

UNITED STATES HOUSE OF REPRESENTATIVES**2021 FINANCIAL DISCLOSURE STATEMENT**

Form A

For Use by Members, Officers, and Employees

HAND
DELIVERED
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Page 1 of 15
(Office Use Only)

Name: Hon. Doris O. Matsui**Daytime Telephone: 202-225-7163**

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>CA</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____	Staff Filer Type: (If Applicable) <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant
REPORT TYPE	<input type="checkbox"/> 2021 Annual (Due: May 16, 2022)		<input checked="" type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS**A. Did you, your spouse, or your dependent child:**

- a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period?
- b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?

Yes No

- B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?

Yes No

- C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?

Yes No

- D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?

Yes No

- E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?

Yes No

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.

Yes No

TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?

Yes No

EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes No

SCHEDULE A - ASSETS & "UNEARNED INCOME"

SCHEDULE A - ASSETS & "UNEARNED INCOME"

SCHEDULE A - ASSETS & "UNEARNED INCOME"

SP DO.	ASSET NAME	EIN	BLOCK B Value of Asset												Type of Income	
			A	B	C	D	E	F	G	H	I	J	K	L	M	
SP	One Creek Overseas Fund, Ltd.	X														None
SP	Tiger Global Ltd.	X														\$1 - \$1,000
SP	Peters European Risk Opp. Fund, Ltd.	X														\$1,001 - \$15,000
SP	The Oneirod Partners Fund, LP	X														\$15,001 - \$50,000
SP	FF Free International Value Fund II, Ltd.	X														\$50,001 - \$100,000
SP	AIG Credit Solutions Fund II, LP	X														\$100,001 - \$250,000
SP	Alpha Charitable Remainder Trust 2															\$250,001 - \$500,000
SP	Money Market Fund															\$500,001 - \$1,000,000
SP	U.S. Treasury Note 2.375% due 07/15/2021															\$1,000,001 - \$5,000,000
SP	U.S. Treasury Note 2.625% due 07/15/2021															\$5,000,001 - \$25,000,000
SP	Bond Auto-Quote Bond Fund	X														\$25,000,001 - \$50,000,000
SP	Bond Short-Term Bond Fund	X														Over \$50,000,000
SP	MetWest Total Return Bond Fund	X														Spouse / DC Asset over \$1,000,000
SP	MetWest Unconstrained Bond Fund	X														NONE
SP	ABNB US Inited	X														DIVIDENDS
SP	One Creek Overseas Fund, Ltd.	X														RENT
SP	Teconic Offshore Fund I, Ltd.	X														INTEREST
SP	AlphaOne Capital Partners Offshore Ltd.	X														CAPITAL GAINS
SP	Vanguard Total Stock Market ETF	X														EXCEPTED/BUND TRUST
SP	Vanguard ESG US Stock ETF	X														TAX-DEFERRED
SP	Vanguard Ultra Short Bond Fund	X														Other Type of Income
SP	AlphaMark Charitable Remainder Trust															NONE
SP	Money Market Fund															\$1 - \$200
SP	Band Auto-Quote Bond Fund	X														\$201 - \$1,000
SP	Band Short-Term Bond Fund	X														\$1,001 - \$2,500
SP	MetWest Total Return Bond Fund	X														\$2,501 - \$5,000
SP	MetWest Unconstrained Bond Fund	X														\$5,001 - \$15,000
SP	AG SF International (U), Ltd.	X														\$15,001 - \$50,000
SP	AlphaOne UBS Short Bond Fund	X														\$50,001 - \$100,000
SP	Vanguard Ultra Short Bond Fund	X														\$100,001 - \$1,000,000
SP	Vanguard Total Stock Market ETF	X														\$1,000,001 - \$5,000,000
SP	Vanguard ESG US Stock ETF	X														Over \$5,000,000
SP	AlphaOne Options Fund	X														Over \$1,000,000 (Spouse/DC Asset)
SP	AlphaOne Capital Partners Offshore, Ltd.	X														
SP	Perennial Cap Equity Fund	X														
SP	Perennial Ultra Short Bond Fund	X														
SP	Perennial ESG US Stock ETF	X														
SP	Vanguard Total Stock Market ETF	X														
SP	First Trust International Value Fund II, Ltd.	X														
SP	Perennial Charitable Remainder Trust															
SP	Money Market Fund															
SP	U.S. Treasury Note 2.825% due 07/15/2021															
SP	Bond Short-Term Bond Fund	X														
SP	Bond Auto-Quote Bond Fund (Int'l)	X														
SP	MetWest Total Return Bond Fund	X														
SP	MetWest Unconstrained Bond Fund	X														
SP	AlphaOne Limited	X														
SP	AlphaOne Options Fund	X														
SP	AlphaOne Capital Partners Offshore, Ltd.	X														
SP	Perennial Cap Equity Fund	X														
SP	Perennial Ultra Short Bond Fund	X														
SP	Perennial ESG US Stock ETF	X														
SP	Vanguard Total Stock Market ETF	X														
SP	First Trust International Value Fund II, Ltd.	X														
SP	Perennial Charitable Remainder Trust															
SP	Money Market Fund															
SP	U.S. Treasury Note 2.825% due 07/15/2021															
SP	Bond Short-Term Bond Fund	X														
SP	Bond Auto-Quote Bond Fund (Int'l)	X														
SP	MetWest Total Return Bond Fund	X														
SP	MetWest Unconstrained Bond Fund	X														
SP	AlphaOne Limited	X														
SP	AlphaOne Options Fund	X														
SP	AlphaOne Capital Partners Offshore, Ltd.	X														
SP	Perennial Cap Equity Fund	X														
SP	Perennial Ultra Short Bond Fund	X														
SP	Perennial ESG US Stock ETF	X														
SP	Vanguard Total Stock Market ETF	X														
SP	First Trust International Value Fund II, Ltd.	X														
SP	Perennial Charitable Remainder Trust															
SP	Money Market Fund															
SP	U.S. Treasury Note 2.825% due 07/15/2021															
SP	Bond Short-Term Bond Fund	X														
SP	Bond Auto-Quote Bond Fund (Int'l)	X														
SP	MetWest Total Return Bond Fund	X														
SP	MetWest Unconstrained Bond Fund	X														
SP	AlphaOne Limited	X														
SP	AlphaOne Options Fund	X														
SP	AlphaOne Capital Partners Offshore, Ltd.	X														
SP	Perennial Cap Equity Fund	X														
SP	Perennial Ultra Short Bond Fund	X														
SP	Perennial ESG US Stock ETF	X														
SP	Vanguard Total Stock Market ETF	X														
SP	First Trust International Value Fund II, Ltd.	X														
SP	Perennial Charitable Remainder Trust															
SP	Money Market Fund															
SP	U.S. Treasury Note 2.825% due 07/15/2021															
SP	Bond Short-Term Bond Fund	X														
SP	Bond Auto-Quote Bond Fund (Int'l)	X														
SP	MetWest Total Return Bond Fund	X														
SP	MetWest Unconstrained Bond Fund	X														
SP	AlphaOne Limited	X														
SP	AlphaOne Options Fund	X														
SP	AlphaOne Capital Partners Offshore, Ltd.	X														
SP	Perennial Cap Equity Fund	X														
SP	Perennial Ultra Short Bond Fund	X														
SP	Perennial ESG US Stock ETF	X														
SP	Vanguard Total Stock Market ETF	X														
SP	First Trust International Value Fund II, Ltd.	X														
SP	Perennial Charitable Remainder Trust															
SP	Money Market Fund															
SP	U.S. Treasury Note 2.825% due 07/15/2021															
SP	Bond Short-Term Bond Fund	X														
SP	Bond Auto-Quote Bond Fund (Int'l)	X														
SP	MetWest Total Return Bond Fund	X														
SP	MetWest Unconstrained Bond Fund	X														
SP	AlphaOne Limited	X														
SP	AlphaOne Options Fund	X														
SP	AlphaOne Capital Partners Offshore, Ltd.	X														
SP	Perennial Cap Equity Fund	X														
SP	Perennial Ultra Short Bond Fund	X														
SP	Perennial ESG US Stock ETF	X														
SP	Vanguard Total Stock Market ETF	X														
SP	First Trust International Value Fund II, Ltd.	X														
SP	Perennial Charitable Remainder Trust															
SP	Money Market Fund															
SP	U.S. Treasury Note 2.825% due 07/15/2021															
SP	Bond Short-Term Bond Fund	X														
SP	Bond Auto-Quote Bond Fund (Int'l)	X														
SP	MetWest Total Return Bond Fund	X														
SP	MetWest Unconstrained Bond Fund	X														
SP	AlphaOne Limited	X														
SP	AlphaOne Options Fund	X														
SP	AlphaOne Capital Partners Offshore, Ltd.	X														
SP	Perennial Cap Equity Fund	X														
SP	Perennial Ultra Short Bond Fund	X														
SP	Perennial ESG US Stock ETF	X														
SP	Vanguard Total Stock Market ETF	X														
SP	First Trust International Value Fund II, Ltd.	X														
SP	Perennial Charitable Remainder Trust															
SP	Money Market Fund															
SP	U.S. Treasury Note 2.825% due 07/15/2021															
SP	Bond Short-Term Bond Fund	X														
SP	Bond Auto-Quote Bond Fund (Int'l)	X														
SP	MetWest Total Return Bond Fund	X														
SP	MetWest Unconstrained Bond Fund	X														
SP	AlphaOne Limited	X			</td											

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Hon. Donald O. Manz	Page 5
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ASSET NAME	EIF	BLOCK B Value of Asset	BLOCK C Type of Income												BLOCK D Amount of Income	BLOCK E Source of Income
			A	B	C	D	E	F	G	H	I	J	K	L	M	
SP		NONE														
SP		\$1 - \$1,000														
SP		\$1,001 - \$15,000														
SP		\$15,001 - \$50,000														
SP		\$50,001 - \$100,000														
SP		\$100,001 - \$250,000														
SP		\$250,001 - \$500,000														
SP		\$500,001 - \$1,000,000														
SP		\$1,000,001 - \$5,000,000														
SP		\$5,000,001 - \$25,000,000														
SP		\$25,000,001 - \$50,000,000														
SP		Over \$50,000,000														
SP		Spouse / DC Asset over \$1,000,000														
SP		NONE														
SP		DIVIDENDS														
SP		RENT														
SP		INTEREST														
SP		CAPITAL GAINS														
SP		EXCEPTED/BLIND TRUST														
SP		TAX-DEFERRED														
SP		Other Type of Income														
SP		NONE														
SP		\$1 - \$200														
SP		\$201 - \$1,000														
SP		\$1,001 - \$2,500														
SP		\$2,501 - \$5,000														
SP		\$5,001 - \$15,000														
SP		\$15,001 - \$50,000														
SP		\$50,001 - \$100,000														
SP		\$100,001 - \$1,000,000														
SP		\$1,000,001 - \$5,000,000														
SP		Over \$5,000,000														
SP		Over \$1,000,000 (Spouse/DC Assets)														

* Not classified as an EIF, but is subject to a Confidentiality Agreement

SCHEDULE B - TRANSACTIONS

SCHEDULE B - TRANSACTIONS

Name: Hon. Boris Q. Mihnev

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SCHEDULE B - TRANSACTIONS

SCHEDULE B - TRANSACTIONS

Name Hon. Dr. Q. Muthu

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SCHEDULE C – EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME. The 2021 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$29,595. The 2022 limit is \$29,895. In addition, certain types of income (notably honoraria, directors' fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

SCHEDULE C – EARNED INCOME

Name: Hon. Doris O. Matsui

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: The 2021 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$29,595. The 2022 limit is \$29,895. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

Source (include date of receipt for honoraria)

Source	Type	Amount
Keene State	Approved Teaching Fee	\$6,000
State of Maryland	Legislative Pension	\$18,000
Civil War Roundtable (Oct. 2)	Spouse Speech	\$1,000
Ontario County Board of Education	Spouse Salary	N/A

Examples:

Keene State
State of Maryland
Civil War Roundtable (Oct. 2)
Ontario County Board of Education

Use additional sheets if more space is required.

SCHEDULE D – LIABILITIES

Name: Hon. Doris O. Matsui

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP DC, JR	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability									
				A	B	C	D	E	F	G	H	I	J
JT	American Express	12/21	Credit Card										
SP	Chase	12/21	Credit Card	X									
SP	Northern Trust Visa	12/21	Credit Card	X									

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Regent	Smithsonian, Board of Regents
Advisory Board Member	Smithsonian National Museum of American History
Member of Advisory Council	Smithsonian National Museum of African American History and Culture

SCHEDULE F – AGREEMENTS

Name: Hon. Doris O. Matsui

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to; future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

SCHEDULE G - GIFTS

Report the source (by name), a brief description, and the value of all gifts totalling more than \$415 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual (which may not include a registered lobbyist or foreign agent), local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$166 or less need not be added towards the \$415 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

Use additional sheets if more space is required.

SCHEDULE H – TRAVEL PAYMENTS and REIMBURSEMENTS

Name: Hon. Doris O. Matsui

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$415 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$415 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Source	Date(s)	City of Departure-Destination-City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member included? (Y/N)
Government of China (MECEA)	Aug 6-11	DC-Beijing, China-DC	Y	Y	N
Habitat for Humanity (Charity Fundraiser)	Mar. 3-4	DC-Boston-DC	Y	Y	Y

Use additional sheets if more space is required.

SCHEDULE I – PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name: Hon. Doris O. Matsui

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List the source, activity (i.e. speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

Source	Activity	Date	Amount
Association of American Associations, Washington, DC	Speech	Feb. 2, 2021	\$2,000
XYZ Magazine	Article	Aug. 13, 2021	\$500

Use additional sheets if more space is required.

**FILER NOTES
(Optional)**

Name: Hon. Doris O. Matsui **Page** 15 **of** 15

NOTE NUMBER	NOTES
1	Spouse has interests in six charitable remainder trusts as described in Section 664 of the Internal Revenue Code. The Trusts make distributions to spouse for his lifetime and terminate at his death with the balance passing to charitable organizations. The distributions are a fixed percentage of the Trusts' annually determined value. The Trust distributions are reported as income for spouse. The underlying Trust investments are also reported.
2	Spouse transferred his investments in Blackstreet Capital Partners II, LP, 888 Wharf, LLC and 888 Riverside, LLC to a charitable organization in 2021. These were charitable donations, transferred in-kind.
3	Spouse held an investment in Protein Sciences dating back to 1998. The investment was assumed closed; however, a payment was received during 2021.
4	Spouse has a continuing investment in General Atlantic Investment Partners 2019, LP. The Fund, in its discretion, can form subsidiaries to hold certain underlying investments. Spouse received income from two such subsidiaries in 2021: General Atlantic Investments Partners 2019 AIV A, LP and General Atlantic Investments Partners 2019 Bermuda, LP. These are reported separately on Schedule A; however, they remain under the control of General Atlantic Investment Partners 2019, LP.
5	Investments in AG Super Fund International were renamed AG SF International (L), Ltd.

Use additional sheets if more space is required

Doris O. Matsui

Honorable Doris O. Matsui

Doris O. Matsui

Very truly yours,

Please contact me with any questions.

Enclosed is an amended 2021 Financial Disclosure Statement. At the time of the initial filing, my husband had not yet received several 2021 K-1s and year-end reports for his investments. Where information was not available, estimates were used. The enclosed Amended Financial Disclosure incorporates final 2021 income and values.

To Whom it May Concern:

RE: Amended 2021 Financial Disclosure Statement

DOIRS O. MATSUI
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WASHINGON DC 20515-0506
DISTRICT OFFICE:
ROBERT T. MATSUI U.S. COURTHOUSE
801 I STREET, SUITE 12-600
(916) 498-5600
<http://matsui.house.gov>

CONGRESS AT THE UNITED STATES
HOUSE OF REPRESENTATIVES
DATASTIMATION, ZTC 20515-0506

SMITHSONIAN INSTITUTION
BOARD OF REGENTS
COMMITTEE ON ENERGY
AND COMMERCE
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