



Filing ID #10036525

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Rick Larsen  
**Status:** Member  
**State/District:** WA02

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2019  
**Filing Date:** 07/20/2020

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AFI Cash Reserve Certificate [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Ameriprise Financial One Account/CNL Healthcare Inc (widely diversified property investment fund) [RE]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Ameriprise One Financial Account/Ameriprise Insured Money Market [BA]	JT	\$1 - \$1,000	Interest	\$.80	<input type="checkbox"/>
DESCRIPTION: Money market account within the Ameriprise One Financial Account					
Ameriprise One Financial Account/Corporate Property Associates 18 Global Inc Cl A (widely diversifie [RE]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Ameriprise One Financial Account/Wells Fargo Wealthbuilder Growth Balanced [MF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Ameriprise One Financial Account/Western Asset Intermediate Term Municipal Cl C [MF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DC2/AK Freedom ADV 529 [5P]	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
LOCATION: AK					
DESCRIPTION: withdrawal to pay for tuition and other qualified expenses					

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Condo Property, Everett WA [RP]	JT	\$250,001 - \$500,000	None	<input type="checkbox"/>
LOCATION: Everett, WA, US DESCRIPTION: Created Revocable Living Trust on March 18, 2018. Moved Everett property to the RLT on March 27, 2018				
Richard R Larsen and Tiia I Karlen Revocable Living Trust ⇒ Federal Congressional Credit Union/Money Market Account [BA]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
DESCRIPTION: Established May 22, 2019, within the Revocable Living Trust because it gets a slightly higher return than the checking account				
Richard R Larsen IRA/FS-KKR Capitol Corp II [IH]		\$1,001 - \$15,000	None	<input checked="" type="checkbox"/>
DESCRIPTION: FS-KKR Capitol Corporation II is a non-traded Business Development Corporation fund. Result of a merger completed Dec 18, 2019 between FSIC II and three other non-traded BDCs into one BDC and renamed to above.				
Richard R Larsen IRA/Industrial Property Trust [RE]		\$15,001 - \$50,000	None	<input type="checkbox"/>
Richard R Larsen IRA/Wells Fargo WealthBuilder Growth Allocation Class C [MF]		\$15,001 - \$50,000	None	<input type="checkbox"/>
Richard R Larsen Roth IRA/Wells Fargo Wealthbuilder Equity Class C [MF]		\$1,001 - \$15,000	None	<input type="checkbox"/>
Richard R Larsen Roth IRA/WP Carey Inc REIT [RE]		\$1,001 - \$15,000	None	<input type="checkbox"/>
DESCRIPTION: Corporate Property Associates 17 merged with WP Carey Inc on 11/01/2018				
Riversource indexed universal life insurance/S&P 500 Indexed Account [WU]		\$100,001 - \$250,000	None	<input checked="" type="checkbox"/>
DESCRIPTION: \$350 monthly premium for life insurance totalling \$4200 annually				
Riversource RAVA 5 Advantage Variable Annuity/VP Moderate Portfolio Class 2 [OT]		\$1,001 - \$15,000	None	<input type="checkbox"/>
DESCRIPTION: Annuity that holds mutual fund. Retirement asset				
Tiia Ingrid Karlen Roth IRA/Ameriprise Insured Money Market Account [MF]	SP	\$1 - \$1,000	None	<input type="checkbox"/>
Tiia Ingrid Karlen Roth IRA/FS-KKR Capitol Corp II [IH]	SP	\$1,001 - \$15,000	None	<input checked="" type="checkbox"/>
DESCRIPTION: FS-KKR Capitol Corporation II is a non-traded Business Development Corporation fund. Result of a merger completed Dec 18, 2019 between FSIC II and three other non-traded BDCs into one BDC and renamed to above.				
Tiia Ingrid Karlen Roth IRA/Wells Fargo Wealth Builder	SP	\$1,001 - \$15,000	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Growth Allocation [MF]				
Tiia Ingrid Karlen TSCA/Columbia Contrarian Core Class A [MF]	SP	\$15,001 - \$50,000	None	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Richard R Larsen IRA/Franklin Square Investment Corporation II [IR]		12/18/2019	E	\$1,001 - \$15,000	
DESCRIPTION: FS-KKR Capitol Corporation II is a non-traded Business Development Corporation fund. Result of a merger completed Dec 18, 2019 between FSIC II and three other non-traded BDCs into one BDC and renamed to above.					
Richard R Larsen IRA/FS-KKR Capitol Corp II [IR]		12/18/2019	E	\$1,001 - \$15,000	
DESCRIPTION: FS-KKR Capitol Corporation II is a non-traded Business Development Corporation fund. Result of a merger completed Dec 18, 2019 between FSIC II and three other non-traded BDCs into one BDC and renamed to above.					
Riversource indexed universal life insurance/S&P 500 Indexed Account [WU]		Monthly	P	\$350.00	
DESCRIPTION: Monthly premium for life insurance					
Tiia Ingrid Karlen Roth IRA/Franklin Square Investment Corporation II [IR]	SP	12/18/2019	E	\$1,001 - \$15,000	
DESCRIPTION: FS-KKR Capitol Corporation II is a non-traded Business Development Corporation fund. Result of a merger completed Dec 18, 2019 between FSIC II and three other non-traded BDCs into one BDC and renamed to above.					
Tiia Ingrid Karlen Roth IRA/FS-KKR Capitol Corp II [IR]	SP	12/18/2019	E	\$1,001 - \$15,000	
DESCRIPTION: FS-KKR Capitol Corporation II is a non-traded Business Development Corporation fund. Result of a merger completed Dec 18, 2019 between FSIC II and three other non-traded BDCs into one BDC and renamed to above.					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	BAC Loans	December 2011	Mortgage for secondary residence	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Aspen Institute	05/10/2019	05/13/2019	Washinton, DC - Boston, MA - Baltimore, MD	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Aspen Institute	08/12/2019	08/19/2020	Washinton, DC - Kigali, Rwanda - Muhanga, Rwanda - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Ripon Society; Franklin Center for Global Policy Exchange	08/4/2019	08/9/2019	Seattle, WA - Copenhagen, Denmark - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
MECEA Trip; Embassy of Qatar	11/29/2019	12/3/2019	Washinton, DC - Doha, Qatar - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none"> <li>Richard R Larsen and Tiia I Karlen Revocable Living Trust (Owner: JT) DESCRIPTION: Created Revocable Living Trust on March 18, 2018.</li> </ul>
--

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Rick Larsen , 07/20/2020