



Filing ID #10050066

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Katherine M. Clark
Status: Member
State/District: MA05

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2020
Filing Date: 08/12/2022

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise SEP IRA ⇒ ABB Ltd (ABB) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ American Beacon ARK Transformational Innovation CL Y [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ BlackRock, Inc. (BLK) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Coca-Cola Europacific Partners plc - Ordinary Shares (CCEP) [ST]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Coca-Cola European Partners plc Ordinary Shares (CCE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Edwards Lifesciences Corporation (EW) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise SEP IRA ⇒ First Solar, Inc. (FSLR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ GlaxoSmithKline PLC (GSK) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Guggenheim Total Return Bond Instl CL [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Home Depot, Inc. (HD) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Intel Corporation (INTC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Invesco Exchange S&P Midcap Low Volatility ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Invesco Solar ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Iron Mountain Incorporated (IRM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Janus Henderson Enterprise CL I [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Koninklijke Philips N.V. NY Registry Shares (PHG) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Lord Abbett Short Duration Income CL F [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Mainstay Mackay Short Duration High Yield CL I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Mastercard Incorporated (MA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Novo Nordisk A/S (NVO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Oracle Corporation (ORCL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise SEP IRA ⇒ Orange (ORAN) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Pepsico, Inc. (PEP) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Putnam Income CL Y [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ S&P Global Inc. (SPGI) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ SAP SE ADS (SAP) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ State Street Corporation (STT) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise SEP IRA ⇒ WCM Focused Intl Growth Instl CL (WCMIX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise SEP IRA ⇒ Xylem Inc. Common Stock New (XYL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ ABB Ltd (ABB) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ American Beacon ARK Transformational Innovation CL Y [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ BlackRock, Inc. (BLK) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise Traditional IRA ⇒ Coca-Cola Europacific Partners plc - Ordinary Shares (CCEP) [ST]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Coca-Cola European Partners plc Ordinary Shares (CCE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Edwards Lifesciences Corporation (EW) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ First Solar, Inc. (FSLR) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ GlaxoSmithKline PLC (GSK) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Guggenheim Total Return Bond Instl Cl [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Home Depot, Inc. (HD) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Intel Corporation (INTC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Invesco Exchange S&P Midcap Low Volatility ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Invesco Solar ETF [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Iron Mountain Incorporated (IRM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Janus Henderson Enterprise CL I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Koninklijke Philips N.V. NY Registry Shares (PHG) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Lord Abbett Short Duration Income CL F [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise Traditional IRA ⇒ Mainstay Mackay Short Duration High Yield CL I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Mastercard Incorporated (MA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Novo Nordisk A/S (NVO) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Oracle Corporation (ORCL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Orange (ORAN) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Pepsico, Inc. (PEP) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Putnam Income CL Y [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ S&P Global Inc. (SPGI) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ SAP SE ADS (SAP) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ State Street Corporation (STT) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ WCM Focused Emerging Markets Instl CL (WCMEX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Ameriprise Traditional IRA ⇒ WCM Focused Intl Growth Instl CL (WCMIX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Ameriprise Traditional IRA ⇒ Xylem Inc. Common Stock New (XYL) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Bank of America Account [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Capital One Savings Account [BA]	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
COPERA 401(K) Plan ⇒ PERAdvantage 2030 Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
COPERA 401(K) Plan ⇒ PERAdvantage US Large Cap Stock [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock 529 Enrollment Portfolio A [MF]	DC	\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
COMMENTS: name changed from College Portfolio to Enrollment Portfolio					
D3 Irrevocable Trust ⇒ John Hancock 529 Fixed Income Portfolio A [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
D3 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio A (JHSAX) [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
D3 Irrevocable Trust ⇒ Sequoia Fund [MF]	DC	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
Eastern Bank Account [BA]	JT	\$250,001 - \$500,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Family Home (non-primary) [RP]	JT	\$1,000,001 - \$5,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Truro, MA, US					
Judith S. Clark Irrevocable Trust ⇒ Apple Inc. (AAPL) [ST]		\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ AT&T Inc. (T) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		\$100,001 - \$250,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Judith S. Clark Irrevocable Trust ⇒ Cisco Systems, Inc. (CSCO) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]		\$500,001 - \$1,000,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: Cash holdings					
Judith S. Clark Irrevocable Trust ⇒ Ford Motor Company (F) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ FS Energy and Power Fund [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ GDB Debt Recovery Auth of Commonwealth of Puerto Rico [GS]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 7.50%; 08/20/40					
Judith S. Clark Irrevocable Trust ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ JP Morgan Chase & Co. SR NT [CS]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 3.25%; 9/23					
Judith S. Clark Irrevocable Trust ⇒ KB HOME SR NT 7.500% [CS]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 7.500% 9/15/22					
Judith S. Clark Irrevocable Trust ⇒ Microsoft Corporation (MSFT) [ST]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ NETAPP INC SR NT [CS]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 3.250% 12/15/22					
Judith S. Clark Irrevocable Trust ⇒ New York Community Bancorp, Inc. (NYCB) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ ROYAL BK SCOTLAND GROUP PLC SUB NT TIER 2 ISIN#US780097AZ42 [CS]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: 6.000% 12/19/23					
Judith S. Clark Irrevocable Trust ⇒		\$500,001 -	Dividends	\$5,001 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SPDR S&P 500 ETF [EF]		\$1,000,000		\$15,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ STATE STR CORP SR SUB NT [CS] DESCRIPTION: 3.100% 05/15/23		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ TEVA PHARMACEUTICAL FIN IV B V SR NT [CS] DESCRIPTION: 3.650% 11/10/21		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ United Parcel Service, Inc. (UPS) [ST] None			Dividends	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Verizon Communications Inc. (VZ) [ST] \$15,001 - \$50,000			Dividends	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ Walt Disney Company (DIS) [ST] \$15,001 - \$50,000			Dividends	\$201 - \$1,000	<input type="checkbox"/>
Judith S. Clark Irrevocable Trust ⇒ WELLS FARGO & CO NEWMEDIUM TERM SR NTS FXD RT SER M [CS] \$1,001 - \$15,000			Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 4.125%; 08/15/23					
Katherine Clark Traditional IRA ⇒ Fidelity Government Cash Reserves (FDRXX) [MF] \$250,001 - \$500,000			Interest	\$1 - \$200	<input type="checkbox"/>
Katherine M. Clark Exempt Trust ⇒ Acacia Partners LP [HE] \$1,001 - \$15,000			None		<input type="checkbox"/>
DESCRIPTION: Asset inherited in January 2020					
Katherine M. Clark Exempt Trust ⇒ Fidelity Government Cash Reserves (FDRXX) [MF] \$100,001 - \$250,000			Interest	\$1 - \$200	<input type="checkbox"/>
Katherine M. Clark Exempt Trust ⇒ Ruane, Cunniff, & Goldfarb Inc. [PS] \$100,001 - \$250,000			Capital Gains, Dividends, Interest, Ordinary business income	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: investment adviser.					
COMMENTS: This inherited asset is subject to mandatory buyback outside the control and/or consent of Katherine Clark or her agents.					
Katherine M. Clark Separate Share Trust ⇒ Acacia Partners LP [HE] \$1,001 - \$15,000			None		<input type="checkbox"/>
DESCRIPTION: Asset inherited in January 2020					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Katherine M. Clark Separate Share Trust ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		\$15,001 - \$50,000	Capital Gains	None	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Annaly Capital Management Inc (NLY) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Apple Inc. (AAPL) [ST]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ AT&T Inc. (T) [ST]		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Bank of America Corporation (BAC) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		\$50,001 - \$100,000	None		<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Cisco Systems, Inc. (CSCO) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Coca-Cola Company (KO) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ FCASH [BA]		\$500,001 - \$1,000,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Financial Select Sector SPDR ETF [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ International Business Machines Corporation (IBM) [ST]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Microsoft Corporation (MSFT) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ New York Community Bancorp, Inc. (NYCB) [ST]		\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Oakmark Investor [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Pfizer, Inc. (PFE) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Katherine M. Clark Separate Share Trust ⇒ Procter & Gamble Company (PG) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Ruane, Cunniff, & Goldfarb Inc. [PS]		\$250,001 - \$500,000	Capital Gains, Dividends, Interest, Ordinary business income	\$100,001 - \$1,000,000	<input type="checkbox"/>
DESCRIPTION: investment adviser					
COMMENTS: This inherited asset is subject to mandatory buyback outside the control and/or consent of Katherine Clark or her agents.					
Katherine M. Clark Separate Share Trust ⇒ Schwab International Equity ETF [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Schwab US Dividend Equity ETF [EF]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ SPDR S&P 500 ETF Trust [EF]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Sprint Nextel Corp SR DEB [AB]		\$1,001 - \$15,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: 9.25%, 4/15/22					
Katherine M. Clark Separate Share Trust ⇒ Vanguard Russell 2000 ETF [EF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Walt Disney Company (DIS) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Law Management Innovation LLC, 100% Interest [OL]	SP	Undetermined	None		<input type="checkbox"/>
LOCATION: Melrose, MA, US					
DESCRIPTION: Consultancy					
Massachusetts state pension [PE]		Undetermined	None		<input type="checkbox"/>
Sequoia Fund [MF]		\$500,001 - \$1,000,000	Capital Gains, Dividends	\$50,001 - \$100,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise SEP IRA ⇒ ABB Ltd (ABB) [ST]	SP	04/3/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ ABB Ltd (ABB) [ST]	SP	11/10/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Abbott Laboratories (ABT) [ST]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Abbott Laboratories (ABT) [ST]	SP	11/10/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	04/3/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	10/22/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	11/10/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ AMC Networks Inc. - Class A (AMCX) [ST]	SP	04/3/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ American Beacon ARK Transformational Innovation CL Y [MF]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ American Beacon SIM High Yield Opptys CL Y [MF]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Apple Inc. (AAPL) [ST]	SP	04/3/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Apple Inc. (AAPL) [ST]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Apple Inc. (AAPL) [ST]	SP	11/10/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise SEP IRA ⇒ BlackRock, Inc. (BLK) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ BNY Mellon Global Real Return CL I [MF]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ BNY Mellon Small Mid Cap Growth CL I [MF]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ BNY Mellon Small Mid Cap Growth CL I [MF]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Bristol-Myers Squibb Company (BMY) [ST]	SP	04/3/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Bristol-Myers Squibb Company (BMY) [ST]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Coca-Cola European Partners plc Ordinary Shares (CCEP) [ST]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Coca-Cola European Partners plc Ordinary Shares (CCEP) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Costco Wholesale Corporation (COST) [ST]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Costco Wholesale Corporation (COST) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Edwards Lifesciences Corporation (EW) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Elanco Animal Health Incorporated (ELAN) [ST]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Elanco Animal Health Incorporated (ELAN) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Emerson Electric Company (EMR) [ST]	SP	04/3/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise SEP IRA ⇒ Fidelity National Information Services, Inc. (FIS) [ST]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Fidelity National Information Services, Inc. (FIS) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ First Solar, Inc. (FSLR) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ FLIR Systems, Inc. (FLIR) [ST]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ FLIR Systems, Inc. (FLIR) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ GlaxoSmithKline PLC (GSK) [ST]	SP	03/2/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ GlaxoSmithKline PLC (GSK) [ST]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ GlaxoSmithKline PLC (GSK) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Guggenheim Total Return Bond Instl CL [MF]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Guggenheim Total Return Bond Instl CL [MF]	SP	03/2/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Guggenheim Total Return Bond Instl CL [MF]	SP	04/3/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Hasbro, Inc. (HAS) [ST]	SP	03/2/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
COMMENTS: Previous PTR mistakenly showed that (SP) Hasbro stock was sold from the Traditional IRA only; it was sold from both the Traditional and SEP IRAs. Amount of transaction remains the same.					
Ameriprise SEP IRA ⇒ Hologic, Inc. (HOLX) [ST]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Hologic, Inc. (HOLX) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise SEP IRA ⇒ Home Depot, Inc. (HD) [ST]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ HSBC Holdings, plc. (HSBC) [ST]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Intel Corporation (INTC) [ST]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Intel Corporation (INTC) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Invesco Emerging Markets Sovereign Debt ETF [EF]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Invesco Solar ETF [EF]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Invitation Homes Inc. (INVH) [ST]	SP	10/22/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Invitation Homes Inc. (INVH) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Iron Mountain Incorporated (IRM) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Ishares Russell 2000 ETF [EF]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Ishares Russell 2000 ETF [EF]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Janus Henderson Enterprise CL I [MF]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Janus Henderson Enterprise CL I [MF]	SP	12/18/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Janus Henderson Enterprise CL I [MF]	SP	03/2/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise SEP IRA ⇒ Janus Henderson Enterprise CL I [MF]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ JPMorgan Hedged Equity CL I [MF]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ JPMorgan Strategic Income Opptys CL I [MF]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ JPMorgan Strategic Income Opptys CL I [MF]	SP	04/3/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ JPMorgan Strategic Income Opptys CL I [MF]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Kimberly-Clark Corporation (KMB) [ST]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Kloninklijke Philips N V New 2000 Spon ADR [MF]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Koninklijke Philips N.V. NY Registry Shares (PHG) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ L3Harris Technologies, Inc. (LHX) [ST]	SP	04/3/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ L3Harris Technologies, Inc. (LHX) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Ligand Pharmaceuticals Incorporated (LGND) [ST]	SP	04/3/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Ligand Pharmaceuticals Incorporated (LGND) [ST]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒	SP	04/3/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Lord Abbett Short Duration Income CL F [MF]				(partial)	
Ameriprise SEP IRA ⇒ Mainstay McKay Short Duration High Yield CL I [MF]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Marathon Petroleum Corporation (MPC) [ST]	SP	03/20/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Marathon Petroleum Corporation (MPC) [ST]	SP	03/2/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Mastercard Incorporated (MA) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Mosaic Company (MOS) [ST]	SP	04/3/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Mosaic Company (MOS) [ST]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ National Grid Transco, PLC National Grid PLC (NGG) [ST]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ National Grid Transco, PLC National Grid PLC (NGG) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Novo Nordisk A/S (NVO) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Oracle Corporation (ORCL) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Orange (ORAN) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Pepsico, Inc. (PEP) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Putnam Income CL Y [MF]	SP	04/3/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise SEP IRA ⇒ Putnam Income CL Y [MF]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Ritchie Bros. Auctioneers Incorporated (RBA) [ST]	SP	03/2/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ S&P Global Inc. (SPGI) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ SAP SE ADS (SAP) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Skechers U.S.A., Inc. (SKX) [ST]	SP	03/2/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Skechers U.S.A., Inc. (SKX) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Sony Corporation (SNE) [ST]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Sony Corporation (SNE) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ State Street Corporation (STT) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	SP	10/22/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ UBS Group AG Registered Ordinary Shares (UBS) [ST]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ UBS Group AG Registered Ordinary Shares (UBS) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Union Pacific Corporation (UNP) [ST]	SP	10/22/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise SEP IRA ⇒ Union Pacific Corporation (UNP) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Vanguard Materials ETF [EF]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Vanguard Materials ETF (VAW) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Vanguard Materials ETF [EF]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Vanguard Small Cap ETF [EF]	SP	04/3/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Vanguard Small Cap ETF [EF]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ Visa Inc. (V) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise SEP IRA ⇒ WCM Focused Emerging Markets Instl CL [MF]	SP	03/2/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ WCM Focused Intl Growth Instl CL [MF]	SP	03/2/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ WCM Focused Intl Growth Instl CL [MF]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise SEP IRA ⇒ Xylem Inc. Common Stock New (XYL) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ ABB Ltd (ABB) [ST]	SP	04/3/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ ABB Ltd (ABB) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise Traditional IRA ⇒ Abbott Laboratories (ABT) [ST]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Abbott Laboratories (ABT) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	04/3/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	10/22/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ AMC Networks Inc. - Class A (AMCX) [ST]	SP	04/3/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ American Beacon ARK Transformational Innovation CL Y [MF]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ American Beacon SIM High Yield Opptys CL Y [MF]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Apple Inc. (AAPL) [ST]	SP	04/3/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Apple Inc. (AAPL) [ST]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Apple Inc. (AAPL) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ BlackRock, Inc. (BLK) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ BNY Mellon Global Real Return CL I [MF]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ BNY Mellon Small Mid Cap Growth CL I [MF]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ BNY Mellon Small Mid Cap Growth CL I [MF]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise Traditional IRA ⇒ Bristol-Myers Squibb Company (BMY) [ST]	SP	04/3/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Bristol-Myers Squibb Company (BMY) [ST]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Coca-Cola European Partners plc Ordinary Shares (CCEP) [ST]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Coca-Cola European Partners plc Ordinary Shares (CCEP) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Costco Wholesale Corporation (COST) [ST]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Costco Wholesale Corporation (COST) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Edwards Lifesciences Corporation (EW) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Elanco Animal Health Incorporated (ELAN) [ST]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Elanco Animal Health Incorporated (ELAN) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Emerson Electric Company (EMR) [ST]	SP	04/3/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Fidelity National Information Services, Inc. (FIS) [ST]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Fidelity National Information Services, Inc. (FIS) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ First Solar, Inc. (FSLR) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ FLIR Systems, Inc. (FLIR) [ST]	SP	10/22/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise Traditional IRA ⇒ FLIR Systems, Inc. (FLIR) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ GlaxoSmithKline PLC (GSK) [ST]	SP	03/2/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ GlaxoSmithKline PLC (GSK) [ST]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ GlaxoSmithKline PLC (GSK) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Guggenheim Total Return Bond Instl CL [MF]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Guggenheim Total Return Bond Instl CL [MF]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Guggenheim Total Return Bond Instl CL [MF]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Guggenheim Total Return Bond Instl CL [MF]	SP	04/3/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Hasbro, Inc. (HAS) [ST]	SP	03/2/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Hologic, Inc. (HOLX) [ST]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Hologic, Inc. (HOLX) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Home Depot, Inc. (HD) [ST]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ HSBC Holdings, plc. (HSBC) [ST]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Intel Corporation (INTC) [ST]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒	SP	11/5/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Intel Corporation (INTC) [ST]					
Ameriprise Traditional IRA ⇒ Invesco Emerging Markets Sovereign Debt ETF [EF]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Invesco Exchange S&P Midcap Low Volatility ETF [EF]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Invesco Exchange S&P Midcap Low Volatility ETF [EF]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Invesco Solar ETF [EF]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Invitation Homes Inc. (INVH) [ST]	SP	10/22/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Invitation Homes Inc. (INVH) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Iron Mountain Incorporated (IRM) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Ishares Russell 2000 ETF [EF]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Ishares Russell 2000 ETF [EF]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Janus Henderson Enterprise CL I [MF]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Janus Henderson Enterprise CL I [MF]	SP	03/2/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Janus Henderson Enterprise CL I [MF]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ JPMorgan Hedged Equity CL I [MF]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise Traditional IRA ⇒ JPMorgan Strategic Income Opptys CL I [MF]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ JPMorgan Strategic Income Opptys CL I [MF]	SP	04/3/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ JPMorgan Strategic Income Opptys CL I [MF]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Kimberly-Clark Corporation (KMB) [ST]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Kloninklijke Philips N V New 2000 Spon ADR [MF]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Koninklijke Philips N.V. NY Registry Shares (PHG) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ L3Harris Technologies, Inc. (LHX) [ST]	SP	04/3/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ L3Harris Technologies, Inc. (LHX) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Ligand Pharmaceuticals Incorporated (LGND) [ST]	SP	04/3/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Ligand Pharmaceuticals Incorporated (LGND) [ST]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Lord Abbett Short Duration Income CL F [MF]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Lord Abbett Short Duration Income CL F [MF]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Lord Abbett Short Duration Income CL F [MF]	SP	04/3/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Mainstay McKay Short Duration High Yield CL I [MF]	SP	11/5/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise Traditional IRA ⇒ Marathon Petroleum Corporation (MPC) [ST]	SP	03/20/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Mastercard Incorporated (MA) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Mosaic Company (MOS) [ST]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ National Grid Transco, PLC National Grid PLC (NGG) [ST]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ National Grid Transco, PLC National Grid PLC (NGG) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Novo Nordisk A/S (NVO) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Oracle Corporation (ORCL) [ST]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Oracle Corporation (ORCL) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Orange (ORAN) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Pepsico, Inc. (PEP) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Putnam Income CL Y [MF]	SP	04/3/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Putnam Income CL Y [MF]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Ritchie Bros. Auctioneers Incorporated (RBA) [ST]	SP	03/2/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒	SP	11/5/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
S&P Global Inc. (SPGI) [ST]					
Ameriprise Traditional IRA ⇒ SAP SE ADS (SAP) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Skechers U.S.A., Inc. (SKX) [ST]	SP	03/2/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Skechers U.S.A., Inc. (SKX) [ST]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Skechers U.S.A., Inc. (SKX) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Sony Corporation (SNE) [ST]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Sony Corporation (SNE) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Starbucks Corporation (SBUX) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ State Street Corporation (STT) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	SP	10/22/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Taiwan Semiconductor Manufacturing Company Ltd. (TSM) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ UBS Group AG Registered Ordinary Shares (UBS) [ST]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ UBS Group AG Registered Ordinary Shares (UBS) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Union Pacific Corporation (UNP) [ST]	SP	10/22/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameriprise Traditional IRA ⇒ Union Pacific Corporation (UNP) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Vanguard Materials ETF [EF]	SP	10/22/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Vanguard Materials ETF (VAW) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Vanguard Materials ETF [EF]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Vanguard Small Cap ETF [EF]	SP	04/3/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Vanguard Small Cap ETF [EF]	SP	10/22/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ Visa Inc. (V) [ST]	SP	11/5/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ WCM Focused Emerging Markets Instl CL [MF]	SP	03/2/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ WCM Focused Emerging Markets Instl CL [MF]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ WCM Focused Emerging Markets Instl CL [MF]	SP	11/5/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ WCM Focused Emerging Markets Instl CL [MF]	SP	10/22/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Ameriprise Traditional IRA ⇒ WCM Focused Intl Growth Instl CL [MF]	SP	03/2/2020	P	\$1,001 - \$15,000	
Ameriprise Traditional IRA ⇒ Xylem Inc. Common Stock New (XYL) [ST]	SP	11/5/2020	P	\$1,001 - \$15,000	
D3 Irrevocable Trust ⇒	DC	06/24/2020	P	\$250,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
John Hancock 529 Enrollment Portfolio A [MF]				\$500,000	
DESCRIPTION: conversion from Enrollment Portfolio C2					
D3 Irrevocable Trust ⇒ John Hancock 529 Enrollment Portfolio C2 [MF]	DC	06/24/2020	S	\$250,001 - \$500,000	<input type="checkbox"/>
DESCRIPTION: conversion to Enrollment Portfolio A					
D3 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio A [MF]	DC	01/15/2020	P	\$15,001 - \$50,000	
DESCRIPTION: converted from Short Term Bond Portfolio C2					
D3 Irrevocable Trust ⇒ John Hancock 529 Short Term Bond Portfolio C2 [MF]	DC	01/15/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: converted to John Hancock 529 Short Term Bond Portfolio A					
Judith S. Clark Irrevocable Trust ⇒ Camden County NJ Impt Auth Rev Lease [GS]		08/31/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Redeemed Full Call Payout 6.72%, 07/01/2023					
Judith S. Clark Irrevocable Trust ⇒ Encompass Health Corp Note [CS]		11/2/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Redeemed Call Make Whole 5.75%; 11/01/2024 NOTE: Encompass was previously named Healthsouth					
Judith S. Clark Irrevocable Trust ⇒ Microsoft Corp Note [CS]		10/1/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Redeemed 3.0%; 10/01/2020					
Judith S. Clark Irrevocable Trust ⇒ NEW ORLEANS LA GO LTD TAX REF BDS SER [GS]		09/1/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Redeemed 3.142%; 9/1/2020					
Judith S. Clark Irrevocable Trust ⇒ United Parcel Service, Inc. (UPS) [ST]		01/30/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ Blackrock Muni Yield FD Inc Com [MF]		01/13/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Katherine M. Clark Separate Share Trust ⇒ SPDR S&P500 ETF Trust [EF]		01/16/2020	P	\$50,001 - \$100,000	
Katherine M. Clark Separate Share Trust ⇒ Vanguard FTSE Emerging Markets ETF [EF]		02/13/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit

<https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Massachusetts Board of Bar Overseers	spouse salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	CENLAR	April 2020	Mortgage	\$250,001 - \$500,000

COMMENTS: Refinanced mortgage on primary residence. The original liability was incurred in 2003 and should have been included on previous annual disclosures.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
July 1997	Katherine M. Clark and the Commonwealth of Massachusetts	future pension
September 1991	Katherine M. Clark and the state of Colorado (COPERA)	future pension

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Ameriprise SEP IRA (Owner: SP)
- Ameriprise Traditional IRA (Owner: SP)
- COPERA 401(K) Plan

- D3 Irrevocable Trust (Owner: DC)
- Judith S. Clark Irrevocable Trust
- Katherine Clark Traditional IRA
- Katherine M. Clark Exempt Trust
DESCRIPTION: Inherited April 5, 2019
- Katherine M. Clark Separate Share Trust
DESCRIPTION: inherited April 5, 2019

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Katherine M. Clark , 08/12/2022