

# CSCI 200: Foundational Programming Concepts & Design

## Lecture 36



### Sorting Algorithms

# Previously in CSCI 200



- **try throw catch**
  - **try** to run potentially dangerous code
  - If something dangerous happens, **throw** an exception
  - **catch** the exception and handle the error

```
try {  
    // statements that could throw an exception  
} catch (ExceptionType1 e) {  
} catch (ExceptionType2 e) {  
} catch (...) { // generic catch anything that doesn't match above  
}
```

# Questions?



??

# Learning Outcomes For Today



- Explain how sorting a list affects the performance of searching for a value in a list.
- Generate pseudocode to (1) find the minimum or maximum value in a list (2) sort a list using selection/insertion/bubble sort.
- Discuss the differences of how to swap elements in an array vs a linked list.
- Implement the merge sort algorithm using recursion.

# First...Searching



- How does a human search for something?

Kayak word search.

A A Y K A K A Y K A Y Y K K A A K A Y A A A Y K Y K Y A Y K  
K Y Y A K Y A A A Y K A A K K Y A K K K A A A K A A Y K A A  
K K K Y A K A K A Y K K Y K K Y K K A K Y A A A A K A A K K  
Y K A A K Y A A K K K K K A Y A Y Y Y Y A A K A A K K K K  
K K A Y Y A A K K Y Y A Y A A K A A K K A A Y K A K K A A K  
K K A A A K A A A K K A K K K Y A A A Y K Y A Y A K Y K A A  
K K Y K A A A A A A A A A K K A A A K Y Y A K A K A K K Y  
K K K K K K A K Y Y K Y Y K K A A Y A K A A A K Y A K K A A  
K A K K A K A Y K A A Y A K Y Y K K A Y K K K A A A A A K A  
K K A K A K A K A A A K A Y A Y K A K A Y Y A Y K K A K Y Y  
A A A Y A K K K A K K A K Y K A Y K K A K K Y K A A K K Y Y  
Y K K K Y K K Y A A A K K K K A K A Y K K K K K A A K K K Y  
K K A K A Y K A A K A K K Y Y A K A Y A A K Y A A A A A A  
A A A A K K Y A A K K A A Y K K A A A Y A Y A K Y A K A Y K  
A K K A K K A Y K A A Y K Y K A K Y A A K K Y K K K K K K A  
K Y K A A K K Y A Y K A K K K Y K A K A Y A Y K Y A A A K K  
K A Y Y K K A K Y K A Y A A K A Y A A Y Y Y A K K Y K Y K K  
K K K K A K A Y A A A K K A Y A Y K K Y A K A A A A Y K A Y  
A Y K A K K K A A K A K A Y A A A K K Y K K A Y K A Y A Y Y  
K Y A Y **K A Y A K** A K Y Y K Y K A K A A Y K Y K K A Y K A K  
A K A K K A K K A K K K K A A A K Y Y Y Y K A K A A A A A K  
K A K Y Y K A K K Y K Y A K Y A A A A A K A K A Y A A K K A  
K K A A A K K A K A K K A A K A Y A A Y A A A K K A A Y Y A  
K A K K Y Y K K A Y A Y K K A K K Y Y K Y K Y K K A A A K A

Words to find:

KAYAK

# First...Searching



- How does a human search for something?



wiseGEEK

# Searching & Sorting



- Easier to search for something when the collection is sorted!

# On Tap For Today



- MinMax
- Sorting
  - Selection Sort
  - Insertion Sort
  - Bubble Sort
  - Merge Sort
- Practice



# On Tap For Today



- MinMax
- Sorting
  - Selection Sort
  - Insertion Sort
  - Bubble Sort
  - Merge Sort
- Practice

# Finding the Min/Max Value



- Pseudocode
  - Store the first value of the list as our current min/max
  - For every element in the list
    - Min – if an element is smaller than our current min, then that element is our new min
    - Max - If an element is larger than our current max, then that element is our new max

# On Tap For Today



- MinMax
- **Sorting**
  - Selection Sort
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  - Bubble Sort
  - Merge Sort
- Practice

# Sorting Algorithms



- Many different ways to sort a list
- <http://www.sorting-algorithms.com/>

# On Tap For Today



- MinMax
- **Sorting**
  - **Selection Sort**
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  - Bubble Sort
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# Selection Sort



- Pseudocode
  - Find the minimum value, swap with the 1<sup>st</sup> position
  - Find the next minimum value, swap in the 2<sup>nd</sup> position
  - Continue until you have found the second to largest value and swapped in the second to last position

	8
	5
	2
	6
	9
	3
	1
	4
	0
	7

# Selection Sort



- Consider a list of  $n$  elements
  - After we find the smallest element and put it in the first position
  - 1 element is sorted,  $n-1$  elements are unsorted

# Selection Sort



- Consider a list of  $n-1$  elements
  - After we find the smallest element and put it in the first position
  - 1 element is sorted,  $n-2$  elements are unsorted



# Selection Sort



- Consider a list of  $n$  elements
  - After we find the two smallest element and put them in the first two positions
  - 2 smallest elements are sorted,  $n-2$  elements are unsorted

# Selection Sort



- Consider a list of  $n$  elements
  - After we find the  $k$  smallest element and put them in the first  $k$  positions
  - $k$  smallest elements are sorted,  $n-k$  elements are unsorted

# Selection Sort Pseudocode



- Can be implemented with two nested for loops

```
for i=0 to end
```

```
    k = i
```

```
    for j=i+1 to end
```

```
        if list[j] < list[k]
```

```
            k = j
```

```
    swap list[i] & list[k]
```

# Arrays vs Linked List



- How to swap?

# Sorting Complexities



Algorithm	Worst Case	Best Case	Average Case
Selection Sort			

- When does the worst case scenario occur?
- When does the best case scenario occur?

# Sorting Complexities



Algorithm	Worst Case	Best Case	Average Case
Selection Sort	$O(n^2)$	$O(n^2)$	$O(n^2)$

# On Tap For Today



- MinMax
- **Sorting**
  - Selection Sort
  - **Insertion Sort**
  - Bubble Sort
  - Merge Sort
- Practice

# Insertion Sort



- Pseudocode
  - For current spot
    - Move towards the front and slide larger elements over
    - Insert current value after a smaller value

6 5 3 1 8 7 2 4



# Insertion Sort



- Consider a list of  $n$  elements
  - After we processing  $k$  elements and put them in the first  $k$  positions
  - $k$  elements are sorted,  $n-k$  elements are unsorted

# Insertion Sort Pseudocode



- Can be implemented with two nested loops

```
for i=1 to n
```

```
    x = list[i]
```

```
    j = i-1
```

```
    while j >= 0 and list[j] > x
```

```
        list[j+1] = list[j]
```

```
        j--
```

```
    list[j+1] = x
```

- Complexity?

# Sorting Complexities



Algorithm	Worst Case	Best Case	Average Case
Selection Sort	$O(n^2)$	$O(n^2)$	$O(n^2)$
Insertion Sort			

# Sorting Complexities



Algorithm	Worst Case	Best Case	Average Case
Selection Sort	$O(n^2)$	$O(n^2)$	$O(n^2)$
Insertion Sort	$O(n^2)$	$O(n)$	$O(n^2)$

- Why is best case better for insertion sort?

# Insertion Sort Pseudocode



- Can be implemented with two nested loops

```
for i=1 to n
```

```
    x = list[i]
```

```
    j = i-1
```

```
    while j >= 0 and list[j] > x
```

```
        list[j+1] = list[j]
```

```
        j--
```

```
    list[j+1] = x
```

- Complexity?

# On Tap For Today



- MinMax
- **Sorting**
  - Selection Sort
  - Insertion Sort
  - **Bubble Sort**
  - Merge Sort
- Practice

# Bubble Sort



- Pseudocode
  - Find largest element by
    - Repeatedly compare neighboring elements
    - If out of order, swap
  - Repeat for all  $i^{\text{th}}$  largest elements
- Values “bubble up” to the top

6 5 3 1 8 7 2 4

# Bubble Sort



- Consider a list of  $n$  elements
  - After we processing  $k$  elements and put them in the last  $k$  positions
  - $k$  largest elements are sorted,  $n-k$  elements are unsorted



# Bubble Sort Pseudocode



- Can be implemented with two nested loops

```
for i=0 to n
```

```
    for j=1 to n-i
```

```
        if list[j-1] > list[j]
```

```
            swap list[j-1] & list[j]
```

- Complexity?

# Sorting Complexities



Algorithm	Worst Case	Best Case	Average Case
Selection Sort	$O(n^2)$	$O(n^2)$	$O(n^2)$
Insertion Sort	$O(n^2)$	$O(n)$	$O(n^2)$
Bubble Sort			

# Sorting Complexities



Algorithm	Worst Case	Best Case	Average Case
Selection Sort	$O(n^2)$	$O(n^2)$	$O(n^2)$
Insertion Sort	$O(n^2)$	$O(n)$	$O(n^2)$
Bubble Sort	$O(n^2)$	$O(n)$	$O(n^2)$

# Sorting Complexities



Algorithm	Worst Case	Best Case	Average Case
Selection Sort	$O(n^2)$	$O(n^2)$	$O(n^2)$
Insertion Sort	$O(n^2)$	$O(n)$	$O(n^2)$
Bubble Sort	$O(n^2)$	$O(n)$	$O(n^2)$

```
for i=0 to n
    numSwaps = 0
    for j=1 to n-i
        if list[j-1] > list[j]
            swap list[j-1] & list[j]
            numSwaps++
    if numSwaps == 0
        break
```

# Sorting Complexities



Algorithm	Worst Case	Best Case	Average Case
Selection Sort	$O(n^2)$	$O(n^2)$	$O(n^2)$
Insertion Sort	$O(n^2)$	$O(n)$	$O(n^2)$
Bubble Sort	$O(n^2)$	$O(n)$	$O(n^2)$

- Not ideal

# On Tap For Today



- MinMax
- **Sorting**
  - Selection Sort
  - Insertion Sort
  - Bubble Sort
  - **Merge Sort**
- Practice

# Merge Sort Idea



1. Split list in half
2. Sort each half
3. Merge the two halves

# Merge Sort Idea



1. Split list in half
2. Sort each half
  - for each half
3. Merge the two halves



# Merge Sort Idea



1. Split list in half
2. Sort each half
  - for each half
    1. Split half in half (into quarters)
    2. Sort each quarter
    3. Merge the two quarters
3. Merge the two halves

# Merge Sort Idea



1. Split list in half
2. Sort each half
  - for each half
    1. Split half in half (into quarters)
    2. Sort each quarter
    3. Merge the two quarters
3. Merge the two halves

# Merge Sort Idea



1. Split list in half
2. Sort each half
  - for each half
    1. Split half in half (into quarters)
    2. Sort each quarter
    3. Merge the two quarters
3. Merge the two halves

# Merge Sort Idea



1. Split list in half
2. Sort each half
  - for each half
    1. Split half in half (into quarters)
    2. Sort each quarter
    3. Merge the two quarters
3. Merge the two halves

# Merge Sort Idea



1. Split list in half
2. Sort each half
  - for each half
    1. Split half in half (into quarters)
    2. Sort each quarter
    3. Merge the two quarters
3. Merge the two halves

- Defined in terms of itself → Recursion!

# Sorting Complexities



Algorithm	Worst Case	Best Case	Average Case
Selection Sort	$O(n^2)$	$O(n^2)$	$O(n^2)$
Insertion Sort	$O(n^2)$	$O(n)$	$O(n^2)$
Bubble Sort	$O(n^2)$	$O(n)$	$O(n^2)$
Merge Sort	???	???	???

# On Tap For Today



- MinMax
- Sorting
  - Selection Sort
  - Insertion Sort
  - Bubble Sort
  - Merge Sort
- Practice

# To Do For Next Time



- Rest of semester
  - M 11/20: Recursion + Merge Sort
  - M 11/27: Linear & Binary Search
  - W 11/29: 2D Lists + BFS/DFS
  - F 12/01: Stack & Queue
  - M 12/04: Trees & Graphs, Quiz 6
  - W 12/06: Exam Review
  - R 12/07: Set6, SetXC, Final Project due
  - M 12/11 8am – 10am: Final Exam