



Filing ID #10042276

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Barbara Lee  
**Status:** Member  
**State/District:** CA13

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2020  
**Filing Date:** 08/12/2021

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
1919 Socially Responsive Balanced Fund Class [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Access Capital Community Investment Fund (I)(ACCSX) [MF]		\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Acorns [BA]		\$1,001 - \$15,000	None	<input type="checkbox"/>
Bank of America Checking & Savings Accounts [BA]	JT	\$1,001 - \$15,000	None	<input type="checkbox"/>
Blackrock Inflation Protected Bond Fund [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Blackrock National Municipal Fund [MF]	SP	\$50,001 - \$100,000	Tax-Deferred	<input type="checkbox"/>
Brown Advisory Sustainable Growth Fund [MF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Calvert Global Energy Solutions Fund (I) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Calvert Global Water Fund Class (I) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Calvert Green Bond Bond (I) [MF]		\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Charles Schwab Checking and Money Market Accounts [BA]		\$1,001 - \$15,000	None	<input type="checkbox"/>
Congressional Federal Credit Union Checking and Savings [BA]		\$15,001 - \$50,000	None	<input type="checkbox"/>
CRA Qualified Investment Institutional Class (CRANX) [MF]		\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
Delaware Investments Small Cap Value Institutional Class [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Delaware Value Fund Institutional Class [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
East West Bank Accounts, Oakland, CA [BA]		\$1,001 - \$15,000	None	<input type="checkbox"/>
Estate of Clyde Oden, Sr.-Rental Property in Pahrump, NV [RP]	SP	\$250,001 - \$500,000	Rent	\$1 - \$200 <input type="checkbox"/>
LOCATION: Pahrump, NV, US				
Estate of Clyde Oden, Sr.-Rental Property in San Diego, CA [RP]	SP	\$250,001 - \$500,000	Rent	\$1 - \$200 <input type="checkbox"/>
LOCATION: San Diego, CA, US				
DESCRIPTION: Rental payment				
EV Income Fund of Boston [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
First Affirmative Balanced Income Fossil Fuel Free [IH]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Green Century Balanced Fund (GCBLX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Green Century Equity Fund (GCEQX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Harding Loevner International Equity Portfolio Institutional Class [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Hartford Mid Cap [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Tx. &gt; \$1,000?</b>
Healthcare Trust of America, Inc. Class A (HTA) [ST]		\$1,001 - \$15,000	None	<input type="checkbox"/>
Invesco Conservative Income Fund Institutional Class [MF]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
JP Morgan Value Advantage Fund-I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Lazard International Strategic Equity Portfolio International Shares [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Loomis Sayles Growth Fund Class Y [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Matthews Asia Japan Fund Investor Class [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Merrill Lynch [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Parnassus Endeavor Fund (PARWX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Parnassus Fund (PARNX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Pax Large Cap Fund Institutional (PXLIX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
PAX World Global Green Institutional Class (PGINX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
PIMCO International Bond Fund (US Dollar Hedged) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
PIMCO Short Term 12 [MF]	SP	\$50,001 - \$100,000	Tax-Deferred	<input type="checkbox"/>
Portfolio 21 Institutional Fund (PORIX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Praxis Impact Bond Fund Class I (MIIIX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
Shelton FDS Green Alpha Fund [MF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
T. Rowe Price Blue Chip Growth Fund [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
T. Rowe Price Emerging Markets Equity Fund [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Tx. &gt; \$1,000?</b>
TIAA Real Estate Instl (TIREX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input checked="" type="checkbox"/>
Wells Fargo Emerging Markets Equity Income Fund [MF]	SP	\$1 - \$1,000	Tax-Deferred	<input type="checkbox"/>
Wells Fargo Short-term Municipal Bond Fund Institutional Class [MF]	SP	\$50,001 - \$100,000	Tax-Deferred	<input type="checkbox"/>
Wells Fargo Special Mid Cap Value Fund [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Blackrock Inflation Protected Bond Fund [MF]	SP	05/11/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Blackrock National Municipal Bond Fund [MF]	SP	04/14/2020	S	\$50,001 - \$100,000	<input type="checkbox"/>
Brown Advisory Sustainable Growth Fund [MF]		07/14/2020	E	\$1,001 - \$15,000	
DESCRIPTION: Sell exch.ang					
Delaware Investments Small Cap Value Fund Institutional Class [MF]	SP	05/11/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Delaware Value Fund Institutional Class [MF]	SP	05/11/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
EV Income Fund of Boston [MF]	SP	05/11/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
FIRst Affirmative Balanced Income Fossil Fuel Free [MF]		07/14/2020	E	\$1,001 - \$15,000	
DESCRIPTION: Custodial Cash					
Harding Loevner International Equity Portfolio Institutional Class [MF]	SP	05/11/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Hartford Mid Cap 1 [MF]	SP	04/14/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Invesco Conservative Income Fund Institutional Class [MF]	SP	05/11/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
JP Morgan Value Advantage Fund-I [MF]	SP	05/11/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Lazard International Strategic Equity Portfolio Institutional Shares [MF]	SP	05/11/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Loomis Sayles Growth Fund Class Y [MF]	SP	05/11/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Matthews Asia Japan Fund Investor Class [MF]	SP	05/11/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Pax Large Cap Fund Institutional (PXLIX) [MF]		07/14/2020	E	\$1,001 - \$15,000	
DESCRIPTION: Buy exchange.					
PIMCO International Bond Fund US Dollar Hedged 12 [MF]	SP	05/11/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
PIMCO Short Term 12 [MF]	SP	01/31/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Shelton FDS Green Alpha Fund [MF]		07/14/2020	E	\$1,001 - \$15,000	
DESCRIPTION: Sell Exchange					
T. Rowe Price Blue Chip Growth Fund [MF]	SP	05/11/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Short-term Municipal Bond Fund Institutional Class [MF]	SP	05/11/2020	S	\$50,001 - \$100,000	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Payne Theological Seminary	Fee for Teaching/Mentoring (Spouse)	N/A
Symetra Insurance Company	Retirement distribution (Spouse)	N/A
Los Angeles County Employees Retirement Association (LACERA)	Retirement distribution (Spouse)	N/A

## SCHEDULE D: LIABILITIES

<b>Owner Creditor</b>	<b>Date Incurred</b>	<b>Type</b>	<b>Amount of Liability</b>
Wells Fargo Bank	July 2012	Mortgage on Palm Desert, CA Condo Residence	\$100,001 - \$250,000
Credit Union Mortgage Association	January 2011	Mortgage on DC Condo Residence	\$250,001 - \$500,000

## SCHEDULE E: POSITIONS

<b>Position</b>	<b>Name of Organization</b>
Member, Congressional Advisory Council	The Faith and Politics Institute (Nonprofit Public Benefit Corporation)
Board Member	WILL/WAND (Nonprofit Public Benefit Corporation)
Board Member	Project Vote Smart (Nonprofit Public Benefit Corporation)
Trustee	Ghana Children's Fund (a project of the East Bay Community Foundation)
Board Member	ProgressiveCaucus.org (Nonprofit Public Benefit Corporation)
Member, Honorary Board of Advisors	National Student Leadership Conference(Nonprofit Public Benefit Corporation)
Member, Congressional Advisory Board	The Humpty Dumpty Institute (Nonprofit Public Benefit Corporation)
Member, Advisory Council	Dellums Institute (Nonprofit Public Benefit Corporation)
Member, Advisory Board	Grand Lakes Gardens Senior Living Community
Member, Advisory Board	Swords to Plowshares (Nonprofit Public Benefit Corporation)
Member, Congressional Council	Women's Information Network (WIN)/(Nonprofit Public Benefit Corporation)
Trustee	Berkeley Theological Seminary
COMMENTS: Former org. name---American Baptist Seminary of the West	
Board Member	Stiles Hall (Nonprofit Public Benefit Corporation)

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
The German Marshall Fund of the United States	02/15/2021	02/20/2020	San Francisco - Elmau - Munich - San Francisco	0	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
COMMENTS: Full name of trip sponsor: The German Marshall Fund of the United States; Robert Bosch Stiftung							

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## COMMENTS

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Barbara Lee , 08/12/2021