



Filing ID #10038549

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Michael Fq San Nicolas
Status: Member
State/District: GU00

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2019
Filing Date: 08/13/2020

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
3M Company (MMM) [ST]	JT	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
401a KKSNN ⇒ Vanguard Inflation Protected Securities [MF]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
401a KKSNN ⇒ Wells Fargo Stable Value Fund [BA]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
457g KKSNN ⇒ DFA Emerging Markets Core Equity [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
457g KKSNN ⇒ Principal Global Real Estate Sec [MF]	SP	\$1 - \$1,000	None		<input type="checkbox"/>
457g KKSNN ⇒ Touchstone International Small Cap [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
457g KKSNN ⇒ Wells Fargo Stable Value Fund [MF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Ameritrade Money Market Account [BA]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
BankGuam Holding Company Common Stock (BKGMF) [ST]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Cash [OT]		\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Cash on hand					
Chalan Pago SFD [RP]	JT	\$250,001 - \$500,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Chalan Pago, GU, US					
Dededo SFD [RP]	JT	\$250,001 - \$500,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Dededo, GU, US					
Kalebs 529 [5P]	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
LOCATION: GU					
Katelyns 529 [5P]	DC	\$1,001 - \$15,000	None		<input type="checkbox"/>
LOCATION: GU					
Latte Heights SFD [RP]	JT	\$250,001 - \$500,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Mangilao, GU, US					
Midland National Life Policy KKS [WU]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Midland National Life Policy MSN [WU]	JT	\$1,001 - \$15,000	None		<input type="checkbox"/>
Receivables from Campaign [DO]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Talofofo SFD [RP]	JT	\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Talofofo, GU, US					
Various Depository Accounts [BA]	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Walmart Inc. (WMT) [ST]	JT	\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Yamana Gold Inc. Ordinary Shares (AUY) [ST]	JT	None	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Sell of Call options on Yamana Gold Strike \$4 / 190,000; Sell of Call options on Yamana Gold Strike \$3 / 50,000					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Yamana Gold Inc. Ordinary Shares (AUY) [OP]	JT	07/26/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: Sale of Call options on Yamana Gold Strike \$4 / 190,000; Sale of Call options on Yamana Gold Strike \$3 / 50,000					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Bank of Guam	October 2010	Dededo Home Mortgage	\$100,001 - \$250,000
JT	Bank of Guam	January 2018	Signature Loan	\$15,001 - \$50,000
JT	Bank of Guam	February 2011	Chalan Pago Home Mortgage	\$100,001 - \$250,000
JT	Chase	Revolving	Revolving	\$15,001 - \$50,000
JT	PenFed	Revolving	Revolving	\$15,001 - \$50,000
	Congressional Federal	August 2019	Auto Loan	\$15,001 - \$50,000
JT	Bank of Hawaii	October 2016	Dededo HELOC	\$15,001 - \$50,000
JT	Bank of Hawaii	October 2016	Latte Heights HELOC	\$50,001 - \$100,000
JT	Bank of Hawaii	October 2016	Talofofo HELOC	\$10,000 - \$15,000
SP	Community First Federal Credit Union	March 2016	Signature Loan	\$10,000 - \$15,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- 401a KKS (Owner: SP)
DESCRIPTION: Kathryn K. San Nicolas Government of Guam Retirement Fund 401a
- 457g KKS (Owner: SP)
DESCRIPTION: Kathryn K. San Nicolas Government of Guam Retirement Fund Deferred Compensation Plan 457g

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Michael Fq San Nicolas , 08/13/2020