



# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Kathy Castor  
**Status:** Member  
**State/District:** FL14

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2020  
**Filing Date:** 09/27/2021

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Bank of America Checking [BA]	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Bank of America Savings [BA]	JT	\$250,001 - \$500,000	Interest	\$1 - \$200	<input type="checkbox"/>
Bank of America Savings [BA]	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
CL 529 ⇒ Vanguard 500 Index 529 [5P]	DC	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
LOCATION: FL					
CL 529 ⇒ Vanguard Small Cap Index [5P]	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
LOCATION: FL					
CL 529 ⇒ Vanguard Total Inter Stock [5P]	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
LOCATION: FL					
CL Retirement ⇒ Vanguard 500 Index Investor [MF]	DC	None	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
CL Retirement ⇒ Vanguard Dividend Growth Investor [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
CL Retirement ⇒ Vanguard Equity Income Investor [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
CL Retirement ⇒ Vanguard ESG U S Stock ETF [EF]	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
CL Retirement ⇒ Vanguard Federal Money Market Fund [BA]	DC	\$1 - \$1,000	None		<input checked="" type="checkbox"/>
CL Retirement ⇒ Vanguard US Value Investor [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
CL Retirement ⇒ Vanguard Windsor II Investor [MF]	DC	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
E*Trade Brokerage Account ⇒ ALPS Sector Dividend DOGS ETF [EF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
E*Trade Brokerage Account ⇒ Bank of America Corporation (BAC) [ST]	JT	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
E*Trade Brokerage Account ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	None	None		<input checked="" type="checkbox"/>
E*Trade Brokerage Account ⇒ Boulder Growth & Income Fund, Inc. (BIF) [ST]	JT	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
E*Trade Brokerage Account ⇒ E*Trade Savings [BA]	JT	None	Interest	\$1 - \$200	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ Franklin Genomic Advancements ETF [EF]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
E*Trade Brokerage Account ⇒ Franklin Intelligent Machines ETF [EF]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
E*Trade Brokerage Account ⇒ Franklin Liberty US Low Volatility ETF [EF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
E*Trade Brokerage Account ⇒ Franklin Resources, Inc. (BEN) [ST]	JT	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
E*Trade Brokerage Account ⇒ Invesco QQQ TR [EF]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
E*Trade Brokerage Account ⇒ LibertyQ US Mid Cap EQI ETF [EF]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
E*Trade Brokerage Account ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [ST]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
E*Trade Brokerage Account ⇒ The Blackstone Group L.P. Common Units Representing Limited Partnership Interests (BX) [ST]	JT	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Equity Income Fund - Advisor Class [MF]	JT	\$500,001 - \$1,000,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Growth Fund - Advisor Class [MF]	JT	\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Income Fund - A [MF]	JT	\$1,000,001 - \$5,000,000	Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Mutual U.S. Value Fund - Advisor Class [MF]	JT	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Rising Dividends [MF]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Small-Mid Cap Growth Fund [MF]	JT	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin US Government Money Fund [MF]	JT	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Mutual Global Discovery Fund - Class Z [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z [MF]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
IRA ⇒ T Rowe Global Growth Stock Fund [MF]		\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
IRA ⇒		\$250,001 -	Capital Gains	\$2,501 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
T Rowe Price Blue Chip Growth [MF]		\$500,000		\$5,000	
IRA ⇒ T Rowe Price Dividend Growth [MF]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
IRA ⇒ T Rowe Price Equity Index 500 [MF]		None	None		<input checked="" type="checkbox"/>
IRA ⇒ T Rowe Price Growth & Income [MF]		None	None		<input checked="" type="checkbox"/>
IRA ⇒ T Rowe Price U.S. Bond Index [MF]		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
IRA ⇒ T Rowe Price Value [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
IRA ⇒ US Treasury Money Fund [BA]		\$1 - \$1,000	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
Spouse 401K ⇒ BlackRock Inflation Protected Bond Inst Fund [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse 401K ⇒ Invesco Developing Markets Y Fund [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse 401K ⇒ JP Morgan Mid Cap Value A Fund [MF]	SP	None	None		<input checked="" type="checkbox"/>
Spouse 401K ⇒ JP Morgan Mid Cap Value I Fund [MF]	SP	\$250,001 - \$500,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse 401K ⇒ Lord Abbett Short Duration Income R5 Fund [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse 401K ⇒ MFS Value R3Fund [MF]	SP	\$500,001 - \$1,000,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse 401K ⇒ Morley Stable Value V Fund [MF]	SP	None	None		<input type="checkbox"/>
Spouse 401K ⇒ Oppenheimer Developing Markets A Fund [MF]	SP	None	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse 401K ⇒ Principal International Equity Index Sep Acct [MF]	SP	None	None		<input checked="" type="checkbox"/>
Spouse 401K ⇒ Principal MidCap Separate Account [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse 401K ⇒ Principal SmallCap S&P 600 Index Separate Account [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse 401K ⇒ Principal US Property Sep Acct [MF]	SP	\$500,001 - \$1,000,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse 401K ⇒ T Rowe Price Blue Chip Growth Fund [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Spouse 401K ⇒ T Rowe Price International Discovery Fund [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ American Airlines Group, Inc. (AAL) [ST]	JT	None	None		<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Southwest Airlines Company (LUV) [ST]	JT	None	None		<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard 500 Index Admiral CL [MF]	JT	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard Balanced Index Admiral [MF]	JT	None	None		<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard Balanced Index Fund [MF]	JT	None	None		<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard Dividend Appreciation ETF [EF]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard ESG U S Stock ETF [EF]	JT	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard High Dividend Yield ETF [EF]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard Index 500 [MF]	JT	None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard Inter-Term Invest-Gr [MF]	JT	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard Large Cap ETF [EF]	JT	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard Mid Cap Index Admiral CL [MF]	JT	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard Mid-Cap Index Fund [MF]	JT	None	None		<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard S&P Mid Cap 400 Index ETF [EF]	JT	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard US Value Fund [MF]	JT	None	None		<input checked="" type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard Wellesley Income Fund [MF]	JT	None	None		<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
CL 529 ⇒ Vanguard 500 Index 529 [MF]	DC	02/21/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
CL 529 ⇒ Vanguard Small Cap Index [MF]	DC	02/21/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
CL 529 ⇒ Vanguard Total Inter Stock [MF]	DC	02/21/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
CL Retirement ⇒ Vanguard 500 Index Investor [MF]	DC	02/25/2020	P	\$1,001 - \$15,000	
CL Retirement ⇒ Vanguard 500 Index Investor [MF]	DC	03/11/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
CL Retirement ⇒ Vanguard Dividend Growth Investor [MF]	DC	03/25/2020	P	\$1,001 - \$15,000	
CL Retirement ⇒ Vanguard Dividend Growth Investor [MF]	DC	03/26/2020	P	\$1,001 - \$15,000	
CL Retirement ⇒ Vanguard Equity Income Investor [MF]	DC	03/26/2020	P	\$1,001 - \$15,000	
CL Retirement ⇒ Vanguard Equity Income Investor [MF]	DC	04/13/2020	P	\$1,001 - \$15,000	
CL Retirement ⇒ Vanguard ESG U S Stock ETF [EF]	DC	11/16/2020	P	\$1,001 - \$15,000	
CL Retirement ⇒ Vanguard ESG U S Stock ETF [EF]	DC	11/30/2020	P	\$1,001 - \$15,000	
CL Retirement ⇒ Vanguard Federal Money Market Fund [BA]	DC	01/28/2020	P	\$15,001 - \$50,000	
CL Retirement ⇒ Vanguard Federal Money Market Fund [BA]	DC	02/25/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
CL Retirement ⇒ Vanguard Federal Money Market Fund [BA]	DC	03/11/2020	P	\$15,001 - \$50,000	
CL Retirement ⇒ Vanguard Federal Money Market Fund [BA]	DC	03/25/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
CL Retirement ⇒ Vanguard Federal Money Market Fund [BA]	DC	03/26/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
CL Retirement ⇒ Vanguard Federal Money Market Fund [BA]	DC	03/27/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
CL Retirement ⇒ Vanguard Federal Money Market Fund [BA]	DC	04/14/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
CL Retirement ⇒ Vanguard Federal Money Market Fund [BA]	DC	11/17/2020	P	\$1,001 - \$15,000	
CL Retirement ⇒ Vanguard Federal Money Market Fund [BA]	DC	11/18/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
CL Retirement ⇒ Vanguard Federal Money Market Fund [BA]	DC	12/1/2020	P	\$1,001 - \$15,000	
CL Retirement ⇒ Vanguard Federal Money Market Fund [BA]	DC	12/2/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
CL Retirement ⇒ Vanguard US Value Investor [MF]	DC	03/24/2020	P	\$1,001 - \$15,000	
CL Retirement ⇒ Vanguard US Value Investor [MF]	DC	03/26/2020	P	\$1,001 - \$15,000	
CL Retirement ⇒ Vanguard US Value Investor [MF]	DC	12/21/2020	P	\$1,001 - \$15,000	
CL Retirement ⇒ Vanguard Windsor II Investor [MF]	DC	03/26/2020	P	\$1,001 - \$15,000	
CL Retirement ⇒ Vanguard Windsor II Investor [MF]	DC	12/21/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ ALPS Sector Dividend DOGS ETF [EF]	JT	04/20/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ ALPS Sector Dividend DOGS ETF [EF]	JT	04/29/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ ALPS Sector Dividend DOGS ETF [EF]	JT	05/5/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒	JT	05/18/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
ALPS Sector Dividend DOGS ETF [EF]					
E*Trade Brokerage Account ⇒ ALPS Sector Dividend DOGS ETF [EF]	JT	08/3/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ ALPS Sector Dividend DOGS ETF [EF]	JT	08/24/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ ALPS Sector Dividend DOGS ETF [EF]	JT	11/17/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ ALPS Sector Dividend DOGS ETF [EF]	JT	11/30/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ Bank of America Corporation (BAC) [ST]	JT	03/10/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	03/10/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ Boulder Growth & Income Fund, Inc. (BIF) [ST]	JT	01/3/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ Boulder Growth & Income Fund, Inc. (BIF) [ST]	JT	03/10/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ Franklin Genomic Advancements ETF [EF]	JT	06/2/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ Franklin Genomic Advancements ETF [EF]	JT	06/5/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ Franklin Genomic Advancements ETF [EF]	JT	07/10/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ Franklin Genomic Advancements ETF [EF]	JT	07/16/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ Franklin Genomic Advancements ETF [EF]	JT	09/29/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ Franklin Genomic Advancements ETF [EF]	JT	11/17/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
E*Trade Brokerage Account ⇒ Franklin Genomic Advancements ETF [EF]	JT	11/30/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Genomic Advancements ETF [EF]	JT	12/21/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Intelligent Machines ETF [EF]	JT	06/2/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Intelligent Machines ETF [EF]	JT	06/5/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Intelligent Machines ETF [EF]	JT	06/8/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Intelligent Machines ETF [EF]	JT	07/2/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Intelligent Machines ETF [EF]	JT	07/31/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Intelligent Machines ETF [EF]	JT	11/11/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Intelligent Machines ETF [EF]	JT	11/17/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Intelligent Machines ETF [EF]	JT	11/30/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Intelligent Machines ETF [EF]	JT	12/21/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Liberty US Low Volatility ETF [EF]	JT	05/5/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Liberty US Low Volatility ETF [EF]	JT	04/22/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Liberty US Low Volatility ETF [EF]	JT	04/28/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Liberty US Low Volatility ETF [EF]	JT	05/18/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
E*Trade Brokerage Account ⇒ Franklin Liberty US Low Volatility ETF [EF]	JT	08/3/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Liberty US Low Volatility ETF [EF]	JT	09/11/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Liberty US Low Volatility ETF [EF]	JT	11/30/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Liberty US Low Volatility ETF [EF]	JT	06/1/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Liberty US Low Volatility ETF [EF]	JT	06/8/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Liberty US Low Volatility ETF [EF]	JT	08/3/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ Franklin Resources, Inc. (BEN) [ST]	JT	03/10/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
E*Trade Brokerage Account ⇒ Invesco QQQ TR [EF]	JT	04/7/2020	P	\$15,001 - \$50,000	
E*Trade Brokerage Account ⇒ Invesco QQQ TR [EF]	JT	03/25/2020	P	\$15,001 - \$50,000	
E*Trade Brokerage Account ⇒ LibertyQ US Mid Cap EQI ETF [EF]	JT	09/11/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ LibertyQ US Mid Cap EQI ETF [EF]	JT	11/17/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ LibertyQ US Mid Cap EQI ETF [EF]	JT	07/10/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ LibertyQ US Mid Cap EQI ETF [EF]	JT	08/3/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [ST]	JT	03/27/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
E*Trade Brokerage Account ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [ST]	JT	03/27/2020	P	\$1,001 - \$15,000	
E*Trade Brokerage Account ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [ST]	JT	03/24/2020	P	\$15,001 - \$50,000	
E*Trade Brokerage Account ⇒ The Blackstone Group Inc. (BX) [ST]	JT	03/10/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Equity Income Fund - Advisor Class [MF]	JT	03/25/2020	P	\$250,001 - \$500,000	
Franklin Templeton Joint Retirement ⇒ Franklin Equity Income Fund - Advisor Class [MF]	JT	06/15/2020	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Equity Income Fund - Advisor Class [MF]	JT	09/15/2020	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Equity Income Fund - Advisor Class [MF]	JT	12/15/2020	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Growth Fund - Advisor Class [MF]	JT	03/25/2020	P	\$100,001 - \$250,000	
Franklin Templeton Joint Retirement ⇒ Franklin Growth Fund - Advisor Class [MF]	JT	12/1/2020	P	\$15,001 - \$50,000	
Franklin Templeton Joint Retirement ⇒ Franklin Income Fund - A [MF]	JT	03/10/2020	P	\$250,001 - \$500,000	
Franklin Templeton Joint Retirement ⇒ Franklin Income Fund - A [MF]	JT	03/18/2020	S (partial)	\$500,001 - \$1,000,000	<input type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Income Fund - A [MF]	JT	Monthly	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Mutual U.S. Value Fund - Advisor Class [MF]	JT	03/25/2020	P	\$100,001 - \$250,000	
Franklin Templeton Joint Retirement ⇒ Franklin Mutual U.S. Value Fund - Advisor Class [MF]	JT	12/15/2020	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Rising Dividends [MF]	JT	Bi-weekly	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Franklin Templeton Joint Retirement ⇒ Franklin Rising Dividends [MF]	JT	03/10/2020	S (partial)	\$250,001 - \$500,000	<input type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin Small-Mid Cap Growth Fund [MF]	JT	Monthly	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Franklin Small-Mid Cap Growth Fund [MF]	JT	03/10/2020	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Franklin US Government Money Fund [MF]	JT	03/18/2020	P	\$500,001 - \$1,000,000	
Franklin Templeton Joint Retirement ⇒ Franklin US Government Money Fund [MF]	JT	03/25/2020	S	\$1,000,001 - \$5,000,000	<input type="checkbox"/>
Franklin Templeton Joint Retirement ⇒ Mutual Global Discovery Fund - Class Z [MF]	JT	03/25/2020	P	\$50,001 - \$100,000	
Franklin Templeton Joint Retirement ⇒ Mutual Global Discovery Fund - Class Z [MF]	JT	12/18/2020	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z [MF]	JT	03/25/2020	P	\$50,001 - \$100,000	
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z [MF]	JT	10/2/2020	P	\$1,001 - \$15,000	
Franklin Templeton Joint Retirement ⇒ Mutual Shares - Z [MF]	JT	12/18/2020	P	\$1,001 - \$15,000	
IRA ⇒ T Rowe Global Growth Stock Fund [MF]		04/21/2020	P	\$1,001 - \$15,000	
IRA ⇒ T Rowe Global Growth Stock Fund [MF]		04/29/2020	P	\$1,001 - \$15,000	
IRA ⇒ T Rowe Global Growth Stock Fund [MF]		04/22/2020	P	\$1,001 - \$15,000	
IRA ⇒ T Rowe Price Blue Chip Growth [MF]		03/26/2020	P	\$15,001 - \$50,000	
IRA ⇒		03/26/2020	P	\$100,001 -	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
T Rowe Price Blue Chip Growth [MF]				\$250,000	
IRA ⇒ T Rowe Price Blue Chip Growth [MF]		04/21/2020	P	\$1,001 - \$15,000	
IRA ⇒ T Rowe Price Blue Chip Growth [MF]		12/15/2020	P	\$1,001 - \$15,000	
IRA ⇒ T Rowe Price Dividend Growth [MF]		03/26/2020	P	\$15,001 - \$50,000	
IRA ⇒ T Rowe Price Dividend Growth [MF]		03/30/2020	P	\$50,001 - \$100,000	
IRA ⇒ T Rowe Price Dividend Growth [MF]		04/21/2020	P	\$1,001 - \$15,000	
IRA ⇒ T Rowe Price Equity Index 500 [MF]		03/11/2020	S	\$100,001 - \$250,000	<input type="checkbox"/>
IRA ⇒ T Rowe Price Growth & Income [MF]		03/11/2020	S	\$100,001 - \$250,000	<input type="checkbox"/>
IRA ⇒ T Rowe Price U.S. Bond Index [MF]		03/30/2020	S	\$50,001 - \$100,000	<input type="checkbox"/>
IRA ⇒ T Rowe Price Value [MF]		03/26/2020	P	\$15,001 - \$50,000	
IRA ⇒ T Rowe Price Value [MF]		04/21/2020	P	\$1,001 - \$15,000	
IRA ⇒ T Rowe Price Value [MF]		04/29/2020	P	\$1,001 - \$15,000	
IRA ⇒ T Rowe Price Value [MF]		12/15/2020	P	\$1,001 - \$15,000	
IRA ⇒ US Treasury Money Fund [BA]		03/12/2020	P	\$250,001 - \$500,000	
IRA ⇒ US Treasury Money Fund [BA]		03/25/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA ⇒ US Treasury Money Fund [BA]		03/26/2020	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
IRA ⇒ US Treasury Money Fund [BA]		04/14/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA ⇒ US Treasury Money Fund [BA]		04/21/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA ⇒ US Treasury Money Fund [BA]		04/22/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
IRA ⇒ US Treasury Money Fund [BA]		04/29/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Spouse 401K ⇒ BlackRock Inflation Protected Bond Inst Fund [MF]	SP	03/10/2020	P	\$100,001 - \$250,000	
Spouse 401K ⇒ BlackRock Inflation Protected Bond Inst Fund [MF]	SP	03/24/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Spouse 401K ⇒ BlackRock Inflation Protected Bond Inst Fund [MF]	SP	03/25/2020	S	\$100,001 - \$250,000	<input type="checkbox"/>
Spouse 401K ⇒ Invesco Developing Markets Y Fund [MF]	SP	01/2/2020	P	\$100,001 - \$250,000	
Spouse 401K ⇒ Invesco Developing Markets Y Fund [MF]	SP	06/8/2020	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Invesco Developing Markets Y Fund [MF]	SP	11/9/2020	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Invesco Developing Markets Y Fund [MF]	SP	12/31/2020	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Invesco Developing Markets Y Fund [MF]	SP	03/10/2020	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Spouse 401K ⇒ JP Morgan Mid Cap Value A Fund [MF]	SP	01/2/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Spouse 401K ⇒ JP Morgan Mid Cap Value I Fund [MF]	SP	01/2/2020	P	\$1,001 - \$15,000	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Spouse 401K ⇒ JP Morgan Mid Cap Value I Fund [MF]	SP	06/9/2020	P	\$15,001 - \$50,000	
Spouse 401K ⇒ JP Morgan Mid Cap Value I Fund [MF]	SP	11/9/2020	P	\$1,001 - \$15,000	
Spouse 401K ⇒ JP Morgan Mid Cap Value I Fund [MF]	SP	12/11/2020	P	\$15,001 - \$50,000	
Spouse 401K ⇒ JP Morgan Mid Cap Value I Fund [MF]	SP	12/18/2020	P	\$1,001 - \$15,000	
Spouse 401K ⇒ JP Morgan Mid Cap Value I Fund [MF]	SP	03/10/2020	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Spouse 401K ⇒ JP Morgan Mid Cap Value I Fund [MF]	SP	03/25/2020	P	\$100,001 - \$250,000	
Spouse 401K ⇒ Lord Abbett Short Duration Income R5 Fund [MF]	SP	03/10/2020	P	\$250,001 - \$500,000	
Spouse 401K ⇒ Lord Abbett Short Duration Income R5 Fund [MF]	SP	03/24/2020	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Spouse 401K ⇒ Lord Abbett Short Duration Income R5 Fund [MF]	SP	03/25/2020	S	\$250,001 - \$500,000	<input type="checkbox"/>
Spouse 401K ⇒ MFS Value R3Fund [MF]	SP	06/9/2020	P	\$15,001 - \$50,000	
Spouse 401K ⇒ MFS Value R3Fund [MF]	SP	06/25/2020	P	\$1,001 - \$15,000	
Spouse 401K ⇒ MFS Value R3Fund [MF]	SP	09/24/2020	P	\$1,001 - \$15,000	
Spouse 401K ⇒ MFS Value R3Fund [MF]	SP	11/9/2020	P	\$1,001 - \$15,000	
Spouse 401K ⇒ MFS Value R3Fund [MF]	SP	12/17/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Spouse 401K ⇒ MFS Value R3Fund [MF]	SP	03/24/2020	P	\$100,001 - \$250,000	
Spouse 401K ⇒ MFS Value R3Fund [MF]	SP	03/25/2020	P	\$100,001 - \$250,000	
Spouse 401K ⇒ Morley Stable Value V Fund [MF]	SP	03/10/2020	P	\$100,001 - \$250,000	
Spouse 401K ⇒ Morley Stable Value V Fund [MF]	SP	06/8/2020	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Spouse 401K ⇒ Morley Stable Value V Fund [MF]	SP	06/9/2020	S	\$100,001 - \$250,000	<input type="checkbox"/>
Spouse 401K ⇒ Oppenheimer Developing Markets A Fund [MF]	SP	01/2/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index [MF]	SP	01/31/2020	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index [MF]	SP	06/9/2020	P	\$15,001 - \$50,000	
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index [MF]	SP	11/9/2020	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index [MF]	SP	12/31/2020	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index [MF]	SP	01/31/2020	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal Global Investors LargeCap S&P 500 Index [MF]	SP	03/10/2020	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
Spouse 401K ⇒ Principal International Equity Index Sep Acct [MF]	SP	03/10/2020	S	\$50,001 - \$100,000	<input type="checkbox"/>
Spouse 401K ⇒ Principal MidCap Separate Account [MF]	SP	06/9/2020	P	\$15,001 - \$50,000	
Spouse 401K ⇒ Principal MidCap Separate Account [MF]	SP	11/9/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Spouse 401K ⇒ Principal MidCap Separate Account [MF]	SP	12/31/2020	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal MidCap Separate Account [MF]	SP	03/10/2020	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Spouse 401K ⇒ Principal SmallCap S&P 600 Index Separate Account [MF]	SP	06/9/2020	P	\$15,001 - \$50,000	
Spouse 401K ⇒ Principal SmallCap S&P 600 Index Separate Account [MF]	SP	11/9/2020	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal SmallCap S&P 600 Index Separate Account [MF]	SP	12/31/2020	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal SmallCap S&P 600 Index Separate Account [MF]	SP	03/10/2020	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Spouse 401K ⇒ Principal US Property Sep Acct [MF]	SP	01/2/2020	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
Spouse 401K ⇒ Principal US Property Sep Acct [MF]	SP	03/10/2020	P	\$1,001 - \$15,000	
Spouse 401K ⇒ Principal US Property Sep Acct [MF]	SP	11/9/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Spouse 401K ⇒ Principal US Property Sep Acct [MF]	SP	12/31/2020	P	\$1,001 - \$15,000	
Spouse 401K ⇒ T Rowe Price Blue Chip Growth Fund [MF]	SP	06/9/2020	P	\$15,001 - \$50,000	
Spouse 401K ⇒ T Rowe Price Blue Chip Growth Fund [MF]	SP	11/9/2020	P	\$1,001 - \$15,000	
Spouse 401K ⇒ T Rowe Price Blue Chip Growth Fund [MF]	SP	12/31/2020	P	\$1,001 - \$15,000	
Spouse 401K ⇒ T Rowe Price Blue Chip Growth Fund [MF]	SP	03/10/2020	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Spouse 401K ⇒	SP	03/10/2020	S	\$50,001 -	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
T Rowe Price International Discovery Fund [MF]			(partial)	\$100,000	
Spouse 401K ⇒ T Rowe Price International Discovery Fund [MF]	SP	06/8/2020	P	\$1,001 - \$15,000	
Spouse 401K ⇒ T Rowe Price International Discovery Fund [MF]	SP	06/9/2020	P	\$15,001 - \$50,000	
Spouse 401K ⇒ T Rowe Price International Discovery Fund [MF]	SP	11/9/2020	P	\$1,001 - \$15,000	
Spouse 401K ⇒ T Rowe Price International Discovery Fund [MF]	SP	12/16/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ American Airlines Group, Inc. (AAL) [ST]	JT	05/19/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ American Airlines Group, Inc. (AAL) [ST]	JT	07/14/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard Joint Retirement ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	04/15/2020	P	\$50,001 - \$100,000	
Vanguard Joint Retirement ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	04/21/2020	P	\$15,001 - \$50,000	
Vanguard Joint Retirement ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	05/4/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	05/18/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	08/4/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	09/21/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	09/25/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	10/5/2020	P	\$1,001 - \$15,000	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Vanguard Joint Retirement ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]	JT	11/16/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Southwest Airlines Company (LUV) [ST]	JT	05/19/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Southwest Airlines Company (LUV) [ST]	JT	05/19/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Southwest Airlines Company (LUV) [ST]	JT	07/10/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard Joint Retirement ⇒ Southwest Airlines Company (LUV) [ST]	JT	07/14/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard 500 Index Admiral CL [MF]	JT	02/10/2020	P	\$100,001 - \$250,000	
Vanguard Joint Retirement ⇒ Vanguard 500 Index Admiral CL [MF]	JT	03/10/2020	S	\$100,001 - \$250,000	<input type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard Balanced Index Admiral [MF]	JT	02/10/2020	P	\$100,001 - \$250,000	
Vanguard Joint Retirement ⇒ Vanguard Balanced Index Admiral [MF]	JT	03/10/2020	S	\$100,001 - \$250,000	<input type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard Balanced Index Fund [MF]	JT	02/10/2020	S	\$100,001 - \$250,000	<input type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard Dividend Appreciation ETF [EF]	JT	03/27/2020	P	\$100,001 - \$250,000	
Vanguard Joint Retirement ⇒ Vanguard ESG U S Stock ETF [EF]	JT	03/24/2020	P	\$100,001 - \$250,000	
Vanguard Joint Retirement ⇒ Vanguard ESG U S Stock ETF [EF]	JT	03/27/2020	P	\$100,001 - \$250,000	
Vanguard Joint Retirement ⇒ Vanguard ESG U S Stock ETF [EF]	JT	03/31/2020	P	\$15,001 - \$50,000	
Vanguard Joint Retirement ⇒ Vanguard ESG U S Stock ETF [EF]	JT	04/20/2020	P	\$1,001 - \$15,000	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Vanguard Joint Retirement ⇒ Vanguard ESG U S Stock ETF [EF]	JT	06/9/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard ESG U S Stock ETF [EF]	JT	06/25/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard ESG U S Stock ETF [EF]	JT	08/6/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard ESG U S Stock ETF [EF]	JT	09/24/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard ESG U S Stock ETF [EF]	JT	12/23/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	08/4/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	08/21/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	08/24/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	09/8/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	09/16/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	09/25/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	09/29/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	11/11/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	11/16/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	11/24/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	11/30/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Financials ETF [EF]	JT	12/21/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard High Dividend Yield ETF [EF]	JT	03/27/2020	P	\$50,001 - \$100,000	
Vanguard Joint Retirement ⇒ Vanguard Index 500 [MF]	JT	01/10/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard Index 500 [MF]	JT	02/10/2020	S	\$100,001 - \$250,000	<input type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard Inter-Term Invest-Gr [MF]	JT	02/10/2020	S	\$50,001 - \$100,000	<input type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard Large Cap ETF [EF]	JT	03/27/2020	P	\$100,001 - \$250,000	
Vanguard Joint Retirement ⇒ Vanguard Mid Cap Index Admiral CL [MF]	JT	02/10/2020	P	\$50,001 - \$100,000	
Vanguard Joint Retirement ⇒ Vanguard Mid Cap Index Admiral CL [MF]	JT	03/10/2020	S	\$50,001 - \$100,000	<input type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard Mid-Cap Index Fund [MF]	JT	02/10/2020	S	\$100,001 - \$250,000	<input type="checkbox"/>
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	07/13/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	07/14/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	07/30/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	08/3/2020	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	08/4/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	08/10/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	08/21/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	08/24/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	09/8/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	09/14/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	09/25/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	11/11/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	11/16/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	11/24/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P 500 Index ETF [EF]	JT	11/30/2020	P	\$1,001 - \$15,000	
Vanguard Joint Retirement ⇒ Vanguard S&P Mid Cap 400 Index ETF [EF]	JT	03/27/2020	P	\$15,001 - \$50,000	
Vanguard Joint Retirement ⇒ Vanguard S&P Mid Cap 400 Index ETF [EF]	JT	03/31/2020	P	\$15,001 - \$50,000	
Vanguard Joint Retirement ⇒ Vanguard US Value Fund [MF]	JT	02/10/2020	S	\$100,001 - \$250,000	<input type="checkbox"/>
Vanguard Joint Retirement ⇒	JT	02/10/2020	S	\$100,001 -	<input type="checkbox"/>

<b>Asset</b>	<b>Owner Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Vanguard Wellesley Income Fund [MF]			\$250,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

<b>Source</b>	<b>Type</b>	<b>Amount</b>
Butler Weihmuller Katz Craig	Spouse Income	N/A

## SCHEDULE D: LIABILITIES

None disclosed.

## SCHEDULE E: POSITIONS

<b>Position</b>	<b>Name of Organization</b>
Vice President/Director	Castor-Bell-Lewis Family Foundation (uncompensated)

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- CL 529 (Owner: DC)  
LOCATION: FL
- CL Retirement (Owner: DC)
- E\*Trade Brokerage Account (Owner: JT)  
LOCATION: US
- Franklin Templeton Joint Retirement (Owner: JT)

- IRA
- Spouse 401K (Owner: SP)
- Vanguard Joint Retirement (Owner: JT)

#### **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

#### **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Kathy Castor , 09/27/2021