



Filing ID #10038543

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Garret Graves
Status: Member
State/District: LA06

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2019
Filing Date: 08/13/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
3D Systems Corporation (DDD) [ST]		\$1 - \$1,000	None	<input type="checkbox"/>
Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		\$1,001 - \$15,000	None	<input type="checkbox"/>
Cerence Inc. (CRNC) [ST]		\$1,001 - \$15,000	None	<input type="checkbox"/>
checking/savings account [BA]		\$15,001 - \$50,000	Dividends	\$1 - \$200 <input type="checkbox"/>
Child 1 [5P]		\$15,001 - \$50,000	None	<input type="checkbox"/>
LOCATION: LA DESCRIPTION: Established and maintained by grandparents for the benefit of their nine grandchildren. We have no role in management.				
Child 2 [5P]		\$15,001 - \$50,000	None	<input type="checkbox"/>
LOCATION: LA DESCRIPTION: Established and maintained by grandparents for the benefit of their nine grandchildren. We have no role in management.				
Child 3 [5P]		\$15,001 - \$50,000	None	<input type="checkbox"/>
LOCATION: LA				

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
DESCRIPTION: Established and maintained by grandparents for the benefit of their nine grandchildren. We have no role in management.				
Citigroup, Inc. (C) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
Janus ⇒ Emerging Markets Fund [MF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200 <input type="checkbox"/>
Janus ⇒ Global Research Fund [MF]		\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000 <input type="checkbox"/>
Janus ⇒ International Small Cap Fund [MF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200 <input type="checkbox"/>
Janus ⇒ Research Fund D [MF]		\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500 <input type="checkbox"/>
Janus ⇒ Triton Fund [MF]		\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000 <input type="checkbox"/>
Janus ⇒ U.S. Managed Volatility [MF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200 <input type="checkbox"/>
Janus Henderson Small Cap Growth Alpha ETF (JSML) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
LASERS [DB]		\$15,001 - \$50,000	None	<input type="checkbox"/>
DESCRIPTION: State of Louisiana employee retirement program.				
Nuance Communications, Inc. (NUAN) [ST]		\$1,001 - \$15,000	None	<input type="checkbox"/>
Parents' Life Insurance Trust #1 [OT]		\$1 - \$1,000	None	<input type="checkbox"/>
DESCRIPTION: Life Insurance Trust				
Parents' Life Insurance Trust #2 [OT]		\$1 - \$1,000	None	<input type="checkbox"/>
DESCRIPTION: Life Insurance Trust				
Property/business development ⇒ JAG-Greenscape [OT]		\$1 - \$1,000	None	<input type="checkbox"/>
DESCRIPTION: Small ownership interest for member and each dependent child in company with real estate holding.				
savings/money market [BA]		\$15,001 - \$50,000	Dividends	\$1 - \$200 <input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Stein Mart, Inc. (SMRT) [ST]		\$1 - \$1,000	None	<input type="checkbox"/>
TIAA-CREF [OT]	SP	\$15,001 - \$50,000	None	<input type="checkbox"/>
DESCRIPTION: Spouse's 401k program from previous teacher employment.				
Undeveloped Property [RP]		\$1,001 - \$15,000	None	<input type="checkbox"/>
LOCATION: Gulf Shores, AL, US DESCRIPTION: Less than 1% interest in undeveloped property on Hwy 59 in Gulf Shores, AL.				
Verizon Communications Inc. (VZ) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
Vodafone Group Plc - American Depositary Shares each representing ten Ordinary Shares (VOD) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200 <input type="checkbox"/>
VOYA [OT]	SP	\$15,001 - \$50,000	None	<input type="checkbox"/>
DESCRIPTION: Spouse's retirement/401k program from employer.				

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

None disclosed.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Cristo Rey-Baton Rouge	spouse salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Citizens Bank and Trust	July 2018	HELOC/mortgage on residence	\$50,001 - \$100,000
JT	GMFS	July 2018	mortgage on residence	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2008	garret and Louisiana State Employee's Retirement System (LASERS)	Same terms for state employees. Retirement benefit based upon years of service and salary.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Janus
- Property/business development
LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Garret Graves , 08/13/2020