

**UNITED STATES HOUSE OF REPRESENTATIVES**

2019 FINANCIAL DISCLOSURE STATEMENT

Form A

AUG 10 2020

LEGISLATIVE RESOURCE CENTER

2020 Audit Page 4 of 53

Page 1 of 4

2018

Name: Ted YohoDaytime Telephone: 202-225-3761

A \$250 penalty shall be assessed against any individual who files more than 30 days late.

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>PA</u>	Officer or Employee	Staff Filer Type: (If Applicable) Shared <input type="checkbox"/> Principal Assistant <input type="checkbox"/>
REPORT TYPE	<input type="checkbox"/> 2019 Annual (Due: May 15, 2020)	<input checked="" type="checkbox"/> Amendment To The 2018 Fin. Disc.	<input type="checkbox"/> Termination	Date of Termination:

**PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS**

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <input checked="" type="checkbox"/> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$390 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$390 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input type="checkbox"/>	<b>ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"</b>	

**IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS**

**IPO** – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.

**TRUSTS** – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?

**EXEMPTION** – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes  No

Yes  No

# SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name:

*Lipton*

Page 2 of 2

## BLOCK A

### Assets and/or Income Sources

Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that is listed in Schedule C in unmarked boxes.

Provide complete names of stock and mutual funds (do not use only tick mark boxes).

For all assets other than retirement funds (such as 401(k), pension, other retirement funds, such as 403(b), etc.), provide the value of each asset held in the account that exceeds \$1,000 during the reporting period.

For all bank and investment accounts held in your name or jointly with another person, list the amount in all these accounts. If the total is over \$5,000, list the financial institution name there is more than \$1,000 in interest bearing accounts.

For rental and other real property held personally, provide complete address of location, e.g., "real property," and a city and state name, address, telephone number, date of name change, its nature of its use, and its geographic location in block A.

For ownership interest in a private business that is personally owned, list the name, address, and nature of its use, and its geographic location in block A.

If you own personal property, list the name, address, and nature of its use, and its geographic location in block A.

If you have an interest in a pension, annuity, or federal retirement plan, including the Thrift Savings Plan, Investment Fund Plan, or stock the "TIP" plan, if you report a privately held fund that is separately accounted for, you may indicate that the asset is your spouse's, that your spouse is the owner, or that it is jointly held with anyone else.

In the optional column on the far left, for a detailed discussion of Schedule A requirements, please refer to the instructions.

## BLOCK B

### Value of Asset

None	A	B	C	D	E	F	G	H	I	J	K	L	M
\$1-\$1,000													
\$1,001-\$15,000													
\$15,001-\$50,000													
\$50,001-\$100,000													
\$100,001-\$250,000													
\$250,001-\$500,000													
\$500,001-\$1,000,000													
\$1,000,001-\$5,000,000													
\$5,000,001-\$25,000,000													
\$25,000,001-\$50,000,000													
Over \$50,000,000													
Spouse/DC Asset over \$1,000,000*													

## BLOCK C

### Type of Income

NONE	I	II	III	IV	V	VI	VI	VII	VIII	IX	X	XI	XII
DIVIDENDS													
RENT													
INTEREST													
CAPITAL GAINS													
EXCEPTED/BLIND TRUST													
TAX-DEFERRED													
Other Type of Income (Specify: e.g., Partnership Income or Farm Income)													

## BLOCK D

### Amount of Income

None	I	II	III	IV	V	VI	VI	VII	VIII	IX	X	XI	XII
\$1-\$200													
\$201-\$1,000													
\$1,001-\$2,500													
\$2,501-\$5,000													
\$5,001-\$15,000													
\$15,001-\$60,000													
\$50,001-\$100,000													
\$100,001-\$1,000,000													
\$1,000,001-\$5,000,000													
Over \$5,000,000													
Spouse/DC Asset with Income over \$1,000,000*													

## BLOCK E

### Transaction

P, S, SP, or E	I	II	III	IV	V	VI	VI	VII	VIII	IX	X	XI	XII
SP (part)													
S (part)													
P, S, SP, or E													

SP, P	SP	Hedge Corp. Stock	EIF										
Example: ABC Hedge Fund		Simon & Schuster											

Use additional sheets if more space is required.

**FRED UPTON**  
6TH DISTRICT, MICHIGAN

COMMITTEE ON  
ENERGY AND COMMERCE

ENERGY  
REPUBLICAN LEADER

HEALTH

CONSUMER PROTECTION AND  
COMMERCE



**Congress of the United States  
House of Representatives**

August 10, 2020

**DC OFFICE:**

2183 RAYBURN HOUSE OFFICE BUILDING  
WASHINGTON, DC 20515-2206  
(202) 225-3761  
FAX: (202) 225-4986

**MICHIGAN HOME OFFICES:**

350 E. MICHIGAN AVENUE  
SUITE 130  
KALAMAZOO, MI 49007  
(269) 385-0039  
FAX: (269) 385-2888

720 MAIN STREET  
ST. JOSEPH, MI 49085  
(269) 982-1986  
FAX: (269) 982-0237

<http://upton.house.gov>

Please note that in preparation of my 2020 Financial Disclosure report for CY19, I identified and confirmed with JP Morgan that the trusts they manage without my control, direction, or ownership, failed to indicate the holdings of Biogen, Parker-Hannifin, Medtronic, Schwab, Prudential Financial, and Occidental Petroleum in my 2019 Disclosure Report. They should have all been listed in value between \$1,000 to \$15,000 and were, but Biogen were sold in calendar year 2019 and such sales were reported in my Periodic Transactions Report last year. These holdings did not change the total value of the trusts they manage between \$15,000,000 and \$25,000,000.

I am including an amendment that should be attached to my 2018 Financial Disclosure Report.

Sincerely,

Fred Upton  
Member of Congress

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LEGISLATIVE RESOURCE CENTER  
2020 AUG 20 AM 11: 53  
U.S. HOUSE OF REPRESENTATIVES  
OFFICE OF THE SPEAKER