



Filing ID #10039494

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Daniel Lipinski
Status: Former Member
State/District: IL03

FILING INFORMATION

Filing Type: Terminated Filer Report
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SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
4 Ever Life Insurance GUL Policy [WU]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
401K CORE EQUITY FUND [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
401K CVS HEALTH STOCK FUND [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
401K FID FREEDOM 2035 FUND [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: P					
401K FIDELITY CONTRAFUND [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
401K FIDELITY PURITAN FUND [MF]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
401K INTERNATIONAL EQUITY FUND [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
401K MARSH & MCLENNAN STOCK FUND [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
401K MIDCAP INDEX FUND [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
401K S&P 500 Index Fund [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
401K STABLE VALUE FUND [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
401K TARGET DATE 2035 FUND [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
401K US BOND INDEX FUND [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
ABERDEEN STD POLLADIUM [MF] DESCRIPTION: S(PART)	SP	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
ARK GENOMIC REVOLUTION ETF [MF] DESCRIPTION: P	SP	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
ARK Innovation ETF [MF] DESCRIPTION: S(PART), P	SP	\$50,001 - \$100,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
BRIGHTHOUSE FINANCIAL INC. [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
CVS Health Corporation [MF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Delaware Healthcare Instl [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
EATON VANCE FLOATING RATE [MF] DESCRIPTION: P	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
E-TRADE BANK DEPOSIT ACCOUNT (CASH) [BA]	SP	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
FIFTH THIRD BANK [BA]		\$500,001 - \$1,000,000	Interest	\$1 - \$200	<input type="checkbox"/>
FIRST EAGLE GLOBAL [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
FNBC Bank & Trust [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Guggenheim Floating Rate Strategy [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: S(PART), P					
INVESCO QQQ Trust [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: S(PART), P					
IRA Alpha CentrIc Income [IH]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: S					
IRA Ameriserve Account [IH]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA EATON VANCE FLOATING RATE [IH]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: P, S(PART)					
IRA Guggenheim Floating Rate Strategy [IH]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: S					
IRA ISHARES GOLD TRUST [IH]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: P					
IRA Leuthold Core [IH]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: S					
IRA LEUTHOLD GRIZZLY SHORT [IH]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: P, S					
IRA Loomis Sayles Global Alloction Y [IH]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: P					
IRA Loomis Sayles Sen Floating Rate Y [IH]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: P, S(PART)					
IRA PIMCO FDS INCOME [IH]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA PROSHARES TR LONG ONLINE SHRT [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: P					
IRA PROSHARES TRUST DECLINE RETAIL [IH]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: P, S					
IRA SPDR S&P 500 TRUST [IH]	SP	None	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: P, S					
IRA TD FDIC INSURED DEPOSIT ACCOUNT [IH]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
IRA VANGUARD 500 INDEX FUND [IH]					
		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
ISHARES GOLD TRUST [MF]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: P					
iSHARES PHLX SEMICONDUCTOR ETF [OT]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: S(PART)					
iShares US Aerospace & Defense [MF]	SP	None	Dividends, Capital Loss	None	<input checked="" type="checkbox"/>
DESCRIPTION: S					
LEUTHOLD GRIZZLY SHORT [MF]	SP	None	CAPITAL LOSS	None	<input checked="" type="checkbox"/>
DESCRIPTION: P, S					
LOOMIS SAYLES GLOBAL ALLOCATION [MF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Marsh & McLennan Companies, Inc. (MMC) [ST]					
	SP	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
METLIFE TCA MONEY MARKET ACCOUNT [BA]					
	SP	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MetLife, Inc. (MET) [ST]					
	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
NUVEEN SYMPHONY FIR [MF]					
	SP	\$15,001 - \$50,000	Dividends, CAPITAL LOSS	None	<input type="checkbox"/>
DESCRIPTION: S(PART), P					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
RETIREMENT CREF BOND MARKET [BA]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
RETIREMENT CREF EQUITY INDEX [HE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
RETIREMENT CREF GROWTH [BA]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
RETIREMENT CREF STOCK [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
RETIREMENT TIAA TRADITIONAL [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
SCHWAB U.S. LARGE-CAP GROWTH [OT]	SP	None	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: S					
SPDR S&P 500 TRUST [MF]	SP	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: P, S					
TD FDIC Insured Deposit Account [BA]	SP	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
UBS Bank Deposit [BA]	SP	\$1,001 - \$15,000	Dividends, Interest	\$1 - \$200	<input type="checkbox"/>
VAN ECK VECTORS FALLEN ANGEL ETF [MF]	SP	None	Dividends, CAPITAL LOSS	None	<input checked="" type="checkbox"/>
DESCRIPTION: S					
VANGUARD 500 [MF]		\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
VANGUARD REALESTATE ETF [MF]	SP	None	Dividends, CAPITAL LOSS	None	<input checked="" type="checkbox"/>
DESCRIPTION: S					
Virtus KAR Small Cap Growth [MF]	SP	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000	<input type="checkbox"/>
WELLS FARGO [BA]	SP	\$1,000,001 - \$5,000,000	Interest	\$1 - \$200	<input type="checkbox"/>
WISDOMTREE BLOOMBERG FLOATING RATE [MF]	SP	None	Dividends, CAPITAL LOSS	None	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: P, S					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
401K FID FREEDOM 2035 FUND [MF]	SP	Bi-weekly	P	\$15,001 - \$50,000	
ABERDEEN STD POLLADIUM [MF]	SP	03/16/2020	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
ARK GENOMIC REVOLUTION ETF [MF]	SP	04/27/2020	P	\$15,001 - \$50,000	
ARK Innovation ETF [MF]	SP	03/18/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
ARK Innovation ETF [MF]	SP	04/29/2020	P	\$15,001 - \$50,000	
EATON VANCE FLOATING RATE [MF]	SP	04/13/2020	P	\$15,001 - \$50,000	
Guggenheim Floating Rate Strategy [MF]	SP	03/13/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Guggenheim Floating Rate Strategy [MF]	SP	09/2/2020	P	\$15,001 - \$50,000	
INVESCO QQQ Trust [MF]	SP	08/27/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
INVESCO QQQ Trust [MF]	SP	04/27/2020	P	\$1,001 - \$15,000	
IRA Alpha CentrIc Income [IH]	SP	03/24/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
IRA EATON VANCE FLOATING RATE [IH]	SP	09/2/2020	P	\$1,001 - \$15,000	
IRA EATON VANCE FLOATING RATE [IH]	SP	03/13/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
IRA Guggenheim Floating Rate Strategy [IH]	SP	03/13/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA ISHARES GOLD TRUST [IH]	SP	08/6/2020	P	\$1,001 - \$15,000	
IRA Leuthold Core [IH]	SP	05/13/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA Leuthold Core [IH]	SP	08/27/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA LEUTHOLD GRIZZLY SHORT [IH]	SP	03/18/2020	P	\$15,001 - \$50,000	
IRA LEUTHOLD GRIZZLY SHORT [IH]	SP	04/14/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA LEUTHOLD GRIZZLY SHORT [IH]	SP	04/28/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
IRA Loomis Sayles Sen Floating Rate Y [IH]	SP	09/2/2020	P	\$15,001 - \$50,000	
IRA Loomis Sayles Sen Floating Rate Y [IH]	SP	03/13/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
IRA PROSHARES TR LONG ONLINE SHRT [MF]	SP	05/13/2020	P	\$15,001 - \$50,000	
IRA PROSHARES TRUST DECLINE RETAIL [IH]	SP	03/17/2020	P	\$15,001 - \$50,000	
IRA PROSHARES TRUST DECLINE RETAIL [IH]	SP	05/13/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
IRA SPDR S&P 500 TRUST [IH]	SP	03/26/2020	P	\$1,001 - \$15,000	
IRA SPDR S&P 500 TRUST [IH]	SP	08/27/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
ISHARES GOLD TRUST [MF]	SP	04/13/2020	P	\$1,001 - \$15,000	
ISHARES GOLD TRUST [MF]	SP	03/6/2020	P	\$1,001 - \$15,000	
iSHARES PHLX SEMICONDUCTOR ETF [MF]	SP	08/27/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
iShares US Aerospace & Defense [MF]	SP	03/12/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
LEUTHOLD GRIZZLY SHORT [MF]	SP	03/18/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LEUTHOLD GRIZZLY SHORT [MF]	SP	04/28/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
NUVEEN SYMPHONY FIR [MF]	SP	03/13/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
NUVEEN SYMPHONY FIR [MF]	SP	09/2/2020	P	\$15,001 - \$50,000	
SCHWAB U.S. LARGE-CAP GROWTH [MF]	SP	08/27/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
SPDR S&P 500 TRUST [MF]	SP	03/26/2020	P	\$1,001 - \$15,000	
SPDR S&P 500 TRUST [MF]	SP	08/27/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
VAN ECK VECTORS FALLEN ANGEL ETF [MF]	SP	03/12/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
VANGUARD REALESTATE ETF [MF]	SP	03/12/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
WISDOMTREE BLOOMBERG FLOATING RATE [MF]	SP	03/19/2020	P	\$50,001 - \$100,000	
WISDOMTREE BLOOMBERG FLOATING RATE [MF]	SP	08/27/2020	S	\$50,001 - \$100,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
BCS Financial Corporation	Spouse Salary	N/A

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Daniel Lipinski , 02/3/2021