

# under Graduate Homework In Mathematics

**Functional Analysis 10**

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General fire extinguisher

**PROBLEM I** Let  $f \in \mathcal{X}^*$ ,  $f \neq 0$ , let  $d := \inf\{\|x\| : f(x) = 1, x \in \mathcal{X}\}$ , prove:  $\|f\| = \frac{1}{d}$ .

**SOLUTION**. First of all  $d > 0$ , that is because  $f$  is continue,  $\exists \delta > 0$ ,  $\forall \|x\| < \delta$ ,  $|f(x)| \leq 1$ . So  $d \geq \delta$ . Besides,  $\exists x \neq 0$ , such that  $f(x) \neq 0$ , then  $\{x \in \mathcal{X} : f(x) = 1\}$  is not empty.

1.  $\forall \|x\| = 1$ ,  $|f(x)| \leq \frac{1}{d}$ : if not,  $\exists \|x\| = 1, |f(x)| > \frac{1}{d}$ , let  $x = \frac{x}{f(x)}$ , so  $f(\frac{x}{f(x)}) = 1$ ,  $\left\|\frac{x}{f(x)}\right\| = \frac{\|x\|}{|f(x)|} = \frac{1}{|f(x)|} < d$ . So  $\inf\{\|x\| : f(x) = 1\} < d$ .
2.  $\|f\| \geq \frac{1}{d}$ : Since  $\exists \{x_n\}_{n=1}^\infty$ , such that  $f(x_n) = 1$ ,  $\lim_{n \rightarrow \infty} \|x_n\| = d$ . Then,  $y_n := \frac{x_n}{\|x_n\|}$ , so  $\|y_n\| = 1$ ,  $|f(y_n)| = \frac{|f(x_n)|}{\|x_n\|} = \frac{1}{\|x_n\|} \rightarrow \frac{1}{d}$ .

□

**PROBLEM II** Let  $f \in \mathcal{X}^*$ , prove:  $\forall \varepsilon > 0$ ,  $\exists x_0 \in \mathcal{X}$ , such that  $f(x_0) = \|f\|$ , and  $\|x_0\| < 1 + \varepsilon$ .

**SOLUTION**.  $\forall \varepsilon > 0$ ,  $n = \left\lceil \frac{\|f\|}{1+\varepsilon} \right\rceil + 1$ , so  $\exists \|x\| = 1$ ,  $|f(x)| \geq \|f\| - \frac{\varepsilon}{n} > \frac{1}{n}$ , Let  $y := xe^{-i\theta}$ , where  $\theta := \arg f(x)$ , then  $f(y) = e^{-i\theta} f(x) \geq 0$ ,  $f(y) = |f(x)|$ . So  $z = y + \frac{\varepsilon}{nf(y)}y$ ,  $f(z) = f(y) + \frac{\varepsilon}{nf(y)}f(y) = f(y) + \frac{\varepsilon}{n} \geq \|f\|$ ,  $\|z\| \leq |(k+1)|\|x\| = \frac{\varepsilon}{nf(y)} + 1 < 1 + \varepsilon$ . Therefore,  $f(z) = \|f\|$  and  $\|z\| < 1 + \varepsilon$ . □

**PROBLEM III** Let  $T : \mathcal{X} \rightarrow \mathcal{Y}$  is linear, let  $N(T) := \{x \in \mathcal{X} : Tx = 0\}$ .

1. If  $T \in \mathcal{L}(\mathcal{X}, \mathcal{Y})$ , prove:  $N(T)$  is closed subspace of  $\mathcal{X}$ .
2. Can we infer  $T \in \mathcal{L}(\mathcal{X}, \mathcal{Y})$  through that  $N(T)$  is closed subspace in  $\mathcal{X}$ .
3. If  $f$  is a linear functional, prove:  $f \in \mathcal{X}^* \iff N(f)$  is closed subspace in  $\mathcal{X}$ .

**SOLUTION**. 1.  $\forall x, y \in \mathcal{X}, a, b \in \mathbb{K}$ ,  $f(ax + by) = af(x) + bf(y) = 0$ . So  $ax + by \in N(T)$ .  $\{x_n\}_{n=1}^\infty \subset N(T)$ ,  $\lim_{n \rightarrow \infty} x_n = x$ . Since  $T \in \mathcal{L}(\mathcal{X}, \mathcal{Y})$ , then  $f(x) = \lim_{n \rightarrow \infty} f(x_n) = 0$ . Therefore,  $N(T)$  is closed.

2. No. Consider  $\mathcal{X} := l^1$ , where the norm on  $\mathcal{X}$  is  $\|x\| := \sup_{n \geq 1} |x(n)|$ ,  $x(n)$  is the  $n$ -th number of  $x$ .  $a$  such that  $a(k) = 1, k = 1, a(k) = -1, k = 2, a(k) = 0, k > 2$ .  $f : \mathcal{X} \rightarrow \mathbb{K}$ ,  $f(x) = \sum_{n=1}^\infty x(n)$ . Let  $T : \mathcal{X} \rightarrow \mathcal{X}$ ,  $T(x) = x - af(x)$ . Since  $x \in l^1$ , then  $|f(x)| = |\sum_{n \in \mathbb{N}_+} x(n)| \leq \sum_{n \in \mathbb{N}_+} |x(n)| < \infty$  So  $\sum_{n \in \mathbb{N}_+} |x(n) - f(x)a(n)| \leq \sum_{n \in \mathbb{N}_+} |x(n)| + |f(x)| < \infty$ . Therefore,  $T$  is well-defined. Besides,  $T$  is linear obviously. And  $\forall x \in N(T)$ ,  $x = af(x) \iff x(n) = f(x)a(n), n \in \mathbb{N}_+$ , and  $f(x) = \sum_{n \in \mathbb{N}_+} x(n) = 0$ . Therefore,  $N(T) = \{\theta\}$ . Besides,  $\mathcal{X}$  can be a distance space, then  $N(T)$  is closed. However,  $\|f\| = \infty$ , that is because  $f(x_n) = n$ , where  $x_n(k) = \mathbb{1}_{k \leq n}$ . So  $\|x_n\| = 1$ ,  $\|f(x_n)\| = n \rightarrow \infty, n \rightarrow \infty$ . And  $\forall x : \|x\| = 1, \|af(x)\| = \|x - T(x)\| \leq \|x\| + \|T(x)\| = 1 + \|T(x)\|$ , thus,  $\|T\| = \infty$ .

3. By Item 1, we only need to prove  $N(T)$  is closed  $\implies T \in \mathcal{X}^*$ .

(a) If  $N(T) = \mathcal{X}$ , then  $\|T\| = 0$ , so  $T \in \mathcal{X}^*$ .

(b) f  $N(T) \subsetneq \mathcal{X}$ ,  $\exists x \in \mathcal{X} \setminus N(T)$ , such that  $T(x) \neq 0$ . So  $x_0 := \frac{x}{T(x)} \in \mathcal{A} := \{x : T(x) = 1\}$ . Obviously,  $x_0 + N(T) \subset \mathcal{A}$ ,  $\forall y \in \mathcal{A}$ ,  $T(y - x_0) = T(y) - T(x_0) = 1 - 1 = 0$ . Therefore,  $\mathcal{A} \subset x_0 + N(T)$ . Let  $d := \inf\{\|x\| : x \in \mathcal{A}\}$ . So  $d \geq 0$ . If  $d = 0$ , then  $\{x_n\}_{n=1}^\infty \subset \mathcal{A}$ ,  $\|x_n\| \rightarrow 0, n \rightarrow \infty$ . Consider  $y_n = x_n - x_0 \in N(T)$ , then  $\|y_n\| = \|x_n - x_0\| \leq \|x_n\| + \|x_0\| \rightarrow \|x_0\|, n \rightarrow \infty$ . Then  $\{y_n\}_{n=1}^\infty \subset N(T)$  is bounded. Besides,  $N(T)$  is closed, then  $\exists \{y_{n_k}\}_{k=1}^\infty \subset \{y_n\}_{n=1}^\infty$  such that  $\exists y_0 \in N(T), y_{n_k} \rightarrow y_0, k \rightarrow \infty$ . For convenience's sake, assume  $\lim_{n \rightarrow \infty} y_n = y_0$ . So  $\lim_{n \rightarrow \infty} \|x_n\| = \lim_{n \rightarrow \infty} \|x_0 + y_n\| = \lim_{n \rightarrow \infty} \|x_0 + y_0\| = 0$ . Therefore,  $x_0 + y_0 = 0$ , then  $x_0 \in N(T)$ , i.e.  $T(x_0) = 0$ . Contradiction! Thus  $d > 0$ . Same as  $\mathbb{R}^{\text{OBL}} \text{ I}$ , then  $\|T\| = \frac{1}{d} < \infty$ . Therefore,  $T \in \mathcal{L}(\mathcal{X}, \mathbb{K})$ .

□