



Filing ID #10044902

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Kathy Manning  
**Status:** Member  
**State/District:** NC06

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2021  
**Filing Date:** 08/12/2022

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Fidelity VIP Government Money Market Portfolio [BA] DESCRIPTION: Ownership Transfer	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Emerging Markets Fund Series [OP] DESCRIPTION: Hedge fund. Fund manager letter on file with the Committee on Ethics. Ownership Transfer	SP	\$100,001 - \$250,000	None		<input type="checkbox"/>
2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Long/Short Equity Fund Series [OT] DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics. Ownership Transfer	SP	\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>
2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Multi-Strategy Fund Series [OT] DESCRIPTION: Investment Company. Fund manager letter on file with the Committee on Ethics. Ownership Transfer	SP	\$500,001 - \$1,000,000	None		<input type="checkbox"/>
2021 Kathy E. Manning Irrevocable GST Trust FBO Randall	SP	\$100,001 -	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
R Kaplan & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Strategic Equity Fund Series [OT]		\$250,000			<input type="checkbox"/>
DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics. Ownership Transfer					
Bedford Properties, LLC ⇒ Investment in Industrial Rental Property [RP]	SP	Spouse/DC Over \$1,000,000	Partnership Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Greensboro, NC, US					
Certificate of Deposit Account Registry Service [BA]	JT	Spouse/DC Over \$1,000,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Adobe Inc. (ADBE) [ST]	JT	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Align Technology, Inc. (ALGN) [ST]	JT	\$50,001 - \$100,000	None		<input type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	JT	None	None		<input type="checkbox"/>
DESCRIPTION: Sold in Oct. 2020. Value on CY 2020 report should be none.					
Charles Schwab - Edgewood Management LLC ⇒ Amazon.com, Inc. (AMZN) [ST]	JT	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ American Tower Corporation (AMT) [ST]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Charles Schwab - Cash [BA]	JT	\$15,001 - \$50,000	None		<input type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Chipotle Mexican Grill, Inc. (CMG) [ST]	JT	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ CME Group Inc. - Class A (CME) [ST]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Danaher Corporation (DHR) [ST]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Ecolab Inc. (ECL) [ST]	JT	None	None		<input type="checkbox"/>
DESCRIPTION: Sold in Oct. 2020. Value on CY 2020 report should be none.					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Charles Schwab - Edgewood Management LLC ⇒ Equinix, Inc. (EQIX) [ST]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Estee Lauder Companies, Inc. (EL) [ST]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ IHS Markit Ltd. Common Shares (INFO) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Illumina, Inc. (ILMN) [ST]	JT	\$100,001 - \$250,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Intuit Inc. (INTU) [ST]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Intuitive Surgical, Inc. (ISRG) [ST]	JT	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Match Group, Inc. (MTCH) [ST]	JT	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Meta Platforms, Inc. - Class A (FB) [ST] DESCRIPTION: Formerly reported as Facebook, Inc. - Class A (FB)	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Microsoft Corporation (MSFT) [ST]	JT	\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Netflix, Inc. (NFLX) [ST]	JT	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Nike, Inc. (NKE) [ST]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ NVIDIA Corporation (NVDA) [ST]	JT	\$100,001 - \$250,000	Capital Gains	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ S&P Global Inc. (SPGI) [ST]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒	JT	\$100,001 -	Capital Gains	\$15,001 -	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Snap Inc. Class A (SNAP) [ST]		\$250,000		\$50,000	<input type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Visa Inc. (V) [ST]	JT	\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DVS Limited Partnership ⇒ Investment in Residential Properties [RP] LOCATION: Greensboro, NC, US	SP	\$50,001 - \$100,000	Partnership Income	\$5,001 - \$15,000	<input type="checkbox"/>
Ehrenkranz Partners, LP ⇒ Acquisition Fund 2006 L.P. [HE]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Ehrenkranz Partners, LP ⇒ Acquisition Fund 2007 L.P. [HE]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Ehrenkranz Partners, LP ⇒ Acquisition Fund III L.P. [HE]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Ehrenkranz Partners, LP ⇒ Acquisition Fund IV L.P. [HE]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Ehrenkranz Partners, LP ⇒ Acquisition Fund V L.P. [HE]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Ehrenkranz Partners, LP ⇒ Overseas Acquisition Fund I, L.P. [HE]	SP	None	None		<input type="checkbox"/>
Ehrenkranz Partners, LP ⇒ Overseas Acquisition Fund II L.P. [HE]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Ehrenkranz Partners, LP ⇒ Overseas Acquisition Fund III L.P. [HE]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Ehrenkranz Partners, LP ⇒ Overseas Acquisition Fund IV L.P. [HE]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Ehrenkranz Partners, LP ⇒ Real Estate Acquisition Fund L.P. [HE]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Ehrenkranz Partners, LP ⇒ Venture Fund L.P. [HE]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Elm Street Hotel II ⇒ Elm Street Hotel, LLC [RP]	SP	Spouse/DC Over \$1,000,000	Partnership Income	\$5,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LOCATION: Greensboro, NC, US DESCRIPTION: Commercial Real Estate Investment- hotel in downtown Greensboro.					
Elm Street Technology Holdings, LLC [OL]	SP	Spouse/DC Over \$1,000,000	Partnership Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Greensboro, NC, US DESCRIPTION: Internet Software Company					
Guerilla RF, Inc. [PS]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Chip design company					
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ Bank of America Corporation (BAC) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ Clearbridge Large Cap Growth I (SBLYX) [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ Consumer Staples Select Sector SPDR (XLP) [EF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]	SP	\$100,001 - \$250,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ Health Care Select Sector SPDR (XLV) [EF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ Invesco Exchange - Traded FD TR II PFD ETF (PGX) [EF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares 0-5 Years TIPS Bond ETF (STIP) [EF]	SP	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Blackrock Ultra (ICSH) [EF]	SP	\$500,001 - \$1,000,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
iShares Core MSCI Emerging Markets Index (IEMG) [EF]					
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Floating Rate NT ETF (FLOT) [EF]	SP	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2021 Term Corporate ETF (IBDM) [EF]	SP	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2022 Term Corporate ETF (IBDN) [EF]	SP	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2023 Term Corporate ETF (IBDO) [EF]	SP	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares MSCI USA Min Vol (USMV) [EF]	SP	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 Index Fund (IWB) [EF]	SP	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF]	SP	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 2000 Growth ETF (IWO) [EF]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell Mid-Cap Growth ETF (IWP) [EF]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 (IVV) [EF]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Growth EFT (IVW) [EF]	SP	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Trust United States Treasury (TIP) [EF]	SP	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ Select Sector SPDR Trust Technology (XLK) [EF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ SPDR Bloomberg Barclays (CWB) [EF]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ Utilities Select Sector SPDR Fund (XLU) [EF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Abbott Laboratories (ABT) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ AbbVie Inc. (ABBV) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Air Products and Chemicals, Inc. (APD) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Amgen Inc. (AMGN) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Aon plc Class A Ordinary Shares (AON) [ST]					
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Apple Inc. (AAPL) [ST]	SP	\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Caterpillar, Inc. (CAT) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Centene Corporation (CNC) [ST]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Chubb Limited (CB) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Citizens Financial Group, Inc. (CFG) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ CME Group Inc. - Class A (CME) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Estee Lauder Companies, Inc. (EL) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Expeditors International of Washington, Inc. (EXPD) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Fidelity Treasury Money Market Fund (FZFX) [MF]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ General Mills, Inc. (GIS) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Home Depot, Inc. (HD) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>



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Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Intuit Inc. (INTU) [ST]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Johnson & Johnson (JNJ) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Lam Research Corporation (LRCX) [ST]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Lockheed Martin Corporation (LMT) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Micron Technology, Inc. (MU) [ST]	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Microsoft Corporation (MSFT) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Nike, Inc. (NKE) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Packaging Corporation of America (PKG) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Pfizer, Inc. (PFE) [ST]					
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Procter & Gamble Company (PG) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ QUALCOMM Incorporated (QCOM) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Starbucks Corporation (SBUX) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Sysco Corporation (SYN) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ TJX Companies, Inc. (TJX) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Visa Inc. (V) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Walmart Inc. (WMT) [ST]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Walt Disney Company (DIS) [ST]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Amgen Inc. (AMGN) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Apple Inc. (AAPL) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Bank of America Corporation (BAC) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Best Buy Co., Inc. (BBY) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Biogen Inc. (BIIB) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Bristol-Myers Squibb Company (BMY) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Cardinal Health, Inc. (CAH) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Church & Dwight Company, Inc. (CHD) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Cisco Systems, Inc. (CSCO) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Citigroup, Inc. (C) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Eli Lilly and Company (LLY) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ General Mills, Inc. (GIS) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Johnson & Johnson (JNJ) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
DESCRIPTION: Appreciated over \$1,000 in 2021					
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
LLC ⇒ MV Capital - Brokerage #3 ⇒ Kimberly-Clark Corporation (KMB) [ST]					<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Kroger Company (KR) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Leidos Holdings, Inc. (LDOS) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Mastercard Incorporated (MA) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Microsoft Corporation (MSFT) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Morgan Stanley (MS) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Pfizer, Inc. (PFE) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Procter & Gamble Company (PG) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ QUALCOMM Incorporated (QCOM) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Southwest Airlines Company (LUV) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Starbucks Corporation (SBUX) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Sysco Corporation (SYY) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Target Corporation (TGT) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3 ⇒ Visa Inc. (V) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Little Santee-Sandy Ridge, LLC ⇒ Investment in Commercial Real Estate [RP] LOCATION: Greensboro, NC, US DESCRIPTION: Sandy Ridge Road	SP	\$250,001 - \$500,000	None		<input type="checkbox"/>
Manning Family LP ⇒ Investment Property [RP] LOCATION: San Antonio, TX, US		\$15,001 - \$50,000	Partnership Income	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ Artisan Small Cap Fund Advisor (APDSX) [MF] DESCRIPTION: Purchased & sold in 2021	SP	None	None		<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ Clearbridge Large Cap Growth I (SBLYX) [MF]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ Consumer Staples Select Sector SPDR (XLP) [EF]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ Ecolab Inc. (ECL) [ST]	SP	\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ Fidelity Blue Chip Growth (FBGRX) [MF]	SP	\$50,001 - \$100,000	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ Health Care SPDR (XLV) [EF]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ Industrial Select Sector SPDR Fund (XLI) [EF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ Invesco Preferred ETF (PGX) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
MV Capital - GST Exempt Trust #1 ⇒ iShares 0-5 Year TIPS Bond ETF (STIP) [EF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ iShares Core S&P 500 ETF (IVV) [ST]	SP	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ iShares Edge MSCI Min Vol USA ETF (USMV) [ST]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2021 Term Corporate ETF (IBDM) [ST]	SP	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2022 Term Corporate ETF (IBDN) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2023 Term Corporate ETF (IBDO) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ iShares MSCI China ETF (MCHI) [EF]	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ iShares Russell 1000 Value ETF (IWD) [ST]	SP	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ iShares Russell Mid-Cap Growth ETF (IWP) [EF]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ iShares S&P 500 Growth ETF (IVW) [ST]	SP	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ iShares S&P 500 Value ETF (IVE) [ST]	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ iShares TR Asia 50 ETF (AIA) [EF]	SP	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Purchased & sold in 2021					
MV Capital - GST Exempt Trust #1 ⇒ iShares TR EAFE Growth EFT (EFG) [EF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
MV Capital - GST Exempt Trust #1 ⇒ iShares TR Russell Mid Cap ETF (IWR) [EF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [ST]	SP	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ iShares Trust United States Treasury (TIP) [EF]	SP	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ iShares Ultra Short-Term Bond ETF (ICSH) [ST]	SP	\$100,001 - \$250,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ Materials Select Sector SPDR (XLB) [EF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ Morgan Stanley Intl Advantage CL I (MFAIX) [MF]	SP	\$50,001 - \$100,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ SPDR Bloomberg Barclays Convertible Securities ETF (CWB) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ SPDR Select Sector Fund - Technology (XLK) [EF]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ SPDR Select Sector Fund - Utilities (XLU) [EF]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Abbott Laboratories (ABT) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ AbbVie Inc. (ABBV) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Air Products and Chemicals, Inc. (APD) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Alphabet Inc. - Class C Capital Stock (GOOG) [ST]					
MV Capital - GST Exempt Trust #2 ⇒ Amgen Inc. (AMGN) [ST]	SP	\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Aon plc Class A Ordinary Shares (AON) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Partial sale less than \$1,000					
MV Capital - GST Exempt Trust #2 ⇒ Apple Inc. (AAPL) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Caterpillar, Inc. (CAT) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Centene Corporation (CNC) [ST]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Chubb Limited (CB) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Citizens Financial Group, Inc. (CFG) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ CME Group Inc. - Class A (CME) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Estee Lauder Companies, Inc. (EL) [ST]	SP	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Partial sale less than \$1,000					
MV Capital - GST Exempt Trust #2 ⇒ Expeditors International of Washington, Inc. (EXPD) [ST]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ General Mills, Inc. (GIS) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Home Depot, Inc. (HD) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒	SP	None	Capital Gains,	\$1,001 -	<input checked="" type="checkbox"/>



Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Intel Corporation (INTC) [ST]			Dividends	\$2,500	<input type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Intuit Inc. (INTU) [ST]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Johnson & Johnson (JNJ) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Lam Research Corporation (LRCX) [ST]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Lockheed Martin Corporation (LMT) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Micron Technology, Inc. (MU) [ST]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Microsoft Corporation (MSFT) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Nike, Inc. (NKE) [ST]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Packaging Corporation of America (PKG) [ST]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ PayPal Holdings, Inc. (PYPL) [ST]	SP	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Partial sale less than \$1,000					
MV Capital - GST Exempt Trust #2 ⇒ Pfizer, Inc. (PFE) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Procter & Gamble Company (PG) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ QUALCOMM Incorporated (QCOM) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
MV Capital - GST Exempt Trust #2 ⇒ Starbucks Corporation (SBUX) [ST]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Sysco Corporation (SYF) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ TJX Companies, Inc. (TJX) [ST] DESCRIPTION: Partial sale less than \$1,000	SP	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Visa Inc. (V) [ST]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Walmart Inc. (WMT) [ST]	SP	\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Walt Disney Company (DIS) [ST] DESCRIPTION: Partial sale less than \$1,000	SP	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ Artisan Small Cap Fund Advisor (APDSX) [MF] DESCRIPTION: Purchased & sold in 2021		None	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ Clearbridge Large Cap Growth I (SBLYX) [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ Consumer Staples Select Sector SPDR (XLP) [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ Delaware Value Fund Institutional (DDVIX) [MF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ Fidelity Blue Chip Growth (FBGRX) [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ Franklin Convertible Securities Advisor (FCSZX) [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒		\$50,001 -	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Health Care Select Sector SPDR (XLV) [EF]		\$100,000			<input type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ Invesco Exchange - Traded FD TR II PFD ETF (PGX) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ iShares o-5 Year TIPS Bond ETF (STIP) [EF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ iShares Core S&P 500 ETF (IVV) [ST]		\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ iShares Edge MSCI Min Vol USA ETF (USMV) [ST]		None	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ iShares Inc Core MSCI Emerging Mkts ETF (IEMG) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ iShares Russell 1000 Growth ETF (IWF) [ST]		\$250,001 - \$500,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ iShares Russell 2000 ETF (IWM) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ iShares Russell 2000 Growth ETF (IWO) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ iShares S&P 500 Value ETF (IVE) [ST]		None	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ iShares TR Asia 50 ETF (AIA) [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Purchased & sold in 2021					
MV Capital - KEM Roth IRA #1 ⇒ iShares TR EAFE Growth EFT (EFG) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ iShares TR MSCI China ETF (MCHI) [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ iShares TR Rus Mid Cap GR ETF (IWP) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
MV Capital - KEM Roth IRA #1 ⇒ iShares TR Russell Mid Cap ETF (IWR) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [ST]		None	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ iShares Trust Convertible Bond ETF (ICVT) [EF]  DESCRIPTION: Purchased & sold in 2021		None	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ iShares Trust Core MSCI EAFE ETF (IEFA) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ iShares Trust MSCI EMG Mkts ETF (EEM) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ iShares Trust United States Treasury (TIP) [EF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ Morgan Stanley Intl Advantage CL I (MFAIX) [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ Select Sector SPDR Trust Technology (XLK) [EF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ SPDR Bloomberg Barclays Convertible Securities ETF (CWB) [ST]		None	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ Utilities Select Sector SPDR Fund (XLU) [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - KEM Roth IRA #2 ⇒ iShares S&P 500 Growth ETF (IVW) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ Alger Mid Cap Focus CL I (AFOIX) [EF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ Blue Ridge Bankshares, Inc. (BRBS) [ST]	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ Clearbridge Large Cap Growth I (SBLYX) [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
MV Capital - RRK Roth IRA #1 ⇒ Consumer Staples Select Sector SPDR (XLP) [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ Delaware Value Fund Institutional (DDVIX) [MF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ Fidelity Blue Chip Growth (FBGRX) [EF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ Franklin Convertible Securities Advisor (FCSZX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ Health Care SPDR (XLV) [EF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ Invesco Exchange - Traded FD TR II PFD ETF (PGX) [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ iShares 0-5 Year TIPS Bond ETF (STIP) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ iShares Core S&P 500 ETF (IVV) [ST]	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ iShares Edge MSCI Min Vol USA ETF (USMV) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ iShares Floating Rate Bond ETF (FLOT) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ iShares Inc Core MSCI Emerging Mkts ETF (IEMG) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF]	SP	\$250,001 - \$500,000	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ iShares Russell 2000 ETF (IWM) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒	SP	\$100,001 -	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
iShares S&P 500 Growth ETF (IVW) [ST]		\$250,000			<input type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ iShares S&P 500 Value ETF (IVE) [ST]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ iShares S&P Small-Cap 600 Growth ETF (IJT) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ iShares TR Asia 50 ETF (AIA) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ iShares TR EAFE Growth EFT (EFG) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ iShares TR MSCI China ETF (MCHI) [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ iShares TR Rus Mid Cap GR ETF (IWP) [EF] DESCRIPTION: Purchased & sold in 2021	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ iShares Treasury Floating Rate (TFLO) [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ iShares Trust Convertible Bond ETF (ICVT) [EF] DESCRIPTION: Purchased & sold in 2021	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ iShares Trust Core MSCI EAFE ETF (IEFA) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ iShares Trust United States Treasury (TIP) [EF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ JPMorgan Emerging Mkts Equity Class I (JEMSX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ Morgan Stanley Intl Advantage CL I (MFAIX) [MF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ Select Sector SPDR Trust Technology (XLK) [EF]	SP	\$100,001 - \$250,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SPDR Bloomberg Barclays Convertible Securities ETF (CWB) [ST]					
MV Capital - RRK Roth IRA #1 ⇒ Upper90 Fund II LP [HE]	SP	\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ Utilities Select Sector SPDR Fund (XLU) [EF]	SP	None	Tax-Deferred		<input checked="" type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ Vanguard REIT ETF (VNQ) [ST]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - RRK Roth IRA #3 ⇒ Ally Financial Inc. (ALLY) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - RRK Roth IRA #3 ⇒ Ameriprise Financial, Inc. (AMP) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - RRK Roth IRA #3 ⇒ Amgen Inc. (AMGN) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - RRK Roth IRA #3 ⇒ Bank of America Corporation (BAC) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - RRK Roth IRA #3 ⇒ Best Buy Co., Inc. (BBY) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - RRK Roth IRA #3 ⇒ Caterpillar, Inc. (CAT) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - RRK Roth IRA #3 ⇒ Citigroup, Inc. (C) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - RRK Roth IRA #3 ⇒ Eli Lilly and Company (LLY) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - RRK Roth IRA #3 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - RRK Roth IRA #3 ⇒ Lam Research Corporation (LRCX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - RRK Roth IRA #3 ⇒ MetLife, Inc. (MET) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
MV Capital - RRK Roth IRA #3 ⇒ NXP Semiconductors N.V. (NXPI) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - RRK Roth IRA #3 ⇒ Pfizer, Inc. (PFE) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - RRK Roth IRA #3 ⇒ QUALCOMM Incorporated (QCOM) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - RRK Roth IRA #3 ⇒ Starbucks Corporation (SBUX) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - RRK Roth IRA #3 ⇒ Union Pacific Corporation (UNP) [ST]	SP	\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - RRK Roth IRA #3 ⇒ Voya Financial, Inc. (VOYA) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
MV Capital - RRK Roth IRA #3 ⇒ Western Union Company (WU) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Northern Trust Company [DB]	SP	Undetermined	Tax-Deferred		<input type="checkbox"/>
OurCrowd ⇒ Consumer Physics [OL]  LOCATION: Herzliya, IL DESCRIPTION: Advanced optical spectrometer	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Piedmont Angel Networks ⇒ AvidXchange [OL]  LOCATION: Charlotte, NC, US DESCRIPTION: Accounting Solutions	SP	\$250,001 - \$500,000	None		<input type="checkbox"/>
Piedmont Angel Networks ⇒ OptiVia Medical, LLC [OL]  LOCATION: Winston-Salem, NC, US DESCRIPTION: Medical Supply Store	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Piedmont Angel Networks ⇒ Piedmont Pharmaceuticals [OL]  LOCATION: Greensboro, NC, US DESCRIPTION: Pharmaceutical company	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>



Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Piedmont Angel Networks ⇒ Sensory Analytics [OL]  LOCATION: Greensboro, NC, US DESCRIPTION: Development of Sensory Solutions	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
Piedmont Angel Networks ⇒ SpermCheck f/k/a ContraVac [OL]  LOCATION: Charlottesville, VA, US DESCRIPTION: Medical Research	SP	None	None		<input type="checkbox"/>
Piedmont Angel Networks II ⇒ Protochips [OL]  LOCATION: Morrisville, NC, US DESCRIPTION: Electronics Research Company	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Pinnacle Bank Accounts [BA]  DESCRIPTION: Cash & Equivalents- Checking & Savings	JT	\$250,001 - \$500,000	Interest	\$1 - \$200	<input type="checkbox"/>
Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Fidelity VIP Government Money Market Portfolio [BA]		\$250,001 - \$500,000	None		<input type="checkbox"/>
Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Emerging Markets Fund Series [OL]  LOCATION: New York, NY, US DESCRIPTION: Hedge fund. Fund manager letter on file with the Committee on Ethics.		\$500,001 - \$1,000,000	None		<input type="checkbox"/>
Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Long/Short Equity Fund Series [OL]  LOCATION: New York, NY, US DESCRIPTION: Hedge fund. Fund manager letter on file with the Committee on Ethics.		\$5,000,001 - \$25,000,000	None		<input type="checkbox"/>
Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Multi-Strategy Fund Series [OL]  LOCATION: New York, NY, US DESCRIPTION: Hedge fund. Fund manager letter on file with the Committee on Ethics.		\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>
Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC ⇒ Seven Bridges Strategic Equity Fund Series [OL]  LOCATION: New York, NY, US DESCRIPTION: Hedge fund. Fund manager letter on file with the Committee on Ethics.		\$1,000,001 - \$5,000,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Real Estate Software Solutions, LLC ⇒ Elm Street Technology LLC [OL]  LOCATION: Greensboro, NC, US DESCRIPTION: Internet Software Company and investment in Real Estate Software Patent	SP	Spouse/DC Over \$1,000,000	Partnership Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
Regent Holdings, LLC ⇒ Investment in Commercial Property [RP]  LOCATION: Greensboro, NC, US	SP	\$500,001 - \$1,000,000	Partnership Income	\$5,001 - \$15,000	<input type="checkbox"/>
Reservoir Capital Partners, LP [OL]  LOCATION: New York, NY, US DESCRIPTION: Private Equity Fund. Fund manager letter on file with the Committee on Ethics.	SP	Spouse/DC Over \$1,000,000	Partnership Income	\$15,001 - \$50,000	<input type="checkbox"/>
RRK Charitable Trust U/A 11/28/00 #2 ⇒ Seven Bridges Long/Short Equity Fund Ltd. [OT]  DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics.	SP	Spouse/DC Over \$1,000,000	Partnership income	\$100,001 - \$1,000,000	<input type="checkbox"/>
RRK Charitable Trust U/A 11/28/00 #2 ⇒ Seven Bridges Multi-Strategy Fund Ltd. [OL]  LOCATION: New York, NY, US DESCRIPTION: Investment Company. Fund manager letter on file with the Committee on Ethics.	SP	Spouse/DC Over \$1,000,000	Partnership Income	\$50,001 - \$100,000	<input type="checkbox"/>
Scientific Associates, LLC ⇒ Patents [IP]  DESCRIPTION: Water Purification & Oxygenation Patents	SP	\$50,001 - \$100,000	None		<input type="checkbox"/>
Stonefield Cellars Winery [OL]  LOCATION: Stokesdale, NC, US DESCRIPTION: Winery	SP	\$15,001 - \$50,000	Partnership Income	\$201 - \$1,000	<input type="checkbox"/>
Tarco Realty, LLC ⇒ Elm Street Center, LLC [RP]  LOCATION: Greensboro, NC, US DESCRIPTION: Owns and operates Commercial Real Estate.	SP	\$500,001 - \$1,000,000	None		<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Clearbridge Large Cap Growth I (SBLYX) [MF]  DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #1		None	Capital Gains, Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Consumer Staples Select Sector SPDR (XLP) [EF]		None	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #1					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]		\$250,001 - \$500,000	None		<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ First TR Exchange-Traded Fund (QCLN) [EF]		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Sold for a loss					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Health Care Select Sector SPDR (XLV) [EF]		None	None		<input type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #1					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Invesco Exchange - Traded FD TR II PFD ETF (PGX) [EF]		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #1					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares 0-5 Year TIPS Bond ETF (STIP) [EF]		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #1					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Blackrock Ultra (ICSH) [EF]		None	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #1					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Core MSCI Emerging Markets Index (IEMG) [EF]		None	None		<input type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #1					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Floating Rate Bond ETF (FLOT) [EF]		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #1					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2021 Term Corporate ETF (IBDM) [EF]		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #1					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2022 Term Corporate ETF (IBDN) [EF] DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #1		None	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2023 Term Corporate ETF (IBDO) [EF] DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #1		None	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares MSCI USA Min Vol (USMV) [EF] DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #1		None	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 Index Fund (IWB) [EF] DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #1		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF] DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #1		None	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell 2000 Growth ETF (IWO) [EF] DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #1		None	None		<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Russell Mid-Cap Growth ETF (IWP) [EF] DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #1		None	None		<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 (IVV) [EF] DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #1		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares S&P 500 Growth ETF (IVW) [EF] DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #1		None	Dividends	\$201 - \$1,000	<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒		None	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
iShares TR GL Clean Energy ETF (ICLN) [EF]					
DESCRIPTION: Purchased & sold for a loss in 2021					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Treasury Floating Rate (TFLO) [EF]	None		Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Trust United States Treasury (TIP) [EF]					
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #1					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Pinnacle Financial Partners (PNFP) [EF]	None	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Select Sector SPDR Trust Technology (XLK) [EF]					
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #1					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ SPDR Bloomberg Barclays (CWB) [EF]	None		Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #1					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ Utilities Select Sector SPDR Fund (XLU) [EF]					
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #1					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Abbott Laboratories (ABT) [ST]	None		Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ AbbVie Inc. (ABBV) [ST]	None		Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]	None		Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ <b>Air Products and Chemicals, Inc. (APD) [ST]</b> DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ <b>Alphabet Inc. - Class A (GOOGL) [ST]</b> DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2		None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ <b>Alphabet Inc. - Class C Capital Stock (GOOG) [ST]</b> DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ <b>Amgen Inc. (AMGN) [ST]</b> DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2		None	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ <b>Aon plc Class A Ordinary Shares (AON) [ST]</b> DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ <b>Apple Inc. (AAPL) [ST]</b> DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2		None	Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ <b>Caterpillar, Inc. (CAT) [ST]</b> DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ <b>Centene Corporation (CNC) [ST]</b> DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2		None	None		<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ <b>Chubb Limited (CB) [ST]</b> DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Citizens Financial Group, Inc. (CFG) [ST]					
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ CME Group Inc. - Class A (CME) [ST]	None		Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Estee Lauder Companies, Inc. (EL) [ST]	None		Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Expeditors International of Washington, Inc. (EXPD) [ST]	None		None		<input checked="" type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]	None		None		<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Fidelity Treasury Money Market Fund (FZFX) [MF]	None		None		<input type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ General Mills, Inc. (GIS) [ST]	None		Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Home Depot, Inc. (HD) [ST]	None		Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Intel Corporation (INTC) [ST]	None		Capital Gains, Dividends	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Intuit Inc. (INTU) [ST]	None		Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ <b>Johnson &amp; Johnson (JNJ)</b> [ST]  DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ <b>Johnson &amp; Johnson (JNJ)</b> [ST]  DESCRIPTION: combined tax lots in 2021		None	None		<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ <b>JP Morgan Chase &amp; Co. (JPM)</b> [ST]  DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2		None	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ <b>Lam Research Corporation (LRCX)</b> [ST]  DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2		None	None		<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ <b>Lockheed Martin Corporation (LMT)</b> [ST]  DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2		None	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ <b>Medtronic plc. Ordinary Shares (MDT)</b> [ST]  DESCRIPTION: Partial sale less than \$1,000 (Apr 2021); Transferred to ManKap Family LLC - MV Capital - Brokerage #2		None	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ <b>Micron Technology, Inc. (MU)</b> [ST]  DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ <b>Microsoft Corporation (MSFT)</b> [ST]  DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ <b>Nike, Inc. (NKE)</b> [ST]  DESCRIPTION: Partial sale less than \$1,000 (Jan 2021); Transferred to ManKap Family LLC - MV Capital - Brokerage #2		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>



Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Packaging Corporation of America (PKG) [ST] DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ PayPal Holdings, Inc. (PYPL) [ST] DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2	None		Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Pfizer, Inc. (PFE) [ST] DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2	None		Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Procter & Gamble Company (PG) [ST] DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2	None		Dividends	\$201 - \$1,000	<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ QUALCOMM Incorporated (QCOM) [ST] DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2	None		Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Starbucks Corporation (SBUX) [ST] DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2	None		Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Sysco Corporation (SYU) [ST] DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2	None		Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ TJX Companies, Inc. (TJX) [ST] DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2	None		Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Viatis Inc. (VTRS) [ST] DESCRIPTION: Full sale less than \$1,000	None		None		<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Visa Inc. (V) [ST]	None		Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Walmart Inc. (WMT) [ST]		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Walt Disney Company (DIS) [ST]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #2					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Amgen Inc. (AMGN) [ST]		None	None		<input type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #3					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Apple Inc. (AAPL) [ST]		None	None		<input type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #3					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Bank of America Corporation (BAC) [ST]		None	None		<input type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #3					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Best Buy Co., Inc. (BBY) [ST]		None	None		<input type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #3					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Biogen Inc. (BIIB) [ST]		None	None		<input type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #3					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Bristol-Myers Squibb Company (BMY) [ST]		None	None		<input type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #3					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Cardinal Health, Inc. (CAH) [ST]		None	None		<input type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #3					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Church & Dwight Company, Inc. (CHD) [ST]  DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #3		None	None		<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Cisco Systems, Inc. (CSCO) [ST]  DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #3		None	None		<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Citigroup, Inc. (C) [ST]  DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #3		None	None		<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Eli Lilly and Company (LLY) [ST]  DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #3		None	None		<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Fidelity Government Cash Reserves (FDRXX) [MF]		None	None		<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ General Mills, Inc. (GIS) [ST]  DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #3		None	None		<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Kimberly-Clark Corporation (KMB) [ST]  DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #3		None	None		<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Kroger Company (KR) [ST]  DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #3		None	None		<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ Leidos Holdings, Inc. (LDOS) [ST]  DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #3		None	None		<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒		None	None		<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
<b>Mastercard Incorporated (MA) [ST]</b>					
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #3					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ <b>Microsoft Corporation (MSFT) [ST]</b>		None	None		<input type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #3					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ <b>Morgan Stanley (MS) [ST]</b>		None	None		<input type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #3					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ <b>Pfizer, Inc. (PFE) [ST]</b>		None	None		<input type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #3					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ <b>Procter &amp; Gamble Company (PG) [ST]</b>		None	None		<input type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #3					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ <b>QUALCOMM Incorporated (QCOM) [ST]</b>		None	None		<input type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #3					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ <b>Southwest Airlines Company (LUV) [ST]</b>		None	None		<input type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #3					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ <b>Starbucks Corporation (SBUX) [ST]</b>		None	None		<input type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #3					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ <b>Sysco Corporation (SYY) [ST]</b>		None	None		<input type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #3					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ <b>Target Corporation (TGT) [ST]</b>		None	None		<input type="checkbox"/>

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income</b>	<b>Tx. &gt; \$1,000?</b>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #3					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3 ⇒ <b>Visa Inc. (V)</b> [ST]		None	None		<input type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #3					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #4 ⇒ <b>Bank of America Corporation (BAC)</b> [ST]		None	None		<input type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #1					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #4 ⇒ <b>Fidelity Government Cash Reserves (FDRXX)</b> [MF]		None	None		<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #4 ⇒ <b>iShares Blackrock Ultra (ICSH)</b> [EF]		None	None		<input type="checkbox"/>
DESCRIPTION: Transferred to ManKap Family LLC - MV Capital - Brokerage #1					
The 2011 Kathy E Manning Living Trust ⇒ Randall Robert Kaplan Alaska LLC ⇒ <b>Fidelity VIP Government Money Market Portfolio</b> [BA]		\$15,001 - \$50,000	None		<input type="checkbox"/>
DESCRIPTION: Ownership Transfer					
The 2011 Kathy E Manning Living Trust ⇒ Randall Robert Kaplan Alaska LLC ⇒ <b>Seven Bridges Emerging Markets Fund Series</b> [OT]		\$50,001 - \$100,000	None		<input type="checkbox"/>
DESCRIPTION: Hedge fund. Fund manager letter on file with the Committee on Ethics. Ownership Transfer					
The 2011 Kathy E Manning Living Trust ⇒ Randall Robert Kaplan Alaska LLC ⇒ <b>Seven Bridges Long/Short Equity Fund Series</b> [OT]		\$500,001 - \$1,000,000	None		<input type="checkbox"/>
DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics. Ownership Transfer					
The 2011 Kathy E Manning Living Trust ⇒ Randall Robert Kaplan Alaska LLC ⇒ <b>Seven Bridges Multi-Strategy Fund Series</b> [OT]		\$250,001 - \$500,000	None		<input type="checkbox"/>
DESCRIPTION: Investment Company. Fund manager letter on file with the Committee on Ethics. Ownership Transfer					
The 2011 Kathy E Manning Living Trust ⇒ Randall Robert Kaplan Alaska LLC ⇒ <b>Seven Bridges Strategic Equity Fund Series</b> [OT]		\$100,001 - \$250,000	None		<input type="checkbox"/>
DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics. Ownership Transfer					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
The 2011 Randall R. Kaplan Living Trust ⇒ Abdiel Qualified Onshore Partners, LP [OT]	SP	\$500,001 - \$1,000,000	None		<input type="checkbox"/>
DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics. COMMENTS: Purchased on 1/1/21, prior to being sworn into Congress.					
The 2011 Randall R. Kaplan Living Trust ⇒ Melvin Capital LP [OT]	SP	Spouse/DC Over \$1,000,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics.					
The 2011 Randall R. Kaplan Living Trust ⇒ Pinnacle Bank Account [BA]	SP	\$1,001 - \$15,000	None		<input type="checkbox"/>
The 2011 Randall R. Kaplan Living Trust ⇒ RA Capital Healthcare Fund, L.P. [HE]	SP	\$500,001 - \$1,000,000	None		<input checked="" type="checkbox"/>
The 2011 Randall R. Kaplan Living Trust ⇒ Seven Bridges Long/Short Equity Fund LP [OT]	SP	Spouse/DC Over \$1,000,000	Partnership Income	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics.					
The 2011 Randall R. Kaplan Living Trust ⇒ Seven Bridges Multi-Strategy Fund LP [OT]	SP	Spouse/DC Over \$1,000,000	Partnership Income	\$100,001 - \$1,000,000	<input type="checkbox"/>
DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics.					
The 2021 Kathy Manning Irrevocable GST Trust FBO Randall Kaplan ⇒ Triad Business Bank (TBBC) [OT]	SP	\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Small public community bank.					
TIC Fincastle Building ⇒ Investment in Commercial Building [RP]	SP	\$50,001 - \$100,000	Rent	\$2,501 - \$5,000	<input type="checkbox"/>
LOCATION: Greensboro, NC, US					
Truist Accounts - f/k/a BB&T [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Checking & Savings					
Wells Fargo Brokerage ⇒ Ecolab Inc. (ECL) [ST]	SP	\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
WLC, LLC (Learning Together) ⇒ Notes Receivable [DO]	SP	\$250,001 - \$500,000	None		<input type="checkbox"/>
DESCRIPTION: Greensboro, NC					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Charles Schwab - Edgewood Management LLC ⇒ Adobe Inc. (ADBE) [ST]	JT	03/25/2021	P	\$1,001 - \$15,000	
Charles Schwab - Edgewood Management LLC ⇒ Amazon.com, Inc. (AMZN) [ST]	JT	10/19/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Chipotle Mexican Grill, Inc. (CMG) [ST]	JT	03/26/2021	P	\$1,001 - \$15,000	
Charles Schwab - Edgewood Management LLC ⇒ CME Group Inc. - Class A (CME) [ST]	JT	03/8/2021	P	\$1,001 - \$15,000	
Charles Schwab - Edgewood Management LLC ⇒ CME Group Inc. - Class A (CME) [ST]	JT	11/5/2021	P	\$1,001 - \$15,000	
Charles Schwab - Edgewood Management LLC ⇒ Equinix, Inc. (EQIX) [RE]	JT	06/29/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Estee Lauder Companies, Inc. (EL) [ST]	JT	03/25/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ IHS Markit Ltd. Common Shares (INFO) [ST]	JT	12/31/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ IHS Markit Ltd. Common Shares (INFO) [ST]	JT	02/2/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ IHS Markit Ltd. Common Shares (INFO) [ST]	JT	02/11/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ IHS Markit Ltd. Common Shares (INFO) [ST]	JT	03/16/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ IHS Markit Ltd. Common Shares (INFO) [ST]	JT	03/25/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ IHS Markit Ltd. Common Shares (INFO) [ST]	JT	05/19/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒	JT	12/1/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Illumina, Inc. (ILMN) [ST]					
Charles Schwab - Edgewood Management LLC ⇒ Illumina, Inc. (ILMN) [ST]	JT	02/24/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Illumina, Inc. (ILMN) [ST]	JT	08/25/2021	P	\$1,001 - \$15,000	
Charles Schwab - Edgewood Management LLC ⇒ Illumina, Inc. (ILMN) [ST]	JT	10/26/2021	P	\$1,001 - \$15,000	
Charles Schwab - Edgewood Management LLC ⇒ Intuit Inc. (INTU) [ST]	JT	08/24/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Intuit Inc. (INTU) [ST]	JT	11/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Intuitive Surgical, Inc. (ISRG) [ST]	JT	12/1/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Intuitive Surgical, Inc. (ISRG) [ST]	JT	05/4/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Match Group, Inc. (MTCH) [ST]	JT	02/24/2021	P	\$15,001 - \$50,000	
Charles Schwab - Edgewood Management LLC ⇒ Match Group, Inc. (MTCH) [ST]	JT	03/9/2021	P	\$1,001 - \$15,000	
Charles Schwab - Edgewood Management LLC ⇒ Match Group, Inc. (MTCH) [ST]	JT	05/4/2021	P	\$1,001 - \$15,000	
Charles Schwab - Edgewood Management LLC ⇒ Match Group, Inc. (MTCH) [ST]	JT	05/19/2021	P	\$1,001 - \$15,000	
Charles Schwab - Edgewood Management LLC ⇒ Match Group, Inc. (MTCH) [ST]	JT	06/18/2021	P	\$1,001 - \$15,000	
Charles Schwab - Edgewood Management LLC ⇒ Match Group, Inc. (MTCH) [ST]	JT	08/9/2021	P	\$1,001 - \$15,000	
Charles Schwab - Edgewood Management LLC ⇒ Match Group, Inc. (MTCH) [ST]	JT	10/19/2021	P	\$1,001 - \$15,000	



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Charles Schwab - Edgewood Management LLC ⇒ Meta Platforms, Inc. - Class A (FB) [ST] DESCRIPTION: f/k/a Facebook, Inc. CL A	JT	05/14/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Microsoft Corporation (MSFT) [ST]	JT	12/31/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Netflix, Inc. (NFLX) [ST]	JT	12/31/2021	P	\$1,001 - \$15,000	
Charles Schwab - Edgewood Management LLC ⇒ Netflix, Inc. (NFLX) [ST]	JT	01/22/2021	P	\$15,001 - \$50,000	
Charles Schwab - Edgewood Management LLC ⇒ Netflix, Inc. (NFLX) [ST]	JT	06/22/2021	P	\$1,001 - \$15,000	
Charles Schwab - Edgewood Management LLC ⇒ NVIDIA Corporation (NVDA) [ST]	JT	03/25/2021	P	\$1,001 - \$15,000	
Charles Schwab - Edgewood Management LLC ⇒ NVIDIA Corporation (NVDA) [ST]	JT	06/18/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ NVIDIA Corporation (NVDA) [ST]	JT	06/22/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ NVIDIA Corporation (NVDA) [ST]	JT	09/1/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ NVIDIA Corporation (NVDA) [ST]	JT	10/19/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ NVIDIA Corporation (NVDA) [ST]	JT	11/5/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ NVIDIA Corporation (NVDA) [ST]	JT	11/12/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ NVIDIA Corporation (NVDA) [ST]	JT	11/18/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	12/31/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Charles Schwab - Edgewood Management LLC ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	01/22/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ PayPal Holdings, Inc. (PYPL) [ST]	JT	11/12/2021	P	\$15,001 - \$50,000	
Charles Schwab - Edgewood Management LLC ⇒ Snap Inc. Class A (SNAP) [ST]	JT	12/31/2021	P	\$1,001 - \$15,000	
Charles Schwab - Edgewood Management LLC ⇒ Snap Inc. Class A (SNAP) [ST]	JT	02/24/2021	S (partial)	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Snap Inc. Class A (SNAP) [ST]	JT	03/2/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Charles Schwab - Edgewood Management LLC ⇒ Snap Inc. Class A (SNAP) [ST]	JT	03/25/2021	P	\$1,001 - \$15,000	
Charles Schwab - Edgewood Management LLC ⇒ Snap Inc. Class A (SNAP) [ST]	JT	05/14/2021	P	\$1,001 - \$15,000	
Charles Schwab - Edgewood Management LLC ⇒ Snap Inc. Class A (SNAP) [ST]	JT	11/15/2021	P	\$1,001 - \$15,000	
Charles Schwab - Edgewood Management LLC ⇒ Snap Inc. Class A (SNAP) [ST]	JT	11/24/2021	P	\$1,001 - \$15,000	
Charles Schwab - Edgewood Management LLC ⇒ Visa Inc. (V) [ST]	JT	02/2/2021	P	\$15,001 - \$50,000	
Charles Schwab - Edgewood Management LLC ⇒ Visa Inc. (V) [ST]	JT	10/19/2021	P	\$1,001 - \$15,000	
Guerilla RF, Inc. [PS]	SP	10/22/2021	P	\$50,001 - \$100,000	
LOCATION: US DESCRIPTION: Chip design company					
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ Clearbridge Large Cap Growth I (SBLYX) [MF]	SP	12/20/2021	P	\$1,001 - \$15,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family	SP	06/11/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares 0-5 Years TIPS Bond ETF (STIP) [EF]					
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Blackrock Ultra (ICSH) [EF]	SP	06/14/2021	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares Floating Rate NT ETF (FLOT) [EF]	SP	06/11/2021	P	\$15,001 - \$50,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1 ⇒ iShares iBonds Dec 2021 Term Corporate ETF (IBDM) [EF]	SP	12/17/2021	S	\$100,001 - \$250,000	<input type="checkbox"/>
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Air Products and Chemicals, Inc. (APD) [ST]	SP	10/22/2021	P	\$1,001 - \$15,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Lockheed Martin Corporation (LMT) [ST]	SP	10/22/2021	P	\$1,001 - \$15,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Procter & Gamble Company (PG) [ST]	SP	10/22/2021	P	\$1,001 - \$15,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Walmart Inc. (WMT) [ST]	SP	10/22/2021	P	\$1,001 - \$15,000	
Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2 ⇒ Walt Disney Company (DIS) [ST]	SP	10/22/2021	P	\$1,001 - \$15,000	
Melvin Capital LP [HN]	SP	03/1/2021	P	\$500,001 - \$1,000,000	
DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics.					
MV Capital - GST Exempt Trust #1 ⇒ Artisan Small Cap Fund Advisor (APDSX) [MF]	SP	01/4/2021	P	\$15,001 - \$50,000	
MV Capital - GST Exempt Trust #1 ⇒ Artisan Small Cap Fund Advisor (APDSX) [MF]	SP	05/18/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
MV Capital - GST Exempt Trust #1 ⇒ Clearbridge Large Cap Growth I (SBLYX) [MF]	SP	12/20/2021	P	\$1,001 - \$15,000	
MV Capital - GST Exempt Trust #1 ⇒ Fidelity Blue Chip Growth (FBGRX) [EF]	SP	09/8/2021	P	\$1,001 - \$15,000	
MV Capital - GST Exempt Trust #1 ⇒ Fidelity Blue Chip Growth (FBGRX) [MF]	SP	12/15/2021	P	\$1,001 - \$15,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares 0-5 Year TIPS Bond ETF (STIP) [EF]	SP	05/19/2021	P	\$15,001 - \$50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [EF]	SP	04/21/2021	P	\$15,001 - \$50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares Core MSCI Emerging Markets ETF (IEMG) [EF]	SP	05/19/2021	P	\$15,001 - \$50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares Edge MSCI Min Vol USA ETF (USMV) [EF]	SP	02/23/2021	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ iShares iBonds Dec 2021 Term Corporate ETF (IBDM) [EF]	SP	12/17/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ iShares MSCI China ETF (MCHI) [EF]	SP	01/18/2021	P	\$1,001 - \$15,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares MSCI China ETF (MCHI) [EF]	SP	04/21/2021	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF]	SP	04/21/2021	P	\$15,001 - \$50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF]	SP	07/9/2021	P	\$1,001 - \$15,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares TR Asia 50 ETF (AIA) [EF]	SP	01/5/2021	P	\$15,001 - \$50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares TR Asia 50 ETF (AIA) [EF]	SP	01/18/2021	P	\$1,001 - \$15,000	
MV Capital - GST Exempt Trust #1 ⇒	SP	01/22/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
iShares TR Asia 50 ETF (AIA) [EF]					
MV Capital - GST Exempt Trust #1 ⇒ iShares TR Asia 50 ETF (AIA) [EF]	SP	04/21/2021	P	\$15,001 - \$50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares TR Asia 50 ETF (AIA) [EF]	SP	05/19/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ iShares TR EAFE Growth EFT (EFG) [EF]	SP	01/5/2021	P	\$15,001 - \$50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares TR MSCI China ETF (MCHI) [EF]	SP	02/23/2021	P	\$15,001 - \$50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares TR Rus Mid Cap GR ETF (IWP) [EF]	SP	01/5/2021	P	\$1,001 - \$15,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares TR Russell Mid Cap ETF (IWR) [EF]	SP	05/19/2021	P	\$15,001 - \$50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares TR Russell Mid Cap ETF (IWR) [EF]	SP	06/11/2021	P	\$15,001 - \$50,000	
MV Capital - GST Exempt Trust #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF]	SP	01/5/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF]	SP	01/18/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ iShares Ultra Short-Term Bond ETF (ICSH) [EF]	SP	01/5/2021	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #1 ⇒ iShares Ultra Short-Term Bond ETF (ICSH) [EF]	SP	07/9/2021	P	\$1,001 - \$15,000	
MV Capital - GST Exempt Trust #1 ⇒ Morgan Stanley Intl Advantage CL I (MFAIX) [MF]	SP	01/4/2021	P	\$15,001 - \$50,000	
MV Capital - GST Exempt Trust #1 ⇒ Morgan Stanley Intl Advantage CL I (MFAIX) [MF]	SP	02/22/2021	P	\$15,001 - \$50,000	
MV Capital - GST Exempt Trust #2 ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]	SP	04/30/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
MV Capital - GST Exempt Trust #2 ⇒ Air Products and Chemicals, Inc. (APD) [ST]	SP	01/15/2021	P	\$1,001 - \$15,000	
MV Capital - GST Exempt Trust #2 ⇒ Air Products and Chemicals, Inc. (APD) [ST]	SP	10/22/2021	P	\$1,001 - \$15,000	
MV Capital - GST Exempt Trust #2 ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	01/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Amgen Inc. (AMGN) [ST]	SP	01/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Apple Inc. (AAPL) [ST]	SP	01/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Centene Corporation (CNC) [ST]	SP	01/15/2021	P	\$1,001 - \$15,000	
MV Capital - GST Exempt Trust #2 ⇒ Chubb Limited (CB) [ST]	SP	01/15/2021	P	\$1,001 - \$15,000	
MV Capital - GST Exempt Trust #2 ⇒ Intel Corporation (INTC) [ST]	SP	01/15/2021	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Lam Research Corporation (LRCX) [ST]	SP	04/30/2021	P	\$1,001 - \$15,000	
MV Capital - GST Exempt Trust #2 ⇒ Lockheed Martin Corporation (LMT) [ST]	SP	10/22/2021	P	\$1,001 - \$15,000	
MV Capital - GST Exempt Trust #2 ⇒ Packaging Corporation of America (PKG) [ST]	SP	01/15/2021	P	\$1,001 - \$15,000	
MV Capital - GST Exempt Trust #2 ⇒ Starbucks Corporation (SBUX) [ST]	SP	04/30/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
MV Capital - GST Exempt Trust #2 ⇒ Walmart Inc. (WMT) [ST]	SP	10/22/2021	P	\$1,001 - \$15,000	
MV Capital - GST Exempt Trust #2 ⇒ Walt Disney Company (DIS) [ST]	SP	10/22/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
MV Capital - KEM Roth IRA #1 ⇒ Artisan Small Cap Fund Advisor (APDSX) [MF]		01/6/2021	P	\$1,001 - \$15,000	
MV Capital - KEM Roth IRA #1 ⇒ Artisan Small Cap Fund Advisor (APDSX) [EF]		01/18/2021	P	\$1,001 - \$15,000	
MV Capital - KEM Roth IRA #1 ⇒ Artisan Small Cap Fund Advisor (APDSX) [MF]		01/20/2021	P	\$1,001 - \$15,000	
MV Capital - KEM Roth IRA #1 ⇒ Artisan Small Cap Fund Advisor (APDSX) [MF]		06/9/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ Clearbridge Large Cap Growth I (SBLYX) [MF]		02/22/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ Consumer Staples Select Sector SPDR (XLP) [EF]		01/18/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ Delaware Value Fund Institutional (DDVIX) [MF]		01/4/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ Delaware Value Fund Institutional (DDVIX) [MF]		06/9/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ Fidelity Blue Chip Growth (FBGRX) [EF]		09/8/2021	P	\$1,001 - \$15,000	
MV Capital - KEM Roth IRA #1 ⇒ Fidelity Blue Chip Growth (FBGRX) [MF]		12/15/2021	P	\$1,001 - \$15,000	
MV Capital - KEM Roth IRA #1 ⇒ Franklin Convertible Securities Advisor (FCSZX) [MF]		12/13/2021	P	\$1,001 - \$15,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares 0-5 Year TIPS Bond ETF (STIP) [EF]		05/14/2021	P	\$50,001 - \$100,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares Inc Core MSCI Emerging Mkts ETF (IEMG) [EF]		06/11/2021	P	\$15,001 - \$50,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares MSCI USA Min Vol (USMV) [EF]		01/22/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒		01/5/2021	P	\$50,001 -	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
iShares Russell 1000 Value ETF (IWD) [EF]				\$100,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF]		06/11/2021	P	\$15,001 - \$50,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares Russell 2000 ETF (IWM) [EF]		06/11/2021	P	\$15,001 - \$50,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares Russell 2000 Growth ETF (IWO) [EF]		06/11/2021	P	\$1,001 - \$15,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF]		01/5/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ iShares TR Asia 50 ETF (AIA) [EF]		01/18/2021	P	\$15,001 - \$50,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares TR Asia 50 ETF (AIA) [EF]		01/22/2021	P	\$15,001 - \$50,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares TR Asia 50 ETF (AIA) [EF]		02/23/2021	P	\$15,001 - \$50,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares TR Asia 50 ETF (AIA) [EF]		06/11/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ iShares TR EAFE Growth EFT (EFG) [EF]		01/18/2021	P	\$15,001 - \$50,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares TR MSCI China ETF (MCHI) [EF]		01/18/2021	P	\$15,001 - \$50,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares TR MSCI China ETF (MCHI) [EF]		08/20/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ iShares TR Russell Mid Cap ETF (IWR) [EF]		06/11/2021	P	\$15,001 - \$50,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares Treasury Floating Rate Bond ETF (TFLO) [EF]		05/14/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ iShares Trust Convertible Bond ETF (ICVT) [EF]		03/23/2021	P	\$1,001 - \$15,000	



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
MV Capital - KEM Roth IRA #1 ⇒ iShares Trust Convertible Bond ETF (ICVT) [EF]		12/13/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ iShares Trust Core MSCI EAFE ETF (IEFA) [EF]		06/11/2021	P	\$1,001 - \$15,000	
MV Capital - KEM Roth IRA #1 ⇒ iShares Trust MSCI EMG Mkts ETF (EEM) [EF]		08/20/2021	P	\$15,001 - \$50,000	
MV Capital - KEM Roth IRA #1 ⇒ Morgan Stanley Intl Advantage CL I (MFAIX) [MF]		01/4/2021	P	\$50,001 - \$100,000	
MV Capital - KEM Roth IRA #1 ⇒ Morgan Stanley Intl Advantage CL I (MFAIX) [MF]		01/20/2021	P	\$1,001 - \$15,000	
MV Capital - KEM Roth IRA #1 ⇒ Morgan Stanley Intl Advantage CL I (MFAIX) [MF]		08/18/2021	P	\$1,001 - \$15,000	
MV Capital - KEM Roth IRA #1 ⇒ Morgan Stanley Intl Advantage CL I (MFAIX) [MF]		09/14/2021	P	\$1,001 - \$15,000	
MV Capital - KEM Roth IRA #1 ⇒ SPDR Bloomberg Barclays Convertible Securities ETF (CWB) [EF]		03/23/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
MV Capital - KEM Roth IRA #1 ⇒ Utilities Select Sector SPDR Fund (XLU) [EF]		01/18/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ Alger Mid Cap Focus CL I (AFOIX) [EF]	SP	12/13/2021	P	\$1,001 - \$15,000	
MV Capital - RRK Roth IRA #1 ⇒ Clearbridge Large Cap Growth I (SBLYX) [MF]	SP	01/8/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ Clearbridge Large Cap Growth I (SBLYX) [EF]	SP	03/17/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ Clearbridge Large Cap Growth I (SBLYX) [EF]	SP	03/22/2021	S	\$100,001 - \$250,000	<input type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ Consumer Staples Select Sector SPDR (XLP) [EF]	SP	01/18/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
MV Capital - RRK Roth IRA #1 ⇒ Delaware Value Fund Institutional (DDVIX) [MF]	SP	01/4/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ Delaware Value Fund Institutional (DDVIX) [MF]	SP	06/9/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ Delaware Value Fund Institutional (DDVIX) [MF]	SP	08/18/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ Fidelity Blue Chip Growth (FBGRX) [EF]	SP	12/15/2021	P	\$1,001 - \$15,000	
MV Capital - RRK Roth IRA #1 ⇒ Franklin Convertible Securities Advisor (FCSZX) [EF]	SP	12/13/2021	P	\$1,001 - \$15,000	
MV Capital - RRK Roth IRA #1 ⇒ Invesco Exchange - Traded FD TR II PFD ETF (PGX) [EF]	SP	01/8/2021	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ Invesco Exchange - Traded FD TR II PFD ETF (PGX) [EF]	SP	03/23/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ iShares 0-5 Year TIPS Bond ETF (STIP) [EF]	SP	05/14/2021	P	\$15,001 - \$50,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares Floating Rate Bond ETF (FLOT) [EF]	SP	11/16/2021	P	\$15,001 - \$50,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares Inc Core MSCI Emerging Mkts ETF (IEMG) [EF]	SP	06/11/2021	P	\$15,001 - \$50,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares MSCI USA Min Vol (USMV) [EF]	SP	02/23/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF]	SP	01/18/2021	P	\$100,001 - \$250,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF]	SP	06/11/2021	P	\$15,001 - \$50,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares Russell 1000 Value ETF (IWD) [EF]	SP	08/20/2021	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
MV Capital - RRK Roth IRA #1 ⇒ iShares Russell 2000 ETF (IWM) [EF]	SP	03/23/2021	P	\$1,001 - \$15,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares S&P 500 Value ETF (IVE) [EF]	SP	01/18/2021	S	\$100,001 - \$250,000	<input type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ iShares TR Asia 50 ETF (AIA) [EF]	SP	01/5/2021	P	\$15,001 - \$50,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares TR Asia 50 ETF (AIA) [EF]	SP	01/18/2021	P	\$15,001 - \$50,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares TR Asia 50 ETF (AIA) [EF]	SP	01/22/2021	P	\$15,001 - \$50,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares TR Asia 50 ETF (AIA) [EF]	SP	02/23/2021	P	\$15,001 - \$50,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares TR Asia 50 ETF (AIA) [EF]	SP	08/20/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ iShares TR Asia 50 ETF (AIA) [EF]	SP	09/15/2021	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ iShares TR EAFE Growth EFT (EFG) [EF]	SP	01/18/2021	P	\$15,001 - \$50,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares TR MSCI China ETF (MCHI) [EF]	SP	01/18/2021	P	\$15,001 - \$50,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares TR MSCI China ETF (MCHI) [EF]	SP	06/11/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ iShares TR Rus Mid Cap GR ETF (IWP) [EF]	SP	01/22/2021	P	\$1,001 - \$15,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares TR Rus Mid Cap GR ETF (IWP) [EF]	SP	02/23/2021	P	\$1,001 - \$15,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares TR Rus Mid Cap GR ETF (IWP) [EF]	SP	03/23/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒	SP	05/14/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
iShares Treasury Floating Rate (TFLO) [EF]					<input type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ iShares Trust Convertible Bond ETF (ICVT) [EF]	SP	03/23/2021	P	\$15,001 - \$50,000	
MV Capital - RRK Roth IRA #1 ⇒ iShares Trust Convertible Bond ETF (ICVT) [EF]	SP	12/13/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒ iShares Trust Core MSCI EAFE ETF (IEFA) [EF]	SP	06/11/2021	P	\$15,001 - \$50,000	
MV Capital - RRK Roth IRA #1 ⇒ JPMorgan Emerging Mkts Equity Class I (JEMSX) [MF]	SP	01/20/2021	P	\$15,001 - \$50,000	
MV Capital - RRK Roth IRA #1 ⇒ JPMorgan Emerging Mkts Equity Class I (JEMSX) [EF]	SP	12/10/2021	P	\$1,001 - \$15,000	
MV Capital - RRK Roth IRA #1 ⇒ JPMorgan Emerging Mkts Equity Class I (JEMSX) [MF]	SP	02/22/2021	P	\$15,001 - \$50,000	
MV Capital - RRK Roth IRA #1 ⇒ Morgan Stanley Intl Advantage CL I (MFAIX) [MF]	SP	01/4/2021	P	\$100,001 - \$250,000	
MV Capital - RRK Roth IRA #1 ⇒ Morgan Stanley Intl Advantage CL I (MFAIX) [EF]	SP	12/15/2021	P	\$1,001 - \$15,000	
MV Capital - RRK Roth IRA #1 ⇒ Morgan Stanley Intl Advantage CL I (MFAIX) [MF]	SP	01/20/2021	P	\$1,001 - \$15,000	
MV Capital - RRK Roth IRA #1 ⇒ Morgan Stanley Intl Advantage CL I (MFAIX) [MF]	SP	02/22/2021	P	\$1,001 - \$15,000	
MV Capital - RRK Roth IRA #1 ⇒ Morgan Stanley Intl Advantage CL I (MFAIX) [EF]	SP	08/18/2021	P	\$15,001 - \$50,000	
MV Capital - RRK Roth IRA #1 ⇒ Morgan Stanley Intl Advantage CL I (MFAIX) [MF]	SP	08/20/2021	P	\$15,001 - \$50,000	
MV Capital - RRK Roth IRA #1 ⇒ SPDR Bloomberg Barclays Convertible Securities ETF (CWB) [EF]	SP	12/13/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
MV Capital - RRK Roth IRA #1 ⇒	SP	03/23/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SPDR Bloomberg Barclays Convertible Securities ETF (CWB) [EF]			(partial)		
MV Capital - RRK Roth IRA #1 ⇒ Upper90 Fund II LP [HE]	SP	01/11/2021	P	\$15,001 - \$50,000	
MV Capital - RRK Roth IRA #1 ⇒ Upper90 Fund II LP [HE]	SP	03/22/2021	P	\$15,001 - \$50,000	
MV Capital - RRK Roth IRA #1 ⇒ Upper90 Fund II LP [HE]	SP	06/23/2021	P	\$15,001 - \$50,000	
MV Capital - RRK Roth IRA #1 ⇒ Utilities Select Sector SPDR Fund (XLU) [EF]	SP	01/18/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 ⇒ SPDR Select Sector Fund - Consumer Staples (XLP) [EF]	SP	01/18/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Seven Bridges Long/Short Equity Fund Ltd. [HN]	SP	03/1/2021	P	\$500,001 - \$1,000,000	
DESCRIPTION: Hedge Fund. Fund manager letter on file with the Committee on Ethics.					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ First TR Exchange-Traded Fund (QCLN) [EF]		03/23/2021	P	\$50,001 - \$100,000	
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ First TR Exchange-Traded Fund (QCLN) [EF]		05/19/2021	S	\$15,001 - \$50,000	<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares 0-5 Year TIPS Bond ETF (STIP) [EF]		05/14/2021	P	\$250,001 - \$500,000	
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares 0-5 Year TIPS Bond ETF (STIP) [EF]		05/19/2021	P	\$100,001 - \$250,000	
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Floating Rate Bond ETF (FLOT) [EF]		03/23/2021	P	\$100,001 - \$250,000	
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒		05/19/2021	P	\$50,001 - \$100,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
iShares Floating Rate Bond ETF (FLOT) [EF]					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares TR GL Clean Energy ETF (ICLN) [EF]		01/5/2021	P	\$15,001 - \$50,000	
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares TR GL Clean Energy ETF (ICLN) [EF]		01/18/2021	P	\$15,001 - \$50,000	
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares TR GL Clean Energy ETF (ICLN) [EF]		01/22/2021	P	\$15,001 - \$50,000	
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares TR GL Clean Energy ETF (ICLN) [EF]		03/23/2021	S	\$50,001 - \$100,000	<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Treasury Floating Rate (TFLO) [EF]		03/23/2021	S (partial)	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1 ⇒ iShares Treasury Floating Rate (TFLO) [EF]		05/17/2021	S	\$250,001 - \$500,000	<input type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ AbbVie Inc. (ABBV) [ST]		04/30/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]		04/30/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Air Products and Chemicals, Inc. (APD) [ST]		01/15/2021	P	\$15,001 - \$50,000	
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Alphabet Inc. - Class A (GOOGL) [ST]		01/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Alphabet Inc. - Class A (GOOGL) [ST]		04/30/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		01/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Amgen Inc. (AMGN) [ST]		01/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Amgen Inc. (AMGN) [ST]		04/30/2021	P	\$1,001 - \$15,000	
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Aon plc Class A Ordinary Shares (AON) [ST]		04/30/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Apple Inc. (AAPL) [ST]		01/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Caterpillar, Inc. (CAT) [ST]		01/15/2021	P	\$1,001 - \$15,000	
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Caterpillar, Inc. (CAT) [ST]		04/30/2021	P	\$1,001 - \$15,000	
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Centene Corporation (CNC) [ST]		01/15/2021	P	\$1,001 - \$15,000	
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Centene Corporation (CNC) [ST]		04/30/2021	P	\$1,001 - \$15,000	
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Chubb Limited (CB) [ST]		01/15/2021	P	\$1,001 - \$15,000	
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Citizens Financial Group, Inc. (CFG) [ST]		01/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ CME Group Inc. - Class A (CME) [ST]		01/15/2021	P	\$1,001 - \$15,000	
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Estee Lauder Companies, Inc. (EL) [ST]		01/15/2021	P	\$1,001 - \$15,000	
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Estee Lauder Companies, Inc. (EL) [ST]		04/30/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Expeditors International of Washington, Inc. (EXPD) [ST]		01/15/2021	P	\$1,001 - \$15,000	
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ General Mills, Inc. (GIS) [ST]		01/15/2021	P	\$1,001 - \$15,000	
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Home Depot, Inc. (HD) [ST]		01/15/2021	P	\$1,001 - \$15,000	
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Intel Corporation (INTC) [ST]		01/15/2021	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Intuit Inc. (INTU) [ST]		01/15/2021	P	\$1,001 - \$15,000	
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Intuit Inc. (INTU) [ST]		04/30/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Johnson & Johnson (JNJ) [ST]		01/15/2021	P	\$1,001 - \$15,000	
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Johnson & Johnson (JNJ) [ST]		04/30/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital -		01/15/2021	P	\$1,001 - \$15,000	



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Brokerage #2 ⇒ JP Morgan Chase & Co. (JPM) [ST]					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Lam Research Corporation (LRCX) [ST]		04/30/2021	P	\$15,001 - \$50,000	
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Lockheed Martin Corporation (LMT) [ST]		01/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Micron Technology, Inc. (MU) [ST]		01/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Microsoft Corporation (MSFT) [ST]		04/30/2021	P	\$1,001 - \$15,000	
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Packaging Corporation of America (PKG) [ST]		01/15/2021	P	\$15,001 - \$50,000	
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ PayPal Holdings, Inc. (PYPL) [ST]		01/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Pfizer, Inc. (PFE) [ST]		01/15/2021	P	\$1,001 - \$15,000	
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ QUALCOMM Incorporated (QCOM) [ST]		01/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Starbucks Corporation (SBUX) [ST]		01/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Starbucks Corporation (SBUX) [ST]		04/30/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒		01/15/2021	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Sysco Corporation (SY) [ST]					
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ TJX Companies, Inc. (TJX) [ST]		04/30/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Viatris Inc. (VTRS) [ST]		01/15/2021	P	\$1,001 - \$15,000	
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Viatris Inc. (VTRS) [ST]		04/30/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Visa Inc. (V) [ST]		04/30/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Walmart Inc. (WMT) [ST]		01/15/2021	P	\$1,001 - \$15,000	
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Walt Disney Company (DIS) [ST]		01/15/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2 ⇒ Walt Disney Company (DIS) [ST]		04/30/2021	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
The 2011 Randall R. Kaplan Living Trust ⇒ RA Capital Healthcare Fund, L.P. [HE]	SP	02/1/2021	P	\$500,001 - \$1,000,000	
The 2021 Kathy Manning Irrevocable GST Trust FBO Randall Kaplan ⇒ Triad Business Bank (TBBC) [OT]	SP	06/15/2021	P	\$50,001 - \$100,000	
LOCATION: US					
DESCRIPTION: Small public community bank.					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Elm Street Technology	Spouse Health Care Premiums	N/A
Northern Trust Company	Spouse Pension Payout	N/A

### SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Wells Fargo Bank NA	September 1997	Mortgage	\$250,001 - \$500,000

### SCHEDULE E: POSITIONS

Position	Name of Organization
Director & Officer	Kaplan Manning Family Foundation

### SCHEDULE F: AGREEMENTS

None disclosed.

### SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

### SCHEDULE A AND B ASSET CLASS DETAILS

- 2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants (Owner: SP)
- 2021 Kathy E. Manning Irrevocable GST Trust FBO Randall R Kaplan & Descendants ⇒ Randall Robert Kaplan Alaska LLC (Owner: SP)
- Bedford Properties, LLC (Owner: SP)  
LOCATION: US
- Charles Schwab - Edgewood Management LLC (Owner: JT)  
LOCATION: US
- DVS Limited Partnership (Owner: SP)  
LOCATION: US
- Ehrenkranz Partners, LP (Owner: SP)

LOCATION: New York, NY, US

- Elm Street Hotel II (Owner: SP)  
LOCATION: US
- Kathy Manning GST FBO Randall Kaplan
- Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC  
LOCATION: US
- Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #1  
LOCATION: US
- Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #2  
LOCATION: US
- Kathy Manning GST FBO Randall Kaplan ⇒ ManKap Family LLC ⇒ MV Capital - Brokerage #3  
LOCATION: US
- Little Santee-Sandy Ridge, LLC (Owner: SP)  
LOCATION: US
- Manning Family LP  
LOCATION: US
- MV Capital - GST Exempt Trust #1 (Owner: SP)
- MV Capital - GST Exempt Trust #2 (Owner: SP)
- MV Capital - KEM Roth IRA #1
- MV Capital - KEM Roth IRA #2
- MV Capital - RRK Roth IRA #1 (Owner: SP)
- MV Capital - RRK Roth IRA #3 (Owner: SP)
- OurCrowd (Owner: SP)
- Piedmont Angel Networks (Owner: SP)
- Piedmont Angel Networks II (Owner: SP)
- Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants
- Randall R. Kaplan Irrevocable GST FBO Kathy Manning & Descendants ⇒ Randall Robert Kaplan Alaska LLC
- Real Estate Software Solutions, LLC (Owner: SP)  
LOCATION: Greensboro, NC, US
- Regent Holdings, LLC (Owner: SP)  
LOCATION: US
- RRK Charitable Trust U/A 11/28/00 #1 (Owner: SP)
- RRK Charitable Trust U/A 11/28/00 #1 ⇒ MV Capital # 2 (Owner: SP)  
LOCATION: US
- RRK Charitable Trust U/A 11/28/00 #2 (Owner: SP)
- Scientific Associates, LLC (Owner: SP)  
LOCATION: US
- Tarco Realty, LLC (Owner: SP)  
LOCATION: US
- The 2011 Kathy E Manning Living Trust
- The 2011 Kathy E Manning Living Trust

- The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #1  
LOCATION: US
- The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #2  
LOCATION: US
- The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #3  
LOCATION: US
- The 2011 Kathy E Manning Living Trust ⇒ MV Capital - Brokerage #4  
LOCATION: US
- The 2011 Kathy E Manning Living Trust ⇒ Randall Robert Kaplan Alaska LLC
- The 2011 Randall R. Kaplan Living Trust (Owner: SP)
- The 2021 Kathy Manning Irrevocable GST Trust FBO Randall Kaplan (Owner: SP)
- TIC Fincastle Building (Owner: SP)  
LOCATION: US
- Wells Fargo Brokerage (Owner: SP)  
LOCATION: US
- WLC, LLC (Learning Together) (Owner: SP)  
LOCATION: US

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Kathy Manning , 08/12/2022