



Filing ID #10046988

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. David Trone  
**Status:** Member  
**State/District:** MDo6

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2021  
**Filing Date:** 05/16/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
A.M. Trust ⇒ Fidelity Government Money Market [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Arizona Fine Wine and Spirits LLC [OL]  LOCATION: Tuscon, AZ, US DESCRIPTION: alcoholic beverage retailer; Arizona		\$50,001 - \$100,000	Partnership Income	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
Brad Thomas, Inc. (Hanover, PA) [DO]  DESCRIPTION: Loan Receivable COMMENTS: Paid off in full		None	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
California Fine Wine & Spirits LLC [OL]  LOCATION: San Jose, CA, US DESCRIPTION: alcoholic beverage retailer; California COMMENTS: Zero value based on end-of-year book value of non-publicly traded company.		None	Partnership Income	Over \$5,000,000	<input type="checkbox"/>
Cherry Hill Wine & Spirits, Inc. [OL]  LOCATION: Cherry Hill, NJ, US DESCRIPTION: alcoholic beverage retailer; New Jersey		\$1,000,001 - \$5,000,000	S Corporation Income	Over \$5,000,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Colorado Fine Wines & Spirits, LLC [OL]  LOCATION: Denver, CO, US DESCRIPTION: underlying investment in H & N Enterprise, Inc.		\$1,000,001 - \$5,000,000	S Corporation Income	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
Connecticut Fine Wine and Spirits, LLC [OL]  LOCATION: Norwalk, CT, US DESCRIPTION: alcoholic beverage retailer; Connecticut		\$1,000,001 - \$5,000,000	Partnership Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
DRT Wine & Spirits, LLC [OL]  LOCATION: Bloomington, MN, US DESCRIPTION: alcoholic beverage retailer; Minnesota COMMENTS: Zero value based on end-of-year book value of non-publicly traded company		None	Partnership Income	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
DT Georgia Holdings Inc. [OL]  LOCATION: Bethesda, MD, US DESCRIPTION: underlying investment in DT Georgia Fine Wines & Spirits, LLC		\$250,001 - \$500,000	Partnership Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
DT Virginia Fine Wines, LLC [OL]  LOCATION: Gainseville, VA, US DESCRIPTION: alcoholic beverage retailer; Virginia		\$100,001 - \$250,000	Partnership Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
Fidelity 401(K) ⇒ FID 500 Index [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Fidelity 401(K) ⇒ Fidelity Small Cap Indx [MF]		\$500,001 - \$1,000,000	Tax-Deferred		<input type="checkbox"/>
Fine Wines & Spirits of North Texas, LLC [OL]  LOCATION: Dallas, TX, US DESCRIPTION: Zero value based on end-of-year book value of non-publicly traded company		None	Guaranty Fee Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
Fine Wines & Spirits of North Texas, LLC [OL]  LOCATION: Dallas, TX, US DESCRIPTION: alcoholic beverage retailer; Texas		\$1,000,001 - \$5,000,000	Partnership Income	Over \$5,000,000	<input type="checkbox"/>
Florida Fine Wine and Spirits, LLC [OL]  LOCATION: Tampa, FL, US DESCRIPTION: alcoholic beverage retailer; Florida		\$1,000,001 - \$5,000,000	Partnership Income	\$1,000,001 - \$5,000,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Focused International Growth Fund ⇒ US Large Cap Equity [HE]		\$500,001 - \$1,000,000	Dividends, Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
COMMENTS: Publicly available investment fund. Investors do not have the ability to exercise control over the the financial interests held by the fund.					
GFWS Holdings, Inc. [OL]		\$100,001 - \$250,000	Partnership Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Bethesda, MD, US DESCRIPTION: alcoholic beverage retailer; Florida					
GFWS Holdings, Inc. [OL]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
LOCATION: Bethesda, MD, US DESCRIPTION: underlying loan receivable from Retail Services & Systems, Inc.					
H. Alhadeff (Seattle, WA) [DO]		\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Loan Receivable					
Indiana Fine Wine & Spirits, LLC [OL]		\$15,001 - \$50,000	Partnership Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Indianapolis, IN, US DESCRIPTION: alcoholic beverage retailer; Indiana					
Insurance Trust 1 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	\$500,001 - \$1,000,000	None		<input type="checkbox"/>
Insurance Trust 1 ⇒ Apple Inc. (AAPL) [ST]	SP	\$1,000,001 - \$5,000,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Insurance Trust 1 ⇒ Cash [OT]	SP	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Cash					
Insurance Trust 1 ⇒ Eaton Corporation, PLC Ordinary Shares (ETN) [ST]	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Insurance Trust 1 ⇒ ISHARES SEMICONDUCTOR ETF [EF]	SP	\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Insurance Trust 1 ⇒ JPM Growth Advantage FD - CL I (JGAS X) [MF]	SP	\$250,001 - \$500,000	Capital Gains	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Insurance Trust 1 ⇒	SP	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
JPM Managed Incom Fd (JMGI) [MF]					
Insurance Trust 1 ⇒ JPM Strat Inc Opp Fd (JSOS) [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Insurance Trust 1 ⇒ Mass Mutual, Whole Life Insurance Policy [WU]	SP	\$250,001 - \$500,000	None		<input type="checkbox"/>
COMMENTS: Reflects cash surrender value rather than value of the policy due upon death					
Insurance Trust 1 ⇒ Pepsico, Inc. (PEP) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Insurance Trust 2 ⇒ Mass Mutual, Whole Life Insurance Policy [WU]	SP	\$500,001 - \$1,000,000	None		<input type="checkbox"/>
COMMENTS: Reflects cash surrender value rather than value of the policy due upon death					
JP Morgan Investment Account ⇒ Citigroup Inc. Variable Rate Note [OT]	JT	None	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: Fixed Income					
JP Morgan Investment Account ⇒ Citigroup Inc. Variable Rate Note [OT]	JT	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Fixed Income					
JP Morgan Investment Account ⇒ Citigroup Inc. Variable Rate Note [OT]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Fixed Income					
JP Morgan Investment Account ⇒ GOLDMAN SACHS GROUP INC [OT]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Fixed Income					
JP Morgan Investment Account ⇒ JP Morgan Chase & Company Variable Rate Note [OT]	JT	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Fixed Income					
JP Morgan Investment Account ⇒ JP Morgan Chase & Company Variable Rate Note [OT]	JT	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Fixed Income					
JP Morgan Investment Account ⇒ JPM SH Duration Core Plus [MF]	JT	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
JP Morgan Investment Account ⇒ JPM TR I Tax Free Reserve Sweep FD [OT]  DESCRIPTION: Cash	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
JP Morgan Investment Account ⇒ Morgan Stanley Variable Rate Note [OT]  DESCRIPTION: Fixed Income COMMENTS: Inadvertently characterized in 2020 Financial Disclosure as "Stock" in "Asset Type" rather than "Other" despite being described as "Fixed Income" in the description box. Fixed accordingly in this report.	JT	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
JP Morgan Investment Account ⇒ Wells Fargo & Company Variable Rate Note [OT]  DESCRIPTION: Fixed Income	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
JP Morgan Investment Account 2 ⇒ AMER FNDS T/E BND FND AM-F3 [MF]	JT	None	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
JP Morgan Investment Account 2 ⇒ CASH [OT]  DESCRIPTION: CASH	JT	\$250,001 - \$500,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
JP Morgan Investment Account 2 ⇒ FIDELITY INTL INDX-INST PRM [MF]	JT	\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
JP Morgan Investment Account 2 ⇒ ISHARES 3-7 YEAR TREASURY BO [EF]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
JP Morgan Investment Account 2 ⇒ ISHARES 7-10 YEAR TREASURY B [EF]	JT	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
JP Morgan Investment Account 2 ⇒ ISHARES CORE MSCI EAFE ETF [EF]	JT	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
JP Morgan Investment Account 2 ⇒ ISHARES CORE MSCI EMERGING [EF]	JT	None	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
JP Morgan Investment Account 2 ⇒ ISHARES S&P 500 GROWTH ETF [EF]	JT	\$500,001 - \$1,000,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
JP Morgan Investment Account 2 ⇒ ISHARES S&P 500 VALUE ETF [EF]	JT	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
JP Morgan Investment Account 2 ⇒ ISHARES SHORT TREASURY BOND [EF]	JT	\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
JP Morgan Investment Account 2 ⇒ JPM BTABLDERS DEV ASIA X-JPN [EF]	JT	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
JP Morgan Investment Account 2 ⇒ JPMORGAN BETABUILDERS CANADA (BBCA) [EF]	JT	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
JP Morgan Investment Account 2 ⇒ JPMORGAN BETABUILDERS EUROPE ETF (BBEU) [EF]	JT	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
JP Morgan Investment Account 2 ⇒ JPMORGAN BETABUILDERS JAPAN ETF (BBJP) [EF]	JT	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
JP Morgan Investment Account 2 ⇒ PGIM HIGH YIELD-Q [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
JP Morgan Investment Account 2 ⇒ PIMCO HIGH YIELD FUND-INST [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
JP Morgan Investment Account 2 ⇒ SCHWAB SHORT-TERM US TREAS [MF]	JT	\$500,001 - \$1,000,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
JP Morgan Investment Account 2 ⇒ SIX CIRCLES CREDIT OPPORT [MF]	JT	\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
JP Morgan Investment Account 2 ⇒ SIX CIRCLES INTL UNCON EQ [MF]	JT	\$1,000,001 - \$5,000,000	Capital Gains, Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
JP Morgan Investment Account 2 ⇒ SIX CIRCLES TAX AWARE BND [MF]	JT	\$1,000,001 - \$5,000,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
JP Morgan Investment Account 2 ⇒ SIX CIRCLES US UNCONSTR EQ (CUSU) [MF]	JT	\$1,000,001 - \$5,000,000	Capital Gains, Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
JP Morgan Investment Account 2 ⇒ SPDR S&P 500 ETF TRUST (SPY) [EF]	JT	None	Capital Gains, Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
JP Morgan Investment Account 2 ⇒ VANGUARD I/T TAX EXMPT-ADM (VWIU) [MF]	JT	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
JP Morgan Parametric EAFE ⇒ EAFE Equity [HE]		\$1,000,001 - \$5,000,000	Dividends, Interest	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
COMMENTS: Publicly available investment fund. Investors do not have the ability to exercise control over the the financial interests held by the fund.					
JP Morgan Parametric Investment Account ⇒ Cash [HE]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
COMMENTS: Publicly available investment fund. Investors do not have the ability to exercise control over the the financial interests held by the fund.					
JP Morgan Parametric Investment Account ⇒ Parametric US Large Cap Equity [HE]	JT	\$5,000,001 - \$25,000,000	Capital Gains, Dividends, Interest	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
DESCRIPTION: .					
COMMENTS: Publicly available investment fund. Investors do not have the ability to exercise control over the the financial interests held by the fund					
JP Morgan Revocable Trust 1 ⇒ JPM STRAT INC OPP FD [MF]	SP	None	Dividends, Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
JP Morgan Revocable Trust 1 ⇒ JPM ULTRA-SHRT MUNI FD [MF]	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
JP Morgan Revocable Trust 3 ⇒ Cash [OT]	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Cash					
JP Morgan Revocable Trust 3 ⇒ Fidelity 500 Index-Inst PRM [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
JP Morgan Revocable Trust 3 ⇒ Fidelity Intl INDX-INST PRM [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
JP Morgan Revocable Trust 3 ⇒ Financial Select Sector SPDR [EF]	SP	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
JP Morgan Revocable Trust 3 ⇒ Health Care Select Sector [EF]	SP	None	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
JP Morgan Revocable Trust 3 ⇒ Industrial Select Sect SPDR [EF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
JP Morgan Revocable Trust 3 ⇒ Investco S&P 500 Equal Weight [EF]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
JP Morgan Revocable Trust 3 ⇒ iShares Core MSCI Emerging [EF]	SP	None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
JP Morgan Revocable Trust 3 ⇒ iShares Russell 100 Growth [EF]	SP	\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
JP Morgan Revocable Trust 3 ⇒ iShares Russell 1000 Value [EF]	SP	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
JP Morgan Revocable Trust 3 ⇒ iShares S&P 500 Growth ETF [EF]	SP	\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
JP Morgan Revocable Trust 3 ⇒ iShares S&P 500 Value ETF [EF]	SP	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
JP Morgan Revocable Trust 3 ⇒ JP Morgan BetaBuilders Canada [EF]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
JP Morgan Revocable Trust 3 ⇒ JP Morgan BetaBuilders Europe ETF [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
JP Morgan Revocable Trust 3 ⇒ JP Morgan BetaBuilders Japan ETF [EF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends, Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
JP Morgan Revocable Trust 3 ⇒ JPM BTABLDRS Dev Asia X-JPN [EF]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
JP Morgan Revocable Trust 3 ⇒ Six Circles MGD EQ INTL UNCON [MF]	SP	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
JP Morgan Revocable Trust 3 ⇒ Six Circles MGD EQ US UNCON [MF]	SP	\$500,001 - \$1,000,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
JP Morgan Revocable Trust 3 ⇒ Vanguard Info Tech ETF [MF]	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
JPM Roth IRA ⇒ ARK FINTECH INNOVATION ETF [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
JPM Roth IRA ⇒ ARK INNOVATION ETF [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
JPM Roth IRA ⇒ CASH [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
JPM Roth IRA ⇒ DAVIS APP & INCOME FUND-A [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>



Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
JPM Roth IRA ⇒ DAVIS NEW YORK VENTURE-A [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
JPM Roth IRA ⇒ ISHARES EXPANDED TECH-SOFTWA [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
JPM Roth IRA ⇒ JOHN HANCOCK LIFE STYLE GRWTH [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
JPM Roth IRA ⇒ JPM GRWTH ADVANTAGE FD [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
JPM Roth IRA ⇒ SPDR S&P BIOTECH ETF [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
JPM Roth IRA ⇒ VANGUARD GROWTH ETF [EF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
JPM Roth IRA ⇒ VANGUARD VALUE ETF [EF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
JPM Roth IRA 2 ⇒ ARK GENOMIC REVOLUTION ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
JPM Roth IRA 2 ⇒ ARK NEXT GENERATION INTERNET ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
JPM Roth IRA 2 ⇒ Cash [IH]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
JPM Roth IRA 2 ⇒ DAVIS APP & INCOME FUND-A [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
JPM Roth IRA 2 ⇒ DAVIS NEW YORK VENTURE-A [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
JPM Roth IRA 2 ⇒ ISHARES SEMICONDUCTOR ETF [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
JPM Roth IRA 2 ⇒ JOHN HANCOCK LIFE STYLE GRWTH [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
JPM Roth IRA 2 ⇒	SP	\$100,001 -	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
VANGUARD TOTAL STOCK MKT ETF [EF]		\$250,000			<input type="checkbox"/>
Kentucky Fine Wines & Spirits, LLC [OL]		None	Partnership Income	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
LOCATION: Lexington, KY, US DESCRIPTION: alcoholic beverage retailer; Kentucky COMMENTS: Zero value based on end-of-year book value of non-publicly traded company					
Loomis Sayles Growth Fund ⇒ EAFE Equity [HE]		\$500,001 - \$1,000,000	Dividends, Interest	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
COMMENTS: Publicly available investment fund. Investors do not have the ability to exercise control over the the financial interests held by the fund.					
Massachusetts Fine Wines and Spirits, LLC [OL]		\$1,000,001 - \$5,000,000	Partnership Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Natick, MA, US DESCRIPTION: alcoholic beverage retailer; Massachusetts					
Minnesota Fine Wine & Spirits, LLC [OL]		\$1,000,001 - \$5,000,000	Partnership Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Roseville, MN, US DESCRIPTION: alcoholic beverage retailer; Minnesota					
Nevada Fine Wine & Spirits LLC [OL]		\$500,001 - \$1,000,000	Partnership Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Las Vegas, NV, US DESCRIPTION: alcoholic beverage retailer; Nevada					
New York Fine Wines & Spirits, LLC [OL]		\$5,000,001 - \$25,000,000	Proprietorship Income	Over \$5,000,000	<input type="checkbox"/>
LOCATION: Westbury, NY, US DESCRIPTION: alcoholic beverage retailer; New York					
PNC Bank Accounts ⇒ PNC Bank Accounts [BA]	JT	\$500,001 - \$1,000,000	Interest	\$1 - \$200	<input type="checkbox"/>
PNC Roth IRA ⇒ PNC Roth IRA [IH]		None	Tax-Deferred		<input type="checkbox"/>
COMMENTS: Former Roth IRA					
PNC Roth IRA 2 ⇒ PNC Roth IRA (2) [IH]	SP	None	Tax-Deferred		<input type="checkbox"/>
COMMENTS: Former Roth IRA					
PNC Roth IRA CD Accounts ⇒	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
PNC Roth IRA [IH]					
Retail Services & Systems, Inc. [OL]		\$1,000,001 - \$5,000,000	S Corporation Income	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
LOCATION: Bethesda, MD, US DESCRIPTION: alcoholic beverage retailer; Maryland]					
Taste of New York Beer and Grocery LLC [OL]		\$250,001 - \$500,000	None		<input type="checkbox"/>
LOCATION: Westbury, NY, US DESCRIPTION: beer and grocery retailer; New York					
TFWS, Inc [OL]		\$1,000,001 - \$5,000,000	S Corporation Income	Over \$5,000,000	<input type="checkbox"/>
LOCATION: Towson, MD, US DESCRIPTION: .alcoholic beverage retailer; Maryland					
Washington Fine Wine and Spirits, LLC [OL]		\$100,001 - \$250,000	Partnership Income	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
LOCATION: Bellevue, WA, US DESCRIPTION: alcoholic beverage retailer; Washington					
Wisconsin Fine Wines & Spirits, LLC [OL]		\$100,001 - \$250,000	Partnership Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Brookfield, WI, US DESCRIPTION: alcoholic beverage retailer; Wisconsin					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Focused International Growth Fund ⇒ US Large Cap Equity [HE]		04/12/2021	P	\$500,001 - \$1,000,000	
Focused International Growth Fund ⇒ US Large Cap Equity [HE]		04/15/2021	P	\$100,001 - \$250,000	
Insurance Trust 1 ⇒ ISHARES SEMICONDUCTOR ETF [EF]	SP	03/12/2021	P	\$100,001 - \$250,000	
Insurance Trust 1 ⇒	SP	01/20/2021	P	\$100,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
JPM Growth Advantage FD - CL I (JGAS X) [MF]				\$250,000	
Insurance Trust 1 ⇒ JPM Managed Incom Fd (JMGI) [MF]	SP	03/12/2021	S	\$100,001 - \$250,000	<input type="checkbox"/>
Insurance Trust 1 ⇒ JPM Strat Inc Opp Fd (JSOS) [MF]	SP	03/12/2021	P	\$15,001 - \$50,000	
Insurance Trust 1 ⇒ JPM Strat Inc Opp Fd (JSOS) [MF]	SP	09/14/2021	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Insurance Trust 1 ⇒ JPM Strat Inc Opp Fd (JSOS) [MF]	SP	09/21/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Insurance Trust 2 ⇒ FIDELITY INTL INDX-INST PRM [MF]	SP	03/5/2021	P	\$15,001 - \$50,000	
JP Morgan Investment Account ⇒ Citigroup Inc. Variable Rate Note [OT] LOCATION: US DESCRIPTION: Variable Rate Note	JT	01/21/2021	P	\$100,001 - \$250,000	
JP Morgan Investment Account ⇒ GOLDMAN SACHS GROUP INC [OT] LOCATION: US DESCRIPTION: Variable Rate Note	JT	01/21/2021	P	\$100,001 - \$250,000	
JP Morgan Investment Account ⇒ JPM SH Duration Core Plus [MF]	JT	01/14/2021	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
JP Morgan Investment Account ⇒ JPM SH Duration Core Plus [MF]	JT	01/21/2021	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
JP Morgan Investment Account 2 ⇒ AMER FNDS T/E BND FND AM-F3 [MF]	JT	05/24/2021	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
JP Morgan Investment Account 2 ⇒ FIDELITY INTL INDX-INST PRM [MF]	JT	03/12/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ FIDELITY INTL INDX-INST PRM [MF]	JT	05/24/2021	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
JP Morgan Investment Account 2 ⇒	JT	01/22/2021	P	\$50,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
ISHARES 7-10 YEAR TREASURY B [EF]				\$100,000	
JP Morgan Investment Account 2 ⇒ ISHARES CORE MSCI EMERGING [EF]	JT	07/15/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ ISHARES CORE MSCI EMERGING [EF]	JT	07/8/2021	P	\$15,001 - \$50,000	
JP Morgan Investment Account 2 ⇒ ISHARES CORE MSCI EMERGING [EF]	JT	07/1/2021	P	\$15,001 - \$50,000	
JP Morgan Investment Account 2 ⇒ ISHARES CORE MSCI EMERGING [EF]	JT	06/17/2021	P	\$15,001 - \$50,000	
JP Morgan Investment Account 2 ⇒ ISHARES CORE MSCI EMERGING [EF]	JT	04/12/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ ISHARES CORE MSCI EMERGING [EF]	JT	03/5/2021	P	\$15,001 - \$50,000	
JP Morgan Investment Account 2 ⇒ ISHARES CORE MSCI EMERGING [EF]	JT	12/14/2021	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
JP Morgan Investment Account 2 ⇒ ISHARES SHORT TREASURY BOND [EF]	JT	06/17/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ JPM BTABLDRS DEV ASIA X-JPN [EF]	JT	06/17/2021	P	\$15,001 - \$50,000	
JP Morgan Investment Account 2 ⇒ JPM BTABLDRS DEV ASIA X-JPN [EF]	JT	05/4/2021	P	\$15,001 - \$50,000	
JP Morgan Investment Account 2 ⇒ JPM BTABLDRS DEV ASIA X-JPN [EF]	JT	05/4/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ JPM BTABLDRS DEV ASIA X-JPN [EF]	JT	03/12/2021	P	\$15,001 - \$50,000	
JP Morgan Investment Account 2 ⇒ JPM BTABLDRS DEV ASIA X-JPN [EF]	JT	01/28/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ JPM BTABLDRS DEV ASIA X-JPN [EF]	JT	01/22/2021	P	\$50,001 - \$100,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
JP Morgan Investment Account 2 ⇒ JPM BTABLDERS DEV ASIA X-JPN [EF]	JT	01/22/2021	P	\$15,001 - \$50,000	
JP Morgan Investment Account 2 ⇒ JPM BTABLDERS DEV ASIA X-JPN [EF]	JT	07/1/2021	P	\$15,001 - \$50,000	
JP Morgan Investment Account 2 ⇒ JPMORGAN BETABUILDERS CANADA [EF]	JT	07/22/2021	P	\$100,001 - \$250,000	
JP Morgan Investment Account 2 ⇒ JPMORGAN BETABUILDERS CANADA [EF]	JT	07/8/2021	P	\$15,001 - \$50,000	
JP Morgan Investment Account 2 ⇒ JPMORGAN BETABUILDERS CANADA [EF]	JT	07/1/2021	P	\$15,001 - \$50,000	
JP Morgan Investment Account 2 ⇒ JPMORGAN BETABUILDERS CANADA [EF]	JT	06/24/2021	P	\$15,001 - \$50,000	
JP Morgan Investment Account 2 ⇒ JPMORGAN BETABUILDERS CANADA [EF]	JT	06/17/2021	P	\$15,001 - \$50,000	
JP Morgan Investment Account 2 ⇒ JPMORGAN BETABUILDERS CANADA [EF]	JT	03/5/2021	P	\$15,001 - \$50,000	
JP Morgan Investment Account 2 ⇒ JPMORGAN BETABUILDERS CANADA [EF]	JT	01/28/2021	P	\$15,001 - \$50,000	
JP Morgan Investment Account 2 ⇒ JPMORGAN BETABUILDERS EUROPE ETF [EF]	JT	06/17/2021	P	\$15,001 - \$50,000	
JP Morgan Investment Account 2 ⇒ JPMORGAN BETABUILDERS EUROPE ETF [EF]	JT	05/21/2021	P	\$250,001 - \$500,000	
JP Morgan Investment Account 2 ⇒ JPMORGAN BETABUILDERS EUROPE ETF [EF]	JT	05/4/2021	P	\$100,001 - \$250,000	
JP Morgan Investment Account 2 ⇒ JPMORGAN BETABUILDERS EUROPE ETF [EF]	JT	03/5/2021	P	\$15,001 - \$50,000	
JP Morgan Investment Account 2 ⇒ JPMORGAN BETABUILDERS JAPAN ETF [EF]	JT	07/8/2021	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
JP Morgan Investment Account 2 ⇒ JPMORGAN BETABUILDERS JAPAN ETF [EF]	JT	07/1/2021	P	\$15,001 - \$50,000	
JP Morgan Investment Account 2 ⇒ JPMORGAN BETABUILDERS JAPAN ETF [EF]	JT	06/17/2021	P	\$15,001 - \$50,000	
JP Morgan Investment Account 2 ⇒ JPMORGAN BETABUILDERS JAPAN ETF [EF]	JT	03/12/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ JPMORGAN BETABUILDERS JAPAN ETF [EF]	JT	03/5/2021	P	\$15,001 - \$50,000	
JP Morgan Investment Account 2 ⇒ JPMORGAN BETABUILDERS JAPAN ETF [EF]	JT	01/28/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ JPMORGAN BETABUILDERS JAPAN ETF [EF]	JT	01/22/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ JPMORGAN BETABUILDERS JAPAN ETF [EF]	JT	01/22/2021	P	\$15,001 - \$50,000	
JP Morgan Investment Account 2 ⇒ PGIM HIGH YIELD-Q [MF]	JT	05/21/2021	S (partial)	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
JP Morgan Investment Account 2 ⇒ PIMCO HIGH YIELD FUND-INST [MF]	JT	05/21/2021	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
JP Morgan Investment Account 2 ⇒ SCHWAB SHORT-TERM US TREAS [MF]	JT	12/16/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ SCHWAB SHORT-TERM US TREAS [MF]	JT	12/1/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ SCHWAB SHORT-TERM US TREAS [MF]	JT	07/22/2021	P	\$100,001 - \$250,000	
JP Morgan Investment Account 2 ⇒ SCHWAB SHORT-TERM US TREAS [MF]	JT	07/15/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ SCHWAB SHORT-TERM US TREAS [MF]	JT	07/8/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒	JT	07/1/2021	P	\$50,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SCHWAB SHORT-TERM US TREAS [MF]				\$100,000	
JP Morgan Investment Account 2 ⇒ SCHWAB SHORT-TERM US TREAS [MF]	JT	06/24/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ SCHWAB SHORT-TERM US TREAS [MF]	JT	05/21/2021	P	\$100,001 - \$250,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES CREDIT OPPORT [MF]	JT	03/12/2021	P	\$100,001 - \$250,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES CREDIT OPPORT [MF]	JT	02/25/2021	P	\$100,001 - \$250,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES INTL UNCON EQ [MF]	JT	12/14/2021	P	\$250,001 - \$500,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES INTL UNCON EQ [MF]	JT	07/8/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES INTL UNCON EQ [MF]	JT	07/1/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES INTL UNCON EQ [MF]	JT	06/24/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES INTL UNCON EQ [MF]	JT	05/4/2021	P	\$100,001 - \$250,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES INTL UNCON EQ [MF]	JT	04/1/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES INTL UNCON EQ [MF]	JT	03/25/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES INTL UNCON EQ [MF]	JT	03/12/2021	P	\$15,001 - \$50,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES INTL UNCON EQ [MF]	JT	03/5/2021	P	\$15,001 - \$50,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES INTL UNCON EQ [MF]	JT	02/18/2021	P	\$50,001 - \$100,000	



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
JP Morgan Investment Account 2 ⇒ SIX CIRCLES TAX AWARE BND [MF]	JT	07/15/2021	P	\$100,001 - \$250,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES TAX AWARE BND [MF]	JT	07/8/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES TAX AWARE BND [MF]	JT	07/1/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES TAX AWARE BND [MF]	JT	06/24/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES TAX AWARE BND [MF]	JT	06/17/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES TAX AWARE BND [MF]	JT	04/12/2021	P	\$500,001 - \$1,000,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES TAX AWARE BND [MF]	JT	03/25/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES TAX AWARE BND [MF]	JT	01/14/2021	P	\$100,001 - \$250,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES TAX AWARE BND [MF]	JT	11/30/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES US UNCONSTR EQ [MF]	JT	01/14/2021	P	\$100,001 - \$250,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES US UNCONSTR EQ [MF]	JT	02/18/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES US UNCONSTR EQ [MF]	JT	01/14/2021	P	\$100,001 - \$250,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES US UNCONSTR EQ [MF]	JT	03/5/2021	P	\$100,001 - \$250,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES US UNCONSTR EQ [MF]	JT	03/12/2021	P	\$100,001 - \$250,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
JP Morgan Investment Account 2 ⇒ SIX CIRCLES US UNCONSTR EQ [MF]	JT	03/25/2012	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES US UNCONSTR EQ [MF]	JT	04/1/2021	P	\$100,001 - \$250,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES US UNCONSTR EQ [MF]	JT	05/4/2021	P	\$100,001 - \$250,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES US UNCONSTR EQ [MF]	JT	06/17/2021	P	\$100,001 - \$250,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES US UNCONSTR EQ [MF]	JT	06/24/2021	P	\$100,001 - \$250,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES US UNCONSTR EQ [MF]	JT	07/8/2021	P	\$100,001 - \$250,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES US UNCONSTR EQ [MF]	JT	07/22/2021	P	\$100,001 - \$250,000	
JP Morgan Investment Account 2 ⇒ SIX CIRCLES US UNCONSTR EQ [MF]	JT	12/28/2021	P	\$250,001 - \$500,000	
JP Morgan Investment Account 2 ⇒ SPDR S&P 500 ETF TRUST [EF]	JT	03/16/2021	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
JP Morgan Investment Account 2 ⇒ VANGUARD I/T TAX EXMPT [MF]	JT	06/17/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ VANGUARD I/T TAX EXMPT [MF]	JT	06/24/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ VANGUARD I/T TAX EXMPT [MF]	JT	07/1/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ VANGUARD I/T TAX EXMPT [MF]	JT	07/8/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒ VANGUARD I/T TAX EXMPT [MF]	JT	11/30/2021	P	\$50,001 - \$100,000	
JP Morgan Investment Account 2 ⇒	JT	03/16/2021	P	\$100,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
VANGUARD S&P 500 ETF [EF]				\$250,000	
JP Morgan Investment Account 2 ⇒ VANGUARD S&P 500 ETF [EF]	JT	03/23/2021	S	\$100,001 - \$250,000	<input type="checkbox"/>
COMMENTS: Transfer to another JPM-managed account					
JP Morgan Parametric EAFE ⇒ EAFE Equity [HE]		04/7/2021	P	\$100,001 - \$250,000	
JP Morgan Parametric EAFE ⇒ EAFE Equity [HE]		06/16/2021	P	\$100,001 - \$250,000	
JP Morgan Parametric EAFE ⇒ EAFE Equity [HE]		06/24/2021	P	\$100,001 - \$250,000	
JP Morgan Parametric EAFE ⇒ EAFE Equity [HE]		07/5/2021	P	\$250,001 - \$500,000	
JP Morgan Parametric EAFE ⇒ EAFE Equity [HE]	JT	07/8/2021	P	\$100,001 - \$250,000	
JP Morgan Parametric Investment Account ⇒ Parametric US Large Cap Equity [HE]	JT	01/21/2021	P	\$100,001 - \$250,000	
JP Morgan Parametric Investment Account ⇒ Parametric US Large Cap Equity [HE]	JT	11/18/2021	P	\$100,001 - \$250,000	
JP Morgan Parametric Investment Account ⇒ Parametric US Large Cap Equity [HE]	JT	07/15/2021	P	\$250,001 - \$500,000	
JP Morgan Parametric Investment Account ⇒ Parametric US Large Cap Equity [HE]	JT	07/8/2021	P	\$250,001 - \$500,000	
JP Morgan Parametric Investment Account ⇒ Parametric US Large Cap Equity [HE]	JT	06/24/2021	P	\$250,001 - \$500,000	
JP Morgan Parametric Investment Account ⇒ Parametric US Large Cap Equity [HE]	JT	06/16/2021	P	\$250,001 - \$500,000	
JP Morgan Parametric Investment Account ⇒ Parametric US Large Cap Equity [HE]	JT	12/27/2021	P	\$50,001 - \$100,000	
JP Morgan Parametric Investment Account ⇒	JT	06/20/2021	P	\$500,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Parametric US Large Cap Equity [HE]				\$1,000,000	
JP Morgan Parametric Investment Account ⇒ Parametric US Large Cap Equity [HE]	JT	04/12/2021	P	\$250,001 - \$500,000	
JP Morgan Parametric Investment Account ⇒ Parametric US Large Cap Equity [HE]	JT	04/7/2021	P	\$50,001 - \$100,000	
JP Morgan Parametric Investment Account ⇒ Parametric US Large Cap Equity [HE]	JT	03/24/2021	P	\$50,001 - \$100,000	
JP Morgan Parametric Investment Account ⇒ Parametric US Large Cap Equity [HE]	JT	03/23/2021	P	\$15,001 - \$50,000	
JP Morgan Parametric Investment Account ⇒ Parametric US Large Cap Equity [HE]	JT	03/5/2021	P	\$100,001 - \$250,000	
JP Morgan Revocable Trust 1 ⇒ JPM STRAT INC OPP FD [MF]	SP	01/21/2021	P	\$100,001 - \$250,000	
JP Morgan Revocable Trust 1 ⇒ JPM STRAT INC OPP FD [MF]	SP	04/14/2021	S	\$100,001 - \$250,000	<input type="checkbox"/>
JP Morgan Revocable Trust 1 ⇒ JPM ULTRA-SHRT MUNI FD [MF]	SP	01/21/2021	S	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
JP Morgan Revocable Trust 3 ⇒ Fidelity 500 Index-Inst PRM [MF]	SP	02/22/2021	P	\$50,001 - \$100,000	
JP Morgan Revocable Trust 3 ⇒ Fidelity 500 Index-Inst PRM [MF]	SP	04/16/2021	P	\$15,001 - \$50,000	
JP Morgan Revocable Trust 3 ⇒ Fidelity 500 Index-Inst PRM [MF]	SP	10/26/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JP Morgan Revocable Trust 3 ⇒ Fidelity Intl INDX-INST PRM [MF]	SP	10/25/2021	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
JP Morgan Revocable Trust 3 ⇒ Financial Select Sector SPDR [EF]	SP	01/22/2021	P	\$15,001 - \$50,000	
JP Morgan Revocable Trust 3 ⇒ Financial Select Sector SPDR [EF]	SP	06/25/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
JP Morgan Revocable Trust 3 ⇒ Health Care Select Sector [EF]	SP	01/26/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JP Morgan Revocable Trust 3 ⇒ Industrial Select Sect SPDR [EF]	SP	01/26/2021	P	\$1,001 - \$15,000	
JP Morgan Revocable Trust 3 ⇒ Investco S&P 500 Equal Weight [EF]	SP	01/22/2021	P	\$15,001 - \$50,000	
JP Morgan Revocable Trust 3 ⇒ iShares Core MSCI Emerging [EF]	SP	01/22/2021	P	\$1,001 - \$15,000	
JP Morgan Revocable Trust 3 ⇒ iShares Core MSCI Emerging [EF]	SP	05/5/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JP Morgan Revocable Trust 3 ⇒ iShares Core MSCI Emerging [EF]	SP	10/25/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
JP Morgan Revocable Trust 3 ⇒ iShares Core MSCI Emerging [EF]	SP	10/25/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
JP Morgan Revocable Trust 3 ⇒ iShares Core MSCI Emerging [EF]	SP	12/15/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
JP Morgan Revocable Trust 3 ⇒ JP Morgan BetaBuilders Canada [EF]	SP	01/29/2021	P	\$1,001 - \$15,000	
JP Morgan Revocable Trust 3 ⇒ JP Morgan BetaBuilders Canada [EF]	SP	04/15/2021	P	\$1,001 - \$15,000	
JP Morgan Revocable Trust 3 ⇒ JP Morgan BetaBuilders Europe ETF [EF]	SP	05/5/2021	P	\$1,001 - \$15,000	
JP Morgan Revocable Trust 3 ⇒ JP Morgan BetaBuilders Japan ETF [EF]	SP	01/29/2021	P	\$15,001 - \$50,000	
JP Morgan Revocable Trust 3 ⇒ JP Morgan BetaBuilders Japan ETF [EF]	SP	03/30/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
JP Morgan Revocable Trust 3 ⇒ JP Morgan BetaBuilders Japan ETF [EF]	SP	04/15/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
JP Morgan Revocable Trust 3 ⇒ JPM BTABLD RS Dev Asia X-JPN [EF]	SP	01/29/2021	P	\$1,001 - \$15,000	
JP Morgan Revocable Trust 3 ⇒ JPM BTABLD RS Dev Asia X-JPN [EF]	SP	04/14/2021	P	\$1,001 - \$15,000	
JP Morgan Revocable Trust 3 ⇒ Six Circles MGD EQ INTL UNCON [MF]	SP	01/22/2021	P	\$15,001 - \$50,000	
JP Morgan Revocable Trust 3 ⇒ Six Circles MGD EQ INTL UNCON [MF]	SP	01/29/2021	P	\$1,001 - \$15,000	
JP Morgan Revocable Trust 3 ⇒ Six Circles MGD EQ INTL UNCON [MF]	SP	02/5/2021	P	\$15,001 - \$50,000	
JP Morgan Revocable Trust 3 ⇒ Six Circles MGD EQ INTL UNCON [MF]	SP	04/15/2021	P	\$15,001 - \$50,000	
JP Morgan Revocable Trust 3 ⇒ Six Circles MGD EQ INTL UNCON [MF]	SP	10/25/2021	P	\$50,001 - \$100,000	
JP Morgan Revocable Trust 3 ⇒ Six Circles MGD EQ INTL UNCON [MF]	SP	12/15/2021	P	\$15,001 - \$50,000	
JP Morgan Revocable Trust 3 ⇒ Six Circles MGD EQ INTL UNCON [MF]	SP	12/28/2021	P	\$1,001 - \$15,000	
JP Morgan Revocable Trust 3 ⇒ Six Circles MGD EQ US UNCON [MF]	SP	01/5/2021	P	\$1,001 - \$15,000	
JP Morgan Revocable Trust 3 ⇒ Six Circles MGD EQ US UNCON [MF]	SP	01/22/2021	P	\$15,001 - \$50,000	
JP Morgan Revocable Trust 3 ⇒ Six Circles MGD EQ US UNCON [MF]	SP	01/29/2021	P	\$15,001 - \$50,000	
JP Morgan Revocable Trust 3 ⇒ Six Circles MGD EQ US UNCON [MF]	SP	02/5/2021	P	\$15,001 - \$50,000	
JP Morgan Revocable Trust 3 ⇒ Six Circles MGD EQ US UNCON [MF]	SP	02/19/2021	P	\$1,001 - \$15,000	
JP Morgan Revocable Trust 3 ⇒	SP	03/30/2021	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Six Circles MGD EQ US UNCON [MF]					
JP Morgan Revocable Trust 3 ⇒ Six Circles MGD EQ US UNCON [MF]	SP	04/15/2021	P	\$100,001 - \$250,000	
JP Morgan Revocable Trust 3 ⇒ Six Circles MGD EQ US UNCON [MF]	SP	06/25/2021	P	\$1,001 - \$15,000	
JP Morgan Revocable Trust 3 ⇒ Six Circles MGD EQ US UNCON [MF]	SP	10/25/2021	P	\$15,001 - \$50,000	
JP Morgan Revocable Trust 3 ⇒ Six Circles MGD EQ US UNCON [MF]	SP	12/28/2021	P	\$15,001 - \$50,000	
JP Morgan Revocable Trust 3 ⇒ Vanguard Info Tech ETF (VGT) [EF]	SP	01/22/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
JPM Roth IRA ⇒ ARK FINTECH INNOVATION ETF [EF]		07/22/2021	P	\$15,001 - \$50,000	
JPM Roth IRA ⇒ ARK INNOVATION ETF [EF]		07/22/2021	P	\$15,001 - \$50,000	
JPM Roth IRA ⇒ DAVIS APP & INCOME FUND-A [EF]		07/22/2021	S	\$100,001 - \$250,000	<input type="checkbox"/>
JPM Roth IRA ⇒ DAVIS NEW YORK VENTURE-A [EF]		06/24/2021	P	\$1,001 - \$15,000	
JPM Roth IRA ⇒ DAVIS NEW YORK VENTURE-A [EF]		06/25/2021	S	\$100,001 - \$250,000	<input type="checkbox"/>
JPM Roth IRA ⇒ ISHARES EXPANDED TECH-SOFTWA [EF]		07/22/2021	P	\$15,001 - \$50,000	
JPM Roth IRA ⇒ JOHN HANCOCK LIFE STYLE GRWTH [EF]		06/25/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
JPM Roth IRA ⇒ JPM GRWTH ADVANTAGE FD [EF]		07/22/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
JPM Roth IRA ⇒ SPDR S&P BIOTECH ETF [EF]		07/22/2021	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
JPM Roth IRA ⇒ VANGUARD GROWTH ETF [EF]		07/22/2021	P	\$100,001 - \$250,000	
JPM Roth IRA ⇒ VANGUARD VALUE ETF [EF]		07/22/2021	P	\$50,001 - \$100,000	
JPM Roth IRA 2 ⇒ ARK GENOMIC REVOLUTION ETF [EF]	SP	07/22/2021	P	\$15,001 - \$50,000	
JPM Roth IRA 2 ⇒ ARK NEXT GENERATION INTERNET ETF [EF]	SP	07/22/2021	P	\$1,001 - \$15,000	
JPM Roth IRA 2 ⇒ DAVIS APP & INCOME FUND-A [EF]	SP	07/22/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
JPM Roth IRA 2 ⇒ DAVIS NEW YORK VENTURE-A [EF]	SP	06/24/2021	P	\$1,001 - \$15,000	
JPM Roth IRA 2 ⇒ DAVIS NEW YORK VENTURE-A [EF]	SP	07/22/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
JPM Roth IRA 2 ⇒ ISHARES SEMICONDUCTOR ETF [EF]	SP	07/22/2021	P	\$15,001 - \$50,000	
JPM Roth IRA 2 ⇒ JOHN HANCOCK LIFE STYLE GRWTH [EF]	SP	06/25/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
JPM Roth IRA 2 ⇒ VANGUARD TOTAL STOCK MKT ETF [EF]	SP	07/22/2021	P	\$100,001 - \$250,000	
JPM Roth IRA 2 ⇒ VANGUARD TOTAL STOCK MKT ETF [EF]	SP	07/26/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Loomis Sayles Growth Fund ⇒ EAFE Equity [HE]		04/12/2021	P	\$500,001 - \$1,000,000	
Loomis Sayles Growth Fund ⇒ EAFE Equity [HE]		04/14/2021	P	\$100,001 - \$250,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.



SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	PNC Bank, N.A.	February 2021	Business Loan	\$5,000,001 - \$25,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Director/President/Secretary/Treasurer (uncompensated)	Cherry Hill Wine & Spirits, Inc.
COMMENTS: Unpaid position in family-owned business	
Co-Manager/Co-President (uncompensated)	Fine Wines & Spirits of North Texas, LLC
COMMENTS: Unpaid position in family-owned business	
President (uncompensated)	Massachusetts Fine Wines & Spirits, LLC
COMMENTS: Unpaid position in family-owned business	
Manager/President/Secretary/Treasurer (uncompensated)	New York Fine Wine & Spirits, LLC
COMMENTS: Unpaid position in family-owned business	
Manager/President/Secretary/Treasurer (uncompensated)	Taste of New York Beer & Grocery, LLC
COMMENTS: Unpaid position in family-owned business	
Director/President/Secretary/Treasurer (uncompensated)	TFWS, Inc.
COMMENTS: Unpaid position in family-owned business	
Director (uncompensated)	Retail Services & Systems, Inc.
COMMENTS: Unpaid position in family-owned business	
Co-Manager (uncompensated)	Colorado Fine Wines & Spirits LLC
COMMENTS: Unpaid position in family-owned business	
Board Member (uncompensated)	ACLU Private Sector and Educational Advisory Board on Reintegration
Board Member (uncompensated)	Graduate Executive Board, the Wharton School of the University of Pennsylvania
Trustee (uncompensated)	American University

Position	Name of Organization
Trustee (uncompensated)	Furman University
Trustee (uncompensated)	Qualified Personal Residence Trust - Potomac
Trustee (uncompensated)	Qualified Personal Residence Trust - Meade
Trustee (uncompensated)	The David and June Trone Family Foundation Trust

**SCHEDULE F: AGREEMENTS**

None disclosed.

**SCHEDULE G: GIFTS**

None disclosed.

**SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

None disclosed.

**SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

**SCHEDULE A AND B ASSET CLASS DETAILS**

<ul style="list-style-type: none"><li>◦ A.M. Trust (Owner: SP)</li><li>◦ Fidelity 401(K)</li><li>◦ Focused International Growth Fund (Owner: JT) LOCATION: US</li><li>◦ Insurance Trust 1 (Owner: SP)</li><li>◦ Insurance Trust 2 (Owner: SP)</li><li>◦ JP Morgan Investment Account (Owner: JT) LOCATION: US</li><li>◦ JP Morgan Investment Account 2 (Owner: JT) LOCATION: US</li><li>◦ JP Morgan Parametric EAFE (Owner: JT) LOCATION: US</li><li>◦ JP Morgan Parametric Investment Account (Owner: JT) LOCATION: US</li><li>◦ JP Morgan Revocable Trust 1 (Owner: SP)</li><li>◦ JP Morgan Revocable Trust 3 (Owner: SP)</li><li>◦ JPM Roth IRA</li><li>◦ JPM Roth IRA 2 (Owner: SP)</li></ul>
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- Loomis Sayles Growth Fund (Owner: JT)  
LOCATION: US
- PNC Bank Accounts (Owner: JT)  
LOCATION: US
- PNC Roth IRA
- PNC Roth IRA 2 (Owner: SP)
- PNC Roth IRA CD Accounts (Owner: SP)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. David Trone , 05/16/2022