

## UNITED STATES HOUSE OF REPRESENTATIVES

2019 FINANCIAL DISCLOSURE STATEMENT

Form A  
For Use by Members, Officers, and EmployeesLEGISLATIVE RESOURCE CENTER  
2020 AUG 20 (FMTM) (14:00)Name: GEORGE T (Mike) KELLY JR Daytime Telephone: (202) 225-5406OFFICE OF THE CHIEF FINANCIAL OFFICER  
A \$200 penalty shall be assessed against any individual who files more than 30 days late.

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>PA</u>	District: <u>03</u>	Officer or Employee: _____	Staff Filer Type: (If Applicable) <input type="checkbox"/> Shared <input checked="" type="checkbox"/> Principal Assistant <input type="checkbox"/>
REPORT TYPE	<input type="checkbox"/> 2019 Annual (Due: May 15, 2020)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination:	

## PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

- A. Did you, your spouse, or your dependent child:
- a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period?  Yes  No
  - b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?
- B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?
- C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?
- D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?
- E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?  Yes  No
- F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?  Yes  No
- G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$300 in value from a single source during the reporting period?  Yes  No
- H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$300 in value from a single source during the reporting period?  Yes  No
- I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?  Yes  No

**ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"**

## IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

**IPO** – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.

**TRUSTS** – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "exempted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?

**EXEMPTION** – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they met all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes  No

Yes  No

Yes  No

*MC*

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: George J (Mike) Kelly Jr page 2 of 6

BLOCK A												BLOCK B												BLOCK C												BLOCK D												BLOCK E																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																													
<b>Assets and/or Income Sources</b>												<b>Value of Asset</b>												<b>Type of Income</b>												<b>Amount of Income</b>												<b>Transaction</b>																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																													
Identify (a) each asset held for investment or production of income and with a fair market value, exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than \$200 in "unearned" income during the year.												Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method.												Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated.												Check all columns that apply. For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the type of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated.												Indicate if the asset had only a portion of an asset was sold, please indicate as follows: (S) (part). Leave this column blank if there are no transactions that exceeded \$1,000.																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																													
For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds.												For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts.												If an asset were sold during the reporting period and is included only because it generated income, the value should be "None".												Column M is for assets held by your spouse or dependent child in which you have no interest.												Column N is for assets held by your spouse or dependent child in which you have no interest.												Column O is for assets held by your spouse or dependent child in which you have no interest.																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																	
A	B	C	D	E	F	G	H	I	J	K	L	M	I	II	IV	V	VI	VII	IX	X	XI	P	Q	R	S	T	U	V	W	X	Y	Z	AA	BB	CC	DD	EE	FF	GG	HH	II	JJ	KK	LL	MM	NN	OO	PP	QQ	RR	SS	TT	UU	VV	WW	XX	YY	ZZ	AA	BB	CC	DD	EE	FF	GG	HH	II	JJ	KK	LL	MM	NN	OO	PP	QQ	RR	SS	TT	UU	VV	WW	XX	YY	ZZ	AA	BB	CC	DD	EE	FF	GG	HH	II	JJ	KK	LL	MM	NN	OO	PP	QQ	RR	SS	TT	UU	VV	WW	XX	YY	ZZ	AA	BB	CC	DD	EE	FF	GG	HH	II	JJ	KK	LL	MM	NN	OO	PP	QQ	RR	SS	TT	UU	VV	WW	XX	YY	ZZ	AA	BB	CC	DD	EE	FF	GG	HH	II	JJ	KK	LL	MM	NN	OO	PP	QQ	RR	SS	TT	UU	VV	WW	XX	YY	ZZ	AA	BB	CC	DD	EE	FF	GG	HH	II	JJ	KK	LL	MM	NN	OO	PP	QQ	RR	SS	TT	UU	VV	WW	XX	YY	ZZ	AA	BB	CC	DD	EE	FF	GG	HH	II	JJ	KK	LL	MM	NN	OO	PP	QQ	RR	SS	TT	UU	VV	WW	XX	YY	ZZ	AA	BB	CC	DD	EE	FF	GG	HH	II	JJ	KK	LL	MM	NN	OO	PP	QQ	RR	SS	TT	UU	VV	WW	XX	YY	ZZ	AA	BB	CC	DD	EE	FF	GG	HH	II	JJ	KK	LL	MM	NN	OO	PP	QQ	RR	SS	TT	UU	VV	WW	XX	YY	ZZ	AA	BB	CC	DD	EE	FF	GG	HH	II	JJ	KK	LL	MM	NN	OO	PP	QQ	RR	SS	TT	UU	VV	WW	XX	YY	ZZ	AA	BB	CC	DD	EE	FF	GG	HH	II	JJ	KK	LL	MM	NN	OO	PP	QQ	RR	SS	TT	UU	VV	WW	XX	YY	ZZ	AA	BB	CC	DD	EE	FF	GG	HH	II	JJ	KK	LL	MM	NN	OO	PP	QQ	RR	SS	TT	UU	VV	WW	XX	YY	ZZ	AA	BB	CC	DD	EE	FF	GG	HH	II	JJ	KK	LL	MM	NN	OO	PP	QQ	RR	SS	TT	UU	VV	WW	XX	YY	ZZ	AA	BB	CC	DD	EE	FF	GG	HH	II	JJ	KK	LL	MM	NN	OO	PP	QQ	RR	SS	TT	UU	VV	WW	XX	YY	ZZ	AA	BB	CC	DD	EE	FF	GG	HH	II	JJ	KK	LL	MM	NN	OO	PP	QQ	RR	SS	TT	UU	VV	WW	XX	YY	ZZ	AA	BB	CC	DD	EE	FF	GG	HH	II	JJ	KK	LL	MM	NN	OO	PP	QQ	RR	SS	TT	UU	VV	WW	XX	YY	ZZ	AA	BB	CC	DD	EE	FF	GG	HH	II	JJ	KK	LL	MM	NN	OO	PP	QQ	RR	SS	TT	UU	VV	WW	XX	YY	ZZ	AA	BB	CC	DD	EE	FF	GG	HH	II	JJ	KK	LL	MM	NN	OO	PP	QQ	RR	SS	TT	UU	VV	WW	XX	YY	ZZ	AA	BB	CC	DD	EE	FF	GG	HH	II	JJ	KK	LL	MM	NN	OO	PP	QQ	RR	SS	TT	UU	VV	WW	XX	YY	ZZ	AA	BB	CC	DD	EE	FF	GG	HH	II	JJ	KK	LL	MM	NN	OO	PP	QQ	RR	SS	TT	UU	VV	WW	XX	YY	ZZ	AA	BB	CC	DD	EE	FF	GG	HH	II	JJ	KK	LL	MM	NN	OO	PP	QQ	RR	SS	TT	UU</td

**SCHEDULE B - TRANSACTIONS**

Name: GEORGE J (LUKE) KELLY Page 3 of 6

Report any purchases, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

**Capital Gains.** If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

**SP., DC., JR.** **Column K** is for taxes being held by your spouse or dependent child.

**SCHEDULE C – EARNED INCOME**

Name: GEORGE THOMAS KERBY M

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**List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.**

**EXCLUDE:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

**EXCLUDE:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

**INCOME LIMITS AND PROHIBITED INCOME:** The 2019 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$28,440. The 2020 limit is \$28,845. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

<p>List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.</p> <p><b>EXCLUDE:</b> Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.</p> <p><b>INCOME LIMITS and PROHIBITED INCOME:</b> The 2019 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$28,440. The 2020 limit is \$28,845. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.</p>		
Source (include date of receipt for honoraria)	Type	Amount
Example:		
Kenn State State of Maryland Chief War Roundtable (Oct. 2) Dorothy County Board of Education	Approved Testing Fee Legislative Pension Spouse Speech Spouse Salary	\$2,000 \$18,000 \$1,000 N/A
Kerry Chevalier Casper, Inc., Burner, PA Kerry Chevalier, Challenging Mr. Burner, PH	Spouse Salary	N/A \$24,000

**Use additional sheets if more space is required.**

## SCHEDULE D - LIABILITIES

Name: GEORGE T (MIKE) KELLY Page 5 of 6

**Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period.** **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. **Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000.** \*Column K is for liabilities held solely by your spouse or dependent child.

Creditor	Type of Liability	Date Incurred MO/YR	Amount of Liability							
			A	B	C	D	E	F	G	H
First Bank of Wilmington, DE	Mortgage on Rental Property, Dover, DE	5/19	\$10,001- \$15,000							
			\$15,001- \$50,000							
			\$50,001- \$100,000							
			X	\$100,001- \$250,000						
				\$250,001- \$500,000						
				X	\$500,001- \$1,000,000					
					\$1,000,001- \$5,000,000					
					X	\$5,000,001- \$25,000,000				
						\$25,000,001- \$50,000,000				
							Over \$50,000,000			
								Over \$1,000,000*		
								(Spouse/DC Liability)		

## SCHEDULE E – POSITIONS

**Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.**

Position	Name of Organization
<u>President</u>	KELLY AUTOMOTIVE, INC.
<u>President</u>	MIKE KELLY HYUNDAI, INC.
<u>President</u>	MIKE KELLY AUTOMOTIVE
<u>Board Member Emeritus</u>	THE MARCH MUSEUM
<u>Board Member Emeritus</u>	HYUNDAI HOME ON WHEELS

Use additional sheets if more space is required

## **SCHEDULE H – TRAVEL PAYMENTS and REIMBURSEMENTS**

Name: Christopher J (Mike) Kerby Jr Page 6 of 6

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$300 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

**EXCLUDE:** Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

Source	Date(s)	City of Departure-Destination-City of Return	Logging? (Y/N)	Foot? (Y/N)	Family Member Included? (Y/N)
Government of China (MECEA) Exempt	Aug 8-11	DC-Bright China-DC	Y	Y	N
Habitat for Humanity (charity function)	Mar 24	DC-Boston-DC	Y	Y	
U.S. ASSOCIATION OF FRENCH MEMBERS OF CONGRESS	Mar 13-19	D. H. KURE, DR. SEUL, KOMER - PRINTER'S P. R. BURKE, MR. COOPERMAN, DELMARTE - BRONKHORST, ET AL	Y	Y	
THE IRISH SOCIETY & THE FRENCH CENTER FOR WOMEN POLICY AUS 40		P. R. BURKE, MR. COOPERMAN, DELMARTE - BRONKHORST, ET AL	X	X	

**Use additional sheets if more space is required.**

SCHEDULE A - ASSETS AND "UNEARNED" INCOME

SP, DC, PT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset								BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A	B	C	D	E	F	G	H			
SP	Kelly Automotive , Automotive Dealership, Butler, PA									None		
SP	Note Receivable - Claddagh Properties LP - real estate - Pittsburgh Road, Butler County, PA									\$1-\$1,000		
SP	Note Receivable - Kelly Automotive, Butler, PA									\$1,001-\$15,000		
SP	Land 12.67 acres, Route 8, Butler County PA									\$15,001-\$50,000		
PT	PNC Bank Accounts									X	\$50,001-\$100,000	
PT	Pata FCU bank account									X	\$100,001-\$250,000	
PT	PC Exploration LTD Pfr 2008, Warrendale, PA									X	\$250,001-\$500,000	
PT	PC Exploration LTD Pfr 2009, Warrendale, PA									X	\$500,001-\$1,000,000	
PT	PC Exploration LTD Pfr 2010, Warrendale, PA									X	\$1,000,001-\$5,000	
PT	NTS 2013 Erwin LP, Indiana, PA, Oil & Gas									X	\$5,000,001-\$25,000	
PT	401(k) Investments as follows:									X	\$25,000,001-\$50,000	
PT	Putnam Retirement Advantage 2020 1									X	Over \$50,000,000	
PT	IRA as follows:									X	Spouse/DC Asset	
SP	Powershares Tech Treated Fd TSTU									X	None	
SP	Vanguard Bd Index Fd Inc									X	Dividend	
SP	IRA - Athene Annuity and Life (variable annuity) - S&P500 @ Annual PTP Cap Activity									X	Rent	
SP	General Motors Stock									X	Interest	
SP	401(k) Profit sharing plan									X	Capital Gains	
SP	Putnam Retirement Advantage 2020 I									X	Deferred Income account	
SP	BONDS									X	Excepted Blind Trust	
SP	PMC Money Market Fund #405									X	Other Type of Income (Specify)	
SP	Anchorage AK Elec Utility Pwre Sr Lien-SE Rev - 4 % due 12/1/19	X								X	None	
SP	Arlington City VA Ser A Go Bonds - 5% due 8/1/21	X								X	\$1-\$200	
SP	Boston MA Series B GO Call 2/1/23									X	\$201-\$1,000	
SP	Broward City FL Port Tax Rev Bond 5% due 9/1/24		X							X	\$1,001-\$2,500	
SP	California St GO Call 12/10/47			X						X	\$2,501-\$5,000	
SP	Chicago IL O'Hare International Bond - 5% due 1/1/21			X						X	\$5,001-\$15,000	
SP	Connecticut State Health & Educ Bond - 5% due 7/1/24			X						X	\$15,001-\$50,000	
SP	Denver City & City CO Airport Sys Ser A Rec - 5% due 1/15/23			X						X	\$50,001-\$100,000	
SP	Florida St Bd of Educ Public E Capital			X						X	\$100,001-\$1,000,000	
SP	Georgia St Ser C Go Bonds - 5% due 10/1/21			X						X	\$1,000,001-\$5,000	
SP	Indiana St Fin Auth Revenue Ser B			X						X	Over \$5,000,000	
SP	Illinois St Fin Auth Revenue			X						X	Spouse/DC Incom	
SP	Illinois State Toll Highway Auth			X						X		
SP	Loudon City VA Ser A Bonds - 5% due 12/1/21			X						X		
SP	Maryland St 2nd S Go Call - 5% due 8/1/21	X		X						X		

Name: George J. (Mike) Kelly

SCHEDULE A - ASSETS AND "UNEARNED" INCOME

SP, DC, PI	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income								BLOCK E Transaction S, P
				A	B	C	D	E	F	G	H	
SP	North Carolina St Estm Muni P Ref Bonds - 5% due 1/1/21	X	None	\$1-\$1,000								
SP	North Carolina St Ser A Go - 5% due 6/1/22	X		\$1,001-\$15,000								
SP	New Jersey St Turnpike auth ser a rev -5% due 1/1/27	X		\$15,001-\$50,000								
SP	Ohio St Common Sch G Bonds - 5% due 9/15/22	X		\$50,001-\$100,000								
SP	Pennsylvania St 1st Ser GO - 5% due 3/1/23	X		\$100,001-\$250,000								
SP	San Antonio TX Elect - Gas Ref Rev - 5% due 2/1/25	X		\$250,001-\$500,000								
SP	Seattle WA Muni Light & Power Ser C	X		\$500,001-\$1,000,000								
SP	St Jersey NJ Transportation Auth - 5% due 1/1/21	X		\$1,000,001-\$5,000+								
SP	Univ of Texas TS Permanent Uni Ser 1 - 5% due 7/1/23	X		\$5,000,001-\$25,000+								
SP	Utah St Ser A GO Bonds - 5% due 7/1/20	X		\$25,000,001-\$50,000+								
SP	Wisconsin St Hth & Education Ascension Rev - 5% due 1/1/23	X		Over \$50,000,000+								
<b>STOCKS</b>												
SP	Albive Inc	X		Spouse/DC Asset: <u>None</u>								
SP	Accenture PLC Class A	X		Dividend								
SP	Accenture PLC Class B	X		Rent								
SP	Albion Pharmaceuticals	X		Interest								
SP	Alphabet Inc/c-a-t & googl (Formerly Google)	X		Capital Gains								
SP	Amazon Com Inc	X		Deferred Income account								
SP	American Water Works Co	X		Excepted Blind Trust								
SP	Anheuser Busch InBev (Anbri)	X		Other Type of Income (Specify)								
SP	Angerlo Corp	X		None								
SP	Apple Inc (AppI)	X		\$1-\$200								
SP	Archer Daniels Midland Co	X		\$201-\$1,000								
SP	Automatrica Data Processing Inc	X		\$1,001-\$2,500								
SP	Autzone Inc	X		\$2,501-\$5,000								
SP	Bank of America corp	X		\$5,001-\$15,000								
SP	Bank New York Mellon Corp Common	X		\$15,001-\$50,000								
SP	Baxter International Inc	X		\$50,001-\$100,000								
SP	Berry Global Group Inc	X		\$100,001-\$1,000,000								
SP	Bikken Inc	X		\$1,000,001-\$5,000+								
SP	Boeing Co	X		Over \$5,000,000+								
SP	Booz Allen Hamilton Holdings	X		Spouse/DC Income: <u>None</u>								
SP	Broadcom Inc	X		S, P								
SP	Bristol Myers Squibb Co	X		S								
SP	Broadcom Inc	X		S								
SP	Bristol Myers Squibb Co	X		S								
SP	CBRE Group Inc	X		S								
SP	Celanese Corp	X		S								
SP	Cenntane Corp	X		S								
SP	Church & Dwight	X		S								
SP	Chevron corporation	X		S								

Name: George J. (Mike) Kelly

SCHEDULE A - ASSETS AND "UNEARNED" INCOME

SP DC, PT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income								BLOCK E Transaction
				A	B	C	D	E	F	G	H	
SP	Cititas Corp	x	None									PS
SP	Cisco Systems Inc (cSCO)	x	\$1-\$1,000									S
SP	Citigroup	x	\$1,001-\$15,000									S
SP	Citizens Financial Group	x	\$15,001-\$50,000									S
SP	Comcast Corporation Cl A (cmcsa)	x	\$50,001-\$100,000									S
SP	ConocoPhillips	x	\$100,001-\$250,000									S
SP	Costco Wholesale corp	x	\$250,001-\$500,000									S
SP	CX Corp	x	\$500,001-\$1,000,000									S
SP	Danaher Corp	x	\$1,000,001-\$5,000+									S
SP	Darden Restaurants	x	\$5,000,001-\$25,000+									S
SP	Dollar General Corp	x	\$25,000,001-\$50,000+									S
SP	Duke Energy Holding Corp	x	Over \$50,000,000+									S
SP	E-Trade Financial	x	Spouse/DC Asset :3									
SP	Eastman Chem Co	x										
SP	Facebook	x										
SP	HCA Healthcare	x										
SP	Home Depot (hd)	x										
SP	Ingersoll-Rand PLC	x										
SP	Intel Corp	x										
SP	International Flavors & Fragrances	x										
SP	JP Morgan Chase & Co (jpm)	x										
SP	Kohls Corp	x										
SP	Lilly Eli & Co	x										
SP	Lauder Estate Cos Inc	x										
SP	M&T Bank Corp	x										
SP	McCormick & Co Inc non voting	x										
SP	McDonald's Corp	x										
SP	Microsoft Corp (msft)	x										
SP	Occidental Petroleum Corp	x										
SP	Omnicharm Group	x										
SP	PepsiCo Inc	x										
SP	Pfizer Inc (pfe)	x										
SP	Proctor & Gamble Co	x										
SP	Progressive Corp Ohio	x										
SP	Quest Diagnostics	x										
SP	Raytheon Co	x										
SP	S&P Global Inc	x										

Name: George J. (Mike) Kelly

## SCHEDULE A - ASSETS AND "UNEARNED" INCOME

Name: George J. (Mike) Kelly

SP, DC, PT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset				BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction	
		A	B	C	D				
SP	Sempra Energy	X	X	X	X	None			
SP	Suntrust Banks Inc	X	X	X	X	\$1-\$1,000			
SP	T-Mobile US Inc.	X	X	X	X	\$1,001-\$15,000			
SP	TE Connectivity Ltd	X	X	X	X	\$15,001-\$50,000			
SP	Total S.A. (tot)	X	X	X	X	\$50,001-\$100,000			
SP	T Rowe Price Group	X	X	X	X	\$100,001-\$250,000			
SP	United Health Group	X	X	X	X	\$250,001-\$500,000			
SP	United Rentals	X	X	X	X	\$500,001-\$1,000,000			
SP	Vista Inc (V)	X	X	X	X	\$1,000,001-\$5,000+			
SP	Waste Management Inc	X	X	X	X	\$5,000,001-\$25,000+			
SP	WECC Energy Group Inc	X	X	X	X	\$25,000,001-\$50,000+			
SP	WellCare Health Plans Inc	X	X	X	X	Over \$50,000,000+			
<b>MUTUAL FUNDS</b>									
SP	ADR Equity Market Neutral - 1	X	X	X	X	Spouse/DC Asset -			
SP	Artisan Fds. Inc (artis) International Fund #561 Inv Class	X	X	X	X	None			
SP	Brandywine Global Alternative Credit Fd Class 1	X	X	X	X	Dividend			
SP	TE Connectivity Ltd	X	X	X	X	Rent			
SP	Dodge & Cox Inv Stock Fund	X	X	X	X	Interest			
SP	Eaton Vance Global Macro Absolute UTE Return Fund	X	X	X	X	Capital Gains			
SP	Goldman Sachs Absolute Return Tracker Fund C.1	X	X	X	X	Deferred Income account			
SP	Hartford Loewner Emerging Markets Portfolio Fund	X	X	X	X	Excepted Blind Trust			
SP	PNC Money Market Fund #405	X	X	X	X	Other Type of Income			
SP	T Rowe Price Growth Stock (prgx) Fd#40	X	X	X	X	(Specify)			
SP	T Rowe Price Value Fd Inc (trvk) Fd#107	X	X	X	X	None	I		
SP	Vanguard Growth (vgk) ETF	X	X	X	X	\$1-\$200	II		
SP	Vanguard Mid Cap Growth Index (vfg) ETF	X	X	X	X	\$201-\$1,000	III		
SP	Vanguard Mid Cap Value Index (vav)	X	X	X	X	\$1,001-\$2,500	IV		
SP	Vanguard Small Cap Growth (vbg) ETF	X	X	X	X	\$2,501-\$5,000	V		
SP	Vanguard Small Cap Value (vsv) ETF	X	X	X	X	\$5,001-\$15,000	VI		
SP	Vanguard Value (viv) ETF	X	X	X	X	\$15,001-\$50,000	VII		
SP	Vivaldi Manger Arbitrage - 1	X	X	X	X	\$50,001-\$100,000	VIII		
						\$100,001-\$1,000,000	IX		
						\$1,000,001-\$5,000+	X		
						Over \$5,000,000	XI		
						Spouse/DC Incom	XII		
							m.s.p		

**SCHEDULE B - TRANSACTIONS**

Name: George J. (Mike) Kelly

	Asset	Type of Transaction	Date	Amount of Transaction									
				A	B	C	D	E	F	G	H	I	J
	SP, DC,												
JT													
SP	Maryland State Go Call 2nd S	PURCHASE											
SP	Utah State Ser A Go	SALE											
SP	Indiana St Fin Auth Revenue Ser B	EXCHANGE											
SP	Alphabet Inc												
SP	Bank of America Corp												
SP	Bank of America Corp	Date											
SP	Booz Allen Hamilton Holdings		1/16/2019	\$1 - \$1,000									
SP	Booz Allen Hamilton Holdings		1/16/2019	\$1,001-\$15,000									
SP	Booz Allen Hamilton Holdings		1/16/2019	\$15,001-\$50,000									
SP	Booz Allen Hamilton Holdings		1/16/2019	\$50,001-\$100,000									
SP	Booz Allen Hamilton Holdings		1/16/2019	\$100,001-\$250,000									
SP	Booz Allen Hamilton Holdings		1/16/2019	\$250,001-\$500,000									
SP	Booz Allen Hamilton Holdings		1/16/2019	\$500,001-\$1,000,000									
SP	Booz Allen Hamilton Holdings		1/16/2019	\$1,000,001-\$5,000,000									
SP	Booz Allen Hamilton Holdings		1/16/2019	\$5,000,001-\$25,000,000									
SP	Booz Allen Hamilton Holdings		1/16/2019	\$25,000,001-\$50,000,000									
SP	Booz Allen Hamilton Holdings		1/16/2019	Over \$50,000,00									
SP	Booz Allen Hamilton Holdings		1/16/2019	Over \$1,000,000 (Spouse/DC Asset)									
SP	Church & Dwight Inc												
SP	International Flavors & Fragrances												
SP	International Flavors & Fragrances												
SP	M&T Bank Corp												
SP	M&T Bank Corp												
SP	M&T Bank Corp												
SP	M&T Bank Corp												
SP	Proctor & Gamble Co												
SP	Apple Inc												
SP	Chevron Corp												
SP	Citigroup Inc												
SP	McCormick & Co Inc												
SP	McCormick & Co Inc												
SP	Morgan Stanley												
SP	Morgan Stanley												

**SCHEDULE B - TRANSACTIONS**

Name: George J. (Mike) Kelly

Asset	Type of Transaction	Date	Amount of Transaction											
			A	B	C	D	E	F	G	H	I	J	K	L
SP, DC,														
JT														
SP Booz Allen Hamilton Holdings	PURCHASE	1/4/2019	\$1 - \$1,000											
SP Florida Sta Bd of Educ Public E Capital		X	2/26/2019	X	\$1,001-\$15,000									
SP TE Connectivity Ltd		X	2/8/2019	X	\$15,001-\$50,000									
SP TE Connectivity Ltd		X	2/11/2019	X	\$50,001-\$100,000									
SP TE Connectivity Ltd		X	2/12/2019	X	\$100,001-\$250,000									
SP Abbeville Inc		X	2/22/2019	X	\$250,001-\$500,000									
SP Archer Daniels Midland		X	2/15/2019	X	\$500,001-\$1,000,000									
SP Archer Daniels Midland		X	2/19/2019	X	\$1,000,001-\$5,000,000									
SP Archer Daniels Midland		X	2/20/2019	X	\$5,000,001-\$25,000,000									
SP Occidental Petroleum		X	2/22/2019	X	\$25,000,001-\$50,000,000									
SP Occidental Petroleum		X	2/25/2019	X	Over \$50,000,000									
SP PepsiCo Inc		X	2/29/2019	X	Over \$1,000,000 (Spouse/SC Asset)									
SP T Rowe Price Group		X	2/11/2019	X										
SP T Rowe Price Group		X	2/8/2019	X										
SP Alexion Pharmaceuticals		X	2/19/2020	X										
SP Alexion Pharmaceuticals		X	2/25/2019	X										
SP BP PLC Sponsored		X	2/22/2019	X										
SP BP PLC Sponsored		X	2/25/2019	X										
SP Broadcom Inc		X	2/8/2019	X										
SP Broadcom Inc		X	2/11/2019	X										
SP International Flavors & Fragrances		X	2/4/2019	X										
SP Lauder Estee Cos Inc		X	2/15/2019	X										
SP Proctor & Gamble Co		X	2/15/2019	X										
SP Progressive Corp Ohio		X	2/8/2019	X										

**SCHEDULE B - TRANSACTIONS**

Name: George J. (Mike) Kelly

Asset	Type of Transaction	Date	Amount of Transaction									
			A	B	C	D	E	F	G	H	I	J
SP, DC, JT	PURCHASE											
SP Progressive Corp Ohio	SALE	2/11/2019	\$1 - \$1,000									
SP Progressive Corp Ohio	EXCHANGE	2/12/2019	\$1,001-\$15,000									
SP Bank of America Corp		3/8/2019	\$15,001-\$50,000									
SP Boeing Corp		3/8/2019	\$50,001-\$100,000									
SP Booz Allen Hamilton Holdings		3/22/2019	\$100,001-\$250,000									
SP Costco Wholesale Corp		3/15/2019	\$250,001-\$500,000									
SP HCA HealthCare		3/22/2019	\$500,001-\$1,000,000									
SP Estee Lauder Cos Inc		3/8/2019	\$1,000,001-\$5,000,000									
SP M&T Bank Corp		3/15/2019	\$5,000,001-\$25,000,000									
SP Procter & Gamble Co		3/15/2019	Over \$25,000,000									
SP Biogen Inc		3/22/2019	Over \$1,000,000 (Spouse/DC Asset)									
SP Burlington Stores Inc		3/8/2019										
SP Wellcare Health Plans Inc		3/18/2019										
SP Wal-Mart Stores Inc		3/15/2019										
SP Bank of New York Mellon Corp		4/24/2019										
SP Becton Corp		4/11/2019										
SP Eastman Chemical Co		4/11/2019										
SP Eastman Chemical Co		4/12/2019										
SP Raytheon Co		4/24/2019										
SP Ingersoll-Rand PLC		4/11/2019										
SP Ingersoll-Rand PLC		4/12/2019										
SP Ingersoll-Rand PLC		4/15/2019										
SP Berry Global Group Inc		4/11/2019										
SP Berry Global Group Inc		4/12/2019										

**SCHEDULE B - TRANSACTIONS**

Name: George J. (Mike) Kelly

**SCHEDULE B - TRANSACTIONS**

		Name: George J. (Mike) Kelly											
		Asset											
		Type of Transaction		Date									
SP,	DC,												
JT													
SP	American Waterworks Co												
SP	Anugen Inc		X	X	6/18/2019								
SP	Apple Inc		X		6/18/2019								
SP	Automatic Data Processing Inc		X		6/18/2019								
SP	Auto Zone Inc		X		6/18/2019								
SP	BP PLC Sponsored		X		6/18/2019								
SP	Bank of America Corp		X		6/18/2019								
SP	Baxter Intl Inc		X		6/18/2019								
SP	Berry Global Group Inc		X		6/18/2019								
SP	Booz Allen Hamilton Holdings		X		6/18/2019								
SP	Broadcom Inc		X		6/18/2019								
SP	Burlington Stores Inc		X		6/18/2019								
SP	CBRE Group		X		6/18/2019								
SP	CSX Corp		X		6/18/2019								
SP	Celanese Corp		X		6/18/2019								
SP	Chevron Corp		X		6/18/2019								
SP	Church & Dwight Inc		X		6/18/2019								
SP	Cisco Systems Inc		X		6/18/2019								
SP	Cititas Corp		X		6/18/2019								
SP	Citizens Financial GP		X		6/18/2019								
SP	Comcast Corp CIA		X		6/18/2019								
SP	Conoco Phillips		X		6/18/2019								

**SCHEDULE B - TRANSACTIONS**

Name: George J. (Mike) Kelly

Asset SP, DC,	Type of Transaction	Date	Amount of Transaction																									
			A	B	C	D	E	F	G	H	I	J	K	L														
<b>PURCHASE</b>																												
<b>SALE</b>																												
<b>EXCHANGE</b>																												
Check Box If Capital Gain Exceeded \$200																												
Date																												
SP	Costco Wholesale Corp	X	6/18/2019	\$1 - \$1,000																								
SP	Danaher Corp	X	6/18/2019	\$1,001-\$15,000																								
SP	Darden Restaurants	X	6/18/2019	\$15,001-\$50,000																								
SP	Bristol Myers Sqibb	X	6/18/2019	\$50,001-\$100,000																								
SP	Duke Energy Holding	X	6/18/2019	\$100,001-\$250,000																								
SP	Duke Energy Holding	X	6/18/2019	\$250,001-\$500,000																								
SP	Facebook Inc	X	6/18/2019	\$500,001-\$1,000,000																								
SP	HCA Healthcare Inc	X	6/18/2019	\$1,000,001-\$5,000,000																								
SP	Home Depot	X	6/18/2019	\$5,000,001-\$25,000,000																								
SP	Intel Corp	X	6/18/2019	\$25,000,001-\$50,000,000																								
SP	International Flavors & Fragrances	X	6/18/2019	Over \$50,000,00																								
SP	JP Morgan Chase & Co	X	6/18/2019	Over \$1,000,000 (Spouse/DC Asset)																								
SP	Dollar General Corp	X	6/18/2019																									
SP	Lauder Estee Cos Inc	X	6/18/2019																									
SP	Lilly Ell & Co	X	6/18/2019																									
SP	M&T Bank Corp	X	6/18/2019																									
SP	McDonalds Corp	X	6/18/2019																									
SP	Microsoft Corp	X	6/18/2019																									
SP	Norfolk Southern Corp	X	6/18/2019																									
SP	Northrop Grumman Corp	X	6/18/2019																									
SP	Omnicon Group	X	6/18/2019																									
SP	Pfizer Inc	X	6/18/2019																									
SP	Proctor & Gamble	X	6/18/2019																									

**SCHEDULE B - TRANSACTIONS**

Name: George J. (Mike) Kelly

Asset SP, DC,  JT																Date	Amount of Transaction												
																Type of Transaction	A	B	C	D	E	F	G	H	I	J	K	L	
																PURCHASE													
Check Box if Capital Gain Exceeded \$300																													
SP	Progressive Corp Ohio																Date	\$1 - \$1,000											
SP	Quest Diagnostics Inc																6/18/2019	X	\$1,001-\$15,000										
SP	S&P Global																6/18/2019	X	\$15,001-\$50,000										
SP	Sempra Energy																6/18/2019	X	\$50,001-\$100,000										
SP	Suntrust Bank Inc																6/18/2019	X	\$100,001-\$250,000										
SP	T-Mobile US Inc																6/18/2019	X	\$250,001-\$500,000										
SP	United Rentals Inc																6/18/2019	X	\$500,001-\$1,000,000										
SP	United Health Group Inc																6/18/2019	X	\$1,000,001-\$5,000,000										
SP	Vista Inc CIA																6/18/2019	X	\$5,000,001-\$25,000,000										
SP	WECC Energy GP Inc																6/18/2019	X	\$25,000,001-\$50,000,000										
SP	Waste Management Inc																6/18/2019	X	Over \$50,000,00										
SP	Omnicom Group																6/18/2019	X	Over \$1,000,000 (Spouse/DC Asset)										
SP	Quest Diagnostics																6/18/2019	X											
SP	Quest Diagnostics																6/18/2019	X											
SP	Quest Diagnostics																6/18/2019	X											
SP	Sempra Energy																6/18/2019	X											
SP	E-Trade Financial																6/18/2019	X											
SP	Total SA																6/18/2019	X											
SP	Illinois State Toll Highway Auth																11/6/2019	X											

**SCHEDULE B - TRANSACTIONS**

Name: George J. (Mike) Kelly

	Asset	Type of Transaction	Date	Amount of Transaction											
				A	B	C	D	E	F	G	H	I	J	K	L
	SP, DC,														
JT															
SP	Anchorage AK Elect Utility Revenue		X												
SP	Arlington County VA Ser A Co		X												
SP	California State Go Call 12/1/27		X												
SP	Broward Cnty Fla Port Facs Revenue		X												
SP	Indiana St Fin Auth Rev Ser B		X												
SP	New Jersey NJ Transportation Auth Tra Ref Ser A		X												
SP	ADR Equity Market Neutral - 1		X												
SP	Artisan International FD-ADV		X												
SP	Dodge & Cox International		X												
SP	Earon Vance Global Macro Abdsolute UTE Return Fund		X												
SP	Goldman Sachs Absolute Retn Tracker Fund Cl 1		X												
SP	Harding Loewner Emerg Mkts Port Fund		X												
SP	Vivaldi Merger Arbitrage - 1		X												
SP	Brandywine Global Alternative Credit Fd Class 1		X												
SP	ADR Equity Market Neutral - 1		X												
SP	Eaton Vance Global Macro Absolute Ret FD Class 1		X												
SP	Goldman Sachs Absolute Retn Tracker Fund Cl 1		X												
SP	Vivaldi Merger Arbitrage - 1		X												
SP	Brandywine Global Alternative Credit Fd Class 1		X												
SP	ADR Equity Market Neutral - 1		X												