



Filing ID #10042337

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Chris Pappas  
**Status:** Member  
**State/District:** NH01

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2020  
**Filing Date:** 08/4/2021

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Bank of America Checking xx23 [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Bank of America Savings xx25 [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Northwestern Mutual [WU]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Pilgrim Realty [OL]		\$250,001 - \$500,000	LLC Income	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: New Hampshire, NH, US DESCRIPTION: Minority Owner, Real Estate Holding Company for Family Business					
Puritan Confectionery Co. [OL]		\$1,000,001 - \$5,000,000	LLC Income	None	<input type="checkbox"/>
LOCATION: New Hampshire, NH, US DESCRIPTION: Minority Owner, Family Restaurant Business					
Wells Fargo IRA ⇒ AGTHX - American Funds Growth Fund of America A [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒		None	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
APGYX - AB Large Cap Growth Fund Advisor Class [MF]					
Wells Fargo IRA ⇒ ASFYX - AlphaSimplex Managed Futures Strategy Fund Class Y [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ AVGRX - BNY Mellon Dynamic Total Return Fund Class I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ BRLVX - American Beacon Bridgeway Large Cap Value Fund R5 Class [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA ⇒ DHSTX - Diamond Hill Funds Class I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA ⇒ FDSWX - AIG Focused Dividend Strategy Fund Class W [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ FGZMX - Fidelity Advisor New Market Income Funds Class I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ GSFTX - Columbia Dividend Income Fund Institutional Class [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA ⇒ GSITX - Goldman Sachs Small Cap Value Insights Fund Class I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ HBLIX - Hartford Balanced Income Fund Class I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ JMIEX - JPMorgan Emerging Markets Equity Fund Class L [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ LFMIX - Locorr Macro Strategies Fund Class I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ MDIJX - MFS International Diversification Fund Class I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Wells Fargo IRA ⇒ MINIX - MFS International Diversification Fund Class I [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA ⇒ NEWFX - American Funds New World Fund Class A [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ OAYIX - Oakmark International Fund Class A [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA ⇒ ODVYX - Invesco Oppenheimer Developing Markets Fund Class Y [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ PCBIX - Principal MidCap Fund Institutional Class [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA ⇒ PICMX - Virtus KAR Mid-Cap Growth Fund Class I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA ⇒ PQNAX - Allianz Funds Mid Cap Value Fund A [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ SCATX - Virtus Zevenbergen Innovative Growth Stock Fund Class I [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Wells Fargo IRA ⇒ SHOYX - American Beacon SiM High Yield Opportunities Fund Y Class [MF]		None	None		<input checked="" type="checkbox"/>
Wells Fargo IRA ⇒ SMCWX - American Funds SmallCap World Fund A [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Wells Fargo IRA ⇒ VEVIX - Victory Sycamore Established Value Fund Class I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Wells Fargo IRA ⇒ APGYX - AB Large Cap Growth Fund Advisor Class [MF]		06/1/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo IRA ⇒ BRLVX - American Beacon Bridgeway Large Cap Value Fund R5 Class [MF]		06/1/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo IRA ⇒ DHSTX - Diamond Hill Funds Class I [MF]		06/1/2020	P	\$1,001 - \$15,000	
Wells Fargo IRA ⇒ GSFTX - Columbia Dividend Income Fund Institutional Class [MF]		06/1/2020	P	\$1,001 - \$15,000	
Wells Fargo IRA ⇒ MDIJX - MFS International Diversification Fund Class I [MF]		06/1/2020	P	\$1,001 - \$15,000	
Wells Fargo IRA ⇒ MINIX - MFS International Intrinsic Value Fund Class I [MF]		06/1/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo IRA ⇒ OAYIX - Oakmark International Fund Class Advisor [MF]		06/1/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo IRA ⇒ PCBIX - Principal MidCap Fund Institutional Class [MF]		12/18/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo IRA ⇒ PICMX - Virtus KAR Mid-Cap Growth Fund Class I [MF]		12/21/2020	P	\$1,001 - \$15,000	
Wells Fargo IRA ⇒ SCATX - Virtus Zevenbergen Innovative Growth Stock Fund Class I [MF]		06/1/2020	P	\$1,001 - \$15,000	
Wells Fargo IRA ⇒ SCATX - Virtus Zevenbergen Innovative Growth Stock Fund Class I [MF]		06/2/2020	P	\$1,001 - \$15,000	
Wells Fargo IRA ⇒ SHOYX - American Beacon SiM High Yield Opportunities Fund Y Class [MF]		06/1/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Puritan Confectionery Co.	September, 2019	Member Stock Purchase Loan	\$250,001 - \$500,000
	Arthur Pappas	December, 2005	Stock Purchase	\$100,001 - \$250,000
	Harbor One Mortgage	March, 2015	Personal Residence Mortgage	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Member	Puritan Confectionery Co.
Member	Pilgrim Realty

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2012	Puritan Confectionery Co.	Member Buyout Agreement
January 2014	Pilgrim Realty	Member Buyout Agreement

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

◦ Wells Fargo IRA
-------------------

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Chris Pappas , 08/4/2021