



Filing ID #10048768

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Garret Graves
Status: Member
State/District: LA06

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2021
Filing Date: 08/13/2022

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
Airbnb, Inc. - Class A (ABNB) [ST]		\$1,001 - \$15,000	None	<input type="checkbox"/>
Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		\$1,001 - \$15,000	None	<input type="checkbox"/>
DESCRIPTION: IRA				
Amazon.com, Inc. (AMZN) [ST]		\$1,001 - \$15,000	None	<input checked="" type="checkbox"/>
ARK Autonomous Technology & Robotics ETF (ARKQ) [ST]	DC	\$1 - \$1,000	None	<input type="checkbox"/>
DESCRIPTION: IRA held by minor child				
ARK Fintech Innovation ETF (ARKF) [ST]	DC	\$1 - \$1,000	None	<input type="checkbox"/>
DESCRIPTION: IRA held by minor child				
ARK Fintech Innovation ETF (ARKF) [EF]		\$1,001 - \$15,000	None	<input type="checkbox"/>
ARK Genomic Revolution ETF (ARKG) [EF]		\$1,001 - \$15,000	None	<input type="checkbox"/>
ARK Innovation ETF (ARKK) [ST]	DC	\$1 - \$1,000	None	<input type="checkbox"/>
DESCRIPTION: IRA held by minor child				

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
ARK Innovation ETF (ARKK) [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
ARK Space Exploration & Innovation ETF (ARKX) [ST]	DC	\$1 - \$1,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: IRA held by minor child					
ARK Space Exploration & Innovation ETF (ARKX) [EF]		\$1,001 - \$15,000	None		<input checked="" type="checkbox"/>
Barrick Gold Corporation Common Stock (GOLD) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Bonus Biogroup [OT]		\$1 - \$1,000	None		<input type="checkbox"/>
DESCRIPTION: OTC stock: BBIXF					
Business First Bancshares, Inc. (BFST) [ST]	DC	\$1 - \$1,000	None		<input type="checkbox"/>
DESCRIPTION: IRA held by minor child					
checking/savings [BA]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Child 1 [5P]	DC	\$50,001 - \$100,000	None		<input type="checkbox"/>
LOCATION: LA					
DESCRIPTION: Established and maintained by grandparents for the benefit of their nine grandchildren. We have no role in mgmt.					
Child 2 [5P]	DC	\$15,001 - \$50,000	None		<input type="checkbox"/>
LOCATION: LA					
DESCRIPTION: Established and maintained by grandparents for the benefit of their nine grandchildren. We have no role in mgmt.					
Child 3 [5P]	DC	\$15,001 - \$50,000	None		<input type="checkbox"/>
LOCATION: LA					
DESCRIPTION: Established and maintained by grandparents for the benefit of their nine grandchildren. We have no role in mgmt.					
Equillium, Inc. (EQ) [ST]		\$1 - \$1,000	None		<input type="checkbox"/>
Grifols Sa Barcelona Ordinary Shares (GIFLF) [ST]		\$1 - \$1,000	None		<input type="checkbox"/>
JAG-Greenscape [OL]		\$1 - \$1,000	None		<input type="checkbox"/>
LOCATION: baton rouge, LA, US					
DESCRIPTION: small ownership interest for member and each dependent child in company with real estate holding					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Janus ⇒ Janus Henderson Flexible Bond [MF]		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
Janus ⇒ Janus Henderson Global Research [MF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
Janus ⇒ Janus Henderson Mid Cap Value [MF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
Janus ⇒ Janus Henderson Research [MF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
Janus ⇒ Janus Henderson Triton [MF]		\$1,001 - \$15,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
Janus Henderson Small Cap Growth Alpha ETF (JSML) [ST] DESCRIPTION: IRA		\$1,001 - \$15,000	None		<input type="checkbox"/>
Janus retirement ⇒ Janus Henderson Flexible Bond [MF]		\$100,001 - \$250,000	Income Reinvest	\$1,001 - \$2,500	<input type="checkbox"/>
Janus retirement ⇒ Janus Henderson Global Tech & Innovation [MF]		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
Jumia Technologies AG American Depositary Shares, each representing two Ordinary Shares (JMIA) [ST] DESCRIPTION: IRA held by minor child	DC	\$1 - \$1,000	None		<input type="checkbox"/>
Louisiana START#1 [5P] LOCATION: LA		\$1 - \$1,000	None		<input type="checkbox"/>
Louisiana START#2 [5P] LOCATION: LA		\$1 - \$1,000	None		<input type="checkbox"/>
Louisiana START#3 [5P] LOCATION: LA		\$1 - \$1,000	None		<input type="checkbox"/>
Lyft, Inc. - Class A (LYFT) [ST] DESCRIPTION: IRA held by minor child	DC	\$1 - \$1,000	None		<input type="checkbox"/>
Matinas Biopharma Holdings, Inc. (MTNB) [ST]		\$1 - \$1,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Parents' Life Insurance Trust#1 [OT] DESCRIPTION: Life Insurance Trust established by parents		\$1 - \$1,000	None		<input type="checkbox"/>
Parent's Life Insurance Trust#2 [OT] DESCRIPTION: Life Insurance Trust established by parents.		\$1 - \$1,000	None		<input type="checkbox"/>
Pershing Square Holding Ltd [EF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Pfizer, Inc. (PFE) [ST] DESCRIPTION: IRA held by minor child	DC	\$1 - \$1,000	None		<input type="checkbox"/>
Remark Holdings, Inc. (MARK) [ST] DESCRIPTION: IRA held by minor child	DC	\$1 - \$1,000	None		<input type="checkbox"/>
savings/money market [BA]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Schrodinger, Inc. (SDGR) [ST]		\$1 - \$1,000	None		<input type="checkbox"/>
TIAA-CREF [OT] DESCRIPTION: Spouse's 401k program from previous teacher employment	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
Uber Technologies, Inc. (UBER) [ST] DESCRIPTION: IRA		\$1 - \$1,000	None		<input type="checkbox"/>
Uber Technologies, Inc. (UBER) [ST] DESCRIPTION: IRA held by minor child	DC	\$1 - \$1,000	None		<input type="checkbox"/>
Undeveloped Property [RP] LOCATION: Gulf Shores, AL, US DESCRIPTION: Less than 1% interest in undeveloped property on Hwy 59.		\$1,001 - \$15,000	None		<input type="checkbox"/>
Verizon Communications Inc. (VZ) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Vodafone Group Plc - American Depositary Shares each representing ten Ordinary Shares (VOD) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
VOYA [OT]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: Spouse 401k program from employer					
Walt Disney Company (DIS) [ST]	DC	\$1 - \$1,000	None		<input type="checkbox"/>
Wonderfi Technologies Inc [OT]	DC	\$1 - \$1,000	None		<input type="checkbox"/>
DESCRIPTION: WONDF: IRA held by minor child					
XPeng Inc. American depository shares, each representing two Class A ordinary shares (XPEV) [ST]	DC	\$1 - \$1,000	None		<input type="checkbox"/>
DESCRIPTION: IRA held by minor child					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
3D Systems Corporation (DDD) [ST]		01/27/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>
Airbnb, Inc. - Class A (ABNB) [ST]		04/13/2021	P	\$1,001 - \$15,000	
Amazon.com, Inc. (AMZN) [ST]		04/13/2021	P	\$1,001 - \$15,000	
ARK Space Exploration & Innovation ETF (ARKX) [EF]		11/4/2021	P	\$1,001 - \$15,000	
Citigroup, Inc. (C) [ST]		02/17/2021	S	\$1,001 - \$15,000	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Cristo Rey-Baton Rouge	spouse salary	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	PennyMac	November 2020	home mortgage	\$500,001 - \$1,000,000
JT	Visa	November 2021	credit card	\$10,000 - \$15,000

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 2008	garret and Louisiana State Employee's Retirement System	Defined benefit program. Same for all state employees.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none">◦ Janus LOCATION: US◦ Janus retirement

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Garret Graves , 08/13/2022