



Filing ID #10042224

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Ron Estes
Status: Member
State/District: KS04

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2020
Filing Date: 08/12/2021

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Dependent Coverdale college savings ⇒ Putnam Equity Income Fund - A [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Intrust checking and savings [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200 <input type="checkbox"/>
Kansas Learning Quest ⇒ ACI - Aggressive Track 40% Equity Portfolio [MF]		None	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: Balance reset to zero during rebalancing in 2020				
Kansas Learning Quest ⇒ Aggressive Track 20% Equity Portfolio [MF]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
Kansas Learning Quest ⇒ Aggressive Track 30% Equity Portfolio [MF]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
KPERS [DB]		Undetermined	Pension	\$5,001 - \$15,000 <input type="checkbox"/>
KPERS 457 ⇒ DFA US Large Cap Value 1 [MF]		\$50,001 - \$100,000	Tax-Deferred	<input type="checkbox"/>
KPERS 457 ⇒		\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Stable Value Fund - Great-West Life & Annuity Insurance Company [MF]				
DESCRIPTION: Stable value fund				
KPERS 457 ⇒ T Rowe Price Capital Appreciation [MF]		\$50,001 - \$100,000	Tax-Deferred	<input type="checkbox"/>
KPERS 457 ⇒ Vanguard Total Bond Market Index Inst [MF]		\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
DESCRIPTION: Bond fund				
Mid American Credit Union [BA]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
Mid American Credit Union [BA]	DC	\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
MyLifeChoices/Koch 401K ⇒ Balanced Index Allocation - Vanguard Group Inc and Blackrock Institutional Trust Company NA [MF]		\$50,001 - \$100,000	Tax-Deferred	<input checked="" type="checkbox"/>
MyLifeChoices/Koch 401K ⇒ GIC Stable Value- Galliard Capital Management Inc [MF]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: Stable value fund				
MyLifeChoices/Koch 401K ⇒ Mid-Cap Index - Blackrock Institutional Trust Company NA [MF]		\$50,001 - \$100,000	Tax-Deferred	<input checked="" type="checkbox"/>
MyLifeChoices/Koch 401K ⇒ MSCI EAFE Index Fund - Blackrock [MF]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
MyLifeChoices/Koch 401K ⇒ S & P 500 Index - Vanguard [MF]		\$100,001 - \$250,000	Tax-Deferred	<input checked="" type="checkbox"/>
MyLifeChoices/Koch 401K ⇒ Target Retirement 2040 - Vanguard Goup Inc [MF]		\$50,001 - \$100,000	Tax-Deferred	<input checked="" type="checkbox"/>
MyLifeChoices/Koch 401K ⇒ Total US Aggregate Bond Market - Blackrock Institutional Trust Company NA [MF]		\$100,001 - \$250,000	Tax-Deferred	<input checked="" type="checkbox"/>
Roth IRA ⇒ American Balanced Fund Cl F3 [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Roth IRA ⇒ American Mutual Fund Cl F3 [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Roth IRA ⇒ Capital World Growth and Income fund Cl F3 - American Funds [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Roth IRA ⇒ Investment Company of America - American Funds [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Roth IRA ⇒ JP Morgan Mid Cap Value Cl L [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Roth IRA ⇒ JPMorgan Government Bond Fund Ultra Class [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Roth IRA ⇒ MFS Corporate Bond Fund R6 [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Roth IRA ⇒ MFS New Discovery Fund Cl R6 [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Roth IRA ⇒ New Perspective Fund Cl F3 - American Funds [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Roth IRA ⇒ Vanguard FTSE All World Ex-US Small Cap Index [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Spouse 401k - CUNA Mutual Holding Company ⇒ CUNA Mutual Lifestyle Aggressive [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Spouse 401k - Transamerica ⇒ State Street Target Retire 2030 NL Class A [MF]	SP	\$100,001 - \$250,000	Tax-Deferred	<input type="checkbox"/>
Spouse 403b - Lincoln Investment ⇒ American Funds Washington Mutual Invstrs Fd - F1 [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Spouse 403b - Lincoln Investment ⇒ FederatedHermes Prime Cash Obl AS [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Spouse 403b - Lincoln Investment ⇒ Goldman Sachs Intl Equity Insights Fund - A [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Spouse 403b - Lincoln Investment ⇒ GoldmanSachs Emerging Mkt Eq A [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Spouse 403b - Lincoln Investment ⇒ JPMorgan SmCap Equity A [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Spouse 403b - Lincoln Investment ⇒ Parnassus Mid Cap Fund - Inv [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Spouse 403b - Lincoln Investment ⇒ PGIM High Yield Fund - A [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Spouse 403b - Lincoln Investment ⇒ PIMCO International Bond Fund - A (USD HGD) [MF]	SP	\$1 - \$1,000	Tax-Deferred	<input type="checkbox"/>
Spouse 403b - Lincoln Investment ⇒ PRIMECAP Odyssey Growth Fund [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Spouse 403b - Lincoln Investment ⇒ PRIMECAP Odyssey Stock Fund [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Spouse Deferred Comp - MA ⇒ SMART Capital Preservation Fund [OT] DESCRIPTION: Deferred Comp	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Spouse KPERS [DB]	SP	\$1 - \$1,000	Tax-Deferred	<input type="checkbox"/>
Spouse Roth IRA - Putnam Investments ⇒ Putnam Equity Income Fund A [OT] DESCRIPTION: Roth	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Spouse Roth IRA - Putnam Investments ⇒ Putnam Growth Opportunities - A [MF] DESCRIPTION: Roth IRA	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Spouse Roth IRA - Putnam Investments ⇒ Putnam Intl Capital Opps - A [MF] DESCRIPTION: Roth IRA	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Kansas Learning Quest ⇒ Aggressive Track 20% Equity Portfolio [MF]		09/8/2020	P	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Account was rebalanced into asset in 2020					
Kansas Learning Quest ⇒ Aggressive Track 30% Equity Portfolio [MF]		09/8/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Account was rebalanced out of asset in 2020					
Kansas Learning Quest ⇒ Aggressive Track 30% Equity Portfolio [MF]		12/7/2020	P	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Account was rebalanced into asset in 2020					
Kansas Learning Quest ⇒ Aggressive Track 30% Equity Portfolio [MF]		12/29/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>
Kansas Learning Quest ⇒ Aggressive Track 40% Equity Portfolio [MF]		12/7/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Account was rebalanced out of asset in 2020					
MyLifeChoices/Koch 401K ⇒ Balanced Index Allocation - Vanguard Group Inc and Blackrock Institutional Trust Company NA [MF]		01/3/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
MyLifeChoices/Koch 401K ⇒ GIC Stable Value - Gilliard Capital Management Inc [MF]		01/3/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
MyLifeChoices/Koch 401K ⇒ GIC Stable Value - Gilliard Capital Management Inc [MF]		05/5/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Rebalancing account					
MyLifeChoices/Koch 401K ⇒ GIC Stable Value - Gilliard Capital Management Inc [MF]		07/21/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Rebalancing account					
MyLifeChoices/Koch 401K ⇒ Mid-Cap Index - Blackrock Institutional Trust Company NA [MF]		01/3/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
MyLifeChoices/Koch 401K ⇒ MSCI EAFE Index Fund - Blackrock [MF]		01/3/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
MyLifeChoices/Koch 401K ⇒ MSCI EAFE Index Fund - Blackrock [MF]		05/5/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Rebalancing account					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
MyLifeChoices/Koch 401K ⇒ MSCI EAFE Index Fund - Blackrock [MF]		07/21/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Rebalancing account					
MyLifeChoices/Koch 401K ⇒ S & P 500 Index - Vanguard [MF]		01/3/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
MyLifeChoices/Koch 401K ⇒ S & P 500 Index - Vanguard [MF]		07/21/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Rebalancing account					
MyLifeChoices/Koch 401K ⇒ Target Retirement 2040 - Vanguard Group Inc [MF]		01/3/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
MyLifeChoices/Koch 401K ⇒ Total US Aggregate Bond Market - Blackrock Institutional Trust [MF]		01/3/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
MyLifeChoices/Koch 401K ⇒ Total US Aggregate Bond Market - Blackrock Institutional Trust [MF]		05/5/2020	P	\$15,001 - \$50,000	
DESCRIPTION: Account rebalancing					
MyLifeChoices/Koch 401K ⇒ Total US Aggregate Bond Market - Blackrock Institutional Trust [MF]		07/21/2020	P	\$1,001 - \$15,000	
DESCRIPTION: Account rebalancing					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Kansas Public Employee Retirement System	Pension	\$10,260.00
My LifeChoices/koch 401k	401K distribution	\$21,292.00

SCHEDULE D: LIABILITIES

Owner Creditor	Date Incurred	Type	Amount of Liability
Member Mortgage Services	January 2013	Home mortgage	\$100,001 - \$250,000
Intrust Bank	January 2017	Home mortgage, 2nd	\$10,000 - \$15,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
April 2017	State of Kansas and myself	Participation in the pension system and the 457 plan

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Dependent Coverdale college savings
LOCATION: US
- Kansas Learning Quest
LOCATION: KS
- KPERS 457
- MyLifeChoices/Koch 401K
- Roth IRA
- Spouse 401k - CUNA Mutual Holding Company (Owner: SP)
- Spouse 401k - Transamerica (Owner: SP)
- Spouse 403b - Lincoln Investment (Owner: SP)
- Spouse Deferred Comp - MA (Owner: SP)
- Spouse Roth IRA - Putnam Investments (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Ron Estes , 08/12/2021