



Filing ID #10037362

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Greg Stanton
Status: Member
State/District: AZ09

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2019
Filing Date: 08/7/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|---------------------|-----------------------------|-----------------|--------------------------|
| Capital Group American Funds ⇒ Capital Income Builder (CIRAX) [5P] LOCATION: AZ | DC | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Capital Group American Funds ⇒ Capital Income Builder (CIRAX) [5P] LOCATION: AZ | DC | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Capital Group American Funds ⇒ Capital World Growth and Income Fund (CWIAX) [5P] LOCATION: AZ | DC | \$1,001 - \$15,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Capital Group American Funds ⇒ Capital World Growth and Income Fund (CWIAX) [5P] LOCATION: AZ | DC | \$15,001 - \$50,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Capital Group American Funds ⇒ The Growth Fund of America - 529A (CGFAX) [5P] LOCATION: AZ | DC | \$15,001 - \$50,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Capital Group American Funds ⇒ The Growth Fund of America (CGFAX) [5P] | DC | \$15,001 - \$50,000 | Capital Gains, Dividends | \$1 - \$200 | <input type="checkbox"/> |

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|---|-------|-----------------------|----------------|--------|--------------------------|
| LOCATION: AZ | | | | | |
| Harvest Health and Recreation Inc Employee Stock Options [OP] | SP | Undetermined | None | | <input type="checkbox"/> |
| DESCRIPTION: Stock option as part of compensation package. Asset is currently inaccessible due to duration of employment requirement. | | | | | |
| Nationwide 401(a) Plan ⇒ American Funds 2035 Trgt Date Retire T6 RFFTX [MF] | | \$100,001 - \$250,000 | Tax-Deferred | | <input type="checkbox"/> |
| Nationwide 401(a) Plan ⇒ Nationwide 401a Plan [MF] | | \$100,001 - \$250,000 | Tax-Deferred | | <input type="checkbox"/> |
| Nationwide 457(b) Plan ⇒ American Funds 2035 Trgt Date Retire T6 RFFTX [MF] | | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |
| Nationwide 457(b) Plan ⇒ City of Phoenix Stable Income Fund [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Nationwide Post Employment Health Plan ⇒ Nationwide Post Employment Health Plan [BA] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Northwestern Mutual IRA ⇒ Russell Growth Strategy Fund (RALAX) [MF] | SP | \$100,001 - \$250,000 | Tax-Deferred | | <input type="checkbox"/> |
| Northwestern Mutual IRA ⇒ Russell Growth Strategy Fund (RALCX) [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Randy Taylor Consulting 401k Plan (ABG Rocky Mountain) [OT] | SP | \$15,001 - \$50,000 | Interest | None | <input type="checkbox"/> |
| DESCRIPTION: Employee 401k | | | | | |
| State of Arizona Elected Officials Pension [PE] | | Undetermined | Tax-Deferred | | <input type="checkbox"/> |
| DESCRIPTION: Value of pension determined upon retirement | | | | | |
| Thrift Savings Plan [OT] | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| DESCRIPTION: Thrift savings plan | | | | | |
| Vanguard 401(k) ⇒ NW Z NYSE AT 100 IS [MF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Vanguard 401(k) ⇒ Vanguard Equity Income Fund Adm [MF] | SP | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |
| Vanguard 401(k) ⇒ | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|----------------------|----------------|-------------|--------------------------|
| Vanguard Small-Cap Growth Idx Inst [MF] | | | | | |
| Vanguard 401(k) ⇒ Vanguard Target Retirement 2035 [MF] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| Vanguard 401(k) ⇒ Vanguard Total Bond Mkt Index Inst [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Vanguard 401(k) ⇒ Vanguard Total Stock Mkt Idx Inst [MF] | SP | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |
| Wells Fargo Checking [BA] | | \$15,001 - \$50,000 | None | | <input type="checkbox"/> |
| Wells Fargo Checking [BA] | SP | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Wells Fargo Savings [BA] | | \$1 - \$1,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Wells Fargo Savings [BA] | SP | \$15,001 - \$50,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

None disclosed.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount |
|----------------------------------|---------------|--------|
| Harvest Health & Recreation Inc. | Spouse Salary | N/A |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|----------------------------|---------------|---------------|---------------------|
| | US Department of Education | August 1992 | Student Loans | \$15,001 - \$50,000 |
| | SoFi | May 2017 | Personal Loan | \$15,001 - \$50,000 |

SCHEDULE E: POSITIONS

| Position | Name of Organization |
|-------------------------|----------------------|
| Director (Spouse) | Charter 100 |
| COMMENTS: Uncompensated | |

SCHEDULE F: AGREEMENTS

| Date | Parties To | Terms of Agreement |
|---------------|---------------------------------|----------------------------------|
| February 2000 | Myself and the State of Arizona | Value determined upon retirement |

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

| |
|---|
| <ul style="list-style-type: none">Capital Group American Funds (Owner: DC) LOCATION: AZNationwide 401(a) PlanNationwide 457(b) PlanNationwide Post Employment Health Plan LOCATION: USNorthwestern Mutual IRA (Owner: SP)Vanguard 401(k) (Owner: SP) |
|---|

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Greg Stanton , 08/7/2020