



Filing ID #10048248

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Tom Malinowski  
**Status:** Member  
**State/District:** NJ07

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2021  
**Filing Date:** 08/13/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Air Lease Corporation Class A (AL) [ST]	None		Dividends	\$201 - \$1,000	<input type="checkbox"/>
Alpha and Omega Semiconductor Limited - Common Shares (AOSL) [ST]	None		Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Ameresco, Inc. Class A (AMRC) [ST]	None		Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Bank of America Checking Account [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Celcuity Inc. (CELC) [ST]	None		Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
CREF-Bond Market [PE]		\$15,001 - \$50,000	None		<input type="checkbox"/>
CREF-Social Choice [PE]		\$100,001 - \$250,000	None		<input type="checkbox"/>
CREF-Stock [PE]		\$100,001 - \$250,000	None		<input type="checkbox"/>
DermTech, Inc. (DMTK) [ST]	None		Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Devon Energy Corporation (DVN) [ST]	None		Dividends	\$201 - \$1,000	<input type="checkbox"/>
Enterprise Products Partners L.P. (EPD) [ST]	None		Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Equinix, Inc. (EQIX) [ST]	None		Dividends	\$201 - \$1,000	<input type="checkbox"/>
General Finance Corporation (GFN) [ST]	None		Capital Gains	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
The Tomasz P. Malinowski Blind Trust [EQ]		\$1,000,001 - \$5,000,000	Excepted/Blind Trust	\$2,501 - \$5,000	<input type="checkbox"/>
TIAA-Real Estate [PE]		\$15,001 - \$50,000	None		<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Alpha and Omega Semiconductor Limited - Common Shares (AOSL) [ST]		02/16/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Ameresco, Inc. Class A (AMRC) [ST]		01/6/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: short sale					
Ameresco, Inc. Class A (AMRC) [ST]		01/7/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: short sale					
Ameresco, Inc. Class A (AMRC) [ST]		01/8/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: short sale					
Ameresco, Inc. Class A (AMRC) [ST]		02/5/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Ameresco, Inc. Class A (AMRC) [ST]		02/8/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Ameresco, Inc. Class A (AMRC) [ST]		02/9/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Ameresco, Inc. Class A (AMRC) [ST]		03/9/2021	P	\$15,001 - \$50,000	
DESCRIPTION: covered short					
Ameresco, Inc. Class A (AMRC) [ST]		05/26/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
BlackLine, Inc. (BL) [ST]		01/15/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: short sale					
BlackLine, Inc. (BL) [ST]		02/17/2021	P	\$15,001 - \$50,000	
DESCRIPTION: covered short					
C3.ai, Inc. Class A (AI) [ST]		01/26/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: short sale					
C3.ai, Inc. Class A (AI) [ST]		03/4/2021	P	\$15,001 - \$50,000	
DESCRIPTION: covered short					
CareDx, Inc. (CDNA) [ST]		01/6/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: short sale					
CareDx, Inc. (CDNA) [ST]		01/8/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: short sale					
CareDx, Inc. (CDNA) [ST]		01/11/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: short sale					
CareDx, Inc. (CDNA) [ST]		03/2/2021	P	\$15,001 - \$50,000	
DESCRIPTION: covered short					
CC Neuberger Principal Holdings I Class A Ordinary Shares (PCPL) [ST]		01/28/2021	P	\$1,001 - \$15,000	
DESCRIPTION: covered short					
CC Neuberger Principal Holdings I Units, each consisting of one Class A ordinary share and one-fourth of one Redeemable Warrant (PCPL.U) [ST]		01/5/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Celcuity Inc. (CELC) [ST]		05/26/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
CM Life Sciences III Inc. - Unit (CMLTU) [ST]		04/9/2021	P	\$15,001 - \$50,000	
CM Life Sciences, Inc. - Unit (CMLFU) [ST]		02/25/2021	P	\$1,001 - \$15,000	
CM Life Sciences, Inc. - Unit (CMLFU) [ST]		02/25/2021	P	\$15,001 - \$50,000	
CM Life Sciences, Inc. - Unit (CMLFU) [ST]		03/5/2021	P	\$1,001 - \$15,000	
CM Life Sciences, Inc. - Unit (CMLFU) [ST]		03/8/2021	P	\$1,001 - \$15,000	
CNFinance Holdings Limited American Depository Shares, each representing twenty (CNF) [ST]		01/4/2021	P	\$1,001 - \$15,000	
CNFinance Holdings Limited American Depository Shares, each representing twenty (CNF) [ST]		01/5/2021	P	\$1,001 - \$15,000	
CNFinance Holdings Limited American Depository Shares, each representing twenty (CNF) [ST]		01/6/2021	P	\$1,001 - \$15,000	
DermTech, Inc. (DMTK) [ST]		01/11/2021	P	\$1,001 - \$15,000	
DermTech, Inc. (DMTK) [ST]		01/27/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DoorDash, Inc. Class A (DASH) [ST]		01/15/2021	P	\$15,001 - \$50,000	
DoorDash, Inc. Class A (DASH) [ST]		01/11/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: short sale					
DoorDash, Inc. Class A (DASH) [ST]		03/2/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: short sale					
DoorDash, Inc. Class A (DASH) [ST]		03/11/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: short sale					
DoorDash, Inc. Class A (DASH) [ST]		04/27/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: short sale					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DoorDash, Inc. Class A (DASH) [ST]		04/29/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: short sale					
DoorDash, Inc. Class A (DASH) [ST]		05/18/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: short sale					
E2open Parent Holdings, Inc. Warrants (ETWO.W) [ST]		04/1/2021	P	\$1,001 - \$15,000	
Everbridge, Inc. (EVBG) [ST]		02/23/2021	P	\$15,001 - \$50,000	
Everbridge, Inc. (EVBG) [ST]		03/12/2021	P	\$15,001 - \$50,000	
Fastly, Inc. Class A (FSLY) [ST]		01/25/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: short sale					
Five9, Inc. (FIVN) [ST]		01/6/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: short sale					
Five9, Inc. (FIVN) [ST]		02/25/2021	P	\$1,001 - \$15,000	
General Finance Corporation (GFN) [ST]		04/20/2021	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
General Finance Corporation (GFN) [ST]		04/21/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Heron Therapeutics, Inc. (HRTX) [ST]		05/18/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: short sale					
Hologic, Inc. (HOLX) [ST]		02/23/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: short sale					
Hologic, Inc. (HOLX) [ST]		03/12/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: short sale					
LL Flooring Holdings, Inc. (LL) [ST]		05/7/2021	P	\$15,001 - \$50,000	
Meridian Bioscience Inc. (VIVO) [ST]		02/23/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: short sale					

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
OraSure Technologies, Inc. (OSUR) [ST]		01/11/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: short sale					
OraSure Technologies, Inc. (OSUR) [ST]		02/23/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: short sale					
Pacira BioSciences, Inc. (PCRX) [ST]		01/25/2021	P	\$1,001 - \$15,000	
Pacira BioSciences, Inc. (PCRX) [ST]		04/20/2021	P	\$1,001 - \$15,000	
DESCRIPTION: covered short					
Pacira BioSciences, Inc. (PCRX) [ST]		05/6/2021	P	\$1,001 - \$15,000	
Peloton Interactive, Inc. (PTON) [ST]		04/21/2021	P	\$15,001 - \$50,000	
Peloton Interactive, Inc. (PTON) [ST]		04/19/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: short sale					
Peloton Interactive, Inc. (PTON) [ST]		05/11/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: short sale					
QuidelOrtho Corporation (QDEL) [ST]		02/23/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
QuidelOrtho Corporation (QDEL) [ST]		03/3/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: short sale					
QuidelOrtho Corporation (QDEL) [ST]		03/15/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: short sale					
QuidelOrtho Corporation (QDEL) [ST]		04/27/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: short sale					
QuidelOrtho Corporation (QDEL) [ST]		05/11/2021	P	\$15,001 - \$50,000	
Qumu Corporation (QUMU) [ST]		01/29/2021	P	\$1,001 - \$15,000	
Teradata Corporation (TDC) [ST]		02/12/2021	P	\$15,001 - \$50,000	
DESCRIPTION: covered short					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Teradata Corporation (TDC) [ST]		04/26/2021	P	\$50,001 - \$100,000	
DESCRIPTION: covered short					
The Honest Company, Inc. (HNST) [ST]		05/11/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: short sale					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
Mr. Cooper Mortgage		November 2019	Home Mortgage	\$100,001 - \$250,000
TD Auto Finance		January 2019	Vehicle Loan	\$15,001 - \$50,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Tom Malinowski , 08/13/2022