



Filing ID #10047398

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Madeleine Dean  
**Status:** Member  
**State/District:** PA04

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2021  
**Filing Date:** 05/16/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Bank of America Advanced Tiered Interest Checking [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Bank of America IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [MF]		\$1,001 - \$15,000	Dividends, Interest	\$1 - \$200	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [MF]	SP	\$15,001 - \$50,000	Dividends, Interest	\$1 - \$200	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ iShares Broad USD High Yield Corp Bond ETF [EF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ iShares Broad USD High Yield Corp Bond ETF [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Bank of America IRA ⇒ iShares Core S&P Small-Cap [EF]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ iShares Core S&P Small-Cap [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Bank of America IRA ⇒ iShares Core US Aggregate Bd ETF [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Bank of America IRA ⇒ iShares Core US Aggregate Bd ETF [EF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ JP Morgan US Large Cap Core Plus Fund Class R6 [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ JP Morgan US Large Cap Core Plus Fund Class R6 [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Metropolitan West Total Return Bond Fund Class I [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Bank of America IRA ⇒ Metropolitan West Total Return Bond Fund Class I [MF]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ SPDR Bloomberg Barclays 1-3 Month T-Bill ETF [EF]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Bank of America IRA ⇒ SPDR Bloomberg Barclays 1-3 Month T-Bill ETF [EF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Bank of America IRA ⇒ Vanguard FTSE Developed Markets ETF [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Bank of America IRA ⇒ Vanguard FTSE Developed Markets ETF [EF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Vanguard FTSE Emerging Mkts ETF [EF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Vanguard FTSE Emerging Mkts ETF [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Vanguard Mid-Cap ETF [EF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Vanguard Mid-Cap ETF [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Bank of America IRA ⇒ Vanguard S&P 500 ETF [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒	SP	\$50,001 -	Capital Gains,	\$5,001 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard S&P 500 ETF [EF]		\$100,000	Dividends	\$15,000	<input type="checkbox"/>
Bank of America IRA ⇒ Vanguard Total Intl Bond Index Fund ETF [EF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Vanguard Total Intl Bond Index Fund ETF [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Bank of America Roth IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [MF]		\$1 - \$1,000	Dividends, Interest	\$1 - \$200	<input type="checkbox"/>
Bank of America Roth IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [MF]	SP	\$1 - \$1,000	Dividends, Interest	\$1 - \$200	<input checked="" type="checkbox"/>
Bank of America Roth IRA ⇒ Vanguard Total Stock Market Index Fund ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Bank of America Roth IRA ⇒ Vanguard Total Stock Market Index Fund ETF [EF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
New York Life Insurance Policy [WU]		\$1,001 - \$15,000	None		<input type="checkbox"/>
New York Life Insurance Policy [WU]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Pennsylvania 529 Guaranteed Savings Plan [5P]  LOCATION: PA		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Pennsylvania State Employee Retirement System Defined Benefit Plan [PE]		\$100,001 - \$250,000	None		<input type="checkbox"/>
Pennsylvania State Employees' Deferred Compensation Plan ⇒ International Company Stock Index Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Pennsylvania State Employees' Deferred Compensation Plan ⇒ Short-Term Investment Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Pennsylvania State Employees' Deferred Compensation Plan ⇒ Stable Value Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Pennsylvania State Employees' Deferred Compensation		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Plan ⇒ U.S. Bond Index Fund [MF]					<input type="checkbox"/>
Pennsylvania State Employees' Deferred Compensation Plan ⇒ U.S. Large Company Stock Index Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Pennsylvania State Employees' Deferred Compensation Plan ⇒ U.S. Small/Mid Company Stock Index Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Stoker Strategies, LLC, 99% Interest [OL]  LOCATION: Jenkintown, PA, US DESCRIPTION: Consulting	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
TIAA-CREF ⇒ La Salle University DC and TDA Plan ⇒ CREF Bond Market 2 [FN]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ La Salle University DC and TDA Plan ⇒ CREF Stock R2 [FN]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ La Salle University DC and TDA Plan ⇒ TIAA Real Estate [FN]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ La Salle University DC and TDA Plan ⇒ TIAA Traditional [FN]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ La Salle University Tax-Deferred Annuity Plan ⇒ Dodge & Cox Stock Fund [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ La Salle University Tax-Deferred Annuity Plan ⇒ Prudential Total Return Bond Fund - Class Z [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ La Salle University Tax-Deferred Annuity Plan ⇒ T. Rowe Price Growth Stock Fund [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ La Salle University Tax-Deferred Annuity Plan ⇒ TIAA Traditional [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Bank of America IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [BA]		01/31/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Bank of America IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [BA]		02/28/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Bank of America IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [BA]		12/31/2021	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [BA]	SP	01/31/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Bank of America IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [BA]	SP	02/28/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Bank of America IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [BA]	SP	09/30/2021	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [BA]	SP	12/31/2021	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ iShares Broad USD High Yield Corp Bond ETF [EF]	SP	09/21/2021	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ iShares Core S&P Small-Cap [EF]	SP	12/2/2021	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ iShares Core US Aggregate Bd ETF [EF]	SP	02/2/2021	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ iShares Core US Aggregate Bd ETF [EF]	SP	09/21/2021	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ JP Morgan US Large Cap Core Plus Fund Class R6 [MF]		01/4/2021	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ JP Morgan US Large Cap Core Plus Fund Class R6 [EF]	SP	02/1/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Bank of America IRA ⇒	SP	09/20/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
JP Morgan US Large Cap Core Plus Fund Class R6 [EF]			(partial)		
Bank of America IRA ⇒ JP Morgan US Large Cap Core Plus Fund Class R6 [EF]	SP	12/1/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Metropolitan West Total Return Bond Fund Class I [MF]	SP	02/1/2021	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ Metropolitan West Total Return Bond Fund Class I [MF]	SP	09/20/2021	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ SPDR Bloomberg Barclays 1-3 Month T-Bill ETF [EF]	SP	02/2/2021	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ SPDR Bloomberg Barclays 1-3 Month T-Bill ETF [EF]	SP	09/21/2021	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ Vanguard FTSE Developed Markets ETF [EF]	SP	12/2/2021	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ Vanguard FTSE Developed Markets ETF [EF]	SP	02/2/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Vanguard FTSE Emerging Mkts ETF [EF]		02/2/2021	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ Vanguard FTSE Emerging Mkts ETF [EF]	SP	02/2/2021	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ Vanguard FTSE Emerging Mkts ETF [EF]	SP	09/21/2021	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ Vanguard FTSE Emerging Mkts ETF [EF]	SP	12/2/2021	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ Vanguard Mid-Cap ETF [EF]	SP	12/2/2021	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ Vanguard Mid-Cap ETF [EF]	SP	02/2/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Vanguard Mid-Cap ETF [EF]	SP	09/21/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Bank of America IRA ⇒ Vanguard S&P 500 ETF [EF]		12/2/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Vanguard S&P 500 ETF [EF]	SP	02/2/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Vanguard S&P 500 ETF [EF]	SP	09/21/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Vanguard S&P 500 ETF [EF]	SP	12/2/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Vanguard Total Intl Bond Index Fund ETF [EF]	SP	02/2/2021	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ Vanguard Total Intl Bond Index Fund ETF [EF]	SP	09/21/2021	P	\$1,001 - \$15,000	
Bank of America Roth IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [BA]	SP	03/31/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Bank of America Roth IRA ⇒ Vanguard Total Stock Market Index Fund ETF [EF]		03/17/2021	P	\$1,001 - \$15,000	
Bank of America Roth IRA ⇒ Vanguard Total Stock Market Index Fund ETF [EF]	SP	03/17/2021	P	\$1,001 - \$15,000	
Pennsylvania State Employees' Deferred Compensation Plan ⇒ Short-Term Investment Fund [MF]		12/6/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Pennsylvania State Employees' Deferred Compensation Plan ⇒ U.S. Bond Index Fund [MF]		12/6/2021	P	\$1,001 - \$15,000	
Pennsylvania State Employees' Deferred Compensation Plan ⇒ U.S. Large Company Stock Index Fund [MF]		12/6/2021	P	\$1,001 - \$15,000	
Pennsylvania State Employees' Deferred Compensation Plan ⇒ U.S. Small/Mid Company Stock Index Fund [MF]		12/6/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Yuba Bicycles, LLC	Spouse Salary	N/A

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	TIAA	March 2018	Group supplemental retirement annuity loan	\$10,000 - \$15,000
JT	Wells Fargo	May 2015	Mortgage on secondary residence	\$500,001 - \$1,000,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
May 2012	Rep. Dean and Pennsylvania State Employees' Retirement System	Defined-benefit part of State Employees' Retirement System retirement benefit
COMMENTS: Vested August 2015		

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- o Bank of America IRA (Owner: SP)
- o Bank of America IRA
- o Bank of America Roth IRA (Owner: SP)



- Bank of America Roth IRA
- Pennsylvania State Employees' Deferred Compensation Plan
- TIAA-CREF
- TIAA-CREF ⇒ La Salle University DC and TDA Plan
- TIAA-CREF ⇒ La Salle University Tax-Deferred Annuity Plan

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## COMMENTS

The secondary residence in Cape May, NJ was not used for rental or investment purposes during the reporting period but was used for personal use only.

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Madeleine Dean , 05/16/2022