



Filing ID #10037441

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Max Rose  
**Status:** Member  
**State/District:** NY11

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2019  
**Filing Date:** 08/14/2020

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Bank Account - TD Bank [BA]	SP	\$250,001 - \$500,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Bank Account - USAA Checking Account [BA]	JT	\$50,001 - \$100,000	None		<input type="checkbox"/>
Leigh Byrne, 100% Interest [OL]	SP	\$100,001 - \$250,000	Business Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Staten Island, NY, US					
DESCRIPTION: Freelance fashion consultant					
Rental Property [RP]	SP	\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Hoboken, NJ, US					
TD Ameritrade Brokerage ⇒ ISHARES CORE S&P MID CAP ETF (IJH) [EF]	JT	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
TD Ameritrade Brokerage ⇒ ISHARES CORE S&P SM CAP ETF (IJR) [EF]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
TD Ameritrade Brokerage ⇒	JT	None	Capital Gains,	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
iShares Trust Core MSCI EAFE (IEFA) [EF]				Dividends	
TD Ameritrade Brokerage ⇒ iShares Trust Core US AGG BD (AGG) [EF]	JT	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Brokerage ⇒ iShares Trust IBOXX HI YD (HYG) [EF]	JT	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
TD Ameritrade Brokerage ⇒ iShares Trust S&P 500 Growth (IVW) [EF]	JT	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
TD Ameritrade Brokerage ⇒ iShares Trust S&P 500 Value (IVE) [EF]	JT	None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Brokerage ⇒ SPDR Series Trust Portfolio Intrmd (SPIB) [EF]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
TD Ameritrade Brokerage ⇒ Vanecck Vectors ETF Trust (EMLC) [EF]	JT	None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
TD Ameritrade Brokerage ⇒ Vanguard Long Term Bond (BLV) [EF]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard Brokerage ⇒ Vanguard Federal Money Market Fund [BA]	JT	\$100,001 - \$250,000	None		<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Fidelity - Roth IRA ⇒ Fidelity Zero Total Market Index [MF]	SP	12/11/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
TD Ameritrade Brokerage ⇒ ISHARES CORE S&P MID CAP ETF (IJH) [EF]	JT	12/10/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Brokerage ⇒ ISHARES CORE S&P SM CAP ETF (IJR) [EF]	JT	12/10/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Brokerage ⇒	JT	12/10/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
ISHARES INC CORE MSCI ETF (IEMG) [EF]					
TD Ameritrade Brokerage ⇒ iShares Trust Core MSCI EAFE (IEFA) [EF]	JT	12/10/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Brokerage ⇒ iShares Trust Core US AGG BD (AGG) [EF]	JT	12/10/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
TD Ameritrade Brokerage ⇒ iShares Trust IBOXX HI YD (HYG) [EF]	JT	12/20/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Brokerage ⇒ iShares Trust S&P 500 Growth (IVW) [EF]	JT	12/10/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Brokerage ⇒ iShares Trust S&P 500 Value (IVE) [EF]	JT	12/10/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Brokerage ⇒ iShares Trust TIPS BD (TIP) [EF]	JT	12/10/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Brokerage ⇒ SPDR Series Trust Portfoli Intrmd (SPIB) [EF]	JT	12/10/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Brokerage ⇒ Vanec Vectors ETF Trust (EMLC) [EF]	JT	12/10/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Brokerage ⇒ Vanguard Inter Term Treas (VGIT) [EF]	JT	12/10/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Brokerage ⇒ Vanguard Long Term Bond (BLV) [EF]	JT	12/10/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Brokerage ⇒ Vanguard Mid Cap Value Index (VOE) [EF]	JT	12/10/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Brokerage ⇒ Vanguard Mortgage-Back Sec (VMBS) [EF]	JT	12/10/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Brokerage ⇒ Vanguard Short Term Bond (BSV) [EF]	JT	12/10/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Brokerage ⇒ Vanguard Short Term Corp BD (VCSH) [EF]	JT	12/10/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
SP	Chase	November 2014	Mortgage	\$100,001 - \$250,000 COMMENTS: Paid in full October 2019 due to refinancing.
SP	LoanDepot	October 2019	Mortgage	\$100,001 - \$250,000 COMMENTS: Refinanced original 2014 Chase mortgage.

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Source	Trip Details				Inclusions		
	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
American Israel Education Foundation	08/4/2019	08/12/2019	New York City - Tel Aviv, Israel - New York City	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- Fidelity - Roth IRA (Owner: SP)

- TD Ameritrade Brokerage (Owner: JT)  
LOCATION: US
- Vanguard Brokerage (Owner: JT)  
LOCATION: US

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Max Rose , 08/14/2020