



Filing ID #10037757

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Katherine M. Clark  
**Status:** Member  
**State/District:** MA05

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2019  
**Filing Date:** 08/12/2020

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise SEP IRA ⇒ ABB Ltd (ABB) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ AMC Networks Inc. - Class A (AMCX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ American Beacon SIM High Yield Opptys CL Y [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ BNY Mellon Global Real Return CL I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
COMMENTS: name changed from Dreyfus					
Ameriprise SEP IRA ⇒ Coca-Cola European Partners plc Ordinary Shares (CCE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Emerson Electric Company (EMR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Guggenheim Total Return Bond Instl CL [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise SEP IRA ⇒ Hasbro, Inc. (HAS) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Home Depot, Inc. (HD) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ HSBC Holdings, plc. (HSBC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Intel Corporation (INTC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Invesco Emerging Markets Sovereign Debt ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Invitation Homes Inc. (INVH) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Janus Henderson Enterprise CL I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ JP Morgan Hedged Equity CL I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ JPMorgan Strategic Income Opptys CL I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Kimberly-Clark Corporation (KMB) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Lord Abbett Short Duration Income CL F [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Mainstay Mackay Short Duration High Yield CL I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Marathon Petroleum Corporation (MPC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise SEP IRA ⇒ Mosaic Company (MOS) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Oracle Corporation (ORCL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Ritchie Bros. Auctioneers Incorporated (RBA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Vanguard Small Cap ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Visa Inc. (V) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ ABB Ltd (ABB) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ AMC Networks Inc. - Class A (AMCX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ American Beacon SIM High Yield Opptys CL Y [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ BNY Mellon Global Real Return CL I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
COMMENTS: name changed from Dreyfus					
Ameriprise Traditional IRA ⇒ Coca-Cola European Partners plc Ordinary Shares (CCE) [ST]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Emerson Electric Company (EMR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Guggenheim Total Return Bond Instl Cl [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise Traditional IRA ⇒ Hasbro, Inc. (HAS) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Home Depot, Inc. (HD) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ HSBC Holdings, plc. (HSBC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Intel Corporation (INTC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Invesco Emerging Markets Sovereign Debt ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Invitation Homes Inc. (INVH) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Janus Henderson Enterprise CL I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ JP Morgan Heded Equity CL I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ JPMorgan Strategic Income Opptys CL I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Kimberly-Clark Corporation (KMB) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Lord Abbett Short Duration Income CL F [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Mainstay Mackay Short Duration High Yield CL I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Marathon Petroleum Corporation (MPC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise Traditional IRA ⇒ Mosaic Company (MOS) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Oracle Corporation (ORCL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Ritchie Bros. Auctioneers Incorporated (RBA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Vanguard Small Cap ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Visa Inc. (V) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Bank of America Account [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Capital One Savings Account [BA]	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
COPERA 401(K) Plan ⇒ PERAdvantage 2030 Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
COPERA 401(K) Plan ⇒ PERAdvantage US Large Cap Stock [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
D1 Irrevocable Trust ⇒ John Hancock 529 Enrollment Portfolio C2 [5P]	DC	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: AK COMMENTS: name changed from College Portfolio to Enrollment Portfolio					
D1 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio C2 [5P]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: AK					

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
D1 Irrevocable Trust ⇒ John Hancock Fixed Income Portfolio C2 [5P]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: AK					
D1 Irrevocable Trust ⇒ Sequoia Fund [MF]	DC	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
LOCATION: AK					
D2 Irrevocable Trust ⇒ John Hancock 529 Enrollment Portfolio A [5P]	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: AK					
COMMENTS: name changed from College to Enrollment Portfolio					
D2 Irrevocable Trust ⇒ John Hancock 529 Enrollment Portfolio C2 [5P]	DC	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: AK					
COMMENTS: name changed from College to Enrollment portfolio					
D2 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio A [5P]	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: AK					
D2 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio C2 [5P]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: AK					
D2 Irrevocable Trust ⇒ Sequoia Fund [MF]	DC	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
LOCATION: AK					
D3 Irrevocable Trust ⇒ John Hancock 529 Enrollment Portfolio A [5P]	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: AK					
COMMENTS: name changed from College Portfolio to Enrollment Portfolio					
D3 Irrevocable Trust ⇒ John Hancock 529 Enrollment Portfolio C2 [5P]	DC	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: AK					
COMMENTS: name changed from College Portfolio to Enrollment Portfolio					
D3 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio A [5P]	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: AK					
D3 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio A [5P]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: AK					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
D3 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio C2 [5P]	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
LOCATION: AK					
D3 Irrevocable Trust ⇒ Sequoia Fund [MF]	DC	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Eastern Bank Account [BA]	JT	\$250,001 - \$500,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Family Home (non-primary) [RP]	JT	\$1,000,001 - \$5,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Truro, MA, US					
Judith S. Clark Irrevocable Trust ⇒ Apple Inc. (AAPL) [ST]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ AT&T Inc. (T) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Avondale AZ Projs of 1998 2007 Build Amer [GS]		None	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 7.8%, 7/1/29 Redeemed 7/1/2019					
Judith S. Clark Irrevocable Trust ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		\$100,001 - \$250,000	None		<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Camden County NJ Impt Auth Lease Rev Taxable-C [GS]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 6.72%, 7/1/23					
Judith S. Clark Irrevocable Trust ⇒ Cisco Systems, Inc. (CSCO) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Fidelity Government Money Market [BA]		\$500,001 - \$1,000,000	None		<input type="checkbox"/>
DESCRIPTION: Cash holdings					
Judith S. Clark Irrevocable Trust ⇒ Ford Motor Company (F) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ FS Energy and Power Fund [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Judith S. Clark Irrevocable Trust ⇒ GDB Debt Recovery Auth of Commonwealth of Puerto Rico [GS]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 5.2%, 8/1/26					
Judith S. Clark Irrevocable Trust ⇒ HEALTHSOUTH CORP SR NT [AB]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 5.75% 11/01/24					
Judith S. Clark Irrevocable Trust ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ JP Morgan Chase & Co. SR NT [AB]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 3.25%; 9/23					
Judith S. Clark Irrevocable Trust ⇒ KB HOME SR NT 7.500% [AB]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 7.500% 9/15/22					
Judith S. Clark Irrevocable Trust ⇒ MICROSOFT CORP FIXEDRT NT [CS]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 3.0%, 10/1/20					
Judith S. Clark Irrevocable Trust ⇒ Microsoft Corporation (MSFT) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ NETAPP INC SR NT [AB]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 3.250% 12/15/22					
Judith S. Clark Irrevocable Trust ⇒ New Orleans LA RFDG-Taxable-Ltd Tax [GS]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 3.142%, 9/1/20					
Judith S. Clark Irrevocable Trust ⇒ New York Community Bancorp, Inc. (NYCB) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ ROYAL BK SCOTLAND GROUP PLC SUB NT TIER 2 ISIN#US780097AZ42 [AB]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 6.000% 12/19/23					
Judith S. Clark Irrevocable Trust ⇒ SPDR S&P 500 ETF [EF]		\$250,001 - \$500,000	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Judith S. Clark Irrevocable Trust ⇒ STATE STR CORP SR SUB NT [AB]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 3.100% 05/15/23					
Judith S. Clark Irrevocable Trust ⇒ TEVA PHARMACEUTICAL FIN IV B V SR NT [AB]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 3.650% 11/10/21					
Judith S. Clark Irrevocable Trust ⇒ United Parcel Service, Inc. (UPS) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Verizon Communications Inc. (VZ) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Walt Disney Company (DIS) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ WELLS FARGO & CO NEWMEDIUM TERM SR NTS FXD RT SER M [CS]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 4.125%; 08/15/23					
Katherine M. Clark Exempt Trust ⇒ Fidelity Government Cash Reserves [MF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Katherine M. Clark Exempt Trust ⇒ Ruane, Cunniff, & Goldfarb Inc. [PS]		\$100,001 - \$250,000	Capital Gains, Dividends, Interest, Ordinary business income	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: investment adviser					
Katherine M. Clark Separate Share Trust ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		\$15,001 - \$50,000	Capital Gains	None	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Annaly Capital Management Inc (NLY) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Apple Inc. (AAPL) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ AT&T Inc. (T) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Bank of America Corporation (BAC) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Katherine M. Clark Separate Share Trust ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ BlackRock MuniYield [MF]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Cisco Systems, Inc. (CSCO) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Coca-Cola Company (KO) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ FCASH [BA]		\$250,001 - \$500,000	Interest	\$1 - \$200	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Financial Select Sector SPDR ETF [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	None	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ International Business Machines Corporation (IBM) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Microsoft Corporation (MSFT) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ New York Community Bancorp, Inc. (NYCB) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Oakmark Investor [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Pfizer, Inc. (PFE) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Procter & Gamble Company (PG) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Ruane, Cunniff, & Goldfarb Inc. [PS]		\$100,001 - \$250,000	Capital Gains, Dividends, Interest, Ordinary business income	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: investment adviser					
Katherine M. Clark Separate Share Trust ⇒ Schwab International Equity ETF [EF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Katherine M. Clark Separate Share Trust ⇒ Schwab US Dividend Equity ETF [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ SPDR S&P 500 ETF Trust [EF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Sprint Nextel Corp SR DEB [AB]  DESCRIPTION: 9.25%, 4/15/22		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Vanguard FTSE Emerging Markets ETF [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	None	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Vanguard Russell 2000 ETF [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	None	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Walt Disney Company (DIS) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Law Management Innovation LLC, 100% Interest [OL]	SP	Undetermined	None		<input type="checkbox"/>
LOCATION: Melrose, MA, US DESCRIPTION: Consultancy					
Massachusetts state pension [PE]		Undetermined	None		<input type="checkbox"/>
Sequoia Fund [MF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise SEP IRA ⇒ AMC Networks Inc. - Class A (AMCX) [ST]	SP	11/5/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ American Beacon SI??M High Yield Opptys CL Y [MF]	SP	01/29/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ American Tower Corporation (AMT) [ST]	SP	01/29/2019	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise SEP IRA ⇒ American Tower Corporation (AMT) [ST]	SP	05/12/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Anadarko Petroleum Corporation (APC) [ST]	SP	01/29/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Anadarko Petroleum Corporation (APC) [ST]	SP	05/12/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Anadarko Petroleum Corporation (APC) [ST]	SP	06/4/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ BNY Mellon Global Real Return CL I [MF]	SP	01/29/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Centene Corporation (CNC) [ST]	SP	11/5/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Coca-Cola European Partners plc Ordinary Shares (CCEP) [ST]	SP	11/5/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Guggenheim Total Return Bond Instl CL [MF]	SP	11/5/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Guggenheim Total Return Bond Instl CL [MF]	SP	01/29/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Hasbro, Inc. (HAS) [ST]	SP	06/4/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Hasbro, Inc. (HAS) [ST]	SP	11/5/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Honeywell International Inc. (HON) [ST]	SP	07/9/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Invesco Emerging Markets Sovereign Debt ETF [EF]	SP	01/29/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Invesco Ltd (IVZ) [ST]	SP	11/5/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Ameriprise SEP IRA ⇒ Invitation Homes Inc. (INVH) [ST]	SP	11/5/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Janus Henderson Enterprise CL I [MF]	SP	04/12/2019	P	\$15,001 - \$50,000	
Ameriprise SEP IRA ⇒ Janus Henderson Enterprise CL I [MF]	SP	01/29/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ JPMorgan Hedged Equity CL I [MF]	SP	01/29/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ JPMorgan Strategic Income Opptys CL I [MF]	SP	01/29/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Kimberly-Clark Corporation (KMB) [ST]	SP	11/5/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Lord Abbett Short Duration Income CL F [MF]	SP	01/29/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Mainstay Mackay Short Duration High Yield CL I [MF]	SP	11/5/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Marathon Petroleum Corporation (MPC) [ST]	SP	06/4/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	01/29/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Mosaic Company (MOS) [ST]	SP	07/9/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Pioneer Natural Resources Company (PXD) [ST]	SP	11/5/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Putnam Short Term Duration Income CL Y [MF]	SP	01/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Sony Corporation (SNE) [ST]	SP	05/12/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Southern Copper Corporation (SCCO) [ST]	SP	01/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise SEP IRA ⇒ SPDR Bloomberg Barclays short Term High Yield Bond ETF [EF]	SP	01/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	01/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	SP	05/12/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	SP	11/5/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Vanguard Mid Cap ETF [EF]	SP	01/29/2019	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Vanguard Mid Cap ETF [EF]	SP	01/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Vanguard Short Term Corp Bond ETF [EF]	SP	01/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Vanguard Small Cap ETF [EF]	SP	01/29/2019	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ AMC Networks Inc. - Class A (AMCX) [ST]	SP	11/5/2019	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ American Beacon SIM High Yield Opptys CL Y [MF]	SP	01/29/2019	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ American Tower Corporation (AMT) [ST]	SP	01/29/2019	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ American Tower Corporation (AMT) [ST]	SP	05/12/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Anadarko Petroleum Corporation (APC) [ST]	SP	06/4/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒	SP	01/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
BNY Mellon Global Real Return CL I [MF]				(partial)	
Ameriprise Traditional IRA ⇒ Centene Corporation (CNC) [ST]	SP	11/5/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Coca-Cola European Partners plc Ordinary Shares (CCEP) [ST]	SP	11/5/2019	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Guggenheim Total Return Bond Instl CL [MF]	SP	11/5/2019	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Guggenheim Total Return Bond Instl CL [MF]	SP	01/29/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Honeywell International Inc. (HON) [ST]	SP	07/9/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Invesco Emerging Markets Sovereign Debt ETF [EF]	SP	01/29/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Invesco Ltd (IVZ) [ST]	SP	11/5/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Invitation Homes Inc. (INVH) [ST]	SP	11/5/2019	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Janus Henderson Enterprise CL I [MF]	SP	04/12/2019	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Janus Henderson Enterprise CL I [MF]	SP	01/29/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ JPMorgan Hedged Equity CL I [MF]	SP	01/29/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ JPMorgan Strategic Income Opptys CL I [MF]	SP	01/29/2019	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Kimberly-Clark Corporation (KMB) [ST]	SP	11/5/2019	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Lord Abbett Short Duration Income CL F [MF]	SP	01/29/2019	P	\$1,001 - \$15,000	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Ameriprise Traditional IRA ⇒ Mainstay Mackay Short Duration High Yield CL I [MF]	SP	11/5/2019	P	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Marathon Petroleum Corporation (MPC) [ST]	SP	06/4/2019	P	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	01/29/2019	P	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Mosaic Company (MOS) [ST]	SP	07/9/2019	P	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Pioneer Natural Resources Company (PXD) [ST]	SP	11/5/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Putnam Short Term Duration Income CL Y [MF]	SP	01/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Sony Corporation (SNE) [ST]	SP	05/12/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Southern Copper Corporation (SCCO) [ST]	SP	01/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ SPDR Bloomberg Barclays short Term High Yield Bond ETF [EF]	SP	01/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	01/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	SP	05/12/2019	P	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Vanguard Mid Cap ETF [EF]	SP	01/29/2019	P	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Vanguard Mid Cap ETF [EF]	SP	01/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Vanguard Short Term Corp Bond ETF [EF]	SP	01/29/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Ameriprise Traditional IRA ⇒ Vanguard Small Cap ETF [EF]	SP	01/29/2019	P	\$1,001 - \$15,000	<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Enrollment Portfolio A [5P] LOCATION: AK	DC	08/14/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Enrollment Portfolio A [5P] LOCATION: AK	DC	11/19/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Enrollment Portfolio C2 [5P] LOCATION: AK	DC	08/14/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Enrollment Portfolio C2 [5P] LOCATION: AK	DC	11/19/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio A [5P] LOCATION: AK	DC	08/14/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio A [5P] LOCATION: AK	DC	11/19/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio A [5P] LOCATION: AK DESCRIPTION: Units rolled over from Fixed Income Portfolio C2.	DC	01/15/2019	P	\$1,001 - \$15,000	<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio C2 [5P] LOCATION: AK DESCRIPTION: Units Rolled Over to Fixed Income Portfolio A.	DC	01/15/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio A [5P] LOCATION: AK	DC	08/14/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
D2 Irrevocable Trust ⇒ John Hancock 529 Short term Bond Portfolio C2 [5P] LOCATION: AK	DC	08/14/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
D2 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio C2 [5P]	DC	11/19/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: AK					
Judith S. Clark Irrevocable Trust ⇒ AT&T Inc. (T) [ST]		03/13/2019	P	\$1,001 - \$15,000	
Judith S. Clark Irrevocable Trust ⇒ Avondale AZ Projs of 1998 2007 Build Amer [GS]		07/1/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: 7.8%, 7/1/29 Redeemed 7/1/2019					
Judith S. Clark Irrevocable Trust ⇒ Flextronics Intl Ltd [CS]		09/20/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Redeemed call make whole 4.625%; 2/15/20					
Judith S. Clark Irrevocable Trust ⇒ FS KKR Capital Corp. (FSK) [ST]		01/25/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Healthsouth Corp Note [CS]		06/7/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: redeemed partial call payout 5.75%, 11/01/2024					
Judith S. Clark Irrevocable Trust ⇒ Home Depot, Inc. (HD) [ST]		01/25/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Intel Corporation (INTC) [ST]		01/25/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ New York Community Bancorp, Inc. (NYCB) [ST]		03/13/2019	P	\$1,001 - \$15,000	
Judith S. Clark Irrevocable Trust ⇒ Norfolk Southern Corporation (NSC) [ST]		01/25/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ PNC Financial Services Group, Inc. (PNC) [ST]		01/25/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Radian Group Inc Note [AB]		06/3/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: redeemed redemption payout 5.5%, 6/1/2019					
Judith S. Clark Irrevocable Trust ⇒ Rent A Center Inc [CS]		08/15/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
DESCRIPTION: 4.75%; 05/01/2021 Redeemed Full Call Payout					
Judith S. Clark Irrevocable Trust ⇒ The Kraft Heinz Company (KHC) [ST]		01/25/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

<b>Source</b>	<b>Type</b>	<b>Amount</b>
Massachusetts Board of Bar Overseers	spouse salary	N/A

## SCHEDULE D: LIABILITIES

None disclosed.

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

<b>Date</b>	<b>Parties To</b>	<b>Terms of Agreement</b>
July 1997	Katherine M. Clark and the Commonwealth of Massachusetts	future pension
September 1991	Katherine M. Clark and the state of Colorado (COPERA)	future pension

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- Ameriprise SEP IRA (Owner: SP)

- Ameriprise Traditional IRA (Owner: SP)
- COPERA 401(K) Plan
- D1 Irrevocable Trust (Owner: DC)
- D2 Irrevocable Trust (Owner: DC)
- D3 Irrevocable Trust (Owner: DC)
- Judith S. Clark Irrevocable Trust
- Katherine M. Clark Exempt Trust  
DESCRIPTION: inherited April 5, 2019
- Katherine M. Clark Separate Share Trust  
DESCRIPTION: inherited April 5, 2019

### **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

### **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Katherine M. Clark , 08/12/2020