



Filing ID #10055684

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Ted Lieu
Status: Member
State/District: CA36

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2021
Filing Date: 08/15/2023

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|---------------------------|--------------------------|---------------------|-------------------------------------|
| 3148/Large Cap Growth Fund - A [MF] | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| 3159 / Equity Index Fund - A [MF] | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Alight Money Market [MF] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| DESCRIPTION: The Hewitt Money Market fund changed its name to the Alight Money Market fund. | | | | | |
| American High-Income Trust-529A [5P] | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| LOCATION: CA | | | | | |
| Apartment [RP] | | \$1,000,001 - \$5,000,000 | Rent | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| LOCATION: Baldwin Park, CA, US | | | | | |
| Capital World Growth & Income [MF] | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Capital World Growth and Income-529A [5P] | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| LOCATION: CA | | | | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|--------------------------|-----------------|-------------------------------------|
| Invesco Stable Value Fund [MF] | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Kinecta Account for Austin Lieu [BA] | | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Kinecta Account for Brenna Lieu [BA] | | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Mondrian International Value [MF] | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Nationwide Core Plus Bond Fund [MF] | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Northern Trust Govt Short Term Investment Fund [GS] | | \$1,001 - \$15,000 | Dividends, Interest | \$1 - \$200 | <input type="checkbox"/> |
| DESCRIPTION: Various funds were transferred into this fund on August 13 and August 15, 2019. | | | | | |
| Office space in Monterey Park CA [RP] | | \$250,001 - \$500,000 | None | | <input checked="" type="checkbox"/> |
| LOCATION: Monterey Park, CA, US | | | | | |
| DESCRIPTION: This property was purchased as part of a 1031 exchange with funds from the sale of the Florida condo in Fort Myers. | | | | | |
| PACE Global Fixed Income Fund [MF] | | \$1 - \$1,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| PIMCO Diversified Income Fund [MF] | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| PIMCO Investment Grade Credit [MF] | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Pudential Core Plus Bond Fund [MF] | | \$1 - \$1,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| SD Window [MF] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| SSGA S&P 500 Index Fund [MF] | | \$15,001 - \$50,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| The Growth Fund of America [MF] | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-------------------------|-----------------------------|-----------------|--------------------------|
| The Growth Fund of America-529A [5P] | | \$15,001 - \$50,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| LOCATION: CA | | | | | |
| The Income Fund of America-529A [5P] | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| LOCATION: CA | | | | | |
| U.S. Government Securities Fund [MF] | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| UBS International Sustainable Equity [MF] | | \$1 - \$1,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| US Bank Account [BA] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Vanguard Target Retirement 2030 [MF] | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Virtus NFJ Dividend Value Fund [MF] | | \$1 - \$1,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Washington Mutual Investors Fund [MF] | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Wells Fargo Account for Austin Lieu [BA] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Wells Fargo Account for Brennan Lieu [BA] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Wells Fargo Checking for Brennan Lieu [BA] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Wells Fargo PMA Bank Accounts [BA] | | \$50,001 - \$100,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|-----------------------|---------------------|
| Condominium in Fort Myers, Florida [RP] | | 05/20/2022 | E | \$100,001 - \$250,000 | |
| LOCATION: Fort Myers, FL, US DESCRIPTION: The Florida condo in Fort Myers was sold on May 20 as part of a 1031 exchange. The funds were then used to purchase property located at 223 N Garfield Ave in Monterey Park on June 13. | | | | | |
| Office space in Monterey Park CA [RP] | | 06/13/2022 | E | \$250,001 - \$500,000 | |
| LOCATION: Monterey Park, CA, US DESCRIPTION: This property was purchased as part of a 1031 exchange with funds from the sale of the Florida condo in Fort Myers. | | | | | |

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount |
|-----------------------|---------------|------------|
| Torrance School Board | Spouse Salary | \$4,800.00 |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|-------------|---------------|--|-----------------------|
| JT | Wells Fargo | March 2017 | Loan for Condo in DC | \$100,001 - \$250,000 |
| SP | CTBC Bank | June 13, 2022 | Loan for Office space in Monterey Park | \$250,001 - \$500,000 |

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Ted Lieu , 08/15/2023