



Filing ID #10062794

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Ruben Gallego  
**Status:** Member  
**State/District:** AZ03

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2019  
**Filing Date:** 08/13/2024

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
401k Riester Public Relations ⇒ American Funds AMCAP Fund R6 (RAFGX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
401k Riester Public Relations ⇒ American Funds New Perspective R6 Fund (RNPGX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
401k Riester Public Relations ⇒ Vanguard Mid-Cap Index Fund Admiral Shares (VIMAX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
7th St. Rental Property [RP]  LOCATION: Phoenix, AZ, US		None	Rent	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Aspiration Checking Account [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Aspiration Fund Adviser LLC [PS]  DESCRIPTION: Investment Advisory Services; Location: Marina Del Rey, CA.		\$15,001 - \$50,000	Shares	None	<input checked="" type="checkbox"/>
Aspiration Redwood Fund [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Chase Bank Checking Account [BA]	SP	\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
City of Phoenix 401A ⇒ Vanguard Institutional Index Fund Institutional Plus Shares (VIXX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Mineral Rd. Property [RP]  LOCATION: Phoenix, AZ, US		None	Capital Gains, Rent	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
National Association of Realtors 401K ⇒ T. Rowe Price Retirement 2055 Fund (TRRNK) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
National Association of Realtors Pension Plan [DB]	SP	Undetermined	None		<input type="checkbox"/>
PAC Common Founders Stock Series A [PS]  DESCRIPTION: PAC Common Founders is a startup business trying to create an online bank to serve the Latino community. It is located in Phoenix, Arizona.		\$1,001 - \$15,000	None		<input type="checkbox"/>
Strategies 360 401K ⇒ Vanguard Target Retirement 2045 Fund (VTIVX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
UBS Simple IRA ⇒ JPMorgan Smart Retirement Fund 2055 (JFFCX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
United Services Auto Association Checking Account [BA]		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>
USAA Science and Technology Fund (UCCSX) [MF]  DESCRIPTION: Sold on 08/27/19		\$15,001 - \$50,000	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
USAA Value Fund (UVALX) [MF]  DESCRIPTION: Sold on 06/30/19		\$1,001 - \$15,000	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

\* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
7th St. Rental Property [RP]		06/6/2019	S	\$50,001 - \$100,000	<input type="checkbox"/>
LOCATION: Phoenix, AZ, US					
Aspiration Fund Adviser LLC [PS]		08/27/2019	P	\$15,001 - \$50,000	
DESCRIPTION: Investment Advisory Services; Location: Marina Del Rey, CA.					
Aspiration Redwood Fund [MF]		02/4/2019	P	\$1,001 - \$15,000	
Aspiration Redwood Fund [MF]		02/13/2019	P	\$1,001 - \$15,000	
Aspiration Redwood Fund [MF]		03/21/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Aspiration Redwood Fund [MF]		06/10/2019	P	\$1,001 - \$15,000	
Aspiration Redwood Fund [MF]		07/11/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Aspiration Redwood Fund [MF]		09/30/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Aspiration Redwood Fund [MF]		11/11/2019	P	\$15,001 - \$50,000	
Aspiration Redwood Fund [MF]		11/12/2019	P	\$15,001 - \$50,000	
Aspiration Redwood Fund [MF]		11/19/2019	P	\$1,001 - \$15,000	
Aspiration Redwood Fund [MF]		12/17/2019	P	\$1,001 - \$15,000	
Aspiration Redwood Fund [MF]		12/18/2019	P	\$1,001 - \$15,000	
Aspiration Redwood Fund [MF]		12/30/2019	P	\$1,001 - \$15,000	
Mineral Rd. Property [RP]		11/11/2019	S	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
LOCATION: Phoenix, AZ, US					
USAA Science and Technology Fund ⇒ USAA Science & Technology Fund [MF]		08/27/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
USAA Value Fund ⇒ USAA Value Fund [MF]		08/27/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?

\* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
National Association of Realtors	Spouse salary	N/A

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Quicken Loans	August 2018	Personal Loan	\$15,001 - \$50,000
	COMMENTS: Paid off in March 2020.			
	Quicken Loans	April 2017	Primary Residence Mortgage - Refinanced	\$250,001 - \$500,000
	COMMENTS: Property sold and mortgage paid off in November 2019.			
	Wells Fargo	October 2006	7th St Rental Property Mortgage	\$50,001 - \$100,000
	COMMENTS: Property sold and mortgage paid off in June 2019.			

## SCHEDULE E: POSITIONS

Position	Name of Organization
Chair, Board of Directors	Congressional Hispanic Caucus Institute

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2008	Myself and City of Phoenix	Pension that will provide benefit upon retirement.
March 2019	Spouse and National Association of Realtors	Pension that will provide benefit upon retirement.
August 2019	Myself and the LLC	Invested personal funds in exchange for non-publicly traded funds

## SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Government of Morocco MECEA	06/28/2019	07/3/2019	Washington DC - Marrakesh - Rabat - Casablanca - Washington DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Congressional Hispanic Caucus Institute	10/9/2019	10/13/2019	Washington DC - Los Angeles - Phoenix	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B INVESTMENT VEHICLE DETAILS

<ul style="list-style-type: none"><li>401k Riester Public Relations DESCRIPTION: 401K from my work at Riester Public Relations</li><li>City of Phoenix 401A DESCRIPTION: 401A account from my employment at the City of Phoenix.</li><li>National Association of Realtors 401K (Owner: SP)</li><li>Strategies 360 401K DESCRIPTION: 401k from my work at Strategies 360.</li><li>UBS Simple IRA (Owner: SP)</li><li>USAA Science and Technology Fund DESCRIPTION: IRA bought by Ruben Gallego</li><li>USAA Value Fund DESCRIPTION: IRA bought by Ruben Gallego</li></ul>
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

**CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Ruben Gallego , 08/13/2024