



Filing ID #10037546

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Chris Pappas  
**Status:** Member  
**State/District:** NH01

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2019  
**Filing Date:** 08/13/2020

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Bank of America Checking xx23 [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Bank of America Savings x25 [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual [WU]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Pilgrim Realty [OL]		\$250,001 - \$500,000	LLC Income	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Manchester, NH, US DESCRIPTION: Minority Owner					
Puritan Confectionery Co. [OL]		\$1,000,001 - \$5,000,000	LLC Income	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Manchester, NH, US DESCRIPTION: Minority Owner					
Wells Fargo IRA ⇒ AGTHX - American Funds Growth Fund of America A [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
APGYX - AB Large Cap Growth Fund Advisor Class A [MF]					
Wells Fargo IRA ⇒ AQMIX - AQR Managed Futures Strategy Fund Class I [MF]		None	Tax-Deferred	<input checked="" type="checkbox"/>	
Wells Fargo IRA ⇒ ASFYX - AlphaSimplex Managed Futures Strategy Fund Class Y [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
Wells Fargo IRA ⇒ AVGRX - BNY Mellon Dynamic Total Return Fund Class I [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
Wells Fargo IRA ⇒ BRLVX - American Beacon Bridgeway Large Cap Value Fund R5 Class [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
Wells Fargo IRA ⇒ CBLAX - Columbia Balanced Fund Class A [MF]		None	Tax-Deferred	<input checked="" type="checkbox"/>	
Wells Fargo IRA ⇒ FDSWX - AIG Focused Dividend Strategy Fund Class W [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
Wells Fargo IRA ⇒ FGZMX - Fidelity Advisor New Market Income Funds Class I [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
Wells Fargo IRA ⇒ GSITX - Goldman Sachs Small Cap Value Insights Fund Class I [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
Wells Fargo IRA ⇒ HASCX - Harbor Small Cap Value Fund Institutional Class [MF]		None	Tax-Deferred	<input checked="" type="checkbox"/>	
Wells Fargo IRA ⇒ HBLIX - Hartford Balanced Income Fund Class I [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
Wells Fargo IRA ⇒ HIEMX - Virtus Vontobel Emerging Markets Opportunities Fund Class I [MF]		None	Tax-Deferred	<input checked="" type="checkbox"/>	
Wells Fargo IRA ⇒ JMIECX - JPMorgan Emerging Markets Equity Fund		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Class L [MF]					
Wells Fargo IRA ⇒ LFMIX - Locorr Macro Strategies Fund Class I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ LPTIX - EnTrust Global Alternative Income Strategy [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA ⇒ MINIX - MFS International Diversification Fund Class I [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ NEWFX - American Funds New World Fund Class A [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ OAYIX - Oakmark International Fund Class A [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ ODVYX - Invesco Oppenheimer Developing Markets Fund Class Y [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ PCBIX - Principal Funds Mid Cap Fund Class I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ PGDIX - Principal Funds Mid Cap Fund Class I [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA ⇒ PQNAX - Allianz Funds Mid Cap Value Fund A [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ SHOYX - American Beacon SiM High Yield Opportunities Fund Y Class [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ SMCWX - American Funds SmallCap World Fund A [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ VEVIX - Victory Sycamore Established Value Fund Class I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Wells Fargo IRA ⇒ AQMIX - AQR Managed Futures Strategy Fund Class I [MF]		11/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo IRA ⇒ AVGRX - BNY Mellon Dynamic Total Return Fund Class I [MF]		05/8/2019	P	\$1,001 - \$15,000	
Wells Fargo IRA ⇒ BRLVX - American Beacon Funds Bridgeway Large Cap Value Fund Class I [MF]		05/8/2019	P	\$1,001 - \$15,000	
Wells Fargo IRA ⇒ CBLAX - Columbia Balanced Fund Class A [MF]		05/8/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo IRA ⇒ GSITX - Goldman Sachs Small Cap Value Insights Fund Class I [MF]		11/29/2019	P	\$1,001 - \$15,000	
Wells Fargo IRA ⇒ HASCX - Harbor Small Cap Value Fund Institutional Class [MF]		11/27/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo IRA ⇒ HBLIX - Hartford Balanced Income Fund Class I [MF]		05/8/2019	P	\$1,001 - \$15,000	
Wells Fargo IRA ⇒ HIEMX - Virtus Vontobel Emerging Markets Opportunities Fund Class I [MF]		11/27/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo IRA ⇒ JMIEEX - JPMorgan Emerging Markets Equity Fund Class L [MF]		11/27/2019	P	\$1,001 - \$15,000	
Wells Fargo IRA ⇒ LFMIX - LoCorr Macro Strategies Fund Class I [MF]		11/27/2019	P	\$1,001 - \$15,000	
Wells Fargo IRA ⇒ LPTIX - EnTrust Global Alternative Income Strategy [MF]		05/8/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo IRA ⇒ PGDIX - Principal Funds Mid Cap Fund Class I [MF]		05/8/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Wells Fargo IRA ⇒ PGDIX - Principal Funds Mid Cap Fund Class I [MF]		02/27/2019	P	\$1,001 - \$15,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

#### SCHEDULE C: EARNED INCOME

<b>Source</b>	<b>Type</b>	<b>Amount</b>
Puritan Confectionery Co.	Salary	\$10,752.72
State of New Hampshire	Salary	\$1,307.68

#### SCHEDULE D: LIABILITIES

<b>Owner</b>	<b>Creditor</b>	<b>Date Incurred</b>	<b>Type</b>	<b>Amount of Liability</b>
Charles Stergiou		January, 2015	Stock Purchase	\$250,001 - \$500,000
Arthur Pappas		December, 2005	Stock Purchase	\$100,001 - \$250,000
Puritan Confectionery Co.		September, 2019	Member Stock Purchase Loan	\$250,001 - \$500,000

#### SCHEDULE E: POSITIONS

<b>Position</b>	<b>Name of Organization</b>
Member	Puritan Confectionery Co.
Member	Pilgrim Realty

#### SCHEDULE F: AGREEMENTS

<b>Date</b>	<b>Parties To</b>	<b>Terms of Agreement</b>
January 2012	Puritan Confectionery Co.	Member Buyout Agreement
January 2014	Pilgrim Realty	Member Buyout Agreement

#### SCHEDULE G: GIFTS

None disclosed.

**SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

Source	Trip Details				Inclusions		
	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
American Israel Education Foundation	08/4/2019	08/12/2019	Boston, MA - Tel Aviv, Israel - Boston, MA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

**SCHEDULE A AND B ASSET CLASS DETAILS**

- Wells Fargo IRA

**EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

**CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Chris Pappas , 08/13/2020