



Filing ID #10051612

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Richard W. Allen  
**Status:** Member  
**State/District:** GA12

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2022  
**Filing Date:** 08/12/2023

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
BANK ACCOUNT(S) ⇒ South State Bank [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
CONT IRA ⇒ CASH ACCOUNTS [BA]	SP	\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
CONT IRA ⇒ Schwab U.S. Broad Market ETF (SCHB) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
CONT IRA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
INIRA ⇒ Activision Blizzard, Inc (ATVI) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
INIRA ⇒ CASH ACCOUNTS [BA]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
INIRA ⇒ Schwab U.S. Broad Market ETF (SCHB) [EF]	SP	\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
INIRA ⇒ Schwab U.S. Mid Cap ETF (SCHM) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
INIRA ⇒ Schwab U.S. Small-Cap ETF (SCHA) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
INIRA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	SP	\$50,001 - \$100,000		Tax-Deferred	<input checked="" type="checkbox"/>
INIRA ⇒ SPDR Select Sector Fund - Consumer Staples (XLP) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
INIRA ⇒ SPDR Select Sector Fund - Utilities (XLU) [EF]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
INIRA ⇒ US TREASURY BILL DUE 10/5/23 (912796YJ2) [GS]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
INIRA ⇒ US TREASURY DUE 03/23/23 (912796U31) [GS]	SP	\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
INVESTMENTS ⇒ Personal Home [RP]		\$1,000,001 - \$5,000,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
LOCATION: AUGUSTA, GA, US					
INVESTMENTS ⇒ Personal Home in DC [RP]		\$1,000,001 - \$5,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Washington, DC, US					
DESCRIPTION: Basement Level Apartment rented out					
INVESTMENTS ⇒ RAWA Leasing Equipment [OL]		\$50,001 - \$100,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
LOCATION: Augusta, GA, US					
DESCRIPTION: Construction Equipment Leasing Enterprise					
INVESTMENTS ⇒ 1015 BROAD STREET, LLC ⇒ COMMERCIAL RENTAL PROPERTY [RP]		\$500,001 - \$1,000,000	Rent	\$100,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: Augusta, GA, US					
IRA ⇒ Activision Blizzard, Inc (ATVI) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
IRA ⇒ CASH ACCOUNTS [BA]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
IRA ⇒		\$250,001 -	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Schwab U.S. Broad Market ETF (SCHB) [EF]		\$500,000			
IRA ⇒ Schwab U.S. Mid Cap ETF (SCHM) [EF]		\$50,001 - \$100,000		Tax-Deferred	<input type="checkbox"/>
IRA ⇒ Schwab U.S. Small-Cap ETF (SCHA) [EF]		\$15,001 - \$50,000		Tax-Deferred	<input checked="" type="checkbox"/>
IRA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]		\$100,001 - \$250,000		Tax-Deferred	<input checked="" type="checkbox"/>
IRA ⇒ SPDR Select Sector Fund - Consumer Staples (XLP) [EF]		\$15,001 - \$50,000		Tax-Deferred	<input checked="" type="checkbox"/>
IRA ⇒ SPDR Select Sector Fund - Utilities (XLU) [EF]		\$15,001 - \$50,000		Tax-Deferred	<input checked="" type="checkbox"/>
IRA ⇒ US Treasury Bill Due 9/7/23 (912796YH6) [GS]		\$15,001 - \$50,000		Tax-Deferred	<input checked="" type="checkbox"/>
IRA ⇒ US Treasury Note 2.25% Due 3/31/24 (91282CEG2) [GS]		\$15,001 - \$50,000		Tax-Deferred	<input checked="" type="checkbox"/>
LIVTR ⇒ Abbott Laboratories (ABT) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LIVTR ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LIVTR ⇒ Albemarle Corporation (ALB) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LIVTR ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
LIVTR ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
LIVTR ⇒ Amgen Inc. (AMGN) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
LIVTR ⇒ CASH ACCOUNTS [BA]	SP	\$50,001 - \$100,000	Interest	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LIVTR ⇒ Church & Dwight Company, Inc. (CHD) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LIVTR ⇒ Cintas Corporation (CTAS) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LIVTR ⇒ Costco Wholesale Corporation (COST) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LIVTR ⇒ Deere & Company (DE) [ST]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LIVTR ⇒ Dover Corporation (DOV) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LIVTR ⇒ Home Depot, Inc. (HD) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LIVTR ⇒ Intuit Inc. (INTU) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LIVTR ⇒ Johnson & Johnson (JNJ) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LIVTR ⇒ Marriott International - Class A (MAR) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LIVTR ⇒ Microsoft Corporation (MSFT) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LIVTR ⇒ Paychex, Inc. (PAYX) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LIVTR ⇒ Schwab U.S. Mid Cap ETF (SCHM) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
LIVTR ⇒ Schwab U.S. Small-Cap ETF (SCHA) [EF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
LIVTR ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	SP	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
LIVTR ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
LIVTR ⇒ SPDR Select Sector Fund - Technology (XLK) [EF]	SP	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
LIVTR ⇒ The Communication Services Select Sector SPDR Fund (XLC) [EF]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LIVTR ⇒ US TREASURY BILL DUE 10/5/23 (912796YJ2) [GS]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
LIVTR ⇒ US TREASURY BILL DUE 7/13/23 (912796XQ7) [GS]	SP	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
LIVTR ⇒ Walmart Inc. (WMT) [ST]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
LIVTR ⇒ Walt Disney Company (DIS) [ST]	SP	None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RW Allen Construction LLC2, 22% Interest [OL]  LOCATION: AUGUSTA, GA, US DESCRIPTION: Construction Company	SP	\$250,001 - \$500,000	Distribution	\$100,001 - \$1,000,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ CASH ASSETS, 40% Interest [OL]  LOCATION: AUGUSTA, GA, US DESCRIPTION: Investments	SP	\$1,000,001 - \$5,000,000	Business Income	\$50,001 - \$100,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST ⇒ Northwestern Mutual Comp Life [WU]  R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST ⇒ Northwestern Mutual ORD Life [WU]  R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST ⇒ Northwestern Mutual ORD Life 2 [WU]  R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST ⇒ Northwestern Mutual ORD Life 3 [WU]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST ⇒ Northwestern Mutual ORD Life 4 [WU]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST ⇒ Northwestern Mutual Select 100 [WU]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST ⇒ Northwestern Mutual Select 100 2 [WU]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST ⇒ Northwestern Mutual Whole Life [WU]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST ⇒ Northwestern Mutual Whole Life 2 [WU]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Abbott Laboratories (ABT) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ AbbVie Inc. (ABBV) [ST]	SP	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Albemarle Corporation (ALB) [ST]	SP	\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	\$15,001 - \$50,000	None		<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ American Water Works Company, Inc. (AWK) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Amgen Inc. (AMGN) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ BlackRock, Inc. (BLK) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ CASH ACCOUNTS [BA]	SP	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Chubb Limited (CB) [ST]	SP	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Costco Wholesale Corporation (COST) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Deere & Company (DE) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Dover Corporation (DOV) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Home Depot, Inc. (HD) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Intuit Inc. (INTU) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Johnson & Johnson (JNJ) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Marriott International - Class A (MAR) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Microsoft Corporation (MSFT) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ NextEra Energy, Inc. (NEE) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Pfizer, Inc. (PFE) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Procter & Gamble Company (PG) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab International Equity ETF (SCHF) [EF]	SP	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Broad Market ETF (SCHB) [EF]	SP	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Mid Cap ETF (SCHM) [EF]	SP	None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Small-Cap ETF (SCHA) [EF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	SP	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Sherwin-Williams Company (SHW) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ SouthState Corporation (SSB) [ST]		\$250,001 - \$500,000	Capital Gains, Dividends	\$100,001 - \$1,000,000	<input checked="" type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ SPDR Select Sector Fund - Health Care (XLV) [EF]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Starbucks Corporation (SBUX) [ST]	SP	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Thermo Fisher Scientific Inc (TMO) [ST]	SP	\$50,001 - \$100,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ US TREASURY BILL DUE 12/22/22 (912796X87) [GS]	SP	None	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ US TREASURY BILL DUE 9/15/22 (912796U49) [GS]	SP	None	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ US TREASURY DUE 03/23/23 (912796U31) [GS]	SP	\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ US TREASURY DUE 07/13/23 (912796XQ7) [GS]	SP	\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ US TREASURY NOTE 2.25% 03/24 (91282CEG2) [GS]	SP	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒	SP	\$100,001 -	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
US TREASURY NOTE 3.25% 08/24 (91282CFG1) [GS]		\$250,000			
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ US TREASURY NOTE 4.25% 09/24 (91282CFN6) [GS]	SP	\$100,001 - \$250,000	None		<input checked="" type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Walmart Inc. (WMT) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Waste Management, Inc. (WM) [ST]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
CONT IRA ⇒ COLUMBIA LARGE CAP GROWTH OPP A (NFEAX) [MF]	SP	02/4/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
CONT IRA ⇒ Schwab U.S. Broad Market ETF (SCHB) [EF]	SP	07/14/2022	P	\$1,001 - \$15,000	
CONT IRA ⇒ Schwab U.S. Mid Cap ETF (SCHM) [EF]	SP	08/10/2022	P	\$1,001 - \$15,000	
COMMENTS: Note: Asset value less than \$1k therefore not in Schedule A					
CONT IRA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	SP	07/8/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
CONT IRA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	SP	03/10/2022	P	\$1,001 - \$15,000	
INIRA ⇒ Activision Blizzard, Inc (ATVI) [ST]	SP	02/10/2022	P	\$1,001 - \$15,000	
INIRA ⇒ Schwab U.S. Mid Cap ETF (SCHM) [EF]	SP	07/11/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
INIRA ⇒ Schwab U.S. Small-Cap ETF (SCHA) [EF]	SP	08/19/2022	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
INIRA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]	SP	07/8/2022	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
INIRA ⇒ SPDR Select Sector Fund - Consumer Staples (XLP) [EF]	SP	07/8/2022	P	\$15,001 - \$50,000	
INIRA ⇒ SPDR Select Sector Fund - Utilities (XLU) [EF]	SP	08/3/2022	P	\$15,001 - \$50,000	
INIRA ⇒ US TREASURY BILL DUE 10/5/23 (912796YJ2) [GS]	SP	12/8/2022	P	\$15,001 - \$50,000	
INIRA ⇒ US TREASURY BILL DUE 3/23/23 (912796U31) [GS]	SP	10/7/2022	P	\$15,001 - \$50,000	
IRA ⇒ Activision Blizzard, Inc (ATVI) [ST]		02/10/2022	P	\$1,001 - \$15,000	
IRA ⇒ iShares 1-5 Year Investment Grade Corporate Bond ETF (IGSB) [EF]		02/2/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
IRA ⇒ PUTNAM ULTRA SHORT DURATION INCOME A (PSDTX) [MF]		03/8/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
IRA ⇒ Schwab U.S. Small-Cap ETF (SCHA) [EF]		08/16/2022	P	\$15,001 - \$50,000	
IRA ⇒ Schwab US Dividend Equity ETF (SCHD) [EF]		07/14/2022	P	\$1,001 - \$15,000	
IRA ⇒ SPDR Select Sector Fund - Consumer Staples (XLP) [EF]		07/8/2022	P	\$15,001 - \$50,000	
IRA ⇒ SPDR Select Sector Fund - Utilities (XLU) [EF]		08/3/2022	P	\$15,001 - \$50,000	
IRA ⇒ US TREASURY BILL DUE 9/15/22 (912796U49) [GS]		03/25/2022	P	\$15,001 - \$50,000	
IRA ⇒ US Treasury Bill Due 9/7/23 (912796YH6) [GS]		09/16/2022	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
IRA ⇒ US Treasury Note 2.25% Due 3/31/24 (91282CEG2) [GS]		05/10/2022	P	\$15,001 - \$50,000	<input type="checkbox"/>
LIVTR ⇒ PUTNAM ULTRA SHORT DURATION INCOME A (PSDTX) [MF]	SP	01/25/2022	S	\$50,001 - \$100,000	<input type="checkbox"/>
LIVTR ⇒ Schwab U.S. Broad Market ETF (SCHB) [EF]	SP	12/8/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
LIVTR ⇒ Schwab U.S. Small-Cap ETF (SCHA) [EF]	SP	12/8/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
LIVTR ⇒ Schwab U.S. Small-Cap ETF (SCHA) [EF]	SP	08/19/2022	P	\$1,001 - \$15,000	<input type="checkbox"/>
LIVTR ⇒ Starbucks Corporation (SBUX) [ST]	SP	01/25/2022	S	\$1,001 - \$15,000	<input type="checkbox"/>
LIVTR ⇒ US TREASURY BILL DUE 10/5/23 (912796YJ2) [GS]	SP	10/7/2022	P	\$15,001 - \$50,000	<input type="checkbox"/>
LIVTR ⇒ US TREASURY BILL DUE 7/13/23 (912796XQ7) [GS]	SP	08/19/2022	P	\$15,001 - \$50,000	<input type="checkbox"/>
LIVTR ⇒ Walt Disney Company (DIS) [ST]	SP	01/25/2022	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Amgen Inc. (AMGN) [ST]	SP	08/18/2022	P	\$15,001 - \$50,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Chubb Limited (CB) [ST]	SP	08/18/2022	P	\$15,001 - \$50,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ iShares 1-5 Year Investment Grade Corporate Bond ETF (IGSB) [EF]	SP	02/3/2022	S	\$100,001 - \$250,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ PUTNAM ULTRA SHORT DURATION INCOME A (PSDTX) [MF]	SP	03/8/2022	S	\$250,001 - \$500,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab International Equity ETF (SCHF) [EF]	SP	08/16/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Broad Market ETF (SCHB) [EF]	SP	12/8/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Mid Cap ETF (SCHM) [EF]	SP	12/8/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Mid Cap ETF (SCHM) [EF]	SP	08/18/2022	P	\$15,001 - \$50,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Schwab U.S. Small-Cap ETF (SCHA) [EF]	SP	12/8/2022	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ SouthState Corporation (SSB) [ST]	SP	01/18/2022	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ SouthState Corporation (SSB) [ST]	SP	02/9/2022	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ SouthState Corporation (SSB) [ST]	SP	02/16/2022	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ SouthState Corporation (SSB) [ST]	SP	04/4/2022	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ SouthState Corporation (SSB) [ST]	SP	05/5/2022	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ SouthState Corporation (SSB) [ST]	SP	01/13/2022	S (partial)	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Thermo Fisher Scientific Inc (TMO) [ST]	SP	03/10/2022	P	\$15,001 - \$50,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ US TREASURY BILL DUE 12/22/22 (912796X87) [GS]	SP	10/7/2022	P	\$100,001 - \$250,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ US TREASURY BILL DUE 3/23/23 (912796U31) [GS]	SP	09/19/2022	P	\$100,001 - \$250,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ US TREASURY BILL DUE 7/13/23 (912796XQ7) [GS]	SP	08/18/2022	P	\$100,001 - \$250,000	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ US TREASURY BILL DUE 9/15/22 (912796U49) [GS]	SP	03/21/2022	P	\$100,001 - \$250,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ US TREASURY BILL DUE 9/15/22 (912796U49) [GS]	SP	05/23/2022	P	\$100,001 - \$250,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ US TREASURY NOTE 2.25% 03/24 (91282CEG2) [GS]	SP	05/10/2022	P	\$100,001 - \$250,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ US TREASURY NOTE 3.25% 08/24 (91282CFG1) [GS]	SP	09/16/2022	P	\$100,001 - \$250,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ US TREASURY NOTE 4.25% 09/24 (91282CFN6) [GS]	SP	12/23/2022	P	\$100,001 - \$250,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Walt Disney Company (DIS) [ST]	SP	08/11/2022	S	\$15,001 - \$50,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Walt Disney Company (DIS) [ST]	SP	02/10/2022	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Walt Disney Company (DIS) [ST]	SP	03/14/2022	P	\$15,001 - \$50,000	
R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities ⇒ Waste Management, Inc. (WM) [ST]	SP	01/13/2022	P	\$15,001 - \$50,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

<b>Source</b>	<b>Type</b>	<b>Amount</b>
RW ALLEN CONTRUCTIONS LLC	SPOUSE SALARY	N/A
IRA DISTRIBUTION	SPOUSE RMD FOR 2022	N/A

## SCHEDULE D: LIABILITIES

<b>Owner Creditor</b>	<b>Date Incurred</b>	<b>Type</b>	<b>Amount of Liability</b>
Wells Fargo Bank	10/2010	Mortgage on Primary Residence, Augusta, GA	\$100,001 - \$250,000
South State Bank Augusta, GA	12/2014	Mortgage on Secondary Residence, Washington, D.C.	\$500,001 - \$1,000,000

## **SCHEDULE E: POSITIONS**

None disclosed.

## **SCHEDULE F: AGREEMENTS**

None disclosed.

## **SCHEDULE G: GIFTS**

None disclosed.

## **SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

None disclosed.

## **SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

None disclosed.

## **SCHEDULE A AND B ASSET CLASS DETAILS**

- BANK ACCOUNT(S)  
LOCATION: US
- CONT IRA (Owner: SP)
- INIRA (Owner: SP)
- INVESTMENTS  
LOCATION: US
- INVESTMENTS ⇒ 1015 BROAD STREET, LLC  
LOCATION: US
- IRA
- LIVTR (Owner: SP)  
LOCATION: US
- R.W. Allen & Associates, Inc. (Owner: SP)  
LOCATION: US
- R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC (45% Interest) (Owner: SP)  
LOCATION: US
- R.W. Allen & Associates, Inc. ⇒ R.W. ALLEN, LLC ⇒ LIFE INSURANCE TRUST (Owner: SP)
- R.W. Allen & Associates, Inc. ⇒ RWA&A - Securities (100% Interest) (Owner: SP)

LOCATION: Augusta/ Richmond, GA, US

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Richard W. Allen , 08/12/2023