



Filing ID #10042485

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Madeleine Dean
Status: Member
State/District: PA04

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2020
Filing Date: 08/13/2021

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Bank of America Advanced Tiered Interest Checking [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Bank of America IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [IH]		\$1,001 - \$15,000	Dividends, Interest	\$1 - \$200	<input type="checkbox"/>
Bank of America IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [IH]	SP	\$15,001 - \$50,000	Dividends, Interest	\$1 - \$200	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ iShares Broad USD High Yield Corp Bond ETF [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ iShares Broad USD High Yield Corp Bond ETF [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ iShares Core S&P Mid Cap ETF [EF]	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ iShares Core S&P Mid Cap ETF [EF]		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒		\$1,001 - \$15,000	Capital Gains,	\$201 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
iShares Core S&P Small-Cap [EF]			Dividends	\$1,000	
Bank of America IRA ⇒ iShares Core S&P Small-Cap [EF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ iShares Core US Aggregate Bd ETF [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ iShares Core US Aggregate Bd ETF [EF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ JP Morgan US Large Cap Core Plus Fund Class R6 [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ JP Morgan US Large Cap Core Plus Fund Class R6 [EF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Metropolitan West Total Return Bond Fund Class I [EF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Metropolitan West Total Return Bond Fund Class I [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Bank of America IRA ⇒ SPDR Bloomberg Barclays 1-3 Month T-Bill ETF [EF]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ SPDR Bloomberg Barclays 1-3 Month T-Bill ETF [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ SPDR Bloomberg Barclays High Yield Bond ETF [EF]	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Vanguard FTSE Developed Markets ETF [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Vanguard FTSE Developed Markets ETF [EF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Vanguard FTSE Emerging Mkts ETF [EF]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Vanguard FTSE Emerging Mkts ETF [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Bank of America IRA ⇒ Vanguard Mid-Cap ETF [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Vanguard Mid-Cap ETF [EF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Vanguard S&P 500 ETF [EF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Vanguard S&P 500 ETF [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Vanguard Total Intl Bond Index Fund ETF [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Bank of America IRA ⇒ Vanguard Total Intl Bond Index Fund ETF [EF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Bank of America Roth IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [IH]		\$1,001 - \$15,000	Dividends, Interest	\$1 - \$200	<input type="checkbox"/>
Bank of America Roth IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [IH]	SP	\$1,001 - \$15,000	Dividends, Interest	\$1 - \$200	<input type="checkbox"/>
Bank of America Wealth Management MMS Money Market Savings [BA]	JT	\$500,001 - \$1,000,000	Interest	\$1 - \$200	<input type="checkbox"/>
COMMENTS: Includes proceeds from sale of primary residence					
BB&T Bank Checking [BA]	JT	\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
Mad4PA PAC [DO]	JT	\$50,001 - \$100,000	None		<input type="checkbox"/>
New York Life Insurance Policy [WU]		\$1,001 - \$15,000	None		<input type="checkbox"/>
New York Life Insurance Policy [WU]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Pennsylvania 529 Guaranteed Savings Plan [5P]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: PA					
Pennsylvania State Employee Retirement System Defined Benefit Plan [PE]		\$100,001 - \$250,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Pennsylvania State Employees' Deferred Compensation Plan ⇒ International Company Stock Index Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Pennsylvania State Employees' Deferred Compensation Plan ⇒ Short-Term Investment Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Pennsylvania State Employees' Deferred Compensation Plan ⇒ Stable Value Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Pennsylvania State Employees' Deferred Compensation Plan ⇒ U.S. Bond Index Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Pennsylvania State Employees' Deferred Compensation Plan ⇒ U.S. Large Company Stock Index Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Pennsylvania State Employees' Deferred Compensation Plan ⇒ U.S. Small/Mid Company Stock Index Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Secondary Residence [RP]	JT	\$1,000,001 - \$5,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Cape May, NJ, US					
Stoker Strategies, LLC, 99% Interest [OL]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
LOCATION: Jenkintown, PA, US					
DESCRIPTION: Consulting					
TIAA-CREF ⇒ La Salle University DC and TDA Plan ⇒ CREF Bond Market 2 [FN]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ La Salle University DC and TDA Plan ⇒ CREF Stock R2 [FN]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ La Salle University DC and TDA Plan ⇒ TIAA Real Estate [FN]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ La Salle University DC and TDA Plan ⇒ TIAA Traditional [FN]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
TIAA-CREF ⇒ La Salle University Tax-Deferred Annuity Plan ⇒ Dodge & Cox Stock Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ La Salle University Tax-Deferred Annuity Plan ⇒ Prudential Total Return Bond Fund - Class Z [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ La Salle University Tax-Deferred Annuity Plan ⇒ T. Rowe Price Growth Stock Fund [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
TIAA-CREF ⇒ La Salle University Tax-Deferred Annuity Plan ⇒ TIAA Traditional [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo Platinum Savings [BA]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Wells Fargo Portfolio Checking [BA]	JT	\$1 - \$1,000	None		<input type="checkbox"/>
Wells Fargo Preferred Checking [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Bank of America IRA ⇒ Blackrock Liquidity T-Fund Institutional Class [IH]	SP	Monthly	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ iShares Broad USD High Yield Corp Bond ETF [EF]		01/22/2020	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ iShares Broad USD High Yield Corp Bond ETF [EF]	SP	01/22/2020	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ iShares Broad USD High Yield Corp Bond ETF [EF]	SP	09/1/2020	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ iShares Broad USD High Yield Corp Bond ETF [EF]	SP	12/10/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Bank of America IRA ⇒ iShares Core S&P Mid Cap ETF [EF]		01/22/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ iShares Core S&P Mid Cap ETF [EF]	SP	01/22/2020	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ iShares Core S&P Small-Cap [EF]	SP	03/24/2020	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ iShares Core S&P Small-Cap [EF]	SP	12/10/2020	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ iShares Core S&P Small-Cap [EF]	SP	01/22/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ iShares Core S&P Small-Cap [EF]	SP	09/1/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ iShares Core US Aggregate Bd ETF [EF]		03/24/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Bank of America IRA ⇒ iShares Core US Aggregate Bd ETF [EF]	SP	01/22/2020	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ iShares Core US Aggregate Bd ETF [EF]	SP	12/10/2020	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ iShares Core US Aggregate Bd ETF [EF]	SP	03/24/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Bank of America IRA ⇒ JP Morgan US Large Cap Core Plus Fund Class R6 [EF]		08/19/2020	E	\$1,001 - \$15,000	
DESCRIPTION: Exchanged for JP Morgan US Large Cap Core Plus Fund Class S due to name change					
Bank of America IRA ⇒ JP Morgan US Large Cap Core Plus Fund Class R6 [EF]		12/9/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ JP Morgan US Large Cap Core Plus Fund Class R6 [EF]	SP	12/9/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ JP Morgan US Large Cap Core Plus Fund Class R6 [EF]	SP	08/19/2020	E	\$15,001 - \$50,000	
DESCRIPTION: Exchanged for JP Morgan US Large Cap Core Plus Fund Class S due to name change					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Bank of America IRA ⇒ JP Morgan US Large Cap Core Plus Fund Class S [EF]	SP	01/22/2020	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ JP Morgan US Large Cap Core Plus Fund Class S [EF]	SP	03/23/2020	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ JP Morgan US Large Cap Core Plus Fund Class S [EF]	SP	04/21/2020	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ Metropolitan West Total Return Bond Fund Class I [EF]	SP	01/22/2020	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ Metropolitan West Total Return Bond Fund Class I [EF]	SP	04/21/2020	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ Metropolitan West Total Return Bond Fund Class I [EF]	SP	12/9/2020	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ Metropolitan West Total Return Bond Fund Class I [EF]	SP	03/23/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Bank of America IRA ⇒ SPDR Bloomberg Barclays 1-3 Month T-Bill ETF [EF]		03/24/2020	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ SPDR Bloomberg Barclays 1-3 Month T-Bill ETF [EF]	SP	03/24/2020	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ SPDR Bloomberg Barclays 1-3 Month T-Bill ETF [EF]	SP	04/21/2020	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ SPDR Bloomberg Barclays 1-3 Month T-Bill ETF [EF]	SP	09/1/2020	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ SPDR Bloomberg Barclays 1-3 Month T-Bill ETF [EF]	SP	12/10/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Bank of America IRA ⇒ SPDR Bloomberg Barclays High Yield Bond ETF [EF]		01/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Bank of America IRA ⇒ SPDR Bloomberg Barclays High Yield Bond ETF [EF]	SP	01/22/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Vanguard FTSE Developed Markets ETF [EF]		12/10/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Bank of America IRA ⇒ Vanguard FTSE Developed Markets ETF [EF]	SP	01/22/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>
Bank of America IRA ⇒ Vanguard FTSE Developed Markets ETF [EF]	SP	03/24/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>
Bank of America IRA ⇒ Vanguard FTSE Developed Markets ETF [EF]	SP	12/10/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>
Bank of America IRA ⇒ Vanguard FTSE Emerging Mkts ETF [EF]		04/21/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Bank of America IRA ⇒ Vanguard FTSE Emerging Mkts ETF [EF]	SP	01/22/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>
Bank of America IRA ⇒ Vanguard FTSE Emerging Mkts ETF [EF]	SP	03/24/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>
Bank of America IRA ⇒ Vanguard FTSE Emerging Mkts ETF [EF]	SP	09/1/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>
Bank of America IRA ⇒ Vanguard FTSE Emerging Mkts ETF [EF]	SP	04/21/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Bank of America IRA ⇒ Vanguard FTSE Emerging Mkts ETF [EF]	SP	12/10/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Vanguard Mid-Cap ETF [EF]		01/22/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>
Bank of America IRA ⇒ Vanguard Mid-Cap ETF [EF]		12/10/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>
Bank of America IRA ⇒ Vanguard Mid-Cap ETF [EF]		09/1/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Vanguard Mid-Cap ETF [EF]	SP	01/22/2020	P	\$50,001 - \$100,000	<input type="checkbox"/>
Bank of America IRA ⇒ Vanguard Mid-Cap ETF [EF]	SP	03/24/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Bank of America IRA ⇒ Vanguard Mid-Cap ETF [EF]	SP	12/10/2020	P	\$15,001 - \$50,000	
Bank of America IRA ⇒ Vanguard Mid-Cap ETF [EF]	SP	04/21/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Vanguard Mid-Cap ETF [EF]	SP	09/1/2020	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Vanguard S&P 500 ETF [EF]		12/10/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Bank of America IRA ⇒ Vanguard S&P 500 ETF [EF]	SP	03/24/2020	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ Vanguard S&P 500 ETF [EF]	SP	04/21/2020	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ Vanguard S&P 500 ETF [EF]	SP	01/22/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Bank of America IRA ⇒ Vanguard S&P 500 ETF [EF]	SP	09/1/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Vanguard S&P 500 ETF [EF]	SP	12/10/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Bank of America IRA ⇒ Vanguard Total Intl Bond Index Fund ETF [EF]	SP	04/21/2020	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ Vanguard Total Intl Bond Index Fund ETF [EF]	SP	12/10/2020	P	\$1,001 - \$15,000	
Bank of America IRA ⇒ Vanguard Total Intl Bond Index Fund ETF [EF]	SP	01/22/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Bank of America IRA ⇒ Vanguard Total Intl Bond Index Fund ETF [EF]	SP	03/24/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Stoker Strategies, LLC	Spouse Salary	N/A
Yuba Bicycles, LLC	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	TIAA	March 2018	Group supplemental retirement annuity loan	\$15,001 - \$50,000
JT	Wells Fargo	June 2013	Mortgage on primary residence	\$100,001 - \$250,000
COMMENTS: Primary residence sold November 2020				
JT	Wells Fargo	May 2015	Mortgage on secondary residence	\$500,001 - \$1,000,000
SP	American Express	December 2020	Credit card debt	\$10,000 - \$15,000
JT	BB&T Bank	March 2018	Revolving line of credit	\$100,001 - \$250,000
COMMENTS: Paid off November 2020				

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Bank of America IRA (Owner: SP)

- Bank of America IRA
- Bank of America Roth IRA (Owner: SP)
- Bank of America Roth IRA
- Pennsylvania State Employees' Deferred Compensation Plan
- TIAA-CREF
- TIAA-CREF ⇒ La Salle University DC and TDA Plan
- TIAA-CREF ⇒ La Salle University Tax-Deferred Annuity Plan

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Madeleine Dean , 08/13/2021