



Filing ID #10036528

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Judy Chu
Status: Member
State/District: CA27

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2019
Filing Date: 08/12/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Apollo Medical Holdings, Inc. (AMEH) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1 - \$200	<input type="checkbox"/>
California Public Employees Retirement System [DB]		Undetermined	defined benefit	\$15,001 - \$50,000	<input type="checkbox"/>
California State Teachers Retirement System [DB]		Undetermined	defined benefit	\$15,001 - \$50,000	<input type="checkbox"/>
Chu-Lim Investments LLC ⇒ Rental Property #1, 25% [RP]		\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Santa Clara, CA, US DESCRIPTION: Inherited from parents estate, under Judy M. Chu Trust & J.M. Chu Irrevocable Trust II					
Chu-Lim Investments LLC ⇒ Rental Property #2, 25% [RP]		\$250,001 - \$500,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Santa Clara, CA, US DESCRIPTION: Inherited from parents' estate, under Judy M. Chu Trust & J.M. Chu Irrevocable Trust II					
Chu-Lim Investments LLC ⇒ Rental Property #3, 25% [RP]		\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Santa Clara, CA, US					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Inherited from parents' estate, under Judy M. Chu Trust & J.M. Chu Irrevocable Trust II					
Chu-Lim Investments LLC ⇒ Rental Property #4, 25% [RP]		\$250,001 - \$500,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Santa Clara, CA, US DESCRIPTION: Inherited from parents' estate, under Judy M. Chu Trust & J.M. Chu Irrevocable Trust II					
Chu-Lim Investments LLC ⇒ Rental Property #5, 25% [RP]		\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: San Jose, CA, US DESCRIPTION: Inherited from parents' estate, under Judy M. Chu Trust & J.M. Chu Irrevocable Trust II					
Citibank Savings Acct [BA]		\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Citibank- J.M. Chu Irrevocable Trust II [BA]					
		\$15,001 - \$50,000	None		<input type="checkbox"/>
East West Bank Certificate of Deposit [BA]	SP	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Fidelity Charitable Account- Judy Chu Fund [BA]					
		\$100,001 - \$250,000	Interest, one-time transfer of funds from parents' estate	\$1,001 - \$2,500	<input type="checkbox"/>
New Omni Bank Certificate of Deposit [BA]					
	SP	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
New Omni Bank Savings Account [BA]					
	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Preferred Bank Certificate of Deposit [BA]					
	SP	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
Savings Plus ⇒ 401K International Fund [PE]					
		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Large Cap Fund [PE]					
		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Large Cap Index Fund [PE]					
		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Large Cap Socially Responsible Fund [PE]					
		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Savings Plus ⇒ 401K Mid Cap Fund [PE]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Short Term Investment Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Short Term Investment Fund- Cash [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Small Cap Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 International Fund [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Large Cap Socially Responsible Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Large Cap Fund [PE]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Large Cap Index Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Mid Cap Fund [PE]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Short Term Investment Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Short Term Investment Fund- Cash [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Small Cap Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ FXE 106 5/31/19 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ FXE 107 5/24/19 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
TD Ameritrade Investment Account ⇒ TD Ameritrade Brokerage Account [OP]		None	Dividends, Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: TD Ameritrade Brokerage account was opened in 2015 to place options. In May 2019, the account was closed.					
TD Ameritrade Investment Account ⇒ TLT 1/11/19 119 CALL [OT]		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT 1/11/19 121.5 PUT [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT 1/25/19 119.5 CALL [OT]		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT 1/4/19 119.5 PUT [OT]		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT 1/4/19 121 PUT [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT 2/15/19 121 PUT [OT]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT 2/22/19 121 PUT [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT 2/8/19 120 PUT [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT 3/1/19 121 PUT [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT 3/15/19 120 PUT [OT]		None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT 3/22/19 121.5 CALL [OT]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT 3/29/19 121 PUT [OT]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT 3/8/19 121 CALL [OT]		None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT 4/12/19 123 PUT [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT 4/18/19 122.5 CALL [OT]		None	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT 4/18/19 122.5 PUT [OT]		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT 4/18/19 123.5 CALL [OT]		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT 4/26/19 122.5 PUT [OT]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT 4/5/19 124 PUT [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT 5/10/19 123 PUT [OT]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT 5/17/19 124.5 CALL [OT]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT 5/17/19 124.5 PUT [OT]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: PUT					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
TD Ameritrade Investment Account ⇒ TLT 5/24/19 125 PUT [OT] DESCRIPTION: PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT 5/3/19 123 PUT [OT] DESCRIPTION: PUT		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT 5/3/19 123.5 PUT [OT] DESCRIPTION: PUT		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT 5/3/19 124.5 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT 5/31/19 127 PUT [OT] DESCRIPTION: PUT		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
United Pacific Bank Certificate of Deposit [BA]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Vanguard IRA ⇒ Long-Term Bond Index Fund (VBLTX) [PE]		None	Tax-Deferred		<input checked="" type="checkbox"/>
Vanguard IRA ⇒ Total International Bond Index (VTABX) [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Vanguard IRA ⇒ Vanguard Long Term Bond Index Admiral Class (VBLAX) [PE]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ Allstate Corporation (ALL) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ CA Intermediate Term Bond Tax-Exempt Mutual Fund VCADX [MF]		\$250,001 - \$500,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ Federal Money Market Account [BA]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ FTSE All-World Ex-US Index ex IS Admiral Cl. VFWAX		None	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
[MF]					
Vanguard Personal Investment Account ⇒ Growth Index Fund Admiral Cl (VIGAX) [MF]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ Mid-Cap Index Fund VIMAX [MF]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ Prime Money Market VMMXX [MF]		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ Short Term Bond Index VBIRX [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ Total International Bond Index VTABX [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ Total International Stock Index Admiral Cl (VTIAX) [MF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ Vanguard EG US Stock ETF (ESGV) [EF]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ Vanguard ESG Intl Stock ETF (VSGX) [EF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ Vanguard Extended Market Index Admiral Cl (VEXAX) [MF]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ Vanguard Intermediate Term Bond ETF (BIV) [EF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ Vanguard Intermediate Term Bond Index Admiral Cl (VBILX) [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ Vanguard Short Term Bond ETF (BSV) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ Vanguard Total Intl Bond Index ETF (BNDX) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ Vanguard Total Stock Market Index Admiral Cl. (VTSAX)		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
[MF]					
Vanguard Personal Investment Account ⇒ Windsor II Mutual Fund VWNAX [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Emerging Markets Stock Index VEMAX [MF]		None	None		<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Extended Markets Stock Index VEXAX [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Federal Money Market Account [BA]		\$50,001 - \$100,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ FTSE All-World Ex-US Index VFWAX [MF]		None	None		<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Intermediate-Term Tax Exempt (VWIUX) [MF]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ iShares Russell 1000 Growth Index (IWF) [EF]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ iShares Russell 1000 Value Index (IWD) [EF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ iShares Russell 2000 Index (IWM) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Ishares Russell Mid-Cap ETF (IWR) [MF]		None	None		<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Long-Term Tax Exempt Fund VWLUX [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SPDR S&P MidCap 400 (MDY) [EF]					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Total International Stock Index VTIAx [MF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: purchase, partial sale					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard Dividend Appreciation Index Fund (VDADX) [MF]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard FTSE Social Index Admiral Cl (VFTAX) [MF]					
DESCRIPTION: Conversion of Funds to Admiral Class from Vanguard FTSE Social Index Investor Cl (VFTSX)					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard FTSE Social Index Investor Cl. (VFTSX) [MF]		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: partial sale; conversion of remaining funds to Vanguard FTSE Social Index Admiral Cl (VFTAX)					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard LTD Term tax-exempt Admiral Cl (VMLUX) [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Wells Fargo Bank Checking Account [BA]	SP	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wells Fargo Bank Savings Account [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Apollo Medical Holdings, Inc. (AMEH) [ST]	SP	08/19/2019	P	\$15,001 - \$50,000	
Apollo Medical Holdings, Inc. (AMEH) [ST]	SP	09/12/2019	P	\$15,001 - \$50,000	
Apollo Medical Holdings, Inc. (AMEH) [ST]	SP	12/4/2019	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
TD Ameritrade Investment Account ⇒ FXE MAY 31 107 PUT [OT] LOCATION: US DESCRIPTION: PUT		05/24/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ FXE MAY 31 107 PUT [OT] LOCATION: US DESCRIPTION: PUT		05/24/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ FXE MAY 31 107 PUT [OT] LOCATION: US DESCRIPTION: PUT		05/24/2019	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ FXE MAY 31 107 PUT [OT] LOCATION: US DESCRIPTION: PUT		05/29/2019	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT APR 12 123.5 PUT [OT] LOCATION: US DESCRIPTION: PUT		04/12/2019	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT APR 12 124 PUT [OT] LOCATION: US DESCRIPTION: PUT		04/5/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT APR 12 124 PUT [OT] LOCATION: US DESCRIPTION: PUT		04/11/2019	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT APR 18 122.5 CALL [OT] LOCATION: US DESCRIPTION: CALL		04/16/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT APR 18 122.5 CALL [OT] LOCATION: US DESCRIPTION: CALL		04/18/2019	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
TD Ameritrade Investment Account ⇒ TLT APR 18 122.5 PUT [OT] LOCATION: US DESCRIPTION: PUT		04/16/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT APR 18 123 CALL [OT] LOCATION: US DESCRIPTION: CALL		03/25/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT APR 18 123 CALL [OT] LOCATION: US DESCRIPTION: CALL		03/28/2019	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT APR 18 123 CALL [OT] LOCATION: US DESCRIPTION: CALL		04/11/2019	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT APR 18 123.5 CALL [OT] LOCATION: US DESCRIPTION: CALL		04/11/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT APR 18 123.5 PUT [OT] LOCATION: US DESCRIPTION: PUT		04/11/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT APR 18 123.5 PUT [OT] LOCATION: US DESCRIPTION: PUT		04/12/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT APR 18 123.5 PUT [OT] LOCATION: US DESCRIPTION: PUT		04/16/2019	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT APR 26 122.5 PUT [OT] LOCATION: US DESCRIPTION: PUT		04/23/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
TD Ameritrade Investment Account ⇒ TLT APR 26 123 CALL [OT] LOCATION: US DESCRIPTION: CA;;		04/18/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT APR 26 123 CALL [OT] LOCATION: US DESCRIPTION: CALL		04/24/2019	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT APR 26 123 PUT [OT] LOCATION: US DESCRIPTION: PUT		04/18/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT APR 26 123 PUT [OT] LOCATION: US DESCRIPTION: PUT		04/23/2019	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT APR 26 123.5 C [OT] LOCATION: US DESCRIPTION: CALL		04/26/2019	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT APR 26 123.5 CALL [OT] LOCATION: US DESCRIPTION: CALL		04/23/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT APR 5 121.5 CALL [OT] LOCATION: US DESCRIPTION: CALL		03/19/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT APR 5 121.5 CALL [OT] LOCATION: US DESCRIPTION: CALL		03/25/2019	P	\$15,001 - \$50,000	
TD Ameritrade Investment Account ⇒ TLT FEB 1 120.5 PUT [OT] LOCATION: US DESCRIPTION: PUT		01/16/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
TD Ameritrade Investment Account ⇒ TLT FEB 22 120 CALL [OT] LOCATION: US DESCRIPTION: CALL		01/31/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT FEB 22 120 CALL [OT] LOCATION: US DESCRIPTION: CALL		02/1/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT FEB 22 120 CALL [OT] LOCATION: US DESCRIPTION: CALL		02/19/2019	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT FEB 8 119.5 CALL [OT] LOCATION: US DESCRIPTION: CALL		01/24/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT FEB 8 119.5 CALL [OT] LOCATION: US DESCRIPTION: CALL		01/31/2019	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT FEB 8 119.5 CALL [OT] LOCATION: US DESCRIPTION: CALL		02/1/2019	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT JAN 11 119 CALL [OT] LOCATION: US DESCRIPTION: CALL		01/9/2019	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT JAN 11 121 PUT [OT] LOCATION: US DESCRIPTION: CALL		01/4/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT JAN 11 121.5 PUT [OT] LOCATION: US DESCRIPTION: PUT		01/11/2019	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
TD Ameritrade Investment Account ⇒ TLT JAN 18 121 PUT [OT] LOCATION: US DESCRIPTION: PUT		01/11/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT JAN 18 121 PUT [OT] LOCATION: US DESCRIPTION: PUT		01/11/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT JAN 18 121 PUT [OT] LOCATION: US DESCRIPTION: PUT		01/16/2019	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT JAN 25 119.5 CALL [OT] LOCATION: US DESCRIPTION: CALL		01/9/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT JAN 4 117.5 CALL [OT] LOCATION: US DESCRIPTION: CALL		01/2/2019	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT JAN 4 118 CALL [OT] LOCATION: US DESCRIPTION: CALL		01/2/2019	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT JAN 4 118 CALL [OT] LOCATION: US DESCRIPTION: CALL		01/2/2019	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT JAN 4 118.5 CALL [OT] LOCATION: US DESCRIPTION: CALL		01/3/2019	P	\$15,001 - \$50,000	
TD Ameritrade Investment Account ⇒ TLT JAN 4 121.5 CALL [OT] LOCATION: US DESCRIPTION: CALL		01/2/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
TD Ameritrade Investment Account ⇒ TLT JAN 4 121.5 CALL [OT] LOCATION: US DESCRIPTION: CALL		01/3/2019	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT MAR 1 121 PUT [OT] LOCATION: US DESCRIPTION: PUT		02/22/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT MAR 1 121 PUT [OT] LOCATION: US DESCRIPTION: PUT		03/1/2019	P	\$15,001 - \$50,000	
TD Ameritrade Investment Account ⇒ TLT MAR 15 120 PUT [OT] LOCATION: US DESCRIPTION: PUT		03/1/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT MAR 22 121.5 CALL [OT] LOCATION: US DESCRIPTION: CALL		03/8/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT MAR 22 121.5 CALL [OT] LOCATION: US DESCRIPTION: CALL		03/19/2019	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT MAR 29 121 PUT [OT] LOCATION: US DESCRIPTION: PUT		03/12/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT MAR 29 121 PUT [OT] LOCATION: US DESCRIPTION: PUT		03/13/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT MAR 8 121 CALL [OT] LOCATION: US DESCRIPTION: CALL		02/19/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
TD Ameritrade Investment Account ⇒ TLT MAR 8 121 CALL [OT] LOCATION: US DESCRIPTION: CALL		03/8/2019	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT MAY 10 123 PUT [OT] LOCATION: US DESCRIPTION: PUT		05/3/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT MAY 10 123.5 CALL [OT] LOCATION: US DESCRIPTION: CALL		05/3/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT MAY 10 123.5 CALL [OT] LOCATION: US DESCRIPTION: CALL		05/7/2019	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT MAY 10 124.5 CALL [OT] LOCATION: US DESCRIPTION: CALL		05/7/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT MAY 10 124.5 CALL [OT] LOCATION: US DESCRIPTION: CALL		05/10/2019	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT MAY 17 124.5 CALL [OT] LOCATION: US DESCRIPTION: CALL		05/10/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT MAY 17 124.5 CALL [OT] LOCATION: US DESCRIPTION: CALL		05/16/2019	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT MAY 17 124.5 PUT [OT] LOCATION: US DESCRIPTION: PUT		05/10/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
TD Ameritrade Investment Account ⇒ TLT MAY 24 125 CALL [OT] LOCATION: US DESCRIPTION: CALL		05/16/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT MAY 24 125 CALL [OT] LOCATION: US DESCRIPTION: CALL		05/23/2019	P	\$15,001 - \$50,000	
TD Ameritrade Investment Account ⇒ TLT MAY 3 123 PUT [OT] LOCATION: US DESCRIPTION: PUT		04/30/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT MAY 3 123 PUT [OT] LOCATION: US DESCRIPTION: PUT		05/1/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT MAY 3 123.5 PUT [OT] LOCATION: US DESCRIPTION: CALL		04/25/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT MAY 3 123.5 PUT [OT] LOCATION: US DESCRIPTION: PUT		05/1/2019	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT MAY 3 124.5 CALL [OT] LOCATION: US DESCRIPTION: CALL		05/1/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT MAY 31 127 PUT [OT] LOCATION: US DESCRIPTION: PUT		05/24/2019	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard IRA ⇒ TLT MAY 3 123.5 PUT [OT] LOCATION: US DESCRIPTION: PUT		04/30/2019	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard IRA ⇒ Vanguard Long Term Bond Index Admiral Cl (VBLAX) [MF] DESCRIPTION: Conversion from Investor Cl (VBLTX)		02/8/2019	P	\$15,001 - \$50,000	
Vanguard IRA ⇒ Vanguard Long Term Bond Index Investor Cl (VBLTX) [MF] DESCRIPTION: Conversion to Admiral Cl (VBLAX)		02/8/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ Allstate Corp (ALL) [MF]		03/14/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ Vanguard CA Intermediate Term Bond Tax-Exempt (VCADX) [MF]		02/8/2019	P	\$50,001 - \$100,000	
Vanguard Personal Investment Account ⇒ Vanguard EG US Stock ETF (ESGV) [EF]		06/11/2019	P	\$50,001 - \$100,000	
Vanguard Personal Investment Account ⇒ Vanguard ESG Intl Stock ETF (VSGX) [EF]		06/11/2019	P	\$50,001 - \$100,000	
Vanguard Personal Investment Account ⇒ Vanguard Extended Market Index Admiral Cl (VEXAX) [MF]		01/14/2019	P	\$1,001 - \$15,000	
Vanguard Personal Investment Account ⇒ Vanguard Extended Market Index Admiral Cl (VEXAX) [MF]		02/8/2019	P	\$1,001 - \$15,000	
Vanguard Personal Investment Account ⇒ Vanguard FTSE All World Ex-Is Admiral (VFWAX) [MF]		01/14/2019	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ Vanguard Growth Index Admiral Cl (VIGAX) [MF]		01/14/2019	P	\$15,001 - \$50,000	
Vanguard Personal Investment Account ⇒ Vanguard Intermediate Term Bond ETF (BIV) [EF]		12/20/2019	P	\$15,001 - \$50,000	
Vanguard Personal Investment Account ⇒ Vanguard Intermediate Term Bond Index Admiral Cl (VBILX) [MF]		03/14/2019	P	\$1,001 - \$15,000	
Vanguard Personal Investment Account ⇒ Vanguard Intermediate Term Bond Index Admiral Cl		03/15/2019	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
(VBILX) [MF]					
Vanguard Personal Investment Account ⇒ Vanguard Prime Money Market Fund (VMMXX) [MF]		05/31/2019	S	\$50,001 - \$100,000	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ Vanguard Short Term Bond ETF (BSV) [EF]		06/11/2019	P	\$15,001 - \$50,000	
Vanguard Personal Investment Account ⇒ Vanguard Short Term Bond Index Admiral Cl (VBIRX) [MF]		02/8/2019	P	\$1,001 - \$15,000	
Vanguard Personal Investment Account ⇒ Vanguard Total Intl Bond Index ETF (BNDX) [EF]		06/11/2019	P	\$15,001 - \$50,000	
Vanguard Personal Investment Account ⇒ Vanguard Total Intl Stock Index Admiral Cl (VTIAX) [MF]		01/14/2019	P	\$100,001 - \$250,000	
Vanguard Personal Investment Account ⇒ Vanguard Total Intl Stock Index Admiral Cl (VTIAX) [MF]		02/8/2019	P	\$50,001 - \$100,000	
Vanguard Personal Investment Account ⇒ Vanguard Total Stock Market Index Admiral Cl (VTSAX) [MF]		02/8/2019	P	\$50,001 - \$100,000	
Vanguard Personal Investment Account ⇒ Vanguard Windsor II (VWNAX) [MF]		01/14/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ Vanguard Windsor II (VWNAX) [MF]		01/14/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ iShares Russell 1000 Growth Index ETF (IWF) [EF]		02/5/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ iShares Russell 1000 Value Index ETF (IWD) [EF]		02/5/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ iShares Russell Mid-Cap ETF (IWR) [EF]		02/5/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒		02/5/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SPDR S&P MidCap 400 (MDY) [EF]					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard Emerging Markets Stock Index Admiral Cl (VEMAX) [MF]		02/5/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard FTSE All World Ex US Index Admiral Cl (VFWAX) [MF]		01/14/2019	S	\$250,001 - \$500,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard FTSE Social Index Admiral Cl (VFTAX) [EF] DESCRIPTION: Conversion from Investor Cl (VFTSX)		03/11/2019	P	\$1,001 - \$15,000	
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard FTSE Social Index Investor Cl (VFTSX) [MF]		02/5/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard FTSE Social Index Investor Cl (VFTSX) [MF] DESCRIPTION: Conversion to Admiral class fund VFTAX		03/11/2019	S	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard LTD Term Tax Exempt Admiral Cl (VMLUX) [MF]		02/6/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard Total Intl Stock Index Admiral Cl (VTIAX) [MF]		01/14/2019	P	\$250,001 - \$500,000	
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard Total Intl Stock Index Admiral Cl (VTIAX) [MF]		02/5/2019	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Apollo Medical Holdings	Spouse board attendance compensation	N/A

Source	Type	Amount
California Public Employees Retirement System	Defined Benefit	\$22,663.24
California State Teachers Retirement Income	Defined Benefit	\$31,511.79
State of California	Spouse salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	BB&T	May 2010	Mortgage on personal residence, Washington DC (not rented)	\$50,001 - \$100,000
	Capitol Hill Tower Housing Cooperative	May 2010	Mortgage on underlying property of personal residence, Washington DC (not rented)	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member (uncompensated)	Asian Pacific American Institute for Congressional Studies
Board Member (uncompensated)	APAICS Leadership Network

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
May 2001	Myself and California State Teachers Retirement System	Defined pension benefit plan for teaching position in the LA Community College District
May 2001	Myself and California Public Employees Retirement System	Defined pension benefit plan for service on Monterey Park City Council

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
J Street Education Fund	02/15/2019	02/22/2019	Wash DC - Tel Aviv, Israel - Los Angeles, CA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Aspen Institute Congressional Conference	03/15/2019	03/17/2019	Monterey Park, CA - San Diego, CA - Monterey Park, CA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
The Maureen and Mike Mansfield Foundation	03/18/2019	03/24/2019	Los Angeles, CA - Tokyo, Japan - Seoul, South Korea - Los Angeles, CA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Ripon Society & Franklin Center for Global Policy Exchange	08/4/2019	08/9/2019	Los Angeles, CA - Copenhagen, Denmark - Los Angeles, CA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Government of the Republic of Armenia (MECEA)	10/4/2019	10/10/2019	Los Angeles, CA - Yerevan, Armenia - Los Angeles, CA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Chu-Lim Investments LLC
LOCATION: US
- Savings Plus
- TD Ameritrade Investment Account
LOCATION: US
- Vanguard IRA
- Vanguard Personal Investment Account
LOCATION: US
- Vanguard-JM Chu Irrevocable Trust II
- Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed
LOCATION: US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Judy Chu , 08/12/2020