

# under Graduate Homework In Mathematics

## Set Theory 3

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**PROBLEM I** Prove the following statements.

1. If  $x \cap y = \emptyset$  and  $x \cup y \preccurlyeq y$ , then  $\omega \times x \preccurlyeq y$ .
2. If  $x \cap y = \emptyset$  and  $\omega \times x \preccurlyeq y$ , then  $x \cup y \approx y$ .

**SOLUTION.** 1. Let  $f : x \cup y \rightarrow y$  is injective,  $f_1 := f, f_{n+1} := f_n \circ f$ .  $g : \omega \times x \rightarrow y, g(n, t) \mapsto f_{n+1}(t)$ .  
Next we will prove  $g$  is injective. Since  $f$  is injective, then  $f_n$  is injective obviously  $\forall n \in \mathbb{N}_+$ .  
For  $(n, u), (m, v) \in \omega \times x$ :

- (a) If  $n = m$ , then  $f_n(u) \neq f_n(v)$ .
  - (b) If  $m \neq n$ , W.L.O.G. let  $n < m, m = n + k$ . So  $f_m[x] = f_{n+k}[x] = f_n[f_k[x]] \subset f_n[y]$ .  
Since  $f_n$  is injective, we get  $f_n[x] \cap f_n[y] = \emptyset$ . While  $g(n, u) \in f_n[x], g(m, v) \in f_n[y]$ , so  $g(n, u) \neq g(m, v)$ , so  $g$  is injective.
2. Let  $f : \omega \times x \rightarrow y$  is injective,  $x_n := \{(n, t) : t \in x\}$ . Then  $\omega \times x = \cup_{n \in \omega} x_n$ . Consider  $g : x \cup y \rightarrow y$ . If  $t \in x$ , then  $g(t) := f(0, t)$ . If  $t \in f[x_n]$ , then  $g(t) = f(n + 1, t)$ . If  $t \notin x \cup (\cup_{n=1}^{\infty} f[x_n])$ , then  $g(t) = t$ . Next we will prove  $g$  is a bijection.

(a)  $g$  is injection: For  $u, v \in x \cup y, u \neq v$ ,

- If  $u, v \in x$ , since  $f$  is injective, then  $g(u) = f(0, u) \neq f(0, v) = g(v)$ .
- If  $u \in x, v \in f[x_n]$ , for some  $n$ , then  $g(u) = f(0, u) \in f[x_0]$ .  $g(v) = f(n + 1, v) \in f[x_{n+1}]$ . Since  $f$  is injective,  $f[x_0] \cap f[x_{n+1}] = \emptyset$ , so  $g(u) \neq g(v)$ .
- If  $u \in x, v \notin x \cup (\cup_{n=1}^{\infty} f[x_n])$ , then we know  $g(v) = v \notin f[x_0] \ni g(u)$ .
- If  $u \in f[x_m], v \in f[x_n]$ , then
  - i. If  $m = n$ , then  $g(u) = f(m + 1, u) \neq f(n + 1, v) = g(v)$ .
  - ii. If  $m \neq n$ , then  $g(u) \in f[x_{m+1}], g(v) \in f[x_{n+1}]$ . Since  $f$  is injective,  $f[x_{m+1}] \cap f[x_{n+1}] = \emptyset$ . So  $g(u) \neq g(v)$ .
  - iii. If  $u \in x_n, v \notin x \cup (\cup_{n=1}^{\infty} f[x_n])$ , then  $g(u) \in f[x_{n+1}]$  and  $g(v) = v \notin f[x_{n+1}]$ .
  - iv. If  $u, v \notin x \cup (\cup_{n=1}^{\infty} f[x_n])$ , then  $g(u) = u \neq v = g(v)$ .

(b)  $g$  is surjective.

- If  $\exists n$  s.t.  $u \in f[x_n]$ , then:
  - i. When  $n = 0$ , then  $\exists t \in x$  s.t.  $y = f(0, t)$ . Then  $g(t) = u$ .
  - ii. When  $n \geq 1$ , let  $n = m + 1$ . Then  $\exists t \in x$  s.t.  $y = f(m + 1, t)$ . So  $g(t) = u$ .
- If  $u \notin f[x_n], \forall n$ , then  $g(u) = u$ .

□

**PROBLEM II**

1. A subset of a finite set is finite.
2. The union of a finite set of finite sets is finite.
3. The power set of a finite set is finite.

#### 4. The image of a finite set (under a mapping) is finite.

**SOLUTION.** 1. (a) When  $n = 0$ ,  $A \approx 0 \rightarrow A = \emptyset$ . So  $B \subset A$ , then  $B = \emptyset \approx 0$ .

(b) If  $n$  s.t.  $\forall A \approx n, \forall B \subset A, \exists m \in \omega, B \approx m$  for  $n \in \omega$ .

Now we prove  $n+1$ . Let  $A \approx n+1$ ,  $f : A \rightarrow n+1$  is bijection. If  $B = A$ , then  $B \approx n+1$ . Else,  $\exists x \in A \setminus B$ .

Let  $g : A \rightarrow n+1$ , where  $g(t) = f(t)$ , if  $t \neq x$  and  $g(t) \neq n$ ;  $g(t) = n+1$ , if  $t = x$ ;  $g(t) = f(x)$ , if  $f(t) = n$ . So  $g$  is bijection. And since  $x \notin B$  we get  $B \subset g^{-1}[n] \approx n$ , so by induction we get  $\exists m \in \omega, B \approx m$ .

2. (a)  $A$  and  $B$  are finite and  $A \cap B = \emptyset$ :

i. For  $B = \emptyset$ ,  $A \cup B = A$  is finite.

ii. For  $B \approx 1$ , assume  $A \approx n$ , and  $B \approx \{n\}$ , so  $A \cup B \approx n \cup \{n\} = n+1$  is finite.

iii. For certain  $n$  s.t.  $\forall B \approx n, A \cup B$  is finite. Then to prove it's right for  $n+1$ . Let  $f : B \rightarrow n+1$  is bijection, then  $f^{-1}[n] \approx n$ , so by induction assumption  $A \cup f^{-1}[n]$  is finite. Since  $B = f^{-1}[n] \cup \{f^{-1}(n)\}$ , so  $A \cup B = A \cup f^{-1}[n] \cup \{f^{-1}(n)\}$ . Since  $\{f^{-1}(n)\} \approx 1$ , so by induction assumption the union is finite.

(b)  $\forall A, B$  are two finite sets, so  $A \cup B = A \cup (B \setminus A)$ . By II.1,  $B \setminus A$  is finite, so  $A \cup B$  is finite.

Now we use MI to prove  $\forall n, A_i, i \leq n$  is Finite, then  $\cup_{i=1}^n A_i$  is Finite.

i. When  $n = 0, 1, 2$  it's obvious.

ii. For certain  $n \geq 2$  we have  $A_i, i \leq n$  is Finite, then  $\cup_{i=1}^n A_i$  is Finite. Then we prove  $n+1$ . Since  $\cup_{i=1}^n A_i$  is Finite, and so do  $A_{n+1}$ , then  $\cup_{i=1}^{n+1} A_i$ .

3. (a) For  $x \approx 0$ , so  $\mathcal{P}(x) = \{\emptyset\} \approx 1$ .

(b) For certain  $n$  s.t.  $\forall x \approx n, \mathcal{P}(x)$  is Finite, then it goes to  $x \approx n+1$ : Assume  $f : x \rightarrow n+1$  is bijection. Let  $y = f^{-1}[n]$  and  $t = f^{-1}(n)$ . Then  $y \approx n$ . Let  $\theta : \mathcal{P}(x) \setminus \mathcal{P}(y) \rightarrow \mathcal{P}(y), \theta(a) := a \setminus \{t\}$ . Obviously  $\theta$  is bijective, so  $\mathcal{P}(x) \setminus \mathcal{P}(y) \approx \mathcal{P}(y)$  is finite. By II.2,  $\mathcal{P}(x) = \mathcal{P}(y) \cup (\mathcal{P}(x) \setminus \mathcal{P}(y))$  is finite.

4. (a) For  $A \approx 0$  it's obvious.

(b) For  $A \approx n$  it's right. It goes for  $A \approx n+1$ . Let  $f : A \rightarrow n+1$  is a bijection, and  $g : A \rightarrow \text{Set}$  is a map on  $A$ . Let  $B := f^{-1}[n] \subset A, t = f^{-1}(n) \in A$ . Then  $B \approx n$ , so  $g[B]$  is finite. Since  $A = B \cup \{t\}$ , then  $g[A] = g[B] \cup g[\{t\}] = g[B] \cup \{g(t)\}$ . And  $\{g(t)\} \approx 1$  is finite, by II.2,  $g[A]$  is finite.

□

#### PROBLEM III

1. A subset of a countable set is at most countable.

2. The union of a finite set of countable sets is countable.

3. The image of a countable set (under a mapping) is at most countable.

**SOLUTION.** 1. Let  $A$  is countable, so  $\exists \theta$  s.t.  $\theta : A \rightarrow \omega$  is bijection. Let  $B \subset A$ , so  $B \approx \theta[B]$ . So we only need to prove every subset of  $\omega$  is at most countable. Let  $x \subset \omega$ . If  $x$  is finite, then  $x$  is at most countable. If  $x$  is infinite. Let  $f(0) = \min x$  and  $f(n) = \min(x \setminus f[n])$ . Since  $x$  is infinite, so  $f[n] \subsetneq x$ , so  $f$  is well-defined. And obviously,  $f$  is a bijection. So  $x \approx \omega$  is countable.

2. That is to prove  $\forall n \in \mathbb{N}_+, \{A_k\}_{k=1}^n$  is a sequence of countable sets, then  $\cup_{k=1}^n A_k$  is countable.

(a) When  $n = 1$  it's obvious.

(b) For  $n = 2$ , let  $f : \omega \rightarrow A_1, g : \omega \rightarrow A_2$  are bijections,  $h : \omega \rightarrow A_1 \cup A_2$ , where  $h(n) = f(\min f^{-1}[A_1 \setminus h[n]])$ , if  $2 \mid n$ ;  $h(n) = g(\min g^{-1}[A_2 \setminus h[n]])$ , if  $2 \nmid n$ . Since  $A_1, A_2$  are infinite, so  $h$  is well-defined.

i.  $\forall m, n \in \omega, m \neq n$ , assume  $m < n$ , then  $h(n) = f(\min f^{-1}[A_1 \setminus h[n]]) \in f[f^{-1}[A_1 \setminus h[n]]] = u \setminus h[n]$  and  $h(m) \in h[n]$ . So  $h(m) \neq h(n)$ .

ii. For  $n = 0$  it's obvious that  $f[n] \subset h[2n - 1]$ . Assume for certain  $n$   $f[n] \subset h[2n - 1]$  is right, when it is for  $n + 1$ , we only need to prove  $a := f(n) \in h[2n + 1]$ . If not, since  $h(2n) = f(\min f^{-1}[A_1 \setminus h[2n]])$ ,  $a \notin h[2n]$ , so  $a \in A_1 \setminus h[2n]$ . Then  $n = f^{-1}(a) \in f^{-1}[A_1 \setminus h[2n]]$ . For  $m < n$ ,  $f(m) \in h[2m - 1] \subset h[2n]$ , so  $m \notin f^{-1}[A_1 \setminus h[2n]]$ , thus  $n = \min f^{-1}[A_1 \setminus h[2n]]$ . So  $h(2n) = a$ , contradiction! So,  $A_1 \subset h[\omega]$ , it is same to prove  $A_2 \subset h[\omega]$ .

(c) Assume for certain  $n \geq 2$ ,  $\cup_{k=1}^n A_k$  is countable. It goes to  $n + 1$ : By induction we know  $\cup_{k=1}^n A_k$  is countable. And we have proved union of two countable sets is countable. So  $\cup_{k=1}^{n+1} A_k = \cup_{k=1}^n A_k \cup A_{n+1}$  is countable.

3. Same as the first question. We only need to prove image of  $\omega$  is at most countable. For  $f : \omega \rightarrow \text{Set}$  is a map, let  $h : \text{ran}(f) \rightarrow \omega, t \mapsto \min f^{-1}[\{t\}]$ . Obviously  $h$  is a injective, so  $\text{ran}(f)$  is at most countable.

□

**PROBLEM IV**  $\mathbb{N} \times \mathbb{N}$  is countable.

$$[f(m, n) = 2^m(2n + 1) - 1.]$$

**SOLUTION.** Let  $f : \mathbb{N}^2 \rightarrow \mathbb{N}, (m, n) \mapsto 2^m(2n + 1) - 1$

1. Let  $f(a, b) = f(c, d)$ , then  $2^a(2b + 1) = 2^c(2d + 1)$ . If  $a \neq c$ , WLOG, let  $a < c$ , then  $2b + 1 = 2^{c-a}(2d + 1)$ . While  $2 \mid 2^{c-a}(2d + 1)$ ,  $2 \nmid 2b + 1 = 2^{c-a}(2d + 1)$ , contradiction! So  $a = c$ , then  $2b + 1 = 2d + 1$ , so  $b = d$ .

2.  $\forall t \in \mathbb{N}$ , let  $s := \sup\{k : 2^k \mid t + 1\}$ . Since  $0 < t + 1 < \omega$ , then if  $2^k \mid t + 1$ , then  $2^k \leq t + 1$ , so  $s < \omega$ . Assume  $t + 1 = m2^s$ , so  $2 \nmid m$ , so  $m = 2n + 1$ . Then  $t = f(m, n)$ .

□

**PROBLEM V** Prove that  $\kappa^\kappa \leq 2^{\kappa^\kappa}$ .

**SOLUTION.** Let  $h : {}^\kappa \kappa \rightarrow {}^{\kappa \times \kappa} 2$ .  $\forall f \in {}^\kappa \kappa$ , let  $h(f) : \kappa \times \kappa \rightarrow 2$ , where  $\forall u, v \in \kappa$ ,  $h(f)(u, v) := 1$  if  $u = f(v)$ ;  $h(f)(u, v) := 0$ , if  $u \neq f(v)$ . Assume  $f, g \in {}^\kappa \kappa$  and  $h(f) = h(g)$ . Then  $\forall v \in \kappa$ ,  $h(g)(f(v), v) = h(f)(f(v), v) = 1$ , so  $f(v) = g(v)$ . So  $h$  is injective.  $\square$

**PROBLEM VI** If  $A \preceq B$ , then  $A \preceq^* B$ .

**SOLUTION.** 1. If  $A = \emptyset$ , then  $A \preceq^* B$  is obvious.

2. If  $A \neq \emptyset$ , then  $a \in A$ . Let  $f : A \rightarrow B$  is injection,  $g : B \rightarrow A$ ,  $g(y) : f^{-1}(y)$ , if  $y \in \text{ran}(f)$ ;  $a$ , if  $y \notin \text{ran}(f)$ . Then  $\forall x \in A$ ,  $h(f(x)) = x$ , obviously. So  $h$  is surjective.  $\square$

**PROBLEM VII** If  $A \preceq^* B$ , then  $\mathcal{P}(A) \preceq \mathcal{P}(B)$ .<sup>2</sup>

**SOLUTION.** 1. If  $A = \emptyset$ , then  $\mathcal{P}(A) = 1$ . Let  $f : \mathcal{P}(A) \rightarrow \mathcal{P}(B)$ ,  $0 \mapsto B$ , then  $f$  is injective.

2. If  $A \neq \emptyset$ , then by  $A \preceq^* B$ ,  $\exists f : B \rightarrow A$  is surjective. Let  $h : \mathcal{P}(A) \rightarrow \mathcal{P}(B)$ ,  $U \mapsto f^{-1}[U]$ . Then we will prove  $h$  is injective. Let  $U, V \subset A$  and  $h(U) = h(V)$ , i.e.  $f^{-1}[U] = f^{-1}[V]$ . If  $U \neq V$ , WLOG, let  $U \setminus V \neq \emptyset$  and let  $x \in U \setminus V$ . Since  $f$  is surjective, so  $\exists t \in B$ ,  $f(t) = x$ . So  $t \in f^{-1}[U]$  but  $t \notin f^{-1}[V]$ , contradiction! So  $h$  is injective. Then  $\mathcal{P}(A) \preceq \mathcal{P}(B)$ .  $\square$

**PROBLEM VIII** Let  $X$  be a set. If there is an injective function  $f : X \rightarrow X$  such that  $\text{ran}(f) \subsetneq X$ , then  $X$  is infinite.

**SOLUTION.** That is to prove  $\forall n \in \omega$ ,  $X \not\approx n$ . By MI,

1. For  $n = 0$ , if  $X \approx n$ , then  $X = 0$ . So  $X \subset \text{ran}(f)$ , contradiction!

2. Assume  $n \geq 1$ ,  $\forall m < n$ ,  $X \not\approx m$  is right. If  $X \approx n$ , then  $\exists h : X \rightarrow n$  is bijection. So  $h[\text{ran}(f)] \subsetneq n$ , then  $\exists m < n$ ,  $h[\text{ran}(f)] \approx m$ . While  $f$  is injective, and  $h$  is bijection, so  $X \approx m$ . Contradiction!  $\square$