



Filing ID #10035487

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Lois Frankel  
**Status:** Member  
**State/District:** FL21

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2019  
**Filing Date:** 08/10/2020

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset   | Owner | Value of Asset       | Income Type(s) | Income            | Tx. > \$1,000?                      |
|---|-------|----------------------|----------------|-------------------|-------------------------------------|
| 8400 Plaza Associates [OL]<br><br>LOCATION: Ft Lauderdale, FL, US<br>DESCRIPTION: Partnership Income<br>COMMENTS: 2.014400% Ownership           |       | \$50,001 - \$100,000 | Rent           | \$2,501 - \$5,000 | <input type="checkbox"/>            |
| City of West Palm Beach 401(a) Defined Contribution Retirement Plan ⇒<br>Dodge & Cox Income Fund [MF]   |       | \$50,001 - \$100,000 | Tax-Deferred   |                   | <input type="checkbox"/>            |
| City of West Palm Beach 401(a) Defined Contribution Retirement Plan ⇒<br>Templeton Global Bond R6 [MF]<br><br>DESCRIPTION: Class Exchange       |       | \$50,001 - \$100,000 | Tax-Deferred   |                   | <input checked="" type="checkbox"/> |
| City of West Palm Beach 457 Deferred Compensation Plan ⇒<br>The City of WPB Custom Stable Value Fund [OT]<br><br>DESCRIPTION: Stable Value Fund |       | \$15,001 - \$50,000  | Tax-Deferred   |                   | <input type="checkbox"/>            |
| International Business Machines Corporation (IBM) [ST]  |       | \$1,001 - \$15,000   | Dividends      | \$201 - \$1,000   | <input type="checkbox"/>            |

| Asset  | Owner | Value of Asset       | Income Type(s) | Income          | Tx. > \$1,000?                      |
|--|-------|----------------------|----------------|-----------------|-------------------------------------|
| Lois J. Frankel Living Trust ⇒<br>Exxon Mobil Corporation (XOM) [ST]   |       | \$1,001 - \$15,000   | Dividends      | \$201 - \$1,000 | <input type="checkbox"/>            |
| Lois J. Frankel Living Trust ⇒<br>Morgan Stanley Bank N.A. [BA]  |       | \$15,001 - \$50,000  | Interest       | \$1 - \$200     | <input type="checkbox"/>            |
| MetLife-Preference Plus Select L Class Annuity ⇒<br>BlackRock Bond Income Portfolio (Class B) [MF]   |       | \$15,001 - \$50,000  | Tax-Deferred   |                 | <input type="checkbox"/>            |
| MetLife-Preference Plus Select L Class Annuity ⇒<br>MFS Value Portfolio (Class B) [MF]   |       | \$15,001 - \$50,000  | Tax-Deferred   |                 | <input type="checkbox"/>            |
| MetLife-Preference Plus Select L Class Annuity ⇒<br>MSCI EAFE Index Portfolio [MF]   |       | \$15,001 - \$50,000  | Tax-Deferred   |                 | <input type="checkbox"/>            |
| MetLife-Preference Plus Select L Class Annuity ⇒<br>Neuberger Berman Genesis Portfolio [MF]  |       | \$15,001 - \$50,000  | Tax-Deferred   |                 | <input type="checkbox"/>            |
| MetLife-Preference Plus Select L Class Annuity ⇒<br>Victory Sycamore Mid Cap Value Portfolio [MF]  |       | \$15,001 - \$50,000  | Tax-Deferred   |                 | <input type="checkbox"/>            |
| Morgan Stanley IRA - Xo85 ⇒<br>E V Adv Floating Rate A [MF]  |       | \$15,001 - \$50,000  | Tax-Deferred   |                 | <input checked="" type="checkbox"/> |
| DESCRIPTION: Class Exchange<br>COMMENTS: E V Adv Floating Rate C class exchange to E V Adv Floating Rate A   |       |                      |                |                 |                                     |
| Morgan Stanley IRA - Xo85 ⇒<br>E V Adv Floating Rate C [MF]  |       | \$1,001 - \$15,000   | Tax-Deferred   |                 | <input checked="" type="checkbox"/> |
| DESCRIPTION: On July 26, 2019 there was a partial class exchange from E V Adv Floating Rate C to E V Adv Floating Rate A. Since not all of the units were converted the account still retains a small amount of E V Adv Floating Rate C at December 31, 2019.    |       |                      |                |                 |                                     |
| Morgan Stanley IRA - Xo85 ⇒<br>JP Morgan Equity Income A [MF]  |       | \$15,001 - \$50,000  | Tax-Deferred   |                 | <input checked="" type="checkbox"/> |
| DESCRIPTION: Class exchange<br>COMMENTS: JP Morgan Equity Income C class exchange to JP Morgan Equity Income A   |       |                      |                |                 |                                     |
| Morgan Stanley IRA - Xo85 ⇒<br>JP Morgan Equity Income C [MF]  |       | \$1,001 - \$15,000   | Tax-Deferred   |                 | <input checked="" type="checkbox"/> |
| DESCRIPTION: On June 17, 2019 there was a partial class exchange from JPMorgan Equity Income C to JPMorgan Equity Income A. Since not all of the units were converted the account still retains a small amount of JPMorgan Equity Income C at December 31, 2019. |       |                      |                |                 |                                     |
| Morgan Stanley IRA - Xo85 ⇒<br>Microsoft Corporation (MSFT) [ST]   |       | \$50,001 - \$100,000 | Tax-Deferred   |                 | <input type="checkbox"/>            |
| Morgan Stanley IRA - Xo85 ⇒  |       | \$50,001 -           | Tax-Deferred   |                 | <input type="checkbox"/>            |

| Asset  | Owner | Value of Asset       | Income Type(s) | Income | Tx. > \$1,000?                      |
|--|-------|----------------------|----------------|--------|-------------------------------------|
| Morgan Stanley Bank N.A. [IH]  |       | \$100,000            |                |        |                                     |
| Morgan Stanley IRA - Xo85 ⇒ Prudential Jennison Nat Res A [MF]   |       | \$1,001 - \$15,000   | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - Xo85 ⇒ Royce Value Trust, Inc. (RVT) [ST]   |       | \$1 - \$1,000        | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - Xo85 ⇒ Virtus Vontobel Emrg Mkts C [MF]   |       | \$15,001 - \$50,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - Xo85 ⇒ Voya Financial ⇒ Voya International Index Portf [MF]   |       | \$15,001 - \$50,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - Xo85 ⇒ Voya Financial ⇒ Voya Intl High Dividend Low Volatility [MF]   |       | \$15,001 - \$50,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| DESCRIPTION: Vy Templeton Foreign Equity Portfolio<br>COMMENTS: Vy Templeton Foreign Equity Portfolio name change to Voya Intl High Dividend Low Volatility May 1, 2019. |       |                      |                |        |                                     |
| Morgan Stanley IRA - Xo85 ⇒ Voya Financial ⇒ Vy Morgan Stanley Global Franc [MF]   |       | \$50,001 - \$100,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - Xo85 ⇒ Voya Financial ⇒ Vy T. Rowe Price Capital Appre [MF]   |       | \$50,001 - \$100,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X140 ⇒ Commonwealth Allmerica Select Resources II ⇒ GA Blackrock Disciplined Mid C [MF]   |       | \$15,001 - \$50,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X140 ⇒ Commonwealth Allmerica Select Resources II ⇒ GA Goldman Sachs Mid Cap Value [MF]   |       | \$1,001 - \$15,000   | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X140 ⇒ Commonwealth Allmerica Select Resources II ⇒ GS VIT Money Market [MF]  |       | \$1,001 - \$15,000   | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X140 ⇒ Commonwealth Allmerica Select Resources II ⇒ MFS VIT Utilities Fund [MF]   |       | \$15,001 - \$50,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X141 ⇒ Accenture plc. Class A Ordinary Shares (ACN) [ST]  |       | \$1,001 - \$15,000   | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒ Aon plc Class A Ordinary Shares (AON) [ST]   |       | \$1,001 - \$15,000   | Tax-Deferred   |        | <input checked="" type="checkbox"/> |

| Asset  | Owner | Value of Asset      | Income Type(s) | Income | Tx. > \$1,000?                      |
|--|-------|---------------------|----------------|--------|-------------------------------------|
| Morgan Stanley IRA - X141 ⇒<br>Cigna Corporation (CI) [ST]                             |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Citigroup, Inc. (C) [ST]                                |       | \$15,001 - \$50,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Comcast Corporation - Class A (CMCSA) [ST]              |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X141 ⇒<br>D/B/A Chubb Limited New (CB) [ST]                       |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Danaher Corporation (DHR) [ST]                          |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Duke Energy Corporation (DUK) [ST]                      |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>DuPont de Nemours, Inc. (DD) [ST]                       |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Eaton Corporation, PLC Ordinary Shares (ETN) [ST]       |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X141 ⇒<br>EOG Resources, Inc. (EOG) [ST]                          |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X141 ⇒<br>Fidelity National Information Services, Inc. (FIS) [ST] |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X141 ⇒<br>FirstEnergy Corp. (FE) [ST]                             |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Fiserv, Inc. (FISV) [ST]                                |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X141 ⇒<br>Goldman Sachs Group, Inc. (GS) [ST]                     |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Honeywell International Inc. (HON) [ST]                 |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X141 ⇒  |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |

| Asset   | Owner | Value of Asset      | Income Type(s) | Income | Tx. > \$1,000?                      |
|---|-------|---------------------|----------------|--------|-------------------------------------|
| Illinois Tool Works Inc. (ITW) [ST]   |       |                     |                |        |                                     |
| Morgan Stanley IRA - X141 ⇒<br>Ingersoll-Rand plc (IR) [ST]   |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X141 ⇒<br>Johnson & Johnson (JNJ) [ST]   |       | \$15,001 - \$50,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Johnson Controls, Inc. (JCI) [ST]  |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>JP Morgan Chase & Co. (JPM) [ST]   |       | \$15,001 - \$50,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X141 ⇒<br>Kimberly-Clark Corporation (KMB) [ST]  |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Lear Corporation (LEA) [ST]  |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X141 ⇒<br>Lockheed Martin Corporation (LMT) [ST]                                       |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X141 ⇒<br>McKesson Corporation (MCK) [ST]  |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Medtronic plc. Ordinary Shares (MDT) [ST]                                    |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X141 ⇒<br>Morgan Stanley Private Bank NA# [IH]   |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X141 ⇒<br>Nasdaq, Inc. (NDAQ) [ST]   |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X141 ⇒<br>Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST] |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Northrop Grumman Corporation (NOC) [ST]                                      |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Pepsico, Inc. (PEP) [ST]   |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |

| Asset  | Owner | Value of Asset     | Income Type(s) | Income | Tx. > \$1,000?                      |
|--|-------|--------------------|----------------|--------|-------------------------------------|
| Morgan Stanley IRA - X141 ⇒<br>Pfizer, Inc. (PFE) [ST]   |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X141 ⇒<br>Philip Morris International Inc (PM) [ST]                                     |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>PNC Financial Services Group, Inc. (PNC) [ST]                                 |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>PPG Industries, Inc. (PPG) [ST]   |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Roche Holdings AG Basel American Depositary Shares (RHHBY) [ST]               |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Southern Company (SO) [ST]  |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Stanley Black & Decker, Inc. (SWK) [ST]                                       |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>State Street Corporation (STT) [ST]   |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Suncor Energy Inc. (SU) [ST]  |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Texas Instruments Incorporated (TXN) [ST]                                     |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X141 ⇒<br>The Travelers Companies, Inc. (TRV) [ST]                                      |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X141 ⇒<br>Thermo Fisher Scientific Inc (TMO) [ST]                                       |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Truist Financial Corporation (TFC) [ST]                                       |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| DESCRIPTION: Listed as BB&T on 2018 Annual Disclosure<br>COMMENTS: BB&T and Suntrust merger to create Truist |       |                    |                |        |                                     |
| Morgan Stanley IRA - X141 ⇒<br>U.S. Bancorp (USB) [ST]   |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input type="checkbox"/>            |

| Asset   | Owner | Value of Asset     | Income Type(s) | Income | Tx. > \$1,000?                      |
|---|-------|--------------------|----------------|--------|-------------------------------------|
| Morgan Stanley IRA - X141 ⇒<br>Union Pacific Corporation (UNP) [ST]                     |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X141 ⇒<br>Wells Fargo & Company (WFC) [ST]                         |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>A.O. Smith Corporation (AOS) [ST]                        |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Alleghany Corporation (Y) [ST]                           |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X142 ⇒<br>Amdocs Limited - Ordinary Shares (DOX) [ST]              |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X142 ⇒<br>Analog Devices, Inc. (ADI) [ST]                          |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Avery Dennison Corporation (AVY) [ST]                    |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X142 ⇒<br>Baxter International Inc. (BAX) [ST]                     |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Booz Allen Hamilton Holding Corporation (BAH) [ST]       |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Boston Scientific Corporation (BSX) [ST]                 |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Broadridge Financial Solutions, Inc. (BR) [ST]           |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X142 ⇒<br>BWX Technologies, Inc. (BWXT) [ST]                       |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X142 ⇒<br>Caseys General Stores, Inc. (CASY) [ST]                  |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>CDK Global, Inc. (CDK) [ST]                              |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Cinemark Holdings Inc Cinemark Holdings, Inc. (CNK) [ST] |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input type="checkbox"/>            |

| Asset   | Owner | Value of Asset     | Income Type(s) | Income | Tx. > \$1,000?                      |
|---|-------|--------------------|----------------|--------|-------------------------------------|
| Morgan Stanley IRA - X142 ⇒<br>Clorox Company (CLX) [ST]                                |       | \$1,001 - \$15,000 | Tax-Deferred   | None   | <input type="checkbox"/>            |
| Morgan Stanley IRA - X142 ⇒<br>DENTSPLY SIRONA Inc. (XRAY) [ST]                         |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Diamondback Energy, Inc. - Common Stock (FANG) [ST]      |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Dollar General Corporation (DG) [ST]                     |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X142 ⇒<br>Dorman Products, Inc. (DORM) [ST]                        |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Entergy Corporation (ETR) [ST]                           |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X142 ⇒<br>EPAM Systems, Inc. (EPAM) [ST]                           |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Equifax, Inc. (EFX) [ST]                                 |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Expeditors International of Washington, Inc. (EXPD) [ST] |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>First American Corporation (FAF) [ST]                    |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>First Hawaiian, Inc. (FHB) [ST]                          |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>FIRST REPUBLIC BANK (FRC) [ST]                           |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Gartner, Inc. (IT) [ST]                                  |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Genuine Parts Company (GPC) [ST]                         |       | \$1,001 - \$15,000 | Tax-Deferred   | None   | <input type="checkbox"/>            |



| Asset   | Owner | Value of Asset     | Income Type(s) | Income | Tx. > \$1,000?                      |
|---|-------|--------------------|----------------|--------|-------------------------------------|
| Morgan Stanley IRA - X142 ⇒<br>Globe Life Inc. (GL) [ST]  |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| DESCRIPTION: Torchmark Corporation<br>COMMENTS: Torchmark Corporation name change to Globe Life Inc on August 8, 2019 |       |                    |                |        |                                     |
| Morgan Stanley IRA - X142 ⇒<br>Hasbro, Inc. (HAS) [ST]  |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Helen of Troy Limited (HELE) [ST]  |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X142 ⇒<br>Henry Schein, Inc. (HSIC) [ST]   |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Hess Corporation (HES) [ST]  |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X142 ⇒<br>Hexcel Corporation (HXL) [ST]  |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X142 ⇒<br>Hubbell Inc (HUBB) [ST]  |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Huntington Bancshares Incorporated (HBAN) [ST]   |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Huntsman Corporation (HUN) [ST]  |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>IAA, Inc. (IAA) [ST]   |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| DESCRIPTION: Spin off of KAR<br>COMMENTS: KAR Spin off on 06/28/19  |       |                    |                |        |                                     |
| Morgan Stanley IRA - X142 ⇒<br>Insperity, Inc. (NSP) [ST]   |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Liberty Broadband Corporation - Class C (LBRDK) [ST]                                   |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X142 ⇒<br>Liberty Media Corporation - Series C Liberty SiriusXM (LSXMK) [ST]                     |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X142 ⇒   |       | \$1,001 - \$15,000 | Tax-Deferred   |        | <input type="checkbox"/>            |

| Asset  | Owner | Value of Asset      | Income Type(s) | Income | Tx. > \$1,000?                      |
|--|-------|---------------------|----------------|--------|-------------------------------------|
| M&T Bank Corporation (MTB) [ST]  |       |                     |                |        |                                     |
| Morgan Stanley IRA - X142 ⇒ Markel Corporation (MKL) [ST]                |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒ Mohawk Industries, Inc. (MHK) [ST]           |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒ Morgan Stanley Private Bank NA# [IH]         |       | \$15,001 - \$50,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X142 ⇒ NiSource Inc (NI) [ST]                       |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒ NuVasive, Inc. (NUVA) [ST]                   |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒ PBF Energy Inc. Class A (PBF) [ST]           |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒ Pioneer Natural Resources Company (PXD) [ST] |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X142 ⇒ PPL Corporation (PPL) [ST]                   |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X142 ⇒ Sonoco Products Company (SON) [ST]           |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X142 ⇒ SS&C Technologies Holdings, Inc. (SSNC) [ST] |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒ STORE Capital Corporation (STOR) [ST]        |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒ Sun Communities, Inc. (SUI) [ST]             |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒ Sysco Corporation (SYY) [ST]                 |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X142 ⇒ The Hershey Company (HSY) [ST]               |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |

| Asset  | Owner | Value of Asset      | Income Type(s) | Income | Tx. > \$1,000?                      |
|--|-------|---------------------|----------------|--------|-------------------------------------|
| Morgan Stanley IRA - X142 ⇒ Ubiquiti Inc. (UI) [ST]  |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| DESCRIPTION: Name Change<br>COMMENTS: Ubiquiti Networks Inc name change to Ubiquiti Inc August 19, 2019. |       |                     |                |        |                                     |
| Morgan Stanley IRA - X142 ⇒ Ulta Beauty, Inc. (ULTA) [ST]  |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X142 ⇒ United Bankshares, Inc. (UBSI) [ST]  |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒ Waters Corporation (WAT) [ST]  |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒ Woodward, Inc. (WWD) [ST]  |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X142 ⇒ Xcel Energy Inc. (XEL) [ST]  |       | \$1,001 - \$15,000  | Tax-Deferred   | None   | <input type="checkbox"/>            |
| Morgan Stanley IRA - X142 ⇒ Zimmer Biomet Holdings, Inc. (ZBH) [ST]                                      |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X529 ⇒ Allianceber High Income Advisor [MF]   |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X529 ⇒ American Century Mid Cap Value Inv [MF]                                      |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X529 ⇒ AMG Yachtman Focused I [MF]  |       | \$15,001 - \$50,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X529 ⇒ Cohen & Steers Preferred Sec & Inc I [MF]                                    |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X529 ⇒ Fidelity Adv New Insights I [MF]   |       | \$15,001 - \$50,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X529 ⇒ First Eagle Global I [MF]  |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X529 ⇒ First Trust DJ Internet IDX [EF]   |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X529 ⇒  |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |

| Asset  | Owner | Value of Asset      | Income Type(s) | Income | Tx. > \$1,000?                      |
|--|-------|---------------------|----------------|--------|-------------------------------------|
| Guggenheim Macro Opportunities Instl [MF]  |       |                     |                |        |                                     |
| Morgan Stanley IRA - X529 ⇒<br>Hartford Intl Value I [MF]  |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X529 ⇒<br>Invesco Balanced-Risk Allc Y [MF]   |       | \$15,001 - \$50,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X529 ⇒<br>Invesco Emerging Markets Sovereign Debt Portfolio [EF]  |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X529 ⇒<br>Invesco Oppenheimer SteelPath MLP Select 40 Y [MF]  |       | \$15,001 - \$50,000 | Tax-Deferred   |        | <input type="checkbox"/>            |
| DESCRIPTION: Oppenheimer SteelPath MLP Select 40 Y<br>COMMENTS: Oppenheimer SteelPath MLP Select 40 Y name change to Invesco Oppenheimer SteelPath MLP Select 40 Y |       |                     |                |        |                                     |
| Morgan Stanley IRA - X529 ⇒<br>Invesco Preferred ETF [EF]  |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X529 ⇒<br>Invesco QQQ Trust [EF]  |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X529 ⇒<br>Invesco S&P 500 Eq Weight [EF]  |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X529 ⇒<br>Invesco S&P 500 Equal Weight Energy [EF]  |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X529 ⇒<br>Invesco S&P 500 GARP [EF]   |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| DESCRIPTION: Invesco Russell Top 200 Pure Growth<br>COMMENTS: Invesco Russell Top 200 Pure Growth name change to Invesco S&P 500 GARP June 21, 2019                |       |                     |                |        |                                     |
| Morgan Stanley IRA - X529 ⇒<br>iShares Russell 1000 Growth [EF]  |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X529 ⇒<br>iShares Russell 2000 Growth [EF]  |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X529 ⇒<br>iShares Russell 2000 Value [EF]   |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X529 ⇒<br>iShares Russell Mid-Cap Growth [EF]   |       | \$1,001 - \$15,000  | Tax-Deferred   |        | <input type="checkbox"/>            |

| Asset  | Owner | Value of Asset        | Income Type(s) | Income | Tx. > \$1,000?                      |
|--|-------|-----------------------|----------------|--------|-------------------------------------|
| Morgan Stanley IRA - X529 ⇒<br>iShares S&P Small-Cap 600 Growth [EF]   |       | \$1,001 - \$15,000    | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X529 ⇒<br>JP Morgan Mid Cap Value [MF]  |       | \$15,001 - \$50,000   | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X529 ⇒<br>Lazard Glb Lstd Infr Ptf Inst [MF]  |       | \$1,001 - \$15,000    | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X529 ⇒<br>Matthews Asia Dividend Inv [MF]   |       | \$1,001 - \$15,000    | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X529 ⇒<br>MFS International Value I [MF]  |       | \$100,001 - \$250,000 | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X529 ⇒<br>Mirae Asia Fund I [MF]  |       | \$1,001 - \$15,000    | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X529 ⇒<br>Oakmark Fund Advisor [MF]   |       | \$15,001 - \$50,000   | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| Morgan Stanley IRA - X529 ⇒<br>PGIM Jennison Health Sciences Z [MF]  |       | \$15,001 - \$50,000   | Tax-Deferred   |        | <input checked="" type="checkbox"/> |
| DESCRIPTION: Prudential Jennison Health Sciences Z<br>COMMENTS: Prudential Jennison Health Sciences Z name change to PGIM Jennison Health Sciences Z |       |                       |                |        |                                     |
| Morgan Stanley IRA - X529 ⇒<br>PIMCO Foreign Bond (Hedged) [MF]  |       | \$1,001 - \$15,000    | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X529 ⇒<br>Principal Midcap Instl [MF]   |       | \$15,001 - \$50,000   | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X529 ⇒<br>Principal Sm MDCP Div Inc I [MF]  |       | \$1,001 - \$15,000    | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X529 ⇒<br>SPDR Dow Jones Global Real Estate [EF]  |       | \$1,001 - \$15,000    | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X529 ⇒<br>SPDR Dow Jones Industrial Average [EF]  |       | \$1,001 - \$15,000    | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X529 ⇒<br>SPDR Gold Trust [EF]  |       | \$15,001 - \$50,000   | Tax-Deferred   |        | <input type="checkbox"/>            |
| Morgan Stanley IRA - X529 ⇒  |       | \$100,001 -           | Tax-Deferred   |        | <input checked="" type="checkbox"/> |

| Asset  | Owner | Value of Asset      | Income Type(s) | Income          | Tx. > \$1,000?           |
|--|-------|---------------------|----------------|-----------------|--------------------------|
| T Rowe Price Cap Apprec Fd [MF]  |       | \$250,000           |                |                 |                          |
| Morgan Stanley IRA - X529 ⇒<br>T Rowe Price Intl Discovery [MF]        |       | \$1,001 - \$15,000  | Tax-Deferred   |                 | <input type="checkbox"/> |
| Morgan Stanley IRA - X529 ⇒<br>T Rowe Price Mid Cap Gr [MF]            |       | \$1,001 - \$15,000  | Tax-Deferred   |                 | <input type="checkbox"/> |
| Morgan Stanley IRA - X529 ⇒<br>T Rowe Price QM US Small-Cap Gr Eq [MF] |       | \$1,001 - \$15,000  | Tax-Deferred   |                 | <input type="checkbox"/> |
| Morgan Stanley IRA - X529 ⇒<br>Vanguard Small-Cap Value ETF [EF]       |       | \$15,001 - \$50,000 | Tax-Deferred   |                 | <input type="checkbox"/> |
| Morgan Stanley IRA - X529 ⇒<br>Virtus Kar Small-Cap Growth I [MF]      |       | \$1,001 - \$15,000  | Tax-Deferred   |                 | <input type="checkbox"/> |
| Prudential Financial, Inc. (PRU) [ST]                                  |       | \$1,001 - \$15,000  | Dividends      | \$201 - \$1,000 | <input type="checkbox"/> |

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

| Asset  | Owner | Date       | Tx. Type | Amount               | Cap. Gains > \$200? |
|--|-------|------------|----------|----------------------|---------------------|
| City of West Palm Beach 401(a) Defined Contribution Retirement Plan ⇒<br>Templeton Global Bond Adv [MF]<br><br>DESCRIPTION: Class Exchange<br>COMMENTS: Templeton Global Bond Adv class exchange to Templeton Global Bond R6 |       | 10/1/2019  | E        | \$50,001 - \$100,000 |                     |
| City of West Palm Beach 401(a) Defined Contribution Retirement Plan ⇒<br>Templeton Global Bond R6 [MF]<br><br>DESCRIPTION: Class Exchange<br>COMMENTS: Templeton Global Bond Adv class exchange to Templeton Global Bond R6  |       | 10/1/2019  | E        | \$50,001 - \$100,000 |                     |
| Morgan Stanley IRA - Xo85 ⇒<br>E V Adv Floating Rate A [MF]<br><br>DESCRIPTION: Class Exchange<br>COMMENTS: Class Exchange from E V Adv Floating Rate C to E V Adv Floating Rate A   |       | 07/26/2019 | E        | \$15,001 - \$50,000  |                     |
| Morgan Stanley IRA - Xo85 ⇒  |       | 07/26/2019 | E        | \$15,001 - \$50,000  |                     |

| Asset  | Owner | Date       | Tx. Type       | Amount              | Cap. Gains > \$200?      |
|--|-------|------------|----------------|---------------------|--------------------------|
| E V Adv Floating Rate C [MF]   |       |            |                |                     |                          |
| DESCRIPTION: Class Exchange  |       |            |                |                     |                          |
| COMMENTS: Class Exchange from E V Adv Floating Rate C to E V Adv Floating Rate A     |       |            |                |                     |                          |
| Morgan Stanley IRA - Xo85 ⇒<br>JP Morgan Equity Income A [MF]                        |       | 06/17/2019 | E              | \$15,001 - \$50,000 |                          |
| DESCRIPTION: Class Exchange  |       |            |                |                     |                          |
| COMMENTS: Class Exchange from Morgan Equity Income C to JP Morgan Equity Income A    |       |            |                |                     |                          |
| Morgan Stanley IRA - Xo85 ⇒<br>JP Morgan Equity Income C [MF]                        |       | 06/17/2019 | E              | \$15,001 - \$50,000 |                          |
| DESCRIPTION: Class Exchange  |       |            |                |                     |                          |
| COMMENTS: Class Exchange from JP Morgan Equity Income C to JP Morgan Equity Income A |       |            |                |                     |                          |
| Morgan Stanley IRA - X141 ⇒<br>Accenture plc Class A Ordinary Shares (ACN) [ST]      |       | 07/12/2019 | S<br>(partial) | \$1,001 - \$15,000  | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Accenture plc Class A Ordinary Shares (ACN) [ST]      |       | 08/7/2019  | S<br>(partial) | \$1,001 - \$15,000  | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Accenture plc Class A Ordinary Shares (ACN) [ST]      |       | 09/11/2019 | S<br>(partial) | \$1,001 - \$15,000  | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Altria Group, Inc. (MO) [ST]                          |       | 03/20/2019 | S              | \$1,001 - \$15,000  | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Amdocs Limited - Ordinary Shares (DOX) [ST]           |       | 02/27/2019 | S              | \$1,001 - \$15,000  | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Aon plc Class A Ordinary Shares (AON) [ST]            |       | 03/13/2019 | P              | \$1,001 - \$15,000  |                          |
| Morgan Stanley IRA - X141 ⇒<br>BB&T Corporation (BBT) [ST]                           |       | 03/28/2019 | P              | \$1,001 - \$15,000  |                          |
| Morgan Stanley IRA - X141 ⇒<br>BB&T Corporation (BBT) [ST]                           |       | 03/20/2019 | P              | \$1,001 - \$15,000  |                          |
| Morgan Stanley IRA - X141 ⇒<br>BB&T Corporation (BBT) [ST]                           |       | 05/22/2019 | P              | \$1,001 - \$15,000  |                          |
| Morgan Stanley IRA - X141 ⇒<br>Chubb Limited (CB) [ST]                               |       | 08/14/2019 | S<br>(partial) | \$1,001 - \$15,000  | <input type="checkbox"/> |

| Asset   | Owner | Date       | Tx. Type       | Amount             | Cap. Gains > \$200?      |
|---|-------|------------|----------------|--------------------|--------------------------|
| Morgan Stanley IRA - X141 ⇒<br>Cigna Corporation (CI) [ST]        |       | 02/13/2019 | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒<br>Cigna Corporation (CI) [ST]        |       | 01/23/2019 | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒<br>Cigna Corporation (CI) [ST]        |       | 08/14/2019 | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒<br>Cigna Corporation (CI) [ST]        |       | 10/2/2019  | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒<br>Citigroup, Inc. (C) [ST]           |       | 04/10/2019 | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒<br>Citigroup, Inc. (C) [ST]           |       | 08/23/2019 | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒<br>Danaher Corporation (DHR) [ST]     |       | 03/6/2019  | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Duke Energy Corporation (DUK) [ST] |       | 02/13/2019 | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Duke Energy Corporation (DUK) [ST] |       | 11/21/2019 | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒<br>DuPont de Nemours, Inc. (DD) [ST]  |       | 10/23/2019 | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒<br>DuPont de Nemours, Inc. (DD) [ST]  |       | 10/16/2019 | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒<br>DXC Technology Company (DXC) [ST]  |       | 06/26/2019 | S              | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>DXC Technology Company (DXC) [ST]  |       | 06/19/2019 | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>FirstEnergy Corp. (FE) [ST]        |       | 02/13/2019 | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒<br>FirstEnergy Corp. (FE) [ST]        |       | 01/23/2019 | P              | \$1,001 - \$15,000 |                          |



| Asset   | Owner | Date       | Tx. Type    | Amount             | Cap. Gains > \$200?      |
|---|-------|------------|-------------|--------------------|--------------------------|
| Morgan Stanley IRA - X141 ⇒<br>FirstEnergy Corp. (FE) [ST]                                  |       | 03/6/2019  | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒<br>FirstEnergy Corp. (FE) [ST]                                  |       | 03/28/2019 | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒<br>General Mills, Inc. (GIS) [ST]                               |       | 04/1/2019  | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>General Mills, Inc. (GIS) [ST]                               |       | 06/5/2019  | S           | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>General Mills, Inc. (GIS) [ST]                               |       | 05/29/2019 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Goldman Sachs Group, Inc. (GS) [ST]                          |       | 01/9/2019  | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Goldman Sachs Group, Inc. (GS) [ST]                          |       | 05/22/2019 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Illinois Tool Works Inc. (ITW) [ST]                          |       | 02/8/2019  | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒<br>Illinois Tool Works Inc. (ITW) [ST]                          |       | 05/15/2019 | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒<br>Johnson & Johnson (JNJ) [ST]                                 |       | 12/5/2019  | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒<br>Johnson Controls International plc Ordinary Share (JCI) [ST] |       | 05/8/2019  | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Johnson Controls International plc Ordinary Share (JCI) [ST] |       | 11/13/2019 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Kimberly-Clark Corporation (KMB) [ST]                        |       | 09/11/2019 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>McKesson Corporation (MCK) [ST]                              |       | 09/25/2019 | P           | \$1,001 - \$15,000 |                          |

| Asset  | Owner | Date       | Tx. Type       | Amount             | Cap. Gains > \$200?      |
|--|-------|------------|----------------|--------------------|--------------------------|
| Morgan Stanley IRA - X141 ⇒<br>MetLife, Inc. (MET) [ST]  |       | 02/13/2019 | S              | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>MetLife, Inc. (MET) [ST]  |       | 01/23/2019 | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Moody's Corporation (MCO) [ST]  |       | 04/10/2019 | S              | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Nestle SA Sponsored ADR representing Registered Shares<br>Series B (NSRGY) [ST] |       | 03/20/2019 | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒<br>Northrop Grumman Corporation (NOC) [ST]   |       | 09/11/2019 | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒<br>Pepsico, Inc. (PEP) [ST]  |       | 06/5/2019  | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒<br>Pepsico, Inc. (PEP) [ST]  |       | 05/29/2019 | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒<br>Philip Morris International Inc (PM) [ST]                                       |       | 02/8/2019  | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Philip Morris International Inc (PM) [ST]                                       |       | 03/28/2019 | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>PNC Financial Services Group, Inc. (PNC) [ST]                                   |       | 03/12/2019 | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>PPG Industries, Inc. (PPG) [ST]   |       | 01/23/2019 | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒<br>Roche Holdings AG Basel American Depositary Shares<br>(RHHBY) [ST]              |       | 01/9/2019  | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒<br>Roche Holdings AG Basel American Depositary Shares<br>(RHHBY) [ST]              |       | 02/27/2019 | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒<br>Roche Holdings AG Basel American Depositary Shares                              |       | 12/5/2019  | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |

| Asset   | Owner | Date       | Tx. Type    | Amount             | Cap. Gains > \$200?      |
|---|-------|------------|-------------|--------------------|--------------------------|
| (RHHBY) [ST]  |       |            |             |                    |                          |
| Morgan Stanley IRA - X141 ⇒ Schlumberger N.V. (SLB) [ST]            |       | 11/7/2019  | S           | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒ Schlumberger N.V. (SLB) [ST]            |       | 10/30/2019 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒ Southern Company (SO) [ST]              |       | 06/26/2019 | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒ Southern Company (SO) [ST]              |       | 06/19/2019 | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒ Southern Company (SO) [ST]              |       | 07/31/2019 | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒ Southern Company (SO) [ST]              |       | 07/19/2019 | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒ Southern Company (SO) [ST]              |       | 11/13/2019 | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒ Stanley Black & Decker, Inc. (SWK) [ST] |       | 05/8/2019  | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒ Stanley Black & Decker, Inc. (SWK) [ST] |       | 05/1/2019  | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒ Stanley Black & Decker, Inc. (SWK) [ST] |       | 08/7/2019  | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒ State Street Corporation (STT) [ST]     |       | 05/1/2019  | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒ State Street Corporation (STT) [ST]     |       | 11/21/2019 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒ Suncor Energy Inc. (SU) [ST]            |       | 11/7/2019  | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒ Suncor Energy Inc. (SU) [ST]            |       | 11/6/2019  | P           | \$1,001 - \$15,000 |                          |

| Asset   | Owner | Date       | Tx. Type    | Amount             | Cap. Gains > \$200?      |
|---|-------|------------|-------------|--------------------|--------------------------|
| Morgan Stanley IRA - X141 ⇒ Suncor Energy Inc. (SU) [ST]            |       | 10/30/2019 | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒ Suncor Energy Inc. (SU) [ST]            |       | 12/19/2019 | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒ Suncor Energy Inc. (SU) [ST]            |       | 12/18/2019 | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X141 ⇒ Thermo Fisher Scientific Inc (TMO) [ST] |       | 12/18/2019 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒ Wells Fargo & Company (WFC) [ST]        |       | 08/23/2019 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒ Wells Fargo & Company (WFC) [ST]        |       | 10/23/2019 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒ Wells Fargo & Company (WFC) [ST]        |       | 10/16/2019 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒ Xcel Energy Inc. (XEL) [ST]             |       | 07/31/2019 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒ Xcel Energy Inc. (XEL) [ST]             |       | 07/19/2019 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒ Xcel Energy Inc. (XEL) [ST]             |       | 10/2/2019  | S           | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X141 ⇒ Xcel Energy Inc. (XEL) [ST]             |       | 09/25/2019 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒ A.O. Smith Corporation (AOS) [ST]       |       | 02/5/2019  | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒ A.O. Smith Corporation (AOS) [ST]       |       | 06/12/2019 | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒ Analog Devices, Inc. (ADI) [ST]         |       | 07/30/2019 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒ Baxter International Inc. (BAX) [ST]    |       | 02/28/2019 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |

| Asset  | Owner | Date       | Tx. Type    | Amount             | Cap. Gains > \$200?      |
|--|-------|------------|-------------|--------------------|--------------------------|
| Morgan Stanley IRA - X142 ⇒<br>Booz Allen Hamilton Holding Corporation (BAH) [ST]  |       | 01/14/2019 | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒<br>Booz Allen Hamilton Holding Corporation (BAH) [ST]  |       | 07/31/2019 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Boston Scientific Corporation (BSX) [ST]            |       | 07/30/2019 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Cabot Oil & Gas Corporation (COG) [ST]              |       | 12/3/2019  | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Cabot Oil & Gas Corporation (COG) [ST]              |       | 12/4/2019  | S           | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Caseys General Stores, Inc. (CASY) [ST]             |       | 08/30/2019 | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒<br>Caseys General Stores, Inc. (CASY) [ST]             |       | 08/21/2019 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>CDK Global, Inc. (CDK) [ST]                         |       | 02/8/2019  | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒<br>Conduent Incorporated (CNDT) [ST]                   |       | 05/13/2019 | S           | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Conduent Incorporated (CNDT) [ST]                   |       | 05/10/2019 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>DENTSPLY SIRONA Inc. (XRAY) [ST]                    |       | 05/9/2019  | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒<br>Diamondback Energy, Inc. - Common Stock (FANG) [ST] |       | 10/28/2019 | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒<br>Dorman Products, Inc. (DORM) [ST]                   |       | 03/14/2019 | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒<br>Dorman Products, Inc. (DORM) [ST]                   |       | 09/11/2019 | P           | \$1,001 - \$15,000 |                          |

| Asset   | Owner | Date       | Tx. Type       | Amount             | Cap. Gains > \$200?      |
|---|-------|------------|----------------|--------------------|--------------------------|
| Morgan Stanley IRA - X142 ⇒<br>EPAM Systems, Inc. (EPAM) [ST]                           |       | 02/14/2019 | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>EPAM Systems, Inc. (EPAM) [ST]                           |       | 08/21/2019 | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Equifax, Inc. (EFX) [ST]                                 |       | 04/5/2019  | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒<br>Esterline Technologies Corporation (ESL) [ST]            |       | 01/4/2019  | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Expeditors International of Washington, Inc. (EXPD) [ST] |       | 01/9/2019  | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒<br>First American Corporation (FAF) [ST]                    |       | 07/30/2019 | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>First Hawaiian, Inc. (FHB) [ST]                          |       | 02/7/2019  | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒<br>FIRST REPUBLIC BANK (FRC) [ST]                           |       | 09/12/2019 | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒<br>FIRST REPUBLIC BANK (FRC) [ST]                           |       | 09/11/2019 | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒<br>Franklin Resources, Inc. (BEN) [ST]                      |       | 09/25/2019 | S              | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Franklin Resources, Inc. (BEN) [ST]                      |       | 09/24/2019 | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Gartner, Inc. (IT) [ST]                                  |       | 09/23/2019 | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒<br>Hasbro, Inc. (HAS) [ST]                                  |       | 07/30/2019 | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Henry Schein, Inc. (HSIC) [ST]                           |       | 05/9/2019  | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒<br>Hubbell Inc (HUBB) [ST]                                  |       | 01/11/2019 | P              | \$1,001 - \$15,000 |                          |

| Asset   | Owner | Date       | Tx. Type       | Amount             | Cap. Gains > \$200?      |
|---|-------|------------|----------------|--------------------|--------------------------|
| Morgan Stanley IRA - X142 ⇒<br>Huntington Bancshares Incorporated (HBAN) [ST] |       | 05/15/2019 | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Huntsman Corporation (HUN) [ST]                |       | 01/11/2019 | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒<br>Huntsman Corporation (HUN) [ST]                |       | 01/10/2019 | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒<br>Insperity, Inc. (NSP) [ST]                     |       | 12/18/2019 | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒<br>Insperity, Inc. (NSP) [ST]                     |       | 12/17/2019 | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒<br>KAR Auction Services, Inc (KAR) [ST]           |       | 04/16/2019 | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒<br>KAR Auction Services, Inc (KAR) [ST]           |       | 07/11/2019 | S              | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Markel Corporation (MKL) [ST]                  |       | 08/1/2019  | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒<br>McKesson Corporation (MCK) [ST]                |       | 09/24/2019 | S              | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Mohawk Industries, Inc. (MHK) [ST]             |       | 05/24/2019 | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒<br>National Fuel Gas Company (NFG) [ST]           |       | 03/11/2019 | S              | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>National Fuel Gas Company (NFG) [ST]           |       | 03/8/2019  | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>NiSource Inc (NI) [ST]                         |       | 12/6/2019  | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒<br>NuVasive, Inc. (NUVA) [ST]                     |       | 02/14/2019 | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒   |       | 09/25/2019 | P              | \$1,001 - \$15,000 |                          |

| Asset   | Owner | Date       | Tx. Type       | Amount             | Cap. Gains > \$200?      |
|---|-------|------------|----------------|--------------------|--------------------------|
| PBF Energy Inc. Class A (PBF) [ST]  |       |            |                |                    |                          |
| Morgan Stanley IRA - X142 ⇒<br>PBF Energy Inc. Class A (PBF) [ST]           |       | 09/24/2019 | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒<br>PDC Energy, Inc. (PDCE) [ST]                 |       | 03/15/2019 | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒<br>PDC Energy, Inc. (PDCE) [ST]                 |       | 03/14/2019 | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒<br>PDC Energy, Inc. (PDCE) [ST]                 |       | 07/30/2019 | S              | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>PDC Energy, Inc. (PDCE) [ST]                 |       | 07/24/2019 | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Sabra Health Care REIT, Inc. (SBRA) [ST]     |       | 03/11/2019 | S              | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Sabra Health Care REIT, Inc. (SBRA) [ST]     |       | 03/8/2019  | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>SS&C Technologies Holdings, Inc. (SSNC) [ST] |       | 08/21/2019 | P              | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒<br>STORE Capital Corporation (STOR) [ST]        |       | 08/21/2019 | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>STORE Capital Corporation (STOR) [ST]        |       | 12/16/2019 | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Sun Communities, Inc. (SUI) [ST]             |       | 07/30/2019 | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>SunTrust Banks, Inc. (STI) [ST]              |       | 03/22/2019 | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>SunTrust Banks, Inc. (STI) [ST]              |       | 06/26/2019 | S              | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒<br>Ubiquiti Inc. (UI) [ST]                      |       | 12/12/2019 | S<br>(partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |



| Asset   | Owner | Date       | Tx. Type    | Amount             | Cap. Gains > \$200?      |
|---|-------|------------|-------------|--------------------|--------------------------|
| Morgan Stanley IRA - X142 ⇒ Ubiquiti Networks, Inc. (UBNT) [ST]                     |       | 03/1/2019  | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒ Ubiquiti Networks, Inc. (UBNT) [ST]                     |       | 04/24/2019 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒ United Bankshares, Inc. (UBSI) [ST]                     |       | 10/29/2019 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒ Waters Corporation (WAT) [ST]                           |       | 06/27/2019 | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒ Waters Corporation (WAT) [ST]                           |       | 06/26/2019 | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒ Waters Corporation (WAT) [ST]                           |       | 08/29/2019 | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒ Welltower Inc. (WELL) [ST]                              |       | 02/7/2019  | S           | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒ Welltower Inc. (WELL) [ST]                              |       | 02/6/2019  | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒ Western Union Company (WU) [ST]                         |       | 02/28/2019 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒ Western Union Company (WU) [ST]                         |       | 05/9/2019  | S           | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒ Wright Medical Group N.V. - Ordinary Shares (WMGI) [ST] |       | 08/9/2019  | S           | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley IRA - X142 ⇒ Wright Medical Group N.V. - Ordinary Shares (WMGI) [ST] |       | 07/31/2019 | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X142 ⇒ Wright Medical Group N.V. - Ordinary Shares (WMGI) [ST] |       | 07/30/2019 | P           | \$1,001 - \$15,000 |                          |
| Morgan Stanley IRA - X529 ⇒ AMG Yachtman Focused I [MF]                             |       | 12/17/2019 | P           | \$1,001 - \$15,000 |                          |

| Asset   | Owner | Date       | Tx. Type | Amount             | Cap. Gains > \$200? |
|---|-------|------------|----------|--------------------|---------------------|
| DESCRIPTION: Dividend Reinvestment                                  |       |            |          |                    |                     |
| Morgan Stanley IRA - X529 ⇒<br>Invesco Balanced-Risk Alloc Y [MF]   |       | 12/16/2019 | P        | \$1,001 - \$15,000 |                     |
| DESCRIPTION: Dividend Reinvestment                                  |       |            |          |                    |                     |
| Morgan Stanley IRA - X529 ⇒<br>MFS International Value I [MF]       |       | 12/13/2019 | P        | \$1,001 - \$15,000 |                     |
| DESCRIPTION: Dividend Reinvestment                                  |       |            |          |                    |                     |
| Morgan Stanley IRA - X529 ⇒<br>MFS International Value I [MF]       |       | 12/13/2019 | P        | \$1,001 - \$15,000 |                     |
| DESCRIPTION: Dividend Reinvestment                                  |       |            |          |                    |                     |
| Morgan Stanley IRA - X529 ⇒<br>Oakmark Fund Advisor [MF]            |       | 12/13/2019 | P        | \$1,001 - \$15,000 |                     |
| DESCRIPTION: Dividend Reinvestment                                  |       |            |          |                    |                     |
| Morgan Stanley IRA - X529 ⇒<br>PGIM Jennison Health Sciences Z [MF] |       | 12/23/2019 | P        | \$1,001 - \$15,000 |                     |
| DESCRIPTION: Dividend Reinvestment                                  |       |            |          |                    |                     |
| Morgan Stanley IRA - X529 ⇒<br>T Rowe Price Cap Apprec Fd [MF]      |       | 12/16/2019 | P        | \$1,001 - \$15,000 |                     |
| DESCRIPTION: Dividend Reinvestment                                  |       |            |          |                    |                     |
| Morgan Stanley IRA - X529 ⇒<br>T Rowe Price Cap Apprec Fd [MF]      |       | 12/16/2019 | P        | \$1,001 - \$15,000 |                     |
| DESCRIPTION: Dividend Reinvestment                                  |       |            |          |                    |                     |
| Morgan Stanley IRA - X529 ⇒<br>T Rowe Price Cap Apprec Fd [MF]      |       | 12/16/2019 | P        | \$1,001 - \$15,000 |                     |
| DESCRIPTION: Dividend Reinvestment                                  |       |            |          |                    |                     |

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

| Source                           | Type                         | Amount      |
|----------------------------------|------------------------------|-------------|
| Great-West Retirement Services   | Retirement Plan Distribution | \$8,183.18  |
| Morgan Stanley Smith Barney, LLC | IRA Distribution             | \$60,664.67 |
| Commonwealth                     | IRA Distribution             | \$2,177.82  |

| Source  | Type | Amount |
|---|------|--------|
| COMMENTS: Commonwealth Allmerica Select Resources II held in Morgan Stanley IRA X-140 |      |        |

## SCHEDULE D: LIABILITIES

| Owner   | Creditor                                    | Date Incurred  | Type  | Amount of Liability   |
|---|---|----------------|---|-----------------------|
|   | Truist Bank (FKA BB&T Mortgage)             | June 2014      | Mortgage on 1000 New Jersey Ave SE Apt 224, Washington DC 20003               | \$100,001 - \$250,000 |
|   | Capitol Hill Tower Housing Cooperative, Inc | December 2015  | Share of co-op mortgage on 1000 New Jersey Ave SE Apt 224, Washington DC 2003 | \$100,001 - \$250,000 |
|   | Morgan Stanley Private Bank, NA             | September 2014 | Mortgage on 403 S Sapodilla Ave unit 213, West Palm Beach, FL 33401           | \$250,001 - \$500,000 |
| COMMENTS: Creditor for this mortgage on the 2018 Annual Disclosure was listed as PPH Mortgage Service which is a third party provider for Morgan Stanley. |   |                |   |                       |

## SCHEDULE E: POSITIONS

| Position | Name of Organization  |
|----------|-----------------------|
| Partner  | 8400 Plaza Associates |

## SCHEDULE F: AGREEMENTS

| Date                     | Parties To                        | Terms of Agreement  |
|--------------------------|-----------------------------------|---|
| 2002                     | State of Florida and filer        | Pension annuity for service in state legislature                              |
| COMMENTS: Vested in 2002 |                                   |   |
| March 2011               | City of West Palm Beach and filer | Retiree Life Insurance, Retiree VEBA, Retiree Health Insurance-not exercised. |

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

| Trip Details         |            |            |   |                  | Inclusions                          |                                     |                          |
|----------------------|------------|------------|---|------------------|-------------------------------------|-------------------------------------|--------------------------|
| Source               | Start Date | End Date   | Itinerary   | Days at Own Exp. | Lodging?                            | Food?                               | Family?                  |
| MECEA India-US Forum | 08/11/2019 | 08/18/2019 | Washington Dulles - Mumbai - Agra - New Delhi - Washington Dulles | 0                | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- City of West Palm Beach 401(a) Defined Contribution Retirement Plan
- City of West Palm Beach 457 Deferred Compensation Plan
- Lois J. Frankel Living Trust
- MetLife-Preference Plus Select L Class Annuity
- Morgan Stanley IRA - X085
- Morgan Stanley IRA - X085 ⇒ Voya Financial  
COMMENTS: ING Opportunities changed it's name to Voya Financial in 2014
- Morgan Stanley IRA - X140
- Morgan Stanley IRA - X140 ⇒ Commonwealth Allmerica Select Resources II
- Morgan Stanley IRA - X141  
DESCRIPTION: Managed by MFS Investment MGMT
- Morgan Stanley IRA - X142  
DESCRIPTION: Managed by Anchor Capital Advisors LLC
- Morgan Stanley IRA - X529

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## COMMENTS

Esterline Technologies CP full sale under \$1,000 on 01/07/2019

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Lois Frankel , 08/10/2020