

HAND DELIVERED

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UNITED STATES HOUSE OF REPRESENTATIVES

2018 FINANCIAL DISCLOSURE STATEMENT

Form A

For Use by Members, Officers, and Employees

LEGISLATIVE RESOURCE CENTER

2019 AUG - 8 (COMB ID: 18)

Name: Rohit Khanna

Daytime Telephone: 202-225-2631

EXTRA FEE FOR PRACTICING ATTORNEY
Individual who files more than 30 days late.

FILED STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>CA</u> District: <u>17</u>	Officer or Employee	Employing Office:	Staff Filer Type: (If Applicable) <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant
REPORT TYPE	<input checked="" type="checkbox"/> 2018 Annual (Due: May 15, 2019)		<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination:

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
b. Receive more than \$200 in unearned income from any reportable asset during the reporting period? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$390 in value from a single source during the reporting period? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$390 in value from a single source during the reporting period? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance. <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "exempted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: Rohit Khanna

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Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Rohit Khanna

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Use additional sheets if more space is required.

Schedule A: Assets and "Unearned" Income

Name: Rohit Khanna

	BLOCK A	BLOCK B	BLOCK C	BLOCK D	BLOCK E	
SP,DC,JT	Asset	EIF	Value of Asset	Income Type(s)	Amount of Income	P,S,S-Partial,E
SP	Citibank checking		\$1250,001-\$500,000	Interest	\$1-\$200	
SP	Citibank savings		\$100,001-\$250,000	Interest	\$1-\$200	
JT	Citibank checking		\$50,001-\$100,000	Interest	\$1-\$200	
JT	Citibank savings		\$100,001-\$250,000	Interest	\$1-\$200	
DC	Citibank checking		\$50,001-\$100,000	Interest	\$1-\$200	
SP	Pacific Life Insurance Co-Universal Life		\$100,001-\$250,000	None	None	
SP	Ritu Ahuja Rev. Trust: Condo, NY, NY		Spouse/DC Asset over \$1,000,000	Rent	\$15,001-\$50,000	
SP	Ritu Ahuja 1994 Trust & Ritu Ahuja 1995 Trust: Kayne Anderson Mezzanine Partners (QP), L.P.	X	\$1,001-\$15,000	Partnership	\$2,501-\$5,000	
SP	M&R Trust Partnership: Kayne Anderson MLP Fund, L.P. Kayne Anderson Real Estate Partners II (QP), L.P.	X	Spouse/DC Asset over \$1,000,000	Partnership Partnership	\$1,001-\$2,500 \$5,001-\$15,000	P
SP	Kayne Anderson Real Estate Partners III, L.P.	X	\$50,001-\$100,000	Partnership	\$1,001-\$15,000	
SP	Kayne Anderson Real Estate Partners IV, L.P.	X	\$100,001-\$250,000	Partnership	\$15,001-\$50,000	P
SP	Kayne Credit Opportunities Fund (QP), L.P.	X	\$100,001-\$250,000	Partnership	\$15,001-\$50,000	P
SP	Draper Fisher Jurvetson Growth Fund 2006, LP	X	\$250,001-\$500,000	Partnership	\$50,001-\$100,000	
SP	JC Flowers II-B LP & related entities	X	\$15,001-\$50,000	Partnership	None	
SP	The HSH Colinvest (Cayman) Trust-A	X	\$1-\$1,000	Partnership	None	
SP	Resilience Fund II LP	X	\$15,001-\$50,000	Partnership	None	
SP	Resilience Fund IV LP	X	\$100,001-\$250,000	Partnership	None	P

Schedule A: Assets and "Unearned" Income

Name: Rohit Khanna

SP, DC, JT	Asset	BLOCK A	BLOCK B	BLOCK C	BLOCK D	BLOCK E	
		EIF		Value of Asset	Income Type(s)	Amount of Income	Transaction P, S, S-Partial, E
Grandchildren's Trust:							
Ritu Ahuja 1995 Trust:							
SP	ARECO LP:						
SP	MAI Wealth Income and Growth Fund, LP	X		\$500,001-\$1,000,000	Partnership	None	P
DC	MAI Wealth Income and Growth Fund, LP	X		\$50,001-\$100,000	Partnership	\$2,501-\$5,000	P
SP	MAI Capital Income and Growth Fund II, LP	X		\$100,001-\$250,000	Partnership	\$15,001-\$50,000	P
DC	MAI Capital Income and Growth Fund II, LP	X		\$250,001-\$500,000	Partnership	None	P
SP	MAI Capital Income and Growth Fund III, LP	X		\$100,001-\$250,000	Partnership	\$5,001-\$15,000	P
DC	MAI Capital Income and Growth Fund III, LP	X		\$15,001-\$50,000	Partnership	None	P
	Location: Pewaukee, WI 53188						
	Location: Pewaukee, WI 53188						
SP	Park Center Plaza Holdings LP			\$100,001-\$250,000	Partnership	\$1,001-\$2,500	
DC	Park Center Plaza Holdings LP						
	Plaza I, II, III Locations: Independence, OH 44131						
	Plaza I, II, III Locations: Independence, OH 44131						
SP	MAI Wealth Income and Growth Fund IV, LP	X		\$500,001-\$1,000,000	Partnership	\$50,001-\$100,000	P
DC	MAI Wealth Income and Growth Fund IV, LP	X		\$50,001-\$100,000	Partnership	\$2,501-\$5,000	P
SP	MAI Diversified Real Estate Income Fund, LP	X		\$250,001-\$500,000	Partnership	\$2,501-\$5,000	P
DC	MAI Diversified Real Estate Income Fund, LP	X		\$50,001-\$100,000	Partnership	None	P
SP	Areco Golf/Mayfield Sand Ridge, Country Club, Cleveland, OH			\$100,001-\$250,000	Partnership	None	P
DC	Areco Golf/Mayfield Sand Ridge, Country Club, Cleveland, OH			\$15,001-\$50,000	Partnership	None	P

Schedule A: Assets and "Unearned" Income

Name: Rohit Khanna

SP, DC, JT	Asset	BLOCK A	BLOCK B	BLOCK C	BLOCK D	BLOCK E
		EIF	Value of Asset	Income Type(s)	Amount of Income	P, S, S-Partial, E
ARECO LP Commercial Property:						
SP	Location: Cincinnati, OH		\$250,001-\$500,000	Rent (for all properties)	\$100,001-\$1,000,000	
SP	Location: Harahan, LA 70123		\$50,001-\$100,000			
SP	Location: Walton, OH 44146		Spouse/DC Asset over \$1,000,000	Rent (for all properties)	\$15,001-\$50,000	
DC	Location: Cincinnati, OH		\$15,001-\$50,000			
DC	Location: Harahan, LA 70123		\$1,001-\$15,000			
DC	Location: Walton, OH 44146		\$100,001-\$250,000			
Ritu Ahuja 1994 Trust:						
MURA Holdings, LLC:						
SP	MAI Capital Management, LLC		Spouse/DC Asset over \$1,000,000	Partnership	\$100,001-\$1,000,000	
SP	Huntington Bank Checking		\$100,001-\$250,000	None	None	
SP	Convolo Promissory Note		\$15,001-\$50,000	None	None	
Grandchildren's Trust:						
DC	ASK Partners, LLC					
DC	Transstar/Speedstar Holding Co.					
DC	Grandchildren's Trust:					
DC	Transmaxx, LLC					
SP	Ritu Ahuja 1995 Trust:					
SP	ARECO LP		Spouse/DC Asset over \$1,000,000	Partnership	\$100,001-\$1,000,000	P, S-Partial
	See attached MS Areco Schedule					
DC	Grandchildren's Trust:					
DC	ARECO LP					
	See attached MS Areco Schedule					

ARECO Schedule A: Assets and Unearned Income

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	EIF SP, DC, JT	SP	DC	EIF SP
Stock Name		Cash	Cash	Blackrock Liq Municash Inst
Ticker				MCSX
Transaction				
Value of Asset	A: None B: \$1-\$1,000 C: \$1,001-\$15,000 D: \$15,001-\$50,000 E: \$50,001-\$100,000 F: \$100,001-\$250,000 G: \$250,001-\$500,000 H: \$500,001-\$1,000,000 I: \$1,000,001-\$5,000,000 J: \$5,000,001-\$25,000,000 K: \$25,000,001-\$50,000,000 L: Over \$50,000,000 Spouse/DC Asset over \$1,000,000			
Type of Income	None Dividends Rent Interest Capital Gains Excepted/Blind Trust Tax-Deferred Other Type of Income			
Amount of Income: Preceeding Year	A: None B: \$1-\$200 C: \$201-\$1,000 D: \$1,001-\$2,500 E: \$2,501-\$5,000 F: \$5,001-\$15,000 G: \$15,001-\$50,000 H: \$50,001-\$100,000 I: \$100,001-\$1,000,000 J: \$1,000,001-\$5,000,000 K: Over \$5,000,000 Spouse/DC Income over \$1,000,000			
January 1, 2018 - December 31, 2018				

ARECO Schedule A: Assets and Unearned Income

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	EIF	EIF	EIF	EIF
	SP, DC, JT	DC	SP	DC
Stock Name		Blackrock Liq Municash	MSILF Tax Exempt Port	MSILF Tax Exempt Port
Ticker		Inst	Inst	Inst
Transaction		MCSXX	MTXXX	MTXXX
Value of Asset	A: None			
B: \$1-\$1,000				
C: \$1,001-\$15,000				
D: \$15,001-\$50,000				
E: \$50,001-\$100,000				
F: \$100,001-\$250,000				
G: \$250,001-\$500,000				
H: \$500,001-\$1,000,000				
I: \$1,000,001-\$5,000,000				
J: \$5,000,001-\$25,000,000				
K: \$25,000,001-\$50,000,000				
L: Over \$50,000,000				
Spouse/DC Asset over \$1,000,000				
Type of Income				
None				
Dividends				
Rent				
Interest				
Capital Gains				
Excepted/Blind Trust				
Tax-Deferred				
Other Type of Income				
A: None				
B: \$1-\$200				
C: \$201-\$1,000				
D: \$1,001-\$2,500				
E: \$2,501-\$5,000				
F: \$5,001-\$15,000				
G: \$15,001-\$50,000				
H: \$50,001-\$100,000				
I: \$100,001-\$1,000,000				
J: \$1,000,001-\$5,000,000				
K: Over \$5,000,000				
Spouse/DC Income over \$1,000,000				

Amount of Income: Preceeding Year

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ARECO Schedule A: Assets and Unearned Income

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	EIF		EIF	EIF	EIF
	SP, DC, JT		SP	DC	SP
Stock Name		iShares Russell 1000 Growth ETF	iShares Russell 1000 Growth ETF	SPDR S&P Bank ETF	
Ticker		IWF	IWF	KBE	
Transaction					
Value of Asset	A: None				
	B: \$1-\$1,000				
	C: \$1,001-\$15,000				
	D: \$15,001-\$50,000				
	E: \$50,001-\$100,000				
	F: \$100,001-\$250,000				
	G: \$250,001-\$500,000				
	H: \$500,001-\$1,000,000				
	I: \$1,000,001-\$5,000,000				
	J: \$5,000,001-\$25,000,000				
	K: \$25,000,001-\$50,000,000				
	L: Over \$50,000,000				
	Spouse/DC Asset over \$1,000,000				
Type of Income	None				
	Dividends				
	Rent				
	Interest				
	Capital Gains				
	Excepted/Blind Trust				
	Tax-Deferred				
	Other Type of Income				
Amount of Income: Preceeding Year	A: None				
January 1, 2018 - December 31, 2018	B: \$1-\$200				
	C: \$201-\$1,000				
	D: \$1,001-\$2,500				
	E: \$2,501-\$5,000				
	F: \$5,001-\$15,000				
	G: \$15,001-\$50,000				
	H: \$50,001-\$100,000				
	I: \$100,001-\$1,000,000				
	J: \$1,000,001-\$5,000,000				
	K: Over \$5,000,000				
	Spouse/DC Income over \$1,000,000				

ARECO Schedule A: Assets and Unearned Income

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	EIF SP, DC, JT	EIF DC	EIF SP	EIF DC
Stock Name		SPDR S&P Bank ETF	The Financial Sel Sect	The Financial Sel Sect
Ticker		KBE	SPDR Fund	SPDR Fund
Transaction		XLF	XLF	XLF
Value of Asset				
A: None				
B: \$1-\$1,000				
C: \$1,001-\$15,000				
D: \$15,001-\$50,000				
E: \$50,001-\$100,000				
F: \$100,001-\$250,000				
G: \$250,001-\$500,000				
H: \$500,001-\$1,000,000				
I: \$1,000,001-\$5,000,000				
J: \$5,000,001-\$25,000,000				
K: \$25,000,001-\$50,000,000				
L: Over \$50,000,000				
Spouse/DC Asset over \$1,000,000				
Type of Income				
None				
Dividends				
Rent				
Interest				
Capital Gains				
Excepted/Blind Trust				
Tax-Deferred				
Other Type of Income				
A: None				
B: \$1-\$200				
C: \$201-\$1,000				
D: \$1,001-\$2,500				
E: \$2,501-\$5,000				
F: \$5,001-\$15,000				
G: \$15,001-\$50,000				
H: \$50,001-\$100,000				
I: \$100,001-\$1,000,000				
J: \$1,000,001-\$5,000,000				
K: Over \$5,000,000				
Spouse/DC Income over \$1,000,000				

Amount of Income: Preceding Year
January 1, 2018 - December 31, 2018

ARECO Schedule A: Assets and Unearned Income

ELF		SP	DC	SP
SP, DC, JT				
Stock Name		Adobe Inc	Adobe Inc	Allergan PLC SHS
Ticker		ADBE	ADBE	AGN
Transaction				
Value of Asset				
A: None				
B: \$1-\$1,000				
C: \$1,001-\$15,000				
D: \$15,001-\$50,000				
E: \$50,001-\$100,000				
F: \$100,001-\$250,000				
G: \$250,001-\$500,000				
H: \$500,001-\$1,000,000				
I: \$1,000,001-\$5,000,000				
J: \$5,000,001-\$25,000,000				
K: \$25,000,001-\$50,000,000				
L: Over \$50,000,000				
Spouse/DC Asset over \$1,000,000				
Type of Income				
None				
Dividends				
Rent				
Interest				
Capital Gains				
Excepted/Blind Trust				
Tax-Deferred				
Other Type of Income				
A: None				
B: \$1-\$200				
C: \$201-\$1,000				
D: \$1,001-\$2,500				
E: \$2,501-\$5,000				
F: \$5,001-\$15,000				
G: \$15,001-\$50,000				
H: \$50,001-\$100,000				
I: \$100,001-\$1,000,000				
J: \$1,000,001-\$5,000,000				
K: Over \$5,000,000				
Spouse/DC Income over \$1,000,000				

Amount of Income: Preceeding Year
January 1, 2018 - December 31, 2018

ARECO Schedule A: Assets and Unearned Income

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	ElF	SP, DC, JT	DC	SP	DC
Stock Name			Allergan PLC SHS	Alphabet Inc Cl A	Alphabet Inc Cl A
Ticker			AGN	GOOGL	GOOGL
Value of Asset					
A: None					
B: \$1-\$1,000					
C: \$1,001-\$15,000					
D: \$15,001-\$50,000					
E: \$50,001-\$100,000					
F: \$100,001-\$250,000					
G: \$250,001-\$500,000					
H: \$500,001-\$1,000,000					
I: \$1,000,001-\$5,000,000					
J: \$5,000,001-\$25,000,000					
K: \$25,000,001-\$50,000,000					
L: Over \$50,000,000					
Spouse/DC Asset over \$1,000,000					
Type of Income					
None					
Dividends					
Rent					
Interest					
Capital Gains					
Excepted/Blind Trust					
Tax-Deferred					
Other Type of Income					
A: None					
B: \$1-\$200					
C: \$201-\$1,000					
D: \$1,001-\$2,500					
E: \$2,501-\$5,000					
F: \$5,001-\$15,000					
G: \$15,001-\$50,000					
H: \$50,001-\$100,000					
I: \$100,001-\$1,000,000					
J: \$1,000,001-\$5,000,000					
K: Over \$5,000,000					
Spouse/DC Income over \$1,000,000					

ARECO Schedule A: Assets and Unearned Income

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	EIF SP, DC, JT	SP	DC	SP
Stock Name		Amazon Com Inc	Amazon Com Inc	American Tower REIT Com AMT
Ticker		AMZN	AMZN	AMT
Value of Asset				
A: None				
B: \$1-\$1,000				
C: \$1,001-\$15,000				
D: \$15,001-\$50,000				
E: \$50,001-\$100,000				
F: \$100,001-\$250,000				
G: \$250,001-\$500,000				
H: \$500,001-\$1,000,000				
I: \$1,000,001-\$5,000,000				
J: \$5,000,001-\$25,000,000				
K: \$25,000,001-\$50,000,000				
L: Over \$50,000,000				
Spouse/DC Asset over \$1,000,000				
Type of Income				
None				
Dividends				
Rent				
Interest				
Capital Gains				
Excepted/Blind Trust				
Tax-Deferred				
Other Type of Income				
Amount of Income: Preceeding Year				
January 1, 2018 - December 31, 2018				
A: None				
B: \$1-\$200				
C: \$201-\$1,000				
D: \$1,001-\$2,500				
E: \$2,501-\$5,000				
F: \$5,001-\$15,000				
G: \$15,001-\$50,000				
H: \$50,001-\$100,000				
I: \$100,001-\$1,000,000				
J: \$1,000,001-\$5,000,000				
K: Over \$5,000,000				
Spouse/DC Income over \$1,000,000				

ARECO Schedule A: Assets and Unearned Income

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EIF	SP, DC, JT	DC	SP	DC
Stock Name		American Tower REIT Com	Booking Holdings Inc	Booking Holdings Inc
Ticker		AMT	BKNG	BKNG
Value of Asset	Transaction			
A: None				
B: \$1-\$1,000				
C: \$1,001-\$15,000				
D: \$15,001-\$50,000				
E: \$50,001-\$100,000				
F: \$100,001-\$250,000				
G: \$250,001-\$500,000				
H: \$500,001-\$1,000,000				
I: \$1,000,001-\$5,000,000				
J: \$5,000,001-\$25,000,000				
K: \$25,000,001-\$50,000,000				
L: Over \$50,000,000				
Spouse/DC Asset over \$1,000,000				
Type of Income	Type of Income			
None				
Dividends				
Rent				
Interest				
Capital Gains				
Excepted/Blind Trust				
Tax-Deferred				
Other Type of Income				
A: None				
B: \$1-\$200				
C: \$201-\$1,000				
D: \$1,001-\$2,500				
E: \$2,501-\$5,000				
F: \$5,001-\$15,000				
G: \$15,001-\$50,000				
H: \$50,001-\$100,000				
I: \$100,001-\$1,000,000				
J: \$1,000,001-\$5,000,000				
K: Over \$5,000,000				
Spouse/DC Income over \$1,000,000				

Amount of Income: Preceeding Year

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ARECO Schedule A: Assets and Unearned Income

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EIF	SP, DC, JT	SP	DC	SP
Stock Name		Charles Schwab New	Charles Schwab New	CME Group Inc
Ticker		SCHW	SCHW	CME
Value of Asset				
A: None				
B: \$1-\$1,000				
C: \$1,001-\$15,000				
D: \$15,001-\$50,000				
E: \$50,001-\$100,000				
F: \$100,001-\$250,000				
G: \$250,001-\$500,000				
H: \$500,001-\$1,000,000				
I: \$1,000,001-\$5,000,000				
J: \$5,000,001-\$25,000,000				
K: \$25,000,001-\$50,000,000				
L: Over \$50,000,000				
Spouse/DC Asset over \$1,000,000				
Type of Income				
None				
Dividends				
Rent				
Interest				
Capital Gains				
Excepted/Blind Trust				
Tax-Deferred				
Other Type of Income				
A: None				
B: \$1-\$200				
C: \$201-\$1,000				
D: \$1,001-\$2,500				
E: \$2,501-\$5,000				
F: \$5,001-\$15,000				
G: \$15,001-\$50,000				
H: \$50,001-\$100,000				
I: \$100,001-\$1,000,000				
J: \$1,000,001-\$5,000,000				
K: Over \$5,000,000				
Spouse/DC Income over \$1,000,000				

Amount of Income: Preceding Year
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ARECO Schedule A: Assets and Unearned Income

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	EIF			DC	SP	DC
Stock Name	SP, DC, JT			CME Group Inc	Cognizant Tech Solutions Cl A	Cognizant Tech Solutions Cl A
Ticker				CME	CTSH	CTSH
Value of Asset						
A: None						
B: \$1-\$1,000						
C: \$1,001-\$15,000						
D: \$15,001-\$50,000						
E: \$50,001-\$100,000						
F: \$100,001-\$250,000						
G: \$250,001-\$500,000						
H: \$500,001-\$1,000,000						
I: \$1,000,001-\$5,000,000						
J: \$5,000,001-\$25,000,000						
K: \$25,000,001-\$50,000,000						
L: Over \$50,000,000						
Spouse/DC Asset over \$1,000,000						
Type of Income						
None						
Dividends						
Rent						
Interest						
Capital Gains						
Excepted/Blind Trust						
Tax-Deferred						
Other Type of Income						
A: None						
B: \$1-\$200						
C: \$201-\$1,000						
D: \$1,001-\$2,500						
E: \$2,501-\$5,000						
F: \$5,001-\$15,000						
G: \$15,001-\$50,000						
H: \$50,001-\$100,000						
I: \$100,001-\$1,000,000						
J: \$1,000,001-\$5,000,000						
K: Over \$5,000,000						
Spouse/DC Income over \$1,000,000						

Amount of Income: Preceeding Year

January 1, 2018 - December 31, 2018

ARECO Schedule A: Assets and Unearned Income

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Stock Name	SP, DC, JT	SP	DC	SP
Ticker		Ecolab Inc	Ecolab Inc	Equinix Inc Com Par \$0.001
Transaction		ECL	ECL	EQIX
Value of Asset				
A: None				
B: \$1-\$1,000				
C: \$1,001-\$15,000				
D: \$15,001-\$50,000				
E: \$50,001-\$100,000				
F: \$100,001-\$250,000				
G: \$250,001-\$500,000				
H: \$500,001-\$1,000,000				
I: \$1,000,001-\$5,000,000				
J: \$5,000,001-\$25,000,000				
K: \$25,000,001-\$50,000,000				
L: Over \$50,000,000				
Spouse/DC Asset over \$1,000,000				
Type of Income				
None				
Dividends				
Rent				
Interest				
Capital Gains				
Excepted/Blind Trust				
Tax-Deferred				
Other Type of Income				
A: None				
B: \$1-\$200				
C: \$201-\$1,000				
D: \$1,001-\$2,500				
E: \$2,501-\$5,000				
F: \$5,001-\$15,000				
G: \$15,001-\$50,000				
H: \$50,001-\$100,000				
I: \$100,001-\$1,000,000				
J: \$1,000,001-\$5,000,000				
K: Over \$5,000,000				
Spouse/DC Income over \$1,000,000				

ARECO Schedule A: Assets and Unearned Income

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Stock Name	SP, DC, JT	DC	SP	DC
Transaction		Equinix Inc Com Par \$0.001	Estee Lauder Co Inc Cl A	Estee Lauder Co Inc Cl A
Value of Asset		EQIX	EL	EL
A: None				
B: \$1-\$1,000				
C: \$1,001-\$15,000				
D: \$15,001-\$50,000				
E: \$50,001-\$100,000				
F: \$100,001-\$250,000				
G: \$250,001-\$500,000				
H: \$500,001-\$1,000,000				
I: \$1,000,001-\$5,000,000				
J: \$5,000,001-\$25,000,000				
K: \$25,000,001-\$50,000,000				
L: Over \$50,000,000				
Spouse/DC Asset over \$1,000,000				
Type of Income				
None				
Dividends				
Rent				
Interest				
Capital Gains				
Excepted/Blind Trust				
Tax-Deferred				
Other Type of Income				
Amount of Income: Preceeding Year				
January 1, 2018 - December 31, 2018				
A: None				
B: \$1-\$200				
C: \$201-\$1,000				
D: \$1,001-\$2,500				
E: \$2,501-\$5,000				
F: \$5,001-\$15,000				
G: \$15,001-\$50,000				
H: \$50,001-\$100,000				
I: \$100,001-\$1,000,000				
J: \$1,000,001-\$5,000,000				
K: Over \$5,000,000				
Spouse/DC Income over \$1,000,000				

ARECO Schedule A: Assets and Unearned Income

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Rohit Khanna

Stock Name	SP, DC, JT	SP	DC	SP
Transaction		Facebook Inc Cl-A	Facebook Inc Cl-A	IHS Markit Ltd
Value of Asset		FB	FB	INFO
A: None				
B: \$1-\$1,000				
C: \$1,001-\$15,000				
D: \$15,001-\$50,000				
E: \$50,001-\$100,000				
F: \$100,001-\$250,000				
G: \$250,001-\$500,000				
H: \$500,001-\$1,000,000				
I: \$1,000,001-\$5,000,000				
J: \$5,000,001-\$25,000,000				
K: \$25,000,001-\$50,000,000				
L: Over \$50,000,000				
Spouse/DC Asset over \$1,000,000				
Type of Income				
None				
Dividends				
Rent				
Interest				
Capital Gains				
Excepted/Blind Trust				
Tax-Deferred				
Other Type of Income				
A: None				
B: \$1-\$200				
C: \$201-\$1,000				
D: \$1,001-\$2,500				
E: \$2,501-\$5,000				
F: \$5,001-\$15,000				
G: \$15,001-\$50,000				
H: \$50,001-\$100,000				
I: \$100,001-\$1,000,000				
J: \$1,000,001-\$5,000,000				
K: Over \$5,000,000				
Spouse/DC Income over \$1,000,000				

Amount of Income: Preceeding Year

January 1, 2018 - December 31, 2018

ARECO Schedule A: Assets and Unearned Income

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Rohit Khanna

EIF	SP, DC, JT	DC	SP	DC
Stock Name		IHS Markit Ltd	Illumina Inc	Illumina Inc
Ticker		INFO	ILMN	ILMN
Value of Asset				
A: None				
B: \$1-\$1,000				
C: \$1,001-\$15,000				
D: \$15,001-\$50,000				
E: \$50,001-\$100,000				
F: \$100,001-\$250,000				
G: \$250,001-\$500,000				
H: \$500,001-\$1,000,000				
I: \$1,000,001-\$5,000,000				
J: \$5,000,001-\$25,000,000				
K: \$25,000,001-\$50,000,000				
L: Over \$50,000,000				
Spouse/DC Asset over \$1,000,000				
Type of Income				
None				
Dividends				
Rent				
Interest				
Capital Gains				
Excepted/Blind Trust				
Tax-Deferred				
Other Type of Income				
A: None				
B: \$1-\$200				
C: \$201-\$1,000				
D: \$1,001-\$2,500				
E: \$2,501-\$5,000				
F: \$5,001-\$15,000				
G: \$15,001-\$50,000				
H: \$50,001-\$100,000				
I: \$100,001-\$1,000,000				
J: \$1,000,001-\$5,000,000				
K: Over \$5,000,000				
Spouse/DC Income over \$1,000,000				

ARECO Schedule A: Assets and Unearned Income

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Rohit Khanna

Stock Name	SP, DC, JT	SP	DC	SP
Ticker		ISRG	ISRG	NFLX
Transaction				
Value of Asset				
A: None				
B: \$1-\$1,000				
C: \$1,001-\$15,000				
D: \$15,001-\$50,000				
E: \$50,001-\$100,000				
F: \$100,001-\$250,000				
G: \$250,001-\$500,000				
H: \$500,001-\$1,000,000				
I: \$1,000,001-\$5,000,000				
J: \$5,000,001-\$25,000,000				
K: \$25,000,001-\$50,000,000				
L: Over \$50,000,000				
Spouse/DC Asset over \$1,000,000				
Type of Income				
None				
Dividends				
Rent				
Interest				
Capital Gains				
Excepted/Blind Trust				
Tax-Deferred				
Other Type of Income				
Amount of Income: Preceeding Year				
January 1, 2018 - December 31, 2018				
A: None				
B: \$1-\$200				
C: \$201-\$1,000				
D: \$1,001-\$2,500				
E: \$2,501-\$5,000				
F: \$5,001-\$15,000				
G: \$15,001-\$50,000				
H: \$50,001-\$100,000				
I: \$100,001-\$1,000,000				
J: \$1,000,001-\$5,000,000				
K: Over \$5,000,000				
Spouse/DC Income over \$1,000,000				

ARECO Schedule A: Assets and Unearned Income

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EIF	DC	SP	DC
SP, DC, JT			
Stock Name	Netflix Inc	Nike Inc B	Nike Inc B
Ticker	NFLX	NIKE	NKE
Transaction			
Value of Asset			
A: None			
B: \$1-\$1,000			
C: \$1,001-\$15,000			
D: \$15,001-\$50,000			
E: \$50,001-\$100,000			
F: \$100,001-\$250,000			
G: \$250,001-\$500,000			
H: \$500,001-\$1,000,000			
I: \$1,000,001-\$5,000,000			
J: \$5,000,001-\$25,000,000			
K: \$25,000,001-\$50,000,000			
L: Over \$50,000,000			
Spouse/DC Asset over \$1,000,000			
Type of Income			
None			
Dividends			
Rent			
Interest			
Capital Gains			
Excepted/Blind Trust			
Tax-Deferred			
Other Type of Income			
A: None			
B: \$1-\$200			
C: \$201-\$1,000			
D: \$1,001-\$2,500			
E: \$2,501-\$5,000			
F: \$5,001-\$15,000			
G: \$15,001-\$50,000			
H: \$50,001-\$100,000			
I: \$100,001-\$1,000,000			
J: \$1,000,001-\$5,000,000			
K: Over \$5,000,000			
Spouse/DC Income over \$1,000,000			

Amount of Income: Preceeding Year

January 1, 2018 - December 31, 2018

ARECO Schedule A: Assets and Unearned Income

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Rohit Khanna

EIF	SP, DC, JT	SP	DC	SP
Stock Name		Nvidia Corporation	Nvidia Corporation	Paypal Holdings Inc Com
Ticker		NVDA	NVDA	PYPL
Transaction				
Value of Asset				
A: None				
B: \$1-\$1,000				
C: \$1,001-\$15,000				
D: \$15,001-\$50,000				
E: \$50,001-\$100,000				
F: \$100,001-\$250,000				
G: \$250,001-\$500,000				
H: \$500,001-\$1,000,000				
I: \$1,000,001-\$5,000,000				
J: \$5,000,001-\$25,000,000				
K: \$25,000,001-\$50,000,000				
L: Over \$50,000,000				
Spouse/DC Asset over \$1,000,000				
Type of Income				
None				
Dividends				
Rent				
Interest				
Capital Gains				
Excepted/Blind Trust				
Tax-Deferred				
Other Type of Income				
A: None				
B: \$1-\$200				
C: \$201-\$1,000				
D: \$1,001-\$2,500				
E: \$2,501-\$5,000				
F: \$5,001-\$15,000				
G: \$15,001-\$50,000				
H: \$50,001-\$100,000				
I: \$100,001-\$1,000,000				
J: \$1,000,001-\$5,000,000				
K: Over \$5,000,000				
Spouse/DC Income over \$1,000,000				

Amount of Income: Preceding Year

January 1, 2018 - December 31, 2018

ARECO Schedule A: Assets and Unearned Income

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Rohit Khanna

	EIF SP, DC, JT	DC	SP	DC
Stock Name		Paypal Holdings Inc Com	S&P Global Inc Com	S&P Global Inc Com
Ticker		PYPL	SPGI	SPGI
Value of Asset				
A: None				
B: \$1-\$1,000				
C: \$1,001-\$15,000				
D: \$15,001-\$50,000				
E: \$50,001-\$100,000				
F: \$100,001-\$250,000				
G: \$250,001-\$500,000				
H: \$500,001-\$1,000,000				
I: \$1,000,001-\$5,000,000				
J: \$5,000,001-\$25,000,000				
K: \$25,000,001-\$50,000,000				
L: Over \$50,000,000				
Spouse/DC Asset over \$1,000,000				
Type of Income				
None				
Dividends				
Rent				
Interest				
Capital Gains				
Excepted/Blind Trust				
Tax-Deferred				
Other Type of Income				
Amount of Income: Preceeding Year				
January 1, 2018 - December 31, 2018				
A: None				
B: \$1-\$200				
C: \$201-\$1,000				
D: \$1,001-\$2,500				
E: \$2,501-\$5,000				
F: \$5,001-\$15,000				
G: \$15,001-\$50,000				
H: \$50,001-\$100,000				
I: \$100,001-\$1,000,000				
J: \$1,000,001-\$5,000,000				
K: Over \$5,000,000				
Spouse/DC Income over \$1,000,000				

ARECO Schedule A: Assets and Unearned Income

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	EIF	SP	DC	EIF
Stock Name	SP, DC, JT			SP
Ticker		V	V	Vanguard Dividend Appreciation ETF
Value of Asset				VIG
A: None				
B: \$1-\$1,000				
C: \$1,001-\$15,000				
D: \$15,001-\$50,000				
E: \$50,001-\$100,000				
F: \$100,001-\$250,000				
G: \$250,001-\$500,000				
H: \$500,001-\$1,000,000				
I: \$1,000,001-\$5,000,000				
J: \$5,000,001-\$25,000,000				
K: \$25,000,001-\$50,000,000				
L: Over \$50,000,000				
Spouse/DC Asset over \$1,000,000				
Type of Income				
None				
Dividends				
Rent				
Interest				
Capital Gains				
Excepted/Blind Trust				
Tax-Deferred				
Other Type of Income				
A: None				
B: \$1-\$200				
C: \$201-\$1,000				
D: \$1,001-\$2,500				
E: \$2,501-\$5,000				
F: \$5,001-\$15,000				
G: \$15,001-\$50,000				
H: \$50,001-\$100,000				
I: \$100,001-\$1,000,000				
J: \$1,000,001-\$5,000,000				
K: Over \$5,000,000				
Spouse/DC Income over \$1,000,000				

Amount of Income: Preceeding Year

January 1, 2018 - December 31, 2018

ARECO Schedule A: Assets and Unearned Income

Stock Name	SP, DC, JT	EIF	DC
Ticker		Vanguard Dividend Appreciation ETF	VG
Transaction			
Value of Asset			
A: None			
B: \$1-\$1,000			
C: \$1,001-\$15,000			
D: \$15,001-\$50,000			
E: \$50,001-\$100,000			
F: \$100,001-\$250,000			
G: \$250,001-\$500,000			
H: \$500,001-\$1,000,000			
I: \$1,000,001-\$5,000,000			
J: \$5,000,001-\$25,000,000			
K: \$25,000,001-\$50,000,000			
L: Over \$50,000,000			
Spouse/DC Asset over \$1,000,000			
Type of Income			
None			
Dividends			
Rent			
Interest			
Capital Gains			
Excepted/Blind Trust			
Tax-Deferred			
Other Type of Income			
A: None			
B: \$1-\$200			
C: \$201-\$1,000			
D: \$1,001-\$2,500			
E: \$2,501-\$5,000			
F: \$5,001-\$15,000			
G: \$15,001-\$50,000			
H: \$50,001-\$100,000			
I: \$100,001-\$1,000,000			
J: \$1,000,001-\$5,000,000			
K: Over \$5,000,000			
Spouse/DC Income over \$1,000,000			
Amount of Income: Preceeding Year			
January 1, 2018 - December 31, 2018			

M&R Schedule A: Assets and Unearned Income

EIF SP, DC, JT	SP	EIF SP	SP	SP
		Riverview Strategic Opportunities I Onshore BAQ57	Adobe Inc ADBE	Alliance Data Systems Corp ADS
Stock Name Ticker Transaction		Cash		
Value of Asset				
A: None				
B: \$1-\$1,000				
C: \$1,001-\$15,000				
D: \$15,001-\$50,000				
E: \$50,001-\$100,000				
F: \$100,001-\$250,000				
G: \$250,001-\$500,000				
H: \$500,001-\$1,000,000				
I: \$1,000,001-\$5,000,000				
J: \$5,000,001-\$25,000,000				
K: \$25,000,001-\$50,000,000				
L: Over \$50,000,000				
Spouse/DC Asset over \$1,000,000				
Type of Income				
None				
Dividends				
Rent				
Interest				
Capital Gains				
Excepted/Blind Trust				
Tax-Deferred				
Other Type of Income				
Amount of Income: Preceding Year January 1, 2018 - December 31, 2018				
A: None				
B: \$1-\$200				
C: \$201-\$1,000				
D: \$1,001-\$2,500				
E: \$2,501-\$5,000				
F: \$5,001-\$15,000				
G: \$15,001-\$50,000				
H: \$50,001-\$100,000				
I: \$100,001-\$1,000,000				
J: \$1,000,001-\$5,000,000				
K: Over \$5,000,000				
Spouse/DC Income over \$1,000,000				

M&R Schedule A: Assets and Unearned Income

EIF	SP	SP	SP	SP
SP, DC, JT				
Stock Name	Allergan PLC SHS	Alphabet Inc CLA	Amazon Com Inc	American Tower REIT
Ticker	AGN	GOOGL	AMZN	AMT
Transaction				
Value of Asset				
A: None				
B: \$1-\$1,000				
C: \$1,001-\$15,000				
D: \$15,001-\$50,000				
E: \$50,001-\$100,000				
F: \$100,001-\$250,000				
G: \$250,001-\$500,000				
H: \$500,001-\$1,000,000				
I: \$1,000,001-\$5,000,000				
J: \$5,000,001-\$25,000,000				
K: \$25,000,001-\$50,000,000				
L: Over \$50,000,000				
Spouse/DC Asset over \$1,000,000				
Type of Income				
None				
Dividends				
Rent				
Interest				
Capital Gains				
Exceptional/Blind Trust				
Tax-Deferred				
Other Type of Income				
A: None				
B: \$1-\$200				
C: \$201-\$1,000				
D: \$1,001-\$2,500				
E: \$2,501-\$5,000				
F: \$5,001-\$15,000				
G: \$15,001-\$50,000				
H: \$50,001-\$100,000				
I: \$100,001-\$1,000,000				
J: \$1,000,001-\$5,000,000				
K: Over \$5,000,000				
Spouse/DC Income over \$1,000,000				

M&R Schedule A: Assets and Unearned Income

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Rohit Khanna

	EIF	SP	SP	SP	SP
Stock Name					
Ticker					
Transaction					
Value of Asset					
A: None					
B: \$1-\$1,000					
C: \$1,001-\$15,000					
D: \$15,001-\$50,000					
E: \$50,001-\$100,000					
F: \$100,001-\$250,000					
G: \$250,001-\$500,000					
H: \$500,001-\$1,000,000					
I: \$1,000,001-\$5,000,000					
J: \$5,000,001-\$25,000,000					
K: \$25,000,001-\$50,000,000					
L: Over \$50,000,000					
Spouse/DC Asset over \$1,000,000					
Type of Income					
None					
Dividends					
Rent					
Interest					
Capital Gains					
Excepted/Blind Trust					
Tax-Deferred					
Other Type of Income					
Amount of Income: Preceding Year					
January 1, 2018 - December 31, 2018					
C: \$201-\$1,000					
D: \$1,001-\$2,500					
E: \$2,501-\$5,000					
F: \$5,001-\$15,000					
G: \$15,001-\$50,000					
H: \$50,001-\$100,000					
I: \$100,001-\$1,000,000					
J: \$1,000,001-\$5,000,000					
K: Over \$5,000,000					
Spouse/DC Income over \$1,000,000					

M&R Schedule A: Assets and Unearned Income

EIF SP, DC, JT	SP	SP	SP	SP
Stock Name	Facebook Inc Cl-A FB	IHS Markit LTD INFO	Illumina Inc ILMN	Intuitive Surgical Inc ISRG
Ticker				
Transaction Value of Asset:				
A: None				
B: \$1-\$1,000				
C: \$1,001-\$15,000				
D: \$15,001-\$50,000				
E: \$50,001-\$100,000				
F: \$100,001-\$250,000				
G: \$250,001-\$500,000				
H: \$500,001-\$1,000,000				
I: \$1,000,001-\$5,000,000				
J: \$5,000,001-\$25,000,000				
K: \$25,000,001-\$50,000,000				
L: Over \$50,000,000				
Spouse/DC Asset over \$1,000,000				
Type of Income	None			
Dividends				
Rent				
Interest				
Capital Gains				
Excepted/Blind Trust				
Tax-Deferred				
Other Type of Income				
Amount of Income: Preceding Year				
January 1, 2018 - December 31, 2018				
A: None				
B: \$1-\$200				
C: \$201-\$1,000				
D: \$1,001-\$2,500				
E: \$2,501-\$5,000				
F: \$5,001-\$15,000				
G: \$15,001-\$50,000				
H: \$50,001-\$100,000				
I: \$100,001-\$1,000,000				
J: \$1,000,001-\$5,000,000				
K: Over \$5,000,000				
Spouse/DC Income over \$1,000,000				

M&R Schedule A: Assets and Unearned Income

	EIF SP, DC, JT	SP	SP	SP	SP
Stock Name		Netflix Inc	Nike Inc B	Nvidia Corporation	Paypal Holdings Inc Com
Ticker		NFLX	NKE	NVDA	PYPL
Transaction					
Value of Asset					
A: None					
B: \$1-\$1,000					
C: \$1,001-\$15,000					
D: \$15,001-\$50,000					
E: \$50,001-\$100,000					
F: \$100,001-\$250,000					
G: \$250,001-\$500,000					
H: \$500,001-\$1,000,000					
I: \$1,000,001-\$5,000,000					
J: \$5,000,001-\$25,000,000					
K: \$25,000,001-\$50,000,000					
L: Over \$50,000,000					
Spouse/DC Asset over \$1,000,000					
Type of Income					
None					
Dividends					
Rent					
Interest					
Capital Gains					
Excepted/Blind Trust					
Tax-Deferred					
Other Type of Income					
A: None					
B: \$1-\$200					
C: \$201-\$1,000					
D: \$1,001-\$2,500					
E: \$2,501-\$5,000					
F: \$5,001-\$15,000					
G: \$15,001-\$50,000					
H: \$50,001-\$100,000					
I: \$100,001-\$1,000,000					
J: \$1,000,001-\$5,000,000					
K: Over \$5,000,000					
Spouse/DC Income over \$1,000,000					

M&R Schedule A: Assets and Unearned Income

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	Elif	SP, DC, JT	SP	SP	SP	SP
Stock Name		Priceline Grp Inc Com New	S&P Global Inc Com SPGI	Visa Inc Cl A V	Box, Inc. Cl A BOX	
Ticker		PCLN				
Transaction						
Value of Asset						
A: None						
B: \$1-\$1,000						
C: \$1,001-\$15,000						
D: \$15,001-\$50,000						
E: \$50,001-\$100,000						
F: \$100,001-\$250,000						
G: \$250,001-\$500,000						
H: \$500,001-\$1,000,000						
I: \$1,000,001-\$5,000,000						
J: \$5,000,001-\$25,000,000						
K: \$25,000,001-\$50,000,000						
L: Over \$50,000,000						
Spouse/DC Asset over \$1,000,000						
Type of Income						
Name						
Dividends						
Rent						
Interest						
Capital Gains						
Excepted/Blind Trust						
Tax-Deferred						
Other Type of Income						
Amount of Income: Preceding Year						
January 1, 2018 - December 31, 2018						
A: None						
B: \$1-\$200						
C: \$201-\$1,000						
D: \$1,001-\$2,500						
E: \$2,501-\$5,000						
F: \$5,001-\$15,000						
G: \$15,001-\$50,000						
H: \$50,001-\$100,000						
I: \$100,001-\$1,000,000						
J: \$1,000,001-\$5,000,000						
K: Over \$5,000,000						
Spouse/DC Income over \$1,000,000						

M&R Schedule A: Assets and Unearned Income

	EIF		EIF	EIF	EIF	
	SP, DC, JT		SP	SP	SP	SP
Stock Name		CPI Asia Onshore - Class B	CVCI Cayman TRG II Onshore	Powershares Variable Rate ETF	MS Trigger PLUS Based on the Value of the EURO STOXX Banks Index due Nov 5, 2019	SPWQR
Ticker		All51	All14	VRIIG		
Transaction Value of Asset						
A: None						
B: \$1-\$1,000						
C: \$1,001-\$15,000						
D: \$15,001-\$50,000						
E: \$50,001-\$100,000						
F: \$100,001-\$250,000						
G: \$250,001-\$500,000						
H: \$500,001-\$1,000,000						
I: \$1,000,001-\$5,000,000						
J: \$5,000,001-\$25,000,000						
K: \$25,000,001-\$50,000,000						
L: Over \$50,000,000						
Spouse/DC Asset over \$1,000,000						
Type of Income						
None						
Dividends						
Rent						
Interest						
Capital Gains	-					
Excepted/Blind Trust						
Tax-Deferred						
Other Type of Income						
Amount of Income: Preceding Year						
January 1, 2018 - December 31, 2018						
B: \$1-\$200						
C: \$201-\$1,000						
D: \$1,001-\$2,500						
E: \$2,501-\$5,000						
F: \$5,001-\$15,000						
G: \$15,001-\$50,000						
H: \$50,001-\$100,000						
I: \$100,001-\$1,000,000						
J: \$1,000,001-\$50,000,000						
K: Over \$50,000,000						
Spouse/DC Income over \$1,000,000						

M&R Schedule A: Assets and Unearned Income

	EIF			SP	EIF	SP	SP	EIF	SP
Stock Name									
Ticker									
Value of Asset									
A: None									
B: \$1-\$1,000									
C: \$1,001-\$15,000									
D: \$15,001-\$50,000									
E: \$50,001-\$100,000									
F: \$100,001-\$250,000									
G: \$250,001-\$500,000									
H: \$500,001-\$1,000,000									
I: \$1,000,001-\$5,000,000									
J: \$5,000,001-\$25,000,000									
K: \$25,000,001-\$50,000,000									
L: Over \$50,000,000									
Spouse/DC Asset over \$1,000,000									
Type of Income									
None									
Dividends									
Rent									
Interest									
Capital Gains									
Excepted/Blind Trust									
Tax-Deferred									
Other Type of Income									
A: None									
B: \$1-\$200									
C: \$201-\$1,000									
D: \$1,001-\$2,500									
E: \$2,501-\$5,000									
F: \$5,001-\$15,000									
G: \$15,001-\$50,000									
H: \$50,001-\$100,000									
I: \$100,001-\$1,000,000									
J: \$1,000,001-\$5,000,000									
K: Over \$5,000,000									
Spouse/DC Income over \$1,000,000									

Amount of Income: Preceding Year
January 1, 2018 - December 31, 2018

M&R Schedule A: Assets and Unearned Income

	EIF SP, DC, JT		EIF SP	EIF SP	SP
Stock Name Ticker		S&P 500 ETF Trust SPY	Vanguard Dividend Appreciation ETF VIG	MS Auto-Callable Buffered Jump Based on the Value of the EURO STOXX 50 Index due Sept. 4, 2023 SPZJ	
Transaction					
Value of Asset					
A: None					
B: \$1-\$1,000					
C: \$1,001-\$15,000					
D: \$15,001-\$50,000					
E: \$50,001-\$100,000					
F: \$100,001-\$250,000					
G: \$250,001-\$500,000					
H: \$500,001-\$1,000,000					
I: \$1,000,001-\$5,000,000					
J: \$5,000,001-\$25,000,000					
K: \$25,000,001-\$50,000,000					
L: Over \$50,000,000					
Spouse/DC Asset over \$1,000,000					
None					
Dividends					
Rent					
Interest					
Capital Gains					
Exceptional/Blind Trust					
Tax-Deferred					
Other Type of Income					
A: None					
B: \$1-\$200					
C: \$201-\$1,000					
D: \$1,001-\$2,500					
E: \$2,501-\$5,000					
F: \$5,001-\$15,000					
G: \$15,001-\$50,000					
H: \$50,001-\$100,000					
I: \$100,001-\$1,000,000					
J: \$1,000,001-\$5,000,000					
K: Over \$5,000,000					
Spouse/DC Income over \$1,000,000					

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

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S/P/DC/DT	Asset Name	E/F	Amount of Income	Transaction Summary			Spouse / DC Income over Income over 100,000
				P	PS	FS	
SP	3M COMPANY CMN						
SP	A.O. SMITH CORP (DEL) CMN	X	X	X	X	X	
SP	ABBOTT LABORATORIES CMN						
SP	ABBVIE INC CMN	X					
SP	ABIOMED, INC. CMN						
SP	ACADIA HEALTHCARE COMPANY INC CMN						
SP	ACADIA PHARMACEUTICALS, INC. CMN	X					
SP	ACCENTURE PLC CMN CLASS A						
SP	ACTIVISION BLIZZARD, INC CMN	X	X				
SP	ACQUITY BRANDS, INC. CMN	X					
SP	ADIENT PLC CMN						
SP	ADOBE INC CMN	X					
SP	ADVANCE AUTO PARTS, INC. CMN						
SP	ADVANCED MICRO DEVICES, INC. CMN	X					
SP	AECOM CMN	X					
SP	AEROCAP HOLDINGS NV (ORD) CMN	X					
SP	AES CORP. CMN	X					
SP	AETNA INC. CMN						
SP	AFLAC INCORPORATED CMN						
SP	AGCO CORPORATION CMN	X					
SP	AGILENT TECHNOLOGIES, INC. CMN	X					
SP	AGIOS PHARMACEUTICALS, INC. CMN						
SP	AIR LEASE CORPORATION CMN						
SP	AIR PRODUCTS & CHEMICALS INC CMN	X					
SP	AKAMAI TECHNOLOGIES, INC. CMN	X					
SP	AKORN, INC. CMN	X					
SP	ALASKA AIR GROUP INC(DEL HLDGS) CMN						
SP	ALBERMARLE CORP CMN						
SP	ALCOA CORPORATION CMN	X					
SP	ALEXANDRIA REAL ESTATE EQUITIES, INC.	X					
SP	ALEXION PHARMACEUTICALS, INC. CMN	X					
SP	ALIGN TECHNOLOGY, INC CMN	X					
SP	ALKERMES PLC CMN	X					
SP	ALLEGION PUBLIC LIMITED COMPANY CMN	X					
SP	ALLIANCE DATA SYSTEMS CORPORAT CMN	X					
SP	ALLSTATE CORPORATION COMMON STOCK	X					
SP	ALLYLMAM PHARMACEUTICALS, INC. CMN						
SP	ALPHABET INC. CMN CLASS A	X					
SP	ALLERGAN PUBLIC LIMITED COMPANY CMN	X					
SP	ALLIANCE DATA SYSTEMS CORPORAT CMN	X					
SP	ALLSTATE CORPORATION COMMON STOCK	X					
SP	ALTURA GROUP, INC. CMN	X					
SP	AMAZON.COM INC CMN	X					
SP	AMC NETWORKS INC. CMN	X					
SP	AMEREN CORPORATION CMN	X					
SP	AMERICAN AIRLINES GROUP INC CMN	X					
SP	AMERICAN ELECTRIC POWER INC CMN	X					

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

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SP/DC/JT	Asset Name:	Elif	Amount of Income	Transaction Summary			Spouse / DC Income over 1000000
				P	PS	FS	
SP	AMERICAN EXPRESS CO. CMN			X			
SP	AMERICAN INT'L GROUP, INC. CMN			X			
SP	AMERICAN TOWER CORPORATION CMN			X			
SP	AMERICAN WATER WORKS CO. INC. CMN			X			
SP	AMERICUS FINANCIAL, INC. CMN			X			
SP	AMERISOURCEBERGER CORPORATION CMN			X			
SP	AMETEK INC (NEW) CMN			X			
SP	AMGEN INC. CMN			X			
SP	AMPHENIC CORP CL-A (NEW) CMN CLASS A			X			
SP	ANADARKO PETROLEUM CORP CMN			X			
SP	ANALOG DEVICES, INC. CMN			X			
SP	ANCHORAGE ALASKA WTR REV 5,0000% 05/10/19 NAN						
SP	WTR REV REG BDS 2009 BEC			X			
SP	ANDOVER CMN			X			
SP	ANIMAL CAPITAL MANAGEMENT, INC CMN			X			
SP	ANSYS, INC. CMN			X			
SP	ANTERO RESOURCES CORPORATION CMN			X			
SP	ANTHEM, INC. CMN			X			
SP	AON PLC CMN			X			
SP	APACHE CORP. CMN			X			
SP	APARTMENT INVT & MGMT CO CL-A CMN CLASS A			X			
SP	APERGY CORP CMN			X			
SP	APPLE INC. CMN			X			
SP	APPLIED MATERIALS INC CMN			X			
SP	APTV PLC CMN			X			
SP	ARAMARK CMN			X			
SP	ARCH CAPITAL GROUP LTD. CMN			X			
SP	ARCHER+DANIELS+MIDLAND COMPANY CMN			X			
SP	ARCONIC INC CMN			X			
SP	ARISTA NETWORKS, INC. CMN			X			
SP	ARKANSAS ST DB 5% 30/01/19 AD FED HWY GRANT ANTIC TAX			X			
SP	ARLINGTON TEK IND PT SCH DEBT GO 5.0000% 02/15/19 FA SCH			X			
SP	ARROW ELECTRONICS INC. CMN			X			
SP	ASPEN INSURANCE HOLDINGS LTD CMN			X			
SP	AT&T INC. CMN			X			
SP	ATLANTA GA WTR & WASTE WTR REV 5,2500% 11/01/34			X			
SP	MN PREFERUNDED-SER B PPE 11/4/19 IHS BO OD SR LEN			X			
SP	ATLASSIAN CORPORATION PLC CMN CLASS A			X			
SP	ATMOS ENERGY CORPORATION CMN			X			
SP	AUTODESK, INC. CMN			X			
SP	AUTOMATIC DATA PROCESSING INC CMN			X			
SP	AUTOZONE, INC. CMN			X			
SP	AVALONBAY COMMUNITIES INC CMN			X			
SP	AVERY DENNISON CORPORATION CMN			X			
SP	AVNET INC. CMN			X			
SP	AWALTA COATING SYSTEMS LTD. CMN			X			
SP	AXIS CAPITAL HOLDINGS LIMITED CMN			X			
SP	BAKER HUGHES, A GE COMPANY CMN			X			

Schedule A: Assets and Unearned Income

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Schedule A: Assets and Unearned Income

SP/PCIT	Asset Name	EIR	Type of Income					Capital Gains	Excepted / Tax Deferred	Partnership Income
			None	Dividends	Rent	Interest				
SP	BALL CORPORATION CMN		X					X		
SP	BANK OF AMERICA CORP CMN		X	X				X		
SP	BANK OZK CMN		X	X				X		
SP	BANKUNITED INC CMN		X	X				X		
SP	BARCLAYS PLC-AMER DEP SHS ADR CMN		X	X				X		
SP	BARD C R INC NJ CMN									
SP	BAXTER INTERNATIONAL INC CMN		X	X	X	X		X		
SP	BBBT CORPORATION CMN							X		
SP	BECTON, DICKINSON AND COMPANY CMN		X	X	X	X		X		
SP	BERKSHIRE HATHAWAY INC CLASS B							X		
SP	BERRY GLOBAL GROUP INC CMN		X	X	X	X		X		
SP	BEST BUY CO INC CMN									
SP	BGC PARTNERS, INC CMN CLASS A									
SP	BIOPHARMA INC CMN									
SP	BIOMARIN PHARMACEUTICAL INC CMN									
SP	BLACKROCK INC CMN									
SP	BLUEBIRD BIO INC CMN									
SP	BOEING COMPANY CMN									
SP	BOOKING HOLDINGS INC. CMN									
SP	BORGWARNER INC. CMN									
SP	BOSTON PROPERTIES, INC. COMMON STOCK									
SP	BRIGHTHOUSE FINANCIAL, INC. CMN									
SP	BRISTOL-MYERS SQUIBB COMPANY CMN									
SP	BROADCOM INC. CMN									
SP	BROOKFIELD PROPERTY REIT INC. CMN									
SP	BROWN FORMAN CORP CL B CMN CLASS B									
SP	BRUKER CORPORATION CMN		X	X	X	X		X		
SP	BUTLER CNTY OHIO GO 4% 12/01/19 JD GOLD TAX VAR PURP		X							
SP	REF BIDS 2017 LT BEG									
SP	BWX TECHNOLOGIES, INC. CMN									
SP	C.H. ROBINSON WORLDWIDE, INC. CMN									
SP	CAMPBELL SOUP CO CMN									
SP	CAPITAL ONE FINANCIAL CORP CMN									
SP	CAPRI HOLDINGS LIMITED CMN									
SP	CARDINAL HEALTH, INC. CMN									
SP	CARLISLE COS INC CMN									
SP	CARNIVAL, INC. CMN		X	X	X	X		X		
SP	CARNIVAL CORPORATION CMN									
SP	CATERPILLAR INC (DELAWARE) CMN									
SP	CBRE GLOBAL MARKETS, INC CMN									
SP	CBRE GROUP, INC. CMN CLASS A							X		
SP	CBS CORPORATION CMN CLASS B							X		
SP	CDW CORPORATION CMN		X							

Schedule A: Assets and Unearned Income

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SP/DC/JT Asset Name	ElF Amount of Income	Transaction Summary			Spouse / DC Income over Income over 10000000 100000000 1000000000
		P	PS	FS	
SP BALL CORPORATION CMN	X	X	X	X	
SP BANK OF AMERICA CORP CMN	X	X	X	X	
SP BANK OZK CMN	X	X	X	X	
SP BANKUNITED INC CMN	X	X	X	X	
SP BARCLAYS PLC AMER DEP SHS ADR CMN	X	X	X	X	
SP BARD CRINC J CMN	X	X	X	X	
SP BAXTER INTERNATIONAL INC CMN	X	X	X	X	
SP BB&T CORPORATION CMN	X	X	X	X	
SP BECTON, DICKINSON AND COMPANY CMN	X	X	X	X	
SP BERKSHIRE HATHAWAY INC. CLASS B	X	X	X	X	
SP BERRY GLOBAL GROUP INC CMN	X	X	X	X	
SP BEST BUY CO. INC CMN	X	X	X	X	
SP BGC PARTNERS, INC CMN CLASS A	X	X	X	X	
SP BIOPHARMA INC CMN	X	X	X	X	
SP BIOMARIN PHARMACEUTICAL INC. CMN	X	X	X	X	
SP BLACKROCK, INC. CMN	X	X	X	X	
SP BLUEBIRD BIO INC CMN	X	X	X	X	
SP BOEING COMPANY CMN	X	X	X	X	
SP BOOKING HOLDINGS INC CMN	X	X	X	X	
SP BORGWARNER INC. CMN	X	X	X	X	
SP BOSTON PROPERTIES, INC. COMMON STOCK	X	X	X	X	
SP BOSTON SCIENTIFIC CORP. COMMON STOCK	X	X	X	X	
SP BRIGHTHOUSE FINANCIAL, INC. CMN	X	X	X	X	
SP BRISTOL-MYERS SQUIBB COMPANY CMN	X	X	X	X	
SP BROADCOM INC. CMN	X	X	X	X	
SP BROOKFIELD PROPERTY REIT INC. CMN	X	X	X	X	
SP BROWN FORMAN CORP CLB CMN CLASS B	X	X	X	X	
SP BRUKER CORPORATION CMN	X	X	X	X	
SP BUTLER CITY OHIO GO 4% 12/31/19 JD GO LTD TAX VAR PURP	X	X	X	X	
SP REF SDS 2017 LT BEO	X	X	X	X	
SP BWX TECHNOLOGIES, INC. CMN	X	X	X	X	
SP C.H. ROBINSON WORLDWIDE, INC. CMN	X	X	X	X	
SP CA, INC. CMN	X	X	X	X	
SP CABOT OIL & GAS CORPORATION CMN	X	X	X	X	
SP CADENCE DESIGN SYSTEMS INC CMN	X	X	X	X	
SP CAESARS ENTERTAINMENT CORP CMN	X	X	X	X	
SP CAMPBELL SOUP CO CMN	X	X	X	X	
SP CAPITAL ONE FINANCIAL CORP CMN	X	X	X	X	
SP CAPRI HOLDINGS LIMITED CMN	X	X	X	X	
SP CARDINAL HEALTH, INC. CMN	X	X	X	X	
SP CARLISLE COS. INC CMN	X	X	X	X	
SP CARMEX, INC. CMN	X	X	X	X	
SP CARNIVAL CORPORATION CMN	X	X	X	X	
SP CATERPILLAR INC (DELAWARE) CMN	X	X	X	X	
SP CBRE GLOBAL MARKETS, INC CMN	X	X	X	X	
SP CBRE GROUP, INC. CMN CLASS A	X	X	X	X	
SP CBS CORPORATION CMN CLASS B	X	X	X	X	
SP CDW CORPORATION CMN	X	X	X	X	

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

SP/DC/JT Asset Name	EI/F	Type of Income			Capital Gains	Excepted / Blind Trust	Tax Deferred	Partnership Income
		None	Dividends	Rent				
CELANESE CORPORATION COMMON STOCK		X	X					
CELENE CORPORATION CMN		X	X					
CENTENE CORPORATION CMN		X	X					
CENTENNIAL RESOURCE DEVELOPMENT CMN		X	X					
CENTERPOINT ENERGY, INC CMN		X	X					
CENTURYLINK INC CMN		X	X					
CERNER CORPORATION CMN		X	X					
CF INDUSTRIES HOLDINGS, INC. CMN		X	X					
CHARLES RIV LABS INTL INC CMN		X	X					
CHARLES SCHWAB CORPORATION CMN		X	X					
CHARTER COMMUNICATIONS, INC. CMN		X	X					
CHEMOURS COMPANY (THE) CMN		X	X					
CHENiere ENERGY, INC. CMN		X	X					
CHESAPEAKE ENERGY CORPORATION CMN		X	X					
CHEVRON CORPORATION CMN		X	X					
CHIPOTLE MEXICAN GRILL, INC. CMN		X	X					
CHUBB LIMITED CMN		X	X					
CHURCH & DWIGHT CO., INC. CMN		X	X					
CIGNA CORP CMN		X	X					
CIGNA CORPORATION CMN		X	X					
CIMAREX ENERGY CO. CMN		X	X					
CINCINNATI FINANCIAL CRP CMN		X	X					
CINTAS CORPORATION CMN		X	X					
CISCO SYSTEMS, INC. CMN		X	X					
CITIGROUP INC. CMN		X	X					
CITRIX SYSTEMS INC CMN		X	X					
CLARK CITY NEW SCH DIST GO 5% 06/15/191D GO LTD TAX REF BDS 2012A LT BEO		X	X					
CLEVELAND CITY OK ED FACS REV 5.0000% 07/01/19-CA.U		X	X					
KEY SDS NORMAN PUB SCH PROJ 2014 BEO		X	X					
CLOROX CO (THE) (DELAWARE) CMN		X	X					
CME GROUP INC. CMN CLASS A		X	X					
CMS ENERGY CORPORATION CMN		X	X					
CNA FINCL CORP. CMN		X	X					
COCA-COLA COMPANY (THE) CMN		X	X					
COSINEX CORPORATION CMN		X	X					
COSIGNANT TECHNOLOGY SOLUTIONS CORP CLASS A		X	X					
COHERENT INC. CMN		X	X					
COLFAX CORPORATION CMN		X	X					
COLGATE-PALMOLIVE CO CMN		X	X					
COLONY CAPITAL INC CMN		X	X					
COMCAST CORPORATION CMN CLASS A VOTING		X	X					
COMERICA INCORPORATED CMN		X	X					
COMMSCOPE HLDG CO INC CMN		X	X					
CONAGRA BRANDS INC CMN		X	X					
CONCHO RESOURCES INC. CMN		X	X					
CONOCOPHILLIPS CMN		X	X					

Schedule A: Assets and Unearned Income

SP/D/C/T	Asset Name	E/F	Amount of Income	Transaction Summary			Spouse / Income over Min
				P	PS	FS	
SP	CELANESE CORPORATION COMMON STOCK		x	x			
SP	CEGENE CORPORATION CMN		x	x	x		
SP	CENTENE CORPORATION CMN		x	x	x	x	
SP	CENTENNIAL RESOURCE DEVELOPMENT CMN		x	x	x	x	
SP	CENTERPOINT ENERGY, INC CMN		x	x	x	x	
SP	CENTURYLINK INC CMN		x	x	x	x	
SP	CERNER CORPORATION CMN		x	x	x	x	
SP	CF INDUSTRIES HOLDINGS, INC. CMN		x	x	x	x	
SP	CHARLES RIV LABS INT'L INC CMN		x	x	x	x	
SP	CHARLES SCHWAB CORPORATION CMN		x	x	x	x	
SP	CHARTER COMMUNICATIONS, INC. CMN		x	x	x	x	
SP	CHEMOURS COMPANY (THE) CMN		x	x	x	x	
SP	CHENiere ENERGY, INC CMN		x	x	x	x	
SP	CHESAPEAKE ENERGY CORPORATION CMN		x	x	x	x	
SP	CHEVRON CORPORATION CMN		x	x	x	x	
SP	CHIPOTLE MEXICAN GRILL, INC. CMN		x	x	x	x	
SP	CHIUB LIMITED CMN		x	x	x	x	
SP	CHURCH & DWIGHT CO., INC. CMN		x	x	x	x	
SP	CIGNA CORP CMN		x	x	x	x	
SP	CIGNA CORPORATION CMN		x	x	x	x	
SP	CIMAREX ENERGY CO. CMN		x	x	x	x	
SP	CINCINNATI FINANCIAL CORP CMN		x	x	x	x	
SP	CINTAS CORPORATION CMN		x	x	x	x	
SP	CISCO SYSTEMS, INC. CMN		x	x	x	x	
SP	CITIGROUP INC. CMN		x	x	x	x	
SP	CITIZENS FINANCIAL GROUP, INC. CMN		x	x	x	x	
SP	CITRIX SYSTEMS INC CMN		x	x	x	x	
SP	CLARK CITY NEW SDH DIST GO 5% 6/15/19 JD GO LTD TAX REF BOS 2012A LT BEG		x	x	x	x	
SP	CLEVELAND CLNTY CK ED FACTS REV 5.00000% 07/01/19-CA.U REV BIDS NORMAN PUB SCH PROJ 2014 BEG		x	x	x	x	
SP	CLOROX CO (THE) (DELAWARE) CMN		x	x	x	x	
SP	CME GROUP INC. CMN CLASS A		x	x	x	x	
SP	CMS ENERGY CORPORATION CMN		x	x	x	x	
SP	CNA FINCL CORP. CMN		x	x	x	x	
SP	COCA-COLA COMPANY (THE) CMN		x	x	x	x	
SP	COGNEX CORPORATION CMN		x	x	x	x	
SP	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A		x	x	x	x	
SP	COHERENT INC. CMN		x	x	x	x	
SP	COLFAX CORPORATION CMN		x	x	x	x	
SP	COLGATE-PALMOLIVE CO CMN		x	x	x	x	
SP	COLONY CAPITAL INC CMN		x	x	x	x	
SP	COMCAST CORPORATION CMN CLASS A VOTING		x	x	x	x	
SP	COMERICA INC CORPATED CMN		x	x	x	x	
SP	COMMSCOPE HLDG CO INC CMN		x	x	x	x	
SP	CONAGRA BRANDS INC CMN		x	x	x	x	
SP	CONCHO RESOURCES INC. CMN		x	x	x	x	
SP	CONOCOPHILLIPS CMN		x	x	x	x	

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

SP/DC/IT	Asset Name	Elif	Type of Income						Capital Gains	Excepted / Blind Trust	Tax Deferred	Partnership Income
			None	Dividends	Rent	Interest						
SP	CONSOLIDATED EDISON INC CMN			X					X			
SP	CONTINENTAL RESOURCES INC CMN			X					X			
SP	COOPER COMPANIES INC (NEW) CMN			X					X			
SP	COPA HOLDINGS S.A. CMN CLASS A			X					X			
SP	COPART INC CMN			X					X			
SP	CORNING INCORPORATED CMN			X					X			
SP	COSTCO WHOLESALE CORPORATION CMN			X					X			
SP	COTY, INC. CMN CLASS A			X					X			
SP	CRANE CO (DELAWARE) CMN			X					X			
SP	CROWN CASTLE INTL CORP CMN			X					X			
SP	CROWN HOLDINGS INC CMN			X					X			
SP	CSRA INC CMN			X					X			
SP	CSX CORPORATION CMN			X					X			
SP	CUMMING INC COMMON STOCK			X					X			
SP	CVS HEALTH CORP CMN			X					X			
SP	CYPRESS SEMICONDUCTOR CORPORAT CMN			X					X			
SP	CYRUSONE INC CMN			X					X			
SP	D.R. MORTON, INC. CMN			X					X			
SP	DANAHER CORPORATION CMN			X					X			
SP	DARDEN RESTAURANTS, INC. CMN			X					X			
SP	DAVITA INC CMN			X					X			
SP	DCT INDUSTRIAL TRUST INC. CMN			X					X			
SP	DEEGE & COMPANY CMN			X					X			
SP	DELL TECHNOLOGIES INC CMN			X					X			
SP	DELPHI TECHNOLOGIES PLC CMN			X					X			
SP	DELTA AIR LINES, INC. CMN			X					X			
SP	DENTSPLY SIRONA, INC. CMN			X					X			
SP	DEVON ENERGY CORPORATION (NEW) CMN			X					X			
SP	DIAMONDBACK ENERGY INC CMN			X					X			
SP	DICKS SPORTING GOODS INC CMN			X					X			
SP	DIGITAL REALITY TRUST, INC. CMN			X					X			
SP	DISCOVER FINANCIAL SERVICES CMN			X					X			
SP	DISCOVERY INC - A CMN SERIES A			X					X			
SP	DISCOVERY INC CMN			X					X			
SP	DISH NETWORK CORPORATION CMN CLASS A			X					X			
SP	DOLLAR GENERAL CORPORATION CMN			X					X			
SP	DOLLAR TREE STORES, INC. CMN			X					X			
SP	DOMINION ENERGY INC CMN			X					X			
SP	DUPONT DE NEMOURS, INC. CMN			X					X			
SP	DOVER CORPORATION CMN			X					X			
SP	DOE ENERGY COMPANY CMN			X					X			
SP	DUKE ENERGY CORPORATION CMN			X					X			
SP	DUKE REALTY CORPORATION CMN			X					X			
SP	DUPONT DE Nemours, Inc. CMN			X					X			
SP	DXC TECHNOLOGY COMPANY CMN			X					X			
SP	E*TRADE FINANCIAL CORPORATION CMN			X					X			
SP	EAST WEST BANCORP INC CMN			X					X			
SP	EASTMAN CHEMICAL COMPANY CMN			X					X			

Schedule A: Assets and Unearned Income

SP/DC/IT	Asset Name	Transaction Summary			Spouse / DC Income over 1000000
		P	PS	FS	
EIF	Amount of Income				
SP	CONSOLIDATED EDISON INC CMN	X			
SP	CONSTELLATION BRANDS INC CMN CLASS A	X			
SP	CONTINENTAL RESOURCES INC CMN	X	X		
SP	COPPER COMPANIES INC (NEW) CMN	X	X		
SP	CO-OP HOLDINGS, S.A. CMN CLASS A	X	X		
SP	COPART INC CMN	X			
SP	CORNING INCORPORATED CMN				
SP	COSTCO WHOLESALE CORPORATION CMN	X			
SP	COTY INC CMN CLASS A	X			
SP	CRANE CO (DELAWARE) CMN	X			
SP	CROWN CASTLE INT'L CORP CMN	X			
SP	CROWN HOLDINGS INC CMN	X			
SP	CSBA INC CMN	X			
SP	CSX CORPORATION CMN	X			
SP	CUMMINS INC COMMON STOCK	X			
SP	CVS HEALTH CORP CMN	X			
SP	CROWN CASTLE INT'L CORP CMN	X			
SP	CYPRESS SEMICONDUCTOR CORPORAT CMN	X			
SP	CYRUSONE INC CMN	X			
SP	D.R. HORTON, INC. CMN	X			
SP	DAMAHIER CORPORATION CMN	X			
SP	DARDEN RESTAURANTS, INC. CMN	X			
SP	DAVITA, INC CMN	X			
SP	DCT INDUSTRIAL TRUST INC CMN	X			
SP	DEERE & COMPANY CMN	X			
SP	DELL TECHNOLOGIES INC. CMN	X			
SP	DELPHI TECHNOLOGIES PLC CMN	X			
SP	DELTIA AIR LINES, INC. CMN	X			
SP	DENTISTRY SIRIONA INC CMN	X			
SP	DEVON ENERGY CORPORATION (NEW) CMN	X			
SP	DIAMONDBACK ENERGY INC CMN	X			
SP	DICK'S SPORTING GOODS INC CMN	X			
SP	DIGITAL REALTY TRUST, INC. CMN	X			
SP	DISCOVER FINANCIAL SERVICES CMN	X			
SP	DISCOVERY INC - A CMN SERIES A	X			
SP	DISCOVERY INC CMN	X			
SP	DISH NETWORK CORPORATION CMN CLASS A	X			
SP	DOLLAR GENERAL CORPORATION CMN	X			
SP	DOLLAR TREE STORES, INC. CMN	X			
SP	DOMINION ENERGY INC CMN	X			
SP	DODGE TECHNOLOGY COMPANY CMN	X			
SP	DOVER CORPORATION CMN	X			
SP	DTE ENERGY COMPANY CMN	X			
SP	DUKE ENERGY CORPORATION CMN	X			
SP	DUKE REALTY CORPORATION CMN	X			
SP	DUPONT DE NEMOURS, INC. CMN	X			
SP	DUXIE TRADE FINANCIAL CORPORATION CMN	X			
SP	EAST WEST BANKCORP INC CMN	X			
SP	EASTMAN CHEMICAL COMPANY CMN	X			

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

SP/DC/JT	Asset Name	Max	Min									
			Elif	Type of Income	None	Dividends	Rent	Interest	Capital Gains	Excepted / Blind Trust	Tax Deferred	Partnership Income
SP	EATON CORP PLC CMN				X				X			
SP	EBAY INC. CMN				X				X			
SP	ECOLAB INC. CMN				X				X			
SP	EDGEMILL PERSONAL CARE COMPANY CMN				X				X			
SP	EDISON INTERNATIONAL CMN				X				X			
SP	EDWARDS LIFESCIENCES CORPORATION CMN				X				X			
SP	ELECTRONIC ARTS CMN				X				X			
SP	ELI LILLY & CO CMN				X				X			
SP	EMERSON ELECTRIC CO. CMN				X				X			
SP	ENDO INTERNATIONAL PLC CMN				X				X			
SP	ENERGEN CORPORATION CMN				X				X			
SP	ENTEGRIS, INC. CMN				X				X			
SP	ENERGY CORPORATION CMN				X				X			
SP	ENVISION HEALTHCARE CORPORATION CMN				X				X			
SP	EOG RESOURCES INC CMN				X				X			
SP	EPHRATA PA AREA SCH DIST GO 4% 03/01/19 MS GO BDS 2016				X				X			
SP	UT BNK QUAL BEQ				X				X			
SP	EPR PROPERTIES CMN				X				X			
SP	ECT CORPORATION CMN				X				X			
SP	EQUIFAX INC. CMN				X				X			
SP	EQUINIX, INC. REIT				X				X			
SP	EQUITY RESIDENTIAL CMN				X				X			
SP	ESSEX PROPERTY TRUST INC CMN				X				X			
SP	ESTEE LAUDER COS INC CLA CMN CLASS A				X				X			
SP	EVEREST RE GROUP LTD CMN				X				X			
SP	EVERGY, INC. CMN				X				X			
SP	EVERSOURCE ENERGY CMN				X				X			
SP	EXELIXIS, INC. CMN				X				X			
SP	EXELON CORPORATION CMN				X				X			
SP	EXETER TWP PA SCH DIST GO 4% 09/01/19 MS GO BDS 2017 LT				X				X			
SP	BNK QUAL BEQ				X				X			
SP	EXPEDIA GROUP INC CMN				X				X			
SP	EXPEDITORS INTERNATIONAL OF WA CMN				X				X			
SP	EXPRESS SCRIPTS HOLDING COMPAN CMN				X				X			
SP	EXTRA SPACE STORAGE INC CMN				X				X			
SP	EXTRACTION OIL & GAS INC CMN				X				X			
SP	EXXON MOBIL CORPORATION CMN				X				X			
SP	F5 NETWORKS, INC. CMN				X				X			
SP	FACEBOOK, INC. CMN CLASS A				X				X			
SP	FASTENAL COMPANY CMN				X				X			
SP	FEDERAL RITY INV TR SBI CMN				X				X			
SP	FEDERATED INVESTORS, INC. CMN CLASS B				X				X			
SP	FEDEX CORPORATION CMN				X				X			
SP	FIDELITY NATL INFO SVCS INC CMN				X				X			
SP	FIFTH THIRD BANCORP CMN				X				X			
SP	FIREYE, INC. CMN				X				X			
SP	FIRST DATA CORPORATION CMN CLASS A				X				X			
SP	FIRST HORIZON NATIONAL CORPORA CMN				X				X			

Schedule A: Assets and Unearned Income

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SP/DC/IT	Asset Name	E/F	Amount of Income	Transaction Summary			Spouse / DC Income over 1000000
				P	PS	FS	
SP	EATON CORP PLC CMN			X			
SP	EBAY INC. CMN			X			
SP	ECOLAB INC. CMN						
SP	EDGENWELL PERSONAL CARE COMPANY CMN			X			
SP	EDISON INTERNATIONAL CMN						
SP	EDWARDS LIFESCIENCES CORPORATION CMN			X	X		
SP	ELECTRONIC ARTS CMN						
SP	ELULLY & CO CMN						
SP	EMERSON ELECTRIC CO. CMN						
SP	ENDO INTERNATIONAL PLC CMN						
SP	EMERSON CORPORATION CMN						
SP	ENERGIEC, INC. CMN						
SP	ENTERGY CORPORATION CMN						
SP	ENVISION HEALTHCARE CORPORATION CMN						
SP	EOG RESOURCES INC CMN						
SP	EPHRAIM PA AREA SCH DIST GO 4% 03/01/19 MS GO BIDS 2016						
SP	UT BNK QUAL BEQ						
SP	EQUINIX, INC. REIT						
SP	EQUITY RESIDENTIAL CMN						
SP	ESSEX PROPERTY TRUST INC CMN						
SP	ESTEE LAUDER COS INC CL-A CMN CLASS A						
SP	EVEREST RE GROUP LTD CMN						
SP	EVERGY, INC. CMN						
SP	EVERSOURCE ENERGY CMN						
SP	EXELUS, INC. CMN						
SP	EXELON CORPORATION CMN						
SP	EXETER TMP PA SCH DIST GO 4% 09/01/19 MS GO BIDS 2017 LT						
SP	BNK QUAL BEQ						
SP	EXPEDIA GROUP INC CMN						
SP	EXPEDITORS INTERNATIONAL OF WA CMN						
SP	EXPRESS SCRIPPS HOLDING COMPANY CMN						
SP	EXTRA SPACE STORAGE INC. CMN						
SP	EXTRACTIVE OIL & GAS INC CMN						
SP	EXXON MOBIL CORPORATION CMN						
SP	FS NETWORKS, INC. CMN						
SP	FACEBOOK, INC. CMN CLASS A						
SP	FASTERNA COMPANY CMN						
SP	FEDERAL RITY INV'TR-SBI CMN						
SP	FEDERATED INVESTORS, INC. CMN CLASS B						
SP	FEDEX CORPORATION CMN						
SP	FIDELITY NATL INFO SVCS INC CMN						
SP	FIFTH THIRD BANCORP CMN						
SP	FIREYE, INC. CMN						
SP	FIRST DATA CORPORATION CMN CLASS A						
SP	FIRST HORIZON NATIONAL CORPORA CMN						

SP/DC/IT	Asset Name	Max
		Min
SP	FIRST REPUBLIC BANK CMN SERIES	
SP	FIRST SOLAR, INC. CMN	
SP	FIRESTONE ENERGY CORP. CMN	
SP	FISETCOR TECHNOLOGIES, INC. CMN	
SP	FLIX LTD. CMN	
SP	FIR SYSTEMS INC CMN	
SP	FLOWSERVE CORPORATION CMN	
SP	FLUOR CORPORATION CMN	
SP	FMC CORPORATION CMN	
SP	FNB CORPORATION (VA) CMN	
SP	FOOTLOCKER, INC. CMN	
SP	FORD MOTOR COMPANY CMN	
SP	FORT WAYNE IND RDEV AUTH REV 2% 02/01/19 FA LEASE	
SP	RENT REV REV BDs 2016 BDs	
SP	FORTUNE BRANDS HOME & SECURITY CMN	
SP	FRANKLIN RESOURCES INC CMN	
SP	FREEPORT-MCMORAN INC CMN	
SP	GAP INC CMN	
SP	GARMIN LTD. CMN	
SP	GARRETT MOTION INC. CMN	
SP	GARTNER, INC. CMN	
SP	GCL LIBERTY, INC. CMN CLASS A	
SP	GENERAL DYNAMICS CORP. CMN	
SP	GENERAL ELECTRIC CO CMN	
SP	GENERAL MILLS INC CMN	
SP	GENERAL MOTORS COMPANY CMN	
SP	GENESEE & WYOMING INC CMN CLASS A	
SP	GENJUINE PARTS CO. CMN	
SP	GGP INC CMN	
SP	GILEAD SCIENCES CMN	
SP	GLOBAL PAYMENTS INC. CMN	
SP	GODADDY.COM, INC. CMN CLASS A	
SP	GOLDMAN SACHS BANK USA DEPOSIT (BDsA)	
SP	GRAPHIC PACKAGING HOLDCO CMN	
SP	GREENSBORO N C GO 5% 02/01/19 FA GO BDs SER C U/T BDs	
SP	SR. LIEN	
GS SHORT DURATION TAX-FREE FUND INSTITUTIONAL SHARES		
SP	GS FINANCE CORP. STRUCTURED NOTE DUE 02/24/2020	
SP	GS FINANCIAL-SQUARE TREASURY SOLUTIONS FUND	
SP	GS SHORT DURATION TAX FREE FUND CLASS P	
GS U.S. EQUITY DIVIDEND AND PREMIUM FUND P		
SP	INSTITUTIONAL SHARES	

Schedule A: Assets and Unearned Income

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SP/DC/IT Asset Name	E/F	Amount of Income	Transaction Summary			Spouse / DC Income over 1000000
			P	PS	FS	
SP FIRST REPUBLIC BANK CMN SERIES			X			
SP FIRST SOLAR, INC. CMN			X			
SP FIRSTENERGY CORP. CMN			X			
SP FISERV, INC. CMN	X			X		
SP FLEETCOR TECHNOLOGIES, INC. CMN	X			X		
SP FLEX LTD. CMN			X			
SP FLIR SYSTEMS INC.CMN			X			
SP FLOWSERVE CORPORATION CMN			X			
SP FLUOR CORPORATION CMN			X			
SP FMC CORPORATION CMN			X			
SP FNBB CORPORATION (VAN) CMN			X			
SP FOOTLOCKER, INC. CMN			X			
SP FORD MOTOR COMPANY CMN			X			
SP FORT WAYNE IND REDEV AUTH REV 2% 02/01/19 FA LEASE	X					
SP RENT REV REV BDS 2016 BEG			X			
SP FORTUNE BRANDS HOME & SECURITY CMN			X			
SP FRANKLIN RESOURCES INC CMN			X			
SP FREEPORT-MCMORAN INC CMN			X			
SP GAP INC CMN			X			
SP GARMIN LTD. CMN			X			
SP GARRETT MOTION INC. CMN			X			
SP GARTNER, INC. CMN			X			
SP GCI LIBERTY, INC. CMN CLASS A			X			
SP GENERAL DYNAMICS CORP. CMN			X			
SP GENERAL ELECTRIC CO CMN			X			
SP GENERAL MILLS INC CMN			X			
SP GENERAL MOTORS COMPANY CMN			X			
SP GENESSEE & WYOMING INC CMN CLASS A			X			
SP GENUINE PARTS CO. CMN			X			
SP GGP INC CMN			X			
SP GILEAD SCIENCES CMN			X			
SP GLOBAL PAYMENTS INC. CMN			X			
SP GODADDY.COM, INC. CMN CLASS A			X			
SP GOLDMAN SACHS BANK USA DEPOSIT (BDA)			X			
SP GRAPHIC PACKAGING HLDGS CO CMN			X			
SP GREENSBORO N C60 5K 02/01/19 FA GO DDS SER CUT BEG SR LIEN	X		X			
SP GS FINANCE CORP. STRUCTURED NOTE DUE 02/24/2020			X			
SP GS FINANCIAL SQUARE TREASURY SOLUTIONS FUND			X			
SP GS SHORT DURATION TAX-FREE FUND CLASS P			X			
SP GS U.S. EQUITY DIVIDEND AND PREMIUM FUND CLASS P			X			
SP GS U.S. EQUITY DIVIDEND AND PREMIUM FUND			X			
SP INSTITUTIONAL SHARES	X		X			

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

SP/PC/JT Asset Name	ELF	Min		Max		Capital Gains	Exempted / Tax Deferred	Partnership Income
		Type of Income						
GTR ORLANDO AVIATION AUTH FRA REV 5,000,000 10/01/19 850 CAD		X						
GUIDEWIRE SOFTWARE INC CMN		X						
H & R BLOCK INC CMN			X					
HALLIBURTON COMPANY CMN			X					
HANESBRANDS INC CMN			X					
HARLEY-DAVIDSON INC CMN			X					
HARRIS CORP CMN			X					
HARTFORD FINANCIAL SVCS GROUP CMN			X					
HASBRO INC CMN			X					
HAWAII COUNTY TEE DB 5% 02/15/19 FA PASS THROUGH TOLL UT								
TAX ROAD BD\$ PASS THROUGH 2011 UT BED		X						
HCA HEALTHCARE INC CMN			X					
HCP INC CMN			X					
HD SUPPLY HOLDINGS INC CMN			X					
HEALTHCARE HOLDINGS INC CMN CLASS A			X					
HELMERICH & PAYNE INC CMN			X					
HENRY SCHEIN INC COMMON STOCK			X					
HESS CORPORATION CMN			X					
HEWLETT PACKARD ENTERPRISE CO CMN			X					
HILL-ROM HOLDINGS INC CMN			X					
HILTON WORLDWIDE HOLDINGS INC CMN			X					
HOLLY FRONTIER CORP CMN			X					
HOLISTIC INCORPORATED CMN			X					
HONEYWELL INT'L INC CMN			X					
HORMEL FOODS CORPORATION CMN			X					
HOST HOTELS & RESORTS INC CMN			X					
HOU STON - TEX UTIL SYS REV 4,000,000 11/15/19 MN FOR ISS DTD PR TO 07/07/2014 REFG-COMBINED-FIRST LIEN-SER B		X						
HP INC CMN			X					
HUBBELL INCORPORATED CMN			X					
HUDSON CNTY NJ GO 4% 12/10/19 10 BANS UT BED		X						
HUMANA INC CMN			X					
HUNTINGTON BANKSHARES INC CORP DR CMN			X					
HUNTINGTON INGALLS INDUSTRIES INC			X					
HUNTSMAN CORPORATION CMN			X					
IDEXX LABORATORIES CMN			X					
IHS MARKIT LTD CMN			X					
ILLINOIS FIN AUTH REV REV 5,500,000 11/01/21 MN BD\$ CENT DUPAGE HEALTH 2009B PREFERE 11/01/19 35 Lien		X						
ILLINOIS FIN AUTH REV REV 6,875,000 08/15/38 FA REVENUE BONDS, SERIES 2009 PRB/15/19 BED ST X0 BD\$ ILLINOIS TOTAL WORKS CMN		X						
ILLUMINA, INC CMN		X						

Schedule A: Assets and Unearned Income

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Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

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SP/DC/JT Asset Name	ELF	Type of Income			Capital Gains	Excepted / Blind Trust	Tax Deferred	Partnership Income
		None	Dividends	Rent				
SP INNOTE CORPORATION CMN			X					
SP INGERSOLL-RAND PLC CMN			X					
SP INGREDION INC CMN			X					
SP INTEL CORPORATION CMN			X					
SP INTERACTIVE BROKERS GROUP, INC CMN			X					
SP INTERCEPT PHARMACEUTICALS, INC CMN			X					
SP INTERCONTINENTAL EXCHANGE INC CMN			X					
SP INTERNATIONAL PAPER CO. CMN			X					
SP INTERPUBLIC GROUP COS CMN			X					
SP INT'L BUSINES MACHINES CORP CMN			X					
SP INT'L FLAVORS & FRAGRANCE CMN			X					
SP INTREXON CORPORATION CMN			X					
SP INTUIT INC CMN			X					
SP INTUITIVE SURGICAL, INC CMN			X					
SP INVESCO LTD. CMN			X					
SP IONIS PHARMACEUTICALS, INC CMN			X					
SP IPG PHOTONICS CORPORATION CMN			X					
SP IQMIA HOLDINGS INC CMN			X					
SP IRON MOUNTAIN INCORPORATED CMN			X					
SP J.B. HUNT TRANS SVCS INC CMN			X					
SP J.M. SMUCKER COMPANY (THE) CMN			X					
SP JABIL INC CMN			X					
SP JACOBS ENGINEERING GRP CMN			X					
SP JANUS HENDERSON GROUP PLC CMN			X					
SP JAZZ PHARMACEUTICALS PLC CMN			X					
SP JEFFERIES FINANCIAL GROUP INC CMN			X					
SP JETBLUE AIRWAYS CORPORATION CMN			X					
SP JOHNSON & JOHNSON CMN			X					
SP JOHNSON CONTROLS INTERNATIONAL CMN			X					
SP JPMORGAN CHASE & CO CMN			X					
SP JUNIPER NETWORKS, INC. CMN			X					
SP KANSAS CITY SOUTHERN CMN			X					
SP KELLOGG COMPANY CMN			X					
SP KEURIG DR PEPPER INC CMN			X					
SP KEYCORP CMN			X					
SP KIMBERLY-CLARK CORPORATION CMN			X					
SP KIMCO REALTY CORPORATION CMN			X					
SP KINDER MORGAN INC CMN CLASS P			X					
SP KLA-TENCOR CORPORATION CMN			X					
SP KNOWLES CORPORATION CMN			X					
SP KOHL'S CORP (WISCONSIN) CMN			X					
SP KROGER COMPANY CMN			X					
SP KY-ST PPTY & BLDGS COMMN REV'S REV'5.0000% 08/01/19 FA			X					
SP RFDG-PROJ NO 108-SER B BFO			X					
SP L BRANDS, INC. CMN			X					
SP L3 TECHNOLOGIES INC CMN			X					
SP LABORATORY CORPORATION OF AMER CMN			X					

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

SP/D/C/J/T	Asset Name	Type of income	Min			Max		
			EIT	None	Dividends	Rent	Interest	Capital Gains
SP	LAM RESEARCH CORPORATION CMN							
SP	LAREDO TEX DB 5% 02/15/19 FA COMB TAX AND REV CFTS OF OBIG 2018 LT BEQ		X	X				
SP	LAS VEGAS SANDS CORP. CMN			X				
SP	LEAR CORPORATION CMN			X				
SP	LEGG MASON INC CMN			X				
SP	LEGGETT & PLATT, INCORPORATED CMN			X				
SP	LENNAAR CORPORATION CMN CLASS A			X				
SP	LENNAR CORPORATION CMN CLASS B			X				
SP	LEUCADIA NATIONAL CORP CMN			X				
SP	LEWISVILLE TEX INDFT SCH DIST GO 3% 08/15/19 FA ULTD TAX SCH BLDG BOS 2017 UT BEQ		X					
SP	LIBERTY BROADBAND CORP CMN CLASS A		X					
SP	LIBERTY BROADBAND CORPORATION CMN CLASS C		X					
SP	LIBERTY EXPEDIA HOLDINGS, INC. CMN SERIES A		X					
SP	LIBERTY GLOBAL PLC CMN CLASS C		X					
SP	LIBERTY MEDIA CORPORATION SERIES A LIBERTY SIRIUSXM CMN		X					
SP	LIBERTY MEDIA CORPORATION SERIES C LIBERTY SIRIUSXM CMN		X					
SP	LIBERTY PROPERTY TRUST SBI CMN		X					
SP	LIBERTY VENTURES CMN		X					
SP	LIFEPPOINT HEALTH INC CMN			X				
SP	LINECOIN ELECTRIC HOLDINGS INC CMN			X				
SP	LINCOLN NATIONAL CORP. INC. CMN			X				
SP	LINDE PLC CMN			X				
SP	LIONS GATE ENTERTAINMENT CORP CMN CLASS A			X				
SP	LIVE NATION ENTERTAINMENT INC CMN			X				
SP	LKQ CORPORATION CMN			X				
SP	LOCKHEED MARTIN CORPORATION CMN			X				
SP	LOEWS CORPORATION CMN			X				
SP	LOGMEIN INC CMN			X				
SP	LOWES COMPANIES INC CMN			X				
SP	LYONDELL-BASELL INDUSTRIES N.V. CMN CLASS A			X				
SP	M&T BANK CORPORATION CMN			X				
SP	MACERICH COMPANY CMN			X				
SP	MACQUARIE INFRASTRUCTURE CORP CMN			X				
SP	MACY'S INC. CMN			X				
SP	MALLINCKRODT PUBLIC LIMITED CO CMN			X				
SP	MANPOWER GROUP CMN			X				
SP	MARATHON OIL CORPORATION CMN			X				
SP	MARATHON PETROLEUM CORPORATION CMN			X				
SP	MARRIOTT INTERNATIONAL, INC CMN CLASS A			X				
SP	MARSH & MCLENNAN CO INC CMN			X				
SP	MARTIN MARINETTA MATERIALS, INC CMN			X				
SP	MARVELL TECHNOLOGY GROUP LTD. CMN			X				
SP	MASCO CORPORATION CMN			X				

Schedule A: Assets and Unearned Income

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SP/DC/JT Asset Name	Elif	Amount of Income	Transaction Summary			Spouse / DC Income over 1000000
			P	PS	FS	
Ritu Ahuja 1994 Trust						
Max	0	0.01	201	1,001	2,501	5,001
			15,001	50,001	100,001	1,000,001
			50,001	100,001	1,000,001	5,000,001
LAM RESEARCH CORPORATION CMN						
LAMEDO 7 TEX 08 5% 02/25/19 FA CDMB TAX AND REV CFTS OF		x				
OBIG 2018 LT BEG		x				
LAS VEGAS SANDS CORP. CMN		x				
LEAR CORPORATION CMN		x				
LEGG MASON INC CMN		x				
LEGGETT & PLATT, INCORPORATED CMN		x				
LENNAR CORPORATION CMN CLASS A		x				
LEUCADIA NATIONAL CORP CMN		x				
LEWISVILLE TEX INDPT SCH DIST GO 3% 08/25/19 FA ULTD TAX		x				
SCB BLDG BDS 2017 UT BEG		x				
LIBERTY BROADBAND CORP CMN CLASS A		x				
LIBERTY BROADBAND CORPORATION CMN CLASS C		x				
LIBERTY EXPOEDIA HOLDINGS, INC. CMN SERIES A		x				
LIBERTY GLOBAL, PLC. CMN CLASS C		x				
LIBERTY MEDIA CORPORATION SERIES A LIBERTY SIRIUSXM CMN		x				
LIBERTY MEDIA CORPORATION SERIES C LIBERTY SIRIUSXM CMN		x				
LIBERTY PROPERTY TRUST SBI CMN		x				
LIBCOLN NATL CORP. INC. CMN		x				
LIBERTY VENTURES CMN		x				
LIBERPOINT HEALTH INC CMN		x				
LINCOLN ELECTRIC HOLDINGS INC CMN		x				
LOGICO INC CMN		x				
LOCKHEED MARTIN CORPORATION CMN		x				
LOWE'S CORPORATION CMN		x				
LOWE'S GATE ENTERTAINMENT CORP. CMN CLASS A		x				
LOWES COMPANIES INC CMN		x				
LYONDELLBASELL INDUSTRIES N.V. CMN CLASS A		x				
LIVE NATION ENTERTAINMENT INC CMN		x				
LKG CORPORATION CMN		x				
LIONSGATE ENTERTAINMENT CORP. CMN CLASS B		x				
LOGIMEN INC CMN		x				
MACY'S INC. CMN		x				
MALINICKRODT PUBLIC LIMITED CO CMN		x				
MANPOWER GROUP CMN		x				
MACERICH COMPANY CMN		x				
MACQUARIE INFRASTRUCTURE CORP CMN		x				
MARRIOTT INTERNATIONAL, INC CMN CLASS A		x				
MARCH & MCLENNAN CO INC CMN		x				
MARTIN MARIETTA MATERIALS,INC CMN		x				
MARVELL TECHNOLOGY GROUP LTD. CMN		x				
MASCO CORPORATION CMN		x				

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

SP/DC/IT	Asset Name	FIF			Amount of Income	Income over Spouse / DC
		P	PS	FS		
SP	MASSACHUSETTS ST GO 4% 12/01/22 JD GO BDS 2011 E PRERE	X				
SP	MASTER CARD INC LT BEO				X	
SP	MASTERCARD INCORPORATED CMN CLASS A				X	
SP	MATCH GROUP, INC. CMN	X	X	X		
SP	MATTEL, INC. CMN				X	
SP	MAXIM INTEGRATED PRODUCTS INC CMN	X	X	X		
SP	MC DONALDS CORP CMN				X	
SP	MCCORMICK & CO NON VTG SHRS CMN	X	X	X		
SP	MCKESSON CORPORATION CMN				X	
SP	MEDICAL PROPERTIES TRUST INC CMN	X	X	X		
SP	MEDNAX INC CMN				X	
SP	MEDTRONIC PUBLIC LIMITED COMMA CMN	X	X	X		
SP	MERCADOUERIE, INC. CMN				X	
SP	MERCK & CO., INC. CMN				X	
SP	MERCURY GENERAL CORPORATION CMN				X	
SP	METLIFE, INC. CMN				X	
SP	METROPOLITAN WASH D C ARPTS REV 4% 10/01/19 AO AUTH ¹				X	
SP	MFPI STS REV REPDG-SER C BEO	X	X	X		
SP	METTLER-TOLEDO INTL CMN				X	
SP	MGM RESORTS INTERNATIONAL CMN	X	X	X		
SP	MICRO FOCUS INTERNATIONAL PIC SPONSORED ADR CMN				X	
SP	MICRON TECHNOLOGY, INC. CMN	X	X	X		
SP	MICROCHIP TECHNOLOGY INCORPORA CMN				X	
SP	MICROSOFT CORPORATION CMN				X	
SP	MID-AMERICA AIR CMNTYS INC CMN				X	
SP	MIDS INSTRUMENTS INC CMN	X	X	X		
SP	MID-ST HWPS & TRANS COMMUN ST RD REV 3,00000% 05/01/19				X	
SP	MAN TAX EXEMPT FEDERAL REIMBURSEMENT ST RD BDS 2010A	X			X	
SP	MADHAWK INDUSTRIES INC COMMON STOCK				X	
SP	MADISON COORS BREWING CO CMN CLASS B	X			X	
SP	MONDLEZ INTERNATIONAL, INC. CMN				X	
SP	MONSANTO COMPANY CMN				X	
SP	MONSTER BEVERAGE CORPORATION CMN				X	
SP	MORGAN STANLEY CMN				X	
SP	MOSAIC COMPANY (THE) CMN				X	
SP	MOTOROLA SOLUTIONS INC CMN				X	
SP	MUHLENBERG PA SCH DIST GO 4% 09/01/19 MS GO BDS 2012A	X			X	
SP	UT BEO SR LIEN	X			X	
SP	MURPHY OIL CORPORATION CMN				X	
SP	MYTAN NY CMN				X	
SP	N Y R INC CMN				X	
SP	NABOB INDUSTRIES LTD. CMN				X	
SP	NASDAQ INC. CMN				X	
SP	NATIONAL OILWELL VARCO INC COMMON STOCK CMN				X	
SP	NATIONAL RETAIL PROPERTIES INC CMN	X			X	

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

SP/DC/LT	Asset Name	Min		Max		Type of Income	Capital Gains	Excepted / Tax Deferred	Partnership Income
		E/F	Name	Dividends	Rent				
SP	NCR CORPORATION CMN	X							
SP	NEKTON THERAPEUTICS CMN	X							
SP	NETAPP, INC. CMN	X							
SP	NETFLIX, INC. CMN	X							
SP	NEUROCRIME BIOSCIENCES, INC. CMN	X							
SP	NEWELL BRANDS INC CMN	X							
SP	NEWFIELD EXPLORATION CO. CMN	X							
SP	NEWMARK GROUP, INC. CMN CLASS A	X							
SP	NEWMONT GOLDcorp CORP CMN	X							
SP	NEWS CORPORATION CMN CLASS A	X							
SP	NEXTERA ENERGY, INC. CMN	X							
SP	NIELSEN HOLDZ PLC CMN	X							
SP	NIKE CLASS-B CMN CLASS B	X							
SP	NISOURCE INC. CMN	X							
SP	NOBLE ENERGY INC CMN	X							
SP	NORDSTROM, INC. CMN	X							
SP	NORBOK SOUTHERN CORP CMN	X							
SP	NORTHERN TRUST CORP CMN	X							
SP	NORTHROP GRUMMAN CORP CMN	X							
SP	NORWEGIAN CRUISE LINE Holdings LTD CMN	X							
SP	NRG ENERGY, INC. CMN	X							
SP	NUANCE COMMUNICATIONS, INC. CMN	X							
SP	NUCOR CORPORATION CMN	X							
SP	INVENT ELECTRIC PUBLIC LIMITED COMPANY	X							
SP	NVIDIA CORPORATION CMN	X							
SP	NXP SEMICONDUCTORS N.V. CMN	X							
SP	OCCIDENTAL PETROLEUM CORP CMN	X							
SP	OLATHE KANS DB 5% 10/01/19 AG GO IMPR AND REF BDS 230	X							
SP	UT BEC	X							
SP	OLIN CORPORATION CMN	X							
SP	OMEGA HEALTHCARE INVESTORS INC CMN	X							
SP	OMNICOM GROUP CMN	X							
SP	ON SEMICONDUCTOR CORPORATION CMN	X							
SP	ONEOK INC CMN	X							
SP	OPKO HEALTH INC CMN	X							
SP	ORACLE CORPORATION CMN	X							
SP	O'REILLY AUTOMOTIVE, INC. CMN	X							
SP	OSHKOSH CORPORATION CMN	X							
SP	OWENS CORNING CMN	X							
SP	OWENS-ILLINOIS INC CMN	X							
SP	P-G & E CORPORATION CMN	X							
SP	PASCAR INC CMN	X							
SP	PACKAGING CORP OF AMERICA COMMON STOCK	X							
SP	PACWEST BANCORP CMN	X							
SP	PARAMOUNT GROUP, INC. CMN	X							
SP	PARK HOTELS & RESORTS INC. CMN	X							
SP	PARKER-HANNIFIN CORP. CMN	X							
SP	PARSLEY ENERGY, INC. CMN	X							

Schedule A: Assets and Unearned Income

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SP/PC/T	Asset Name	Transaction Summary			Spouse / DC Income over 1000000
		P	PS	FS	
Min	0	0.01	201	1,001	2,501
Max	0	200	1,000	2,500	5,000
		ELF	Amount of Income		
SP	NCR CORPORATION CMN	X			
SP	NEKTAR THERAPEUTICS CMN	X			
SP	NETAPP, INC. CMN	X	X		
SP	NEUROCRINE BIOSCIENCES, INC. CMN	X			
SP	NEWELL BRANDS, INC. CMN	X			
SP	NEWFIELD EXPLORATION CO. CMN	X			
SP	NEWMARK GROUP, INC. CMN CLASS A	X			
SP	NEWMONT GOLDcorp CORP CMN	X			
SP	NEWS CORPORATION CMN CLASS A	X			
SP	NEXTERA ENERGY, INC. CMN	X			
SP	NIELSEN HOLDGS PLC CMN	X			
SP	NIKE CLASS-B CMN CLASS B	X			
SP	NISOURCE INC. CMN	X			
SP	NOBLE ENERGY INC CMN	X			
SP	NORDSTROM, INC. CMN	X			
SP	NORFOLK SOUTHERN CORP CMN	X			
SP	NORTHERN TRUST CORP CMN	X			
SP	NORTHROP GRUMMAN CORP CMN	X			
SP	NORWEGIAN CRUISE LINE Holdings LTD CMN	X			
SP	NRG ENERGY, INC. CMN	X			
SP	NUANCE COMMUNICATIONS, INC. CMN	X			
SP	NUCOR CORPORATION CMN	X			
SP	NUVENT ELECTRIC PUBLIC LIMITED COMPANY	X			
SP	NVIDIA CORPORATION CMN	X			
SP	NXP SEMICONDUCTORS N.V. CMN	X			
SP	OCIDENTAL PETROLEUM CORP CMN	X			
SP	OLA THE LANS DB-5% 10/01/19 AG GO IMPY AND REF BDs 280	X			
UT BE	UTI CORP	X			
SP	OMA CORPORATION CMN	X			
SP	OMEGA HEALTHCARE INVESTORS INC CMN	X			
SP	OMNICOM GROUP CMN	X			
SP	ON Semiconductor Corporation CMN	X			
SP	ONEXOK INC CMN	X			
SP	OPKO HEALTH INC CMN	X			
SP	ORACLE CORPORATION CMN	X			
SP	OFFICELY AUTOMOTIVE, INC. CMN	X			
SP	OSHKOSH CORPORATION CMN	X			
SP	OWENS CORNING CMN	X			
SP	OWENS-ILLINOIS INC CMN	X			
SP	P.G & E CORPORATION CMN	X			
SP	PACCAR INC CMN	X			
SP	PACKAGING CORP OF AMERICA COMMON STOCK	X			
SP	PACWEST BANKCORP CMN	X			
SP	PARKMOUNT GROUP, INC. CMN	X			
SP	PARK HOTELS & RESORTS INC. CMN	X			
SP	PARKER-HANNIFIN CORP. CMN	X			
SP	PASLEY ENERGY, INC. CMN	X			

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

SP/DC/IT	Asset Name	Mtn		Max		Capital Gains	Excepted / Blind Trust	Tax Deferred	Partnership Income
		ElF	Type of Income	None	Dividends				
SP	PATTERSON-LITI ENERGY, INC. ORD CMN REG OFFER 23054738			X	X				
SP	PAYCHECK, INC. CMN			X	X				
SP	PAYPAL HOLDINGS, INC. CMN			X	X				
SP	PENN HIGHER EDU ASSET AGCY REV 5.0000% 09/01/19 MS			X	X				
SP	UNIVERSITY OF PENNSYLVANIA SEO			X	X				
SP	PENTAIR PLC CMN			X	X				
SP	PERSICO, INC. CMN			X	X				
SP	PERKINELMER, INC. CMN			X	X				
SP	PEIRIGO CO. PLC CMN			X	X				
SP	PERSPECTA, INC. CMN			X	X				
SP	PFIZER INC. CMN			X	X				
SP	PFLEUGER SERVICE TEX INDUT PT SCH GO 5% 02/15/19 FA DIST ULTD			X	X				
SP	TAX SCH BLOC BDG 2014 UT-BEO SR LIEN			X	X				
SP	PHILIP MORRIS INT'L INC CMN			X	X				
SP	PHILLIPS 66 CMN			X	X				
SP	PIEDMONT OFFICE REALTY TRUST, CMN			X	X				
SP	PILGRIM'S PRIDE CORPORATION CMN			X	X				
SP	PINNACLE FINL PARTNERS INC CMN			X	X				
SP	PINNACLE WEST CAPITAL CORP CMN			X	X				
SP	PIONEER NATURAL RESOURCES CO CMN			X	X				
SP	PNC FINANCIAL SERVICES GROUP, CMN			X	X				
SP	PPG INDUSTRIES, INC. CMN			X	X				
SP	PPL CORPORATION CMN			X	X				
SP	PRAXAIR, INC. CMN			X	X				
SP	PRINCIPAL FINANCIAL GROUP, INC CMN			X	X				
SP	PROCTER & GAMBLE COMPANY (THE) CMN			X	X				
SP	PROLOGIS INC CMN			X	X				
SP	PRUDENTIAL FINANCIAL INC CMN			X	X				
SP	PTC INC CMN			X	X				
SP	PUBLIC STORAGE CMN			X	X				
SP	PUBLIC-SVC ENTERPRISE GROUP HOLDING CO			X	X				
SP	PULTEGROUP INC. CMN			X	X				
SP	PURE STORAGE INC CMN CLASS A			X	X				
SP	Q19 CORP CMN			X	X				
SP	QEP RESOURCES INC CMN			X	X				
SP	QORVO, INC. CMN			X	X				
SP	QUALCOMM INC CMN			X	X				
SP	QUANTA SERVICES INC CMN			X	X				
SP	QUEST DIAGNOSTICS INCORPORATED CMN			X	X				
SP	QUARATE RETAIL INC CMN SERIES A			X	X				
SP	RALPH LAUREN CORP CMN CLASS A			X	X				
SP	RANGE RESOURCES CORPORATION CMN			X	X				
SP	RAYMOND JAMES FINANCIAL, INC. CMN			X	X				
SP	RAYTHEON COMPANY CMN			X	X				
SP	REALTY INCOME CORPORATION CMN			X	X				
SP	RED HAT, INC. CMN			X	X				
SP	REGAL BELLCOR CORP CMN			X	X				

Schedule A: Assets and Unearned Income

SP/DC/JT Asset Name	EI#	Amount of Income	Transaction Summary			Spouse / DC Income over 1000000
			P	PS	FS	
RBC Admrs 1994 Trust						
Max	0	200	1,000	2,500	5,000	15,000
SP PAYCHEX, INC. CMN	X	X				
SP PAYPAL HOLDINGS, INC. CMN	X					
SP PENN HGRH EDU ASSIST AGCY REV 5.00000% 05/01/19 MS	X					
SP UNIVERSITY OF PENNSYLVANIA BED						
SP PENTAIR PLC. CMN						
SP PEPSICO, INC. CMN						
SP PERKINELMER, INC. CMN	X					
SP PERRIGO CO PLC CMN	X					
SP PERSECTA, INC. CMN	X					
SP PFIZER, INC. CMN						
SP PFLUGERVILLE TEX INDOT SCH GO 5% 02/15/19 FA DST ULTD	X					
SP TAX SCH BLDG BDS 2014 LIT BEO SR JEN						
SP PHILIP MORRIS INTL INC CMN		X				
SP PHILLIPS 66 CMN		X				
SP PIEDMONT OFFICE REALTY TRUST CMN		X				
SP PILGRIM'S PRIDE CORPORATION CMN		X				
SP PINNACLE FINL PARTNERS INC CMN		X				
SP PINNACLE WEST CAPITAL CORP CMN		X				
SP PIONEER NATURAL RESOURCES CO CMN		X				
SP PNC FINANCIAL SERVICES GROUP CMN		X				
SP PPG INDUSTRIES, INC. CMN		X				
SP PPL CORPORATION CMN		X				
SP PROXIM, INC. CMN		X				
SP PRINCIPAL FINANCIAL GROUP, INC CMN		X				
SP PROCTER & GAMBLE COMPANY (THE) CMN		X				
SP PROLOGIS INC CMN		X				
SP PRUDENTIAL FINANCIAL INC CMN		X				
SP FTC INC CMN		X				
SP SPUBLIC STORAGE CMN		X				
SP PUBLIC-SPEC ENTERPRISE GROUP HOLDING CO		X				
SP PUREGROUP INC CMN		X				
SP PURE STORAGE INC CMN CLASS A		X				
SP PYH CORP CMN		X				
SP QED RESOURCES INC CMN		X				
SP QORVO, INC. CMN		X				
SP QUALCOMM INC CMN		X				
SP QUANTA SERVICES INC CMN	X	X				
SP QUEST DIAGNOSTICS INC incorporated CMN	X	X				
SP QURATE RETAIL INC CMN SERIES A	X	X				
SP RALPH LAUREN CORP CMN CLASS A	X	X				
SP RANGE RESOURCES CORPORATION CMN	X	X				
SP RAYMOND JAMES FINANCIAL, INC. CMN	X	X				
SP RAYTHEON COMPANY CMN	X	X				
SP REALTY INCOME CORPORATION CMN	X	X				
SP RED HAT, INC. CMN	X	X				
SP REGAL BELLOTTI CORP CMN	X	X				

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

SP/DC/IT	Asset Name	Mkt									
		E/F	Type of income	None	Dividends	Rent	Interest	Capital Gains	Excepted / Blind Trust	Tax Deferred	Partnership Income
SP	REGAL ENTERTAINMENT GROUP CMN CLASS A			X	X			X			
SP	REGENCY CENTERS CORPORATION CMN			X				X			
SP	REGENERON PHARMACEUTICAL INC CMN			X				X			
SP	REGIONS FINANCIAL CORPORATION CMN			X				X			
SP	REINSURANCE RE HOLDINGS LTD CMN			X				X			
SP	RENTAHOUSE RE HOLDINGS LTD CMN			X				X			
SP	REPUBLIC SERVICES INC CMN			X				X			
SP	RESIDEO TECHNOLOGIES INC CMN			X				X			
SP	RESIMED INC CMN			X				X			
SP	RHODE ISL HEALTH & EDI BLDG REV 5.2500% 05/15/29 MN CORP REV PUB SCH REV BIDS 2009D PRERE 05/15/19 INS OBL SR LIEN			X				X			
SP	ROBERT HALF INTERNATIONAL INC CMN			X				X			
SP	ROCKWELL AUTOMATION INC CMN			X				X			
SP	ROCKWELL COLLINS, INC CMN			X				X			
SP	ROLLINS INC CMN			X				X			
SP	ROPER TECHNOLOGIES INC CMN			X				X			
SP	ROSS STORES INC CMN			X				X			
SP	ROYAL CARIBBEAN CRUISES LTD ISIN: LR0000886288			X				X			
SP	RPC INC CMN			X				X			
SP	RPM INTERNATIONAL INC CMN			X				X			
SP	RYDER SYSTEM INC CMN			X				X			
SP	SBP GLOBAL INC CMN			X				X			
SP	SABRE CORPORATION CMN			X				X			
SP	SACHSE TEX GO 3.6250% 02/15/19 FA REF AND IMPT BIDS 2009 LT INS BEFO ID			X				X			
SP	SALESFORCE.COM, INC CMN			X				X			
SP	SEA COMMUNICATIONS CORPORATION CMN			X				X			
SP	SCANA CORP CMN			X				X			
SP	SCHLUMBERGER LTD CMN			X				X			
SP	SEGATE TECHNOLOGY PLC CMN			X				X			
SP	SEALED AIR CORPORATION CMN			X				X			
SP	SEATTLE GENETICS, INC CMN			X				X			
SP	SEDWICK CNTY KANS UNIF SCH GO 4% 10/01/19 AD DIST NO 259 WICHITA GO REF BLDG BIDS 2013A UT BEFO			X				X			
SP	SEI INVESTMENTS COMPANY CMN			X				X			
SP	SEMIRA ENERGY CMN			X				X			
SP	SENIOR HOUSING PROPERTIES TR CMN			X				X			
SP	SERVICENOW INC CMN			X				X			
SP	SHERWIN-WILLIAMS CO CMN			X				X			
SP	SHPFY INC. CMN CLASS A			X				X			
SP	SIGNATURE BANK CMN			X				X			
SP	SIGNET JEWELERS LIMITED CMN			X				X			
SP	SIMON PROPERTY GROUP INC CMN			X				X			
SP	SIRIUS XM HOLDINGS INC CMN			X				X			
SP	SKYWORKS SOLUTIONS, INC. CMN			X				X			
SP	SL GREEN REALTY CORP CMN			X				X			
SP	SM ENERGY COMPANY CMN			X				X			

Schedule A: Assets and Unearned Income

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SP/PC/IT	Asset Name	E/F	Amount of Income	Transaction Summary		
				P	PS	FS
SP	REGAL ENTERTAINMENT GROUP CMN CLASS A			X		
SP	REGENCY CENTERS CORPORATION CMN	X		X		
SP	REGENERON PHARMACEUTICAL INC CMN			X		
SP	REGIONS FINANCIAL CORPORATION CMN			X		
SP	REINSURANCE GROUP AMER INC CMN			X		
SP	RENAISSANCE RE HOLDINGS LTD CMN			X		
SP	REPUBLIC SERVICES INC CMN			X		
SP	RESIDEO TECHNOLOGIES INC. CMN			X		
SP	RESIMED INC. CMN			X		
SP	RHODE ISL HEALTH & EDI BLDG REV 5.2500% 05/15/79 MN					
SP	CORP REV PUBL SCH REV BDG 2009D PRERE 05/15/19 INS OID SR					
LBN	ROBERT HALF INTERNATIONAL INC. CMN	X		X		
SP	ROCKWELL AUTOMATION INC CMN			X		
SP	ROCKWELL COLLINS, INC. CMN			X		
SP	ROLLINS INC. CMN			X		
SP	ROBERT TECHNOLOGIES INC CMN			X		
SP	ROSS STORES, INC CMN			X		
SP	ROYAL CARIBBEAN CRUISES LTD ISIN: LR0008862368			X		
SP	RPC INC CMN			X		
SP	RPM INTERNATIONAL INC CMN			X		
SP	RYDER SYSTEM INC CMN			X		
SP	SEB GLOBAL INC. CMN			X		
SP	SABRE CORPORATION CMN			X		
SP	SACHSE TEX GO 3.6250% 02/15/19 FA REF AND IMPT BDG 2009	X		X		
SP	LT INS BEO DID			X		
SP	SALESFORCE.COM, INC CMN	X		X		
SP	SEA COMMUNICATIONS CORPORATION CMN			X		
SP	SCANA CORP CMN			X		
SP	SCHLUMBERGER LTD CMN			X		
SP	SEAGATE TECHNOLOGY PLC CMN			X		
SP	SEALED AIR CORPORATION CMN			X		
SP	SEATTLE GENETICS, INC. CMN			X		
SP	SEGWICK CNTY KANS UNI SCH GO 4% 10/01/19 AO DIST NO			X		
SP	229 WICHITA GO REF BLDG BDG 2013A UT BEO	X		X		
SP	SEI INVESTMENTS COMPANY CMN			X		
SP	SEMIRA ENERGY CMN			X		
SP	SENIOR HOUSING PROPERTIES TR CMN	X		X		
SP	SERVICENOW INC CMN			X		
SP	SHERWIN-WILLIAMS CO CMN			X		
SP	SHOPIFY INC. CMN CLASS A	X		X		
SP	SIGNATURE BANK CMN			X		
SP	SIGNET JEWELERS LIMITED CMN	X		X		
SP	SIMON PROPERTY GROUP INC CMN			X		
SP	SIRIUS XM HOLDINGS INC. CMN			X		
SP	SKYWORKS SOLUTIONS, INC. CMN			X		
SP	SL GREEN R EALTY CORP CMN	X		X		
SP	SM ENERGY COMPANY CMN	X		X		

Spouse / DC
Income over
1000000

Schedule A: Assets and Unearned Income

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SP/DC/JT	Asset Name	Type of Income	Eligible	None	Dividends	Rent	Interest	Capital Gains	Excepted / Blind Trust	Tax Deferred	Partnership Income
SP	SNAP-ON INC CMN										
SP	SOUTHERN TEXAS COMMUNITY COLLEGE DIST GO 5% 08/15/19 FA TEX LTD TAX BD'S 2014 LT BEG SR LIEN		X								
SP	SOUTHERN COPPER CORPORATION CMN			X							
SP	SOUTHWEST AIRLINES CO CMN			X							
SP	SPECTRUM BRANDS, INC. CMN			X							
SP	SPRIT AEROSYSTEMS HOLDINGS INC CMN			X							
SP	SPRIT REALTY CAPITAL, INC. CMN			X							
SP	SPUNK INC CMN			X							
SP	SPURIT CORPORATION CMN			X							
SP	STANLEY BLACK & DECKER, INC. CMN			X							
SP	STARBUCKS CORP. CMN			X							
SP	STATE STREET CORPORATION (NEW) CMN			X							
SP	STERICYCLE, INC. CMN			X							
SP	STERLING BANCORP CMN			X							
SP	STRONGSVILLE OH GO 2.2500% 12/01/18 JD VARIOUS PUPP RE BDS 2011 LT BEG						X				
SP	STRYKER CORPORATION CMN				X						
SP	SUNTRUST BANKS INC CMN				X						
SP	SVB FINANCIAL GROUP CMN				X						
SP	SYNAPTEC CORPORATION CMN				X						
SP	SYNCHRONY FINANCIAL CMN				X						
SP	SYNOPSYS INC. CMN				X						
SP	SYNOVUS FINANCIAL CORP CMN				X						
SP	TASC CORPORATION CMN				X						
SP	T. ROWE PRICE GROUP, INC. CMN				X						
SP	TABLEAU SOFTWARE, INC. CMN CLAS A TAKE TWO INTERACTIVE SOFTWARE INC				X						
SP	TAPISTRY, INC. CMN				X						
SP	TARGA RESOURCES CORP. CMN				X						
SP	TCF FINANCIAL CORP MINN				X						
SP	TD AMERITRADE HOLDING CORP CMN				X						
SP	TE CONNECTIVITY LTD CMN				X						
SP	TECHINIFPC PLC CMN				X						
SP	TEGNA, INC. CMN				X						
SP	TELEDYNE TECHNOLOGIES INCORPOR. CMN				X						
SP	TERADYNE INC CMN				X						
SP	TEREX CORP (NEW) CMN				X						
SP	TESARO INC CMN				X						
SP	TEXAS INSTRUMENTS INC. CMN				X						
SP	TEXTRON INC. DEL. CMN				X						
SP	THE BANK OF NY MELLON CORP CMN				X						
SP	THE GOLDMAN SACHS GROUP, INC. UNLINKED TO EURO STOXX 50 PR DUAL DIRECTIONAL STRUCTURED NOTE DUE 01/18/2018				X						

Schedule A: Assets and Unearned Income

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SP/DC/JT	Asset Name	Transaction Summary			Spouse / DC Income over limits
		P	PS	FS	
SP	SNAP-ON INC CMVN				
SP	SOUTH TEXAS COUNTY COLLEGE DIST GO 5% DB/15/19 FA TEX LTD TAX BD\$ 2014 LT BEO SR JEN	X			X
SP	SOUTHERN COPPER CORPORATION CMVN	X			X
SP	SOUTHWEST AIRLINES CO CMVN	X			X
SP	SPECTRUM BRANDS, INC. CMVN	X			X
SP	SPRIT AEROSYSTEMS HOLDINGS INC CMVN	X			X
SP	SPRIT REALTY CAPITAL, INC. CMVN	X			X
SP	SPUNK, INC CMVN	X			X
SP	SPRINT CORPORATION CMVN	X			X
SP	STANLEY BLACK & DECKER, INC. CMVN	X			X
SP	STARBUCKS CORP. CMVN	X			X
SP	STATE STREET CORPORATION (NEW) CMVN	X	X	X	X
SP	STERI-CYCLE, INC. CMVN	X			X
SP	STERLING BANCORP CMVN	X			X
SP	STRONGSVILLE OHIO GO 2.25%00% 12/01/13 1D VARIOUS PURP REF BDS 2011 LT BEO	X			X
SP	STRYKER CORPORATION CMVN	X			X
SP	SUMITRAUST BANKS INC CMVN	X			X
SP	SVB FINANCIAL GROUP CMVN	X			X
SP	SYMANTEC CORPORATION CMVN	X			X
SP	SYNCHRONY FINANCIAL CMVN	X			X
SP	SYNOPSYS INC. CMVN	X			X
SP	SYNOVIS FINANCIAL CORP CMVN	X			X
SP	SYSCO CORPORATION CMVN	X			X
SP	T. ROWE PRICE GROUP, INC. CMVN	X			X
SP	TABLEAU SOFTWARE, INC. CMVN CLASS A TAKE TWO INTERACTIVE SOFTWARE INC	X			X
SP	TARGET, INC. CMVN	X			X
SP	TARGIA RESOURCES CORP. CMVN	X			X
SP	TARGET CORPORATION CMVN	X			X
SP	TEF FINANCIAL CORP MNIN	X			X
SP	TD AMERITRADE HOLDING CORP CMVN	X			X
SP	TE CONNECTIVITY LTD CMVN	X			X
SP	TECHNIPERM PLC CMVN	X			X
SP	TEIGRA, INC. CMVN	X			X
SP	TELEDYNE TECHNOLOGIES INC CORP CMVN	X			X
SP	TERADYNE INC CMVN	X			X
SP	TEREX CORP (NEW) CMVN	X			X
SP	TESARO INC CMVN	X			X
SP	TEKTRONIX INSTRUMENTS INC. CMVN	X			X
SP	THE BANK OF NY MELLON CORP CMVN	X			X
SP	THE GOLDMAN SACHS GROUP, INC. LINKED TO EURO STOCKS 50 PR.DJIA/D. DIRECTIONAL STRUCTURED NOTE DUE 04/18/2018	X			

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

SP/DC/JT	Asset Name	Eff	Type of Income				Capital Gains	Excepted / Blind Trust	Tax Deferred	Partnership Income
			None	Dividends	Rent	Interest				
SP	THE GOLDMAN SACHS GROUP, INC. LINKED TO S&P 500 INDEX CNTNGNT CPN W/BUFFER STRUCTURED NOTE DUE 01/19/2018						x			
SP	THE GOLDMAN SACHS GROUP, INC. LINKED TO S&P 500 INDEX DUAL DIRECTIONAL STRUCTURED NOTE DUE 01/19/2018						x			
SP	THE GOOD YEAR TIRE & RUBBER CO. CMN						x			
SP	THE HERSHEY COMPANY CMN						x			
SP	THE HOME DEPOT, INC. CMN						x			
SP	THE KRAFT HEINZ CO CMN						x			
SP	THE MIDDLEBY CORPORATION CMN						x			
SP	THE PROGRESSIVE CORPORATION CMN						x			
SP	THE SCOTT'S MIRACLE GRO CO CLASS A						x			
SP	THE SOUTHERN CO. CMN						x			
SP	THE TRAVELERS COMPANIES, INC. CMN						x			
SP	TIME WILLIAMS COMPANIES, INC. CMN						x			
SP	THERMO FISHER SCIENTIFIC INC CMN						x			
SP	TIFFANY & CO CMN						x			
SP	TIMKEN CO. CMN						x			
SP	TIX COMPANIES INC (NEW) CMN						x			
SP	T-MOBILE US, INC. CMN						x			
SP	TOLL BROTHERS, INC. CMN						x			
SP	TORCHMARK CORPORATION CMN						x			
SP	TORO CO (DELAWARE) CMN						x			
SP	TOTALSYS SYC INC. CMN						x			
SP	TRACTOR SUPPLY COMPANY CMN						x			
SP	TRANSFORMING GROUP INCORPORATED CMN						x			
SP	TRIMBLE INC CMN						x x x			
SP	TRIPADVISOR, INC. CMN						x			
SP	TUPPERWARE BRANDS CORPORATION CMN						x			
SP	TWENTY-FIRST CENTURY FOX, INC. CMN CLASS A						x			
SP	TWENTY-FIRST CENTURY FOX, INC. CMN CLASS B						x			
SP	TWITTER, INC. CMN						x			
SP	TYSON FOODS INC CL-A CMN CLASS A						x			
SP	U.S. DOLLAR						x			
SP	U.S. BANCORP CMN						x			
SP	UDR INC CMN						x			
SP	UGI CORPORATION (HOLDING CO)CMN						x			
SP	ULTA BEAUTY INC CMN						x			
SP	UNDER ARMOUR, INC. CMN CLASS A						x			
SP	UNDER ARMOUR, INC. CMN CLASS C						x			
SP	UNION PACIFIC CORP. CMN						x			
SP	UNITED AIRLINES HOLDINGS, INC CMN						x			
SP	UNITED PARCEL SERVICE, INC. CLASS B COMMON STOCK						x			
SP	UNITED RENTALS, INC. CMN						x			
SP	UNITED TECHNOLOGIES CORPORATION CMN						x			
SP	UNITEDHEALTH GROUP INCORPORATE CMN						x			

Schedule A: Assets and Unearned Income

SP/DC/JT	Asset Name	Transaction Summary			Spouse / DC Income over Income over
		P	PS	FS	
SP	THE GOLDMAN SACHS GROUP, INC. LINKED TO S&P 500 INDEX CREDIT LINE CMN W/BUFFER STRUCTURED NOTE DUE 01/19/2018				X
SP	DUAL-DIRECTIONAL STRUCTURED NOTE DUE 01/19/2018				X
SP	THE GOODFELLOW & RUBBER CO. CMN				
SP	THE HERSHEY COMPANY CMN				
SP	THE HOME DEPOT, INC. CMN				
SP	THE KRAFT HEINZ CO CMN				
SP	THE MIDDLEBY CORPORATION CMN	X			
SP	THE PROGRESSIVE CORPORATION CMN	X			
SP	THE SCOTT'S MIRACLE GRO CO CLASS A	X			
SP	THE SOUTHERN CO. CMN	X			
SP	THE TRAVELERS COMPANIES, INC. CMN	X			
SP	THE WILLIAMS COMPANIES, INC. CMN	X			
SP	THERMO FISHER SCIENTIFIC INC CMN	X			
SP	TIFFANY & CO CMN	X			
SP	TIMKEN CO CMN	X			
SP	TOKYO COMPANIES INC [NEW] CMN	X			
SP	T-MOBILE US, INC. CMN	X			
SP	TOLL BROTHERS, INC. CMN	X			
SP	TORCHMARK CORPORATION CMN	X			
SP	TORO CO (DELAWARE) CMN	X			
SP	TOTAL SVCS INC. CMN	X			
SP	TRACTOR SUPPLY COMPANY CMN	X			
SP	TRANSIGM GROUP INCORPORATED CMN	X			
SP	TRIMBLE INC CMN	X			
SP	TRIPADVISOR, INC. CMN	X			
SP	TUPPERWARE BRANDS CORPORATION CMN	X			
SP	TWENTY-FIRST CENTURY FOX, INC. CMN CLASS A	X			
SP	TWENTY-FIRST CENTURY FOX, INC. CMN CLASS B	X			
SP	TWITTER, INC. CMN	X			
SP	TYSON FOODS INC CL-A CMN CLASS A	X			
SP	U.S. DOLLAR	X			
SP	U.S. Bancorp CMN	X			
SP	UDR INC CMN	X			
SP	UGI CORPORATION (HOLDING CO) CMN	X			
SP	ULTA BEAUTY INC CMN	X			
SP	UNDER ARMOUR, INC. CMN CLASS A	X			
SP	UNDER ARMOUR, INC. CMN CLASS C	X			
SP	UNION PACIFIC CORP. CMN	X			
SP	UNITED AIRLINES HOLDINGS INC CMN	X			
SP	UNITED PARCEL SERVICE, INC. CLASS B COMMON STOCK	X			
SP	UNITED RENTALS, INC. CMN	X			
SP	UNITED TECHNOLOGIES CORPORATION CMN	X			
SP	UNITED THERAPEUTICS CORP CMN	X			
SP	UNITEDHEALTH GROUP INCORPORATE CMN	X			

Schedule A: Assets and Unearned Income

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Schedule A: Assets and Unearned Income

SP/DC/IT	Asset Name	Max		Min		Type of Income	Capital Gains	Exempted / Blind Trust	Tax Deferred	Partnership Income
		E/F	None	X	Dividends	Rent				
SP	UNIVERSAL DISPLAY CORPORATION CMN			X				X		
SP	UNIVERSAL HEALTH SVC CL B CMN CLASS B			X				X		
SP	UNUM GROUP CMN			X				X		
SP	URBAN OUTFITTERS INC CMN			X				X		
SP	USG CORP (NEW) CMN			X				X		
SP	VALERO ENERGY CORPORATION CMN			X				X		
SP	VALIDUS HOLDINGS, LTD. CMN			X				X		
SP	VARIANT MEDICAL SYSTEMS INC CMN			X				X		
SP	VECTREN CORPORATION CMN			X				X		
SP	VENTAS, INC. CMN			X				X		
SP	VERIFI, INC. CMN			X				X		
SP	VERISIGN, INC. CMN			X				X		
SP	VERISK ANALYTICS, INC. CMN			X				X		
SP	VERIZON COMMUNICATIONS, INC. CMN			X				X		
SP	VERTEX PHARMACEUTICALS INC/PO CMN			X				X		
SP	VF CORP CMN			X				X		
SP	VIACOM INC CMN CLASS A			X				X		
SP	VISA INC. CMN CLASS A			X				X		
SP	VMWARE, INC. CMN CLASS A			X				X		
SP	VORNADO REALTY TRUST CMN			X				X		
SP	VULCAN MATERIALS CO CMN			X				X		
SP	W.R. BERKLETT CORPORATION CMN			X				X		
SP	W.R. GRACE & CO (NEW) CMN			X				X		
SP	W.M. GRAINGER INC CMN			X				X		
SP	WABCO HOLDINGS INC. CMN			X				X		
SP	WALGREEN'S BOOTS ALLIANCE, INC. CMN			X				X		
SP	WALMART INC CMN			X				X		
SP	WALT DISNEY COMPANY (THE) CMN			X				X		
SP	WARNER MEDIA, LLC CMN			X				X		
SP	WASHINGTON ST DB 5% DR/01/34 FA MOTOR VEHICLE FUEL TAX GO SDS 2018 PERIRE 08/01/19 UT BED			X				X		
SP	WASTE MANAGEMENT INC CMN			X				X		
SP	WATERS CORPORATION COMMON STOCK			X				X		
SP	WAYFAIR INC. CMN			X				X		
SP	WEATHERFORD INTERNATIONAL PLC CMN			X				X		
SP	WE ENERGY GROUP, INC. CMN			X				X		
SP	WEINGARTEN REALTY INVS (SDJ) CMN			X				X		
SP	WELLS FARGO & CO (NEW) CMN			X				X		
SP	WELLTOWER INC. CMN			X				X		
SP	WESTERN DIGITAL CORPORATION CMN			X				X		
SP	WESTERN UNION COMPANY (THE) CMN			X				X		
SP	WESTLAKE CHEMICAL CORPORATION CMN			X				X		
SP	WESTROCK COMPANY CMN			X				X		
SP	WEYERHAEUSER COMPANY CMN			X				X		
SP	WHIRLPOOL CORP. CMN			X				X		

Schedule A: Assets and Unearned Income

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SP/DC/JT Asset Name	E/F Amount of Income	Min			0	0.01	0.01	1,001	2,501	5,001	15,001	50,001	100,001	1,000,001	5,000,001	Spouse / DC Income over
		P	PS	FS												
REIN. AMER. 1994 TRUST																
SP UNIVERSAL DISPLAY CORPORATION CMN		X														
SP UNIVERSAL HEALTH SVC CL B CMN CLASS B		X														
SP UNION GROUP CMN		X														
SP URBAN OUTFITTERS INC CMN																
SP USG CORP (NEW) CMN																
SP VALERO ENERGY CORPORATION CMN																
SP VALIDUS HOLDINGS LTD. CMN																
SP VECTREN CORPORATION CMN																
SP VENTAS, INC. CMN		X														
SP VERET, INC. CMN		X														
SP VERISIGN, INC. CMN																
SP VERISK ANALYTICS, INC. CMN																
SP VERIZON COMMUNICATIONS, INC. CMN																
SP VERTEX PHARMACEUTICALS INCORPO CMN																
SP VF CORP CMN																
SP VIACOM INC CMN CLASS A																
SP VIACOM INC CMN CLASS B																
SP VISA INC. CMN CLASS A																
SP VMWARE, INC. CMN CLASS A																
SP VORNADO REALTY TRUST CMN																
SP VOLCAN MATERIALS CO CMN																
SP W.R. BERKLEY CORPORATION CMN																
SP W.R. GRACE & CO (NEW) CMN																
SP W.W. GRAINGER INC CMN																
SP WALCO HOLDINGS INC. CMN																
SP WALGREENS BOOTS ALLIANCE, INC. CMN																
SP WALMART INC CMN																
SP WALT DISNEY COMPANY (THE) CMN																
SP WARNER BROS. LLC CMN																
SP WASHINGTON ST DB 5% 08/01/94 UT BEO																
SP GO BOS 2018 PRERE 08/01/19 UT BEO		X														
SP WASTE MANAGEMENT INC CMN																
SP WATERS CORPORATION COMMON STOCK		X														
SP WAYFAIR INC. CMN		X														
SP WEATHERFORD INTERNATIONAL PLC CMN		X														
SP WEC ENERGY GROUP, INC. CMN		X														
SP WEINSCHEITEN REALTY INVS (SR) CMN		X														
SP WELLS FARGO & CO (NEW) CMN		X														
SP WELLTOWER INC. CMN		X														
SP WESCO INTERNATIONAL INC. CMN		X														
SP WESTERN ALLIANCE BANCORP CMN		X														
SP WEC ENERGY GROUP, INC. CMN		X														
SP WESTERN DIGITAL CORPORATION CMN		X														
SP WESTERN UNION COMPANY (THE) CMN		X														
SP WESTLAKE CHEMICAL CORPORATION CMN		X														
SP WESTROCK COMPANY CMN		X														
SP WEVERHAEUSER COMPANY CMN		X														
SP WHIRLPOOL CORP. CMN		X														

Transaction Summary

Schedule A: Assets and Unearned Income

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Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

SP/DC/IT Asset Name	EI#	Amount of Income	Transaction Summary			Spouse / DC Income over 1000000
			P	PS	FS	
WILLIS TOWERS WATSON PLC CMN		X				
WORKDAY, INC. CMN CLASS A		X				
WORLDPAY INC CMN		X				
WPX ENERGY, INC. CMN			X			
WYNDHAM DESTINATIONS, INC. CMN			X			
WYNDHAM HOTELS & RESORTS, INC. CMN		X				
WYNN RESORTS, LIMITED CMN		X				
XCEL ENERGY INC. CMN		X				
XEROX CORPORATION CMN		X				
XILINK INCORPORATED CMN		X				
XPO LOGISTICS INC CMN		X				
XYLEM INC. CMN		X				
YANDEX N.V. CMN		X				
YUM BRANDS, INC. CMN		X				
YUM CHINA HOLDINGS, INC. CMN		X				
ZAVO GROUP HOLDINGS, INC. CMN		X				
ZILLLOW GROUP INC. CMN CLASS A		X				
ZILLLOW GROUP, INC. CMN SERIES C		X				
ZIMMER BIOMET HOLDINGS INC		X				
ZIONS BANCORP CMN		X				
ZOETIS INC. CMN CLASS A		X				

Schedule A: Assets and Unearned Income

THE ALMA 1935 TRUST

Schedule A: Assets and Unearned Income

SP/DC/IT	Asset Name	Transaction Summary			Spouse / DC Income over \$5,000,000
		P	PS	RS	
SP	2U, INC. CMN	X			
SP	3M COMPANY CMN	X	X		
SP	A.O. SMITH CORP [DEI CMN	X	X		
SP	ABBOTT LABORATORIES CMN	X			
SP	ABBVIE INC CMN				
SP	ABBIOMED, INC. CMN	X	X		
SP	ACADIA PHARMACEUTICALS, INC. CMN				
SP	ACCENTURE PLC CMN CLASS A	X	X		
SP	ACTIVISION BLIZZARD, INC CMN	X	X		
SP	ACUTY BRANDS, INC. CMN				
SP	ADOBE INC CMN				
SP	ADVANCE AUTO PARTS, INC. CMN	X			
SP	ADVANCED MICRO DEVICES, INC. CMN	X			
SP	AEROCAP HOLDINGS NV ORD CMN	X			
SP	AES CORP. CMN				
SP	AETNA INC. CMN	X	X		
SP	AFAC INCORPORATED CMN	X			
SP	AGCO CORPORATION CMN	X			
SP	AGILENT TECHNOLOGIES, INC. CMN	X			
SP	AGIOS PHARMACEUTICALS, INC. CMN	X			
SP	AIR LEASE CORPORATION CMN	X			
SP	AIR PRODUCTS & CHEMICALS INC CMN	X			
SP	AKAMAI TECHNOLOGIES, INC. CMN	X			
SP	ALASKA AIR GROUP INC(DL HLDGS) CMN	X			
SP	ALBEMARLE CORP CMN	X			
SP	ALCOA CORPORATION CMN	X			
SP	ALEXANDRIA REAL ESTATE EQUITIES, INC.	X			
SP	ALEXION PHARMACEUTICALS, INC. CMN	X			
SP	ALIGN TECHNOLOGY, INC. CMN	X			
SP	ALKERMES PLC CMN	X			
SP	ALLEGIANCE PUBLIC LIMITED COMPANY CMN	X			
SP	ALLIANCE DATA SYSTEMS CORPORAT CMN	X			
SP	ALLIANT ENERGY CORPORATION CMN	X			
SP	ALLSTATE CORPORATION COMMON STOCK	X			
SP	ALLY FINANCIAL INC. CMN	X			
SP	ALLYLMAM PHARMACEUTICALS, INC. CMN	X			
SP	ALPHABET INC. CMN CLASS A	X			
SP	ALPHABET INC. CMN CLASS C	X			
SP	ALTABA INC. CLOSE ENDED FUND	X			
SP	ALTRIA GROUP, INC. CMN	X			
SP	AMAZON.COM INC CMN	X	X		
SP	AMEREN CORPORATION CMN	X	X		
SP	AMERICAN AIRLINES GROUP INC CMN	X	X		
SP	AMERICAN CAMPUS COMMNTS, INC CMN	X	X		
SP	AMERICAN ELECTRIC POWER INC CMN	X	X		

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

SP/DC/JT [®] Asset Name	EIP	Type of Income						Min	Max
		Name	Dividends	Rent	Interest	Capital Gains	Excepted / Blind Trust	Tax Deferred	Partnership Income
AMERICAN EXPRESS CO. CMN		X							
AMERICAN INT'L GROUP, INC. CMN		X	X						
AMERICAN TOWER CORPORATION CMN									
AMERICAN WATER WORKS CO, INC. CMN									
AMERIBRISE FINANCIAL, INC. CMN									
AMERISOURCEBERGEN CORPORATION CMN									
AMETEK INC (NEW) CMN									
AMGEN INC. CMN									
AMPHENOL CORP CL-A (NEW) CMN CLASS A									
ANADARKO PETROLEUM CORP CMN									
ANALOG DEVICES, INC. CMN									
ANCHORAGE ALASKA 60 5.0000% 08/01/19 MS RDG-GEN PURP-SER B UT BEO			X	X					
ANDEAVOR CMN									
ANDEAVOR LOGISTICS LP CMN									
ANIMAL CAPITAL MANAGEMENT, INC CMN			X						
ANSYS, INC. CMN									
ANTERO MIDSTREAM GP LP CMN									
ANTERO MIDSTREAM PARTNERS LP CMN									
ANTERO RESOURCES CORPORATION CMN									
ANTHEM, INC. CMN									
AOV PLC CMN									
APACHE CORP. CMN									
APARTMENT INVT & INGMT CO CL-A CMN CLASS A			X	X					
APGEO CORP CMN									
APPLE INC. CMN			X	X					
APPLIED MATERIALS INC CMN			X	X					
APTIV PLC CMN			X	X					
ARABMARK CMN			X	X					
ARCH CAPITAL GROUP LTD. CMN			X	X					
ARCHER-DANIELS-MIDLAND COMPANY CMN			X	X					
ARCONIC INC CMN			X	X					
ARISTA NETWORKS, INC. CMN			X	X					
ARLINGTON TEX INDPT SCH DIST GO 5% 02/15/19 FA ULTD TAX SCH RDG BDS 2015 UT BEO SR LIEN			X						
ARRROW ELECTRONICS INC CMN			X						
ARTHUR J GALLAGHER & CO CMN			X						
ASPEN INSURANCE HOLDINGS LTD CMN			X						
ATT&T INC. CMN			X						
ATLASSIAN CORPORATION PLC CMN CLASS A			X						
ATMOS ENERGY CORPORATION CMN			X						
AUTODESK, INC. CMN			X						
AUTOLIV, INC. CMN			X						
AUTOMATIC DATA PROCESSING INC CMN			X						
AUTOMATION, INC. CMN			X						
AUTOZONE, INC. CMN			X						

Schedule A: Assets and Unearned Income

SP/DC/IT	Asset Name	E/F	Amount of Income	Min			Max			Spouse / DC income over										
				P	PS	FS	Min	0	0.01	Max	0	200	1,000	2,501	5,001	15,001	50,001	100,001	1,000,001	5,000,001
<i>Rtn Above 1995 Thru</i>																				
SP	AMERICAN EXPRESS CO. CMN			X																
SP	AMERICAN INTL GROUP, INC. CMN			X																
SP	AMERICAN TOWER CORPORATION CMN			X	X															
SP	AMERICAN WATER WORKS CO, INC. CMN				X															
SP	AMERIPRISE FINANCIAL, INC. CMN					X														
SP	AMERISOURCEBERGEN CORPORATION CMN					X														
SP	AMETEK, INC. CMN					X														
SP	AMGEN INC. CMN						X													
SP	AMPHENOL CORP CL-A (NEW) CMN CLASS A							X												
SP	ANADARKO PETROLEUM CORP CMN								X											
SP	ANALOG DEVICES, INC. CMN									X										
SP	ANCHORAGE ALASKA GO 5.000% 09/01/19 MS RFDG-GEN PURP-SER BUT BED									X										
SP	ANDEAVOR CMN										X									
SP	ANDEAVOR LOGISTICS LP CMN											X								
SP	ANIMAL CAPITAL MANAGEMENT, INC CMN											X								
SP	ANSYS, INC. CMN												X							
SP	ANTERO MIDSTREAM GP LP CMN												X							
SP	ANTERO MIDSTREAM PARTNERS LP CMN												X							
SP	ANTERO RESOURCES CORPORATION CMN												X							
SP	ANTHEM, INC. CMN												X							
SP	AON PLC CMN												X							
SP	APACHE COMP. CMN												X							
SP	APARTMENT HNT & MGMT CO CL-A CMN CLASS A												X							
SP	APERFECT CORP CMN												X							
SP	APPLE INC. CMN												X							
SP	APPLIED MATERIALS INC CMN												X							
SP	APTIV PLC CMN												X							
SP	ABAMARRE CMN												X							
SP	ARCH CAPITAL GROUP LTD. CMN												X							
SP	ARCHER-DANIELS-MIDLAND COMPANY CMN												X							
SP	ARCONIC INC CMN												X							
SP	ARCTIA NETWORKS, INC. CMN												X							
SP	ARLINGTON TEX/HPUT SCH DST 60.5% 02/15/19 FA UHTD TAX SCH BLDG BDS												X							
SP	2015 UT BEO SR LIEN												X							
SP	ARRIOW ELECTRONICS INC. CMN												X							
SP	ARTHUR, GALLAGHER & CO CMN												X							
SP	ASPEN INSURANCE HOLDINGS LTD CMN												X							
SP	A&T INC. CMN												X							
SP	ATLASSIAN CORPORATION PLC CMN CLASS A												X							
SP	ATMOS ENERGY CORPORATION CMN												X							
SP	AUTODESK, INC. CMN												X							
SP	AUTOQUIN, INC. CMN												X							
SP	AUTOMATIC DATA PROCESSING INC CMN												X							
SP	AUTOMATION, INC. CMN												X							
SP	AUTOCZONE, INC. CMN												X							

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

SP/DC/IT	Asset Name	E/F	Type of Income							Min	Max	
			Name	X	Dividends	Rent	Interest	Capital Gains	Excepted / Blind Trust	Tax Deferred	Partnership Income	
SP	AVALONBAY COMMUNITIES INC CMN							X				
SP	AVERY DENNISON CORPORATION CMN							X				
SP	AVNET INC. CMN							X				
SP	AXA EQUITABLE HOLDINGS, INC. CMN							X				
SP	AXIS CAPITAL HOLDINGS LIMITED CMN							X				
SP	BAKER HUGHES, A GE COMPANY CMN							X				
SP	BALL CORPORATION CMN							X				
SP	BANK OF AMERICA CORP CMN							X				
SP	BANK OZK CMN							X				
SP	BANKUNION INC CMN							X				
SP	BARD C R INC NJ CMN							X				
SP	BAXTER INTERNATIONAL INC CMN							X				
SP	BB&T CORPORATION CMN							X				
SP	BECKETT, DICKINSON AND COMPANY CMN							X				
SP	BERKSHIRE HATHAWAY INC. CLASS B							X				
SP	BERRY GLOBAL GROUP INC CMN							X				
SP	BEST BUY CO INC CMN							X				
SP	BIOGEN INC. CMN							X				
SP	BIOMARIN PHARMACEUTICAL INC. CMN							X				
SP	BLACK KNIGHT, INC. CMN							X				
SP	BLACKROCK, INC. CMN							X				
SP	BLUEBIRD BIO INC CMN							X				
SP	BOEING COMPANY CMN							X				
SP	BOOKING HOLDINGS INC. CMN							X				
SP	BORGWARNER INC. CMN							X				
SP	BOSTON PROPERTIES, INC. COMMON STOCK							X				
SP	BOSTON SCIENTIFIC CORP. COMMON STOCK							X				
SP	BRIGHTHOUSE FINANCIAL, INC. CMN							X				
SP	BRISTOL-MYERS SQUIBB COMPANY CMN							X				
SP	BROADCOM INC. CMN							X				
SP	BRIDGEFIELD PROPERTY REIT INC. CMN							X				
SP	BROWN FORMAN CORP CL B CMN CLASS B							X				
SP	BUCKEYE PARTNERS LP UNITS CMN							X				
SP	BURLINGTON STORES INC CMN							X				
SP	C.H. ROBINSON WORLDWIDE, INC. CMN							X				
SP	CA, INC. CMN							X				
SP	CABOT CORP. CMN							X				
SP	CABOT OIL & GAS CORPORATION CMN							X				
SP	CADENCE DESIGN SYSTEMS INC CMN							X				
SP	CAMDEN PROPERTY TRUST CMN							X				
SP	CAMPBELL SOUP CO CMN							X				
SP	CAPITAL ONE FINANCIAL CORP CMN							X				
SP	CARPI HOLDINGS LIMITED CMN							X				
SP	CARNIVAL HEALTH, INC. CMN							X				
SP	CARLISLE COS INC CMN							X				
SP	CARMAX, INC. CMN							X				

Schedule A: Assets and Unearned Income

S/P/DC/J/T	Asset Name	E/F	Amount of Income	Transaction Summary			Spouse / DC Income over 1000000
				P	PS	FS	
SP	AVALONBAY COMMUNITIES INC CMN			x			
SP	AVERTY DENNISON CORPORATION CMN			x			
SP	AVNET INC. CMN			x			
SP	AXA EQUITABLE HOLDINGS, INC. CMN			x			
SP	AXIS CAPITAL HOLDINGS LIMITED CMN			x			
SP	BAKER HUGHES, A GE COMPANY CMN			x			
SP	BALL CORPORATION CMN			x			
SP	BANK OF AMERICA CORP CMN			x			
SP	BANK OZK CMN			x			
SP	BANK UNITED INC CMN			x			
SP	BARUCH INC NJ CMN			x			
SP	SAXTER INTERNATIONAL INC CMN			x			
SP	BB&T CORPORATION CMN			x			
SP	BECTON, DICKINSON AND COMPANY CMN			x			
SP	BERKSHIRE HATHAWAY INC. CLASS B			x			
SP	BERRY GLOBAL GROUP INC CMN			x			
SP	BEST BUY CO INC CMN			x			
SP	BIOGEN INC. CMN			x			
SP	BIOMARIN PHARMACEUTICAL INC. CMN			x			
SP	BLACK KNIGHT, INC. CMN			x			
SP	BLACKSTOCK, INC. CMN			x			
SP	BLUERIDGE BIO INC CMN			x			
SP	BOEING COMPANY CMN			x			
SP	BOOKING HOLDINGS INC. CMN			x			
SP	BORGWARNER INC. CMN			x			
SP	BOSTON PROPERTIES, INC. COMMON STOCK			x			
SP	BOSTON SCIENTIFIC CORP. COMMON STOCK			x			
SP	BRIGHTHOUSE FINANCIAL, INC. CMN			x			
SP	BRISTOL-MYERS SQUIBB COMPANY CMN			x			
SP	BROADCOM INC. CMN			x			
SP	BROOKFIELD PROPERTY REIT INC. CMN			x			
SP	BROWN FORMAN CORP CL B CMN CLASS B			x			
SP	BUCKEYE PARTNERS LP UNITS CMN			x			
SP	BURLINGTON STORES INC CMN			x			
SP	C.H. ROBINSON WORLDWIDE, INC. CMN			x			
SP	CA, INC. CMN			x			
SP	CAIBOT CORP. CMN			x			
SP	CABOT OIL & GAS CORPORATION CMN			x			
SP	CADENCE DESIGN SYSTEMS INC CMN			x			
SP	CAMDEN PROPERTY TRUST CMN			x			
SP	CAMPBELL SOUP CO CMN			x			
SP	CAPITAL ONE FINANCIAL CORP CMN			x			
SP	CAPRI HOLDINGS LIMITED CMN			x			
SP	CARDINAL HEALTH, INC. CMN			x			
SP	CARLIEUS COS INC CMN			x			
SP	CARMAX, INC. CMN			x			

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

SP/DC/IT Asset Name	Max.						
	ElF	Type of Income	None	Dividends	Rent	Interest	Capital Gains
CARNIVAL CORPORATION CMN			x				x
SP CARTER'S, INC. CMN			x				x
SP CATERPILLAR INC (DELAWARE) CMN			x				x
SP CBOE GLOBAL MARKETS, INC CMN			x				x
SP CBRE GROUP, INC. CMN CLASS A			x				x
SP CBS CORPORATION CMN CLASS B			x				x
SP CDW CORPORATION CMN			x				x
SP CELANESE CORPORATION COMMON STOCK			x				x
SP CELEGENE CORPORATION CMN			x				x
SP CENTENE CORPORATION CMN			x				x
SP CENTERPOINT ENERGY, INC CMN			x				x
SP CENTRAL LAKE CNTY ULL REV 4.0000% 05/01/19 MN ACTION WTR AGY WTR			x				x
SP REV UNFUNDED BALANCE-ZFPG BEO			x				x
SP CERTURKLIK INC CMN			x				x
SP CERNER CORPORATION CMN			x				x
SP CF INDUSTRIES HOLDINGS, INC. CMN			x				x
SP CHARLES RIV LABS INTL INC CMN			x				x
SP CHARLES SCHWAB CORPORATION CMN			x				x
SP CHARTER COMMUNICATIONS, INC. CMN			x				x
SP CHEMOURS COMPANY (THE) CMN			x				x
SP CHENIERE ENERGY, INC. CMN			x				x
SP CHESAPEAKE ENERGY CORPORATION CMN			x				x
SP CHEVRON CORPORATION CMN			x				x
SP CHIROTEL MEXICAN GRILL, INC. CMN			x				x
SP CHUBB LIMITED CMN			x				x
SP CHURCH & DWIGHT CO., INC. CMN			x				x
SP CIENA CORP CMN			x				x
SP CIENA CORPORATION CMN			x				x
SP CHIMAREX ENERGY CO. CMN			x				x
SP CINCINNATI FINANCIAL CORP CMN			x				x
SP CINTAS CORPORATION CMN			x				x
SP CISCO SYSTEMS, INC. CMN			x				x
SP CITIGROUP INC. CMN			x				x
SP CITIZENS FINANCIAL GROUP, INC. CMN			x				x
SP CITRIX SYSTEMS INC CMN			x				x
CLARK COUNTY NEW SCH DIST GO 5% 05/15/19 JD GOLD LTD TAX REF 800 2012A LT			x				x
SP BEO			x				x
SP CLOROX CO (THE) (DELAWARE) CMN			x				x
SP CME GROUP INC. CMN CLASS A			x				x
SP CMS ENERGY CORPORATION CMN			x				x
SP CNA FINCL CORP. CMN			x				x
SP COCA-COLA EUROPEAN PARTNERS PLC CMN			x				x
SP COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A			x				x
SP COHERENT INC. CMN			x				x

Schedule A: Assets and Unearned Income

SP/PC/JT	Asset Name	E/F	Amount of Income	Spouse / DC Income over						
				P	PS	FS	P	PS	FS	
SP	CARNIVAL CORPORATION CMN	X		X						
SP	CARTER'S, INC. CMN	X		X						
SP	CATERPILLAR INC (DELAWARE) CMN	X		X						
SP	CBRE GLOBAL MARKETS, INC CMN	X		X						
SP	CORE GROUP, INC. CMN CLASS A	X		X						
SP	CBS CORPORATION CMN CLASS B	X		X						
SP	CDC CORPORATION CMN	X		X						
SP	CELANESE CORPORATION COMMON STOCK	X		X						
SP	CELEGENE CORPORATION CMN	X		X						
SP	CENTENE CORPORATION CMN	X		X						
SP	CENTERPOINT ENERGY, INC CMN	X		X						
SP	CENTRAL LAKE CNTY ILL IT REV 4.0000% 05/01/19 MN ACTION WTR AGY WTR	X		X						
SP	REV UNREFUNDED BALANCE-RFDG BGD	X		X						
SP	CENTURYLINK INC CMN	X		X						
SP	CERNER CORPORATION CMN	X		X						
SP	CF INDUSTRIES HOLDINGS, INC. CMN	X		X						
SP	CHARLES RIV LABS INT'L INC CMN	X		X						
SP	CHARLES SCHWAB CORPORATION CMN	X		X						
SP	CHARTER COMMUNICATIONS, INC. CMN	X		X						
SP	CHEMOURS COMPANY (THE) CMN	X		X						
SP	CHEMIERE ENERGY, INC. CMN	X		X						
SP	CHESAPEAKE ENERGY CORPORATION CMN	X		X						
SP	CHEVRON CORPORATION CMN	X		X						
SP	CHIPOTLE MEXICAN GRILL, INC. CMN	X		X						
SP	CHUBB LIMITED CMN	X		X						
SP	CHURCH & DWIGHT CO., INC. CMN	X		X						
SP	CGNA CORP CMN	X		X						
SP	CGNA CORPORATION CMN	X		X						
SP	CMATEX ENERGY CO. CMN	X		X						
SP	CINCINNATI FINANCIAL CORP CMN	X		X						
SP	CINTAS CORPORATION CMN	X		X						
SP	CISCO SYSTEMS, INC. CMN	X		X						
SP	CITIGROUP INC. CMN	X		X						
SP	CITIZENS FINANCIAL GROUP, INC. CMN	X		X						
SP	CLTRX SYSTEMS INC CMN	X		X						
SP	CLARK COUNTY NEV SCH DIST GO 5% 06/15/19 JD GO LTD TAX REF BGD 2012A LT	X		X						
SP	BEO	X		X						
SP	CLOROX CO (THE) (DELAWARE) CMN	X		X						
SP	CME GROUP INC. CMN CLASS A	X		X						
SP	CMS ENERGY CORPORATION CMN	X		X						
SP	CMA FRCCL CORP. CMN	X		X						
SP	COCA-COLA COMPANY (THE) CMN	X		X						
SP	COCA-COLA EUROPEAN PARTNERS PLC CMN	X		X						
SP	COGNEX CORPORATION CMN	X		X						
SP	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	X		X						
SP	COHERENT INC. CMN	X		X						

Transaction Summary

Schedule A: Assets and Unearned Income

SP/DC/IT Asset Name	Transaction Summary			Spouse / DC income over 1000000
	P	PS	FS	
Eff	Amount of Income			
DENTSPLY SIRONA INC CMN		X		
DEVON ENERGY CORPORATION (NEW) CMN		X		
DIAMONDBACK ENERGY INC CMN	X			
DICKS SPORTING GOODS INC CMN		X		
DIGITAL REALITY TRUST, INC. CMN		X		
DISCOVER FINANCIAL SERVICES CMN		X		
DISCOVERY INC - A CMN SERIES A		X		
DISCOVERY INC CMN	X			
DOUGLAS EMMETT, INC. CMN		X		
DOVER CORPORATION CMN		X		
DOLLAR TREE STORES, INC. CMN		X		
DOMINION ENERGY INC CMN		X		
DODGE & FULTON INC CMN		X		
DOW CORNING CORPORATION CMN		X		
DUKE ENERGY CORPORATION CMN		X		
DUKE REALTY CORPORATION CMN		X		
DUPONT DE NEMOURS, INC. CMN		X		
DXC TECHNOLOGY COMPANY CMN		X		
E*TRADE FINANCIAL CORPORATION CMN		X		
EAGLE MATERIALS INC. CMN		X		
EAST BATON ROUGE LA SEW COMM REV \$1250%02/01/29 FA REV REV BIDS		X		
2009A PIRE 02/01/29 BEG OID		X		
EAST WEST BANCORP INC CMN		X		
EASTMAN CHEMICAL COMPANY CMN		X		
EATON CORP PLC CMN		X		
EATON VANCE CORP (NON-VTG) CMN		X		
EBAY INC. CMN		X		
ECOLAB INC. CMN		X		
EDgewell PERSONAL CARE COMPANY CMN		X		
EDISON INTERNATIONAL CMN		X		
EDWARDS LIFESCIENCES CORPORATION CMN		X		
ELECTRONIC ARTS CMN		X		
ELEMENT SOLUTIONS INC CMN		X		
ELLI LYLL & CO CMN		X		
EMERSON ELECTRIC CO. CMN		X		
ENDO INTERNATIONAL PLC CMN		X		
ENERGEN CORPORATION CMN		X		
ENERGIZER HOLDINGS, INC. CMN		X		
ENERGY TRANSFER LP CMN		X		
ENERGY TRANSFER OPERATING LP CMN		X		
ENERGY CORPORATION CMN		X		
ENTERPRISE PRODUCTS PART LP CMN		X		
ENVISION HEALTHCARE CORPORATION CMN		X		
EOG RESOURCES INC CMN		X		
EPAM SYS INC CMN		X		
EQM MIDSTREAM PARTNERS LP CMN		X		

Schedule A: Assets and Unearned Income

SP/DC/JT Asset Name		Min	0	0.01	1,001	15,001	50,001	100,001	250,001	500,001	1,000,001	25,000,001	50,000,001	250,000,000	500,000,000
		Eff		Value											
SP	EQT CORPORATION CMN														
SP	EQUIFAX INC. CMN														
SP	EQUINIX, INC. REIT														
SP	EQUITRANS MIDSTREAM CORPORATION CMN														
SP	EQUITY RESIDENTIAL CMN														
SP	ESSEX PROPERTY TRUST INC CMN														
SP	ESTEE LAUDER COS INC CLA CMN CLASS A														
SP	EUGENE ONE WTR REV REV 4% 08/04/19 FA UTIL SVS REV AND REF BIDS 2016 BEG SR LUE								X						
SP	EURONET WORLDWIDE INC CMN								X						
SP	EVEREST RE GROUP LTD CMN								X						
SP	EVERSOURCE ENERGY CMN								X						
SP	EXACT SCIENCES CORP CMN								X						
SP	EXELMIS, INC. CMN								X						
SP	EXELON CORPORATION CMN								X						
SP	EXPEDIA GROUP INC CMN								X						
SP	EXPEDITORS INTERNATIONAL OF WA CMN								X						
SP	EXPRESS SCRIPTS HOLDING COMPANY CMN								X						
SP	EXTRA SPACE STORAGE INC CMN								X						
SP	EXTRACTION OIL & GAS INC CMN								X						
SP	EDOXON MOBIL CORPORATION CMN								X						
SP	FACEBOOK, INC. CMN CLASS A								X						
SP	FASTENAL COMPANY CMN								X						
SP	FED-EX CORPORATION CMN								X						
SP	FERNANDINA BEACH FLA UTIL SVS REV 5% 09/01/19 MS REV REF BIDS 2013 A BEG								X						
SP	SR LIEN								X						
SP	FIDELITY NATL INFO SVCS INC CMN								X						
SP	FIFTH THIRD BANCORP CMN								X						
SP	FREEYE- INC. CMN								X						
SP	FIREST SOLAR, INC. CMN								X						
SP	FIRSTENERGY CORP CMN								X						
SP	FISERV, INC. CMN								X						
SP	FLEETCOR TECHNOLOGIES, INC. CMN								X						
SP	FUR SYSTEMS INC CMN								X						
SP	FLUOR CORPORATION CMN								X						
SP	FMIC CORPORATION CMN								X						
SP	FOOT LOCKER, INC. CMN								X						
SP	FORD MOTOR COMPANY CMN								X						
SP	FORTINET, INC. CMN								X						
SP	FORTUNE CORPORATION CMN								X						
SP	FORTUNE BRANDS HOME & SECURITY CMN								X						
SP	FRANKLIN RESOURCES INC CMN								X						
SP	FREEPORT-MCGRAW INC CMN								X						
SP	GAP INC CMN								X						
SP	GARMIN LTD. CMN								X						
SP	GARRETT MOTION INC. CMN								X						
SP	GARTNER, INC. CMN								X						

Schedule A: Assets and Unearned Income

SP/DC/IT	Asset Name	E/F	Amount of Income	Transaction Summary		
				P	PS	FS
SP	EQT CORPORATION CMN			X		
SP	EQUIFAX INC. CMN			X	X	
SP	EQUINIX, INC. RET			X	X	
SP	EQUITRANS MIDSTREAM CORPORATION CMN			X	X	
SP	EQUITY RESIDENTIAL CMN			X	X	
SP	ESSEX PROPERTY TRUST INC CMN			X	X	
SP	ESTEE LAUDER COS INC CLA CMN CLASS A			X	X	
SP	EUGENE ORE WTR REV REV A 0% 08/10/19 FA UTILS REV AND REF BDS 2016 BEG SR LIE			X	X	
SP	EUROCOMET WORLDWIDE INC CMN			X	X	
SP	EVEREST RE GROUP LTD CMN			X	X	
SP	EVERSOURCE ENERGY CMN			X	X	
SP	EXACT SCIENCES CORP CMN			X	X	
SP	EXELIOS, INC. CMN			X	X	
SP	EXELON CORPORATION CMN			X	X	
SP	EXPEDIA GROUP INC CMN			X	X	
SP	EXPEDITORS INTERNATIONAL OF WA CMN			X	X	
SP	EXPRESS SCRIPTS HOLDING COMPANY CMN			X	X	
SP	EXTRA SPACE STORAGE INC. CMN			X	X	
SP	EXTRACOIL OIL & GAS INC CMN			X	X	
SP	EXXON MOBIL CORPORATION CMN			X	X	
SP	FACEBOOK, INC. CMN CLASS A			X	X	
SP	FASTERNA COMPANY CMN			X	X	
SP	FEDEX CORPORATION CMN			X	X	
SP	FERNANDINA BEACH FLA UTILS REV 5% 09/01/19 MS REV REF BDS 2013 A BEG			X	X	
SP	SR LIEN			X	X	
SP	FIDELITY NATL INFO SVCS INC CMN			X	X	
SP	FIFTH THIRD BANKCORP CMN			X	X	
SP	FIRELINE, INC. CMN			X	X	
SP	FIRST SOLAR, INC. CMN			X	X	
SP	FISSTEC ENERGY CORP. CMN			X	X	
SP	FISERV, INC. CMN			X	X	
SP	FLEETCOR TECHNOLOGIES, INC. CMN			X	X	
SP	FUR SYSTEMS INC CMN			X	X	
SP	FLUOR CORPORATION CMN			X	X	
SP	FMC CORPORATION CMN			X	X	
SP	FOOT LOCKER, INC. CMN			X	X	
SP	FORD MOTOR COMPANY CMN			X	X	
SP	FORTRON, INC. CMN			X	X	
SP	FORTUNE CORPORATION CMN			X	X	
SP	FORTUNE BRANDS HOME & SECURITY CMN			X	X	
SP	FRANKLIN RESOURCES INC CMN			X	X	
SP	FREIGHTPORT-MICROMAN INC CMN			X	X	
SP	GAP INC CMN			X	X	
SP	GARMIN LTD. CMN			X	X	
SP	GARRETT MOTION INC. CMN			X	X	
SP	GARTNER, INC. CMN			X	X	

Ritu Avnay 1995 Trust

Spouse / DC
Income over
1,000,000,000 1000000

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

Renaissance 1995 Trust										Min
SP/DC/JT	Asset Name	Eff.	Type of Income	None	Dividends	Rent	Interest	Capital Gains	Exempted / Tax Deferred	Max
SP	GENERAL DYNAMICS CORP. CMN			X						
SP	GENERAL MILLS INC CMN			X				X		
SP	GENERAL MOTORS COMPANY CMN			X				X		
SP	GENESEE & WYOMING INC CMN CLASS A			X				X		
SP	GENTEK CORP CMN			X						
SP	GENUINE PARTS CO. CMN			X						
SP	GGP INC CMN			X						
SP	GILEAD SCIENCES CMN			X						
SP	GLOBAL PAYMENTS INC. CMN			X						
SP	GOODFATHER.COM, INC. CMN CLASS A			X						
SP	GOODMAN SACHS BANK USA DEPOSIT (BDA)									
SP	GRANITE POINT MORTGAGE TRUST I CMN									
SP	GRUBHUB INC CMN			X	X		X			
SP	GS FINANCE CORP. LINKED TO 10 YR CMS 6.00% COUPON STRUCTURED NOTE DUE 06/07/2018									
SP	GS FINANCIAL SQUARE TREASURY SOLUTIONS FUND									
SP	GS GCG PARTNERS INTERNATIONAL OPPORTUNITIES FU CLASS P			X	X		X			
SP	GS INTERNATIONAL EQUITY INSIGHTS FUND CLASS P			X	X		X			
SP	GS SHORT DURATION TAX FREE FUND CLASS P			X	X		X			
SP	GUIDEWIRE SOFTWARE INC CMN			X	X		X			
SP	HALLIBURTON COMPANY CMN			X	X		X			
SP	HANESBRANDS INC. CMN			X	X		X			
SP	HARLEY-DAVIDSON INC CMN			X	X		X			
SP	HARRIS Cnty TEX GO 5.0000% 10/01/19 AO BEO			X	X		X			
SP	HARRIS CORP CMN			X	X		X			
SP	HARTFORD FINANCIAL SRVCS GROUP CMN			X	X		X			
SP	HASBRO, INC. CMN			X	X		X			
SP	HATBORO HORSHAM PA SCH DIST GO 2% 10/15/19 CA AO GO BDS 2012 LT BANK			X	X		X			
SP	QUAL BEG SR LIEN			X	X		X			
SP	HAWAII CNTY HAWAII GO 5% 05/01/19 M/S GO BDS 2013A UT BEG SR LIEN			X	X		X			
SP	HAWAII ST FOR ISSUES DTD PRIOR GO 5% 10/01/19 AD TO 10/29/2015 SEE419791 RFDG-SER FE BED			X	X		X			
SP	HATS CNTY TEX DB 5% 02/15/19 FA PASS THROUGH TOLL UT/TAX ROAD BDS PASS THROUGH 2011 UT BED			X	X		X			
SP	HCA HEALTHCARE, INC CMN			X	X		X			
SP	HOP, INC. CMN			X	X		X			
SP	HD SUPPLY HOLDINGS, INC. CMN			X	X		X			
SP	HELMERICH & PAYNE INC. CMN			X	X		X			
SP	HENRY SCHEIN INC COMMON STOCK			X	X		X			
SP	HESS CORPORATION CMN			X	X		X			
SP	HEWLETT PACKARD ENTERPRISE CO CMN			X	X		X			
SP	HIGHWOODS PROPERTIES INC CMN			X	X		X			
SP	HILTON WORLDWIDE HOLDINGS INC. CMN			X	X		X			

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

SP/DC/JT	Asset Name	Ritz Atlanta 1995 Trust					
		Max	0	0.01	1,001	15,001	50,001
		ElF	Value				
SP	HOLYFRONTIER CORP CMN	X					
SP	HOLOGIC INCORPORATED CMN		X				
SP	HONEYWELL INT'L INC CMN			X			
SP	HONOLULU HAWAII CITY & CNTY GO 5.2500% 09/01/26 MSG O BDS 2009D				X		
SP	PRIERE 09/07/19 UT BEG SR LIEN					X	
SP	HOSPITALITY PROPERTIES TRUST CMN						
SP	HOST HOTELS & RESORTS INC CMN		X				
SP	HOUSTON TEX INDPT SCH DIST PUB REV 5.0000% 09/15/19 CA MS FAC CORP						
SP	LEASE REV SCH PROPS SR LN ST SPL RDM STXORD RDM						
SP	HOUSTON TEX UTILSYS FOR NSURE REV 5.0000% 11/15/19 NAV RDG-COMBINED-FIRST LIEN-SEER B BEG SR LIEN						
SP	HP INC. CMN						
SP	HUBBELL INCORPORATED CMN		X				
SP	HUMANIA INC. CMN		X				
SP	HUNTINGTON BANCSHARES INCORPOR CMN		X				
SP	HUNTINGTON INGALLS INDUSTRIES, INC.		X				
SP	HUNTSMAN CORPORATION CMN		X				
SP	HUNTSVILLE ALA DB 5% 09/01/19 MSG O REF CAP IMPFT WTS 2010 ALT BEG SR LIEN						
SP	HYATT HOTELS CORPORATION CMN CLASS A		X				
SP	IAC/INTERACTIVE Ecorp CMN		X				
SP	INDEX LABORATORIES CMN		X				
SP	IHS MARKIT LTD CMN		X				
SP	ILL FIN AUTH REV 5.5000% 04/01/29-CA AQ RFGC-ROOSEVELT UNIV PROJ OUD		X				
SP	ILSF 04/01/30 ST-XORD RDM						
SP	ILLINOIS FIN AUTH REV 5% 10/01/19 AD REV BIDS UNIVERSITY CHICAGO 2014A BEG						
SP	ILLINOIS FIN AUTH REV 6.8750% 08/15/38 FA REVENUE BONDS, SERIES 2009						
SP	IPR&Z/5/19 BEG RDM						
SP	ILLUMIX TOOL WORKS CMN						
SP	ILLUMINA, INC CMN						
SP	INCYTE CORPORATION CMN						
SP	INGERSOLL-RAND PLC CMN						
SP	INGREDION INC CMN						
SP	INTEL CORPORATION CMN		X				
SP	INTERACTIVE BROKERS GROUP, INC CMN		X				
SP	INTERCEPT PHARMACEUTICALS, INC CMN		X				
SP	INTERCONTINENTAL EXCHANGE INC CMN						
SP	INTERNATIONAL GAME TECHNOLOGY PGIC CMN		X				
SP	INTERNATIONAL PAPER CO. CMN						
SP	INTERPUBLIC GROUP COS CMN		X				
SP	INTL BUSINESS MACHINES CORP CMN		X				
SP	INT'L FLAVORS & FRAGRANCE CMN		X				
SP	INTRONIX CORPORATION CMN		X				
SP	INTUIT INC CMN		X				

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

SP/DC/IT	Asset Name	EDP	Min						Max					
			None	Dividends	Rent	Interest	Capital Gains	Excepted / Gain Trust	Tax Deferred	Partnership Income				
SP	INSTITUTE SURGICAL, INC. CMN													
SP	INVESCO LTD. CMN		X											
SP	IONIS PHARMACEUTICALS, INC. CMN		X											
SP	IRG PHOTONICS CORPORATION CMN		X											
SP	IQVIA HOLDINGS INC CMN		X											
SP	IREDELL CITY N C LTD OBLIG REV 5% 05/04/19 JD REF BDS 2015 BEO SR LIEN						X							
SP	IRON MOUNTAIN INCORPORATED CMN						X							
SP	J B HUNT TRANS SVCS INC CMN						X							
SP	J M SMUCKER COMPANY (THE) CMN						X							
SP	JACOB'S ENGINEERING GROUP CMN						X							
SP	JAZZ PHARMACEUTICALS PLC CMN						X							
SP	JEFFERIES FINANCIAL GROUP INC CMN						X							
SP	JOHNSON & JOHNSON CMN						X							
SP	JOHNSON CONTROLS INTERNATIONAL CMN						X							
SP	JPMORGAN CHASE & CO CMN						X							
SP	JUNIPER NETWORKS, INC. CMN						X							
SP	JUNO THERAPEUTICS, INC. CMN						X							
SP	KANSAS CITY SOUTHERN CMN						X							
SP	KANSAS ST DEPT TRANSH HWY REV REV 5% 09/01/19 MS REF BDS 2012B BEO SR LIEN						X							
SP	KELLOGG COMPANY CMN						X							
SP	KIMCO REALTY CORPORATION CMN						X							
SP	KINDER MORGAN INC CMN CLASS P						X							
SP	KING CITY WASH SCH DIST NO 405 GO 5% 12/01/19 JD BELLEVUE GO BDS 2012A UT BEO						X							
SP	KLA-TENCOR CORPORATION CMN						X							
SP	KOHL'S CORP (WISCONSIN) CMN						X							
SP	KOSMOS ENERGY LTD. CMN						X							
SP	KROGER COMPANY CMN						X							
SP	L BRANDS, INC. CMN						X							
SP	L3 TECHNOLOGIES INC CMN						X							
SP	LABORATORY CORPORATION OF AMER CMN						X							
SP	LAM RESEARCH CORPORATION CMN						X							
SP	LAREDO TEX OB 5% 02/25/19 FA COMB TAX AND REV CFTS OF OBLIG 2018 LT BEO						X							
SP	LAS VEGAS SANDS CORP. CMN						X							
SP	LEAR CORPORATION CMN						X							
SP	LEGG MASON INC CMN						X							
SP	LEGGETT & PLATT, INCORPORATED CMN						X							
SP	LENNAR CORPORATION CMN CLASS A						X							

Schedule A: Assets and Unearned Income

SP/DC/IT	Asset Name	E/F	Amount of Income	Min			Max			Spouse / DC Income over 1000000		
				P	PS	FS	1	2	3	4	5	6
Rita Ahuja 1995 Trust												
SP	INTUITIVE SURGICAL, INC. CMN			X								
SP	INVISCO LTD. CMN			X	X							
SP	KOMIS PHARMACEUTICALS, INC. CMN			X	X							
SP	IPG PHOTONICS CORPORATION CMN			X	X							
SP	ICVIA HOLDINGS INC CMN			X								
SP	IREDELL CNTY N C LTD OBLIG REV 5% 06/01/19 JD REF BD'S 2015 BEQ SR LIEN			X	X	X						
SP	IRON MOUNTAIN INCORPORATED CMN			X								
SP	J B HUNT TRANS SVCS INC CMN			X								
SP	J. M. SMUCKER COMPANY (THE) CMN			X	X							
SP	JABIL INC CMN			X	X							
SP	JACOBS ENGINEERING GRP CMN			X	X							
SP	JAZZ PHARMACEUTICALS PLC CMN			X	X							
SP	JEFFERIES FINANCIAL GROUP INC CMN			X	X							
SP	JOHNSON & JOHNSON CMN			X	X							
SP	JOHNSON CONTROLS INTERNATIONAL CMN			X	X							
SP	JPMORGAN CHASE & CO CMN			X	X							
SP	JUNIPER NETWORKS, INC. CMN			X	X							
SP	JUNO THERAPEUTICS, INC. CMN			X	X							
SP	KANSAS CITY SOUTHERN CMN			X	X							
SP	KANSAS ST DEPT TRANSH FMW REV REV 5% 09/01/19 NS REF BD'S 2012B BEQ SR LIEN			X								
SP	KELLOGG COMPANY CMN			X	X							
SP	KEURIG DR PEPPER INC CMN			X	X							
SP	KEYCORP CMN			X	X							
SP	KIMBERLY-CLARK CORPORATION CMN			X	X							
SP	KIMCO REALTY CORPORATION CMN			X	X							
SP	KINDER MORGAN INC CMN CLASS P			X	X							
SP	KING Cnty Wash Sch Dist No 405 GO 5% 12/01/19 JD BELLEVUE GO BD'S 2012A UT BEQ			X	X							
SP	KLA-TENCOR CORPORATION CMN			X	X							
SP	KOHL'S CORP (WISCONSIN) CMN			X	X							
SP	KOSMAS ENERGY LTD. CMN			X	X							
SP	KROGER COMPANY CMN			X	X							
SP	L BRANDS, INC. CMN			X	X							
SP	L3 TECHNOLOGIES INC CMN			X	X							
SP	LABORATORY CORPORATION OF AMERICA CMN			X	X							
SP	LAM RESEARCH CORPORATION CMN			X	X							
SP	LARDO TEX DB 5% 02/15/19 FA COMB TAX AND REV/CFTS OF OBLIG 2018 LT BEQ			X	X							
SP	LAS VEGAS SANDS CORP. CMN			X	X							
SP	LEAR CORPORATION CMN			X	X							
SP	LEGG MASON INC CMN			X	X							
SP	LEGGITT & PLATT, INCORPORATED CMN			X	X							
SP	LENMAR CORPORATION CMN CLASS A			X	X							

Schedule A: Assets and Unearned Income

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Schedule A: Assets and Unearned Income

SP/DC/JT Asset Name

Schedule A: Assets and Unearned Income

Rita Augsta 1995 Trust		
SP/DC/JT	Asset Name	
		Min
		Max
		0
		1,000
		15,000
		50,000
		100,000
		250,001
		500,001
		1,000,001
		5,000,001
		10,000,000
		25,000,000
		50,000,000
		2,000,000,000
		50,000,001
SP	MEDIMAX INC CMVN	x
SP	MEDTRONIC PUBLIC LIMITED COMP A CMVN	x
SP	MERCADOBIRE, INC. CMVN	x
SP	MERCK & CO., INC. CMVN	x
SP	MERCURY GENERAL CORPORATION CMVN	x
SP	METTLE, INC. CMVN	x
SP	METROPOLITAN WASH D C ARPTS REV 5,0000% 10/01/19 A0 SER C CEO	x
SP	METTLER-TOLEDO INTL CMVN	x
SP	MGM RESORTS INTERNATIONAL CMVN	x
SP	MICRO FOCUS INTERNATIONAL PLC SPONSORED ADR CMVN	x
SP	MICROCHIP TECHNOLOGY INCORPORATED CMVN	x
SP	MICROSOFT CORPORATION CMVN	x
SP	MID-AMERICA AIR TRUNKLINES INC CMVN	x
SP	MISSOURI ST HWYPS & TRANSH REV 5,0000% 05/01/19 MN COMMN ST RD REV	x
SP	FED SER A BEO SR LIEN	x
SP	MKS INSTRUMENTS INC CMVN	x
SP	MOHAWK INDUSTRIES INC COMMON STOCK	x
SP	MOLSON COORS BREWING CO CMVN CLASS B	x
SP	MONDELEZ INTERNATIONAL, INC. CMVN	x
SP	MONOLITHIC POWER SYSTEMS, INC. CMVN	x
SP	MONSANTO COMPANY CMVN	x
SP	MONSTER BEVERAGE CORPORATION CMVN	x
SP	MONTGOMERY CNTY ALA GO 4% 11/01/19 MN GO PREF WTS 2010 A LT BNK	x
SP	QUAL BEO SR LIEN	x
SP	MONTGOMERY CITY PA GO 5% 05/01/19 MN GO BDS 2013 UT BEO SR LIEN	x
SP	MOOGY'S CORPORATION CMVN	x
SP	MORGAN STANLEY CMVN	x
SP	MOSAIC COMPANY (THE) CMVN	x
SP	MOTOROLA SOLUTIONS INC CMVN	x
SP	MRPL LP CMVN	x
SP	MSCI INC. CMVN	x
SP	MTL LAN NV CMVN	x
SP	N H MUN BD BK REV 5,0000% 08/15/19 FA RREDG-SER A BEO	x
SP	N MEX ST HSFC EGP LN CINC HSP REV 5,0000% 08/07/19 FA RREDG-SVS-PRESBYTERIAN HLTCHC SVC-SER A S-T-X	x
SP	NASDAQ INC. CMVN	x
SP	NATIONAL RETAIL PROPERTIES INC CMVN	x
SP	NAVIENT CORPORATION CMVN	x
SP	NCR CORPORATION CMVN	x
SP	NEKTAR THERAPEUTICS CMVN	x
SP	NETAPP, INC. CMVN	x

Schedule A: Assets and Unearned Income

SP/DC/IT Asset Name		Mark								
	Eff.	Type of Income			Excepted / Tax Deferred				Partnership	
		None	Dividends	Rent	Interest	Capital Gains	X	Blind Trust	Tax Deferred	Income
SP MEDNAX INC CMN										
SP MEDTRONIC PUBLIC LIMITED COMP A CMN			X	X						
SP MERCADODIBRE, INC. CMN			X	X						
SP MERCK & CO., INC. CMN										
SP MERCURY GENERAL CORPORATION CMN										
SP METLIFE, INC. CMN										
SP METROPOLITAN WASH D C CARP T REV 5.0000% 10/01/19 AG SER C RECO			X							
SP METTLER-TOLEDO INTL CMN										
SP MGM RESORTS INTERNATIONAL CMN										
SP MICRO FOCUS INTERNATIONAL PIC SPONSORED ADR CMN										
SP MICROCHIP TECHNOLOGY INC CORP A CMN										
SP MICROSOFT CORPORATION CMN										
SP MID-AMERICA ART CHARITY INC CMN										
SP MISSOURI ST HWYS & TRANSN REV 5.0000% 05/04/29 MN COMMN ST RD REV										
SP FED SER A B&O SR LIEN		X								
SP MKS INSTRUMENTS INC CMN			X							
SP MOHAWK INDUSTRIES INC COMMON STOCK			X							
SP MOLSON COORS BREWING CO CMN CLASS B			X							
SP MONDELEZ INTERNATIONAL, INC. CMN			X							
SP MONOLITHIC POWER SYSTEMS, INC. CMN			X							
SP MONSANTO COMPANY CMN			X							
SP MONSTER BEVERAGE CORPORATION CMN			X							
SP MONTGOMERY CNTY ALA GO 4% 11/04/19 MN GO REF WTS 2010 ALTB NK			X							
SP QUAL BEOS LIEN			X							
SP MONTGOMERY CNTY PA GO 5% 05/01/19 MN GO BDS 2013 UT BEO SR LIEN			X							
SP MOODY'S CORPORATION CMN			X							
SP MORGAN STANLEY CMN			X							
SP MOSAIC COMPANY (THE) CMN			X							
SP MOTOROLA SOLUTIONS INC CMN			X							
SP MPX LP CMN			X							
SP MSCI INC. CMN			X							
SP NYLAM NV CMN			X							
SP N H MUN BLD BK REV 5.0000% 08/15/19 FA NFDG-SER A BEO			X							
SP N MEX ST HSE OP LN CMC HSE REV 5.0000% 08/04/19 FA NFDG-SYS-P			X							
SP PRESBYTERIAN HOSPITALS SVCS-SER A S-T-X			X							
SP NASDAQ, INC. CMN			X							
SP NATIONAL OILWELL VARCO INC COMMON STOCK CMN			X							
SP NATIONAL RETAIL PROPERTIES INC CMN			X							
SP NAVIENT CORPORATION CMN			X							
SP NCR CORPORATION CMN			X							
SP NERTAR THERAPEUTICS CMN			X							
SP NETAPP, INC. CMN			X							

Schedule A: Assets and Unearned Income

SP/DC/IT	Asset Name	Transaction Summary			Spouse / DC Income over Income over
		P	PS	PS	
SP	MEDNAX, INC CMN			x	
SP	MEDTRONIC PUBLIC LIMITED COMP A CMN	x	x	x	
SP	MERCADOLIBRE, INC. CMN	x	x	x	
SP	MERCK & CO., INC. CMN	x	x	x	
SP	MERCURY GENERAL CORPORATION CMN	x	x	x	
SP	METLIFE, INC. CMN	x	x	x	
SP	METROPOLITAN WASH D.C. ARPTS REV 5,0000% 10/01/19 AO SER C BEO	x	x	x	
SP	METTLER-TOLEDO INTL CMN	x	x	x	
SP	MGM RESORTS INTERNATIONAL CMN	x	x	x	
SP	MICRO FOCUS INTERNATIONAL PLC SPONSORED ADR CMN	x	x	x	
SP	MICROCHIP TECHNOLOGY INCORPORATED CMN	x	x	x	
SP	MICRON TECHNOLOGY, INC. CMN	x	x	x	
SP	MICROSOFT CORPORATION CMN	x	x	x	
SP	MID-AMERICA AIR CHARTS INC CMN	x	x	x	
SP	MISSOURI ST HWY'S & TRANSM REV 5,0000% 05/01/19 MN COMM/N ST RD REV	x	x	x	
SP	FED SER A BEO SR LIEN	x	x	x	
SP	MKS INSTRUMENTS INC CMN	x	x	x	
SP	MICHAEL KORS HOLDINGS INC CMN	x	x	x	
SP	MICHAELSON INDUSTRIES INC COMMON STOCK	x	x	x	
SP	MICHAELSON COORS BREWING CO CMN CLASS B	x	x	x	
SP	MICHAELSON INTERNATIONAL INC. CMN	x	x	x	
SP	MICROLOGIC POWER SYSTEMS, INC. CMN	x	x	x	
SP	MONSANTO COMPANY CMN	x	x	x	
SP	MONSTER BEVERAGE CORPORATION CMN	x	x	x	
SP	MONTGOMERY COUNTY ALA GO 4% 11/01/19 MN GO REF WTS 2010 ALT BNK	x	x	x	
SP	QUAL BEO SR LIEN	x	x	x	
SP	MONTGOMERY CITY PA GO 5% 05/01/19 MN GO BDS 2013 UT BECSR LIEN	x	x	x	
SP	MOODY'S CORPORATION CMN	x	x	x	
SP	MORGAN STANLEY CMN	x	x	x	
SP	MOSAIC COMPANY (THE) CMN	x	x	x	
SP	MOTOROLA SOLUTIONS INC CMN	x	x	x	
SP	MPLX LP CMN	x	x	x	
SP	MSCI INC. CMN	x	x	x	
SP	MYTAN INV CMN	x	x	x	
SP	N.H. MUN BD BK REV 5,0000% 08/15/19 FA RFDG-SER A BEO	x	x	x	
SP	N.Y.MER ST HSP ECP LN CINC/HSP REV 5,0000% 08/01/19 FA RFDG-SYS-	x	x	x	
SP	PRESBYTERIAN HLTCHRS SVCS SER A S-T-X-	x	x	x	
SP	NASDAQ, INC. CMN	x	x	x	
SP	NATIONAL OILWELL VARCO INC COMMON STOCK CMN	x	x	x	
SP	NATIONAL RETAIL PROPERTIES INC CMN	x	x	x	
SP	NAVIENT CORPORATION CMN	x	x	x	
SP	NCR CORPORATION CMN	x	x	x	
SP	NEKTAR THERAPEUTICS CMN	x	x	x	
SP	NETAPP, INC. CMN	x	x	x	

SP/DC/JT	Asset Name	RiteAmp/1995 Trust		
		E/F	Value	Min
SP	NETFLIX, INC. CMN		x	0
SP	NEUROCRIME BIOSCIENCES, INC. CMN	x	x	1,000
SP	NEWELL BRANDS INC CMN	x	x	15,000
SP	NEWFIELD EXPLORATION CO. CMN	x	x	50,000
SP	NEWHART GOLDcorp CORP CMN	x	x	100,000
SP	NEWS CORPORATION CMN CLASS A	x	x	250,000
SP	NEWS CORPORATION CMN CLASS B	x	x	500,000
SP	NETTERA ENERGY, INC. CMN	x	x	1,000,000
SP	NIELSEN HOLDGS PLC CMN	x	x	5,000,000
SP	NIKE CLASS-A CMN CLASS B	x	x	25,000,000
SP	NISOURCE, INC. CMN	x	x	50,000,000
SP	NOBLE ENERGY INC. CMN	x	x	100,000,000
SP	NORDSTROM, INC. CMN	x	x	250,000,000
SP	NORFOLK SOUTHERN CORP CMN	x	x	500,000,000
SP	NORTHERN TRUST CORP CMN	x	x	1,000,000,000
SP	NORTHROP GRUMMAN CORP CMN	x	x	2,000,000,000
SP	NORWEGIAN CRUISE LINE HLDG LTD CMN	x	x	5,000,000,000
SP	NUCLEUS ENERGY, INC. CMN	x	x	10,000,000,000
SP	NUANCE COMMUNICATIONS, INC. CMN	x	x	20,000,000,000
SP	NUCOR CORPORATION CMN	x	x	40,000,000,000
SP	NUTANIX, INC. CMN CLASS A	x	x	60,000,000,000
SP	INVENT ELECTRIC PUBLIC LIMITED COMPANY	x	x	80,000,000,000
SP	NVIDIA CORPORATION CMN	x	x	100,000,000,000
SP	NXK SEMICONDUCTORS N.V. CMN	x	x	120,000,000,000
SP	OCCIDENTAL PETROLEUM CORP CMN	x	x	140,000,000,000
SP	OGIE ENERGY CORP (HOLDING CO) CMN	x	x	160,000,000,000
SP	OKLAHOMA ST TPK AUTH TRK REV REV 5% 01/04/19 JI RFDG-SECOND SR-SER A BEC	x	x	180,000,000,000
SP	OLD DOMINION FGFT LINES INC CMN	x	x	200,000,000,000
SP	OIL INVESTMENT CORP CMN	x	x	220,000,000,000
SP	OMNICOM GROUP CMN	x	x	240,000,000,000
SP	ON SEMICONDUCTOR CORPORATION CMN	x	x	260,000,000,000
SP	ONEXON, INC CMN	x	x	280,000,000,000
SP	OPPO HEALTH INC CMN	x	x	300,000,000,000
SP	ORACLE CORPORATION CMN	x	x	320,000,000,000
SP	OREILLY AUTOMOTIVE, INC. CMN	x	x	340,000,000,000
SP	ORLANDO FLA CAP IMPT SPL REV REV 4% 10/01/19 A/CAP IMPT SPL REV BDS 2008A BEC	x	x	360,000,000,000
SP	OSAKAHA CORPORATION CMN	x	x	380,000,000,000
SP	OWENS CORNING CMN	x	x	400,000,000,000
SP	P.G. & E CORPORATION CMN	x	x	420,000,000,000
SP	PACCAR INC CMN	x	x	440,000,000,000
SP	PACKAGING CORP OF AMERICA COMMON STOCK	x	x	460,000,000,000
SP	PACWEST BANCORP CMN	x	x	480,000,000,000
SP	PARK HOTELS & RESORTS INC. CMN	x	x	500,000,000,000

Schedule A: Assets and Unearned Income

SP/DC/JT Asset Name	Elif	Max						Min		
		None	Dividends	Rent	Interest	Capital Gains	Excepted / Blind Trust	Tax Deferred	Partnership Income	
NETFLIX, INC. CMN		X	X	X	X	X				
NEUROCRIME BIOSCIENCES, INC. CMN							X			
NEWELL BRANDS INC CMN		X								
NEWFIELD EXPLORATION CO. CMN				X						
NEWMONT GOLDCORP CORP CMN				X						
NEWS CORPORATION CMN CLASS A				X						
NEWS CORPORATION CMN CLASS B				X						
NEXTERA ENERGY, INC. CMN				X						
NIELSEN HLDGS PLC CMN				X						
NIKE CLASS-B CMN CLASS B				X						
NISOURCE INC. CMN				X						
NOBLE ENERGY INC CMN				X						
NORDSTROM, INC. CMN				X						
NORFOLK SOUTHERN CORP CMN				X						
NORTHERN TRUST CORP CMN				X						
NORTHROP GRUMMAN CORP CMN				X						
NORWEGIAN CRUISE LINE LTD CMN				X						
NRG ENERGY, INC. CMN				X						
NUANCE COMMUNICATIONS, INC. CMN				X						
NUCOR CORPORATION CMN				X						
NUTANIX, INC. CMN CLASS A				X						
INVENT ELECTRIC PUBLIC LIMITED COMPANY				X						
NVIDIA CORPORATION CMN				X						
NXP SEMICONDUCTORS N.V. CMN				X						
OCCIDENTAL PETROLEUM CORP CMN				X						
OSE ENERGY CORP (HOLDING CO) CMN				X						
OKLAHOMA ST TRK AUTH TRK REV REV 5% DIV 01/01/19 U REPDG-SECOND SR-SER-A				X						
BEO				X						
OLD DOMINION FIGHT LINES INC CMN				X						
OLIN CORPORATION CMN				X						
OMNICOM GROUP CMN				X						
ON SEMICONDUCTOR CORPORATION CMN				X						
ONEOK INC CMN				X						
OPKO HEALTH INC CMN				X						
ORACLE CORPORATION CMN				X						
OREILLY AUTOMOTIVE, INC. CMN				X						
ORLANDO FLA CAP IMPT SPL REV REV 4% 10/01/19 AO CAP IMPT SPL REV BIDS 2009A BEO				X						
OSHKOSH CORPORATION CMN				X						
OWENS CORNING CMN				X						
P G & E CORPORATION CMN				X						
PACCAR INC CMN				X						
PACKAGING CORP OF AMERICA COMMON STOCK				X						
PACWEST BANCORP CMN				X						
PARK HOTELS & RESORTS INC. CMN				X						

Schedule A: Assets and Unearned Income

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SP/DC/JT	Asset Name	E/F	Amount of Income	Transaction Summary			Spouse / DC Income over 1000000
				P	PS	FS	
SP	NETFLIX, INC. CMVN			X			
SP	NEUROCRIME BIOSCIENCES, INC. CMVN			X			
SP	NEWELL BRANDS INC CMVN			X			
SP	NEWFIELD EXPLORATION CO. CMVN			X			
SP	NEWMONT GOLDCORP CORP CMVN			X			
SP	NEWS CORPORATION CMVN CLASS A			X			
SP	NEWS CORPORATION CMVN CLASS B			X			
SP	NEXTEA ENERGY, INC. CMVN			X			
SP	NIELSEN HLDGS PLC CMVN			X			
SP	NIKE CLASS-B CMVN CLASS B			X			
SP	NI SOURCE INC. CMVN			X			
SP	NOBLE ENERGY INC CMVN			X			
SP	NORDSTROM, INC. CMVN			X			
SP	NORFOLK SOUTHERN CORP CMVN			X			
SP	NORTHERN TRUST CORP CMVN			X			
SP	NORTHROP GRUMMAN CORP CMVN			X			
SP	NORMEGIAN CRUISE LINE HLDG LTD CMVN			X			
SP	NRG ENERGY, INC. CMVN			X			
SP	NUANCE COMMUNICATIONS, INC. CMVN			X			
SP	NUCOR CORPORATION CMVN			X			
SP	NUTANIX, INC. CMVN CLASS A			X			
SP	NUVENT ELECTRIC PUBLIC LIMITED COMPANY			X			
SP	NVIDIA CORPORATION CMVN			X			
SP	NXP SEMICONDUCTORS N.V. CMVN			X			
SP	OCIDENTAL PETROLEUM CORP CMVN			X			
SP	OGIE ENERGY CORP (HOLDING CO) CMVN			X			
SP	OKLAHOMA ST TRK AUTH TRK REV REV 5% 04/00/19 JR RDG-SECOND SR-SER A			X			
SP	BEDO			X			
SP	OLD DOMINION FIGHT LINES INC CMVN			X			
SP	OLIN CORPORATION CMVN			X			
SP	OMNICOM GROUP CMVN			X			
SP	ON SEMICONDUCTOR CORPORATION CMVN			X			
SP	ONEOK INC CMVN			X			
SP	OPKO HEALTH INC CMVN			X			
SP	ORACLE CORPORATION CMVN			X			
SP	OREILLY AUTOMOTIVE, INC. CMVN			X			
SP	ORLANDO FLA CAP IMP'L SPL REV REV 4% 10/01/19 AO CAP IMP'L SPL REV BDS			X			
SP	2009A BEC			X			
SP	OSHKOSH CORPORATION CMVN			X			
SP	OWENS CORNING CMVN			X			
SP	P G & E CORPORATION CMVN			X			
SP	PACCAR INC CMVN			X			
SP	PACKAGING CORP OF AMERICA COMMON STOCK			X			
SP	PACWEST BANCORP CMVN			X			
SP	PARK HOTELS & RESORTS INC. CMVN			X			

	SP/DC/JT	Asset Name	Eff.	Value
SP	PARKER CITY TEX GO 4.2500% 02/15/21 FA GO ROAD BDS 2009 PREIRE 02/15/19			
SP	UT INS BEO OID			
SP	PARKER-HANNIFIN CORP. CMN	x		
SP	PARSLEY ENERGY, INC. CMN	x		
SP	PATTERSON COMPANIES INC CMN	x		
SP	PATTERSON-UTI ENERGY, INC. ORD CMN REG OFFER 123054738	x		
SP	PATCHEX, INC. CMN	x		
SP	PAYPAL HOLDINGS, INC. CMN	x		
SP	PBF ENERGY INC CMN	x		
SP	PEAR TREE POLARIS FOREIGN VALUE FUND INSTITUTIONAL MUTUAL FUND	x		
SP	PENNSYLVANIA ST GO 5.0000% 09/15/19 MAS SECOND SER BEO SR LIEN			
SP	BEO OLD SR LIEN	x		
SP	PENNSYLVANIA STATE PUBLIC S REV 4.7500% 11/15/29-CA MN SCH BUILDING			
SP	AUTH HARRISBURG SCH DIST PRO-SER A BEO MSF	x		
SP	PEISKE AUTOMOTIVE GROUP, INC. CMN	x		
SP	PENTAIR PLC CMN	x		
SP	PEOPLES UNITED FINANCIAL, INC CMN	x		
SP	PEPSICO, INC. CMN	x		
SP	PERKINELMER, INC CMN	x		
SP	PERIGO CO PLC CMN	x		
SP	PERSPECTA, INC. CMN	x		
SP	PFIZER INC. CMN	x		
SP	PHILIP MORRIS INTL INC CMN	x		
SP	PHILLIPS 66 CMN	x		
SP	PHILLIPS 66 PARTNERS LP CMN	x		
SP	PINNACLE FINL PARTNERS INC CMN	x		
SP	PINNACLE WEST CAPITAL CORP CMN	x		
SP	PIONEER NATURAL RESOURCES CO CMN	x		
SP	PITNEY-BOWES INC CMN	x		
SP	PLAINS ALL AMERICAN PIPELINE L.P. COMMON UNITS	x		
SP	PLAINS GP HOLDINGS, LP CMN	x		
SP	PLANO TEX GO 3.5000% 09/01/19 MS GO REF IMPT BDs 2010 LT BEO SR LIEN	x		
SP	PNC FINANCIAL SERVICES GROUP, CMN	x		
SP	PPG INDUSTRIES, INC. CMN	x		
SP	PPG CORPORATION CMN	x		
SP	PRAXAIR, INC. CMN	x		
SP	PRINCIPAL FINANCIAL GROUP, INC CMN	x		
SP	PROASSURANCE CORPORATION CMN	x		
SP	PROCTER & GAMBLE COMPANY (THE) CMN	x		
SP	PROLOGIS INC CMN	x		
SP	PROSPERITY BANKSHARES, INC. CMN	x		

Schedule A: Assets and Unearned Income

SP/DC/IT	Asset Name	MAX									
		EFF	Type of Income	Name	Dividends	Rent	Interest	Capital Gains	Excepted / Blind Trust	Tax Deferred	Partnership Income
SP	PARKER CITY TEX CO 4.2500% 02/15/21 FA GO ROAD BDS 2009 PRERE 02/15/19										
SP	UT INS BEC OID	X									
SP	PARKER-HANNIFIN CORP. CMN	X		X							
SP	PASLEY ENERGY, INC. CMN										
SP	PATTERSON COMPANIES INC CMN										
SP	PATTERSON-UTI ENERGY, INC. ORD CMN REG OFFER 23054738			X			X				
SP	PATCHEK, INC. CMN			X			X				
SP	PAYPAL HOLDINGS, INC. CMN			X			X				
SP	PB ENERGY INC CMN	X									
SP	PEAR TREE POLARIS FOREIGN VALUE FUND INSTITUTIONAL MUTUAL FUND						X				
SP	PENNSYLVANIA ST 5.0000% 09/05/19 MS SECOND SER BEC SR IEN						X				
SP	PENNSYLVANIA STATE PUBLIC'S REV 4% 09/04/25 MS REV BD5 2009A PRERE 09/04/19			X							
SP	BED OLD SR LIEN										
SP	PENNSYLVANIA STATE PUBLIC'S REV 4.7500% 11/15/29 CA MN SCH BUILDING										
SP	AUTH HARRISBURG SCH DIST PROJ-SER A BEC MSF										
SP	PEINSKE AUTOMOTIVE GROUP, INC. CMN										
SP	PENTAIR, PLC. CMN										
SP	PEOPLES UNITED FINANCIAL, INC. CMN										
SP	PESICO, INC. CMN										
SP	PERKINELMER, INC. CMN										
SP	PERIGO CO PLC CMN										
SP	PERSPECTA, INC. CMN										
SP	PFIZER INC. CMN										
SP	PHILIP MORRIS INT'L INC. CMN										
SP	PHILLIPS 66 CMN										
SP	PHILLIPS 66 PARTNERS LP CMN										
SP	PINNACLE FINL PARTNERS INC CMN										
SP	PINNACLE WEST CAPITAL CORP CMN										
SP	PIONEER NATURAL RESOURCES CO CMN										
SP	PITTNEY-BOWES INC CMN										
SP	PLAINS ALL AMERICAN PIPELINE L.P. COMMON UNITS										
SP	PLAINS GP HOLDINGS, L.P. CMN										
SP	PLANO TEX GO 3.5000% 09/04/19 MS GO REF IMPT BD5 2010 LT BEC SR LIEN			X							
SP	PNC FINANCIAL SERVICES GROUP, CMN			X			X				
SP	PPG INDUSTRIES, INC. CMN			X			X				
SP	PPG CORPORATION CMN			X			X				
SP	PRAXAIR, INC. CMN			X			X				
SP	PRINCIPAL FINANCIAL GROUP, INC. CMN			X			X				
SP	PRO ASSURANCE CORPORATION CMN			X			X				
SP	PROCTER & GAMBLE COMPANY (THE) CMN			X			X				
SP	PROLOGIS INC CMN			X			X				
SP	PROSPERITY BANKSHARES, INC. CMN			X			X				

Schedule A: Assets and Unearned Income

SP/DC/JT	Asset Name	EI#	Amount of Income	Transaction Summary		
				P	PS	FS
SP	PARKER CNTY TEX GO 4.2500% 02/15/21 FA GO ROAD BDS 2009 PRERE 02/15/19 UT INS BEC OUD	X		X	X	
SP	PARKER-HANNIFIN CORP. CMN	X		X	X	
SP	PARSLEY ENERGY, INC. CMN	X		X	X	
SP	PATTERSON COMPANIES INC CMN	X		X	X	
SP	PATTERSON-LUTTER ENERGY, INC. ORD CMN REG OFFER 23054738 PAYCHEK, INC. CMN	X		X	X	
SP	PAYPAL HOLDINGS, INC. CMN	X		X	X	
SP	PBF ENERGY INC CMN	X		X	X	
SP	PEAR TREE POLARIS FOREIGN VALUE FUND INSTITUTIONAL MUTUAL FUND	X		X	X	
SP	PENNSYLVANIA ST ISO 5.0000% 09/15/19 MS SECOND SER BEC SR LIEN BEO OUD SR LIEN	X		X	X	
SP	PENNSYLVANIA STATE PUBLIC'S REV 4.7500% 11/15/29-CA MN SCH BUILDING AUTH HARRISBURG SCH DIST PROJ-SER A BEC MSF PENTAIR PLC. CMN	X		X	X	
SP	PEOPLES UNITED FINANCIAL, INC CMN PEPSCO, INC. CMN PERKINELMER, INC CMN	X		X	X	
SP	PERRIGO CO PLC CMN PERSPECTA, INC. CMN PFIZER INC. CMN	X		X	X	
SP	PHILIP MORRIS INTL INC CMN PHILLIPS 66 CMN PHILLIPS 66 PARTNERS LP CMN	X		X	X	
SP	PINNACLE FINL PARTNERS INC CMN PINNACLE WEST CAPITAL CORP CMN PIONEER NATURAL RESOURCES CO CMN PITNEY-BOWES INC CMN PLAINS ALL AMERICAN PIPELINE L.P. COMMON UNITS PLAINS GP HOLDINGS, LP. CMN	X		X	X	
SP	PLANO TEX GO 3.5000% 09/01/19 MAS GO REF IMPR BADS 2010 LT BEC SR LIEN PNC FINANCIAL SERVICES GROUP, CMN PPG INDUSTRIES, INC. CMN PPL CORPORATION CMN PREAVER, INC. CMN PRINCIPAL FINANCIAL GROUP, INC. CMN PROASSURANCE CORPORATION CMN PROCTER & GAMBLE COMPANY (THE) CMN PROLOGIS INC. CMN PROSPERITY BANCSHARES, INC. CMN	X		X	X	

Schedule A: Assets and Unearned Income

SP/DC/JT	Asset Name	E/F	Value	Min	0	0.01	1,001	15,001	50,001	100,001	250,001	500,001	1,000,001	5,000,001	25,000,001	50,000,001
				Max	0	1,000	15,000	50,000	100,000	250,000	500,000	1,000,000	5,000,000	25,000,000	50,000,000	2,000,000,000
Renu Ahuja 1995 Trust																
SP	PRUDENTIAL FINANCIAL INC CMN				x											
SP	PTC INC CMN				x											
SP	PUBLIC STORAGE CMN					x										
SP	PUBLIC SVC ENTERPRISE GROUP HOLDING CO					x										
SP	PURE STORAGE INC CMN CLASS A					x										
SP	PUT/NSP @ 225 EXP 02/01/2019						x									
SP	PUT/NSP @ 240 EXP 02/15/2018							x								
SP	PUT/NSP @ 249 EXP 02/16/2018								x							
SP	PUT/NSP @ 249 EXP 06/15/2018								x							
SP	PUT/NSP @ 250 EXP 01/19/2018								x							
SP	PUT/NSP @ 250 EXP 01/25/2019								x							
SP	PUT/NSP @ 253 EXP 04/20/2018								x							
SP	PUT/NSP @ 254 EXP 01/05/2018								x							
SP	PUT/NSP @ 255 EXP 06/01/2018								x							
SP	PUT/NSP @ 255 EXP 08/17/2018								x							
SP	PUT/NSP @ 255 EXP 12/07/2018								x							
SP	PUT/NSP @ 258 EXP 07/20/2018								x							
SP	PUT/NSP @ 258 EXP 12/14/2018								x							
SP	PUT/NSP @ 259 EXP 01/18/2019								x							
SP	PUT/NSP @ 260 EXP 04/02/2018								x							
SP	PUT/NSP @ 260 EXP 05/18/2018								x							
SP	PUT/NSP @ 261 EXP 02/15/2018								x							
SP	PUT/NSP @ 262 EXP 01/04/2019								x							
SP	PUT/NSP @ 262 EXP 03/29/2018								x							
SP	PUT/NSP @ 262 EXP 05/25/2018								x							
SP	PUT/NSP @ 262 EXP 07/05/2018								x							
SP	PUT/NSP @ 263 EXP 01/25/2018								x							
SP	PUT/NSP @ 263 EXP 05/29/2018								x							
SP	PUT/NSP @ 265 EXP 05/22/2018								x							
SP	PUT/NSP @ 266 EXP 12/28/2018								x							
SP	PUT/NSP @ 268 EXP 12/21/2018								x							
SP	PUT/NSP @ 269 EXP 03/15/2018								x							
SP	PUT/NSP @ 269 EXP 08/24/2018								x							
SP	PUT/NSP @ 271 EXP 04/13/2018								x							
SP	PUT/NSP @ 271 EXP 07/13/2018								x							
SP	PUT/NSP @ 272 EXP 08/31/2018								x							
SP	PUT/NSP @ 274 EXP 03/02/2018								x							
SP	PUT/NSP @ 274 EXP 07/27/2018								x							
SP	PUT/NSP @ 275 EXP 06/07/2018								x							
SP	PUT/NSP @ 276 EXP 10/19/2018								x							
SP	PUT/NSP @ 277 EXP 09/21/2018								x							
SP	PUT/NSP @ 279 EXP 11/15/2018								x							
SP	PUT/NSP @ 281 EXP 08/14/2018								x							
SP	PUT/NSP @ 281 EXP 11/23/2018								x							

Schedule A: Assets and Unearned Income

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Schedule A: Assets and Unearned Income

		New Annuity 1995 Trust	
SP/DC/JT	Asset Name	Eff.	Value
SP	SCANA CORP CMN	X	
SP	SCI-LUMBERGER LTD CMN	X	
SP	SEAGATE TECHNOLOGY PLC CMN	X	
SP	SEALED AIR CORPORATION CMN	X	
SP	SEATTLE GENETICS, INC. CMN	X	
SP	SEI INVESTMENTS COMPANY CMN	X	
SP	SEMIGROUP CORPORATION CMN CLASS A	X	
SP	SEMPRA ENERGY CMN	X	
SP	SENIOR HOUSING PROPERTIES TR CMN	X	
SP	SENSATA TECHNOLOGIES HOLDING P CMN	X	
SP	SERVICEONE INC CMN	X	
SP	SHELL MIDSTREAM PARTNERS, L.P. CMN	X	
SP	SHERWIN-WILLIAMS CO CMN	X	
SP	SHIRLEY INC. CMN CLASS A	X	
SP	SIMON PROPERTY GROUP INC CMN	X	
SP	SIMPLYWORKS SOLUTIONS, INC. CMN	X	
SP	SM ENERGY COMPANY CMN	X	
SP	SNAP-ON INC CMN	X	
SP	SOUTHERN COPPER CORPORATION CMN	X	
SP	SOUTHWEST AIRLINES CO CMN	X	
SP	SPECTRUM BRANDS HOLDINGS INC CMN	X	
SP	SPECTRUM BRANDS, INC. CMN	X	
SP	SPIRIT AIRLINES, INC. CMN	X	
SP	SPIRIT MITA RENT CMN	X	
SP	SPIRIT REALTY CAPITAL, INC. CMN	X	
SP	SPUNKIN' INC CMN	X	
SP	SPRINT CORPORATION CMN	X	
SP	SQUARE, INC. CMN CLASS A	X	
SP	STANLEY BLACK & DECKER, INC. CMN	X	
SP	STARBUCKS CORP. CMN	X	
SP	STATE STREET CORPORATION (NEW) CMN	X	
SP	STERICLICK, INC. CMN	X	
SP	STERLING BANCORP CMN	X	
SP	STERKER CORPORATION CMN	X	
SP	STHOCOOLP CMN	X	
SP	SUNTRUST BANKS INC CMN	X	
SP	SVP FINANCIAL GROUP CMN	X	
SP	SYMANTEC CORPORATION CMN	X	
SP	SYNCHRONY FINANCIAL CMN	X	
SP	SYNOPSYS INC. CMN	X	
SP	SYNOVIS FINANCIAL CORP CMN	X	
SP	SYSCO CORPORATION CMN	X	
SP	T. ROWE PRICE GROUP, INC. CMN	X	
SP	TABLEAU SOFTWARE, INC. CMN CLASS A	X	

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

SP/DC/JT	Asset Name	EI/F	Amount of Income	Transaction Summary		
				P	PS	FS
SP	SCAMA CORP CMN			X		
SP	SCHLUMBERGER LTD CMN			X		
SP	SEAGATE TECHNOLOGY PLC CMN			X		
SP	SEALED AIR CORPORATION CMN			X		
SP	SEATILE GENETICS, INC. CMN			X		
SP	SEI INVESTMENTS COMPANY CMN			X		
SP	SEIGROUP CORPORATION CMN CLASS A			X		
SP	SEMpra ENERGY CMN			X		
SP	SENIOR HOUSING PROPERTIES TR CMN			X		
SP	SENSATA TECHNOLOGIES HOLDING P CMN			X		
SP	SEVICENOW INC CMN			X		
SP	SHELL MIDSTREAM PARTNERS, L.P. CMN			X		
SP	SHERWIN-WILLIAMS CO CMN			X		
SP	SHOPIFY INC. CMN CLASS A			X		
SP	SIGNATURE BANK CMN			X		
SP	SIGNET JEWELERS LIMITED CMN			X		
SP	SIMON PROPERTY GROUP INC CMN			X		
SP	SIMWORKS SOLUTIONS, INC. CMN			X		
SP	SINA ENERGY COMPANY CMN			X		
SP	Snap-on Inc CMN			X		
SP	SOUTHERN COPPER CORPORATION CMN			X		
SP	SOUTHWEST AIRLINES CO CMN			X		
SP	SPECTRUM BRANDS HOLDINGS INC CMN			X		
SP	SPECTRUM BRANDS, INC. CMN			X		
SP	SPIRIT AIRLINES, INC. CMN			X		
SP	SPIRIT MTA REIT CMN			X		
SP	SPIRIT REALTY CAPITAL, INC. CMN			X		
SP	SPLUNKER INC CMN			X		
SP	SPRINT CORPORATION CMN			X		
SP	SQUARE, INC. CMN CLASS A			X		
SP	STANLEY BLACK & DECKER, INC. CMN			X		
SP	STATE STREET CORPORATION (NEW) CMN			X		
SP	STERICYCLE, INC. CMN			X		
SP	STERLING BANCORP CMN			X		
SP	STRYKER CORPORATION CMN			X		
SP	SUNOCO LP CMN			X		
SP	SUNTRUST BANKS INC CMN			X		
SP	SVF FINANCIAL GROUP CMN			X		
SP	SYMANTEC CORPORATION CMN			X		
SP	SYNCHRONY FINANCIAL CMN			X		
SP	SYNOPSYS INC. CMN			X		
SP	SYNOVIS FINANCIAL CORP CMN			X		
SP	T. ROWE PRICE GROUP, INC. CMN			X		
SP	TABLEAU SOFTWARE, INC. CMN CLASS A			X		

Schedule A: Assets and Unearned Income

RWA Abnaga 1995 Trust		SP/DC/JT	Asset Name	EIF	Value
SP	TAKE TWO INTERACTIVE SOFTWARE, INC.			X	
SP	TABSTRY, INC. CMN			X	
SP	TARGA RESOURCES CORP. CMN			X	
SP	TARGET CORPORATION CMN			X	
SP	TC ENERGY CORPORATION CMN			X	
SP	TCF FINANCIAL CORP MINN			X	
SP	TD AMERITRADE HOLDING CORP CMN			X	
SP	TE CONNECTIVITY LTD CMN			X	
SP	TECHNIPIMC PLC CMN			X	
SP	TELEFLEX, INC. CMN			X	
SP	TEMPUR-SEALY INTERNATIONAL INC CMN			X	
SP	TERADYNE INC CMN			X	
SP	TESARO, INC. CMN			X	
SP	TESLA, INC. CMN			X	
SP	TEXAS CAPITAL BANKSHARES, INC. CMN			X	
SP	TEXAS INSTRUMENTS INC. CMN			X	
SP	TEXAS STATE REV 4.0000% 08/7/19 FA TAX AND REV ANNUAL MTS 2018 BEO			X	
SP	TEXTRON INC. DEL. CMN			X	
SP	THE BANK OF NY MELLON CORP CMN			X	
SP	THE GOODYEAR TIRE & RUBBER CO. CMN			X	
SP	THE HERSHEY COMPANY CMN			X	
SP	THE HOME DEPOT, INC. CMN			X	
SP	THE KRAFT HEINZ CO CMN			X	
SP	THE PROGRESSIVE CORPORATION CMN			X	
SP	THE SOUTHERN CO. CMN			X	
SP	THE TRAVELERS COMPANIES, INC. CMN			X	
SP	THE WILLIAMS COMPANIES, INC. CMN			X	
SP	THOMAS FISHER SCIENTIFIC INC CMN			X	
SP	THOR INDUSTRIES INC CMN			X	
SP	TIFFANY & CO CMN			X	
SP	TIX COMPANIES INC (NEW) CMN			X	
SP	T-MOBILE US, INC. CMN			X	
SP	TOLL BROTHERS, INC. CMN			X	
SP	TORCHMARK CORPORATION CMN			X	
SP	TOTAL SYS SVC INC. CMN			X	
SP	TUPPERWARE BRANDS CORPORATION CMN			X	
SP	TRANSIGRAM GROUP INCORPORATED CMN			X	
SP	TRANSGEAM LTD. CMN			X	
SP	TRIPADVISOR, INC. CMN			X	
SP	TULSA OKLA. GO 4.0000% 03/01/19 MST UT BEO			X	
SP	TWENTY-FIRST CENTURY FOX, INC. CMN CLASS A			X	
SP	TWENTY-FIRST CENTURY FOX, INC. CMN CLASS B			X	

Schedule A: Assets and Unearned Income

SP/DC/JT	Asset Name	Transaction Summary			Spouse / DC Income over 100,000
		P	PS	FS	
EIF	Amount of Income				
SP	TAKE TWO INTERACTIVE SOFTWARE INC	x	x	x	
SP	TAPESTRY, INC. CMN	x	x	x	
SP	TARGA RESOURCES CORP. CMN	x	x	x	
SP	TARGET CORPORATION CMN	x	x	x	
SP	TC ENERGY CORPORATION CMN	x	x	x	
SP	TCF FINANCIAL CORP MN	x	x	x	
SP	TD AMERITRADE HOLDING CORP CMN	x	x	x	
SP	TE Connectivity LTD CMN	x	x	x	
SP	TECHNIPFG PLC CMN	x	x	x	
SP	TELEFLEX, INC. CMN	x	x	x	
SP	TEMPUR SEASALY INTERNATIONAL INC CMN	x	x	x	
SP	TERADATONE INC CMN	x	x	x	
SP	TESARO, INC. CMN	x	x	x	
SP	TESLA, INC. CMN	x	x	x	
SP	TEXAS CAPITAL BANKSHARES, INC. CMN	x	x	x	
SP	TEXAS INSTRUMENTS INC. CMN	x	x	x	
SP	TEXAS WATER DEV BRD REV 4% 04/15/19 A/R REV BD'S 2018 B EBD	x	x	x	
SP	TEXTRON INC DEL CMN	x	x	x	
SP	THE BANK OF NY MELLON CORP CMN	x	x	x	
SP	THE GOODYEAR TIRE & RUBBER CO. CMN	x	x	x	
SP	THE HERSHEY COMPANY CMN	x	x	x	
SP	THE HOME DEPOT, INC. CMN	x	x	x	
SP	THE RAFT HEINZ CO CMN	x	x	x	
SP	THE PROGRESSIVE CORPORATION CMN	x	x	x	
SP	THE SOUTHERN CO. CMN	x	x	x	
SP	THE TRAVELERS COMPANIES, INC CMN	x	x	x	
SP	THE WILLIAMS COMPANIES, INC. CMN	x	x	x	
SP	THE WILMINGTON SAVINGS & LOAN ASSOCIATION CMN	x	x	x	
SP	THIRIO INDUSTRIES INC CMN	x	x	x	
SP	TIFFANY & CO CMN	x	x	x	
SP	TIX COMPANIES INC (NEW) CMN	x	x	x	
SP	T-MOBILE US, INC. CMN	x	x	x	
SP	TOLL BROTHERS, INC. CMN	x	x	x	
SP	THERMO FISHER SCIENTIFIC INC CMN	x	x	x	
SP	THOR INDUSTRIES INC CMN	x	x	x	
SP	THOMAS NETS INC CMN	x	x	x	
SP	THONHAWK INC CMN	x	x	x	
SP	TOUCHTONE ENTERTAINMENT INC CMN	x	x	x	
SP	TOUCHMARK CORPORATION CMN	x	x	x	
SP	TOTAL SYS SVC INC. CMN	x	x	x	
SP	TRACTOR SUPPLY COMPANY CMN	x	x	x	
SP	TRANSIGM GROUP INCORPORATED CMN	x	x	x	
SP	TRANSOCEAN LTD. CMN	x	x	x	
SP	TRIPADVISOR, INC. CMN	x	x	x	
SP	TULSA, OKLA. GO 4.0000% 03/01/19 MST UT BEG	x	x	x	
SP	TUPPERWARE BRANDS CORPORATION CMN	x	x	x	
SP	TWENTY-FIRST CENTURY FOX, INC. CMN CLASS A	x	x	x	
SP	TWENTY-FIRST CENTURY FOX, INC. CMN CLASS B	x	x	x	

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

SP/OC/IT	Asset Name	Min							Max		
		ELF	Type of Income	Name	Dividends	Rent	Interest	Capital Gains	Excepted / Blind Trust	Tax Deferred	Partnership Income
SP	TWILIO INC. CMN CLASS A			X		X	X	X			
SP	TWITTER, INC. CMN			X		X	X	X			
SP	TYSON FOODS INC CLA CMN CLASS A			X		X	X	X			
SP	U.S. DOLLAR			X		X	X	X			
SP	U.S. Bancorp CMN			X		X	X	X			
SP	ULTA BEAUTY INC CMN			X		X	X	X			
SP	UNDER ARMOUR, INC. CMN CLASS A			X		X	X	X			
SP	UNDER ARMOUR, INC. CMN CLASS C			X		X	X	X			
SP	UNION PACIFIC CORP. CMN			X		X	X	X			
SP	UNITED AIRLINES HOLDINGS INC CMN			X		X	X	X			
SP	UNITED PARCEL SERVICE, INC. CLASS B COMMON STOCK			X		X	X	X			
SP	UNITED RENTALS, INC. CMN			X		X	X	X			
SP	UNITED STATES STEEL CORP CMN			X		X	X	X			
SP	UNITED TECHNOLOGIES CORPORATION CMN			X		X	X	X			
SP	UNITED THERAPEUTICS CORP CMN			X		X	X	X			
SP	UNITEDHEALTH GROUP INCORPORATE CMN			X		X	X	X			
SP	UNIVERSAL HEALTH SVC CL B CMN CLASS B			X		X	X	X			
SP	UNIVERSITY UTAH UNIV REV'S REV 5.0000% 08/01/19 FA GEN SER A BEO			X		X	X	X			
SP	UNUM GROUP CMN			X		X	X	X			
SP	URBAN OUTFITTERS INC CMN			X		X	X	X			
SP	UTAH ST BIRD REGENTS STUDENT LN REV 5.0000% 11/01/19 MN REV STUDENT LOAN REV BD\$ SER EE-2-BEO SR IEN S-T			X		X	X	X			
SP	VALERO ENERGY CORPORATION CMN			X		X	X	X			
SP	VALERO ENERGY PARTNERS LP CMN			X		X	X	X			
SP	VALIDUS HOLDINGS, LTD. CMN			X		X	X	X			
SP	VARIAN MEDICAL SYSTEMS INC CMN			X		X	X	X			
SP	VENTAS, INC. CMN			X		X	X	X			
SP	VEREIT, INC. CMN			X		X	X	X			
SP	VERSIGN, INC. CMN			X		X	X	X			
SP	VERISK ANALYTICS, INC. CMN			X		X	X	X			
SP	VERIZON COMMUNICATIONS, INC. CMN			X		X	X	X			
SP	VERTEX PHARMACEUTICALS INCORPO CMN			X		X	X	X			
SP	VF CORP CMN			X		X	X	X			
SP	VACOM INC CMN CLASS B			X		X	X	X			
SP	VISA, INC. CMN CLASS A			X		X	X	X			
SP	VISTECOM CORPORATION CMN			X		X	X	X			
SP	VISTRA ENERGY CORP. CMN			X		X	X	X			
SP	VMWWARE, INC. CMN CLASS A			X		X	X	X			
SP	VORNADO REALTY TRUST CMN			X		X	X	X			
SP	VOYA FINANCIAL, INC CMN			X		X	X	X			
SP	VT ST STUDENT ASSIST CORP LD LN REV 5.0000% 12/15/18-CA ID LN REV/BDS SENIOR SERIES 2010/01 BEO			X		X	X	X			
SP	VULCAN MATERIALS CO CMN			X		X	X	X			
SP	W.W. GRAINGER, INC CMN			X		X	X	X			
SP	WALGREENS BOOTS ALLIANCE, INC. CMN			X		X	X	X			

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

SP/DC/IT	Asset Name	E/F	Min							Max						
			None	Dividends	Rent	Interest	Capital	Excessed /	Tax	Partnership	Gains	Blind Trust	Deferred	Income		
SP	WALLINGFORD-SWARTHMORE PA SCH GO 5.2500% 05/01/23 MIN DIST GO BDS 2011A PRERE 1.100/1.29 LT BEO	X	X	X	X	X	X	X	X	X	X					
SP	WALMART INC CMN															
SP	WALT DISNEY COMPANY (THE) CMN															
SP	WARNER MEDIA, LLC CMN															
SP	WASHINGTON ST GO 5% 08/01/28 FA GO BDS 2010A PRERE 08/01/19 UT BEO	X	X	X	X	X	X	X	X	X	X					
SP	WASTE CONNECTIONS INC CMN															
SP	WASTE MANAGEMENT INC CMN															
SP	WATERS CORPORATION COMMON STOCK															
SP	WAYFAIR INC. CMN	X	X	X	X	X	X	X	X	X	X					
SP	WEBSTER FINANCIAL CORP CMN															
SP	WEFC ENERGY GROUP, INC. CMN															
SP	WELLS FARGO & CO (NEW) CMN															
SP	WELLTOWER INC. CMN															
SP	WESCO INTERNATIONAL INC. CMN															
SP	WESTERN ALLIANCE BANCOOP CMN															
SP	WESTERN DIGITAL CORPORATION CMN															
SP	WESTERN GAS PARTNERS, LP CMN															
SP	WESTERN UNION COMPANY (THE) CMN															
SP	WESTINGHOUSE AIR BRAKE TECHNOLOGIES CMN															
SP	WESTLAKE CHEMICAL CORPORATION CMN															
SP	WESTROCK COMPANY CMN															
SP	WEYERHAEUSER COMPANY CMN															
SP	WHIRLPOOL CORP. CMN															
SP	WHITTING PETROLEUM CORPORATION CMN															
SP	WICHITA KANS WTR & SWR UTIL REV 5% 10/01/29 AO REV REV BDS 2009A PRERE 10/01/19 BEO OID															
SP	WILLIS TOWERS WATSON PLC CMN															
SP	WINTRUST FINANCIAL CORP CMN															
SP	WISCONSIN ST GO 5% 05/01/19 MN GO BDS 2014B UT BEO SR LIEN															
SP	WOODBRIDGE TWP NJ GO 3% 08/16/19 FA BAN UT BEO															
SP	WORKDAY, INC. CMN CLASS A															
SP	WORLD FUEL SERVICES CORP CMN															
SP	WORLDPAY INC CMN															
SP	WPX ENERGY, INC. CMN															
SP	WYNDHAM DESTINATIONS, INC. CMN															
SP	WYNDHAM HOTELS & RESORTS, INC. CMN															
SP	WTMN REPORTS, LIMITED CMN															
SP	XCEL ENERGY INC. CMN															
SP	XEROX CORPORATION CMN	X	X	X	X	X	X	X	X	X	X					
SP	XILINK INCORPORATED CMN															
SP	XPO LOGISTICS, INC. CMN															
SP	XYLEM INC. CMN															
SP	YANDER N.V. CMN	X	X	X	X	X	X	X	X	X	X					
SP	YUM BRANDS, INC. CMN	X	X	X	X	X	X	X	X	X	X					

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

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Schedule A: Assets and Unearned Income

SP/DC/IT	Asset Name	Ritu Ahuja 1995 Trust						Max
		ElF	Type of Income	None	Dividends	Rent	Interest	
SP	YUM CHINA HOLDINGS, INC. CMN			X	X			
SP	ZAYO GROUP HOLDINGS, INC. CMN					X		
SP	ZILLOW GROUP INC. CMN CLASS A					X		
SP	ZILLOW GROUP, INC. CMN SERIES C					X		
SP	ZIMMER BIOMET HOLDINGS INC					X		
SP	ZIONS BANCORP CMN			X	X			
SP	ZOETIS INC. CMN CLASS A			X				

Schedule A: Assets and Unearned Income

SP/DC/IT Asset Name	Min	0	0.01	201	1,001	2,501	5,001	15,001	50,001	100,001	1,000,001	5,000,001	Spouse / DC Income over	
ELF	Amount of Income													
YUM CHINA HOLDINGS, INC. CMM														
ZAYO GROUP HOLDINGS, INC. CMM	X	X												
ZILLOW GROUP INC. CMMN CLASS A	X	X												
ZILLOW GROUP, INC. CMMN SERIES C	X	X												
ZIMMER BIOMET HOLDINGS INC	X	X												
ZIONIS BANCORP CMM	X	X												
ZOETIS INC. CMMN CLASS A	X	X												

Transaction Summary		
P	PS	PS
X	X	
X	X	X
X	X	X
X	X	X
X	X	X
X	X	X

Schedule A: Assets and Unearned Income

Asset Name

Asset Name	Eff	Value
AEROCAP HOLDINGS NV ORD CMN	X	
ALPHABET INC. CMN CLASS C	X	
AMAZON.COM INC CMN	X	
ANADARKO PETROLEUM CORP CMN	X	
AON PLC CMN	X	
APPLE INC. CMN	X	
AXALTA COATING SYSTEMS LTD CMN	X	
BANK OF AMERICA CORP CMN	X	
BARCLAYS PLC-CAMER DEP SHS ADR CMN	X	
BERKSHIRE HATHAWAY INC. CLASS B	X	
BROAD STREET SENIOR CREDIT PARTNERS II, LP. (g)	X	
BWX TECHNOLOGIES, INC. CMN	X	
CAESARS ENTERTAINMENT CORP CMN	X	
CBS CORPORATION CMN CLASS B	X	
CITIGROUP INC. CMN	X	
COMCAST CORPORATION CMN CLASS A VOTING	X	
COMMGE INCORPORATED CMN	X	
DEVON ENERGY CORPORATION (NEW) CMN	X	
DISCOVERY INC - A CMN SERIES A	X	
DISH NETWORK CORPORATION CMN CLASS A	X	
ECOLAB INC. CMN	X	
ENTERGAS, INC. CMN	X	
FACEBOOK, INC. CMN CLASS A	X	
FIDELIS INVESTORS LP (g)	X	
FIDELITY NATL INFO SVCS INC CMN	X	
FREEYE, INC. CMN	X	
FIRST DATA CORPORATION CMN CLASS A	X	
GENERAL DYNAMICS CORP. CMN	X	
GENERAL ELECTRIC CO CMN	X	
GENERAL MOTORS COMPANY CMN	X	
GOLDMAN SACHS BANK USA DEPOSIT (BDA)	X	
GRAPHIC PACKAGING HLDGCO CMN	X	
GLOBAL PRIVATE OPPORTUNITIES PARTNERS A LP (g)	X	
GOLDMAN SACHS BANK USA DEPOSIT (BDA)	X	
GROWTH AND EMERGING MARKETS PRIVATE EQUITY MANAGERS LP (g)	X	
GS FINANCIAL SQUARE TREASURY SOLUTIONS FUND	X	
GS GS PARTNERS INTERNATIONAL OPPORTUNITIES PU CLASS P	X	
GS FINANCE CORP. LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED STRUCTURED NOTE DUE 02/26/2020	X	
GS FINANCE CORP. STRUCTURED NOTE DUE 02/24/2020	X	
GS FINANCE CORP. STRUCTURED NOTE DUE 02/24/2021	X	
GS FINANCIAL SQUARE TREASURY SOLUTIONS FUND	X	
GS GS PARTNERS INTERNATIONAL OPPORTUNITIES PU CLASS P	X	
GS FINANCE CORP. LINKED TO BASKET OF INDICES UPSIDE LEVERED CAPPED STRUCTURED NOTE DUE 02/25/2020	X	
HANESBRANDS INC. CMN	X	
HILTON WORLDWIDE HOLDINGS INC. CMN	X	
JPMORGAN CHASE & CO CMN	X	
KKR PRINCIPAL OPPORTUNITIES ACCESS LP (g)	X	
KNOWLES CORPORATION CMN	X	
	Min 0	0.01 1001 15001 50001 100001 250001 500000 1000000 5000000 2500000 5000000 2000000000 50000001 25000001
	Max 0	1000 15000 50000 100000 250000 500000 1000000 5000000 2500000 5000000 2000000000 50000001 25000001

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

M&R Trust Partnership

M&R Trust Partnership

Asset Name

Asset Name	M&R Trust Partnership												
	Max	0	200.5	1000	2500	5000	15000	50000	100000	1000000	10000000	100000000	Spouse / DC Income over 1000000
ELF	Amount of Income												P
BROAD STREET SENIOR CREDIT PARTNERS II, L.P. (c)	x	x											FS
CARIBBEAN REAL ESTATE OPPORTUNITIES FUND	x												PS
RIDEIS INVESTORS LP (c)	x	x											PS
GLOBAL ATLANTIC (c)	x	x	x	x	x	x	x	x	x	x	x	x	
GLOBAL LONG SHORT PARTNERS 100% OF FUNDS ASSETS IN ILLIQUID INVESTMENTS	x	x	x	x	x	x	x	x	x	x	x	x	
GROWTH AND EMERGING MARKETS PRIVATE EQUITY MANAGERS LP (c)	x	x	x	x	x	x	x	x	x	x	x	x	
GS CAPITAL PARTNERS V INSTITUTIONAL, L.P. / GSCP V INSTITUTIONAL AIV, L.P.	x	x	x	x	x	x	x	x	x	x	x	x	
GS CAPITAL PARTNERS VI, L.P. / GSCP VI AIV (c)	x	x	x	x	x	x	x	x	x	x	x	x	
GLOBAL OPPORTUNITIES LLC CLASS A2 SERIES 1	x	x	x	x	x	x	x	x	x	x	x	x	
GS FINANCE CORP. LINKED TO BASKET OF INDICES UPSIDE LEVERED CAPPED STRUCTURED NOTE DUE 02/25/2020	x	x	x	x	x	x	x	x	x	x	x	x	
GS FINANCE CORP. LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED STRUCTURED NOTE DUE 02/20/2020	x	x	x	x	x	x	x	x	x	x	x	x	
GS FINANCIAL SQUARE TREASURY SOLUTIONS FUND HEDGE FUND SELECT: JANA PARTNERS QUALIFIED LLC	x	x	x	x	x	x	x	x	x	x	x	x	
KKR PRINCIPAL OPPORTUNITIES ACCESS LP (c)	x	x	x	x	x	x	x	x	x	x	x	x	
MOUNT KELLET CAPITAL PARTNERS II ACCESS LP (c)	x	x	x	x	x	x	x	x	x	x	x	x	
PETERSHILL II LP (c)	x	x	x	x	x	x	x	x	x	x	x	x	
PRIVATE EQUITY MANAGERS (CONCENTRATED) LP (c)	x	x	x	x	x	x	x	x	x	x	x	x	
SMS INVESTORS LP (c)	x	x	x	x	x	x	x	x	x	x	x	x	
SPOTIFY TECHNOLOGY SA, CMN THE GOLDMAN SACHS GROUP, INC. LINKED TO EURO STOXX 50 PR CNTGNT CPN W BUFFER STRUCTURED NOTE DUE 01/18/2018	x	x	x	x	x	x	x	x	x	x	x	x	
THE GOLDMAN SACHS GROUP, INC. LINKED TO EURO STOXX 50 PR DUAL DIRECTIONAL STRUCTURED NOTE DUE 01/18/2018	x	x	x	x	x	x	x	x	x	x	x	x	
THE GOLDMAN SACHS GROUP, INC. LINKED TO S&P 500 INDEX CNTGNT CPN W BUFFER STRUCTURED NOTE DUE 01/19/2018	x	x	x	x	x	x	x	x	x	x	x	x	
THE GOLDMAN SACHS GROUP, INC. LINKED TO S&P 500 INDEX DUAL DIRECTIONAL STRUCTURED NOTE DUE 01/19/2018	x	x	x	x	x	x	x	x	x	x	x	x	
THE GOLDMAN SACHS GROUP, INC. LINKED TO S&P 500 INDEX DUAL DIRECTIONAL STRUCTURED NOTE DUE 01/19/2018	x	x	x	x	x	x	x	x	x	x	x	x	
THE GOLDMAN SACHS GROUP, INC. LINKED TO S&P 500 INDEX DUAL DIRECTIONAL STRUCTURED NOTE DUE 01/19/2018	x	x	x	x	x	x	x	x	x	x	x	x	
THE GOLDMAN SACHS GROUP, INC. LINKED TO S&P 500 INDEX DUAL DIRECTIONAL STRUCTURED NOTE DUE 01/19/2018	x	x	x	x	x	x	x	x	x	x	x	x	
THE GOLDMAN SACHS GROUP, INC. LINKED TO S&P 500 INDEX DUAL DIRECTIONAL STRUCTURED NOTE DUE 01/19/2018	x	x	x	x	x	x	x	x	x	x	x	x	
THE GOLDMAN SACHS GROUP, INC. LINKED TO S&P 500 INDEX DUAL DIRECTIONAL STRUCTURED NOTE DUE 01/19/2018	x	x	x	x	x	x	x	x	x	x	x	x	
THOMAS HILL EQUITY VI ACCESS LP (c)	x	x	x	x	x	x	x	x	x	x	x	x	
THOMAS HILL INVESTMENTS LP (a) (Updated in 2018)	x	x	x	x	x	x	x	x	x	x	x	x	
U.S. DOLLAR	x	x	x	x	x	x	x	x	x	x	x	x	
VINTAGE IV LP (c)	x	x	x	x	x	x	x	x	x	x	x	x	
WEST STREET ENERGY PARTNERS, L.P. (c)	x	x	x	x	x	x	x	x	x	x	x	x	
WEST STREET CAPITAL PARTNERS VII L.P.	x	x	x	x	x	x	x	x	x	x	x	x	

Schedule A: Assets and Unearned Income

Rate Analyst Declaration of Trust		
SP/DC/JT	Asset Name	
	E/F	Value
SP	BURLY HLS CMNTY FCS DST SPL TX REV 3.0000% 09/04/21-CA MS RFDG-N0 2002-A-BUSINESS TRIANGLE BEO S-T SPL RDM	X
SP	COLUMBUS OHIO GO 4% 07/01/20 JI GO BDS 2012-1 UT BEO	X
SP	DORM AUTH OF THE ST OF NY REV 5.1250% 07/01/39-CA JI BEO BEO OID MSF 07/01/33 S-T SPL	X
SP	GOLDMAN SACHS BANK USA DEPOSIT (BDA)	X
SP	HAMDEN CONN GO 5% 08/15/20 FA GO REF BDS 2013-17 INS BEO	X
SP	HAMDEN CONN GO 5.0000% 08/15/20 FA GO REF BDS 2013 ETM REFUNDED 07/26/18 UT INS	X
SP	HUDSON YARDS INFRASTRUCTURE CORP REV 4.0000% (02/15/24 FA C INDENTURE REV E BD 2017 SER A (TAX-EXEMPT) BEO	X
SP	HUDSON YDS INFRASTRUCTURE CORP NY REV 5.7500% 02/15/47-CA FA PREFRND-SR-FISCAL 2012-SER A PRERE 02/15/21 SR LIEN S-T SPL	X
SP	LOS ANGELES CTY CALIF REDEV REV 5.0000% 12/01/21-CA JD RFDG-COVINA REVITALIZATION 1 INS BEO S-T SPL RDM	X
SP	METROPOLITAN TRANS AUTH REV 5.0000% 11/15/42 MN REV TRANSPORTATION REVENUE REFUND GREEN BONDS SERIES 2017C	X
SP	MONROE CTY N Y IDA SCH FAC REV 5% 05/01/24 MN REV BDS DS 2012 E PIRE 11/15/22 INS BEO	X
SP	ROCHESTER SCFS MODERNIZATION 2017 BEO	X

Schedule A: Assets and Unearned Income

SP/DC/JT	Asset Name.	Max					
		EIF	Type of Income	Capital Gains	Excepted / Blind Trust	Tax-Deferred Income	Partnership
SP	N Y N Y CITY TRANSITIONAL REV 3% 02/01/19 FA FUTURE TAX SEC'D-SUBSER E-1 BEO SUB LIEN	X					
SP	N Y ST DORM AUTH REV'S NON ST REV 4,0000% 08/15/20 FA SUPP DBT RFDG-MASTER BOCES PG DEL CHENANGO MADISON & OTS BEO	X					
SP	N Y STATE THRUWAY AUTH-REV 5,0000% 05/01/19 II GEN REV OBG SERIES 2013A BEO	X					
SP	MASSAU CNTRY N Y GO 5% 10/01/24 AO GEN INPT REF BDS 2017 C LT BEO	X					
SP	NEW YORK CONVENTION CTR DEV REV 3% 11/15/18 MN CORP N Y REV RFDG-HOTEL UNIT FEE SEC'D BEO	X					
SP	NEW YORK ST DORM AUTH REV'S NON REV 5,2500% 07/01/27 II ST SUPPORTED DEBT NEW PRERE SR LIEN S-T X-ORD RDM	X					
SP	NEW YORK ST URBAN DEV CORP REV REV 5% 03/15/23 MS TAX REV ST SUPPORTEDDEBT BDS 2015 A BEO SR LIEN	X					
SP	NEW YORK ST URBAN DEV CORP REV REV 5% 12/15/18 JD INCOME INCOME TAX REV BDS 2015 A BEO SR LIEN	X					
SP	NORTHWEST OHIO REG'L SWR DIST REV 4% 11/15/20 MN TAX REV BDS ECON DEV AND HSG 2009A-1 SR LIEN	X					
SP	NORTHWEST OHIO REG'L SWR DIST REV 4% 11/15/20 MN WASTE/WTR REV REV BDS 2013 BEO	X					
SP	NY CITY MUN WTR&SWR FIN AUTH REV 5,0000% 06/15/20 JD C-JD 2ND GEN RESOLUTION-FISCAL 2017 SUBSER C-C-2 BEO	X					
SP	NY ST ENVIRONMENTAL FACE CO REV 4,0000% 06/15/20 JD C-JD DRINKING WTR REV BDS 2ND RES BDS SERIES 2017 E	X					
SP	NY TRANS FIN AUTH 11/14/2013 REV 5,0000% 11/01/19 MN FUTURE/TAX SEC'D-SUB-FISCAL 2015-SER C BEO SUB LIEN	X					

Schedule A: Assets and Unearned Income

SP/DC/IT	Asset Name	E/F	Amount of Income	Transaction Summary			Spouse / DC Income over
				P	FS	PS	
SP	NY NY CITY TRANSITIONAL REV 3% 02/01/19 FA FUTURE TAX SEC'D-SUBSER E-1 BEO SUB LIEN	X					X
SP	NY ST DORM AUTH REV'S NON ST REV 4,0000% 08/15/20 FA SUPP DBT RFDG-MASTER BOCES PG DEL CHENANGO MADISON & OTS BEO	X					X
SP	NY STATE THRUWAY AUTH REV 5,0000% 05/01/19 JI GEN REV/OBG SERIES 2013A BEO	X					X
SP	NASSAU CNTY NY GO 5% 10/01/24 AO GEN IMP'T REF BDS 2017 C LT BEO	X					X
SP	NEW YORK CONVENTION CTR DEV REV 3% 11/15/18 MN CORP NY REV RFDG-HOTEL UNIT FEE SEC'D BEO	X					X
SP	NEW YORK ST DORM AUTH REV'S NON REV 5,2500% 07/01/27 JI ST SUPPORTED DEBT NEW PRERE SR LIEN ST X-ORD RDM	X					X
SP	NEW YORK ST DORM AUTH SALES REV 5% 03/15/23 MS TAX REV ST SUPPORTEDDEBT BDS 2018 E GROUP 1 BEO	X					X
SP	NEW YORK ST URBAN DEV CORP REV REV 5% 03/15/23 MS ST PERS INCOME TAX REV BDS 2008A-1 SR LIEN	X					X
SP	NORTHEAST OHIO REG'L SWR DIST REV 4% 11/15/20 MN WASTEWTR REV REV BDS 2013 BEO	X					X
SP	NY CITY MUN INTR&SWR FIN AUTH REV 5,0000% 06/15/23 C-A JD 2ND GEN RESOLUTION-FISCAL 2017 SUBSER CC-2 BEO	X					X
SP	NY ST ENVIRONMENTAL FACS CO REV 4,0000% 06/15/20 JD CIN & DRINKING WTR REV BDS 2ND RES BDS SERIES 2017 E	X					X
SP	NY TRANS FIN AUTH 11/14/2013 REV 5,0000% 11/01/19 MN FUTURE TAX SEC'D-SUB-FISCAL 2015-SER C BEO SUB LIEN	X					X

Schedule A: Assets and Unearned Income

Schedule A: Assets and Unearned Income

SP/DC/JT	Asset Name	Min		Max		EI/F	Type of income	Partnership			
		None	Dividends	Rent	Interest			Capital Gains	Excepted / Blind Trust	Tax Deferred Income	
SP	ONONDAGA CNTY NY GO 4% 06/15/19 JD GO REF SERIAL BDS 2015 LT BEO SR LIEN				X						
SP	ORANGE CA CMITY FCS DST SPL TX REV 4.0000% 10/01/18-CA AO RFDG-MD 91-2-SERRNO HEIGHTS PUB IMPPTS BEO S-T SPL RDM				X						
SP	PORT JUHN NY & NJ REV 5% 12/01/25-CA JD CONSOLIDATED BDS 17B AMT BEO SR LIEN				X						
SP	SOUTHAMPTON TOWN NY GO 5% 10/01/29 AO PUB IMPRT REF BDS 2012 LT BEO	X									
SP	SUCCESSOR AGY TO UPLAND CMNTY REV 4.0000% 09/01/19 MS REDEV AGY RFDG-TAXABLE-MERGED PROJ TAX ALLOCATION INS BEO	X			X						
SP	THE CITY OF NEW YORK GO 5.0000% 08/01/24 FA GENERAL OBLIGATION BONDS FISCAL 2018 SUBSERIES B-1	X			X						
SP	THE PORT AUTH OF NY & NJ REV 5.0000% 1/1/15/21 MN CONSOLIDATED BONDS, ONE HUNDRED NINETY-SEVENTH AMT BEO	X			X						
SP	TOMPKINS CNTY NY DEV CORP REV REV 5.0000% 07/01/21 DJ RFDG-IITHACA COLLEGE PROJ BEO S-T X-ORD RDM	X			X						
SP	TRBRGH BRDG & TMJ AUTH NY REV REV 5.0000% 11/15/38 MN PREREFUNDED-GEN-SER C PRERE 11/15/18 BEO SR LIEN	X			X						
SP	U S DOLLAR	X			X						
SP	YONKERS NY GO 5% 09/01/23 MS GO SERIAL BDS 2017 A LT BEO	X			X						

Schedule A: Assets and Unearned Income

SP/DC/JT	Asset Name	Elif	Amount of income	Transaction Summary		
				P	FS	PS
SP	ONONDAGA CNTY NY GO 4% 06/15/19 JD GO REF SERIAL BDS 2015 LT BEO SR LIEN		X			X
SP	ORANGE CA CMNTY FCS DST SPL TX REV 4.0000% 10/01/18-CA AO RFDG-NO 91-2-SERRANO HEIGHTS PUB IMPFTS BEO S-T SPL RDW		X			X
SP	PORT AUTH NY & NJ REV 5% 12/01/25-CA JD CONSOLIDATED BDS 178 AMT BEO SR LIEN	X				
SP	SOUTHAMPTON TOWN NY GO 5% 10/01/19 AQ PUB IMPFT REV BDS 2012 LT BEO	X				
SP	SUCCESSOR AGY TO UPLAND CMNTY REV 4.0000% 09/01/19 MS REDEV ASY RFDG-TAXABLE-MERGED PROJ TAX ALLOCATION IRS BEO	X				
SP	THE CITY OF NEW YORK GO 5.0000% 08/01/24 FA GENERAL OBLIGATION BONDS FISCAL 2018 SUBSERIES B-1	X				
SP	THE PORT AUTH OF NY & NJ REV 5.0000% 11/15/21 MN CONSOLIDATED BONDS, ONE HUNDRED NINETY-SEVENTH AMT BEO ITAAC COLLEGE PROJ BEO S-T X-ORD ADM	X				
SP	TOMPKINS CNTY NY DEV CORP REV REV 5.0000% 07/01/21 U RFDG-TRBRGH BRDG & TML 4TH NY REV'S REV 5.0000% 11/15/28 MN PRREFUNDED-GEN-SER C PRERE 11/15/18 BEO SR LIEN	X				
SP	U S DOLLAR	X				
SP	YONKERS NY GO 5% 09/01/23 MS GO SERIAL BDS 2017 A LT BEO	X				

SCHEDULE B – TRANSACTIONS

Name: Rohit Khanna

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Use additional sheets if more space is required.

		Type of Transaction									
		Date									
		Amount of Transaction									
SP	Example	Purchase									
		Sale									
		Partial Sale									
		Exchange									
		Check Box If Capital Gain Exceeded \$200									
		(MONTHLY or QUARTERLY, MONTHLY, or Bi-Weekly, if applicable)									
		A B C D E F G H I J K									
SP, DC, JT	Map Corp. Stock	Asset									
Please see the attached											

Schedule B: Transactions

Name: Rohit Khanna

SP, DC, JT	Asset	Type of Transaction			Check Box if Cap Gain Exceeded \$200	Date	Amount of Transaction				
		ELF	Purchase	Sale							
Ritu Ahuja 1994 Trust & Ritu Ahuja 1995 Trust:											
M&R Trust Partnership:											
SP	Kayne Anderson MLP Fund, L.P.			x		1/31/18	\$15,001-\$50,000				
SP	Kayne Anderson MLP Fund, L.P.			x		4/1/18	\$1,000-\$15,000				
SP	Kayne Anderson MLP Fund, L.P.			x		4/30/18	\$1,000-\$15,000				
SP	Kayne Anderson MLP Fund, L.P.			x		5/31/18	\$50,001-\$100,000				
SP	Kayne Anderson MLP Fund, L.P.			x		6/30/18	\$1,000-\$15,000				
SP	Kayne Anderson MLP Fund, L.P.			x		7/31/18	\$15,001-\$50,000				
SP	Kayne Anderson MLP Fund, L.P.			x		8/1/18	\$1,000-\$15,000				
SP	Kayne Anderson MLP Fund, L.P.			x		8/31/18	\$50,001-\$100,000				
SP	Kayne Anderson MLP Fund, L.P.			x		10/1/18	\$1,000-\$15,000				
SP	Kayne Anderson MLP Fund, L.P.			x		10/31/18	\$1,000-\$15,000				
SP	Kayne Anderson Real Estate Partners IV, L.P.			x		3/31/18	\$1,000-\$15,000				
SP	Kayne Anderson Real Estate Partners IV, L.P.			x		7/31/18	\$1,000-\$15,000				
SP	Kayne Credit Opportunities Fund (QP), L.P.			x		2/28/18	\$1,000-\$15,000				
SP	Resilience Fund IV LP			x		2/5/18	\$1,000-\$15,000				
SP	Resilience Fund IV LP			x		6/4/18	\$15,001-\$50,000				
SP	Resilience Fund IV LP			x		11/26/18	\$15,001-\$50,000				
Grandchildren's Trust:											
DC	Kayne Anderson MLP Fund, L.P.			x		1/31/18	\$1,000-\$15,000				
DC	Kayne Anderson MLP Fund, L.P.			x		4/1/18	\$1,000-\$15,000				
DC	Kayne Anderson MLP Fund, L.P.			x		5/31/18	\$15,001-\$50,000				
DC	Kayne Anderson MLP Fund, L.P.			x		7/31/18	\$1,000-\$15,000				
DC	Kayne Anderson MLP Fund, L.P.			x		5/31/18	\$1,000-\$15,000				
DC	Kayne Anderson Energy Fund 6, LP			x		5/31/18	\$1,000-\$15,000				
DC	Kayne Anderson Energy Fund 6, LP			x		9/7/18	\$1,000-\$15,000				
DC	Resilience Fund IV LP			x		2/5/18	\$1,000-\$15,000				
DC	Resilience Fund IV LP			x		6/4/18	\$15,001-\$50,000				
DC	Resilience Fund IV LP			x		11/26/18	\$15,001-\$50,000				
DC	Resilience Fund III LP			x		5/31/18	\$1,000-\$15,000				

Schedule B: Transactions

Name: Rohit Khanna

SP, DC, JT	Asset	Type of Transaction				Check Box If Cap Gain Exceeded \$200	Date	Amount of Transaction
		ELF	Purchase	Sale	Partial Sale			
Ritu Ahluwalia 1995 Trust: Grandchildren's Trust: ARECO LP:								
SP	MAI Wealth Income and Growth Fund, LP		X	X			7/24/18	\$1,000-\$15,000
SP	MAI Wealth Income and Growth Fund IV, LP		X	X			2/20/18	\$50,001-\$100,000
SP	MAI Wealth Income and Growth Fund IV, LP		X	X			6/11/18	\$15,001-\$50,000
SP	MAI Wealth Income and Growth Fund IV, LP		X	X			9/26/18	\$50,001-\$100,000
DC	MAI Wealth Income and Growth Fund IV, LP		X	X				
DC	MAI Wealth Income and Growth Fund IV, LP		X	X				
DC	MAI Wealth Income and Growth Fund IV, LP		X	X				
SP	MAI Diversified Real Estate Income Fund, LP		X	X			2/20/18	\$1,000-\$15,000
SP	MAI Diversified Real Estate Income Fund, LP		X	X			6/11/18	\$1,000-\$15,000
SP	MAI Diversified Real Estate Income Fund, LP		X	X			9/26/18	\$1,000-\$15,000
DC	MAI Diversified Real Estate Income Fund, LP		X	X				
DC	MAI Diversified Real Estate Income Fund, LP		X	X				
SP	Areco Golf/Mayfield Sand Ridge, Country Club, Cleveland, OH		X				5/18/18	Spouse/DC Asset over \$1,000,000
DC	Areco Golf/Mayfield Sand Ridge, Country Club, Cleveland, OH		X				5/18/18	\$100,001-\$250,000
Grandchildren's Trust: ASX Partners, LLC								
DC	Transtar/Speedstar Holding Co		X				3/13/18	\$1,000-\$15,000
DC	Transtar/Speedstar Holding Co		X				4/23/18	\$15,001-\$50,000
DC	Transtar/Speedstar Holding Co		X				6/15/18	\$250,001-\$500,000
DC	Transtar/Speedstar Holding Co		X				6/19/18	\$1,000-\$15,000
DC	Transtar/Speedstar Holding Co		X				7/23/18	\$1,000-\$15,000
DC	Transtar/Speedstar Holding Co		X				9/17/18	\$1,000-\$15,000

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ETF	EIF	EIF	EIF	EIF	EIF
SP, DC, JT	SP	DC	SP	DC	SP
Security Name	iShares Russell 1000 Growth ETF				
Ticker	IWF	IWF	IWF	IWF	IWF
Transaction					
Amount of Transaction					
A: None					
B: \$1-\$1,000					
C: \$1,001-\$15,000					
D: \$15,001-\$50,000					
E: \$50,001-\$100,000					
F: \$100,001-\$250,000					
G: \$250,001-\$500,000					
H: \$500,001-\$1,000,000					
I: \$1,000,001-\$5,000,000					
J: \$5,000,001-\$25,000,000					
K: \$25,000,001-\$50,000,000					
L: Over \$50,000,000					
Spouse/DC Asset over \$1,000,000					
Type of Transaction					
Purchase					
Sale					
Partial Sale					
Exchange					
Capital Gain	Capital Gain Exceeded \$200				
Transaction Date	7/13/2018	7/13/2018	7/17/2018	7/17/2018	8/6/2018

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DC	SP	DC	SP	DC	SP	DC	SP	DC
Paypal Holdings Inc Com PYPL	Charles Schwab New SCHW	Charles Schwab New SCHW	S&P Global Inc SPGI	S&P Global Inc SPGI	Visa Inc Cl A V			
10/12/2018	8/9/2018	8/9/2018	8/9/2018	8/9/2018	8/9/2018	10/30/2018	10/30/2018	

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EIF		EIF	EIF			
SP, DC, JT		SP	SP	SP	SP	SP
	Invesco Variable Rate ETF	Invesco Variable Rate ETF	Adobe Inc	Adobe Inc	Adobe Inc	Adobe Inc
Security Name	VRIG	VRIG	ADBE	ADBE	ADBE	ADBE
Ticker						
Transaction						
Amount of Transaction	A: None	B: \$1-\$1,000	C: \$1,001-\$15,000	D: \$15,001-\$50,000	E: \$50,001-\$100,000	F: \$100,001-\$250,000
	G: \$250,001-\$500,000	H: \$500,001-\$1,000,000	I: \$1,000,001-\$5,000,000	J: \$5,000,001-\$25,000,000	K: \$25,000,001-\$50,000,000	L: Over \$50,000,000
	Spouse/DC Asset over \$1,000,000					
Type of Transaction	Purchase	Sale				
	Partial Sale					
	Exchange					
Capital Gain	Capital Gain Exceeded \$200	2/5/2018	9/12/2018	4/6/2018	4/10/2018	5/15/2018
Transaction Date						

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SP/CF/T	Full Asset Name	Type of Transaction	Date of Transaction	Amount of Transaction											
				P	PS	F3	MAN/MDR/PY	Capital Gains item 5200	\$1,000.00+	\$15,000.00+	\$50,000.00+	\$100,000.00+	\$250,000.00+	\$500,000.00+	Over \$500,000.00+
Transaction in a Spouse or Dependent Child Asset over \$1,000.000															
SP	Bethel Abitur 1994 Trust														
SP	CITIGROUP INC. CHIN			X			07/23/20		X						
SP	CITIGROUP INC. CHIN			X			10/20/21		X						
SP	CITIGROUP INC. CHIN			X			02/27/18		X						
SP	CITIGROUP INC. CHIN			X			02/10/21		X						
SP	CITIGROUP INC. CHIN			X			11/21/20		X						
SP	CITIGROUP INC. CHIN			X			07/24/18		X						
SP	CITIGROUP INC. CHIN			X			10/27/18		X						
SP	CITIGROUP INC. CHIN			X			12/20/18		X						
SP	CITIGROUP INC. CHIN			X			10/25/18		X						
SP	CITIZENS FINANCIAL GROUP INC. CHIN			X			10/08/21		X						
SP	CITIZENS FINANCIAL GROUP INC. CHIN			X			04/09/20		X						
SP	CITIX SYSTEMS INC. CHIN			X			01/02/20		X						
SP	CLARK OWN NEW SCH DEST 60% 08/15/19 10			X			02/27/20		X						
SP	CLEVELAND CLINIC FOUNDATION, INC. CHIN			X			11/21/18		X						
SP	CLUBROX CO THE IDOL AWARE CHIN			X			11/20/18		X						
SP	CLUBROX CO THE IDOL AWARE CHIN			X			05/08/18		X						
SP	CME GROUP INC. CHIN CLASS A			X			02/27/20		X						
SP	CMS ENERGY CORPORATION CHIN			X			06/05/18		X						
SP	COCA-COLA COMPANY THE CHIN			X			02/27/18		X						
SP	COCA-COLA COMPANY THE CHIN			X			10/25/18		X						
SP	COBRAX CORPORATION CHIN			X			05/28/18		X						
SP	COHA FINC CORP CHIN			X			06/15/18		X						
SP	COHACO COLA COMPANY THE CHIN			X			02/27/18		X						
SP	COHACO COLA COMPANY THE CHIN			X			06/08/18		X						
SP	COGNITIVE TECHNOLOGY SOLUTIONS CORP CLASS A			X			10/25/18		X						
SP	COGNITIVE TECHNOLOGY SOLUTIONS CORP CLASS A			X			04/03/18		X						
SP	COHERENT INC. CHIN			X			02/27/18		X						
SP	COLGATE-PALMERS CO CHIN			X			07/24/18		X						
SP	COLGATE-PALMERS CO CHIN			X			07/24/18		X						
SP	COLONY CAPITAL INC CHIN			X			05/08/18		X						
SP	COMCAST CORPORATION CHIN CLASS A VOTING			X			02/27/18		X						
SP	COMCAST CORPORATION CHIN CLASS A VOTING			X			06/08/18		X						
SP	COMCAST BRANDS INC. CHIN			X			03/22/18		X						
SP	COMCAST CORPORATION CHIN CLASS A VOTING			X			02/27/18		X						
SP	COMCAST CORPORATION CHIN CLASS A VOTING			X			02/27/18		X						
SP	COMCAST CORPORATION CHIN CLASS A VOTING			X			02/27/18		X						
SP	CONCIO RESOURCES INC. CHIN			X			12/21/18		X						
SP	CONCIO RESOURCES INC. CHIN			X			02/27/18		X						
SP	CONCIO RESOURCES INC. CHIN			X			02/27/18		X						
SP	CONOCOPHILLIPS CHIN			X			09/08/18		X						
SP	CONOCOPHILLIPS CHIN			X			02/27/18		X						
SP	CONOCOPHILLIPS CHIN			X			11/23/18		X						
SP	CONOCOPHILLIPS CHIN			X			04/09/18		X						
SP	CONSOLIDATED EDISON INC CHIN			X			02/27/18		X						

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SP/OCAT	Full Legal Name	Type of Transaction	Date of Transaction	Amount of Transaction										K Transaction in a Spouse or Dependent Child Asset over \$1,000,000				
				P	RS	ES	M&P/Part	Capital Gain Gains from SDO	\$1,000+	\$15,000+	\$50,000+	\$100,000+	\$250,000+	\$500,000+	\$1,000,000+	\$5,000,000+	\$25,000,000+	\$50,000,000+
SP	RETAIR AMERICA, INC. TRUST																	
SP	HANESBRANDS INC. CHN			X				05/15/18										
SP	HANESBRANDS INC. CHN			X				10/23/18										
SP	HANESBRANDS INC. CHN			X				12/18/18										
SP	HANESBRANDS INC. CHN			X				02/27/18										
SP	HANESBRANDS INC. CHN			X				05/08/18										
SP	HANESBRANDS INC. CHN			X				05/15/18										
SP	HARLEY-DAVIDSON INC. CHN			X				05/08/18										
SP	HARRIS CO. OF CHN			X				02/27/18										
SP	HARTFORD FINANCIAL SERVICES GROUP CHN			X				10/25/18										
SP	HASCARD INC. CHN			X				05/15/18										
SP	HAWAII CITY TEX. 005K 02/15/2018			X				05/08/18										
SP	HCA INC. CHN			X				12/04/18										
SP	HEC INC. CHN			X				02/27/18										
SP	HEALTHCARE TRUST OF AMERICA, ICHN CLASS A			X				05/15/18										
SP	HEES CORPORATION CHN			X				05/08/18										
SP	HESS CORPORATION CHN			X				02/27/18										
SP	HEWLETT-Packard Enterprise Co. CHN			X				12/13/18										
SP	HEWLETT-Packard Enterprise Co. CHN			X				02/27/18										
SP	HEWLETT-Packard Enterprise Co. CHN			X				04/02/18										
SP	HELTON HOLDING, HOLDINGS INC. CHN			X				12/28/18										
SP	HELTON WORLDWIDE HOLDINGS INC. CHN			X				05/08/18										
SP	HOLAYFINDER CORP. CHN			X				02/27/18										
SP	HOLISTIC IMBALANCED CHN			X				12/13/18										
SP	HONEYWELL AT&T INC. CHN			X				05/08/18										
SP	HOMAN POWERS CORPORATION CHN			X				02/27/18										
SP	HOTEL HOTELS & RESORTS INC. CHN			X				02/27/18										
SP	HOUSTON TEX UTILS. SYS. REV. 40,000M 1/15/19 10M			X				12/28/18										
SP	HP INC. CHN			X				02/27/18										
SP	HP INC. CHN			X				05/08/18										
SP	HP INC. CHN			X				02/27/18										
SP	HUBBELL INCORPORATED CHN			X				05/08/18										
SP	HUDSON CITY II LLC 5% 12/29/19 10P			X				02/27/18										
SP	HUMANIX INC. CHN			X				02/27/18										
SP	HUNTINGTON BANKSHARES INCORPORATED CHN			X				05/15/18										
SP	HUNTINGTON INSURALS INDUSTRIES, INC.			X				05/08/18										
SP	HUNTINGTON INSURALS INDUSTRIES, INC.			X				02/27/18										
SP	HUNTHAM CORPORATION CHN			X				12/28/18										
SP	HUNTHAM CORPORATION CHN			X				04/02/18										
SP	HUNTHAM CORPORATION, INC.			X				10/25/18										
SP	HUNTHAM CORPORATION CHN			X				01/02/18										
SP	HYDRA LABORATORIES CHN			X				02/27/18										
SP	HYS MARKETING LTD CHN			X				02/27/18										
SP	ILLUMOS FA MATH REV 5.5M 02/10/21 10M			X				12/28/18										
SP	ILLUMOS FA MATH REV 8.75M 08/15/18 10M			X				02/27/18										
SP	ILLUMOS TOOL WORKS CHN			X				10/25/18										
SP	ILLUMOS TOOL WORKS CHN			X				05/08/18										
SP	ILLUMOS TOOL WORKS CHN			X				02/27/18										
SP	ILLUMINA, INC. CHN			X				04/03/18										
SP	ILLUMINA, INC. CHN			X				02/27/18										
SP	INNOTE CORPORATION CHN			X				05/15/18										
SP	INNOTE CORPORATION CHN			X				02/27/18										
SP	INNOTE CORPORATION CHN			X				05/15/18										
SP	INNOTE CORPORATION CHN			X				02/27/18										
SP	INNOTE CORPORATION CHN			X				05/15/18										
SP	INNOTE CORPORATION CHN			X				02/27/18										
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SP	INNOTE CORPORATION CHN			X				02/27/18										
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SP	INNOTE CORPORATION CHN			X				02/27/18										
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SP	INNOTE CORPORATION CHN			X				02/27/18										
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SP	INNOTE CORPORATION CHN			X				02/27/18										
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SP	INNOTE CORPORATION CHN			X				02/27/18										
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SP	INNOTE CORPORATION CHN			X				02/27/18										
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SP	INNOTE CORPORATION CHN			X				02/27/18										
SP	INNOTE CORPORATION CHN			X				05/15/18										
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SP	INNOTE CORPORATION CHN			X				05/15/18										
SP	INNOTE CORPORATION CHN			X				02/27/18										
SP	INNOTE CORPORATION CHN			X				05/15/18										
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SP	INNOTE CORPORATION CHN			X				05/15/18										
SP	INNOTE CORPORATION CHN			X				02/27/18										
SP	INNOTE CORPORATION CHN			X				05/15/18										
SP	INNOTE CORPORATION CHN			X				02/27/18										
SP	INNOTE CORPORATION CHN			X				05/15/18										
SP	INNOTE CORPORATION CHN			X				02/27/18										
SP	INNOTE CORPORATION CHN			X				05/15/18										
SP	INNOTE CORPORATION CHN			X				02/27/18										
SP	INNOTE CORPORATION CHN			X				05/15/18										
SP	INNOTE CORPORATION CHN			X				02/27/18										
SP	INNOTE CORPORATION CHN			X				05/15/18										
SP	INNOTE CORPORATION CHN																	

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SP/DCIT	Full Agent Name	Type of Transaction	Date of Transaction	Amount of Transaction									
				P	PS	PT	Mkt/Prod/PR	Control Sole Greater than 50%	A	B	C	D	E
<i>Newly Acquired Firm</i>													
SP	MICROSOFT CORPORATION CHN		X				11/13/18	X					
SP	MICROSONIC CORPORATION CHN		X				10/25/18	X					
SP	MICROSONIC CORPORATION CHN		X				02/27/18	X					
SP	MICROSONIC CORPORATION CHN		X				04/05/18	X					
SP	MICROSONIC CORPORATION CHN		X				04/05/18	X					
SP	MICROSONIC PUBLIC LIMITED COMPANY CHN		X				02/27/18	X					
SP	MEDTECHNICS PUBLIC LIMITED COMPANY CHN		X				04/05/18	X					
SP	MERCADOLIBRE INC. CHN		X				12/17/18	X					
SP	MERGE & CO. INC. CHN		X				02/02/18	X					
SP	MERICK & CO. INC. CHN		X				02/27/18	X					
SP	MERICK & CO. INC. CHN		X				04/05/18	X					
SP	MERCURY GENERAL CORPORATION CHN		X				05/08/18	X					
SP	METALIFE INC. CHN		X				01/18/18	X					
SP	METALIFE INC. CHN		X				04/05/18	X					
SP	METALIFE INC. CHN		X				07/24/18	X					
SP	METALIFE INC. CHN		X				12/17/18	X					
SP	METALIFE INC. CHN		X				02/27/18	X					
SP	METALIFE INC. CHN		X				04/05/18	X					
SP	METRODESIGN WASH D'ARTS REG#48 1000415140		X				12/24/18	X					
SP	METTLER TOLEDO INT'L CHN		X				01/02/18	X					
SP	MGM REPORTS INTERNATIONAL CHN		X				10/02/18	X					
SP	MEN'S WEARHOUSE INTERNATIONAL CHN		X				07/02/18	X					
SP	MHIGH RESOURCES INTERNATIONAL CHN		X				12/27/18	X					
SP	MHIGH RESOURCES INTERNATIONAL CHN		X				02/27/18	X					
SP	MHIGH RESOURCES INTERNATIONAL CHN		X				04/05/18	X					
SP	MICHAEL KORS HOLDINGS LIMITED CHN		X				04/05/18	X					
SP	MICROCHIP TECHNOLOGY INCORPORATED CHN		X				12/27/18	X					
SP	MICROCHIP TECHNOLOGY INCORPORATED CHN		X				01/25/18	X					
SP	MICRON TECHNOLOGY INC. CHN		X				02/27/18	X					
SP	MICROSOFT CORPORATION CHN		X				02/27/18	X					
SP	MICROSOFT CORPORATION CHN		X				04/05/18	X					
SP	MID-AMERICA AIRLINES INC. CHN		X				12/27/18	X					
SP	MID-AMERICA AIRLINES INC. CHN		X				01/25/18	X					
SP	MID-AMERICA AIRLINES INC. CHN		X				02/27/18	X					
SP	MID-AMERICA AIRLINES INC. CHN		X				04/05/18	X					
SP	MIDS INSTRUMENTS INC. CHN		X				02/27/18	X					
SP	MIDS INSTRUMENTS INC. CHN		X				03/07/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				02/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				02/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				05/08/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				10/25/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				04/05/18	X					
SP	MIDWEST INDUSTRIES INC. COMMON STOCK		X				12/27/18	X					

Schedule B - Transactions

Schedule B - Transactions

SP/CT	Full Asset Name	Type of Transaction	Date of Transaction	Amount of Transaction														
				P	P+	P%	MWADP/TY	Capital Gains Gains from \$250	A	B	C	D	E	F	G	H	I	J
Rebu Assets 3944 Trans.																		
SP	UNITED PARCEL SERVICE, INC. CLASS B COMMON STOCK		X				03/05/18	\$1,000-										
SP	UNITED PARCEL SERVICE, INC. CLASS B COMMON STOCK		X				10/25/18											
SP	UNITED PARCEL SERVICE, INC. CLASS B COMMON STOCK		X				07/24/18											
SP	UNITED PARCEL SERVICE, INC. CLASS B COMMON STOCK		X				03/07/18											
SP	UNITED PARCEL SERVICE, INC. CLASS B COMMON STOCK		X				09/05/18											
SP	UNITED PARCEL SERVICE, INC. CLASS B COMMON STOCK		X				04/05/18											
SP	UNITED PARCEL SERVICE, INC. CLASS B COMMON STOCK		X				02/27/18											
SP	UNITED PARCEL SERVICE, INC. CLASS B COMMON STOCK		X				12/21/18											
SP	UNITED PARCEL SERVICE, INC. CLASS B COMMON STOCK		X				11/13/18											
SP	UNITED RENTAL, INC. CMM		X				06/05/18											
SP	UNITED TECHNOLOGIES CORPORATION CMM		X				12/26/18											
SP	UNITED TECHNOLOGIES CORPORATION CMM		X				04/05/18											
SP	UNITED TECHNOLOGIES CORPORATION CMM		X				02/27/18											
SP	UNITEDHEALTH TRUST INCORPORATE CMM		X				04/05/18											
SP	UNITEDHEALTH GROUP INCORPORATE CMM		X				02/27/18											
SP	UNITEDHEALTH GROUP INCORPORATE CMM		X				04/05/18											
SP	UNIVERSAL DISPLAY CORPORATION CMM		X				03/02/18											
SP	UNIVIN GROUP CMM		X				10/02/18											
SP	UNIVIN GROUP CMM		X				06/25/18											
SP	UNIVIN GROUP CMM		X				04/26/18											
SP	UNIVIN GROUP CMM		X				02/27/18											
SP	VACUUM ENERGY CORPORATION CMM		X				05/08/18											
SP	VACURO ENERGY CORPORATION CMM		X				02/27/18											
SP	VANCOUVER MEDICAL SYSTEMS INC CMM		X				04/05/18											
SP	VECTRONIC COMM CMM		X				02/27/18											
SP	VENTAS, INC. CMM		X				03/02/18											
SP	VENTAS, INC. CMM		X				04/05/18											
SP	VENTAS, INC. CMM		X				02/27/18											
SP	VENTURE ANALYTICS, INC. CMM		X				04/05/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				05/08/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				04/05/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				05/08/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				04/05/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				05/08/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				04/05/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				05/08/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				04/05/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				05/08/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				04/05/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				05/08/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				04/05/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				05/08/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				04/05/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				05/08/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				04/05/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				05/08/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				04/05/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				05/08/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				04/05/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				05/08/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				04/05/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				05/08/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				04/05/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				05/08/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				04/05/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				05/08/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				04/05/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				05/08/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				04/05/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				05/08/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				04/05/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				05/08/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				04/05/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				05/08/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				02/27/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X				04/05/18											
SP	VERIZON COMMUNICATIONS, INC. CMM		X															

Schedule B - Transactions

Schedule B - Transactions

SP/OC/OT	Full Asset Name	Type of Transaction	Date of Transaction	Amount of Transaction													
				P	AS	ES	MAX/ODD/YR	Capital Gain	A	B	C	D	E	F	G	H	I
					\$15,000	\$15,000	\$15,000	\$15,000	\$15,000	\$15,000	\$15,000	\$15,000	\$15,000	\$15,000	\$15,000	\$15,000	K
SP	RELI ANNUAL FARM TRUST																
SP	CONCIO RESOURCES INC. CHIN			X													
SP	UNITED CONTINENTAL HOLDINGS INC. CHIN			X													
SP	ROCKWELL COLLINS, INC. CHIN			X													
SP	GREENTHORPE FINANCIAL, INC. CHIN																
SP	EDITIONERS INTERNATIONAL OF WASH. CHIN			X													
SP	CHEAPEAGUE ENERGY CORPORATION CHIN			X													
SP	TEEMA INC. CHIN			X													
SP	KANSAS CITY SOUTHERN CHIN			X													
SP	OMEGA HEALTHCARE INVESTORS INC. CHIN			X													
SP	YOLUME INC. CHIN			X													
SP	ALUMILAM PHARMACEUTICALS INC. CHIN			X													
SP	MAIL INQUIRIES PUBLIC LIMITED CO. CHIN			X													
SP	SPRING CORP CHIN			X													
SP	INTERLINE INDUSTRIES CORPORATION CHIN			X													
SP	OWENS CORNING CHIN			X													
SP	ALABAMA CHIN			X													
SP	ARMON ELECTRONICS INC. CHIN			X													
SP	HYDROSYSTEMS INC. CHIN			X													
SP	UNDER A RUG U.S. INC. CHIN CLASS C			X													
SP	TRIMMABLE INC. CHIN			X													
SP	CDW CORP CHIN			X													
SP	SIGNET JEWELERS LIMITED CHIN			X													
SP	COLTAX CORPORATION CHIN			X													
SP	INC INC CHIN			X													
SP	DATAFLUID CORP CHIN			X													
SP	MICRO FOCUS INTERNATIONAL PLC HONORED ADR CHIN			X													
SP	AUDIOMEX MEXICAN			X													
SP	LEO BURNETTE TELEVISION GROUP INC. CHIN CLASS A			X													
SP	THE BANK OF NY MELLON CORP CHIN			X													
SP	USG CORP NEW CHIN			X													
SP	USG CORP NEW CHIN			X													
SP	GENERAL DYNAMICS CORP CHIN			X													
SP	USG CORP NEW CHIN			X													
SP	WEPP ENERGY, INC. CHIN			X													
SP	USG CORP NEW CHIN			X													
SP	PRESTEK INC. CHIN			X													
SP	FIRESTEK INC. CHIN			X													
SP	RAISETEL INC. CHIN			X													
SP	ROBERT MINTL INFORMATION INC. CHIN			X													
SP	WPP ENERGY, INC. CHIN			X													
SP	ORACLE CORPORATION CHIN			X													
SP	ALLIANCE DATA SYSTEMS CORPORATION CHIN			X													
SP	ALLSTATE CORPORATION COMMON STOCK			X													
SP	AMERICAN WATER WORKS CO. INC. CHIN			X													
SP	AMERICAN SMOKESCREEN CORPORATION CHIN			X													
SP	AVENY DENISON CORPORATION CHIN			X													
SP	JOHNSON & JOHNSON CHIN			X													
SP	SPARKS INC CHIN			X													
SP	PRESCO, INC. CHIN			X													
SP	3M COMPANY CHIN			X													
SP	AMERICAN BUSINESS MACHINES CORP CHIN			X													
SP	DOMINION INC. CHIN			X													
SP	UNITED TECHNOLOGIES CORPORATION CHIN			X													
SP	AT&T INC CHIN			X													
SP	AMREP INC CHIN			X													

Schedule B - Transactions

SP/PC/AT	Full Asset Name	Type of Transaction	Date of Transaction	Amount of Transaction														
				P	PS	FS	AMOUNT/PER	Capital Gains Gains Losses	A	B	C	D	E	F	G	H	I	J
SP	RELU ANNUITY FUND TRUST	Provide full name and stock symbol.																
SP	BERKSHIRE HATHAWAY INC. CLASS B			X			05/08/18											
SP	LOCATED MARTIN CORPORATION,OMN			X			05/08/18											
SP	WALMART INC,OMN			X			05/08/18											
SP	UNITED PARCEL SERVICE INC. CLASS B COMMON STOCK			X			05/08/18											
SP	ALPHABET INC. OMN CLASS A			X			05/08/18											
SP	ALLERGAN PUBLIC LIMITED COMPANY,OMN			X			05/08/18											
SP	MC DONALD'S CORP,OMN			X			05/08/18											
SP	GENERAL ELECTRIC CO,OMN			X			05/08/18											
SP	QUALCOMM INC,OMN			X			05/08/18											
SP	MONDEZ INTERNATIONAL INC,OMN			X			05/08/18											
SP	CAPITAL ONE FINANCIAL CORP,OMN			X			05/08/18											
SP	HALFRED'S RETAIL'S ALLIANCE, INC.,OMN			X			05/08/18											
SP	TIME HOME DEPOT, INC.,OMN			X			05/08/18											
SP	REEDING COMPANY,OMN			X			05/08/18											
SP	EBAY INC,OMN			X			05/08/18											
SP	DOMINION ENERGY INC,OMN			X			05/08/18											
SP	PRINCIPAL FINANCIAL GROUP INC,OMN			X			05/08/18											
SP	RUMBLE-QUAY CORPORATION,OMN			X			05/08/18											
SP	AMTHERA INC,OMN			X			05/08/18											
SP	CIBENA CORPORATION,OMN			X			05/08/18											
SP	INTERCONTINENTAL EXCHANGES INC,OMN			X			05/08/18											
SP	GENERAL MOTORS COMPANY,OMN			X			05/08/18											
SP	THE ADAPT HEMING CO,OMN			X			05/08/18											
SP	TE CONNECTIVITY LTD,OMN			X			05/08/18											
SP	CARNIVAL CORPORATION,OMN			X			05/08/18											
SP	NORTHROP GRUMMAN CORP,OMN			X			05/08/18											
SP	THE TRAVELERS COMPANIES INC,OMN			X			05/08/18											
SP	INTERSTATE BUSES HOLDINGS INC,OMN			X			05/08/18											
SP	CROWN CASTLE INT'L CORP,OMN			X			05/08/18											
SP	SOUTHWEST AIRLINES CO,OMN			X			05/08/18											
SP	PGS INDUSTRIES INC,OMN			X			05/08/18											
SP	TAIWAN LOGISTICS CO,OMN			X			05/08/18											
SP	VERTEX PHARMACEUTICALS INC,OPD,OMN			X			05/08/18											
SP	BECKETT, DICKINSON & CO COMPANY,OMN			X			05/08/18											
SP	INCIOTE CORPORATION,OMN			X			05/08/18											
SP	BESTCO MEMES SQUARS CONTRACTING,OMN			X			05/08/18											
SP	INNOVATION CONSTRUCTION,OMN			X			05/08/18											
SP	SIMON PROPERTY GROUP INC,OMN			X			05/08/18											
SP	INNSICO LTD,OMN			X			05/08/18											
SP	LIBERTY BROADBAND CORP CLASS C			X			05/08/18											
SP	COLGATE-PALMOLIVE CO CLASS C			X			05/08/18											
SP	WESTERN DIGITAL CORPORATION,OMN			X			05/08/18											
SP	WASTE MANAGEMENT INC,OMN			X			05/08/18											
SP	APPLIED MATERIALS INC,OMN			X			05/08/18											
SP	ACE ENERGY INC,OMN			X			05/08/18											
SP	SHERWIN-WILLIAMS CO,OMN			X			05/08/18											
SP	COMMING INFORMATION,OMN			X			05/08/18											
SP	ZIMMER BIOMEDICAL HOLDINGS INC			X			05/08/18											
SP	MONSTER VERITAS CONTRACTING,OMN			X			05/08/18											
SP	THE SOUTHERN CO,OMN			X			05/08/18											
SP	ROYAL CARIBBEAN CRUISES LTD,OMN,LCSS			X			05/08/18											
SP	THE WILLIAMS CO,OMN			X			05/08/18											
SP	OAK GROUP INC,OMN CLASS A			X			05/08/18											
SP	DIGITAL REALTY TRUST, INC,OMN			X			05/08/18											

Schedule B - Transactions

Schedule B - Transactions

SP/DP/IN	Full Asset Name	Type of Transaction	Date of Transaction	Amount of Transaction									
				A	B	C	D	E	F	G	H	I	J
SP	ABDU ALIKAJU TELLER	Purchase of Assets, not Better Known											
SP	ABDO ALCORN INC. CANADA												
SP	FORD MOTOR COMPANY CANADA												
SP	STATE STREET CORPORATION (NEW) CANADA												
SP	3M COMPANY CANADA												
SP	ABE PRODUCTS & CHEMICALS INC CANADA												
SP	STANBROOKS CORP. CANADA												
SP	AMERICUS FINANCIAL INC. CANADA												
SP	FEDEX CORPORATION CANADA												
SP	BOOKERS HOLDINGS INC CANADA												
SP	INVESTCO LTD. CANADA												
SP	EATON CORP OF CANADA												
SP	WESTMINSTER USER COMPANY CANADA												
SP	PACCAGLIA INC CANADA												
SP	DESSIE A. CARPENTER CANADA												
SP	HALIBURTON COMPANY CANADA												
SP	CHARLES SCHWAB CORPORATION CANADA												
SP	CHEVRON CORPORATION CANADA												
SP	CONSTELLATION BRANDS INC CANADA CLASS A												
SP	URIMA GROUP CANADA												
SP	INCITE CORPORATION CANADA												
SP	BLACKROCK INC CANADA												
SP	PRINCIPAL FINANCIAL GROUP INC CANADA												
SP	WASTE MANAGEMENT INC CANADA												
SP	EBIA INC CANADA												
SP	WESTROCK COMPANY CANADA												
SP	GENERAL MILLS INC CANADA												
SP	AMERICAN PUBLIC LIMITED COMPANY CANADA												
SP	THE BRADLEY LINE CO CANADA												
SP	COMMODITY BRANDS INC CANADA												
SP	SKYWORKS SOLUTIONS, INC. CANADA												
SP	ROYAL CARIBBEAN CRUISES LTD ISIN: US0000000000												
SP	NEWAMONT MINING CORPORATION CANADA												
SP	BAKER HUGHES AGE CO CANADA												
SP	PPL CORPORATION CANADA												
SP	CITIERS FINANCIAL GROUP INC CANADA												
SP	PHILLIPS 66 CANADA												
SP	PARKER-HANNIFIN CORP CANADA												
SP	HP INC CANADA												
SP	DLA HORTON INC CANADA												
SP	MIAMI MY CANADA												
SP	DELTA AIR LINES INC CANADA												
SP	STANLEY BLACK & DECKER INC CANADA												
SP	RELIANCE SUGAR ENTERPRISE GROUP HOLDING CO CANADA												
SP	THE GOODFAT TIME & RUBBER CO CANADA												
SP	UNITED INDUSTRIALS INC CANADA												
SP	SCHLUMBERGER LTD CANADA												
SP	ROCKWELL AUTOMATION INC CANADA												
SP	EASTMAN CHEMICAL COMPANY CANADA												
SP	FORUM ONE MARKETING HOME & SECURITY CANADA												
SP	PUTTERSON IP INC CANADA												
SP	THE GOODFAT TIME & RUBBER CO CANADA												
SP	CROWN BRANDS INC CANADA												
SP	CROWN HOLDINGS INC CANADA												
SP	MICROCHIP TECHNOLOGY INCORPORATED CANADA												
SP	HARTFORD FINANCIAL SERVICES GROUP CANADA												

Schedule B - Transactions

SP/PC/IT	Full Asset Name	Type of Transaction	Date of Transaction	Amount of Transaction															
				P	PS	FS	M&M/D&P	Capital date corner	\$4,000-	\$15,000-	\$80,000-	\$100,000-	\$250,000-	\$500,000-	\$1,000,000-	\$5,000,000-	\$25,000,000-	\$50,000,000-	\$1,000,000,000-
SP	ABINAYA SYSTEMS LTD.																		
SP	ACLA-TECHNOLOGY CORPORATION CHIN		X				07/24/18												
SP	WESTLAWN CHEMICAL CORPORATION CHIN		X				07/24/18												
SP	TEISON FOODS INC CL-A CHIN CLASS A		X				07/24/18												
SP	TWITTER INC CHIN		X				07/24/18												
SP	GATORADE COMP. CHIN		X				07/24/18												
SP	ARTIVI PICTORIUM CHIN		X				07/24/18												
SP	ALUMINA INC CHIN		X				07/24/18												
SP	OMNICOMM GROUP CHIN		X				07/24/18												
SP	MARriott INTERNATIONAL INC CHIN CLASS A		X				07/24/18												
SP	RENAISSANCE HOLDINGS LTD CHIN		X				07/24/18												
SP	VIACOM INC CHIN CLASS B		X				07/24/18												
SP	INTERTEK CORP CHIN		X				07/24/18												
SP	LAS VEGAS SANDS CORP. CHIN		X				07/24/18												
SP	EVEREST RE GROUP LTD CHIN		X				07/24/18												
SP	MANATONIC PETROLEUM CORPORATION CHIN		X				07/24/18												
SP	L BRANDS, INC. CHIN		X				07/24/18												
SP	AETAF INCORPORATED CHIN		X				07/24/18												
SP	VALERO ENERGY CORPORATION CHIN		X				07/24/18												
SP	CBRE GLOBAL MARKETS INC CHIN		X				07/24/18												
SP	FIFTH THIRD BANKCORP CHIN		X				07/24/18												
SP	NUCOR CORPORATION CHIN		X				07/24/18												
SP	PERSPECTA INC. CHIN		X				07/24/18												
SP	PROLOGIS INC CHIN		X				07/24/18												
SP	THE PROGRESSIVE CORPORATION CHIN		X				07/24/18												
SP	WYNN RESORTS LIMITED CHIN		X				07/24/18												
SP	INTEL CORPORATION CHIN		X				07/24/18												
SP	AUTODESK INC CHIN		X				07/24/18												
SP	FIRST Horizon NATIONAL CORPORATION CHIN		X				07/24/18												
SP	AMBEVOL CHIN		X				07/24/18												
SP	BWX TECHNOLOGIES INC CHIN		X				07/24/18												
SP	TECHMERIC PAC CHIN		X				07/24/18												
SP	TCE FINANCIAL CORP CHIN		X				07/24/18												
SP	QUANTIA SERVICES INC CHIN		X				07/24/18												
SP	CHEMOURS COMPANY LTD CHIN		X				07/24/18												
SP	GEAP INC CHIN		X				07/24/18												
SP	IGE INDUSTRIES HOLDINGS INC CHIN		X				07/24/18												
SP	QAH CORPORATION CHIN		X				07/24/18												
SP	ALZICO CORP CHIN		X				07/24/18												
SP	OSKOSH H CORPORATION CHIN		X				07/24/18												
SP	YUM CHIN HOLDINGS INC CHIN		X				07/24/18												
SP	ASIENT TECHNOLOGIES INC CHIN		X				07/24/18												
SP	WACOM INC CHIN CLASS A		X				07/24/18												
SP	COMMERCIAL RESOURCES INC CHIN		X				07/24/18												
SP	ALASKA AIRLINES GROUP INC CHIN		X				07/24/18												
SP	TD AMERIBUY TRADE HOLDING CORP CHIN		X				07/24/18												
SP	AMERICA CORP CHIN		X				07/24/18												
SP	THE BANK OF MELLIION CORP CHIN		X				07/24/18												
SP	PROVOCES CORPORATION CHIN CLASS A		X				07/25/18												
SP	FIRST DATA CORPORATION CHIN CLASS A		X				07/25/18												
SP	MODIS INC CHIN		X				07/25/18												
SP	FIRST DATA CORPORATION CHIN CLASS A		X				07/25/18												
SP	GOGLIO INC CHIN		X				07/25/18												
SP	DISCOVERY INC A CHIN SERIES A		X				07/25/18												
SP	CDN INC INCORPORATED CHIN		X				07/25/18												

Schedule B - Transactions

Schedule B - Transactions

SP/DC/IT	Full Asset Name	Type of Transaction	Date of Transaction	Amount of Transaction									
				A	B	C	D	E	F	G	H	I	J
TRANSACTIONS DUE TO THIS SP/DC/IT													
SP	DOLLAR TREE STORES, INC. CHIN	Promissory Note, term and other symbol.											
SP	MANIFOLD GROUP CHIN			X									
SP	SEALED AIR CORPORATION CHIN			X									
SP	AT&T CHIN			X									
SP	DAI HONDO, INC. CHIN			X									
SP	TRACTOR SUPPLY COMPANY CHIN			X									
SP	THE PRIVATE BRANDS CORPORATION CHIN			X									
SP	ULTRA CORPORATION CHIN			X									
SP	ZLG FINANCIAL CORP. CHIN			X									
SP	HD SUPPLY HOLDINGS, INC. CHIN			X									
SP	PACKAGING CORP. OF AMERICA COMMON STOCK			X									
SP	LEGG MASON INC. CHIN			X									
SP	ACIPEX ENGINEERING CO. CHIN			X									
SP	CODE GLOBAL MARKETS, INC. CHIN			X									
SP	C.H. ROBBINS WORLDWIDE, INC. CHIN			X									
SP	WEBCO INTERNATIONAL INC. CHIN			X									
SP	FAST HORDEON NATIONAL CORPORATION CHIN			X									
SP	STRATEGIC INC. CHIN			X									
SP	STREETER INC. CHIN			X									
SP	SM ENERGY COMPANY CHIN			X									
SP	OMA FINLAND COMPANY CHIN			X									
SP	ING PHOTONICS CORPORATION CHIN			X									
SP	PCU LOGISTICS INC. CHIN			X									
SP	WESTERN BANKHOLDING CHIN			X									
SP	UNITED VENTURES, INC. CHIN			X									
SP	SEA COMMUNICATIONS CORPORATION CHIN			X									
SP	INTERSEAS CORP. CHIN			X									
SP	CARLISLE COS. INC. CHIN			X									
SP	BANQUETING INC. CHIN			X									
SP	APCO LOGISTICS INC. CHIN			X									
SP	PCWEST BANKHOLDING CHIN			X									
SP	NEWS CORPORATION CHIN CLASS A			X									
SP	URBAN OUTFITTERS INC. CHIN			X									
SP	THE CHILDREN'S PLACE INC. CHIN			X									
SP	LITTONS FINANCIAL GROUP INC. CHIN			X									
SP	ASCOM CHIN			X									
SP	AGILENT TECHNOLOGIES, INC. CHIN			X									
SP	GARMIN LTD. CHIN			X									
SP	FLIR SYSTEMS INC. CHIN			X									
SP	WPP ENERGY, INC. CHIN			X									
SP	QDF RESOURCES INC. CHIN			X									
SP	APPLE INC. CHIN			X									
SP	BANK OF AMERICA CORP. CHIN			X									
SP	DISCOVERY INC.-A CHIN SERIES A			X									
SP	FIRST DATA CORPORATION CHIN CLASS A			X									
SP	ORACLE CORPORATION CHIN			X									
SP	BANK OF AMERICA CORP. CHIN			X									
SP	ASCO CORPORATION CHIN			X									
SP	ANALYST CAPITAL MANAGEMENT, INC. CHIN			X									
SP	IBMAT CORPORATION CHIN			X									
SP	PRIME STRATEGIC SERVICES GROUP CHIN			X									
SP	BONGWANNER INC. CHIN			X									
SP	APPLIED MATERIALS INC. CHIN			X									
SP	WEELLE FABCO & CO. INC. CHIN			X									
SP	INTEGRALINK CHIN CLASS A			X									
SP	DOMINION MONTE INC. CHIN			X									
SP	MORGAN STANLEY CHIN			X									
SP	CHUBBUK LIMITED CHIN			X									
SP	PRIME STRATEGIC SERVICES GROUP CHIN			X									
SP	PFEIFFER INC. CHIN			X									

Amount of Transaction in a
Dependent Child
Sponsor or
Asset Owner
\$1,000,000.00
\$25,000,000.00
\$50,000,000.00
Over \$50,000,000.00
\$1,000,000.00

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SP/DCAT	Full Asset Name	Type of Transaction	Date of Transaction	Amount of Transaction									
				P	PS	PS	MAR/22/17*	Capital Gain/loss	\$1,000-\$250,000	\$250,001-\$500,000	\$500,001-\$2,500,000	\$2,500,001-\$5,000,000	\$5,000,001-\$25,000,000
SP	BBB ALUMINUM INC.	None											
SP	PIPE INDUSTRIES INC. CHN			X	X	X	1/25/18	X					
SP	OCIDENTAL PETROLEUM CORP. CHN			X			1/25/18	X					
SP	REED HALL INC. CHN			X			1/25/18	X					
SP	TEXTRON INC. DEL. CHN			X			1/25/18	X					
SP	TEUTOLOGY INC. CHN			X			1/25/18	X					
SP	WATSON CORP. PCCOM			X			1/25/18	X					
SP	AMAZON.COM INC. CHN			X			1/25/18	X					
SP	WESTROCK COMPANY CHN			X			1/25/18	X					
SP	MICRON TECHNOLOGY INC. CHN			X			1/25/18	X					
SP	INDUSTRIAL REVERSE CORPORATION CHN			X			1/25/18	X					
SP	LINCOLN ELECTRIC HOLDINGS INC. CHN			X			1/25/18	X					
SP	LABORATORY CORPORATION OF AMERICA CHN			X			1/25/18	X					
SP	QUESI DIAGNOSTICS INCORPORATED CHN			X			1/25/18	X					
SP	LEAP CORPORATION CHN			X			1/25/18	X					
SP	ANIMAL TECHNOLOGIES INC. CHN			X			1/25/18	X					
SP	COMERICA INCORPORATED CHN			X			1/25/18	X					
SP	ARTY PLZ CHN			X			1/25/18	X					
SP	EMERSON ELECTRIC CO. CHN			X			1/25/18	X					
SP	ROCKWELL AUTOMATION INC. CHN			X			1/25/18	X					
SP	DXC TECHNOLOGY COMPANY CHN			X			1/25/18	X					
SP	ECI CORPORATION CHN			X			1/25/18	X					
SP	AVX TS INC. CHN			X			1/25/18	X					
SP	NORVEIGIAN CRUISE LINE HOLDING CHN			X			1/25/18	X					
SP	DEVON ENERGY CORPORATION NEW CHN			X			1/25/18	X					
SP	CORFAX CORPORATION CHN			X			1/25/18	X					
SP	NEWELL BRANDS INC. CHN			X			1/25/18	X					
SP	COULSKATE-PALMOLIVE CO. CHN			X			1/25/18	X					
SP	SCHLUMBERGER LTD. CHN			X			1/25/18	X					
SP	DOMINION ENERGY INC. CHN			X			1/25/18	X					
SP	MONSANTO COMPANY CHN			X			1/25/18	X					
SP	PHILLIPS 66 CO. CHN			X			1/25/18	X					
SP	KOBELCO INCY INC. CHN			X			1/25/18	X					
SP	THOMSEN CO. CHN			X			1/25/18	X					
SP	CELMESSE CORPORATION COMMON STOCK			X			1/25/18	X					
SP	MGIM RESOURCES INTERNATIONAL CHN			X			1/25/18	X					
SP	JOHNSON CONTROLS INTERNATIONAL CHN			X			1/25/18	X					
SP	VALERO ENERGY CORPORATION CHN			X			1/25/18	X					
SP	HOTEL HOTELS & REPORTS INC. CHN			X			1/25/18	X					
SP	TORCHMARK CORPORATION CHN			X			1/25/18	X					
SP	E TRADE FINANCIAL CORPORATION CHN			X			1/25/18	X					
SP	ROBERT HALF INTERNATIONAL INC. CHN			X			1/25/18	X					
SP	I.BOVIE PRICE GROUP, INC. CHN			X			1/25/18	X					
SP	TOA INDUSTRIES INC. CHN			X			1/25/18	X					
SP	TECHNIPIC, PLC CHN			X			1/25/18	X					
SP	ALASKA AIR GROUP INC. CHN			X			1/25/18	X					
SP	ANTICORPORATION FINANCIAL INC. CHN			X			1/25/18	X					
SP	SOUTHERN COPPER CORPORATION CHN			X			1/25/18	X					
SP	KYV MECMIN			X			1/25/18	X					
SP	HUNTINGTON BANKSHARES INCORPORATED CHN			X			1/25/18	X					
SP	LOMAS CORPORATION CHN			X			1/25/18	X					
SP	BEST BUY CO INC CHN			X			1/25/18	X					
SP	CRANE CO DEUTSCHLAND CHN			X			1/25/18	X					
SP	HILTON WORLDWIDE HOLDINGS INC. CHN			X			1/25/18	X					

Schedule B - Transactions

SP/Debt	Full Asset Name	Type of Transaction	Date of Transaction	Amounts of Transaction											
				P	PS	ES	AMOUNT/YR	Capital Gains Greater than \$200	\$1,000- \$15,000-	\$50,000- \$100,000-	\$100,000- \$500,000-	\$500,000- \$1,000,000-	\$1,000,000- \$5,000,000-	\$5,000,000- \$25,000,000-	\$25,000,000- \$100,000,000
SP	RTW AIRPORTS SERIAL TRUST														
SP	STIVERS CORPORATION CMM			X			10/25/18		X						
SP	WE BLASZAK & CO (NEW) CMM			X			10/25/18		X						
SP	WHITE T PAPER SERVICES INC CMM			X			10/25/18		X						
SP	TRANSIGENIC GROUP INCORPORATED CMM			X			10/25/18		X						
SP	SIGNATURE BANK CMM			X			10/25/18		X						
SP	METTIER TOLEDO INT'L CMM			X			10/25/18		X						
SP	BRIGHTWOOD FINANCIAL INC CMM			X			10/25/18		X						
SP	TAPESTRY INC CMM			X			10/25/18		X						
SP	ALTRIA INDUSTRIAL MOTION COMP CMM			X			10/25/18		X						
SP	ABRAMAK CMM			X			10/25/18		X						
SP	CONE GROUP INC CMM CLASS A			X			10/25/18		X						
SP	GAP INC CMM			X			10/25/18		X						
SP	HEINRICH INC CMM CLASS A			X			10/25/18		X						
SP	OWNERS-ALUMINUM INC CMM			X			10/25/18		X						
SP	SPRINT AEROSYSTEMS HOLDINGS INC CMM			X			10/25/18		X						
SP	FMC CORP CMM			X			10/25/18		X						
SP	ABRO PHARMACEUTICALS INC CMM			X			10/25/18		X						
SP	LEGGED & MATT INCORPORATED CMM			X			10/25/18		X						
SP	COLONY CAPITAL INC CMM			X			10/25/18		X						
SP	MHMLA HOLDINGS LIMITED CMM			X			10/25/18		X						
SP	WESTLAKE CHEMICALS CORPORATION CMM			X			10/25/18		X						
SP	KANSAS CITY SOUTHERN CMM			X			10/25/18		X						
SP	HUBERHILL INCORPORATED CMM			X			10/25/18		X						
SP	BRODRIDGE INTERNATIONAL OF WAO CMM			X			10/25/18		X						
SP	MARCON INDUSTRIES LTD. CMM			X			10/25/18		X						
SP	AMERICAN AIRLINES GROUP INC CMM			X			10/25/18		X						
SP	HARLING DIVISION INC CMM			X			10/25/18		X						
SP	ALCOA CORPORATION CMM			X			10/25/18		X						
SP	NAKODA INC CMM			X			10/25/18		X						
SP	FACEBOOK INC CMM CLASS A			X			10/25/18		X						
SP	NEWFIELD EXPLORATION CO CMM			X			10/25/18		X						
SP	NEBRA HOLDING INC CMM			X			10/25/18		X						
SP	WEATHERFORD INTERNATIONAL PLC CMM			X			10/25/18		X						
SP	IRON MOUNTAIN INFORMATION CMM			X			10/25/18		X						
SP	AYDEN'S SYSTEM INC CMM			X			10/25/18		X						
SP	INVENT ELECTRIC PUBLIC LIMITED COMPANY			X			10/25/18		X						
SP	GLOBAL PAYMENTS INC CMM			X			10/25/18		X						
SP	SHAW XOM HOLDINGS INC CMM			X			10/25/18		X						
SP	TERATEX INC CMM			X			10/25/18		X						
SP	QUARRITT MOTION INC CMM			X			10/25/18		X						
SP	COASTAL INC CMM			X			10/25/18		X						
SP	QUARTETTE CORP CMM			X			10/25/18		X						
SP	SPURGEON INC CMM SERIES A			X			10/25/18		X						
SP	FEZER INC CMM			X			10/25/18		X						
SP	NETZL & CO INC CMM			X			10/25/18		X						
SP	DISCOVERON INC - CMM SERIES A			X			10/25/18		X						
SP	FEZER INC CMM			X			10/25/18		X						
SP	APPLE INC CMM			X			10/25/18		X						
SP	CBS CORPORATION CMM CLASS B			X			10/25/18		X						
SP	DISCOVERY INC - CMM SERIES A			X			10/25/18		X						
SP	PRIMER INC CMM			X			10/25/18		X						
SP	PRIMER INC CMM			X			10/25/18		X						

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SP/DO/CT	Full Asset Name	Type of Transaction	Date of Transaction	Amount of Transaction												
				P	PS	FS	AMOUNT	C	D	E	F	G	H	I	J	K
SP	BEST ALUMINUM LEAD TRUST															
SP	AUEN TECHNOLOGY, INC./C/N			X			1/2/3/18									
SP	VULCAN MATERIALS CO./C/N			X			1/2/3/18									
SP	SHERWILL-HILLIAMS CO./C/N			X			1/2/3/18									
SP	KELLOGG COMPANY/C/N			X			1/2/3/18									
SP	LAS VEGAS SANDS CORP./C/N			X			1/2/3/18									
SP	FLIR LTD./C/N			X			1/2/3/18									
SP	INDOMAR CORPORATION/C/N			X			1/2/3/18									
SP	ANTERO RESOURCES CORPORATION/C/N			X			1/2/3/18									
SP	AXIRON CORPORATION/C/N			X			1/2/3/18									
SP	MARSLEY ENERGY INC./C/N			X			1/2/3/18									
SP	IMAG PHOTONICS CORPORATION/C/N			X			1/2/3/18									
SP	PAYPAL HOLDINGS INC./C/N			X			1/2/3/18									
SP	MEMOREX TECHNOLOGIES INC./C/N			X			1/2/3/18									
SP	TRISON FOODS INC./C/N CLASS A			X			1/2/3/18									
SP	PUBLIC SERVICE ENTERPRISE GROUP HOLDING CO.			X			1/2/3/18									
SP	LS TECHNOLOGIES INC./C/N			X			1/2/3/18									
SP	CHEMOURS COMPANY TIME/C/N			X			1/2/3/18									
SP	GERMAN GLASS GROUP INC./C/N			X			1/2/3/18									
SP	EXTRACTIVE OIL & GAS INC./C/N			X			1/2/3/18									
SP	HANVERBRANDS INC./C/N			X			1/2/3/18									
SP	COPA AIRLINES SA./C/N CLASS A			X			1/2/3/18									
SP	AT&T INC./C/N			X			1/2/3/18									
SP	AGILENT TECHNOLOGIES INC./C/N			X			1/2/3/18									
SP	TAPRITIVA INC./C/N			X			1/2/3/18									
SP	SENIOR HOUSING PROPERTIES TR./C/N			X			1/2/3/18									
SP	TABETT CORPORATION/C/N			X			1/2/3/18									
SP	AES CORP./C/N			X			1/2/3/18									
SP	TECHMATE PIC/C/N			X			1/2/3/18									
SP	DISCOVERY INC. A CLASS SERIES A			X			1/2/3/18									
SP	DISCOVERY INC. B CLASS SERIES A			X			1/2/3/18									
SP	MOSAIC COMPANY OTHER/C/N			X			1/2/4/18									
SP	PEZER INC./C/N			X			1/2/4/18									
SP	PRIMER INC./C/N			X			1/2/4/18									
SP	PRODEN INC./C/N			X			1/2/4/18									
SP	MOSAIC COMPANY OTHER/C/N			X			1/2/6/18									
SP	DISCOVERY INC. A CLASS SERIES A			X			1/2/6/18									
SP	DISCOVERING A CLASS SERIES A			X			1/2/6/18									
SP	DISCOVERY INC. A CLASS SERIES A			X			1/2/6/18									
SP	WLR BERKLEY CORPORATION/C/N			X			1/2/6/18									
SP	DISCOVERY INC. A CLASS SERIES A			X			1/2/6/18									
SP	DISCOVERY INC. A CLASS SERIES A			X			1/2/6/18									
SP	DISCOVERY INC. A CLASS SERIES A			X			1/2/7/18									
SP	DISCOVERY INC. A CLASS SERIES A			X			1/2/7/18									
SP	DISCOVERY INC. A CLASS SERIES A			X			1/2/7/18									
SP	THURMO FISHER SCIENTIFIC INC./C/N			X			1/2/9/18									
SP	CORNING INCORPORATED/C/N			X			1/2/10/18									
SP	UNITED PARCEL SERVICE INC. CLASS B COMMON STOCK			X			1/2/12/18									
SP	LOHNING INCORPORATED/C/N			X			1/2/22/18									
SP	LOVE NATION ENTERTAINMENT INC./C/N			X			1/2/22/18									
SP	UNIMIN INC./C/N			X			1/2/27/18									
SP	HOMEWELL INT'L INC./C/N			X			1/2/27/18									
SP	GENERALDYNAMICS CORP./C/N			X			1/2/27/18									
SP	DELAWARE HIGHWAY INC. CLASS A			X			1/2/27/18									
SP	GENERAL ELECTRIC CO. C/N			X			1/2/27/18									

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Full Asset Name		Type of Transaction	Date of Transaction	Amount of Transaction													
SP/CD/T	New Account/1935 Trust	Purchase	\$S	\$S	MM/DD/YY	Capital Gain Greater than \$200	A	B	C	D	E	F	G	H	I	J	K
SP	IGS GIG PARTNERS INTERNATIONAL OPPORTUNITIES PU INSTITUTIONAL MUTUAL FUND	x			09/06/18												
SP	PEAR TREE POLARIS FOREIGN VALUE FUND INSTUTIONAL MUTUAL FUND	x			09/06/18												
SP	ILLINOIS FIN AUTH REV REV 6.5750% 08/15/19 FA	x			12/29/18												
SP	ILL.FIN AUTH REV 6.5000% 04/01/19 FA	x			12/20/18												
SP	HONOLULU HAWAII CITY & CITY GO 5.2500% 09/01/26 MS	x			12/21/18												
SP	CLARK COUNTY NEV SCH DIST GO 5% 05/05/19 FA	x			12/21/18												
SP	CUYAHOGA CITY OHIO GO 5% 12/01/20 ID	x			12/20/18												
SP	HAWAII ST FOR ISSUES DTD PRIOR GO 5% 10/01/19 ID	x			12/20/18												
SP	NICHLAND COUNTY OHIO GO 5% 12/01/28 ID	x			12/20/18												
SP	PARKER CITY TEX GO 4.2500% 02/25/21 FA	x			12/24/18												
SP	COOK COUNTY ILL SCH DIST NO 107 GO 5% 12/01/29 ID	x			12/24/18												
SP	VIS STUDENT ASSIST CORP ID 107 5% 12/25/28 CA ID	x			12/24/18												
SP	HATFIELD HORNSHAM PA SCH DIST GO 5% 12/01/24 ID	x			12/24/18												
SP	CENTRAL LAKE COTTILL IT REV 4.0000% 05/01/19 FA	x			12/24/18												
SP	N MEX ST REP UP IN CINCIL HSP REV 5.0000% 08/01/19 FA	x			12/24/18												
SP	MADISON CITY ALA BIRD ED CAR REV 5% 09/01/19 MS	x			12/24/18												
SP	HUSTON TEX INDPT SCH DIST PUB REV 5.0000% 09/15/19 MS	x			12/24/18												
SP	WISCONSIN ST GO 5% 05/01/19 MN	x			12/24/18												
SP	OKLAHOMA ST TPK AUTH TRK REV 5% 01/01/19 JU	x			12/24/18												
SP	MISSOURI ST HAMPS & TRANSIT REV 5.0000% 05/01/19 MN	x			12/24/18												
SP	ANCHORAGE ALASKA GO 500000% 05/01/19 MS	x			12/24/18												
SP	TEXAS ST KEY 4.0000% 08/25/19 FA	x			12/24/18												
SP	WOODBRIDGE TWP NJ GO 3% 07/25/19 FA	x			12/24/18												
SP	TULSA OKLA GO 4.0000% 03/01/19 MS	x			12/24/18												
SP	KING CITY WASH SCH DIST NO 405 GO 5% 12/01/19 ID	x			12/24/18												
SP	MONTGOMERY CTY ALA GO 5% 11/01/19 MN	x			12/24/18												
SP	DAHLHART TEX INOPT SCH DIST GO 4.0000% 02/01/19 FA	x			12/24/18												
SP	PLUT/SSP ② 285 EXP 12/28/2018	x			12/24/18												
SP	SAN ANTONIO TEX GO 5% 08/01/19 FA	x			12/24/18												
SP	KANSAS ST DEPT TRANSM INTR REV 5% 06/01/19 MS	x			12/24/18												
SP	REEDELL CITY NC LTD OBLIG REV 5% 06/01/19	x			12/24/18												
SP	NE MUNICD BAPT'S ORGAN 5% 11/01/19 FA	x			12/24/18												
SP	PENNSYLVANIA ST GO 5.0000% 09/15/19 MS	x			12/24/18												
SP	METROPOLITAN WASH DC CAPT REV 5.0000% 10/01/19 AC	x			12/24/18												
SP	HARRIS CITY TEX GO 5% 10/01/19 AC	x			12/24/18												
SP	HOUSTON TEX UTILS FOR ISSU REV 5% 05/01/19 MN	x			12/24/18												
SP	UTAH ST BDR RESIDENT STUDENT UN REV 5.0000% 14/01/19 MN	x			12/24/18												
SP	CONNECTICUT ST GO 5% 09/15/19 MS	x			12/24/18												
SP	DALLAS TEX WTRWKS & SWRYS REV 4.2500% 10/01/19 AC	x			12/24/18												
SP	ORLANDO FLA CAP IMPR SPL REV REV 4% 10/01/19 AC	x			12/24/18												
SP	MONTSOMER CITY PA GO 5% 05/01/19 MN	x			12/24/18												
SP	TEXAS WATER DEV BDR REV 5% 04/01/19 AC	x			12/24/18												
SP	WASHINGTON ST GO 5.0000% 08/01/19 FA	x			12/24/18												
SP	PUT/SSP ③ 285 EXP 12/28/2018	x			12/24/18												
SP	THE WILLIAMS COMPANIES INC CMN	x			12/24/18												
SP	WALINGFORD SMARTT/MORNE PA SCH GO 5.2500% 05/01/23 MN	x			12/24/18												
SP	HAYS CNTY TEX DIS 5% 02/01/19 FA	x			12/24/18												
SP	WICHITA KANS WTR & SWR JUNL REV 5% 10/01/19 AC	x			12/24/18												
SP	LEWISVILLE TEX REV SCH DIST GO 5% 07/01/19 FA	x			12/24/18												
SP	PUT/SSP ④ 285 EXP 12/28/2018	x			12/24/18												
SP	ILLINOIS FIN AUTH REV REV 5% 10/01/19 AC	x			12/24/18												
SP	LAREDO TEX DB 5.0000% 02/01/19 FA	x			12/24/18												
SP	PUT/SSP ⑤ 285 EXP 12/28/2018	x			12/24/18												
SP	HAYS CNTY TEX DIS 5% 02/01/19 FA	x			12/24/18												
SP	COLIN CNTY TEX GO 5% 02/01/19 FA	x			12/24/18												
SP	SAN JACINTO TEX COUNTY COLLEGE GO 5% 02/01/19 FA	x			12/24/18												

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SP	PENNSYLVANIA ST UNIV REV 6% 03/01/25 MS		X		11/28/18		X		
SP	UNIVERSITY UTAH UNIV FERS REV 5.0000% 08/29/19 FA		X		11/29/18		X		
SP	HAWAII CITY HAWAII GO 5% 09/01/20 MS		X		11/20/18		X		
SP	FERMANIA BEACH FLA UTIL SIS REV 5% 09/01/19 MS		X		11/21/18		X		
SP	DELAWARE CITY AUTHORITY REV 5% 12/01/20 JD		X		12/04/18		X		
SP	EUGENE ONE WTR REV REV 4% 04/01/19 FA		X		11/28/18		X		
SP	ARLINGTON TEX INDUST SCH DIST GO 5% 02/25/19 FA		X		12/04/18		X		
SP	PLANO TEX GO 5.0000% 09/01/19 MS		X		11/29/18		X		
SP	PENNSYLVANIA STATE PUBLK'S REV 4.7500% 11/15/28 CA MN		X		11/28/18		X		
SP	MAGELLAN MIDSTREAM PARTNERS LP CMN		X		09/17/18		X		
SP	ANTERO MIDSTREAM PARTNERS LP CMN		X		01/14/19		X		
SP	SUNOCO LP CMN		X		09/17/18		X		
SP	BUTLERSP @ 25% EXP 10/26/2018		X		10/29/18		X		
SP	KI CLEAM WTR IN AGT WTR REV 5.0000% 10/01/19 AO		X		11/21/18		X		
SP	EAST BATON ROUGE LA SEW CMN REV 5.1250% 02/01/20 FA		X		11/20/18		X		
SP	BUTLERSP @ 27% EXP 11/16/2018		X		11/24/18		X		
SP	COCA-COLA COMPANY THE CMN		X		08/15/18		X		
SP	PUTNAMSP @ 27% EXP 09/02/2018		X		09/02/18		X		
SP	BERKSHIRE HATHAWAY INC CLASS B		X		10/17/18		X		
SP	WELLS FARGO & CO NEW CMN		X		11/05/18		X		
SP	FACEBOOK INC CMN CLASS A		X		09/25/18		X		
SP	NUTRASP @ 27% EXP 04/23/2018		X		04/23/18		X		
SP	MERCK & CO. INC CMN		X		04/10/18		X		
SP	JOHNSON & JOHNSON CMN		X		06/01/18		X		
SP	COMCAST CORPORATION CMN CLASS A VOTING		X		07/16/18		X		
SP	CHEART GROUP INC CMN		X		06/15/18		X		
SP	BERKSHIRE HATHAWAY INC CLASS B		X		10/17/18		X		
SP	TEXAS INSTRUMENTS INC CMN		X		07/28/18		X		
SP	ALPHATEC INC CMN CLASS A		X		11/05/18		X		
SP	AT&T INC CMN		X		07/16/18		X		
SP	PROCTER & GAMBLE COMPANY THE CMN		X		06/25/18		X		
SP	THE HOME DEPOT INC CMN		X		12/10/18		X		
SP	FACEBOOK INC CMN CLASS A		X		12/20/18		X		
SP	PLAINS GP HOLDINGS LP CMN		X		12/28/18		X		
SP	EXXON MOBIL CORPORATION CMN		X		04/30/18		X		
SP	SHELL MIDSTREAM PARTNERS LP CMN		X		02/20/18		X		
SP	CTTSGROUP INC CMN		X		12/20/18		X		
SP	OCCIDENTAL PETROLEUM CORP CMN		X		06/05/18		X		
SP	ABOVE INC CMN		X		11/05/18		X		
SP	HEXLP CMN		X		08/24/18		X		
SP	HUNTSMILL ALA DB 5% 09/01/219 MS		X		12/28/18		X		
SP	MICROSOFT CORPORATION CMN		X		12/07/18		X		
SP	SAIC COMPANY INC CMN		X		07/18/18		X		
SP	INTEL CORPORATION CMN		X		07/05/18		X		
SP	BOWPOINT INC CMN		X		12/20/18		X		
SP	IPMOSGAM QMSE & CO CMN		X		09/25/18		X		
SP	CHEVRON CORPORATION CMN		X		12/07/18		X		
SP	Pfizer Inc CMN		X		07/05/18		X		
SP	PROCTER & GAMBLE COMPANY THE CMN		X		04/10/18		X		
SP	SHELL MIDSTREAM PARTNERS LP CMN		X		02/20/18		X		
SP	INT'L BUSINESS MACHINES CORP CMN		X		07/18/18		X		
SP	HONEYWELL INT'L INC CMN		X		11/05/18		X		
SP	U.S. BANKCORP CMN		X		09/25/18		X		
SP	CENTURIONLINK INC CMN		X		12/07/18		X		
SP	AT&T INC CMN		X		07/16/18		X		
SP	WALT DISNEY COMPANY THE CMN		X		12/10/18		X		
SP	PRUDENTIAL FINANCIAL INC CMN		X		07/18/18		X		
SP	VERIZON COMMUNICATIONS INC CMN		X		11/05/18		X		
SP	FACEBOOK INC CMN CLASS A		X		09/15/18		X		
SP	PEPSICO INC CMN		X		12/07/18		X		
SP	ORACLE CORPORATION CMN		X		05/15/18		X		
SP	KIMBERLY-CLARK CORPORATION CMN		X		07/05/18		X		
SP	METLIFE INC CMN		X		05/15/18		X		
SP	TEXAS INSTRUMENTS INC CMN		X		11/05/18		X		
SP	CHUBBU LIMITED CMN		X		05/15/18		X		
SP	PPG CORPORATION CMN		X		06/13/18		X		
SP	ENERGY TRANSFER LP CMN		X		12/21/18		X		

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SP	PARKER-HANNIFIN CORP. CMIN	X		11/05/18			
SP	PEPSICO, INC. CMIN	X		04/10/18			
SP	VERIZON COMMUNICATIONS INC. CMIN	X		06/19/18			
SP	MARRIOTT INTERNATIONAL INC. CMIN CLASS A	X		11/05/18			
SP	PUT/PREP @ 202 EXP 09/29/2018	X		09/28/18			
SP	BANK OF AMERICA CORP CMIN	X		07/18/18			
SP	CHUBB LIMITED CMIN	X		07/18/18			
SP	NORTHROP GRUMMAN CORP CMIN	X		11/05/18			
SP	BORG RESOURCES MEC CMIN	X		12/10/18			
SP	AMGEN INC. CMIN	X		09/06/18			
SP	BLACKROCK, INC. CMIN	X		09/25/18			
SP	IMM COMPANY CMIN	X		12/10/18			
SP	PIONEER NATURAL RESOURCES CO CMIN	X		10/17/18			
SP	METLIFE, INC. CMIN	X		12/10/18			
SP	INVECO LTD. CMIN	X		06/13/18			
SP	STATE STREET CORPORATION (NEW) CMIN	X		07/19/18			
SP	EDILLY & CO CMIN	X		09/10/18			
SP	OMNICOM GROUP CMIN	X		11/05/18			
SP	TACOME PRICE GROUP INC. CMIN	X		09/25/18			
SP	GENERAL MOTORS COMPANY CMIN	X		12/10/18			
SP	BOOKING HOLDINGS INC. CMIN	X		09/05/18			
SP	MERCK & CO. INC. CMIN	X		09/05/18			
SP	CONSOLIDATED BOSON INC CMIN	X		09/15/18			
SP	DOMINION ENERGY INC CMIN	X		09/06/18			
SP	GENERAL DYNAMICS CORP CMIN	X		10/17/18			
SP	F-MOBILE US, INC. CMIN	X		09/15/18			
SP	ALTRIA GROUP INC. CMIN	X		09/25/18			
SP	MICHIGAN STANLEY CMIN	X		12/10/18			
SP	APPLE INC. CMIN	X		09/29/18			
SP	DOMINION ENERGY INC CMIN	X		09/05/18			
SP	CSCO SYSTEMS INC CMIN	X		10/15/18			
SP	SHAW PROPERTY GROUP INC CMIN	X		09/06/18			
SP	INT'L BUSINESS MACHINES CORP CMIN	X		09/06/18			
SP	APPLIED MATERIALS INC CMIN	X		09/25/18			
SP	OMRON INC CMIN	X		09/25/18			
SP	STATE STREET CORPORATION (NEW) CMIN	X		11/05/18			
SP	MARROTT INTERNATIONAL INC CMIN CLASS A	X		05/15/18			
SP	LOWES COMPANIES INC CMIN	X		09/06/18			
SP	CVS HEALTH CORP CMIN	X		09/06/18			
SP	BOOKING HOLDINGS INC CMIN	X		09/24/18			
SP	MORGAN STANLEY CMIN	X		11/05/18			
SP	ANGEN INC. CMIN	X		09/25/18			
SP	CHARLES SCHWAB CORPORATION CMIN	X		07/18/18			
SP	BUCKEYE PARTNERS LP UNITS CMIN	X		12/10/18			
SP	ACTIVATION BLUZZARD, INC CMIN	X		01/22/18			
SP	GENERAL ELECTRIC CO CMIN	X		09/24/18			
SP	EXXON MOBIL CORPORATION CMIN	X		05/15/18			
SP	AMAZON.COM INC CMIN	X		09/25/18			
SP	ENERGY TRANSFER LP CMIN	X		07/18/18			
SP	UNIDEC INC CMIN	X		12/10/18			
SP	APPLE INC. CMIN	X		09/25/18			
SP	APPLE INC. CMIN	X		09/22/18			
SP	SHELL MIDSTREAM PARTNERS L.P. CMIN	X		12/10/18			
SP	ALPHARETTE INC. CMIN CLASS C	X		05/15/18			
SP	SCHLUMBERGER LTD CMIN	X		10/17/18			
SP	INTEL CORPORATION CMIN	X		11/05/18			
SP	LENMAR CORPORATION CMAA GLASSA	X		10/05/18			
SP	BLACKROCK, INC. CMIN	X		07/18/18			
SP	PARKER-HANNIFIN CORP CMIN	X		06/14/18			
SP	CONCHO RESOURCES INC. CMIN	X		10/17/18			
SP	HUMANIA INC. CMIN	X		07/18/18			
SP	RORO MOTOR COMPANY CMIN	X		09/25/18			
SP	THE KRAFT HEINZ CO CMIN	X		12/10/18			

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SP	THERMOPHISHER SCIENTIFIC INC CMN		X	10/27/18	X			
SP	DENTRISPLY SIRONA INC CMN		X	09/25/18	X			
SP	TWENTY-FIRST CENTURY FOX INC. CMN CLASS B		X	11/05/18	X			
SP	MCDONALDS CORP CMN		X	05/25/18	X			
SP	MONDELEZ INTERNATIONAL INC CMN		X	11/05/18	X			
SP	FIFTH THIRD BANKCORP CMN		X	09/25/18	X			
SP	ROSS STORES INC CMN		X	11/05/18	X			
SP	EXXON MOBIL CORPORATION CMN		X	09/25/18	X			
SP	WELLCOMMER INC. CMN		X	01/23/18	X			
SP	THEANO FISHER SCIENTIFIC INC CMN		X	05/25/18	X			
SP	MELIX LP CMN		X	12/25/18	X			
SP	CATERPILLAR INC DELAWARE CMN		X	12/20/18	X			
SP	INEXTERA ENERGY INC CMN		X	09/25/18	X			
SP	STATE STREET CORPORATION (NEW) CMN		X	11/05/18	X			
SP	MICRON TECHNOLOGY INC. CMN		X	12/20/18	X			
SP	VERTEX PHARMACEUTICALS INC CORPO CMN		X	08/25/18	X			
SP	CONSTELLATION BRANDS INC CMN CLASS A		X	10/27/18	X			
SP	NETFLIX INC. CMN		X	12/20/18	X			
SP	MCDONALDS CORP CMN		X	12/20/18	X			
SP	LYNDLELLSBELL INDUSTRIES INC. CMN CLASS A		X	10/27/18	X			
SP	UNION PACIFIC CORP. CMN		X	09/25/18	X			
SP	P.G.E. CORPORATION CMN		X	01/22/18	X			
SP	AUTODESK INC. CMN		X	08/24/18	X			
SP	TXK COMPANIES INC (NEW) CMN		X	11/05/18	X			
SP	HP INC CMN		X	05/15/18	X			
SP	ETRADE FINANCIAL CORPORATION CMN		X	10/27/18	X			
SP	UNITED PARCEL SERVICE INC. CLASS B COMMON STOCK		X	09/25/18	X			
SP	TE CONNECTIVITY LTD CMN		X	07/28/18	X			
SP	AMERICAN INTL GROUP INC. CMN		X	10/27/18	X			
SP	EDISON INTERNATIONAL CMN		X	08/05/18	X			
SP	VFCOMP CMN		X	10/27/18	X			
SP	GENERAL DYNAMICS CORP CMN		X	11/05/18	X			
SP	CONOCOPHILLIPS CMN		X	10/27/18	X			
SP	GENERAL ELECTRIC CO CMN		X	02/05/18	X			
SP	3M COMPANY CMN		X	12/20/18	X			
SP	AMERICAN INTL GROUP INC. CMN		X	10/27/18	X			
SP	ACTIVISION BLIZZARD INC CMN		X	10/27/18	X			
SP	INTERNATIONAL PAPER CO CMN		X	09/25/18	X			
SP	NEWELL BRANDS INC CMN		X	09/25/18	X			
SP	TIME WARNER INC. CMN		X	02/05/18	X			
SP	CONSOLIDATED EDISON INC CMN		X	08/05/18	X			
SP	CIRCU SYSTEMS INC CMN		X	10/27/18	X			
SP	CHARTER COMMUNICATIONS INC. CMN		X	07/24/18	X			
SP	CBS CORPORATION CMN CLASS B		X	09/25/18	X			
SP	ROCKWELL AUTOMATION INC CMN		X	09/25/18	X			
SP	SCHLUMBERGER LTD CMN		X	10/27/18	X			
SP	UNITED PARCEL SERVICE INC. CLASS B COMMON STOCK		X	09/05/18	X			
SP	BRISTOL-MYERS SQUIBB COMPANY CMN		X	09/05/18	X			
SP	PROLOGIS INC CMN		X	09/25/18	X			
SP	PHILIP MORRIS INT'L INC CMN		X	04/09/18	X			
SP	JOHNSON & JOHNSON CMN		X	09/25/18	X			
SP	MARSH & MCLENNAN CO INC CMN		X	10/27/18	X			
SP	PRINCIPAL FINANCIAL GROUP INC CMN		X	09/25/18	X			
SP	CELEGENE CORPORATION CMN		X	04/09/18	X			
SP	BANK OF AMERICA CORP CMN		X	09/25/18	X			
SP	WESTERN DIGITAL CORPORATION CMN		X	09/25/18	X			
SP	CONOCOPHILLIPS CMN		X	11/05/18	X			
SP	MICRON TECHNOLOGY INC. CMN		X	09/25/18	X			
SP	INTER CORPORATION CMN		X	09/25/18	X			
SP	DTE ENERGY COMPANY CMN		X	04/09/18	X			
SP	DR PEPPER SNAPPLE GROUP INC CMN		X	09/25/18	X			
SP	BATON ROUGE PLC CMN		X	09/25/18	X			
SP	AMERICAN INT'L GROUP INC. CMN		X	07/28/18	X			
SP	SYNTROPUS FINANCIAL CORP CMN		X	12/10/18	X			
SP	INMDA CORPORATION CMN		X	10/27/18	X			
SP	EOT CORPORATION CMN		X	11/05/18	X			
SP	LENMAR CORPORATION CMN CLASS A		X	09/24/18	X			

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SP	INVIDIA CORPORATION OMN			12/20/18	X		
SP	APPLIED MATERIALS INC OMN						
SP	ZOTTS INC. OMN CLASS A			05/15/18	X		
SP	UNITED PARKER SERVICE, INC. CLASSES B COMMON STOCK			04/10/18	X		
SP	INTUITIVE SURGICAL, INC. OMN			05/24/18	X		
SP	NORTHERN TRUST CORP OMN			05/25/18	X		
SP	THE HOME DEPOT, INC. OMN			05/25/18	X		
SP	TIME WARNER, INC. OMN			05/22/18	X		
SP	PUBLIC-SVC ENTERPRISE GROUP HOLDING CO			1/10/18	X		
SP	RED HAT, INC. OMN			1/10/18	X		
SP	AMERIPRISE FINANCIAL, INC. OMN			10/27/18	X		
SP	CHARTER COMMUNICATIONS, INC. OMN			08/22/18	X		
SP	COMERICA INCORPORATED OMN			1/10/18	X		
SP	UNITED PARCEL SERVICE, INC. CLASS B COMMON STOCK			12/20/18	X		
SP	ADODE SYSTEMS INC OMN			1/12/18	X		
SP	CELSIGNE CORPORATION OMN			10/17/18	X		
SP	ADM PIGGOM			05/15/18	X		
SP	STRYKER CORPORATION OMN			09/25/18	X		
SP	THE KRAFT HEINZ CO OMN			09/25/18	X		
SP	DELTA AIR LINES, INC. OMN			05/15/18	X		
SP	PPL CORPORATION OMN			07/18/18	X		
SP	BOSTON SCIENTIFIC CORP. COMMON STOCK			05/15/18	X		
SP	ADM PIGGOM			05/15/18	X		
SP	MOODY'S CORPORATION OMN			07/12/18	X		
SP	PARKER-HANNIFIN CORP. OMN			09/25/18	X		
SP	INTUITIVE SURGICAL, INC. OMN			12/20/18	X		
SP	STATE STREET CORPORATION NEW OMN			10/17/18	X		
SP	KIMCO REALTY CORPORATION OMN			03/06/18	X		
SP	ALPHABET INC. OMN CLASS C			08/21/18	X		
SP	CHAPTER COMMUNICATIONS, INC. OMN			07/12/18	X		
SP	UNITEDHEALTH GROUP INCORPORATE OMN			05/15/18	X		
SP	PIONEER NATURAL RESOURCES CO OMN			1/10/18	X		
SP	AUTOMATIC DATA PROCESSING INC OMN			05/15/18	X		
SP	THE KRAFT HEINZ CO OMN			10/17/18	X		
SP	RAYMOND JAMES FINANCIAL, INC. OMN			10/17/18	X		
SP	CATERPILLAR INC DELAWARE OMN			07/12/18	X		
SP	BUCKEYE PARTNERS LP UNITS OMN			12/20/18	X		
SP	HALLIBURTON COMPANY OMN			05/15/18	X		
SP	WATERS CORPORATION COMMON STOCK			05/15/18	X		
SP	GENERAL ELECTRIC CO OMN			07/12/18	X		
SP	TOK COMPANIES INC (NEW) OMN			05/15/18	X		
SP	DURE ENERGY CORPORATION OMN			1/10/18	X		
SP	METLIFE, INC. OMN			09/25/18	X		
SP	COCA-COLA EUROPEAN PARTNERS II OMN			05/15/18	X		
SP	EATON CORP PLC OMN			10/17/18	X		
SP	PRINCIPAL FINANCIAL GROUP, INC OMN			12/20/18	X		
SP	AMERICAN INT'L GROUP, INC. OMN			09/25/18	X		
SP	STRIMER CORPORATION OMN			09/25/18	X		
SP	MICROCHIP TECHNOLOGY INCORPORATE OMN			07/12/18	X		
SP	SHELL MIDSTREAM PARTNERS I, LP OMN			07/20/18	X		
SP	VALERO ENERGY CORPORATION OMN			08/21/18	X		
SP	MARATHON PETROLEUM CORPORATION OMN			12/20/18	X		
SP	ALLSTATE CORPORATION COMMON STOCK			10/21/18	X		
SP	HCL INC. OMN			09/06/18	X		
SP	SYMANTEC CORPORATION OMN			04/10/18	X		
SP	PIC INDUSTRIES, INC. OMN			10/27/18	X		
SP	BUCKET PARTNERS LP UNITS OMN			12/20/18	X		
SP	OIGMA CORPORATION OMN			07/18/18	X		
SP	ELCTRONIC ARTS OMN			12/20/18	X		
SP	GENERAL ELECTRIC CO OMN			07/18/18	X		
SP	UNICORN MATH CORP. INC. OMN			12/20/18	X		
SP	AEINA INC. OMN			09/25/18	X		

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SP	MONSTER BEVERAGE CORPORATION CMN		X	32/10/18		X	
SP	CPS HEALTH CORP CMN		X	12/10/18		X	
SP	THE PROGRESSIVE CORPORATION CMN		X	11/05/18		X	
SP	BERKSHIRE HATHAWAY INC. CLASS B		X	05/15/18		X	
SP	THE PROGRESSIVE CORPORATION CMN		X	07/15/18		X	
SP	INGERSOLL-RAND PLC CMN		X	05/15/18		X	
SP	STANLEY BLACK & DECKER, INC. CMN		X	10/17/18		X	
SP	NOMAD CORPORATION CMN		X	07/18/18		X	
SP	DOLLAR GENERAL CORPORATION CMN		X	09/25/18		X	
SP	GENERAL ELECTRIC CO CMN		X	10/17/18		X	
SP	KINDER MORGAN INC CMN CLASS P		X	11/05/18		X	
SP	EDOLAB INC. CMN		X	11/05/18		X	
SP	FORD MOTOR COMPANY CMN		X	04/10/18		X	
SP	SYNCHRONY FINANCIAL CMN		X	07/15/18		X	
SP	MINE CLASS-B CMN CLASS B		X	11/05/18		X	
SP	DEVON ENERGY CORPORATION (NEW) CMN		X	07/18/18		X	
SP	CONSTITUTION BRANDS INC CMN CLASS A		X	12/10/18		X	
SP	UNION PACIFIC CORP. CMN		X	10/17/18		X	
SP	MICRON TECHNOLOGY, INC. CMN		X	09/25/18		X	
SP	ANALOG DEVICES, INC. CMN		X	07/15/18		X	
SP	ARCONIC INC CMN		X	11/05/18		X	
SP	JOHNSON CONTROLS INTERNATIONAL CMN		X	11/05/18		X	
SP	FISHERPAYKEL CORP. CMN		X	09/06/18		X	
SP	D.R. HORTON, INC. CMN		X	10/17/18		X	
SP	SYNCHRONY FINANCIAL CMN		X	10/17/18		X	
SP	DEVON ENERGY CORPORATION (NEW) CMN		X	10/17/18		X	
SP	EXPEDEIA GROUP INC CMN		X	11/05/18		X	
SP	KINDER MORGAN INC CMN CLASS P		X	05/15/18		X	
SP	INCITE CORPORATION CMN		X	05/06/18		X	
SP	DOLLAR TREE STORES, INC. CMN		X	10/17/18		X	
SP	ARCHER-DANIELS-MIDWEST COMPANY CMN		X	03/05/18		X	
SP	STERLING BANKCORP CMN		X	12/10/18		X	
SP	MKS INSTRUMENTS INC CMN		X	07/18/18		X	
SP	CAPITAL ONE FINANCIAL CORP CMN		X	12/10/18		X	
SP	WALT DISNEY COMPANY (THE) CMN		X	10/17/18		X	
SP	MACY'S INC. CMN		X	12/10/18		X	
SP	PPL CORPORATION CMN		X	05/15/18		X	
SP	AMERICAN INT'L GROUP INC. CMN		X	04/10/18		X	
SP	INVESCO LTD. CMN		X	11/05/18		X	
SP	DAMASCUS CORPORATION CMN		X	05/15/18		X	
SP	EXPEDIA GROUP INC CMN		X	04/10/18		X	
SP	AMETEK INC (NEW) CMN		X	10/17/18		X	
SP	EAST WEST BANKCORP INC CMN		X	05/15/18		X	
SP	SERVICENOW INC CMN		X	04/10/18		X	
SP	MORGAN STANLEY CMN		X	11/05/18		X	
SP	ROYAL CARIBBEAN CRUISES LTD ISIN: LR00B0082058		X	07/18/18		X	
SP	PARKER-HANNIFIN CORP. CMN		X	07/18/18		X	
SP	EBAY INC. CMN		X	12/10/18		X	
SP	WALGREENS BOOTS ALLIANCE, INC. CMN		X	07/18/18		X	
SP	CUMMINS INC COMMON STOCK		X	07/18/18		X	
SP	STRYKER CORPORATION CMN		X	05/15/18		X	
SP	BOSTON PROPERTIES, INC. COMMON STOCK		X	10/17/18		X	
SP	KOSS STORES INC CMN		X	08/06/18		X	
SP	COACHES RESOURCES INC. CMN		X	06/25/18		X	
SP	SETWORKS SOLUTIONS, INC. CMN		X	11/05/18		X	
SP	VISA INC. CMN CLASS A		X	05/15/18		X	
SP	CSX CORPORATION CMN		X	05/15/18		X	
SP	ABBOTT LABORATORIES CMN		X	11/05/18		X	
SP	REALITY INCOME CORPORATION CMN		X	05/06/18		X	
SP	WESTMOCK COMPANY CMN		X	08/25/18		X	
SP	SEASATTE TECHNOLOGY PICTON		X	12/10/18		X	
SP	BROWN FERMAN CORP Cl. B CMN CLASS B		X	05/15/18		X	
SP	COMAGRA BRANDS INC CMN		X	11/05/18		X	
SP	SOUTHERN COPPER CORPORATION CMN		X	06/21/18		X	
SP	PHILLIPS 66 CMN		X	07/18/18		X	
SP	MACQUAIRE INFRASTRUCTURE CORP CMN		X	05/06/18		X	
SP	MASTERCARD INCORPORATED CMN CLASS A		X	05/15/18		X	

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SP	CGI GROUP CORPORATION CMN			06/15/18	X	
SP	CHUBB LIMITED CMN			12/10/18	X	
SP	JEFFERIES FINANCIAL GROUP INC CMN			06/25/18	X	X
SP	ECOLAB INC. CMN			07/18/18	X	
SP	SMARTTEC CORPORATION CMN			11/05/18	X	
SP	AMERICUS FINANCIAL, INC. CMN			04/06/18	X	
SP	INTERMATHICAL PAPER CO. CMN			09/25/18	X	
SP	FEDEX CORPORATION CMN			02/07/18	X	
SP	LENNAR CORPORATION CMN CLASS A			07/18/18	X	
SP	MACKESSON CORPORATION CMN			11/05/18	X	
SP	PATCHEK, INC. CMN			05/15/18	X	X
SP	ALEXANDRIA REAL ESTATE EQUITIES, INC.			05/15/18	X	X
SP	MEAMONT MINING CORPORATION CMN			09/25/18	X	
SP	KLA-TENCOR CORPORATION CMN			09/25/18	X	
SP	CXK CORPORATION CMN			05/05/18	X	
SP	EDISON INTERNATIONAL CMN			06/13/18	X	
SP	GRUBHUB INC CMN			11/05/18	X	
SP	ENERGY TRANSFER LP CMN			12/04/18	X	
SP	CARNIVAL CORPORATION CMN			10/27/18	X	
SP	REGENXBIO PHARMACEUTICAL INC CMN			03/22/18	X	
SP	HEWLETT PACKARD ENTERPRISE CO CMN			05/15/18	X	X
SP	CIMAREX ENERGY CO. CMN			05/13/18	X	
SP	DAC TECHNOLOGY COMPANY CMN			09/17/18	X	
SP	AMERICUS FINANCIAL, INC. CMN			07/18/18	X	
SP	UNITED RENTALS, INC. CMN			14/05/18	X	
SP	AMERIBEN CORPORATION CMN			09/25/18	X	
SP	FMC CORPORATION CMN			03/22/18	X	
SP	KODAK ALaris INC CMN CLASS P			05/15/18	X	
SP	MONSTER BEVERAGE CORPORATION CMN			09/25/18	X	
SP	NETFLIX, INC. CMN			12/09/18	X	
SP	INTERCONTINENTAL EXCHANGE INC CMN			10/17/18	X	
SP	SHOPIFY INC CMN CLASS A			10/27/18	X	
SP	L3 TECHNOLOGIES INC CMN			08/24/18	X	
SP	WEYERHAUSER COMPANY CMN			05/05/18	X	
SP	HENRY SCHEIN INC COMMON STOCK			04/10/18	X	
SP	ANALOG DEVICES, INC. CMN			07/18/18	X	
SP	EASTMAN CHEMICAL COMPANY CMN			09/25/18	X	
SP	CHROE GLOBAL MARKETS, INC. CMN			10/17/18	X	
SP	FORTINET, INC. CMN			10/17/18	X	
SP	MICROSOFT CORPORATION CMN			05/05/18	X	
SP	MOMAWK INDUSTRIES INC COMMON STOCK			12/10/18	X	
SP	BLACKROCK, INC. CMN			05/15/18	X	
SP	NEWELL BRANDS INC CMN			09/25/18	X	
SP	BOEING COMPANY CMN			07/18/18	X	
SP	ALUSI TECHNOLOGY, INC. CMN			12/10/18	X	
SP	CONSOLIDATED EDISON INC CMN			11/05/18	X	
SP	ENERGY TRAVERSE LP CMN			12/10/18	X	
SP	ALLSTATE CORPORATION COMMON STOCK			05/15/18	X	
SP	TAKE TWO INTERACTIVE SOFTWARE INC			12/07/18	X	
SP	PINTER-POWERS INC CMN			10/17/18	X	
SP	APACHE CORP. CMN			09/25/18	X	
SP	MERCADOLIBRE, INC. CMN			11/05/18	X	
SP	AMERICAN EXPRESS CO. CMN			11/05/18	X	
SP	VALERO ENERGY CORPORATION CMN			09/25/18	X	
SP	MOBILE ENERGY INC CMN			10/17/18	X	
SP	TIRE HOME DEPOT, INC. CMN			09/25/18	X	
SP	MICROCHIP TECHNOLOGY INC CORP CMN			10/17/18	X	
SP	WESTLANE CHEMICAL CORPORATION CMN			10/17/18	X	
SP	MONGELZI INTERNATIONAL, INC. CMN			10/17/18	X	
SP	FORD MOTOR COMPANY CMN			05/15/18	X	
SP	AMERICAN EXPRESS CO. CMN			07/18/18	X	
SP	ALPHABET INC CMN CLASS A			04/10/18	X	
SP	CVS HEALTH CORP CMN			09/06/18	X	

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SP	LAM RESEARCH CORPORATION CMN			05/15/18	X			
SP	BOSTON PROPERTIES, INC. COMMON STOCK			07/19/18	X			
SP	KENOK CORPORATION CMN			12/20/18	X			
SP	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A			12/19/18	X			
SP	INOTE CORPORATION CMN			06/19/18	X			
SP	MAXIM INTEGRATED PRODUCTS INC CMN			07/18/18	X			
SP	OMNIVILLE AUTOMOTIVE, INC. CMN			11/05/18	X			
SP	ANUS CAPITAL HOLDINGS LTD. CMN			04/10/18	X			
SP	ALEXDON PHARMACEUTICALS, INC. CMN			05/15/18	X			
SP	WALGREENS BOOTS ALLIANCE, INC. CMN			05/05/18	X			
SP	LIVE NATION ENTERTAINMENT INC CMN			11/05/18	X			
SP	EDGEMERE PERSONAL CARE COMPANY CMN			06/13/18	X			
SP	BOSTON PROPERTIES, INC. COMMON STOCK			11/05/18	X			
SP	VOTA FINANCIAL INC CMN			09/25/18	X			
SP	ARMARK CMN			11/05/18	X			
SP	SVB FINANCIAL GROUP CMN			09/25/18	X			
SP	IFS MARKET LTD CMN			09/25/18	X			
SP	GTBNX SYSTEMS INC CMN			09/25/18	X			
SP	SBA COMMUNICATIONS CORPORATION CMN			05/15/18	X			
SP	BROADCOM INC. CMN			10/17/18	X			
SP	ENTRA SPACE STORAGE INC. CMN			07/19/18	X			
SP	SCHLUMBERGER LTD CMN			09/25/18	X			
SP	HARTFORD FINANCIAL SVCS GROUP CMN			10/17/18	X			
SP	RALPH LAUREN CORP CMN CLASS A			11/05/18	X			
SP	NUDOR CORPORATION CMN			05/15/18	X			
SP	WFC ENERGY GROUP INC. CMN			09/25/18	X			
SP	FRANKLIN RESOURCES INC. CMN			08/21/18	X			
SP	CENTERPOINT ENERGY, INC. CMN			05/22/18	X			
SP	MONSTER BEVERAGE CORPORATION CMN			07/18/18	X			
SP	STATE STREET CORPORATION NEW CMN			05/15/18	X			
SP	BEST BUY CO INC CMN			07/18/18	X			
SP	ELECTRONIC ARTS CMN			05/15/18	X			
SP	WALGREENS BOOTS ALLIANCE, INC. CMN			10/17/18	X			
SP	CELESTE CORPORATION CMN			08/21/18	X			
SP	AMERICAN EXPRESS CO. CMN			05/15/18	X			
SP	CROWN CASTLE INT'L CORP CMN			08/21/18	X			
SP	CA, INC. CMN			05/15/18	X			
SP	EATON VANCE CORP. (NON-VOTING) CMN			11/05/18	X			
SP	ANSTO NETWORKS, INC. CMN			12/10/18	X			
SP	MCKESSON CORPORATION CMN			05/15/18	X			
SP	ANALYST CAPITAL MANAGEMENT INC CMN			09/25/18	X			
SP	NETSTAR THERAPEUTICS CMN			08/21/18	X			
SP	DARDEN RESTAURANTS, INC. CMN			07/18/18	X			
SP	AMERICAN AIRLINES GROUP INC CMN			07/18/18	X			
SP	WESTERN ALLIANCE BANKcorp CMN			12/10/18	X			
SP	INTERNATIONAL PAPER CO. CMN			06/21/18	X			
SP	CISCO SYSTEMS, INC. CMN			04/10/18	X			
SP	CYPRESS SEMICONDUCTOR CORP/NAT CMN			07/18/18	X			
SP	MGM REPORTS INTERNATIONAL CMN			10/17/18	X			
SP	THE WILLIAMS COMPANIES, INC. CMN			09/05/18	X			
SP	SEACOR HOLDING CMN			05/05/18	X			
SP	LOGMEIN INC CMN			06/21/18	X			
SP	HUNTINGTON BANKSHARES INC CORP CMN			09/25/18	X			
SP	CUMMINS INC COMMON STOCK			08/21/18	X			
SP	TECHTRONIC IND'LS, INC. CMN			11/05/18	X			
SP	BANK OF AMERICA CMN			09/25/18	X			
SP	FRANKLIN RESOURCES INC CMN			09/25/18	X			
SP	FLEETCOR TECHNOLOGIES, INC. CMN			07/18/18	X			
SP	EOG RESOURCES INC CMN			07/18/18	X			
SP	ALBERMARLE CORP CMN			08/21/18	X			
SP	APTIV PLC CMN			08/21/18	X			
SP	AMERICAN TOWER CORPORATION CMN			07/18/18	X			
SP	CME GROUP INC. CMN CLASS A			07/18/18	X			
SP	CALVIN KLEIN HOLDINGS INC CMN			08/21/18	X			
SP	GENERAL MOTORS COMPANY CMN			05/15/18	X			
SP	PROLOGIS INC CMN			05/15/18	X			

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SP	DEXON ENERGY CORPORATION (NEW) CMN			09/25/18		X		
SP	TUMPERWARE BRANDS CORPORATION CMN			06/19/18		X		
SP	BROMARIN PHARMACEUTICAL INC. CMN			07/16/18		X		
SP	WESTERN ALLIANCE BANCORP CMN			09/25/18		X		
SP	MORGAN STANLEY CMN			09/25/18		X		
SP	NEUROCRINE BIOSCIENCES, INC. CMN			07/16/18		X		
SP	BLAETRICK, INC. CMN			11/05/18		X		
SP	ASILENT TECHNOLOGIES, INC. CMN			07/16/18		X		
SP	ARISTA NETWORKS, INC. CMN			09/25/18		X		
SP	APPLIED MATERIALS INC. CMN			09/25/18		X		
SP	APFAC INCORPORATED CMN			07/16/18		X		
SP	SQUARE, INC. CMN CLASS A			11/05/18		X		
SP	SOUTHWEST AIRLINES CO. CMN			09/25/18		X		
SP	VANDER N.V. CMN			10/17/18		X		
SP	KOHL'S CORP (WISCONSIN) CMN			12/10/18		X		
SP	EASTMAN CHEMICAL COMPANY CMN			07/16/18		X		
SP	GENERAL DYNAMICS CORP. CMN			09/25/18		X		
SP	TOLL BROTHERS, INC. CMN			09/25/18		X		
SP	NUANCE COMMUNICATIONS, INC. CMN			05/15/18		X		
SP	WPX ENERGY, INC. CMN			09/25/18		X		
SP	THE HOME DEPOT, INC. CMN			07/16/18		X		
SP	TRIPADVISOR, INC. CMN			10/17/18		X		
SP	SKYWORKS SOLUTIONS, INC. CMN			12/10/18		X		
SP	GLOBAL PAYMENTS INC. CMN			05/15/18		X		
SP	AETNA INC. CMN			09/25/18		X		
SP	MCKESSON CORPORATION CMN			09/25/18		X		
SP	ONEBOOK INC. CMN			05/15/18		X		
SP	MANPOWER GROUP CMN			10/17/18		X		
SP	NIELSEN HOLDINGS GROUP CMN			05/15/18		X		
SP	THE WILLIAMS COMPANIES, INC. CMN			01/22/18		X		
SP	NICE CLASS B CMN CLASS B			09/25/18		X		
SP	NETAPP, INC. CMN			05/15/18		X		
SP	ALBEMARLE CORP CMN			10/17/18		X		
SP	SHERWIN-WILLIAMS CO. CMN			12/10/18		X		
SP	SEATTLE GENETICS, INC. CMN			04/10/18		X		
SP	AUTOZONE, INC. CMN			11/05/18		X		
SP	MARCH & MCGLENNAN CO INC CMN			11/05/18		X		
SP	NYLAN NY CMN			05/15/18		X		
SP	SALESFORCE.COM, INC. CMN			05/15/18		X		
SP	COLGATE-PALMOLIVE CO CMN			12/10/18		X		
SP	WALMART INC CMN			05/15/18		X		
SP	TIME TWO INTERACTIVE SOFTWARE INC			07/16/18		X		
SP	ONX TECHNOLOGY COMPANY CMN			12/10/18		X		
SP	PUT/ASP. ☎ 276 EXC 10/19/2018			10/17/18		X		
SP	ZIMMER BIOMET HOLDINGS INC.			04/10/18		X		
SP	AKA EQUITABLE HOLDINGS, INC. CMN			09/25/18		X		
SP	PUBLIC-SMC ENTERPRISE GROUP HOLDINGS CO			01/22/18		X		
SP	NETFLIX INC. CMN			12/10/18		X		
SP	MISCELLANEOUS TECHNOLOGY INCORPORATED CMN			05/15/18		X		
SP	CUMMING INC COMMON STOCK			09/25/18		X		
SP	CABOT OIL & GAS CORPORATION CMN			11/05/18		X		
SP	THE GOODYEAR TIRE & RUBBER CO. CMN			04/10/18		X		
SP	METLIFE, INC. CMN			08/22/18		X		
SP	ONEBOOK INC CMN			03/05/18		X		
SP	ECODAIR INC. CMN			10/17/18		X		
SP	THE WILLIAMS COMPANIES, INC. CMN			11/05/18		X		
SP	ALLEGIS TECHNOLOGY, INC. CMN			07/16/18		X		
SP	ALBEMARLE CORP CMN			04/10/18		X		
SP	MATLAM INT CMN			09/25/18		X		
SP	ABROTT LABORATORIES CMN			05/15/18		X		
SP	WHITEFOX CORP. CMN			09/25/18		X		
SP	FIDELITY NATL INFO SVCS INC CMN			05/15/18		X		
SP	TRANSDIGITAL GROUP INCORPORATED CMN			07/16/18		X		
SP	PUBLIC-SMC ENTERPRISE GROUP HOLDING CO			09/25/18		X		
SP	ORACLE CORPORATION CMN			03/05/18		X		
SP	SOUTHWEST AIRLINES CO CMN			05/15/18		X		
SP	ESSEX PROPERTY TRUST INC CMN			09/25/18		X		

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SP	MICROM TECHNOLOGY, INC. CMN						
SP	RANGE RESOURCES CORPORATION CMN	x		05/15/18		x	
SP	THE SOUTHERN CO. CMN	x		11/05/18		x	
SP	CHARLES SCHWAB CORPORATION CMN	x		05/15/18		x	
SP	DEFERRIES FINANCIAL GROUP INC CMN	x		05/15/18		x	
SP	MASCO CORPORATION CMN	x		09/25/18		x	
SP	COSIGNANT TECHNOLOGY SOLUTIONS CORP CLASS A	x		09/25/18		x	
SP	LINCOLN ELECTRIC HOLDINGS INC CMN	x		05/15/18		x	
SP	KOHL'S CORP WISCONSIN CMN	x		09/25/18		x	
SP	ACUTY BENDS, INC. CMN	x		04/10/18		x	
SP	ANALOG DEVICES, INC. CMN	x		12/10/18		x	
SP	MID-AMERICA AIR CHARTERS INC CMN	x		03/06/18		x	
SP	FIDELITY MATTRESS SYSTEMS INC CMN	x		12/10/18		x	
SP	NEWELL BRANDS INC CMN	x		10/17/18		x	
SP	WESTERN DIGITAL CORPORATION CMN	x		08/21/18		x	
SP	BURLINGTON STORES INC CMN	x		11/05/18		x	
SP	NIELSEN HOLDS INC CMN	x		10/17/18		x	
SP	NOVA HOLDINGS INC CMN	x		05/15/18		x	
SP	TUMPERWARE BRANDS CORPORATION CMN	x		04/10/18		x	
SP	E TRADE FINANCIAL CORPORATION CMN	x		11/05/18		x	
SP	UNITED CONTINENTAL HOLDING INC CMN	x		05/15/18		x	
SP	ALASKA AIR GROUP INCOTEL HOLDS CMN	x		05/06/18		x	
SP	TARGET CORPORATION CMN	x		09/06/18		x	
SP	MASTERFINANCIAL SERVICES GROUP CMN	x		09/25/18		x	
SP	JOHNSON CONTROLS INTERNATIONAL CMN	x		09/25/18		x	
SP	HUNTSMAN CORPORATION CMN	x		10/17/18		x	
SP	FMC CORPORATION CMN	x		10/17/18		x	
SP	UNITEDHEALTH GROUP INCORPORATE CMN	x		07/18/18		x	
SP	OWENS CORNING CMN	x		09/25/18		x	
SP	PRAXAIR INC CMN	x		07/18/18		x	
SP	SAGE THERAPEUTICS INC CMN	x		07/18/18		x	
SP	LOCKHEED MARTIN CORPORATION CMN	x		12/27/18		x	
SP	NIELSEN HOLDS PLC CMN	x		03/06/18		x	
SP	NOBLE ENERGY INC CMN	x		09/06/18		x	
SP	ANTHEM, INC. CMN	x		07/18/18		x	
SP	XULIN INCORPORATED CMN	x		05/25/18		x	
SP	MOMSAND COMPANY CMN	x		09/06/18		x	
SP	SUNTRUST BANKS INC CMN	x		09/25/18		x	
SP	WYNN RESORTS LIMITED CMN	x		07/18/18		x	
SP	Mc DONALD'S CORP CMN	x		06/10/18		x	
SP	SBA COMMUNICATIONS CORPORATION CMN	x		07/18/18		x	
SP	WEBSTER FINANCIAL CORP CMN	x		09/25/18		x	
SP	WALKATHON OIL CORPORATION INC CMN	x		12/10/18		x	
SP	NEWFIELD EXPLORATION CO. CMN	x		09/06/18		x	
SP	HANEBANDS INC CMN	x		05/15/18		x	
SP	CHARLES SCHWAB CORPORATION CMN	x		06/10/18		x	
SP	NATIONAL OILWELL VANTCO INC COMMON STOCK	x		09/25/18		x	
SP	VERISIGN, INC. CMN	x		09/25/18		x	
SP	QUANTUM SERVICES INC CMN	x		09/25/18		x	
SP	BAKER TRUSSEA GE CO CMN	x		07/18/18		x	
SP	IDEXX LABORATORIES CMN	x		07/18/18		x	
SP	SMARTER CORPORATION CMN	x		09/24/18		x	
SP	EDgewell PERSONAL CARE CMN	x		03/06/18		x	
SP	CINCINNATI FINANCIAL CORP CMN	x		11/05/18		x	
SP	MALLINCKRODT PUBLIC LIMITED CO CMN	x		11/05/18		x	
SP	REDEX CORPORATION CMN	x		12/27/18		x	
SP	INCOTE CORPORATION CMN	x		11/05/18		x	
SP	VERTEX PHARMACEUTICALS INCORPO CMN	x		05/15/18		x	
SP	PATRICKSON COMPANIES INC CMN	x		04/10/18		x	
SP	SEMPRA ENERGY CMN	x		07/18/18		x	
SP	DAVITA INC CMN	x		12/10/18		x	
SP	LEAR CORPORATION CMN	x		09/25/18		x	
SP	J B HUNT TRANS SVCS INC CMN	x		10/17/18		x	

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SP	PARKER-HANNIFIN CORP. CMN		X	09/25/18
SP	STRATIVIS FINANCIAL CORP. CMN		X	11/05/18
SP	EMERGEN CORP. CMN		X	10/24/18
SP	PUT/ASP ② 255 EXP 30/06/2018		X	
SP	ONNOCOM GROUP CMN		X	10/17/18
SP	REALITY INCOME CORPORATION CMN		X	01/22/18
SP	TISON FOODS INC CLA CMN CLASS A		X	10/17/18
SP	ADVANCED MICRO DEVICES INC. CMN		X	04/10/18
SP	ACUITY PHARMACEUTICALS INC. CMN		X	04/10/18
SP	P.G. & E. CORPORATION CMN		X	06/25/18
SP	VISTEX PHARMACEUTICALS INC/P/O CMN		X	10/17/18
SP	FASTERIT COMPANY CMN		X	11/05/18
SP	TRANSIGM GROUP INCORPORATED CMN		X	09/25/18
SP	PUT/ASP ④ 258 EXP 12/04/2018		X	
SP	TISON FOODS INC CLA CMN CLASS A		X	12/13/18
SP	AMERICAN AIRLINES GROUP INC CMN		X	09/25/18
SP	SENIOR HOUSING PROPERTIES TR. CMN		X	12/10/18
SP	T. ROWE PRICE GROUP INC CMN		X	12/10/18
SP	TEXARO INC CMN		X	04/10/18
SP	MANNPOWER GROUP CMN		X	12/10/18
SP	YUM! BRANDS INC CMN		X	10/17/18
SP	CARDINAL HEALTH INC CMN		X	12/10/18
SP	SOUTHWEST AIRLINES CO CMN		X	11/05/18
SP	PIONEER NATURAL RESOURCES CO CMN		X	07/13/18
SP	EXTRACTION OIL & GAS INC CMN		X	11/05/18
SP	COOPART INC CMN		X	09/25/18
SP	MSCI INC CMN		X	09/25/18
SP	HEBERICK PHARMACEUTICAL INC CMN		X	11/05/18
SP	WHITTING PETROLEUM CORPORATION CMN		X	11/05/18
SP	MARTIN MARLIETTA MATERIALS INC CMN		X	12/05/18
SP	ENQ INTERNATIONAL PIC CMN		X	02/06/18
SP	AMERICAN WATER WORKS CO. INC. CMN		X	11/05/18
SP	PACWEST BANCORP CMN		X	09/25/18
SP	JOHNSON CONTROLS INTERNATIONAL CMN		X	07/13/18
SP	CENTER CORPORATION CMN		X	05/15/18
SP	REISSON FINANCIAL CORPORATION CMN		X	09/25/18
SP	MARATHON PETROLEUM CORPORATION CMN		X	09/25/18
SP	KELLOGG COMPANY CMN		X	09/25/18
SP	LENNAR CORP CMN CLASS B		X	09/21/18
SP	OGE ENERGY CORP (HOLDING CO) CMN		X	01/22/18
SP	PUT/ASP ① 255 EXP 24/07/2018		X	
SP	INTERACTIVE BROKERS GROUP, INC CMN		X	12/04/18
SP	PTC INC CMN		X	09/25/18
SP	LITTLEFUSE INC. CMN		X	05/08/18
SP	UNUM GROUP CMN		X	09/21/18
SP	STERLING BANCORP CMN		X	01/22/18
SP	LB BRANDS, INC. CMN		X	11/05/18
SP	NEKKER ENERGY INC CMN		X	12/21/18
SP	NICE CLASS-B CMN CLASS B		X	09/25/18
SP	ALUM TECHNOLOGY INC CMN		X	11/05/18
SP	YUM! BRANDS, INC. CMN		X	12/04/18
SP	EDUCATS, INC. CMN		X	07/13/18
SP	SAME GLOBAL INC CMN		X	09/25/18
SP	UNITED CONTINENTAL HOLDING INC CMN		X	11/05/18
SP	NEWMONT MINING CORPORATION CMN		X	09/25/18
SP	THE GOODYEAR TIRE & RUBBER CO. CMN		X	10/27/18
SP	IRON MOUNTAIN INCORPORATED CMN		X	09/21/18
SP	COLGAN CORPORATION CMN		X	07/13/18
SP	PENNCO PIC CMN		X	12/04/18
SP	JAZZ PHARMACEUTICALS PIC CMN		X	09/06/18
SP	OSHKOSH CORPORATION CMN		X	09/25/18
SP	QUALCOMM INC CMN		X	04/10/18
SP	INEPHOTONICS CORPORATION CMN		X	09/25/18
SP	NORTHROP GRUMMAN CORP CMN		X	07/13/18
SP	UNUM GROUP CMN		X	09/25/18
SP	MARSH & MCLENNAN CO INC CMN		X	10/17/18
SP	NEW CORPORATION CMN CLASS B		X	11/05/18

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SP	HILTON WORLDWIDE HOLDINGS, INC. CMVN		X	09/25/18								
SP	FLUOR CORPORATION CMVN		X	12/10/18								
SP	CHIPOTLE MEXICAN GRILL, INC. CMVN		X	09/05/18								
SP	CHIPOLE MEXICAN GRILL, INC. CMVN		X	09/10/18								
SP	MANPOWER GROUP CMVN		X	11/05/18								
SP	PINNACLE FIN PARTNERS INC CMVN		X	09/25/18								
SP	SPLUNK INC CMVN		X	08/22/18								
SP	ASBIDDEN, INC. CMVN		X	12/10/18								
SP	CONTINENTAL RESOURCES INC CMVN		X	12/10/18								
SP	CABO OIL & GAS CORPORATION CMVN		X	08/22/18								
SP	BERRY GLOBAL GROUP INC CMVN		X	14/05/18								
SP	P&E CORPORATION CMVN		X	08/22/18								
SP	GODADDY.COM, INC. CMVN CLASS A		X	10/17/18								
SP	SIMON PROPERTY GROUP INC CMVN		X	05/25/18								
SP	TESLA INC. CMVN		X	08/22/18								
SP	HEWLETT PACKARD ENTERPRISE CO CMVN		X	10/17/18								
SP	VISITON CORPORATION CMVN		X	10/17/18								
SP	SQUARE, INC. CMVN CLASS A		X	10/17/18								
SP	BANK OF AMERICA CORP CMVN		X	05/15/18								
SP	WESTROCK COMPANY CMVN		X	11/05/18								
SP	XEROX CORPORATION CMVN		X	12/22/18								
SP	TARGA RESOURCES COMP CMVN		X	11/05/18								
SP	MOTHAWK INDUSTRIES INC COMMON STOCK		X	10/17/18								
SP	AMTS, INC. CMVN		X	11/05/18								
SP	TMWLL INC CMVN CLASS A		X	08/22/18								
SP	ILLUMINA INC CMVN CLASS C		X	07/24/18								
SP	WYNDHAM DESTINATIONS, INC. CMVN		X	10/17/18								
SP	PUT/ASP @ 205 EXP 05/22/2018		X	05/14/18								
SP	BRIGHTHOUSE FINANCIAL, INC. CMVN		X	12/10/18								
SP	TRANSOCEAN LTD. CMVN		X	11/05/18								
SP	GODADDY.COM, INC. CMVN CLASS A		X	08/22/18								
SP	UNDER ARMOUR, INC. CMVN CLASS C		X	08/24/18								
SP	MAIERBACH COMPANY CMVN		X	12/22/18								
SP	HOMESTEAD INT'L INC CMVN		X	05/15/18								
SP	CONCHO RESOURCES INC CMVN		X	12/10/18								
SP	ATMOS ENERGY CORPORATION CMVN		X	07/18/18								
SP	DAMAHER CORPORATION CMVN		X	12/20/18								
SP	KOSMO ENERGY LTD. CMVN		X	09/05/18								
SP	EQUIFAXING CMVN		X	11/05/18								
SP	ZILLLOW GROUP, INC. CMVN SERIES C		X	12/20/18								
SP	SEALED AIR CORPORATION CMVN		X	09/25/18								
SP	MAXIM INTEGRATED PRODUCTS INC CMVN		X	05/15/18								
SP	RANGE RESOURCES CORPORATION CMVN		X	03/05/18								
SP	COHERENT INC. CMVN		X	10/17/18								
SP	DEERE & COMPANY CMVN		X	05/15/18								
SP	GENUINE PARTS CO. CMVN		X	10/17/18								
SP	CENTERLINE CORPORATION CMVN		X	08/21/18								
SP	LIONS GATE ENTERTAINMENT CORP. CMVN CLASS B		X	12/10/18								
SP	MOLAN INC CMVN		X	11/05/18								
SP	COMMING INC COMMON STOCK		X	05/15/18								
SP	WAFFAR INC. CMVN		X	11/05/18								
SP	HALLIBURTON COMPANY CMVN		X	03/05/18								
SP	BLUEBIRD BIO INC CMVN		X	11/05/18								
SP	CARBOT CORP. CMVN		X	10/17/18								
SP	NORWEGIAN CRUISE LINE HOLD LTD CMVN		X	08/21/18								
SP	PACCAR INC CMVN		X	04/10/18								
SP	LABORATORY CORPORATION OF AMER CMVN		X	12/10/18								
SP	THE WILLIAMS COMPANIES, INC. CMVN		X	11/05/18								
SP	MAUNICROFT PUBLIC LIMITED CO CMVN		X	03/05/18								
SP	ARCH CAPITAL GROUP LTD. CMVN		X	11/05/18								
SP	CAROT CORP. CMVN		X	10/17/18								
SP	LEGGETT & PLATT INCORPORATED CMVN		X	08/21/18								
SP	SEALED AIR CORPORATION CMVN		X	11/05/18								
SP	AMERICAN WATER WORKS CO. INC. CMVN		X	05/15/18								
SP	HCA HEALTHCARE, INC CMVN		X	12/10/18								
SP	AMERICAN WATER WORKS CO. INC. CMVN		X	03/05/18								
SP	YUM CHINA HOLDINGS, INC. CMVN		X	10/17/18								
SP	WYNDHAM DESTINATIONS, INC. CMVN		X	11/05/18								

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SP	OKIO HOLDING CO., INC.	X	04/10/18	X
SP	CARIB CORP. CMN	X	11/05/18	
SP	TEXAS CAPITAL BANKSHARES, INC. CMN	X	09/25/18	X
SP	HESS CORPORATION CMN	X	12/10/18	X
SP	EPAM SYS INC CMN	X	11/05/18	X
SP	MGR. CORPORATION CMN	X	04/10/18	X
SP	UNIVERSAL HEALTH SVC CL B CMN CLASS B	X	09/04/18	X
SP	MOLSON COORS BREWING CO CMN CLASS B	X	12/10/18	X
SP	CIMAKER ENERGY CO. CMN	X	09/25/18	X
SP	AGILENT TECHNOLOGIES, INC. CMN	X	08/25/18	X
SP	BROADCOM INC. CMN	X	09/25/18	X
SP	INTRICON CORP CMN	X	04/10/18	X
SP	KAP SEMICONDUCTORS NV. CMN	X	11/05/18	X
SP	DIAMONDBACK ENERGY INC CMN	X	09/25/18	X
SP	COGNEX CORPORATION CMN	X	12/10/18	X
SP	ZU INC. CMN	X	08/25/18	X
SP	LEGEA MASON INC CMN	X	04/10/18	X
SP	XYLEM INC. CMN	X	09/25/18	X
SP	RAYMOND JAMES FINANCIAL, INC. CMN	X	11/05/18	X
SP	FORTIVE CORPORATION CMN	X	10/17/18	X
SP	MARVEL TECHNOLOGY GROUP LTD. CMN	X	07/18/18	X
SP	COPART INC CMN	X	07/28/18	X
SP	COHERENT INC. CMN	X	12/10/18	X
SP	PATTERSON-LIT ENERGY, INC. DRD CMN	X	11/05/18	X
SP	HOTEL FRONTE & RESORTS INC CMN	X	10/17/18	X
SP	ON SEMICONDUCTOR CORPORATION CMN	X	09/10/18	X
SP	PUT/PREP @ 260 EXP 08/28/2018	X	11/05/18	X
SP	CONFAX CORPORATION CMN	X	11/05/18	X
SP	PUT/PREP @ 260 EXP 04/20/2018	X	04/17/18	X
SP	JABIL INC. CMN	X	04/10/18	X
SP	THE KRAFT HEINZ CO. CMN	X	09/25/18	X
SP	UNITED HERBALURS CORP CMN	X	02/05/18	X
SP	EXACT SCIENCES CORP CMN	X	11/05/18	X
SP	PEF ENERGY INC CMN	X	11/05/18	X
SP	WEIL TOWER INC. CMN	X	04/10/18	X
SP	RESMED INC. CMN	X	07/18/18	X
SP	CHEMOURS COMPANY THE CMN	X	08/23/18	X
SP	SA ENERGY COMPANY CMN	X	11/05/18	X
SP	SYNTHETEC CORPORATION CMN	X	08/06/18	X
SP	DOLLAR GENERAL CORPORATION CMN	X	06/23/18	X
SP	NUTRANIK INC. CMN CLASS A	X	08/23/18	X
SP	CLIMA CORPORATION CMN	X	11/05/18	X
SP	INTERCEPT PHARMACEUTICALS, INC. CMN	X	04/10/18	X
SP	PULTEGRO UP INC. CMN	X	07/18/18	X
SP	IRON MOUNTAIN INCORPORATED CMN	X	09/25/18	X
SP	PLATFORM SPECIALTY PRODUCTS CO CMN	X	04/10/18	X
SP	HUNTSMAN CORPORATION CMN	X	11/05/18	X
SP	ENERGY TRANSFER LP CMN	X	12/04/18	X
SP	NORMESIAN CRUISE LINE HOLDING INC CMN	X	07/18/18	X
SP	CINE GLOBAL MARKETS, INC. CMN	X	08/25/18	X
SP	DAVITA INC CMN	X	12/27/18	X
SP	ZILLION GROUP INC. CMN SERIES C	X	11/05/18	X
SP	CHEMOURS COMPANY THE CMN	X	11/05/18	X
SP	PUBLIC STORAGE CMN	X	11/05/18	X
SP	UNITED CONTINENTAL HOLDING INC CMN	X	05/15/18	X
SP	CARE GROUP INC. CMN CLASS A	X	07/18/18	X
SP	AMSTEL NETWORKS, INC. CMN	X	12/27/18	X
SP	PARSLEY ENERGY, INC. CMN	X	08/23/18	X
SP	PUT/PREP @ 260 EXP 04/15/2018	X	09/19/18	X
SP	ALASKA AIR GROUP INC/DEL. HLDGS CMN	X	10/17/18	X
SP	HUNTSMAN CORPORATION CMN	X	08/23/18	X
SP	THE WILLIAMS COMPANIES, INC. CMN	X	09/25/18	X
SP	NIELSEN HOLDING PLC CMN	X	05/15/18	X
SP	INTUIT INC CMN	X	09/25/18	X
SP	CAMDEN PROPERTY TRUST CMN	X	12/27/18	X
SP	MITS INSTRUMENTS INC CMN	X		

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SP	SOUTHWEST AIRLINES CO. CMN		X	09/25/18	X
SP	LABORATORY CORPORATION OF AMER CMN		X	07/18/18	X
SP	WESTERN DIGITAL CORPORATION CMN		X	03/06/18	X
SP	CABOT OIL & GAS CORPORATION CMN		X	09/25/18	X
SP	NORDSTROM, INC. CMN		X	09/21/18	X
SP	TWENTY-FIRST CENTURY FOX INC. CMN CLASS A		X	10/17/18	X
SP	VERTEX PHARMACEUTICALS INC CMN		X	09/25/18	X
SP	MELSEN HOLDINGS PLC CMN		X	06/21/18	X
SP	MOROSTROM INC. CMN		X	09/25/18	X
SP	DELL TECHNOLOGIES INC CMN		X	11/05/18	X
SP	EXELIXIS, INC. CMN		X	09/25/18	X
SP	QEP RESOURCES INC CMN		X	09/26/18	X
SP	EXTRACTION OIL & GAS INC CMN		X	10/27/18	X
SP	QUALCOMM INC CMN		X	07/18/18	X
SP	AMERICAN ZEOLITHIC CORPORATION CMN		X	09/25/18	X
SP	NEWFIELD EXPLORATION CO CMN		X	10/27/18	X
SP	CME GROUP INC. CMN CLASS A		X	09/25/18	X
SP	NAO LOGISTICS INC CMN		X	11/05/18	X
SP	MSCI INC. CMN		X	07/18/18	X
SP	MANPOWER GROUP CMN		X	09/25/18	X
SP	DELTA AIR LINES, INC. CMN		X	09/25/18	X
SP	CERNER CORPORATION CMN		X	11/05/18	X
SP	NECTERA ENERGY, INC. CMN		X	10/27/18	X
SP	TD AMERICA TRADE HOLDING CORP CMN		X	09/21/18	X
SP	HOTEL HOTELS & RESORTS INC CMN		X	09/21/18	X
SP	PURCHASE @ 249 EXP 06/15/2018		X	06/07/18	X
SP	FIREFE, INC. CMN		X	11/05/18	X
SP	ON SEMICONDUCTOR CORPORATION CMN		X	09/21/18	X
SP	TEMPUR SEASIL INTERNATIONAL INC CMN		X	12/27/18	X
SP	TESARO INC CMN		X	07/18/18	X
SP	EAGLE MATERIALS INC CMN		X	11/05/18	X
SP	UNITED STATES STEEL CORP CMN		X	08/21/18	X
SP	GENTEX CORP CMN		X	09/25/18	X
SP	AUKERMES PLC CMN		X	11/05/18	X
SP	UNION PACIFIC CORP. CMN		X	08/21/18	X
SP	ELECTRONIC ARTS CMN		X	07/18/18	X
SP	SALESFORCE.COM INC CMN		X	10/27/18	X
SP	BOSTON SCIENTIFIC CORP. COMMON STOCK		X	11/05/18	X
SP	AKROS PHARMACEUTICALS, INC. CMN		X	11/05/18	X
SP	WILLIS TOWERS WATSON PLC CMN		X	10/27/18	X
SP	WECS ENERGY GROUP, INC. CMN		X	11/05/18	X
SP	ACQUITY TECHNOLOGIES, INC. CMN		X	11/05/18	X
SP	NVIDIA CORPORATION CMN		X	12/27/18	X
SP	GARMIN LTD. CMN		X	11/05/18	X
SP	ESTEE LAUDER COS INC CMN CLASS A		X	10/17/18	X
SP	BLUEBIRD BIO INC CMN		X	10/17/18	X
SP	Oshkosh Corporation CMN		X	10/17/18	X
SP	INTERNATIONAL GAME TECHNOLOGY PLC CMN		X	11/05/18	X
SP	PURCHASE @ 265 EXP 06/29/2018		X	05/26/18	X
SP	PURCHASE @ 255 EXP 06/17/2018		X	05/26/18	X
SP	PURCHASE @ 262 EXP 05/25/2018		X	05/26/18	X
SP	PURCHASE @ 255 EXP 06/01/2018		X	05/30/18	X
SP	PURCHASE @ 275 EXP 05/27/2018		X	05/26/18	X
SP	PURCHASE @ 281 EXP 05/24/2018		X	05/26/18	X
SP	AMADEAVOR LOGISTICS LP CMN		X	05/26/18	X
SP	PURCHASE @ 255 EXP 06/20/2018		X	04/20/18	X
SP	PURCHASE @ 250 EXP 05/19/2018		X	04/19/18	X
SP	ENERGY TRANSFER LP CMN		X	12/04/18	X
SP	PURCHASE @ 255 EXP 05/26/2018		X	04/24/18	X
SP	PURCHASE @ 259 EXP 06/24/2018		X	06/22/18	X
SP	PURCHASE @ 272 EXP 06/31/2018		X	06/29/18	X

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SP	PUT/ASR	② 277 EXP 05/11/2018		x	09/20/18		x		
SP	PUT/ASR	② 255 EXP 08/11/2018		x	09/01/18		x		
SP	PUT/ASR	② 268 EXP 09/15/2018		x	01/09/18		x		
SP	KINDER MORGAN INC. CMM CLASS P			x	01/10/18		x		
SP	PHILLIPS 66 PARTNERS LP CMM			x	01/11/18		x		
SP	PUT/ASR	② 274 EXP 09/02/2018		x	01/03/18		x		
SP	GS SHORT DURATION TAX-FREE FUND INSTITUTIONAL SHARES			x	01/03/18		x		
SP	DUKE ENERGY CORPORATION CMM			x	01/22/18		x		
SP	CELENE CORPORATION CMM			x	01/22/18		x		
SP	THE SOUTHERN CO. CMM			x	01/22/18		x		
SP	CMS ENERGY CORPORATION CMM			x	01/22/18		x		
SP	CONSOLIDATED EDISON INC. CMM			x	01/22/18		x		
SP	DOMINION ENERGY INC. CMM			x	01/22/18		x		
SP	KIMCO REALTY CORPORATION CMM			x	01/22/18		x		
SP	HCP INC. CMM			x	01/22/18		x		
SP	SEMIPHA ENERGY CMM			x	01/22/18		x		
SP	WALGREENS BOOTS ALLIANCE INC. CMM			x	01/22/18		x		
SP	DIGITAL HEALTH TRUST, INC. CMM			x	01/22/18		x		
SP	ASPERIN INSURANCE HOLDINGS LTD. CMM			x	01/22/18		x		
SP	VORTEX REALTY TRUST CMM			x	01/22/18		x		
SP	SKYWORKS SOLUTIONS INC. CMM			x	01/22/18		x		
SP	ACLUITY BRANDS INC. CMM			x	01/22/18		x		
SP	INSURANCE INC. CMM			x	01/22/18		x		
SP	DTE ENERGY COMPANY CMM			x	01/22/18		x		
SP	SENIOR HOUSING PROPERTIES TR. CMM			x	01/22/18		x		
SP	EDISON INTERNATIONAL CMM			x	01/22/18		x		
SP	MALINICKRODT PUBLIC LIMITED CO. CMM			x	01/22/18		x		
SP	WESTERN DIGITAL CORPORATION CMM			x	01/22/18		x		
SP	EVEREST RE GROUP LTD CMM			x	01/22/18		x		
SP	HIGHWOODS PROPERTIES INC. CMM			x	01/22/18		x		
SP	SYMANTEC CORPORATION CMM			x	01/22/18		x		
SP	QORVO, INC. CMM			x	01/22/18		x		
SP	MEDICAL PROPERTIES TRUST INC. CMM			x	01/22/18		x		
SP	FEIT CORPORATION CMM			x	01/22/18		x		
SP	HASSO, INC. CMM			x	01/22/18		x		
SP	AMERICAN ELECTRIC POWER INC. CMM			x	01/22/18		x		
SP	ENDO INTERNATIONAL PLC CMM			x	01/22/18		x		
SP	ALLIANT ENERGY CORPORATION CMM			x	01/22/18		x		
SP	BECTON, DICKINSON AND COMPANY CMM			x	01/22/18		x		
SP	MATTEL INC. CMM			x	01/22/18		x		
SP	VALIDUS HOLDINGS LTD. CMM			x	01/22/18		x		
SP	HUDSON PACIFIC PROPERTIES, INC. CMM			x	01/22/18		x		
SP	IRON MOUNTAIN INCORPORATED CMM			x	01/22/18		x		
SP	APARTMENT 4NY & NIGHT CO. C.A. CMM CLASS A			x	01/22/18		x		
SP	DUKE REALTY CORPORATION CMM			x	01/22/18		x		
SP	VERBET, INC. CMM			x	01/22/18		x		
SP	KCEL ENERGY INC. CMM			x	01/22/18		x		
SP	ACADA PHARMACEUTICALS, INC. CMM			x	01/22/18		x		
SP	UNDER ARMOUR, INC. CMM CLASS A			x	01/22/18		x		
SP	PUT/ASR	② 275 EXP 02/29/2018		x	01/22/18		x		
SP	PUT/ASR	② 259 EXP 04/20/2018		x	01/24/18		x		
SP	PUT/ASR	② 260 EXP 05/18/2018		x	02/23/18		x		
SP	SEMGROUP CORPORATION CMM CLASS A			x	02/23/18		x		
SP	SEMGROUP CORPORATION CMM CLASS A			x	02/23/18		x		
SP	SEMGROUP CORPORATION CMM CLASS A			x	02/23/18		x		
SP	SEMGROUP CORPORATION CMM CLASS A			x	02/23/18		x		
SP	SEMGROUP CORPORATION CMM CLASS A			x	02/23/18		x		
SP	SEMGROUP CORPORATION CMM CLASS A			x	02/23/18		x		
SP	SEMGROUP CORPORATION CMM CLASS A			x	02/23/18		x		
SP	SEMGROUP CORPORATION CMM CLASS A			x	02/23/18		x		
SP	SEMGROUP CORPORATION CMM CLASS A			x	02/23/18		x		
SP	SEMGROUP CORPORATION CMM CLASS A			x	02/23/18		x		
SP	JOHNSON & JOHNSON CMM			x	02/23/18		x		
SP	PEPSICO, INC. CMM			x	02/23/18		x		
SP	UNITED PARCEL SERVICE, INC. CLASS B COMMON STOCK			x	02/23/18		x		

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SP	SNAP-ON INC CMN	X	03/05/18	X
SP	LEUCADIA NATIONAL CORP CMN	X	03/05/18	X
SP	CARMAN, INC. CMN	X	03/05/18	X
SP	SIGNET LEATHERS LIMITED CMN	X	03/05/18	X
SP	HOSPITALITY PROPERTIES TRUST CMN	X	03/05/18	X
SP	PARK HOTELS & RESORTS INC. CMN	X	03/05/18	X
SP	RUTZ/SP @ 271 EXP 04/13/2018	X	03/15/18	X
SP	RICE MIDSTREAM PARTNERS LP CMN	X	03/15/18	X
SP	EQT MIDSTREAM PARTNERS LP CMN	X	03/15/18	X
SP	PUT/SP @ 240 EXP 06/15/2018	X	03/26/18	X
SP	PUT/SP @ 258 EXP 07/20/2018	X	04/11/18	X
SP	FRIEDR. NE. CMN	X	04/10/18	X
SP	COMCAST CORPORATION CMN CLASS A VOTING	X	04/10/18	X
SP	FACEBOOK INC. CMN CLASS A	X	04/10/18	X
SP	WELLS FARGO & CO NEW YORK	X	04/10/18	X
SP	CVS HEALTH CORP CMN	X	04/10/18	X
SP	INT'L BUSINESS MACHINES CORP CMN	X	04/10/18	X
SP	MEDTRONIC PUBLIC LIMITED COMPAG CMN	X	04/10/18	X
SP	BIOGEN INC. CMN	X	04/10/18	X
SP	METLIFE, INC. CMN	X	04/10/18	X
SP	KINDER MORGAN INC CMN CLASS P	X	04/10/18	X
SP	TIME BANK OF NY MELLON CORP CMN	X	04/10/18	X
SP	NEWELL BRANDS INC CMN	X	04/10/18	X
SP	CELESTE CORPORATION CMN	X	04/10/18	X
SP	U.S. Bancorp CMN	X	04/10/18	X
SP	CHUBB LTD CMN	X	04/10/18	X
SP	REED-MERION PHARMACEUTICAL INC CMN	X	04/10/18	X
SP	VORNADO REALTY TRUST CMN	X	04/10/18	X
SP	VERIZON COMMUNICATIONS, INC. CMN	X	04/10/18	X
SP	BOOKING HOLDINGS INC CMN	X	04/10/18	X
SP	CENTURIONLINK INC CMN	X	04/10/18	X
SP	ORACLE CORPORATION CMN	X	04/10/18	X
SP	INGERSOLL-RAND PLC CMN	X	04/10/18	X
SP	AT&T INC CMN	X	04/10/18	X
SP	CENTERPOINT ENERGY, INC CMN	X	04/10/18	X
SP	UNILIN GROUP CMN	X	04/10/18	X
SP	WALT DISNEY COMPANY THE CMN	X	04/10/18	X
SP	ALEXION PHARMACEUTICALS, INC. CMN	X	04/10/18	X
SP	INCITE CORPORATION CMN	X	04/10/18	X
SP	IGNAL CORPORATION CMN	X	04/10/18	X
SP	CHARTER COMMUNICATIONS, INC. CMN	X	04/10/18	X
SP	PACCAR INC CMN	X	04/10/18	X
SP	SCHLUMBERGER LTD CMN	X	04/10/18	X
SP	INTER-NATIONAL PAPER CO. CMN	X	04/10/18	X
SP	ZOTIS INC. CMN CLASS A	X	04/10/18	X
SP	SOUTHWEST AIRLINES CO CMN	X	04/10/18	X
SP	ONEOK INC CMN	X	04/10/18	X
SP	MYLAN INC CMN	X	04/10/18	X
SP	T-MOBILE US, INC. CMN	X	04/10/18	X
SP	SKYWORKS SOLUTIONS INC CMN	X	04/10/18	X
SP	WECS ENERGY GROUP, INC. CMN	X	04/10/18	X
SP	BOLT CORPORATION CMN	X	04/10/18	X
SP	UNICOM INTEL CORP. INC. CMN	X	04/10/18	X
SP	EVANSION HEALTHCARE CORPORATION CMN	X	04/10/18	X
SP	ALEXANDRIA REAL ESTATE EXQUITIES, INC.	X	04/10/18	X
SP	EDgewell Personal Care CMN	X	04/10/18	X
SP	SKYWORKS SOLUTIONS INC CMN	X	04/10/18	X
SP	NIESEN HLDGS PLC CMN	X	04/10/18	X
SP	COMMINS INC COMMON STOCK	X	04/10/18	X
SP	BOLT CORPORATION CMN	X	04/10/18	X
SP	VULCAN MATERIALS CO CMN	X	04/10/18	X
SP	CHARLES SCHWAB CORPORATION CMN	X	04/10/18	X
SP	EDgewell Personal Care CMN	X	04/10/18	X
SP	NORWEGIAN CRUISE LINE HLDGS LTD CMN	X	04/10/18	X
SP	MAILIN CREDIT PUBLIC LIMITED CO CMN	X	04/10/18	X
SP	CERNER CORPORATION CMN	X	04/10/18	X
SP	NEWFIELD EXPLORATION CO. CMN	X	04/10/18	X
SP	SIM ENERGY COMPANY CMN	X	04/10/18	X

Schedule B - Transactions

SP	ENZO INTERNATIONAL PC/NM		x	04/10/18	x	
SP	MATTEL INC. CMN		x	04/10/18	x	
SP	NPC INC CMN		x	04/10/18	x	
SP	HARTFORD FINANCIAL SVCS GROUP CMN		x	04/10/18	x	
SP	CARDINAL HEALTH INC. CMN		x	04/10/18	x	
SP	DENTISTRY SHRENA INC CMN		x	04/10/18	x	
SP	ARCH CAPITAL GROUP LTD. CMN		x	04/10/18	x	
SP	UNIVERSAL HEALTH SVC CL B CMN CLASS B		x	04/10/18	x	
SP	SPRIT AIRLINES INC. CMN		x	04/10/18	x	
SP	ALLIANCE DATA SYSTEMS CORP/RAT CMN		x	04/10/18	x	
SP	QUANTA SERVICES INC CMN		x	04/10/18	x	
SP	CABOT OIL GAS CORPORATION CMN		x	04/10/18	x	
SP	QUIGLEN NV CMN		x	04/10/18	x	
SP	WESTERN UNION COMPANY THE CMN		x	04/10/18	x	
SP	MCNESSON CORPORATION CMN		x	04/10/18	x	
SP	CLOROX CO THE DELAWARE CMN		x	04/10/18	x	
SP	ROYAL CARIBBEAN CRUISES LTD ISIN:LB00B0862B66					
SP	COCA-COLA COMPANY THE CMN		x	04/10/18	x	
SP	ESRI INC CMN		x	04/10/18	x	
SP	BUREAU WORLDWIDE INC CMN		x	04/10/18	x	
SP	KIONS PHARMACEUTICALS INC CMN		x	04/10/18	x	
SP	PUT/ASR @ 252 EXP 05/25/2018		x	04/10/18	x	
SP	PUT/ASR @ 255 EXP 05/01/2018		x	04/10/18	x	
SP	PUT/ASR @ 258 EXP 05/29/2018		x	04/10/18	x	
SP	APPLE INC. CMN CLASS C		x	05/15/18	x	
SP	ALTRIA GROUP INC CMN		x	05/15/18	x	
SP	MICROSOFT CORPORATION CMN		x	05/15/18	x	
SP	PHILIP MORRIS INT'L INC CMN		x	05/15/18	x	
SP	WELTEL CORPORATION CMN		x	05/15/18	x	
SP	JOHNSON & JOHNSON CMN		x	05/15/18	x	
SP	ALPHABET INC. CMN CLASS C		x	05/15/18	x	
SP	VERIZON COMMUNICATIONS INC CMN		x	05/15/18	x	
SP	PFIZER INC. CMN		x	05/15/18	x	
SP	KIRKLAND-CLARK CORPORATION CMN		x	05/15/18	x	
SP	BRISTOL-MYERS SQUIBB COMPANY CMN		x	05/15/18	x	
SP	SM COMPANY CMN		x	05/15/18	x	
SP	AT&T INC CMN		x	05/15/18	x	
SP	INT'L BUSINESS MACHINES CORP CMN		x	05/15/18	x	
SP	ALLERGAN PUBLIC LIMITED COMPANY CMN		x	05/15/18	x	
SP	PEPSICO INC. CMN		x	05/15/18	x	
SP	PRUDENTIAL FINANCIAL INC CMN		x	05/15/18	x	
SP	GENERAL ELECTRIC CO CMN		x	05/15/18	x	
SP	MONDELEZ INTERNATIONAL INC CMN		x	05/15/18	x	
SP	THE KRAFT HEINZ CO CMN		x	05/15/18	x	
SP	THE BANK OF NY MELLON CORP CMN		x	05/15/18	x	
SP	AMGEN INC. CMN		x	05/15/18	x	
SP	DOMINION ENERGY INC CMN		x	05/15/18	x	
SP	ILLINOIS TOOL WORKS CMN		x	05/15/18	x	
SP	CONOCOPHILLIPS CMN		x	05/15/18	x	
SP	AMERICAN INT'L GROUP INC CMN		x	05/15/18	x	
SP	U.S. BANKcorp CMN		x	05/15/18	x	
SP	CHARTER COMMUNICATIONS INC CMN		x	05/15/18	x	
SP	PRINCIPAL FINANCIAL GROUP, INC CMN		x	05/15/18	x	
SP	CAPITAL ONE FINANCIAL CORP CMN		x	05/15/18	x	
SP	WALGREENS BOOTS ALLIANCE INC CMN		x	05/15/18	x	
SP	CGMA CORPORATION CMN		x	05/15/18	x	
SP	FISERV INC. CMN		x	05/15/18	x	
SP	KINACO REALTY CORPORATION CMN		x	05/15/18	x	
SP	ALTAIBA INC CLOSE END DEBT FUND		x	05/15/18	x	
SP	HUMANIAN CMN		x	05/15/18	x	
SP	TE CONNECTIVITY LTD CMN		x	05/15/18	x	
SP	P.G. & E CORPORATION CMN		x	05/15/18	x	
SP	AMERICAN AIRLINES GROUP INC CMN		x	05/15/18	x	
SP	SYMANTEC CORPORATION CMN		x	05/15/18	x	
SP	THE TRAVELERS COMPANIES INC CMN		x	05/15/18	x	
SP	ECOLAD INC. CMN		x	05/15/18	x	

Schedule B - Transactions

SP	THE PROGRESSIVE CORPORATION CHIN	x	05/15/18	x		
SP	CARDINAL HEALTH, INC. CHIN	x	05/15/18	x		
SP	AMERGEN CORPORATION CHIN	x	05/15/18	x		
SP	CINCINNATI FINANCIAL CORP. CHIN	x	05/15/18	x		
SP	UNICOMMUNICATL CORP. INC. CHIN	x	05/15/18	x		
SP	CARNIVAL CORPORATION CHIN	x	05/15/18	x		
SP	DXC TECHNOLOGY COMPANY CHIN	x	05/15/18	x		
SP	MONSTER BEVERAGE CORPORATION CHIN	x	05/15/18	x		
SP	ROTAL CARIBBEAN CRUISES LTD ISIN: LURDOS652B8B	x	05/15/18	x		
SP	SCANA CORP CHIN	x	05/15/18	x		
SP	FLUOR CORPORATION CHIN	x	05/15/18	x		
SP	BEST BUY CO. INC. CHIN	x	05/15/18	x		
SP	STANLEY BLACK & DECKER, INC. CHIN	x	05/15/18	x		
SP	TIME WARNER INC. CHIN	x	05/15/18	x		
SP	EXTRA SPACE STORAGE INC. CHIN	x	05/15/18	x		
SP	DOVER CORPORATION CHIN	x	05/15/18	x		
SP	COTY, INC. CHIN CLASS A	x	05/15/18	x		
SP	AOKS CAPITAL HOLDINGS, LTD. CHIN	x	05/15/18	x		
SP	PERRIGO CO. PLC CHIN	x	05/15/18	x		
SP	LIGO CORPORATION CHIN	x	05/15/18	x		
SP	AMETEK INC (NEW) CHIN	x	05/15/18	x		
SP	EASTMAN CHEMICAL COMPANY CHIN	x	05/15/18	x		
SP	MICHHAZ INDUSTRIES INC. CHIN AMER STOCK	x	05/15/18	x		
SP	INVERNOMONT MINING CORPORATION CHIN	x	05/15/18	x		
SP	MASCO CORPORATION CHIN	x	05/15/18	x		
SP	ROCKWELL COLLINS, INC. CHIN	x	05/15/18	x		
SP	LEAR CORPORATION CHIN	x	05/15/18	x		
SP	ALASKA AIR GROUP INC (DE) HLDGS CHIN	x	05/15/18	x		
SP	INHEREDION INC. CHIN	x	05/15/18	x		
SP	CARMAX, INC. CHIN	x	05/15/18	x		
SP	LABORATORY CORPORATION OF AMER CHIN	x	05/15/18	x		
SP	MERCURY GENERAL CORPORATION CHIN	x	05/15/18	x		
SP	REALTY INCOME CORPORATION CHIN	x	05/15/18	x		
SP	AVALONBay COMMUNITIES INC. CHIN	x	05/15/18	x		
SP	THE WILLIAMS COMPANIES, INC. CHIN	x	05/15/18	x		
SP	UNUM GROUP CHIN	x	05/15/18	x		
SP	HARTFORD FINANCIAL SVCS GROUP CHIN	x	05/15/18	x		
SP	WATERS CORPORATION COMMON STOCK	x	05/15/18	x		
SP	HARLEY-DAVIDSON INC CHIN	x	05/15/18	x		
SP	CROWN HOLDINGS INC CHIN	x	05/15/18	x		
SP	METTLER-TOLLEO INT'L CHIN	x	05/15/18	x		
SP	PPG INDUSTRIES INC CHIN	x	05/15/18	x		
SP	UNIVERSITY OF CONNECTICUT SYSTEM CHIN	x	05/15/18	x		
SP	HARRIS CORP CHIN	x	05/15/18	x		
SP	PINNACLE WEST CAPITAL CORP CHIN	x	05/15/18	x		
SP	FMC CORPORATION CHIN	x	05/15/18	x		
SP	IF INDUSTRIES HOLDINGS, INC. CHIN	x	05/15/18	x		
SP	SEABRA ENERGY CHIN	x	05/15/18	x		
SP	SOUTHERN COPPER CORPORATION CHIN	x	05/15/18	x		
SP	LIBERTY BROADBAND CORP CHIN CLASS A	x	05/15/18	x		
SP	AMERICAN WATER WORKS CO. INC. CHIN	x	05/15/18	x		
SP	VITA FINANCIAL INC CHIN	x	05/15/18	x		
SP	ARTHUR J. GALLAGHER & CO. CHIN	x	05/15/18	x		
SP	MANDINGER GROUP CHIN	x	05/15/18	x		
SP	VARIAN MEDICAL SYSTEMS INC CHIN	x	05/15/18	x		
SP	REINSURANCE GROUP AMER INC CHIN	x	05/15/18	x		
SP	SYNOPSYS INC. CHIN	x	05/15/18	x		
SP	ASPEAN INSURANCE HOLDINGS LTD CHIN	x	05/15/18	x		
SP	KELLOGG COMPANY CHIN	x	05/15/18	x		
SP	TEVARO INC CHIN	x	05/15/18	x		
SP	TEXAS INSTRUMENTS INC. CHIN	x	05/15/18	x		
SP	ADVANCED MICRO DEVICES INC. CHIN	x	05/15/18	x		
SP	AVTERR DENNISON CORPORATION CHIN	x	05/15/18	x		
SP	MOTOROLA SOLUTIONS INC CHIN	x	05/15/18	x		
SP	KELLOGG COMPANY CHIN	x	05/15/18	x		
SP	BALL CORPORATION CHIN	x	05/15/18	x		
SP	MOLSON COORS BREWING CO CHIN CLASS B	x	05/15/18	x		
SP	INTERCONTINENTAL EXCHANGE INC CHIN	x	05/15/18	x		
SP	RANGE RESOURCES CORPORATION CHIN	x	05/15/18	x		
SP	TELEFLEX INC. CHIN	x	05/15/18	x		

Schedule B - Transactions

SP	UNITED STATES STEEL CORP CMN	x	05/15/18	
SP	LEGG PLATT INCORPORATED CMN	x	05/15/18	
SP	LEGG MASON INC CMN	x	05/15/18	
SP	COOPER COMPANIES INC (NEW) CMN	x	05/15/18	
SP	JABIL INC CMN	x	05/15/18	
SP	INTRIXON CORP CMN	x	05/15/18	
SP	KANSAS CITY SOUTHERN CMN	x	05/15/18	
SP	UNITED THERAPEUTICS CORP CMN	x	05/15/18	
SP	FLEXTECH TECHNOLOGIES INC CMN	x	05/15/18	
SP	SPRINT CORPORATION CMN	x	05/15/18	
SP	ARROW ELECTRONICS INC CMN	x	05/15/18	
SP	DCT INDUSTRIAL TRUST INC CMN	x	05/15/18	
SP	WESTLAKE CHEMICAL CORPORATION CMN	x	05/15/18	
SP	COPA HOLDINGS SA CMN CLASS A	x	05/15/18	
SP	LODGEVIN INC CMN	x	05/15/18	
SP	SEBASTIA TECHNOLOGIES HOLDING PC CMN	x	05/15/18	
SP	L BRANDS INC CMN	x	05/15/18	
SP	THOR INDUSTRIES INC CMN	x	05/15/18	
SP	ALLEGION PUBLIC LIMITED COMPANY CMN	x	05/15/18	
SP	PERIMETERMEN INC CMN	x	05/15/18	
SP	OSHKOSH CORPORATION CMN	x	05/15/18	
SP	NCR CORPORATION CMN	x	05/15/18	
SP	PUT/ASP @ 265 EXP 06/22/2018	x	05/15/18	
SP	PUT/ASP @ 262 EXP 07/05/2018	x	05/15/18	
SP	65 SHORT DURATION TAX-FREE FUND INSTITUTIONAL SHARES	x	05/05/18	
SP	PUT/ASP @ 274 EXP 07/19/2018	x	05/07/18	
SP	COCA-COLA COMPANY THE CMN	x	05/15/18	
SP	CHUBB UNITED CMN	x	05/15/18	
SP	TEXAS INSTRUMENTS INC CMN	x	05/15/18	
SP	INDUS ENERGY CORPORATION CMN	x	05/15/18	
SP	STATE STREET CORPORATION (NEW) CMN	x	05/15/18	
SP	PUT/ASP @ 274 EXP 07/27/2018	x	05/15/18	
SP	CISCO SYSTEMS INC CMN	x	05/15/18	
SP	PARKER-HANNIFIN CORP CMN	x	05/15/18	
SP	WEITOWER INC CMN	x	05/15/18	
SP	WEC ENERGY GROUP INC CMN	x	05/15/18	
SP	DTE ENERGY COMPANY CMN	x	05/15/18	
SP	DELTA AIR LINES INC CMN	x	05/15/18	
SP	WALGREENS BOOTS ALLIANCE INC CMN	x	05/15/18	
SP	BOSTON PROPERTIES INC COMMON STOCK	x	05/15/18	
SP	ZIMMER BIOMET HOLDINGS INC	x	05/15/18	
SP	FRANKLIN RESOURCES INC CMN	x	05/15/18	
SP	GENERAL DYNAMICS CORP CMN	x	05/15/18	
SP	BERKSHIRE HATHAWAY INC CLASS B	x	05/15/18	
SP	GENERAL ELECTRIC CO CMN	x	05/15/18	
SP	UNICOIN NATL CORP INC CMN	x	05/15/18	
SP	JOHNSON CONTROLS INTERNATIONAL CMN	x	05/15/18	
SP	TORCHMARK CORPORATION CMN	x	05/15/18	
SP	ENTERGY CORPORATION CMN	x	05/15/18	
SP	ALEXION PHARMACEUTICALS INC CMN	x	05/15/18	
SP	AMERICAN EXPRESS CO CMN	x	05/15/18	
SP	LEMMAR CORPORATION CLASS A	x	05/15/18	
SP	THE WILLIAMS COMPANIES INC CMN	x	05/15/18	
SP	THE GOODFELLOW TIRE & RUBBER CO CMN	x	05/15/18	
SP	STARBUCKS CORP CMN	x	05/15/18	
SP	SIGNATURE BANK CMN	x	05/15/18	
SP	IHS MARKIT LTD CMN	x	05/15/18	
SP	NETFLIX THERAPEUTICS CMN	x	05/15/18	
SP	SEASATTE TECHNOLOGY PICT CMN	x	05/15/18	
SP	JOHNSON & JOHNSON CMN	x	05/15/18	
SP	WESTLAKE PHARMACEUTICALS INC CORP CMN	x	05/15/18	
SP	PATTERSON COMPANIES INC CMN	x	05/15/18	
SP	CNA FINCL CORP CMN	x	05/15/18	
SP	PERINCO CO PLC CMN	x	05/15/18	
SP	SYMANTEC CORPORATION CMN	x	05/15/18	
SP	AT&T INC CMN	x	05/15/18	
SP	PUT/ASP @ 255 EXP 08/17/2018	x	05/25/18	

Schedule B - Transactions

SP	PUT/ASP @ 25% EXP 09/24/2018	x	07/18/18
SP	PUT/ASP @ 272.500 08/23/2018	x	07/18/18
SP	ABOVE INC CMN	x	
SP	JPMORGAN CHASE & CO CMN	x	
SP	MCDONALDS CORP CMN	x	07/18/18
SP	AMGEN INC CMN	x	
SP	NETLIFE INC CMN	x	07/18/18
SP	BROADCOM INC CMN	x	07/18/18
SP	STARBUCKS CORP CMN	x	
SP	APPLIED MATERIALS INC CMN	x	07/18/18
SP	LOCKHEED MARTIN CORPORATION CMN	x	07/18/18
SP	EDON MOBILE CORPORATION CMN	x	07/18/18
SP	PAMPAL HOLDINGS INC CMN	x	07/18/18
SP	FRANKLIN RESOURCES INC CMN	x	07/18/18
SP	CETUS GENIE CORPORATION CMN	x	07/18/18
SP	INTEL CORPORATION CMN	x	07/18/18
SP	ADODE SYSTEMS INC CMN	x	07/18/18
SP	EMerson ELECTRIC CO CMN	x	07/18/18
SP	AETNA INC. CMN	x	07/18/18
SP	INFICON TD. CMN	x	07/18/18
SP	AMERIPRISE FINANCIAL INC CMN	x	07/18/18
SP	COMMODORPHILIPS CMN	x	07/18/18
SP	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	x	07/18/18
SP	PROLOGIS INC CMN	x	07/18/18
SP	STRYKER CORPORATION CMN	x	07/18/18
SP	ELAN INC. CMN	x	07/18/18
SP	MARSH & MCLEWIS INC CMN	x	07/18/18
SP	GENERAL DYNAMICS CORP. CMN	x	07/18/18
SP	INTUITIVE SURGICAL INC CMN	x	07/18/18
SP	UNITED PARCEL SERVICE, INC. CLASS B COMMON STOCK	x	07/18/18
SP	MORGAN STANLEY CMN	x	07/18/18
SP	RED HAT, INC. CMN	x	07/18/18
SP	VALERO ENERGY CORPORATION CMN	x	07/18/18
SP	VERTEX PHARMACEUTICALS INCORPO CMN	x	07/18/18
SP	COLGATE-PALMOLIVE CO CMN	x	07/18/18
SP	CROWN CASTLE INT'L CORP CMN	x	07/18/18
SP	CTIMCAST CORPORATION CMN CLASS A VOTING	x	07/18/18
SP	INTERNATIONAL PAPER CO. CMN	x	07/18/18
SP	WESTERN DIGITAL CORPORATION CMN	x	07/18/18
SP	PUBLICIS ENTERPRISE GROUP HOLDING CO	x	07/18/18
SP	NORFOLK SOUTHERN CORP CMN	x	07/18/18
SP	MARATHON PETROLEUM CORPORATION CMN	x	07/18/18
SP	AMERICAN CORP CLA (NEW) CMN CLASS A	x	07/18/18
SP	FORD MOTOR COMPANY CMN	x	07/18/18
SP	ESTEE LAUDER COS INC CLA CMN CLASS A	x	07/18/18
SP	APTEK PIC CMN	x	07/18/18
SP	AON PLCC CMN	x	07/18/18
SP	MODY'S CORPORATION CMN	x	07/18/18
SP	THERMOFISHER SCIENTIFIC INC CMN	x	07/18/18
SP	SHERWIN-WILLIAMS CO CMN	x	07/18/18
SP	AUTODESK, INC. CMN	x	07/18/18
SP	MCKESSON CORPORATION CMN	x	07/18/18
SP	PROCTER & GAMBLE COMPANY (THE) CMN	x	07/18/18
SP	KUM BRANDS, INC. CMN	x	07/18/18
SP	MODY'S CORPORATION CMN	x	07/18/18
SP	BOEING HOLDINGS INC CMN	x	07/18/18
SP	CONSTELLATION BRANDS INC CMN CLASS A	x	07/18/18
SP	UNION PACIFIC CORP. CMN	x	07/18/18
SP	DOLLAR GENERAL CORPORATION CMN	x	07/18/18
SP	CUMMING INC COMMON STOCK	x	07/18/18
SP	MARATHON OIL CORPORATION CMN	x	07/18/18
SP	CENTENE CORPORATION CMN	x	07/18/18
SP	KINETIC CORPORATION CMN	x	07/18/18
SP	D.B. HORTON, INC. CMN	x	07/18/18
SP	VENISIGN, INC. CMN	x	07/18/18
SP	DAMAHEN CORPORATION CMN	x	07/18/18
SP	SYMPHONY INC CMN	x	07/18/18
SP	ROCKWELL AUTOMATION INC CMN	x	07/18/18

Schedule B - Transactions

SP	KLA-TENCOR CORPORATION CMN		x	07/18/18	x
SP	BROWN-FORMAN CORP CL B CMN CLASS B		x	07/18/18	x
SP	ALUMA INC INCORPORATED CMN		x	07/18/18	x
SP	DISCOVER FINANCIAL SERVICES CMN		x	07/18/18	x
SP	TOTAL SPS SVC INC CMN		x	07/18/18	x
SP	MILLER TOWERS WATSON PLC CMN		x	07/18/18	x
SP	HILTON WORLDWIDE HOLDINGS INC CMN		x	07/18/18	x
SP	ALEXANDRIA REAL ESTATE EQUITIES INC		x	07/18/18	x
SP	PACIFIC INC CMN		x	07/18/18	x
SP	WORKDAY INC CMN CLASS A		x	07/18/18	x
SP	BORGWARDT INC CMN		x	07/18/18	x
SP	HEWLETT PACKARD ENTERPRISE CO CMN		x	07/18/18	x
SP	PITNEY-BOWES INC CMN		x	07/18/18	x
SP	MYLAN INC CMN		x	07/18/18	x
SP	ANTS INC CMN		x	07/18/18	x
SP	UAM RESEARCH CORPORATION CMN		x	07/18/18	x
SP	ANDERSON CMN		x	07/18/18	x
SP	TYSON FOODS INC CLA CMN CLASS A		x	07/18/18	x
SP	NELSEN HULDS PLC CMN		x	07/18/18	x
SP	FIFTH THIRD BANKCORP CMN		x	07/18/18	x
SP	SPECTRUM BRANDS HOLDINGS INC CMN		x	07/18/18	x
SP	ON SEMICONDUCTOR CORPORATION CMN		x	07/18/18	x
SP	LORING CORPORATION CMN		x	07/18/18	x
SP	KRUEGER DR PEPPER INC CMN		x	07/18/18	x
SP	WORLD FUEL SERVICES CORP CMN		x	07/18/18	x
SP	PEOPLES UNITED FINANCIAL INC CMN		x	07/18/18	x
SP	XTRM INC CMN		x	07/18/18	x
SP	DOWNARROW INC CMN		x	07/18/18	x
SP	STECO CORPORATION CMN		x	07/18/18	x
SP	ASIGUS PHARMACEUTICALS INC CMN		x	07/18/18	x
SP	PENTAIR PLC CMN		x	07/18/18	x
SP	OMNICOM GROUP CMN		x	07/18/18	x
SP	STERKKEGOV INC CMN		x	07/18/18	x
SP	AMERIBEN CORPORATION CMN		x	07/18/18	x
SP	DELPHI TECHNOLOGIES PLC CMN		x	07/18/18	x
SP	AUTOMATION INC CMN		x	07/18/18	x
SP	TABLEAU SOFTWARE INC CMN CLASS A		x	07/18/18	x
SP	PERISCOPE PLC CMN		x	07/18/18	x
SP	UNITED RENTALS INC CMN		x	07/18/18	x
SP	QUANTA SERVICES INC CMN		x	07/18/18	x
SP	ENERGY CORP CMN		x	07/18/18	x
SP	EMERGENX CORP CMN		x	07/18/18	x
SP	SHIRT REGENCY CAPITAL INC CMN		x	07/18/18	x
SP	REAPER TECHNOLOGIES INC CMN		x	07/18/18	x
SP	ATLASSIAN CORPORATION PLC CMN CLASS A		x	07/18/18	x
SP	APENGY CORP CMN		x	07/18/18	x
SP	HALLIBURTON COMPANY CMN		x	07/18/18	x
SP	HAST SOLAR INC CMN		x	07/18/18	x
SP	CAL ROBINSON WORLDWIDE INC CMN		x	07/18/18	x
SP	YANDELL INC CMN		x	07/18/18	x
SP	TOLL BROTHERS INC CMN		x	07/18/18	x
SP	CHARLES RAY LABS INTL INC CMN		x	07/18/18	x
SP	PPG INDUSTRIES INC CMN		x	07/18/18	x
SP	ANNETTAM PHARMACEUTICALS INC CMN		x	07/18/18	x
SP	TESTRONIC MODEL CMN		x	07/18/18	x
SP	LOGMEIN INC CMN		x	07/18/18	x
SP	NCR CORPORATION CMN		x	07/18/18	x
SP	GUIDEWIRE SOFTWARE INC CMN		x	07/18/18	x
SP	WPP ENERGY INC CMN		x	07/18/18	x
SP	CABOT OIL & GAS CORPORATION CMN		x	07/18/18	x
SP	TERTRONIC MODEL CMN		x	07/18/18	x
SP	PERSPECTIVE INC CMN		x	07/18/18	x
SP	PUT/ASP @ 274 EXP 07/27/2018		x	07/18/18	x
SP	PUT/ASP @ 277 EXP 09/24/2018		x	07/18/18	x
SP	PUT/ASP @ 273 EXP 09/27/2018		x	08/04/18	x
SP	PUT/ASP @ 283 EXP 09/14/2018		x	08/06/18	x
SP	FACEBOOK INC CMN CLASS A		x	08/21/18	x
SP	NETERA ENERGY INC CMN		x	08/24/18	x

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SP	SAGE THERAPEUTICS, INC CMN		X	08/21/18	X
SP	HANSEBANDS INC. CMN		X	08/21/18	X
SP	ALLEGHENY PLC CMN		X	08/21/18	X
SP	NRG ENERGY, INC. CMN		X	08/21/18	X
SP	OWENS CORNING CMN		X	08/21/18	X
SP	PIONEER NATURAL RESOURCES CO CMN		X	08/21/18	X
SP	EAST WEST BANKCORP INC CMN		X	08/21/18	X
SP	BANK OF AMERICA CORP CMN		X	08/21/18	X
SP	ACADIA PHARMACEUTICALS, INC. CMN		X	08/21/18	X
SP	NORTHROP GRUMMAN CORP CMN		X	08/21/18	X
SP	EXACT SCIENCES CORP CMN		X	08/21/18	X
SP	EDWARDS INC. CMN		X	08/21/18	X
SP	INVENT ELECTRIC PUBLIC LIMITED COMPANY		X	08/21/18	X
SP	CONCHO RESOURCES INC. CMN		X	08/21/18	X
SP	ALLY FINANCIAL INC. CMN		X	08/21/18	X
SP	MARVELL TECHNOLOGY GROUP LTD. CMN		X	08/21/18	X
SP	BLANKUNTED INC CMN		X	08/21/18	X
SP	SERVICEONE INC CMN		X	08/21/18	X
SP	LABORATORY CORPORATION OF AMER CMN		X	08/21/18	X
SP	ETRADE FINANCIAL CORPORATION CMN		X	08/21/18	X
SP	VISTRA ENERGY CORP. CMN		X	08/21/18	X
SP	TIME TWO INTERACTIVE SOFTWARE INC		X	08/21/18	X
SP	TESARIO INC CMN		X	08/21/18	X
SP	ALNYLAM PHARMACEUTICALS, INC. CMN		X	08/21/18	X
SP	PUT/ASR ② 285 EXP 10/05/2018		X	08/29/18	X
SP	PUT/ASR ② 278 EXP 11/16/2018		X	09/06/18	X
SP	ONEOK INC CMN		X	09/11/18	X
SP	ONEOK INC CMN		X	09/11/18	X
SP	PUT/ASR ② 283 EXP 10/26/2018		X	09/13/18	X
SP	AMTERO MIDSTREAM GP LP CMN		X	09/14/18	X
SP	SENGROUP CORPORATION CMN CLASS A		X	09/14/18	X
SP	SENGROUP CORPORATION CMN CLASS A		X	09/14/18	X
SP	THE WILLIAMS COMPANIES, INC. CMN		X	09/17/18	X
SP	THE WILLIAMS COMPANIES, INC. CMN		X	09/17/18	X
SP	VALERO ENERGY PARTNERS LP CMN		X	09/19/18	X
SP	PUT/ASR ② 286 EXP 11/02/2018		X	09/20/18	X
SP	CITIGROUP INC CMN		X	09/25/18	X
SP	BERKSHIRE HATHAWAY INC. CLASS B		X	09/25/18	X
SP	TEAS INSTRUMENTS INC. CMN		X	09/25/18	X
SP	WELLS FARGO & CO (NEW) CMN		X	09/25/18	X
SP	PMC FINANCIAL SERVICES GROUP CMN		X	09/25/18	X
SP	PEPSICO, INC. CMN		X	09/25/18	X
SP	ALPHABET INC. CMN CLASS C		X	09/25/18	X
SP	THE BANK OF NY MELLON CORP CMN		X	09/25/18	X
SP	THE SOUTHERN CO. CMN		X	09/25/18	X
SP	ABOVE IN CMN		X	09/25/18	X
SP	SAB GLOBAL INC CMN		X	09/25/18	X
SP	GENERAL MOTORS COMPANY CMN		X	09/25/18	X
SP	CHARLES SCHWAB CORPORATION CMN		X	09/25/18	X
SP	INDOCHINA SOUTHERN CORP CMN		X	09/25/18	X
SP	VI COMP CMN		X	09/25/18	X
SP	LENNAR CORPORATION CMN CLASS A		X	09/25/18	X
SP	ALLSTATE CORPORATION COMMON STOCK		X	09/25/18	X
SP	PHILIP MORRIS INT'L INC CMN		X	09/25/18	X
SP	ROPER TECHNOLOGIES INC CMN		X	09/25/18	X
SP	BAXTER INTERNATIONAL INC CMN		X	09/25/18	X
SP	CONSTELLATION BRANDS INC CMN CLASS A		X	09/25/18	X
SP	TE CONNECTIVITY LTD CMN		X	09/25/18	X
SP	ALPHABET INC. CMN CLASS A		X	09/25/18	X
SP	SYNCHRONY FINANCIAL CMN		X	09/25/18	X
SP	AUDIZONE, INC. CMN		X	09/25/18	X
SP	UNITED RENTALS, INC. CMN		X	09/25/18	X

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SP	GENESEE & WYOMING INC, CMN CLASS A		x	x	09/25/18	x	x
SP	BOKING HOLDINGS INC, CMN		x		09/25/18	x	x
SP	HEMETT BACKARD ENTERPRISE CO, CMN		x		09/25/18	x	x
SP	CINTAS CORPORATION, CMN		x		09/25/18	x	x
SP	RED HAT, INC, CMN		x		09/25/18	x	x
SP	WEVERHAUSER COMPANY, CMN		x		09/25/18	x	x
SP	INDIA CORPORATION, CMN		x		09/25/18	x	x
SP	TWENTY-FIRST CENTURY FOX, INC, CMN CLASS B		x		09/25/18	x	x
SP	CONCHO RESOURCES, INC, CMN		x		09/25/18	x	x
SP	DOLLAR TREE STORES, INC, CMN		x		09/25/18	x	x
SP	AT&T INC, CMN		x		09/25/18	x	x
SP	TECHINIFICALS, CMN		x		09/25/18	x	x
SP	SOUTHERN COPPER CORPORATION, CMN		x		09/25/18	x	x
SP	BOSTON PROPERTIES, INC, COMMON STOCK		x		09/25/18	x	x
SP	E*TRADE FINANCIAL CORPORATION, CMN		x		09/25/18	x	x
SP	ONEOK INC, CMN		x		09/25/18	x	x
SP	JACOBS ENGINEERING GRP, CMN		x		09/25/18	x	x
SP	HCA HEALTHCARE, INC, CMN		x		09/25/18	x	x
SP	J.B. HUNT TRANS SVCS, INC, CMN		x		09/25/18	x	x
SP	EDWINIK, INC, REIT		x		09/25/18	x	x
SP	TRACTOR SUPPLY COMPANY, CMN		x		09/25/18	x	x
SP	TESTRON INC, DEL, CMN		x		09/25/18	x	x
SP	OLD DOMINION FIGHTING INC, CMN		x		09/25/18	x	x
SP	PMT CORP, CMN		x		09/25/18	x	x
SP	HELMERICK & PARKE INC, CMN		x		09/25/18	x	x
SP	MARTIN MARLIETTA MATERIALS INC, CMN		x		09/25/18	x	x
SP	XPO LOGISTICS INC, CMN		x		09/25/18	x	x
SP	EDDEMEHL PERSONAL CARE COMPANY, CMN		x		09/25/18	x	x
SP	WYNN RESORTS, LIMITED, CMN		x		09/25/18	x	x
SP	VENISK ANALYTICS, INC, CMN		x		09/25/18	x	x
SP	A.O. SMITH CORP, DEL, CMN		x		09/25/18	x	x
SP	ZIONS BANK, CMN		x		09/25/18	x	x
SP	PUTTER GROUP INC, CMN		x		09/25/18	x	x
SP	EDGEMILL PERSONAL CARE COMPANY, CMN		x		09/25/18	x	x
SP	WYNNTENTS, INC, CMN		x		09/25/18	x	x
SP	HDI SUPPLY HOLDINGS, INC, CMN		x		09/25/18	x	x
SP	FASTENAL COMPANY, CMN		x		09/25/18	x	x
SP	CLIGENE CORPORATION, CMN		x		09/25/18	x	x
SP	EXTRA SPACE STORAGE INC, CMN		x		09/25/18	x	x
SP	COSTAR GROUP, INC, CMN		x		09/25/18	x	x
SP	AM LEASE CORP, CMN		x		09/25/18	x	x
SP	MICHAEL KORS HOLDINGS LIMITED, CMN		x		09/25/18	x	x
SP	PUBLIC STORAGE, CMN		x		09/25/18	x	x
SP	PACKAGING CORP OF AMERICA, COMMON STOCK		x		09/25/18	x	x
SP	WESCO INTERNATIONAL INC, CMN		x		09/25/18	x	x
SP	PATTERSON UTI ENERGY, INC, ORD, CMN		x		09/25/18	x	x
SP	SEAGATE TECHNOLOGY PLC, CMN		x		09/25/18	x	x
SP	FOOT LOCKER, INC, CMN		x		09/25/18	x	x
SP	AKAMAI TECHNOLOGIES, INC, CMN		x		09/25/18	x	x
SP	CARLISLE COS INC, CMN		x		09/25/18	x	x
SP	EXPEDITORS INTERNATIONAL OF WA, CMN		x		09/25/18	x	x
SP	C.H. ROBINSON WORLDWIDE, INC, CMN		x		09/25/18	x	x
SP	MERCADOURINE, INC, CMN		x		09/25/18	x	x
SP	INVEOSCO LTD, CMN		x		09/25/18	x	x
SP	LAC/INTERACTIVECORP, CMN		x		09/25/18	x	x
SP	AEROPATH HOLDINGS NY, ORD, CMN		x		09/25/18	x	x
SP	MONOLITHIC POWER SYSTEMS, INC, CMN		x		09/25/18	x	x
SP	EQI CORPORATION, CMN		x		09/25/18	x	x
SP	PRAXAIR, INC, CMN		x		09/25/18	x	x
SP	COW CORPORATION, CMN		x		09/25/18	x	x
SP	CORE GROUP, INC, CMN CLASS A		x		09/25/18	x	x
SP	DISCOVER FINANCIAL SERVICES, CMN		x		09/25/18	x	x
SP	WYNDHAM HOTELS & RESORTS, INC, CMN		x		09/25/18	x	x
SP	OIL CORPORATION, CMN		x		09/25/18	x	x
SP	ALGO CORPORATION, CMN		x		09/25/18	x	x
SP	GAINHILL LTD, CMN		x		09/25/18	x	x
SP	ZALOW GROUP, INC, CMN SERIES C		x		09/25/18	x	x
SP	HARRIS CORP, CMN		x		09/25/18	x	x
SP	HARRIS CORP, CMN		x		09/25/18	x	x
SP	JAZZ PHARMACEUTICALS PLC, CMN		x		09/25/18	x	x

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SP	XYLEM INC CMN		x	10/27/18	x
SP	QUEST DIAGNOSTICS INCORPORATED CMN		x	10/27/18	x
SP	DELTA AIR LINES INC CMN		x	10/27/18	x
SP	TWILIGHT ONE CMN CLASS A		x	10/27/18	x
SP	ALBION PHARMACEUTICALS INC CMN		x	10/27/18	x
SP	EBAY INC CMN		x	10/27/18	x
SP	PUT/2SP @ 250 EXP 01/29/2019		x	10/29/18	x
SP	VALERO ENERGY PARTNERS LP CMN		x	10/29/18	x
SP	PUT/2SP @ 255 EXP 12/07/2018		x	10/29/18	x
SP	THE HOME DEPOT INC CMN		x	10/29/18	x
SP	CHEVRON CORPORATION CMN		x	11/05/18	x
SP	WALT DISNEY COMPANY THE CMN		x	11/05/18	x
SP	BENSHAM HATHAWAY INC. CLASS B		x	11/05/18	x
SP	SM COMPANY CMN		x	11/05/18	x
SP	CITIGROUP INC CMN		x	11/05/18	x
SP	CATERPILLAR INC (DELAWARE) CMN		x	11/05/18	x
SP	LOCKHEED MARTIN CORPORATION CMN		x	11/05/18	x
SP	ADOREBEI INC CMN		x	11/05/18	x
SP	INT'L BUSINESS MACHINES CORP CMN		x	11/05/18	x
SP	AMAZON.COM INC CMN		x	11/05/18	x
SP	GENERAL ELECTRIC CO CMN		x	11/05/18	x
SP	CONSOLIDATED EDISON INC CMN		x	11/05/18	x
SP	AT&T INC CMN		x	11/05/18	x
SP	AMERIMED INC CMN		x	11/05/18	x
SP	GENERAL MILLS INC CMN		x	11/05/18	x
SP	COLGATE-PALMOLIVE CO CMN		x	11/05/18	x
SP	SAB GLOBAL INC CMN		x	11/05/18	x
SP	BRISTOL-MYERS SQUIBB COMPANY CMN		x	11/05/18	x
SP	ACTIVISION BLIZZARD INC CMN		x	11/05/18	x
SP	HOTELMELLASLL INDUSTRIES SRL CMN CLASS A		x	11/05/18	x
SP	PHILLIPS 66 CMN		x	11/05/18	x
SP	AMERICAN INT'L GROUP INC CMN		x	11/05/18	x
SP	EASTMAN CHEMICAL COMPANY CMN		x	11/05/18	x
SP	INDIA CORPORATION CMN		x	11/05/18	x
SP	CHARLES SCHWAB CORPORATION CMN		x	11/05/18	x
SP	MARATHON PETROLEUM CORPORATION CMN		x	11/05/18	x
SP	ELECTRONICARTS CMN		x	11/05/18	x
SP	AEGAC INCORPORATED CMN		x	11/05/18	x
SP	NETFLIX, INC. CMN		x	11/05/18	x
SP	CELESTE CORPORATION CMN		x	11/05/18	x
SP	HALLIBURTON COMPANY CMN		x	11/05/18	x
SP	FIDELITY NATIONAL TITLE CO INC CMN		x	11/05/18	x
SP	INTUITIVE SURGICAL INC CMN		x	11/05/18	x
SP	CARNIVAL CORPORATION CMN		x	11/05/18	x
SP	VI COMP CMN		x	11/05/18	x
SP	CONSTELLATION BRANDS INC CMN CLASS A		x	11/05/18	x
SP	THE KRAFT HEinz CO CMN		x	11/05/18	x
SP	HECA HEALTHCARE INC CMN		x	11/05/18	x
SP	ALLSTATE CORPORATION COMMON STOCK		x	11/05/18	x
SP	MESS CORPORATION CMN		x	11/05/18	x
SP	DEVON ENERGY CORPORATION (NEW) CMN		x	11/05/18	x
SP	CINTAS CORPORATION CMN		x	11/05/18	x
SP	ALLEGIS GROUP UNLIMITED COMPANY CMN		x	11/05/18	x
SP	BEQUIFAX INC CMN		x	11/05/18	x
SP	MATT BAMES CORPORATION CMN		x	11/05/18	x
SP	TOM BRADY'S INC CMN		x	11/05/18	x
SP	MOTHAWS INDUSTRIES INC COMMON STOCK		x	11/05/18	x
SP	MOBILE ENERGY INC CMN		x	11/05/18	x
SP	ROYAL CARIBBEAN CRUISES LTD ISIN: US0088621068		x	11/05/18	x
SP	MACQUARIE INFRASTRUCTURE COMP CMN		x	11/05/18	x
SP	PVI CORP CMN		x	11/05/18	x
SP	HARRISON FINANCIAL SERVICES GROUP CMN		x	11/05/18	x
SP	ALIEN TECHNOLOGY INC CMN		x	11/05/18	x
SP	LAS VEGAS SANDS CORP CMN		x	11/05/18	x
SP	KELLOGGE COMPANY CMN		x	11/05/18	x
SP	PRUDENTIAL FINANCIAL INC CMN		x	11/05/18	x
SP	CIMAREX ENERGY CO CMN		x	11/05/18	x

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SP	NEWS CORPORATION CMN CLASS A			X	12/10/18			
SP	VALERO ENERGY PARTNERS LP CMN			X	12/10/18			
SP	VANMAN MEDICAL SYSTEMS INC CMN			X	12/10/18			
SP	VERIZON CMN			X	12/10/18			
SP	FORTINET, INC. CMN			X	12/10/18			
SP	PANISLEY ENERGY INC CMN			X	12/10/18			
SP	ZILLION GROUP INC CMN CLASS A			X	12/10/18			
SP	WORLDAY INC CMN			X	12/10/18			
SP	MERCADOLIVE, INC. CMN			X	12/10/18			
SP	HUNTINGTON BANKSHARES INC CORP CMN			X	12/10/18			
SP	FRANKLIN RESOURCES INC CMN			X	12/10/18			
SP	CHENIERE ENERGY, INC. CMN			X	12/10/18			
SP	GRUBHUB INC CMN			X	12/10/18			
SP	SQUARE INC CMN CLASS A			X	12/10/18			
SP	ANNALYST CAPITAL MANAGEMENT INC CMN			X	12/10/18			
SP	HEMLETT PACKARD ENTERPRISE CO CMN			X	12/10/18			
SP	REGIONS FINANCIAL CORPORATION CMN			X	12/10/18			
SP	TEXTRON INC DEL CMN			X	12/10/18			
SP	AIR PRODUCTS & CHEMICALS INC CMN			X	12/10/18			
SP	HUNTSMAN CORPORATION CMN			X	12/10/18			
SP	TOLL BROTHERS INC CMN			X	12/10/18			
SP	AMERISOURCEBERGER CORPORATION CMN			X	12/10/18			
SP	RALPHLAUREN CORP CMN CLASS A			X	12/10/18			
SP	ING PHOTONICS CORPORATION CMN			X	12/10/18			
SP	EATON VANCE CORPORATION CMN			X	12/10/18			
SP	GLOBAL PAYMENTS INC CMN			X	12/10/18			
SP	NETAPP INC CMN			X	12/10/18			
SP	HOTELS & RESORTS INC CMN			X	12/10/18			
SP	NEWROCKANE BIOSCIENCES INC CMN			X	12/10/18			
SP	MICHAEL KORS HOLDINGS LIMITED CMN			X	12/10/18			
SP	CSL CORPORATION CMN			X	12/10/18			
SP	PROLOGIS INC CMN			X	12/10/18			
SP	WPX ENERGY INC CMN			X	12/10/18			
SP	WESTERN DIGITAL CORPORATION CMN			X	12/10/18			
SP	GF INDUSTRIES HOLDINGS INC CMN			X	12/10/18			
SP	ALNYAM PHARMACEUTICALS INC CMN			X	12/10/18			
SP	TAKE TWO INTERACTIVE SOFTWARE INC			X	12/10/18			
SP	AMERICAN EXPRESS CO CMN			X	12/10/18			
SP	BANK OF AMERICA CMN			X	12/10/18			
SP	BLACK KNIGHT INC CMN			X	12/10/18			
SP	QUINNATE RETAILING CMN SERIES A			X	12/10/18			
SP	CERNER CORPORATION CMN			X	12/10/18			
SP	TARGET CORPORATION CMN			X	12/10/18			
SP	BURLINGTON STORES INC CMN			X	12/10/18			
SP	VERISIGN INC CMN			X	12/10/18			
SP	WHIRLPOOL CORP CMN			X	12/10/18			
SP	SYMPATICS INC CMN			X	12/10/18			
SP	OWENS CORNING CMN			X	12/10/18			
SP	UNIPER GERMANY INC CMN CLASS C			X	12/10/18			
SP	JAZZ PHARMACEUTICALS PLC CMN			X	12/10/18			
SP	RANGE RESOURCES CORPORATION CMN			X	12/10/18			
SP	LIBERTY MEDIA GROUP SERIES C LIBERTY MEDIA GROUP			X	12/10/18			
SP	UNILFLAVORS & FRAGRANCE CMN			X	12/10/18			
SP	CON-FAM CORPORATION CMN			X	12/10/18			
SP	BLUERIBBON BIO INC CMN			X	12/10/18			
SP	DEERE & COMPANY CMN			X	12/10/18			
SP	NORDSTROM INC CMN			X	12/10/18			
SP	WHITING PETROLEUM CORPORATION CMN			X	12/10/18			
SP	KYANAN INC CMN			X	12/10/18			
SP	VISTEKON CORPORATION CMN			X	12/10/18			
SP	TARGA RESOURCES CORP CMN			X	12/10/18			
SP	EFAMISPS INC CMN			X	12/10/18			

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SP	WYNDHAM DESTINATIONS, INC. CMN		x	32/10/18		x					
SP	ON SEMICONDUCTOR CORPORATION CMN					x					
SP	ON Semiconductor, A.I. CMN					x					
SP	KOSAKOS ENERGY LTD. CMN					x					
SP	TRANSGEOM LTD. CMN					x					
SP	EXACT SCIENCES CORP. CMN					x					
SP	IRON MOUNTAIN INCORPORATED CMN					x					
SP	OGMA CORPORATION CMN					x					
SP	REVIDEO TECHNOLOGIES INC. CMN					x					
SP	CAMDEN PROPERTY TRUST CMN					x					
SP	ZILLOW GROUP INC. CMN SERIES C					x					
SP	SMA ENERGY COMPANY CMN					x					
SP	GENTEK CORP CMN					x					
SP	CYPRESS SEMICONDUCTOR CORPORATION CMN					x					
SP	BOSTON SCIENTIFIC CORP. COMMON STOCK					x					
SP	ALKERMES PLC CMN					x					
SP	EAGLE MATERIALS INC. CMN					x					
SP	XPO LOGISTICS INC. CMN					x					
SP	GARMIN LTD. CMN					x					
SP	AGNOS PHARMACEUTICALS INC. CMN					x					
SP	SPRINT M&A REI CMN					x					
SP	VALERO ENERGY PARTNERS LP CMN					x					
SP	PUT/ASP @ 250 BP 01/25/2019					x					
SP	VALERO ENERGY PARTNERS LP CMN					x					
SP	VALERO ENERGY PARTNERS LP CMN					x					
SP	VALERO ENERGY PARTNERS LP CMN					x					
SP	VALERO ENERGY PARTNERS LP CMN					x					
SP	VALERO ENERGY PARTNERS LP CMN					x					
SP	VALERO ENERGY PARTNERS LP CMN					x					
SP	VALERO ENERGY PARTNERS LP CMN					x					
SP	VALERO ENERGY PARTNERS LP CMN					x					
SP	VALERO ENERGY PARTNERS LP CMN					x					
SP	VALERO ENERGY PARTNERS LP CMN					x					
SP	PUT/ASP @ 225 EXP 02/03/2019					x					

Schedule B - Transactions

Schedule B - Transactions

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Rohit Khanna

Schedule B - Transactions

Schedule B - Transactions

Schedule B - Transactions

Full Asset Name Ground Segment Name	Type of Transaction	Date of Transaction	Amount of Transaction									
			A	B	C	D	E	F	G	H	I	J
SPOT/DT	Private full name, not ticker symbol.											
DC	Purchase Full Sale	Partial Sale	Capital Gain	\$1,000- \$15,000	\$15,000- \$50,000	\$50,000- \$100,000	\$100,000- \$250,000	\$250,000- \$500,000	\$500,000- \$1,000,000	\$1,000,000- \$2,000,000	\$2,000,000- \$5,000,000	\$5,000,000- Over \$5,000,000
DC	FIRST DATA CORPORATION CMN CLASS A	X	07/12/18	X								
DC	FIRST DATA CORPORATION CMN CLASS A	X	07/15/18	X								
DC	INVESTMENT ENTERTAINMENT INC CMN	X	07/17/18	X								
DC	FIRST DATA CORPORATION CMN CLASS A	X	07/18/18	X								
DC	INPHUSE CORPORATION CMN	X	07/25/18	X								
DC	INNOVIES CORPORATION CMN	X	07/25/18	X								
DC	WPX ENERGY, INC. CMN	X	07/30/18	X								
DC	FIRST DATA CORPORATION CMN CLASS A	X	08/02/18	X								
DC	FIRST DATA CORPORATION CMN CLASS A	X	08/02/18	X								
DC	ECOMAR INC. CMN	X	08/02/18	X								
DC	CONNING INCORPORATED CMN	X	08/02/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/05/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/05/18	X								
DC	FIRST DATA CORPORATION CMN CLASS A	X	08/05/18	X								
DC	PPFENN INC. CMN	X	08/05/18	X								
DC	MOSAIC COMPANY THE CMN	X	08/05/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/05/18	X								
DC	WPX ENERGY INC. CMN	X	08/05/18	X								
DC	MOSAIC COMPANY THE CMN	X	08/05/18	X								
DC	WPX ENERGY INC. CMN	X	08/05/18	X								
DC	MOSAIC COMPANY THE CMN	X	08/05/18	X								
DC	WPX ENERGY INC. CMN	X	08/05/18	X								
DC	MOSAIC COMPANY THE CMN	X	08/05/18	X								
DC	WPX ENERGY INC. CMN	X	08/05/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/05/18	X								
DC	ORACLE CORPORATION CMN	X	08/14/18	X								
DC	BANK OF AMERICA CORP. CMN	X	08/15/18	X								
DC	PEAK TREE POLARIS FOREIGN VALUE FUND INSTITUTIONAL MUTUAL FUND	X	08/17/18	X								
DC	GS SGD PARTNERS INTERNATIONAL OPPORTUNITIES FU CLASS P	X	08/20/18	X								
DC	MERCK & CO. INC. CMN	X	08/22/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/23/18	X								
DC	CONNING INCORPORATED CMN	X	08/24/18	X								
DC	MOSAIC COMPANY THE CMN	X	08/25/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/25/18	X								
DC	PFIZER INC. CMN	X	08/26/18	X								
DC	JP MORGAN CHASE & CO. CMN	X	08/26/18	X								
DC	MICROSOFT CORPORATION CMN	X	08/27/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/27/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/27/18	X								
DC	MOSAIC COMPANY THE CMN	X	08/27/18	X								
DC	PFIZER INC. CMN	X	08/27/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/27/18	X								
DC	CBS CORPORATION CMN CLASS B	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	PFIZER INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	FIRETEC INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	PFIZER INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	FIRETEC INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	PFIZER INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	FIRETEC INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	PFIZER INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	FIRETEC INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	PFIZER INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	FIRETEC INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	PFIZER INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	FIRETEC INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	PFIZER INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	FIRETEC INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	PFIZER INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	FIRETEC INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	PFIZER INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	FIRETEC INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	PFIZER INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	FIRETEC INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	PFIZER INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	FIRETEC INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	PFIZER INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	FIRETEC INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	PFIZER INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	FIRETEC INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	PFIZER INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	FIRETEC INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	PFIZER INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	FIRETEC INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	PFIZER INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	FIRETEC INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	PFIZER INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	FIRETEC INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	PFIZER INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	FIRETEC INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	PFIZER INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	FIRETEC INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	PFIZER INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	FIRETEC INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	PFIZER INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	FIRETEC INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	PFIZER INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	FIRETEC INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	PFIZER INC. CMN	X	08/30/18	X								
DC	DISCOVERY INC. A CMN SERIES A	X	08/30/18	X								
DC	FIRETEC INC. CMN	X	08/30/18	X								

Schedule B - Transactions

Full Asset Name		Type of Transaction	Date of Transaction		Amount of Transaction										Transaction In or Spouse or Dependent Child Ass't over \$1,000,000
SP/DC/IT	Rev. Attrib. Description of Trust				A	B	C	D	E	F	G	H	I	J	K
SP	Promised full name, not later symbol	Purchase	Full Sale	Partial Sale	MM/DD/YY	Capital Gain Greater than \$200	\$1,000- \$15,000-	\$50,000- \$100,000-	\$250,000- \$500,000-	\$500,000- \$1,000,000-	\$1,000,001- \$5,000,000-	\$5,000,001- \$25,000,000-	\$25,000,001- \$50,000,000-	Over \$50,000,000	
SP	SUCCESSOR ASY TO UPLAND COUNTY REV 4,00000\$ 09/01/19 MS		X		12/09/18										
SP	NEW YORK CONVENTION CTR DEV REV 5K 11/15/18 MN		X		01/12/18										
SP	CHANGER CA COUNTY PCS DST SP/ TX REV A,00000\$ 10/01/18 CA AD		X		04/21/18										
SP	DOM. AUTH OF THE ST. OF NY REV 5,12500\$ 07/01/18 CA JU		X		01/12/18										
SP	NY STATE THRUWAY AUTH REV 5,00000\$ 05/01/19 JU		X		01/12/18										
SP	THE CITY OF NEW YORK GO 5,0000%		X		01/12/18										
SP	08/01/24 FA														
SP	YONKERS NY GO 5% 09/01/23 MS		X		01/12/18										
SP	LOS ANGELES CITY CALIF REDEV NY REV 5,0000\$ 12/01/21 CA JD		X		05/21/18										
SP	NYC CITY MAIN WTR & SWR FDN AUTH REV 5,0000\$ 05/15/28 CA JD		X		05/29/18										
SP	TOMPKINS CNTY NY DEV CORP REV REV 5,0000\$ 05/15/28 CA JD		X		05/29/18										
SP	NORTHEAST OHIO REG. SWR DIST REV 4% 12/15/20 MN		X		05/29/18										
SP	INVER HHS COUNTY FCS DST SPL TX REV 3,0000\$ 09/01/22 CA MS		X		05/29/18										
SP	TRUNK BRIDGE & TUNLAATH NY REV REV 5,0000\$ 05/15/28 MN		X		10/13/18										

SCHEDULE D – LIABILITIES

Rohit Khanna

Name: Rohit Khanna

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a **revolving charge account** (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Use additional sheets if more space is required.

SCHEDULE H – TRAVEL PAYMENTS and REIMBURSEMENTS

Name: Rohit Khanna

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$300 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.						
EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 6 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.						
	Source	Date(s)	City of Departure-Destination-City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
Employee:	Government of China (MECEA) Habitat for Humanity (donor funded)	AUG 6-11 Mar. 3-4	DC-BEIJING, China-DC DC-Baltimore-DC	Y Y	Y Y	N Y
	Progressive Congress	FEB. 3-5	DC-Baltimore-DC	N	N	N

Use additional sheets if more space is required.