



Filing ID #10039444

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Nita M. Lowey
Status: Former Member
State/District: NY17

FILING INFORMATION

Filing Type: Terminated Filer Report
Filing Year: 2021
Filing Date: 01/29/2021

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Alera Partners [HE] DESCRIPTION: (EIF)	SP	\$250,001 - \$500,000	None		<input checked="" type="checkbox"/>
Apartment, Washington, DC [RP] LOCATION: Washington, DC, US	JT	\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
Arbiter Special Opportunities Fund II [MF]		\$500,001 - \$1,000,000	None		<input type="checkbox"/>
Brownstone Investment Opportunities [OL] LOCATION: New York, NY, US DESCRIPTION: Holding company that holds a specialized broker-dealer.	SP	\$1,000,001 - \$5,000,000	Capital Gains, ordinary income	\$100,001 - \$1,000,000	<input type="checkbox"/>
Chase Bank (checking) [BA]	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Citibank Checking and Savings [BA]	JT	\$500,001 - \$1,000,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Federated Kaufmann Funds(Federated Kaufmann	SP	\$5,000,001 -	Capital Gains	\$100,001 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
IRA) [MF]		\$25,000,000		\$1,000,000	
DESCRIPTION: RMD					
Federated Kaufmann Small Cap Fund (Federated Kaufmann IRA) [MF]	SP	\$1,000,001 - \$5,000,000	Capital Gains	\$100,001 - \$1,000,000	<input type="checkbox"/>
Fidelity Institutional Money Market Portfolio Class I [BA]	JT	\$1,000,001 - \$5,000,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
Fidelity Municipal Bond portfolio [MF]	JT	None	Interest	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Fidelity S&P Index Portfolio [MF]	JT	None	Capital Gains	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
M.D. Sass/Macquarie Financial Strategies [HE]	SP	\$250,001 - \$500,000	Capital Gains	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: EIF					
New Silk Route Fund [HE]	SP	\$250,001 - \$500,000	None		<input type="checkbox"/>
DESCRIPTION: EIF					
New York State and Local Retirement System [PE]		Undetermined	Pension	\$5,001 - \$15,000	<input type="checkbox"/>
NYS Deferred Compensation Plan [MF]		\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: previously reported as Stable Income Fund (NYS Deferred Compensation Plan)					
Oppenheimer & Close IRA [HE]		\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000	<input type="checkbox"/>
DESCRIPTION: Previously reported as P. Oppenheimer Investment Partnership (Oppenheimer & Close IRA).					
P. Oppenheimer Investment Partnership [HE]		\$1,000,001 - \$5,000,000	Capital Gains	\$100,001 - \$1,000,000	<input type="checkbox"/>
Parkstone Capital III LP PEF (formerly referred to as Parkstone Capital) [HE]	SP	\$250,001 - \$500,000	Capital Gains	\$50,001 - \$100,000	<input type="checkbox"/>
Santa Monica Partners [HE]	SP	\$1,000,001 - \$5,000,000	Capital Gains	\$100,001 - \$1,000,000	<input type="checkbox"/>
DESCRIPTION: EIF					
Vanguard Inst Index Inst Pls Large Cap (NYS		\$50,001 -	Capital Gains,	\$5,001 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Deferred Compensation Plan) [MF]		\$100,000	Dividends, Interest	\$15,000	

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Alera Partners [HE]	SP	05/4/2020	P	\$250,001 - \$500,000	
Fidelity Municipal Bond portfolio [MF]	JT	05/18/2020	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
Fidelity S&P Index Portfolio [MF]	JT	05/18/2020	S	\$500,001 - \$1,000,000	<input checked="" type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Fidelity Institutional Money Market Fund Portfolio Class I (Fidelity IRA)	spouse retirement account	N/A
NYS Deferred Compensation Plan	retirement account	\$6,105.00
Oppenheimer and Close IRA	retirement account	\$4,794.00
Federated Kaufmann Funds IRA	spouse retirement account	N/A

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 1988	New York State and Local Retirement System	Monthly Pension Payments

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Nita M. Lowey , 01/29/2021