



Filing ID #10056100

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Thomas Suozzi  
**Status:** Congressional Candidate  
**State/District:** NY03

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2023  
**Filing Date:** 01/12/2024

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
1640 LLC [RP]		\$250,001 - \$500,000	Rent	None	None
LOCATION: Huntington, NY, US					
DESCRIPTION: Minority interest in commercial real estate with property in East Meadow, New York, to be placed in service as a storage facility.					
Allianz- Qualified IRA ⇒ AZL MVP Growth Index Strategy [MF]		\$50,001 - \$100,000	Tax-Deferred		
Allianz- Qualified IRA ⇒ AZL MVP TRP Cap App Plus [MF]		\$50,001 - \$100,000	Tax-Deferred		
BP Real Estate Opportunity Group LLC [RP]		\$1,001 - \$15,000	Ordinary Business Income	\$1 - \$200	\$1 - \$200
LOCATION: Sarasota, Sarasota, FL, US					
DESCRIPTION: Minority interest in commercial real estate development project with sole asset located in Sarasota, FL					
Clarapath Corp [OL]		Undetermined	None		
LOCATION: Hawthorne, NY, US					
DESCRIPTION: Investment in a start up company relating to biotech for pathology. Offices are in Manhattan, NY					
Empirical Risk Management Inc, 100% Interest [OL]	SP	Undetermined	Ordinary Business Income	\$5,001 - \$15,000	\$5,001 - \$15,000
LOCATION: Glen Cove, Nassau, NY, US					

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
DESCRIPTION: Insurance brokerage business located in Glen Cove, NY					
Equitable ⇒ Life Insurance [WU]		\$50,001 - \$100,000	Tax-Deferred		
Equitable- IRA ⇒ XLF Sector Financial Select Sector SPDR ETF [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Equitable- IRA ⇒ XLK Sector Technology Select Sector SPDR [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Equitable- IRA ⇒ XLP Sector Consumer Staples Select Sector SPDR ETF [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Equitable- IRA ⇒ XLRE Select Sector TR Real Estate SPDR ETF [MF]	SP	\$1 - \$1,000	Tax-Deferred		
Equitable- IRA ⇒ XLV Sector Healthcare Select Sector SPDR ETF [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Equitable Life Operations- Suozzi Family Trust [WU]		\$100,001 - \$250,000	Tax-Deferred		
HCDC Holdings LLC, 10% Interest [OL]		\$50,001 - \$100,000	Interest, Ordinary Business Income	\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
LOCATION: Glen Cove, Nassau, NY, US					
DESCRIPTION: Minority interest in entity which operates a day camp in East Hampton, NY					
HCDC Realty Corp, 10% Interest [RP]		\$50,001 - \$100,000	Rent	None	None
LOCATION: Glen Cove, Nassau, NY, US					
DESCRIPTION: Minority interest in corporation which holds commercial property in East Hampton, NY					
HREANJNY LLC ⇒ HREANJNY LLC, 3% Interest [RP]		\$100,001 - \$250,000	Rent	None	None
LOCATION: New York, NY, US					
DESCRIPTION: Minority interest in Commercial Real Estate Investment with property in East Patchogue, New York					
HREANJNY LLC ⇒ HREANJNY LLC [RP]		\$100,001 - \$250,000	Rent	None	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
LOCATION: New York, NY, US					
DESCRIPTION: Minority interest in Commercial Real Estate Investment with property in Livingston, New Jersey					
Iselin Holdings LLC, 6% Interest [OL]		\$100,001 - \$250,000	Rent	None	None
LOCATION: West Orange, Essex, NJ, US					
DESCRIPTION: Minority interest in LLC holding residential rental property in West Orange, NJ. Section 1031 exchange from property held in Phillipsburg Holdings LLC					
Merrill Lynch- Advisor Discretion Account ⇒ Advanced Micro Devices, Inc. (AMD) [ST]		\$100,001 - \$250,000	None		
Merrill Lynch- Advisor Discretion Account ⇒ Apple Inc. (AAPL) [ST]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Merrill Lynch- Advisor Discretion Account ⇒ Cigna Corporation (CI) [ST]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Merrill Lynch- Advisor Discretion Account ⇒ Devon Energy Corporation (DVN) [ST]		\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
Merrill Lynch- Advisor Discretion Account ⇒ Microsoft Corporation (MSFT) [ST]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$201 - \$1,000
Merrill Lynch- Advisor Discretion Account ⇒ NVIDIA Corporation (NVDA) [ST]		\$500,001 - \$1,000,000	Dividends	\$1 - \$200	\$1 - \$200
Merrill Lynch- Advisor Discretion Account ⇒ United Rentals, Inc. (URI) [ST]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	\$15,001 - \$50,000
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Advanced Micro Devices, Inc. (AMD) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Apple Inc. (AAPL) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Boeing Company (BA) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Corning Incorporated (GLW) [ST]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Merrill Lynch- Advisor Discretion Account- IRA ⇒ CVS Health Corporation (CVS) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch- Advisor Discretion Account- IRA ⇒ International Paper Company (IP) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch- Advisor Discretion Account- IRA ⇒ iShares U.S. Technology ETF (IYW) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Merrill Lynch- Advisor Discretion Account- IRA ⇒ NextEra Energy, Inc. (NEE) [ST]		\$1 - \$1,000	Tax-Deferred		
Merrill Lynch- Advisor Discretion Account- IRA ⇒ NVIDIA Corporation (NVDA) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Merrill Lynch- Advisor Discretion Account- IRA ⇒ NVIDIA Corporation (NVDA) [ST]		\$500,001 - \$1,000,000	Tax-Deferred		
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Palantir Technologies Inc. Class A (PLTR) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch- Advisor Discretion Account- IRA ⇒ United Rentals, Inc. (URI) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Walmart Inc. (WMT) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Merrill Lynch- Advisor Discretion Account- IRA ⇒ Weyerhaeuser Company (WY) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Naples Vanderbuilt Investors LLC [OL]  LOCATION: Naples, Collier, FL, US DESCRIPTION: Minority interest in rental property in Naples, FL		\$1,001 - \$15,000	Ordinary income	\$1 - \$200	\$1 - \$200
Royal Pines Holdings LLC, 2% Interest [RP]		\$100,001 -	Rent	None	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
<b>\$250,000</b>					
LOCATION: West Orange, Essex, NJ, US					
DESCRIPTION: Real Estate Investment- Residential Apt Building					
RUVO Realty LLC, 100% Interest [OL]		\$100,001 - \$250,000	Rent	None	\$1 - \$200
LOCATION: Glen Cove, Nassau, NY, US					
DESCRIPTION: Office space for rent in Glen Cove, NY					
SGC Commercial II LLC, 1% Interest [OL]		\$15,001 - \$50,000	None		
LOCATION: Sarasota, Sarasota, FL, US					
DESCRIPTION: Real Estate development project in Sarasota, FL					
Southampton Country Day Camp LLC, 3% Interest [OL]		None	Ordinary Business Income	\$5,001 - \$15,000	\$5,001 - \$15,000
LOCATION: Southampton, Suffolk, NY, US					
DESCRIPTION: Minority interest in entity which operates a day camp located in Southampton, NY					
Southampton Day Camp Realty LLC, 3% Interest [RP]		\$50,001 - \$100,000	Rent	None	None
LOCATION: Southampton, Suffolk, NY, US					
DESCRIPTION: Minority interest in commercial rental property located in Southampton, NY					
Suozzi Consulting LLC, 100% Interest [OL]	JT	\$1,001 - \$15,000	Ordinary Business Income	\$100,001 - \$1,000,000	Not Applicable
LOCATION: Glen Cove, NY, US					
DESCRIPTION: Consulting entity established in January, 2023					
TIAA-CREF ⇒ CREF Equity Index R2 [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
TIAA-CREF ⇒ CREF Inflation-Linked Bond R2 [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
TIAA-CREF ⇒ CREF Social Choice R2 [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

<b>Source</b>	<b>Type</b>	<b>Amount Current Year to Filing</b>	<b>Amount Preceding Year</b>
Hercules Pharmaceuticals	Salary	\$35,000.00	N/A
Suozzi Consulting	Consulting Fees	\$535,000.00	N/A
Global Industrial Corp	Board Fees	\$82,500.00	N/A
Emperial Risk Management Inc	Spouse Salary	\$7,000.00	\$7,500.00

#### **SCHEDULE D: LIABILITIES**

None disclosed.

#### **SCHEDULE E: POSITIONS**

None disclosed.

#### **SCHEDULE F: AGREEMENTS**

None disclosed.

#### **SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

<b>Source (Name and Address)</b>	<b>Brief Description of Duties</b>
NY Community Bank (Hicksville, NY, US)	Consulting and Advisory Services
Sweetwater Royalties, LLC (Lakewood, CO, US)	Consulting and Advisory Services
LIRO Engineer Co (Syosset, NY, US)	Consulting and Advisory Services
Actum (New York, NY, US)	Consulting and Advisory Services
FOS Development Corp (Queens, NY, US)	Consulting and Advisory Services
E&A Construction (Woodbury, NY, US)	Consulting and Advisory Services
East 119th Street Development Corp (New York, NY, US)	Consulting and Advisory Services

#### **SCHEDULE A ASSET CLASS DETAILS**

- Allianz- Qualified IRA
- Equitable
- Equitable- IRA (Owner: SP)
- HREANJNY LLC  
LOCATION: NY, US  
DESCRIPTION: Minority interest in commercial real estate in NY & NJ

- Merrill Lynch- Advisor Discretion Account  
LOCATION: US  
DESCRIPTION: Advisor Discretion Account under control of asset manager.
- Merrill Lynch- Advisor Discretion Account- IRA
- TIAA-CREF (Owner: SP)

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Thomas Suozzi , 01/12/2024