



Filing ID #10052685

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Deborah Ross  
**Status:** Member  
**State/District:** NC02

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2022  
**Filing Date:** 05/14/2023

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset   | Owner | Value of Asset       | Income Type(s) | Income          | Tx. > \$1,000?           |
|---|-------|----------------------|----------------|-----------------|--------------------------|
| AMG Managers Special Equity (IRA) [MF]  |       | \$1,001 - \$15,000   | Tax-Deferred   |                 | <input type="checkbox"/> |
| AMG Managers Special Equity (Roth) [MF]   |       | \$50,001 - \$100,000 | Tax-Deferred   |                 | <input type="checkbox"/> |
| Duke Retirement Plan ⇒<br>Vanguard Institutional Target 2030 Retirement Fund [MF] |       | \$1,001 - \$15,000   | Tax-Deferred   |                 | <input type="checkbox"/> |
| Fidelity Brokerage Account ⇒<br>Fidelity Money Market [BA]                        | JT    | \$1 - \$1,000        | Interest       | \$1 - \$200     | <input type="checkbox"/> |
| Fidelity Brokerage Account ⇒<br>Microsoft Corporation (MSFT) [ST]                 | JT    | \$15,001 - \$50,000  | Dividends      | \$201 - \$1,000 | <input type="checkbox"/> |
| Fidelity IRA 1 ⇒<br>Advanced Micro Devices, Inc. (AMD) [ST]                       | SP    | \$1,001 - \$15,000   | Tax-Deferred   |                 | <input type="checkbox"/> |
| Fidelity IRA 1 ⇒<br>Albemarle Corporation (ALB) [ST]                              | SP    | \$15,001 - \$50,000  | Tax-Deferred   |                 | <input type="checkbox"/> |
| Fidelity IRA 1 ⇒<br>Alphabet Inc. - Class C Capital Stock (GOOG) [ST]             | SP    | \$15,001 - \$50,000  | Tax-Deferred   |                 | <input type="checkbox"/> |

| <b>Asset</b>   | <b>Owner</b> | <b>Value of Asset</b> | <b>Income Type(s)</b> | <b>Income</b> | <b>Tx. &gt; \$1,000?</b> |
|--|--------------|-----------------------|-----------------------|---------------|--------------------------|
| Fidelity IRA 1 ⇒<br>Applied Materials, Inc. (AMAT) [ST]              | SP           | \$1,001 - \$15,000    | Tax-Deferred          |               | <input type="checkbox"/> |
| Fidelity IRA 1 ⇒<br>Cleveland-Cliffs Inc. (CLF) [ST]                 | SP           | \$15,001 - \$50,000   | Tax-Deferred          |               | <input type="checkbox"/> |
| Fidelity IRA 1 ⇒<br>CrowdStrike Holdings, Inc. - Class A (CRWD) [ST] | SP           | \$1,001 - \$15,000    | Tax-Deferred          |               | <input type="checkbox"/> |
| Fidelity IRA 1 ⇒<br>Fidelity Money Market [BA]                       | SP           | \$1,001 - \$15,000    | Tax-Deferred          |               | <input type="checkbox"/> |
| Fidelity IRA 1 ⇒<br>Freeport-McMoRan, Inc. (FCX) [ST]                | SP           | \$15,001 - \$50,000   | Tax-Deferred          |               | <input type="checkbox"/> |
| Fidelity IRA 1 ⇒<br>Lam Research Corporation (LRCX) [ST]             | SP           | \$1,001 - \$15,000    | Tax-Deferred          |               | <input type="checkbox"/> |
| Fidelity IRA 1 ⇒<br>SPDR S&P Bank ETF (KBE) [EF]                     | SP           | \$1,001 - \$15,000    | Tax-Deferred          |               | <input type="checkbox"/> |
| Fidelity IRA 1 ⇒<br>Walt Disney Company (DIS) [ST]                   | SP           | \$1,001 - \$15,000    | Tax-Deferred          |               | <input type="checkbox"/> |
| Fidelity IRA 2 ⇒<br>Berkshire Hathaway Inc. New (BRK.B) [ST]         | SP           | \$15,001 - \$50,000   | Tax-Deferred          |               | <input type="checkbox"/> |
| Fidelity IRA 2 ⇒<br>Fidelity Money Market [BA]                       | SP           | \$1,001 - \$15,000    | Tax-Deferred          |               | <input type="checkbox"/> |
| Fidelity IRA 2 ⇒<br>Gilead Sciences, Inc. (GILD) [ST]                | SP           | \$15,001 - \$50,000   | Tax-Deferred          |               | <input type="checkbox"/> |
| Fidelity IRA 2 ⇒<br>Invesco QQQ Trust, Series 1 (QQQ) [EF]           | SP           | \$15,001 - \$50,000   | Tax-Deferred          |               | <input type="checkbox"/> |
| Fidelity IRA 2 ⇒<br>iShares MSCI Emerging Index Fund (EEM) [EF]      | SP           | \$15,001 - \$50,000   | Tax-Deferred          |               | <input type="checkbox"/> |
| Fidelity IRA 2 ⇒<br>Ishares NASDAQ Biotechnology Fund [EF]           | SP           | \$15,001 - \$50,000   | Tax-Deferred          |               | <input type="checkbox"/> |
| Fidelity IRA 2 ⇒   | SP           | \$15,001 - \$50,000   | Tax-Deferred          |               | <input type="checkbox"/> |

| Asset   | Owner | Value of Asset       | Income Type(s) | Income | Tx. > \$1,000?           |
|---|-------|----------------------|----------------|--------|--------------------------|
| iShares Russell 1000 Value ETF (IWD) [EF]                                     |       |                      |                |        |                          |
| Fidelity IRA 2 ⇒<br>iShares Russell 2000 ETF (IWM) [EF]                       | SP    | \$15,001 - \$50,000  | Tax-Deferred   |        | <input type="checkbox"/> |
| Fidelity IRA 2 ⇒<br>iShares Semiconductor ETF (SOXX) [EF]                     | SP    | \$15,001 - \$50,000  | Tax-Deferred   |        | <input type="checkbox"/> |
| Fidelity IRA 2 ⇒<br>iShares U.S. Home Construction ETF (ITB) [EF]             | SP    | \$15,001 - \$50,000  | Tax-Deferred   |        | <input type="checkbox"/> |
| Fidelity IRA 2 ⇒<br>JP Morgan Chase & Co. (JPM) [ST]                          | SP    | \$15,001 - \$50,000  | Tax-Deferred   |        | <input type="checkbox"/> |
| Fidelity IRA 2 ⇒<br>Materials Select Sector SPDR (XLB) [EF]                   | SP    | \$15,001 - \$50,000  | Tax-Deferred   |        | <input type="checkbox"/> |
| Fidelity IRA 2 ⇒<br>Roundhill Sports Betting & iGaming ETF (BETZ) [EF]        | SP    | \$1,001 - \$15,000   | Tax-Deferred   |        | <input type="checkbox"/> |
| Fidelity IRA 2 ⇒<br>SPDR MidCap Trust Series I (MDY) [EF]                     | SP    | \$15,001 - \$50,000  | Tax-Deferred   |        | <input type="checkbox"/> |
| Fidelity IRA 2 ⇒<br>SPDR S&P 500 (SPY) [EF]                                   | SP    | \$50,001 - \$100,000 | Tax-Deferred   |        | <input type="checkbox"/> |
| Fidelity IRA 2 ⇒<br>SPDR S&P Regional Banking ETF (KRE) [EF]                  | SP    | \$1,001 - \$15,000   | Tax-Deferred   |        | <input type="checkbox"/> |
| Fidelity IRA 2 ⇒<br>SPDR Select Sector Fund - Energy Select Sector (XLE) [EF] | SP    | \$15,001 - \$50,000  | Tax-Deferred   |        | <input type="checkbox"/> |
| Fidelity IRA 2 ⇒<br>SPDR Select Sector Fund - Financial (XLF) [EF]            | SP    | \$15,001 - \$50,000  | Tax-Deferred   |        | <input type="checkbox"/> |
| Fidelity IRA 2 ⇒<br>SPDR Select Sector Fund - Industrial (XLI) [EF]           | SP    | \$15,001 - \$50,000  | Tax-Deferred   |        | <input type="checkbox"/> |
| Fidelity IRA 2 ⇒<br>SPDR Select Sector Fund - Technology (XLK) [EF]           | SP    | \$15,001 - \$50,000  | Tax-Deferred   |        | <input type="checkbox"/> |
| Fidelity IRA 2 ⇒<br>SPDR Series Trust SPDR Homebuilders ETF (XHB) [EF]        | SP    | \$15,001 - \$50,000  | Tax-Deferred   |        | <input type="checkbox"/> |

| Asset   | Owner        | Value of Asset        | Income Type(s) | Income              | Tx. > \$1,000?                      |
|---|--------------|-----------------------|----------------|---------------------|-------------------------------------|
| Fidelity IRA 2 ⇒<br>VanEck Gaming ETF (BJK) [EF]  | SP           | \$15,001 - \$50,000   | Tax-Deferred   |                     | <input type="checkbox"/>            |
| Fidelity IRA 2 ⇒<br>VanEck Semiconductor ETF (SMH) [EF]   | SP           | \$15,001 - \$50,000   | Tax-Deferred   |                     | <input type="checkbox"/>            |
| Fidelity Roth IRA ⇒<br>Alibaba Group Holding Limited American Depository Shares<br>each representing eight Ordinary share (BABA) [ST] | SP           | \$1,001 - \$15,000    | Tax-Deferred   |                     | <input type="checkbox"/>            |
| Fidelity Roth IRA ⇒<br>Direxion Moonshot Innovators ETF (MOON) [EF]   | SP           | \$1,001 - \$15,000    | Tax-Deferred   |                     | <input type="checkbox"/>            |
| Fidelity Roth IRA ⇒<br>Fidelity Money Market [BA]   | SP           | \$1,001 - \$15,000    | Tax-Deferred   |                     | <input type="checkbox"/>            |
| Fidelity Roth IRA ⇒<br>Invesco Dynamic Leisure and Entertainment ETF (PEJ) [EF]   | SP           | \$1,001 - \$15,000    | Tax-Deferred   |                     | <input type="checkbox"/>            |
| Fidelity Roth IRA ⇒<br>Oracle Corporation (ORCL) [ST]   | SP           | \$15,001 - \$50,000   | Tax-Deferred   |                     | <input type="checkbox"/>            |
| Fidelity Roth IRA ⇒<br>SoFi Social 50 ETF (SFYF) [EF]   | SP           | \$1,001 - \$15,000    | Tax-Deferred   |                     | <input type="checkbox"/>            |
| Fidelity Roth IRA ⇒<br>Unity Software Inc. (U) [ST]   | SP           | \$1,001 - \$15,000    | None           |                     | <input checked="" type="checkbox"/> |
| DESCRIPTION: Note this an exchange due to a merger between Ironsource and Unity Software  |              |                       |                |                     |                                     |
| Fox Rothschild RSP ⇒<br>Vanguard Institutional Target 2030 Retirement Fund [MF]   |              | \$100,001 - \$250,000 | Tax-Deferred   |                     | <input type="checkbox"/>            |
| IAB Brokerage Account (IRA) ⇒<br>Money Market [BA]  | SP           | \$100,001 - \$250,000 | Tax-Deferred   |                     | <input type="checkbox"/>            |
| IAB Brokerage Account (IRA) ⇒<br>NeoGenomics, Inc. (NEO) [ST]   | SP           | \$1,001 - \$15,000    | Tax-Deferred   |                     | <input type="checkbox"/>            |
| Mountain Rental [RP]  | SP           | \$250,001 - \$500,000 | Rent           | \$15,001 - \$50,000 | <input type="checkbox"/>            |
| LOCATION: Lansing, NC, US   |              |                       |                |                     |                                     |
| Pension - State of North Carolina [PE]  | Undetermined | Pension               | None           |                     | <input type="checkbox"/>            |

| Asset  | Owner | Value of Asset          | Income Type(s) | Income              | Tx. > \$1,000?                      |
|--|-------|-------------------------|----------------|---------------------|-------------------------------------|
| Pension - The American Civil Liberties Union [PE]  |       | Undetermined            | Pension        | None                | <input type="checkbox"/>            |
| Primary Residence [RP]                             | JT    | \$500,001 - \$1,000,000 | Rent           | \$15,001 - \$50,000 | <input type="checkbox"/>            |
| LOCATION: RALEIGH, NC, US                          |       |                         |                |                     |                                     |
| RS Growth Fund Class A (IRA) [MF]                  |       | \$15,001 - \$50,000     | Tax-Deferred   |                     | <input type="checkbox"/>            |
| State 401k ⇒ NC Fixed Income Fund [MF]             |       | \$1,001 - \$15,000      | Tax-Deferred   |                     | <input type="checkbox"/>            |
| State 401k ⇒ NC International Fund [MF]            |       | \$50,001 - \$100,000    | Tax-Deferred   |                     | <input type="checkbox"/>            |
| State 401k ⇒ NC Large Cap Core Fund [MF]           |       | \$100,001 - \$250,000   | Tax-Deferred   |                     | <input type="checkbox"/>            |
| State 401k ⇒ NC Large Cap Index Fund [MF]          |       | \$100,001 - \$250,000   | Tax-Deferred   |                     | <input type="checkbox"/>            |
| State 401k ⇒ NC Small Mid Cap Fund [MF]            |       | \$15,001 - \$50,000     | Tax-Deferred   |                     | <input type="checkbox"/>            |
| State 401k ⇒ NC Small Mid Cap Index Fund [MF]      |       | \$50,001 - \$100,000    | Tax-Deferred   |                     | <input type="checkbox"/>            |
| State Employees Credit Union Accounts [BA]         | JT    | \$1,001 - \$15,000      | Interest       | \$1 - \$200         | <input type="checkbox"/>            |
| TTA 401a ⇒ T Rowe Price LCG fund I class [MF]      |       | \$15,001 - \$50,000     | Tax-Deferred   |                     | <input checked="" type="checkbox"/> |
| TTA 401a ⇒ T Rowe Price Overseas Stock Fund I [MF] |       | \$1,001 - \$15,000      | Tax-Deferred   |                     | <input checked="" type="checkbox"/> |
| TTA 401a ⇒ Voya Fixed Account [BA]                 |       | \$15,001 - \$50,000     | Tax-Deferred   |                     | <input checked="" type="checkbox"/> |
| TTA 457(b) ⇒ Fidelity Mid Cap Index fund [MF]      |       | \$15,001 - \$50,000     | Tax-Deferred   |                     | <input checked="" type="checkbox"/> |
| TTA 457(b) ⇒ Fidelity small Cap Index Fund [MF]    |       | \$15,001 - \$50,000     | Tax-Deferred   |                     | <input type="checkbox"/>            |

| Asset   | Owner | Value of Asset          | Income Type(s) | Income               | Tx. > \$1,000?                      |
|---|-------|-------------------------|----------------|----------------------|-------------------------------------|
| TTA 457(b) ⇒<br>T Rowe Price LCG Fund I class [MF]            |       | \$50,001 - \$100,000    |                | Tax-Deferred         | <input checked="" type="checkbox"/> |
| DESCRIPTION: Transfer from one investment manager to another. |       |                         |                |                      |                                     |
| TTA 457(b) ⇒<br>Vanguard Target Retirement 2065 [MF]          |       | \$15,001 - \$50,000     |                | Tax-Deferred         | <input checked="" type="checkbox"/> |
|   |       |                         |                |                      |                                     |
| Unimproved Lot [RP]   | SP    | \$50,001 - \$100,000    |                | None                 | <input type="checkbox"/>            |
| LOCATION: Avon, NC, US  |       |                         |                |                      |                                     |
| Vacation Rental [RP]  | JT    | \$500,001 - \$1,000,000 | Rent           | \$50,001 - \$100,000 | <input type="checkbox"/>            |
| LOCATION: Salvo, NC, US                                       |       |                         |                |                      |                                     |
| Vanguard 500 Index Fund Admiral (IRA) [MF]                    |       | \$50,001 - \$100,000    |                | Tax-Deferred         | <input type="checkbox"/>            |
| Vanguard Growth Index Fund Admiral (Roth) [MF]                | SP    | \$50,001 - \$100,000    |                | Tax-Deferred         | <input type="checkbox"/>            |

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

| Asset  | Owner | Date       | Tx. Type    | Amount             | Cap. Gains > \$200?                 |
|--|-------|------------|-------------|--------------------|-------------------------------------|
| Fidelity Brokerage Account ⇒<br>Microsoft Corporation (MSFT) [ST]                  | JT    | 01/4/2022  | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Fidelity Brokerage Account ⇒<br>Microsoft Corporation (MSFT) [ST]                  | JT    | 01/21/2022 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Fidelity Brokerage Account ⇒<br>Microsoft Corporation (MSFT) [ST]                  | JT    | 01/27/2022 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Fidelity Roth IRA ⇒<br>ironSource Ltd. Class A Ordinary Shares (IS) [ST]           | SP    | 11/7/2022  | E           | \$1,001 - \$15,000 |                                     |
| DESCRIPTION: This was an exchange of IronSource for Unity Software due to a merger |       |            |             |                    |                                     |
| Fidelity Roth IRA ⇒<br>Unity Software Inc. (U) [ST]                                | SP    | 11/7/2022  | E           | \$1,001 - \$15,000 |                                     |

| <b>Asset</b>  | <b>Owner</b> | <b>Date</b> | <b>Tx. Type</b> | <b>Amount</b>        | <b>Cap. Gains &gt; \$200?</b> |
|---|--------------|-------------|-----------------|----------------------|-------------------------------|
| DESCRIPTION: This was an exchange of IronSource for Unity Software due to merger  |              |             |                 |                      |                               |
| Fox Rothschild RSP ⇒<br>Vanguard Target Retirement 2030 [MF]  |              | 12/29/2022  | P               | \$1,001 - \$15,000   | <input type="checkbox"/>      |
| DESCRIPTION: This was an annual distribution reinvestment.  |              |             |                 |                      |                               |
| TTA 401a ⇒<br>Mission Squared Diversified International [MF]  |              | 04/27/2022  | S               | \$1,001 - \$15,000   | <input type="checkbox"/>      |
| DESCRIPTION: This was the sale portion of transfer within a government 401 A from one investment manager to another, and from one comparable fund to another.     |              |             |                 |                      |                               |
| TTA 401a ⇒<br>Mission Squared Growth Fund R1 [MF]   |              | 04/27/2022  | S               | \$15,001 - \$50,000  | <input type="checkbox"/>      |
| DESCRIPTION: This was the sale portion of a transfer within a government 401 A from one investment manager to another, and from one comparable fund to another.   |              |             |                 |                      |                               |
| TTA 401a ⇒<br>Mission Squared Plus Fund R1 [MF]   |              | 11/9/2022   | S               | \$15,001 - \$50,000  | <input type="checkbox"/>      |
| DESCRIPTION: This was the sale portion of transfer within a government 401 A from one investment manager to another, and from one comparable fund to another.     |              |             |                 |                      |                               |
| TTA 401a ⇒<br>T Rowe Price LCG Fund [MF]  |              | 04/27/2022  | P               | \$15,001 - \$50,000  | <input type="checkbox"/>      |
| DESCRIPTION: This was a purchase portion of transfer within a government 401 A from one investment manager to another, and from one comparable fund to another.   |              |             |                 |                      |                               |
| TTA 401a ⇒<br>T Rowe Price Overseas Stock Fund 1 [MF]   |              | 04/27/2022  | P               | \$1,001 - \$15,000   | <input type="checkbox"/>      |
| DESCRIPTION: This was a purchase portion of transfer within a government 401 A from one investment manager to another, and from/to comparable funds.              |              |             |                 |                      |                               |
| TTA 401a ⇒<br>Voya Fixed Account [MF]   |              | 11/10/2022  | P               | \$15,001 - \$50,000  | <input type="checkbox"/>      |
| DESCRIPTION: This was the purchase portion of transfer within a government 401 A from one investment manager to another, and from one comparable fund to another. |              |             |                 |                      |                               |
| TTA 457(b) ⇒<br>Fidelity Mid Cap Index Fund [MF]  |              | 04/27/2022  | P               | \$15,001 - \$50,000  | <input type="checkbox"/>      |
| DESCRIPTION: This was a purchase portion of transfer within a government 457 b from one investment manager to another, and from one comparable fund to another.   |              |             |                 |                      |                               |
| TTA 457(b) ⇒<br>Fidelity OTC [MF]   |              | 04/27/2022  | S               | \$50,001 - \$100,000 | <input type="checkbox"/>      |
| DESCRIPTION: This was the sale portion of transfer within a government 457 b from one investment manager to another, and from one comparable fund to another.     |              |             |                 |                      |                               |

| <b>Asset</b>  | <b>Owner</b> | <b>Date</b> | <b>Tx. Type</b> | <b>Amount</b>        | <b>Cap. Gains &gt; \$200?</b> |
|---|--------------|-------------|-----------------|----------------------|-------------------------------|
| TTA 457(b) ⇒<br>Fidelity Small Cap Index Fund [MF]  |              | 04/27/2022  | P               | \$15,001 - \$50,000  | <input type="checkbox"/>      |
| DESCRIPTION: This was a purchase portion of transfer within a government 457 b from one investment manager to another, and from one comparable fund to another. |              |             |                 |                      |                               |
| TTA 457(b) ⇒<br>Invesco OPP Global A [MF]   |              | 04/27/2022  | S               | \$15,001 - \$50,000  | <input type="checkbox"/>      |
| DESCRIPTION: This was the sale portion of transfer within a government 457 b from one investment manager to another, and from one comparable fund to another.   |              |             |                 |                      |                               |
| TTA 457(b) ⇒<br>Nationwide Mid Cap Mkt Index A [MF]   |              | 04/27/2022  | S               | \$15,001 - \$50,000  | <input type="checkbox"/>      |
| DESCRIPTION: This was the sale portion of transfer within a government 457 b from one investment manager to another, and from one comparable fund to another.   |              |             |                 |                      |                               |
| TTA 457(b) ⇒<br>NW Small Cap Index Fund [MF]  |              | 04/27/2022  | S               | \$15,001 - \$50,000  | <input type="checkbox"/>      |
| DESCRIPTION: This was the sale portion of transfer within a government 457 b from one investment manager to another, and from one comparable fund to another.   |              |             |                 |                      |                               |
| TTA 457(b) ⇒<br>T Rowe Price LCG Fund 1 Class [MF]  |              | 04/27/2022  | P               | \$50,001 - \$100,000 | <input type="checkbox"/>      |
| DESCRIPTION: This was a purchase portion of transfer within a government 457 b from one investment manager to another, and from one comparable fund to another. |              |             |                 |                      |                               |
| TTA 457(b) ⇒<br>Vanguard Target Retirement 2065 [MF]  |              | 04/27/2022  | P               | \$15,001 - \$50,000  | <input type="checkbox"/>      |
| DESCRIPTION: This was a purchase portion of transfer within a government 457 b from one investment manager to another, and from one comparable fund to another. |              |             |                 |                      |                               |

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## **SCHEDULE C: EARNED INCOME**

None disclosed.

## **SCHEDULE D: LIABILITIES**

| <b>Owner</b> | <b>Creditor</b>     | <b>Date Incurred</b> | <b>Type</b>    | <b>Amount of Liability</b> |
|--------------|---------------------|----------------------|----------------|----------------------------|
| JT           | First National Bank | September 2012       | Mortgage-Salvo | \$100,001 - \$250,000      |

| <b>Owner</b> | <b>Creditor</b>    | <b>Date Incurred</b> | <b>Type</b>                    | <b>Amount of Liability</b> |
|--------------|--------------------|----------------------|--------------------------------|----------------------------|
| JT           | Caliber Home Loans | December 2020        | Mortgage for DC residence      | \$500,001 - \$1,000,000    |
| JT           | Amerisave Mortgage | June 2020            | Mortgage for Raleigh Residence | \$250,001 - \$500,000      |

## SCHEDULE E: POSITIONS

| <b>Position</b>       | <b>Name of Organization</b> |
|-----------------------|-----------------------------|
| Board Member          | Brown Alumni Association    |
| Advisory Board Member | Lightner Y Achievers        |

## SCHEDULE F: AGREEMENTS

| <b>Date</b>    | <b>Parties To</b>                         | <b>Terms of Agreement</b>  |
|----------------|---|--|
| January 2003   | Myself and State of North Carolina        | Continued participation in employee benefit plan. Vested in legislative pension plan after 5 years of legislative service, serving from 2003 to 2013 |
| January 2003   | Myself and State of North Carolina        | Continued participation in employee health plan, with lifetime medical benefits upon attaining the age of 60.  |
| September 1994 | Myself and American Civil Liberties Union | Continued participation in employee pension plan, vesting after 7 years of service.  |

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

| <b>Source</b>  | <b>Trip Details</b> |                 |   |                         | <b>Inclusions</b>                   |                                     |                                     |
|--|---------------------|-----------------|---|-------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
|  | <b>Start Date</b>   | <b>End Date</b> | <b>Itinerary</b>                                    | <b>Days at Own Exp.</b> | <b>Lodging?</b>                     | <b>Food?</b>                        | <b>Family?</b>                      |
| US Assoc.of Former Members of Congress/Sasakawa Peace Fdn.   | 05/28/2022          | 06/4/2022       | Raleigh, NC - Tokyo, Japan - Kyoto, Japan - Raleigh | 0                       | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| Aspen Institute Inc/Democracy Fund/Rockefeller Brothers Fund | 08/29/2022          | 09/2/2022       | Raleigh, NC - Reykjavik, Iceland - Raleigh, NC      | 0                       | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |

## **SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

## **SCHEDULE A AND B ASSET CLASS DETAILS**

- Duke Retirement Plan
- Fidelity Brokerage Account (Owner: JT)  
LOCATION: US
- Fidelity IRA 1 (Owner: SP)
- Fidelity IRA 2 (Owner: SP)
- Fidelity Roth IRA (Owner: SP)
- Fox Rothschild RSP
- IAB Brokerage Account (IRA) (Owner: SP)
- State 401k
- TTA 401a
- TTA 457(b)

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Deborah Ross , 05/14/2023