

## The Processes and Structure of Convective Boundary Mixing

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### ABSTRACT

Convective motions extend beyond the nominal boundaries of a convection zone. The mechanisms which drive these motions are collectively called “convective boundary mixing” (CBM). In this note, we discuss three fluid dynamical processes: mechanical overshoot, entrainment, and penetrative convection. We describe the structure of a convective boundary when all of these processes are active. To resolve discrepancies between models and observations, the stellar astrophysics community must distinguish between these processes and parameterize each of them into 1D evolutionary models.

*Keywords:* Stellar convection zones (301), Stellar physics (1621); Stellar evolutionary models (2046)

### 1. INTRODUCTION

Observations tell us that we do not understand convective boundary mixing (CBM, Pinsonneault 1997; Claret & Torres 2018; Pedersen et al. 2021). In order to resolve this problem, we need to build a community understanding of CBM processes. This note briefly describes three fluid dynamical processes: mechanical overshoot, entrainment, and penetrative convection. Each of these processes occurs at convective boundaries in stars, and each should be separately parameterized and implemented in 1D stellar evolution software instruments.

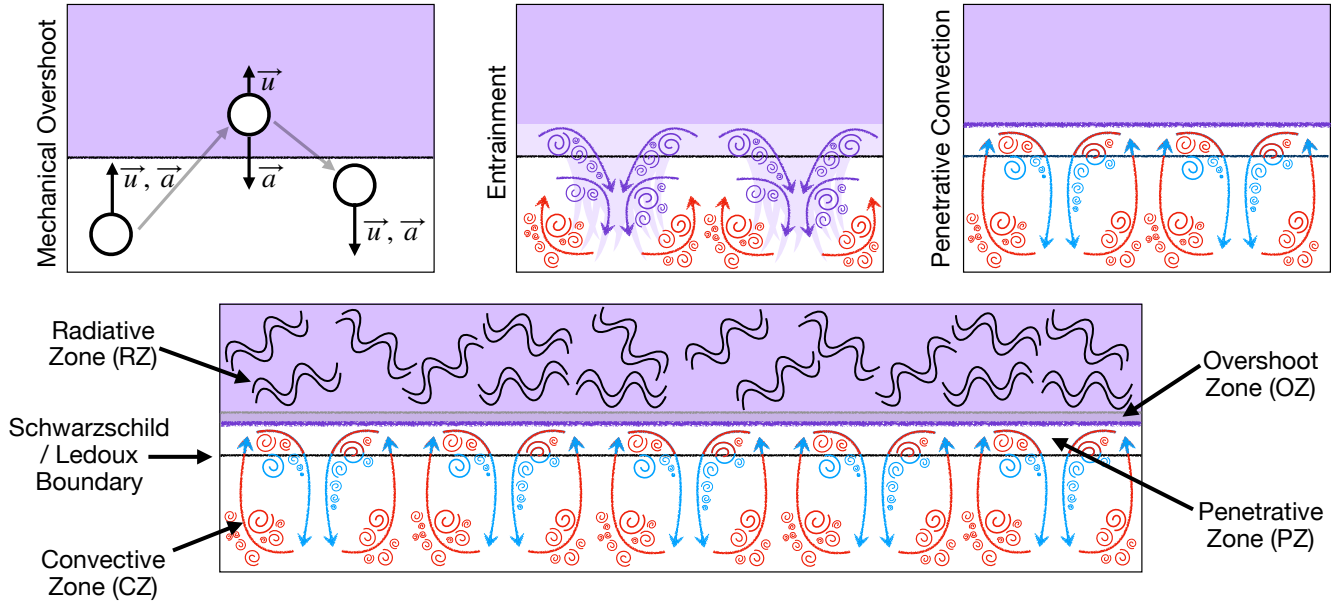
### 2. CBM PROCESSES

In the following discussion of each CBM process, “convective boundary” refers to the location coinciding with the sign change of either the Schwarzschild or Ledoux discriminant.

#### 2.1. Mechanical Overshoot

The process of mechanical overshoot (or convective overshoot) is shown in the upper left panel of Fig. 1. Mechanical overshoot occurs because the convective boundary is not the location where convective velocities are zero, but rather the location where the *buoyant acceleration* of the fluid is zero. Flows buoyantly decelerate beyond the convective boundary, so there is an extended overshoot zone (OZ) with nonzero convective velocities.

A simple  $\Delta x = u\Delta t$  argument provides an estimate for how far convective motions overshoot. Here  $\Delta x$  is the overshoot distance,  $u$  is the convective velocity, and  $\Delta t \approx N^{-1}$  where  $N$  is the Brunt-Väisälä frequency in the stable region. In stellar environments, this estimate generally retrieves  $\Delta x \ll H_P$ , where  $H_P$  is the pressure scale height.



**Figure 1.** The three processes discussed in Sec. 2 are schematically demonstrated in the top row. White fluid has the properties of the well-mixed convective zone (CZ), while purple fluid is stable. (Left) Mechanical overshoot occurs when a fluid parcel from the CZ crosses into the radiative zone (RZ); since its properties differ from those of the stable background, it is accelerated back into the CZ. (Middle) Motions generated by overshooting fluid parcels drag fluid from the RZ into the CZ in a process called entrainment. (Right) If a region of fluid beyond the convective boundary becomes well-mixed, it is a penetrative zone (PZ); divergences in the radiative flux make hot upflows turn cold and make downflows hot in this region. In the bottom panel, we show the structure of a statistically-stationary convective boundary. The CZ sits below a well-mixed PZ. Above the PZ, the fluid is stable but there is a small overshoot zone (OZ) where mechanical overshoot occurs. Above this region is the stable RZ where there are internal gravity waves excited by the convection.

The exponential overshoot parameterization (per e.g., Herwig 2000) which is frequently implemented in 1D models describes this process fairly well, but 1D modelers generally use a large  $\Delta x/H_P \sim \mathcal{O}(0.1)$ . Hydrodynamical simulations of overshoot have been discussed and contextualized in e.g., Korre et al. (2019).

## 2.2. Entrainment

The process of entrainment is shown in the upper middle panel of Fig. 1. Return flows from overshooting convection carry fluid with the chemical and thermodynamic signature of the radiative zone (RZ). This material then rapidly turbulently mixes in the convection zone. As a result, convective motions which overshoot and entrain materials can gradually move convective boundaries. Since entrainment is linked to mechanical overshooting, the overshoot distance  $\Delta x$  directly relates to how much fluid a single flow can entrain.

Entrainment has been modeled in 1D stellar evolution codes by Staritsin (2013) and Scott et al. (2021), but their implementations differ from one another and entrainment is not standard in any code. Hydrodynamical simulations of entrainment have been discussed and contextualized in e.g., Fuentes & Cumming (2020).

## 2.3. Penetrative Convection

The process of penetrative convection is shown in the upper right panel of Fig. 1. Through continual overshoot and entrainment, convection can create well-mixed regions which extend beyond the convective boundary. In these adiabatic extensions to the convection zone, weak buoyancy forces act to decelerate convective flows. Since penetrative zones (PZs) can gradually grow over many dynamical times, they can be much larger than the overshoot distance  $\Delta x$ .

Penetrative convection most closely resembles “step overshoot” employed in 1D models, but penetrative convection mixes both entropy and composition. Hydrodynamical simulations of penetrative convection have been discussed and contextualized in e.g., Anders et al. (2021).

## 3. CONVECTIVE BOUNDARY STRUCTURE

The structure of a convective boundary in its stationary state is shown in the bottom panel of Fig. 1. The convective boundary as determined by the Schwarzschild or Ledoux criterion is denoted by a black horizontal line, and the convective zone (CZ) is below that line. In the CZ, upflows are hot and downflows are cold. A well-mixed PZ is above the CZ; there, upflows are cold and downflows are hot, so flows buoyantly decelerate. The boundary between the PZ and the stably-stratified OZ above it is marked by a purple line. In the OZ, convective motions are rapidly buoyantly decelerated by a strong positive entropy gradient. The top of the OZ is marked by a thin grey line, and an RZ filled with internal gravity waves lies above it. Note that there is no “entrainment zone;” the entrainment which establishes the penetrative zone is a transient process, but there may still be entrainment of material from the OZ into the PZ.

#### 4. CONCLUSION

In conclusion, convective boundary mixing (CBM) is a conglomeration of a few distinct dynamical processes. These processes include mechanical overshoot, entrainment, and penetrative convection. A thorough understanding and parameterization of each of these processes can reduce discrepancies between models and observations.

We have not made any distinction between radiative zones which are *thermally* stable and those which are *compositionally* stable. Composition gradients are subject to the processes discussed in this work in the same way as thermal gradients. The only distinction is that the radiative flux, and divergences in it, act to restore the thermal gradients to their radiative values, while composition gradients have no restoring flux.

Modeling of convective boundaries has plagued stellar structure modelers for many years (Paxton et al. 2011, 2018, 2019). Unfortunately, throughout the stellar structure literature, “convective overshoot,” “convective penetration,” and “convective boundary mixing” are often used interchangeably, which increases confusion regarding this tricky topic. Coming to an agreement as a community about the terminology of convective boundary mixing and the processes that terminology refers to will help us pinpoint areas where models behave poorly and design experiments to improve those models.

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