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FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Steve Cohen
Status: Member
State/District: TN09

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2020
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SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Commercial Bank and Trust Company [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200 <input type="checkbox"/>
Gold Bullion [OT]		\$50,001 - \$100,000	None	<input type="checkbox"/>
DESCRIPTION: Gold Bullion				
Stephens Advantage Account ⇒ Amgen Inc. (AMGN) [ST]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ AT&T Inc. (T) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$15,001 - \$50,000 <input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ AutoZone, Inc. (AZO) [ST]		\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500 <input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ Barrick Gold Corporation (ABX) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
Stephens Advantage Account ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		\$100,001 - \$250,000	Capital Gains	\$5,001 - \$15,000 <input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Stephens Advantage Account ⇒ Boeing Company (BA) [ST]		None	Capital Gains	\$15,001 - \$50,000 <input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ CHATTANOOGA TN HLTH EDUCTNL & HSG FACS BRD REV REF-STNDNT HSG-CDFI [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500 <input type="checkbox"/>
Stephens Advantage Account ⇒ CHATTANOOGA-HAMILTON CNTY TN HOSP AUTH H REV REF-ERLANGER-HLTH-REMK 11/24 [GS]		None	None	<input type="checkbox"/>
Stephens Advantage Account ⇒ CHATTANOOGA-HAMILTON CNTY TN HOSP AUTH H REV REF-ERLANGER-HLTH-REMK 11/24/09 [GS]		None	None	<input type="checkbox"/>
Stephens Advantage Account ⇒ Comcast Corporation - Class A (CMCSA) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000 <input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ DAIMLER AG REG SHS I SIN [MF]		\$15,001 - \$50,000	Capital Gains, Interest	\$201 - \$1,000 <input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ Enbridge Inc (ENB) [ST]		None	None	<input type="checkbox"/>
Stephens Advantage Account ⇒ FEDERATED HERMES TREASURY OBLIGATIONS FUND INSTL SHARES [GS]		None	None	<input type="checkbox"/>
Stephens Advantage Account ⇒ Federated Treasury Obligations AS-115 [GS]		None	None	<input type="checkbox"/>
Stephens Advantage Account ⇒ FedEx Corporation (FDX) [ST]		\$100,001 - \$250,000	Capital Gains, Dividends	\$1,001 - \$2,500 <input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ FIRST HORIZON NATIONAL 6.25 SERIES PERP/CALL 4/10/182 25 [CS] DESCRIPTION: FHN PRA		\$15,001 - \$50,000	Capital Gains, Interest	\$1,001 - \$2,500 <input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ Fluor Corporation (FLR) [ST]		\$15,001 - \$50,000	None	<input type="checkbox"/>
Stephens Advantage Account ⇒ Franklin Rising Dividends Fund Class A [MF]		\$1 - \$1,000	Interest	\$1 - \$200 <input type="checkbox"/>
Stephens Advantage Account ⇒		\$100,001 -	Interest	\$201 - <input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
FRANKLIN RISING DIVIDENDS FUND CLASS C [MF]		\$250,000		\$1,000
Stephens Advantage Account ⇒ GlaxoSmithKline PLC (GSK) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ Goldman Sachs Group, Inc. (GS) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
DESCRIPTION: Symbol GS PRA				
Stephens Advantage Account ⇒ Goldman Sachs Group, Inc. (GS) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
DESCRIPTION: Symbol GS PRC				
Stephens Advantage Account ⇒ HENDERSONVILLE TENN UTIL DIST WTRWKS & SWR REV [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ International Business Machines Corporation (IBM) [ST]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ JOHNSON CITY TENN HLTH & EDL FACS BRD HOSP REV RFG & IMPT MED CTR [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500 <input type="checkbox"/>
Stephens Advantage Account ⇒ KNOX CNTY TN HLTH EDUCTNL & HS REF-FACS BRD-UNIVERSITY HEALTH [CS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
DESCRIPTION: ,				
Stephens Advantage Account ⇒ KNOX CNTY TN HLTH EDUCTNL & HSG FACS BRD HOSP REV REF-COVENANT HLTH [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
DESCRIPTION: ,				
Stephens Advantage Account ⇒ KNOX CNTY TN HLTH EDUCTNL & HSG FACS BRD HOSP REV RFDG-COVENANT HLTH SER A OPT ERP [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ Marriott International - Class A (MAR) [ST]		\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000 <input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒		None	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
MET GOVT NASHVILLE & DAVIDSON CNTY TN H&E FACS BRD REV HOSP CHARITY [GS]				
DESCRIPTION: .				
Stephens Advantage Account ⇒ MET GOVT NASHVILLE & DAVIDSON CNTY TN HLTH & EDL HSG-WILLOW POINTE APTS PROJ REV BDS MAND ERP [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
DESCRIPTION: .				
Stephens Advantage Account ⇒ MET GOVT NASHVILLE & DAVIDSON CNTY TN SPRTS UTH PUB IMPT-BALL PARK PJ SER [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ MET GOVT NASHVILLE & DAVIDSON REF & IMPT- BLAKEFORD AT GREEN [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500 <input type="checkbox"/>
Stephens Advantage Account ⇒ Mid-America Apartment Communities, Inc. (MAA) [ST]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500 <input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ Northrop Grumman Corporation (NOC) [ST]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500 <input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ Pepsico, Inc. (PEP) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000 <input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ PUTNAM SHORT DURATION INCOME FUNDCLASS A [MF]		\$100,001 - \$250,000	Interest	\$1,001 - \$2,500 <input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ RUTHERFORD CNTY TN GO UNLT [GS]		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500 <input type="checkbox"/>
Stephens Advantage Account ⇒ SAVANNAH VLY TN UTIL DIST WTRWKS REV REF-SER A [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ SHELBY CNTY TN HLTH EDUCTNL & HSG FACS BRD REV REF-TREZEVANT MANOR [CS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500 <input type="checkbox"/>
DESCRIPTION: .				
Stephens Advantage Account ⇒ SHELBY CO TN HLTH EDUCTNL & HSG FACS BRD REV METHODIST LE BONHEUR [GS]		\$50,001 - \$100,000	Interest	\$2,501 - \$5,000 <input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Stephens Advantage Account ⇒ STEPHENS INS BANKSWEEP PROGRAM [BA]		\$500,001 - \$1,000,000	Interest	\$1,001 - \$2,500 <input type="checkbox"/>
DESCRIPTION: This is a bank account				
Stephens Advantage Account ⇒ TENN HSG DEV AGY RSDL FING PRG REV NON-AMT ISSUE 1B REVENUE BONDS [GS]		\$1,001 - \$15,000	Interest	\$1 - \$200 <input type="checkbox"/>
Stephens Advantage Account ⇒ TENN HSG DEV AGY RSDL FING PROG REV ISSUE 3 REVENUE BONDS [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ TENN HSG DEV AGY RSDL FING PROG REV NON ACE-SER 2B REV BDS [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ TENN HSG DEV AGY RSDL FING PROG REV NON-AMT NON ACE-ISSUE 4B REV BDS [GS]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ TENN HSG DEV AGY RSDL FING PROG REV NON-AMT NON-ACE-ISSUE 1B REV BONDS [BA]		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
DESCRIPTION: ,				
Stephens Advantage Account ⇒ TENN ST HSG DEV AGY NON-AMT NON-ACE HOMEOWNERSHIP PROG-SER 2C [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE ENERGY ACQUISITION CORP GAS REV SER A MAND ERP M-W CALL [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY FIN PROG SER A-1 XRDNRY RDM PROV [GS]		None	None	<input type="checkbox"/>
DESCRIPTION: .				
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY HOMEOWNER-SHIP PROG 1-A-NON AMT NON ACE [GS]		None	None	<input type="checkbox"/>
DESCRIPTION: .				
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY HSG FIN PROG-SER A-1 ERP [GS]		None	None	<input type="checkbox"/>
DESCRIPTION: .				

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FIN PROG REV NON-AMT NON ADJUSTED CURRENT [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FIN ISSUE 3 REVENUE BONDS ERP MANDATORY [GS]		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500 <input type="checkbox"/>
DESCRIPTION: .				
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FIN NON-AMT NON ACE-ISSUE 4B REVENUE BONDS ERP OPT/MAND [CS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
DESCRIPTION: ,				
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FIN NON-AMT NON ACE-SER 2B REVENUE BONDS [CS]		\$1,001 - \$15,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
DESCRIPTION: .				
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FIN NON-AMT NON ADJUSTED CURRENT E REVENUE BONDS ERP OPTIONAL [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FIN NON-AMT NON-ACE-ISSUE 1B REVENUE BONDS [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500 <input type="checkbox"/>
DESCRIPTION: .				
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FIN NON-AMT NON-ACE-ISSUE 1B REVENUE BONDS [CS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
DESCRIPTION: ,				
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FIN REF-ISSUE 4 REVENUE BONDS ERP OPT/MAND [GS]		\$1,001 - \$15,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FING PROG REV NON-ACE-SER B2 REV BONDS MDY UNDLY Aa1 ERP OPT/MAND [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FING PROG REV NON-AMT NON ACE-ISSUE 4B REV BDS ERP OPT/MAND [GS]		\$1,001 - \$15,000	Interest	\$201 - \$1,000 <input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FING PROG REV NON-AMT NON ACE-ISSUE 4B REVENUE ERP OPT/MAN [GS]		\$1,001 - \$15,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FING PROG REV NON-AMT NON ACE-SER 2B REV BONDS ERP OPTIONAL [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FING PROGRAM REV ISSUE 3 REV BDS ERP OPT/MAND [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE HSG DEV AGY RSDL FING PROGRAM REV ISSUE 3 REVENUE BONDS ERP MANDATORY [CS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
DESCRIPTION: ,				
Stephens Advantage Account ⇒ TENNESSEE ST HSG DEV AGY HOMEOWNERSHIP PROGRAM-SER 2B [GS]		\$15,001 - \$50,000	Interest	\$1 - \$200 <input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE ST HSG DEV AGY NON AMT NON ACE HOMEOWNERSHIP [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ TENNESSEE ST HSG DEV AGY NON-AMT NON ACE HOMEOWNERSHIP PROGRAM SER 2012-2C OPT/MAND ERP [CS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
DESCRIPTION: ,				
Stephens Advantage Account ⇒ TENNESSEE ST HSG DEV AGY REF-SER A REVENUE BONDS MDY UNDLY AA2 [GS]		\$1,001 - \$15,000	Interest	\$201 - \$1,000 <input type="checkbox"/>
Stephens Advantage Account ⇒ Toyota Motor Corporation (TM) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000 <input type="checkbox"/>
Stephens Roth IRA ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		None	Capital Gains	\$15,001 - \$50,000 <input checked="" type="checkbox"/>
Stephens Roth IRA ⇒ Boeing Company (BA) [ST]		None	None	<input checked="" type="checkbox"/>
Stephens Roth IRA ⇒ Eli Lilly and Company (LLY) [ST]		None	Capital Gains	\$15,001 - \$50,000 <input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Stephens Roth IRA ⇒ Federated Treasury Obligations FD 68 [GS]		None	None	<input type="checkbox"/>
Stephens Roth IRA ⇒ PUTNAM ULTRA SHORT DURATION INCOME FUND CLASS Y [MF]		\$50,001 - \$100,000	Interest	\$201 - \$1,000 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ American Airlines Group, Inc. (AAL) [ST]		None	None	<input type="checkbox"/>
DESCRIPTION: This stock was sold in 2019 and disclosed on part B of the 2019 report				
Stephens SEP IRA ⇒ AT&T Inc. (T) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		\$100,001 - \$250,000	Capital Gains	\$15,001 - \$50,000 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ BLACKROCK LOW DURATION BOND PORTFOLIO FUND CLASS K [MF]		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Carnival Corporation (CCL) [ST]		None	None	<input type="checkbox"/>
DESCRIPTION: This stock was sold in 2019 and disclosed on part B of the 2019 report				
Stephens SEP IRA ⇒ Charter Communications, Inc. - Class A (CHTR) [ST]		None	None	<input type="checkbox"/>
DESCRIPTION: This stock was sold in 2019 and disclosed on part B of the 2019 report				
Stephens SEP IRA ⇒ Chevron Corporation (CVX) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Coca-Cola Company (KO) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Cohen & Steers Quality Income Realty Fund Inc Common Shares (RQI) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ DOUBLELINE ULTRA SHORT BOND FUND CLASS I [MF]		\$50,001 - \$100,000	Capital Gains, Interest	\$201 - \$1,000 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Duke Energy Corporation (DUK) [ST]		None	None	<input type="checkbox"/>
DESCRIPTION: This stock was sold in 2019 and disclosed on part B of the 2019 report				

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Stephens SEP IRA ⇒ Eli Lilly and Company (LLY) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Emergent Biosolutions, Inc. (EBS) [ST]		\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Exxon Mobil Corporation (XOM) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ FEDERATED HERMES TREASRY OB IS [MF]		\$1,001 - \$15,000	Interest	\$1 - \$200 <input type="checkbox"/>
Stephens SEP IRA ⇒ FEDERATED HERMES ULTRASHORT BOND FUND CLASS IS [MF]		\$50,001 - \$100,000	Interest	\$201 - \$1,000 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Fidelity Dividend ETF for Rising Rates (FDRR) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ First Horizon National Corporation (FHN) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ First Trust Enhanced Short Maturity ETF (FTSM) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ General Electric Company (GE) [ST]		None	None	<input type="checkbox"/>
DESCRIPTION: This stock was sold in 2019 and disclosed on part B of the 2019 report				
Stephens SEP IRA ⇒ HCP, Inc. (HCP) [ST]		None	None	<input type="checkbox"/>
DESCRIPTION: This stock was sold in 2019 and disclosed on part B of the 2019 report				
Stephens SEP IRA ⇒ Healthpeak Properties, Inc. (PEAK) [ST]		\$15,001 - \$50,000	Capital Gains, Interest	\$5,001 - \$15,000 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Host Hotels & Resorts, Inc. (HST) [ST]		\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ International Business Machines Corporation (IBM) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ LORD ABBETT SHORT DURATION INCOME FUND CLASS F [MF]		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500 <input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Stephens SEP IRA ⇒ Microsoft Corporation (MSFT) [ST]		\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ PGIM SHORT-TERM CORPORATE BOND FUND CLASS R6 [MF]		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ PUTNAM ULTRA SHRT DURATION INCOME FUND CLASS Y [MF]		\$100,001 - \$250,000	Interest	\$1,001 - \$2,500 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Raytheon Company (RTN) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Regions Financial Corporation (RF) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Schlumberger N.V. (SLB) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ SHORT-TERM BOND FUND OF AMERICA CLASS F3 [MF]		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Sony Corporation (SNE) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ THORNBURG LIMITED-TERM INCOME FUND CLASS I [MF]		\$50,001 - \$100,000	Capital Gains, Interest	\$1,001 - \$2,500 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Toyota Motor Corporation (TM) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Walt Disney Company (DIS) [ST]		\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ WESTERN SHORT TERM BOND FUND CLASS IS [MF]		\$15,001 - \$50,000	Interest	\$201 - \$1,000 <input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Weyerhaeuser Company (WY) [ST]		None	None	<input type="checkbox"/>
DESCRIPTION: This stock was sold in 2019 and disclosed on part B of the 2019 report				
TN 401k ⇒		None	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
FIDELITY CONTRAFUND [MF]				<input type="checkbox"/>
DESCRIPTION: The money in this 401K asset was exchanged to the Tennessee Stable Value Fund in the same 401K account. This was disclosed on schedule B.				
TN 401k ⇒		None	None	<input type="checkbox"/>
FIDELITY OTC PORTFOLIO [MF]				
DESCRIPTION: The money in this 401K asset was exchanged to the Tennessee Stable Value Fund in the same 401K account. This was disclosed on schedule B.				
TN 401k ⇒		None	None	<input type="checkbox"/>
FIDELITY PURITAN FUND [MF]				
DESCRIPTION: The money in this 401K asset was exchanged to the Tennessee Stable Value Fund in the same 401K account. This was disclosed on schedule B.				
TN 401k ⇒		None	None	<input type="checkbox"/>
Janus Henderson Enterprise N [MF]				
DESCRIPTION: The money in this 401K asset was exchanged to the Tennessee Stable Value Fund in the same 401K account. This was disclosed on schedule B.				
TN 401k ⇒	\$500,001 - \$1,000,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Tennessee Stable Value Fund [MF]				
TN 401k ⇒		None	None	<input type="checkbox"/>
Vanguard Institutional Index Instl Pl [MF]				
DESCRIPTION: The money in this 401K asset was exchanged to the Tennessee Stable Value Fund in the same 401K account. This was disclosed on schedule B.				
TN 401k ⇒		None	None	<input type="checkbox"/>
Western Asset Core Plus Bond IS [MF]				
DESCRIPTION: The money in this 401K asset was exchanged to the Tennessee Stable Value Fund in the same 401K account. This was disclosed on schedule B.				
TN 457 ⇒		None	None	<input type="checkbox"/>
Brown Capital Small Company Inv [MF]				
DESCRIPTION: The money in this 457 asset was exchanged to the Tennessee Stable Value Fund in the same 457 account. This was disclosed on schedule B.				
TN 457 ⇒		None	None	<input type="checkbox"/>
FIDELITY CONTRAFUND [MF]				
DESCRIPTION: The money in this 457 asset was exchanged to the Tennessee Stable Value Fund in the same 457 account. This was disclosed on schedule B.				
TN 457 ⇒		None	None	<input type="checkbox"/>
Janus Henderson Enterprise N [MF]				
DESCRIPTION: The money in this 457 asset was exchanged to the Tennessee Stable Value Fund in the same 457 account. This was disclosed on schedule B.				

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
TN 457 ⇒ Tennessee Stable Value Fund [MF]		\$250,001 - \$500,000	Interest	\$1,001 - \$2,500 <input type="checkbox"/>
TN 457 ⇒ Vanguard Institutional Index Instl PL [MF]		None	None	<input type="checkbox"/>
DESCRIPTION: The money in this 457 asset was exchanged to the Tennessee Stable Value Fund in the same 457 account. This was disclosed on schedule B.				
TN 457 ⇒ Western Asset Core Plus Bond IS [MF]		None	None	<input type="checkbox"/>
DESCRIPTION: The money in this 457 asset was exchanged to the Tennessee Stable Value Fund in the same 457 account. This was disclosed on schedule B.				

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Stephens Advantage Account ⇒ AT&T Inc. (T) [ST]		04/17/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ AutoZone, Inc. (AZO) [ST]		04/17/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		05/11/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ Boeing Company (BA) [ST]		04/17/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ Boeing Company (BA) [ST]		04/7/2020	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ Comcast Corporation - Class A (CMCSA) [ST]		04/17/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ DAIMLER AG REG SHS I SIN [MF]		04/17/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ FedEx Corporation (FDX) [ST]		04/17/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Stephens Advantage Account ⇒ First Horizon National Corporation (FHN) [ST]		05/11/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ Healthpeak Properties, Inc. (PEAK) [ST]		05/11/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ Marriott International - Class A (MAR) [ST]		04/17/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ Mid-America Apartment Communities, Inc. (MAA) [ST]		04/17/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ Northrop Grumman Corporation (NOC) [ST]		04/7/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ Pepsico, Inc. (PEP) [ST]		04/17/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ Schlumberger N.V. (SLB) [ST]		05/11/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens Advantage Account ⇒ Walt Disney Company (DIS) [ST]		05/11/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens Roth IRA ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		03/30/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Stephens Roth IRA ⇒ Boeing Company (BA) [ST]		03/30/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens Roth IRA ⇒ Eli Lilly and Company (LLY) [ST]		03/30/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Stephens Roth IRA ⇒ PUTNAM ULTRA SHORT DURATION INCOME FUND CLASS Y [MF]		09/17/2020	P	\$50,001 - \$100,000	
Stephens SEP IRA ⇒ AT&T Inc. (T) [ST]		03/26/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		03/26/2020	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Stephens SEP IRA ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		05/7/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ BLACKROCK LOW DURATION BOND PORTFOLIO FUND CLASS K [MF]		09/9/2020	P	\$15,001 - \$50,000	
Stephens SEP IRA ⇒ Chevron Corporation (CVX) [ST]		03/26/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Chevron Corporation (CVX) [ST]		04/17/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Chevron Corporation (CVX) [ST]		05/7/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Coca-Cola Company (KO) [ST]		03/26/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Coca-Cola Company (KO) [ST]		04/17/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Coca-Cola Company (KO) [ST]		05/7/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Coca-Cola Company (KO) [ST]		04/7/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Cohen & Steers Quality Income Realty Fund Inc Common Shares (RQI) [ST]		03/26/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Cohen & Steers Quality Income Realty Fund Inc Common Shares (RQI) [ST]		04/17/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Cohen & Steers Quality Income Realty Fund Inc Common Shares (RQI) [ST]		04/7/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ DOUBLELINE ULTRA SHORT BOND FUND CLASS I [MF]		09/17/2020	P	\$15,001 - \$50,000	
Stephens SEP IRA ⇒		03/26/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Eli Lilly and Company (LLY) [ST]				(partial)	
Stephens SEP IRA ⇒ Emergent Biosolutions, Inc. (EBS) [ST]		03/26/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Exxon Mobil Corporation (XOM) [ST]		03/26/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Exxon Mobil Corporation (XOM) [ST]		04/17/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ FEDERATED HERMES ULTRASHORT BOND FUND CLASS IS [MF]		09/17/2020	P	\$15,001 - \$50,000	
Stephens SEP IRA ⇒ Fidelity Dividend ETF for Rising Rates (FDRR) [ST]		03/26/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Fidelity Dividend ETF for Rising Rates (FDRR) [ST]		04/7/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ First Horizon National Corporation (FHN) [ST]		03/26/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ First Horizon National Corporation (FHN) [ST]		04/17/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ First Horizon National Corporation (FHN) [ST]		05/7/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ First Trust Enhanced Short Maturity ETF [MF]		09/18/2020	P	\$15,001 - \$50,000	
Stephens SEP IRA ⇒ Healthpeak Properties, Inc. (PEAK) [ST]		03/26/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Healthpeak Properties, Inc. (PEAK) [ST]		05/7/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Host Hotels (HST) [ST]		04/7/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Host Hotels & Resorts, Inc. (HST) [ST]		03/26/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Stephens SEP IRA ⇒ International Business Machines Corporation (IBM) [ST]		03/26/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ LORD ABBETT SHORT DURATION INCOME FUND CLASS F [MF]		09/9/2020	P	\$15,001 - \$50,000	
Stephens SEP IRA ⇒ Microsoft Corporation (MSFT) [ST]		03/26/2020	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ PGIM SHORT-TERM CORPORATE BOND FUND CLASS R6 [MF]		09/9/2020	P	\$15,001 - \$50,000	
Stephens SEP IRA ⇒ PUTNAM ULTRA SHRT DURATION INCOME FUND CLASS Y [MF]		09/9/2020	P	\$50,001 - \$100,000	
Stephens SEP IRA ⇒ Raytheon Company (RTN) [ST]		03/26/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Raytheon Technologies Corporation (RTX) [ST]		04/7/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Regions Financial Corporation (RF) [ST]		03/26/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Regions Financial Corporation (RF) [ST]		04/7/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Schlumberger N.V. (SLB) [ST]		03/26/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Schlumberger N.V. (SLB) [ST]		05/7/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ SHORT-TERM BOND FUND OF AMERICA CLASS F3 [MF]		09/9/2020	P	\$50,001 - \$100,000	
Stephens SEP IRA ⇒ Sony Corporation (SNE) [ST]		03/26/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒		04/17/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Sony Corporation (SNE) [ST]				(partial)	
Stephens SEP IRA ⇒ Sony Corporation (SNE) [ST]		04/7/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ THORNBURG LIMITED-TERM INCOME FUND CLASS I [MF]		09/9/2020	P	\$15,001 - \$50,000	
Stephens SEP IRA ⇒ Toyota Motor Corporation (TM) [ST]		03/26/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Walt Disney Company (DIS) [ST]		03/26/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Walt Disney Company (DIS) [ST]		04/17/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ Walt Disney Company (DIS) [ST]		05/7/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Stephens SEP IRA ⇒ WESTERN SHORT TERM BOND FUND CLASS IS [MF]		09/17/2020	P	\$15,001 - \$50,000	
TN 401k ⇒ Tennessee Stable Value Fund [MF]		06/19/2020	P	\$500,001 - \$1,000,000	
TN 457 ⇒ Tennessee Stable Value Fund [MF]		06/19/2020	P	\$250,001 - \$500,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Tennessee Consolidated Retirement System	Pension	\$27,139.00

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	Circuit Playhouse/ Playhouse on the Square

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Stephens Advantage Account
LOCATION: US
- Stephens Roth IRA
- Stephens SEP IRA
- TN 401k
- TN 457

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Steve Cohen , 08/13/2021