



Filing ID #10048017

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Jimmy Panetta  
**Status:** Member  
**State/District:** CA20

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2021  
**Filing Date:** 08/11/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Alameda County Employees' Retirement Association Pension [PE]		Undetermined	None	<input type="checkbox"/>
Alameda County Employees' Retirement Association Pension [PE]	SP	Undetermined	None	<input type="checkbox"/>
Bank of America [BA]	JT	\$50,001 - \$100,000	None	<input type="checkbox"/>
CalPERS pension [PE]	SP	Undetermined	None	<input type="checkbox"/>
CalPERS pension [PE]		Undetermined	None	<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ Capital Income Builder Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ Capital World Bond Fund Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ Capital World Growth & Income Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Tx. &gt; \$1,000?</b>
Citigroup Global Markets, Inc. 529 Plan ⇒ EuroPacific Growth Fund Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ Fundamental Investors Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ Growth Fund of America Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ Income Fund of America Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ Investment Company of America Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ New World Fund Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ SMALLCAP World Fund Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Citigroup Global Markets, Inc. 529 Plan ⇒ The Bond Fund of America Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Janus IRA ⇒ Janus Global Life Sciences Fund D Shares [MF]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Janus IRA ⇒ Janus Global Select Fund D Shares [MF]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Janus IRA ⇒ Janus Research Fund D Shares [MF]	SP	\$50,001 - \$100,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ Capital Income Builder Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ Capital World Bond Fund Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ Capital World Growth & Income Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ EuroPacific Growth Fund Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Tx. &gt; \$1,000?</b>
Morgan Stanley 529, DC1, account 1 ⇒ Fundamental Investors Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ Growth Fund of America Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ Income Fund of America Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ Investment Company of America Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ New World Fund Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ SMALLCAP World Fund Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley 529, DC1, account 1 ⇒ The Bond Fund of America Class 529A [MF]	DC	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Morgan Stanley 529, DC1, account 2 ⇒ American Funds Growth Portfolio Class 529 [MF]	DC	\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
Morgan Stanley 529, DC2, account 1 ⇒ American Funds Growth Portfolio Class 529 [MF]	DC	\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Morgan Stanley 529, DC1, account 2 ⇒ American Funds Growth Portfolio Class 529 [MF]	DC	10/4/2021	P	\$1,001 - \$15,000	
Morgan Stanley 529, DC2, account 1 ⇒ American Funds Growth Portfolio Class 529 [MF]	DC	10/4/2021	P	\$1,001 - \$15,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

**SCHEDULE C: EARNED INCOME**

Source	Type	Amount
State of California	spouse salary	N/A
Monterey County Superior Court	spouse salary	N/A

**SCHEDULE D: LIABILITIES**

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Cenlar	December 2013	Mortgage Personal Residence	\$500,001 - \$1,000,000

**SCHEDULE E: POSITIONS**

Position	Name of Organization
Board Member	Veterans Transition Center Advisory Council COMMENTS: Uncompensated
Honorary Board Member	James Martin Center for Nonproliferation Studies COMMENTS: Uncompensated
Advisory Council Member	Carmel River Watershed Conservancy COMMENTS: Uncompensated

**SCHEDULE F: AGREEMENTS**

Date	Parties To	Terms of Agreement
January 1997	Myself and County of Alameda, CA	Participation in pension
March 2010	Myself and County of Monterey, CA	Participation in pension

**SCHEDULE G: GIFTS**

None disclosed.

**SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

None disclosed.

**SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- Citigroup Global Markets, Inc. 529 Plan (Owner: DC)  
LOCATION: CA
- Janus IRA (Owner: SP)
- Morgan Stanley 529, DC1, account 1 (Owner: DC)  
LOCATION: CA
- Morgan Stanley 529, DC1, account 2 (Owner: DC)  
LOCATION: CA
- Morgan Stanley 529, DC2, account 1 (Owner: DC)  
LOCATION: CA

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Jimmy Panetta , 08/11/2022