



Filing ID #10062631

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B81 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Ruben Gallego
Status: Member
State/District: AZ03

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2021
Filing Date: 08/13/2024

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
401k Riester Public Relations ⇒ American Funds AMCAP Fund R6 (RAFGX) [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
401k Riester Public Relations ⇒ American Funds New Perspective R6 Fund (RNPGX) [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
401k Riester Public Relations ⇒ Vanguard Mid-Cap Index Fund Admiral Shares (VIMAX) [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Aspiration Checking Account [BA]		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
Aspiration Fund Adviser LLC [PS] DESCRIPTION: Investment Advisory Services; Location: Marina Del Rey, CA.		\$15,001 - \$50,000	None		<input type="checkbox"/>
Aspiration Redwood Fund [MF]		\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000	<input type="checkbox"/>
Chase Bank Checking Account [BA]	SP	\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
IRA Club ⇒		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Cash [IH]					<input type="checkbox"/>
National Association of Realtors 401K ⇒ T Rowe Price Retirement 2055 Fund (TRRNX) [PE]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
National Association of Realtors Pension Plan [DB]	SP	Undetermined	None		<input type="checkbox"/>
PAC Common Founders Stock Series A [PS]		None	None		<input type="checkbox"/>
DESCRIPTION: Business went defunct in 2021 and no longer exists.					
Rental of Real Property [RP]	JT	\$500,001 - \$1,000,000	Rent	\$1,001 - \$2,500	<input type="checkbox"/>
LOCATION: Phoenix, AZ, US DESCRIPTION: Member rented his primary residence for two weeks for \$1842.00.					
Strategies 360 401K ⇒ Vanguard Target Retirement 2045 Fund (VTIVX) [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Entirety of Strategies 360 401k was rolled-over into IRA Club IRA					
UBS Simple IRA ⇒ JP Morgan Smart Retirement Fund 2055 (JFFCX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
United Services Auto Association Checking Account [BA]		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
401k Riester Public Relations ⇒ AMCAP Fund, Class R-6 Shares (RAFGX) [MF]		02/17/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
401k Riester Public Relations ⇒ American Funds New Perspective R6 Fund (RNPGX) [MF]		02/17/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
401k Riester Public Relations ⇒ Vanguard Mid-Cap Index Fund Admiral Shares (VIMAX) [MF]		02/17/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
City of Phoenix 401A ⇒ Vanguard Institutional Index Fund Institutional Plus Shares [MF]		02/12/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Strategies 360 401K ⇒ Vanguard Target Retirement 2045 Fund (VTIVX) [MF]		02/17/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>

* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
National Association of Realtors	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	USAA	June 2021	Personal Loan	\$15,001 - \$50,000
	American Express	June 2021	Revolving Credit Account	\$15,001 - \$50,000
	Citicard	June 2021	Revolving Credit Account	\$10,000 - \$15,000
JT	United Wholesale Mortgage	September 2021	Home Mortgage	\$500,001 - \$1,000,000
COMMENTS: Personal Residence was refinanced in 2021 with new lender United Wholesale Mortgage.				

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2008	Myself and City of Phoenix	Pension that will provide benefit upon retirement
March 2019	Spouse and National Association of Realtors	Pension that will provide benefit upon retirement.

SCHEDULE G: GIFTS

Source	Description	Value
Spouse's Mother and Step-Father (Fullerton, CA, US)	Cash Wedding Gift	\$10,000.00

Spouse's Father (Wildwood, FL, US)	Cash Wedding Gift	\$5,000.00
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SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
US-Qatar Business Council	03/29/2021	04/3/2021	Phoenix, AZ - Doha, Qatar - Phoenix, AZ	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
National Association of Realtors	07/9/2021	07/11/2021	Washington DC - Greenbriar WV - Washington DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B INVESTMENT VEHICLE DETAILS

<ul style="list-style-type: none">401k Riester Public Relations DESCRIPTION: 401K from my work at Riester Public RelationsCity of Phoenix 401A DESCRIPTION: 401A account from my employment at the City of Phoenix.IRA ClubNational Association of Realtors 401K (Owner: SP)Strategies 360 401K DESCRIPTION: 401k from my work at Strategies 360.UBS Simple IRA (Owner: SP)
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Ruben Gallego , 08/13/2024