

# Convective heat transport in stratified atmospheres at low and high Mach number

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We study stratified convection in the context of plane-parallel, polytropically stratified atmospheres. We perform a suite of 2D and 3D simulations in which we vary the initial superadiabaticity ( $\epsilon$ ) and the Rayleigh number (Ra) at a set initial density stratification, aspect ratio, and Prandtl number. We find that the Mach number (Ma) is a strong function of  $\epsilon$  in both 2D and 3D simulations, and that it is a weak function of Ra in 2D. Surprisingly, we find that the evolved heat transport, quantified by the Nusselt number (Nu), follows scaling relationships similar to those found in the well-studied, incompressible Rayleigh-Bénard problem. The scaling of evolved fluid properties (Nu, Reynolds number, stratification) differs in the subsonic and supersonic regimes; aside from the sonic transition, the evolved fluid properties of low and high Ma flows are nearly identical. Our limited 3D runs suggest that evolved fluid properties behave similarly in 2D and 3D.

## INTRODUCTION

Convection transports energy in stellar and planetary atmospheres. In these objects, flows are compressible and feel the atmospheric stratification. While in some systems this stratification is negligible, it is significant in regions such as the convective envelope of the Sun, which spans 14 density scale heights. In the bulk of these systems, flows are at very low Mach number (Ma), but numerical constraints have restricted most studies of compressible convection to high Ma. These prior studies have provided important insight into the low temperature, high Ma region near the Sun’s surface. Few fundamental properties of low Ma convection, which characterizes deeper motions, are known.

In the widely-studied Rayleigh-Bénard (RB) problem of incompressible Boussinesq convection, a negative temperature gradient causes convective instability. In the evolved solution, upflows and downflows are symmetrical, the temperature in the interior becomes isothermal, and the conductive flux ( $\propto \nabla T$ ) approaches zero there. For compressible convection in a stratified atmosphere, a negative entropy gradient causes convective instability. Early numerical experiments of moderate-to-high Ma compressible convection in two [1–4] and three [5, 6] dimensions revealed a different evolved state from the RB case. Downflow lanes become fast and narrow, and upflow lanes turn into broad, slow upwellings. Furthermore, the *entropy* gradient is negated by convection in the interior, so a significant temperature gradient and conductive flux can persist despite efficient convection.

In RB convection, there exist two primary dynamical control parameters: the Rayleigh number (Ra, the ratio of buoyant driving to diffusive damping) and the Prandtl number (Pr, the ratio of viscous to thermal diffusivity). These numbers control two useful measures of turbulence in the evolved solution: the Reynolds number (Re, the strength of advection to viscous diffusion) and the Peclet number (Pe, advection vs. thermal diffusion). In stratified atmospheres, the magnitude of the unstable entropy

gradient joins Ra and Pr as an important control parameter. This *superadiabatic excess* [1],  $\epsilon$ , sets the scale of the atmospheric entropy gradient. We find here that  $\epsilon$  primarily controls the Ma of the evolved solution.

In this letter we study the behavior of convective heat transport, quantified by the Nusselt number (Nu), in plane-parallel, two- and three-dimensional, polytropically stratified atmospheres. We vary  $\epsilon$  and Ra while holding Pr, aspect ratio, boundary conditions, and initial atmospheric stratification constant. We also examine the behavior of flow properties, as quantified by Ma and Re.

## EXPERIMENT

We examine a monatomic ideal gas with an adiabatic index of  $\gamma = 5/3$  whose equation of state is  $P = R\rho T$ . This is consistent with the approach used in earlier work [1–6] and is the simplest stratified extension of RB. In this work we take  $R = 1$ . We study atmospheres which are initially polytropically stratified,

$$\begin{aligned}\rho_0(z) &= \rho_t(1 + L_z - z)^m, \\ T_0(z) &= T_t(1 + L_z - z),\end{aligned}\tag{1}$$

where  $m$  is the polytropic index and  $L_z$  is the depth of the atmosphere. The height coordinate,  $z$ , increases upwards in the range  $[0, L_z]$ . Subscript 0 indicates initial conditions and subscript  $t$  indicates values at  $z = L_z$ . We specify the depth of the atmosphere,  $L_z = e^{n_\rho/m} - 1$ , by choosing the number of density scale heights,  $n_\rho$ , it spans initially. Throughout this letter we set  $n_\rho = 3$ . Satisfying hydrostatic equilibrium sets the value of gravity,  $g = T_t(m+1)$ , which is constant with depth. We study atmospheres with aspect ratios of 4 where both the  $x$  and  $y$  coordinates have the range  $[0, 4L_z]$ . In our 2D cases, we only consider  $x$  and  $z$ .

Convective dynamics are controlled by the superadiabaticity of the atmospheres as well as the atmospheric diffusivities. The superadiabaticity, or the magnitude of

the (negative) entropy gradient, is set by the superadiabatic excess,  $\epsilon = m_{ad} - m$ , where  $m_{ad} = (\gamma - 1)^{-1}$ . The atmospheric thermal diffusivity,  $\chi$ , and kinematic viscosity (viscous diffusivity),  $\nu$ , are determined by the Rayleigh number ( $\text{Ra}$ ) and the Prandtl number ( $\text{Pr}$ ). We set  $\text{Pr} = \nu/\chi = 1$  throughout this work. The polytropic initial conditions are in thermal equilibrium,  $\kappa_0 \partial_z T_0 = \text{const}$ , so  $\kappa_0 = \chi \rho_0$  must be constant. To keep  $\text{Pr}$  constant with height, we set  $\chi = \chi_t / \rho_0$  and  $\nu = \nu_t / \rho_0$ . Under these constraints, the diffusivity profiles are specified by choosing  $\text{Ra}$  at the top of the domain,

$$\text{Ra}_{\text{top}} = \frac{g L_z^3 (\Delta S_0 / c_P)}{\nu_t \chi_t}, \quad (2)$$

where  $\Delta S_0 = \epsilon \ln(1 + L_z)$  is the initial entropy difference between the top and bottom of the atmosphere and  $c_P = \gamma(\gamma - 1)^{-1}$  is the specific heat at constant pressure. The profiles of  $\nu$  and  $\chi$  are constant with time, and  $\text{Ra}$  at the bottom of the atmosphere is greater than  $\text{Ra}_{\text{top}}$  by a factor of  $e^{2n\rho}$ . This formulation leaves the thermal conductivity,  $\kappa = \rho \chi$ , and the dynamic viscosity,  $\mu = \rho \nu$ , free to evolve as the density profile changes. Henceforth, when we use  $\text{Ra}$ , we are referring to  $\text{Ra}_{\text{top}}$ .

We evolve the Fully Compressible Navier-Stokes equations,

$$\frac{\partial \ln \rho}{\partial t} + \nabla \cdot \mathbf{u} = -\mathbf{u} \cdot \nabla \ln \rho, \quad (3)$$

$$\frac{\partial \mathbf{u}}{\partial t} + \nabla T - \nu \nabla \cdot \bar{\boldsymbol{\sigma}} - \bar{\boldsymbol{\sigma}} \cdot \nabla \nu = -\mathbf{u} \cdot \nabla \mathbf{u} - T \nabla \ln \rho + \mathbf{g} + \nu \bar{\boldsymbol{\sigma}} \cdot \nabla \ln \rho, \quad (4)$$

$$\begin{aligned} \frac{\partial T}{\partial t} - \frac{1}{c_V} (\chi \nabla^2 T + \nabla T \cdot \nabla \chi) &= \\ -\mathbf{u} \cdot \nabla T - (\gamma - 1) T \nabla \cdot \mathbf{u} & \\ + \frac{1}{c_V} (\chi \nabla T \cdot \nabla \ln \rho + \nu [\bar{\boldsymbol{\sigma}} \cdot \nabla] \cdot \mathbf{u}), \end{aligned} \quad (5)$$

with the viscous stress tensor given by

$$\sigma_{ij} \equiv \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} - \frac{2}{3} \delta_{ij} \nabla \cdot \mathbf{u} \right). \quad (6)$$

Taking an inner product of (4) with  $\rho \mathbf{u}$  and adding it to  $\rho c_V \times (5)$  reveals the full energy equation,

$$\frac{\partial}{\partial t} \left( \rho \left[ \frac{|\mathbf{u}|^2}{2} + c_V T + \phi \right] \right) + \nabla \cdot (\mathbf{F}_{\text{conv}} + \mathbf{F}_{\text{cond}}) = 0, \quad (7)$$

where  $\mathbf{F}_{\text{conv}} \equiv \mathbf{F}_{\text{enth}} + \mathbf{F}_{\text{KE}} + \mathbf{F}_{\text{PE}} + \mathbf{F}_{\text{visc}}$  is the convective flux and  $\mathbf{F}_{\text{cond}} = -\kappa \nabla T$  is the conductive flux. The individual contributions to  $\mathbf{F}_{\text{conv}}$  are the enthalpy flux,  $\mathbf{F}_{\text{enth}} \equiv \rho u (c_V T + P/\rho)$ ; the kinetic energy flux,  $\mathbf{F}_{\text{KE}} \equiv \rho |\mathbf{u}|^2 \mathbf{u} / 2$ ; the potential energy flux,  $\mathbf{F}_{\text{PE}} \equiv \rho u \phi$  (with  $\phi \equiv -gz$ ); and the viscous flux,  $\mathbf{F}_{\text{visc}} \equiv -\rho \nu \mathbf{u} \cdot \bar{\boldsymbol{\sigma}}$ . Understanding how each of these fluxes interact is crucial in characterizing convective heat transport.

We utilize the Dedalus<sup>1</sup> [7] pseudospectral framework to time-evolve (3)-(5) using an implicit-explicit (IMEX), third-order, four-step Runge-Kutta timestepping scheme RK443 [8]. We decompose our thermodynamic variables such that  $T = T_0 + T_1$  and  $\ln \rho = (\ln \rho)_0 + (\ln \rho)_1$ , and the velocity is  $\mathbf{u} = u \hat{x} + v \hat{y} + w \hat{z}$ . In our 2D runs,  $v = 0$ . Subscript 0 variables, set by (1), have no time derivative and vary only in  $z$ . Variables are time-evolved on a dealiased Chebyshev (vertical) and Fourier (horizontal, periodic) domain in which the physical grid dimensions are 3/2 the size of the coefficient grid. Domain sizes range from 64x256 coefficients at the lowest values of  $\text{Ra}$  to 1024x4096 coefficients at  $\text{Ra} > 10^7$  in 2D, and from 64x128<sup>2</sup> to 256x512<sup>2</sup> in 3D. By using IMEX timestepping, we implicitly step the stiff linear acoustic wave contribution and are able to efficiently study flows at high ( $\sim 1$ ) and low ( $\sim 10^{-4}$ ) Ma. Our equations take the form of the FC equations in [9], extended to include  $\nu$  and  $\chi$  which vary with depth, and we follow the approach there. This IMEX approach has been successfully tested against a nonlinear benchmark of the compressible Kelvin-Helmholtz instability [10].

We impose impenetrable, stress free, fixed temperature boundary conditions at the top and bottom of the domain such that  $w = \partial_z u = T_1 = 0$  at  $z = \{0, L_z\}$ .  $T_1$  is initially filled with random white noise whose magnitude is infinitesimal compared to  $T_0$  and  $\epsilon$ . We filter this noise spectrum in coefficient space, such that only the lower 25% of the coefficients have power. We nondimensionalize our computational domains by setting all thermodynamic variables to unity at  $z = L_z$ , choosing  $R = T_t = \rho_t = 1$ . By this choice, the non-dimensional length scale is the inverse temperature gradient scale and the timescale is the isothermal sound crossing time,  $\tau_I$ , of this unit length. Meaningful convective dynamics occur on timescales of the atmospheric buoyancy time,  $t_b = \tau_I \sqrt{L_z / g \epsilon}$ . All reported results are taken from time averages over many  $t_b$  beginning  $\{100, 40\}t_b$  after the start of our {2D, 3D} simulations in order to assure our results are not biased by the convective transient.

## RESULTS & DISCUSSION

Solutions were time-evolved until a long time average of the fluxes showed little variance with depth. A linear stability analysis determined that convective onset occurs at  $\text{Ra}_{\text{crit}} = \{11.15, 10.06, 10.97, 10.97\}$  for  $\epsilon = \{1.0, 0.5, 10^{-4}, 10^{-7}\}$ , respectively.

We measure the adiabatic Mach number ( $\text{Ma} = |\mathbf{u}| / \sqrt{\gamma T}$ ). We find that  $\text{Ma}$  is a strong function of  $\epsilon$  and a weak function of  $\text{Ra}$ . In our 2D runs, when  $\text{Ma} < 1$ , we

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<sup>1</sup> <http://dedalus-project.org/>

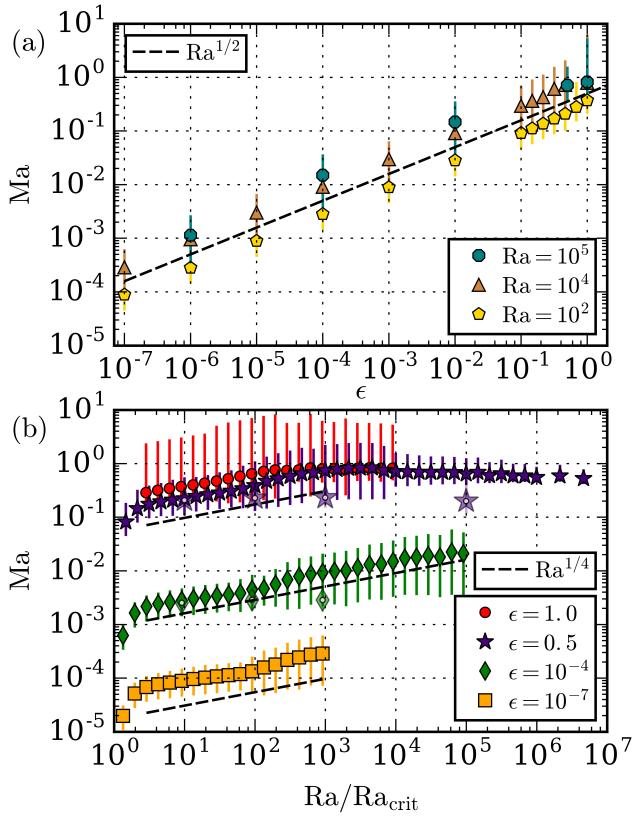


FIG. 1. The mean adiabatic Mach number of long-time-averaged profiles is shown. Error bars show the full range of Ma over the depth of the atmosphere. (a) Ma, at various values of Ra, is plotted as a function of  $\epsilon$ . (b) Ma, at various values of  $\epsilon$ , is plotted as a function of  $\text{Ra}/\text{Ra}_{\text{crit}}$ . Larger symbols with white dots designate 3D runs.

observe a scaling law of  $\text{Ma}(\text{Ra}, \epsilon) \propto \epsilon^{1/2} \text{Ra}^{1/4}$ . This relation breaks down as the mean Ma approaches 1 (see Fig. 1). This transition occurs near  $\text{Ra}/\text{Ra}_{\text{crit}} \approx \{10^2, 10^3\}$  for  $\epsilon = \{1, 0.5\}$ . In our limited 3D runs, Ma appears to be a function of  $\epsilon$  alone, with  $\text{Ma} \propto \epsilon^{1/2}$ , so at high Ra,  $\text{Ma}_{3D} < \text{Ma}_{2D}$ . The value of  $\epsilon$  also sets the size of the evolved thermodynamic fluctuations, such that  $T_1/T_0 \propto \epsilon$  and  $\rho_1/\rho_0 \propto \epsilon$ . These fluctuations are very small when  $\epsilon$  and Ma are small, but can be O(1) for values of  $\epsilon$  near 1.

Low Ma flows (e.g.,  $\epsilon = 10^{-4}$ ) display the classic narrow downflow and broad upflow lanes of stratified convection (Fig. 2a). At high Ma (e.g.,  $\epsilon = 0.5$ ,  $\text{Ra}/\text{Ra}_{\text{crit}} \gtrsim 10^3$ ), bulk thermodynamic structures are similar but shock systems form in the upper atmosphere near downflow lanes (Fig. 2b&c). These shock phenomena were reported in two [4] and three [11] dimensional polytropic simulations previously. As Ra is increased to large values (Fig. 2c), thermodynamic structures no longer span the domain depth; rather, they break up into small eddies which traverse the domain multiple times before diffusing.

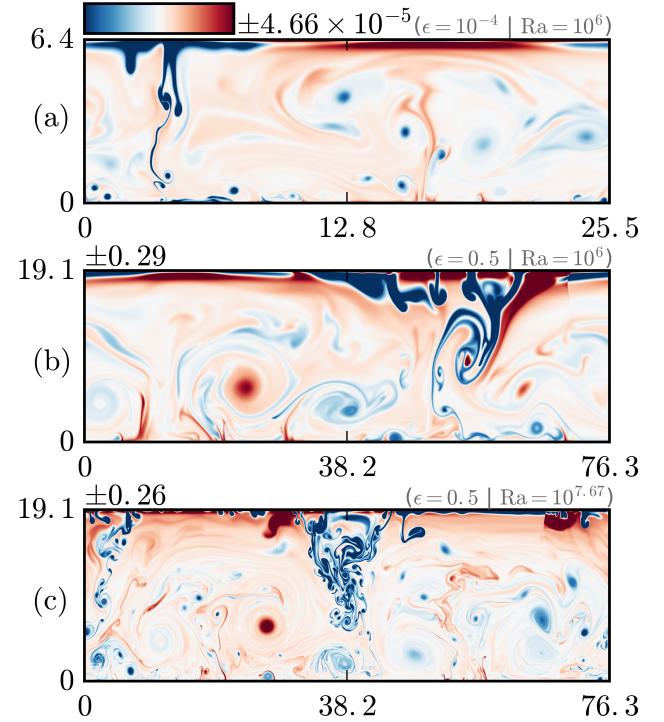


FIG. 2. Characteristic entropy fluctuations in evolved 2D flows roughly  $140t_b$  after the start of simulations. The time- and horizontally-averaged profile is removed in all cases. (a) A low Ma flow at moderate Ra. (b) A high Ma flow at the same Ra as in (a). (c) A high Ma flow at high Ra. Shock systems can be seen in the upper atmosphere of the high Ma flows, for example at  $(x, z) \sim (70, 15 - 19)$  in (b) and  $(x, z) \sim (65, 17 - 19)$  in (c).

Our choice of fixed temperature, stress free boundary conditions allows the flux at the boundaries to vary, while also allowing for mean horizontal velocity profiles along the boundaries (winds). We find these windy convective states, reminiscent of similar states in RB convection [12], at  $\epsilon = 10^{-4}$  and  $\text{Ra}/\text{Ra}_{\text{crit}} \gtrsim 10^3$ . Roll states (Fig. 2a) with high convective transport alternate with windy states of lower transport.

The efficiency of convection is quantified by the Nusselt number (Nu). Nu is well-defined in RB convection as the total flux normalized by the steady-state conductive flux [13, 14]. In stratified convection Nu is more difficult to define, and we use a modified version of a traditional stratified Nusselt number [1, 3],

$$\text{Nu} \equiv \frac{\langle F_{\text{conv},z} + F_{\text{cond},z} - F_A \rangle}{\langle F_{\text{cond},z} - F_A \rangle} = 1 + \frac{\langle F_{\text{conv},z} \rangle}{\langle F_{\text{cond},z} - F_A \rangle} \quad (8)$$

where  $F_{\text{conv},z}$  and  $F_{\text{cond},z}$  are the z-components of  $\mathbf{F}_{\text{conv}}$  and  $\mathbf{F}_{\text{cond}}$ , and  $\langle \rangle$  are volume averages.  $F_A \equiv -\langle \kappa \rangle \partial_z T_{\text{ad}}$  is the conductive flux of the proper corresponding adiabatic atmosphere. For a compressible, ideal gas in hydrostatic equilibrium,  $\partial_z T_{\text{ad}} \equiv -g/c_P$  [15]. It is important

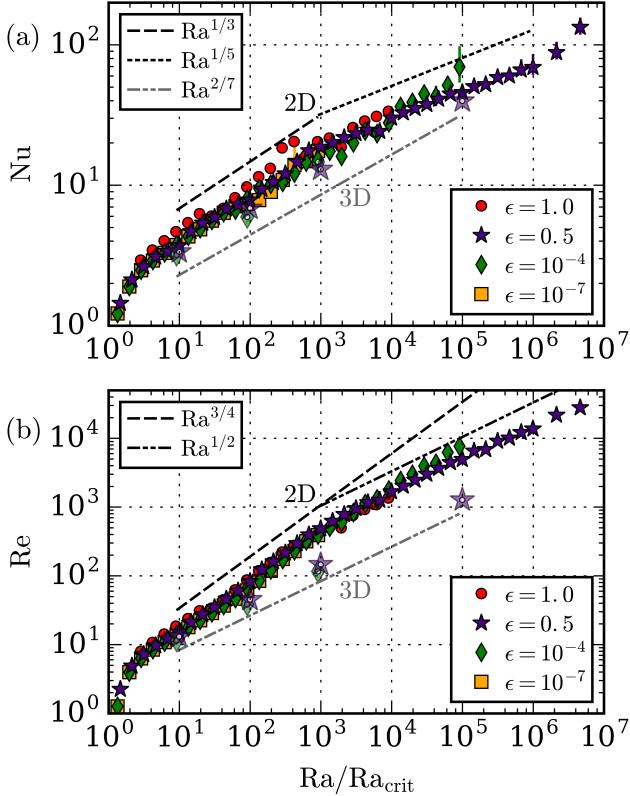


FIG. 3. Flow properties at high and low  $\epsilon$ . (a)  $\text{Nu}$  vs.  $\text{Ra}/\text{Ra}_{\text{crit}}$ . Errors bars indicate the variance of  $\text{Nu}$  with depth; large error bars indicate a poorly converged solution. (b)  $\text{Re}$  vs.  $\text{Ra}/\text{Ra}_{\text{crit}}$ .  $\text{Re}$  is measured at the midplane of the atmosphere. Larger symbols with white dots designate 3D runs.

to measure the evolved value of  $\langle \kappa \rangle = \langle \rho \chi \rangle$ , which is nearly  $\kappa_0$  for small  $\epsilon$  but changes appreciably for large values of  $\epsilon$ . In incompressible Boussinesq convection, where  $\nabla S = 0$  only when  $\nabla T = 0$ , this definition reduces to the traditionally defined Nusselt number [13, 14].

The variation of  $\text{Nu}$  with  $\text{Ra}$  is shown in Fig. 3a. We find that the  $\text{Nu}$  depends primarily on  $\text{Ra}$ , not on  $\epsilon$ , except where dynamical regimes change. In 2D and at low to moderate  $\text{Ra}$ ,  $\text{Nu} \propto \text{Ra}^{1/3}$  regardless of  $\epsilon$ , reminiscent of scaling laws in classical Rayleigh-Bénard theory [16]. As the flow becomes supersonic,  $\text{Nu} \propto \text{Ra}^{1/5}$ . 2D runs at  $\text{Ra} \gtrsim 10^3 \text{Ra}_{\text{crit}}$  and  $\epsilon = 10^{-4}$  exhibit windy convective states. In these runs, the system is in flux equilibrium only when time averaged over roll states and windy states. A long time average over both of these states produces a flat flux profile which can be sensibly analyzed, but the presence of these time-dependent states makes it difficult to equilibrate these higher  $\text{Ra}$  cases. It is also important to note that the value of  $\text{Nu}$  is heavily dependent upon the specific thermodynamic structures of the solution, as double roll states will transport heat more efficiently than single roll states, and slight changes in

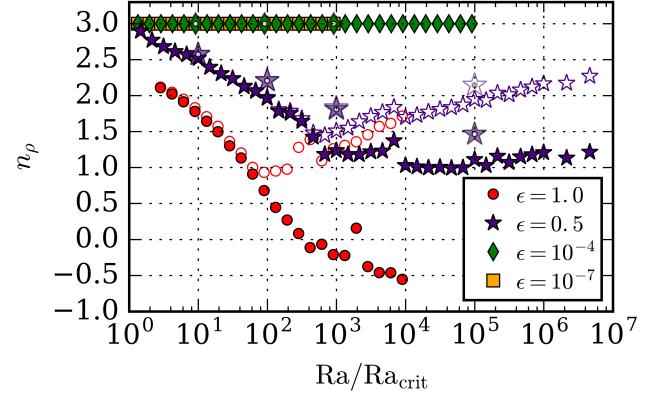


FIG. 4. Solid symbols show the density contrast measured in density scale heights between the upper and lower boundary,  $n_\rho = \ln[\rho(z = 0)/\rho(z = L_z)]$ . Empty symbols show  $n_\rho = \ln[\max(\rho)/\min(\rho)]$ . At low  $\epsilon$  the evolved  $n_\rho$  is close to the initial conditions of  $n_\rho = 3$ . At high  $\epsilon$ , the density stratification decreases. Once the mean Ma approaches 1 (at  $\text{Ra}/\text{Ra}_{\text{crit}} \approx \{10^2, 10^3\}$  for  $\epsilon = \{1, 0.5\}$  as in Fig. 1b), density inversions form within the thermal boundary layers. Larger symbols represent 3D runs.

$\text{Ra}$  can result in a simulation latching on to one solution or the other. In our limited 3D runs, it appears that  $\text{Nu} \propto \text{Ra}^{2/7}$ , a classic scaling law seen in RB studies [13].

The rms Reynolds number ( $\text{Re} = |\mathbf{u}|L_z/\nu$ ) and Peclet number ( $\text{Pe} = \text{Pr} \text{Re}$ ) compare the importance of advection to diffusion in the evolved convective state. For  $\text{Pr} = 1$ , such as in this work,  $\text{Pe} = \text{Re}$ . Our choice of  $\{\nu, \chi\} \propto \rho_0^{-1}$  drastically changes the value of  $\text{Re}$  between the top and bottom of the atmosphere. We report values of  $\text{Re}$  at the midplane ( $z = L_z/2$ ) of the atmosphere in Fig. 3b. Largely we find that  $\text{Re}$  depends on  $\text{Ra}$ , but not  $\epsilon$ , except when the flow states change. In 2D and at low  $\text{Ra}$ ,  $\text{Re} \propto \text{Ra}^{3/4}$ . When the flows become supersonic, this scaling gives way to  $\text{Re} \propto \text{Ra}^{1/2}$ . In our limited 3D runs, the scaling of  $\text{Re}$  is  $\text{Re} \propto \text{Ra}^{1/2}$ , consistent with the supersonic results.

In the evolved state, the flows change the density stratification, as shown in Fig. 4. We find that supersonic flows support persistent density inversions (empty symbols) in the boundary layers, as was reported by [17]. We find this in 2D and 3D. Surprisingly, the evolved  $n_\rho$  is always less than the initial  $n_\rho = 3$ , and turbulent pressure support plays a larger role than atmospheric slumping. The agreement of  $\text{Nu}$  &  $\text{Re}$  across  $\epsilon$  (Fig. 3), particularly at low  $\text{Ra}$  in which all four of our cases collapse onto a single power law, is striking in light of the vastly different evolved stratifications felt by the flows.

In summary, we have found that the evolved flow properties of stratified, compressible convection scale in a manner reminiscent of RB convection. We argue that polytropically stratified atmospheres are the natural extension of the RB problem with an additional control

parameter,  $\epsilon$ , whose primary role is to set the Ma of the flows. We show that other properties of the evolved solutions ( $\text{Nu}$ ,  $\text{Re}$ ) are nearly identical at vastly different values of  $\epsilon$ , except for where there is a transition between the subsonic and supersonic regimes. We also see that  $\text{Nu}$  scales similarly in 3D and 2D, and that Ma in 3D solutions seems to be a function of  $\epsilon$  alone, allowing for simple specification of the evolved Ma using input parameters.

We observe interesting phenomena, such as time-dependent, windy states of shear in our 2D simulations. Unlike in RB [12], our solutions with windy states have transport which scales in line with our non-windy solutions. Furthermore, the stratification of these polytropic atmospheres evolves in a complex manner. Future work should aim to understand the importance of stratification on convective heat transport and other flow properties.

Our studies here will serve as a foundation for comparing heat transport in stratified convection to that in RB convection [13] and for better quantifying transport in stratified convection. These results can be used to determine if fluid properties scale appropriately in simplified equation sets, such as the anelastic equations. This work will also be useful in coming to understand more realistic systems, such as rapidly rotating atmospheres [18], atmospheres bounded by stable regions [19], and regions with realistic profiles of  $\kappa$ .

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