



Filing ID #10037910

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Kim Schrier  
**Status:** Member  
**State/District:** WA08

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2018  
**Filing Date:** 08/12/2020

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
David's IRA ⇒ Apple Inc. (AAPL) [ST]	SP	\$100,001 - \$250,000	Tax-Deferred		
David's IRA ⇒ Money Market [BA]	SP	\$15,001 - \$50,000	Tax-Deferred		
David's IRA ⇒ Tesla, Inc. (TSLA) [ST]	SP	\$100,001 - \$250,000	Tax-Deferred		
Gowing Family Trust Primary Account ⇒ Apple Inc. (AAPL) [ST]	JT	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000	None
Gowing Family Trust Primary Account ⇒ Cash [BA]	JT	\$15,001 - \$50,000	None		
Gowing Family Trust Primary Account ⇒ Home Depot, Inc. (HD) [ST]	JT	None	Capital Gains	\$15,001 - \$50,000	None
Gowing Family Trust Primary Account ⇒ iShares Cohen & Steers REIT ETF [EF]	JT	None	Capital Gains	\$2,501 - \$5,000	None
Gowing Family Trust Primary Account ⇒	JT	None	Dividends	\$2,501 - \$5,000	None

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
<b>iShares Core US Aggregate Bond ETF [EF]</b>					
DESCRIPTION: Sold at a loss; but I note the dividend here.					
Gowing Family Trust Primary Account ⇒ Schwab US REIT ETF [EF]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	None
Gowing Family Trust Primary Account ⇒ Vanguard FTSE Developed Markets ETF [EF]	JT	None	Capital Gains	\$15,001 - \$50,000	None
Gowing Family Trust Primary Account ⇒ Vanguard High Dividend Yield ETF [EF]	JT	None	Capital Gains	\$5,001 - \$15,000	None
Gowing Family Trust Primary Account ⇒ Vanguard High Dividend Yield ETF [EF]	JT	None	Capital Gains	\$5,001 - \$15,000	None
Gowing Family Trust Primary Account ⇒ Vanguard Real Estate ETF [EF]	JT	None	Dividends	\$1,001 - \$2,500	None
DESCRIPTION: Sold for a loss that exceeded the dividend income; however, dividend income is required to be reported here.					
Gowing Family Trust Primary Account ⇒ Vanguard Total BND MRKT ETF [EF]	JT	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	None
Gowing Family Trust Secondary Account ⇒ Apple Inc. (AAPL) [ST]	JT	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	None
Gowing Family Trust Secondary Account ⇒ Cash/Money Market Acct [BA]	JT	\$1,001 - \$15,000	Interest	\$201 - \$1,000	None
Gowing Family Trust Secondary Account ⇒ GOCXX Money Market Mutual Fund [MF]	JT	\$100,001 - \$250,000	Dividends	\$201 - \$1,000	None
Gowing Family Trust Secondary Account ⇒ Tesla, Inc. (TSLA) [ST]	JT	\$50,001 - \$100,000	None		
Kim's 401(a) with VMMC ⇒ DFA International Social Core EQ Instl [EF]		\$50,001 - \$100,000	Tax-Deferred		
Kim's 401(a) with VMMC ⇒ Fidelity Government Cash Reserves [BA]		\$1 - \$1,000	Tax-Deferred		
Kim's 401(a) with VMMC ⇒ iShares Core US Aggregate Bond ETF [EF]		\$50,001 - \$100,000	Tax-Deferred		
Kim's 401(a) with VMMC ⇒		\$100,001 -	Tax-Deferred		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Vanguard Scottsdale FDS Intermediate [EF]		\$250,000			
Kim's 403b - Pre-2009 ⇒ FID Diversified International [MF]		\$15,001 - \$50,000	Tax-Deferred		
Kim's 403b - Pre-2009 ⇒ FID Dividend Growth [MF]		\$50,001 - \$100,000	Tax-Deferred		
Kim's 403b - Pre-2009 ⇒ FID Intermed Bond [MF]		\$15,001 - \$50,000	Tax-Deferred		
Kim's 403b - Pre-2009 ⇒ FID Low Priced Stk [MF]		\$15,001 - \$50,000	Tax-Deferred		
Kim's 403b - Pre-2009 ⇒ FID OTC Portfolio [MF]		\$100,001 - \$250,000	Tax-Deferred		
Kim's 403b with VMMC ⇒ Fidelity Government Cash Reserves [BA]		\$1,001 - \$15,000	Tax-Deferred		
Kim's 403b with VMMC ⇒ VANGUARD INTERMED TRM INVST GR ADMIRAL [MF]		\$100,001 - \$250,000	Tax-Deferred		
Kim's Roth IRA - 2014 ⇒ DFA Emerging Markets Social Core Equity Portfolio [MF]		\$15,001 - \$50,000	Tax-Deferred		
Kim's Roth IRA - 2014 ⇒ DFA International Social Core Equity Portfolio Instl Class [MF]		\$15,001 - \$50,000	Tax-Deferred		
Kim's Roth IRA - 2014 ⇒ Money Market [BA]		\$1,001 - \$15,000	None		
Kim's Roth IRA - 2014 ⇒ Schwab US REIT ETF [EF]		\$1,001 - \$15,000	Tax-Deferred		
Samuel Gowing Trust ⇒ Cash [BA]		\$1,001 - \$15,000	None		
Samuel Gowing Trust ⇒ DFA Emerging Markets Social Core Equity Portfolio [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Samuel Gowing Trust ⇒ DFA International Social Core Equity Portfolio Instl Class [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
Samuel Gowing Trust ⇒ DFA US Social Core Equity 2 Portfolio [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
Samuel Gowing Trust ⇒ iShares Core S&P 500 ETF [EF]		None	Capital Gains	\$5,001 - \$15,000	None
Samuel Gowing Trust ⇒ iShares Core US Aggregate Bond ETF [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
Samuel Gowing Trust ⇒ SPDR Portfolio Aggregate Bond ETF (SPAB) [EF]		None	Dividends	\$1 - \$200	None
Samuel Gowing Trust ⇒ SPDR Series Trust Portfolio Large Cap ETF (SPLG) [EF]		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	None
Samuel Gowing Trust ⇒ TIAA-CREF Social Choice Equity Fund Inst Cl [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
Samuel Gowing Trust ⇒ Vanguard CRSP US Mid Cap Index [EF]		None	Capital Gains	\$5,001 - \$15,000	None
Samuel Gowing Trust ⇒ Vanguard Emerging Markets ETF [EF]		None	Capital Gains	\$5,001 - \$15,000	None
Samuel Gowing Trust ⇒ Vanguard FTSE Developed Markets ETF [EF]		None	Capital Gains	\$5,001 - \$15,000	None
Samuel Gowing Trust ⇒ Vanguard FTSE Social Index Fund Investor Shares [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	None
Samuel Gowing Trust ⇒ Vanguard Real Estate ETF [EF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	None
Samuel Gowing Trust ⇒ Vanguard Small Cap ETF [EF]		None	Capital Gains	\$2,501 - \$5,000	None
Stanford Health Care Retirement Savings Plan	JT	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
⇒ Vanguard Institutional Target Retirement 2030 [IH]					
Wells Fargo joint checking account 2018 [BA]	JT	\$15,001 - \$50,000	None		
DESCRIPTION: Joint checking account with my spouse.					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Wells Fargo	August, 2012	Home mortgage	\$250,001 - \$500,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
October 2017	Kim Schrier and Virginia Mason Medical Center	Ongoing leave of absence.

## SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

## SCHEDULE A ASSET CLASS DETAILS

- David's IRA (Owner: SP)
- Gowing Family Trust Primary Account (Owner: JT)
 

DESCRIPTION: Kimberly Schrier Gowing and David Noble Gowing Trustees
- Gowing Family Trust Secondary Account (Owner: JT)
- Kim's 401(a) with VMMC
- Kim's 403b - Pre-2009

- Kim's 403b with VMMC
- Kim's Roth IRA - 2014
- Samuel Gowing Trust  
DESCRIPTION: Trust for son's education
- Stanford Health Care Retirement Savings Plan (Owner: JT)  
LOCATION: US

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Kim Schrier , 08/12/2020