



Filing ID #10037463

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Tom Malinowski
Status: Member
State/District: NJ07

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2019
Filing Date: 08/13/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Air Lease Corporation Class A (AL) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Alpha and Omega Semiconductor Limited - Common Shares (AOSL) [ST]		\$50,001 - \$100,000	None		<input type="checkbox"/>
Amber Road, Inc. (AMBR) [ST]		\$15,001 - \$50,000	Capital Gains	\$15,001 - \$50,000	<input type="checkbox"/>
Ameresco, Inc. Class A (AMRC) [ST]		\$50,001 - \$100,000	None		<input type="checkbox"/>
Apollo Endosurgery, Inc. (APEN) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Bank of America checking account [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
BlackLine, Inc. (BL) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
CareDx, Inc. (CDNA) [ST]		\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Carnival Corporation (CCL) [ST]		\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Castlight Health, Inc. Class B (CSLT) [ST]		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Celcuity Inc. (CELC) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Chembio Diagnostics, Inc. (CEMI) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
CNFinance Holdings Limited American Depository Shares, each representing twenty (CNF) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
CREF-Bond Market [PE]		\$15,001 - \$50,000	None		<input type="checkbox"/>
CREF-Social Choice [PE]		\$50,001 - \$100,000	None		<input type="checkbox"/>
CREF-Stock [PE]		\$100,001 - \$250,000	None		<input type="checkbox"/>
Enterprise Products Partners L.P. (EPD) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Equinix, Inc. (EQIX) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Everbridge, Inc. (EVBG) [ST]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Five9, Inc. (FIVN) [ST]		\$50,001 - \$100,000	None		<input type="checkbox"/>
Flexion Therapeutics, Inc. (FLXN) [ST]		\$50,001 - \$100,000	None		<input type="checkbox"/>
General Finance Corporation (GFN) [ST]		\$50,001 - \$100,000	None		<input type="checkbox"/>
General Finance Corporation - Cumulative Redeemable Perpetual Preferred Series C (GFNCP) [ST]		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Instructure, Inc. (INST) [ST]		\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
iRobot Corporation (IRBT) [ST]		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
iShares 20+ Year Treasury Bond ETF (TLT) [ST]		\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Kellogg Company (K) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Lumber Liquidators Holdings, Inc (LL) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Macerich Company (MAC) [ST]		\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
MicroStrategy Incorporated - Class A (MSTR) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
MiMedx Group, Inc (MDXG) [ST]		\$15,001 - \$50,000	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Navigator Holdings Ltd. Ordinary Shares (NVGS) [ST]		\$50,001 - \$100,000	None		<input type="checkbox"/>
New Relic, Inc. (NEWR) [ST]		\$50,001 - \$100,000	None		<input type="checkbox"/>
Norwegian Cruise Line Holdings Ltd. Ordinary Shares (NCLH) [ST]		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Onto Innovation Inc. (ONTO) [ST]		\$50,001 - \$100,000	None		<input type="checkbox"/>
Pacira BioSciences, Inc. (PCRX) [ST]		\$50,001 - \$100,000	None		<input type="checkbox"/>
Pan Orient Energy Corp Ordinary Shares (POEFF) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Peloton Interactive, Inc. (PTON) [ST]		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Power Solutions International, Inc. (PSIX) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Profound Medical Corporation (stock) [OT]		\$15,001 - \$50,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Stock ticker is PRFMF (not recognized by this system)					
Red Hat, Inc. (RHT) [ST]		\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
SecureWorks Corp. - Class A (SCWX) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Suncor Energy Inc. (SU) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Tabula Rasa HealthCare, Inc. (TRHC) [ST]		\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Talend S.A. - American Depository Shares (TLND) [ST]		\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Tanger Factory Outlet Centers, Inc. (SKT) [ST]		\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Tesla, Inc. (TSLA) [ST]		\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
TIAA-CREF Pension Plan [PE]		\$100,001 - \$250,000	None		<input type="checkbox"/>
TIAA-Real Estate [PE]		\$15,001 - \$50,000	None		<input type="checkbox"/>
WPX Energy, Inc. (WPX) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Yext, Inc. (YEXT) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Amber Road, Inc. (AMBR) [ST]		05/13/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
CareDx, Inc. (CDNA) [ST]		06/17/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
CareDx, Inc. (CDNA) [ST]		03/7/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
CareDx, Inc. (CDNA) [ST]		06/13/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
CareDx, Inc. (CDNA) [ST]		03/28/2019	P	\$1,001 - \$15,000	
Carnival Corporation (CCL) [ST]		01/31/2019	P	\$15,001 - \$50,000	
Carnival Corporation (CCL) [ST]		02/4/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Castlight Health, Inc. Class B (CSLT) [ST]		11/25/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
Dave & Buster's Entertainment, Inc. (PLAY) [ST]		08/7/2019	P	\$15,001 - \$50,000	
Dave & Buster's Entertainment, Inc. (PLAY) [ST]		08/9/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Domo, Inc. - Class B (DOMO) [ST]		03/4/2019	P	\$50,001 - \$100,000	
Domo, Inc. - Class B (DOMO) [ST]		03/28/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
Equinix, Inc. (EQIX) [ST]		07/26/2019	P	\$1,001 - \$15,000	
Equinix, Inc. (EQIX) [ST]		07/30/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Everbridge, Inc. (EVBG) [ST]		06/13/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Federal Realty Investment Trust (FRT) [ST]		10/25/2019	P	\$15,001 - \$50,000	
Federal Realty Investment Trust (FRT) [ST]		10/29/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
Instructure, Inc. (INST) [ST]		07/31/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
iRobot Corporation (IRBT) [ST]		01/30/2019	P	\$15,001 - \$50,000	
iRobot Corporation (IRBT) [ST]		09/25/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
iShares 20+ Year Treasury Bond ETF (TLT) [ST]		03/20/2019	P	\$15,001 - \$50,000	
iShares 20+ Year Treasury Bond ETF (TLT) [ST]		03/22/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Kellogg Company (K) [ST]		11/26/2019	P	\$15,001 - \$50,000	
Kellogg Company (K) [ST]		11/29/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
Lumber Liquidators Holdings, Inc (LL) [ST]		12/16/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Macerich Company (MAC) [ST]		05/29/2019	P	\$1,001 - \$15,000	
Macerich Company (MAC) [ST]		05/31/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
MicroStrategy Incorporated - Class A (MSTR) [ST]		08/14/2019	P	\$1,001 - \$15,000	
MicroStrategy Incorporated - Class A (MSTR) [ST]		08/16/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
MiMedx Group, Inc (MDXG) [ST]		05/21/2019	P	\$1,001 - \$15,000	
MiMedx Group, Inc (MDXG) [ST]		06/3/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Norwegian Cruise Line Holdings Ltd. Ordinary Shares (NCLH) [ST]		01/31/2019	P	\$1,001 - \$15,000	
Norwegian Cruise Line Holdings Ltd. Ordinary Shares (NCLH) [ST]		02/4/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Peloton Interactive, Inc. (PTON) [ST]		11/19/2019	P	\$15,001 - \$50,000	
Peloton Interactive, Inc. (PTON) [ST]		11/21/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
Pool Corporation (POOL) [ST]		10/15/2019	P	\$15,001 - \$50,000	
Pool Corporation (POOL) [ST]		10/19/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
Post Holdings, Inc. (POST) [ST]		10/25/2019	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Post Holdings, Inc. (POST) [ST]		10/29/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
Red Hat, Inc. (RHT) [ST]		01/2/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Regency Centers Corporation (REG) [ST]		11/26/2019	P	\$15,001 - \$50,000	
Regency Centers Corporation (REG) [ST]		11/29/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
Restaurant Brands International Inc. Common Shares (QSR) [ST]		10/2/2019	P	\$15,001 - \$50,000	
Restaurant Brands International Inc. Common Shares (QSR) [ST]		10/30/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
Simon Property Group, Inc. (SPG) [ST]		01/18/2019	P	\$1,001 - \$15,000	
Simon Property Group, Inc. (SPG) [ST]		01/23/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Tabula Rasa HealthCare, Inc. (TRHC) [ST]		11/8/2019	P	\$1,001 - \$15,000	
Tabula Rasa HealthCare, Inc. (TRHC) [ST]		11/13/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Talend S.A. - American Depository Shares (TLND) [ST]		02/15/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Tanger Factory Outlet Centers, Inc. (SKT) [ST]		05/31/2019	P	\$15,001 - \$50,000	
Tanger Factory Outlet Centers, Inc. (SKT) [ST]		06/4/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Tesla, Inc. (TSLA) [ST]		05/3/2019	P	\$15,001 - \$50,000	
Tesla, Inc. (TSLA) [ST]		05/7/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Viomi Technology Co., Ltd - American Depository Shares (VIOT) [ST]		03/29/2009	P	\$1,001 - \$15,000	
Viomi Technology Co., Ltd - American Depository Shares (VIOT) [ST]		04/22/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Yext, Inc. (YEXT) [ST]		03/19/2019	P	\$15,001 - \$50,000	
Yext, Inc. (YEXT) [ST]		12/13/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Zuora, Inc. Class A (ZUO) [ST]		12/13/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Zuora, Inc. Class A (ZUO) [ST]		03/22/2019	P	\$1,001 - \$15,000	
Zuora, Inc. Class A (ZUO) [ST]		12/13/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

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SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
Mr. Cooper Mortgage		November 2019	home mortgage	\$100,001 - \$250,000
Gagnon Securities		2018	Anika Therapeudics, short position, open	\$15,001 - \$50,000
Gagnon Securities		2019	Colgate Palmolive, short position, open	\$15,001 - \$50,000
Gagnon Securities		2019	Federal Realty InvestTR, short position, open	\$15,001 - \$50,000
Gagnon Securities		2019	General Mills, short position, open	\$15,001 - \$50,000
Gagnon Securities		2019	Hormel Foods, short position, open	\$15,001 - \$50,000
Gagnon Securities		2019	Kellogg Company, short position, open	\$15,001 - \$50,000
Gagnon Securities		2019	Pepsico, short sale, open	\$15,001 - \$50,000
Gagnon Securities		2018	Post Hldngs, short position, open	\$15,001 - \$50,000
Gagnon Securities		2018	Regency Centers, short position, open	\$15,001 - \$50,000
Gagnon Securities		2018	Simon Pty Group, short position, open	\$15,001 - \$50,000
Gagnon Securities		2019	Smucker, short position, open	\$15,001 - \$50,000
Gagnon Securities		2019	Tapestry, short position, open	\$15,001 - \$50,000

Owner Creditor	Date Incurred	Type	Amount of Liability
Gagnon Securities	2019	8X8 Inc, short position, open	\$15,001 - \$50,000
Gagnon Securities	2019	Bellgring Brands, short position, closed	\$15,001 - \$50,000
Gagnon Securities	2018	Peloton, short sale, closed	\$15,001 - \$50,000
Gagnon Securities	2019	Pool Corp, short position, closed	\$15,001 - \$50,000
Gagnon Securities	2019	Restaurant Brands Intl, short position closed	\$15,001 - \$50,000
Gagnon Securities	2018	Dave & Busters, short position, closed	\$15,001 - \$50,000
Gagnon Securities	2019	MIMEDX Group, short position, closed	\$10,000 - \$15,000
Gagnon Securities	2018	Tanger Factory Outlet, short position, closed	\$15,001 - \$50,000
Gagnon Securities	2018	Macerich, short position, closed	\$10,000 - \$15,000
Gagnon Securities	2019	Tesla, short position, closed	\$15,001 - \$50,000
Gagnon Securities	2019	Viomi Technology, short position, closed	\$10,000 - \$15,000
Gagnon Securities	2018	Domo Inc, short position, closed	\$50,001 - \$100,000
Gagnon Securities	2018	Carnival Corp, short position, closed	\$15,001 - \$50,000
Gagnon Securities	2018	Taubman Centers, short position, closed	\$10,000 - \$15,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Tom Malinowski , 08/13/2020