



Filing ID #10055367

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Adam B. Schiff
Status: Member
State/District: CA30

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2020
Filing Date: 08/11/2023

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|--------------------------|-----------------------------|-----------------------|-------------------------------------|
| AbbVie Inc. (ABBV) [ST] | | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| Fidelity Brokerage ⇒ Fidelity Cash Reserves [BA] | JT | \$1 - \$1,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Franklin Templeton ⇒ Franklin Growth Opportunities Fund Class A (FGRAX) [MF] | JT | \$100,001 - \$250,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Franklin Templeton ⇒ Franklin Mutual Global Discovery Fund Class A (TEDIX) [MF] | JT | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Franklin Templeton ⇒ Franklin Rising Dividends Fund Class A (FRDPX) [MF] | JT | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Franklin Templeton ⇒ Franklin Templeton Mutual Shares Fund Class A (TESIX) [MF] | JT | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Inherited IRA ⇒ T Rowe Price New Horizons (PRNHN) [MF] | SP | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|--------------------------|---------------------|-------------------------------------|
| UBS ⇒ Apple Inc. (AAPL) [ST] | SP | \$100,001 - \$250,000 | Capital Gains, Dividends | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| UBS ⇒ I Shares DJ Tech Sector Ind Fund (IYW) [EF] | SP | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| UBS ⇒ I Shares DJ US Healthcare Sector Ind Fund (IYH) [EF] | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| UBS ⇒ I Shares Trust Core S&P 500 Index (IVV) [EF] | SP | \$100,001 - \$250,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| UBS ⇒ I Shares Trust Global Industrials Index Fund (EXI) [EF] | SP | None | Capital Gains, Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| UBS ⇒ I Shares Trust Russell 2000 Index (IWM) [EF] | SP | \$15,001 - \$50,000 | Capital Gains, Dividends | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| UBS ⇒ Invesco QQQ Trust (QQQ) [EF] | SP | \$100,001 - \$250,000 | Dividends | \$1,001 - \$2,500 | <input type="checkbox"/> |
| UBS ⇒ Pimco Income Fund Class C (PONCX) [EF] | SP | \$50,001 - \$100,000 | Dividends | \$2,501 - \$5,000 | <input checked="" type="checkbox"/> |
| UBS - Individual Retirement Account ⇒ Fidelity Puritan Fund (FPURX) [MF] | SP | \$250,001 - \$500,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS - Individual Retirement Account ⇒ I Shares Trust Core S&P 500 Index (IVV) [EF] | | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS - Individual Retirement Account ⇒ Invesco QQQ Trust (QQQ) [EF] | | \$100,001 - \$250,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS - Individual Retirement Account ⇒ WP Carey Inc REIT (WPC) [RE] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| UBS Bank USA Deposit [BA] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------------|---------------------|-------------------------------------|
| Franklin Templeton ⇒ Franklin Growth Opportunities Fund Class A (FGRAX) [MF] | JT | 12/15/2020 | P | \$1,001 - \$15,000 | |
| Franklin Templeton ⇒ Franklin Mutual Global Discovery Fund Class A (TEDIX) [MF] | JT | 12/18/2020 | P | \$1,001 - \$15,000 | |
| UBS ⇒ Apple Inc. (AAPL) [ST] | SP | 01/2/2020 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| UBS ⇒ Apple Inc. (AAPL) [ST] | SP | 07/6/2020 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| UBS ⇒ I Shares Core S&P 500 ETF (IVV) [EF] | SP | 01/2/2020 | S (partial) | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| UBS ⇒ I Shares MSCI Emerging Markets Fund (EEM) [EF] | SP | 07/6/2020 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS ⇒ I Shares Trust Global Industrials Index Fund (EXI) [EF] | SP | 07/6/2020 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| UBS ⇒ I Shares Trust Russell 2000 Index (IWM) [EF] | SP | 09/3/2020 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| UBS ⇒ I Shares Trust S&P Global Energy Sector Index Fund (IXC) [EF] | SP | 07/6/2020 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| UBS ⇒ PIMCO Income Fund Class C (PONCX) [MF] | SP | 01/2/2020 | S (partial) | \$15,001 - \$50,000 | <input type="checkbox"/> |
| UBS ⇒ PIMCO Income Fund Class C (PONCX) [MF] | SP | 07/6/2020 | S (partial) | \$15,001 - \$50,000 | <input type="checkbox"/> |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount |
|---------------|-----------------------------------|--------|
| T. Rowe Price | Spouse Inherited IRA Distribution | N/A |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|----------------------------|-----------------------|---|-----------------------|
| SP | UBS Financial Services Inc | Various dates in 2020 | Margin loan on portfolio | \$50,001 - \$100,000 |
| | Freedom Mortgage | November 2017 | Mortgage on private residence in Potomac, MD | \$250,001 - \$500,000 |
| JT | Snapfi | November 2020 | Mortgage on personal residence in Burbank, CA | \$100,001 - \$250,000 |
| JT | Provident Funding | December 2011 | Mortgage on private residence in Burbank, CA | \$100,001 - \$250,000 |

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

| Source | Activity | Date | Amount | Charity Name |
|-----------------|------------|-----------|----------|--------------------|
| Bill Maher Show | Appearance | 08/6/2020 | \$850.00 | Project Angel Food |

SCHEDULE A AND B ASSET CLASS DETAILS

- Fidelity Brokerage (Owner: JT)
LOCATION: US
- Franklin Templeton (Owner: JT)
LOCATION: US
- Inherited IRA (Owner: SP)

- UBS (Owner: SP)
LOCATION: US
- UBS - Individual Retirement Account
- UBS - Individual Retirement Account (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Adam B. Schiff , 08/11/2023