



Filing ID #10047738

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Hon. Lisa Blunt Rochester  
**Status:** Member  
**State/District:** DE00

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2021  
**Filing Date:** 06/21/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Charles R Rochester Jr Estate - Cash [OT]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Cash					
Inter-Family Promissory Note [DO]		\$100,001 - \$250,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ AMERICAN FUNDS EUROPACIFIC GROWTH AEPFX [MF]					
LISA BLUNT ROCHESTER IRA ⇒ BLACKROCK FUNDS STRATEGIC INC OPPORTUNITIES I BSIIX [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ DODGE & COX FUNDS INCOME DODIX [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ iShares Core MSCI EAFE ETF (IEFA) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: iShares Core MSCI EAFE ETF (IEFA)					
LISA BLUNT ROCHESTER IRA ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: iShares Core MSCI Emerging Markets ETF (IEMG)					
LISA BLUNT ROCHESTER IRA ⇒ ISHARES CORE S&P MCP ETF (IJH) [EF]		\$50,001 - \$100,000		Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: ISHARES CORE S&P MCP ETF (IJH)					
LISA BLUNT ROCHESTER IRA ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF]		\$15,001 - \$50,000		Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: iShares Core S&P Small-Cap ETF (IJR)					
LISA BLUNT ROCHESTER IRA ⇒ JPMORGAN FUNDS EMRG MKTS EQTY JMIEX [MF]		\$15,001 - \$50,000		Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: JPMORGAN FUNDS EMRG MKTS EQTY JMIEX					
LISA BLUNT ROCHESTER IRA ⇒ Money Market [BA]		\$15,001 - \$50,000		Tax-Deferred	<input type="checkbox"/>
DESCRIPTION: FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC					
LISA BLUNT ROCHESTER IRA ⇒ Vanguard Growth ETF (VUG) [EF]		\$15,001 - \$50,000		Tax-Deferred	<input type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ VANGUARD HIGH YIELD CORP ADMIRAL VWEAX [MF]		\$1,001 - \$15,000		Tax-Deferred	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ Vanguard S&P 500 ETF (VOO) [EF]		\$100,001 - \$250,000		Tax-Deferred	<input type="checkbox"/>
DESCRIPTION: Vanguard S&P 500 ETF (VOO)					
LISA BLUNT ROCHESTER IRA ⇒ Vanguard Total Bond Market ETF (BND) [EF]		\$50,001 - \$100,000		Tax-Deferred	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ Vanguard Value ETF (VTY) [EF]		\$15,001 - \$50,000		Tax-Deferred	<input type="checkbox"/>
DESCRIPTION: Vanguard Value ETF (VTY)					
LISA BLUNT ROCHESTER IRA ⇒ WCM FOCUSED INTL GROWTH WCMIX [MF]		\$15,001 - \$50,000		Tax-Deferred	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ AMERICAN FUNDS EUROPACIFIC GROWTH AEPFX [MF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015		\$500,001 -	Dividends	\$15,001 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LISA BLUNT ROCHESTER TR ⇒ BLACKROCK FUNDS STRATEGIC INC OPPORTUNITIES I BSIIX [MF]		\$1,000,000		\$50,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ DODGE & COX FUNDS INCOME DODIX [MF]		\$500,001 - \$1,000,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [EF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: iShares Core S&P Mid-Cap ETF (IJH)					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ iShares Russell 1000 ETF (IWB) [EF]		None	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ iShares Russell Mid-Cap ETF (IWR) [EF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ JPMORGAN FUNDS EMRG MKTS EQTY JMIEX [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ Money Market [BA]		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ SPDR TR S&P 500 ETF TR (SPY) [EF]		\$1,000,001 - \$5,000,000	Capital Gains, Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
DESCRIPTION: SPDR TR S&P 500 ETF TR (SPY)					
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ Vanguard FTSE Developed Markets ETF (VEA) [EF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Vanguard FTSE Developed Markets ETF (VEA)					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ Vanguard Growth ETF (VUG) [EF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ VANGUARD HIGH YIELD CORP ADMIRAL VWEAX [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ Vanguard Total Bond Market ETF (BND) [EF]		\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ Vanguard Value ETF (VTY) [EF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ WCM FOCUSED INTL GROWTH WCMIX [MF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ iShares Core MSCI EAFE ETF (IEFA) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ iShares Core U.S. Aggregate Bond ETF (AGG) [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ JPMorgan Core Plus Bond ETF (JCPB) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ Money Market [BA]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC					
LISA BLUNT ROCHESTER ROTH IRA ⇒ SPDR Bloomberg Barclays High Yield Bond ETF (JNK)		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
[EF]					<input type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ Vanguard Growth ETF (VUG) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ Vanguard S&P 500 ETF (VOO) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ Vanguard Total Bond Market ETF (BND) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ Vanguard Value ETF (VTY) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
M&T Bank Account [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
ND LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ Money Market [BA]		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC					
Santander Bank Account [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Seton Villa Rental Home [RP]		\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: Wilmington , DE, US DESCRIPTION: Rental Property					
Tosk, Inc. [PS]		\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: 8,333 shares of Series D Preferred Stock					
Wells Fargo Bank Accounts [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LISA BLUNT ROCHESTER IRA ⇒ BLACKROCK FUNDS STRATEGIC INC OPPORTUNITIES I BSIIX [MF]		03/5/2021	P	\$15,001 - \$50,000	
LISA BLUNT ROCHESTER IRA ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [EF]		03/5/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF]		03/5/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF]		03/5/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ JPMORGAN FUNDS EMRG MKTS EQTY JMIEX [MF]		03/5/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ VANGUARD HIGH YIELD CORP ADMIRAL VWEAX [MF]		03/5/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ VANGUARD TOTAL BOND [MF]		03/5/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER IRA ⇒ Vanguard Total Bond Market ETF (BND) [EF]		03/5/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ AMERICAN FUNDS EUROPACIFIC GROWTH AEPFX [MF]		01/4/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ BLACKROCK FUNDS STRATEGIC INC OPPORTUNITIES I BSIIX [MF]		11/29/2021	P	\$50,001 - \$100,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ BLACKROCK FUNDS STRATEGIC INC OPPORTUNITIES I BSIIX [MF]		03/5/2021	P	\$500,001 - \$1,000,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ DODGE & COX FUNDS INCOME DODIX [MF]		03/5/2021	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA		03/5/2021	S	\$50,001 -	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
BLUNT ROCHESTER TR ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [EF]			(partial)	\$100,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ iShares Core S&P Mid-Cap ETF (IJH) [EF]		01/4/2021	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ iShares Core S&P Small-Cap ETF (IJR) [EF]		01/4/2021	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ iShares Russell 1000 ETF (IWB) [EF]		04/22/2021	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ JPMorgan Core Plus Bond ETF (JCPB) [EF]		12/17/2021	P	\$500,001 - \$1,000,000	
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ JPMORGAN FUNDS EMRG MKTS EQTY JMIEX [MF]		03/5/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ SPDR S&P 500 (SPY) [EF]		11/29/2021	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ SPDR S&P 500 (SPY) [EF]		04/22/2021	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ Vanguard Growth ETF (VUG) [EF]		11/29/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ VANGUARD HIGH YIELD CORP ADMIRAL VWEAX [MF]		03/5/2021	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ Vanguard Total Bond Market ETF (BND) [EF]		12/17/2021	S (partial)	\$500,001 - \$1,000,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ Vanguard Total Bond Market ETF (BND) [EF]		03/5/2021	S (partial)	\$100,001 - \$250,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR ⇒ Vanguard Value ETF (VTV) [EF]		11/29/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ iShares Core U.S. Aggregate Bond ETF (AGG) [EF]		03/5/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
LISA BLUNT ROCHESTER ROTH IRA ⇒ JPMorgan Core Plus Bond ETF (JCPB) [EF]		03/5/2021	P	\$1,001 - \$15,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

None disclosed.

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Fulton Bank	July 2017	Mortgage on Primary Residence.	\$250,001 - \$500,000

## SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2018	Schneider Electric	An agreement between the widow spouse (Lisa) and Schneider Electric for a spousal death benefit from Lisa's late husband's retirement benefit plan who was an employee of Schneider Electric. Lisa was entitled to begin this monthly payout in 2018.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## **SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

## **SCHEDULE A AND B ASSET CLASS DETAILS**

- LISA BLUNT ROCHESTER IRA
- LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR
- LISA BLUNT ROCHESTER ROTH IRA
- ND LISA BLUNT ROCHESTER REV TST UA Apr 02,2015 LISA BLUNT ROCHESTER TR

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Lisa Blunt Rochester , 06/21/2022