



Filing ID #10037156

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. John A. Yarmuth
Status: Member
State/District: KY03

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2019
Filing Date: 07/28/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
3M Company (MMM) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Alphabet Inc. - Class A (GOOGL) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Estate transfer					
Altria Group, Inc. (MO) [ST]		None	None		<input checked="" type="checkbox"/>
Amazon.com, Inc. (AMZN) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Amazon.com, Inc. (AMZN) [ST]		\$50,001 - \$100,000	None		<input type="checkbox"/>
DESCRIPTION: Increase from estate transaction					
Amer Funds American Balance Fund [MF]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Estate transaction					
Amgen Inc. (AMGN) [ST]		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Apple Inc. (AAPL) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Increase to do Estate transaction					
AT&T Inc. (T) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Estate transaction					
Bank of America Corporation (BAC) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Berkshire Hathaway Inc. New (BRK.B) [ST]		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
BlackRock, Inc. (BLK) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Boeing Company (BA) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Bristol-Myers Squibb Company (BMY) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Caterpillar, Inc. (CAT) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Cisco Systems, Inc. (CSCO) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Citigroup, Inc. (C) [ST]		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
CME Group Inc. - Class A (CME) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
CMS Energy Corporation (CMS) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Coca-Cola Company (KO) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Increase from estate transation					
Comcast Corporation - Class A (CMCSA) [ST]		\$1 - \$1,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
ConocoPhillips (COP) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Made two purchases of this stock in 2017, both purchases were for amounts under \$1,000.					
Costco Wholesale Corporation (COST) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
CSX Corporation (CSX) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Dana Large Cap Equity [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Danaher Corporation (DHR) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Increase from estate transaction					
Delta Air Lines, Inc. (DAL) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Doonbeg Property [RP]		\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Doonbeg, IE					
DowDuPont Inc. (DWDP) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Duke Energy Corporation (DUK) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Increase from estate transaction					
E*TRADE Financial Corporation (ETFC) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Ecolab Inc. (ECL) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Entrustpermal Alternative Core [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
EOG Resources, Inc. (EOG) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Estee Lauder Companies, Inc. (EL) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Europacific Growth FD CL F-2 [MF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Federated Treasury Obligation Fund [GS]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Federated Treasury Obligation Fund [GS]		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
FedEx Corporation (FDX) [ST]		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
General Dynamics Corporation (GD) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
General Motors Company (GM) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Goldman Sachs ABS Return Tracker [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Goldman Sachs Capital I [CS]		\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Estate transaction					
Goldman Sachs Group, Inc. (GS) [ST]		None	Dividends	\$1 - \$200	<input type="checkbox"/>
Home Depot, Inc. (HD) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Honeywell International Inc. (HON) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Illinois St ASSUR A-AGM [GS]		\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Estate transaction					
Illinois Tool Works Inc. (ITW) [ST]		None	Dividends	\$1 - \$200	<input type="checkbox"/>
Intel Corporation (INTC) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Increase from estate transaction					
Ishares Barclays 1-3 year Credit BD FD [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Ishares Barclays TIPS Bond Fund [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Ishares National Muni Bond ETF [EF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
ISHARES Short Mat Bond EFT [EF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Estate transaction					
Johnson & Johnson (JNJ) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
JP Morgan Chase & Co. (JPM) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Kentucky PPTY BLD [GS]		\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: Estate transaction					
Kimberly-Clark Corporation (KMB) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Estate transaction					
LHC Group - common stock (LHCG) [ST]		\$500,001 - \$1,000,000	None		<input type="checkbox"/>
DESCRIPTION: Formerly Almost Family. Changed via merger.					
Litman Gregory Masters Alt [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Lowe's Companies, Inc. (LOW) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Mairs & Power Small Cap [MF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Marathon Petroleum Corporation (MPC) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Mastercard Incorporated (MA) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
McDonald's Corporation (MCD) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Increase from estate transaction					
Merck & Company, Inc. Common Stock (MRK) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Microsoft Corporation (MSFT) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Increase from estate transaction					
Mondelez International, Inc. - Class A (MDLZ) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley (MS) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
NextEra Energy, Inc. (NEE) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Nike, Inc. (NKE) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Northrop Grumman Corporation (NOC) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Estate transaction					
Northwestern Mutual annuity [FN]		\$100,001 - \$250,000	None		<input type="checkbox"/>
Oppenheimer DVLPING MKTS-Y [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Oppenheimer Intl Bond Fund I [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Oracle Corporation (ORCL) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Oshares US Quality Divid ETF [EF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: Estate transaction					
Penn Mutual whole life insurance policy [OT]		\$100,001 - \$250,000	None		<input type="checkbox"/>
DESCRIPTION: This is a whole life insurance policy.					
Pepsico, Inc. (PEP) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Pfizer, Inc. (PFE) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
PNC Checking Account [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Principal Midcap Blend Fund [MF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Procter & Gamble Company (PG) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Sameul Klein Trust [OT]		Undetermined	Excepted/Blind Trust	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Beneficiary of trust established by grandparents for education					
Seafarer Overseas GR & Income Institutional Shares [MF]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Sherwin-Williams Company (SHW) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Signet Jewelers Limited Common Shares (SIG) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Sonny's Barbeque, Orlando, Florida [PS]		\$5,000,001 - \$25,000,000	Royalties and income	\$100,001 - \$1,000,000	<input type="checkbox"/>
DESCRIPTION: Interest in subchapter S corporation					
Starbucks Corporation (SBUX) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Increase from estate transaction					
T Rowe Price High Yield FD-INV [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Texas Instruments Incorporated (TXN) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
The Kraft Heinz Company (KHC) [ST]		None	Dividends	\$1 - \$200	<input type="checkbox"/>
The Priceline Group Inc. (PCLN) [ST]		\$1,001 - \$15,000	None		<input type="checkbox"/>
Thermo Fisher Scientific Inc (TMO) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Touchstone Small Cap Core Fund Class Y [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
U.S. Bancorp (USB) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
UBS Bank USA MMF Yield Account [BA]		\$500,001 - \$1,000,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Estate transaction					
UBS E-Tracs Alerian MLP Infrastr [EF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
UBS Prime Investor Fund [MF]		\$100,001 - \$250,000	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
Union Pacific Corporation (UNP) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
United Parcel Service, Inc. (UPS) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
UnitedHealth Group Incorporated Common Stock (UNH) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
USB Bank USA Deposit [BA]		\$500,001 - \$1,000,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Estate transaction					
Vanguard FTSE Developed Markets ETF (VEA) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard FTSE Emerging Markets ETF (VWO) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard REIT ETF [RE]		\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Vanguard Utilities ETF (VPU) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Verizon Communications Inc. (VZ) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Verizon MD MW +30BP [CS]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Estate transaction					
Visa Inc. (V) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Walmart Inc. (WMT) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Walt Disney Company (DIS) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Increase from estate transaction					
Waste Management, Inc. (WM) [ST]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Wells Fargo & Company (WFC) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
William Blair FDS Alloc I [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WisdomTree Europe Hedged Equity Fund (HEDJ) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Yum! Brands, Inc. (YUM) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Altria Group, Inc. (MO) [ST]		08/2/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Amgen Inc. (AMGN) [ST]		12/6/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Amgen Inc. (AMGN) [ST]		12/18/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Berkshire Hathaway Inc. New (BRK.B) [ST]		12/6/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Caterpillar, Inc. (CAT) [ST]		08/30/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Citigroup, Inc. (C) [ST]		12/18/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Coca-Cola Company (KO) [ST]		12/19/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Danaher Corporation (DHR) [ST]		12/18/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Estee Lauder Companies, Inc. (EL) [ST]		08/2/2019	P	\$1,001 - \$15,000	
FedEx Corporation (FDX) [ST]		08/16/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Intel Corporation (INTC) [ST]		12/6/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Johnson & Johnson (JNJ) [ST]		12/6/2018	P	\$1,001 - \$15,000	
Pepsico, Inc. (PEP) [ST]		12/18/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Procter & Gamble Company (PG) [ST]		12/6/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Verizon Communications Inc. (VZ) [ST]		12/6/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Verizon Communications Inc. (VZ) [ST]		12/24/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Waste Management, Inc. (WM) [ST]		08/30/2019	P	\$1,001 - \$15,000	

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Fifth Third Bank	March 2012	Demand note	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Director	First Tee of Louisville
Director Emeritus	Kentucky Golf Association

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
The Aspen Institute	10/12/2019	10/15/2019	Washington DC - Aspen, CO - Washington DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☒ Yes ☐ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. John A. Yarmuth , 07/28/2020