



Filing ID #10048829

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Chris Pappas
Status: Member
State/District: NH01

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2021
Filing Date: 05/16/2022

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Bank of America Checking xx23 [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Bank of America Savings xx25 [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual [WU]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Pilgrim Realty [OL]		\$250,001 - \$500,000	LLC Income	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Manchester, NH, US DESCRIPTION: Minority Owner, Real Estate Holding Company for Family Business					
Puritan Confectionery Co. [OL]		\$1,000,001 - \$5,000,000	LLC Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Manchester, NH, US DESCRIPTION: Minority Owner, Family Restaurant Business					
Wells Fargo IRA ⇒ AGTHX – American Funds Growth Fund of America A [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ ASFYX – Alpha Simplex Managed Futures Strategy		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Fund Class Y [MF]					
Wells Fargo IRA ⇒ AVGRX – BNY Mellon Dynamic Total Return Fund [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA ⇒ BCGIX – Brandywine Global Corporate Credit Fund Class I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
COMMENTS: Formerly DHSTX – Diamond Hill Funds Class I					
Wells Fargo IRA ⇒ FGZMX – Fidelity Advisor New Market Income Funds Class I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA ⇒ GSFTX – Columbia Dividend Income Fund Institutional Class [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ GSITX – Goldman Sachs Small Cap Value Insights Fund Class I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA ⇒ HBLIX – Hartford Balanced Income Fund Class I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ JMIEX – JPMorgan Emerging Markets Equity Fund Class L [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA ⇒ LFMIX – Locorr Macro Strategies Fund Class I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ MDIJX – MFS International Diversification Fund Class I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ MINIX – MFS International Diversification Fund Class I [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ NEWFX – American Funds New World Fund Class A [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
ODVYX – Invesco Oppenheimer Developing Markets Fund Class Y [MF]					
Wells Fargo IRA ⇒ PBAIX – BlackRock Funds Tactical Opportunities Fund Instl Class I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA ⇒ PICMX – Virtus KAR Mid-Cap Growth Fund Class I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ PQNAX – Allianz Funds Mid Cap Value Fund A [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ SCATX – Virtus Zevenbergen Innovative Growth Stock Fund Class I [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA ⇒ SMCWX – American Funds SmallCap World Fund A [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ TQCYX – Touchstone Dividend Equity Fund Class Y [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
COMMENTS: Formerly FDSWX – AIG Focused Dividend Strategy Fund Class W					
Wells Fargo IRA ⇒ VEVIX – Victory Sycamore Established Value Fund Class I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA ⇒ YAFIX – AMG Funds Yacktman Focused Fund Class I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Wells Fargo IRA ⇒ AVGRX – BNY Mellon Dynamic Total Return Fund [MF]		07/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Wells Fargo IRA ⇒ DHSTX – Diamond Hill Funds Class I [MF]		07/16/2021	P	\$1,001 - \$15,000	
Wells Fargo IRA ⇒ FGZMX – Fidelity Advisor New Market Income Funds Class I [MF]		07/16/2021	P	\$1,001 - \$15,000	
Wells Fargo IRA ⇒ GSITX – Goldman Sachs Small Cap Value Insights Fund Class I [MF]		07/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo IRA ⇒ JMIEX – JPMorgan Emerging Markets Equity Fund Class L [MF]		07/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo IRA ⇒ PBAIX – BlackRock Funds Tactical Opportunities Fund Instl Class I [MF]		07/16/2021	P	\$1,001 - \$15,000	
Wells Fargo IRA ⇒ SCATX – Virtus Zevenbergen Innovative Growth Stock Fund Class I [MF]		07/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo IRA ⇒ TQCYX – Touchstone Dividend Equity Fund Class Y [MF]		07/19/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo IRA ⇒ VEVIX – Victory Sycamore Established Value Fund Class I [MF]		07/16/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo IRA ⇒ YAFIX – AMG Funds Yacktman Focused Fund Class I [MF]		07/16/2021	P	\$1,001 - \$15,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Puritan Confectionery Co.	September, 2019	Member Stock Purchase Loan	\$250,001 - \$500,000

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Arthur Pappas	December, 2005	Stock Purchase	\$50,001 - \$100,000
	Harbor One Mortgage	March, 2015	Personal Residence Mortgage	\$100,001 - \$250,000
	Cross Country Mortgage	July, 2021	Personal Residence Mortgage	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Member	Puritan Confectionery Co.
Member	Pilgrim Realty

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2012	Puritan Confectionery Co.	Member Buyout Agreement
January 2014	Pilgrim Realty	Member Buyout Agreement

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Wells Fargo IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Chris Pappas , 05/16/2022