



Filing ID #10041218

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Michael John Gallagher  
**Status:** Member  
**State/District:** WI08

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2020  
**Filing Date:** 08/13/2021

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Alaska Air Group, Inc. (ALK) [ST]	SP	None	None		<input checked="" type="checkbox"/>
Amgen Inc. (AMGN) [ST]	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Anthem, Inc. (ANTM) [ST]	SP	None	None		<input checked="" type="checkbox"/>
Apple Inc. (AAPL) [ST]	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Arrow Financial Corporation (AROW) [ST]	SP	None	None		<input checked="" type="checkbox"/>
Atmos Energy Corporation (ATO) [ST]	SP	None	None		<input checked="" type="checkbox"/>
Bank of America Corporation (BAC) [ST]	SP	None	None		<input checked="" type="checkbox"/>
Barclays Bank savings account [BA]	SP	\$100,001 - \$250,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Chase Bank [BA]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Chevron Corporation (CVX) [ST]	SP	None	None		<input checked="" type="checkbox"/>
Clearbridge Large Cap Growth (SBLYX) [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Cognizant Technology Solutions Corporation - Class A (CTSH) [ST]	SP	None	None		<input checked="" type="checkbox"/>
CVS Health Corporation (CVS) [ST]	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Donaldson Company, Inc. (DCI) [ST]	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Fastenal Company (FAST) [ST]	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
FedEx Corporation (FDX) [ST]	SP	None	None		<input checked="" type="checkbox"/>
Ford Interest Advantage [BA]	SP	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Freedom Bicentennial UL [WU]		\$250,001 - \$500,000	None		<input type="checkbox"/>
Fresenius Medical Care AG Vorz AKT OHNE Stimmrecht (FMCMF) [ST]	SP	None	None		<input checked="" type="checkbox"/>
GCP Applied Technologies Inc. (GCP) [ST]	SP	None	None		<input checked="" type="checkbox"/>
Invesco Equal Weight S&P 500 (VADDX) [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
IVY Science and Technology (ISTIX) [MF]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
John Hancock 401k ⇒ Institutional Index Fund [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
John Hancock 401k ⇒ New Horizons Fund [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
John Hancock 401k ⇒ Retirement 2040 Fund [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Hancock 401k ⇒ Retirement 2050 Fund [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
John Hancock 401k ⇒ Small Cap Value Fund [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Johnson & Johnson (JNJ) [ST]	SP	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Johnson Controls International plc Ordinary Share (JCI) [ST]	SP	None	None		<input checked="" type="checkbox"/>
Laboratory CP Amer Hldgs New [MF]	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Lockheed Martin Corporation (LMT) [ST]	SP	None	None		<input checked="" type="checkbox"/>
McDonald's Corporation (MCD) [ST]	SP	None	None		<input checked="" type="checkbox"/>
Merck & Company, Inc. Common Stock (MRK) [ST]	SP	None	None		<input checked="" type="checkbox"/>
MFS Value Fund (MEIIX) [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Microsoft Corporation (MSFT) [ST]	SP	None	None		<input checked="" type="checkbox"/>
Morgan Stanley Cash Equivalent Account [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Occidental Petroleum Corporation (OXY) [ST]	SP	None	None		<input checked="" type="checkbox"/>
Pfizer, Inc. (PFE) [ST]	SP	None	None		<input checked="" type="checkbox"/>
Procter & Gamble Company (PG) [ST]	SP	None	Capital Gains	\$1 - \$200	<input checked="" type="checkbox"/>
Regions Financial Corporation (RF) [ST]	SP	None	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Roth IRA ⇒ Apple Inc. (AAPL) [ST]	SP	None	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Roth IRA ⇒ CVS Health Corporation (CVS) [ST]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Roth IRA ⇒ Franklin Income ADV (FRIAX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Roth IRA ⇒ Hartford Equity Inc (HQIIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Roth IRA ⇒ Ishares Core Msci Eafe ETF [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Roth IRA ⇒ Ishares Core Msci Emerging [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Roth IRA ⇒ Ishares Inc Msci Japan ETF [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Roth IRA ⇒ Ishares Russell 1000 GRW [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Roth IRA ⇒ Ishares Russell 1000 Value ETF [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Roth IRA ⇒ Ishares Russell 2000 Grwth ETF [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Roth IRA ⇒ Ishares Russell 2000 Value ETF [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Roth IRA ⇒ Ishares Russell Midcap G ETF [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Roth IRA ⇒ Ishares Russell Midcap V ETF [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Roth IRA ⇒ Johnson & Johnson (JNJ) [ST]	SP	None	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Roth IRA ⇒ PGIM Jennison Gowth Z (PJFZX) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Roth IRA ⇒ Prudential Global Real Estate (PURZX) [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Roth IRA ⇒ Prudential Jennison Growth (PJFZX) [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Roth IRA ⇒ Vanguard Large-Cap ETF - DNQ (VV) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Roth IRA ⇒ Vanguard Utilities ETF - DNQ (VPU) [ST]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Roth IRA ⇒ Wisdomtree US Largecap Div Fnd (DLN) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Sealed Air Corporation (SEE) [ST]	SP	None	None		<input checked="" type="checkbox"/>
Sherwin-Williams Company (SHW) [ST]	SP	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
USAA Bank Account [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Vanguard Consumer Dsc ETC (VCR) [MF]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Vanguard Financials ETF (VFH) [MF]	JT	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Vmware, Inc. Common stock, Class A (VMW) [ST]	SP	None	None		<input checked="" type="checkbox"/>
Voya Age o-4 OP C (INGWC) [MF]	DC	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
W.R. Grace & Co. (GRA) [ST]	SP	None	None		<input checked="" type="checkbox"/>
WEC Energy Group, Inc. (WEC) [ST]	SP	None	None		<input checked="" type="checkbox"/>
Wells Fargo & Company (WFC) [ST]	SP	None	None		<input checked="" type="checkbox"/>
Xcel Energy Inc. (XEL) [ST]	SP	None	None		<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Alaska Air Group, Inc. (ALK) [ST]	SP	01/8/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Amgen Inc. (AMGN) [ST]	SP	01/9/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Anthem, Inc. (ANTM) [ST]	SP	01/9/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Apple Inc. (AAPL) [ST]	SP	01/13/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Arrow Financial Corporation (AROW) [ST]	SP	01/13/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Atmos Energy Corporation (ATO) [ST]	SP	01/13/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Bank of America Corporation (BAC) [ST]	SP	01/9/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Chevron Corporation (CVX) [ST]	SP	01/9/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Clearbridge Large Cap Gwth (SBLYX) [MF]	JT	03/20/2020	P	\$50,001 - \$100,000	
Cognizant Technology Solutions Corporation - Class A (CTSH) [ST]	SP	01/8/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
CVS Health Corporation (CVS) [ST]	SP	01/9/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Donaldson Company, Inc. (DCI) [ST]	SP	01/13/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Donaldson Company, Inc. (DCI) [ST]	SP	01/31/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Fastenal Company (FAST) [ST]	SP	01/13/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
FedEx Corporation (FDX) [ST]	SP	01/8/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Fresenius Medical Care AG Vorz AKT OHNE Stimmrecht	SP	01/13/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
(FMCMF) [ST]					
GCP Applied Technologies Inc. (GCP) [ST]	SP	01/9/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Invesco Equal Weight S&P 500 Y (VADDX) [MF]	JT	03/20/2020	P	\$50,001 - \$100,000	
Invesco Equal Weight S&P 500 Y (VADDX) [MF]	JT	12/31/2020	P	\$1,001 - \$15,000	
Invesco Equal Wgtd S&P 500 Y [MF]	JT	12/31/2020	P	\$1,001 - \$15,000	
Ivy Sci & Tech I (ISTIX) [MF]	JT	03/20/2020	P	\$15,001 - \$50,000	
Johnson & Johnson (JNJ) [ST]	SP	01/13/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Johnson Controls International plc Ordinary Share (JCI) [ST]	SP	01/8/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Laboratory Corporation of America Holdings (LH) [ST]	SP	01/9/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Lockheed Martin Corporation (LMT) [ST]	SP	01/9/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
McDonald's Corporation (MCD) [ST]	SP	01/13/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Merck & Company, Inc. Common Stock (MRK) [ST]	SP	01/13/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
MFS Value I [MF]	JT	12/31/2020	P	\$1,001 - \$15,000	
Microsoft Corporation (MSFT) [ST]	SP	01/13/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Occidental Petroleum Corporation (OXY) [ST]	SP	01/8/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Pfizer, Inc. (PFE) [ST]	SP	01/13/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Procter & Gamble Company (PG) [ST]	SP	01/13/2020	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Regions Financial Corporation (RF) [ST]	SP	01/9/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Roth IRA ⇒ Prudential Global Real Estate [MF]		03/11/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Roth IRA ⇒ Prudential Jennison Growth (PJFZX) [MF]		07/16/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Roth IRA ⇒ Vanguard Utilities ETF - DNQ [MF]		03/11/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Sealed Air Corporation (SEE) [ST]	SP	01/9/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Sherwin-Williams Company (SHW) [ST]	SP	01/13/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard Consumer DSC ETF (VCR) [MF]	JT	03/20/2020	P	\$15,001 - \$50,000	
Vanguard Financials ETF (VFH) [MF]	JT	03/20/2020	P	\$15,001 - \$50,000	
Vanguard Financials ETF (VFH) [MF]	JT	12/15/2020	P	\$1,001 - \$15,000	
Vanguard Financials ETF (VFH) [MF]	JT	12/22/2020	P	\$1,001 - \$15,000	
Vmware, Inc. Common stock, Class A (VMW) [ST]	SP	01/13/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Voya Age o-4 OP C (INGWC) [MF]	DC	12/11/2020	P	\$1,001 - \$15,000	
W.R. Grace & Co. (GRA) [ST]	SP	01/13/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
WEC Energy Group, Inc. (WEC) [ST]	SP	01/13/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Wells Fargo & Company (WFC) [ST]	SP	01/9/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Xcel Energy Inc. (XEL) [ST]	SP	01/13/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Force Residuals CA Inc.	Performance Residuals - spouse	N/A

#### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Nicolet National Bank	July 2019	Mortgage on Primary Residence	\$100,001 - \$250,000

#### SCHEDULE E: POSITIONS

None disclosed.

#### SCHEDULE F: AGREEMENTS

None disclosed.

#### SCHEDULE G: GIFTS

None disclosed.

#### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

#### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

#### SCHEDULE A AND B ASSET CLASS DETAILS

- John Hancock 401k (Owner: SP)
- Roth IRA
- Roth IRA (Owner: SP)

#### EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

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Yes  No

### **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Michael John Gallagher , 08/13/2021