

UNITED STATES HOUSE OF REPRESENTATIVES 2019 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2020 AUG 14 11:08 AM
MC

Name: Bill Flores Daytime Telephone: 202-225-6101

WARNING: Filing a false statement against any individual who files more than 30 days late.

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>TX</u> District: <u>12</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____	Staff Filer Type: (If Applicable) <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant
REPORT TYPE	<input checked="" type="checkbox"/> 2019 Annual (Due: May 15, 2020)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____	

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$380 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$300 in value from a single source during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: W. J. Flores Page 3 of 32

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g. Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S/M, or R	
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
	\$100,001-\$250,000																																			
	\$250,001-\$500,000																																			
	\$500,001-\$1,000,000																																			
	\$1,000,001-\$5,000,000																																			
	\$5,000,001-\$25,000,000																																			
	\$25,000,001-\$50,000,000																																			
	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
	None																																			
	\$1-\$200																																			
	\$201-\$1,000																																			
	\$1,001-\$2,500																																			
	\$2,501-\$5,000																																			
	\$5,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
	\$100,001-\$1,000,000																																			
	\$1,000,001-\$5,000,000																																			
	Over \$5,000,000																																			
	Spouse/DC Asset with income over \$1,000,000*																																			
	Fidelity Investments IRA (See Exhibit A and Notes 1 & 2)																																			PS (Ann)
	Fidelity Employee Thrift Savings Plan (See Exhibit C)																																			PS (Ann)
	Stations Opportunity Fund (See Note B and Exhibit A)																																			PS (Ann)
	Paramount Life Insurance Policies																																			

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Use additional sheets if more space is required.

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Use additional sheets if more space is required.

SCHEDULE B - TRANSACTIONS

Name:

18:11 Fibres

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.		Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
SP, DC, JT	Asset	Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
	Example Mega Corp. Stock			X		X	3/9/19		X									
	Equity/Hedge Fund Purchases		X															
	Sales/Distributions		X															
	(See Exhibit A and note 1)																	
	Equity/Hedge Fund Purchases		X															
	Sales/Distributions		X															
	(See Exhibit A and note 1)																	
	Equity/Hedge Fund Purchases		X															
	Sales/Distributions		X															
	(See Exhibit A and note 1)																	
	Equity/Hedge Fund Purchases		X															
	Sales/Distributions		X															
	(See Exhibit A and note 1)																	
	Equity/Hedge Fund Purchases		X															
	Sales/Distributions		X															
	(See Exhibit A and note 1)																	
	Equity/Hedge Fund Purchases		X															
	Sales/Distributions		X															
	(See Exhibit A and note 1)																	

SCHEDULE B - TRANSACTIONS

Name:

3/11/2015

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent child, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please check "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gain" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date	Amount of Transaction										
		Purchase	Sale	Partial Sale	Exchange			Amount or Quantity, or Date, if applicable	A	B	C	D	E	F	G	H	I	J
	Example Mega Corp. Stock			X		X	2/8/15			X								
	TDH Partners LLC	--								X								
	Loch Coles	X								X								
	Astronauts		X							X								
	(See Exhibit A and Note 4)																	
	TDH Partners II LLC	--								X								
	Loch Coles	X								X								
	Astronauts		X							X								
	(See Exhibit A and Note 4)																	
	TDH MID Associates Fund LLC	--								X								
	Astronauts		X							X								
	(See Exhibit A and Note 5)																	
	TDH Bridge LLC	--								X								
	Astronauts		X							X								
	(See Exhibit A and Note 5)																	

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INCOME LIMITS and PROHIBITED INCOME: The 2019 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$28,440. The 2020 limit is \$28,840. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

[illegible]

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Name of Organization

Use additional sheets if more space is required.

SCHEDULE D - LIABILITIES

Name:

Bill Flores

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP DC JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001- \$15,000	\$15,001- \$50,000	\$50,001- \$100,000	\$100,001- \$250,000	\$250,001- \$500,000	\$500,001- \$1,000,000	\$1,000,001- \$5,000,000	\$5,000,001- \$25,000,000	\$25,000,001- \$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	6/19	Mortgage on Rental Property, Dover, DE				X							
	Chase Bank	2019	Secured 7		X									
	Citi Bank	2019	" "		X									
	Capital One	2019	" "											
	Federal Reserve	6/16	TSF Loan											

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations), and positions solely of an honorary nature.

Position	Name of Organization
Trustee	Houston Baptist University (Non-Compensated)
Director	Director Enterprise Research Center (Non-Compensated)
Director	Congressional Hispanic Leadership Institute (Non-Compensated)

SCHEDULE F - AGREEMENTS

Name:

B. H. Flores

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
	<i>None</i>	

SCHEDULE G - GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$380 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual (which may not include a registered lobbyist or foreign agent), local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$158 or less need not be added towards the \$380 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

Source		Description	Value
Example:	Mr. Joseph Smith, Arlington, VA	Silver Platter (prior determination of personal friendship received from the Committee on Ethics)	\$400
<i>None</i>			

SCHEDULE H - TRAVEL PAYMENTS and REIMBURSEMENTS

Name: Bill Flores

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

Source	Date(s)	City or Destination-City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
Example: Government of China (MECSA)	Aug. 6-11	DC-Beijing, China-DC	Y	Y	N
Hotel for Humanity (family fundraiser)	Mar. 3-4	DC-Boston-DC	Y	Y	Y
National Committee on U.S.-China Relations	03/15/2019-03/24/2019	Houston - Hong Kong - Beijing - Houston	Y	Y	Y
U.S. Association of Former Members of Congress (FMC) at The Astor Society South Korea	07/13/2019-07/14/2019	Houston - Seoul - Busan - Seoul - Houston	Y	Y	Y
The AIPOV Society and Denmark	08/04/2019-08/08/2019	The Franklin Center for Global Policy Exchange - Houston - Alameda - Houston	Y	Y	Y
Republic of China (Taiwan) Taiwan (MECSA Trip)	11/28/2015-11/30/2015	Washington, DC - Taipei - Houston	Y	Y	N

Name: Bill Flores

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FILER NOTES
(Optional)

Name: Bill Fones

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NOTE NUMBER	NOTES
1	<p>These accounts are managed by Highbeam Advisors pursuant to an asset allocation model that is periodically updated. All investment decisions are independently executed by Highbeam to achieve the objectives set forth in the asset allocation model. Highbeam reports the transactions periodically to the owners. The custodian of all accounts is Fidelity Investments.</p> <p>2 Highbeam Advisors assumed the operations of Seibert Investment Advisors in 2018</p> <p>3 Seibert's Opportunity Fund is a private equity fund which has matured and is being liquidated.</p> <p>4 TPI Partners LP and TPI Partners II LP are private equity funds in which Seibert and passive investors.</p>

FILER NOTES
(Optional)

Name:

Bill Evans

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NOTE NUMBER	NOTES
5	All All Associates Funded and All All Associates are private equity funds held in the Highway Fidelity Trust Accounts
6	Montgomery Fulton County, but real estate investment.
7	All credit card balances are paid in full each month as their negative sum. The balances shown represent the highest intra-month amounts outstanding at any time during 2015.

Account	Symbol	Description	12/31/2018 Value	Additional/ Purchases	Withdrawals/ Sales	Net Contribution	Change in Value of Securities Held	Change in Value of Securities Held	Income/ Expenses	12/31/2019 Value
WIA	WIA	Walgreen Boots Alliance Inc Com	-	23,155.77	(11,903.16)	11,252.61	(1,769.97)	758.30	80.06	10,778.00
WOC	WOC	Western Digital Corp	-	15,618.03	(17,520.86)	(1,904.82)	1,598.42	-	905.50	-
ACN	ACN	Accenture PLC A	10,152.72	-	(11,056.75)	(11,959.75)	1,907.02	-	-	21,014.48
JAZZ	JAZZ	Jazz Pharmaceuticals PLC	-	16,987.23	-	16,987.18	-	2,062.05	-	-
LYB	LYB	LyondellBasell Industries NV	10,145.52	5,278.41	(707.50)	4,668.51	-	2,051.25	707.50	17,573.28
			807,664.29	1,382,896.50	(1,453,808.49)	159,000.00	57,989.39	50,587.50	6,697.51	546,722.87
	Total									

Exhibit A (2x4)

Account	Symbol	Description	12/31/2018 Value	Purchases	Withdrawals / Sales	Net Contribution	Change in Value of Securities Sold	Change in Value of Securities Held	Income / Expense	12/31/2018 Value
CASH	CASH	Cash	-	500,028.40	(468,215.36)	3,813.04	-	-	(468.47)	245.57
FUND	Fidelity Treasury DMAC	Fidelity Treasury DMAC	53,801.33	415,768.51	(468,911.29)	(15,144.78)	-	-	(468.53)	-
FUND	Fidelity Cash Reserves	Fidelity Cash Reserves	-	103,128.88	(95,095.62)	8,033.26	-	-	-	8,033.26
EFAX	Eaton Vance Floating-Rate Advantage I	Eaton Vance Floating-Rate Advantage I	21,117.69	-	(21,353.43)	(22,351.43)	649.77	-	585.97	-
UCON	Fires Trust TCM Unconstrained Pk Bid ETF	Fires Trust TCM Unconstrained Pk Bid ETF	-	85,095.62	-	85,095.62	-	124.01	-	85,219.63
MINT	Fidelity Enhanced Short Maturity Active ETF	Fidelity Enhanced Short Maturity Active ETF	-	50,346.11	(51,073.35)	(727.24)	102.74	-	724.50	-
SCFX	Shuttleman Short Duration High Income Inst	Shuttleman Short Duration High Income Inst	-	245,195.00	(201,170.08)	44,024.92	833.80	245.05	4,200.08	49,303.85
Total			74,719.02	1,404,458.97	(1,389,616.15)	72,659.84	1,596.58	969.06	5,989.55	157,659.76

Exhibit A (3x4)

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EXHIBIT A (4x4)

Account	Symbol	Description	12/31/2018 Value	Additions/ Purchases	Withdrawals/Sales	Net Contribution	Change in Value of Securities Sold	Change in Value of Securities Held	Income / Expenses	12/31/2019 Value
ROBERT ACCOUNTS TOTAL	CASH	CASH	-	446,986.23	(441,083.24)	5,902.99	-	-	(3,072.29)	-
	FDLUX	Fidelity Treasury DMIC	2,174.20	447,119.20	(441,850.15)	4,269.05	-	-	(6,482.25)	-
	FDNCK	Fidelity Cash Reserves	-	185,421.78	(184,210.00)	5,211.78	-	-	-	5,211.78
	SCFV	Shuttleman Short Duration High Income Inv	-	185,010.00	(185,185.00)	(175.00)	175.00	-	-	-
	PEGLX	Pdcm Growth Institutional	-	90,010.00	-	90,010.00	-	300.60	-	90,310.60
	NALPO0000	Sabers MLP Fund, LP	643,675.89	-	(750,288.03)	(750,888.03)	-	126,712.15	-	79,390.00
	AMASIFLN	American Infrastructure MLP Associates Fund, LP	171,859.00	-	(85,859.00)	(85,859.00)	-	(9,510.20)	-	-
	Totals		819,909.08	1,394,556.21	(12,007,488.47)	(732,279.21)	175.00	97,502.75	(10,395.44)	179,912.86
			1,198,202.39	4,353,621.48	(4,866,650.85)	(510,639.37)	19,794.84	148,218.35	(512.20)	873,385.01

Account	Symbol	Description	11/23/2018 Value	Purchases	Withdrawals / Sales	Net Contribution	Change in Value of Securities Held	Change in Value of Investments	12/31/2019 Value
CASH	CASH	Cash	8,570.46	118,013.76	(71,598.58)	5,502.64	-	(5,502.64)	118,409.65
FIDUC	Fidelity Treasury DM	Fidelity Treasury DM	-	-	(57,240.30)	(57,240.30)	11,896.63	68,703.73	411,412.34
FIDUC	Fidelity Cash Reserves	Fidelity Cash Reserves	-	18,409.65	-	18,409.65	-	7,250.10	85,918.72
DRYX	DFA US Large Cap Value	DFA US Large Cap Value	882,887.83	-	(823,417)	(37,469.10)	1,919.58	12,545.02	104,011.67
DRYX	DFA US Small Cap	DFA US Small Cap	70,153.52	-	(723,417)	(653,264)	2,497.63	14,679.24	48,864.17
DRYX	DFA US Targeted Value	DFA US Targeted Value	84,834.80	-	(1,578.59)	(1,578.59)	682.19	4,944.83	105,744.31
DRYX	Stonex Russell Small/Mid-Cap Idx K	Stonex Russell Small/Mid-Cap Idx K	37,317.15	-	(729,236)	(691,919)	-	2,612.55	304,621.26
DRYX	DFA Emerging Markets Value	DFA Emerging Markets Value	94,952.52	-	(2,612.55)	(2,612.55)	-	11,843.84	1,081,087.02
DRYX	DFA International Value	DFA International Value	322,762.13	-	(61,333.84)	(61,333.84)	1,548.72	14,294.47	-
Total	Total	Total	1,087,238.41	146,423.41	(253,012.01)	(60,590.00)	21,494.95	9,853.19	-

Exhibit 30 x 3

Account	Symbol	Description	12/31/2018 Value	Additions/ Purchases	Withdrawals/Sales	Net Contribution	Change in Value of Securities Sold	Change in Value of Securities Held	Income / Expense	12/31/2018 Value
CASH	CASH		-	468,688.77	(468,688.77)	4,233.89	-	-	(1,883.01)	376.68
Fidelity Treasury DMFC	FIDUXX		7,827.94	242,296.47	(242,906.23)	(4,689.66)	-	-	(8,157.36)	7,280.51
Fidelity Cash Reserves	FIDCXX		-	61,568.08	(61,568.08)	7,290.51	-	-	-	81,394.50
Fidelity Asset Inc	FIDAXX		74,244.35	-	(7,397.50)	(3,597.50)	-	5,090.25	9,397.50	4,093.06
Fidelity Floating Rate Advantage I	FRTXX		104,471.88	-	(111,046.36)	(111,046.36)	2,511.42	-	4,093.06	-
Fidelity Floating Rate High Income	FRTXX		104,761.91	-	(110,418.89)	(110,418.89)	3,308.04	-	2,793.23	-
Fidelity TOW Unrestricted Pfd Bld EIT	UOON		-	129,094.20	(14,901.25)	(80,901.75)	-	(277.19)	4,394.79	127,822.01
General Electric Co	GE		73,091.00	-	(2,775.00)	(12,775.00)	1,883.75	-	2,775.00	76,888.75
General Motors Financial Co Inc	GMFIN		74,697.00	-	(3,673.00)	(9,673.00)	-	-	3,673.00	68,398.50
Howard Industries	HI		75,589.50	-	(3,673.00)	(9,673.00)	-	(164.00)	-	53,389.50
Hyundai Motor Group Inc/DE	HYMT		49,712.00	-	(91,528.00)	(51,528.00)	289.00	-	1,335.00	54,941.10
Mediac Co View Br N 4.250% 11/15/23	61845CALC7		80,298.50	-	(2,123.00)	(2,123.00)	-	5,085.00	2,123.00	128,254.50
Shutterstock Short Duration High Income Inst	SCFX		74,756.25	129,010.00	(1,589.66)	(2,652.00)	-	244.84	2,652.00	77,483.25
Wells Fargo & Co	WFC		689,406.89	1,115,828.52	(1,156,301.89)	(90,813.79)	7,942.03	29,637.75	20,695.52	689,443.68
Total			2,202,794.90	2,598,688.04	(2,747,839.89)	(251,371.79)	194,489.95	118,836.45	53,280.62	2,539,440.26

William H. Fidelity Portfolio Manager

Fidelity IRA Accounts Total

Exhibit 3 (3x3)

Thrift Savings Plan



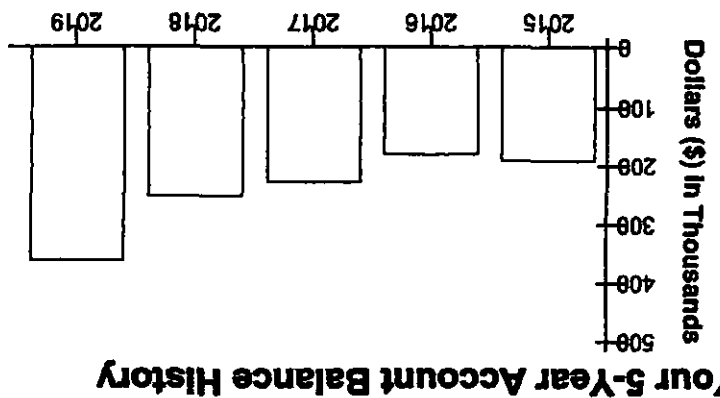
WILLIAM H. FLORES

Here's how the value of your TSP account has changed for the period 10/1/2019 - 12/31/2019.

Value on October 1, 2019	\$329,054.75
Contributions and Additions	\$8,325.95
Withdrawals and Deductions	\$0.00
Investment Gain (or Loss)	\$23,237.84
Value on December 31, 2019	\$360,618.54

How your account value changed in 2019.

Value on January 1, 2019	\$252,612.26
Change in value this year	108,006.28
Value on December 31, 2019	\$360,618.54



Will you be ready for retirement?
Your 12/31/2019 TSP account balance would provide you a lifetime monthly amount of **\$1,874**

*This estimate:
• assumes that you are age 63 (or your current age if you are older);
• uses an annuity interest rate index of 1.750%; and
• assumes that you took a single life annuity with level payments and no additional features.
Note: The above annuity estimate is not a guarantee. You can use the "Retirement Income Calculator" on the TSP's website to learn more about your available annuity options.

Your Personal Rate of Return*

Last 12 Months

23.59%

* You can find rates of return for the TSP funds on tsp.gov.

Questions?

Visit tsp.gov

Thriftline: 1-877-968-3778
Outside the U.S. and Canada: 404-233-4400
TDD: 1-877-847-4365
YouTube: [youtube.com/tsp4gov](https://www.youtube.com/tsp4gov)
Twitter: @tsp4gov
Facebook: [fb.com/tsp4gov](https://www.facebook.com/tsp4gov)
To correct any of your personal information, contact your agency.

Keep your account secure; review your account profile.
Log into My Account to take advantage of new security features.
• Quarterly Statements: Electronic
• Annual Statements: Mailed

Your 2019 Annual Statement with 4 Summary

Account Number:

Date of Birth: [REDACTED]
Retirement Coverage: FERS
Employment Status: Active
Beneficiary Named: Yes
Service Required for Full Vesting: 2 years
from 01/03/2011

WILLIAM H. FLORES

Account Number: [REDACTED]

A Summary of Your Account Activity in 2019

Beginning Balance as of January 1, 2019

\$252,612.26

Contributions

33,700.00

Transfers/Rollovers

0.00

Loan Disbursements

0.00

Loan Payments

10,495.80

In-Service Withdrawals

0.00

Investment Gain/Loss

63,810.48

Post-Separation Withdrawals

0.00

Ending Balance as of December 31, 2019

\$360,618.54

Contributions to Your Account in 2019 (Without Adjustments)

Employee Contributions

Traditional Automatic 1% Matching

\$25,000.00 \$0.00 \$1,740.00 \$6,960.00 \$0.00 \$33,700.00

Traditional Roth

\$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00

Transfers/Rollovers into the TSP

Total \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00

¹ Includes regular, catch-up, make-up, and late contributions. The traditional contribution amount includes \$6,000.00 in traditional catch-up contributions. The Roth contribution amount includes \$0.00 in Roth catch-up contributions.

Your Lifetime TSP Contributions

Traditional

\$159,984.00

Roth

\$0.00

Catch-Up²

\$51,988.00

Total

\$211,972.00

² Includes traditional and Roth balances.

Your Primary Beneficiary Information (As you designated on 03/30/2011)

Name

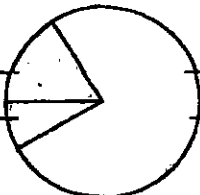
Share

The Federal Retirement Thrift Investment Board submits an annual report to Congress each year on the operations of the Thrift Savings Plan, including participant demographics and contribution information. To read the report, visit tsp.frb.gov.

Exhibit C (254)

[24]

Your Account Distribution on 12/31/2019



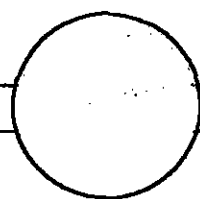
<input type="checkbox"/> Funds for Long-Term Growth	C Fund — Common Stock Index	27%
	S Fund — Small Capitalization Stock	25%
	I Fund — International Stock Index	24%

<input type="checkbox"/> Funds for Stability	G Fund — Government Securities	0%
	Fund — Fixed Income Index	8%

<input type="checkbox"/> Funds for Diversified Growth and Stability	L 2050 Fund	0%
	L 2040 Fund	0%
	L 2030 Fund	0%
	L 2020 Fund	0%
	L Income Fund	16%

Exhibit 2 (QXV)

Your Investment Allocation for Future Contributions



<input type="checkbox"/> Funds for Long-Term Growth	C Fund — Common Stock Index	0%
	S Fund — Small Capitalization Stock	0%
	I Fund — International Stock Index	0%

<input type="checkbox"/> Funds for Stability	G Fund — Government Securities	0%
	Fund — Fixed Income Index	0%

<input type="checkbox"/> Funds for Diversified Growth and Stability	L 2050 Fund	0%
	L 2040 Fund	0%
	L 2030 Fund	0%
	L 2020 Fund	0%
	L Income Fund	100%

TSP Fund Performance (As of 12/31/2019)

	Individual TSP Funds					Lifecycle (Target Date) Funds				
	G	F	C	S	I	L	L	L	L	L
1-Year	2.24%	8.68%	31.45%	27.97%	22.47%	23.33%	20.69%	17.60%	9.38%	7.60%
3-Year	2.49%	4.16%	15.25%	11.14%	9.97%	11.26%	10.26%	9.10%	6.19%	4.79%
5-Year	2.27%	3.25%	11.71%	9.17%	6.20%	8.49%	7.82%	7.04%	5.06%	3.96%
Yr	4.62%									

Your personal rates of return are calculated with a time-weighted formula, widely used by financial analysts to calculate investment earnings. They reflect the results of your investment selections as well as activity in your account. There are other personal rates of return formulas used that may yield different results. Remember that past performance is no guarantee of future results.

TSP Administrative Expenses for 2019

	G Fund	F Fund	C Fund	S Fund	I Fund	L 2050	L 2040	L 2030	L 2020	L Income
Cost Per \$1,000 in Your Account	43¢	42¢	42¢	42¢	42¢	42¢	42¢	42¢	42¢	42¢
Net Expense Ratio	.043%	.042%	.042%	.042%	.042%	.042%	.042%	.042%	.042%	.042%

Did you know that you only paid 42 cents for every \$1,000 in your TSP account in 2019?

This is an average net administrative expense of each of the 5 core TSP funds. A detailed explanation of 2019 expenses is available on tsp.gov.

Activity Summary by Fund

Fund	Beginning Balance	Contributions and Additions	Withdrawals and Deductions	Interfund Transfers	Investment Gain/Loss	Ending Balance
F Fund	\$18,546.34	\$7,171.32	\$0.00	\$0.00	\$2,131.58	\$27,849.24
C Fund	74,391.24	0.00	0.00	0.00	23,397.91	97,789.15
S Fund	71,636.91	0.00	0.00	0.00	20,034.04	91,670.95
I Fund	69,975.06	0.00	0.00	0.00	15,726.20	85,701.26
L Income Fund	18,062.71	37,024.48	0.00	0.00	2,520.75	57,607.94
Total	\$252,612.26	\$44,195.80	\$0.00	\$0.00	\$63,810.48	\$360,618.54

Share Summary by Fund

Fund	Beginning Balance	Investment Gain/Loss	Ending Balance	Beginning Shares	Price	Ending Shares
F Fund	\$18,546.34	\$2,131.58	\$27,849.24	1,022,242.4	\$19.7176	1,412,405.5
C Fund	74,391.24	23,397.91	97,789.15	35,952.3	2,069.1648	2,069.1648
S Fund	71,636.91	20,034.04	91,670.95	43,977.2	1,628.9557	1,628.9557
I Fund	69,975.06	15,726.20	85,701.26	26,713.1	2,619.5037	2,619.5037
L Income Fund	18,062.71	2,520.75	57,607.94	19,689.9	917.3591	21,186.1
Total	\$252,612.26	\$63,810.48	\$360,618.54			

Your 2019 Loan Summary

Loan Number	Loan Issue Date	Beginning Principal	Principal Repaid *	Ending Principal	Interest Paid
[REDACTED]	06/23/2016	\$26,400.02	10,092.45	\$16,307.57	403.35

* Principal repaid includes corrections to any loan disbursements or loan payments.

A MESSAGE FOR YOU

If you're thinking about moving the money in your TSP account to another provider, think twice. You're almost certain to pay higher fees if you move your money out of the TSP. Visit tsp.gov/keepingscore for a list of questions you should consider asking the provider. Then, compare the answers to the TSP.

Exhibit C (4x2)

26

December 31, 2019

SMH Nuphyscia, LLC Series C

५

Exhibit A (2x2)

SANDERS OPPORTUNITY FUND (INSTITUTIONAL), L.P.
Schedule of Investments (Continued)
December 31, 2019

Description	Shares	Cost	Estimated Fair Value	% of Total Net Assets

Software

SMH Zillman, LLC Series B
SMH Zillman, LLC Series C

30.1
8.1

Total Investment in Limited Partnership

Warrants

Investment

Life Sciences Opportunity Fund, L.P.
Life Sciences Opportunity Fund Inst'l LP
SMH Private Equity Group II, LP

Total Warrants

Total Investments in Portfolio Companies

Net Unrealized Gain (Loss) on Investments (Cost basis)

Note: Investments are in entities with primary operations in the United States unless otherwise noted.
This company is an affiliate of the Partnership.

Exhibit E

29

[REDACTED]

(1) Figures shown represent the unrecovered cost basis of each investment, excluding investment expenses, at year-end.
(2) Figures shown represent the remaining unrealized value of the investment at year-end.

→ 100% of Portfolio

Company	2019	2019	2019	2019	2019
Elm Grove	Investments	Distributions	Investments	Investments	Investments
			(Cost) ⁽¹⁾	(Fair Value) ⁽²⁾	Remaining
					Equity Line
					TPHP

Combined Schedule of Investments - As of Year End
(\$ in thousands)

TPH PARTNERS, L.P.
COMMITMENT SUMMARY & CAPITAL ACCOUNT STATEMENT
(EXPRESSED IN US DOLLARS AND UNAUDITED)

FOR THE PERIOD:
Inception to December 31, 2019

Exhibit F

Total Commitments: \$96,608,824

Account: William H. Flores		
Commitment Amount:	\$500,000	Percent Ownership:
Funded Commitment:	\$468,644	% of Capital Called:
Commitment Remaining:	\$31,356	% of Commitment Remaining:
		0.5246%
		93.7287%
		6.2713%

			Current Quarter	Year-to-Date
			Inception-to-Date	
Beginning Capital			\$34,125	\$42,975
Transfer In/Out			0	0
Capital Contributions			0	5,681
Investment Gain (Loss)			(8,399)	(8,377)
Less Expenses/Fees			(225)	(1,153)
Net Gain (Loss)			(8,624)	(9,531)
Distributions			0	(13,624)
Ending Capital			\$25,501	\$25,501

¹ Reinvestable Distributions in the amount of \$53,089 decrease Funded Commitment and increase Commitment Remaining.

Annual Report
 Combined Schedule of Investments - As of Year End 2019
 (\$ in thousands)

Company	2019 Investments ⁽¹⁾	2019 Distributions	Remaining Investments (Cost) ⁽²⁾	Unrealized Investments (Fair Value) ⁽³⁾	TPHP Equity Line Remaining
Antioch					16.9
EnWater					21.7
Elk Meadows					1.7
Elm Grove					42.8
Laurel Mountain					16.9
Principle					100.0
Total Portfolio					

(1) Figures include cash held in reserve at holding companies for future investment.
 (2) Figures shown represent the unrecovered cost basis of each investment, including cash held in reserve at holding companies for future investments and excluding investment expenses other than expenses at year-end.
 (3) Figures shown represent the remaining unrealized value of the investment at year-end.

Exhibit 1

TPH PARTNERS II, L.P.
COMMITMENT SUMMARY & CAPITAL ACCOUNT STATEMENT
(EXPRESSED IN US DOLLARS AND UNAUDITED)
FOR THE PERIOD:
Inception to December 31, 2019

Total Commitments: \$195,125,000

Account: William H. Flores		
Commitment Amount:	\$500,000	Percent Ownership:
Funded Commitment:	\$479,251	% of Capital Called:
Commitment Remaining:	\$20,749	% of Commitment Remaining:
		0.2562% 95.8502% 4.1498%

Current Quarter			Year-to-Date	Inception-to-Date
Beginning Capital	\$282,802	\$269,677	\$ 0	
Transfer In/Out	0	0	0	
Capital Contributions	0	18,611	480,560	
Investment Gain (Loss)	(114,391)	(113,348)	(105,956)	
Less Expenses/Fees	(1,868)	(7,521)	(70,181)	
Net Gain (Loss)	(116,259)	(120,869)	(176,136)	
Distributions	0	(701)	(126,234)	
Carried Interest Paid	0	(175)	(11,647)	
Ending Capital	\$166,543	\$166,543	\$166,543	
Estimated Carried Interest			7,397	
Ending Capital per U.S. GAAP			\$173,939	