

UNITED STATES HOUSE OF REPRESENTATIVES 2021 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

Name: Bradley James Sherman Daytime Telephone: _____

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

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FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>CA</u> District: <u>30</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____	Staff Filer Type: (If Applicable) <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant
REPORT TYPE	<input checked="" type="checkbox"/> 2021 Annual (Due: May 16, 2022)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____	

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$415 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$415 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	- Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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Use additional sheets if more space is required.

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BLOCK A Assets and/or Income Sources	BLOCK B Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
SP, DC, JT	A None B \$1-\$1,000 C \$1,001-\$15,000 D \$15,001-\$50,000 E \$50,001-\$100,000 F \$100,001-\$250,000 G \$250,001-\$500,000 H \$500,001-\$1,000,000 I \$1,000,001-\$5,000,000 J \$5,000,001-\$25,000,000 K \$25,000,001-\$50,000,000 L Over \$50,000,000 M Spouse/DC Asset over \$1,000,000*	N NONE O DIVIDENDS P RENT Q INTEREST R CAPITAL GAINS S EXCEPTED/BLIND TRUST T TAX-DEFERRED U Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None II \$1-\$200 III \$201-\$1,000 IV \$1,001-\$2,500 V \$2,501-\$5,000 VI \$5,001-\$15,000 VII \$15,001-\$50,000 VIII \$50,001-\$100,000 IX \$100,001-\$1,000,000 X \$1,000,001-\$5,000,000 XI Over \$5,000,000 XII Spouse/DC Asset with income over \$1,000,000*	P, S, S(part), or E
ASSET NAME				
WesCom Credit Union (third account) 5000 Van Nuys Blvd Sherman Oaks, CA 91403	X	X	X	
WesCom Credit Union (fourth account) 5000 Van Nuys Blvd Sherman Oaks, CA 91403	X	X	X	
Sandy Springs Bank (MD) small checking account	X	X	X	

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SCHEDULE A - ASSETS & "UNEARNED INCOME"

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
	\$100,001-\$250,000																																			
	\$250,001-\$500,000																																			
	\$500,001-\$1,000,000																																			
	\$1,000,001-\$5,000,000																																			
	\$5,000,001-\$25,000,000																																			
	\$25,000,001-\$50,000,000																																			
	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
	BANK OF America																																			
	bank account																																			
	Putnam Muni Bond																																			
	Fund																																			
	Walt Disney																																			
	company stock																																			

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Use additional sheets if more space is required.

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Use additional sheets if more space is required.

SCHEDULE B - TRANSACTIONS

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT

SP	Example	Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MM/DD/YY) or Monthly, or Quarterly, if applicable	Amount of Transaction										
			Purchase	Sale	Partial Sale	Exchange			A \$1,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
		BNY mortgage Fund		X	X		X	8/24/21		X			X						
		Patriam Muni Bond Fund		X				8/17/21		X									
		BNY Mellon CA AMT-Free Municipal Bonds Fund		X				8/17/21			X								
		Loan to principal campaign committee - non-interest bearing note		X				9/13/21				X							
		Loan to principal campaign committee - Non-interest bearing note		X				9/17/21				X							

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INCOME LIMITS and PROHIBITED INCOME: The 2021 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$29,595. The 2022 limit is \$29,895. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

Use additional sheets if more space is required

SCHEDULE D -- LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A \$10,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/20	Mortgage on Rental Property, Dover, DE				X							
	Sandy Spring Bank CMD	1/17	Home Equity Line of credit secured by Wash. DC home				X							
	(paid off in 2021)													
	Congressional Federal Credit Union - Rayburn Building	9/16	First mortgage on home in DC					X						

SCHEDULE E -- POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization

SCHEDULE F -- AGREEMENTS

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
11/2014	myself and the state of California	participation in CA state legislators Retirement pension

SCHEDULE G -- GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$415 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives; gifts of personal hospitality from an individual (which may not include a registered lobbyist or foreign agent); local meals; and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$166 or less need not be added towards the \$415 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

Source	Description	Value
Example: Mr. Joseph Smith, Arlington, VA	Silver Platter (prior determination of personal friendship received from the Committee on Ethics)	\$500
N/A	N/A	N/A

Schedule of Transactions**Vanguard California Intermediate Tax-Exempt Bond Fund**

Date	Transaction	Amount
01/29/2021	Income Dividend Reinvested	\$136.63
02/26/2021	Income Dividend Reinvested	\$144.67
03/31/2021	Income Dividend Reinvested	\$136.33
04/30/2021	Income Dividend Reinvested	\$139.01
05/28/2021	Income Dividend Reinvested	\$135.22
06/30/2021	Income Dividend Reinvested	\$137.15
07/30/2021	Income Dividend Reinvested	\$133.26
08/31/2021	Income Dividend Reinvested	\$132.24
09/30/2021	Income Dividend Reinvested	\$134.96
10/29/2021	Income Dividend Reinvested	\$133.20
11/30/2021	Income Dividend Reinvested	\$136.34
12/29/2021	Capital Gain Distribution Reinvested	\$7.13
12/29/2021	Capital Gain Distribution Reinvested	\$29.16
12/31/2021	Income Dividend Reinvested	\$133.80
Total		\$1669.10

Vanguard TIPS Fund (IRA)

Date	Transaction	Amount
03/31/2021	Income Dividend Reinvested	\$363.32
03/31/2021	Capital Gain Distribution Reinvested	\$5.20
06/30/2021	Income Dividend Reinvested	\$1660.99
09/30/2021	Income Dividend Reinvested	\$3098.17
12/22/2021	Income Dividend Reinvested	\$3443.09
Total		\$8570.77

Vanguard TIPS Fund (Not IRA)

Date	Transaction	Amount
03/31/2021	Capital Gain Distribution Reinvested	\$00.80
03/31/2021	Income Dividend Reinvested	\$56.18
6/30/2021	Income Dividend Reinvested	\$256.84
09/30/2021	Income Dividend Reinvested	\$479.07
12/22/2021	Income Dividend Reinvested	\$532.40
Total		\$1325.29

Footnotes

Number	Section/Schedule	Footnote
1	General Information	Filer owns a home in his district. Because that home is not subject to a mortgage, and does not produce rental income, it is not listed in this report.
2	General Information	We have been advised that the phrase "trust benefiting you" does not apply to a trust from which the filer receives no benefits, but which will provide a benefit at some future time upon the death of the current beneficiary (but only if the trust happened to still have assets at that time).
3	General Information	Disclosure not required by law or regulations: filer's campaign committee has made significant investments, including in US government bonds (TIPS) and Bank Certificates of Deposit.
4	General Information	Filer serves or had served as trustee of trusts established by his parents and grandparents and as executor of his mother's estate. These positions are uncompensated and therefore not disclosed.
5	General Information	Non-interest-bearing loans to family members are not listed.
6	General Information	Two burial plots owned by filer are not listed.
7	General Information	Assets of the Lane M. Sherman Trust distributable in full to other beneficiaries, and as to which the filer or his children or spouse will receive no portion thereof, are not listed.
8	General Information	The following bank accounts and CDs were closed or matured and not rolled over in 2021: Ally Bank CD, Banesco USA CD, Bank of Baroda CD (page 9), the Bank of America accounts on pages 11, 17, and 19. Likewise, the Morgan Stanley accounts on pages 13 and 15 no longer hold filer's cash as of year-end 2021. Filer's Mount Diablo Hospital District Bond holdings were reduced by the maturity of one bond in 2021.

9	Schedule A	Lane M. Sherman Family Trust is a trust in which filer's mother had been sole beneficiary until her death on October 11, 2020. The filer was the 100% beneficial owner of certain certificates of deposit that had been listed on filer's 2020 disclosure under that trust; these have been fully distributed to filer as of December 2021. He has a fractional interest in the other assets still listed under the trust of approximately one-fifth of the assets not distributed by December 31, 2021. The valuation of those assets in this financial disclosure report reflects the value of that one-fifth fractional interest only. During 2021, some assets of this trust were distributed to other beneficiaries and are not listed herein because Filer had no interest therein.
10	Schedule F	Date listed for agreement regarding state legislative pension is the date filer first received disbursement of benefit. Filer began accruing benefit when he took office on State Board of Equalization in January of 1991
11	Trusts	As of December 31, 2020 Filer was the sole beneficiary of the Maurice Sherman Trust, the Margaret Moss Living Trust and the Sarah Sherman Scharf Living Trust. The assets of these trusts were distributed to the Filer in 2021 and such assets are listed herein as assets owned directly by the Filer. These three Trusts no longer have any assets.
12	Schedule A	Filer reports income from bonds sold by issuer at a discount from face value, (known as OID) and which are payable at maturity at face value, incrementally each year as required by federal tax rules on recognizing such income notwithstanding that he will not realize such income until maturity of such bonds. Likewise, filer follows the OID tax rules for reporting income on Treasury Inflation Protected Securities.