

**UNITED STATES HOUSE OF REPRESENTATIVES****2021 FINANCIAL DISCLOSURE STATEMENT**

Form A

For Use by Members, Officers, and Employees

**HAND  
DELIVERED**LEGISLATIVE EXECUTIVE COUNCIL  
JULY 15 - 2021 EDITION

ML

Name: Elise Marie Stefanik

Daytime Telephone: \_\_\_\_\_

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>NY</u>	Office or Employing Office:	Staff Filer Type: (If Applicable) Shared <input type="checkbox"/> Principal Assistant <input type="checkbox"/>
REPORT TYPE	<input checked="" type="checkbox"/> 2021 Annual (Due: May 18, 2022)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____

**PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS**

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <input checked="" type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period? <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$415 in value from a single source during the reporting period? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period? <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$415 in value from a single source during the reporting period? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period? <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"</b>

**IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS**

IPO – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.

TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?

EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

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EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

# SCHEDULE A – ASSETS & “UNEARNED INCOME”

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<b>BLOCK A</b>															
<b>Assets and/or Income Sources</b>															
<p>Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than \$200 in “unearned” income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use only ticker symbols).</p>															
<p>For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting threshold.</p> <p>For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.</p> <p>For rental and other real property held for investment, provide a complete address or description, e.g., “rental property,” and a city and state.</p>															
<p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Excluded: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you report a privately-traded fund that is an Exchange Investment Fund, please check the “EIF” box.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP), an dependent child (DC), or jointly held with anyone (JT). In the optional column on the far left.</p> <p>For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.</p>															
<b>BLOCK B</b>															
<b>Value of Asset</b>															
<p>Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used.</p>															
<p>If an asset was sold during the reporting period and is included only because it generated income, the value should be “None.”</p>															
<p>“Column M is for assets held by your spouse or dependent child in which you have no interest.”</p>															
<b>BLOCK C</b>															
<b>Type of Income</b>															
<p>Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 403(b) accounts), you may check the “Tax-Deferred” category of income by checking the appropriate box below.</p>															
<p>Check all columns that apply. For assets that generate tax-deferred income (such as 401(k), IRA, or 403(b) accounts), you may check the “None” column. For all other assets, indicate the assets had dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check “None” if no income was earned or generated.</p>															
<b>BLOCK D</b>															
<b>Amount of Income</b>															
<p>Check all columns that apply. For assets for which you checked “Tax-Deferred” in Block C, you may check the “None” column. For all other assets, indicate the assets had purchases (P), sales (S), or exchanges (E), exceeding \$1,000.</p>															
<p>“Column XII is for assets held by your spouse or dependent child in which you have no interest.”</p>															
<b>BLOCK E</b>															
<b>Transaction</b>															
<p>If only a portion of an asset was sold, please indicate as follows: (S (part)).</p>															
<p>Leave this column blank if there are no transactions that exceeded \$1,000.</p>															
SP	SE	M&G Corp. Stock	EEF												
DC	SE	Stamps & Stickers	EEF												
JT	Exempt	ABC Hedge Fund	X												
Interest															
<p>None</p>															
<p>\$1-\$1,000</p>															
<p>\$1,001-\$15,000</p>															
<p>\$15,001-\$50,000</p>															
<p>\$50,001-\$100,000</p>															
<p>\$100,001-\$250,000</p>															
<p>\$250,001-\$500,000</p>															
<p>\$500,001-\$1,000,000</p>															
<p>\$1,000,001-\$5,000,000</p>															
<p>\$5,000,001-\$25,000,000</p>															
<p>\$25,000,001-\$50,000,000</p>															
<p>Over \$50,000,000</p>															
<p>Spouse/DC Asset over \$1,000,000*</p>															
NONE															
<p>DIVIDENDS</p>															
<p>RENT</p>															
<p>INTEREST</p>															
<p>CAPITAL GAINS</p>															
<p>EXCEPTED/BLIND TRUST</p>															
<p>TAX-DEFERRED</p>															
<p>Other Type of Income (Specify, e.g., Partnership Income or Farm Income)</p>															
<p>None</p>															
<p>\$1-\$200</p>															
<p>\$201-\$1,000</p>															
<p>\$1,001-\$2,500</p>															
<p>\$2,501-\$5,000</p>															
<p>\$5,001-\$15,000</p>															
<p>\$15,001-\$50,000</p>															
<p>\$50,001-\$100,000</p>															
<p>\$100,001-\$1,000,000</p>															
<p>\$1,000,001-\$5,000,000</p>															
<p>Over \$5,000,000</p>															
<p>Spouse/DC Asset with income over \$1,000,000*</p>															
P, S, S(part), or E															
S(part)															
<p>Royalties</p>															
<p>Partnership Income</p>															
<p>Autonomec Trust Fixed Rate IRA (Asset Below)</p>															
<p>Autonomec Trust Certificates of Deposit</p>															
<p>Autonomec Trust Roth IRA (Asset Below)</p>															
<p>Autonomec Trust Certificates of Deposit</p>															
<p>EMS DC Properties (Asset Below)</p>															
<p>Transamerica Rental Property (Washington, DC)</p>															

Use additional sheets if more space is required.

**SCHEDULE A – ASSETS & “UNEARNED INCOME”**

BLOCK A  
Assets and/or Income Sources

BLOCK B  
Value of Asset

BLOCK C  
Type of Income

BLOCK D  
Amount of Income

BLOCK E  
Transaction  
P, S, S(p), or E

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S# J#	ASSET NAME EP		BLOCK A Assets and/or Income Sources												BLOCK B Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction P, S, S(p), or E
			A B C D	E F G H	I J K L	M N O P	Q R S T	U V W X	Y Z A B	C D E F	G H I J	K L M N	O P Q R					
JT	Admiraldeck Trust Checking Account	X													None			
JT	Admiraldeck Trust Money Market Checking	X													\$1-\$1,000			
SP	EMPLOYER RETIREMENT 401(K) (Asset Below)	X													\$1,001-\$15,000			
SP	American Funds 2045 Target Retirement (AMTRX)	X			X										\$15,001-\$50,000			
SP	Schwab IRA (Asset Below)														\$50,001-\$100,000			
SP	“American Life” LifeTV Inspire	X	X	X											\$100,001-\$250,000			
SP	100% ETF (S&P)	X	X	X											\$250,001-\$500,000			
SP	Schwab IRA (2) (Assets Below)	X	X	X											\$500,001-\$1,000,000			
SP	iShares Core MSCI Total Mkt Stock ETF (MXUS)	X	X	X	X	X	X	X	X	X	X	X	X	\$1,000,001-\$5,000,000				
SP	-iShares Total US Stock Mk ETF IV (TOT)	X	X	X	X	X	X	X	X	X	X	X	X	Over \$5,000,000				
SP	iShares Total USD Bond Market ETF (USB)	X	X	X	X	X	X	X	X	X	X	X	X	Spouse/DC Asset with Income over \$1,000,000*				
SP	Bank of America Savings Bank Health Savings Acc.	X	X	X	X	X	X	X	X	P	P	P	P					

**SCHEDULE B – TRANSACTIONS**

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

**Capital Gains:** If a sales transaction resulted in a capital gain in excess of \$200, check the "Capital Gains" box, unless it was an asset in a tax-deferred account, and check the "Capital Gains" box, unless it was an asset in a tax-deferred account, and direct the capital gain income on Schedule A.

SP, DC, JT

**Use additional sheets if more space is required.**

**SCHEDULE C – EARNED INCOME**

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**List the source, type, and amount of earned income from any source (other than the filer's current employment by the U. S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.**

**EXCLUDE:** Military pay (such as National Guard or Reserve pay); federal retirement programs, and benefits received under the Social Security Act;

**INCOME LIMITS and PROHIBITED INCOME:** The 2021 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$29,585. The 2022 limit is \$29,895.

नि लक्षणात्, विज्ञान व्यवसरे के अनुसार (R&D) विकास के दृष्टिकोण से, और विद्युतीकरण के लिए विभिन्न वित्तीय सेवाएँ आवंटित रूप से विकास की जरूरतीयता (necessity) विद्यमान है।

Source (Include date of receipt for honoraria)	Type	Amount
Keene State	Approved Teaching Fee	\$6,000
State of Maryland	Legislative Payment	\$16,000
CHI Web Roundtable (Oct. 2)	Spouse Speech	\$1,000
Ontario County Board of Education	Spouse Salary	N/A
<b>National Shooting Sports Foundation</b>	<b>Spouse Salary</b>	<b>N/A</b>
<b>Charles Schwab</b>	<b>Spouse IRA Distribution</b>	<b>N/A</b>

**Use additional sheets if more space is required.**

## SCHEDULE D - LIABILITIES

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**Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period.** **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Excluder:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. **Report a revolving charge account** (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. **-Column K** is for liabilities held solely by your spouse or dependent child.

## SCHEDULE E – POSITIONS

**Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and carnival organizations); and positions solely of an honorary nature.**

**Use additional sheets if more space is required.**

## SCHEDULE F – AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
	(NONE)	

## SCHEDULE G – GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$415 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual (which may not include a registered lobbyist or foreign agent), local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$168 or less need not be added towards the \$415 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

Use additional sheets if more space is required

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## **SCHEDULE H – TRAVEL PAYMENTS and REIMBURSEMENTS**

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$415 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

Use additional sheets if more space is required.

**SCHEDULE I – PAYMENTS MADE TO CHARITY IN  
LIEU OF HONORARIA**

**List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.**

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