

Dear Emmanuel,

We return our revised manuscript, “Convective dynamics with mixed temperature boundary conditions: why thermal relaxation matters and how to accelerate it.” We thank the referees for their time and effort in reviewing our work; we would like to particularly thank referee 1 for their careful and thoughtful comments. We have addressed the questions and concerns of the referees, and we rebut the claim of referee 2 that our results are not novel.

This version of the manuscript has been substantially edited and logically reorganized compared to the previous version. However, the main results, conclusions, and figures are largely the same. We would like to point out a few major changes in this version of the manuscript:

1. The abstract has been largely rewritten for clarity.
2. The “Simulation Details” section has been broken up into subsections, and two new subsections have been added. These discuss:
  - (a) The different initial conditions used in the simulations in this work. The description of our TT-to-FT procedure has been moved here and expanded. Per the advice of referee 1, we have also added and studied new “Nu-based” boundary conditions.
  - (b) Evolutionary and dynamical timescales. The text of the “changing timescales” section, previously in the results, has been moved here.
3. The “Results” section has been broken up into two major sections. Section III focuses on the time evolution of FT simulations which employ different initial conditions, and it compares their equilibrated states to TT simulations. Section IV focuses on asymmetries in the flows introduced by the boundary conditions.
4. We have computed a 3D, non-rotating case. Its results are presented in section III.D, along with a new figure, Fig. 4.

Aside from these major changes and reorganizations, we have cleaned up the text throughout the paper for clarity, including the abstract. We have included a “redlined” version of the manuscript in this resubmission which highlights the changes we have made to the text of the manuscript.

We feel that the clarity and scientific content of the paper have been greatly improved by the referee process. Below we include our responses to both referee reports; the original text of the reports is included in italics, and our responses follow inline in unitalicized blocks.

Sincerely,

Evan H. Anders, Geoffrey M. Vasil, Benjamin P. Brown, and Lydia Korre

## Response to first report:

*This is an interesting paper presenting numerical simulations of convection (Rayleigh-Bénard and rotating Rayleigh-Bénard) with specific boundary conditions relevant for astrophysical fluid dynamics. 2 objectives are pursued: (i) a scientific one, i.e. describing the typical behaviour and scaling laws of convection with mixed, imposed flux / imposed temperature, boundaries; (ii) a technical / numerical one, i.e. finding a rapid and easy way to reach statistical equilibrium in such simulations. Presented results are new and successfully fulfill both objectives. I recommend the publication of the paper providing the following comments are accounted for and the following questions are answered.*

We would like to thank the referee for the care with which they read our manuscript, and for their excellent constructive criticism. We hope that the changes to the manuscript, including those described in our inline responses below, have answered all questions that have been raised.

### *Questions*

*All the discussions about the time necessary to reach statistical equilibrium obviously depend on the chose initial conditions: that should be made crystal clear each time (see e.g. in conclusion, bottom of page 10 see also introduction p.1 : “the evolved mean temperature of a simulation with FT boundaries differs from the initial mean temperature”).*

This is an excellent point, and we have restructured the paper to try to make this abundantly clear. Section II (“Simulation Details”) now contains a subsection dedicated to initial conditions (II.C), and throughout the paper we have tried to clarify that thermal relaxation timescales depend on initial conditions.

*Your chosen initial conditions are a linear temperature from 1 at the bottom to 0 at the top, and I guess, zero velocity everywhere and matching hydrostatic pressure (that should be mentioned in the text).*

This has now been clarified in section II.D see the “Additional ICs” paragraph). The linear temperature profile is now also referred to as “Classical ICs”.

*Those initial conditions are obviously wrong for the FT simulations whose overall temperature contrast is much smaller than 1. This FT equilibrium temperature contrast can be estimated using your results showing that FT final state is comparable to TT final state, hence using classical scaling law for Nusselt (I like  $Nu=(Ra/Rac)^{1/3}$ , but what follows can be easily adapted to your favorite one):*

- *One can define an effective Rayleigh number  $Ra_e$ , based on the real temperature contrast  $\delta T$  rather than the initial (wrong)  $\Delta T$ : then  $Ra_e = Ra * \delta T / \Delta T$ .*
- *The final heat flux follows the classical scaling law for  $Nu$ , considering  $Ra_e$  and the non-dimensionalisation by  $\delta T$ . Hence the final, dimensional, heat flux is  $F=(Ra_e/Rac)^{1/3} * k * \delta T / Lz$ .*
- *But this heat flux is also equal to the imposed one at the bottom, equal by definition to  $F=k*\Delta T/Lz$ .*
- *Hence equating the two, one find  $\delta T=\Delta T*(Rac/Ra)^{1/4}$ . So several questions there:*
  - *Does this scaling hold in your simulation? It does in the rapid tests I ran. It also seems OK with the temperature range in your figure 1, bottom right.*
  - *Instead of using your strategy to define initial conditions for FT simulation derived from TT simulation, which still requires to compute the FT solution, wouldn't it be easier and more efficient to use as the initial conditions zero velocity everywhere, plus a linear temperature profile from  $\delta T/\Delta T$  at the bottom to 0 at the top, eventually with some local regularization to match the imposed flux at the bottom? Then you would start from a solution much closer to the final one, without even having to compute a TT solution.*

We agree with the logic of the math here, and that if you have a given law,  $Nu \propto Ra_e^\alpha$ , you can retrieve a law for  $\delta T \propto Ra^{-\alpha/(1+\alpha)}$  (where this exponent

is  $1/4$  for  $\alpha = 1/3$ ). This is now shown in the paper (see eqn 15). We have presented this in its general form so that people can chose their favorite or best-fit scaling law. Now we will respond to each of the two posed questions in order.

1. Yes, this scaling does seem to hold in our simulations. For us, the scaling law is closer to  $\alpha = 0.285$  rather than  $\alpha = 1/3$ . This means that a  $Ra^{-0.22}$  law is a better fit for our data than  $Ra^{-1/4}$ , but those are pretty hard to distinguish between.
2. We now conduct a series of tests with what we call “Nu-based” initial conditions, using our  $\alpha = 0.285$  (see sections II.C and III.B).

One difficulty of using Nu-based initial conditions is that they are sensitive to the Nu vs. Ra law that is used. For more complex simulations (e.g., rotationally- or magnetically- constrained RBC) where the Nu vs. Ra laws are more contentious and less universally agreed-upon in the community, Nu-based ICs which presume the answer may be less reliable than TT-to-FT simulations. We highlight this in section V.

*With this estimate, we can also quantify the amount of energy (the reservoir) that has to be evacuated before reaching steady state: it is  $E \sim \rho C_p (\Delta T - \delta T) * Lz/2$  per unit surface. This must be evacuated by the top/bottom flux  $F = k \Delta T / Lz$ . Hence the ratio gives us a typical timescale for reaching equilibrium:  $t_{eq} = E/F = 0.5 * Lz^2 / Kappa * (1 - \delta T / \Delta T)$ , i.e. a diffusive time Does this scaling law hold? It seems not completely crazy according to your figure 2 left.*

Deriving the equilibration time is a bit tricky, because the flux leaving through the top of the domain constantly evolves over time. We’ve added a derivation of this timescale and a discussion of this subtlety to a new section II.D. In practice, we find that this definition of an equilibration time *overestimates* the thermal relaxation timescale. We find that the thermal relaxation time is  $C(\sqrt{Ra Pr}/Nu)$ , where  $C$  is a constant of order 1, and have said so at the end of section III.C.

*Comments:*

*- The abstract is not fully self-consistent and might lead to misunderstanding. For instance, you do no clearly define the meaning*

*of FT. Also, you mention astrophysical simulations, meaning for me (and others I guess) compressible convection: you should mention that your work is in the Boussinesq framework.*

Thank you. We have rewritten the abstract in an attempt to make it self-consistent and to make it more clearly speak to our results.

*- P.3, lettering and numbering: it is strange to suddenly have a subsection A at the end of section II, while all the beginning was not labelled.*

We have rearranged section II so that it has a few distinct subsections to help assist reader navigation.

*- Figure 1: how did you chose the scales for the temperature axis of the bottom figures? I would e.g. align the median values with the top figures (not done on the left).*

For the right two PDFs, we chose scales so as to align median values (and show the range of the FT PDF). For the left two PDFs, we previously chose scales which tried to show the shape of the PDFs and avoid excess white space. We have adjusted the scale of the the bottom left PDF so that its median value is aligned with the top left panel.

*- P.5 and figure 3: how do you define the boundary layers?*

We have defined the boundary layers as the parts of the domain where conduction carries more than 5% of the flux. We have specified this in the text.

*- P.9, lettering and numbering: it is strange to suddenly have a subsubsection 1. Changing Timescales at the very end of this subsection C.*

Agreed. The information in this subsection has been relocated to the section II.D. Section III has been split into a few sections: classic-ICs, “fast” ICs (Nu-based and TT-to-FT), discussion of 2D results, and a brief exploration of 3D non-rotating results.

*- P.10: you dont prove the validity of your results in 3D in the non-rotating case. I dont see any reason why it would be different from 2D, but is it impossible to run one case to show it?*

Thank you. We have now run a non-rotating 3D case. We have added section III.D and Fig. 4, and have confirmed that the 3D results are in line with 2D results.

*Typo: p.3: The codeS used to run simulations and to create the figures... Caption of figure 2: “Re vs. Ra is compensated”  $\rightarrow$  Pe vs. Ra*

These typos have been fixed.

We would again like to thank the referee for the care with which they read our manuscript, and for their excellent constructive criticism. We feel that these comments have measurably improved our understanding of the problem being studied and have vastly improved the quality of the science being presented in our paper.

## Response to second report:

*In this manuscript the authors focus on the different equilibration times required by simulations of Rayleigh-Bénard flows with fixed temperature boundaries (referred to as T-T) or with one fixed temperature and one fixed heat flux wall (F-T). They investigate mostly 2D cases although they consider also 3D configurations but in a rotating environment and with free slip boundaries.*

We thank the referee for their report. We agree that it was confusing to have a rotating 3D case with different boundary conditions. We have now conducted a non-rotating 3D case with no-slip boundaries, analagous to our 2D setup. The results of this non-rotating 3D case are presented in section III.D and confirm the prior 2D results. In section V, for the rotating case, we provide more context to show that rotating RBC is a testbed for the TT-to-FT method.

*The claims of the paper are well known among people studying these problems and I do not see any novelty in the results of this paper.*

We disagree. Through further discussions with numerous colleagues and a deeper literature review, we remain convinced that our results are novel. We have made various revisions to the structure of the paper, and have included further references to better place our results in the context of the literature. After doing so, we remain convinced that our results are novel. If we are incorrect, we would welcome references.

*In a flow with imposed boundary conditions (T-T) the mean temperature is known a-priori and, if the initial condition has that average temperature, the flow needs only to equilibrate through the boundary layer that, being much thinner than the boundary distance, take much less time.*

*On the other hand, when the heat flux is assigned, the mean temperature is unknown and equilibrating the bulk takes a time  $\sim \sqrt{Ra/Pr}/Nu$ .*

Thank you. We find that the thermal relaxation time is  $C(\sqrt{\text{Ra Pr}}/\text{Nu})$ , where  $C$  is a constant of order 1, and have said so in section II.D. Sections II.C and II.D now discusses how initial conditions affect thermal relaxation timescales.

*Also, imposing a boundary temperature entails the flow to develop any temperature gradient, while imposing the gradient at the wall limits the peaks. What the authors call “energy reservoir” is nothing but the heat capacity of the fluid volume that, of course, takes more time to thermally equilibrate than the thin boundary layers.*

Yes. But it turns out that the timescale of equilibration is complicated by the fact that the flux through one of the walls is constantly evolving and changing, and that has made this an interesting problem. We discuss this in section II.D.

*The analysis of the rotating Rayleigh-Bénard cases is very qualitative and it overlooks most of the relevant literature.*

Our narrow goal in including the rotating example is to show that timescale lessons in non-rotating convection apply to rotating convection. Therefore, our TT-to-FT initial conditions can save a great deal of time in the rotating case where Nu vs. Ra power laws are less well agreed-upon by the community, and the evolved Delta T is truly unknown. We have expanded our references to the rotating RBC literature.

*The paper, finally, does not read well, with many imprecise, naive or bold statements: I report in the following a couple of examples. “For this choice of boundary conditions, the critical value of the Rayleigh number is  $Ra_{zT} = 1296$  for FT boundaries and  $Ra_{\Delta T} = 1708$  for TT boundaries” is true only for horizontally infinite domains but not for finite aspect-ratio boxes.*

Thank you. We have computed the convective onset, and the FT critical wavenumber at  $Ra_{zT} = 1295.78$  is  $k = 2.5519$ , while the TT critical wavenumber at  $Ra_{\Delta T} = 1707.76$  is  $k = 3.1163$ . But the smallest  $k$  that fits in our aspect ratio 2 domain is  $k = \pi$ . At  $k = \pi$ , the critical Rayleigh is slightly higher,  $Ra_{zT} = 1357.57$  for FT boundaries and  $Ra_{\Delta T} = 1707.94$



for TT boundaries, which is a small difference. We have made this caveat in the text. It is true that in FF boundary conditions, there is a long and broad minimum extending to  $k = 0$ , and there is a band instability. But, for these FT and TT boundary conditions, the critical curve has a well-defined minimum, and the wavelength associated with that minimum is close to the minimum wavelength obtainable in our finite aspect ratio boxes.

*“Dynamical measurements taken during the thermal relaxation of an FT simulation may be misleading.” is not clear at all; if one investigates a transient phase studying the thermal relaxation phase is perfectly fine while, if one is interested in the statistical steady state, considering the thermal relaxation phase is wrong (not misleading).*

We don’t disagree.

*I do not recommend the publication of this paper.*

We thank the referee for their report. We think that our revised version has significantly clarified the results and narrative. We remain convinced that this work is novel.