

Convective heat transport in stratified atmospheres at low and high Mach number

Evan H. Anders and Benjamin P. Brown

Department of Astrophysical & Planetary Sciences, University of Colorado – Boulder and
Laboratory for Atmospheric and Space Physics, Boulder, CO

Convection in astrophysical systems is stratified and often occurs at high Rayleigh number (Ra) and low Mach number (Ma). Here we study stratified convection in the context of plane-parallel, polytropically stratified atmospheres. We hold the density stratification (n_ρ) and Prandtl number (Pr) constant while varying Ma and Ra to determine the behavior of the Nusselt number (Nu), which quantifies the efficiency of convective heat transport. As Ra increases and $\text{Ma} \rightarrow 1$, a scaling of $\text{Nu} \propto \text{Ra}^{0.45}$ is observed. As Ra increases to a regime where $\text{Ma} \geq 1$, this scaling gives way to a weaker $\text{Nu} \propto \text{Ra}^{0.19}$. In the regime of $\text{Ma} \ll 1$, a consistent $\text{Nu} \propto \text{Ra}^{0.31}$ is retrieved, reminiscent of the $\text{Nu} \propto \text{Ra}^{2/7}$ seen in Rayleigh-Bénard convection.

INTRODUCTION

Convection is essential to heat transport in the cores of high mass stars, the envelopes of low mass stars, and the atmospheres of terrestrial and jovian planets. The convective dynamics in these astrophysical objects are influenced by the atmospheric stratification, which is small in some systems (e.g. massive star cores) but spans about 14 density scale heights in the Sun’s convective envelope. Understanding the fundamental properties of compressible convection in stratified media is essential to characterizing systems in astrophysics and planetary sciences. Numerical constraints have often restricted studies of compressible convection to moderately high Mach number (Ma), appropriate to regions near the Sun’s surface. Few fundamental properties of the low- Ma stratified convection, which occurs in the deep solar interior, are known.

Early numerical experiments on stratified convection in two [1–4] and three [5, 6] dimensions revealed a number of basic properties in the moderate-to-high Ma regime. In the widely-studied Rayleigh-Bénard (hereafter RB) problem, upflows and downflows are symmetrical and the temperature gradient approaches zero in the convective interior causing the conductive flux to similarly disappear. These two hallmark characteristics of RB convection change significantly when stratification is included. Stratified convection exhibits narrow downflow lanes and broad upflow regions. Furthermore, the *entropy* gradient is negated by convection rather than the temperature gradient, such that in the presence of perfectly efficient convection a significant component of the flux is still carried by conduction.

In RB convection, there exist two primary control parameters: the Rayleigh number (Ra , the ratio of buoyant driving to diffusive damping) and the Prandtl number (Pr , the ratio of viscous to thermal diffusivity). These numbers coupled with the aspect ratio of the physical domain and the boundary conditions determine the dynamics of the convection. In stratified atmospheres, in addition to specifying the equation of state and funda-

mental properties of the gas, the two control parameters of RB convection are joined by the degree of stratification across the domain and the characteristic Ma of the convective flows. Polytropically stratified atmospheres, such as those used in early studies [1–6] are an ideal extension of RB convection into the stratified realm as the two additional control parameters are directly linked to basic properties of the atmosphere. The density stratification is set by the number of density scale heights (n_ρ) the atmosphere spans, and Ma is controlled by the superadiabatic excess (ϵ), the deviation of the polytropic index from the adiabatic polytropic index [1].

In this letter we study the behavior of convective heat transport, quantified by the Nusselt number (Nu), in plane-parallel, two-dimensional, polytropically stratified atmospheres. We vary ϵ and Ra while holding n_ρ , Pr , and the aspect ratio constant. We describe experimental methods in section II, including the construction of atmospheres, equations, and numerical methods. Results are described in section III and their implications are discussed in section IV.

EXPERIMENT

We examine the simplest stratified extension of RB by studying a fluid composed of monatomic ideal gas particles with an adiabatic index of $\gamma = 5/3$ and whose equation of state is $P = R\rho T$. This is consistent with the approach used in earlier work. The initial atmosphere is a plane-parallel polytrope in which the gravitational acceleration and conductive flux, $\mathbf{F}_{\text{cond},0} = -\kappa\nabla T_0$, do not vary with depth. To achieve the latter condition, both κ and ∇T_0 are constant. Under these assumptions, satisfying hydrostatic equilibrium produces a stratification of

$$\begin{aligned}\rho_0(z) &= \rho_t(z_0 - z)^m, \\ T_0(z) &= T_t(z_0 - z),\end{aligned}\tag{1}$$

where $m = m_{\text{ad}} - \epsilon$ is the polytropic index. The adiabatic polytropic index is $m_{\text{ad}} \equiv (\gamma - 1)^{-1}$ and the su-

peradiabatic excess is ϵ which sets the scale of the entropy gradient ($\nabla S_0 \propto -\epsilon$). A significant advance of this work is the ability to study large and small values of ϵ , as will be discussed. Thermodynamic variables are nondimensionalized at the top of the atmosphere as $P_0(L_z) = \rho_0(L_z) = T_0(L_z) = 1$, requiring $z_0 \equiv L_z + 1$ and $R = T_t = \rho_t = 1$. By this choice, the non-dimensional length scale is the inverse temperature gradient scale and the timescale is the isothermal sound crossing time of this unit length. The height z increases upwards within $[0, L_z]$, where $L_z = e^{n_\rho/m} - 1$ is determined by n_ρ and ϵ . The characteristic timescale of convective dynamics is related to the atmospheric buoyancy time, $t_b = \sqrt{L_z/g\epsilon}$, with $g = (m+1)$. Throughout this letter, we use buoyancy time units and choose $n_\rho = 3$ such that the initial density varies by a factor of 20. All atmospheres studied here have an aspect ratio of 4, such that $L_x = 4L_z$.

Atmospheric diffusivities are specified by the Rayleigh number and the Prandtl number. The non-dimensional Rayleigh number is

$$\text{Ra} = \frac{gL_z^3(\Delta S_0/c_P)}{\nu\chi}, \quad (2)$$

where $\Delta S_0 = \epsilon \ln z_0$ is the entropy difference between the top and bottom of the atmosphere, $c_P = R\gamma(\gamma-1)^{-1}$ is the specific heat at constant pressure, ν is the kinematic viscosity (the viscous diffusivity), and χ is the thermal diffusivity. The relationship between the thermal and viscous diffusivities is set by the Prandtl number, $\text{Pr} = \nu/\chi$. The dynamic viscosity, μ , and the thermal conductivity, κ , relate to their corresponding diffusivities such that $\nu \equiv \mu/\rho$ and $\chi \equiv \kappa/\rho$. We take μ and κ to be constant with height. As a result, $\text{Ra} \propto (\nu\chi)^{-1} \propto \rho^2$. The atmospheres studied here with $n_\rho = 3$ experience an increase in the Rayleigh number by a factor of 400 across the domain. This formulation leaves Pr constant throughout the depth of the atmosphere. In this letter we impose $\text{Pr} = 1$ and specify Ra at the top of the domain ($z = L_z$).

At the constant values of n_ρ and Pr used, the primary control parameters of convection are ϵ and Ra . We decompose our atmosphere into the background polytrope ($\ln \rho_0, T_0$) and the fluctuations about that background ($\mathbf{u}, \ln \rho_1, T_1$), which can be large. The scaling of the entropy gradient with ϵ is reflected in the evolved values of these fluctuations, which follow the scaling of $T_1/T_0 \propto \rho_1/\rho_0 \propto \text{Ma}^2 \propto \epsilon$ for low values of ϵ , as in Fig. 1.

We evolve the Fully Compressible Navier-Stokes equa-

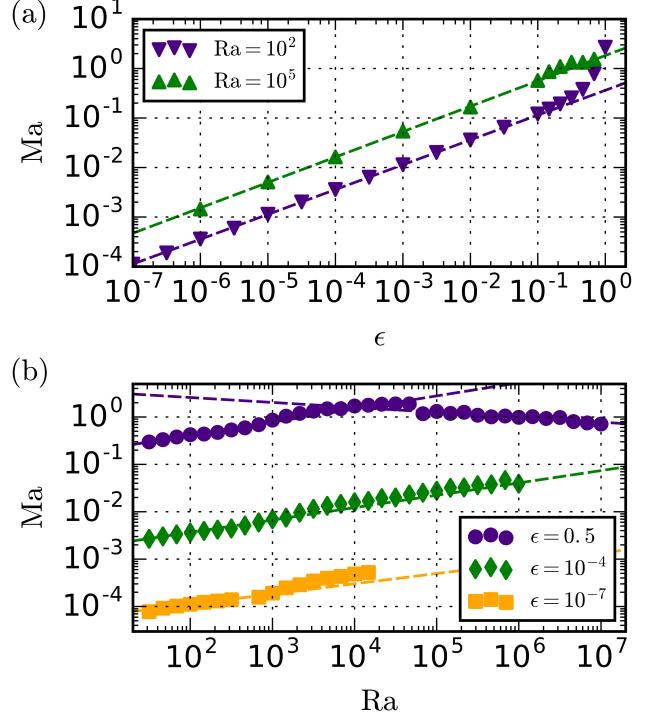


FIG. 1. The maximum value of Ma which has been horizontally averaged and time averaged for $\geq 100t_b$, beginning roughly $50t_b$ after the start of simulations. This time average is long enough that the profile is well converged and error bars are negligible. (a) For $\epsilon \leq 0.1$, a scaling of $\text{Ma} \propto \{\epsilon^{0.50}, \epsilon^{0.51}\}$ at $\text{Ra} = \{10^2, 10^5\}$ exists. When $\epsilon \rightarrow m_{\text{ad}}$, large deviations from this power law are seen. (b) At high ϵ , Ma scales as $\text{Ra}^{0.28}$ until it reaches the supersonic regime, at which point it follows a power law of $\text{Ra}^{-0.10}$. At low ϵ , consistent power laws are achieved throughout all values of Ra studied, where $\text{Ma} \propto \{\text{Ra}^{0.26}, \text{Ra}^{0.22}\}$ for $\epsilon = \{10^{-4}, 10^{-7}\}$.

tions,

$$\frac{\partial \ln \rho}{\partial t} + \nabla \cdot \mathbf{u} = -\mathbf{u} \cdot \nabla \rho, \quad (3)$$

$$\begin{aligned} \frac{\partial \mathbf{u}}{\partial t} + \nabla T - \nu \nabla \cdot \bar{\boldsymbol{\sigma}} - \bar{\boldsymbol{\sigma}} \cdot \nabla \nu = \\ -\mathbf{u} \cdot \nabla \mathbf{u} - T \nabla \ln \rho + \mathbf{g} + \nu \bar{\boldsymbol{\sigma}} \cdot \nabla \ln \rho, \end{aligned} \quad (4)$$

$$\begin{aligned} \frac{\partial T}{\partial t} - \frac{1}{c_V} (\chi \nabla^2 T + \nabla T \cdot \nabla \chi) = \\ -\mathbf{u} \cdot \nabla T - (\gamma - 1) T \nabla \cdot \mathbf{u} \\ + \frac{1}{c_V} (\chi \nabla T \cdot \nabla \ln \rho + \nu [\bar{\boldsymbol{\sigma}} \cdot \nabla] \cdot \mathbf{u}), \end{aligned} \quad (5)$$

with the viscous stress tensor given by

$$\sigma_{ij} \equiv \left(\frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} - \frac{2}{3} \delta_{ij} \nabla \cdot \mathbf{u} \right). \quad (6)$$

Taking an inner product of (4) with \mathbf{u} and adding it to

(5) reveals the full energy equation,

$$\frac{\partial}{\partial t} \left(\rho \left[\frac{|\mathbf{u}|^2}{2} + c_V T + \phi \right] \right) + \nabla \cdot (\mathbf{F}_{\text{conv}} + \mathbf{F}_{\text{cond}}) = 0, \quad (7)$$

where the convective flux is $\mathbf{F}_{\text{conv}} \equiv \mathbf{F}_{\text{enth}} + \mathbf{F}_{\text{KE}} + \mathbf{F}_{\text{PE}} + \mathbf{F}_{\text{visc}}$ and the conductive flux is $\mathbf{F}_{\text{cond}} = -\kappa \nabla T$. The individual contributions to \mathbf{F}_{conv} are the enthalpy flux, $\mathbf{F}_{\text{enth}} \equiv \rho \mathbf{u} (c_V T + P/\rho)$; the kinetic energy flux, $\mathbf{F}_{\text{KE}} \equiv \rho |\mathbf{u}|^2 \mathbf{u}/2$; the potential energy flux, $\mathbf{F}_{\text{PE}} \equiv \rho \mathbf{u} \phi$ (with $\phi \equiv -gz$); and the viscous flux, $\mathbf{F}_{\text{visc}} \equiv -\rho \nu \mathbf{u} \cdot \bar{\boldsymbol{\sigma}}$, and all four must all be considered. Understanding how these flux interact is crucial in characterizing convective heat transport.

The atmosphere is contained between two impenetrable, stress free, fixed temperature boundaries at the top and bottom of the domain such that $w = \partial_z u = T_1 = 0$ at $z = \{0, L_z\}$. The domain is horizontally periodic. We utilize the Dedalus¹ [7] pseudospectral framework to time-evolve (3)-(5) using an implicit-explicit, third-order, four-step Runge-Kutta timestepping scheme RK443 [8]. Variables are time-evolved on a dealiased Chebyshev (vertical) and Fourier (horizontal) domain in which the physical grid dimensions are 3/2 the size of the coefficient grid. Physical grid sizes range from 96x384 grid points at the lowest values of Ra to 1152x4608 grid points at $\text{Ra} \geq 10^7$. By using IMEX timestepping, we implicitly step the stiff linear acoustic wave contribution and are able to efficiently study flows at moderate (≈ 1) and very low ($\approx 10^{-4}$) Ma (Fig. 1b). Our equations take the form of the FC equations in [9], extended to include variable ν and χ , and we follow the approach there; this IMEX approach has been successfully tested against a nonlinear benchmark of the compressible Kelvin-Helmholtz instability [10].

RESULTS

The efficiency of convection is quantified by the Nusselt number. Nu is well-defined in RB convection as the total flux normalized by the steady-state conductive flux [11, 12]. In stratified convection Nu is more difficult to define, but one of the earliest definitions used was [1, 3]

$$\text{Nu} \equiv \frac{F_{\text{conv}, z} + F_{\text{cond}, z} - F_A}{F_{\text{ref}} - F_A}, \quad (8)$$

where $F_{\text{conv}, z}$ and $F_{\text{cond}, z}$ are the z-components of \mathbf{F}_{conv} and \mathbf{F}_{cond} , respectively. $F_A \equiv -\kappa \partial_z T_{\text{ad}}$ is the adiabatic conductive flux and $\partial_z T_{\text{ad}} \equiv -g/c_P$ for an ideal gas in hydrostatic equilibrium. $F_{\text{ref}} \equiv \Delta T/L_z$, where $\Delta T \equiv T(L_z) - T(0)$, is the conductive flux of a linear profile

connecting the upper and lower plates, which is constant for the choice of fixed-temperature boundaries.

We contend that this is the general form of the Nusselt number and illustrate this with a few limiting cases. Convection eliminates entropy stratification. Under the Boussinesq approximation, in which density variations are ignored, entropy stratification is directly proportional to temperature stratification such that $\nabla S \rightarrow 0$ when $\nabla T \rightarrow 0$. The familiar Nu in the RB problem is therefore retrieved from (8) because $\nabla T_{\text{ad}} = 0$. In the case of polytropic convection, $g \rightarrow 0$ as $\epsilon \rightarrow m_{\text{ad}} + 1$. In this limit, $\nabla T_{\text{ad}} \rightarrow 0$ as well and the definition of the RB Nu is appropriate to use, as convection carries all of the flux [13]. However, as $\epsilon \rightarrow 0$, $\nabla T_{\text{ad}} \rightarrow \nabla T_0$ and increasingly smaller velocity and thermodynamic perturbations are needed to achieve $\nabla S = 0$ (Fig. 1a). These perturbations carry what little flux exists in excess of the adiabatic, so the removal of F_A in the numerator and denominator of (8) is essential for a reasonable Nu measurement.

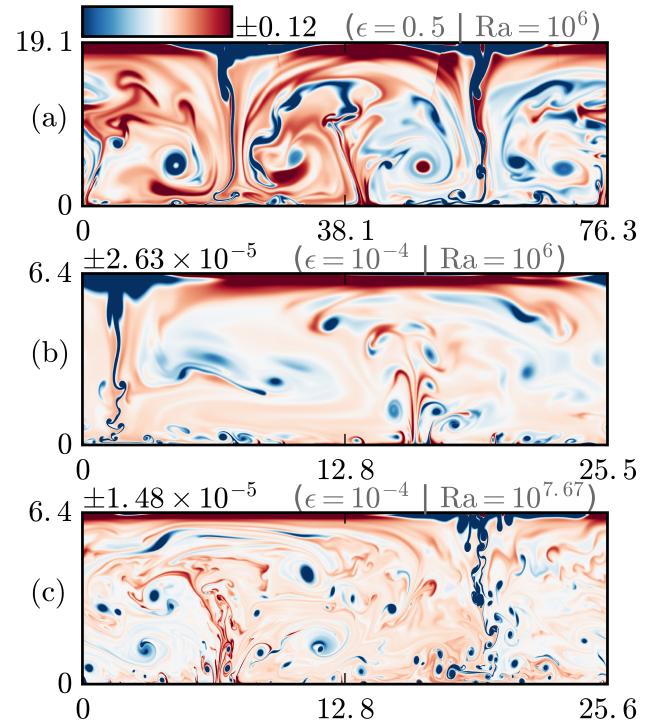


FIG. 2. Characteristic entropy fluctuations in evolved flows. The time- and horizontally-averaged profile is removed in all cases. (a) At high ϵ , shock systems form near the upper downflow lanes ($x \approx 45, z \approx 15-19$) at sufficiently high Ra. Shock-heated fluid then flows into the downflows as the shocks propagate across upflows. (b) At low ϵ but at the same Ra, shock systems are absent, but otherwise the dynamics are similar. (c) As Ra is increased, downflows no longer span the entirety of the domain and individual small eddies are responsible for carrying the flux.

¹ <http://dedalus-project.org/>

We evolve initial value problems in which T_1 is filled with infinitesimal, random white noise compared to T_0 and ϵ . We filter the noise spectrum in coefficient space, such that 25% of the coefficients have power. Solutions were time-evolved until a long average of Nu showed little variance with depth. By performing a linear stability analysis, we determined that the onset of convection occurs at $\text{Ra}_c = \{10.06, 10.97, 10.97\}$ for $\epsilon = \{0.5, 10^{-4}, 10^{-7}\}$ respectively. We studied Rayleigh numbers from values at onset up to nearly 10^6Ra_c for $\epsilon = 0.5$ and for $\epsilon = 10^{-4}$ and up to 10^3Ra_c for $\epsilon = 10^{-7}$.

At large Ma ($\epsilon = 0.5$), shock systems form in the upper atmosphere near downflow lanes (Fig. 2a) once Ra is sufficiently large. These shocks propagate through upflow upflow regions. Such systems were reported in both two [4] and three [14] dimensional polytropic simulations previously. These shocks heat material entering the downflows, affecting the dynamics and heat transport of these systems.

Low Ma flows ($\epsilon = 10^{-4}$) have similar bulk thermodynamic structures (Fig. 2b) to high Ma flows. As Ra is increased to large values (Fig. 2c), thermodynamic structures no longer span the whole domain but rather break up into small eddies which traverse the domain multiple times before diffusing. While it has been suggested that pressure forces cause symmetry breaking in up- and downflows [3], at low ϵ this effect seems to be secondary to flows obeying mass conservation as they traverse the stratified medium.

High Ma flows at large values of Ra, in which shocks form, exhibit two local maxima in the enthalpy flux and kinetic energy flux (Fig. 3a). Shock-heated fluid parcels sometimes gain vorticity they sink into the lower atmosphere. This creates deep, rapidly-rotating regions of mixing which persist for many overturn times. These “spinners” appear to influence the dynamics, but their contributions are unclear. At low Ma, only the deep maximum is present (Fig. 3b). Fixed-temperature boundary conditions allow the flux at the boundaries to vary, so many runs at $\text{Ra} > 10^5$ and $\epsilon = 10^{-4}$ exhibit states in which the flux entering the system at the bottom of the atmosphere exceeds that which leaves at the top. These systems are punctuated by states of vigorous shearing, similar to those previously reported in two-dimensional RB convection [15]. In these shearing states, the bottom temperature gradient approaches adiabatic, allowing the excess energy to exit through the upper boundary. During shearing states, convective transport is suppressed and Nu diminishes. A proper long-term average over shearing and non-shearing states retrieves an invariant flux (and Nu) profile throughout the depth of the atmosphere. These shearing states will be covered in more detail in a future paper.

After appropriately time-averaging the fluxes for $\geq 200t_b$, a sensible flux average is retrieved. Nusselt numbers for all simulations at low and high Ma are plot-

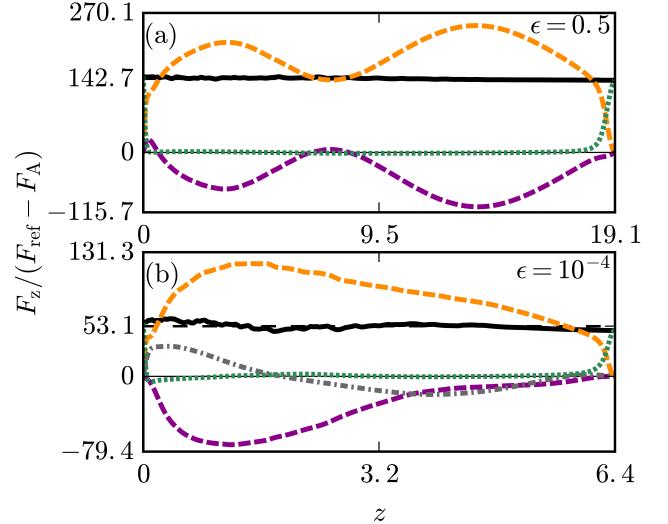


FIG. 3. Time-averaged vertical flux profiles (F_z) for (a) high and (b) low Ma flows at $\text{Ra} = 10^6$. All fluxes are defined as in (7) and normalized by $F_{\text{ref}} - F_A$, as in (8). The dashed lines correspond to the enthalpy flux (orange, positive) and kinetic energy flux (purple, negative). The grey dash-dot line is the viscous flux and the green dotted line is the conductive flux with the adiabatic contribution removed. Viscous and potential energy fluxes are negligible in (a) and are not shown. The solid black line is Nu, the properly normalized sum of all the fluxes

ted as a function of Ra in Fig. 4. At $\epsilon = 0.5$, in the near-sonic regime ($\text{Ra} \leq 10^4$), the scaling of Nu with Ra is inflated, with $\text{Nu} \propto \text{Ra}^{0.45}$, similar to that expected in the ultimate regime of RB [16]. As simulations pass into the supersonic regime and shocks start to form near the downflows, that scaling drops to $\text{Nu} \propto \text{Ra}^{0.19}$. At $\epsilon = \{10^{-4}, 10^{-7}\}$, scaling laws of $\text{Nu} \propto \text{Ra}^{\{0.31, 0.31\}}$ are retrieved.

DISCUSSION

In this letter we have studied fundamental heat transport by stratified convection in simplified 2-D polytropic atmospheres which are specified by two parameters, n_ρ and ϵ . We argue that these atmospheres are the natural extension of the RB problem to stratified systems, and should be used to understand the basic properties of stratified convection. The similarity between the scaling of Nu in RB convection and in low- ϵ polytropes suggests that a boundary layer theory such as the Grossmann-Lohse theory for incompressible flows could be developed for fully compressible convection in these systems [16].

The dynamics of these polytropic solutions are complex and highly time-dependent, even in two dimensions. Time-dependent oscillating shear states have developed spontaneously, as seen before in RB convection [15].

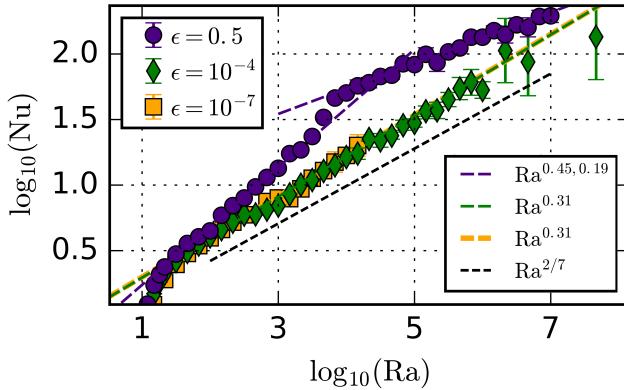


FIG. 4. Variation of Nu as Ra increases at low and high Ma. At high ϵ (purple circles), a clear transition from the subsonic to supersonic regime is evident in the scaling of Nu with Ra. In the low ϵ regime (green diamonds and yellow squares), Nu scalings collapse onto a similar line which is **consistent with RB scalings?** [11]. Error bars are determined by the square root of the variance of Nu with depth and indicate whether or not a solution is well-converged.

While computationally difficult, the highest values of Ra and the lowest value of ϵ studied here are far from values found in nature. If the scalings of Nu and Ma presented here (Figs. 1 & 4) hold, then under solar conditions ($\text{Ra} \approx 10^{20}$, $\text{Ma} \approx 10^{-4}$), we expect that $\epsilon \approx 10^{-20}$ and $\text{Nu} \approx 10^6$. Solar conditions are of course more complicated, as there κ is set by the radiative opacity, which depends on both ρ and T .

Future work will aim to better understand the mechanisms of shearing states and whether or not these states are attainable in three-dimensional, non-rotating atmospheres. Our studies here have set the groundwork for understanding and comparing heat transport in stratified convection to that in RB convection [11], and for future studies of transport in stratified convection in more realistic systems, such as those bounded by stable regions [17] or using more realistic profiles of κ .

acknowledgements

EHA acknowledges the support of the University of Colorado's George Ellery Hale Graduate Student Fellow-

ship. This work was supported by NASA LWS grant number NNX16AC92G. Computations were conducted with support by the NASA High End Computing (HEC) Program through the NASA Advanced Supercomputing (NAS) Division at Ames Research Center with allocations (GID s1647, PI Brown; GID g26133, PI Toomre). We thank Axel Brandenburg, Mark Rast, and Jeff Oishi for many useful discussions.

-
- [1] E. Graham, *Journal of Fluid Mechanics* **70**, 689 (1975).
 - [2] K. L. Chan, S. Sofia, and C. L. Wolff, *Astrophys. J.* **263**, 935 (1982).
 - [3] N. E. Hurlburt, J. Toomre, and J. M. Massaguer, *Astrophys. J.* **282**, 557 (1984).
 - [4] F. Cattaneo, N. E. Hurlburt, and J. Toomre, *ApJL* **349**, L63 (1990).
 - [5] F. Cattaneo, N. H. Brummell, J. Toomre, A. Malagoli, and N. E. Hurlburt, *Astrophys. J.* **370**, 282 (1991).
 - [6] N. H. Brummell, N. E. Hurlburt, and J. Toomre, *Astrophys. J.* **473**, 494 (1996).
 - [7] K. Burns, B. Brown, D. Lecoanet, J. Oishi, and G. Vasil, *Dedalus: Flexible framework for spectrally solving differential equations*, *Astrophysics Source Code Library* (2016), 1603.015.
 - [8] U. M. Ascher, S. J. Ruuth, and R. J. Spiteri, *Applied Numerical Mathematics* **25**, 151 (1997).
 - [9] D. Lecoanet, B. P. Brown, E. G. Zweibel, K. J. Burns, J. S. Oishi, and G. M. Vasil, *Ap. J.* **797**, 94 (2014), 1410.5424.
 - [10] D. Lecoanet, M. McCourt, E. Quataert, K. J. Burns, G. M. Vasil, J. S. Oishi, B. P. Brown, J. M. Stone, and R. M. O'Leary, *MNRAS* **455**, 4274 (2016), 1509.03630.
 - [11] H. Johnston and C. R. Doering, *Physical Review Letters* **102**, 064501 (2009), 0811.0401.
 - [12] J. Otero, R. W. Wittenberg, R. A. Worthing, and C. R. Doering, *Journal of Fluid Mechanics* **473**, 191 (2002).
 - [13] A. Brandenburg, K. L. Chan, Å. Nordlund, and R. F. Stein, *Astronomische Nachrichten* **326**, 681 (2005), astro-ph/0508404.
 - [14] A. Malagoli, F. Cattaneo, and N. H. Brummell, *ApJL* **361**, L33 (1990).
 - [15] D. Goluskin, H. Johnston, G. R. Flierl, and E. A. Spiegel, *Journal of Fluid Mechanics* **759**, 360 (2014).
 - [16] G. Ahlers, S. Grossmann, and D. Lohse, *Rev. Mod. Phys.* **81**, 503 (2009).
 - [17] N. E. Hurlburt, J. Toomre, and J. M. Massaguer, *Astrophys. J.* **311**, 563 (1986).