



Filing ID #10040623

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. Tim Walberg
Status: Member
State/District: MI07

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2020
Filing Date: 08/11/2021

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
American Beacon Int'l Equity [MF]	JT	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
American Funds EuroPacific Growth Fund [MF]	JT	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
ASG (Natixis) Managed Futures Fund [MF]	JT	None	Capital Gains	None	<input checked="" type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
Bank Deposit Sweep Program Mny Mkt QPRMQ [BA]	JT	\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Money Market/Cash					
CIM Real Estate Fin TR INC COM [RE]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Clearbridge Midcap CL I [MF]	JT	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
CREF Global Equities [FN]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
CREF Growth [FN]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
CREF Stock [FN]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Delaware Small Cap Core [MF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
Federated Hermes Short-term Income Fund [MF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
Fidelity Advisor Int'l Capital Appreciation Fund [MF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
Fidelity Advisor Total Bond Fund [MF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
Fidelity Conservative Income Bond Fund [MF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
FMI Large Cap Fund [MF]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
FS Energy & Power Fund Common [MF]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
FtChoe Vest U.S. Equity Deep Buffer ETF [EF]	JT	\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
Gateway Class C [MF]	JT	None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
GS Fin Corp Index-linked Mtn Zero Cpn [CS]	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Interest earned on bond was \$511.25					
John Hancock Disciplined Value Fund [MF]	JT	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
John Hancock Funds Strategic Income Opportunities Fund [MF]	JT	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
JPMorgan Hedged Equity [MF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
Lord Abbott Ultra Short Bond Fund [MF]	JT	\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
MFS Growth Fund CL I [MF]	JT	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
Pacific Funds Short Duration Fund [MF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
Pimco All Asset All Authority Fund [MF]	JT	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
Savoy Energy, LP [OT]		Undetermined	mineral rights/royalties	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: royalties from our interest in an oil well					
TIAA Real Estate [FN]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TIAA Traditional [FN]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Virtus Trend Fund [MF]	JT	None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
American Beacon Int'l Equity [MF]	JT	05/11/2020	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
American Beacon Int'l Equity [MF]	JT	06/2/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
American Beacon Int'l Equity [MF]	JT	02/4/2020	P	\$1,001 - \$15,000	
DESCRIPTION: Portfolio Rebalance					
American Funds EuroPacific Growth Fund [MF]	JT	05/11/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
American Funds EuroPacific Growth Fund [MF]	JT	06/2/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
American Funds EuroPacific Growth Fund [MF]	JT	02/4/2020	P	\$1,001 - \$15,000	
ASG (Natixis) Managed Futures Fund [MF]	JT	02/4/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
Clearbridge Midcap CL I [MF]	JT	05/11/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
Clearbridge Midcap CL I [MF]	JT	02/4/2020	P	\$1,001 - \$15,000	
DESCRIPTION: Portfolio Rebalance					
Delaware Small Cap Core [MF]	JT	05/11/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
Delaware Small Cap Core [MF]	JT	02/4/2020	P	\$1,001 - \$15,000	
DESCRIPTION: Portfolio Rebalance					
Delaware Small Cap Core [MF]	JT	10/6/2020	P	\$1,001 - \$15,000	
DESCRIPTION: Portfolio Rebalance					
Federated Hermes Short-term Income Fund [MF]	JT	02/4/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
Federated Hermes Short-term Income Fund [MF]	JT	05/11/2020	P	\$15,001 - \$50,000	
DESCRIPTION: Portfolio Rebalance					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Federated Hermes Short-term Income Fund [MF]	DC	07/10/2020	P	\$1,001 - \$15,000	
DESCRIPTION: Portfolio Rebalance					
Federated Hermes Short-term Income Fund [MF]	JT	11/30/2020	P	\$1,001 - \$15,000	
DESCRIPTION: Portfolio Rebalance					
Fidelity Advisor Int'l Capital Appreciation Fund [MF]	JT	11/9/2020	P	\$1,001 - \$15,000	
DESCRIPTION: Portfolio Rebalance					
Fidelity Advisor Total Bond Fund [MF]	JT	02/4/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
Fidelity Advisor Total Bond Fund [MF]	JT	05/11/2020	P	\$1,001 - \$15,000	
DESCRIPTION: Portfolio Rebalance					
FMI Large Cap Fund [MF]	JT	11/6/2020	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
FMI Large Cap Fund [MF]	JT	02/4/2020	P	\$1,001 - \$15,000	
DESCRIPTION: Portfolio Rebalance					
FMI Large Cap Fund [MF]	JT	05/11/2020	P	\$1,001 - \$15,000	
DESCRIPTION: Portfolio Rebalance					
FMI Large Cap Fund [MF]	JT	07/10/2020	P	\$1,001 - \$15,000	
DESCRIPTION: Portfolio Rebalance					
FtChoe Vest U.S. Equity Deep Buffer ETF [EF]	JT	05/11/2020	P	\$1,001 - \$15,000	
DESCRIPTION: Portfolio Rebalance					
FtChoe Vest U.S. Equity Deep Buffer ETF [EF]	JT	07/10/2020	P	\$1,001 - \$15,000	
DESCRIPTION: Portfolio Rebalance					
FtChoe Vest U.S. Equity Deep Buffer ETF [EF]	JT	11/30/2020	P	\$1,001 - \$15,000	
Gateway Fund Cl C [MF]	JT	02/4/2020	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
John Hancock Disciplined Value Fund [MF]	JT	05/11/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: Portfolio Rebalance					
John Hancock Disciplined Value Fund [MF]	JT	02/4/2020	P	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
John Hancock Funds Strategic Income Opportunities Fund [MF]	JT	11/9/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
John Hancock Funds Strategic Income Opportunities Fund [MF]	JT	11/30/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
JPMorgan Hedged Equity [MF]	JT	05/11/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Portfolio rebalance					
JPMorgan Hedged Equity [MF]	JT	02/4/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
JPMorgan Hedged Equity [MF]	JT	07/10/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
JPMorgan Hedged Equity [MF]	JT	11/30/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
Lord Abbott Ultra Short Bond Fund [MF]	JT	02/4/2020	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
Lord Abbott Ultra Short Bond Fund [MF]	JT	05/11/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
Lord Abbott Ultra Short Bond Fund [MF]	JT	07/10/2020	P	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
MFS Growth Fund CL I [MF]	JT	02/4/2020	P	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
MFS Growth Fund CL I [MF]	JT	05/11/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
Pacific Funds Short Duration Fund [MF]	JT	02/4/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
(partial)					
DESCRIPTION: Portfolio Rebalance					
Pacific Funds Short Duration Fund [MF]	JT	05/11/2020	P	\$15,001 - \$50,000	
DESCRIPTION: Portfolio Rebalance					
Pacific Funds Short Duration Fund [MF]	JT	07/10/2020	P	\$1,001 - \$15,000	
DESCRIPTION: Portfolio Rebalance					
Pacific Funds Short Duration Fund [MF]	JT	11/30/2020	P	\$1,001 - \$15,000	
Pimco All Asset All Authority Fund [MF]	JT	02/4/2020	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					
Virtus Trend Fund [MF]	JT	02/4/2020	S	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: Portfolio Rebalance					

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
State of Michigan	legislative pension	\$80,453.00

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
April 2005	State of Michigan	pension for services in MI House of Representatives

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Tim Walberg , 08/11/2021