



Filing ID #10049710

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. James A. Himes  
**Status:** Member  
**State/District:** CT04

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2021  
**Filing Date:** 07/12/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Chase Savings Account [BA]	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Chase Savings Account [BA]	JT	\$1,000,001 - \$5,000,000	Interest	\$1 - \$200	<input type="checkbox"/>
Chase Workplace Checking [BA]	JT	\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
DC1 ⇒ Index Fixed Income Option [5P] LOCATION: CT	DC	None	None		<input type="checkbox"/>
DC1 ⇒ Money Market Option [5P] LOCATION: CT DESCRIPTION: Connecticut Higher Education Trust	DC	None	None		<input type="checkbox"/>
DC2 ⇒ CT Intermediate Treasury [5P] LOCATION: CT	DC	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
DC2 ⇒ CT Moderate Growth [5P]	DC	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LOCATION: CT					
Fidelity Brokerage ⇒ Columbia Contrarian Core Fund [EF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Fidelity Brokerage ⇒ Fidelity Capital Appreciation [EF]	JT	\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity Brokerage ⇒ Fidelity Government Money Market [EF]	JT	\$250,001 - \$500,000	Interest	\$1 - \$200	<input type="checkbox"/>
Fidelity Brokerage ⇒ Fidelity International Discovery [EF]	JT	\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity Brokerage ⇒ Fidelity International Small Cap [EF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Fidelity Brokerage ⇒ Fidelity Intl Cap Appreciation [EF]	JT	\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity Brokerage ⇒ Fidelity Intl Real Estate Fund [EF]	JT	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Fidelity Brokerage ⇒ Fidelity Pacific Basin [EF]	JT	\$50,001 - \$100,000	Dividends, Interest	\$5,001 - \$15,000	<input type="checkbox"/>
Fidelity Brokerage ⇒ Fidelity Total Mkt Index FID Advantage [EF]	JT	\$1,000,001 - \$5,000,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity Brokerage ⇒ GS Asia Equity Class A [EF]	JT	\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity Brokerage ⇒ GS Small Cap Value [EF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$50,001 - \$100,000	<input type="checkbox"/>
Fidelity Brokerage ⇒ IShares S&P 500 [EF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Fidelity Brokerage ⇒ Microsoft Corporation (MSFT) [ST]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Fidelity Blue Chip Value [EF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Fidelity Rollover IRA ⇒ Fidelity Capital & Income [EF]		\$100,001 - \$250,000		Tax-Deferred	<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Fidelity Growth Strategies Fund [EF]		\$250,001 - \$500,000		Tax-Deferred	<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Fidelity Intl Cap Appreciation [EF]		\$100,001 - \$250,000		Tax-Deferred	<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Fidelity Real Estate Income [EF]		\$100,001 - \$250,000		Tax-Deferred	<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Fidelity Total Bond [EF]		\$50,001 - \$100,000		Tax-Deferred	<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Fidelity Total Mkt Index FID Advantage [EF]		\$100,001 - \$250,000		Tax-Deferred	<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Fidelity Value Discovery [EF]		\$250,001 - \$500,000		Tax-Deferred	<input type="checkbox"/>
Fidelity Rollover IRA ⇒ Hennessy Cornerstone Growth Inv [EF]		\$50,001 - \$100,000		Tax-Deferred	<input type="checkbox"/>
Fidelity Self-Employed 401(k) ⇒ Columbia Select Large Cap Equity [EF]		\$15,001 - \$50,000		Tax-Deferred	<input type="checkbox"/>
Fidelity Self-Employed 401(k) ⇒ Fidelity Blue Chip Value [EF]		\$1,001 - \$15,000		Tax-Deferred	<input type="checkbox"/>
Fidelity Self-Employed 401(k) ⇒ Fidelity Cash Reserves [BA]		\$1,001 - \$15,000		Tax-Deferred	<input type="checkbox"/>
Fidelity Self-Employed 401(k) ⇒ Fidelity Fund (prev. Fidelity Export and Multinational) [EF]		\$15,001 - \$50,000		Tax-Deferred	<input type="checkbox"/>
Great West Aggressive Fund [IH]		\$100,001 - \$250,000		Tax-Deferred	<input type="checkbox"/>
GS Cash Deposits [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
GS Funds ⇒ GS Private Equity Partners 2002 LP [HE]	JT	\$1,001 - \$15,000	Capital Gains, Dividends, Interest	\$1,001 - \$2,500	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
DESCRIPTION: EIF					
GS Funds ⇒ Whitehall Street Global Employee Fund [HE]	JT	\$1,001 - \$15,000	Capital Gains, Dividends, Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: EIF					
JP Morgan Brokerage Account ⇒ DRX DLY China Bull [EF]	JT	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
JP Morgan Brokerage Account ⇒ JP Morgan Chase Cash Account [BA]	JT	\$250,001 - \$500,000	Interest	\$1 - \$200	<input type="checkbox"/>
Principal Bank Safe Harbor IRA [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Spouse IRA ⇒ Fidelity Magellan [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Fidelity Brokerage ⇒ IShares S&P 500 [EF]	JT	03/29/2021	S (partial)	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
Fidelity Rollover IRA ⇒ Fidelity Blue Chip Value [MF]		12/10/2021	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvestment					
Fidelity Rollover IRA ⇒ Fidelity Blue Chip Value [MF]		09/3/2021	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvestment					
Fidelity Rollover IRA ⇒ Fidelity Capital and Income [MF]		12/3/2021	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvestment					
Fidelity Rollover IRA ⇒ Fidelity Capital and Income [MF]		06/4/2021	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvestment					

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Fidelity Rollover IRA ⇒ Fidelity Growth Strategies Fund [MF]		12/28/2021	P	\$15,001 - \$50,000	
DESCRIPTION: Reinvestment					
Fidelity Rollover IRA ⇒ Fidelity International Cap Appreciation [MF]		12/3/2021	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvestment					
Fidelity Rollover IRA ⇒ Fidelity Real Estate Income [MF]		12/17/2021	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvestment					
Fidelity Rollover IRA ⇒ Fidelity Total Market Index [MF]		12/10/2021	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvestment					
Fidelity Rollover IRA ⇒ Fidelity Value Discovery [MF]		12/10/2021	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvestment					
Fidelity Rollover IRA ⇒ Fidelity Value Discovery [MF]		09/3/2021	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvestment					
Fidelity Rollover IRA ⇒ Hennessy Cornerstone Growth [MF]		12/7/2021	P	\$1,001 - \$15,000	
DESCRIPTION: Reinvestment					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

<b>Owner</b>	<b>Creditor</b>	<b>Date Incurred</b>	<b>Type</b>	<b>Amount of Liability</b>
JT	JP Morgan Chase	October 1998	Mortgage on 197 Valley Rd, Cos Cob, CT	\$100,001 - \$250,000
JT	JP Morgan Chase	April 2021	Mortgage on 45 Sutton Sq, DC	\$500,001 - \$1,000,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Association of Marshall Scholars	11/20/2021	11/23/2021	New York - London - New York	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- DC1 (Owner: DC)  
LOCATION: CT
- DC2 (Owner: DC)  
LOCATION: CT
- Fidelity Brokerage (Owner: JT)  
LOCATION: US
- Fidelity Rollover IRA
- Fidelity Self-Employed 401(k)
- GS Funds (Owner: JT)  
LOCATION: US  
DESCRIPTION: EIF
- JP Morgan Brokerage Account (Owner: JT)  
LOCATION: US
- Spouse IRA (Owner: SP)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be

disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. James A. Himes , 07/12/2022