



Filing ID #10035283

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. William R. Keating
Status: Member
State/District: MA09

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2019
Filing Date: 05/15/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
271 canton st [RP]	JT	\$100,001 - \$250,000	Rent	\$2,501 - \$5,000	<input type="checkbox"/>
LOCATION: stoughton, MA, US					
Baird Aggregate Bond Inst [MF]		\$50,001 - \$100,000		Tax-Deferred	<input type="checkbox"/>
baird ultra short bond fund instl [MF]		\$100,001 - \$250,000		Tax-Deferred	<input type="checkbox"/>
Black rock Strat Inc Opp [MF]		\$15,001 - \$50,000		Tax-Deferred	<input type="checkbox"/>
blackrock total factor [MF]		\$15,001 - \$50,000		Tax-Deferred	<input checked="" type="checkbox"/>
Boston Partners Global [MF]		\$50,001 - \$100,000		Tax-Deferred	<input type="checkbox"/>
BrownCapMgt SmallCo [MF]		\$15,001 - \$50,000		Tax-Deferred	<input type="checkbox"/>
Com of Mass Retirement [PE]		\$100,001 - \$250,000	See Schedule C	\$100,001 - \$1,000,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?	
Dodge and Cox Inc [MF]		\$100,001 - \$250,000		Tax-Deferred	<input type="checkbox"/>	
Double line Ret Bond [MF]			\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>	
doubleline total return [MF]			\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>	
fidelity advisor intl. small cap [MF]		\$50,001 - \$100,000		Tax-Deferred	<input checked="" type="checkbox"/>	
fidelity contrafund [MF]		\$100,001 - \$250,000		Tax-Deferred	<input type="checkbox"/>	
Fidelity intermediate Muni Inc [MF]		\$100,001 - \$250,000		Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Fidelity Real Estate Inc [MF]		\$50,001 - \$100,000		Tax-Deferred	<input type="checkbox"/>	
FidelityCash Reserve [MF]			\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>	
iShares Edge Multifactor Int [MF]			\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>	
ishares s and p 500 value index [MF]		\$50,001 - \$100,000		Tax-Deferred	<input type="checkbox"/>	
ishares s and p midcap 400 value index [MF]			\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
ishares trust core msci total intl stke [MF]		\$50,001 - \$100,000		Tax-Deferred	<input type="checkbox"/>	
iShares Trust EAFE Gro [MF]			\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>	
JP Morgan Core Bond [MF]		\$100,001 - \$250,000		Tax-Deferred	<input type="checkbox"/>	
Loomis Sayles Bond [MF]			\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>	
LSV Sm Cap Val Inst [MF]			\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
principal diversified real asset fund [MF]		\$50,001 - \$100,000		Tax-Deferred	<input checked="" type="checkbox"/>
stone ridge alt lending [MF]		\$50,001 - \$100,000		Tax-Deferred	<input type="checkbox"/>
stone ridge elements us ports [MF]		\$15,001 - \$50,000		Tax-Deferred	<input type="checkbox"/>
Stone Ridge Reinsurance Risk [MF]		\$15,001 - \$50,000		Tax-Deferred	<input type="checkbox"/>
Stoneridge All Asset [MF]		\$15,001 - \$50,000		Tax-Deferred	<input type="checkbox"/>
touchstone sands cap emerg mrkts growth inst [MF]		\$50,001 - \$100,000		Tax-Deferred	<input type="checkbox"/>
Trans America Mid Cap [MF]		\$15,001 - \$50,000		Tax-Deferred	<input type="checkbox"/>
TRowePrice MidCap Gro [MF]		\$15,001 - \$50,000		Tax-Deferred	<input type="checkbox"/>
Tweedy Browne Global Val [MF]		\$15,001 - \$50,000		Tax-Deferred	<input type="checkbox"/>
US Airways Retirement [PE]		\$1,001 - \$15,000	See Schedule C	\$5,001 - \$15,000	<input type="checkbox"/>
Vanguard Balanced Index [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
vanguard extended mrkt etf s&p compl [MF]		\$15,001 - \$50,000		Tax-Deferred	<input type="checkbox"/>
Vanguard federal Money Mkt [MF]		\$1 - \$1,000		Tax-Deferred	<input type="checkbox"/>
Vanguard Intermediate Tax [MF]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Vanguard Tax Managed Balanced [MF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
aqr style premia alt [MF]		02/22/2019	P	\$15,001 - \$50,000	
aqr style premia alt [MF]		12/12/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
baird ultra short bond instl [MF]		02/22/2019	P	\$15,001 - \$50,000	
blackrock strategic income opps instl [MF]		02/22/2019	P	\$15,001 - \$50,000	
blackrock total facto;r inst [MF]		12/12/2019	P	\$15,001 - \$50,000	
brandes intertnational small cap equit;v [MF]		10/7/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
brandes intertnational small cap equit;v [MF]		10/7/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
brown capital managemant [MF]		02/22/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
dodge & cox income [MF]		02/22/2019	P	\$15,001 - \$50,000	
doubleline total return [MF]		12/27/2019	P	\$50,001 - \$100,000	
fidelity;v contra f;und [MF]		02/22/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
fidelity;v intermediate m;uni income [MF]		02/22/2019	P	\$15,001 - \$50,000	
fidelity advisor intl small cap [MF]		10/7/2019	P	\$15,001 - \$50,000	
fidelity real estate income [MF]		02/22/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
iq hedge multi strategy; tracker [MF]		02/22/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
ishares edge msci multifactor intl [MF]		02/22/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
ishares msci eafe small cap index [MF]		02/22/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
ishares s and p 500 value index [MF]		02/22/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
lsv small cap value [MF]		02/22/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
principal diversified real asset fund [MF]		12/13/2019	P	\$50,001 - \$100,000	
spdr index shs fds msci acwi ex us [MF]		02/22/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
stone ridge all asset [MF]		04/1/2019	P	\$1,001 - \$15,000	
stone ridge alt lending [MF]		04/1/2019	P	\$50,001 - \$100,000	
stone ridge alt lending [MF]		12/6/2019	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
stone ridge elements us ports [MF]		02/22/2009	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
stone ridge high yield reinsurance risk [MF]		04/1/2019	P	\$1,001 - \$15,000	
stone ridge reinsurance risk premium interval risk [MF]		11/22/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
t. rowe price index [MF]		02/22/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
touchtone sands emerg mkts gro [MF]		02/22/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
tweedy brown global value [MF]		02/22/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
vanguard 500 index [MF]		02/22/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
vanguard extended mrkt etf s&p compl [MF]		02/22/2009	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
vanguard intermediate term tax exempt adm [MF]		02/22/2019	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
versus capital real estate [MF]		11/15/2019	S	\$50,001 - \$100,000	<input type="checkbox"/>

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
commonwealth of massachusetts retirement plan	retirement plan	\$113,473.00
u.s. airways retirement plan	retirement plan spouse	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	sharon credit union	November 2016	mortgage	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

Position	Name of Organization
director	sharon Credit Union

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 1999	commonwealth of Massachusetts	retirement plan
September 1996	spouse/u.s. airways	retirement plan

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. William R. Keating , 05/15/2020