OT] DESCRIPTION: ADR Stock	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Halozyme Therapeutics, Inc. (HALO) [ST]	JT	$1,001 - $15,000	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ HCA Healthcare, Inc. (HCA) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ HDFC Bank Limited (HDB) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ HealthEquity, Inc. (HQY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Heartland Express, Inc. (HTLD) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒	JT	$1,001 - $15,000	None		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Helen of Troy Limited (HELE) [ST]					
Morgan Stanley - Select UMA Account # 1 ⇒ Helios Technologies, Inc. (HLIO) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Horace Mann Educators Corporation (HMN) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Houlihan Lokey, Inc. Class A (HLI) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ HubSpot, Inc. (HUBS) [ST]	JT	None	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ ICF International, Inc. (ICFI) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ ICICI Bank Limited (IBN) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ ICU Medical, Inc. (ICUI) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ IDACORP, Inc. (IDA) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Independent Bank Corp. (INDB) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Infineon Technologies AG (IFNNY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Insmed Incorporated (INSM) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Integra LifeSciences Holdings Corporation (IART) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Intel Corporation (INTC) [ST]	JT	None	Capital Gains, Dividends	$5,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ International Business Machines Corporation (IBM) [ST]	JT	$1,001 - $15,000	None		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Intra-Cellular Therapies Inc. (ITCI) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Intuit Inc. (INTU) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Intuitive Surgical, Inc. (ISRG) [ST]	JT	$1,001 - $15,000	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	None	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	None	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 2000 ETF (IWM) [EF]	JT	None	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ ITT Inc. (ITT) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Johnson & Johnson (JNJ) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Kering Unsponsored ADR (PPRUY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Komatsu Ltd Ord American Depositary Shares (KMTUY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Kubota Corporation (KUBTY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ L'Air Liquide Ord American Depositary Shares (AIQUY) [ST]	JT	$1,001 - $15,000	Dividends	$1 - $200	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Lam Research Corporation (LRCX) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ LHC Group - common stock (LHCG) [ST]	JT	None	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒	JT	$1,001 - $15,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Linde plc Ordinary Share (LIN) [ST]					
Morgan Stanley - Select UMA Account # 1 ⇒ Lithia Motors, Inc. (LAD) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Lonza Group Ag Zuerich Unsponsored ADR (LZAGY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ L'Oreal Co. American Depositary Shares (LRLCY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Lowe's Companies, Inc. (LOW) [ST]	JT	$15,001 - $50,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Lukoil Co Sponsored ADR (LUKOY) [ST] DESCRIPTION: Partial sales less than $1,000	JT	None	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ MACOM Technology Solutions Holdings, Inc. (MTSI) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Magnolia Oil & Gas Corporation Class A (MGY) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Manulife Financial Corporation (MFC) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Marriott International - Class A (MAR) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Matador Resources Company (MTDR) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Match Group, Inc. (MTCH) [ST]	JT	None	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ McKesson Corporation (MCK) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Medpace Holdings, Inc. (MEDP) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ MercadoLibre, Inc. (MELI) [ST]	JT	$1,001 - $15,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
DESCRIPTION: 2022 purchases less than $1,000					
Morgan Stanley - Select UMA Account # 1 ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Meta Platforms, Inc. - Class A (FB) [ST] DESCRIPTION: Overall loss	JT	None	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Microsoft Corporation (MSFT) [ST]	JT	$50,001 - $100,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Minerals Technologies Inc. (MTX) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Moderna, Inc. (MRNA) [ST]	JT	None	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ MongoDB, Inc. - Class A (MDB) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Monster Beverage Corporation (MNST) [ST]	JT	$1,001 - $15,000	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Morgan Stanley (MS) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Morgan Stanley Bank N.A. # [BA]	JT	$15,001 - $50,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ MSCI Inc (MSCI) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ National Health Investors, Inc. (NHI) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]	JT	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Netflix, Inc. (NFLX) [ST]	JT	None	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒	JT	$1,001 - $15,000	None		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Nintendo Co Ltd ADR (NTDOY) [ST]					☑
Morgan Stanley - Select UMA Account # 1 ⇒ Nitori Holdings Co. Ltd ADR (NCLTY) [OT] DESCRIPTION: ADR stock	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Norfolk Southern Corporation (NSC) [ST]	JT	$15,001 - $50,000	Capital Gains, Dividends	$5,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ NorthWestern Corporation (NWE) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Novanta Inc. - Common Shares (NOVT) [ST]	JT	$1,001 - $15,000	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Novozymes A/S Unsponsored American Depositary Receipt (NVZMY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ NVIDIA Corporation (NVDA) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ OceanFirst Financial Corp. (OCFC) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Ollie's Bargain Outlet Holdings, Inc. (OLLI) [ST]	JT	None	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Open Lending Corporation - Class A (LPRO) [ST] DESCRIPTION: Sale less than $1,000	JT	None	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Oracle Corporation (ORCL) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Oxford Industries, Inc. (OXM) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Pacific Premier Bancorp Inc (PPBI) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Patrick Industries, Inc. (PATK) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Patterson-UTI Energy, Inc. (PTEN) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Paychex, Inc. (PAYX) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Paycor HCM, Inc. (PYCR) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Paylocity Holding Corporation (PCTY) [ST]	JT	None	Capital Gains	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	None	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Performance Food Group Company (PFGC) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Phreesia, Inc. (PHR) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Ping An Insurance (PNGAY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Primoris Services Corporation (PRIM) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Progressive Corporation (PGR) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Progyny, Inc. (PGNY) [ST] DESCRIPTION: Purchases less than $1,000	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ PT Telekomunikasi Indonesia, Tbk (TLK) [ST]	JT	$1,001 - $15,000	Dividends	$1 - $200	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Rapid7, Inc. (RPD) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ RBC Bearings Incorporated (ROLL) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒	JT	$15,001 - $50,000	None		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Regeneron Pharmaceuticals, Inc. (REGN) [ST]					☐
Morgan Stanley - Select UMA Account # 1 ⇒ Revolve Group, Inc. Class A (RVLV) [ST]	JT	$1 - $1,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Rio Tinto Plc (RIO) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Ritchie Bros. Auctioneers Incorporated (RBA) [ST]	JT	None	Capital Gains, Dividends	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Roche Holdings AG Basel American Depositary Shares (RHHBY) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Rockwell Automation, Inc. (ROK) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Royal Dutch Shell PLC American Depositary Shares (SHEL) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐

DESCRIPTION: Name change - previously reported as Royal Dutch Shell PLC Royal Dutch Shell PLC American Depositary Shares (RDS.B)

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Ryman Hospitality Properties, Inc. (RHP) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Salesforce, Inc. (CRM) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ SAP SE ADS (SAP) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Schneider Electric SE Unsponsored ADR (SBGSY) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Seacoast Banking Corporation of Florida (SBCF) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Seagen Inc. (SGEN) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ SGS SA ADR (SGSOY) [ST]	JT	None	None		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Sherwin-Williams Company (SHW) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Shimano Inc. ADR [OT] DESCRIPTION: ADR stock	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Shionogi & Co Ltd Unsponsored ADR (SGIOY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Shiseido Co Ltd Ord American Depositary Shares (SSDOY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Shoals Technologies Group, Inc. - Class A (SHLS) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Silgan Holdings Inc. (SLGN) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Silicon Laboratories, Inc. (SLAB) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Skyline Champion Corporation (SKY) [ST]	JT	$1,001 - $15,000	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Snap Inc. Class A (SNAP) [ST]	JT	None	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Sonova Holding AG Unsponsored ADR (SONVY) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$1,001 - $2,500	☐
Morgan Stanley - Select UMA Account # 1 ⇒ SPX Corporation (SPXC) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Stag Industrial, Inc. (STAG) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Starbucks Corporation (SBUX) [ST]	JT	$15,001 - $50,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Stifel Financial Corporation (SF) [ST]	JT	$1,001 - $15,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Supernus Pharmaceuticals, Inc. (SUPN) [ST]	JT	$1,001 - $15,000	Capital Gains	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ SVB Financial Group (SIVB) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Symrise Ag Unsponsored ADR (SYIEY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Syneos Health, Inc. - Class A (SYNH) [ST] DESCRIPTION: Overall loss	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Synopsys, Inc. (SNPS) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Sysmex Corporation Unsponsored ADR (SSMXY) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	JT	$1,001 - $15,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Tapestry, Inc. (TPR) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Target Corporation (TGT) [ST]	JT	$15,001 - $50,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Tencent Holdings Limited Unsponsored ADR Representing B Shares (TCEHY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Terex Corporation (TEX) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	$1,001 - $15,000	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Texas Instruments Incorporated (TXN) [ST]	JT	$15,001 - $50,000	Capital Gains, Dividends	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Texas Roadhouse, Inc. (TXRH) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ The Blackstone Group Inc. (BX) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$2,501 - $5,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ The Descartes Systems Group Inc. (DSGX) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ The Shyft Group, Inc. (SHYF) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ The Trade Desk, Inc. - Class A (TTD) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Thermo Fisher Scientific Inc (TMO) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Thoughtworks Holding, Inc. (TWKS) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Trane Technologies plc (TT) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Unicharm Corp Sponsored ADR (UNICY) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Unilever PLC (UL) [ST]	JT	None	Capital Gains, Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ United Parcel Service, Inc. (UPS) [ST]	JT	$15,001 - $50,000	Dividends	$201 - $1,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	JT	$15,001 - $50,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Universal Forest Products, Inc. (UFPI) [ST]	JT	$1,001 - $15,000	Capital Gains, Dividends	$201 - $1,000	☑
DESCRIPTION: Name change from UFP Industries, Inc. (UFPI) as reported on 2/14/22 transaction;					
Morgan Stanley - Select UMA Account # 1 ⇒ US Ecology, Inc. (ECOL) [ST]	JT	None	Capital Gains	$1,001 - $2,500	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index FD (VEU) [EF]	JT	None	None		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ Veracyte, Inc. (VCYT) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Verisk Analytics, Inc. (VRSK) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Veritex Holdings, Inc. (VBTX) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Verizon Communications Inc. (VZ) [ST]	JT	$15,001 - $50,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Viavi Solutions Inc. (VIAV) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Wolverine World Wide, Inc. (WWW) [ST]	JT	$1,001 - $15,000	None		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Woodside Energy Group Limited American Depositary Shares, each representing one Ordinary Share (WDS) [ST]	JT	$1,001 - $15,000	None		☐

DESCRIPTION: Received shares of Woodside Energy Group (WDS) in exchange for BHP Group Limited (BHP) as a result of spinoff. The value of the spinoff was less than $1,000.

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - Select UMA Account # 1 ⇒ XP Inc. - Class A (XP) [ST]	JT	$1,001 - $15,000	None		☑
Morgan Stanley - Select UMA Account # 1 ⇒ Yandex N.V. - Class A Ordinary Shares (YNDX) [ST]	JT	None	None		☐

DESCRIPTION: Value less than $1,000

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley - SEP / Traditional IRA ⇒ American Cap Inc Builder A (CAIBX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - SEP / Traditional IRA ⇒ American Gr Fd of America A (AGTHX) [MF]		$15,001 - $50,000	Tax-Deferred		☐
Morgan Stanley - SEP / Traditional IRA ⇒ American SmallCap World A (SMCWX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - SEP / Traditional IRA ⇒ American Wa Mutual A (AWSHX) [MF]		$15,001 - $50,000	Tax-Deferred		☐
Morgan Stanley - SEP / Traditional IRA ⇒		$1 - $1,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Morgan Stanley Bank N.A. # [IH]					☐
Morgan Stanley - SEP / Traditional IRA ⇒ MSILF GOVERNMENT PTF INST (MVRXX) [MF] DESCRIPTION: Money Market Fund		$15,001 - $50,000	Tax-Deferred		☐
Morgan Stanley - Traditional Inherited IRA ⇒ ACAP Strategic Interval Common (XCAPX) [MF]		$100,001 - $250,000	Tax-Deferred		☐
Morgan Stanley - Traditional Inherited IRA ⇒ Morgan Stanley Bank N.A. # [BA]		$1 - $1,000	Tax-Deferred		☐
Morgan Stanley - Traditional Inherited IRA ⇒ MSILF GOVERNMENT PTF INST (MVRXX) [MF] DESCRIPTION: Money Market Fund		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ iShares MSCI EAFE ETF (EFA) [ST]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ Morgan Stanley Bank N.A. # [IH]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ Russell Inv Emerging Markets S (REMSX) [MF]		$1 - $1,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ Russell Inv Glb Infrastrctre S (RGISX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ Russell Inv Glb Opprnstc Crd S (RGCSX) [MF]		$1 - $1,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ Russell Inv Glb Real Est Sec S (RRESX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ Russell Inv Global Equity S (RGESX) [MF]		$15,001 - $50,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ Russell Inv Intl Dev Mkts S (RINTX) [MF]		$15,001 - $50,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒ Russell Inv US Small Cap Eq S (RLESX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Morgan Stanley - Traditional IRA ⇒		$15,001 - $50,000	Tax-Deferred		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Russell Inv US Strat Eq S (RSESX) [MF]					☐
Morgan Stanley - Traditional IRA ⇒ SPDR S&P 500 (SPY) [EF]		$1,001 - $15,000	Tax-Deferred		☐
QPG Ambassador Investors LLC [OL] LOCATION: New York, NY, US DESCRIPTION: Real Estate		$1,001 - $15,000	None		☐
Synchrony Bank [BA]		$15,001 - $50,000	Interest	$201 - $1,000	☐
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ Fidelity 500 Index [MF]	SP	$50,001 - $100,000	Tax-Deferred		☐
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ Oakmark International Ret Acct [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ Schwab Small Cap Index [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ SSgA Emerging Markets Index Ret Acct [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ SSgA S&P Mid Cap Index Ret Acct [MF]	SP	$1,001 - $15,000	Tax-Deferred		☐
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ TA Vanguard Instl Trg Re 2040 [MF]	SP	$100,001 - $250,000	Tax-Deferred		☑
Tusk Venture Partners I GP, LLC [OL] LOCATION: New York, NY, US DESCRIPTION: Technology company		$100,001 - $250,000	None		☐
Tusk Venture Partners II GP, LLC [OL] LOCATION: New York, NY, US DESCRIPTION: Technology company		$1,001 - $15,000	None		☐
Tusk Venture Partners III GP LLC [OL] LOCATION: New York, NY, US DESCRIPTION: Technology company	SP	$1,001 - $15,000	None		☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Vanguard SEP IRA ⇒ Target Retirement 2040 [MF]	SP	$15,001 - $50,000	Tax-Deferred		☐
Wealthfront ⇒ Alphabet Inc. - Class A (GOOGL) [ST]		$1,001 - $15,000	None		☐
Wealthfront ⇒ Apple Inc. (AAPL) [ST]		$1,001 - $15,000	None		☐
Wealthfront ⇒ Bank of America Corporation (BAC) [ST]		$1,001 - $15,000	None		☐
Wealthfront ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [EF]		$1,001 - $15,000	None		☐
Wealthfront ⇒ Johnson & Johnson (JNJ) [ST]		$1,001 - $15,000	None		☐
Wealthfront ⇒ JP Morgan Chase & Co. (JPM) [ST]		$1,001 - $15,000	None		☐
Wealthfront ⇒ Meta Platforms, Inc. - Class A (META) [ST] DESCRIPTION: Previously reported as Facebook, Inc. - Class A (FB)		$1 - $1,000	None		☐
Wealthfront ⇒ Schwab International Equity ETF (SCHF) [ST]		$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
Wealthfront ⇒ Vanguard Extended Market ETF (VXF) [EF]		$15,001 - $50,000	Dividends	$201 - $1,000	☐
Wealthfront ⇒ Vanguard FTSE Developed Markets ETF (VEA) [EF]		$1,001 - $15,000	None		☐
Wealthfront ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [EF]		$15,001 - $50,000	Dividends	$201 - $1,000	☑
Wealthfront ⇒ Vanguard Mun DB FD Inc Tax Exempt Bond (VTEB) [MF]		$1,001 - $15,000	None		☐
Wealthfront ⇒ Vanguard S&P 500 ETF (VOO) [EF]		$1,001 - $15,000	None		☐
Wealthfront ⇒		$15,001 - $50,000	Dividends	$201 -	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Wealthfront Risk Parity Fund (WFRPX) [MF]				$1,000	☐
Wells Fargo - SEP IRA ⇒ Fidelity 500 Index Fund (FXAIX) [MF]		$15,001 - $50,000	Tax-Deferred		☐
Wells Fargo - SEP IRA ⇒ Fidelity Asset Manager (FAMRX) [MF]		$50,001 - $100,000	Tax-Deferred		☐
Wells Fargo - SEP IRA ⇒ Fidelity Diversified International (FDIVX) [MF]		$1,001 - $15,000	Tax-Deferred		☐
Wells Fargo - SEP IRA ⇒ Morgan Stanley - Bank Deposit [IH]		$1,001 - $15,000	Tax-Deferred		☐
Wells Fargo - SEP IRA ⇒ SPDR S&P 500 ETF TR Unit Ser 1 (SPY) [EF]		$15,001 - $50,000	Tax-Deferred		☐
Wells Fargo Bank Accounts [BA] DESCRIPTION: Checking & Savings		$15,001 - $50,000	None		☐
WPP - Vanguard - The Savings & Investment Plan ⇒ AmerFunds Euro Pacific GR R6 [MF]		$15,001 - $50,000	Tax-Deferred		☐
WPP - Vanguard - The Savings & Investment Plan ⇒ T Rowe Intl Small Cap Stock [MF]		$50,001 - $100,000	Tax-Deferred		☐
WPP - Vanguard - The Savings & Investment Plan ⇒ T Rowe Price Inc Mid Cap Eq GR [MF]		$50,001 - $100,000	Tax-Deferred		☐
WPP - Vanguard - The Savings & Investment Plan ⇒ Vanguard Target Retirement 2045 TR [MF]		$100,001 - $250,000	Tax-Deferred		☐
WPP plc American Depositary Shares (WPP) [ST]		$15,001 - $50,000	Dividends	$1,001 - $2,500	☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
105 Heady Creek, LLC [OL] LOCATION: Southhampton, NY, US DESCRIPTION: Real Estate	SP	05/24/2022	S	$50,001 - $100,000	✓
Fidelity Brokerage ⇒ Pimco Short Term Fund CL I3 (PTSNX) [MF]		11/30/2022	P	$1,001 - $15,000	
Fidelity Brokerage ⇒ Pimco Short Term Fund CL I3 (PTSNX) [MF]		12/27/2022	P	$1,001 - $15,000	
Fidelity Brokerage ⇒ Pimco Short Term Fund CL I3 (PTSNX) [MF]		03/28/2022	S (partial)	$100,001 - $250,000	☐
Fidelity Brokerage ⇒ Pimco Short Term Fund CL I3 (PTSNX) [MF]		07/29/2022	P	$1,001 - $15,000	
Fidelity Brokerage ⇒ Pimco Short Term Fund CL I3 (PTSNX) [MF]		08/31/2022	P	$1,001 - $15,000	
Fidelity Brokerage ⇒ Pimco Short Term Fund CL I3 (PTSNX) [MF]		09/30/2022	P	$1,001 - $15,000	
Fidelity Brokerage ⇒ Pimco Short Term Fund CL I3 (PTSNX) [MF]		10/31/2022	P	$1,001 - $15,000	
JANA STRATEGIC INVESTMENTS BENCHMARK FUND, LP [HE]		05/1/2022	P	$100,001 - $250,000	
Morgan Stanley - Active Asset Account # 1 ⇒ Allianz SE ADR (ALIZY) [OT] LOCATION: US DESCRIPTION: ADR stock		07/29/2022	P	$1,001 - $15,000	
Morgan Stanley - Active Asset Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		07/20/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Active Asset Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		07/27/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Active Asset Account # 1 ⇒ Apple Inc. (AAPL) [ST]		07/15/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Active Asset Account # 1 ⇒ Capital One Financial Corporation (COF) [ST]		07/15/2022	P	$1,001 - $15,000	
Morgan Stanley - Active Asset Account # 1 ⇒ Deere & Company (DE) [ST]		07/15/2022	P	$1,001 - $15,000	
Morgan Stanley - Active Asset Account # 1 ⇒ Deere & Company (DE) [ST]		07/29/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Active Asset Account # 1 ⇒ Equifax, Inc. (EFX) [ST]		07/15/2022	P	$1,001 - $15,000	
Morgan Stanley - Active Asset Account # 1 ⇒ Fidelity National Information Services, Inc. (FIS) [ST]		07/15/2022	P	$1,001 - $15,000	
Morgan Stanley - Active Asset Account # 1 ⇒ Helen of Troy Limited (HELE) [ST]		07/22/2022	P	$1,001 - $15,000	
Morgan Stanley - Active Asset Account # 1 ⇒ International Business Machines Corporation (IBM) [ST]		07/19/2022	P	$1,001 - $15,000	
Morgan Stanley - Active Asset Account # 1 ⇒ Intuit Inc. (INTU) [ST]		07/15/2022	P	$1,001 - $15,000	
Morgan Stanley - Active Asset Account # 1 ⇒ Medpace Holdings, Inc. (MEDP) [ST]		07/22/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Active Asset Account # 1 ⇒ Microsoft Corporation (MSFT) [ST]		07/15/2022	P	$1,001 - $15,000	
Morgan Stanley - Active Asset Account # 1 ⇒ Nintendo Co Ltd ADR (NTDOY) [ST]		07/15/2022	P	$1,001 - $15,000	
Morgan Stanley - Active Asset Account # 1 ⇒ Novanta Inc. - Common Shares (NOVT) [ST]		07/25/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Active Asset Account # 1 ⇒ Oracle Corporation (ORCL) [ST]		07/15/2022	P	$1,001 - $15,000	
Morgan Stanley - Active Asset Account # 1 ⇒ Ritchie Bros. Auctioneers Incorporated (RBA) [ST]		07/22/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Active Asset Account # 1 ⇒		07/21/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Salesforce, Inc. (CRM) [ST]					
Morgan Stanley - Active Asset Account # 1 ⇒ Shiseido Co Ltd Ord American Depositary Shares (SSDOY) [ST]		07/15/2022	P	$1,001 - $15,000	
Morgan Stanley - Active Asset Account # 1 ⇒ Starbucks Corporation (SBUX) [ST]		07/15/2022	P	$15,001 - $50,000	
Morgan Stanley - Active Asset Account # 1 ⇒ SVB Financial Group (SIVB) [ST]		07/15/2022	P	$1,001 - $15,000	
Morgan Stanley - Active Asset Account # 1 ⇒ Target Corporation (TGT) [ST]		07/15/2022	P	$1,001 - $15,000	
Morgan Stanley - Active Asset Account # 1 ⇒ Trane Technologies plc (TT) [ST]		07/15/2022	P	$1,001 - $15,000	
Morgan Stanley - Active Asset Account # 1 ⇒ United Parcel Service, Inc. (UPS) [ST]		07/15/2022	P	$1,001 - $15,000	
Morgan Stanley - Active Asset Account # 1 ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]		07/27/2022	P	$1,001 - $15,000	
Morgan Stanley - Active Asset Account # 1 ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]		07/29/2022	P	$1,001 - $15,000	
Morgan Stanley - Active Asset Account # 1 ⇒ XP Inc. - Class A (XP) [ST]		07/15/2022	P	$1,001 - $15,000	
Morgan Stanley - Portfolio Management ⇒ ARTISAN DEVELOPING WORLD ADV (APDYX) [MF]	JT	12/29/2022	P	$50,001 - $100,000	
Morgan Stanley - Portfolio Management ⇒ Invesco Developing Mkts Y (ODVYX) [MF]	JT	12/29/2022	S	$50,001 - $100,000	☐
Morgan Stanley - Portfolio Management ⇒ Invesco Dynamic Leisure and Entertainment ETF (PEJ) [EF]	JT	12/29/2022	S	$15,001 - $50,000	☐
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $145; Expires 9/16/2022	JT	01/25/2022	P	$50,001 - $100,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $160; Expires 1/20/2023	JT	02/10/2022	S	$500,001 - $1,000,000	✓
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $155; Expires 1/20/2023	JT	02/10/2022	S	$250,001 - $500,000	✓
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $150; Expires 1/20/2023	JT	02/10/2022	S	$1,000,001 - $5,000,000	✓
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $145; Expires 1/20/2023	JT	02/10/2022	S	$250,001 - $500,000	✓
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $160; Expires 9/16/2022	JT	02/10/2022	P	$500,001 - $1,000,000	
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $150; Expires 9/16/2022	JT	02/10/2022	P	$1,000,001 - $5,000,000	
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike Price $145; Expires 9/16/2022	JT	02/10/2022	P	$500,001 - $1,000,000	
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike price $145; Expires 01/20/23	JT	08/11/2022	P	$250,001 - $500,000	
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike price $150; Expires 01/20/23	JT	08/11/2022	P	$1,000,001 - $5,000,000	
Morgan Stanley - Portfolio Management Active Assets Account	JT	08/11/2022	P	$1,000,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike price $150; Expires 01/20/23				$5,000,000	
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike price $150; Expires 01/20/23	JT	08/11/2022	P	$1,000,001 - $5,000,000	
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike price $155; Expires 01/20/23	JT	08/11/2022	P	$250,001 - $500,000	
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike price $160; Expires 01/20/23	JT	08/11/2022	P	$250,001 - $500,000	
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike price $160; Expires 03/17/23	JT	08/11/2022	S	$250,001 - $500,000	☐
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike price $160; Expires 03/17/23	JT	08/11/2022	S	$250,001 - $500,000	☐
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike price $150; Expires 06/16/23	JT	08/11/2022	S	$1,000,001 - $5,000,000	☐
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike price $150; Expires 06/16/23	JT	08/11/2022	S	$1,000,001 - $5,000,000	☐
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike price $155; Expires 06/16/23	JT	08/11/2022	S	$250,001 - $500,000	☐
Morgan Stanley - Portfolio Management Active Assets Account ⇒	JT	08/11/2022	S	$1,000,001 - $5,000,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike price $155; Expires 06/16/23					
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [ST]	JT	08/17/2022	S (partial)	$100,001 - $250,000	☐
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [OP] DESCRIPTION: Call options; Strike price $150; Expires 06/16/23	JT	08/17/2022	S	$100,001 - $250,000	☐
Morgan Stanley - Portfolio Management Active Assets Account ⇒ Microsoft Corporation (MSFT) [ST]	JT	08/17/2022	P	$100,001 - $250,000	
Morgan Stanley - Select UMA Account # 1 ⇒ AbbVie Inc. (ABBV) [ST]	JT	01/28/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ AbbVie Inc. (ABBV) [ST]	JT	02/18/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ AbbVie Inc. (ABBV) [ST]	JT	02/9/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ AbbVie Inc. (ABBV) [ST]	JT	03/8/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ AbbVie Inc. (ABBV) [ST]	JT	03/17/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ ABIOMED, Inc. (ABMD) [ST]	JT	09/14/2022	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Advanced Micro Devices, Inc. (AMD) [ST]	JT	01/31/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Advanced Micro Devices, Inc. (AMD) [ST]	JT	01/26/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Adyen NV ADR (ADYEY) [OT] LOCATION: US DESCRIPTION: ADR stock	JT	05/6/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Affirm Holdings, Inc. - Class A (AFRM) [ST] DESCRIPTION: 12/31/21 value was less than $1,000	JT	02/11/2022	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Air Products and Chemicals, Inc. (APD) [ST]	JT	03/15/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Air Products and Chemicals, Inc. (APD) [ST]	JT	04/19/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Airbnb, Inc. - Class A (ABNB) [ST]	JT	10/19/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Align Technology, Inc. (ALGN) [ST]	JT	01/31/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Align Technology, Inc. (ALGN) [ST]	JT	04/28/2022	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Align Technology, Inc. (ALGN) [ST]	JT	02/1/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Allianz SE ADR (ALIZY) [OT] LOCATION: US DESCRIPTION: ADR stock	JT	09/15/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Allianz SE ADR (ALIZY) [OT] LOCATION: US DESCRIPTION: ADR stock	JT	10/17/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alnylam Pharmaceuticals, Inc. (ALNY) [ST]	JT	09/28/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	03/9/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	10/27/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	11/4/2022	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	JT	11/2/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Amgen Inc. (AMGN) [ST]	JT	03/3/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Amgen Inc. (AMGN) [ST]	JT	05/11/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Amgen Inc. (AMGN) [ST]	JT	10/19/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Amgen Inc. (AMGN) [ST]	JT	12/9/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	03/9/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	03/15/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	04/19/2022	P	$15,001 - $50,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	06/14/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	08/26/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	10/25/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Apple Inc. (AAPL) [ST]	JT	10/19/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Arcutis Biotherapeutics, Inc. - Common stock (ARQT) [ST]	JT	06/15/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ ASML Holding N.V. - New York Registry Shares (ASML) [ST]	JT	01/26/2022	S (partial)	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Automatic Data Processing, Inc. (ADP) [ST]	JT	08/12/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Automatic Data Processing, Inc. (ADP) [ST]	JT	09/26/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Automatic Data Processing, Inc. (ADP) [ST]	JT	12/20/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ AutoZone, Inc. (AZO) [ST]	JT	11/11/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Avient Corporation (AVNT) [ST]	JT	06/21/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	04/1/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ BHP Group Limited American Depositary Shares (BHP) [ST]	JT	09/15/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ BHP Group Limited American Depositary Shares (BHP) [ST]	JT	10/17/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ BioCryst Pharmaceuticals, Inc. (BCRX) [ST]	JT	08/11/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Biogen Inc. (BIIB) [ST]	JT	01/10/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Biogen Inc. (BIIB) [ST]	JT	03/17/2022	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Blackstone Inc. (BX) [ST]	JT	03/9/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Blackstone Inc. (BX) [ST]	JT	05/2/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Blackstone Inc. (BX) [ST]	JT	08/11/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒	JT	09/14/2022	S	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Blackstone Inc. (BX) [ST]			(partial)		
Morgan Stanley - Select UMA Account # 1 ⇒ Blackstone Inc. (BX) [ST]	JT	10/21/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Blackstone Inc. (BX) [ST]	JT	12/5/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Block, Inc. Class A Common Stock, (SQ) [ST]	JT	02/2/2022	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Booking Holdings Inc. (BKNG) [ST]	JT	04/14/2022	S	$15,001 - $50,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Boot Barn Holdings, Inc. (BOOT) [ST]	JT	01/31/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Capital One Financial Corporation (COF) [ST]	JT	01/10/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Capital One Financial Corporation (COF) [ST]	JT	06/14/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Carvana Co. Class A (CVNA) [ST]	JT	03/7/2022	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Castle Biosciences, Inc. - Common stock (CSTL) [ST]	JT	03/15/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Cerence Inc. (CRNC) [ST]	JT	04/19/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Cerence Inc. (CRNC) [ST]	JT	08/15/2022	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ ChampionX Corporation (CHX) [ST]	JT	04/21/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Charles Schwab Corporation (SCHW) [ST]	JT	10/18/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Check Point Software Technologies Ltd. - Ordinary Shares	JT	05/5/2022	S (partial)	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
(CHKP) [ST]					
Morgan Stanley - Select UMA Account # 1 ⇒ Check Point Software Technologies Ltd. - Ordinary Shares (CHKP) [ST]	JT	05/6/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Check Point Software Technologies Ltd. - Ordinary Shares (CHKP) [ST]	JT	05/9/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Check Point Software Technologies Ltd. - Ordinary Shares (CHKP) [ST]	JT	05/10/2022	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ ChemoCentryx, Inc. (CCXI) [ST]	JT	03/15/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ ChemoCentryx, Inc. (CCXI) [ST]	JT	04/19/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ ChemoCentryx, Inc. (CCXI) [ST]	JT	08/11/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ ChemoCentryx, Inc. (CCXI) [ST]	JT	10/20/2022	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Cheniere Energy, Inc. (LNG) [ST]	JT	10/13/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Chevron Corporation (CVX) [ST]	JT	04/1/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Coca-Cola Company (KO) [ST]	JT	01/31/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Coca-Cola Company (KO) [ST]	JT	02/23/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Coca-Cola Company (KO) [ST]	JT	02/3/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Coca-Cola Company (KO) [ST]	JT	03/4/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Coca-Cola Company (KO) [ST]	JT	03/8/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Coca-Cola Company (KO) [ST]	JT	08/5/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Cognizant Technology Solutions Corporation - Class A (CTSH) [ST]	JT	03/4/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Cognizant Technology Solutions Corporation - Class A (CTSH) [ST]	JT	03/29/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Cognizant Technology Solutions Corporation - Class A (CTSH) [ST]	JT	04/27/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Cognizant Technology Solutions Corporation - Class A (CTSH) [ST]	JT	09/15/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Cognizant Technology Solutions Corporation - Class A (CTSH) [ST]	JT	10/17/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ ConocoPhillips (COP) [ST]	JT	04/14/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ ConocoPhillips (COP) [ST]	JT	04/28/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ ConocoPhillips (COP) [ST]	JT	05/23/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Covetrus, Inc. (CVET) [ST]	JT	03/15/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Covetrus, Inc. (CVET) [ST]	JT	04/19/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Covetrus, Inc. (CVET) [ST]	JT	09/15/2022	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ CSPC Pharmaceuticals Group ADR (CSPCY) [OT] LOCATION: US DESCRIPTION: ADR stock	JT	03/15/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ CSPC Pharmaceuticals Group ADR (CSPCY) [OT] LOCATION: US DESCRIPTION: ADR stock	JT	04/19/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Daifuku Co., Ltd ADR (DFKCY) [OT] LOCATION: US DESCRIPTION: ADR stock	JT	06/29/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Daifuku Co., Ltd ADR (DFKCY) [OT] LOCATION: US DESCRIPTION: ADR stock	JT	06/30/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Deere & Company (DE) [ST]	JT	05/18/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Deere & Company (DE) [ST]	JT	06/14/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Deere & Company (DE) [ST]	JT	09/12/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Deere & Company (DE) [ST]	JT	10/24/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ DexCom, Inc. (DXCM) [ST]	JT	09/28/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Diageo plc (DEO) [ST]	JT	06/28/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Diageo plc (DEO) [ST]	JT	06/29/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Eli Lilly and Company (LLY) [ST]	JT	03/16/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Eli Lilly and Company (LLY) [ST]	JT	03/29/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Eli Lilly and Company (LLY) [ST]	JT	04/14/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Eli Lilly and Company (LLY) [ST]	JT	04/28/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Eli Lilly and Company (LLY) [ST]	JT	10/19/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Eli Lilly and Company (LLY) [ST]	JT	12/7/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Endava plc American Depositary Shares (DAVA) [ST]	JT	03/4/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Endava plc American Depositary Shares (DAVA) [ST]	JT	03/15/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ ENN Energy Holdings Ltd Unsponsored ADR (XNGSY) [ST]	JT	03/15/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ ENN Energy Holdings Ltd Unsponsored ADR (XNGSY) [ST]	JT	04/19/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Enphase Energy, Inc. (ENPH) [ST]	JT	02/9/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Equifax, Inc. (EFX) [ST]	JT	06/14/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Equifax, Inc. (EFX) [ST]	JT	10/20/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Estee Lauder Companies, Inc. (EL) [ST]	JT	01/26/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Estee Lauder Companies, Inc. (EL) [ST]	JT	04/14/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒	JT	11/7/2022	S	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Estee Lauder Companies, Inc. (EL) [ST]			(partial)		
Morgan Stanley - Select UMA Account # 1 ⇒ Etsy, Inc. (ETSY) [ST]	JT	02/2/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Etsy, Inc. (ETSY) [ST]	JT	03/7/2022	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Fidelity National Information Services, Inc. (FIS) [ST]	JT	01/26/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Fidelity National Information Services, Inc. (FIS) [ST]	JT	01/25/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Fidelity National Information Services, Inc. (FIS) [ST]	JT	02/28/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Fidelity National Information Services, Inc. (FIS) [ST]	JT	03/9/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Fidelity National Information Services, Inc. (FIS) [ST]	JT	06/14/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Fidelity National Information Services, Inc. (FIS) [ST]	JT	09/15/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Fidelity National Information Services, Inc. (FIS) [ST]	JT	10/17/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Fidelity National Information Services, Inc. (FIS) [ST]	JT	12/1/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Flywire Corporation - Voting (FLYW) [ST]	JT	09/27/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Flywire Corporation - Voting (FLYW) [ST]	JT	11/14/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Fomento Economico Mexicano S.A.B. de C.V. (FMX) [ST]	JT	05/10/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Franklin Resources, Inc. (BEN) [ST]	JT	01/26/2022	S	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Franklin Resources, Inc. (BEN) [ST]	JT	01/25/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Freeport-McMoRan, Inc. (FCX) [ST]	JT	01/28/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Glacier Bancorp, Inc. (GBCI) [ST]	JT	03/9/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Haier Smart Home Co., Ltd ADR (HSHCY) [OT] LOCATION: US DESCRIPTION: ADR stock	JT	03/3/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Haier Smart Home Co., Ltd ADR (HSHCY) [OT] LOCATION: US DESCRIPTION: ADR stock	JT	03/4/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Haier Smart Home Co., Ltd ADR (HSHCY) [OT] LOCATION: US DESCRIPTION: ADR stock	JT	03/15/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Haier Smart Home Co., Ltd ADR (HSHCY) [OT] LOCATION: US DESCRIPTION: ADR stock	JT	04/19/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Halozyme Therapeutics, Inc. (HALO) [ST]	JT	08/5/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Halozyme Therapeutics, Inc. (HALO) [ST]	JT	12/6/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ HCA Healthcare, Inc. (HCA) [ST]	JT	06/21/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ HCA Healthcare, Inc. (HCA) [ST]	JT	09/28/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ HealthEquity, Inc. (HQY) [ST]	JT	01/10/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ HubSpot, Inc. (HUBS) [ST]	JT	03/8/2022	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ ICU Medical, Inc. (ICUI) [ST]	JT	02/14/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Infineon Technologies AG (IFNNY) [ST]	JT	05/6/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Insmed Incorporated (INSM) [ST]	JT	12/6/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Intel Corporation (INTC) [ST]	JT	02/28/2022	S	$15,001 - $50,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ International Business Machines Corporation (IBM) [ST]	JT	05/26/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ International Business Machines Corporation (IBM) [ST]	JT	11/2/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Intuit Inc. (INTU) [ST]	JT	02/3/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Intuit Inc. (INTU) [ST]	JT	03/15/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Intuit Inc. (INTU) [ST]	JT	04/19/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Intuit Inc. (INTU) [ST]	JT	06/14/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Intuitive Surgical, Inc. (ISRG) [ST]	JT	01/26/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	01/10/2022	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	04/19/2022	S	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	06/15/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	07/15/2022	S	$50,001 - $100,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	10/17/2022	S	$15,001 - $50,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	03/15/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	03/31/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	06/14/2022	P	$15,001 - $50,000	
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	JT	09/15/2022	P	$15,001 - $50,000	
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	01/10/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	01/28/2022	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	03/15/2022	P	$15,001 - $50,000	
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	04/19/2022	S	$15,001 - $50,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	06/14/2022	P	$15,001 - $50,000	
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	06/28/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	07/15/2022	S	$15,001 - $50,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒	JT	09/15/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
iShares Russell 1000 Growth (IWF) [EF]					
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 1000 Growth (IWF) [EF]	JT	10/17/2022	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 2000 ETF (IWM) [EF]	JT	01/10/2022	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 2000 ETF (IWM) [EF]	JT	03/15/2022	P	$15,001 - $50,000	
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 2000 ETF (IWM) [EF]	JT	04/19/2022	S	$15,001 - $50,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 2000 ETF (IWM) [EF]	JT	09/15/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ iShares Russell 2000 ETF (IWM) [EF]	JT	10/17/2022	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ ITT Inc. (ITT) [ST]	JT	06/21/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Kering Unsponsored ADR (PPRUY) [ST]	JT	06/28/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Kering Unsponsored ADR (PPRUY) [ST]	JT	06/29/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Lam Research Corporation (LRCX) [ST]	JT	01/26/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ LHC Group - common stock (LHCG) [ST]	JT	05/9/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ LHC Group - common stock (LHCG) [ST]	JT	06/21/2022	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Lowe's Companies, Inc. (LOW) [ST]	JT	03/9/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Lowe's Companies, Inc. (LOW) [ST]	JT	04/1/2022	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Lowe's Companies, Inc. (LOW) [ST]	JT	02/1/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Lowe's Companies, Inc. (LOW) [ST]	JT	08/16/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Magnolia Oil & Gas Corporation Class A (MGY) [ST]	JT	04/21/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Magnolia Oil & Gas Corporation Class A (MGY) [ST]	JT	09/27/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Manulife Financial Corporation (MFC) [ST]	JT	06/28/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Marriott International - Class A (MAR) [ST]	JT	04/14/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Marriott International - Class A (MAR) [ST]	JT	05/26/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Marriott International - Class A (MAR) [ST]	JT	11/16/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Match Group, Inc. (MTCH) [ST]	JT	01/18/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Match Group, Inc. (MTCH) [ST]	JT	04/20/2022	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ McKesson Corporation (MCK) [ST]	JT	03/9/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Meta Platforms, Inc. - Class A (FB) [ST]	JT	02/7/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Meta Platforms, Inc. - Class A (FB) [ST]	JT	04/20/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Meta Platforms, Inc. - Class A (FB) [ST]	JT	10/27/2022	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Microsoft Corporation (MSFT) [ST]	JT	03/9/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Microsoft Corporation (MSFT) [ST]	JT	06/14/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Microsoft Corporation (MSFT) [ST]	JT	10/27/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Microsoft Corporation (MSFT) [ST]	JT	10/18/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Moderna, Inc. (MRNA) [ST]	JT	03/16/2022	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ MongoDB, Inc. - Class A (MDB) [ST]	JT	03/15/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ MongoDB, Inc. - Class A (MDB) [ST]	JT	04/19/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ MongoDB, Inc. - Class A (MDB) [ST]	JT	09/15/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ MongoDB, Inc. - Class A (MDB) [ST]	JT	10/17/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Monster Beverage Corporation (MNST) [ST]	JT	02/14/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Monster Beverage Corporation (MNST) [ST]	JT	04/14/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Monster Beverage Corporation (MNST) [ST]	JT	11/7/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Morgan Stanley (MS) [ST]	JT	03/3/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Morgan Stanley (MS) [ST]	JT	04/27/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒	JT	06/28/2022	S	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]			(partial)		☐
Morgan Stanley - Select UMA Account # 1 ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]	JT	06/29/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Netflix, Inc. (NFLX) [ST]	JT	01/28/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Netflix, Inc. (NFLX) [ST]	JT	03/17/2022	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Nintendo Co Ltd ADR (NTDOY) [ST]	JT	06/14/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Nitori Holdings CO LTD ADR (NCLTY) [OT] LOCATION: US DESCRIPTION: ADR Stock	JT	01/10/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Norfolk Southern Corporation (NSC) [ST]	JT	04/1/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ NVIDIA Corporation (NVDA) [ST]	JT	01/31/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ NVIDIA Corporation (NVDA) [ST]	JT	01/26/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ NVIDIA Corporation (NVDA) [ST]	JT	05/27/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ NVIDIA Corporation (NVDA) [ST]	JT	09/15/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ NVIDIA Corporation (NVDA) [ST]	JT	10/17/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ NVIDIA Corporation (NVDA) [ST]	JT	11/8/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Ollie's Bargain Outlet Holdings, Inc. (OLLI) [ST]	JT	01/10/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Ollie's Bargain Outlet Holdings, Inc. (OLLI) [ST]	JT	03/4/2022	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Oracle Corporation (ORCL) [ST]	JT	01/24/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Oracle Corporation (ORCL) [ST]	JT	03/9/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Oracle Corporation (ORCL) [ST]	JT	06/8/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Oracle Corporation (ORCL) [ST]	JT	06/14/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Oracle Corporation (ORCL) [ST]	JT	09/28/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Patrick Industries, Inc. (PATK) [ST]	JT	01/20/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Patterson-UTI Energy, Inc. (PTEN) [ST]	JT	04/21/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Paycor HCM, Inc. (PYCR) [ST]	JT	03/15/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Paycor HCM, Inc. (PYCR) [ST]	JT	04/19/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Paylocity Holding Corporation (PCTY) [ST]	JT	09/21/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Paylocity Holding Corporation (PCTY) [ST]	JT	10/11/2022	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	01/13/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	02/2/2022	S	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Pharmaceutical GRP LTD (CSPCY) [OT] LOCATION: US DESCRIPTION: ADR Stock	JT	01/10/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Pharmaceutical GRP LTD (CSPCY) [OT] LOCATION: US DESCRIPTION: ADR Stock; Name change to - CSPC Pharmaceuticals Group ADR (CSPCY)	JT	12/30/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Ping An Insurance (PNGAY) [ST]	JT	01/28/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Ping An Insurance (PNGAY) [ST]	JT	03/15/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Ping An Insurance (PNGAY) [ST]	JT	04/19/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Rapid7, Inc. (RPD) [ST]	JT	08/10/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Regeneron Pharmaceuticals, Inc. (REGN) [ST]	JT	05/5/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Regeneron Pharmaceuticals, Inc. (REGN) [ST]	JT	09/12/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Regeneron Pharmaceuticals, Inc. (REGN) [ST]	JT	10/19/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Ritchie Bros. Auctioneers Incorporated (RBA) [ST]	JT	12/6/2022	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Rockwell Automation, Inc. (ROK) [ST]	JT	02/3/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Rockwell Automation, Inc. (ROK) [ST]	JT	08/5/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Salesforce, Inc. (CRM) [ST]	JT	09/15/2022	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Salesforce, Inc. (CRM) [ST]	JT	10/17/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ SAP SE ADS (SAP) [ST]	JT	09/1/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Seagen Inc. (SGEN) [ST]	JT	02/18/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Seagen Inc. (SGEN) [ST]	JT	10/17/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ SGS SA ADR (SGSOY) [ST]	JT	08/2/2022	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Sherwin-Williams Company (SHW) [ST]	JT	03/16/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Sherwin-Williams Company (SHW) [ST]	JT	08/17/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Shimano Inc. ADR [OT] LOCATION: US DESCRIPTION: ADR stock	JT	09/26/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Shimano Inc. ADR [OT] LOCATION: US DESCRIPTION: ADR stock	JT	09/27/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Shiseido Co Ltd Ord American Depositary Shares (SSDOY) [ST]	JT	04/21/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Shiseido Co Ltd Ord American Depositary Shares (SSDOY) [ST]	JT	06/14/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Shoals Technologies Group, Inc. - Class A (SHLS) [ST]	JT	12/6/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Skyline Champion Corporation (SKY) [ST]	JT	02/14/2022	S (partial)	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Skyline Champion Corporation (SKY) [ST]	JT	06/21/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Snap Inc. Class A (SNAP) [ST]	JT	01/26/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Snap Inc. Class A (SNAP) [ST]	JT	02/2/2022	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ SPX Corporation (SPXC) [ST]	JT	03/15/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ SPX Corporation (SPXC) [ST]	JT	04/19/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Stag Industrial, Inc. (STAG) [ST]	JT	05/26/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Stag Industrial, Inc. (STAG) [ST]	JT	09/15/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Starbucks Corporation (SBUX) [ST]	JT	04/1/2022	P	$15,001 - $50,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Starbucks Corporation (SBUX) [ST]	JT	06/14/2022	S (partial)	$15,001 - $50,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Supernus Pharmaceuticals, Inc. (SUPN) [ST]	JT	12/23/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ SVB Financial Group (SIVB) [ST]	JT	02/9/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ SVB Financial Group (SIVB) [ST]	JT	06/14/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Syneos Health, Inc. - Class A (SYNH) [ST]	JT	01/4/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Syneos Health, Inc. - Class A (SYNH) [ST]	JT	12/6/2022	S (partial)	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	JT	03/30/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Tapestry, Inc. (TPR) [ST]	JT	04/14/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Target Corporation (TGT) [ST]	JT	04/14/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Target Corporation (TGT) [ST]	JT	05/18/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Target Corporation (TGT) [ST]	JT	06/14/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Tencent Holdings Limited Unsponsored ADR Representing B Shares (TCEHY) [ST]	JT	03/15/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Tencent Holdings Limited Unsponsored ADR Representing B Shares (TCEHY) [ST]	JT	04/19/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Tencent Holdings Limited Unsponsored ADR Representing B Shares (TCEHY) [ST]	JT	09/15/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Tencent Holdings Limited Unsponsored ADR Representing B Shares (TCEHY) [ST]	JT	10/17/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Terex Corporation (TEX) [ST]	JT	02/15/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Terex Corporation (TEX) [ST]	JT	03/15/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Terex Corporation (TEX) [ST]	JT	04/19/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	01/31/2022	S (partial)	$1,001 - $15,000	☑

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	01/26/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	02/7/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	05/26/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	10/21/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	10/17/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	11/9/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Tesla, Inc. (TSLA) [ST]	JT	11/2/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Texas Instruments Incorporated (TXN) [ST]	JT	02/23/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Texas Instruments Incorporated (TXN) [ST]	JT	03/7/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Texas Instruments Incorporated (TXN) [ST]	JT	03/31/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Texas Roadhouse, Inc. (TXRH) [ST]	JT	06/21/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ The Trade Desk, Inc. - Class A (TTD) [ST]	JT	10/19/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Thoughtworks Holding, Inc. (TWKS) [ST]	JT	03/15/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Thoughtworks Holding, Inc. (TWKS) [ST]	JT	04/13/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒	JT	09/15/2022	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Thoughtworks Holding, Inc. (TWKS) [ST]			(partial)		
Morgan Stanley - Select UMA Account # 1 ⇒ Thoughtworks Holding, Inc. (TWKS) [ST]	JT	11/21/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Trane Technologies plc (TT) [ST]	JT	03/4/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Trane Technologies plc (TT) [ST]	JT	05/3/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Trane Technologies plc (TT) [ST]	JT	06/14/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Trane Technologies plc (TT) [ST]	JT	08/5/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ UFP Industries, Inc. (UFPI) [ST]	JT	02/14/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Unicharm Corp Sponsored ADR (UNICY) [ST]	JT	04/21/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Unilever PLC (UL) [ST]	JT	03/30/2022	S (partial)	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Unilever PLC (UL) [ST]	JT	03/31/2022	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ United Parcel Service, Inc. (UPS) [ST]	JT	02/18/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ United Parcel Service, Inc. (UPS) [ST]	JT	02/2/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ United Parcel Service, Inc. (UPS) [ST]	JT	03/9/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ United Parcel Service, Inc. (UPS) [ST]	JT	06/14/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	JT	06/21/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	JT	06/23/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	JT	08/11/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	JT	09/28/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	JT	11/8/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ US Ecology, Inc. (ECOL) [ST]	JT	04/13/2022	S	$1,001 - $15,000	☑
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index FD (VEU) [EF]	JT	01/10/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index FD (VEU) [EF]	JT	01/28/2022	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index FD (VEU) [EF]	JT	03/15/2022	P	$15,001 - $50,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index FD (VEU) [EF]	JT	04/19/2022	S	$15,001 - $50,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index FD (VEU) [EF]	JT	06/14/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index FD (VEU) [EF]	JT	07/15/2022	S	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index FD (VEU) [EF]	JT	09/15/2022	P	$15,001 - $50,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Vanguard Intl Equity Index FD (VEU) [EF]	JT	10/17/2022	S	$15,001 - $50,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Verisk Analytics, Inc. (VRSK) [ST]	JT	02/4/2022	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Morgan Stanley - Select UMA Account # 1 ⇒ Veritex Holdings, Inc. (VBTX) [ST]	JT	03/9/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Veritex Holdings, Inc. (VBTX) [ST]	JT	06/21/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Verizon Communications Inc. (VZ) [ST]	JT	01/10/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Verizon Communications Inc. (VZ) [ST]	JT	02/28/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Verizon Communications Inc. (VZ) [ST]	JT	03/9/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ Verizon Communications Inc. (VZ) [ST]	JT	09/15/2022	S (partial)	$1,001 - $15,000	☐
Morgan Stanley - Select UMA Account # 1 ⇒ Verizon Communications Inc. (VZ) [ST]	JT	10/17/2022	P	$1,001 - $15,000	
Morgan Stanley - Select UMA Account # 1 ⇒ XP Inc. - Class A (XP) [ST]	JT	06/14/2022	S (partial)	$1,001 - $15,000	☐
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ TA Vanguard Instl Trg Re 2040 [MF]	SP	03/31/2022	P	$15,001 - $50,000	
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ TA Vanguard Instl Trg Re 2040 [MF]	SP	09/30/2022	P	$1,001 - $15,000	
TriNet 401(k) Plan - Tusk Strategies LLC ⇒ TA Vanguard Instl Trg Re 2040 [MF]	SP	06/30/2022	P	$15,001 - $50,000	
Wealthfront ⇒ SPDR Nuveen Bloomberg Municipal Bond ETF (TFI) [EF]		05/9/2022	P	$1,001 - $15,000	
Wealthfront ⇒ SPDR Nuveen Bloomberg Municipal Bond ETF (TFI) [EF]		06/14/2022	S	$1,001 - $15,000	☐
Wealthfront ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [EF]		01/24/2022	S (partial)	$1,001 - $15,000	☐
Wealthfront ⇒		05/9/2022	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Vanguard FTSE Emerging Markets ETF (VWO) [EF]			(partial)		☐
Wealthfront ⇒ Vanguard FTSE Emerging Markets ETF (VWO) [EF]		10/24/2022	S (partial)	$1,001 - $15,000	☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Tusk Strategies	Spouse Salary	N/A
Morgan Stanley	Inherited IRA distribution	$6,359.42

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Access Group Inc.	August 2001	Spouse Student Loan	$15,001 - $50,000
JT	First Republic Bank	January 2020	Mortgage on personal residence in Wyckoff, NJ	$1,000,001 - $5,000,000
JT	Morgan Stanley	March 2020	Portfolio Loan	$1,000,001 - $5,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Trustee	Pembroke College Foundation

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
November 2015	Filer and Microsoft	Continuing participation in a defined benefit retirement plan managed by former employer. Employer no longer contributes to the plan.
June 2012	WPP and Filer	Continuing participation in a defined benefit retirement plan managed by former employer. Employer no longer contributes to the plan.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

	Trip Details				Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
American Israel Education Foundation (AIEF)	06/26/2022	07/1/2022	Newark, NJ - Tel Aviv, Israel - Newark, NJ	0	☑	☑	☑

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- Ameritrade
  LOCATION: US

- Ameritrade (Owner: SP)
  LOCATION: US

- Asbury Advisors LLC
  LOCATION: US

- Columbia University- Voluntary Retirement Savings (Owner: SP)

- DC College Savings - Dependent # 1 (Owner: DC)
  LOCATION: DC

- DC College Savings - Dependent # 2 (Owner: DC)
  LOCATION: DC

- Fidelity - Inherited IRA

- Fidelity Brokerage
  LOCATION: US

- Microsoft 401(k)

- Morgan Stanley - Active Asset Account # 1
  LOCATION: US

- Morgan Stanley - Active Asset Account # 2 (Owner: JT)
  LOCATION: US

- Morgan Stanley - Active Asset Account # 3 (Owner: JT)
  LOCATION: US

- Morgan Stanley - Active Asset Account # 4 (Owner: SP)
  LOCATION: US

- Morgan Stanley - Portfolio Management (Owner: JT)
  LOCATION: US

- Morgan Stanley - Portfolio Management Active Assets Account (Owner: JT)
  LOCATION: US

- Morgan Stanley - Roth IRA

- Morgan Stanley - Roth IRA Spouse (Owner: SP)

- Morgan Stanley - Select UMA Account # 1 (Owner: JT)
  LOCATION: US

- Morgan Stanley - SEP / Traditional IRA

- Morgan Stanley - Traditional Inherited IRA

- Morgan Stanley - Traditional IRA

- TriNet 401(k) Plan - Tusk Strategies LLC (Owner: SP)

- Vanguard SEP IRA (Owner: SP)

- Wealthfront
  LOCATION: US

- Wells Fargo - SEP IRA

- WPP - Vanguard - The Savings & Investment Plan

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ⦿ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ⦿ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ⦿ No

CERTIFICATION AND SIGNATURE

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Josh Gottheimer , 08/13/2023