



Filing ID #10046989

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Mary Gay Scanlon  
**Status:** Member  
**State/District:** PA05

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2021  
**Filing Date:** 08/12/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Annuity ⇒ Northwestern Mutual Annuity (1) [FN]	JT	\$500,001 - \$1,000,000	None		<input type="checkbox"/>
Annuity ⇒ Northwestern Mutual Annuity (2) [FN]	JT	\$500,001 - \$1,000,000	None		<input type="checkbox"/>
Ballard 401K ⇒ Vanguard Institutional Index (VINIX) [MF]	SP	\$500,001 - \$1,000,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ballard 401K ⇒ Vanguard Mid Cap Index Fd Inst. (VMCIX) [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ballard 401K ⇒ Vanguard Small Cap (VSCIX) [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ballard 401K ⇒ Vanguard Total International Stock Index Fd (VTSNX) [MF]	SP	\$500,001 - \$1,000,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ballard Spahr LLP [OT]	SP	\$500,001 - \$1,000,000	Ordinary Income	Spouse/DC Over \$1,000,000	<input type="checkbox"/>
DESCRIPTION: Partner Capital Account					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ballard Spahr LLP Pension [PE]	SP	Undetermined	None		<input type="checkbox"/>
Brokerage ⇒ Dreyfus Ins Deposit Program [BA]	JT	\$50,001 - \$100,000	None		<input type="checkbox"/>
Brokerage ⇒ Exelon Corporation (EXC) [ST]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Brokerage ⇒ Vanguard Wellington (VWELX) [MF]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Genworth Universal Life Insurance [WU]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Northwestern Mutual - Adjustable CompLife [WU]  DESCRIPTION: Policy date 5/30/2019	SP	\$100,001 - \$250,000	None		<input type="checkbox"/>
Northwestern Mutual - Adjustable CompLife [WU]  DESCRIPTION: Policy date 10/13/1992	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Northwestern Mutual - Estate CompLife [WU]  DESCRIPTION: Policy date 10/23/2014	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual - Estate CompLife [WU]  DESCRIPTION: Policy date 10/23/2013	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual - Estate CompLife [WU]  DESCRIPTION: Policy date 10/23/2011	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual - Estate CompLife [WU]  DESCRIPTION: Policy date 10/23/2007	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual - Whole Life [WU]  DESCRIPTION: Policy date 1/23/2013		\$15,001 - \$50,000	None		<input type="checkbox"/>
Northwestern Mutual - Whole Life [WU]  DESCRIPTION: Policy date 10/14/1993		\$50,001 - \$100,000	None		<input type="checkbox"/>
Northwestern Mutual - Whole Life [WU]		\$50,001 - \$100,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Policy date 10/13/1992					
Northwestern Mutual - Whole Life [WU]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
DESCRIPTION: Policy date 10/23/2012					
Northwestern Mutual - Whole Life [WU]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: Policy date 10/23/2004					
Northwestern Mutual - Whole Life [WU]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: Policy date 10/23/2002					
Northwestern Mutual - Whole Life [WU]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: Policy date 10/23/2000					
Northwestern Mutual - Whole Life [WU]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
DESCRIPTION: Policy date 10/23/1998					
Northwestern Mutual Insurance ⇒ Global Real Estate Securities (RIF) [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Northwestern Mutual Insurance ⇒ Index 400 Stock (MSA/Northern Trust) [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Variable CompLife					
Northwestern Mutual Insurance ⇒ Index 500 Stock (MSA/BlackRock) [MF]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Variable CompLife					
Northwestern Mutual Insurance ⇒ Index 600 Stock (MSA/Northern Trust) [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Variable CompLife					
Northwestern Mutual Insurance ⇒ International Equity (MSA/Dodge Cox) [MF]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Variable CompLife					
Northwestern Mutual IRA # 2 ⇒ Cohen & Steers Real Estate Securities Fund (CSDIX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual IRA # 2 ⇒	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
FMI International Fund Institutional Class (FMIYX) [MF]					
Northwestern Mutual IRA # 2 ⇒ Goldman Sachs International Small Cap Insights Fund Institutional Class (GICIX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA # 2 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA # 2 ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA # 2 ⇒ iShares Core S&P Small-Cap ETF (IJR) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA # 2 ⇒ iShares Gold Trust (IAU) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA # 2 ⇒ iShares MSCI Eurozone ETF (EZU) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA # 2 ⇒ iShares MSCI USA Value Factor ETF (VLUE) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA # 2 ⇒ New World (NFFFX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA # 2 ⇒ Oakmark Select Fund Class Advisor (OAYLX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA # 2 ⇒ Parnassus Mid Cap Fund Institutional Shares (PFPMX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA # 2 ⇒ PIMCO CommoditiesPLUS® Strategy Fund Class I-2 (PCLPX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA # 2 ⇒ Schwab International Equity ETF (SCHF) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA # 2 ⇒ Vanguard Emerging Markets Stock Index Fund ETF (VWO) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Northwestern Mutual IRA #1 ⇒ American Century Short Duration Inf (APOHX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Baron Asset Fund Inst (BARIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ BlackRock Systematic Multi-Strategy Fund (BIMBX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Deutsche Enhanced Commodity (SKIRX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Dodge & Cox (DODIX) [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Dreyfus Ins Deposit Program [BA]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Europacific Growth (AEPFX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Fidelity Adviser Insights (FINSX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Goldman Sachs Absolute Return Tracker (GJRTX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Goldman Sachs ActiveBeta US Large Cap Equity ETF (GSLC) [EF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Hartford World Bond (HWDIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ iShares Gold Trust (IAU) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ iShares MSCI Intl Value Factor ETF (IVLU) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ iShares MSCI USA Value Factor ETF (VLUE) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Northwestern Mutual IRA #1 ⇒ iShares TR ESG Aware US Aggregate Bond ETF (EAGG) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ John Hancock Discipline Value (JVMIX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ MFS Value (MEIIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ New World (NFFFX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Northern Small Cap (NOSGX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Oakmark International (OAYIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ PIMCO Income (PONPX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Principal Real Estate Securities Fund Inst (PIREX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ TIAA-CREF Bond Fund Advisor Class (TIBHX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Western Asset Core Bond Fund Class I (WATFX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Northwestern Mutual Variable Annuity ⇒ Global Real Estate Securities (RIF) [MF]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Northwestern Mutual Variable Annuity ⇒ Index 400 Stock (MSA/Northern Trust) [MF]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Northwestern Mutual Variable Annuity ⇒ Index 500 Stock (MSA/BlackRock) [MF]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Northwestern Mutual Variable Annuity ⇒ Index 600 Stock (MSA/Northern Trust) [MF]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Northwestern Mutual Variable Annuity ⇒ International Equity (MSA/Dodge Cox) [MF]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Pennsylvania State Employee Credit Union [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>
PNC [BA]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Residential property located in Chaumont, NY [RP]	JT	\$250,001 - \$500,000	Rent	\$2,501 - \$5,000	<input type="checkbox"/>
LOCATION: Chaumont, NY, US					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ballard 401K ⇒ American Funds EuroPacific Growth Fund Class R-6 (RERGX) [MF]	SP	03/8/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ballard 401K ⇒ American Funds EuroPacific Growth Fund Class R-6 (RERGX) [MF]	SP	04/1/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ballard 401K ⇒ MFS Mid Cap Growth Fund Class R6 (OTCKX) [MF]	SP	04/1/2021	S	\$100,001 - \$250,000	<input type="checkbox"/>
Ballard 401K ⇒ MIP CL 2 [MF]	SP	01/8/2021	P	\$1,001 - \$15,000	
Ballard 401K ⇒ MIP CL 2 [MF]	SP	03/8/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ballard 401K ⇒ MIP CL 2 [MF]		04/1/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Ballard 401K ⇒ PIMCO Total Return (PTTRX) [MF]	SP	01/8/2021	P	\$1,001 - \$15,000	
Ballard 401K ⇒	SP	03/8/2021	S	\$50,001 -	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
PIMCO Total Return (PTTRX) [MF]			(partial)	\$100,000	<input type="checkbox"/>
Ballard 401K ⇒ PIMCO Total Return (PTTRX) [MF]	SP	04/1/2021	S	\$100,001 - \$250,000	<input type="checkbox"/>
Ballard 401K ⇒ Treasury Obligations Fund (TOIXX) [MF]	SP	04/1/2021	S	\$250,001 - \$500,000	<input type="checkbox"/>
Ballard 401K ⇒ Vanguard Institutional Index (VINIX) [MF]	SP	01/8/2021	P	\$15,001 - \$50,000	
Ballard 401K ⇒ Vanguard Institutional Index (VINIX) [MF]	SP	03/8/2021	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
Ballard 401K ⇒ Vanguard Institutional Index (VINIX) [MF]	SP	03/24/2021	P	\$1,001 - \$15,000	
Ballard 401K ⇒ Vanguard Institutional Index (VINIX) [MF]	SP	04/1/2021	P	\$500,001 - \$1,000,000	
Ballard 401K ⇒ Vanguard Institutional Index (VINIX) [MF]	SP	06/23/2021	P	\$1,001 - \$15,000	
Ballard 401K ⇒ Vanguard Institutional Index (VINIX) [MF]	SP	09/23/2021	P	\$1,001 - \$15,000	
Ballard 401K ⇒ Vanguard Institutional Index (VINIX) [MF]	SP	12/29/2021	P	\$15,001 - \$50,000	
Ballard 401K ⇒ Vanguard Mid Cap Index Fd Inst. (VMCIX) [MF]	SP	01/8/2021	P	\$1,001 - \$15,000	
Ballard 401K ⇒ Vanguard Mid Cap Index Fd Inst. (VMCIX) [MF]	SP	03/8/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Ballard 401K ⇒ Vanguard Mid Cap Index Fd Inst. (VMCIX) [MF]	SP	04/1/2021	P	\$50,001 - \$100,000	
Ballard 401K ⇒ Vanguard Small Cap (VSCIX) [MF]	SP	01/8/2021	P	\$1,001 - \$15,000	
Ballard 401K ⇒ Vanguard Small Cap (VSCIX) [MF]	SP	03/8/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ballard 401K ⇒ Vanguard Small Cap (VSCIX) [MF]	SP	04/1/2021	P	\$15,001 - \$50,000	
Ballard 401K ⇒ Vanguard Total Bond (VBTIX) [MF]	SP	01/8/2021	P	\$15,001 - \$50,000	
Ballard 401K ⇒ Vanguard Total Bond (VBTIX) [MF]	SP	03/8/2021	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Ballard 401K ⇒ Vanguard Total Bond (VBTIX) [MF]	SP	04/1/2021	S	\$100,001 - \$250,000	<input type="checkbox"/>
Ballard 401K ⇒ Vanguard Total International Stock Index Fd (VTSNX) [MF]	SP	01/8/2021	P	\$1,001 - \$15,000	
Ballard 401K ⇒ Vanguard Total International Stock Index Fd (VTSNX) [MF]	SP	04/1/2021	P	\$500,001 - \$1,000,000	
Ballard 401K ⇒ Vanguard Total International Stock Index Fd (VTSNX) [MF]	SP	06/18/2021	P	\$1,001 - \$15,000	
Ballard 401K ⇒ Vanguard Total International Stock Index Fd (VTSNX) [MF]	SP	09/17/2021	P	\$1,001 - \$15,000	
Ballard 401K ⇒ Vanguard Total International Stock Index Fd (VTSNX) [MF]	SP	12/17/2021	P	\$1,001 - \$15,000	
Brokerage ⇒ Vanguard Wellington (VWELX) [MF]	JT	12/30/2021	P	\$1,001 - \$15,000	
Northwestern Mutual Insurance ⇒ Global Real Estate Securities (RIF) [MF]	SP	04/20/2021	P	\$15,001 - \$50,000	
Northwestern Mutual Insurance ⇒ Growth Stock (MSA/T Rowe Price) [MF]	SP	04/20/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Changed subadvisor from The Boston Company to T Rowe Price in 2019					
Northwestern Mutual Insurance ⇒ Index 400 Stock (MSA/Northern Trust) [MF]	SP	04/20/2021	P	\$15,001 - \$50,000	
Northwestern Mutual Insurance ⇒ Index 500 Stock (MSA/BlackRock) [MF]	SP	04/20/2021	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Northwestern Mutual Insurance ⇒ Index 600 Stock (MSA/Northern Trust) [MF]	SP	04/20/2021	P	\$15,001 - \$50,000	
Northwestern Mutual Insurance ⇒ International Equity (MSA/Dodge Cox) [MF]	SP	11/1/2021	P	\$50,001 - \$100,000	
Northwestern Mutual Insurance ⇒ International Equity (MSA/Franklin Tmpl) [MF]	SP	04/20/2021	P	\$1,001 - \$15,000	
Northwestern Mutual Insurance ⇒ International Equity (MSA/Franklin Tmpl) [MF]	SP	11/1/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
Northwestern Mutual Insurance ⇒ Mid Cap Growth Stock (MSA/Wellington) [MF]	SP	04/20/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
Northwestern Mutual IRA # 2 ⇒ American Balanced Fund Class A (ABALX) [MF]	SP	02/12/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual IRA # 2 ⇒ Corteva, Inc. (CTVA) [ST]	SP	02/12/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual IRA # 2 ⇒ Dow Inc. (DOW) [ST]	SP	02/12/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual IRA # 2 ⇒ DuPont de Nemours, Inc. (DD) [ST]	SP	02/1/2021	E	\$1,001 - \$15,000	
DESCRIPTION: DuPont de Nemours, Inc. (DD) exchanged for International Flavors & Fragrances, Inc. (IFF) following merger.					
Northwestern Mutual IRA # 2 ⇒ FMI International Fund Institutional Class (FMIYX) [MF]	SP	02/12/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA # 2 ⇒ Goldman Sachs International Small Cap Insights Fund Institutional Class (GICIX) [MF]	SP	02/12/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA # 2 ⇒ International Flavors & Fragrances, Inc. (IFF) [ST]	SP	02/12/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual IRA # 2 ⇒ iShares Core S&P 500 ETF (IVV) [EF]	SP	02/12/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA # 2 ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF]	SP	02/12/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Northwestern Mutual IRA # 2 ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF]	SP	02/12/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA # 2 ⇒ iShares Gold Trust (IAU) [EF]	SP	02/12/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA # 2 ⇒ iShares MSCI Eurozone ETF (EZU) [MF]	SP	06/7/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA # 2 ⇒ iShares MSCI USA Value Factor ETF (VLUE) [MF]	SP	02/12/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA # 2 ⇒ New World (NFFFX) [MF]	SP	02/12/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA # 2 ⇒ Oakmark Select Fund Class Advisor (OAYLX) [MF]	SP	02/12/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA # 2 ⇒ Oracle Corporation (ORCL) [ST]	SP	02/12/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual IRA # 2 ⇒ Parnassus Mid Cap Fund Institutional Shares (PFPMX) [MF]	SP	02/12/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA # 2 ⇒ PIMCO CommoditiesPLUS® Strategy Fund Class I-2 (PCLPX) [MF]	SP	02/12/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA # 2 ⇒ Schwab International Equity ETF (SCHF) [EF]	SP	02/12/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA # 2 ⇒ Schwab International Equity ETF (SCHF) [MF]	SP	06/7/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual IRA # 2 ⇒ Vanguard Emerging Markets Stock Index Fund ETF (VWO) [EF]	SP	02/12/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA #1 ⇒ American Century Short Duration Inf (APOHX) [MF]		01/4/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA #1 ⇒		12/22/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
American Century Short Duration Inf (APOHX) [MF]					
Northwestern Mutual IRA #1 ⇒ Baron Asset Fund Inst (BARIX) [MF]		11/24/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA #1 ⇒ BlackRock Systematic Multi-Strategy Fund (BIMBX) [MF]		01/19/2021	P	\$15,001 - \$50,000	
Northwestern Mutual IRA #1 ⇒ Deutsche Enhanced Commodity (SKIRX) [MF]		09/24/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA #1 ⇒ Dodge & Cox (DODIX) [MF]		01/5/2021	P	\$15,001 - \$50,000	
Northwestern Mutual IRA #1 ⇒ Dodge & Cox (DODIX) [MF]		03/30/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA #1 ⇒ Dodge & Cox (DODIX) [MF]		12/22/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA #1 ⇒ Europacific Growth (AEPFX) [MF]		01/4/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Europacific Growth (AEPFX) [MF]		06/7/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Europacific Growth (AEPFX) [MF]		06/15/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA #1 ⇒ Europacific Growth (AEPFX) [MF]		12/17/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA #1 ⇒ Fidelity Adviser Insights (FINSX) [MF]		02/16/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA #1 ⇒ Fidelity Adviser Insights (FINSX) [MF]		12/13/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA #1 ⇒ Goldman Sachs Absolute Return Tracker (GJRTX) [MF]		01/4/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA #1 ⇒ Goldman Sachs Absolute Return Tracker (GJRTX) [MF]		12/15/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Northwestern Mutual IRA #1 ⇒ iShares MSCI Intl Value Factor ETF (IVLU) [EF]		06/7/2021	P	\$15,001 - \$50,000	
Northwestern Mutual IRA #1 ⇒ John Hancock Discipline Value (JVMIX) [MF]		12/20/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA #1 ⇒ MFS Value (MEIIX) [MF]		12/17/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA #1 ⇒ New World (NFFFX) [MF]		01/4/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ New World (NFFFX) [MF]		12/17/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA #1 ⇒ Northern Small Cap (NOSGX) [MF]		01/4/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Northern Small Cap (NOSGX) [MF]		12/17/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA #1 ⇒ Oakmark International (OAYIX) [MF]		01/4/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Principal Global Multi-Strategy Fund Inst. (PSMIX) [MF]		01/19/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
Northwestern Mutual IRA #1 ⇒ Principal Real Estate Securities Fund Inst (PIREX) [MF]		12/21/2021	P	\$1,001 - \$15,000	
Northwestern Mutual IRA #1 ⇒ TIAA-CREF Bond Fund Advisor Class (TIBHX) [MF]		01/4/2021	P	\$1,001 - \$15,000	
Northwestern Mutual Variable Annuity ⇒ Domestic Equity (MSA/Delaware) [MF]	SP	04/1/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual Variable Annuity ⇒ Fidelity VIP Mid Cap [MF]	SP	04/1/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual Variable Annuity ⇒ Global Real Estate Securities (RIF) [MF]	SP	04/1/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Northwestern Mutual Variable Annuity ⇒ Index 400 Stock (MSA/Northern Trust) [MF]	SP	04/1/2021	P	\$1,001 - \$15,000	
Northwestern Mutual Variable Annuity ⇒ Index 500 Stock (MSA/BlackRock) [MF]	SP	04/1/2021	P	\$1,001 - \$15,000	
Northwestern Mutual Variable Annuity ⇒ Index 600 Stock (MSA/Northern Trust) [MF]	SP	04/1/2021	P	\$1,001 - \$15,000	
Northwestern Mutual Variable Annuity ⇒ International Developed Markets (RIF) [MF]	SP	04/1/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual Variable Annuity ⇒ International Equity (MSA/Dodge Cox) [MF]	SP	11/1/2021	P	\$1,001 - \$15,000	
Northwestern Mutual Variable Annuity ⇒ International Equity (MSA/Franklin Tmpl) [MF]	SP	04/1/2021	P	\$1,001 - \$15,000	
Northwestern Mutual Variable Annuity ⇒ International Equity (MSA/Franklin Tmpl) [MF]	SP	11/1/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual Variable Annuity ⇒ Multi Sector Bond (MSA/PIMCO) [MF]	SP	04/1/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Northwestern Mutual Variable Annuity ⇒ Small Cap Value (MSA/T Rowe Price) [MF]	SP	04/1/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Ballard Spahr LLP	Spouse Salary	N/A

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	PNC	June 2016	Residential Mortgage	\$50,001 - \$100,000
JT	PNC	June 2018	Home Equity Line of Credit	\$50,001 -

Owner	Creditor	Date Incurred	Type	Amount of Liability
				\$100,000
JT	Mr. Cooper	January 2011	Residential Mortgage	\$50,001 - \$100,000
JT	Citizens Bank NA	September 2017	Mortgage on Chaumont, NY rental property	\$50,001 - \$100,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
The Aspen Institute, Inc.	10/22/2021	10/24/2021	Washington, DC - Gettysburg, PA - Swarthmore, PA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Annuity (Owner: JT)  
LOCATION: US
- Ballard 401K (Owner: SP)
- Ballard 401K
- Brokerage (Owner: JT)  
LOCATION: US
- Northwestern Mutual Insurance (Owner: SP)
- Northwestern Mutual IRA # 2 (Owner: SP)
- Northwestern Mutual IRA #1
- Northwestern Mutual Variable Annuity (Owner: SP)

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## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Mary Gay Scanlon , 08/12/2022