



Filing ID #10050737

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Kathleen Rice
Status: Former Member
State/District: NY04

FILING INFORMATION

Filing Type: Terminated Filer Report
Filing Year: 2023
Filing Date: 01/30/2023

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|--------------------|----------------|-------------|--------------------------|
| Chase Bank Account [BA] | | \$1 - \$1,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| IRA Rollover Account - American Portfolios ⇒ American Beacon Ark Disruptive Innovation Fund Class Y (ADNYX) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| IRA Rollover Account - American Portfolios ⇒ Baron Growth Fund Institutional Class (BGRIX) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| IRA Rollover Account - American Portfolios ⇒ Dreyfus Ins Deposit -Brokerage Money Market [IH] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| IRA Rollover Account - American Portfolios ⇒ Franklin Income Fund Advisor Class (FRIAX) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| New York State and Local Retirement System [PE] | | Undetermined | None | | <input type="checkbox"/> |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

None disclosed.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|------------------|---------------|-------------|-----------------------|
| | American Express | January 2023 | Credit Card | \$10,000 - \$15,000 |
| | Quicken Loans | January 2020 | Mortgage | \$100,001 - \$250,000 |

SCHEDULE E: POSITIONS

| Position | Name of Organization |
|----------------------------|----------------------|
| Member, Board of Governors | Touro Law Center |

SCHEDULE F: AGREEMENTS

| Date | Parties To | Terms of Agreement |
|--------------|----------------------------------|---|
| January 2006 | Kathleen Rice and New York State | Pension system participant - New York State and Local Retirement System |

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

| Source | Trip Details | | | | Inclusions | | |
|---|--------------|------------|--|------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| | Start Date | End Date | Itinerary | Days at Own Exp. | Lodging? | Food? | Family? |
| American Israel Education Foundation | 02/19/2022 | 02/27/2022 | New York, NY - Tel Aviv, Israel - New York, NY | 0 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| The Aspen Institute Inc. | 05/30/2022 | 06/6/2022 | Newark, NJ - Geneva, Switzerland - Newark, NJ | 1 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |
| The Ripon Society and the Franklin Center | 11/9/2022 | 11/14/2022 | New York, NY - Madrid, Spain - New York, NY | 0 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> |

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- IRA Rollover Account - American Portfolios

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

COMMENTS

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Kathleen Rice , 01/30/2023