



Filing ID #10035023

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Lloyd Doggett  
**Status:** Member  
**State/District:** TX35

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2019  
**Filing Date:** 08/4/2020

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
1157 San Bernard St. [RP]  LOCATION: Austin / Travis, TX, US		\$500,001 - \$1,000,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
3800 Kennelwood [RP]  LOCATION: Austin / Travis, TX, US		\$500,001 - \$1,000,000	None		<input type="checkbox"/>
6734 Spicewood Springs [RP]  LOCATION: Austin / Travis, TX, US		\$1,000,001 - \$5,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
Akre Focus Fund (AKRIX) [MF]		\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
American Century Ultra Fund (TWCUX) [MF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Artisan High Income Fund CL Adv (APDFX) [MF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Berkshire Hathaway Inc. New (BRK.B) [ST]		\$100,001 - \$250,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Brown Advisory Global Leaders Investor (BAFLX) [MF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Brown Advisory Sustainable Growth Instl (BAFWX) [MF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Coca-Cola Company (KO) [ST]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Cohen & Steers Pfd Secs @ Inc Cl I (CPXIX) [MF]		\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Congressional Federal Credit Union [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
DFA US Small Cap Value (DFSVX) [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
DL3 Holdings, LLC [RP]		\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Austin, TX, US					
Driehaus Micro Cap Growth (DMCRX) [MF]		\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Edgewood Growth Fund Institutional (EQFIX) [MF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Evermore Global Value Institutional (EVGIX) [MF]		None	Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
FPA New Income (FPNIX) [MF]		\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Franklin Mutual Shares Fund [MF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Home Depot, Inc. (HD) [ST]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
International Business Machines Corporation (IBM) [ST]		\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Johnson & Johnson (JNJ) [ST]		\$100,001 -	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$250,000			
Kopernik Global All-Cap I (KGGIX) [MF]		None	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Lazard Global Listed Infrastructure Inst (GLIFX) [MF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Metropolitan West Unconstrained Bond Fund Class 1 (MWCIX) [MF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
Mineral Interest, McFarland Tract [RP]	SP	\$1 - \$1,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Ward Co., TX, US					
Nuveen All American Municipal Bond Fund (FAARX) [MF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Nuveen Intermediate Duration Muni Bond (NUVBX) [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Oakmark International (OAKIX) [MF]		\$500,001 - \$1,000,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
PIMCO Income Fund (PIMIX) [MF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input type="checkbox"/>
PPG Industries, Inc. (PPG) [ST]		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Procter & Gamble Company (PG) [ST]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Prosperity Bank [BA]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Cash Account					
RBC Global Opportunities (RGOIX) [MF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Schwab Cash IRA Rollover [MF]	SP	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Schwab Govt Money Fund [MF]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Schwab Muni Money Fund (SWTXX) [MF]		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Schwab Premier Bank formerly Schwab Signature [MF]		\$500,001 - \$1,000,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
TIAA-CREF Retirement - Stock Fund [MF]		\$50,001 - \$100,000	None		<input type="checkbox"/>
TIAA-CREF Retirement Global Equities Fund [MF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
TIAA-CREF Retirement- Real Estate Fund [MF]		\$100,001 - \$250,000	None		<input type="checkbox"/>
Vanguard Convertible Securities Fund [MF]		None	None		<input checked="" type="checkbox"/>
Vanguard Energy Fund Investor (VGnex) [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Vanguard Extended Mkt Index Admiral (VEXAX) [MF]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Vanguard Growth Index Fund Admiral (VIGAX) [MF]		\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard High Yield Tax Exempt (VWALX) [MF]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Vanguard Int. Term Tax-Exempt Fund Admiral (VWIUX) [MF]		\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard Intermediate-Term Investment-Grade Admiral (VFIDX) [MF]		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Vanguard Long-Term Corp Admiral (VWETX) [MF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Vanguard Ltd. Term Tax-Exempt Fund Admiral (VMLUX) [MF]		\$500,001 - \$1,000,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Vanguard Mid-Cap Value Index (VMVAX) [MF]		\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard PRIMECAP Fund Admiral (VSMAX) [MF]		\$1,000,001 - \$5,000,000	Capital Gains, Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
Vanguard Selected Value Fund (VASUX) [MF]		\$1,000,001 - \$5,000,000	Capital Gains, Dividends	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Vanguard Short Term Bond (VBIRX) [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Vanguard Short Term Investment-Grade Admiral (VFSUX) [MF]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Vanguard Small-Cap Index Fund Inv (VSMAX) [MF]		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Vanguard Tax Exempt MM (VMSXX) [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard Total Bond Market Index Admiral (VBTIX) [MF]		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Vanguard Total Int Stock Index Admiral (VTIAX) [MF]		\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard Total International Bond Index Admiral (VTABX) [MF]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard Total Stock Market [MF]		\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Vanguard U.S. Growth Fund Admiral (VWUAX) [MF]		\$500,001 - \$1,000,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Vanguard Windsor II Fund (VWNAX) [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
WisdomTree US Dividend Growth ETF (DGRW) [EF]		\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
American Century Ultra Inv (TWCUX) [MF]		12/17/2019	P	\$15,001 - \$50,000	
Brown Advisory Sustainable Growth Instl [MF]		11/1/2019	P	\$250,001 - \$500,000	
Coca-Cola Company (KO) [ST] DESCRIPTION: Reinvest shares		04/2/2019	P	\$1,001 - \$15,000	
Coca-Cola Company (KO) [ST] DESCRIPTION: Reinvest shares		07/2/2019	P	\$1,001 - \$15,000	
Coca-Cola Company (KO) [ST] DESCRIPTION: Reinvest shares		10/2/2019	P	\$1,001 - \$15,000	
Coca-Cola Company (KO) [ST] DESCRIPTION: Reinvest shares		12/16/2019	P	\$1,001 - \$15,000	
DFA US Small Cap Value (DFSVX) [MF]		12/17/2019	P	\$1,001 - \$15,000	
Evermore Global Value Inst [MF]		09/19/2019	S	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
Franklin Mutual Shares Fund [MF]		12/20/2019	P	\$15,001 - \$50,000	
Home Depot, Inc. (HD) [ST] DESCRIPTION: Reinvest shares		03/28/2019	P	\$1,001 - \$15,000	
Home Depot, Inc. (HD) [ST] DESCRIPTION: Reinvest shares		09/20/2019	P	\$1,001 - \$15,000	
Home Depot, Inc. (HD) [ST] DESCRIPTION: Reinvest shares		12/19/2019	P	\$1,001 - \$15,000	
International Business Machines Corporation (IBM) [ST] DESCRIPTION: Reinvest shares		03/12/2019	P	\$1,001 - \$15,000	
International Business Machines Corporation (IBM) [ST] DESCRIPTION: Reinvest shares		06/11/2019	P	\$1,001 - \$15,000	
International Business Machines Corporation (IBM) [ST] DESCRIPTION: Reinvest shares		09/11/2019	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
International Business Machines Corporation (IBM) [ST] DESCRIPTION: Reinvest shares		12/10/2019	P	\$1,001 - \$15,000	
Johnson & Johnson (JNJ) [ST] DESCRIPTION: Reinvest shares		06/12/2019	P	\$1,001 - \$15,000	
Kopernik Global All-Cap Fund Class 1 (KGGIX) [MF]		10/29/2019	S	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
Oakmark International (OAKIX) [MF]		12/12/2019	P	\$1,001 - \$15,000	
PPG Industries, Inc. (PPG) [ST] DESCRIPTION: Reinvest shares		03/13/2019	P	\$1,001 - \$15,000	
PPG Industries, Inc. (PPG) [ST] DESCRIPTION: Reinvest		06/13/2019	P	\$1,001 - \$15,000	
PPG Industries, Inc. (PPG) [ST] DESCRIPTION: Reinvest shares		09/13/2019	P	\$1,001 - \$15,000	
PPG Industries, Inc. (PPG) [ST] DESCRIPTION: Reinvest shares		12/12/2019	P	\$1,001 - \$15,000	
Procter & Gamble Company (PG) [ST] DESCRIPTION: Reinvested Shares		02/15/2019	P	\$1,001 - \$15,000	
Procter & Gamble Company (PG) [ST] DESCRIPTION: Reinvest shares		05/16/2019	P	\$1,001 - \$15,000	
Procter & Gamble Company (PG) [ST] DESCRIPTION: Reinvested Shares		08/15/2019	P	\$1,001 - \$15,000	
Procter & Gamble Company (PG) [ST] DESCRIPTION: Reinvest shares		11/15/2019	P	\$1,001 - \$15,000	
RBC Global Opportunities (RGIOX) [MF]		12/19/2019	P	\$25,000,001 - \$50,000,000	
Vanguard Convertible Securities [MF]		02/25/2019	S	\$100,001 - \$250,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard Convertible Securities [MF]		03/18/2019	S	\$250,001 - \$500,000	<input type="checkbox"/>
Vanguard Convertible Securities [MF]		03/4/2019	S	\$250,001 - \$500,000	<input type="checkbox"/>
Vanguard Extended Mkt Index Admiral (VEXAX) [MF]		02/25/2019	P	\$50,001 - \$100,000	
Vanguard Growth Index [MF]		Quarterly	P	\$1,001 - \$15,000	
Vanguard Intermediate-Term Investment-Grade Admiral (VFIDX) [MF]		03/18/2019	P	\$50,001 - \$100,000	
Vanguard Intermediate-Term Investment-Grade Admiral (VFIDX) [MF]		11/11/2019	P	\$50,001 - \$100,000	
Vanguard Long-Term Corp Admiral (VWETX) [MF]		Monthly	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard Long-Term Corp Admiral (VWETX) [MF]		11/12/2019	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Vanguard Ltd Term Tax Exempt (VMLUX) [MF]		02/25/2019	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Vanguard Mid-Cap Value Index (VMVAX) [MF]		02/25/2019	P	\$250,001 - \$500,000	
Vanguard Mid-Cap Value Index (VMVAX) [MF]		03/4/2019	P	\$100,001 - \$250,000	
Vanguard Mid-Cap Value Index (VMVAX) [MF]		07/8/2019	P	\$1,001 - \$15,000	
Vanguard PRIMECAP Fund Admiral [MF]		12/17/2019	P	\$50,001 - \$100,000	
Vanguard Select Value Fund (VASVX) [MF]		12/17/2019	P	\$15,001 - \$50,000	
Vanguard Select Value Fund (VASVX) [MF]		07/29/2019	S	\$50,001 - \$100,000	<input type="checkbox"/>
Vanguard Short Term Bond (VBIRX) [MF]		07/8/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
			(partial)		
Vanguard Short Term Investment-Grade Admiral (VFSUX) [MF]		02/25/2019	P	\$50,001 - \$100,000	
Vanguard Short Term Investment-Grade Admiral (VFSUX) [MF]		03/18/2019	P	\$50,001 - \$100,000	
Vanguard Small-Cap Index Fund (VSMAX) [MF]		12/23/2019	P	\$1,001 - \$15,000	
Vanguard Tax Exempt MM (VMSXX) [MF]		02/25/2019	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard Total Bond Market Index Admiral (VBT LX) [MF]		02/25/2019	P	\$50,001 - \$100,000	
Vanguard Total Bond Market Index Admiral (VBT LX) [MF]		07/8/2019	P	\$50,001 - \$100,000	
Vanguard Total Bond Market Index Admiral (VBT LX) [MF]		07/29/2019	P	\$50,001 - \$100,000	
Vanguard Total Int Stock Index Admiral (VTIAX) [MF]		Quarterly	P	\$1,001 - \$15,000	
Vanguard Total Int Stock Index Admiral (VTIAX) [MF]		02/25/2019	P	\$50,001 - \$100,000	
Vanguard Total Int Stock Index Admiral (VTIAX) [MF]		06/20/2019	P	\$1,001 - \$15,000	
Vanguard Total Int Stock Index Admiral (VTIAX) [MF]		09/23/2019	P	\$1,001 - \$15,000	
Vanguard Total Int Stock Index Admiral (VTIAX) [MF]		12/20/2019	P	\$1,001 - \$15,000	
Vanguard Total International Bond Index Admiral (VTABX) [MF]		02/25/2019	P	\$50,001 - \$100,000	
Vanguard Total International Bond Index Admiral (VTABX) [MF]		07/22/2019	P	\$50,001 - \$100,000	
Vanguard Total Stock Market [MF]		Quarterly	P	\$1,001 - \$15,000	
Vanguard Total Stock Market [MF]		03/6/2019	P	\$15,001 - \$50,000	

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard US Growth (VWUAX) [MF]	02/25/2019	S	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
Vanguard US Growth (VWUAX) [MF]	03/18/2019	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
Vanguard US Growth (VWUAX) [MF]	07/8/2019	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Vanguard US Growth (VWUAX) [MF]	07/22/2019	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Vanguard US Growth (VWUAX) [MF]	11/11/2019	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Vanguard Windsor II Fund [MF]	12/17/2019	P	\$1,001 - \$15,000	
Vanguard Windsor II Fund [MF]	06/14/2019	P	\$1,001 - \$15,000	

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Texas ERS/JRS	State Legislative/Judicial Annuity	\$69,995.00
Education Consultant	Spouse	\$7,000.00
Office of Personnel Management	Spouse Federal Employee Annuity	\$11,796.00
Charles Schwab & Co.	IRA Distribution	\$57,416.00
Thrift Savings Plan	Spouse IRA Distribution	\$8,896.00
Charles Schwab & Co.	Spouse IRA Distribution	\$21,752.00
TIAA Administrative Services	Spouse IRA Distribution	\$10,439.00
Vanguard	IRA Distribution	\$58,610.00

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Prosperity Bank, Austin (formerly Liberty Bank, Austin)	August 2005	Letter of Credit on 6374 Spicewood Springs	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Federal Republic of Germany (MECEA)	05/23/2019	06/6/2019	Baltimore, MD - Germany - Washington, DC	3	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Federal Republic of Germany (MECEA)	11/21/2019	12/3/2019	Washington, DC - Germany - Washington, DC	5	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the

best of my knowledge and belief.

**Digitally Signed:** Hon. Lloyd Doggett , 08/4/2020