



Filing ID #10037697

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Barbara Lee  
**Status:** Member  
**State/District:** CA13

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2019  
**Filing Date:** 08/13/2020

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
1919 Socially Responsive Balanced Fund Class [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Access Capital Community Investment Fund (I)(ACCSX) [MF]		\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ SA American Funds VCP Managed Allocation [OT] DESCRIPTION: Variable Annuity-Fund closed in 2019 and remaining funds deposited into IRA.		None	None	<input type="checkbox"/>
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ SA PIMCO VCP Tactical Balanced Portfolio [OT] DESCRIPTION: Variable Annuity-Fund closed in 2019 and remaining funds deposited into IRA.		None	None	<input type="checkbox"/>
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ SA T. Rowe Price VCP Balanced [OT] DESCRIPTION: Variable Annuity--Fund closed in 2019 and remaining funds transferred to IRA.		None	None	<input type="checkbox"/>
Book contract with Rowman & Littlefield Publishers, Inc. (Approved by Committee) [OT] DESCRIPTION: Book contract		Undetermined	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Brown Advisory Sustainable Growth Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Calvert Global Energy Solutions Fund (I) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Calvert Global Water Fund Class (I) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Calvert Green Bond Fund (I) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab Checking and Money Market Accounts [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Congressional Federal Credit Union (Formerly Wright Patman Congressional Credit Union) Checking and [BA]		\$15,001 - \$50,000	None		<input type="checkbox"/>
CRA Qualified Investment Institutional Class (CRANX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
East West Bank Accounts, Oakland, CA [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Green Century Balanced Fund (GCBLX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Green Century Equity Fund (GCEQX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Healthcare Trust of America, Inc. Class A (HTA) [ST]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
Parnassus Endeavor Fund (PARWX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Parnassus Fund (PARNX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
PAX World Global Green Institutional Class (PGINX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Portfolio 21 Institutional Fund (PORIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Praxis Impact Bond Fund Class I (MIHIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Shelton FDS Green Alpha Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Wells Fargo Bank Checking Account [BA]		\$1 - \$1,000	None	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
1919 Socially Responsive Balanced Fund Class [MF]		02/1/2019	P	\$1,001 - \$15,000	
Access Capital Community Investment Fund (I)(ACCSX) [MF]		02/1/2019	P	\$15,001 - \$50,000	
Brown Advisory Sustainable Growth Fund [MF]		02/1/2019	P	\$1,001 - \$15,000	
Calvert Global Energy Solutions Fund (I) [MF]		02/1/2019	P	\$1,001 - \$15,000	
Calvert Global Water Fund Class (I) [MF]		02/1/2019	P	\$1,001 - \$15,000	
Calvert Green Bond Bond (I) [MF]		02/1/2019	P	\$15,001 - \$50,000	
CRA Qualified Investment Institutional Class (CRANX) [MF]		02/1/2019	P	\$15,001 - \$50,000	
Green Century Balanced Fund (GCB LX) [MF]		02/1/2019	P	\$1,001 - \$15,000	
Green Century Equity Fund (GCEQX) [MF]		02/1/2019	P	\$1,001 - \$15,000	
Parnassus Endeavor Fund (PARWX) [MF]		02/1/2019	P	\$1,001 - \$15,000	
Parnassus Fund (PARNX) [MF]		02/1/2019	P	\$1,001 - \$15,000	
PAX World Global Green Institutional Class (PGINX) [MF]		02/1/2019	P	\$1,001 - \$15,000	
Portfolio 21 Institutional Fund (PORIX) [MF]		02/1/2019	P	\$1,001 - \$15,000	
Praxis Impact Bond Fund Class I (MIIX) [MF]		02/1/2019	P	\$1,001 - \$15,000	
Shelton FDS Green Alpha Fund [MF]		02/1/2019	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Credit Union Mortgage Association	January 2011	Mortgage on DC Condo Residence	\$250,001 - \$500,000
	Wells Fargo Bank	July 2012	Mortgage on Palm Desert, CA Condo Residence	\$100,001 - \$250,000
	American Express	December 2019	Credit Card	\$10,000 - \$15,000
	Congressional Federal Credit Union	December 2019	Loan and Line of Credit	\$15,001 - \$50,000

## SCHEDULE E: POSITIONS

Position	Name of Organization
Member, Congressional Advisory Council	The Faith and Politics Institute (Nonprofit Public Benefit Corporation)
Board Member	WILL/WAND (Nonprofit Public Benefit Corporation)
Board Member	Project Vote Smart (Nonprofit Public Benefit Corporation)
Trustee	Ghana Children's Fund (a project of the East Bay Community Foundation)
Board Member	ProgressiveCaucus.org (Nonprofit Public Benefit Corporation)
Member, Honorary Board of Advisors	National Student Leadership Conference(Nonprofit Public Benefit Corporation)
Member, Congressional Advisory Board	The Humpty Dumpty Institute (Nonprofit Public Benefit Corporation)
Member, Advisory Council	Dellums Institute (Nonprofit Public Benefit Corporation)
Member, Advisory Board	Grand Lakes Gardens Senior Living Community
Member, Advisory Board	Swords to Plowshares (Nonprofit Public Benefit Corporation)
Member, Congressional Council	Women's Information Network (WIN)/(Nonprofit Public Benefit Corporation)
Trustee	American Baptist Seminary of the West

Position	Name of Organization
Board Member	Stiles Hall (Nonprofit Public Benefit Corporation)

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
John F. Kennedy Library Foundation	11/5/2019	11/6/2019	Washington, DC - Boston, MA - New York City, NY	1	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
COMMENTS: Flight to NYC on 11/6/2020 was at the Member's personal expense.							
United Nations Foundation	12/1/2019	12/2/2019	Washington, D.C. - New York, NY - Washington, D.C.	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Congressional Black Caucus Institute	08/8/2020	08/9/2020	San Francisco - Tunica - El Paso	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

o AIG Polaris Choice IV Variable Annuity (formerly SunAmerica)
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Barbara Lee , 08/13/2020