



Filing ID #10025078

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Keith J. Rothfus
Status: Former Member
State/District: PA12

FILING INFORMATION

Filing Type: Terminated Filer Report
Filing Year: 2019
Filing Date: 05/1/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
3M Company (MMM) [ST]	SP	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
AT&T Inc. (T) [ST]	SP	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
BNY Mellon Bond Fund [MF]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
BNY Mellon Emerging Market Fund [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
BNY Mellon International Fund [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
BNY Mellon Mid Cap Fund [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: Dividends - \$201 - \$1,000 Capital Gains - \$1,001 - \$2,500					
BNY Mellon Small Cap Fund [MF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Dividends - \$0 - \$200 Capital Gains - \$201 - \$1,000					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Campbell Soup Company (CPB) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Caterpillar, Inc. (CAT) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Charles Schwab Money Market Account [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Chevron Corporation (CVX) [ST]	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Cigna Corporation (CI) [ST]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Dividends: \$1-\$200 Capital Gains - \$1-\$200					
Cisco Systems, Inc. (CSCO) [ST]	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Citizens Bank [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Coca-Cola Company (KO) [ST]	SP	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Cons Staples Sel Sect SPDR XLP [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Dollar Bank Account [BA]	SP	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Dollar Bank Accounts [BA]		\$250,001 - \$500,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Dow Chemical Company (DOW.Z) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Dreyfus Disc Stock Fund [MF]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Dreyfus Money Mkt Reserve [MF]	SP	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Energy Sel Sect SPDR XLE [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Express Scripts Holding Company (ESRX) [ST]	SP	None	Capital Gains	\$100,001 - \$1,000,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Capital gain recognized due to a merger with Cigna Corp.					
Frontier Communications Corporation (FTR) [ST]	SP	\$1 - \$1,000	None		<input type="checkbox"/>
Intel Corporation (INTC) [ST]	SP	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Intel Corporation (INTC) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Lewis Farms Minnesota, LP, 33% Interest [FA]	SP	Spouse/DC Over \$1,000,000	Farm Income, Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Marshall, MN, US					
DESCRIPTION: Produces Corn, Soy Bean and Sugar Beets					
Merck & Company, Inc. Common Stock (MRK) [ST]	SP	Spouse/DC Over \$1,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
MetLife Policy [WU]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Microsoft Corporation (MSFT) [ST]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Morgan Stanley Account [BA]	SP	\$250,001 - \$500,000	Interest	\$1 - \$200	<input type="checkbox"/>
Morgan Stanley Accounts [BA]		\$250,001 - \$500,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Northwestern Mutual Policy [WU]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
PPL Corporation (PPL) [ST]	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Procter & Gamble Company (PG) [ST]	SP	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Procter & Gamble Company (PG) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Royal Dutch Shell PLC Royal Dutch Shell American Depositary Shares (RDS.A) [ST]	SP	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Southern Company (SO) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
SPDR Trust Series 1 Fund [MF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Spouse's Trust ⇒ 3M Company (MMM) [ST]	SP	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Spouse's Trust ⇒ BNY Mellon Money Market Account [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse's Trust ⇒ BNYM Natl Inmdt Muni Bond Fund [MF]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse's Trust ⇒ Chevron Corporation (CVX) [ST]	SP	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Spouse's Trust ⇒ Eli Lilly and Company (LLY) [ST]	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Spouse's Trust ⇒ Exxon Mobil Corporation (XOM) [ST]	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Spouse's Trust ⇒ General Electric Company (GE) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Spouse's Trust ⇒ International Business Machines Corporation (IBM) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse's Trust ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse's Trust ⇒ Microsoft Corporation (MSFT) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Spouse's Trust ⇒ Procter & Gamble Company (PG) [ST]	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Texas Instruments Incorporated (TXN) [ST]	SP	Spouse/DC Over \$1,000,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard Small Cap Vipers Fund [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Verizon Communications Inc. (VZ) [ST]	SP	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Washington Mutual Investment Fund [MF]		\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Express Scripts Holding Company (ESRX) [ST]	SP	12/21/2018	E	\$1.00	
DESCRIPTION: Express Scripts Holding Company merged with Cigna Corp. on 12/21/18 resulting in an exchange for shares of Cigna Corp.					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Spouse's Trust (Owner: SP)
DESCRIPTION: Spouse is a 1/3 beneficiary

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Keith J. Rothfus , 05/1/2019