



Filing ID #10047836

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Dwight Evans  
**Status:** Member  
**State/District:** PA03

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2021  
**Filing Date:** 05/16/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
1602 E CARDEZA ST [RP]		\$100,001 - \$250,000	None		<input type="checkbox"/>
LOCATION: Philadelphia, PA, US					
COMMONWEALTH OF PA PENSION [PE]		\$500,001 - \$1,000,000	Tax-Deferred		<input type="checkbox"/>
PROEQUITIES ⇒ ALEXANDRIA REAL ESTATE EQUITIES [RE]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
PROEQUITIES ⇒ Alibaba Group Holding Limited American Depository Shares each representing one Ordinary share (BABA) [ST]		\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
PROEQUITIES ⇒ Alphabet Inc. - Class A (GOOGL) [ST]		\$15,001 - \$50,000	Dividends	None	<input type="checkbox"/>
PROEQUITIES ⇒ ALPS CLEAN ENERGY ETF [EF]		\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
PROEQUITIES ⇒ Amazon.com, Inc. (AMZN) [ST]		\$15,001 - \$50,000	Dividends	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
PROEQUITIES ⇒ AMERICAN TOWER CORP [RE]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
PROEQUITIES ⇒ American Water Works Company, Inc. (AWK) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
PROEQUITIES ⇒ AMPLIFY TRANSFORMATIONAL DATA ETF [EF]		\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
PROEQUITIES ⇒ Apollo Global Management, Inc. Class A (APO) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
PROEQUITIES ⇒ Apple Inc. (AAPL) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
PROEQUITIES ⇒ ARIEL FUND INVESTOR [MF]		\$15,001 - \$50,000	Dividends	None	<input checked="" type="checkbox"/>
PROEQUITIES ⇒ ARK GENOMIC REVOLUTION [EF]		\$1,001 - \$15,000	Capital Gains	None	<input type="checkbox"/>
PROEQUITIES ⇒ ARK INNOVATION [EF]		\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ Baidu, Inc. - American Depository Shares, each representing 8 ordinary share (BIDU) [ST]		\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
PROEQUITIES ⇒ CALVERT GREEN BOND FUND CLASS 1 [MF]		\$15,001 - \$50,000	Dividends	None	<input checked="" type="checkbox"/>
PROEQUITIES ⇒ Celanese Corporation Celanese Corporation (CE) [ST]		\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
PROEQUITIES ⇒ CSX Corporation (CSX) [ST]		\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
PROEQUITIES ⇒ ETFMG VIDEO GAME TECH [EF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
PROEQUITIES ⇒ ETHO CLIMATE LEADERSHIP [EF]		None	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
PROEQUITIES ⇒		\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
FIRST TRUST RBA AMER INDL RENAIIS ETF [EF]					
PROEQUITIES ⇒ FRANKLIN INCOME FUND [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
PROEQUITIES ⇒ GLOBAL X NASDAQ 100 [EF]		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
PROEQUITIES ⇒ GOLDMAN SACHS EMERGING MARKETS [MF]		\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
PROEQUITIES ⇒ Hannon Armstrong Sustainable Infrastructure Capital, Inc. (HASI) [RE]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
PROEQUITIES ⇒ International Business Machines Corporation (IBM) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
PROEQUITIES ⇒ INVESCO BLDRS INDEX FDS [EF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Name change from Builders Emerging Market 50 ADR Index Fund to Invesco Builders Index Funds Emerging Markets 50 ADRFD					
PROEQUITIES ⇒ INVESCO CORPORATE BOND [MF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
PROEQUITIES ⇒ ISHARES NASDAQ BIOTECHNOLOGY [EF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
PROEQUITIES ⇒ JANUS HENDERSON GLOBAL EQUITY [MF]		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
PROEQUITIES ⇒ Lockheed Martin Corporation (LMT) [ST]		\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
PROEQUITIES ⇒ LORD ABBETT FLOATING RATE [MF]		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
PROEQUITIES ⇒ MATTHEWS ASIA CREDIT OPPORTUNITIES [MF]		\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
PROEQUITIES ⇒ Microsoft Corporation (MSFT) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
PROEQUITIES ⇒		\$1,001 - \$15,000	Dividends	\$1 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
NeoGenomics, Inc. (NEO) [ST]				\$200	<input type="checkbox"/>
PROEQUITIES ⇒ NextEra Energy, Inc. (NEE) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
PROEQUITIES ⇒ NUVEEN DIVIDEND VALUE [MF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
PROEQUITIES ⇒ NUVEEN PREFERRED SEC'S & INC [MF]		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
PROEQUITIES ⇒ PACER BENCHMARK DATA & INFRAS ETF [EF]		\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
PROEQUITIES ⇒ PayPal Holdings, Inc. (PYPL) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
PROEQUITIES ⇒ PGIM GLOBAL TOTAL RETURN [MF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
PROEQUITIES ⇒ PIMCO REAL RETURN INST [MF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
PROEQUITIES ⇒ PRINCIPAL HEALTHCARE INNOVATORS [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	None	<input checked="" type="checkbox"/>
PROEQUITIES ⇒ Quanta Services, Inc. (PWR) [ST]		\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
PROEQUITIES ⇒ Raytheon Technologies Corporation (RTX) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Includes United Technologies since merger					
PROEQUITIES ⇒ Salesforce.com Inc (CRM) [ST]		\$1,001 - \$15,000	Dividends	None	<input type="checkbox"/>
PROEQUITIES ⇒ Southwest Airlines Company (LUV) [ST]		\$1,001 - \$15,000	Dividends	None	<input checked="" type="checkbox"/>
PROEQUITIES ⇒ SPDR BLACKSTONE SENIOR LOAN ETF [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	None	<input checked="" type="checkbox"/>
PROEQUITIES ⇒ SPDR S&P METALS AND MINING ETF [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	None	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
PROEQUITIES ⇒ Tesla, Inc. (TSLA) [ST]		\$15,001 - \$50,000	Dividends	None	<input type="checkbox"/>
PROEQUITIES ⇒ Thermo Fisher Scientific Inc (TMO) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
PROEQUITIES ⇒ Twist Bioscience Corporation (TWST) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
PROEQUITIES ⇒ VICTORY INCORE FUND FOR INCOME [MF]		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
PROEQUITIES ⇒ WESTERN ASSET CORE PLUS BOND [MF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
PROEQUITIES ⇒ Williams Companies, Inc. (WMB) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
PA EMPLOYEES CREDIT UNION ⇒ SAVINGS ACCOUNT ⇒ AMPLIFY TRANSFORMATIONAL DATA ETF [EF]		05/26/2021	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ Alphabet Inc. - Class A (GOOGL) [ST]		05/11/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ ALPS CLEAN ENERGY ETF [EF]		05/26/2021	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ American Electric Power Company, Inc. (AEP) [ST]		11/2/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ ARIEL FUND INVESTOR [MF]		11/2/2021	P	\$15,001 - \$50,000	
PROEQUITIES ⇒ ARK Genomic Revolution ETF (ARKG) [ST]		11/2/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
PROEQUITIES ⇒ CALVERT GREEN BOND FUND CLASS 1 [MF]		04/30/2021	P	\$15,001 - \$50,000	
PROEQUITIES ⇒ Celanese Corporation Celanese Corporation (CE) [ST]		05/26/2021	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ CSX Corporation (CSX) [ST]		05/26/2021	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ FIRST TRUST CHINDIA ETF [EF]		07/28/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
PROEQUITIES ⇒ FIRST TRUST RBA AMER INDL RENAIIS ETF [EF]		05/26/2021	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ FRANKLIN INCOME ADV [MF]		11/4/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
PROEQUITIES ⇒ Lockheed Martin Corporation (LMT) [ST]		05/26/2021	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ LORD ABBETT FLOATING RATE [MF]		11/2/2021	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ MATTHEWS ASIA CREDIT OPPORTUNITIES [MF]		11/2/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
PROEQUITIES ⇒ PACER BENCHMARK DATA & INFRAS ETF [EF]		05/26/2021	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ Principal Healthcare Innovators ETF (BTEC) [ST]		11/2/2021	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ Quanta Services, Inc. (PWR) [ST]		05/26/2021	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ Southwest Airlines Company (LUV) [ST]		05/26/2021	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ SPDR Blackstone Senior Loan ETF (SRLN) [ST]		11/2/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
PROEQUITIES ⇒ SPDR GOLD TR GOLD SHS [EF]		05/11/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ SPDR S&P METALS AND MINING ETF [EF]		05/26/2021	P	\$1,001 - \$15,000	
PROEQUITIES ⇒ The Carlyle Group Inc. (CG) [ST]		05/26/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ VESPER US [EF]		02/1/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
PROEQUITIES ⇒ VICTORY INCORE FUND FOR INCOME [MF]		05/26/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
COMMONWEALTH OF PA	PENSION	\$93,545.00

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
PA STATE EMPLOYEES CREDIT UNION		09/13/2013	MORTGAGE	\$15,001 - \$50,000
NATIONSTAR MORTGAGE dba MR COOPER		09/29/2017	MORTGAGE	\$100,001 - \$250,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2019	Commonwealth of PA and Dwight Evans	Participation in an employee health care benefit from the Commonwealth of Pennsylvania

## SCHEDULE G: GIFTS

Source	Description	Value
SAUL SHORR (PHILADELPHIA, PA, US)	Ticket to Minnesota Timberwolves v. Philadelphia 76ers game	\$2,000.00

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- PA EMPLOYEES CREDIT UNION  
LOCATION: US
- PA EMPLOYEES CREDIT UNION ⇒ SAVINGS ACCOUNT  
LOCATION: US
- PROEQUITIES

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Dwight Evans , 05/16/2022