



Filing ID #10036006

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Tom Cole
Status: Member
State/District: OK04

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2019
Filing Date: 08/13/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
10 Acres of land in Johnson County, Owned with Brother [RP]		\$1,001 - \$15,000	None		<input type="checkbox"/>
LOCATION: Johnson County, OK, US					
457 Sooner Savings ⇒ American Century Income and Growth Fund [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
457 Sooner Savings ⇒ BGI SDP Stock Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
457 Sooner Savings ⇒ Columbia Acorn Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
457 Sooner Savings ⇒ Dreyfus Premium Leader A Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
457 Sooner Savings ⇒ Europacific A Growth Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
457 Sooner Savings ⇒ Janus Small Cap Value Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
457 Sooner Savings ⇒ T Rowe Price Balanced Fund [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
457 Sooner Savings ⇒ T Rowe Price Blue Chip Growth Fund [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Alliant Energy Corporation (LNT) [ST]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Becton, Dickinson and Company (BDX) [ST]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Burke & Herbert Money Market Fund [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Cole, Hargrave and Snodgrass [OL]		\$250,001 - \$500,000	Capital Gains, Dividends, Management Fee	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Moore, OK, US					
DESCRIPTION: Polling, Political Campaign Consulting Company					
Cole, Hargrave, Snodgrass 401(K) ⇒ Credit Suisse Capital Appreciation Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Franklin Balance Stock Investment Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Franklin Income Fund A [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Franklin MSF Mutual Share Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Franklin Small/Med Cap Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Lord Abbott Core Fixed Income Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Lord Abbott Mid Cap Value Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Oppenheimer Global Fund Class A [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Cole, Hargrave, Snodgrass 401(K) ⇒ Oppenheimer Main Street Fund Class A [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Pimco High Yield Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Putnam International Equity Fund A [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Strong Government Securities Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Templeton Foreign Fund A [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cole, Hargrave, Snodgrass 401(K) ⇒ Vankemp Common Stock Fund A [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Duke Energy Corporation (DUK) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Ellen Cole's 401(k) ⇒ Fidelity Diversified International Fund [MF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Ellen Cole's 401(k) ⇒ Fidelity Spartan 500 Index Fund [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Ellen Cole's 401(k) ⇒ Fidelity Total Bond Fund [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Ellen's Fidelity IRA ⇒ Fidelity Contrafund Fund [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Ellen's Fidelity IRA ⇒ Fidelity Diversified International Stock Fund [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Ellen's Fidelity IRA ⇒ Fidelity Spartan 500 Index Fund [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Ellen's Fidelity IRA ⇒ Fidelity Total Bond Fund [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Enbridge Inc (ENB) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Exxon Mobil Corporation (XOM) [ST]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Fidelity Contrafund [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Fidelity Spartan 500 Index Fund [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Fidelity Variable Annuity ⇒ Fidelity Freedom 2020 Fund [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Johnson Controls, Inc. (JCI) [ST]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Mid First Money Market Fund [BA]	JT	\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Personal Residence [RP]	JT	\$100,001 - \$250,000	None		<input type="checkbox"/>
LOCATION: Moore / Cleveland, OK, US					
Strategic Communications (Moore) Stock [PS]		\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Owns Phone Bank Operated in Moore, Oklahoma					
T. Rowe Price Equity Income Fund [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Tom's Federal Thrift Savings Plan ⇒ C Fund [IH]		\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Tom's Federal Thrift Savings Plan ⇒ F Fund [IH]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Tom's Federal Thrift Savings Plan ⇒ G Fund [IH]		\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Tom's Federal Thrift Savings Plan ⇒ I Fund [IH]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Tom's Fidelity IRA ⇒ Fidelity Contrafund Fund [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Tom's Fidelity IRA ⇒ Fidelity Diversified International Fund [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Tom's Fidelity IRA ⇒ Fidelity Spartan 500 Index Fund [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Tom's Fidelity IRA ⇒ Fidelity Total Bond Fund [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Tronox Limited Ordinary Shares Class A \$0.01 par (TROX) [ST]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Vanguard Dividend Growth Fund [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Vanguard High Yield Tax Exempt Fund [MF]	JT	\$500,001 - \$1,000,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Vanguard Total International Stock Fund [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Vanguard Total Stock Market Index Fund [MF]	JT	\$500,001 - \$1,000,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Walgreen Co. (WAG) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Wells Fargo & Company (WFC) [ST]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
457 Sooner Savings ⇒ American Century Income and Growth Fund [MF]		03/15/2019	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
457 Sooner Savings ⇒ BGI SDP Stock Fund [MF]		03/15/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
457 Sooner Savings ⇒		03/15/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Columbia Acorn Fund [MF]				(partial)	
457 Sooner Savings ⇒ Dreyfus Premium Leader A Fund [MF]		03/15/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
457 Sooner Savings ⇒ Europacific A Growth Fund [MF]		03/15/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
457 Sooner Savings ⇒ Janus Small Cap Value Fund [MF]		03/15/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
457 Sooner Savings ⇒ T. Rowe Price Balanced Fund [MF]		03/15/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
457 Sooner Savings ⇒ T. Rowe Price Blue Chip Growth Fund [MF]		03/15/2019	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Alliant Energy Corporation (LNT) [ST]	SP	Quarterly	P	\$1,001 - \$15,000	
Becton, Dickinson and Company (BDX) [ST]	JT	04/27/2019	P	\$1,001 - \$15,000	
Cole, Hargrave, Snodgrass 401K [MF]		10/31/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sub-accounts: Credit Suisse Capital Appreciation Fund, Franklin Balance Stock Investment Fund, Franklin Income Fund A, Franklin MSF Mutual Share Fund, Franklin Small/Med Cap Fund, Lord Abbott Core Fixed Income Fund, Lord Abbott Mid Cap Value Fund, Oppenheimer Global Fund Class A, Oppenheimer Main Street Fund Class A, Pimco High Yield Fund, Putnam International Equity Fund A, Strong Government Securities Fund, Templeton Foreign Fund A, Vankemp Common Stock Fund A					
Duke Energy Corporation (DUK) [ST]	JT	Quarterly	P	\$1,001 - \$15,000	
Ellen's Fidelity IRA [IR]	SP	Monthly	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sub-accounts: Fidelity Contrafund Fund, Fidelity Diversified International Fund, Fidelity Spartan 500 Index Fund, Fidelity Total Bond Fund					
Exxon Mobil Corporation (XOM) [ST]	JT	Quarterly	P	\$1,001 - \$15,000	
Fidelity Variable Annuity ⇒ Fidelity Freedom 2020 Fund [MF]	JT	Monthly	P	\$1,001 - \$15,000	
Johnson Controls International plc Ordinary Share (JCI) [ST]	JT	Quarterly	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
T. Rowe Price Equity Income Fund [MF]	JT	Monthly	P	\$1,001 - \$15,000	
Tom's Federal Thrift Savings Plan ⇒ C Fund [MF]		Monthly	P	\$1,001 - \$15,000	
Tom's Federal Thrift Savings Plan ⇒ F Fund [MF]		Monthly	P	\$1,001 - \$15,000	
Tom's Federal Thrift Savings Plan ⇒ G Fund [MF]		Monthly	P	\$1,001 - \$15,000	
Tom's Federal Thrift Savings Plan ⇒ I Fund [MF]		Monthly	P	\$1,001 - \$15,000	
Tom's Fidelity IRA [IR]		Monthly	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Sub-accounts: Fidelity Contrafund Fund, Fidelity Diversified International Fund, Fidelity Spartan 500 Index Fund, Fidelity Total Bond Fund					
Vanguard Dividend Growth Fund [MF]	JT	Quarterly	P	\$1,001 - \$15,000	
Vanguard High Yield Tax Exempt Fund [MF]	JT	04/14/2019	P	\$15,001 - \$50,000	
Vanguard High Yield Tax Exempt Fund [MF]	JT	04/27/2019	P	\$1,001 - \$15,000	
Vanguard High Yield Tax Exempt Fund [MF]	JT	Monthly	P	\$1,001 - \$15,000	
Vanguard Total International Stock Fund [MF]	JT	Quarterly	P	\$1,001 - \$15,000	
Vanguard Total Stock Market Fund [MF]	JT	01/7/2019	P	\$1,001 - \$15,000	
Vanguard Total Stock Market Index Fund [MF]	JT	Quarterly	P	\$1,001 - \$15,000	
Wells Fargo & Company (WFC) [ST]	JT	Quarterly	P	\$1,001 - \$15,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Cole, Hargrave, Snodgrass and Associates	Management Fee	\$20,000.00

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

Position	Name of Organization
Board of Directors	Fulbright Association
Board of Advisors for Congressional Program	Aspen Institute
Partner	Strategic Communications
Partner	Cole, Hargrave, Snodgrass and Associates
Board of Trustees	United States Capitol Historical Society

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
April 2003	Cole, Hargrave, Snodgrass and Associates	Quarterly Management Fee of \$5,000 from personal business (Approved by Committee on Standards)

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- 457 Sooner Savings
- Cole, Hargrave, Snodgrass 401(K)
- Ellen Cole's 401(k) (Owner: SP)
- Ellen's Fidelity IRA (Owner: SP)
- Fidelity Variable Annuity (Owner: JT)

- Tom's Federal Thrift Savings Plan
LOCATION: US
- Tom's Fidelity IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Tom Cole , 08/13/2020