



Filing ID #10050611

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Thomas Suozzi  
**Status:** Member  
**State/District:** NY03

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2016  
**Filing Date:** 12/19/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Allianz- Qualified IRA ⇒ AZL DFA Multi Strategy Fund [MF]		\$50,001 - \$100,000	Tax-Deferred		
Allianz- Qualified IRA ⇒ Black Rock Global Allocation [MF]		\$100,001 - \$250,000	Tax-Deferred		
AXA Investments- IRA Account ⇒ Sector Consumer Staples SPDR ETF XLP [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
AXA Investments- IRA Account ⇒ Sector Financial Select Sector ETF XLF [MF]	SP	\$1 - \$1,000	Tax-Deferred		
AXA Investments- IRA Account ⇒ Sector Healthcare Select Sector ETF XLV [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
AXA Investments- IRA Account ⇒ Sector Technology Select Sector SPDR XLKV [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
AXA Investments- IRA Account ⇒ Ventas (VTR) [RE]	SP	\$15,001 - \$50,000	Tax-Deferred		
AXA Life Insurance [WU]	SP	\$50,001 -	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
		\$100,000			
AXA_ Suozzi Family Trust Life Ins Trust [WU]		\$50,001 - \$100,000	Tax-Deferred		
BP Real Estate Opportunity Group LLC [RP]		\$15,001 - \$50,000	None		
LOCATION: Sarasota, FL, US					
DESCRIPTION: Real estate Development Project with sole asset being located in Sarasota, Florida					
Charles Schwab Retirement Account ⇒ Alphabet Inc. - Class A (GOOGL) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ AmerisourceBergen Corporation (ABC) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ Baidu, Inc. - American Depository Shares, each representing one tenth Class A ordinary share (BIDU) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ BlackRock, Inc. (BLK) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ CarMax Inc (KMX) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ Cerner Corporation (CERN) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ CME Group Inc. - Class A (CME) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ Compass Minerals Intl Inc (CMP) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ Cooper Companies, Inc. (COO) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ Discover Financial Services (DFS) [ST]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Charles Schwab Retirement Account ⇒ eBay Inc. (EBAY) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ Express Scripts Holding Company (ESRX) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ Facebook, Inc. - Class A (FB) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ Lowe's Companies, Inc. (LOW) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ Mastercard Incorporated (MA) [ST]		\$50,001 - \$100,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ PayPal Holdings, Inc. (PYPL) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ Schwab Money Market Fund [IH]		\$50,001 - \$100,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ The Priceline Group Inc. (PCLN) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Charles Schwab Retirement Account ⇒ Time Warner Inc. New (TWX) [ST]		\$50,001 - \$100,000	Tax-Deferred		
Clarapath Corp [OL]		\$15,001 - \$50,000	None		
LOCATION: New York, NY, US					
DESCRIPTION: Investment is a start up company relating to biotech for Pathology. Offices are in Manhattan, New York.					
Damen Warehouse Owners, LLC, 6% Interest [OL]		\$100,001 - \$250,000	None		
LOCATION: Chicago, IL, US					
DESCRIPTION: Business is a refrigerated warehouse space located in Chicago, Illinois.					
Empirical Risk Management, Inc, 100% Interest [OL]	SP	\$1,001 - \$15,000	Ordinary Business Income	\$15,001 - \$50,000	\$15,001 - \$50,000
LOCATION: Glen Cove/Nassau, NY, US					
DESCRIPTION: Entity is an Insurance Brokerage business located in Glen Cove, New York.					
HCDC Holdings LLC [RP]		\$50,001 - \$100,000	Ordinary Business Income	\$50,001 - \$100,000	\$50,001 - \$100,000

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
LOCATION: Glen Cove/Nassau, NY, US DESCRIPTION: Minority interest in this entity which operates a day camp located in East Hampton, New York					
HCDC Realty Corp [RP]		\$100,001 - \$250,000	None		
LOCATION: Glen Cove/Nassau, NY, US DESCRIPTION: Rental property located in East Hampton, New York as the sole asset					
KEPWRS Inv, LLC [RP]		\$15,001 - \$50,000	None		
LOCATION: Williamsburgh, NY, US DESCRIPTION: Sole asset is rental property located in Williamsburgh, New York					
Naples Vanderbilt C&M Investors LLC [RP]		\$1,001 - \$15,000	Rent		\$1 - \$200      \$1 - \$200
LOCATION: Naples, FL, US					
SGC Commercial II, LLC [RP]		\$15,001 - \$50,000	None		
LOCATION: Sarasota, FL, US DESCRIPTION: Real estate development project. Sole asset is in Sarasota, Florida.					
Southampton Country Day Camp LLC [OL]		\$1,001 - \$15,000	None		
LOCATION: Southampton/Suffolk, NY, US DESCRIPTION: Minority interest in this entity which operates a day camp located in South Hampton, New York					
Southhampton Day Camp Realty LLC [RP]		\$50,001 - \$100,000	Rent	\$5,001 - \$15,000	\$5,001 - \$15,000
LOCATION: Southhampton/Suffolk, NY, US DESCRIPTION: Rental property located in South Hampton, New York as the sole asset					
Suozzi Solutions, Inc, 100% Interest [OL]		\$15,001 - \$50,000	Ordinary Business Income	\$100,001 - \$1,000,000	\$50,001 - \$100,000
LOCATION: Glen Cove/Nassau, NY, US DESCRIPTION: Entity is a management Advisory Services, consulting business located in Glen Cove, New York. The business ceased operations as of December 31, 2016.					
TIAA-CREF ⇒ CREF Equity Index R2 [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
TIAA-CREF ⇒ CREF Inflation-Linked Bond R2 [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
TIAA-CREF ⇒ CREF Social Choice R2 [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Harriss Beach PLLC	Salary	N/A	\$454,467.00
Empirial Risk Management Inc	Insurance Commissions	\$28,800.00	\$28,800.00
Ginsburg & Misk	Consulting Fee-Services rendered		\$50,000.00
Suozzi Solutions	Net of Purchase, renovation and sale of investment property	\$00	\$45,000.00

## SCHEDULE D: LIABILITIES

None disclosed.

## SCHEDULE E: POSITIONS

Position	Name of Organization
Chairman	Morgan Park Summer Music Festival

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Source (Name and Address)	Brief Description of Duties
Harris Beach PLLC (Pittsford, NY, US)	Consulting and Legal Services

## SCHEDULE A ASSET CLASS DETAILS

- Allianz- Qualified IRA
- AXA Investments- IRA Account (Owner: SP)
- Charles Schwab Retirement Account
- TIAA-CREF (Owner: SP)

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Thomas Suozzi , 12/19/2022