Analysis

February 28, 2022

```
[1]: import pandas as pd
    from matplotlib import pyplot as plt
    import seaborn as sns
    import numpy as np
    import csv
    from collections import Counter

[2]: def distribution(records, question):
        "Get distribution of answers, for a given question."
        c = Counter(record[question] for record in records)
```

```
[2]: def distribution(records, question):
         total = sum(c.values())
         empty = c['']
         counts = {key: {"number": value,
                         "percentage": (value/total) * 100,
                         "percentage_answered": (value/(total-empty)) * 100}
                     for key, value in c.items()}
             del counts['']['percentage_answered']
         except:
             pass
         return counts
     def get_questions(question, number):
         "Get questions for a range of questions in a grid."
         texts = []
         for i in range(1,number+1):
             item = f'Q{question}_{i}'
             text = questions[item]
             text = text.split('-')[-1].strip()
             texts.append(text)
         return texts
     def get_texts(records, question):
         "Get answer texts."
```

```
texts = []
    for record in records:
        answer = record[question]
        identifier = record['ResponseId']
        if not answer == '':
            texts.append([identifier, answer])
    return texts
def basic_stats(records, question):
    "Print basic statistics about the results."
    counts = distribution(records, question)
    for key, results in counts.items():
        if not key == '':
            print(f"{key}: {results['number']} ({results['percentage_answered']:
\rightarrow .2f}%)")
def underscored(base, number, records):
    "Get answer distribution for all subquestions."
    results = dict()
    for i in range(1, number+1):
        question = f"Q{base}_{i}"
        results[question] = distribution(records, question)
    return results
def agreement(counts):
    "Select percentage answered for all answers except the empty string."
    results = dict()
    for answer in ['Strongly disagree', 'Somewhat disagree', 'Neither agree nor⊔
→disagree', 'Somewhat agree', 'Strongly agree']:
        try:
            results[answer] = counts[answer]['percentage_answered']
        except:
            results[answer] = 0
    return results
# No longer needed:
# def enumerate_ids(iterable):
     "Enumerate iterable with zero-padded IDs."
     for i, element in enumerate(iterable):
          yield 'comment-' + str(i).zfill(3), element
def write_texts(texts, filename):
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"Write texts from a list to a file."
         with open('./texts/' + filename, 'w') as f:
             writer = csv.writer(f)
             writer.writerow(['identifier', 'comment', 'code'])
             writer.writerows([row + ['Original comment'] for row in texts])
[3]: df = pd.read_excel("[Distributed] Perceptions of Error Analysis_February 28,
     \hookrightarrow2022_03.47.xlsx")
     df = df.fillna('')
     records = df.to_dict("records")
    /Users/emiel/opt/anaconda3/lib/python3.8/site-
    packages/openpyxl/styles/stylesheet.py:221: UserWarning: Workbook contains no
    default style, apply openpyxl's default
      warn("Workbook contains no default style, apply openpyxl's default")
[4]: consented = [record for record in records if str(record['Q1 ']).
     →startswith("Yes")]
     print(len(consented))
     # For subgroup analysis:
     academia = [record for record in records if str(record['Q2']) == 'Academia']
     industry = [record for record in records if str(record['Q2']) == 'Industry']
    67
[5]: # If necessary, here are all questions:
     questions = records[0]
[6]: questions
[6]: {'StartDate': 'Start Date',
      'EndDate': 'End Date',
      'Status': 'Response Type',
      'Progress': 'Progress',
      'Duration (in seconds)': 'Duration (in seconds)',
      'Finished': 'Finished',
      'RecordedDate': 'Recorded Date',
      'ResponseId': 'Response ID',
      'DistributionChannel': 'Distribution Channel',
      'UserLanguage': 'User Language',
      'Q1 ': 'Informed consent\n\n\nThis is the consent form for our study about
     the status of error analysis in NLG. Full details about this study were provided
     on the previous page. If you want to read this information again, you can go
     back to the previous page. If anything is still unclear about this study, please
     contact: C.W.J.vanMiltenburg@tilburguniversity.edu\n\n \n\nConsent\n\nBy
```

consenting, you indicate that you have read the description on the previous page, that you are voluntarily taking part in this study, and that you allow for your data to be processed. This means that:\n\n\tYou agree to your responses being anonymously recorded.\n\tYour answers will be used to study the status of error analysis in NLG, and may be used in future publications pertaining to this topic.\n\tThe data will be shared with our research team, with both local (hard drive) and online (protected cloud drive) backups. This data will be stored indefinitely, and made public upon completion of our research. Note again that none of your answers can be traced back to you.\n\tYou acknowledge that there is no financial compensation for taking part in this study.\n\n\n\n\nNote that you may still withdraw your consent after completing this form, without any negative consequences. We will delete all incomplete forms from our study.\n\n \n\nDo you consent?\n\nDo you agree to take part in this study? If you consent, please indicate this below by clicking "Yes". If you click "No", you will be directed to the end of this questionnaire. You may also close this page to stop participating in this study.',

'Q2': 'Are you in academia or in industry? (If you have a dual affiliation, please respond with your dominant affiliation in mind.)',

- 'Q3': 'How many years have you been working in NLG?',
- 'Q4': 'Do you remember reading any NLG papers that include an error analysis?',
- 'Q5': 'Did you find the error analyses to be useful?',
- 'Q6': "What did you find useful about the error analyses you've seen?",
- 'Q7': "Why didn't you find the error analyses to be useful?",
- 'Q8': "Is it surprising to you that you haven't seen any published error analyses? Selected Choice",
- 'Q8_1_TEXT': "Is it surprising to you that you haven't seen any published error analyses? Yes, because: Text",
- 'Q8_2_TEXT': "Is it surprising to you that you haven't seen any published error analyses? No, because: Text",
 - 'Q9': 'Have you ever carried out an error analysis?',
- 'Q10': 'What did you find challenging or difficult about carrying out an error analysis?',
- 'Q28': "Do you think you'll carry out an error analysis again in the future?",
- 'Q29': 'Could you explain your answer to the previous question?',
- 'Q12': 'Have you ever considered carrying out an error analysis?',
- 'Q13': "What is the reason you haven't carried out an error analysis?",
- 'Q14': 'Are you willing to carry out an error analysis?',
- 'Q15': 'For what kinds of papers do you think error analyses may be useful?',
- 'Q16_1': 'I would be more likely to carry out an analysis in a
- conference/journal paper if ... There was a higher page limit.',
- 'Q16_2': 'I would be more likely to carry out an analysis in a conference/journal paper if... There would be an existing error taxonomy that I could use.', $\[\frac{1}{2} + \frac{1$
- 'Q16_3': 'I would be more likely to carry out an analysis in a conference/journal paper if... There would be dedicated annotation tools for

- error analysis that I could use.',
- 'Q16_4': 'I would be more likely to carry out an analysis in a conference/journal paper if... There would be a crowdsourcing template for carrying out error analyses.',
- 'Q16_5': 'I would be more likely to carry out an analysis in a conference/journal paper if... Reviewers paid more attention to error analyses.',
- 'Q16_6': 'I would be more likely to carry out an analysis in a conference/journal paper if... There were an available pool of annotators or crowd workers',
- 'Q16_7': 'I would be more likely to carry out an analysis in a conference/journal paper if... I had more time.',
- 'Q16_8': 'I would be more likely to carry out an analysis in a conference/journal paper if... I had more money.',
- 'Q16_9': 'I would be more likely to carry out an analysis in a conference/journal paper if... I had more collaborators.',
- 'Q17': 'Are there any other barriers that prevent you from carrying out an error analysis?',
- 'Q18_1': 'Please indicate whether you agree or disagree with the following statements There should be more error analyses in the NLG literature',
- 'Q18_2': 'Please indicate whether you agree or disagree with the following statements Error analyses are a valuable part of a paper.',
- 'Q18_3': 'Please indicate whether you agree or disagree with the following statements Carrying out an error analysis is enjoyable.',
- 'Q18_4': 'Please indicate whether you agree or disagree with the following statements Carrying out an error analysis is boring/tedious.',
- 'Q18_5': 'Please indicate whether you agree or disagree with the following statements Error analyses are necessary to fully evaluate the performance of an NLG system.',
- 'Q18_6': 'Please indicate whether you agree or disagree with the following statements Knowing what errors a system makes is helpful for future research '
- 'Q18_7': 'Please indicate whether you agree or disagree with the following statements Knowing what errors a system makes is helpful for practitioners/NLG in industry.',
- 'Q18_8': 'Please indicate whether you agree or disagree with the following statements If you publish at a conference, and you present an NLG system as one of your main contributions, you should include an error analysis.',
- 'Q18_9': 'Please indicate whether you agree or disagree with the following statements If you publish in a journal, and you present an NLG system as one of your main contributions, you should include an error analysis.',
- 'Q19': 'I am ... likely to include an error analysis in a journal article than/as I would be for a conference publication.',
- 'Q27': 'Please explain your answer to the previous question:',
- 'Q20': 'Are there currently enough resources to support error analysis? Selected Choice',
 - 'Q20_2_TEXT': 'Are there currently enough resources to support error analysis?

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- No, I am still missing: - Text',
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'Q21': 'Besides resources, are there any other factors that would make it more likely for you to carry out an error analysis?',

'Q24': 'This is the final question. Is there anything you would like to add or comment on?' $\}$

```
[7]: """

TODO:

- Subgroup analysis: academia vs industry

- Heatmap tables
"""
```

[7]: '\nTODO:\n- Subgroup analysis: academia vs industry\n- Heatmap tables\n'

1 Demographics

Yes, because:: 3 (42.86%) No, because:: 4 (57.14%)

```
[8]: # Where do people come from?
      basic stats(consented, "Q2")
     Academia: 51 (85.00%)
     Industry: 8 (13.33%)
     Other: 1 (1.67%)
 [9]: # Time spent working in NLG:
      basic_stats(consented, "Q3")
     6-10 years: 5 (8.47%)
     Less than 2 years: 13 (22.03%)
     2-5 years: 23 (38.98%)
     11 or more years: 13 (22.03%)
     I don't work in NLG: 5 (8.47%)
[10]: # Read an error analysis:
      basic_stats(consented, "Q4")
     Yes: 33 (67.35%)
     No: 16 (32.65%)
[11]: # Is it surprising that you haven't read an error analysis?
      basic_stats(consented, "Q8")
```

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[12]: # Why is it surprising?:
    texts = get_texts(consented, 'Q8_1_TEXT')
    write_texts(texts, "surprising_because.csv")

for ident, text in texts:
    print(ident, text)
    print('----')
```

 $R_2viGZF9YUjaTxAZ$ using NLG systems every day, I know that however good the output is, it still makes small mistakes that need correcting such as confusing "me" and "you" roles.

 $R_3PUctIwcmDJt5t4$ Without an understanding of errors, especially regarding what the most frequent errors involve, it is quite hard to correctly develop a system. It may end up being just blind hyperparameter optimisation (for NN ones)

 $R_2c0i363Fh3IQN3w$ I haven't read plenty of literature as NLG is only part of my research

```
[13]: # Why is it not surprising?:
    texts = get_texts(consented, 'Q8_2_TEXT')
    write_texts(texts, "not_surprising_because.csv")

for ident, text in texts:
    print(ident, text)
    print('----')
```

R_3CClKJCQVTV5fKQ Page limit is often too less to report a detailed error analysis. Also manually annotation of errors is very time consuming, even if the training data is already manually annotated and the test data manually evaluated.

 $R_2at4iBHFbZ9qXF9$ it is time-consuming and tedious. Furthermore, it seems there is disagreement about standards, so that results cannot be compared sensibly.

```
[14]: # Carried out an error analysis: basic_stats(consented, 'Q9')
```

Yes: 25 (67.57%) No: 12 (32.43%)

[15]: # Willing to carry one out again (only people who answered 'yes'): basic_stats(consented, 'Q28')

Probably yes: 7 (30.43%)
Definitely yes: 15 (65.22%)

Probably not: 1 (4.35%)

```
[16]: # Explanation for previous question
      texts = get texts(consented, 'Q29')
      write_texts(texts, "carry_out_again_because.csv")
      for ident, text in texts:
          print(ident, text)
          print('----')
     R_2uIYMUOPADUsOGp Not on a formal and structured level as for now.
     R_3EVTcFVWkcADmAn It can improve the results and quality of generations.
     R_1Fb4cMbBLCr1Mps Need to measure accuracy of generated texts
     R_2Bx0flTszYdxDPA They are too useful to not do them
     R_tK6Arub4LFxhMTT Sometimes it is needed...
     R_10xiIuva60IySjr I think it's useful
     R_9FWWZPFa03RKtDb It is useful
     R 8AM1QcW7cB0S01H I think its important to manually inspect the data from a
     computational linguistic perspective and it can provide valuable insights into
     improving inputs perhaps or neural architectures in order to guarantee more
     semantically adequate
                             production systems.
     R_3PmNb56WDtzF100 Essential part of evaluation!
     R 1msf0KMkf86xvU7 I do believe in the importance of error analysis, so I'll make
     sure to include them as much as possible. However, when working with
     collaborators, not everyone sees its importance and is willing to invest time
     (and resources) on it.
     R 1oGS3sNlc9Pzbhu Scores from automatic evaluation metrics cannot reliably
     detect or quantify all types of errors in NLG, so manual error analysis is still
     probably the best kind of evaluation.
     R_3kgFfd7vL38wXiE Why not :)
     R_3dS2PT1M6FW2INE I feel like the experience with the error analysis I have
     carried out helps me to outline better categories in the future.
     R_2wmLQttiaGr90kJ ?
     R_10rcJTKXKKERNbF I think carring out error analyses is a way to improve the
```

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R u3vQ66FYA58WEnL Error analysis is crucial for many uses of NLG systems,
     especially for systems intended to produce outputs for human audience.
     R_12tjBK7tLWimKGc Not necessarily for published research, but doing so for my
     team is a fairly regular part of my industry job
     R 3rMofNwuc818Ldq I cannot imagine not having a look at the outputs to see what
     went wrong.
     R_12RQqpVGE2qYoq3 Alas, I'm too old to do so now, as other projects are more
     important (writing a book, for example)
     ____
[17]: # Considered carrying one out (only people who answered 'no'):
      basic_stats(consented, 'Q12')
     Never: 4 (36.36%)
     Once or twice: 2 (18.18%)
     I'm planning to carry out an error analysis in the future: 4 (36.36%)
     Regularly: 1 (9.09%)
[18]: # Willing to carry one out (only people who answered 'no'):
     basic stats(consented, 'Q14')
     Probably yes: 3 (25.00%)
     Definitely yes: 4 (33.33%)
     Might or might not: 4 (33.33%)
     Probably not: 1 (8.33%)
[19]: # Reasons for not doing it:
      texts = get_texts(consented, 'Q13')
      write_texts(texts, "reason_for_not_carrying_out.csv")
      for ident, text in texts:
          print(ident, text)
          print('----')
     R 2viGZF9YUjaTxAZ Whilst I do correct errors, I've never really considered
     carrying out an error analysis.
     R_3CC1KJCQVTV5fKQ I didn't know of this option, I thought error description is
     enough.
     R_3PUctIwcmDJt5t4 I work in rule-based NLG, hence unexpected errors in output
     are unlikely
```

systems

```
R_1DAuvy72fx3Y9px My work was on errors in people not text
```

R_cIRoJzsDgiLpSWB My studies are taking much of my time

 R_10 wpizm5kuD600n Hasnt been a part of my research problem, but is becoming increasingly relevant

R_30prj30Ag4eTLZO The NLG systems I worked on were rule based, and before we evaluated them we made sure that there were no errors. We carried out task-based evaluations comparing different strategies, and sometimes asked users to judge fluency etc but there were no generation errors to analyse.

R_2at4iBHFbZ9qXF9 see above (tedious, lack of standards)

R_2ZESZwXOU9XYLO6 I used to work in NLG and don't any more (sorry there was no button for that at the beginning). I worked on rule-based system and there was no error analysis of the NLG because it didn't make any errors, we made sure the rules worked correctly before the NLG was used as part of a bigger system. There may have been error analysis of e.g. the speech recognition errors which led to the wrong response being generated, but not of the NLG itself.

 $R_2c0i363Fh3IQN3w\ I$ have not done a formal study for the NLG components of my project so far.

2 Usefulness of error analyses

```
[20]: # Found useful: basic_stats(consented, 'Q5')
```

Moderately useful: 11 (35.48%) Slightly useful: 2 (6.45%) Very useful: 7 (22.58%)

Extremely useful: 11 (35.48%)

```
[21]: # What was useful about the analyses?:
    texts = get_texts(consented, 'Q6')
    write_texts(texts, "uses_of_error_analysis.csv")

for ident, text in texts:
    print(ident, text)
    print('----')
```

 ${\tt R_2uIYMUOPADUsOGp\ General\ attention\ to\ the\ topic}$

 ${\tt R_1Fb4cMbBLCr1Mps\ general\ taxonomy\ gives\ idea\ of\ challenging\ aspects}$

 $R_1zRNqaef0umL2QF$ Qualitative analysis plus examples more informative than numeric scores for understanding where improvements are still needed

 $R_2Bx0flTszYdxDPA$ They help ground the limitations of the systems And create directions for future work

 $R_{t} = 1000$ R_tK6Arub4LFxhMTT Allows to assess whether things you belive could be improved are indeed the things that should be

 ${\tt R_10xiIuva60IySjr\ They\ help\ understand\ the\ limitation\ of\ the\ proposed\ method}.$

 ${\tt R_9FWWZPFa03RKtDb\ It\ gives\ a\ complementary\ picture\ of\ standard\ metrics.}$

R_3CC1KJCQVTV5fKQ Clear view on data and not only a cherry picking error description. The different categories are helpful to identify problems of the NLG system. NLG is often evaluated only by automatic metrics which are not perfect and do not take all issues into account, such a error analysis can help to identify errors and not only trying to reach the best scores. The NLG systems get more and more black boxes which we don't know what they are doing so a manual analysis is helpful for identifying problems which we had overlooked focusing on automatic metrics.

 $R_3PUctIwcmDJt5t4$ Diversification of the errors, making the researcher able to fine-tune a system based on them.

 $R_8AMlQcW7cBOSOlH$ It provided useful insights into the type of errors produced in the output which are not evident in string based or corpus based metrics - BLEU, BLEURT etc

 $R_1DAuvy72fx3Y9px$ It shows the kinds of failures the system has, and points to where problems in the (often Black Box) System may lie.

It is also a good sign that the whole analysis of results has considered all results.

R_3PmNb56WDtzF100 Qualitative insights about what doesnt work. Which is useful for planning future research, and also for deciding whether to use a model/algorithm in a project (since some types of errors are much more concerning than others)

 $R_1 = 1000 \, \mathrm{M} \, \mathrm{kf86} \, \mathrm{kyU7}$ They provide an idea of where the models are actually failing. This helps understand if the proposed approach is tackling the problem it intends to solve (or improve on). It also gives ideas for future work.

 $R_1oGS3sNlc9Pzbhu$ Error analyses can show the areas where a system struggles to generate the correct output which can be accuracy, coherence, fluency, etc.

R_cIRoJzsDgiLpSWB The way that error is treated in language due to the

difficulty of the natural language processing methods and its variety across the different levels of processes

 $R_10 wpizm5 kuD600n$ Awareness of the kinds of biases these system exhibit when trying to generate something meaningful and at the same point also showcasing what needs to be done to further the improvement of these models

R_3dS2PT1M6FW2INE Providing extra depth about the state of their system. That is, providing more detailed information about the strenghts and challenges regarding the system that you would not be able to obtain if you would just report average fluency, BLEU, etc. Furthermore, it is also more "objective" than the average qualitative analysis that we often see, that generally just reports overall first impressions of the author.

 $R_u3vQ66FYA58WEnL$ When NLG error analysis is conducted manually (i.e. by humans), it provides valuable information about the quality of NLG, and also can tell us where the problems are.

 $\ensuremath{\mathtt{R}}\xspace_2\ensuremath{\mathtt{c0i363Fh3IQN3w}}\xspace$ It specifies error providing categories for them.

 $R_3rMofNwuc818Ldq$ It clearly shows what are the system's flaws and strong sides, and gives explicit hints with respect to how the system can be improved.

 ${\tt R_0fDpb4gKFzYr9PH\ understanding\ the\ weaknesses\ of\ the\ system}$

R_12RQqpVGE2qYoq3 I quite agree with Emiel's conclusions in his paper:
"Underreporting of errors in NLG output". Most error analyses I have seen are in psycholinguistics (my background), and they allowed 'us' to develop an architecture or pipeline, figuring out to some extent the time course of language production. Actually, if I were allowed to take 3 books with me on an island, then I'd choose Levelt's 'bible' Speaking, and one of the various books devoted to errors, as they contain in hidden form how things work, and why they don't. Errors are incredibly valuable clues, regardless the specific aspect of the process (choice of meaning, word access, synctatic processing, ...) or the task (reading, writing, speaking, misunderstanding/mishearing/misreading).

```
[22]: # For what kinds of papers are error analyses useful?:
    texts = get_texts(consented, 'Q15')
    write_texts(texts, "kinds_of_papers.csv")

for ident, text in texts:
    print(ident, text)
    print('----')
```

R_2uIYMUOPADUsOGp KPI standards

R_3EVTcFVWkcADmAn Dialogue systems, response generations

R_1Fb4cMbBLCr1Mps all NLG research.

R_1zRNqaefOumL2QF most NLG papers

 $R_2Bx0flTszYdxDPA$ All papers with an experimental component

R_tK6Arub4LFxhMTT Ones proposing improvements, especially if the improvements have a certain goal (e.g. changing syntax, did only the bottom line change? or something syntactic)

Probably can think of other things

 $R_9FWWZPFa03RKtDb$ Any paper really, but I understand that it is not always feasible, due to time and economic constraints. But it should be expected to a higher extent than currently.

R_2viGZF9YUjaTxAZ I think an error analysis would be useful for any papers where an NLG system is generating text, and making mistakes. I hadn't heard of error analysis before this survey, but have read a lot of NLG papers, none of which contained an error analysis. Not doing so, seems at best a little dishonest.

R_3CC1KJCQVTV5fKQ All NLG paper with a small amount of test data so that the manual error annotation is easy doable. For example, paper proposing a new NLG system for machine translation, text simplification, text summarization, question answering...

 $R_3PUctIwcmDJt5t4$ E2E-NLG in particular, given the difficulties in debugging black-box models.

R_8AM1QcW7cB0S01H System papers - papers which claim to improve on semantic adequacy/controllability for neural text generation systems i.e. pipeline neural architecture and controllable neural generation, better input representation and evaluation papers in general.

R_1DAuvy72fx3Y9px Any work on a system that outputs text

 $R_3PmNb56WDtzF100$ Any paper which evaluates a model, algorithm, or system should include an error analysis

 $R_1 = 1 \text{msf0KMkf86xvU7}$ Pretty much all papers that claim to be doing something better than others. For NLG, in particular, just showing that a model gets higher X score(s) does not help understand why that is the case. It serves better to the community to have an understanding of the real capabilities and limitations of the models. So, to better compare systems, an error analysis can help show where a particular system is "doing better" than the other, making a stronger case for using it (or not).

R_1oGS3sNlc9Pzbhu - Papers describing novel approaches/architectures to NLG: It

would be useful to know whether a particular approach is prone to making mistakes related to fluency, accuracy, coherency, etc.

- Papers comparing two or more NLG systems.

R_cIRoJzsDgiLpSWB Journal of Automated Reasoning Journal of Intelligent Systems Journal of Logic and Computation

 $R_3JhffzVm2xlXoiQ$ I think any NLG paper should conduct error analyses to help readers better understand the limitations and potential risk of current model as well as datasets.

 R_10 wpizm5kuD600n Any paper which introduces a new NLG model should also talk about the pitfalls of generations or the things that the model gets stuck in since that provides an immediate reference to put things in perspective with.

R_3dS2PT1M6FW2INE Generally any paper that introduces a system in the NLG domain (even more broadly: NLP). Especially if you have limited time and resources for a quantitative human evaluation study, you can get interesting results with just a small amount of annotators.

 $\ensuremath{\mathtt{R}}\xspace_2\ensuremath{\mathtt{wmLQtttiaGr90kJ}}\xspace$ explainable AI and confidence analysis

R_2ZESZwXOU9XYLO6 For papers using neural generation models which can produce errors.

R_10rcJTKXKKERNbF most of them: new models, new systems...

R_u3vQ66FYA58WEnL I have to say that what is considered an error depends partially on the intended use of the NLG system. For systems that are intended to produce grammatical coherent text for human audiences, error analysis is necessary in order to get a good estimation of system quality. However, some systems might be intended to produce different output, for example poetry, or literary-style imitations, so criteria of errors may be different there. There might also be papers that focus on computational efficiency, and thus disregard quality of output, so those might avoid error analysis.

Some NLG papers use BLEU scores as indicators of NLG quality. This is very convenient as BLEU scores can be computed automatically against available human-sourced 'gold data'. But BLEU scores can be misleading and are not a good alternative to manual analysis of errors.

R_2c0i363Fh3IQN3w All of them.

 $R_12tjBK7tLWimKGc$ Any paper that's presenting an NLG system, and many if not all that survey multiple NLG papers or analyze evaluation methodologies (e.g. if you're validating how well an automatic metric does, you might want to see how

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it responds to different types of errors)
```

R_3rMofNwuc818Ldq Basically any paper that presents and/or compares outputs of (an) NLG system(s).

 $R_12RQqpVGE2qYoq3$ Concerning papers, the problem lies mostly with the politics of the people in charge of these papers. I think that error analysis should definitely be taken on board, and we should try to spend more time reflecting what can be expected from them given a specific task.

```
[23]: # Reasons for disappointment:
    texts = get_texts(consented, 'Q7')
    write_texts(texts, "reasons_for_disappointment.csv")

for ident, text in texts:
    print(ident, text)
    print('----')
```

3 Barriers and enabling factors

```
[24]: # Challenges:
    texts = get_texts(consented, 'Q10')
    write_texts(texts, "challenges.csv")

for ident, text in texts:
    print(ident, text)
    print('----')
```

R_2uIYMUOPADUsOGp Scale and resources

 $R_3EVTcFVWkcADmAn$ It's time consuming and some times to cover all types of errors is very hard.

 $R_1Fb4cMbBLCr1Mps$ benchmarked against what? time-consuming. necessity for IRR (but usually lack of willing qualified participants)

R_1zRNqaefOumL2QF Time pressure

 $R_2Bx0flTszYdxDPA$ They can be time consuming to get right because it means contextualising your numbers

R tK6Arub4LFxhMTT Defining categories,

Choosing amount of effort to invest and in what

 $R_10xiIuva60IySjr$ It's not cool, so some of the co-authors had a push back ----

 $R_9FWWZPFa03RKtDb$ It is hard to define clearly, especially in utput with poor quality, where the source of errors can be multiple. There is a lack of clearly described schemes, and the ones that exists are typically not well documented.

 $R_8AMlQcW7cBOSO1H$ The lack of clear methodology - type of errors. Some appear random they pick a 100 and categorise the errors with not error schema. Often the sampling may or may not be statistically significant and there is no attempt to justify the sample size

R_3PmNb56WDtzF100 Inter-annotator agreement. Ie, trying to define the error analysis well enough that different annotators produced comparable analyses.

 R_1 msf0KMkf86xvU7 Establishing a set of error categories that all annotators can understand and apply. This requires several iterations (just like with any annotation guideline). So, it is time consuming and tiring, specially if done only towards the end of the project.

 $R_1oGS3sNlc9Pzbhu$ It was time-consuming and prone to mistakes, especially when analysing for accuracy or correctness.

R_3kgFfd7vL38wXiE No previous experience in my area

 $R_3dS2PT1M6FW2INE$ There isn't really a standardized set of categories that you could use and build upon. So it felt like reinventing the wheel myself when trying to come up with a set of categories, going through the output.

 $R_2wmLQttiaGr90kJ$ If not performed by human, an error analysis can require to process the generated output, such processing tools must be independent from the generator and be robust enough. Such tools does not exist for all languages. If processed by human -> usual hassle of time, recruitment and biais

R_10rcJTKXKKERNbF - deciding the sample size

- defining the error categories: not too broad, not too fine grained

 $R_u3vQ66FYA58WEnL$ Manual error analysis is very time-consuming. In my case, we also used 2 annotators, for measuring inter-annotator agreement. I had to prepare the rubrics, then prepare sample materials for training annotators, then conduct training trials. Only then we could begin the real annotation and analysis. So, again, it is a process that takes time and resources.

 $R_12 \ tjBK7 \ tLWimKGc$ It's not necessarily obvious what the categories used should be, especially when you have multiple similar/overlapping things going wrong.

- $R_3rMofNwuc818Ldq$ Mainly, it can be tedious, since one needs to look at a lot of outputs.
- It can be challenging to define properly the error categories, which is crucial to make the analysis both informative (categories should be fine-grained enough) and reliable (categories should be result in a high IAA).

 $R_12RQqpVGE2qYoq3$ I did this during my PhD (i.e., more than 40 years ago). We didn't have then the tools to do a decent job at the needed scale. Also, all the work was done manually.

```
[25]: # Enough resources/reference materials at the time?
     basic_stats(consented,'Q11')
     No: 13 (56.52%)
     Yes: 10 (43.48%)
[26]: answers = ['Strongly disagree', 'Somewhat disagree', 'Neither agree nor

→disagree', 'Somewhat agree', 'Strongly agree']
     records = []
     for question, counts in underscored(16,9,consented).items():
         for answer in answers:
             percentage = 0
             if answer in counts:
                 percentage = counts[answer]['number'] # NOTE: Changed into number_
      → rather than percentage!
             record = dict(question=question, answer=answer, percentage=percentage)
             records.append(record)
     df = pd.DataFrame(records)
     # Pivot to make a square table:
     df = df.pivot(index='question', columns='answer', values='percentage')
      # Reorder columns:
     df = df[['Strongly disagree', 'Somewhat disagree', 'Neither agree nor⊔

→disagree', 'Somewhat agree', 'Strongly agree']]
     plt.rcParams["figure.figsize"] = (15,3)
     ax = sns.heatmap(df,cmap=sns.light_palette("seagreen", __
      ⇒as_cmap=True),linewidth=1,cbar=False,annot=True)
     ax.xaxis.tick top()
     plt.xticks(np.arange(5) + 0.5, labels=answers)
     plt.yticks(np.arange(9) + 0.5, labels=get_questions(16,9))
     plt.tick_params(top=False,left=False)
     plt.xlabel('')
     plt.ylabel('')
     plt.title("I would be more likely to carry out an analysis in a conference/
      plt.tight_layout()
     plt.savefig("Q16.pdf")
```

I would be more likely to carry out an analysis in a conference/journal paper if...

	Strongly disagree	Somewhat disagree	Neither agree nor disagree	Somewhat agree	Strongly agree
There was a higher page limit.	3	3	9	12	4
There would be an existing error taxonomy that I could use.	1	2	6	11	12
There would be dedicated annotation tools for error analysis that I could use.	1	4	7		10
There would be a crowdsourcing template for carrying out error analyses.	1	4	8	11	8
Reviewers paid more attention to error analyses.	0	2	6	9	15
There were an available pool of annotators or crowd workers	3	3	6	13	7
I had more time.	0	4	2	10	16
I had more money.	1	4	3	9	15
had more collaborators.	0	3	7	10	12

```
[27]: # Other barriers?
  texts = get_texts(consented, 'Q17')
  write_texts(texts, "other_barriers.csv")

for ident, text in texts:
    print(ident, text)
    print('----')
```

R_3EVTcFVWkcADmAn No

R_1Fb4cMbBLCr1Mps no

 ${\tt R_1zRNqaef0umL2QF~Trade-off~between~improving~model/approach~vs~conducting~error~analysis}$

R_2BxOflTszYdxDPA Time is the largest barrier

 $R_10xiIuva60IySjr$ Yes. It is not popular to conduct error analysis these days, unfortunately.

R_2viGZF9YUjaTxAZ Not really. I've just never considered running one.

 $R_3CC1KJCQVTV5fKQ$ My supervisor do not see the relevance of it as we know no paper with such a error analysis and hence they recommend me to not spend much time on it.

R_8AM1QcW7cB0S01H No

 $R_1oGS3sNlc9Pzbhu$ Reproducibility of error analysis or human evaluation in general would be another concern, but there has been some interesting work recently in this area.

 R_10 wpizm5kuD600n The importance given to error analyses is very low in the current research paradigm and we optimize for scores from reviewers for novelty not for wrror analysis

R_3dS2PT1M6FW2INE Mostly time and resources, if there is a strict deadline, it is oftentimes quicker to just do a very straightforward quantitative analysis.

R_2wmLQttiaGr90kJ lack of tools and resources for all languages

R_10rcJTKXKKERNbF I think error analysis should be carried out if possible by experts on the area and not by crowdworkers.

 $R_u3vQ66FYA58WEnL$ Time, money, availability of adequate annotators. A taxonomy of errors could be useful, but it cannot cover everything – there is a variety of issues that can be considered errors, including things that are errors only in some situation but not in other.. Also, a too-big of a taxonomy could be inconvenient to use.

 $R_3 r Mof N w u c 818 L d q$ - M y language level in the target language of the system I present.

- How many outputs are publicly available in other systems.

R_12RQqpVGE2qYoq3 nope

___-

```
[28]: # Enough resources/reference materials currently?
basic_stats(consented,'Q20')
```

No, I am still missing:: 20 (66.67%)

Yes: 10 (33.33%)

```
[29]: # What is still missing?
texts = get_texts(consented, 'Q20_2_TEXT')
write_texts(texts, "missing.csv")

for ident, text in texts:
    print(ident, text)
    print('----')
```

R_2uIYMUOPADUsOGp Standards

R_1Fb4cMbBLCr1Mps the ability to outsource error analysis!

 ${\tt R_9FWWZPFa03RKtDb~Better~documented~taxonomies~and~procedures}$

R_2viGZF9YUjaTxAZ Funding. Whilst an error analysis is important, the way I work would mean that performing an error analysis would take away time from working on the NLG system itself, which could in turn reduce errors made. Funding could help this.

 ${\tt R_3CC1KJCQVTV5fKQ~Knowledge~on~the~topic.~I~don't~which~resources~exist~yet.}$

 $R_3PUctIwcmDJt5t4$ Don't know which tool is missing, but the practice itself seems relatively novel, so I can expect new resources coming in the future.

R_1msf0KMkf86xvU7 Error analysis taxonomy, best practices, guidance, annotation tools. R 3JhffzVm2xlXoiQ An efficient guideline and platform for setting the standard and replicable error analysis. R_2at4iBHFbZ9qXF9 taxonomies, examples how to use them, tools R_3dS2PT1M6FW2INE A good taxonomy of error categories you could typically use. R u3vQ66FYA58WEnL I am not aware of widely recognized resources for error analysis in NLG. R_2c0i363Fh3IQN3w Funding to do a study involving native speakers (I am not a native English speaker) ____ [30]: # Other factors that make it more likely for you to carry out an error analysis? texts = get_texts(consented, 'Q21') write_texts(texts, "enabling.csv") for ident, text in texts: print(ident, text) print('----') R_2uIYMUOPADUsOGp Automation R_3EVTcFVWkcADmAn No R_1Fb4cMbBLCr1Mps perhaps, but I cannot think of one at the moment R_1zRNqaefOumL2QF More explicit recognition of value of error analysis in review forms R 10xiIuva60IySjr Having it as a requirement. Or ideally making it more common, as a practice that is considered valuable, and then researchers would be inclined to do it not bc of a requirement. R_9FWWZPFa03RKtDb More money for research, and easier to higher short-term staff. R_2viGZF9YUjaTxAZ No, on balance I think it's something that should be done when presenting a paper or a conference talk. R_3CC1KJCQVTV5fKQ More acceptance of error analysis in the NLP community.

R_8AM1QcW7cB0S01H Time and resources (to support the activity)

 $R_1DAuvy72fx3Y9px$ This is mostly a problem with research culture, when this (error analyses) becomes normal resources will be produced to fulfil the need for resources.

R_1msf0KMkf86xvU7 More money

 $R_10 wpizm5 kuD600n$ A thorough task specific taxonomy which easily helps immediately attribute errors found in a certain bucket and also helpful since anything not belonging to the buckets is important and worthy to look at

 $R_2at4iBHFbZ9qXF9$ money dedicated for persons doing the analyses, and also money for instructing them clearly in order to get comparable results

 $R_3dS2PT1M6FW2INE$ Having more experience with carrying it out would limit the amount of time necessary to set one up.

 $R_u3vQ66FYA58WEnL$ The major factor is that researchers must be acutely aware of the importance of error analysis for research, and for industrial/market applications..

R_2c0i363Fh3IQN3w Certainly, if my paper, concentrating on a very small, yet precise fragment, would have the same probability of acceptance of a paper that uses ML on a broad, yet very unprecise linguistic boundaries.

 $R_12RQqpVGE2qYoq3$ In my case, no, because, as mentioned, given my age (nearly 74), I have other priorities.

4 General opinions

```
[31]: answers = ['Strongly disagree', 'Somewhat disagree', 'Neither agree nor_disagree', 'Somewhat agree', 'Strongly agree']
records = []
for question, counts in underscored(18,9,consented).items():
    for answer in answers:
        percentage = 0
        if answer in counts:
            percentage = counts[answer]['number']
        record = dict(question=question, answer=answer, percentage=percentage)
        records.append(record)

df = pd.DataFrame(records)
# Pivot to make a square table:
    df = df.pivot(index='question', columns='answer', values='percentage')
# Reorder columns:
```

```
df = df[['Strongly disagree', 'Somewhat disagree', 'Neither agree nor_
disagree', 'Somewhat agree', 'Strongly agree']]

plt.rcParams["figure.figsize"] = (15,4)
ax = sns.heatmap(df,cmap=sns.light_palette("seagreen",_
as_cmap=True),linewidth=1,cbar=False,annot=True)
ax.xaxis.tick_top()
plt.xticks(np.arange(5) + 0.5, labels=answers)
plt.yticks(np.arange(9) + 0.5, labels=get_questions(18,9))
plt.tick_params(top=False,left=False)
plt.xlabel('')
plt.ylabel('')
plt.title("...", y=1.2)
plt.tight_layout()
plt.savefig("Q18.pdf")
```

Strongly disagloon-ewhat Allaitherwagree nor disagreehat agree/strongly agree

There should be more error analyses in the NLG literature

Error analyses are a valuable part of a paper.

Carrying out an error analysis is enjoyable.

Carrying out an error analysis is boring/tedious.

Error analyses are necessary to fully evaluate the performance of an NLG system.

Error analyses are necessary to fully evaluate the performance of an NLG system.

Knowing what errors a system makes is helpful for future research.

Knowing what errors a system makes is helpful for future research.

Error analyses are necessary to fully evaluate the performance of an NLG system.

Error analyses are necessary to fully evaluate the performance of an NLG system.

If you publish at a conference, and you present an NLG system as one of your main contributions, you should include an error analysis.

If you publish in a journal, and you present an NLG system as one of your main contributions, you should include an error analysis.

O 0 0 2 10 18

```
[32]: # More/less/equally likely to include error analysis in journal basic_stats(consented, 'Q19')
```

Equally: 14 (48.28%)
More: 15 (51.72%)

```
[33]: # Explanation for previous question:
    texts = get_texts(consented, 'Q27')
    write_texts(texts, "explanation_journal_preference.csv")

for ident, text in texts:
    print(ident, text)
    print('----')
```

R_3EVTcFVWkcADmAn It's make my NLG system more accurate.

 $\ensuremath{\mathtt{R}}\xspace_1\ensuremath{\mathtt{Fb4cMbBLCr1Mps}}\xspace$ Based on assumption that the conference is NOT ACL or similiar top-tier venue!

R_1zRNqaefOumL2QF More space, less deadline pressure

 $R_2Bx0flTszYdxDPA$ The difference would be the depth of the analysis but heading something remains Important in both cases

 $R_{t} = 1000 \, \mathrm{kg}$ R_tK6Arub4LFxhMTT The quality of my work should not differ by the venue

 $R_10xiIuva60IySjr\ I$ think it should be a norm, similar to reporducibility, at both venues.

R_9FWWZPFa03RKtDb There are more space and higher expectations.

 $R_2viGZF9YUjaTxAZ$ Having discovered that error analysis is a thing, if I were in the position of writing a paper or presenting at a conference, I would almost certainly include an error analysis.

 $R_3CClKJCQVTV5fKQ$ Higher page limit, more time to publish, more quality and higher demands in journal articles

 ${\tt R_3PUctIwcmDJt5t4~Journal~article~usually~offer~a~higher~page~limit.}$

R_8AMlQcW7cB0S0lH I think for long papers it is essential and I would expect this any ACL endorsed conference.

Also I think there is an overeliance on metric based evaluations without any clear understanding of there strengths and weakness(BLEU) and a general decline to provide any linguistic analysis of outputs. I fear the focus on deep learning approaches has resulted in a decline of computational linguistic skills in postgraduate researchers (with solely an ML training) and nor are such research evaluation encouraged. In some cases they don't have the linguistic skills.

___-

R_1DAuvy72fx3Y9px More space and time involved in a journal publication, means it is more likely to have an error analysis.

R_3PmNb56WDtzF100 Error analyses should be included in both conf and journal papers

R_1msf0KMkf86xvU7 Normally, having more space in a journal article allows to expand on the experiments, including the error analysis. However, since our research are is heavily conference-focused, our main points of reference are conference papers. So, since they are regarded in a higher standard, they should also be subjected to a higher level of scrutiny and quality expectation. So, I do think an error analysis should be included in both.

 $R_1oGS3sNlc9Pzbhu$ The deadlines and page limits for conference papers are typically tighter, so I would be slightly less likely to do this a conference paper.

R_cIRoJzsDgiLpSWB Error analysis will contribute to may article definitely because it can increase the what my investigation has done.

 $R_2at4iBHFbZ9qXF9$ Journal articles are more comprehensive, and they should include an error analysis to give a more complete picture of an NLG system.

 $R_3dS2PT1M6FW2INE$ Bigger page limit, you are not as much faced with a strict deadline that requires you to think about how to do the most in the least amount of time.

 $R_2wmLQttiaGr90kJ$ depend on size and the study. If the system is for legal/medical purpose or for creativity

 $R_u3vQ66FYA58WEnL$ journal reviewers tend to be more demanding on details, including error analysis. Also, journals provide more space (pages) for articles.

 $R_2c0i363Fh3IQN3w$ I understand in our field conference paper are as important as journal papers.

___-

 R_3 rMofNwuc818Ldq I understand that there is generally more space in a journal paper, but on needs to find space in a shorter paper too.

R_12RQqpVGE2qYoq3 Difference of space and time.

5 Requirements for reports of error analyses

```
[34]: texts = get_texts(consented, 'Q23')
write_texts(texts, "reporting_requirements.csv")

for ident, text in texts:
    print(ident, text)
    print('----')
```

 $R_3EVTcFVWkcADmAn$ May be a table that show correlation between different types of errors.

R_1Fb4cMbBLCr1Mps sufficient evidence to evaluate the standard of the human rater(s)

 $R_1zRNqaef0umL2QF$ Better to use a sensible characterization of errors that actually occur rather than trying to shoehorn them into an existing taxonomy

R_10xiIuva60IySjr This is important. Thanks!

 $R_2viGZF9YUjaTxAZ$ I think including the types of errors made is fine, however, I think that a list of the errors would be incredibly useful, as this would allow people reading papers to see commonality amongst NLG systems (for example, do

GPT-J, GPT-3 and GPT-NeoX all make the same mistakes?)

R_3CC1KJCQVTV5fKQ Annotation schema in which they explain their error categories if adapted from another NLG task, e.g., question answering might require other categories than machine translation.

Not only raw numbers, maybe percentages would be better. Also naming which categories were ignored and due to which reasons.

 $R_8AM1QcW7cB0S01H$ statistically driven sampling (stratified where appropriate even)

 $R_1DAuvy72fx3Y9px\ If\ (real)\ users\ find\ the\ system\ helps\ in\ a\ (real)\ task.$

 $R_3PmNb56WDtzF100$ Annnotation process should be described in enough detail that other researchers can replicate the analysis and get similar results

 $R_1 = 1000 \, \mathrm{ms}$ ms folkMkf86xvU7 The annotation guidelines, and the process followed to train the annotators. This can help with adopting a similar methodology for papers on the same task that aim to compare against them.

 $R_1oGS3sNlc9Pzbhu$ Types of errors and how that impacts a system. E.g., a system which generates fluent and grammatically correct output but contains factual error is not very useful.

 $R_3dS2PT1M6FW2INE$ A description of how the authors created the categories, with some opportunity for the annotators to report their satisfaction with the aplicability of the categories.

 $R_u3vQ66FYA58WEnL$ Proper metrics for measuring inter-annotator agreement. This is an issue not only in NLG. There is a variety of metrics and they are not all well-known or properly used.

However, I also warn aginst over-formalising error analysis!

R_2c0i363Fh3IQN3w You are thinking on a very large output. Sometimes you don't have that data. Have you considered the necessary cardinality of your sample to have a trustable agreement coefficient? The characteristics of your raters are also important, if you do this, though, the composition of your annotator group and the quantity of your sample shall vary. All this is quite costly.

R_3rMofNwuc818Ldq Ideally some comparison with errors in concurrent systems if not already available.

 $R_12RQqpVGE2qYoq3$ some of the hypotheses or ideas that came to their mind when coming across the error/mistake

6 General comments

```
[35]: texts = get_texts(consented, 'Q24')
      write_texts(texts, "general_comments.csv")
      for ident, text in texts:
          print(ident, text)
          print('----')
     R_2uIYMUOPADUsOGp Thanks
     R_3EVTcFVWkcADmAn No
     R_1Fb4cMbBLCr1Mps no
     R 1zRNgaefOumL2QF Talk to PCs about review forms
     R_2viGZF9YUjaTxAZ No. Thank you for introducing me to the concept of error
     analysis. In at least some small way, I will probably take this concept and use
     it in our work.
     R_3CClKJCQVTV5fKQ I didn't know much on error analysis before answering the
     questionnaire, hence, I couldn't rate the amountzof existing error analysis
     tools. I would have liked to have a "I don't know" field for the Likert scale
     questions.
     R_8AM1QcW7cB0S01H This is an important study
     R 1msf0KMkf86xvU7 Thanks for carrying out this survey. Looking forward to the
     results and the recommendations.
     R_2wmLQttiaGr90kJ thanks for doing such study
     R_10rcJTKXKKERNbF Error analysis should focus on language features, text genre
     characteristics and adequacy to the task, not a mere statistical analysis.
     R_u3vQ66FYA58WEnL Thank you, it was a quite good survey.
     R 2c0i363Fh3IQN3w I think the study of NLG errors should also take into account
     the structure of the sentence and it is important the study is human based.
     R 3rMofNwuc818Ldq Looking forward to the paper on the results of the study!
     R 12RQqpVGE2qYoq3 It seems to me that there is a fundamental difference
     concerning error analysis depending on whether we look at online processing or
     written discourse. This is sth that I didn't see mentioned at all in this
     questionaire, which otherwise is quite good.
```

[]:[