



Filing ID #10053332

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Marcy Kaptur  
**Status:** Member  
**State/District:** OH09

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2022  
**Filing Date:** 05/15/2023

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Congressional Federal Credit Union [BA]		\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Funds accrued in this account are from foregone congressional pay (raises) and are distributed annually to charitable causes.					
DFA Global Equity [MF]		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DFA Short Term Duration Real [MF]		None	Dividends	None	<input type="checkbox"/>
DFA Targeted Credit [MF]		None	Dividends	\$1 - \$200	<input type="checkbox"/>
Fleet Rd [RP]		\$50,001 - \$100,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Toledo, OH, US					
Harlan Rd [RP]		\$50,001 - \$100,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Toledo, OH, US					
Huntington Bank NA [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Key Bank [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Nutrien Ltd. Common Shares (NTR) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
COMMENTS: Inherited family stock. No transactions enacted or anticipated.					
Rivard Rd [RP]		\$50,001 - \$100,000	None		<input type="checkbox"/>
LOCATION: Toledo, OH, US DESCRIPTION: no renter or rental income in 2021					
Schwab Money Market [BA]		\$1,001 - \$15,000	Interest	None	<input type="checkbox"/>
Stone Ridge Diversified Alternatives [MF]		None	None		<input type="checkbox"/>
The Andersons, Inc. (ANDE) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
COMMENTS: Inherited family stock. Divested.					
US Savings Bonds [GS]		\$50,001 - \$100,000	None		<input type="checkbox"/>
Vanguard Core Bond Fund [MF]		None	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Assets sold in three partial transaction on 3/4/22, 4/13/22, and 9/16/22. Dividends are from all 2022 holdings.					
Vanguard Total Bond Index [MF]		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Asset divested. Dividends are from holdings in 2022.					

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DFA Global Equity [MF]		10/21/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
DFA Global Equity [MF]		10/10/2022	P	\$1,001 - \$15,000	
DFA Short Term Duration Real [MF]		10/21/2022	S	\$50,001 - \$100,000	<input type="checkbox"/>
DFA Targeted Credit [MF]		04/13/2022	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DFA Targeted Credit [MF]		10/21/2022	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
DFA Targeted Credit [MF]		10/10/2022	P	\$50,001 - \$100,000	
Dimensional Short Term Duration ETF [MF]		04/13/2022	P	\$50,001 - \$100,000	
Dimensional Short Term Duration ETF [MF]		10/10/2022	S	\$50,001 - \$100,000	<input type="checkbox"/>
Institution for Savings CD [BA]		10/21/2022	P	\$100,001 - \$250,000	
Live Oak Bank CD [BA]		10/21/2022	P	\$100,001 - \$250,000	
Stone Ridge Diversified Alternatives [MF]		10/21/2022	S	\$50,001 - \$100,000	<input type="checkbox"/>
The Andersons, Inc. (ANDE) [ST]		10/21/2022	S	\$980.03	<input type="checkbox"/>
DESCRIPTION: Family stock inherited in 2021 and divested in 2022 <\$1000.00					
Vanguard Core Bond Fund [MF]		03/4/2022	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
Vanguard Core Bond Fund [MF]		04/13/2022	P	\$100,001 - \$250,000	
Vanguard Core Bond Fund [MF]		09/16/2022	S	\$100,001 - \$250,000	<input type="checkbox"/>
Vanguard Total Bond Index [MF]		03/4/2022	P	\$100,001 - \$250,000	
Vanguard Total Bond Index [MF]		04/13/2022	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
Vanguard Total Bond Index [MF]		09/16/2022	P	\$100,001 - \$250,000	
Vanguard Total Bond Index [MF]		10/21/2022	S	\$100,001 -	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
			(partial)	\$250,000	

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Congressional Federal Credit Union	2011	Mortgage	\$100,001 - \$250,000
	COMMENTS: Mortgage on Washington, D.C. area condominium apartment used while Congress is in session. No mortgage on permanent family home in Ohio.			
	Congressional Federal Credit Union	Nov. 2015	Home Equity Loan	\$15,001 - \$50,000

## SCHEDULE E: POSITIONS

Position	Name of Organization
Trustee	The Anastasia Fund
COMMENTS: The Anastasia Fund, P.O. Box 2121, Toledo, OH 43603. [a charity established in the names of Anastasia and Stephen Kaptur]	

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Marcy Kaptur , 05/15/2023