



Filing ID #10043463

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Michael Fq San Nicolas
Status: Member
State/District: GU00

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2020
Filing Date: 08/13/2021

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
3M Company (MMM) [ST]	JT	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
401a KKSN ⇒ T. Rowe Price Large Cap Growth I [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 401a Employer Sponsored Retirement Plan					
401a KKSN ⇒ Vanguard Institutional Index Fund [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 401a Employer Sponsored Retirement Plan					
401a KKSN ⇒ Vanguard Windsor II Adm [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 401a Employer Sponsored Retirement Plan					
401a KKSN ⇒ William Blair Small Mid Cap Growth [MF]	SP	\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 401a Employer Sponsored Retirement Plan					
457g KKSN ⇒ DFA Emerging Markets Core Equity I [MF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 457G Employer Sponsored Retirement Plan					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
457g KKSN ⇒ Grandeur Peak International Stalwarts [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 457G Employer Sponsored Retirement Plan					
457g KKSN ⇒ Principal Global Real Estate Sec [MF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 457G Employer Sponsored Retirement Plan					
457g KKSN ⇒ T. Rowe Price Large Cap Growth I [MF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 457G Employer Sponsored Retirement Plan					
457g KKSN ⇒ Vanguard Institutional Index Fund [MF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 457G Employer Sponsored Retirement Plan					
457g KKSN ⇒ Vanguard Windsor II Adm [MF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 457G Employer Sponsored Retirement Plan					
Ameritrade Money Market Account [BA]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
BankGuam Holding Company Common Stock (BKGMF) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cash [OT]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Cash on hand					
Chalan Pago SFD [RP]	JT	\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Chalan Pago, GU, US					
Dededo SFD [RP]	JT	\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Dededo, GU, US					
Kalebs 529 [5P]	DC	\$15,001 - \$50,000	None		<input type="checkbox"/>
LOCATION: GU					
Katelyns 529 [5P]	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
LOCATION: GU					
Latte Heights SFD [RP]	JT	\$250,001 -	Rent	\$15,001 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$500,000		\$50,000	
LOCATION: Mangilao, GU, US					
Livent Corporation (LTHM) [ST]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: 200 shares purchased 10/07/2020 @ 11.85/shr					
Midland National Life Policy KKSN [WU]	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
Midland National Life Policy MSN [WU]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Receivables from Campaign [DO]	JT	\$15,001 - \$50,000	Loan Proceeds	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Talofofo SFD [RP]	JT	\$250,001 - \$500,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Talofofo, GU, US					
Various Depository Accounts [BA]	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Walmart Inc. (WMT) [ST]	JT	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Receivables from Campaign [DO]	JT	11/30/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Amounts paid back to candidate by campaign for campaign loan made by candidate to campaign. Check #276 /277					
Receivables from Campaign [DO]	JT	02/18/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Amounts paid back to candidate by campaign for campaign loan made by candidate to campaign. Check #178					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Montgomery County Public Schools	Teaching	\$26,477.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Bank of Guam	October 2010	Dededo Home Mortgage	\$100,001 - \$250,000
JT	Bank of Guam	January 2018	Signature Loan	\$15,001 - \$50,000
JT	Bank of Guam	February 2011	Chalan Pago Home Mortgage	\$100,001 - \$250,000
	Congressional Federal	August 2019	Auto Loan	\$15,001 - \$50,000
JT	Bank of Hawaii	October 2016	Dededo HELOC	\$15,001 - \$50,000
JT	Bank of Hawaii	October 2016	Latte Heights HELOC	\$50,001 - \$100,000
JT	Bank of Hawaii	October 2016	Talofofo HELOC	\$10,000 - \$15,000
JT	Bank of Guam	Revolving	Revolving (Credit Card)	\$10,000 - \$15,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- 401a KKSN (Owner: SP)
DESCRIPTION: Kathryn K. San Nicolas Government of Guam Retirement Fund 401a

- 457g KKSN (Owner: SP)

DESCRIPTION: Kathryn K. San Nicolas Government of Guam Retirement Fund Deferred Compensation Plan 457g

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Michael Fq San Nicolas , 08/13/2021