



Filing ID #10061111

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • B81 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Roger James Roth Jr.  
**Status:** Congressional Candidate  
**State/District:** WI08

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2024  
**Filing Date:** 05/15/2024  
**Period Covered:** 01/01/2023– 04/15/2024

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Coverdell ESA 1 ⇒ Eventide Gilead Fund (ETGLX) [MF]	DC	\$1,001 - \$15,000	Capital Gains	None	None
Coverdell ESA 1 ⇒ QQQ - INVESCO QQQ TRUST SERIES I [OT]	DC	\$1,001 - \$15,000	Capital Gains	None	None
DESCRIPTION: QQQ - INVESCO QQQ TRUST SERIES I					
Coverdell ESA 1 ⇒ SPDR S&P 500 Buyback ETF (SPYB) [ST]	DC	\$1,001 - \$15,000	Capital Gains	None	None
Coverdell ESA 2 ⇒ QQQ - INVESCO QQQ TRUST SERIES I [OT]	DC	\$1,001 - \$15,000	Capital Gains	None	None
DESCRIPTION: QQQ - INVESCO QQQ TRUST SERIES I					
Coverdell ESA 2 ⇒ SPDR S&P 500 Buyback ETF (SPYB) [ST]	DC	\$15,001 - \$50,000	Capital Gains	None	None
Coverdell ESA 3 ⇒ QQQ - INVESCO QQQ TRUST SERIES I [OT]	DC	\$1,001 - \$15,000	Capital Gains	None	None
DESCRIPTION: QQQ - INVESCO QQQ TRUST SERIES I					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Coverdell ESA 3 ⇒ SPDR S&P 500 Buyback ETF (SPYB) [ST]	DC	\$1,001 - \$15,000	Capital Gains	None	None
Coverdell ESA 4 ⇒ SPDR S&P 500 Buyback ETF (SPYB) [ST]	DC	\$1,001 - \$15,000	Capital Gains	None	None
Coverdell ESA 5 ⇒ SPDR S&P 500 Buyback ETF (SPYB) [ST]	DC	\$1,001 - \$15,000	Capital Gains	None	None
Roger J. And Rebecca A. Roth Living Trust ⇒ Providential Investments, LLC [OL]  LOCATION: Appleton/Outagamie, WI, US DESCRIPTION: Residential Rental Property	JT	\$500,001 - \$1,000,000	Rent	\$1,001 - \$2,500	\$5,001 - \$15,000
Roger J. And Rebecca A. Roth Living Trust ⇒ Roth Development Corporation, 15% Interest [OL]  LOCATION: Neenah/Winnebago, WI, US DESCRIPTION: Residential Property Development	JT	\$15,001 - \$50,000	Capital Gains	None	None
Roger J. And Rebecca A. Roth Living Trust ⇒ Rebecca Roth IRA ⇒ Amazon.com, Inc. - Common Stock (AMZN) [ST]		\$15,001 - \$50,000	Capital Gains	None	None
Roger J. And Rebecca A. Roth Living Trust ⇒ Rebecca Roth IRA ⇒ Microsoft Corporation - Common Stock (MSFT) [ST]		\$1,001 - \$15,000	Capital Gains	None	None
Roger J. And Rebecca A. Roth Living Trust ⇒ Rebecca Roth IRA ⇒ Nicolet Bankshares Inc. Common Stock (NIC) [ST]		\$1,001 - \$15,000	Capital Gains	None	None
Roger J. And Rebecca A. Roth Living Trust ⇒ Rebecca Roth IRA ⇒ Nikola Corporation - Common Stock (NKLA) [ST]		\$1 - \$1,000	Capital Gains	None	None
Roger J. And Rebecca A. Roth Living Trust ⇒ Rebecca Roth IRA ⇒ SPDR S&P 500 Buyback ETF (SPYB) [ST]		\$15,001 - \$50,000	Capital Gains	None	None
Roger J. And Rebecca A. Roth Living Trust ⇒ Rebecca Roth IRA ⇒ Walt Disney Company (DIS) [ST]		\$1,001 - \$15,000	Capital Gains	None	None
Roger J. And Rebecca A. Roth Living Trust ⇒ Roger		\$1,001 - \$15,000	Capital Gains	None	None

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Roth IRA ⇒ Amazon.com, Inc. - Common Stock (AMZN) [ST]					
Roger J. And Rebecca A. Roth Living Trust ⇒ Roger Roth IRA ⇒ Coinbase Global, Inc. - Class A Common Stock (COIN) [ST]		\$1 - \$1,000	Capital Gains	None	None
Roger J. And Rebecca A. Roth Living Trust ⇒ Roger Roth IRA ⇒ Walt Disney Company (DIS) [ST]		\$1,001 - \$15,000	Capital Gains	None	None

\* Investment vehicle details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

<b>Source</b>	<b>Type</b>	<b>Amount Current Year to Filing</b>	<b>Amount Preceding Year</b>
Wisconsin Legislature	State Senate Salary	N/A	\$4,006.53
WI Air National Guard (DFAS-JFLL/IN)	Service with WI Air National Guard	\$6,364.10	\$17,885.36
Seal Appeal, LLC	Construction	N/A	\$18,062.50
Sagamore Institute	Fellowship	\$10,000.00	N/A
Roger Roth & Son's Construction, LLC	General Contractor	\$6,000.00	\$55,150.00
Heinen Homeschool Services, LLC	Spouse teacher compensation	N/A	N/A

## SCHEDULE D: LIABILITIES

<b>Owner</b>	<b>Creditor</b>	<b>Date Incurred</b>	<b>Type</b>	<b>Amount of Liability</b>
JT	Chase	August 2012	Mortgage on Rental Property	\$50,001 - \$100,000
JT	PHH Mortgage	August 2012	Mortgage on Rental Property	\$100,001 - \$250,000

## SCHEDULE E: POSITIONS

None disclosed.

## **SCHEDULE F: AGREEMENTS**

None disclosed.

## **SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

None disclosed.

## **SCHEDULE A INVESTMENT VEHICLE DETAILS**

- Coverdell ESA 1 (Owner: DC)  
LOCATION: US
- Coverdell ESA 2 (Owner: DC)  
LOCATION: US
- Coverdell ESA 3 (Owner: DC)  
LOCATION: US
- Coverdell ESA 4 (Owner: DC)  
LOCATION: US
- Coverdell ESA 5 (Owner: DC)  
LOCATION: US
- Roger J. And Rebecca A. Roth Living Trust (Owner: JT)
- Roger J. And Rebecca A. Roth Living Trust ⇒ Rebecca Roth IRA (Owner: JT)
- Roger J. And Rebecca A. Roth Living Trust ⇒ Roger Roth IRA (Owner: JT)

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Roger James Roth Jr., 05/15/2024