



Filing ID #10036646

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Eleanor Holmes Norton  
**Status:** Member  
**State/District:** DC00

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2019  
**Filing Date:** 07/30/2020

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Brighthouse Financial, Inc. (BHF) [ST] DESCRIPTION: Spinoff from Met Life Inc. Common		\$1 - \$1,000	None		<input type="checkbox"/>
Congressional Federal Credit Union [BA]		\$50,001 - \$100,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DC College Savings Plan (2 Accounts) [5P] LOCATION: DC DESCRIPTION: 2 Accounts; 2 Beneficiaries		\$15,001 - \$50,000	None		<input type="checkbox"/>
MERRILL LYNCH #182 ⇒ Bank Deposit Program [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
MERRILL LYNCH #182 ⇒ Invesco S&P 500 Equal Weight ETF (EIF) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MERRILL LYNCH #182 ⇒ Invesco S&P 500 Low Volatility ETF (EIF) [EF]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
MERRILL LYNCH #182 ⇒ JPMorgan Large Cap Growth FD Cl C (EIF) [MF]		\$100,001 - \$250,000	Capital Gains	\$15,001 - \$50,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Dividend Reinvest					
MERRILL LYNCH #182 ⇒ Victoryshares US Large Cap High Div Volatility WTD ETF (EIF) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
MERRILL LYNCH #806 ⇒ Bank Deposit Program [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Berkshire Hathaway Inc. New (BRK.B) [ST]		\$100,001 - \$250,000	None		<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ DC GO BOND 4.0% JUN01 2036 [GS]		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ DC Income Bond 5.0% Dec01 24 [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ DC Rev NPR MAY13 4.0% APR01 2037 [GS]		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ DC Ser A DEC13 5.0% JUN01 2030 [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ District of Columbia Wtr & Swr Ser C 5%Oct01 2039 [GS]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Invesco S&P 500 Equal Weight ETF (EIF) [EF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DESCRIPTION: 50% of Investment Sold					
MERRILL LYNCH #806 ⇒ Invesco S&P 500 Low Volatility ETF (EIF) [EF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Maryland St CDA Dept Hsg-Cmnty 4.0%SEP01 29 [GS]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Miami Beach FL Bond 4.375%SEP01 28 [GS]		None	Interest	\$201 - \$1,000	<input checked="" type="checkbox"/>
MERRILL LYNCH #806 ⇒ Victoryshares US Large Cap High Div Volatility WTD (ETF) (EIF) [EF]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
MERRILL LYNCH IRA ⇒ Bank of America, NA RASP [BA]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ Blackrock Multi Asset Income Portfolio CL A (EIF) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Baron Growth Portfolio Service Class (EIF) [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Global Equity Portfolio (S) (EIF) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Growth and Income Portfolio (S) (EIF) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Intermediate Bond Portfolio (S) (EIF) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ International Index Portfolio (A) (EIF) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Large Cap Growth Portfolio (A) (EIF) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Large Cap Growth Portfolio (S) (EIF) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Retirement Growth (A) (EIF) [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Russell Mid Cap Growth Port (S) (EIF) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ T.Rowe Price International Stock (S) (EIF) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Met Life Insurance Co Deferrred Annuities [OT]		\$1,001 - \$15,000	Tax-Deferred	Not Applicable	<input type="checkbox"/>
DESCRIPTION: IRA-Flexible Purchase Pension Annuity					
MetLife, Inc. (MET) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
ML JOHN HANCOCK ANNUITY ⇒ (Formerly Deutsche) Real Estate Securities (EIF) [MF]		\$15,001 - \$50,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
ML JOHN HANCOCK ANNUITY ⇒ (Formerly Wells Capital Management) Core Bond (EIF) [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
ML JOHN HANCOCK ANNUITY ⇒ JHAM Fundamental Large Cap Value (EIF) [MF]		\$1,001 - \$15,000	None		<input type="checkbox"/>
ML JOHN HANCOCK ANNUITY ⇒ JHAM Managed Volatility Moderate Port (EIF) [MF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
ML JOHN HANCOCK ANNUITY ⇒ JHAM Total Stock Market Index (EIF) [MF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
Synchrony Bank [BA]		\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
TIAA-CREF Retirement-TIAA Traditional (EIF) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
MERRILL LYNCH #182 ⇒ JPMorgan Large Cap Growth FD Cl C (EIF) [MF] DESCRIPTION: Dividend Reinvest		12/16/2019	P	\$15,001 - \$50,000	
MERRILL LYNCH #182 ⇒ Victoryshares US Large Cap High Div Volatility WTD (ETF) (EIF) [EF]		05/1/2019	P	\$15,001 - \$50,000	
MERRILL LYNCH #806 ⇒ Invesco S&P 500 Equal Weight ETF (EIF) [EF] DESCRIPTION: 50% of Investment Sold		05/1/2019	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
MERRILL LYNCH #806 ⇒ Miami Beach FL Bond 4.375%SEP01 28 [GS] DESCRIPTION: Redemption - Par Call		06/13/2019	S	\$15,001 - \$50,000	<input type="checkbox"/>
MERRILL LYNCH #806 ⇒ Victoryshares US Large Cap High Div Volatility WTD (ETF) (EIF) [EF]		05/1/2019	P	\$100,001 - \$250,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
MERRILL LYNCH IRA ⇒ Blackrock Multi Asset Income Portfolio CL A (EIF) [MF]		08/26/2019	P	\$50,001 - \$100,000	
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Baron Growth Portfolio Service Class (EIF) [MF]		06/28/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Baron Growth Portfolio Service Class (EIF) [MF]		12/13/2019	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Global Equity Portfolio (S) (EIF) [MF]		06/28/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Growth and Income Portfolio (S) (EIF) [MF]		06/28/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Intermediate Bond Portfolio (S) (EIF) [MF]		06/28/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ International Index Portfolio (A) (EIF) [MF]		06/28/2019	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Large Cap Growth Portfolio (A) (EIF) [MF]		06/28/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Large Cap Growth Portfolio (S) (EIF) [MF]		06/28/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Retirement Growth (A) (EIF) [MF]		06/28/2019	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Russell Mid Cap Growth Port (S) (EIF) [MF]		06/28/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ Russell Mid Cap Growth Port (S) (EIF) [MF]		12/13/2019	P	\$15,001 - \$50,000	
MERRILL LYNCH IRA ⇒ VOYA/VY ⇒ T.Rowe Price International Stock (S) (EIF) [MF]		06/28/2019	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Merrill Lynch IRA	Required Minimum Distribution	\$37,500.00
MetLife Pension Annuity	Required Minimum Distribution	\$793.00
Pitney Bowes Inc.	Retirement Fee	\$12,000.00

**SCHEDULE D: LIABILITIES**

None disclosed.

**SCHEDULE E: POSITIONS**

Position	Name of Organization
Board of Trustees	Lawyers Committee for Civil Rights Under Law
Advisory Board Member	Sewall-Belmont House
Advisory Board Member	Women & Politics Institute, American University
Board of Trustees - Honorary Member	Antioch College
Advisory Board Member	Women's Law & Public Policy Fellowship Program, Georgetown University
Executive Committee - Honorary Member	Yale Law School Association

**SCHEDULE F: AGREEMENTS**

None disclosed.

**SCHEDULE G: GIFTS**

None disclosed.

**SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Women's Forum	06/16/2019	06/17/2019	Washington, DC - New York City - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Coalition for DC Representation in Congress Education Fund	07/10/2019	07/11/2019	Washington, DC - Philadelphia - Washington, DC	0	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- MERRILL LYNCH #182  
LOCATION: US
- MERRILL LYNCH #806  
LOCATION: US
- MERRILL LYNCH IRA
- MERRILL LYNCH IRA ⇒ VOYA/VY
- ML JOHN HANCOCK ANNUITY  
LOCATION: US

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Eleanor Holmes Norton , 07/30/2020