



Filing ID #10041862

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Steve Stivers
Status: Former Member
State/District: OH15

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2020
Filing Date: 08/13/2021

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|-----------------------|----------------|--------------------|--------------------------|
| AJ Stivers Trust ⇒ PNC Govt. Money Market Fund [MF] | | \$1 - \$1,000 | None | | <input type="checkbox"/> |
| AJ Stivers Trust ⇒ Procter & Gamble Company (PG) [ST] | | \$100,001 - \$250,000 | Dividends | \$5,001 - \$15,000 | <input type="checkbox"/> |
| AJ Stivers Trust ⇒ Real Estate (Ripley, Ohio) [RP] LOCATION: Ripley, OH, US | | \$1,001 - \$15,000 | Rent | \$1 - \$200 | <input type="checkbox"/> |
| AJ Stivers Trust ⇒ Real Estate (Wheeler County, TX) [RP] LOCATION: Wheeler County, TX, US | | \$15,001 - \$50,000 | Rent | \$1 - \$200 | <input type="checkbox"/> |
| Am. Equity Inv. Whole Life Cash Value [WU] | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Chase Bank - Checking [BA] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Chase Bank - Savings [BA] | | \$1 - \$1,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Chase Roth IRA ⇒ | | None | Tax-Deferred | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|-----------------------------|-------------|-------------------------------------|
| JP Morgan US Government Money Market Fund [MF] DESCRIPTION: Value of this asset was below \$1, but above \$0 at close of FY2020. | | | | | |
| Chase Roth IRA ⇒ MFS Growth Fund (MFEGX) [MF] | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Edward Jones Custodial Account (XXX-XX234) ⇒ Cash [OT] | DC | None | None | | <input type="checkbox"/> |
| DESCRIPTION: Portion of account held in cash. Value of asset was \$0 at end of FY2020. | | | | | |
| Edward Jones Custodial Account (XXX-XX234) ⇒ Invesco Equity & Income CI A [MF] | DC | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Edward Jones Custodial Account (XXX-XX881) ⇒ Invesco Equity & Income CI A [MF] | DC | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Huntington Bank - Combined Accounts [BA] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| JP Morgan Chase 401K ⇒ JPMorgan Chase Common Stock Fund [MF] | | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |
| JP Morgan Chase 401K ⇒ S&P 500 Index Fund [MF] | | \$250,001 - \$500,000 | Tax-Deferred | | <input type="checkbox"/> |
| JP Morgan Chase Retirement Plan (Pension) Acct. [PE] | | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |
| Millennium Trust Company Traditional IRA ⇒ Millennium Trust Company Rollover Traditional IRA [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| DESCRIPTION: Held in cash. The Fidelity Rural Metro Account was rolled over to Millennium Trust Company. | | | | | |
| Morgan Stanley Smith Barney IRA ⇒ First Eagle Global A (SGENX) [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Morgan Stanley Smith Barney IRA ⇒ Franklin Equity Inc A (FISEX) [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Northwestern Money Market Account [BA] | | None | None | | <input type="checkbox"/> |
| Northwestern Mutual Whole Life (XX-XXX-504) | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|----------------------|-----------------------------------|-------------------------|-------------------------------------|
| ⇒ Northwestern Mutual Whole Life Policy [WU] | | | | | |
| Northwestern Mutual Whole Life Policy [WU] | DC | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Northwestern Mutual Whole Life Policy [WU] | DC | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Ohio PERS ⇒ OPERS Bond Index Fund [MF] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Ohio PERS ⇒ OPERS Large Cap Index Fund [MF] | SP | \$50,001 - \$100,000 | None | | <input type="checkbox"/> |
| Ohio PERS ⇒ OPERS Non-US Stock Index Fund [MF] | SP | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Ohio PERS ⇒ OPERS Small Cap Index Fund [MF] | SP | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Ohio PERS ⇒ OPERS Stock Index Fund [MF] | SP | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Ohio PERS Pension Account [PE] | | \$50,001 - \$100,000 | None | | <input type="checkbox"/> |
| DESCRIPTION: Rep. Steve Stivers | | | | | |
| Zua Stivers Trust ⇒ Cash [OT] | | \$1 - \$1,000 | None | | <input type="checkbox"/> |
| DESCRIPTION: Portion of trust held in cash. | | | | | |
| Zua Stivers Trust ⇒ Driehaus Active Income Fund - LCMAX [MF] | | None | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Zua Stivers Trust ⇒ Farmland in Crawford and Marion County, OH [RP] | | None | Capital Gains, Farm Income , Rent | \$100,001 - \$1,000,000 | <input checked="" type="checkbox"/> |
| LOCATION: Crawford-Marion County, OH, US | | | | | |
| Zua Stivers Trust ⇒ iShares MSCI EAFE ETF (EFA) [MF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Zua Stivers Trust ⇒ iShares MSCI Emerging Index Fund (EEM) [MF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|-----------------------|-----------------------------|-----------------|--------------------------|
| Zua Stivers Trust ⇒ iShares National Muni Bond - MUB ETF [EF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Zua Stivers Trust ⇒ Nuveen Inter Dur Muni Bond I [MF] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Zua Stivers Trust ⇒ Nuveen Real Estate Secs I - FARCX [MF] | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Zua Stivers Trust ⇒ ROBECO BOSTON PARTNERS L S RSRCH [MF] | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Zua Stivers Trust ⇒ Tiaa Cref Inflation Linked Bond Fund - TIIHX [MF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Zua Stivers Trust ⇒ US Bank Private Client Reserve Money Market Savings [BA] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Zua Stivers Trust ⇒ US Bank Private Client Reserve Money Market Savings [BA] | | \$250,001 - \$500,000 | Interest | \$201 - \$1,000 | <input type="checkbox"/> |
| Zua Stivers Trust ⇒ Vanguard 500 Index Admiral - VFIAX [MF] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Zua Stivers Trust ⇒ Vanguard Total Stock Market Etf [EF] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------------|--------------------|--------------------------|
| Morgan Stanley Smith Barney IRA ⇒ First Eagle Global A (SGENX) [MF] | | 04/29/2020 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley Smith Barney IRA ⇒ First Eagle Global A (SGENX) [MF] | | 04/13/2020 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Zua Stivers Trust ⇒ Driehaus Active Income Fund - LCMAX [MF] | | 11/12/2020 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|-----------------------|-------------------------------------|
| Zua Stivers Trust ⇒ Farmland in Crawford and Marion County, OH [RP] | | 12/29/2020 | S | \$250,001 - \$500,000 | <input checked="" type="checkbox"/> |
| LOCATION: Crawford/Marion County, OH, US | | | | | |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount |
|--------------------|------------------|-------------|
| Morgan Stanley IRA | IRA Distribution | \$10,632.00 |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|---|---------------|---|-----------------------|
| JT | First Merchants Bank (previously Arlington Bank) | August 2011 | Home Equity Loan | \$100,001 - \$250,000 |
| JT | Citizens Bank Mortgage (bought from Franklin American Mortgage) | December 2016 | Mortgage on Residence in Upper Arlington, OH (not rented) | \$250,001 - \$500,000 |

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

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- AJ Stivers Trust
- Chase Roth IRA
- Edward Jones Custodial Account (XXX-XX234) (Owner: DC)
LOCATION: OH
- Edward Jones Custodial Account (XXX-XX881) (Owner: DC)
LOCATION: OH
- JP Morgan Chase 401K
- Millennium Trust Company Traditional IRA
DESCRIPTION: Formerly with Fidelity Rural Metro
- Morgan Stanley Smith Barney IRA
DESCRIPTION: Formerly Citigroup (CGM) Morgan Stanley Smith Barney IRA and listed as such on FD 2015.
- Northwestern Mutual Whole Life (XX-XXX-504)
- Ohio PERS (Owner: SP)
- Zua Stivers Trust

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Steve Stivers , 08/13/2021