



Filing ID #10053873

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Jim Costa
Status: Member
State/District: CA21

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2022
Filing Date: 08/11/2023

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Bank of America [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Heartland Financial USA, Inc. (HTLF) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
James M. Costa Farms (Sole Proprietorship) [FA]		\$1,000,001 - \$5,000,000	Farm Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Fresno, CA, US					
DESCRIPTION: Farming operation					
Portuguese Fraternal Society of America [WU]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Rental Home [RP]		\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Fresno, CA, US					
Rental Home [RP]		\$500,001 - \$1,000,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Washington, DC, US					
United Security Bank [BA]		\$50,001 - \$100,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Wells Fargo Advisors 401(k) ⇒ American Funds Washington Mutual Investors Fund Class F-2 (WMFFX) (EIF) [MF]		\$50,001 - \$100,000		Tax-Deferred	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Baird Aggregate Bond Fund Class Institutional (BAGIX) (EIF) [MF]		\$50,001 - \$100,000		Tax-Deferred	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Baron Invt Funds Trust Small Cap Fund (BSFIX) (EIF) [MF]			\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Baron Select Funds Emerging Markets FD (BEXIX) (EIF) [MF]		\$50,001 - \$100,000		Tax-Deferred	<input checked="" type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Dodge & Cox Stk FD (DODGX) (EIF) [MF]			\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Eaton Vance Income Fund of Boston Class I (EIBIX) (EIF) [MF]			\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Edgewood Growth Fund Class Institutional (EGFIX) (EIF) [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Goldman Sachs FS Treasury Instruments Fund (FTIIX) (EIF) [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Harbor FD CAP APPRECIATION FD INSTL CL (HACAX) (EIF) [MF]		None		Tax-Deferred	<input checked="" type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Invesco Developing Markets Fund (ODVYX) (EIF) [MF]		\$50,001 - \$100,000		Tax-Deferred	<input checked="" type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ JP Morgan 100% US Treasury Securities [OT]			\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
DESCRIPTION: Cash and Sweep Balances					
Wells Fargo Advisors 401(k) ⇒ Mainstay Winslow Large CAP Growth Fund (MLAIX) (EIF) [MF]		\$100,001 - \$250,000		Tax-Deferred	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Wells Fargo Advisors 401(k) ⇒ MFS SER TR 1 VALUE FD (MEIIX) (EIF) [MF]		\$50,001 - \$100,000		Tax-Deferred	<input checked="" type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ MFS SER TR X EMERGING MKTS DEBT FD CLASS I (MEDIX) (EIF) [MF]		\$15,001 - \$50,000		Tax-Deferred	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ PIMCO Commodities Plus Strategy FD CL (PCLIX) (EIF) [MF]		\$15,001 - \$50,000		Tax-Deferred	<input checked="" type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Pimco Commodity Real Return (PCRIX) (EIF) [MF]		\$15,001 - \$50,000		Tax-Deferred	<input checked="" type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ ROWE T PRICE MID CAP GROWTH FUND (RPMGX) (EIF) [MF]		\$15,001 - \$50,000		Tax-Deferred	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ T Rowe Price Overseas Stock Fund (TROSX) (EIF) [MF]		\$50,001 - \$100,000		Tax-Deferred	<input checked="" type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Victory Sycamore Small Co Oppty (VSOIX) (EIF) [MF]		\$15,001 - \$50,000		Tax-Deferred	<input checked="" type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Virtus Ceredex Mid-Cap Value Equity Fund Class I(SMVTX) (EIF) [MF]		\$50,001 - \$100,000		Tax-Deferred	<input type="checkbox"/>
Westamerica Bancorporation (WABC) [ST]		None		Capital Gains	\$5,001 - \$15,000 <input checked="" type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Wells Fargo Advisors 401(k) ⇒ Baron Select Funds Emerging Markets FD (BEXIX) (EIF) [MF]		04/13/2022	P	\$1,001 - \$15,000	
Wells Fargo Advisors 401(k) ⇒ Baron Select Funds Emerging Markets FD (BEXIX) (EIF) [MF]		05/2/2022	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Wells Fargo Advisors 401(k) ⇒ Baron Select Funds Emerging Markets FD (BEXIX) (EIF) [MF]		07/26/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Dodge & Cox Stk FD (DODGX) (EIF) [MF]		04/12/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Edgewood Growth Fund Class Institutional (EGFIX) (EIF) [MF]		04/12/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Goldman Sachs FS Treasury Instruments Fund (FTIXX) (EIF) [MF]		01/14/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Goldman Sachs FS Treasury Instruments Fund (FTIXX) (EIF) [MF]		04/8/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Goldman Sachs FS Treasury Instruments Fund (FTIXX) (EIF) [MF]		07/8/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Goldman Sachs FS Treasury Instruments Fund (FTIXX) (EIF) [MF]		10/14/2022	S	\$652.07	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Harbor FD CAP APPRECIATION FD INSTL CL (HACAX) (EIF) [MF]		04/12/2022	S	\$50,001 - \$100,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Invesco Developing Markets Fund (ODVYX) (EIF) [MF]		05/2/2022	P	\$1,001 - \$15,000	
Wells Fargo Advisors 401(k) ⇒ Invesco Developing Markets Fund (ODVYX) (EIF) [MF]		07/26/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Mainstay Winslow Large CAP Growth Fund (MLAIX) (EIF) [MF]		04/12/2022	P	\$100,001 - \$250,000	
Wells Fargo Advisors 401(k) ⇒ MFS SER TR 1 VALUE FD (MEIIX) (EIF) [MF]		04/12/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Wells Fargo Advisors 401(k) ⇒ PIMCO Commodities Plus Strategy FD CL (PCLIX) (EIF) [MF]		07/26/2022	P	\$15,001 - \$50,000	
Wells Fargo Advisors 401(k) ⇒ Pimco Commodity Real Return (PCRIX) (EIF) [MF]		07/26/2022	P	\$1,001 - \$15,000	
Wells Fargo Advisors 401(k) ⇒ T Rowe Price Overseas Stock Fund (TROSX) (EIF) [MF]		05/2/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Advisors 401(k) ⇒ Victory Sycamore Small Co Oppty (VSOIX) (EIF) [MF]		10/14/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Westamerica Bancorporation (WABC) [ST]		03/16/2022	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
California Public Employee Retirement System	Legislative Pension	\$4,329.00
California Legislator Retirement System	Legislative Pension	\$8,956.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
The National Capital Bank		July 2006	Mortgage on Washington D.C. property	\$250,001 - \$500,000
Premier Valley Bank		March 2019	Line of Credit	\$250,001 - \$500,000
PNC Bank		August 2021	Mortgage on personal residence	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	The Maddy Institute, CA State University, Fresno
COMMENTS: Not compensated	

Position	Name of Organization
Advisory Council Member	The Congressional Hispanic Caucus Institute
COMMENTS: Not compensated	
Advisory Steering Committee - U.S. Chair	Transatlantic Policy Network
COMMENTS: Not compensated	

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2005	Myself & California Public Employees Retirement Systems	Pension Plan Participation
January 2003	Myself & California Legislator Retirement Systems	Pension Plan Participation

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Source	Trip Details				Inclusions		
	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Luso-American Development Foundation	05/1/2022	05/4/2022	Washington D.C. - Lisbon, Portugal - Washington D.C.	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
German Marshall Fund of the United States	06/29/2022	07/1/2022	San Francisco, CA - Brussels, Belgium - Fresno, CA	2	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Yalta European Strategy Limited	09/7/2022	09/12/2022	Los Angeles, CA - Kyiv, Ukraine - Washington D.C.	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Wells Fargo Advisors 401(k)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Jim Costa , 08/11/2023