



Filing ID #10050631

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Anthony Brown
Status: Former Member
State/District: MD04

FILING INFORMATION

Filing Type: Amendment Report
Filing Year: 2021
Filing Date: 01/14/2023

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|---------------------|--------------------------|-----------------|-------------------------------------|
| Fidelity 500 Index Fund [MF] | | \$15,001 - \$50,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Fidelity Education Savings Plan ⇒ NH College Port. (Fidelity Index) [5P] LOCATION: NH | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Fidelity Education Savings Plan ⇒ NH Fidelity 500 Index [5P] LOCATION: NH | | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Fidelity IRA Account ⇒ Fidelity 500 Index Fund [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Fidelity IRA Account ⇒ Invesco QQQ TR Unit Ser 1 [EF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Fidelity Rollover IRA ⇒ FIDELITY NASDAQ COMPOSITE INDEX [MF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Fidelity Rollover IRA ⇒ iShares Core S&P Total US Stock [EF] | | \$15,001 - \$50,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|--------------------------|-----------------|-------------------------------------|
| Invesco QQQ TR Unit Ser 1 [EF] | | \$100,001 - \$250,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| iShares Core S&P Total US Stock [EF] | | \$50,001 - \$100,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Kaiser Permanente Salaried Retirement Plan [DB] | | None | None | | <input checked="" type="checkbox"/> |
| DESCRIPTION: Transferred asset to Fidelity Rollover IRA | | | | | |
| Maryland Employees' Pension System - Alternate Contributory Benefit [DB] | | Undetermined | None | | <input type="checkbox"/> |
| Maryland Legislative Pension [DB] | | Undetermined | Pension Annuity | \$201 - \$1,000 | <input type="checkbox"/> |
| DESCRIPTION: Pension for service in Maryland General Assembly | | | | | |
| USAA Checking and Savings Accounts [BA] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|-------------|-----------------------|-------------------------------------|
| Fidelity 500 Index Fund [MF] | | 01/27/2021 | P | \$1,001 - \$15,000 | |
| Fidelity 500 Index Fund [MF] | | 02/3/2021 | P | \$1,001 - \$15,000 | |
| Fidelity Education Savings Plan ⇒ NH College Port. (Fidelity Index) [5P] | | 01/5/2021 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| LOCATION: NH | | | | | |
| Fidelity Education Savings Plan ⇒ NH College Port. (Fidelity Index) [5P] | | 01/25/2021 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| LOCATION: NH | | | | | |
| Fidelity Education Savings Plan ⇒ NH College Port. (Fidelity Index) [5P] | | 01/27/2021 | S | \$100,001 - \$250,000 | <input checked="" type="checkbox"/> |
| LOCATION: NH | | | | | |
| Fidelity Education Savings Plan ⇒ NH Fidelity 500 Index [5P] | | 01/27/2021 | P | \$100,001 - \$250,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------------|-------------------------|-------------------------------------|
| LOCATION: NH | | | | | |
| Fidelity Education Savings Plan ⇒ NH Fidelity 500 Index [5P] | | 02/16/2021 | S (partial) | \$50,001 - \$100,000 | <input checked="" type="checkbox"/> |
| LOCATION: NH | | | | | |
| Fidelity IRA Account ⇒ Fidelity 500 Index Fund [MF] | | 02/25/2021 | P | \$1,001 - \$15,000 | |
| Fidelity IRA Account ⇒ Invesco QQQ TR Unit Ser 1 [EF] | | 01/26/2021 | P | \$1,001 - \$15,000 | |
| Fidelity Rollover IRA ⇒ FIDELITY NASDAQ COMPOSITE INDEX [MF] | | 03/2/2021 | P | \$15,001 - \$50,000 | |
| Fidelity Rollover IRA ⇒ iShares Core S&P Total US Stock [EF] | | 03/2/2021 | P | \$15,001 - \$50,000 | |
| Invesco QQQ TR Unit Ser 1 [EF] | | 02/25/2021 | P | \$50,001 - \$100,000 | |
| Invesco QQQ TR Unit Ser 1 [EF] | | 09/10/2021 | P | \$1,001 - \$15,000 | |
| Invesco QQQ TR Unit Ser 1 [EF] | | 05/7/2021 | P | \$1,001 - \$15,000 | |
| Invesco QQQ TR Unit Ser 1 [EF] | | 01/26/2021 | P | \$1,001 - \$15,000 | |
| Invesco QQQ TR Unit Ser 1 [EF] | | 07/2/2021 | P | \$1,001 - \$15,000 | |
| iShares Core S&P Total US Stock [EF] | | 02/25/2021 | P | \$1,001 - \$15,000 | |
| iShares Core S&P Total US Stock [EF] | | 08/2/2021 | P | \$1,001 - \$15,000 | |
| iShares Core S&P Total US Stock [EF] | | 08/5/2021 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| iShares Core S&P Total US Stock [EF] | | 04/5/2021 | P | \$1,001 - \$15,000 | |
| iShares Core S&P Total US Stock [EF] | | 05/7/2021 | P | \$1,001 - \$15,000 | |
| iShares Core S&P Total US Stock [EF] | | 01/26/2021 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--------------------------------------|-------|------------|----------|--------------------|---------------------|
| iShares Core S&P Total US Stock [EF] | | 03/10/2021 | P | \$1,001 - \$15,000 | |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount |
|---|----------------|----------|
| Adventist Healthcare | Spouse salary | N/A |
| Prince George's County Retirees Pension | Spouse salary | N/A |
| Maryland Legislative Pension | Pension income | \$503.30 |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|-------------------|---------------|-------------------------------|-----------------------|
| | Wintrust Mortgage | March 2021 | Mortgage on primary residence | \$250,001 - \$500,000 |

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

| Date | Parties To | Terms of Agreement |
|----------------|-------------------|---|
| January 2007 | State of Maryland | Participation in Legislative Pension System |
| January 2015 | State of Maryland | Participation in Employees' Pension System - Alternate Contributory Benefit |
| September 2009 | Kaiser Permanente | Alternative Beneficiary in Defined Benefit Pension |

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- Fidelity Education Savings Plan
LOCATION: NH
- Fidelity IRA Account
- Fidelity Rollover IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☒ Yes ☐ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Anthony Brown , 01/14/2023