



Filing ID #10035132

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. C. A. Dutch Ruppersberger
Status: Member
State/District: MD02

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2019
Filing Date: 07/22/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Bank of America [BA]	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Cash Deposits ⇒ M&T Bank [BA]		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Cash Deposits ⇒ Nationwide Fixed Account (IRA) [IH]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: RMD = required minimum distribution					
Cash Deposits ⇒ TDAM FDIC Insured Deposit [IH]		\$50,001 - \$100,000	Capital Gains	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: RMD =Required minimum distribution					
Compass 2 ⇒ Compass 2 - (now Delaware Life) (IRA) [FN]	SP	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: General Account (No specific holdings) SP RMD = Required Min. Distribution					
Compass 2 Variable Annuity ⇒ Compass 2 - (now -Delaware Life) (IRA) [FN]		\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000	<input type="checkbox"/>
DESCRIPTION: General Account (No specific holdings) RMD = required minimum distribution					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Exchange Traded Funds ⇒ ISHARES Corporate Bond (LQD) [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ ISHARES Trust 1-3YR TreasBd (SHY) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ ISHARES US Preferred Stock(PFF) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ Market Vectors (GDX) [EF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Emerg MKT (SPEM) [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Portfolio Lrg Cap (SPLG) [EF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Trust Re Est (XLRE) [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Trust S&P 500 (SPYD) [EF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Trust Sm EFT (SPSM) [EF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard Short Corp Bond (VCSH) [EF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Federated TRSRY OBLG (TOSXX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Rupp & Assoc. Inc. Timonium, Md. Collections [EQ]	SP	\$100,001 - \$250,000	Dividends	\$50,001 - \$100,000	<input type="checkbox"/>
Sandy Shores Equity Group, LLC Land [RP]	SP	\$250,001 - \$500,000	None		<input type="checkbox"/>
LOCATION: Baltimore, MD, US					
Stocks (IRA) ⇒ BlackRock Energy and Resources Trust (BGR) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Stocks (IRA) ⇒ Nuveen Quality Preferred Income Fund 2 Shares of Beneficial Interest (JPS) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Summit Community Bank [BA]	SP	\$50,001 - \$100,000	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
US Treasury Bills [GS]	None		Tax-Deferred		<input checked="" type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Cash Deposits ⇒ M&T Bank [BA]		08/24/2019	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ iShares 1-3 Year Treasury Bond ETF (SHY) [EF]		08/28/2019	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ iShares 1-3 Year Treasury Bond ETF (SHY) [EF]		08/29/2019	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ IShares Corporate Bond (LQD) ETF [EF]		08/29/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Full sale 8/29/2019					
Exchange Traded Funds ⇒ ISHARES US Preferred Stock(PFF) [EF]		04/23/2019	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ ISHARES US Preferred Stock(PFF) [EF]		06/6/2019	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ Market Vectors (GDX) [EF]		08/29/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Emerg MKT (SPEM) [EF]		01/4/2019	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ SPDR Emerg MKT (SPEM) [EF]		08/28/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Emerg MKT (SPEM) [EF]		11/6/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: Full Sale 11/06/2019					
Exchange Traded Funds ⇒ SPDR Portfolio Lrg Cap (SPLG) [EF]		01/4/2019	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ SPDR Portfolio Lrg Cap (SPLG) [EF]		03/21/2019	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ SPDR Portfolio Lrg Cap (SPLG) [EF]		08/28/2019	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ SPDR Portfolio Lrg Cap (SPLG) [EF]		12/30/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Trust Re Est (XLRE) [EF]		08/28/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Full sale 8/28/2019					
Exchange Traded Funds ⇒ SPDR Trust S&P 500 (SPYD) [EF]		01/4/2019	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ SPDR Trust S&P 500 (SPYD) [EF]		03/21/2019	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ SPDR Trust S&P 500 (SPYD) [EF]		06/4/2019	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ SPDR Trust S&P 500 (SPYD) [EF]		06/18/2019	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ SPDR Trust S&P 500 (SPYD) [EF]		08/28/2019	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ SPDR Trust S&P 500 (SPYD) [EF]		12/30/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Trust Sm EFT (SPSM) [EF]		01/4/2019	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ SPDR Trust Sm EFT (SPSM) [EF]		03/6/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Trust Sm EFT (SPSM) [EF]		03/21/2019	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Exchange Traded Funds ⇒ SPDR Trust Sm EFT (SPSM) [EF]		04/23/2019	P	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Trust Sm EFT (SPSM) [EF]		06/12/2019	P	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Trust Sm EFT (SPSM) [EF]		08/29/2019	P	\$1,001 - \$15,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Trust Sm EFT (SPSM) [EF]		10/28/2019	P	\$1,001 - \$15,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Trust Sm EFT (SPSM) [EF]		12/30/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard Short Corp Bond (VCSH) [EF]		03/21/2019	P	\$15,001 - \$50,000	<input type="checkbox"/>
Federated TRSRY OBLG (TOSXX) [GS]		05/21/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Federated TRSRY OBLG (TOSXX) [GS]		06/4/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Federated TRSRY OBLG (TOSXX) [GS]		06/12/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Federated TRSRY OBLG (TOSXX) [GS]		06/18/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Federated TRSRY OBLG (TOSXX) [GS]		08/28/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Federated TRSRY OBLG (TOSXX) [GS]		10/28/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
US Treasury Bills [GS]		01/31/2019	S	\$50,001 - \$100,000	<input type="checkbox"/>

DESCRIPTION: Full sale 1/31/19

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Baltimore County, Maryland	Retirement Pension	\$93,073.00
Maryland State Teachers	Retirement Pension	\$5,972.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Provident Funding	July, 2012	Mortgage on Primary Residence	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	U. of Md. Medical Systems Shock Trauma
Board Member	United States Naval Academy

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
August 1975	Baltimore Co. Employment Retirement System	Balto. Co. Pension & Deferred Compensation Plan
August 1988	Maryland State Retirement Agency	Md. State Retirement & Pension System

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Source	Trip Details				Inclusions		
	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Aspen Institute	03/15/2019	03/19/2019	Baltimore, Md. - San Diego, California - Baltimore, Md.	1	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Cash Deposits
- Compass 2 (Owner: SP)
- Compass 2 Variable Annuity
- Exchange Traded Funds
- Stocks (IRA)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. C. A. Dutch Ruppersberger , 07/22/2020