



Filing ID #10047004

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Garland "Andy" Barr
Status: Member
State/District: KY06

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2021
Filing Date: 05/11/2022

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Baird After-tax holdings ⇒ Baird Core Intermed. Mun bond instl CL BNMIX [EF]		\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Capital gain associated with sale of asset					
Baird After-tax holdings ⇒ Baird Money Market and Savings [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Baird After-tax holdings ⇒ Baird Sm/Mid Cap Growth Fund BSGIX [EF]		\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Capital gain associated with sale of asset					
Baird After-tax holdings ⇒ Chautauqua Global Growth Fund CCGIX [EF]		\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Capital gain associated with sale of asset					
Baird After-tax holdings ⇒ Eleanor Barr Trust [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Baird After-tax holdings ⇒ iShares MSCI EAFE ETF [EF]		\$50,001 - \$100,000	None		<input type="checkbox"/>
Baird After-tax holdings ⇒ iShares Russell 1000 Growth ETF [EF]		\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Purchase					
Baird After-tax holdings ⇒ iShares Russell 1000 Value ETF [EF]		\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Baird After-tax holdings ⇒ IShares Russell 2000 ETF [EF]		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Baird After-tax holdings ⇒ ishares Russell mid cap ETF [EF]		\$50,001 - \$100,000	None		<input type="checkbox"/>
Baird After-tax holdings ⇒ iShares S&P Core Small Cap ETF [EF]		\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Capital gain associated with sale of asset					
Baird After-tax holdings ⇒ Mary Clay Barr Trust [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Baird After-tax holdings ⇒ MFS Intl Intrinsic Value CL I MINIX [EF]		\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Capital gain associated with sale of asset					
Baird After-tax holdings ⇒ Principal Mid Cap Instl CL PCBIX [EF]		\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Capital gain associated with sale of asset					
Baird After-tax holdings ⇒ SPDR Portfolio Short Term Corp Bond ETF [EF]		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Baird After-tax holdings ⇒ Vanguard FTSE ALL WORLD EX US ETF [EF]		\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Baird After-tax holdings ⇒ Vanguard FTSE Developed Market ETF [EF]		\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Capital gain associated with sale of asset					
Baird After-tax holdings ⇒ Vanguard Intermed. term tax bonds ETF [EF]		\$50,001 - \$100,000	None		<input type="checkbox"/>
Eleanor Barr 529 ⇒ The Growth Fund of America 529A [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Fifth Third Bank [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Forcht Bank [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Garland Barr IRA ⇒ Cash (HSBC Bank USA) [BA]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Garland Barr IRA ⇒ iShares MSCI EAFE ETF [EF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Garland Barr IRA ⇒ iShares Russell 1000 Growth ETF [EF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Garland Barr IRA ⇒ iShares Russell 1000 Value ETF [EF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Garland Barr IRA ⇒ IShares Russell 2000 ETF [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: purchase					
Garland Barr IRA ⇒ iShares Russell mid cap ETF [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
Garland Barr IRA ⇒ Vanguard FTSE ALL WORLD EX US ETF [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchase					
iShares Core S&P Mid-Cap ETF (IJD) [EF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
iShares Core S&P Small-Cap ETF (IJR) [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
iShares Inc Msci Eurzone ETF [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
iShares Inc MSCI Frontier [EF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Ishares TR National MUN ETF [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
KeyCorp (KEY) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
COMMENTS: Previously reported twice on the 2020 FD as both spouse's shares and then Member's shares to document this was an inherited asset due to spouse's passing in 2020. 2021 FD report only lists asset as belonging to the Member.					
KY Employees Retirement System [DB]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Mary Clay Barr 529 ⇒ New Perspective Fund 529A [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Mary Clay Barr 529 ⇒ The Growth Fund of America 529A [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
NW Mutual 65 Life [WU]		\$1,001 - \$15,000	None		<input type="checkbox"/>
NW Mutual 65 Life Supplemental [WU]		\$1,001 - \$15,000	None		<input type="checkbox"/>
NW Mutual 90 Whole Life [WU]		\$1,001 - \$15,000	None		<input type="checkbox"/>
NW Mutual Money Market [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Pfizer, Inc. (PFE) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
COMMENTS: Previously reported twice on the 2020 FD as both spouse's shares and then Member's shares to document this was an inherited asset due to spouse's passing in 2020. 2021 FD report only lists asset as belonging to the Member.					
SPDR S&P 500 (SPY) [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SPDR SER TR Nuveen BLMBRG SR (SHM) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
SPDR SER TR Nuveen BRC MUNIC [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
SPDR Series Trust SPDR Portfolio S&P 500 Value ETF (SPYV) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard Growth [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Baird After-tax holdings ⇒ Baird Core Intermed. Mun bond instl CL BNMIX [EF]		10/1/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Baird After-tax holdings ⇒ Baird Sm/Mid Cap Growth Fund BSGIX [EF]		10/1/2021	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Baird After-tax holdings ⇒ Chautauqua Global Growth Fund CCGIX [EF]		10/1/2021	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Baird After-tax holdings ⇒ iShares Gold Trust Shares of the iShares Gold Trust [EF]		10/14/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Baird After-tax holdings ⇒ iShares Russell 1000 Growth ETF [EF]		10/1/2021	P	\$100,001 - \$250,000	
Baird After-tax holdings ⇒ iShares Russell 1000 Value ETF [EF]		10/4/2021	P	\$100,001 - \$250,000	
Baird After-tax holdings ⇒ IShares Russell 2000 ETF [EF]		10/1/2021	P	\$15,001 - \$50,000	
Baird After-tax holdings ⇒ iShares S&P Core Small Cap ETF [EF]		10/1/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Baird After-tax holdings ⇒ iShares TIPS Bond ETF [EF]		10/1/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Baird After-tax holdings ⇒ MFS Intl Intrinsic Value CL I MINIX [EF]		10/1/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Baird After-tax holdings ⇒ Principal Mid Cap Instl CL PCBIX [EF]		10/1/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Baird After-tax holdings ⇒ SPDR Portfolio Short Term Corp Bond ETF [EF]		10/1/2021	P	\$15,001 - \$50,000	
Baird After-tax holdings ⇒ Vanguard FTSE ALL WORLD EX US ETF [EF]		10/4/2021	P	\$50,001 - \$100,000	
Baird After-tax holdings ⇒		10/1/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard FTSE Developed Market ETF [EF]					
Baird After-tax holdings ⇒ WCM Focused Intl Growth WCMIX [EF]		10/1/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fidelity Targeted 2045 [EF]		10/1/2021	S	\$100,001 - \$250,000	<input type="checkbox"/>
<p>DESCRIPTION: tax-deferred rollover --exchanged for other investments</p> <p>COMMENTS: Previously reported twice on the 2020 FD as both spouse's 401(k) and then Member's tax-deferred asset to document this was an inherited asset due to spouse's passing in 2020. 2021 FD report only lists asset once on Schedule B as the Member "sold" it and rolled it over to other assets.</p>					
Garland Barr IRA ⇒ Baird Mid Cap Fund BMDIX [EF]		10/1/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Tax deferred transaction					
Garland Barr IRA ⇒ Baird Sm/Mid Cap Growth Fund BSGIX [EF]		10/1/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Tax deferred transaction					
Garland Barr IRA ⇒ Chautauqua Global Growth Fund CCGIX [EF]		10/1/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Tax deferred transaction					
Garland Barr IRA ⇒ iShares MSCI EAFE ETF [EF]		10/11/2021	P	\$50,001 - \$100,000	
Garland Barr IRA ⇒ iShares Russell 1000 Growth ETF [EF]		10/14/2021	P	\$50,001 - \$100,000	
Garland Barr IRA ⇒ iShares Russell 1000 Value ETF [EF]		10/14/2021	P	\$50,001 - \$100,000	
Garland Barr IRA ⇒ IShares Russell 2000 ETF [EF]		10/14/2021	P	\$15,001 - \$50,000	
Garland Barr IRA ⇒ iShares Russell mid cap ETF [EF]		10/14/2021	P	\$15,001 - \$50,000	
Garland Barr IRA ⇒ Vanguard FTSE ALL WORLD EX US ETF [EF]		10/14/2021	P	\$15,001 - \$50,000	

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SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	PNC Bank	08/2020	Mortgage on personal residence	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2004	KY employees Retirement System	KY state pension, cash value \$26,320 (12/31/21), benefits payable beginning 8/1/38

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Baird After-tax holdings
LOCATION: US
- Eleanor Barr 529
LOCATION: KY
- Garland Barr IRA
- Mary Clay Barr 529
LOCATION: KY

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

COMMENTS

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Garland "Andy" Barr , 05/11/2022