



Filing ID #10043832

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Richard W. Allen  
**Status:** Member  
**State/District:** GA12

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2020  
**Filing Date:** 10/20/2021

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
1015 Broad Street LLC [RP]		\$1,000,001 - \$5,000,000	Rent	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Augusta, GA, US					
DESCRIPTION: Rental Property					
3M Company (MMM) [ST]	SP	None	None		<input checked="" type="checkbox"/>
3M Company (MMM) [ST]	SP	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Abbott Laboratories (ABT) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Accenture plc Class A Ordinary Shares (ACN) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Alphabet Inc. - Class A (GOOGL) [ST]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Amazon.com, Inc. (AMZN) [ST]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Amgen Inc. (AMGN) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Charles Schwab IRA ⇒ Goldman Sachs Bank CD 1.90%(38149MFB4) [BA]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ Morgan Stanley Bank 3%21 [BA]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Charles Schwab IRA ⇒ Putnam Ultra Short Duration Income (PSDTX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ Schwab Emerging Markets Equity ETF (SCHE) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ Schwab International Equity ETF (SCHF) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ Schwab U.S. Broad Market ETF (SCHB) [ST]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ Schwab U.S. Mid Cap ETF (SCHM) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ Schwab U.S. Small-Cap ETF (SCHA) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ Schwab US Dividend Equity ETF (SCHD) [ST]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ Schwab US Trsy Money In SNSXX [MF]		None	None		<input checked="" type="checkbox"/>
Charles Schwab IRA ⇒ Technology Select Sector [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Charles Schwab IRA ⇒ US Treasury Bill19 [GS]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Charles Schwab US MC ETF SCHM [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Church & Dwight Company, Inc. (CHD) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cintas Corporation (CTAS) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Communicati Svcs Slct Sec XLC [MF]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Costco Wholesale Corporation (COST) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Dominion Energy, Inc. (D) [ST]	SP	None	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Dover Corporation (DOV) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Greenway Messenger LLC, 5% Interest [HE]	JT	None	None		<input type="checkbox"/>
DESCRIPTION: Investment Company based in Bowdon, GA, dissolved May, 2020.					
Home Depot, Inc. (HD) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Inherited IRA - 2016 ⇒ Charles Schwab US MC ETF SCHM [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
COMMENTS: This investment was reported as Charles Schwab US Mid Cap ETF SCHM on 2019 FDS.					
Inherited IRA - 2016 ⇒ Putnam Ultra Short Duration Income PSDTX [MF]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Inherited IRA - 2016 ⇒ Schwab Emerging Markets Equity ETF SCHEE [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Inherited IRA - 2016 ⇒ Schwab US Broad Market EFT [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Inherited IRA - 2016 ⇒ SPDR Select Sector Fund - Health Care (XLV) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Inherited IRA - 2016 ⇒ US Treasury Bill due 7/23/20 [MF]	SP	None	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
Inherited IRA - 2016 ⇒ Wells Fargo & Co 2.65% [BA]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Inherited IRA - 2016 ⇒ Wells Fargo & Co 3.1% [BA]	SP	None	None		<input type="checkbox"/>
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Schwab International Equity ETF (SCHF) [ST]	SP	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Schwab U.S. Mid Cap ETF (SCHM) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Schwab US Dividend Equity ETF (SCHD) [ST]	SP	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Schwab US Treasury Money Inv [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: Purchase					
Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account ⇒ Wells Farge & Co 3.1% [BA]	SP	None	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
Intuit Inc. (INTU) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Johnson & Johnson (JNJ) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kimberly-Clark Corporation (KMB) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Marriott International - Class A (MAR) [ST]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Microsoft Corporation (MSFT) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Paychex, Inc. (PAYX) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Pershing Advisor Solutions LLC ⇒ Pershing Government Account [GS]		None	None		<input type="checkbox"/>
Personal Home in DC [RP]		\$1,000,001 - \$5,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Washington, DC, US DESCRIPTION: Basement Level Apartment rented out					
Putnam Ultra Short Duration Incm A PSDTX [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
RAWA Leasing Equipment [RP]		\$100,001 - \$250,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
LOCATION: Augusta, GA, US					
RW Allen & Associates INC, 100% Interest [OL]	SP	\$5,000,001 - \$25,000,000	Distribution; Salary;	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Augusta, GA, US DESCRIPTION: Salary; Distribution;					
RW Allen Construction LLC1, 40% Interest [OT]	SP	\$500,001 - \$1,000,000	Distribution; Salary;	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Construction Company					
RW Allen Construction LLC2, 20% Interest [OT]	SP	\$500,001 - \$1,000,000	Distribution; Salary;	\$100,001 - \$1,000,000	<input type="checkbox"/>
DESCRIPTION: Construction Company					
Schwab Emerging Markets Equity ETF SCHEE [MF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Schwab US Dividend Equity ETF SCHD [EF]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Schwab US Trsy Money In SNSXX [MF]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Schwab US Trsy Money In SNSXX [GS]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Schwab US Trsy Money In SNSXX [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Select Sector Health Care SPDR ETF (XLV) [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
South State Bank [BA]	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
SPDR Select Sector Fund - Technology (XLK) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Starbucks Corporation (SBUX) [ST]	SP	None	None		<input checked="" type="checkbox"/>
The Communication Services Select Sector SPDR Fund (XLC) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Truist Financial Corporation (TFC) [ST]	SP	None	None		<input checked="" type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Verizon Communications Inc. (VZ) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Walmart Inc. (WMT) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Walt Disney Company (DIS) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Wells Fargo Bank [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
3M Company (MMM) [ST]	SP	05/14/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab IRA ⇒ Charles Schwab US MC ETF SCHM [MF]		01/30/2020	P	\$1,001 - \$15,000	
Charles Schwab IRA ⇒ Charles Schwab US MC ETF SCHM [MF]		02/24/2020	P	\$1,001 - \$15,000	
Charles Schwab IRA ⇒ Putnam Ultra Short Duration Incm A PSDTX [MF]		01/31/2020	P	\$50,001 - \$100,000	
Charles Schwab IRA ⇒ Putnam Ultra Short Duration Incm A PSDTX [MF]		02/10/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Charles Schwab IRA ⇒ Putnam Ultra Short Duration Incm A PSDTX [MF]		02/24/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Charles Schwab IRA ⇒ Schwab Emerging Markets Equity ETF SCHEE [MF]		02/10/2020	P	\$1,001 - \$15,000	
Charles Schwab IRA ⇒ Schwab Emerging Markets Equity ETF SCHEE [MF]		12/17/2020	P	\$1,001 - \$15,000	
Charles Schwab IRA ⇒ Schwab International Equity ETF SCHF [MF]		02/10/2020	P	\$1,001 - \$15,000	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Charles Schwab IRA ⇒ Schwab US Broad Market ETF SCHB [MF]		01/30/2020	P	\$1,001 - \$15,000	
Charles Schwab IRA ⇒ Schwab US Dividend Equity ETF SCHD [MF]		01/30/2020	P	\$1,001 - \$15,000	
Charles Schwab IRA ⇒ Schwab US Dividend Equity ETF SCHD [MF]		02/10/2020	P	\$1,001 - \$15,000	
Charles Schwab IRA ⇒ Schwab US Dividend Equity ETF SCHD [MF]		02/24/2020	P	\$1,001 - \$15,000	
Charles Schwab IRA ⇒ Schwab US Dividend Equity ETF SCHD [MF]		06/16/2020	P	\$1,001 - \$15,000	
Charles Schwab IRA ⇒ Schwab US Small Cap ETF SCHA [MF]		02/10/2020	P	\$1,001 - \$15,000	
Charles Schwab IRA ⇒ Schwab US Small Cap ETF SCHA [MF]		11/16/2020	P	\$1,001 - \$15,000	
Dominion Energy, Inc. (D) [ST]	SP	12/15/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Dover Corporation (DOV) [ST]	SP	06/15/2020	P	\$15,001 - \$50,000	
Home Depot, Inc. (HD) [ST]	SP	05/14/2020	P	\$1,001 - \$15,000	
Inherited IRA - 2016 ⇒ Putnam Ultra Short Duration Incm A PSDTX [MF]	SP	01/23/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Inherited IRA - 2016 ⇒ Putnam Ultra Short Duration Incm A PSDTX [MF]	SP	07/24/2020	P	\$15,001 - \$50,000	
Inherited IRA - 2016 ⇒ Putnam Ultra Short Duration Incm A PSDTX [MF]	SP	08/20/2020	P	\$15,001 - \$50,000	
Inherited IRA - 2016 ⇒ Schwab Emerging Markets Equity ETF SCHEE [MF]	SP	12/17/2020	P	\$1,001 - \$15,000	
Putnam Ultra Short Duration Income PSDTX [MF]	SP	08/6/2020	P	\$15,001 - \$50,000	
Schwab US Trsy Money In SNSXX [MF]	SP	02/19/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Schwab US Trsy Money In SNSXX [MF]	SP	07/14/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Schwab US Trsy Money In SNSXX [MF]		07/14/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Select Sector Health Care SPDR ETF [MF]		04/24/2020	P	\$15,001 - \$50,000	
Starbucks Corporation (SBUX) [ST]	SP	07/2/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Truist Financial Corporation (TFC) [ST]	SP	04/15/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Walmart Inc. (WMT) [ST]	SP	02/20/2020	P	\$1,001 - \$15,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
RW Allen & Associates	Spouse salary	N/A
RW Allen Construction LLC	Spouse salary	N/A
Inherited IRA	IRA Distribution	N/A

## SCHEDULE D: LIABILITIES

Owner Creditor	Date Incurred	Type	Amount of Liability
Wells Fargo Bank	10/2010	Mortgage on Primary Residence Augusta, GA	\$100,001 - \$250,000
South State Bank Augusta, GA	12/2014	Mortgage on Secondary Residence, Washington, D.C.	\$500,001 - \$1,000,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

None disclosed.

## **SCHEDULE G: GIFTS**

None disclosed.

## **SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

None disclosed.

## **SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

## **SCHEDULE A AND B ASSET CLASS DETAILS**

- Charles Schwab IRA
- Inherited IRA - 2016 (Owner: SP)
- Inherited IRA - 2016 ⇒ Charles Schwab IRA Investment Account (Owner: SP)
- Pershing Advisor Solutions LLC  
DESCRIPTION: Money Market Fund

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Richard W. Allen , 10/20/2021